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Description of document: Closing documents for five (5) Federal Housing Finance Agency (FHFA) Inspector general (OIG) investigations, 2011

Requested date: 19-February-2016

Released date: 01-April-2016

Posted date: 16-May-2016

Source of document: Federal Housing Finance Agency  
FOIA Requester Service Center  
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Washington, D.C. 20219  
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**OFFICE OF INSPECTOR GENERAL**  
Federal Housing Finance Agency

400 7th Street, S.W., Washington DC 20024

April 01, 2016

By Electronic Mail

RE: Freedom of Information Act

This letter responds to your February 19, 2016 request pursuant to the Freedom of Information Act (FOIA)/Privacy Act (PA), 5 U.S.C. §552, which was forwarded by the Federal Housing Finance Agency (FHFA) and received by the Federal Housing Finance Agency's Office of Inspector General (FHFA-OIG) on March 07, 2016 for separate processing and response in accordance with FHFA's FOIA regulations at 12 C.F.R. Part 1202. Your request has been assigned the tracking number 2016-FOIA-00009 and seeks the following information:

- **A copy of the closing memo, final report, referral memo, referral letter and report of investigation (ROI) for the following closed investigations:**
  - 1.) I-11-0026
  - 2.) I-11-0032
  - 3.) I-11-0040
  - 4.) I-11-0054
  - 5.) I-11-0055

FHFA-OIG has conducted a search and has determined that it possesses **22** pages of records responsive to your request. These are attached.

Certain information contained in these records have been exempt and withheld under the following FOIA Exemptions:

- (b)(2), Permits withholding of records related solely to internal rules and practices.
- (b)(5), Permits withholding information under the deliberative process privilege, including the pre-decisional documents, or information that could be withheld under civil discovery, attorney-client, or attorney-work product privileges.
- (b)(7)(C), Permits withholding of records when an unwarranted invasion of personal privacy could reasonably be expected.
- (b)(7)(D), Permits withholding of records when revealing a confidential source or information provided by a confidential source could reasonably be expected.
- (b)(7)(E), Permits withholding of records when techniques and procedures for law enforcement investigations or process would be disclosed or provided such disclosure could reasonably be expected to risk circumvention of law.

This is the final decision on your request. If you believe this decision denies your request in whole or in part, you may appeal it in writing within 30 days, per 12 C.F.R. § 1202.9, by writing directly to the FOIA Appeals Officer via electronic mail, mail, delivery service, or facsimile. Your appeal must cite the applicable tracking number(s) for the request(s) you contend to have been denied. Your appeal must include a copy of the request(s) you contend to have been denied, a copy of the decision letter, and a

statement of circumstances, reasons, or arguments you believe support disclosure of the requested record(s). Your appeal must also be clearly marked "FOIA Appeal: FHFA-OIG." The electronic mail address is: [foia@fhfa.gov](mailto:foia@fhfa.gov). For mail or delivery service, the mailing address is: FOIA Appeals Officer, Federal Housing Finance Agency, 400 7<sup>th</sup> Street, SW, Washington, DC 20024. The facsimile number is: (202) 649-1073.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Katarina Hake', with a long horizontal stroke extending to the right.

Katarina Hake  
FOIA/Privacy Act Officer

Summary for Case Number **I-11-0026**

CITI AT CAMELBACK

**Agent:** (b)(7)(C) **Date opened:** 04/28/2011  
**Date closed:** 07/27/2011  
**City:** **State:** CA  
**Offense:** Conspiracy **Type:** I

**Investigation Completion Date:**

**Narrative:**

(b)(7)(C) DURING THE COURSE OF THE [REDACTED] INVESTMENT SCHEME [REDACTED] IS A LARGE (b)(7)(C)  
(b)(7)(C) PONZI SCHEME BEING WORKED BY FBI OUT OF CA PER INVESTIGATOR (b)(7)(C)  
(b)(7)(C) INVESTIGATION, THE FIU LEARNED THAT [REDACTED] WAS HAVING HIS  
MEMBERS/INVESTORS ACQUIRE INVENTORY IN THE CITI AT CAMELBACK CONDO PROJECT. ONE BORROWER INTERVIEWED VERIFIED THAT THERE WAS NO CASH TO CLOSE REQUIRED AND ALL RENTAL WAS HANDLED BY THE ON-SITE PROPERTY MANAGEMENT COMPANY, GREYSTAR. RED DOOR GROUP RECRUITED INVESTORS WITH GUARANTEES OF RENTAL PAYMENTS

**Confidential Case:** No **Recovery Act:** No  
**Qui-Tam:** No **Grand Jury:** No  
**FAR Disclosure:** No **Whistleblower:** No

**Chron:**

Date	Activity/Lead
04/27/2011	(b)(7)(E)
04/28/2011	
05/02/2011	
05/12/2011	
05/19/2011	

