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Description of document: **Listings of Government Accountability Office (GAO) Non-Internet (NI) reports and "staff study" reports, 1972 - 2007**

Requested date: 04-April-2009

Released date: 16-April-2009

Posted date: 11-October-2010

Date/date range of document: 31-January-1972 – 31-January-2007

Source of document: Chief Quality Officer
U.S. Government Accountability Office
Room 6K17Q
441 G Street NW
Washington, DC 20548

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G A O

Accountability * Integrity * Reliability

United States Government Accountability Office
Washington, DC 20548

PRI-09-095

April 16, 2009

This letter responds to your April 4, 2009, request for the following information for all the years available:

1. A listing or printout of the Government Accountability Office (GAO) reports that are categorized as classified or sensitive but unclassified (SBU).
2. A listing of Non-Internet (NI) reports.
3. An electronic listing of "staff study" reports.

Products are designated classified and SBU when GAO collects and assembles information obtained from various agencies or private firms in such a way that increases the visibility of particularly sensitive information or reveals internal control weaknesses or methodology. The titles of many of these reports reveal certain weaknesses or vulnerabilities, which could be used adversely by someone who wanted to harm the country or its citizens. Accordingly, in reference to item 1, we decline to release a list of classified and/or SBU report titles and report numbers on the basis of 4 C.F.R. § 81.6(l).

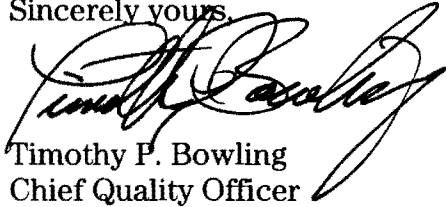
Regarding item 2, we are enclosing a list of unrestricted NI reports. However, we decline to release the titles and report numbers of six GAO reports with a NI designation pursuant to 4 C.F.R. § 81.6(l). These reports have been designated "SBU."

Pursuant to item 3, we are enclosing a CD that contains a list of 255 staff study reports. Please be advised that the unrestricted reports are publicly available on our website at www.gao.gov. Three reports identified on the CD have been designated as restricted because they contain classified, proprietary, or privacy information. Therefore, these three reports are not available via our website.

Finally, we are enclosing for your information a copy of our regulations concerning the availability of GAO records to the public, which are contained in 4 C.F.R. Part 81 (2008).

In accordance with 4 C.F.R. § 81.4(d), further consideration of your request—to the extent that it has been denied—may be obtained by an appeal letter to the Comptroller General of the United States setting forth the basis for your belief that the partial denial of your request is unwarranted.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Timothy P. Bowling". The signature is fluid and cursive, with a large, sweeping flourish at the end.

Timothy P. Bowling
Chief Quality Officer

Enclosures

Non-Internet (Unrestricted)

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted (Not Internet)	577970	GAO-01-427NI	Firearms: Purchased From Federal Firearm Licensees Using Bogus Identification Brady Act Instant Background Check Is Not Applicable to Antique Firearms	3/19/2001
Unrestricted (Not Internet)	A00716	GAO-01-538RNI	Arms Control: Efforts to Strengthen the Biological Weapons Convention	3/16/2001
Unrestricted (Not Internet)	A05201	GAO-02-1038NI	Purchase of Firearms Using a Counterfeit Federal Firearms License	9/30/2002
Unrestricted (Not Internet)	A03105	GAO-02-383RNI	Space Surveillance Network: Appropriate Controls Needed Over Data Access	3/13/2002
Unrestricted (Not Internet)	A03120	GAO-02-402RNI	Combating Terrorism: Preliminary Observations on Weaknesses in Force Protection for DOD Deployments Through Domestic Seaports	4/22/2002
Unrestricted (Not Internet)	A04061	GAO-02-955TNI	Border Security: Visa Process Should Be Strengthened as an Antiterrorism Tool	7/23/2002
Unrestricted (Not Internet)	A05306	GAO-03-132NI	Combating Terrorism: Improvements Needed in European Command's Antiterrorism Approach for In-Transit Forces at Seaports	10/21/2002
Unrestricted (Not Internet)	A08594	GAO-03-731NI		9/26/2003
Unrestricted (Not Internet)	A07333	GAO-03-896TNI	Nuclear Security: DOE Faces Security Challenges in the Post September 11, 2001, Environment	6/24/2003
Unrestricted (Not Internet)	A08860	GAO-03-995RNI	Major Management Challenges at SSA DOD Excess Property: Risk Assessment Needed on Public Sales of Equipment That Could Be Used to Make Biological Agents	7/31/2003
Unrestricted (Not Internet)	A08879	GAO-04-15NI	Defense Infrastructure: Factors Affecting U.S. Infrastructure Costs Overseas and the Development of Comprehensive Master Plans	11/19/2003
Unrestricted (Not Internet)	A10921	GAO-04-609NI	Federal Judiciary: Assessing and Formally Documenting Financial Disclosure Procedures Could Help Ensure Balance between Judges' Safety and Timely Public Access	7/15/2004
Unrestricted (Not Internet)	A10687	GAO-04-696NI	Combating Terrorism: Improvements Needed in Southern Command's Antiterrorism Approach for In-Transit Forces at Seaports	6/30/2004
Unrestricted (Not Internet)	A08792	GAO-04-80NI		10/31/2003

Unrestricted (Not Internet)	A08671	GAO-04-81TNI	DOD Excess Property: Risk Assessment Needed on Public Sales of Equipment That Could Be Used to Make Biological Agents	10/7/2003
Unrestricted (Not Internet)	A11876	GAO-04-851NI	Combating Terrorism: Improvements Needed in Pacific Command's Antiterrorism Approach for In-Transit Forces at Seaports	8/27/2004
Unrestricted (Not Internet)	A66447	GAO-07-200NI	Combating Terrorism: Improved Training and Guidance Needed to More Effectively Address Host Nation Support and Enhance DOD's Force Protection Efforts	1/31/2007
Unrestricted (Not Internet)		163229 NSIAD-00-63NI	Military Capabilities: Focused Attention Needed to Prepare U.S. Forces for Combat in Urban Areas	2/25/2000

