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Description of document: List of Federal Deposit Insurance Corporation (FDIC) Inspector General (OIG) investigations closed during CY 2014

Request date: 30-December-2014

Released date: 19-June-2015

Posted date: 22-June-2015

Source of document: Freedom of Information Act Request
Federal Deposit Insurance Corporation
FOIA/Privacy Act Group, Legal Division
550 17th Street, NW
Washington, DC 20429-9990
[Online Freedom of Information Act \(FOIA\) Service Center](#)

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June 19, 2015

FDIC FOIA Log Number 15-0233

This will respond to your letter dated December 30, 2014 submitted pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, for “a copy of the list or printout or database listing of IG Investigations closed during calendar year 2014.” You also agreed to pay up to \$25.00 in fees for the processing of your request.

This file (Log No. 15-0233) represents a reopening of your December 30 letter from your previous file (Log No. 15-0105), which was closed as you had an outstanding FOIA fee to the FDIC. The FDIC’s Division of Finance has acknowledged your payment of this outstanding fee as of March 3, 2015, and this new file was established to process your request.

Enclosed please find copies of the records located by the FDIC (consisting of a total of 40 pages) which are responsive to your request. However, certain information in these records has been redacted pursuant to FOIA Exemptions 4, 5, 6, 7(C), 7(E), and/or 8, 5 U.S.C. §552(b)(4), (b)(5), (b)(6), (b)(7)(C), (b)(7)(E), and (b)(8), respectively.

FOIA Exemption 4 permits the withholding of trade secrets, and confidential or privileged commercial or financial information obtained from a person.

FOIA Exemption 5 permits the withholding of inter-agency or intra-agency memoranda or letters which would not be available by law to a party other than an agency in litigation with the agency, including information contained in internal communications which relate to pre-decisional staff opinions, recommendations, and discussions of policy alternatives (deliberative process privileged information), documents and other memorandum prepared by an attorney in contemplation of litigation (attorney work-product privileged information), confidential communications between an attorney and the agency (attorney-client privileged information) and information subject to a commercial privilege.¹

FOIA Exemption 6 permits the withholding of personal and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

FOIA Exemption 7 permits the withholding of records or information compiled for law enforcement purposes to the extent that the production of such law enforcement records or information: (C) could reasonably be expected to constitute an unwarranted invasion of personal

¹ These privileges are cited for illustrative purposes only.

privacy; and (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law.

FOIA Exemption 8 permits the withholding of information contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions.

Should you consider the redaction of information in the records provided to you to be a denial of your request, you may appeal the denial to the FDIC's General Counsel within 30 business days following receipt of this letter. If you decide to appeal, please submit your appeal in writing to the Legal Division, FOIA/Privacy Act Group, at the above address. Please refer to the FDIC log number and include any additional information that you would like the General Counsel to consider.

This completes the processing of your request. Fees, if any, will be addressed under separate correspondence.

Sincerely,

Lisa M. Snider

Lisa M. Snider
Government Information Specialist
FOIA/Privacy Act Group, Legal Division

Enclosure (40 pages)

(b)(5),(b)(7)(E)

File Number	File Title	File Status	Date Info Received	Date Opened		Status Date	Location City	
(b)(6),(b)(7)(C)	[Redacted] Bank							(b)(5),(b)(7)(E)
(b)(4),(b)(8)	Customers of [Redacted]	Invest. Closed						(b)(7)(C)
A207-017	Mortgage Fraud	Closed	6/14/2007	6/18/2007		7/7/2014	[Redacted]	(b)(7)(C)
(b)(7)(C),(b)(8)	[Redacted] Mortgage Fraud	Invest. Closed						(b)(7)(C)
A208-017	[Redacted] Mortgage Fraud	Closed	7/29/2008	7/29/2008		10/7/2014	[Redacted]	(b)(7)(C)
(b)(8)	[Redacted] Mortgage	Invest. Closed						(b)(5),(b)(7)(E)
A209-002	[Redacted] Mortgage Fraud	Closed	1/14/2009	1/15/2009		3/26/2014	McLean	(b)(5),(b)(7)(E)
A210-005	Central Bank of Georgia, Ellaville, GA; Misuse of Position	Invest. Closed	1/8/2010	2/9/2010		7/8/2014	Ellaville	(b)(5),(b)(7)(E)
A210-029	First National Bank, Savannah, GA; Bank Fraud	Invest. Closed	7/7/2010	10/1/2010		10/6/2014	Savannah	
(b)(6),(b)(7)(C),(b)(8)	[Redacted] Commercial Loan Fraud (Bluewater Beach)	Invest. Closed	10/31/2010	4/6/2011		1/13/2014	[Redacted]	(b)(8)
A213-009	[Redacted] Bank Fraud	Invest. Closed	3/13/2013	3/13/2013		10/15/2014	Barboursville	
C208-021	Main Street Bank, Northville, MI; Failed Bank and Suspected Commercial Loan Fraud/Mortgage Fraud	Invest. Closed	9/17/2008	12/15/2008		7/9/2014	Northville	(b)(5),(b)(7)(E)

1a

(b)(6),(b)(7) (C),(b)(8)	[Redacted]								
C208-028	Washington Mutual (Victim Institutions); Alleged Mortgage Fraud	Invest. Closed	10/16/2008	11/24/2008	[Redacted]	6/4/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)	
(b)(6),(b)(7) (C)	[Redacted]								
C210-003	Alleged Bank Fraud, Rock River Bank, Oregon, IL (Failed Bank)	Invest. Closed	1/22/2010	1/22/2010	[Redacted]	2/3/2014	Rockford	(b)(5),(b) (7)(E)	
(b)(6),(b)(7) (C),(b)(8)	[Redacted]								
C210-013	Alleged Commercial Loan Fraud	Invest. Closed	2/24/2010	3/18/2010	[Redacted]	8/21/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)	
(b)(6),(b)(7) (C)	[Redacted]								
C210-037	Wheatland Bank, Naperville, IL (Failed Bank); Bank Fraud	Invest. Closed	6/16/2010	6/16/2010	[Redacted]	8/14/2014	Naperville	(b)(5),(b) (7)(E)	
(b)(6),(b)(7) (C)	[Redacted]								
C210-040	Former Director of Arcola Homestead Savings Bank, Arcola, IL; Alleged Bank Fraud	Invest. Closed	7/6/2010	9/28/2010		10/29/2014	Arcola		
(b)(6),(b)(7) (C)	[Redacted]								
C210-044	Citizens First Bancorp, Port Huron, MI; Bank Fraud	Invest. Closed	8/10/2010	8/22/2011	[Redacted]	2/3/2014	Port Huron	(b)(5),(b) (7)(E)	
(b)(6),(b)(7) (C),(b)(8)	[Redacted]								
C210-047	Amcore Bank, Failed Bank; Alleged Commercial Loan Fraud	Invest. Closed	8/25/2010	3/15/2011	[Redacted]	8/13/2014	Rockford	(b)(5),(b) (7)(E)	
(b)(6),(b)(7) (C),(b)(8)	[Redacted]								
C210-054	(Victim Institution); Alleged Bank Fraud	Invest. Closed	9/1/2010	12/9/2010	[Redacted]	1/27/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)	

