



governmentattic.org

"Rummaging in the government's attic"

Description of document: Command Briefs for the Army Inspector General (IG) and Army Corps of Engineers (USACE) Inspector General, 2015

Requested date: 24-September-2015

Released date: 22-September-2016

Posted date: 10-October-2016

Source of document: Office of the Inspector General
ATTN: SAIG-ZXR (Records Release)
1700 Army Pentagon, RM 1E132
Washington, DC 20310
E-mail: usarmy.pentagon.hqda-otig.mbx.saig-zxl@mail.mil
Fax: (703) 545-4585

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



DEPARTMENT OF THE ARMY
OFFICE OF THE INSPECTOR GENERAL
1700 ARMY PENTAGON
WASHINGTON, DC 20310-1700

REPLY TO
ATTENTION OF:

SEP 22 2016

Records Release Office

This responds to your Freedom of Information Act (FOIA) request dated September 24, 2015, for a copy of Inspector General (IG) Command Briefs for the U.S. Army Corps of Engineers and the Department of the Army.

Enclosed are releasable documents responsive to your request. Where boxed-in and noted within the documents, information has been withheld that is exempt from the mandatory disclosure provisions of the FOIA under exemptions (b)(6) and (b)(7)(C).

Exemption (b)(6) applies to information about individuals in "personnel, medical files and similar files," when the disclosure of such information would constitute a clearly unwarranted invasion of personal privacy.

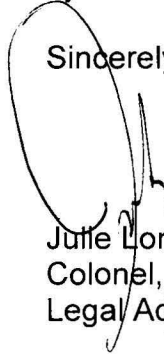
Exemption (b)(7)(C) applies to information contained in records compiled for law enforcement purposes, any release of which could reasonably be expected to constitute an unwarranted invasion of the privacy of any individuals who were mentioned therein, or who conducted and/or supervised the conduct of an inquiry and investigation.

This action constitutes a partial denial of your request. As the Initial Denial Authority (IDA), I partially denied your request. You may appeal this denial decision in writing within 90 days from the date of this letter. If you decide to appeal, please address your appeal through this office (ATTN: SAIG-ZXR), The Inspector General, 1700 Army Pentagon, RM 1E132, Washington, DC 20310-1700, to the Office of the General Counsel, Department of the Army. That office has the appellate authority for Army Inspector General initial FOIA determinations. In any such appeal, you should also provide a copy of this letter, along with sufficient justification upon which the Office of the General Counsel may base a decision.

You have the right to seek dispute resolution services from the Department of The Army FOIA Public Liaison Officer, Mr. Bruno Leuyer at 703-428-6238.

There are no fees assessable for processing this request. If you have any questions concerning this action, please call Ms. Broyles or me at (703) 545-4591. Please refer to Case Number 16-029 should you call our office.

Sincerely,

A handwritten signature in black ink, appearing to read "Julie Long", is written over the typed name. The signature is somewhat stylized and includes a long, vertical flourish extending downwards.

Julie Long
Colonel, U.S. Army
Legal Advisor

Enclosures
IG Command Brief (20 pages)
ACE Command Brief (9 pages)

Mission Briefing



The Engineer Inspector General

(b)(6),(b)(7)(C)

Engineer Inspector General
HQ, USACE



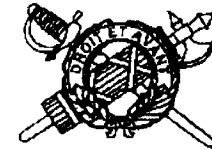
US Army Corps of Engineers
BUILDING BARRICADES



U.S. ARMY



Agenda



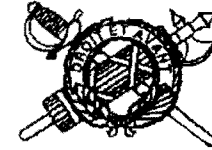
- Mission and functions
- Office Structure
- IG Issue Sources
- Assistance & Investigations Division
- Inspections Division
 - Directed Inspections
- Contact Information



~~FOR OFFICIAL USE ONLY (FOUO)~~

~~Dissemination is prohibited except as authorized by AR 20-1~~

BUILDING STRONG®



Mission & Functions

Mission Statement:

To determine the state of discipline, efficiency, economy, morale, training and readiness throughout USACE while providing the commander a continuous, objective, and impartial assessment of the operational effectiveness of the command.

Mission Functions:

- ⇒ Provide Assistance
- ⇒ Conduct Investigations and Inquiries
- ⇒ Conduct Compliance/Systemic Inspections
- ⇒ Teach and Train



