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Description of document: Environmental Protection Agency (EPA) records provided to Senator Charles E. Grassley and Senator Tom Coburn concerning the independence of the Inspector General necessary to promote efficiency and prevent fraud, waste and abuse in agency programs, in response to the Senators' inquiry, 2011-2012

Requested: 15-April-2012

Released date: 02-May-2013

Posted date: 30-September-2013

Source of document: FOIA Officer
EPA OIG
1200 Pennsylvania Avenue, NW
Mail Code 2411T
Washington, DC 20460-0001
Fax: (202) 566-0870
Email: OIG_FOIA@epa.gov

Note: This is one of several files on the same subject for various agencies available on governmentattic.org. See: <http://www.governmentattic.org/6docs/GrassleyCoburn.htm>

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

MAY 02 2013

OFFICE OF
INSPECTOR GENERAL

Re: Freedom of Information Act Request (EPA-HQ-2012-001075)

This letter responds to your Freedom of Information Act request to the Environmental Protection Agency Office of Inspector General dated April 15, 2012, seeking disclosure of “each biannual response to Senators Grassley and Coburn regarding their April 8, 2010, request to the EPA Office of the Inspector General to provide a summary of your non-public management advisories and closed investigations” and “the original response from [EPA OIG] to the April 8, 2010 letter from the Senators.”

Documents responsive to your request are enclosed. Some redactions of information have been made to the documents pursuant to the FOIA, 5 U.S.C. §§ 552 (b)(5), (b)(6) and (b)(7)(C).

Exemption (b)(5) exempts from disclosure inter-agency or intra-agency memoranda or letters which would not be available by law to a party other than an agency in litigation with the agency. This exemption generally allows agencies to exempt those documents that are privileged in the context of civil discovery. EPA OIG is invoking the deliberative process privilege of Exemption 5 to protect information that falls within that privilege’s domain.

Exemption (b)(6) exempts from disclosure any information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. The names of individuals and information which may identify an individual have been withheld pursuant to Exemption (b)(6).

Exemption (b)(7)(C) provides protection for personal information in law enforcement records the disclosure of which could reasonably be expected to constitute an unwarranted invasion of personal privacy. The names of individuals and information which may identify an individual have been withheld pursuant to Exemption (b)(7)(C).

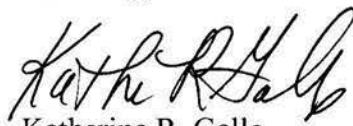
For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

If you consider this to be a partial denial, you may appeal via mail to the Counsel to the Inspector General, Office of Counsel, Office of Inspector General, 1200 Pennsylvania Avenue NW, Mail Code (2411T), Washington, D.C. 20460, via fax at (202) 566-0870, or via email at oig_foia@epa.gov. The

appeal must be made in writing and must be submitted no later than 30 calendar days from the date of this letter. The appeal letter and envelope should include the FOIA tracking number listed above and be marked "Freedom of Information Act Appeal."

If you have any questions concerning this matter, you may contact Scott Levine, OIG FOIA Officer, at (202) 566-1512.

Sincerely,

A handwritten signature in black ink, appearing to read "Kathy R. Gallo". The signature is fluid and cursive, with the first name "Kathy" being more prominent than the last name "Gallo".

Katherine R. Gallo
Senior Associate Counsel

Enclosures

cc: FOIA Office



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

April 27, 2012

THE INSPECTOR GENERAL

The Honorable Charles E. Grassley
Ranking Member
Committee on Finance
United States Senate
Washington, D.C. 20510

Dear Senator Grassley:

Enclosed are listings of closed audits and investigations conducted by the Office of Inspector General (OIG) not disclosed to the public for the period April 1, 2011, through September 30, 2011. This responds to your continuing request for biannual reports of this information. The OIG makes every attempt to publicly disclose its work within the parameters of the law and to the extent it is practical. The audit reports listed were not disclosed because of concerns that they contain confidential business information or technical findings of a sensitive nature, or the audits were conducted by other organizations. For the investigations listed, summary information has been provided identifying the allegations received and their resolution. Names and other identifying information have been redacted due to Privacy Act concerns. This format is similar to our last report to you.

Thank you for your continued support of the work we do as Inspectors General. If you would like additional information on any audit or investigation listed, please contact Eileen McMahon, Assistant Inspector General for Congressional and Public Affairs, at (202) 566-2391. An identical letter is being provided to Senator Coburn.

Sincerely,

A handwritten signature in black ink, appearing to read "Arthur A. Elkins, Jr.", written over a white background.

Arthur A. Elkins, Jr.

Enclosures



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

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The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

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Sincerely,

A handwritten signature in black ink, appearing to read "Arthur A. Elkin, Jr.", written over a white background.

Arthur A. Elkin, Jr.

Enclosures

EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATIONS AND RESOLUTION
2007-CS-0044	2/21/2007	6/21/2011	<p><u>Allegation:</u> An EPA employee may have been improperly involved with the selection process and award of an \$800,000 EPA task order relating to asbestos abatement studies and research. (18 USC 208)</p> <p><u>Resolution:</u> This investigation did not substantiate the allegations and the investigation was closed.</p>
OI-SA-2010-CFR-0333	7/23/2010	6/10/2011	<p><u>Allegation:</u> An Executive Director of a grantee directed an employee to charge time to an EPA grant even though he was not working on a project related to the EPA.</p> <p><u>Resolution:</u> The allegations were not substantiated and the case was closed.</p>
OI-SA-2010-CFR-0243	3/22/2010	6/27/2011	<p><u>Allegation:</u> A Manager of an EPA grantee organization was over-billing EPA as both an employee of the grantee and as a consultant. (18 USC 666)</p> <p><u>Resolution:</u> No impact or loss to the agency was identified and the investigation was closed.</p>
OI-SA-2010-CFR-0332	7/23/2010	8/12/2011	<p><u>Allegation:</u> A city, which had received \$5 million dollars in American Recovery and Reinvestment Act (ARRA) funds to upgrade its city sewer lines, overpaid city workers' wages under the grant, wasting tax dollars. (18 USC 287)</p> <p><u>Resolution:</u> The city paid workers prevailing wage rates. While the city determined it was not obligated to pay these rates, no restrictions were identified that would have prohibited the city from paying wages at the prevailing wage rates.</p>
OC-SE-2010-CAC-0267	4/23/2010	7/8/2011	<p><u>Allegation:</u> An EPA employee obtained unauthorized cash advances from his/her government issued travel card and then lied to management about these activities during an administrative action proceeding. (18 USC 1001)</p> <p><u>Resolution:</u> The allegation that the employee had lied during the earlier administrative proceeding could not be substantiated. However, during the investigation, additional suspicious travel vouchers that had not been considered during the previous administrative proceeding were identified. The OIG determined the employee's pattern of abuse contradicted representations made during the administrative proceedings. Additional travel vouchers were audited and several travel vouchers were identified as having been submitted with inflated claims. This case was referred to EPA management for appropriate action.</p>

**EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011**

OC-SE-2010-ADM-0587	9/15/2010	8/26/2011	<p><u>Allegation:</u> An EPA employee committed travel fraud. (18 USC 287)</p> <p><u>Resolution:</u> While it appeared the employee exhibited a pattern of suspicious behavior involving travel, he/she provided plausible explanations that the investigation was unable to refute. In addition, the travel documents had been reviewed and approved by EPA managers. Two instances of misuse of the government travel card for car rentals were identified. The issues were referred to agency management for action.</p>
OI-DA-2010-CFR-0307	6/27/2010	5/6/2011	<p><u>Allegation:</u> The Principal Investigator and Executive Director of a university health science center committed ethical and professional breaches and engaged in scientific misconduct on a \$3.2 million EPA grant. It was further alleged that the Director hired another scientist to conduct most of the research because the Director did not have laboratory facilities to conduct the proper research. (18 USC 1001)</p> <p><u>Resolution:</u> Valid research was conducted and EPA was satisfied. There was no issue with the management of EPA grant funds. The allegations have been disproven.</p>
OI-DA-2011-CAC-1696	12/22/2010	8/15/2011	<p><u>Allegation:</u> A private citizen was contacting local residents indicating he was representing the EPA and was testing residential water wells for methane gas. (18 USC 912)</p> <p><u>Resolution:</u> The allegations were disproven and this investigation was closed.</p>
OI-DA-2011-CFR-2778	4/7/2011	8/19/2011	<p><u>Allegation:</u> A Tribal administration is not providing financial and management information concerning the operation of the tribe to tribal members when requested and the administration does not have any personnel to ensure the financial integrity of the tribe's funds (both tribal and federal). The tribe also has disregarded EPA's disadvantaged business guidelines and grant program budget limits regarding the grant deliverables. Additional allegations involving other Federal areas of jurisdiction were also made. (18 USC 641)</p> <p><u>Resolution:</u> No evidence was developed to support the allegations. Allegations not within the investigative jurisdiction of EPA were referred to the Department of Health and Human Services, OIG, OI; and the Department of Interior, OIG, OI.</p>

EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011

OI-AT-2011- CFR-0004	10/8/2010	6/10/2011	<p><u>Allegation:</u> A city provided falsified laboratory data on a State Revolving Fund (SRF) Loan application in an effort to increase their chances of receiving funding. (18 USC 1031, 18 USC 1001)</p> <p><u>Resolution:</u> It was determined that the purported falsified data were not a determining factor in the SRF loan obtained by the city. Therefore, the allegation has been determined to be unfounded.</p>
OI-AT-2011- CAC-2784	4/22/2011	9/13/2011	<p><u>Allegation:</u> A company provided false statements on toxicity test results, Material Safety Data Sheets, and other supporting documentation regarding a product that was submitted to the EPA. The company allegedly submitted the fraudulent information in order to gain acceptance into the EPA's National Contingency Plan (NCP) product schedule. (18 USC 1341)</p> <p><u>Resolution:</u> The case was declined for prosecution. During the course of this investigation, it was determined that the NCP Product Schedule program [REDACTED] in place [REDACTED].</p> <p>[REDACTED] It was further determined that there are [REDACTED] (b) (5), (b) (7)(E) [REDACTED] on their application. [REDACTED] NCP Product Schedule program [REDACTED]. These finding have been referred to EPA-OIG's Office of Program Evaluation for further evaluation.</p>
OI-NE-2010- CFR-0272	4/29/2010	7/8/2011	<p><u>Allegation:</u> A Canadian company was awarded a contract to provide aeration blowers for a wastewater treatment plant aeration upgrade. The company misrepresented its products by stating they were fully compliant with the Buy America provisions under the American Recovery and Reinvestment Act (ARRA). There were concerns that the company would not "substantially transform" the blowers in order to be compliant with the Buy America provision of ARRA. (18 USC 1001)</p> <p><u>Resolution:</u> The investigation found no evidence that the company was misrepresenting its products by stating they were fully compliant with the Buy America provisions under ARRA.</p>
OI-NE-2011- CAC-2777	4/7/2011	8/26/2011	<p><u>Allegation:</u> An unknown individual made threatening telephone calls to EPA employees, including one call which included a bomb threat. (18 USC 111)</p> <p><u>Resolution:</u> Attempts to locate the caller were unsuccessful and the case was closed upon coordination with the AUSA.</p>

**EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011**

2008-CS-0069	6/2/2008	9/19/2011	<p><u>Allegation:</u> An EPA employee was involved in insurance fraud, forgery and wire fraud. (18 USC 1342, 18 USC 1343, 18 USC 506)</p> <p><u>Resolution:</u> The employee resigned from her position for an unrelated reason. The allegation was substantiated but no insurance claims were paid. No further criminal referral was made. As a result of the employee's resignation, the region did not pursue administrative action for the subject allegations.</p>
OI-AT-2011-CFR-2769	3/23/2011	6/3/2011	<p><u>Allegation:</u> An EPA employee and a university professor committed financial fraud involving procurement, contractors, grantees, and other recipients. Specifically, the employee and the professor received grants without competition because of the EPA employee's position.</p> <p><u>Resolution:</u> The professor had no role in evaluating or selecting grants to receive EPA money. Neither the professor nor the EPA employee has received any EPA grant money in the past grant cycle. The allegation was disproven.</p>
OI-SE-2011-CAC-2758	2/17/2011	7/22/2011	<p><u>Allegation:</u> Representatives of two companies conspired to sell scrapped wheels/tires for personal gain. (18 USC 371, 18 USC 666)</p> <p><u>Resolution:</u> A contractor transported yard tractors to a metal recycler for disposal under a diesel emissions reduction project funded under the American Recovery and Reinvestment Act. The metal recycler did not accept wheels and tires for salvage. The wheels/tires were given to another contractor in exchange for removing the tires/wheels at the metal recycler. The yard tractors were scrapped in accordance with EPA scrappage requirements. The contractor employee resigned in lieu of termination. There was no impact or financial loss to EPA.</p>
OI-SE-2010-CFR-0252	3/30/2010	4/15/2011	<p><u>Allegation:</u> A contractor ignored the Buy American provision of the American Recovery and Reinvestment Act (ARRA) by selecting a foreign company to supply dewatering centrifuges for an ARRA-funded project. (18 USC 371, 18 USC 1001)</p> <p><u>Resolution:</u> There was no EPA funding involved with the aforementioned ARRA-funded project; however, the funding was provided by the Department of Energy. This matter was referred to the DOE OIG for whatever action they deem appropriate.</p>

**EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011**

OI-DE-2010- CAC-0247	3/26/2010	6/10/2011	<p><u>Allegation:</u> A company owned by a mayor performed work under an EPA grant. The company performed the work while the mayor was also acting as the approving official on every EPA payment request. (18 USC 287, 18 USC 1001, 18 USC 1341, 18 USC 1343)</p> <p><u>Resolution:</u> The investigation disclosed the mayor violated 40 CFR 31.36(b) (3) in that he knowingly had a conflict of interest by personally performing the work. Prosecution was declined at the federal and state levels. EPA's Suspension and Debarment Division considered but declined to pursue any action against the mayor or the company.</p>
OI-DE-2010- CAC-0296	6/15/2010	6/10/2011	<p><u>Allegation:</u> The companies may have bid-rigged a contract and violated competitive bidding laws and submitted falsified invoices used on another project. (18 USC 287)</p> <p><u>Resolution:</u> The allegations were disproven or no supporting documentation was provided to support the allegations.</p>
2007-CS-0179	9/19/2007	6/21/2011	<p><u>Allegation:</u> An EPA employee made a false statement during the course of an interview and a criminal investigation. (18 USC 1001)</p> <p><u>Resolution:</u> The allegation was unfounded.</p>
OI-SA-2011- CFR-1276	12/9/2010	7/5/2011	<p><u>Allegation:</u> A former city manager disclosed that an ARRA funded project could fail and cause environmental and economic impacts (18 USC 287).</p> <p><u>Resolution:</u> The allegations were unfounded.</p>
2007-CS-0102	1/26/2005	4/5/2011	<p><u>Allegation:</u> An EPA employee used his/her EPA e-mail address/phone number to order tickets through Ticketmaster with a stolen Amcx card number. In addition, the employee used his/her government purchase card for personal expenses. (18 USC 641)</p> <p><u>Resolution:</u> The employee resigned after being notified of a proposed removal action. The employee was convicted of one count of theft of Agency funds and was sentenced to 3 years probation, ordered to pay restitution of \$11,589 to EPA, and ordered to pay a special assessment of \$25.</p>
OI-PH-2011- CFR-2788	4/29/2011	9/20/2011	<p><u>Allegation:</u> It was alleged that Antitrust violations (bid rotation) were being committed by several companies associated with ARRA and non-ARRA Clean Water State Revolving Fund (CWSRF) grants awarded to a county for the construction of a sanitary sewer and collection system. (15 USC SEC 1-7)</p> <p><u>Resolution:</u> The investigation showed that there was no evidence that showed the companies were involved in a bid rigging scheme. The allegation was unsubstantiated.</p>

EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011

OI-CR-2010- CAC-0595	9/28/2010	4/5/2011	<p><u>Allegation:</u> An EPA employee reported about \$9,950.00 of attempted fraudulent purchases made on his government purchase card. (18 USC SEC 641)</p> <p><u>Resolution:</u> The investigation determined no fraudulent transactions were posted to the employee's purchase card as the merchants themselves identified the possible fraudulent charges prior to charging them to the employee's purchase card. The merchant bank was unable to [REDACTED]</p>
2009-CS-0021	4/27/2009	4/8/2011	<p><u>Allegation:</u> A contractor submitted an invoice for payment which contained a false notary public stamp/signature and another false signature. (18 USC 287)</p> <p><u>Resolution:</u> The allegations were substantiated but criminal and administrative action were declined.</p>
OI-CR-2011- CAC-0012	10/21/2010	7/18/2011	<p><u>Allegation:</u> An EPA contractor inappropriately removed various pieces of audio-visual equipment scheduled for surplus from an EPA facility. (18 USC 641)</p> <p><u>Resolution:</u> The items alleged to have been stolen were returned and a reasonable explanation was provided. Prosecution was declined.</p>
OI-AR-2011- CAC-2796	5/13/2011	8/16/2011	<p><u>Allegation:</u> An unknown subject posted threats to EPA's main Facebook page. (18 USC 115)</p> <p><u>Resolution:</u> This investigation identified a subject; however, prosecution was declined because the subject did not appear to be a threat.</p>
OI-AR-2011- CFR-2775	4/5/2011	7/15/2011	<p><u>Allegation:</u> An employee of a grantee embezzled funds from the grantee by submitting false invoices, payroll advances, credit card charges and reimbursement requests. (18 USC 1341)</p> <p><u>Resolution:</u> The investigation determined that this matter had already been investigated by the United States Secret Service and resulted in a conviction. The employee was debarred for a period of five years.</p>
OI-RTP-2010- CAC-0571	8/23/2010	6/10/2011	<p><u>Allegation:</u> A city, that had received ARRA funds, used foreign made parts in violation of the Buy America Act. (18 USC 1001)</p> <p><u>Resolution:</u> The investigation determined that the parts were not paid for with ARRA funds.</p>

**EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011**

OI-RTP-2011- CFR-0006	10/12/2010	8/24/2011	<u>Allegation:</u> Contractor employees were "stealing" time by punching one another's time cards when late or not at work at all; others were mailing personal items and charging it to EPA's shipping accounts; and some were doing personal business on company time. The complainant also alleged that EPA equipment has gone missing. (18 USC 287, 641) <u>Resolution:</u> The issues with the time cards and missing equipment were unfounded. The employee who allegedly charged shipping costs to EPA resigned for other reasons.
OI-RTP-2011- CFR-2781	4/14/2011	8/24/2011	<u>Allegation:</u> A city that had received ARRA funds used foreign made parts in violation of the Buy America provisions of ARRA. (18 USC 1001) <u>Resolution:</u> The allegation was disproven and the case was closed.
OI-RTP-2011- CAC-2823	7/7/2011	9/22/2011	<u>Allegation:</u> Workers at an asbestos abatement site had falsified Asbestos Worker certifications. (18 USC 1001) <u>Resolution:</u> Closed - outside of OIG jurisdiction.
OI-DE-2010- CFR-0336	7/28/2010	6/10/2011	<u>Allegation:</u> ARRA-funded contracts were awarded to a foreign company to manufacture blowers for wastewater treatment facilities. It was alleged that the blowers were manufactured outside the United States in violation of the Buy American provision and no substantial transformation took place that would make them compliant with the Buy American provisions. (18 USC 1031) <u>Resolution:</u> The allegation was disproven.
2008-CS-0062	5/12/2008	6/22/2011	<u>Allegation:</u> Tribal treasurer may have embezzled grant funds (18 USC 1001, 666, and 1343) <u>Resolution:</u> The allegation was unfounded.
OI-DE-2010- CFR-0273	4/29/2010	7/15/2011	<u>Allegation:</u> A company manufactured its dewatering products, in this situation a "screw press", in Germany and in violation of the ARRA Buy American clause. (18 USC 371 and 1031) <u>Resolution:</u> It was determined that the work products appeared to comply with ARRA "substantial transformation" requirements. No additional investigative work was warranted.
OI-SA-2010- CFR-0279	5/7/2010	6/10/2011	<u>Allegation:</u> A tribal member was concerned with a tribe's financial management system when a review disclosed approximately \$60,469.86 in disallowed costs. Other discrepancies included duplicate entries in the tribe's invoices. (18 USC 641) <u>Resolution:</u> The investigation determined there was no evidence of fraudulent activity, just poor accounting systems.

EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
April 1, 2011 – September 30, 2011

2009-CS-0046	2/5/2009	7/25/2011	<p><u>Allegation:</u> An EPA employee altered and deleted several timekeeping records and gained access to another employee's EPA computer and altered electronic timekeeping records. (18 USC 1030 and 1001)</p> <p><u>Resolution:</u> The investigation disclosed the employee accessed and altered timekeeping records kept at another employee's desk to indicate he/she was in the office on days/hours when he/she was not. The employee entered into a pre-trial diversion agreement wherein any prosecution would be stayed for a period of one year as long as he/she did not violate the Agreement. The employee also entered into a Last Chance Agreement in which he/she admitted the charges constituted grounds for removal and EPA agreed to grant the employee's request for leniency and to hold his/her removal in abeyance until he/she is eligible for voluntary retirement [REDACTED] 2013.</p>
OI-CH-2010-CFR-0432	8/5/2010	4/6/2011	<p><u>Allegation:</u> A contractor used a foreign company for the water retention tank portion of an ARRA funded project. The contractor held a letter stating that their tanks are manufactured in the United States and use U.S. steel, but they are really fabricated in a foreign country. (18 USC 1001)</p> <p><u>Resolution:</u> EPA HQ determined that the products did not qualify as substantially transformed according to the applicable Buy America standards and guidance. The allegation concerning the contractor was proven, the contract has been terminated, and the investigation was closed.</p>
OI-CH-2011-CAC-2449	1/5/2011	7/8/2011	<p><u>Allegation:</u> Companies dumped or allowed significant toxic materials and or mixed wastes to be dumped in unauthorized locations; failed to accurately report to the proper authorities the level of toxic contamination; and failed to properly remove contamination in recycled materials (18 USC 371, 287, 1343, 1341, 1031, 1001)</p> <p><u>Resolution:</u> The alleged violations are within the jurisdiction of EPA-CID; this matter was referred to EPA-CID.</p>
2009-CS-0145	9/24/2009	9/21/2011	<p><u>Allegation:</u> An intrusion into an EPA computer application development site was detected and reported. (18 USC 1029, 1030)</p> <p><u>Resolution:</u> The investigation failed to identify the individual(s) who penetrated the computer network.</p>

EPA Office of Inspector General
List of Closed Audit Reports Issued
April 1, 2011 – September 30, 2011 not Available to the Public

Report No.	Report Title	Date Issued
Single Audit Reports		
11-3-0210	Seely Lake-Missoula County Water District - FY 2009	Apr. 26, 2011
11-3-0211	Sioux Falls SD FY 2009	Apr. 29, 2011
11-3-0216	Beaver City, Utah FY 2010	May 03, 2011
11-3-0218	Columbus, Town of, Montana FY 2010	May 05, 2011
11-3-0219	Billings-City of FY 2010	May 06, 2011
11-3-0220	Hanson Rural Water System Inc. FY 2010	May 06, 2011
11-3-0224	Lewis and Clark PSD 161 FY 2010	May 10, 2011
11-3-0225	Menno School District No. 33-2, South Dakota FY 2010	May 10, 2011
11-3-0226	Missoula, City of, Montana FY 2010	May 12, 2011
11-3-0227	Pablo Lake County Water and Sewer District Montana FY 2010	May 12, 2011
11-3-0229	Greybull, Town of, Wyoming FY 2010	May 20, 2011
11-3-0230	Star Valley Ranch, Town of, Wyoming FY 2010	May 20, 2011
11-3-0231	Superior, Town of, Montana FY 2010	May 20, 2011
11-3-0234	Clear Lake-City of FY 2009	May 24, 2011
11-3-0235	Potlatch-City of FY 2009	May 24, 2011
11-3-0236	Wisconsin-State of FY 2010	May 24, 2011
11-3-0237	Connecticut-State of FY 2010	May 24, 2011
11-3-0238	New Hampshire-State of FY 2010	May 24, 2011
11-3-0239	Alamo Sewer and Water General Improvement District, FY 2010	May 24, 2011
11-3-0240	Carson City, City of Nevada FY 2010	May 24, 2011
11-3-0242	North Dakota-State of	May 26, 2011
11-3-0243	Cave Creek, Town of, AZ FY 2010	May 26, 2011
11-3-0244	Eloy - City of, Arizona FY 2010	May 31, 2011
11-3-0245	Flagstaff, City of, Arizona FY 2010	May 31, 2011
11-3-0246	Boyce, Town of, Louisiana, FY 2010	Jun. 02, 2011
11-3-0247	Alexandria, City of LA FY 2010	Jun. 02, 2011
11-3-0249	Texas-State of FY 2010	Jun. 07, 2011
11-3-0250	California-State of FY 2010	Jun. 07, 2011
11-3-0251	Johnston - Town of, Rhode Island FY 2010	Jun. 13, 2011
11-3-0252	Spencer - Town of, Massachusetts FY 2010	Jun. 13, 2011
11-3-0253	Delcambre-Town of FY 2010	Jun. 13, 2011
11-3-0254	Louisiana-State of FY 2010	Jun. 13, 2011
11-3-0255	Vermont-State of FY 2010	Jun. 14, 2011
11-3-0256	Florida-State of FY 2010	Jun. 14, 2011
11-3-0257	Fresno Metropolitan Flood Control District FY 2010	Jun. 14, 2011
11-3-0258	Georgia-State of FY 2010	Jun. 14, 2011
11-3-0259	North Carolina-State of FY 2010	Jun. 14, 2011
11-3-0260	Mississippi-State of FY 2010	Jun. 14, 2011
11-3-0261	Mesa - City of AZ FY 2010	Jun. 14, 2011

Report No.	Report Title	Date Issued
11-3-0262	Tennessee-State of FY 2010	Jun. 14, 2011
11-3-0263	Arenzville-Village of FY 2010	Jun. 15, 2011
11-3-0264	Sault Ste. Marie-City of FY 2010	Jun. 15, 2011
11-3-0265	Mineral County School District, Nevada FY 2010	Jun. 16, 2011
11-3-0266	Maryville-City of FY 2010	Jun. 16, 2011
11-3-0267	Grand Ledge-City of FY 2010	Jun. 16, 2011
11-3-0268	Wheaton Sanitary District FY 2010	Jun. 16, 2011
11-3-0269	Arizona-State of FY 2010	Jun. 16, 2011
11-3-0270	Grass Valley, City of, California FY 2010	Jun. 16, 2011
11-3-0271	Evanston-City of FY 2010	Jun. 21, 2011
11-3-0272	Pershing County School District FY 2010	Jun. 22, 2011
11-3-0275	Long Beach, City of, CA FY 2009	Jun. 23, 2011
11-3-0276	WHY Domestic Water Improvement District, AZ - FY 2009	Jun. 23, 2011
11-3-0278	Alexandria-City of FY 2009	Jun. 23, 2011
11-3-0279	Elbow Lake-City of FY 2009	Jun. 23, 2011
11-3-0280	Astoria, City of, Oregon FY 2010	Jun. 23, 2011
11-3-0281	Wyoming-State of FY 2010	Jun. 24, 2011
11-3-0282	Bend, City of, Oregon FY 2010	Jun. 24, 2011
11-3-0283	Paris, City of, Missouri FY 2010	Jun. 24, 2011
11-3-0284	Gateway Metropolitan District FY 2009	Jun. 24, 2011
11-3-0285	California-City of FY 2010	Jun. 24, 2011
11-3-0286	Elk City-City of FY 2010	Jun. 24, 2011
11-3-0287	Dearborn-City of FY 2010	Jun. 24, 2011
11-3-0288	Shreveport-City of FY 2009	Jun. 24, 2011
11-3-0289	South Central Regional Water District FY 2009	Jun. 27, 2011
11-3-0290	Southeast Water Users FY 2009	Jun. 27, 2011
11-3-0291	Palmer-City of FY 2009	Jun. 28, 2011
11-3-0292	Lower Columbia River Estuary Partnership	Jun. 28, 2011
11-3-0293	Harry S. Truman Water Supply District #2	Jun. 28, 2011
11-3-0294	Westwood Community Services District FY 2010	Jun. 28, 2011
11-3-0295	Toledo, City of, Iowa FY 2010	Jun. 28, 2011
11-3-0296	West Bloomfield-Charter Township of FY 2009	Jun. 28, 2011
11-3-0297	St. Ignace-City of FY 2009	Jun. 29, 2011
11-3-0298	Menasha-Town of FY 2009	Jun. 29, 2011
11-3-0299	Van Buren-Township of FY 2009	Jun. 29, 2011
11-3-0301	Rock Valley, City of, IA FY 2010	Jun. 29, 2011
11-3-0302	Washington-State of FY 2010	Jun. 29, 2011
11-3-0303	Houston, City of, Missouri FY 2009	Jun. 29, 2011
11-3-0304	Nebraska-State of FY 2010	Jun. 29, 2011
11-3-0305	Missouri-State of FY 2010	Jun. 29, 2011
11-3-0306	West Virginia-State of FY 2010	Jun. 30, 2011
11-3-0307	Lowell MA - City of FY 2010	Jun. 30, 2011
11-3-0308	Sioux City - City of IA FY 2010	Jun. 30, 2011
11-3-0309	Wymore - City of, Nebraska FY 2010	Jun. 30, 2011
11-3-0310	Cartersville - City of MO FY 2010	Jun. 30, 2011

Report No.	Report Title	Date Issued
11-3-0311	Delaware-State of FY 2010	Jun. 30, 2011
11-3-0312	South Carolina State Ports Authority FY 2010	Jul. 05, 2011
11-3-0313	Cameron, City of, Missouri FY 2010	Jul. 05, 2011
11-3-0314	Pittsfield MA - City of FY 2010	Jul. 05, 2011
11-3-0316	Montgomery County Community College PA - FY 2010	Jul. 11, 2011
11-3-0317	Culpeper VA -Town of FY 2010	Jul. 11, 2011
11-3-0318	Mountain Lake Park MD - Town of FY 2010	Jul. 11, 2011
11-3-0319	Provincetown MA - Town of FY 2010	Jul. 11, 2011
11-3-0320	Berlin MD - Town of FY 2010	Jul. 12, 2011
11-3-0321	New Jersey-State of FY 2010	Jul. 12, 2011
11-3-0322	New Mexico Environment Department FY 2010	Jul. 13, 2011
11-3-0323	Alliance, City of, Nebraska FY 2010	Jul. 13, 2011
11-3-0324	Dyersville, City of, Iowa 2010	Jul. 13, 2011
11-3-0325	Federalsburg MD - Mayor & Council of FY 2010	Jul. 13, 2011
11-3-0326	Garrett MD - County of FY 2010	Jul. 13, 2011
11-3-0327	Tekamah - City of NE FY 2010	Jul. 13, 2011
11-3-0328	Pittsburg, City of, Kansas FY 2010	Jul. 13, 2011
11-3-0329	Delmar MD - Mayor and Commissioners of FY 2010	Jul. 13, 2011
11-3-0330	Jane Lew WV - Public Service District FY 2010	Jul. 13, 2011
11-3-0331	Elkins WV - City of FY 2010	Jul. 13, 2011
11-3-0332	Macomb - City of IL FY 2010	Jul. 13, 2011
11-3-0334	Kansas State of FY 2010	Jul. 14, 2011
11-3-0335	Taunton MA - City of FY 2009	Jul. 14, 2011
11-3-0336	North Dakota Public Financing Authority FY 2010	Jul. 14, 2011
11-3-0337	Allegan MI - City of FY 2010	Jul. 15, 2011
11-3-0338	Allen Park MI - City of FY 2010	Jul. 15, 2011
11-3-0339	Ann Arbor MI - City of FY 2010	Jul. 15, 2011
11-3-0340	Battle Creek MI - City of FY 2010	Jul. 15, 2011
11-3-0341	Center Line MI - City of FY 2010	Jul. 15, 2011
11-3-0342	Fraser MI - City of FY 2010	Jul. 15, 2011
11-3-0343	Puerto Rico Water Pollution Control Revolving Fund FY 2010	Jul. 15, 2011
11-3-0344	Gering - City of NE FY 2010	Jul. 15, 2011
11-3-0345	Nebraska - University of FY 2010	Jul. 15, 2011
11-3-0346	Laurens - City of IA FY 2010	Jul. 15, 2011
11-3-0347	Sac City - City of IA FY 2010	Jul. 15, 2011
11-3-0348	Sidney - City of NE FY 2010	Jul. 15, 2011
11-3-0349	Baxter- City of IA FY 2010	Jul. 15, 2011
11-3-0350	Schuyler - City of NE FY 2010	Jul. 15, 2011
11-3-0351	Duquesne - City of MO FY 2010	Jul. 15, 2011
11-3-0352	Sutherland, Village of NE FY 2010	Jul. 15, 2011
11-3-0353	Garner - City of IA FY 2010	Jul. 15, 2011
11-3-0354	Wyoming- City of IA FY 2010	Jul. 15, 2011
11-3-0355	Tipton - City of MO FY 2010	Jul. 15, 2011
11-3-0356	Merrimack NH - Town of FY 2010	Jul. 18, 2011
11-3-0357	St. Albans VT - City of FY 2010	Jul. 18, 2011