**Reason for Closing:**

#close\_pending\_summary#

Summary for Case Number I-11-0032

(b)(7)(C)

Agent: ACEVEDO Date opened: 05/02/2011  
Date closed: 07/15/2011  
City: State: IL  
Offense: Conspiracy Type: I

Investigation Completion  
Date:

Narrative:

AS PER INFORMATION RECEIVED FROM FREDDIE MAC, FIU INVESTIGATOR (b)(7)(C) (b)(7)(C) SA ACEVEDO IDENTIFIED COMPANIES WESTERNVIEW LLC AND SPRINGVIEW LLC (b)(7)(C) IN CHICAGO, IL AS POTENTIAL ENTITIES BY WHICH MORTGAGE FRAUD WAS COMMITTED IN A BUILDER BAIL OUT. IN THE PRELIMINARY PUBLIC RESEARCH CONDUCTED BY SA ACEVEDO, IT APPEARS THAT (b)(7)(C) (b)(7)(C) IN FLORIDA (b)(7)(C) FORMED SEVERAL FLORIDA CORPORATIONS ONE IN SPECIFIC, DOLCE LIVING HOMES IN HALLANDALE BEACH, FL THAT WAS ESTABLISHED TO BUILD SINGLE FAMILY HOMES

Confidential Case: No Recovery Act: No  
Qui-Tam: No Grand Jury: No  
FAR Disclosure: No Whistleblower: No

Chron:

Date	Activity/Lead
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Reason for Closing:

#close\_pending\_summary#

Report Complete: This report was generated on 03/10/2016 at 9:44:03 AM EST



# REPORT OF INVESTIGATION



<b>Title (Name and address):</b> SA (b)(7)(C) 1625 I Street, 7 <sup>th</sup> Floor Washington D.C. 20006	<b>Type of Investigation:</b> I	<b>Type of Report:</b> <input checked="" type="checkbox"/> Final <input type="checkbox"/> Interim <input type="checkbox"/> Supplemental
<b>Period of Investigation:</b> June 24, 2011-August 26, 2011		

## BASIS FOR INVESTIGATION

The basis for this investigation was the receipt of three threatening emails by Freddie Mac on June 15, 2011.

## ALLEGATIONS & FOCUS OF INVESTIGATION

On June 24, 2011, at 9:12 a.m. Special Agent in Charge (SAC) Peter Emerzian, Federal Housing Finance Agency, Office of Inspector General (FHFA-OIG) forwarded an email chain originating from Bryan Saddler, General Counsel (GC), FHFA-OIG informing that a threat had been received at Freddie Mac and requesting an agent call (b)(7)(C) at Freddie Mac for follow-up.

Distribution	No.	Case Number:	Signature of Person Making Report:
Inspector General		I-11-0040	(b)(7)(C)
Assistant U.S. Attorney		Signature of Person Examined:	
		Peter C. Emerzian	(b)(7)(C)
Other (Specify):		Title: Special Agent in Charge	Office (City): Washington, D.C.
		Division Office: Washington, D.C.	Date of Report: 9-7-2011

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REPORT OF INVESTIGATION

DETAILS OF INVESTIGATION

SA (b)(7)(C) interviewed (b)(7)(C) telephonically on June 24, 2011. (b)(7)(C) provided the following pertinent information:

Freddie Mac maintains a blog on its external website called "Homefront" on which Freddie Mac executives take and answer consumer questions concerning the enterprise. On a June 13, 2011, posting to the "Homefront" site (b)(7)(C) responded to several consumer questions. (b)(7)(C) said that under a "Read more" hyperlink under the posting, a list of question-and-answer postings are followed by a section entitled "Feedback" which has "Name", "E-mail Address" and "Feedback" text blocks. These allow respondents to comment on Freddie Mac executives' answers.

The "Feedback" block has a note advising responses are limited to 250 characters. (b)(7)(C) said that shortly after (b)(7)(C) June 13, 2011, blog answer three separate responses came in containing profanity and threats to (b)(7)(C) person. (b)(7)(C) said Freddie Mac informed the Fairfax Police and Freddie Mac information technology (IT) and physical security personnel. Mr. (b)(7)(C) said Freddie Mac's IT security was able to track the suspect postings to a Qwest Communications server in (b)(7)(C)

(b)(7)(C) provided the following contact information for Freddie Mac's personnel involved:

(b)(7)(C)

Legal Division

Office: (b)(7)(C)  
Email: (b)(7)(C)

(b)(7)(C)

Information Security Engineering and Threat Management

Operations & Technology Division

Office: (b)(7)(C)

SA (b)(7)(C) interviewed (b)(7)(C) telephonically on June 24 and again on June 30, 2011. (b)(7)(C) provided the following pertinent information:

(b)(7)(C) was advised by Freddie Mac personnel of the subject postings on the "Executive Perspectives" web site. The subject postings came into the web site on June 15, 2011, at 19:58:26, 19:59:24, and 20:00:01 EST. (b)(7)(C) said the web form does not request the person posting to the site give their name or email, though the form asks for the information. (b)(7)(C) said the person who posted the subject threats did not give their name or email. (b)(7)(C) said he accessed Freddie Mac web logs and pulled relevant information which allowed him to associate all three subject threat postings to a Qwest Communications server in (b)(7)(C). (b)(7)(C) provided the IP (internet protocol) address for the server: (b)(7)(C). (b)(7)(C) said the IP address could

Case Title:  
Freddie Mac Threat

Case Number:  
I-11-0040

OFFICIAL USE ONLY



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**REPORT OF INVESTIGATION**

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be for an internal or external address (inside or outside Qwest's router) and could trace to an individual or a business. He said that any further inquiry would need to start at Qwest.

On June 26, 2011, FHFA-OIG personnel served an IG subpoena upon Qwest Communications requesting subscriber information relating to IP address (b)(7)(C). Via letter dated June 29, 2011, Qwest informed that the relevant IP address was "dynamic" meaning the address is not dedicated to a unique user but can be assigned to a number of users and traced to a specific user by unique user ID and timestamp. (b)(7)(E)

(b)(7)(E)

On July 7, 2011, FHFA-OIG personnel served a second IG subpoena upon Qwest Communications requesting subscriber information for user IDs: (b)(7)(C). Via letter dated July 21, 2011, Qwest provided subscriber information for the subject user IDs including name, address and telephone.

Both subscribers' names were provided to (b)(7)(C) from Freddie Mac to determine whether either owned properties with mortgages held by Freddie Mac at any point. (b)(7)(C) said subscriber (b)(7)(C) had a property (b)(7)(C) on which property Freddie Mac held the mortgage. (b)(7)(C) said the property was foreclosed upon. Criminal inquiries were run on (b)(7)(C). All criminal inquiries produced negative results for criminal history.

**PROSECUTIVE DISPOSITION**

On August 26, 2011, SA (b)(7)(C) made verbal presentation of this matter to David Novak, Assistant U.S. Attorney Eastern District of Virginia. Mr. Novak declined prosecution.

**SYSTEMIC IMPLICATIONS**

NA

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Case Title:  
Freddie Mac Threat

Case Number:  
I-11-0040

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# REPORT OF INVESTIGATION



<b>Title (Name and address):</b> Internal Investigation (Privacy Act) Washington, DC 20006	<b>Type of Investigation:</b> <input type="checkbox"/> Criminal <input type="checkbox"/> Civil <input checked="" type="checkbox"/> Administrative	<b>Type of Report:</b> <input checked="" type="checkbox"/> Final <input type="checkbox"/> Interim <input type="checkbox"/> Supplemental
	<b>Period of Investigation:</b> September 15, 2011-September 27, 2011	

## BASIS FOR INVESTIGATION

The basis of this investigation was a verbal request to Special Agent in Charge (SAC) Peter Emerzian, from (b)(7)(C) on September 15, 2011. The request for investigation related to a Federal Housing Finance Agency, Office of Inspector General (FHFA-OIG) employee possessing confidential salary information for another FHFA-OIG employee, (b)(7)(C) which was believed to have been received from (b)(7)(C) Federal Housing Finance Agency (FHFA).

## DETAILS OF INVESTIGATION

On September 15, 2011, SAC Emerzian requested reporting agent (RA) to investigate the allegation that a FHFA-OIG employee received confidential salary information concerning another FHFA-OIG employee from a FHFA HR employee. SAC Emerzian said that a FHFA-OIG employee reported that the employee had received confidential salary information concerning another FHFA-OIG employee, (b)(7)(C). The employee attributed the source of the information, through a second FHFA-OIG employee, to (b)(7)(C).

On September 15, 2011, RA and Special Agent (SA) (b)(7)(C) interviewed the employee identified hereafter as confidential source #1 (CS-1). After RA explained confidentiality, CS-1 requested confidentiality in relation to this matter, but agreed to cooperate. CS-1 said a second FHFA-OIG employee identified hereafter as CS-2 informed CS-1 of (b)(7)(C) annual salary, which CS-2 said (b)(7)(C). CS-1 questioned CS-2 where CS-2 came into the information and CS-2 attributed the information to (b)(7)(C) for FHFA. CS-1 provided a sworn

<b>Distribution</b> Inspector General Assistant U.S. Attorney	<b>No.</b>	<b>Case Number:</b> I-11-0054	<b>Signature of Person Making Report:</b>
		<b>Signature of Person Examining Report:</b> (b)(7)(C) 10/4/11	
<b>Other (Specify):</b>		<b>Title:</b> Special Agent in Charge <b>Division Office:</b> Headquarters	<b>Office(City):</b> Washington, D.C. <b>Date of Report:</b> October 4, 2011

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REPORT OF INVESTIGATION

statement, a redacted copy of which is attached as **Exhibit A** to this report. A redacted copy of the Memorandum of Activity regarding the interview of CS-1 is attached as **Exhibit B** to this report.

