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Description of document:	List of Department of the Treasury Inspector General (OIG) Closed Investigations, 2011-2012
Requested date:	2012
Released date:	05-October-2012
Posted date:	17-March-2014
Source of document:	Disclosure Services FOIA Request Department of the Treasury Washington, DC 20220 Fax: 202-622-3895

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From: "Delmar, Richard K."

Date: Oct 5, 2012 5:01:26 PM

Subject: FOIA request - closed investigations-Treasury OIG - 2012-10-017

Per our phone discussion today - our Office of Investigations was able to produce the attached output for a shorter period - 1/1/11 through 9/30/12 - with allegation summaries. I redacted names and other PII. I think this gives you most of what I understood that you were really looking for - actual substantive allegations that were actually looked at and acted upon. Will this work for you?

If you do not agree that this is fully responsive to your request, you have the right to appeal under 5 U.S.C. 552(a)(6)(A)(i). Pursuant to the Department's FOIA appeal process set forth in 31 C.F.R. 1.5(i), an appeal must be submitted within 35 days from the date of this response to your request, signed by you and addressed to: Freedom of Information Act Appeal, DO, Disclosure Services, Department of the Treasury, Washington, D.C. 20020. The appeal should reasonably describe your basis for believing that there are additional records to which access has been denied and should specify the date of the initial request and the date of this determination. Please enclose copies of your initial request and this email.

Rich Delmar
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Office of Investigations Activities
FOIA Report
For the Period Between: 01/01/2011 and 09/30/2012

OGC-11-0947-I		Complaint received 5/2/2011, via Departmental Office [REDACTED] as made several phone calls most of them considered quite abusive to [REDACTED] office at the US Department of the Treasury.	Criminal Conviction Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	5/3/2011	5/15/2012
Alcohol Tax and Trade Bureau TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
TTB-10-2194-I		Correspondence received regarding permission to handle a case regarding the above-named subject by TTB and OIG handle as a no action referral	Administratively Referred/ Action Taken by Bureau Criminal Declination	6/15/2010	6/16/2011
TTB-10-2794-I	STOLEN TTB LAPTOPS	On August 6, 2010, the U.S. Department of the Treasury (Treasury), Office of Inspector General (OIG), Office of Investigations (OI), received a complaint from [REDACTED] Director of the Office of Inspection, Alcohol and Tobacco Tax and Trade Bureau (TTB), that five (5) TTB laptops were stolen from the third floor office space of TTB's headquarters, located at 1310 G Street, NW Washington, DC 20220. [REDACTED] advised that the theft occurred after normal TTB business hours. Specifically, between 7:00pm, August 5, 2010, and 6:00am, August 6, 2010. [REDACTED] also advised that TTB notified the Federal Protective Service (FPS) and the Metropolitan Police Department (MPD) of the theft.	Management Implication Report Issued	8/11/2010	2/15/2011
Bank Failure Initiative TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
BANK-10-2511-I	MLR REFERRAL FLAGSHIP NATIONAL BANK	On 7/13/10, TOIG-OA forwarded to TOIG-OI, a referral for investigative review arising from information identified during a Material Loss Review of the Subject bank. Allegations concern potential fraudulent loan modification practices promoted and committed by Flagship National Bank to enable lender to circumvent federal lending limits. OCC advised their office is not pursuing enforcement action, however TOIG-OA has learned the FBI and the FDIC-OIG are conducting an investigation into the subject loan transaction.	Unsubstantiated Report of Investigation Not Issued to Bureau	8/11/2010	1/11/2012
BANK-10-2967-I	BANK FIRST OF MINNESOTA	Bank First was a multi billion dollar loan participation institution. This bank loaned several million dollars worth of loans to over 125 OTS regulated banks. These loans have and potentially will contribute to several bank failures. It is alleged that the bank executives were involved in a large amount of theft. Also, potential bad lending practices involving these large loans to entities of the OTS regulated banks. Case is being worked with Kaycee Eid, FRB OIG and Jonathan Heydon, FDIC OIG	Allegation Unfounded	8/30/2010	3/14/2011
BANK-11-0896-I	FSG BANK, N.A.: INTERFERENCE WITH OCC INVESTIGATION	[REDACTED] forwarded to Assistant Inspector General for Investigations P. Brian Crane documents supporting an allegation involving a possible attempt by FSG Bank, N.A. officials to interfere with an investigation conducted by the Office of the Comptroller of the Currency (OCC).	Unsubstantiated Report of Investigation Issued to Bureau	4/26/2011	10/12/2011

Bureau of Engraving and PrintingTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
BEP-09-0201-I	(ADMIN)	Correspondence received with allegations of harassment and vandalism by the above-named subject. BEP Case Number: 09-272-057	Administratively Referred/ Action Taken by Bureau	10/5/2009	1/28/2011
BEP-10-0149-I	OFF-DUTY ARREST (ADMIN)	Correspondence received regarding the Off-Duty Arrest of	Administratively Referred/ Action Taken by Bureau	10/19/2009	1/28/2011
BEP-10-0517-I	(THREAT)	Info. pertaining to USSS interest in a BEP employee recently deployed to Afghanistan BEP Case No: DCF-SIU-2010-009	Administratively Referred/ Action Taken by Bureau Criminal Declination	11/30/2009	5/9/2011
BEP-10-1355-I		Per Bureau referral memo, BEP employee accepted a job offer with a BEP contractor on 10/12/2008, and was still working for the BEP until her resignation on 11/03/2008.	Criminal Declination	3/16/2010	2/2/2011
BEP-10-2506-I	(ADMIN) ET AL.	Anonymous complaint received on 7/13/10, via facsimile forwarding allegations of employee misconduct on the part of various management officials, with regard to an abusive environment being allowed to perpetuate. Complainant informs that Subject failed to alert authorities when one of the BEP employees attempted suicide (hanging) in the BEP office spaces. Additionally, Complainant provides an 11-page anti-President Obama, racially inappropriate e-mail which was allegedly circulated by BEP employee, which originated via senior manager. On 7/15/10, BEP provided official notification of employee suicide attempt via OIG Intake, see Documents section. [Note: Although BEP-09-0075-R is related, this complaint brings to light new specific allegations.]	Administratively Referred/ Action Taken by Bureau	7/14/2010	1/28/2011
BEP-11-0102-I	WORKERS COMPENSATION	Currency Controller, BEP WCF, was allegedly injured on or about April 3, 2009 with carpal tunnel of both hands. She went on workers compensation full time in October 2009. She had surgery on her left hand on October 29, 2009. She had surgery on her right hand on April 15, 2010. She returned to work on a light duty status on September 22, 2010. She complained about the light duty work (escorting visitors) and went to full duty on October 4, 2010. While on workers' compensation, subject was found to be working at a pro shop. Surveillance by a private company hired by the BEP found her going to the shop twice in September 2010 and working a shift of 5 hours and 10 hours. A letter to the employer in an undercover inquiry by the private investigators, found that subject began at the pro shop on July 9, 2009.	Administratively Referred/ Action Taken by Bureau Criminal Declination	10/19/2010	5/9/2011
BEP-11-1394-I		Correspondence received via OIG Intake from with the Bureau of Engraving and Printing regarding the above-named Subject and allegations of a sex club. BEP Case Number DCF-SIU-2011-029	Administratively Referred/No Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	8/4/2011	12/19/2011
BEP-11-1410-I	MISUSE OF GOVERNMENT CREDIT CARD	Correspondence received via OIG Intake from, with BEP regarding the above-named Subject allegations of misuse of government credit card.	Administratively Referred/ Action Taken by Bureau Criminal Declination Substantiated Report of Investigation Issued to Bureau	8/5/2011	2/7/2012

