



# governmentattic.org

*"Rummaging in the government's attic"*

Description of document: Secretary of Defense Reports on Federal Data Mining Programs Within the Department of Defense, Fiscal Years 2012 & 2013 and 2014

Requested date: 30-November-2014

Released date: 14-October-2015

Posted date: 07-March-2016

Source of document: FOIA Request  
Defense Freedom of Information Division  
1155 Defense Pentagon  
Washington, DC 20301-1155

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



**DEPARTMENT OF DEFENSE  
OFFICE OF FREEDOM OF INFORMATION  
1155 DEFENSE PENTAGON  
WASHINGTON, DC 20301-1155**

14 OCT 2015

Ref: 15-F-0428

This is the final response to your enclosed November 30, 2014, Freedom of Information Act (FOIA) request for "a copy of the most recent report on Federal Agency Data Mining, a DoD report required under the Implementing Recommendations of the 9/11 Commission Act of 2007, Section 804 (Public Law 110-53, 42 U.S.C. 2000ee-3)." Your request was received in this office on December 9, 2014, and assigned FOIA case number 15-F-0428. We ask that you use this number when referring to your request. Please note that other similar requests were being processed at the time your request was received. We have included the document released for those other requests as well.

The Office of the Under Secretary of Defense for Intelligence (USD(I)), a component of the Office of the Secretary of Defense, conducted a search of their records systems and located two documents, totaling 160 pages, determined to be responsive to your request. Mr. Garry P. Reid, Director for Defense Intelligence (Intelligence and Security), an Initial Denial Authority for the USD(I), has determined that information which has been redacted from the documents is exempt from release pursuant to 5 U.S.C. § 552 (b)(1), which pertains to information that is currently and properly classified in the interest of national security pursuant to Executive Order 13526, as amended, applying Section 1.4 (a) concerning the protection of military plans, weapons, systems, or operations; Section 1.4 (c) concerning the protection of Intelligence activities (including covert action), intelligence sources or methods, or cryptology; and Section 1.4 (e), concerning the protection of scientific, technological, or economic matters relating to the national security, including the defense against transnational terrorism. The information in these records is currently and properly classified in the interest of national defense.

Your request is now closed in this Office. If you are not satisfied with this action, you may petition the Appellate Authority, the Director of Administration, Office of the Secretary of Defense, by writing directly to the Freedom of Information Division, ATTN: Appeals Office, 1155 Defense Pentagon, Washington, D.C. 20301-1155; you may also submit your appeal electronically at the following link: <http://pal.whs.mil/palMain.aspx>. Your appeal should be postmarked within 60 calendar days of the date of this mail, should cite case number 15-F-0428, and should be clearly marked "Freedom of Information Act Appeal."

Sincerely,

*for Charles C. Marge*  
Stephanie L. Carr  
Chief

Enclosures:  
As stated



RESPONSE TO CONGRESS:

SECRETARY OF DEFENSE REPORT ON FEDERAL DATA MINING PROGRAMS  
WITHIN THE DEPARTMENT OF DEFENSE

Fiscal Years 2012 & 2013

Preparation of this study/report cost the  
Department of Defense a total of approximately  
\$53,220 for the 2014 Fiscal Year

Generated on 2014August28 RefID: US1800641-14

~~DOD/FOID  
SCI CONTROL~~

Copy No. \_\_\_\_\_  
Case No. \_\_\_\_\_  
SCI No. 15-F-0383  
Document No. 15-SI-012

(110)

(U) The Office of the Secretary of Defense is pleased to provide to the Congress this report pursuant to Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, entitled *The Federal Agency Data Mining Reporting Act of 2007* ("the Act").

(U) **Congessionally Directed Action Requirement:** This report responds to a congressional request for the Department of Defense to provide information on its data mining activities as directed by the Implementing Recommendations of the 9/11 Commission Act of 2007. Section 804 of that legislation, the Data Mining Reporting Act, requires the head of each department or agency of the Federal Government that is engaged in any activity to use or develop data mining, as defined by the act, to submit a report on all such activities to Congress.

