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Description of document: **FOIA CASE LOGS for: the Commodity Futures Trading Commission (CFTC), Washington, DC for FY2005 – FY2007**

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Date/date range of document: 05-October-2004 – 27-September-2007

Source of document: Assistant Secretary for FOIA Matters
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

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From: "Easter, Stacy" <seaster@CFTC.gov>
Date: 2007/12/11 Tue AM 06:38:21 CST
Subject: CFTC FOIA No.: 08-0030

This e-mail is in final response to your October 21, 2007, Freedom of Information Act for the FOIA case log for FY 2005 - 2007.

Commission staff has identified material responsive to your request and has determined that your request should be grant in part and denied in part. Information regarding FOIA requests also made pursuant to the Privacy Act has been redacted from the logs pursuant to exemption 6 of the Freedom of Information Act, 5 U.S.C. § 552(b)(6), pertaining to information the release of which would constitute an unwarranted invasion of personal privacy. A copy of the responsive material, totaling 63 pages, is attached.

You may, if you wish, file an appeal for access to information denied you by this letter. Your appeal must be filed within 30 days of the date of this letter. Your appeal must be in writing and must contain a statement of the grounds upon which you are basing your appeal. Please refer to the FOIA number that has been assigned to this request (FOIA No. 08-0030). Your appeal should be addressed: Freedom of Information Act Appeal, Office of the General Counsel, Commodity Futures Trading Commission, Three Lafayette Centre, 8th Floor, 1155 - 21st Street, N.W., Washington, D.C. 20581. Please send a copy of your appeal to the FOIA Compliance Staff, Commodity Futures Trading Commission, Three Lafayette Centre, 8th Floor, 1155 - 21st Street, N.W., Washington, D.C. 20581. Questions concerning the processing of an appeal should be directed to the Office of the General Counsel at (202) 418-5120.

Please direct any questions you may have concerning the initial processing of your request to me.

Sincerely,

Stacy J. Easter
Paralegal Specialist
CFTC - FOIA Compliance Office
1155 21st Street, NW
Washington, DC 20581
202-418-5011 (office)
202-418-5543 (fax)

CFTC FOIA REQUESTS: FY 2005 through FY 2007

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0001	10/5/2004	CROWLEY, SHARON	REQUEST FOR ALL COMPLAINTS FILED FOR DOCKET NO. 01-21 AND 01-23.	TOTAL GRANT
05-0002	10/6/2004	GOLDSTEIN, SIDNEY S.	REQUEST FOR ALL DOCUMENTS RELATING TO INTERPRETATIVE LETTER NO. 03-31 DATED AUGUST 25, 2003.	TOTAL DENIAL
05-0003	10/8/2004	IVANS, RONALD	REQUEST FOR FORM 1-FR AS OF AUGUST 31, 2004 FOR: AETNA INVESTIGATIONS, BANC OF AMERICA FUTURES INC., CALYON FINANCIAL INC., CARGILL INVESTOR SERVICES INC., FC STONE LLC, FIMAT USA INC., ET AL.	PARTIAL GRANT
05-0004	10/13/2004	MAISANO, KIM	REQUEST A COPY OF THE CREDIT CARD HOLDER'S LIST WITH NAME ADDRESSES AND PHONE NUMBERS.	TOTAL GRANT
05-0005	10/13/2004	DICKERSON, A.	REQUEST FOR CFTC FORM 40 STATEMENT OF REPORTING TRADING 62K AND CFTC FORM 102 - IDENTIFICATION OF SPECIAL ACCOUNTS	TOTAL GRANT
05-0006	10/13/2004	BATEMAN, JODY	REQUEST FOR A LIST OF THE GOVERNMENT PURCHASE CARDHOLDERS, PHONE NUMBERS, EMAIL AND MAILING ADDRESSES	TOTAL GRANT
05-0007	10/13/2004	PESCH, CHRISTOPHER	REQUEST FOR ALL DOCUMENTS FILED BY ENERGYCLEAR CORPORATION RELATING TO ITS APPLICATION FOR REGISTRATION AS A DERIVATIVE CLEARING ORGANIZATION INCLUDING BUT NOT LIMITED TO THE ACTUAL APPLICATION.	PARTIAL GRANT
05-0008	10/13/2004	HOLMES, ETHAN	REQUEST FOR ENERGY GROUP PETITION FOR EXEMPTIVE RELIEF (93--006, NC01)	TOTAL GRANT
05-0009	10/15/2004	MOONEY, TIM	REQUEST FOR THE APPLICATION BY OPTIONS CLEARING CORPORATION TO CFTC FOR PERMISSION TO REGISTER AS A DERIVATIVES CLEARING ORGANIZATION	WITHDRAWN