BEP-12-0128-I	[REDACTED] CPU/PORN	"... the Treasury computer monitoring station (GSOC) ... picked up computer traffic possibly related to child pornography. This ... appears to be localized to 4 computers at the BEP. One computer is assigned to an employee and three computers are general use."	Administratively Referred/ Action Taken by Bureau Criminal Declination Substantiated Report of Investigation Issued to Bureau	10/31/2011	7/23/2012
BEP-12-0485-I	BEP MANAGEMENT AND PRODUCTION PROBLEMS (ADMIN)	Correspondence received via Correspondence Management regarding the following: Anonymous Complaint Regarding Management and Production Problems at the Bureau of Engraving and Printing	Administratively Referred/No Action Taken by Bureau Unsubstantiated Report of Investigation Issued to Bureau	2/2/2012	6/19/2012
BEP-12-1033-I	ETHICS VIOLATION (RETALIATION)	Complaint received 3/5/2012, via email A former chairman of the fraternal order of police at BEP alleges targeted by supervisors for retaliation due to prior union activity.	Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Issued to Bureau	3/16/2012	7/26/2012

Bureau of Public Debt

Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
BPD-09-0093-I	[REDACTED]	OI received correspondence on two different occasions with allegations of the above-named subject creating fraudulent checks drawn on BPD.	Criminal Conviction Substantiated Report of Investigation Issued to Bureau	6/4/2009	11/1/2011
BPD-09-0145-I	[REDACTED] AND [REDACTED]	BPD forwarded information concerning the apparent creation of two false Treasury Direct accounts by customers who submitted fraudulent information by misusing Treasury Direct and Federal Reserve routing numbers, to create scam checks. Per consensus of the Intake Review Group, this matter is being initiated as a preliminary.	Criminal Conviction Criminal Declination Administratively Referred/ No Bureau Response Substantiated Report of Investigation issued to Bureau	8/14/2009	2/24/2012
BPD-11-0039-I	[REDACTED] ET AL	Correspondence received via OIG Intake regarding the following: On September 22, 2010, we were contacted by the IRS Criminal Investigation Division (CID) regarding 5 TreasuryDirect (TD) accounts. CID stated they were working on cases involving identity theft, where fraudulent tax returns were filed and the resulting refunds deposited into several TD accounts. Holds were placed on these 5 TD accounts to prevent any further activity. The tax refunds were deposited from two originating routing numbers. The routing numbers have been identified as 111036170, from the Department of the Treasury (Austin, TX) and 062736011, from Treasury FMS (Birmingham, AL).	Civil Conviction Criminal Conviction Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	10/14/2010	5/15/2012

BPD-11-0374-I	MUTIPLE COMPROMISED BPD WORKSTATIONS	On 11/25/2010 GSOC noticed suspicious activity when reviewing BPD's proxy logs. Two PCs in ARC were identifies as having attempted connection to a malicious IP, possibly as the result of a phishing scheme. An investigation was started to evaluate the extent of any compromise, additional logs were sent to the GSOC for their review, the two infected machines were disconnected from the network and quarantined by PC Support, the malicious IPs were added to the proxy filter blacklist, and a GSOC ticket (#8981) was opened. On 11/26/2010 at approximately 4:00 pm, GSOC again contacted BPD with instructions to disconnect and quarantine 7 more workstations. GSOC also indicated that there will likely be more workstations identified as compromised. The compromise appears to have been ongoing since 11/8/2010. The compromised machines have downloaded files from a malicious IP.	Lack of Investigative Merit	12/22/2010	8/5/2011
BPD-11-0590-I	██████████ PHISHING ATTEMPT	Correspondence received via OIG Intake from ██████████ with the Bureau of Public Debt regarding the following: The Bureau of the Public Debt Office of Public Debt Accounting continues to receive request from ██████████ and his firm, ██████████ for restricted access to federal investment programs and systems. On January 18, 2011, ██████████ submitted a LogOn ID Request for access to a government system reserved for federal agencies only. He specifically was seeking access to the oil spill liabilities fund. He specified his agency was the DOD-DCMA although he is not affiliated with this or any other government agency. This is a reoccurring phishing attempt by ██████████ which may be a violation of a Cease and Desist notification issued through Treasury OIG in 2010.	Criminal Declination Administratively Referred/ No Bureau Response Report of Investigation Issued to Bureau	2/25/2011	4/20/2012
BPD-11-0997-I	██████████ FALSE CLAIM OF LOST BONDS	██████████ put in a claim for 114 missing bonds and in return was issued a check in the amount of \$100,950.73. Shortly thereafter ██████████ began cashing in her "missing" bonds. Multiple attempts to contact ██████████ at multiple addresses have all failed.	Criminal Declination Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	5/17/2011	5/4/2012
BPD-11-1080-I	██████████ CHECK FRAUD	Thompson submitted an invalid check in the amount of \$2,200 in payment of a credit card bill; the check has a BPD routing number and the bank may suffer a monetary loss.	Criminal Declination Substantiated Report of Investigation Issued to Bureau	5/26/2011	12/15/2011
BPD-11-1546-I	██████████ MISUSE OF GOVT CREDIT CARD	For more than two years, Bureau of the Public Debt employee ██████████ used her government credit card to make inappropriate purchases and to obtain inappropriate cash advances; she admits to only two cash advances from July and August of this year.	Administratively Referred/ Action Taken by Bureau Criminal Declination Substantiated Report of Investigation Issued to Bureau	9/12/2011	12/22/2011

BPD-12-0963-I	██████████ L AND ██████████	On 2/14/12, TOIG was contacted by the BPD Risk Mitigation and Investigations Section that on Feb 13, 2012 ██████████ and ██████████ each attempted to open new Trust accounts in the TreasuryDirect Web system. They listed a Federal Reserve Bank as their bank and utilized SSN numbers that do not belong to them. The BPD locked the accounts and notified SA ██████████. Further research by BPD revealed that the SSN numbers that ██████████ and ██████████ put on their TreasuryDirect accounts belonged to individuals in New Mexico and Texas. ██████████ and ██████████ were previously charged in 2009 and sentenced in 2011 for violating Calif. State code for passing counterfeit checks. (Previous TOIG case #: BPD-09-0145-I.)	Criminal Declination Administratively Referred/ No Bureau Response Report of Investigation Issued to Bureau	2/28/2012	7/26/2012
BPD-12-1614-I	██████████ THEFT	██████████ helped himself to four framed pictures from the Bureau of Public Debt, without permission. During an interview on the matter, ██████████ confessed to the thefts then returned the pictures.	Administratively Referred/ Action Taken by Bureau Criminal Declination Unsubstantiated Report of Investigation Issued to Bureau	5/8/2012	8/21/2012
BPD-12-1652-I	██████████	██████████ Labor Relations Officer, BPD, Parkersburg, WV called the OIG hotline. She stated that she was notified by the BPD DC office that a coworker informed his supervisor that ██████████ had "gay porn" on his BPD computer. The coworker noticed ██████████ viewing it. ██████████ could not provide the coworker or supervisor name, but would obtain and provide to the OIG shortly. 304-480-8303	Administratively Referred/ Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	5/2/2012	7/26/2012
BPD-12-1892-I	██████████	Correspondence received via OIG Intake from ██████████ with BPD regarding the following: On May 21, 2012, a news article appeared on Reuters detailing China's status as a direct bidder in Treasury auctions. Because the article quoted an August 12, 2011, email sent by ██████████ at the Federal Reserve Bank (New York) to five Public Debt management officials, Public Debt is concerned over the possible unauthorized distribution of highly sensitive and confidential information regarding Treasury's auction participation processes.	Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Issued to Bureau	6/6/2012	8/21/2012
BPD-12-2069-I	██████████ (ADMIN)	Correspondence received via OIG Intake from ██████████ with BPD regarding the above-named subject and allegations of criminal-employee misconduct (unwanted sexual advances)	Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Issued to Bureau	6/27/2012	8/14/2012
Check Forgery Insurance Fund TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
CFIF-10-2753-I	██████████ (CFIF)	United States Treasury Check in the amount of \$3226 issued to ██████████ was never received by payee therefore causing a claim against the CFIF.	Criminal Conviction	8/3/2010	3/28/2011