On September 16, 2011, (b)(7)(C) FHFA-OIG was interviewed in order to determine whether confidential salary information could have been compromised via data systems or physical documents (b)(7)(C) said FHFA-OIG personnel, including information technology (IT) personnel, have no access to salary information (b)(7)(C) said that (b)(2) (b)(2) (b)(7)(C) said access to pay information in FHFA-OIG was very restrictive and only (b)(7)(C) (b)(7)(C) IG Linick has such access. (b)(7)(C) deferred further questions regarding data systems or physical documents related to pay to (b)(7)(C) as the best point of contact. A redacted copy of the Memorandum of Activity regarding the interview of (b)(7)(C) is attached as **Exhibit C** to this report.

On September 19, 2011, (b)(7)(C) FHFA-OIG was interviewed as a follow-up to the interview of (b)(7)(C) said pay documentation was stored on the O:/ drive and the only FHFA-OIG personnel with access are (b)(7)(C) (b)(7)(C) said the file path for access to these documents is: (b)(2) (b)(7)(C) said the (b)(2) (b)(2) (b)(2) (b)(7)(C) said the FHFA-OIG Budget Office (b)(2) (b)(2) as (b)(7)(C) sent (b)(2) (b)(2) IG Linick. (b)(7)(C) said this (b)(2) (b)(2) (b)(7)(C) said the FHFA HR department (b)(2) (b)(2) (b)(7) said they (b)(2) (b)(2) (b)(7)(C) said the following FHFA HR employees (b)(2) (b)(2),(b)(7)(C) (b)(2),(b)(7)(C) (b)(7) accessed (b)(7)(C) pay information and said the (b)(7)(C) salary was inaccurate. A redacted copy of the Memorandum of Activity regarding the interview of (b)(7) is attached as **Exhibit D** to this report.

On September 22, 2011, RA and SA (b)(7)(C) interviewed CS-2. After RA explained confidentiality, CS-2 requested confidentiality in relation to this matter, but agreed to cooperate. CS-2 said that between March and summer 2011, CS-2 had a conversation with (b)(7)(C) During the conversation a second FHFA-OIG employee's name was mentioned. (b)(7)(C) volunteered to CS-2 that (b)(7)(C) made more (annual salary) than CS-2. CS-2 recalled (b)(7) stating an annual salary of (b)(7)(C) (b)(7)(C) CS-2 said (b)(7) spontaneously produced the salary information and that it was not in response to a question. A redacted copy of the Memorandum of Activity regarding the interview of CS-2 is attached as **Exhibit E** to this report.

Case Title:  
Internal Investigation (Privacy Act)

Case Number:  
I-11-0054

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REPORT OF INVESTIGATION

On September 27, 2011, RA and SA (b)(7)(C) interviewed (b)(7)(C) FHFA (b)(7)(C) said she (b)(2)

(b)(2) (b)(7)(C) responsibilities included, but are not limited to,

(b)(2),(b)(7)(C) (b)(7)(C) said she understood the provisions of the Privacy Act and related

"Need-to-Know" restrictions. She acknowledged receiving adequate training on these matters and denied disclosing confidential salary information for any FHFA or FHFA-OIG personnel to anyone outside the FHFA HR office (b)(7)(C) said she recalled a conversation with CS-2 in which CS-2 mentioned finding a public website that allowed anyone to query federal employee salaries (b)(7)(C) could not recall many specifics of the conversation, but denied she identified, approximated or affirmed another employee's salary. A copy of the Memorandum of Activity regarding the interview of

(b)(7)(C) is attached as Exhibit F to this report.

RESOLUTION

Since there are conflicting statements and no supporting evidence for either statement as to the nature of the disclosure of the confidential information, no definitive determination of inappropriate disclosure could be determined and this case will be administratively closed.

Case Title: Internal Investigation (Privacy Act)	Case Number: I-11-0054
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### ST 4-11 – Affidavit Form

Federal Housing Finance Agency  
Office of the Inspector General  
Investigations Division

### AFFIDAVIT

DATE: 9-15-11	CITY, COUNTY AND STATE: DC
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I, (b)(7)(D), having been duly sworn by (b)(7)(C) SA, who has identified himself/herself to me as a Special Agent, Office of the Inspector General, Federal Housing Finance Agency do hereby solemnly swear/affirm:

I have previously worked for (b)(7)(D). I was in that position for approximately 5 years before becoming a Federal Employee in (b)(7)(D) within 2-3 months of my hire, my co-worker (b)(7)(D) told me the salary of our other co-worker (b)(7)(D). The reason this came about is that (b)(7)(D) was very unhappy with (b)(7)(D) level of competence. (b)(7)(D) informed me that (b)(7)(D) (b)(7)(C) this upset (b)(7)(D) because it is significantly more than (b)(7)(D) makes. (b)(7)(D) stated that (b)(7)(D) had seen this information (b)(7)(D) salary) with (b)(7)(D) "own eyes." (b)(7)(D) commented that (b)(7)(D) our conversation should be kept essentially private as this was information that could get someone fired. I questioned (b)(7)(D) for the source and was told, somewhat sarcastically, "from (b)(7)(D) Friend downstairs". I have worked with (b)(7)(D) for about 3 years so (b)(7)(D) assumed I knew who (b)(7)(D) was talking about. I assumed (b)(7)(C)

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I have read the above statement consisting of 2 pages and it is true and complete to the best of my knowledge and belief. I have initialed each page and all corrections.

(b)(7)(C), (b)(7)(D)

/s/

09/15/2011

Subscribed and sworn before me at

1625 Eye St NW, Washington DC 20006  
Room 7040

on this 15 day of September, 2011

(b)(7)(C)

Special Agent, FHFA-OIG Investigations Division

WITNESSED:

(b)(7)(C)

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**FEDERAL HOUSING FINANCE AGENCY  
OFFICE OF THE INSPECTOR GENERAL**

EXHIBIT B

**MEMORANDUM OF ACTIVITY**

On September 15, 2011 Special Agent(s) (b)(7)(C) Federal Housing Finance Agency, Office of the Inspector General ("FHFA-OIG") interviewed (b)(7)(D) (b)(7)(D) (Confidentiality Protection), (b)(7)(D) FHFA-OIG at 1625 Eye Street NW, Washington D.C, room 7040. After being advised the identities of the agents, the nature of the interview, and provided a Kalkines Warning (b)(7)(D) provided the following pertinent information:

At the onset of the interview the agents explained the FHFA-OIG confidentiality policy concerning internal investigations. Further explaining that confidentiality in this situation could not be guaranteed based on the fact that FHFA-OIG is a small agency, (b)(7)(D) (b)(7)(D) said (b)(7)(D) would like to (b)(7)(D) invoke confidentiality, despite realizing there may come a point when the matter may not remain confidential.

(b)(7)(D)

Approximately 2 to 3 months after (b)(7)(D) hire (b)(7)(D) was working in (b)(7)(D) office when (b)(7)(D) made comment that (b)(7)(D) made more money than (b)(7)(D) and (b)(7)(D) did. (b)(7)(D) noted that (b)(7)(D)'s workspace was positioned so they sit back-to-back in their office and it's common for (b)(7)(D) and (b)(7)(D) to talk loud enough to hear each other without facing each other. After (b)(7)(D) made the comment that (b)(7)(D) made more money, (b)(7)(D) was curious as to how (b)(7)(D) knew this information so (b)(7)(D) questioned (b)(7)(D) told (b)(7)(D) "you know I have friends downstairs".

(b)(7)(D) further explained to (b)(7)(D) that their conversation was essentially in confidence because this was the type of information that got people fired and if it got out, everyone knew (b)(7)(D) had friends downstairs. (b)(7)(D) said (b)(7)(D) never directly told (b)(7)(D) who provided the information to (b)(7)(D) but based on (b)(7)(D) previous working relationship with (b)(7)(D) at (b)(7)(D) believed (b)(7)(D) was alluding to (b)(7)(C) (b)(7)(C) FHFA. (b)(7)(D) said (b)(7)(D) knew for a fact that

Activity: Interview of (b)(7)(D)

Date Prepared: September 16, 2011

Location: Washington D.C.

By: (b)(7)(C)

Case/Reference No.: Z-11-0317



**MEMORANDUM OF ACTIVITY**

Activity: Interview of (b)(7)(D)

Case File/Reference No.: Z-11-0317

Date Prepared: September 16, 2011

(b)(7)(D) made more money than (b)(7)(D) because (b)(7)(D) saw it with (b)(7)(D) own eyes". (b)(7)(D) said (b)(7)(D) said (b)(7)(D) made an annual salary of (b)(7)(D). (b)(7)(D) noted (b)(7)(D) was not certain that was the amount, but that was the amount (b)(7)(D) recalled.

(b)(7)(D) said since (b)(7)(D) was hired, (b)(7)(D) was concerned about how much (b)(7)(D) salary was. (b)(7)(D), (b)(7)(D) and (b)(7)(D) were all hired for the same position, at the same pay grade, but according to (b)(7)(D), (b)(7)(D) believed (b)(7)(D) was incompetent and should not have been hired by FHFA-OIG as (b)(7)(D).

On September 9<sup>th</sup> or 12<sup>th</sup>, 2011, (b)(7)(D) had a conversation with (b)(7)(C) (b)(7)(C) FHFA-OIG. During the conversation, something mentioned prompted (b)(7)(D) to close the office door and inform (b)(7)(C) that (b)(7)(D) had learned what (b)(7)(D) made from a friend at FHFA.

