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Description of document: Office of Government Ethics (OGE) records provided to Chairman Darrell Issa, House Oversight and Government Reform Committee, concerning the administration of the Freedom of Information Act (FOIA), 2010-2011

Requested: 12-December-2011

Released date: 09-January-2012

Posted date: 12-October-2015

Source of document: OGE FOIA Officer
Office of Government Ethics, Suite 500
1201 New York Avenue, NW
Washington, DC 20005-3917
Fax: 202-482-9237
Email: usoge@oge.gov

Note: This is one of several files on the same subject for various agencies available on governmentattic.org. See: <http://www.governmentattic.org/5docs/chairmanIssa.htm>

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United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

January 9, 2012

Tracking No: OGE FOIA FY 12/11

The Office of Government Ethics (OGE) is granting in part and denying in part your Freedom of Information Act (FOIA) request, which was received by the OGE FOIA Office on December 12, 2011. In your request, you asked for the following records:

- 1) An electronic copy of the records provided to the Honorable Chairman Darrell Issa, whose office in January 2011 asked [OGE] for various data concerning the administration of the FOIA.
- 2) A copy of any correspondence whatsoever sent by OGE to Chairman Issa's office on the subject of the January 2011 inquiry, and any correspondence sent by OGE during calendar year 2011 to Chairman Issa's office on the subject of the FOIA.

An electronic PDF copy of the records provided in response to Honorable Chairman Darrell Issa's January 2011 request is being sent to your email address subject to deletions under FOIA Exemption 6, 5 U.S.C. § 552(b)(6) as information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. The deletions are each marked with a reference "Exemption (b)(6)" in the enclosed copies.

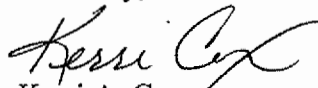
We are enclosing two pages in full of correspondence sent by OGE to Chairman Issa's office on the subject of the January 2011 inquiry. These records are being provided to you without charge.

OGE has searched and has not located any other records responsive to your request for correspondence sent by OGE during calendar year 2011 to Chairman Issa's office on the subject of the FOIA. However, we are including links to OGE's FY 2012 Budget Submission to Congress, OGE's FY 2011 Performance Accountability Report (PAR), and the transmittal letter for OGE's FY 2011 PAR. Although these documents are not specifically on the subject of the FOIA, the reports briefly address OGE's administration of the FOIA program.

- OGE's FY 2012 Budget Submission to Congress: <http://www.oge.gov/About/Legislative-Affairs-and-Budget/Budget-and-Appropriations/Annual-Performance-Plan-FY12/>
- OGE's FY 2011 Performance Accountability Report and transmittal letter: <http://www.oge.gov/About/Legislative-Affairs-and-Budget/Congressional-Correspondence/>

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, as codified at 5 U.S.C. § 552(a)(6)(A), and OGE's FOIA regulations, at 5 C.F.R. § 2604.304, you may administratively appeal this determination that OGE has no responsive records. The name and address of the OGE official to whom such an appeal would have to be submitted are: Don W. Fox, General Counsel, Office of Government Ethics, Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 30 days of the date you receive this response letter. If you do appeal, you should include copies of your request and this response, together with a statement of why you believe this initial determination is in error. Also, if you appeal, you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,



Kerri A. Cox
OGE Alternate FOIA Officer

Enclosures

OFFICE OF GOVERNMENT ETHICS
FOIA REQUESTS FY 2006

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt.Appeal/Date
 C NEW FY 2006 FOIA ANNUAL RPT. PERIOD (10/1/05-9/30/06) BEGINS C
 2005

[Note: 2 FOIA reqs. from preceding fiscal yr. (FY05/50[W], 52[E]) were answered in FY06 (2005: 2)(WDs pending at start of FY05: 7+15=22); total resp. times: 40 [den./part.: 2, 5, 6(x2); ref. back/ag.; fees/cat.; EP req.: X]; both reqs. are counted for stats. in this rpt.]

1. Costa (VS) 10/3 10/04//1(VS) grant/full X -
 [ethics agreements/V]
2. [REDACTED] (EN) 10/13 11/04//16(IK) no recs. X -
 (also OGE PA FY06/1/11) [case ltr. re requester/W]
3. [REDACTED] (EN) 10/13 11/04//16(IK) no recs. X -
 (also OGE PA FY06/2/12) [order re requester/W]
4. Vincent (BG) 10/24 10/31//5(BG) no recs. X -
 [rpts./info. re consulting for 4 NEI/NIH offs./W]
5. Quick (BG) 10/27 11/16//13(BG) ref.toAFRH(not OGE) X -
 [FOIA "app." from another ag.dec./contr.recs.(no req. to OGE)/W]
6. Glasgal (BG) 10/28 11/16//12(BG) no recs. X -
 [recs. re an ind./affiliated entities/W]
7. Tully (BG) 11/14 12/13//20(BG) grant/full X -
 [comp./leave claim forms/W](requester already had rec. copies)
8. Daly&Adler(BG) 12/14 01/13/['06]//20(BG)notreas.describe X -
 [all publicly avail. recs, incl. comms.w/named inds.& staffs/W]
9. Aguirre(BG) 12/29 01/31['06]//20(BG)partden.5/02/08['06]FY06/16
 [comms./recs.SEC old standards/post-emp.provs./W](+pt.SEC ref.)

2006

10. Moxley (BG) 01/09 01/13//4(BG) no recs. X -
 [filings of SEC applicant/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec./WDs	Disp.	Exempt.	Appeal	Date
11.	Biers (BG)	11/04	11/08//2(VS)	grant/full		X	-
	[comp./leave claim forms/V] (out of order)						
12.	May (PS)	01/06	01/24//11(BG)	grant/full		X	-
	[certain ag. stats. from annual ethics survey/V] (out of order)						
13.	Liguez (EN)	01/17	01/30//9(BG)	grant/full		X	-
	[info. on OGE org./functions/W] (+ not PA)						
14.	[REDACTED] (BG)	01/26	02/03//6(SP)	no recs.		X	-
	(also OGE PA FY06/3/1) [recs. re requester/W]						
15.	Ungar (BG)	01/24	02/07//10(BG)	not FOIA	[not PA]	X	-
	[compl.form/contactinfo./ethicscanons re judge/W] (out of order)						
16.	[REDACTED] (EN)	02/08	03/23//30(MG)	part.den.5+ref./upheld		X	-
	(ext.ltr. 3/9) [+PA:N/A(no recs.)] [app. of FY06/9:comms./recs.SEC old stnds./post-emp.provs./W]						
17.	Williams (BG)	02/08	02/28//13(BG)	no recs.		X	-
	[OGE opinion/W] (ref. from Navy)						
18.	[REDACTED] (BG)	03/09	03/24(tc.)//11(BG)	ref.backtoArmy		X	-
	(also OGE PA FY06/4/2) [resp. recs./W] (ref.from&toArmy)						
19.	Dyer (BG)	03/31	04/05//3(BG)	grant/full		X	-
	[redacted EA access guidance/leg.hist./V] (informal)						
20.	Ntumba (BG)	04/28	05/11//9(BG)	not FOIA		X	-
	[recs. of Congressman 1988-1994/E]						
21.	Cabrera-Lopez (BG)	05/04	06/01//19(BG)	grant/full		X	-
	[(1) updates/OGEPers.Man., (2) enf.labor agrs.after 5/04/E] (1, no 2)						
22.	[REDACTED] (BG)	05/11	06/01//14(SP)	no recs.			/06/28 [FY06/26]
	(also OGE PA FY06/5/3) [recs. re requester/W]						
23.	[REDACTED] (BG)	05/18	06/01//9(BG)	no recs.		X	-
	(also OGE PA FY06/6/4) [recs. re requester&bus./plans/trans./W]						
24.	[REDACTED] (EN)	05/24	06/22//20(SP)	no recs.			/07/12 [FY06/28]
	(also OGE PA FY06/7/5) [spec. recs. re requester/W]						

25. Standfield(BG) 05/30 05/31//1(BG) grant/full X -
[OGE Govt. purchase cardholders list/W]

(Continued on next page)

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt.Appeal/Date

Exemption
(b)(6)

26. ██████ (EN) 06/28 07/20//15(RC) no recs./upheld X -
(also OGE PA FY06/8/6) [app. of FOIA FY06/22: recs. re reqtr./W]

27. Roberts (BG) 07/11 08/07//19(BG) clarify/superseded? X -
(08/15clar.E-mail, all avail.CD lists(back to '96 prov'd 09/15))
[lists of CD recipients/pos.since 01/01/90; bulkCDreq.rec'd7/25]

Exemption
(b)(6)

28. ██████ (BG) 07/12 08/07//18(RC) no recs./upheld X -
(also OGE PAFY06/9/7) [app. of FOIA FY06/24: spec.rec.s.re reqtr./W]

29. Cobbs (BG) 07/24 08/14//15(BG) not FOIA[201+clarify] X -
[all info., specifically 278, re high-level Govt. official/W]

30. Wesley (BG) 07/31 08/14//10(BG) grant/full X -
[rpts. re undeliverable checks >\$5K (01/01/04-present)/W]

31. Walters (BG) 08/07 08/25//14(BG) part.den. 5,6 X -
[OGE letter to DOJ/V]

32. Schmidt (BG) 08/08 08/17//7(BG) grant/full X -
[ethics agreement of high-level official/W] (consult.w/Treas.)

Exemption
(b)(6)

33. ██████ (BG) 08/14 09/08//18(SP) no recs. X -
(also OGEPAFY06/10/8) [spec. recs. re requester/W] (+compls./ags.)

34. Yost* (BG) 09/19[8] 10/11//15(BG) part.den. 5 X -
EP:--(not perfected) [OGE letter to DOJ/E] [counted in FY07rpt.]

(Continued on next page)

EP req.: _____

C END OF FY 2006 FOIA ANNUAL RPT. PERIOD (10/1/05-9/30/06) C

*=counted in next FY rpt. ('07); bracketed # = WDs pending at end of FY.

OGE FY06 FOIA RESPONSE TIMES (Working Days, incl. exts.):
Requests (30+2(carry-over)=32): 1, 1, 2, 3, 4, 5, 6, 7, 9, 9, 9, 10, 10, 11, 11, 12::13 [Median: 12+13/2=12.5; mean (overall average): 381/32=11.9], 13, 14, 14, 15, 16, 16, 18, 19, 19, 20, 20, 20, 20(FY05 carry-over), 20(FY05 carry-over)
Backlog?: No [100.0% Timely (32/32)]; Expedited processing [subset of overall reqs.] (requests: +(carry-over)=, granted:): C [Median: ; mean (overall average): /=]; Appeals (3): response times (incl. exts.: 1): 15, 18 30 [Median: 18; mean (overall average): 63/3=21.0] ; Litigation: 2 (FY04 reqs.); Pending at the end of FY05 (2): 7::15 [Median: 7+15/2=11.0; mean (overall average): 22/2=11.0], 19 ; Pending at the end of FY06 (1): 8 [Median: 8; mean (overall average): 8/1=8], [counted in FY07 rpt.; disp. also shown in this FY req.listing/prior pages, but not here].