CFIF-10-2940-I	[REDACTED] (CFIF)	On August 3, 2010, the Office of Inspector General (OIG), Office of Investigation (OI) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying he did not receive/negotiate U.S. Treasury Check number [REDACTED] the amount of \$4,372.17 which resulted in a settlement check(s) to be issued to the payee. Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation. (ATTACHMENT)	Lack of Investigative Merit	8/30/2010	3/28/2011
CFIF-10-2942-I	[REDACTED] (CFIF)	On August 3, 2010, the Office of Inspector General (OIG), Office of Investigation (OI) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number [REDACTED] in the amount of \$4,766.00 which resulted in a settlement check(s) to be issued to the payee. Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation. (ATTACHMENT)	Criminal Conviction	8/30/2010	7/15/2011
CFIF-10-2943-I	[REDACTED] (CFIF)	On August 3, 2010, the Office of Inspector General (OIG), Office of Investigation (OI) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number [REDACTED] the amount of \$1,884.00 which resulted in a settlement check(s) to be issued to the payee. Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation. (ATTACHMENT)	Criminal Declination Substantiated Report of Investigation Not Issued to Bureau	8/25/2010	8/14/2012

CFIF-10-2982-I	[REDACTED] (CFIF)	On August 3, 2010, the Office of Inspector General (OIG), Office of Investigation (OI) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying they did not receive/negotiate U.S. Treasury Check number [REDACTED] in the amount of \$647.00 which resulted in a settlement check(s) to be issued to the payee. According to records, the check was negotiated at a Wachovia bank by Yoni Rodriguez of Hyattsville, MD.	Criminal Conviction	9/1/2010	3/29/2011
CFIF-10-2998-I	[REDACTED] AND [REDACTED] (CFIF)	On August 31, 2010, the Office of Inspector General (OIG), Office of Investigations (OI) received information from Wilmington Bank and Trust (WBT) regarding the negotiation of two stolen US Treasury Checks by one of their customers. More specifically, WBT reports that [REDACTED] negotiated stolen United States Treasury Check [REDACTED] in the amount of \$2455.00 and Check [REDACTED] in the amount of \$1747.00 into his bank account on April 28, 2010.	Criminal Declination	9/2/2010	9/8/2011
CFIF-11-0122-I	[REDACTED] (CFIF)	On September 13, 2010, the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically [REDACTED] filed a claim with the FMS alleging he never received or negotiated United States Treasury Check [REDACTED] in the amount of \$4,114.00 made payable to him on April 9, 2010. [REDACTED] Wachovia VP of Corporate Fraud Investigative Services, provided additional information regarding Leach's bank account.	Substantiated Report of Investigation Not Issued to Bureau	10/21/2010	11/8/2011
CFIF-11-0275-I	[REDACTED] (CFIF)	On November 12, 2010, an analysis of the Check Forgery Insurance Fund (CFIF) by the Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) revealed a deposit of Treasury check 2309 95945736, in the amount of \$418.00, into a State Employees Credit Union (SECU) account. The CFIF is overseen by Treasury's Financial Management Service (FMS). Payee [REDACTED] filed a claim with FMS alleging he never received or negotiated US Treasury Check [REDACTED] in the amount of \$418.00, made payable to him on July 17, 2009. TOIG contacted SECU investigator [REDACTED] and [REDACTED] determined [REDACTED] Treasury check had been deposited into an account belonging to [REDACTED] on July 28, 2009. SECU records identified [REDACTED]'s residence as [REDACTED].	Criminal Conviction	11/17/2010	3/1/2011

CFIF-11-0389-I	[REDACTED]	On September 23, 2010, an analysis of the Check Forgery Insurance Fund (CFIF) by the Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) revealed a deposit of Treasury check [REDACTED] in the amount of \$6,689.00, into a Bank One account. The CFIF is overseen by Treasury's Financial Management Service (FMS). Payee [REDACTED] made a claim with FMS alleging she never received or negotiated US Treasury Check [REDACTED] in the amount of \$6,689.00, made payable to her on March 12, 2010.	Criminal Declination Substantiated Report of Investigation Issued to Bureau	12/7/2010	9/20/2012
CFIF-11-0401-I	CFIF INITIATIVE FY 2011 (PROJECT CASE)	In August 2010, the Office of Investigations (OI) embarked on an initiative surrounding fraud related to the Check Forgery Insurance Fund (CFIF). The CFIF is managed by the Financial Management Service (FMS) and creates a mechanism for FMS to send victim payees replacement checks related to suspected fraud. As such OI receives information from FMS monthly related to the investigative leads involving CFIF. OI will open a case number in the case management system to allow agents to utilize it for case development, and to document agent activities. This case will be closed at the end of the fiscal year with a brief memorandum summarizing the year's activities.	No Action Taken	12/9/2010	12/8/2011
CFIF-11-0548-I	[REDACTED]	On August 2, 2010, the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically, [REDACTED] made a claim with FMS stating she did not receive 6 United States Treasury checks intended for her and her children between April of 2009 and December of 2010. The checks were payable to [REDACTED] in amounts ranging from \$250.00 to \$1200.00.	Criminal Conviction Substantiated Report of Investigation Not Issued to Bureau	1/24/2011	6/19/2012
CFIF-11-0549-I	[REDACTED]	On August 2, 2010, the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically, [REDACTED] made a claim with FMS stating she did not receive 4 United States Treasury checks intended for her between March of 2009 and July of 2010. Each check was payable to [REDACTED] in the amount of \$674.00.	Criminal Conviction Substantiated Report of Investigation Not Issued to Bureau	1/28/2011	3/21/2012

CFIF-11-0603-I	[REDACTED]	On December 7, 2010, the Department of Treasury (Treasury), Office of the Inspector General (OIG), Office of Investigations (OI), received information involving a possibly stolen Treasury check from [REDACTED]. The check number was [REDACTED] dated August 6, 2010, in the amount of \$2,771.57 to [REDACTED]. The check was paid on August 11, 2010. [REDACTED] completed a Form 1133 on November 9, 2010, stating that he did not receive this check. The Financial Management Service issued him a new check on November 19, 2010, which was cashed on November 26, 2010. Through interviews, it was found that [REDACTED] knowingly negotiated and benefited from both checks.	Criminal Conviction	2/7/2011	7/29/2011
CFIF-11-0638-I	[REDACTED]	On December 6, 2010, the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically [REDACTED] made a claim with FMS stating she did not receive a United States Treasury check intended for her in December 2009. The check was payable to [REDACTED] for [REDACTED] in the amount of \$1059.00.	Criminal Conviction	2/16/2011	8/23/2011
CFIF-11-0711-I	[REDACTED]	OI was contacted by the U.S. Secret Service, Pittsburgh Field Office requesting assistance for the Aliquippa Police Department in identifying the validity of a United States Treasury Check. More specifically identifying information was requested regarding United States Treasury Check [REDACTED]. The check was determined to be altered or counterfeit.	Criminal Conviction	3/7/2011	7/11/2011
CFIF-11-1012-I	[REDACTED]	On May 2, 2011, the United States Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying he did not receive/negotiate U.S. Treasury Check number [REDACTED] the amount of \$2,705.08, which resulted in a settlement check to be issued to the payee.	Criminal Conviction Criminal Declination Report of Investigation Not Issued to Bureau	5/20/2011	3/15/2012
CFIF-11-1184-I	[REDACTED]	On April 18, 2011 the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically [REDACTED] made a claim with FMS stating he did not receive a United States Treasury checks [REDACTED] intended for him in June and July 2009. The checks was payable to [REDACTED] the amounts of \$912.10	Criminal Conviction Criminal Declination	6/17/2011	3/15/2012