**Inspectors General extend the eyes, ears, voice
and conscience of their Commanders...(AR 20-1, para 1-6e(1))**



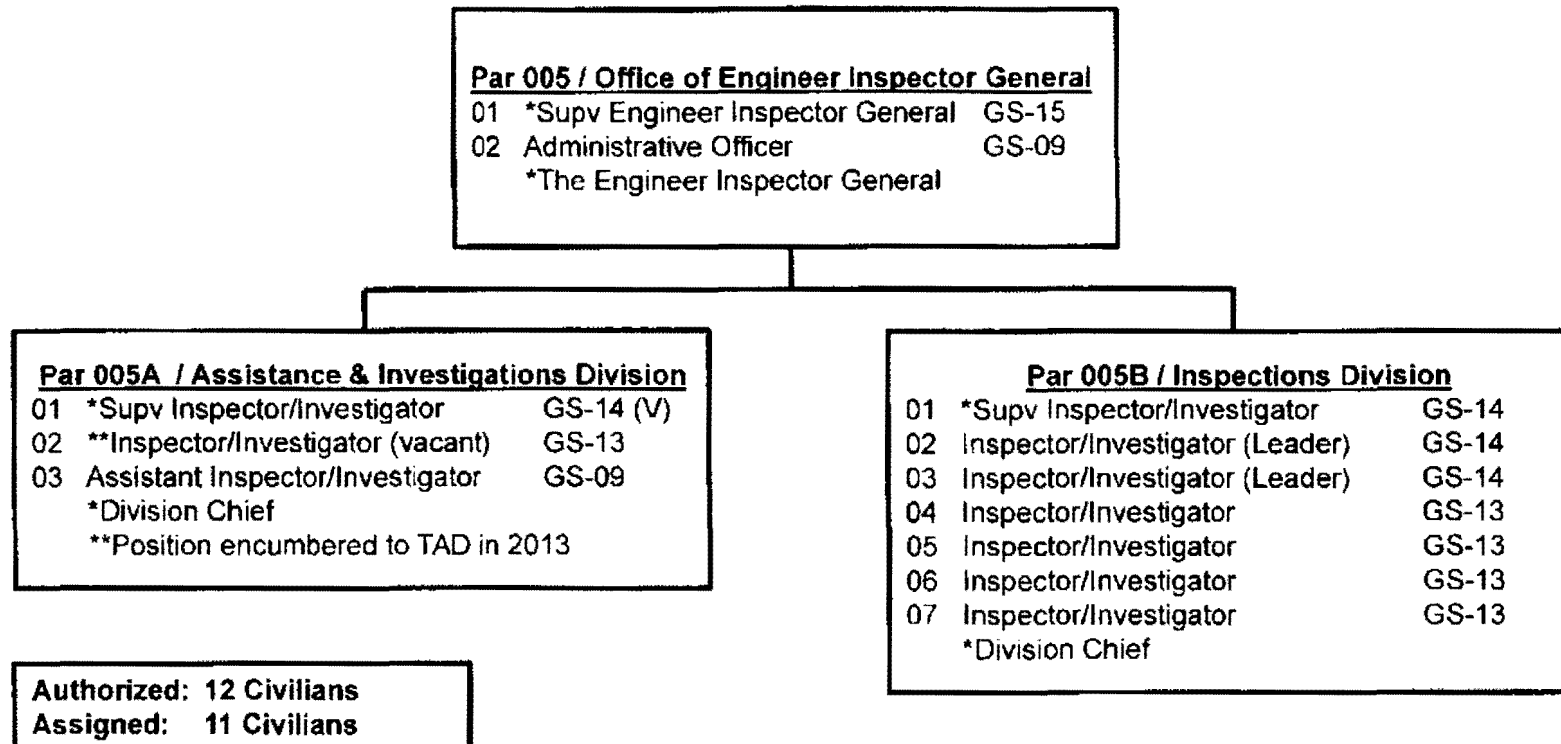
FOR OFFICIAL USE ONLY (FOUO)

BUILDING STRONG®

Dissemination is prohibited except as authorized by AR 20-1



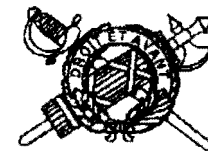
Office Structure



FOR OFFICIAL USE ONLY (FOUO)

BUILDING STRONG®

Dissemination is prohibited except as authorized by AR 20-1



IG Issue Sources

Sources of complaints/requests for assistance

- ⇒ DoD Hotline
- ⇒ DA Hotline
- ⇒ Chief of Engineers Hotline
- ⇒ Mail/Email/Fax/Phone Calls/Walk-Ins
- ⇒ Congressional(s)
- ⇒ Other Government Agencies
- ⇒ Private sector
- ⇒ Referrals
- ⇒ Inspector General Action Request Session

WE ARE HERE TO HELP!

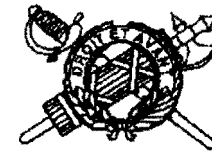


FOR OFFICIAL USE ONLY (FOUO)

BUILDING STRONG®

Dissemination is prohibited except as authorized by AR 20-1

Assistance & Investigations Division



Inspector General Complaints/Assistance

<u>FY15</u>	<u>*FY16</u>	
42	77	One minute IGARS (Routine requests for assistance)
29	47	Personal Conduct, Hostile Environ, Toxic Leadership
55	54	Engineering (Regulatory, Facilities)
10	30	Civilian Personnel Management
28	15	Acquisition/Contracting
11	18	Others (Civilian pay issues, time & attendance, etc.)
	12	Pending cases (awaiting preliminary review/analysis/processing)
175	253	Totals