2a

(b)(6),(b)(7) (C)		Invest.						(b)(6),(b)(7) (C)
(b)(6),(b)(7) (C)	C211-027	MI; Mortgage Fraud	Closed	7/15/2011	8/23/2011		1/31/2014	(b)(5),(b)(7)(E)
(b)(8)		Invest.						(b)(8)
(b)(8)	C212-003	Alleged Bank Fraud	Closed	1/6/2012	3/23/2012		9/26/2014	(b)(5),(b)(7)(E)
(b)(8)		Invest.						(b)(8)
(b)(8)	C212-004	Embezzlement/Misapplication	Closed	1/18/2012	4/6/2012		8/8/2014	(b)(8)
(b)(5)		Invest.						(b)(5),(b)(7)(E)
(b)(5)	C212-017		Closed	3/2/2012	5/30/2012		6/30/2014	Chicago (b)(5),(b)(7)(E)
(b)(8)		Invest.						(b)(8)
(b)(8)	C212-035		Closed	5/31/2012	11/13/2012		11/19/2014	Shabbona (b)(5),(b)(7)(E)
(b)(6),(b)(7) (C),(b)(8)		Invest.						(b)(8)
(b)(6),(b)(7) (C),(b)(8)	C212-043	Embezzlement	Closed	8/14/2012	9/7/2012		5/2/2014	Chicago (b)(5),(b)(7)(E)
(b)(8)		Invest.						(b)(8)
(b)(8)	C212-044		Closed	7/19/2012	11/13/2012		1/27/2014	(b)(5),(b)(7)(E)
(b)(8)		Invest.						(b)(8)
(b)(8)	C213-025		Closed	8/13/2013	9/13/2013		9/24/2014	(b)(8)
								(b)(5),(b)(7)(E)

(b)(6),(b)(7) (C)	[Redacted]								
(b)(6),(b)(7) (C)	New Frontier Bank, Greeley, CO (victim/failed bank); Commercial Loan Fraud	Invest. Closed	3/6/2009	4/6/2009	[Redacted]	3/13/2014	Greeley	(b)(5),(b)(7)(E)	
(b)(4)	[Redacted] Houston, TX; Mortgage/Bank Fraud; Multiple Banks	Invest. Closed	12/4/2009	12/8/2009	[Redacted]	3/31/2014	Houston	(b)(5),(b)(7)(E)	
(b)(4),(b)(6), (b)(7)(C),(b)(8)	[Redacted] Bank Fraud	Invest. Closed	10/22/2010	10/27/2010	[Redacted]	12/9/2014	Dallas	(b)(5),(b)(7)(E)	
(b)(6),(b)(7) (C), (b)(4)	[Redacted] Houston, TX; Misuse of FDIC Symbol	Invest. Closed	11/22/2010	11/23/2010	[Redacted]	3/12/2014	Houston	(b)(5),(b)(7)(E)	
(b)(6),(b)(7) (C),(b)(8)	[Redacted] Kickbacks and Commercial Loan Fraud	Invest. Closed	1/24/2011	5/24/2011	[Redacted]	6/10/2014	Tupelo	(b)(5),(b)(7)(E)	
(b)(6),(b)(7) (C)	[Redacted] Innovative Bank, Oakland, CA (victim/failed bank); Commercial Loan Fraud	Invest. Closed	6/27/2011	6/30/2011	[Redacted]	3/25/2014	Dallas	(b)(5),(b)(7)(E)	
(b)(6),(b)(7) (C),(b)(8)	[Redacted] (victim); Embezzlement/Bank Fraud	Invest. Closed	1/11/2012	2/14/2012	[Redacted]	1/23/2014	[Redacted]	(b)(6),(b)(7) (C)	
(b)(6),(b)(7) (C),(b)(8)	[Redacted] (victim); Bank Fraud and Misapplication of Funds	Invest. Closed	2/18/2012	3/8/2012	[Redacted]	5/8/2014	Decatur	(b)(5),(b)(7)(E)	

4a

(b)(6), (b)(7) (C), (b)(8)	[Redacted]							
	CO (Victim); Bank Fraud and Bank Bribery	Invest. Closed	5/1/2012	5/1/2012	[Redacted]	10/8/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)
(b)(6), (b)(7) (C), (b)(8)	[Redacted]							
	TX (Victim); Bank Fraud and Commercial Loan Fraud	Invest. Closed	6/25/2012	6/25/2012	[Redacted]	12/22/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)
(b)(4)	[Redacted]							
	Tennessee Commerce Bank, Franklin, TN (Victim/Failed Bank) and FDIC (Victim); Loan Fraud	Invest. Closed	9/21/2012	12/17/2012		10/14/2014	Dallas	
(b)(4), (b)(7) (C), (b)(8)	[Redacted]							
(b)(4), (b)(7) (C), (b)(8)	[Redacted] LA (Victim); Bank Fraud	Invest. Closed	2/1/2013	3/15/2013	[Redacted]	12/1/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)
(b)(6), (b)(7) (C), (b)(8)	[Redacted]							
	Embezzlement/Misapplication	Invest. Closed	6/24/2013	7/30/2013	[Redacted]	3/25/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)
(b)(4), (b)(6), (b)(7) (C), (b)(8)	[Redacted]							
	(Victim); Misapplication of Funds	Invest. Closed	2/26/2014	2/26/2014	[Redacted]	12/22/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)
(b)(6), (b)(7) (C)	[Redacted] Loan Officer, [Redacted]							
(b)(8)	[Redacted]							
	Structuring & Money Laundering	Invest. Closed	12/11/2007	1/9/2008	[Redacted]	4/30/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)
(b)(6), (b)(7) (C), (b)(8)	[Redacted]							
	Alleged Commercial Loan Fraud	Invest. Closed	8/8/2008	10/1/2008	[Redacted]	1/14/2014	[Redacted]	(b)(8) (b)(5), (b)(7)(E)

5a

(b)(5), (b)(7)(E)

(b)(6),(b)(7) (C)	[Redacted] Former Director and CEO, American Sterling Bank (Failed Bank), Sugar Creek, MO; Bank Fraud	Invest. Closed	4/20/2009	4/23/2009	[Redacted]	9/29/2014	Sugar Creek	(b)(5),(b) (7)(E)
(b)(6),(b)(7) (C)	[Redacted] BankFirst, Sioux City, SD; Victim Bank/Bank Failure; Alleged Commercial Loan Fraud	Invest. Closed	3/19/2010	6/23/2010	[Redacted]	6/10/2014	Sioux City	(b)(5),(b) (7)(E)
(b)(6),(b)(7) (C),(b)(8)	[Redacted] Alleged Bank and Loan Fraud	Invest. Closed	4/30/2012	5/1/2012	[Redacted]	4/23/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)
(b)(6),(b)(7) (C),(b)(8)	[Redacted] Bank Fraud	Invest. Closed	9/11/2012	9/17/2012	[Redacted]	7/1/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)
(b)(6),(b)(7) (C),(b)(8)	[Redacted] Embezzlement	Invest. Closed	1/31/2013	4/15/2013	[Redacted]	8/8/2014	[Redacted]	(b)(8)
(b)(6),(b)(7) (C),(b)(8)	[Redacted] Alleged misuse of Position, Embezzlement	Invest. Closed	4/4/2013	4/8/2013	[Redacted]	3/1/2014	[Redacted]	(b)(8)
(b)(7)(C)(b) (8)	[Redacted] Alleged Misuse of Position, Embezzlement	Invest. Closed	8/21/2013	8/22/2013	[Redacted]	7/23/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)
(b)(6),(b)(7) (C),(b)(8)	[Redacted] MA; Mortgage Fraud	Invest. Closed	9/6/2008	9/15/2008	[Redacted]	5/9/2014	[Redacted]	(b)(8) (b)(5),(b) (7)(E)

6a

(b)(5),(b)
(7)(E)