“Staff Study” Reports

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	A06516	GAO-03-455	Federal Programs: Ethnographic Studies Can Inform Agencies' Actions	3/31/2003
Unrestricted	A02411	GAO-02-139	Physical Infrastructure: Crosscutting Issues Planning Conference Report	10/1/2001
Unrestricted	163498	AIMD-00-143	Compendium of Budget Accounts: Fiscal Year 2001	4/1/2000
Unrestricted	163029	GGD-00-30	Survey Methodology: An Innovative Technique for Estimating Sensitive Survey Items	11/1/1999
Unrestricted	162868	AIMD-00-14	Budget Issues: Budgeting for Inflation in Civilian Agencies	10/1/1999
Unrestricted	162144	RCED-99-148	International Environment: Literature on the Effectiveness of International Environmental Agreements	5/1/1999
Unrestricted	162190	RCED-99-176	Surface Transportation: Moving into the 21st Century	5/1/1999
Unrestricted	162136	AIMD-99-124	Compendium of Budget Accounts: Fiscal Year 2000	4/1/1999
Unrestricted	160470	GGD-98-103	Decennial Census: Overview of Historical Census Issues	5/1/1998
Unrestricted	160172	AIMD-98-115	Compendium of Budget Accounts: Fiscal Year 1999	4/1/1998
Unrestricted	158672	GGD-97-96	Financial Crisis Management: Four Financial Crises in the 1980s	5/1/1997
Unrestricted	158630	GGD-97-72	The Excepted Service: A Research Profile	5/1/1997
Unrestricted	158423	AIMD-97-65	Compendium of Budget Accounts: Fiscal Year 1998	4/1/1997
Unrestricted	157810	AIMD-97-3	Federal Fiscal Trends: Fiscal Years 1971-1995	11/1/1996
Unrestricted	157214	AIMD-96-113	Compendium of Budget Accounts: Fiscal Year 1997	7/1/1996
Unrestricted	153631	AIMD-95-34	Budget Issues: The Role of Depreciation in Budgeting for Certain Federal Investments	2/1/1995
Unrestricted	149262	AFMD-93-22	Budget Issues: Financial Reporting to Better Support Decision-making	Jun-93
Unrestricted	146630	IMTEC-92-51	Strategic Information Planning: Framework for Designing and Developing System Architectures	Jun-92
Unrestricted	143333	AFMD-91-19	Financial Reporting: Framework for Analyzing Federal Agency Financial Statements	Mar-91
Unrestricted	143071	AFMD-91-17	Budget Issues: Profiles of Government-Sponsored Enterprises	Feb-91
Unrestricted	142211	HRD-90-52	The Urban Underclass: Disturbing Problems Demanding Attention	Sep-90
Unrestricted	140679	AFMD-90-17	Cost Accounting Issues: Survey of Cost Accounting Practices at Selected Agencies	Feb-90
Unrestricted	139412	RCED-89-174	Housing Conference: National Housing Policy Issues	Aug-89
Unrestricted	139215	AFMD-89-64	Budget Issues: State Practices for Financing Capital Projects	Jul-89
Unrestricted	133376	HRD-87-72	Social Security Administration: Questionnaire Responses From Mid-Level Managers and Employees	7/1/1987

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	133424	IMTEC-87-21	ADP Systems: Examinations of Non-Federal Hospital Information Systems	6/30/1987
Unrestricted	133472	GGD-87-84	Financial Services: Developments in the Financial Guarantee Industry	6/25/1987
Unrestricted	132553	RCED-87-65	U.S. Science and Engineering Base: A Synthesis of Concerns About Budget and Policy Development	3/25/1987
Unrestricted	131156	GGD-86-32, GGD-86-32A, GGD-86-32B	Deposit Insurance: Summary of Analysis of Reform Proposals	9/30/1986
Unrestricted	131062	GGD-86-91	Tax Policy: Choosing Among Consumption Taxes	8/20/1986
Unrestricted	129896	GGD-86-26	Securities and Futures: How the Markets Developed and How They Are Regulated	5/15/1986
Unrestricted	128827	NSIAD-86-11	Military Compensation: Key Concepts and Issues	1/10/1986
Unrestricted	128233	HRD-86-14	Federal Benefit Programs: A Profile	10/17/1985
Unrestricted	128067	HRD-85-110	Simulations of a Medicare Prospective Payment System for Home Health Care	9/30/1985
Unrestricted	127885	NSIAD-85-96	Compendium of GAO Reports Pertaining to Public Law 480 From July 1973 Through August 1985	9/13/1985
Unrestricted	127863	NSIAD-85-87	Can More Be Done To Assist Sahelian Governments To Plan and Manage Their Economic Development?	9/6/1985
Unrestricted	125398	GGD-85-5	Observations on Overtime, Sunday, and Holiday Compensation for the U.S. Customs Service, Immigration and Naturalization Service, and Animal and Plant Health Inspection Service Inspectors	10/16/1984
Unrestricted	124910	OCE-84-5	Federal Economic Development Assistance Programs: An Econometric Analysis of Their Employment Effects, 1974-78	8/15/1984
Unrestricted	124693	IMTEC-84-17	Status of the Statistical Community After Sustaining Budget Reductions	7/18/1984
Unrestricted	124280	NSIAD-84-41	Military and Federal Civilian Disposable Income Comparisons and Extra Pays Received by Military Personnel	5/9/1984
Unrestricted	123908	RCED-84-110	Regulation of the Poultry Industry Under the Packers and Stockyards Act	4/13/1984
Unrestricted	123595	NSIAD-84-39	The Unit Status and Identity Report (UNITREP) System--What It Does and Does Not Measure	3/12/1984
Unrestricted	123173	GGD-84-38	Statistical Analysis of the Operations and Activities of Private Foundations	1/5/1984
Unrestricted	122781	HRD-83-92	Social Security Actuarial Projections	9/30/1983
Unrestricted	122302	RCED-83-153	Monitoring and Enforcing Food Safety--An Overview of Past Studies	9/9/1983
Unrestricted	122296	NSIAD-83-32	Status of Major Acquisitions as of September 30, 1982	9/7/1983
Unrestricted	122292	GGD-83-103	Computer Technology at IRS: Present and Planned	9/1/1983

