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Request date: 24-July-2014

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Source of document: Freedom of Information Act Request
FOIA Compliance Office
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street NW
Washington, DC 20581
[Online FOIA Request Form](#)

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FOIA Office

U.S. COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581
Telephone: (202) 418-5105
Facsimile: (202) 418-5124
www.cftc.gov

July 31, 2014

RE: 14-00135-FOIA

This is in response to your request dated July 24, 2014 under the Freedom of Information Act seeking access to an electronic/digital copy of the CFTC FOIA Case Log for the time period January 2008 to the present. In accordance with the FOIA and agency policy, we have searched our records, as of July 25, 2014, the date we received your request in our FOIA office.

We have located 35 pages of responsive records. You are granted full access to the responsive records, which are enclosed.

If you are not satisfied with this response to your request, you may appeal by writing to Freedom of Information Act Appeal, Office of the General Counsel, Commodity Futures Trading Commission, Three Lafayette Centre, 8th Floor, 1155 21st Street, N.W., Washington, D.C. 20581, within 30 days of the date of this letter. Please enclose a copy of your original request and a copy of this response.

If you have any questions about the way we handled your request, or about our FOIA regulations or procedures, please contact Linda J. Mauldin at 202-418-5497.

Sincerely,

Joan E. Fina
Assistant General Counsel

CFTC FOIA Office
Three Lafayette Centre
1155 21st Street NW
Washington, DC 20581
Report Date:07/25/2014
Time:2:52:03 PM

Requests Report
Requested between 01/01/2008 and 07/25/2014

Request ID	Requested Date	Request Description	Closed Date
<u>00-00120-FOIA</u>	05/25/2011	Request for List of All Personnel Appointed as a Senate-Confirmed, Non-Career Member of the SEC, and Schedule C Between January 20, 2001 and May 15, 2011.	07/08/2011
<u>10-00001-FOIA</u>	10/02/2009	Request for a address for Daniel Boahme who is a employee who hit me and did damages to my general health.	10/19/2009
<u>10-00001-FPA</u>	12/30/2009	REQUEST FOR ANY INFORMATION THAT THE AGENCY HAS ON DAVID CARROLL STEPHENSON.	01/26/2010
<u>10-00001-PA</u>	04/08/2010	REQUEST FOR A COPY OF MY PERSONNEL SECURITY FILE COVERED BY CFTC-44.	04/20/2010
<u>10-00001-REF</u>	06/05/2010	REFERRAL FROM THE FBI FOR CFTC DOCUMENTS FOUND IN THE FBI'S FILES PERTAINING TO RELIANT RESOURCES INC & RELIANT ENERGY INC IN REGARDS TO THE INVESTIGATION AND PROSECUTION OF REPORTING BY RELIANT OF NATURAL GAS TRADES TO TRADE PRESS ENTITIES & INDIVIDUALS	08/18/2011
<u>10-00002-FOIA</u>	10/13/2009	Request for information on a passport for Stanley Ann Dunham, Stanley Ann Obama, Ann Obama, Ann Dunham.	10/19/2009
<u>10-00002-FPA</u>	12/26/2009	REQUEST FOR ALL DOCUMENTS IN AGENCY FILES RELATED TO BERYL JOAN KOENIG (WEST).	02/18/2010
<u>10-00003-FOIA</u>	10/14/2009	Request for Sentinel Managment Group, Inc.'s annual financial statement for the year ending 12/31/2006.	10/19/2009
<u>10-00003-FPA</u>	01/19/2010	REQUEST FOR ALL DOCUMENTS RELATING TO BENJAMAN SCOTT SANDS.	02/23/2010
<u>10-00004-FOIA</u>	10/15/2009	Request for information regarding Michael F. Payne and Futronix Trading Ltd. and related entities.	10/19/2009
<u>10-00005-FOIA</u>	10/15/2009	Request for a written statement stating the CFTC's rationale on why speculation is necessary in physically-settled futures, and why commercial participants by themselves are not capable of providing a valid market in physically-settled futures and any communications between any members of the CFTC and futures market participants concerning potential CFTC involvement in limiting speculation in physically-settled futures.	11/23/2009
<u>10-00006-FOIA</u>	07/16/2009	Request for various witness testimony submitted in FERC Docket No. IN07-26-000, involving Amaranth Advisors, LLC.	01/29/2010
<u>10-00007-FOIA</u>	10/19/2009	Request for the CBOT's 10/15/2009 or 10/16/2009 rule interpretation filing under Part 40.6 of the CFTC regulations regarding CBOT Rule 538.	01/08/2010

