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Description of document:	List of Department of the Treasury Office of Inspector General (OIG) investigations closed during CY 2012
Requested date:	09-February-2013
Released date:	16-February-2013
Posted date:	03-March-2014
Title of document:	Office of Investigations Activities FOIA Report For the Period Between: 01/01/2012 and 12/31/2012
Source of document:	Disclosure Services FOIA Request Department of the Treasury Washington, DC 20220 Fax: 202-622-3895

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From: "Delmar, Richard K."
Date: Feb 26, 2013 10:59:49 AM
Subject: FOIA request for list of CY 12 closed Treasury OIG investigations

This responds to your 2/9/13 FOIA request for a list of all Treasury OIG investigations closed during CY 2012. Such a list is attached, with the names of subjects, witnesses, and agents redacted per FOIA Exemption 7C.

If you disagree with this resolution of your FOIA request, you can appeal the matter pursuant to 5 U.S.C. section 552(a)(6)(A)(i). Pursuant to the Department's FOIA appeal process set forth in 31 C.F.R. section 1.5(i), an appeal must be submitted within 35 days from the date of this response to your request, signed by you and addressed to:

Freedom of Information Act Appeal
DO
Disclosure Services
Department of the Treasury
Washington, D.C. 20020

The appeal should reasonably describe your basis for believing that there are additional records to which access has been denied, or that the redactions are not justified under FOIA law and policy.

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Office of Investigations Activities
FOIA Report
For the Period Between: 01/01/2012 and 12/31/2012

Case Number	Title	Summary Comments	Closed Date
OGC-11-0947-I	[REDACTED]	Complaint received 5/2/2011, via Departmental Office [REDACTED] has made several phone calls most of them considered quite abusive to Mr. [REDACTED] office at the US Department of the Treasury.	5/15/2012
Alcohol Tax and Trade Bureau TTT			
Case Number	Title	Summary Comments	Closed Date
TTB-12-1678-I	[REDACTED]	TTb reported a possible improper collusion between a Customs and Border protection (CBP) employee and an importer, [REDACTED]. The CBP employee may have been improperly allowing this importer to obtain alcohol and tobacco products free of tax. There is a separate criminal investigation on [REDACTED] tax evasion.	12/21/2012
TTB-12-2465-I	TTB EMPLOYEE THREATENED	Alcohol Tax and Trade Bureau employee [REDACTED] was threatened in the workplace by her son's girlfriend after Ms. [REDACTED] declined to post money for her son's bond. Also, Ms. [REDACTED] admitted that for three (3) years she had falsely attested to her son's parole officer that her son resided with her.	11/16/2012
Bank Failure Initiative TTT			
Case Number	Title	Summary Comments	Closed Date
BANK-10-2511-I	MLR REFERRAL FLAGSHIP NATIONAL BANK	On 7/13/10, TOIG-OA forwarded to TOIG-OI, a referral for investigative review arising from information identified during a Material Loss Review of the Subject bank. Allegations concern potential fraudulent loan modification practices promoted and committed by Flagship National Bank to enable lender to circumvent federal lending limits. OCC advised their office is not pursuing enforcement action, however TOIG-OA has learned the FBI and the FDIC-OIG are conducting an investigation into the subject loan transaction.	1/11/2012
Bureau of Engraving and Printing TTT			
Case Number	Title	Summary Comments	Closed Date
BEP-11-1410-I	[REDACTED] MISUSE OF GOVERNMENT CREDIT CARD	Correspondence received via OIG Intake from [REDACTED] with BEP regarding the above-named Subject allegations of misuse of government credit card.	2/7/2012
BEP-12-0128-I	[REDACTED] PU/PORN	"... the Treasury computer monitoring station (GSOC) ... picked up computer traffic possibly related to child pornography. This ... appears to be localized to 4 computers at the BEP. One computer is assigned to an employee and three computers are general use."	7/23/2012
BEP-12-0485-I	BEP MANAGEMENT AND PRODUCTION PROBLEMS (ADMIN)	Correspondence received via Correspondence Management regarding the following: Anonymous Complaint Regarding Management and Production Problems at the Bureau of Engraving and Printing	6/19/2012
BEP-12-1033-I	ETHICS VIOLATION (RETALIATION)	Complaint received 3/5/2012, via email A former chairman of the fraternal order of police at BEP alleges targeted by supervisors for retaliation due to prior union activity.	7/26/2012