		each.	Report of Investigation Not Issued to Bureau		
CFIF-11-1289-I		<p>On June 1, 2011, Special Agent [REDACTED], SSA-OIG contacted TOIG regarding US Treasury check number [REDACTED] and potential check fraud.</p> <p>[REDACTED] filed a claim with the FMS alleging she never received or negotiated US Treasury check number [REDACTED] the amount of \$369,273.00 made payable to her on September 14, 2010.</p> <p>On June 10, 2011, US Treasury check number [REDACTED] was charged against CFIF overseen by the FMS.</p>	<p>No Action Taken</p> <p>Report of Investigation Not Issued to Bureau</p>	7/13/2011	5/15/2012
CFIF-11-1295-I		<p>On July 01, 2011, the United States Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF).</p> <p>More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number [REDACTED] in the amount of \$1,704.00, which resulted in a settlement check to be issued to the payee. According to records, the check was negotiated at Walmart.</p> <p>Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation. (ATTACHMENT)</p>	<p>Criminal Conviction</p> <p>Substantiated Report of Investigation Not Issued to Bureau</p>	7/13/2011	2/9/2012
CFIF-11-1538-I		<p>On February 2, 2011, the United States Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF).</p> <p>More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number [REDACTED] the amount of \$801.00, which resulted in a settlement check to be issued to the payee.</p> <p>Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation.</p>	<p>Criminal Conviction</p> <p>Substantiated Report of Investigation Not Issued to Bureau</p>	9/2/2011	2/9/2012
CFIF-11-1640-I		<p>Complaint received 9/27/2011, via email</p> <p>Complainant alleges VA check fraudulently endorsed and cashed.</p>	<p>Criminal Conviction</p> <p>Substantiated Report of Investigation Not Issued to Bureau</p>	9/28/2011	8/7/2012
CFIF-12-1737-I		<p>On April 24, 2012, TOIG SA [REDACTED] received a phone call from USSS SA [REDACTED] requesting a U.S. Treasury expert who is qualified to testify in court in Montgomery County on a Treasury check that was altered and deposited into a personal account.</p>	Criminal Conviction	5/24/2012	8/13/2012

		On May 10, 2012, TOIG SA [REDACTED] appeared in Montgomery County court to testify as an expert witness on behalf of U.S. Treasury. [REDACTED] pled guilty to theft under \$1000 and was sentenced to 364 days probation and \$8003.00 restitution.	Substantiated Report of Investigation Not Issued to Bureau		
CFIF-12-2074-I	[REDACTED]	On June 1, 2012, [REDACTED] Maryland State's Attorney's Office, Baltimore City, MD contacted SA [REDACTED] in reference to US Treasury Check [REDACTED] cashed by the defendant [REDACTED] 2/27/12, and being dishonored as an endorsement forgery. [REDACTED] requesting TOIG assistance in the prosecution of this case.	Unsubstantiated Report of Investigation Not Issued to Bureau	6/27/2012	9/25/2012
Community Development Financial Institution TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
CDFI-11-0530-I	[REDACTED] (T/A FRAUD) (ADMIN)	Correspondence received via OIG Intake from [REDACTED] with CDFI concerning time and attendance for Ms. [REDACTED] (deceased employee).	Allegation Unfounded	1/19/2011	3/16/2011
Cyber TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
CYBER-11-0629-I	[REDACTED]	Complaint received 2/10/2011, via email Received a very odd, apparent spear phishing attack against OCIO GS-15 and senior leadership (details below), ostensibly from two AOL accounts.	Criminal Declination	2/11/2011	10/4/2011
CYBER-11-0699-I	CITIZENSAID	Complaint received 3/1/2011, via email Complainant alleges fraudulent website using Department of Treasury name.	Criminal Declination	3/9/2011	7/28/2011
CYBER-11-1172-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED], with the FMS regarding the above-named subject and allegations of having a relationship with terrorist networks and providing sensitive material to the terrorist networks	Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Issued to Bureau	8/12/2011	11/18/2011
Departmental Offices (Treasury) TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
DO-09-0060-I	[REDACTED]	On April 15, 2009 a complaint was received via fax from an Anonymous source. The complaint stated that an individual whom had been a political appointee at the Department of Defense was hired as a career employee at the Department of Treasury without going through the merit hiring process. The complaint further states that this individual was then detailed back to the Department of Defense to their previous position.	Administratively Referred/ Action Taken by Bureau	4/21/2009	9/4/2012
DO-10-0266-I	[REDACTED] (ADMIN)	[REDACTED] was attending a football game at the University of North Carolina, became intoxicated, and was not allowed into the stadium. He then showed a badge, said he was a Treasury agent, and threatened the security staff.	Administratively Referred/ Action Taken by Bureau	10/28/2009	2/4/2011
DO-10-1343-I	[REDACTED]	On November 18, 2009, OI met with investigators from the Maryland State Prosecutors Office, Towson, MD, to discuss allegations that [REDACTED] County Sheriff [REDACTED] was misusing asset forfeiture funds received from TEOAF for his personal benefit. In December 2009 / January 2010, TEOAF requested / received forfeiture fund expense records from the Sheriff's Department and is reviewing them. OI will review the information with TEOAF and decide if further investigation is warranted.	Allegation Unfounded	3/16/2010	1/5/2011

DO-11-0397-I	[REDACTED]	<p>[REDACTED] Special Agent, US Department Of Transportation OIG, contacted Treasury OIG, regarding Subject [REDACTED] stated that there are allegations that [REDACTED] steered contracts to her husband's company [REDACTED] while she was employed as the Director of Financial Management, US DOT. Her husband is [REDACTED] DOT OIG is concerned that [REDACTED] may be using her title at Treasury to continue to steer contracts to her husband's company, and would like Treasury OIG to monitor her government e-mail.</p>	<p>Civil Declination</p> <p>Criminal Declination Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Issued to Bureau</p>	12/8/2010	5/8/2012
DO-11-0536-I	[REDACTED]	<p>Complaint received 1/19/2011, via email Complainant alleges fraudulent company was setup under a nonexistent GSA Contract with an fraudulent Purchase Order number. Complainant alleges [REDACTED] stated that he was working with GSA contract and gave a PO number to where the funds would be coming from within the Treasury Department. Complainant made a report to the DC Police Dept.</p>	<p>Substantiated</p> <p>Report of Investigation Not Issued to Bureau</p>	1/24/2011	6/6/2012
DO-11-0543-I	TEOAF/PSD CONTRACT	<p>Complaint received 1/20/2011, via email TEOAF and PSD would like to refer this contract for an investigation. TEOAF and PSD are using a contractor to store, maintain, and dispose of seized, forfeited, and blocked property. Based on a series of recent events, TEOAF had a targeted inventory of highly pilferable items. The attached documents provide a record of that targeted inventory. Over \$100,000 in property is listed as missing.</p>	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Management Implication Report Issued Substantiated Report of Investigation Issued to Bureau</p>	1/25/2011	11/30/2011
DO-11-1337-I	[REDACTED] AND [REDACTED] THREAT / HARASSMENT	<p>Special Assistant [REDACTED] forwarded to AIG/I Crane's direct e-mail account two letters from [REDACTED] and one from [REDACTED] in which they accuse IG Thorson, and SA [REDACTED] of treason for not delivering their demand liquidation of their debts in accordance with quantities of spurious legal-sounding gobbledegook to Treasurer Rios.</p>	<p>Criminal Declination</p> <p>Report of Investigation Not Issued to Bureau</p>	7/27/2011	12/13/2011
DO-11-1411-I	[REDACTED] (IMPERSONATING TREASURY AGENT)	<p>On July 28, 2011, TIGTA received a complaint regarding [REDACTED] who allegedly impersonated a U.S. Treasury Agent. [REDACTED] contacted the U.S. Attorney's Office in Miami and advised the USAO's secretary that he was a Treasury Agent and needed to meet with him and give him some documents. [REDACTED] entered the building and provided a business card which stated "U.S. Treasury, Federal Notary Agency" and his title as "Special Agent". The USAO's contacted TIGTA's field office in Miami and requested that it interview [REDACTED] Violation: 18 U.S. C. 912 (False Impersonation of a Federal Officer).</p>	<p>Criminal Declination</p> <p>Report of Investigation Not Issued to Bureau</p>	8/9/2011	2/21/2012
DO-11-1588-I	[REDACTED]	<p>Complaint received 9/15/2011, via email</p> <p>Complainant alleges inappropriate activity between a Treasury Employee and a Contractor form Booz Allen Hamilton.</p>	<p>Administratively Referred/ Action Taken by Bureau Criminal Declination Substantiated</p> <p>Report of Investigation Issued to Bureau</p>	9/16/2011	3/8/2012