<u>10-00008-FOIA</u>	10/19/2009	Request for various frequently requested FOIAs.	11/27/2009
<u>10-00009-FOIA</u>	10/05/2009	Request for informational material.	10/30/2009
<u>10-00010-FOIA</u>	10/20/2009	Request for NYMEX Crude Oil (Contract_Market_Code_067651) records from the Market Futures Day table in ISS maintained by DMO.	02/23/2010
<u>10-00011-FOIA</u>	10/22/2009	Request for a list of CFTC credit card holders.	11/12/2009
<u>10-00012-FOIA</u>	10/15/2009	Request for informatinal material.	10/30/2009
<u>10-00013-FOIA</u>	10/29/2009	Request for all internal memos, e-mails, reports, economic analysis and other documents from January 1, 2008 through October 31, 2009 in which staff discuss whether the index investment and swap data, Commitment of Traders reports, an/or internal CFTC surveillance data demonstrate a correlation and/or causation between excessive speculation and prices across each of the commodity markets regulated by the CFTC including, but not limited to, crude oil, wheat, cotton and silver.	09/19/2011
<u>10-00014-FOIA</u>	10/23/2009	Request for financial statements for JP Morgan Incubator Cayman Fund LP and JP Morgan Incubator LP.	11/17/2009
<u>10-00015-FOIA</u>	10/29/2009	Request for Complaint No.: E200708R2331-00-AE/37AAB12407 and E200506S-0457-00pse/37A A510497, complaint of discrimination filed with DFEH and EEOC by Steven Ortega on July 2, 2008 and Laura Boyer on September 21, 2005.	10/30/2009
<u>10-00016-FOIA</u>	10/22/2009	Request for informational material.	10/30/2009
<u>10-00017-FOIA</u>	11/05/2009	Request for entire database containing information related in anyway to travel by CFTC employees and financed by CFTC.	12/02/2009
<u>10-00018-FOIA</u>	11/04/2009	Request for all material developed in CFTCs investigation of Anthony Demasi, Rober Voegel, Joseph P. Cacclatore and/or Joseph P. Cassiatore Jr., Tsunami Enterprises, in particular materials which would relate to any purported claims of the CFTC that Joseph Cacclatore committed perjury or lied.	12/22/2009
<u>10-00019-FOIA</u>	11/06/2009	Request for position descriptions of all grade 9 administrative assistants and staff assistants with their qualifications employeeed at the CFTC.	12/22/2009
<u>10-00020-FOIA</u>	11/13/2009	Request for non-public information on file with NFA for Barrett Christopher Brown.	11/16/2009
<u>10-00021-FOIA</u>	11/16/2009	Request for non-public information on file with NFA for AR Thane Ritchie.	11/17/2009
<u>10-00022-FOIA</u>	11/17/2009	Request for financial statements for varioius FCMs and Introducing Brokers.	01/21/2010
<u>10-00023-FOIA</u>	11/08/2009	REQUEST FOR CFTC REPORT PRODUCED FOR CONGRESS DURING THE PAST (5) YEARS AND ARE NOT POSTED ON CFTC.GOV.	01/20/2010
<u>10-00024-FOIA</u>	11/19/2009	REQUEST 4.5 NOTICE FILINGS FOR VARIOUS ENTITIES PRIOR TO 1998.	01/08/2010
<u>10-00025-</u>	11/30/2009	REQUEST FOR THE DAILY LIST OF APPOINTMENTS FOR	02/19/2010