DISPOSITION TALLY: Requests: Grant/full: 10; part.grant/part no recs.: ; den./full: +(carry-over)= ; part.den./part no recs.: ; part.den./part grant: 2+2(carry-over)= 4; [E1: , E2: 1, E3(): , E4: , E5: 3, E6: 3, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; no recs.: 11 (discret. rel.); Other disposition: not proc./fees/cat.: ; recs. not reasonably described: 1; withdrawn[?--clarify]: 1; ref.: 2; not comply regs.: ; not FOIA: 3; (reqs. incl. personal materials:); [also PA: 8]; fees/cat.: ; clar.: ; Appeals: Grant/full: ; no recs.: 2 (upheld); part.den.+ref.&PA:N/A(no recs.): 1 (upheld); den./full: ; [E1: , E2: , E3(): , E4: ; E5: 1, E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:);[also PA: 2].

*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f)(trusts),+ OGE regs.

Acronyms/ [Rec'd by **E**=E-mail, **V**=Verbal, **W**=Ltr./FAX(Writing)]

BG=Bill Gressman	EN=Elaine Newton	
IK=Ira Kaye	MG=Marilyn Glynn	PS=Patrick Sheppard
RC=Robert Cusick	SP=Susan Propper	VS=Vince Salamone

EP = expedited processing PA = Privacy Act

FOIA = Freedom of Information Act WDs = Working Days

OFFICE OF GOVERNMENT ETHICS
FOIA REQUESTS FY 2007

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#
CNEW FY 2007 FOIA ANNUAL RPT. PERIOD (10/01/06-9/30/07) BEGINS
2006

[Note: 1 FOIA req. from preceding fiscal yr. (FY06/34[E]) was answered in FY07 (2006: 1) (WDs pending at start of FY06: 8); total resp. time: 15 [den./part.: 5; EP req. (not perfected): X]; that req. is counted for stats. in this rpt.]

1. Cockburn (BG) 10/13 11/13//20 (BG) grant/full X -
[ethics compl. recs./Govt. official/E] (+clarify/fees waiv.?)
2. Kopecki (BG) 10/20 11/14//16 (BG) grant/full X -
[3 ethics agreements/W] (after consult. w/DOD&OPM)
3. Kaplan (BG) 10/20 11/16//18 (BG) no recs. X -
[any relevant recs. about certain former NV judges & others/W]
4. [REDACTED] (BG) 11/16 12/04//11 (SP) no recs. X -
[also OGE PA FY07/1/9] [spec. recs. re requester/W]
5. Williams (BG) 11/22 12/08//11 (BG) not FOIA X -
(some info. provided/OGE's agency discretion)
[questions re any EEO invest. contracts/contractors'05-'06/W]
6. Singh (BG) 11/28 11/30//2 (BG) grant/full X -
[OGE Govt. purchase cardholders list/E]
7. [REDACTED] (BG) 12/12(x2), 18, 21 01/11['07]//19 (SP) no recs. X -
[treatas 1 4-part blockrequest] (+2followups/clar.1/14, reply1/26)
[also OGE PA FY07/2/10] [spec. recs. re requester & others/E]

2007

8. Koutoujian (BG) 01/04 01/08//2 (BG) grant/full X -
[FOIA/PA contact/reg. info/W] (info/brochure provided)
9. Smallberg (BG) 01/17 02/12//18 (BG) no recs. /03/12 (#16)
[SF 278rpt.+any prior versions/Sch.B/E] (+SF278avail.Ethics Act)
10. Fitzgerald (BG) 01/22 02/20//20 (BG) ref. to Treas. X -

[ethics agreement/W] (+ notice ltr. to requester)

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec./WDs	Disp.Exempt.	App.Date/#
11.	Carpenter (BG)	01/23	02/01//7 (BG)	no recs.	X -
	[N.Y. State leisure items exps./W]				
12.	[REDACTED] (BG)	01/29	02/01//3 (SP)	no recs.	X -
	[also OGE PA FY07/3/1] [spec. recs. re requester /W]				
	[EP req., but not perfected --]				
13.	Cohan (BG)	01/29	02/22//17 (BG)	no recs.	X -
	[spec. info. about Govt. official & others/W]				
14.	Starks (BG)	02/01	02/28//18 (BG)	ref. to ODNI	X -
	[ethics agreement/W] (+ notice ltr. to requester)				
15.	Russo (BG)	02/09(x4)	03/12//20 (BG)	not FOIA	X -
	(candidate 278s/Ethics Act, otherwise clarify scope/status/fees)				
	[treat as 1 4-part block request--all recs. between OGE & spec. Pres. candidates + any other FOIAs therefor/W]				
16.	Amey (BG)	03/15	04/12//20 (MG)	no recs.	X -
	(+explain CY00 SF 278 rpt., log bk./moot?)				
	[new req.+app.of#9]: SF 278 rpt., any prior versions/+log bk./W]				
17.	Narayanswamy (BG)	03/19	04/13//19 (BG)	no recs.	X -
	(+canspecify subj.matter;5/30req.V-mail:seek ltr.copies instead, follow-up 6/7 grant/full)				
	[log of corr.between OGE & members of Congress Jan.-Feb.'07/W&E]				
18.	Dolson (BG)	03/22	04/05//10 (BG)	grant/full	X -
	[conflicts info./NY FOIA/W] (OGE pamphlet/Fed. FOIA)				
19.	Harrison et al. (BG)	03/28	04/13//12 (BG)	no recs.	X -
	[EPA logs, related docs. testing after Sept. 11/W]				
20.	Mosk (VS)	04/05	04/09//2 (VS)	withdrawn	X -
	[any '02-'06 fin. rpts.of a person/W&E] (201 instead, no rpts.)				
21.	Covaleski (BG)	04/18	05/01//9 (BG)	part.den.2,5,6	X -
	[OGE PRD program review rpts.(incl.cover ltrs.)/V]				
22.	[REDACTED] (BG)	04/23	05/07//10 (SP)	grant full	X -
	(corr. w/requester+ \$ 2606.103 (sys. names))				
	[also OGE PA FY07/4/2] [spec. recs. re requester+systems names/W]				
23.	Narayanswamy (BG)	05/14	05/21//5 (BG)	no recs.	X -

Exemption
(b)(6)

Exemption
(b)(6)

(+canspecify subj.matter;5/30req.V-mail:seek copies of ltrs.
from Cong. members/replies instead, follow-up 6/20 no recs.)
[log of corr.between OGE & members of Congress Mar.-Apr.'07/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec./WDs	Disp.Exempt.	App.Date/#
24.	Labaton (BG)	05/15	05/17//2 (BG)	withdrawn	X - [nominee's ethics agreement/W(201)]
25.	Labaton (BG)	05/23	06/04//7 (BG)	grant/full	X - [official's ethics agreement/W(201)] (+ consult./DOJ)
26.	Labaton (BG)	05/25	05/25//0 (BG)	not FOIA	X - [nom.'sethicsagr./W(201);State nom./att.EA prov.w/278/EthicsAct]
27.	[REDACTED] (BG)	05/17	06/14//19 (SP)	no recs.	X - [alsoOGEPAFY07/5/3][spec.recs.re req.+cases,warr./W] (outoforder)
28.	Nesbitt (BG)	06/07	06/25//12 (BG)	no recs.	X - [info. re ind., incl. any WH, DHS empl. & SF 86,278/E]
29.	Schnitzer (BG)	06/07	07/06//20 (SP)	grant/full	X - (initial proc.--fees/waiver if pursue req.) [comms.w/ex-Sen./offs.staff/94-02,FOIAreqs.01-pres.re ex-Sen./W]
30.	Atkins (BG)	06/08	06/20//8 (BG)	no recs.	X - [service task orders/SOWs/RFPs/Pos re a co./FY05,06,07/W]
31.	Roberts (BG)	06/11	07/10//20 (SP)	not reas.describe	X - [OGE proceedings/crim. investigation ref. past 4 yrs./E]
32.	Morris (BG)	06/13	07/26//30 (BG)	part.den.2,5,6,7C	X - [OGEethicsprog.rev.rpts.20agencies/most recent/W] (ext.ltr.07/11)
33.	Willis (BG)	06/14	06/15//1 (BG)	grant/full	X - [OGE Govt. purchase cardholders list(paper/Web,but not Excel)/E]
34.	Cusack (BG)	06/28	06/29//1 (BG)	part.den. 6	X - ['07 OGE FOIA log (list)/W]
35.	Baxamusa (BG)	06/29	08/09//28 (BG)	grant/full(+201/278)	X - [rpts.,corr.on 2 inds.' Govt. appts./W] (ext.ltr./+nofees07/30)
36.	Narayanswamy (BG)	07/06	08/01//19 (BG)	no recs.	X - [log of corr.between OGE & members of Congress May-June'07/E]
37.	Maymin (BG)	07/30	08/06//5 (BG)	part.den. 6	X -

Exemption
(b)(6)

[OGE nom.congrat.ltr., ref. from Export-Import Bk./W]

38. Ruch (BG) 08/27 09/07//8(BG) tel. consult.to DOI X -
[OGE-orig.doc./model pracs./W](not exempt;09/10FAXltr. to DOI)
(Continued on next page)

#	Requester	Date Rec'd	Date/Dec.//WDs	Disp.Exempt.	App.Date/#
39.	Narayanswamy (BG)	09/06	09/13//5 (BG)	no recs.	X - [log of corr.between OGE & members of Congress July-Aug.'07/E]
40.	Mackey (BG)	09/07	09/28//15 (BG)	part.den. 2,5,6,	X - [mostrec.prog.rev.ofEEOC+tr.progs./Char.,tr.docs.toOGE10yrs./E]
41.*	Nielsen (BG)	09/28 [0]	10/23//16 (BG)	grant/full	X - [implement. docs. FOIA Improvement EO & OGE plan/W]

(Continued on next page)

EP req.:
 C END OF FY 2007 FOIA ANNUAL RPT. PERIOD (10/01/06-09/30/07) C
 *=counted in next FY rpt. ('08); bracketed # = WDs pending at end of FY.

OGE FY07 FOIA RESPONSE TIMES (Working Days, incl. exts.):
Requests (39+1(carry-over)=40): 0, 1, 1, 2, 2, 2, 2, 3, 5, 5, 5, 7, 7, 8, 8, 9, 10, 10, 11::11(FY06 carry-over) [Median: 11+11/2=11; mean (overall average): 469/40=11.7], 12, 12, 15, 16, 17, 18, 18, 18, 19, 19, 19, 19, 20, 20, 20, 20, 20 □□
 28(ext.), 30(ext.) Backlog?: No [100.0% Timely (40/40)];
Expedited processing [subset of overall reqs.] (requests: +(carry-over)=, granted:): C [Median: ; mean (overall average): /=]; Appeals (1): response times (incl. exts.:): 20 □□ [Median: 20; mean (overall average): 20/1=20.0] Backlog?: No [100.0% Timely (1/1)]; Litigation: ; Pending at the end of FY06 (1): 8 [Median: 8; mean (overall average): 8/1=8.0] □□ ; Pending at the end of FY07 1: 0 □□ [Median: 0; mean (overall average): 0/1=0], [counted in FY08 rpt.; disp. also shown in this FY req.listing/prior pages, but not here].