A&I Assistance Visits

- 4 completed in FY15
- 3 completed 1 scheduled in FY16

*As of 12 Aug 16

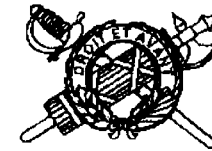


FOR OFFICIAL USE ONLY (FOUO)

BUILDING STRONG®

Dissemination is prohibited except as authorized by AR 20-1

Inspections Division



Systemic Inspections (FY05-16)

Leader Development Programs
Security Management
Security Management Follow-up
Deployment Impact Assessment
Federal Voting Assistance Program (SII)
Regional Business Management
Teamwork in USACE 2012
How Deployments Impact the Corps
Flexible Work Arrangements
Support of Emergency Operations
Small Business Management
Recreation Program
Civil Works Training & Prof Development
USACE Safety Program
Civilian Deployments
Field Force Engineering Training
Civilian Deployments
Field Force Engineering Training
Reemployed Annuitant Program
Military Construction Transformation

National Contracting Organization
Building Professional Competencies (SII)
Benefit Cost Ratios for Civil Works Projects
Family Readiness Program (SII)
USACE Public Affairs
Information Mgmt Support (mission impact)
Army Voting Assistance Program (SII)
Civil Works Review Processes
Section 902 Cost Limit Requirements for CW Projects
Equal Employment Opportunity Program
Disaster Personnel Accountability Program
Total Army Sponsorship Program
USACE Value Engineering Program
Army Ready & Resilient Campaign (R2C)
USACE Real Estate Program
COOP/Army Emergency Management Pgm
Civil Works Transformation
Use of OPORDs within USACE (SII)
Follow up to DAIG CBC/CYSS Assessment
*In progress (as of 1 May 16)



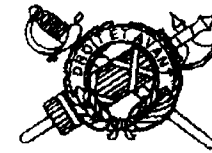
~~FOR OFFICIAL USE ONLY (FOUO)~~

Dissemination is prohibited except as authorized by AR 20-1

BUILDING STRONG®

7

Inspections Division



Directed Inspections (FY-16)

1. Alternative Financing Processes: (Contributed, Accelerated and Advanced Funding)
2. Command Supply Discipline Program (CSDP) **
3. Biddability, Constructability, Operability, Environmental and Sustainability (BCOES) Reviews
4. Facilities and Equipment Maintenance (FEM)

** Federal Voting Assistance Program (SII)

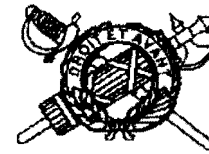


~~FOR OFFICIAL USE ONLY (FOUO)~~

~~Dissemination is prohibited except as authorized by AR 20-1~~

BUILDING STRONG®

Contact Information



The Chief of Engineers Hotline:

1-800-328-2207

EIG Office Numbers:

703-428-6572 (Executive Office)

703-428-7389 (Fax)

703-428-9530 (A&I Division)

703-428-7529 (Inspections Division)

ceig@usace.army.mil

Mailing Address:

U.S. Army Corps of Engineers
Office of the Engineer Inspector General
Kingman Building, Room 1L22
7701 Telegraph Road
Alexandria, VA 22315-3863



FOR OFFICIAL USE ONLY (FOUO)

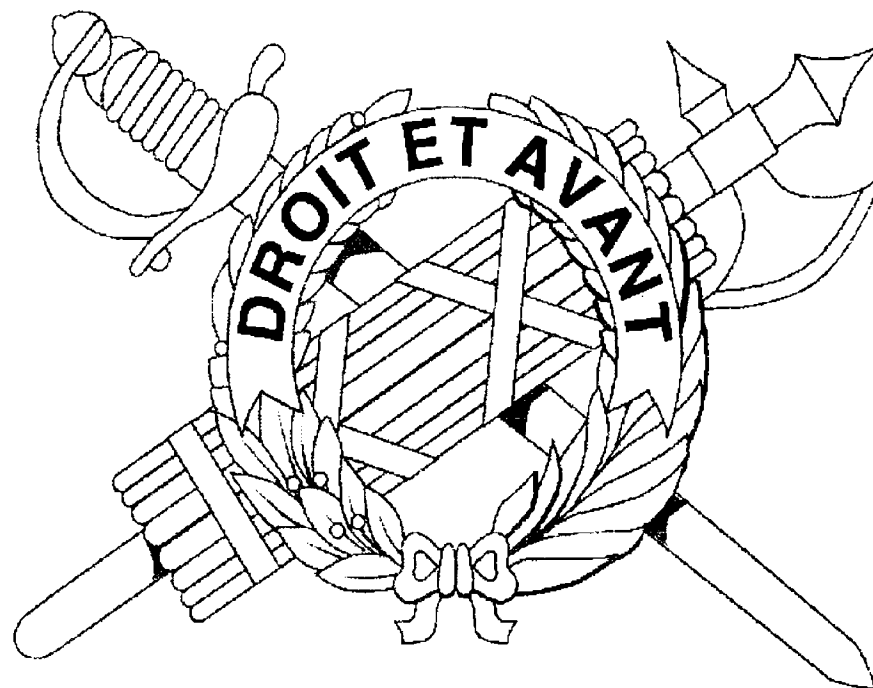
Dissemination is prohibited except as authorized by AR 20-1