FOIA		CHAIRMAN GARY GENSLER FROM HIS SWEARING IN DATE UNTIL THE PRESENT.	
10-00026-FOIA	12/01/2009	REQUEST FOR INCOMING LETTERS REGARDING CFTC LETTERS 95-103 AND 97.38.	12/01/2009
10-00027-FOIA	11/30/2009	REQUEST FOR INCOMING LETTERS REGARDING CFTC LETTERS 95-103 AND 97.38.	12/01/2009
10-00028-FOIA	12/02/2009	REQUEST FORWARDED FROM NFA FOR NON-PUBLIC DOCUMENTS FOR FOREX CAPITAL MARKETS LLC.	12/10/2009
10-00029-FOIA	12/02/2009	REQUEST CORRESPONDENCE BETWEEN CFTC PERSONNEL AND THE INDEPENDENT MONITOR ENGAGED BY BP PRODUCTS NORTH AMERICA INC. IN ACCORDANCE TO THE CONSENT ORDER FOR PERMANENT INJUNCTION AND ORDER RELIEF, DATED OCTOBER 25, 2007.	01/19/2010
10-00030-FOIA	12/03/2009	REQUEST FOR INCOMING CORRESPONDENCE ASSOCIATED WITH CFTC LETTERS NOS. 08-01, 06-27 AND 06-16.	12/10/2009
10-00031-FOIA	12/04/2009	REQUEST FOR A COPY OF A REQUEST SENT TO CFTC 10 YEARS AGO FROM REGIONAL TRANSMISSION OPERATOR PJM INTERCONNECTED INC. REQUESTING NO-ACTION LETTER FROM THE CFTC.	02/23/2010
10-00032-FOIA	11/30/2009	REQUEST FOR CORRESPONDENCE BETWEEN CFTC AND THE OFFICE OF CHARLIE BASS; MIKE FITZPATRICK, STEVE CHABOT, AND STEVE PEARCH.	01/20/2010
10-00033-FOIA	11/19/2009	REQUEST FOR LISTING OF TEN HIGHEST FINES IMPOSED BY CFTC BETWEEN 2000 AND 2008.	01/11/2010
10-00034-FOIA	12/02/2009	REQUEST FOR INFORMATION RELATING TO THE MILLER ACT BONDS CITED AT TITLE 48 OF THE CODE OF FEDERAL REGULATION, SECTION 53.228.	12/10/2009
10-00035-FOIA	11/19/2009	REQUEST FOR A LOG OF CORRESPONDENCES SINCE JANUARY 2009 OF CURRENT MEMBERS OF THE HOUSE OF REPRESENTATIVES AND THE SENATE, EXCLUDING RECORDS OF CONSTITUENTS' CORRESPONDENCE.	01/11/2010
10-00036-FOIA	11/19/2009	REQUEST FOR RECORDS RELATED TO CFTC'S COMPLIANCE WITH 5 USC 2302(C), "PREVENT PROHIBITED PERSONNEL PRACTICES".	12/22/2009
10-00037-FOIA	12/10/2009	REQUEST FOR A LIST OF COMPANIES OR INDIVIDUALS THAT CFTC GRANTED WAIVERS OF STANDARD POSITION LIMITS ON CRUDE-OIL OPTIONS OR FUTURES AND LETTERS/DOCUMENTS GRANTING THE WAIVERS.	03/17/2010
10-00038-FOIA	12/10/2009	REQUEST FOR A LIST OF CFTC REGISTRANTS WHICH INCLUDE THE FOLLOWING FIELDS OF INFORMATION: NFA ID, NAME OF REGISTRANT, AND CRD/IARD NUMBER.	12/22/2009
10-00039-FOIA	11/23/2009	REQUEST FOR CFTC INFORMATIONAL MATERIAL.	12/12/2009
10-00040-FOIA	12/17/2009	REQUEST FOR REFCO LLC'S 1-FR FOR 10/2005.	12/31/2009
10-00041-FOIA	12/22/2009	REQUEST FOR THE TRANSCRIPT, MINUTES, SLIDES AND OTHER MATERIAL FROM DECEMBER 9TH MEETING OF THE CFTC GLOBAL MARKETS ADVISORY COMMITTEE.	01/26/2010
10-00042-FOIA	12/24/2009	REQUEST FOR 1-FR'S FOR REFCO LLC AND CARGILL INVESTOR SERVICES INC.	12/31/2009
10-00043-FOIA	12/03/2009	REQUEST FOR A LIST OF FUTURE REGISTRATIONS PER YEAR FROM 1974 - 2008.	01/11/2010