At the conclusion of the interview, (b)(7)(D) was asked to provide a written affidavit of the facts and circumstances of (b)(7)(D) recollection of events. (b)(7)(D) agreed to provide an affidavit which has been attached to this report for reference.

In addition to the above information, (b)(7)(D) provided the following information:

DOB: (b)(7)(D)  
 SSN: (b)(7)(D)  
 Email: (b)(7)(D)  
 Telephone: 202- (b)(7)(D)  
 Cellphone: 202- (b)(7)(D)



**FEDERAL HOUSING FINANCE AGENCY  
OFFICE OF THE INSPECTOR GENERAL**

**MEMORANDUM OF ACTIVITY**

On September 16, 2011 Special Agent(s) (b)(7)(C) Federal Housing Finance Agency, Office of the Inspector General ("FHFA-OIG") interviewed (b)(7)(C) (b)(7)(C) FHFA-OIG at 1625 Eye Street NW, Washington D.C. (b)(7)(C) After being advised the identities of the agents and the nature of the interview (b)(7)(C) provided the following pertinent information:

(b)(7)(C) said he was (b)(7)(D) direct supervisor. (b)(7)(D)  
(b)(7)(D)

(b)(7)(D) (b)(7)(C) explained that since FHFA-OIG's computer network access was on the FHFA computer server, FHFA-OIG's IT Specialists did not have the ability to troubleshoot IT problems directly on the FHFA computer server. FHFA-OIG IT Specialists had to communicate problems to FHFA IT Specialists who would fix the problem on FHFA-OIG's behalf.

(b)(7)(D)

(b)(7)(C) said the (b)(2) (b)(2) (b)(7)(C) stated the only FHFA-OIG employees that (b)(2) Steve Linick, Inspector General ("IG"), (b)(2),(b)(7)(C)

(b)(7)(C) said IG Linick (b)(2),(b)(5) (b)(7)(C) (b)(2),(b)(5) (b)(2),(b)(5) but (b)(7)(C) (b)(2),(b)(5)

(b)(7)(C) said he had a vague idea of what (b)(7)(C) salary was because he hired (b)(7)(C) However (b)(7)(C) did not know exactly because (b)(2),(b)(7)(C) IG Linick, (b)(2),(b)(7)(C)

Activity: Interview of (b)(7)(C)

Date Prepared: September 19, 2011

Location: Washington D.C.

By: (b)(7)(C)  
(b)(7)(C)

Case/Reference No.: Z-11-0317

**MEMORANDUM OF ACTIVITY**

Activity: Interview of (b)(7)(C)

Case File/Reference No.: Z-11-0317

Date Prepared: September 19, 2011

(b)(7)(C) recommended if the agents had specific questions regarding access to pay information and/or where pay information is stored on the computer network etc. that the best point of contact would be (b)(7)(C)

(b)(7)(D)

In addition to the above information, (b)(7)(C) provided the following information:

Email: (b)(7)(C)

Telephone: 202 (b)(7)(C)

Cellphone: 202 (b)(7)(C)

**FEDERAL HOUSING FINANCE AGENCY  
OFFICE OF THE INSPECTOR GENERAL**

**MEMORANDUM OF ACTIVITY**

On September 19, 2011 Special Agent(s) (b)(7)(C) Federal Housing Finance Agency, Office of the Inspector General ("FHFA-OIG") interviewed (b)(7)(C) at 1625 Eye Street NW, Washington D.C., (b)(7)(C). After being advised the identities of the agents and the nature of the interview (b)(7)(C) provided the following pertinent information:

(b)(7)(C) said (b)(2),(b)(7)(C)

(b)(7)(C) recalled one time when she had a problem with the (b)(2) (b)(7)(C) submitted a helpdesk ticket and someone from the Information Technology ("IT") Department came to fix the problem. (b)(7)(C) said the IT person was able to fix the problem remotely (b)(2),(b)(5) (b)(2),(b)(5) (b)(7)(C) noted she was not sure (b)(2),(b)(5) (b)(2),(b)(5)

(b)(7)(C) said she was aware that the FHFA-OIG Budget Office ("BO") (b)(2),(b)(7)(C) (b)(2) Steve Linick, Inspector General ("IG") and FHFA-OIG/HR (b)(7)(C) said the (b)(2) (b)(2)

(b)(7)(C) said that the Federal Housing Finance Agency ("FHFA") (b)(2) (b)(7)(C) said (b)(2) (b)(2)

Activity: Interview of (b)(7)(C)

Date Prepared: September 19, 2011

Location: Washington D.C.

