



# governmentattic.org

*"Rummaging in the government's attic"*

Description of document: **FOIA CASE LOGS for: United States Securities and Exchange Commission (SEC) for FY 2005**

Released date: 05-July-2007

Posted date: 25-August-2008

Title of Document All cases received in FY2005

Date/date range of document: 01-October-2004 - 30-September-2005

Source of document: Securities and Exchange Commission  
Office of FOIA and Privacy Act Operations  
100 F Street, NE  
Washington, DC 20549-5100  
Phone: 202-551-8300  
Fax: 202-772-9336  
Email: [foiapa@sec.gov](mailto:foiapa@sec.gov)

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549

Office of Freedom of Information  
& Privacy Act Operations

Mail Stop 5100

July 05, 2007

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
**Request No. 07-02796-FOIA**

Dear Mr. Trimble:

This is our final response to your request for the  
FOIA Case Log for FY 2005.

We have determined to release the enclosed report on  
CD in .xls format, which may be responsive to your request.

If you have any questions, please contact me at (202)  
551-8318.

Sincerely,

A handwritten signature in black ink, appearing to read "A. Taylor".

Aaron Taylor  
FOIA/Privacy Act Research Specialist

Enclosure

ID	Requester	Description	Received Date
05-0001	Tim Brillon	BROADCOM CORPORATION	10/1/2004
05-0002	Tim Brillon	GENE LOGIC INC	10/1/2004
05-0008	Tim Brillon	JUNIPER NETWORKS INC	10/1/2004
05-0009	Tim Brillon	NORTHWEST AIRLINES CORPORATION	10/1/2004
05-0015	Tim Brillon	BIOMARIN PHARMACEUTICAL INC	10/1/2004
05-0092	Van Leeper	ROBERT M PAINE	10/1/2004
05-0004	Van Leeper	STANFIELD CAPITAL PARTNERS LLC	10/1/2004
05-0006	Van Leeper	SCP STRATEGIES LLC	10/1/2004
05-0007	Van Leeper	SRS STRATEGIES CAYMAN LP	10/1/2004
05-0013	Van Leeper	SRS STRATEGIC OPPORTUNITIES CAYMAN LTD	10/1/2004
05-0014	Van Leeper	SRS STRATEGIC OPPORTUNITIES LP	10/1/2004
05-0005	Meredith Sellers	SHORT EUROPE ALPHA FUND LIMITED TT LONG	10/1/2004
05-0010	Meredith Sellers	SHORT EUROPE FUND LIMITED TT LONG	10/1/2004
05-0011	Meredith Sellers	TT INTERNATIONAL INVESTMENT MANAGEMENT	10/1/2004
05-0012	Meredith Sellers	ROGER BERNHEIM	10/1/2004
05-0016	MONICA SPRADLING	KENNETH WIRTH	10/1/2004
05-0047	Sara Gubins	The Interpublic Group of Companies	10/4/2004
05-0048	Sara Gubins	Puneet Chadha	10/4/2004
05-0049	Sara Gubins	Contract # SECHQ104Q0031	10/4/2004
05-0050	Carol Iram	Pacific Technologies Holding, Inc.	10/4/2004
05-0051	Carol Iram	Career Education corp	10/4/2004
05-0071	ELIZABETH LIN	99 CENTS ONLY STORES	10/4/2004
05-0072	Corey Ferris	METADATA CORPORATION	10/4/2004
05-0022	Mike Lipman	HALBERDIER FUND	10/4/2004
05-0023	Mike Lipman	HALBERDIER CAPITAL	10/4/2004
05-0024	Mike Lipman	TAE SHIK SHIN	10/4/2004
05-0025	Mike Lipman	KIKUKO TOYOHARA	10/4/2004
05-0026	Mike Lipman	JOHNATHAN MANN	10/4/2004
05-0073	Mike Lipman	HIROSHI IKEUCHI	10/4/2004
05-0074	Mike Lipman	TMS CONSULTING	10/4/2004
05-0070	Marshall Spiegel	CBOE	10/4/2004
05-0021	DAVID GREENBERGER	ELIZABETH RICH	10/4/2004
05-0066	J. Patrick Gavin	EQUITY RESIDENTIAL	10/4/2004
05-0067	J. Patrick Gavin	EMERSON ELECTRIC CO	10/4/2004
05-0068	J. Patrick Gavin	DOLLAR GENERAL CORPORATION	10/4/2004
05-0069	J. Patrick Gavin	CONTRYWIDE FINANCIAL CORPORATION	10/4/2004
05-0017	J. Patrick Gavin	EI DU PONT DE NEMOURS AND COMPANY	10/4/2004
05-0018	J. Patrick Gavin	EASTMAN KODAK COMPANY	10/4/2004
05-0019	J. Patrick Gavin	FIRST DATA CORPORATION	10/4/2004
05-0020	J. Patrick Gavin	NELNET INC	10/4/2004
05-0042	J. Patrick Gavin	Corning Incorporated	10/4/2004
05-0043	J. Patrick Gavin	Exelon Corporation	10/4/2004
05-0044	J. Patrick Gavin	Newell Rubermaid Co.	10/4/2004
05-0045	J. Patrick Gavin	Starwood Hotels & Resorts Worldwide, Inc.	10/4/2004
05-0046	J. Patrick Gavin	Xcel Energy Inc.	10/4/2004
05-0027	Richard Harrison	GREENVILLE FIRST BANCSHARES INC	10/4/2004
05-0028	Richard Harrison	TODCO	10/4/2004
05-0029	Richard Harrison	SEAGATE TECHNOLOGY	10/4/2004
05-0030	Richard Harrison	VITALSTREAM HOLDINGS INC	10/4/2004
05-0031	Richard Harrison	CBL & ASSOCIATES PROPERTIES INC	10/4/2004
05-0032	Richard Harrison	RENT A CENTER IN DE	10/4/2004
05-0033	Richard Harrison	DIGITAL INSIGHT CORP	10/4/2004
05-0034	Richard Harrison	HERITAGE PROPERTY INVESTMENT TRUST INC	10/4/2004
05-0035	Richard Harrison	NEPHROS INC	10/4/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0036	Richard Harrison	SAXON REIT INC	10/4/2004
05-0037	Richard Harrison	CAMERON INTERNATIONAL INC	10/4/2004
05-0038	Richard Harrison	AMDOCS LTD	10/4/2004
05-0039	Richard Harrison	AQUATIC CELLULOSE INTERNATIONAL	10/4/2004
05-0040	Richard Harrison	EVERGREEN RESOURCES INC	10/4/2004
05-0041	Richard Harrison	PIONEER NATURAL RESOURCES CO	10/4/2004
05-0052	Richard Harrison	HQ Sustainable maritime industries, Inc.	10/4/2004
05-0053	Richard Harrison	Auto data network	10/4/2004
05-0054	Richard Harrison	glatfelter	10/4/2004
05-0055	Richard Harrison	51job, inc.	10/4/2004
05-0056	Richard Harrison	volkswagen auto lease underwritten funding, llc	10/4/2004
05-0057	Richard Harrison	janus capital group inc	10/4/2004
05-0058	Richard Harrison	spectrum pharmaceuticals inc	10/4/2004
05-0059	Richard Harrison	CANARGO ENERGY	10/4/2004
05-0060	Richard Harrison	epl intermediate, inc	10/4/2004
05-0061	Richard Harrison	educate inc	10/4/2004
05-0062	Richard Harrison	omnicorder technologies inc	10/4/2004
05-0063	Richard Harrison	suntrust banks inc	10/4/2004
05-0064	Richard Harrison	national commerce financial corp	10/4/2004
05-0065	Richard Harrison	portec products ins	10/4/2004
05-0075	Richard Harrison	BIMINI MORTGAGE MANAGEMENT INC	10/4/2004
05-0076	Richard Harrison	AIRSPAN NETWORKS INC	10/4/2004
05-0077	Richard Harrison	MACE SECURITY INTERNATIONAL INC	10/4/2004
05-0078	Richard Harrison	SALIENT SYSTEMS INC	10/4/2004
05-0079	Richard Harrison	DYAX CORP	10/4/2004
05-0080	Richard Harrison	NORCRAFT COMPANIES LP	10/4/2004
05-0081	Richard Harrison	Cogent Inc	10/4/2004
05-0082	Richard Harrison	Avax Technologies, Inc	10/4/2004
05-0083	Richard Harrison	JORDAN INDUSTRIES INC	10/4/2004
05-0084	Richard Harrison	GENERAL MILLS INC	10/4/2004
05-0085	Richard Harrison	GREY WOLF INC	10/4/2004
05-0086	Richard Harrison	NUVEEN FLOATING RATE INCOME OPPURTUNITY FUND	10/4/2004
05-0087	Richard Harrison	CHINA DEVELOPMENT BANK	10/4/2004
05-0088	Richard Harrison	WORLD OMNI AUTO RECEIVABLES LLC	10/4/2004
05-0089	Richard Harrison	TNS INC	10/4/2004
05-0113	Richard Harrison	GLOBAL BRANDS INC	10/5/2004
05-0114	Richard Harrison	CWALT INC	10/5/2004
05-0135	Richard Harrison	HARTMAN COMMERCIAL PROPERTIES REIT	10/5/2004
05-0136	Richard Harrison	TRYX VENTURES CORP	10/5/2004
05-0137	Richard Harrison	SIERRA PACIFIC RESOURCES /NV/	10/5/2004
05-0138	Richard Harrison	RUBINCON VENTURES INC	10/5/2004
05-0159	Richard Harrison	U S GOLD CORP	10/5/2004
05-0160	Richard Harrison	TOPIARY BENEFIT PLAN INVESTOR FUND LLC	10/5/2004
05-0161	Richard Harrison	CHENIERE ENERGY INC	10/5/2004
05-0162	Richard Harrison	NORTH AMERICAN ENERGY PARTNERS INC	10/5/2004
05-0163	Richard Harrison	ML ASSET BACKED CORP	10/5/2004
05-0154	J. Patrick Gavin	LOWES COMPANIES INC	10/5/2004
05-0155	J. Patrick Gavin	MARTEK BIOSCIENCES CORPORATION	10/5/2004
05-0130	J. Patrick Gavin	OFFICE DEPOT INC	10/5/2004
05-0108	J. Patrick Gavin	AMERICAN ELECTRIC POWER COMPANY INC	10/5/2004
05-0109	J. Patrick Gavin	BAKER HUGHES INCORPORATED	10/5/2004
05-0110	J. Patrick Gavin	REMEC INC	10/5/2004
05-0111	J. Patrick Gavin	SANDISK CORPORATION	10/5/2004
05-0112	DAVID GREENBERGER	RICHARD A ENTEMANN	10/5/2004

All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0105	Meredith Sellers	WINTON CAPITAL MANAGEMENT LTD	10/5/2004
05-0106	Meredith Sellers	THE WINTONFUTURES DOLLAR FUND	10/5/2004
05-0107	Meredith Sellers	STATISTICAL MANAGED FUNDS LTD	10/5/2004
05-0126	Meredith Sellers	THE WINTON FUTURES EURO FUND	10/5/2004
05-0127	Meredith Sellers	DAVID HARDING	10/5/2004
05-0128	Meredith Sellers	WINTON FUTURES FUND	10/5/2004
05-0129	Meredith Sellers	STATISTICAL HEDGE FUND	10/5/2004
05-0151	Meredith Sellers	WINTON FUTURES STERLING FUND	10/5/2004
05-0152	Meredith Sellers	OSMAN MURGIAN	10/5/2004
05-0153	Meredith Sellers	MARTIN HUNT	10/5/2004
05-0103	Clint Binkley	DEERFIELD CAPITAL MANAGEMENT LLC	10/5/2004
05-0104	Clint Binkley	DEERFIELD SYNTHETIC OPTIONS FUND LTD	10/5/2004
05-0125	Clint Binkley	SPRINGFIELD ASSET MANAGEMENT	10/5/2004
05-0149	Clint Binkley	DEERFIELD CAPITAL LP	10/5/2004
05-0150	Clint Binkley	JULIAN ROSE	10/5/2004
05-0090	Leigh Leshner	PREMIER MARKETING AND INVESTMENTS INC	10/5/2004
05-0093	Scott Dawson	MANTECH INTERNATIONAL CORP	10/5/2004
05-0094	Scott Dawson	MERCK MEDCO MANAGED CARE LLC	10/5/2004
05-0095	Scott Dawson	NEPHROS INC	10/5/2004
05-0096	Scott Dawson	NEWSMAX MEDIA INC	10/5/2004
05-0097	Scott Dawson	PLAINS EXPLORATION & PRODUCTION CO	10/5/2004
05-0098	Scott Dawson	PREMCO INC	10/5/2004
05-0099	Scott Dawson	PRINTCAFE SOFTWARE INC	10/5/2004
05-0100	Scott Dawson	RAYTHEON AEROSPACE CO	10/5/2004
05-0101	Scott Dawson	RIBAPHARM INC	10/5/2004
05-0102	Scott Dawson	VIACELL INC	10/5/2004
05-0139	Scott Dawson	MONTPELIER RE HOLDINGS LTD	10/5/2004
05-0140	Scott Dawson	NATURAL GAS SERVICES GROUP INC	10/5/2004
05-0141	Scott Dawson	NOVEON INTERNATIONAL INC	10/5/2004
05-0142	Scott Dawson	NOVIRIO PHARMACEUTICALS INC	10/5/2004
05-0143	Scott Dawson	NPTEST INC	10/5/2004
05-0144	Scott Dawson	PWCC LTD	10/5/2004
05-0145	Scott Dawson	QUINTON CARDIOLOGY SYSTEMS INC	10/5/2004
05-0146	Scott Dawson	SAFETY INSURANCE GROUP INC	10/5/2004
05-0147	Scott Dawson	SOMERSET HILLS BANCORP	10/5/2004
05-0148	Scott Dawson	SYNAPTICS INC	10/5/2004
05-0115	Scott Dawson	LIQUIDMENTAL TECHNOLOGIES	10/5/2004
05-0116	Scott Dawson	NETFLIX.COM	10/5/2004
05-0117	Scott Dawson	OHIO LEGACY CORP	10/5/2004
05-0118	Scott Dawson	PACER INTERNATIONAL INC	10/5/2004
05-0119	Scott Dawson	PAYPAL INC	10/5/2004
05-0120	Scott Dawson	PLUMTREE SOFTWARE INC	10/5/2004
05-0121	Scott Dawson	RIVERWOOD HOLDINGS INC	10/5/2004
05-0122	Scott Dawson	THOMSON CORP	10/5/2004
05-0123	Scott Dawson	TRAVELERS PROPERTY CASUALTY	10/5/2004
05-0124	Scott Dawson	TSAKOS ENERGY NAVIGATION LTD	10/5/2004
05-0091	A. EVERLICE SANCHEZ	COTT CORPORATION	10/5/2004
05-0131	MACK MCREYNOLDS	CAPITAL SECURITIES MANAGEMENT INC	10/5/2004
05-0132	MARY MCIVOR	JOHN GORMAN	10/5/2004
05-0133	LINDA SHENKO	LINDA SHENKO	10/5/2004
05-0134	NORMAN BURNEY	BELLEVIEW BILTMORE RESORT & SPA	10/5/2004
05-0156	LAURA WALSH	CROSSTEX ENERGY INC	10/5/2004
05-0157	LAURA WALSH	K SEA TRANSPORTATION PARTNERS LP	10/5/2004
05-0158	TIMOTHY KEBBE	CTC LLC	10/5/2004

ID	Requester	Description	Received Date
05-0166	RICHARD WALLOCK	MARQUETTE ASSOCIATES	10/6/2004
05-0177	JURMELL JAMES Esq	THE BEST PLACES TO WORK IN THE FEDERAL GOVERNMENT	10/6/2004
05-0182	LAURA WALSH	PORTEC RAIL PRODUCTS INC	10/6/2004
05-0197	THEODORE TEXTOR	ERICK MINDICH	10/6/2004
05-0198	THEODORE TEXTOR	SCOTT PRINCE	10/6/2004
05-0199	THEODORE TEXTOR	ERLAND KARLSSON	10/6/2004
05-0168	LAURA WALSH	LIPMAN ELECTRONIC ENGINEERING LTD	10/6/2004
05-0167	Mike Lipman	TSUYOSHI SAITO	10/6/2004
05-0200	ROBERT METZ	BASEBALL ENTREPRENEURS INC	10/6/2004
05-0181	Meredith Sellers	WINTON HEDGE FUND LTD	10/6/2004
05-0191	Tim Brillon	MARVELL TECHNOLOGY GROUP LTD	10/6/2004
05-0192	Tim Brillon	TIBCO SOFTWARE INC	10/6/2004
05-0178	Tim Brillon	ARENA PHARMACEUTICALS INC	10/6/2004
05-0179	Tim Brillon	EL PASO CORPORATION	10/6/2004
05-0180	Tim Brillon	EXELON CORPORATION	10/6/2004
05-0169	Justin Cannizzaro	PATRICIA R ELMER	10/6/2004
05-0170	Justin Cannizzaro	CHRISTOPHER R ANDRESS	10/6/2004
05-0171	Justin Cannizzaro	ELANA D RUSSELL	10/6/2004
05-0183	Justin Cannizzaro	WASHINGTON CAPITAL MANAGEMENT INC	10/6/2004
05-0193	Justin Cannizzaro	LINDA C SELEGUE	10/6/2004
05-0194	Justin Cannizzaro	LORRIE REITERMAN	10/6/2004
05-0195	Justin Cannizzaro	PETER R BURY	10/6/2004
05-0196	Justin Cannizzaro	MICHAEL G RUSSELL	10/6/2004
05-0164	Robert Motz	HARVEY L GRANAT	10/6/2004
05-0165	Robert Motz	ARDENT ACQUISITION CORP	10/6/2004
05-0172	Robert Motz	ARTHUR H GOLDBERG	10/6/2004
05-0173	Robert Motz	PHILIP GOODMAN	10/6/2004
05-0174	Robert Motz	AMERICAN FUND ADVISORS INC	10/6/2004
05-0175	Robert Motz	ACOL ACQUISITION CORP	10/6/2004
05-0176	Robert Motz	JOHN HANCOCK TECHNOLOGY SERIES INC	10/6/2004
05-0184	Robert Motz	BARRY J GORDON	10/6/2004
05-0185	Robert Motz	MARC H KLEE	10/6/2004
05-0186	Robert Motz	ROBERT M BRILL	10/6/2004
05-0187	Robert Motz	ROBERT A SROKA	10/6/2004
05-0188	Robert Motz	ALAN J LOEWENSTEIN	10/6/2004
05-0189	Robert Motz	MINOR LEAGUE SPORTS ENTERPRISES INC	10/6/2004
05-0190	Robert Motz	F G S K INC	10/6/2004
05-0214	Tim Brillon	CHARLES SCHWAB	10/7/2004
05-0203	Tim Brillon	MERCURY INTERACTIVE CORPORATION	10/7/2004
05-0204	Tim Brillon	COMPUWARE CORPORATION	10/7/2004
05-0205	Tim Brillon	PUBLIC SERVICE ENTERPRISE GROUP, INC	10/7/2004
05-0206	Tim Brillon	COCA COLA CO	10/7/2004
05-0201	J. Patrick Gavin	WARWICK VALLEY TELEPHONE COMPANY	10/7/2004
05-0202	J. Patrick Gavin	AVAYA INC	10/7/2004
05-0212	J. Patrick Gavin	HARRIS INTERACTIVE INC	10/7/2004
05-0213	J. Patrick Gavin	CP SHIPS LIMITED	10/7/2004
05-0224	J. Patrick Gavin	PANTRY INC	10/7/2004
05-0225	J. Patrick Gavin	ARCHER DANIELS MIDLAND COMPANY	10/7/2004
05-0226	J. Patrick Gavin	APOLLO GROUP INC	10/7/2004
05-0227	J. Patrick Gavin	ANTHEM INC	10/7/2004
05-0207	Van Leeper	GLG PARTNERS (CAYMAN) LIMITED	10/7/2004
05-0208	Van Leeper	GLG PARTNERS LP	10/7/2004
05-0215	Van Leeper	GLG STRATEGIC FIXED INCOME FUND	10/7/2004
05-0216	Van Leeper	DEBORAH A SOMERVILLE-COTTON	10/7/2004

ID	Requester	Description	Received Date
05-0217	Van Leeper	FRANCISCO GOCHEZ	10/7/2004
05-0218	Van Leeper	THOMAS J DEMPSEY	10/7/2004
05-0228	Van Leeper	BRIAN ROZEN	10/7/2004
05-0229	Van Leeper	ANDREW MOFFAT	10/7/2004
05-0230	Mike Lipman	FORUM CAPITAL MANAGEMENT	10/7/2004
05-0231	Mike Lipman	LW SECURITIES	10/7/2004
05-0232	Heather Jones	RUBY FAN	10/7/2004
05-0233	Heather Jones	NANCY HAVENS HASTY	10/7/2004
05-0234	Heather Jones	DAVID FEINMAN	10/7/2004
05-0220	Mike Lipman	RAY BAKHRAMOV	10/7/2004
05-0221	Mike Lipman	JOSE PEDREIRA	10/7/2004
05-0222	Mike Lipman	LW ASSET MANAGEMENT	10/7/2004
05-0223	Mike Lipman	FORUM ABSOLUTE RETURN FUND	10/7/2004
05-0209	CARLOS LANE	TAYLOR COMPANIES INC	10/7/2004
05-0210	CARLOS LANE	TAYLOR FINANCIAL SERVICES, LLC	10/7/2004
05-0211	CHRISTOPHER MADER	PUBLICLY TRADED CORPORATION	10/7/2004
05-0219	ERIC KING	JOB ANNOUNCEMENT DEU-04-107	10/7/2004
05-0248	JEFFREY MONTGOMERY	WARRANTTECH CORP	10/8/2004
05-0251	MARLA WEINSTEIN	SEC INVESTIGATIONS	10/8/2004
05-0259	ETHAN COHEN	GUTT FINANCIAL MANAGMENT LLC MICHAEL S GUTT	10/8/2004
05-0258	Mike Lipman	GRAMERCY FINANCIAL SERVICES LLC	10/8/2004
05-0246	Mike Lipman	GRAMERCY EMERGING MARKETS	10/8/2004
05-0247	Mike Lipman	JAY ALEXANDER JOHNSTON	10/8/2004
05-0235	Mike Lipman	PROVIDENT EMERGING MARKETS	10/8/2004
05-0236	Mike Lipman	GRAMERCY EMERGING MARKETS FINANCE LTD	10/8/2004
05-0237	Mike Lipman	GRAMERCY ADVISORS LLC	10/8/2004
05-0238	Mike Lipman	MARC ANDRE HELIE	10/8/2004
05-0239	Mike Lipman	ROBERT SCOTT KOENIGSBERGER	10/8/2004
05-0252	Meredith Sellers	HMC CONVERTIBLE ARBITRAGE	10/8/2004
05-0253	Meredith Sellers	HMC NEW YORK INC	10/8/2004
05-0254	Meredith Sellers	HMC INVESTORS LLC	10/8/2004
05-0255	Meredith Sellers	HARBERT MANAGEMENT CORPORATION	10/8/2004
05-0240	Meredith Sellers	HARBINGER GROUP INC	10/8/2004
05-0241	Meredith Sellers	JEFFREY PARKET	10/8/2004
05-0242	Meredith Sellers	MITCHELL THAW	10/8/2004
05-0249	Meredith Sellers	HARBERT CONVERTIBLE ARBITRAGE	10/8/2004
05-0250	Meredith Sellers	HMC SECURITIES	10/8/2004
05-0243	Tim Brillon	NETWORK APPLIANCE INC	10/8/2004
05-0244	Tim Brillon	CITRIX SYSTEMS INC	10/8/2004
05-0245	Tim Brillon	EXELIXIS INC	10/8/2004
05-0256	Tim Brillon	ETRADE GROUP INC	10/8/2004
05-0257	Tim Brillon	LUMINEX CORPORATION	10/8/2004
05-0264	Tim Brillon	S1 CORPORATION	10/12/2004
05-0265	Tim Brillon	RADIOSHACK CORPORATION	10/12/2004
05-0290	Tim Brillon	RESEARCH IN MOTION LTD	10/12/2004
05-0291	Tim Brillon	INCYTE GENOMICS INC	10/12/2004
05-0292	Tim Brillon	EXPRESS SCRIPTS INC	10/12/2004
05-0313	Tim Brillon	TIME WARNER INC	10/12/2004
05-0314	Tim Brillon	BED BATH & BEYOND INC	10/12/2004
05-0315	Tim Brillon	NOVAVAX INC	10/12/2004
05-0316	Tim Brillon	IMMUNOGEN INC	10/12/2004
05-0317	Tim Brillon	ATI TECHNOLOGIES INC	10/12/2004
05-0271	Richard Harrison	CENTENNIAL COMMUNICATIONS CORP/ DE	10/12/2004
05-0272	Richard Harrison	IMAX CORP	10/12/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0273	Richard Harrison	LIBERTY ALL STAR EQUITY FUND	10/12/2004
05-0274	Richard Harrison	ARENA RESOURCES INC	10/12/2004
05-0275	Richard Harrison	PACIFIC BIOMETRICS INC	10/12/2004
05-0276	Richard Harrison	MERRILL LYNCH MULTI ADVISERS HEGE FUND LLC	10/12/2004
05-0277	Richard Harrison	MILLENNIUM CHEMICALS INC	10/12/2004
05-0278	Richard Harrison	SIMMONS BEDDING CO	10/12/2004
05-0279	Richard Harrison	AAMES INVESTMENT CORP	10/12/2004
05-0280	Richard Harrison	PLY GEM INDUSTRIES INC	10/12/2004
05-0281	Richard Harrison	BRASKEM SA	10/12/2004
05-0282	Richard Harrison	AVATECH SOLUTIONS INC	10/12/2004
05-0283	Richard Harrison	NEW CENTURY FINANCIAL CORP	10/12/2004
05-0284	Richard Harrison	SONIC FOUNDRY INC	10/12/2004
05-0295	Richard Harrison	BBH PRIME INSTITUTIONAL MONEY MARKET FUND INC	10/12/2004
05-0296	Richard Harrison	Calvert Social Investment Fund	10/12/2004
05-0297	Richard Harrison	ADVISORS SERIES TRUST	10/12/2004
05-0298	Richard Harrison	ADVISORS SERIES TRUST	10/12/2004
05-0299	Richard Harrison	OPPENHEIMER PRINCIPAL PROTECTED TRUST III	10/12/2004
05-0300	Richard Harrison	DREYFUS PREMIER MANAGER FUNDS II	10/12/2004
05-0301	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0302	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0303	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0304	Richard Harrison	BLACKROCK FUNDS (333-119456)	10/12/2004
05-0305	Richard Harrison	BLACK ROCK FUNDS (333-119457)	10/12/2004
05-0306	Richard Harrison	BLACKROCK FUNDS (333-119454)	10/12/2004
05-0307	Richard Harrison	BLACKROCK FUNDS (333-119453)	10/12/2004
05-0308	Richard Harrison	PRIMUS GUARANTY LTD	10/12/2004
05-0309	Richard Harrison	INTERNATIONAL SPORTS & MEDIA GROUP INC	10/12/2004
05-0323	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0324	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0325	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0326	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0327	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0328	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0329	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0330	Richard Harrison	BLACKROCK FUNDS	10/12/2004
05-0331	Richard Harrison	SONIC FOUNDRY INC	10/12/2004
05-0332	Richard Harrison	PROLONG INTERNATIONAL CORP	10/12/2004
05-0333	Richard Harrison	UTIX GROUP INC	10/12/2004
05-0334	Richard Harrison	A REIT INC	10/12/2004
05-0268	Meredith Sellers	WEAVERING CAPITAL (UK) LIMITED	10/12/2004
05-0269	Meredith Sellers	WEAVERING FIXED INCOME FUND LIMITED	10/12/2004
05-0318	Meredith Sellers	MAGNUS PETERSON	10/12/2004
05-0319	Van Leeper	DEBORAH A LAX	10/12/2004
05-0270	Cory Armand	LANDRY'S RESTAURANTS, INC	10/12/2004
05-0320	GARY BORGLUND	W J INTERNATIONAL LTD	10/12/2004
05-0260	Clint Binkley	CASTLE CREEK CONVERTIBLE ARBITRAGE LLC	10/12/2004
05-0261	Clint Binkley	ASA TAXABLE RELATIVE VALUE ONSHORE FUND LP	10/12/2004
05-0262	Clint Binkley	ROLAND JACOBUS	10/12/2004
05-0263	Clint Binkley	ROBERT DEAN ALBRIGHT JR	10/12/2004
05-0310	Clint Binkley	CC ARB INTERNATIONAL FUND LTD	10/12/2004
05-0311	Clint Binkley	DANIEL B ASHER	10/12/2004
05-0312	Clint Binkley	ALTERNATIVE STRATEGY ADVISERS LLC	10/12/2004
05-0285	Clint Binkley	CC CONVERTIBLE ARBTRAGE LTD	10/12/2004
05-0286	Clint Binkley	ALLAN D WEINE	10/12/2004



ID	Requester	Description	Received Date
05-0287	Clint Binkley	ASA TAXABLE RELATIVE VALUE FUND LTD	10/12/2004
05-0288	Clint Binkley	ASA TAXABLE ADVISORS LLC	10/12/2004
05-0289	Clint Binkley	ROBERT FURST	10/12/2004
05-0321	RACHEL KINDRED	MBA ET AL	10/12/2004
05-0322	ALEX DEANE	MANUALS	10/12/2004
05-0293	LAURA WALSH	TRW AUTOMOTIVE HOLDINGS CORP	10/12/2004
05-0294	LAURA WALSH	ASSET ACCEPTANCE CAPITAL CORP	10/12/2004
05-0266	LAURA WALSH	TODCO	10/12/2004
05-0267	LAURA WALSH	ASSURANT INC	10/12/2004
05-0336	LAURA WALSH	BAKERS FOOTWEAR GROUP INC	10/13/2004
05-0337	LAURA WALSH	SYMBION INC	10/13/2004
05-0359	JILL LEHMAN	CARDINAL HEALTH INC	10/13/2004
05-0360	Dan Mahoney	SWIFT TRANSPORTATION COMPANY INC	10/13/2004
05-0367	Gillian Brown	LAWRENCE READ	10/13/2004
05-0384	Corey Ferris	SECHQ1-04-F-0312 SECHQ1-04-F-0327	10/13/2004
05-0385	DEREK WILDER	APOLLO GROUP	10/13/2004
05-0386	Van Leeper	SARAMAR REAL ESTATE INC	10/13/2004
05-0335	Van Leeper	MKA CAPITAL GROUP INC	10/13/2004
05-0361	Van Leeper	JASON SUGERMAN	10/13/2004
05-0362	Van Leeper	MICHAEL A ABRAHAM	10/13/2004
05-0363	Van Leeper	MKA REAL ESTATE OPPORTUNITY FUND I LLC	10/13/2004
05-0338	YALE LEVIN	CEASARS ENTERTAINMENT INC	10/13/2004
05-0387	YALE LEVIN	MANDALAY BAY GROUP	10/13/2004
05-0388	YALE LEVIN	MGM MIRAGE	10/13/2004
05-0389	Laura Triay	HARD ASSETS PORTFOLIO LTD	10/13/2004
05-0390	Patrick Walsh	WALSER SPECIALIZED SERVICES	10/13/2004
05-0391	Patrick Walsh	CAR CREDIT SALE INC	10/13/2004
05-0339	Patrick Walsh	BRUCE N DAVIS	10/13/2004
05-0364	Patrick Walsh	WALSER LEASING AND FLEET SERVICES	10/13/2004
05-0365	Patrick Walsh	WALSER FINANCIAL SERVICES	10/13/2004
05-0366	Patrick Walsh	JOHN W KIEFER	10/13/2004
05-0345	Richard Harrison	PILGRIMS PRIDE CORP	10/13/2004
05-0346	Richard Harrison	LOCATEPLUS HOLDINGS CORP	10/13/2004
05-0347	Richard Harrison	ATWOOD OCEANICS INC	10/13/2004
05-0348	Richard Harrison	BUHRMANN US INC	10/13/2004
05-0349	Richard Harrison	AMERICA MOVIL SA DE CV	10/13/2004
05-0350	Richard Harrison	JP MORGAN CHASE & CO	10/13/2004
05-0351	Richard Harrison	TURBOCHEF TECHNOLOGIES INC	10/13/2004
05-0352	Richard Harrison	AMERICMOVL SA DE CV	10/13/2004
05-0353	Richard Harrison	UNIBANCO UNION OF BRAZILIAN BANKS SA	10/13/2004
05-0354	Richard Harrison	COMPUTER NETWORK TECHNOLOGY CORP	10/13/2004
05-0355	Richard Harrison	A21 INC	10/13/2004
05-0356	Richard Harrison	C WALT INC	10/13/2004
05-0357	Richard Harrison	PRICE LINE COM INC	10/13/2004
05-0358	Richard Harrison	METROCALL HOLDINGS INC	10/13/2004
05-0373	Richard Harrison	THERAVANCE INC	10/13/2004
05-0374	Richard Harrison	REAL MEX RESTAURANTS INC	10/13/2004
05-0375	Richard Harrison	MERRILL LYNCH DEPOSITOR INC	10/13/2004
05-0376	Richard Harrison	WEBSIDESTORY INC	10/13/2004
05-0377	Richard Harrison	CALLWAVE INC	10/13/2004
05-0378	Richard Harrison	HYPERSPACE COMMUNICATIONS INC	10/13/2004
05-0379	Richard Harrison	PEOPLESUPPORT INC	10/13/2004
05-0380	Richard Harrison	XENONICS HOLDINGS INC	10/13/2004
05-0381	Richard Harrison	TEXAS ROADHOUSE INC	10/13/2004

All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0382	Richard Harrison	EAGLE HOSPITALITY PROPERTIES TRUST INC	10/13/2004
05-0383	Richard Harrison	AXS ONE INC	10/13/2004
05-0397	Richard Harrison	IMPAX LABORATORIES INC	10/13/2004
05-0398	Richard Harrison	HUGHES SUPPLY INC	10/13/2004
05-0399	Richard Harrison	CALPINE CORP	10/13/2004
05-0400	Richard Harrison	DECODE GENETICS INC	10/13/2004
05-0401	Richard Harrison	REGAL BELOIT CORP	10/13/2004
05-0402	Richard Harrison	PACIFIC BIOMETRICS INC	10/13/2004
05-0403	Richard Harrison	ADVENTRX PHARMACEUTICALS INC	10/13/2004
05-0404	Richard Harrison	REPUBLIC OF ARGENTINA	10/13/2004
05-0405	Richard Harrison	JAMDAT MOBILE INC	10/13/2004
05-0406	Richard Harrison	SCUDDER COMMODITIES STOCK FUND INC	10/13/2004
05-0407	Richard Harrison	MEDTOX SCIENTIFIC INC	10/13/2004
05-0408	Richard Harrison	TEKTRONIX INC	10/13/2004
05-0392	J. Patrick Gavin	COINSTAR INC	10/13/2004
05-0393	J. Patrick Gavin	CROSSTEX ENERGY INC	10/13/2004
05-0394	J. Patrick Gavin	FLOWSERVE CORPORATION	10/13/2004
05-0395	J. Patrick Gavin	PINNACLE SYSTEMS INC	10/13/2004
05-0396	J. Patrick Gavin	PRACTICEWORKS INC	10/13/2004
05-0368	J. Patrick Gavin	ALTIRIS INC	10/13/2004
05-0369	J. Patrick Gavin	INTERPUBLIC GROUP OF COMPANIES	10/13/2004
05-0370	J. Patrick Gavin	ONE PRICE CLOTHING STORES INC	10/13/2004
05-0371	J. Patrick Gavin	PROLOGIS FKA PROLOGIS TRUST	10/13/2004
05-0372	J. Patrick Gavin	QUALITY DISTRIBUTION INC	10/13/2004
05-0340	J. Patrick Gavin	AES CORPORATION	10/13/2004
05-0341	J. Patrick Gavin	AMERISOURCEBERGEN CORPORATION	10/13/2004
05-0342	J. Patrick Gavin	APOLLO GROUP INC	10/13/2004
05-0343	J. Patrick Gavin	CENTENNIAL COMMUNICATIONS CORP	10/13/2004
05-0344	J. Patrick Gavin	INTERNATIONAL RECTIFIER CORPORATION	10/13/2004
05-0446	MICHAEL MOSKOVITZ	VAN WAGONER POST VENTURE FUND	10/14/2004
05-0447	MICHAEL MOSKOVITZ	VAN WAGONER MICRO-CAP GROWTH FUND	10/14/2004
05-0448	MICHAEL MOSKOVITZ	LARRY P ARNOLD	10/14/2004
05-0449	MICHAEL MOSKOVITZ	ROBERT S COLMAN	10/14/2004
05-0428	MICHAEL MOSKOVITZ	VAN WAGONER FUNDS, INC (1 OF 13)	10/14/2004
05-0429	MICHAEL MOSKOVITZ	VAN WAGONER CAPITAL MANAGEMENT, INC (2 OF 13)	10/14/2004
05-0430	MICHAEL MOSKOVITZ	VAN WAGONER MID-CAP GROWTH FUND	10/14/2004
05-0431	MICHAEL MOSKOVITZ	PETER R. KRIS (13 OF 13)	10/14/2004
05-0412	MICHAEL MOSKOVITZ	AUDREY L BUCHNER	10/14/2004
05-0413	MICHAEL MOSKOVITZ	UMB FUND SERVICES INC F/K/A SUNSTONE FINANCIAL GROUP INC	10/14/2004
05-0414	MICHAEL MOSKOVITZ	VAN WAGONER EMERGING GROWTH FUND	10/14/2004
05-0415	MICHAEL MOSKOVITZ	VAN WAGONER TECHNOLOGY FUND	10/14/2004
05-0416	MICHAEL MOSKOVITZ	GARRETT R VAN WAGONER	10/14/2004
05-0426	Tim Brillon	TULARIK, INC	10/14/2004
05-0427	Tim Brillon	DUKE ENERGY CORPORATION	10/14/2004
05-0443	Tim Brillon	TELLABS INC	10/14/2004
05-0444	Tim Brillon	CARDINAL HEALTH INC	10/14/2004
05-0445	Tim Brillon	AMYLIN PHARMACEUTICALS INC	10/14/2004
05-0417	Richard Harrison	TRAMMELL CROW CO	10/14/2004
05-0418	Richard Harrison	TRAMMELL CROW CO	10/14/2004
05-0419	Richard Harrison	FRANGO AB	10/14/2004
05-0420	Richard Harrison	WAYNE BANCORP INC /OH	10/14/2004
05-0421	Richard Harrison	NATIONAL CITY CORP	10/14/2004
05-0422	Richard Harrison	DUTCHFORK BANCSHARES INC	10/14/2004
05-0423	Richard Harrison	FIRST COMMUNITY CORP /SC	10/14/2004

ID	Requester	Description	Received Date
05-0424	Richard Harrison	WARWICK COMMUNITY BANCORP INC	10/14/2004
05-0433	Richard Harrison	LAMAR BANCSHARES INC	10/14/2004
05-0434	Richard Harrison	MIDSOUTH BANCORP INC	10/14/2004
05-0435	Richard Harrison	COGNOS INC	10/14/2004
05-0436	Richard Harrison	MADISON BANCSHARES GROUP LTD	10/14/2004
05-0437	Richard Harrison	PROVIDENT BANCORP INC/NY/	10/14/2004
05-0438	Richard Harrison	GREENPOINT FINANCIAL CORP	10/14/2004
05-0439	Richard Harrison	NORTH FORK BANCORPORATION INC	10/14/2004
05-0440	Richard Harrison	COLE NATIONAL CORP /DE/	10/14/2004
05-0451	Richard Harrison	EXULT INC	10/14/2004
05-0452	Richard Harrison	HEWITT ASSOCIATES INC	10/14/2004
05-0453	Richard Harrison	GNB BANCSHARES INC	10/14/2004
05-0454	Richard Harrison	TEXAS UNITED BANCSHARES INC	10/14/2004
05-0455	Richard Harrison	LEESPORT FINANCIAL CORP	10/14/2004
05-0456	Richard Harrison	LUXOTTICA GROUPSPA	10/14/2004
05-0409	Clint Binkley	WASHINGTON SPECIAL OPPORTUNITY FUND LLC	10/14/2004
05-0410	Clint Binkley	JOSEPH P CALLAGHAN	10/14/2004
05-0411	Clint Binkley	CHARLES A FORBES, JR	10/14/2004
05-0441	Clint Binkley	PATRIOT INVESTMENT MANAGEMENT LLC	10/14/2004
05-0442	Clint Binkley	THE PATRIOT GROUP LLC	10/14/2004
05-0425	Clint Binkley	WASHINGTON SPECIAL OPPORTUNITY FUND, INC	10/14/2004
04-8084-APP	Matthew Pinnavaia	MBNA AMERICA BANK NA WILMINGTON DE MBNA CORP	10/14/2004
05-0432	TANJA SAMARDZIJA	CAREMARK INTERNATIONAL INC	10/14/2004
05-0450	TANJA SAMARDZIJA	PRICE WATERHOUSE LLP	10/14/2004
05-0465	John Manning	KBW ASSET MANAGEMENT INC	10/15/2004
05-0458	LAURA WALSH	STAKTEK HOLDINGS INC	10/15/2004
05-0464	LAURA WALSH	DAYSTAR TECHNOLOGIES INC	10/15/2004
05-0471	SINA BAHADORAN, ESQ	STROH BREWERY COMPANY	10/15/2004
05-0457	Laura Triay	VAN ECK ABSOLUTE RETURN ADVISERS CORP	10/15/2004
04-8548-APP	Phil Roosevelt	MATTER OF RICHARD WHITNEY, EDWARD D. MORGAN JR	10/15/2004
05-0459	J. Patrick Gavin	ASYST TECHNOLOGIES INC	10/15/2004
05-0460	J. Patrick Gavin	CHECK POINT SOFTWARE TECHNOLOGIES LTD	10/15/2004
05-0461	J. Patrick Gavin	CHEROKEE INTERNATIONAL CORPORATION	10/15/2004
05-0462	J. Patrick Gavin	CRAY INC	10/15/2004
05-0463	J. Patrick Gavin	GLOBAL POWER EQUIPMENT GROUP INC	10/15/2004
05-0466	J. Patrick Gavin	ICON PLC	10/15/2004
05-0467	J. Patrick Gavin	IIOG SA	10/15/2004
05-0468	J. Patrick Gavin	IMS HEALTH INCORPORATED	10/15/2004
05-0469	J. Patrick Gavin	OMNICARE INC	10/15/2004
05-0470	J. Patrick Gavin	PEP BOYS MANNY MOE & JACK THE	10/15/2004
05-0472	J. Patrick Gavin	PETMED EXPRESS INC	10/15/2004
05-0473	J. Patrick Gavin	PILGRIMS PRIDE CORPORATION	10/15/2004
05-0474	J. Patrick Gavin	QUANTA SERVICES INC	10/15/2004
05-0475	J. Patrick Gavin	SILICON LABORATORIESINC	10/15/2004
05-0476	J. Patrick Gavin	SONICWALL INC	10/15/2004
05-0478	Richard Harrison	SLIPPERY ROCK FINANCIAL CORP	10/18/2004
05-0479	Richard Harrison	FNB CORP FL	10/18/2004
05-0480	Richard Harrison	TELE CENTRO OESTE CELULAR PARTICIPACOES	10/18/2004
05-0481	Richard Harrison	BRASILCEL NV	10/18/2004
05-0482	Richard Harrison	ADVISORS SERIES TRUST	10/18/2004
05-0483	Richard Harrison	ADVISORONE FUNDS	10/18/2004
05-0484	Richard Harrison	EZCORP INC	10/18/2004
05-0485	Richard Harrison	CHECK INTO CASH INC	10/18/2004

All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0486	Richard Harrison	M WAVE INC	10/18/2004
05-0487	Richard Harrison	GURUNET CORP	10/18/2004
05-0488	Richard Harrison	HURON CONSULTING GROUP INC	10/18/2004
05-0489	Richard Harrison	INTRALASE CORP	10/18/2004
05-0490	Richard Harrison	CYGNI SYSTEMS CORP	10/18/2004
05-0491	Richard Harrison	GOLD KIST HOLDINGS INC	10/18/2004
05-0494	Richard Harrison	LOEHMANNS HOLDINGS INC	10/18/2004
05-0495	Richard Harrison	CRESCENT CAPITAL INVESTMENTS INC DAH MERGER CORP	10/18/2004
05-0496	Richard Harrison	STRATEGIC PARTNERS MUTUAL FUNDS INC	10/18/2004
05-0497	Richard Harrison	BBH PRIME INSTITUTIONAL MONEY MARKET FUND INC	10/18/2004
05-0498	Richard Harrison	ZIX CORP	10/18/2004
05-0499	Richard Harrison	TUT SYSTEMS INC	10/18/2004
05-0500	Richard Harrison	ARROWHEAD RESEARCH CORP	10/18/2004
05-0501	Richard Harrison	TOR MINERALS INTERNATIONAL INC	10/18/2004
05-0502	Richard Harrison	INMARSAT FINANCE PLC	10/18/2004
05-0503	Richard Harrison	MATRIA HEALTHCARE INC	10/18/2004
05-0504	Richard Harrison	PERFICIENT INC	10/18/2004
05-0505	Richard Harrison	TELE LESTE CELULAR PARTICIPACOES	10/18/2004
05-0507	Richard Harrison	PRIME HOSPITALITY CORP	10/18/2004
05-0508	Richard Harrison	BLACKSTONE GROUP INC	10/18/2004
05-0509	Richard Harrison	UMB SCOUT WORLDWIDE FUND INC	10/18/2004
05-0510	Richard Harrison	DOMINI ADVISOR TRUST	10/18/2004
05-0511	Richard Harrison	VICTORY PORTFOLIOS	10/18/2004
05-0512	Richard Harrison	DRAKE FUNDS TRUST	10/18/2004
05-0513	Richard Harrison	CURTISS WRIGHT CORP	10/18/2004
05-0514	Richard Harrison	THOMAS PROPERTIES GROUP INC	10/18/2004
05-0515	Richard Harrison	PLANETOUT INC	10/18/2004
05-0516	Richard Harrison	AMH HOLDINGS INC	10/18/2004
05-0517	Richard Harrison	NEW YORK & COMPANY INC	10/18/2004
05-0518	Richard Harrison	TELE SUDESTE CELULAR PARTICIPACOES SA	10/18/2004
05-0477	SALEH ALSHINIFI	SEC'S STAFF MANUAL	10/18/2004
05-0506	VIVEK SHANKAR	SEC HIGHEST PAID EMPLOYEES	10/18/2004
05-0492	LAURA WALSH	ALPHASMART INC	10/18/2004
05-0493	LAURA WALSH	ATHEROS COMMUNICATIONS INC	10/18/2004
05-0519	DAVID YI	DF CHINA TECHNOLOGY INC	10/19/2004
05-0522	BARRY KELLY	BARRY A KELLY	10/19/2004
05-0523	CHARLES ROMAINE	DAVID GRAHAM	10/19/2004
05-0524	PETER LAGORIO	AIR PRIME INC	10/19/2004
05-0525	PETER LAGORIO	CHAPARRAL NETWORK STORAGE INC	10/19/2004
05-0526	WALTER WELLINGTON	LILCO/KEYSPAN	10/19/2004
05-0527	WALTER WELLINGTON	BROOKLYN UNION GAS COMPANY	10/19/2004
05-0528	MARTIN RUSSO	LEGEND MERCHANT GROUP INC	10/19/2004
05-0529	KIM MAISANO	CREDIT CARD HOLDER'S LIST	10/19/2004
05-0520	DAVID L RIES	DAVID L RIES	10/19/2004
05-0521	Peter Lagorio	HARVEST AIR PRIME LLC	10/19/2004
05-0550	Tim Brillon	AES CORPORATION	10/20/2004
05-0551	Tim Brillon	INTUIT INC	10/20/2004
05-0552	Tim Brillon	ELECTRONIC DATA SYSTEMS CORPORATION	10/20/2004
05-0531	Tim Brillon	CIGNA CORPORATION	10/20/2004
05-0532	Tim Brillon	XILINX INC	10/20/2004
05-0571	Mark Edwards	EMBEX INC	10/20/2004
05-0572	Mark Edwards	INTEGRATED GENETICS INC	10/20/2004
05-0573	Mark Edwards	VISION INC	10/20/2004
05-0574	Mark Edwards	INCYTE PHARMACEUTICALS INC	10/20/2004

All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0575	Mark Edwards	INTERCARDIA INC	10/20/2004
05-0576	Mark Edwards	INCYTE PHARMACEUTICALS INC	10/20/2004
05-0577	Mark Edwards	ETHICAL HOLDINGS LIMITED	10/20/2004
05-0578	Mark Edwards	DURA PHARMACEUTICALS INC	10/20/2004
05-0535	Mark Edwards	INTERFERON SCIENCES INC	10/20/2004
05-0536	Mark Edwards	SEPRACOR INC	10/20/2004
05-0537	Mark Edwards	REPLIGEN CORPORATION	10/20/2004
05-0538	Mark Edwards	REPLIGEN CORPORATION	10/20/2004
05-0539	Mark Edwards	SOMATOGEN INC	10/20/2004
05-0540	Mark Edwards	CANTAB PHARMACEUTICALS PLC	10/20/2004
05-0541	Mark Edwards	ATHENA NEUROSCIENCES INC	10/20/2004
05-0542	Mark Edwards	ARTRIX LABORATORIES INC	10/20/2004
05-0554	Mark Edwards	Microprobe Corporation	10/20/2004
05-0555	Mark Edwards	IMMUNOMEDICS INC	10/20/2004
05-0556	Mark Edwards	SHAMAN PHARMACEUTICALS INC	10/20/2004
05-0557	Mark Edwards	IMMUNOMEDICS INC	10/20/2004
05-0558	Mark Edwards	IMMUNOMEDICS INC	10/20/2004
05-0559	Mark Edwards	AMYLIN PHARMACEUTICALS INC	10/20/2004
05-0560	Mark Edwards	AURORA BIOSCIENCES CORP	10/20/2004
05-0561	Mark Edwards	BIOCRYST PHARMACEUTICALS INC	10/20/2004
05-0562	Mark Edwards	ERGO SCIENCE CORPORATION	10/20/2004
05-0548	JING CHEN	FOCAL COMMUNICATIONS CORP	10/20/2004
05-0563	MARK RENDA	WACHOVIA SBA	10/20/2004
05-0565	Mara Sommers	FUZION TECHNOLOGIES GROUP INC	10/20/2004
05-0566	Mara Sommers	FUZION WIRELESS COMMUNICATIONS INC	10/20/2004
05-0579	CRAIG KRAVIT	NEW WORLD RESTAURANT GROUP INC	10/20/2004
05-0545	PETER LAGORIO	TECH HARVEST GROUP LLC	10/20/2004
05-0546	PETER LAGORIO	DOT HILL SYSTEMS CORP	10/20/2004
05-0547	PETER LAGORIO	MEDIA CLASSICS CORP	10/20/2004
05-0564	PETER LAGORIO	NEVIS FUND	10/20/2004
05-0544	Siobhan Hughes	DEFICIENCY LETTERS	10/20/2004
05-0549	Laura Triay	VAN ECK ASSOCIATES CORPORATION	10/20/2004
05-0533	Clint Binkley	SANDELL ASSET MANAGEMENT CORP	10/20/2004
05-0534	Clint Binkley	SANDELL ADVISORS LLC	10/20/2004
05-0580	Clint Binkley	JAMES A CACIOPPO	10/20/2004
05-0553	Clint Binkley	CASTLERIGG MASTER INVESTMENT II LTD	10/20/2004
05-0567	Clint Binkley	CASTLERIGG MASTER INVESTMENTS LTD	10/20/2004
05-0568	Clint Binkley	CASTLERIGG INTERNATIONAL HOLDINGS LTD	10/20/2004
05-0569	Clint Binkley	CASTLERIGG PARTNERS LP	10/20/2004
05-0570	Clint Binkley	THOMAS E SANDELL	10/20/2004
05-0543	RUSSELL WEIGEL	MR W ANTHONY HUFF	10/20/2004
05-0530	TIMOTHY MCCARTHY	BIOVAIL CORPORATION	10/20/2004
05-0581	Melissa Barnes	NATIONAL CITY CORPORATION	10/21/2004
05-0582	LAURA WALSH	CEA ACQUISITION CORPORATION	10/21/2004
05-0583	LAURA WALSH	DYNAVAX TECHNOLOGIES CORPORATION	10/21/2004
05-0606	DOMINIC SEMAAN	BASIC CAPITAL MANAGEMENT INC	10/22/2004
05-0607	PAUL BILZERIAN	PAUL A. BILZERIAN	10/22/2004
05-0592	Melissa Barnes	FEDERAL HOUSING FINANCE BOARD	10/22/2004
05-0593	Melissa Barnes	FEDERAL HOME LOAN BANK OF SEATTLE	10/22/2004
05-0608	Siobhan Hughes	HALLIBURTON	10/22/2004
05-0604	Tim Brillon	FREDDIE MAC	10/22/2004
05-0605	Tim Brillon	PRAECIS PHARMACEUTICALS INC	10/22/2004
05-0617	Tim Brillon	RAYTHEON CO	10/22/2004
05-0618	Tim Brillon	GENERAL DYNAMICS CORPORATION	10/22/2004

ID	Requester	Description	Received Date
05-0619	Tim Brillon	COLGATE PALMOLIVE CO	10/22/2004
05-0615	Robert Motz	HOLOPAK TECHNOLOGIES INC	10/22/2004
05-0616	Robert Motz	AVERSTAR INC	10/22/2004
05-0599	Robert Motz	MICHAEL S MATHEWS	10/22/2004
05-0600	Robert Motz	WESTGATE CAPITAL CO	10/22/2004
05-0601	Robert Motz	DNC CAPITAL CO	10/22/2004
05-0602	Robert Motz	TELECOMPUTING ASA	10/22/2004
05-0603	Robert Motz	CEDAR CREEK FIBERS LLC	10/22/2004
05-0588	Robert Motz	PETROLEUM GEO SERVICES ASA	10/22/2004
05-0589	Robert Motz	APPTIX ASA	10/22/2004
05-0590	Robert Motz	FOILMARK INC	10/22/2004
05-0591	Robert Motz	INTERMETRICS INC	10/22/2004
05-0584	J. Patrick Gavin	AVON PRODUCTS INC	10/22/2004
05-0585	J. Patrick Gavin	CATAPULT COMMUNICATIONS CORPORATION	10/22/2004
05-0586	J. Patrick Gavin	CSG SYSTEMS INTERNATIONAL INC	10/22/2004
05-0587	J. Patrick Gavin	INTERSECTIONS INC	10/22/2004
05-0594	J. Patrick Gavin	AMERISTAR CASINOS INC	10/22/2004
05-0595	J. Patrick Gavin	ANALOG DEVICES INC	10/22/2004
05-0596	J. Patrick Gavin	AUDICODES LTD	10/22/2004
05-0597	J. Patrick Gavin	BLUE NILE INC	10/22/2004
05-0598	J. Patrick Gavin	LIFETIME HOAN CORPORATION	10/22/2004
05-0609	J. Patrick Gavin	AFLAC CORPORATION	10/22/2004
05-0610	J. Patrick Gavin	ENERGIZER HOLDINGS INC	10/22/2004
05-0611	J. Patrick Gavin	GRAINGER W W INC	10/22/2004
05-0612	J. Patrick Gavin	PERKINELMER INC	10/22/2004
05-0613	J. Patrick Gavin	SOLETRON CORPORATION	10/22/2004
05-0614	J. Patrick Gavin	UTSTARCOM INC	10/22/2004
05-0657	J. Patrick Gavin	ROCKWELL COLLINS INC	10/25/2004
05-0658	J. Patrick Gavin	BJ SERVICES COMPANY	10/25/2004
05-0659	J. Patrick Gavin	ASIALNFO HOLDINGS INC	10/25/2004
05-0660	J. Patrick Gavin	AMSOUTH BANCORPORATION	10/25/2004
05-0639	J. Patrick Gavin	WRIGHT MEDICAL GROUP INC	10/25/2004
05-0640	J. Patrick Gavin	TASER INTERNATIONAL INC	10/25/2004
05-0641	J. Patrick Gavin	JDS UNIPHASE CORPORATION	10/25/2004
05-0642	J. Patrick Gavin	HEARST ARGYLE TELEVISION INC	10/25/2004
05-0643	J. Patrick Gavin	EXPRESS SCRIPTS INC	10/25/2004
05-0644	J. Patrick Gavin	ALLIED WASTE INDUSTRIES	10/25/2004
05-0620	J. Patrick Gavin	MGIC INVESTMENT CORPORATION	10/25/2004
05-0621	J. Patrick Gavin	EBOOKERS PLC	10/25/2004
05-0622	J. Patrick Gavin	CRANE COMPANY	10/25/2004
05-0623	J. Patrick Gavin	CAMPBELL SOUP COMPANY	10/25/2004
05-0624	J. Patrick Gavin	AVISTA CORPORATION	10/25/2004
05-00190- APPS	J. Patrick Gavin	VaxGen Inc	10/25/2004
05-0626	Richard Harrison	GLOBAL MEDICAL HOLDINGS INC	10/25/2004
05-0627	Richard Harrison	RAG SHOPS INC	10/25/2004
05-0628	Richard Harrison	CRAFT RETAIL ACQUISITION CORP	10/25/2004
05-0629	Richard Harrison	MANUFACTURERS LIFE INSURANCE CO USA SEPARATE ACCT	10/25/2004
05-0630	Richard Harrison	USALLIANZ VARIABLE INSURANCE PRODUCTS FUND OF FUND	10/25/2004
05-0631	Richard Harrison	FORUM FUNDS	10/25/2004
05-0632	Richard Harrison	CASH AMERICA INTERNATIONAL INC	10/25/2004
05-0633	Richard Harrison	FAR EAST ENERGY CORP	10/25/2004
05-0634	Richard Harrison	SIERRA PACIFIC RESOURCES NV	10/25/2004
05-0635	Richard Harrison	SHERIDAN GROUP INC	10/25/2004

All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0636	Richard Harrison	CAPITALSOURCE INC	10/25/2004
05-0637	Richard Harrison	CCI GROUP INC	10/25/2004
05-0638	Richard Harrison	TEGAL CORP DE	10/25/2004
05-0647	Richard Harrison	CWABS INC	10/25/2004
05-0648	Richard Harrison	OGE ENERGY CORP	10/25/2004
05-0649	Richard Harrison	DOLE FOOD COMPANY INC	10/25/2004
05-0650	Richard Harrison	PERFICIENT INC	10/25/2004
05-0651	Richard Harrison	FEDERATED PREMIER MUNICIPAL INCOME FUND	10/25/2004
05-0652	Richard Harrison	MODEM MEDIA INC	10/25/2004
05-0653	Richard Harrison	DIGITAS INC	10/25/2004
05-0654	Richard Harrison	PIONEER EUROPE FUND	10/25/2004
05-0655	Richard Harrison	ICG COMMUNICATIONS INC DE	10/25/2004
05-0656	Richard Harrison	MCCC ICG HOLDINGS LLC	10/25/2004
05-0662	Richard Harrison	DRUGSTORE COM INC	10/25/2004
05-0663	Richard Harrison	STONE CONTAINER CORP	10/25/2004
05-0664	Richard Harrison	GLOBAL EPOINT INC	10/25/2004
05-0665	Richard Harrison	NEXUS TELOCATION SYSTEMS LTD	10/25/2004
05-0666	Richard Harrison	HMI INDUSTRIES INC	10/25/2004
05-0667	Richard Harrison	INVERESK RESEARCH GROUP INC	10/25/2004
05-0668	Richard Harrison	CHARLES RIVER LABORATORIES INTERNATIONAL INC	10/25/2004
05-0669	Richard Harrison	WESTLAKES INSTITUTIONAL PORTFOLIOS	10/25/2004
05-0670	Richard Harrison	HANCOCK JOHN VARIABLE LIFE ACCOUNT U	10/25/2004
05-0671	Richard Harrison	PROGRESSIVE CORP OH	10/25/2004
05-0672	Richard Harrison	TXU CORP TX	10/25/2004
05-0625	DOUGLAS FOX	SEC FORM ADV	10/25/2004
05-0661	LAURA WALSH	CHEROKEE INTERNATIONAL CORPORATION	10/25/2004
05-0645	LAURA WALSH	KINETIC CONCEPTS INC	10/25/2004
05-0646	YALE LEVIN	ROUSE COMPANY	10/25/2004
05-0679	LEWIS KRAUSKOPF	BRISTOL-MYERS SQUIBB CO	10/26/2004
05-0680	RICH MELENDEZ	ROBERT C FLORANCE	10/26/2004
05-0683	ROBERT WOLF	MERCK	10/26/2004
05-0684	RICHARD LEE	CREE INC	10/26/2004
05-0673	Marshall Spiegel	CHARLEY CAREY	10/26/2004
05-0675	Robert Motz	WARREN INDUSTRIES INC	10/26/2004
05-0676	Robert Motz	MOON PRODUCTS INC	10/26/2004
05-0677	Robert Motz	LAWRENCE I ROSEN	10/26/2004
05-0678	Robert Motz	ROSE ART INDUSTRIES INC	10/26/2004
05-0681	Robert Motz	ATLANTIC CORK COMPANY	10/26/2004
05-0682	Robert Motz	COLORON INDUSTRIES INC	10/26/2004
05-0685	David Rudow	MERCURY INTERACTIVE	10/26/2004
05-0674	JENNIFER HAMM	2003-4770	10/26/2004
05-0712	Justin Cannizzaro	RAVINDER MEHRA	10/27/2004
05-0713	Justin Cannizzaro	DAVID MULLINS	10/27/2004
05-0714	Justin Cannizzaro	ROBERT SLUTZ	10/27/2004
05-0715	Justin Cannizzaro	CARLOS GARCIA DE JUANA	10/27/2004
05-0709	Tim Brillon	SABRE HOLDINGS CORPORATION	10/27/2004
05-0710	Tim Brillon	MOTOROLA INC	10/27/2004
05-0711	Tim Brillon	MERCK & C	10/27/2004
05-0687	Tim Brillon	MERRILL LYNCH & CO	10/27/2004
05-0688	Tim Brillon	TRIMERIS INC	10/27/2004
05-0694	J. Patrick Gavin	SOLA INTERNATIONAL INC	10/27/2004
05-0697	Scott Dawson	AMIS HOLDINGS	10/27/2004
05-0698	Scott Dawson	AMERICANEQUITY INVESTMENT	10/27/2004
05-0699	Scott Dawson	ALEA GROUP HOLDINGS	10/27/2004

ID	Requester	Description	Received Date
05-0700	Scott Dawson	CENTRAL FREIGHT LINES INC	10/27/2004
05-0701	Scott Dawson	CENTENNIAL SPECIALTY FOODS	10/27/2004
05-0702	Scott Dawson	DIGITALNET HOLDINGS INC	10/27/2004
05-0703	Scott Dawson	CTRIIP COM INTERNATIONAL LTD	10/27/2004
05-0704	Scott Dawson	CONNS INC	10/27/2004
05-0705	Scott Dawson	INTERNATIONAL STEEL GROUP INC	10/27/2004
05-0706	Scott Dawson	WCI COMMUNITIES INC	10/27/2004
05-0707	Scott Dawson	ZYMOGENETICS INC	10/27/2004
05-0716	Scott Dawson	CALLIDUS SOFTWARE INC	10/27/2004
05-0717	Scott Dawson	CITADEL BROADCASTING CORP	10/27/2004
05-0718	Scott Dawson	CHINA LIFE INSURANCE CO LTD	10/27/2004
05-0719	Scott Dawson	CARTERS INC	10/27/2004
05-0720	Scott Dawson	FRANKLIN BANK CORP	10/27/2004
05-0721	Scott Dawson	FIRST MARBLEHEAD CORP	10/27/2004
05-0722	Scott Dawson	INTERVIDEO INC	10/27/2004
05-0723	Scott Dawson	WINDROSE MEDICAL PROPERTY TRUST	10/27/2004
05-0726	Melissa Barnes	APOLLO GROUP INC	10/27/2004
05-0727	Melissa Barnes	APOLLO GROUP INC	10/27/2004
05-0686	CLARK WEBB	WASHIGNTON POST	10/27/2004
05-0724	CLARK WEBB	EDUCATION MANAGEMENT CORP	10/27/2004
05-0725	CLARK WEBB	APOLLO GROUP	10/27/2004
05-0695	JULIE EASTER	PHILIP SERVICES CORP	10/27/2004
05-0696	Kevin Steele	GENERAL MOTORS	10/27/2004
05-0708	JEFF LEE	ECOLLEGE INC	10/27/2004
05-0728	JEFF LEE	APOLLO GROUP INC	10/27/2004
05-0729	JIM BARBER	CLEARNONE COMMUNICATIONS CORPORTATION	10/27/2004
05-0689	Justin Cannizzaro	RICHARD GUTIERREZ	10/27/2004
05-0690	Justin Cannizzaro	DAVID MC GEOUGH	10/27/2004
05-0691	Justin Cannizzaro	JASON TIGG	10/27/2004
05-0692	Justin Cannizzaro	JAIME CASTAN	10/27/2004
05-0693	Justin Cannizzaro	SWAMI NATHAN	10/27/2004
05-0745	Justin Cannizzaro	VECTOR INVESTMENT ADVISORS	10/28/2004
05-0746	Justin Cannizzaro	MICHAEL MANN	10/28/2004
05-0747	Justin Cannizzaro	VEGA ASSET MANAGEMENT	10/28/2004
05-0761	MOLLIE BURKS THOMAS	MCL FINANCIAL GROUP INC	10/28/2004
05-0762	MOLLIE BURKS THOMAS	UPTON AFFILIATES	10/28/2004
05-0763	MOLLIE BURKS THOMAS	NNN CAPITAL CORP	10/28/2004
05-0764	MOLLIE BURKS THOMAS	MICHAEL UPTON	10/28/2004
05-0754	Patrick Walsh	ROBERT E KEITH	10/28/2004
05-0755	Patrick Walsh	DONALD K RICE	10/28/2004
05-0780	MITZI BAKER	MITZI G. BAKER	10/28/2004
05-0784	TOMMIE WOFFORD	ISLE OF CAPRI CASINOS INC	10/28/2004
05-0778	Scott Dawson	BUFFALO WILD WINGS INC	10/28/2004
05-0779	Scott Dawson	CANCERVAX CORP	10/28/2004
05-0765	Scott Dawson	COMPASS MINERALS INTERNATIONAL	10/28/2004
05-0766	Scott Dawson	GENITOPE CORP	10/28/2004
05-0757	Scott Dawson	DIRECT GENERAL CORP	10/28/2004
05-0758	Scott Dawson	CAPITALSOURCE INC	10/28/2004
05-0759	Scott Dawson	ADVANCIS PHARMACEUTICALS CORP	10/28/2004
05-0741	Scott Dawson	WYNN RESORTS LTD	10/28/2004
05-0742	Scott Dawson	FORM FACTOR INC	10/28/2004
05-0743	Scott Dawson	COAST FINANCIAL HOLDINGS	10/28/2004
05-0744	Scott Dawson	ASPEN INSURANCE HOLDINGS LTD	10/28/2004
05-0760	DEREK WILDER	ITT EDUCATIONAL SERVICES	10/28/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0748	DEREK WILDER	LAUREATE EDUCATION	10/28/2004
05-0783	Mike Lipman	FOND UNO EMERGING MARKETS LTD	10/28/2004
05-0756	J. Patrick Gavin	MICHAELS STORES INC	10/28/2004
05-0773	J. Patrick Gavin	TRIAD HOSPITALS INC	10/28/2004
05-0774	J. Patrick Gavin	AETNA INC	10/28/2004
05-0775	J. Patrick Gavin	HEALTH NET INC	10/28/2004
05-0776	J. Patrick Gavin	IONICS INCORPORATED	10/28/2004
05-0777	J. Patrick Gavin	MBIA INC	10/28/2004
05-0767	J. Patrick Gavin	COVENTRY HEALTH CARE INC	10/28/2004
05-0730	J. Patrick Gavin	BENTLEY PHARMACEUTICALS INC	10/28/2004
05-0739	J. Patrick Gavin	EURONET WORLDWIDE INC	10/28/2004
05-0740	J. Patrick Gavin	PETSMART INC	10/28/2004
05-0749	Tim Brillon	MYRIAD GENETICS INC	10/28/2004
05-0750	Tim Brillon	EASTMAN KODAK CO	10/28/2004
05-0731	Tim Brillon	LUCENT TECHNOLOGIES INC	10/28/2004
05-0732	Tim Brillon	ACCELRYIS INC	10/28/2004
05-0733	Tim Brillon	AMERICAN INTERNATIONAL GROUP INC	10/28/2004
05-0781	Justin Cannizzaro	GUSTAVO AZANON	10/28/2004
05-0782	Justin Cannizzaro	JESUSSAA REQUEJO	10/28/2004
05-0768	Robert Motz	RICHARD M. DARER	10/28/2004
05-0769	Robert Motz	RUDY L KENNDEY	10/28/2004
05-0770	Robert Motz	ALLIANCE TRADING PARTNERS INC	10/28/2004
05-0771	Robert Motz	MODUS MEDIA INTERNATIONAL INC	10/28/2004
05-0772	Robert Motz	WHITE PINE SOFTWARE INC	10/28/2004
05-0751	Robert Motz	YUCHUN LEE	10/28/2004
05-0752	Robert Motz	DAVID F CHEUNG	10/28/2004
05-0753	Robert Motz	UNICA CORPORATION	10/28/2004
05-0734	Robert Motz	UNICA TECHNOLOGIES INC	10/28/2004
05-0735	Robert Motz	SEQUOIA SYSTEMS INC	10/28/2004
05-0736	Robert Motz	GENSYM CORPORATION	10/28/2004
05-0737	Robert Motz	PAPYRUS DESIGN GROUP INC	10/28/2004
05-0738	Robert Motz	ARTHUR R SPECTOR	10/28/2004
05-0785	J. Patrick Gavin	ASK JEEVES INC	11/1/2004
05-0786	J. Patrick Gavin	CIGNA CORPORATION	11/1/2004
05-0787	J. Patrick Gavin	ENCORE WIRE CORPORATION	11/1/2004
05-0788	J. Patrick Gavin	METLIFE INC	11/1/2004
05-0789	J. Patrick Gavin	CAREER EDUCATION CORPORATION	11/1/2004
05-0790	J. Patrick Gavin	JONES APPAREL GROUP INC	11/1/2004
05-0791	J. Patrick Gavin	SAFECO CORPORATION	11/1/2004
05-0792	J. Patrick Gavin	DOW JONES & COMPANY INC	11/1/2004
05-0796	Richard Harrison	GVI SECURITY SOLUTIONS INC	11/1/2004
05-0797	Richard Harrison	SCIENTIFIC ENERGY INC	11/1/2004
05-0798	Richard Harrison	GIGABEAM CORP	11/1/2004
05-0799	Richard Harrison	TOWER GROUP INC	11/1/2004
05-0800	Richard Harrison	OMEGA HEALTHCARE INVESTORS INC	11/1/2004
05-0801	Richard Harrison	VENUS BEAUTY SUPPLY INC	11/1/2004
05-0802	Richard Harrison	ARBIOS SYSTEMS INC	11/1/2004
05-0803	Richard Harrison	CENTRAL HUDSON GAS & ELECTRIC CORP	11/1/2004
05-0804	Richard Harrison	PERICIENT INC	11/1/2004
05-0805	Richard Harrison	MILACRON INC	11/1/2004
05-0806	Richard Harrison	GEORGIA TRUST BANCSHARES INC	11/1/2004
05-0807	Richard Harrison	CLAYTON WILLIAMS ENERGYS INC /DE	11/1/2004
05-0808	Richard Harrison	COMMSCOPE INC	11/1/2004
05-0809	Richard Harrison	UNITED DOMINION REALTY TRUST INC	11/1/2004

ID	Requester	Description	Received Date
05-0810	Richard Harrison	HANCOCK JOHN VARIABLE LIFE ACCOUNT U	11/1/2004
05-0813	Richard Harrison	VISTA MEDICAL TECHNOLOGIES INC	11/1/2004
05-0814	Richard Harrison	AUTOBYTEL INC	11/1/2004
05-0815	Richard Harrison	AMERICAN CHURCH MORTGAGE CO	11/1/2004
05-0816	Richard Harrison	AMC ENTERTAINMENT INC	11/1/2004
05-0817	Richard Harrison	MILACRON INC	11/1/2004
05-0818	Richard Harrison	TIME AMERICA INC	11/1/2004
05-0819	Richard Harrison	TECNOMATIX TECHNOLOGIES LTD	11/1/2004
05-0820	Richard Harrison	LAM PHARMACEUTICAL CORP	11/1/2004
05-0821	Richard Harrison	FELCOR LODGING LP	11/1/2004
05-0822	Richard Harrison	ATWOOD OCEANICS INC	11/1/2004
05-0823	Richard Harrison	EMC INSURANCE GROUP INC	11/1/2004
05-0824	Richard Harrison	CELEBRATE EXPRESS INC	11/1/2004
05-0825	Richard Harrison	CALPINE GENERATION COMPANY LLC	11/1/2004
05-0826	Richard Harrison	KAHIKI FOODS INC	11/1/2004
05-0827	Richard Harrison	HOST MARRIOTT CORP	11/1/2004
05-0828	Richard Harrison	CHUKCHANSI ECONOMIC DEVELOPMENT AUTHORITY	11/1/2004
05-0794	THOMAS GLYNN	BRISTOL MYERS SQUIBB CO	11/1/2004
05-0795	KRISTEN JENKS	AMERICAN INTERNATIONAL GROUP INC	11/1/2004
05-0811	ALIX JOSEPH	GOLDEN EAGLE INTERNATIONAL INC	11/1/2004
05-0812	ALIX JOSEPH	CHARLES F KIRBY	11/1/2004
05-0793	Patrick Walsh	PATRICK B GONZALEZ	11/1/2004
05-0829	DAVID CWIKLO	BAXTER INTERNATIONAL INC	11/1/2004
05-0875	Patrick Walsh	JAMES P COYLE	11/2/2004
05-0830	SHAWN PECK	SEC 2003 DATABASE	11/2/2004
05-0874	Jeffrey Abraham	LEVY V STERLING HOLDING CO LLC	11/2/2004
05-0882	ERICH HEINOLD	THRESHOLD TRADES	11/2/2004
05-0883	Sean Harrington	STERICYCLE CORPORATION	11/2/2004
05-0886	LARA FORDE	TIME WARNER INC	11/2/2004
05-0884	NICHOLAS MARCHICA	WATSON WYATT & COMPANY HOLDINGS	11/2/2004
05-0885	Ferruh Demirmen	SEC LETTERS ON RESERVES	11/2/2004
04-8593-APP	Marshall Spiegel	RULE 3.16(B)	11/2/2004
05-0070-APP	Marshall Spiegel	CBOE	11/2/2004
05-0845	Richard Harrison	IMC GLOBAL	11/2/2004
05-0846	Richard Harrison	MOSAIC CO	11/2/2004
05-0847	Richard Harrison	CELERITEK DEFENSE ELECTRONICS BUSINESS	11/2/2004
05-0848	Richard Harrison	CITIGROUP DIVERIFIED FUTURES FUND LP	11/2/2004
05-0849	Richard Harrison	PYR ENERGY CORP	11/2/2004
05-0850	Richard Harrison	SUNSTONE HOTEL INVESTORS INC	11/2/2004
05-0851	Richard Harrison	AT&T WIRELESS SERVICES INC	11/2/2004
05-0852	Richard Harrison	CINGULAR WIRELESS LLC	11/2/2004
05-0853	Richard Harrison	MANAGEMENT GROUP	11/2/2004
05-0854	Richard Harrison	VOYAGEUR MUTUAL FUNDS	11/2/2004
05-0855	Richard Harrison	STI CLASSIC FUNDS	11/2/2004
05-0856	Richard Harrison	OPPENHEIMER CAPITAL PRESERVATION FUND	11/2/2004
05-0857	Richard Harrison	VOYAGEUR INVESTMENT TRUST	11/2/2004
05-0858	Richard Harrison	VOYAGEUR INSURED FUNDS	11/2/2004
05-0859	Richard Harrison	BURLINGTON NORTHERN SANTA FE CORP	11/2/2004
05-0860	Richard Harrison	AUDICODES LTD	11/2/2004
05-0861	Richard Harrison	CHINA ENERGY VENTURES CORP	11/2/2004
05-0862	Richard Harrison	DETLA PETROLEUM CORP/CO	11/2/2004
05-0863	Richard Harrison	NUVEEN PREMIUM STRATEGY FUND	11/2/2004
05-0864	Richard Harrison	GENETRONICS BIOMEDICAL CORP	11/2/2004

ID	Requester	Description	Received Date
05-0865	Richard Harrison	GUNDLE SLT ENVIRONMENTAL INC	11/2/2004
05-0866	Richard Harrison	AMES TRUE TEMPER INC	11/2/2004
05-0867	Richard Harrison	LYNDOCH ESTATE WINES INC	11/2/2004
05-0868	Richard Harrison	ELECTRONIC CONTROL SECURITY INC	11/2/2004
05-0887	Richard Harrison	SALOMON BROTHERS FLOATING RATE STRATEGIC FUND INC	11/2/2004
05-0888	Richard Harrison	CWALT INC	11/2/2004
05-0889	Richard Harrison	U STORE IT TRUST	11/2/2004
05-0890	Richard Harrison	SHUFFLE MASTER INC	11/2/2004
05-0891	Richard Harrison	LANNETT CO INC	11/2/2004
05-0892	Richard Harrison	SMARTPROS LTD	11/2/2004
05-0893	Richard Harrison	AMDOCS LTD	11/2/2004
05-0894	Richard Harrison	B&G FOODS HOLDINGS CORP	11/2/2004
05-0895	Richard Harrison	NTS REALTY HOLDINGS LP	11/2/2004
05-0896	Richard Harrison	LMIC INC	11/2/2004
05-0897	Richard Harrison	IMPROVENET INC	11/2/2004
05-0898	Richard Harrison	EFOODSAFETY COM INC	11/2/2004
05-0899	Richard Harrison	MAXIM PHARMACEUTICALS INC	11/2/2004
05-0900	Richard Harrison	CHASE MANHATTAN BANK USA	11/2/2004
05-0901	Richard Harrison	GABELLI UTILITY TRUST	11/2/2004
05-0902	Richard Harrison	DISCOVERY LABORATORIES INC	11/2/2004
05-0903	Richard Harrison	DRUGSTORE COM INC	11/2/2004
05-0904	Richard Harrison	CCI GROUP INC	11/2/2004
05-0905	Richard Harrison	TEGAL CORP	11/2/2004
05-0906	Richard Harrison	NEWTEK BUSINESS SERVICES INC	11/2/2004
05-0907	Richard Harrison	FOX HOLLOW TECHNOLOGIES INC	11/2/2004
05-0908	Richard Harrison	CALAMOS ASSET MANAGEMENT INC /DE	11/2/2004
05-0909	Richard Harrison	PENNSYLVANIA COMMERCE BANCORP INC	11/2/2004
05-0910	Richard Harrison	GMH COMMUNITEIS TRUST	11/2/2004
05-0911	Richard Harrison	INTERCHANGE CORP	11/2/2004
05-0912	Richard Harrison	BOMBARDIER RECREATIONAL PRODUCTS INC	11/2/2004
05-0913	Richard Harrison	ABINGTON COMMUNITY BANCORP INC	11/2/2004
05-0914	Richard Harrison	RAND ACQUISITION CORP	11/2/2004
05-0915	Richard Harrison	DREAMWORKS ANIMATION INC	11/2/2004
05-0916	Richard Harrison	THAI ONE ON INC	11/2/2004
05-0917	Richard Harrison	ESIM LTD	11/2/2004
05-0918	Richard Harrison	VNUS MEDICAL TECHNOLOGIES INC	11/2/2004
05-0919	Richard Harrison	AMF BOWLING WORLDWIDE INC	11/2/2004
05-0920	Richard Harrison	LITHIA MOTORS INC	11/2/2004
05-0836	J. Patrick Gavin	JUNIPER NETWORKS INC	11/2/2004
05-0837	J. Patrick Gavin	GOODRICH CORPORATION	11/2/2004
05-0838	J. Patrick Gavin	MEADWESTVACO CORPORATION	11/2/2004
05-0839	J. Patrick Gavin	UNITED HEALTH GROUP INCORPORATED	11/2/2004
05-0840	J. Patrick Gavin	T ROWE PRICE GROUP INC	11/2/2004
05-0841	J. Patrick Gavin	OXFORD HEALTH PLANS INC	11/2/2004
05-0842	J. Patrick Gavin	MICHAEL MIRAN	11/2/2004
05-0843	J. Patrick Gavin	PDS GAMING CORP	11/2/2004
05-0844	J. Patrick Gavin	TELEDYNE WIRELESS INC	11/2/2004
04-3062-APP	J. Patrick Gavin	BUY CO INC	11/2/2004
04-4283-APP	J. Patrick Gavin	IMMUCOR INC	11/2/2004
04-4901-APP	J. Patrick Gavin	TWEETER HOME ENTERTAINMENT GROUP INC	11/2/2004
05-0876	J. Patrick Gavin	NISOURCE INC	11/2/2004
05-0877	J. Patrick Gavin	HUNTINGTON BANCSHARES INCORPORATED	11/2/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-0878	J. Patrick Gavin	AMKOR TECHNOLOGY INC	11/2/2004
05-0879	J. Patrick Gavin	INTEGRATED CIRCUIT SYSTEMS INC	11/2/2004
05-0880	J. Patrick Gavin	GREAT LAKES CHEMICAL CORPORATION	11/2/2004
05-0881	J. Patrick Gavin	WINN DIXIE STORES INC	11/2/2004
04-6802-APP	J. Patrick Gavin	RED HAT INC	11/2/2004
04-7142-APP	J. Patrick Gavin	OMNIVISION TECHNOLOGIES INC	11/2/2004
05-0869	Mark Edwards	CYTO THERAPEUTICS	11/2/2004
05-0870	Mark Edwards	XOMA CORPORATION	11/2/2004
05-0871	Mark Edwards	CYTOGEN CORPORATION	11/2/2004
05-0872	Mark Edwards	ARQULE INC	11/2/2004
05-0873	Mark Edwards	ASCENT PEDIATRICS	11/2/2004
05-0831	Mark Edwards	CYTOGEN CORPORATION	11/2/2004
05-0832	Mark Edwards	VIVUS INC	11/2/2004
05-0833	Mark Edwards	CYTOCLONAL PHARMACEUTICS INC	11/2/2004
05-0834	Mark Edwards	CYTOTHERAPEUTICS INC	11/2/2004
05-0835	Mark Edwards	CYTEL CORP	11/2/2004
05-0925	Mark Edwards	ALPHA 1 BIOMEDICALS INC	11/3/2004
05-0926	Mark Edwards	CYTOTHERAPEUTICS INC	11/3/2004
05-0927	Mark Edwards	TRIPLEX PHARMACEUTICAL CORPORATION	11/3/2004
05-0928	Mark Edwards	ARONEX PHARMACEUTICALS INC	11/3/2004
05-0929	Mark Edwards	XOMA CORPORATION	11/3/2004
05-0924	SHERL ZUCKERMAN	IMPERIAL CAPITAL LLC	11/3/2004
05-00053-APPS	J. Patrick Gavin	Wild Oats Markets Inc	11/3/2004
05-0944	JENNIFER SEPIC	UBS FINANCIAL SERVICES INC	11/3/2004
05-0930	Mark Hansen	WILLIAM A ACKMAN	11/3/2004
05-0931	Mark Hansen	DAVID P BERKOWITZ	11/3/2004
05-0932	Mark Hansen	GOTHAM PARTNERS MANAGEMENT CO LLC	11/3/2004
05-0933	Mark Hansen	GOTHAM PARTNERS LP	11/3/2004
05-0934	Mark Hansen	GOTHAM PARTNERS III LP	11/3/2004
05-0935	Mark Hansen	GOTHAM HOLDINGS	11/3/2004
05-0936	Mark Hansen	GOTHAM CREDIT	11/3/2004
05-0937	Mark Hansen	SECTION H PARTNERS LP	11/3/2004
05-0938	Mark Hansen	DPB CORPORATION	11/3/2004
05-0921	Mark Hansen	KARENINA CORP	11/3/2004
05-0922	SHELLEY COHEN	SHELLEY DROOKER COHEN	11/3/2004
05-0923	CHARLA GRAY	GOODYEAR TIRE & RUBBER COMPANY	11/3/2004
05-0939	CHRISTOPHER LAUER	COUNTRY WIDE HOME LOANS INC	11/4/2004
05-0943	JENNIFER SEPIC	MERRILL LYNCH & CO	11/4/2004
05-0942	SHELLEY COHEN	SHELLEY DROOKER COHEN	11/4/2004
05-0945	JENNIFER SEPIC	CITIGROUP GLOBAL MARKETS INC	11/4/2004
05-0949	Cory Armand	IMAX CORP	11/4/2004
04-6798-APP	J. Patrick Gavin	DRUGSTORE COM INC	11/4/2004
05-0940	Tim Brillon	UNISYS CORPORATION	11/4/2004
05-0941	Tim Brillon	ALLSTATE CORPORATION	11/4/2004
05-0946	Tim Brillon	WACHOVIA CORPORATION	11/4/2004
05-0947	Tim Brillon	GILEAD SCIENCES INC	11/4/2004
05-0948	Tim Brillon	MACROMEDIA INC	11/4/2004
05-0950	Tim Brillon	INTROGEN THERAPEUTICS INC	11/5/2004
05-0951	Tim Brillon	BIOSITE INC	11/5/2004
05-0952	Tim Brillon	PRIORITY HEALTHCARE CORPORATION	11/5/2004
05-0977	Tim Brillon	IDEXX LABORATORIES INC	11/5/2004

ID	Requester	Description	Received Date
05-0978	Tim Brillon	LIFECCELL CORPORATION	11/5/2004
05-0969	J. Patrick Gavin	BALL CORPORATION	11/5/2004
05-0970	J. Patrick Gavin	CAREMARK RX INC	11/5/2004
05-0971	J. Patrick Gavin	CLARK INC	11/5/2004
05-0972	J. Patrick Gavin	IONA TECHNOLOGIES PLC	11/5/2004
05-0973	J. Patrick Gavin	MARVEL ENTERPRISES INC	11/5/2004
05-0974	J. Patrick Gavin	SHERWIN WILLIAMS COMPANY	11/5/2004
05-0983	J. Patrick Gavin	APPLERA CORPORATION	11/5/2004
05-0984	J. Patrick Gavin	BRADLEY PHARMACEUTICALS INC	11/5/2004
05-0985	J. Patrick Gavin	ESS TECHNOLOGY INC	11/5/2004
05-0986	J. Patrick Gavin	FTI CONSULTING INC	11/5/2004
05-0987	J. Patrick Gavin	MYKROLIS CORPORATION	11/5/2004
05-0988	J. Patrick Gavin	NUTRACEUTICAL INTERNATIONAL CORPORATION	11/5/2004
05-0989	J. Patrick Gavin	OPEN TEXT CORPORATION	11/5/2004
05-0990	J. Patrick Gavin	WHIRLPOOL CORPORATION	11/5/2004
05-0991	J. Patrick Gavin	ZIONS BANCORPORATION	11/5/2004
05-0976	Marshall Spiegel	CHARLES CAREY	11/5/2004
05-0957	Scott Dawson	SIGMATEL INC	11/5/2004
05-0958	Scott Dawson	RADNOR HOLDINGS CORP	11/5/2004
05-0959	Scott Dawson	PENINSULA PHARMACEUTICALS INC	11/5/2004
05-0960	Scott Dawson	PHARMION CORP	11/5/2004
05-0961	Scott Dawson	PROVIDENCE SERVICE CORP	11/5/2004
05-0962	Scott Dawson	OPEN SOLUTION INC	11/5/2004
05-0963	Scott Dawson	NITROMED INC	11/5/2004
05-0964	Scott Dawson	NATURAL GOLF CORPORATION	11/5/2004
05-0965	Scott Dawson	MARSHALL EDWARDS INC	11/5/2004
05-0966	Scott Dawson	MOLINA HEALTHCARE INC	11/5/2004
05-0967	Scott Dawson	LEGG CORP	11/5/2004
05-0968	Scott Dawson	KNOLOGY INC	11/5/2004
05-0992	Scott Dawson	SPS SPINCO INC	11/5/2004
05-0993	Scott Dawson	SIRVA INC	11/5/2004
05-0994	Scott Dawson	SALESFORCE COM INC	11/5/2004
05-0995	Scott Dawson	REDENVELOPE INC	11/5/2004
05-0996	Scott Dawson	QUALITY DISTRIBUTION INC	11/5/2004
05-0997	Scott Dawson	PINNACLE AIRLINES CORP	11/5/2004
05-0998	Scott Dawson	PROVIDE COMMERCE INC	11/5/2004
05-0999	Scott Dawson	ORBITZ INC	11/5/2004
05-1000	Scott Dawson	OVERNITE CORPORATION	11/5/2004
05-1001	Scott Dawson	NPTEST HOLDING CORP	11/5/2004
05-1002	Scott Dawson	NEUROCHEM INC	11/5/2004
05-1003	Scott Dawson	NETGEAR INC	11/5/2004
05-1004	Scott Dawson	MYOGEN INC	11/5/2004
05-1005	Scott Dawson	MARLIN BUSINESS SERVICES INC	11/5/2004
05-1006	Scott Dawson	LKQ CORP	11/5/2004
05-1007	Scott Dawson	KINTERA INC	11/5/2004
05-1008	Scott Dawson	IPAYMENT INC	11/5/2004
05-1009	Scott Dawson	IPASS INC	11/5/2004
05-0953	HARRIS SISKIND	CRAFUND ADVISORS INC	11/5/2004
05-0975	ERIC STENBERG	DRECO ENERGY SERVICES LTD	11/5/2004
05-0954	Meredith Sellers	MICHAEL A BOYD	11/5/2004
05-0955	Meredith Sellers	SCOTT WATSON	11/5/2004
05-0956	Meredith Sellers	JOHN HOMAN	11/5/2004
05-0979	Meredith Sellers	FOREST MULTI STRATEGY FUND	11/5/2004
05-0980	Meredith Sellers	FOREST INVESTMENT MANAGEMENT	11/5/2004

ID	Requester	Description	Received Date
05-0981	Meredith Sellers	DAVID TEOLIS	11/5/2004
05-0982	Meredith Sellers	FOREST MULTI STRATEGY	11/5/2004
05-1049	STEVEN SKLAVER	SEC MANUALS	11/8/2004
05-1050	Randall Smith	BDO SEIDMAN, LLP	11/8/2004
05-1051	JAMES NICOLL	PRIMUS GURANTY LTD	11/8/2004
05-1052	W NULTY LYNCH	J C NICHOLS COMPANY	11/8/2004
05-1017	Clint Binkley	NEAL KOTTKE	11/8/2004
05-1018	Clint Binkley	KOTTKE ASSOCIATES LLC	11/8/2004
05-1044	Clint Binkley	J MICHAEL CROUCH	11/8/2004
05-1045	Clint Binkley	RONALD SELIGA	11/8/2004
05-1046	Clint Binkley	WALTER CRONIN	11/8/2004
04-5026-APP	J. Patrick Gavin	WORLD WRESTLING ENERTAINMENT INC WORLD WRESTLING FEDERATION ENTERTAINMENT INC	11/8/2004
05-1036	J. Patrick Gavin	UNUMPROVIDENT CORPORATION	11/8/2004
05-1037	J. Patrick Gavin	SEALED AIR CORPORATION	11/8/2004
05-1038	J. Patrick Gavin	QUALCOMM INCORPORATED	11/8/2004
05-1039	J. Patrick Gavin	PINNACLE WEST CAPITAL CORPORATION	11/8/2004
05-1040	J. Patrick Gavin	PACTIV CORPORATION	11/8/2004
05-1041	J. Patrick Gavin	NUCOR CORPORATION	11/8/2004
05-1042	J. Patrick Gavin	MCCORMICK COMPANY INCORPORATED	11/8/2004
05-1043	J. Patrick Gavin	LEGGETT & PLATT INCORPORATED	11/8/2004
05-1010	J. Patrick Gavin	KERR MCGEECORPORATION	11/8/2004
05-1011	J. Patrick Gavin	HUMANA INC	11/8/2004
05-1012	J. Patrick Gavin	CITRIX SYSTEMS INC	11/8/2004
05-1013	J. Patrick Gavin	CAPITAL ONE FINANCIAL CORPORATION	11/8/2004
05-1014	J. Patrick Gavin	BED BATH & BEYOND INC	11/8/2004
05-1015	J. Patrick Gavin	BARD CR INC	11/8/2004
05-1016	J. Patrick Gavin	AMERICAN POWER CONVERSION CORPORATION	11/8/2004
05-1047	Tim Brillon	EPIX PHARMACEUTICALS INC	11/8/2004
05-1048	Tim Brillon	INDEVUS PHARMACEUTICALS INC	11/8/2004
05-1019	Tim Brillon	ADOLOR CORPORATION	11/8/2004
05-1020	Tim Brillon	PENWEST PHARMACEUTICALS CO	11/8/2004
05-1021	Tim Brillon	NORTHFIELD LABORATORIES INC	11/8/2004
05-1022	Richard Harrison	WIRELESS BUSINESS	11/8/2004
05-1023	Richard Harrison	WACHOVIA CORP NEW	11/8/2004
05-1024	Richard Harrison	UTSTARCOM INC	11/8/2004
05-1025	Richard Harrison	NEW SKIES SATELLITES NV	11/8/2004
05-1026	Richard Harrison	BLACKSTONE GROUP	11/8/2004
05-1027	Richard Harrison	MEMBERS CAPITAL ADVISORS INC	11/8/2004
05-1028	Richard Harrison	FSF FINANCIAL CORP	11/8/2004
05-1029	Richard Harrison	MIDCOUNTRY FINANCIALCORP	11/8/2004
05-1030	Richard Harrison	SMITH BARNEY APPRECIATION FUND INC	11/8/2004
05-1031	Richard Harrison	WRIGHT MANAGED INCOME TRUST	11/8/2004
05-1032	Richard Harrison	NEUBERGER BERMAN INSTITUTIONAL LIQUIDITY SERIES	11/8/2004
05-1033	Richard Harrison	LEHMAN BROTHERS INSTITUTIONAL LIQUIDITY SERIES	11/8/2004
05-1034	Richard Harrison	PIONEER SERIES TRUST III #333-120226	11/8/2004
05-1035	Richard Harrison	PIONEER SERIES TRUST III #333-120144	11/8/2004
05-1053	Richard Harrison	S&P MANAGED FUTURES INDEX FUND LP	11/8/2004
05-1054	Richard Harrison	PIMCO DIVERSIFIED INCOME FUND	11/8/2004
05-1055	Richard Harrison	ANTEON INTERNATIONALCORP	11/8/2004
05-1056	Richard Harrison	SIERRA PACIFIC RESOURCESNV	11/8/2004
05-1057	Richard Harrison	PETROL OIL & GAS INC	11/8/2004
05-1058	Richard Harrison	GABELLI UTILITY TRUST	11/8/2004
05-1059	Richard Harrison	BLACKROCK GLOBAL FLOATING RATE INCOME TRUST	11/8/2004

