

# governmentattic.org

"Rummaging in the government's attic"

Description of document: NSA administrative processing file for FOIA request

for records on NSA support for war games and simulations scheduled for or held on September 11,

2001

Requested date: 27-October-2007

Released date: 10-September-2008

Posted date: 27-September-2008

Date/date range of document: 18-February-2007 – 04-May-2007

(Records refer to older incidents and documents)

Source of document: NSA FOIA Requester Service Center:

National Security Agency Attn: FOIA/PA Office (DJ4) 9800 Savage Road, Suite 6248

Ft. George G. Meade, MD 20755-6248

Telephone: (301) 688-6527 Fax: (443) 479-3612

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file.



#### NATIONAL SECURITY AGENCY CENTRAL SECURITY SERVICE FORT GEORGE G. MEADE, MARYLAND 20755-6000

FOIA Case: 54025 10 September 2008

This is the final response to your Freedom of Information Act (FOIA) request dated 27 October 2007 for " - The letter of request; - The letter of response, - The contents of the administrative tracking file (notes, memos, email, letters, etc., etc.), and also - The first 200 pages of any records provided for each of the following eight (8) FOIA request cases at the NSA: 51864 51897 51978 51982 52003 52440 52467 52565." A copy of your request is enclosed. Your request has been processed under the FOIA and some of the documents you requested are enclosed. Certain information, however, has been deleted from the enclosures.

Some of the information deleted from the documents was found to be currently and properly classified in accordance with Executive Order 12958, as amended. This information meets the criteria for classification as set forth in Subparagraph (c) of Section 1.4 and remains classified TOP SECRET and SECRET as provided in Section 1.2 of the Executive Order. The information is classified because its disclosure could reasonably be expected to cause exceptionally grave or serious damage to the national security.

In addition, this Agency is authorized by various statutes to protect certain information concerning its activities. We have determined that such information exists in these documents. Accordingly, those portions are exempt from disclosure pursuant to the third exemption of the FOIA which provides for the withholding of information specifically protected from disclosure by statute. The specific statutes applicable in this case are Title 18 U.S. Code 798; Title 50 U.S. Code 403-1(i); and Section 6, Public Law 86-36 (50 U.S. Code 402 note).

Commercial and financial information that is privileged or otherwise confidential has also been deleted from the enclosures, pursuant to the fourth exemption of the FOIA.

Also, some of the information has been deleted from the enclosures pursuant to the fifth exemption of the FOIA. This exemption applies to interagency or intra-agency memoranda or letters which would not be available by law to a party in litigation with the agency, protecting information that is

FOIA Case: 54025

normally privileged in the civil discovery context, such as attorney-client privileged information.

Additionally, personal information regarding an individual has been deleted from the enclosures in accordance with 5 U.S.C. 552 (b)(6). This exemption protects from disclosure information that would constitute a clearly unwarranted invasion of personal privacy. In balancing the public interest for the information you request against the privacy interests involved, we have determined that the privacy interests sufficiently satisfy the requirements for the application of the (b)(6) exemption.

Since these deletions may be construed as a partial denial of your request, you are hereby advised of this Agency's appeal procedures. Any person denied access to information may file an appeal to the NSA/CSS Freedom of Information Act Appeal Authority. The appeal must be postmarked no later than 60 calendar days from the date of the initial denial letter. The appeal shall be in writing addressed to the NSA/CSS FOIA Appeal Authority (DJP4), National Security Agency, 9800 Savage Road STE 6248, Fort George G. Meade, MD 20755-6248. The appeal shall reference the initial denial of access and shall contain, in sufficient detail and particularity, the grounds upon which the requester believes release of the information is required. The NSA/CSS Appeal Authority will endeavor to respond to the appeal within 20 working days after receipt, absent any unusual circumstances.

Rher D. Shirs

RHEA D. SIERS
Deputy Associate Director for Policy

Encls: a/s

NATIONAL SECURITY AGENCY ADMINISTRATIVE PROCESSING FILE FOR PREVIOUS FOIA REQUEST FOR RECORDS ON

NSA SUPPORT FOR WAR GAMES AND SIMULATIONS SCHEDULED FOR OR HELD ON SEPTEMBER 11, 2001

2138

### The 9/11 Working Group

c/o Mick G. Harrison, Esq. The Caldwell Center 323 S. Walnut Street Bloomington, IN 47401 859-321-1586 (cell) 812-323-7274 (office/fax)

email: mickharrisonesq@earthlink.net

Date: February 18, 2007

National Security Agency Pamela N. Phillips Chief, FOIA/PA Services Office of Information Policy, DC321 Ft. George G. Meade, MD 20755-6248 telephone number: (301) 688-6527 fax number: (301) 688-6198