BUILDING STRONG®

9



Inspector General



**LTG David Quantock
The Inspector General**



Inspector General Sensitive Information
FOR OFFICIAL USE ONLY - Version: 1

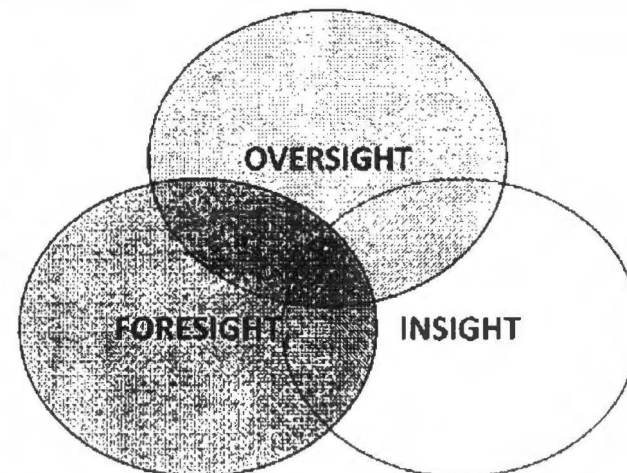
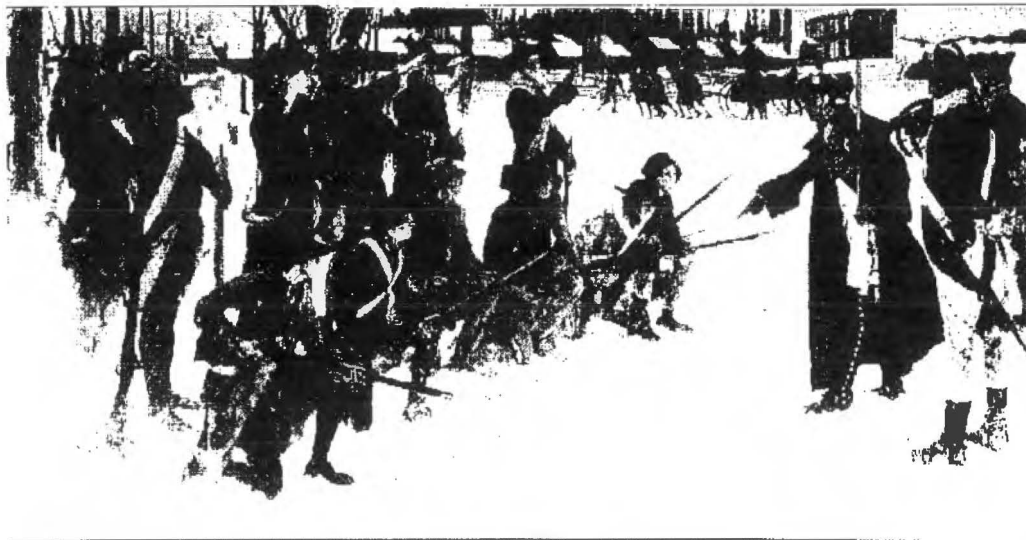
This document contains information which is Exempt from Mandatory Disclosure under the FOIA. Dissemination is prohibited except as authorized by AR 20-1.



Mission Statement

Provide impartial, objective, and unbiased advice and oversight to the Army through relevant, timely, and thorough inspections, assistance, investigations, and training. Promote and enable stewardship, accountability, integrity, efficiency, and good order and discipline to enhance total Army readiness.

Vision: Be the eyes, ears, voice, and conscience of the Army.





Why do you have an IG?

Directing Authority: Commanders who are authorized detailed IGs on their staffs may direct IG investigations and inspections within their commands. Although command and State IGs may direct IG investigative inquiries, they are not considered directing authorities

Eyes, Ears, Voice and Conscience of the Command:

1. Identify and resolve problems adversely affecting the Army's systems, functions, and programs.
2. Determine the state of the command's discipline, efficiency, economy, morale, training, and readiness by performing the four IG functions of Inspections, Assistance, Investigations, and Teaching and Training.
3. Communicate the commander's vision, goals, intent, philosophy, and guidance by leveraging the special, non-insulated relationship that exists between the Command IG and the Commander.

• **Teach and Train:**

- Instruct subordinate units and their staffs on Army policy, processes, and procedures
- Assist in the planning, management, and preparation of the Organizational Inspection Program (Initial Command Inspections, Subsequent Command Inspections, Staff Inspections, Staff Assistance Visits, etc...).

• **Assistance:**

- Assist the chain of command in resolving Soldier problems
- Serve as the commander's "eyes and ears" in seeking out systemic problems (not individual issues!)