All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-1060	Richard Harrison	LIFELINE HOLDINGS INC	11/8/2004
05-1061	Richard Harrison	FIRST FEDERAL CAPITAL CORP	11/8/2004
05-1062	Richard Harrison	ASSOCIATED BANC-CORP	11/8/2004
05-1063	Richard Harrison	COMPUTER ACCESS TECHNOLOGY CORP	11/8/2004
05-1064	Richard Harrison	LECROY CORP	11/8/2004
05-1065	Richard Harrison	PRIMUS KNOWLEDGE SOLUTIONS INC	11/8/2004
05-1066	Richard Harrison	ART TECHNOLOGY GROUP INC	11/8/2004
05-1067	Richard Harrison	SOUTHTRUST CORP	11/8/2004
05-1068	Richard Harrison	TRAVELZOO INC	11/8/2004
05-1069	Richard Harrison	GATEWAY FINANCIAL HOLDINGS INC	11/8/2004
05-1070	Richard Harrison	DPL INC	11/8/2004
05-1081	Richard Harrison	ECOLOGY & ENVIRONMENT INC	11/9/2004
05-1082	Richard Harrison	DPL INC	11/9/2004
05-1083	Richard Harrison	LEHMAN BROTHERS INSTITUTIONAL LIQUIDITY SERIES	11/9/2004
05-1084	Richard Harrison	GABELLI UTILITY TRUST	11/9/2004
05-1085	Richard Harrison	BNP PARIBAS	11/9/2004
05-1086	Richard Harrison	COMMUNITY FIRST BANKSHARES INC	11/9/2004
05-1087	Richard Harrison	PIMCO DIVERSIFIED INCOME FUND	11/9/2004
05-1088	Richard Harrison	BLACKROCK GLOBAL FLOATING RATE INCOME TRUST	11/9/2004
05-1089	Richard Harrison	ART TECHNOLOGY GROUP INC	11/9/2004
05-1090	Richard Harrison	PRIMUS KNOWLEDGE SOLUTIONS INC	11/9/2004
05-1091	Richard Harrison	SOUTHTRUST CORP	11/9/2004
05-1105	Richard Harrison	UTSTARCOM INC	11/9/2004
05-1106	Richard Harrison	WACHOVIA CORP NEW	11/9/2004
05-1107	Richard Harrison	COMPUTER ACCESS TECHNOLOGY CORP	11/9/2004
05-1108	Richard Harrison	LECROY CORP	11/9/2004
05-1109	Richard Harrison	WIRELESS BUSINESS	11/9/2004
05-1110	Richard Harrison	ASSOCIATED BANC-CORP	11/9/2004
05-1111	Richard Harrison	FIRST FEDERAL CAPITAL CORP	11/9/2004
05-1112	Richard Harrison	SIERRA PACIFIC RESOURCES NV	11/9/2004
05-1113	Richard Harrison	ANTEON INTERNATIONAL CORP	11/9/2004
05-1114	Richard Harrison	TRAVELZOO INC	11/9/2004
05-1115	Richard Harrison	PIONEER SERIES TRUST III	11/9/2004
05-1116	Richard Harrison	US GLOBAL INVESTORS FUNDS	11/9/2004
05-1117	Richard Harrison	DEVELOPERS DIVERSIFIED REALTY CORP	11/9/2004
05-00250-APPS	J. Patrick Gavin	Comerica Incorporated	11/9/2004
05-00208-APPS	J. Patrick Gavin	Closure Medical Corporation	11/9/2004
05-1097	Mike Lipman	YORK CAPITAL MANAGEMENT	11/9/2004
05-1098	Mike Lipman	YORK GLOBAL VALUE PARTNERS	11/9/2004
05-1099	Mike Lipman	YORK GLOBAL VALUE HOLDINGS LLC	11/9/2004
05-1100	Mike Lipman	MICHAEL Y WEINBERGER	11/9/2004
05-1101	Mike Lipman	LOCH CAPITAL FUND	11/9/2004
05-1075	Mike Lipman	WILLIAM C VRATTOS	11/9/2004
05-1076	Mike Lipman	YORK GLOBAL VALUE UNIT TRUST	11/9/2004
05-1077	Mike Lipman	LOCH CAPITAL MANAGEMENT LLC	11/9/2004
05-1078	Mike Lipman	JGD MANAGEMENT CORP	11/9/2004
05-1079	Mike Lipman	LOCH GENERAL PARTNER LLC	11/9/2004
05-1080	Mike Lipman	TIMOTHY J MCSWEENEY	11/9/2004
05-1072	Alex Groff	RISK ASSESSMENT PRODUCTS OR SERVICES	11/9/2004
05-1104	Brian Dunn	RMS TITANIC INC	11/9/2004
05-1103	Sean Harrington	AMEDISYS	11/9/2004
05-1073	Meredith Sellers	SIMON A. MIKHAILOVICH	11/9/2004
05-1074	Meredith Sellers	EIDESIS CAPITAL	11/9/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-1092	Meredith Sellers	DR. JIM WANG	11/9/2004
05-1093	Meredith Sellers	DR. XIN WANG	11/9/2004
05-1094	Meredith Sellers	MICHAEL H. SOLLOTT	11/9/2004
05-1095	Meredith Sellers	TRITON PARTNERS	11/9/2004
05-1096	Meredith Sellers	EIDESIS STRUCTURED CREDIT FUND	11/9/2004
05-1102	Justin Cannizzaro	JONATHAN M BERG	11/9/2004
05-1071	Justin Cannizzaro	JOSEPH KEANE	11/9/2004
06-00116-APPS	G Whitney Leigh	James Tierney	11/9/2004
05-00180-APPS	Frank Schwamborn	Frank Schwamborn	11/9/2004
05-1135	JOHN FLYNN	JOHN J FLYNN	11/10/2004
05-1143	Sean Keenan	EXPRESS SCRIPTS INC	11/10/2004
05-1144	Sean Keenan	ADMINISTAFF INC	11/10/2004
05-1145	Sean Keenan	GEVITY HR INC	11/10/2004
05-1127	Sean Keenan	CERIDIAN CORP	11/10/2004
05-1130	Sean Keenan	HEALTHEXTRAS INC	11/10/2004
05-1131	Sean Keenan	FIRST HEALTH GROUP CORP INC	11/10/2004
05-1141	Sean Keenan	CAREMARK RX INC	11/10/2004
05-1152	Sean Keenan	CIGNA CORP	11/10/2004
05-1123	Sean Keenan	MEDCO HEALTH SOLUTIONS INC	11/10/2004
05-1124	Sean Keenan	ANTHEM INC	11/10/2004
05-1125	Sean Keenan	AETNA INC	11/10/2004
05-1154	Meredith Sellers	CONTRARIAN EUROPEAN	11/10/2004
05-1155	Meredith Sellers	CONTRARIAN CAPITAL EUROPEAN ADVISORS	11/10/2004
05-1156	Meredith Sellers	KARIM EL KHOURY	11/10/2004
05-1128	Meredith Sellers	SCOTT G KASEN	11/10/2004
05-1129	Meredith Sellers	CONTRARIAN EUROPEAN	11/10/2004
05-1146	Meredith Sellers	PETER COVIELLO	11/10/2004
05-1147	Meredith Sellers	CONTRARIAN CAPITAL TRADE CLAIMS FUND	11/10/2004
05-1148	Meredith Sellers	CONTRARIAN CAPITAL MANAGEMENT	11/10/2004
05-1149	Meredith Sellers	KARIM EL KHOURY	11/10/2004
05-1150	Meredith Sellers	CONTRARIAN CAPITAL EUROPEAN ADVISORS	11/10/2004
05-1133	Meredith Sellers	SCOTT K KASEN	11/10/2004
05-1151	JARIB HARTMAN	SYNERGY DIRECT SALES	11/10/2004
05-1153	TOM HASSAN	HOMESTORE CASE	11/10/2004
05-1126	Nicholas Jacobsohn	HEDGE FUND	11/10/2004
05-1132	James Reynolds	MERCK	11/10/2004
05-1136	JEFF LEE	CAREER EDUCATION CORPORATION	11/10/2004
05-1137	JEFF LEE	CORINTHIAN COLLEGES INC	11/10/2004
05-1118	JEFF LEE	LAUREATE EDUCATION INC	11/10/2004
05-1119	JEFF LEE	EDUCATION MANAGEMENT CORPORATION	11/10/2004
05-1120	JEFF LEE	UNIVERSAL TECHNICAL INSTITUTE INC	11/10/2004
05-1121	JEFF LEE	STRAYER EDUCATION INC	11/10/2004
05-1122	Tim Brillon	HARRAHS ENTERTAINMENT INC	11/10/2004
05-1138	Tim Brillon	TELEK INC	11/10/2004
05-1139	Tim Brillon	TANOX INC	11/10/2004
05-1140	Tim Brillon	PIXAR INC	11/10/2004
05-1142	Tim Brillon	INDEVUS SOUTHERN CO	11/10/2004
05-1134	RON WATKINS	MASS MUTUAL CORPORATE INVESTORS	11/10/2004
05-1157	RON WATKINS	MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY	11/10/2004
05-01166-FOIA	Tim Brillon	Perrigo Company	11/15/2004
05-01176-FOIA	Tim Brillon	Mail all documents from january 1, 2004 through November 12, 2004 for Ariad Pharmaceuticals Inc	11/15/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01172-FOIA	J. Patrick Gavin	T/R Systems Inc	11/15/2004
05-01173-FOIA	J. Patrick Gavin	Tellabs Inc	11/15/2004
05-01174-FOIA	J. Patrick Gavin	Ligand Pharmaceutical Incorporated	11/15/2004
05-01177-FOIA	J. Patrick Gavin	Multimedia Games Inc	11/15/2004
05-01178-FOIA	J. Patrick Gavin	California Micro Devices Corporation	11/15/2004
05-01182-FOIA	J. Patrick Gavin	Student Loan Corporation	11/15/2004
05-01183-FOIA	J. Patrick Gavin	Administaff Inc	11/15/2004
05-01158-FOIA	J. Patrick Gavin	Escalade Inc	11/15/2004
05-01159-FOIA	J. Patrick Gavin	Direct Focus Inc	11/15/2004
05-01163-FOIA	J. Patrick Gavin	Cerner Corporation	11/15/2004
05-01164-FOIA	J. Patrick Gavin	Comverse Technology Inc	11/15/2004
05-01167-FOIA	J. Patrick Gavin	Raytech Corporation	11/15/2004
05-01168-FOIA	J. Patrick Gavin	Mobility Electronics Inc	11/15/2004
05-01169-FOIA	J. Patrick Gavin	Thermo Electron Corporation	11/15/2004
05-01161-FOIA	Steve Faberman	Copy of Freedom of Information Act Request No. 2004-3540 for Heritage Property Investment Trust Inc	11/15/2004
05-01180-FOIA	Michael Paciorek	Most recent Form BD for NT Securities LLC	11/15/2004
05-01181-FOIA	William Bodkin	All of the SEC records relating to the UBS dealings with and research coverage of, a company called Interspeed	11/15/2004
05-01157-FOIA	Mike Lipman	Explorador Capital Management	11/15/2004
05-01171-FOIA	Mike Lipman	Andrew H. Cummins	11/15/2004
05-01162-FOIA	Mike Lipman	Explorador Institutional Fund Ltd	11/15/2004
05-01186-FOIA	Clint Binkley	Ted T. Kingsbery	11/15/2004
05-01184-FOIA	CHARLES STACKHOUSE	Stephen W. O'Neil	11/15/2004
05-01185-FOIA	CHARLES STACKHOUSE	Scott S. Mullet	11/15/2004
05-01179-FOIA	CHARLES STACKHOUSE	First Pacific Advisors Inc	11/15/2004
05-01175-FOIA	CHARLES STACKHOUSE	Robert Robriguez	11/15/2004
05-01165-FOIA	CHARLES STACKHOUSE	Copies of any consumer complaints, investigations, non-public correspondence, and disciplinary actions, from the Non-Public Reference Branch and the Freedom of Information Act Officer for Matthew Fisher	11/15/2004
05-01170-FOIA	CHARLES STACKHOUSE	Jonathan Baron	11/15/2004
05-01160-FOIA	CHARLES STACKHOUSE	Andrew Goldman	11/15/2004
05-01207-FOIA	CHARLES STACKHOUSE		11/16/2004
05-01208-FOIA	CHARLES STACKHOUSE	Rikard B. Ekstrand	11/16/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01209-FOIA	CHARLES STACKHOUSE	ROCKBAY CAPITAL ADVISORS, INC	11/16/2004
05-01210-FOIA	CHARLES STACKHOUSE	Gw capital inc	11/16/2004
05-01211-FOIA	CHARLES STACKHOUSE	Dennis Javer	11/16/2004
05-01212-FOIA	CHARLES STACKHOUSE	Rajeev Narang	11/16/2004
05-01213-FOIA	CHARLES STACKHOUSE	Jeffrey Monat	11/16/2004
05-01214-FOIA	CHARLES STACKHOUSE	Alex Wong	11/16/2004
05-01215-FOIA	CHARLES STACKHOUSE	Atul Khanna	11/16/2004
05-01216-FOIA	CHARLES STACKHOUSE	Thomas E Parkhurst	11/16/2004
05-01217-FOIA	CHARLES STACKHOUSE	Guy N. Watanabe	11/16/2004
05-01244-FOIA	Patrick Walsh	all information may have concerning Heinz Schimmelbusch	11/16/2004
05-01267-FOIA	VICTOR MOORE	Records of consumer complaints against Conad P. Seghers	11/16/2004
05-01238-FOIA	Clint Binkley	Liberty Enhanced Alternative Partnership	11/16/2004
05-01226-FOIA	Clint Binkley	Craig L Caudle	11/16/2004
05-01221-FOIA	Clint Binkley	Liberty International Fund	11/16/2004
05-01222-FOIA	Clint Binkley	Liberty SQS Fund	11/16/2004
05-01223-FOIA	Clint Binkley	Liberty Growth Fund	11/16/2004
05-01230-FOIA	Clint Binkley	Credera Finance Company LP	11/16/2004
05-01252-FOIA	Clint Binkley	Liberty Funds Group Inc	11/16/2004
05-01253-FOIA	Clint Binkley	Liberty Performance Fund	11/16/2004
05-01259-FOIA	Clint Binkley	Robert S. Klein	11/16/2004
05-01233-FOIA	Clint Binkley	Liberty Fund V	11/16/2004
05-01234-FOIA	Heather Jones	Chris Lytle	11/16/2004
05-01265-FOIA	RACHEL RYAN	Comment letter response for Greehill & Co Inc	11/16/2004
05-01262-FOIA	Mike Lipman	Enhanced Index Strategies LLC	11/16/2004
05-01235-FOIA	Mike Lipman	Enhanced Investment Strategies LLC	11/16/2004
05-01254-FOIA	Mike Lipman	Sector Neutral Fund	11/16/2004
05-01239-FOIA	Mike Lipman	Dr. Sanjiv Bhatia	11/16/2004
05-01187-FOIA	Mike Lipman	investigations and/or complaints relating to The Explorador Fund LP	11/16/2004
05-01188-FOIA	Mike Lipman	Andrew H. Cummings	11/16/2004
05-01229-FOIA	ALAN WALKER	Cypress Real Estate Advisors	11/16/2004
05-01266-FOIA	William Bodkin	All SEC records relating to the UBS dealing with and research coverage of, a company called Interspeed for UBS Warburg LLC	11/16/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01218-FOIA	Tim Klein	records of any ongoing or pending SEC investigation or inquiry against Apollo Group Inc	11/16/2004
05-01219-FOIA	Rick Rothacker	records and other materials related to the SEC investigation for First Union Corp	11/16/2004
05-01231-FOIA	Paul Kovacs	All records for Morgan Stanley & co Incorporated	11/16/2004
05-01232-FOIA	Marc Greco	Request documents pursuant to the Freedom of Information Act for Merck & Co.	11/16/2004
05-01242-FOIA	Melissa Gregg	any for Oechsle International Advisors LLC	11/16/2004
05-01243-FOIA	Melissa Gregg	Fisher Investments Inc	11/16/2004
05-01264-FOIA	Melissa Gregg	Julius Baer Investment Management LLC	11/16/2004
05-01246-FOIA	Jennifer Friedman	Freedom of Information Act documents and information for WorldCom Inc	11/16/2004
05-01248-FOIA	William Cohn	Daniel Davis	11/16/2004
05-01251-FOIA	Jim Zellmer	All investigative records for US Senator's stock market	11/16/2004
05-01257-FOIA	stephen Clemens	Comment Letters and the subsequent corporate responses pertaining for Xcelera Inc	11/16/2004
05-01261-FOIA	Gregg Nichols	UGS Capital Corp	11/16/2004
05-01258-FOIA	Eric Lechtzin	Labranche & Co Inc	11/16/2004
05-01268-FOIA	Fran Maynard	Checks & Balances Inc	11/16/2004
05-01220-FOIA	Michael Paciorek	Most recent Form BD that the SEC has received for Phoenix Global Capital Management	11/16/2004
05-01260-FOIA	JEFF LEE	eColleg Inc	11/16/2004
05-01190-FOIA	J. Patrick Gavin	synopsis inc	11/16/2004
05-01191-FOIA	J. Patrick Gavin	hypercom corporation	11/16/2004
05-01192-FOIA	J. Patrick Gavin	Integrated Electrical Services Inc	11/16/2004
05-01193-FOIA	J. Patrick Gavin	Netegrity Inc	11/16/2004
05-01194-FOIA	J. Patrick Gavin	Cardiac Science Inc	11/16/2004
05-01195-FOIA	J. Patrick Gavin	eFund Corporation	11/16/2004
05-01196-FOIA	J. Patrick Gavin	Davita Inc	11/16/2004
05-01197-FOIA	J. Patrick Gavin	Exult Inc	11/16/2004
05-01198-FOIA	J. Patrick Gavin	Sports Resorts International Inc	11/16/2004
05-01199-FOIA	J. Patrick Gavin	Supervalu Inc	11/16/2004
05-01200-FOIA	J. Patrick Gavin	Synaptics Incorporated	11/16/2004
05-01201-FOIA	J. Patrick Gavin	Administaff Inc	11/16/2004
05-01202-FOIA	J. Patrick Gavin	Atari Inc	11/16/2004
05-01203-FOIA	J. Patrick Gavin	Chattem Inc	11/16/2004
05-01204-FOIA	J. Patrick Gavin	Equifax Inc	11/16/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01205-FOIA	J. Patrick Gavin	Tektronix Inc	11/16/2004
05-01206-FOIA	J. Patrick Gavin	Viasys Healthcare Inc	11/16/2004
05-01227-FOIA	J. Patrick Gavin	Carmike Cinemas Inc	11/16/2004
05-01228-FOIA	J. Patrick Gavin	Medis Technologies Ltd	11/16/2004
05-01245-FOIA	J. Patrick Gavin	Encore Medical Corporation	11/16/2004
05-01247-FOIA	J. Patrick Gavin	Impco Technologies Inc	11/16/2004
05-01249-FOIA	J. Patrick Gavin	Regal Entertainment Group	11/16/2004
05-01250-FOIA	J. Patrick Gavin	Sonic Solutions	11/16/2004
05-01255-FOIA	J. Patrick Gavin	Priceline.com Incorporated	11/16/2004
05-01256-FOIA	J. Patrick Gavin	Primus Telecommunication Group Incorporated	11/16/2004
05-01189-FOIA	Tim Brillon	comments letters and formal and informal investigation relating Connetics Corporation	11/16/2004
05-01263-FOIA	Tim Brillon	La Jolla Pharmaceutical Company	11/16/2004
05-01224-FOIA	Tim Brillon	Impax Laboratories, Inc	11/16/2004
05-01225-FOIA	Tim Brillon	Eon Labs Inc	11/16/2004
05-01236-FOIA	Tim Brillon	AaiPharma Inc	11/16/2004
05-01237-FOIA	Tim Brillon	Norfolk Southern Corporation	11/16/2004
05-01240-FOIA	Tim Brillon	Verisign Inc	11/16/2004
05-01241-FOIA	Tim Brillon	Dendreon Corporation	11/16/2004
05-01271-FOIA	J. Patrick Gavin	Ciena Corporation	11/17/2004
05-01274-FOIA	J. Patrick Gavin	Western Digital Corporation	11/17/2004
05-01276-FOIA	J. Patrick Gavin	Sunterra Corporation	11/17/2004
05-01277-FOIA	J. Patrick Gavin	Nanogen Inc	11/17/2004
05-01282-FOIA	J. Patrick Gavin	Alpharma Inc	11/17/2004
05-01279-FOIA	J. Patrick Gavin	Lecg Corporation	11/17/2004
05-01280-FOIA	J. Patrick Gavin	Homestore Inc	11/17/2004
05-01285-FOIA	J. Patrick Gavin	The Brink's Company	11/17/2004
05-01281-FOIA	Richard Harrison	Comment letters and responses to those comments which relate to the Commissions review for Advantest Corporation	11/17/2004
05-01269-FOIA	Barbara Elias	All reasonably segregable nonexempt portions of the document for 9/11 Commisison Report	11/17/2004
05-01270-FOIA	Stephen Klaffky	Seeking aggregate statistics on claims filed for Rule 10b- Litigation	11/17/2004
05-01272-FOIA	Ben Nix	Ben C. Nix	11/17/2004
05-01278-FOIA	Brain Carey	List of Registered Investment Advisors list broken down by states	11/17/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01284-FOIA	Colleen Kelley	Information regarding the Fair Labor Standards Act	11/17/2004
05-01275-FOIA	Bill Bond	Records of the SEC Staff Comment Letters related to Genomic Solutions Inc	11/17/2004
05-01283-FOIA	Siobhan Hughes	request information about the date of a 2004 meeting for Joshua Rauh	11/17/2004
05-01273-FOIA	Clint Binkley	Credera Finance Management Compant LLC	11/17/2004
05-01305-FOIA	Mike Lipman	Thomas e. Claugus	11/18/2004
05-01291-FOIA	Mike Lipman	Bay Resource Partners	11/18/2004
05-01302-FOIA	Mike Lipman	GMT Capital Corp	11/18/2004
05-01287-FOIA	Robert Leach	List of public companies that file for bankruptcy Chapter 11 from October 1, 2003 to September 30, 2004	11/18/2004
05-01289-FOIA	Leah Goor	David J Toth	11/18/2004
05-01308-FOIA	Tom Nelli	Provide a written description of how a producing Branch Manager is paid on the business Morgan Stanley DW Inc	11/18/2004
05-01311-FOIA	Jukka Westhues	Copies of all "Confidential" portions omitted and filed separately with the Commission for Nextel Partners filings	11/18/2004
05-01450-FOIA	Jukka Westhues	Copies of all "Confidential" portions omitted and filed separately with the Commission for Ubiquitel	11/18/2004
05-01451-FOIA	Jukka Westhues	Copies of all "Confidential" portions omitted and filed separately with the Commission for Airgate PCS	11/18/2004
05-01304-FOIA	Steven Salberta	for Nokia Corp	11/18/2004
05-01309-FOIA	Alex Groff	All information/documents for Internat Access to Court Records	11/18/2004
05-01310-FOIA	Alex Groff	All information/documents for Analytical Tool To Monitor Website Activity	11/18/2004
05-01307-FOIA	Lindsay Calfee	B&G Foods Inc	11/18/2004
05-01295-FOIA	Lindsay Calfee	Advanced Medical Optics	11/18/2004
05-01296-FOIA	Lindsay Calfee	VISX Inc	11/18/2004
05-01293-FOIA	Lindsay Calfee	DoubleClick Inc	11/18/2004
05-01297-FOIA	Greg Mazur	Starbucks Corporation	11/18/2004
05-01301-FOIA	Greg Mazur	AT&T Corporation	11/18/2004
05-01298-FOIA	Catherine Saadeh	Copy of a memo, dating in the year from 1994 to 1996 of Lisa Reid Regan	11/18/2004
05-01299-FOIA	Catherine Saadeh	Copy of a memo, dating in the year from 1994 to 1996 of Daniel Hirsch	11/18/2004
05-01286-FOIA	Michael Spence	Keith G. Myers	11/18/2004
05-01288-FOIA	Michael Spence	Robert Barr Brown	11/18/2004
05-01290-FOIA	Michael Spence	Quorum Health Services	11/18/2004
05-01292-FOIA	Michael Spence	Homebound Care Inc	11/18/2004
05-01294-FOIA	Michael Spence	Louisiana Premium Seafoods Inc	11/18/2004
05-01303-FOIA	Michael Spence	All information that concerning John L. Indest	11/18/2004
05-01300-FOIA	Michael Spence	All information that concerning LHC Group	11/18/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01306-FOIA	Michael Spence	All information that concerning Kasler Corporation	11/18/2004
05-01352-FOIA	Michael Spence	Opelousas General Hospital	11/19/2004
05-01357-FOIA	J. Patrick Gavin	MGP Ingredients Inc	11/19/2004
05-01360-FOIA	J. Patrick Gavin	Nektar Therapeutics	11/19/2004
05-01364-FOIA	J. Patrick Gavin	Linktone Ltd	11/19/2004
05-01365-FOIA	J. Patrick Gavin	Imco Recycling Inc	11/19/2004
05-01366-FOIA	J. Patrick Gavin	Orasure Technologies Inc	11/19/2004
05-01335-FOIA	J. Patrick Gavin	ValueClick Inc	11/19/2004
05-01327-FOIA	J. Patrick Gavin	Monolithic System Technology Inc	11/19/2004
05-01355-FOIA	Peter Cruttenden	Administrative Proceedings File No. 3-11335 SEC Rel No. 33-8339 Nov 17, 2003 for Morgan Stanley DW Inc	11/19/2004
05-01356-FOIA	Ryan Gardiner	Career Education	11/19/2004
05-01338-FOIA	Ryan Gardiner	Apollo Group	11/19/2004
05-01336-FOIA	Steven Salberta	Electronics for Imaging	11/19/2004
05-01337-FOIA	Steven Salberta	Sandisk Corp	11/19/2004
05-01353-FOIA	Steven Salberta	for IAC/Interactive Corp	11/19/2004
05-01354-FOIA	Steven Salberta	for Sierra Wireless Inc	11/19/2004
05-01339-FOIA	Steven Salberta	Andrew Corp	11/19/2004
05-01340-FOIA	Steven Salberta	Ologic Corp	11/19/2004
05-01341-FOIA	Steven Salberta	Sap AG-Sponsored ADR	11/19/2004
05-01342-FOIA	Steven Salberta	Veritas Software Corp	11/19/2004
05-01343-FOIA	Steven Salberta	Open Text Corporation	11/19/2004
05-01344-FOIA	Steven Salberta	Scientific Atlanta Inc	11/19/2004
05-01345-FOIA	Steven Salberta	Oracle Corporation	11/19/2004
05-01346-FOIA	Steven Salberta	Sirius Satellite Radio	11/19/2004
05-01347-FOIA	Steven Salberta	Lucent Technologies	11/19/2004
05-01348-FOIA	Steven Salberta	IAC/Interactive Corp	11/19/2004
05-01349-FOIA	Steven Salberta	Opware Inc	11/19/2004
05-01350-FOIA	Steven Salberta	Nextel Communication	11/19/2004
05-01351-FOIA	Steven Salberta	for Avaya Inc	11/19/2004
05-01317-FOIA	Steven Salberta	for Celestica Inc	11/19/2004
05-01318-FOIA	Steven Salberta	for Checkpoint Software	11/19/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01321-FOIA	Steven Salberta	for Digital Theater Systems	11/19/2004
05-01322-FOIA	Steven Salberta	for XM Satellite Radio	11/19/2004
05-01323-FOIA	Steven Salberta	Jamdat Mobile Inc	11/19/2004
05-01324-FOIA	Steven Salberta	for Seagate Technology	11/19/2004
05-01325-FOIA	Steven Salberta	RealNetworks Inc	11/19/2004
05-01326-FOIA	Steven Salberta	Xyratex Ltd	11/19/2004
05-01328-FOIA	Steven Salberta	Dot Hill Systems Corp	11/19/2004
05-01329-FOIA	Steven Salberta	Motorla Inc	11/19/2004
05-01330-FOIA	Steven Salberta	for BEA Systems Inc	11/19/2004
05-01331-FOIA	Steven Salberta	for Webmethods Inc	11/19/2004
05-01332-FOIA	Steven Salberta	Extreme Networks Inc	11/19/2004
05-01333-FOIA	Steven Salberta	Quest Software Inc	11/19/2004
05-01334-FOIA	Steven Salberta	for Amazon Company Inc	11/19/2004
05-01367-FOIA	Steven Salberta	Merix Corp	11/19/2004
05-01361-FOIA	Steven Salberta	Siebel Systems Inc	11/19/2004
05-01362-FOIA	Steven Salberta	Broadcom Corp	11/19/2004
05-01363-FOIA	Steven Salberta	Nokia Corp	11/19/2004
05-01312-FOIA	Nick Schwellenbach	List of FOIA requests to your agency from 1999 through to November 12, 2004	11/19/2004
05-01316-FOIA	Mary Moore	The outcome of the Queench Inc temporary trading suspension on macrch 19, 2004	11/19/2004
05-01320-FOIA	Christine Weixler	A hard copy of a list of registered investment advisors	11/19/2004
05-01359-FOIA	Michael Logan	Send a copy of the 800 page report compiled by Lucent Technologies	11/19/2004
05-01313-FOIA	Steven Salberta	records of any ongoing or pending investigation of inquiry against for Supportsoft Inc	11/19/2004
05-01314-FOIA	Steven Salberta	records of any ongoing or pending investigation of inquiry against for Sun Microsystems	11/19/2004
05-01315-FOIA	Steven Salberta	records of any ongoing or pending investigation of inquiry against for Ask Jeeves Inc	11/19/2004
05-01358-FOIA	Melissa Barnes	Copies of all Commission staff review comment letters issued to Mitsubishi Tokyo Financial Group	11/19/2004
05-01319-FOIA	Cory Armand	Landry's Restaurants Inc	11/19/2004
05-01438-FOIA	Robert Carangelo	New Zealand Securities Commission	11/19/2004
05-01399-FOIA	Mike Lipman	Ardsley Advisory	11/22/2004
05-01390-FOIA	Mike Lipman	Philip J Hempleman	11/22/2004
05-01395-FOIA	Mike Lipman	Eric F. Billings	11/22/2004
05-01416-FOIA	Mike Lipman	Ardsley Offshore Fund Ltd	11/22/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01414-FOIA	Mike Lipman	Emanuel J. Friedman	11/22/2004
05-01420-FOIA	Mike Lipman	Ardsley Partners	11/22/2004
05-01418-FOIA	Mike Lipman	Ardseley Partners Fund II LP	11/22/2004
05-01407-FOIA	Mike Lipman	Pierre Boutros Nadim Naim	11/22/2004
05-01408-FOIA	Mike Lipman	FBR Ashton	11/22/2004
05-01412-FOIA	Mike Lipman	Friedman, Billings Ramsey	11/22/2004
05-01410-FOIA	Mike Lipman	FBR Investment	11/22/2004
05-01404-FOIA	Mike Lipman	FBR Opportunity Fund	11/22/2004
05-01401-FOIA	Mike Lipman	FBR Venture Capital	11/22/2004
05-01397-FOIA	Mike Lipman	Ardsley Partners Fund I, LP	11/22/2004
05-01396-FOIA	Mark Stein	Statistics on the number of companies deregistering their securities	11/22/2004
05-01398-FOIA	Eric Yollick	Enforcement action in 1998 or 1999 involving Transun International Airways Inc	11/22/2004
05-01406-FOIA	John Haeussler	Chiron Corporation	11/22/2004
05-01405-FOIA	TYSON SLOCUM	Member of Congress	11/22/2004
05-01421-FOIA	Peter Cruttenden	Documents submitted to the SEC in connection for Massashusetts Financial Services Co	11/22/2004
05-01368-FOIA	Richard Harrison	SUPERIOR ESSEX INC	11/22/2004
05-01369-FOIA	Richard Harrison	Home Federal Bancorp Inc of Louisiana	11/22/2004
05-01370-FOIA	Richard Harrison	BRAUN CONSULTING INC	11/22/2004
05-01371-FOIA	Richard Harrison	American Financial Realty Trust	11/22/2004
05-01372-FOIA	Richard Harrison	hometwon Community Bancshares Inc	11/22/2004
05-01373-FOIA	Richard Harrison	Indus International Inc	11/22/2004
05-01374-FOIA	Richard Harrison	INTERCEPT INC	11/22/2004
05-01375-FOIA	Richard Harrison	MONITRONICS INTERNATIONAL INC	11/22/2004
05-01376-FOIA	Richard Harrison	Paincare Holdings Inc	11/22/2004
05-01377-FOIA	Richard Harrison	ROUSE COMPANY	11/22/2004
05-01378-FOIA	Richard Harrison	Pacific Coast National Bancorp	11/22/2004
05-01379-FOIA	Richard Harrison	Neenah Founddry Co	11/22/2004
05-01380-FOIA	Richard Harrison	Royal Financial Inc	11/22/2004
05-01381-FOIA	Richard Harrison	SFSB Inc	11/22/2004
05-01382-FOIA	Richard Harrison	FSF FINANCIAL CORP	11/22/2004
05-01383-FOIA	Richard Harrison	ACUSPHERE INC	11/22/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01384-FOIA	Richard Harrison	Netsolve Inc	11/22/2004
05-01385-FOIA	Richard Harrison	ABBEY NATIONAL PLC	11/22/2004
05-01386-FOIA	Richard Harrison	QRS Corp	11/22/2004
05-01388-FOIA	Richard Harrison	Opus Medical Inc	11/22/2004
05-01389-FOIA	Richard Harrison	Persistence Software Inc	11/22/2004
05-01391-FOIA	Richard Harrison	Orbitz Inc	11/22/2004
05-01392-FOIA	Richard Harrison	Medical Product Business	11/22/2004
05-01393-FOIA	Richard Harrison	Prime Medical Services Inc/TX	11/22/2004
05-01417-FOIA	J. Patrick Gavin	WellPoint Health Networks Inc	11/22/2004
05-01419-FOIA	J. Patrick Gavin	Washington Mutual Inc	11/22/2004
05-01400-FOIA	J. Patrick Gavin	Travelzoo Inc	11/22/2004
05-01402-FOIA	J. Patrick Gavin	Intel Corporation	11/22/2004
05-01403-FOIA	J. Patrick Gavin	Payless Shoesource Inc	11/22/2004
05-01387-FOIA	J. Patrick Gavin	The Chubb Corporation	11/22/2004
05-01422-FOIA	J. Patrick Gavin	Netflix Inc	11/22/2004
05-01394-FOIA	Mark Edwards	request access to Exhibits 10.23 filed by Aradigm Corp and Form 10-Q for period ending on 6/30/98	11/22/2004
05-01409-FOIA	Mark Edwards	Request access to certain exhibits filed by Combichem Inc and Form 10-Q for the period ending on 6/30/1998	11/22/2004
05-01411-FOIA	Mark Edwards	Request access to certain exhibits filed by Ariad Pharmaceuticals Inc and Form S-1 3/11/94	11/22/2004
05-01413-FOIA	Mark Edwards	Request access to certain exhibits filed by Alpha Biomedicals Inc and Form 10-k for the period ending on 12/31/91	11/22/2004
05-01415-FOIA	Mark Edwards	Argus Pharmaceuticals Inc	11/22/2004
05-01429-FOIA	Mark Edwards	Request access to exhibits 10.9 10.10, 10.11 and 10.12 filed by Atlantic Pharmaceuticals Inc	11/23/2004
05-01443-FOIA	Mark Edwards	request access to Exhibits 10.23 filed by Ariad Pharmaceuticals Inc	11/23/2004
05-01432-FOIA	Mark Edwards	Request access to Exhibit 10, filed by Atrix Laboratories Inc	11/23/2004
05-01433-FOIA	Mark Edwards	Request access to Exhibits 10.25 and 10.26 filed by Biosite Diagnostics Inc	11/23/2004
05-01434-FOIA	Mark Edwards	Request access to Exhibits 10.22, 10.23 and 10.24, filed by Agouron Pharmaceuticals, Inc	11/23/2004
05-01445-FOIA	Mark Edwards	Request access to Exhibits 10.26 filed by Autoimmune Inc	11/23/2004
05-01446-FOIA	Mark Edwards	Request access to certain exhibits filed by Bioject Medical Technologies Inc	11/23/2004
05-01447-FOIA	Mark Edwards	Request access to certain exhibits filed by Bioject Medical Systems Ltd	11/23/2004
05-01448-FOIA	Mark Edwards	Request access to Exhibits 10.1 and 10.2 filed by Biosurface Technology Inc	11/23/2004
05-01449-FOIA	Mark Edwards	Request access to Exhibit 10.11, filed by Biosepara Inc	11/23/2004
05-01442-FOIA	Michael Abramson	the Apollo Group Incorporated	11/23/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01425-FOIA	J. Patrick Gavin	Iron Mountain Incorporated	11/23/2004
05-01428-FOIA	J. Patrick Gavin	United Online Inc	11/23/2004
05-01430-FOIA	J. Patrick Gavin	Beacon Roofing Supply Inc	11/23/2004
05-01437-FOIA	J. Patrick Gavin	Global Signal Inc	11/23/2004
05-01439-FOIA	J. Patrick Gavin	Emeritus Corporation	11/23/2004
05-01441-FOIA	J. Patrick Gavin	The Mosaic Company	11/23/2004
05-01431-FOIA	YALE LEVIN	copy of investigatory records, comments issued for Virologic Inc	11/23/2004
05-01444-FOIA	YALE LEVIN	copy of investigatory records comments issued for Aclara Biosciences Inc	11/23/2004
05-01426-FOIA	Barbara Smith	records of all documents related to Securities Exchange Commission's Investigation into Frank Gruttadauria	11/23/2004
05-01427-FOIA	Michael Avenatti	A request for documents about KPMG LLP	11/23/2004
05-01435-FOIA	Mark Vugrinovich	comment letters pertaining to Schedule To and its subsequent amendments filed by Motorola, Inc	11/23/2004
05-01423-FOIA	Frank Salamone	Request access to and copies of the following documents FOIA request letters filed on behalf for Global Securities Information	11/23/2004
05-01424-FOIA	Melissa Barnes	Request a copy any and all correspondence between the SEC staff and National City Corporation	11/23/2004
05-01440-FOIA	John Dickey	Koninklijke Ahold NV	11/23/2004
05-01452-FOIA	David Starr	data compiled by Office of Economic Analysis	11/23/2004
05-01436-FOIA	Eric Ribner	Information regarding the nature of the SEC investigation against United Rentals Inc	11/23/2004
05-01455-FOIA	Chris Zepf	Records of any ongoing or pending SEC investigation or inquiry against Zoran Corporation	11/24/2004
05-01456-FOIA	Chris Zepf	Records of any ongoing or pending SEC investigation or inquiry against Taser International Inc	11/24/2004
05-01458-FOIA	Chris Zepf	Records of any ongoing or pending SEC investigation or inquiry against PalmOne Inc	11/24/2004
05-01459-FOIA	Chris Zepf	Synaptics Incorporated	11/24/2004
05-01464-FOIA	Chris Zepf	records of any ongoing or pending SEC investigation or inquiry against Overstock com Inc	11/24/2004
05-01466-FOIA	Chris Zepf	records of any ongoing or pending SEC investigation or inquiry against for Lexar Media Inc	11/24/2004
05-01490-FOIA	Chris Zepf	JDS Uniphase Corporation	11/24/2004
05-01491-FOIA	Chris Zepf	Broadcom Corporation	11/24/2004
05-01492-FOIA	Chris Zepf	Advanced Digital Information Corporation	11/24/2004
05-01493-FOIA	Chris Zepf	Jupitermedia Corporation	11/24/2004
05-01507-FOIA	Chris Zepf	EMC Corporation	11/24/2004
05-01502-FOIA	Chris Zepf	Intel Corporation	11/24/2004
05-01480-FOIA	Chris Zepf	eBay Inc	11/24/2004
05-01481-FOIA	Chris Zepf	Dell Inc	11/24/2004
05-01485-FOIA	Chris Zepf	NetRatings Inc	11/24/2004

ID	Requester	Description	Received Date
05-01486-FOIA	Chris Zepf	Microsemi Corporation	11/24/2004
05-01487-FOIA	Chris Zepf	Marvell Technology Group Ltd	11/24/2004
05-01468-FOIA	Chris Zepf	records of any ongoing or pending SEC investigation or inquiry against for IPIX CORPORATION	11/24/2004
05-01474-FOIA	Chris Zepf	Records of any ongoing or pending SEC investigation or inquiry against Hewlett-Packard Company	11/24/2004
05-01475-FOIA	Chris Zepf	Records of any ongoing or pending SEC investigation or inquiry against M-Systems Flash Disk Pioneers Ltd	11/24/2004
05-01472-FOIA	Chris Zepf	Research In Motion Limited	11/24/2004
05-01504-FOIA	Chris Zepf	Electronic Arts Inc	11/24/2004
05-01510-FOIA	Chris Zepf	Conexant Systems Inc	11/24/2004
05-01511-FOIA	Chris Zepf	Comverst Technology Inc	11/24/2004
05-01512-FOIA	Chris Zepf	Ciena Corporation	11/24/2004
05-01513-FOIA	Chris Zepf	Atheros Communications Inc	11/24/2004
05-01514-FOIA	Chris Zepf	Advanced Micro Devices Inc	11/24/2004
05-01515-FOIA	Chris Zepf	Apple Computer Inc	11/24/2004
05-01462-FOIA	Charles Leach	Records of an SEC investigation (including Litigation, settlement, etc) regarding irregularities and/or stock manipulation for Presely Companies	11/24/2004
05-01465-FOIA	Ely Levy	A copy of the comments given by the SEC to the company for Iowa Telecommunications Inc	11/24/2004
05-01503-FOIA	Jurmell James	From January 1, 2000 to present, any and all reports, studies, analyses, evaluations, or other information documents that compare the compensation, pay and/or salaries of the employees of THE SECURITIES AND EXCHANGE COMMISSION to other Federal agencies for	11/24/2004
05-01476-FOIA	Heather Jones	David Feinman	11/24/2004
05-01470-FOIA	Scott Dawson	Would like to have access to the comment letters sent by the SEC for SPS Spinco Inc	11/24/2004
05-01471-FOIA	Scott Dawson	access to the comment letters sent by the SEC to the following company as well as any responses submitted by the following company Tessera Technologies Inc	11/24/2004
05-01473-FOIA	Scott Dawson	access to the comment letters sent by the SEC to the following company as well as any responses submitted by the following company Tempur-Pedic International Inc	11/24/2004
05-01463-FOIA	Scott Dawson	Would like to have access to the comment letters sent by the SEC for SYNEX Information Technology Inc	11/24/2004
05-01460-FOIA	Scott Dawson	access to the comment letters sent by the SEC to following company as well as any responses submitted by the following company for White House Inc/MD	11/24/2004
05-01461-FOIA	Scott Dawson	access to the comment letters sent by the SEC to following company as well as any responses submitted by the following company for United National Group	11/24/2004
05-01516-FOIA	Scott Dawson	Access to the comment letters sent by the SEC for TolerRx Inc	11/24/2004
05-01517-FOIA	Scott Dawson	Access to the comment letters sent by the SEC for Texas Capital Bancshares Inc	11/24/2004
05-01457-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding Volume Service America Inc	11/24/2004
05-01453-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding Google	11/24/2004
05-01454-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding Bristol Meyers Squibb Co	11/24/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01467-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding Altria	11/24/2004
05-01479-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding General Electric Company	11/24/2004
05-01488-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding Enron	11/24/2004
05-01506-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding AT&T	11/24/2004
05-01497-FOIA	Frank Salamone	FOIA request letters filed on behalf of Global Securities Information Inc regarding IBM	11/24/2004
05-01478-FOIA	J. Patrick Gavin	Mattel Inc	11/24/2004
05-01482-FOIA	J. Patrick Gavin	InterDigital Communications Corporation	11/24/2004
05-01483-FOIA	J. Patrick Gavin	Pitney Bowes Inc	11/24/2004
05-01484-FOIA	J. Patrick Gavin	NDCHealth Corporation	11/24/2004
05-01469-FOIA	J. Patrick Gavin	Microvision Inc	11/24/2004
05-01489-FOIA	J. Patrick Gavin	Playboy Enterprises Inc	11/24/2004
05-01494-FOIA	J. Patrick Gavin	Wilson Greatbatch Technologies Inc	11/24/2004
05-01495-FOIA	J. Patrick Gavin	Starcraft Corporation	11/24/2004
05-01496-FOIA	J. Patrick Gavin	Dobson Communication Corporation	11/24/2004
05-01498-FOIA	J. Patrick Gavin	Digital Theater Systems Inc	11/24/2004
05-01499-FOIA	J. Patrick Gavin	VistaCare Inc	11/24/2004
05-01500-FOIA	J. Patrick Gavin	Wireless Facilities Inc	11/24/2004
05-01501-FOIA	J. Patrick Gavin	Computer Network Technology Corporation	11/24/2004
05-01505-FOIA	J. Patrick Gavin	Andrx Corporation	11/24/2004
05-01508-FOIA	J. Patrick Gavin	Hollywood Entertainment Corporation	11/24/2004
05-01509-FOIA	J. Patrick Gavin	Copies of all FOIA requests received for Travelzoo and any documents released thereto within the past year	11/24/2004
05-01477-FOIA	DONALD B LEWIS	Adams Golf Inc	11/24/2004
05-01531-FOIA	J. Patrick Gavin	Action Performance Companies Inc.	11/26/2004
05-01532-FOIA	J. Patrick Gavin	Align Technology Inc.	11/26/2004
05-01533-FOIA	J. Patrick Gavin	Biolase Technology Inc.	11/26/2004
05-01534-FOIA	J. Patrick Gavin	Education Lending Group Inc.	11/26/2004
05-01535-FOIA	J. Patrick Gavin	Interstate Bakeries Corporation.	11/26/2004
05-01536-FOIA	J. Patrick Gavin	John B. Sanfilippo Son Inc.	11/26/2004
05-01537-FOIA	J. Patrick Gavin	PTEK Holdings Inc	11/26/2004
05-01538-FOIA	J. Patrick Gavin	Krispy Kreme Doughnuts Inc.	11/26/2004
05-00002-APPS	J. Patrick Gavin	TJX Companies	11/26/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00003-APPS	J. Patrick Gavin	Titan International Inc.	11/26/2004
05-00004-APPS	J. Patrick Gavin	Appealing denial of any documents/ records regarding any informal/ formal investigations and/or inquiries, and comment letters since the last request in June 2003 for Hormel Food Corporation.	11/26/2004
05-00005-APPS	J. Patrick Gavin	ITT Industries, Inc	11/26/2004
05-00006-APPS	J. Patrick Gavin	Hershey Foods Corporation	11/26/2004
05-00007-APPS	J. Patrick Gavin	Baker Hughes Incorporated	11/26/2004
05-00008-APPS	J. Patrick Gavin	Medica General, Inc	11/26/2004
05-00009-APPS	J. Patrick Gavin	Southwest Airlines Co.	11/26/2004
05-01539-FOIA	Tim Brillon	Genelabs Technologies Inc.	11/26/2004
05-01540-FOIA	Tim Brillon	Axcan Pharma Inc.	11/26/2004
05-01541-FOIA	Tim Brillon	Noven Pharmaceuticals Inc.	11/26/2004
05-01542-FOIA	Tim Brillon	Seattle Genetics Inc.	11/26/2004
05-01543-FOIA	Tim Brillon	SuperGen Inc.	11/26/2004
05-01525-FOIA	Melissa Barnes	Banco Santander Central Hispano, S.A.	11/26/2004
05-01544-FOIA	Mike Schroeder	copies of the settled enforcement case files of defendant American International Group related to Brightpoint Inc and PNC Financial Group Inc.	11/26/2004
05-01526-FOIA	Patrick Walsh	access to records of any litigation releases and records of testimony related to Kevin Bagby.	11/26/2004
05-01527-FOIA	Patrick Walsh	access to records of any litigation releases and records of testimony related to Glen Karan.	11/26/2004
05-01528-FOIA	Patrick Walsh	access to records of any litigation releases and records of testimony related to Edward J. Whalen.	11/26/2004
05-01529-FOIA	Patrick Walsh	access to records of any litigation releases and records of testimony related to John E. Carroll, Jr.	11/26/2004
05-01530-FOIA	Patrick Walsh	access to records of any litigation releases and records of testimony related to Freightcar America	11/26/2004
05-01518-FOIA	Mike Rogoway	copy of all documents, e-mails and other records in the course of investigation into accounting irregularities regarding Electro Scientific industries Inc.	11/26/2004
05-01519-FOIA	Kathryn Purdom	Southern Michigan Bancorp Inc.	11/26/2004
05-01520-FOIA	Kathryn Purdom	First Commerce Community Bankshares Inc.	11/26/2004
05-01521-FOIA	Kathryn Purdom	First Banking Center Inc.	11/26/2004
05-01522-FOIA	Kathryn Purdom	Darlington County Bancshares Inc.	11/26/2004
05-01523-FOIA	Kathryn Purdom	Valrico Bancorp Inc.	11/26/2004
05-01524-FOIA	Kathryn Purdom	Sturgis Bancorp Inc.	11/26/2004
05-00010-APPS	J. Patrick Gavin	any documents or records regarding any informal; and/or formal investigations and/or inquiries of Sonic Innovations Inc	11/29/2004
05-00011-APPS	J. Patrick Gavin	Intuit Inc	11/29/2004
05-00012-APPS	J. Patrick Gavin	Appealing decision to deny request to withhold nonpublic records that may be response to the request pertaining to Merix Corporation.	11/29/2004
05-00013-APPS	J. Patrick Gavin	any documents or records regarding any informal; and/or formal investigations and/or inquiries of AT&T Wireless Services, Inc	11/29/2004

ID	Requester	Description	Received Date
05-00014-APPS	J. Patrick Gavin	Dow Chemical Company.	11/29/2004
05-00015-APPS	J. Patrick Gavin	BMC Software, Inc.	11/29/2004
05-00016-APPS	J. Patrick Gavin	Triton PCS Holdings, Inc.	11/29/2004
05-00017-APPS	J. Patrick Gavin	Sun Microsystems, Inc.	11/29/2004
05-01545-FOIA	KEVIN J O MAHONY	UM Investment Trust	11/29/2004
05-01546-FOIA	KEVIN J O MAHONY	Tremont Oppenheimer Absolute Return Fund	11/29/2004
05-00018-APPS	J. Patrick Gavin	Anthem Inc	11/30/2004
05-00019-APPS	J. Patrick Gavin	Anthem Inc	11/30/2004
05-01547-FOIA	Colleen Mallon	Goldman, Sachs & Co. No. 74 Civ. 1916	11/30/2004
05-01550-FOIA	Robin McLeish	GEMMM stocks.	11/30/2004
05-01561-FOIA	Robin McLeish	CMKX stocks.	11/30/2004
05-01559-FOIA	Robin McLeish	ECPN stocks.	11/30/2004
05-01554-FOIA	Robin McLeish	USCA stocks.	11/30/2004
05-01552-FOIA	Robin McLeish	SGGM stocks.	11/30/2004
05-01568-FOIA	Robin McLeish		11/30/2004
05-01556-FOIA	TK Read	Information regarding Interbank Funding Corporation (IFC) and any or all of its associated corporations and officers.	11/30/2004
05-00006-FOPA	John Moore	Requesting an overview of the Div. of Corporation Finance's Accounting Disclosure Rules and Practices.	11/30/2004
05-01563-FOIA	John Moore	Requesting a copy of the Division of Corporation Finance's Accounting Disclosure Rules and Practices, An Overview.	11/30/2004
05-01567-FOIA	John Lipton	Jon Goldfield a.k.a Jon Lipton	11/30/2004
05-01548-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Altria and 2) Correspondence between Altria or parties representing Altria and the SEC regarding such no action letters.	11/30/2004
05-01549-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Paychex and 2) Correspondence between Paychex or parties representing Paychex and the SEC regarding such no action letters.	11/30/2004
05-01551-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Global Securities Information Inc. and 2) Correspondence between Global Securities Information Inc. or parties representing Global Securities Information Inc.	11/30/2004
05-01553-FOIA	Frank Salamone		11/30/2004
05-01555-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding News Corporation and 2) Correspondence between News Corporation or parties representing News Corporation and the SEC regarding such no action letters.	11/30/2004
05-01558-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Sears, Roebuck & Co. and 2) Correspondence between Sears, Roebuck & Co. or parties representing Sears, Roebuck & Co. and the SEC regarding such no action lett	11/30/2004
05-01560-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Dell Inc. and 2) Correspondence between Dell Inc. or parties representing Dell Inc. and the SEC regarding such no action letters.	11/30/2004

ID	Requester	Description	Received Date
05-01562-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding The Coca-Cola Company and 2) Correspondence between The Coca-Cola Company or parties representing The Coca-Cola Company and the SEC regarding such no action	11/30/2004
05-01564-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Wal-Mart and 2) Correspondence between Wal-Mart or parties representing Wal-Mart and the SEC regarding such no action letters.	11/30/2004
05-01565-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Paramount Communications Inc. and 2) Correspondence between Paramount Communications Inc. or parties representing Paramount Communications Inc. and the SEC re	11/30/2004
05-01566-FOIA	Frank Salamone	Requesting access to and copies of 1) No Action letters issued by SEC from 2001 to date regarding Microsoft Corporation and 2) Correspondence between Microsoft Corporation or parties representing Microsoft Corporation and the SEC regarding such no action l	11/30/2004
05-01557-FOIA	Alex Groff	Requesting copies of contract #SECHQ104A0319 awarded under Solicitation #SECHQ104Q0031 regarding IT Services and Database Administration. Also requesting copies of the following information/documents pertaining to the above referenced contracts	11/30/2004
05-01582-FOIA	Brian Dunn	Requesting investigative transcripts in the SEC v. Arnold Geller, et al., Civil Action No. 1:04 CV 01858 (EGS)	12/1/2004
05-01583-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Proctor & Gamble and 2) Correspondence between Proctor & Gamble or parties representing Proctor & Gamble and the SEC regardin	12/1/2004
05-01597-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Hewlett-Packard and 2) Correspondence between Hewlett-Packard or parties representing Hewlett-Packard and the SEC regarding s	12/1/2004
05-01595-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Nextel Communications and 2) Correspondence between Nextel Communications or parties representing Nextel Communications and t	12/1/2004
05-01588-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Enron and 2) Correspondence between Enron or parties representing Enron and the SEC regarding such no action letters.	12/1/2004
05-01585-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding McGraw-Hill and 2) Correspondence between McGraw-Hill or parties representing McGraw-Hill and the SEC regarding such no actio	12/1/2004
05-01579-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Ebay, Inc. and 2) Correspondence between Ebay, Inc. or parties representing Ebay, Inc. and the SEC regarding such no action l	12/1/2004
05-01580-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding IBM and 2) Correspondence between IBM or parties representing IBM and the SEC regarding such no action letters.	12/1/2004
05-01581-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Bank of New York and 2) Correspondence between Bank of New York or parties representing Bank of New York and the SEC regardin	12/1/2004
05-01570-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Kmart and 2) Correspondence between Kmart or parties representing Kmart and the SEC regarding such no action letters.	12/1/2004
05-01573-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding McDonald's Corporation and 2) Correspondence between McDonald's Corporation or parties representing McDonald's Corporation an	12/1/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00001-CHAI	David Cwiklo	Baxter Healthcare Corporation	12/1/2004
05-01576-FOIA	Meredith Sellers	Richard Rudy (money manager), and firms that are being considered for investment.	12/1/2004
05-01590-FOIA	Meredith Sellers	Stillwater Capital Partners and firms that are being considered for investment.	12/1/2004
05-01587-FOIA	Meredith Sellers	Stillwater Asset Backed Fund, and firms that are being considered for investment.	12/1/2004
05-01593-FOIA	Meredith Sellers	Jack Doueck (money manager), and firms that are being considered for investment.	12/1/2004
05-01569-FOIA	Matthew Carmel	Requesting an update if the customized extraction of information contained within the EDGAR database.	12/1/2004
05-01571-FOIA	J. Patrick Gavin	4Kids Entertainment, Inc. within the past three years. Also any SEC comment letters sent to or received from 4Kids Entertainment, Inc. within the past two years.	12/1/2004
05-01577-FOIA	J. Patrick Gavin	Hewlett-Packard Company within the past three years. Also any SEC comment letters sent to or received from Hewlett-Packard Company within the past two years.	12/1/2004
05-01578-FOIA	J. Patrick Gavin	Albany Molecular Research, Inc. within the past three years. Also any SEC comment letters sent to or received from Albany Molecular Research, Inc. within the past two years.	12/1/2004
05-01574-FOIA	J. Patrick Gavin	Cyberonics Inc	12/1/2004
05-01584-FOIA	J. Patrick Gavin	Abercrombie & Fitch Co.since the last request in July 2003. Also any SEC comment letters sent to or received from Abercrombie & Fitch Co. since July 2003.	12/1/2004
05-01586-FOIA	J. Patrick Gavin	Overstock.com, Inc.	12/1/2004
05-01594-FOIA	J. Patrick Gavin	Six Flags, Inc. within the past three years. Also any SEC comment letters sent to or received from Six Flags, Inc. within the past two years.	12/1/2004
05-01598-FOIA	J. Patrick Gavin	Kulicke & Soffa Industries, Inc. within the past three years. Also any SEC comment letters sent to or received from Kulicke & Soffa Industries, Inc. within the past two years.	12/1/2004
05-01599-FOIA	J. Patrick Gavin	Digene Corporation. within the past three years. Also any SEC comment letters sent to or received from Digene Corporation within the past two years.	12/1/2004
05-01596-FOIA	J. Patrick Gavin	QAD Inc. within the past three years. Also any SEC comment letters sent to or received from QAD, Inc. within the past two years.	12/1/2004
05-01591-FOIA	Tim Brillon	Genetics, Inc. from 1/1/04 through 11/30/04. Also any SEC comment letters sent to or received regarding Genetics, Inc.	12/1/2004
05-01592-FOIA	Tim Brillon	Pozen, Inc. from 1/1/04 through 11/30/04. Also any SEC comment letters sent to or received regarding Pozen, Inc.	12/1/2004
05-01589-FOIA	Tim Brillon	AtheroGenics, Inc. and firms that are being considered for investment.	12/1/2004
05-01575-FOIA	Tim Brillon	Martek Biosciences Corporation from 1/1/04 through 11/30/04. Also any SEC comment letters sent to or received regarding Martek Biosciences Corporation.	12/1/2004
05-01572-FOIA	Tim Brillon	Harvard Bioscience, Inc. Also any SEC comment letters sent to or received regarding Harvard Bioscience, Inc.	12/1/2004
05-01610-FOIA	Sharon Theimer	Requesting any ethics waiver requests	12/2/2004
05-01607-FOIA	J. Patrick Gavin	Riverstone Networks, Inc	12/2/2004
05-01608-FOIA	J. Patrick Gavin	LifePoint Hospitals, Inc. within the past three years. Also any SEC comment letters sent to or received from LifePoint Hospitals, Inc. within the past two years.	12/2/2004
05-01600-FOIA	J. Patrick Gavin	Pharmos Corporation within the past three years. Also any SEC comment letters sent to or received from Pharmos Corporation within the past two years.	12/2/2004
05-01601-FOIA	J. Patrick Gavin	Pemco Aviation Group, Inc. within the past three years. Also any SEC comment letters sent to or received from Pemco Aviation Group, Inc.. within the past two years.	12/2/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01602-FOIA	J. Patrick Gavin	Libbey, Inc. within the past three years. Also any SEC comment letters sent to or received from Libbey, Inc. within the past two years.	12/2/2004
05-01603-FOIA	J. Patrick Gavin	SCS Transportation, Inc. within the past three years. Also any SEC comment letters sent to or received from SCS Transportation, Inc. within the past two years.	12/2/2004
05-01604-FOIA	J. Patrick Gavin	DeVry, Inc. within the past three years. Also any SEC comment letters sent to or received from DeVry, Inc. within the past two years.	12/2/2004
05-01609-FOIA	Matthew Carmel	electronic database (ASCII delimited text)	12/2/2004
05-01611-FOIA	Virginia Scott	Richard Johnson	12/2/2004
05-01612-FOIA	Virginia Scott	John Washburn	12/2/2004
05-01605-FOIA	Virginia Scott	Gary L. McNaughton	12/2/2004
05-01606-FOIA	Virginia Scott	Richard Alaksa	12/2/2004
05-00020-APPS	Thomas Liotti	Computer Associates International Inc	12/2/2004
05-00021-APPS	Victoria Israeli	Polaroid Corporation	12/3/2004
05-01614-FOIA	Mike Lipman	Structured Finance Opportunity Fund, LP	12/3/2004
05-01617-FOIA	Mike Lipman	Cedar Hill Associates, Inc.	12/3/2004
05-01619-FOIA	Mike Lipman	Structured Finance Opportunity Offshore Fund, Ltd.	12/3/2004
05-01621-FOIA	Mike Lipman	Alan Cole (money manager)	12/3/2004
05-01622-FOIA	Mike Lipman	Christopher I Engelman (money manager)	12/3/2004
05-01624-FOIA	Mike Lipman	Joel H. Jastromb (money manager)	12/3/2004
05-01625-FOIA	Mike Lipman	Structured Finance Opportunity Master Fund, LP	12/3/2004
05-01623-FOIA	Ben Nix	Charles Minot Amory	12/3/2004
05-01616-FOIA	Ben Nix	Harry E. Haynes	12/3/2004
05-01620-FOIA	Ben Nix	David R. Winters	12/3/2004
05-01613-FOIA	DAVID QUINTANA	Certain Investment Advisors	12/3/2004
05-01615-FOIA	Bastiaan Coebergh	Nicholas J. Julian, Jr.	12/3/2004
05-01618-FOIA	Don Gulliford		12/3/2004
05-01641-FOIA	Cecilia Biveson	List of names of the 38 Hedge funds that the SEC has bought enforcement actions to since 1999	12/6/2004
05-00022-APPS	John Manning	John F. X. Manning, Associates Inc.	12/6/2004
05-00025-APPS	Patrick Burns	SEC registered investment advisers	12/6/2004
05-01627-FOIA	Melissa Barnes	copy of all correspondence from SEC & all response for Bunge Ltd	12/6/2004
05-01665-FOIA	Melissa Barnes	comment letter (non-public correspondence) from 1998 - present for Gold Kist Inc	12/6/2004
05-00023-APPS	Gordon Knuth	National Association of Securities Dealers	12/6/2004
05-00024-APPS	Gordon Knuth	National Association of Securities Dealers	12/6/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00002-CHAI	TONY MALAJ	Shelly Parratt Letter	12/6/2004
05-01655-FOIA	J. Patrick Gavin	Morgan Stanly Dean Witter & Co.	12/6/2004
05-01656-FOIA	J. Patrick Gavin	Christopher Banks Corporation	12/6/2004
05-01672-FOIA	J. Patrick Gavin	Pain Therapeutics Inc	12/6/2004
05-01662-FOIA	J. Patrick Gavin	Electro Scientific Industries Inc	12/6/2004
05-01648-FOIA	J. Patrick Gavin	Stamps.com Inc	12/6/2004
05-01649-FOIA	J. Patrick Gavin	Trident Microsystems Inc	12/6/2004
05-01650-FOIA	J. Patrick Gavin	McMoRan Exploration Co.	12/6/2004
05-01640-FOIA	J. Patrick Gavin	Swift Transportation Co Inc	12/6/2004
05-01674-FOIA	J. Patrick Gavin	Gold Bank Corporation Inc	12/6/2004
05-01681-FOIA	J. Patrick Gavin	Lawson Software Inc	12/6/2004
05-01631-FOIA	J. Patrick Gavin	Vvyo Inc	12/6/2004
05-01632-FOIA	J. Patrick Gavin	BioMarin Pharmaceutical Inc	12/6/2004
05-01626-FOIA	J. Patrick Gavin	August Technology Corporation	12/6/2004
05-01664-FOIA	Tim Brillon	Encysive Pharmaceuticals Inc	12/6/2004
05-01643-FOIA	Tim Brillon	SFBC International Inc	12/6/2004
05-01638-FOIA	Tim Brillon	ONYX PHARMACEUTICALS INC	12/6/2004
05-01635-FOIA	Tim Brillon	Vivus Inc.	12/6/2004
05-01628-FOIA	Tim Brillon	Pain therapeutics, Inc	12/6/2004
05-01663-FOIA	MARK ADAMS	requests for all letter, faxes or electronic communications sent to or from the Commission from June 1, 2000 through August 31, 2000 for IntraLinks Inc	12/6/2004
05-01654-FOIA	ROBERT M ROMANO	request a copy of the Formal Order of Investigation for Fidelity Investments	12/6/2004
05-01673-FOIA	Richard Harrison	STRONG TECHNICAL INC	12/6/2004
05-01629-FOIA	Richard Harrison	COLLINS RECEIVABLES LLC	12/6/2004
05-01630-FOIA	Richard Harrison	FONIX CORP	12/6/2004
05-01633-FOIA	Richard Harrison	NATIONAL PATENT DEVELOPMENT CORP	12/6/2004
05-01634-FOIA	Richard Harrison	SYNDICATION NET COM INC	12/6/2004
05-01636-FOIA	Richard Harrison	AT&S HOLDINGS INC	12/6/2004
05-01637-FOIA	Richard Harrison	PRIMEDIA INC	12/6/2004
05-01639-FOIA	Richard Harrison	PRIMEDIA INC	12/6/2004
05-01642-FOIA	Richard Harrison	Nalco Holdings Co	12/6/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01644-FOIA	Richard Harrison	CORNERSTONE MINISTRIES INVESTMENTS INC	12/6/2004
05-01645-FOIA	Richard Harrison	MONDIAL VENTURES INC	12/6/2004
05-01646-FOIA	Richard Harrison	ENGLISH LANGUAGE LEARNING & INSTRUCTION SYSTEMS INC	12/6/2004
05-01647-FOIA	Richard Harrison	AAMES INVESTMENT CORP	12/6/2004
05-01657-FOIA	Richard Harrison	IOWA TELECOMMUNICATIONS SERVICES INC	12/6/2004
05-01658-FOIA	Richard Harrison	CV THERAPEUTICS INC	12/6/2004
05-01659-FOIA	Richard Harrison	GILDER ENTERPRISES INC	12/6/2004
05-01660-FOIA	Richard Harrison	RADNOR HOLDINGS CORP	12/6/2004
05-01661-FOIA	Richard Harrison	PHIBRO ANIMAL HEALTH CORP	12/6/2004
05-01651-FOIA	Richard Harrison	RENAISSANCE MORTGAGE ACCEPTANCE CORP	12/6/2004
05-01652-FOIA	Richard Harrison	Language Line Inc	12/6/2004
05-01653-FOIA	Richard Harrison	XFONE INC	12/6/2004
05-01666-FOIA	Richard Harrison	CASUAL MALE RETAIL GROUP INC	12/6/2004
05-01667-FOIA	Richard Harrison	COINMACH SERVICE CORP	12/6/2004
05-01668-FOIA	Richard Harrison	TRANSGENOMIC INC	12/6/2004
05-01669-FOIA	Richard Harrison	COEUR D ALENE MINES CORP	12/6/2004
05-01670-FOIA	Richard Harrison	MARKETASSESS HOLDINGS INC	12/6/2004
05-01671-FOIA	Richard Harrison	copies of any and all Commission staff comment letters and responses thereto for SRKP 1 INC	12/6/2004
05-01682-FOIA	Richard Harrison	RESEARCH FRONTIERS INC	12/6/2004
05-01683-FOIA	Richard Harrison	NESS TECHNOLOGIES INC	12/6/2004
05-01684-FOIA	Richard Harrison	AVAX TECHNOLOGIES INC	12/6/2004
05-01685-FOIA	Richard Harrison	PACIFIC CAPITAL & COFFEE CORP	12/6/2004
05-01686-FOIA	Richard Harrison	RANGE RESOURCES CORP	12/6/2004
05-01687-FOIA	Richard Harrison	SBC COMMUNICATIONS INC	12/6/2004
05-01688-FOIA	Richard Harrison	UQM TECHNOLOGIES INC	12/6/2004
05-01675-FOIA	Richard Harrison	CINTEL CORP	12/6/2004
05-01676-FOIA	Richard Harrison	RUSH ENTERPRISES INC\TX\	12/6/2004
05-01677-FOIA	Richard Harrison	RECOM MANAGED SYSTEMS INC DE/	12/6/2004
05-01678-FOIA	Richard Harrison	CDEX INC	12/6/2004
05-01679-FOIA	Richard Harrison	KIMCO REALTY CORP	12/6/2004
05-01680-FOIA	Richard Harrison	copies of any and all Commission staff comment letters for NUMEREX CORP /PA/	12/6/2004

ID	Requester	Description	Received Date
05-01690-FOIA	Richard Harrison	IMCLONE Systems Inc./DE. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01691-FOIA	Richard Harrison	IPCS, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01692-FOIA	Richard Harrison	Neurogen Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01694-FOIA	Richard Harrison	MemberWorks, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01695-FOIA	Richard Harrison	Caesars Entertainment, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01696-FOIA	Richard Harrison	PHC, Inc./MA. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01698-FOIA	Richard Harrison	On Semiconductor Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01702-FOIA	Richard Harrison	Medical Information Technology, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01703-FOIA	Richard Harrison	COMPUTER ASSOCIATES INTERNATIONAL INC	12/7/2004
05-01704-FOIA	Richard Harrison	ADVANCE FIBRE COMMUCATIONS INC	12/7/2004
05-01706-FOIA	Richard Harrison	College Oak Investments, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01707-FOIA	Richard Harrison	NS8 Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01708-FOIA	Richard Harrison	AES Gener, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01710-FOIA	Richard Harrison	Boomers Cultural Development, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01711-FOIA	Richard Harrison	MCKENZI BAY INTERNATIONAL LTD	12/7/2004
05-01712-FOIA	Richard Harrison	Bailard Biehl & Kaiser Opportunity Fund Group, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01713-FOIA	Richard Harrison	STEPHAN CO	12/7/2004
05-01714-FOIA	Richard Harrison	Lyondell Chemical Co. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01715-FOIA	Richard Harrison	MOTORCAR PARTS AMERICA INC	12/7/2004
05-01716-FOIA	Richard Harrison	Tellabs, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01700-FOIA	Richard Harrison	NATURES SUNSHINE PRODUCTS INC	12/7/2004
05-01701-FOIA	Richard Harrison	American Financial Group, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01736-FOIA	Richard Harrison	FEDERAL REALTY INVESTMENT TRUST	12/7/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01737-FOIA	Richard Harrison	CAPITALSOURCE INC	12/7/2004
05-01739-FOIA	Richard Harrison	MILLENNIUM CHEMICALS INC	12/7/2004
05-01740-FOIA	Richard Harrison	WELLPOINT HEALTH NETWORKS INC/DE/	12/7/2004
05-01718-FOIA	Richard Harrison	Thomson Corp./Can. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01719-FOIA	Richard Harrison	PROFUNDS	12/7/2004
05-01720-FOIA	Richard Harrison	AGL Resources, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/7/2004
05-01721-FOIA	Richard Harrison	Cohen & Steers Global Realty Fund Inc	12/7/2004
05-01722-FOIA	Richard Harrison	TRAVELERS SERIES TRUST	12/7/2004
05-01724-FOIA	Richard Harrison	Vantage Funds	12/7/2004
05-01725-FOIA	Richard Harrison	ING FUNDS TRUST	12/7/2004
05-01726-FOIA	Richard Harrison	OPPENHEIMER CASH RESERVES/CO	12/7/2004
05-01728-FOIA	Richard Harrison	COLUMBUS FUNDS INC	12/7/2004
05-01729-FOIA	Richard Harrison	VERITAS SOFTWARE CORP DE	12/7/2004
05-01730-FOIA	Richard Harrison	MOSAIC CO	12/7/2004
05-01732-FOIA	Richard Harrison	COMPASS MINERALS INTERNATIONAL INC	12/7/2004
05-01733-FOIA	Richard Harrison	ALLSCRIPTS HEALTHCARE SOLUTIONS INC	12/7/2004
05-01734-FOIA	Richard Harrison	ALLIANCE PHARMACEUTICAL CORP	12/7/2004
05-01735-FOIA	Tim Brillon	Flamel Technologies S.A.	12/7/2004
05-01731-FOIA	Tim Brillon	Techne Corporation	12/7/2004
05-01727-FOIA	Tim Brillon	BioVeris Corporation	12/7/2004
05-01723-FOIA	Tim Brillon	Inspire Pharmaceuticals Inc	12/7/2004
05-01697-FOIA	Tim Brillon	Nabi Biopharmaceuticals, Inc. from 1/1/04 through 12/06/04. Also any SEC comment letters sent to or received regarding Nabi Biopharmaceuticals, Inc.	12/7/2004
05-00026-APPS	Steve Gelsi	Google Inc	12/7/2004
05-01709-FOIA	Corey Ferris	Request a copy of contract held by FosterSoft	12/7/2004
05-01699-FOIA	JOHN MICHAEL LUSICH	Discover Bank	12/7/2004
05-01689-FOIA	Elizabeth Balanda	Firm or its partners regarding TPG-Axon Capital	12/7/2004
05-01717-FOIA	Elizabeth Balanda	TEXAS PACIFIC GROUP	12/7/2004
05-01693-FOIA	Elizabeth Balanda	Kennedy Capital Management	12/7/2004
05-01705-FOIA	Allen Carney	investigation of Fleming Companies, Inc.	12/7/2004
05-01738-FOIA	Greg LaRoche	Thomas Weisel Partners LLC	12/7/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01742-FOIA	Cara Brown	Omega Protein, Inc.	12/8/2004
05-01767-FOIA	Cara Brown	Copy of any and all documents for Zapata Corp	12/8/2004
05-01802-FOIA	Cara Brown	copy of any and all documents for Zap.com	12/8/2004
05-01748-FOIA	John-Marc Berthoud	Copyof his from ADV Part II for Cornerstone Asset Management Inc	12/8/2004
05-01774-FOIA	Nikki Moore	Requesting the license agreement between Cytogen Corporation and Dow Chemical Company dated 3/31/93	12/8/2004
05-01765-FOIA	Meredith Sellers	E. Barry Mansur	12/8/2004
05-01793-FOIA	Meredith Sellers	Jeffrey Kuchta (money manager), and firms that are being considered for investment.	12/8/2004
05-01786-FOIA	Meredith Sellers	Irem Ones (money manager), and firms that are being considered for investment.	12/8/2004
05-01815-FOIA	Meredith Sellers	Dennis L. Heskell	12/8/2004
05-01775-FOIA	Frank Salamone	Request access to, and copies of No Action Letter and Correspondence between Google and SEC	12/8/2004
05-01783-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding American Airlines and 2) Correspondence between American Airlines or parties representing American Airlines and the SEC regar	12/8/2004
05-01784-FOIA	Frank Salamone	Request access to, and copies of No action letter and Correspondence between SEC and PEPSICO INC	12/8/2004
05-01816-FOIA	Frank Salamone	Request access to, and copies of "No action" letters and Correspondence for LG INFOCOMM USA INC	12/8/2004
05-01776-FOIA	Sara Gubins	investigations or informal inquiries in relation to ITT Educational Services.	12/8/2004
05-01782-FOIA	Sara Gubins	Career Education Corporation	12/8/2004
05-01768-FOIA	Sara Gubins	the status of investigations of informal inquiries regarding DeVry Inc. since 1/1/2001	12/8/2004
05-01758-FOIA	Sara Gubins	investigations or informal inquiries into Corinthian Colleges, Inc.	12/8/2004
05-01750-FOIA	Sara Gubins	Apollo Group, Inc. since 1/1/2001.	12/8/2004
05-01806-FOIA	Frank Salamone	Request access to, and copies of No action letter and Correspondence between SEC and VIRGIN MEGASTORES (USA)	12/8/2004
05-01794-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding United Airlines and 2) Correspondence between United Airlines or parties representing United Airlines and the SEC regarding s	12/8/2004
05-01799-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Trump Hotels & Casino Resorts, Inc. and 2) Correspondence between Trump Hotels & Casino Resorts, Inc or parties representing	12/8/2004
05-01800-FOIA	Frank Salamone	Requesting access to and copies of 1) No action letters issued by the Securities & Exchange Commission from 2001 to date regarding Virgin Mobile and 2) Correspondence between Virgin Mobile or parties representing Virgin Mobile and the SEC regarding such no	12/8/2004
05-01811-FOIA	Frank Salamone	Request access to, and copies of No action letter and Correspondence between SEC and SONY CORPORATION	12/8/2004
05-01744-FOIA	ERIC STENBERG	Access Disclosure Letters under the Freedom of Information Act for DRECO ENERGY SERVICES	12/8/2004
05-01741-FOIA	STEVEN SKLAVER	Requesting a document to motion by Dresser Industries, Case No. 78-0141	12/8/2004
05-01743-FOIA	Tim Brillon	Taro Pharmaceutical Industries, Ltd. from 1/1/04 through 12/07/04. Also any SEC comment letters sent to or received regarding Taro Pharmaceutical Industries, Ltd.	12/8/2004

ID	Requester	Description	Received Date
05-01751-FOIA	Tim Brillon	Pharmacyclics, Inc. from 1/1/04 through 12/07/04. Also any SEC comment letters sent to or received regarding Pharmacyclics, Inc, Inc.	12/8/2004
05-01759-FOIA	Tim Brillon	Angiotech Pharmaceuticals, Inc. from 1/1/04 through 12/07/04. Also any SEC comment letters sent to or received regarding Harvard Bioscience, Inc.	12/8/2004
05-01810-FOIA	Tim Brillon	Kos Pharmaceuticals Inc	12/8/2004
05-01769-FOIA	Tim Brillon	Endo Pharmaceuticals Holdings, Inc. from 1/1/04 through 12/07/04. Also any SEC comment letters sent to or received regarding Endo Pharmaceuticals Holdings, Inc.	12/8/2004
05-01778-FOIA	Richard Harrison	Specialty Underwrites Alliance Inc	12/8/2004
05-01779-FOIA	Richard Harrison	Creative Solutions With Art, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01780-FOIA	Richard Harrison	OMEGA HEALTHCARE INVESTORS INC	12/8/2004
05-01781-FOIA	Richard Harrison	CSG Systems International, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01770-FOIA	Richard Harrison	REEBOK INTERNATIONAL LTD	12/8/2004
05-01771-FOIA	Richard Harrison	Coeur Alene Mines Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01772-FOIA	Richard Harrison	OpenTV Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01773-FOIA	Richard Harrison	Artemis International Solutions Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01787-FOIA	Richard Harrison	ORMAT TECHNOLOIES INC	12/8/2004
05-01788-FOIA	Richard Harrison	Digital Impact Inc/DE. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01789-FOIA	Richard Harrison	SLC STUDENT LOAN RECEIVABLES I INC	12/8/2004
05-01790-FOIA	Richard Harrison	Herbst Gaming, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01791-FOIA	Richard Harrison	INPHONIC INC	12/8/2004
05-01760-FOIA	Richard Harrison	IPCS INC	12/8/2004
05-01761-FOIA	Richard Harrison	BioPure Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01762-FOIA	Richard Harrison	D&K Healthcare Resources, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01763-FOIA	Richard Harrison	Google Inc	12/8/2004
05-01764-FOIA	Richard Harrison	Fonix Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01757-FOIA	Richard Harrison	TEAM HEALTH INC	12/8/2004
05-01752-FOIA	Richard Harrison	Magnitude Information Systems, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01753-FOIA	Richard Harrison	Aptimus, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01754-FOIA	Richard Harrison	UAP Holding Corp. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01755-FOIA	Richard Harrison	AXCESS INTERNATIONAL INC/TX	12/8/2004
05-01745-FOIA	Richard Harrison	Interwoven, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01746-FOIA	Richard Harrison	RIT Technologies, LTD. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01747-FOIA	Richard Harrison	Digital River Inc./DE. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01812-FOIA	Richard Harrison	Rotoblock CORP	12/8/2004
05-01813-FOIA	Richard Harrison	Chembio Diagnostics Inc	12/8/2004
05-01814-FOIA	Richard Harrison	COVAD COMMUNICATIONS GROUP INC	12/8/2004
05-01817-FOIA	Richard Harrison	MAJESCO HOLDINGS INC	12/8/2004
05-01818-FOIA	Richard Harrison	QUANEX CORP	12/8/2004
05-01819-FOIA	Richard Harrison	ZIPREALTY INC	12/8/2004
05-01795-FOIA	Richard Harrison	Delphi Financial Group Inc/DE. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01796-FOIA	Richard Harrison	LandAmerica Financial Group, Inc. Specifically not requesting copies of transmittal letters, unless the companies' responses to the staff comment letters are part of the transmittal letter.	12/8/2004
05-01797-FOIA	Richard Harrison	PRA International	12/8/2004
05-01801-FOIA	Richard Harrison	American Real Estate Partners LP.	12/8/2004
05-01803-FOIA	Richard Harrison	Sub Surface Waste Management of Delaware Inc.	12/8/2004
05-01807-FOIA	Richard Harrison	China Unisstone Acquisition CORP	12/8/2004
05-01808-FOIA	Richard Harrison	Wise Metal Group LLC	12/8/2004
05-01809-FOIA	Richard Harrison	INVICTA GROUP INC	12/8/2004
05-01749-FOIA	Greg Mazur	Factory Card and Party Outlet during the period of 5/1/04 to 11/30/04.	12/8/2004
05-01756-FOIA	Greg Mazur	Greg Manning Auctions, Inc. during the period of 5/1/04 to 11/30/04.	12/8/2004
05-01766-FOIA	Greg Mazur	Digital Angel Corporation during the period of 5/1/04 to 11/30/04.	12/8/2004
05-01777-FOIA	Greg Mazur	Celadon Group, Inc during the period of 5/1/04 to 11/30/04.	12/8/2004
05-01785-FOIA	Greg Mazur	Air Methods Corporation during the period of 5/1/04 to 11/30/04.	12/8/2004
05-01792-FOIA	Greg Mazur	Shuffle Master Inc. during the period of 5/1/04 to 11/30/04.	12/8/2004
05-01798-FOIA	Greg Mazur	LaBarge, Inc during the period of 5/1/04 to 11/30/04.	12/8/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01804-FOIA	Greg Mazur	Q. E. P. Co Inc	12/8/2004
05-01805-FOIA	Richard Harrison	Sub Surface Waste Management of Delaware Inc.	12/8/2004
05-01823-FOIA	Richard Harrison	LUNA GOLD CORP	12/9/2004
05-01824-FOIA	Richard Harrison	SHUFFLE MASTER INC	12/9/2004
05-01831-FOIA	Richard Harrison	Digital Realty Trust Inc	12/9/2004
05-01832-FOIA	Richard Harrison	MEDAREX INC	12/9/2004
05-01833-FOIA	Richard Harrison	VALMONT INDUSTRIES INC	12/9/2004
05-01834-FOIA	Richard Harrison	Penninsula Gaming LLC	12/9/2004
05-01838-FOIA	Richard Harrison	EDP ENERGIAS DE PORTUGAL SA	12/9/2004
05-01840-FOIA	Richard Harrison	PIMCO	12/9/2004
05-01842-FOIA	Richard Harrison	Lazy Days R. V. Center Inc	12/9/2004
05-01844-FOIA	Richard Harrison	BUILD A BEAR WORKSHOP INC	12/9/2004
05-01848-FOIA	Richard Harrison	Copano Energy LLC	12/9/2004
05-01849-FOIA	Richard Harrison	PROVECTUS PHARMACUTICALS INC	12/9/2004
05-01852-FOIA	Richard Harrison	Valley Bancorp	12/9/2004
05-01854-FOIA	Richard Harrison	ATC HEALTHCARE IN/DE	12/9/2004
05-01855-FOIA	Richard Harrison	NEENATH FOUNDRY CO	12/9/2004
05-01858-FOIA	Richard Harrison	HEALTHEXTRAS INC	12/9/2004
05-01859-FOIA	Richard Harrison	MONOLITHIC POWER SYSTEMS INC	12/9/2004
05-01860-FOIA	Richard Harrison	IASIS Healthcare LLC	12/9/2004
05-01861-FOIA	Richard Harrison	IBASIS INC	12/9/2004
05-01862-FOIA	Richard Harrison	WALTER INDUSTRIES INC/NEW/	12/9/2004
05-01867-FOIA	Richard Harrison	KINTERA INC	12/9/2004
05-01869-FOIA	Richard Harrison	WHITING PETROLEUM CORP	12/9/2004
05-01870-FOIA	Richard Harrison	CHARLOTTE RUSSE HOLDING INC	12/9/2004
05-01871-FOIA	Richard Harrison	PRIDE INTERNATINAL INC	12/9/2004
05-01874-FOIA	Richard Harrison	EMR F I R E Fund	12/9/2004
05-01875-FOIA	Richard Harrison	PRIDE INTERNATIONAL INC	12/9/2004
05-01876-FOIA	Richard Harrison	James River Coal CO	12/9/2004
05-01878-FOIA	Richard Harrison	U S Shipping Partners LP	12/9/2004
05-01879-FOIA	Richard Harrison	TEFRON LTD	12/9/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01880-FOIA	Richard Harrison	INFORMATION HOLDINGS INC	12/9/2004
05-01881-FOIA	Richard Harrison	EPIX Pharmaceuticals Inc	12/9/2004
05-01882-FOIA	Richard Harrison	NUI CORP /NJ/	12/9/2004
05-01883-FOIA	Richard Harrison	NETEGRITY INC	12/9/2004
05-01884-FOIA	Richard Harrison	PortalPlayer Inc	12/9/2004
05-01885-FOIA	Richard Harrison	ANTHEM INC	12/9/2004
05-01825-FOIA	Michael Spence	concerning Huntlegigh USA Corporation	12/9/2004
05-01835-FOIA	Michael Spence	concerning Joseph A. Tuero	12/9/2004
05-01826-FOIA	Jonathan Behar	Activision Inc ("Activision").	12/9/2004
05-01868-FOIA	J. Patrick Gavin	Intellisync Corporation	12/9/2004
05-01864-FOIA	J. Patrick Gavin	Sanderson Farms Inc	12/9/2004
05-01856-FOIA	J. Patrick Gavin	El Paso Corporation	12/9/2004
05-01846-FOIA	J. Patrick Gavin	United Technologies Corporation	12/9/2004
05-01850-FOIA	J. Patrick Gavin	Nordson Corporation	12/9/2004
05-01851-FOIA	J. Patrick Gavin	Possis Medical Inc	12/9/2004
05-01843-FOIA	J. Patrick Gavin	Boston Beer Company Inc	12/9/2004
05-01839-FOIA	J. Patrick Gavin	Blue Coat Systems Inc	12/9/2004
05-01828-FOIA	J. Patrick Gavin	SIPEX CORPORATION	12/9/2004
05-01822-FOIA	J. Patrick Gavin	QLogic Corporation	12/9/2004
05-01841-FOIA	Frank Salamone	Request access to, and copies of "No action" letter and Correspondence for VIRGIN ATLANTIC	12/9/2004
05-01847-FOIA	Frank Salamone	request access to and copies of the following documents for CINGULAR WIRELESS	12/9/2004
05-01853-FOIA	Frank Salamone	request access to and copies of following documents for AMERICAN AIRLINES	12/9/2004
05-01857-FOIA	Frank Salamone	Request access to, and copies of "No action" letter and Correspondence for BANK OF NEW YORK	12/9/2004
05-01865-FOIA	Frank Salamone	Request access to and copies of the following documents for Dell Inc	12/9/2004
05-01866-FOIA	Frank Salamone	Request access to, and copies of "No action" letter and Correspondence for HEWLETT-PACKARD	12/9/2004
05-01863-FOIA	Frank Salamone	Request access to and copies of the following documents for EBAY INC	12/9/2004
05-01830-FOIA	Frank Salamone	Request access to, and copies of " No action" letter and Correspondence for UNITED AIRLINES	12/9/2004
05-01877-FOIA	Frank Salamone	Request access to, and copies of "No action" letter and Correspondence for Enron	12/9/2004
05-01872-FOIA	Frank Salamone	Request access to and copies of the following documents for THE COCA-COLA COMPANY	12/9/2004
05-01873-FOIA	Frank Salamone	Request access to and copies of the following documents for ALTRIA	12/9/2004
05-01837-FOIA	ALAN WALKER	The Procaccianti Group	12/9/2004

ID	Requester	Description	Received Date
05-01820-FOIA	ALAN WALKER	request any information pertaining for Realty Financial Partners	12/9/2004
05-01836-FOIA	Meredith Sellers	request any information with respect to investigations and/or complaints for Trevor Steel	12/9/2004
05-01845-FOIA	Meredith Sellers	Request any information with respect to investigations and/or complaints for David Baker	12/9/2004
05-01886-FOIA	Meredith Sellers	Baker Steel Capital Managers	12/9/2004
05-01829-FOIA	Meredith Sellers	request any information with respect to investigations and/or complaints for Genus Natural Resources	12/9/2004
05-01827-FOIA	Deborah Froling	ATEL Capital Equipment Fund XI, LLC	12/9/2004
05-01821-FOIA	Deborah Froling	Lease Equity Appreciation Fund II, L.P.	12/9/2004
05-01887-FOIA	Elizabeth Acevedo	China Life Insurance Company Limited	12/10/2004
05-01890-FOIA	John Healy	Berkshire Hathaway, Inc. for the years ended 12/31/70 through 1976	12/10/2004
05-00008-FOPA	Arza Gardner	KSA evaluation, score for each factor, and questions on selection procedures.	12/10/2004
05-01897-FOIA	Paulo Castro	Requesting documents stating the reason for terminating Paulo Castro's (contractor) employment within SEC	12/10/2004
05-01907-FOIA	Taylor Smith	Requesting records of consumer complaints against DHB Industries, Inc. since 2/6/03	12/10/2004
05-01909-FOIA	W. Walker	Angelo, Gordon & Co.	12/10/2004
05-01913-FOIA	Meredith Sellers	Michael Ross (money manager), and firms that are being considered for investment.	12/10/2004
05-01904-FOIA	Meredith Sellers	BP Capital Management a firm that is being considered for investment.	12/10/2004
05-01894-FOIA	Meredith Sellers	M. Garrett Smith (money manager), and firms that are being considered for investment.	12/10/2004
05-01891-FOIA	Meredith Sellers	BP Capital Energy Equity (firm) that is being considered for investment.	12/10/2004
05-01901-FOIA	Meredith Sellers	BP Capital International Management a firm that is being considered for investment.	12/10/2004
05-01892-FOIA	Scott Hodes	Requesting all correspondence between SEC and Computer Sciences Corporation from 1/1/04 to the present	12/10/2004
05-01905-FOIA	BRAD WEINBERG	American Securities Capital Partners - consumer complaints, litigations, SEC investigations and government actions against the Firm or its partners	12/10/2004
05-01903-FOIA	Melissa Barnes	Lipman Electronic Engineering Ltd.	12/10/2004
05-01893-FOIA	J. Patrick Gavin	Rite Aid Corporation since the last request in June 2000. Also any SEC comment letters sent to or received from Rite Aid Corporation since June 2000.	12/10/2004
05-01896-FOIA	J. Patrick Gavin	TIVO Inc	12/10/2004
05-01898-FOIA	J. Patrick Gavin	United Rentals, Inc. since the last request in October 2003. Also any SEC comment letters sent to or received from United Rentals, Inc. since October 2003.	12/10/2004
05-01900-FOIA	J. Patrick Gavin	Dean Foods Company since the last request in June 2002. Also any SEC comment letters sent to or received from Dean Foods Company since June 2002.	12/10/2004
05-01902-FOIA	J. Patrick Gavin	Electronics for Imaging, Inc. since the last request in December 2003. Also any SEC comment letters sent to or received from Electronics for Imaging, Inc. since December 2003.	12/10/2004
05-01912-FOIA	J. Patrick Gavin	OSI Systems, Inc. within the past three years. Also any SEC comment letters sent to or received from OSI Systems, Inc. within the past two years.	12/10/2004
05-01908-FOIA	J. Patrick Gavin	Esterline Technologies Corp. within the past three years. Also any SEC comment letters sent to or received from Esterline Technologies Corp. within the past two years.	12/10/2004

ID	Requester	Description	Received Date
05-01910-FOIA	J. Patrick Gavin	NCI Building Systems, Inc. within the past three years. Also any SEC comment letters sent to or received from NCI Building Systems, Inc. within the past two years.	12/10/2004
05-01914-FOIA	J. Patrick Gavin	PriceSmart, Inc	12/10/2004
05-00027-APPS	J. Patrick Gavin	McKeeson Corporation	12/10/2004
05-00028-APPS	J. Patrick Gavin	Coca-Cola Company	12/10/2004
05-00029-APPS	J. Patrick Gavin	NetEase.com	12/10/2004
05-00030-APPS	J. Patrick Gavin	Blue Coat Systems Inc.	12/10/2004
05-00031-APPS	J. Patrick Gavin	Coca-Cola Enterprises	12/10/2004
05-01899-FOIA	Tim Brillon	Digene Corporation from 1/1/04 through 12/09/04. Also any SEC comment letters sent to or received regarding Digene Corporation.	12/10/2004
05-01888-FOIA	Tim Brillon	Forbes Medi-Tech, Inc. from 1/1/04 through 12/09/04. Also any SEC comment letters sent to or received regarding Forbes Medi-Tech, Inc.	12/10/2004
05-01889-FOIA	Tim Brillon	Forbes Medi-Tech, Inc. from 1/1/04 through 12/9/04. Also any SEC comment letters sent to or received regarding Forbes Medi-Tech, Inc.	12/10/2004
05-01911-FOIA	Tim Brillon	Ciphergen Biosystems, Inc. from 1/1/04 through 12/09/04. Also any SEC comment letters sent to or received regarding Ciphergen Biosystems, Inc.	12/10/2004
05-01906-FOIA	Tim Brillon	Aeterna Zentaris, Inc. from 1/1/04 through 12/09/04. Also any SEC comment letters sent to or received regarding Aeterna Zentaris, Inc.	12/10/2004
05-01895-FOIA	Tim Brillon	Illumina, Inc. from 1/1/04 through 12/09/04. Also any SEC comment letters sent to or received regarding Illumina, Inc.	12/10/2004
05-01944-FOIA	Tim Brillon	Gen-Probe, Inc.	12/13/2004
05-01945-FOIA	Tim Brillon	Cypress Bioscience, Inc.	12/13/2004
05-01935-FOIA	Tim Brillon	Sepracor	12/13/2004
05-01970-FOIA	Tim Brillon	Biopure Corporation	12/13/2004
05-01960-FOIA	Tim Brillon	Neurochem, Inc.	12/13/2004
05-01961-FOIA	Wade McKnight	Zeff Holding Company	12/13/2004
05-01971-FOIA	Wade McKnight	Zeff Capital Partners	12/13/2004
05-01946-FOIA	Wade McKnight	Zeff Capital Offshore	12/13/2004
05-01947-FOIA	Wade McKnight	Daniel Alden Zeff	12/13/2004
05-01923-FOIA	Wade McKnight	Spectrum Galaxy Fund, Ltd.	12/13/2004
05-01985-FOIA	J. Patrick Gavin	Bill Barret Corporation	12/13/2004
05-01986-FOIA	J. Patrick Gavin	AuthentiDate Holding Corp	12/13/2004
05-01975-FOIA	J. Patrick Gavin	Transmeta Corporation	12/13/2004
05-01976-FOIA	J. Patrick Gavin	Applied Signal Technology, Inc.	12/13/2004
05-01977-FOIA	J. Patrick Gavin	Pathmark Stores Inc	12/13/2004
05-01978-FOIA	J. Patrick Gavin	A.O. Smith Corporation	12/13/2004
05-01979-FOIA	J. Patrick Gavin	CKE Restaurants, Inc.	12/13/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01972-FOIA	J. Patrick Gavin	Supportsoft, Inc.	12/13/2004
05-01966-FOIA	J. Patrick Gavin	Callaway Golf Company	12/13/2004
05-01964-FOIA	J. Patrick Gavin	Visteon Corporation	12/13/2004
05-01948-FOIA	J. Patrick Gavin	Capstead Mortgage Corporation	12/13/2004
05-01918-FOIA	Richard Harrison	Yukon Gold Corp, Inc.	12/13/2004
05-01919-FOIA	Richard Harrison	MVC Capital, Inc.	12/13/2004
05-01920-FOIA	Richard Harrison	Muniyield Pennsylvania Fund	12/13/2004
05-01924-FOIA	Richard Harrison	Steelcase, Inc.	12/13/2004
05-01925-FOIA	Richard Harrison	DAC Technologies Group International Inc	12/13/2004
05-01926-FOIA	Richard Harrison	Wesco International	12/13/2004
05-01932-FOIA	Richard Harrison	I Trax, Inc.	12/13/2004
05-01933-FOIA	Richard Harrison	Coeur D Alene Mines Corp.	12/13/2004
05-01934-FOIA	Richard Harrison	Concentra Operating Corp.	12/13/2004
05-01937-FOIA	Richard Harrison	Hillman Capital Management Investment Trust	12/13/2004
05-01939-FOIA	Richard Harrison	CNET Networks Inc	12/13/2004
05-01941-FOIA	Richard Harrison	Renaissancere Holdings, Ltd.	12/13/2004
05-01942-FOIA	Richard Harrison	MTM Technologies, Inc.	12/13/2004
05-01943-FOIA	Richard Harrison	Oscient Pharmaceuticals Corp.	12/13/2004
05-01949-FOIA	Richard Harrison	Regions Morgan Keegan Select Funds	12/13/2004
05-01950-FOIA	Richard Harrison	Harris Investment Management, Inc.	12/13/2004
05-01952-FOIA	Richard Harrison	Morgan Keegan Select Fund, Inc.	12/13/2004
05-01953-FOIA	Richard Harrison	Sterling Gold Corp	12/13/2004
05-01955-FOIA	Richard Harrison	VWR International, Inc.	12/13/2004
05-01958-FOIA	Richard Harrison	Prospect Bancshares, Inc.	12/13/2004
05-01959-FOIA	Richard Harrison	Interwave Communications International Ltd.	12/13/2004
05-01965-FOIA	Richard Harrison	Invision Technologies, Inc.	12/13/2004
05-01967-FOIA	Richard Harrison	Alvarion Ltd.	12/13/2004
05-01968-FOIA	Richard Harrison	Nuvelo Inc	12/13/2004
05-01969-FOIA	Richard Harrison	Labone Inc	12/13/2004
05-01973-FOIA	Richard Harrison	Sky Financial Group, Inc.	12/13/2004
05-01974-FOIA	Richard Harrison	General Electric Co.	12/13/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-01980-FOIA	Richard Harrison	WESTERN GAS RESOURCES INC	12/13/2004
05-01981-FOIA	Richard Harrison	Internet Commerce Corp.	12/13/2004
05-01982-FOIA	Richard Harrison	Kitty Hawk, Inc.	12/13/2004
05-01983-FOIA	Richard Harrison	GRANT PARK FUTURES FUND LIMITED PARTNERSHIP	12/13/2004
05-01984-FOIA	Richard Harrison	VORNADO REALTY TRUST	12/13/2004
05-01987-FOIA	Richard Harrison	JOHN HANCOOK DIVERSIFIED INCOME FUND	12/13/2004
05-01988-FOIA	Richard Harrison	GENETHERA INC	12/13/2004
05-01989-FOIA	Richard Harrison	LIBERTY PROPERTY TRUST	12/13/2004
05-01917-FOIA	Clint Binkley	Wessex Natural Resources, Ltd.	12/13/2004
05-01951-FOIA	Patrick Walsh	Phillip H. Taylor	12/13/2004
05-01940-FOIA	Patrick Walsh	Albert W. Seeley	12/13/2004
05-01957-FOIA	Patrick Walsh	Mary Elizabeth Witt	12/13/2004
05-01962-FOIA	Patrick Walsh	Mediplex Medical Building Corporation	12/13/2004
05-01963-FOIA	Patrick Walsh	John D. Montgomery	12/13/2004
05-01915-FOIA	Meredith Sellers	TBP Investments Management	12/13/2004
05-01916-FOIA	Christopher Paulin	David Evan Sturtz	12/13/2004
05-01921-FOIA	Christopher Paulin	Bam Capital LLC	12/13/2004
05-01928-FOIA	Christopher Paulin	Hal David Mintz	12/13/2004
05-01930-FOIA	Christopher Paulin	Steven Mark Tannenbaum	12/13/2004
05-01954-FOIA	Christopher Paulin	Clear View Capital Management	12/13/2004
05-01936-FOIA	Christopher Paulin	Greenwood Investments, Inc.	12/13/2004
05-01927-FOIA	Thomas Glynn	Sallie Mae Corp.	12/13/2004
05-01929-FOIA	Linda Domin	Informix	12/13/2004
05-01938-FOIA	Clint Binkley	Wessex Asset Management, Ltd.	12/13/2004
05-01956-FOIA	Clint Binkley	Richard Jonathan Dennis	12/13/2004
05-01931-FOIA	Clint Binkley	Timothy Johnstone Weir	12/13/2004
05-01922-FOIA	Clint Binkley	Peter Douglas Chesterfield	12/13/2004
05-01990-FOIA	Kate Purcell	Enterprise Products Partners	12/14/2004
05-01991-FOIA	Mike Lipman	Andreas Vergottis	12/14/2004
05-02004-FOIA	Mike Lipman	Oceanic Hedge Fund	12/14/2004
05-02010-FOIA	Mike Lipman	Tufton Oceanic Finance Group Limited	12/14/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02012-FOIA	Mike Lipman	CLR Financial Services Limited	12/14/2004
05-02007-FOIA	Mike Lipman	Tufton Oceanic (Isle of Man) Limited	12/14/2004
05-02023-FOIA	Mike Lipman	Tufton	12/14/2004
05-02014-FOIA	Mike Lipman	Cato Brahde	12/14/2004
05-02016-FOIA	Mike Lipman	Jonas Andreasson	12/14/2004
05-01995-FOIA	Nicholas Shuminsky	Mayor Mike Bloomberg	12/14/2004
05-02011-FOIA	Nicholas Shuminsky	Drum Major Institute for Public Policy	12/14/2004
05-02000-FOIA	Nicholas Shuminsky	Gifford Miller	12/14/2004
05-02018-FOIA	Nicholas Shuminsky	US Representative Anthony D. Weiner	12/14/2004
05-02021-FOIA	Nicholas Shuminsky	Fernando Ferrer	12/14/2004
05-02024-FOIA	Nicholas Shuminsky	Blommborg LP	12/14/2004
05-02019-FOIA	Maureen Fitzpatrick	Able Laboratories, Inc.	12/14/2004
05-02017-FOIA	Maureen Fitzpatrick	Hollis Eden Pharmaceuticals, Inc.	12/14/2004
05-01997-FOIA	Maureen Fitzpatrick	Curis	12/14/2004
05-01998-FOIA	Marcelo Desio	Jakks Pacific	12/14/2004
05-02002-FOIA	Frank Salamone	Virgin Mobile	12/14/2004
05-02003-FOIA	Frank Salamone	Sony Corporation	12/14/2004
05-02013-FOIA	Frank Salamone	Microsoft Corporation	12/14/2004
05-02009-FOIA	Frank Salamone	Virgin Atlantic	12/14/2004
05-02006-FOIA	Frank Salamone	Procter & Gamble	12/14/2004
05-02026-FOIA	Frank Salamone	Nextel Communications	12/14/2004
05-02027-FOIA	Frank Salamone	New Corporation	12/14/2004
05-02028-FOIA	Frank Salamone	Virgin Megastores (USA)	12/14/2004
05-02029-FOIA	Frank Salamone	Time Warner	12/14/2004
05-01993-FOIA	Gregory Davis	Mergers	12/14/2004
05-01994-FOIA	Ann Brose	QuestComm, Int'l, Inc.	12/14/2004
05-02005-FOIA	Mark Mierzejewski	Gracie Capital, LP	12/14/2004
05-02015-FOIA	Lenie Hermanson	Lexix Nexus	12/14/2004
05-02020-FOIA	Kirpal Singh	Anthony or Tony Baldwin of Blair & Co., LLC in Chicago, Illinois	12/14/2004
05-02022-FOIA	Greg Mazur	Swift Transportation	12/14/2004
05-02025-FOIA	Greg Mazur	Flanders Corp.	12/14/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02008-FOIA	KIRPAL SINGH	Roger Campbell	12/14/2004
05-01996-FOIA	Frank Salamone	McGraw-Hill	12/14/2004
05-01992-FOIA	Frank Salamone	Delta Airlines	12/14/2004
05-01999-FOIA	Tim Brillon	Dyax Corporation	12/14/2004
05-02001-FOIA	Tim Brillon	Nuvelo, Inc.	12/14/2004
05-02031-FOIA	J. Patrick Gavin	Central Parking Corporation	12/15/2004
05-02034-FOIA	J. Patrick Gavin	Mastec, Inc.	12/15/2004
05-02036-FOIA	J. Patrick Gavin	Othropedics	12/15/2004
05-02038-FOIA	J. Patrick Gavin	Maine Foods, Inc.	12/15/2004
05-02042-FOIA	J. Patrick Gavin	BMC Softward	12/15/2004
05-02043-FOIA	J. Patrick Gavin	Micromuse, Inc.	12/15/2004
05-02045-FOIA	J. Patrick Gavin	Human Genome Sciences, Inc.	12/15/2004
05-02050-FOIA	J. Patrick Gavin	Broadwing Corporation	12/15/2004
05-02053-FOIA	J. Patrick Gavin	Pediatrix Medical Group, Inc.	12/15/2004
05-00033-APPS	J. Patrick Gavin	Coca-Cola Company	12/15/2004
05-02058-FOIA	J. Patrick Gavin	Mindspeed Technologies, Inc.	12/15/2004
05-00032-APPS	J. Patrick Gavin	Duke Energy Corporation	12/15/2004
05-02052-FOIA	Bill Bond	Jeffrey Williams	12/15/2004
05-02054-FOIA	Bill Bond	Bill Bond	12/15/2004
05-02057-FOIA	Bill Bond	Genomic Solutions	12/15/2004
05-02044-FOIA	Melissa Barnes	Lipman Electronic Engineering Ltd.	12/15/2004
05-02041-FOIA	Melissa Barnes	United Online	12/15/2004
05-02030-FOIA	IRENE JEFFERSON	Boston Stock Exchange	12/15/2004
05-02046-FOIA	Mark Mierzejewski	Aspen Capital, LLC	12/15/2004
05-02049-FOIA	Christopher Paulin	Steven Mark Tannebaum	12/15/2004
05-02048-FOIA	Frank Salamone	Paramount Communications	12/15/2004
05-02051-FOIA	Frank Salamone	KMART	12/15/2004
05-02039-FOIA	Frank Salamone	IBM	12/15/2004
05-02040-FOIA	Frank Salamone	McDonald's Corporation	12/15/2004
05-02037-FOIA	Frank Salamone	Pepsico, Inc.	12/15/2004
05-02035-FOIA	Frank Salamone	Sears, Roebuck and Co	12/15/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02032-FOIA	Frank Salamone	Wal-Mart	12/15/2004
05-02033-FOIA	Frank Salamone	Trump Hotels & Casino Resorts	12/15/2004
05-02059-FOIA	Frank Salamone	United Airlines	12/15/2004
05-02047-FOIA	Christopher Paulin	Steven Mark Tannenbaum	12/15/2004
05-02055-FOIA	Cynthia Rose	Raymond James & Associates, Inc. a.k.a Rydex Financial Services	12/15/2004
05-02056-FOIA	Curtis Kennedy	80 Quest witnesses	12/15/2004
05-02086-FOIA	Mike Lipman	Gavin Wilson	12/16/2004
05-02061-FOIA	Mike Lipman	RAB Capital Limited	12/16/2004
05-02077-FOIA	Mike Lipman	RAB Energy Fund Limited	12/16/2004
05-02084-FOIA	Mike Lipman	RAB Capital PLC	12/16/2004
05-02082-FOIA	Mike Lipman	RAB Partners Ltd.	12/16/2004
05-02068-FOIA	Mike Lipman	RAB Partners Ltd.	12/16/2004
05-02072-FOIA	Clint Binkley	Julian Christopher Barrowcliffe	12/16/2004
05-02073-FOIA	Clint Binkley	VegaPlus Capital Partners Limited	12/16/2004
05-02079-FOIA	Clint Binkley	Anglian Commodities Fund, Ltd.	12/16/2004
05-02063-FOIA	Clint Binkley	Anglian Commodities Feeder Fund, Ltd.	12/16/2004
05-02065-FOIA	Clint Binkley	VegaPlus Capital Partners (USA) LLC	12/16/2004
05-02060-FOIA	Walter Sobon	VWR International, Inc.	12/16/2004
05-02064-FOIA	Debbie McCall	UK Companies	12/16/2004
05-02066-FOIA	John Carlisle	Contract Number SECHO1-04-F-0344	12/16/2004
05-02069-FOIA	Laura Walsh	Semiconductor Manufacturing International Corp.	12/16/2004
05-02075-FOIA	Laura Walsh	Linktone, Ltd.	12/16/2004
05-02071-FOIA	Cheryl Diaz	Mayflower Capital, LLC	12/16/2004
05-02074-FOIA	Timothy Mulligan	Central European Distribution Corporation	12/16/2004
05-02062-FOIA	Mark Mierzejewski	Glenview Capital Management LLC	12/16/2004
05-02078-FOIA	Mark Hunnibell	2004-8371	12/16/2004
05-02070-FOIA	J. Patrick Gavin	Radian Group, Inc.	12/16/2004
05-02076-FOIA	J. Patrick Gavin	Molex Incorporated	12/16/2004
05-02080-FOIA	Tim Brillon	First Horizon Pharmaceutical Corporation	12/16/2004
05-02081-FOIA	Tim Brillon	Parexel International Corporation	12/16/2004
05-02083-FOIA	Tim Brillon	Vicuron Pharmaceuticals, Inc.	12/16/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02067-FOIA	Tim Brillon	Lexicom Genetics, Inc.	12/16/2004
05-02085-FOIA	Tim Brillon	Savient Pharmaceuticals, Ltd.	12/16/2004
05-02090-FOIA	J. Patrick Gavin	Staar Surgical Company	12/17/2004
05-02091-FOIA	J. Patrick Gavin	Alliance Gaming Corporation	12/17/2004
05-02099-FOIA	J. Patrick Gavin	Clarus Corporation	12/17/2004
05-02100-FOIA	J. Patrick Gavin	The J. Jill Group, Inc.	12/17/2004
05-02101-FOIA	J. Patrick Gavin	Kraft Foods, Inc.	12/17/2004
05-02096-FOIA	Mark Mierzejewski	Brencourt Advisors LLC	12/17/2004
05-02087-FOIA	Kevin O'Mahony	KPMG Peat Marwick LLP	12/17/2004
05-02088-FOIA	Keith Moskowitz	Descorp, Inc.	12/17/2004
05-02089-FOIA	Keith Moskowitz	Advantage Capital Development Corp.	12/17/2004
05-02097-FOIA	Keith Moskowitz	SRKP 1, Inc.	12/17/2004
05-02098-FOIA	Keith Moskowitz	Ecostructure Corporation	12/17/2004
05-02102-FOIA	Clint Binkley	Christopher Neil Brodie	12/17/2004
05-02092-FOIA	Clint Binkley	John Martin Tilney	12/17/2004
05-02093-FOIA	Clint Binkley	Armajaro Asset Management LLP	12/17/2004
05-02094-FOIA	Clint Binkley	Armajaro Commodities Master Fund, Ltd.	12/17/2004
05-02095-FOIA	Clint Binkley	Armajaro Commodities Fund, Ltd.	12/17/2004
05-02114-FOIA	Joshua Belkind	Gary Eisenberg	12/20/2004
05-02116-FOIA	Yale Levin	Guidant Corporation	12/20/2004
05-02122-FOIA	Yale Levin	Johnson & Johnson	12/20/2004
05-02145-FOIA	Yale Levin	Veritas Software Corporation	12/20/2004
05-02150-FOIA	Yale Levin	Symantec Corp 01/01/00 through the present	12/20/2004
05-02123-FOIA	Erica Hicks	AOL Time Warner and Credit Suisse First Boston	12/20/2004
05-01135-PA	Gary Aguirre	SEC's Privacy Act	12/20/2004
05-02117-FOIA	Mark Mierzejewski	York Capital Management	12/20/2004
05-02151-FOIA	J. Patrick Gavin	Epix Pharmaceuticals, Inc.	12/20/2004
05-02162-FOIA	J. Patrick Gavin	Nu Skin Enterprises	12/20/2004
05-02158-FOIA	J. Patrick Gavin	Atheros Communications, Inc.	12/20/2004
05-02159-FOIA	J. Patrick Gavin	Adtran, Inc.	12/20/2004
05-02154-FOIA	J. Patrick Gavin	Enterasys Network, Inc.	12/20/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02155-FOIA	J. Patrick Gavin	Chiron Corporation	12/20/2004
05-02129-FOIA	J. Patrick Gavin	Vignette Corporation	12/20/2004
05-02118-FOIA	J. Patrick Gavin	Renaissance Learning, Inc.	12/20/2004
05-02146-FOIA	J. Patrick Gavin	National Instruments Corp	12/20/2004
05-02127-FOIA	J. Patrick Gavin	Zoran Corporation	12/20/2004
05-02121-FOIA	J. Patrick Gavin	OPNET Technologies, Inc.	12/20/2004
05-02115-FOIA	J. Patrick Gavin	Mobius Management Systems, Inc.	12/20/2004
05-02103-FOIA	J. Patrick Gavin	Ruby Tuesday, Inc.	12/20/2004
05-02104-FOIA	Richard Harrison	Mobil Corporation	12/20/2004
05-02105-FOIA	Richard Harrison	Exxon Corporation	12/20/2004
05-02106-FOIA	Richard Harrison	Exxon Mobil Corporation	12/20/2004
05-02107-FOIA	Richard Harrison	Beacon Roofing Supply, Inc.	12/20/2004
05-02108-FOIA	Richard Harrison	HouseValues, Inc.	12/20/2004
05-02109-FOIA	Richard Harrison	Europa Trade Agency Ltd.	12/20/2004
05-02110-FOIA	Richard Harrison	Las Vegas Sands Corp.	12/20/2004
05-02111-FOIA	Richard Harrison	Knoll, Inc.	12/20/2004
05-02112-FOIA	Richard Harrison	CASCADE MICROTECH INC	12/20/2004
05-02113-FOIA	Richard Harrison	Comstock Homebuilding	12/20/2004
05-02119-FOIA	Richard Harrison	Pediatric Services of Americal, Inc.	12/20/2004
05-02120-FOIA	Richard Harrison	Powerchannel Inc.	12/20/2004
05-02130-FOIA	Richard Harrison	NorthStar Realty	12/20/2004
05-02131-FOIA	Richard Harrison	Leather Factory, Inc.	12/20/2004
05-02132-FOIA	Richard Harrison	Radcom Ltd.	12/20/2004
05-02133-FOIA	Richard Harrison	Headwaters, Inc.	12/20/2004
05-02134-FOIA	Richard Harrison	Cabg Medical, Inc.	12/20/2004
05-02135-FOIA	Richard Harrison	Orange 21 Inc.	12/20/2004
05-02136-FOIA	Richard Harrison	Conor Medsystems, Inc.	12/20/2004
05-02137-FOIA	Richard Harrison	Target Logistics, Inc.	12/20/2004
05-02138-FOIA	Richard Harrison	Matrixone, Inc.	12/20/2004
05-02139-FOIA	Richard Harrison	Community Bancorp	12/20/2004
05-02140-FOIA	Richard Harrison	Telecommunication Systems, Inc.	12/20/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02124-FOIA	Richard Harrison	Bimini Mortgage Management, Inc.	12/20/2004
05-02125-FOIA	Richard Harrison	Ladenburg Thalmann Financial Services Inc.	12/20/2004
05-02126-FOIA	Richard Harrison	Bill Barrett Corp.	12/20/2004
05-02141-FOIA	Richard Harrison	NX Networks, Inc.	12/20/2004
05-02142-FOIA	Richard Harrison	First State Financial Corp.	12/20/2004
05-02143-FOIA	Richard Harrison	Digital Video Systems, Inc.	12/20/2004
05-02144-FOIA	Richard Harrison	YM Biosciences, Inc.	12/20/2004
05-02128-FOIA	Richard Harrison	OccuLogix, Inc.	12/20/2004
05-02147-FOIA	Richard Harrison	Peloton Resources Inc	12/20/2004
05-02148-FOIA	Richard Harrison	Great Wolf Resorts, Inc.	12/20/2004
05-02149-FOIA	Richard Harrison	Pro-Tech-Holdings LTD.	12/20/2004
05-02152-FOIA	Richard Harrison	Syneron Medical Ltd.	12/20/2004
05-02153-FOIA	Richard Harrison	Symmetry Medical, Inc.	12/20/2004
05-02156-FOIA	Richard Harrison	Lennar Corp / New	12/20/2004
05-02157-FOIA	Richard Harrison	Foundation Coal Holdings, Inc.	12/20/2004
05-02163-FOIA	Richard Harrison	Environmental Power Corp	12/20/2004
05-02164-FOIA	Richard Harrison	India Fund Inc.	12/20/2004
05-02165-FOIA	Richard Harrison	Old National Bancorp / IN	12/20/2004
05-02160-FOIA	Richard Harrison	Ameriprint International Ltd	12/20/2004
05-02161-FOIA	Richard Harrison	Aethlon Medical, Inc.	12/20/2004
05-02170-FOIA	Richard Harrison	Pacificare Health Systems, Inc.	12/21/2004
05-02172-FOIA	Richard Harrison	SafeNet, Inc.	12/21/2004
05-02173-FOIA	Richard Harrison	Placer Sierra Bancshares	12/21/2004
05-02176-FOIA	Richard Harrison	First Financial Bancorp /CA	12/21/2004
05-02178-FOIA	Richard Harrison	Sportsline Com Inc	12/21/2004
05-02179-FOIA	Richard Harrison	Viacom, Inc.	12/21/2004
05-02180-FOIA	Richard Harrison	Thackeray Corp	12/21/2004
05-02181-FOIA	Richard Harrison	Virologic, Inc.	12/21/2004
05-02182-FOIA	Richard Harrison	EZCorp, Inc.	12/21/2004
05-02184-FOIA	Richard Harrison	Lipman Electronic Engineering Ltd.	12/21/2004
05-02189-FOIA	Richard Harrison	Tom Online, Inc.	12/21/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02190-FOIA	Richard Harrison	Aclara Biosciences, Inc.	12/21/2004
05-02191-FOIA	Richard Harrison	Linktone Ltd.	12/21/2004
05-02192-FOIA	Richard Harrison	Roper Industries Inc /DE	12/21/2004
05-02193-FOIA	Richard Harrison	Powerdsine Ltd.	12/21/2004
05-02194-FOIA	Richard Harrison	Gol Intelligent Airlines, Inc.	12/21/2004
05-02195-FOIA	Richard Harrison	Equity Group Investments & Triyar Capital LLC	12/21/2004
05-02207-FOIA	Richard Harrison	PSB Holdings, Inc.	12/21/2004
05-02208-FOIA	Richard Harrison	Universal American Financial Corp	12/21/2004
05-02209-FOIA	Richard Harrison	L.G. Philips LCD Co. Ltd.	12/21/2004
05-02210-FOIA	Richard Harrison	UTi WorldWide Inc	12/21/2004
05-02211-FOIA	Richard Harrison	Top Tankers, Inc.	12/21/2004
05-02212-FOIA	Richard Harrison	Marco Community Bancorp, Inc.	12/21/2004
05-02213-FOIA	Richard Harrison	Matchnet, Inc.	12/21/2004
05-02199-FOIA	Richard Harrison	Xyratex, Ltd.	12/21/2004
05-02200-FOIA	Richard Harrison	Homex Development Corp.	12/21/2004
05-02202-FOIA	Richard Harrison	GPC Biotech AG	12/21/2004
05-02203-FOIA	Richard Harrison	Electronic Network Com, Inc.	12/21/2004
05-02205-FOIA	Richard Harrison	Dominion Resources Inc. /VA	12/21/2004
05-02217-FOIA	Richard Harrison	Georgetown Bancorp, Inc.	12/21/2004
05-02219-FOIA	Richard Harrison	Kentucky First Federal Bancorp	12/21/2004
05-02220-FOIA	Richard Harrison	Infiniti Solutions Ltd.	12/21/2004
05-02221-FOIA	Richard Harrison	Paradigm Enterprises Inc	12/21/2004
05-02222-FOIA	Richard Harrison	China Finance Online Co.	12/21/2004
05-02223-FOIA	Richard Harrison	Telvent GIT S A	12/21/2004
05-02224-FOIA	Richard Harrison	Inland Western Retail Real Estate Trust Inc	12/21/2004
05-02225-FOIA	Richard Harrison	Evergreen Managed Income Fund	12/21/2004
05-02228-FOIA	Richard Harrison	First Trust Value Line R 100 Fund	12/21/2004
05-02229-FOIA	Richard Harrison	Tracks Gold Trust	12/21/2004
05-02230-FOIA	Richard Harrison	Rainier Pacific Financial Group, Inc.	12/21/2004
05-02231-FOIA	Richard Harrison	Eaton Vance Limited Duration Income Fund II	12/21/2004
05-02234-FOIA	Richard Harrison	Anchor Glass Container Corp	12/21/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02235-FOIA	Richard Harrison	Madison Group III Inc	12/21/2004
05-02236-FOIA	Richard Harrison	Madison Group IV Inc	12/21/2004
05-02237-FOIA	Richard Harrison	Madison Group I Inc	12/21/2004
05-02239-FOIA	Richard Harrison	Madison Group II Inc.	12/21/2004
05-02240-FOIA	Richard Harrison	Madison Group V Inc	12/21/2004
05-02241-FOIA	Richard Harrison	Madison VI Inc.	12/21/2004
05-02242-FOIA	Richard Harrison	Aspen Insurance Holdings LTD	12/21/2004
05-02244-FOIA	Richard Harrison	CTRIP Com International LTD	12/21/2004
05-02245-FOIA	Richard Harrison	China Life Insurance Co LTD	12/21/2004
05-02246-FOIA	Richard Harrison	eLong, Inc.	12/21/2004
05-02166-FOIA	Mark Mierzejewski	Cramer Rosenthal & McGlynn, LLC	12/21/2004
05-02168-FOIA	Aaron Rossetti	BankCard Services	12/21/2004
05-02177-FOIA	Aaron Rossetti	Fifth Third Bank	12/21/2004
05-02174-FOIA	Aaron Rossetti	MBNA America	12/21/2004
05-02198-FOIA	Aaron Rossetti	MBNA America	12/21/2004
05-02187-FOIA	Aaron Rossetti	Fleet Credit Card Services	12/21/2004
05-02188-FOIA	Aaron Rossetti	Fleet Credit Card Services	12/21/2004
05-02218-FOIA	Aaron Rossetti	MBNA America	12/21/2004
05-02204-FOIA	Aaron Rossetti	Fleet Credit Cards Services	12/21/2004
05-02216-FOIA	Aaron Rossetti	Fifth Third Bank	12/21/2004
05-02206-FOIA	Aaron Rossetti	BankCard Services	12/21/2004
05-02171-FOIA	Julie Bai	Reliant Resources, Inc.	12/21/2004
05-02183-FOIA	Maureen Fitzpatrick	Serologicals Corporation, Inc.	12/21/2004
05-02197-FOIA	Maureen Fitzpatrick	Orchid Biosciences, Inc.	12/21/2004
05-02214-FOIA	Maureen Fitzpatrick	Keryx Biopharmaceuticals, Inc.	12/21/2004
05-02232-FOIA	Maureen Fitzpatrick	DOV Pharmaceutical, Inc.	12/21/2004
05-02226-FOIA	Maureen Fitzpatrick	DepoMed, Inc.	12/21/2004
05-02227-FOIA	Mike Lipman	Ashton Lee	12/21/2004
05-02233-FOIA	Mike Lipman	Brett Thomas Flynn	12/21/2004
05-02243-FOIA	Mike Lipman	Russell J. Lucas	12/21/2004
05-02238-FOIA	Mike Lipman	Lucas Capital Offshore	12/21/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02215-FOIA	Mike Lipman	Lucas Energy Total Return	12/21/2004
05-02201-FOIA	Mike Lipman	Lucas Energy Total Return Master Fund	12/21/2004
05-02185-FOIA	Mike Lipman	Lucas Capital Management, LLC	12/21/2004
05-02167-FOIA	Mike Lipman	Simonswood Limited	12/21/2004
05-02175-FOIA	Meredith Sellers	LMP Capital	12/21/2004
05-02169-FOIA	Meredith Sellers	CAM Energy	12/21/2004
05-02196-FOIA	Meredith Sellers	Craig Gontkovic	12/21/2004
05-02186-FOIA	Meredith Sellers	Allen Wolf	12/21/2004
05-00034-APPS	Randall Smith	Struthers Industries Inc (HO-3291)	12/21/2004
05-00035-APPS	Paul Kovacs	Morgan Stanley & Co Incorporated	12/22/2004
05-02251-FOIA	SCOTT SCHEFRIN	Veritas Software Corporation	12/22/2004
05-02284-FOIA	Mike Lipman	Amar Funds Limited	12/22/2004
05-02281-FOIA	Mike Lipman	Robson Rhodes Client	12/22/2004
05-02269-FOIA	Maureen Fitzpatrick	Aetna, Inc.	12/22/2004
05-02257-FOIA	Maureen Fitzpatrick	Altera Corporation	12/22/2004
05-02265-FOIA	Maureen Fitzpatrick	Morgan Stanley, Dean Witter & Co. August 27, 2004 through Dec 21, 2004	12/22/2004
05-02278-FOIA	Maureen Fitzpatrick	Avon Products, Inc.	12/22/2004
05-02276-FOIA	Maureen Fitzpatrick	JDS Uniphase	12/22/2004
05-02247-FOIA	N. Ghabai	Philip Services Corp.	12/22/2004
05-02248-FOIA	N. Ghabai	Philip Services Corp.	12/22/2004
05-02254-FOIA	Rochelle Banta	Andrew Bellamah and/or Bellamah, Neuhauser & Barrett	12/22/2004
05-02272-FOIA	Timothy Dennin	W. J. Nolan & Co., Inc. And William J. Nolan	12/22/2004
05-02273-FOIA	Mark Mierzejewski	Narragansett Asset Management, LLC	12/22/2004
05-02275-FOIA	Mark Mierzejewski	Wexford Capital LLC	12/22/2004
05-02250-FOIA	Richard Harrison	China Netcom Group Corp.	12/22/2004
05-02253-FOIA	Richard Harrison	Arlington Tankers, Ltd.	12/22/2004
05-02256-FOIA	Richard Harrison	Ninetowns Digital World Trade Holdings Ltd.	12/22/2004
05-02259-FOIA	Richard Harrison	Highmark Funds /MA/	12/22/2004
05-02260-FOIA	Richard Harrison	Morgan Keegan Select Fund, Inc.	12/22/2004
05-02261-FOIA	Richard Harrison	Hillman Capital Management Investment Trust	12/22/2004
05-02262-FOIA	Richard Harrison	Forum Funds	12/22/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02263-FOIA	Richard Harrison	Munder Series Trust	12/22/2004
05-02264-FOIA	Richard Harrison	Dreyfus Growth & Value Funds, Inc.	12/22/2004
05-02266-FOIA	Richard Harrison	BB&T Variable Insurance Funds	12/22/2004
05-02268-FOIA	Richard Harrison	Datakey, Inc.	12/22/2004
05-02271-FOIA	Richard Harrison	Home Products International Inc	12/22/2004
05-02274-FOIA	Richard Harrison	American Medical Security Group Inc	12/22/2004
05-02280-FOIA	Richard Harrison	Metropolitan Series Fund Inc.	12/22/2004
05-02285-FOIA	Richard Harrison	Regions Morgan Keegan Select Funds	12/22/2004
05-02286-FOIA	Richard Harrison	Mariner Health Care Inc	12/22/2004
05-02287-FOIA	Richard Harrison	Boca Resorts Inc	12/22/2004
05-02288-FOIA	Richard Harrison	Transcore Holdings Inc.	12/22/2004
05-02282-FOIA	Richard Harrison	New Ireland Fund Inc	12/22/2004
05-02283-FOIA	Richard Harrison	Harris Investment Management Inc	12/22/2004
05-02258-FOIA	J. Patrick Gavin	La-Z-Boy Incorporated	12/22/2004
05-02270-FOIA	J. Patrick Gavin	Sensient Technologies Corporation	12/22/2004
05-02252-FOIA	J. Patrick Gavin	The Bombay Company, Inc.	12/22/2004
05-02255-FOIA	J. Patrick Gavin	The Gymboree Corporation	12/22/2004
05-02249-FOIA	J. Patrick Gavin	Audible, Inc.	12/22/2004
05-02279-FOIA	J. Patrick Gavin	MFRI, Inc.	12/22/2004
05-02277-FOIA	J. Patrick Gavin	Robbins Myers, Inc.	12/22/2004
05-02267-FOIA	J. PATRICK GAVIN	Performance Technologies, Incorporated	12/22/2004
05-02290-FOIA	J. Patrick Gavin	CommScope, Inc.	12/23/2004
05-02291-FOIA	J. Patrick Gavin	E.ON AG	12/23/2004
05-02292-FOIA	J. Patrick Gavin	Churchill Downs Incorporated	12/23/2004
05-00039-APPS	J. Patrick Gavin	Transaction Systems Architechts Inc	12/23/2004
05-00040-APPS	J. Patrick Gavin	PeopleSoft, Inc	12/23/2004
05-02295-FOIA	J. Patrick Gavin	DK Healthcare Resources, Inc.	12/23/2004
05-02297-FOIA	J. Patrick Gavin	Hanger Orthopedic Group, Inc.	12/23/2004
05-02298-FOIA	J. Patrick Gavin	GrafTech International, Ltd.	12/23/2004
05-02300-FOIA	J. Patrick Gavin	Lionbridge Technologies, Inc.	12/23/2004
05-02303-FOIA	J. Patrick Gavin	Lone Star Technologies, Inc.	12/23/2004