Dear Sir or Madam:

Re: Freedom of Information Act Request

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, as amended, the undersigned hereby request access to (or a copy of) all documents and records of all types pertaining to or referencing, by name or otherwise, the items in the numbered list below. To the extent your office or agency is not subject to the requirements of the FOIA, please consider this a petition pursuant to the United States Constitution to redress grievances including the failure to date of the federal government to make available to the public the full truth regarding the events of September 11, 2001. We request all documents and records pertaining to or referencing:

- 1) Any and all war games involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft conducted anywhere on September 11, 2001;
- 2) Any and all military exercises involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft conducted anywhere on September 11, 2001;
- 3) Any and all emergency response exercises involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft conducted anywhere on September 11, 2001;
- 4) Any and all war games involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft scheduled for September 11, 2001 anywhere but cancelled;
- 5) Any and all military exercises involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft scheduled for September 11, 2001 anywhere but cancelled;
- 6) Any and all emergency response exercises involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft scheduled for September 11, 2001 anywhere, but cancelled;
- 7) Any and all war games involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft planned, considered or contemplated for September 11, 2001 anywhere but never conducted or formally scheduled;
- 8) Any and all military exercises involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft planned, considered or contemplated for September 11, 2001 anywhere but never conducted or formally scheduled;
- 9) Any and all emergency response exercises involving aircraft (manned or unmanned), simulated aircraft including simulated hijacked aircraft, tracking of aircraft, and/or tracking of simulated aircraft including simulated hijacked aircraft considered or contemplated for September 11, 2001 anywhere but never conducted or formally scheduled;
- 10) Any and all government agency (military or civilian) missions, tests, tasks, exercises or other activities or actions that did or could have resulted in any aircraft, manned or unmanned, being within 20 miles of the World Trade Center in New York City or the Pentagon at any time on September 11, 2001, including any decisions or orders to have NORAD, Langley AFB, Andrews AFB, U.S. Air Force or any National Guard aircraft fly to or near the Pentagon or WTC on this date;
- 11) Any and all non-governmental (including government contractor) flights, missions, tests, tasks, exercises, or other activities or actions that did or could have resulted in any aircraft, a manned or unmanned, being within 20 miles of the World Trade Center in New York City or the Pentagon at any time on September 11, 2001;
  - 12) Any and all activities of military or police bomb sniffing K-9 units on September 11, v

2001 at the Pentagon, Pentagon Metro station or the World Trade Center;

- 13) NORAD's "Vigilant Guardian" and "Vigilant Warrior" emergency response/war game exercises conducted on September 11, 2001;
- 14) The plane-crashing-into-tower emergency response exercise held or planned at National Reconnaissance Office (NRO) headquarters outside Washington, D.C. on September 11, 2001;
- 15) Any NORAD "Soviet-era" emergency response exercise other than "Vigilant Guardian/Vigilant Warrior" conducted on and/or planned for September 11, 2001 in which US fighter jets were to defend against Russian nuclear bombers;
- 16) The FEMA New York City counter-bioterrorism exercise called "Tripod II" scheduled to begin on or about September 10, 11 or 12, 2001;
- 17) Any FEMA or military exercises involving simulated anthrax attacks planned for, scheduled for, or conducted in August, September, October, or November 2001 anywhere in the United States;
- 18) Amalgam Warrior air defense training exercises conducted on September 11, 2001 and/or the days preceding September 11th;
- 19) Exercises and operations at Ft. Belvoir in September, 2001 prior to September 11, 2001 involving increasing base security related to potential terrorist attacks and drills related to emergency response and medical response to potential mass casualty events;
- 20) Any Global Guardian exercises that took place during the days prior to and on September 11, 2001, and any such exercises which had been scheduled for October, 2001;
- 21) Operation Northern Vigilance involving NORAD fighters deployed to Alaska and Canada in the days preceding and on September 11, 2001;
- 22) A joint FBI/CIA anti-terrorist task force training exercise in Monterey, California on September 11, 2001;
- 23) Any emergency drills scheduled for September 11, 2001 at the WTC including a drill involving the 97<sup>th</sup> floor of WTC South;
- 24) Crown Vigilance air combat command exercises conducted in September, 2001 prior to and/or on September 11, 2001;
- 25) Apollo Guardian exercises of the U.S. Space Command that occurred on September 11, 2001 and/or the days preceding September 11th;
- 26) Three F-16s from Langley involved in an air to ground training mission in North Carolina on September 11, 2001;
- 27) The Timely Alert II disaster drill at Fort Monmouth, an Army base in New Jersey on September 11, 2001;
- 28) A training mission over the Atlantic conducted by a team in the 102nd Fighter Wing at Otis Air National Guard Base, Cape Cod, Massachusetts on September 11, 2001;
  - 29) Any AWACS training missions or exercises conducted on September 11, 2001;
- 30) Any training missions involving F-16s from Ellington Air National Guard Base in Texas that were planned or conducted on September 11, 2001;
  - 31) Any false or inaccurate reports of aircraft hijacking on September 11, 2001;
- 32) The rescheduling of any war game, training exercise, emergency drill, simulated hijacking, or drill originally scheduled for a date other than September 11, 2001 and then moved to September 11, 2001.