BEP-12-1688-I	██████████ NCIC ABUSE	Complaint received 5/4/2012, via email Anonymous complainant alleges several employee are requesting informaton on individuals on NCIC which has nothing to do with the Agency, committing a Felony	11/20/2012
BEP-12-2450-I	██████████	Correspondence received via OIG Intake from ██████████ with BEP regarding the above named subject and allegations of workmans compensation fraud. DCF-SI-2012-041	10/12/2012
Bureau of Public DebtTTT			
Case Number	Title	Summary Comments	Closed Date
BPD-09-0145-I	██████████ AND ██████████	BPD forwarded information concerning the apparent creation of two false Treasury Direct accounts by customers who submitted fraudulent information by misusing Treasury Direct and Federal Reserve routing numbers, to create scam checks. Per consensus of the Intake Review Group, this matter is being initiated as a preliminary.	2/24/2012
BPD-11-0039-I	██████████ ET AL	Correspondence received via OIG Intake regarding the following: On September 22, 2010, we were contacted by the IRS Criminal Investigation Division (CID) regarding 5 TreasuryDirect (TD) accounts. CID stated they were working on cases involving identity theft, where fraudulent tax returns were filed and the resulting refunds deposited into several TD accounts. Holds were placed on these 5 TD accounts to prevent any further activity. The tax refunds were deposited from two originating routing numbers. The routing numbers have been identified as 111036170, from the Department of the Treasury (Austin, TX) and 062736011, from Treasury FMS (Birmingham, AL).	5/15/2012
BPD-11-0590-I	██████████ PHISHING ATTEMPT	Correspondence received via OIG Intake from ██████████ with the Bureau of Public Debt regarding the following: The Bureau of the Public Debt Office of Public Debt Accounting continues to receive request from ██████████ and his firm, ██████████ for restricted access to federal investment programs and systems. On January 18, 2011, ██████████ submitted a LogOn ID Request for access to a government system reserved for federal agencies only. He specifically was seeking access to the oil spill liabilities fund. He specified his agency was the DOD-DCMA although he is not affiliated with this or any other government agency. This is a reoccurring phishing attempt by ██████████ which may be a violation of a Cease and Desist notification issued through Treasury OIG in 2010.	4/20/2012
BPD-11-0997-I	██████████ FALSE CLAIM OF LOST BONDS	██████████ but in a claim for 114 missing bonds and in return was issued a check in the amount of \$100,950.73. Shortly thereafter, ██████████ began cashing in her "missing" bonds. Multiple attempts to contact ██████████ at multiple addresses have all failed.	5/4/2012

BPD-12-0650-I	[REDACTED]	Members of a family by the name of [REDACTED] have some trusts that fund a foundation. An insider appears to have embezzled monies from one (or more) of the Treasury Direct account. The amounts drained to date appear to exceed \$1.0 million.	12/14/2012
BPD-12-0963-I	[REDACTED] AND [REDACTED]	On 2/14/12, TOIG was contacted by the BPD Risk Mitigation and Investigations Section that on Feb 13, 2012, [REDACTED] and [REDACTED] each attempted to open new Trust accounts in the TreasuryDirect Web system. They listed a Federal Reserve Bank as their bank and utilized SSN numbers that do not belong to them. The BPD locked the accounts and notified SA [REDACTED]. Further research by BPD revealed that the SSN numbers that [REDACTED] and [REDACTED] put on their TreasuryDirect accounts belonged to individuals in New Mexico and Texas. [REDACTED] and [REDACTED] were previously charged in 2009 and sentenced in 2011 for violating Calif. State code for passing counterfeit checks. (Previous TOIG case #: BPD-09-0145-I.)	7/26/2012
BPD-12-1078-I	[REDACTED]	Since approximately 2008, BPD employee [REDACTED] (YES) consistently comes in late, takes long lunches and does not submit T&A leave slips for the off time. Also, conducts personal business during work hours.	10/5/2012
BPD-12-1614-I	[REDACTED] THEFT	[REDACTED] helped himself to four framed pictures from the Bureau of Public Debt, without permission. During an interview on the matter, [REDACTED] confessed to the thefts then returned the pictures.	8/21/2012
BPD-12-1652-I	[REDACTED]	[REDACTED], Labor Relations Officer, BPD, Parkersburg, WV called the OIG hotline. She stated that she was notified by the BPD DC office that a coworker informed his supervisor that [REDACTED] had "gay porn" on his BPD computer. The coworker noticed [REDACTED] viewing it. [REDACTED] could not provide the coworker or supervisor name, but would obtain and provide to the OIG shortly. [REDACTED]	7/26/2012
BPD-12-1892-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED] with BPD regarding the following: On May 21, 2012, a news article appeared on Reuters detailing China's status as a direct bidder in Treasury auctions. Because the article quoted an August 12, 2011, email sent by [REDACTED] at the Federal Reserve Bank (New York) to five Public Debt management officials, Public Debt is concerned over the possible unauthorized distribution of highly sensitive and confidential information regarding Treasury's auction participation processes.	8/21/2012
BPD-12-2054-I	[REDACTED] CHILD PORNOGRAPHY	"On June 20, 2012, representatives from the Parkersburg Police Department and the West Virginia State Police served a search warrant at the residence of [REDACTED]. The warrant was issued and served to search for evidence related to child pornography." BPD has requested TOIG assistance with accessing an encrypted laptop computer.	12/3/2012
BPD-12-2069-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake from [REDACTED] with BPD regarding the above-named subject and allegations of criminal-employee misconduct (unwanted sexual advances)	8/14/2012

