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Description of document: List of Commodity Futures Trading Commission (CTFC) Office of the Inspector General (OIG) closed investigations, 1989-2008

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FOIA Compliance Office  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street NW  
Washington, DC 20581  
[Online FOIA Request Form](#)

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## U.S. COMMODITY FUTURES TRADING COMMISSION

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Office of the  
Inspector General

February 27, 2009

In response to your letter of January 27, 2009, received by the Freedom of Information Office on February 17, 2009 (tracking # 09-0058), I am providing you with a redacted copy of the Office of the Inspector's General (OIG) listing of all closed OIG investigations. I am providing you this information by email which is the most efficient means for addressing your request.

The Office of the Inspector's General semiannual reports which can be found at <http://www.cftc.gov/aboutthecftc/oig.html> contains information about our activities should you wish to learn more about this OIG.

Sincerely,

Tony Baptiste  
Assistant Inspector General for Auditing

Attachment: Redacted Copy of Listing of Closed Investigations

File Number	Subject Last Name	Subject First Name	Report Date	Title	Other Concerned Persons	Organization	Cross Reference	Referral
I-89-1	xxxxx	xxxxx	02/06/89	Abuse of Travel Bonus Points		xxxxx		
I-90-1	xxxxx	xxxxx	06/06/90	Possible Leak of Non-Public Information to the Industry		xxxxx		
I-91-1	xxxxx	xxxxx	04/04/91	U.S. Government Check		xxxxx		
I-91-2	xxxxx	xxxxx	07/18/91	Leak of Weather Data -- xxxxx		xxxxx		
I-91-3	xxxxx	xxxxx	12/05/91	Intergovernmental Personnel Act		xxxxx	xxxxx	
I-91-4	Undefined		11/15/91	CFTC Aided & Abetted xxxxx	xxxxx	xxxxx		
I-92-1	xxxxx	xxxxx	01/02/92	Conflict of Interest in Hiring of xxxxx		xxxxx		
I-92-2			08/12/92	Possible Information Leak		xxxxx		
I-92-3	xxxxx	xxxxx	05/13/92	Car Pool Violation	xxxxx	xxxxx		
I-93-1	xxxxx	xxxxx	05/25/93	Worker's Compensation Claims		xxxxx	xxxxx	
I-93-2	xxxxx	xxxxx	05/25/93	Misuse of government property	xxxxx	xxxxx		
I-93-3	xxxxx	xxxxx	08/25/93	Misuse of government property	xxxxx	xxxxx		
I-93-4	xxxxx	xxxxx	07/16/93	Abuse of working hours		xxxxx		
I-93-5	xxxxx	xxxxx	09/30/93	Abuse of Authority		xxxxx		
I-93-6	xxxxx	xxxxx	08/05/93	Ethics Rules - Seeking Outside Employment		xxxxx		
I-94-1	xxxxx	xxxxx	12/06/93	CFTC Employees Targeting of XXXXX		xxxxx		
I-94-2	xxxxx	xxxxx	04/01/94	Unauthorized Use of Space		xxxxx		
I-94-3	xxxxx	xxxxx	05/02/94	Hotline - Unauthorized outside employment		xxxxx		
I-94-4	Undefined		06/16/94	Payment of Bar Dues for Employees		xxxxx		
I-94-5	xxxxx	xxxxx	06/09/94	Retaliation towards an Employee	xxxxx	xxxxx		
I-94-6	xxxxx	xxxxx	08/12/94	Use of non-public information		xxxxx		
I-94-7	xxxxx	xxxxx	08/22/94	Misuse of annual leave		xxxxx		
I-94-8	xxxxx	xxxxx	08/30/94	Recruitment Violation		xxxxx		
I-95-1	xxxxx	xxxxx	11/18/94	Improper Promotions	xxxxx	xxxxx		
I-95-2	xxxxx	xxxxx	02/28/95	Preferential Treatment	xxxxx	xxxxx		
I-95-3	xxxxx	xxxxx	03/14/95	Improper Detail to the White House		xxxxx		
I-95-4	xxxxx	xxxxx	04/26/95	Whistleblower Protection	xxxxx	xxxxx	xxxxx	
I-95-5	xxxxx	xxxxx	07/28/95	Conduct of XXXXX Case		xxxxx		
I-95-6	xxxxx	xxxxx	09/06/95	Recruitment Violation	xxxxx	xxxxx		
I-95-7	xxxxx	xxxxx	10/06/95	Conversion of Resources		xxxxx		
I-97-1	xxxxx	xxxxx	01/17/97	Release of Confidential Information	xxxxx	xxxxx		
I-97-2	xxxxx	xxxxx	03/21/97	Offensive Advertisement	xxxxx	xxxxx		
I-97-3	xxxxx	xxxxx	03/26/97	Harassment	xxxxx	xxxxx		
I-97-4	xxxxx	xxxxx	08/12/97	Misuse of Government Phone		xxxxx		
I-97-5	xxxxx	xxxxx	07/18/97	Reasonable Accomodation	xxxxx	xxxxx		
I-97-6	Undefined		07/21/97	Graphic Image	xxxxx	xxxxx		
I-99-1	xxxxx	xxxxx	11/09/98	Travel		xxxxx		
I-99-2	xxxxx	xxxxx	01/08/99	Over 40 Males		xxxxx		
I-99-3	Undefined		06/17/99	Release of Confidential Information	xxxxx	xxxxx		
I-99-4	xxxxx	xxxxx	02/18/99	Treatment of an Employee	xxxxx	xxxxx		
I-99-5	xxxxx	xxxxx	08/26/99	Nonfeasance	xxxxx	xxxxx		
I-99-6	xxxxx	xxxxx	11/16/99	Quota on Exceeds with Distinction Ratings		xxxxx		
I-99-7	xxxxx	xxxxx	11/19/99	Theft of Transit Vouchers		xxxxx		
I-00-1	xxxxx	xxxxx	05/16/00	Ethics Advice		xxxxx		
I-00-2	??	NO case -see Memo to file January 23,2007		No investigation		xxxxx		