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02306-FOIA	J. Patrick Gavin	Terayon Communications Systems, Inc.	12/23/2004
05-02307-FOIA	J. Patrick Gavin	NPS Pharmaceuticals, Inc	12/23/2004
05-02312-FOIA	J. Patrick Gavin	Watchguard Technologies, Inc.	12/23/2004
05-02315-FOIA	J. Patrick Gavin	Manhattan Associates, Inc.	12/23/2004
05-00041-APPS	J. Patrick Gavin	Yum! Brands, Inc	12/23/2004
05-00042-APPS	J. Patrick Gavin	Ciber Ince	12/23/2004
05-00043-APPS	J. Patrick Gavin	AON Corporation	12/23/2004
05-00036-APPS	J. Patrick Gavin	Entergy Corp	12/23/2004
05-00037-APPS	J. Patrick Gavin	E.I. du Pont de Nemours and Company	12/23/2004
05-02289-FOIA	Gilbert Colombo	Compaq	12/23/2004
05-02294-FOIA	Brad Weinberg	Roark Capital Group	12/23/2004
05-02308-FOIA	Mike Lipman	Dawson-Samberg Capital Management, Inc.	12/23/2004
05-02304-FOIA	Mike Lipman	DG Energy International, LLC	12/23/2004
05-02305-FOIA	Mike Lipman	Dawson-Herman Capital Management, Inc.	12/23/2004
05-02301-FOIA	Mike Lipman	Anthony John Giammalva	12/23/2004
05-02302-FOIA	Mike Lipman	DG Energy International Partners, LP	12/23/2004
05-02299-FOIA	Mike Lipman	Sound Energy Partners, Inc.	12/23/2004
05-02296-FOIA	Mike Lipman	Southport Energy Plus Offshore Fund, Inc.	12/23/2004
05-02293-FOIA	Mike Lipman	Southport Energy Plus Partners, LP	12/23/2004
05-02310-FOIA	Mike Lipman	Dawson-Giammalva Capital Management, Inc.	12/23/2004
05-02311-FOIA	Mike Lipman	Dawson Family Partnership	12/23/2004
05-00038-APPS	Justin Cannizzaro	J. Patrick Gavin	12/23/2004
05-02313-FOIA	Patrick Walsh	Anna's Linens	12/23/2004
05-02314-FOIA	Patrick Walsh	Alan Gladstone	12/23/2004
05-02309-FOIA	Patrick Walsh	Michael Harniteaux	12/23/2004
05-02317-FOIA	Maureen Fitzpatrick	EMC Corporation	12/27/2004
05-02370-FOIA	Maureen Fitzpatrick	Exact Sciences Corporation	12/27/2004
05-02375-FOIA	Maureen Fitzpatrick	HCA-The Healthcare Company	12/27/2004
05-02345-FOIA	Maureen Fitzpatrick	American Pharmaceutical Partners, Inc.	12/27/2004
05-02353-FOIA	Maureen Fitzpatrick	Guilford Pharmaceuticals	12/27/2004
05-02333-FOIA	Cindy Strohm	Brandywine Asset or Brandywine Securities	12/27/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02316-FOIA	Cindy Strohm	Michael Dever	12/27/2004
05-02340-FOIA	Betsy Tao	Senator Jon S. Corzine	12/27/2004
05-02335-FOIA	Erica Hicks	AOL Time Warner	12/27/2004
05-02344-FOIA	Mark Mierzejewski	Structured Portfolio Management, LLC	12/27/2004
05-00044-APPS	J. Patrick Gavin	Johnson & Johnson	12/27/2004
05-02338-FOIA	Michael Spence	Michael Roddy Haynes	12/27/2004
05-02371-FOIA	Michael Spence	Michael John Lewis	12/27/2004
05-02376-FOIA	Michael Spence	Van Del Simmons	12/27/2004
05-02360-FOIA	Michael Spence	David Gene Hall since 1959	12/27/2004
05-02385-FOIA	Mark Edwards	Cephalon	12/27/2004
05-02380-FOIA	Mark Edwards	Seragen, Inc.	12/27/2004
05-02361-FOIA	Mark Edwards	Gilead Sciences	12/27/2004
05-02318-FOIA	Mark Edwards	Chiron Corporation	12/27/2004
05-02354-FOIA	Mark Edwards	Vical, Inc.	12/27/2004
05-02355-FOIA	Mark Edwards	Aurora Biosciences Corp.	12/27/2004
05-02357-FOIA	Mark Edwards	Anesta Corporation	12/27/2004
05-02369-FOIA	Mark Edwards	Alteon	12/27/2004
05-02328-FOIA	Mark Edwards	Xenova Group	12/27/2004
05-02323-FOIA	Mark Edwards	GeneMedicine, Inc.	12/27/2004
05-02381-FOIA	Michael Spence	Collectors Universe, Inc.	12/27/2004
05-02319-FOIA	Richard Harrison	Manufacturers Investment Trust	12/27/2004
05-02320-FOIA	Richard Harrison	Nicholas Applegate Institutional Funds	12/27/2004
05-02321-FOIA	Richard Harrison	Hancock John Capital Series	12/27/2004
05-02322-FOIA	Richard Harrison	Interline Brands, Inc./DE	12/27/2004
05-02324-FOIA	Richard Harrison	Transact Technologies Inc.	12/27/2004
05-02325-FOIA	Richard Harrison	Prestige Brands, Inc.	12/27/2004
05-02326-FOIA	Richard Harrison	American International Group, Inc.	12/27/2004
05-02327-FOIA	Richard Harrison	Chemokine Therapeutics Corp.	12/27/2004
05-02329-FOIA	Richard Harrison	KMG America Corp.	12/27/2004
05-02330-FOIA	Richard Harrison	Guilford Pharmaceuticals, Inc.	12/27/2004
05-02331-FOIA	Richard Harrison	Adeza Biomedical Corp.	12/27/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02332-FOIA	Richard Harrison	Merck & Co, Inc.	12/27/2004
05-02334-FOIA	Richard Harrison	Advance America, Cash Advance Centers, Inc.	12/27/2004
05-02336-FOIA	Richard Harrison	Feldman Mall Properties, Inc.	12/27/2004
05-02337-FOIA	Richard Harrison	Warren Resources, Inc.	12/27/2004
05-02339-FOIA	Richard Harrison	BlueLinx Holdings, Inc.	12/27/2004
05-02341-FOIA	Richard Harrison	Curtiss Wright Corp	12/27/2004
05-02342-FOIA	Richard Harrison	Piedmont Investment Trust	12/27/2004
05-02343-FOIA	Richard Harrison	Genzyme Corp aka Ilex Oncology Inc	12/27/2004
05-02346-FOIA	Richard Harrison	Securitisisation Advisory Services PTY Ltd.	12/27/2004
05-02347-FOIA	Richard Harrison	MHI Hospitality Corp.	12/27/2004
05-02348-FOIA	Richard Harrison	Chiron Corp.	12/27/2004
05-02349-FOIA	Richard Harrison	Maax Corp.	12/27/2004
05-02350-FOIA	Richard Harrison	Tower Automotive, Inc.	12/27/2004
05-02351-FOIA	Richard Harrison	Kiwa Bio-Tech Products Group Corp.	12/27/2004
05-02352-FOIA	Richard Harrison	Herbalife, Ltd.	12/27/2004
05-02358-FOIA	Richard Harrison	Jones Apparel Group, Inc. a.k.a. Barney's New York, Inc.	12/27/2004
05-02359-FOIA	Richard Harrison	Arbinet The Exchange, Inc.	12/27/2004
05-02362-FOIA	Richard Harrison	Constellation Brands, Inc aka Mondavi Robert Corp	12/27/2004
05-02363-FOIA	Richard Harrison	Workstream, Inc.	12/27/2004
05-02364-FOIA	Richard Harrison	Digene Corp.	12/27/2004
05-02365-FOIA	Richard Harrison	Rural Lec Acquisition LLC	12/27/2004
05-02366-FOIA	Richard Harrison	Macquarie Infrastructure Co. Trust	12/27/2004
05-02367-FOIA	Richard Harrison	Nanogen Inc aka Epoch Biosciences Inc	12/27/2004
05-02368-FOIA	Richard Harrison	PL Retail LLC	12/27/2004
05-02372-FOIA	Richard Harrison	Sonic Solution	12/27/2004
05-02373-FOIA	Richard Harrison	Terra Industries Inc.	12/27/2004
05-02374-FOIA	Richard Harrison	Barneys New York	12/27/2004
05-02356-FOIA	Richard Harrison	Assure Energy, Inc. a.k.a. Quarry Oil & Gas, Ltd.	12/27/2004
05-02377-FOIA	Richard Harrison	Mondavi Robert Corp	12/27/2004
05-02378-FOIA	Richard Harrison	Epoch Biosciences Inc	12/27/2004
05-02379-FOIA	Richard Harrison	Price Legacy Corp	12/27/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02382-FOIA	Richard Harrison	Consumer Software Products Division	12/27/2004
05-02383-FOIA	Richard Harrison	Mississippi Chemical Corp	12/27/2004
05-02384-FOIA	Richard Harrison	Ilex Oncology Inc	12/27/2004
05-02386-FOIA	Richard Harrison	Oppenheimer Profolio Series	12/27/2004
05-02387-FOIA	Richard Harrison	Dreyfus Growth & Income Fund Inc/New	12/27/2004
05-02388-FOIA	Richard Harrison	Ducati Motor Holding Spa	12/27/2004
05-02389-FOIA	Richard Harrison	Granite City Food & Brewery LTD	12/27/2004
05-02390-FOIA	Mark Edwards	The Liposome Company	12/28/2004
05-02391-FOIA	Mark Edwards	Axys Pharmaceuticals	12/28/2004
05-02392-FOIA	Mark Edwards	Procyte	12/28/2004
05-02393-FOIA	Mark Edwards	Seragen, Inc.	12/28/2004
05-02394-FOIA	Mark Edwards	Cortex Pharmaceuticals, Inc.	12/28/2004
05-00047-APPS	J. Patrick Gavin	Citizens Communications Company	12/28/2004
05-00048-APPS	J. Patrick Gavin	Proquest Company	12/28/2004
05-00045-APPS	J. Patrick Gavin	Nordstrom Inc	12/28/2004
05-00046-APPS	John Haeussler	John L. Haeussler	12/28/2004
05-02418-FOIA	Jeffrey Newman	MEDQUIST	12/29/2004
05-02441-FOIA	Michael McNelly	Panacea, Inc.	12/29/2004
05-02398-FOIA	David Sarokin	Unique company	12/29/2004
05-02400-FOIA	Charles Stackhouse	Neil Wright	12/29/2004
05-02404-FOIA	Charles Stackhouse	Lon F. Birnolz	12/29/2004
05-02411-FOIA	Charles Stackhouse	Laurie S. Gaeta	12/29/2004
05-02412-FOIA	Charles Stackhouse	Peter M. Jankovskis	12/29/2004
05-02427-FOIA	Charles Stackhouse	David A. Katz	12/29/2004
05-02423-FOIA	Charles Stackhouse	Janna L. Sampson	12/29/2004
05-02420-FOIA	Charles Stackhouse	Sherri Hurwitz	12/29/2004
05-02415-FOIA	Charles Stackhouse	Seshagirirao Cherukuri	12/29/2004
05-02437-FOIA	Charles Stackhouse	Douglas Altabef	12/29/2004
05-02430-FOIA	Charles Stackhouse	Carlos L. Zavala	12/29/2004
05-02431-FOIA	Charles Stackhouse	Steve G. Roukis	12/29/2004
05-02403-FOIA	Mary Judice	Eric Greschner of Regatta Research & Money Management	12/29/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02405-FOIA	David Hissey	CountryWide Home Loans	12/29/2004
05-02440-FOIA	Maureen Fitzpatrick	Transkaryotic Therapies, Inc.	12/29/2004
05-02432-FOIA	Maureen Fitzpatrick	Pharmion Corporation	12/29/2004
05-02429-FOIA	Maureen Fitzpatrick	Walt Disney Co	12/29/2004
05-02436-FOIA	Maureen Fitzpatrick	Myogen, Inc.	12/29/2004
05-02407-FOIA	Maureen Fitzpatrick	ConocoPhillips Holding Co	12/29/2004
05-02408-FOIA	Maureen Fitzpatrick	Oscient Pharmaceuticals Corporation	12/29/2004
05-02421-FOIA	Maureen Fitzpatrick	General Electric Co	12/29/2004
05-02424-FOIA	Maureen Fitzpatrick	Maxim Pharmaceuticals, Inc	12/29/2004
05-02399-FOIA	Maureen Fitzpatrick	ConAgra Foods Inc	12/29/2004
05-02397-FOIA	Maureen Fitzpatrick	Salix Pharmaceuticals	12/29/2004
05-02416-FOIA	Clint Binkley	John L. Kerr	12/29/2004
05-02406-FOIA	Clint Binkley	Michael Scott Ortiz	12/29/2004
05-02442-FOIA	Clint Binkley	Talkeetna Strategic Commodity Fund	12/29/2004
05-02439-FOIA	Clint Binkley	KOR Capital Management LLC	12/29/2004
05-02401-FOIA	J. Patrick Gavin	Mueller Industries Inc	12/29/2004
05-02409-FOIA	J. Patrick Gavin	Party City Corporation	12/29/2004
05-02410-FOIA	J. Patrick Gavin	Lexar Media Inc	12/29/2004
05-02413-FOIA	J. Patrick Gavin	NVIDIA Corporation	12/29/2004
05-02414-FOIA	J. Patrick Gavin	Infocus Corporation	12/29/2004
05-02419-FOIA	J. Patrick Gavin	Illumina Inc	12/29/2004
05-02422-FOIA	J. Patrick Gavin	Captiva Software Corporation	12/29/2004
05-02425-FOIA	J. Patrick Gavin	Darden Restaurants Inc	12/29/2004
05-02426-FOIA	J. Patrick Gavin	Symbol Technologies	12/29/2004
05-02433-FOIA	J. Patrick Gavin	Merit Medical Systems, Inc.	12/29/2004
05-02435-FOIA	J. Patrick Gavin	Veeco Instruments, Inc.	12/29/2004
05-02438-FOIA	J. Patrick Gavin	Brightpoint, Inc.	12/29/2004
05-02443-FOIA	J. Patrick Gavin	Accenture Ltd.	12/29/2004
05-02395-FOIA	J. Patrick Gavin	Cell Genesys Inc	12/29/2004
05-02396-FOIA	J. Patrick Gavin	Qmed, Inc.	12/29/2004
05-02444-FOIA	Michael Spence	Michael Roddy Haynes	12/29/2004

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02434-FOIA	Michael Spence	Michael J. Lewis	12/29/2004
05-02428-FOIA	Michael Spence	Davide Gene Hall	12/29/2004
05-02417-FOIA	Michael Spence	Collectors Universe Inc	12/29/2004
05-02402-FOIA	Michael Spence	Van Del Simmons	12/29/2004
05-02445-FOIA	J. Patrick Gavin	Audiovox Corporation	12/30/2004
05-02446-FOIA	J. Patrick Gavin	CNET Networks Inc	12/30/2004
05-02447-FOIA	J. Patrick Gavin	Delta Air Lines Inc	12/30/2004
05-02448-FOIA	J. Patrick Gavin	Downey Financial Corp.	12/30/2004
05-02449-FOIA	J. Patrick Gavin	EMC Insurance Group Inc.	12/30/2004
05-02450-FOIA	J. Patrick Gavin	ICU Medical Inc.	12/30/2004
05-02453-FOIA	J. Patrick Gavin	Janus Capital Group Inc.	12/30/2004
05-02454-FOIA	J. Patrick Gavin	Keyspan Corporation	12/30/2004
05-02455-FOIA	J. Patrick Gavin	LKQ Corporation	12/30/2004
05-02456-FOIA	J. Patrick Gavin	Monaco Coach Corporation	12/30/2004
05-02457-FOIA	J. Patrick Gavin	Navigant International Inc	12/30/2004
05-02458-FOIA	J. Patrick Gavin	Odyssey Healthcare Inc.	12/30/2004
05-02459-FOIA	J. Patrick Gavin	Pemstar Inc.	12/30/2004
05-02460-FOIA	J. Patrick Gavin	Penford Corporation	12/30/2004
05-02461-FOIA	J. Patrick Gavin	Star Gas Partners L.P.	12/30/2004
05-02452-FOIA	Fito Louis	Firemark	12/30/2004
05-02451-FOIA	Corey Ferris	contract EDGAR22001	12/30/2004
05-02490-FOIA	Susan Lichtcsien	John Matthew Romero	1/3/2005
05-02498-FOIA	Rachel Verdon	Arthur Anderson	1/3/2005
05-02501-FOIA	Susan Lichtcsien	Aptus Capital LLC	1/3/2005
05-02502-FOIA	Kristi Rockwell-Howell	Sarbanes-Oxley Act of 2002	1/3/2005
05-02499-FOIA	Mark Edwards	Corixa Corp	1/3/2005
05-02482-FOIA	Mark Edwards	Anergen, Inc.	1/3/2005
05-02483-FOIA	Mark Edwards	Creative BioMolecules Inc	1/3/2005
05-02478-FOIA	Mark Edwards	Connetics Corp aka Connective Therapeutics Inc	1/3/2005
05-02472-FOIA	Mark Edwards	Coulter Pharmaceuticals Inc	1/3/2005
05-02466-FOIA	Mark Edwards	Columbia Laboratories Inc	1/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02494-FOIA	Mark Edwards	Anesta Corp	1/3/2005
05-02495-FOIA	Mark Edwards	Depomed, Inc.	1/3/2005
05-02487-FOIA	Mark Edwards	Cortech, Inc.	1/3/2005
05-02488-FOIA	Mark Edwards	Connetics Corp	1/3/2005
05-02462-FOIA	Richard Harrison	Getty Images Inc	1/3/2005
05-02463-FOIA	Richard Harrison	High Income Master Portfolio LLC	1/3/2005
05-02464-FOIA	Richard Harrison	Richard Harrison	1/3/2005
05-02465-FOIA	Richard Harrison	Kensington Funds	1/3/2005
05-02467-FOIA	Richard Harrison	Managers AMG Funds	1/3/2005
05-02468-FOIA	Richard Harrison	Harris Interactive Inc	1/3/2005
05-02469-FOIA	Richard Harrison	Adirondack Funds	1/3/2005
05-02470-FOIA	Richard Harrison	Centerpoint Energy Inc	1/3/2005
05-02471-FOIA	Richard Harrison	Prudential Series Fund Inc	1/3/2005
05-02473-FOIA	Richard Harrison	NRM Investment Co	1/3/2005
05-02474-FOIA	Richard Harrison	Terra Industries Inc	1/3/2005
05-02475-FOIA	Richard Harrison	AMC Entertainment Inc.	1/3/2005
05-02476-FOIA	Richard Harrison	Scripps E W Co/DE	1/3/2005
05-02477-FOIA	Richard Harrison	ARM Holdings PLC	1/3/2005
05-02479-FOIA	Richard Harrison	ARTISAN Components Inc	1/3/2005
05-02480-FOIA	Richard Harrison	Houston Exploration Co	1/3/2005
05-02481-FOIA	Richard Harrison	USA Capital First Trust Deed Fund LLC	1/3/2005
05-02484-FOIA	Richard Harrison	Alliant Techsystems Inc	1/3/2005
05-02485-FOIA	Richard Harrison	VERINT Systems Inc	1/3/2005
05-02486-FOIA	Richard Harrison	Nissan Auto Receivables Corp II	1/3/2005
05-02491-FOIA	Richard Harrison	Intertape Polymer US Inc	1/3/2005
05-02492-FOIA	Richard Harrison	EQUINIX Inc.	1/3/2005
05-02493-FOIA	Richard Harrison	Vanguard Health Systems Inc	1/3/2005
05-02489-FOIA	Richard Harrison	Yellow Roadway Corp	1/3/2005
05-02496-FOIA	Richard Harrison	Telstra Corp Ltd	1/3/2005
05-02497-FOIA	Richard Harrison	Advanced Magnetics Inc	1/3/2005
05-02500-FOIA	Richard Harrison	Centene Corp	1/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02522-FOIA	J. Patrick Gavin	Affiliated Computer Services, Inc.	1/4/2005
05-00049-APPS	J. Patrick Gavin	Biopure Corporation	1/4/2005
05-00050-APPS	J. Patrick Gavin	Broadcom Corporation	1/4/2005
05-02504-FOIA	J. Patrick Gavin	Barr Pharmaceuticals, Inc f.k.a Barr Laboratories Inc.	1/4/2005
05-02506-FOIA	J. Patrick Gavin	United States Cellular Corporation	1/4/2005
05-02508-FOIA	J. Patrick Gavin	TeleTech Holdings, Inc.	1/4/2005
05-02509-FOIA	J. Patrick Gavin	Providian Financial Corp.	1/4/2005
05-02511-FOIA	J. Patrick Gavin	NATCO Group, Inc.	1/4/2005
05-02513-FOIA	J. Patrick Gavin	Herley Industries, Inc.	1/4/2005
05-02515-FOIA	J. Patrick Gavin	Computer Sciences Corporation	1/4/2005
05-02517-FOIA	J. Patrick Gavin	Cardinal Health, Inc.	1/4/2005
05-02518-FOIA	J. Patrick Gavin	Burlington Resources, Inc.	1/4/2005
05-02503-FOIA	John Hechinger	Edward D. Jones & Co.	1/4/2005
05-02519-FOIA	Clint Binkley	DSC Managers LLC	1/4/2005
05-02520-FOIA	Clint Binkley	DSC Advisors, LP	1/4/2005
05-02521-FOIA	Clint Binkley	Andrew G. Bluhm	1/4/2005
05-02516-FOIA	Clint Binkley	Delaware Street Capital Master Fund, LP	1/4/2005
05-02514-FOIA	Clint Binkley	Delaware Street Capital Offshore, Ltd.	1/4/2005
05-02512-FOIA	Clint Binkley	Delaware Street Capital II, LP	1/4/2005
05-02510-FOIA	Clint Binkley	Delaware Street Capital, LP	1/4/2005
05-02507-FOIA	Mark Mierzejewski	Avenue Capital Group, LLC	1/4/2005
05-02505-FOIA	Mark Mierzejewski	Tiedmann Investment Group	1/4/2005
05-02527-FOIA	Mark Mierzejewski	Capstone & Company LLC	1/5/2005
05-02524-FOIA	Maureen Fitzpatrick	Shire Pharmaceuticals Group PLC	1/5/2005
05-02528-FOIA	Maureen Fitzpatrick	ZymoGenetics, Inc.	1/5/2005
05-02534-FOIA	Maureen Fitzpatrick	SanDisk Corporation	1/5/2005
05-02532-FOIA	Maureen Fitzpatrick	Garmin Ltd.	1/5/2005
05-02526-FOIA	Maureen Fitzpatrick	Vasogen, Inc.	1/5/2005
05-02533-FOIA	Mike Lipman	The Global Securities Market Neutral Fund	1/5/2005
05-02535-FOIA	Mike Lipman	Global Securities Group Funds SPC	1/5/2005
05-02536-FOIA	Mike Lipman	Marco Santamaria	1/5/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02523-FOIA	Mike Lipman	Global Securities Advisors, LLC	1/5/2005
05-02538-FOIA	Mike Lipman	Global Securities Holdings	1/5/2005
05-02539-FOIA	Mike Lipman	Costas C. Hamakiotes	1/5/2005
05-02525-FOIA	Neil Weinberg	The Webb Report on former NYSE Chariman Richard Grasso	1/5/2005
05-02529-FOIA	Kevin Rudiger	Elan Pharmaceuticals	1/5/2005
05-02530-FOIA	Felice Miller	Hillenbrand Industries a.k.a. Batesville Casket Company	1/5/2005
05-02531-FOIA	Dain Landon	Ivax Corp.	1/5/2005
05-02537-FOIA	Mary Smith	Washington Mutual Home Loans	1/5/2005
05-01136-PA	Richard Collins	Richard J. Collins	1/5/2005
05-02541-FOIA	Donna Sanderson	Countrywide	1/5/2005
05-02540-FOIA	J. Patrick Gavin	Affiliated Computer Services, Inc.	1/5/2005
05-00051-APPS	J. Patrick Gavin	Veritas Software Corporation	1/5/2005
05-02543-FOIA	J. Patrick Gavin	Astec Industries, Inc.	1/6/2005
05-02545-FOIA	J. Patrick Gavin	Maxtor Corporation	1/6/2005
05-02547-FOIA	J. Patrick Gavin	LeapFrog Enterprises, Inc.	1/6/2005
05-02549-FOIA	J. Patrick Gavin	Commercial Metals Company	1/6/2005
05-02552-FOIA	J. Patrick Gavin	Isonics Corporation	1/6/2005
05-02554-FOIA	J. Patrick Gavin	eResearch Technology	1/6/2005
05-02556-FOIA	J. Patrick Gavin	Delphi Corporation formerly known as Delphi Automotive Systems Corporation	1/6/2005
05-02559-FOIA	J. Patrick Gavin	Princeton Review, Inc.	1/6/2005
05-02564-FOIA	J. Patrick Gavin	Nautilus Group, Inc.	1/6/2005
05-02567-FOIA	J. Patrick Gavin	Jakks Pacific, Inc.	1/6/2005
05-02542-FOIA	Marshall Black	Halifax Corporation	1/6/2005
05-02544-FOIA	Elizabeth Lin	First Virtual Communications, Inc.	1/6/2005
05-02546-FOIA	Kristen Adams	Steven Ristau	1/6/2005
05-02548-FOIA	Elizabeth Fulk	All FOIA Requests	1/6/2005
05-02550-FOIA	Eric King	VA # DHA-05-012 Information Technology Specialist	1/6/2005
05-02551-FOIA	Eric King	VA# DHA-04-010 Information Technology Specialist	1/6/2005
05-02555-FOIA	Eric King	SEC vacancy announcement DEU-04-107	1/6/2005
05-02557-FOIA	Ben Davis	Cognis Capital	1/6/2005
05-02561-FOIA	Ben Davis	John Sullivan	1/6/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02562-FOIA	Ben Davis	Cognis I Master Fund, LP	1/6/2005
05-02563-FOIA	Ben Davis	Cognis I Fund LP	1/6/2005
05-02565-FOIA	Ben Davis	Paul Holloway	1/6/2005
05-02566-FOIA	Ben Davis	Cognis General Partner	1/6/2005
05-02553-FOIA	Ben Davis	Myra Tabor	1/6/2005
05-02560-FOIA	Darren Sherman	SEC Fair Value Report	1/6/2005
05-02558-FOIA	Mark Mierzejewski	Third Point Management Company LLC	1/6/2005
05-02572-FOIA	Mark Mierzejewski	Trellus Management Company, LLC	1/7/2005
05-02573-FOIA	Maureen Fitzpatrick	Comverse Technology, Inc.	1/7/2005
05-02588-FOIA	Maureen Fitzpatrick	Protein Design Labs, Inc.	1/7/2005
05-02583-FOIA	Maureen Fitzpatrick	Knight Trading Group, Inc	1/7/2005
05-02584-FOIA	Maureen Fitzpatrick	Anheuser Busch Companies, Inc.	1/7/2005
05-02577-FOIA	Maureen Fitzpatrick	Starbucks Corporation	1/7/2005
05-02570-FOIA	Carolyn Canville	Taser International	1/7/2005
05-02571-FOIA	Elizabeth Tsai	Litman & Gregory	1/7/2005
05-02578-FOIA	Elizabeth Tsai	Craig Kellor	1/7/2005
05-02581-FOIA	Elizabeth Tsai	Chris Wheaton	1/7/2005
05-02575-FOIA	Mark Amsden	Form 13F Filers	1/7/2005
05-02589-FOIA	Meredith Sellers	Barry Allan	1/7/2005
05-02595-FOIA	Meredith Sellers	Marret Master Fund	1/7/2005
05-02596-FOIA	Meredith Sellers	Marret Fund	1/7/2005
05-02593-FOIA	Meredith Sellers	Marret US Fund	1/7/2005
05-02591-FOIA	Meredith Sellers	Marret Capital Management	1/7/2005
05-02587-FOIA	Meredith Sellers	Gregory Foss	1/7/2005
05-02585-FOIA	Meredith Sellers	Marret High Yield	1/7/2005
05-02568-FOIA	Meredith Sellers	Marret Asset Management	1/7/2005
05-02569-FOIA	J. Patrick Gavin	IndyMac Bancorp, Inc.	1/7/2005
05-02574-FOIA	J. Patrick Gavin	Wolverine Table	1/7/2005
05-02576-FOIA	J. Patrick Gavin	Dyax Corp.	1/7/2005
05-02579-FOIA	J. Patrick Gavin	Perrigo Company	1/7/2005
05-02580-FOIA	J. Patrick Gavin	Gulf Island Fabrication, Inc.	1/7/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02582-FOIA	J. Patrick Gavin	Hewitt Associates	1/7/2005
05-02586-FOIA	J. Patrick Gavin	Onyx Pharmaceuticals, Inc.	1/7/2005
05-02590-FOIA	J. Patrick Gavin	Isolagen, Inc.	1/7/2005
05-02592-FOIA	J. Patrick Gavin	Triquint Semiconductor, Inc.	1/7/2005
05-02594-FOIA	J. Patrick Gavin	AC Moore Arts & Crafts, Inc.	1/7/2005
05-02597-FOIA	Richard Harrison	Princeton Review, Inc.	1/10/2005
05-02599-FOIA	Richard Harrison	Encore Capital Group, Inc.	1/10/2005
05-02600-FOIA	Richard Harrison	Sequiam Corp.	1/10/2005
05-02601-FOIA	Richard Harrison	Teletech Holdings, Inc.	1/10/2005
05-02602-FOIA	Richard Harrison	Calypte Biomedical Corp.	1/10/2005
05-02604-FOIA	Richard Harrison	Reinsurance Group America, Inc.	1/10/2005
05-02605-FOIA	Richard Harrison	Energy & Engine Technology Corp.	1/10/2005
05-02606-FOIA	Richard Harrison	Cambridge Display Technology, Inc.	1/10/2005
05-02607-FOIA	Richard Harrison	Chemokine Therapeutics Corp.	1/10/2005
05-02609-FOIA	Richard Harrison	S&P 500 Geared Fund Inc.	1/10/2005
05-02610-FOIA	Richard Harrison	Antigenics, Inc.	1/10/2005
05-02611-FOIA	Richard Harrison	Antigenetics, Inc.	1/10/2005
05-02612-FOIA	Richard Harrison	Powerhouse Technologies Group, Inc.	1/10/2005
05-02617-FOIA	Richard Harrison	Sound Revolution, Inc.	1/10/2005
05-02618-FOIA	Richard Harrison	Lanooptics, Ltd.	1/10/2005
05-02619-FOIA	Richard Harrison	S.E. Asia Trading Company, Inc	1/10/2005
05-02621-FOIA	Richard Harrison	BELDEN & BLAKE CORP /OH/	1/10/2005
05-02622-FOIA	Richard Harrison	Defined Strategy Fund, Inc.	1/10/2005
05-02623-FOIA	Richard Harrison	Priceline.Com Inc	1/10/2005
05-02624-FOIA	Richard Harrison	Energy & Engine Technology Corp.	1/10/2005
05-02625-FOIA	Richard Harrison	Kearny Financial Corp.	1/10/2005
05-02632-FOIA	Richard Harrison	MSC Software Corp	1/10/2005
05-02633-FOIA	Richard Harrison	Renal Care Group Inc	1/10/2005
05-02634-FOIA	Richard Harrison	Fulton Financial Corp.	1/10/2005
05-02635-FOIA	Richard Harrison	Integrity Funds	1/10/2005
05-02636-FOIA	Richard Harrison	Prudential Series Fund, Inc.	1/10/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02637-FOIA	Richard Harrison	Omnicell Inc /CA/	1/10/2005
05-02638-FOIA	Richard Harrison	Prudential Series Fund, Inc.	1/10/2005
05-02639-FOIA	Richard Harrison	Strategic Partners Style Specific Funds	1/10/2005
05-02640-FOIA	Richard Harrison	Navisite Inc	1/10/2005
05-02641-FOIA	Richard Harrison	Strategic Partners Style Specific Funds	1/10/2005
05-02642-FOIA	Richard Harrison	AXA Enterprise Funds Trust	1/10/2005
05-02643-FOIA	Richard Harrison	VLC Trust	1/10/2005
05-02644-FOIA	Richard Harrison	Parkvale Financial Corp.	1/10/2005
05-02645-FOIA	Richard Harrison	Fifth Third Bancorp	1/10/2005
05-02647-FOIA	Richard Harrison	Central Valley Community Bancorp	1/10/2005
05-02648-FOIA	Richard Harrison	Peoples Holding Co.	1/10/2005
05-02649-FOIA	Richard Harrison	Quigley Corp	1/10/2005
05-02650-FOIA	Richard Harrison	Lanoptics LTD	1/10/2005
05-02651-FOIA	Richard Harrison	Dyneco Corp	1/10/2005
05-02652-FOIA	Richard Harrison	Oglebay Norton Co /Ohio/	1/10/2005
05-02627-FOIA	Richard Harrison	Novell Inc	1/10/2005
05-02628-FOIA	Richard Harrison	Inland Western Retail Real Estate Trust, Inc.	1/10/2005
05-02629-FOIA	Richard Harrison	Valley Commerce Bancorp	1/10/2005
05-02630-FOIA	Richard Harrison	Kolorfusion International, Inc.	1/10/2005
05-02654-FOIA	Richard Harrison	First Washington Financial Corp	1/10/2005
05-02655-FOIA	Richard Harrison	Rogers Communications Inc	1/10/2005
05-02656-FOIA	Richard Harrison	Bancorpsouth Inc	1/10/2005
05-02657-FOIA	Richard Harrison	Bellsouth Inc	1/10/2005
05-02659-FOIA	Richard Harrison	Advance Financial Bancorp	1/10/2005
05-02660-FOIA	Richard Harrison	Heritage Financial Holdings	1/10/2005
05-02661-FOIA	Richard Harrison	First National Bankshares of Florida Inc	1/10/2005
05-02662-FOIA	Richard Harrison	Rogers Wireless Communications Inc	1/10/2005
05-02614-FOIA	Richard Harrison	Pinnacle Foods Group, Inc.	1/10/2005
05-02615-FOIA	Richard Harrison	Gartmore Market Neutral Fund, LLC	1/10/2005
05-02598-FOIA	Meredith Sellers	Fortress Investment Group	1/10/2005
05-02603-FOIA	Meredith Sellers	Peter L. Briger Jr.	1/10/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02616-FOIA	Meredith Sellers	Drawbridge Special Opportunities	1/10/2005
05-02608-FOIA	Chris Clair	D.E. Shaw & Co, Inc.	1/10/2005
05-02613-FOIA	Paula Hill	Rocker Partners	1/10/2005
05-02646-FOIA	James Kemp	First Franklin Financial Corporation	1/10/2005
05-02620-FOIA	James Kemp	Saxon Mortgage Services, Inc.	1/10/2005
05-02626-FOIA	Paul Rutten	Option One Mortgage Corporation	1/10/2005
05-02631-FOIA	Paul Rutten	Homefield Financial Inc	1/10/2005
05-02653-FOIA	Mary Holway	SEC Enforcement Manual	1/10/2005
05-02658-FOIA	Lisa Grundler	GMAC Mortgage Corp	1/10/2005
05-02663-FOIA	Erica Torre	Jakks Pacific	1/11/2005
05-02664-FOIA	Morris Shamouni	Residential Mortgage Assistance Enterprise, LLC	1/11/2005
05-02679-FOIA	Morris Shamouni	Novelle Financial Services	1/11/2005
05-02673-FOIA	Morris Shamouni	Accredited Home Lenders, Inc.	1/11/2005
05-02682-FOIA	George Royal	Home Servicing	1/11/2005
05-02685-FOIA	Karen Miller	Fifth Third Bank, N. A.	1/11/2005
05-02692-FOIA	Carol Kidd	Countrywide Howm	1/11/2005
05-02700-FOIA	Lee Blaylock	Registered Investment Advisors	1/11/2005
05-02701-FOIA	Maureen Fitzpatrick	Weyerhaeuser Co.	1/11/2005
05-02698-FOIA	James Kemp	Wells Fargo Bank Minnesota, N.A	1/11/2005
05-02680-FOIA	Ben Davis	Thinkstrategy Capital Management LLC	1/11/2005
05-02681-FOIA	Ben Davis	Chetan Kapur	1/11/2005
05-02678-FOIA	Ben Davis	Thinkstrategy Capital Fund, LP	1/11/2005
05-02667-FOIA	Mike Lipman	Allan A. Brown	1/11/2005
05-02668-FOIA	Mike Lipman	Concordia Distressed Debt Offshore Management, Ltd.	1/11/2005
05-02665-FOIA	Mike Lipman	Concordia Distressed Debt Fund	1/11/2005
05-02702-FOIA	Mike Lipman	Robert J. Capozzi	1/11/2005
05-02688-FOIA	Mike Lipman	Concordia Capital	1/11/2005
05-02683-FOIA	Mike Lipman	Concordia Advisors	1/11/2005
05-02690-FOIA	Clint Binkley	John Raymond Brecker	1/11/2005
05-02697-FOIA	Clint Binkley	Longacre Master Fund Ltd	1/11/2005
05-02694-FOIA	Clint Binkley	Longacre Management LLC	1/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02699-FOIA	Clint Binkley	Longacre Capital Partners LP	1/11/2005
05-02674-FOIA	Clint Binkley	Longacre Fund Management, LLC	1/11/2005
05-02675-FOIA	Clint Binkley	Vladimir Jelisavcic	1/11/2005
05-02676-FOIA	Clint Binkley	Longacre International, Ltd.	1/11/2005
05-02677-FOIA	Clint Binkley	Steven Scott Weissman	1/11/2005
05-02695-FOIA	Gary Aguirre	EEO Complaint	1/11/2005
05-02684-FOIA	Timothy Mulligan	Navigant International, Inc.	1/11/2005
05-02689-FOIA	Timothy Mulligan	CoActive Marketing Group, Inc.	1/11/2005
05-02686-FOIA	Greg Mazur	Capital One Financial Corporation	1/11/2005
05-02666-FOIA	Maureen Fitzpatrick	Chiron Corporation	1/11/2005
05-02669-FOIA	Maureen Fitzpatrick	CV Therapeutics, Inc.	1/11/2005
05-02670-FOIA	Maureen Fitzpatrick	Medicines Co	1/11/2005
05-02671-FOIA	Maureen Fitzpatrick	Paccar, Inc	1/11/2005
05-02672-FOIA	Mark Edwards	Iomed Inc	1/11/2005
05-02687-FOIA	Mark Edwards	Cor Therapeutics	1/11/2005
05-02691-FOIA	Mark Edwards	Biovail Corporation	1/11/2005
05-02693-FOIA	Mark Edwards	IDEXX Laboratories Inc	1/11/2005
05-02696-FOIA	Mark Edwards	Virus Research Institute Inc	1/11/2005
05-02719-FOIA	J. Patrick Gavin	Federated Investors, Inc.	1/12/2005
05-02720-FOIA	J. Patrick Gavin	Furniture Brands International, Inc.	1/12/2005
05-02721-FOIA	J. Patrick Gavin	hi/fn, inc.	1/12/2005
05-02717-FOIA	J. Patrick Gavin	Brush Engineered Materials, Inc.	1/12/2005
05-02723-FOIA	J. Patrick Gavin	Level 3 Communications, Inc.	1/12/2005
05-02704-FOIA	J. Patrick Gavin	Sabre Holdings Corporation	1/12/2005
05-02706-FOIA	J. Patrick Gavin	Skechers U.S.A, Inc.	1/12/2005
05-02708-FOIA	J. Patrick Gavin	Southern Michigan Bancorp, Inc.	1/12/2005
05-02713-FOIA	J. Patrick Gavin	Akamai Technologies, Inc.	1/12/2005
05-02715-FOIA	J. Patrick Gavin	Apartment Investment and Management Company	1/12/2005
05-02718-FOIA	Mark Mierzejewski	Sagecrest LLC	1/12/2005
05-02722-FOIA	Mark Mierzejewski	Windmill Management LLC	1/12/2005
05-02703-FOIA	Timothy Kelly	Michael A. Huber	1/12/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02707-FOIA	Becky Conover	Melissa (Siphron) Sohn	1/12/2005
05-02705-FOIA	Becky Conover	Alexa Williams	1/12/2005
05-02709-FOIA	Becky Conover	Peter D. Siphron	1/12/2005
05-02710-FOIA	Becky Conover	David C. Siphron	1/12/2005
05-02711-FOIA	Becky Conover	Siphron Capital Management	1/12/2005
05-02716-FOIA	Meredith Agen	Eyetechn Pharmaceuticals, Inc.	1/12/2005
05-02712-FOIA	Melanie Sloan	Ketchum Public Affairs & Fleischman-Hillard Public Affairs	1/12/2005
05-02714-FOIA	Brent Hyatt	National Securities Clearing Corporation	1/12/2005
05-02734-FOIA	Steven Lotz	Lawrence Powell and Delano N. Sta. Ana	1/13/2005
05-02736-FOIA	Mark Michel	James Warren Deweese, Richard James Ellis, Alain Chow, Mark Donald Rauch, James Allen Reyes, Eric Scott Schoeller, Leslie John Sundquist, James Robert Mariani	1/13/2005
05-02733-FOIA	Mark Mierzejewski	Larry N. Feinberg	1/13/2005
05-02728-FOIA	Mark Mierzejewski	Oracle Investment Management, Inc.	1/13/2005
05-02729-FOIA	Mark Mierzejewski	Richard A. Deitz	1/13/2005
05-02725-FOIA	Kirpal Singh	Silas Anthony, Jr.	1/13/2005
05-02740-FOIA	Mike Lipman	Concordia Management	1/13/2005
05-02741-FOIA	Mike Lipman	Concordia Offshore Management	1/13/2005
05-02739-FOIA	Maureen Fitzpatrick	Williams Co., Inc.	1/13/2005
05-02735-FOIA	Maureen Fitzpatrick	KLA-Tencor Corporation	1/13/2005
05-02730-FOIA	Maureen Fitzpatrick	Prudential Financial, Inc.	1/13/2005
05-02731-FOIA	Maureen Fitzpatrick	ChevronTexaco Corporation	1/13/2005
05-02732-FOIA	Maureen Fitzpatrick	Lam Research Corporation	1/13/2005
05-02742-FOIA	Patrick Walsh	LSP-Whitewater I Inc	1/13/2005
05-02743-FOIA	Patrick Walsh	LSP-Pike Energy LLC	1/13/2005
05-02726-FOIA	Patrick Walsh	LSP-Cottage Grove, Inc.	1/13/2005
05-02737-FOIA	Patrick Walsh	Mikhail S. Segal	1/13/2005
05-02738-FOIA	Patrick Walsh	Power Corporation a.k.a. LS Power, LLC	1/13/2005
05-02724-FOIA	Patrick Walsh	The Energy Systems Company, Inc.	1/13/2005
05-02727-FOIA	Patrick Walsh	Sheridan Co-Gen, Inc.	1/13/2005
05-00001-CONG	Thomas McCabe	Constituent Inquiry Hunnibell	1/13/2005
05-02748-FOIA	J. Patrick Gavin	Utstarcom, Inc.	1/14/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02754-FOIA	J. Patrick Gavin	Safeguard Scientifics, Inc.	1/14/2005
05-02755-FOIA	J. Patrick Gavin	Asstrom Biosciences Inc	1/14/2005
05-02761-FOIA	J. Patrick Gavin	Ryerson Tull, Inc.	1/14/2005
05-02766-FOIA	J. Patrick Gavin	Quovadx, Inc.	1/14/2005
05-02768-FOIA	J. Patrick Gavin	Business Objects S.A.	1/14/2005
05-02770-FOIA	J. Patrick Gavin	Novatel Wireless, Inc.	1/14/2005
05-02771-FOIA	J. Patrick Gavin	Molecular Devices Corporation	1/14/2005
05-02778-FOIA	J. Patrick Gavin	Magna Entertainment Corp.	1/14/2005
05-02779-FOIA	J. Patrick Gavin	Anchor Glass Container Corporation	1/14/2005
05-02782-FOIA	J. Patrick Gavin	Chiquita Brands International Inc	1/14/2005
05-02783-FOIA	J. Patrick Gavin	Candela Corporation	1/14/2005
05-02787-FOIA	J. Patrick Gavin	Columbia Laboratories Inc	1/14/2005
05-02790-FOIA	J. Patrick Gavin	Ditech Communications Corporation	1/14/2005
05-02791-FOIA	J. Patrick Gavin	Cryolife, Inc	1/14/2005
05-02793-FOIA	J. Patrick Gavin	Impax Laboratories Inc	1/14/2005
05-02794-FOIA	J. Patrick Gavin	Greg Manning Auctions Inc	1/14/2005
05-02795-FOIA	J. Patrick Gavin	Jupitermedia Corporation	1/14/2005
05-02796-FOIA	J. Patrick Gavin	Jos. A. Bank Clothiers, Inc	1/14/2005
05-02797-FOIA	J. Patrick Gavin	IVAX Corporation	1/14/2005
05-02746-FOIA	Wade McKnight	Horizon Asset Management, Inc.	1/14/2005
05-02757-FOIA	GAIL AIRD	Pre-Paid Legal Services Inc	1/14/2005
05-02756-FOIA	Mark Mierzejewski	Jeffrey L. Peskind	1/14/2005
05-02749-FOIA	Mark Mierzejewski	Phoenix Investment Advisors LLC	1/14/2005
05-02745-FOIA	Francine Needham	American Century	1/14/2005
05-02744-FOIA	Tim Rice	Procurement Contracts	1/14/2005
05-02750-FOIA	Walter Brauer	Reams Asset Management Company	1/14/2005
05-02772-FOIA	Pamela Bell	Jefferl L Johnson	1/14/2005
05-02753-FOIA	Becky Conover	Richard Stahmer	1/14/2005
05-02747-FOIA	Becky Conover	Robert J. Ravitz	1/14/2005
05-02759-FOIA	Becky Conover	David J. Greene and Company	1/14/2005
05-02764-FOIA	Becky Conover	Michael C. Greene	1/14/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02765-FOIA	Becky Conover	Pong Chan	1/14/2005
05-02769-FOIA	Becky Conover	Lee D. Unterman	1/14/2005
05-02776-FOIA	Becky Conover	James R. Greene	1/14/2005
05-02777-FOIA	Becky Conover	JORDAN F. POSNER	1/14/2005
05-02774-FOIA	Becky Conover	Stanley G. Lee	1/14/2005
05-02781-FOIA	Becky Conover	Jack Estes	1/14/2005
05-02785-FOIA	Becky Conover	ERWIN A ZEUSCHNER	1/14/2005
05-02786-FOIA	Becky Conover	E. Clarissa Moore	1/14/2005
05-02789-FOIA	Becky Conover	BENJAMIN H. NAHUM	1/14/2005
05-02792-FOIA	Becky Conover	Alan I. Greene	1/14/2005
05-02751-FOIA	Maureen Fitzpatrick	Intel Corporation	1/14/2005
05-02752-FOIA	Maureen Fitzpatrick	Avigen Inc	1/14/2005
05-02758-FOIA	Maureen Fitzpatrick	NiSource, Inc.	1/14/2005
05-02762-FOIA	Maureen Fitzpatrick	Ford Motor Co.	1/14/2005
05-02760-FOIA	Maureen Fitzpatrick	USFreightways Corporation	1/14/2005
05-02767-FOIA	Ben Davis	WD Offshore Fund, Ltd.	1/14/2005
05-02763-FOIA	Ben Davis	James H. Gellert	1/14/2005
05-02788-FOIA	Ben Davis	WD Partnership, LP	1/14/2005
05-02784-FOIA	Ben Davis	Windcrest Discovery Investments LLC	1/14/2005
05-02780-FOIA	Ben Davis	David J. Edwards	1/14/2005
05-02773-FOIA	Ben Davis	Windcrest Discovery GP, LLC	1/14/2005
05-02775-FOIA	Ben Davis	Robert M Zech	1/14/2005
05-02811-FOIA	Michael Piacsek	Bargaining unit employees information	1/18/2005
05-02812-FOIA	Charles Fleming	Stock Surveillance Firms	1/18/2005
05-02830-FOIA	Michael Lazerwitz	Occidental Petroleum Corp	1/18/2005
05-02843-FOIA	Kim Diddio	Ernst & Young	1/18/2005
05-02814-FOIA	Mark Mierzejewski	Contrarian Capital Management LLC	1/18/2005
05-02834-FOIA	Mark Mierzejewski	Jon R. Bauer	1/18/2005
05-02828-FOIA	Clint Binkley	graham Global Investment Fund, Ltd	1/18/2005
05-02817-FOIA	Clint Binkley	Robert C. Hill	1/18/2005
05-02872-FOIA	Clint Binkley	Graham Global Investment Fund II, Ltd.	1/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02846-FOIA	Clint Binkley	Steven T. Aibel	1/18/2005
05-02837-FOIA	Clint Binkley	Xin-yun Zhang	1/18/2005
05-02860-FOIA	Clint Binkley	Fred J. Levin	1/18/2005
05-02866-FOIA	Clint Binkley	Graham Capital Management, LP	1/18/2005
05-02798-FOIA	Greg LaRoche	Broker-dealers	1/18/2005
05-00054-APPS	Brian Dunn	RMS Titanic Inc	1/18/2005
05-00055-APPS	Brian Dunn	RMS Titanic Inc	1/18/2005
05-02799-FOIA	Sean Harrington	AMED	1/18/2005
05-02827-FOIA	Ellie Baker	Fleming Companies	1/18/2005
05-02809-FOIA	DAVID WILLIAMS	Equity Exchange Corporation	1/18/2005
05-02800-FOIA	Michael Spence	Rhodric C. Hackman	1/18/2005
05-02801-FOIA	Michael Spence	Mercator Partners Acquisition	1/18/2005
05-02816-FOIA	Michael Spence	Mercator Capital	1/18/2005
05-02829-FOIA	Michael Spence	Comsat International	1/18/2005
05-02845-FOIA	Michael Spence	Universal Telecommunications, Inc.	1/18/2005
05-02855-FOIA	Michael Spence	Lior Samuelson	1/18/2005
05-02867-FOIA	Michael Spence	Hugh Brian Thompson	1/18/2005
05-02861-FOIA	Michael Spence	David Ballarini	1/18/2005
05-00056-APPS	J. Patrick Gavin	Circuit City Stores Inc	1/18/2005
05-00057-APPS	J. Patrick Gavin	Tribune Co.	1/18/2005
05-00058-APPS	J. Patrick Gavin	Mercury Interactive Corporation	1/18/2005
05-00059-APPS	J. Patrick Gavin	Culp Inc	1/18/2005
05-00060-APPS	J. Patrick Gavin	American Standard Companies Inc	1/18/2005
05-02802-FOIA	Richard Harrison	Arrow Magnolia Internationa Inc	1/18/2005
05-02803-FOIA	Richard Harrison	Oracle Corp /DE/	1/18/2005
05-02804-FOIA	Richard Harrison	St. Jude Medical Inc	1/18/2005
05-02805-FOIA	Richard Harrison	Pierre Foods, Inc.	1/18/2005
05-02806-FOIA	Richard Harrison	Tully's Coffee Corp.	1/18/2005
05-02807-FOIA	Richard Harrison	Stratus Services Group, Inc.	1/18/2005
05-02808-FOIA	Richard Harrison	General Growth Properties, Inc.	1/18/2005
05-02818-FOIA	Richard Harrison	Elinear, Inc.	1/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02819-FOIA	Richard Harrison	Lord Abbett Series Fund Inc	1/18/2005
05-02820-FOIA	Richard Harrison	Consult America, Inc.	1/18/2005
05-02821-FOIA	Richard Harrison	Community Central Bank Corp	1/18/2005
05-02822-FOIA	Richard Harrison	Mystic Financial, Inc.	1/18/2005
05-02823-FOIA	Richard Harrison	Zions Bancorporation /UT/	1/18/2005
05-02824-FOIA	Richard Harrison	Hanover Foods Corp.	1/18/2005
05-02825-FOIA	Richard Harrison	Old National Bancorp	1/18/2005
05-02826-FOIA	Richard Harrison	Elite Pharmaceuticals Inc	1/18/2005
05-02810-FOIA	Richard Harrison	Morgan Stanley American Franchise Fund	1/18/2005
05-02813-FOIA	Richard Harrison	PIMCO Variable Insurance Trust	1/18/2005
05-02815-FOIA	Richard Harrison	Mainstay Funds	1/18/2005
05-02831-FOIA	Richard Harrison	Memberworks, Inc.	1/18/2005
05-02832-FOIA	Richard Harrison	Pioneer Floating Rate Trust	1/18/2005
05-02833-FOIA	Richard Harrison	Capital One Financial Corp.	1/18/2005
05-02838-FOIA	Richard Harrison	Endocardial Solutions, Inc.	1/18/2005
05-02839-FOIA	Richard Harrison	Pimco Floating Rate Strategy Fund	1/18/2005
05-02840-FOIA	Richard Harrison	Milstream II Aquisition Corp	1/18/2005
05-02841-FOIA	Richard Harrison	Insight Health Services Holdings Corp	1/18/2005
05-02842-FOIA	Richard Harrison	USA Technologies Inc	1/18/2005
05-02848-FOIA	Richard Harrison	Onyx Acceptance Corp	1/18/2005
05-02849-FOIA	Richard Harrison	American Financial Realty Trust	1/18/2005
05-02850-FOIA	Richard Harrison	Vista Gold Corp	1/18/2005
05-02851-FOIA	Richard Harrison	Westside Energy Corp	1/18/2005
05-02852-FOIA	Richard Harrison	Peoplesoft, Inc.	1/18/2005
05-02853-FOIA	Richard Harrison	The 9 Ltd	1/18/2005
05-02854-FOIA	Richard Harrison	Petrohawk Energy Corp	1/18/2005
05-02835-FOIA	Richard Harrison	Nortek Inc	1/18/2005
05-02836-FOIA	Richard Harrison	Brookline Bancorp, Inc.	1/18/2005
05-02844-FOIA	Richard Harrison	International Shipholding Corp	1/18/2005
05-02856-FOIA	Richard Harrison	American Access Technologies, Inc.	1/18/2005
05-02857-FOIA	Richard Harrison	Bio Key International, Inc.	1/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02858-FOIA	Richard Harrison	Daimler Chrysler Wholesale Receivables, LLC	1/18/2005
05-02859-FOIA	Richard Harrison	Foster Wheeler Ltd.	1/18/2005
05-02862-FOIA	Richard Harrison	Nektar Therapeutics	1/18/2005
05-02863-FOIA	Richard Harrison	Reese Corp.	1/18/2005
05-02864-FOIA	Richard Harrison	Midnet, Inc.	1/18/2005
05-02865-FOIA	Richard Harrison	Chemokine Therapeutics Corp.	1/18/2005
05-02868-FOIA	Richard Harrison	Axion Power International, Inc.	1/18/2005
05-02869-FOIA	Richard Harrison	Whittier Energy Corp.	1/18/2005
05-02870-FOIA	Richard Harrison	Steel Technologies, Inc.	1/18/2005
05-02871-FOIA	Richard Harrison	Encore Capital Group, Inc.	1/18/2005
05-02873-FOIA	Richard Harrison	Oxford Ventures, Inc.	1/18/2005
05-02874-FOIA	Richard Harrison	Lease Equity Appreciation Fund, II L.P.	1/18/2005
05-02875-FOIA	Richard Harrison	Adaptec, Inc.	1/18/2005
05-02876-FOIA	Richard Harrison	Berkshire Hathaway Finance Corp	1/18/2005
05-00061-APPS	J. Patrick Gavin	Symbol Technologies Inc	1/19/2005
05-02881-FOIA	J. Patrick Gavin	The Advisory Board Company	1/19/2005
05-02882-FOIA	J. Patrick Gavin	Biomet, Inc.	1/19/2005
05-02883-FOIA	J. Patrick Gavin	CenterPoint Energy, Inc.	1/19/2005
05-00062-APPS	J. Patrick Gavin	General Electric Company	1/19/2005
05-02878-FOIA	J. Patrick Gavin	King Pharmaceuticals, Inc.	1/19/2005
05-02879-FOIA	J. Patrick Gavin	Hormel Foods Corporation	1/19/2005
05-02885-FOIA	J. Patrick Gavin	Portfolio Recovery Associates, Inc.	1/19/2005
05-00063-APPS	J. Patrick Gavin	Engelhard Corporation	1/19/2005
05-02887-FOIA	J. Patrick Gavin	Navistar International Corporation	1/19/2005
05-02889-FOIA	J. Patrick Gavin	Navarre Corporation	1/19/2005
05-02891-FOIA	J. Patrick Gavin	Manor Care, Inc.	1/19/2005
05-02892-FOIA	Laura Triay	Bryan Paul Caisse	1/19/2005
05-02890-FOIA	Laura Triay	Gary Alan Lieberman	1/19/2005
05-02888-FOIA	Laura Triay	West Side Advisors, LLC	1/19/2005
05-02880-FOIA	Daniel Solin	Mandatory Arbitration System from NASD	1/19/2005
05-02884-FOIA	J. Purgason	Louie G. Sinclair	1/19/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02886-FOIA	Gary Levin	Vince Tomera	1/19/2005
05-02893-FOIA	Ben Davis	Cognis Capital S.A.R.L.	1/19/2005
05-02877-FOIA	Ben Davis	Cognis I Fund	1/19/2005
05-02933-FOIA	Maureen Fitzpatrick	Schein Henry, Inc.	1/21/2005
05-02927-FOIA	Maureen Fitzpatrick	United Technologies Corporation	1/21/2005
05-02917-FOIA	Maureen Fitzpatrick	Biogen Idec, Inc.	1/21/2005
05-02923-FOIA	Maureen Fitzpatrick	XOMA Ltd.	1/21/2005
05-02912-FOIA	Maureen Fitzpatrick	Bristol Myers Squibb Co.	1/21/2005
05-02914-FOIA	Ben Davis	Santo Volpe	1/21/2005
05-02936-FOIA	Ben Davis	Eden Rock Finance Fund, LP	1/21/2005
05-02937-FOIA	Ben Davis	Solid Rock Management Limited	1/21/2005
05-02932-FOIA	Ben Davis	David Moore	1/21/2005
05-02939-FOIA	Ben Davis	Sky Bell Asset Management, LLC	1/21/2005
05-02940-FOIA	Ben Davis	Eden Rock Capital Management Limited	1/21/2005
05-02901-FOIA	Andrea Williams	MCSI, Inc.	1/21/2005
05-02908-FOIA	Andrew DePeau	Sean T. Dany	1/21/2005
05-02905-FOIA	Andrew DePeau	Gruss & Company	1/21/2005
05-02929-FOIA	Russ Kick	Homepage of the SEC's Intranet Site	1/21/2005
05-02895-FOIA	Mark Mierzejewski	Fertilemind Capital	1/21/2005
05-02896-FOIA	Mark Mierzejewski	Aram Fuchs	1/21/2005
05-02909-FOIA	Mark Mierzejewski	Kilkenny Capital Management, LLC	1/21/2005
05-02922-FOIA	Mark Mierzejewski	Michael P. Walsh	1/21/2005
05-02894-FOIA	Christopher Paulin	Eric Bart Haber	1/21/2005
05-02926-FOIA	Guy Simonian	Anthony W. Soslow	1/21/2005
05-02913-FOIA	Guy Simonian	Global Capital Management	1/21/2005
05-02906-FOIA	Meredith Sellers	EOS Partners	1/21/2005
05-02910-FOIA	Meredith Sellers	EOS Credit Opportunities	1/21/2005
05-02930-FOIA	Meredith Sellers	Matthew M. Meehan	1/21/2005
05-02903-FOIA	Meredith Sellers	Tal Gurion	1/21/2005
05-02899-FOIA	Meredith Sellers	EOS Management	1/21/2005
05-02897-FOIA	J. Patrick Gavin	Omnicom Group, Inc.	1/21/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02898-FOIA	J. Patrick Gavin	School Speciality, Inc.	1/21/2005
05-02900-FOIA	J. Patrick Gavin	InfoSpace, Inc.	1/21/2005
05-02902-FOIA	J. Patrick Gavin	Meridian Resource Corporation	1/21/2005
05-02938-FOIA	J. Patrick Gavin	Exxon Mobil Corporation	1/21/2005
05-02904-FOIA	J. Patrick Gavin	Sonic Innovations, Inc.	1/21/2005
05-02907-FOIA	J. Patrick Gavin	Univision Communications, Inc.	1/21/2005
05-02911-FOIA	J. Patrick Gavin	JAMDAT Mobile, Inc.	1/21/2005
05-02915-FOIA	J. Patrick Gavin	Exide Corporation	1/21/2005
05-02916-FOIA	J. Patrick Gavin	Genta Incorporated	1/21/2005
05-02918-FOIA	J. Patrick Gavin	Scansoft, Inc.	1/21/2005
05-02919-FOIA	J. Patrick Gavin	FuelCell Energy, Inc.	1/21/2005
05-02920-FOIA	J. Patrick Gavin	Concur Technologies, Inc.	1/21/2005
05-02921-FOIA	J. Patrick Gavin	Scansoft, Inc.	1/21/2005
05-02924-FOIA	J. Patrick Gavin	Claire's Store, Inc.	1/21/2005
05-02925-FOIA	J. Patrick Gavin	Northeast Utilities	1/21/2005
05-02928-FOIA	J. Patrick Gavin	Agilent Technologies, Inc.	1/21/2005
05-02931-FOIA	J. Patrick Gavin	Zix Corporation	1/21/2005
05-02935-FOIA	J. Patrick Gavin	Sirva, Inc.	1/21/2005
05-02941-FOIA	J. Patrick Gavin	Dendreon Corporation	1/21/2005
05-00064-APPS	J. Patrick Gavin	Maxtor Corporation	1/21/2005
05-00065-APPS	J. Patrick Gavin	AT&T Corp	1/21/2005
05-00066-APPS	J. Patrick Gavin	Ingersoll-Rand Co. Ltd.	1/21/2005
05-00067-APPS	J. Patrick Gavin	CenturyTel, Inc.	1/21/2005
05-00068-APPS	J. Patrick Gavin	iPayment Inc	1/21/2005
05-00069-APPS	J. Patrick Gavin	Analogic Corporation	1/21/2005
05-00070-APPS	J. Patrick Gavin	Namometrics Incorporated	1/21/2005
05-00071-APPS	J. Patrick Gavin	NBYT, Inc.	1/21/2005
05-00072-APPS	J. Patrick Gavin	Pacificare Health Systems	1/21/2005
05-00073-APPS	J. Patrick Gavin	Hanger Orthopedic Group	1/21/2005
05-00074-APPS	J. Patrick Gavin	Kinig Pharmaceuticals Inc	1/21/2005
05-00075-APPS	J. Patrick Gavin	Moody's Corporation	1/21/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00076-APPS	J. Patrick Gavin	Accredo Health Inc	1/21/2005
05-00077-APPS	J. Patrick Gavin	Per-Se Technologies	1/21/2005
05-00078-APPS	J. Patrick Gavin	Reebok International Ltd	1/21/2005
05-00079-APPS	J. Patrick Gavin	Imation Corp	1/21/2005
05-00080-APPS	J. Patrick Gavin	Investors Financial Services Corp	1/21/2005
05-00081-APPS	J. Patrick Gavin	EasyLink Services Corporation	1/21/2005
05-00082-APPS	J. Patrick Gavin	Drexler Technologies Corporation	1/21/2005
05-00083-APPS	J. Patrick Gavin	Knight-Ridder Inc	1/21/2005
05-00084-APPS	J. Patrick Gavin	Nokia Corporation	1/21/2005
05-00085-APPS	J. Patrick Gavin	Zhone Technologies, Inc.	1/21/2005
05-00086-APPS	J. Patrick Gavin	Albertson's Inc.	1/21/2005
05-00087-APPS	J. Patrick Gavin	Hayes Lemmerz International Inc	1/21/2005
05-02990-FOIA	Tim Brillon	Aftymetrix Inc	1/24/2005
05-02944-FOIA	Richard Harrison	Nuveen Equity Premium Opportunity Fund	1/24/2005
05-02945-FOIA	Richard Harrison	Miravant Medical Technologies	1/24/2005
05-02948-FOIA	Richard Harrison	BSI2000, Inc.	1/24/2005
05-02949-FOIA	Richard Harrison	ON2 Technologies, Inc.	1/24/2005
05-02952-FOIA	Richard Harrison	Supergen, Inc.	1/24/2005
05-02953-FOIA	Richard Harrison	Satellite Enterprises Corp.	1/24/2005
05-02957-FOIA	Richard Harrison	General Electric Co.	1/24/2005
05-02958-FOIA	Richard Harrison	Heartland Oil & Gas Corp.	1/24/2005
05-02960-FOIA	Richard Harrison	Jean Coutu Group	1/24/2005
05-02961-FOIA	Richard Harrison	Hudson River Bancorp Inc	1/24/2005
05-02962-FOIA	Richard Harrison	Uranium Power Corp.	1/24/2005
05-02963-FOIA	Richard Harrison	Waterford Wedgwood PLC	1/24/2005
05-02964-FOIA	Richard Harrison	IVP Technology Corp.	1/24/2005
05-02965-FOIA	Richard Harrison	CYTRX Corp.	1/24/2005
05-02966-FOIA	Richard Harrison	First Marblehead Corp.	1/24/2005
05-02967-FOIA	Richard Harrison	Siga Technologies, Inc.	1/24/2005
05-02968-FOIA	Richard Harrison	News America, Inc.	1/24/2005
05-02974-FOIA	Richard Harrison	Lamar Advertising Co/NEW	1/24/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02975-FOIA	Richard Harrison	First Niagara Financial Group, Inc.	1/24/2005
05-02976-FOIA	Richard Harrison	Timothy Plan	1/24/2005
05-02977-FOIA	Richard Harrison	Destination Funds	1/24/2005
05-02978-FOIA	Richard Harrison	Pimco Variable Insurance Trust	1/24/2005
05-02971-FOIA	Richard Harrison	Mercantile Bank & Trust FSB	1/24/2005
05-02972-FOIA	Richard Harrison	Royal Doulton PLC	1/24/2005
05-02983-FOIA	Richard Harrison	Stelmar Shipping Ltd.	1/24/2005
05-02984-FOIA	Richard Harrison	Overseas Shipholding Group Inc	1/24/2005
05-02986-FOIA	Richard Harrison	Texas Regional Bancshares Inc	1/24/2005
05-02988-FOIA	Richard Harrison	Warner Chilcott PLC	1/24/2005
05-02991-FOIA	Richard Harrison	Structured Asset Securities Corp	1/24/2005
05-02992-FOIA	Richard Harrison	Obie Media Corp	1/24/2005
05-02942-FOIA	Herminia Rodriguez	Century 21 Mortgage	1/24/2005
05-02943-FOIA	Michael White	CDEX, Inc.	1/24/2005
05-02947-FOIA	Raphael Ginsburg	Stanford Management Company	1/24/2005
05-02950-FOIA	Ronald Lord	GMAC Mortgage Corporation	1/24/2005
05-02954-FOIA	Sheila Blaxill	Option One Mortgage Corporation	1/24/2005
05-02955-FOIA	Christopher Swanson	Michael D. McClellan	1/24/2005
05-02959-FOIA	Rachel Lippmann	ImClone Systems	1/24/2005
05-02969-FOIA	Michele Blain-Olson	Litton Loan Servicing LP	1/24/2005
05-02970-FOIA	Tamara Forte	Long Beach Mortgage Company	1/24/2005
05-02973-FOIA	Philip Aplin	Ameriquest Mortgage	1/24/2005
05-02981-FOIA	Elba Guerra, Esq.	Felipe Nerei Piovanetti Garcia	1/24/2005
05-02979-FOIA	George Pafundi	First Security Bank, NA	1/24/2005
05-02985-FOIA	George Pafundi	Bank One, NA	1/24/2005
05-02989-FOIA	George Pafundi	Wells Fargo Bank NA	1/24/2005
05-02980-FOIA	Michele Blain-Olson	Finance America, LLC AKA OCWEN	1/24/2005
05-02946-FOIA	Andrea Williams	ClearOne Communications, Inc.	1/24/2005
05-02987-FOIA	Maureen Fitzpatrick	Edison International	1/24/2005
05-02951-FOIA	Maureen Fitzpatrick	Electronic Data Systems Corporation	1/24/2005
05-02956-FOIA	Maureen Fitzpatrick	Patterson-UTI Energy	1/24/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-02982-FOIA	Maureen Fitzpatrick	Sears, Roebuck & Co.	1/24/2005
05-03014-FOIA	Maureen Fitzpatrick	Burlington Northern Santa Fe Corporation	1/25/2005
05-03016-FOIA	Maureen Fitzpatrick	Geron Corporation	1/25/2005
05-02993-FOIA	Susan Lichtcsien	David R. Steinhardt	1/25/2005
05-03012-FOIA	Susan Lichtcsien	Wooster Advisors, LLC	1/25/2005
05-02995-FOIA	Maureen Fitzpatrick	Lucent Technologies, Inc.	1/25/2005
05-02996-FOIA	Maureen Fitzpatrick	Lockheed Martin Corporation	1/25/2005
05-02998-FOIA	Maureen Fitzpatrick	CuraGen Corporation	1/25/2005
05-03006-FOIA	David Valicenti	Global Crossing, Ltd.	1/25/2005
05-03008-FOIA	T.J. Leverte	Huntsman Corporation	1/25/2005
05-03018-FOIA	Christopher Paulin	Adcox Management, LLC	1/25/2005
05-03000-FOIA	Christopher Paulin	William Joseph Adcox	1/25/2005
05-03002-FOIA	Mark Mierzejewski	BPW Asset Management LLC	1/25/2005
05-03020-FOIA	Mark Mierzejewski	Emilio Bassini	1/25/2005
05-03019-FOIA	Patrick Walsh	David K. Reyes a.k.a. David K. "Duke" Reyes	1/25/2005
05-03001-FOIA	Patrick Walsh	Reyes Holdings	1/25/2005
05-03021-FOIA	Patrick Walsh	Joseph C. Reyes a.k.a. J. Christopher Reyes	1/25/2005
05-03015-FOIA	Patrick Walsh	Martin-Brower Management Corporation	1/25/2005
05-03017-FOIA	Patrick Walsh	Thomas A. Reyes	1/25/2005
05-03003-FOIA	Patrick Walsh	Martin-Brower Management Corporation	1/25/2005
05-03004-FOIA	Patrick Walsh	Michael J. Reyes a.k.a. M. Jude Reyes	1/25/2005
05-03005-FOIA	Patrick Walsh	James V. Reyes	1/25/2005
05-03010-FOIA	Derek Milewski	Blue Chips Stamps	1/25/2005
05-03011-FOIA	Michael Spence	BioDesign, Inc.	1/25/2005
05-03013-FOIA	Michael Spence	Phyllis Kay Wagoner	1/25/2005
05-03007-FOIA	Michael Spence	CorVu Corporation	1/25/2005
05-03009-FOIA	Michael Spence	David Carl Carlson	1/25/2005
05-02999-FOIA	Michael Spence	Richard David Katz	1/25/2005
05-02997-FOIA	Michael Spence	Justin MacKenzie Macintosh	1/25/2005
05-02994-FOIA	Michael Spence	Alan McKagen Missroon, Jr.	1/25/2005
05-03052-FOIA	Sharon Theimer	Any and all public relations firms	1/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03024-FOIA	J. Patrick Gavin	Proquest Company	1/26/2005
05-03025-FOIA	J. Patrick Gavin	Simple Tech, Inc.	1/26/2005
05-03027-FOIA	J. Patrick Gavin	Sirius Satellite Radio, Inc.	1/26/2005
05-03029-FOIA	J. Patrick Gavin	Tier Technologies, Inc.	1/26/2005
05-03033-FOIA	J. Patrick Gavin	Tier Technologies, Inc.	1/26/2005
05-03034-FOIA	J. Patrick Gavin	Satellite Radio Holdings Inc.	1/26/2005
05-03039-FOIA	J. Patrick Gavin	Bausch Lomb Incorporated	1/26/2005
05-03043-FOIA	J. Patrick Gavin	Time Fitness, Inc.	1/26/2005
05-03046-FOIA	J. Patrick Gavin	Noble Corporation	1/26/2005
05-03048-FOIA	J. Patrick Gavin	Pepsico, Inc.	1/26/2005
05-03051-FOIA	J. Patrick Gavin	Directv Group, Inc.	1/26/2005
05-00088-APPS	Glen Schultz	JP Morgan Gary Vaughn Garabedian	1/26/2005
05-03035-FOIA	Randy Herschaft	Google, Inc.	1/26/2005
05-03031-FOIA	Randy Herschaft	Asset Acceptance Capital Corp.	1/26/2005
05-03032-FOIA	Randy Herschaft	Encore Capital Group	1/26/2005
05-03026-FOIA	Christopher Paulin	Highline Capital Management	1/26/2005
05-03030-FOIA	Christopher Paulin	Jacob W. Doft	1/26/2005
05-03022-FOIA	Vivian Fontillas	Houston-based Enron Corporation	1/26/2005
05-03037-FOIA	Martin Mills	Fifth Third Bancorp of Cincinnati, OH	1/26/2005
05-03049-FOIA	Martin Mills	Founders Trust Mortgage Co LLC of Grand Rapids, Michigan	1/26/2005
05-03050-FOIA	Martin Mills	Fifth Third Bank of Cincinnati, OH	1/26/2005
05-03040-FOIA	Martin Mills	First State Bank of Barboursville, WV	1/26/2005
05-03044-FOIA	Mark Green	Bank 2 Funding, LLC	1/26/2005
05-03047-FOIA	Mark Green	Ohio Savings Bank	1/26/2005
05-03023-FOIA	Andrew DePeau	Richard O. Lodewick	1/26/2005
05-03041-FOIA	Maureen Fitzpatrick	United Therapeutics Corporation	1/26/2005
05-03042-FOIA	Maureen Fitzpatrick	Procter & Gamble Co.	1/26/2005
05-03038-FOIA	Maureen Fitzpatrick	Honeywell International, Inc.	1/26/2005
05-03045-FOIA	Maureen Fitzpatrick	Diversa Corporation	1/26/2005
05-03028-FOIA	Andrew DePeau	A. Levin & Co. Inc.	1/26/2005
05-03036-FOIA	Maureen Fitzpatrick	Amylin Pharmaceuticals, Inc.	1/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03061-FOIA	Andrew DePeau	Michael a. Abraham	1/27/2005
05-03058-FOIA	Andrew DePeau	MKA Capital Group	1/27/2005
05-03059-FOIA	John Loper	Raytech Corporation	1/27/2005
05-03053-FOIA	Russ Kick	White House	1/27/2005
05-03055-FOIA	Mark Mierzejewski	Gramercy Advisors	1/27/2005
05-03056-FOIA	Mark Mierzejewski	Drawbridge Special Opportunities Advisor LLC	1/27/2005
05-03057-FOIA	Mark Mierzejewski	Peter Briger	1/27/2005
05-03060-FOIA	Mark Mierzejewski	Jay A. Johnson	1/27/2005
05-03054-FOIA	Mike Lipman	Michael Y. Weinberger	1/27/2005
05-03062-FOIA	Susan DeWitt	Micro-Purchasers	1/28/2005
05-03072-FOIA	Carmiline Correnti	COUNTRYWIDE HOME LOANS INC	1/28/2005
05-03081-FOIA	Dennis Taylor	Edward D. Jones & Co., LP Settlement	1/28/2005
05-03093-FOIA	Anne Mulkern	Fee Benefit and Waiver Request	1/28/2005
05-03094-FOIA	Sherie Roseberg	Coastal Bancshares Acquisition Corp.	1/28/2005
05-03095-FOIA	Mitchell Helgesen	Chase Manhattan Mortgage Corporation	1/28/2005
05-03078-FOIA	Becky Conover	Rafael A. Villagran	1/28/2005
05-03083-FOIA	Becky Conover	Rigel Capital LLC	1/28/2005
05-03088-FOIA	Becky Conover	John M. Corby	1/28/2005
05-03091-FOIA	Becky Conover	Jeffrey Kauffman	1/28/2005
05-03092-FOIA	Becky Conover	George B. Kauffman	1/28/2005
05-03064-FOIA	Becky Conover	Robert L. Stephenson	1/28/2005
05-03065-FOIA	Becky Conover	James M. Domek	1/28/2005
05-03069-FOIA	J. Patrick Gavin	Aquila, Inc.	1/28/2005
05-03070-FOIA	J. Patrick Gavin	AtheroGenics, Inc.	1/28/2005
05-03071-FOIA	J. Patrick Gavin	Autodesk, Inc.	1/28/2005
05-03066-FOIA	J. Patrick Gavin	AmeriVest Properties, Inc.	1/28/2005
05-03073-FOIA	J. Patrick Gavin	Ann M. Moe	1/28/2005
05-03074-FOIA	J. Patrick Gavin	BioSante Pharmaceuticals, Inc.	1/28/2005
05-03076-FOIA	J. Patrick Gavin	Connetics Corporation	1/28/2005
05-03080-FOIA	J. Patrick Gavin	World Wrestling Entertainment, Inc.	1/28/2005
05-03082-FOIA	J. Patrick Gavin	OSI Pharmaceuticals, Inc.	1/28/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03085-FOIA	J. Patrick Gavin	SkillSoft Public Limited Company	1/28/2005
05-03090-FOIA	J. Patrick Gavin	Dycom Industries, Inc.	1/28/2005
05-03084-FOIA	J PATRICK GAVIN	Restoration Hardware Inc	1/28/2005
05-03089-FOIA	J PATRICK GAVIN	Anntaylor Stores Corporation	1/28/2005
05-03075-FOIA	J PATRICK GAVIN	MBNA Corporation	1/28/2005
05-03067-FOIA	J PATRICK GAVIN	Hexcel Corporation	1/28/2005
05-03068-FOIA	J PATRICK GAVIN	Electronics Boutique Holdings Corp	1/28/2005
05-03063-FOIA	J PATRICK GAVIN	Guess? Inc	1/28/2005
05-03086-FOIA	J PATRICK GAVIN	Stein Mart Inc	1/28/2005
05-03087-FOIA	J PATRICK GAVIN	Wind River Systems Inc	1/28/2005
05-03077-FOIA	J PATRICK GAVIN	Mylan Laboratories Inc	1/28/2005
05-03079-FOIA	J PATRICK GAVIN	JLG Industries Inc	1/28/2005
05-03108-FOIA	Richard Harrison	Schein Henry, Inc.	1/31/2005
05-03109-FOIA	Richard Harrison	Majesco Holdings, Inc.	1/31/2005
05-03110-FOIA	Richard Harrison	United Therapeutics Corp.	1/31/2005
05-03111-FOIA	Richard Harrison	Nelnet Student Loan Funding LLC	1/31/2005
05-03112-FOIA	Richard Harrison	FCStone Group, Inc.	1/31/2005
05-03113-FOIA	Richard Harrison	Banknorth Group, Inc.	1/31/2005
05-03114-FOIA	Richard Harrison	Nuveen Equity Premium Opportunity Fund	1/31/2005
05-03115-FOIA	Richard Harrison	Markwest Energy Partners LP	1/31/2005
05-03116-FOIA	Richard Harrison	AMB Property Corp.	1/31/2005
05-03117-FOIA	Richard Harrison	Comverse Technology, Inc.	1/31/2005
05-03118-FOIA	Richard Harrison	Spectre Gaming, Inc.	1/31/2005
05-03119-FOIA	Richard Harrison	Communication Intelligence Corp.	1/31/2005
05-03120-FOIA	Richard Harrison	Commercefirst Bancorp, Inc.	1/31/2005
05-03121-FOIA	Richard Harrison	Genaissance Pharmaceuticals, Inc.	1/31/2005
05-03122-FOIA	Richard Harrison	Nelnet Student Loan Funding LLC	1/31/2005
05-03123-FOIA	Richard Harrison	Generex Biotechnology Corp.	1/31/2005
05-03124-FOIA	Richard Harrison	Del Laboratories, Inc.	1/31/2005
05-03125-FOIA	Richard Harrison	Marketwatch, Inc.	1/31/2005
05-03126-FOIA	Richard Harrison	United Therapeutics Corp	1/31/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03127-FOIA	Richard Harrison	Penn America Group, Inc.	1/31/2005
05-03128-FOIA	Richard Harrison	Digital River Inc /DE	1/31/2005
05-03129-FOIA	Richard Harrison	Combanc, Inc.	1/31/2005
05-03130-FOIA	Richard Harrison	Bostonfed Bancorp, Inc.	1/31/2005
05-03131-FOIA	Richard Harrison	Norstan, Inc.	1/31/2005
05-03132-FOIA	Richard Harrison	Black Box Corp.	1/31/2005
05-03133-FOIA	Richard Harrison	Dow Jones & Co, Inc.	1/31/2005
05-03097-FOIA	Richard Harrison	Unified Series Trust	1/31/2005
05-03098-FOIA	Richard Harrison	Scudder Variable Series II	1/31/2005
05-03100-FOIA	Richard Harrison	Scudder Variable Series I	1/31/2005
05-03101-FOIA	Richard Harrison	John Hancock Trust	1/31/2005
05-03103-FOIA	Richard Harrison	Las Vegas Gaming, Inc.	1/31/2005
05-03104-FOIA	Richard Harrison	Jenex Corp.	1/31/2005
05-03105-FOIA	Richard Harrison	American Wagering, Inc.	1/31/2005
05-03106-FOIA	Richard Harrison	Acceris Communications, Inc.	1/31/2005
05-03135-FOIA	Richard Harrison	United National Group LTD	1/31/2005
05-03136-FOIA	Richard Harrison	First Defiance Financial Corp.	1/31/2005
05-03137-FOIA	Richard Harrison	ProUroCare Medical Inc	1/31/2005
05-03138-FOIA	Richard Harrison	IMCOR Pharmaceuticals Co	1/31/2005
05-03139-FOIA	Richard Harrison	Trinity Learning Corp	1/31/2005
05-03140-FOIA	Richard Harrison	SABA Software Inc.	1/31/2005
05-03142-FOIA	Richard Harrison	Public Media Works	1/31/2005
05-03143-FOIA	Richard Harrison	Nonosensors Inc.	1/31/2005
05-03144-FOIA	Richard Harrison	Kellwood Co	1/31/2005
05-03145-FOIA	Richard Harrison	Kellwood Co	1/31/2005
05-03146-FOIA	Richard Harrison	Chemokine Therapeutics Corp	1/31/2005
05-03148-FOIA	Richard Harrison	Drugmax Inc	1/31/2005
05-03149-FOIA	Richard Harrison	Instapay Systems Inc.	1/31/2005
05-03150-FOIA	Richard Harrison	Becoming Art Inc	1/31/2005
05-03151-FOIA	Richard Harrison	Wplinux Technology	1/31/2005
05-03153-FOIA	Richard Harrison	V One Corp / DE	1/31/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03154-FOIA	Richard Harrison	Viacell Inc	1/31/2005
05-03155-FOIA	Richard Harrison	Sew Cal Logo Inc	1/31/2005
05-03156-FOIA	Richard Harrison	BlackRock Funds	1/31/2005
05-03158-FOIA	Richard Harrison	GFI Group	1/31/2005
05-03159-FOIA	Richard Harrison	Scudder Variable Series II	1/31/2005
05-03160-FOIA	Richard Harrison	Merit Advisors Investment Trust	1/31/2005
05-03147-FOIA	Becky Conover	Lynn Rowley	1/31/2005
05-03141-FOIA	Becky Conover	Todd S. Jessup	1/31/2005
05-03102-FOIA	Maureen Fitzpatrick	Maxim Integrated Products, Inc.	1/31/2005
05-03096-FOIA	Maureen Fitzpatrick	McAfee, Inc.	1/31/2005
05-03107-FOIA	Maureen Fitzpatrick	Wachovia Corporation	1/31/2005
05-03157-FOIA	Maureen Fitzpatrick	Rockwell International Corporation	1/31/2005
05-03152-FOIA	Maureen Fitzpatrick	Gillete Co	1/31/2005
05-00089-APPS	Curtis Kennedy	Qwest Communications	1/31/2005
05-03099-FOIA	Michael McNelly	United Sheet Metal, Inc.	1/31/2005
05-03134-FOIA	Kimberly Council	International Steel Group Inc.	1/31/2005
05-03209-FOIA	Miles Weiss	D. E. Shaw & Co.	2/1/2005
05-03223-FOIA	Jeffrey Houle	ZIM Corp.	2/1/2005
05-03228-FOIA	Lisa Inman	Telephone Directory	2/1/2005
05-03181-FOIA	Greg Mazur	Factory Card and Party Outlet	2/1/2005
05-03174-FOIA	Greg Mazur	Lifetime Hoan Corporation	2/1/2005
05-03167-FOIA	Greg Mazur	Nabi Biopharmaceuticals	2/1/2005
05-03168-FOIA	Greg Mazur	Adolor Corporation	2/1/2005
05-03191-FOIA	Greg Mazur	Progenics Pharmaceuticals, Inc.	2/1/2005
05-03184-FOIA	Greg Mazur	TRM Corporation	2/1/2005
05-03194-FOIA	Greg Mazur	Gottschalks, Inc.	2/1/2005
05-03202-FOIA	Greg Mazur	Starbucks Corporation	2/1/2005
05-03161-FOIA	Greg Mazur	Targeted Genetics Corp.	2/1/2005
05-03218-FOIA	Mark Edwards	Biogen, Inc.	2/1/2005
05-03195-FOIA	Mark Edwards	Biocircuits Corporation	2/1/2005
05-03210-FOIA	Mark Edwards	Apollo Biopharmaceuticals, Inc.	2/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03216-FOIA	Mark Edwards	Applied Microbiology, Inc.	2/1/2005
05-03208-FOIA	Mark Edwards	Immunex Corporation	2/1/2005
05-03204-FOIA	Mark Edwards	ImmuLogic Pharmaceutical Corporation	2/1/2005
05-03189-FOIA	Mark Edwards	Immune Response Corp.	2/1/2005
05-03176-FOIA	Mark Edwards	Applied Immune Sciences, Inc.	2/1/2005
05-03162-FOIA	Mark Edwards	Biocryst Pharmaceuticals, Inc.	2/1/2005
05-03169-FOIA	Mark Edwards	Immunex Corp.	2/1/2005
05-03163-FOIA	Robert Motz	Cytomed, Inc.	2/1/2005
05-03164-FOIA	Robert Motz	Opta Fodd Ingredients, Inc.	2/1/2005
05-03165-FOIA	Robert Motz	Paradigm Genetics, Inc.	2/1/2005
05-03166-FOIA	Robert Motz	CRF Box	2/1/2005
05-03170-FOIA	Robert Motz	Maret Pharmaceuticals, Inc. aka Maret Corporation	2/1/2005
05-03171-FOIA	Robert Motz	Althexis, Co., Inc.	2/1/2005
05-03172-FOIA	Robert Motz	Metallurg, Inc.	2/1/2005
05-03173-FOIA	Robert Motz	Microcide Pharmaceuticals, Inc.	2/1/2005
05-03175-FOIA	Robert Motz	Baron Metals and Alloys, Inc.	2/1/2005
05-03177-FOIA	Robert Motz	Essential Therapeutics, Inc.	2/1/2005
05-03178-FOIA	Robert Motz	BioGen, Inc.	2/1/2005
05-03179-FOIA	Robert Motz	Enzytech, Inc.	2/1/2005
05-03180-FOIA	Robert Motz	Mercon Coffee Corp	2/1/2005
05-03185-FOIA	Robert Motz	Globe Metallurgical, Inc.	2/1/2005
05-03186-FOIA	Robert Motz	Aluminium.com, Inc.	2/1/2005
05-03187-FOIA	Robert Motz	Marco Hitech JV Ltd.	2/1/2005
05-03188-FOIA	Robert Motz	Marco Realty Corp.	2/1/2005
05-03182-FOIA	Robert Motz	ExImWare, Inc.	2/1/2005
05-03183-FOIA	Robert Motz	Intercommercial Markets, Inc.	2/1/2005
05-03190-FOIA	Robert Motz	Advanced Magnetics, Inc.	2/1/2005
05-03196-FOIA	Robert Motz	MIC Capital, Inc.	2/1/2005
05-03197-FOIA	Robert Motz	GelTex Pharmaceuticals, Inc.	2/1/2005
05-03198-FOIA	Robert Motz	Marco International Corp	2/1/2005
05-03199-FOIA	Robert Motz	ImmunoGen, Inc.	2/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03200-FOIA	Robert Motz	Trine Pharmaceuticals, Inc.	2/1/2005
05-03201-FOIA	Robert Motz	International Metal Enterprises, Inc.	2/1/2005
05-03192-FOIA	Robert Motz	Alkermes, Inc.	2/1/2005
05-03193-FOIA	Robert Motz	Isis Pharmaceuticals, Inc.	2/1/2005
05-03203-FOIA	Robert Motz	Michael D. Barenholtz	2/1/2005
05-03224-FOIA	Robert Motz	Direct Employment Services Corp.	2/1/2005
05-03225-FOIA	Robert Motz	Texas Water Rampage	2/1/2005
05-03226-FOIA	Robert Motz	Petroleum Independent and Exploration Corp. aka P.I.E.	2/1/2005
05-03227-FOIA	Robert Motz	InterOil Corp.	2/1/2005
05-03229-FOIA	Robert Motz	Thomas S. Donovan	2/1/2005
05-03230-FOIA	Robert Motz	Christian M. Vinson	2/1/2005
05-03231-FOIA	Robert Motz	Philippe E. Mulacek	2/1/2005
05-03232-FOIA	Robert Motz	Dynagen, Inc.	2/1/2005
05-03205-FOIA	Robert Motz	Icoria, Inc.	2/1/2005
05-03206-FOIA	Robert Motz	Domantis, Ltd.	2/1/2005
05-03207-FOIA	Robert Motz	Vascular Genetics, Inc.	2/1/2005
05-03211-FOIA	Robert Motz	Theodore A. Heilman, Jr.	2/1/2005
05-03212-FOIA	Robert Motz	Cato Research Ltd. aka Cato Holdings Co., Inc.	2/1/2005
05-03213-FOIA	Robert Motz	Osiris Therapeutics, Inc.	2/1/2005
05-03214-FOIA	Robert Motz	Alan S. Kestenbaum	2/1/2005
05-03215-FOIA	Robert Motz	Targacept, Inc.	2/1/2005
05-03217-FOIA	Robert Motz	MLI, Inc.	2/1/2005
05-03219-FOIA	Robert Motz	Joseph D. deBethizy aka J. Donald deBethizy	2/1/2005
05-03220-FOIA	Robert Motz	Alan A. Musso	2/1/2005
05-03221-FOIA	Robert Motz	Thermal SAC, Inc.	2/1/2005
05-03222-FOIA	Robert Motz	Mark B. Skaletsky	2/1/2005
05-03239-FOIA	J. Patrick Gavin	DDi Corp.	2/2/2005
05-03241-FOIA	J. Patrick Gavin	NCR Corporation	2/2/2005
05-03242-FOIA	J. Patrick Gavin	Eastman Chemical Company	2/2/2005
05-03243-FOIA	J. Patrick Gavin	Patterson Companies, Inc. f.k.a. Patterson Dental Company	2/2/2005
05-03244-FOIA	J. Patrick Gavin	Vascular Solutions, Inc.	2/2/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03245-FOIA	J. Patrick Gavin	Adobe Systems Incorporated	2/2/2005
05-03246-FOIA	J. Patrick Gavin	Amedisys, Inc.	2/2/2005
05-03234-FOIA	J. Patrick Gavin	DoubleClick, Inc.	2/2/2005
05-03235-FOIA	J. Patrick Gavin	Pall Corporation	2/2/2005
05-03236-FOIA	J. Patrick Gavin	Spartan Motors, Inc.	2/2/2005
05-03237-FOIA	J. Patrick Gavin	Starbucks Corporation	2/2/2005
05-03238-FOIA	Kristen Polovoy	Sunflower Bank	2/2/2005
05-03240-FOIA	Gabriel Herrmann	Bristol-Myers Squibb Co.	2/2/2005
05-03233-FOIA	Brenden Smith	Brocade Communications Systems	2/2/2005
05-03253-FOIA	Emmett Denby	Newton County Public Bond CUSIP numbers	2/3/2005
05-03261-FOIA	Christopher Money	Global Crossing Ltd.'s	2/3/2005
05-03258-FOIA	Colleen Kelley	Each NTEU- respresented bargaining unit employee at the Securities and Exchange Commission	2/3/2005
05-03248-FOIA	Megan Lynch	Newcastle Partners L.P.	2/3/2005
05-03249-FOIA	Megan Lynch	Mark E. Schwarz	2/3/2005
05-03259-FOIA	John Neuwirth	Exxon Corporation, Mobil Corporation or Exxon Mobil Corporation	2/3/2005
05-03263-FOIA	Shannon Hopkins	Sears, Roebuck & Co.	2/3/2005
05-03250-FOIA	J. Patrick Gavin	eCollege.com	2/3/2005
05-03251-FOIA	J. Patrick Gavin	Eastman Kodak Company	2/3/2005
05-03252-FOIA	J. Patrick Gavin	Dana Corporation	2/3/2005
05-03254-FOIA	J. Patrick Gavin	Toys "R" Us, Inc.	2/3/2005
05-03255-FOIA	J. Patrick Gavin	TECO Energy, Inc.	2/3/2005
05-03256-FOIA	J. Patrick Gavin	Siebel Systems, Inc.	2/3/2005
05-03257-FOIA	J. Patrick Gavin	RJ Reynolds Tobacco Holdings, Inc.	2/3/2005
05-03262-FOIA	J. Patrick Gavin	Donnelley & Sons Company	2/3/2005
05-03247-FOIA	J. Patrick Gavin	Corinthian Colleges, Inc.	2/3/2005
05-03260-FOIA	J. Patrick Gavin	Netopia, Inc.	2/3/2005
05-03268-FOIA	J. Patrick Gavin	Zarlink Semiconductor, Inc.	2/4/2005
05-03269-FOIA	J. Patrick Gavin	Mosiatic Nutriceuticals Corp.	2/4/2005
05-03270-FOIA	J. Patrick Gavin	Alliance Data Systems Corporation	2/4/2005
05-03271-FOIA	J. Patrick Gavin	Antigenics, Inc.	2/4/2005
05-03272-FOIA	J. Patrick Gavin	Geogia Gulf Corporation	2/4/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03278-FOIA	J. Patrick Gavin	Kos Pharmaceuticals, Inc.	2/4/2005
05-03279-FOIA	J. Patrick Gavin	Shopping.com LTD	2/4/2005
05-03280-FOIA	J. Patrick Gavin	Alliance Imaging, Inc.	2/4/2005
05-03281-FOIA	J. Patrick Gavin	Corgentech, Inc.	2/4/2005
05-03273-FOIA	Mark Edwards	Bio-Technology General aka Savient Pharmaceuticals	2/4/2005
05-03265-FOIA	Mark Edwards	Immunogen, Inc.	2/4/2005
05-03275-FOIA	Mark Edwards	Aviron	2/4/2005
05-03267-FOIA	Mark Edwards	Kensey Nash Corporation	2/4/2005
05-03277-FOIA	Mark Edwards	Applied Immune Sciences, Inc.	2/4/2005
05-03274-FOIA	Elizabeth Steponkus	Award Information	2/4/2005
05-03264-FOIA	Liana Larson	Cryolife, Inc.	2/4/2005
05-03266-FOIA	Dae Okh	Washington Mutual	2/4/2005
05-03276-FOIA	Rachel Verdon	Withdrawal Slips to Arthur Andersen Files	2/4/2005
05-03301-FOIA	Ben Davis	Burlingame Equity Investors Ltd	2/7/2005
05-03314-FOIA	Ben Davis	Burlingame Equity Investors LP	2/7/2005
05-03328-FOIA	Ben Davis	Burlingame Asset Management, LLC	2/7/2005
05-03336-FOIA	Ben Davis	Blair E. Sanford	2/7/2005
05-03310-FOIA	Pamela Bell	Jeffrey L. Johnson	2/7/2005
05-03294-FOIA	Amir Raz	Confidential Treatment Requests	2/7/2005
05-03302-FOIA	Frehiwet Asefaw	Registrants	2/7/2005
05-03312-FOIA	Johnny Howard	Homecomings Financial	2/7/2005
05-03316-FOIA	Johnny Howard	IND MAC BANK	2/7/2005
05-03322-FOIA	Brian Brady	FOIA Requests	2/7/2005
05-03327-FOIA	Joseph Hooper	CHARTER ONE BANK, N.A.	2/7/2005
05-03347-FOIA	Michael Jones	Rhythms NetConnections, Inc.	2/7/2005
05-03306-FOIA	Martin Mills	Fifth Third Bank	2/7/2005
05-03282-FOIA	Mark Mierzejewski	Feshbach Brothers LP	2/7/2005
05-03348-FOIA	Mark Mierzejewski	Joseph Feshbach	2/7/2005
05-03291-FOIA	Denise Chisholm	Investors Financial Services Corp	2/7/2005
05-03286-FOIA	Ron Leppke	Chapter 11 Bankruptcy	2/7/2005
05-03345-FOIA	Meredith Sellers	Auriel Global Macro	2/7/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03343-FOIA	Meredith Sellers	Auriel Capital	2/7/2005
05-03317-FOIA	Meredith Sellers	Asif Noor	2/7/2005
05-03334-FOIA	Meredith Sellers	Lawrence R. Abele	2/7/2005
05-03326-FOIA	Meredith Sellers	Anoosh Lachin	2/7/2005
05-03344-FOIA	Richard Harrison	SONOCO PRODUCTS CO	2/7/2005
05-03346-FOIA	Richard Harrison	TIPPERARY CORP	2/7/2005
05-03349-FOIA	Richard Harrison	Redwood Fund	2/7/2005
05-03284-FOIA	Richard Harrison	VISKASE COMPANIES INC	2/7/2005
05-03285-FOIA	Richard Harrison	RITA MEDICAL SYSTEMS INC	2/7/2005
05-03287-FOIA	Richard Harrison	INVESTMENT TRUST	2/7/2005
05-03289-FOIA	Richard Harrison	Axeda Systems, Inc.	2/7/2005
05-03290-FOIA	Richard Harrison	Abraxas Petroleum Corp.	2/7/2005
05-03292-FOIA	Richard Harrison	Tippingpoint Technologies, Inc.	2/7/2005
05-03297-FOIA	Richard Harrison	Superior Consultant Holdings Corp.	2/7/2005
05-03298-FOIA	Richard Harrison	RBS FUND INC	2/7/2005
05-03299-FOIA	Richard Harrison	Amerinst Insurance Group, Ltd.	2/7/2005
05-03300-FOIA	Richard Harrison	RIO TINTO PLC	2/7/2005
05-03295-FOIA	Richard Harrison	MASTER INVESTMENT PORTFOLIO	2/7/2005
05-03307-FOIA	Richard Harrison	First Health Group Corp.	2/7/2005
05-03308-FOIA	Richard Harrison	SECURED DIGITAL APPLICATIONS INC	2/7/2005
05-03309-FOIA	Richard Harrison	ACE LTD	2/7/2005
05-03304-FOIA	Richard Harrison	Accuimage Diagnostics Corp.	2/7/2005
05-03305-FOIA	Richard Harrison	Smtex International, Inc.	2/7/2005
05-03311-FOIA	Richard Harrison	Pegasus Air Group Inc	2/7/2005
05-03313-FOIA	Richard Harrison	Assisted Living Concepts, Inc.	2/7/2005
05-03315-FOIA	Richard Harrison	Intelsat, Ltd.	2/7/2005
05-03318-FOIA	Richard Harrison	DEUTSCHE TELEKOM AG	2/7/2005
05-03319-FOIA	Richard Harrison	SEABRIGHT INSURANCE HOLDINGS INC	2/7/2005
05-03320-FOIA	Richard Harrison	Allied Security Holdings LLC	2/7/2005
05-03321-FOIA	Richard Harrison	TORRENT ENERGY CORP	2/7/2005
05-03323-FOIA	Richard Harrison	LNR Property Corp.	2/7/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03324-FOIA	Richard Harrison	Affiliated Computer Services, Inc.	2/7/2005
05-03325-FOIA	Richard Harrison	3Com Corp.	2/7/2005
05-03329-FOIA	Richard Harrison	TGC INDUSTRIES INC	2/7/2005
05-03330-FOIA	Richard Harrison	EXELIXIS INC	2/7/2005
05-03331-FOIA	Richard Harrison	CTS Corp.	2/7/2005
05-03332-FOIA	Richard Harrison	CROMPTON CORP	2/7/2005
05-03333-FOIA	Richard Harrison	Merge Technologies, Inc.	2/7/2005
05-03335-FOIA	Richard Harrison	Logicom Inc	2/7/2005
05-03337-FOIA	Richard Harrison	Granite Master Issuer plc	2/7/2005
05-03338-FOIA	Richard Harrison	Extencicare Health Services, Inc.	2/7/2005
05-03339-FOIA	Richard Harrison	Coventry Health Care, Inc.	2/7/2005
05-03340-FOIA	Richard Harrison	TRAVELZOO INC	2/7/2005
05-03341-FOIA	Richard Harrison	Nicholas Applegate Emerging Countries Series	2/7/2005
05-03342-FOIA	Richard Harrison	ALBEMARLE CORP	2/7/2005
05-03296-FOIA	Mark Edwards	Galagen, Inc.	2/7/2005
05-03283-FOIA	Mark Edwards	Epitope Inc	2/7/2005
05-03303-FOIA	Mark Edwards	Immunex Corporation	2/7/2005
05-03293-FOIA	Mark Edwards	Interneuron Pharmaceuticals Inc	2/7/2005
05-03288-FOIA	Mark Edwards	Access Pharmaceuticals, Inc.	2/7/2005
05-00094-APPS	J. Patrick Gavin	Advanced Micro Devices Inc	2/7/2005
05-00092-APPS	J. Patrick Gavin	American Electric Power Company, Inc.	2/8/2005
05-00093-APPS	J. Patrick Gavin	AEGON, N.V.	2/8/2005
05-00091-APPS	J. Patrick Gavin	American Italian Pasta Company	2/8/2005
05-03358-FOIA	Gillian McPhee	Devon Bank	2/8/2005
05-03351-FOIA	Richard Harrison	Netguru, Inc.	2/8/2005
05-03352-FOIA	Richard Harrison	OC Financial, Inc.	2/8/2005
05-03353-FOIA	Richard Harrison	WITS Basin Precious Minerals, Inc.	2/8/2005
05-03354-FOIA	Richard Harrison	HMZ Metals, Inc.	2/8/2005
05-03355-FOIA	Richard Harrison	Atlas America Public No. 10 Ltd.	2/8/2005
05-03356-FOIA	Richard Harrison	Singing Machine Co, Inc.	2/8/2005
05-03357-FOIA	Richard Harrison	Netease.com, Inc.	2/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03360-FOIA	Richard Harrison	Monongahela Power Co.	2/8/2005
05-03365-FOIA	Richard Harrison	Tarragon Corp.	2/8/2005
05-03367-FOIA	Richard Harrison	DryShips, Inc.	2/8/2005
05-03370-FOIA	Richard Harrison	Videotron Ltee	2/8/2005
05-03371-FOIA	Richard Harrison	Brooklyn Federal Bancorp, Inc.	2/8/2005
05-03378-FOIA	Richard Harrison	Sears Holding Company	2/8/2005
05-03379-FOIA	Richard Harrison	Centex Corp	2/8/2005
05-03385-FOIA	Richard Harrison	Istar Financial Inc	2/8/2005
05-03386-FOIA	Richard Harrison	Medtronic Inc	2/8/2005
05-03374-FOIA	Patrick Walsh	NAU Country Insurance Company	2/8/2005
05-03366-FOIA	Patrick Walsh	James D. Deal	2/8/2005
05-03387-FOIA	Patrick Walsh	Gregory J. Deal	2/8/2005
05-03376-FOIA	Ann Brose	Augustine M. Cruciotti	2/8/2005
05-03383-FOIA	Ann Brose	SEC v. Steven L Haggerty	2/8/2005
05-03372-FOIA	Ann Brose	Quest Comm, Int'l, Inc.	2/8/2005
05-03368-FOIA	Andrew DePeau	Tiger Asset Management LLC	2/8/2005
05-03359-FOIA	Larry Robinson	Standard Federal Bank N.A.	2/8/2005
05-03381-FOIA	Larry Robinson	Citibank (South Dakota), N.A.	2/8/2005
05-03361-FOIA	Larry Robinson	ABN AMRO Mortgage Group, Inc.	2/8/2005
05-03362-FOIA	Michael Phillip	Winn Dixie and Sharp	2/8/2005
05-03363-FOIA	Ellen Lyons	Dean G. Tanella	2/8/2005
05-03364-FOIA	Kevin Pirani	Michael Prober	2/8/2005
05-03369-FOIA	Kevin Pirani	Scott Scher	2/8/2005
05-03350-FOIA	Dae Okh	Bayview Loan Servicing, LLC	2/8/2005
05-03377-FOIA	Dae Okh	GMAC Mortgage	2/8/2005
05-03373-FOIA	Gary Mitchell	Key Bank, National Association	2/8/2005
05-03375-FOIA	Timothy McNulty	Aurora Loan Services, Inc.	2/8/2005
05-03380-FOIA	Cecila Kim	World Savings Bank, FSB	2/8/2005
05-03388-FOIA	Roger Aronoff	Accuracy in Media Inc	2/8/2005
05-03382-FOIA	Pamela Bell	Jeffery L. Johnson	2/8/2005
05-03384-FOIA	Andrew DePeau	Sung Kook (Bill) Hwang	2/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03391-FOIA	Andrew DePeau	Goldentree Capital Partners	2/9/2005
05-03390-FOIA	Susan Lichtcsien	Shelly Greenhaus	2/9/2005
05-03389-FOIA	Glenda Johnson	Mountain National Bank/Mountain National Bancshares, Inc.	2/9/2005
05-03392-FOIA	Michelle Nicolosi	Seattle's Federal Home Loan Bank or board	2/9/2005
05-03418-FOIA	Daniel Anderson	First Liberty International, LLC	2/9/2005
05-03431-FOIA	Daniel Anderson	Nowtrade Securities Corporation	2/9/2005
05-03425-FOIA	Daniel Anderson	First Liberty Settlements LLC	2/9/2005
05-03426-FOIA	Daniel Anderson	Nowtrade Holdings, LLC	2/9/2005
05-03405-FOIA	Daniel Anderson	First Liberty Independent Group, LLC	2/9/2005
05-03396-FOIA	Daniel Anderson	First Liberty Group LLC	2/9/2005
05-03397-FOIA	Daniel Anderson	Mutual Benefits Corp	2/9/2005
05-03442-FOIA	Daniel Anderson	First Liberty Financial LLC	2/9/2005
05-03450-FOIA	Daniel Anderson	Vestpoint Securities Inc	2/9/2005
05-03454-FOIA	Daniel Anderson	Nowtrade Corp	2/9/2005
05-03455-FOIA	Daniel Anderson	Southwest Capital Management LLC	2/9/2005
05-03399-FOIA	Melissa Kaplafka	Netflix, Inc.	2/9/2005
05-03400-FOIA	Kevin Pirani	Ken Garschina	2/9/2005
05-03404-FOIA	Kevin Pirani	Mike Martino	2/9/2005
05-03408-FOIA	Kevin Pirani	Jack Swain	2/9/2005
05-03432-FOIA	Kevin Pirani	Martin Gruss	2/9/2005
05-03416-FOIA	Kevin Pirani	Andrew Midler	2/9/2005
05-03417-FOIA	Kevin Pirani	Jeff Tannenbaum	2/9/2005
05-03420-FOIA	Ellen Lyons	Safe Harbor Fund 101, LP	2/9/2005
05-03421-FOIA	Ellen Lyons	Safe Harbor Management LP	2/9/2005
05-03428-FOIA	Ellen Lyons	Safe Harbor Canada L.P.	2/9/2005
05-03410-FOIA	Ellen Lyons	Safe Harbor Capital Managment LLC	2/9/2005
05-03411-FOIA	Ellen Lyons	Safe Harbor Fund I, Limited Partnership L.P.	2/9/2005
05-03407-FOIA	Ellen Lyons	Safe Harbor Offshore Capital Management Ltd.	2/9/2005
05-03403-FOIA	Ellen Lyons	Safe Harbor Capital Corporation	2/9/2005
05-03395-FOIA	Ellen Lyons	Harborlight Capital Management LLC	2/9/2005
05-03393-FOIA	Ellen Lyons	AJD Capital LLC	2/9/2005