(U) **Background and Scope:** On August 3, 2007, President Bush signed into law the Implementing Recommendations of the 9/11 Commission Act of 2007. Section 804 of that legislation, the Federal Agency Data Mining Reporting Act, requires annual reports on government data mining programs beginning 180 days from the Data Mining Reporting Act's enactment. This 2012-2013 report was prepared by the Office of the Under Secretary of Defense for Intelligence and responds to the above requirement.

- (U) Each of the Department of Defense Components that uses or develops data mining was tasked to submit a report. That report was required to contain the following information in accordance with Section 804:
  - (U) A thorough description of the data mining activity, its goals and, where appropriate, the target dates for the deployment of the data mining activity.
  - (U) A thorough description of the data mining technology that is being used or will be used, including the basis for determining whether a particular pattern or anomaly is indicative of terrorist or criminal activity.
  - (U) A thorough description of the data sources that are being or will be used.
  - (U) An assessment of the efficacy or likely efficacy of the data mining activity in providing accurate information consistent with and valuable to the stated goals and plans for the use or development of the data mining activity.
  - (U) An assessment of the impact or likely impact of the implementation of the data mining activity on the privacy and civil liberties of individuals, including a thorough description of the actions that are being taken or will be taken with regard to the property, privacy, or other rights or privileges of any individual or individuals as a result of the implementation of the data mining activity.
  - (U) A list and analysis of the laws and regulations that govern the information being or to be collected, reviewed, gathered, analyzed, or used in

conjunction with the data mining activity, to the extent applicable in the context of the data mining activity.

- (U) A thorough discussion of the policies, procedures, and guidelines that are in place or that are to be developed and applied in the use of such data mining activity in order to:
  - (U) Protect the privacy and due process rights of individuals, such as redress procedures; and
  - (U) Ensure that only accurate and complete information is collected, reviewed, gathered, analyzed, or used, and guard against any harmful consequences of potential inaccuracies.

**(U) Department of Defense Response:** This year's report covers both the FY 2012 and FY 2013 reporting periods. The report was developed from inputs from all relevant DoD Components, in coordination with the Defense Privacy Officer, and shall be made available to the public as required by the Act. The combined report is split into the two reporting periods and separated into UNCLASSIFIED and CLASSIFIED responses.

(U) For the FY 2012 reporting period:

- (U) **Military Services:** The U.S. Navy reported they engage in data mining activities as defined under the Federal Data Mining Activity Reporting Act of 2007. The Navy's report is classified and is contained in Annex D. The U.S. Army, Air Force, and Marine Corps report they do not engage in data mining activities as defined by the Act.
- (U) **Combatant Commands:** Two Combatant Commands (USEUCOM and USSOUTHCOM) reported they engage in data mining activities as defined under the Federal Data Mining Activity Reporting Act of 2007. These reports are unclassified, and are contained in Annex A and B respectively. The Joint Staff, USCENTCOM, USPACOM, USNORTHCOM, USAFRICOM, USTRANSCOM, USSTRATCOM, USSOCOM, and U.S. Forces Korea reported they do not engage in data mining activities as defined by the Act.
- (U) **Joint Improvised Explosive Device Defeat Organization (JIEDDO):** JIEDDO performs searches on data that has been compiled from a variety of databases used by the U.S. and coalition military forces. Annex C is the unclassified report and Annex E contains the classified report.
- (U) **National Security Agency (NSA):** NSA engages in data mining activities it believes fall under definition contained in the Federal Data Mining Activity Reporting Act of 2007. Annex F contains the classified report.
- (U) **National Geospatial-Intelligence Agency (NGA):** NGA's engages in data mining activities it believes fall under definition contained in the Federal Data Mining Activity Reporting Act of 2007. Annex G contains the classified report.