Check Forgery Insurance Fund TTT			
Case Number	Title	Summary Comments	Closed Date
CFIF-10-2943-I	[REDACTED] (CFIF)	On August 3, 2010, the Office of Inspector General (OIG), Office of Investigation (OI) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number 2310 29118585 in the amount of \$1,884.00 which resulted in a settlement check(s) to be issued to the payee. Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation. (ATTACHMENT)	8/14/2012
CFIF-11-0389-I	[REDACTED]	On September 23, 2010, an analysis of the Check Forgery Insurance Fund (CFIF) by the Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) revealed a deposit of Treasury check 2310 09617616, in the amount of \$6,689.00, into a Bank One account. The CFIF is overseen by Treasury's Financial Management Service (FMS). Payee [REDACTED] filed a claim with FMS alleging she never received or negotiated US Treasury Check 2310 09617616 in the amount of \$6,689.00, made payable to her on March 12, 2010.	9/20/2012
CFIF-11-0548-I	[REDACTED]	On August 2, 2010, the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically, [REDACTED] Virginia made a claim with FMS stating she did not receive 6 United States Treasury checks intended for her and her children between April of 2009 and December of 2010. The checks were payable to [REDACTED] amounts ranging from \$250.00 to \$1200.00.	6/19/2012
CFIF-11-0549-I	[REDACTED]	On August 2, 2010, the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically, [REDACTED] of Richmond, Virginia made a claim with FMS stating she did not receive 4 United States Treasury checks intended for her between March of 2009 and July of 2010. Each check was payable to [REDACTED] in the amount of \$674.00.	3/21/2012

CFIF-11-0978-I	[REDACTED]	<p>On April 12, 2011 the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically, [REDACTED] of [REDACTED] made a claim with FMS stating she did not receive a United States Treasury check 2310 33535502 intended for him in May 2010. The check was payable to [REDACTED] the amount of \$992.15.</p>	11/5/2012
CFIF-11-1012-I	[REDACTED]	<p>On May 2, 2011, the United States Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF). More specifically, payee [REDACTED] submitted a claim with FMS certifying he did not receive/negotiate U.S. Treasury Check number 2310-38846153 in the amount of \$2,705.08, which resulted in a settlement check to be issued to the payee.</p>	3/15/2012
CFIF-11-1184-I	[REDACTED]	<p>On April 18, 2011 the Financial Management Service (FMS) provided the Office of Inspector General (OIG), Office of Investigations (OI) with information regarding United States Treasury Checks charged against the Check Forgery Insurance Fund (CFIF) overseen by the FMS. More specifically, [REDACTED] of [REDACTED] made a claim with FMS stating he did not receive a United States Treasury checks 2054 43062109 & 2054 46267475 intended for him in June and July 2009. The checks was payable to [REDACTED] in the amounts of \$912.10 each.</p>	3/15/2012
CFIF-11-1289-I	[REDACTED]	<p>On June 1, 2011, Special Agent [REDACTED] SSA-OIG contacted TOIG regarding US Treasury check number 2310-43529633 and potential check fraud.</p> <p>[REDACTED] filed a claim with the FMS alleging she never received or negotiated US Treasury check number 2310-43529633 in the amount of \$369,273.00 made payable to her on September 14, 2010.</p> <p>On June 10, 2011, US Treasury check number 2310-43529633 was charged against CFIF overseen by the FMS.</p>	5/15/2012
CFIF-11-1295-I	[REDACTED]	<p>On July 01, 2011, the United States Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF).</p> <p>More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number 205902679464 in the amount of \$1,704.00, which resulted in a settlement check to be issued to the payee. According to records, the check was negotiated at Walmart.</p> <p>Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation. (ATTACHMENT)</p>	2/9/2012

CFIF-11-1538-I	[REDACTED]	<p>On February 2, 2011, the United States Department of the Treasury, Office of Inspector General, Office of Investigations (TOIG) received notification from the Financial Management Service (FMS) that a settlement check was issued in the below matter with funds from the Check Forgery Insurance Fund (CFIF).</p> <p>More specifically, payee [REDACTED] submitted a claim with FMS certifying she did not receive/negotiate U.S. Treasury Check number 2310-15376096 in the amount of \$801.00, which resulted in a settlement check to be issued to the payee.</p> <p>Additional information regarding the matter has been obtained from the Treasury Check Information System (TCIS) to assist in the investigation.</p>	2/9/2012
CFIF-11-1640-I	[REDACTED] FNU	<p>Complaint received 9/27/2011, via email</p> <p>Complainant alleges VA check fraudulently endorsed and cashed.</p>	8/7/2012
CFIF-12-0024-I	CFIF CASE DEVELOPMENT FY 2012	In August 2010, the Office of Investigations (OI) embarked on an initiative surrounding fraud related to the Check Forgery Insurance Fund (CFIF). The CFIF is managed by the Financial Management Service (FMS) and creates a mechanism for FMS to send victim payees replacement checks related to suspected fraud. As such OI receives information from FMS monthly related to the investigative leads involving CFIF. Branch 3 is opening a case number in the case management system to allow agents to utilize it for case development, and to document agent activities. This case will be closed at the end of the fiscal year with a brief memorandum summarizing the year's activities.	10/24/2012
CFIF-12-1535-I	[REDACTED]	<p>On 03/21/12, SSA OIG SA [REDACTED] contacted the TOIG to report potential criminal activity being conducted by subject [REDACTED]. The subject used the identity of his nephew, [REDACTED] to apply for and collect Disability Benefits from 2007- 2010. Potential Fraud loss is approximately \$59,590.05. SA [REDACTED] requesting the assistance of TOIG in this investigation.</p>	12/3/2012
CFIF-12-1737-I	[REDACTED]	<p>On April 24, 2012, TOIG SA [REDACTED] received a phone call from USSS SA [REDACTED] requesting a U.S. Treasury expert who is qualified to testify in court in Montgomery County on a Treasury check that was altered and deposited into a personal account.</p> <p>On May 10, 2012, TOIG SA [REDACTED] appeared in Montgomery County court to testify as an expert witness on behalf of U.S. Treasury. [REDACTED] pled guilty to theft under \$1000 and was sentenced to 364 days probation and \$8003.00 restitution.</p>	8/13/2012
CFIF-12-2074-I	[REDACTED]	<p>On June 1, 2012, [REDACTED] Maryland State's Attorney's Office, Baltimore City, MD contacted SA [REDACTED] in reference to US Treasury Check #315809219547 cashed by the defendant [REDACTED] on 2/27/12, and being dishonored as an endorsement forgery. [REDACTED] requesting TOIG assistance in the prosecution of this case.</p>	9/25/2012
Community Development Financial Institution TTT			
Case Number	Title	Summary Comments	Closed Date
CDFI-12-0008-I	[REDACTED] S & S [REDACTED]	The members of [REDACTED] previous board of directors, and its previous executive director, may have misappropriated Community Development Fund Initiative funds.	12/21/2012