This request includes all documents and records in any form or format that are or have ever been within your custody or control, whether the documents and records exist in agency "working," investigative, retired, electronic mail, digital, other electronic, audio, video, paper/hard copy, or other files. The terms "document" and "record" are broadly defined to mean any written, printed, typed, or other graphic matter of any kind or nature, and all mechanical, electrical, electronic, and magnetic recordings, whether an original or a non-identical copy, however reproduced or altered, including, but not limited to, papers, letters, correspondence, E-mail, telegrams, interoffice communications, memoranda, notes, notations, notebooks, reports, records, accounting books or records, minutes of meetings, contracts, invoices, purchase orders, instructions, specifications, schedules, tables, charts, transcripts, publications, scrap books, diaries, computer files, files on disk or CD or DVD, backup files, and any drafts, revisions, or amendments of the same or of like material

For any documents or records or portions thereof that you fail to release, or block the release of based on the asserted applicability of a FOIA exemption from the requirement to release government documents and records, please provide an index itemizing and describing the documents or portions of documents withheld. The index should, pursuant to the holding of *Vaughn v. Rosen*, 484 F.2d 820 (D.C. Cir. 1973), *cert. denied*, 415 U.S. 977 (1974), provide a detailed justification for claiming a particular exemption explaining why each such exemption applies to each document or portion of a document withheld.

We request a waiver of fees for this request. Disclosure of the requested information to us is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government and is not primarily in our commercial interest. We are requesting these records as representatives of the Bloomington, Indiana 9/11 Working Group (9/11 Working Group) for non-commercial, educational and scholarly purposes including public education and citizen oversight of government activities related to the tragic events of September 11, 2001. The information requested, once obtained, will be made available to the public via release to the media, delivery to the Congress of the United States, release to citizen groups and scholars nationwide who are engaged in 9/11 related oversight and investigative activities, delivery to appropriate law enforcement agencies, and delivery to interested public libraries; and via analytical reports prepared by the 9/11 Working Group which will be released to all of the aforementioned agencies and parties. The 9/11 Working Group is currently an unincorporated association of citizens, in the process of incorporating as a not-for-profit organization, concerned about 1) the failure of officials of the United States Government to fully and accurately disclose the truth regarding the tragic events of September 11, 2001, and 2) potential official misconduct and violations of law by government officials related to the events that occurred on 9/11/01, and related to the prelude and aftermath of 9/11/01.

If any expenses in excess of \$20.00 are incurred in connection with this request, please obtain our approval before any such charges are incurred.

We will expect a response within 20 working days as provided by law. As noted above, if our request is denied in whole or in part, we expect a detailed justification for withholding the records. We also request any segregable portions that are not exempt to be disclosed.

We request that the information we seek be provided if possible in electronic format, and we would like to receive it on CD-ROM or DVD.

We may be contacted during business hours at the number for attorney Mick Harrison indicated below, if necessary, to discuss any aspect of our request. Thank you for your prompt attention to this matter.

Respectfully submitted,

Mick G. Harrison, Esq.