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	122059	GGD-83-69	Selected Government-Wide Management Improvement Efforts: 1970 to 1980	8/8/1983
Unrestricted	527659	AFMD-83-94	Audit Guides To Review Implementation of the Federal Managers' Financial Integrity Act: The First Year	8/5/1983
Unrestricted	121902	GGD-83-30	Survey of Investor Protection and the Regulation of Financial Intermediaries	7/13/1983
Unrestricted	121625	PLRD-83-80	Defense Spending and Its Relationship to the Federal Budget	6/9/1983
Unrestricted	121626	PLRD-83-29	Audit Guide for Reviewing the Feasibility of Competition on Federal Agency Sole-Source Contracts	Jun-83
Unrestricted	121187	RCED-83-113	Water Conservation: An Update of Federal Activity	4/22/1983
Unrestricted	120773	HRD-83-26	Eligibility of Civil Service Annuitants, Survivors, and Employees for Medicare	3/10/1983
Unrestricted	120829	OPP-83-1	GAO Staff Views on the President's FY 1984 Budget Proposals	3/4/1983
Unrestricted	120514	ID-83-19	International Oil Pollution: Liability and Compensation Arrangements Affecting the United States	2/3/1983
Unrestricted	120320	MASAD-83-9	Issues Concerning the Department of Defense's Global Positioning System as It Enters Production	1/26/1983
Unrestricted	120396	ID-83-21	Efforts To Promote Exports by Small, Non-Exporting Manufacturers	1/18/1983
Unrestricted	120289	IPE-83-2	A Summary of the Legislative Provisions of the Block Grants Created by the 1981 Omnibus Budget Reconciliation Act	12/30/1982
Unrestricted	120240	MASAD-83-6	Issues Concerning the Future Operation of the Space Transportation System	12/28/1982
Unrestricted	119697	FPCD-83-9	Federal Civilian Personnel: A Work Force Undergoing Change	10/14/1982
Unrestricted	119654	FPCD-83-17	Military Personnel Issues: Managing and Compensating the Armed Forces	10/12/1982
Unrestricted	119641	HRD-82-131	Demographic and Economic Characteristics of Social Security Retiree Families	9/28/1982
Unrestricted	119504	HRD-82-92	A Primer on Competitive Strategies for Containing Health Care Costs	9/24/1982
Unrestricted	119460	HRD-82-112	The Elderly Remain in Need of Mental Health Services	9/16/1982
Unrestricted	119304	FPCD-82-70	Perspectives on the Effectiveness of Service Enlisted Bonus Programs	8/23/1982
Unrestricted	119193	ID-82-11	The Changing Structure of the International Oil Market	8/11/1982
Unrestricted	118846	CED-82-96	Housing and Community Development: National Problems	6/28/1982
Unrestricted	118680	CED-82-61S	An Economic Analysis of the Pricing Efficiency and Market Organization of the U.S. Grain Export System	6/15/1982
Unrestricted	118679	CED-82-61	Market Structure and Pricing of U.S. Grain Export System	6/15/1982

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	118482	AFMD-82-44	Advances in Automation Prompt Concern Over Increased U.S. Unemployment	5/25/1982
Unrestricted	118309	CED-82-83	Water Issues Facing the Nation: An Overview	5/6/1982
Unrestricted	118310	CED-82-73	Environmental Protection: Agenda for the 1980's	5/5/1982
Unrestricted	118406	CED-82-75	Housing Block Grant Activity in Dallas: A Case Study	4/30/1982
Unrestricted	118053	CED-82-60	Housing Block Grant Activity in Seattle: A Case Study	3/30/1982
Unrestricted	117918	CED-82-52	Housing Block Grant Activity in Pittsburgh: A Case Study	3/24/1982
Unrestricted	117654	EMD-82-13	Mitigating Socioeconomic Impacts of Energy Development	3/2/1982
Unrestricted	117594	CED-82-40	Land Use Issues: A GAO Perspective	2/25/1982
Unrestricted	117498	ID-82-17	Departments of Energy and Commerce Programs To Promote Solar Products in Foreign Markets	2/12/1982
Unrestricted	117447	AFMD-82-26	Who Is Watching the Defense Dollars?	2/5/1982
Unrestricted	117441	CED-82-27	Food, Agriculture, and Nutrition Issues for Planning	2/4/1982
Unrestricted	117252	CED-82-15	Transportation: Evolving Issues for Analysis	1/6/1982
Unrestricted	116996	FPCD-82-5	Guidelines for Assessing Whether Human Factors Were Considered in the Weapon Systems Acquisition Process	12/8/1981
Unrestricted	116805	FPCD-82-2	Framework for Assessing Job Vulnerability to Ethical Problems	11/4/1981
Unrestricted	116646	EMD-82-5	Petro-Canada: The National Oil Company as a Tool of Canadian Energy Policy	10/15/1981
Unrestricted	116515	AFMD-81-104	Non-Federal Computer Acquisition Practices Provide Useful Information for Streamlining Federal Methods	10/2/1981
Unrestricted	116410	GGD-81-104	More Than Money Is Needed To Solve Problems Faced by State and Local Corrections Agencies	9/23/1981
Unrestricted	116170	CED-81-138	Emerging Issues From New Product Development in Food Manufacturing Industries	8/19/1981
Unrestricted	115789	PLRD-81-51	Federal Facilities Acquisition and Management: Issues for Planning	7/14/1981
Unrestricted	115765	EMD-81-74	Analysis of Trends in Residential Energy Consumption	7/9/1981
Unrestricted	115656	EMD-81-42	Materials Shortages and Industrial Bottlenecks: Causes, Trends, Prospects	6/25/1981
Unrestricted	115446	PLRD-81-32	Logistics Management: Issues for Planning	6/8/1981
Unrestricted	115469	HRD-81-90	Reimbursement for National Health Service Corps Personnel	6/4/1981
Unrestricted	115236	HRD-81-92	Problems in the Structure and Management of the Migrant Health Program	5/8/1981