- **(U) Defense Intelligence Agency (DIA) and National Reconnaissance Office (NRO):** Both DIA and the NRO reported they do not engage in data mining activities as defined by the Act.

(U) For the FY 2013 reporting period:

- **(U) Military Services:** The U.S. Navy reported they engage in data mining activities as defined under the Federal Data Mining Activity Reporting Act of 2007. The Navy's report is classified and is contained in Annex D. The U.S. Army, Air Force, and Marine Corps report they do not engage in data mining activities as defined by the Act.
- **(U) Combatant Commands:** Two Combatant Commands (USEUCOM and USSOUTHCOM) reported they engage in data mining activities as defined under the Federal Data Mining Activity Reporting Act of 2007. These reports are unclassified, and are contained in Annex A and B respectively. The Joint Staff, USCENTCOM, USPACOM, USNORTHCOM, USAFRICOM, USTRANSCOM, USSTRATCOM, USSOCOM, and U.S. Forces Korea reported they do not engage in data mining activities as defined by the Act.
- **(U) Joint Improvised Explosive Device Defeat Organization (JIEDDO):** JIEDDO performs searches on data that has been compiled from a variety of databases used by the U.S. and coalition military forces. Annex C is the unclassified report and Annex E contains the classified report.
- **(U) National Security Agency (NSA):** NSA engages in data mining activities it believes fall under definition contained in the Federal Data Mining Activity Reporting Act of 2007. Annex F contains the classified report.
- **(U) National Geospatial-Intelligence Agency (NGA):** NGA's engages in data mining activities it believes fall under definition contained in the Federal Data Mining Activity Reporting Act of 2007. Annex G contains the classified report.
- **(U) Defense Intelligence Agency (DIA) and National Reconnaissance Office (NRO):** Both DIA and the NRO reported they do not engage in data mining activities as defined by the Act.

**(U) Conclusion:** The Department of Defense has compiled this report to Congress on its data mining activities as required by the Data Mining Reporting Act. The Department continues to ensure the effectiveness of data mining programs, while protecting all applicable privacy and due process rights of individuals.

106 remaining pages  
denied in full  
pursuant to 5 U.S.C.  
§ 552 (b)(1)



RESPONSE TO CONGRESS:

SECRETARY OF DEFENSE REPORT ON FEDERAL DATA MINING PROGRAMS  
WITHIN THE DEPARTMENT OF DEFENSE

Fiscal Year 2014

Preparation of this study/report cost the  
Department of Defense a total of approximately  
\$18,957.36 for Fiscal Year 2015  
Generated on 2015March20 RefID USI001669-14

<del>DOD / FOID SCI CONTROL</del>	
Copy No.	_____
Case No.	15-F-1525
SCI No.	14-SI-008
Document No.	_____



(U) The Office of the Secretary of Defense is pleased to provide Congress this report pursuant to Section 804 of the Implementing Recommendations of the 9/11 Commission Act of 2007, entitled *The Federal Agency Data Mining Reporting Act of 2007* ("the Act").

(U) **Congressionally Directed Action Requirement:** This report responds to a congressional request for the Department of Defense to provide information on its data mining activities as directed by the Implementing Recommendations of the 9/11 Commission Act of 2007. Section 804 of that legislation, the Data Mining Reporting Act, requires the head of each department or agency of the Federal Government that is engaged in any activity to use or develop data mining, as defined by the act, to submit a report on all such activities to Congress.

(U) **Background and Scope:** On August 3, 2007, President Bush signed into law the Implementing Recommendations of the 9/11 Commission Act of 2007. Section 804 of that legislation, the Federal Agency Data Mining Reporting Act, requires annual reports on government data mining programs beginning 180 days from the Data Mining Reporting Act's enactment. This Fiscal Year 2014 report was prepared by the Office of the Under Secretary of Defense for Intelligence and responds to the above requirement.