Report No.	Report Title	Date Issued
11-3-0358	Brewster MA - Town of FY 2010	Jul. 18, 2011
11-3-0359	Pioneer Valley Planning Commission MA - FY 2010	Jul. 18, 2011
11-3-0360	Whitehall City of FY 2009	Jul. 18, 2011
11-3-0361	Cosmos City of FY 2009	Jul. 18, 2011
11-3-0363	Afton-Town of FY 2010	Jul. 19, 2011
11-3-0364	Ava-City of FY 2010	Jul. 19, 2011
11-3-0365	Dover NC - Town of FY 2010	Jul. 19, 2011
11-3-0366	Greenwood SC - Metropolitan District FY 2010	Jul. 19, 2011
11-3-0367	Termille GA - City of FY 2010	Jul. 19, 2011
11-3-0368	L'anse MI - Village of FY 2010	Jul. 19, 2011
11-3-0369	Metropolitan Council of the Twin Cities Area MN - FY	Jul. 19, 2011
11-3-0370	Old Straitsville Water Association Inc OH - FY 2010	Jul. 19, 2011
11-3-0371	Fontana Walworth Water Pollution Control Commission FY 2009	Jul. 20, 2011
11-3-0372	Energy United Water Corporation	Jul. 20, 2011
11-3-0373	Graham NC - City of FY 2010	Jul. 20, 2011
11-3-0374	Burlington Municipal Waterworks (IA) FY 2010	Jul. 20, 2011
11-3-0375	Greene NC - County of FY 2010	Jul. 20, 2011
11-3-0376	Fort Madison-City of (IA) FY 2010	Jul. 20, 2011
11-3-0377	Helen GA - City of FY 2010	Jul. 20, 2011
11-3-0378	Storey County School District-FY 2010	Jul. 20, 2011
11-3-0380	Encampment - Town of WY FY 2010	Jul. 21, 2011
11-3-0381	Mobridge-Pollock School District 62-2, South Dakota FY 2010	Jul. 21, 2011
11-3-0382	Pine Bluffs - Town of, Wyoming FY 2010	Jul. 21, 2011
11-3-0383	Townsend - City of, Montana FY 2010	Jul. 21, 2011
11-3-0384	Urbana - City of, Iowa FY 2010	Jul. 21, 2011
11-3-0385	Winifred - Town of, Montana FY 2010	Jul. 21, 2011
11-3-0387	Truckees Meadow Water Authority NV FY 2010	Jul. 25, 2011
11-3-0388	Bremerton - City of WA FY 2009	Jul. 25, 2011
11-3-0389	Keokuk Municipal Waterworks (IA) FY 2010	Jul. 25, 2011
11-3-0390	Yankton - City of SD FY 2010	Jul. 25, 2011
11-3-0391	Knoxville-City of (IA) FY 2010	Jul. 25, 2011
11-3-0392	Livingston-City of (MT) FY 2010	Jul. 25, 2011
11-3-0393	Monroe County-Public Water Supply District No. 2 of (MO)	Jul. 25, 2011
11-3-0394	Rock Falls-City of FY 2010	Jul. 25, 2011
11-3-0395	Rockford-City of (IL) FY 2009	Jul. 25, 2011
11-3-0396	Saratoga-Town of (WY) FY 2010	Jul. 25, 2011
11-3-0398	Onarga IL - Village of FY 2010	Jul. 25, 2011
11-3-0399	Guam Waterworks Authority FY 2010	Jul. 25, 2011
11-3-0400	Peru IL - City of FY 2010	Jul. 25, 2011
11-3-0401	Pound VA - Town of FY 2010	Jul. 26, 2011
11-3-0402	Chelyan Public Service District WV FY 2010	Jul. 26, 2011
11-3-0403	Sun Valley Public Service District WV FY 2010	Jul. 26, 2011
11-3-0404	Marmet WV - Municipality of FY 2010	Jul. 26, 2011
11-3-0405	Winfield WV - Municipality of FY 2010	Jul. 26, 2011
11-3-0406	Clarksburg Sanitary Board WV FY 2010	Jul. 26, 2011

Report No.	Report Title	Date Issued
11-3-0407	Westminster MD - City of FY 2010	Jul. 26, 2011
11-3-0408	Hanover NH - Town of FY 2010	Jul. 26, 2011
11-3-0409	Cavalier - City of ND FY 2010	Jul. 26, 2011
11-3-0410	Shaftsbury VT - Town of FY 2010	Jul. 26, 2011
11-3-0411	Gloucester MA - City of FY2010	Jul. 26, 2011
11-3-0412	Dracut MA - Town of FY 2010	Jul. 26, 2011
11-3-0413	Suburban Lock Haven Water Authority PA FY 2010	Jul. 26, 2011
11-3-0414	Dalles - City of, Oregon FY 2010	Jul. 27, 2011
11-3-0415	Utah Rural Water Association FY 2010	Jul. 28, 2011
11-3-0416	Virginia City - Town of MT FY 2010	Jul. 29, 2011
11-3-0417	Pine Haven - Town of WY FY 2010	Jul. 29, 2011
11-3-0418	East Helena - City of MT FY 2010	Jul. 29, 2011
11-3-0419	Lewistown - City of MT FY 2010	Jul. 29, 2011
11-3-0420	Butte Silver Bow - City and County of MT FY 2010	Jul. 29, 2011
11-3-0421	Enderlin - City of ND FY 2010	Jul. 29, 2011
11-3-0422	Miles City - City of MT FY 2010	Jul. 29, 2011
11-3-0423	Missoula - County of MT FY 2010	Jul. 29, 2011
11-3-0424	Rockland MA - Town of FY 2010	Jul. 29, 2011
11-3-0425	Brattleboro VT - Town of FY 2010	Jul. 29, 2011
11-3-0426	Bristol RI - Town of FY 2010	Jul. 29, 2011
11-3-0427	Bristol VT - Town of FY 2010	Jul. 29, 2011
11-3-0428	Hartford VT - Town of FY 2010	Jul. 29, 2011
11-3-0432	Canastota - Village of (NY) FY 2010	Aug. 03, 2011
11-3-0434	Cape Charles - Municipal Corporation of (VA) FY 2010	Aug. 03, 2011
11-3-0435	Rutland VT - City of FY 2010	Aug. 08, 2011
11-3-0436	Marlinton WV - Municipality of FY 2010	Aug. 08, 2011
11-3-0437	Hertford NC - Town of FY 2010	Aug. 08, 2011
11-3-0438	Lake Lure NC - Town of FY 2010	Aug. 08, 2011
11-3-0439	Louisburg NC - Town of FY 2010	Aug. 08, 2011
11-3-0440	Milledgeville GA - City of FY 2010	Aug. 08, 2011
11-3-0441	Lula GA - City of FY 2010	Aug. 08, 2011
11-3-0442	Montevallo AL - City of FY 2010	Aug. 08, 2011
11-3-0443	Orangeburg SC - City of FY 2010	Aug. 08, 2011
11-3-0444	Coon's Run Public Service District WV FY 2010	Aug. 09, 2011
11-3-0445	Dale - Borough of (PA) FY 2010	Aug. 09, 2011
11-3-0446	Pennsboro WV - City of FY 2010	Aug. 09, 2011
11-3-0447	Salem WV - Municipality of FY 2010	Aug. 09, 2011
11-3-0448	Bath WV - Municipality of FY 2010	Aug. 09, 2011
11-3-0449	Oceana WV - Town of FY 2010	Aug. 10, 2011
11-3-0450	Miami Dade Water & Sewer Dept FL FY 2010	Aug. 10, 2011
11-3-0451	Frederick MD - County of FY 2010	Aug. 10, 2011
11-3-0452	Houston Authority of Harris County TX - Port of FY 2009	Aug. 11, 2011
11-3-0454	Natchitoches LA - City of FY 2010	Aug. 11, 2011
11-3-0455	College Park - City of (GA) FY 2010	Aug. 11, 2011
11-3-0456	Clinton School District (IA) FY 2010	Aug. 11, 2011

Report No.	Report Title	Date Issued
11-3-0457	Big Bear Lake - City of (CA) FY 2010	Aug. 12, 2011
11-3-0458	Central Shoshone County Water District (ID) FY 2010	Aug. 15, 2011
11-3-0459	Clackamas County (OR) FY 2010	Aug. 15, 2011
11-3-0460	Emeryville - City of (CA) FY 2010	Aug. 15, 2011
11-3-0461	Georgia Environmental Finance Authority 2010	Aug. 16, 2011
11-3-0462	Harrarah-City of FY 2010	Aug. 16, 2011
11-3-0463	American Samoa-Territory of FY 2010	Aug. 16, 2011
11-3-0464	Fairview - City of (OR) FY 2010	Aug. 16, 2011
11-3-0465	Leon-City of FY 2010	Aug. 16, 2011
11-3-0466	Gold Ridge Resource Conservation District (CA) FY 2010	Aug. 16, 2011
11-3-0467	Kauai-County of Dept of Water (HI) FY 2010	Aug. 16, 2011
11-3-0468	Lander County School Dist (NV) FY 2010	Aug. 16, 2011
11-3-0469	Illinois-University of FY 2009	Aug. 17, 2011
11-3-0470	Colorado Water Resources and Power Development Authority FY 2010	Aug. 17, 2011
11-3-0471	Charles City IA - City of FY 2010	Aug. 17, 2011
11-3-0472	Dubuque IA - City of FY 2010	Aug. 17, 2011
11-3-0473	Los Penasquitos Lagoon Foundation (CA) FY 2010	Aug. 17, 2011
11-3-0474	Pittsfield IL - City of FY 2010	Aug. 17, 2011
11-3-0475	Westmont IL - Village of FY 2010	Aug. 17, 2011
11-3-0476	Aiken - County of (SC) FY 2010	Aug. 17, 2011
11-3-0477	Pontiac MI - City of FY 2010	Aug. 17, 2011
11-3-0478	Westland MI - City of FY 2010	Aug. 17, 2011
11-3-0479	Columbia - City of (SC) FY 2010	Aug. 17, 2011
11-3-0480	Houston TX - City of FY 2010	Aug. 17, 2011
11-3-0481	Jeanerette LA - City of FY 2010	Aug. 17, 2011
11-3-0482	Oklahoma OK - City of FY 2010	Aug. 17, 2011
11-3-0483	San Juan NM - County of FY 2010	Aug. 17, 2011
11-3-0484	Lemoore CA - City of FY 2010	Aug. 17, 2011
11-3-0485	Louisa KY - City of FY 2010	Aug. 17, 2011
11-3-0486	LaBarge WY - Town of FY 2010	Aug. 17, 2011
11-3-0487	Dona Ana Mutual Water Consumers Association (NM) FY 2010	Aug. 17, 2011
11-3-0488	Dover - City of (NH) FY 2010	Aug. 18, 2011
11-3-0489	Highlands - Town of (NC) FY 2010	Aug. 18, 2011
11-3-0490	Machias - Town of (ME) FY 2010	Aug. 18, 2011
11-3-0491	Johnson - Village of (VT) FY 2009	Aug. 18, 2011
11-3-0492	Northside Industrial Development Company (PA) FY	Aug. 18, 2011
11-3-0493	Volant - Borough of (PA) FY 2010	Aug. 18, 2011
11-3-0494	Pittsfield Charter - Township of (MI) FY 2010	Aug. 18, 2011
11-3-0495	Keokuk IA - City of FY 2010	Aug. 22, 2011
11-3-0496	Hartley IA - City of FY 2010	Aug. 22, 2011
11-3-0497	Linn Creek MO - City of FY 2010	Aug. 22, 2011
11-3-0498	Williamstown KY - City of FY 2010	Aug. 22, 2011
11-3-0499	Pendleton SC - Town of FY 2010	Aug. 22, 2011
11-3-0500	Franklin TN - City of FY 2010	Aug. 22, 2011
11-3-0501	Carroll County OH - FY 2009	Aug. 22, 2011

Report No.	Report Title	Date Issued
11-3-0502	Redwood Falls MN - City of FY 2009	Aug. 22, 2011
11-3-0503	Grand Chute-Menasha West Sewerage Commission WI FY 2010	Aug. 22, 2011
11-3-0504	Lansing MI - City of FY 2010	Aug. 22, 2011
11-3-0505	Crossville TN - City of FY2010	Aug. 22, 2011
11-3-0506	Paulding GA - County of FY 2010	Aug. 22, 2011
11-3-0507	Richmond Water Gas & Sewerage Works KY - FY 2010	Aug. 22, 2011
11-3-0508	Ronda NC - Town of	Aug. 22, 2011
11-3-0509	Youngsville NC - Town of FY 2010	Aug. 22, 2011
11-3-0510	Murphy NC - Town of FY 2010	Aug. 23, 2011
11-3-0511	Pioneer Rural Water District of Oconee and Anderson Counties SC - FY 2010	Aug. 23, 2011
11-3-0512	Carroll County Water Authority GA - FY 2010	Aug. 23, 2011
11-3-0513	Princeton Water and Wastewater (KY) FY 2010	Aug. 23, 2011
11-3-0514	Rawlins - City of (WY) FY 2010	Aug. 23, 2011
11-3-0515	Cresson - Borough of Municipal Authority (PA) FY 2010	Aug. 24, 2011
11-3-0516	Calvert City KY - City of FY 2010	Aug. 24, 2011
11-3-0517	Caswell Beach NC - Town of FY 2010	Aug. 24, 2011
11-3-0518	Paintsville KY - City of FY 2010	Aug. 24, 2011
11-3-0520	Bloomingtondale Utility District of Sullivan County TN - FY 2010	Aug. 24, 2011
11-3-0521	Monteagle TN - Town of FY 2010	Aug. 24, 2011
11-3-0522	Russellville KY - City of FY 2010	Aug. 24, 2011
11-3-0523	Southport NC - City of FY 2010	Aug. 24, 2011
11-3-0524	Desoto County MS - Regional Utility Authority FY 2010	Aug. 24, 2011
11-3-0525	New York NY - City of FY 2010	Aug. 24, 2011
11-3-0526	Cold Springs Rancheria of Mono Indians - FY 2009	Aug. 24, 2011
11-3-0528	Puerto Rico-Commonwealth of Environmental Quality Board FY 2009	Aug. 25, 2011
11-3-0529	Greenport NY - Incorporated Village of FY 2010	Aug. 25, 2011
11-3-0530	Richfield Springs NY - Village of FY 2010	Aug. 25, 2011
11-3-0531	Amsterdam NY - City of FY 2010	Aug. 25, 2011
11-3-0532	Ventura CA - County of FY 2010	Aug. 25, 2011
11-3-0533	St Helens - City of (OR) FY 2010	Aug. 25, 2011
11-3-0535	Anaconda Deer Lodge - County of (MT) FY 2010	Aug. 25, 2011
11-3-0536	Valdosta GA - City of FY 2010	Aug. 25, 2011
11-3-0537	Franklin - City of (LA) FY 2010	Aug. 25, 2011
11-3-0539	Taos NM - Town of FY 2010	Aug. 25, 2011
11-3-0540	Volunteers of America of North Louisiana LA - FY 2010	Aug. 25, 2011
11-3-0541	West Monroe LA - City of FY 2010	Aug. 25, 2011
11-3-0542	Harris County Municipal Utility District No. 148 TX - FY 2010	Aug. 25, 2011
11-3-0543	Morro Bay - Bay Foundation of (CA) FY 2010	Aug. 25, 2011
11-3-0544	Winnfield LA - City of FY 2010	Aug. 25, 2011
11-3-0546	Ten Sleep WY - Town of FY 2010	Aug. 25, 2011
11-3-0547	Hagerstown MD - City of FY 2010	Aug. 26, 2011
11-3-0548	San Francisco CA - City and County of FY 2010	Aug. 26, 2011
11-3-0549	Watford City - City of (ND) FY 2009	Aug. 25, 2011
11-3-0550	Columbia NC - Town of FY 2010	Aug. 26, 2011
11-3-0551	California CA - University of FY 2010	Aug. 26, 2011

Report No.	Report Title	Date Issued
11-3-0552	Colfax CA - City of FY 2010	Aug. 26, 2011
11-3-0553	Pima AZ - County of FY 2010	Aug. 26, 2011
11-3-0554	South Tucson AZ - City of FY 2010	Aug. 26, 2011
11-3-0555	Brawley CA - City of FY 2010	Aug. 26, 2011
11-3-0556	Delano CA - City of FY 2010	Aug. 26, 2011
11-3-0557	Los Angeles Department of Water and Power--Water System LA FY 2010	Aug. 26, 2011
11-3-0558	Maui HI - County of FY 2010	Aug. 26, 2011
11-3-0559	Mendocino CA - County of FY 2010	Aug. 26, 2011
11-3-0560	Adrian MO - City of FY 2010	Aug. 26, 2011
11-3-0561	Deming NM - City of FY 2010	Aug. 29, 2011
11-3-0562	Rio Rancho NM - City of FY 2010	Aug. 29, 2011
11-3-0563	Ruidoso Downs NM - City of FY 2010	Aug. 29, 2011
11-3-0564	Del City OK - City of FY 2010	Aug. 29, 2011
11-3-0565	Payson AZ - Town of FY 2010	Aug. 29, 2011
11-3-0566	Northeast States for Coordinated Air Use Management Inc (MA) FY 2010	Sep. 01, 2011
11-3-0567	Alachua - City of (FL) FY 2010	Sep. 02, 2011
11-3-0568	Amarillo - City of (TX) FY 2010	Sep. 02, 2011
11-3-0569	Ascension Consolidated Utilities District No 1 (LA) FY 2010	Sep. 02, 2011
11-3-0570	Picuris Pueblo (NM) FY 2008	Sep. 02, 2011
11-3-0571	Hawaii HI - County of FY 2010	Sep. 07, 2011
11-3-0572	Bennettsville SC - City of FY 2010	Sep. 07, 2011
11-3-0573	Atoka TN - Town of FY 2010	Sep. 07, 2011
11-3-0574	Wilmar Union School District CA - FY 2010	Sep. 07, 2011
11-3-0575	Randolph MA - Town of FY 2010	Sep. 07, 2011
11-3-0576	Bushnell - City of (FL) FY 2010	Sep. 07, 2011
11-3-0577	Central Oklahoma Master Conservancy District (OK) FY 2010	Sep. 07, 2011
11-3-0578	Cullen - Town of (LA) FY 2010	Sep. 07, 2011
11-3-0579	Arlington School District VT - FY 2010	Sep. 07, 2011
11-3-0580	North Richland Hills TX - City of FY 2010	Sep. 07, 2011
11-3-0581	Wilmington DE - City of FY 2010	Sep. 07, 2011
11-3-0582	St. Augustine Beach FL - City of FY 2010	Sep. 08, 2011
11-3-0583	Tohopekaliga Water Authority FL - FY 2010	Sep. 08, 2011
11-3-0584	Natchez Water Works MS - FY 2010	Sep. 08, 2011
11-3-0585	Bird Island MN - City of FY 2010	Sep. 08, 2011
11-3-0586	Honolulu - City and County of (HI) FY 2010	Sep. 08, 2011
11-3-0587	Fort Worth TX - City of FY 2010	Sep. 08, 2011
11-3-0588	Ardmore OK - City of FY 2010	Sep. 08, 2011
11-3-0589	Maricopa Domestic Water Improvement District (AZ) FY 2010	Sep. 08, 2011
11-3-0590	Crowley LA -City of FY 2010	Sep. 08, 2011
11-3-0591	Labelle FL - City of FY 2010	Sep. 08, 2011
11-3-0592	North Miami Beach FL - City of FY 2010	Sep. 08, 2011
11-3-0593	White Lake MI - Charter Township of FY 2010	Sep. 08, 2011
11-3-0594	Mercedes TX - City of FY 2010	Sep. 08, 2011
11-3-0595	Opelousas LA - City of FY 2010	Sep. 08, 2011
11-3-0596	Clewiston FL - City of FY 2010	Sep. 08, 2011