CDFI-12-0142-I	[REDACTED]	On behalf of his employer, [REDACTED] submitted an application for a CDFI Program Award. When the \$85,498 award was granted to ASI, [REDACTED] served as account administrator. In mid-October CDFI was informed by [REDACTED] Board of Directors that Mr. [REDACTED] had not been authorized to apply on [REDACTED] behalf for the CDFI program award, and that he had misrepresented to CDFI not only his position and authority but also [REDACTED] capacity, relationships and partnerships. While the award was being deobligated, Mr. [REDACTED] attempted to have the award funds transferred into an account that sounds as though it is affiliated with [REDACTED] but in fact is not. No CDFI program award funds were actually disbursed.	12/21/2012
Departmental Offices (Treasury) TTT			
Case Number	Title	Summary Comments	Closed Date
DO-09-0060-I	[REDACTED]	On April 15, 2009 a complaint was received via fax from an Anonymous source. The complaint stated that an individual whom had been a political appointee at the Department of Defense was hired as a career employee at the Department of Treasury without going through the merit hiring process. The complaint further states that this individual was then detailed back to the Department of Defense to their previous position.	9/4/2012
DO-11-0397-I	[REDACTED]	[REDACTED] Special Agent, US Department Of Transportation OIG, contacted Treasury OIG, regarding Subject. [REDACTED] stated that there are allegations that [REDACTED] steered contracts to her husband's company, [REDACTED] while she was employed as the Director of Financial Management, US DOT. Her husband is [REDACTED] DOT OIG is concerned that [REDACTED] may be using her title at Treasury to continue to steer contracts to her husband's company, and would like Treasury OIG to monitor her government e-mail.	5/8/2012
DO-11-0536-I	[REDACTED]	Complaint received 1/19/2011, via email Complainant alleges fraudulent company was setup under a nonexistent GSA Contract with an fraudulent Purchase Order number. Complainant alleges [REDACTED] stated that he was working with GSA contract and gave a PO number to where the funds would be coming from within the Treasury Department. Complainant made a report to the DC Police Dept.	6/6/2012

DO-11-1411-I	(IMPERSONATING TREASURY AGENT)	On July 28, 2011, TIGTA received a complaint regarding [REDACTED] who allegedly impersonated a U.S. Treasury Agent. [REDACTED] contacted the U.S. Attorney's Office in Miami and advised the USAO's secretary that he was a Treasury Agent and needed to meet with him and give him some documents. [REDACTED] entered the building and provided a business card which stated "U.S. Treasury, Federal Notary Agency" and his title as "Special Agent". The USAO's contacted TIGTA's field office in Miami and requested that it interview [REDACTED]. Violation: 18 U.S. C. 912 (False Impersonation of a Federal Officer).	2/21/2012
DO-11-1413-I	(ARRA)	Correspondence received via mail from U.S Department of Justice, Federal Bureau of Investigation regarding a joint government fraud investigation concerning [REDACTED] and [REDACTED].	12/21/2012
DO-11-1588-I		Complaint received 9/15/2011, via email Complainant alleges inappropriate activity between a Treasury Employee and a Contractor from [REDACTED].	3/8/2012
DO-12-0183-I		The Office of Intelligence and Analysis (OIA) advised the TOIG duty agent (SSA [REDACTED]) of an internal PII disclosure. A Excel spreadsheet file with PII data had been inadvertently placed on an internal office shared drive (unclas) and a OIA employee who did not have access to the drive was able to access the drive, open the file, and distribute it via an email to another OIA employee. Both employees were not authorized to have access to this information. The information was a spreadsheet containing FY11 performance ratings for employees in the OIA and D.O. Office of Security. The employee who is suspected of accessing the information, [REDACTED] has been placed on admin leave pending an internal review of the office network to determine if the file has been stored on any other employees' workstations. (Note: a separate TCSIRC incident report(ID # 77876) has been logged and forwarded to TOIG Cyber SSA [REDACTED] on 11/2/11.	3/29/2012
DO-12-0268-I		The following was reported and is associated with referral: DO-12-0021-R. On or about September 7, 2011, Secretary [REDACTED] Office of International Affairs (OIA), lost her access badge and completed a US Secret Service (USSS) Form 1888 for a new badge. During routine processing the USSS discovered that [REDACTED] had been charged in Prince Georges County MD with possession with intent to distribute PCP and also with simple possession. Senior officials of the Uniformed Division of the USSS met with us in your office on September 7, 2011 and briefed us on [REDACTED] "do not admit" status and that although the Intent to Distribute charge was "Nolle Prosequi", a possession charge (misdemeanor) was still outstanding. The USSS UD officials informed us that [REDACTED] would remain a "temporary do not admit" status until the misdemeanor possession charge was adjudicated by the PG county court.	6/19/2012