• **Inspections:**

- Ensure the health of critical Army systems, such as Command Supply Discipline and Training Management
- Confirm or deny your instincts and impressions of unit readiness
- Assist your staff in assessing unit readiness

• **Investigations:**

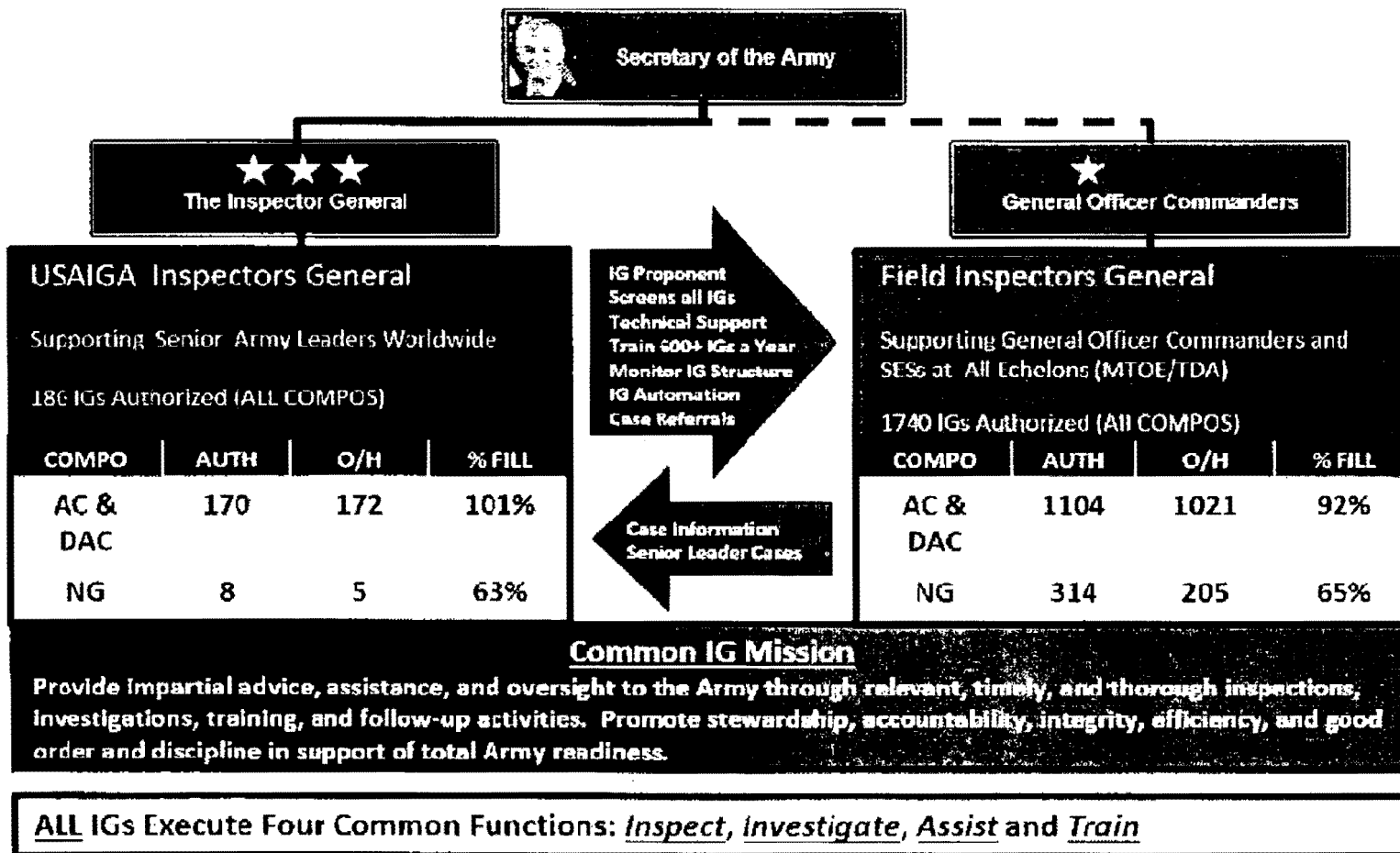
- Resolve, through detailed fact-finding and analysis, allegations of impropriety involving non-criminal matters in which adverse action is not anticipated
- Conduct initial fact-finding for allegations that are sensitive in nature and that may ultimately go to the command for investigation and final resolution (AR 15-6 investigation / UCMJ).

Solving problems before they occur.....