Report No.	Report Title	Date Issued
11-3-0598	Elko Band Council (NV) FY 2010	Sep. 09, 2011
11-3-0599	Peoria-City of(IL) FY 2010	Sep. 12, 2011
11-3-0600	Fortville-Town of (IN) FY 2009	Sep. 12, 2011
11-3-0601	Alda NE - Village of FY 20 10	Sep. 12, 2011
11-3-0602	Bennet NE - Village of FY 2010	Sep. 12, 2011
11-3-0603	Malcolm NE - Village of FY 2010	Sep. 12, 2011
11-3-0604	Atlanta MO - City of FY 2010	Sep. 12, 2011
11-3-0605	R & T Water Supply Association ND - FY 2009	Sep. 12, 2011
11-3-0606	Edgewater FL - City of FY 2010	Sep. 12, 2011
11-3-0607	Ohio County Regional Wastewater District Inc KY - FY 2009	Sep. 12, 2011
11-3-0608	Wanaque Valley Regional Sewerage Authority NJ - FY 2010	Sep. 12, 2011
11-3-0609	Casselberry FL - City of FY 2010	Sep. 12, 2011
11-3-0610	Cocoa FL - City of FY 2010	Sep. 12, 2011
11-3-0611	Grand Ridge FL - Town of FY 2010	Sep. 12, 2011
11-3-0612	North Salem NY -Town of FY 20 10	Sep. 12, 2011
11-3-0613	Amherst NY - Town of FY 2010	Sep. 12, 2011
11-3-0614	Center for Creative Land Recycling (CA) FY 2009	Sep. 12, 2011
11-3-0615	Lancaster-City of (PA) FY 2010	Sep. 12, 2011
11-3-0617	Cabazon Band of Mission Indians (CA) FY 2010	Sep. 13, 2011
11-3-0618	Battelle Memorial Institute (OH) FY 2010	Sep. 14, 2011
11-3-0619	Carrabelle FL - City of FY 2010	Sep. 14, 2011
11-3-0620	Alexandria MN - City of FY 2010	Sep. 14, 2011
11-3-0621	Auburn Hills MI - City of	Sep. 14, 2011
11-3-0622	Baudette MN - City of FY 2010	Sep. 14, 2011
11-3-0623	Big Lake MN - City of FY 2010	Sep. 14, 2011
11-3-0624	Brainerd MN - City of FY 2010	Sep. 14, 2011
11-3-0625	Fortville IN - Town of FY 2010	Sep. 14, 2011
11-3-0626	Litchfield MN - City of FY 2010	Sep. 14, 2011
11-3-0627	Lynchburg OH - Village of FY 2010	Sep. 14, 2011
11-3-0628	Gwinnett County GA - FY 2010	Sep. 14, 2011
11-3-0629	Eatonton-Putnam Water & Sewer Authority GA - FY 2010	Sep. 14, 2011
11-3-0631	Churubusco IN - Town of FY 2010	Sep. 14, 2011
11-3-0632	Turtle Lake WI - Village of FY 2010	Sep. 14, 2011
11-3-0633	Liberty IN - Town of FY 2010	Sep. 14, 2011
11-3-0634	Two Rivers WI - City of FY 2010	Sep. 14, 2011
11-3-0635	Marinette WI - City of FY 2010	Sep. 14, 2011
11-3-0636	Evansville WI - City of FY 2010	Sep. 14, 2011
11-3-0637	Elephant Butte - City of(NM) FY 2010	Sep. 14, 2011
11-3-0638	Colby-City of (KS) FY 2010	Sep. 14, 2011
11-3-0639	Bonifay FL - City of FY 2010	Sep. 15, 2011
11-3-0640	Hollywood FL - City of FY 2010	Sep. 15, 2011
11-3-0641	Apalachicola FL - City of FY 2010	Sep. 15, 2011
11-3-0642	Winona MS - City of FY 2010	Sep. 15, 2011
11-3-0643	BDW Water System Association ND - FY 2010	Sep. 15, 2011
11-3-0644	St. Ignace MI - City of FY 2010	Sep. 15, 2011

Report No.	Report Title	Date Issued
11-3-0645	Grace-City of (ID) FY 2010	Sep. 16, 2011
11-3-0646	Eunice - City of (LA) FY 2010	Sep. 16, 2011
11-3-0647	Grand Isle - Town of (LA) FY 2010	Sep. 16, 2011
11-3-0648	Illinois-State of FY 2010	Sep. 16, 2011
11-3-0649	Del Norte - County of (CA) FY 2010	Sep. 19, 2011
11-3-0650	Port Authority of the City of Saint Paul MN- FY 2010	Sep. 19, 2011
11-3-0651	Stanley WI - City of FY 2010	Sep. 19, 2011
11-3-0652	Van Buren MI - Charter Township for FY 2010	Sep. 19, 2011
11-3-0653	Bucklin KS - City of FY 2010	Sep. 19, 2011
11-3-0654	Public Water Supply District #8 of Clay County MO - FY 2010	Sep. 19, 2011
11-3-0655	Cole County Public Water Supply District No. 4 MO - FY 2010	Sep. 19, 2011
11-3-0656	Sharpsville PA - Borough of FY 2010	Sep. 19, 2011
11-3-0657	Webster SD - City of FY 2010	Sep. 19, 2011
11-3-0658	Amherst WI - Village of FY 2010	Sep. 19, 2011
11-3-0659	Woodland - City of (CA) FY 2010	Sep. 19, 2011
11-3-0660	Two Harbors - City of (MN) FY 2010	Sep. 19, 2011
11-3-0661	Monticello FL - City of FY 2010	Sep. 19, 2011
11-3-0662	Sebring FL - City of FY 2010	Sep. 19, 2011
11-3-0663	Tampa FL - City of FY 2010	Sep. 19, 2011
11-3-0664	Bayou Descannes Water System Inc LA - FY 2010	Sep. 19, 2011
11-3-0665	Bogalusa LA - City of FY 2010	Sep. 19, 2011
11-3-0666	Caddo Parish Commission LA - FY 2010	Sep. 19, 2011
11-3-0667	Ipswich SD - City of FY 2010	Sep. 19, 2011
11-3-0668	Snyderville Basin Water Reclamation District UT - FY 2010	Sep. 19, 2011
11-3-0669	Milbank SD - City of FY 2010	Sep. 20, 2011
11-3-0670	Lead SD - City of FY 2010	Sep. 20, 2011
11-3-0671	Minneapolis MN - City of FY 2010	Sep. 20, 2011
11-3-0672	West Bloomfield MI - Charter Township of FY 2010	Sep. 20, 2011
11-3-0673	Whitewater WI - City of FY 2010	Sep. 20, 2011
11-3-0674	Foley MN - City of FY 2010	Sep. 20, 2011
11-3-0675	Duluth MN - City of FY 2010	Sep. 20, 2011
11-3-0676	Hammond - City of (LA) FY 2010	Sep. 20, 2011
11-3-0677	Ball LA - Town of FY 2010	Sep. 20, 2011
11-3-0678	Latino Community Development Agency (OK) FY 2010	Sep. 20, 2011
11-3-0679	Anderson IN - City of FY 2010	Sep. 20, 2011
11-3-0680	Lomira WI - Village of FY 2010	Sep. 20, 2011
11-3-0681	Maquoketa - City of (IA) FY 2010	Sep. 20, 2011
11-3-0682	Stetsonville WI - Village of FY 2010	Sep. 20, 2011
11-3-0683	Superior WI - City of FY 2010	Sep. 20, 2011
11-3-0684	Whitestown IN - Town of FY 2010	Sep. 20, 2011
11-3-0685	East Allen Parish Waterworks District LA - FY 2010	Sep. 20, 2011
11-3-0686	Denton County Fresh Water Supply District No. 1-A TX FY 2010	Sep. 20, 2011
11-3-0688	Clay Rural Water System Inc SD - FY 2010	Sep. 21, 2011
11-3-0689	Austin TX - City of FY 2010	Sep. 21, 2011
11-3-0690	Aberdeen SD - City of FY 2010	Sep. 21, 2011

Report No.	Report Title	Date Issued
11-3-0691	Northwood ND - City of FY 2010	Sep. 21, 2011
11-3-0692	Vanderbilt University TN - FY 2010	Sep. 21, 2011
11-3-0693	Foster PA - Township FY 2009	Sep. 21, 2011
11-3-0694	Glen Falls NY - City of FY 2010	Sep. 21, 2011
11-3-0695	Franklin PA - Township FY 2009	Sep. 21, 2011
11-3-0696	Naples FL - City of FY 2010	Sep. 21, 2011
11-3-0698	Santa Cruz County-Resource Conservation District of (CA) FY 2010	Sep. 22, 2011
11-3-0703	San Andreas Sanitary District (CA) FY 2010	Sep. 26, 2011
11-3-0704	Crooked Creek Traditional Council (AK) FY 2008	Sep. 26, 2011
11-3-0707	Inkom-City of (ID) FY 2010	Sep. 26, 2011
11-3-0710	Clean Fuels Ohio FY 2010	Sep. 27, 2011
11-3-0711	Eureka - County of (NV) FY 2010	Sep. 27, 2011
11-3-0712	Caneadea - Town of (NY) FY 2010	Sep. 27, 2011
11-3-0713	Nicholson Water & Sewer Association Inc MS - FY 2010	Sep. 27, 2011
11-3-0714	Red Lake Falls MN - City of FY 2010	Sep. 27, 2011
11-3-0715	Pear River County Utility Authority MS - FY 2010	Sep. 29, 2011
11-3-0716	Sopchoppy FL - City of FY 2010	Sep. 29, 2011
11-3-0717	Tupelo MS - City of FY 2010	Sep. 29, 2011
11-3-0718	Weaver AL - City of FY 2010	Sep. 29, 2011
11-3-0719	Philippi WV - City of FY 2010	Sep. 29, 2011
11-3-0720	Silver Creek MO - Village of FY 2010	Sep. 29, 2011
11-3-0721	San Francisco Redevelopment Agency CA - FY 2010	Sep. 29, 2011
11-3-0723	Santee Sioux Nation NE - Organizational Unit for State and Federal Awards of FY 2010	Sep. 29, 2011
11-3-0724	Canton SD - City of FY 2010	Sep. 29, 2011
Contract Audits		
11-4-0709	Mixed Funding Claim No.1, Iron Horse Park Superfund Site, Billerica, Massachusetts	Sep. 27, 2011
Information Resources Management Audits		
11-P-0429	Results of Technical Network Vulnerability Assessment: EPA's National Health & Environment Effect Research Laboratory, Western Ecology Division	Aug. 3, 2011
11-P-0597	Results of Technical Vulnerability Assessment: EPA's Directory Service System Authentication and Authorization Servers	Sep. 9, 2011
11-P-0725	Region 9 Technical and Computer Room Security Vulnerabilities Increase Risk to EPA's Network	Sep. 30, 2011



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

MAY 14 2012

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee of Homeland Security
and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed are listings of closed audits and investigations conducted by the U.S. Environmental Protection Agency (EPA) Office of Inspector General (OIG) not disclosed to the public for the period October 1, 2011 to March 31, 2012. This responds to your continuing request for biannual reports of this information. The OIG makes every attempt to publicly disclose its work within the parameters of the law and to the extent that it is practical. The audit reports listed were not disclosed because of concerns that they contain confidential business information or technical findings of a sensitive nature, or that the audits were conducted by other organizations. For the investigations listed, summary information has been provided identifying the allegations received and their resolution. Names and other identifying information have been redacted due to Privacy Act concerns. This format is similar to our last report to you.

Thank you for your continued support of the work we do as Inspectors General. If you would like additional information on any audit or investigation listed, please contact Eileen McMahon, Assistant Inspector General for Congressional and Public Affairs, at (202) 566-2391. An identical letter is being provided to Senator Grassley.

Sincerely,

A handwritten signature in black ink, appearing to read "A. Elkins, Jr.", is written over the word "Sincerely".

Arthur A. Elkins, Jr.

Enclosures

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
Single Audit Reports		
12-3-0001	Dade City FL - City of FY 2010	Oct 05, 2011
12-3-0002	Graceville FL - City of FY 2010	Oct 05, 2011
12-3-0003	Pell AL - City of FY 2010	Oct 05, 2011
12-3-0004	Smith Utility District of Smith County TN - FY 2010	Oct 05, 2011
12-3-0005	Tioga Soil and Water Conservation District - FY 2010	Oct 11, 2011
12-3-0006	Huntingdon PA - Borough of FY 2010	Oct 11, 2011
12-3-0007	Cascade Sierra Solutions OR - FY 2010	Oct 11, 2011
12-3-0009	Nekoosa - City of (WI) FY 2010	Oct 13, 2011
12-3-0010	Oregon - City of (IL) FY 2011	Oct 13, 2011
12-3-0011	SRC, Inc. - FY 2010	Oct 13, 2011
12-3-0012	Puerto Rico - University of FY 2010	Oct 14, 2011
12-3-0014	Grand Portage Reservation Tribal Council (MN) - FY 2010	Oct 17, 2011
12-3-0015	Hualapai Nation (AZ) - FY 2009	Oct 17, 2011
12-3-0016	Stockbridge GA - City of FY 2010	Oct 20, 2011
12-3-0017	Southwest Allen Parish Water District No. 2 LA - FY 2010	Oct 20, 2011
12-3-0018	Harrisonville MO - City of FY 2010	Oct 20, 2011
12-3-0019	Lenexa KS - City of FY 2010	Oct 20, 2011
12-3-0020	Rathbun Regional Water Association, Inc. IA - FY 2010	Oct 20, 2011
12-3-0021	Johnsonburg Municipal Authority PA - FY 2010	Oct 21, 2011
12-3-0022	Mississippi Institutions of Higher Learning MS - State of FY 2010	Oct 21, 2011
12-3-0023	Missouri System MO - University of FY 2010	Oct 21, 2011
12-3-0024	Lawton OK - City of FY 2010	Oct 21, 2011
12-3-0025	Junction City KS - City of FY 2010	Oct 21, 2011
12-3-0026	National Tribal Environmental Council Inc NM - FY 2006	Oct 21, 2011
12-3-0027	Clarkesville GA - City of FY 2010	Oct 21, 2011
12-3-0028	Illinois Institute of Technology FY 2010	Oct 28, 2011
12-3-0029	Leoni, Michigan, Township of - FY 2010	Oct 28, 2011
12-3-0030	Port Clinton, Ohio, City of - FY 2010	Oct 27, 2011
12-3-0031	Boston Public Health Commission, Massachusetts - FY 2010	Oct 28, 2011
12-3-0032	Rochester Borough Sewer Authority, Pennsylvania - FY 2010	Oct 28, 2011
12-3-0033	Liberty, Missouri, City of - FY 2010	Oct 28, 2011
12-3-0035	Auburn Board of Public Works, Nebraska - FY 2010	Oct 31, 2011
12-3-0036	Berrien, Michigan, County of - FY 2010	Oct 31, 2011
12-3-0037	Blue Earth, Minnesota, City of - FY 2010	Oct 31, 2011
12-3-0038	Deerfield, Wisconsin, Village of - FY 2010	Oct 31, 2011
12-3-0039	Minnesota Environmental Initiative, Inc. - FY 2010	Oct 31, 2011
12-3-0040	Elk Point, South Dakota, City of - FY 2010	Oct 31, 2011
12-3-0041	Cahuilla Band of Indians, California - FY 2009	Oct 31, 2011