The Caldwell Center 3423 S. Walnut Street

Bloomington, IN 47401

812-323-7274

859-321-1596 (cell)

Paul T. Smith

The Caldwell Center

3423 S. Walnut Street Bloomington, IN 47401

812-336-8036

Kevin R. Ryan

The Caldwell Center 3423 S. Walnut Street

Bloomington, IN 47401

812-325-5233

DOGID: 3419012

Culdwell Center

323 S Walnut St

Bloomington TN47401



Notice Sacurity Agency

Panda DV Phillips

Chief, FOTA IPA Services

Office of Information Policy DC321

Ft George G Meade

MD 20755-6248

	(CIV-NSA) DJ4	(b)(3)-P.
From: Sent:	CIV-NSA) DJ4	
To:	Friday, March 23, 2007 8:13 AM (CIV-NSA)S02L3;	(CIV-NSA) S14
Cc: Subject:	U) Search Cost Estimate - FOIA #52467	
Classification:	UNCLASSIFIED//FOR OFFICIAL USE O	NLY
<b>.</b>		
c52467_2.doc		
_		
Good Morning;		
Attached is a search	cost estimate for FOIA case #52467 for records on NS ses that were scheduled on 9/11 and then cancelled SPENSE: 6 April 2007.	SA planning to provide SIGINT support to  . The requester is Mick Harrison from the 9/11
Attached is a search any military exercis Working Group. SU Carmella - this is the nis office was the co	ses that were scheduled on 9/11 and then cancelled SPENSE: 6 April 2007.	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the
Attached is a search any military exercise Working Group. SUCarmella - this is the his office was the cocos (please note that	ses that were scheduled on 9/11 and then cancelled SPENSE: 6 April 2007.  case in which you provided	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the
Attached is a search any military exercise Working Group. SU: Carmella - this is the his office was the coron (please note that Thanks,	ses that were scheduled on 9/11 and then cancelled SPENSE: 6 April 2007.  case in which you provided	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the
Attached is a search any military exercise Working Group. SU: Carmella - this is the his office was the coccos (please note that Thanks, Kelly	ses that were scheduled on 9/11 and then cancelled ISPENSE: 6 April 2007.  case in which you provided	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the
any military exercise Working Group. SU: Carmella - this is the his office was the coron (please note that Thanks, Kelly (U//FOCO) FOIA Case Officer, I	ses that were scheduled on 9/11 and then cancelled ISPENSE: 6 April 2007.  c case in which you provided	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the original request to you shortly.
Attached is a search any military exercise Working Group. SU: Carmella - this is the his office was the color (please note that Thanks, Kelly (V//FOC) FOIA Case Officer, Tops2B8118, Suite 62	ses that were scheduled on 9/11 and then cancelled ISPENSE: 6 April 2007.  The case in which you provided the search estimate to, however, he at I have included her on this email). I will be faxing the contract of the search estimate to a s	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the original request to you shortly.
Attached is a search any military exercise Working Group. SU: Carmella - this is the his office was the coron (please note that Thanks, Kelly (U//FOUC) FOIA Case Officer, I	ses that were scheduled on 9/11 and then cancelled ISPENSE: 6 April 2007.  The case in which you provided the search estimate to, however, he at I have included her on this email). I will be faxing the contract of the search estimate to a s	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the original request to you shortly.
Attached is a search any military exercise Working Group. SU: Carmella - this is the his office was the coccos (please note that Thanks, Kelly (U//FOCC) FOIA Case Officer, Cops2B8118, Suite 62 993-5827 \$\sigma\$ 301-688-68	ses that were scheduled on 9/11 and then cancelled ISPENSE: 6 April 2007.  case in which you provided	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the original request to you shortly.  (b)(3)-P.L. 86-
Attached is a search any military exercise Working Group. SU: Carmella - this is the his office was the coccos (please note that Thanks, Kelly (U//FOCC) FOIA Case Officer, Cops2B8118, Suite 62 993-5827 \$\sigma\$ 301-688-68	ses that were scheduled on 9/11 and then cancelled ISPENSE: 6 April 2007.  The case in which you provided the search estimate to, however, he at I have included her on this email). I will be faxing the contract of the search estimate to a s	PoC. Dave and I spoke and he confirmed that asked that I send it to who is the original request to you shortly.  (b)(3)-P.L. 86-