The Army Inspector General System

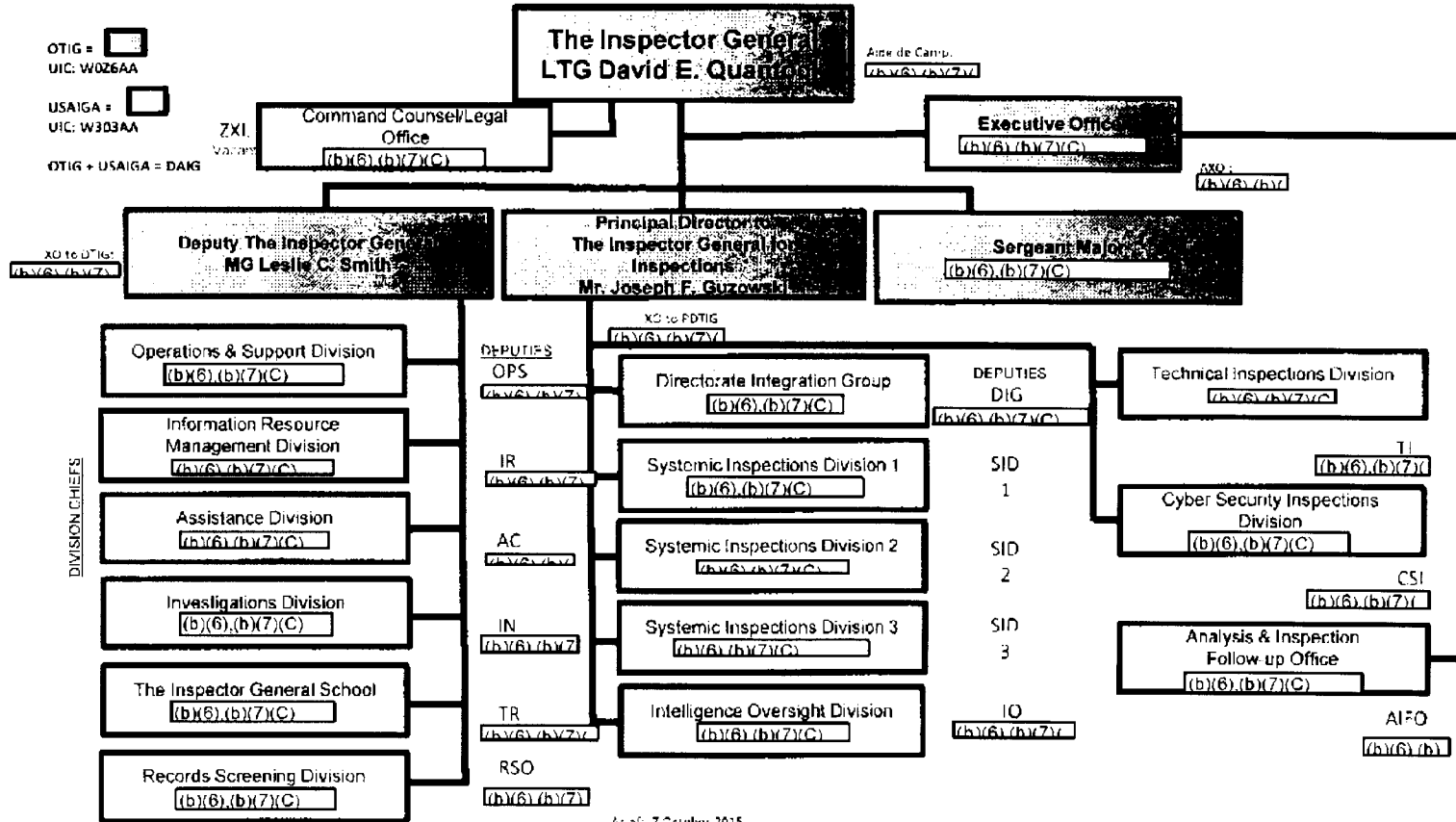


--- = Title X Responsibilities





Department of the Army Inspector General Organizational Chart





Major Functions

GENERAL ORDER 2002/2007/2009-03

9 July 2002 / 18 March 2009

- Establishing DA inspection policy
- Proposing/Conducting programs of inspections including information assurance and nuclear, biological and chemical surety
- Conducting investigations or inquiries into any Army activity or senior official
- Serving as the IG for HQDA and NCR FOAs without detailed IG support
- Provide HQ management for the USA IG Agency
- Maintaining systems to provide assistance to soldiers and others to resolve problems
- Developing, publishing, and teaching IG policy and doctrine
- Approving and training all IGs in Army and selected Joint organizations
- Maintaining IG records and release authority
- Executing independent intelligence oversight responsibilities ICW the GC
- Managing the IG-specific automation, information, and reporting systems
- Conducting personnel suitability screens as directed by the SA and CSA

GENERAL ORDER 2012-01

11 June 2012

- Confidential adviser to SECARMY; responsible for IG matters within DA
- Inquire & report on discipline, efficiency, readiness, morale, training, ethical conduct, and economy of Army; assess command, operational, logistical, and administrative effectiveness
- Maintain/safeguard Army IG system integrity; develop IG doctrine & policy & DA inspections policy; approve and train all Army IGs & select Joint organizations; maintain IG records & release authority; manage IG specific automation/information reporting systems
- Propose/conduct programs of inspections focused on systemic and compliance issues; conduct suitability screens; conduct investigations/inquiries into any Army activity or senior official
- DA focal point for DoDIG inspections, non-criminal investigations, & DoD inspection policy; maintain liaison with DoDIG & other Service IGs
- IG for HQDA & NCR FOAs without an IG
- Maintain systems to provide assistance to Soldiers and others to resolve problems





Authorities

10 USC 3014: SECARMY

- Establishes Office of SECARMY
- The Inspector General of the Army assigned to Office of SECARMY
- Directs that the Office of SECARMY shall have sole responsibility for IG functions within the Office of the SECARMY and Army Staff.