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0042	Corrales, New Mexico, Village of - FY 2010	Oct 31, 2011
12-3-0043	Lakeville, Massachusetts, Town of - FY 2010	Oct 31, 2011
12-3-0044	Bristol, New Hampshire, Town of - FY 2010	Oct 31, 2011
12-3-0045	Putnam Public Service District--Water Fund, West Virginia - FY 2010	Oct 31, 2011
12-3-0046	Pueblo, Colorado, County of - FY 2010	Oct 31, 2011
12-3-0047	Seibert, Colorado, Town of - FY 2010	Oct 31, 2011
12-3-0048	Chamberlain, South Dakota, Municipality of - FY 2010	Oct 31, 2011
12-3-0049	Rapid Valley Sanitary District, South Dakota - FY 2010	Oct 31, 2011
12-3-0050	Hi-Land Acres Water and Sanitation District, Colorado - FY 2010	Oct 31, 2011
12-3-0051	East Lyme, Connecticut, Town of - FY 2010	Nov 01, 2011
12-3-0052	Zephyrhills, Florida, City of - FY 2010	Nov 01, 2011
12-3-0053	Haines, Florida, City of - FY 2009	Nov 01, 2011
12-3-0054	Olympia, Washington, City of - FY 2010	Nov 01, 2011
12-3-0055	South Fork Band Council, Nevada - FY 2008	Nov 01, 2011
12-3-0056	Indian Township, Maine, Tribal Government - FY 2010	Nov 07, 2011
12-3-0057	Moundville, Alabama, Town of - FY 2010	Nov 07, 2011
12-3-0058	Decatur, Mississippi, Town of - FY 2010	Nov 07, 2011
12-3-0059	Ohio State University FY 2010	Nov 07, 2011
12-3-0060	Peterborough, New Hampshire, Town of - FY 2010	Nov 07, 2011
12-3-0061	Pennsylvania - Commonwealth of FY 2010	Nov 08, 2011
12-3-0063	Charleston, Arkansas, City of - FY 2010	Nov 09, 2011
12-3-0064	Chemung County Library District, New York - FY 2010	Nov 09, 2011
12-3-0065	Evergreen Rural Water Association of Washington - FY 2010	Nov 09, 2011
12-3-0066	Galeton Borough Authority - FY 2010	Nov 09, 2011
12-3-0067	Galveston, Texas, City of - FY 2010	Nov 09, 2011
12-3-0068	Jefferson, Georgia, City of - FY 2010	Nov 09, 2011
12-3-0069	Loudoun County Sanitation District, Virginia - FY 2010	Nov 09, 2011
12-3-0070	Meadville Area Water Authority, Pennsylvania - FY 2010	Nov 09, 2011
12-3-0074	Sistemo Universitario Ana G Mendez, Puerto Rico - FY 2010	Nov 16, 2011
12-3-0075	Sparks, Nevada, City of - FY 2010	Nov 16, 2011
12-3-0076	Sundance, Wyoming, City of - FY 2010	Nov 16, 2011
12-3-0077	Vale, Oregon, City of - FY 2010	Nov 17, 2011
12-3-0078	Fort Independence Indian Reservation, California - FY 2010	Nov 17, 2011
12-3-0079	Kalispel Tribe of Indians, Washington - FY 2010	Nov 17, 2011
12-3-0080	Tangirnaq Native Village, Alaska - FY 2010	Nov 17, 2011
12-3-0081	Nanwalek IRA Council, Alaska - FY 2009	Nov 18, 2011
12-3-0082	Sun'Aq Tribe of Kodiak, Alaska - FY 2010	Nov 18, 2011
12-3-0084	Whitehall, Wisconsin, City of - FY 2010	Nov 21, 2011
12-3-0085	Hudson, New York, City of - FY 2010	Nov 21, 2011
12-3-0086	Laurel, Montana, City of - FY 2009	Nov 21, 2011
12-3-0087	Red Lodge, Montana, City of - FY 2010	Nov 21, 2011
12-3-0088	Upper-Lower River Road Co. Water & Sewer District, Montana - FY 2009	Nov 21, 2011

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0089	Willmar, Minnesota, City of - FY 2010	Nov 21, 2011
12-3-0091	Grand Rapids Public Utilities Corporation, Minnesota - FY 2010	Nov 22, 2011
12-3-0092	Gary, Indiana, City of - FY 2009	Nov 22, 2011
12-3-0093	Pocahontas, Iowa, County of - FY 2010	Nov 22, 2011
12-3-0094	Pueblo, Colorado, City of - FY 2010	Nov 22, 2011
12-3-0095	Barnstable, Massachusetts, City of - FY 2010	Nov 22, 2011
12-3-0096	Houston Authority of Harris County, Texas, Port of - FY 2010	Nov 29, 2011
12-3-0097	Palatka, Florida, City of - FY 2010	Nov 29, 2011
12-3-0098	Sleepy Eye, Minnesota, City of - FY 2010	Nov 29, 2011
12-3-0099	Consortium for Plant Biotechnology Research, Inc., Georgia - FY 2010	Nov 29, 2011
12-3-0100	Miami-Dade County, Florida - FY 2010	Nov 29, 2011
12-3-0101	Upper Sioux Community Federal and State Program Dept., Minnesota - FY 2010	Nov 29, 2011
12-3-0103	Redwood Falls, Minnesota, City of - FY 2010	Dec 06, 2011
12-3-0104	Albuquerque, New Mexico, City of - FY 2010	Dec 06, 2011
12-3-0105	Fontana-on-Geneva Lake, Wisconsin, Village of - FY 2010	Dec 06, 2011
12-3-0106	Nevada Irrigation District, California - FY 2010	Dec 07, 2011
12-3-0107	Owner-Operator Drivers Association Foundation, Inc., Missouri - FY 2010	Dec 07, 2011
12-3-0108	Onondaga County Soil and Water Conservation District, New York - FY 2010	Dec 07, 2011
12-3-0110	Turtle Mountain Band of Chippewa Indians, North Dakota - FY 2010	Dec 08, 2011
12-3-0111	Washburn, North Dakota, City of - FY 2010	Dec 08, 2011
12-3-0112	Tanana Native Village, Alaska - FY 2010	Dec 08, 2011
12-3-0114	Akiak Native Community, AK FY 2010	Dec 09, 2011
12-3-0115	Nooksack Indian Tribe, WA FY 2010	Dec 09, 2011
12-3-0116	Sherwood, Wisconsin, Village of - FY 2010	Dec 09, 2011
12-3-0117	Chubbuck, Idaho, City of - FY 2010	Dec 09, 2011
12-3-0118	Butternut, Wisconsin, Village of - FY 2010	Dec 09, 2011
12-3-0119	Arcadia, Louisiana, Town of - FY 2010	Dec 09, 2011
12-3-0120	Melba, Idaho, City of - FY 2010	Dec 09, 2011
12-3-0121	Presidio, Texas, City of - FY 2010	Dec 09, 2011
12-3-0122	Kimberly-City of (ID) FY 2010	Dec 12, 2011
12-3-0123	Bliss-City of (ID) FY 2010	Dec 13, 2011
12-3-0124	Anchorage-Municipality of AK FY 2010	Dec 13, 2011
12-3-0126	Kuskokwim Native Association, Alaska - FY 2009	Dec 16, 2011
12-3-0127	Ruidoso, New Mexico, Village of - FY 2010	Dec 16, 2011
12-3-0128	Dousman, Wisconsin, Village of - FY 2010	Dec 16, 2011
12-3-0129	Fontana Walworth Pollution Control Commission, Wisconsin - FY 2010	Dec 16, 2011
12-3-0130	Neenah, Wisconsin, City of - FY 2010	Dec 16, 2011
12-3-0131	Stevens Point, Wisconsin, City of - FY 2010	Dec 16, 2011
12-3-0132	Elkins, West Virginia, City of - FY2010	Dec 16, 2011
12-3-0133	Dorchester County Sanitation District, Maryland - FY 2011	Dec 19, 2011
12-3-0134	Rockford, Illinois, City of - FY 2010	Dec 19, 2011
12-3-0135	Villa Park, Illinois, Village of - FY 2011	Dec 19, 2011

**EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public**

Report No.	Report Title	Date Issued
12-3-0136	Alexandria, Louisiana, City of - FY 2011	Dec 19, 2011
12-3-0137	Munich, North Dakota, City of - FY 2010	Dec 19, 2011
12-3-0138	Harrisburg, South Dakota, Municipality of - FY 2010	Dec 19, 2011
12-3-0139	Bayfield, Colorado, Town of - FY 2010	Dec 19, 2011
12-3-0140	Inkster, Minnesota, City of - FY 2009	Dec 19, 2011
12-3-0141	Glenview, Illinois, Village of - FY 2010	Dec 19, 2011
12-3-0142	Barry, Michigan, County of - FY 2010	Dec 19, 2011
12-3-0143	St. Paul, Nebraska, City of - FY 2009	Dec 19, 2011
12-3-0144	East Berlin Area Joint Authority, Pennsylvania - FY 2010	Dec 19, 2011
12-3-0145	Allegheny, Pennsylvania, County of - FY 2010	Dec 19, 2011
12-3-0146	Orbisonia-Rockhill Joint Municipal Authority, Pennsylvania - FY 2009	Dec 19, 2011
12-3-0147	Clark County, Indiana - FY 2010	Dec 20, 2011
12-3-0148	Darlington, Indiana, Town of - FY 2009	Dec 20, 2011
12-3-0149	Oak Creek, Wisconsin, City of - FY 2010	Dec 20, 2011
12-3-0150	Centertown, Kentucky, City of - FY 2010	Dec 20, 2011
12-3-0151	Burkesville, Kentucky, City of - FY 2010	Dec 20, 2011
12-3-0152	Falmouth, Kentucky, City of - FY 2009	Dec 20, 2011
12-3-0153	Moss Point, Mississippi, City of - FY2009	Dec 20, 2011
12-3-0154	Carolina Beach, North Carolina, Town of - FY 2010	Dec 20, 2011
12-3-0155	Orange Water and Sewer Authority, North Carolina - FY 2009	Dec 20, 2011
12-3-0156	Maynardville, Tennessee, City of - FY 2010	Dec 20, 2011
12-3-0157	Calhoun Falls, South Carolina, Town of - FY 2010	Dec 20, 2011
12-3-0158	Bartlesville, Oklahoma, City of - FY 2010	Dec 20, 2011
12-3-0159	Bonham, Texas, City of - FY 2009	Dec 20, 2011
12-3-0163	Monson Utilities District-ME FY 2010	Jan 04, 2012
12-3-0164	Canaan Fire District #2-VT	Jan 04, 2012
12-3-0165	Milwaukee Metropolitan Sewerage District Wisconsin - FY 2010	Jan 05, 2012
12-3-0166	Hammond Indiana City of - FY 2010	Jan 05, 2012
12-3-0167	Mecosta County Michigan - FY 2010	Jan 06, 2012
12-3-0168	Te-Moak Tribe of Western Shoshone Battle Mountain Band Council Nevada - FY 2010	Jan 06, 2012
12-3-0169	Yomba Shoshone Tribe Nevada - FY 2009	Jan 06, 2012
12-3-0170	Medford, New Jersey, Township of - FY 2010	Jan 10, 2012
12-3-0171	Britton-Macon Area School Michigan - FY 2011	Jan 10, 2012
12-3-0172	New Baden Illinois Village of - FY 2011	Jan 10, 2012
12-3-0173	Slatington Borough Authority Pennsylvania - FY 2011	Jan 10, 2012
12-3-0174	Hickory North Carolina City of - FY 2009	Jan 10, 2012
12-3-0175	Aberdeen Maryland City of - FY 2011	Jan 10, 2012
12-3-0176	Delhi Louisiana Town of - FY 2010	Jan 11, 2012
12-3-0179	Saugerties-Town of NY FY 2010	Jan 11, 2012
12-3-0180	Bellmawr-Borough of (NJ) FY 2010	Jan 11, 2012
12-3-0181	Urania Louisiana Town of - FY 2010	Jan 11, 2012
12-3-0182	Westlake Louisiana City of - FY 2010	Jan 11, 2012

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0183	Windsor Vermont Town of - FY 2010	Jan 11, 2012
12-3-0184	National Environmental Education Foundation DC FY 2010	Jan 11, 2012
12-3-0185	Alliance for the Chesapeake Bay Inc. (MD) FY 2010	Jan 11, 2012
12-3-0186	Watauga River Regional Water Authority of Carter County Tennessee - FY 2010	Jan 11, 2012
12-3-0187	Boones Mill Virginia Town of - FY 2010	Jan 11, 2012
12-3-0188	Municipal Authority of the Township of Washington Pennsylvania - FY 2010	Jan 11, 2012
12-3-0189	Hedrick Iowa City of - FY 2010	Jan 11, 2012
12-3-0190	Spencer, Iowa, City of - FY 2010	Jan 11, 2012
12-3-0191	Albany, Georgia, City of - FY 2010	Jan 11, 2012
12-3-0192	St. Charles, Iowa, City of - FY 2010	Jan 11, 2012
12-3-0193	Chautauqua County Rural Water District No. 4, Kansas - FY 2010	Jan 11, 2012
12-3-0194	Hualapai Nation, Arizona - FY 2010	Jan 11, 2012
12-3-0195	Sergeant Bluff, Iowa, City of - FY 2010	Jan 12, 2012
12-3-0196	O'ahu Resource Conservation & Development Council, Hawaii - FY 2010	Jan 12, 2012
12-3-0197	Ramona Band of Cahuilla, California - FY 2010	Jan 12, 2012
12-3-0198	Onondaga Environmental Institute New York - FY 2009	Jan 12, 2012
12-3-0199	Rock Falls, Illinois City of - FY 2011	Jan 12, 2012
12-3-0200	Wiyot Tribe California - FY 2010	Jan 12, 2012
12-3-0201	North American Association for Environmental Education (DC) FY 2010	Jan 12, 2012
12-3-0202	Snoqualmie Indian Tribe, Washington - FY 2010	Jan 12, 2012
12-3-0203	Berlin Water Works, New Hampshire - FY 2010	Jan 12, 2012
12-3-0204	Villanova University, Pennsylvania - FY 2010	Jan 12, 2012
12-3-0205	Wythe, Virginia, County of - FY 2010	Jan 12, 2012
12-3-0206	Mineral, Nevada, County of - FY 2010	Jan 12, 2012
12-3-0207	Tesuque-Pueblo of NM FY 2010	Jan 12, 2012
12-3-0208	Buchanan, Michigan, City of - FY 2011	Jan 12, 2012
12-3-0209	Dekalb Sanitary District, Illinois - FY 2011	Jan 12, 2012
12-3-0210	Grand Ledge, Michigan, City of - FY 2011	Jan 12, 2012
12-3-0211	Atka IRA Council Alaska - FY 2010	Jan 12, 2012
12-3-0212	Chambersburg-Borough of (PA)	Jan 12, 2012
12-3-0213	Harris County Water Control and Improvement District No. 36, Texas - FY 2011	Jan 12, 2012
12-3-0214	Leeds Domestic Water Users Association, Utah - FY 2010	Jan 12, 2012
12-3-0215	Broad Top Township (PA) FY 2010	Jan 12, 2012
12-3-0216	Chippewa Falls, Wisconsin, City of - FY 2009	Jan 12, 2012
12-3-0217	Tonto Apache Tribe (AZ) FY 2010	Jan 12, 2012
12-3-0218	Columbus-City of (NE) FY 2010	Jan 13, 2012
12-3-0219	Ohio, State of Interim Single Audit Review - FY 2011	Jan 13, 2012
12-3-0221	White Mountain Apache Tribe (AZ) FY 2009	Jan 20, 2012
12-3-0222	Fallon Paiute-Shoshone Tribe (NV) FY 2011	Jan 20, 2012
12-3-0223	Iliamna Village Council AK FY 2007	Jan 23, 2012
12-3-0225	Branch County of (MI) FY 2010	Jan 24, 2012
12-3-0226	Baraboo City of (WI) FY 2010	Jan 24, 2012