ID	Requester	Description	Received Date
05-03453-FOIA	Ellen Lyons	Safe Harbor Integrity Partners LP	2/9/2005
05-03449-FOIA	Ellen Lyons	Safe Harbor Managed Account 101 LLC	2/9/2005
05-03436-FOIA	Ellen Lyons	Safe Harbor Fund II LP	2/9/2005
05-03409-FOIA	Andrew DePeau	Thomas H. Shandell	2/9/2005
05-03414-FOIA	Megan Lynch	Courtside Group LLC	2/9/2005
05-03415-FOIA	Megan Lynch	Sanjeev Makan	2/9/2005
05-03434-FOIA	Clint Binkley	Willia G. Drannen	2/9/2005
05-03435-FOIA	Clint Binkley	Clinton Multistrategy Master Fund, Ltd.	2/9/2005
05-03448-FOIA	Clint Binkley	George E Hall	2/9/2005
05-03452-FOIA	Clint Binkley	Clinton GP Inc	2/9/2005
05-03401-FOIA	Clint Binkley	Clinton Group, Inc.	2/9/2005
05-03422-FOIA	Clint Binkley	Michael A. Vacca	2/9/2005
05-03406-FOIA	Clint Binkley	Clinton Multistrategy Fund Ltd	2/9/2005
05-03394-FOIA	Clint Binkley	Clinton Multistrategy Fund LLC	2/9/2005
05-03443-FOIA	Patrick Walsh	Curtis C. Hunsinger	2/9/2005
05-03445-FOIA	Patrick Walsh	Troy A. Getz	2/9/2005
05-03446-FOIA	Patrick Walsh	New Harvest Capital Corporation	2/9/2005
05-03447-FOIA	Patrick Walsh	Financial Broker Relations, Inc.	2/9/2005
05-03439-FOIA	Patrick Walsh	TGJD Properties	2/9/2005
05-03440-FOIA	Patrick Walsh	James A. Ditanna	2/9/2005
05-03441-FOIA	Patrick Walsh	Ruth E. Shepley a.k.a. Ruth E. Hunsinger	2/9/2005
05-03429-FOIA	Patrick Walsh	Internet for the Fine Arts Incorporated	2/9/2005
05-03430-FOIA	Patrick Walsh	Guidelocator.com, Inc.	2/9/2005
05-03423-FOIA	Patrick Walsh	Prospect Oil and Gas, LLC	2/9/2005
05-03419-FOIA	Patrick Walsh	Strategy International Insurance Group, Inc.	2/9/2005
05-03398-FOIA	J. Patrick Gavin	Finisar Corporation	2/9/2005
05-03402-FOIA	J. Patrick Gavin	Taser International	2/9/2005
05-03412-FOIA	J. Patrick Gavin	US Bancorp	2/9/2005
05-03413-FOIA	J. Patrick Gavin	Deluxe Corporation	2/9/2005
05-03424-FOIA	J. Patrick Gavin	Alleghany Corporation	2/9/2005
05-03427-FOIA	J. Patrick Gavin	Parker-Hannifin Corporation	2/9/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03433-FOIA	J. Patrick Gavin	Cameco Corporation	2/9/2005
05-03437-FOIA	J. Patrick Gavin	Caremark RX, Inc.	2/9/2005
05-03438-FOIA	J. Patrick Gavin	Compuware Corporation	2/9/2005
05-03444-FOIA	J. Patrick Gavin	Oxford Industries Inc	2/9/2005
05-03451-FOIA	J. Patrick Gavin	Manitowoc Company Inc	2/9/2005
05-03474-FOIA	Meredith Sellers	Richard Abbe	2/10/2005
05-03464-FOIA	Meredith Sellers	Iroquois Master	2/10/2005
05-03467-FOIA	Meredith Sellers	Joshua Silverman	2/10/2005
05-03472-FOIA	Meredith Sellers	Iroquois Capital	2/10/2005
05-03475-FOIA	William Romanos	Robert R. Morris	2/10/2005
05-03476-FOIA	William Romanos	Ready Mix, Inc.	2/10/2005
05-03473-FOIA	William Romanos	Clint Tryon	2/10/2005
05-03471-FOIA	William Romanos	Alan A. Terril	2/10/2005
05-03469-FOIA	William Romanos	Earle C. May	2/10/2005
05-03465-FOIA	William Romanos	Robert A. DeRuiter	2/10/2005
05-03466-FOIA	William Romanos	Bradley E. Larson	2/10/2005
05-03462-FOIA	William Romanos	Kenneth D. Nelson	2/10/2005
05-03463-FOIA	William Romanos	Gary A. Agron	2/10/2005
05-03458-FOIA	William Romanos	Charles E. Cowan	2/10/2005
05-03460-FOIA	William Romanos	Charles Norton	2/10/2005
05-03470-FOIA	Mark Mierzejewski	Matthew Li	2/10/2005
05-03461-FOIA	Mark Mierzejewski	Fore Research and Management, LP	2/10/2005
05-03457-FOIA	Susan Kavanagh	SEC Office of Inspector General Semiannual Report	2/10/2005
05-03459-FOIA	Mark McGillivray	Countrywide Home Loans	2/10/2005
05-03468-FOIA	Roger Posey	Irwin Mortgage Corporation	2/10/2005
05-03456-FOIA	John Santonbiano	Chemfirst Inc.	2/10/2005
05-03479-FOIA	John Santonbiano	Chemfirst Inc.	2/11/2005
05-03478-FOIA	Susan Kavanagh	Investigation Report of an Investigation conducted by the SEC Office of Inspector General	2/11/2005
05-03492-FOIA	Ron Zahn	Litigation Release No. 1A-933	2/11/2005
05-03488-FOIA	Maureen Fitzpatrick	ID Biomedical Corporation	2/11/2005
05-03485-FOIA	Maureen Fitzpatrick	Kmart Holding Corporation	2/11/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03481-FOIA	Maureen Fitzpatrick	Comcast Corporation	2/11/2005
05-03482-FOIA	Maureen Fitzpatrick	Adobe Systems, Inc.	2/11/2005
05-03487-FOIA	Mike Lipman	Matthew L Johnson	2/11/2005
05-03491-FOIA	DAVID MOLENDIA	Thomas Jones	2/11/2005
05-03495-FOIA	J. Patrick Gavin	Autobyte Inc	2/11/2005
05-03497-FOIA	J. Patrick Gavin	Tuesday morning Corporation	2/11/2005
05-03477-FOIA	J. Patrick Gavin	Inverness Medical Innovations, Inc.	2/11/2005
05-03480-FOIA	J. Patrick Gavin	McGraw-Hill Companies, Inc.	2/11/2005
05-03483-FOIA	J. Patrick Gavin	DirectTV Group, Inc.	2/11/2005
05-03484-FOIA	J. Patrick Gavin	FactSet Research Systems Inc.	2/11/2005
05-03489-FOIA	J. Patrick Gavin	Fisher Scientific International Inc.	2/11/2005
05-03490-FOIA	J. Patrick Gavin	Maytag Corporation	2/11/2005
05-03486-FOIA	J. Patrick Gavin	Imperial Sugar Company	2/11/2005
05-03493-FOIA	J. Patrick Gavin	Tlc Vision Corporation	2/11/2005
05-03496-FOIA	Derek Wilder	Apollo Group	2/11/2005
05-03494-FOIA	Tim Brillon	Amazon.com	2/11/2005
05-03505-FOIA	J. Patrick Gavin	Dentsply International Inc.	2/14/2005
05-03506-FOIA	J. Patrick Gavin	Crescent Real Estate Equities Company	2/14/2005
05-03502-FOIA	J. Patrick Gavin	SanDisk Corporation	2/14/2005
05-03531-FOIA	J. Patrick Gavin	Axcelis Technologies, inc.	2/14/2005
05-03529-FOIA	J. Patrick Gavin	Collins Aikman Corporation	2/14/2005
05-03525-FOIA	J. Patrick Gavin	Itron, Inc.	2/14/2005
05-03521-FOIA	J. Patrick Gavin	Sauer-Danfoss Inc.	2/14/2005
05-03510-FOIA	J. Patrick Gavin	Clean Harbors, Inc.	2/14/2005
05-03514-FOIA	J. Patrick Gavin	SPSS Inc.	2/14/2005
05-03515-FOIA	J. Patrick Gavin	Microstrategy Incorporated	2/14/2005
05-03499-FOIA	Richard Harrison	Stelax Industries LTD	2/14/2005
05-03501-FOIA	Richard Harrison	Total Logistics, Inc.	2/14/2005
05-03504-FOIA	Richard Harrison	Supervalu, Inc.	2/14/2005
05-03508-FOIA	Richard Harrison	Coors Adolph Co.	2/14/2005
05-03513-FOIA	Richard Harrison	Corinthian Colleges, Inc.	2/14/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03511-FOIA	Richard Harrison	Chalone Wine Group Ltd	2/14/2005
05-03520-FOIA	Richard Harrison	Molson Canada	2/14/2005
05-03523-FOIA	Richard Harrison	JNL Series Trust	2/14/2005
05-03535-FOIA	Richard Harrison	PBHG Funds	2/14/2005
05-03527-FOIA	Richard Harrison	Diageo PLC	2/14/2005
05-03503-FOIA	Michael Marsalese	Scottrade	2/14/2005
05-03532-FOIA	Richard Harrison	Blankinship Funds, Inc.	2/14/2005
05-03498-FOIA	James Reynolds	Vioxx	2/14/2005
05-03500-FOIA	Siobhan Hughes	Medimmune	2/14/2005
05-03518-FOIA	Clint Binkley	Robert Dean Albright, Jr.	2/14/2005
05-03507-FOIA	Dennis Klein	Citimortgage, Inc.	2/14/2005
05-03509-FOIA	John Lee	First Financial Bank	2/14/2005
05-03517-FOIA	Joyce Lambeth	Branch Banking and Trust Company	2/14/2005
05-03512-FOIA	Joyce Lambeth	FNB Southeast	2/14/2005
05-03516-FOIA	K. Goodwin	PBHG Funds	2/14/2005
05-03519-FOIA	K. Goodwin	PBHG Cash Reserve Fund	2/14/2005
05-03522-FOIA	K. Goodwin	Liberty Ridge Capital, Inc.	2/14/2005
05-03526-FOIA	K. Goodwin	PBHG Fund Distributors	2/14/2005
05-03530-FOIA	K. Goodwin	PBHG Mutual Funds	2/14/2005
05-03528-FOIA	K. Goodwin	Pilgrim Baxter & Associated Ltd	2/14/2005
05-03524-FOIA	Glenn Perryman	National City Home Loan Services, Inc.	2/14/2005
05-03533-FOIA	Daniel Anderson	George Marshall Smith	2/14/2005
05-03534-FOIA	Daniel Anderson	George Michael Smith DOB 1/1961	2/14/2005
05-03536-FOIA	Daniel Anderson	George Michael Smith DOB 7/1948	2/14/2005
05-03537-FOIA	Daniel Anderson	Bradley Wayne Kline	2/14/2005
05-03538-FOIA	Daniel Anderson	Charles Keith Byington	2/14/2005
05-03539-FOIA	Daniel Anderson	Brian R. Keller	2/14/2005
05-03540-FOIA	Daniel Anderson	Christopher P. Winchell	2/14/2005
05-03541-FOIA	Daniel Anderson	Richard Matlock Schilleman	2/14/2005
05-03542-FOIA	Daniel Anderson	Anastasios Kyriakides	2/14/2005
05-03543-FOIA	Manfred Unger	Jeffrey D. Chandler and Randall Jordan	2/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03548-FOIA	Benny Conforto	Countrywide Home Loans, Inc.	2/15/2005
05-03553-FOIA	Irfan Amanat	NASD Article	2/15/2005
05-03560-FOIA	Ruth Binde	Wells Fargo Home Mortgage	2/15/2005
05-03571-FOIA	Paul Watson	Chiron Company	2/15/2005
05-03576-FOIA	Gregory Smith	Stephen H Coover	2/15/2005
05-03581-FOIA	Megan Lynch	Garrett D alessandro	2/15/2005
05-03558-FOIA	Megan Lynch	Rochdale Investment Management	2/15/2005
05-03567-FOIA	Mark Mierzejewski	Mark E. Kingdon	2/15/2005
05-03582-FOIA	Mark Mierzejewski	Kingdon Capital Management, LLC	2/15/2005
05-03573-FOIA	Andrew Clark	Zion Bancorporation	2/15/2005
05-03592-FOIA	Meredith Sellers	Winton Futures Fund	2/15/2005
05-03559-FOIA	Richard Harrison	Universal Detection Technology	2/15/2005
05-03561-FOIA	Richard Harrison	Tenet Healthcare Corp.	2/15/2005
05-03562-FOIA	Richard Harrison	Expert Systems, Inc.	2/15/2005
05-03563-FOIA	Richard Harrison	Siberian Energy Group, Inc.	2/15/2005
05-03564-FOIA	Richard Harrison	Prestige Brands Holdings Inc	2/15/2005
05-03565-FOIA	Richard Harrison	Travelers Insurance Co	2/15/2005
05-03566-FOIA	Richard Harrison	Commonwealth Income & Growth Fund V	2/15/2005
05-03568-FOIA	Richard Harrison	Digital Ecosystems, Corp.	2/15/2005
05-03569-FOIA	Richard Harrison	Prudential Bancorp, Inc. of Pennsylvania	2/15/2005
05-03570-FOIA	Richard Harrison	Vita Equity, Inc.	2/15/2005
05-03572-FOIA	Richard Harrison	NMXS Com Inc.	2/15/2005
05-03574-FOIA	Richard Harrison	Corporate Strategies, Inc.	2/15/2005
05-03575-FOIA	Richard Harrison	MRU Holdings, Inc.	2/15/2005
05-03583-FOIA	Richard Harrison	MV Fund II LLC	2/15/2005
05-03584-FOIA	Richard Harrison	Stock Market Solutions Inc	2/15/2005
05-03585-FOIA	Richard Harrison	Meltronix Inc	2/15/2005
05-03586-FOIA	Richard Harrison	National Atlantic Holdings Corp	2/15/2005
05-03587-FOIA	Richard Harrison	Chemokine Therapeutics Corp.	2/15/2005
05-03588-FOIA	Richard Harrison	Plum Creek Timber Co, Inc.	2/15/2005
05-03589-FOIA	Richard Harrison	Flooring Zone, Inc.	2/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03590-FOIA	Richard Harrison	BEC Funding II, LLC	2/15/2005
05-03591-FOIA	Richard Harrison	CMS Energy Corp.	2/15/2005
05-03577-FOIA	Richard Harrison	Odyssey Re Holdings Corp	2/15/2005
05-03578-FOIA	Richard Harrison	Fairpoint Communications Inc	2/15/2005
05-03579-FOIA	Richard Harrison	Faville Inc.	2/15/2005
05-03580-FOIA	Richard Harrison	Fieldstone Investment Corp	2/15/2005
05-03593-FOIA	Richard Harrison	Education Realty Trust, Inc.	2/15/2005
05-03594-FOIA	Richard Harrison	National Interstate Corp.	2/15/2005
05-03595-FOIA	Richard Harrison	Paradigm Medical Industries Inc	2/15/2005
05-03596-FOIA	Richard Harrison	Pierre Foods, Inc.	2/15/2005
05-03597-FOIA	Richard Harrison	United Agri Products Inc	2/15/2005
05-03598-FOIA	Richard Harrison	Building Materials Corp of America	2/15/2005
05-03600-FOIA	Richard Harrison	Medicinova, Inc.	2/15/2005
05-03601-FOIA	Richard Harrison	Frontier Fund	2/15/2005
05-03602-FOIA	Richard Harrison	Newave, Inc.	2/15/2005
05-03603-FOIA	Richard Harrison	Startech Enviromental Corp	2/15/2005
05-03604-FOIA	Richard Harrison	Tri-Security Corp	2/15/2005
05-03605-FOIA	Richard Harrison	Impco Technologies Inc	2/15/2005
05-03606-FOIA	Richard Harrison	SFBC International Inc	2/15/2005
05-03544-FOIA	Richard Harrison	Information Resources Litig Contingent Pymt Rights	2/15/2005
05-03545-FOIA	Richard Harrison	Paradigm Medical Industries, Inc.	2/15/2005
05-03546-FOIA	Richard Harrison	United AGRI Products, Inc.	2/15/2005
05-03547-FOIA	Richard Harrison	Select Asset, Inc.	2/15/2005
05-03549-FOIA	Richard Harrison	UAP Holding Corp.	2/15/2005
05-03550-FOIA	Richard Harrison	Valor Communications Group, Inc.	2/15/2005
05-03551-FOIA	Richard Harrison	ICAGEN, Inc.	2/15/2005
05-03552-FOIA	Richard Harrison	W&T Offshore, Inc.	2/15/2005
05-03554-FOIA	Richard Harrison	Orange Hospitality, Inc.	2/15/2005
05-03555-FOIA	Richard Harrison	E Trade Financial Corp.	2/15/2005
05-03556-FOIA	Richard Harrison	Morgan Stanley	2/15/2005
05-03557-FOIA	Richard Harrison	Greenman Technologies, Inc.	2/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03599-FOIA	Carrick Mollenkamp	Bank of America Corp.	2/15/2005
05-03630-FOIA	Robert Motz	Marlene, Zachary, Daniel, Inc.	2/16/2005
05-03628-FOIA	Robert Motz	Concord Pharmacy Acquisition Corp.	2/16/2005
05-03629-FOIA	Robert Motz	American Psych Systems, Inc.	2/16/2005
05-03624-FOIA	Robert Motz	KBL Healthcare Acquisition Corp.	2/16/2005
05-03626-FOIA	Robert Motz	Concord Health Group, Inc.	2/16/2005
05-03609-FOIA	Robert Motz	Concord Rehabilitation Acquisition Corp.	2/16/2005
05-03611-FOIA	Robert Motz	Concord Service Acquisition Corp.	2/16/2005
05-03613-FOIA	Robert Motz	Cambridge Heart, Inc.	2/16/2005
05-03615-FOIA	Robert Motz	Comprehensive Medical Management, Inc.	2/16/2005
05-03618-FOIA	Robert Motz	Marlene R. Krauss	2/16/2005
05-03620-FOIA	Robert Motz	Zachary C. Berk	2/16/2005
05-03622-FOIA	Robert Motz	Michael D. Kaswan	2/16/2005
05-03607-FOIA	Robert Motz	KBL Healthcare a.k.a. KBL Healthcare Management, Inc. a.k.a. KBL Healthcare Ventures L.P. a.k.a. KBL SBIC, Inc.	2/16/2005
05-00095-APPS	J. Patrick Gavin	Transmeta Corporation	2/16/2005
05-00096-APPS	J. Patrick Gavin	Business Objects, S.A.	2/16/2005
05-00097-APPS	J. Patrick Gavin	McKesson Corporation	2/16/2005
05-00098-APPS	J. Patrick Gavin	Affiliated Computer Services, Inc.	2/16/2005
05-00099-APPS	J. Patrick Gavin	Juniper Networks	2/16/2005
05-00100-APPS	J. Patrick Gavin	MedCo Health Solutions Inc	2/16/2005
05-00101-APPS	J. Patrick Gavin	Carmike Cinemas Inc	2/16/2005
05-00102-APPS	J. Patrick Gavin	Cyberonics Inc	2/16/2005
05-03621-FOIA	Mark Mierzejewski	Dinakar Singh	2/16/2005
05-03619-FOIA	Mark Mierzejewski	Meldrum Asset Management LLC	2/16/2005
05-03612-FOIA	Mark Mierzejewski	TPG-Axon Capital Management LP	2/16/2005
05-03610-FOIA	Mark Mierzejewski	Con Egan (aka Cornelius Egan)	2/16/2005
05-03608-FOIA	Joel Ramin	SAC Capital Associates a.k.a. SAC	2/16/2005
05-03614-FOIA	Andre Jackson	CUSO Financial Services L.P.	2/16/2005
05-03616-FOIA	Andre Jackson	San Mateo Credit Union	2/16/2005
05-03617-FOIA	Robert Mims	The SCO Group a.k.a. Caldera International of Lindon, UT	2/16/2005
05-03623-FOIA	Adam Smith	Accenture, Ltd.	2/16/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03625-FOIA	Margery Johnson	Homecomings Financial Network, Inc.	2/16/2005
05-03627-FOIA	Gregory Kerns	U.S. Bank NA	2/16/2005
05-03631-FOIA	Tina Mancini	Gary Kleinman	2/17/2005
05-03635-FOIA	Bahar Tolou	Maguire Properties, Inc.	2/17/2005
05-03644-FOIA	Kevin Dennis	TruGreen/Chemlawn	2/17/2005
05-03646-FOIA	Mark Levine	Ed Nasser	2/17/2005
05-03650-FOIA	Sarah Kagen	D.E. Shaw & Co, Inc.	2/17/2005
05-03633-FOIA	Joel Ramin	Gerson Lehrman Group	2/17/2005
05-03654-FOIA	Clint Binkley	D.B. Zwirn Partners, LLC	2/17/2005
05-03645-FOIA	Clint Binkley	Daniel Bernard Zwirn	2/17/2005
05-03643-FOIA	Clint Binkley	Highbridge Capital Management, LLC	2/17/2005
05-03641-FOIA	Clint Binkley	Zwirn Holdings, LLC	2/17/2005
05-03639-FOIA	Clint Binkley	D.B. Zwirn & Co. L.P.	2/17/2005
05-03637-FOIA	Clint Binkley	D.B. Zwirn Special Opportunities Fund, LP	2/17/2005
05-03652-FOIA	John Bray	Certain Transactions	2/17/2005
05-03648-FOIA	Mark Vugrinovich	Massachusetts Financial Services Company	2/17/2005
05-03632-FOIA	J. Patrick Gavin	Digital River, Inc.	2/17/2005
05-03634-FOIA	J. Patrick Gavin	Lowrance Electronics, Inc.	2/17/2005
05-03636-FOIA	J. Patrick Gavin	Linear Technology Corporation	2/17/2005
05-03638-FOIA	J. Patrick Gavin	Brown Shoe Company, Inc.	2/17/2005
05-03640-FOIA	J. Patrick Gavin	Aspect Communications Corporation	2/17/2005
05-03642-FOIA	J. Patrick Gavin	Telecommunications, Inc.	2/17/2005
05-03647-FOIA	J. Patrick Gavin	ePlus, Inc.	2/17/2005
05-03649-FOIA	J. Patrick Gavin	AutoNation, Inc.	2/17/2005
05-03651-FOIA	J. Patrick Gavin	Adolph Coors Company	2/17/2005
05-03653-FOIA	J. Patrick Gavin	Flowserve Corporation	2/17/2005
05-03661-FOIA	J. Patrick Gavin	Louisiana Pacific	2/18/2005
05-03663-FOIA	J. Patrick Gavin	Parametric Technology Corporation	2/18/2005
05-03665-FOIA	J. Patrick Gavin	Sapient Corporation	2/18/2005
05-03666-FOIA	J. Patrick Gavin	Oracle Corporation	2/18/2005
05-03668-FOIA	J. Patrick Gavin	Berkshire Hathaway, Inc.	2/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03676-FOIA	J. Patrick Gavin	Tecumseh Products Company	2/18/2005
05-03677-FOIA	J. Patrick Gavin	Vital Signs, Inc.	2/18/2005
05-03678-FOIA	J. Patrick Gavin	iPayment, Inc.	2/18/2005
05-03679-FOIA	J. Patrick Gavin	Media General, Inc.	2/18/2005
05-03680-FOIA	J. Patrick Gavin	Cymer, Inc.	2/18/2005
05-03655-FOIA	Greg Mazur	VCA Antech, Inc.	2/18/2005
05-03659-FOIA	Megan Lynch	Thomas (Tom) D. O'Malley	2/18/2005
05-03675-FOIA	Megan Lynch	Pilot Rock Capital/Pilotrock Capital	2/18/2005
05-03656-FOIA	Christopher Winters	Kennedy Wilson	2/18/2005
05-03657-FOIA	Christopher Winters	Bar-K, Inc.	2/18/2005
05-03658-FOIA	Christopher Winters	Bond Street Capital	2/18/2005
05-03660-FOIA	Christopher Winters	Kennedy Funding	2/18/2005
05-03662-FOIA	Christopher Winters	New Haven Financial/Funding	2/18/2005
05-03667-FOIA	Christopher Winters	All California Funding	2/18/2005
05-03664-FOIA	Christopher Winters	Point Center Financial, Inc.	2/18/2005
05-03672-FOIA	Christopher Winters	Granger Company	2/18/2005
05-03669-FOIA	Christopher Winters	California Mortgage and Realty	2/18/2005
05-03670-FOIA	Christopher Winters	Scripps Investment and Loans	2/18/2005
05-00015-FOPA	Franklin Marone	Frank Marone	2/18/2005
05-03671-FOIA	Sue Peters	Christoper Kenneally	2/18/2005
05-03673-FOIA	Darlene Demos	Washington Mutual Bank, FA	2/18/2005
05-03674-FOIA	Neil King	Washington Mutual Bank	2/18/2005
05-03683-FOIA	Robert York	Novastar Financial Corp.	2/22/2005
05-03689-FOIA	Robert Baratta	Amerace Corporation merger into Thomas & Betts Corporation	2/22/2005
05-03702-FOIA	Thomas Vallarino	Novastar Financial Corp	2/22/2005
05-03685-FOIA	Kevin Pirani	Tom Kempner	2/22/2005
05-03686-FOIA	Kevin Pirani	Scott Fine	2/22/2005
05-03687-FOIA	Kevin Pirani	Tom Steyer	2/22/2005
05-03688-FOIA	Kevin Pirani	Jeffrey Tannenbaum	2/22/2005
05-03709-FOIA	Kevin Pirani	Jeff Lignelli	2/22/2005
05-03710-FOIA	Kevin Pirani	Dan Och	2/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03712-FOIA	Kevin Pirani	Robert Butman	2/22/2005
05-03714-FOIA	Kevin Pirani	John Brennan	2/22/2005
05-03729-FOIA	Kevin Pirani	Adam Weiss	2/22/2005
05-03730-FOIA	Kevin Pirani	Joseph Dowling, III	2/22/2005
05-03731-FOIA	Kevin Pirani	Scott Mayo	2/22/2005
05-03732-FOIA	Kevin Pirani	Jim Bevilaqua	2/22/2005
05-03725-FOIA	Kevin Pirani	Andzrej Rojek	2/22/2005
05-03726-FOIA	Kevin Pirani	Jim Pallotta	2/22/2005
05-03727-FOIA	Kevin Pirani	Brett Barakett	2/22/2005
05-03698-FOIA	Kevin Pirani	Andrew Midler	2/22/2005
05-03692-FOIA	Kevin Pirani	Thomas Sandell	2/22/2005
05-03693-FOIA	Kevin Pirani	Michael Elrad	2/22/2005
05-03694-FOIA	Kevin Pirani	Geoffrey Symonds	2/22/2005
05-03695-FOIA	Kevin Pirani	Steven Shapiro	2/22/2005
05-03696-FOIA	Kevin Pirani	Scott Swid	2/22/2005
05-03697-FOIA	Kevin Pirani	David Knott	2/22/2005
05-03690-FOIA	Kevin Pirani	Carl Tash	2/22/2005
05-03701-FOIA	Kevin Pirani	Jack Swain	2/22/2005
05-03705-FOIA	Kevin Pirani	Steve Mandel	2/22/2005
05-03706-FOIA	Kevin Pirani	James Lyle	2/22/2005
05-03707-FOIA	Kevin Pirani	Michael Roth	2/22/2005
05-03749-FOIA	Kevin Pirani	Krishen Sud	2/22/2005
05-03755-FOIA	Kevin Pirani	Paul Burke	2/22/2005
05-03756-FOIA	Kevin Pirani	Janice Stanton	2/22/2005
05-03757-FOIA	Kevin Pirani	Richard Barry	2/22/2005
05-03758-FOIA	Kevin Pirani	James Crighton	2/22/2005
05-03703-FOIA	Kevin Pirani	Jamie Zimmerman	2/22/2005
05-03720-FOIA	Kevin Pirani	Richard Perry	2/22/2005
05-03751-FOIA	Kevin Pirani	John Hock	2/22/2005
05-03752-FOIA	Kevin Pirani	Treadway Mink	2/22/2005
05-03753-FOIA	Kevin Pirani	Jon Bauer	2/22/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03736-FOIA	Kevin Pirani	Richard Brown	2/22/2005
05-03737-FOIA	Kevin Pirani	Christian Bonomo	2/22/2005
05-03738-FOIA	Kevin Pirani	Joseph Robillard	2/22/2005
05-03739-FOIA	Kevin Pirani	Anthony Buffalano	2/22/2005
05-03740-FOIA	Kevin Pirani	Richard Mayo	2/22/2005
05-03741-FOIA	Kevin Pirani	Steve Feinberg	2/22/2005
05-03742-FOIA	Kevin Pirani	Gil Tenzer	2/22/2005
05-03743-FOIA	Kevin Pirani	Domenic Ferrante	2/22/2005
05-03744-FOIA	Kevin Pirani	Edward Hintz	2/22/2005
05-03745-FOIA	Kevin Pirani	Caryn Seidman Becker	2/22/2005
05-03746-FOIA	Kevin Pirani	T. Brad Conger	2/22/2005
05-03747-FOIA	Kevin Pirani	Carl Bandtel	2/22/2005
05-03717-FOIA	Mark Mierzejewski	MPM BioEquities Adviser, LLC	2/22/2005
05-03708-FOIA	Mark Mierzejewski	Konrad Von Emster	2/22/2005
05-03719-FOIA	Clint Binkley	Clinton Quantitative Equity Strategies Fund, LLC	2/22/2005
05-03733-FOIA	Clint Binkley	Clint Quantitative Equity Strategies Master Fund, Ltd.	2/22/2005
05-00104-APPS	Michael Logan	Lucent Technologies	2/22/2005
05-00103-APPS	Jeffrey Abraham	Levy V Sterling Holdings Co. LLC	2/22/2005
05-03699-FOIA	Denise Chisholm	Investors Financial Services Corp.	2/22/2005
05-03681-FOIA	Michael Spence	David Ballarini	2/22/2005
05-03684-FOIA	Richard Harrison	Toreador Resources Corp (CIK 0000098720)	2/22/2005
05-03691-FOIA	Richard Harrison	Chiquita Brands International, Inc. (CIK 0000101063)	2/22/2005
05-03682-FOIA	Richard Harrison	Scudder Variable Series I	2/22/2005
05-03700-FOIA	Richard Harrison	BMW FS Securiries LLC (CIK 0001136586)	2/22/2005
05-03704-FOIA	Richard Harrison	Headwaters Inc. (CIK00010003344)	2/22/2005
05-03711-FOIA	Richard Harrison	Lehman Brothers Reserve Liquidity Series (CIK 0001317705)	2/22/2005
05-03713-FOIA	Richard Harrison	JNL Series Trust (CIK 0000933691)	2/22/2005
05-03715-FOIA	Richard Harrison	Airgate PCS, Inc.	2/22/2005
05-03716-FOIA	Richard Harrison	Alamosa Holdings, Inc. (CIK 0001120102)	2/22/2005
05-03721-FOIA	Richard Harrison	MTI Technology Corp. (CIK 0000901696)	2/22/2005
05-03722-FOIA	Richard Harrison	Flow International Corp. (CIK 0000713002)	2/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03723-FOIA	Richard Harrison	Molex, Inc. (CIK 0000067472)	2/22/2005
05-03724-FOIA	Richard Harrison	ESB Financial Corp.	2/22/2005
05-03728-FOIA	Richard Harrison	Developers Diversified Realty Corp. (CIK 0000894315)	2/22/2005
05-03734-FOIA	Richard Harrison	PHSB Financial Corp. (CIK 0001158026)	2/22/2005
05-03735-FOIA	Richard Harrison	Lehman Brothers Investor Liquidity Series (CIK 0001317474)	2/22/2005
05-03718-FOIA	Richard Harrison	ATM Financial Corp (0001221554)	2/22/2005
05-03750-FOIA	Richard Harrison	Tyco International, Ltd. (CIK 000083344)	2/22/2005
05-03754-FOIA	Richard Harrison	Tyco International Ltd/Ber (CIK 0000833444)	2/22/2005
05-03748-FOIA	Richard Harrison	MML Series Investment Fund II (CIK 001317146)	2/22/2005
05-03761-FOIA	Michael Spence	Hackman, Baring & Company, Inc.	2/23/2005
05-03762-FOIA	J. Patrick Gavin	ESB Financial Corporation	2/23/2005
05-03764-FOIA	J. Patrick Gavin	CBRL Group, Inc	2/23/2005
05-03768-FOIA	J. Patrick Gavin	INVESTools, Inc.	2/23/2005
05-03769-FOIA	J. Patrick Gavin	Denny's Corporation	2/23/2005
05-03770-FOIA	J. Patrick Gavin	Benihana Inc.	2/23/2005
05-03774-FOIA	J. Patrick Gavin	Calpine Corporation	2/23/2005
05-03778-FOIA	J. Patrick Gavin	Rogers Corporation	2/23/2005
05-03779-FOIA	J. Patrick Gavin	CorVel Corporation	2/23/2005
05-03784-FOIA	J. Patrick Gavin	Parlux Fragrances, Inc.	2/23/2005
05-03785-FOIA	J. Patrick Gavin	Agco Corporation	2/23/2005
05-03788-FOIA	J. Patrick Gavin	AVX Corporation	2/23/2005
05-03790-FOIA	J. Patrick Gavin	Del Laboratories, Inc.	2/23/2005
05-03791-FOIA	J. Patrick Gavin	NTN Communications, Inc.	2/23/2005
05-03795-FOIA	J. Patrick Gavin	Nextel Communications, Inc.	2/23/2005
05-03799-FOIA	J. Patrick Gavin	Boise Cascade Corporation	2/23/2005
05-03801-FOIA	J. Patrick Gavin	Unizan Financial Corp.	2/23/2005
05-03802-FOIA	J. Patrick Gavin	Applied Micro Circuits Corporation	2/23/2005
05-03803-FOIA	J. Patrick Gavin	Century Business Services, Inc.	2/23/2005
05-03807-FOIA	J. Patrick Gavin	EOG Resources, Inc.	2/23/2005
05-03808-FOIA	J. Patrick Gavin	Cummins, Inc.	2/23/2005
05-03777-FOIA	Jeffrey Abraham	Invision Technologies, Inc.	2/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03776-FOIA	Chris Zepf	DSP Group, Inc.	2/23/2005
05-03773-FOIA	Chris Zepf	Emulex Corporation	2/23/2005
05-03766-FOIA	Chris Zepf	FalconStor Software, Inc.	2/23/2005
05-03782-FOIA	Chris Zepf	D.R. Horton, Inc.	2/23/2005
05-03804-FOIA	Chris Zepf	ATI Technologies, Inc.	2/23/2005
05-03805-FOIA	Chris Zepf	Arris Group Incorporated	2/23/2005
05-03806-FOIA	Chris Zepf	Advanced Micro Circuits Corporation	2/23/2005
05-03800-FOIA	Chris Zepf	C-COR Incorporated	2/23/2005
05-03797-FOIA	Chris Zepf	Cisco Systems, Inc.	2/23/2005
05-03798-FOIA	Chris Zepf	Concurrent Computer Corporation	2/23/2005
05-03793-FOIA	Chris Zepf	Centillium Communications, Inc.	2/23/2005
05-03794-FOIA	Chris Zepf	Cypress Semiconductor Corporation	2/23/2005
05-03763-FOIA	Gilbert Colombo	Compaq	2/23/2005
05-03783-FOIA	Kevin Pirani	James Bennett	2/23/2005
05-03786-FOIA	Adam Smith	Accenture Ltd.	2/23/2005
05-03796-FOIA	Megan Lynch	Landmark Investors, LLC	2/23/2005
05-03759-FOIA	Megan Lynch	Ahmed Fattouh	2/23/2005
05-03792-FOIA	Andrew DePeau	Matthew Stevens Tewksbury	2/23/2005
05-03787-FOIA	Andrew DePeau	Tewksbury Capital Management	2/23/2005
05-03767-FOIA	Chris Zepf	Exar Corporation	2/23/2005
05-03760-FOIA	Chris Zepf	Finisar Corporation	2/23/2005
05-03765-FOIA	Larry Greco	Northfield Laboratories Inc	2/23/2005
05-03771-FOIA	Verina Strank	Chase Manhattan Mortgage	2/23/2005
05-03775-FOIA	Verina Strank	Greenpoint Mortgage	2/23/2005
05-03780-FOIA	Verina Strank	Citimortgage, Inc.	2/23/2005
05-03789-FOIA	Verina Strank	Chase Home Finance LLC	2/23/2005
05-03772-FOIA	Brian Hooge	Countrywide Home Loans	2/23/2005
05-03781-FOIA	Carmen Lambeck	Global Industries	2/23/2005
05-03812-FOIA	John Bollinger	David C. Rockola	2/24/2005
05-03824-FOIA	John Bollinger	Peerless Weighing and Vending	2/24/2005
05-03825-FOIA	John Bollinger	Rockola Manufacturing	2/24/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03826-FOIA	John Bollinger	OD Jennings Co	2/24/2005
05-03815-FOIA	David Markun	Sandra Gail Cross	2/24/2005
05-03829-FOIA	Omar Gastelum	International Rarities Inc	2/24/2005
05-03813-FOIA	Andrew DePeau	Ironwood Capital Management	2/24/2005
05-03827-FOIA	Andrew DePeau	Frederick M. Gans	2/24/2005
05-03828-FOIA	Mark Mierzejewski	John M. Bader	2/24/2005
05-03814-FOIA	Mark Mierzejewski	Halcyon	2/24/2005
05-03830-FOIA	Stephen Rosenthal	Gudino Investment Research	2/24/2005
05-03816-FOIA	Stephen Rosenthal	Cambridge ZInvestment Research	2/24/2005
05-03817-FOIA	Stephen Rosenthal	Robert Gudino	2/24/2005
05-00105-APPS	Mark Hunnibell	Mark L. Hunnibell	2/24/2005
05-03821-FOIA	Augustine Papay	Orix Credit Alliance Receivables 20000-B Corp	2/24/2005
05-03822-FOIA	Augustine Papay	Orlix Credit Alliance Receivables Trust 1999-A	2/24/2005
05-03823-FOIA	Augustine Papay	Orix Credit Alliance Receivables	2/24/2005
05-03809-FOIA	Augustine Papay	Orix Credit Alliance Receivables Corp II	2/24/2005
05-03810-FOIA	Augustine Papay	Orix Credit Alliance Receivables Corp III	2/24/2005
05-03811-FOIA	Augustine Papay	Orix Credit Alliance Receivables Trust 2000-B	2/24/2005
05-03818-FOIA	Robert Motz	Reich & Co, Inc	2/24/2005
05-03819-FOIA	Robert Motz	Marc H. Klee	2/24/2005
05-03820-FOIA	Robert Motz	Robert M. Brill	2/24/2005
05-03831-FOIA	Robert Motz	Alan J. Loewenstein	2/24/2005
05-03832-FOIA	Robert Motz	Robert A. Sroka	2/24/2005
05-03833-FOIA	Robert Motz	American Fund Advisors Inc	2/24/2005
05-03834-FOIA	Robert Motz	Philip Goodman	2/24/2005
05-03835-FOIA	Robert Motz	Arthur H. Goldberg	2/24/2005
05-03836-FOIA	Robert Motz	Harvey L. Granat	2/24/2005
05-03837-FOIA	Robert Motz	Barry J. Gordon	2/24/2005
05-03838-FOIA	Lisa Melendez	Daniel L. Burstein	2/25/2005
05-03846-FOIA	Lisa Melendez	Richard Intrator	2/25/2005
05-03843-FOIA	J. Patrick Gavin	Pharmaceutical Industries Ltd.	2/25/2005
05-03844-FOIA	J. Patrick Gavin	Monster Worldwide, Inc.	2/25/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03845-FOIA	J. Patrick Gavin	Seacor Holdings, Inc.	2/25/2005
05-03840-FOIA	J. Patrick Gavin	E-Loan, Inc	2/25/2005
05-03841-FOIA	J. Patrick Gavin	Compass Minerals International, Inc.	2/25/2005
05-03848-FOIA	J. Patrick Gavin	The Rouse Company	2/25/2005
05-03853-FOIA	J. Patrick Gavin	Riggs National Corporation	2/25/2005
05-03854-FOIA	J. Patrick Gavin	Teradyne, Inc.	2/25/2005
05-03855-FOIA	J. Patrick Gavin	Alamo Group	2/25/2005
05-03851-FOIA	J. Patrick Gavin	Safeco Corporation	2/25/2005
05-03847-FOIA	Randy Herschaft	FOIA Requesters for FY 2004	2/25/2005
05-03842-FOIA	LISA MELENDEZ	Robert B. Becker	2/25/2005
05-03852-FOIA	LISA MELENDEZ	Stuart B. Rekant	2/25/2005
05-03857-FOIA	Clint Binkley	Richard Cohen	2/25/2005
05-03856-FOIA	Robert Mims	The SCO Group	2/25/2005
05-03839-FOIA	Jason Itkin	Consolidated Communications Illinois Holdings, Inc.	2/25/2005
05-03849-FOIA	Kirstina Dunn	Vestin	2/25/2005
05-03850-FOIA	Diedra Jenkins	FOIA Specialist/Officer Grades and Series	2/25/2005
05-03859-FOIA	Mark Stageberg	Pinnacle Communications International, Inc.	2/28/2005
05-03858-FOIA	Kevin Spencer	Spencer Mortgage and Investments	2/28/2005
05-03860-FOIA	Keith Raine	Janus	2/28/2005
05-03869-FOIA	Keith Raine	Putnam	2/28/2005
05-03877-FOIA	Keith Raine	Franklin Reserves	2/28/2005
05-03903-FOIA	Keith Raine	Alliance Capital	2/28/2005
05-03896-FOIA	Keith Raine	Edward Jones	2/28/2005
05-03889-FOIA	Keith Raine	Bank One 3-11377, 8/5/04	2/28/2005
05-03890-FOIA	Keith Raine	Strong	2/28/2005
05-03891-FOIA	Keith Raine	Pilgrim Baxtor	2/28/2005
05-03892-FOIA	Keith Raine	Aim/Invesco 3-11702, 3-11701, 10/8/04	2/28/2005
05-03887-FOIA	Jeff Dugan	Johns Manville Corp	2/28/2005
05-03902-FOIA	D. Moore	Rules for Employment	2/28/2005
05-03875-FOIA	Meredith Fuchs	Training Material	2/28/2005
05-03864-FOIA	Kathy Stetts	Brightpoint, Inc. et al.	2/28/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03897-FOIA	J. Patrick Gavin	Highwoods Properties, Inc.	2/28/2005
05-03894-FOIA	J. Patrick Gavin	Standard Motor Products, Inc.	2/28/2005
05-03888-FOIA	J. Patrick Gavin	GulfMark Offshore, Inc.	2/28/2005
05-03884-FOIA	J. Patrick Gavin	Click Commerce Inc.	2/28/2005
05-03881-FOIA	J. Patrick Gavin	Apex Silver Mines Limited	2/28/2005
05-03882-FOIA	J. Patrick Gavin	iVillage, Inc.	2/28/2005
05-03871-FOIA	J. Patrick Gavin	Belden CDT, Inc.	2/28/2005
05-03879-FOIA	J. Patrick Gavin	Masco Corporation	2/28/2005
05-03866-FOIA	J. Patrick Gavin	99 Cents Only Stores	2/28/2005
05-03861-FOIA	J. Patrick Gavin	Frozen Food Express Industries, Inc.	2/28/2005
05-03865-FOIA	Robert Motz	Applebrook Homes LLC	2/28/2005
05-03870-FOIA	Robert Motz	Athena Real Estate LLC	2/28/2005
05-03876-FOIA	Robert Motz	FelCor Lodging Trust Inc	2/28/2005
05-03880-FOIA	Robert Motz	FelCor/LAX Hotels LLC	2/28/2005
05-03878-FOIA	Robert Motz	FelCor Holdings Trust	2/28/2005
05-03895-FOIA	Robert Motz	DJONT/EPT Manager Inc	2/28/2005
05-03886-FOIA	Robert Motz	FelCor/MM S-7 Holdings L.P.	2/28/2005
05-03908-FOIA	Robert Motz	Athena Technology Ventures LLC	2/28/2005
05-03905-FOIA	Robert Motz	Richard J. O'Brien	2/28/2005
05-03862-FOIA	Richard Harrison	Nasdaq Stock Market, Inc. (CIK 0001120193)	2/28/2005
05-03863-FOIA	Richard Harrison	Sepracor, Inc. (CIK 0000877357)	2/28/2005
05-03867-FOIA	Richard Harrison	FedFirst Financial Corp. (CIK 0001308017)	2/28/2005
05-03868-FOIA	Richard Harrison	WMG Acquisition Corp. (CIK 0001309987)	2/28/2005
05-03872-FOIA	Richard Harrison	Colonial Bancgroup, Inc. (CIK 0000092339)	2/28/2005
05-03873-FOIA	Richard Harrison	ATP Oil and Gas Corp. (CIK 0001123647)	2/28/2005
05-03883-FOIA	Richard Harrison	Ormat Funding Corp. (CIK 0001312500)	2/28/2005
05-03893-FOIA	Richard Harrison	Embratel Participacoes SA (CIK 0001066117)	2/28/2005
05-03898-FOIA	Richard Harrison	Aldabra Acquisition Corp (CIK 0001310817)	2/28/2005
05-03899-FOIA	Richard Harrison	Integrated Biopharma, Inc.	2/28/2005
05-03900-FOIA	Richard Harrison	Vaalco Energy, Inc.	2/28/2005
05-03901-FOIA	Richard Harrison	Commercefirst Bancorp	2/28/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03885-FOIA	Richard Harrison	Infinity, Inc. (CIK 0000822746)	2/28/2005
05-03909-FOIA	Richard Harrison	Century Aluminum Co	2/28/2005
05-03910-FOIA	Richard Harrison	Regeneron Pharmaceuticals Inc.	2/28/2005
05-03911-FOIA	Richard Harrison	Delta Air Lines (CIK 0000027904)	2/28/2005
05-03912-FOIA	Richard Harrison	Halliburton Co. (CIK 0000045012)	2/28/2005
05-03913-FOIA	Richard Harrison	Halliburton Co. (CIK 0000045012)	2/28/2005
05-03914-FOIA	Richard Harrison	Century Aluminum Co. (CIK 0000949157)	2/28/2005
05-03915-FOIA	Richard Harrison	Loudeye Corp, file number 333-120700	2/28/2005
05-03916-FOIA	Richard Harrison	Dolby Laboratories Inc, file number 333-120614	2/28/2005
05-03917-FOIA	Richard Harrison	Duska Therapeutics Inc, file number 333-120637	2/28/2005
05-03918-FOIA	Richard Harrison	Sunbelt Bancshares Inc, file number 333-120681	2/28/2005
05-03919-FOIA	Richard Harrison	Plains All American Pipeline LP, file number 333-121168	2/28/2005
05-03920-FOIA	Richard Harrison	Anixter International Inc, file number 333-121428	2/28/2005
05-03921-FOIA	Richard Harrison	Benjamin Franklin Bancorp M.H.C., file number 333-121154	2/28/2005
05-03904-FOIA	Richard Harrison	AMP Productions Ltd (CIK 0001242513)	2/28/2005
05-03906-FOIA	Richard Harrison	Omega Healthcare Investors, Inc. (CIK 0000888491)	2/28/2005
05-03907-FOIA	Richard Harrison	Premcor, Inc. (CIK 0001159119)	2/28/2005
05-03874-FOIA	Don Joe	Asian-American Attorney Positions	2/28/2005
05-03925-FOIA	Richard Harrison	Flow International Corp	3/1/2005
05-03927-FOIA	Richard Harrison	Kore Holdings Inc	3/1/2005
05-03931-FOIA	Richard Harrison	1st Net Technologies Inc	3/1/2005
05-03932-FOIA	Richard Harrison	Mikohn Gaming Corp	3/1/2005
05-03933-FOIA	Richard Harrison	Scudder MG Investments Trust	3/1/2005
05-03938-FOIA	Richard Harrison	Claymore Equity Trust, file number 333-122901	3/1/2005
05-03939-FOIA	Richard Harrison	Oppenheimer Dividend Growth Fund, file number 333-122902	3/1/2005
05-03940-FOIA	Richard Harrison	iHealth, Inc. (CIK 0001217021)	3/1/2005
05-03941-FOIA	Richard Harrison	Alliancebernstein International Premier Growth Fund Inc, file number 333-122912	3/1/2005
05-03951-FOIA	Richard Harrison	Northern Lights Funds Trust, file number 811-21720	3/1/2005
05-03952-FOIA	Richard Harrison	Advent Claymore Enhanced Growth & Income Fund (CIK 0001278460)	3/1/2005
05-03953-FOIA	Richard Harrison	Allmerica Investment Trust, file number- 002-94067	3/1/2005
05-03954-FOIA	Richard Harrison	Adera Mines, Ltd. (CIK 0001281629)	3/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03955-FOIA	Richard Harrison	Dollar Financial Corp. (CIK 0001271625)	3/1/2005
05-03956-FOIA	Richard Harrison	Sparx Funds Trust, file number 333-108229	3/1/2005
05-03944-FOIA	Richard Harrison	Perot Systems Corp. (CIK 0000894253)	3/1/2005
05-03945-FOIA	Richard Harrison	Goldstrike, Inc. (CIK 0001273441)	3/1/2005
05-03946-FOIA	Richard Harrison	SLM Funding LLC (CIK 0000949114)	3/1/2005
05-03960-FOIA	Richard Harrison	Lazard Retirement Series Inc, file number 333-122944	3/1/2005
05-03961-FOIA	Richard Harrison	BV Pharmaceutical, Inc. (CIK 0001282496)	3/1/2005
05-03962-FOIA	Richard Harrison	FNB Corp/ FL	3/1/2005
05-03963-FOIA	Richard Harrison	Threshold Pharmaceuticals, Inc. (CIK 0001183765)	3/1/2005
05-03964-FOIA	Richard Harrison	Express Systems Corp. (CIK 0001074447)	3/1/2005
05-03965-FOIA	Richard Harrison	EGL Holding Company/ Welsh Carson Anderson & Stowe IX LP	3/1/2005
05-03969-FOIA	Richard Harrison	NSD Bancorp Inc	3/1/2005
05-03970-FOIA	Richard Harrison	Quality Distribution LLC (CIK 0001178994)	3/1/2005
05-03971-FOIA	Richard Harrison	Ionics Inc	3/1/2005
05-03972-FOIA	Richard Harrison	Genesis Bioventures, Inc. (CIK 0001084966)	3/1/2005
05-03973-FOIA	Richard Harrison	Select Medical Corp	3/1/2005
05-03974-FOIA	Richard Harrison	Laycor Ventures Corp. (CIK 0001292518)	3/1/2005
05-03975-FOIA	Richard Harrison	Diamond Offshore Drilling Inc, file number 333-121762	3/1/2005
05-03967-FOIA	Richard Harrison	General Electric Co	3/1/2005
05-03977-FOIA	Richard Harrison	Liberty Property Trust, file number 333-120692	3/1/2005
05-03978-FOIA	Richard Harrison	Vivid Learning Systems, Inc. (CIK 0001290689)	3/1/2005
05-03979-FOIA	Richard Harrison	Spirit Finance Corp. (CIK 0001277406)	3/1/2005
05-03980-FOIA	Richard Harrison	Health Systems Solutions, Inc. (CIK 0001093913)	3/1/2005
05-03981-FOIA	Richard Harrison	US Geothermal, Inc. (CIK 000117236)	3/1/2005
05-03984-FOIA	Richard Harrison	New Asat Financs Ltd. (CIK 0001287979)	3/1/2005
05-03985-FOIA	Richard Harrison	Far East Energy Corp. (CIK 0001124024)	3/1/2005
05-03986-FOIA	Richard Harrison	Frontier Staffing, Inc. (CIK 000127998)	3/1/2005
05-03936-FOIA	Mark Edwards	Monoclonal Antibodies Inc	3/1/2005
05-03937-FOIA	Mark Edwards	Regeneron Pharmaceuticals, Inc.	3/1/2005
05-03943-FOIA	Mark Edwards	Genelabs Technologies, Inc.	3/1/2005
05-03959-FOIA	Mark Edwards	Chemtrak Incorporated	3/1/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03949-FOIA	Mark Edwards	Cetus Corporation	3/1/2005
05-03950-FOIA	Mark Edwards	Chirex, Inc.	3/1/2005
05-03987-FOIA	Mark Edwards	Genelabs Technologies, Inc.	3/1/2005
05-03988-FOIA	Mark Edwards	I-STAT Corporation	3/1/2005
05-03989-FOIA	Mark Edwards	Target Therapeutics, Inc.	3/1/2005
05-03924-FOIA	Mark Edwards	Chem Trak Incorporated	3/1/2005
05-03930-FOIA	Mark Edwards	Cell Therapeutics Inc	3/1/2005
05-03968-FOIA	Mark Edwards	GeneMedicine, Inc.	3/1/2005
05-03982-FOIA	Mark Edwards	SyStemix, Inc.	3/1/2005
05-03983-FOIA	Mark Edwards	T Cell Sciences a.k.a. Avant Immunotherapeutics	3/1/2005
05-03976-FOIA	Mark Edwards	Sphinx Pharmaceuticals Corporation	3/1/2005
05-03966-FOIA	J. Patrick Gavin	Knight-Ridder, Inc.	3/1/2005
05-03947-FOIA	J. Patrick Gavin	Cooper Tire & Rubber	3/1/2005
05-03948-FOIA	J. Patrick Gavin	CDW Corporation f.k.a. CDW Computer Centers, Inc.	3/1/2005
05-03957-FOIA	J. Patrick Gavin	Ryder Systems, Inc.	3/1/2005
05-03958-FOIA	J. Patrick Gavin	Fomento Economico Mexicano	3/1/2005
05-03942-FOIA	J. Patrick Gavin	PPG Industries, Inc.	3/1/2005
05-03934-FOIA	J. Patrick Gavin	Amylin Pharmaceuticals Inc	3/1/2005
05-03935-FOIA	J. Patrick Gavin	Watts Water Technologies, Inc. f.k.a. Watts Industries, Inc.	3/1/2005
05-03922-FOIA	J. Patrick Gavin	Honeywell International Inc	3/1/2005
05-03929-FOIA	J. Patrick Gavin	Dynegy Inc	3/1/2005
05-03926-FOIA	Andrew DePeau	Peter H. Huizenga	3/1/2005
05-03923-FOIA	Andrew DePeau	Huizenga Capital Management	3/1/2005
05-03928-FOIA	Theresa King	Wells Fargo Bank, N.A.	3/1/2005
05-03990-FOIA	Charles Crown	Countrywide Home Loans/Quicken Loans	3/2/2005
05-03995-FOIA	Taylor Flanery	Competitive Technologies, Inc.	3/2/2005
05-04014-FOIA	Mitchell Herr	KS Advisors, Inc. et al.	3/2/2005
05-04023-FOIA	Roy Robbins	United States Crude International, Inc.	3/2/2005
05-04030-FOIA	Steven Bacon	Heartland Advisors, Inc.	3/2/2005
05-03997-FOIA	Megan Lynch	Jana Partners	3/2/2005
05-03992-FOIA	Megan Lynch	Gary E. Claar	3/2/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04045-FOIA	Chris Zepf	Maxim Integrated Products, Inc.	3/2/2005
05-04046-FOIA	Chris Zepf	National Semiconductor	3/2/2005
05-04050-FOIA	Chris Zepf	Mindspeed Technologies, Inc.	3/2/2005
05-04051-FOIA	Chris Zepf	Lennar Corporation	3/2/2005
05-03993-FOIA	Chris Zepf	ValueClick, Inc.	3/2/2005
05-04002-FOIA	Chris Zepf	Terayon Communication Systems, Inc.	3/2/2005
05-04015-FOIA	Chris Zepf	International Rectifier Corporation	3/2/2005
05-04016-FOIA	Chris Zepf	Pulte Homes, Inc.	3/2/2005
05-04017-FOIA	Chris Zepf	Sigmatel Incorporated	3/2/2005
05-04022-FOIA	Chris Zepf	Hollywood Media Corporation	3/2/2005
05-04012-FOIA	Chris Zepf	KB Home	3/2/2005
05-04013-FOIA	Chris Zepf	Network Appliance, Inc.	3/2/2005
05-04007-FOIA	Chris Zepf	Storage Technology Corporation	3/2/2005
05-04033-FOIA	Chris Zepf	RealNetworks, Inc.	3/2/2005
05-04034-FOIA	Chris Zepf	QLGC Corporation	3/2/2005
05-04035-FOIA	Chris Zepf	United States Steel Corporation	3/2/2005
05-04036-FOIA	Chris Zepf	Qualcomm, Inc.	3/2/2005
05-04038-FOIA	Chris Zepf	Vitesse Semiconductor Corporation	3/2/2005
05-04039-FOIA	Chris Zepf	Power Integrations, Inc.	3/2/2005
05-04040-FOIA	Chris Zepf	PMC-Sierra, Inc.	3/2/2005
05-04041-FOIA	Chris Zepf	Pixar	3/2/2005
05-04024-FOIA	Chris Zepf	SeaChange International, Inc.	3/2/2005
05-04025-FOIA	Chris Zepf	Xilinx Inc	3/2/2005
05-03998-FOIA	Chris Zepf	Toll Brothers, Inc.	3/2/2005
05-04031-FOIA	Chris Zepf	The Ryland Group, Inc.	3/2/2005
05-00107-APPS	Emmett Denby	Newton County Georgia Water Revenue Bonds	3/2/2005
05-04006-FOIA	Justin Cannizzaro	Paul Crone	3/2/2005
05-04010-FOIA	Justin Cannizzaro	Paul Touradjji	3/2/2005
05-04011-FOIA	Justin Cannizzaro	Peter A. Lopez	3/2/2005
05-04021-FOIA	Justin Cannizzaro	Andreas Hommert	3/2/2005
05-04001-FOIA	Justin Cannizzaro	Jim Stellakis	3/2/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-03996-FOIA	Justin Cannizzaro	Jade Ferreri	3/2/2005
05-03991-FOIA	Justin Cannizzaro	Tom Dwan	3/2/2005
05-04049-FOIA	Justin Cannizzaro	Alvin Thomas	3/2/2005
05-04044-FOIA	Justin Cannizzaro	Quinn R. Stills	3/2/2005
05-04000-FOIA	Dan Mahoney	JLG Industries, Inc.	3/2/2005
05-04005-FOIA	Erich Schwartz	Documents released in FOIA #2004-2913	3/2/2005
05-00106-APPS	Jonathan Behar	Activision Inc	3/2/2005
05-03994-FOIA	Richard Harrison	Verdisys, Inc. (CIK 0001141197)	3/2/2005
05-03999-FOIA	Richard Harrison	Behringer Harvard Reit I, Inc. (CIK 0001176373)	3/2/2005
05-04003-FOIA	Richard Harrison	Vertex Pharmaceuticals, Inc. (CIK 0000875320)	3/2/2005
05-04004-FOIA	Richard Harrison	Public Service Enterprise Group, Inc. (CIK 0000788784)	3/2/2005
05-04008-FOIA	Richard Harrison	Jostens IH Corp. (CIK 0001308085)	3/2/2005
05-04009-FOIA	Richard Harrison	China Digital Wireless, Inc. (CIK 0000721693)	3/2/2005
05-04018-FOIA	Richard Harrison	Click Commerce Inc	3/2/2005
05-04019-FOIA	Richard Harrison	Universal Truckload Services, Inc. (CIK 0001308208)	3/2/2005
05-04020-FOIA	Richard Harrison	Yukon Resources Corp. (CIK 0001302084)	3/2/2005
05-04026-FOIA	Richard Harrison	Virtgame.com, Corp. (CIK 0001040022)	3/2/2005
05-04027-FOIA	Richard Harrison	Inverness Medical Innovations, Inc. (CIK 0001145460)	3/2/2005
05-04028-FOIA	Richard Harrison	China Health Holding Inc	3/2/2005
05-04029-FOIA	Richard Harrison	WPP Finance	3/2/2005
05-04032-FOIA	Richard Harrison	Smart Online Inc	3/2/2005
05-04043-FOIA	Richard Harrison	Alton Ventures	3/2/2005
05-04047-FOIA	Richard Harrison	ECC Capital Corp	3/2/2005
05-04048-FOIA	Richard Harrison	Stallion Group	3/2/2005
05-04052-FOIA	Richard Harrison	Verlink Corp	3/2/2005
05-04053-FOIA	Richard Harrison	Itron Inc.	3/2/2005
05-04056-FOIA	Richard Harrison	Dividend Capital Realty Income Allocation Fund (CIK 0001310445)	3/3/2005
05-04058-FOIA	Richard Harrison	Learningwire.com (CIK 0001309764)	3/3/2005
05-04060-FOIA	Richard Harrison	RMS Titanic, Inc. (CIK 0000796764)	3/3/2005
05-04064-FOIA	Richard Harrison	Aquantive, Inc. (CIK 0001071806)	3/3/2005
05-04065-FOIA	Richard Harrison	Human Genome Sciences, Inc. (CIK 0000901219)	3/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04066-FOIA	Richard Harrison	Amedia Networks, Inc. (CIK 0000933955)	3/3/2005
05-04067-FOIA	Richard Harrison	Medical Exchange Inc.	3/3/2005
05-04068-FOIA	Richard Harrison	Sovereign Bancorp Inc	3/3/2005
05-04069-FOIA	Richard Harrison	Host Marriott LP	3/3/2005
05-04070-FOIA	Richard Harrison	Stanadyne Corp	3/3/2005
05-04071-FOIA	Richard Harrison	Dex Media West Finance Co	3/3/2005
05-04072-FOIA	Richard Harrison	Lam Liang Corp.	3/3/2005
05-04062-FOIA	Richard Harrison	Aquantive, Inc. (CIK 0001071806)	3/3/2005
05-04074-FOIA	Richard Harrison	Huntsman Corp	3/3/2005
05-04076-FOIA	Richard Harrison	Crown Holdings Inc.	3/3/2005
05-04078-FOIA	Richard Harrison	Cyberkinetics Neurotechnology Systems, Inc.	3/3/2005
05-04083-FOIA	Richard Harrison	Biosphere Medical Inc	3/3/2005
05-04077-FOIA	J. Patrick Gavin	DaimlerChrysler AG	3/3/2005
05-04075-FOIA	J. Patrick Gavin	Caterpillar Inc	3/3/2005
05-04063-FOIA	J. Patrick Gavin	Astrazenaca PLC	3/3/2005
05-04073-FOIA	J. Patrick Gavin	MedImmune Inc.	3/3/2005
05-04061-FOIA	J. Patrick Gavin	CT Communications, Inc.	3/3/2005
05-04059-FOIA	J. Patrick Gavin	Gateway, Inc.	3/3/2005
05-04057-FOIA	J. Patrick Gavin	Nicor, Inc.	3/3/2005
05-04055-FOIA	J. Patrick Gavin	Harley-Davidson, Inc.	3/3/2005
05-04080-FOIA	J. Patrick Gavin	May Department Stores Company	3/3/2005
05-04081-FOIA	J. Patrick Gavin	Novell Inc.	3/3/2005
05-04054-FOIA	Daniel Stiles	Tellium, Inc.	3/3/2005
05-04079-FOIA	Daniel Stiles	ONI Systems Corp	3/3/2005
05-04082-FOIA	Daniel Stiles	CoSine Communications, Inc.	3/3/2005
05-04085-FOIA	Marilyn Brenner	Fisher Investments Inc. and/or Taylor Sutherland	3/4/2005
05-04086-FOIA	Brian Furrer	Novastar Financial	3/4/2005
05-04088-FOIA	William Fagnes	Stockham Value Pipe & Fitting, Inc.	3/4/2005
05-04098-FOIA	Jason D'Agneica	Adelphia Communications Corp. and/or Charter Communications, Inc.	3/4/2005
05-04094-FOIA	Patrick Walsh	Jeff Peters	3/4/2005
05-04095-FOIA	Patrick Walsh	Thomas Timbie	3/4/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04096-FOIA	Patrick Walsh	ev3, Inc. a.k.a. Endicormed	3/4/2005
05-04103-FOIA	Patrick Walsh	Stacy Seng, A/K/A Stacy Enxing	3/4/2005
05-04104-FOIA	Patrick Walsh	James Corbett, A/K/A Jim Corbett	3/4/2005
05-00109-APPS	J. Patrick Gavin	Marvel Enterprises Inc	3/4/2005
05-00110-APPS	J. Patrick Gavin	Mosaic Nutraceuticals Corp	3/4/2005
05-00111-APPS	J. Patrick Gavin	Pediatrix Medical Group	3/4/2005
05-00114-APPS	J. Patrick Gavin	Key Corp	3/4/2005
05-00115-APPS	J. Patrick Gavin	Caremark RX Inc	3/4/2005
05-00112-APPS	J. Patrick Gavin	Huntington Bancshares Inc	3/4/2005
05-00113-APPS	J. Patrick Gavin	Navistar International Corporation	3/4/2005
05-00108-APPS	J. Patrick Gavin	Cendant Corporation	3/4/2005
05-00116-APPS	J. Patrick Gavin	NCI Building Systems Inc	3/4/2005
05-00120-APPS	J. Patrick Gavin	Isonics Corporation	3/4/2005
05-00121-APPS	J. Patrick Gavin	Xcel Energy Inc	3/4/2005
05-00122-APPS	J. Patrick Gavin	Adtran Inc	3/4/2005
05-00123-APPS	J. Patrick Gavin	Travelzoo Inc	3/4/2005
05-00124-APPS	J. Patrick Gavin	Lexmark International Inc	3/4/2005
05-00125-APPS	J. Patrick Gavin	Fifth Third Bancorp	3/4/2005
05-00126-APPS	J. Patrick Gavin	Motorola Inc	3/4/2005
05-00127-APPS	J. Patrick Gavin	Intergrated Electrical Services Inc	3/4/2005
05-00119-APPS	J. Patrick Gavin	FleetBotson Financial Corporation	3/4/2005
05-00117-APPS	J. Patrick Gavin	UST Inc	3/4/2005
05-00118-APPS	J. Patrick Gavin	Merck & Co Inc	3/4/2005
05-04100-FOIA	Michael Spence	Gregg L. McNelis	3/4/2005
05-04099-FOIA	Michael Spence	John L. Deming, Jr.	3/4/2005
05-04097-FOIA	Michael Spence	Roymar Ship Management	3/4/2005
05-04089-FOIA	Michael Spence	Ferdinand Victor Lepere	3/4/2005
05-04084-FOIA	Michael Spence	Lawerence A. Blatte	3/4/2005
05-04087-FOIA	Michael Spence	Joseph Earl Royce	3/4/2005
05-04093-FOIA	Michael Spence	Alexander G. Phocas	3/4/2005
05-04105-FOIA	Michael Spence	TBS Shipping Services, Inc.	3/4/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04102-FOIA	Michael Spence	Deming Consulting, Inc.	3/4/2005
05-04091-FOIA	Evan Smith	Ryland Group, Inc.	3/4/2005
05-04101-FOIA	James Kerrigan	FY 2006 Information Technology (IT) buget submission	3/4/2005
05-04109-FOIA	Richard Harrison	Frankfort First Bancorp, Inc. (CIK 0000930182)	3/7/2005
05-04117-FOIA	Richard Harrison	BT Group PLC	3/7/2005
05-04122-FOIA	Richard Harrison	Istar Financial, Inc. (CIK 0001085651)	3/7/2005
05-04126-FOIA	Richard Harrison	Falcon Financial Investment Trust	3/7/2005
05-04124-FOIA	Richard Harrison	Westamerica Bancorporation	3/7/2005
05-04134-FOIA	Richard Harrison	Quantum Fuel Systems Technologies Worldwide Inc	3/7/2005
05-04135-FOIA	Richard Harrison	Ebookers PLC	3/7/2005
05-04141-FOIA	Richard Harrison	Cendant Corp	3/7/2005
05-04142-FOIA	Richard Harrison	Cemex Sa De Cv	3/7/2005
05-04146-FOIA	Richard Harrison	Toronto Dominion Bank	3/7/2005
05-04150-FOIA	Richard Harrison	Novel Denim Holdings Ltd	3/7/2005
05-04153-FOIA	Richard Harrison	Western Standard Corp	3/7/2005
05-04154-FOIA	Richard Harrison	Infonet Services Corp	3/7/2005
05-04156-FOIA	Richard Harrison	RMC Group PLC	3/7/2005
05-04157-FOIA	Richard Harrison	Redwood Empire Bancorp	3/7/2005
05-04158-FOIA	Richard Harrison	Starcraft Corp	3/7/2005
05-04159-FOIA	Richard Harrison	nicholas Applegate Institutional Funds	3/7/2005
05-04160-FOIA	Richard Harrison	New Century Portfolios	3/7/2005
05-04161-FOIA	Richard Harrison	Scudder Money Funds	3/7/2005
05-04162-FOIA	Richard Harrison	Equity Lifestyle Properties Inc	3/7/2005
05-04167-FOIA	Richard Harrison	Banknorth Group Inc	3/7/2005
05-04108-FOIA	Mark Edwards	Genelabs Technologies, Inc.	3/7/2005
05-04115-FOIA	Mark Edwards	I-STAT Corporation	3/7/2005
05-04118-FOIA	Mark Edwards	Celtrix Pharmaceuticals, Inc.	3/7/2005
05-04136-FOIA	Mark Edwards	Ixion Biotechnology, Inc.	3/7/2005
05-04163-FOIA	Mark Edwards	ImmuCell Corporation	3/7/2005
05-04114-FOIA	J. Patrick Gavin	RenaissanceRe Holdings Ltd	3/7/2005
05-04111-FOIA	J. Patrick Gavin	Transocean, Inc.	3/7/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04125-FOIA	J. Patrick Gavin	Presidential Life Corporation	3/7/2005
05-04130-FOIA	J. Patrick Gavin	Acxiom Corporation	3/7/2005
05-04120-FOIA	J. Patrick Gavin	Allegheny Energy, Inc.	3/7/2005
05-04149-FOIA	J. Patrick Gavin	Sprint Corporation	3/7/2005
05-04145-FOIA	J. Patrick Gavin	Wintrust Financial Corporation	3/7/2005
05-04137-FOIA	J. Patrick Gavin	Three-Five Systems, Inc.	3/7/2005
05-04140-FOIA	J. Patrick Gavin	People's Energy Corporation	3/7/2005
05-04166-FOIA	J. Patrick Gavin	Pulte Homes Inc	3/7/2005
05-04106-FOIA	Patrick Walsh	James A. Ditanna	3/7/2005
05-04107-FOIA	Steven Salberta	Dow Jones & Co, Inc.	3/7/2005
05-04165-FOIA	Steven Salberta	TheStreet.com Inc	3/7/2005
05-04119-FOIA	Steven Salberta	Electronic Arts Inc	3/7/2005
05-04112-FOIA	Steven Salberta	SupportSoft Inc	3/7/2005
05-04113-FOIA	Steven Salberta	GSI Commerce, Inc.	3/7/2005
05-04131-FOIA	Steven Salberta	Open Text Corp	3/7/2005
05-04132-FOIA	Steven Salberta	Agile Software Corp.	3/7/2005
05-04133-FOIA	Steven Salberta	VeriSign Inc	3/7/2005
05-04121-FOIA	Steven Salberta	Novell Inc	3/7/2005
05-04127-FOIA	Steven Salberta	Autodesk Inc	3/7/2005
05-04128-FOIA	Steven Salberta	Cadence Design Systems Inc	3/7/2005
05-04139-FOIA	Steven Salberta	Matrixone Inc	3/7/2005
05-04144-FOIA	Steven Salberta	Interwoven Inc	3/7/2005
05-04148-FOIA	Steven Salberta	Cogent Inc	3/7/2005
05-04155-FOIA	Steven Salberta	Oracle Corp	3/7/2005
05-04151-FOIA	Steven Salberta	Salesforce.com	3/7/2005
05-04152-FOIA	Steven Salberta	Check Point Software Technologies	3/7/2005
05-04147-FOIA	Megan Lynch	Gregory Alvara Forero	3/7/2005
05-04116-FOIA	Megan Lynch	First Ship Management, LP	3/7/2005
05-04123-FOIA	Brian Weisman	Open Text Corporation	3/7/2005
05-04129-FOIA	Chris Miles	Circle Group Holdings, Inc.	3/7/2005
05-04110-FOIA	Dawn Gilbertson	Taser International Inc	3/7/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04138-FOIA	Dawn Gilbertson	Apollo Group Inc	3/7/2005
05-04143-FOIA	Attilio Bisio	Exxon Corporation, Mobile Corporation, or Exxon Mobile Corporation	3/7/2005
05-04164-FOIA	Mary Helburn	NFI(Novastar Financial Inc)	3/7/2005
05-04174-FOIA	Gregory Goett	Barron Chase Securities, Inc.	3/8/2005
05-04175-FOIA	Lyle Davies	Beneficial California	3/8/2005
05-04176-FOIA	Michelle Sweet	Washington Mutual Bank	3/8/2005
05-04177-FOIA	Patricia Foster	Donald R. Chambers	3/8/2005
05-04173-FOIA	Randall Smith	SEC Investigative File No. HO-3291	3/8/2005
05-04178-FOIA	Michael Jones	Mutual Risk Management, Ltd.	3/8/2005
05-04168-FOIA	Ben Davis	Wyck J. Brown	3/8/2005
05-04169-FOIA	Ben Davis	Garrett E. Tripp	3/8/2005
05-04170-FOIA	Ben Davis	Braddock Financial	3/8/2005
05-04171-FOIA	Ben Davis	Galena Street Series	3/8/2005
05-04172-FOIA	Ben Davis	Galena Street Fund	3/8/2005
05-04179-FOIA	Daniel Solin	National Association of Securities Dealers (NASD) awards	3/9/2005
05-04180-FOIA	Peter Schenck	Horizon Cash Management	3/9/2005
05-04182-FOIA	Vincent Tecchio	Citicorp Mortgage Securities Inc.	3/9/2005
05-04183-FOIA	Stephen Back	D. E Shaw & Co Inc	3/9/2005
05-04181-FOIA	Roger Parsons	Parsons Securities, Inc. a.k.a. Columbus Equities International, Inc.	3/9/2005
05-04208-FOIA	Margaret Paulsen	Proposed Regulation B on push outs for banks	3/10/2005
05-04212-FOIA	Les Greenberg	NASD Dispute Regulation	3/10/2005
05-04187-FOIA	Michael Spence	John Leo	3/10/2005
05-04196-FOIA	Michael Spence	Robert C. Bernaski	3/10/2005
05-04189-FOIA	Michael Spence	Paul Christopher Cervellero	3/10/2005
05-04207-FOIA	Michael Spence	Thomas J. Cummings	3/10/2005
05-04210-FOIA	Michael Spence	Randall Howard Gilson	3/10/2005
05-04211-FOIA	Michael Spence	Keystone Printed Specialties company, LLC	3/10/2005
05-04190-FOIA	Jason Fedo	J. Peek Garlington Jr.	3/10/2005
05-04195-FOIA	Patricia Manning	Clinical Intelligence, Inc.	3/10/2005
05-04206-FOIA	Larry Badford	ATMI, Inc.	3/10/2005
05-04185-FOIA	Mark Mierzejewski	Robert Citrone	3/10/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04191-FOIA	Mark Mierzejewski	Discovery Capital Management, LLC	3/10/2005
05-04209-FOIA	Laura Anthony	Madison sports and Entertainment Group, Inc.	3/10/2005
05-04197-FOIA	Patrick Walsh	Helmut A. Albrecht, M.D.	3/10/2005
05-04198-FOIA	Patrick Walsh	Michael J. Valentino	3/10/2005
05-04199-FOIA	Patrick Walsh	Florida Safety Foundation, Inc.	3/10/2005
05-04200-FOIA	Patrick Walsh	Riscorp-IAA, Inc.	3/10/2005
05-04201-FOIA	Patrick Walsh	Riscorp Managed Care Services, Inc.	3/10/2005
05-04202-FOIA	Patrick Walsh	Riscorp Management Services, Inc.	3/10/2005
05-04203-FOIA	Patrick Walsh	TJM Consulting, Inc.	3/10/2005
05-04204-FOIA	Patrick Walsh	1390 Main Street Services, Inc.	3/10/2005
05-04205-FOIA	Patrick Walsh	Adams Respiratory Therapeutics	3/10/2005
05-04188-FOIA	Patrick Walsh	John S. Thievon	3/10/2005
05-04186-FOIA	Patrick Walsh	Susan A. Witham	3/10/2005
05-04192-FOIA	Patrick Walsh	Walter E. Riehemann	3/10/2005
05-04193-FOIA	Patrick Walsh	Robert Casale a.k.a. Bob Casale	3/10/2005
05-04194-FOIA	Patrick Walsh	David P. Becker a.k.a Dave Becker	3/10/2005
05-04184-FOIA	Patrick Walsh	Adams Laboratories	3/10/2005
05-04226-FOIA	Becky Conover	Stephen A. Friscia	3/11/2005
05-04227-FOIA	Becky Conover	John A. Levin & Co., Inc.	3/11/2005
05-04214-FOIA	Becky Conover	Daniel E. Aron	3/11/2005
05-04216-FOIA	Becky Conover	Jordan D. Alexander	3/11/2005
05-04217-FOIA	Becky Conover	John A. Levin	3/11/2005
05-04224-FOIA	Becky Conover	Glenn A. Aigen	3/11/2005
05-04213-FOIA	Regina Clemencia	Letter from Tamar Frankel	3/11/2005
05-04223-FOIA	Randy Herschaft	NCO Group, Inc.	3/11/2005
05-04215-FOIA	Randy Herschaft	Encore Capital Group, Inc.	3/11/2005
05-04225-FOIA	Randy Herschaft	Micro Financial Inc.	3/11/2005
05-04218-FOIA	J. Patrick Gavin	Dominion Resources, Inc.	3/11/2005
05-04219-FOIA	J. Patrick Gavin	CEC Entertainment, Inc.	3/11/2005
05-04220-FOIA	J. Patrick Gavin	Applebee's International, Inc.	3/11/2005
05-04221-FOIA	J. Patrick Gavin	Big Lots, Inc.	3/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04222-FOIA	J. Patrick Gavin	Charming Shoppes, Inc.	3/11/2005
05-04228-FOIA	J. Patrick Gavin	American Tower Corporation	3/11/2005
05-04229-FOIA	J. Patrick Gavin	ARRIS Group, Inc.	3/11/2005
05-04230-FOIA	J. Patrick Gavin	Brinker International, Inc.	3/11/2005
05-04231-FOIA	J. Patrick Gavin	Albertson's, Inc.	3/11/2005
05-04232-FOIA	J. Patrick Gavin	Textron Inc.	3/11/2005
05-04233-FOIA	J. Patrick Gavin	Thomas & Betts Corporation	3/11/2005
05-04234-FOIA	J. Patrick Gavin	CMS Energy Corporation	3/11/2005
05-04236-FOIA	J. Patrick Gavin	Ford Motor	3/11/2005
05-04237-FOIA	J. Patrick Gavin	Borders Group, Inc.	3/11/2005
05-04238-FOIA	J. Patrick Gavin	Hercules, Incorporated	3/11/2005
05-04250-FOIA	J. Patrick Gavin	O'Reilly Automotive, Inc.	3/14/2005
05-04242-FOIA	J. Patrick Gavin	CVS Corporation	3/14/2005
05-04272-FOIA	J. Patrick Gavin	McDonald's Corporation	3/14/2005
05-04278-FOIA	J. Patrick Gavin	Landry's Restaurants, Inc.	3/14/2005
05-04280-FOIA	J. Patrick Gavin	Penney Co., Inc. aka Penny J. C., Inc.	3/14/2005
05-04281-FOIA	J. Patrick Gavin	Goodyear Tire & Rubber Company	3/14/2005
05-04283-FOIA	J. Patrick Gavin	Domino's Pizza, Inc.	3/14/2005
05-04284-FOIA	J. Patrick Gavin	SLM Corporation	3/14/2005
05-04267-FOIA	J. Patrick Gavin	Crown Castle International Corp	3/14/2005
05-04268-FOIA	J. Patrick Gavin	Advent Software	3/14/2005
05-04269-FOIA	J. Patrick Gavin	Gap Inc., aka, The Gap, Inc.	3/14/2005
05-04257-FOIA	J. Patrick Gavin	Lone Star Steakhouse & Saloon, Inc.	3/14/2005
05-04260-FOIA	J. Patrick Gavin	Kohl's Corporation	3/14/2005
05-04261-FOIA	J. Patrick Gavin	Jack in the Box, Inc.	3/14/2005
05-04262-FOIA	J. Patrick Gavin	Outback Steakhouse, Inc.	3/14/2005
05-04240-FOIA	Richard Harrison	State Farm Investment Management Corp (CIK 0000729056)	3/14/2005
05-04246-FOIA	Richard Harrison	Van Eck Alternatives Index Fund (CIK 0001137360)	3/14/2005
05-04248-FOIA	Richard Harrison	ecollege.com (CIK 0001085653)	3/14/2005
05-04254-FOIA	Richard Harrison	Grey Global Group, Inc. (CIK 0000043952)	3/14/2005
05-04265-FOIA	Richard Harrison	Mainstay Funds	3/14/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04270-FOIA	Richard Harrison	PMFM Investment Trust	3/14/2005
05-04275-FOIA	Richard Harrison	Commercial National Financial Corp/MI	3/14/2005
05-04276-FOIA	Richard Harrison	WPP Group PLC	3/14/2005
05-04252-FOIA	Regina Clemencia	A letter written by Tamar Frankel ,Professor of Law, Boston University School of Law	3/14/2005
05-04243-FOIA	Lynn Taylor	Castro's Company D.M. C., Inc.	3/14/2005
05-04258-FOIA	Claudette Clayton	TV Azteca	3/14/2005
05-04263-FOIA	Gary Borstein	Royal Dutch Petroleum	3/14/2005
05-04273-FOIA	Hugh Karangelen	A List of the employees in the building of the U.S. SEC at 450 5th Street, NW, Washington, DC 20549	3/14/2005
05-04279-FOIA	Jeanette Stewart	Virtual World of Sports	3/14/2005
05-04282-FOIA	Denise Chisholm	Investors Financial Services Corp	3/14/2005
05-04239-FOIA	Becky Conover	Levin Management Co, Inc.	3/14/2005
05-04244-FOIA	Becky Conover	Norris Nissim	3/14/2005
05-04245-FOIA	Becky Conover	Levco GP, Inc.	3/14/2005
05-04274-FOIA	Becky Conover	BKF Capital Group, Inc.	3/14/2005
05-04264-FOIA	Becky Conover	Levco Securities, Inc.	3/14/2005
05-04253-FOIA	Becky Conover	Baker, Fentress & Co, Inc.	3/14/2005
05-04259-FOIA	Mark Mierzejewski	Anne Dinning	3/14/2005
05-04251-FOIA	Stephen Rosenthal	Market Trend Advisors, Ltd.	3/14/2005
05-04256-FOIA	Bernard Lebedeker	Arthur Andersen LLP	3/14/2005
05-04255-FOIA	Laura Triay	Dorset Energy Fund Limited L.P.	3/14/2005
05-04249-FOIA	Laura Triay	Dorset Management Corporation	3/14/2005
05-04247-FOIA	Laura Triay	David Knott	3/14/2005
05-04241-FOIA	Laura Triay	Dorset Management Corporation	3/14/2005
05-04271-FOIA	Laura Triay	Dorset Energy Fund Limited L.P.	3/14/2005
05-04266-FOIA	Laura Triay	Don Texter	3/14/2005
05-04277-FOIA	Laura Triay	Don Texter	3/14/2005
05-04287-FOIA	Jeffrey Meyerson	Brokerage America, LLC	3/15/2005
05-04288-FOIA	Jeffrey Meyerson	The Benchmark Company, LLC	3/15/2005
05-04289-FOIA	Derek Mello	Delphi Corporation	3/15/2005
05-04290-FOIA	Wade McKnight	Stephen J. Czech	3/15/2005
05-04285-FOIA	Wade McKnight	Mark M. Lee	3/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04286-FOIA	Wade McKnight	Contrarian Long Short	3/15/2005
05-00128-APPS	Kevin Mc Eney	Munder Capital Management	3/15/2005
05-04292-FOIA	Mark Wasserman	Phase Forward Incorporated	3/16/2005
05-04322-FOIA	Robert Motz	Kramer Love & Cutler	3/16/2005
05-04320-FOIA	Robert Motz	Paul Kramer	3/16/2005
05-04314-FOIA	Robert Motz	SFX Entertainment, Inc. aka SFX Broadcasting	3/16/2005
05-04317-FOIA	Robert Motz	Millennium Tedchnology Ventures LLC	3/16/2005
05-04308-FOIA	Robert Motz	Squibnocket Partners LLC	3/16/2005
05-04311-FOIA	Robert Motz	Josara Companies, Inc.	3/16/2005
05-04293-FOIA	Robert Motz	Non Fiction Films, Inc.	3/16/2005
05-04294-FOIA	Robert Motz	Philanthria, Inc.	3/16/2005
05-04296-FOIA	Robert Motz	WinStar Communications, Inc.	3/16/2005
05-04297-FOIA	Robert Motz	WinStar New Media, Inc.	3/16/2005
05-04299-FOIA	Robert Motz	DLB Ventures LLC	3/16/2005
05-04300-FOIA	Robert Motz	Hidden Treasures, Inc.	3/16/2005
05-04302-FOIA	Robert Motz	BKS Advisors LLC	3/16/2005
05-04304-FOIA	Robert Motz	Juniper Partners Acquisition Corp.	3/16/2005
05-04305-FOIA	Robert Motz	Nations Flooring, Inc.	3/16/2005
05-04306-FOIA	Robert Motz	Tridan Corp.	3/16/2005
05-04309-FOIA	J. Patrick Gavin	P.F. Chang's China Bistro, Inc.	3/16/2005
05-04312-FOIA	J. Patrick Gavin	Ambac Financial Group	3/16/2005
05-04315-FOIA	J. Patrick Gavin	General Motors Corporation	3/16/2005
05-04318-FOIA	J. Patrick Gavin	Pacific Sunwear of California	3/16/2005
05-04323-FOIA	J. Patrick Gavin	Peef't Coffee & Tea, Inc.	3/16/2005
05-04324-FOIA	J. Patrick Gavin	Carrier Access Corporation	3/16/2005
05-04325-FOIA	J. Patrick Gavin	SBA Communicaitons Corporation	3/16/2005
05-04326-FOIA	J. Patrick Gavin	Safeway, Inc.	3/16/2005
05-04327-FOIA	J. Patrick Gavin	Red Robin Gourmet Burgers, Inc.	3/16/2005
05-04321-FOIA	J. Patrick Gavin	Panera Bread Company	3/16/2005
05-04301-FOIA	Marcel Duhamel	ABS Industries, Inc.	3/16/2005
05-04291-FOIA	Joseph Berlin	Staff Accounting Bulletin	3/16/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04295-FOIA	Kate Fowler	Pre-Paid Legal Services, Inc.	3/16/2005
05-04298-FOIA	Gregory Zammiti	EITF 00-21 for EDS	3/16/2005
05-04310-FOIA	George Britt #322883	Information about the Freedom of Information Privacy Act	3/16/2005
05-04313-FOIA	Suzanna Broer	Delisting of the NYSE	3/16/2005
05-04307-FOIA	Nils Tristan	Fiserv, Inc.	3/16/2005
05-04303-FOIA	Andrew DePeau	Akre Capital Management	3/16/2005
05-04319-FOIA	Andrew DePeau	Charles T. Akre Jr.	3/16/2005
05-04316-FOIA	Susan Lichtcsien	James R Brunn	3/16/2005
05-04345-FOIA	Mark Mierzejewski	BlueCrest North America LP	3/17/2005
05-04337-FOIA	Mark Mierzejewski	Andrew T. Feldstein	3/17/2005
05-04359-FOIA	Chris Zepf	AMIS Holdings, Inc.	3/17/2005
05-04361-FOIA	Chris Zepf	Applied Materials, Inc.	3/17/2005
05-04355-FOIA	Chris Zepf	Texas Instruments, Inc.	3/17/2005
05-04356-FOIA	Chris Zepf	Atmel Corporation	3/17/2005
05-04357-FOIA	Chris Zepf	ATMI, Inc.	3/17/2005
05-04340-FOIA	Chris Zepf	Sirenza Microdevices, Inc.	3/17/2005
05-04348-FOIA	Chris Zepf	Microchip Technology Incorporated	3/17/2005
05-04349-FOIA	Chris Zepf	Lattice Semiconductor Corporation	3/17/2005
05-04350-FOIA	Chris Zepf	Intersil Corporation	3/17/2005
05-04351-FOIA	Chris Zepf	Integrated Circuit Systems, Inc.	3/17/2005
05-04352-FOIA	Chris Zepf	Freescale Semiconductor, Inc.	3/17/2005
05-04353-FOIA	Chris Zepf	Fairchild Semiconductor International, Inc.	3/17/2005
05-04335-FOIA	Chris Zepf	SanDisk Corporation	3/17/2005
05-04331-FOIA	Chris Zepf	Microtune Incorporated	3/17/2005
05-04343-FOIA	Chris Zepf	NVIDIA Corporation	3/17/2005
05-04344-FOIA	Chris Zepf	Micron Technology, Inc.	3/17/2005
05-04377-FOIA	Chris Zepf	Semetech Corporation	3/17/2005
05-04378-FOIA	Chris Zepf	ON Semiconductor Corporation	3/17/2005
05-04374-FOIA	Chris Zepf	Integrated Device Technology Inc	3/17/2005
05-04371-FOIA	Chris Zepf	Lexmark International Inc	3/17/2005
05-04372-FOIA	Chris Zepf	Linear Technology Corporation	3/17/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04363-FOIA	Chris Zepf	Altera Corporation	3/17/2005
05-04364-FOIA	Chris Zepf	Agere Systems, Inc.	3/17/2005
05-04365-FOIA	Chris Zepf	Netlogic Microsystems Inc	3/17/2005
05-04366-FOIA	Chris Zepf	Skyworks, Solutions, Inc.	3/17/2005
05-04367-FOIA	Chris Zepf	Analog Devices, Inc.	3/17/2005
05-04368-FOIA	Chris Zepf	Micrel Incorporated	3/17/2005
05-04336-FOIA	Andre Babaian	Indymac Bank, FSB a.k.a. Indymac Bancork, Inc.	3/17/2005
05-04333-FOIA	Mike Lipman	Tenet Asset Management LLC	3/17/2005
05-04338-FOIA	Mike Lipman	Tenet Capital Partners Convertible Opportunities Fund, LP	3/17/2005
05-04329-FOIA	Mike Lipman	Jon E. Hankins	3/17/2005
05-04346-FOIA	Mike Lipman	Tenet Management Group, LLC	3/17/2005
05-04358-FOIA	Mike Lipman	Tenet Offshore Capital Partners, Ltd.	3/17/2005
05-04328-FOIA	David Cates	ISMIE Mutual Insurance Company	3/17/2005
05-04332-FOIA	Richard Aftanas	FOIA Request # 04-2376	3/17/2005
05-04379-FOIA	Assunta Provenzano	Veras Capital Ltd.	3/17/2005
05-04369-FOIA	Russell Iger	Abercrombie & Fitch Co.	3/17/2005
05-04375-FOIA	Louidor Francois	SEC rule 203A-2(f)	3/17/2005
05-04330-FOIA	J. Patrick Gavin	Wendy's International, Inc. (CIK 0000105668)	3/17/2005
05-04334-FOIA	J. Patrick Gavin	Target Corporation	3/17/2005
05-04342-FOIA	J. Patrick Gavin	Allegheny Technologies Incorporated (CIK 0001018963)	3/17/2005
05-04339-FOIA	J. Patrick Gavin	Whole Foods Market, Inc.	3/17/2005
05-04347-FOIA	J. Patrick Gavin	Wal-Mart Stores, Inc. (CIK 0000104169)	3/17/2005
05-04354-FOIA	J. Patrick Gavin	Power-One Inc	3/17/2005
05-04360-FOIA	J. Patrick Gavin	The Yankee Candle Company Inc	3/17/2005
05-04362-FOIA	J. Patrick Gavin	Sears, Roebuck & Co.	3/17/2005
05-04370-FOIA	J. Patrick Gavin	West Marine, Inc.	3/17/2005
05-04373-FOIA	J. Patrick Gavin	Tupperware Corporation	3/17/2005
05-04341-FOIA	Sharon Theimer	Rep. Tom DeLay	3/17/2005
05-04376-FOIA	Sharon Theimer	Jack Abramoff	3/17/2005
05-04407-FOIA	Mark Wasserman	Phase Forward Incorporated	3/18/2005
05-04383-FOIA	J. Patrick Gavin	Huntsman Corporation (CIK 0001307954)	3/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04387-FOIA	J. Patrick Gavin	AGL Resources, Inc. (CIK 0001004115)	3/18/2005
05-04390-FOIA	J. Patrick Gavin	Vintage Petroleum, Inc.	3/18/2005
05-04392-FOIA	J. Patrick Gavin	Enzon Pharmaceuticals, Inc. (CIK 0000727510)	3/18/2005
05-04396-FOIA	J. Patrick Gavin	Worldgate Communications, Inc. (CIK 0001030058)	3/18/2005
05-04419-FOIA	J. Patrick Gavin	Vital Images, Inc.	3/18/2005
05-04423-FOIA	J. Patrick Gavin	NII Holdings, Inc	3/18/2005
05-04401-FOIA	J. Patrick Gavin	Western Wireless (CIK 0000930738)	3/18/2005
05-04402-FOIA	J. Patrick Gavin	Dress Barn, Inc.	3/18/2005
05-04411-FOIA	J. Patrick Gavin	United Plan Am Financial	3/18/2005
05-04386-FOIA	Randolph Wilson	Branch Banking and Trust Company	3/18/2005
05-04397-FOIA	Dan Johnson	Metris Companies Inc.	3/18/2005
05-04400-FOIA	Jay Stillman	Etech Securities	3/18/2005
05-04421-FOIA	Jay Stillman	MHA Financial	3/18/2005
05-04424-FOIA	Joseph Bochey	Regarding LaSalle National Bank	3/18/2005
05-04405-FOIA	Joseph Bochey	Ocwen Federal Bank FSB	3/18/2005
05-04395-FOIA	Mike Lipman	Tenet Offshore Asset Management, LLC	3/18/2005
05-04380-FOIA	Joe Loughran	Treasury Secretary Robert Rubin	3/18/2005
05-04394-FOIA	Patti Daly	Suttonbrook Capital Portfolio LP	3/18/2005
05-04408-FOIA	Patti Daly	Eugene S. Chen	3/18/2005
05-04398-FOIA	Patti Daly	Suttonbrook Offshore Partners, Ltd.	3/18/2005
05-04403-FOIA	Patti Daly	Suttonbrook Capital Partners NQP LP	3/18/2005
05-04412-FOIA	Patti Daly	Brett S. Spector	3/18/2005
05-04413-FOIA	Patti Daly	John R. Giordano	3/18/2005
05-04414-FOIA	Patti Daly	Steven M. Weinstein	3/18/2005
05-04415-FOIA	Patti Daly	John A. London	3/18/2005
05-04406-FOIA	Patti Daly	Matthew D. Mosteiro	3/18/2005
05-04410-FOIA	Patti Daly	Douglas Erb	3/18/2005
05-04417-FOIA	Patti Daly	Suttonbrook Holdings LLC	3/18/2005
05-04420-FOIA	Patti Daly	Suttonbrook Offshore Partners (E) Ltd	3/18/2005
05-04425-FOIA	Patti Daly	Steven Logan	3/18/2005
05-04426-FOIA	Patti Daly	Christopher Casey	3/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04422-FOIA	Patti Daly	Suttonbrook Capital Partners	3/18/2005
05-04381-FOIA	Paul Dickens	PLM Equipment Growth Fund III	3/18/2005
05-04393-FOIA	Becky Conover	Jordan M. Jetter	3/18/2005
05-04388-FOIA	Becky Conover	Gregory R. Lai	3/18/2005
05-04389-FOIA	Becky Conover	Affinity Investment Advisors, Inc.	3/18/2005
05-04384-FOIA	Becky Conover	John Quintanar	3/18/2005
05-04385-FOIA	Becky Conover	Jeffrey L. Randolph	3/18/2005
05-04416-FOIA	Becky Conover	Affinity Investment Advisors, LLC	3/18/2005
05-04404-FOIA	Becky Conover	Michael A. Petrino	3/18/2005
05-04382-FOIA	Megan Lynch	Paulson & Co.	3/18/2005
05-04399-FOIA	Megan Lynch	Paulson Partners LP	3/18/2005
05-04409-FOIA	Megan Lynch	John Alfred Paulson	3/18/2005
05-04418-FOIA	Andrew DePeau	Longview Capital Management LLC	3/18/2005
05-04391-FOIA	Andrew DePeau	Dr. Tuschar S. Chande	3/18/2005
05-04459-FOIA	Melissa Kaplafka	FOIA request 2003-1019 , Investigation C-3331 and /or MC 2235	3/21/2005
05-04444-FOIA	Susan Lichtcsien	Joseph Lombardo	3/21/2005
05-04445-FOIA	Patrick Walsh	Stonepath Group, Inc	3/21/2005
05-04427-FOIA	Patrick Walsh	Robert E. Arovas a.k.a. Bob Arovas	3/21/2005
05-04434-FOIA	Patrick Walsh	Jason F. Totah	3/21/2005
05-04431-FOIA	Patrick Walsh	Dennis L. Pelino	3/21/2005
05-04437-FOIA	Burke Eiteljorg	A List of IPO's	3/21/2005
05-04428-FOIA	Richard Harrison	Dawson Geophysical Co. (CIK 0000351231)	3/21/2005
05-04429-FOIA	Richard Harrison	Generex Biotechnology Corp. (CIK 0001059784)	3/21/2005
05-04430-FOIA	Richard Harrison	E Digital Corp. (CIK 0000886328)	3/21/2005
05-04432-FOIA	Richard Harrison	Gexa Corp. (CIK 0000821113)	3/21/2005
05-04433-FOIA	Richard Harrison	Embratel Participacoes SA (CIK 0001066117)	3/21/2005
05-04438-FOIA	Richard Harrison	Terremark Worldwide, Inc. (CIK 0000912890)	3/21/2005
05-04439-FOIA	Richard Harrison	HSBC Holdings PLC (0001089113)	3/21/2005
05-04440-FOIA	Richard Harrison	NYMagic, Inc. (CIK 0000847431)	3/21/2005
05-04441-FOIA	Richard Harrison	Kemper Investors Life Insurance Co. (CIK 0000351754)	3/21/2005
05-04442-FOIA	Richard Harrison	Corrections Corp of America ( CIK 0001070985 )	3/21/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04443-FOIA	Richard Harrison	IGEN International, Inc. / DE (CIK 0000916304)	3/21/2005
05-04460-FOIA	Richard Harrison	Hanson Building Materials LTD ( CIK 0000797655 )	3/21/2005
05-04461-FOIA	Richard Harrison	Peru Republic of (CIK 0000077694 )	3/21/2005
05-04462-FOIA	Richard Harrison	Odyssey Marine Exploration Inc (CIK 0000798528)	3/21/2005
05-04463-FOIA	Richard Harrison	Gladstone Capital Corp ( CIK 0001143513)	3/21/2005
05-04464-FOIA	Richard Harrison	Deutsche Alt-A Securities Inc (file number 333-100676)	3/21/2005
05-04465-FOIA	Richard Harrison	Hecla Mining CO/DE/ (CIK 0000719413)	3/21/2005
05-04466-FOIA	Richard Harrison	Crystallex International Corp (File number 333-100572)	3/21/2005
05-00019-FOPA	Richard Harrison	RLI Corp (File number 333-101062)	3/21/2005
05-04467-FOIA	Richard Harrison	FTI Consulting Inc (CIK 0000887936)	3/21/2005
05-04468-FOIA	Richard Harrison	Royal Bank Of Scotland Group PLC (CIK 0000844150)	3/21/2005
05-04469-FOIA	Richard Harrison	RLI Corp (File number 333-101062)	3/21/2005
05-04470-FOIA	Richard Harrison	BB&T Corp (File Number 333-101218)	3/21/2005
05-04471-FOIA	Richard Harrison	Peoples Bancorp Inc (File number 333-101253)	3/21/2005
05-04472-FOIA	Richard Harrison	Evergreen Income Advantage Fund (File number 333-101968)	3/21/2005
05-04473-FOIA	Richard Harrison	Federated Premier Intermediate Municipal Income Fund (File number 333-102032)	3/21/2005
05-04474-FOIA	Richard Harrison	Macdermid Inc (File number 333-102177)	3/21/2005
05-04475-FOIA	Richard Harrison	Allstate Life Insurance Co (File number 333-102325)	3/21/2005
05-04476-FOIA	Richard Harrison	Pimco Corporate Opportunity Fund (File number 333-102464)	3/21/2005
05-04477-FOIA	Richard Harrison	Blackrock Preferred Opporutnity Trust (File number 333-102518)	3/21/2005
05-04478-FOIA	Richard Harrison	Hancock John Variable Life Insurance Co (File number 333-102743)	3/21/2005
05-04479-FOIA	Richard Harrison	Beeston Enterprises Ltd (File number 333-103621)	3/21/2005
05-04480-FOIA	Richard Harrison	Pruco Life Insurance CO (File number 333-104036)	3/21/2005
05-04481-FOIA	Richard Harrison	MDU Resources Group Inc (File number 333-104150)	3/21/2005
05-04446-FOIA	Richard Harrison	Biomira, Inc. (CIK 0000877984)	3/21/2005
05-04447-FOIA	Richard Harrison	Newmont Mining Corp. / DE (CIK 0001164727)	3/21/2005
05-04448-FOIA	Richard Harrison	Blackrock California Municipal Income Trust II (0001176796)	3/21/2005
05-04449-FOIA	Richard Harrison	PHL Variable Insurance Co. / CT (CIK 0001031223)	3/21/2005
05-04450-FOIA	Richard Harrison	Blackrock New York Municipal Income Trust II (CIK 0001176197)	3/21/2005
05-04451-FOIA	Richard Harrison	Thomson Corp. (CIK 0001005348)	3/21/2005
05-04452-FOIA	Richard Harrison	Corporacion Andina De Fomento (CIK 0000947438)	3/21/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04453-FOIA	Richard Harrison	Mitsubishi Tokyo Financial Group Inc ( CIK 0001180081 )	3/21/2005
05-04454-FOIA	Richard Harrison	Exploration Co. of Delaware, Inc. (CIK 0000313395)	3/21/2005
05-04455-FOIA	Richard Harrison	Export Development Canada / CN (CIK 0000276328)	3/21/2005
05-04456-FOIA	Richard Harrison	ING USA Annuity & Life Insurance Co. (CIK 0000836658)	3/21/2005
05-04457-FOIA	Richard Harrison	Cresud, Inc. (CIK 0001034957)	3/21/2005
05-04458-FOIA	Richard Harrison	Arizona Public Service, Co. (CIK 0000007286)	3/21/2005
05-04435-FOIA	Richard Harrison	First American Corp. (CIK 0000036047)	3/21/2005
05-04436-FOIA	Richard Harrison	Household International Inc (CIK 0000354964)	3/21/2005
05-04483-FOIA	Richard Harrison	Reliastar Life Insurance Co. of New York (CIK 0001163710)	3/22/2005
05-04484-FOIA	Richard Harrison	Imcor Pharmaceutical Co. (CIK 0000761237)	3/22/2005
05-04485-FOIA	Richard Harrison	Canadian Natural Resources Ltd. (CIK 0001017413)	3/22/2005
05-04490-FOIA	Richard Harrison	Vasogen Inc	3/22/2005
05-04491-FOIA	Richard Harrison	Bringham Exploration Co. (CIK 0001034755)	3/22/2005
05-04492-FOIA	Richard Harrison	Owens Brockway Glass Container, Inc. (CIK 000116974)	3/22/2005
05-04493-FOIA	Richard Harrison	Gulfterra Energy Partners LP (CIK 0000895040)	3/22/2005
05-04494-FOIA	Richard Harrison	Evergreen Managed Income Fund (CIK 0001227073)	3/22/2005
05-04496-FOIA	Richard Harrison	WNC Housing Tax Credit Fund VI LP Series 11 (CIK 0001253328)	3/22/2005
05-04497-FOIA	Richard Harrison	WNC Housing Tax Credit Fund VI LP Series 12 (CIK 0001253329)	3/22/2005
05-04498-FOIA	Richard Harrison	Natural Golf Corp. (CIK 0001039387)	3/22/2005
05-04505-FOIA	Richard Harrison	XCel Energy, Inc. (CIK 0000072903)	3/22/2005
05-04506-FOIA	Richard Harrison	Sealed Air Corp. / DE (CIK 0001012100)	3/22/2005
05-04507-FOIA	Richard Harrison	Microsoft Corp. (CIK 0000789019)	3/22/2005
05-04508-FOIA	Richard Harrison	Floating Rate Income Strategies Fund II Inc	3/22/2005
05-04509-FOIA	Richard Harrison	Aastrom Biosciences, Inc. (CIK 0000887359)	3/22/2005
05-04510-FOIA	Richard Harrison	School Specialty, Inc. (CIK 0001055454)	3/22/2005
05-04511-FOIA	Richard Harrison	Sense Holdings, Inc. (CIK 0001093903)	3/22/2005
05-04512-FOIA	Richard Harrison	American Rock Salt Co. LLC (CIK 0001073713)	3/22/2005
05-04500-FOIA	Richard Harrison	Floating Rate Income Strategies Fund, Inc. (CIK 0001259708)	3/22/2005
05-04501-FOIA	Richard Harrison	NFJ RCM Global Dividend Income Fund (CIK 0001260563)	3/22/2005
05-04502-FOIA	Richard Harrison	Calamos Convertible Opportunities & Income Fund (CIK 0001171471)	3/22/2005
05-04503-FOIA	Richard Harrison	Crosstex Energy Inc	3/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04514-FOIA	Richard Harrison	Webzen Inc	3/22/2005
05-04515-FOIA	Richard Harrison	Parker Drilling Co/De	3/22/2005
05-04516-FOIA	Richard Harrison	Seminis Vegetable Seeds Inc	3/22/2005
05-04517-FOIA	Richard Harrison	Analytical Surveys Inc	3/22/2005
05-04519-FOIA	Richard Harrison	Commerce Energy Group Inc	3/22/2005
05-04520-FOIA	Richard Harrison	Strayer Education Inc	3/22/2005
05-04521-FOIA	Richard Harrison	3M Co	3/22/2005
05-04523-FOIA	Richard Harrison	Cohen & Steers Reit & Preferred Income Fund Inc	3/22/2005
05-04524-FOIA	Richard Harrison	FC Financial Services Inc.	3/22/2005
05-04525-FOIA	Richard Harrison	Giant Industries Inc	3/22/2005
05-04527-FOIA	Richard Harrison	Moog Inc	3/22/2005
05-04528-FOIA	Richard Harrison	Competitive Technologies Inc	3/22/2005
05-04529-FOIA	Richard Harrison	Shopping Com Ltd	3/22/2005
05-04530-FOIA	Richard Harrison	Cohen & Steers Select Utility Fund Inc (File number 333-13944)	3/22/2005
05-04531-FOIA	Richard Harrison	Ares Capital Corp (File number 333-114656)	3/22/2005
05-04532-FOIA	Richard Harrison	Neuberger Berman Dividend Advantage Fund Inc (file number 333-115817)	3/22/2005
05-04533-FOIA	Richard Harrison	ING USA Annuity & Life Insurance Co (file number 333-104546)	3/22/2005
05-04534-FOIA	Richard Harrison	Muniyield New Jersey Insured Fund Inc (File number 333-117314)	3/22/2005
05-04535-FOIA	Richard Harrison	Energy Partners Ltd (file number 333-117419)	3/22/2005
05-04536-FOIA	Richard Harrison	Stratosphere Corp (file number 333-118149)	3/22/2005
05-04537-FOIA	Richard Harrison	Galaxy Energy Corp (file number 333-119113)	3/22/2005
05-04538-FOIA	Richard Harrison	Beverly Enterprises International Ltd (file number 333-119230)	3/22/2005
05-04539-FOIA	Richard Harrison	Cohen & Steer Select Utility Fund Inc (file number 333-119279)	3/22/2005
05-04540-FOIA	Richard Harrison	Cohen & Steers Advantage Income Realty Fund Inc (file number 333-119488)	3/22/2005
05-04541-FOIA	Richard Harrison	RPM Technologies Inc (file number 333-119471)	3/22/2005
05-04487-FOIA	Richard Harrison	Calamos Convertible & High Income Fund	3/22/2005
05-04488-FOIA	Richard Harrison	Pacifichealth Laboratories Inc.	3/22/2005
05-04482-FOIA	Vance Broemel	AGL Resources (CIK 1004155)	3/22/2005
05-04486-FOIA	Larry Wales	Bright Trading Corp	3/22/2005
05-04489-FOIA	Nicholas Guiliano	SEC Form B-D	3/22/2005
05-04513-FOIA	Brian Briscoe	First Affirmative Financial Network, LLC	3/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04518-FOIA	Geoffrey Benson Reg#37572-060	Geoffrey P. Benson	3/22/2005
05-04495-FOIA	Keith Raine	Franklin Resources	3/22/2005
05-04526-FOIA	Mark Mierzejewski	Pei K. Chang	3/22/2005
05-04522-FOIA	Mark Mierzejewski	O.S.S. Capital Management, LP	3/22/2005
05-04504-FOIA	Mark Mierzejewski	WoodAllen Capital Management, LLC	3/22/2005
05-04499-FOIA	Mark Mierzejewski	Oscar S. Schafer	3/22/2005
05-04560-FOIA	Susan Lichtcsien	Kevin Ulrich	3/23/2005
05-04572-FOIA	Megan Lynch	Paul Touradji	3/23/2005
05-04584-FOIA	Megan Lynch	Touradji Captial Management, LP	3/23/2005
05-04542-FOIA	Carla Nigro	Mariner Health Group, Inc.	3/23/2005
05-04548-FOIA	Hyo Bae	Countrywide Home Loans	3/23/2005
05-04546-FOIA	Hyo Bae	Washington Mutual	3/23/2005
05-04556-FOIA	John Turnberg	Investment Adviser Search database	3/23/2005
05-04565-FOIA	Sarah Rathke	Tecumseh Holdings Corporation	3/23/2005
05-04574-FOIA	Thomas Southwick	Bancinsurance Corporation	3/23/2005
05-00129-APPS	Franklin Marone	Franklin Marone	3/23/2005
05-04545-FOIA	Richard Harrison	Varco International, Inc. / DE (CIK 000860097)	3/23/2005
05-04547-FOIA	Richard Harrison	Chronimed, Inc. (CIK 0000883813)	3/23/2005
05-04552-FOIA	Richard Harrison	MIM Corp. (CIK 0001014739)	3/23/2005
05-04553-FOIA	Richard Harrison	International Business Machines Corp. (CIK 0000051143)	3/23/2005
05-04554-FOIA	Richard Harrison	Protective Life Insurance	3/23/2005
05-04555-FOIA	Richard Harrison	Avalonbay Communities Inc	3/23/2005
05-04558-FOIA	Richard Harrison	Morgan Stanley Dean Witter Capital I Inc.	3/23/2005
05-04561-FOIA	Richard Harrison	National Oilwell, Inc. (CIK 0001021860)	3/23/2005
05-04563-FOIA	Richard Harrison	John Hancock Trust (CIK 0000756913)	3/23/2005
05-04564-FOIA	Richard Harrison	Sandisk Corp	3/23/2005
05-04567-FOIA	Richard Harrison	Yahoo Inc	3/23/2005
05-04569-FOIA	Richard Harrison	Morgan Stanley Charter Campbell LP	3/23/2005
05-04570-FOIA	Richard Harrison	Principal Investors Fund, Inc. (CIK 0000898745)	3/23/2005
05-04571-FOIA	Richard Harrison	Destination Funds (CIK 0001314387)	3/23/2005
05-04575-FOIA	Richard Harrison	Pope Family of Funds (CIK 0001319067)	3/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04576-FOIA	Richard Harrison	Principal Investors Fund, Inc. (CIK 0000898745)	3/23/2005
05-04577-FOIA	Richard Harrison	Van Eck Alternatives Index fund (CIK 0001137360)	3/23/2005
05-04580-FOIA	Richard Harrison	Lin Television Corp	3/23/2005
05-04582-FOIA	Richard Harrison	CNL Income Properties Inc	3/23/2005
05-04583-FOIA	Richard Harrison	Electronics For Imaging Inc	3/23/2005
05-04587-FOIA	Richard Harrison	Rio Tinto PLC (CIK 0000863064)	3/23/2005
05-04588-FOIA	Richard Harrison	Chartwell International, Inc. (CIK 0001041025)	3/23/2005
05-04589-FOIA	Richard Harrison	Hartford Life Insurance Co	3/23/2005
05-04592-FOIA	Richard Harrison	Equity Lifestyle Properties, Inc. (CIK 0000895417)	3/23/2005
05-04593-FOIA	Richard Harrison	Thoratec Corp. (CIK 0000350907)	3/23/2005
05-04594-FOIA	Richard Harrison	Hancock John Life Insurance Co. (CIK 0000917406)	3/23/2005
05-04596-FOIA	Richard Harrison	Consol Energy Inc	3/23/2005
05-04597-FOIA	Richard Harrison	Oesterreichische Kontrollbank Aktiengesellschaft (CIK 0000202811)	3/23/2005
05-04598-FOIA	Richard Harrison	Waste Management, Inc. (CIK 0000823768)	3/23/2005
05-04599-FOIA	Richard Harrison	White Electronic Designs Corp. (CIK 0000013606)	3/23/2005
05-04600-FOIA	Richard Harrison	America West Airlines Inc	3/23/2005
05-04601-FOIA	Richard Harrison	Andrew Corp	3/23/2005
05-04602-FOIA	Richard Harrison	Memnerworks Inc.	3/23/2005
05-04603-FOIA	Richard Harrison	General Motors Acceptance Corp	3/23/2005
05-04604-FOIA	Richard Harrison	Grey Global Group Inc	3/23/2005
05-04605-FOIA	Richard Harrison	Spescom Software Inc	3/23/2005
05-04606-FOIA	Richard Harrison	Origen Financial Inc	3/23/2005
05-04607-FOIA	Richard Harrison	Ishares Comex Gold Trust	3/23/2005
05-04608-FOIA	Richard Harrison	Bank of America Corp	3/23/2005
05-04585-FOIA	Richard Harrison	ecollege.com (CIK 0001085653)	3/23/2005
05-04595-FOIA	J. Patrick Gavin	Lakes Entertainment, Inc. (CIK 0001071255)	3/23/2005
05-04590-FOIA	J. Patrick Gavin	Thor Industries, Inc. (CIK 0000730263)	3/23/2005
05-04591-FOIA	J. Patrick Gavin	V.F. Corporation (CIK 0000103379)	3/23/2005
05-04581-FOIA	J. Patrick Gavin	Starwood Hotels & Resorts Worldwide, Inc. (CIK 0000316206)	3/23/2005
05-04573-FOIA	J. Patrick Gavin	Dick's Sporting Goods, Inc. (CIK 0001089063)	3/23/2005
05-04579-FOIA	J. Patrick Gavin	WPT Enterprises, Inc. (CIK 0001283843)	3/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04568-FOIA	J. Patrick Gavin	Brown-Forman Corporation (CIK 0000014693)	3/23/2005
05-04559-FOIA	J. Patrick Gavin	BankAtlantic Bancorp, Inc. (CIK 0000921768)	3/23/2005
05-04550-FOIA	J. Patrick Gavin	The Stanley Works (CIK 0000093556)	3/23/2005
05-04544-FOIA	J. Patrick Gavin	Lightbridge, Inc. (CIK 0001017172)	3/23/2005
05-04543-FOIA	Michael Spence	Hamilton Shipbrokers	3/23/2005
05-04551-FOIA	Michael Spence	Hudson Trading and Chartering Company	3/23/2005
05-04549-FOIA	Michael Spence	Nautica Groupe, Inc.	3/23/2005
05-04557-FOIA	Michael Spence	Maritime Guardian, Inc.	3/23/2005
05-04562-FOIA	Michael Spence	TBS International, Ltd.	3/23/2005
05-04578-FOIA	Michael Spence	Universal Cargo Carriers, Inc.	3/23/2005
05-04566-FOIA	Michael Spence	Mitsui International Company, Ltd.	3/23/2005
05-04586-FOIA	Michael Spence	Wells, Rich, Greene, Inc.	3/23/2005
05-04659-FOIA	J. Patrick Gavin	Doral Financial Corporation	3/24/2005
05-04639-FOIA	J. Patrick Gavin	MTC Technologies Inc	3/24/2005
05-04634-FOIA	J. Patrick Gavin	Bob Evans Farms Inc	3/24/2005
05-04635-FOIA	J. Patrick Gavin	ArthroCare Corporation	3/24/2005
05-04624-FOIA	J. Patrick Gavin	Scientific Games Corporation	3/24/2005
05-04631-FOIA	J. Patrick Gavin	Vesta Insurance Group, Inc.	3/24/2005
05-04627-FOIA	J. Patrick Gavin	Tweeter Home Entertainment Group, Inc.	3/24/2005
05-04614-FOIA	J. Patrick Gavin	UnitedHealth Group Incorporated	3/24/2005
05-04618-FOIA	J. Patrick Gavin	Unisys Corporation	3/24/2005
05-04610-FOIA	J. Patrick Gavin	ForumFactor, Inc.	3/24/2005
05-04611-FOIA	Richard Harrison	Audible Inc	3/24/2005
05-04612-FOIA	Richard Harrison	Muniyield Michigan Insured Fund Inc	3/24/2005
05-04615-FOIA	Richard Harrison	Centurion Gold Holdings Co	3/24/2005
05-04616-FOIA	Richard Harrison	Munivest Fund II Inc	3/24/2005
05-04619-FOIA	Richard Harrison	International Lease Finance Corp	3/24/2005
05-04621-FOIA	Richard Harrison	Managed High Yield Plus Fund Inc	3/24/2005
05-04622-FOIA	Richard Harrison	Man Glenwood Lexington Tei LLC	3/24/2005
05-04625-FOIA	Richard Harrison	Allis Chalmers Corp (file number 333-118916)	3/24/2005
05-04626-FOIA	Richard Harrison	Alliance Pharmaceutical Corp (file number 333-119248)	3/24/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04628-FOIA	Richard Harrison	Lexington Resources Inc	3/24/2005
05-04629-FOIA	Richard Harrison	Sea Pines Associates Inc	3/24/2005
05-04632-FOIA	Richard Harrison	Corio Inc	3/24/2005
05-04633-FOIA	Richard Harrison	Tenfold Corp	3/24/2005
05-04636-FOIA	Richard Harrison	Capitalsource Holdings LLC (file number 333-118738)	3/24/2005
05-04637-FOIA	Richard Harrison	Axesstel Inc (file number 333-119760)	3/24/2005
05-04638-FOIA	Richard Harrison	Top Image Systems Ltd (file number 333-119885)	3/24/2005
05-04645-FOIA	Richard Harrison	ML JWK Strategic Allocation Fund LP (file number 333-115760)	3/24/2005
05-04646-FOIA	Richard Harrison	Residential Asset Mortgage Products Inc (file number 333-117232)	3/24/2005
05-04647-FOIA	Richard Harrison	Nordic American Tanker Shipping Ltd (file number 333-118128)	3/24/2005
05-04653-FOIA	Richard Harrison	Ener1 Inc (file number 333-112837)	3/24/2005
05-04654-FOIA	Richard Harrison	Allos Therapeutics Inc (file number 333-113353)	3/24/2005
05-04655-FOIA	Richard Harrison	Paladin Realty Income Properties Inc (file number 333-113863)	3/24/2005
05-04656-FOIA	Richard Harrison	SFBC International Inc (file number 333-120152)	3/24/2005
05-04657-FOIA	Richard Harrison	United Industrial Corp/ DE (file number 333-120402)	3/24/2005
05-04658-FOIA	Richard Harrison	Massey Energy Co (file number 333-115757)	3/24/2005
05-04649-FOIA	Richard Harrison	Markland Technologies Inc (file number 333-115395)	3/24/2005
05-04650-FOIA	Richard Harrison	New World Restaurant Group Inc (file number 333-115397)	3/24/2005
05-04651-FOIA	Richard Harrison	US Energy Corp (file number 333-115477)	3/24/2005
05-04641-FOIA	Richard Harrison	Seracare Life Sciences Inc (file number 333-118123)	3/24/2005
05-04642-FOIA	Richard Harrison	Aegis Asset Backed Securities Corp (file number 333-118695)	3/24/2005
05-04643-FOIA	George Stein	Citigroup	3/24/2005
05-04609-FOIA	Ben Davis	Leeward Offshore Resource Fund	3/24/2005
05-04617-FOIA	Ben Davis	Leeward Hedge Funds Inc.	3/24/2005
05-04613-FOIA	Ben Davis	Leeward Offshore Bull and Bear Resource Fund	3/24/2005
05-04620-FOIA	Ben Davis	Brendan Kyne	3/24/2005
05-04623-FOIA	Becky Conover	Richard Sandor	3/24/2005
05-04630-FOIA	Becky Conover	Richard Capalbo	3/24/2005
05-04652-FOIA	Becky Conover	James McDonald	3/24/2005
05-04640-FOIA	Becky Conover	Rod Hagenbuch	3/24/2005
05-04648-FOIA	Becky Conover	Norman Mains	3/24/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04644-FOIA	Becky Conover	Donald Straszheim	3/24/2005
05-00130-APPS	Elizabeth Acevedo	China Life Insurance Company Limited	3/24/2005
05-04671-FOIA	Tatiana Zakharova	Michael Howard Zandria Corp	3/25/2005
05-04673-FOIA	Eleck McMurphy	SpringPay, Inc.	3/25/2005
05-04660-FOIA	Alan Kabat	Nicholas M. Haddad	3/25/2005
05-04663-FOIA	Shana Scarlett	Verisign, Inc.	3/25/2005
05-04675-FOIA	J. Patrick Gavin	ActivCard Corp.	3/25/2005
05-04677-FOIA	J. Patrick Gavin	BEI Technologies, Inc.	3/25/2005
05-04679-FOIA	J. Patrick Gavin	Pegasus Communications Corporation	3/25/2005
05-04670-FOIA	Michael Spence	James D. Merselis	3/25/2005
05-04668-FOIA	Michael Spence	Hemosense, Inc.	3/25/2005
05-04666-FOIA	Michael Spence	Allergenetics, Inc.	3/25/2005
05-04662-FOIA	Michael Spence	Axonics International, Inc.	3/25/2005
05-04682-FOIA	Michael Spence	Ayers Medical Consulting, LLC	3/25/2005
05-04683-FOIA	Michael Spence	Cryocor, Inc.	3/25/2005
05-04684-FOIA	Michael Spence	Gregory J. Tibbitts	3/25/2005
05-04685-FOIA	Michael Spence	Gregory M. Ayers	3/25/2005
05-04686-FOIA	Michael Spence	Elitra Pharmaceuticals, Inc	3/25/2005
05-04680-FOIA	Michael Spence	Paul P. Balsara	3/25/2005
05-04678-FOIA	Michael Spence	Roche Diagnostics Corporation	3/25/2005
05-04676-FOIA	Michael Spence	Axonics Instruments, Inc.	3/25/2005
05-04674-FOIA	Michael Spence	Boehringer Manheim Corporation	3/25/2005
05-04681-FOIA	J. Patrick Gavin	Scotts Company	3/25/2005
05-04661-FOIA	J. Patrick Gavin	James River Coal Company (CIK 0001297720)	3/25/2005
05-04664-FOIA	J. Patrick Gavin	Applied Films Corporation (CIK 0001040660)	3/25/2005
05-04665-FOIA	J. Patrick Gavin	Tyson Foods, Inc. (CIK 0000100493)	3/25/2005
05-04667-FOIA	J. Patrick Gavin	PETCO Animal Supplies, Inc. (CIK 0000888455)	3/25/2005
05-04669-FOIA	J. Patrick Gavin	The Cooper Companies, Inc.	3/25/2005
05-04672-FOIA	J. Patrick Gavin	Symantec Corporation	3/25/2005
05-04687-FOIA	Michael Behn	Textron Inc	3/28/2005
05-04688-FOIA	Michael Behn	Bell Helicopter	3/28/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04689-FOIA	Michael Behn	Lewis B. Campbell	3/28/2005
05-04690-FOIA	Michael Behn	John A. Janitz	3/28/2005
05-04691-FOIA	Michael Behn	Theodore R. French	3/28/2005
05-04692-FOIA	Michael Behn	Terry D. Stinson	3/28/2005
05-04693-FOIA	Michael Behn	John Murphey	3/28/2005
05-04694-FOIA	Ruthie Williams	Marriott International Inc	3/28/2005
05-04697-FOIA	Wayne Hersh	Stars Holdings Co.	3/28/2005
05-04700-FOIA	Abbie Mulvihill	Enforcement Manual	3/28/2005
05-04695-FOIA	Kevin Cobbs	Starwood Hotels & Resorts	3/28/2005
05-04696-FOIA	Liana Larson	CryoLife Inc	3/28/2005
05-00132-APPS	Ellen Lyons	Safe Harbor Capital Corporation	3/28/2005
05-04699-FOIA	Siobhan Hughes	Mark Lehman	3/28/2005
05-04698-FOIA	Victoria Israeli	Marsh & McLennan Companies Inc	3/28/2005
05-00133-APPS	Barbara Elias	Pre-September 11, 2201 Trading Review documents	3/28/2005
05-00003-CHAI	Ferruh Demirmen	oil and gas reserves	3/29/2005
05-04717-FOIA	Mike Lipman	RAB UK Fund Ltd	3/29/2005
05-04715-FOIA	Mike Lipman	Mark Darell-Brown	3/29/2005
05-04703-FOIA	Mike Lipman	Steven Thompson a.k.a. William Stephen Thompson	3/29/2005
05-04726-FOIA	Randall Smith	Bradly W. Schrupp	3/29/2005
05-04701-FOIA	Stacey York	Advanced Optics Electronics	3/29/2005
05-04705-FOIA	Paul Heidt	Energy Hedge Funds	3/29/2005
05-04707-FOIA	Laura Koscki	Consolidated Freightways	3/29/2005
05-04713-FOIA	Laura Koscki	Consolidated Freightways	3/29/2005
05-00134-APPS	J. Patrick Gavin	Business Objects S.A.	3/29/2005
05-00135-APPS	J. Patrick Gavin	Bradley Pharmaceuticals Inc.	3/29/2005
05-00136-APPS	J. Patrick Gavin	Anadarko Petroleum Corporation	3/29/2005
05-00137-APPS	J. Patrick Gavin	Apache Corporation	3/29/2005
05-00138-APPS	J. Patrick Gavin	Bellsouth Corporation	3/29/2005
05-04702-FOIA	Richard Harrison	ICICI Bank Ltd. (File # 333121664)	3/29/2005
05-04704-FOIA	Richard Harrison	Animas Corp. (CIK 0001033660)	3/29/2005
05-04706-FOIA	Richard Harrison	Sears Roebuck & Co. (CIK 0000319256)	3/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04708-FOIA	Richard Harrison	Aspen Insurance Holdings, Ltd. (File # 333122571)	3/29/2005
05-04709-FOIA	Richard Harrison	Aladdin Knowledge Systems, Ltd. (File # 333121361)	3/29/2005
05-04710-FOIA	Richard Harrison	Sylvan Learning Systems, Inc. (File # 333119110)	3/29/2005
05-04711-FOIA	Richard Harrison	Pioneer Drilling Co. (File # 333122614)	3/29/2005
05-04712-FOIA	Richard Harrison	Sola International, Inc. (CIK 0000912088)	3/29/2005
05-04714-FOIA	Richard Harrison	Cardiotech International Inc 333-122123	3/29/2005
05-04716-FOIA	Richard Harrison	Advanced Micro Devices 333-12274	3/29/2005
05-04718-FOIA	Richard Harrison	Household International Inc 333-120495	3/29/2005
05-04719-FOIA	Richard Harrison	Datatrak International Inc 333-121993	3/29/2005
05-04720-FOIA	Richard Harrison	Hancock John Word Fund 333-123440	3/29/2005
05-04721-FOIA	Richard Harrison	NMXS Com Inc 333-112781	3/29/2005
05-04722-FOIA	Richard Harrison	Autocam Corp/MI 333-119363	3/29/2005
05-04723-FOIA	Richard Harrison	Nuco2 Inc	3/29/2005
05-04724-FOIA	Richard Harrison	Cygnus Inc/DE	3/29/2005
05-04725-FOIA	Richard Harrison	Kmart Holding Corp	3/29/2005
05-04727-FOIA	Richard Harrison	MGI Funds 333-123467	3/29/2005
05-00139-APPS	J. Patrick Gavin	California Micro Devices Corporation	3/30/2005
05-00140-APPS	J. Patrick Gavin	Caldera International, Inc.	3/30/2005
05-00141-APPS	J. Patrick Gavin	Akami Technologies, Inc.	3/30/2005
05-00142-APPS	J. Patrick Gavin	Affiliated Computer Services, Inc.	3/30/2005
05-00143-APPS	J. Patrick Gavin	AES Corporation	3/30/2005
05-04754-FOIA	Mark Edwards	MedChem Products, Inc.	3/30/2005
05-04750-FOIA	Mark Edwards	Lifecore Biomedical, Inc.	3/30/2005
05-04752-FOIA	Mark Edwards	Molecular Dynamics, Inc.	3/30/2005
05-04740-FOIA	Mark Edwards	Lidak Pharmaceuticals a.k.a. Avanir Pharmaceuticals	3/30/2005
05-04741-FOIA	Mark Edwards	MedImmune, Inc.	3/30/2005
05-04742-FOIA	Mark Edwards	Molecular Biosystems, Inc.	3/30/2005
05-04743-FOIA	Mark Edwards	Lifecore Biomedical, Inc.	3/30/2005
05-04744-FOIA	Mark Edwards	Medi-Jet Corporation a.k.a. Antare Pharma, Inc.	3/30/2005
05-04746-FOIA	Mark Edwards	MedChem Products, Inc.	3/30/2005
05-04748-FOIA	Mark Edwards	Martek Biosciences Corporation	3/30/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04730-FOIA	Mark Edwards	Calgene, Inc.	3/30/2005
05-04732-FOIA	Mark Edwards	MedImmune, Inc.	3/30/2005
05-04734-FOIA	Mark Edwards	Lifecore Biomedical, Inc.	3/30/2005
05-04736-FOIA	Mark Edwards	Lidak Pharmaceuticals a.k.a. Avanir Pharmaceuticals	3/30/2005
05-04738-FOIA	Mark Edwards	Life Technologies, Inc.	3/30/2005
05-04731-FOIA	Nicholas Boylan	S-8 Registration Statement	3/30/2005
05-04729-FOIA	Randall Rollins	Morgan Stanley regarding Elsy S. Neilsen	3/30/2005
05-04747-FOIA	Randall Rollins	Morgan Stanley	3/30/2005
05-04739-FOIA	Chris Campanella	Citibank	3/30/2005
05-04749-FOIA	Elaine Stankiewicz	Ameritrade Holdings Corporation	3/30/2005
05-04755-FOIA	Nicole Starr	Alan E. Kesler	3/30/2005
05-04756-FOIA	Claudio Tosato	Veras Capital, Ltd.	3/30/2005
05-04745-FOIA	Randall Smith	SEC Investigative File # Ho-3291	3/30/2005
05-04753-FOIA	Lynn Taylor	Delta Painting & Walcovering, Inc.	3/30/2005
05-04733-FOIA	Mike Lipman	Lucas Energy Total Return	3/30/2005
05-04751-FOIA	Megan Lynch	Polygon Investment Management a.k.a. Polygon Investment Partners LP	3/30/2005
05-04735-FOIA	Megan Lynch	Reade Eugene Griffith	3/30/2005
05-04737-FOIA	Megan Lynch	Pejman Touradji	3/30/2005
05-04759-FOIA	Susan Lichtcsien	Charles B. Seelig Jr.	3/31/2005
05-04769-FOIA	Susan Lichtcsien	Steven Mnuchin Dune Capital Management	3/31/2005
05-00144-APPS	Jeffrey Abraham	GE Invision Technologies	3/31/2005
05-04757-FOIA	George Poe	Frank E. Cannon	3/31/2005
05-04768-FOIA	Robert Motz	Israel Technology Acquisition Corp.	3/31/2005
05-04766-FOIA	Robert Motz	Glenco Development Corp.	3/31/2005
05-04764-FOIA	Robert Motz	ECI Telecom, Inc.	3/31/2005
05-04762-FOIA	Robert Motz	Halpert Capital Fund	3/31/2005
05-04760-FOIA	Robert Motz	Unity Wireless, Inc.	3/31/2005
05-04778-FOIA	Robert Motz	Kardan Communications	3/31/2005
05-04779-FOIA	Robert Motz	Glycominds Ltd.	3/31/2005
05-04780-FOIA	Robert Motz	Victor I. Halpert	3/31/2005
05-04781-FOIA	Robert Motz	Israel Frieder	3/31/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04782-FOIA	Robert Motz	Dael Schnider	3/31/2005
05-04783-FOIA	Robert Motz	Glen R. Shear a.k.a. Glen Ira Rodney	3/31/2005
05-04774-FOIA	Robert Motz	Top Image Systems, Inc.	3/31/2005
05-04772-FOIA	Robert Motz	Video-Codes, Inc.	3/31/2005
05-04776-FOIA	Robert Motz	Halpert Securities, Inc.	3/31/2005
05-04758-FOIA	J. Patrick Gavin	BearingPoint, Inc.	3/31/2005
05-04761-FOIA	J. Patrick Gavin	Silicon Storage Technology, Inc.	3/31/2005
05-04763-FOIA	J. Patrick Gavin	McAfee, Inc.	3/31/2005
05-04765-FOIA	J. Patrick Gavin	Cott Corporation	3/31/2005
05-04767-FOIA	J. Patrick Gavin	ConocoPhillips	3/31/2005
05-04775-FOIA	J. Patrick Gavin	Cree, Inc.	3/31/2005
05-04770-FOIA	J. Patrick Gavin	York International Corporation	3/31/2005
05-04771-FOIA	J. Patrick Gavin	Standard Microsystems Corporation	3/31/2005
05-04773-FOIA	J. Patrick Gavin	RadioShack Corporation	3/31/2005
05-04777-FOIA	J. Patrick Gavin	palmOne, Inc.	3/31/2005
05-04786-FOIA	J. Patrick Gavin	American Greetings Corporation	4/1/2005
05-04789-FOIA	J. Patrick Gavin	Ashworth, Inc.	4/1/2005
05-04792-FOIA	J. Patrick Gavin	Camco Financial Corporation	4/1/2005
05-04797-FOIA	J. Patrick Gavin	Myogen, Inc.	4/1/2005
05-04798-FOIA	J. Patrick Gavin	Waste Connections, Inc.	4/1/2005
05-04799-FOIA	J. Patrick Gavin	Littelfuse, Inc.	4/1/2005
05-04795-FOIA	J. Patrick Gavin	Celebrate Express, Inc.	4/1/2005
05-04802-FOIA	J. Patrick Gavin	Countrywide Financial Corporation	4/1/2005
05-04805-FOIA	J. Patrick Gavin	Adolor Corporation	4/1/2005
05-04807-FOIA	J. Patrick Gavin	Visage Technology, Inc.	4/1/2005
05-04808-FOIA	J. Patrick Gavin	eSpeed, Inc.	4/1/2005
05-04810-FOIA	J. Patrick Gavin	AK Steel Holding Corporation	4/1/2005
05-04811-FOIA	J. Patrick Gavin	Brookstone, Inc.	4/1/2005
05-04816-FOIA	J. Patrick Gavin	Input/Output, Inc.	4/1/2005
05-04817-FOIA	J. Patrick Gavin	New York & Company, Inc.	4/1/2005
05-04818-FOIA	J. Patrick Gavin	Talk America Holdings, Inc.	4/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04813-FOIA	J. Patrick Gavin	Continental Airlines, Inc.	4/1/2005
05-04814-FOIA	J. Patrick Gavin	Danaher Corporation	4/1/2005
05-04820-FOIA	J. Patrick Gavin	Financial Institutions, Inc.	4/1/2005
05-04821-FOIA	J. Patrick Gavin	Pegasystems Inc.	4/1/2005
05-04784-FOIA	Elizabeth Steponkus	Contracts for Documents Acquisition Services	4/1/2005
05-04787-FOIA	Kevin Delaney	Google, Inc.	4/1/2005
05-04785-FOIA	Mark Edwards	Arcturus Pharmaceutical Corporation	4/1/2005
05-04801-FOIA	Mark Edwards	CoCensys, Inc.	4/1/2005
05-04788-FOIA	Mark Edwards	Isis Pharmaceuticals, Inc.	4/1/2005
05-04791-FOIA	Mark Edwards	Sheffield Pharmaceuticals, Inc.	4/1/2005
05-04804-FOIA	Mark Edwards	Cephalon, Inc.	4/1/2005
05-04790-FOIA	Deanne Tully	Tier Technologies, Inc.	4/1/2005
05-04793-FOIA	Brian Schiewe	Souther Company	4/1/2005
05-04803-FOIA	David Latham	Rodolfo Luzardo, Elias I. Kodsi, and Alain D. Kodsi, and Alain D.Kodsi, 01 Civ.9206 (DC) (S.D.N.Y.)	4/1/2005
05-04806-FOIA	Liz Balanda	Spear Street Capital	4/1/2005
05-04809-FOIA	Liz Balanda	Carmel Partners, Inc.	4/1/2005
05-04812-FOIA	Brian Schiewe	Mirant Corporation	4/1/2005
05-04800-FOIA	James Reynolds	Merk & Co.	4/1/2005
05-04815-FOIA	Megan Lynch	NIR Group	4/1/2005
05-04819-FOIA	Megan Lynch	First Street Manager II, LLC	4/1/2005
05-04794-FOIA	Megan Lynch	Corey S. Ribotsky	4/1/2005
05-04796-FOIA	Megan Lynch	AJW Qualified Partners LLC	4/1/2005
05-04828-FOIA	Clint Binkley	Clinton Asset-Backed Fund, LLC	4/4/2005
05-04825-FOIA	Clint Binkley	W. Gregory Drennen	4/4/2005
05-04841-FOIA	Clint Binkley	Clinton Asset-Backed Master Fund, Ltd.	4/4/2005
05-04823-FOIA	Patrick Walsh	Hollywood Access Program for Natives, Inc.	4/4/2005
05-04826-FOIA	Patrick Walsh	Native Nations Holdings	4/4/2005
05-04829-FOIA	Patrick Walsh	Naturally Native Productions, LLC	4/4/2005
05-04836-FOIA	Patrick Walsh	Lakes Entertainment, Inc.	4/4/2005
05-04833-FOIA	Patrick Walsh	Valerie Red-Horse a.k.a. Valerie Redhorse a.k.a. Valerie Mohl a.k.a. Valerie Redding	4/4/2005
05-04834-FOIA	Patrick Walsh	Steven Lipscomb	4/4/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04831-FOIA	Patrick Walsh	Red-Horse Asset Management, LLC	4/4/2005
05-04855-FOIA	Patrick Walsh	WPT Enterprises, Inc.	4/4/2005
05-04853-FOIA	Patrick Walsh	Lyle Berman	4/4/2005
05-04851-FOIA	Patrick Walsh	W. Todd Steele	4/4/2005
05-04849-FOIA	Patrick Walsh	Red-Horse Securities, Inc.	4/4/2005
05-04847-FOIA	Patrick Walsh	Red-Horse Native Productions, Inc.	4/4/2005
05-04839-FOIA	Patrick Walsh	Executive Promotional Specialities, Inc.	4/4/2005
05-04842-FOIA	Patrick Walsh	Maverick Outdoor Media Group, Inc.	4/4/2005
05-04845-FOIA	Patrick Walsh	Native Nations Holdings	4/4/2005
05-04822-FOIA	Seth Messner	Alliance Capital Management L.P.	4/4/2005
05-04837-FOIA	Kellie McDonald	Mellon Investor Servces, LLC f.k.a. ChaseMellon Shareholder Services LLC	4/4/2005
05-04844-FOIA	Kellie McDonald	InfoSpace, Inc. and/or InfoSpace.com, Inc.	4/4/2005
05-04838-FOIA	Jose Gomez	ESM Securities	4/4/2005
05-04824-FOIA	Richard Harrison	ING Global Dividend & Income Fund (File # 333-114333)	4/4/2005
05-04827-FOIA	Richard Harrison	Shamir Optical Industry, Ltd.	4/4/2005
05-04830-FOIA	Richard Harrison	Hurray! Holding Co. Ltd. (File # 333-121987)	4/4/2005
05-04832-FOIA	Richard Harrison	Activant Solutions, Inc.	4/4/2005
05-04835-FOIA	Richard Harrison	Roxio, Inc. (File # 333-122658)	4/4/2005
05-04840-FOIA	Richard Harrison	Gravity Co. Ltd.	4/4/2005
05-04843-FOIA	Richard Harrison	Avitar, Inc. (File # 333-121931)	4/4/2005
05-04846-FOIA	Richard Harrison	Palmsource, Inc. (File # 333-122572)	4/4/2005
05-04848-FOIA	Richard Harrison	Terra Industries, Inc. (File # 333-121835)	4/4/2005
05-04850-FOIA	Richard Harrison	Speedware Corporation, Inc.	4/4/2005
05-04852-FOIA	Richard Harrison	AllianceBernstein Pooling Portfolios (File # 333-120487)	4/4/2005
05-04854-FOIA	Richard Harrison	Price T Rowe Index Trust, Inc.	4/4/2005
05-04856-FOIA	Richard Harrison	Alliancebernstein International Premier Growth Fund, Inc. (File # 333-123582)	4/4/2005
05-04859-FOIA	Christina Sinck	Cleary, Gottlieb, Steen & Hamilton	4/5/2005
05-04860-FOIA	David Cossak	Budget Finance Company	4/5/2005
05-04861-FOIA	Calvin Mackey	Indymac Bank	4/5/2005
05-04862-FOIA	Patrick Kershaw	Vision Real Estate Management and Development / MEM Financial Solutions	4/5/2005
05-00145-APPS	Keith Raine	Aim/Invesco	4/5/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04857-FOIA	Dusan Stojkovic	Idaho Power Company	4/5/2005
05-04858-FOIA	David Hissey	Accredited Home Lenders	4/5/2005
05-04876-FOIA	Mark Mierzejewski	Oaktree Capital Management, LLC	4/6/2005
05-04864-FOIA	Mark Mierzejewski	John W. Moon	4/6/2005
05-04866-FOIA	Megan Lynch	Robert Ellis	4/6/2005
05-04868-FOIA	Megan Lynch	Catequil Asset Management LP	4/6/2005
05-04873-FOIA	Mike Lipman	Myojo Asset Management Hawaii LLC	4/6/2005
05-04874-FOIA	Mike Lipman	Myojo Japan, Ltd.	4/6/2005
05-04882-FOIA	Mike Lipman	Makoto Kikuchi	4/6/2005
05-04880-FOIA	Mike Lipman	Myojo Asset Management Japan Co. Ltd.	4/6/2005
05-04878-FOIA	Mike Lipman	Myojo Japan Long Short Fund	4/6/2005
05-04863-FOIA	Chris Perkins	Duncan Hennes	4/6/2005
05-04865-FOIA	Mark Sander	Metropolitan Life Insurance Company	4/6/2005
05-04869-FOIA	Mark Sander	USAllianz Securities, Inc.	4/6/2005
05-04867-FOIA	Mark Sander	ING Financial Partners, Inc.	4/6/2005
05-04877-FOIA	Mark Sander	Roster Financial LLC	4/6/2005
05-04879-FOIA	Mark Sander	MetLife Securities, Inc.	4/6/2005
05-04881-FOIA	Mark Sander	Locust Street Securities, Inc.	4/6/2005
05-04872-FOIA	Elizabeth Bearden	Qwest Comm, Int'l	4/6/2005
05-04875-FOIA	Amy Beckwith	Premier Capital Management LLC - Mathieu Reyna & Thomas Spellman	4/6/2005
05-04871-FOIA	David Cossak	World Savings	4/6/2005
05-04884-FOIA	Michael Jensen	A list of Corporations	4/6/2005
05-04883-FOIA	Margaret Cameron	All SEC Registrants	4/6/2005
05-04870-FOIA	Wade McKnight	Aptus Capital	4/6/2005
05-04893-FOIA	Pat Williamson	New York Stock Exchange Budgets	4/7/2005
05-04899-FOIA	David Dietz	Brightpoint Inc.	4/7/2005
05-04909-FOIA	Robert Motz	Four Dogs Productions, LLC	4/7/2005
05-04906-FOIA	Robert Motz	Plan B Partners LLC	4/7/2005
05-04888-FOIA	Robert Motz	Act II Management, Inc.	4/7/2005
05-04896-FOIA	Robert Motz	Daily Racing Form, Inc.	4/7/2005
05-04892-FOIA	Robert Motz	RSVP-BI OPCO LLC	4/7/2005