DISPOSITION TALLY: Requests: Grant/full: 10; part.grant/part no recs.: ; den./full: ; part.den./part no recs.: ; part.den./part grant: 5+1(carry-over)= 6 [E1: , E2: 3, E3():* , E4: , E5: 4, E6: 5, E7(A): , (B): , (C): 1, (D): , (E): , (F): , E8: , E9:]; no recs.: 15; (discret. rel.); Other disposition: not proc./fees/cat.: ; recs. not reasonably described/clarify: 1; withdrawn: 2 ; ref.: 2; consult.w/: 1; not comply regs.: ; not FOIA: 3; (reqs. incl. personal materials:); [also PA: 5 □□ fees/cat.: ; clar.: ; Appeals: Grant/full: ; no recs.: 1(upheld); part.den.+ref.&PA:N/A(no recs.): (upheld); den./full: ; [E1: , E2: , E3():* , E4: ; E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:);[also PA:].

*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f)(trusts),+ OGE regs.

Acronyms/ [Rec'd by **E**=E-mail, **V**=Verbal, **W**=Ltr./FAX(Writing)]
 BG=Bill Gressman EN=Elaine Newton
 MG=Marilyn Glynn PS=Patrick Sheppard
 RC=Robert Cusick SP=Susan Propper VS=Vince Salamone
 EP = expedited processing PA = Privacy Act

FOIA = Freedom of Information Act WDs = Working Days

OFFICE OF GOVERNMENT ETHICS
FOIA REQUESTS FY 2008

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#
 CNEW FY 2008 FOIA ANNUAL RPT. PERIOD (10/01/07-9/30/08) BEGINSC
 2007

[Note: 1 FOIA req. from preceding fiscal yr. (FY07/41[W]) was answered in FY08 (2007: 1) (WDs pending at start of FY07: 0); total resp. time: 16 [grant/full]; that req. is counted for stats. in this rpt.]

1. [REDACTED] (BG) 10/16 10/26//8(SP) no recs. X -
 (also OGE PA FY08/1/4) [spec.recs.re reqtr./case/law enforce./W]
2. Goad (BG) 10/22 10/25//3(BG) not reas.descr. X -
 [employee standard/possible statement/W]
3. Livingood(BG)10/25 11/05//6(BG) ref. to NMB(+notice/req.)X -
 [NMBinv.rpt./E] (rec.withh.OGEstaffname2,5,6,7C/consultOGE;copy req'd/rec'd of NMB 11/26resp./den.5,6,7C, app.ltr.12/14 to NMB)
4. Babcock (BG) 11/08 11/19//6(BG) ref. to Treasury X -
 [ethics agreements/W](& 11/19 notice ltr. to req.)
5. Ravnitsky (BG) 11/13 11/20//5(BG) part den. 6 X -
 [OGE FY04-FY07 FOIA logs/W]
6. Narayanswamy(BG) 12/21 01/04['08]//6(BG) no recs. X -
 [log of corr.between OGE & members of Congress Sept.-Nov.'07/E]

2008

7. [REDACTED] (EN) 01/02 01/23//14(SP) no recs. X -
 (also OGE PA FY08/2/1) [spec.recs.re reqtr./case/law enforce./W]
8. [REDACTED] (EN) 01/02 01/23//14(SP) no recs. X -
 (also OGE PA FY08/3/2) [spec.rec.re reqtr./case/law enforce./W]
9. [REDACTED] (EN) 01/02 01/23//14(SP) no recs. X -
 (also OGE PA FY08/4/3) [spec.recs.re reqtr./case/law enforce./W]
10. Barnett (BG) 01/15 02/06//15(BG) grant/full X -
 [# waivers by agencies/annual ethics questionnaires/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec.//WDs	Disp.Exempt.	App.Date/#
11.	Armstrong (BG)	01/16	01/23//4	(BG) no recs.	X -
	[fin.discl.recs. for former Interior official/E]				
	EP req.: <u>X</u> [req. not perfected]				
12.	MacFarlane (BG)	01/15	02/06//15	(BG) grant/full	X -
	(out-of-order; PA also ref. X) [info. re 3 Cong. Members (incl. former)/E]				
13.	Hackett (BG)	01/18	01/18//0	(BG) grant/full	X -
	[1994 OGE ltr. to Treas./W]				
14.	McClintock (BG)	02/04	03/04//20	(BG) part den. 6	X -
	[comms. OGE & a Sen./1999-present+FOIA logs '05-present/W] (+clarify)				
15.	Narayanswamy (BG)	02/11	02/15//4	(BG) no recs.	X -
	[log of corr. between members of Congress & OGE Jan.'08/W]				
16.	FitzPatrick (BG)	02/12	02/14//2	(BG) part den. 6	X -
	EP req.: <u>Y</u> [OGE FOIA log/most recent 12 mos./E]				
17.	Frandsen (EN)	02/26	03/19//16	(BG) no recs.	X -
	[info. on OGE Sch. A hiring/disabilities/categories/W] (last 6 yrs.)				
18.	[REDACTED] (BG)	02/29	03/10//6	(MG) no recs.	X -
	[also OGE PA FY08/5/4] [spec.recs.re reqtr./case/W]				
19.	[REDACTED] (BG)	03/24	04/10//13	(WS) no recs.	X -
	[also OGE PA FY08/6/5] [spec.recs.re reqtr. (diff.yr.)/case/W]				
20.	Lowenstein (BG)	03/25	04/14//14	(BG) grant+no recs.	X -
	[corr.w/Sen./former 1st L. & FOIAs for corr.w/2 Sens./E] (FOIAs/summ.)				
21.	Narayanswamy (BG)	04/03	04/03//0	(BG) no recs.	X -
	[log of corr. between members of Congress & OGE Jan.-Feb.'08/E]				
22.	Cole (BG)	04/03	04/16//9	(BG) no recs.	X -
	[database of FEIN/TIN & specified business info./W]				
23.	[REDACTED] (EN)	04/09	05/02//17	(WS) no recs.	X -
	[also OGE PA FY08/7/6] [spec. recs. re reqtr./W]				
24.	Keane (EN)	05/01	05/27//17	(WS) no recs.	X -
	[any recs. (incl. corr.) related to a former Gov./W]				

Exemption
(b)(6)

Exemption
(b)(6)

Exemption
(b)(6)

25. Nicely (EF) 05/05 05/30//18(BG) grant+no recs. X -
[any updates 5/06-4/08 OGE personnel manual/labor agreements/E]

(Continued on next page)

Requester Date Rec'd Date/Dec./WDs Disp.Exempt. App.Date/#

26. Burton (BG) 05/07 06/03//20(BG)clarify/fees/201[278s] X -
[corr. between office of a Sen. & OGE/W]

27. McCarroll (EN) 05/07 06/03//18(WS) no recs. X -
[material re a U.S. Congressman (+offices/staffs)/W]

28. Nelson (BG) 05/23 07/08//30(BG) part den.4(intend) X -
[OGEcontr.&FY07/08pur.ors.amdts./E](ext.ltr.6/23;trans.ltr.7/14
+ business info. submitter notification/input)

Exemption
(b)(6)

29. ██████████ (EN) 05/29 06/26//20(WS) no recs. X -
[also OGE PA FY08/8/7] [spec.recs.re reqtr./case/law enforce./W]

30. Jacobs (BG) 07/21 08/04//10(BG) no recs. X -
[list of uncashed checks>6 mos.(X/payroll)/W]

Exemption
(b)(6)

31. ██████████ (KD) 07/16 08/04//13(WS) no recs. X -
[also OGE PA FY08/9/8] [spec.recs.re reqtr./W] (out-of-order/FOIA)

Exemption
(b)(6)

32. ██████████ (VS) 07/24 08/11//12(WS) no recs. X -
[also OGE PA FY08/10/9] [spec. recs. re reqtr./W]

Exemption
(b)(6)

33. ██████████ (SJ) 07/24 08/15//16(WS) no recs. X -
[also OGE PA FY08/11/10] [spec. recs re reqtr./W]

34. Gray (SJ) 07/31 08/08//6[8CDs](BG) no recs. X -
[log of FOIA reqs. re indictment of former Congressman/W]
EP req.: **X** [not decided/reg. resp. in 8CDs (w/i 10CD-period)]

35. Dunning (KD) 08/15 09/05//14(BG) grant/full X -
[OGE visitor log for spec. day/F]

Exemption
(b)(6)

36. ██████████ (SJ) 08/19 09/04//11(WS) no recs. X -
[also OGE PA FY08/12/11] [status of prior req. [no rec.]/W] (+ind.search)

37. Hughley (EF) 08/19 09/11//16(BG) no recs. X -
[list Art.III district ct. judges/W]

38. Dick (VS) 08/27 09/03//4(VS) grant/full X -
[contact info. for certain OGE officials/W] (informal resp.)

39. Smith (BG) 09/02 09/02//0(BG) grant/full X -

[OGE Govt. purchase cardholders list/**V**] (informal req.)

(Continued on next page)

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#

40. Daily (SJ) 09/10 09/22//10(BG) no recs. X -
[any complaints filed re 2 candidates/E]
EPreq.:X [not decided/reg.resp.in10CDs(x/wkend.w/i 10CD-period)]

41. Pear (VS) 09/11 09/11//0(VS) grant/full X -
[OGE '08 fin. discl. leg. proposal/V] (informal req.)

Exemption
(b)(6)

42. * [REDACTED] (EF) 09/22[6] (+10/16) 10/20//19(BG) no recs. X -
(also OGE PA FY08/13/12) [spec. recs (possibly re reqtr.)/W]

43. * Nawaz (EN) 09/22[6] 10/21//20(EN) part den. 6 X -
[any recs., incl. electr./corr., re a governor/W]

Exemption
(b)(6)

44. * [REDACTED] (EN) 09/24[4] 10/10//12(BG) no recs. X -
(also OGE PA FY08/14/13) [spec. recs. re reqtr./case/law enforce./W]

C END OF FY 2008 FOIA ANNUAL RPT. PERIOD (10/01/07-09/30/08) C

* Disposition shown here, but counted in next FY rpt. ('09);
bracketed [#] = WDs pending at end of FY.

OGE FY08 FOIA RESPONSE TIMES (Working Days, incl. exts.):
 Requests: 41+1(carry-over)=42: 0, 0, 0, 0, 2, 3, 4, 4, 4, 5, 6, 6, 6, 6, 8, 8, 9, 10, 11, 12::13 [Median: 12+13/2=12.5; mean (overall average): 460/42=11.0], 13, 14, 14, 14, 14, 14, 15, 15, 16(FY07 carry-over), 16, 16, 16, 17, 17, 18, 18, 20, 20, 20 □□ (ext.: 1) 30; Consults.from: (WDs:); Backlog?: No [100.0% Timely (42/42)]; Expedited processing[subset of overall reqs.] (requests: 4, granted[but no formal determ.=notcounted/Rpt.]:1): 2[Median: 2; mean(overall average):2/1=2]; Appeals: (): response times (incl. exts.:): □□ [Median: ; mean (overall average): /=.] Backlog?: No [100.0% Timely (/)]; Litigation: ; Pending at the end of FY07 (1): 0 □□ [Median: 0; mean (overall average): 0/1=0] □□ ; Pending at the end of FY08 (3): 4, 6 [Median: 6; mean (overall average): 16/3=5.3] 6 [counted in FY09 rpt., disp. also shown in this FY req. listing/prior pages, but not here].