(b)(6),(b)(7) (C)	[Redacted]	Invest. Closed							(b)(8)
N209-006	Fraud		4/8/2009	4/21/2009	[Redacted]	8/8/2014	[Redacted]		(b)(5),(b)(7)(E)
(b)(6),(b)(7) (C)	[Redacted]	Invest. Closed							(b)(5),(b)(7)(E)
N209-007	Concealment of Assets		4/28/2009	5/1/2009	[Redacted]	7/7/2014	Boston		(b)(5),(b)(7)(E)
(b)(4)	[Redacted]	Invest. Closed							(b)(8)
N210-001	Bank Fraud		1/5/2010	1/5/2010	[Redacted]	10/15/2014	[Redacted]		(b)(5),(b)(7)(E)
(b)(6),(b)(7) (C)	[Redacted]	Invest. Closed							(b)(5),(b)(7)(E)
N210-011	New York, NY; Mortgage Fraud		5/10/2010	6/7/2010	[Redacted]	9/11/2014	New York		(b)(5),(b)(7)(E)
(b)(6),(b)(7) (C),(b)(8)	[Redacted]	Invest. Closed							(b)(8)
N210-017	PA; Bank Fraud		7/19/2010	8/5/2010	[Redacted]	11/4/2014	[Redacted]		(b)(5),(b)(7)(E)
(b)(6),(b)(7) (C),(b)(8)	[Redacted]	Invest. Closed							(b)(8)
N210-025	Philadelphia, PA		9/28/2010	9/28/2010		10/10/2014	[Redacted]		(b)(8)
N211-006	Public Savings Bank, Huntington Valley, PA; Alleged Criminal BSA Violations	Invest. Closed	3/30/2011	7/8/2011		8/6/2014	Huntingdon Valley		
(b)(6),(b)(7) (C)	[Redacted] New York, NY; Mortgage Fraud	Invest. Closed							(b)(8)
N211-012			8/2/2011	8/2/2011	[Redacted]	2/10/2014	New York		(b)(5),(b)(7)(E)

7a

(b)(6),(b)(7) (C)	[Redacted]	Invest. Closed							
N212-019	Check Kiting		11/15/2012	12/13/2012			12/8/2014	New York	
(b)(4),(b)(6), (b)(7)(C),(b)(8)	[Redacted]	Invest. Closed							(b)(8)
N213-012	Position		7/16/2013	3/11/2014			10/15/2014		
(b)(6),(b)(7) (C),(b)(8)	[Redacted]	Invest. Closed							
N213-017	[Redacted]		11/21/2013	12/26/2013			7/25/2014	Staten Island	(b)(5),(b)(7)(E)
(b)(4),(b)(6), (b)(7)(C)	[Redacted]	Invest. Closed							
(b)(4),(b)(6), (b)(7)(C)	ANB Financial, Rogers, Arkansas (victim/failed bank); Bank Fraud		2/19/2009	3/5/2009			6/26/2014		(b)(6),(b)(7) (C)
S209-007									
(b)(6),(b)(7) (C),(b)(8)	[Redacted]	Invest. Closed							(b)(5),(b)(7)(E)
S209-034	(victim); Bank Fraud		9/13/2009	9/22/2009			5/7/2014	Seattle	
(b)(6),(b)(7) (C)	[Redacted] - First National Bank of Nevada - Self Dealing	Invest. Closed							
S210-032			4/30/2010	6/11/2013			3/31/2014	Reno	
(b)(6),(b)(7) (C)	[Redacted]	Invest. Closed							
(b)(6),(b)(7) (C)	[Redacted] Summit Bank, Burlington, WA; Bank Fraud		5/13/2011	3/12/2012			1/28/2014	Burlington	(b)(5),(b)(7)(E)
S211-006									
(b)(4),(b)(6), (b)(7)(C)	[Redacted]	Invest. Closed							
S212-004			3/14/2012	6/26/2012			3/31/2014	Lancaster	(b)(5),(b)(7)(E)

8a

(b)(8)	[Redacted]								
S212-011	Theft, Embezzlement by Bank Employee	Invest. Closed	4/30/2012	3/8/2013	[Redacted]	6/18/2014	San Francisco	(b)(5), (b)(7)(E)	
(b)(6), (b)(7)(C)	[Redacted]								
(b)(6), (b)(7)(C)	[Redacted] UT; Commercial Loan Fraud	Invest. Closed	5/10/2012	9/12/2012	[Redacted]	3/27/2014	[Redacted]	(b)(4), (b)(6), (b)(7)(C)	
(b)(6), (b)(7)(C)	[Redacted] FDIC Employee							(b)(5), (b)(7)(E)	
(b)(6), (b)(7)(C)	[Redacted] - Illegal electronic eavesdropping upon confidential communications- California Penal Code 632	Invest. Closed	5/10/2012	6/5/2012		3/31/2014	[Redacted]	(b)(6), (b)(7)(C)	
(b)(6), (b)(7)(C), (b)(8)	[Redacted]								
S212-018	Term Employee - Felony Vandalism	Invest. Closed	8/17/2012	8/17/2012		1/17/2014	[Redacted]	(b)(6), (b)(7)(C)	
(b)(6), (b)(7)(C), (b)(8)	[Redacted]								
(b)(6), (b)(7)(C), (b)(8)	[Redacted] Los Angeles, CA (Victim); Bank Fraud	Invest. Closed	8/14/2013	6/13/2014	[Redacted]	11/22/2014	Los Angeles	(b)(5), (b)(7)(E)	
(b)(6), (b)(7)(C), (b)(8)	[Redacted]								
S214-008	[Redacted] violations	Invest. Closed	3/26/2014	4/7/2014	[Redacted]	7/7/2014	[Redacted]	(b)(6), (b)(7)(C), (b)(8)	
(b)(4)	[Redacted] Potential							(b)(5), (b)(7)(E)	
(b)(8)	[Redacted] Money Laundering through [Redacted]	Invest. Closed	12/2/2010	1/18/2011	[Redacted]	3/18/2014	[Redacted]	(b)(6), (b)(7)(C), (b)(8)	
	[Redacted]							(b)(5), (b)(7)(E)	
(b)(6), (b)(7)(C)	[Redacted] - Alleged False Representation of FDIC	Invest. Closed	3/23/2012	7/24/2012	[Redacted]	7/10/2014	[Redacted]	(b)(6), (b)(7)(C)	

9a

(b)(5), (b)(7)(E)

(b)(4),(b)(6), (b)(7)(C)	[Redacted]	Invest. Closed	5/3/2012	6/6/2012	[Redacted]	7/30/2014	Baltimore	(b)(5),(b) (7)(E)
X212-003	[Redacted]							
(b)(6),(b)(7) (C),(b)(8)	[Redacted]	Invest. Closed	5/15/2012	8/1/2012	[Redacted]	7/30/2014	Baltimore	(b)(5),(b) (7)(E)
X212-004	[Redacted]							
X212-008	Alleged Fraudulent FDIC Receivership Checks	Invest. Closed	9/19/2012	6/17/2013	[Redacted]	3/26/2014	Unknown	(b)(5),(b) (7)(E)

10a

Location State	Mortgage Fraud (Y/N)	Money Laundering (Y/N)	Office	Manager	Source of Allegation	Offense Class	FDIC Division	Victim
NC	Yes	Yes	Atlanta	Chappell, James H.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
(b)(7)(C)	Yes	No	Atlanta	Moran, Jason T.	Department of Justice	Bank Fraud	Division of Supervision & Consumer Protection	Open Bank/Thrift
VA	Yes	No	Atlanta	Evans, A. Derek	Federal Bureau Investigation	Bank Fraud	Division of Supervision & Consumer Protection	Open Bank/Thrift
GA	No	No	Atlanta	Moran, Jason T.	Another Agency	Abuse of Position	Division of Supervision & Consumer Protection	Closed Bank/Thrift
GA	Yes	No	Atlanta	Chappell, James H.	Department of Homeland Security (USSS and ICE)	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
NC	No	No	Atlanta	Moran, Jason T.	OIG, Office of Audit	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
WV	No	No	Atlanta	Mace, Francis L.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
(b)(5),(b)(8)	Yes	Yes	Chicago	Lucas, John E.		Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift

IL	Yes	Yes	Chicago	Lucas, John E.	Department of Justice	Fraud Offenses, general	Division of Risk Management Supervision	Open Bank/Thrift
IL	No	No	Chicago	Lucas, John E.	Division of Risk Management Supervision	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
(b)(7)(E)								
MI	No	No	Chicago	Lucas, John E.		Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
IL	No	No	Chicago	Lucas, John E.	Division of Risk Management Supervision	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
IL	No	No	Chicago	Lucas, John E.	Division of Risk Management Supervision	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
MI	Yes	No	Chicago	Evans, A. Derek	Department of Justice	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
IL	No	No	Chicago	Lucas, John E.	Division of Resolutions & Receiverships	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
(b)(7)(E)								
IL	No	No	Chicago	Lucas, John E.		Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift

26

MI	Yes	No	Chicago	Lucas, John E.	Division of Resolutions & Receiverships	Fraud Offenses, general	Division of Resolutions & Receiverships	Closed Bank/Thrift
OH	No	No	Chicago	Lucas, John E.	Another Agency	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
OH	No	No	Chicago	Lucas, John E.	Federal Bureau Investigation	Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift
(b)(4),(b)(7)(E)								
IL	No	No	Chicago	Lucas, John E.		Fraud Offenses, general	Division of Risk Management Supervision	Other FDIC Operations
(b)(4),(b)(7)(E)								
IL	No	No	Chicago	Moriarty, Joseph		Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
IL	No	No	Chicago	Lucas, John E.	Division of Risk Management Supervision	Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift
WI	No	No	Chicago	Chappell, James H.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
KY	No	No	Chicago	Lucas, John E.	Division of Risk Management Supervision	Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift

CO	No	Yes	Dallas	Younger, Laurie L.	Division of Risk Management Supervision	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
TX	Yes	No	Dallas	Younger, Laurie L.	Federal Bureau Investigation	Mail/Wire Fraud	Division of Supervision & Consumer Protection	Closed Bank/Thrift
TX	No	No	Dallas	Younger, Laurie L.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
TX	No	No	Dallas	Younger, Laurie L.	Another Agency	Larceny/Theft Offenses	Division of Depositor & Consumer Protection	Other FDIC Operations
MS	No	No	Dallas	Younger, Laurie L.	Another Agency	Bribery	Division of Risk Management Supervision	Open Bank/Thrift
TX	No	No	Dallas	Younger, Laurie L.	Another Inquiry/Invest.	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
AR	No	No	Dallas	Younger, Laurie L.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
AR	No	No	Dallas	Younger, Laurie L.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift

46

CO	No	No	Dallas	Younger, Laurie L.	Department of Justice	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
TX	No	No	Dallas	Younger, Laurie L.	Private Citizen	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
TX	No	No	Dallas	Younger, Laurie L.	Division of Resolutions & Receiverships	Fraud Offenses, general	Division of Resolutions & Receiverships	Closed Bank/Thrift
LA	No	No	Dallas	Younger, Laurie L.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
TN	No	No	Dallas	Younger, Laurie L.	Federal Bureau Investigation	Embezzlem ent	Division of Risk Management Supervision	Open Bank/Thrift
CO	No	No	Dallas	Younger, Laurie L.	Division of Risk Management Supervision	Misappropri ation of Funds	Division of Risk Management Supervision	Open Bank/Thrift
MO	Yes	Yes	Kansas City	Lucas, John E.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
(b)(7)(E)							Division of Risk Management Supervision	
NE	No	No	Kansas City	Anderson, David L.		Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift

	MO	Yes	No	Kansas City	Anderson, David L.	Division of Resolutions & Receiverships	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
	SD	No	No	Kansas City	Anderson, David L.	Another Agency	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
(b)(7)(E)	MO	No	No	Kansas City	Anderson, David L.		Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
(b)(7)(E)	MO	No	No	Kansas City	Anderson, David L.		Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
	MO	No	Yes	Kansas City	Anderson, David L.	Another Agency	Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift
	MO	No	No	Kansas City	Anderson, David L.	Division of Risk Management Supervision	Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift
	KS	No	Yes	Kansas City	Anderson, David L.	Division of Risk Management Supervision	Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift
	MA	Yes	Yes	Northeast	Evans, A. Derek	State/Local Government	Bank Fraud	Division of Supervision & Consumer Protection	Open Bank/Thrift

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NI	No	No	Northeast	Evans, A. Derek	Department of Treasury	Bank Fraud	Division of Supervision & Consumer Protection	Open Bank/Thrift
MA	No	No	Northeast	Evans, A. Derek	Department of Justice	Concealment of Assets	Division of Resolutions & Receiverships	Closed Bank/Thrift
PA	No	No	Northeast	Mace, Francis L.	Department of Justice	Bank Fraud	Division of Supervision & Consumer Protection	Open Bank/Thrift
NY	Yes	No	Northeast	Mace, Francis L.	Federal Bureau of Investigation	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
PA	No	No	Northeast	Mace, Francis L.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
PA	No	No	Northeast	Mace, Francis L.	Department of Homeland Security (USSS and ICE)	Misappropriation of Funds	Division of Resolutions & Receiverships	Open Bank/Thrift
PA	No	Yes	Northeast	Evans, A. Derek	Another Agency	Drug/Narcotic Offenses	Office of Inspector General	Closed Bank/Thrift
NY	Yes	No	Northeast	Evans, A. Derek	Federal Bureau of Investigation	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift

(b)(7)(E)

NY	No	No	Northeast	Mace, Francis L.	Federal Bureau Investigation	Fraud Offenses, general	Division of Risk Management Supervision	Open Bank/Thrift
PA	No	No	Northeast	Mace, Francis L.		Embezzlement	Division of Risk Management Supervision	Open Bank/Thrift
NY	No	No	Northeast	Evans, A. Derek	Division of Risk Management Supervision	Fraud Offenses, general	Division of Risk Management Supervision	Open Bank/Thrift
UT	No	Yes	San Francisco	Younger, Laurie L.	OI Initiated	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
WA	No	No	San Francisco	Walters, Wade V.	Another Agency	Bank Fraud	Not Applicable	Open Bank/Thrift
NV	No	No	San Francisco	Walters, Wade V.	Hotline	Preferential Treatment	Division of Resolutions & Receiverships	Closed Bank/Thrift
WA	No	No	San Francisco	Walters, Wade V.	Legal Division	Fraud Offenses, general	Division of Resolutions & Receiverships	Closed Bank/Thrift
CA	No	No	San Francisco	Walters, Wade V.	Division of Resolutions & Receiverships	Purchase by Prohibited Purchase	Division of Resolutions & Receiverships	Other FDIC Operations

86

CA	No	No	San Francisco	Walters, Wade V.	Department of Homeland Security (USSS and ICE)	Embezzlement	Office of Inspector General	Open Bank/Thrift
UT	No	No	San Francisco	Alessandrino, Matthew T.	Hotline	Bank Fraud	Division of Resolutions & Receiverships	Closed Bank/Thrift
(b)(6), (b)(7)(C)	No	No	San Francisco	Walters, Wade V.	Division of Risk Management Supervision	None of the Above	Division of Risk Management Supervision	Other FDIC Operations
CA	No	No	San Francisco	Walters, Wade V.	Metropolitan Police	Destr/Damage/Vandalism of Prop	Division of Risk Management Supervision	Open Bank/Thrift
CA	No	No	San Francisco	Walters, Wade V.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
CA	No	No	San Francisco	Walters, Wade V.	Division of Risk Management Supervision	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
(b)(7)(E)	No	Yes	ECU	Chappell, James H.		Fraud Offenses, general	Division of Supervision & Consumer Protection	Open Bank/Thrift
VA	Yes	No	ECU	Chappell, James H.	Division of Risk Management Supervision	False FDIC Affiliation	Not Applicable	Other FDIC Operations

(b)(7)(E)

MD	Yes	No	ECU	Evans, A. Derek	Another Agency	Bank Fraud	Division of Risk Management Supervision	Open Bank/Thrift
MD	Yes	No	ECU	Evans, A. Derek		Mail/Wire Fraud	Division of Risk Management Supervision	Open Bank/Thrift
ZX	No	No	ECU	Chappell, James H.	Division of Resolutions & Receiverships	Counterfeiting/Forgery	Division of Resolutions & Receiverships	Closed Bank/Thrift