<u>10-00044-FOIA</u>	12/30/2009	REQUEST FOR EVERY DRAFT PROPOSAL CIRCULATED INTERNALLY CONCERNING THE PLAN TO IMPOSE POSITION LIMITS ON ENERGY FUTURES PRODUCTS AND/OR OTHER COMMODITIES OF FINITE SUPPLY AND ALL COMMENTS SUBMITTED BY COMMISSIONERS AND STAFF IN RESPONSE TO EACH DRAFT.	09/23/2011
<u>10-00045-FOIA</u>	12/29/2009	REQUEST FOR INTERPRETATIVE LETTER NO. 86-02.	01/06/2010
<u>10-00047-FOIA</u>	01/07/2010	REQUEST FOR INFORMATION REGARDING COMPLAINTS, INVESTIGATIONS, VIOLATIONS, SANCTIONS, OR ENFORCEMENTSS ACTIONS RELATED TO: METACAPITAL MANAGEMENT LP, METACAPITAL MANAGEMENT LLC, AND DEEPAK M. NARULA.	01/29/2010
<u>10-00048-FOIA</u>	01/05/2010	REQUEST FOR SECURITY BACKGROUND INVESTIGATION FOR THE REQUESTER.	01/11/2010
<u>10-00049-FOIA</u>	01/08/2010	REQUEST FOR FILE NUMBER RF02-001	01/08/2010
<u>10-00050-FOIA</u>	01/08/2010	REQUEST FOR A LIST OF PUBLICATIONS AVAILABLE.	01/11/2010
<u>10-00052-FOIA</u>	01/14/2010	REQUEST FOR GUIDELINES THAT CMS PROVIDED TO WPS FOR RECONSIDERATIONS AND APPEALS.	01/20/2010
<u>10-00053-FOIA</u>	01/19/2010	REQUEST FOR RECYCLING CONTRACT FROM NY MUNICIPALITIES.	01/26/2010
<u>10-00054-FOIA</u>	01/18/2010	REQUEST ALL CORRESPONDENCE BETWEEN CFTC AND OTHER US OR FOREIGN REGULATORY AGENCY CONCERNING OR RELATING TO SUPERFUND.	04/06/2010
<u>10-00055-FOIA</u>	01/25/2010	REQUEST FOR ALL MATERIAL RELATED TO INTRADE, THE INTRADE PREDICTION MARKET, INTRADE THE PREDICTION MARKET LIMITED, TRADESPORTS LTD, WWW.INTRADE.COM, JOHN DELANEY, CARL WOLFENDEN, AND INTRADE.COM.	02/19/2010
<u>10-00057-FOIA</u>	01/20/2010	Request for information or records relating to MF Global, Ltd.	11/09/2010
<u>10-00058-FOIA</u>	02/03/2010	Request for information regarding FDA 510(k) K093520.	02/18/2010
<u>10-00059-FOIA</u>	02/05/2010	Request for CFTC order entered on September 27, 2010 in the enforcement case against Andrew Machata and Rolling Meadow Ranch, Inc. as described in CFTC Press Release 5237-06.	03/19/2010
<u>10-00060-FOIA</u>	02/05/2010	Request for various frequent FOIAs regarding the application of the Island Futures Exchange for Designation as a Contract Market.	02/18/2010
<u>10-00061-FOIA</u>	02/09/2010	Request for market data for CME Eurodollar futures contracts exchanged on the CME floor and Globex from 2007-2009, CTR data or time and sales data.	02/23/2010
<u>10-00062-FOIA</u>	02/11/2010	REQUEST FOR RECORDS RELATED TO ELX FUTURES, LP'S REUEST FOR APPROVAL OF AMENDMENT TO RULE IV-15A; SUBMISSION 10/20/09 REQUESTING A STAY; SUPPLIEMENTAL FILINGS, 11/12/09; LETTER, 1/4/2010; OTHER COMMUNICATION/MEETING WITH ELX; ELX RULE; NOTICE RA0907-1.	03/19/2010
<u>10-00063-FOIA</u>	02/19/2010	Request forwarded from NFA for information regarding Michael Weld Clark, NFA ID 203368.	02/19/2010
<u>10-00064-</u>	02/22/2010	Request for photos made when the RAAP was built in Dublin VA before WWI.	02/23/2010