DISPOSITION TALLY: Requests: Grant/full: 7+1(carry-over)=8; part grant/part no recs.: 2; den./full: ; part.den./part no recs.: ; part grant/part den.: 4 [E1: , E2: , E3()*: , E4: 1, E5: , E6: 3, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; no recs.: 24; (discret. rel.); Other disposition: not proc./fees/cat.: ; recs. not reasonably described/clarify: 2; withdrawn: ; ref. to: 2; consult.w/: ; not comply regs.: ; not FOIA: ; (regs. incl. personal materials:); [also PA: 12 □□ fees/cat.: ; clar.: ; Appeals: Grant/full: ; no recs.: (upheld); part.den.+ref.&PA:(no recs.): (upheld); den./full: ; [E1: , E2: , E3()*: , E4: ; E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:);[also PA:].

*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f)(trusts),+ OGE regs.

Acronyms Y=Recd.by E=E-mail, F=Form(1), V=Verbal, W=Ltr./FAX (Writing)
 BG=Bill Gressman DF=Don Fox EF=Elizabeth Fischmann
 EN=Elaine Newton KD=Karena Dees MG=Marilyn Glynn
 PS=Patrick Sheppard RC=Robert Cusick SJ=Seth Jaffe
 SP=Susan Propper VS=Vince Salamone WS=Walter Shaub

CDs=Calendar Days EP=expedited processing PA=Privacy Act
FOIA=Freedom of Information Act WDs=Working Days

OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2009

Requester (OGEAtty.) Date Recd. [Due Date] Date/Dec./WDs Disp./Ex. App.Date/#

FISCAL YEAR 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-9/30/09) BEGINS 2008 (But in Fiscal Yr.(FY)09 FOIA/Privacy Act(PA) Reporting Period--10/01/08-09/30/09)

[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 {but count as 1 for stats.} (+ then add. days, starting still w/1) per DOJ/OIP clarification 12/08/08 as modified by their attached 01/12/09 (item 2) FOIA posting (FY08 carry-over count same new way).]

[Note: OGE answered 1 regular FOIA req. & 2 FOIA/PA reqs. from the preceding fiscal year (FOIA FY08/43[W]/den./part. 6, FOIA FY08/42//PA FY08/13/12[W]/no recs. & FOIA FY08/44//PA FY08/14/13[W]/no recs.) in FY09 (2008: 3) (total WDs pending at start of FY09: 16 (4+6+6); total response time: 51 (12+19+20); those requests are counted for the stats. in this FY09 req. list report.] {underlined # means initial response completed}

Exemption
(b)(6)

1. [REDACTED] (BG) 10/02 [10/31] 10/16//9(BG) misdirected X
 (also OGE PA FY09/1/14) [BOP/DOJ medical recs. re reqtr./F] (returned to reqtr./BOP/DOJ recs.)

Exemption
(b)(6)

2. [REDACTED] (KC/BG) 10/16 [11/14] 11/05//14(BG) no recs. X
 (also OGE PA FY09/2/15) [specified case materials re requester/W]

3. Brown (VS/BG) 10/16 [11/14] 10/31//11(BG) not FOIA X
 [ref. from Army §1353 travel rpts. 01/01/07-present/W] (closed per ref. to Vince--press/1353 req.)

4. Ravnitzky (BG) 10/16 [11/14] 11/05//14(BG) not FOIA X
 [201 reqs. since 07/01/08/W] (closed per ref. to OAP--Ethics Act/201 req.[redact/tel./FAX])

5. Levine (BG) 10/27 [11/25] 11/17//14(BG) ref./forw.Treas. X
 [specified EAs & §208 waivers/W & F] (+ltr.reqtr. 11/21; part ref./forw.[part E6 den.X] & part not FOIA)

Exemption
(b)(6)

6. [REDACTED] (BG) 10/27 [11/25] 11/07//9(BG) no recs. X
 (also OGE PA FY09/3/16) [specified case materials re requester/W]

7. Levine (BG) 10/31 [12/02] 10/31//<1(BG) grant/full X
 [specified ethics agreements /V] (related to FOIA # FY08/5 above)

8. Ravnitzky (BG) 10/16 [11/14] 11/03//12(BG) grant/full X
 [ethics crossword puzzles/W] (out-of-order)

Exemption
(b)(6)

9. [REDACTED] (BG) 11/06 [12/08] 11/18//7(BG) no recs. X
 (also OGE PA FY09/4/17) [specified case materials re requester/W]

10. Swartz (EF) 11/10 [12/10] 12/05//17(BG) no recs. X
 [electr. copy of old OGE Cong. Activity Tracking System (CATS)/E]

(Continued on next page)

Requester (OGE Atty.) Date Recd./Due Date Date/Dec./WDs Disp./Ex. App.Date/#

11. Swartz (EF) 11/10 [12/10] 11/28//12(BG) not FOIA X
[electr.copy.all avail.SF278s/EthicsAct discl. form[?]/E] (cc/ltr.to OAP--Ethics Act/201 req.)

12. McFarlane (BG) 11/10 [12/10] 11/14//3(BG) grant/full X
[two OGE ethics brochures/W]

Exemption
(b)(6)

13. [REDACTED] (BG) 11/12 [12/11] 11/19//5(BG) no recs. X
[also OGE PA FY09/5/18] [specified case materials (re requester)/W]

14. Ravnitzky (BG) 11/12 [12/11] 11/17//3(BG) not FOIA X
[201 reqs. (p.1) 01/01/07-06/30/08/W] (cc/ltr.to OAP--Ethics Act/201 req./redact Tel./FAX#s)

Exemption
(b)(6)

15. [REDACTED] (BG) 12/05 [01/06/09] 12/08//1(BG) misdirected X
[also OGE PA FY09/6/19] [reqtr.'s complaint/otherdept. (State)/F] (V-mail resp./USMS/DOJ FOIA)

16. Kelley (VS) 12/08 [01/07/09] 12/08//<1(VS) withdrawn X
[sec.208 waivers/auths./E] (Vince handled/press [+ waivs. not FOIA/§208(d)(1) Ethics Act hybrid)

Exemption
(b)(6)

17. [REDACTED] (BG) 12/11(+12/23) [01/14/09] 01/02/09//13(BG) no recs. X
[also OGE PA FY09/7/20] [spec. recs. re retired judge (apparently in case involving reqtr.)/W]

[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of '07 OPEN Govt. Act FOIA amdt.]

2009

18. Gerstein (BG) 01/22 [02/20; ext.:03/10] 03/09//29(BG) grant/full(+clar.) X
(10-WD ext. ltr. sent 02/20=30WDs)
[recs.fdn.ðics issues re PASoff.incl.ethics pld./E] (consult.ref.FAXesto State 1/29,2/19,5/13,6/11)

Exemption
(b)(6)

19. [REDACTED] (BG) 01/26 [02/24] 01/30//4(BG) no recs. X
[also OGE PA FY09/8/1] [specified case materials (re requester)/W]

20. Miller (BG) 01/30 [03/02] 02/03//2(BG) grant/full(pt.I&pt.II 02/06) X
[ethics agreements of 2 PAS nominee officials/W] (consult. FAXes to BGFRS & SEC 02/02)

21. Seaberg (BG) 01/29 [02/27] 02/12//10(BG) no recs. X
[any recs. re resign. 2 Congress people/E] (out-of-order)

Exemption
(b)(6)

22. [REDACTED] (BG) 02/02 [03/03] 02/11//7(BG) no recs. X
[also OGE PA FY09/9/2] [copy of indictment, spec. case/requester/W]

Exemption
(b)(6)

23. [REDACTED] (BG) 02/02 [03/03] 02/12//8(BG) no recs. X
[also OGE PA FY09/10/3] [rpts./investigations of Federal penitentiary & invts./requester)/W]

(Continued on next page)

# Requester (OGE/Atty.)	Date Recd.	[DueDate]	Date/Dec./W/Ds	Disp./Ex.	App.Date/#
<u>24.</u> Cohen (BG)	02/06	[03/09]	03/06//19(BG)	grant/full(init. 22 EAs) X	
(& 2 add. resps. 3/30: 14 EAs [12 g.f. + 2 d.p. 4, 6]; 4/17: 2 EAsg.f. + follow-up 07/31: re 2nd part discont. ?/Y)					
[ethics agrmts. of 38 current PAS nominees & any docs submitted by them re past empl./comp./E]					
<u>25.</u> Urevich (BG)	02/06	[03/09]	02/12//4(BG)	no recs.	X
[any docs. naming ind. w/resp. to PAS nominee processing/E]					
EP req.: X [not decided/reg. resp. in 6 CDs (w/i 10 CD-period)]					
<u>26.</u> Vogel (BG)	02/17	[03/17]	02/20//3(BG)	no recs.	X
[ethics agrmts. of 29 spec. individuals (part of 201 req. for any 278 rpts. & CDs for them)/W]					
<u>27.</u> Vogel (BG)	02/17	[03/17]	02/20//3(BG)	grant/full(init. 10 EAs) X	
(& 5 add. resps. 2/27: 5 EAsg.f.; 3/6: 7 EAsg.f.; 3/13: 9 EAs [7 g.f. + 2 d.p. 4, 6]; 3/20: 5 EAsg.f.; 4/02: 2 EAsg.f.)					
[ethics agrmts. of 38 current PAS nominees (part of 201 req. for any CDs for them)/W]					
<u>28.</u> Vogel (BG)	02/19	[03/19; ext.: 04/02]	03/30//27(BG)	grant/full(2 EAs) X	
(10-WD ext. FAX sent 03/19=30 WDs)					
[ethics agrmts. of 2 DOJ current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]					
<u>29.</u> Henry (BG)	02/23	[03/23]	02/26//3(BG)	FOIA grant/full (redact/201) X	
[+ 201 req. - other 201s/FOIA reqs. for own SF 278 rpts. & EA/W] (Ethics Act: tel./FAX/OG staff)					
<u>30.</u> Vogel (BG)	03/09	[04/06]	03/19//8(BG)	grant/full(init. 1 EA) X	
(& 1 add. resp. 4/6: 1 EAsg.f.)					
[ethics agrmts. of 2 current PAS noms.: DHS/DOJ (part of 201 req. for any 278s & CDs for them)/W]					
<u>31.</u> Vogel (BG)	03/09	[04/06]	04/02//18(BG)	den./part. 6	X
[ethics agrmt. of 1 current PAS nominee: DOI (part of 201 req. for any 278 & CD for him)/W]					
<u>32.</u> Pierce (BG)	03/19	[04/16]	03/27//6(DS)	grant/full(init. 37 EAs*) X	
(*prev. released/other FOIAs (& 2 add. resps. 4/24: 15 EAs [12 g.f. + 3 d.p. 4, 6]; 5/4: 1 EAsg.f.))					
[ethics agrmts. of 53 current PAS noms./some new (part of 201 req. for any 278s for them)/W]					
<u>33.</u> Vogel (BG)	03/19	[04/16]	04/07//13(BG)	grant/full(init. 9* EAs) X	
(*as corrected 4/7: 9-1=8 EAsg.f. + 1 EA d.p. 6; & 1 add. resp. 4/14: 1 EA d.p. 6)					
[ethics agrmts. of 10 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]					
<u>34.</u> Long+Burnham (BG)	03/19	[04/16]	04/08//14(BG)	grant/full	X
[DOJ Ethics Off. comments on DOJ/EOIR reg. (forward/ref. from latter DOJ comp./mistake?)/W]					
<u>35.</u> Vogel (BG)	03/19	[04/16]	04/16//20(BG)	grant/full(init. 3 EAs) X	
(& 1 add. resp. 4/24: 2 EAs [1 g.f. + 1 d.p. 4, 6])					

