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Description of document: List of Smithsonian Institution (SI) Office of Inspector General (OIG) investigations closed during CY 2014

Request date: 2015

Released date: 05-May-2015

Posted date: 26-October-2015

Source of document: The Smithsonian Institution
MRC 524
PO Box 37012
Washington DC 20013-7012
Fax: 202-633-7079

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Smithsonian Institution

Office of the Inspector General

May 5, 2015

Via Email

This is our response to your request, in which you asked for “a copy of the list or printout or database listing of IG investigations closed during calendar year 2014.” You further requested that we “take all practicable steps to include, at a minimum, the case number, title of the investigation, date the investigation was opened, date the investigation was closed, and any other descriptive fields.”

The Smithsonian Institution and its Office of the Inspector General (OIG) are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution's mandate to increase and diffuse knowledge. See 20 U.S.C. §41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist

Accordingly, we have redacted the attached two-page document consistent with FOIA exemption 7(C), which protects from disclosure records or information compiled for law enforcement purposes if disclosure “could reasonably be expected to constitute an unwarranted invasion of personal privacy.” 5 U.S.C. § 552(b)(7)(C).

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector

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General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,



Epin H. Christensen
Counsel to the Inspector General

File No.	Investigator	Offense Code	Date Opened	Date Closed
09-12	[REDACTED]	OWCP	12/12/2008	02/27/2014
09-61	[REDACTED]	OWCP	05/26/2009	11/18/2014
12-004	[REDACTED]	Fraud	10/30/2011	09/30/2014
12-055	[REDACTED]	National Collections Issue	05/25/2012	03/21/2014
13-001	[REDACTED]	Theft/Embezzlement	10/01/2012	03/31/2014
13-005	[REDACTED]	Contract/Procurement Irregularity	10/24/2012	02/27/2014
13-010	[REDACTED]	National Collections Issue	11/09/2012	11/17/2014
13-011	[REDACTED]	Contract/Procurement Irregularity	11/13/2012	02/07/2014
13-021	[REDACTED]	National Collections Issue	11/16/2012	05/19/2014
13-043	[REDACTED]	Abuse of Authority	05/19/2013	03/31/2014
13-044	[REDACTED]	Public Concern	05/17/2013	03/31/2014
13-045	[REDACTED]	Fraud	06/06/2013	03/20/2014
13-052	[REDACTED]	Abuse of Authority	07/31/2013	02/19/2014
13-053	[REDACTED]	Abuse of Authority	07/31/2013	08/27/2014
13-054	[REDACTED]	General Criminal Activity	08/01/2013	08/11/2014
13-057	[REDACTED]	Personnel Issue	08/05/2013	07/29/2014
13-061	[REDACTED]	Time and Attendance	09/24/2013	03/31/2014
14-002	[REDACTED]	Abuse/Misuse SI Personnel	10/28/2013	03/31/2014
14-003	[REDACTED]	General Criminal Activity	10/09/2013	02/28/2014
14-007	[REDACTED]	Personnel Issue	11/07/2013	01/09/2014
14-009	[REDACTED]	Personnel Issue	11/07/2013	02/27/2014
14-010	[REDACTED]	Personnel Issue	11/08/2013	03/31/2014
14-013	[REDACTED]	Other - Non Specific	11/26/2013	12/17/2014
14-017	[REDACTED]	Missing/Theft - Collections	11/05/2013	03/07/2014
14-018	[REDACTED]	Abuse/Misuse SI Personnel	12/17/2013	10/21/2014
14-019	[REDACTED]	Misuse of Funds	12/18/2013	02/11/2014
14-020	[REDACTED]	Travel Irregularities	12/26/2013	05/21/2014
14-021	[REDACTED]	Fraud	12/30/2013	01/14/2014
14-022	[REDACTED]	Abuse/Misuse SI Personnel	01/05/2014	03/31/2014
14-023	[REDACTED]	Other - Non Specific	01/08/2014	08/18/2014
14-024	[REDACTED]	Personnel Issue	01/15/2014	01/22/2014
14-025	[REDACTED]	Contract/Procurement Irregularity	01/24/2014	03/31/2014
14-028	[REDACTED]	Abuse of Authority	08/10/2013	09/25/2014
14-029	[REDACTED]	Public Concern	02/05/2014	02/10/2014
14-030	[REDACTED]	Fraud	01/18/2014	02/27/2014
14-031	[REDACTED]	Personnel Issue	01/20/2014	02/27/2014
14-032	[REDACTED]	Personnel Issue	01/28/2014	08/27/2014
14-033	[REDACTED]	Fraud	01/24/2014	02/27/2014
14-034	[REDACTED]	Contract/Procurement Irregularity	02/20/2014	06/30/2014
14-036	[REDACTED]	Abuse of Authority	01/10/2014	03/12/2014
14-037	[REDACTED]	Theft/Embezzlement	03/20/2014	07/29/2014
14-040	[REDACTED]	Time and Attendance	03/28/2014	11/14/2014
14-041	[REDACTED]	Col	04/01/2014	08/27/2014
14-042	[REDACTED]	Mismanagement Issue	04/03/2014	08/28/2014
14-044	[REDACTED]	Mismanagement Issue	04/14/2014	09/17/2014
14-045	[REDACTED]	Public Concern	04/02/2014	04/22/2014
14-047	[REDACTED]	Personnel Issue	04/30/2014	07/10/2014

14-048	[REDACTED]	Public Safety/Health Concern	05/02/2014	12/05/2014
14-049	[REDACTED]	Gross Waste of Funds	05/06/2014	05/30/2014
14-050	[REDACTED]	Mismanagement Issue	06/10/2014	06/17/2014
14-051	[REDACTED]	Bribery/Gratuity	06/11/2014	06/18/2014
14-052	[REDACTED]	Abuse of Authority	06/26/2014	11/04/2014
14-053	[REDACTED]	Contract/Procurement Irregularity	06/10/2014	11/05/2014
14-054	[REDACTED]	Other - Non Specific	06/26/2014	06/27/2014
14-055	[REDACTED]	Personnel Issue	07/01/2014	07/10/2014
14-056	[REDACTED]	Public Concern	07/02/2014	08/28/2014
14-057	[REDACTED]	Personnel Issue	07/02/2014	08/28/2014
14-059	[REDACTED]	Public Safety/Health Concern	07/09/2014	08/28/2014
14-063	[REDACTED]	Abuse of Authority	07/14/2014	09/25/2014
14-065	[REDACTED]	Time and Attendance	07/22/2014	08/22/2014
14-066	[REDACTED]	Public Concern	07/25/2014	08/27/2014
14-068	[REDACTED]	Misuse of Funds	08/05/2014	09/25/2014
14-070	[REDACTED]	Contract/Procurement Irregularity	08/19/2014	08/28/2014
14-074	[REDACTED]	Personnel Issue	09/20/2014	10/21/2014
14-075	[REDACTED]	Personnel Issue	09/24/2014	12/17/2014
15-001	[REDACTED]	Personnel Issue	10/13/2014	10/21/2014
15-010	[REDACTED]	Personnel Issue	12/01/2014	12/17/2014