106

Program Activity	Principal Subject Type	Potential Violation(s)	Fraud Amount	Investigation Result	Hours Charged
Bank/Thrift Operations	Bank/Thrift Customer		\$100,000,000.00	Action taken based on investigative find	3,974.00
Bank/Thrift Operations	Bank/Thrift Customer		\$10,000,000.00	Action taken based on investigative find	2,662.50
Bank/Thrift Operations	Other		\$25,000,000.00	Allegation unsubstantiated	116
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,000,000.00	No action taken based on inv finding	131
Bank/Thrift Operations	Bank/Thrift Customer		\$10,000,000.00	Action taken based on investigative find	5,219.50
Bank/Thrift Operations	Other		\$9,200,000.00	No action taken based on inv finding	526.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$6,000,000.00	Action taken based on Investigative find	749
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$7,000,000.00	Action taken based on investigative find	2,240.00

10

Bank/Thrift Operations	Bank/Thrift Customer		\$5,000,000.00	Action taken based on investigative find	2,633.50
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$3,541,001.00	No action taken based on inv finding	647.5
Bank/Thrift Operations	Bank/Thrift Customer		\$8,000,000.00	No action taken based on inv finding	285.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$245,000.00	No action taken based on inv finding	61.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$2,000,000.00	Action taken based on Investigative find	135
Bank/Thrift Operations	Bank/Thrift Customer		\$2,229,909.00	No action taken based on inv finding	450
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,997,881.00	Action taken based on investigative find	1,319.50
Employee Activities	Bank/Thrift Employee/Official		\$173,604.61	Action taken based on investigative find	538

2c

Bank/Thrift Operations	Bank/Thrift Customer		\$999,950.00	No action taken based on inv finding	74.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$2,100,000.00	No action taken based on inv finding	80.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$687,000.00	Action taken based on investigative find	184.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$23,590.00	No action taken based on inv finding	140
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,200,000.00	No action taken based on inv finding	122
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$3,000,000.00	Action taken based on investigative find	81
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$84,306.00	No action taken based on inv finding	258.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$118,775.00	Action taken based on investigative find	79

30

Bank/Thrift Operations	Bank/Thrift Employee/Official		\$26,000,000.00	Action taken based on investigative find	1,322.00
Bank/Thrift Operations	Bank/Thrift Customer		\$25,000,000.00	Action taken based on investigative find	315
Bank/Thrift Operations	Bank/Thrift Customer		\$2,000,000.00	Action taken based on investigative find	1,430.00
Other FDIC Operations	Other		\$1,103,128.00	Action taken based on investigative find	393
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,180,000.00	No action taken based on inv finding	94.5
Other FDIC Operations	Other		\$8,000,000.00	Allegation unsubstantiated	63.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$358,419.68	Action taken based on investigative find	238.5
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$3,000,000.00	No action taken based on inv finding	351.5

4c

Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,055,918.00	Action taken based on investigative find	1,853.00
Bank/Thrift Operations	Bank/Thrift Customer		\$3,000,000.00	Allegation unsubstantiated	329.5
Asset Management	Bank/Thrift Customer		\$20,000,000.00	Allegation unsubstantiated	58
Bank/Thrift Operations	Bank/Thrift Customer		\$20,000,000.00	Allegation unsubstantiated	331
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$264,009.95	Action taken based on investigative find	40
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$500,000.00	Allegation unsubstantiated	0
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$500,000.00	Action taken based on investigative find	1,707.50
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$8,400,000.00	No action taken based on inv finding	828

50

Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,500,000.00	Action taken based on investigative find	1,240.00
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$2,800,000.00	No action taken based on Inv finding	238
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$382,535.00	Action taken based on investigative find	52
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,300,000.00	No action taken based on inv finding	132.75
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$317,000.00	Action taken based on investigative find	69
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$397,000.00	Action taken based on investigative find	84
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$278,749.16	Action taken based on investigative find	206
Bank/Thrift Operations	Other		\$2,500,000.00	Action taken based on investigative find	393.5

60

Bank/Thrift Operations	Bank/Thrift Customer		\$3,000,000.00	Action taken based on investigative find	435
Restitution Collection	Debtor		\$10,000,000.00	No action taken based on inv finding	257
Bank/Thrift Operations	Other		\$0.00	No action taken based on inv finding	31
Bank/Thrift Operations	Other		\$8,000,000.00	Action taken based on investigative find	3,483.00
Bank/Thrift Operations	Other		\$500,000.00	No action taken based on inv finding	0
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$100,000.00	Action taken based on investigative find	366
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$5,000,000.00	Action taken based on investigative find	429.5
Bank/Thrift Operations	Other		\$60,000,000.00	Action taken based on investigative find	196

7c

Bank/Thrift Operations	Other		\$20,000,000.00	Action taken based on investigative find	191
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$20,000,000.00	No action taken based on inv finding	158
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$320,000.00	Action taken based on investigative find	223
Bank/Thrift Operations	Bank/Thrift Customer		\$7,000,000.00	Action taken based on investigative find	423
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,859,300.00	Action taken based on investigative find	753
Asset Sales	FDIC Contractor		\$0.00	Allegation unsubstantiated	307
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$2,000,000.00	Action taken based on investigative find	3,159.00
Asset Sales	Purchaser/Bidder		\$9,621,250.00	No action taken based on inv finding	531

8c

Bank/Thrift Operations	Bank/Thrift Employee/Official		\$49,155.00	Action taken based on investigative find	338
Bank/Thrift Operations	Debtor		\$8,500,000.00	No action taken based on inv finding	0
Employee Activities	FDIC Current/Former Employee		\$0.00	Action taken based on investigative find	0
Employee Activities	FDIC Current/Former Employee		\$17,000.00	Action taken based on investigative find	0
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,146,000.00	Investigation closed prior to completion	49
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$0.00	Allegation unsubstantiated	26
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$100,383,243.00	No action taken based on inv finding	935
Other FDIC Operations	Other		\$0.00	No action taken based on inv finding	177

9c

Bank/Thrift Operations	Bank/Thrift Customer		\$1,000,000.00	Transferred to another OIG office	1,336.00
Bank/Thrift Operations	Bank/Thrift Employee/Official		\$1,000,000.00	Transferred to another OIG office	2,410.00
Restitution Collection	Other		\$0.00	No action taken based on inv finding	127.5

10 c

(b)(4)

Predication Synopsis:

(b)(6),(b)(7)
(C)

This investigation was initiated based on information received from the FDIC Division of Risk Management Supervision (RMS) Atlanta Regional Office, regarding alleged fraud by real estate developer [redacted] and [redacted] impacting the following institutions: [redacted]

(b)(8)

(b)(8)

[COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

This investigation was initiated based on a request for assistance from the United States Department of Justice regarding an on-going joint investigation with the FBI, HUD/OIG, the Postal Inspection Service, and the IRS-CI into allegations of mortgage and investment fraud by [redacted] real estate developer. [redacted] is alleged to have orchestrated a mortgage and investme -

(b)(6),(b)(7)
(C)

(b)(6),(b)(7)
(C)

[COULD CONTAIN MORE INFORMATION]

(b)(8)

This investigation was initiated based on a request for assistance from the Federal Bureau of Investigation (FBI), Northern Virginia Resident Agency, Manassas, VA. The FBI received a referral from [redacted], regarding losses of approximately [redacted]

(b)(8)

(b)(4),(b)(8)

[redacted] on a series of [redacted] residential mortgage loans the bank acquired from [redacted]

[COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(8)

This investigation was initiated based on allegations that on three (3) occasions in 2008, Central Bank of Georgia officers made self-loans and injected the loan proceeds into the institution in order to inflate the Bank's capital numbers. All the transactions were conducted on the last day of the respective quarter. Central Bank of Georgia (FDIC Cert. 5687), Ellaville, GA, is regulated by the F - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

This investigation was initiated based on a request for assistance from the United States Secret Service (USSS) regarding an allegation [redacted] submitted fraudulent invoices to First National Bank (FNB) (FDIC Cert. 34152), Savannah, GA, to obtain funds from two separate commercial loans. The scheme caused losses to FNB; First National Bank of Nassau County, Florida, nka CBC Nat - [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(8)