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	114920	GGD-81-47	A Primer on Gross National Product Concepts and Issues	4/8/1981
Unrestricted	114750	ID-81-33	Status Report on U.S. Participation in the International Fund for Agricultural Development	3/27/1981
Unrestricted	114720	AFMD-81-22	Productivity Sharing Programs: Can They Contribute to Productivity Improvement?	3/3/1981
Unrestricted	114434	PLRD-81-6	Military Readiness, Mobilization Planning, and Civil Preparedness: Issues for Planning	2/25/1981
Unrestricted	114240	PAD-81-35	Major Science and Technology Issues	1/30/1981
Unrestricted	114321	FPCD-81-26	Management and Compensation of Military and Civilian Federal Work Forces: Issues for Planning	1/2/1981
Unrestricted	114027	CED-81-38	Environmental Protection Issues in the 1980's	12/30/1980
Unrestricted	113630	LCD-81-2	Use of Computers by Firms Providing Architect-Engineer Services to Federal Agencies	10/27/1980
Unrestricted	113393	CED-80-139	Domestic Housing and Community Development Issues for Planning	9/24/1980
Unrestricted	113247	CED-80-133	Transportation Issues in the 1980's	9/8/1980
Unrestricted	113062	CED-80-120	Rural Water Problems: An Overview	8/19/1980
Unrestricted	112695	CED-80-108	Land Use Issues	6/27/1980
Unrestricted	112543	CED-80-94	Food, Agriculture, and Nutrition Issues for Planning	6/11/1980
Unrestricted	111955	LCD-80-48	Logistics Management Issues	4/1/1980
Unrestricted	111953	111953	Automobile Repair	3/28/1980
Unrestricted	110822	FPCD-80-22	Managing the Federal Work Force: Major Issues	Oct-79
Unrestricted	110437	HRD-79-85A	A Review of Research Literature and Federal Involvement Relating to Selected Obstetric Practices	9/24/1979
Unrestricted	110368	CED-79-130	Agricultural Trade: Issues Affecting U.S. Agricultural Policy	9/14/1979
Unrestricted	110262	FPCD-79-17	Investment Policies, Practices, and Performance of Federal Retirement Systems	8/31/1979
Unrestricted	110215	PAD-79-12	Northeastern Energy and Transportation Problems: A Regional Perspective	8/27/1979
Unrestricted	110201	GGD-79-73	Female Offenders: Who Are They and What Are the Problems Confronting Them?	8/23/1979
Unrestricted	109990	ID-79-41	Government Programs and Organization Affecting Exports	8/17/1979
Unrestricted	110010	LCD-79-327	Facilities Acquisition and Management Issues	8/1/1979
Unrestricted	109557	ID-79-33	Background Information Bearing Upon Panama Canal Treaty Implementing Legislation	6/4/1979
Unrestricted	109198	EMD-79-58	The Potential for Hydrogen as an Energy Source	4/20/1979
Unrestricted	109131	FPCD-79-19	The Tax Status of Federal Resale Activities: Issues and Alternatives	4/19/1979

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	109085	ID-79-10	Energy's Role in United States and Indonesian Relations	4/13/1979
Unrestricted	109088	CED-79-69	Water Resources and the Nation's Water Supply	4/13/1979
Unrestricted	108835	CED-79-63	Environmental Protection Issues Facing the Nation	3/15/1979
Unrestricted	108544	CED-79-44	Domestic Housing and Community Development: Issues for Planning	2/5/1979
Unrestricted	108472	CED-79-36	Food, Agriculture, and Nutrition Issues for Planning	1/29/1979
Unrestricted	107945	CED-79-15	Land Use Issues	11/16/1978
Unrestricted	107712	CED-79-5	Future of the National Nutrition Intelligence System	11/7/1978
Unrestricted	107724	GGD-78-106	Statistical Results of the Bail Process in Eight Federal District Courts	11/1/1978
Unrestricted	107623	CED-78-159	Transportation Issues	10/10/1978
Unrestricted	107452	FPCD-78-72	Defense Headquarters Staff Reductions: An Overview	10/2/1978
Unrestricted	107043	CED-78-153	Beef Marketing: Issues and Concerns	9/26/1978
Unrestricted	107350	CED-78-178	Changing Character and Structure of American Agriculture: An Overview	9/26/1978
Unrestricted	107156	ID-78-51	Profiles of Military Assistance Advisory Groups in 15 Countries	9/1/1978
Unrestricted	106791	PAD-78-21	Comparative Life Cycle Cost: A Case Study	8/16/1978
Unrestricted	106865	HRD-78-138	A Compilation of Federal Laws and Executive Orders for Nondiscrimination and Equal Opportunity Programs	8/2/1978
Unrestricted	107521	PSAD-78-95	Study of Selected Local Procurement Systems and Checklist and Guidelines for Evaluating Local Procurement Systems: Opportunities for Improvement in Local Government Purchasing, Parts 1 and 2	Aug-78
Unrestricted	107243	107243	Trends in A/E Contracting	7/21/1978
Unrestricted	106508	EMD-78-94	Major Unresolved Issues Preventing a Timely Resolution to Radioactive Waste Disposal	7/13/1978
Unrestricted	106546	GGD-78-58	State and Local Governments' Views on Technical Assistance	7/12/1978
Unrestricted	106744	LCD-78-300	Computer-Aided Building Design	7/11/1978
Unrestricted	106558	FPCD-78-39	The Quality of Working Life: An Important Issue for Managers of the Federal Work Force	7/3/1978
Unrestricted	106450	LCD-78-218	Summary of Actions Taken To Implement the Recommendations of the Joint Agency Transportation Study	6/29/1978
Unrestricted	106449	CED-78-106	Issues in Regulating Interstate Motor Carriers	6/20/1978
Unrestricted	106108	LCD-78-329	The General Services Administration's Rental Rates (Standard Level User Charges) for Federal Agencies	5/25/1978
Unrestricted	105924	GGD-78-54	Data Collected from Non-Federal Sources: Statistical and Paperwork Implications	5/17/1978