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0227	Bloomington City of(IL) FY 2010	Jan 24, 2012
12-3-0228	Bloomington City of(IN) FY 2010	Jan 24, 2012
12-3-0229	Calumet County WI FY 2010	Jan 24, 2012
12-3-0230	Chicago City of IL FY 2010	Jan 24, 2012
12-3-0232	Atmaultluak Traditional Council Alaska - FY 2010	Jan 26, 2012
12-3-0233	Atlantic States Rural Water and Wastewater Association, Maine - FY 2010	Jan 27, 2012
12-3-0234	Blue Lake Rancheria, California - FY 2010	Jan 27, 2012
12-3-0235	Clean Energy Coalition MI FY 2010	Jan 30, 2012
12-3-0236	Vallejo City of CA FY 2010	Jan 30, 2012
12-3-0237	Chippewa Falls City of WI FY 2010	Jan 30, 2012
12-3-0238	Chautauqua, County of NY FY 2010	Jan 30, 2012
12-3-0239	Inter-Tribal Council of Arizona Inc. AZ FY 2010	Jan 30, 2012
12-3-0240	Trinidad Rancheria CA FY 2010	Jan 31, 2012
12-3-0241	Thomaston City of GA FY 2010	Jan 31, 2012
12-3-0242	Sardis City of GA FY 2010	Jan 31, 2012
12-3-0243	Lake Champlain Basin Science Center Inc. VT - FY 2011	Jan 31, 2012
12-3-0244	Cincinnati, University of, Ohio - FY 2011	Jan 31, 2012
12-3-0245	Kansas University of - Center for Research Inc. Kansas - FY 2011	Jan 31, 2012
12-3-0246	Bolivar County, Mississippi - FY 2010	Jan 31, 2012
12-3-0247	Torres Maritnez Desert Cahuilla Indians CA FY 2010	Jan 31, 2012
12-3-0248	Pickens County GA FY 2010	Jan 31, 2012
12-3-0250	Colorado Springs Utilities CO FY 2010	Jan 31, 2012
12-3-0252	Yurok Tribe California - FY 2010	Feb 06, 2012
12-3-0254	Chesterfield Town of IN FY 2010	Feb 06, 2012
12-3-0255	Alaska Rural Water Association AK FY 2010	Feb 07, 2012
12-3-0256	Centerville, Indiana, Town of - FY 2010	Feb 07, 2012
12-3-0257	Plainfield Town of IN FY 2010	Feb 07, 2012
12-3-0258	Pierce County WY FY 2010	Feb 07, 2012
12-3-0259	Osage Municipal Utilities IA FY 2010	Feb 07, 2012
12-3-0260	Iowa Regional Utilities Association IA FY 2010	Feb 08, 2012
12-3-0261	South Holland Village of IL FY 2011	Feb 08, 2012
12-3-0262	United States Virgin Islands FY 2009	Feb 08, 2012
12-3-0263	Will County IL FY 2010	Feb 08, 2012
12-3-0264	Rend Lake Conservancy District IL FY 2011	Feb 08, 2012
12-3-0265	Winchester City of FY 2011	Feb 08, 2012
12-3-0266	Sycamore City of IL FY 2011	Feb 09, 2012
12-3-0267	Fort Bidwell Indian Community Council CA FY 2010	Feb 09, 2012
12-3-0268	Fort Mojave Indian Tribe CA FY 2010	Feb 09, 2012
12-3-0269	Golden Beach Town of FL FY 2010	Feb 09, 2012
12-3-0270	Green River Valley Water District KY FY 2011	Feb 09, 2012
12-3-0271	San Manuel Band of Mission Indians California - FY 2010	Feb 09, 2012
12-3-0272	Martinsville, Indiana City of - FY 2010	Feb 10, 2012

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0273	Arvin Community Services District CA FY 2010	Feb 10, 2012
12-3-0274	Alexandria Indiana City of - FY 2009	Feb 13, 2012
12-3-0275	San Carlos Apache Tribe (AZ) FY 2010	Feb 13, 2012
12-3-0276	Ashland Wisconsin City of - FY 2010	Feb 13, 2012
12-3-0277	Glencoe Alabama City of - FY 2010	Feb 13, 2012
12-3-0278	La Jolla Band of Luiseno Indians California - FY 2010	Feb 13, 2012
12-3-0279	Madera County California - FY 2010	Feb 13, 2012
12-3-0280	Fulton County Illinois FY 2010	Feb 13, 2012
12-3-0281	Chadron Nebraska City of - FY 2010	Feb 13, 2012
12-3-0282	Ohio County Regional Wastewater District Inc. KY FY 2009	Feb 14, 2012
12-3-0283	Manitou Springs City of CO FY 2010	Feb 14, 2012
12-3-0285	Commonwealth Utilities Corporation MP FY 2010	Feb 15, 2012
12-3-0286	Canton City of IL FY 2010	Feb 15, 2012
12-3-0287	Saint Elmo City of IL FY 2011	Feb 15, 2012
12-3-0288	Wellington City of, KS FY 2010	Feb 15, 2012
12-3-0290	Byron, Georgia, City of - FY 2010	Feb 16, 2012
12-3-0291	Cold Springs Rancheria of the Mono Indians California FY 2010	Feb 23, 2012
12-3-0292	Milwaukee Community Services Corp. Inc. Wisconsin FY 2010	Feb 23, 2012
12-3-0293	Mooresville Town of Indiana - FY 2010	Feb 24, 2012
12-3-0294	Normal Town of Illinois - FY 2011	Feb 24, 2012
12-3-0296	Beaver Dam Wisconsin City of - FY 2010	Feb 27, 2012
12-3-0297	Lomira Wisconsin Village of - FY 2010	Feb 27, 2012
12-3-0298	Crow Wing County MN FY 2010	Feb 28, 2012
12-3-0299	Detroit Lakes City of MN FY 2010	Feb 28, 2012
12-3-0300	Rushford City of MN FY 2010	Feb 28, 2012
12-3-0301	Saint Peter City of MN FY 2010	Feb 28, 2012
12-3-0302	St. Cloud City of MN FY 2010	Feb 28, 2012
12-3-0303	Wilmont City of MN FY 2010	Feb 28, 2012
12-3-0304	Bedford Township Municipal Authority Pennsylvania - FY 2011	Feb 29, 2012
12-3-0305	Hinckley Illinois Village of - FY 2011	Feb 29, 2012
12-3-0306	Daviess County Public Schools Kentucky - FY 2011	Feb 29, 2012
12-3-0307	Dawson Springs Municipal Waterworks and Sewer System Kentucky - FY 2011	Feb 29, 2012
12-3-0308	Livingston County Illinois - FY 2010	Feb 29, 2012
12-3-0309	Logan County Illinois FY 2010	Feb 29, 2012
12-3-0310	New Market Virginia Town of - FY 2011	Feb 29, 2012
12-3-0313	Olney Illinois City of - FY 2011	Mar 01, 2012
12-3-0314	Madison County Industrial Development and Building Authority Georgia FY 2010	Mar 01, 2012
12-3-0315	Lake Lure, North Carolina, Town of - FY 2011	Mar 05, 2012
12-3-0316	Farmville, North Carolina, Town of - FY 2011	Mar 05, 2012
12-3-0317	Forest County, Wisconsin - FY 2010	Mar 05, 2012
12-3-0318	Green Bay Metropolitan Sewerage District, Wisconsin - FY 2010	Mar 05, 2012
12-3-0319	Iron County, Wisconsin - FY 2010	Mar 05, 2012

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0322	Great Bend Kansas City of FY 2010	Mar 08, 2012
12-3-0323	Assiniboine and Sioux Tribe of the Fort Peck Indian Reservation Montana	Mar 08, 2012
12-3-0324	Greenport New York Incorporated Village of FY 2010	Mar 08, 2012
12-3-0325	Lowell, Massachusetts, City of - FY 2011	Mar 08, 2012
12-3-0326	Maine Rural Water Association - FY 2010	Mar 08, 2012
12-3-0327	New England, University of Maine - FY 2011	Mar 08, 2012
12-3-0329	Greater St. Albans Public District, West Virginia - FY 2010	Mar 09, 2012
12-3-0330	California, Missouri, City of - FY 2011	Mar 09, 2012
12-3-0331	Greenville Sanitary District #1, Wisconsin - FY 2010	Mar 09, 2012
12-3-0332	Liberty, Missouri, City of - FY 2010	Mar 09, 2012
12-3-0333	Menasha, Wisconsin, Town of - FY 2010	Mar 12, 2012
12-3-0334	Stetsonville, Wisconsin, Village of - FY 2010	Mar 12, 2012
12-3-0335	Turtle Lake, Wisconsin, Village of - FY 2010	Mar 12, 2012
12-3-0336	Walworth, Wisconsin, Village of - FY 2010	Mar 12, 2012
12-3-0337	Peru Illinois City of FY 2011	Mar 13, 2012
12-3-0338	Pontiac Michigan City of FY 2011	Mar 13, 2012
12-3-0339	Sault Ste. Marie Michigan City of FY 2011	Mar 13, 2012
12-3-0340	Taylor County Wisconsin FY 2010	Mar 13, 2012
12-3-0341	Tazewell County Illinois FY 2010	Mar 13, 2012
12-3-0342	Valley City North Dakota City of FY 2010	Mar 13, 2012
12-3-0343	Waupaca County Wisconsin FY 2010	Mar 13, 2012
12-3-0344	Woodford County Illinois FY 2010	Mar 13, 2012
12-3-0345	Alpine Wyoming Town of FY 2011	Mar 13, 2012
12-3-0346	Linn, Missouri, City of - FY 2011	Mar 13, 2012
12-3-0348	West Baraboo, Wisconsin, Village of - FY 2010	Mar 14, 2012
12-3-0349	Paris, Missouri, City of - FY 2011	Mar 14, 2012
12-3-0350	Withee, Wisconsin, Village of - FY 2010	Mar 14, 2012
12-3-0351	Lake Holcombe Sanitary District No. 1, Wisconsin - FY 2010	Mar 14, 2012
12-3-0352	Langlade County, Wisconsin - FY 2010	Mar 14, 2012
12-3-0353	Louisa, Kentucky, City of - FY 2010	Mar 14, 2012
12-3-0354	Nevada, Missouri, City of - FY 2010	Mar 14, 2012
12-3-0355	Jasper County, Missouri - FY 2010	Mar 14, 2012
12-3-0356	Clinton Community Schools Michigan - FY 2011	Mar 14, 2012
12-3-0357	Nickerson Kansas City of FY 2010	Mar 15, 2012
12-3-0358	Johnstown New York City of FY 2009	Mar 15, 2012
12-3-0359	Apache Tribe of Oklahoma Oklahoma FY 2010	Mar 15, 2012
12-3-0361	Manitowoc County, Wisconsin - FY 2010	Mar 19, 2012
12-3-0364	Cedar Bluffs Nebraska Village of FY 2011	Mar 21, 2012
12-3-0365	El Dorado Kansas City of FY 2010	Mar 21, 2012
12-3-0366	Garner Iowa City of FY 2011	Mar 21, 2012
12-3-0367	Hibbing Minnesota City of FY 2010	Mar 21, 2012
12-3-0368	Hibbing Public Utilities Commission Minnesota FY 2010	Mar 21, 2012

EPA Office of Inspector General - Office of Audit
List of Closed Audit Reports Issued
October 1, 2011 – March 31, 2012 not Available to the Public

Report No.	Report Title	Date Issued
12-3-0369	Howard Lake Minnesota City of FY 2010	Mar 21, 2012
12-3-0370	Kemmerer-Diamondville Water and Wastewater Joint Powers Board Wyoming FY 2011	Mar 21, 2012
12-3-0371	Laurel Montana City of FY 2010	Mar 21, 2012
12-3-0372	Dalles, Oregon, City of - FY 2011	Mar 22, 2012
12-3-0373	Mandan, North Dakota, City of - FY 2010	Mar 23, 2012
12-3-0374	Oconto Falls, Wisconsin, City of - FY 2010	Mar 26, 2012
12-3-0375	Reedsburg, Wisconsin, City of - FY 2010	Mar 26, 2012
Grants and Contracts Reports		
12-4-0008	CERCLA Claim - Bofors Nobel	Oct 12, 2011
12-4-0284	Agreed-Upon Procedures Applied to H&S Environmental, Inc.'s Accounting System	Feb 14, 2012
12-4-0295	Agreed-Upon Procedures Applied to Equipment Rate Proposals Submitted Under EPA Contract EP-S9-11-01 by SFS Chemical Safety, Inc., Emeryville, California	Feb 27, 2012
Information Resources Management Audits		
12-P-0220	Technical and Computer Room Security Vulnerabilities Increase Risk to EPA's Network	Jan 20, 2012

**EPA Office of Inspector General
Closed Investigations not Disclosed to the Public
October 1, 2011 – March 31, 2012**

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATION AND RESOLUTION
OI-AR-2012-ADM-0001	10/3/2011	3/19/2012	<u>Allegation:</u> An EPA employee misused a government computer and email while operating a personal business on government time. (5 CFR 2635) <u>Resolution:</u> This investigation was referred to the Agency for administrative action.
OI-HQ-2012-ADM-0034	1/10/2012	3/19/2012	<u>Allegation:</u> An EPA employee used a Government vehicle for personal use. (5 CFR 2635) <u>Resolution:</u> The allegation was unfounded in that the employee used a personal vehicle at the time in question. The investigation was closed.
OI-CI-1-2011-ADM-1230	12/6/2010	3/15/2012	<u>Allegation:</u> A former EPA grantee made a threatening phone call to an EPA employee. (18 USC 115) <u>Resolution:</u> This investigation did not substantiate the allegation and the case was closed.
OI-AR-2011-ADM-2850	3/6/2006	3/15/2012	<u>Allegation:</u> An EPA employee used his government travel card for personal expenses, used his government email and work time for personal business, and used the government fax machine to send forged documents. (18 USC 641) <u>Resolution:</u> This investigation was declined for criminal prosecution. The employee received a verbal reprimand for the misuse of his government travel card. The use of the government email was determined to be within the EPA limited personal use policy and the allegation regarding forged documents was unfounded.
OI-RTP-2010-CFR-0184	2/11/2010	3/9/2012	<u>Allegation:</u> A contractor used another company's GSA Schedule authorization number in order to do business with EPA. (18 USC 1001, 18 USC 287) <u>Resolution:</u> There was [REDACTED] and the case was declined for civil action. Suspension and debarment actions were pursued but were cancelled when [REDACTED].
OI-DA-2011-CAC-2806	6/2/2011	2/27/2012	<u>Allegation:</u> An ARRA funded contract was not executed before the ARRA deadline of February 17, 2010. (18 USC 287, 18 USC 1001) <u>Resolution:</u> The investigation disproved the allegations and the case was closed.
OI-DE-2012-CAC-0025	12/12/2011	2/14/2012	<u>Allegation:</u> A company may have submitted a fraudulent Opencut Mining Permit (OMP) to one of EPA's contractors; or provided fraudulent documentation to the State Department of Environmental Quality (DEQ) in order to obtain an exemption from the requirement to obtain an OMP. (18 USC 1001) <u>Resolution:</u> The investigation disclosed the company was exempted by the DEQ from having to obtain an OMP. The allegation was disproven and the investigation was closed.

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OI-WI-2010-CFR-0262	4/14/2010	2/13/2012	<p><u>Allegation:</u> A company invoiced the EPA for medical equipment and patient care outside the scope of the contract, and charged vacation time taken by its employees directly against the EPA contract. (18 USC 1001, 1035, 1341, 1343)</p> <p><u>Resolution:</u> The allegations were addressed and disproven. No further investigative activity was warranted and the case was closed.</p>
OI-DE-2011-CAC-0699	11/12/2010	2/1/2012	<p><u>Allegation:</u> A company self disclosed that it submitted false claims to the EPA in the amount of approximately \$9,000. (18 USC 666)</p> <p><u>Resolution:</u> The investigation revealed that a company employee embezzled approximately \$8,642 in the form of unauthorized international phone calls, which were then charged against U.S. Government contracts. The employee was terminated prior to the investigation. This case was presented for criminal prosecution, but was declined.</p>
OI-KA-2011-CFR-2852	9/1/2011	1/24/2012	<p><u>Allegation:</u> An accounting firm was hired by a State to review construction projects that were funded by the American Recovery and Reinvestment Act (ARRA). The firm generated a preliminary draft analysis of bidding data on 109 water infrastructure projects with an objective of identifying potential fraud, waste, and abuse. The work concentrated on data analysis and desk reviews, and did not include any work performed at the sub-grantee (recipient) level. The resulting draft analysis disclosed only baseline information that could be construed as possible indicators of Sherman Antitrust Act violations, specifically bid-rigging. The analysis did not identify with specificity any allegations or individuals or entities involved in criminal activity. (15 USC Sec 1-7)</p> <p><u>Resolution:</u> At the time the referral was made, the analysis had not developed sufficiently detailed information from which investigations could be pursued. This investigation was closed.</p>
OI-SA-2011-CFR-2490	1/6/2011	1/20/2011	<p><u>Allegation:</u> A tribal grantee may have fraudulently misused EPA grant funds for false work claims and for meals and hotels stays that are unallowable under the EPA grants. It was also alleged that grant monies were spent on other unallowable expenses such as a sponsorship to athletic games, travel and items purchased for personal use. Additionally, there were allegations of theft of property purchased with EPA grant funds. (18 USC 666, 18 USC 641)</p> <p><u>Resolution:</u> The investigation did not support the allegation that the grantee stole property purchased with EPA grant funds or the allegation relative to false work claims. Evidence was found to support the allegation that grant monies were expended for unallowable expenses; [REDACTED]</p> <p>[REDACTED] This investigation was closed.</p>

CASE NUMBER	DATE OPENED	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OI-RTP-2011-CFR-2846	8/19/2011	12/23/2011	<u>Allegation:</u> A city allegedly misappropriated EPA grant funds by diverting sewer capacity from low-income residents with existing needs to a new development. (18 USC 286, 666) <u>Resolution:</u> Since no clear allegation or subject was identified, this investigation was closed.
OI-DE-2011-CFR-2851	9/1/2011	11/25/2011	<u>Allegation:</u> A contractor had fraudulently obtained contracts funded by the American Recovery and Reinvestment Act (ARRA). The contractor misrepresented past default terminations from government contracts on their applications for ARRA contracts. Because of these misrepresentations, the contractor was awarded an ARRA contract from the EPA for \$6,478,000. (18 USC 1001) <u>Resolution:</u> The Federal Acquisition Service (FAS) confirmed that the contractor did not submit false statements to the government when it applied for ARRA funds. FAS officials indicated the information in the Federal Procurement Data System (FPDS) was entered incorrectly and therefore was inaccurate. The investigation was closed.
OI-SA-2011-CAC-2842	8/12/2011	11/25/2011	<u>Allegation:</u> It was alleged that between 2007 and 2008, the General Services Administration Federal Acquisition Service (GSA FAS) and the Department of the Army terminated contracts with a contractor for default. Based on reports of terminated contracts and Online Representations and Certifications Application (ORCA) records review, it appeared the contractor falsely certified several times that the contractor, had not, within a three year period, had a contract terminated for default. As a result, the contractor received 18 American Recovery and Reinvestment Act (ARRA) contracts totaling over \$32 million including three Environmental Protection Agency (EPA) contracts totaling \$25,900,000. (18 USC 1001, 1343) <u>Resolution:</u> Contracting Officers for two of the three EPA contracts in question reported the contracts were not terminated for default but rather cancelled due to lack of sales. The remaining contract was reported as still active. Based on the interviews, it appears there was an error in the contract reporting system. The system defaults to "terminated" regardless if the contract was canceled or terminated. This investigation was closed.
OI-SA-2011-CFR-2787	8/12/2011	11/25/2011	<u>Allegation:</u> A former contractor employee reported that he was terminated from his employment. He alleged that the contractor and a subcontractor billed EPA for activities outside the scope of their work. He also alleged they have billed EPA for the full amount of work that they only partially performed. (18 USC 1343, 287) <u>Resolution:</u> No evidence of fraud involving EPA funds was substantiated. This investigation was closed.
2009-CS-0103	6/19/2009	11/10/2011	<u>Allegation:</u> EPA grant funds may have been misappropriated to keep the grantee's other business ventures operational. (18 USC 1001) <u>Resolution:</u> The investigative efforts disproved the allegation and the case was closed.