ID	Requester	Description	Received Date
05-04927-FOIA	Robert Motz	Alpine Capital LLC aka Alpine Equity Partners L.P.	4/7/2005
05-04928-FOIA	Robert Motz	Courtside Acquisition Corp	4/7/2005
05-04918-FOIA	Robert Motz	G2 Investment Partners aka G2 Energy Partners	4/7/2005
05-04934-FOIA	Robert Motz	Sport Supply Group, Inc.	4/7/2005
05-04935-FOIA	Robert Motz	Peter R. Haje	4/7/2005
05-04936-FOIA	Robert Motz	Dennis H. Leibowitz	4/7/2005
05-04937-FOIA	Robert Motz	Carl D. Harnick	4/7/2005
05-04938-FOIA	Robert Motz	Richard D. Goldstein	4/7/2005
05-04920-FOIA	Robert Motz	U.S. Franchise Systems Inc.	4/7/2005
05-04914-FOIA	Robert Motz	Rock Sports Inc	4/7/2005
05-04901-FOIA	Robert Motz	JAR Partners L.P.	4/7/2005
05-04931-FOIA	Robert Motz	Gregg H. Mayer	4/7/2005
05-04924-FOIA	Robert Motz	Half Moon Entertainment LLC	4/7/2005
05-04921-FOIA	Jonathan Stern	Credit Suisse First Boston	4/7/2005
05-04923-FOIA	J. Patrick Gavin	Analogic Corporation	4/7/2005
05-04929-FOIA	J. Patrick Gavin	Network Equipment Technologies, Inc.	4/7/2005
05-04930-FOIA	J. Patrick Gavin	salesforce.com, inc.	4/7/2005
05-04900-FOIA	J. Patrick Gavin	General Electric Company	4/7/2005
05-04913-FOIA	J. Patrick Gavin	Depomed Inc.	4/7/2005
05-04915-FOIA	J. Patrick Gavin	CP Ships Limited	4/7/2005
05-04919-FOIA	J. Patrick Gavin	Advanced Micro Devices Inc.	4/7/2005
05-04933-FOIA	J. Patrick Gavin	Deere & Company	4/7/2005
05-04926-FOIA	J. Patrick Gavin	Tommy Hilfiger Corporation	4/7/2005
05-04891-FOIA	J. Patrick Gavin	American Retirement Corporation	4/7/2005
05-04895-FOIA	J. Patrick Gavin	The Clorox Company	4/7/2005
05-04887-FOIA	J. Patrick Gavin	Engelhard Corporation	4/7/2005
05-04903-FOIA	J. Patrick Gavin	MGI Pharma, Inc.	4/7/2005
05-04905-FOIA	J. Patrick Gavin	Regeneron Pharmaceuticals, Inc.	4/7/2005
05-04908-FOIA	J. Patrick Gavin	Sypris Solutions, Inc.	4/7/2005
05-04886-FOIA	Domenic Tricome	Success Trade a.k.a. Global Tradz	4/7/2005
05-04894-FOIA	Domenic Tricome	Daniel Zaleski	4/7/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04898-FOIA	Domenic Tricome	Kevin Overy	4/7/2005
05-04890-FOIA	Domenic Tricome	Darren Menaker	4/7/2005
05-04911-FOIA	Domenic Tricome	Online Brokerage Services	4/7/2005
05-04912-FOIA	Domenic Tricome	Christopher Campbell	4/7/2005
05-04917-FOIA	Domenic Tricome	Fuad Ahmed	4/7/2005
05-04922-FOIA	Domenic Tricome	Margaret Ziegler	4/7/2005
05-04889-FOIA	Jimmie McNeal	First Mariner Bank	4/7/2005
05-04897-FOIA	Alan Kabat	Nicholas M. Haddad	4/7/2005
05-04907-FOIA	Clint Binkley	Hain Capital Investors LLC	4/7/2005
05-04910-FOIA	Clint Binkley	Robert J. Koltai	4/7/2005
05-04902-FOIA	Clint Binkley	Hain Capital Group, LLC	4/7/2005
05-04916-FOIA	Melissa Kaplafka	Neoforma Inc	4/7/2005
05-04925-FOIA	Clint Binkley	R. Koltai Advisors, LLC	4/7/2005
05-04885-FOIA	Elizabeth Balanda	Anchorage Capital Group	4/7/2005
05-04960-FOIA	Clint Binkley	Contrarian Long Short Offshore Fund Limited	4/8/2005
05-04969-FOIA	Clint Binkley	Jon R. Bauer	4/8/2005
05-04964-FOIA	Clint Binkley	Contrarian Long Short Master Fund Limited	4/8/2005
05-04947-FOIA	Clint Binkley	Mark M. Lee	4/8/2005
05-04943-FOIA	Clint Binkley	Contrarian Capital Management, LLC	4/8/2005
05-04940-FOIA	Clint Binkley	Contrarian Long Short LP	4/8/2005
05-04950-FOIA	Siobhan Hughes	Peter Murphy of Bear, Stearns Securities Corp.	4/8/2005
05-04987-FOIA	Jeffrey Abraham	Abraham Fruchter & Twersky LLP v. U.S. Sec and Exchange Comm.	4/8/2005
05-04963-FOIA	Wade Mcknight	Aptus Partners	4/8/2005
05-04972-FOIA	David Hardy	Ungerleider, Samuel (Sr)	4/8/2005
05-04973-FOIA	Patrick Walsh	Gaming Corporation of America	4/8/2005
05-04974-FOIA	Patrick Walsh	Rainforest Cafe, Inc.	4/8/2005
05-04976-FOIA	Patrick Walsh	Innovative Gaming Corporation of America	4/8/2005
05-04977-FOIA	Patrick Walsh	Leather Investors	4/8/2005
05-04971-FOIA	Patrick Walsh	R&W Investments of Lake Charles, Inc.	4/8/2005
05-04980-FOIA	Patrick Walsh	Magnum Investment of Lake Charles, Inc.	4/8/2005
05-04982-FOIA	Patrick Walsh	Freelance Attorneys	4/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04983-FOIA	Patrick Walsh	Lipscomb Entertainment	4/8/2005
05-04984-FOIA	Patrick Walsh	World Poker, Tour, LLC	4/8/2005
05-04985-FOIA	Patrick Walsh	Lakes Gaming, Inc.	4/8/2005
05-04962-FOIA	Patrick Walsh	Stratosphere Corp.	4/8/2005
05-04966-FOIA	Patrick Walsh	Berman Consulting	4/8/2005
05-04958-FOIA	Patrick Walsh	Ante Corp.	4/8/2005
05-04954-FOIA	Patrick Walsh	Grand Casinos, Inc.	4/8/2005
05-04955-FOIA	Patrick Walsh	Vellum, Inc.	4/8/2005
05-04952-FOIA	Patrick Walsh	Casino Data Systems, Inc.	4/8/2005
05-04949-FOIA	Patrick Walsh	Computer Network Technology, Inc. a.k.a. Computer Network Corp.	4/8/2005
05-04945-FOIA	Patrick Walsh	G-III Apparel Group, Ltd.	4/8/2005
05-04942-FOIA	Patrick Walsh	Battle for the Minds, Inc.	4/8/2005
05-04939-FOIA	James Bean	SEC Credit Card Holders	4/8/2005
05-04959-FOIA	S. Ingebretsen	Watch Hill Capital Management, LLC	4/8/2005
05-04967-FOIA	T. Wilson	Frank Russell Company	4/8/2005
05-04968-FOIA	Elizabeth Mazza	Deutsche Bank AG	4/8/2005
05-04941-FOIA	J. Patrick Gavin	Rambus, Inc.	4/8/2005
05-04944-FOIA	J. Patrick Gavin	AVI BioPharma, Inc.	4/8/2005
05-04948-FOIA	J. Patrick Gavin	Creative Technology Limited	4/8/2005
05-04951-FOIA	J. Patrick Gavin	eBay, Inc.	4/8/2005
05-04953-FOIA	J. Patrick Gavin	Fleetwood Enterprises, Inc.	4/8/2005
05-04956-FOIA	J. Patrick Gavin	Silicon Image, Inc.	4/8/2005
05-04957-FOIA	J. Patrick Gavin	Abiomed, Inc.	4/8/2005
05-04961-FOIA	J. Patrick Gavin	Republic Airways Holdings Inc.	4/8/2005
05-04965-FOIA	J. Patrick Gavin	Meridian Bioscience, Inc.	4/8/2005
05-04970-FOIA	J. Patrick Gavin	bebe stores, inc.	4/8/2005
05-04975-FOIA	J. Patrick Gavin	Harvest Natural Resources, Inc.	4/8/2005
05-04981-FOIA	J. Patrick Gavin	Gander Mountain Company	4/8/2005
05-04978-FOIA	J. Patrick Gavin	Sirenza Microdevices, Inc.	4/8/2005
05-04979-FOIA	J. Patrick Gavin	Exponet, Inc.	4/8/2005
05-04986-FOIA	J. Patrick Gavin	International Game Technology	4/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-04932-FOIA	Robert Motz	Bruce M. Greenwald	4/8/2005
05-04946-FOIA	Wade McKnight	John M. Romero	4/8/2005
05-05030-FOIA	David Dietz	Administrative Proceeding File 3-11251, 3-11252, 3-11253, and 2-11254	4/11/2005
05-00146-APPS	J. Patrick Gavin	Cintas Corporation	4/11/2005
05-00147-APPS	J. Patrick Gavin	Electronic Data Systems Corporation	4/11/2005
05-00148-APPS	J. Patrick Gavin	Devon Energy Corporation	4/11/2005
05-00149-APPS	J. Patrick Gavin	CSG Systems International, Inc.	4/11/2005
05-00150-APPS	J. Patrick Gavin	Cray, Inc. Company	4/11/2005
05-00151-APPS	J. Patrick Gavin	CP Ships Ltd.	4/11/2005
05-00152-APPS	J. Patrick Gavin	Central Parking Corporation	4/11/2005
05-00153-APPS	J. Patrick Gavin	Cell Therapeutics Inc	4/11/2005
05-00155-APPS	J. Patrick Gavin	Delphi Automotive Systems Corporation	4/11/2005
05-00156-APPS	J. Patrick Gavin	Enterasys Network, Inc.	4/11/2005
05-00157-APPS	J. Patrick Gavin	Chiron Corporation	4/11/2005
05-00158-APPS	J. Patrick Gavin	eFunds Corporation	4/11/2005
05-04990-FOIA	Richard Harrison	Tecnomatix Technologies LTD	4/11/2005
05-04993-FOIA	Richard Harrison	Meta Group Inc (CIK 0001000015)	4/11/2005
05-04998-FOIA	Richard Harrison	Vastera, Inc.	4/11/2005
05-04999-FOIA	Richard Harrison	Valley Commerce Bancorp (CIK 0001302244)	4/11/2005
05-05002-FOIA	Richard Harrison	Citizens First Financial Corp	4/11/2005
05-05003-FOIA	Richard Harrison	Gartner, Inc.	4/11/2005
05-05005-FOIA	Richard Harrison	Cornerstone Realty Income Trust Inc (CIK 000854875)	4/11/2005
05-05006-FOIA	Richard Harrison	Cadence Design Systems Inc (CIK 000813672)	4/11/2005
05-05007-FOIA	Richard Harrison	Glacier Bancorp, Inc.	4/11/2005
05-05008-FOIA	Richard Harrison	Applied Digital Solutions, Inc.	4/11/2005
05-05009-FOIA	Richard Harrison	FDP Series, Inc. (811-21744)	4/11/2005
05-05011-FOIA	Richard Harrison	JP Morgan Chase & Co.	4/11/2005
05-05012-FOIA	Richard Harrison	Kintera Inc (333-121852)	4/11/2005
05-05013-FOIA	Richard Harrison	Bok Financial Corp. Et Al	4/11/2005
05-05014-FOIA	Richard Harrison	Dennys Corp (333-121556)	4/11/2005
05-05015-FOIA	Richard Harrison	Main Street Trust, Inc.	4/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05016-FOIA	Richard Harrison	Online Resources Corp (333-122699)	4/11/2005
05-05017-FOIA	Richard Harrison	Colonial Properties Trust	4/11/2005
05-05018-FOIA	Richard Harrison	Fidelity Bankshares, Inc.	4/11/2005
05-05019-FOIA	Richard Harrison	Impac Medical Systems, Inc.	4/11/2005
05-05020-FOIA	Richard Harrison	Sanders Morris Harris Group Inc (333-122973)	4/11/2005
05-05021-FOIA	Richard Harrison	Verisity, Ltd.	4/11/2005
05-05022-FOIA	Richard Harrison	Advance Photnix Inc (333-120317)	4/11/2005
05-05023-FOIA	Richard Harrison	Ainsworth Lumber Co Ltd (333-119812)	4/11/2005
05-05024-FOIA	Richard Harrison	IQ Biometrix Inc (333-118316)	4/11/2005
05-05025-FOIA	Richard Harrison	Camden Property Trust (333-120734)	4/11/2005
05-05026-FOIA	Richard Harrison	National RV Holdings Inc (CIK 0000910655)	4/11/2005
05-05027-FOIA	Richard Harrison	Flow International Corp (CIK0000713002)	4/11/2005
05-05028-FOIA	Richard Harrison	Dollar General Corp	4/11/2005
05-05001-FOIA	Elizabeth Mazza	Adelphia Communications Corporation	4/11/2005
05-04996-FOIA	Elizabeth Mazza	WorldCom, Inc.	4/11/2005
05-04997-FOIA	Elizabeth Mazza	Securities and Exchange Commission v. Jeffrey K. Skilling, Richard A. Causey, H-04-0283	4/11/2005
05-04989-FOIA	Elizabeth Mazza	Securities and Exchange Commission V. Parmalat Finanziaria S.p.A 03-CV-10266	4/11/2005
05-04992-FOIA	Elizabeth Mazza	Securities and Exchange Commission v. Deutsche Bank Securities, Inc., 04-CV-06909	4/11/2005
05-05010-FOIA	Elizabeth Mazza	Initial Public Offering Securities Litigation	4/11/2005
05-05004-FOIA	Elizabeth Mazza	Ben F. Glisan, Jr.	4/11/2005
05-04995-FOIA	Stephen Larrew	Aurora Loan Services, Inc.	4/11/2005
05-04988-FOIA	Stephen Larrew	Primary Residential Mortgage Inc.	4/11/2005
05-04991-FOIA	Judith Burns	EasyLink Services Corp	4/11/2005
05-05000-FOIA	Timothy Reynolds	Target Corporation	4/11/2005
05-05029-FOIA	T.M. Sipra	Annual Report, Lates	4/11/2005
05-04994-FOIA	Sean Keenan	Express Scripts Inc.	4/11/2005
05-05040-FOIA	Megan Lynch	Guy Judkowski	4/12/2005
05-05041-FOIA	Megan Lynch	Waterloo Advisors LLC	4/12/2005
05-05042-FOIA	Megan Lynch	Waterloo Partners	4/12/2005
05-05043-FOIA	Megan Lynch	Hedgehog Capital, Inc.	4/12/2005
05-05032-FOIA	Glenn Winningham	Wilshire Credit Corporation	4/12/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05033-FOIA	Karen Coombs	U.S. Representative Richard H. Baker request dated 9/14/04	4/12/2005
05-05034-FOIA	Daniel Stotter	Form BD Registration	4/12/2005
05-05035-FOIA	Laura Moriaty	Adams Golf, Inc.	4/12/2005
05-05037-FOIA	Nicholas Guiliano	Pennsylvania Merchant Group a.k.a. Investec, Inc.	4/12/2005
05-05036-FOIA	Nicholas Boylan	Nathan W. Drage	4/12/2005
05-01138-PA	Gerald Duggan	Gerald Bryan Duggan	4/12/2005
05-05038-FOIA	Gerald Duggan	Wellfleet Communications	4/12/2005
05-05039-FOIA	Gerald Duggan	Bay Networks	4/12/2005
05-05044-FOIA	Kate Fowler	Pre-Paid Legal Services, Inc.	4/13/2005
05-05054-FOIA	Shana Scarlett	Flowserve Corporation	4/13/2005
05-05047-FOIA	Miriam Balsom	Charles Dwain Davis, Jr.	4/13/2005
05-05062-FOIA	Ben Davis	Lodsworth Arbitrage Fund Limited	4/13/2005
05-05059-FOIA	Ben Davis	Lodsworth Capital Limited	4/13/2005
05-05046-FOIA	Ben Davis	Paul J. Taylor	4/13/2005
05-05055-FOIA	Ben Davis	James F. Summers	4/13/2005
05-05048-FOIA	Ben Davis	Hydra Capital Management Limited	4/13/2005
05-05049-FOIA	Ben Davis	Lodsworth Arbitrage Fund (Master) Limited	4/13/2005
05-05050-FOIA	Clint Binkley	Ashmore Management Company Limited	4/13/2005
05-05045-FOIA	Mark Mierzejewski	ReachCapital Management LLC	4/13/2005
05-05060-FOIA	Mark Mierzejewski	Nigel Hart	4/13/2005
05-00154-APPS	Gary Aguirre	Gary Aguirre	4/13/2005
05-05056-FOIA	Clint Binkley	Ashmore Asian Recovery Fund	4/13/2005
05-05051-FOIA	Clint Binkley	Mark Coombs	4/13/2005
05-05052-FOIA	Clint Binkley	Ashmore Investment Management Limited	4/13/2005
05-05053-FOIA	Mike Lipman	MBA Banco de Inversiones S.A.	4/13/2005
05-05057-FOIA	Mike Lipman	MBA International Investments, Inc.	4/13/2005
05-05058-FOIA	Mike Lipman	Andres Pitchon	4/13/2005
05-05061-FOIA	Mike Lipman	Patagonia Argentine Recovery Fund Ltd	4/13/2005
05-05074-FOIA	Jim Brickman	J. Carter Beese	4/14/2005
05-05068-FOIA	Ben Davis	Rockall Emerging Markets Master Fund Limited	4/14/2005
05-05066-FOIA	Ben Davis	Rockall Emerging Markets, L.P.	4/14/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05073-FOIA	Ben Davis	Con Egan	4/14/2005
05-05087-FOIA	Ben Davis	Rockall International Emerging Markets Fund Limited	4/14/2005
05-05088-FOIA	Ben Davis	Meldrum Asset Management LLC	4/14/2005
05-05084-FOIA	Ben Davis	Conor O'Driscoll	4/14/2005
05-05078-FOIA	Erin Archer	PMI Group Inc	4/14/2005
05-05064-FOIA	Erin Archer	Triad Guaranty Inc.	4/14/2005
05-05072-FOIA	Erin Archer	MGIC Investment Corporation	4/14/2005
05-05070-FOIA	Erin Archer	Radian Group Inc.	4/14/2005
05-00025-FOPA	Lawrence Saunders	Lawrence Saunders	4/14/2005
05-05082-FOIA	Laura Moriarty	Adams Golf Inc	4/14/2005
05-00159-APPS	John Bollinger	Peerless Weighing and Vending	4/14/2005
05-05063-FOIA	J. Patrick Gavin	Apache Corporation	4/14/2005
05-05065-FOIA	J. Patrick Gavin	Comerica Incorporated	4/14/2005
05-05067-FOIA	J. Patrick Gavin	International Paper Company	4/14/2005
05-05069-FOIA	J. Patrick Gavin	Weyerhaeuser Company	4/14/2005
05-05071-FOIA	J. Patrick Gavin	Xilinx, Inc.	4/14/2005
05-05075-FOIA	J. Patrick Gavin	Occidental Petroleum Corporation	4/14/2005
05-05076-FOIA	J. Patrick Gavin	Alltel Corporation	4/14/2005
05-05077-FOIA	J. Patrick Gavin	Eaton Corporation	4/14/2005
05-05079-FOIA	J. Patrick Gavin	Regions Financial Corporation	4/14/2005
05-05080-FOIA	J. Patrick Gavin	Altria Group Inc	4/14/2005
05-05081-FOIA	J. Patrick Gavin	Ace Limited	4/14/2005
05-05083-FOIA	J. Patrick Gavin	American Standard Companies Inc	4/14/2005
05-05085-FOIA	J. Patrick Gavin	Ecolab Inc	4/14/2005
05-05086-FOIA	J. Patrick Gavin	Union Pacific Corporation	4/14/2005
05-05089-FOIA	J. Patrick Gavin	Anadarko Petroleum Corporation	4/14/2005
05-05093-FOIA	J. Patrick Gavin	Meredith Corporation	4/15/2005
05-05095-FOIA	J. Patrick Gavin	Snap-On Incorporated	4/15/2005
05-05099-FOIA	J. Patrick Gavin	Fluor Corporation	4/15/2005
05-05113-FOIA	J. Patrick Gavin	Brunswick Corporation	4/15/2005
05-05114-FOIA	J. Patrick Gavin	Amerada Hess Corporation	4/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05115-FOIA	J. Patrick Gavin	Hasbro, Inc.	4/15/2005
05-05116-FOIA	J. Patrick Gavin	Andrew Corporation	4/15/2005
05-05117-FOIA	J. Patrick Gavin	ITT Industries, Inc.	4/15/2005
05-05118-FOIA	J. Patrick Gavin	International Flavors & Fragrances Inc	4/15/2005
05-05119-FOIA	J. Patrick Gavin	Rockwell Automation, Inc.	4/15/2005
05-05120-FOIA	J. Patrick Gavin	LSI Logic Corporation	4/15/2005
05-05106-FOIA	J. Patrick Gavin	Alberto-Culver Company	4/15/2005
05-05108-FOIA	J. Patrick Gavin	Nabors Industries Ltd	4/15/2005
05-05110-FOIA	J. Patrick Gavin	Sunoco, Inc.	4/15/2005
05-05111-FOIA	J. Patrick Gavin	Avery Dennison Corporation	4/15/2005
05-05109-FOIA	John Bollinger	Peerless Weighing and Vending	4/15/2005
05-05104-FOIA	Penny Somer-Greif	Verizon New England Inc	4/15/2005
05-05101-FOIA	Penny Somer-Greif	Espirito Santo Overseas Limited	4/15/2005
05-05090-FOIA	Penny Somer-Greif	GE Global Insurance Corporation	4/15/2005
05-05091-FOIA	Penny Somer-Greif	Washington Mutual Finance Corporation	4/15/2005
05-05097-FOIA	Penny Somer-Greif	Banco Espirito Santo, SA	4/15/2005
05-05100-FOIA	John Newcombe	FSA Board Papers	4/15/2005
05-05102-FOIA	Christian Montegut	Baidu.com	4/15/2005
05-05112-FOIA	Steven Wedeking	Career Education Corporation	4/15/2005
05-05107-FOIA	David Valicenti	Global Crossing Ltd	4/15/2005
05-05094-FOIA	Michael McNelly	M. C. Dean Inc	4/15/2005
05-05092-FOIA	Michael McNelly	Capitol Insulation Service, Inc.	4/15/2005
05-05103-FOIA	Michael McNelly	Brothers Concrete Construction Inc	4/15/2005
05-05098-FOIA	Michael McNelly	Spartan Masonry Co., Inc.	4/15/2005
05-05105-FOIA	Michael McNelly	Washington Sprinkler Co.	4/15/2005
05-05096-FOIA	M. Joseph	Renberg Capital Management and/or Daniel H. Renberg	4/15/2005
05-05140-FOIA	Patrick Walsh	Cygnnet Dealer Finance, Inc.	4/18/2005
05-05153-FOIA	Patrick Walsh	DriveTime Corporation a.k.a. DriveTime Automotive Group, Inc. a.k.a. DriveTime Car Sales, Inc.	4/18/2005
05-05154-FOIA	Patrick Walsh	Verde Investments Inc	4/18/2005
05-05160-FOIA	Patrick Walsh	Raymond C. Fidel a.k.a. Ray Fidel	4/18/2005
05-05161-FOIA	Patrick Walsh	Ernest C. Garcia II	4/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05145-FOIA	Patrick Walsh	Columbia Universal Life Insurance Company	4/18/2005
05-05146-FOIA	Patrick Walsh	Verde Realty Advisors, Inc.	4/18/2005
05-05136-FOIA	Patrick Walsh	DriveTime Finance Corporation	4/18/2005
05-05127-FOIA	Patrick Walsh	Ugly Duckling Car Sales and Finance Corporation a.k.a. Ugly Duckling Car Sales and Finance Company a.k.a. Ugly Duckling Car Sales Corporation	4/18/2005
05-05125-FOIA	Patrick Walsh	Ugly Duckling Corporation	4/18/2005
05-05132-FOIA	Patrick Walsh	DriveTime Credit Corporation	4/18/2005
05-05123-FOIA	Becky Conover	Profit Investment Management LLC	4/18/2005
05-05124-FOIA	Becky Conover	Eugene A. Profit	4/18/2005
05-05130-FOIA	Becky Conover	Investor Resources Group LLC	4/18/2005
05-05134-FOIA	Becky Conover	Michelle Profit	4/18/2005
05-05143-FOIA	Becky Conover	Omar Bassal	4/18/2005
05-05144-FOIA	Becky Conover	Toussaint Gaskins	4/18/2005
05-05139-FOIA	Becky Conover	ManPreet Singh	4/18/2005
05-05151-FOIA	Becky Conover	Bryant Boston	4/18/2005
05-05152-FOIA	Becky Conover	Lisa Charles	4/18/2005
05-05159-FOIA	Becky Conover	Joseph A. Quash	4/18/2005
05-05168-FOIA	Becky Conover	Jeannie Hsu	4/18/2005
05-05121-FOIA	Katja Roode	Great Northern Financial Securities Inc	4/18/2005
05-05122-FOIA	Brett Collins	ESG Re Limited	4/18/2005
05-05131-FOIA	Thomas Huckaby	The Clorox Company	4/18/2005
05-05128-FOIA	Richard Harrison	SCBT Financial Corp	4/18/2005
05-05129-FOIA	Richard Harrison	Centrue Financial Corp.	4/18/2005
05-05126-FOIA	Richard Harrison	First Defiance Financial Corp	4/18/2005
05-05133-FOIA	Richard Harrison	Technology Flavors & Fragrances Inc	4/18/2005
05-05135-FOIA	Richard Harrison	Ohio Art Co	4/18/2005
05-05141-FOIA	Richard Harrison	Retek, Inc.	4/18/2005
05-05142-FOIA	Richard Harrison	New Commerce Bancorp	4/18/2005
05-05137-FOIA	Richard Harrison	Mittal Steel Co N.V.	4/18/2005
05-05138-FOIA	Richard Harrison	Quality Dinning Inc	4/18/2005
05-05147-FOIA	Richard Harrison	Metro Goldwyn Mayer Inc	4/18/2005
05-05148-FOIA	Richard Harrison	International Steel Group, Inc.	4/18/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05149-FOIA	Richard Harrison	Oracle Corp DE	4/18/2005
05-05150-FOIA	Richard Harrison	Bread & Butter Fund	4/18/2005
05-05155-FOIA	Richard Harrison	CRM Mutual Fund Trust	4/18/2005
05-05156-FOIA	Richard Harrison	Unified Series Trust (File 333-124010)	4/18/2005
05-05157-FOIA	Richard Harrison	Church Capital Investment Trust	4/18/2005
05-05158-FOIA	Richard Harrison	Enterprise Products Partners LP (File # 333-123150)	4/18/2005
05-05169-FOIA	Richard Harrison	Exchange Bancshares Inc	4/18/2005
05-05170-FOIA	Richard Harrison	Telesis Technology Corp	4/18/2005
05-05171-FOIA	Richard Harrison	Oglebay Norton Co. Ohio (File # 333-122349)	4/18/2005
05-05172-FOIA	Richard Harrison	Opta Corp	4/18/2005
05-05173-FOIA	Richard Harrison	Energy Conversion Devices, Inc. (File # 333-122961)	4/18/2005
05-05174-FOIA	Richard Harrison	Hudson City Bancorp, Inc. (File # 333-122989)	4/18/2005
05-05175-FOIA	Richard Harrison	Ultrapar Holdings, Inc. (File # 333-122496)	4/18/2005
05-05176-FOIA	Richard Harrison	Bancorp Rhode Island, Inc. (File # 333-122968)	4/18/2005
05-05177-FOIA	Richard Harrison	Dexcom, Inc. (File # 333-122454)	4/18/2005
05-05178-FOIA	Richard Harrison	Narrowstep, Inc. (File # 333-108632)	4/18/2005
05-05162-FOIA	Richard Harrison	Boston Private Financial Holdings, Inc. (File # 333-121977)	4/18/2005
05-05163-FOIA	Richard Harrison	Hancock John Equity Trust	4/18/2005
05-05164-FOIA	Richard Harrison	Creative Beauty Supply of New Jersey Corp	4/18/2005
05-05165-FOIA	Richard Harrison	Southwest Water Co. (File # 333-121426)	4/18/2005
05-05166-FOIA	Richard Harrison	Dreams, Inc. (File # 333-122385)	4/18/2005
05-05167-FOIA	Richard Harrison	Voxware, Inc. (File # 333-110501)	4/18/2005
05-05186-FOIA	Stepehn H Ring	VisionLab USA	4/19/2005
05-05187-FOIA	Stepehn H Ring	Amin El Gazzar	4/19/2005
05-05188-FOIA	Stepehn H Ring	Pasquale Giordano	4/19/2005
05-05189-FOIA	Stepehn H Ring	Jeudd Brazer or Judd Brazer	4/19/2005
05-05183-FOIA	Stepehn H Ring	VisionLab Communications	4/19/2005
05-05180-FOIA	Stepehn H Ring	IVR, Inc.	4/19/2005
05-05192-FOIA	Stepehn H Ring	VisionLab, Inc.	4/19/2005
05-05193-FOIA	Stepehn H Ring	VisionLab Telecommunications, Inc.	4/19/2005
05-05181-FOIA	Po-hung Chung	S&P 500 Index	4/19/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05185-FOIA	Tom Keenan	Prudential Bache Securities	4/19/2005
05-05190-FOIA	Matthew Blickensderfer	Concergys Corporation	4/19/2005
05-05191-FOIA	Sean Monroe	Copy of Mr. Gavin's FOIA Request	4/19/2005
05-05195-FOIA	Joseph Grimaldo	Foxby Corp	4/19/2005
05-05196-FOIA	Louis Corrigan	Max Internet	4/19/2005
05-05179-FOIA	Brett Collins	ESG Re Limited	4/19/2005
05-05194-FOIA	John Turnberg	All of the agent registered to be financial advisers	4/19/2005
05-05182-FOIA	Megan Lynch	Robert Ellis	4/19/2005
05-05184-FOIA	Megan Lynch	Ridgefield Capital Asset Management	4/19/2005
05-05207-FOIA	Gabriel Herrmann	Bristol-Meyers Squibb Co.	4/20/2005
05-00027-FOPA	William Narro	Elisabeth D. Devos	4/20/2005
05-00028-FOPA	William Narro	Richard M. Devos, Jr.	4/20/2005
05-05197-FOIA	Dana Wong	FOIA Requests from 1/1/05 - 4/14/05	4/20/2005
05-05198-FOIA	Dale Gibson	j2 Global Communications, Inc.	4/20/2005
05-05209-FOIA	Brian Seitz	The Prudential Insurance Company of America - Robert St. Clair	4/20/2005
05-05199-FOIA	Michael Spence	Human Genome Sciences, Inc.	4/20/2005
05-05200-FOIA	Michael Spence	Syntex, Inc.	4/20/2005
05-05201-FOIA	Michael Spence	MedImmune, Inc.	4/20/2005
05-05202-FOIA	Michael Spence	Prestwick Pharmaceuticals, Inc.	4/20/2005
05-05203-FOIA	Michael Spence	William H. Washecka	4/20/2005
05-05204-FOIA	Michael Spence	David A. Cory	4/20/2005
05-05205-FOIA	Michael Spence	Kathleen Biziere	4/20/2005
05-05206-FOIA	Michael Spence	Kathleen Clarence-Smith	4/20/2005
05-05208-FOIA	Michael Spence	Melvin D. Booth	4/20/2005
05-05211-FOIA	J. Patrick Gavin	Multimedia Games, Inc.	4/21/2005
05-05212-FOIA	J. Patrick Gavin	Navarre Corporation	4/21/2005
05-05213-FOIA	J. Patrick Gavin	Landstar System, Inc.	4/21/2005
05-05214-FOIA	J. Patrick Gavin	Watson Pharmaceuticals, Inc.	4/21/2005
05-05215-FOIA	J. Patrick Gavin	Cerner Corporation	4/21/2005
05-05216-FOIA	J. Patrick Gavin	Edgar Online, Inc.	4/21/2005
05-05217-FOIA	J. Patrick Gavin	Build-A-Bear Workshop, Inc.	4/21/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05218-FOIA	J. Patrick Gavin	Airgas, Inc.	4/21/2005
05-05219-FOIA	J. Patrick Gavin	Lexar Media, Inc.	4/21/2005
05-05220-FOIA	J. Patrick Gavin	Blue Nile, Inc.	4/21/2005
05-05210-FOIA	Vicky Gannon	Investment Advisers Act of 1940	4/21/2005
05-00001-GITS	Charlotte Chamberlain	Audio Cassette for 4/6/05 open meeting	4/21/2005
05-05228-FOIA	Alissa Hawkins	Duke Energy Company	4/22/2005
05-05239-FOIA	Jonathan Cogan	Verizon Communications	4/22/2005
05-05240-FOIA	Andrew DePeau	Steep Partners	4/22/2005
05-05242-FOIA	Andrew DePeau	Warren Lichtenstein	4/22/2005
05-05235-FOIA	Susan Lichtcsien	Robert James Bishop	4/22/2005
05-05238-FOIA	Michele Sengstack	Cibelli Research & Management, LLC	4/22/2005
05-05236-FOIA	Michele Sengstack	Marathon Focus Fund L.P.	4/22/2005
05-05229-FOIA	Michele Sengstack	Marathon Offhsore Master Fund, LP	4/22/2005
05-05230-FOIA	Michele Sengstack	Edward Robotti, a/k/a Rob Robotti	4/22/2005
05-05231-FOIA	Michele Sengstack	Robotti Enterprises, Inc.	4/22/2005
05-05232-FOIA	Michele Sengstack	Robotti & Company, f/k/a Robotti & Eng	4/22/2005
05-05233-FOIA	Michele Sengstack	Robotti & Company Securities, Inc.	4/22/2005
05-05234-FOIA	Michele Sengstack	Cibeli Capital Management, L.L.C.	4/22/2005
05-05221-FOIA	Michele Sengstack	Kenneth Ronald Wasiak, a/k/a Ken Wasiak	4/22/2005
05-05222-FOIA	Michele Sengstack	Pustorino, Puglisi, & Co., P.C.	4/22/2005
05-05223-FOIA	Michele Sengstack	Robott-Davis, Inc.	4/22/2005
05-05224-FOIA	Michele Sengstack	Robotti Memorial Foundation for Fubine	4/22/2005
05-05225-FOIA	Michele Sengstack	Simcoe	4/22/2005
05-05226-FOIA	Michele Sengstack	Marathon Partners LP	4/22/2005
05-05227-FOIA	Michele Sengstack	Capital Partners LP	4/22/2005
05-05241-FOIA	Michele Sengstack	Ravenswood	4/22/2005
05-05237-FOIA	Richard Harrison	International Shipping 333-119719	4/22/2005
05-05245-FOIA	J. Patrick Gavin	Credit Acceptance Corporation	4/25/2005
05-05246-FOIA	J. Patrick Gavin	Altiris, Inc.	4/25/2005
05-05253-FOIA	J. Patrick Gavin	AMCOL International Corporation	4/25/2005
05-05256-FOIA	J. Patrick Gavin	Aztar Corporation	4/25/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05257-FOIA	J. Patrick Gavin	Black Box Incorporated	4/25/2005
05-05258-FOIA	J. Patrick Gavin	Chicago Mercantile Exchange Holdings, Inc.	4/25/2005
05-05259-FOIA	J. Patrick Gavin	Nabi Biopharmaceuticals	4/25/2005
05-05265-FOIA	J. Patrick Gavin	Amazon.com, Inc.	4/25/2005
05-05266-FOIA	J. Patrick Gavin	AmSurg Corp	4/25/2005
05-05267-FOIA	J. Patrick Gavin	Aceto Corporation	4/25/2005
05-05268-FOIA	J. Patrick Gavin	Ariba, Inc.	4/25/2005
05-05269-FOIA	J. Patrick Gavin	Gartner, Inc.	4/25/2005
05-05271-FOIA	J. Patrick Gavin	Verisign, Inc.	4/25/2005
05-05272-FOIA	J. Patrick Gavin	Sanmina-SCI Corporation	4/25/2005
05-05274-FOIA	J. Patrick Gavin	Marlin Business Services Corp	4/25/2005
05-05275-FOIA	J. Patrick Gavin	Manpower, Inc.	4/25/2005
05-05278-FOIA	J. Patrick Gavin	B&G Foods, Inc.	4/25/2005
05-05280-FOIA	J. Patrick Gavin	World Fuel Services Corporation	4/25/2005
05-05281-FOIA	J. Patrick Gavin	Insituform Technologies, Inc.	4/25/2005
05-05283-FOIA	J. Patrick Gavin	USEC Inc.	4/25/2005
05-05270-FOIA	Jahan Raissi	Global Crown Capital, LLC	4/25/2005
05-05248-FOIA	Frank Salamone	GMAC Commercial Mortgage Securities, Inc.	4/25/2005
05-05273-FOIA	Frank Salamone	Capital One Master Trust	4/25/2005
05-05252-FOIA	Lindsay Calfee	Hibernia Corp. (HIB)	4/25/2005
05-05262-FOIA	Lindsay Calfee	Circuit City Stores Inc.	4/25/2005
05-05277-FOIA	Lindsay Calfee	Archipelago Holdings	4/25/2005
05-05244-FOIA	Lindsay Calfee	Sungard Data Systems (SDS)	4/25/2005
05-05249-FOIA	Lindsay Calfee	Ask Jeeves (ASKJ)	4/25/2005
05-05264-FOIA	John Bray	Joseph Calla	4/25/2005
05-05254-FOIA	Victoria Israeli	Delphi Corporation	4/25/2005
05-05263-FOIA	Leigh Leshner	Premier Marketing & Investment	4/25/2005
05-05247-FOIA	Barbara Elias	Ten oldest open or pending FOIA Requests	4/25/2005
05-05243-FOIA	Angie Vallot	Raymond James Financial Services, Inc.	4/25/2005
05-05250-FOIA	Ronald Fey	Louis Dryfuss	4/25/2005
05-05255-FOIA	Lorrie Maag	Ameritrade Holding Corporation	4/25/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05260-FOIA	Lorrie Maag	Charles Schwab Corporation	4/25/2005
05-05261-FOIA	Lorrie Maag	E*Trade Financial Corporation	4/25/2005
05-00001-GOVT	Dirk Roberts	Nordstrom FSB	4/25/2005
05-05279-FOIA	Richard Gonnello	Knoninklijke Ahold N.V. Royal Ahold	4/25/2005
05-05282-FOIA	Nelson Kefauver	NCT Group	4/25/2005
05-05276-FOIA	David Cossak	Budget Finance Company	4/25/2005
05-05251-FOIA	Chris Campanella	SLM Corp. (SallieMae)	4/25/2005
05-05284-FOIA	Ari Jaffe	The Fazio family (Carl Sr., Robert and Irene)	4/26/2005
05-05287-FOIA	Ken Miller	Mark Garrett Smith	4/26/2005
05-05303-FOIA	Michael Rzepiennik	Rzepiennik v. Archstone - Smith	4/26/2005
05-05300-FOIA	Owen Murphy	Canon Business	4/26/2005
05-00170-APPS	George Stein	Citigroup Inc	4/26/2005
05-00160-APPS	Colleen Kelley	Bargaining Unit Members	4/26/2005
05-05288-FOIA	Richard Harrison	Metro-Goldwyn-Mayer, Inc.	4/26/2005
05-05289-FOIA	Richard Harrison	Mutuals Com (File # 333-124179)	4/26/2005
05-05290-FOIA	Richard Harrison	Cellegy Pharmaceutical	4/26/2005
05-05291-FOIA	Richard Harrison	Gtech Corp 333121985	4/26/2005
05-05292-FOIA	Richard Harrison	New Frontier Energy Inc., 333123097	4/26/2005
05-05293-FOIA	Richard Harrison	Ogden Golf Co Corp, 333105075	4/26/2005
05-05294-FOIA	Richard Harrison	Jorgensen Earle M Co /DE/ 333119434	4/26/2005
05-05295-FOIA	Richard Harrison	Magal Security Systems Ltd, 333123265	4/26/2005
05-05296-FOIA	Richard Harrison	Jones Apparel Group Inc., 333123021	4/26/2005
05-05297-FOIA	Richard Harrison	Immersion Corp, 333122212	4/26/2005
05-05298-FOIA	Richard Harrison	Teletouch Communications Inc.	4/26/2005
05-05285-FOIA	Richard Harrison	Scolr Inc., 333123316	4/26/2005
05-05286-FOIA	Richard Harrison	Elderwatch Inc., 333108190	4/26/2005
05-05299-FOIA	Richard Harrison	Biomass Processing Technology Inc.	4/26/2005
05-05304-FOIA	Richard Harrison	Adventus Fund Trust	4/26/2005
05-05305-FOIA	Richard Harrison	Scudder U S Government Securities Fund, 333-124175	4/26/2005
05-05301-FOIA	Richard Harrison	Asset Acceptance Capital Corp, 333123178	4/26/2005
05-05302-FOIA	Richard Harrison	Northern Lights Fund Trust 333-124113	4/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05314-FOIA	J. Patrick Gavin	Siebel Systems, Inc.	4/27/2005
05-05316-FOIA	J. Patrick Gavin	U.S. Concrete, Inc.	4/27/2005
05-05318-FOIA	J. Patrick Gavin	InterMune, Inc.	4/27/2005
05-05320-FOIA	J. Patrick Gavin	Omnicell, Inc.	4/27/2005
05-05321-FOIA	J. Patrick Gavin	SeaChange International, Inc.	4/27/2005
05-05324-FOIA	J. Patrick Gavin	AtRoad, Inc.	4/27/2005
05-00161-APPS	J. Patrick Gavin	Lexar Medical Inc	4/27/2005
05-00162-APPS	J. Patrick Gavin	Intel Corporation	4/27/2005
05-00163-APPS	J. Patrick Gavin	MBNA Corp	4/27/2005
05-00164-APPS	J. Patrick Gavin	NDCHealth Corporation	4/27/2005
05-00165-APPS	J. Patrick Gavin	Metlife Inc	4/27/2005
05-00166-APPS	J. Patrick Gavin	Level 3 Communications Inc	4/27/2005
05-00167-APPS	J. Patrick Gavin	Interactive Corp	4/27/2005
05-05307-FOIA	J. Patrick Gavin	America Service Group, Inc.	4/27/2005
05-05308-FOIA	J. Patrick Gavin	Rofin-Sinar Technologies, Inc.	4/27/2005
05-05309-FOIA	J. Patrick Gavin	Qwest Communications International, Inc.	4/27/2005
05-00168-APPS	J. Patrick Gavin	Interpublic Group of Companies Inc	4/27/2005
05-05311-FOIA	J. Patrick Gavin	Harris Interactive, Inc.	4/27/2005
05-05312-FOIA	J. Patrick Gavin	Portal Software, Inc.	4/27/2005
05-00169-APPS	J. Patrick Gavin	HCA Inc	4/27/2005
05-05325-FOIA	John Freeman	Rule 12b-1 Public Comment Letters	4/27/2005
05-00172-APPS	Daniel Popeo	Complaints against short-sellers	4/27/2005
05-05310-FOIA	Kevin Cobbs	American Express Company	4/27/2005
05-05306-FOIA	Rachel Jensen	Adecco S.A.	4/27/2005
05-05313-FOIA	Kim Sinacola	U.S. Bank National Association	4/27/2005
05-05319-FOIA	Kim Sinacola	New Century Mortgage Corporation	4/27/2005
05-05317-FOIA	Kim Sinacola	Ocwen Federal Bank FSB	4/27/2005
05-05322-FOIA	Kim Sinacola	Fairbanks Capital Corporation	4/27/2005
05-05315-FOIA	Justin Barton	Gryphon International	4/27/2005
05-05323-FOIA	Daniel Logue	FOIA Request 04-7195	4/27/2005
05-05326-FOIA	Mario Camaj	Forms 3, 4, and 5	4/27/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00173-APPS	Judith Burns	Easylink Services Corp	4/27/2005
05-05327-FOIA	Frank Wells	Warrants as to Stocks and Interest Only Strips	4/28/2005
05-00171-APPS	Paul Dickens	Semele Group Inc	4/28/2005
05-05329-FOIA	Mark Mierzejewski	Pinecreek Capital Management, LLC	4/28/2005
05-05330-FOIA	Mark Mierzejewski	Randall Zurbach	4/28/2005
05-05328-FOIA	Susan Lichtcsien	Seth Turkeltaub	4/28/2005
05-05333-FOIA	Mark Mierzejewski	Mark Jurish	4/29/2005
05-05334-FOIA	Mark Mierzejewski	Larch Lane Advisors LP	4/29/2005
05-05332-FOIA	Jill Schuh	Janus Capital Mangement LLC	4/29/2005
05-05339-FOIA	Jill Schuh	American Century Investments Management, Inc.	4/29/2005
05-05331-FOIA	Charles Samuelson	2004-4007	4/29/2005
05-05335-FOIA	Danielle Carpenter	Merrill Lynch Investment Manager, Ltd.	4/29/2005
05-05336-FOIA	Danielle Carpenter	Merrill Lynch Investment Managers Group, Ltd.	4/29/2005
05-05337-FOIA	Danielle Carpenter	Merrill Lynch Natural Resources Fund, Ltd	4/29/2005
05-05338-FOIA	Danielle Carpenter	Graham Birch	4/29/2005
05-00174-APPS	J. Patrick Gavin	Mastec, Inc.	4/29/2005
05-00175-APPS	J. Patrick Gavin	John Gavin or J. Patrick Gavin	4/29/2005
05-00176-APPS	J. Patrick Gavin	International Business Machines Corporation	4/29/2005
05-05383-FOIA	J. Patrick Gavin	Certain Retailers, SF-2699	5/2/2005
05-05396-FOIA	J. Patrick Gavin	Estee Lauder Companies, Inc.	5/2/2005
05-05397-FOIA	J. Patrick Gavin	Amkor Technology, Inc.	5/2/2005
05-05359-FOIA	J. Patrick Gavin	UCBH Holdings, Inc.	5/2/2005
05-05388-FOIA	J. Patrick Gavin	Cache, Inc.	5/2/2005
05-05392-FOIA	J. Patrick Gavin	Winn-Dixie Stores, Inc.	5/2/2005
05-05406-FOIA	J. Patrick Gavin	Jacuzzi Brands, Inc.	5/2/2005
05-05401-FOIA	J. Patrick Gavin	Terra Nitrogen Company L.P.	5/2/2005
05-05402-FOIA	J. Patrick Gavin	PNM Resources, Inc.	5/2/2005
05-05376-FOIA	J. Patrick Gavin	Zimmer Holdings Inc	5/2/2005
05-05377-FOIA	J. Patrick Gavin	Sun Microsystems Inc	5/2/2005
05-05369-FOIA	J. Patrick Gavin	Fastenal Company	5/2/2005
05-05370-FOIA	J. Patrick Gavin	Activision Inc	5/2/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05371-FOIA	J. Patrick Gavin	Sharper Image Corporation	5/2/2005
05-05379-FOIA	J. Patrick Gavin	Duratek Inc	5/2/2005
05-05363-FOIA	J. Patrick Gavin	Genentech Inc	5/2/2005
05-05355-FOIA	J. Patrick Gavin	Sina Corporation	5/2/2005
05-05347-FOIA	J. Patrick Gavin	Costco Wholesale Corporation	5/2/2005
05-05352-FOIA	J. Patrick Gavin	Altera Corporation	5/2/2005
05-05342-FOIA	J. Patrick Gavin	North Fork Bancorporation Inc	5/2/2005
05-00177-APPS	Roger Parsons	NASD Cleveland Office	5/2/2005
05-05343-FOIA	Richard Harrison	Dupont Photomasks Inc	5/2/2005
05-05344-FOIA	Richard Harrison	Mandalay Resort Group	5/2/2005
05-05348-FOIA	Richard Harrison	Highbury House Communications Plc	5/2/2005
05-05349-FOIA	Richard Harrison	Danisco A/S FI	5/2/2005
05-05353-FOIA	Richard Harrison	Movie Gallery Inc	5/2/2005
05-05354-FOIA	Richard Harrison	Goldcorp Inc	5/2/2005
05-05360-FOIA	Richard Harrison	Wheaton River Minerals, Ltd.	5/2/2005
05-05361-FOIA	Richard Harrison	Province Healthcare Co.	5/2/2005
05-05365-FOIA	Richard Harrison	Toppan Printing Co Ltd / ADR	5/2/2005
05-05366-FOIA	Richard Harrison	Lifepoint Hospitals Inc	5/2/2005
05-05372-FOIA	Richard Harrison	MGM Mirage	5/2/2005
05-05373-FOIA	Richard Harrison	Merrill Lynch Global Equity Fund (File # 333-124372)	5/2/2005
05-05374-FOIA	Richard Harrison	Oppenheimer Strategic Income Fund	5/2/2005
05-05375-FOIA	Richard Harrison	Gabelli Equity Series Funds, Inc. (File # 333-124369)	5/2/2005
05-05381-FOIA	Richard Harrison	Merrimac Funds	5/2/2005
05-05382-FOIA	Richard Harrison	Riverview Bancorp Inc	5/2/2005
05-05384-FOIA	Richard Harrison	Keeley Funds, Inc.	5/2/2005
05-05385-FOIA	Richard Harrison	Travelers Series Fund, Inc. (File # 333-124324)	5/2/2005
05-05389-FOIA	Richard Harrison	Travelers Series Trust (File # 333-124261)	5/2/2005
05-05390-FOIA	Richard Harrison	Clipper Funds Trust (File # 333-124255)	5/2/2005
05-05393-FOIA	Richard Harrison	Special Devices, Inc. /DE	5/2/2005
05-05394-FOIA	Richard Harrison	Washington Federal, Inc.	5/2/2005
05-05395-FOIA	Richard Harrison	Cytrx Corp. (File # 333-122732)	5/2/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05398-FOIA	Richard Harrison	Mastellone Brothers, Inc. (File # 333-122268)	5/2/2005
05-05399-FOIA	Richard Harrison	Ryerson Tull, Inc. /DE/ (File # 333-122316)	5/2/2005
05-05400-FOIA	Richard Harrison	Digital Recorders, Inc. (File # 333-121834)	5/2/2005
05-05403-FOIA	Richard Harrison	TXU Energy Co. LLC (File # 333-122980)	5/2/2005
05-05404-FOIA	Richard Harrison	Appliance Recycling Centers of America, Inc. /MN (File # 333-123005)	5/2/2005
05-05405-FOIA	Richard Harrison	Intervideo, Inc.	5/2/2005
05-05407-FOIA	Richard Harrison	Genencor International, Inc.	5/2/2005
05-05408-FOIA	Richard Harrison	Occidental Petroleum Corp. /DE/ (File # 333-123324)	5/2/2005
05-05409-FOIA	Richard Harrison	Mapics, Inc.	5/2/2005
05-05410-FOIA	Richard Harrison	Hollywood Entertainment Corp.	5/2/2005
05-05378-FOIA	David Molenda	Bruce K. Baude	5/2/2005
05-05345-FOIA	David Molenda	American International Group Inc	5/2/2005
05-00178-APPS	Gerald Duggan	Wellfleet Communications	5/2/2005
05-05387-FOIA	Robert Motz	ATP Capital, Inc.	5/2/2005
05-05346-FOIA	Robert Motz	Geneva Proteomics Inc	5/2/2005
05-05341-FOIA	Robert Motz	Arriva Pharmaceuticals Inc	5/2/2005
05-05358-FOIA	Robert Motz	Incite Genomics, Inc. a.k.a. Incyte Corp.	5/2/2005
05-05351-FOIA	Robert Motz	Miami Investment Advisors Inc	5/2/2005
05-05362-FOIA	Robert Motz	Potomac Pharm Inc	5/2/2005
05-05367-FOIA	Robert Motz	Triad Therapeutics, Inc.	5/2/2005
05-05368-FOIA	Robert Motz	Cellfor Inc	5/2/2005
05-05380-FOIA	Robert Motz	Kings Corner LLC	5/2/2005
05-05364-FOIA	William Nolan	Citicorp Investment Services, Inc.	5/2/2005
05-05391-FOIA	Don Chevalley	Wachovia Securities	5/2/2005
05-05350-FOIA	Mark Mierzejewski	Roark, Rearden & Hamot LLC	5/2/2005
05-05386-FOIA	Mark Mierzejewski	Seth Hamot	5/2/2005
05-05356-FOIA	Andrew DePeau	Timothy J. Tanko	5/2/2005
05-05357-FOIA	Megan Lynch	HBV Capital Management	5/2/2005
05-05340-FOIA	Megan Lynch	William F. Harley III	5/2/2005
05-05424-FOIA	Andrew DePeau	Edmund R. Miller	5/3/2005
05-05466-FOIA	Andrew DePeau	Archery Capital LLC	5/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05417-FOIA	Justin Cannizzaro	Westharbor Capital LLP	5/3/2005
05-05411-FOIA	Peter Stano	File Number 021-69750	5/3/2005
05-05412-FOIA	Brian DiBenedetto	James Frederick Joy	5/3/2005
05-05414-FOIA	Mark Gray	Hoovers.com	5/3/2005
05-05418-FOIA	Dixie Johnson	FOIA Request # 05-04225	5/3/2005
05-05425-FOIA	Stephanie Frazier	Altegra Credit Company	5/3/2005
05-05438-FOIA	Stephanie Frazier	Washtenaw Mortgage Company	5/3/2005
05-05432-FOIA	Stephanie Frazier	National City Corporation	5/3/2005
05-05450-FOIA	Stephanie Frazier	Chase Manhattan Mortgage Corporation	5/3/2005
05-05445-FOIA	Stephanie Frazier	Ocwen Federal Bank FSB	5/3/2005
05-05415-FOIA	Robert Motz	PMSV Holdings, LLC	5/3/2005
05-05416-FOIA	Robert Motz	Viropharma, Inc.	5/3/2005
05-05433-FOIA	Robert Motz	eeBookstore.com	5/3/2005
05-05434-FOIA	Robert Motz	RF Globalnet, Inc.	5/3/2005
05-05435-FOIA	Robert Motz	beMany, Inc.	5/3/2005
05-05428-FOIA	Robert Motz	American Financial Realty Trust	5/3/2005
05-05429-FOIA	Robert Motz	Eric M. Hecht	5/3/2005
05-05430-FOIA	Robert Motz	Paul A. Brooke	5/3/2005
05-05431-FOIA	Robert Motz	ImageMax, Inc.	5/3/2005
05-05420-FOIA	Robert Motz	Novirio Pharmaceuticals, Inc.	5/3/2005
05-05421-FOIA	Robert Motz	Ithaka Acquisition Corp.	5/3/2005
05-05422-FOIA	Robert Motz	MPM Capital, Inc.	5/3/2005
05-05423-FOIA	Robert Motz	John M. Glazer	5/3/2005
05-05439-FOIA	Robert Motz	NutriSystem, Inc.	5/3/2005
05-05440-FOIA	Robert Motz	LMR Holdings, Inc.	5/3/2005
05-05441-FOIA	Robert Motz	Djordje Jankovic a.k.a. George Jankovic	5/3/2005
05-05442-FOIA	Robert Motz	eVulkan, Inc.	5/3/2005
05-05443-FOIA	Robert Motz	Elite Parfums, Ltd.	5/3/2005
05-05444-FOIA	Robert Motz	Jordache Fragrances & Cosmetics	5/3/2005
05-05446-FOIA	Robert Motz	InterParfums, Inc.	5/3/2005
05-05448-FOIA	Robert Motz	Microwave Online Services	5/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05449-FOIA	Robert Motz	Boulder Interactive Technology Services, Inc.	5/3/2005
05-05451-FOIA	Robert Motz	VerticalNet, Inc.	5/3/2005
05-05452-FOIA	Robert Motz	James D. Brown	5/3/2005
05-05453-FOIA	Robert Motz	Michael J. Hagan	5/3/2005
05-05454-FOIA	Robert Motz	Premier Fragrances	5/3/2005
05-05455-FOIA	Robert Motz	Jean Philippe Fragrances, Inc.	5/3/2005
05-05456-FOIA	Robert Motz	Philippe Benacin	5/3/2005
05-05457-FOIA	Robert Motz	Jean Madar	5/3/2005
05-05458-FOIA	Robert Motz	Orange-Nassau Energie B.W.	5/3/2005
05-05459-FOIA	Robert Motz	VDM Properties, Inc.	5/3/2005
05-05460-FOIA	Robert Motz	Van Dyke Travel, Inc.	5/3/2005
05-05462-FOIA	Robert Motz	Van Dyke Oil Company	5/3/2005
05-05463-FOIA	Robert Motz	Van Dyke Energy Company	5/3/2005
05-05464-FOIA	Robert Motz	Vanco Energy Company also known as Vanco Equatorial Guinea Ltd., Vanco Ghana Ltd., Vanco Madagascar Ltd., Vanco Morocco Ltd., Vanco Cote d'Ivoire Ltd.	5/3/2005
05-05465-FOIA	Robert Motz	Gene C. Van Dyke	5/3/2005
05-05419-FOIA	Justin Cannizzaro	Brian Byrne	5/3/2005
05-05447-FOIA	Justin Cannizzaro	Westharbor Event Driven Fund	5/3/2005
05-05413-FOIA	Justin Cannizzaro	Joost Wissink	5/3/2005
05-05426-FOIA	Justin Cannizzaro	Richard Kershaw	5/3/2005
05-05427-FOIA	Justin Cannizzaro	Erman Ozen	5/3/2005
05-05437-FOIA	Justin Cannizzaro	Sebastian Burian	5/3/2005
05-05436-FOIA	Ralph Stone	Charles Schwab	5/3/2005
05-05467-FOIA	Richard Brodsky	Ex Parte Application	5/4/2005
05-05472-FOIA	Mark Edwards	Calypte Biomedical Corp	5/4/2005
05-05473-FOIA	Mark Edwards	Genetic Therapy, Inc.	5/4/2005
05-05468-FOIA	Mark Edwards	Greenwich Pharmaceuticals	5/4/2005
05-05469-FOIA	Mark Edwards	Advanced Magnetics	5/4/2005
05-05470-FOIA	Mark Edwards	Shire Pharmaceuticals Group PLC	5/4/2005
05-05474-FOIA	David W Mitchell	Business Objects, S.A.	5/4/2005
05-05471-FOIA	Michael White	Michael Chance White	5/4/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05481-FOIA	Becky Conover	Richard Capalbo	5/5/2005
05-05480-FOIA	Debbra Budilo	FOIA Request Number 04-4522	5/5/2005
05-05482-FOIA	Cindy Schwind	Pentair, Inc.	5/5/2005
05-05492-FOIA	Cindy Schwind	DeVilbiss Air Power Co.	5/5/2005
05-05493-FOIA	Cindy Schwind	The Black & Decker Corporation	5/5/2005
05-05483-FOIA	Cindy Whitaker	In the Matter of Morgan Stanley DW, Inc., Administrative Proceeding File No. 3-11335	5/5/2005
05-05491-FOIA	Adam Isabelle	Financial Statements in the USA	5/5/2005
05-05475-FOIA	J. Patrick Gavin	Walgreen Co.	5/5/2005
05-05476-FOIA	J. Patrick Gavin	Intersections Inc.	5/5/2005
05-05477-FOIA	J. Patrick Gavin	Lowes's Companies, Inc.	5/5/2005
05-05478-FOIA	J. Patrick Gavin	Coca-Cola Company	5/5/2005
05-05479-FOIA	J. Patrick Gavin	Dell Computer Corporation	5/5/2005
05-05484-FOIA	J. Patrick Gavin	Taser International, Inc.	5/5/2005
05-05485-FOIA	J. Patrick Gavin	Yahoo! Inc.	5/5/2005
05-05486-FOIA	J. Patrick Gavin	Pride International, Inc.	5/5/2005
05-05487-FOIA	J. Patrick Gavin	United Parcel Service, Inc.	5/5/2005
05-05488-FOIA	J. Patrick Gavin	Millennium Pharmaceuticals, Inc.	5/5/2005
05-05489-FOIA	J. Patrick Gavin	Abbott Laboratories	5/5/2005
05-05490-FOIA	J. Patrick Gavin	3M Company	5/5/2005
05-05495-FOIA	J. Patrick Gavin	American Express Company	5/6/2005
05-05496-FOIA	J. Patrick Gavin	American Capital Strategies, Ltd.	5/6/2005
05-05497-FOIA	J. Patrick Gavin	Allergan, Inc.	5/6/2005
05-05498-FOIA	J. Patrick Gavin	Adecco SA	5/6/2005
05-05501-FOIA	J. Patrick Gavin	Affymetrix, Inc.	5/6/2005
05-05502-FOIA	J. Patrick Gavin	Amdocs Limited a.k.a. Amdocs, Inc.	5/6/2005
05-05503-FOIA	J. Patrick Gavin	Americredit Corp.	5/6/2005
05-05504-FOIA	J. Patrick Gavin	Amgen Inc	5/6/2005
05-05499-FOIA	Emily Sing	Sarbanes-Oxley	5/6/2005
05-05500-FOIA	Thepchai Yong	GE InVision, Inc. f.k.a. InVision Technologies, Inc.	5/6/2005
05-05505-FOIA	Chris Zepf	Airbee Wireless, Inc.	5/6/2005
05-05494-FOIA	Mike Lipman	Marco Santamaria	5/6/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05547-FOIA	Patrick Walsh	Beringer Wine Estates Company	5/9/2005
05-05551-FOIA	Patrick Walsh	Walter T. Klenz, a/k.a. Walt Klenz	5/9/2005
05-05507-FOIA	Patrick Walsh	America West Airlines, Inc.	5/9/2005
05-05511-FOIA	Patrick Walsh	Beringer Online, Inc.	5/9/2005
05-05521-FOIA	Patrick Walsh	Mildara Blass Holdings, Inc.	5/9/2005
05-05525-FOIA	Patrick Walsh	Beringer Wine Estates Holdings, Inc.	5/9/2005
05-05531-FOIA	Patrick Walsh	Beringer Blass Wine Estates Company	5/9/2005
05-05536-FOIA	Patrick Walsh	Columbia Sportswear Company	5/9/2005
05-05544-FOIA	Patrick Walsh	Wine World Estates Company	5/9/2005
05-05538-FOIA	Patrick Walsh	America West Holdings, Inc.	5/9/2005
05-05542-FOIA	Patrick Walsh	Cork Processors, Inc.	5/9/2005
05-05506-FOIA	Robert Baptista	General Aniline and Film Corporation (GAF Corporation)	5/9/2005
05-05526-FOIA	J. Patrick Gavin	Cablevision Systems Corporation aka CSC Holdings, Inc.	5/9/2005
05-05552-FOIA	J. Patrick Gavin	Elizabeth Arden, Inc.	5/9/2005
05-05543-FOIA	J. Patrick Gavin	Fresh Del Monte Produce Inc.	5/9/2005
05-05528-FOIA	J. Patrick Gavin	CCC Information Sevices Group Inc	5/9/2005
05-05523-FOIA	J. Patrick Gavin	Home Depot, Inc.	5/9/2005
05-05514-FOIA	J. Patrick Gavin	Celgene Corporation	5/9/2005
05-05516-FOIA	J. Patrick Gavin	Cisco Systems, Inc.	5/9/2005
05-05517-FOIA	J. Patrick Gavin	Echelon Corporation	5/9/2005
05-05518-FOIA	J. Patrick Gavin	Eli Lilly & Company	5/9/2005
05-05508-FOIA	J. Patrick Gavin	Chevron Texaco Corporation	5/9/2005
05-05509-FOIA	Richard Harrison	NCI Building Systems, Inc. (File # 333-122457)	5/9/2005
05-05510-FOIA	Richard Harrison	Scarab Systems, Inc. (File # 333-123674)	5/9/2005
05-05515-FOIA	Richard Harrison	FPB Bancorp, Inc. (File # 333-123229)	5/9/2005
05-05512-FOIA	Richard Harrison	IQ Biometrix, Inc. (File # 333-122710)	5/9/2005
05-05513-FOIA	Richard Harrison	Navteq Corp. (File # 333-123628)	5/9/2005
05-05519-FOIA	Richard Harrison	Levi Strauss & Co 333-123588	5/9/2005
05-05520-FOIA	Richard Harrison	Plains Resources International, Inc. (File # 333-121452)	5/9/2005
05-05522-FOIA	Richard Harrison	Levi & Strauss & Co 333-123447	5/9/2005
05-05524-FOIA	Richard Harrison	CFSB Alternative Capital Multi-Strategy Fund, LLC	5/9/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05527-FOIA	Richard Harrison	Finisar Corp (File # 333-122705)	5/9/2005
05-05529-FOIA	Richard Harrison	Chevron Texaco Corporation	5/9/2005
05-05530-FOIA	Richard Harrison	Global Signal Inc. 333-121576	5/9/2005
05-05532-FOIA	Richard Harrison	Innovo Group Inc 333-123088	5/9/2005
05-05533-FOIA	Richard Harrison	Greenwich Street Series Fund (File # 333-124548)	5/9/2005
05-05534-FOIA	Richard Harrison	First Citizens Bancorporation, Inc.	5/9/2005
05-05535-FOIA	Richard Harrison	J P Morgan Chase & Co 333-117775	5/9/2005
05-05539-FOIA	Richard Harrison	Personal Computing Division	5/9/2005
05-05540-FOIA	Richard Harrison	Conversion Services International Inc 333-115243	5/9/2005
05-05541-FOIA	Richard Harrison	FreightCar America, Inc.	5/9/2005
05-05537-FOIA	Richard Harrison	Pengrowth Energy Trust	5/9/2005
05-05548-FOIA	Richard Harrison	Huntsman Advanced Materials LLC (File # 333-115344)	5/9/2005
05-05549-FOIA	Richard Harrison	Travelers Series Fund Inc 333-124553	5/9/2005
05-05550-FOIA	Richard Harrison	Synaptics Inc	5/9/2005
05-05545-FOIA	Richard Harrison	Managed Account Series 333-124463	5/9/2005
05-05546-FOIA	Richard Harrison	Peoples Community Capital Corp.	5/9/2005
05-05553-FOIA	Richard Harrison	Good Times Restaurants Inc 333-122890	5/9/2005
05-05564-FOIA	John Richardson	1st Advantage Federal C.U.	5/10/2005
05-05560-FOIA	Jeffrey Parrott	Wells Fargo National Association	5/10/2005
05-05562-FOIA	Jeffrey Parrott	Homeq Servicing, Inc.	5/10/2005
05-05563-FOIA	Jeffrey Parrott	Homeq Servicing, Inc.	5/10/2005
05-05557-FOIA	Jeffrey Parrott	HOMEQ SERVICING INC	5/10/2005
05-05558-FOIA	Nancy Lubinski	File No. 3-9498 Armscott Securities	5/10/2005
05-05561-FOIA	Nancy Lubinski	File No. 3-9497 A. Morgan Maree, Jr. & Assoc. & Hugh Duff Robertson	5/10/2005
05-05565-FOIA	James Mitchell	Newport News Shipbuilding	5/10/2005
05-00179-APPS	Leigh Leshner	Premier Marketing and Investments Inc	5/10/2005
05-05554-FOIA	Frank Salamone	Merger of SangStat Medical Corp. and Genzyme Corp	5/10/2005
05-05555-FOIA	Frank Salamone	Meger of Bank One Corp, & J.P. Morgan Chase and Co.	5/10/2005
05-05556-FOIA	Frank Salamone	Meger of Regions Financial Corp and Union Planters Corp	5/10/2005
05-05559-FOIA	Frank Salamone	Travelers Property Casualty Corp.	5/10/2005
05-05569-FOIA	Frank Salamone	DMI Furniture, Inc. and Flexsteel Industries, Inc.	5/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05570-FOIA	Frank Salamone	Beldon Inc. and Cable Design Technologies	5/11/2005
05-05575-FOIA	Frank Salamone	Mercator Software, Inc.	5/11/2005
05-05576-FOIA	Frank Salamone	Titan Corp. and Lockheed Martin Corp.	5/11/2005
05-05577-FOIA	Frank Salamone	Biogen, Inc. and IDEC Pharmaceuticals Corp	5/11/2005
05-05579-FOIA	Frank Salamone	Wheaton River Minerals Ltd. & Coeur d'Alene Mines Corp	5/11/2005
05-05567-FOIA	Ben Davis	John L. Sullivan	5/11/2005
05-05566-FOIA	Anthony Alverno	FOIA/PA Appeals	5/11/2005
05-05571-FOIA	Karl Demmler	Capital One	5/11/2005
05-05572-FOIA	Lynne Skelley	SRA International from solicitation #SECHQ1-05-RFI-IM01	5/11/2005
05-05573-FOIA	Jeffrey Mersman	Timeless Portfolio Solutions, Inc.	5/11/2005
05-05580-FOIA	Faton Bacaj	SEC's Annual Report 2003	5/11/2005
05-05568-FOIA	William Fagnes	Stockham Value Pipe & Fitting, Inc., et al	5/11/2005
05-05578-FOIA	Brian Schiewe	American International Group, Inc.	5/11/2005
05-05574-FOIA	Brian P Miller	Arrow Electronics, Inc.	5/11/2005
05-05583-FOIA	J. Patrick Gavin	Jarden Corporation	5/12/2005
05-05584-FOIA	J. Patrick Gavin	California Petroleum Transport Corporation	5/12/2005
05-05585-FOIA	J. Patrick Gavin	Medcath Corporation	5/12/2005
05-05586-FOIA	J. Patrick Gavin	Cheniere Energy Inc	5/12/2005
05-05587-FOIA	J. Patrick Gavin	Nordic American Tanker Shipping Ltd	5/12/2005
05-05588-FOIA	J. Patrick Gavin	Top Tankers Inc	5/12/2005
05-05589-FOIA	J. Patrick Gavin	Overseas Shipholding Group Inc	5/12/2005
05-05590-FOIA	J. Patrick Gavin	Federated Department Stores, Inc.	5/12/2005
05-05591-FOIA	J. Patrick Gavin	Teekay Shipping Corporation	5/12/2005
05-05592-FOIA	J. Patrick Gavin	Wyeth	5/12/2005
05-05593-FOIA	Wade McKnight	Todd Pitsinger	5/12/2005
05-05594-FOIA	Wade McKnight	Jason Ruggiero	5/12/2005
05-05581-FOIA	Brian Schiewe	Aon Corporation	5/12/2005
05-05582-FOIA	Mark Corbett	Energy East	5/12/2005
05-05595-FOIA	Frederick McCloud	Frederick Lamarr McCloud	5/13/2005
05-05597-FOIA	Anne Flaherty	SEC vs Moller International Inc	5/13/2005
05-05602-FOIA	Paul LeBlanc	Patterson Insurance Company	5/13/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05603-FOIA	Paul LeBlanc	Patterson Insurance Group of Arkansas d/b/a Arkansas Insurance Managers	5/13/2005
05-05613-FOIA	Paul LeBlanc	Patterson Insurance Group Inc	5/13/2005
05-05614-FOIA	Paul LeBlanc	Patterson General Agency	5/13/2005
05-05615-FOIA	Paul LeBlanc	Patterson Premium Finance	5/13/2005
05-05596-FOIA	ALLAN SELVY	Manulife Financial Corporation	5/13/2005
05-05605-FOIA	Wade Mcknight	John Matthew Romero	5/13/2005
05-05598-FOIA	J. Patrick Gavin	Sears Holdings Corporation	5/13/2005
05-05599-FOIA	J. Patrick Gavin	Irwin Financial Corporation	5/13/2005
05-05600-FOIA	J. Patrick Gavin	The Corporate Executive Board Company	5/13/2005
05-05601-FOIA	J. Patrick Gavin	Citigroup Inc	5/13/2005
05-05607-FOIA	J. Patrick Gavin	JP Morgan Chase & Co	5/13/2005
05-05608-FOIA	J. Patrick Gavin	Johnson & Johnson	5/13/2005
05-05609-FOIA	J. Patrick Gavin	Kla Tencor Corporation	5/13/2005
05-05610-FOIA	J. Patrick Gavin	Kroger Co	5/13/2005
05-05611-FOIA	J. Patrick Gavin	Intuit Inc	5/13/2005
05-05612-FOIA	J. Patrick Gavin	Federal Agricultural Mortgage Corporation	5/13/2005
05-05604-FOIA	PHIL BROWN	Pre-Filing and Pre-Clearance Submission Letters	5/13/2005
05-05606-FOIA	Richard Harrison	Independent Chair Condition	5/13/2005
05-05618-FOIA	J. Patrick Gavin	Echostar Communications Corporation	5/16/2005
05-05619-FOIA	J. Patrick Gavin	Elan Corporation plc	5/16/2005
05-05620-FOIA	J. Patrick Gavin	Wm Wrigley Jr Company	5/16/2005
05-05621-FOIA	J. Patrick Gavin	Smithfield Foods Inc	5/16/2005
05-05622-FOIA	J. Patrick Gavin	Northrop Grumman Corp	5/16/2005
05-05628-FOIA	J. Patrick Gavin	The Gillette Company	5/16/2005
05-05629-FOIA	J. Patrick Gavin	China Life Insurance Comany Limited	5/16/2005
05-05630-FOIA	J. Patrick Gavin	Webmd Corporation	5/16/2005
05-05631-FOIA	J. Patrick Gavin	Schering Plough corporation	5/16/2005
05-05632-FOIA	J. Patrick Gavin	Pfizer Inc	5/16/2005
05-00185-APPS	Gerald Duggan	Bay Networks	5/16/2005
05-05633-FOIA	Patrick Walsh	Singing Tree Farms Inc	5/16/2005
05-05634-FOIA	Patrick Walsh	Bridger Trading Company Inc	5/16/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05635-FOIA	Patrick Walsh	Big Sky Carvers	5/16/2005
05-05624-FOIA	Patrick Walsh	PB Holdings Inc	5/16/2005
05-05625-FOIA	Patrick Walsh	Manhattan Development Corporation	5/16/2005
05-05626-FOIA	Patrick Walsh	Little Big Sky Carvers Inc	5/16/2005
05-05627-FOIA	Patrick Walsh	Marc A. Pierce	5/16/2005
05-00186-APPS	Leigh Leshner	Premier Marketing and Investments Inc	5/16/2005
05-00192-APPS	Brent Hyatt	Medinah Mining Inc	5/16/2005
05-05616-FOIA	Jason Steinbeck	Valtex Corporation	5/16/2005
05-05617-FOIA	Hiroshi Takahashi	SEC vs Mexican Company TV Azteca	5/16/2005
05-05623-FOIA	Melina Baez	Securities and Exchange Commission IT Strategic Plan	5/16/2005
05-00211-APPS	Amy Beckwith	Premier Capital Management LLC	5/16/2005
05-05658-FOIA	Rachel Jensen	Adecco SA	5/17/2005
05-00189-APPS	Louis Corrigan	Max Internet (request no. 05-05196-FOIA)	5/17/2005
05-00187-APPS	William Nolan	Citicorp Investment Services, Inc., in Administrative Proceeding File No. 3-11869	5/17/2005
05-05657-FOIA	Christine Wierzba	Cornell Capital Partners LP	5/17/2005
05-05653-FOIA	Christine Wierzba	Mark Angelo	5/17/2005
05-05646-FOIA	Christine Wierzba	David Grin	5/17/2005
05-05647-FOIA	Christine Wierzba	Paul Kessler	5/17/2005
05-05650-FOIA	Christine Wierzba	Bristol Capital Advisors LLC	5/17/2005
05-05643-FOIA	Christine Wierzba	Laurus Funds	5/17/2005
05-05641-FOIA	Christine Wierzba	Corey Ribotsky	5/17/2005
05-05638-FOIA	Christine Wierzba	NIR Group	5/17/2005
05-05636-FOIA	Christine Wierzba	AJW Partners	5/17/2005
05-05640-FOIA	Myrtle Clayton	Household Realty Corporation of Virginia	5/17/2005
05-05644-FOIA	Brian Faure	Brian Faure	5/17/2005
05-05656-FOIA	Brandon Rath	Goldtech Mining Corporation	5/17/2005
05-05652-FOIA	Andrew DePeau	Solaris Capital Advisors LLC	5/17/2005
05-05649-FOIA	Andrew DePeau	Owen H. Brown	5/17/2005
05-05651-FOIA	Susan Lichtcsien	Ergates Capital Management, LLC	5/17/2005
05-05659-FOIA	Susan Lichtcsien	Ergon Capital LP	5/17/2005
05-05661-FOIA	Susan Lichtcsien	William O Birchfield III	5/17/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05645-FOIA	Megan Lynch	Alan H. Cohen	5/17/2005
05-05654-FOIA	Megan Lynch	York Capital Management	5/17/2005
05-05642-FOIA	Mike Lipman	Daniel (James) Kelly	5/17/2005
05-05639-FOIA	Mike Lipman	James Altucher	5/17/2005
05-05660-FOIA	Mark Mierzejewski	Christopher Bondy	5/17/2005
05-05662-FOIA	Mark Mierzejewski	Stanfield Capital Partners	5/17/2005
05-05637-FOIA	Mike Lipman	Formula Capital LLC	5/17/2005
05-05648-FOIA	Mike Lipman	Jay Kaplowitz	5/17/2005
05-05655-FOIA	Eric Bock	#2003-5354 Cendant Corporation	5/17/2005
05-05668-FOIA	Patrick Ahern	The Island ECN	5/18/2005
05-00201-APPS	J. Patrick Gavin	NovaStar Financial Inc	5/18/2005
05-05714-FOIA	J. Patrick Gavin	CT Communications, Inc.	5/18/2005
05-05707-FOIA	J. Patrick Gavin	Liz Claiborne, Inc.	5/18/2005
05-05697-FOIA	J. Patrick Gavin	Fifth Third Bancorp	5/18/2005
05-05700-FOIA	J. Patrick Gavin	Millipore Corporation	5/18/2005
05-05674-FOIA	J. Patrick Gavin	Fremont General Corporation	5/18/2005
05-05679-FOIA	J. Patrick Gavin	Fiserv, Inc.	5/18/2005
05-05692-FOIA	J. Patrick Gavin	Kimberly-Clark Corporation	5/18/2005
05-05687-FOIA	J. Patrick Gavin	Teleflex Incorporated	5/18/2005
05-05682-FOIA	J. Patrick Gavin	Rohm and Haas Company	5/18/2005
05-05670-FOIA	J. Patrick Gavin	Kforce, Inc.	5/18/2005
05-05721-FOIA	J. Patrick Gavin	IAC/Interactivecorp	5/18/2005
05-05665-FOIA	Richard Harrison	Novamerican Steel, Inc. (File # 333-122923)	5/18/2005
05-05666-FOIA	Richard Harrison	Fluor Corp. (File # 333-121626)	5/18/2005
05-05671-FOIA	Richard Harrison	South Financial Group, Inc.	5/18/2005
05-05675-FOIA	Richard Harrison	SPX Corp	5/18/2005
05-05676-FOIA	Richard Harrison	Officemax, Inc.	5/18/2005
05-05680-FOIA	Richard Harrison	Wintergreen Fund, Inc. (File # 333-124761)	5/18/2005
05-05681-FOIA	Richard Harrison	ML Macadamia Orchards LP	5/18/2005
05-05685-FOIA	Richard Harrison	Acacia Research Corp 333-122452	5/18/2005
05-05686-FOIA	Richard Harrison	Verso Technologies Inc 333-123591	5/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05690-FOIA	Richard Harrison	Banyan Corp /OR 333-122815	5/18/2005
05-05691-FOIA	Richard Harrison	Siricomm Inc 333-123132	5/18/2005
05-05694-FOIA	Richard Harrison	Daystar Technologies Inc 333-123497	5/18/2005
05-05695-FOIA	Richard Harrison	Goorich Petroleum Corp 333-121560	5/18/2005
05-05698-FOIA	Richard Harrison	Capital One Master Trust 333-103921	5/18/2005
05-05699-FOIA	Richard Harrison	Gentek Inc 333-112578	5/18/2005
05-05703-FOIA	Richard Harrison	Valley National Gases, Inc.	5/18/2005
05-05705-FOIA	Richard Harrison	Rurban Financial Corp.	5/18/2005
05-05710-FOIA	Richard Harrison	Pointe Financial Corp	5/18/2005
05-05711-FOIA	Richard Harrison	Mayors Jewelers, Inc. DE	5/18/2005
05-05712-FOIA	Richard Harrison	Matritech, Inc. DE (File # 333-123659)	5/18/2005
05-05713-FOIA	Richard Harrison	Pioneer Series Trust II 333-124736	5/18/2005
05-05716-FOIA	Richard Harrison	Lifestream Technologies, Inc. (File # 333-121991)	5/18/2005
05-05717-FOIA	Richard Harrison	Franklin Bank Corp. (File # 333-123865)	5/18/2005
05-05722-FOIA	Richard Harrison	American Bank Holdings, Inc. (File # 333-111271)	5/18/2005
05-05678-FOIA	Patrick Walsh	Minkler Properties, LLC	5/18/2005
05-05688-FOIA	Patrick Walsh	Beringer Wine Estates Holdings, Inc.	5/18/2005
05-05693-FOIA	Patrick Walsh	Laura J. Rydberg, A/K/A/ Laura J. Wilson	5/18/2005
05-05669-FOIA	Patrick Walsh	Century Development, LLC	5/18/2005
05-05672-FOIA	Patrick Walsh	Skybrand, LLC	5/18/2005
05-05709-FOIA	Patrick Walsh	David R. Yoakley a.k.a. Dave R. Yoakley a.k.a. Rex Yoakley	5/18/2005
05-05706-FOIA	Patrick Walsh	RM Properties, LLC	5/18/2005
05-05719-FOIA	Patrick Walsh	Raymond J. Basta a.k.a. Ray Basta	5/18/2005
05-05677-FOIA	Gregg O'Neil	Pinnacle Business Management PCBM d.b.a. Serac Holdings SRCI	5/18/2005
05-05663-FOIA	Megan Lynch	Ergon Capital, LP a.k.a. Ergates Capital Management, LLC	5/18/2005
05-05683-FOIA	Megan Lynch	Jason Scot Atkins	5/18/2005
05-05664-FOIA	Susan Lichtcsien	Walter Pendley Bussells	5/18/2005
05-05684-FOIA	Kevin Bardon	Richard B. Cheney	5/18/2005
05-05704-FOIA	William Bishop	File No. 3-11858 and CMKM Diamonds, Inc.	5/18/2005
05-05718-FOIA	Roger Hall	CUSIPAUTOTRIS	5/18/2005
05-05723-FOIA	Kim Stephans	Credit Card Holders	5/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05689-FOIA	Jason Itkin	PS Discovery Fund I.	5/18/2005
05-05673-FOIA	Jason Itkin	John F. Barry III	5/18/2005
05-05667-FOIA	Jason Itkin	Prospect Energy Corporation	5/18/2005
05-05720-FOIA	Jason Itkin	Prospect Street Discovery Fund I	5/18/2005
05-05715-FOIA	Jason Itkin	Prospect Street High Income Portfolio, Inc.	5/18/2005
05-05708-FOIA	Jason Itkin	M. Grier Eliasek	5/18/2005
05-05696-FOIA	Jason Itkin	New York City Discovery Fund	5/18/2005
05-05701-FOIA	Jason Itkin	Prospect Street	5/18/2005
05-05702-FOIA	Jason Itkin	NYC Discovery Fund	5/18/2005
05-05724-FOIA	K.C. Fenton	Purpose of SEC functions, monthly newsletters and up-to-date info	5/19/2005
05-05733-FOIA	Danielle Carpenter	Azzinaro Emerging Equity Fund, LP	5/19/2005
05-05735-FOIA	Danielle Carpenter	Azzinaro Advisors, LLC	5/19/2005
05-05728-FOIA	Danielle Carpenter	Azzinaro Management, LLC	5/19/2005
05-05726-FOIA	Danielle Carpenter	James C. Dascoli	5/19/2005
05-05732-FOIA	Susan Lichtcsien	Edward R. Finch	5/19/2005
05-00191-APPS	J. Patrick Gavin	Prologis Trust	5/19/2005
05-05725-FOIA	J. Patrick Gavin	Take Two Interactive Software, Inc.	5/19/2005
05-05727-FOIA	J. Patrick Gavin	Scientific-Atlanta, Inc.	5/19/2005
05-00198-APPS	J. Patrick Gavin	Netflix Inc	5/19/2005
05-00199-APPS	J. Patrick Gavin	Peoplesoft Inc	5/19/2005
05-00200-APPS	J. Patrick Gavin	World Wrestling Federation Entertainment Inc	5/19/2005
05-05736-FOIA	J. Patrick Gavin	Air Products and Chemicals, Inc.	5/19/2005
05-00193-APPS	J. Patrick Gavin	The Chubb Corporation	5/19/2005
05-05737-FOIA	J. Patrick Gavin	Jabil Circuit, Inc.	5/19/2005
05-05738-FOIA	J. Patrick Gavin	TRQ Automotive Holdings Corp.	5/19/2005
05-05739-FOIA	J. Patrick Gavin	Yum! Brands, Inc. a.k.a. Yum Brands, Inc., f.k.a. Tricon Global Restaurants, Inc.	5/19/2005
05-00194-APPS	J. Patrick Gavin	Quovadx, Inc.	5/19/2005
05-00195-APPS	J. Patrick Gavin	NVIDIA Corporation	5/19/2005
05-00196-APPS	J. Patrick Gavin	Shaw Group, Inc.	5/19/2005
05-00197-APPS	J. Patrick Gavin	Ustarcom, Inc.	5/19/2005
05-05729-FOIA	J. Patrick Gavin	MarineMax, Inc.	5/19/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05730-FOIA	J. Patrick Gavin	Electronic Data Systems Corporation	5/19/2005
05-05731-FOIA	J. Patrick Gavin	National City Corporation	5/19/2005
05-05734-FOIA	J. Patrick Gavin	Placer Dome, Inc.	5/19/2005
05-05746-FOIA	J. Patrick Gavin	Wells Fargo & Company	5/20/2005
05-05742-FOIA	J. Patrick Gavin	Phelps Dodge Corporation	5/20/2005
05-05743-FOIA	J. Patrick Gavin	Metris Companies Inc	5/20/2005
05-05744-FOIA	J. Patrick Gavin	Annaly Mortgage Management Inc	5/20/2005
05-05750-FOIA	J. Patrick Gavin	Mobile Mini, Inc.	5/20/2005
05-05751-FOIA	J. Patrick Gavin	Minerals Technologies, Inc.	5/20/2005
05-05752-FOIA	J. Patrick Gavin	MDS Inc.	5/20/2005
05-05753-FOIA	J. Patrick Gavin	McDermott International, Inc.	5/20/2005
05-05754-FOIA	J. Patrick Gavin	Closure Medical Corporation	5/20/2005
05-05749-FOIA	Mark Mierzejewski	Fort Management Inc	5/20/2005
05-05741-FOIA	Mark Mierzejewski	Yves Balcer	5/20/2005
05-05740-FOIA	Margaret Keeley	Cosmo Corigliano	5/20/2005
05-05745-FOIA	Mark Bruno	Investment Consulting Firms	5/20/2005
05-05747-FOIA	Raymond Emery	Wells Fargo Bank, N.A. Successor by Merger to Wells Fargo Home Mortgage, Inc.	5/20/2005
05-05748-FOIA	Gregory Williams	Beacon Hill Asset Management, LLC	5/20/2005
05-05755-FOIA	Nornam Gaefe	Radio Oil Co	5/23/2005
05-05759-FOIA	Marsha Hays	Bank of America NA	5/23/2005
05-05783-FOIA	Pere Wickes	Option One Mortgage Corporation	5/23/2005
05-05790-FOIA	Verva Thomas	Rock River Bank	5/23/2005
05-05793-FOIA	Regina Jones	Regina K Jones	5/23/2005
05-05796-FOIA	Mark Hunnibell	Allied Pilots Association	5/23/2005
05-00207-APPS	J. Patrick Gavin	Sonus Networks Inc	5/23/2005
05-00202-APPS	J. Patrick Gavin	Toys"R"Us Inc	5/23/2005
05-00203-APPS	J. Patrick Gavin	Wireless Facilities Inc	5/23/2005
05-00204-APPS	J. Patrick Gavin	Wells Fargo & Company	5/23/2005
05-00205-APPS	J. Patrick Gavin	DaimlerChrysler AG	5/23/2005
05-00206-APPS	J. Patrick Gavin	Sprint Corporation	5/23/2005
05-05756-FOIA	Richard Harrison	Newport International Group Inc	5/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05757-FOIA	Richard Harrison	Active Power Inc	5/23/2005
05-05758-FOIA	Richard Harrison	Xerium Technologies Inc	5/23/2005
05-05760-FOIA	Richard Harrison	Esesis Inc 333102145	5/23/2005
05-05761-FOIA	Richard Harrison	Equinix Inc 333123923	5/23/2005
05-05762-FOIA	Richard Harrison	Staar Surgical Company	5/23/2005
05-05763-FOIA	Richard Harrison	Spark Networks PLC	5/23/2005
05-05764-FOIA	Richard Harrison	Lyondell Chemical Co 333123977	5/23/2005
05-05765-FOIA	Richard Harrison	Itron Inc /WA/ 333-123340	5/23/2005
05-05767-FOIA	Richard Harrison	Magellan Health Services Inc	5/23/2005
05-05766-FOIA	Richard Harrison	AllianceBerstein Pooling Portfolios	5/23/2005
05-05768-FOIA	Richard Harrison	Royal Bank Of Scotland Group PLC	5/23/2005
05-05769-FOIA	Richard Harrison	Riggs National Corp	5/23/2005
05-05770-FOIA	Richard Harrison	AMLI Residential Properties Trust 333123966	5/23/2005
05-05771-FOIA	Richard Harrison	Noland Co	5/23/2005
05-05772-FOIA	Richard Harrison	Axesstel Inc 333123706	5/23/2005
05-05773-FOIA	Richard Harrison	Todco 333-123563	5/23/2005
05-05774-FOIA	Richard Harrison	Cardinal Financial Corp 333123511	5/23/2005
05-05775-FOIA	Richard Harrison	First Avenue Networks Inc 333122684	5/23/2005
05-05776-FOIA	Richard Harrison	Terranova SA	5/23/2005
05-05777-FOIA	Richard Harrison	Patina Oil & Gas Corp	5/23/2005
05-05778-FOIA	Richard Harrison	Movie Gallery Inc	5/23/2005
05-05779-FOIA	Richard Harrison	Orion Acquisition Corp 333122431	5/23/2005
05-05780-FOIA	Richard Harrison	Standard Commercial Corp	5/23/2005
05-05781-FOIA	Richard Harrison	Berkeley Income Trust, Inc. 333109907	5/23/2005
05-05782-FOIA	Richard Harrison	Cardiotech International Inc	5/23/2005
05-05784-FOIA	Richard Harrison	Blue Martini Software Inc	5/23/2005
05-05785-FOIA	Richard Harrison	Opta Corp	5/23/2005
05-05786-FOIA	Richard Harrison	Kerr Mcgee Corp /DE	5/23/2005
05-05787-FOIA	Richard Harrison	Dimon Inc	5/23/2005
05-05788-FOIA	Richard Harrison	Protec Industries Inc	5/23/2005
05-05789-FOIA	Richard Harrison	Masisa	5/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05791-FOIA	Richard Harrison	PNC Financial Services Group Inc	5/23/2005
05-05792-FOIA	Richard Harrison	Noble Energy Inc	5/23/2005
05-05794-FOIA	Richard Harrison	Touchstone Applied Science Associates Inc	5/23/2005
05-05795-FOIA	Richard Harrison	Mechanical Technology Inc	5/23/2005
05-05826-FOIA	Robert Motz	Eric L. Press	5/24/2005
05-05827-FOIA	Robert Motz	Richard J. Mack	5/24/2005
05-05829-FOIA	Robert Motz	Bradford J. Wildauer	5/24/2005
05-05830-FOIA	Robert Motz	Ashley Capital LLC	5/24/2005
05-05831-FOIA	Robert Motz	Apollo Management L.P.	5/24/2005
05-05820-FOIA	Robert Motz	Edward W. Marron, Jr.	5/24/2005
05-05821-FOIA	Robert Motz	Lee S. Neibart	5/24/2005
05-05818-FOIA	Robert Motz	Andrew P. Hines	5/24/2005
05-05824-FOIA	Robert Motz	Laura H. Pomerantz	5/24/2005
05-05805-FOIA	Robert Motz	John J. Hannan	5/24/2005
05-05807-FOIA	Robert Motz	Paul D. Rubacha	5/24/2005
05-05801-FOIA	Clint Binkley	Mitchell S. Levine	5/24/2005
05-05804-FOIA	Clint Binkley	Enable Capital Management, LLC	5/24/2005
05-05813-FOIA	Clint Binkley	Enable Growth Partners, LP	5/24/2005
05-05798-FOIA	Clint Binkley	Adam J. Epstein	5/24/2005
05-05814-FOIA	Kevin Steele	Plangraphics #333-57632	5/24/2005
05-05800-FOIA	Mark Mierzejewski	Savannah-Baltimore Capital Management, LLC	5/24/2005
05-05797-FOIA	Mark Mierzejewski	GMT Capital Corporation	5/24/2005
05-05803-FOIA	Mark Mierzejewski	Thomas E. Claugus	5/24/2005
05-05809-FOIA	Mark Mierzejewski	Robert Louis Friedman	5/24/2005
05-05816-FOIA	Clint Binkley	Enable Opportunity Partners, LP	5/24/2005
05-05811-FOIA	Clint Binkley	Enable Capital, LLC	5/24/2005
05-05806-FOIA	Clint Binkley	Brendon Thomas O'Neil	5/24/2005
05-05819-FOIA	Elizabeth Lin	OmniVision Technologies, Inc.	5/24/2005
05-05799-FOIA	Megan Lynch	Brightwater International, Ltd. a.k.a. Brightwater Management LLC	5/24/2005
05-05808-FOIA	Megan Lynch	David M. Zusman	5/24/2005
05-05802-FOIA	Kris Hudson	Wal-Mart Stores, Inc.	5/24/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05810-FOIA	Thomas Reilly	Aggregate "fail to deliver" data supplied by the DTCC	5/24/2005
05-05812-FOIA	Whitney Ross	Cisco Systems' new Employee Stock Option valuation method	5/24/2005
05-05815-FOIA	J. Gregory Barron	Wells Fargo Bank, N.A.	5/24/2005
05-05817-FOIA	Bruce Pollack	Independence Community Bank	5/24/2005
05-05823-FOIA	Mary Greenberg	Joseph Cerbone	5/24/2005
05-05828-FOIA	Arnold Brasseur	Chase Manhattan Mortgage Corporation	5/24/2005
05-05825-FOIA	Kevin Cobbs	Kensey Nash Corporation	5/24/2005
05-05822-FOIA	Justin Barton	North Bridge Venture Partners	5/24/2005
05-05833-FOIA	D. Scott Freed	HealthEssentials Solutions, Inc., et al.	5/25/2005
05-05834-FOIA	Bill Frizzell	CMKM Diamonds, Inc.	5/25/2005
05-05840-FOIA	Johnny Bitar	David H. Langstaff aka David H Shauer Veridan Corporation	5/25/2005
05-00033-FOPA	Phuong Doan	Phuong H. Doan	5/25/2005
05-00034-FOPA	Anh Lam	Anh Lam	5/25/2005
05-05838-FOIA	Mark Mierzejewski	Delta Partners, LLC	5/25/2005
05-05842-FOIA	Mark Mierzejewski	Charles Jobson	5/25/2005
05-05835-FOIA	J. Patrick Gavin	THQ, Inc.	5/25/2005
05-05836-FOIA	J. Patrick Gavin	PacifiCare Health Systems, Inc.	5/25/2005
05-05837-FOIA	J. Patrick Gavin	Motorola, Inc.	5/25/2005
05-05832-FOIA	J. Patrick Gavin	Emulex Corporation	5/25/2005
05-05839-FOIA	J. Patrick Gavin	Total System Services, Inc.	5/25/2005
05-05843-FOIA	J. Patrick Gavin	Beckman Coulter, Inc.	5/25/2005
05-05844-FOIA	J. Patrick Gavin	Align Technology, Inc.	5/25/2005
05-05845-FOIA	J. Patrick Gavin	InterDigital Communications Corporation	5/25/2005
05-05846-FOIA	J. Patrick Gavin	Sigma-Aldrich Corporation	5/25/2005
05-05847-FOIA	J. Patrick Gavin	Seagate Technology, Inc.	5/25/2005
05-05848-FOIA	J. Patrick Gavin	FileNet Corporation	5/25/2005
05-05841-FOIA	J. Patrick Gavin	WD-40 Company	5/25/2005
05-05854-FOIA	J. Patrick Gavin	Material Sciences Corporation	5/26/2005
05-05856-FOIA	J. Patrick Gavin	Jefferson-Pilot Corporation	5/26/2005
05-05857-FOIA	J. Patrick Gavin	KB Home	5/26/2005
05-05861-FOIA	J. Patrick Gavin	Convergys Corporation	5/26/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05870-FOIA	J. Patrick Gavin	Marshall Isley Corporation	5/26/2005
05-05859-FOIA	Michael Spence	Vocus International Holdings, LLC	5/26/2005
05-05855-FOIA	Michael Spence	Richard E. Rudman	5/26/2005
05-05851-FOIA	Michael Spence	Astrum Digital Information, Inc.	5/26/2005
05-05853-FOIA	Michael Spence	Vocus GS Holdings LLC	5/26/2005
05-05881-FOIA	Michael Spence	Vocus Acquisition LLC	5/26/2005
05-05875-FOIA	Michael Spence	Stephen A Vintz	5/26/2005
05-05878-FOIA	Michael Spence	Capital Hill Software Inc	5/26/2005
05-05869-FOIA	Michael Spence	Vocus Inc	5/26/2005
05-05874-FOIA	Mark Edwards	Ligand Pharmaceuticals Inc	5/26/2005
05-05877-FOIA	Mark Edwards	Alliance Pharmaceutical Corp	5/26/2005
05-05883-FOIA	Mark Edwards	Neurogen Corporation	5/26/2005
05-05880-FOIA	Mark Edwards	Affymetrix Inc	5/26/2005
05-05852-FOIA	Mark Edwards	Confidential Magnetics, Inc.	5/26/2005
05-05858-FOIA	Mark Edwards	Vestar, Inc.	5/26/2005
05-05860-FOIA	Mark Edwards	Affymax NV	5/26/2005
05-05871-FOIA	Mark Edwards	Alliance Pharmaceutical Corp	5/26/2005
05-05863-FOIA	Mark Edwards	Neurogen Corporation	5/26/2005
05-05865-FOIA	Mark Edwards	Vertex Pharmaceuticals Inc	5/26/2005
05-05876-FOIA	J. Patrick Gavin	Marriott International Inc	5/26/2005
05-05879-FOIA	J. Patrick Gavin	Mettler Toledo International Inc	5/26/2005
05-05882-FOIA	J. Patrick Gavin	Midway Games Inc	5/26/2005
05-05872-FOIA	Kevin Omahony	Allied Waste Industries Inc	5/26/2005
05-05873-FOIA	Patrick Walsh	Classic Sport Companies	5/26/2005
05-05866-FOIA	Patrick Walsh	Ronald R. Snyder, A/K/A Ron Snyder	5/26/2005
05-05867-FOIA	Patrick Walsh	Crocs	5/26/2005
05-05868-FOIA	Patrick Walsh	Flextronics	5/26/2005
05-05864-FOIA	Patrick Walsh	John McCarval	5/26/2005
05-05862-FOIA	Patrick Walsh	Western Brands	5/26/2005
05-05849-FOIA	Patrick Walsh	Orbit Semiconductor	5/26/2005
05-05850-FOIA	Patrick Walsh	Caryn D. Ellison	5/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05903-FOIA	Dan Jamieson	Self Regulatory Organizations Budgets And Expenses	5/27/2005
05-05885-FOIA	Mark Mierzejewski	Spencer Capital Management LLC	5/27/2005
05-05886-FOIA	Mark Mierzejewski	Kenneth Shubin Stein	5/27/2005
05-05889-FOIA	Megan Lynch	George Brainard Lucas Jr	5/27/2005
05-05891-FOIA	Megan Lynch	Lucas Energy Total Return Partners, LP	5/27/2005
05-05884-FOIA	Randall Smith	Struthers Industries, Inc.	5/27/2005
05-05896-FOIA	Deborah Grimm	Kayne Andersson MLP Investment Company	5/27/2005
05-05897-FOIA	Kirk Sorensen	Conseco Finance Servicing Corp	5/27/2005
05-05900-FOIA	Inemhotep Muhammad	Regions Bank	5/27/2005
05-05902-FOIA	Silvia Boilatti	International Business Machines Corporation	5/27/2005
05-05904-FOIA	David Porter	Ibrahim Smeen Alhusseini	5/27/2005
05-05905-FOIA	David Porter	King Reed Lee III	5/27/2005
05-05888-FOIA	Justin Barton	Rockwood Capital Corporation	5/27/2005
05-05887-FOIA	J. Patrick Gavin	IXYS Corporation	5/27/2005
05-05890-FOIA	J. Patrick Gavin	Hansen Natural Corporation	5/27/2005
05-05892-FOIA	J. Patrick Gavin	Dendrite International, Inc.	5/27/2005
05-05893-FOIA	J. Patrick Gavin	Carmax Inc	5/27/2005
05-05894-FOIA	J. Patrick Gavin	Fair Isaac Corporation	5/27/2005
05-05895-FOIA	J. Patrick Gavin	Texas Instruments Incorporated	5/27/2005
05-05898-FOIA	J. Patrick Gavin	Perini Corporation	5/27/2005
05-05899-FOIA	J. Patrick Gavin	Veeco Instruments Inc	5/27/2005
05-05901-FOIA	J. Patrick Gavin	Florida Rock Industries Inc	5/27/2005
05-05913-FOIA	Barbara Gaffney	Memorandum of Understandings	5/31/2005
05-05911-FOIA	Richard Harrison	Intrusion, Inc. (File # 333-124234)	5/31/2005
05-05912-FOIA	Richard Harrison	Protein Design Labs, Inc. /DE (File # 333-122760)	5/31/2005
05-05908-FOIA	Richard Harrison	SSA Global Technologies, Inc. (File # 333-116156)	5/31/2005
05-05915-FOIA	Richard Harrison	Stephan Co.	5/31/2005
05-05917-FOIA	Richard Harrison	Puget Sound Energy, Inc. (File # 333-124153)	5/31/2005
05-05918-FOIA	Richard Harrison	Opta Corp.	5/31/2005
05-05919-FOIA	Richard Harrison	Classic Bancshares, Inc.	5/31/2005
05-05920-FOIA	Richard Harrison	Claymore Equity Trust (File # 333-125263)	5/31/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05921-FOIA	Richard Harrison	Calvert Variable Series, Inc. (File # 333-125094)	5/31/2005
05-05923-FOIA	Richard Harrison	Infosys Technologies, Ltd. (File # 333-121444)	5/31/2005
05-05931-FOIA	Richard Harrison	Tod Hunter International, Inc. (File # 333-118666)	5/31/2005
05-05932-FOIA	Richard Harrison	Consolidated Capital Institutional Properties	5/31/2005
05-05933-FOIA	Richard Harrison	Munder Series Trust (File #333-125249)	5/31/2005
05-05934-FOIA	Richard Harrison	Penn Engineering & Manufacturing Corp.	5/31/2005
05-05935-FOIA	Richard Harrison	ICAP Funds, Inc. (File # 333-125285)	5/31/2005
05-05936-FOIA	Richard Harrison	YieldQuest Funds Trust (File #333-125172)	5/31/2005
05-05937-FOIA	Richard Harrison	Munder Series Trust (File # 333-125247)	5/31/2005
05-05938-FOIA	Richard Harrison	Yellow Roadway Corp.	5/31/2005
05-05939-FOIA	Richard Harrison	Capital City Bank Group, Inc.	5/31/2005
05-05940-FOIA	Richard Harrison	Pavillon Bancorp, Inc.	5/31/2005
05-05941-FOIA	Richard Harrison	City Holding Co.	5/31/2005
05-05942-FOIA	Richard Harrison	Coles Myer Ltd.	5/31/2005
05-05943-FOIA	Richard Harrison	USF Corp.	5/31/2005
05-05944-FOIA	Richard Harrison	Maxcor Financial Group, Inc.	5/31/2005
05-05926-FOIA	Richard Harrison	Seracare Life Sciences, Inc. (333-124118)	5/31/2005
05-05928-FOIA	Richard Harrison	TTI Team Telecom International, Ltd. (File# 333-122236)	5/31/2005
05-05929-FOIA	Richard Harrison	Nelnet, Inc. (File # 333-124043)	5/31/2005
05-05906-FOIA	Yvonne Botchey	Discovery Laboratories, Inc.	5/31/2005
05-05945-FOIA	Yvonne Botchey	Cellegy Pharmaceuticals, Inc.	5/31/2005
05-05930-FOIA	Jeff Kosseff	Ron Wyden	5/31/2005
05-05927-FOIA	Jeff Kosseff	Peter DeFazio	5/31/2005
05-05914-FOIA	Jeff Kosseff	Brian Baird	5/31/2005
05-05916-FOIA	Jeff Kosseff	Gordon Smith	5/31/2005
05-05910-FOIA	Jeff Kosseff	Earl Blumenauer	5/31/2005
05-05925-FOIA	Jeff Kosseff	Darlene Hooley	5/31/2005
05-05922-FOIA	Jeff Kosseff	David Wu	5/31/2005
05-05907-FOIA	Jeff Kosseff	Greg Walden	5/31/2005
05-05909-FOIA	Danny Robbins	Royal American Management, et al	5/31/2005
05-05924-FOIA	Jeanna Rickards	Stewart v. Kroeker	5/31/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05949-FOIA	Richard Cohen	David Weinreb of International Mercantile Holding Group	6/1/2005
05-05969-FOIA	Mikhail Petrov	Liberty Financial Group, Inc.	6/1/2005
05-05963-FOIA	Danielle Carpenter	Per Tingberg	6/1/2005
05-05966-FOIA	Danielle Carpenter	MPC Investors, Ltd.	6/1/2005
05-05971-FOIA	Danielle Carpenter	MPC Commodity Fund, Ltd.	6/1/2005
05-05973-FOIA	Danielle Carpenter	MPC Community (General Partner), Inc.	6/1/2005
05-05947-FOIA	Becky Conover	William Marc Davis	6/1/2005
05-05948-FOIA	Becky Conover	Christopher James Greco	6/1/2005
05-05981-FOIA	Becky Conover	David Andrew Furfine	6/1/2005
05-05982-FOIA	Becky Conover	Martin Edward Laprade	6/1/2005
05-05970-FOIA	Becky Conover	Timothy Hayden Christiansen	6/1/2005
05-05958-FOIA	Becky Conover	Philip Joseph Beattie, III	6/1/2005
05-05955-FOIA	Becky Conover	Andrew Martin Cantor	6/1/2005
05-05956-FOIA	Becky Conover	Patrick Alan Riley	6/1/2005
05-05952-FOIA	Becky Conover	Brain Kent Monroe	6/1/2005
05-05953-FOIA	Becky Conover	Dean Edward McQuiddy	6/1/2005
05-05974-FOIA	Becky Conover	Janet Beth Emmick	6/1/2005
05-05951-FOIA	Frank Salamone	Toys R Us	6/1/2005
05-05954-FOIA	Frank Salamone	Molson and Adolph Coors	6/1/2005
05-05957-FOIA	Frank Salamone	Greenpoint Bank and North Fork Bank merger	6/1/2005
05-05965-FOIA	Frank Salamone	Veritas and Symantec merger	6/1/2005
05-05967-FOIA	Frank Salamone	MCI, Verizon and Qwest	6/1/2005
05-05968-FOIA	Frank Salamone	Ascential Software and IBM	6/1/2005
05-05959-FOIA	Frank Salamone	merger of Ilex Oncology and Genzyme	6/1/2005
05-05960-FOIA	Frank Salamone	Guidant and Johnson and Johnson merger	6/1/2005
05-05961-FOIA	Frank Salamone	Sears and Kmart merger	6/1/2005
05-05962-FOIA	Frank Salamone	Nextel and Sprint merger	6/1/2005
05-05972-FOIA	Frank Salamone	Gillette and Procter and Gamble merger	6/1/2005
05-05975-FOIA	Frank Salamone	National Commerce and SunTrust merger	6/1/2005
05-05976-FOIA	Frank Salamone	Airgate PCS and Alamosa Holdings	6/1/2005
05-05977-FOIA	Frank Salamone	The May Department Stores Company and Federated Department Stores, Inc.	6/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-05978-FOIA	Frank Salamone	PSEG and Exelon	6/1/2005
05-05979-FOIA	Frank Salamone	Select Medial Corporation	6/1/2005
05-05980-FOIA	Frank Salamone	AT&T Wireless and Cingular	6/1/2005
05-05946-FOIA	Frank Salamone	AT&T and SBC Communications merger	6/1/2005
05-05950-FOIA	Frank Salamone	Travelers Insurance and St. Paul Insurance merger	6/1/2005
05-05964-FOIA	Daniel A Edelman	Thomas R. Roth in Matter of Global Vision Holdings, Inc.	6/1/2005
05-05985-FOIA	Wade McKnight	GLG Partners LP	6/2/2005
05-05986-FOIA	Wade McKnight	David John Edwards	6/2/2005
05-05990-FOIA	Mike Lipman	David Knott	6/2/2005
05-05991-FOIA	Mike Lipman	Optima Group Holdings, LLC	6/2/2005
05-05992-FOIA	Mike Lipman	Optima Management Partners LP	6/2/2005
05-05993-FOIA	Mike Lipman	Dorset Management Corporation	6/2/2005
05-05994-FOIA	Mike Lipman	The Dorset Energy Fund, Ltd.	6/2/2005
05-05987-FOIA	Becky Conover	Amouth Bancorporation	6/2/2005
05-05988-FOIA	Becky Conover	Amouth Bank	6/2/2005
05-05989-FOIA	Becky Conover	Sawgrass Asset Management, LLC	6/2/2005
05-00212-APPS	Mark Corbett	Energy East Corporation including New York State Electric and Gas	6/2/2005
05-05983-FOIA	Carolyn Lamm	GE InVision, Inc. f.k.a. InVision Technologies, Inc.	6/2/2005
05-05984-FOIA	Jim Lindsay	2004 Revenue Stats for Georgetown, Kentucky Toyota manufacturing facility	6/2/2005
05-06001-FOIA	Joshua Cohen	Michael G. Beckford 3-11809	6/3/2005
05-06002-FOIA	Joshua Cohen	Fanam Management 3-11723	6/3/2005
05-06005-FOIA	Donna Donache	Home Star Mortgage Services, LLC	6/3/2005
05-06003-FOIA	J. Patrick Gavin	Thoratec Corporation	6/3/2005
05-06004-FOIA	J. Patrick Gavin	Nokia Corporation	6/3/2005
05-05995-FOIA	J. Patrick Gavin	Aaon, Inc.	6/3/2005
05-05996-FOIA	J. Patrick Gavin	California Pizza Kitchen, Inc.	6/3/2005
05-05997-FOIA	J. Patrick Gavin	Central Freight Lines, Inc.	6/3/2005
05-05998-FOIA	J. Patrick Gavin	Sunrise Senior Living, Inc. formerly known as Sunries Assisted Living, Inc.	6/3/2005
05-05999-FOIA	J. Patrick Gavin	Syneron Medical Ltd.	6/3/2005
05-06000-FOIA	J. Patrick Gavin	Taro Pharmaceutical Industries LTD	6/3/2005
05-06006-FOIA	J. Patrick Gavin	Imation Corp	6/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06007-FOIA	J. Patrick Gavin	Getty Images, Inc.	6/3/2005
05-06008-FOIA	J. Patrick Gavin	Ascential Software Corporation	6/3/2005
05-06009-FOIA	J. Patrick Gavin	Alaska Air Group, Inc.	6/3/2005
05-06020-FOIA	Beverly Brooks	Sarbanes-Oxley Act	6/6/2005
05-06024-FOIA	Richard Harrison	Nortel Networks Corp.	6/6/2005
05-06025-FOIA	Richard Harrison	Insurance Auto Auctions Inc	6/6/2005
05-06026-FOIA	Richard Harrison	Merge Technologies, Inc.	6/6/2005
05-06027-FOIA	Richard Harrison	TUT Systems, Inc.	6/6/2005
05-06028-FOIA	Richard Harrison	Copper Mountain Networks Inc.	6/6/2005
05-06029-FOIA	Richard Harrison	McData Corp.	6/6/2005
05-06030-FOIA	Richard Harrison	Berkshire Hills Bancorp, Inc.	6/6/2005
05-06031-FOIA	Richard Harrison	Oppenheimer Strategic Income Fund 333-125295	6/6/2005
05-06032-FOIA	Richard Harrison	Advanced Medical Optics, Inc.	6/6/2005
05-06033-FOIA	Richard Harrison	ICAP Funds Inc. 333-125285	6/6/2005
05-06034-FOIA	Richard Harrison	Clipper Funds Trust 333-125446	6/6/2005
05-06035-FOIA	Richard Harrison	Q Comm International Inc	6/6/2005
05-06036-FOIA	Richard Harrison	Peoples Educational Holdings 333-123868	6/6/2005
05-06037-FOIA	Richard Harrison	Parkervision Inc 333-123957	6/6/2005
05-06038-FOIA	Richard Harrison	Barclays Bank PLC /ENG/ 333-85646	6/6/2005
05-06039-FOIA	Richard Harrison	Witness Systems Inc 333-122410	6/6/2005
05-06040-FOIA	Richard Harrison	NBOG Bancorporation Inc. 333-122567	6/6/2005
05-06041-FOIA	Richard Harrison	Netmanage Inc 333-122875	6/6/2005
05-06042-FOIA	Richard Harrison	Oxis International Inc 333-123008	6/6/2005
05-06010-FOIA	Richard Harrison	Computer Network Technology Corp.	6/6/2005
05-06013-FOIA	Richard Harrison	First Federal Banc of the Southwest Inc	6/6/2005
05-06014-FOIA	Richard Harrison	Cedara Software Corp	6/6/2005
05-06015-FOIA	Richard Harrison	Woronoco Bancorp, Inc.	6/6/2005
05-06016-FOIA	Richard Harrison	Visx, Inc.	6/6/2005
05-06018-FOIA	Richard Harrison	Hytek Microsystems Inc	6/6/2005
05-06019-FOIA	Richard Harrison	Calprop Corp	6/6/2005
05-06021-FOIA	Richard Harrison	PEC Solutions, Inc.	6/6/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06022-FOIA	Richard Harrison	GFSB Bancorp, Inc.	6/6/2005
05-06012-FOIA	Raymond Gambel	Harry Case Stansbury	6/6/2005
05-06017-FOIA	Gregg Schochenmaier	Oversight to Wilshire Associates, Inc.	6/6/2005
05-06023-FOIA	Iris Chen	Excelsior Buyout Investors, LLC	6/6/2005
05-06011-FOIA	Melissa Kaplafka	Amber Mountain Capital Management and Amber Mountain Investments, LLC	6/6/2005
05-06073-FOIA	Susan Lichtcsien	Peter G. Sasaki	6/7/2005
05-06050-FOIA	Frank Salamone	Sangstat and Genzyme	6/7/2005
05-06066-FOIA	Frank Salamone	Documentum and EMC	6/7/2005
05-06069-FOIA	Frank Salamone	Beldon Inc. and Cable Design	6/7/2005
05-06074-FOIA	Frank Salamone	Ask Jeeves Inter Active Corp., and Interactive	6/7/2005
05-06075-FOIA	Frank Salamone	Regions Financial Corp. and Union Planters Corp	6/7/2005
05-06072-FOIA	Frank Salamone	Wheaton River Minerals and Coeur d' Alene Mines	6/7/2005
05-06077-FOIA	Frank Salamone	UCG Europe and UnitedGlobalCom Inc.	6/7/2005
05-06078-FOIA	Frank Salamone	Titan Corporation and Lockheed Martin	6/7/2005
05-06079-FOIA	Frank Salamone	Southtrust and Wachovia	6/7/2005
05-06046-FOIA	Mark Mierzejewski	Altrinsic Global Advisors, LLC	6/7/2005
05-06076-FOIA	Mark Mierzejewski	John D. Hock	6/7/2005
05-06048-FOIA	Frank Salamone	Biogen and IDEC Pharmaceuticals merger	6/7/2005
05-06044-FOIA	Frank Salamone	United Defense and BAE merger	6/7/2005
05-06063-FOIA	Wade Mcknight	Matternhorn Three	6/7/2005
05-06065-FOIA	Wade Mcknight	Canyon Investment	6/7/2005
05-06071-FOIA	Wade Mcknight	Canyon Value	6/7/2005
05-06068-FOIA	Wade Mcknight	CanPartners Investments III, LP	6/7/2005
05-06047-FOIA	Matthew Fuehrmeyer	Harold Ford	6/7/2005
05-06056-FOIA	Matthew Fuehrmeyer	Rick Santorum	6/7/2005
05-06061-FOIA	Matthew Fuehrmeyer	Bernie Sanders	6/7/2005
05-06064-FOIA	Matthew Fuehrmeyer	Debbie Stabenow	6/7/2005
05-06052-FOIA	Matthew Fuehrmeyer	Mark Kennedy	6/7/2005
05-06043-FOIA	Matthew Fuehrmeyer	Shelly Moore Capito	6/7/2005
05-06051-FOIA	M. Daniel Martin	New York SEC employees Elisabeth Goot and Joseph N. Bruno	6/7/2005
05-06055-FOIA	Alain Leibman	Jayne K. Blumberg	6/7/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06058-FOIA	Dennis Lopez	Morgan Stanley's overvaluation of certain high-yield bonds	6/7/2005
05-06062-FOIA	Robert Cooper	Universal Communication Systems, Inc.	6/7/2005
05-06067-FOIA	Wayne Ely	Merril Lynch & Co.'s Blue Bell, Pennsylvania office	6/7/2005
05-06059-FOIA	Justin Barton	JP Morgan Partners Asia	6/7/2005
05-06070-FOIA	Tiffany Cale	Kmart	6/7/2005
05-06045-FOIA	Wade McKnight	Mitchell R. Julis	6/7/2005
05-06049-FOIA	Wade McKnight	AWA Capital	6/7/2005
05-06053-FOIA	Wade McKnight	Canyon Funds	6/7/2005
05-06057-FOIA	Wade McKnight	Canyon Johnson	6/7/2005
05-06060-FOIA	Wade McKnight	Canyon Capital	6/7/2005
05-06054-FOIA	Wade McKnight	Joshua S. Friedman	6/7/2005
05-06111-FOIA	Mark Edwards	Cytel Corporation (now called Epimmune)	6/8/2005
05-06098-FOIA	Mark Edwards	Pharmacopeia, Inc.	6/8/2005
05-06106-FOIA	Mark Edwards	Vertex Pharmaceuticals, Inc.	6/8/2005
05-06085-FOIA	Mark Edwards	TheraTech, Inc.	6/8/2005
05-06104-FOIA	Mark Edwards	Ligand Pharmaceuticals, Inc.	6/8/2005
05-06107-FOIA	Robert Motz	Jonathan V. Chan	6/8/2005
05-06110-FOIA	Robert Motz	Khoo Wee Meng	6/8/2005
05-06088-FOIA	Robert Motz	David C. Schlakman	6/8/2005
05-06101-FOIA	Robert Motz	Joshua M. Dreiband, aka Josh Dreiband	6/8/2005
05-06090-FOIA	Robert Motz	Brenda M. Lehman	6/8/2005
05-06091-FOIA	Robert Motz	Edwin C. Jackson a.k.a. Ned Jackson	6/8/2005
05-06092-FOIA	Robert Motz	Robert B. Rosene, aka Bob Rosene	6/8/2005
05-06093-FOIA	Robert Motz	Patick Chan, aka Hing Kuein Chan, aka Chan Hing Kuen	6/8/2005
05-06094-FOIA	Robert Motz	Marshall S. Cogan	6/8/2005
05-06095-FOIA	Robert Motz	James T. Chao	6/8/2005
05-06097-FOIA	Robert Motz	Robert H. Nelson	6/8/2005
05-06082-FOIA	Robert Motz	Nicholas Chia a.k.a. Kin Hon Chia a.k.a. Chia Kin Hon	6/8/2005
05-06083-FOIA	Robert Motz	Derek Lemke-von Ammon a.k.a. Derek Lemke	6/8/2005
05-06086-FOIA	Robert Motz	Ravi Chidambaram	6/8/2005
05-06105-FOIA	Frank H Wohl	Bruce Kovner or Caxton Corporation	6/8/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06081-FOIA	Charles Talk	Carr & Ferrell	6/8/2005
05-06087-FOIA	Charles Talk	Ralph Yarro	6/8/2005
05-06084-FOIA	Charles Talk	Jonathan Cohen	6/8/2005
05-06100-FOIA	Charles Talk	Royce & Associates	6/8/2005
05-06096-FOIA	Charles Talk	Canopy Group	6/8/2005
05-06103-FOIA	Charles Talk	JHC Capital Management	6/8/2005
05-06089-FOIA	Charles Talk	SCO Group	6/8/2005
05-06109-FOIA	Charles Talk	Jeffrey M. Capaccio	6/8/2005
05-06113-FOIA	Charles Talk	John Ferrell	6/8/2005
05-06112-FOIA	Jeffrey Radice	The SCO Group, Inc	6/8/2005
05-06102-FOIA	Mark Mierzejewski	Dune Capital Management, LP	6/8/2005
05-06099-FOIA	Mark Mierzejewski	Steven T. Mnuchin	6/8/2005
05-06080-FOIA	Megan Lynch	Paul Evan Orlin	6/8/2005
05-06108-FOIA	Megan Lynch	AMICI Capital, Inc.	6/8/2005
05-06141-FOIA	Mark Mierzejewski	Andrew J. Summers	6/9/2005
05-06138-FOIA	Mark Mierzejewski	Silvergate Capital Management, LLC	6/9/2005
05-06117-FOIA	Patrick Walsh	Vallen Safety Supply Company	6/9/2005
05-06114-FOIA	Patrick Walsh	Vallen Corporation	6/9/2005
05-06128-FOIA	Patrick Walsh	All Supplies, Inc.	6/9/2005
05-06123-FOIA	Patrick Walsh	Gregory G. Raab	6/9/2005
05-06120-FOIA	Patrick Walsh	P-Com, Inc.	6/9/2005
05-06130-FOIA	Patrick Walsh	Vallen Contract Supply Company	6/9/2005
05-06135-FOIA	Patrick Walsh	Leighton J. Stephenson	6/9/2005
05-06126-FOIA	Patrick Walsh	Andrew W. Harvey	6/9/2005
05-06139-FOIA	Patrick Walsh	Mark J. Daniels	6/9/2005
05-06146-FOIA	Patrick Walsh	Janet Piez	6/9/2005
05-06147-FOIA	Patrick Walsh	Bruce G. Donaldson	6/9/2005
05-06150-FOIA	Patrick Walsh	Christopher J. Daniels	6/9/2005
05-06142-FOIA	Patrick Walsh	John M. Daniels	6/9/2005
05-06125-FOIA	Bonnie Raishe	J. Peek Garlington, Jr. and/or the Garlington Group	6/9/2005
05-06144-FOIA	David Zisser	Pacific CMA, Inc.	6/9/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06145-FOIA	J. Patrick Gavin	Allscripts Healthcare Solutions, Inc.	6/9/2005
05-06140-FOIA	J. Patrick Gavin	Applied Materials, Inc.	6/9/2005
05-06131-FOIA	J. Patrick Gavin	Performance Food Group Company	6/9/2005
05-06132-FOIA	J. Patrick Gavin	Albany Molecular Research, Inc.	6/9/2005
05-06133-FOIA	J. Patrick Gavin	Performance Food Group Company	6/9/2005
05-06134-FOIA	J. Patrick Gavin	Paychex, Inc.	6/9/2005
05-06129-FOIA	J. Patrick Gavin	Braun Consulting, Inc.	6/9/2005
05-06136-FOIA	J. Patrick Gavin	Glimcher Realty Trust	6/9/2005
05-06137-FOIA	J. Patrick Gavin	PetSmart, Inc.	6/9/2005
05-06115-FOIA	J. Patrick Gavin	Fred's, Inc.	6/9/2005
05-06116-FOIA	J. Patrick Gavin	Waters Corporation	6/9/2005
05-06118-FOIA	J. Patrick Gavin	NBTY, Inc.	6/9/2005
05-06119-FOIA	J. Patrick Gavin	Marathon Oil Corporation	6/9/2005
05-06121-FOIA	J. Patrick Gavin	First Data Corporation	6/9/2005
05-06122-FOIA	J. Patrick Gavin	EMS Technologies, Inc.	6/9/2005
05-06124-FOIA	J. Patrick Gavin	Capital One Financial Corporation	6/9/2005
05-06127-FOIA	J. Patrick Gavin	CSK Auto Corporation	6/9/2005
05-06143-FOIA	J. Patrick Gavin	Acacia Research Corporation	6/9/2005
05-06148-FOIA	J. Patrick Gavin	American Woodmark Corporation	6/9/2005
05-06149-FOIA	J. Patrick Gavin	Allete, Inc.	6/9/2005
05-06151-FOIA	J. Patrick Gavin	Alico, Inc.	6/9/2005
05-06152-FOIA	J. Patrick Gavin	CSG Systems International, Inc.	6/9/2005
05-06160-FOIA	J. Patrick Gavin	Rayovac Corporation	6/10/2005
05-06168-FOIA	J. Patrick Gavin	Cree, Inc.	6/10/2005
05-06170-FOIA	Mark Edwards	Chiron Corporation	6/10/2005
05-06171-FOIA	Mark Edwards	Somatix Therapy Corp.	6/10/2005
05-06163-FOIA	Mark Edwards	Ligand Pharmaceuticals, Inc.	6/10/2005
05-06156-FOIA	Mark Edwards	Pharmacopeia, Inc.	6/10/2005
05-06154-FOIA	Mark Edwards	Vertex Pharmaceuticals, Inc.	6/10/2005
05-06167-FOIA	Wade McKnight	Canyon Capital Realty Advisors LLC	6/10/2005
05-06157-FOIA	Leyda Ramos	Pet Pavillion and/or Alex Moncayo	6/10/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06158-FOIA	Gregory Kabatt	CMKM Diamonds, Inc.	6/10/2005
05-06164-FOIA	Lauren Lim	The Coca-Cola Company	6/10/2005
05-00213-APPS	Meredith Fuchs	handling of sensitive/unclassified information	6/10/2005
05-06153-FOIA	Patrick Walsh	Zand Herbal Formulas	6/10/2005
05-06162-FOIA	Patrick Walsh	The DII Group, Inc.	6/10/2005
05-06165-FOIA	Patrick Walsh	McZand Herbal, Inc.	6/10/2005
05-06166-FOIA	Patrick Walsh	Celestial Seasonings	6/10/2005
05-06155-FOIA	Patrick Walsh	Alfalfa's	6/10/2005
05-06161-FOIA	Megan Lynch	Thomas Davidson Conrad	6/10/2005
05-06169-FOIA	Megan Lynch	Competitive Capital Partners, L.P.	6/10/2005
05-06159-FOIA	Susan Lichtcsien	Matthew Holbower	6/10/2005
05-06237-FOIA	Andrew DePeau	Campbell & Company Inc	6/13/2005
05-06203-FOIA	Andrew DePeau	Donald Keith Campbell	6/13/2005
05-06197-FOIA	Mark Mierzejewski	Crabel Capital Management, LLC	6/13/2005
05-06236-FOIA	Mark Mierzejewski	William (Toby) H. Crabel	6/13/2005
05-06189-FOIA	Elizabeth Balanda	Matrix Capital Management Company	6/13/2005
05-06231-FOIA	Louis Corrigan	Greg Manning Auctions	6/13/2005
05-06178-FOIA	Stewart Oakes	CMKM Diamonds, Inc.	6/13/2005
05-06183-FOIA	David Rosenfeld	Eagle Materials, Inc.	6/13/2005
05-06185-FOIA	Dan Digman 1263556	Investment Contracts	6/13/2005
05-06204-FOIA	Ernie Beck	CMKM Diamonds Inc.	6/13/2005
05-06217-FOIA	Kenan Miller	CMKM Diamonds	6/13/2005
05-06220-FOIA	Barri Park	CMKM Diamonds Inc.	6/13/2005
05-06172-FOIA	Randall Smith	Marty or Martin Paravato and/or Struthers Industries, Inc.	6/13/2005
05-06179-FOIA	Michael Spence	Tricia A. McKay	6/13/2005
05-06173-FOIA	Michael Spence	Beena S. Pandhare	6/13/2005
05-06198-FOIA	Michael Spence	Allan C. Schwartz	6/13/2005
05-06190-FOIA	Michael Spence	Sandeep Pandhare	6/13/2005
05-06191-FOIA	Michael Spence	Allied Shipping Agencies, Inc.	6/13/2005
05-06184-FOIA	Michael Spence	Scandinavian-American Securities, Inc.	6/13/2005
05-06209-FOIA	Michael Spence	Xenophon A. Galinas	6/13/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06224-FOIA	Michael Spence	Universal Media Systems, Inc.	6/13/2005
05-06205-FOIA	Michael Spence	Emmanuel Kyrios	6/13/2005
05-06221-FOIA	Michael Spence	David A. McKay	6/13/2005
05-06235-FOIA	Michael Spence	Vassilios M. Livanos	6/13/2005
05-06232-FOIA	Michael Spence	Carl H. Josefsson	6/13/2005
05-06233-FOIA	Michael Spence	Manhattan Maritime LLC	6/13/2005
05-06230-FOIA	J. Patrick Gavin	Marine Products Corporation	6/13/2005
05-06234-FOIA	J. Patrick Gavin	Land America Financial Group, Inc.	6/13/2005
05-06226-FOIA	J. Patrick Gavin	International Business Machines Corporation	6/13/2005
05-06192-FOIA	J. Patrick Gavin	Mannatech Incorporated	6/13/2005
05-06193-FOIA	J. Patrick Gavin	Sara Lee Corporation	6/13/2005
05-06186-FOIA	J. Patrick Gavin	Inco Limited	6/13/2005
05-06222-FOIA	J. Patrick Gavin	Lockheed Martin Corporation	6/13/2005
05-06174-FOIA	J. Patrick Gavin	NES Rentals Holdings, Inc.	6/13/2005
05-06180-FOIA	J. Patrick Gavin	Lincare Holdings, Inc.	6/13/2005
05-06175-FOIA	Richard Harrison	Meadwestvaco Corp.	6/13/2005
05-06176-FOIA	Richard Harrison	Concord Communications, Inc.	6/13/2005
05-06177-FOIA	Richard Harrison	Closure Medical Corp.	6/13/2005
05-06181-FOIA	Richard Harrison	Consumables & Flash Chromatography Business	6/13/2005
05-06182-FOIA	Richard Harrison	Pulitzer, Inc.	6/13/2005
05-06187-FOIA	Richard Harrison	Lee Enterprises, Inc.	6/13/2005
05-06188-FOIA	Richard Harrison	Computer Associates International, Inc.	6/13/2005
05-06194-FOIA	Richard Harrison	Technoconcepts, Inc.	6/13/2005
05-06195-FOIA	Richard Harrison	Lombardi Restaurant Group, Inc.	6/13/2005
05-06196-FOIA	Richard Harrison	Diamond Offshore Drilling Inc	6/13/2005
05-06199-FOIA	Richard Harrison	Rock Tenn, Co.	6/13/2005
05-06200-FOIA	Richard Harrison	Gallium Arsenide Semiconductor Components Business	6/13/2005
05-06201-FOIA	Richard Harrison	Scudder Cash Investment Trust (File # 333-125562)	6/13/2005
05-06202-FOIA	Richard Harrison	Market Vectors Trust (File # 333-123257)	6/13/2005
05-06206-FOIA	Richard Harrison	Ehrenkrantz Trust (File # 333-125472)	6/13/2005
05-06207-FOIA	Richard Harrison	Special Situations Fund III LP	6/13/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06208-FOIA	Richard Harrison	Scudder Advisor Funds (File # 333-125567)	6/13/2005
05-06210-FOIA	Richard Harrison	Daimlerchrysler North America Holding Corp. (File # 333-123535)	6/13/2005
05-06211-FOIA	Richard Harrison	Horace Mann Educators Corp. /DE/ (File # 333-111234)	6/13/2005
05-06212-FOIA	Richard Harrison	Metlife, Inc. (File # 333-124358)	6/13/2005
05-06213-FOIA	Richard Harrison	Masco Corp. /DE/ (File # 333-100641)	6/13/2005
05-06214-FOIA	Richard Harrison	Knot, Inc. (File # 333-124177)	6/13/2005
05-06215-FOIA	Richard Harrison	Appalachian Power Co. (File # 333-123348)	6/13/2005
05-06216-FOIA	Richard Harrison	Radian Group, Inc. (File # 3233-118220)	6/13/2005
05-06218-FOIA	Richard Harrison	Jarden Corp. (File # 333-123218)	6/13/2005
05-06219-FOIA	Richard Harrison	Armor Electric, Inc.	6/13/2005
05-06223-FOIA	Richard Harrison	Asante Technologies Inc	6/13/2005
05-06225-FOIA	Richard Harrison	Meadwestvaco Corp	6/13/2005
05-06227-FOIA	Richard Harrison	Chefs International Inc	6/13/2005
05-06228-FOIA	Richard Harrison	Cimarex Energy Co	6/13/2005
05-06229-FOIA	Richard Harrison	Johnson & Johnson	6/13/2005
05-06238-FOIA	Richard Harrison	Magnum Hunter Resources Inc	6/13/2005
05-06241-FOIA	J. Patrick Gavin	Hilton Hotels Corporation	6/14/2005
05-06246-FOIA	J. Patrick Gavin	Mentor Corporation	6/14/2005
05-06247-FOIA	J. Patrick Gavin	The Sherwin-Williams Company	6/14/2005
05-06248-FOIA	J. Patrick Gavin	Solectron Corporation	6/14/2005
05-06244-FOIA	J. Patrick Gavin	Medtronic, Inc.	6/14/2005
05-06250-FOIA	J. Patrick Gavin	SEC's decision to pose Comment Letters on the website	6/14/2005
05-06252-FOIA	J. Patrick Gavin	Universal Health Services, Inc.	6/14/2005
05-06254-FOIA	J. Patrick Gavin	The Men's Warehouse, Inc.	6/14/2005
05-06256-FOIA	J. Patrick Gavin	Mellon Financial Corporation	6/14/2005
05-06257-FOIA	J. Patrick Gavin	Tribune Company	6/14/2005
05-06262-FOIA	J. Patrick Gavin	XL Capital, Ltd.	6/14/2005
05-06242-FOIA	Mark Edwards	IDEC Pharmaceuticals Corporation	6/14/2005
05-06240-FOIA	Mark Edwards	Arris Pharmaceutical Corporation	6/14/2005
05-06260-FOIA	Mark Edwards	Chiron Corporation	6/14/2005
05-06261-FOIA	Mark Edwards	Amylin Pharmaceuticals, Inc.	6/14/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06258-FOIA	Mark Edwards	Chiron Corporation	6/14/2005
05-06251-FOIA	William Nolan	Citigroup Global Markets, Inc.	6/14/2005
05-06253-FOIA	Steve Buller	Refco Group	6/14/2005
05-06255-FOIA	Ron Knox	COR Development LLC	6/14/2005
05-06259-FOIA	K. Goodwin	Old Mutual (US) Holdings	6/14/2005
05-06243-FOIA	K. Goodwin	PBHG Mutual Funds	6/14/2005
05-06239-FOIA	K. Goodwin	Liberty Ridge Capital	6/14/2005
05-06245-FOIA	K. Goodwin	Old Mutual Asset Management	6/14/2005
05-06249-FOIA	K. Goodwin	Old Mutual PLC	6/14/2005
05-06286-FOIA	Megan Lynch	JMB Capital Partners	6/15/2005
05-06291-FOIA	Megan Lynch	Jonathan M. Brooks	6/15/2005
05-06263-FOIA	Joseph Thomas	The SCO Group to Baystar Capital	6/15/2005
05-06268-FOIA	Susan Cregg	Time Warner, Inc.	6/15/2005
05-06273-FOIA	Thomas McKenna	ChoicePoint, Inc.	6/15/2005
05-06274-FOIA	Susie Edwards	Howard Buckley McHugh	6/15/2005
05-06278-FOIA	Susie Edwards	Elliot V. Dufour	6/15/2005
05-06281-FOIA	Laurence Rosen	StockerYale, Inc.	6/15/2005
05-06277-FOIA	Laurence Rosen	HiEnergy Technologies, Inc.	6/15/2005
05-06282-FOIA	Kenneth Frost	Sonus Networks, Inc.	6/15/2005
05-06283-FOIA	James Goetz	M & T Bank	6/15/2005
05-06285-FOIA	Victoria Taylor	Dept. of Agriculture, SEC & NTEU	6/15/2005
05-06296-FOIA	Richard Bergeron	RJR Nabisco LBO agreement	6/15/2005
05-06298-FOIA	Michael McLellan	Dean Witter Reynolds Inc., n/k/a Morgan Stanley DDW, Inc. File No. 3-10905	6/15/2005
05-06302-FOIA	Christopher Sacco	Phillip E. Harlow	6/15/2005
05-06303-FOIA	Christopher Sacco	Phillip E. Harlow	6/15/2005
05-06320-FOIA	Thomas McAndrews	GTE Corporation ("GTE") (now called Verizon Communications Inc.)	6/15/2005
05-06284-FOIA	Matthew Fuehrmeyer	Katherine Harris	6/15/2005
05-06308-FOIA	Steve Buller	Refco Group	6/15/2005
05-06316-FOIA	Liz Balanda	Sequoia Capital	6/15/2005
05-06287-FOIA	Mark Edwards	Zynaxis, Inc.	6/15/2005
05-06292-FOIA	Mark Edwards	Ligand Pharmaceuticals, Inc.	6/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06301-FOIA	Mark Edwards	Somatix Therapy Corporation	6/15/2005
05-06304-FOIA	Mark Edwards	Pharmacopeia, Inc.	6/15/2005
05-06309-FOIA	Mark Edwards	Cytel Corporation	6/15/2005
05-06312-FOIA	Elizabeth Steponkus	SEC Information Technology Budgets	6/15/2005
05-06264-FOIA	J. Patrick Gavin	Weis Markets, Inc.	6/15/2005
05-06269-FOIA	J. Patrick Gavin	CVB Financial Corp.	6/15/2005
05-06288-FOIA	J. Patrick Gavin	Datascope Corp	6/15/2005
05-06293-FOIA	J. Patrick Gavin	Carlisle Companies Incorporated	6/15/2005
05-06305-FOIA	J. Patrick Gavin	Cytec Industries, Inc.	6/15/2005
05-06297-FOIA	J. Patrick Gavin	First Cash Financial Services, Inc.	6/15/2005
05-06313-FOIA	J. Patrick Gavin	Deb Shops, Inc.	6/15/2005
05-06317-FOIA	J. Patrick Gavin	Denbury Resources Inc.	6/15/2005
05-06321-FOIA	J. Patrick Gavin	Lucent Technologies Inc.	6/15/2005
05-06326-FOIA	Robert Motz	Cutter, Inc.	6/15/2005
05-06311-FOIA	Robert Motz	Whipporwill Holdings Ltd.,	6/15/2005
05-06310-FOIA	J. Patrick Gavin	Dave & Buster's Inc.	6/15/2005
05-06325-FOIA	J. Patrick Gavin	Conmed Corporation	6/15/2005
05-06322-FOIA	Robert Motz	Wolverine Tube Ltd.	6/15/2005
05-06323-FOIA	Robert Motz	Drake Goodwin & Graham	6/15/2005
05-06324-FOIA	Robert Motz	K Road Management LLC aka K Road Partners LLC aka K Road BNY LLC	6/15/2005
05-06318-FOIA	Robert Motz	Whistler Development Corp.	6/15/2005
05-06319-FOIA	Robert Motz	DGM Bank & Trust	6/15/2005
05-06314-FOIA	Robert Motz	Johnco Bermuda Ltd	6/15/2005
05-06315-FOIA	Robert Motz	Ramius Corporation	6/15/2005
05-06306-FOIA	Robert Motz	ICM Insurance Company	6/15/2005
05-06307-FOIA	Robert Motz	Tridium, Inc.	6/15/2005
05-06299-FOIA	Robert Motz	Children's Choice Learning Center, Inc.	6/15/2005
05-06300-FOIA	Robert Motz	Access Self Storage, Ltd.	6/15/2005
05-06294-FOIA	Robert Motz	AirLogic LLC aka AirLogic Ltd. aka AirLogic Holding SA	6/15/2005
05-06295-FOIA	Robert Motz	Aviation Systems International, Inc.	6/15/2005
05-06289-FOIA	Robert Motz	Ethan Alpert	6/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06290-FOIA	Robert Motz	Lance B. Gold	6/15/2005
05-06275-FOIA	Robert Motz	John P. Manly	6/15/2005
05-06276-FOIA	Robert Motz	David L. Tohir	6/15/2005
05-06270-FOIA	Robert Motz	eVentures, Inc.	6/15/2005
05-06271-FOIA	Robert Motz	Blue Advisors LLC	6/15/2005
05-06272-FOIA	Robert Motz	DG Acquisition Corp. a.k.a. Drake Graham Acquisition Corp.	6/15/2005
05-06279-FOIA	Robert Motz	John C. Drake	6/15/2005
05-06280-FOIA	Robert Motz	Mark R. Graham	6/15/2005
05-06265-FOIA	Robert Motz	Drake Goodwin Corporation	6/15/2005
05-06266-FOIA	Robert Motz	North Atlantic Trading Company, Inc.	6/15/2005
05-06267-FOIA	Robert Motz	NetInsurance.com, Inc.	6/15/2005
05-06327-FOIA	Brian Tartell	Corixa Corporation	6/16/2005
05-06330-FOIA	Lauren Ravkind	Bristol-Myers Squibb Co.	6/16/2005
05-06331-FOIA	Daniel Bender	Bank of California	6/16/2005
05-06336-FOIA	Daniel Bender	Michael Khourie	6/16/2005
05-06337-FOIA	Daniel Bender	Georgia-Pacific Corporation	6/16/2005
05-06333-FOIA	Sonia Luna	List of public companies	6/16/2005
05-06334-FOIA	Ali Talaie	SOX Compliant companies	6/16/2005
05-06328-FOIA	Mark Mierzejewski	Steven Tananbaum	6/16/2005
05-06335-FOIA	Mark Mierzejewski	Golden Tree Asset Management, LP	6/16/2005
05-06329-FOIA	Mike Lipman	Jack B. Sorensen	6/16/2005
05-06332-FOIA	Mike Lipman	Rivanna Capital LLC	6/16/2005
05-06338-FOIA	Mike Lipman	Craig F. Colberg	6/16/2005
05-06339-FOIA	Mike Lipman	Rivanna Partners, LP	6/16/2005
05-06340-FOIA	Mike Lipman	Rivanna Offshore Partners, Ltd.	6/16/2005
05-06342-FOIA	Mark Mierzejewski	Ritchie Capital Management, LLC	6/17/2005
05-06351-FOIA	Mark Mierzejewski	A.R. Thane Ritchie	6/17/2005
05-06341-FOIA	Melvin Verly	K-V Pharmaceutivcal Company	6/17/2005
05-00036-FOPA	Preetha Thomas	Henry C. Fleary	6/17/2005
05-06349-FOIA	Laurie Kite	IMPAC Cardholders List	6/17/2005
05-06345-FOIA	Danielle Carpenter	Christofferson, Robb & Company, LLC	6/17/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06348-FOIA	Danielle Carpenter	CRC Global Structured Credit Fund, Ltd.	6/17/2005
05-06343-FOIA	Danielle Carpenter	Richard G. Robb	6/17/2005
05-06352-FOIA	J. Patrick Gavin	Plum Creek Timber Company, Inc.	6/17/2005
05-06353-FOIA	J. Patrick Gavin	Linens 'n Things, Inc.	6/17/2005
05-06354-FOIA	J. Patrick Gavin	Micron Technology, Inc.	6/17/2005
05-06355-FOIA	J. Patrick Gavin	Golden West Financial Corporation	6/17/2005
05-06346-FOIA	J. Patrick Gavin	Wolverine World Wide, Inc.	6/17/2005
05-06347-FOIA	J. Patrick Gavin	Gentex Corporation	6/17/2005
05-06344-FOIA	J. Patrick Gavin	Aetna, Inc.	6/17/2005
05-06350-FOIA	J. Patrick Gavin	LTX Corporation	6/17/2005
05-06357-FOIA	Richard Harrison	Caesars Entertainment, Inc.	6/20/2005
05-06359-FOIA	Richard Harrison	Horizon Bancorp /IN/	6/20/2005
05-06362-FOIA	Richard Harrison	Scudder Investments VIT Funds (File # 333-125730)	6/20/2005
05-06364-FOIA	Richard Harrison	Columbia International Stock Fund, Inc. (File # 333-125735)	6/20/2005
05-06366-FOIA	Richard Harrison	Southern Peru Copper Corp. (File # 333-124439)	6/20/2005
05-06369-FOIA	Richard Harrison	Harrahs Entertainment Inc	6/20/2005
05-06371-FOIA	Richard Harrison	Emmis Communications Corp	6/20/2005
05-06373-FOIA	Richard Harrison	First Trust Exchange-Traded Fund 333-125751	6/20/2005
05-06375-FOIA	Richard Harrison	Wesco International Inc 333-123307	6/20/2005
05-06380-FOIA	Richard Harrison	Oppenheimer International Diversified Fund 333-125205	6/20/2005
05-06377-FOIA	Richard Harrison	Finisar Corp 333-124879	6/20/2005
05-06378-FOIA	Richard Harrison	Micrus Corp 333-123154	6/20/2005
05-06372-FOIA	Randall Smith	Marty or Martin Paravato	6/20/2005
05-06360-FOIA	W. Hardy Callcott	Johnson v. SEC (D.C. Cir. 1996)	6/20/2005
05-06370-FOIA	Laksay Somphone	Presto Telecommunications	6/20/2005
05-06363-FOIA	Laksay Somphone	Presto Telecommunications, Inc.	6/20/2005
05-06365-FOIA	Robert Bredice	Short Sale Rule	6/20/2005
05-06367-FOIA	John Black	Innovative Clinical Solutions, Inc.	6/20/2005
05-06368-FOIA	Thomas Lee	General Mills Inc	6/20/2005
05-06358-FOIA	Mark Mierzejewski	Crestview Capital Partners, LLC	6/20/2005
05-06376-FOIA	Mark Mierzejewski	Robert M. Hoyt	6/20/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06356-FOIA	Susan Lichtosien	David Hu	6/20/2005
05-06379-FOIA	Bill Bond	SEC Form 5	6/20/2005
05-06374-FOIA	Bill Bond	SEC Form 3	6/20/2005
05-06361-FOIA	Bill Bond	SEC Form 4	6/20/2005
05-00214-APPS	Jeffrey Abraham	Abraham Fruchter & Twersky LLP v. U.S. Sec. and Exchange Comm'n	6/21/2005
05-06381-FOIA	Marc Sheridan	Mr. Peter Quick	6/21/2005
05-06382-FOIA	Marc Sheridan	Mr. Salvatore Sodano	6/21/2005
05-06383-FOIA	Marc Sheridan	Mr. Glen Barrentine	6/21/2005
05-06384-FOIA	Marc Sheridan	Mr. Michael Ryan	6/21/2005
05-06385-FOIA	Charlene Sanders	Midland Mortgage	6/21/2005
05-06386-FOIA	John Castleberry	Mortgage Portfolio Services, Inc.	6/21/2005
05-06388-FOIA	Staci Wanichек	Dial Corporation's Purchase of J.C. Garrett in 2000/2001	6/21/2005
05-06387-FOIA	Lynne Skelley	task order number HHS-NIH-CIT-2005-C-2389	6/21/2005
05-06409-FOIA	R. Brian Timmons	Citigroup concerning Parmalat	6/22/2005
05-06389-FOIA	R. Brian Timmons	Bank of America	6/22/2005
05-06391-FOIA	R. Brian Timmons	Deloitte & Touche or Grant Thornton	6/22/2005
05-06403-FOIA	JC McKinney	Agency Employee Contact Information	6/22/2005
05-06407-FOIA	Jessica Clawson	KPNQwest	6/22/2005
05-06405-FOIA	Keith Schooley	October 29, 2002, letter to Harvey Pitt, Chairman of the SEC	6/22/2005
05-06392-FOIA	Robert Motz	Calyx Capital, LLC	6/22/2005
05-06393-FOIA	Robert Motz	Windmill Management, LLC	6/22/2005
05-06394-FOIA	Robert Motz	Stanford Management Group, Inc.	6/22/2005
05-06395-FOIA	Robert Motz	Stanford Investors, LLC	6/22/2005
05-06396-FOIA	Robert Motz	Stanford Investors, LLC	6/22/2005
05-06397-FOIA	Robert Motz	Stanford Capital Corp.	6/22/2005
05-06398-FOIA	Robert Motz	SageCrest, LLC	6/22/2005
05-06399-FOIA	Robert Motz	Thomas R. Gurney	6/22/2005
05-06400-FOIA	Robert Motz	Evan R. Binder	6/22/2005
05-06401-FOIA	Robert Motz	Alan R. Milton	6/22/2005
05-06402-FOIA	Robert Motz	Philip S. Milton	6/22/2005
05-06408-FOIA	Robert Motz	Efficiency Capital Corp.	6/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06410-FOIA	Robert Motz	Mantis Holdings, Inc.	6/22/2005
05-06411-FOIA	Robert Motz	E. C. Capital Corp.	6/22/2005
05-06412-FOIA	Robert Motz	Eagle Capital Corp.	6/22/2005
05-06390-FOIA	Robert Motz	Cambridge Holdings Corp.	6/22/2005
05-06404-FOIA	Robert Motz	Binder Capital LLC	6/22/2005
05-06406-FOIA	Robert Motz	Stonehenge Capital Corp	6/22/2005
05-06416-FOIA	J. Patrick Gavin	Regis Corporation	6/23/2005
05-06417-FOIA	J. Patrick Gavin	Vishay Intertechnology, Inc.	6/23/2005
05-06424-FOIA	J. Patrick Gavin	Torchmark Corporation	6/23/2005
05-06425-FOIA	J. Patrick Gavin	Lennar Corporation	6/23/2005
05-06433-FOIA	J. Patrick Gavin	General Mills, Inc.	6/23/2005
05-06437-FOIA	J. Patrick Gavin	Dillard's, Inc.	6/23/2005
05-06438-FOIA	J. Patrick Gavin	Jos. A. Bank Clothiers Inc	6/23/2005
05-06444-FOIA	J. Patrick Gavin	Conexant Systems, Inc.	6/23/2005
05-06451-FOIA	J. Patrick Gavin	Kirkland's Inc.	6/23/2005
05-06452-FOIA	J. Patrick Gavin	IDT Corporation	6/23/2005
05-06455-FOIA	J. Patrick Gavin	Casey's General Stores, Inc.	6/23/2005
05-06457-FOIA	J. Patrick Gavin	Mercury Interactive Corporation	6/23/2005
05-06461-FOIA	J. Patrick Gavin	Whiting Petroleum Corporation	6/23/2005
05-06464-FOIA	J. Patrick Gavin	Sybase, Inc.	6/23/2005
05-06468-FOIA	J. Patrick Gavin	Sun Trust Banks, Inc.	6/23/2005
05-06413-FOIA	Wade McKnight	Stefano Roma	6/23/2005
05-06421-FOIA	Wade McKnight	Leo Fund Managers Limited	6/23/2005
05-06439-FOIA	Wade McKnight	Leonardo Capital Management Limited	6/23/2005
05-06459-FOIA	Wade McKnight	Leonardo Capital Fund Ltd.	6/23/2005
05-06414-FOIA	Patrick Walsh	Asia Automotive Acquisition Corporation	6/23/2005
05-06415-FOIA	Patrick Walsh	Peter L. Venetis	6/23/2005
05-06430-FOIA	Patrick Walsh	Rudy P. Wilson	6/23/2005
05-06431-FOIA	Patrick Walsh	William R. Herren	6/23/2005
05-06432-FOIA	Patrick Walsh	Vinit Bansal	6/23/2005
05-06422-FOIA	Patrick Walsh	Quadrpoint Acquisition Corp.	6/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06423-FOIA	Patrick Walsh	Asia Development Capital LLC	6/23/2005
05-06460-FOIA	Patrick Walsh	Paul D. Lapping	6/23/2005
05-06463-FOIA	Patrick Walsh	John McCarvel	6/23/2005
05-06467-FOIA	Patrick Walsh	VB Marketing Inc.	6/23/2005
05-06445-FOIA	Patrick Walsh	Brian B. Boorstein	6/23/2005
05-06436-FOIA	Patrick Walsh	Towne Services, Inc.	6/23/2005
05-06443-FOIA	Patrick Walsh	InterCept, Inc. f.k.a. Intercept Group, Inc.	6/23/2005
05-06449-FOIA	Patrick Walsh	Chun Yi Hao	6/23/2005
05-06450-FOIA	Patrick Walsh	Gregory Lynn Boggs a.k.a. G. Lynn Boggs	6/23/2005
05-06418-FOIA	Becky Conover	Lee Ehly	6/23/2005
05-06419-FOIA	Becky Conover	David Laub	6/23/2005
05-06420-FOIA	Becky Conover	Johan Utterman	6/23/2005
05-06426-FOIA	Becky Conover	Margo Zaslavsky	6/23/2005
05-06427-FOIA	Becky Conover	Jim Hayes	6/23/2005
05-06428-FOIA	Becky Conover	Joseph Vari	6/23/2005
05-06429-FOIA	Becky Conover	Tim Casey	6/23/2005
05-06434-FOIA	Becky Conover	Duke Buchan	6/23/2005
05-06440-FOIA	Becky Conover	Hunter Investors, LLC	6/23/2005
05-06441-FOIA	Becky Conover	Stuart Friou	6/23/2005
05-06442-FOIA	Becky Conover	Hunter Global Investors Offshore Fund II	6/23/2005
05-00038-FOPA	Becky Conover	Vicente "tito" Citarella	6/23/2005
05-06446-FOIA	Becky Conover	Vicente "tito" Citarella	6/23/2005
05-06447-FOIA	Becky Conover	Hunter Global Investors LP	6/23/2005
05-06448-FOIA	Becky Conover	Hunter Global Investors Fund II	6/23/2005
05-06458-FOIA	Becky Conover	Hunter Global Investors Fund I	6/23/2005
05-06456-FOIA	Becky Conover	Chris Bucaria	6/23/2005
05-06453-FOIA	Becky Conover	Hunter Global Capital Management LLC	6/23/2005
05-06454-FOIA	Becky Conover	Hunter Global Investors Offshore Fund	6/23/2005
05-06465-FOIA	Becky Conover	Christian McCall	6/23/2005
05-06466-FOIA	Becky Conover	Brian Fortune	6/23/2005
05-06462-FOIA	Becky Conover	Tim Daly	6/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06469-FOIA	Becky Conover	Ed Kosnik	6/23/2005
05-06470-FOIA	Becky Conover	Eric Stephenson	6/23/2005
05-06435-FOIA	Steven Salky	Office of the Chief Accountant	6/23/2005
05-06471-FOIA	Simiao Zhou	List of Bankruptcy filing firms	6/23/2005
05-00039-FOPA	John Lambros	John Gregory Lambros	6/24/2005
05-06474-FOIA	Simiao Zhou	List of Bankruptcy filing firms between 1985 and 2000	6/24/2005
05-06495-FOIA	Tom Geary	James A. Bevilacqua	6/24/2005
05-06485-FOIA	Tom Geary	John Robert Hummel	6/24/2005
05-06497-FOIA	Tom Geary	Bradley Charles Stern	6/24/2005
05-06473-FOIA	Tom Geary	Karl Edward Bandtel	6/24/2005
05-06475-FOIA	Michelle Schwartz	SEC and the Executive Office of the President	6/24/2005
05-06478-FOIA	Robert Underwood	Cablevision and subsidiaries	6/24/2005
05-06482-FOIA	Charles Diehl	List of Mutual Fund Companies/Open-end Companies	6/24/2005
05-06486-FOIA	Jim Rossi	Former NASD Brokers	6/24/2005
05-06484-FOIA	Megan Lynch	Jeffrey B. Marron	6/24/2005
05-06472-FOIA	Megan Lynch	Sheridan Capital Advisors, LLP	6/24/2005
05-06477-FOIA	Andrew DePeau	Eric S. Kamisher	6/24/2005
05-06483-FOIA	J. Patrick Gavin	Group 1 Automotive, Inc.	6/24/2005
05-06496-FOIA	J. Patrick Gavin	Cosi, Inc.	6/24/2005
05-06498-FOIA	J. Patrick Gavin	Haverty Furniture Companies, Inc.	6/24/2005
05-06476-FOIA	J. Patrick Gavin	C-COR.net Corp	6/24/2005
05-06479-FOIA	J. Patrick Gavin	Handleman Company	6/24/2005
05-06480-FOIA	J. Patrick Gavin	Hughes Supply Inc	6/24/2005
05-06481-FOIA	J. Patrick Gavin	Coherent, Inc.	6/24/2005
05-06487-FOIA	J. Patrick Gavin	Taubman Centers, Inc.	6/24/2005
05-06488-FOIA	J. Patrick Gavin	Fargo Electronics Inc	6/24/2005
05-06489-FOIA	J. Patrick Gavin	Fargo Technologies Inc	6/24/2005
05-06490-FOIA	J. Patrick Gavin	Blyth, Inc.	6/24/2005
05-06491-FOIA	J. Patrick Gavin	Lubrizol Corporation	6/24/2005
05-06492-FOIA	J. Patrick Gavin	Alloy Inc	6/24/2005
05-06493-FOIA	J. Patrick Gavin	Bon-Ton Stores, Inc.	6/24/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06494-FOIA	J. Patrick Gavin	Genlyte Group Incorporated, The	6/24/2005
05-06545-FOIA	Justin Cannizzaro	Jeffrey B Hanlon	6/27/2005
05-06505-FOIA	Justin Cannizzaro	Matthew Roche	6/27/2005
05-06502-FOIA	Justin Cannizzaro	Mark Dow	6/27/2005
05-06542-FOIA	Justin Cannizzaro	Michael Skarbinski	6/27/2005
05-06533-FOIA	Justin Cannizzaro	Pharo Advicors	6/27/2005
05-06528-FOIA	Justin Cannizzaro	Pharo Management	6/27/2005
05-06536-FOIA	Justin Cannizzaro	Pharo Trading	6/27/2005
05-06516-FOIA	Justin Cannizzaro	Jonathan Liggett	6/27/2005
05-06522-FOIA	Justin Cannizzaro	Delfos Machado Neto	6/27/2005
05-06523-FOIA	Justin Cannizzaro	Guillaume Fonkenell	6/27/2005
05-06524-FOIA	Justin Cannizzaro	Pharo Arbitrage Fund	6/27/2005
05-06501-FOIA	Richard Harrison	Latin American Discovery Fund Inc	6/27/2005
05-06507-FOIA	Richard Harrison	New Frontier Energy, Inc. (File # 333-123097)	6/27/2005
05-06509-FOIA	Richard Harrison	MCG Capital Corp. (File # 333-121358)	6/27/2005
05-06530-FOIA	Richard Harrison	Sportsmans Guide Inc	6/27/2005
05-06543-FOIA	Richard Harrison	New Century Reit Inc 333-124348	6/27/2005
05-06511-FOIA	Susan Lichtcsien	Mile Rock Partners, LP	6/27/2005
05-06525-FOIA	Mark Mierzejewski	Inflective Asset Management LLC	6/27/2005
05-06517-FOIA	Mark Mierzejewski	Thomas J Ray	6/27/2005
05-06546-FOIA	Patrick Walsh	Employers Security Company Inc	6/27/2005
05-06526-FOIA	Patrick Walsh	Minotaur Partners II LLC	6/27/2005
05-06519-FOIA	Patrick Walsh	Dakota Capital Partners, LLC	6/27/2005
05-06520-FOIA	Patrick Walsh	Oak Point Partners LLC	6/27/2005
05-06537-FOIA	Patrick Walsh	Hulse Road Realty Corp.	6/27/2005
05-06538-FOIA	Patrick Walsh	Atlantic Bank of New York	6/27/2005
05-06529-FOIA	Patrick Walsh	Lapping Investments LLC	6/27/2005
05-06531-FOIA	Patrick Walsh	Preferential Properties, Inc.	6/27/2005
05-06534-FOIA	Patrick Walsh	Praxis Capital Partners, LLC	6/27/2005
05-06535-FOIA	Patrick Walsh	Old Field Road Corp.	6/27/2005
05-06515-FOIA	Patrick Walsh	Dakota Capital Investments, LLC	6/27/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06541-FOIA	Patrick Walsh	Gordian Investment Partners LLC	6/27/2005
05-06503-FOIA	Patrick Walsh	Westwood Industries Inc	6/27/2005
05-06504-FOIA	Patrick Walsh	DNS Holdings LLC	6/27/2005
05-06506-FOIA	Patrick Walsh	Montana Street Holdings LLC	6/27/2005
05-06499-FOIA	Patrick Walsh	Montana Street Investments LLC	6/27/2005
05-06500-FOIA	Steven Meckler	Fundamental Growth Investors LP	6/27/2005
05-06508-FOIA	Steven Meckler	Bill Stanton	6/27/2005
05-06532-FOIA	Steven Meckler	New Vision Investment Management LLC	6/27/2005
05-06527-FOIA	Steven Meckler	Vincent A. Lenarcic Jr	6/27/2005
05-06510-FOIA	Steven Meckler	Stanton Investment Management, LLC	6/27/2005
05-06513-FOIA	Suzanne Llotta	Charles Behr and/or Toni Behr	6/27/2005
05-06512-FOIA	Jill Schottenstein	Ashley Brooke Schottenstein	6/27/2005
05-06518-FOIA	Jill Schottenstein	Addy Nicole Schottenstein	6/27/2005
05-06521-FOIA	Jill Schottenstein	Jill Schottenstein	6/27/2005
05-06540-FOIA	Jill Schottenstein	Sarah Eliabeth Schottenstein	6/27/2005
05-06514-FOIA	Billy Bice	GLUV Corporation a.k.a. Media Magic	6/27/2005
05-06539-FOIA	Curtis Carlson	UBS Financial Services Inc	6/27/2005
05-06544-FOIA	Evan Kaufman	Juan Singo Liang	6/27/2005
05-00002-CONG	Richard Brenne	ChevronTexaco Corp.	6/27/2005
05-06551-FOIA	Scott Sandberg	Millenium Holding Group, Inc.	6/28/2005
05-06547-FOIA	Mark Mierzejewski	Cantillon Capital Management, LLC	6/28/2005
05-06548-FOIA	Mark Mierzejewski	William A. von Mueffling	6/28/2005
05-06550-FOIA	Elizabeth Balanda	Lake Capital	6/28/2005
05-06549-FOIA	Susan Lichtcsien	Bengamin Pratt	6/28/2005
05-06562-FOIA	Andrew DePeau	Harindre "Hari" de Silvia	6/29/2005
05-06555-FOIA	Andrew DePeau	Analytic Investors and/or Analytic Investment Management	6/29/2005
05-06560-FOIA	Barrett Buchmueller	Anheuser-Busch Co.	6/29/2005
05-06557-FOIA	Barrett Buchmueller	The Procter & Gamble Company	6/29/2005
05-06553-FOIA	Barrett Buchmueller	Pepsi Co, Inc.	6/29/2005
05-06554-FOIA	Clay Moffett	Eagletech Communications, Inc.	6/29/2005
05-06561-FOIA	Clay Moffett	CMKM Diamonds, Inc.	6/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00040-FOIPA	Michael Nelson	Michael J. Nelson	6/29/2005
05-06558-FOIA	Nina Thanawala	FAIR Act Inventories	6/29/2005
05-06563-FOIA	David Elks	Tricell	6/29/2005
05-06556-FOIA	David Pitou	Transfer agents registered with the SEC	6/29/2005
05-06552-FOIA	Robert Motz	Chugai Pharma USA, LLC	6/29/2005
05-06559-FOIA	Robert Motz	GenProbe, Inc.	6/29/2005
05-06564-FOIA	Robert Motz	David J. Mazzo	6/29/2005
05-06572-FOIA	Robert Motz	Marshall S. Cogan and/or United Auto Group, Inc.	6/30/2005
05-06570-FOIA	Jonathan Bloch	List of Transfer Agents registered w/SEC	6/30/2005
05-06571-FOIA	Trevor Miller	ProDril Services, Inc.	6/30/2005
05-00216-APPS	Margaret Keeley	Cosmo Corigliano	6/30/2005
05-00215-APPS	Daniel Stotter	Certain information submitted with or within Form BD amendments and supplements	6/30/2005
05-06565-FOIA	Andrew DePeau	HFR Asset Management	6/30/2005
05-06566-FOIA	Andrew DePeau	Joseph G. Nicholas	6/30/2005
05-06569-FOIA	Susan Lichtcsien	Ritchie Capital Management	6/30/2005
05-06573-FOIA	Mark Mierzejewski	James Altucher	6/30/2005
05-06574-FOIA	Mark Mierzejewski	Formula Capital, LLC	6/30/2005
05-06567-FOIA	Mark Mierzejewski	Marathon Asset Management LLC	6/30/2005
05-06568-FOIA	Mark Mierzejewski	Bruce J. Richards	6/30/2005
05-06599-FOIA	Mark Mierzejewski	Research Affiliates, LLC	7/1/2005
05-06591-FOIA	Mark Mierzejewski	Robert D. Arnott	7/1/2005
05-06575-FOIA	Danielle Carpenter	MotherRock Energy Derivatives Fund, LP	7/1/2005
05-06578-FOIA	Danielle Carpenter	MotherRock Energy Fund, Ltd.	7/1/2005
05-06602-FOIA	Danielle Carpenter	Clarium Capital Fund, Ltd	7/1/2005
05-06606-FOIA	Danielle Carpenter	MotherRock, LP	7/1/2005
05-06594-FOIA	Danielle Carpenter	J. Robert Collins, Jr.	7/1/2005
05-06596-FOIA	Danielle Carpenter	Conrad Goerl	7/1/2005
05-06589-FOIA	Danielle Carpenter	Clarium Capital Management, LLC	7/1/2005
05-06585-FOIA	Danielle Carpenter	Peter Thiel	7/1/2005
05-06583-FOIA	Danielle Carpenter	MotherRock Incentive, LP	7/1/2005
05-06577-FOIA	Matthew Chasar	Ferro Corporation	7/1/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06581-FOIA	Michelle Czyszczak	Harmonic Capital Partners	7/1/2005
05-06592-FOIA	Michelle Czyszczak	Harmonic Global Fund	7/1/2005
05-06604-FOIA	Michelle Czyszczak	Richard Conyer	7/1/2005
05-06601-FOIA	Michelle Czyszczak	Richard Noble	7/1/2005
05-06587-FOIA	Kim Eyster	Couch Oil and Gas	7/1/2005
05-06598-FOIA	David Lundeen	RC2 Corp.	7/1/2005
05-06607-FOIA	J. Patrick Gavin	Eyetechn Pharmaceuticals, Inc.	7/1/2005
05-06600-FOIA	J. Patrick Gavin	Willbros Group	7/1/2005
05-06603-FOIA	J. Patrick Gavin	Worthington Industries, Inc.	7/1/2005
05-06605-FOIA	J. Patrick Gavin	United Natural Foods, Inc.	7/1/2005
05-06582-FOIA	J. Patrick Gavin	National Instruments Corporation	7/1/2005
05-06584-FOIA	J. Patrick Gavin	Healthcare Realty Trust Incorporated	7/1/2005
05-06586-FOIA	J. Patrick Gavin	UST, Inc.	7/1/2005
05-06588-FOIA	J. Patrick Gavin	Titan International, Inc.	7/1/2005
05-06590-FOIA	J. Patrick Gavin	Waste Management, Inc.	7/1/2005
05-06593-FOIA	J. Patrick Gavin	Energy Inc.	7/1/2005
05-06595-FOIA	J. Patrick Gavin	Westwood One, Inc.	7/1/2005
05-06597-FOIA	J. Patrick Gavin	webMethods, Inc.	7/1/2005
05-06576-FOIA	J. Patrick Gavin	United States Steel Corporation	7/1/2005
05-06579-FOIA	J. Patrick Gavin	Flagstar Bancorp, Inc.	7/1/2005
05-06580-FOIA	J. Patrick Gavin	Vulcan Materials Company	7/1/2005
05-06610-FOIA	J. Patrick Gavin	CRA International, Inc. f.k.a. Charles River Associates Incorporated	7/5/2005
05-06611-FOIA	David Molenda	JER Investors Trust, Inc.	7/5/2005
05-06641-FOIA	J. Patrick Gavin	Centex Corporation	7/5/2005
05-06639-FOIA	J. Patrick Gavin	Strykre Corporation	7/5/2005
05-06637-FOIA	J. Patrick Gavin	Staples, Inc.	7/5/2005
05-06627-FOIA	J. Patrick Gavin	Medco Health Solutions, Inc.	7/5/2005
05-06628-FOIA	J. Patrick Gavin	Piedmont Natural Gas Company, Inc.	7/5/2005
05-06630-FOIA	J. Patrick Gavin	Loews Corporation	7/5/2005
05-06632-FOIA	J. Patrick Gavin	Gannett Co., Inc.	7/5/2005
05-06634-FOIA	J. Patrick Gavin	Pier 1 Imports, Inc.	7/5/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06635-FOIA	J. Patrick Gavin	Playtex Products, Inc.	7/5/2005
05-06621-FOIA	J. Patrick Gavin	Pharmaceutical Companies, Inc. f.k.a. Pharmaceutical Resources, Inc.	7/5/2005
05-06622-FOIA	J. Patrick Gavin	Angiotech Pharmaceuticals, Inc.	7/5/2005
05-06619-FOIA	J. Patrick Gavin	McKesson Corporation	7/5/2005
05-06615-FOIA	J. Patrick Gavin	Bluegreen Corporation	7/5/2005
05-06617-FOIA	J. Patrick Gavin	Open Text Corporation	7/5/2005
05-06609-FOIA	Richard Harrison	United Defense Industries, Inc.	7/5/2005
05-06618-FOIA	Richard Harrison	John Hancock Funds II	7/5/2005
05-06620-FOIA	Richard Harrison	Jazz Pharmaceuticals, Inc.	7/5/2005
05-06624-FOIA	Richard Harrison	Orphan Medical Inc	7/5/2005
05-06626-FOIA	Richard Harrison	Hemosense, Inc. (File # 333-123705)	7/5/2005
05-06636-FOIA	Richard Harrison	Federated Stock & Bond Fund Inc /MD/	7/5/2005
05-06638-FOIA	Richard Harrison	Federated Equity Funds	7/5/2005
05-06640-FOIA	Richard Harrison	Sportsman Guide Inc.	7/5/2005
05-06612-FOIA	Barry Standig	Kettler, SEC Release No. 31354	7/5/2005
05-06614-FOIA	Shannon Browne	Richard Robinson v. American Stock Exchange, LLC	7/5/2005
05-06616-FOIA	Liz Balanda	HBK Investments	7/5/2005
05-06608-FOIA	Andrew DePeau	Viking Asset Management	7/5/2005
05-06633-FOIA	Andrew DePeau	Peter T. Benz	7/5/2005
05-06629-FOIA	Megan Lynch	Kenneth Lewis Tananbaum	7/5/2005
05-06625-FOIA	Megan Lynch	Arbitex Capital	7/5/2005
05-06613-FOIA	Jonathan Boynton	FSC Securities Corporation	7/5/2005
05-06650-FOIA	Megan Lynch	Mast Capital Management, LLC	7/6/2005
05-06651-FOIA	Megan Lynch	Christopher Bailey Madison	7/6/2005
05-06642-FOIA	Gregory Iskander	Andrew Evans	7/6/2005
05-06643-FOIA	Gregory Iskander	Zero.Net, Inc.	7/6/2005
05-06644-FOIA	Gregory Iskander	Dominion Income Management	7/6/2005
05-06645-FOIA	Gregory Iskander	Envision Development Corp.	7/6/2005
05-00041-FOPA	Brenda Smith-Hill	Brenda Smith-Hill	7/6/2005
05-06647-FOIA	Christopher Shank	Chase Bank of Texas, National Association	7/6/2005
05-06648-FOIA	Christopher Shank	Texas Commerce Bank, National Association	7/6/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06649-FOIA	Christopher Shank	Texas Commerce Trust Company of New York	7/6/2005
05-06646-FOIA	Wade McKnight	Eden Rock Finance Fund, LP	7/6/2005
05-06660-FOIA	Derek Kraemer	George Kevin Buchanan	7/7/2005
05-06661-FOIA	Craig Levi	In House Asset Manageres for Corporate Pension Funds	7/7/2005
05-06662-FOIA	Susan Perkins	Preferred Securities Group, Inc.	7/7/2005
05-06663-FOIA	W. Greco	Bathgate Capital Partners LLC of Greenwood Village	7/7/2005
05-06658-FOIA	Danielle Carpenter	Calrium, LP	7/7/2005
05-00217-APPS	Bill Frizzell	CMKM Diamonds Inc	7/7/2005
05-06659-FOIA	Bruce Pollack	Independence Community Bank	7/7/2005
05-06652-FOIA	Andrew DePeau	Scopia Management, Inc.	7/7/2005
05-06653-FOIA	Andrew DePeau	Matthew I. Sirovich	7/7/2005
05-06654-FOIA	Mark Mierzejewski	East Side Capital Corp	7/7/2005
05-06655-FOIA	Mark Mierzejewski	Steven Richman	7/7/2005
05-06657-FOIA	Jonathan Boynton	FSC Securities Corporation	7/7/2005
05-06656-FOIA	Wade Mcknight	Andreas Vergotis	7/7/2005
05-06664-FOIA	Verne Kopytoff	Google	7/8/2005
05-06670-FOIA	Megan Lynch	Mark Fishman	7/8/2005
05-06710-FOIA	Megan Lynch	Sailfish Capital Partners, LLC a.k.a. Sailfish Investment Management	7/8/2005
05-06668-FOIA	Susan Lichtcsien	Stewardship Investment Advisors, LLC	7/8/2005
05-06667-FOIA	Glen Hampton	Americas Servicing Company	7/8/2005
05-06713-FOIA	Glen Hampton	Affordable Home Loans, Inc.	7/8/2005
05-06673-FOIA	Christina Nielsen	Steven F. Broadbent	7/8/2005
05-06676-FOIA	Nulty Lynch	Deerfield Triarc Capital Corp.	7/8/2005
05-06680-FOIA	Ahmed Amarir	Linda A. Walker, Certified Financial Planner	7/8/2005
05-06681-FOIA	Ahmed Amarir	Martin & John CPA's & CFP	7/8/2005
05-06707-FOIA	Ahmed Amarir	Star & Feliciani	7/8/2005
05-06706-FOIA	Cullen Wyatt	Cazenove Capital Management Limited	7/8/2005
05-06702-FOIA	Cullen Wyatt	Steve Cordell	7/8/2005
05-06694-FOIA	Cullen Wyatt	Cazenove Investment Fund Management Limited	7/8/2005
05-06716-FOIA	Cullen Wyatt	Chris Rice	7/8/2005
05-06711-FOIA	Cullen Wyatt	Tim Russell	7/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06718-FOIA	Cullen Wyatt	Cazenove Fund Management Limited	7/8/2005
05-06688-FOIA	Cullen Wyatt	Cazenove European Equity Absolute Return Fund Limited	7/8/2005
05-06703-FOIA	Dan Digman 1263556	Criminal Prosecution	7/8/2005
05-00218-APPS	Carolyn Lamm	InVision Technologies Inc	7/8/2005
05-06700-FOIA	William Fagnes	Stockham Valve Pipe & Fitting, Inc.	7/8/2005
05-06690-FOIA	Lisa Bruley	Sherwin P. Brown	7/8/2005
05-06695-FOIA	Lisa Bruley	Jamerica Financial	7/8/2005
05-06704-FOIA	Michael Spence	MarQuest, Inc.	7/8/2005
05-06682-FOIA	Michael Spence	Robert R. Morris	7/8/2005
05-06699-FOIA	Michael Spence	Marc A. Weisman	7/8/2005
05-06692-FOIA	Michael Spence	Millennium Seacarriers	7/8/2005
05-06693-FOIA	Michael Spence	Clint Tyron	7/8/2005
05-06712-FOIA	Michael Spence	Benzopetrol Company	7/8/2005
05-06708-FOIA	Michael Spence	Paul S. Rapello	7/8/2005
05-06709-FOIA	Michael Spence	MaritimeDirect.com	7/8/2005
05-06719-FOIA	Michael Spence	Enskilda Securities, Inc.	7/8/2005
05-06720-FOIA	Michael Spence	Meadow Valley Contractors	7/8/2005
05-06665-FOIA	Michael Spence	Kedma, Ltd.	7/8/2005
05-06689-FOIA	Michael Spence	Bradley E. Larson	7/8/2005
05-06684-FOIA	Michael Spence	Pacific Millennium	7/8/2005
05-06685-FOIA	Michael Spence	Ready Mix, Inc.	7/8/2005
05-06675-FOIA	Michael Spence	Meadow Valley Corporation	7/8/2005
05-06677-FOIA	Michael Spence	Meadow Valley Construction	7/8/2005
05-06678-FOIA	Michael Spence	Olympic Tower Associates	7/8/2005
05-06672-FOIA	Michael Spence	Central American Steamship	7/8/2005
05-06666-FOIA	Michael Weiner	U S Office Products, Inc.	7/8/2005
05-06669-FOIA	J. Patrick Gavin	Pomeroy IT Solutions, Inc.	7/8/2005
05-06671-FOIA	J. Patrick Gavin	WCI Communities Inc.	7/8/2005
05-06674-FOIA	J. Patrick Gavin	Accredited Home Lenders Company	7/8/2005
05-06679-FOIA	J. Patrick Gavin	BancFirst Corporation	7/8/2005
05-06683-FOIA	J. Patrick Gavin	BP P.L.C.	7/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06686-FOIA	J. Patrick Gavin	United State Lime & Minierals Inc.	7/8/2005
05-06687-FOIA	J. Patrick Gavin	CTS Corporation	7/8/2005
05-06717-FOIA	J. Patrick Gavin	Pepsi Bottling Group Inc.	7/8/2005
05-06714-FOIA	J. Patrick Gavin	SeeBeyond Technology Corporation	7/8/2005
05-06715-FOIA	J. Patrick Gavin	Longview Fibre Company	7/8/2005
05-06691-FOIA	J. Patrick Gavin	Centene Corporation	7/8/2005
05-06696-FOIA	J. Patrick Gavin	Pinnacle Systems, Inc.	7/8/2005
05-06697-FOIA	J. Patrick Gavin	Register.com Inc.	7/8/2005
05-06698-FOIA	J. Patrick Gavin	Olin Corporation	7/8/2005
05-06701-FOIA	J. Patrick Gavin	Clark, Inc.	7/8/2005
05-06705-FOIA	J. Patrick Gavin	Flushing Financial Corporation	7/8/2005
05-06736-FOIA	J. Patrick Gavin	Micros Systems, Inc.	7/11/2005
05-06748-FOIA	J. Patrick Gavin	Global Imaging Systems, Inc.	7/11/2005
05-06745-FOIA	J. Patrick Gavin	Aqua America Inc	7/11/2005
05-06734-FOIA	J. Patrick Gavin	FMC Technologies, Inc.	7/11/2005
05-06741-FOIA	J. Patrick Gavin	Syntel, Inc.	7/11/2005
05-06742-FOIA	J. Patrick Gavin	Hudson United Bancorp.	7/11/2005
05-06729-FOIA	J. Patrick Gavin	W-H Energy Services, Inc.	7/11/2005
05-06730-FOIA	J. Patrick Gavin	Zebra Technologies Corporation	7/11/2005
05-06723-FOIA	J. Patrick Gavin	Syntel, Inc.	7/11/2005
05-06786-FOIA	J. Patrick Gavin	Hancock Holding Company	7/11/2005
05-06790-FOIA	J. Patrick Gavin	Marshall Edwards Inc	7/11/2005
05-06791-FOIA	J. Patrick Gavin	Micros Systems Inc	7/11/2005
05-06768-FOIA	J. Patrick Gavin	Digital Insight Corporation	7/11/2005
05-06775-FOIA	J. Patrick Gavin	Epicor Software Corporation	7/11/2005
05-06780-FOIA	J. Patrick Gavin	Gaylord Entertainment Company	7/11/2005
05-06735-FOIA	Robert Motz	MaloneZeris LLC	7/11/2005
05-06762-FOIA	Robert Motz	Zeris Interactive Ltd	7/11/2005
05-06778-FOIA	Robert Motz	Troy D Walker	7/11/2005
05-06773-FOIA	Robert Motz	Malone Advertising Inc	7/11/2005
05-06784-FOIA	Robert Motz	Frederick E Bidwell	7/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06794-FOIA	Robert Motz	Frederick E Bidwell	7/11/2005
05-06724-FOIA	Richard Harrison	Symantec Corp.	7/11/2005
05-06725-FOIA	Richard Harrison	Bone Care International, Inc.	7/11/2005
05-06726-FOIA	Richard Harrison	Seabulk International, Inc.	7/11/2005
05-06731-FOIA	Richard Harrison	Horizon PCS, Inc.	7/11/2005
05-06732-FOIA	Richard Harrison	Thomas Industries, Inc.	7/11/2005
05-06737-FOIA	Richard Harrison	Prime Group Realty Trust	7/11/2005
05-06738-FOIA	Richard Harrison	SVB Financial Services, Inc.	7/11/2005
05-06743-FOIA	Richard Harrison	Pioneer Series Trust II (File # 333-126374)	7/11/2005
05-06744-FOIA	Richard Harrison	Pioneer Series Trust IV (File # 333-126395)	7/11/2005
05-06746-FOIA	Richard Harrison	Veritas Software Corp /DE/	7/11/2005
05-06749-FOIA	Richard Harrison	Pioneer Series Trust (File # 333-126373)	7/11/2005
05-06754-FOIA	Richard Harrison	Pioneer Bond Fund /DE/ (File # 333-126367)	7/11/2005
05-06755-FOIA	Richard Harrison	Pioneer America Income Trust (File # 333-126366)	7/11/2005
05-06756-FOIA	Richard Harrison	CNI Charter Funds (File # 333-126361)	7/11/2005
05-06757-FOIA	Richard Harrison	MFS Series Trust XII (File # 333-126328)	7/11/2005
05-06759-FOIA	Richard Harrison	Pioneer Series Trust IV (File # 333-126384)	7/11/2005
05-06760-FOIA	Richard Harrison	Small Cap Value Fund, Inc. (File # 333-126383)	7/11/2005
05-06761-FOIA	Richard Harrison	Pioneer Value Fund (File # 333-126377)	7/11/2005
05-06751-FOIA	Richard Harrison	Pioneer Fund /MA/ (File # 333-126368)	7/11/2005
05-06752-FOIA	Richard Harrison	Pioneer Money Market Trust (File # 333-126372)	7/11/2005
05-06763-FOIA	Richard Harrison	Pioneer Tax Free Income Fund (File # 333-126376)	7/11/2005
05-06764-FOIA	Richard Harrison	Pioneer Mid Cap Value Fund (File # 333-126371)	7/11/2005
05-06769-FOIA	Richard Harrison	Matrix Service Co. (File # 333-117077)	7/11/2005
05-06770-FOIA	Richard Harrison	Implant Sciences Corp. (File # 333-124058)	7/11/2005
05-06771-FOIA	Richard Harrison	Enerplus Resources Fund	7/11/2005
05-06772-FOIA	Richard Harrison	Smart Video Technologies, Inc. (File # 333-124918)	7/11/2005
05-06776-FOIA	Richard Harrison	Call Net Enterprises Inc	7/11/2005
05-06777-FOIA	Richard Harrison	Rogers Communications Inc	7/11/2005
05-06766-FOIA	Richard Harrison	Pioneer Ibbotson Asset Allocation Series (File # 333-126370)	7/11/2005
05-06767-FOIA	Richard Harrison	Distribution & Service D & S SA	7/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06781-FOIA	Richard Harrison	Genzyme Corp	7/11/2005
05-06782-FOIA	Richard Harrison	FNX Mining Co Inc	7/11/2005
05-06783-FOIA	Richard Harrison	Pioneer Short Term Income Fund (333-126375)	7/11/2005
05-06787-FOIA	Richard Harrison	Seacor Holdings Inc /NEW/	7/11/2005
05-06788-FOIA	Richard Harrison	IPCS Inc	7/11/2005
05-06792-FOIA	Richard Harrison	Gardner Denver Inc	7/11/2005
05-06793-FOIA	Richard Harrison	Fulton Financial Corp	7/11/2005
05-06722-FOIA	Gwen Gray	Accounting Restatements	7/11/2005
05-06750-FOIA	Babara Clark	American International Group Inc	7/11/2005
05-06727-FOIA	Danielle Carpenter	Yong Liu	7/11/2005
05-06721-FOIA	Danielle Carpenter	William L. Mok	7/11/2005
05-06733-FOIA	Danielle Carpenter	Parmenides Fund, LP	7/11/2005
05-06739-FOIA	Danielle Carpenter	Jeff Meyer	7/11/2005
05-06740-FOIA	Danielle Carpenter	SPM Jr., LLC	7/11/2005
05-06747-FOIA	Danielle Carpenter	Structured Portfolio Management, LLC	7/11/2005
05-06785-FOIA	Danielle Carpenter	Neuberger Berman LLC	7/11/2005
05-06789-FOIA	Danielle Carpenter	Structured Servicing Transactions Group LLC	7/11/2005
05-06774-FOIA	Danielle Carpenter	Neuberger Berman Asset Management LLC	7/11/2005
05-06765-FOIA	Danielle Carpenter	LibertyView Income Fund LLC	7/11/2005
05-06753-FOIA	Danielle Carpenter	Christofferson, Robb & Company, LLP	7/11/2005
05-06779-FOIA	Danielle Carpenter	LibertyView Income Inc	7/11/2005
05-06758-FOIA	TOMMIE WOFFORD	David John Thompson	7/11/2005
05-06728-FOIA	TOMMIE WOFFORD	Russel H McMeekin	7/11/2005
05-06796-FOIA	Susan Lichtcsien	Navigator Group, Inc.	7/12/2005
05-06799-FOIA	Susan Lichtcsien	Charles P. Stevenson Jr.	7/12/2005
05-06803-FOIA	Mark Mierzejewski	Philip L. Yang	7/12/2005
05-06797-FOIA	Mark Mierzejewski	Willowbridge Associates Inc.	7/12/2005
05-00004-CHAI	Steven Crowther	Number of Fails and Compliance with FOIA	7/12/2005
05-00005-CHAI	Richard Brenne	ChevronTexaco	7/12/2005
05-06798-FOIA	Yakayla Smith	The Guide to Taking Testimony in Investigation Proceeds	7/12/2005
05-06800-FOIA	Renee Karalian	Friedman, Bilings, Ramsey Group, Inc. and FBR & Co	7/12/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06801-FOIA	Shana Smith	Berkshire Hathaway, Inc.	7/12/2005
05-06802-FOIA	Barbara Clark	American International Group	7/12/2005
05-00042-FOPA	Keith Vogt	Keith T. Vogt	7/12/2005
05-06804-FOIA	Carmen Balber	First Pension Corporation et al	7/12/2005
05-06805-FOIA	Roger Renkas	AMN Healthcare	7/12/2005
05-00219-APPS	John Lambros	John G Lambros	7/12/2005
05-06795-FOIA	J. Patrick Gavin	Wyeth	7/12/2005
05-06808-FOIA	J. Patrick Gavin	Systemax Inc	7/13/2005
05-06814-FOIA	J. Patrick Gavin	Perot Systems Corporation	7/13/2005
05-06816-FOIA	J. Patrick Gavin	Netgear Inc	7/13/2005
05-06824-FOIA	J. Patrick Gavin	Diversa Corporation	7/13/2005
05-06829-FOIA	J. Patrick Gavin	StarTek Inc.	7/13/2005
05-06834-FOIA	J. Patrick Gavin	Applied Signal Technology, Inc.	7/13/2005
05-06831-FOIA	J. Patrick Gavin	Choicepoint, Inc.	7/13/2005
05-06840-FOIA	J. Patrick Gavin	Altair Nanotechnologies, Inc.	7/13/2005
05-06849-FOIA	J. Patrick Gavin	Wild Oats Markets, Inc.	7/13/2005
05-06845-FOIA	J. Patrick Gavin	Helen of Troy, Ltd.	7/13/2005
05-06807-FOIA	Martin Banks	The Clinical Laboratory Improvement Amendments of 1988	7/13/2005
05-06830-FOIA	S. Kirk Ingebretsen	Claude LeFebvre and/or Dennis S. Herula et al	7/13/2005
05-06847-FOIA	James Cummins	Pre - September 11, 2001 Trading Review, May 15, 2002	7/13/2005
05-06841-FOIA	Wayne Josel	Milestone Financial Services, Inc.	7/13/2005
05-06850-FOIA	Andy Isaacson	GE Invision f.k.a. Invision Technologies	7/13/2005
05-06817-FOIA	Shana Smith	Relational Investor LLC	7/13/2005
05-06846-FOIA	Shana Smith	Berkshire Hathaway Inc	7/13/2005
05-06818-FOIA	W. Greco	Bathgate Capital Partners LLC	7/13/2005
05-06809-FOIA	Cullen Wyatt	Claire Griffiths	7/13/2005
05-06813-FOIA	Cullen Wyatt	Gibbs Tyser Capital Management LP	7/13/2005
05-06815-FOIA	Cullen Wyatt	Maga Smaller Companies Fund Ltd	7/13/2005
05-06820-FOIA	Cullen Wyatt	Otus Capital Management L.P.	7/13/2005
05-06826-FOIA	Cullen Wyatt	Otus Capital Management L.P.	7/13/2005
05-06827-FOIA	Cullen Wyatt	Otus Capital Management L.P.	7/13/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06828-FOIA	Cullen Wyatt	Andrew Gibbs	7/13/2005
05-06839-FOIA	Cullen Wyatt	Maga Smaller Companies Fund, Ltd.	7/13/2005
05-06823-FOIA	Cullen Wyatt	Otus Capital Management Ltd	7/13/2005
05-06851-FOIA	Jean Wescott	Pre-September 11,2001 Trading Review	7/13/2005
05-06852-FOIA	David Meyer	WRP Investments, Inc.	7/13/2005
05-06843-FOIA	Cullen Wyatt	Gibbs Tyser Capital Management L.P.	7/13/2005
05-06832-FOIA	Cullen Wyatt	Otus Capital Management Ltd.	7/13/2005
05-06833-FOIA	Cullen Wyatt	Claire Griffiths	7/13/2005
05-06848-FOIA	Cullen Wyatt	Andrew Gibbs	7/13/2005
05-06835-FOIA	Clint Binkley	Mariano P. Caillet-Bois	7/13/2005
05-06825-FOIA	Clint Binkley	Ricardo S. Maxit	7/13/2005
05-06812-FOIA	Susan Lichtcsien	Kurt Andrew Feuerman	7/13/2005
05-06822-FOIA	Susan Lichtcsien	Norodin Investment Management LP	7/13/2005
05-06844-FOIA	Susan Lichtcsien	Caxton Assoiates, LLC	7/13/2005
05-06837-FOIA	Megan Lynch	Eagle Trading Systems, Inc.	7/13/2005
05-06819-FOIA	Megan Lynch	Menachem Sternberg	7/13/2005
05-06810-FOIA	Megan Lynch	Jack H. Doueck	7/13/2005
05-06806-FOIA	Megan Lynch	Stillwater Capital	7/13/2005
05-06842-FOIA	Clint Binkley	Copernico Latin America Strategic Fund	7/13/2005
05-06821-FOIA	Clint Binkley	Thomas W. Keesee	7/13/2005
05-06811-FOIA	Clint Binkley	Copernico Capital Partners Ltd	7/13/2005
05-06858-FOIA	Laura Triay	Ashton Lee	7/14/2005
05-06859-FOIA	Laura Triay	Lucas Capital Management LLC CRD # 112050	7/14/2005
05-06867-FOIA	Laura Triay	George Lucas, Jr.	7/14/2005
05-06854-FOIA	Laura Triay	Russell Lucas	7/14/2005
05-06864-FOIA	Cullen Wyatt	Allen David Moore	7/14/2005
05-06862-FOIA	Rory Hood	Allied Waste North America Inc	7/14/2005
05-06866-FOIA	Scott Cote'	Maximum Dynamics	7/14/2005
05-06868-FOIA	Carol Remond	05-05810	7/14/2005
05-06865-FOIA	Michael Spence	John D. Schiller	7/14/2005
05-06855-FOIA	Michael Spence	Energy Asset Management LLC	7/14/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06856-FOIA	Michael Spence	EnerVen LLC	7/14/2005
05-06857-FOIA	Michael Spence	David W. Griffin	7/14/2005
05-06860-FOIA	Michael Spence	InterGen North America	7/14/2005
05-06861-FOIA	Michael Spence	Energy XXI	7/14/2005
05-06863-FOIA	Michael Spence	Steven A. Weyel	7/14/2005
05-06872-FOIA	J. Patrick Gavin	Vicorp Restaurants, Inc.	7/15/2005
05-06873-FOIA	J. Patrick Gavin	Vasco Data Security International, Inc.	7/15/2005
05-06874-FOIA	J. Patrick Gavin	Valero Energy Corporation	7/15/2005
05-06875-FOIA	J. Patrick Gavin	Utstarcom, Inc.	7/15/2005
05-06876-FOIA	J. Patrick Gavin	Stratasys, Inc.	7/15/2005
05-06877-FOIA	J. Patrick Gavin	Premcor, Inc.	7/15/2005
05-06878-FOIA	J. Patrick Gavin	Nucor Corporation	7/15/2005
05-06879-FOIA	J. Patrick Gavin	Journal Register Company	7/15/2005
05-06880-FOIA	J. Patrick Gavin	Hub Group Employee Profit Sharing and Trust Group	7/15/2005
05-06881-FOIA	J. Patrick Gavin	First Defiance Financial Corporation	7/15/2005
05-06882-FOIA	J. Patrick Gavin	Bristol West Holdings, Inc.	7/15/2005
05-06883-FOIA	J. Patrick Gavin	Barret Business Services, Inc.	7/15/2005
05-06884-FOIA	J. Patrick Gavin	Bally Total Fitness Holding Corporation	7/15/2005
05-06885-FOIA	J. Patrick Gavin	Audible, inc.	7/15/2005
05-06886-FOIA	J. Patrick Gavin	Ashland, Inc.	7/15/2005
05-06887-FOIA	J. Patrick Gavin	Ambassadors Group, Inc.	7/15/2005
05-06888-FOIA	J. Patrick Gavin	Advanced Neuromodulation Systems, Inc.	7/15/2005
05-06889-FOIA	J. Patrick Gavin	Advanced Digital Information Corporation	7/15/2005
05-06890-FOIA	J. Patrick Gavin	Center Financial Corporation	7/15/2005
05-06870-FOIA	J. Patrick Gavin	BJ Services Company	7/15/2005
05-06869-FOIA	Larry Arnold	Log of FOIA requests received since January 1, 2002	7/15/2005
05-06871-FOIA	Larry Arnold	U.S. Representative Christopher Cox	7/15/2005
05-06891-FOIA	George Britt #322883	Virginia Beach Police Department's Police Procedures"	7/15/2005
05-06906-FOIA	David Hauptmann	Sen. Bill Nelson (Florida)	7/18/2005
05-06901-FOIA	David Hauptmann	Sen. Kent Conrad (North Dakota)	7/18/2005
05-06928-FOIA	David Hauptmann	Sen. Robert Byrd (West Virginia)	7/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06941-FOIA	David Hauptmann	Sen. Hillary Clinton (New York)	7/18/2005
05-06937-FOIA	David Hauptmann	Sen. Maria Cantwell (Washington)	7/18/2005
05-06923-FOIA	David Hauptmann	Rep. Harold Ford (Tennessee 9th District)	7/18/2005
05-06924-FOIA	David Hauptmann	Rep. Bernie Sanders (Vermont At-Large)	7/18/2005
05-06919-FOIA	David Hauptmann	Rep. Ben Cardin (Maryland 3rd District)	7/18/2005
05-06915-FOIA	David Hauptmann	Sen. Debbie Stabenow (Michigan)	7/18/2005
05-06911-FOIA	David Hauptmann	Sen. Ben Nelson (Nebraska)	7/18/2005
05-06932-FOIA	Kevin O'Donnell	Reed E. Slatkin	7/18/2005
05-06950-FOIA	Kimberly Devane	Government Purchase Card Holders	7/18/2005
05-06954-FOIA	Jaclyn Liu	FOIA Request # 2004-6507	7/18/2005
05-06897-FOIA	Mark Mierzejewski	Saybrook Capital, LLC	7/18/2005
05-06933-FOIA	Mark Mierzejewski	Jon P. Schotz	7/18/2005
05-00220-APPS	J. Patrick Gavin	ITT Industries Inc	7/18/2005
05-00221-APPS	J. Patrick Gavin	Homestore Inc	7/18/2005
05-00222-APPS	J. Patrick Gavin	General Electric Company	7/18/2005
05-00223-APPS	J. Patrick Gavin	Landry's Restaurants Inc	7/18/2005
05-00046-FOPA	Vladislav Zubkis	Vladislav Steven Zubkis	7/18/2005
05-06892-FOIA	Robert Motz	John D. Schiller, Jr.	7/18/2005
05-06902-FOIA	Robert Motz	Particle Drilling Technologies, Inc.	7/18/2005
05-06907-FOIA	Robert Motz	EnerVen, LLC	7/18/2005
05-06896-FOIA	Robert Motz	David West Griffin	7/18/2005
05-06946-FOIA	Robert Motz	Steven A. Weyel	7/18/2005
05-06927-FOIA	Robert Motz	Energy Asset Management, LLC	7/18/2005
05-06893-FOIA	Richard Harrison	Enterprise Products Partners L.P. (File # 333-125097)	7/18/2005
05-06894-FOIA	Richard Harrison	Cryocor, Inc. (File # 333-123841)	7/18/2005
05-06895-FOIA	Richard Harrison	Omicorder Technologies, Inc. (File # 333-122328)	7/18/2005
05-06898-FOIA	Richard Harrison	Procera Networks, Inc. (File # 333-122363)	7/18/2005
05-06899-FOIA	Richard Harrison	Boston Capital Real Estate Investment Trust, Inc. (File # 333-108426)	7/18/2005
05-06900-FOIA	Richard Harrison	Kronos International, Inc. (File # 333-123680)	7/18/2005
05-06903-FOIA	Richard Harrison	Pico Holdings, Inc. / NEW (File # 333-125362)	7/18/2005
05-06904-FOIA	Richard Harrison	Patriot Gold Corp. (File # 333-112424)	7/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06905-FOIA	Richard Harrison	Vantagemed Corp. (File # 333-123915)	7/18/2005
05-06908-FOIA	Richard Harrison	IWO Holdings, Inc. (File # 333-124578)	7/18/2005
05-06909-FOIA	Richard Harrison	Curon Medical, Inc. (File # 333-125045)	7/18/2005
05-06910-FOIA	Richard Harrison	Wrigley WM JR Co. (File # 333-123077)	7/18/2005
05-06912-FOIA	Richard Harrison	Seachange International, Inc.	7/18/2005
05-06913-FOIA	Richard Harrison	Marchex, Inc. (File # 333-125372)	7/18/2005
05-06914-FOIA	Richard Harrison	Vista Exploration Corp. (File # 333-123827)	7/18/2005
05-06916-FOIA	Richard Harrison	Medical Properties Trust, Inc. (File # 333-119957)	7/18/2005
05-06917-FOIA	Richard Harrison	Cephalon, Inc.	7/18/2005
05-06918-FOIA	Richard Harrison	Carters, Inc.	7/18/2005
05-06920-FOIA	Richard Harrison	Redwood Microcap Fund, Inc.	7/18/2005
05-06921-FOIA	Richard Harrison	Businesses Outside of North America	7/18/2005
05-06922-FOIA	Richard Harrison	Doubleclick, Inc.	7/18/2005
05-06925-FOIA	Richard Harrison	Tarantella, Inc.	7/18/2005
05-06926-FOIA	Richard Harrison	Polymedica Corp.	7/18/2005
05-06929-FOIA	Richard Harrison	Crdentia Corp. (File # 333-125394)	7/18/2005
05-06930-FOIA	Richard Harrison	Tortoise Energy Infrastructure Corp. (File # 333-124079)	7/18/2005
05-06931-FOIA	Richard Harrison	Kenilworth Systems, Corp.	7/18/2005
05-06934-FOIA	Richard Harrison	Omnivision Technologies, Inc. (File # 333-125069)	7/18/2005
05-06935-FOIA	Richard Harrison	Galaxy Nutritional Foods, Inc.	7/18/2005
05-06936-FOIA	Richard Harrison	Interactive Multimedia Network, Inc.	7/18/2005
05-06938-FOIA	Richard Harrison	Merge Technologies, Inc. (File # 333-125603)	7/18/2005
05-06939-FOIA	Richard Harrison	Public Co Management Corp	7/18/2005
05-06940-FOIA	Richard Harrison	Viryonet, Ltd.	7/18/2005
05-06942-FOIA	Richard Harrison	Perficient, Inc. (File # 333-123177)	7/18/2005
05-06943-FOIA	Richard Harrison	Belden & Blake Corp. / OH	7/18/2005
05-06944-FOIA	Richard Harrison	Sun Microsystems, Inc.	7/18/2005
05-06945-FOIA	Richard Harrison	Oshkosh B Gosh, Inc.	7/18/2005
05-06947-FOIA	Richard Harrison	Monsanto Co. /New (File # 333-125193)	7/18/2005
05-06948-FOIA	Richard Harrison	Black Pearl Funds (File # 333-126477)	7/18/2005
05-06949-FOIA	Richard Harrison	Capital Gold Corp. (File # 333-123216)	7/18/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-06951-FOIA	Richard Harrison	Penn National Gaming, Inc. (File # 333-125274)	7/18/2005
05-06952-FOIA	Richard Harrison	Mechanical Technology, Inc. (File # 333-121868)	7/18/2005
05-06953-FOIA	Richard Harrison	Beijing Med Pharm Corp. (File # 333-121957)	7/18/2005
05-06955-FOIA	J. Patrick Gavin	GlobalSantaFe Corporation	7/19/2005
05-06956-FOIA	J. Patrick Gavin	Bunge Limited	7/19/2005
05-06957-FOIA	J. Patrick Gavin	Glacier Bancorp Inc	7/19/2005
05-06958-FOIA	J. Patrick Gavin	Protective Life Corporation	7/19/2005
05-06959-FOIA	J. Patrick Gavin	First Citizens Bancorporation Inc	7/19/2005
05-06961-FOIA	J. Patrick Gavin	Black Hills Corporation	7/19/2005
05-06962-FOIA	J. Patrick Gavin	Covance Inc	7/19/2005
05-06963-FOIA	J. Patrick Gavin	Harsco Corporation	7/19/2005
05-06964-FOIA	J. Patrick Gavin	Rowan Companies Inc	7/19/2005
05-06965-FOIA	J. Patrick Gavin	Ryland Group Inc	7/19/2005
05-00224-APPS	J. Patrick Gavin	AtheroGenics Inc	7/19/2005
05-00225-APPS	J. Patrick Gavin	Hanger Orthopedic Group Inc	7/19/2005
05-00226-APPS	J. Patrick Gavin	Providian Financial Corporation	7/19/2005
05-00227-APPS	J. Patrick Gavin	Lennar Corporation	7/19/2005
05-00228-APPS	J. Patrick Gavin	Hasbro Inc	7/19/2005
05-06968-FOIA	Mark Mierzejewski	LaSalle Asset Management LLC	7/19/2005
05-06969-FOIA	Mark Mierzejewski	Paul James Kim	7/19/2005
05-06967-FOIA	Susan Lichtcsien	AQR Capital Management LLC	7/19/2005
05-06966-FOIA	Deborah Solomon	Station Place	7/19/2005
05-01139-PA	Robert Castro	Robert J. Castro	7/19/2005
05-06970-FOIA	Thomas McKee	Wells Fargo Home Mortgage	7/19/2005
05-06960-FOIA	Justin Barton	Colony Capital Inc	7/19/2005
05-06971-FOIA	John Gross	American Airlines	7/20/2005
05-06973-FOIA	John Wylie	Michael Kenneth Parsons and or Heartland Securities Datek	7/20/2005
05-06975-FOIA	Peter Nicholas	Weider Publications	7/20/2005
05-06976-FOIA	Peter Nicholas	American Media, Inc.	7/20/2005
05-06984-FOIA	Peter Nicholas	American Media Operations	7/20/2005
05-06979-FOIA	Claire Leheny	Ivestment Management Staff Report dated July 11, 2005	7/20/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00047-FOIPA	Barry Angeline	Barry David Angeline	7/20/2005
05-06985-FOIA	Cullen Wyatt	Stuart Mitchell	7/20/2005
05-06986-FOIA	Cullen Wyatt	The Charlemagne Fund Limited	7/20/2005
05-06972-FOIA	Cullen Wyatt	J O Hambro Investment Management Limited	7/20/2005
05-06987-FOIA	Frank Salamone	Office Max	7/20/2005
05-06988-FOIA	Frank Salamone	Fox Entertainment and News Corp.	7/20/2005
05-06989-FOIA	Frank Salamone	Cox Communications and Cox Enterprises	7/20/2005
05-06977-FOIA	Frank Salamone	Limited Brands	7/20/2005
05-06974-FOIA	Frank Salamone	Akamai Tech	7/20/2005
05-06980-FOIA	Mark Mierzejewski	Robert Jeremy Grantham	7/20/2005
05-00229-APPS	J. Patrick Gavin	Landry's Restaurants Inc	7/20/2005
05-00230-APPS	J. Patrick Gavin	USA Interactive Corp	7/20/2005
05-00231-APPS	J. Patrick Gavin	Honeywell International Inc.	7/20/2005
05-00232-APPS	J. Patrick Gavin	McAfee Inc	7/20/2005
05-00233-APPS	J. Patrick Gavin	Amylin Pharmaceuticals Inc	7/20/2005
05-00234-APPS	J. Patrick Gavin	Silicon Storage Technology Inc	7/20/2005
05-00235-APPS	J. Patrick Gavin	Doral Financial Corporation	7/20/2005
05-00236-APPS	J. Patrick Gavin	OSI Pharmaceuticals, Inc.	7/20/2005
05-00237-APPS	J. Patrick Gavin	Whole Foods Market Inc	7/20/2005
05-00238-APPS	J. Patrick Gavin	Amerada Hess Corporation	7/20/2005
05-00239-APPS	J. Patrick Gavin	Red Robin Gourmet Burgers Inc	7/20/2005
05-00240-APPS	J. Patrick Gavin	Lowes Companies Inc	7/20/2005
05-00241-APPS	J. Patrick Gavin	Black Box Incorporated	7/20/2005
05-06978-FOIA	Julie Vianale	General Motors Corp	7/20/2005
05-06981-FOIA	Julie Vianale	Ford Motor Co	7/20/2005
05-06983-FOIA	Julie Vianale	Daimler Chrysler	7/20/2005
05-00242-APPS	J. Patrick Gavin	Ace Limited	7/21/2005
05-00243-APPS	J. Patrick Gavin	RadioShack Corporation	7/21/2005
05-06992-FOIA	J. Patrick Gavin	Charles River Laboratories International Inc	7/21/2005
05-06994-FOIA	J. Patrick Gavin	Plains Exploration & Production Company	7/21/2005
05-06998-FOIA	J. Patrick Gavin	Lyondell Chemical Company	7/21/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07002-FOIA	J. Patrick Gavin	Steel Dynamics Inc	7/21/2005
05-07003-FOIA	J. Patrick Gavin	Bright Horizons Family Solutions Inc	7/21/2005
05-07006-FOIA	J. Patrick Gavin	Cal Dive International Inc	7/21/2005
05-07007-FOIA	J. Patrick Gavin	Parexel International Corporation	7/21/2005
05-07009-FOIA	J. Patrick Gavin	eBay Inc	7/21/2005
05-07011-FOIA	J. Patrick Gavin	Kimco Realty Corporation	7/21/2005
05-07012-FOIA	J. Patrick Gavin	USEC Inc	7/21/2005
05-06997-FOIA	Mark Mierzejewski	Richard W Shea Jr	7/21/2005
05-06999-FOIA	Mark Mierzejewski	Acorn Capital Group LLC	7/21/2005
05-07013-FOIA	Mark Mierzejewski	Vardon Captial Management LLC	7/21/2005
05-07000-FOIA	Lindsay Calfee	Leap Wireless International Inc	7/21/2005
05-06996-FOIA	Lindsay Calfee	Sprint Corporation	7/21/2005
05-06993-FOIA	Lindsay Calfee	FairPoint Communications Inc	7/21/2005
05-07005-FOIA	Lindsay Calfee	Orbimage Inc	7/21/2005
05-07014-FOIA	Lindsay Calfee	Knology Inc	7/21/2005
05-07010-FOIA	Lindsay Calfee	Multimedia Games Inc	7/21/2005
05-07008-FOIA	Lindsay Calfee	Valor Communications Group	7/21/2005
05-06990-FOIA	Michelle Furukawa	Metris Companies Inc	7/21/2005
05-06991-FOIA	Barry Sine	Celadon Group Inc	7/21/2005
05-07001-FOIA	Barry Sine	Michael Baker Corporation	7/21/2005
05-06995-FOIA	Barry Sine	Greg Manning Auctions Inc	7/21/2005
05-07004-FOIA	Barry Sine	TurboChef Technologies Inc	7/21/2005
05-07023-FOIA	Laura Howard	SkyWay Communications Holding Corporation	7/22/2005
05-07025-FOIA	Brian Seitz	Roderick J. Clark	7/22/2005
05-07015-FOIA	Mark Mierzejewski	Robert S. Jaffe	7/22/2005
05-07024-FOIA	Mark Mierzejewski	Force Capital Management LLC	7/22/2005
05-07017-FOIA	J. Patrick Gavin	Myers Industries, Inc.	7/22/2005
05-07018-FOIA	J. Patrick Gavin	International House of Pancakes Inc	7/22/2005
05-07019-FOIA	J. Patrick Gavin	Edge Petroleum Corporation	7/22/2005
05-07020-FOIA	J. Patrick Gavin	Cleveland-Cliffs Inc	7/22/2005
05-07021-FOIA	J. Patrick Gavin	Cincinnati Bell Inc	7/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07022-FOIA	J. Patrick Gavin	ElkCorp.	7/22/2005
05-07026-FOIA	J. Patrick Gavin	Dril Quip Inc	7/22/2005
05-07027-FOIA	J. Patrick Gavin	Tidewater Inc	7/22/2005
05-07028-FOIA	J. Patrick Gavin	Quixote Corporation	7/22/2005
05-07029-FOIA	J. Patrick Gavin	P H Glatfelter Company	7/22/2005
05-07016-FOIA	Felecia Stern	Abercrombie & Fitch	7/22/2005
05-07086-FOIA	Mark Edwards	Alkermes Inc	7/25/2005
05-07087-FOIA	Mark Edwards	American Home Products Corp and or Wyeth	7/25/2005
05-07088-FOIA	Mark Edwards	American Biogenetic Sciences	7/25/2005
05-07081-FOIA	Mark Edwards	AMBI Inc	7/25/2005
05-07097-FOIA	Mark Edwards	AndRx Corporation	7/25/2005
05-07049-FOIA	Mark Edwards	Alkermes Inc	7/25/2005
05-07058-FOIA	Mark Edwards	Shaman Pharmaceuticals Inc	7/25/2005
05-07056-FOIA	Mark Edwards	Alteon Inc	7/25/2005
05-07065-FOIA	Mark Edwards	Seragen Inc	7/25/2005
05-07071-FOIA	Mark Edwards	Amarillo Biosciences Inc	7/25/2005
05-07072-FOIA	Mark Edwards	Amgen	7/25/2005
05-07035-FOIA	Mark Edwards	Alteon Inc	7/25/2005
05-07041-FOIA	Mark Edwards	Alkermes Inc	7/25/2005
05-07042-FOIA	Mark Edwards	Alliance Pharmaceutical Corp	7/25/2005
05-07031-FOIA	Mark Edwards	Amylin Pharmaceuticals Inc	7/25/2005
05-07032-FOIA	Robert Motz	Beneficial Capital Corp	7/25/2005
05-07036-FOIA	Robert Motz	PayQuik Inc	7/25/2005
05-07093-FOIA	Robert Motz	Ithaca Brewing Company	7/25/2005
05-07066-FOIA	Robert Motz	John J. Hoey	7/25/2005
05-07098-FOIA	Robert Motz	Hewhall Associates LLC	7/25/2005
05-07089-FOIA	Robert Motz	Katalyst LLC aka Katalyst Securities aka LLC Katalyst Venture Partners aka Internet Katalyst LLC aka Internet Katalyst Investment Partners LP	7/25/2005
05-07090-FOIA	Robert Motz	iVenture Capital Group Inc	7/25/2005
05-07091-FOIA	Robert Motz	Petroleum Heat and Power Company	7/25/2005
05-07084-FOIA	Robert Motz	James S. Cassano	7/25/2005
05-07085-FOIA	Robert Motz	Jaguar Acquisition Corp.	7/25/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07074-FOIA	Robert Motz	Jonathan M. Kalman	7/25/2005
05-07075-FOIA	Robert Motz	Charles R. Corl	7/25/2005
05-07076-FOIA	Robert Motz	Ultramar Petroleum Inc	7/25/2005
05-07077-FOIA	Robert Motz	Metropolitan Petroleum Company Inc	7/25/2005
05-07078-FOIA	Robert Motz	Ultramar Petroleum Inc	7/25/2005
05-07064-FOIA	Robert Motz	Atlantic Petroleum Corp	7/25/2005
05-07069-FOIA	Robert Motz	Atlantic Fuels Marketing Inc	7/25/2005
05-07060-FOIA	Robert Motz	Gibbs & Hill Inc	7/25/2005
05-07043-FOIA	Robert Motz	New Forum Publishers Inc	7/25/2005
05-07044-FOIA	Robert Motz	Hondo Oil & Gas Company	7/25/2005
05-07045-FOIA	Robert Motz	Advantix Inc	7/25/2005
05-07038-FOIA	Robert Motz	Apex Learning Inc	7/25/2005
05-07051-FOIA	Robert Motz	Hill International Inc	7/25/2005
05-07052-FOIA	Robert Motz	Hill Environmental Inc	7/25/2005
05-07033-FOIA	Richard Harrison	CCO Holdings LLC 333-122728	7/25/2005
05-07034-FOIA	Richard Harrison	Gigabeam Corp 333-124662	7/25/2005
05-07039-FOIA	Richard Harrison	Surmodics Inc (File #333123524)	7/25/2005
05-07040-FOIA	Richard Harrison	Perficient Inc (File # 333123177)	7/25/2005
05-07046-FOIA	Richard Harrison	Monsanto Co New (File # 333125193)	7/25/2005
05-07047-FOIA	Richard Harrison	Shanda Interactive Entertainment LTD 333-122029	7/25/2005
05-07048-FOIA	Richard Harrison	Cray Inc (File # 333123135)	7/25/2005
05-07050-FOIA	Richard Harrison	Hollis Eden Pharmaceuticals Inc DE 333-107318	7/25/2005
05-07054-FOIA	Richard Harrison	Cryocor Inc (File # 333123841)	7/25/2005
05-07055-FOIA	Richard Harrison	Boston Capital Real Estate Investment Trust Inc (File # 333-108426)	7/25/2005
05-07059-FOIA	Richard Harrison	Detroit Edison Co 333-123926	7/25/2005
05-07061-FOIA	Richard Harrison	Real Estate Income Fund Inc (File # 333124788)	7/25/2005
05-07062-FOIA	Richard Harrison	Middleby Corp (File # 333125716)	7/25/2005
05-07067-FOIA	Richard Harrison	Pico Holdings Inc (File # 333125632)	7/25/2005
05-07068-FOIA	Richard Harrison	Northern States Power Co. (File # 333123494)	7/25/2005
05-07073-FOIA	Richard Harrison	Trident Rowan Group Inc (File # 333124201)	7/25/2005
05-07082-FOIA	Richard Harrison	VCG Holding Corp 333-122938	7/25/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07083-FOIA	Richard Harrison	Fonix Corp (File # 333125350)	7/25/2005
05-07080-FOIA	Richard Harrison	Veridicom International Inc 333-124367	7/25/2005
05-07094-FOIA	Richard Harrison	Franklin Credit Management Corp DE (File # 333125681)	7/25/2005
05-07095-FOIA	Richard Harrison	A4S Technologies Inc 333-124238	7/25/2005
05-07096-FOIA	Richard Harrison	Charter Communications Inc MO 333-121561	7/25/2005
05-07092-FOIA	Richard Harrison	Kronos International Inc (File # 333123680)	7/25/2005
05-07099-FOIA	Richard Harrison	Transcommunity Bankshares Inc 333-125560	7/25/2005
05-07100-FOIA	Richard Harrison	Centerpoint Energy Inc 333-123182	7/25/2005
05-07101-FOIA	Richard Harrison	Comtech Telecommunications Corp DE 333-109928	7/25/2005
05-07079-FOIA	Mark Mierzejewski	Pequot Capital Management Inc	7/25/2005
05-07053-FOIA	Mark Mierzejewski	Steven A Zamsky	7/25/2005
05-07030-FOIA	Kevin Rudiger	Mark V Hurd	7/25/2005
05-07037-FOIA	Ann Turetsky	Tenent Healthcare Corporation	7/25/2005
05-07063-FOIA	Karen Wirt	Number of SEC employees utilizing public transportation at 100 F Street location	7/25/2005
05-07070-FOIA	Jay McKendree	Ramp Corporation fka Medix Resources Inc	7/25/2005
05-07057-FOIA	Danielle Carpenter	MotherRock Energy Fund Ltd	7/25/2005
05-07113-FOIA	Cindy Smith	SEC File Number 8-49672	7/26/2005
05-07115-FOIA	Frederick Huebner	Ponzi Schemes	7/26/2005
05-07124-FOIA	Roger Tolins	Frank Riccio	7/26/2005
05-00247-APPS	Suzanne Llotta	Charles and Toni Behr	7/26/2005
05-07107-FOIA	Susan Lichtcsien	Brett Barakett	7/26/2005
05-07111-FOIA	Susan Lichtcsien	Tremblant Capital LLC	7/26/2005
05-07109-FOIA	Megan Lynch	William A. Ackman	7/26/2005
05-07114-FOIA	Megan Lynch	Pershing Square Capital Management, L.P.	7/26/2005
05-07118-FOIA	Mark Mierzejewski	Sassan Alizadeh	7/26/2005
05-07121-FOIA	Mark Mierzejewski	Highbridge Capital Management LLC	7/26/2005
05-07104-FOIA	Richard Harrison	New Century Reit Inc (File # 333124511)	7/26/2005
05-07106-FOIA	Richard Harrison	Medical Properties Trust Inc (File # 333119957)	7/26/2005
05-07108-FOIA	Richard Harrison	Hancock John Life Insurance Co (File # 333124223)	7/26/2005
05-07116-FOIA	Richard Harrison	LastMinute com PLC	7/26/2005
05-07119-FOIA	Richard Harrison	Sabre Holdings Corp	7/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07122-FOIA	Richard Harrison	Giordano Investment Trust 333-126677	7/26/2005
05-07129-FOIA	Richard Harrison	Pioneer Variable contacts Trust 333-126665	7/26/2005
05-07130-FOIA	Richard Harrison	Interactive Multimeida Network Inc	7/26/2005
05-07131-FOIA	Richard Harrison	Viryanet Ltd	7/26/2005
05-07132-FOIA	Richard Harrison	Seligman Targethorizon ETF Portfolios Inc 333-126647	7/26/2005
05-07133-FOIA	Richard Harrison	Calvert Variable Series Inc 333-126775	7/26/2005
05-07126-FOIA	Richard Harrison	Brandes Investment Trust 811-08614	7/26/2005
05-07102-FOIA	Michael Spence	Prestressed Products Inc	7/26/2005
05-00248-APPS	J. Patrick Gavin	99 Cents Only Stores	7/26/2005
05-00249-APPS	J. Patrick Gavin	Corgentech Inc	7/26/2005
05-07123-FOIA	J. Patrick Gavin	Ciena Corporation	7/26/2005
05-07103-FOIA	J. Patrick Gavin	Possis Medical Inc	7/26/2005
05-07125-FOIA	J. Patrick Gavin	Boyds Collection Ltd	7/26/2005
05-07120-FOIA	J. Patrick Gavin	Fortune Brands Inc	7/26/2005
05-07117-FOIA	J. Patrick Gavin	Nanophase Technologies Corporation	7/26/2005
05-07110-FOIA	J. Patrick Gavin	Biogen Idec Inc	7/26/2005
05-07112-FOIA	J. Patrick Gavin	Neurochem Inc	7/26/2005
05-07105-FOIA	J. Patrick Gavin	Limited Brands Inc	7/26/2005
05-00244-APPS	J. Patrick Gavin	Coca Cola Company	7/26/2005
05-00245-APPS	J. Patrick Gavin	eBay Inc	7/26/2005
05-00246-APPS	J. Patrick Gavin	Adecco SA	7/26/2005
05-07127-FOIA	J. Patrick Gavin	American Home Mortgage Investment Corp	7/26/2005
05-07128-FOIA	J. Patrick Gavin	Illumina Inc	7/26/2005
05-07137-FOIA	J. Patrick Gavin	Tekelec	7/27/2005
05-00252-APPS	J. Patrick Gavin	Lone Star Steakhouse	7/27/2005
05-00253-APPS	J. Patrick Gavin	Scansoft Inc	7/27/2005
05-00254-APPS	J. Patrick Gavin	Cummins Inc	7/27/2005
05-00255-APPS	J. Patrick Gavin	CEC Entertainment Inc	7/27/2005
05-07134-FOIA	J. Patrick Gavin	USG Corporation	7/27/2005
05-07141-FOIA	J. Patrick Gavin	Trex Co Inc	7/27/2005
05-07139-FOIA	J. Patrick Gavin	NVR Inc	7/27/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07145-FOIA	J. Patrick Gavin	NCO Group Inc	7/27/2005
05-07146-FOIA	J. Patrick Gavin	Bradley Pharmaceuticals Inc	7/27/2005
05-07148-FOIA	J. Patrick Gavin	Nelnet Inc	7/27/2005
05-07152-FOIA	J. Patrick Gavin	InterMune Inc	7/27/2005
05-07154-FOIA	J. Patrick Gavin	ResCare Inc	7/27/2005
05-07159-FOIA	J. Patrick Gavin	Swift Transportation Co Inc	7/27/2005
05-07142-FOIA	Mark Edwards	Anergen Inc	7/27/2005
05-07143-FOIA	Mark Edwards	Alkermes Inc	7/27/2005
05-07155-FOIA	Mark Edwards	Antex Biologice Inc	7/27/2005
05-07150-FOIA	Mark Edwards	Anesta Corporation	7/27/2005
05-07158-FOIA	Mark Edwards	Anika Technologies, Inc.	7/27/2005
05-07144-FOIA	Danielle Carpenter	Halcyon Structured Opportunities Fund Ltd	7/27/2005
05-07136-FOIA	Danielle Carpenter	Steven G Mandis	7/27/2005
05-07157-FOIA	Danielle Carpenter	Halcyon Structured Asset Management LP	7/27/2005
05-07151-FOIA	Danielle Carpenter	Halcyon Structured Opportunities Fund LP	7/27/2005
05-00251-APPS	Louis Corrigan	Greg Manning Auctions	7/27/2005
05-07147-FOIA	Vamsi Yadlapati	Capital Advisory Group (Richmond, VA)	7/27/2005
05-07140-FOIA	Vamsi Yadlapati	Evensky & Katz (Coral Gables, FL)	7/27/2005
05-07135-FOIA	Vamsi Yadlapati	Founders Financial (Cupertino CA)	7/27/2005
05-07138-FOIA	Vamsi Yadlapati	Brouwer & Janachowski (Tiburon, CA)	7/27/2005
05-07149-FOIA	Vamsi Yadlapati	Charles D. Haines (Birmingham, AL)	7/27/2005
05-07156-FOIA	Vamsi Yadlapati	Lau Associates (Wilmington, DE)	7/27/2005
05-07153-FOIA	Evan Greebel	FOIA Request Numbers 2004-6701 and 2004-6701	7/27/2005
05-07166-FOIA	Cornish Hitchcock	Select Pension Consultants	7/28/2005
05-07171-FOIA	Richard Stevens	United States v Cassano, et al	7/28/2005
05-07165-FOIA	Cullen Wyatt	Jon R Bauer	7/28/2005
05-07162-FOIA	Cullen Wyatt	Scott G. Kasen	7/28/2005
05-07163-FOIA	Cullen Wyatt	Karim El Khoury	7/28/2005
05-07167-FOIA	Cullen Wyatt	Contrarian Capital Management LLC	7/28/2005
05-07168-FOIA	Cullen Wyatt	Contrarian European Fund LP	7/28/2005
05-07169-FOIA	Cullen Wyatt	Contrarian European Fund Ltd	7/28/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07160-FOIA	Frank Salamone	Metro Goldwyn Mayer	7/28/2005
05-07161-FOIA	Megan Lynch	John Jack Vincent Doyle	7/28/2005
05-07164-FOIA	Melissa Kaplafka	Gary Hardie	7/28/2005
05-07170-FOIA	Jeffrey Applebaum	Reg D 504 filing	7/28/2005
05-07174-FOIA	Richard Harrison	Celerity Group Inc (File # 33390150)	7/29/2005
05-07175-FOIA	Richard Harrison	Riverstone Networks Inc (File # 333-89758)	7/29/2005
05-07176-FOIA	Richard Harrison	Scudder New York Municipal Bond Fund Inc (File # 333105410)	7/29/2005
05-07179-FOIA	Richard Harrison	Qwest Corp (File # 33398119)	7/29/2005
05-07180-FOIA	Richard Harrison	Concentrax Inc (File # 33399315)	7/29/2005
05-07181-FOIA	Richard Harrison	Cohen Steers Dividend Advantage Realty Fund Inc (File # 33399743)	7/29/2005
05-07184-FOIA	Richard Harrison	Chyron Corp (File # 33386424)	7/29/2005
05-07185-FOIA	Richard Harrison	Ribapharm Inc (File # 33388098)	7/29/2005
05-07186-FOIA	Richard Harrison	Tech Laboratories Inc (File # 33385624)	7/29/2005
05-07189-FOIA	Richard Harrison	Entrada Networks Inc 333-89608	7/29/2005
05-07190-FOIA	Richard Harrison	Greenway Technologies Corp 333101984	7/29/2005
05-07191-FOIA	Richard Harrison	Northeast Mortgage Corp 333-89404	7/29/2005
05-07196-FOIA	Richard Harrison	Providence Select Fund LP 333108629	7/29/2005
05-07197-FOIA	Richard Harrison	Jacobson Resonance Enterprises Inc (File # 33382092)	7/29/2005
05-07198-FOIA	Richard Harrison	BIMs Renewable Energy Inc 333-87980	7/29/2005
05-07199-FOIA	Richard Harrison	Cohen & Steers Quality Reit Preferred Fund Inc (File # 33387682)	7/29/2005
05-07200-FOIA	Richard Harrison	Air-Q WI-FI Corp (File # 333709466)	7/29/2005
05-07201-FOIA	Richard Harrison	Trizec Properties Inc 333-84882	7/29/2005
05-07205-FOIA	Richard Harrison	Scudder California Municipal Bond Fund Inc (File # 333705411)	7/29/2005
05-07207-FOIA	Richard Harrison	American Radio Empire Inc (File # 333111556)	7/29/2005
05-07208-FOIA	Richard Harrison	Remedent USA Inc 333-96999	7/29/2005
05-07209-FOIA	Richard Harrison	Sandalwood Lodging Investment Corp (File # 33389094)	7/29/2005
05-07210-FOIA	Richard Harrison	Union Planters Corp	7/29/2005
05-07211-FOIA	Richard Harrison	Cold Pick Mines Inc	7/29/2005
05-07214-FOIA	Richard Harrison	Stem Cell Preservation Technologies Inc 333-89718	7/29/2005
05-07215-FOIA	Richard Harrison	Lasersight Inc/DE 333101364	7/29/2005
05-07216-FOIA	Richard Harrison	Spongetech Delivery Systems Inc 333100925	7/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07218-FOIA	Richard Harrison	ASML Holding NV 333-83266	7/29/2005
05-07219-FOIA	Richard Harrison	Nuveen Massachusetts Dividend Advantage Municipal Fund 333-92280	7/29/2005
05-07220-FOIA	Richard Harrison	EMTC International Inc 333-87414	7/29/2005
05-07223-FOIA	Richard Harrison	Mercury Air Group Inc 333104932	7/29/2005
05-07224-FOIA	Richard Harrison	Verticalnet Inc 333-76334	7/29/2005
05-07225-FOIA	Richard Harrison	Peninsula Pharmaceutical Inc 333111193	7/29/2005
05-07229-FOIA	Richard Harrison	Can Cal Resources LTD 333-98059	7/29/2005
05-07230-FOIA	Richard Harrison	Franklin Lake Resources Inc 333-87710	7/29/2005
05-07231-FOIA	Richard Harrison	Alviero Martini Worldwide Inc	7/29/2005
05-07234-FOIA	Richard Harrison	Payment Data Systems Inc 333-97869	7/29/2005
05-07235-FOIA	Richard Harrison	Nuveen Insured Tax Free Advantage Municipal Fund 2 811-21303	7/29/2005
05-07236-FOIA	Richard Harrison	Nuveen Insured CA Tax Free Advantage Municipal Fund 2	7/29/2005
05-07172-FOIA	Gilbert Boyce	Marcello Aquino aka Michael Aquino	7/29/2005
05-07192-FOIA	Gilbert Boyce	Michael Trager	7/29/2005
05-07217-FOIA	Gilbert Boyce	Wanda P Sears	7/29/2005
05-07206-FOIA	Gilbert Boyce	Terminal Marketing Company	7/29/2005
05-07203-FOIA	Gilbert Boyce	David Dunkelberger	7/29/2005
05-07228-FOIA	J. Patrick Gavin	Broadcom Corporation	7/29/2005
05-07232-FOIA	J. Patrick Gavin	Action Performance Companies Inc	7/29/2005
05-07233-FOIA	J. Patrick Gavin	Excel Technology Inc	7/29/2005
05-07226-FOIA	J. Patrick Gavin	Excel Maritime Carriers Ltd	7/29/2005
05-07183-FOIA	J. Patrick Gavin	Saks Inc	7/29/2005
05-07188-FOIA	J. Patrick Gavin	Flanders Corporation	7/29/2005
05-07213-FOIA	J. Patrick Gavin	Finlay Enterprises Inc	7/29/2005
05-07222-FOIA	J. Patrick Gavin	Dobson Communication Corporation	7/29/2005
05-07173-FOIA	J. Patrick Gavin	FOIA Requests for Open Text Corporation	7/29/2005
05-07178-FOIA	J. Patrick Gavin	Netflix Inc	7/29/2005
05-07182-FOIA	Lindsay Calfee	VNU NV (VNUVY)	7/29/2005
05-07177-FOIA	Lindsay Calfee	IMS Health Inc (RX)	7/29/2005
05-07193-FOIA	Brett Goetschius	Regulation SHO	7/29/2005
05-07204-FOIA	Brett Goetschius	Over The Counter Bulletin Board (OTCBB)	7/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07221-FOIA	Brett Goetschius	American Stock Exchange AMEX	7/29/2005
05-07227-FOIA	Brett Goetschius	Pink Sheets PK	7/29/2005
05-07237-FOIA	Nicole Deiger	FOIA Requests regarding NVIDIA Corporation	7/29/2005
05-07212-FOIA	Barry Sine	FairPoint Communications Inc	7/29/2005
05-07187-FOIA	Barry Sine	CT Communications Inc	7/29/2005
05-07194-FOIA	Barry Sine	North Pittsburgh Systems Inc	7/29/2005
05-07195-FOIA	Barry Sine	Shenandoah Communications Inc	7/29/2005
05-07202-FOIA	Barry Sine	D & E Communication Inc	7/29/2005
05-07243-FOIA	Brian Kowalchuk	China BAK Battery Inc	8/1/2005
05-07257-FOIA	Ryan Ruggiero	Brad Bleidt confession	8/1/2005
05-07241-FOIA	Randall Smith	Richard Wade and or Struthers Industries Inc	8/1/2005
05-07238-FOIA	Patrick Walsh	Russel L. Appel	8/1/2005
05-07239-FOIA	Patrick Walsh	Robert B. Cameron A/K/A R. Bruce Cameron	8/1/2005
05-07252-FOIA	Patrick Walsh	Tadiran Scopus Inc A/K/A Tadiran Scopus	8/1/2005
05-07249-FOIA	Patrick Walsh	Scopus Network Technologies	8/1/2005
05-07299-FOIA	Patrick Walsh	JCC S A	8/1/2005
05-07283-FOIA	Patrick Walsh	V2 Technology Inc	8/1/2005
05-07294-FOIA	Patrick Walsh	DIC Audiovisual S A R L	8/1/2005
05-07295-FOIA	Patrick Walsh	NetsJets Management Ltd	8/1/2005
05-07296-FOIA	Patrick Walsh	C & D S A R L	8/1/2005
05-07297-FOIA	Patrick Walsh	Financial Telecom Limited USA Inc	8/1/2005
05-07267-FOIA	Patrick Walsh	Richard L Chang A/K/A Richard Li-Sung Chang A/K/A Li-Sung Chang	8/1/2005
05-07263-FOIA	Patrick Walsh	Richard S. Foote	8/1/2005
05-07264-FOIA	Patrick Walsh	Richard L. Chen A/K/A Richard Lin Chen A/A/A Lin Chen	8/1/2005
05-07265-FOIA	Patrick Walsh	Richard B. Forth	8/1/2005
05-07287-FOIA	Patrick Walsh	Jean Chalopin	8/1/2005
05-07288-FOIA	Patrick Walsh	Sportal aka www.sportal.com	8/1/2005
05-07272-FOIA	Patrick Walsh	Berkshire/Highbury	8/1/2005
05-07273-FOIA	Patrick Walsh	David Mahlab	8/1/2005
05-07279-FOIA	Patrick Walsh	David Yu Chen aka David Chen	8/1/2005
05-07280-FOIA	Patrick Walsh	Harcourt Companies Inc	8/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07281-FOIA	Patrick Walsh	Robert B. Hersov	8/1/2005
05-07250-FOIA	Megan Lynch	Ivan Jon Ross aka Ivan Rabinovitz	8/1/2005
05-07248-FOIA	Megan Lynch	Tequesta Capital Advisors	8/1/2005
05-00256-APPS	J. Patrick Gavin	Engelhard Corporation	8/1/2005
05-00257-APPS	J. Patrick Gavin	Janus Capital Group Inc	8/1/2005
05-00258-APPS	J. Patrick Gavin	United Rentals Inc	8/1/2005
05-00259-APPS	J. Patrick Gavin	Textron Inc	8/1/2005
05-00261-APPS	J. Patrick Gavin	Kraft Foods Inc	8/1/2005
05-00260-APPS	J. Patrick Gavin	Highwoods Properties Inc	8/1/2005
05-00264-APPS	J. Patrick Gavin	Gap Inc	8/1/2005
05-00263-APPS	J. Patrick Gavin	BearingPoint Inc	8/1/2005
05-00262-APPS	J. Patrick Gavin	Crescent Real Estate Equities Company	8/1/2005
05-07240-FOIA	Richard Harrison	Nuveen New Jersey Dividend Advantage Municipal Fund 333-92302	8/1/2005
05-07242-FOIA	Richard Harrison	Nuveen Pennsylvania Dividend Advantage Muncipal Fund 3 333-92300	8/1/2005
05-07244-FOIA	Richard Harrison	MNWBSA Investment Corp (File # 33381994)	8/1/2005
05-07245-FOIA	Richard Harrison	Nuveen Colorado Dividend Advantage Municipal Fund 333-92306	8/1/2005
05-07246-FOIA	Richard Harrison	Quotidien Corp (File # 33381998)	8/1/2005
05-07247-FOIA	Richard Harrison	New Odeon Corp (File # 33382004)	8/1/2005
05-07251-FOIA	Richard Harrison	Creighton Corp (File # 33382000)	8/1/2005
05-07253-FOIA	Richard Harrison	P Com Inc (File # 333102847)	8/1/2005
05-07254-FOIA	Richard Harrison	GPC Capital Corp. (File # 33389022)	8/1/2005
05-07255-FOIA	Richard Harrison	Nuveen Insured New York Tax Free Advantage Municipal 333103077	8/1/2005
05-07259-FOIA	Richard Harrison	Cohen & Steers Total Return Realty Fund II Inc (File # 333103351)	8/1/2005
05-07260-FOIA	Richard Harrison	Nuveen Michigan Dividend Advantage Municipal Fund (File # 33392292)	8/1/2005
05-07261-FOIA	Richard Harrison	Skypath Networks, Inc. (File # 333110300)	8/1/2005
05-07262-FOIA	Richard Harrison	Millennium Capital Venture Holdings Inc 333104834	8/1/2005
05-07256-FOIA	Richard Harrison	Valcom Inc 333-97797	8/1/2005
05-07266-FOIA	Richard Harrison	Newport Corp (File # 33386264)	8/1/2005
05-07274-FOIA	Richard Harrison	English Language Learning & Instruction System Inc (File # 33384116)	8/1/2005
05-07275-FOIA	Richard Harrison	Whitney Information Network Inc 333103156	8/1/2005
05-07276-FOIA	Richard Harrison	Zamba Corp (File # 33388174)	8/1/2005