	(CIV-NSA) DJ4	(b)(3)-P.L. 86
From:	Stupar, Marianne G (CIV-NSA) DJ4	And the same of th
Sent:	Monday, April 09, 2007 1:27 PM	
To:		
Cc:		
Subject:	FW: (U) S14P RESPONSE: 3027-2007 (17175	52) FOIA Request 52467
Signed By:	mgstupa@nsa.ic.gov	/ /
Classificat	tion: UNCLASSIFIED// <u>FOR OFFICIAL USE</u> ONI	Ī.Y
Carmella,		
consolida	ted response from the SIGINT Directorate or SID points of contact should be responding dir	we discussed previously, the FOIA Office needs <u>one</u> on FOIA searches and search estimates. All of your rectly to you and letting you submit the consolidated
process F if an exte	FOIA requests. Pamela's name is mentioned on ension is needed.	As the Chief of FOIA, Pamela does not actually  n the search form - but only as the person to contact  offices, instructing them to respond only to you?
	ntact me with any questions you may have. The	
21.45 *		/
(U) Mai		, , , , , , , , , , , , , , , , , , ,
Marianne DJ41	G. Stupar	
	of Information Act/Privacy Act Office	
	18. Suite 6248/mgstupa@nsa	
963-5827	7s, 301-688-6527 (U)	
<b>0</b> 1		/
Original From:	(CIV-NSA) 51091	•
Sent: .Thur	sday, April 05, 2007 1:58 PM	
To: Phillip	s, Pamela Norris (CIV-NSA)DJ4 (CIV-I	NSA)S02L3
	ACTION	
Subject:	(U) S14P RESPONSE: 3027-2007 (171752) FOIA Request 5246	
Classif	fication: UNCLASSIFIED//FOR OF	FICIAL USE ONLY
Carmeli	a/Pam,	

Please find attached form from \$14P in response to subject FOIA Request. Let me know if you have any questions or need anything else.

Response was uploaded into Epower and processed back.

Thanks, **Dale** 

(b)(3)-P.L. 86-36

Customer Relationships
Directorate Staff, \$1091
906-24634//(240)373-2463
OP\$1, 20061, Suite 6485

Classification: UNCLASSIFIED//FOR OFFICIAL USE ONLY

# UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467

When responding, please complete ALL applicable blanks below.

1. NO RECORDS/NO SEARCH:
_X_I reasonably believe that my organization does not have records in our office files or in the Records Center that are responsive to the request. Therefore, I have not conducted a search and am not providing a search estimate.
2. SEARCH/NO RECORDS FOUND (cost under \$25):
No responsive records were found in our files or in the Records Center, and our search cost was under \$25.00. PLEASE COMPLETE DD FORM 2086.
3. SEARCH/RECORDS FOUND (cost under \$25):
Responsive records are attached. The search cost was under \$25.00.  PLEASE COMPLETE DD FORM 2086.
4. Must search to determine if responsive records exist:
A search is required to determine if any responsive records exist. My search costs are estimated on pages 2-3. (Note: if your search cost estimate exceeds \$25.00, <b>DO NOT SEARCH</b> for the information at this time).
(b) (3)-P.L. 86-36
(U)//EOUO) Acting Division Chief, S14P/963-
3072s/04APR07 Signature/Office/Secure Phone/Date
Encls:
a/s

# UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467

DO I IMA I ED	DEARCH.	MAD) OK	KEATEM	CO212	
- 47.75 7 1 4.7					

evaluation:	elements which contributed to you
b. (U) Briefly describe the types of recorrespondence, intelligence reports,	
a (II) Indicate the WIIMPRO OF FILE	po
c. (U) Indicate the <b>NUMBER OF FIL</b> for each category of file listed below (c	
file drawers large flippers desk drawers small flippers	file cabinets safes shinn boxes
d. (U) Tell us the accessibility of the	responsive files:
Files are centrally located	
Files must be recalled from	
Files are contained in read Files are scattered through	
cabinets, and would reproper retrieval	quire a page-by-page search for
Files are located in various	
Files are organized chrono matter	logically rather than by subject
e. (U) Other unique conditions that n request:	nay affect the processing of this

### UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467

Databases, e-mails and the NSA Web. Briefly describe the system:	f. (U) Machine files to	be searched	:		
a search estimate for Anchory files as S1213 (Anchory) is the office responsible for conducting those searches/estimates.)  g. (U) Estimate the number of hours that you believe are required to conduct a reasonable search.  Hours of Hourly Grade Search Rate TOTAL				NSA Web. Bri	iefly describe the
a search estimate for Anchory files as S1213 (Anchory) is the office responsible for conducting those searches/estimates.)  g. (U) Estimate the number of hours that you believe are required to conduct a reasonable search.  Hours of Hourly Grade Search Rate TOTAL					
g. (U) Estimate the number of hours that you believe are required to conduct a reasonable search.  Hours of Hourly Grade Search Rate TOTAL			(NOT	E: Please do	not search or provide
Conduct a reasonable search.  Hours of Hourly  Grade Search Rate TOTAL		~			•
Grade Search Rate TOTAL	<del></del>		ars th	at you believe	are required to
Grade Search Rate TOTAL	•	Hours of		Hourly	·
E9/GS8&below x \$20.00 =	Grade			•	TOTAL
	E9/GS8&below		x	\$20.00 =	
	01-06/GS9-15		X	\$44.00 =	
07/SCE/SLE/SLP x \$75.00 =	07/SCE/SLE/SL	P	x	\$75.00 <b>=</b>	
	MOMAL COOR OF		n 10-		

### UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467A

#### M/R: (U) NON-PURVIEW AND NO RECORDS

(U) This letter responds to Mick Harrison's FOIA request of 18 February 2007. His request listed 32 specific categories of records pertaining to or referencing war games, military exercises, and emergency response exercises involving aircraft that were conducted on, scheduled for, or planned for 11 September 2001. He also requested documents pertaining to a number of other military, governmental, and/or non-governmental operations, missions, tests, exercises, drills, and activities conducted in or planned for the month of September in 2001.

(U//FOUO) I searched CTS/WACO using "Harrison" in both the first and last name position and found several other cases from this requester, all relating to 9/11. In providing guidance to me for working this case, Marianne advised that it is part of NSA's mission to provide support to military exercises (at least specific ones). She advised me to contact the NSA office that handles that area and find out if we would have records on any support NSA may have given to the military exercises Mr. Harrison mentions in his request. On 20 March 2007, I sent an email to our POC in SID, asking her for the name of a person in SID who works with military exercises. Carmella responded that S14P, the Plans & Exercises Division, would be the person to contact regarding a request such as this one. On 22 March 2007, I called to verify that he is the correct person to contact regarding a request, and he confirmed that he was. also informed me that I could send my search estimate to either him or to who is the Chief of Staff in that office. On 23 March 2007 I emailed a search estimate with a copy to as well. I received a response from SID on 4 April 2007, indicating that they reasonably believe their organization does not have responsive records for this request. Therefore, a search was not conducted and a search estimate was not provided. Many of the items listed in Mr. Harrison's request do not fall within the purview of NSA at all. Therefore, we will respond with a partial non-purview, partial no records. The language in our response letter explaining NSA's mission to support military exercises was obtained from the unclassified portions of NSA Policy 2-12.

(U) We advise requester of his "all other" fee category and explain that there are no assessable fees for this request; therefore, his request for a fee waiver was not addressed. We explain NSA's mission and inform requester that most of his request does not fall within our purview. We further advise that, since NSA does have a "support to military exercises mission," we have conducted a thorough search of our files, but no records were located. We

#### UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467A

provide the requester with this Agency's appeal procedures. Finally, because much of his request is within the purview of a number of other agencies, we recommend that he redirect his request to those other agencies.

	(b) (3)-P.	L. 86-36
(U//F000)	DJ4, 963-5827, 19 April 2007	(italics added by
mgstupa on 4/20/07)	<del></del>	

## UNCLASSIFIED//FOR OFFICIAL USE ONLY

UNITED STATES GOVERNMENT

# memorandum

FOIA Case: 52467

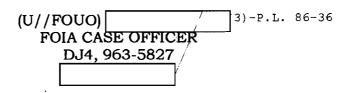
DATE: 23 March 2007

REPLY TO ATTN OF: DJ4

SUBJECT: (U) ESTIMATE OF SEARCH COSTS FOR FREEDOM OF INFORMATION ACT (FOIA) REQUEST - ACTION MEMORANDUM

TO: SID (S14P-	(b) (3)-P.L.	86-36
----------------	--------------	-------

- (U) Please estimate the manpower and/or machine cost(s) that your office would incur by searching for records on NSA planning to provide SIGINT support to any military exercises that were scheduled on 9/11 and then cancelled. You do not need to perform the search at this time, unless you believe the cost will be minimal (under \$25/approximately one-half hour). Please note that your searches and estimates must include holdings in both your office files and your office's records stored at the SAB 2 Records Center.
- (U) After reviewing your estimate and corresponding with the requester, DJ4 will notify you if a search must be conducted.
- (U) Please complete the information on the following pages and return the completed forms, along with your DD2086 form, to DJ4 by **6 April 2007**. If the search estimate/search cannot be completed within the allotted time frame, please notify the Chief, DJ4, Pamela Phillips (pnphill@nsa) by email or phone of the reasons for the delay and the expected date of completion.