		<p>An inquiry on [REDACTED] clearance and investigative status and attempted to verify the information the USSS had provided. [REDACTED] a secretary in OIA and works for [REDACTED] (202.622. [REDACTED]). [REDACTED] had possessed a SECRET national security clearance but was withdrawn because her background investigation was out of scope. The process to update the investigation was underway but not initiated because she has failed to satisfactorily submit the required security documentation. A preliminary FBI fingerprint on 14 Sept 2011 revealed the charges of possession with intent to distribute PCP/LSD (02/11/2011) and possession of a controlled substance not marijuana. On September 7, 2011, the public Maryland Case Status information database was checked for [REDACTED]. The database confirmed the USSS and OPM information that [REDACTED] had been charged with possession with intent to distribute PCP (felony) and simple possession of drugs (not marijuana) (misdemeanor). The database indicated that Case # [REDACTED] which included both charges, was closed. However, our review of the record indicated a disposition for the poss. w/intent to distribute charge (Nolle Prosequi) but did not indicate a disposition for the possession charge.</p> <p>On September 8, 2011, the USSS initiated a "do not admit" for [REDACTED]. On October 5, 2011, a recheck of the Maryland public database indicated that [REDACTED] currently has a trial date of 01/09/2012.</p>	
DO-12-0526-I	[REDACTED]	Received phone call from [REDACTED] DO Security Office, regarding the sharing of classified documentation by [REDACTED] TEOAF.	5/4/2012
DO-12-0937-I	[REDACTED]	<p>Correspondence received via OIG Intake from Rich Delmar with Counsel regarding the following:</p> <p>We received information that [REDACTED] may have used his Treasury title and possibly position to affect his private interests. Mr. [REDACTED] is supervisor, [REDACTED] and [REDACTED] one of our ethics attorneys, were both notified on separate occasions late last week of the possible violation. Both instances are described below. I have attached Mr. [REDACTED] outside activity form which provides the name of his company.</p>	10/22/2012
DO-12-1099-I	SCAM	<p>Complaint received 3/12/2012, via email</p> <p>Complainant received a fraudulent email from someone claiming to be from the US Treasury.</p>	7/26/2012
DO-12-1718-I	[REDACTED] (ADMIN)	<p>Complaint received 5/8/2012, via email</p> <p>Complainant alleges several violations of code</p> <p>18 USC 207, Restrictions On Former Officers, Employees, And Elected Officials Of The Executive And Legislative Branches, Title 31: Money and Finance:Treasury, Part 31—Troubled Asset Relief Program 5 C.F.R. Part 2635 5 CFR 2635.801 - .809</p>	10/22/2012
DO-12-2372-I	[REDACTED]	<p>On August 2, 2012, TOIG received a complaint referral from [REDACTED] SA, TIGTA, regarding an ongoing FBI investigation of Treasury employee [REDACTED]. An official FBI investigation has been opened into [REDACTED] due to his communication with several individuals being investigated for counter-terrorism.</p>	12/14/2012
Financial Management ServiceTTT			
Case Number	Title	Summary Comments	Closed Date
FMS-11-0723-I	[REDACTED]	[REDACTED] appears to have shifted a security camera so that it would not capture him corrupting the hard drive of a high-speed scanner.	4/18/2012