ID	Requester	Description	Received Date
05-07277-FOIA	Richard Harrison	Excalibur Industries Inc 333-88974	8/1/2005
05-07278-FOIA	Richard Harrison	Globix Corp (File # 33397067)	8/1/2005
05-07268-FOIA	Richard Harrison	Viacell Inc 333-81650	8/1/2005
05-07269-FOIA	Richard Harrison	Securit E Doc Inc (File # 33390100)	8/1/2005
05-07270-FOIA	Richard Harrison	Raven Moon Entertainment Inc (File # 333101060)	8/1/2005
05-07271-FOIA	Richard Harrison	El Paso Energy Management LLC 333-97963	8/1/2005
05-07284-FOIA	Richard Harrison	BOK Financial Corp Et Al (File # 333100595)	8/1/2005
05-07285-FOIA	Richard Harrison	Bookham Technology PLC (File # 333103913)	8/1/2005
05-07286-FOIA	Richard Harrison	Cordexa Holdings Inc 333104363	8/1/2005
05-07289-FOIA	Richard Harrison	Creative Enterprises International Inc 333-99733	8/1/2005
05-07290-FOIA	Richard Harrison	Elite Pharmaceuticals Inc DE (File # 333101686)	8/1/2005
05-07291-FOIA	Richard Harrison	Stronghold Technologies Inc (File # 333100036)	8/1/2005
05-07292-FOIA	Richard Harrison	Orange & Rockland Utilities Inc 333107947	8/1/2005
05-07293-FOIA	Richard Harrison	Technology Resources Inc (File # 333100258)	8/1/2005
05-07282-FOIA	Richard Harrison	Hollis Eden Pharmaceuticals Inc DE (File # 333107318)	8/1/2005
05-07298-FOIA	Richard Harrison	Leonhardt Corp (File # 33381996)	8/1/2005
05-07300-FOIA	Richard Harrison	Scudder Municipal Bond Fund Inc (File # 333101252)	8/1/2005
05-07301-FOIA	Richard Harrison	Norvanco Inc 333104369	8/1/2005
05-07302-FOIA	Richard Harrison	Capital One Master Trust (File # 333103921)	8/1/2005
05-07303-FOIA	Richard Harrison	Emcore Corp 333-81280	8/1/2005
05-07258-FOIA	Susan Gross	Spear & Jackson	8/1/2005
05-07307-FOIA	Richard Harrison	Neighborcare Inc	8/2/2005
05-07308-FOIA	Richard Harrison	Shire Pharmaceuticals Group PLC	8/2/2005
05-07315-FOIA	Richard Harrison	Allied Domecq PLC	8/2/2005
05-07317-FOIA	Richard Harrison	Integrated Business Systems & Services Inc (File # 33376998)	8/2/2005
05-07319-FOIA	Richard Harrison	Insightful Solutions Inc (File # 333105278)	8/2/2005
05-07325-FOIA	Richard Harrison	Crow Technologies 1977 Ltd 333-83524	8/2/2005
05-07328-FOIA	Richard Harrison	CPW Capital Corp 333-81988	8/2/2005
05-07329-FOIA	Richard Harrison	Grand Toys International Inc (File # 33381526)	8/2/2005
05-07331-FOIA	Richard Harrison	Nikky D Corp (File # 33390064)	8/2/2005
05-07334-FOIA	Richard Harrison	Nuveen Insured PA Tax Free Advantage Municipal Fund 333-100789	8/2/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07337-FOIA	Richard Harrison	Nuveen Insured NJ Tax Free Advantage Municipal Fund 333-100790	8/2/2005
05-07340-FOIA	Richard Harrison	Bellweather Corp	8/2/2005
05-07342-FOIA	Richard Harrison	Caraco Pharmaceutical Laboratories Ltd (File # 33391968)	8/2/2005
05-07344-FOIA	Richard Harrison	Sport Show Promotions Inc (File # 33381472)	8/2/2005
05-07349-FOIA	Richard Harrison	Pernod Ricard S A ADR	8/2/2005
05-07350-FOIA	Richard Harrison	Omnicare Inc	8/2/2005
05-07353-FOIA	Richard Harrison	Amante Corp (File # 33381948)	8/2/2005
05-07355-FOIA	Richard Harrison	Ladenburg Thalmann Financial Services Inc (File # 33388866)	8/2/2005
05-07356-FOIA	Richard Harrison	911 Performance Inc 333-84524	8/2/2005
05-07357-FOIA	Richard Harrison	Cinemark Inc 333-88618	8/2/2005
05-07361-FOIA	Richard Harrison	Nuveen Insured Michigan Tax Free Advantage Municipal Fund 333-100792	8/2/2005
05-07362-FOIA	Richard Harrison	THW Inc 333-98221	8/2/2005
05-07367-FOIA	Richard Harrison	Eisenhower Real Estate Funds Corp & Govt Properties Inc 333-109907	8/2/2005
05-07368-FOIA	Richard Harrison	Time Warner Inc 333-103100	8/2/2005
05-00265-APPS	J. Patrick Gavin	Sun Microsystems Inc	8/2/2005
05-00266-APPS	J. Patrick Gavin	Sharper Image Corporation	8/2/2005
05-00267-APPS	J. Patrick Gavin	Sears Roebuck & Co	8/2/2005
05-00268-APPS	J. Patrick Gavin	RenaissanceRe Holdings Ltd	8/2/2005
05-07365-FOIA	Mark Edwards	Ariad Pharmaceuticals	8/2/2005
05-07366-FOIA	Mark Edwards	Argonaut Technologies Inc	8/2/2005
05-07341-FOIA	Mark Edwards	Aphton Corporation	8/2/2005
05-07326-FOIA	Mark Edwards	Arena Pharmaceuticals Inc.	8/2/2005
05-07316-FOIA	Mark Edwards	Applied Molecular Evolution Inc	8/2/2005
05-07327-FOIA	Andrew DePeau	David Freelove	8/2/2005
05-07360-FOIA	Andrew DePeau	Del Mar Asset Management LLC	8/2/2005
05-07363-FOIA	Andrew DePeau	Liora Sternberg	8/2/2005
05-07321-FOIA	Mark Mierzejewski	Gabriel Capital LP	8/2/2005
05-07352-FOIA	Mark Mierzejewski	J Ezra Merkin	8/2/2005
05-07333-FOIA	Clint Binkley	Millennium Asset Management Ltd	8/2/2005
05-07336-FOIA	Clint Binkley	Joseph G Strubel	8/2/2005
05-07318-FOIA	Clint Binkley	Millennium Global High Yield Fund Ltd	8/2/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07312-FOIA	Clint Binkley	Millennium Global Investments Ltd	8/2/2005
05-07359-FOIA	Andrew Carroll	KKR Financial Corporation	8/2/2005
05-07348-FOIA	Krissi Gore	Coca Cola Company	8/2/2005
05-07330-FOIA	Clint Binkley	Millennium Group Holdings Ltd	8/2/2005
05-07369-FOIA	Louis Corrigan	Max Internet	8/2/2005
05-07313-FOIA	Becky Conover	Michael T Bell	8/2/2005
05-07311-FOIA	Becky Conover	John J Cranley III	8/2/2005
05-07309-FOIA	Becky Conover	Bernadette Cruz	8/2/2005
05-07304-FOIA	Becky Conover	Cynthia M Mulica	8/2/2005
05-07305-FOIA	Becky Conover	Diane M Daly	8/2/2005
05-07306-FOIA	Becky Conover	Mary Lisanti	8/2/2005
05-07320-FOIA	Becky Conover	David Laub	8/2/2005
05-07335-FOIA	Becky Conover	J Eric Anderson	8/2/2005
05-07338-FOIA	Becky Conover	Michelle S McDonough	8/2/2005
05-07346-FOIA	Becky Conover	AH Lisanti Capital Growth	8/2/2005
05-07347-FOIA	Becky Conover	Adams Harkness Inc	8/2/2005
05-07343-FOIA	Becky Conover	Gary C Muehlemann	8/2/2005
05-07351-FOIA	Aracely Felix	Program Trading Inc	8/2/2005
05-07339-FOIA	Aracely Felix	American Group Inc	8/2/2005
05-07332-FOIA	Aracely Felix	Worlwide Wb Networx Corp	8/2/2005
05-07364-FOIA	Aracely Felix	Entrade.com Inc	8/2/2005
05-07310-FOIA	Aracely Felix	Entrade Inc	8/2/2005
05-07314-FOIA	Aracely Felix	Aaron Investment Group Inc	8/2/2005
05-07322-FOIA	Aracely Felix	Assettrade.com Inc	8/2/2005
05-07323-FOIA	Aracely Felix	Manny J. Shulman	8/2/2005
05-07324-FOIA	Aracely Felix	Robert David Kohn	8/2/2005
05-07345-FOIA	Maria Buchler	Alders Financial Solutions	8/2/2005
05-07354-FOIA	Zhuo Wang	Restricted Stocks	8/2/2005
05-07358-FOIA	David Goldsmith	Transaction Systems Architects Inc	8/2/2005
05-07375-FOIA	Andrew DePeau	Edgar H William	8/3/2005
05-07370-FOIA	Andrew DePeau	Legacy Capital Group	8/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07371-FOIA	Michael Spence	OutlineMed Inc	8/3/2005
05-07372-FOIA	Michael Spence	Predix Pharmaceutical Holdings Inc	8/3/2005
05-07376-FOIA	Michael Spence	Predix Pharmaceuticals Inc	8/3/2005
05-07382-FOIA	Michael Spence	Kimberlee C Drapkin	8/3/2005
05-07388-FOIA	Michael Spence	Michael G Kauffman	8/3/2005
05-00271-APPS	J. Patrick Gavin	Federal Agricultural Mortgage Corporation	8/3/2005
05-00270-APPS	J. Patrick Gavin	Pfizer Inc	8/3/2005
05-00269-APPS	J. Patrick Gavin	PriceSmart Inc	8/3/2005
05-00272-APPS	J. Patrick Gavin	Elan Corporation	8/3/2005
05-00273-APPS	J. Patrick Gavin	Regeneron Pharmaceuticals	8/3/2005
05-00274-APPS	J. Patrick Gavin	Silicon Image Inc	8/3/2005
05-07373-FOIA	Richard Harrison	C & F Financial Corp	8/3/2005
05-07374-FOIA	Richard Harrison	Transkaryotic Therapies Inc	8/3/2005
05-07389-FOIA	Richard Harrison	Warp Technology Holdings Inc (File # 333123864)	8/3/2005
05-07390-FOIA	Richard Harrison	Prospect Medical Holdings Inc 333124915	8/3/2005
05-07391-FOIA	Richard Harrison	Citigroup Inc (File # 333122925)	8/3/2005
05-07392-FOIA	Richard Harrison	WRP Corp 333123590	8/3/2005
05-07393-FOIA	Richard Harrison	United Refining Co (File # 33325452)	8/3/2005
05-07394-FOIA	Richard Harrison	Email Real Estate Com Inc 333125083	8/3/2005
05-07395-FOIA	Richard Harrison	Energy & Engine Technology Corp (File # 333125522)	8/3/2005
05-07396-FOIA	Richard Harrison	Beta Oil & Gas Inc (File # 333124899)	8/3/2005
05-07397-FOIA	Richard Harrison	Media Service Group Inc 333125602	8/3/2005
05-07398-FOIA	Richard Harrison	Lehman Brothers Holdings Inc (File # 333121067)	8/3/2005
05-07399-FOIA	Richard Harrison	Nabi Biopharmaceuticals (File # 333125241)	8/3/2005
05-07400-FOIA	Richard Harrison	Imaging Diagnostics Systems Inc FL (File # 333125864)	8/3/2005
05-07401-FOIA	Richard Harrison	Tanox Inc (File # 333125334)	8/3/2005
05-07402-FOIA	Richard Harrison	Scientific Games Corp (File # 333124106)	8/3/2005
05-07377-FOIA	Richard Harrison	Hittite Microwave Corp 333124664	8/3/2005
05-07378-FOIA	Richard Harrison	Asset Management Fund (File # 333126832)	8/3/2005
05-07379-FOIA	Richard Harrison	Munder Series Trust (File # 333126863)	8/3/2005
05-07380-FOIA	Richard Harrison	Hughes Supply Inc 333124792	8/3/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07381-FOIA	Richard Harrison	Wells Fargo & Co/MN	8/3/2005
05-07383-FOIA	Richard Harrison	Pennsylvania Real Estate investment Trust 333121962	8/3/2005
05-07384-FOIA	Richard Harrison	Reeds Inc (File # 333120451)	8/3/2005
05-07385-FOIA	Richard Harrison	Nexmed Inc 333125565	8/3/2005
05-07386-FOIA	Richard Harrison	Thornburg Mortgage Inc (File # 333125125)	8/3/2005
05-07387-FOIA	Richard Harrison	GPC Capital Corp 333125173	8/3/2005
05-00275-APPS	J. Patrick Gavin	ALLTEL Corporation	8/4/2005
05-00277-APPS	J. Patrick Gavin	Allegheny Energy Inc	8/4/2005
05-00278-APPS	J. Patrick Gavin	MSC Software Corporation	8/4/2005
05-00279-APPS	J. Patrick Gavin	Zimmer Holdings Inc	8/4/2005
05-00280-APPS	J. Patrick Gavin	Dobson Communications Corporation	8/4/2005
05-07416-FOIA	Gilbert Boyce	Marcello Aquino aka Michael Aquino	8/4/2005
05-07418-FOIA	Gilbert Boyce	Michael Trager	8/4/2005
05-07403-FOIA	Gilbert Boyce	Terminal Marketing Company	8/4/2005
05-07420-FOIA	Susan Lichtcsien	Barry S Rosenstein	8/4/2005
05-07427-FOIA	Susan Lichtcsien	JANA Partners LLC	8/4/2005
05-07404-FOIA	Mark Mierzejewski	The Regency Group LLC	8/4/2005
05-07408-FOIA	Mark Mierzejewski	Scott F Gelbard	8/4/2005
05-07425-FOIA	Jim Adams	Robo Exploration Inc	8/4/2005
05-07406-FOIA	Jim Adams	Robo Enterprises Inc	8/4/2005
05-07410-FOIA	Jim Adams	David G Rose	8/4/2005
05-07414-FOIA	Jim Adams	Sunclear Energy Inc	8/4/2005
05-07407-FOIA	Gilbert Schwartz	Maurice R. Greenberg	8/4/2005
05-07421-FOIA	Bradley Tirpak	UT Starcom Inc	8/4/2005
05-07424-FOIA	Heidi Birtwistle	Steven Michael Cheshire	8/4/2005
05-00002-GOVT	Michael Sherman	Michael Grunwald	8/4/2005
05-07412-FOIA	Cullen Wyatt	Fairfield Greenwich Ltd	8/4/2005
05-07413-FOIA	Cullen Wyatt	Redstone Investment Management LP	8/4/2005
05-07417-FOIA	Cullen Wyatt	Steve B Chulik	8/4/2005
05-07409-FOIA	Cullen Wyatt	Fairfield Redstone Fund Ltd	8/4/2005
05-07415-FOIA	Cullen Wyatt	Redstone Investors LLC	8/4/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07405-FOIA	Cullen Wyatt	Arden Armstrong	8/4/2005
05-07419-FOIA	Danielle Carpenter	SG Capital Management LLc	8/4/2005
05-07411-FOIA	Danielle Carpenter	Ken Grossman	8/4/2005
05-07426-FOIA	Danielle Carpenter	Glen Schneider	8/4/2005
05-07422-FOIA	Danielle Carpenter	Cedar Street Offshore Fund Ltd	8/4/2005
05-07423-FOIA	Danielle Carpenter	Cedar Street Fund LP	8/4/2005
05-00276-APPS	John Castleberry	Mortgage Porfolio Services Inc	8/4/2005
05-07429-FOIA	Melina Baez	Awarded task order #T0003SJ0139	8/5/2005
05-00283-APPS	Andy Isaacson	GE Invision (formerly Invision Technologies)	8/5/2005
05-07428-FOIA	Katharine Reilly	OTCBB Traded Securities	8/5/2005
05-07432-FOIA	Katharine Reilly	Pink Sheet traded securities	8/5/2005
05-00050-FOPA	Gary Aguirre	EEOC	8/5/2005
05-07430-FOIA	Andrew DePeau	HBK Investments	8/5/2005
05-07431-FOIA	Andrew DePeau	Laurence H Lebowitz	8/5/2005
05-00281-APPS	J. Patrick Gavin	Fomento Economico Mexicano	8/5/2005
05-00282-APPS	J. Patrick Gavin	American Capital Strategies Ltd	8/5/2005
05-07484-FOIA	J. Patrick Gavin	Friedman Billings Ramsey Group Inc	8/8/2005
05-07488-FOIA	J. Patrick Gavin	Nuvelo Inc	8/8/2005
05-07478-FOIA	J. Patrick Gavin	Costar Group Inc	8/8/2005
05-07461-FOIA	J. Patrick Gavin	WellPoint Health Networks Inc	8/8/2005
05-07472-FOIA	J. Patrick Gavin	Marvel	8/8/2005
05-07468-FOIA	J. Patrick Gavin	Overstock.com Inc	8/8/2005
05-07469-FOIA	J. Patrick Gavin	Toro Co	8/8/2005
05-07470-FOIA	J. Patrick Gavin	United Surgical Partners International Inc	8/8/2005
05-07465-FOIA	J. Patrick Gavin	Xerox Corporation	8/8/2005
05-07466-FOIA	J. Patrick Gavin	W Holding Co Inc	8/8/2005
05-07450-FOIA	J. Patrick Gavin	Terayon Communication Systems Inc	8/8/2005
05-07451-FOIA	J. Patrick Gavin	Neiman Marcus Group Inc	8/8/2005
05-07454-FOIA	J. Patrick Gavin	Imergent Inc	8/8/2005
05-07456-FOIA	J. Patrick Gavin	Anchor Bancorp Wisconsin	8/8/2005
05-07457-FOIA	J. Patrick Gavin	Rouse Company	8/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07458-FOIA	J. Patrick Gavin	Pixelworks	8/8/2005
05-07495-FOIA	J. Patrick Gavin	Central Park Corporation	8/8/2005
05-07492-FOIA	J. Patrick Gavin	Lexmark International Inc	8/8/2005
05-07493-FOIA	J. Patrick Gavin	RSAS Security Inc	8/8/2005
05-07498-FOIA	J. Patrick Gavin	Trinity Industries Inc	8/8/2005
05-07444-FOIA	J. Patrick Gavin	Impax Laboratories Inc	8/8/2005
05-07445-FOIA	J. Patrick Gavin	Medicis Pharmaceuticals Corp	8/8/2005
05-07446-FOIA	J. Patrick Gavin	The Nautilus Group Inc	8/8/2005
05-07447-FOIA	J. Patrick Gavin	Gabelli Utility Trust	8/8/2005
05-07448-FOIA	J. Patrick Gavin	Microstrategy Incorporated	8/8/2005
05-07439-FOIA	J. Patrick Gavin	Inamed Corporation	8/8/2005
05-07435-FOIA	J. Patrick Gavin	El Paso Corporation	8/8/2005
05-07436-FOIA	J. Patrick Gavin	Planetout Inc	8/8/2005
05-07437-FOIA	J. Patrick Gavin	American Italian Pasta Company	8/8/2005
05-07496-FOIA	Mark Edwards	Autoimmune Inc	8/8/2005
05-07491-FOIA	Mark Edwards	ArQule Inc	8/8/2005
05-07459-FOIA	Mark Edwards	Array Biopharma Inc	8/8/2005
05-07460-FOIA	Mark Edwards	Avigen Inc	8/8/2005
05-07455-FOIA	Mark Edwards	Atherogenics Inc	8/8/2005
05-07463-FOIA	Mark Edwards	ArQule Inc	8/8/2005
05-07471-FOIA	Mark Edwards	Avanir Pharmaceuticals	8/8/2005
05-07475-FOIA	Mark Edwards	Anesta Corp	8/8/2005
05-07479-FOIA	Mark Edwards	Arena Pharmaceuticals Inc	8/8/2005
05-07477-FOIA	Mark Edwards	Alkermes Inc	8/8/2005
05-07486-FOIA	Mark Edwards	Aurora Biosciences Corp	8/8/2005
05-07438-FOIA	Mark Edwards	Axys Pharmaceuticals Inc	8/8/2005
05-07433-FOIA	Mark Edwards	Aviron	8/8/2005
05-07449-FOIA	Mark Edwards	Avant Immunotherapeutics Inc	8/8/2005
05-07434-FOIA	Richard Harrison	Somonauk FSB Bancorp Inc	8/8/2005
05-07440-FOIA	Richard Harrison	Zymogenetics Inc	8/8/2005
05-07442-FOIA	Richard Harrison	Encysive Pharmaceuticals Inc (File # 333125154)	8/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07443-FOIA	Richard Harrison	Advanced Analogic Technologies Inc	8/8/2005
05-07452-FOIA	Richard Harrison	Wet Seal Inc (File # 333125012)	8/8/2005
05-07453-FOIA	Richard Harrison	FTS Apparel Inc	8/8/2005
05-07462-FOIA	Richard Harrison	Far East Energy Corp (File # 333125242)	8/8/2005
05-07464-FOIA	Richard Harrison	Huntsman International LLC (File # 333125652)	8/8/2005
05-07473-FOIA	Richard Harrison	Natural Gas Services Group Inc (File # 333122687)	8/8/2005
05-07474-FOIA	Richard Harrison	Wachovia Corp New (File # 333125271)	8/8/2005
05-07476-FOIA	Richard Harrison	Focus Media Holding Ltd (File # 333125785)	8/8/2005
05-07487-FOIA	Richard Harrison	Silicon Motion Technology Corp 333125673	8/8/2005
05-07489-FOIA	Richard Harrison	China Techfaith Wireless Communication Technology Ltd 333123921	8/8/2005
05-07490-FOIA	Richard Harrison	Aries Maritime Transport Ltd 333124952	8/8/2005
05-07497-FOIA	Richard Harrison	Eschelon Telecom Inc 333124703	8/8/2005
05-07494-FOIA	Richard Harrison	Diana Shipping Inc 333123052	8/8/2005
05-07483-FOIA	Elizabeth Tsai	Hong Tran	8/8/2005
05-07485-FOIA	Mark Mierzejewski	Bracebridge Captial LLC	8/8/2005
05-07480-FOIA	Mark Mierzejewski	Nancy G. Zimmerman	8/8/2005
05-07482-FOIA	Cynthia Krus	David Einhorn and/or Greenlight Capital Inc	8/8/2005
05-07481-FOIA	Vapbett Powell	Liberate Technologies Inc	8/8/2005
05-07467-FOIA	Barry Sine	North Pittsburgh Systems Inc	8/8/2005
05-07441-FOIA	Mark Edwards	Atrix Laboratories Inc	8/9/2005
05-00288-APPS	J. Patrick Gavin	McKesson Corporation	8/9/2005
05-00289-APPS	J. Patrick Gavin	Chevron Texaco Corporation	8/9/2005
05-00290-APPS	J. Patrick Gavin	USEC Inc	8/9/2005
05-00291-APPS	J. Patrick Gavin	American Standard Companies Inc	8/9/2005
05-00284-APPS	J. Patrick Gavin	Pegasystems Inc	8/9/2005
05-00285-APPS	J. Patrick Gavin	Odyssey Healthcare Inc	8/9/2005
05-00286-APPS	J. Patrick Gavin	Apartment Investment and Management Company	8/9/2005
05-00287-APPS	J. Patrick Gavin	Hot Topic Inc	8/9/2005
05-07510-FOIA	J. Patrick Gavin	Contract between SEC and Thompson Financial	8/10/2005
05-07499-FOIA	Irene Jefferson	Consol Energy, Inc.	8/10/2005
05-07501-FOIA	Robert Motz	E2Enet Inc	8/10/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07511-FOIA	Robert Motz	Legacy Fund Inc	8/10/2005
05-07512-FOIA	Robert Motz	UniCapital Corporation	8/10/2005
05-07513-FOIA	Robert Motz	US Office Products Company	8/10/2005
05-07560-FOIA	Robert Motz	Eric Hohn Watson	8/10/2005
05-07540-FOIA	Robert Motz	Jonathan J. Ledecy	8/10/2005
05-07506-FOIA	Robert Motz	Cullen Investments Limited	8/10/2005
05-07507-FOIA	Robert Motz	Blue Star Group Limited	8/10/2005
05-07518-FOIA	Robert Motz	Lincoln Holdings LLC	8/10/2005
05-07519-FOIA	Robert Motz	Building One Services Corporation fka Consolidation Capital Corporation	8/10/2005
05-07545-FOIA	Robert Motz	Endeavor Acquisition Corp	8/10/2005
05-07522-FOIA	Robert Motz	USA Floral Products Inc	8/10/2005
05-07530-FOIA	Robert Motz	Jonathan J Ledecy Foundation Inc	8/10/2005
05-07531-FOIA	Robert Motz	Pacific Retail Group Limited	8/10/2005
05-07503-FOIA	Richard Harrison	National Lampoon Inc (File # 333123238)	8/10/2005
05-07504-FOIA	Richard Harrison	Tarpon Coast Bancorp Inc	8/10/2005
05-07508-FOIA	Richard Harrison	NCRIC Group Inc	8/10/2005
05-07509-FOIA	Richard Harrison	Touchstone Applied Science Associates Inc NY	8/10/2005
05-07514-FOIA	Richard Harrison	Opta Corp	8/10/2005
05-07515-FOIA	Richard Harrison	Western Wireless Corp	8/10/2005
05-07516-FOIA	Richard Harrison	Niku Corp	8/10/2005
05-07523-FOIA	Richard Harrison	Rio Tinto PLC	8/10/2005
05-07524-FOIA	Richard Harrison	Manchester Technologies Inc	8/10/2005
05-07525-FOIA	Richard Harrison	Atlantic Express Transportation Corp (File # 333116749)	8/10/2005
05-07526-FOIA	Richard Harrison	Corporate Express Inc (File # 333123952)	8/10/2005
05-07527-FOIA	Richard Harrison	Scudder International Fund Inc 333-127029	8/10/2005
05-07528-FOIA	Richard Harrison	ING Funds Trust 333-127049	8/10/2005
05-07532-FOIA	Richard Harrison	First Busey Corp NV	8/10/2005
05-07533-FOIA	Richard Harrison	Proassurance Corp	8/10/2005
05-07534-FOIA	Richard Harrison	ING Investment Funds Inc 333-127058	8/10/2005
05-07535-FOIA	Richard Harrison	3M Co	8/10/2005
05-07536-FOIA	Richard Harrison	Hospira Inc	8/10/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07537-FOIA	Richard Harrison	ING Series Fund Inc	8/10/2005
05-07538-FOIA	Richard Harrison	Pioneer Series Trust IV 811-21781	8/10/2005
05-07520-FOIA	Richard Harrison	Scientific Games Corp (File # 333124107)	8/10/2005
05-07521-FOIA	Richard Harrison	Chevron Corp	8/10/2005
05-07541-FOIA	Richard Harrison	Physiometrix Inc	8/10/2005
05-07542-FOIA	Richard Harrison	Titan Corp	8/10/2005
05-07544-FOIA	Richard Harrison	Alltel Corp	8/10/2005
05-07547-FOIA	Richard Harrison	L 3 Communications Corp	8/10/2005
05-07548-FOIA	Richard Harrison	Pioneer Series Trust IV 333-1207094	8/10/2005
05-07549-FOIA	Richard Harrison	Unica Corp	8/10/2005
05-07550-FOIA	Richard Harrison	Net 1 UEPS Technologies Inc	8/10/2005
05-07551-FOIA	Richard Harrison	ING Variable Portfolios Inc 333-12720	8/10/2005
05-07552-FOIA	Richard Harrison	SK Telecom Ltd	8/10/2005
05-07554-FOIA	Richard Harrison	ING Partners Inc 333-127202	8/10/2005
05-07555-FOIA	Richard Harrison	Grupo Televisa S A	8/10/2005
05-07556-FOIA	Richard Harrison	SYS	8/10/2005
05-07557-FOIA	Richard Harrison	Domtar Inc Canada	8/10/2005
05-07561-FOIA	Richard Harrison	ING Invsetors Trust 333-12709	8/10/2005
05-07562-FOIA	Richard Harrison	IVY Multi Strategy Hedge Fund LLC 333125447	8/10/2005
05-07563-FOIA	Richard Harrison	Cuno Inc	8/10/2005
05-07559-FOIA	Richard Harrison	Agnico Eagle Mines Ltd	8/10/2005
05-07539-FOIA	Barbara Fisher	Oil and gas producers	8/10/2005
05-07502-FOIA	Andy Pryke	Donald Mac Kenzie Farris	8/10/2005
05-07529-FOIA	Kevin Cobbs	Aligen Communications Inc	8/10/2005
05-07543-FOIA	Elizabeth Smith	51 pag document filed with the SEC on May 31 2005 Maurice Hank Greenberg	8/10/2005
05-07558-FOIA	Emma Schwartz	sec 8b letters and the responses by the Commission between January 1 2000 and August 2005	8/10/2005
05-07500-FOIA	Amy Benz	James B. Taylor	8/10/2005
05-07505-FOIA	Amy Benz	Charles W. Grant	8/10/2005
05-07517-FOIA	Amy Benz	James R. Hille	8/10/2005
05-07546-FOIA	Patrick Walsh	Harmonic Inc	8/10/2005
05-07553-FOIA	Patrick Walsh	Yaron Simler	8/10/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07580-FOIA	Laura Triay	BP Capital International Management Inc	8/11/2005
05-07574-FOIA	Laura Triay	TBP Investments Management LLC	8/11/2005
05-07571-FOIA	Laura Triay	Ronald D Bassett	8/11/2005
05-07566-FOIA	Laura Triay	Robert L Stillwell	8/11/2005
05-07570-FOIA	Becky Conover	Kevin J Dunn	8/11/2005
05-07578-FOIA	Megan Lynch	Carl Gregory de Rozario	8/11/2005
05-07576-FOIA	Megan Lynch	Palm Advisors LLC	8/11/2005
05-07568-FOIA	Katharine Reilly	OSTK (Nasdaq listed security symbol)	8/11/2005
05-07572-FOIA	Becky Conover	Stephen Zak	8/11/2005
05-07564-FOIA	Becky Conover	Andrew F. Viles	8/11/2005
05-00052-FOPA	Phuong Doan	Phuong H. Doan	8/11/2005
05-07582-FOIA	Liz Balanda	Mason Capital Management LLC	8/11/2005
05-07583-FOIA	Richard Harrison	Muni Intermediate Duration Fund Inc 333125453	8/11/2005
05-07584-FOIA	Irene Jefferson	Consol Energy Inc	8/11/2005
05-07585-FOIA	J. Patrick Gavin	Lawson Software Inc	8/11/2005
05-07579-FOIA	J. Patrick Gavin	R & G Financial Corporation	8/11/2005
05-07581-FOIA	J. Patrick Gavin	Inverness Medical Innovations	8/11/2005
05-07573-FOIA	J. Patrick Gavin	Jones Apparel Group Inc	8/11/2005
05-07575-FOIA	J. Patrick Gavin	GrafTech International Ltd	8/11/2005
05-07577-FOIA	J. Patrick Gavin	Terex Corporation	8/11/2005
05-07565-FOIA	J. Patrick Gavin	FTI Consulting	8/11/2005
05-07567-FOIA	J. Patrick Gavin	Molex Incorporated	8/11/2005
05-07569-FOIA	J. Patrick Gavin	Lear Corporation	8/11/2005
05-00292-APPS	J. Patrick Gavin	Cheniere Energy Inc	8/11/2005
05-00293-APPS	J. Patrick Gavin	Taser International Inc	8/11/2005
05-00294-APPS	J. Patrick Gavin	Fifth Third Bancorp	8/11/2005
05-00295-APPS	J. Patrick Gavin	American Express Company	8/11/2005
05-00296-APPS	J. Patrick Gavin	Genentech Inc	8/11/2005
05-00297-APPS	J. Patrick Gavin	Tommy Hilfiger	8/11/2005
05-00298-APPS	J. Patrick Gavin	ForumFactor Inc	8/11/2005
05-00299-APPS	J. Patrick Gavin	OM Group Inc	8/11/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07608-FOIA	J. Patrick Gavin	Tenet Healthcare Corporation	8/12/2005
05-07611-FOIA	J. Patrick Gavin	Intel Corporation	8/12/2005
05-07614-FOIA	J. Patrick Gavin	Aquantive Inc	8/12/2005
05-00300-APPS	J. Patrick Gavin	Electronic Data Systems Corporation	8/12/2005
05-00301-APPS	J. Patrick Gavin	Central Freight Lines Inc	8/12/2005
05-07587-FOIA	J. Patrick Gavin	Avid Technology Inc	8/12/2005
05-00302-APPS	J. Patrick Gavin	Comerica Incorporated	8/12/2005
05-07589-FOIA	J. Patrick Gavin	American Electric Power Company Inc	8/12/2005
05-07592-FOIA	J. Patrick Gavin	INVESTools Inc	8/12/2005
05-07595-FOIA	J. Patrick Gavin	Sohu.com Inc	8/12/2005
05-07602-FOIA	J. Patrick Gavin	Platinum Underwriters Holdings Ltd	8/12/2005
05-07605-FOIA	J. Patrick Gavin	Matrix Service Company	8/12/2005
05-07619-FOIA	Ellen Miller	Representative Thomas Tom D Delay Tx-22	8/12/2005
05-07610-FOIA	Gloria Simon	G Alfred Roensch	8/12/2005
05-07613-FOIA	Scott Rogers	Host America Inc	8/12/2005
05-07616-FOIA	Donald Carpenter	Clay L Shaw also know as Clay Lavergne Shaw	8/12/2005
05-07622-FOIA	Ciaran Brennan	all filers who are required to file under S404 ofo the Sarbanes Oxley Act	8/12/2005
05-07586-FOIA	Curt Greenlaw	Richard "Rick" Kinmon	8/12/2005
05-07601-FOIA	Curt Greenlaw	Paris Kinmon	8/12/2005
05-07607-FOIA	Curt Greenlaw	the Avedis Group Inc	8/12/2005
05-07604-FOIA	Phil Layzer	Paul J Sheehan and/or Paul Sheehan & Associates	8/12/2005
05-07623-FOIA	Laura Triay	Tomas Boom Pickens	8/12/2005
05-07624-FOIA	Patrick Walsh	Predium II	8/12/2005
05-07620-FOIA	Patrick Walsh	Quincy Property Acquisition Corp	8/12/2005
05-07621-FOIA	Patrick Walsh	University Portfolio Acquisition Corporation AKA University Mortgage Acquisition Corporation	8/12/2005
05-07615-FOIA	Patrick Walsh	Investors Mid Atlantic Portfolio Inc	8/12/2005
05-07617-FOIA	Patrick Walsh	Lincoln and Friend Associates LLC	8/12/2005
05-07618-FOIA	Patrick Walsh	NP Funding II Inc	8/12/2005
05-07612-FOIA	Patrick Walsh	Eastern Property Acquisition Corp AKA Eastern Mortgage Acquisition Corp	8/12/2005
05-07609-FOIA	Patrick Walsh	Columbus Property Corporation	8/12/2005
05-07606-FOIA	Patrick Walsh	Berkshire Capital	8/12/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07603-FOIA	Patrick Walsh	Garland Capital Corporation	8/12/2005
05-07596-FOIA	Patrick Walsh	Mortgage Properties Corp	8/12/2005
05-07597-FOIA	Patrick Walsh	Peoria Mortgage Acquisition Corp	8/12/2005
05-07598-FOIA	Patrick Walsh	150 Ballardvale LLC	8/12/2005
05-07599-FOIA	Patrick Walsh	Wayland Business Associates LLC	8/12/2005
05-07600-FOIA	Patrick Walsh	Praedium Management Inc aka Praedium Performance Partners LLC aka Praedium Partners LLC	8/12/2005
05-07593-FOIA	Patrick Walsh	Great Woods Development LLC aka Great Woods Associates LLC	8/12/2005
05-07594-FOIA	Patrick Walsh	Lexington Corporate Center Associates LLC	8/12/2005
05-07590-FOIA	Patrick Walsh	Ballardvale Associates LLC	8/12/2005
05-07591-FOIA	Patrick Walsh	Connecticut Property Acquisition Corporation aka Connecticut Mortgage Acquisition Corporation	8/12/2005
05-07588-FOIA	Patrick Walsh	200 Ballardvale LLC	8/12/2005
05-07671-FOIA	Susan Lichtcsien	Glenview Captial Management	8/15/2005
05-07644-FOIA	Susan Lichtcsien	Glenview Capital Management LLC	8/15/2005
05-07625-FOIA	Richard Lee	Gerald S. Papazian	8/15/2005
05-07651-FOIA	Richard Lee	Lap Shun "John" Hui	8/15/2005
05-07666-FOIA	Richard Lee	Bun Ben Wong	8/15/2005
05-07680-FOIA	Richard Lee	Korea Data Systems USA Inc	8/15/2005
05-07652-FOIA	Robert Arzonetti	UltraStrip Systems Inc	8/15/2005
05-07633-FOIA	Robert Arzonetti	Barry I. Hechtman	8/15/2005
05-07640-FOIA	Robert Arzonetti	Jacqueline McGuire	8/15/2005
05-07660-FOIA	Robert Arzonetti	James C Rushing III	8/15/2005
05-07645-FOIA	Robert Arzonetti	John P. Odwazny	8/15/2005
05-07626-FOIA	Robert Arzonetti	George R. Sterner	8/15/2005
05-07628-FOIA	Robert Arzonetti	Dennise Mcguire	8/15/2005
05-07656-FOIA	Robert Arzonetti	Michael R Donn Sr	8/15/2005
05-07673-FOIA	Robert Arzonetti	Stephen R Johnson	8/15/2005
05-07632-FOIA	Nicholas Rudikoff	HCA Inc	8/15/2005
05-07639-FOIA	Jonathan Batten	Gillett Holdings Inc	8/15/2005
05-07685-FOIA	Jonathan Batten	Vail Resorts Inc	8/15/2005
05-07653-FOIA	Staci Riordan	Courtney Smith	8/15/2005
05-07629-FOIA	Richard Harrison	Unocal Corp	8/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07631-FOIA	Richard Harrison	Pinnacle Systems Inc	8/15/2005
05-07634-FOIA	Richard Harrison	ML Macadamia Orchards L P	8/15/2005
05-07636-FOIA	Richard Harrison	United Parcel Service Inc	8/15/2005
05-07637-FOIA	Richard Harrison	American Tower Corp /MA/	8/15/2005
05-07638-FOIA	Richard Harrison	AIM Core Allocation Portfolio Series (File # 333-124335)	8/15/2005
05-07642-FOIA	Richard Harrison	ChevronTexaco Corp	8/15/2005
05-07643-FOIA	Richard Harrison	Overnite Corp	8/15/2005
05-07646-FOIA	Richard Harrison	Krispy Kreme Doughnuts inc	8/15/2005
05-07648-FOIA	Richard Harrison	Cimarex Energy Co	8/15/2005
05-07649-FOIA	Richard Harrison	Shadows Bend Development Inc 333125811	8/15/2005
05-07650-FOIA	Richard Harrison	Avid Technology Inc	8/15/2005
05-07655-FOIA	Richard Harrison	Spectrasite Inc	8/15/2005
05-07657-FOIA	Richard Harrison	Sportsmans Guide Inc	8/15/2005
05-07658-FOIA	Richard Harrison	Western Massachusetts Electric Co 333126456	8/15/2005
05-07659-FOIA	Richard Harrison	Home Depot Inc 333124699	8/15/2005
05-07663-FOIA	Richard Harrison	Microvision Inc	8/15/2005
05-07664-FOIA	Richard Harrison	Brooke Corp	8/15/2005
05-07665-FOIA	Richard Harrison	C Chip Technologies Corp	8/15/2005
05-07667-FOIA	Richard Harrison	Genaissance Pharmaceuticals Inc	8/15/2005
05-07668-FOIA	Richard Harrison	Strategic Hotel Captial Inc	8/15/2005
05-07669-FOIA	Richard Harrison	Midwest Banc Holdings Inc	8/15/2005
05-07670-FOIA	Richard Harrison	Intervest Bancshares Corp	8/15/2005
05-07661-FOIA	Richard Harrison	Regency Centers Corp 333125858	8/15/2005
05-07676-FOIA	Richard Harrison	First Security Group Inc TN	8/15/2005
05-07677-FOIA	Richard Harrison	Chunghwa Telecom Co Ltd	8/15/2005
05-07678-FOIA	Richard Harrison	Waterloo Ventures Inc 333123575	8/15/2005
05-07679-FOIA	Richard Harrison	Northwestern Corp 333123450	8/15/2005
05-07682-FOIA	Richard Harrison	Talx Corp 333121934	8/15/2005
05-07683-FOIA	Richard Harrison	Natural Resource Partners Lp	8/15/2005
05-07684-FOIA	Richard Harrison	Endurance Specialty Holdings Ltd	8/15/2005
05-07674-FOIA	Richard Harrison	Sagemark Companies Ltd	8/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07686-FOIA	Richard Harrison	Reddy Ice Holdings Inc	8/15/2005
05-07688-FOIA	Richard Harrison	OYO Geospace Corp	8/15/2005
05-07689-FOIA	Richard Harrison	Leggett & Platt Inc 333123213	8/15/2005
05-07690-FOIA	Richard Harrison	Semco Energy Inc	8/15/2005
05-07641-FOIA	J. Patrick Gavin	HealthSouth Corporation	8/15/2005
05-07654-FOIA	J. Patrick Gavin	The Sportsman Guide Inc	8/15/2005
05-07647-FOIA	J. Patrick Gavin	Rollins Inc	8/15/2005
05-07635-FOIA	J. Patrick Gavin	Park Electrochemical	8/15/2005
05-07630-FOIA	J. Patrick Gavin	Forrester Research Inc	8/15/2005
05-07627-FOIA	J. Patrick Gavin	Equity One Inc	8/15/2005
05-07681-FOIA	J. Patrick Gavin	Shurgard Storage Centers Inc	8/15/2005
05-07687-FOIA	J. Patrick Gavin	Tetra Tech Inc	8/15/2005
05-07675-FOIA	J. Patrick Gavin	United Industrial Corporation	8/15/2005
05-07662-FOIA	J. Patrick Gavin	Entrust Inc	8/15/2005
05-07672-FOIA	J. Patrick Gavin	Macromedia Inc	8/15/2005
05-07721-FOIA	J. Patrick Gavin	Adtran	8/16/2005
05-07716-FOIA	J. Patrick Gavin	Sealed Air Corporation	8/16/2005
05-07710-FOIA	J. Patrick Gavin	Telecom Corporation of New Zealand	8/16/2005
05-07713-FOIA	J. Patrick Gavin	Zoran Corporation	8/16/2005
05-07714-FOIA	J. Patrick Gavin	Standex International Corporation	8/16/2005
05-07692-FOIA	J. Patrick Gavin	BB&T Corporation	8/16/2005
05-00311-APPS	J. Patrick Gavin	Comerica Incorporated	8/16/2005
05-00312-APPS	J. Patrick Gavin	Northrop Grumman Corp	8/16/2005
05-00313-APPS	J. Patrick Gavin	THQ, Inc	8/16/2005
05-07708-FOIA	J. Patrick Gavin	Ims Health Incorporated	8/16/2005
05-07704-FOIA	J. Patrick Gavin	Measurement Specialties Inc	8/16/2005
05-07700-FOIA	J. Patrick Gavin	Spatialight Inc	8/16/2005
05-07695-FOIA	J. Patrick Gavin	Conagra Foods	8/16/2005
05-07698-FOIA	J. Patrick Gavin	Valueclick	8/16/2005
05-07724-FOIA	J. Patrick Gavin	Duke Energy Corporation	8/16/2005
05-07693-FOIA	Richard Harrison	Heartland Payment Systems Inc (File # 333118073)	8/16/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07696-FOIA	Richard Harrison	Seagate Technology (File # 333122149)	8/16/2005
05-07699-FOIA	Richard Harrison	Atlantic Coast Airlines Holdings Inc (File # 333124974)	8/16/2005
05-07702-FOIA	Richard Harrison	Carleton Ventures Corp (File # 333126333)	8/16/2005
05-07703-FOIA	Richard Harrison	Iteris Holdings Inc (File # 333121942)	8/16/2005
05-07705-FOIA	Richard Harrison	Ariad Pharmaceuticals Inc (File # 333122909)	8/16/2005
05-07706-FOIA	Richard Harrison	DDS Technologies USA Inc (File # 333124852)	8/16/2005
05-07711-FOIA	Richard Harrison	Redwood Mortgage Investors VIII	8/16/2005
05-07712-FOIA	Richard Harrison	E Citysoftware Inc	8/16/2005
05-07709-FOIA	Richard Harrison	Villageedocs Inc 333125783	8/16/2005
05-07715-FOIA	Richard Harrison	Anadys pharmaceuticals Inc	8/16/2005
05-07717-FOIA	Richard Harrison	Inyx Inc file number 333112902	8/16/2005
05-07718-FOIA	Richard Harrison	Inyx Inc file number 333119468	8/16/2005
05-07719-FOIA	Richard Harrison	Northwestern Corp 333123381	8/16/2005
05-07720-FOIA	Richard Harrison	Abiomed Inc	8/16/2005
05-07725-FOIA	Richard Harrison	US Bancorp DE 333-124535	8/16/2005
05-07726-FOIA	Richard Harrison	Bank of America Corp DE 333123714	8/16/2005
05-07722-FOIA	Richard Harrison	Regal Beloit Corp 333122823	8/16/2005
05-07723-FOIA	Richard Harrison	Axtel Sa De Cv 333123608	8/16/2005
05-07701-FOIA	David Vise	Google Inc	8/16/2005
05-07694-FOIA	Mildred Cummings	Kmart/Sears Transaction	8/16/2005
05-07697-FOIA	James Moore	Aon Fiduciary Counselors Inc aka Fiduciary Counselors Inc	8/16/2005
05-07691-FOIA	Mark Mierzejewski	Michael Novogratz	8/16/2005
05-07707-FOIA	Mark Mierzejewski	Drawbridge Global Macro Advisors LLC	8/16/2005
05-00303-APPS	Frank Stachyra	Jack Benjamin Grubman	8/16/2005
05-00304-APPS	Frank Stachyra	Sanford I Weill	8/16/2005
05-00305-APPS	Frank Stachyra	Eduardo Mestre	8/16/2005
05-00306-APPS	Frank Stachyra	Sherlyn McMahon	8/16/2005
05-00307-APPS	Frank Stachyra	Christine Gochuico	8/16/2005
05-00308-APPS	Frank Stachyra	Arzu Cevik	8/16/2005
05-00309-APPS	Frank Stachyra	Citigroup Inc	8/16/2005
05-00310-APPS	Frank Stachyra	Salomon Smith Barney Inc	8/16/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07727-FOIA	Erin Coffey	Administrative Agreement between the SEC and the Commission des Operations de Bourse of France	8/17/2005
05-07730-FOIA	John Buggins	Richford Limited	8/17/2005
05-07728-FOIA	Michelle Czyszczak	ZJX Investmet Management LLC	8/17/2005
05-07729-FOIA	Michelle Czyszczak	Michael Zhuang	8/17/2005
05-00314-APPS	J. Patrick Gavin	Amdocs Limited aka Amdocs Inc	8/17/2005
05-07731-FOIA	Cullen Wyatt	Western Investment LLC	8/18/2005
05-07732-FOIA	Cullen Wyatt	Arthur D Lipson	8/18/2005
05-07733-FOIA	Cullen Wyatt	Western Investment Hedged Partners LP	8/18/2005
05-07755-FOIA	Stephani Ayers	Mr Rzepiennik against Archstone Smith Inc	8/19/2005
05-07757-FOIA	Robert Benson	SEC v Peter T Caserta Salvatore Marino and Dana C Verrill	8/19/2005
05-07737-FOIA	Patrick Walsh	Xu Xiang Yang a/k/a Xuxia Yang	8/19/2005
05-07739-FOIA	Patrick Walsh	New Margin Ventures	8/19/2005
05-07746-FOIA	Patrick Walsh	WebEx Communications Inc	8/19/2005
05-07747-FOIA	Patrick Walsh	Prime Time Marketing Inc	8/19/2005
05-07742-FOIA	Patrick Walsh	Ooi Hoe Seong a/k/a Hoe Seong Ooi	8/19/2005
05-07743-FOIA	Patrick Walsh	Xin Wu	8/19/2005
05-07734-FOIA	Patrick Walsh	Beau Giannini	8/19/2005
05-07756-FOIA	Patrick Walsh	Ningbo Economic Trade Science and Technology Development Association	8/19/2005
05-07750-FOIA	Patrick Walsh	Hua Yuan Science and Technology Association	8/19/2005
05-07753-FOIA	Patrick Walsh	Unoviv Partners LLC	8/19/2005
05-07735-FOIA	Susan Lichtcsien	Aries Domestic Fund LP	8/19/2005
05-07736-FOIA	Susan Lichtcsien	Lindsay A Rosenwald MD	8/19/2005
05-07738-FOIA	J. Patrick Gavin	Freescale Semiconductor Inc	8/19/2005
05-07741-FOIA	J. Patrick Gavin	Jakks Pacific Inc	8/19/2005
05-07745-FOIA	J. Patrick Gavin	Advanced Energy Industries	8/19/2005
05-07758-FOIA	J. Patrick Gavin	Credit Acceptance Corporation	8/19/2005
05-07748-FOIA	J. Patrick Gavin	Impac Mortgage Holdings Inc	8/19/2005
05-07749-FOIA	J. Patrick Gavin	First Bancorp North Carolina	8/19/2005
05-07752-FOIA	J. Patrick Gavin	Digimarc Corporation	8/19/2005
05-07754-FOIA	J. Patrick Gavin	KVH Industries Inc	8/19/2005
05-00053-FOPA	Randolph Koch	Randolph S Koch	8/19/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07744-FOIA	Jonathan Behar	DreamWorks Animation SKG Inc	8/19/2005
05-07751-FOIA	Jonathan Behar	Doral Financial Corporation	8/19/2005
05-07761-FOIA	Richard Harrison	Image Technology Laboratories Inc	8/22/2005
05-07762-FOIA	Richard Harrison	Nextel Commuications Inc	8/22/2005
05-07763-FOIA	Richard Harrison	Sprint Corp	8/22/2005
05-07764-FOIA	Richard Harrison	First Community Bancorp CA	8/22/2005
05-07765-FOIA	Richard Harrison	Acco World Corp	8/22/2005
05-07766-FOIA	Richard Harrison	Medco Health Solutions Inc	8/22/2005
05-07767-FOIA	Richard Harrison	Sprint Corp	8/22/2005
05-07768-FOIA	Richard Harrison	Susquehanna Media Co	8/22/2005
05-07769-FOIA	Richard Harrison	Accredo Health Inc	8/22/2005
05-07770-FOIA	Richard Harrison	General Binding Corp	8/22/2005
05-07771-FOIA	Richard Harrison	Wyndham International Inc	8/22/2005
05-07772-FOIA	Richard Harrison	Applied Dna Sciences Inc	8/22/2005
05-07773-FOIA	Richard Harrison	TB Woods Corp	8/22/2005
05-07774-FOIA	Richard Harrison	Veritas Medical Diagnostics Inc	8/22/2005
05-07775-FOIA	Richard Harrison	First Choice Health Network Inc	8/22/2005
05-07777-FOIA	Richard Harrison	Drugmax Inc 333-126449	8/22/2005
05-07778-FOIA	Richard Harrison	Cedar Shopping Centers Inc 333-125582	8/22/2005
05-07779-FOIA	Richard Harrison	Ivax Corp 333-126171	8/22/2005
05-07780-FOIA	Richard Harrison	Intervest Mortgage Corp 333-125793	8/22/2005
05-07781-FOIA	Richard Harrison	Blackrock Inc/NY 333124843	8/22/2005
05-07782-FOIA	Richard Harrison	Corgenix Medical Corp/CO 333126115	8/22/2005
05-07783-FOIA	Richard Harrison	Velocity Asset Management Inc 333122062	8/22/2005
05-07784-FOIA	Richard Harrison	Plitt Theatres Inc 333124111	8/22/2005
05-07785-FOIA	Richard Harrison	Med Gen Inc 333122093	8/22/2005
05-07786-FOIA	Richard Harrison	TGT Pipeline LLC 333125201	8/22/2005
05-07787-FOIA	Richard Harrison	Pacific Capital Bancorp/CA 333125938	8/22/2005
05-07788-FOIA	Richard Harrison	Sybase Inc 333124768	8/22/2005
05-07789-FOIA	Richard Harrison	National Financial Partners Corp 333125940	8/22/2005
05-07790-FOIA	Richard Harrison	Oppenheimer International Small Co Fund 333-127619	8/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07791-FOIA	Richard Harrison	Purisima Funds 333-127503	8/22/2005
05-07792-FOIA	Richard Harrison	Santarus Inc 333124830	8/22/2005
05-07793-FOIA	Richard Harrison	Kona Grill Inc 333125506	8/22/2005
05-07794-FOIA	Richard Harrison	Markland Technologies Inc 333126051	8/22/2005
05-07795-FOIA	Richard Harrison	Genius Products Inc 333126491	8/22/2005
05-07796-FOIA	Richard Harrison	Protein Design Labs Inc/DE 333123958	8/22/2005
05-07797-FOIA	Richard Harrison	US Unwired Inc	8/22/2005
05-07798-FOIA	Richard Harrison	Spatialight Inc 333122392	8/22/2005
05-07759-FOIA	Richard Harrison	Sun Network Group Inc	8/22/2005
05-07760-FOIA	Karen Palermo	Parexel International	8/22/2005
05-07776-FOIA	Samuel Davis	Jones Blechman Woltz & Kelly Inc	8/22/2005
05-00315-APPS	Bruce Pollack	Independence Community Bank Corporation	8/22/2005
05-07811-FOIA	Adrian Gatton	Stephen Langford Curtis	8/23/2005
05-07812-FOIA	Mary Montgomery	BellSouth Corporation	8/23/2005
05-07813-FOIA	Mary Montgomery	BellSouth Savings and Security Plan	8/23/2005
05-07814-FOIA	Mary Montgomery	BellSouth Retirement Savings Plan	8/23/2005
05-07809-FOIA	Lindsay Meader	Credit Suisse First Boston	8/23/2005
05-07802-FOIA	Mark Edwards	Biomatrix Inc	8/23/2005
05-07800-FOIA	Mark Edwards	ArQule Inc	8/23/2005
05-07817-FOIA	Mark Edwards	Protein Design Labs	8/23/2005
05-07806-FOIA	Mark Edwards	Metra Biosystems	8/23/2005
05-07804-FOIA	Mark Edwards	Microcide Pharmaceuticals Inc	8/23/2005
05-07799-FOIA	J. Patrick Gavin	Select Comfort Corporation	8/23/2005
05-07801-FOIA	J. Patrick Gavin	Boston Scientific Corporation	8/23/2005
05-07803-FOIA	J. Patrick Gavin	Grey Wolf Inc	8/23/2005
05-07805-FOIA	J. Patrick Gavin	Schnitzer Industries Inc	8/23/2005
05-07810-FOIA	J. Patrick Gavin	Motient Corporation	8/23/2005
05-07807-FOIA	J. Patrick Gavin	Quidel Corporation	8/23/2005
05-07808-FOIA	J. Patrick Gavin	Heinz Company	8/23/2005
05-07815-FOIA	J. Patrick Gavin	Steve Madden Ltd	8/23/2005
05-07816-FOIA	J. Patrick Gavin	Oakley Inc	8/23/2005