DO-12-0183-I		<p>The Office of Intelligence and Analysis (OIA) advised the TOIG duty agent (SSA [REDACTED]) of an internal PII disclosure. A Excel spreadsheet file with PII data had been inadvertently placed on an internal office shared drive (unclas) and a OIA employee who did not have access to the drive was able to access the drive, open the file, and distribute it via an email to another OIA employee. Both employees were not authorized to have access to this information. The information was a spreadsheet containing FY11 performance ratings for employees in the OIA and D.O. Office of Security.</p> <p>The employee who is suspected of accessing the information [REDACTED] has been placed on admin leave pending an internal review of the office network to determine if the file has been stored on any other employees' workstations. (Note: a separate TCSIRC incident report(ID # 77876) has been logged and forwarded to TOIG Cyber SSA Kevin Reis on 11/2/11.</p>	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Substantiated Report of Investigation Issued to Bureau</p>	11/10/2011	3/29/2012
DO-12-0268-I		<p>The following was reported and is associated with referral: DO-12-0021-R.</p> <p>On or about September 7, 2011, Secretary [REDACTED] Office of International Affairs (OIA), lost her access badge and completed a US Secret Service (USSS) Form 1888 for a new badge. During routine processing the USSS discovered that [REDACTED] had been charged in Prince Georges County MD with possession with intent to distribute PCP and also with simple possession. Senior officials of the Uniformed Division of the USSS met with us in your office on September 7, 2011 and briefed us on [REDACTED] "do not admit" status and that although the Intent to Distribute charge was "Nolle Prosequi", a possession charge (misdemeanor) was still outstanding. The USSS UD officials informed us that ROLLERSON would remain a "temporary do not admit" status until the misdemeanor possession charge was adjudicated by the PG county court.</p>	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Criminal Declination Substantiated</p> <p>Report of Investigation Issued to Bureau</p>	11/14/2011	6/19/2012

		<p>An inquiry on [REDACTED] clearance and investigative status and attempted to verify the information the USSS had provided. [REDACTED] a secretary in OIA and works for [REDACTED] (202 [REDACTED] [REDACTED] had possessed a SECRET national security clearance but was withdrawn because her background investigation was out of scope. The process to update the investigation was underway but not initiated because she has failed to satisfactorily submit the required security documentation. A preliminary FBI fingerprint on 14 Sept 2011 revealed the charges of possession with intent to distribute PCP/LSD (02/11/2011) and possession of a controlled substance not marijuana. On September 7, 2011, the public Maryland Case Status information database was checked for [REDACTED]. The database confirmed the USSS and OPM information that [REDACTED] had been charged with possession with intent to distribute PCP (felony) and simple possession of drugs (not marijuana) (misdemeanor). The [REDACTED]</p> <p>On September 8, 2011, the USSS initiated a "do not admit" for [REDACTED]. On October 5, 2011, a recheck of the Maryland public database indicated that [REDACTED] currently has a trial date of 01/09/2012.</p>			
DO-12-0526-I	[REDACTED]	<p>Received phone call from [REDACTED] DO Security Office, regarding the sharing of classified documentation by [REDACTED] Director TEOAF.</p>	Administratively Referred/ Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	1/6/2012	5/4/2012
DO-12-1099-I	SCAM	<p>Complaint received 3/12/2012, via email</p> <p>Complainant received a fraudulent email from someone claiming to be from the US Treasury.</p>	<p>Lack of Investigative Merit</p> <p>Report of Investigation Not Issued to Bureau</p>	3/19/2012	7/26/2012
Financial Crimes Enforcement NetworkTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
FinCE-11-0928-I	SAR DISCLOSURE	Complaint received 4/25/2011, via email Complainant requesting how to report a SAR disclosure by a bank and their attorney. The information was disclosed via e-mail and complainant was the subject of the SAR.	Criminal Declination Administratively Referred/No Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	6/20/2011	11/8/2011
FinCEN-11-0307-I	[REDACTED]	Complaint received 11/19/10 Anonymous complaint regarding Treasury employee/identity Theft	Administratively Referred/ Action Taken by Bureau	11/30/2010	4/28/2011
FinCEN-11-0434-I	MISUSE OF BSA DATA (ADMIN)	Correspondence received via OIG Intake regarding the following: Special Agent [REDACTED] (FinCEN) informed the Office of Security of a potential misuse of BSA information by a contractor who works in our IT department.	<p>Allegation Unfounded</p> <p>No Cognizable Allegation</p>	12/22/2010	2/22/2011
FinCEN-11-0735-I	[REDACTED] FINANCIAL CONFLICT OF INTEREST	FinCEN employee [REDACTED] is the Contracting Officer Technical Representative for a contract with AT&T, a company in which he owns shares of stock valued in excess of the \$15,000 conflict-of-interest threshold. [REDACTED] also failed timely to report the violation.	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Civil Declination Criminal Declination</p>	3/9/2011	9/27/2011

Financial Management ServiceTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
CYBER-11-1602-I	MISSING EAGLE CASH LAPTOP	Correspondence received via OIG Intake regarding the following: Missing government furnished equipment (Laptop) CONTAINS ALL SRI INFORMATION and Securid token device	Administratively Referred/No Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	9/22/2011	11/18/2011
FMS-10-1800-I	[REDACTED]	Correspondence received regarding the following: During an administrative inquiry, FMS discovered that between 2002 - 2010, a FMS employee was utilizing the FEDEX system for personal use. We retrieved the four folders of FEDEX receipts and other related information.	Administratively Referred/ Action Taken by Bureau Criminal Declination	4/28/2010	1/28/2011
FMS-10-1881-I	EAGLE CASH CARD	[REDACTED] Special Agent in Charge of US Army-CID in Afghanistan, alleges an Army financial clerk stationed in Afghanistan used his official position to modify other soldiers' Eagle Cash Card accounts to fraudulently obtain funds and goods. The Eagle Cash Card program is jointly managed by the US Air Force, US Army, and Treasury's Financial Management Service.	Criminal Conviction	5/4/2010	3/7/2011
FMS-11-0480-I	FMS PII BREACH -- [REDACTED]	A retired FMS employee sent an email containing approximately 85 names, addresses, SSNs and DOBs to approximately 16 current and former FMS employees.	No Cognizable Allegation	1/4/2011	2/15/2011
FMS-11-0723-I	[REDACTED]	[REDACTED] appears to have shifted a security camera so that it would not capture him corrupting the hard drive of a high-speed scanner.	Administratively Referred/ Action Taken by Bureau Criminal Declination	3/4/2011	4/18/2012
FMS-11-1573-I	[REDACTED] INTERCEPTION OF MAIL	[REDACTED] intercepted a wage garnishment document that had been sent to her employer, the Financial Management Service, by the District Court of Maryland.	Administratively Referred/ Action Taken by Bureau Criminal Declination Substantiated Report of Investigation Issued to Bureau	9/15/2011	5/4/2012
FMS-11-1579-I	[REDACTED] T AL	Correspondence received via OIG Intake regarding the following: Attached below are document pertaining to an attempted scam at FMS's Birmingham Debt Collection Center. Two individuals travel over 400 miles to the Center to attempt to cash a fraudulent bond valued at 100 Billion dollars. The individuals never entered the facility grounds, but were stopped and questioned by Center security. They individuals submitted identification and document of their alleged bond. Federal Protective Services were call and arrived on the scene and questioned the individuals. During questioning and search, one of the subject possess a hand along with a conceal carry permit. The subject where informed that the Center could not assist them with their request and they were directed to leave the premises.	Criminal Declination Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	9/20/2011	3/29/2012
FMS-12-0095-I	[REDACTED] & [REDACTED]	Correspondence received via Correspondence Management regarding the following: Complainant Alleges Employee at Financial Management Services' Security Office in Hyattsville, Maryland has Criminal Record	Administratively Referred/ Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	10/25/2011	5/4/2012

FMS-12-0747-I	██████████ ET AL FRADULENT USE OF RTNS	Correspondence received via OIG Intake from from ██████████ with the Financial Management Service (FMS) regarding the following: Public citizen (possibly sovereign citizen types) fraudulently using government Routing Transit Numbers (RTN) to make purchases. The fraudulent transaction go through but are reversed usually within 24 hours. Therefore, there is and has been no loss to the federal government. Efforts are being made to determine if the Federal Reserve Bank can filter transaction to block those unauthorized.	Criminal Declination Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	2/22/2012	9/14/2012
FMS-12-1323-I	MISUSE OF DIRECT EXPRESS PROGRAM NAME	What appears to be a check-cashing and / or payday loan company is contacting Direct Express cardholders electronically with an "Alert," fraudulently identifying itself as originating from the Direct Express program, and soliciting personal and bank-related information on contact.	Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	4/4/2012	8/21/2012