This investigation was initiated based on information received from the OIG Office of Audit. [redacted]

(b)(8)

(b)(4),(b)(8)

(b)(4),(b)(8)

[redacted] Bluewater Beach was [redacted]

land c - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

The Office of Inspector General, Office of Investigations, has completed its investigation into allegations that [redacted] committed fraud while employed as a loan officer at [redacted]

(b)(8)

(b)(8)

[redacted] Barboursville, WV. Specifically, we looked into allegations of bank fraud and misapplication of bank funds. This memorandum is for informational purposes; no action is re - [COULD CONTAIN MORE INFORMATION]

(b)(5),(b)(8)

This investigation was initiated based on information obtained from the [redacted] Main Street Bank (FDIC Cert. 57654), Northville, MI, was closed on October 10, 2008, by the Michigan Office of Financial and Insurance Regulation. The OIG coordinated closing activity with ORR. The FDIC Division of Risk Management Supervision (RMS) also provided information related to - [COULD CONTAIN MORE INFORMATION]

(b)(5),(b)(8)

ld

This investigation was initiated based on a request for assistance from the United States Attorney's Office for the Central District of Illinois involving an ongoing FBI investigation of Michael Sample (Sample). Sample has allegedly flipped multiple houses and caused losses of at least \$5,000,000 to

(b)(4),(b)(8) Washington Mutual through their ownership of [REDACTED]
[FD - [COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on information provided by the FDIC Division of Risk Management Supervision (RMS) and the Division of Resolutions and Receiverships (DRR) regarding activities at Rock River Bank (FDIC Cert. 15302), Rockford, IL. Rock River Bank was regulated by the FDIC until the bank failed on July 2, 2009.

(b)(6),(b)(7)(C) [REDACTED] for the Rock River Bank br - [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(6),
(b)(7)(C)(b)
(7)(E),(b)(8)
[REDACTED]
- [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(6),
(b)(7)(C),(b)
(8)
[REDACTED]
[COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on information provided by the FDIC Division of Risk Management Supervision (RMS) regarding allegations of bank fraud. Specifically, [REDACTED] a former director of Arcola Homestead Savings Bank (AHSB) (FDIC Cert. 31813), Arcola, IL, is alleged to have referred friends and business partners to AHSB to get interest only loans secured by second mort -
[COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) This investigation was initiated based on the review of certain loan documents regarding [REDACTED] a former loan customer of CF Bancorp (FDIC Cert. 30005), Port Huron, MI. On April 30, 2010, CF Bancorp was merged with government financial assistance and subsequently operated as part of Talmer Bank and Trust (FDIC Cert. 58132), Troy, MI.

(b)(6),(b)(7)(C) In July and November of 2006, Dobek is a - [COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on information from the FDIC Division of Resolutions and Receiverships (DRR) regarding an allegation of commercial loan fraud committed by [REDACTED]

(b)(6),(b)(7)(C) [REDACTED] and Commercial Team Lead employee of Amcore Bank NA (FDIC Cert. 3735), Rockford, IL. [REDACTED] was terminated on September 25, 2008, for alleged conflicts of inte - [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(6),
(b)(7)(C)(b)
(7)(E),(b)(8)
[REDACTED]
[CONTAIN MORE INFORMATION]

(b)(4), (b)(6),
(b)(7)(C), (b)(8)

This investigation was initiated based on a review of nonperforming Washington Mutual loans selected for recovery/litigation by the FDIC Division of Resolutions and Receiverships (DRR). [REDACTED] was retained to represent the FDIC and provided the following summary of findings:

(b)(4)

(b)(6), (b)(7)(C)

One issue relates to a construction loan with a settlement date of [REDACTED]. The purchase price was [REDACTED]. [COULD CONTAIN MORE INFORMATION]

(b)(4), (b)(6),
(b)(7)(C), (b)(8)

This investigation was initiated based on information received from the Federal Bureau of Investigation

(b)(6), (b)(7)(C)

(FBI) and the Cincinnati Metropolitan Area Mortgage Fraud Task Force. It was alleged that [REDACTED]

[REDACTED] President [REDACTED] OH, participated in bank fraud and approved loans for real estate that contained false information [REDACTED]. [COULD CONTAIN MORE INFORMATION]

(b)(8)

(b)(6), (b)(7)(C)

This investigation was initiated based on information provided by the Federal Bureau of Investigation (FBI) regarding [REDACTED] a bank manager at [REDACTED]

(b)(8)

(b)(8), (b)(6), (b)(7)(C)

[REDACTED] OH. [REDACTED] is alleged to have defrauded customers at the bank. In late 2010, Niehaus removed approximately [REDACTED] from [REDACTED] bank account. [REDACTED] had [REDACTED]. [COULD CONTAIN MORE INFORMATION]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C), (E), (b)(8)

[COULD CONTAIN MORE INFORMATION]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C), (E), (b)(8)

This investigation was initiated based on information received from the FDIC Division of Risk Management Supervision (RMS) regarding [REDACTED] Chief Operating Officer, [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] Chicago, IL. [REDACTED] allegedly embezzled about [REDACTED] from the bank

(b)(6), (b)(7)(C)

over a period of approximately 15 years. The bank recently uncovered the embezzlement and [REDACTED] reported - [COULD CONTAIN MORE INFORMATION]

(b)(6), (b)(7)(C), (E), (b)(8)

(b)(4), (b)(6),
(b)(7)(C), (b)(8)

[COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on information from the FDIC Division of Risk Management

(b)(4), (b)(8)

(b)(6), (b)(7)(C)

Supervision (RMS) regarding [REDACTED] Senior Vice President, [REDACTED]

(b)(8)

[REDACTED] During the week of July 29, 2013, bank management became aware of an alleged embezzlement when an internal control process detected an irregular outage and account - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

This investigation was initiated based on a request for assistance from the FDIC Division of Risk Management Supervision (RMS) regarding allegations involving New Frontier Bank (New Frontier) (FDIC Cert. 34881), Greeley, CO, Chief Lending Office [redacted] and bank customers (including [redacted] owner of [redacted]). On April 10, 2009, New Frontier - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(4)

(b)(6),(b)(7)
(C)

(b)(6),(b)(7)
(C)

This investigation was initiated based on a request for assistance from the Federal Bureau of Investigation (FBI) and United States Attorney's Office, Houston, TX, regarding allegations that [redacted] [redacted] and [redacted] were engaged in a mortgage fraud scheme involving single-family residential and condominium loans perpetrated - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(6),(b)(7)
(C)

(b)(4),(b)(6),
(b)(7)(C),(b)(7)(E)

[redacted] [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(4)

This case was initiated based on a referral from the Enforcement Division of the Texas State Securities Board, the United States Attorney's Office, and the Federal Bureau of Investigation regarding allegations of a possible fraudulent investment scheme perpetrated by [redacted] through [redacted]

(b)(6),(b)(7)
(C)

(b)(4)

(b)(6),(b)(7)
(C)

A joint investigation conducted with the - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(6),(b)(7)
(C)

This investigation was initiated based on a referral from the Internal Revenue Service regarding the activity of [redacted], one of three partners in [redacted] and [redacted] a loan officer with [redacted] was created to develop a subdivision in Mississippi and was a customer of [redacted] [COULD CONTAIN MORE INFORMATION]

(b)(8)
(b)(4)

(b)(8)

(b)(8)

(b)(6),(b)(7)
(C)

This investigation was initiated under OIG Case No. S210-022 based on allegations of commercial loan fraud against [redacted] a former loan officer at [redacted] Oakland, CA, a FDIC-regulated institution [redacted] Innovative Bank was closed on April 16, 2010, and the FDIC was appointed Receiver. Allegations of fraud in the SBA department and loan production offices in Los Angeles - [COULD CONTAIN MORE INFORMATION]

(b)(8)

(b)(6),(b)(7)
(C)

This investigation was initiated based on a referral from the FDIC Division of Risk Management Supervision (RMS) which indicated [redacted] former vice president and loan officer of [redacted] [redacted] created fraudulent bank loans and stole money from customer accounts. FSB is regulated by the FDIC.