FMS-11-1573-I	[REDACTED] INTERCEPTION OF MAIL	Evans intercepted a wage garnishment document that had been sent to her employer, the Financial Management Service, by the District Court of Maryland.	5/4/2012
FMS-11-1579-I	[REDACTED] ET AL	Correspondence received via OIG Intake regarding the following: Attached below are document pertaining to an attempted scam at FMS's Birmingham Debt Collection Center. Two individuals travel over 400 miles to the Center to attempt to cash a fraudulent bond valued at 100 Billion dollars. The individuals never entered the facility grounds, but were stopped and questioned by Center security. They individuals submitted identification and document of their alleged bond. Federal Protective Services were call and arrived on the scene and questioned the individuals. During questioning and search, one of the subject possess a hand along with a conceal carry permit. The subject where informed that the Center could not assist them with their request and they were directed to leave the premises.	3/29/2012
FMS-12-0095-I	[REDACTED] & [REDACTED]	Correspondence received via Correspondence Management regarding the following: Complainant Alleges Employee at Financial Management Services' Security Office in Hyattsville, Maryland has Criminal Record	5/4/2012
FMS-12-0461-I	[REDACTED] EXTORTION	[REDACTED] reported to [REDACTED] that [REDACTED] is attempting to extort payment from [REDACTED] following an Equal Employment Opportunity matter in which [REDACTED] provided testimony in support of [REDACTED]	12/21/2012
FMS-12-0747-I	[REDACTED] ET AL FRADULENT USE OF RTNS	Correspondence received via OIG Intake from from [REDACTED] with the Financial Management Service (FMS) regarding the following: Public citizen (possibly sovereign citizen types) fraudulently using government Routing Transit Numbers (RTN) to make purchases. The fraudulent transaction go through but are reversed usually within 24 hours. Therefore, there is and has been no loss to the federal government. Efforts are being made to determine if the Federal Reserve Bank can filter transaction to block those unauthorized.	9/14/2012
FMS-12-1323-I	MISUSE OF DIRECT EXPRESS PROGRAM NAME	What appears to be a check-cashing and / or payday loan company is contacting Direct Express cardholders electronically with an "Alert," fraudulently identifying itself as originating from the Direct Express program, and soliciting personal and bank-related information on contact.	8/21/2012
FMS-12-1644-I	[REDACTED]	Complaint received 4/30/2012, via email Complainant alleges several ethics violation at FMS	12/21/2012
FMS-12-1952-I	BOMB THREAT	Correspondence received via OIG Intake from [REDACTED] with the Financial Management Service regarding allegations of the following: an unknown individual called fms financial agent (Bank of america/first data corporation) call center on the spanish line and communicated bomb threats on three separate calls. it is believed it is the same guy that has done this in the past. INF-12-018	10/22/2012
FMS-12-2148-I	[REDACTED]	Telephone called received via TOIG mainline from [REDACTED] with SSA, OIG, San Diego regarding a counterfeit check and the above-named subject attempting to cash the check in the amount of \$182,175.00	10/12/2012
FMS-12-2642-I	[REDACTED] CFIF	In November 2011, the U.S. Department of the Treasury (Treasury), Office of Inspector General (TOIG), Office of Investigations (OI), developed information that [REDACTED] committed fraud against the Check Forgery Insurance Fund.	10/22/2012

FMS-13-0070-I	UNAUTHORIZED ATTEMPT TO GAIN ACCESS	Correspondence received via OIG Intake from [REDACTED] with the FMS regarding the following: information [REDACTED] Acting Deputy Chief Information Officer/Chief Security Officer) received regarding an outside source attempting to gain access to our systems and trying to obtain a PIV credential. This person has made several attempts in the past..	12/27/2012
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Internal Revenue ServiceTTT

Case Number	Title	Summary Comments	Closed Date
DO-12-2183-I	[REDACTED]	Complaint received 7/12/2012, via email Complainant alleges - Someone in US Treasury Offices has been leaving harassing, sexually inappropriate, homophobic and political comments on About.com a division of The New York Times during work hours.	12/7/2012

Money Service BusinessTTT

Case Number	Title	Summary Comments	Closed Date
MSB-11-1213-I	[REDACTED]	Since November 2010, Immigration and Customs Enforcement (ICE) and Homeland Security Investigations (HSI) and the Internal Revenue Service Criminal Investigation (IRS-CI) as members of a task force, have been investigating allegations of money laundering activities by [REDACTED] and a Money Services Business (MSB) identified as [REDACTED] located at [REDACTED] is allegedly used by Drug Trafficking Organizations to launder narcotics proceeds to the Dominican Republic. Through various undercover operations, the investigation has identified a total of \$5,400 in ICE funds represented as narcotics proceeds was laundered by [REDACTED]. The USAO EDPA requested the assistance of TOIG.	4/23/2012
MSB-12-0025-I	[REDACTED]	On October 6, 2011, the U.S. Department of the Treasury (Treasury), Office of Inspector General, Office of Investigations (TOIG) initiated an investigation into [REDACTED] and [REDACTED] for operating as an unregistered money services company, in violation of Title 18 U.S.C § 1960 – unlicensed/unregistered Money Services Businesses, and other related U.S. Codes.	4/11/2012
MSB-12-0090-I	FY 2012 MSB INITIATIVE	In October 2010, the Office of Investigations (OI) embarked on an initiative surrounding fraud related to unlicensed/unregistered Money Service Businesses (MSB). The current rules amended in 1999 by the Financial Crimes Enforcement Network (FinCEN) revised the regulatory definitions of certain non-bank financial institutions for purposes of the Bank Secrecy Act (BSA) and grouped the definitions into a separate category of financial institution called MSBs. A business that meets one or more of the definitions of a type of MSB is an MSB and must comply with BSA requirements applicable to it as an MSB, as a financial institution and as a specific type of MSB. This case number will allow agents to utilize it for case development and to document agent activities. This case will be closed at the end of FY 2012.	10/16/2012
MSB-12-0454-I	[REDACTED]	On September 28, 2011, as part of a task force effort, Homeland Security Investigations (HSI) seized \$45,638.00 in suspected criminal proceeds, resulting from an investigation concerning allegations of money laundering activities by [REDACTED]	7/9/2012