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	105995	HRD-78-105	Federal Capitation Support and Its Role in the Operation of Medical Schools	5/16/1978
Unrestricted	106107	LCD-78-322	Index of Construction Functions Performed by Federal Agencies	5/9/1978
Unrestricted	106004	CED-78-97	Effects of Tax Policies on Land Use	4/28/1978
Unrestricted	105896	PAD-78-24	Inconsistencies in Retirement Age: Issues and Implications	4/17/1978
Unrestricted	105905	PAD-78-52	Conrail's Profitability: Framework for Analysis	4/10/1978
Unrestricted	105729	GGD-78-52	Observations on Correctional Programs and Policies in Selected European Countries	4/10/1978
Unrestricted	105683	PAD-78-48	An Overview of Unobligated Balances in Civil Agencies	Apr-78
Unrestricted	105331	PAD-78-51	An Analysis of Amtrak's Five Year Plan	3/6/1978
Unrestricted	107402	HRD-78-77	Analyses of Unexpended Balances in the Veterans Administration's Construction, Major Projects Appropriation Account	3/6/1978
Unrestricted	105148	PAD-78-50	What Can Be Done about Overcrowding in Long-Term Federal Correctional Facilities	2/10/1978
Unrestricted	104855	GGD-78-28	Federal Crime Control Assistance: A Discussion of the Program and Possible Alternatives	1/27/1978
Unrestricted	104244	CED-78-7	National Nutrition Issues	12/8/1977
Unrestricted	107166	GGD-78-25	Effective Use of Federal Funds for Law Enforcement	12/1/1977
Unrestricted	104319	FPCD-78-5	Government Consultants: Standard Definition and Uniform Data Needed	11/29/1977
Unrestricted	104309	GGD-78-21	Overview of Activities Funded by the Law Enforcement Assistance Administration	11/29/1977
Unrestricted	103758	HRD-78-10	Cost of Spinal Cord Injuries in the United States and Progress in Spinal Cord Regeneration	10/14/1977
Unrestricted	102790	CED-77-101	Land Use Planning, Management, and Control: Issues and Problems	7/28/1977
Unrestricted	102789	CED-77-100	Water Resources Planning, Management, and Development: What Are the Nation's Water Supply Problems and Issues?	7/28/1977
Unrestricted	102755	CED-77-87	Food and Agriculture Models for Policy Analysis	7/13/1977
Unrestricted	102795	CED-77-92	Environmental Protection Issues Facing the Nation	7/8/1977
Unrestricted	102559	FPCD-77-36	Management of Civilian Personnel in the Federal Government: The Present Situation and Proposals for Improvements	6/6/1977
Unrestricted	101884	CED-77-61	Food and Agriculture Issues for Planning	4/22/1977
Unrestricted	100685	FPCD-76-68	Manual on Remedies Available to Third Parties in Adjudicating Federal Employee Grievances	3/30/1977

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	100013	100013	U.S. Food Exports: Supplying the World's Food Needs	3/16/1977
Unrestricted	100552	CED-77-28	Government National Mortgage Association's Secondary Mortgage Market Activities	3/8/1977
Unrestricted	100315	100315	Issues in Managing Applied Social Research	3/3/1977
Unrestricted	100448	GGD-77-13	Pros and Cons of Linking Postage Rates to the Consumer Price Index	1/11/1977
Unrestricted	101183	PSAD-77-15	A Cooperative Audit of the Procurement System of the State of Oregon	1/10/1977
Unrestricted	100447	100447	Relations Between the General Accounting Office and the Congress	12/10/1976
Unrestricted	100519	HRD-77-4	Relationships between Nonprofit Prepaid Health Plans with California Medicaid Contracts and for Profit Entities Affiliated with Them	11/1/1976
Unrestricted	98224	PAD-76-44	Comparative Analysis of Subsidized Housing Costs	7/28/1976
Unrestricted	98268	OPA-76-42	President's Budget for Fiscal Year 1977 and Its Implications for Rural Development	5/5/1976
Unrestricted	903692	RED-76-85	Cost of the Section 8, Lower Income Housing Assistance Program	3/12/1976
Unrestricted	93782	GGD-76-61	Summary of Observations on Postal Service Operations From July 1971 to January 1976	3/5/1976
Unrestricted	99944	PSAD-76-86	Bellefonte Nuclear Plant	3/1/1976
Unrestricted	98283	PSAD-76-76	Seasat Project	2/25/1976
Unrestricted	98301	PSAD-76-74	Land Satellite Project	1/30/1976
Unrestricted	99966	OSP-76-10	Identification of Food Stamp Issues	1/28/1976
Unrestricted	94744	OPA-76-13	Selected Views and Issues Related to Regulatory Reform in the Transportation Industry	1/21/1976
Unrestricted	99990	PSAD-76-66	Space Telescope Project	Jan-76
Unrestricted	96897	OPA-76-10	Analysis of the Subcommittee's Public Opinion Survey of the Farmers Home Administration, U.S. Department of Agriculture	12/9/1975
Unrestricted	94882	MWD-76-76	Information on 1976 Health Insurance Premium Rate Increases for Federal Employees Health Benefits Program	11/18/1975
Unrestricted	95083	95083	Systematic Approach to Searching United States Laws: A Case Study	Nov-75
Unrestricted	99999	RED-76-34	U.S. Transportation System--Federal Government's Role and Current Policy Issues	10/22/1975
Unrestricted	96946	OSP(OPA)-76-3	Analysis of the Energy, Economic, and Budgetary Impacts of H. R. 6860	Sep-75
Unrestricted	96953	OSP-76-1	Liquid Metal Fast Breeder Reactor: Promises and Uncertainties	7/31/1975
Unrestricted	96969	ID-75-78	Considerations on Increased Quotas for the International Monetary Fund	7/15/1975
Unrestricted	93064	PSAD-75-104	Polar Class Icebreaker Ships	Jun-75
Unrestricted	97098	97098	Sequoyah Nuclear Plant	Mar-75
Unrestricted	93071	93071	The SAM-D Program	Mar-75