FOIA			
<u>10-00065-FOIA</u>	02/17/2010	REQUEST FOR ANY AND ALL RECORDS OF COMMUNICATIONS BETWEEN CFTC & THE FOLLOWING GOVERNMENT OFFICIALS: MITCHELL DANIELS JR, PIYUSH JINDAL, SARAH PALIN, TIMOTHY PAWLENTY, JOHN THUNE, MICHAEL HUCKABEE, AND WILLARD ROMNEY AND THEIR OFFICES.	05/28/2010
<u>10-00066-FOIA</u>	03/02/2010	REQUEST FOR INFORMATIONAL MATERIAL.	03/12/2010
<u>10-00067-FOIA</u>	03/02/2010	REQUEST FOR INFORMATIONAL MATERIAL.	03/12/2010
<u>10-00068-FOIA</u>	02/25/2010	REQUEST FOR ALL MATERIAL SUBMITTED BY MEDIA DERIVATIVES INC IN CONNECTION WITH ITS APPLICATION TO BE DESIGNATED AS A CONTRACT MARKET, SEPTEMBER 11 & 25, 2009.	05/13/2010
<u>10-00069-FOIA</u>	02/25/2010	REQUESTING COPY OF THE MOST RECENT SF-2, US GOVERNMENT LEASE FOR REAL PROPERTY, FOR THE CFTC DC OFFICE.	03/26/2010
<u>10-00070-FOIA</u>	02/28/2010	REQUEST FOR DISCLOSURE DOCUMENTS FOR THE LAST 5 YEARS FOR DEKKER CAPITAL MANAGEMENT LLC, NFA 0345134 AND INCLINE INVESTMENT MANAGEMENT LLC, NFA 0418078.	03/12/2010
<u>10-00071-FOIA</u>	03/01/2010	REQUEST FOR ALL EMPLOYEES AT THE CFTC UNDER THE SENIOR EXECUTIVE SERVICE AND CONTACT INFORMATION.	03/12/2010
<u>10-00072-FOIA</u>	03/03/2010	REQUEST FOR ANY LETTERS ISSUED BY CFTC OR ITS STAFF AND THE RELATED INCOMING LETTERS TO WHICH THEY RESPOND, ADDRESSING THE ISSUE OF SO-CALLED PROPRIETARY TRADING SHOPS, ALSO KNOWN AS 'PRO SHOPS' AND PROPRIETARY ACCOUNTS DURING 2006 TO PRESENT.	04/15/2010
<u>10-00073-FOIA</u>	03/04/2010	REQUEST FOR THE MOST RECENT 1-FR FOR PEREGRINE FINANCIAL GROUP INC, VISION FINANCIAL MARKETS LLC, AND YORK BUSINESS ASSOCIATES LLC.	03/18/2010
<u>10-00074-FOIA</u>	03/04/2010	REQUEST FOR MEDICAID RECOVERY FROM JUNE 2002 THROUGH DECEMBER 2003.	03/12/2010
<u>10-00075-FOIA</u>	03/05/2010	REQUEST FOR INFORMATION ON GENERAL ELECTRIC, PFIZER, MICROSOFT, AMAZON, CISCO.	03/12/2010
<u>10-00076-FOIA</u>	03/05/2010	REQUEST FOR INFORMATION ON REGULATORY STOCK BROKERS AND THE TEST CALLED SERIES 7 FOR STOCK BROCKERS.	03/12/2010
<u>10-00077-FOIA</u>	03/05/2010	REQUEST FOR AGENCY SPECIFIC REPORTS PREPARED BY THE TRANSITION TEAM AND THE TRANSITION REPORT PREPARED BY CFTC DURING NOVEMBER 2008 AND JANUARY 2009.	04/29/2010
<u>10-00078-FOIA</u>	03/03/2010	REQUEST FOR THE LETTER DATED OCTOBER 6, 2009, WHICH ELX SAYS THE COMMISSION SENT TO IT IN CONJUNCTION WITH THE COMMISSION'S APPROVAL OF ITS EFF RULE.	03/16/2010
<u>10-00079-FOIA</u>	03/11/2010	REQUEST FOR CONTRACT SPECIFICATIONS FOR ANY COMMODITIES CONTRACTS THAT INVOLVE PRECIOUS METALS.	06/03/2010
<u>10-00080-FOIA</u>	03/17/2010	REQUEST FOR THE ORGANIZATIONAL CHART FOR THE OFFICE OF THE CHIEF INFORMATION OFFICER.	04/06/2010
<u>10-00081-FOIA</u>	03/17/2010	REQUEST FILE NUMBER RF05-008, REFCO 3/2004 - 2/2005.	03/18/2010
<u>10-00082-FOIA</u>	03/19/2010	REQUEST FOR CBOT'S ADDRESS AND MATERIAL ON FIGURING OUT PROFIT ON CONTRACTS.	03/29/2010