(b)(8)

(b)(8)

A joint investigation was initiated with - [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(6),
(b)(7)(C)

This investigation was initiated based on a referral from the FDIC Division of Risk Management Supervision (RMS) and a request for assistance from the United States Attorney's Office (USAO) Western District of Arkansas, regarding allegations of fraudulent activities of [redacted] and [redacted] former Vice Presidents and Loan Officers of [redacted] (FDIC Ce - [COULD CONTAIN MORE INFORMATION])

(b)(6),(b)(7)
(C)

(b)(8)

4d

(b)(6), (b)(7)
 (C)
 (b)(8) This investigation was initiated based on a request for assistance from the Federal Bureau of Investigation (FBI) and United States Attorney's Office (USAO), District of Colorado, regarding allegations of fraudulent activities of [redacted] former Vice President and Loan Officer of [redacted] CO. According to the referral,

(b)(8)

[redacted] [COULD CONTAIN MORE INFORMATION]

(b)(6), (b)(7)
 (C)
 (b)(8) This investigation was initiated based on a referral from [redacted] Humble, TX, regarding allegations of bank fraud and commercial loan fraud by [redacted] customer

(b)(8)

(b)(6), (b)(7)
 (C)
 (b)(8) [redacted] is regulated by the FDIC. According to [redacted] from January 1, 2009, through January 30, 2012, [redacted] engaged in a scheme to obtain commercial loans using applic - [COULD CONTAIN MORE INFORMATION]

(b)(8)

(b)(6), (b)(7)
(C)

(b)(6), (b)(7)
 (C)
 (b)(8) This investigation was initiated based on a referral from the FDIC Division of Resolutions and Receiverships (DRR) regarding a possible commercial loan fraud resulting in overall losses to the FDIC as Receiver of Tennessee Commerce Bank, Franklin, TN (TCB) (FDIC Cert. 35296) in excess of \$20 million. FDIC was appointed Receiver of TCB on January 27, 2012.

In August of 2008, TCB funded loans - [COULD CONTAIN MORE INFORMATION]

(b)(4), (b)(7)
 (C), (b)(8) This investigation was initiated based on a referral from the FDIC Division of Risk Management Supervision (RMS) regarding allegations of suspicious activity [redacted]

[redacted] is regulated by the FDIC.

[COULD CONTAIN MORE INFORMATION]

(b)(4), (b)(8)
 (b)(8) This investigation was initiated based on a referral from the [redacted] Portland, TN, regarding allegations of embezzlement by former head teller [redacted]

(b)(8)

(b)(8)
 (b)(4), (b)(7)
 (C)
 (b)(8) [redacted] is regulated by the FDIC. During a [redacted] audit conducted at the [redacted] TN, branch, a shortage of approximately [redacted] was discovered from the teller currency d - [COULD CONTAIN MORE INFORMATION]

(b)(6), (b)(7)
(C)

(b)(4), (b)(8)

(b)(6), (b)(7)
 (C), (b)(8) This investigation was initiated based on a referral from the FDIC Division of Risk Management Supervision (RMS) regarding allegations of suspicious activity [redacted]

[redacted] is regulated by the FDIC.

According to the referral, the suspicious activities involve [redacted] - [COULD CONTAIN MORE INFORMATION]

(b)(4), (b)(7)
(C), (b)(8)

(b)(4), (b)(6),
 (b)(7)(C) (b)
 (7)(E), (b)(8) [redacted]

[COULD CONTAIN MORE INFORMATION]

(b)(4), (b)(6),
 (b)(7)(C) (b)
 (7)(E), (b)(8) [redacted]

[COULD CONTAIN MORE INFORMATION]

The Office of Inspector General, Office of Investigations (OIG/OI), has concluded its investigation of [redacted] former mortgage brokers of Capital Pro Lending. Capital Pro Lending brokered numerous fraudulent residential mortgage loans through an American Sterling Bank (ASB) loan production office located in Sacramento, CA. The OIG/OI conducted the invest - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

This investigation was initiated based on a referral from the Federal Reserve Board Office of Inspector General (FRB/OIG). BankFirst (FDIC Cert. 34103), Sioux Falls, SD, an FRB regulated institution, was closed on July 17, 2009. The initial investigation concerned an allegation that [redacted] forged signatures on an account control agreement while employed at BankFirst involving a [redacted] to - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)(C)

(b)(4),(b)(6),(b)(7)(C),(b)(7)(E),(b)(8)

(b)(6),(b)(7)(C)

[redacted] [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(6),(b)(7)(C),(b)(7)(E),(b)(8)

[redacted] [COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on information received from the Internal Revenue Service, Criminal Investigation (IRS CI) and the [redacted] Sheriff's Office, regarding [redacted]

(b)(8)(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

Beginning in August 2005 and continuing until her termination in November 2012 [redacted] abused her position as the [redacted] by acces - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

This investigation was initiated based on information provided by the FDIC Division of Risk Management Supervision (RMS) involving [redacted] for [redacted] MO; [redacted] is regulated by the FDIC. Specifically, [redacted] allegedly misused her position at the bank to embezzle [redacted]

(b)(4),(b)(8)

(b)(8)

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

(b)(4),(b)(6)

On May 1 - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)(C)

This investigation was initiated based on information provided by the FDIC Division of Risk Management Supervision (RMS) involving [redacted] bank employee for [redacted] St. Paul, KS; [redacted] is regulated by the FDIC. Specifically, [redacted] allegedly misused her position at the bank to embezzle [redacted]

(b)(8)(b)(8)

(b)(8)

(b)(6),(b)(7)(C)

On December 3, 2013, [redacted] [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(8)

This investigation was initiated based on a referral from the Massachusetts Board of Bar Overseers to the United States Attorney's Office for the District of Massachusetts, Boston, MA. The United States Attorney's, in turn, asked that the matter be investigated by the [redacted] Fraud Working Group. The referral alleged that attorneys [redacted] [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)(C)

(b)(4)

(b)(6),(b)(7)(C)

bd

(b)(6),(b)(7)
(C),(b)(7)(B),
(b)(8)

[REDACTED]

(b)(6),(b)(7)
(C)

(b)(6),(b)(7) [CONTAIN MORE INFORMATION]

(C) This investigation was initiated based on a request for assistance from the United States Attorney's Office (USAO), Boston, MA, regarding an outstanding [REDACTED] restitution order against [REDACTED]

(b)(6),(b)(7)
(C)

(b)(6),(b)(7) The USAO has information that [REDACTED] had a [REDACTED] address while her
(C) [REDACTED] payments were coming from a [REDACTED] address. Preliminary investigation by the OIG
establishe - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(4) The Office of Inspector General, Office of Investigations (OIG/OI) has concluded its investigation of
allegations of bank fraud involving [REDACTED] et al, Newtown, PA. This was a
joint investigation by the FDIC-OIG and Internal Revenue Service- Criminal Investigations Division (IRS-

(b)(6),(b)(7)
(C)

Background: This investigation was initiated on January 5, 2010, based on the revie - [COULD CONTAIN
MORE INFORMATION]

This investigation was initiated based on a referral from the Federal Bureau of Investigation (FBI) as part
of the New York Mortgage Fraud Task Force.