ID	Requester	Description	Received Date
05-07818-FOIA	J. Patrick Gavin	Primus Knowledge Solutions Inc	8/23/2005
05-07837-FOIA	J. Patrick Gavin	Temple Inland Inc	8/25/2005
05-07838-FOIA	J. Patrick Gavin	Brightpoint Inc	8/25/2005
05-07824-FOIA	Mark Edwards	Biocircuits Corporation	8/25/2005
05-07826-FOIA	Mark Edwards	Biocryst Pharmaceuticals Inc	8/25/2005
05-07827-FOIA	Mark Edwards	ArQule Inc	8/25/2005
05-07831-FOIA	Mark Edwards	Cocensys Inc	8/25/2005
05-07832-FOIA	Mark Edwards	Human Genome Sciences Inc	8/25/2005
05-07833-FOIA	Mark Edwards	Biogen N V later called Biogen	8/25/2005
05-07834-FOIA	Mark Edwards	IDEC Pharmaceuticals now Biogen Idec	8/25/2005
05-07821-FOIA	Mark Edwards	Bio-Electro Systems, Inc.	8/25/2005
05-07829-FOIA	Mark Edwards	Repligen Corporation	8/25/2005
05-07836-FOIA	Mark Edwards	ICOS Corporation	8/25/2005
05-07839-FOIA	Patrick Walsh	IBI Securities Services Inc	8/25/2005
05-07825-FOIA	Patrick Walsh	Mallon's Security Report	8/25/2005
05-07822-FOIA	Robert Leach	List of public companies that file for Chapter 11 from October 1, 2004 to the most recent	8/25/2005
05-07830-FOIA	Susan Lichtcsien	Timothy Barakett and Atticus Capital	8/25/2005
05-07823-FOIA	Clint Binkley	Millennium Asset Management Ltd	8/25/2005
05-07819-FOIA	Stuart Goldstein	Edward Gonzales	8/25/2005
05-00054-FOPA	John Decker	John Wagner Decker	8/25/2005
05-07828-FOIA	David Viola	Top Trader a product of Personal Wealth Foundation Inc	8/25/2005
05-07835-FOIA	Stephanie Spieer	Joseph Ronald Blackwell	8/25/2005
05-07840-FOIA	Walter Rzepiennik	Archstone Smith Inc	8/25/2005
05-07841-FOIA	Richard Margolies	Vivendi Universal SA	8/26/2005
05-07847-FOIA	Cynthia Hyndman	Dreyfus Service Corporation	8/26/2005
05-07852-FOIA	Robert Zeigler	FSC Securities Corporation	8/26/2005
05-07858-FOIA	Scott Matasar	Willian H Black et al	8/26/2005
05-07874-FOIA	Robert Jenkins	HomEq Servicing Corporation	8/26/2005
05-07868-FOIA	Jay McKendree	deposition testimony of Andrew M Brown CEO of the Ramp Corporation formerly known as Medix Resources Inc	8/26/2005
05-07843-FOIA	Patrick Walsh	Roger W Cressey	8/26/2005
05-07844-FOIA	Patrick Walsh	Ralph S Sheridan	8/26/2005