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	93069	93069	Armored Reconnaissance Scout Vehicle	Feb-75
Unrestricted	93067	93067	Continental Operations Range	Feb-75
Unrestricted	93068	93068	General Purpose Amphibious Assault Ship and the DD-963 Antisubmarine Warfare Destroyer Shipbuilding Program	Feb-75
Unrestricted	93070	93070	Patrol Frigate	Feb-75
Unrestricted	93513	93513	Space Transportation System	Feb-75
Unrestricted	97121	97121	Fast Flux Test Facility Program	Jan-75
Unrestricted	96724	96724	Problem Areas Which Could Affect the Development Schedule for the Clinch River Breeder Reactor	Dec-74
Unrestricted	93967	93967	Space Transportation System	Jun-74
Unrestricted	93514	93514	A-10 Close Air Support Aircraft	Mar-74
Unrestricted	92647	92647	Advanced Attack Helicopter	Mar-74
Unrestricted	93061	93061	Advanced Medium STOL Transportation Prototypes	Mar-74
Unrestricted	93511	93511	B-1 Weapon System, March 1974	Mar-74
Unrestricted	93062	93062	CH-53E Helicopter	Mar-74
Unrestricted	93066	93066	CVAN-68/69 and CVN-70 Nuclear Aircraft Carriers	Mar-74
Unrestricted	93821	93821	DLGN-38 Nuclear Guided Missile Frigate	Mar-74
Unrestricted	93516	93516	F-14/Phoenix Weapon System	Mar-74
Unrestricted	93063	93063	Polar Class Icebreaker Ships	Mar-74
Unrestricted	92649	92649	Utility Tactical Transport Aircraft System	Mar-74
Unrestricted	93065	93065	Versatile Avionics Shop Test (VAST) System	Mar-74
Unrestricted	96767	B-178205	Actions Needed To Improve Federal Efforts in Collecting, Analyzing, and Reporting Energy Data	2/6/1974
Unrestricted	96770	B-172376	Case Study of the Hawaii Public Employment Relations Act	2/1/1974
Unrestricted	95122	95122	Evaluation of Cost-Effectiveness Analyses: A Case Study	1/10/1974
Unrestricted	904500	B-164497(1)	Case Studies Prepared in Conjunction With a Report to the Government Activities Subcommittee, Committee on Government Operations, House of Representatives, on Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft	6/15/1973
Unrestricted	94108	B-164497(1)	Case Studies Prepared in Conjunction With a Report to the House Committee on Government Operations on Need for Improved Controls for Identifying and Correcting Safety Defects on Light Aircraft	6/15/1973
Unrestricted	93802	93802	The F-14/PHOENIX Aircraft Program	Apr-73
Unrestricted	93807	93807	Capabilities of the Heavy Lift Helicopters Under Development by the Army and the Navy	Mar-73

Product Classification	Accession Number	Product Number	Product Title	Publication Date
Unrestricted	93820	93820	General Purpose Amphibious Assault Ship and the DD-963 Antisubmarine Warfare Destroyer Shipbuilding Program	Mar-73
Unrestricted	93810	93810	A-7D/E Aircraft	Feb-73
Unrestricted	93803	93803	Airborne Warning and Control System	Feb-73
Unrestricted	93809	93809	Applications Technology Satellite F and G Project	Feb-73
Unrestricted	92650	92650	ARTS III	Feb-73
Unrestricted	93815	93815	B-1 Weapon System	Feb-73
Unrestricted	93824	93824	C-5A Aircraft	Feb-73
Unrestricted	93806	93806	CH-53E Helicopter	Feb-73
Unrestricted	93814	93814	CVAN-68/69 Nuclear Aircraft Carriers	Feb-73
Unrestricted	93816	93816	DE-1052	Feb-73
Unrestricted	93817	93817	Defense Satellite Communications System--Phase II	Feb-73
Unrestricted	93819	93819	DLG Anti-Air Warfare Modernization Program Department of the Navy	Feb-73
Unrestricted	93804	93804	DLGN-38 Nuclear Guided Missile Frigate	Feb-73
Unrestricted	93811	93811	F-111 Aircraft	Feb-73
Unrestricted	93813	93813	F-5E International Fighter Aircraft	Feb-73
Unrestricted	92340	92340	Gamma Goat	Feb-73
Unrestricted	93515	93515	Harrier Weapon System	Feb-73
Unrestricted	93818	93818	Landing Forces Assault Amphibian Vehicle	Feb-73
Unrestricted	92648	92648	Patrol Frigate	Feb-73
Unrestricted	93822	93822	SSN-637 Class Nuclear Attack Submarine	Feb-73
Unrestricted	93812	93812	Surface Effect Ships	Feb-73
Unrestricted	93805	93805	The Safeguard Ballistic Missile Defense System	Feb-73
Unrestricted	93883	93883	Versatile Avionics Shop Test	Feb-73
Unrestricted	93808	93808	Viking '75 Project	Feb-73
Unrestricted	95130	95130	Case Study--Illinois' Use of Public Accountants for Auditing State Activities	1973
Unrestricted	95096	95096	Internal Auditing in State Government	1973
Unrestricted	92339	92339	The F-14 Aircraft	Mar-72
Unrestricted	96685	B-172553	Comparison of Military Research and Development Expenditures of the United States and the Soviet Union	1/31/1972
Unrestricted	95080	95080	Using the Computer as an Ally	1972
Unrestricted	92330	B-123775	Survey of Opinions of Owners of Recreational Boats on Question of Licensing	4/16/1970
Restricted	504567	PAD-79-4	VA Programs Funded by the Compensation and Pensions Appropriation Account	1/12/1979
Restricted	504569	PAD-79-3	Veterans Administration Insurance Programs	1/12/1979
Restricted	103152	HRD-77-142	Information on the Performance of Health Applications Systems, Inc. and California Physicians' Service in Processing Claims for the Civilian Health and Medical Program of the Uniformed Services	8/25/1977