(b)(6),(b)(7)
(C)

(b)(6),(b)(7) Investigation disclosed that between September 2005 and April 2011, [REDACTED] straw
(C) buyer-recruiter, [REDACTED] closing attorney, [REDACTED] loan officer,
(b)(6),(b)(7) and [REDACTED] straw buyer recruiter and - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(8) This investigation was initiated based on a referral from the FDIC Division of Risk Management
Supervision (RMS), New York Regional Office, regarding allegations received from [REDACTED]

(b)(8)

(b)(8) [REDACTED] PA. On June 28, 2010, [REDACTED] processed an ACH (automated
(b)(4) clearing house) request from customer [REDACTED] in the amount of [REDACTED]. The next day,
(b)(8) [REDACTED] id - [COULD CONTAIN MORE INFORMATION]

(b)(8)
(b)(8)

(b)(6),(b)(7) The Office of Inspector General, Office of Investigations, has completed its investigation into
(C) identity theft and other frauds committed by [REDACTED]. Specifically, we looked into allegations
of aggravated identity theft and misapplication of funds by a bank employee. This memorandum is for
informational purposes; no action is requested of your office.

(b)(4),(b)(6),
(b)(7)(C),(b)
(8)

Background: This investigati - [COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on information received from the Internal Revenue Service,
Criminal Investigation Division (IRS-CI), regarding Public Savings Bank (Public) (FDIC Cert. 34130),
Huntington Valley, PA. According to the information provided, Public was allegedly providing banking
services for a narcotics trafficking organization and was not filing the necessary Currency Transac -
[COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on a referral from the Federal Bureau of Investigation (FBI) as part
of the Mortgage Fraud Task Force. This is a mortgage fraud case in which straw buyers were used to
purchase properties from distressed homeowners in a foreclosure rescue scheme. The distressed home
owners were promised that their mortgages would be paid for a year, but they were not. The - [COULD
CONTAIN MORE INFORMATION]

This investigation was initiated based on a request for assistance from the New York Division of the Federal Bureau of Investigation (FBI). The FBI was informed that a number of institutions, including

(b)(8) [redacted] New York, NY, [redacted] (b)(8)

(b)(8) [redacted] and other institutions could be involved in a multi-million dollar chec - [COULD CONTAIN MORE INFORMATION]

The Office of Inspector General, Office of Investigations, has completed its investigation into allegations

(b)(8) [redacted]

This memorandum is for informational purpose - [COULD CONTAIN

(b)(6),(b)(7)(C) [redacted] MORE INFORMATION]

This investigation was initiated based on a referral from the FDIC Division of Risk Management Supervision (RMS) regarding [redacted] former Assistant Vice President and Credit Analyst at

(b)(8) [redacted] NJ [redacted] was terminated in May 2012 for receiving cash

(b)(8) from customers and depositing that cash into his own account and then writing checks to make paym - [COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on a referral from the FDIC Division of Resolutions and Receiverships (DRR) regarding irregularities in connection with a loan made by ANB Financial (ANB)

(b)(6),(b)(7)(C) (FDIC Cert. 33901), Rogers, AR, to [redacted] ANB was closed on May 9,

(b)(4) 2008, and FDIC was appointed Receiver.

A joint investigation conducted with the Federal Bureau of Invest - [COULD CONTAIN MORE INFORMATION]

(b)(8) The Office of Inspector General, Office of Investigations (OIG/OI), has concluded its investigation into (b)(6),(b)(7)(C) allegations against [redacted] former [redacted] Department Manager, a

(b)(8) financial institution regulated by the [redacted] This investigation was referred for prosecution to the Seattle, WA United States Attorney's Office and was successfully prosecuted. This rep - [COULD CONTAIN MORE INFORMATION]

The Office of Inspector General (OIG), Office of Investigations (OI), has concluded its investigation of (b)(6),(b)(7)(C) allegations made by [redacted] regarding two former employees of First National Bank of Nevada

(b)(6),(b)(7)(C) (FNBN) (FDIC Cert. 27011), Reno, Nevada, an FDIC regulated institution. The investigation did not reveal sufficient evidence of any prosecutable federal violations related to the two former banker's - [COULD CONTAIN MORE INFORMATION]

This investigation was initiated based on allegations of misconduct by former Chief Executive Officer (CEO) [redacted] and former President [redacted] Summit Bank (FDIC Cert. 513), Burlington,

(b)(6),(b)(7)(C) WA. Summit Bank failed on May 20, 2011. Allegations include intentionally over drafting customer accounts to bring loans current for call reporting purposes, unauthorized return of bank collatera - [COULD CONTAIN MORE INFORMATION]

The Office of Inspector General (OIG), Office of Investigations (OI) has concluded its investigation into (b)(4) allegations of improper bidding and the purchase of a pool of loans formerly held by [redacted] (b)(8)

(b)(8) [redacted] by [redacted] This memorandum is for information purposes, no action is necessary.

Background: This investigation was initiated b - [COULD CONTAIN MORE INFORMATION]

8d

(b)(6),(b)(7) (b)(8)
(C)

The Office of Inspector General, Office of Investigations (OIG/OI), has concluded its investigation into allegations against [redacted] former [redacted] Assistant Branch Manager, a financial institution certified by the [redacted]. This investigation was referred for prosecution to the San Francisco County District Attorney's Office and was successfully prosecuted. This report is for - [COULD CONTAIN MORE INFORMATION]

(b)(8)

(b)(4)

This investigation was initiated based on a Hotline complaint (HL 2012-0013). The complainant advised that [redacted] was the developer of [redacted] a residential development in [redacted]

(b)(6),(b)(7)
(C)

(b)(4),(b)(6),
(b)(7)(C)

The development loan was originated by ANB Financial, NA (ANB) (FDIC Cert. 33901), Bentonville, AR; ANB failed on May 9, 2008. At the time ANB failed, the [redacted] loan was

(b)(4)

[redacted] - [COULD CONTAIN MORE INFORMATION]

(b)(4),(b)(7)(C)

The OIG has concluded its investigation into allegations that [redacted] Investigations Specialist (IS), engaged in the clandestine recording of FDIC employees in violation of California law. The OIG initiated its investigation pursuant to information provided by officials from the RMS/San Francisco Office.

(b)(6),(b)(7)
(C)

(b)(6),(b)(7)
(C)

This case was referred to the San Francisco District Attorney's Office for prosec - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

The Office of the Inspector General has concluded its investigation into the aforementioned matter. This investigation was initiated based on information received from [redacted] of the

(b)(6),(b)(7)

(b)(6),(b)(7)
(C)

[redacted] Police Department (YCPD) regarding the arrest of FDIC employee [redacted] on [redacted] on a charge of felony vandalism (California Penal Code Section 594(b)(1)).

(b)(6),(b)(7)
(C)

[COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

The Office of Inspector General, Office of Investigations (OIG/OI), has concluded its investigation into allegations against former loan officer [redacted] Los Angeles, CA, due to her death. This report is for informational purposes only; no action is required by your office.

(b)(8)

Background: This investigation was initiated based on information from th - [COULD CONTAIN MORE INFORMATION]

(b)(6),(b)(7)
(C)

(b)(6),(b)(7)
(C)

This investigation was initiated based on information received from the FDIC Division of Risk Management Supervision (RMS) regarding [redacted] BSA Officer of [redacted]

(b)(8)

(b)(8)

[redacted] Salinas, CA. [redacted] was allegedly instructed not to file SARs on suspicious activity when the subject of the SAR had connections to the bank. In one instance, the questionable activity involved -

[COULD CONTAIN MORE INFORMATION]

(b)(7)(E)

[redacted]

(b)(8)

The Office of Inspector General (OIG), Office of Investigations (OI), has concluded its inquiry into allegations that an individual was falsely representing to [redacted] that he was an FDIC employee.

Background: The Federal Deposit Insurance Corporation (FDIC) Division of Risk Management Supervision (RMS), Cyber Fraud & Financial Crimes Section, referred to the Office of the Inspector Ge - [COULD CONTAIN MORE INFORMATION]

(b)(7)(E)

[Redacted]

(b)(7)(E)

[Redacted]

The Office of Inspector General (OIG), Office of Investigations (OI), has concluded its investigation into the distribution of fraudulent FDIC receivership checks. This memorandum is for informational purposes only; no action is requested of your office.

Background: This investigation was based on information received from the Division of Resolutions & Receiverships (DRR) in September 2012 - [COULD CONTAIN MORE INFORMATION]