Internal InvestigationTTT

Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
ZZZ-11-0241-I	PROCUREMENT INITIATIVE FY 2011 (PROJECT CASE) (ADMIN)	As a procurement initiative to uncover possible fraud within the Trasury Procurement Offices, the OI will conduct SAR searches through FinCEN for various Treasury employees (randomly selected) that are involved in the procurement process at various levels.	No Action Taken Report of Investigation Not Issued to Bureau	11/5/2010	10/17/2011

Money Service BusinessTTT

Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
MSB-11-0346-I	██████████	On 11/30/10, FRB OIG informed OI of a possible unlicensed/unregistered money service business operating in OH, that is the subject of an ongoing FRB OIG investigation. FRB OIG's investigation was initiated based upon a request for investigative assistance received from ██████████ Bank. Anti-Money Laundering Division (AML). AML provided information alleging accounts established by ██████████ were engaged in suspicious financial activities. AML provided documentary evidence to support the allegation of the businesses involvement in money laundering/structuring and open bank fraud. FRB discovered that subjects in this investigation are linked to investigations of DEA, ICE, Ohio state LEOs, and Agriculture OIG. Case is accepted for prosecution in the USAO Cincinnati, OH.	Criminal Declination	12/1/2010	4/13/2011

MSB-11-0419-I	MSB INITIATIVE FY 2011 (PROJECT)	<p>In October 2010, the Office of Investigations (OI) embarked on an initiative surrounding fraud related to unlicensed/unregistered Money Service Businesses (MSB). The current rules amended in 1999 by the Financial Crimes Enforcement Network (FinCEN) revised the regulatory definitions of certain non-bank financial institutions for purposes of the Bank Secrecy Act (BSA) and grouped the definitions into a separate category of financial institution called MSBs. A business that meets one or more of the definitions of a type of MSB is an MSB and must comply with BSA requirements applicable to it as an MSB, as a financial institution and as a specific type of MSB. OI will open a case number in the case management system to allow agents to utilize it for case development and to document agent activities. This case will be closed at the end of the fiscal year.</p>	<p>No Action Taken</p> <p>Report of Investigation Not Issued to Bureau</p>	12/14/2010	10/19/2011
MSB-11-1213-I	[REDACTED]	<p>Since November 2010, Immigration and Customs Enforcement (ICE) and Homeland Security Investigations (HSI) and the Internal Revenue Service Criminal Investigation (IRS-CI) as members of a task force, have been investigating allegations of money laundering activities by [REDACTED] and a Money Services Business (MSB) identified as [REDACTED], located at [REDACTED]. [REDACTED] is allegedly used by Drug Trafficking Organizations to launder narcotics proceeds to the Dominican Republic. Through various undercover operations, the investigation has identified a total of \$5,400 in ICE funds represented as narcotics proceeds was laundered by [REDACTED]. The USAO EDPA requested the assistance of TOIG.</p>	<p>Criminal Declination</p> <p>Unsubstantiated Report of Investigation Not Issued to Bureau</p>	6/23/2011	4/23/2012
MSB-12-0025-I	[REDACTED]	<p>On October 6, 2011, the U.S. Department of the Treasury (Treasury), Office of Inspector General, Office of Investigations (TOIG) initiated an investigation into [REDACTED] and [REDACTED] for operating as an unregistered money services company, in violation of Title 18 U.S.C § 1960 – unlicensed/unregistered Money Services Businesses, and other related U.S. Codes.</p>	<p>Lack of Investigative Merit</p> <p>Report of Investigation Not Issued to Bureau</p>	10/12/2011	4/11/2012
MSB-12-0454-I	[REDACTED]	<p>On September 28, 2011, as part of a task force effort, Homeland Security Investigations (HSI) seized \$45,638.00 in suspected criminal proceeds, resulting from an investigation concerning allegations of money laundering activities by [REDACTED].</p>	<p>Unsubstantiated</p> <p>Report of Investigation Not issued to Bureau</p>	12/20/2011	7/9/2012

		<p>Among the seized items were money orders and U.S. Currency consisting of thirty six thousand, nine hundred dollars (\$36,900) in bearer form money orders mainly purchased on September 27, 2011, and eight thousand seven hundred and thirty eight (\$8,738.00) in U.S. Currency. An ion scan was performed on the seized currency revealing an abnormally high presence of cocaine.</p> <p>Additionally, an analyses of the money orders revealed that ten (10) of the orders, each for one thousand dollars (\$1,000) a piece, were purchased from [REDACTED] located at [REDACTED] between the times of 10:45 am and 12:27 pm.</p> <p>Financial records revealed that the owner of [REDACTED], previously banked at [REDACTED] Credit Union. I have been in contact with the director of Fraud and Security who provided [REDACTED]s bank records of her previous business and personal accounts. However, it is believe there is one personal account remaining to be identified.</p>			
MSB-12-1651-I	[REDACTED]	<p>In November 2011, the U.S. Department of the Treasury (Treasury), Office of Inspector General (TOIG), Office of Investigations (OI), received information from the Financial Crimes Enforcement Network (FinCEN), alleging that [REDACTED] was operating as an unregistered money services company, in violation of Title 18 United States Code § 1960 – unlicensed/unregistered Money Services Businesses (MSBs).</p>	<p>Criminal Declination</p> <p>Substantiated Report of Investigation Not Issued to Bureau</p>	5/2/2012	9/25/2012
Not Bureau Related (ZZZ)TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
INTR-10-2680-I	[REDACTED] - INTERNAL INVESTIGATION	This internal investigation of Treasury OIG employee [REDACTED] was self-initiated by a former Treasury employee and colleague of the subject based upon suspicions of fraud.	<p>Criminal Conviction</p> <p>Substantiated Report of Investigation Not Issued to Bureau</p>	7/27/2010	3/2/2012
ZZZ-10-1871-I	[REDACTED] (ADMIN)	<p>On October 21, 2009, Messrs. [REDACTED] and [REDACTED] filed a complaint in the United States District Court for the Eastern District of New York on behalf of and in the name of the United States of America. The complaint alleges that the defendant large investment banks caused false claims to be submitted to the United States in connection with the [REDACTED] Program under the American Recovery and Reinvestment Act by artificially inflating the costs States and local governments pay for municipal bonds. Under the [REDACTED] Program the Federal Government provides subsidies for a portion of these costs. The Federal Government pays up to 35% of the interest owed by the States or local government.</p> <p>Lack of Investigative Merit</p>	No TOIG Nexus	5/4/2010	2/17/2011
Office of Financial StabilityTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date