		<p>Among the seized items were money orders and U.S. Currency consisting of thirty six thousand, nine hundred dollars (\$36,900) in bearer form money orders mainly purchased on September 27, 2011, and eight thousand seven hundred and thirty eight (\$8,738.00) in U.S. Currency. An ion scan was performed on the seized currency revealing an abnormally high presence of cocaine.</p> <p>Additionally, an analyses of the money orders revealed that ten (10) of the orders, each for one thousand dollars (\$1,000) a piece, were purchased from ██████████ located at ██████████ between the times of 10:45 am and 12:27 pm.</p> <p>Financial records revealed that the owner of ██████████ previously banked at ██████████ Credit Union. I have been in contact with the director of Fraud and Security who provided ██████████'s bank records of her previous business and personal accounts. However, it is believe there is one personal account remaining to be identified.</p>	
MSB-12-1651-I	██████████	In November 2011, the U.S. Department of the Treasury (Treasury), Office of Inspector General (TOIG), Office of Investigations (OI), received information from the Financial Crimes Enforcement Network (FinCEN), alleging that ██████████ was operating as an unregistered money services company, in violation of Title 18 United States Code § 1960 – unlicensed/unregistered Money Services Businesses (MSBs).	9/25/2012

Not Bureau Related (ZZZ)TTT

Case Number	Title	Summary Comments	Closed Date
BANK-11-1174-I	██████████	<p>USAO ██████████ requested OI to assist in arranging meetings between OCC and bank security officials in ██████████ area. The ██████████ Financial Crimes Task Force is working a major bank fraud investigating involving \$54 million in losses to date. Conspirators have recruited bank insiders and developed/utilized inside bank information; provided stolen ID's (PII) and counterfeit access device cards; laundered illicit proceeds through financial institutions; and recruited associates who direct the fraud schemes.</p> <p>OI has been requested to conduct subject criminal record and background checks and serve as a liaison with the OCC in coordinating investigative support between the task force's Federal and State law enforcement agencies and affected banks in which the criminal activity is occurring.</p>	12/6/2012
INTR-10-2680-I	██████████ - INTERNAL INVESTIGATION	This internal investigation of Treasury OIG employee ██████████ was self-initiated by a former Treasury employee and colleague of the subject based upon suspicions of fraud.	3/2/2012

Office of Technical AssistanceTTT

Case Number	Title	Summary Comments	Closed Date
OTA-11-0753-I	██████████	Correspondence received via OIG Intake regarding the following: ██████████ resident OTA advisor in ██████████ requested an educational allowance for one of his sons to attend school in the U.S. Granting of the allowance requires that the advisor and his spouse reside at post full-time for the duration of their child's schooling in the U.S. Despite repeated requests, ██████████ as not provided the requested documentation to substantiate his spouse's residence at post and OTA is unable to determine that his spouse is at Post. The total amount of the educational allowance is \$43,575.	2/17/2012

Office of the Comptroller of the CurrencyTTT			
Case Number	Title	Summary Comments	Closed Date
BANK-10-2228-I	[REDACTED] BANK FAILURE)	As part of the joint bank Fraud initiative between FDIC and Treasury OIG's, FDIC SAC [REDACTED] Dallas, TX reported that [REDACTED] recently failed. Initial inquiries revealed insider trading by the Bank President and serious internal fraud by bank executives. This bank has holdings of \$60 million. FDIC has already began the initial review. This would have subverted the OCC examination process by not revealing the true borrowers and condition of loans that led to the bank's failure. OIG OA has not completed an MLR of this bank.	10/22/2012
OCC-11-1048-I	[REDACTED] TRAVEL CARD MISUSE 2ND ATTEMPT	Correspondence received via OIG Intake from [REDACTED] with the OCC regarding the above named subject and allegations of travel card misuse in the amount of 3662.87. See also OCC 11-0242-R	4/12/2012
OCC-12-0325-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED] the OCC regarding the following: [REDACTED] is misusing her government travel card by taking cash advances while not in travel status, taking excessive cash advances, and taking cash advances and charging expenses during the same travel period. Her account is also delinquent.	2/24/2012
OCC-12-0496-I	[REDACTED] STOLEN LAPTOP	Office of the Comptroller of the Currency Bank Examiner [REDACTED] laptop computer was stolen from a food court. INC507417 Remedy Ticket Number 507417 TCS Ticket Number 78374	10/2/2012
OCC-12-0550-I	[REDACTED] HREAT)	On January 11, 2012, TOIG received a letter from the FDIC OIG reflecting that [REDACTED] has been making calls to the FDIC since 5/11. Until recently, the calls were incoherent and non-threatening. On January 11, 2012, he stated in the call that he would kill [REDACTED] OCC. FDIC provided an audio of the call.	2/7/2012
OCC-12-0860-I	[REDACTED]	The OCC's [REDACTED] as delegated all TOIG contacts to [REDACTED] whose hostility to the TOIG affects his conduct, e.g., OCC employees are coached to provide minimum information to the TOIG and sensitive ethics-related allegations are handled internally without reporting them to the TOIG.	8/23/2012
OCC-12-1383-I	[REDACTED] MISUSE OF CREDENTIALS	Correspondence received via OIG Intake from [REDACTED] with the OCC regarding the above-named subject and the following: Attached is a letter from a third party that reports that [REDACTED] inappropriately displayed his OCC badge in a private family estate legal matter. [REDACTED] is a national bank examiner serving as Assistant Deputy Comptroller in the OCC's [REDACTED] field office.	10/12/2012
Office of Thrift SupervisionTTT			
Case Number	Title	Summary Comments	Closed Date
OTS-11-1100-I	[REDACTED] FALSE CLAIMS (TRAVEL/RELOCATION)	In 2007 OTS employee [REDACTED] accepted a promotion that required her to relocate and for which she accepted \$10,000 in relocation compensation, but she never relocated and over the years she has put in for thousands of dollars in compensation for the expense of traveling between her residence and her workplace.	2/29/2012