[ethics agrmts. of 5 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]

(Continued on next page)

#	Requester (OGEAtty.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
36.	Goldberg (BG)	03/23	[04/20]	03/31//6(BG)	no recs.	X
	[info. re any OGE AFG bargaining unit FLSA-exempt positions 03/16/06-present/W]					
37.	Vogel (BG)	03/23	[04/20]	04/16//18(BG)	grant/full(init. 1EA (&2add. resps.5/7:5EAsg.f.;5/15:1EA g.f.)	X
	[ethics agrmts. of 7 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]					
38.	Pierce (BG)	03/31	[04/28]	04/28//20(BG)	den./part. 4,6(init. 2EAs) (&2add. resps.5/15:3EAsg.f.;5/21:1EA g.f.)	X
	[ethics agrmts. of 6 current PAS nominees (filled in on 201 req.)/W]					
39.	Pierce (BG+WS&KC06/04)	03/31	[04/28]	04/28//20(BG)	grant/full(init. 10EAs) (&3add. resps.5/8:11EAs[8g.f.+3d.p.4,6];5/22:6EAsg.f.;6/11:5EAs[3g.f.+2d.p.)	X
	[ethics agrmts. of 32 current PAS nominees (filled in on 201 req. forms)/W]					
40.	[REDACTED] (BG)	04/02	[05/01]	04/14//8(BG)	no recs.	X
	(also OGE PA FY09/11/4) [specified case materials (apparently re requester)/W]					
41.	Vogel (BG)	04/13	[05/11]	05/07//18(BG)	grant/full(init. 4EAs) (&1add. resp.5/15:2EAsg.f.)	X
	[ethics agrmts. of 6 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]					
42.	Pierce (BG+WS&KC06/04)	04/22	[05/20]	05/19//19(BG)	grant/full(init. 7EAs) (&1add. resp.6/11:23EAs[20g.f.+3d.p.4,6])	X
	[ethics agrmts. of 30 current PAS nominees (filled in on 201 req. forms)/W]					
43.	Harms (BG)	05/01	[06/01]	05/29//19(BG)	not proc./req.cat./fees	X
	[docs. filed by/relating to former Amb. (x278)/F] (&follow-up08/28:EA+2ndpart discnt.?) /Y)					
44.	Simpson (BG)	05/04	[06/02]	05/04//<1(BG)	grant/full	X
	[BOP/DOJ ethics code policies/supp./W] (¶(a)(1) technically, but reg. copies provided)					
45.	Gerth (BG)	05/08	[06/08]	06/08//20(BG)	grant/part.,ext.part.	X
	[certain docs. re nom. &FRB issue/F&E][ext.:06/22](add.resp.6/22part.den.4,5,6)(+ref.FRS6/23)					
46.	Vogel (BG+WS&KC06/04)	05/13	[06/11]	06/11//20(WS)	den./part. 6 (12EAs)	X
	[ethics agrmts. of 12 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]					
47.	Thomas (BG+WS&KC06/04)	05/29	[06/26]	06/11//9(WS)	grant/full	X
	[ethics agrmt. (so construed) of 1 current PAS nominee (part of 201 req. for any 278 for him)/W]					

Exemption
(b)(6)

48. Howie (BG) 05/29 ~~06/26~~ 06/25//19(BG) no recs. X
[specified communications w/ & FOIA requests re individual/W]

(Continued on next page)

#	Requester (OGEAtty.)	Date Recd.	[DueDate]	Date/Dec./WDs	Disp./Ex.	App.Date/#
49.	Casey (BG)	06/02	[06/30]	06/23//15(BG)	no recs.	X
	<i>[OGE security contracts & related coll. bargaining agrmts./W]</i>					
50.	(BG)	06/03	[07/01]	06/24//15(BG)	no recs.	X
	<i>(also OGE PA FY09/12/5) [any records relating to requester/W]</i>					
51.	Manske (BG)	06/04	[07/02:ext.07/17]	07/15//28(BG)	den./full 6	X
	<i>(ref. from BPD/Treasury)[docs. submitted by applicant hired/OGE job announcement/W]</i>					
52.	(BG)	06/04	[07/02]	06/24//14(BG)	no recs.	X
	<i>(also OGE PA FY09/13/6) [any docs. relating to or referencing requester, incl. spec. case/W]</i>					
53.	Graziano (BG)	06/05	[07/06:ext.07/20]	07/16//28(BG)	grant/full	X
	<i>[docs. regarding specified bone graft study/proc., company & ind. doctors/W]</i>					
54.	Pierce (WS&KC)	06/08	[07/07]	06/15//5(WS)	den./part. 4,6 (30EAs)	X
	<i>[ethics agrmts. of 30 current PAS nominees (filled in on 201 req. forms)/W]</i>					
55.	Pierce (WS&KC)	06/10	[07/09]	07/09//20(WS)	den./part. 6(58EAs/2noEAs)	X
	<i>[ethics agrmts. of 42(+18?)=60 current PAS nominees (filled in on 201 req. forms)/W](+7/13 folp)</i>					
56.	Pierce (WS&KC)	06/16	[07/15]	07/13//18(WS)	grant/full(init. 6EAs)	X
	<i>(&1 add. resp. 7/14: 18EAs [15g.f.+3d.p.6]) [ethics agrmts. of 24 current PAS nominees (filled in on 201 req. forms)/W]</i>					
57.	Cowan (WS&KC)	06/18	[07/17:ext.07/31]	07/24//25(WS)	den./part. 6(3EAs)	X
	<i>[ethics agrmts. (so construed) of 3 current PAS nominees (part of 201 req. for any 278s for them)/W]</i>					
58.	Upchurch (BG)	06/23	[07/22]	06/23//<1(BG)	grant/full	X
	<i>[OGE Govt. purchase cardholders list/E]</i>					
59.	Dawson (VS&BG)	07/01	[07/30]	07/21//13(VS)	grant/full	X
	<i>[list of specified senior OGE officials 1994-2009/E]</i>					
60.	Vogel (VS&BG)	07/09	[08/06]	08/05//19(VS)	grant/full	X
	<i>[1993 WH memo/E]</i>					
61.	Wierenga (BG)	07/23	[08/20]	07/28//3(BG)	den./part. 6	X
	<i>[list of OGE FOIA requests in last 6 months/W]</i>					
62.	Hartman (BG)	07/27	[08/24]	07/29//2(BG)	grant/full	X
	<i>[OGE tel. directory & org. chart/E(&W)]</i>					

(Continued on next page)

Requester (OGEAttv.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

- 63.** Vogel (BG) 07/28 [08/25; amdt.08/28] 08/13//9(BG) den./part. 6 X
[OGE 2009 correspondence (incl. E-mails, notes, FOIAs, etc.) w/16 news organizations/E]
 (amended 08/01: just any such 2009 corr. for apps. confs. & mtgs./interviews(+17th org.) & FOIA log)
 EP req.: Y (08/11 FAX--decision due/amended req.: 08/14)
- 64.** Mix (BG) 07/28 [08/25] 08/24//19(BG) no recs.(FOIA) X
[E.O. 13490 pledges & waivers & stats. for listed officials & others+278s/E](OAP:278s08/03)
- 65.** Scheidel (BG&ER) 08/06 [09/03; ext.09/18] 09/18//30 (BG) ref.resp./FDIC* X
 (add. resp. & FDIC ref. 10/20)
*[ltrs./docs. to/from FDIC/OGE mid-1990s re FDIC specified regs/F](*courier del.09/21)*
- 66.** Mostrous (IH) 07/29 [08/26] 07/29//<1(IH) no recs. X
[any ethics agreements/2 individuals/W] (out-of-order; informal OAP proc.)
- 67.** Gordon (BG) 08/17 [09/15] 09/11//18(BG) grant/part1EA* X
[any ethics agreements/7 individuals/W](&part/norecs./4noEAs+09/11ltr.ref./part2EAs--Treas.)*
- 68.** ██████████ (BG) 08/17 [09/15] 09/15//20(BG) grant/part* X
 (+follow-up resp. WH consult. 10/02 g.f.)
(also OGE PA FY09/14/7) [recs. recert./app. reqtr./Fed. appt./W](&part/ext. WH+ref. DHS/OIG)*
- 69.** ██████████ (AG) 09/03 [10/02] 09/30//18(BG) no recs. X
(also OGE PA FY09/15/8) [specified docs. re reqtr. 's case & other info./W]
- 70.** * Buffalo (BG&SD) 09/23 [10/22] [5] 10/16//16(BG) no recs. X
[list/info. of/on OGE subcontractors/Australia or 2001-2009/E]
- 71.** * Waterman (BG&SD) 09/23 [10/22] [5] 10/16//16(BG) den./part. 6 X
[resumes/info. on OGE Sch. C, non-career SES & PAS employees 2001-2009/E]
- 72.** Fedner (VS) 09/23 [10/22] 09/29//4(VS) withdrawn X
[info. on OGE regulatory functions/issues/E]
- 73.** * ██████████ (BG) 09/24 [10/23] [4] 10/02//6(BG) grant/full X
(also OGE PA FY09/16/9) [OGE-orig. recs. recert./app. reqtr./Fed. appt. ref. from DHS/OIG/W]
- 74.** * Chin (KD) 09/30 [10/29] [<1] 10/19//12(BG) grant/full X
[implementation recs. re 2009 Pres. & AG FOIA memos/W]

Exemption (b)(6)

Exemption (b)(6)

Exemption (b)(6)

— END OF FY 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-09/30/09)—

*** Carry-over request--disposition shown here, but counted in the statistics for next fiscal year's report (FY10); bolded parenthetical nos.(#)=WDs pending at end of this fiscal year.**

OGE FY09 FOIA RESPONSE TIMES (Working Days, incl. exts.): Requests: 70+3(carry-over)=73: <1, <1, <1, <1, <1, 1, 2, 2, 3, 3, 3, 3, 3, 3, 4, 4, 4, 5, 5, 6, 6, 7, 7, 8, 8, 8, 9, 9, 9, 9, 10, 11, 12, 12, 12, 13, 13 [Median: 13.0; mean (overall average): 898/73=12.3] 13, 14, 14, 14, 14, 14, 15, 15, 17, 18, 18, 18, 18, 18, 18, 18, 19, 19, 19, 19, 19, 19, 19, 20, 20, 20, 20, 20, 20, 20, 20 □□ (ext.: 5) 25, 27, 28, 28, 29, 30; Consults.from: (WDs:); Backlog?: No [100.0% Timely (73/73)]; Expedited processing (subset of overall reqs.) Requests: 2 [no formal determ./answered w/ 10CDs=not counted/Annual Rpt.: 1; granted: 1; denied: --]: 9 [Median: 9; mean (overall average): 9/1= 9]; Appeals: (): response times (incl. exts.:): □□ [Median: --; mean (overall average): --/-- =.--] Backlog?: No [100.0% Timely (--/--)]; Litigation: --; Pending at the end of FY08 (3): 4, 6 [Median: 6; mean (overall average): 16/3=5.3] 6; Pending at the end of FY09 (4): <1, 4::5 [Median: 4+5/2=4.5; mean (overall average): 15/4=3.8] 5 [counted in FY10 rpt., disp. also shown in this FY req. listing/prior pages, but not counted here].