SUBCHAPTER F--RECORDS

PART 81--PUBLIC AVAILABILITY OF THE GOVERNMENT ACCOUNTABILITY OFFICE RECORDS

Sec.

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AUTHORITY: 31 U.S.C. 711.

§ 81.1 Purpose and scope of part.

(a) This part implements the policy of the U.S. Government Accountability Office (GAO) with respect to the public availability of GAO records. While GAO is not subject to the Freedom of Information Act (5 U.S.C. 552), GAO's disclosure policy follows the spirit of the act consistent with its duties, functions, and responsibilities to the Congress. Application of this act to GAO is not to be inferred from the provisions of these regulations.

(b) GAO published testimonies, reports, and decisions or listing of publications are included within the scope of this part to the extent that they may be obtained from the GAO Website, <http://www.gao.gov>, or from the U.S. Government Accountability Office, 441 G Street NW, Room LM, Washington, DC 20548, or phone 202/512-6000, Fax 202/512-6061, TDD 202/512-2537. [Please note that this address is for published GAO documents only, other records requests should be sent to the address provided in section 81.4(a).]

§ 81.2 Administration.

The Chief Quality Officer administers this part and may promulgate such supplemental rules or regulations as may be necessary.

§ 81.3 Definitions.

As used in this part:

(a) "*Identifiable*" means a reasonably specific description of a particular record sought, such as the date of the record, subject matter, agency or person involved, etc., which will permit location or retrieval of the record.

(b) "*Records*" includes all books, papers, manuals, maps, photographs, reports, and other documentary materials, regardless of physical form or

characteristics, including electronically created or maintained materials, under the control of GAO in pursuance of law or in connection with the transaction of public business. As used in this part, the term "records" is limited to an existing record under GAO's control and does not include compiling or procuring records, library or museum material made, acquired, or preserved solely for reference or exhibition purposes, or extra copies of documents preserved only for convenience of reference.

(c) "*Records available to the public*" means records which may be examined or copied or of which copies may be obtained, in accordance with this part, by the public or representatives of the press regardless of interest and without specific justification.

(d) "*Disclose or disclosure*" means making available for examination or copying or furnishing a copy.

(e) "*Person*" includes an individual, partnership, corporation, association, or public or private organization other than a federal agency.

(f) "*Compelling need*" means that a failure to obtain requested records on an expedited basis could reasonably be expected to pose an imminent threat to the life or physical safety of an individual, or the records are needed urgently, with respect to a request made by a person primarily engaged in disseminating information, for the requester to inform the public concerning actual or alleged Federal Government activity.

§ 81.4 Requests for identifiable records.

(a) A request to inspect or obtain a copy of an identifiable record of GAO must be submitted in writing to the Chief Quality Officer, U.S. Government Accountability Office, 441 G Street, NW, Washington, DC 20548. Requests may also be made via a link from GAO's Internet Home page at <http://www.gao.gov>. Requests also may be emailed to recordsrequest@gao.gov. The Chief Quality Office will either acknowledge or honor the request within 20 days of receipt.

(b) The Chief Quality Officer will honor requests for expedited processing before all other requests in cases in which the person requesting the records demonstrates a compelling need. A demonstration of compelling need shall be made by a statement certified by the requester to be true and correct to the best of the requester's knowledge and belief.

(c) In the event of an objection or doubt as to the propriety of providing the requester with a copy of the record sought, every effort will be made to resolve such

problems as quickly as possible, including consultation with appropriate GAO elements. If it is determined that the record should be withheld, the Chief Quality Officer shall inform the requester in writing that the request has been denied, shall identify the material withheld, and shall explain the basis for the denial.

(d) A person whose request is denied in whole or part may administratively appeal the denial within 60 days after the date of the denial by submitting a letter to the Comptroller General of the United States at the address listed in paragraph (a) of this section, explaining why the denial of the request was unwarranted.

§ 81.5 Records originating outside GAO, records of interviews, or records involving work in progress.

(a) It is the policy of GAO not to provide records from its files that originate in another agency or nonfederal organization to persons who may not be entitled to obtain the records from the originator. In such instances, requesters will be referred to the person or organization that originated the records.

(b) It is the policy of GAO that prior to the release of a record of interview created by GAO in connection with an audit, evaluation, or investigation of a program, activity, or funding of a government entity, GAO will notify the agency from which an interview was obtained of the request. GAO will provide that agency with a reasonable opportunity to indicate whether the record of interview or portions thereof should be exempt from disclosure and the reason(s) for the exemption. The public disclosure of a record of interview remains within the discretion of GAO's Chief Quality Officer, but GAO will consider the views of the agency and the exemptions provided for under § 81.6 or any other law or regulation in deciding whether to release all or portions of a record of interview.