10 USC 3020: Inspector General

- Establishes Army Inspector General
- Establishes IG mission
 - inquire into and report upon the discipline, efficiency, and economy of the Army; and
 - perform any other duties prescribed by the Secretary or the Chief of Staff.
 - periodically propose programs of inspections & investigations to SECARMY
- TIG works for SECARMY
- Cooperates fully with DoDIG





Authorities

10 USC 3065: Assignment/Details

- Commissioned officers may be detailed as Inspectors General

32 USC 315: Detail active members of Army & AF to duty w/NG

- Allows for detail of officers and enlisted Soldiers for duty in the 54 states/territories and DC to support the Army NG.
- **Not IG specific.**

32 USC 105: Inspection

- SECARMY shall have an inspection made by inspectors general, or if necessary, by any other commissioned officers of the Regular Army detailed for that purpose to determine Army NG deployment readiness, if the NG is properly uniformed, armed, equipped and trained and is in compliance with standards (personnel qualifications, property/fiscal accounts & records)

Title 32, Sections 105 and 315 apply equally to the Air Force





Authorities

HQDA General Orders

GO #43, USAIGA-Established, 15 Nov 1973

I. UNITED STATES ARMY INSPECTOR GENERAL AGENCY. *Effective 1 December 1973, the United States Army Inspector General Agency is established as a field operating agency under the jurisdiction of The Inspector General, Headquarters, Department of the Army, at Washington, DC. The Inspector General, HQDA, and the Deputy Inspector General, HQDA, will also serve as the Commander and the Deputy Commander, respectively, of the United States Army Inspector General Agency.*





Why SHOULD the IG investigate?

- If the allegation *is* IG appropriate, then the IG *should* investigate when...

- It is the Commander's preference, or if these factors are present:

- Lack of factual information surrounding the allegation
- Started with the IG system; must finish with IG system
- Sensitivity of allegations; IG are trained investigators
- Confidentiality is important; identities must be protected
- Credible



- Refer Back to the Commander?

If substantiation is likely and adverse action appropriate, then refer the allegation *to the commander* for some other form of investigation, and use the final *command product as evidence* in your ROI or ROII.

- IGs AND Commanders must report allegations of impropriety against senior officials (COL (P), GO, and SES) to DAIG's Investigations Division (SAIG-IN) within 2 working days of receipt (paragraph 7-11, AR 20-1)





Release of IG Records

Release Authority (All IG Records): TIG (or designated representative)

Official Use	1) Inspection Reports (Directing Authority); 2) ROI / ROII (Within Directing Authority); 3) "BIG 3" (DA Investigator): Nature of allegations, readily available documents, witness list with a synopsis testimony.	of their
Adverse Action	NOTHING: TIG only can approve based on request properly submitted to DAIG	
Non-DA Official	NOTHING: FOIA request must be submitted to or DAIG's Records-Release Office (only after the case is closed) Unofficial Use	

BEWARE of careless handling of IG documents (maintain control). Don't give unauthorized info to complainant, witness, subject / suspect, commanders or senior leaders (COS or other GOs) that are not your Directing Authority.

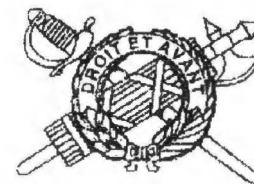




Guidance



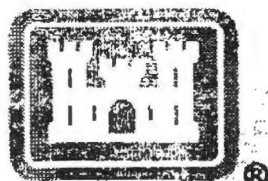
Mission Briefing



The Engineer Inspector General

(b)(6),(b)(7)(C)

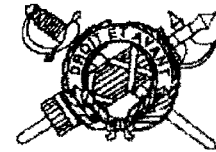
**Engineer Inspector General
HQ, USACE**



**US Army Corps of Engineers
BUILDING STRONG®**



Agenda



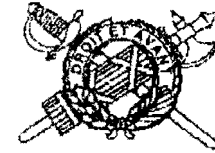
- Mission and functions
- Office Structure
- IG Issue Sources
- Assistance & Investigations Division
- Inspections Division
- Contact Information



~~FOR OFFICIAL USE ONLY (FOUO)~~

~~Dissemination is prohibited except as authorized by AFI 20-4~~

BUILDING STRONG®



Mission & Functions

Mission Statement:

To determine the state of discipline, efficiency, economy, morale, training and readiness throughout USACE while providing the commander a continuous, objective, and impartial assessment of the operational effectiveness of the command.