DISPOSITION TALLY: Requests: Grant/full: 26; part grant/part no recs.:--; part grant/part no recs.: 1; part grant/part den.: 8+1(carry-over)=9; part grant/part ext.: 2; [<1, <1, <1, 2, 2, 3, 3, 3, 3, 5, 6, 8, 9, 9, 12, 13, 13, 14, 18, 18::19 {Total: 38; Med.: 18+19/2=18.5; mn.: 524/38=13.8} 18, 18, 18, 19, 19, 20, 20, 20, 20, 20, 20, 20, 20, 20 □□ (ext.: 4) 25, 27, 28, 29]; den./full: 1 [E1: , E2: , E3()*: , E4: 2, E5: , E6: 9, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; no recs.: 21+2(carry-over) =23; (discret. rel.); Other disposition: not proc./req.cat./fees: 1; recs. not reasonably described/clarify: ; withdrawn: 2; ref. to: 2(+forw.); consult.w/: ; not comply regs.: ; not FOIA: 4; (reqs. incl. personal materials:); ; misdirected: 2; fees/cat.: ; clar.: ; [also PA: 17]; Appeals: Grant/full: ; no recs.: (upheld); part.den.+ref.&PA:(no recs.): (upheld); den./full: ; [E1: , E2: , E3()*: , E4: ; E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); (overturned); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:);[also PA:].

*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f)(trusts),+ OGE regs.

Acronyms Y Rec'd.by: E=E-mail; F=Form(5[+1(N/A-278s)=6]; V=Verbal; W=Ltr./FAX(Written) AG=Allison George BG=Bill Gressman DS=Denise Shelton EF=Elizabeth Fischmann EN=Elaine Newton IH=Irene Houston KC=Kerri Cox KD=Karena Dees SD=Setareh Deljo-Zagarani SJ=Seth Jaffe VS=Vince Salamone WS=Walter Shaub

CDs=Calendar Days EA=Ethics Agreement EP=Expedited Processing PA=Privacy Act FOIA=Freedom of Information Act WDs=Working Days

Note: Underlined request # = response completed (incl. any follow-up), primary reply can occur earlier & be counted for FOIA/PA statistics.[EP req.: ___]

**OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2010**

Requester (OGEAtt.) Date Recd.[DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

FISCAL YEAR 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-9/30/10) BEGINS

2009 (But in Fiscal Yr.(FY)10 FOIA/Privacy Act(PA) Reporting Period--10/01/09-09/30/10)

[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 {but count as 1 for stats.} (+ then add. days, starting still w/1) per DOJ/OIP clarification 12/08/08 as modified by their attached 01/12/09(item2)FOIAposting(FY08carry-over)count same new way.)]

[Note: OGE answered 3 regular FOIA reqs. & 1 FOIA/PA req. from the preceding fiscal year (FOIAFY09/70/no records [E], FOIAFY09/71/part.den. 6 [E], FOIAFY09/74/grant/full [W] & FOIAFY09/73//PAFY09/16/9 [W]/grant/full) in FY10 (2009: 4) (total WDs pending at start of FY10: 15 (<1+4+5+5); total response time: 6+12+16+16=50; those requests are counted for the stats. in this FY10 req. list report.] {underlined # means initial response completed}

[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of '07 OPEN Govt. Act FOIA amdt.]

1. Greenwald (BG) 10/14 [11/12] 10/26//8(BG) den./part.6 X
[OGE FOIA logs 2006-2008/E] (returned by USPS11/10:re-sent w/9digitZIP11/12)

2. Greenwald (JE) 10/14 [11/12] 11/03//14(BG) grant/full X
[last 5 OGE Ethics Newsgrams/E]

3. Greenwald (WS&KC) 10/14 [~~11/12~~:ext.:11/27] 11/25//29(WS) den./full5,6 X
[2008-Jan.19, 2009 communications w/Sen. Obama's office/E] (ext.ltr.11/12)

4. Greenwald (WS&KC) 10/14 [~~11/12~~:ext.:11/27] 11/25//29(WS) no recs. X
[2008 communications w/Sen. McCain's office/E] (ext.ltr.11/12)

Exemption (b)(6) 5. [REDACTED] (ER) 10/14 [11/12] 11/02//13(BG) no recs. X
(also OGEPAFY10/1/10)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]

Exemption (b)(6) 6. [REDACTED] (RM) 10/15 [11/13] 11/02//12(BG) no recs. X
(also OGEPAFY10/2/11)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]

7. Greenwald (BG&AG) 10/20//12/11 [11/18//01/12/'10] 12/16//<1(BG) grant/full X
[OGE employee manual/E](11/18feeresp.(20WDs)/reqtr.replyrec'd12/11--OK12/16/nocharge)

Exemption (b)(6) 8. [REDACTED] (BG&EN) 10/21 [11/19] 11/05//11(BG) no recs. X
(also OGEPAFY10/3/12)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]

Exemption (b)(6) 9. [REDACTED] (BG&ER) 10/21 [11/19] 11/03//9(BG) no recs. X
(also OGEPAFY10/4/13)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]

(Continued on next page)

Requester (OGEAtty.) Date Recd.[DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

Exemption (b)(6)	10. [REDACTED] (BG&VS) (also OGEPAFY10/5/14)	10/21 [11/19]	11/04//10(BG)	no recs.	X
Exemption (b)(6)	11. [REDACTED] (AG) (also OGE PA FY10/6/15)	10/26 [11/24]	11/19//17(BG)	no recs.	X
Exemption (b)(6)	12. [REDACTED] (KC) (also OGEPAFY10/7/16)	10/26 [11/24]	11/13//13(BG)	no recs.	X
Exemption (b)(6)	13. [REDACTED] (KD) (also OGEPAFY10/8/17)	10/26 [11/24]	11/23//19(BG)	no recs.	X
Exemption (b)(6)	14. [REDACTED] (KD) (also OGEPAFY10/9/18)	10/26 [11/24]	11/23//19(BG)	no recs.	X
Exemption (b)(6)	15. [REDACTED] (ER) (also OGEPAFY10/10/19)	11/02 [12/02]	11/16//9(BG)	no recs.	X
	16. Cook (KC) [recs. requests by another ind. 09/01/08-10/30/09/E]	11/02 [12/02]	11/13//8(BG)	no recs.	X
Exemption (b)(6)	17. [REDACTED] (BG) (also OGEPAFY10/11/20)	11/04 [12/04]	11/13//6(BG)	no recs.	X
Exemption (b)(6)	18. [REDACTED] (BG) (also OGEPAFY10/12/21)	11/04 [12/04]	11/16//7(BG)	no recs.	X
	19. Schuck (BG) [IT staff contact information/E]	11/09 [12/09]	11/10//1(BG)	grant/full	X
Exemption (b)(6)	20. [REDACTED] (ER) (also OGEPAFY10/13/22)	11/09 [12/09]	11/19//7(BG)	no recs.	X
Exemption (b)(6)	21. [REDACTED] (KC) (also OGEPAFY10/14/23)	11/17 [12/16]	11/25//6(BG)	no recs.	X
Exemption (b)(6)	22. [REDACTED] (BG) (also OGEPAFY10/15/24)	11/19 [12/18]	11/25//4(BG)	no recs.	X
	23. Carson (KC) 11/24 [12/23-ext.:01/08{+13}/10] 01/04/'10/25 (WS) den./part.6 ✓(01/14/'10/#34) [meritsystems/prohibitedpersonnelpracts.policy/assess.docs./W] (ext.ltr.12/18;Govt.closed12/21)				
	24. Levine (VS)	12/01 [12/30]	12/03//2(VS)	grant/full	X

[a nominee's ethics agreement/W&F]

(Continued on next page)

#	Requester (OGEAtt.)	Date Recd.	[DueDate]	Date/Dec./WDs	Disp./Ex.	App.Date/#
25.	Walker (KC)	12/01	[12/30]	12/01//<1(OAP)	den./part.4,6	X [nom.'sEA/commits./recusals/W](informal201;restclosedper12/10KCtc.req.tofiledirectlyw/DOT)
26.	Loos (BG)	12/03	[01/04/'10]	12/10//6(BG)	--/duplicate	X [non-careerappointeesw/ethicspledge—SF278s/pledges/waivers(follow-uptoFOIAFY09/64)/E]
27.	[REDACTED] (ER)	12/04	[01/05/'10]	12/15//7(BG)	no recs.	X (also OGEPAFY10/16/25)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]
28.	[REDACTED] (BG)	12/04	[01/05/'10]	12/15//7(BG)	no recs.	X (also OGEPAFY10/17/26)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]
29.	Sullivan (BG)	12/07	[01/06/'10]	12/10//3(BG)	grant/full	X [contracting out branchwide stats./'08 agency ethics prog. quests./E]
30.	Bogardus (WS+KC)	11/23	[12/22/+1]; ext. 01/08/'10]	8/3 (EN)	den/part	X [communications w/4 WH officials 11/1/08-11/1/09/W] (logged in out-of-order) (ext.ltr.12/23)
2010						
31.	[REDACTED] (BG)	01/05	[02/03]	01/07//2(BG)	no recs.	X (also OGEPAFY10/18/1)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]
32.	Greenwald (BG)	01/08	[02/08]	01/15//5(BG)	den./part.6	X [OGE FOIA log 2009/E]
33. & 36.	[REDACTED] (BG)	01/12	[02/10]	03/04/10 (ER/EN)	no recs.	X (also OGEPAFY10/19/2)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W] due date is 02/17 (minus 4 ½ snow days) response for FOIA 33 was late
34.	Carson (DV)	01/14	[02/12]	3/12/10	no recs.	X meritsystems/prohibitedpersonnelpracts.policy/assess.docs./W] (admin. app. of FY10/23) appeal denied
35.	[REDACTED] (EN)	01/19	[2/26—ext. 3/16]	3/16(EN)	no recs.	X [DOJ FOIA Hotline confirmed 4 ½ snow days not work days] (also OGEPAFY 10/22/5)
36.	[REDACTED] (ER/EN see # 33.)		02/16	03/04 (ER/EN)	no recs.	X (also OGEPAFY 10/21/4) (see notes under #33 above – response for #36 was timely)
37.	Barr (KC)	2/24	[3/24]	03/24 (KC)	den in part	X