<u>FOIA</u>			
<u>10-00083-FOIA</u>	03/19/2010	REQUEST (SUBPOENA) FORWARDED FROM NFA FOR NON-PUBLIC REGISTRATION DOCUMENTS ON FILE FOR CHRISTOPHER LLOYD BOURNE, GLOBEFX CLUB INC. AND JEREMY MUNSON GLOBE.	03/29/2010
<u>10-00084-FOIA</u>	03/22/2010	REQUEST FOR CORRESPONDENCE BETWEEN CFTC AND ANY MEMBER, COMMITTEE, OR EMPLOYEE OF CONGRESS RELATED TO ALL FINANCIAL REGULATORY REFORM PROPOSALS AND SUGGESTIONS FROM MARCH 1, 2008 AND PRESENT.	04/18/2011
<u>10-00085-FOIA</u>	03/29/2010	REQUEST FOR LICENSING INFORMATION.	03/29/2010
<u>10-00086-FOIA</u>	03/25/2010	REQUEST FOR CFTC ANNUAL REPORT FOR 1974 TO 1980.	04/12/2010
<u>10-00087-FOIA</u>	03/18/2010	REQUEST FOR ALL RECORDS THAT INCLUDE DATA ON OR SUMMARIES OF THE SEARCH WARRANTS SUBPOENAS AND COURT ORDERS SERVED ANNUALLY BY CFTC INVESTIGATORS AGAINST: GOOGLE, YAHOO!, MICROSOFT, AOL, FACEBOOK, TWITTER, AND MYSPACE.	05/04/2010
<u>10-00089-FOIA</u>	04/13/2010	REQUEST FOR ALL INFORMATION OR RECORDS REGARDING MY APPLICATION TO THE CFTC CHIEF PRIVACY OFFICER POSITION.	05/13/2010
<u>10-00090-FOIA</u>	04/13/2010	REQUEST FOR RECORDS RELATING TO CFTC'S FOIA COMPLIANCE OFFICE'S UTILIZATION OF OUTSIDE LEGAL COUNSEL AND THE RESULTING COST WITH RESPECT TO MINORITY CONTRACTING, LIKE POLICIES RE RECRUITMENT, AFFIRMATIVE ACTION AND EQUAL OPPORTUNITY STATEMENT.	04/14/2010
<u>10-00091-FOIA</u>	04/15/2010	REQUEST FOR INFORMATION REGARDING NYMEX'S ACCESS TRADING SYSTEM	06/01/2010
<u>10-00092-FOIA</u>	04/19/2010	REQUEST FOR ENTIRE FILE CONCERNING JAN JURAN AND MAN FINANCIAL DBA MF GLOBAL INC.	05/04/2010
<u>10-00093-FOIA</u>	04/22/2010	REQUEST FOR A COPY OF THE LETTER DATED 10/10/89 FROM THE DIVISION OF TRADING AND MARKETING, REGARDING BANK GUARANTEE(S) TO COVER FORWARD PROFITS AND CLEARING EXPOSURES OF THE LONDON METAL EXCHANGE.	05/14/2010
<u>10-00094-FOIA</u>	04/22/2010	REQUEST FOR PUBLIC COPY OF A REPORT CALLED THE 1992 NYMEX ACCESS REPORTS REFERENCED WITHIN A CITATION ON A NOVEMBER 1994 REPORT WRITTEN BY MARY SHAPIRO ENTITLED "COMPUTERIZED TRADING".	05/14/2010
<u>10-00095-FOIA</u>	04/22/2010	REQUEST FOR ALL INFORMATION PERTAINING TO THE ETHICS CHARGES INVOLVING JOHN WISE AND THE CFTC.	06/03/2010
<u>10-00096-FOIA</u>	04/27/2010	COPY OF THE BASIC AWARDED CONTRACT INCLUDING THE STATEMENT OF WORK FOR THE CONTRACT AWARDED FROM SOLICITATION #CFIT09SO0080 UNDER THE PROFESSIONAL SERVICES FOR DEVELOPMENT OF CFTCS TRADE SURVEILLANCE SYSTEM PROGRAM. CONTRACTING OFFICER WAS NICK GRAHAM.	08/20/2010
<u>10-00097-FOIA</u>	04/26/2010	REQUEST FOR RECORDS RELATING TO CFTC'S FOIA COMPLIANCE OFFICE'S UTILIZATION OF OUTSIDE LEGAL COUNSEL AND THE RESULTING COST, LIKE, (PRIVATE LAW FIRM NAME, SUBJECT MATTER OF , COPIES OF AGREEMENTS, LEGAL FEES, NAMES OF CERTIFIED MINORITY).	04/29/2010
<u>10-00098-FOIA</u>	04/29/2010	REQUEST FORWARDED FROM NFA FOR DOCUMENTS RELATED TO JAMES GERARD GLYNN, NFA#406841.	06/16/2010
<u>10-00099-FOIA</u>	04/29/2010	REQUEST FOR DOCUMENTS RELATING TO THE USE OF COMMODITIES MARKETS FOR THE PURPOSE OF MONEY-	06/03/2010