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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

10/11/2010

OFFICE OF
INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, DC 20510

Dear Senator Coburn:

This is to update our response to your letter of April 8, 2010, cosigned by Senator Grassley requesting information related to the level of cooperation the Office of Inspector General (OIG) has received from officials and staff of the U.S. Environmental Protection Agency (EPA) in the course of our work: and reports on all closed investigations, evaluations, and audits conducted by my office that have not been disclosed to the public. We provided you an interim response dated April 15, 2010.

In our interim response, we discussed how we have been unable to get the EPA to agree to a Memorandum of Understanding (MOU) so we could establish an independent human resources office as allowed by the Inspector General Act of 1978 (IG Act). I am pleased to report that the OIG and EPA's Office of Administration and Resources Management have executed an MOU establishing operational responsibilities for the human resources and contracting functions. These actions will help us to meet our objectives with more control over the activities related to hiring and retaining the most qualified workforce, and engage in procurement activities in support of our mission. It is our intention to work closely with, but completely independent of, EPA's contracting and human resources offices.

Your letter asked for a list and description of any instances where the EPA resisted and/or objected to oversight activities and/or rejected our access to information; and instances where information was ultimately provided but only after a substantial delay. During our audit, evaluation, and investigative work, EPA has failed to provide information in a timely manner or failed to provide complete information upon request on numerous occasions. We make accommodations when necessary by granting extensions to EPA on our deadlines, but this causes delays in finalizing reports or concluding investigations. While there has been no outright denial of access to information, there have been specific instances that have impacted the work of the OIG that we would like to bring to your attention:

potential compromise of over 5500 computer systems comprised of over 250 servers, 4700 workstations, and over 500 unknown computer types. This investigation remains open.

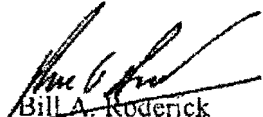
- The OIG has specific computer equipment and investigative information stored at EPA's National Computer Center (NCC) in Research Triangle Park (RTP), North Carolina. NCC will also soon house stand-alone servers for the OIG. However, our Special Agent in RTP has been denied a badge that would allow unescorted access to the NCC. We have been told that this level of access is granted only to people who work in the NCC more than 50 percent of their time. Consequently, access to our own equipment and investigative information is by escort only; and only during the days and hours that the NCC is adequately staffed. We have requested unrestricted access privileges for our agent with the appropriate EPA manager, but have been denied. This situation remains unresolved.

Your letter also requested biannual reports on all closed OIG investigations, evaluations, and audits not disclosed to the public since January 2009. The OIG makes every attempt to publicly disclose its work within the parameters of the law and as is practical. Enclosure B is a list of audit reports issued during the time period that were not publicly released. The contract and assistance agreement reports were not disclosed because of concerns with confidential business information. Single Audit Act audit reports were not disclosed because the audits were conducted by other organizations. We also did not make available one performance audit memorandum because the assignment was terminated without issuing a report. Enclosure C summarizes 45 closed investigations not made public during the time period requested. Due to Privacy Act concerns, names and other identifying information have been redacted.

Finally, you asked for a copy of the information on outstanding recommendations that have not been fully implemented that we provided to Congressman Issa. Enclosure D contains a copy of our response.

Thank you for your continued support of the work we do as Inspectors General. If you would like additional information on any audit or investigation listed, or have any other questions, please contact me at (202) 566-0847. I am also sending an identical letter to Senator Grassley.

Sincerely,


Bill A. Koderick
Acting Inspector General

Enclosures

- The OIG opened an investigation regarding reportedly stolen, missing, or unaccounted for firearms within EPA's Office of Criminal Enforcement, Forensics and Training (OCEFT), Criminal Investigation Division. During the course of our investigation, senior OCEFT managers either refused to cooperate with us or were only marginally responsive to our numerous requests for documents and information. This has impeded our investigation and forced us to rely on other investigative techniques to obtain the necessary information. This investigation remains open.
- In 2008, the OIG became aware that EPA's Office of Enforcement and Compliance Assurance had formally instructed members of its staff via e-mail not to talk to the OIG without senior management approval. As you know, the IG Act authorizes federal inspectors general to access information and personnel relative to program operations of federal agencies. EPA's own policy also endorses OIG access to personnel and timely information.

We initiated an evaluation of EPA's policies and procedures governing OIG access to personnel, records, and other information. This work included a survey of EPA employees to assess their knowledge about interacting with the OIG. The survey found that EPA employees had a significant lack of knowledge about interacting with the OIG. We issued an interim report on the survey results in January 2009. Also, at the time of our review, we found that EPA did not have consistent overall guidance governing interaction with the OIG. Consequently, some EPA offices promulgated internal guidance that impeded OIG access to information and personnel. In our report issued in August 2009, we recommended that EPA issue guidance to all EPA programs and regional offices on interacting with the OIG to ensure unfettered access to information and personnel; and that all lower-level guidance be revoked. Prior to the release of our report, the EPA Administrator issued a memorandum to all employees on cooperating with the OIG to address these issues. Enclosure A contains the two reports that detail our findings and recommendations.

- In 2009, the OIG was notified that EPA computer systems were compromised by an organized threat targeting computers government-wide, known as the Advanced Persistent Threat (APT). The OIG subsequently opened an investigation into computer intrusions associated with APT. Throughout our investigation, EPA has been slow to respond to requests for information and meetings; and has done little to assist us with mitigating this threat.

As a result, we had to develop an investigative strategy and course of action without the added benefits EPA could provide on the identity of the most sensitive compromised systems; an understanding of the topology, operations, and security of the network; access to key individuals and locations; and additional manpower support to assist in acquiring images and information from the compromised systems. Repeated attempts to meet with EPA Office of Environmental Information management to obtain their support on this investigation have met with little success. To date, EPA has reported to us the



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JUN 17 2010

OFFICE OF
THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, DC 20510

Dear Senator Coburn:

In our May 11, 2010, response to the portion of your request listing closed Office of Inspector General audits not disclosed to the public since January 2009, we inadvertently left out five information technology reports. These reports assessed computer network vulnerabilities at various EPA locations. While we posted one-page summaries of each of these reports on our Website, we did not release the full reports due to the sensitive nature of our technical findings. Enclosure B of our response has been updated to include these reports, which are listed under "Information Resources Management Audits." This should replace our earlier submission.

If you would like additional information on any audit listed, or have any other questions, please contact me at (202) 566-0847. I am also sending an identical letter to Senator Grassley.

Sincerely,

A handwritten signature in black ink, appearing to read "Bill A. Roderick".

Bill A. Roderick
Acting Inspector General

Enclosure



Recycled/Recyclable
Printed with SoyInk on paper that
contains at least 50% recycled fiber

Enclosure B

**EPA Office of Inspector General List of Closed Audit Reports
Issued since January 2009 not Available to the Public**

09-3-0139	Albuquerque, City of FY 2006	Apr/15/2009
09-3-0140	Anaconda-Deer Lodge County FY 2007	Apr/20/2009
09-3-0141	Assiniboine and Sioux Tribes of the Fort Peck Indian Reservation FY 2007	Apr/20/2009
09-3-0142	North Carolina State of FY 2007	Apr/23/2009
09-3-0143	Hawaii Department of Health FY 2007	Apr/23/2009
09-3-0153	Sandia Pueblo of FY 2005	Jun/04/2009
09-3-0154	Sandia Pueblo of FY 2006	Jun/04/2009
09-3-0155	Association of Bay Area Governments FY 2007	Jun/04/2009
09-3-0156	Ak-Chin Indian Community FY 2007	Jun/04/2009
09-3-0157	Barona Group of the Capitan Grande Band of Mission Indians FY 2007	Jun/04/2009
09-3-0158	Bishop Paiute Tribe FY 2007	Jun/08/2009
09-3-0160	California State of FY 2007	Jun/08/2009
09-3-0165	Colorado State of FY 2007	Jun/17/2009
09-3-0166	Connecticut State of FY 2007	Jun/17/2009
09-3-0167	Northway Village Council FY 2004	Jun/17/2009
09-3-0168	Pala Band of Mission Indians FY 2007	Jun/22/2009
09-3-0169	Carson Water Subconservancy District FY 2007	Jun/22/2009
09-3-0170	Maine State of FY 2007	Jun/22/2009
09-3-0171	Saltillo City of FY 2006	Jun/23/2009
09-3-0173	Lake Michigan Air Directors Consortium FY 2008	Jun/23/2009
09-3-0174	Puerto Rico Water Pollution Control Revolving Fund FY 2007	Jun/23/2009
09-3-0175	Oregon State of FY 2007	Jun/24/2009
09-3-0177	Puerto Rico Department of Health FY 2006	Jun/24/2009
09-3-0178	Puerto Rico Environmental Quality Board FY 2007	Jun/25/2009
09-3-0179	Pennsylvania Commonwealth of FY 2007	Jun/26/2009
09-3-0180	Virgin Islands, U.S. Government of FY 2006	Jun/26/2009
09-3-0181	Kentucky Commonwealth of FY 2007	Jun/29/2009
09-3-0182	Texas State of FY 2007	Jun/29/2009
09-3-0183	Connecticut, State of FY 2008	Jun/30/2009
09-3-0190	New Hampshire State of FY 2007	Jul/01/2009
09-3-0192	Delaware State of FY 2008	Jul/10/2009
09-3-0193	Colorado State of FY 2008	Jul/10/2009
09-3-0194	American Samoa Territory of FY 2007	Jul/10/2009
09-3-0198	Iowa State of FY 2008	Jul/30/2009
09-3-0199	Puerto Rico Water Pollution Control Revolving Fund	Jul/30/2009
09-3-0201	Palatka City of FY 2008	Aug/05/2009
09-3-0202	Fort Worth City of FY 2007	Aug/06/2009
09-3-0204	Montana State of FY 2007	Aug/06/2009
09-3-0205	Florida State of FY 2008	Aug/07/2009
09-3-0207	Kwethluk Ira Council FY 2007	Aug/12/2009
09-3-0208	Chevak Traditional Council FY 2004	Aug/12/2009
09-3-0209	Chevak Traditional Council FY 2005	Aug/12/2009
09-3-0210	Guam Government of FY 2007	Aug/12/2009
09-3-0211	Georgia State of 2008	Aug/12/2009
09-3-0212	Montgomery Town of FY 2007	Aug/14/2009
09-3-0214	Puerto Rico Aqueduct and Sewer Authority FY 2006	Aug/17/2009

10-3-0064	Lone Pine Paute-Shosone Reservation FY 2007	Feb/12/2010
10-3-0068	Pit River Tribe FY 2008	Feb/19/2010
10-3-0069	Woods Hole Oceanographic Institution FY 2008	Feb/19/2010
10-3-0074	Yurok Tribe FY 2008	Feb/26/2010
10-3-0083	Colorado State of FY 2009	Mar/24/2010
10-3-0084	Utah State of FY 2009	Mar/24/2010
10-3-0085	Te-Moak Tribe of Western Shoshone/Battle Mountain Band Council FY 2008	Mar/24/2010
10-3-0090	Batelle Memorial Institute FY 2008	Mar/31/2010
10-3-0091	Blackfeet Tribe of the Blackfeet Indian Reservation FY 2006	Mar/31/2010
10-3-0097	California State of FY 2009	Apr/08/2010
10-3-0103	Connecticut State of FY 2009	Apr/16/2010
10-3-0105	Arkansas, State of FY 2009	Apr/19/2010
10-3-0107	Puerto Rico Safe Drinking Water Treatment Revolving Loan Fund FY 2009	Apr/20/2010
10-3-0108	Puerto Rico Water Pollution Control Revolving Fund FY 2009	Apr/20/2010
10-3-0109	Cahuilla Band of Indians FY 2007	Apr/21/2010
Contract Audits		
09-4-0133	STN Environmental Contract Review	Apr/03/2009
09-4-0134	Call Henry Labor Verification Review	Apr/03/2009
09-4-0135	Tetra Tech EM Inc. - Base Year Labor Verification	Apr/03/2009
09-4-0225	CERCLA Credit Claim - Concord, NC	Aug/31/2009
10-4-0070	Army Creek Landfill CERCLA Claim No.3 - New Castle, Delaware	Feb/24/2010
Assistance Agreement / Performance Audits		
09-4-0112	ML Wastewater Management, Inc- EPA Grant X97572201	Mar/09/2009
NA	Consulting Contracts for Outreach and Voluntary Programs	Apr/02/2009

**EPA Office of Inspector General Summaries of Closed Investigations
Since January 2009 not Disclosed to the Public**

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATIONS AND RESOLUTION
2008-CS-0094	8/21/2008	1/7/2009	<u>Allegation:</u> A former EPA employee may be representing back to the government (18 USC 207) in connection with a Request for Proposal that he may have participated personally and substantially in while employed. (18 USC 208) <u>Resolution:</u> The allegations were not substantiated.
2007-CS-0116	3/20/07	2/19/09	<u>Allegation:</u> An EPA employee improperly discharged one contractor and steered a contract to another contractor with which she was associated. (18 USC 208) <u>Resolution:</u> An investigation disclosed no evidence of misconduct by the employee and the second contractor, nor any clear evidence of a personal relationship between the individual and the company. Allegation was disproven.
2008-CS-0029	1/9/2008	3/17/2009	<u>Allegation:</u> A grantee employee may have embezzled federal funds. (18 USC 666) <u>Resolution:</u> The employee entered a guilty plea to local charges, made restitution, served 5 days in jail, and paid a fine. EPA sought and achieved her debarment from participation in federal procurement activities for 3 years.
2007-CS-0052	3/14/2007	3/17/2009	<u>Allegation:</u> An EPA employee may have received gratuities and things of value in return for using his official position and authority to further the personal business of two businessmen. (18 USC 201) The employee may have made false statements to the FBI and provided false information to the U.S. Marshals Service to obtain deputation for a local police officer. (18 USC 1001) In addition, the employee manufactured task force credentials without approval. (18 USC 701) <u>Resolution:</u> Evidence demonstrated that the employee had issued credentials without authorization to unauthorized individuals, but that matter was declined by the Department of Justice (DOJ); and that the employee had not reported gifts of expensive meals on the annual disclosure form. The FBI declined to proceed against the employee because if there was a false statement, it was not material. The employee
2008-CS-0062	5/12/2008	3/25/2009	<u>Allegation:</u> Tribal council members made unlawful drawdowns from EPA grants, submitted false statements (18 USC 1001), and embezzled federal funds (19 USC 666) for unjustified travel expenses and concealed the

			package without permission. (18 USC 1001) <u>Resolution:</u> This case was declined for criminal and civil action by DOJ.
2008-CS-0033	1/9/08	5/5/09	<u>Allegation:</u> Companies may have engaged in collusive bidding and bid-rigging for sewer construction projects funded by EPA state revolving funds or earmark grants. (15 USC 1-7 Sherman Anti-Trust Act) <u>Resolution:</u> Case closed at recommendation of DOJ based upon [REDACTED]
2007-CS-0093	3/20/07	5/5/09	<u>Allegation:</u> A commercial testing laboratory lost its state certification but continued to provide services and reports as if it were still certified. (18 USC 1341, 1001) <u>Resolution:</u> The matter was criminally declined by DOJ for [REDACTED]. Suspension and Debarment was not pursued by EPA.
2007-CS-0014	12/12/06	6/18/09	<u>Allegation:</u> Potential failure to disclose conflicts of interest, improper contractual relationship between EPA contractor and expert, and possible misuse of confidential and/or proprietary information. (18 USC 1001, 1341) <u>Resolution:</u> The investigation did not substantiate an organizational conflict of interest or a definitive breach of confidentiality relating to EPA contract data, nor did the [REDACTED]. Prosecution was declined by DOJ.
2008-CS-0072	6/27/08	7/16/09	<u>Allegation:</u> An EPA employee was allegedly employed on a second job as a local police officer without prior authorization. (18 USC 1001, 1361; TCS 39-16-402) <u>Resolution:</u> DOJ declined prosecution. The employee resigned in lieu of a proposal to remove him from his position.
2009-CS-0032	12/23/08	8/4/09	<u>Allegation:</u> A former EPA employee was representing back to the EPA in violation of post employment restrictions by attending an EPA meeting on a subject previously under his responsibility. (18 USC 207) <u>Resolution:</u> DOJ declined prosecution. Suspension and Debarment declined to initiate a proposed action. Case was closed.
2007-CS-0023	12/12/2006	10/8/2009	<u>Allegation:</u> EPA employees took unnecessary travel and went golfing during work hours. One employee appeared to have a close relationship with an EPA contractor. (18 USC 287, 208) <u>Resolution:</u> Informal disciplinary action was taken against one employee.