[info on seven-named individuals]

	<u># Requester (OGEAttv.)</u>	<u>Date Recd.</u>	<u>[DueDate]</u>	<u>Date/Dec./Wds</u>	<u>Disp./Ex.</u>	<u>App.Date/#</u>
Exemption (b)(6)	38. ██████ (EN) (also OGEPAFY 10/20/3) Referral from State	2/25	[3/25]	3/3 (EN)	granted/full	X
Exemption (b)(6)	39. ██████ (EN) (also OGEPAFY 10/23/6)	2/24	[3/23]	3/18 (EN)	no recs.	X
Exemption (b)(6)	40. ██████ (EN) will not process unless so notified (also OGEPAFY 10/24/7)	3/5	[4/2]	3/25 (EN)	ltr to Jones that OGE	X
Exemption (b)(6)	41. ██████ (EN) (also OGEPAFY 10/25/8)	3/16	[4/13]	3/18 (EN)	withdrawn	X
Exemption (b)(6)	42. ██████ (EN) (also OGE PAFY 10/26/9) (spoke with ██████ and treat as an oral request)	3/17	[4/14]	4/5 (EN)	no recs.	X
	43. Kenyon (EN) <i>[Any records re. investigation of Stuart Statler, former CPSC Commissioner]</i>	3/24	[4/22]	4/22 (EN)	no recs.	X
	44. Phillips (EN) <i>[copies of 2007 & 2008 OGE COI prosecutions survey]</i>	5/18	[6/15]	6/7 (EN)	granted/full	X
	45. Asensio (EN) <i>[copies of various ethics documents]</i>	5/18	[6/15]	6/7 (EN)	no recs.	X
Exemption (b)(6)	46. ██████ (EN) (also OGE PA FY 10/27/10)	5/28	[6/25]	6/16 (EN)	no recs.	X
	47. Nicely (EN) <i>[Update personnel manual & no labor agreements]</i>	6/2	[6/30]	6/17 (EN)	granted/full	X
	48. Macfarlane (EN)	6/2	[6/30]	6/7 (EN)	withdrawn	X
	49. Schreane (EN) <i>[229.406 (Item 406) Code of Ethics]</i>	6/14	[7/12]	6/28 (EN)	granted/full	X
	50. McElhatton (EN) <i>[names received 208(b) waivers, 502(d) authorizations, agency supp waivers]</i>	6/24	[7/22 - ext 8/5]	8/3 (EN)	den in part	X
	51. Movroydis (EN) <i>[November 1999 letter from several advocacy groups re. Robert Rubin at Treasury]</i>	6/25	[7/23]	7/19 (EN)	den in part	X

52. Ravnitzky (EN) 6/25 [7/23] 7/9 (EN) denied/(b)(5) appeal
 [Feb 9, 2009 OGE letter to OLC]

Requester (OGEAtty.) Date Recd. [Due Date] Date/Dec./Wds Disp./Ex. App. Date/#

Exemption
(b)(6)

53. ██████ (EN) 6/25 [7/23] 7/6 (EN) no recs. X
 (also OGE PAFY 10/28/11)

54. Ho (EN) 6/28 [7/26] 7/16 (EN) no recs. X
 [documents including 278s on Elliott Broidy, U.S. Homeland Security Advisory Council]

55. Riley (EN) 7/6 [8/3] 7/16 (EN) no recs. X
 [Marie Louise Hagen, former DOJ attorney from 1982 – 2003]

56. Ravnitzky (KC) 7/6 [8/3] 7/27 (KC) granted/full X
 [OGE ethics audits for Feb. & Mar 2010 & listing/spreadsheet of OGE ethics audits for whatever time period is covered]

57. McElhatton (KC) 7/6 [8/3] 7/27 (KC) granted/full X
 [OGE ethics audits for Jan, Feb & Mar 2010 & listing/spreadsheet of OGE ethics audits for whatever time period is covered]

58. Darch (EN) 7/7 [8/4] 7/30 (EN) den in part yes
 [all docs from, regarding and to Craig Becker, Member of the NLRB]

59. Ravnitzky (KC) 7/14 [8/11] 7/27 (KC) granted/full X
 [Feb 9, 2009 OGE letter to OLC -- admin appeal of 10/52 denied in full (b)(5)]

60. Movroydis (EN) 7/14 [8/11] 7/27 (EN) no recs. X
 [copy of the settlement document between DOJ and Richard Holbrooke]

61. Rennie (KC) 7/20 [8/17] 8/9 (KC) granted/no recs. X
 [info submitted by Thomas Foley, in connection with his 2006 nom & conf as Amb. Ireland]

Exemption
(b)(6)

62. ██████ (KC) 7/28 [8/30] 8/9 (KC) no recs. X
 (also OGE PAFY 10/29/12)
 [specified non-OGE FOIA/PA record re reqtr.]

63. O'Keefe (KC) 8/2 [8/30] 8/9 (KC) granted/full X
 [U.S. Postal Service Program Review]

64. Ravnitzky (KC) 8/2 [8/30] 8/16 (KC) granted/full X
 [Program Review Tracking Report FY2005-FY2009]

65. McAllister (KC) 8/2 [8/30] 8/9 (KC) granted/full X
 [U.S. Postal Service Program Review]

Exemption
(b)(6)

66. [REDACTED] 7/30 [8/27] 8/9 (KC) no recs X
[also OGEPAFY 10/30/13] [specified indict.(s)/case(s) & apparently related materials re reqtr.]

Requester (OGEAtty.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

67. Popkin (KC) 8/3 [8/31] 8/9 (KC) granted/full X
[U.S. Postal Service Program Review]

68. McElhatto (KC) 8/10 8/10 (KC) granted/full X
[U.S. Postal Service Program Review from 1/1/08 to date]

Exemption
(b)(6)

69. [REDACTED] (EN) 8/5 [9/2] 8/23 (EN) no recs X
[also OGEPAFY 10/31/14] [any OGE records regarding requester]

70. Schwellenbach (KC) 8/20 [9/17] 9/29 (KC) part den X
[various named OGE agency reviews from 2005-2010]
[10 day extension requested]

71. Medsger (KC) 8/20 [9/17] 8/24 (KC) granted/full X
[most recent FBI program review]

72. Edwards (KC) 8/20 [9/17] 8/24 (KC) granted/full & no recs
[2009 FBI program review]

73. Wagner (EN) 8/20 [9/17] 9/13 (EN) granted/full X
[various named OGE agency program reviews from 2006-2010]

74. Sternstein (KC) 8/23 [9/20] 8/24 (KC) granted/full X
[2010 Interior program review and 2 related program question]

75. Holt (EN) 8/23 [9/20] 9/10 (EN) no recs.
[records of father's murder, Obbie Gene Ward]

76. Ravnitzky (KC) 8/24 [9/21] 9/8 (KC) part den
[various named OGE agency review reports from 2005-2009]

77. Darch (KC) 8/30 [9/27] 9/29 (KC) part den
[FOIA appeal from OGE FOIA FY 10/58]
[9/13/10 - 10 day extension requested]

78. Smathers (EN) 9/1 [9/29] 9/10 (EN) part den
[log of all FOIA requested received in August 2010]

79. Ravnitzky (EN) 9/8 [10/5] 10/18 (EN) part den
[3 conflict of interest prosecution surveys]

9/30 – 10 day extension requested until 10/19/10

80. Ravnitzky (EN) 9/8 [10/5] 9/30 (EN) partial den
[various named OGE agency program reviews]

Requester (OGEAtty.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

81. Ravnitzky (EN) 9/8 [10/5] 9/30 (EN) grant/full
[list of agency program reviews from 1995-2005]

82. Smathers (EN) 9/9 [10/6] 9/20 (EN) no recs.
[copy of other FOIA request that arouse suspicion and were sent to a law enforcement office]

83. Ravnitzky (EN) 9/14 [10/12] 9/30 (EN) grant/full
[FOIA referral from EPA – request for the last 3 EPA program review reports]

*84. Ravnitzky (EN) 9/24 [10/22] 10/18 (EN) grant/full
[FOIA referral from DOJ – request is for the last 3 U.S. Marshals Service program review rpt]

85. Holt (KC) 9/27 [10/25] 9/29 (KC) no recs.
[FOIA Appeal of 10/75 for the records of her father's murder]

*86. Ravnitzky (EN) 9/29 [10/27] 10/20 (EN) grant/full
[FOIA referral from HHS – request for the last 2 FDA program review reports]

*87. Ravnitzky (EN) 9/29 [10/27] 10/13 (EN) grant/full
[FOIA referral from DISA – request for 7/14/06 DISA program review report]

*88. Ravnitzky (EN) 9/29 [10/27] 10/13 (EN) grant/full
[FOIA referral from Fed Mediation & Conciliation Svc – request for 3/7/07 FMCS program rvw rpt]

*89. Ravnitzky (EN) 9/30 [10/28] 10/13 (EN) grant/full
[FOIA referral from Transportation (FAA) – request for last three program review reports]

— END OF FY 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-09/30/10)—

Pending request— Received in FY10, but Processed in FY11, but disposition shown here (Pending at the end of FY10, but not a backlog because processed within statutory timelimit)

OGE FY10 FOIA RESPONSE TIMES (Working Days, incl. exts.): Requests (except EP): 31+4(carry-over)=35: <1, <1, 1, 2, 2, 3, 4, 5, 6, 6, 6, 7, 7, 7, 7, 8, 8, 9 [Median: 9.0; mean (overall average): 367/35=10.5] 9, 10, 11, 12, 12, 13, 13, 13, 14, 16, 16 17, 19, 19 □ (ext.: 3) 25, 29, 29; **Backlog?:** No [100.0% Timely (35/35) (incl. regular & EP reqs.)]; **Expedited Processing (EP) Requests** Adjudicated(=Granted or Denied) [counted separately(time), not including no formal determ./answered w/i 10CDs=not counted/Annual Rpt.]: ; granted: ; denied: (--/10 CDs to decide/"adjudicate") :: WDs(resps.): [Median: ; mean: =]; **Fee Waiver Requests** Adjudicated(=Granted or Denied) [not counted separately, no separate WD response calculations needed (except for Pt.VIII.B.AnnualRpt.); not including no formal determ./answered w/i 20WDs=not counted/Annual Rpt.]: 1; granted: 1; denied: (3 WDs to decide/"adjudicate"); **Consults.from:** (WDs/not counted for OGE); **Appeals:** (): response times (incl. exts.): □□ [Median: ; mean: =] Backlog?: Yes/No [--.% Timely (--/--)]; Litigation: -; Pending at the end of FY09 (4): <1, 4::5 [Median: 4+5/2=4.5; mean (overall average): 15/4=3.8] 5; Pending at the end of FY10 (--):[Median: --; mean (overall average): --/--=--] [counted in FY11 rpt., disp. also shown in this FY req. listing/prior pages, but not here].