ID	Requester	Description	Received Date
05-07845-FOIA	Patrick Walsh	Thomas J Colatosti	8/26/2005
05-07863-FOIA	Laura Triay	Chad Shimaitis	8/26/2005
05-07853-FOIA	Laura Triay	Denys Thorez	8/26/2005
05-07846-FOIA	Laura Triay	David Coolidge	8/26/2005
05-07849-FOIA	Patrick Walsh	Andrew D Kopperl	8/26/2005
05-07850-FOIA	Patrick Walsh	John S Trirak	8/26/2005
05-07851-FOIA	Patrick Walsh	Brian L Stafford	8/26/2005
05-07855-FOIA	Patrick Walsh	John C Mallon aka Jack Mallon	8/26/2005
05-07856-FOIA	Patrick Walsh	Management Consulting Inc aka Mancon	8/26/2005
05-07857-FOIA	Patrick Walsh	Mancon of Virginia Inc	8/26/2005
05-07861-FOIA	Patrick Walsh	RAC Enterprises Inc	8/26/2005
05-07862-FOIA	Patrick Walsh	Good Harbor Consulting LLC	8/26/2005
05-07865-FOIA	Patrick Walsh	Value Management LLC	8/26/2005
05-07866-FOIA	Patrick Walsh	AS & E Global Inc	8/26/2005
05-07867-FOIA	Patrick Walsh	D S Corp	8/26/2005
05-07870-FOIA	Patrick Walsh	Richard A Clarke	8/26/2005
05-07871-FOIA	Patrick Walsh	American Security Ventures Inc	8/26/2005
05-07872-FOIA	Patrick Walsh	Viisage Technology Inc	8/26/2005
05-07873-FOIA	Patrick Walsh	Sports Media Guide Inc	8/26/2005
05-07881-FOIA	Patrick Walsh	Pegasus Racing Inc	8/26/2005
05-07882-FOIA	Patrick Walsh	Automotive Concepts and Development Corporation	8/26/2005
05-07883-FOIA	Patrick Walsh	Tritak Consulting Group Inc	8/26/2005
05-07884-FOIA	Patrick Walsh	Mallon Capital LLC	8/26/2005
05-07885-FOIA	Patrick Walsh	Executive Communications Inc	8/26/2005
05-07876-FOIA	Patrick Walsh	Delano & Kopperl Inc	8/26/2005
05-07877-FOIA	Patrick Walsh	Dragon Feathers Inc	8/26/2005
05-07878-FOIA	Patrick Walsh	Pegasus Investments Inc	8/26/2005
05-07879-FOIA	Patrick Walsh	Pegasus Opportunity Fund Limited Partnership	8/26/2005
05-07902-FOIA	Patrick Walsh	Silver Bullet Inc	8/26/2005
05-07903-FOIA	Patrick Walsh	Handelsman & Company	8/26/2005
05-07904-FOIA	Patrick Walsh	The Hartcourt Companies Inc	8/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07905-FOIA	Patrick Walsh	Value Management Corporation	8/26/2005
05-07897-FOIA	Patrick Walsh	Nighthawk Radiology Services Inc	8/26/2005
05-07898-FOIA	Patrick Walsh	Munder Funds	8/26/2005
05-07899-FOIA	Patrick Walsh	David J Brophy	8/26/2005
05-07900-FOIA	Patrick Walsh	Donald L Runkle	8/26/2005
05-07892-FOIA	Patrick Walsh	The National Center for Missing and Exploited Children also known as National Center for Missing & Exploited Children	8/26/2005
05-07893-FOIA	Patrick Walsh	Nantucket International Fund	8/26/2005
05-07894-FOIA	Patrick Walsh	Growth Capital Associates LLC	8/26/2005
05-07895-FOIA	Patrick Walsh	Growth Management Inc	8/26/2005
05-07887-FOIA	Patrick Walsh	A H Truck & Auto Repair Inc	8/26/2005
05-07888-FOIA	Patrick Walsh	A2 Inc	8/26/2005
05-07889-FOIA	Patrick Walsh	G & M Industries Inc	8/26/2005
05-07890-FOIA	Patrick Walsh	Security Investing Inc	8/26/2005
05-07842-FOIA	Mark Edwards	Biomarin Pharmaceutical Inc	8/26/2005
05-07864-FOIA	Mark Edwards	Bioject Medical Technologies Inc	8/26/2005
05-07854-FOIA	Mark Edwards	Bruker Daltonics Inc	8/26/2005
05-07848-FOIA	Mark Edwards	Biomira Inc	8/26/2005
05-07859-FOIA	Mark Edwards	Biotransplant Inc	8/26/2005
05-07860-FOIA	J. Patrick Gavin	Robert Half International Inc	8/26/2005
05-07869-FOIA	J. Patrick Gavin	NovaStar Financial Inc	8/26/2005
05-07875-FOIA	J. Patrick Gavin	Harrah's Entertainment Inc	8/26/2005
05-07880-FOIA	J. Patrick Gavin	Genuine Parts Company	8/26/2005
05-07886-FOIA	J. Patrick Gavin	First Niagara Financial Group Inc fka Niagara Bancorp Inc	8/26/2005
05-07891-FOIA	J. Patrick Gavin	Circuit City Stores Inc or Circuit City Group	8/26/2005
05-07896-FOIA	J. Patrick Gavin	Bemis Company Inc	8/26/2005
05-07901-FOIA	J. Patrick Gavin	Express Scripts Inc	8/26/2005
05-00316-APPS	J. Patrick Gavin	Brunswick Corporation	8/26/2005
05-00317-APPS	J. Patrick Gavin	The Gillette Company	8/26/2005
05-00318-APPS	J. Patrick Gavin	Cooper Tire and Rubber	8/26/2005
05-00319-APPS	J. Patrick Gavin	Andrew Corporation	8/26/2005
05-07909-FOIA	J. Patrick Gavin	Bank of America Corporation	8/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07910-FOIA	J. Patrick Gavin	Lance Inc	8/29/2005
05-07914-FOIA	J. Patrick Gavin	Kilroy Realty Corporation	8/29/2005
05-07915-FOIA	J. Patrick Gavin	Johnson Controls Inc	8/29/2005
05-07919-FOIA	J. Patrick Gavin	SVB Financial Group	8/29/2005
05-07920-FOIA	J. Patrick Gavin	Philadelphia Consolidated Holding Corp	8/29/2005
05-07924-FOIA	J. Patrick Gavin	Source Interlink Companies Inc fka Source Information Management Company	8/29/2005
05-07925-FOIA	J. Patrick Gavin	Transaction Systems Architects Inc	8/29/2005
05-07929-FOIA	J. Patrick Gavin	Entercom Communications Corporation	8/29/2005
05-07930-FOIA	J. Patrick Gavin	Option Care Inc	8/29/2005
05-07934-FOIA	J. Patrick Gavin	Invitrogen Corporation	8/29/2005
05-07935-FOIA	J. Patrick Gavin	Freeport-McMoRan Copper & Gold Inc	8/29/2005
05-07939-FOIA	J. Patrick Gavin	Usana Health Sciences Inc	8/29/2005
05-07940-FOIA	J. Patrick Gavin	Microsemi Corporation	8/29/2005
05-07941-FOIA	J. Patrick Gavin	Maxwell Technologies Inc	8/29/2005
05-07942-FOIA	J. Patrick Gavin	Oshkosh Truck Corporation	8/29/2005
05-07949-FOIA	J. Patrick Gavin	InfoSpace Inc	8/29/2005
05-07952-FOIA	J. Patrick Gavin	Diebold Incorporated	8/29/2005
05-07957-FOIA	J. Patrick Gavin	Grant Prideco Inc	8/29/2005
05-07961-FOIA	J. Patrick Gavin	eCollege.com	8/29/2005
05-07907-FOIA	Mark Edwards	British Biotechnology Corp Ika Vernalis	8/29/2005
05-07947-FOIA	Mark Edwards	Cambridge Neuroscience Inc	8/29/2005
05-07917-FOIA	Mark Edwards	Cambridge Antibody Technology Group	8/29/2005
05-07936-FOIA	Mark Edwards	Caliper Technologies Corp aka Caliper Life Sciences	8/29/2005
05-07955-FOIA	Mark Edwards	Cadus Pharmaceutical Corp	8/29/2005
05-07943-FOIA	Michael Spence	Lindsay A Rosenwald	8/29/2005
05-07944-FOIA	Michael Spence	Jonah Jay Lobel	8/29/2005
05-07945-FOIA	Michael Spence	Michael Weiser	8/29/2005
05-07953-FOIA	Robert Motz	Stephen K Burley	8/29/2005
05-07948-FOIA	Robert Motz	Michael Grey	8/29/2005
05-07950-FOIA	Robert Motz	James A Rotherham	8/29/2005
05-07933-FOIA	Robert Motz	Kintera Inc	8/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07937-FOIA	Robert Motz	Copiers Now Inc	8/29/2005
05-07938-FOIA	Robert Motz	Epidemic Marketing Inc	8/29/2005
05-07928-FOIA	Robert Motz	Ansan Inc	8/29/2005
05-07913-FOIA	Robert Motz	Prospect Genomics Inc fka Combio Pharmaceuticals Corp	8/29/2005
05-07918-FOIA	Robert Motz	Trega Biosciences Inc	8/29/2005
05-07923-FOIA	Robert Motz	BioChem Therapeutics Inc	8/29/2005
05-07908-FOIA	Robert Motz	Protarch Inc	8/29/2005
05-07962-FOIA	Robert Motz	SGX Acquisition Corp	8/29/2005
05-07958-FOIA	Robert Motz	Structural Genomix Inc	8/29/2005
05-07912-FOIA	Laura Triay	Anthony Annunziato	8/29/2005
05-07916-FOIA	Laura Triay	John Saucer	8/29/2005
05-07946-FOIA	Laura Triay	AAA Capital Management Inc	8/29/2005
05-07951-FOIA	Tracey Danison	Third Avenue Trust	8/29/2005
05-07954-FOIA	Tracey Danison	Third Avenue Funds	8/29/2005
05-07921-FOIA	Susan Lichtcsien	Quantitive Investment Management LLC	8/29/2005
05-07911-FOIA	Brad Anderson	California State Treasurer Phil Angelides	8/29/2005
05-07931-FOIA	Firas Kadhum	Homecomings Financial Network Inc	8/29/2005
05-00055-FOPA	Virgil Smock	Virgil G. Smock	8/29/2005
05-07959-FOIA	Pauline Zelkin	Fidelity	8/29/2005
05-07963-FOIA	David Mitchell	Branch Banking & Trust	8/29/2005
05-07964-FOIA	David Mitchell	Chemical Bank N A aka Chase Bank USA	8/29/2005
05-07965-FOIA	David Mitchell	Chase Home Finance	8/29/2005
05-07956-FOIA	Danielle Carpenter	Freeport Financial	8/29/2005
05-07960-FOIA	Danielle Carpenter	Robert Barnard	8/29/2005
05-07927-FOIA	Danielle Carpenter	Walkers SPV Ltd	8/29/2005
05-07932-FOIA	Danielle Carpenter	Stark Investments Structured Finance Offshore Fund Ltd.	8/29/2005
05-07922-FOIA	Danielle Carpenter	Stark Investments Structured Finance Onshore Fund LP	8/29/2005
05-07906-FOIA	Danielle Carpenter	Don Bobbs	8/29/2005
05-07926-FOIA	Mary Helburn	Aggregate fail to deliver data supplied by the DTCC	8/29/2005
05-07990-FOIA	Danielle Carpenter	Mesirow Financial	8/30/2005
05-07972-FOIA	Michael Omranian	contact information	8/30/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-07984-FOIA	Krista Schultz	Robert Taft	8/30/2005
05-08024-FOIA	Krista Schultz	Jim Petro	8/30/2005
05-08030-FOIA	Megan Lynch	Wayne Harold Coleson	8/30/2005
05-07996-FOIA	Megan Lynch	Redwood Grove Capital Management LLC	8/30/2005
05-08001-FOIA	Mark Mierzejewski	Yan Huo	8/30/2005
05-07978-FOIA	Hiroko Aoki	report metion on page 68 of your release	8/30/2005
05-07970-FOIA	Michael Spence	Paramount Acquisition Corp	8/30/2005
05-07971-FOIA	Michael Spence	Illya K Maher	8/30/2005
05-07993-FOIA	Michael Spence	Clinical Trials Assistance Corp	8/30/2005
05-07994-FOIA	Michael Spence	IT & E Corporation	8/30/2005
05-07995-FOIA	Michael Spence	California Technology Group	8/30/2005
05-07987-FOIA	Michael Spence	Nano Solutions Associates Inc	8/30/2005
05-07988-FOIA	Michael Spence	SOS Temporary Services	8/30/2005
05-07989-FOIA	Michael Spence	SOS Staffing Services Inc	8/30/2005
05-07981-FOIA	Michael Spence	Kelly John Alberts	8/30/2005
05-07982-FOIA	Michael Spence	Peter R Sollenne	8/30/2005
05-07983-FOIA	Michael Spence	Lease Win Ltd	8/30/2005
05-07975-FOIA	Michael Spence	Cars4U Ltd	8/30/2005
05-07976-FOIA	Michael Spence	Ronald M Rubinoff	8/30/2005
05-07977-FOIA	Michael Spence	Barry Shafran	8/30/2005
05-08021-FOIA	Michael Spence	MW Consulting Inc	8/30/2005
05-08022-FOIA	Michael Spence	Paramount Capital Inc	8/30/2005
05-08023-FOIA	Michael Spence	Woodshire Ltd	8/30/2005
05-08033-FOIA	Michael Spence	Jamie L Buskill	8/30/2005
05-08034-FOIA	Michael Spence	Harold Dean Jones	8/30/2005
05-08027-FOIA	Michael Spence	SOS Information Technology Co	8/30/2005
05-08028-FOIA	Michael Spence	SOS Services Inc	8/30/2005
05-08029-FOIA	Michael Spence	IT & E International	8/30/2005
05-08015-FOIA	Michael Spence	The Blackshire Group Ltd	8/30/2005
05-08016-FOIA	Michael Spence	Scimark Corp	8/30/2005
05-08017-FOIA	Michael Spence	DJR Capital Corp	8/30/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08004-FOIA	Michael Spence	gulf South Pipelines	8/30/2005
05-08005-FOIA	Michael Spence	Texas Gas Transmission LLC	8/30/2005
05-07998-FOIA	Michael Spence	Rolf A Gavfvrt	8/30/2005
05-07999-FOIA	Michael Spence	PMA Financial Services Inc	8/30/2005
05-08000-FOIA	Michael Spence	Inteliant Corp	8/30/2005
05-08008-FOIA	Nicholas Boylan	S-8 Registration Statement	8/30/2005
05-08006-FOIA	Ron Barrett	Behringer harvard Opportunity REIT I	8/30/2005
05-07969-FOIA	Brian Pietras	Wells Notices between January 1, 2005 and March 31, 2005	8/30/2005
05-00322-APPS	J. Patrick Gavin	California Pizza Kitchen Inc	8/30/2005
05-00323-APPS	J. Patrick Gavin	Ariba Inc	8/30/2005
05-00320-APPS	J. Patrick Gavin	Performance Food Group Company	8/30/2005
05-00321-APPS	J. Patrick Gavin	lack of response for comment letters and responses	8/30/2005
05-00325-APPS	J. Patrick Gavin	China Life Insurance Company	8/30/2005
05-00324-APPS	J. Patrick Gavin	Alaska Air Group Inc	8/30/2005
05-07966-FOIA	Richard Harrison	Juno Lighting Inc	8/30/2005
05-07967-FOIA	Richard Harrison	Korea Fund Inc	8/30/2005
05-07968-FOIA	Richard Harrison	Tarragon Corp	8/30/2005
05-07973-FOIA	Richard Harrison	Allis Chalmers Corp 333126110	8/30/2005
05-07974-FOIA	Richard Harrison	Aquacell Technologies Inc 333121903	8/30/2005
05-07979-FOIA	Richard Harrison	Bullion River Gold Corp 333124806	8/30/2005
05-07980-FOIA	Richard Harrison	EFJ 333125257	8/30/2005
05-07985-FOIA	Richard Harrison	Arotech Corp 333124961	8/30/2005
05-07986-FOIA	Richard Harrison	BlackBaud Inc 333122122	8/30/2005
05-07991-FOIA	Richard Harrison	House of Brussels Chocolates Inc 333124424	8/30/2005
05-07992-FOIA	Richard Harrison	Yzapp International Inc 333111696	8/30/2005
05-07997-FOIA	Richard Harrison	Utopia Funds	8/30/2005
05-08002-FOIA	Richard Harrison	Exar Corp	8/30/2005
05-08012-FOIA	Richard Harrison	Schneider Electric	8/30/2005
05-08013-FOIA	Richard Harrison	Exar Corp	8/30/2005
05-08014-FOIA	Richard Harrison	Seebeyond Technology Corp	8/30/2005
05-08018-FOIA	Richard Harrison	NS8 Corp	8/30/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08019-FOIA	Richard Harrison	MB Software Corp	8/30/2005
05-08020-FOIA	Richard Harrison	Sun Mirosystems Inc	8/30/2005
05-08007-FOIA	Richard Harrison	Dobson Communications Corp	8/30/2005
05-08025-FOIA	Richard Harrison	Composite Technology Corp 333122280	8/30/2005
05-08026-FOIA	Richard Harrison	Lasalle Hotel Properties 333125057	8/30/2005
05-08031-FOIA	Richard Harrison	Infodata Systems Inc	8/30/2005
05-08032-FOIA	Richard Harrison	Boardwalk Pipeline Partners LP	8/30/2005
05-08009-FOIA	Michele Sengstack	Kenneth C Carter	8/30/2005
05-08010-FOIA	Michele Sengstack	Gary Lessing	8/30/2005
05-08011-FOIA	Michele Sengstack	Avalon Pharmaceuticals Inc fka Therapeutic Genomics Inc since 1959	8/30/2005
05-08003-FOIA	Michele Sengstack	International Genetics Associates Inc	8/30/2005
05-08039-FOIA	Richard Harrison	Wells Notices between January 1, 2005 and March 31, 2005	8/31/2005
05-08042-FOIA	Richard Harrison	Wells Notices between April 1, 2004 and June 30, 2004	8/31/2005
05-08045-FOIA	Richard Harrison	Wells Notices between April 1, 2003 and June 30, 2003	8/31/2005
05-08046-FOIA	Richard Harrison	Wells Notice October 1, 2003 and December 31, 2002	8/31/2005
05-08049-FOIA	Richard Harrison	Wells Notices between April 1, 2002 and June 30, 2002	8/31/2005
05-08054-FOIA	Richard Harrison	Wells Notices between April 1, 2005 and June 30, 2005	8/31/2005
05-08057-FOIA	Richard Harrison	Wells Notices between July 1, 2002 and September 30, 2002	8/31/2005
05-08060-FOIA	Richard Harrison	Wells Notices between July 1, 2003 and September 30, 2003	8/31/2005
05-08068-FOIA	Richard Harrison	Wells Notices January 1, 2003 and March 31, 2003	8/31/2005
05-08062-FOIA	Richard Harrison	Wells Notices between July 1, 2004 and September 30, 2004	8/31/2005
05-08063-FOIA	Richard Harrison	Wells Notices October 1, 2003 and December 31, 2003	8/31/2005
05-08065-FOIA	Richard Harrison	Wells Notices October 1, 2004 and December 31, 2004	8/31/2005
05-08066-FOIA	Richard Harrison	Wells Notices January 1, 2002 and March 31, 2002	8/31/2005
05-08035-FOIA	Mark Edwards	Cell Therapeutics Inc	8/31/2005
05-08043-FOIA	Mark Edwards	CellPro Inc	8/31/2005
05-08040-FOIA	Mark Edwards	Cell Genesys Inc	8/31/2005
05-08051-FOIA	Mark Edwards	Cellegy Pharmaceuticals Inc	8/31/2005
05-08047-FOIA	Mark Edwards	Celtrix Pharmaceuticals Inc	8/31/2005
05-08058-FOIA	Gregg Jones	Halliburton Co	8/31/2005
05-08037-FOIA	Pamela Wheeler	Service Aquisition Corp	8/31/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08038-FOIA	Christopher Bass	Allergan Inc	8/31/2005
05-08036-FOIA	Christopher Bass	Tenet Healthcare Corp	8/31/2005
05-08041-FOIA	Christopher Bass	Caremark RX Inc	8/31/2005
05-08044-FOIA	Christopher Bass	Express Scripts Inc	8/31/2005
05-08048-FOIA	Christopher Bass	Health Management Associates	8/31/2005
05-08052-FOIA	Christopher Bass	Medtronic Inc	8/31/2005
05-08053-FOIA	Christopher Bass	Cooper Companies Inc	8/31/2005
05-08056-FOIA	Christopher Bass	Mylan Laboratories	8/31/2005
05-08059-FOIA	Christopher Bass	Millipore Corp	8/31/2005
05-08061-FOIA	Christopher Bass	Biomet Inc	8/31/2005
05-08050-FOIA	W Lynch	David Friend	8/31/2005
05-08055-FOIA	Sienky Lallemand	SEC Tracker Flag	8/31/2005
05-08064-FOIA	Mark Niquette	Ohio Attorney General's Office since 1998	8/31/2005
05-08067-FOIA	Michael Spear	OIEA complaint - HO 966368 and any all investigations if any associated with complaint HO 966368	8/31/2005
05-08079-FOIA	Joshua Strange	Joshua Adam Strange	9/1/2005
05-08081-FOIA	Alan Newman	Overstock.com	9/1/2005
05-08083-FOIA	Justin Barton	Brickman Associates	9/1/2005
05-08086-FOIA	Megan Lynch	James M Park Phd	9/1/2005
05-08087-FOIA	Megan Lynch	Paradigm Global Advisors LLC	9/1/2005
05-08082-FOIA	J. Patrick Gavin	Apple Computer Inc	9/1/2005
05-08069-FOIA	J. Patrick Gavin	Nara Bancorp Inc	9/1/2005
05-08070-FOIA	J. Patrick Gavin	The Pantry	9/1/2005
05-08071-FOIA	J. Patrick Gavin	Core Laboratories N V	9/1/2005
05-08072-FOIA	J. Patrick Gavin	Nanometrics Incorporated	9/1/2005
05-08073-FOIA	J. Patrick Gavin	Assurant Inc	9/1/2005
05-08074-FOIA	J. Patrick Gavin	Cemtura Corporation	9/1/2005
05-08075-FOIA	J. Patrick Gavin	Constellations Brands Inc	9/1/2005
05-08076-FOIA	J. Patrick Gavin	Jeacobs Engineering Group Inc	9/1/2005
05-08077-FOIA	J. Patrick Gavin	Borland Software Corporation	9/1/2005
05-08078-FOIA	J. Patrick Gavin	Cascade Natural Gas Corporation	9/1/2005
05-08088-FOIA	J. Patrick Gavin	Ametek Inc	9/1/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08084-FOIA	J. Patrick Gavin	Baidu.com	9/1/2005
05-08085-FOIA	J. Patrick Gavin	Albemarle Corporation	9/1/2005
05-08080-FOIA	J. Patrick Gavin	Consol Energy Inc	9/1/2005
05-08089-FOIA	J. Patrick Gavin	National Oilwell Varco Inc	9/2/2005
05-08090-FOIA	J. Patrick Gavin	Symbol Technologies Inc	9/2/2005
05-08091-FOIA	J. Patrick Gavin	Youbet.com Inc	9/2/2005
05-08092-FOIA	J. Patrick Gavin	Continental Airlines Inc	9/2/2005
05-08093-FOIA	J. Patrick Gavin	NCR Corporation	9/2/2005
05-08094-FOIA	J. Patrick Gavin	Legg Mason Inc	9/2/2005
05-08095-FOIA	J. Patrick Gavin	Readers Digest Association Inc	9/2/2005
05-08096-FOIA	J. Patrick Gavin	Readers Digest Association Inc	9/2/2005
05-08097-FOIA	J. Patrick Gavin	Quanta Services Inc	9/2/2005
05-08098-FOIA	J. Patrick Gavin	Masswy Energy Company	9/2/2005
05-08099-FOIA	J. Patrick Gavin	Workstream Inc	9/2/2005
05-08100-FOIA	J. Patrick Gavin	Noble Energy Inc	9/2/2005
05-08101-FOIA	J. Patrick Gavin	National Oilwell Varco Inc	9/2/2005
05-08102-FOIA	J. Patrick Gavin	Oceaneering International Inc	9/2/2005
05-08103-FOIA	J. Patrick Gavin	Parker Drilling Company	9/2/2005
05-08104-FOIA	J. Patrick Gavin	Plantronics Inc	9/2/2005
05-08105-FOIA	J. Patrick Gavin	LKQ Corporation	9/2/2005
05-08133-FOIA	Lionel Glancy	D W Heath & Associates Inc et al	9/6/2005
05-08107-FOIA	Richard Harrison	Boston Acqustics Inc	9/6/2005
05-08108-FOIA	Richard Harrison	Camden Property Trust 333-84658	9/6/2005
05-08109-FOIA	Richard Harrison	Cardiac Science Inc	9/6/2005
05-08110-FOIA	Richard Harrison	Chemical Leaman Corp PA 333-122641	9/6/2005
05-08111-FOIA	Richard Harrison	Chester Valley Bancorp Inc	9/6/2005
05-08112-FOIA	Richard Harrison	China Medical Technologies Inc 333-126630	9/6/2005
05-08113-FOIA	Richard Harrison	Coca Cola Bottling Co Consolidated FE	9/6/2005
05-08114-FOIA	Richard Harrison	Cordia Corp 333-124996	9/6/2005
05-08116-FOIA	Richard Harrison	Dark Dynamite Inc	9/6/2005
05-08117-FOIA	Richard Harrison	Ebay Inc	9/6/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08118-FOIA	Richard Harrison	Federated Department Stores Inc DE	9/6/2005
05-08119-FOIA	Richard Harrison	First Community Bancorp CA 333-125948	9/6/2005
05-08120-FOIA	Richard Harrison	Genworth Financial Series Trust 811-21801	9/6/2005
05-08121-FOIA	Richard Harrison	Ivory Capital Corp 333-123916	9/6/2005
05-08122-FOIA	Richard Harrison	May Department Store Co	9/6/2005
05-08123-FOIA	Richard Harrison	Merisant Co 333114105	9/6/2005
05-08124-FOIA	Richard Harrison	Mevc Draper Fisher Jurvetson Fund 333125953	9/6/2005
05-08126-FOIA	Richard Harrison	Morifon Hodlings BV	9/6/2005
05-08127-FOIA	Richard Harrison	Mountain National Bancshares Inc 333126524	9/6/2005
05-08128-FOIA	Richard Harrison	Muniyield Arizona Fund Inc 333126303	9/6/2005
05-08135-FOIA	Richard Harrison	Baidu.com Inc 333126534	9/6/2005
05-08136-FOIA	Richard Harrison	Berkeley Income Trust Inc 333126950	9/6/2005
05-08137-FOIA	Richard Harrison	Veritas DGC Inc 333-116036	9/6/2005
05-08138-FOIA	Richard Harrison	Price/Costco Inc 333125637	9/6/2005
05-08139-FOIA	Richard Harrison	Wild Oats Markets Inc 333-118406	9/6/2005
05-08140-FOIA	Richard Harrison	Seaspan Corp 333126762	9/6/2005
05-08141-FOIA	Richard Harrison	Willow Grove Bancorp Inc	9/6/2005
05-08142-FOIA	Richard Harrison	Serie Inc 333124770	9/6/2005
05-08143-FOIA	Richard Harrison	WorldCommodity Funds Inc 811-21802	9/6/2005
05-08144-FOIA	Richard Harrison	Shopping Com Ltd	9/6/2005
05-08145-FOIA	Richard Harrison	Sirna Therapeutics Inc 333126937	9/6/2005
05-08146-FOIA	Richard Harrison	Storage Technology Corp	9/6/2005
05-08147-FOIA	Richard Harrison	Suburban Propane Partners LP 333125601	9/6/2005
05-08148-FOIA	Richard Harrison	Zhone Technologies Inc	9/6/2005
05-08149-FOIA	Richard Harrison	Sun Microsystems Inc	9/6/2005
05-08150-FOIA	Richard Harrison	Tabletop Holdings Inc 333114102	9/6/2005
05-08151-FOIA	Richard Harrison	Quinton Cardiology Systems Inc	9/6/2005
05-08152-FOIA	Richard Harrison	Reddy Ice Holdings Inc 333-123871	9/6/2005
05-08130-FOIA	Richard Harrison	Paradyne Networks Inc	9/6/2005
05-08131-FOIA	Richard Harrison	Pliant Corp 333125950	9/6/2005
05-08132-FOIA	Richard Harrison	Potomac Edison Co 333124473	9/6/2005

ID	Requester	Description	Received Date
05-08134-FOIA	Richard Harrison	Telecom Italia S P A 333125900	9/6/2005
05-08115-FOIA	Susan Lichtcsien	Touchstone Investment Managers	9/6/2005
05-08125-FOIA	Susan Lichtcsien	Gary Allen Smith	9/6/2005
05-08106-FOIA	Amy Heller	Tax and Legality Opinions	9/6/2005
05-08129-FOIA	James Sallah	Kaplan & Co Securities Inc	9/6/2005
05-08153-FOIA	Jay Sitlani	FOIA Request 05-06817	9/7/2005
05-08154-FOIA	Vidya Mirmira	confirmation proposals for transactions in covered securities	9/7/2005
05-08155-FOIA	Garth Austin	Mark Ostroske	9/7/2005
05-08156-FOIA	Garth Austin	Fisher Investments Inc	9/7/2005
05-08161-FOIA	Garth Austin	Andrew Hempeck	9/7/2005
05-08157-FOIA	Austin Taylor	General Catalyst Partners	9/7/2005
05-08158-FOIA	Austin Taylor	Forest Capital Partners LLC	9/7/2005
05-08163-FOIA	Kelly Caslar	HO-10632C	9/7/2005
05-08162-FOIA	Andrew DePeau	Paul Magidson	9/7/2005
05-08159-FOIA	Andrew DePeau	Castine Capital	9/7/2005
05-08160-FOIA	Irene Jefferson	Services Acquisition Corp	9/7/2005
05-08208-FOIA	Mark Edwards	Cepheid	9/8/2005
05-08172-FOIA	Mark Edwards	Cephalon Inc	9/8/2005
05-08177-FOIA	Mark Edwards	Centaur Pharmaceuticals Inc	9/8/2005
05-08182-FOIA	Mark Edwards	Centocor Inc	9/8/2005
05-08187-FOIA	Mark Edwards	Cerus Corp	9/8/2005
05-08185-FOIA	Robert Motz	Brian E. Boyle	9/8/2005
05-08186-FOIA	Robert Motz	Rahul C Prakash	9/8/2005
05-08180-FOIA	Robert Motz	Jones Jain LLP	9/8/2005
05-08181-FOIA	Robert Motz	NeoIT	9/8/2005
05-08176-FOIA	Robert Motz	MegaSoft	9/8/2005
05-08171-FOIA	Robert Motz	SoftScribe LLC	9/8/2005
05-08166-FOIA	Robert Motz	Boston Communications Group Inc	9/8/2005
05-08167-FOIA	Robert Motz	GoldK Inc	9/8/2005
05-08199-FOIA	Robert Motz	Washington Technology Partners Inc	9/8/2005
05-08213-FOIA	Robert Motz	Telecom Internet Investors	9/8/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08214-FOIA	Robert Motz	Avinash Vashistha	9/8/2005
05-08215-FOIA	Robert Motz	TrafficStation	9/8/2005
05-08216-FOIA	Robert Motz	Strategitec International Ltd	9/8/2005
05-08210-FOIA	Robert Motz	Telcom Ventures Inc	9/8/2005
05-08211-FOIA	Robert Motz	Everest Telecom LLC	9/8/2005
05-08218-FOIA	Robert Motz	MicroFinancial Inc	9/8/2005
05-08203-FOIA	Robert Motz	Appex Inc	9/8/2005
05-08204-FOIA	Robert Motz	Washington Technology Partners Inc	9/8/2005
05-08205-FOIA	Robert Motz	Interactive Management Systems Inc	9/8/2005
05-08206-FOIA	Robert Motz	Abt Associates Inc	9/8/2005
05-08207-FOIA	Robert Motz	DentAMed Inc	9/8/2005
05-08190-FOIA	Robert Motz	Abhishek Jain	9/8/2005
05-08193-FOIA	Robert Motz	Boyle Leasing Corp	9/8/2005
05-08194-FOIA	Robert Motz	Leasecomm Corp	9/8/2005
05-08196-FOIA	Robert Motz	Credit Technologies Inc	9/8/2005
05-00326-APPS	Gerald Duggan	Requester Classification	9/8/2005
05-08165-FOIA	J. Patrick Gavin	Sharper Image Corporation	9/8/2005
05-08170-FOIA	J. Patrick Gavin	Centennial Communications Corp	9/8/2005
05-08175-FOIA	J. Patrick Gavin	Trizec Properties Inc	9/8/2005
05-08179-FOIA	J. Patrick Gavin	MatrixOne Inc	9/8/2005
05-08184-FOIA	J. Patrick Gavin	Phoenix Technologies Ltd	9/8/2005
05-08189-FOIA	J. Patrick Gavin	Astrozenaca PLC	9/8/2005
05-08192-FOIA	J. Patrick Gavin	Exar Corporation	9/8/2005
05-08200-FOIA	J. Patrick Gavin	Reliant Energy Inc	9/8/2005
05-08201-FOIA	J. Patrick Gavin	Pericom Semiconductor Corporation	9/8/2005
05-08212-FOIA	J. Patrick Gavin	Openwave Systems Inc	9/8/2005
05-08197-FOIA	Susan Lichtcsien	Enso Capital Management	9/8/2005
05-08217-FOIA	Susan Lichtcsien	Joshua A Flink of Enso Capital Management	9/8/2005
05-08198-FOIA	Wade Mcknight	Mark Darell brown	9/8/2005
05-08178-FOIA	Tatiana Santos	GTech	9/8/2005
05-08169-FOIA	Lindsay Nash	Services Acquisition Corp 333-122812	9/8/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08188-FOIA	Lindsay Nash	Healthcare Acquisition Corp 333-124712	9/8/2005
05-08183-FOIA	Lindsay Nash	Chardan China Acquisition Corp 333-111970	9/8/2005
05-08174-FOIA	Lindsay Nash	International Shipping Corp 333-119719	9/8/2005
05-08173-FOIA	Zachary Teutsch	Abercrombie and Fitch Co	9/8/2005
05-08219-FOIA	Colleen Rooney	Michael Bradfield	9/8/2005
05-08195-FOIA	Cullen Wyatt	Ariel Capital LP	9/8/2005
05-08202-FOIA	Cullen Wyatt	Gabriel Capital L P	9/8/2005
05-08209-FOIA	Cullen Wyatt	J Ezra Merkin	9/8/2005
05-08220-FOIA	Cullen Wyatt	Gabriel Capital Lp Ariel Capital L P Ascot Partners L P J Ezra Merkin	9/8/2005
05-08164-FOIA	Cullen Wyatt	Ascot Partners LLP	9/8/2005
05-08191-FOIA	Danielle Carpenter	Glen Schneider	9/8/2005
05-08168-FOIA	Danielle Carpenter	Coe Capital Management	9/8/2005
05-08221-FOIA	Thomas Reilly	aggregate fail to deliver data supplied to the SEC by the NSCC	9/9/2005
05-08247-FOIA	Patricia Beltrante	LNB Commercial Capital T/A Premier Commerical	9/9/2005
05-08224-FOIA	Andrew DePeau	Mitchell P Kopin	9/9/2005
05-08234-FOIA	Andrew DePeau	Downsview Capital Inc	9/9/2005
05-08225-FOIA	J. Patrick Gavin	Applera Corporation	9/9/2005
05-08227-FOIA	J. Patrick Gavin	Bard CR Inc	9/9/2005
05-08230-FOIA	J. Patrick Gavin	Chattem Inc	9/9/2005
05-08231-FOIA	J. Patrick Gavin	Entegris Inc	9/9/2005
05-08238-FOIA	J. Patrick Gavin	ESS Technology Inc	9/9/2005
05-08239-FOIA	J. Patrick Gavin	American Power Conversion Corporation	9/9/2005
05-08241-FOIA	J. Patrick Gavin	Pinnacle West Capital Corporation	9/9/2005
05-08243-FOIA	J. Patrick Gavin	Comverse Technology Inc	9/9/2005
05-08246-FOIA	J. Patrick Gavin	Blockbuster Inc	9/9/2005
05-08248-FOIA	J. Patrick Gavin	Ball Corporation	9/9/2005
05-08249-FOIA	Justin Cannizzaro	Michael Turro	9/9/2005
05-08244-FOIA	Justin Cannizzaro	Magnetar Financial	9/9/2005
05-08245-FOIA	Justin Cannizzaro	Richard Levy	9/9/2005
05-08242-FOIA	Justin Cannizzaro	Magnetar Investment Management	9/9/2005
05-08240-FOIA	Justin Cannizzaro	Paul Smith	9/9/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08235-FOIA	Justin Cannizzaro	Chris Aristides	9/9/2005
05-08236-FOIA	Justin Cannizzaro	David Valentine	9/9/2005
05-08237-FOIA	Justin Cannizzaro	David Pritsker	9/9/2005
05-08232-FOIA	Justin Cannizzaro	Alec Litowitz	9/9/2005
05-08233-FOIA	Justin Cannizzaro	Ross Laser	9/9/2005
05-08222-FOIA	Justin Cannizzaro	Min Htoo	9/9/2005
05-08223-FOIA	Justin Cannizzaro	Michael Balkin	9/9/2005
05-08228-FOIA	Justin Cannizzaro	Ken Krzak	9/9/2005
05-08229-FOIA	Justin Cannizzaro	Magnetar Capital	9/9/2005
05-08226-FOIA	Justin Cannizzaro	Jason Selch	9/9/2005
05-08298-FOIA	Justin Cannizzaro	Andrew Goldman	9/12/2005
05-08305-FOIA	Justin Cannizzaro	Marc Helwani	9/12/2005
05-08265-FOIA	Brian Pietras	Refco Inc	9/12/2005
05-08254-FOIA	Justin Cannizzaro	Eric Scheyer	9/12/2005
05-08301-FOIA	J. Patrick Gavin	Greatbatch Inc fka Wilson Greatbatch Technologies Inc	9/12/2005
05-08296-FOIA	J. Patrick Gavin	Flextronics International Ltd	9/12/2005
05-08294-FOIA	J. Patrick Gavin	Orasure Technologies Inc	9/12/2005
05-08282-FOIA	J. Patrick Gavin	Deltic Timber Corporation	9/12/2005
05-08283-FOIA	J. Patrick Gavin	Glenborough Realty Trust Incorporated	9/12/2005
05-08266-FOIA	J. Patrick Gavin	Sturm Ruger & Company Inc	9/12/2005
05-08271-FOIA	J. Patrick Gavin	Input/Output Inc	9/12/2005
05-08272-FOIA	J. Patrick Gavin	Google Inc	9/12/2005
05-08252-FOIA	J. Patrick Gavin	American Equity Investment Life Holding Company	9/12/2005
05-08257-FOIA	J. Patrick Gavin	American Defense Group	9/12/2005
05-08253-FOIA	Richard Harrison	Thermadyne Holdings Corp 333-126925	9/12/2005
05-08250-FOIA	Richard Harrison	Altria Group	9/12/2005
05-08251-FOIA	Richard Harrison	Tyson Foods	9/12/2005
05-08258-FOIA	Richard Harrison	International Business Machines	9/12/2005
05-08259-FOIA	Richard Harrison	Haynes International Inc 333-124977	9/12/2005
05-08260-FOIA	Richard Harrison	Connetics Corp 333-125982	9/12/2005
05-08262-FOIA	Richard Harrison	Dean Foods Co	9/12/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08263-FOIA	Richard Harrison	Gateway Inc	9/12/2005
05-08264-FOIA	Richard Harrison	Wireless Systems Business	9/12/2005
05-08268-FOIA	Richard Harrison	Imclone Systems Inc	9/12/2005
05-08269-FOIA	Richard Harrison	Republic of the Philippines 333-126385	9/12/2005
05-08270-FOIA	Richard Harrison	Allegiant Funds 333-128136	9/12/2005
05-08274-FOIA	Richard Harrison	Kraft	9/12/2005
05-08275-FOIA	Richard Harrison	General Mills	9/12/2005
05-08276-FOIA	Richard Harrison	Exten Industries Inc 333-124873	9/12/2005
05-08277-FOIA	Richard Harrison	Hercules Technology Growth Capital Inc 333126604	9/12/2005
05-08278-FOIA	Richard Harrison	US DATAWORKS INC 333-126984	9/12/2005
05-08279-FOIA	Richard Harrison	China Netty Holdings Inc	9/12/2005
05-08281-FOIA	Richard Harrison	SPACEHAB INC 333-126772	9/12/2005
05-08284-FOIA	Richard Harrison	Axa Financial	9/12/2005
05-08285-FOIA	Richard Harrison	Broadvision Inc 333125640	9/12/2005
05-08288-FOIA	Richard Harrison	Google	9/12/2005
05-08289-FOIA	Richard Harrison	NSTOR Technologies Inc	9/12/2005
05-08293-FOIA	Richard Harrison	Morningstar	9/12/2005
05-08295-FOIA	Richard Harrison	NTL Inc 333125494	9/12/2005
05-08299-FOIA	Richard Harrison	Berkshire Hathaway	9/12/2005
05-08300-FOIA	Richard Harrison	International Corp	9/12/2005
05-08302-FOIA	Richard Harrison	LPBP INC	9/12/2005
05-08303-FOIA	Richard Harrison	Mercer International Inc 333125808	9/12/2005
05-08304-FOIA	Richard Harrison	Wachovia	9/12/2005
05-08307-FOIA	Richard Harrison	Lucent Technologies	9/12/2005
05-08308-FOIA	Richard Harrison	99 Cents Only Stores	9/12/2005
05-08306-FOIA	Megan Lynch	Magnetar Capital LLC	9/12/2005
05-08286-FOIA	Megan Lynch	Alec N Litowitz	9/12/2005
05-08255-FOIA	Murray Katz	Fisher Investments	9/12/2005
05-08287-FOIA	Murray Katz	Noesis Capital Management	9/12/2005
05-08256-FOIA	Sara Wenande	Navidec Financial Services Inc	9/12/2005
05-08261-FOIA	Leanne Conn	LML Systems Inc	9/12/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08267-FOIA	Leanne Conn	Lease Marketing Ltd	9/12/2005
05-08273-FOIA	Leanne Conn	Mark Simmons	9/12/2005
05-08280-FOIA	Leanne Conn	Webalg Inc	9/12/2005
05-08292-FOIA	Leanne Conn	Karen Dillon	9/12/2005
05-08290-FOIA	Leanne Conn	Wizard Asset Acquisition LLC	9/12/2005
05-08309-FOIA	Leanne Conn	LML Asset Acquisition LLC	9/12/2005
05-08291-FOIA	James Nastasi	Carl E Bass former Arthur Andersen	9/12/2005
05-08297-FOIA	Zack Mazer	Encana Corp	9/12/2005
05-08311-FOIA	Tara Inglese	Dominic Ferrante	9/13/2005
05-08312-FOIA	Tara Inglese	Noah Eccles	9/13/2005
05-08319-FOIA	Tara Inglese	Matthew McPherron	9/13/2005
05-08314-FOIA	Tara Inglese	Robert Louis Friedman	9/13/2005
05-08315-FOIA	Tara Inglese	Thomas Kempner	9/13/2005
05-08327-FOIA	Tara Inglese	Marvin Davidson	9/13/2005
05-08324-FOIA	Tara Inglese	Jack Swain	9/13/2005
05-08325-FOIA	Tara Inglese	Michael Kempner	9/13/2005
05-08330-FOIA	Tara Inglese	Michael Elrad	9/13/2005
05-08331-FOIA	Tara Inglese	Jacob Doft	9/13/2005
05-08321-FOIA	Paul Justi	Build A Bear Workshop	9/13/2005
05-08336-FOIA	Jeremy Newman	Program Coordinators	9/13/2005
05-08310-FOIA	Phil Layzer	Paul J Sheehan and or Paul J Sheehan & Associates	9/13/2005
05-08335-FOIA	Mark Mierzejewski	Jeffrey Lignelli	9/13/2005
05-08338-FOIA	Mark Mierzejewski	Stonebrook Fund Management LLC	9/13/2005
05-08318-FOIA	Christopher Paulin	Robert E Bigelow III	9/13/2005
05-08329-FOIA	Christopher Paulin	Blue River Asset Management	9/13/2005
05-08316-FOIA	Michelle Kinsell	Securities LLC Form FOCUSN	9/13/2005
05-08313-FOIA	J. Patrick Gavin	Meadowbrook Insurance Group Inc	9/13/2005
05-08317-FOIA	J. Patrick Gavin	MarketAxess Holdings Inc	9/13/2005
05-08320-FOIA	J. Patrick Gavin	Pacific Sunwear of California Inc	9/13/2005
05-08323-FOIA	J. Patrick Gavin	Georgia Pacific Group	9/13/2005
05-08326-FOIA	J. Patrick Gavin	Kinder Morgan	9/13/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08328-FOIA	J. Patrick Gavin	Foundry Networks Inc	9/13/2005
05-08332-FOIA	J. Patrick Gavin	The Allstate Corporation	9/13/2005
05-08333-FOIA	J. Patrick Gavin	Auxilium Pharmaceuticals	9/13/2005
05-08334-FOIA	J. Patrick Gavin	Chesapeake Corporation	9/13/2005
05-08337-FOIA	J. Patrick Gavin	Penn Treaty American Corporation	9/13/2005
05-08339-FOIA	J. Patrick Gavin	Umpqua Holdings Corporation	9/13/2005
05-08322-FOIA	Susan Davies	Lernout and Hauspie Speech Products NV	9/13/2005
05-08343-FOIA	Lindsay Calfee	Teva Pharmaceutical Industries	9/14/2005
05-08344-FOIA	Lindsay Calfee	IVAX Corporation	9/14/2005
05-08346-FOIA	William Kilberg	Rule 30b1-4	9/14/2005
05-08349-FOIA	William Kilberg	Sarbanes-Oxley Rules	9/14/2005
05-08340-FOIA	Jeffrey Silvyn	General Mills	9/14/2005
05-08342-FOIA	Jim Schlick	Cendant Corporation	9/14/2005
05-08345-FOIA	Michael Law	Companies that issue face amount certificates	9/14/2005
05-08347-FOIA	Andrew Reddy	contract number EDGAR-2-2001 which was awarded to BDM International including labor rates labor categories descriptions and a current contracting officer POC Information	9/14/2005
05-08348-FOIA	Michael Ravnitzky	A list of reports produced by the Division of Investment Management	9/14/2005
05-08350-FOIA	Wayne Berry	Fleming Companies Inc	9/14/2005
05-08351-FOIA	Bill Freeland	Verizon Communications	9/14/2005
05-08352-FOIA	Barry Bassis	Mirant Corporation	9/15/2005
05-08361-FOIA	Michelle Serafin	Central Pennsylvania Financial Partners LLC fka Central Pennsylvania Financial Services	9/15/2005
05-08363-FOIA	Alan Spring	Joseph Wolfer	9/15/2005
05-08365-FOIA	Kay Martin	Gary W Williams	9/15/2005
05-08366-FOIA	Justin Barton	The Blackstone Group	9/15/2005
05-08364-FOIA	Justin Barton	New Century Holdings	9/15/2005
05-08367-FOIA	Mark Mierzejewski	Quinterol Mallette	9/15/2005
05-08362-FOIA	Mark Mierzejewski	Mallette Capital Advisors LLC	9/15/2005
05-08358-FOIA	Mark Mierzejewski	Bryan M Fiedler	9/15/2005
05-08354-FOIA	Mark Mierzejewski	Valhalla Capital Advisors LLC	9/15/2005
05-08355-FOIA	Nancy Sant	Janssen Pharmaceutica aka Johnson & Johnson	9/15/2005
05-08353-FOIA	J. Patrick Gavin	United Dominion Realty Trust Inc	9/15/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08356-FOIA	J. Patrick Gavin	Royal Carribean Cruises Ltd	9/15/2005
05-08357-FOIA	J. Patrick Gavin	Pioneer Natural Resources Company	9/15/2005
05-08359-FOIA	J. Patrick Gavin	Energy Conversion Devices Inc	9/15/2005
05-08360-FOIA	J. Patrick Gavin	ENSCO International Incorporated	9/15/2005
05-08368-FOIA	J. Patrick Gavin	The E W Scripps Company	9/15/2005
05-08369-FOIA	J. Patrick Gavin	IDX Systems Corporation	9/15/2005
05-08370-FOIA	J. Patrick Gavin	Vertex Pharmaceuticals Incorporated	9/15/2005
05-08371-FOIA	J. Patrick Gavin	Roper Industries Inc	9/15/2005
05-08382-FOIA	Mark Mierzejewski	Sheffield Asset Management LLC	9/16/2005
05-08383-FOIA	Mark Mierzejewski	Brian Feltzin	9/16/2005
05-08379-FOIA	Andrew DePeau	Man-Barnegat Investments Limited	9/16/2005
05-08380-FOIA	Andrew DePeau	Barnegat Fund Management Inc	9/16/2005
05-08374-FOIA	Andrew DePeau	Robert Carl Treue III	9/16/2005
05-08372-FOIA	Steven Sherman	Steven Harrington	9/16/2005
05-08373-FOIA	Steven Sherman	John Kittman	9/16/2005
05-08376-FOIA	Steven Sherman	Tim Dixon	9/16/2005
05-00327-APPS	Leigh Leshner	Premier Marketing and Investments Inc	9/16/2005
05-08381-FOIA	Adam Voyles	Overstock.com Inc	9/16/2005
05-08384-FOIA	Katherine Reilly	OTCBB Traded Securities	9/16/2005
05-08375-FOIA	William Kilberg	SEC's proxy requirements	9/16/2005
05-08377-FOIA	William Kilberg	National Securities Markets Improvement Act	9/16/2005
05-08378-FOIA	William Kilberg	Sarbanes-Oxley rules	9/16/2005
05-00329-APPS	Katharine Reilly	OTCBB Traded Securities	9/16/2005
05-08395-FOIA	Wayne Matus	Latvian Economic Commercial Bank of Riga Latvia	9/19/2005
05-08402-FOIA	Andrew DePeau	Ole Andreas Halvorsen Jr	9/19/2005
05-08403-FOIA	Mark Mierzejewski	David K Sherman	9/19/2005
05-08404-FOIA	Mark Mierzejewski	Cohanzick Management LLC	9/19/2005
05-08401-FOIA	Mark Mierzejewski	Amram Kass	9/19/2005
05-08396-FOIA	J. Patrick Gavin	Dycom Industries Inc	9/19/2005
05-08397-FOIA	J. Patrick Gavin	TIBCO Software Inc	9/19/2005
05-08398-FOIA	J. Patrick Gavin	Synnex Corporation	9/19/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08399-FOIA	J. Patrick Gavin	Omnivision Technologies Inc	9/19/2005
05-08400-FOIA	J. Patrick Gavin	Advanced Magnetics Inc	9/19/2005
05-08385-FOIA	J. Patrick Gavin	Curative Health Services Inc	9/19/2005
05-08386-FOIA	J. Patrick Gavin	eLong Inc	9/19/2005
05-08387-FOIA	J. Patrick Gavin	Delphi Corporation	9/19/2005
05-08388-FOIA	J. Patrick Gavin	Sonus Networks Inc	9/19/2005
05-08389-FOIA	J. Patrick Gavin	C&D Technologies Inc	9/19/2005
05-00328-APPS	Jonathan Behar	Doral Financial Corporation	9/19/2005
05-08391-FOIA	Richard Harrison	Gramercy Capital Corp 333-126938	9/19/2005
05-08392-FOIA	Richard Harrison	Rezconnect Technologies Inc 333-122936	9/19/2005
05-08393-FOIA	Richard Harrison	Shenandoah Telecommunications CO VA 333-74297	9/19/2005
05-08394-FOIA	Richard Harrison	Windrose Medical Properties Trust 333-125213	9/19/2005
05-08390-FOIA	Richard Harrison	Cordia Corp 333-124996	9/19/2005
05-08405-FOIA	Richard Harrison	Diamond Offshore Drilling Inc 333-127229	9/19/2005
05-08406-FOIA	Richard Harrison	Document Security Systems Inc 333-125373	9/19/2005
05-08407-FOIA	Richard Harrison	Genaera Corp 333-121577	9/19/2005
05-08408-FOIA	Richard Harrison	Media Service Group Inc 333-127095	9/19/2005
05-08409-FOIA	Richard Harrison	Republic Of The Philippines 333-126385	9/19/2005
05-08410-FOIA	Richard Harrison	Technical Olympic USA Inc 333-126727	9/19/2005
05-08411-FOIA	Richard Harrison	TTR Technologies Inc 333-126351	9/19/2005
05-08424-FOIA	J. Patrick Gavin	Family Dollar Stores Inc	9/20/2005
05-08425-FOIA	J. Patrick Gavin	Bankrate Inc	9/20/2005
05-08427-FOIA	J. Patrick Gavin	BellSouth Corporation	9/20/2005
05-08428-FOIA	J. Patrick Gavin	Intrado Inc	9/20/2005
05-08431-FOIA	J. Patrick Gavin	The Sports Authority Inc	9/20/2005
05-08413-FOIA	J. Patrick Gavin	American International Group Inc	9/20/2005
05-08415-FOIA	J. Patrick Gavin	Acambis PLC	9/20/2005
05-08417-FOIA	J. Patrick Gavin	Best Buy Co Inc	9/20/2005
05-08418-FOIA	J. Patrick Gavin	7 Eleven Inc	9/20/2005
05-08420-FOIA	J. Patrick Gavin	NCO Group Inc	9/20/2005
05-08416-FOIA	Mark Mierzejewski	Kevin Heerd	9/20/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08412-FOIA	Andrew DePeau	Viking Global Performance LLC	9/20/2005
05-08429-FOIA	Andrew DePeau	Cornell Capital Partners LP	9/20/2005
05-08414-FOIA	Verne Kopytoff	Google	9/20/2005
05-08421-FOIA	Rick Jurgens	Guy Houston	9/20/2005
05-08419-FOIA	Rick Jurgens	Fred Houston	9/20/2005
05-08423-FOIA	Rick Jurgens	Winning Action Investments Inc	9/20/2005
05-08430-FOIA	Cullen Wyatt	GLG European Opportunity Fund	9/20/2005
05-08426-FOIA	Cullen Wyatt	GLG Partners Services LP	9/20/2005
05-08422-FOIA	Cullen Wyatt	GLG Partners LP	9/20/2005
05-08432-FOIA	Cullen Wyatt	Tim Medland	9/20/2005
05-08433-FOIA	Angela Winter	Harrahs Entertainment Inc	9/21/2005
05-00058-FOPA	John Gabriel	John Gabriel	9/21/2005
05-08438-FOIA	John Gabriel	Raymond James and Associates Inc aka Raymond James Financial aka Raymond James	9/21/2005
05-08437-FOIA	George Moore	HydroFlo Inc	9/21/2005
05-08439-FOIA	Emily Leonard	Investment Advisors in the state of Florida	9/21/2005
05-08453-FOIA	Jane Ebert	Hotelworks.com formerly known as Hospitality Worldwide	9/21/2005
05-08443-FOIA	Jane Ebert	Watermark Investment	9/21/2005
05-08446-FOIA	Jane Ebert	Watertone Holdings LP	9/21/2005
05-08452-FOIA	Siobhan Hughes	Goldman Sach & Co Inc	9/21/2005
05-08441-FOIA	Siobhan Hughes	Salomon Smith Barney Inc	9/21/2005
05-08444-FOIA	Siobhan Hughes	US Bancorp Piper Jaffray Inc	9/21/2005
05-08445-FOIA	Siobhan Hughes	Morgan Stanley & Co Inc	9/21/2005
05-08434-FOIA	Siobhan Hughes	Deutsche Bank Securities Inc	9/21/2005
05-08435-FOIA	Heather Jones	Lee Hobson	9/21/2005
05-08447-FOIA	Heather Jones	Alex Gildengers	9/21/2005
05-08449-FOIA	Heather Jones	Brendan Maherc	9/21/2005
05-08450-FOIA	Heather Jones	Peter Mulderry	9/21/2005
05-08451-FOIA	Heather Jones	Robert Freidman	9/21/2005
05-08440-FOIA	Heather Jones	Noah Eccles	9/21/2005
05-08448-FOIA	Susan Lichtcsien	John Millar Fife	9/21/2005
05-08442-FOIA	Mark Mierzejewski	Glenrock Asset Management Associates LP	9/21/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08436-FOIA	Mark Mierzejewski	Michael Katz	9/21/2005
05-08495-FOIA	Mark Mierzejewski	Bennett Goodman	9/22/2005
05-08475-FOIA	Mark Mierzejewski	GSO Capital Partners LP	9/22/2005
05-08476-FOIA	Christopher Paulin	Andrew Jay Redleaf	9/22/2005
05-08465-FOIA	Christopher Paulin	Whitebox Advisors LLC	9/22/2005
05-08459-FOIA	Susan Lichtcsien	Ian Geoffrey Wallace	9/22/2005
05-08473-FOIA	Susan Lichtcsien	River Run Management LLC	9/22/2005
05-08469-FOIA	Andrew DePeau	Jaffray Piers Woodriff	9/22/2005
05-08483-FOIA	Andrew DePeau	Quantitative Investment Management	9/22/2005
05-08492-FOIA	Andrew DePeau	Michael A Roth	9/22/2005
05-08500-FOIA	Andrew DePeau	Staro Asset Management LLC	9/22/2005
05-08487-FOIA	Megan Lynch	Eric Morton Mindich	9/22/2005
05-08480-FOIA	Megan Lynch	Eton Park Capital Management	9/22/2005
05-08501-FOIA	Heather Jones	David Fink Lisa Rapuano Jeffrey Berg	9/22/2005
05-08455-FOIA	Todd Mosser	Kipp D Orme	9/22/2005
05-08457-FOIA	Todd Mosser	Ronald J Doedde	9/22/2005
05-08493-FOIA	Todd Mosser	Larry F Ness	9/22/2005
05-08494-FOIA	Todd Mosser	Merle D Lewis	9/22/2005
05-08497-FOIA	Todd Mosser	Randy G Darcy	9/22/2005
05-08498-FOIA	Todd Mosser	Jerry W Johnson	9/22/2005
05-08481-FOIA	Todd Mosser	Kurt D Whitesel	9/22/2005
05-08482-FOIA	Todd Mosser	Charles J Kitrell	9/22/2005
05-08488-FOIA	Todd Mosser	Christopher Younger	9/22/2005
05-08485-FOIA	Todd Mosser	Richard R Hylland	9/22/2005
05-08486-FOIA	Todd Mosser	Daniel K Newell	9/22/2005
05-08471-FOIA	Todd Mosser	Keith G Baxter	9/22/2005
05-08477-FOIA	Todd Mosser	NorthWestern Corporation	9/22/2005
05-08478-FOIA	Todd Mosser	ComerStone Propane Partners LP	9/22/2005
05-08466-FOIA	Todd Mosser	Bruce I Smith	9/22/2005
05-08467-FOIA	Todd Mosser	Eric Jacobsen	9/22/2005
05-08461-FOIA	Todd Mosser	David Monaghan	9/22/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08462-FOIA	Todd Mosser	Richard G Nye	9/22/2005
05-08502-FOIA	Todd Mosser	Gary I Drook	9/22/2005
05-08503-FOIA	Todd Mosser	William L Woods	9/22/2005
05-08458-FOIA	Robert Ziegler	FSC Securities Corp	9/22/2005
05-08464-FOIA	Adrianna Hernandex-Stewart	Revlon Inc	9/22/2005
05-08468-FOIA	Richard Pollack	Repsol YPF SA	9/22/2005
05-08472-FOIA	Richard Pollack	Caja de Ahorros y Pensiones de Barcleona	9/22/2005
05-08489-FOIA	Richard Pollack	Gas Natural SDG SA	9/22/2005
05-08491-FOIA	Cullen Wyatt	Timothy Sean Medland	9/22/2005
05-08454-FOIA	J. Patrick Gavin	Savient Pharmaceuticals Inc	9/22/2005
05-08456-FOIA	J. Patrick Gavin	Zoltek Companies Inc	9/22/2005
05-08460-FOIA	J. Patrick Gavin	RPM International	9/22/2005
05-08463-FOIA	J. Patrick Gavin	State Bancorp Inc	9/22/2005
05-08470-FOIA	J. Patrick Gavin	Selectica Inc	9/22/2005
05-08474-FOIA	J. Patrick Gavin	Tasker Capital Corporation	9/22/2005
05-08479-FOIA	J. Patrick Gavin	Online Resources Corporation	9/22/2005
05-08484-FOIA	J. Patrick Gavin	Sigma Designs Inc	9/22/2005
05-08490-FOIA	J. Patrick Gavin	Consolidated Water Company	9/22/2005
05-08496-FOIA	J. Patrick Gavin	Natus Medical	9/22/2005
05-08499-FOIA	J. Patrick Gavin	Prosperity Bancshares Inc	9/22/2005
05-08506-FOIA	Mark Edwards	Collagen Corp	9/23/2005
05-08507-FOIA	Mark Edwards	The Immune Repsponse Corporation	9/23/2005
05-08508-FOIA	Mark Edwards	Corvas International	9/23/2005
05-08509-FOIA	Mark Edwards	Combichem Inc	9/23/2005
05-08511-FOIA	Mark Edwards	Centocor Inc	9/23/2005
05-08514-FOIA	Mark Edwards	Urogen Corp	9/23/2005
05-08515-FOIA	Mark Edwards	Connectics Corp	9/23/2005
05-08516-FOIA	Mark Edwards	Cortecs International Ltd aka Provalis	9/23/2005
05-08519-FOIA	Mark Edwards	Corixa Corp	9/23/2005
05-08520-FOIA	Mark Edwards	Cortex Pharmaceuticals Inc	9/23/2005
05-08522-FOIA	Mark Edwards	Cor Therapeutics Inc	9/23/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08524-FOIA	Mark Edwards	Cephalon Inc	9/23/2005
05-08525-FOIA	Mark Edwards	William R Cline Jr	9/23/2005
05-08526-FOIA	Mark Edwards	Cima Labs Inc	9/23/2005
05-08528-FOIA	Mark Edwards	Chiron Corp	9/23/2005
05-08530-FOIA	Mark Edwards	Compugen Ltd	9/23/2005
05-08512-FOIA	Julie Panigrahi	Union Carbide Corporation	9/23/2005
05-08518-FOIA	Jennifer Knapp	Medicis Pharmaceutical Corporation	9/23/2005
05-08521-FOIA	Charmaine Souza	JNC International Group Investment Ltd	9/23/2005
05-08529-FOIA	Philip Rothenberg	Google Inc	9/23/2005
05-08531-FOIA	Richard Imbrogno	terrorist attacks on the World Trade Center Towers on September 11, 2001	9/23/2005
05-08505-FOIA	Andrew DePeau	Deepak Narula	9/23/2005
05-08517-FOIA	Andrew DePeau	Metacapital Management	9/23/2005
05-08527-FOIA	Megan Lynch	Loeb Partners Corp	9/23/2005
05-08513-FOIA	Megan Lynch	Gideon Jeremy King	9/23/2005
05-08532-FOIA	Megan Lynch	Loeb Arbitrage Fund	9/23/2005
05-08510-FOIA	Mark Mierzejewski	Clovis Capital Management LLC	9/23/2005
05-08504-FOIA	Mark Mierzejewski	Cobalt Capital Management Inc	9/23/2005
05-08523-FOIA	Mark Mierzejewski	Wayne Cooperman	9/23/2005
05-08539-FOIA	Mark Mierzejewski	Abner Kurtin	9/26/2005
05-08557-FOIA	Mark Mierzejewski	Clint Coghill	9/26/2005
05-08561-FOIA	Mark Mierzejewski	Coghill Capital Management LLC	9/26/2005
05-08533-FOIA	Mark Mierzejewski	K Capital Partners LLC	9/26/2005
05-08576-FOIA	Mark Mierzejewski	William R Cline Jr	9/26/2005
05-08579-FOIA	Mark Mierzejewski	Buckingham Capital Mangement Inc	9/26/2005
05-08584-FOIA	Mark Mierzejewski	Laurence C Leeds Jr	9/26/2005
05-08574-FOIA	Megan Lynch	Jaideep Chand Khanna	9/26/2005
05-08570-FOIA	Megan Lynch	Gabriel A Hoffman	9/26/2005
05-08566-FOIA	Megan Lynch	Candens Capital LLC	9/26/2005
05-08580-FOIA	Megan Lynch	Artha Capital Management Inc	9/26/2005
05-08542-FOIA	Carolyn Edds	Atlantic American Capital Advisors LLC	9/26/2005
05-08552-FOIA	Joe Blount	Novastar Financial	9/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08564-FOIA	Joe Blount	General Electric	9/26/2005
05-08559-FOIA	Joe Blount	Overstock.com	9/26/2005
05-08558-FOIA	Christopher Bryan	Overstock.com	9/26/2005
05-08585-FOIA	Cullen Wyatt	Silver Creek Low Vol Strategies II LP	9/26/2005
05-08583-FOIA	Cullen Wyatt	Silver Creek Capital Management LLC	9/26/2005
05-08567-FOIA	Cullen Wyatt	Bryce Coats	9/26/2005
05-08571-FOIA	Cullen Wyatt	Eric Dillon	9/26/2005
05-08575-FOIA	Cullen Wyatt	LeAnne Kolb	9/26/2005
05-08560-FOIA	Cullen Wyatt	Tim Flaherty	9/26/2005
05-08555-FOIA	Cullen Wyatt	Marc Roston	9/26/2005
05-08534-FOIA	Cullen Wyatt	Silver Creek Low Vol Strategies LP	9/26/2005
05-08581-FOIA	J. Patrick Gavin	Tiffany & Co	9/26/2005
05-08586-FOIA	J. Patrick Gavin	Inspire Pharmaceuticals Inc	9/26/2005
05-08577-FOIA	J. Patrick Gavin	Station Casinos Inc	9/26/2005
05-08568-FOIA	J. Patrick Gavin	CIGNA Corporation	9/26/2005
05-08572-FOIA	J. Patrick Gavin	Chicos Fas Inc	9/26/2005
05-08563-FOIA	J. Patrick Gavin	BMC Software Inc	9/26/2005
05-08540-FOIA	J. Patrick Gavin	Pathmark Stores Inc	9/26/2005
05-08545-FOIA	J. Patrick Gavin	Health Management Associates Inc	9/26/2005
05-08535-FOIA	J. Patrick Gavin	Charles Schwab Corporation	9/26/2005
05-08536-FOIA	Richard Harrison	Stride Rite Corp	9/26/2005
05-08537-FOIA	Richard Harrison	Integrated Circuit Systems Inc	9/26/2005
05-08538-FOIA	Richard Harrison	American Capital Strategies Ltd (File # 333125278)	9/26/2005
05-08541-FOIA	Richard Harrison	Saucony Inc	9/26/2005
05-08546-FOIA	Richard Harrison	US Can Corp	9/26/2005
05-08547-FOIA	Richard Harrison	Collegiate Pacific Inc	9/26/2005
05-08548-FOIA	Richard Harrison	Myogen Inc (File # 333125497)	9/26/2005
05-08549-FOIA	Richard Harrison	AT Road Inc (File #333124518)	9/26/2005
05-08550-FOIA	Richard Harrison	Independence Community Bank Corp (File # 333125865)	9/26/2005
05-08551-FOIA	Richard Harrison	Scotsman Holdings Inc (File # 333124459)	9/26/2005
05-08543-FOIA	Richard Harrison	Hammons John Q Hotels Inc	9/26/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08544-FOIA	Richard Harrison	Advanced Cell Technology Inc	9/26/2005
05-08553-FOIA	Richard Harrison	Interactive Systems Worldwide Inc / DE (File # 333127761)	9/26/2005
05-08554-FOIA	Richard Harrison	Southern California Edison Co (File # 333123683)	9/26/2005
05-08556-FOIA	Richard Harrison	Psychiatric Solutions Inc (File # 333127085)	9/26/2005
05-08562-FOIA	Richard Harrison	Hancock John Bond Trust 333-128411	9/26/2005
05-08565-FOIA	Richard Harrison	Old Mutual Advisor Funds 333-128388	9/26/2005
05-08569-FOIA	Richard Harrison	Nicholas Applegate Insitutional Funds 333-128373	9/26/2005
05-08573-FOIA	Richard Harrison	Pioneer Series Trust IV 333-126384	9/26/2005
05-08578-FOIA	Richard Harrison	Oppenheimer Quest for Value Funds 333-128436	9/26/2005
05-08582-FOIA	Richard Harrison	Community Banks of Georgia Inc	9/26/2005
05-08587-FOIA	Richard Harrison	Integrated Device Technology Inc	9/26/2005
05-08589-FOIA	Michael Spence	Critical Care America Inc	9/27/2005
05-08597-FOIA	Michael Spence	Voyager Pharmaceutical Corp	9/27/2005
05-08601-FOIA	Michael Spence	Patrick Scott Smith	9/27/2005
05-08599-FOIA	Michael Spence	New England Critical Care	9/27/2005
05-08609-FOIA	Michael Spence	David Jeffrey Corcoran	9/27/2005
05-08591-FOIA	David Shapiro	Careside Inc Careside Medical LLC Vick Stoughton	9/27/2005
05-08606-FOIA	Ronald House	Kelly Kirk Shyroch	9/27/2005
05-08608-FOIA	Daniel Bender	Investment Adviser Examination Manual	9/27/2005
05-08596-FOIA	Danielle Carpenter	John Cochran	9/27/2005
05-08588-FOIA	Danielle Carpenter	Caroline Cooley	9/27/2005
05-08600-FOIA	Danielle Carpenter	Douglas K Bratton	9/27/2005
05-08602-FOIA	Danielle Carpenter	Crestline Management LP	9/27/2005
05-08603-FOIA	Danielle Carpenter	Crestline Partners LP	9/27/2005
05-08604-FOIA	Danielle Carpenter	Crestline Investors Inc	9/27/2005
05-08605-FOIA	Danielle Carpenter	Bratton Capital Mangement LP	9/27/2005
05-08598-FOIA	Danielle Carpenter	Crestline Offshore Fund Ltd	9/27/2005
05-08590-FOIA	Danielle Carpenter	Crestline Associates LP	9/27/2005
05-08594-FOIA	Megan Lynch	Banyan Capital Partners LLC	9/27/2005
05-08595-FOIA	Megan Lynch	Laurence R Benedict	9/27/2005
05-08592-FOIA	Mark Mierzejewski	Kleinheinz Capital Partners Inc	9/27/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08593-FOIA	Mark Mierzejewski	John Kleinheinz	9/27/2005
05-00330-APPS	Lindsay Calfee	IMS Health Inc	9/27/2005
05-08607-FOIA	JULIANA DUFFY	investment advisors	9/27/2005
05-08610-FOIA	Mark Mierzejewski	Gravitas Capital Advisors LLC	9/28/2005
05-08615-FOIA	Mark Mierzejewski	Eric Vincent Guichard	9/28/2005
05-08627-FOIA	Melissa Kaplafka	Nexen Inc	9/28/2005
05-08612-FOIA	Patrick O'Neill	Frank H Reichel III	9/28/2005
05-08616-FOIA	Patrick O'Neill	Charles J Wittmann	9/28/2005
05-08617-FOIA	Patrick O'Neill	Brett P Hawkins	9/28/2005
05-08618-FOIA	Patrick O'Neill	Thompson Siegel & Walmsley Inc	9/28/2005
05-08619-FOIA	Patrick O'Neill	A Gordon Goodykoontz	9/28/2005
05-08620-FOIA	Patrick O'Neill	Scott H Miller	9/28/2005
05-08622-FOIA	Patrick O'Neill	Paul A Ferwerda	9/28/2005
05-08623-FOIA	Patrick O'Neill	Matthew G Thompson	9/28/2005
05-08626-FOIA	Patrick O'Neill	John S Pickler	9/28/2005
05-08628-FOIA	Patrick O'Neill	Horace P Whitworth	9/28/2005
05-08624-FOIA	Patrick O'Neill	Lawerence E Gibson	9/28/2005
05-08613-FOIA	Patrick O'Neill	Tracy G Bradford	9/28/2005
05-08614-FOIA	Robert Lipp	Georgina Asset Management LLC	9/28/2005
05-00064-FOPA	Raphael Zackery	Raphael Zackery	9/28/2005
05-00065-FOPA	Arnie Porter	Arnie Porter	9/28/2005
05-08625-FOIA	Robert Profusek	Nextel Partners Inc	9/28/2005
05-08629-FOIA	E Lawerece Vincent	i2 Technologies Inc	9/28/2005
05-08630-FOIA	Mel McGinnis	Pamula Mc Ginnis, Mc Gill, Kerr, Freeman	9/28/2005
05-08611-FOIA	Cullen Wyatt	Markus Mez	9/28/2005
05-00331-APPS	J. Patrick Gavin	webMethods Inc	9/28/2005
05-00332-APPS	J. Patrick Gavin	IDT Corporation	9/28/2005
05-00333-APPS	J. Patrick Gavin	Waste Management Inc	9/28/2005
05-00334-APPS	J. Patrick Gavin	Global Imaging Systems Inc	9/28/2005
05-00335-APPS	J. Patrick Gavin	Capital One Financial Corporation	9/28/2005
05-00336-APPS	J. Patrick Gavin	Imation Corporation	9/28/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-00337-APPS	J. Patrick Gavin	Sherwin Williams Company	9/28/2005
05-00338-APPS	J. Patrick Gavin	Healthcare Realty Trust Incorporated	9/28/2005
05-00339-APPS	J. Patrick Gavin	Alico Inc	9/28/2005
05-00340-APPS	J. Patrick Gavin	Kroger Co	9/28/2005
05-00341-APPS	J. Patrick Gavin	Portal Software Inc	9/28/2005
05-00342-APPS	J. Patrick Gavin	The Clorox Company	9/28/2005
05-00343-APPS	J. Patrick Gavin	Dicks Sporting Goods Inc	9/28/2005
05-00344-APPS	J. Patrick Gavin	Willbros Group Inc	9/28/2005
05-00345-APPS	J. Patrick Gavin	Bally Total Fitness Holding Corporation	9/28/2005
05-00346-APPS	J. Patrick Gavin	Pier 1 Imports Inc	9/28/2005
05-08621-FOIA	JULIE CARR SMYTH	Ohio Bureau of Workers Compensation	9/28/2005
05-08657-FOIA	J. Patrick Gavin	Enzon Pharmaceuticals Inc	9/29/2005
05-08658-FOIA	J. Patrick Gavin	MidSouth Bancorp Inc	9/29/2005
05-08660-FOIA	J. Patrick Gavin	Shaw Group Inc	9/29/2005
05-08642-FOIA	J. Patrick Gavin	Churchill Downs Incorporated	9/29/2005
05-08643-FOIA	J. Patrick Gavin	Seracare Life Sciences Inc	9/29/2005
05-08645-FOIA	J. Patrick Gavin	Watsco Inc	9/29/2005
05-08646-FOIA	J. Patrick Gavin	Everlast Worldwide Inc	9/29/2005
05-08647-FOIA	J. Patrick Gavin	Peabody Energy Corporation	9/29/2005
05-08650-FOIA	J. Patrick Gavin	Martek Biosciences Corporation	9/29/2005
05-08651-FOIA	J. Patrick Gavin	Martha Stewart Living Omnimedia Inc	9/29/2005
05-08654-FOIA	J. Patrick Gavin	STAAR Surgical Company	9/29/2005
05-08655-FOIA	J. Patrick Gavin	Airspan Networks Inc	9/29/2005
05-08632-FOIA	J. Patrick Gavin	BlueLinx Holdings Inc	9/29/2005
05-08635-FOIA	J. Patrick Gavin	NeoPharm Inc	9/29/2005
05-08640-FOIA	J. Patrick Gavin	Accenture Ltd	9/29/2005
05-08636-FOIA	Leonard Meyer	Raymond James Financial Services Inc	9/29/2005
05-08637-FOIA	Leonard Meyer	David Isaac Lapin	9/29/2005
05-08644-FOIA	Michelle Czyszczak	Jean-Luc Landry	9/29/2005
05-08638-FOIA	Michelle Czyszczak	Landry Morin Inc	9/29/2005
05-08641-FOIA	Michelle Czyszczak	Richard Morin	9/29/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08631-FOIA	Michelle Czyszczak	Landry Morin Long and Short Fund	9/29/2005
05-00347-APPS	W. Hardy Callcott	Johnson v. SEC (D.C. Cir. 1996)	9/29/2005
05-08653-FOIA	Megan Lynch	Bruce Marshall Rose	9/29/2005
05-08633-FOIA	Megan Lynch	Carrington Capital Management LLC	9/29/2005
05-08634-FOIA	Andrew DePeau	Luxor Capital Group	9/29/2005
05-08659-FOIA	Andrew DePeau	Arience Capital Management	9/29/2005
05-08652-FOIA	Andrew DePeau	Caryn Seidman Becker	9/29/2005
05-08648-FOIA	Andrew DePeau	Christian Leone	9/29/2005
05-08649-FOIA	Christopher Paulin	Bigelow & Hart Capital Management LLC	9/29/2005
05-08656-FOIA	Christopher Paulin	Wellspring Capital Advisors LLC	9/29/2005
05-08639-FOIA	Christopher Paulin	Steven Wayne Guyer	9/29/2005
05-08668-FOIA	Clint Binkley	Carrington Capital Management LLC	9/30/2005
05-08678-FOIA	Clint Binkley	Carrington Securities LP	9/30/2005
05-08676-FOIA	Clint Binkley	Carrington Return Partnership LP	9/30/2005
05-08672-FOIA	Clint Binkley	Carrington Asset Acceptance Company LLC	9/30/2005
05-08681-FOIA	Clint Binkley	Carrington Investment Partners US LP	9/30/2005
05-08682-FOIA	Clint Binkley	Carrington Investment Partners LP	9/30/2005
05-08674-FOIA	Mark Mierzejewski	Christopher Mailman	9/30/2005
05-08666-FOIA	Mark Mierzejewski	Millbrook Investment Management Company LLC c/o JFI	9/30/2005
05-08662-FOIA	Clint Binkley	Bruce M Rose	9/30/2005
05-08684-FOIA	Clint Binkley	Carrington Investment Partners (Cayman) LP	9/30/2005
05-08683-FOIA	Heather Jones	David C Ott	9/30/2005
05-08702-FOIA	Heather Jones	Jim E Flynn	9/30/2005
05-08671-FOIA	Heather Jones	Donald M Farris	9/30/2005
05-08677-FOIA	Heather Jones	Paul J Spieldenner	9/30/2005
05-08663-FOIA	Heather Jones	Arnold H Snider	9/30/2005
05-08669-FOIA	Heather Jones	Richard C Patton	9/30/2005
05-08661-FOIA	Heather Jones	Brian T Olsen	9/30/2005
05-08707-FOIA	Heather Jones	Scott A Bommer	9/30/2005
05-08712-FOIA	Daniel Stotter	National Association of Securities Dealers	9/30/2005
05-08703-FOIA	Steven Gambuzza	I-W Land Company	9/30/2005



## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08686-FOIA	Steven Gambuzza	IDACORP Inc	9/30/2005
05-08687-FOIA	Steven Gambuzza	Idaho Power Company	9/30/2005
05-08688-FOIA	Steven Gambuzza	Ida-West Energy Company	9/30/2005
05-08689-FOIA	Steven Gambuzza	IDACORP Services Co	9/30/2005
05-08690-FOIA	Steven Gambuzza	IDACORP Energy Services Co	9/30/2005
05-08691-FOIA	Steven Gambuzza	IDACORP Technologies Inc	9/30/2005
05-08692-FOIA	Steven Gambuzza	IDACORP Financial Services Inc	9/30/2005
05-08693-FOIA	Steven Gambuzza	IDACOMM Inc	9/30/2005
05-08694-FOIA	Steven Gambuzza	IDACORP Energy LP	9/30/2005
05-08695-FOIA	Steven Gambuzza	Idaho Energy Resources Co	9/30/2005
05-08696-FOIA	Steven Gambuzza	Y-8 Power Company	9/30/2005
05-08697-FOIA	Steven Gambuzza	Equigy Development LP	9/30/2005
05-08698-FOIA	Steven Gambuzza	Falls River Hydro Company	9/30/2005
05-08699-FOIA	Steven Gambuzza	Ida Tech LLC	9/30/2005
05-08700-FOIA	Steven Gambuzza	Hazelton Power Company	9/30/2005
05-08701-FOIA	Steven Gambuzza	Wilson Power Company	9/30/2005
05-08713-FOIA	Steven Gambuzza	Ida West Operating Services Inc	9/30/2005
05-08714-FOIA	Steven Gambuzza	Ida Tech Fuel Cells GmBh	9/30/2005
05-08715-FOIA	Steven Gambuzza	IDACOMM Services	9/30/2005
05-08716-FOIA	Steven Gambuzza	RMC Holdings Inc	9/30/2005
05-08717-FOIA	Steven Gambuzza	Velocitus Inc	9/30/2005
05-08709-FOIA	Steven Gambuzza	Ida West Acquisition Company	9/30/2005
05-08710-FOIA	Steven Gambuzza	South Forks Hydro Company	9/30/2005
05-08705-FOIA	Steven Gambuzza	Hermiston Power Company	9/30/2005
05-08706-FOIA	Steven Gambuzza	Garnet Power Company	9/30/2005
05-08665-FOIA	Michael Spence	Sherwood M Weiser	9/30/2005
05-08675-FOIA	Michael Spence	Donald E Lefton	9/30/2005
05-08679-FOIA	Michael Spence	Dale Dorrough	9/30/2005
05-08680-FOIA	Michael Spence	W Peter Temling	9/30/2005
05-08704-FOIA	Michael Spence	The Continental Companies LLC	9/30/2005
05-08708-FOIA	Michael Spence	Housing Trust Group of Florida LLC	9/30/2005

## All cases received in FY2005

Date: 04/24/2007

ID	Requester	Description	Received Date
05-08670-FOIA	Mark Edwards	Cubist Pharmaceuticals Inc	9/30/2005
05-08673-FOIA	Mark Edwards	Curis Inc	9/30/2005
05-08685-FOIA	Mark Edwards	Curagen Corp	9/30/2005
05-08667-FOIA	Mark Edwards	CV Therapeutics	9/30/2005
05-08664-FOIA	Mark Edwards	Cygnus Inc	9/30/2005
05-08711-FOIA	Heather Jones	Daniel B Zwirn	9/30/2005