2007-CS-0039	2/21/2007	11/18/2009	<u>Allegation:</u> A contractor allegedly mischarged costs to an EPA Contract. (18 USC 286) <u>Resolution:</u> The investigation did not substantiate the existence of a broad, intentional scheme to defraud the EPA. Criminal and administrative actions were not warranted.
2008-CS-0048	4/17/2008	11/30/2009	<u>Allegation:</u> A company overbilled a municipality under an EPA grant to upgrade drinking and wastewater facilities. (18 USC 1001) <u>Resolution:</u> The EPA project officer denied payment under the grant. Criminal and administrative actions were declined by DOJ and EPA.
2009-CS-0111	9/1/2009	12/18/2009	<u>Allegation:</u> An EPA inspector was being bribed. (18 USC 201) <u>Resolution:</u> The allegation could not be substantiated.
2009-CS-0050	2/16/2009	12/22/2009	<u>Allegation:</u> A company made false statements in the EPA grant award process and federal funds may have been embezzled. (18 USC 666, 18 USC 1001) <u>Resolution:</u> The allegations were disproven.
2009-CS-0116	9/1/2009	12/22/2009	<u>Allegation:</u> A company may have submitted false test samples on an EPA funded program. (18 USC 1001) <u>Resolution:</u> The allegation could not be substantiated.
2009-CS-0136	9/8/09	12/30/09	<u>Allegation:</u> An individual was creating bogus EPA checks and forging the signature of the EPA Administrator. The bogus checks were then cashed by unsuspecting individuals. (18 USC 1341, 1344) <u>Resolution:</u> The subject used an IP address associated with other Nigeria scams. [REDACTED] Prosecution was declined by DOJ.
2009-CS-0026	4/27/09	12/30/09	<u>Allegation:</u> A former contractor employee's resume was falsified by the contractor; the contractor's President directed the same employee to obtain internal EPA information; and timecard fraud. (18 USC 495, 1001, 641) <u>Resolution:</u> The issue regarding the resume was unsubstantiated. The issue of taking EPA records was proven but not to the level of criminal prosecution. The [REDACTED] Prosecution was declined by DOJ.
2007-CS-0162	8/9/07	12/30/09	<u>Allegation:</u> A city mayor may have misused EPA grant funds. (18 USC 1001, 666, 287) <u>Resolution:</u> All allegations were disproven
2010-CS-0014	10/27/09	12/31/09	<u>Allegation:</u> A contract was not the optimal use of EPA funds, specifically the exorbitant cost of producing a journal and the salary of the journal's editor. (18 USC 666) <u>Resolution:</u> No criminal violations were identified.

			<u>Resolution:</u> No Federal violations were found and the case was closed.
2009-CS-0041	1/30/09	4/5/10	<u>Allegation:</u> An EPA employee was arrested while on travel status. <u>Resolution:</u> The employee resigned.
2007-CS-0113	3/20/07	4/14/10	<u>Allegation:</u> An individual falsely represented himself as a government employee for personal gain. (18 USC 1343, 912, 514, 1001) <u>Resolution:</u> The individual was indicted. Upon determination that individual was a minor during a portion of the time the crimes were committed and was currently serving jail time on unrelated state charges, prosecution was declined by DOJ.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

April 2011

THE INSPECTOR GENERAL

The Honorable Tom Coburn
Ranking Member
Permanent Subcommittee on Investigations
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, D.C. 20510

Dear Senator Coburn:

Enclosed are listings of closed audits and investigations conducted by the Office of Inspector General (OIG) not disclosed to the public for the period May 1, 2010 - September 30, 2010. This responds to your continuing request for biannual reports of this information. The OIG makes every attempt to publicly disclose its work within the parameters of the law and as is practical. The audit reports listed were not disclosed because of concerns they may contain confidential business information or because the audits were conducted by other organizations. For the investigations listed, summary information has been provided identifying the allegations received and their resolution. Names and other identifying information have been redacted due to Privacy Act concerns. This format is similar to our last report to you.

Thank you for your continued support of the work we do as Inspectors General. If you would like additional information on any audit or investigation listed, please contact Eileen McMahon, Assistant Inspector General for Congressional, Public Affairs and Management, at (202) 566-2391. An identical letter is being provided to Senator Grassley.

Sincerely,

Arthur A. Elkins, Jr.

Enclosures

10-3-0180	Long Grove - City of FY 2009	08-11-2010
10-3-0181	Mt. Vernon - City of FY 2009	08-11-2010
10-3-0182	Douglas - City of FY 2009	08-11-2010
10-3-0183	Buffalo - City of FY 2009	08-11-2010
10-3-0184	Metropolitan Water District of Southern California FY 2009	08-12-2010
10-3-0185	Murrieta - City of FY 2009	08-12-2010
10-3-0186	West Burlington - City of FY 2009	08-16-2010
10-3-0187	Lake Michigan Air Directors Consortium FY 2009	08-16-2010
10-3-0188	South Carolina State of FY 2009	08-24-2010
10-3-0189	Mifflintown Municipal Authority FY 2009	08-18-2010
10-3-0190	Cochrannton - Borough of FY 2009	08-18-2010
10-3-0191	Avondale - City of FY 2009	08-18-2010
10-3-0192	Cass Rural Water Users District - FY 2009	08-18-2010
10-3-0193	Health Effects Institute FY 2009	08-20-2010
10-3-0195	Westminster - City of FY 2009	08-24-2010
10-3-0196	Western States Resources Council - FY 2009	08-25-2010
10-3-0197	Sun'aq Tribe of Kodiak - FY 2009	08-25-2010
10-3-0198	Yucaipa Valley Water District - FY 2009	08-25-2010
10-3-0199	Fort Benton - City of FY 2009	08-25-2010
10-3-0200	Marion - City of FY 2009	08-26-2010
10-3-0201	Banning, City of FY 2009	08-26-2010
10-3-0203	Pennsylvania Commonwealth of FY 2009	08-30-2010
10-3-0204	Illinois - State of FY 2009	08-30-2010
10-3-0205	Pojoaque - Pueblo of FY 2009	09-01-2010
10-3-0206	Ventura - County of FY 2009	09-01-2010
10-3-0207	Lakeland - City of FY 2009	09-01-2010
10-3-0208	Nogales - City of FY 2008	09-01-2010
10-3-0209	American Medical Association and Subsidiary - FY 2009	09-02-2010
10-3-0214	Southern Ute Indian Tribe FY 2009	09-07-2010
10-3-0215	Eight Northern Indian Pueblo Council FY 2009	09-07-2010
10-3-0216	Rutland, City of FY 2009	09-07-2010
10-3-0219	Te-Moak Tribe of Western Shoshone/Battle Mountain Band Council FY 2009	09-08-2010
10-3-0220	Fred Hutchinson Cancer Research Center FY 2009	09-08-2010
10-3-0221	New York University School of Medicine FY 2007	09-08-2010
10-3-0222	Michigan Department of Environmental Quality	09-13-2010
10-3-0223	City of Detroit Michigan FY 2009	09-13-2010
10-3-0225	Kearns Improvement District FY 2009	09-14-2010
10-3-0226	Superior, Town of, Montana FY 2009	09-16-2010
10-3-0227	Milwaukee Redevelopment Authority FY 2009	09-16-2010
10-3-0228	Minnesota Environmental Initiative FY 2008 and 2009	09-16-2010
10-3-0231	New Mexico Finance Authority FY 2007	09-22-2010
10-3-0232	Southwest Research Institute FY 2007	09-23-2010
10-3-0233	Howard University FY 2009	09-23-2010
10-3-0235	Atchison City of FY 2009	09-28-2010
10-3-0236	Brown University FY 2009	09-28-2010
10-3-0237	Nevada System of Higher Education FY 2009	09-29-2010
10-3-0238	Pelican City of FY 2009	09-29-2010

**EPA Office of Inspector General
 Closed Investigations not Disclosed to the Public
 May 1, 2010 – September 30, 2010**

CASE NUMBER	DATE OPEN	DATE CLOSED	ALLEGATIONS AND RESOLUTION
OC-KA-2010-ADM-0215	3/4/2010	5/9/2010	<u>Allegation:</u> An EPA employee's computer contained child pornography. <u>Resolution:</u> Forensic analysis did not support the allegation. The employee retired and the case was closed.
2009-CS-0059	3/9/2009	5/24/2010	<u>Allegation:</u> An EPA employee was not impartial in performing his duties; misused his position; participated in outside activities without obtaining prior approval; and was insubordinate in not following his supervisor's directions and orders. <u>Resolution:</u> The investigation substantiated all allegations except the impartiality allegation. The employee received a 60-day suspension.
OC-KA-2010-ADM-0239	3/18/2010	5/24/2010	<u>Allegation:</u> An EPA employee used a government computer to store child pornography. <u>Resolution:</u> This investigation was closed based on information that the employee had already resigned based upon an internal review that substantiate the allegation.
2009-CS-0143	9/21/2009	5/27/2010	<u>Allegation:</u> An EPA grantee filed a false report and sought payment when the work under the grant was not completed in accordance with the terms of the grant. <u>Resolution:</u> The allegation was substantiated. The grantee did not pursue reimbursement from EPA and EPA retained all funds on this grant. The contractor implemented changes to ensure EPA programs would be implemented properly in the future.
●I-SA-2010-ADM-0187	2/12/2010	6/10/2010	<u>Allegation:</u> A resident was representing that he had valid Federal Insecticide, Fungicide, and Rodenticide Act credentials and threatened to shut down a business using those credentials. <u>Resolution:</u> The allegation regarding possession of the credentials was substantiated. The individual returned the credentials to EPA and the case was closed.
2010-CS-0005	10/9/2009	6/30/2010	<u>Allegation:</u> A company disposed of 1.5 million tons of fly ash, knowing its potential danger, and concealed pertinent information from the public and the government. It was also alleged that the EPA contractor working on the removal assessment and inspection at the site did not perform proper testing and did not accurately represent the condition of the site.

OI-PH-2010-CAC-0285	5/21/2010	8/4/2010	<p><u>Allegation:</u> An email threat was sent to an EPA office that said "at 12 tomorrow there will be 3 gunman don't try to call the police. Or else."</p> <p><u>Resolution:</u> The investigation determined a juvenile from a local private school posted the threat during a science class. The juvenile was suspended from school, ordered to perform community service and to apologize for his actions orally and in writing.</p>
2010-CS-0011	10/23/2009	8/5/2010	<p><u>Allegation:</u> An engineering firm overseeing an ARRA-funded project was alleged to have received benefits from a vendor in exchange for the engineering firm requiring the prime contractor to use the specific vendor on the project.</p> <p><u>Resolution:</u> The prime contractor acknowledged receiving pressure from the engineering firm but denied knowledge of any benefit. Federal prosecution was declined, a referral was made to the state, and the investigation was closed.</p>
2009-CS-0119	7/30/2009	8/5/2010	<p><u>Allegation:</u> There was a bid rigging scheme on an ARRA-funded project. In addition, the winning contractor used foreign made parts in violation of the Buy America Act provisions of ARRA.</p> <p><u>Resolution:</u> The allegation regarding bid rigging was unsubstantiated. The winning contractor had obtained a national waiver in order to use the foreign made parts. Prosecution and suspension and debarment were declined. The investigation was closed.</p>
OC-NE-2010-ADM-0287	5/25/2010	8/24/2010	<p><u>Allegation:</u> An EPA supervisor was involved in an inappropriate relationship with [REDACTED] and the supervisor made threats against the subordinate employee.</p> <p><u>Resolution:</u> The allegation regarding the inappropriate relationship was substantiated and the supervisor was [REDACTED] position in another office [REDACTED]. The allegation regarding the threats was not substantiated.</p>
OI-CH-2010-CAC-0276	5/3/2010	9/14/2010	<p><u>Allegation:</u> A company used the EPA logo and seal on its website, misrepresenting the relationship between the company and the EPA.</p> <p><u>Resolution:</u> The allegation was substantiated and a cease and desist letter was sent to the company.</p>
OC-SE-2010-ADM-0311	6/30/2010	9/22/2010	<p><u>Allegation:</u> An EPA employee was being denied access to her EPA computer which contained evidence of wrongdoing by fellow EPA employees, which was being actively investigated by the FBI.</p> <p><u>Resolution:</u> Forensic analysis of the computer and discussions with the FBI did not substantiate the allegations and the case was closed.</p>

Note for the record:

For the period October 2010-March 2011, no reports were submitted. We thought they were not required. Reporting started again for the period April 2011 through September 2011.

██████████ 7/30/2012



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

APR 15 2010

OFFICE OF
INSPECTOR GENERAL

The Honorable Charles E. Grassley
Ranking Member
Committee on Finance
United States Senate
Washington, DC 20510

Dear Senator Grassley:

This in response to your letter of April 8, 2010, cosigned by Senator Coburn requesting information related to the level of cooperation the Office of Inspector General (OIG) has received from officials and staff of the U.S. Environmental Protection Agency (EPA) in the course of our work, and reports on all closed investigations, evaluations, and audits conducted by my office that have not been disclosed to the public. We are working on collecting the requested information and plan to provide it to you by June 15, 2010, or earlier, as you requested.

Your letter also made reference to the provision in the Inspector General Reform Act of 2008, which requires that the comments of the Inspector General (IG) be included in the Budget of the United States Government if the IG determines that the budget would "substantially inhibit" the OIG from performing its duties. I am writing to inform you that I believe that is the case for the EPA-OIG for the budget that has been requested for FY 2011. The President's budget request for the OIG is \$55.8 million, which is approximately \$8.9 million less than we requested. We are seeking the additional funding to enable us to increase and maintain OIG staffing to previous levels as directed by Congress, and to give proper audit coverage to the areas where the Agency's budget has increased. Specifically, the funding for EPA grants unrelated to the American Recovery and Reinvestment Act of 2009 have increased significantly, and it is imperative that we conduct needed reviews in this high-risk area.

I communicated my concerns in a letter to the Office of Management and Budget, which I have enclosed. The President's budget document for FY 2011 did not include my letter but makes reference to it and states that it is included in the congressional justification. Also, I was told that my letter was omitted by EPA from the hard copies of EPA's FY 2011 congressional justification delivered to Congress. Only after we raised this omission with EPA did they correct their mistake. To ensure my letter reached



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

DEC -9 2011

OFFICE OF
INSPECTOR GENERAL

The Honorable Peter R. Orszag
Director, Office of Management and Budget
Executive Office of the President
725 17th Street, NW
Washington, D.C. 20503

Dear Mr. Orszag:

As you are aware, The Inspector General Act of 1978, as amended, 5 U.S.C. app. 3, § 6(f)(3)(E) provides that:

"The President shall include in each budget of the United States Government submitted to Congress-- any comments of the affected Inspector General with respect to the proposal if the Inspector General concludes that the budget submitted by the President would substantially inhibit the Inspector General from performing the duties of the office."

Based on the proposed funding level for FY 2011 that was provided in the passback for the Environmental Protection Agency's (EPA) Office of Inspector General (OIG), I am providing the following comments for inclusion in the President's FY 2011 Budget.

"The OIG requested an FY 2011 increase of \$10 million above the target level provided by EPA for the following reasons:

In the FY 2010 President's Budget, EPA requested: 1) \$1.7 billion increase for the CleanWater State Revolving Fund; 2) \$671 million increase in the Drinking Water State Revolving Fund; and 3) \$475 million for the Great Lakes Restoration Initiative. The State Revolving funds will provide grants to states for water infrastructure projects. The Great Lakes Restoration Initiative will use funds to support projects targeting the most significant problems of the Great Lakes.