Mission Functions:

- ⇒ Provide Assistance
- ⇒ Conduct Investigations and Inquiries
- ⇒ Conduct Compliance/Systemic Inspections
- ⇒ Teach and Train



**Inspectors General extend the eyes, ears, voice
and conscience of their Commanders...(AR 20-1, para 1-6e(1))**



~~FOR OFFICIAL USE ONLY (FOUO)~~

~~Dissemination is prohibited except as authorized by AR 20-1~~

BUILDING STRONG®

Office Structure



Par 005 / Office of Engineer Inspector General
 01 *Supv Engineer Inspector General GS-15
 02 Administrative Officer GS-09
 *The Engineer Inspector General

Par 005A / Assistance & Investigations Division
 01 *Supv Inspector/Investigator GS-14
 02 Inspector/Investigator GS-13
 03 Assistant Inspector/Investigator GS-09
 *Division Chief

Par 005B / Inspections Division
 01 *Supv Inspector/Investigator GS-14
 02 Inspector/Investigator (Leader) GS-14
 03 Inspector/Investigator (Leader) GS-14
 04 Inspector/Investigator GS-13
 05 Inspector/Investigator GS-13
 06 Inspector/Investigator GS-13
 07 Inspector/Investigator GS-13
 *Division Chief

Authorized: 12 Civilians
 Assigned: 11 Civilians

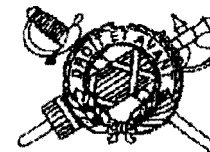


FOR OFFICIAL USE ONLY (FOUO)

BUILDING STRONG®

Discrimination is prohibited except as authorized by AR 26-1

IG Issue Sources



Sources of complaints/requests for assistance

- ⇒ DoD Hotline
- ⇒ DA Hotline
- ⇒ Chief of Engineers Hotline
- ⇒ Mail/Email/Fax/Phone Calls/Walk-Ins
- ⇒ Congressional(s)
- ⇒ Other Government Agencies
- ⇒ Private sector
- ⇒ Referrals
- ⇒ Inspector General Action Request Session

WE ARE HERE TO HELP!



~~FOR OFFICIAL USE ONLY (FOUO)~~

~~Dissemination is prohibited except as authorized by AR 29-1~~

BUILDING STRONG®

5

Assistance & Investigations Division



Inspector General Complaints/Assistance

<u>FY14</u>	<u>*FY15</u>	
57	42	One minute IGARS (Routine requests for assistance)
33	29	Personal Conduct
48	55	Engineering (Regulatory, Facilities)
25	10	Civilian Personnel Management
45	28	Acquisition/Contracting
23	11	Others (Civilian pay issues, time & attendance, etc.)
	*9	Pending cases (awaiting preliminary review/analysis/processing)
305	175	Totals

A&I Assistance Visits

→ 4 completed in FY15

* Not included in Total numbers

As of 30 Sep 15



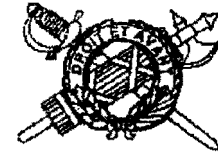
~~FOR OFFICIAL USE ONLY (FOUO)~~

BUILDING STRONG®

Dissemination is prohibited except as authorized by AR 20-4

6

Inspections Division



Systemic Inspections (FY 04-16)

Leader Development Programs
Security Management
Security Management Follow-up
Deployment Impact Assessment
Federal Voting Assistance Program (SII)
Regional Business Management
Teamwork in USACE 2012
How Deployments Impact the Corps
Flexible Work Arrangements
Support of Emergency Operations
Small Business Management
Recreation Program
Civil Works Training & Prof Development
USACE Safety Program
Civilian Deployments
Field Force Engineering Training
Civilian Deployments
Field Force Engineering Training
Reemployed Annuitant Program

Military Construction Transformation
National Contracting Organization
Building Professional Competencies (SII)
Benefit Cost Ratios for Civil Works Projects
Family Readiness Program (SII)
USACE Public Affairs
Information Mgmt Support (mission impact)
Army Voting Assistance Program (SII)
Civil Works Review Processes
Section 902 Cost Limit Requirements for CW Projects
Equal Employment Opportunity Program
Disaster Personnel Accountability Program
Total Army Sponsorship Program
USACE Value Engineering Program
Army Ready & Resilient Campaign (R2C)
USACE Real Estate Program
*COOP/Army Emergency Management Pgm
*Civil Works Transformation
*In progress (as of 30 Sep 15)



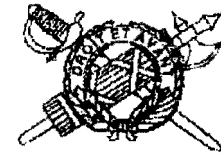
~~FOR OFFICIAL USE ONLY (FOUO)~~

~~Dissemination is prohibited except as authorized by AIT 20-1~~

BUILDING STRONG®

7

Contact Information



The Chief of Engineers Hotline:

1-800-328-2207

EIG Office Numbers:

703-428-6572 (Executive Office)

703-428-7389 (Fax)

703-428-6570 (A&I Division)

703-428-6339 (Fax)

703-428-7529 (Inspections Division)

ceig@usace.army.mil

Mailing Address:

U.S. Army Corps of Engineers

Engineer Inspector General

ATTN: CEIG

Kingman Building

7701 Telegraph Road

Alexandria, VA 22315-3863



~~FOR OFFICIAL USE ONLY (FOUO)~~

Dissemination is prohibited except as authorized by AR 25-4

BUILDING STRONG®

8