(c) In order to avoid disruption of work in progress, and in the interests of fairness to those who might be adversely affected by the release of information which has not been fully reviewed to assure its accuracy and completeness, it is the policy of GAO not to provide records which are part of ongoing reviews or other current projects. In response to such requests, GAO will inform the requester of the estimated completion date of the review or project so that the requester may then ask for the records. At that time, the records may be released unless exempt from disclosure under § 81.6.

§ 81.6 Records which may be exempt from disclosure.

The public disclosure of GAO records contemplated by this part does not apply to records, or parts thereof, within any of the categories listed below. Unless precluded by law, the Chief Quality Officer may nevertheless release records within these categories:

(a) Records relating to work performed in response to a congressional request (unless authorized by the congressional requester), congressional correspondence, and congressional contact memoranda.

(b) Records specifically required by an Executive Order to be kept secret in the interest of national defense or foreign policy. An example of this category is a record classified under Executive Order 12958, Classified National Security Information.

(c) Records related solely to the internal personnel rules and practices of an agency. This category includes, in addition to internal matters of personnel administration, internal rules and practices which cannot be disclosed without prejudice to the effective performance of an agency function. Examples within the purview of this exemption are guidelines and procedures for auditors, investigators, or examiners, and records concerning an agency's security practices or procedures.

(d) Records specifically exempted from disclosure by statute provided that such statute (1) requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue or (2) establishes particular criteria for withholding or refers to particular types of matters to be withheld.

(e) Records containing trade secrets and commercial or financial information obtained from a person and are privileged or confidential. This exemption may include, but is not limited to, business sales statistics, inventories, customer lists, scientific or manufacturing processes, or development information.

(f) Personnel and medical files and similar files the disclosure of which could constitute a clearly unwarranted invasion of personal privacy. This exemption excludes from disclosure all personnel and medical files, and all private or personal information contained in other files, which, if disclosed to the public, would amount to a clearly unwarranted invasion of the privacy of any person. An example of such other files within the exemption would be files compiled to evaluate candidates for security clearance.

(g) Records and information compiled for law enforcement purposes.

(h) Records having information contained in or related to examination, operation, or condition reports prepared by,

on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions.

(i) Records containing geological and geophysical information and data (including maps) concerning wells.

(j) Inter-agency or intra-agency memorandums, letters, or other materials that are part of the deliberative process. For example, this exemption includes internal communications such as GAO or other agency draft reports and those portions of internal drafts, memoranda, and workpapers containing opinions, recommendations, advice, or evaluative remarks of GAO employees. This exemption seeks to avoid the inhibiting of internal communications and the premature disclosure of documents which would be detrimental to an agency decision making.

(k) Records in addition to those described in paragraph (j) of this section containing information customarily subject to protection as privileged in a court or other proceedings, such as information protected by the doctor-patient, attorney-work product, or lawyer-client privilege.

(l) Records GAO has obligated itself not to disclose, including but not limited to, records for which GAO officials have made a pledge of confidentiality, and records the release of which would adversely impact significant property interests or negatively affect public safety.

(m) Unsolicited records containing information submitted by any person to GAO in confidence. An example of records covered by this exemption would be information obtained by the GAO Office of General Counsel (GAO FraudNET). [49 FR 38527, Oct. 1, 1984, as amended at 53 FR 50913, Dec. 19, 1988]

§ 81.7 Fees and charges.

(a) No fee or charge will be made for:

(1) Records provided under this part when the direct costs involve less than one hour of search time and 50 pages of photocopying.

(2) Staff-hours spent in resolving any legal or policy questions pertaining to the request.

(3) Copies of records, including those certified as true copies, furnished for official use to a federal government officer or employee.

(4) Copies of pertinent records furnished to a party having a direct and immediate interest in a matter pending before GAO, when necessary or desirable to the performance of a GAO function.

(b) The fees and charges described below will be assessed for the direct costs of search, review, and reproduction of records available to the public under this part.

(1) The cost for reproduction per page shall be 20 cents.

(2) The cost for a certification of authenticity shall be \$10 for each certificate.

(3) Manual search and review for records by office personnel will be assessed at \$12, \$25, or \$45 per hour, depending on the rate of pay of the individual actually conducting the search or review, and the complexity of the search.

(4) Other direct costs related to the request may be charged for such items as computer searches.

(5) Except as noted immediately below, requesters generally will be charged only for document duplication. However, there may be times when a search charge will be added, for example, if records are not described with enough specificity to enable them to be located within one hour. Requesters seeking records for commercial use will be charged for document duplication, search, and review costs. Additionally, representatives of the news media, in support of a news gathering or dissemination function, and education or noncommercial scientific institutions not seeking records for commercial use will be charged only for document duplication, unless such request requires extraordinary search or review.

(c) GAO shall notify the requester if an advance deposit is required.

(d) Fees and charges shall be paid by check or money order payable to the U.S. Government Accountability Office.

(e) The Chief Quality Officer may waive or reduce the fees under this section upon a determination that disclosure of the records requested is in the public interest, is likely to contribute significantly to public understanding of the operations or activities of the government, and is not primarily in the commercial interest of the requester.

Persons seeking such waiver or fee reduction may be required to submit a statement setting forth the intended purpose for which the records are requested, indicate how disclosure will primarily benefit the public and, in appropriate cases, explain why the volume of records requested is necessary. Determinations pursuant to this paragraph are solely within the discretion of GAO. [49 FR 38527, Oct. 1, 1984, as amended at 53 FR 50913, Dec. 19, 1988]

§ 81.8 Public reading facility.

GAO maintains a public reading facility in the Law Library at the Government Accountability Office Building, 441 G Street NW, Washington, DC. The facility shall be open to the public from 8:30 a.m. to 4:00 p.m. except Saturdays, Sundays, and holidays. [53 FR 50913, Dec. 19, 1988]

'Noted changes will be made at a future date.