OFS-11-0118-I	(ADMIN)	Complain received 10/20/10, via email On four separate occasions, [REDACTED] Director of Infrastructure Operations in the Office of Financial Stability (OFS), procured access to the OFS systems for two of his staff members without following the security access procedures.	Allegation Unfounded	10/22/2010	1/18/2011
Office of Technical AssistanceTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
OTA-11-0753-I	[REDACTED]	Correspondence received via OIG Intake regarding the following [REDACTED] resident OTA advisor in [REDACTED] requested an educational allowance for one of his sons to attend school in the U.S. Granting of the allowance requires that the advisor and his spouse reside at post full-time for the duration of their child's schooling in the U.S. Despite repeated requests, [REDACTED] has not provided the requested documentation to substantiate his spouse's residence at post and OTA is unable to determine that his spouse is at Post. The total amount of the educational allowance is \$43,575.	Administratively Referred/ Action Taken by Bureau Civil Declination Criminal Declination Report of Investigation Issued to Bureau	3/11/2011	2/17/2012
Office of the Comptroller of the CurrencyTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
OCC-09-0114-I	[REDACTED]	OI received correspondence from OCC with allegations of Equipment Theft. Per June 30th IRG assign as P case.	Administratively Referred/ Action Taken by Bureau Criminal Declination	6/30/2009	5/20/2011
OCC-09-0174-I	[REDACTED]	OI received correspondence with allegations of theft from an OCC office in Charlotte North Carolina	Criminal Conviction Criminal Declination	9/18/2009	3/25/2011
OCC-10-1352-I	[REDACTED]	Correspondence received regarding Violation of Standards of Conduct	Administratively Referred/ Action Taken by Bureau Criminal Declination	3/12/2010	2/15/2011
OCC-10-1724-I	[REDACTED]	Correspondence received regarding the above-named Subject misusing his credentials/badge.	Administratively Referred/ Action Taken by Bureau Criminal Declination	4/22/2010	2/3/2011
OCC-10-3092-I	[REDACTED]	The primary suspect's name is [REDACTED] he resides in Houston, TX. He has been operating his "debt relief" business for 20 years- or so he claims. I have record of his schemes as far back as 2002. He makes claims to his victims he can eliminate their unsecured debt, such as credit card debt, student loans, etc. through various programs he has established with government agencies. He is very vague when he mentions who these government agencies are, but he has specifically named the OCC to his clients. The time frame in which he was claiming association with the OCC was around 2007. Once he exhausted all his excuses for why the OCC wasn't helping his clients, he moved on to working with other agencies, such as the FTC, FDIC, Senate Banking Committee, Federal Reserve, DOE and his newest is the Financial Crisis Inquiry Commission. COMPLAINT CONTINUED ON LEAD INITIATION DOCUMENT	Allegation Unfounded	10/6/2010	1/3/2011
OCC-11-0313-I	(ADMIN)	Correspondence received via OIG Intake regarding alleged travel card misuse by [REDACTED] National bank Examiner, OCC, Region V [REDACTED] allegedly utilized her travel card for non travel related occurrences in 2009-2010.	Administratively Referred/ Action Taken by Bureau Criminal Declination	11/26/2010	5/16/2011

OCC-11-0850-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED] with the OCC regarding the above-named Subject and allegations of false statements made to the OCC	Criminal Declination	4/12/2011	7/1/2011
OCC-11-1048-I	[REDACTED] TRAVEL CARD MISUSE 2ND ATTEMPT	Correspondence received via OIG Intake from [REDACTED] with the OCC regarding the above named subject and allegations of travel card misuse in the amount of 3662.87. See also OCC 11-0242-R	Administratively Referred/ Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	5/26/2011	4/12/2012
OCC-12-0325-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED] with the OCC regarding the following: [REDACTED] is misusing her government travel card by taking cash advances while not in travel status, taking excessive cash advances, and taking cash advances and charging expenses during the same travel period. Her account is also delinquent.	Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	11/23/2011	2/24/2012
OCC-12-0550-I	[REDACTED] (THREAT)	On January 11, 2012, TOIG received a letter from the FDIC OIG reflecting that [REDACTED] has been making calls to the FDIC since 5/11. Until recently, the calls were incoherent and non-threatening. On January 11, 2012, he stated in the call that he would kill [REDACTED] Director, OCC. FDIC provided an audio of the call.	Criminal Declination Substantiated Report of Investigation Not Issued to Bureau	1/18/2012	2/7/2012
OCC-12-0860-I	[REDACTED]	The OCC [REDACTED] as delegated all TOIG contacts to [REDACTED] whose hostility to the TOIG affects his conduct, e.g., OCC employees are coached to provide minimum information to the TOIG and sensitive ethics-related allegations are handled internally without reporting them to the TOIG.	Unsubstantiated Report of Investigation Issued to Bureau	2/22/2012	8/23/2012
Office of Thrift Supervision TTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
BANK-10-0906-I	MLR REFERRAL-FIRST BANK OF IDAHO (BANK FAILURE)	On January 5, 2010, Treasury, OIG/OA referred the First Bank of Idaho (Ketchum, ID) MLR to OI due to the discovery of what appears to be fraudulent activities involving First Idaho Bank Officials.	Criminal Declination Substantiated Report of Investigation Not Issued to Bureau	3/29/2010	11/1/2011
OTS-10-1348-I	[REDACTED]	Correspondence received regarding a matter that may constitute a violation of 18 USC 213, acceptance of a loan by a bank examiner.	Administratively Referred/ Action Taken by Bureau Criminal Declination	3/12/2010	2/2/2011
OTS-10-2997-I	[REDACTED] SOCIAL CONTACT WITH [REDACTED] EMBASSY OFFICIAL	On 8/31/10, OTS provided informal e-mail referral notification via e-mail to SAC [REDACTED] which requests OIG-OI input and advice as to whether OITS should evaluate an OTS employee's position and his access to commercially sensitive information. An OTS employee in its IT Department has a spouse from the [REDACTED] who recently experienced issues coming into the U.S. from [REDACTED] because she was carrying \$15,000 in currency, thus triggering a requirement to file a FinCEN Form 105. The Subject employee and his wife have had social contact with an official (reportedly the 2nd in command) in the [REDACTED] embassy.	Administratively Referred/ Action Taken by Bureau	9/2/2010	3/4/2011

OTS-11-0440-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake regarding the following: I have been asked by executive OTS management to refer to the Treasury OIG's office for action as appropriate facts and circumstances that indicate the possibility of a violation of ethics regulations by a current OTS employee.	Civil Declination Criminal Declination	12/23/2010	6/13/2011
OTS-11-1100-I	[REDACTED] FALSE CLAIMS (TRAVEL/RELOCATION)	In 2007 OTS employee [REDACTED] accepted a promotion that required her to relocate and for which she accepted \$10,000 in relocation compensation, but she never relocated and over the years she has put in for thousands of dollars in compensation for the expense of traveling between her residence and her workplace.	Administratively Referred/ Action Taken by Bureau Civil Declination Criminal Declination Substantiated Report of Investigation Issued to Bureau	5/31/2011	2/29/2012
United States MintTTT					
Case Number	Title	Summary Comments	Dispositions	Opened Date	Closed Date
2006-0005-I	[REDACTED]	This office received correspondence from the Complainant forwarding allegations that US Mint supply purchases are being funneled to a contactor [REDACTED], whose owner is the son of one of the secretaries at the Philadelphia Mint.	Criminal Conviction Administratively Referred/ No Bureau Response Substantiated	11/21/2005	12/23/2011
2008-0096-I	US MINT-MUTILATED COIN PROGRAM REVIEW (ADMIN)	This investigation is initiated to investigate extensive overseas occurrences of suspected money laundering involving the USM's coin redemption program, whereby counterfeit coins are being mutilated and subsequently turned into the USM for redemption. Initial inquiry with the USM revealed that hundreds of bags of submitted coins are not being inspected prior to being weighed, redeemed, and smelted.	Administratively Referred/ Action Taken by Bureau Management Implication Report Issued	7/17/2008	2/28/2011
2009-0004-I	[REDACTED] (ADMIN)	Email correspondence received from USM concerning possible violations of the Hatch Act by a fellow USM (Philadelphia) employee.	Administratively Referred/ Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	10/8/2008	9/14/2012
USM-09-0123-I	[REDACTED] (ADMIN)	On 7/9/09 OI received correspondence with allegations of inappropriate use of government equipment by the above-named subject	Administratively Referred/ Action Taken by Bureau	7/13/2009	3/30/2011
USM-10-0302-I	JOHN QUINCY ADAMS DOLLAR COINS	On 10/30/09, OIG Intake received a referral memorandum from the USM, dated 10/29/09, which forwarded information concerning the discovery of missing assets, to wit, approximately \$9,263 worth of John Quincy Adams dollar coins. USM Sales & Marketing confirmed the coins are not in inventory. Since the last deposit for the coin exchange occurred in May 2009, and the coins cannot be accounted for, an investigation into its disposition is requested. Forwarding to OI for review and disposition, with return to CMO if no investigative interest.	Unsubstantiated Report of Investigation Issued to Bureau	11/4/2009	12/19/2011
USM-10-0399-I	[REDACTED] (ADMIN)	Allegation versus USM employee (FNU) [REDACTED] Potentially [REDACTED] Assistant Director for abuse of authority, although correspondence does not verify. Matter forwarded to OI per direction of OC, Delmar.	Administratively Referred/No Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	11/13/2009	9/12/2012
USM-10-0400-I	[REDACTED] AND [REDACTED]	Questionable procurement practices and the level of diligence within the procurement office at the United States Mint at San Francisco.	Administratively Referred/ Action Taken by Bureau Civil Declination Management Implication Report Issued	11/13/2009	5/26/2011
USM-10-0585-I	[REDACTED]	Correspondence received regarding Credit Card Fraud	Criminal Conviction Criminal Declination	12/10/2009	3/25/2011

