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Description of document: **List of Commodity Futures Trading Commission (CFTC) periodic employee colloquia, brown bag lunch talks, and employee seminars, 2006-2008**

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Title of Document 2006, 2007, 2008 Economic and Financial Research Seminars

Source of document: CFTC - OGC/ FOIA Compliance Office  
1155 21st Street, NW  
Washington, DC 20581

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From: "Easter, Stacy" <seaster@CFTC.gov>  
Date: Mon, 08 Sep 2008 16:01:20 -0400  
Conversation: CFTC FOIA No. 08-0131  
Subject: CFTC FOIA No. 08-0131

This e-mail is in final response to your August 22, 2008 Freedom of Information Act request for the list of periodic employee colloquia, brown bag lunch talks, and employee seminars.

Commission staff has identified material responsive to your request and has determined that your request should be granted in full. A copy of the responsive material, totaling 2 pages, is attached.

Please direct any questions you have concerning the initial processing of your request to me.

Sincerely,

Stacy J. Easter  
Paralegal Specialist  
CFTC - OGC/ FOIA Compliance Office  
1155 21st Street, NW  
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## 2006 Economic and Financial Research Seminars

December 14, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
Ownership Structure and Enforcement Incentives at Self-Regulating Financial Exchanges. (Co-author M. Robe.)  
**Speakers:** Dr. David Reiffen, Senior Financial Economist, Office of Chief Economist, CFTC.

November 30, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
The Effects of "Risk Factor" Disclosure on the Pricing of IPOs and Aftermarket Returns.  
**Speakers:** Dr. Raymond P. Fische, Professor and Distinguished Chair in Finance, Robins School of Business, University of Richmond.

November 8, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
Trader Participation and Price Discovery in Long-Term Energy Futures Contracts.  
**Speakers:** Dr. Michael A. Robe, IPA and Associate Professor of Finance, Kogod School of Business, American University, Washington, DC. (Co-authored with Michael Haigh, Jeff Harris, and James Overdahl.)

October 31, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
Basel II: A Case for Recalibration  
**Speaker:** Dr. Paul Kupiec, Co-Director, FDIC's Center of Financial Research and an Associate Director of the Division of Insurance & Research, FDIC, Washington, DC.

September 26, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
Hedge Fund Risk Dynamics, Implications for Performance Appraisal  
**Speaker:** Dr. Nicolas P.B. Bollen, Associate Professor, Owen Graduate School of Management, Vanderbilt University, Nashville, TN 37203

April 7, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
Do Growth Options Explain the Trend in Idiosyncratic Risk?  
**Speakers:** Dr. Charles Cao, David McKinley Professor of Business Administration & Professor of Finance, The Smeal College of Business, The Pennsylvania State University, University Park, Pennsylvania.

March 9, 2006  
11:30 a.m. to 12:30 p.m.  
Room 5077

Economic and Financial Research Seminar.  
Why and When do Spot Prices of Crude Oil Revert to Futures Price Level?  
**Speaker:** Dr. Mark W. French, Senior Economist, Division of Research & Statistics and Monetary Affairs, Federal Reserve Board, Washington, DC

February 7, 2006  
11:30 a.m. to 12:30 p.m.  
Room 8070

Economic and Financial Research Seminar.  
1) Secondary Trading Costs in the Municipal Bond Market,  
2) Corporate Bond Market Trading Costs & Transparency.  
**Speakers:** Dr. Mike Piwowar, Senior Financial Economist, Office of Economic Analysis, Security Exchange Commission, Washington, DC with Amy Edwards and Larry Harris.

Second Economic and Financial Research Seminar.  
Why and When Do Spot Prices of Crude Oil Revert to Futures Price Levels.  
Soeaker: Mark W. French. Divisions of Research

## 2007 Economic and Financial Research Seminars

October 10, 2007—  
2 to 3 p.m. in Room 8070

Economic and Financial Research Seminar.  
**Commodities and Equities: A "Market of One"?**  
Authors: Dr. Bahattin Buyuksahin, Michael S. Haigh, &  
Michel Robe.  
**Speaker:** Dr. Bahattin Buyuksahin, CFTC, Washington  
D.C.

## 2008 Economic and Financial Research Seminars

February 22, 2008  
10:30 a.m. to 11:30 a.m.  
Room 8070

**Speaker:** Dr. Alexander W. Butler is an assistant professor of finance at the University of Texas at Dallas where he teaches the Honors Business Finance course to undergraduates and graduate-level Money and Capital Markets. Prior to coming to UTD, he taught at University of South Florida, Rice University, Louisiana State University, and Indiana University. He has taught finance at the undergraduate, MBA, Executive MBA, MS in Finance, and PhD levels. Butler received his Ph.D. in Finance at Indiana University in 1999. During his last year in residence at IU, he taught full-time as a Visiting Lecturer.

**Presentation:** Does Access to Finance Improve Productivity? Evidence from a Natural Experiment

His research primarily focuses on empirical questions in security issuance and trading, including the predictive power of aggregate security issues for future market returns, the roles of investment banks in security offerings, short selling, convertible bonds, banking, and non-bank financial intermediaries. He has had papers accepted/published in Journal of Finance, Review of Financial Studies, Journal of Business, Journal of Financial and Quantitative Analysis, Journal of Corporate Finance, Financial Analysts Journal, and Journal of Multinational Financial Management, has been quoted in the Wall Street Journal and Investor's Business Daily, has made research presentations at numerous universities and national, international, and regional conferences, has won three research awards at academic conferences, and has been nominated for a Smith Breeden award and been a finalist for the Brattle Prize.

January 16, 2008,  
Room 8070 at 11 a.m.

**Speaker:** Jeffrey W. Smith, Nasdaq's Director of Research will present and host an interactive discussion about current issues at Nasdaq:

- merger with/purchase of Boston and Philadelphia markets
- purchase of Swedish OMX Group, trading system supplier to and operator of markets worldwide
- pricing issues (liquidity access fees/provider rebates)
- electronic competition