- (U) Each of the Department of Defense Components that engaged in data mining activities during fiscal year 2014 was tasked to submit a report that contained the following information in accordance with Section 804:
  - (U) A thorough description of the data mining activity, its goals and, where appropriate, the target dates for the deployment of the data mining activity.
  - (U) A thorough description of the data mining technology that was or will be used, to include the basis for determination of whether a particular pattern or anomaly was indicative of terrorist or criminal activity.
  - (U) A thorough description of the data sources that were used.
  - (U) An assessment of the efficacy or likely efficacy of the data mining activity to provide accurate information consistent with and valuable to the stated goals and plans for the data mining activity.
  - (U) An assessment of the impact or likely impact of the implementation of the data mining activity on the privacy and civil liberties of individuals, including a thorough description of the actions taken or will be taken with regard to the property, privacy, or other rights or privileges of any individual or individuals as a result of the implementation of the data mining activity.
  - (U) A list and analysis of the laws and regulations that govern the information collected, reviewed, gathered, analyzed, or used in conjunction with the data

mining activity, to the extent applicable in the context of the data mining activity.

- (U) A thorough discussion of the policies, procedures, and guidelines that are in place or that are to be developed and applied in the use of such data mining activity in order to:
  - (U) Protect the privacy and due process rights of individuals, such as redress procedures; and
  - (U) Ensure that only accurate and complete information is collected, reviewed, gathered, analyzed, or used, and guard against any harmful consequences of potential inaccuracies.

(U) **Department of Defense Response:** The report was developed from inputs from all relevant DoD Components, in coordination with the Defense Privacy and Civil Liberties Division. The report is separated into UNCLASSIFIED and CLASSIFIED responses.

(U) For the FY 2014 reporting period:

- (U) **Military Services:** The U.S. Army, U.S. Air Force, U.S. Navy, and U.S. Marine Corps reported they did not engage in any data mining activities as defined by the Federal Data Mining Activity Reporting Act of 2007.
- (U) **Combatant Commands:** The Joint Staff, U.S. Central Command, U.S. Pacific Command, U.S. Northern Command, U.S. Africa Command, U.S. Transportation Command, U.S. Strategic Command, U.S. Special Operations Command, U.S. Southern Command, U.S. European Command, and U.S. Forces Korea reported they did not engage in data mining activities as defined by the Federal Data Mining Activity Reporting Act of 2007.
- (U) **National Security Agency (NSA):** NSA reported they engaged in data mining as defined under definition contained in the Federal Data Mining Activity Reporting Act of 2007 pursuant to their mission for foreign intelligence purposes to include the detection of terrorist activities. Their classified report is contained in Annex A.
- (U) **National Geospatial-Intelligence Agency (NGA):** NGA reported they engaged in data mining activities as defined under the definition of the Federal Data Mining Activity Reporting Act of 2007 in support of its mission to provide timely, relevant, and accurate geospatial intelligence in support of national security. Their classified report is contained in Annex B.
- (U) **Joint Improvised Explosive Device Defeat Organization (JIEDDO):** JIEDDO reported they engaged in data mining activities as defined under the definition of the Federal Data Mining Activity Reporting Act of 2007 to perform searches on data

compiled from a variety of databases used by U.S. and coalition military forces. Their unclassified report is contained in Annex C and their classified report is contained in Annex D.

- **(U) Defense Intelligence Agency (DIA) and National Reconnaissance Office (NRO):** Both DIA and the NRO reported they did not engage in data mining activities as defined by the Federal Data Mining Activity Reporting Act of 2007.

**(U) Conclusion:** The Department of Defense has compiled this report for Congress in compliance with the Federal Data Mining Activity Reporting Act of 2007. The Department continues to ensure the effectiveness of data mining programs while protecting all applicable privacy and due process rights of individuals.

46 remaining pages  
denied in full  
pursuant to 5 U.S.C.  
§ 552 (b)(1)

---