By: (b)(7)(C)

Case/Reference No.: Z-11-0317

**MEMORANDUM OF ACTIVITY**

Activity: Interview of (b)(7)(C)

Case File/Reference No.: Z-11-0317

Date Prepared: September 19, 2011

When (b)(7)(C) obtained (b)(2)

(b)(2) However (b)(7)(C) stated

(b)(2)

To (b)(7)(C) knowledge, the (b)(2),(b)(7)(C)

(b)(2),(b)(7)(C)

(b)(7)(C)

(b)(7)(C) noted that (b)(2)

(b)(7)(D)

In addition to the above information (b)(7)(C) provided the following information:

Email: (b)(7)(C)

Telephone: 202-

Cellphone: 202- (b)(7)(C)

**FEDERAL HOUSING FINANCE AGENCY**  
**OFFICE OF THE INSPECTOR GENERAL**

**MEMORANDUM OF ACTIVITY**

On September 22, 2011 Special Agent(s) (b)(7)(C) Federal Housing Finance Agency, Office of the Inspector General ("FHFA-OIG") interviewed (b)(7)(D) (Confidentiality Protection), (b)(7)(D) FHFA-OIG at (b)(7)(D). After being advised the identities of the agents and the nature of the interview (b)(7)(D) provided the following pertinent information:

At the onset of the interview the agents explained the FHFA-OIG confidentiality policy concerning internal investigations. The agents further explained that confidentiality in this situation could not be guaranteed based on the fact that FHFA-OIG is a small agency and there were not too many people who possessed knowledge of (b)(7)(D) pay information. (b)(7)(D) acknowledged the agents advisement and requested (b)(7)(D) name be kept confidential.

(b)(7)(D) said sometime in March 2011 or "summer" (b)(7)(D) could not recall exactly, had a conversation with (b)(7)(C) Federal Housing Finance Agency ("FHFA"). (b)(7)(D) noted that (b)(7)(D) and (b)(7)(C) have (b)(7)(C) and (b)(7)(D) (b)(7)(D) said during a conversation with (b)(7)(D) about salaries in general, (b)(7)(D) stated that "he makes more than you" referring to (b)(7)(D) recalled (b)(7)(C) stated that (b)(7)(D) made approximately (b)(7)(D) per year.

(b)(7)(D) said (b)(7)(D) had numerous conversations with (b)(7)(C) about pay and benefits during the first few months of (b)(7)(D) employment with FHFA-OIG. (b)(7)(D) said (b)(7)(D) told (b)(7)(C) that (b)(7)(D) liked working for FHFA-OIG because it paid better than most federal government jobs. (b)(7)(D) said (b)(7)(D) received a (b)(7)(D) increase in (b)(7)(D) pay when (b)(7)(D) was hired by FHFA-OIG. (b)(7)(D) often went to the FHFA/HR office to discuss (b)(7)(D) job benefits with (b)(7)(D) (b)(7)(D) noted that (b)(7)(D) job with FHFA-OIG was (b)(7)(D) first federal government job and the benefits program was different than the benefits offered in the private sector. (b)(7)(D) was soliciting (b)(7)(D) professional advice regarding benefits. (b)(7)(D) recalled instance soon after being hired by FHFA-OIG when (b)(7)(D) was told by (b)(7)(C) FHFA-OIG, (b)(7)(D) direct supervisor, that (b)(7)(D) could not solicit (b)(7)(D) advice anymore and should not go to the FHFA/HR office again; which (b)(7)(D) did not.

Activity: Interview of (b)(7)(D)

Date Prepared: September 22, 2011

Location: Washington D.C.

By: (b)(7)(C)

Case/Reference No.: I-11-0054

**MEMORANDUM OF ACTIVITY**

Activity: Interview of (b)(7)(D)  
Case File/Reference No.: I-11-0054  
Date Prepared: September 22, 2011

During the time (b)(7)(D) was in the FHFA/HR office (b)(7)(D) did not have access to any personnel files, the National Finance Center ("NFC") database or any electronic HR files. As a result of (b)(7)(C) direction not to visit the FHFA/HR (b)(7)(D) and (b)(7)(C) met outside the office for lunch. (b)(7)(D) said (b)(7)(D) did not have access to any HR files at FHFA-OIG or FHFA as (b)(7)(D)

(b)(7)(D) said that in (b)(7)(D) role as (b)(7)(D) did a lot of (b)(7)(D) but did not have access to departmental files for policy, executive, HR etc. (b)(7)(D) said (b)(7)(D) position required (b)(7)(D) but the (b)(7)(D) (b)(7)(D) said when (b)(7)(D) left (b)(7)(D) position with the (b)(7)(D) account was deactivated the day (b)(7)(D) left and a new account was created for (b)(7)(D) position at FHFA-OIG. (b)(7)(D) said (b)(7)(D) account with FHFA-OIG did not have the same (b)(7)(D) (b)(7)(D) noted when (b)(7)(D) on the FHFA-OIG (b)(7)(D) (b)(7)(D) was the potential (b)(7)(D) (b)(7)(D)

(b)(7)(D) said (b)(7)(D) worked with (b)(7)(D) at (b)(7)(D) was hired for the same position as (b)(7)(D) but was not doing the same amount of work. (b)(7)(D) was not happy with (b)(7)(D) because (b)(7)(D) was not performing the duties of (b)(7)(D) (b)(7)(D) said (b)(7)(D) did not understand the interest in (b)(7)(D) and (b)(7)(C) discussing other employees' pay information because pay information could be queried on a public website. Additionally (b)(7)(D) said (b)(7)(D) often discussed pay information with (b)(7)(D) (b)(7)(D) FHFA-OIG.