Encls: a/s

# UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467

When responding, please complete ALL applicable blanks below.

1. NO RECORDS/NO SEARCH:
I reasonably believe that my organization does not have records in our office files or in the Records Center that are responsive to the request. Therefore, I have not conducted a search and am not providing a search estimate.
2. SEARCH/NO RECORDS FOUND (cost under \$25):
No responsive records were found in our files or in the Records Center, and our search cost was under \$25.00. PLEASE COMPLETE DD FORM 2086.
3. SEARCH/RECORDS FOUND (cost under \$25):
Responsive records are attached. The search cost was under \$25.00.  PLEASE COMPLETE DD FORM 2086.
4. MUST SEARCH TO DETERMINE IF RESPONSIVE RECORDS EXIST:
A search is required to determine if any responsive records exist. My search costs are estimated on pages 2-3. (Note: if your search cost estimate exceeds \$25.00, <b>DO NOT SEARCH</b> for the information at this time).
(U)//FOUO)Signature/Office/Secure Phone/Date
Encls: a/s

# UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467

### ESTIMATED SEARCH AND/OR REVIEW COSTS

a. (U) List the various organevaluation:	nizational elements which contributed to your
b. (U) Briefly describe the tocorrespondence, intelligence	ypes of records that may be responsive (e.g., e reports, etc.):
·	
	R OF FILES which would have to be searched d below (e.g., 2 file drawers and 1 safe):
file drawers large flipper desk drawer small flipper	s shinn boxes
d. (U) Tell us the accessibil	lity of the responsive files:
Files are scattere cabinets, and proper retriev Files are located	called from storage ed in readily accessible folders ed throughout several folders, drawers, would require a page-by-page search for eal
e. (U) Other unique condition request:	ons that may affect the processing of this

### UNCLASSIFIED//FOR OFFICIAL USE ONLY

FOIA Case: 52467

f. (U) Machine files to	be searched:			
	s, e-mails and	the	NSA Web. Br	iefly describe the
system:		•		
		NOT	E: Please do	not search or provide
a search estimate for responsible for condu	. •		, -	
g. (U) <b>Estimate</b> the reconduct a reasonable		ırs th	at you believe	e are required to
	Hours of		Hourly	
Grade	Search_		Rate	TOTAL
E9/GS8&below		x	\$20.00 =	<u> </u>
01-06/GS9-15		x	•	<del></del>
07/SCE/SLE/S	SLP	x	\$75.00 =	
TOTAL COST	of estimati	e is:		<u>.                                    </u>

FOIA Case: 52467 19 March 2007

Mr. Mick G. Harrison, Esq. The Caldwell Center 323 S. Walnut Street Bloomington, IN 47401

Dear Mr. Harrison:

This is an initial response to your Freedom of Information Act (FOIA) request dated 18 February 2007, which was received by this office on 28 February 2007, for a copy of all documents and records pertaining to or referencing the events of 9/11 from the list provided. This letter acknowledges that we have received your request and provides some administrative information. Your request has been assigned Case Number 52467. We will begin to process your request and respond to you again shortly.

Correspondence related to your request should include the case number assigned to your request, which is included in the first paragraph of this letter. Your letter should be addressed to National Security Agency, FOIA Office (DJ4), 9800 Savage Road STE 6248, Ft. George G. Meade, MD 20755-6248 or may be sent by facsimile to 443-479-3612. If sent by fax, it should be marked for the attention of the FOIA office. The telephone number of the FOIA office is 301-688-6527.

Sincerely,

Kelly F.

FOIA Customer Representative

FOIA Case: 52467A 4 May 2007

Mick G. Harrison, Esquire The 9/11 Working Group The Caldwell Center 323 S. Walnut Street Bloomington, IN 47401

Dear Mr. Harrison:

This responds to your Freedom of Information Act (FOIA) request of 18 February 2007, which was received by this office on 28 February 2007, for documents pertaining to or referencing war games, military exercises, and emergency response exercises involving aircraft that were conducted on, scheduled for, or planned for 11 September 2001. You further requested documents pertaining to a number of other military, governmental, and/or non-governmental operations, missions, tests, exercises, drills, and activities conducted in or planned for the month of September in 2001. Your request has been assigned Case Number 52467.

For purposes of this request and based on the information you provided in your letter, you are considered an "all other" requester. As such, you are allowed 2 hours of search and the duplication of 100 pages at no cost. There are no assessable fees for this request, therefore, we did not address your request for a fee waiver. Your request has been processed under the provisions of the FOIA.