DISPOSITION TALLY: Requests (except EP): Grant/full: 5+2(carry-over)=7; part grant/part ext.: ; □□ part grant/part no recs.: ; part grant/part den.: 4+1(carry-over)=5; [<1, 1, 2, 3, 3, 5::6 {Total: 12; Med.: 5+6/2=5.5; mn.: 96/12=8.0} 8, 12, 14, 16 □□ (ext.: 1) 25]; [{EP Total (Separate): (); Med.: ; mn.: }]; den./full: 1; :: [E1: , E2: , E3()*: , E4: 1, E5: 1, E6: 6, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; no recs.: 20+1(carry-over)=21; (discret. rel.); ; other disposition: not proc./req.cat./fees: ; recs. not reasonably described/clarify: ; withdrawn: ; ref. to: (+forw.); consult.w/: ; not comply regs.: ; not FOIA: ; duplicate req.: 1; (reqs. incl. personal materials:); ; misdirected: ; fees/cat.: ; clar.: ; [also PA: 18+1(carry-over)=19]; **Appeals:** Grant/full: ; no recs.: (upheld); part.den.+ref.&PA:(no recs.): (upheld); den./full: ; :: [E1: , E2: , E3()*: , E4: ; E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); (overturned:); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:); [also PA:].

*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f) (trusts),+ OGE regs.

Acronyms Rec'd.by:E=E-mail;F=Form(1[+(N/A-278s)]=1); V=Verbal; W=Ltr./FAX(Written)
 AG=Allison George BG=Bill Gressman EN=Elaine Newton ER=Emory Rounds JE=Julie Eirinberg KC=Kerri Cox RM=Rachel Molinaro VS=Vince Salamone WS=Walter Shaub
 CDs=Calendar Days EA=Ethics Agreement EP=Expedited Processing PA=Privacy Act
 FOIA=Freedom of Information Act WDs=Working Days

Note: Underlined request # = response completed (incl. any follow-up), primary reply can occur earlier & be counted for FOIA/PA statistics.[EP req.:] ✓

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester & OGE Atty	Date Received	Due Date	Records Sought	Status
11/01 & PA 11/1/15	[REDACTED] (EN)	10/4/10	11/1/10	specific information about himself and six named individuals	Completed 10/25 – No Records
11/02	Jones (KC)	10/4/10	11/1/10	documents related to the specific steps agencies must take in a 3/16/10 memo from WH	Completed 10/26 – Partial denial (Ex 5)
11/03	Ravnitzky (EN)	10/7/10	11/4/10	FAA Program Review Reports for 1994, 1998 and 2003	Completed 10/25 – Grant/Full
11/04	Ravnitzky (KC)	10/7/10	11/4/10	list of single issue reviews conducted by OGE	Completed 10/25 – Grant/Full
11/05	Arnott (KC)	10/12/10	11/09/10	Postal Service and BBG Program Review Reports	Completed 10/25 – Grant/Full
11/06	Ravnitzky (EN)	10/7/10	11/4/10	Fed Retirement Thrift Investment Board Program Reviews for 1990, 1999 & 2004	Completed 10/26 – Grant/Full
11/07	Ravnitzky (EN)	10/4/10	11/1/10	Nuclear Waste Technical Review Board Program Review for April 2009	Completed 10/26 – Grant/Full
11/08	Barr (EN)	10/22/10	11/19/10	Any records of communication between OGE and Gingrich & Barbour and copies of FOIA requests on seven named individuals	Completed 11/12 – Partial denial (b)(6)
11/09	Kinderlin (EN)	10/22/10	11/19/10	Copies of various documents including E.O. 11222, 5 CFR parts 2600-2699 & three DOJ documents	Completed 11/1 – Grant/No Records
11/10	Carollo (EN)	10/29/10	11/26/10 – 10 day ext until 12/13	Copy of database related to travel by OGE employees	Completed 12/15 – withheld in full (b)(4)

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011
 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/11	Carollo (EN)	10/29/10	11/26/10 – 10 day ext until 12/13	Non-electronic records of OGE Director's travel since 1/1/2010	Completed 12/9 – partial release (b)(2) & (b)(6)
11/12	Carollo (KC)	10/29/10	11/26/10 – 10 day ext until 12/13	All 1353 reports (paper & electronic)	Completed 12/9 – partial release (no rec for 2 nd part of request)
11/13	Ravnitzky (KC)	10/29/10	11/26/10	Six named OGE single issue reviews	Completed 11/9 – Partial Release (one doc on website) other docs withheld under (b)(5)
11/14 & PA 11/2/16	[REDACTED] (EN)	11/5/10	12/3/10	Records about himself & John Garafolo or Garofolo and various law enforcement documents	Completed 11/22 – No Records
11/15	Smathers (EN)	11/15/10	12/13/10	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))
11/16	Bush (KC)	11/15/10	12/13/10	Documents about OGE's implementation of the Open Government Directive and any OGE contracts & billing for implementing FOIA	Completed 11/24 – partial denial (no records)
11/17	Ravnitzky (EN)	11/16/10	12/14/10	FOIA Appeal of OGE FOIA FY 11/13 for OGE single issue reviews	Completed 2/1 – partial denial – (b)(5) certain info from ftnotes
11/18	Saklad (EN)	11/22/10	12/20/10	U.S. Postal Service program reviews for 2010, 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/8 – partial denial (one page w/held in part – (b)(6))
11/19	Greenwald (EN)	11/22/10	12/20/10	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))
11/20	Hines (EN)	11/23/10	12/21/10	U.S. Postal Service program reviews for 2010, 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/21	Owens (EN)	12/1/10	12/29/10	U.S. Postal Service program reviews for 2005, 2001, 1995, 1993, 1991, 1988 & 1982	Completed 12/1 – partial denial (one page w/held in part – (b)(6))
11/22	Bigwood (EN)	12/2/10	12/30/10	U.S. Postal Service program reviews for 192, 1988, 1991, 1993, 1995, 2000 & 2005	Completed 12/6 – partial denial (one page w/held in part – (b)(6))
11/23	Crossman (EN)	12/6/10	1/3/11	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/9 – partial denial (one page w/held in part – (b)(6))
11/24	Malone (EN)	12/3/10	12/31/10	Request for SF 278s of Rahm I. Emanuel from 1993 to 1998	Completed 12/10 – full denial (b)(3)
11/25	Carollo (KC)	12/17/10	1/14/11	FOIA <u>Appeal</u> of OGE FOIA FY 11/11 for the Director's travel records	Completed 1/4 – full denial
11/26	Carollo (KC)	12/18	1/26/11 - 10 day ext until 2/9/11	FOIA <u>Appeal</u> of OGE FOIA FY 11/10 for copy of database of OGE employee travel	Completed 1/26 – partial denial – (b)(6)
11/27	Brush (EN)	1/3/11	1/31/11 – 10 day ext until 2/14/11	All communication between OGE & Commodity Futures Trading Comm from 1/10 to present (1/5 telecon narrowed scope to exclude IT, admin & ed. (conf & training))	Completed 2/14 – partial denial (b)(5) & (b)(6), certain docs withheld in entirety, certain docs referred to CFTC
11/28	Kent (EN)	1/7/11	2/4/11	Request for SF 278 of Dr. Brian Kent	Completed 1/10 – full denial (b)(3)
11/29	Orce (KC)	1/20	2/17/11	All records for Joshua Steiner and Steven Rattner, except for records available on a 201 form	<u>Pending</u>
11/30	Ray (KC)	1/24/11	2/18/11	Ethics Program Reviews for USPS 2005, 2000, 1995, 1993, 1991, 1988, 1982.	Completed 1/24 – partial denial (one page w/held in part – (b)(6))

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011
 Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/31	Marks (EN)	1/18/11	2/5/11	A list of all OGE FOIA requests received in 2010	Completed 1/31 – partial denial (identity of requests for records about self – (b)(6))
11/32 & PA 11/3/1	[REDACTED] (EN)	1/25/11	2/22/11	Various records about himself	Completed 2/1 – no records

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011
Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

February 15, 2011

The Honorable Darrell Issa
Chairman
Committee on Oversight and
Government Reform
House of Representatives
Washington, DC 20515

Dear Chairman Issa:

This is in response to your January 25, 2011, request for information regarding the Freedom of Information Act (FOIA) program at the U.S. Office of Government Ethics (OGE). Specifically, you requested the following information, records, and explanations.

1. Provide your agency's FOIA log(s) for the five years preceding the date of this letter, in Microsoft Excel or a similar format that allows sorting by column or information category, including at least the following information: (a) the name of the requester; (b) the date of the request; (c) a brief description of the documents or records sought by the request; (d) any tracking number assigned to the request by your agency pursuant to Section 7 of the OPEN Government Act of 2007; (e) the date the request was closed, if it is not still outstanding; (f) whether any records were provided in response to the request; and (g) any additional identification number or code assigned to the request by your agency for internal use.

OGE has placed its FOIA logs for Fiscal Years 2006 through 2011 on the enclosed flashdrive. These logs were created in a Microsoft Word format and contain the six categories of information that you requested. On January 31, 2011, I spoke with Tegan Millspaw of your staff and she indicated that the Microsoft Word format was acceptable.

2. On each log provided in response to Request No. 1, identify each FOIA request to your agency that was submitted more than 45 days prior to the date of this letter and to which your agency has not yet issued a complete and final response.

As of February 15, 2011, OGE does not have any pending FOIA requests that were received more than 45 days prior to the date of your letter. However, at the time we received your request, OGE did have one pending FOIA request, FOIA Number 11/17, which was more than 45 days old. We completed our work on this FOIA request and sent the requester a final response on February 1, 2011. If you would like additional information or documents regarding FOIA Number 11/17, which is listed on the FY 2011 OGE FOIA Log, please let me know.

3. For each FOIA request identified in response to Request No. 2, provide all communications between your agency and the requester.

Because OGE does not currently have any pending FOIA requests that were received more than 45 days prior to the date of your letter, no documents are being provided in response to this question.

4. Identify any federal judicial action in which your agency, within the five years preceding the date of this letter, has been ordered by the court to pay any attorneys' fees or other litigation costs incurred by a FOIA requester under 5 U.S.C. § 552(a)(4)(E) or under any similar law or regulation.

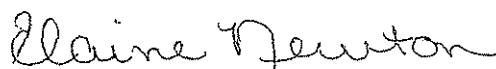
OGE has not been ordered to pay any attorney's fees or other litigation costs incurred by a FOIA requester under 5 U.S.C. § 552(a)(4)(E) or under any similar law or regulation in the five years preceding the date of your letter.

5. For each federal action identified in response to Request No. 4, provide a copy of the court order requiring your agency to pay attorney fees or other litigation costs.

Because OGE has not been ordered to pay any attorney's fees or other litigation costs incurred by a FOIA requester under 5 U.S.C. § 552(a)(4)(E) or under any similar law or regulation in the five years preceding the date of your letter, no documents are being provided in response to this question.

Please let me know if you have any questions or need any additional information regarding OGE's FOIA program.

Sincerely,



Elaine Newton
OGE FOIA Officer

Enclosure

ENewton/EN (LK)
Read File
OGE FOIA
AL 1-2 (2011)