SCAMTTT

Case Number	Title	Summary Comments	Closed Date
DO-11-1241-I	TREASURY SECURITIES BREACH	I received information from the FBI concerning an espionage investigation involving Treasury Securities. This investigation has been ongoing for approximately two years where subjects have leaked economic data from "lock-up" rooms. This information was leaked to a news media where once reported people traded securities based on the leak. It is believed that individuals are attempting to obtain sensitive U.S. economic data for malicious and/or fraudulent purposes. There are 30 subjects that possibly are employed or have applied for jobs with Treasury. Currently the OIGs for Labor, Commerce, and Agriculture have employed and/or received employment applications from some of these individuals.	10/22/2012
SCAM-12-1788-I	[REDACTED]	Complaint received 5/17/2012, via email Employee Misconduct	11/5/2012

United States MintTTT

Case Number	Title	Summary Comments	Closed Date
2009-0004-I	[REDACTED] (ADMIN)	Email correspondence received from USM concerning possible violations of the Hatch Act by a fellow USM (Philadelphia) employee.	9/14/2012
USM-10-0399-I	[REDACTED] (ADMIN)	Allegation versus USM employee (FNU) [REDACTED]. Potentially [REDACTED] Assistant Director, for abuse of authority, although correspondence does not verify. Matter forwarded to OI per direction of OC, Delmar.	9/12/2012
USM-10-1661-I	[REDACTED]	Allegation that USM employee may be in violation of Workmans Compenstation Act by claiming an on the work injury while conducting a home based business.	4/11/2012
USM-11-0062-I	[REDACTED]	IRS/CI SA [REDACTED] contacted OI regarding IRS/CI inquiry (investigation) into potential structuring allegations in excess of \$750,000 by US Mint Police Officer, [REDACTED] Philadelphia, PA Mint.	11/20/2012
USM-11-0812-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED] with the USM regarding an information referral with the update results of OPM's background security investigation on the above-named subject.	12/14/2012
USM-12-0084-I	[REDACTED] OFFICER MISCONDUCT	Correspondence received via OIG Intake from [REDACTED] with the USM regarding the above-named subject and allegations employee misconduct. US Mint Police Incident Number 11-DM-139	12/21/2012
USM-12-0092-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake from [REDACTED] the USMint regarding an incident with a USM Officer and an employee. U.S. Mint Police Incident Number 11-DC-072	4/12/2012
USM-12-0406-I	[REDACTED] PORNOGRAPHY ON COMPUTER (ADMIN)	Pornography was discovered on U.S. Mint employee [REDACTED] computer, which has been seized. US Mint Police Incident Number 11-HQ-017	8/14/2012
USM-12-0414-I	CREDIT CARD FRAUD	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of credit card fraud. 11-HQ-015	8/14/2012

USM-12-0871-I	[REDACTED]	Employee Misconduct	3/29/2012
USM-12-0951-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of coin blanks in the above-named subject hand bag 12-PM-076	5/8/2012
USM-12-1235-I	CREDIT CARD FRAUD: 12-HQ-077	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of credit card fraud in the amount of \$3824.95.	9/20/2012
USM-12-1259-I	CREDIT CARD FRAUD: 12-HQ-081	Correspondence received via OIG Intake from [REDACTED] with the USM regarding allegations of credit card in the amount of \$1978.00.	9/25/2012
USM-12-1353-I	[REDACTED] (ADMIN)	Correspondence received via OIG Intake from [REDACTED] with the USM regarding the above-named subject and allegations of unauthorized use of his government vehicle USM Police Incident Report Number 12-DC-025	10/12/2012
USM-12-1428-I	USM CHARGEBACK FRAUD (12-HQ-091)	The US Mint lost \$3,824.95 in a fraudulent chargeback for Order No. 38262452, involving two gold coins. US Mint Police Incident Report Number 12-HQ-091	7/9/2012
USM-12-1556-I	[REDACTED]	Complaint received 4/23/2012, via email Anonymous complainant alleges - Ethic violation purchased gift over \$100 for child of subordinate during business trip to Hawaii.	12/7/2012
USM-12-2224-I	[REDACTED]	Correspondence received via OIG Intake from [REDACTED] with the USM regarding the above-named subject and allegations of Officer Misconduct, Sexual Harassment and Assault. 12-HQ-176	10/12/2012

Date Report Run: Monday, February 25, 2013