The National Security Agency/Central Security Service (NSA/CSS) is the nation's cryptologic organization, and we have a twofold mission. Our Information Assurance mission is to provide solutions, products, and services to protect U.S. information infrastructures critical to national security interests. In response to requirements set at the highest levels of government, our Signals Intelligence mission is to collect, process, and disseminate intelligence information from foreign signals for national foreign intelligence and counterintelligence purposes and to support military operations. Therefore, most of the information you request does not fall within the purview of this Agency. However, it is also part of NSA's mission to conduct, participate in and support military exercises under multinational sponsorship or sponsored by the Joint Chiefs of Staff, NATO, the Unified and Specified Commands, or any other Military Commands. Therefore, we conducted a

FOIA Case: 52467A

thorough search of our files related to NSA's support to the military exercises listed in your request, but no records responsive to your request were located.

The fact that we have determined that most of your request does not fall within the purview of this Agency and the fact that we were unable to locate any responsive records related to NSA's support to the military exercises mentioned in your request may be considered by you as adverse determinations. You are hereby advised of this Agency's appeal procedures. Any person notified of an adverse determination may file an appeal to the NSA/CSS Freedom of Information Act Appeal Authority. The appeal must be postmarked no later than 60 calendar days after the date of the initial denial letter. The appeal shall be in writing addressed to the NSA/CSS FOIA Appeal Authority (DJ4), National Security Agency, 9800 Savage Road STE 6248, Fort George G. Meade, MD 20755-6248. To aid in processing the appeal, it should reference the adverse determination, in sufficient detail and particularity, and the grounds upon which you believe the adverse determination is unwarranted. The NSA/CSS FOIA Appeal Authority will endeavor to respond to the appeal within 20 working days after receipt, absent unusual circumstances.

In addition, we can only respond on NSA records. Since you are seeking information that falls under the purview of a number of other federal agencies, you may wish to direct your inquiry to those agencies.

Sincerely,

LOUIS F. GILES Director of Policy

### UNCLASSIFIED//FOR OFFICIAL USE ONLY

"Comments" to FOIA Case 52467 (as requested under case 54025)

03/02/2007 (U//FOUO) I discussed this case with PNP. She says that NSA does provide
SIGINT support to military exercises, but only specific ones. This request insinuates that there
were exercises planned on 9/11. PNP says to ask Carmella if she has a POC within SID for supt
to military exercises and if so, we could start with calling them to discuss this request. If
Carmella does not have a POC, PNP thought we could ask the CSS (next door) if they know
what office we need to go to. If it ends up we have nothing, we will send him to other agencies
(Many of the records he seeks are NOT NSA's but some other agencies he mentions by name).
Plus we can tell him about our mission to supply SIGINT supt to mil exercises but tell him we
don't have records responsive to this particular request.  (b) (3) -P.L. 86-36
03/19/2007 (U//FOLIO) Mailed 20 day resp. ltr.
03/20/2007 (U//FOUO) Sent Carmella email asking for SID POC reg. this request. Waiting for
reply.
03/21/2007 (U//FQUO) Carmella responded that / \$14P, Plans & Exercises Div.
might be the POC. Will discuss w/Marianne.
03/22/2007 (U//FOUO) Called and left vm for asking if his office was correct
office to send search est. for SIGINT support to military exercises planned on 9/11 and then
cancelled. Waiting to hear back from him.
03/23/2007 (U//FOLIO) 3/22/07 returned my call and verified that I had contacted
the right office. He asked that I send search est. to his CoS.
03/23/2007 (U//EQUO) Emailed search est. to SID & S14P CoS).
Original was faxed to Carmella. SUSPENSE: 6 April
04/10/2007 (U//FOUO) Response was emailed directly to Pamela (why?) from
S1091 indicating they reasonably believe they do not have responsive records and a search was
not conducted.
04/19/2007 (U//FOUO) Prepared NR ltr & mr. Forwarding to review
04/19/2007 (U/FOUO) Fee waiver was originally denied, however, it does not have to be
addressed because no assessable fees exist.
04/20/2007 (U) In reviewing this, I realized that many items listed are not our purview at all, so
discussed with Pamela. Then added some nonpurview language to MR and LTR. Approved.
Sent to PNP for a quick check before going to Lou
05/03/2007 (U) Approved and forwarded to DJ.
05/03/2007 (U) proofed and ok.
05/04/2007 (U) signed
05/04/2007 (III/FOHO) Printed ltr & put in hin to be mailed