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"Rummaging in the government's attic"

Description of document: Two declassified versions of the complaint email received by the Comptroller of the Currency Thomas J. Curry concerning allegations of improper activities, 2012

Less Redacted Version Requested: 2012

Less Redacted Version Released: 20-September-2012

More Redacted Version Requested: 05-May-2012

More Redacted Version Released: 23-May-2012

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Source of document: Office of the Comptroller of the Currency
Disclosure Officer
Washington, DC 20219
Fax: (202) 874-5274
[OCC Online FOIA request submission portal](#)

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Non Responsive

----- Original Message -----

From: Beck Grund [mailto:(b)(6)]
Sent: Monday, April 09, 2012 09:05 PM
To: Brosnan, Mike; Lyons, John; Kelly, Jennifer; Levonian, Mark;
Jullie.Williams(b)(6) Curry, Thomas

Executive Committee:

We write this to you in all sincerity with a focus in mind regarding what peer group regulators are doing to manage the dynamic financial industry. Most of the content below is an objective opinion and observation that can be backed-up by facts if enough research is conducted.

Of the many forecast and projection on financial industry's recovery, there is one that is out there that predicts perpetual turmoil for as long as next decade. To hedge this outcome, our peer group has taken and has been taking short and long term actions. To name few, FDIC, FRB, newly formed CFPB and OFR, and other state and federal banking regulators are gearing towards recruiting senior talent, building robust intelligent and predictive systems, and are developing ability to collect, mine, and analyze the data. This in turn is designed to empower, financial analysts, economist, bank examiners, and decision makers to connect the dots and have ability to keep-up with unpredictable market conditions.

At the core of this execution in all the regulatory bodies is a seasoned support staff that is facilitating recruiting and retaining talent, augmenting contract staff where and as needed and building state of the art Information Technology division. And that is what brings us to the frustration that quite a few of us have experienced (b)(6)

(b)(6)

Office of Management (OM) is the division (b)(6) that is responsible for many functions and some of these core functions are HR, Contract acquisition, and Information Technology. (b)(6) has allegedly demonstrated some serious lapse in judgment and questionable ethical and moral behavior. (b)(6) has/had been engaged in PDA + (Public Display of Affection Plus) behavior (b)(6) while being married. Rumor (none of them confirmed) has it that some of these discretionary activities are probably taped under surveillance. However, (b)(6) all aspect of security (physical, IT, and building) these incidents may have been conveniently swept under the rug. One can only imagine reputational risks if such an incident were to exist and somehow find its way to the social media. (b)(6) also alleged to have a dictatorial, autocratic, and authoritarian style (b)(6)

leaves no room for voice of reason. (b)(6) so called successes are hidden behind operative jargons such as operation excellence, lean six sigma processes, Q12 surveys, relying heavily on intern staff for agency's core function, etc. None of these processes can be proven to have improved morals, ethics, and alleged cost reduction (b)(6) On the

contrary, these processes could be hampering OCC to function properly, since these processes are imposed upon OM staff as a mandate rather than evaluating them individually for the best fit. OM is witty enough to produce routine surveys, which can be easily skewed to influence the outcome. These outcomes are then propped as a wild success of OM's process improvement. I am sure each ExCOMM member has had a chuckle or two when they hear about so called 'Operational Excellence'.

Current HR processes are lethargic at best to entice and hire a right talent. Not much has changed in Human Resources division in last 5+ years. Contract Acquisitions has been in the cellar for the longest. Contract execution that should take weeks to months has taken more than a year and we are sure all of the ExCOMM member can attest to it.

And finally that brings to our pet peeve - Information Technology. It is perhaps the weakest leg of the OM stool. For some strange reason IT has been in the dog house for past 10+ years. IT has consistently failed to understand its role as it is related to bank supervision systems, has failed to provide innovation and bold new thinking, and has failed to partner with supervision at large. They have always been at the table with 'what do you want us to do' attitude as oppose to 'what can we do for you' attitude.

Chronologically - IT finally got a seat at the table (b)(6)
(b)(6) Next was (b)(6) IT
tracked south by front loading more on processes than execution. (b)(6)
(b)(6) IT traded water but made little progress since (b)(6) more extroverts
(b)(6) than introvert. (b)(6) and had pretty much delegated daily function (b)(6)
(b)(6). At the time, (b)(6) believed that ruling by fear and intimidation achieves
most goals. This led to a somewhat disastrous outcome. (b)(6)
There have been questions and speculations that as part of agreement (b)(6)
there might have been internal pact to demote ITS from ExCOMM membership to OM and promote
(b)(6) Again, this is speculative but might have some truth to it.

(b)(6) The current (b)(6) leadership (which is essentially a reflection of (b)(6) in a roundabout way) is in a sad state. (b)(6) has allegedly made a career by undermining and undercutting (b)(6) colleagues and direct supervisor to promote (b)(6) Speaking from (b)(6) resume, (b)(6) because (b)(6) inability to (b)(6) finish initiatives (b)(6) starts. (b)(6) illustrious career is contract management and consolidation, which at OCC has not worked too well. (b)(6) has failed to deploy a single 'new' system at OCC, has not managed to upkeep technology (we are still on windows XP and data center is marginal at best), lead a disastrous roll out of new laptops, has been alleged to have anger management issues, and has been involved in questionable ethical practices (couple of examples: allegedly hired a highly paid contractor who was working for two plus years, who did not produce a single tangible output, to a director position and allegedly hired human capital contracting firm (b)(6) had some tie-in). We have had more failures with our email and blackberry system (b)(6)
(b)(6) In our opinion, (b)(6) cannot be progressive and agile under morally and ethically questionable leadership. If followers have no respect for their leaders then outcome is usually a foregone conclusion.

(b)(6) who can be best described as (b)(6) with very little understanding of Information Technology at large, little understanding of IT in banking industry in particular and little understanding of basic bank supervision processes. In roughly (b)(6) electronic Freedom of Information Act system (a system that has been plagued from inception), employee/contractor on-and-off boarding system that has had numerous unscheduled outages and downtime since deploying in to production, and infamous CATS system (new modern licensing system to replace legacy licensing system still running on mainframe) which has significant time and cost overruns. (b)(6) such a track record for any other individual

would have been met with a measured response but apparently

(b)(6)

(b)(6)

Go figure.

(b)(6)

No comment.

We understand that some of the allegations laid forth in here may be speculative and simply just that - an allegation. We also understand that it is better to speak to half-truth than to keep silence. Finally, we also understand basic protection under whistle blowing act.

It is our utmost hope as Mr. Curry starts his new career at OCC that ExCOMM leadership will facilitate and assist him to address and change swiftly and internally morally and ethically challenged (b)(6)

Respectfully,

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