At the conclusion of the interview (b)(7)(D) was asked to provide a written affidavit, but declined.

**FEDERAL HOUSING FINANCE AGENCY  
OFFICE OF THE INSPECTOR GENERAL**

**MEMORANDUM OF ACTIVITY**

On September 27, 2011 Special Agent(s) [redacted] Federal Housing Finance Agency, Office of the Inspector General ("FHFA-OIG") interviewed [redacted] [redacted] Federal Housing Finance Agency ("FHFA") at her residence, [redacted]. After being advised the identities of the agents, the nature of the interview and Garrity advisement [redacted] provided the following pertinent information:

[redacted] said that she [redacted] [redacted] (b)(2),(b)(7)(C) [redacted] was hired by FHFA-OIG [redacted]

[redacted] said despite [redacted] [redacted] said [redacted] [redacted] said [redacted] (b)(2),(b)(7)(C)

[redacted] said she was aware and understood the Privacy Act and "Need-to-Know" restrictions as they pertained to her job. [redacted] said she "never" disseminated any PII information about any employee outside of FHFA/HR. [redacted] said [redacted] [redacted] noted that the

Activity: Interview of [redacted]

Date Prepared: September 28, 2011

Location: Washington D.C.

By: [redacted]

Case/Reference No.: I-11-0054



MEMORANDUM OF ACTIVITY

Activity: Interview of (b)(7)(C)  
Case File/Reference No.: I-11-0054  
Date Prepared: September 28, 2011

FHFA/HR workspace was shared with contracted Information Technology ("IT") personnel so conversations within the office may have been overheard.

(b)(7)(C) said (b)(2),(b)(7)(C)

(b)(7)(C) said (b)(7)(C) FHFA had the ability to print from the NFC database, so (b)(7)(C) printed the roster and provided it to (b)(7)(C)

(b)(7)(C) said (b)(2)

(b)(2)

(b)(2) (b)(7)(C) said (b)(2)

(b)(2)

(b)(2) (b)(7)(C) left her desk.

(b)(7)(C) estimated the employee roster was approximately 20 pages.

(b)(7)(C) was asked if she recalled viewing (b)(7)(C) annual salary and if she could recall what his salary was. (b)(7)(C) said (b)(7)(C) name sounded familiar, but she did not recall ever seeing his salary information. (b)(7)(C) said his name was probably familiar because she would have processed his vision insurance benefit. (b)(7)(C) was asked if she ever discussed (b)(7)(C) salary outside of FHFA/HR. (b)(7)(C) said she did not discuss any payroll information with anyone outside of her office, did not know what (b)(7)(C) salary was and did not have records outside of the office that she could reference to know (b)(7)(C) salary. (b)(7)(C) said she did not commit employee salaries to memory so in order to know what a particular employee made she would have to reference documents.

(b)(7)(D)

(b)(7)(D)

**MEMORANDUM OF ACTIVITY**

Activity: Interview of (b)(7)(C)  
Case File/Reference No.: I-11-0054  
Date Prepared: September 28, 2011

(b)(7)(C)

At the conclusion of the interview, (b)(7)(C) was offered the opportunity to provide a written affidavit, but declined. Additionally, the agents apologized for intruding on (b)(7)(C) (b)(7)(C) and explained the reason for the intrusion was weighed against (b)(7)(C) privacy regarding the allegation. (b)(7)(C) acknowledged the need for privacy in this matter and thanked the agents for their consideration.

In addition to the above information, (b)(7)(C) provided the following information:

DOB: (b)(7)(C)  
SSAN: (b)(7)(C)  
Address: (b)(7)(C)  
Cellphone: (b)(7)(C)

Title: SKY INVESTMENTS, INC.  
Number: I-11-0055  
Agent: HIGGINS, MARK  
Status: Closed

Investigation Complete Information

Investigation Completion Date  
Investigation Complete Memo:

Duplicate case I-11-0056  
11/30/2011

Closure Date

Closure Information

Case Result:  
Case Impact?

Converted to Another Case, Case Closed.  
N

Closure File

Date Opened	Date Submitted	Case Summary	Approver	Date Closed
08/09/2011	11/29/2011	Closure File	EMERZIAN, PETER	11/30/2011