File Number	Subject Last Name	Subject First Name	Report Date	Title	Other Concerned Persons	Organization	Cross Reference	Referral
I-00-3	??	NO case -see Memo to file January 23,2007		Abusing the Internet		xxxxx		
I-01-1	xxxxx	xxxxx	01/20/01	Anonymous letter complaining about reorganization benefitting members of the previous administration		xxxxx		
I-01-2	xxxxx	xxxxx	08/09/01	Report of Investigation of Potential Conflict of Interest re: xxxxxx		xxxxx		
I-02-1	xxxxx	xxxxx	12/20/01	Unauthorized Employment		xxxxx		
I-02-2	xxxxx	xxxxx	06/12/02	Conflict of Interest Referral re: xxxxx		xxxxx		
I-02-3	xxxxx	xxxxx	06/17/02	Conflict of Interest Referral re: xxxxx		xxxxx		
I-02-4	Undefined		08/27/02	Audit and Financial Review Staffers potential misconduct		xxxxx		
I-02-5	xxxxx	xxxxx	09/24/02	Informal Inquiry -- attorney misconduct		xxxxx	xxxxx	
I-03-1	xxxxx	xxxxx	06/19/03	Customer Satisfaction with the XXXXX Function		xxxxx		
I-04-1	xxxxx	xxxxx	05/13/04	Investigation of the Hiring of xxxxx		xxxxx		
I-04-2	xxxxx	xxxxx	08/19/04	Potential Exposure of Undercover Operative to Death		xxxxx		
I-05-1	NONE for year					xxxxx		
I-06-1	NONE for year					xxxxx		
I-07-1	NONE for year					xxxxx		
I-08-1	Office of the Chairman /OCE		09/11/08	Regarding the Interagency Task Force on Commodity Markets Interim Report on Crude Oil	Requested by 4 U.S. Senators	xxxxx		
I-09-??	none so far							