USM-10-1405-I	[REDACTED]	Correspondence received with allegations that the above-named subject threatened a fellow employee.	Administratively Referred/ Action Taken by Bureau Criminal Declination	3/18/2010	2/3/2011
USM-10-1661-I	[REDACTED]	Allegation that USM employee may be in violation of Workmans Compensation Act by claiming an on the work injury while conducting a home based business.	Criminal Conviction Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	4/13/2010	4/11/2012
USM-10-2093-I	[REDACTED]	Correspondence received regarding allegations that the above-named Subject assaulted a fellow employee.	Administratively Referred/ Action Taken by Bureau Criminal Declination	6/3/2010	1/7/2011
USM-10-3005-I	[REDACTED] FORT KNOX BLUEPRINTS (ADMIN)	On 9/1/10, USM forwarded information their office was made aware of regarding a June 2009 listing/sale of forty-six (46) pages of original blueprint drawings of the Fort Knox Bullion Depository building, sold via the [REDACTED] website. Excerpt of the item description on the auction site is cited, "Each sheet is approximately 38" x 24.5" and dates from 1933 to 1935. The pages, most drawn by different draftsmen, include: floor plans for the first floor, second floor, and basement; details of the vertical sliding doors, entrance gates, gatehouses, fence, main entrance, vestibule, lobby, vault entrance, vault, east and west walls of the vault, basement, first floor vault, and foundation; systems for vault armoring." Auction URL is found at: http://historical.ha.com/common/view_item.php?Sale_No=6026&Lot_No=35023	Allegation Unfounded	9/2/2010	1/3/2011
USM-11-0675-I	[REDACTED] (ADMIN)	USM reports that two hundred eight (208) nickel blanks were mistakenly stamped with a penny obverse at the Philadelphia Mint. USM Case No.: 11-PM-050	Administratively Referred/ Action Taken by Bureau	2/28/2011	7/12/2011
USM-11-0755-I	[REDACTED] & [REDACTED]	(1) [REDACTED] used "PEF" funds to purchase challenge coins for employee awards; (2) [REDACTED] improperly and without authorization directed contractor [REDACTED] enter Treasury design material into a private company's contest; and (3) [REDACTED] in violations of regulations governing gifts from contractors and seeking and negotiating future employment.	Allegation Unfounded	3/15/2011	8/9/2011
USM-11-0766-I	[REDACTED] (USM POLICE OFFICER)	Correspondence received via OIG intake from [REDACTED] with the U.S. Mint regarding the above-named subject and allegations of possibly violating the Public Transit Incentive Program.	Administratively Referred/ Action Taken by Bureau Civil Declination Criminal Declination	3/18/2011	9/8/2011
USM-11-0771-I	[REDACTED]	On March 17, 2011, the U.S. Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received an anonymous call regarding the Abuse of Authority and Misuse of Government Funds by a U.S. Mint (USM) official. Specifically, it is alleged that [REDACTED] Plant Manager, and [REDACTED] Deputy Plant Manager, for the USM facility in Philadelphia, PA are instructing his Secretary [REDACTED] to make unauthorized purchases with her government purchase card.	Administratively Referred/ Action Taken by Bureau Substantiated Report of Investigation Issued to Bureau	3/18/2011	10/19/2011

USM-11-0882-I	CREDIT CARD FRAUD 11-HQ-001	Correspondence received via OIG Intake from [REDACTED] with the USM regarding the following: 30 Stolen BU1 2010 Buffalo 1oz Gold Proof Coins	Incomplete	4/18/2011	7/26/2011
USM-11-1564-I	[REDACTED] (ADMIN)	<p>The Department of Homeland Security, Office of Inspector General, El Paso Field Office, was investigating [REDACTED] AKA [REDACTED]. At the time of our investigation [REDACTED] was a Contract Detention Guard with the GEO Group INC, at the U.S. Immigration and Customs Enforcement (ICE) Detention Facility in Aurora, CO. It was alleged that [REDACTED] had possible gang affiliations and engaged in narcotics trafficking at the ICE Detention Facility.</p> <p>DHS-OIG was advised by GEO Warden [REDACTED] that [REDACTED] had been on military leave the past few months and has not returned to work. It was later discovered that [REDACTED] sent a letter to GEO Human Resources stating that she was currently at the Federal Law Enforcement Training Center attending the U.S. Mint Police Academy</p>	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Substantiated Report of Investigation Issued to Bureau</p>	9/9/2011	11/8/2011
USM-11-1598-I	[REDACTED]	In among the funds collected as part of a quarterly employee coin sales program at the U.S. Mint in San Francisco was one counterfeit \$100 bill. [REDACTED] and [REDACTED] collect the coin-sales money, and [REDACTED] deposits it. [REDACTED] reported the matter to [REDACTED] (date unknown) who appears to have taken no action on the matter. U.S. Mint Incident Number 11-SF-060	<p>Unsubstantiated</p> <p>Report of Investigation Issued to Bureau</p>	9/20/2011	12/13/2011
USM-12-0084-I	[REDACTED] OFFICER MISCONDUCT	Correspondence received via OIG Intake from [REDACTED] with the USM regarding the above-named subject and allegations employee misconduct. US Mint Police Incident Number 11-DM-139	<p>Administratively Referred/No Action Taken by Bureau</p> <p>Substantiated Report of Investigation Issued to Bureau</p>	10/24/2011	4/2/2012
USM-12-0092-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake from [REDACTED] with the USMint regarding an incident with a USM Officer and an employee. U.S. Mint Police Incident Number 11-DC-072	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Management Implication Report Issued</p> <p>Substantiated Report of Investigation Issued to Bureau</p>	10/21/2011	4/12/2012
USM-12-0406-I	[REDACTED]: PORNOGRAPHY ON COMPUTER (ADMIN)	Pornography was discovered on U.S. Mint employee [REDACTED] computer, which has been seized. US Mint Police Incident Number 11-HQ-017	<p>Administratively Referred/ Action Taken by Bureau</p> <p>Substantiated Report of Investigation Issued to Bureau</p>	12/7/2011	8/14/2012
USM-12-0414-I	CREDIT CARD FRAUD	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of credit card fraud. 11 HQ 015	<p>Administratively Referred/ No Bureau Response</p> <p>Substantiated Report of Investigation Issued to Bureau</p>	12/13/2011	8/14/2012
USM-12-0871-I	[REDACTED]	Test	<p>Administratively Referred/ No Bureau Response</p> <p>Substantiated Report of Investigation issued to Bureau</p>	2/17/2012	3/29/2012

USM-12-0951-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of coin blanks in the above-named subject hand bag 12-PM-076	Administratively Referred/ No Bureau Response Substantiated Report of Investigation Issued to Bureau	2/27/2012	5/8/2012
USM-12-1235-I	CREDIT CARD FRAUD: 12-HQ-077	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of credit card fraud in the amount of \$3824.95.	Unsubstantiated Report of Investigation Issued to Bureau	4/10/2012	9/20/2012
USM-12-1259-I	CREDIT CARD FRAUD: 12-HQ-081	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of credit card in the amount of \$1978.00.	Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Issued to Bureau	4/11/2012	9/25/2012
USM-12-1428-I	USM CHARGEBACK FRAUD (12-HQ-091)	The US Mint lost \$3,824.95 in a fraudulent chargeback for Order No. 38262452, involving two gold coins. US Mint Police Incident Report Number 12-HQ-091	Administratively Referred/ No Bureau Response Unsubstantiated Report of Investigation Not Issued to Bureau	5/2/2012	7/9/2012

Date Report Run: Friday, October 5, 2012