FOIA		LAUNDERING AND OR TAX EVASION SINCE 2007.	
10-00100-FOIA	04/29/2010	REQUEST FOR VARIOUS INFORMATION REGARDING CFTC JOB OPENINGS 10-022, 10-023, AND 10-0024 FUTURES TRADING INVESTIGATOR.	05/13/2010
10-00101-FOIA	05/04/2010	REQUEST FOR ALL DOCUMENTS PERTAINING TO THE INVESTIGATION/ACTION AGAINST MF GLOBAL FILED BY CFTC IN DECEMBER, 2009.	11/09/2010
10-00102-FOIA	05/07/2010	REQUEST FOR DATA REGARDING S&P500 INDEX FUTURES AUDIT TRAIL DATA FROM 2005 - 2009.	05/28/2010
10-00103-FOIA	05/04/2010	REQUEST FOR INFORMATION, RECORDS OR TESTIMONY RELATING AND PRODUCED IN: IN THE MATTER OF MOORE CAPITAL MANAGEMENT LLP, ET AL., DOCKET# 10-09.	06/29/2010
10-00104-FOIA	05/12/2010	REQUEST FOR MOST RECENT 1-FR FOR PEREGRINE FINANCIAL GROUP, INC.	05/28/2010
10-00105-FOIA	05/13/2010	REQUEST FOR LOG OF FOIA REQUESTS FROM JANUARY 1, 2009 TO PRESENT.	05/14/2010
10-00106-FOIA	05/13/2010	REQUEST FOR ALL INFORMATION RELEASED TO DOW JONES (10-0013) INCLUDING INTERNAL DOCUMENTS REGARDING POSITION LIMITS DEBATE.	06/01/2010
10-00107-FOIA	05/13/2010	REQUEST FOR ALL DOCUMENTS REGARDING CFTC DOCKET NO. 10-10 AND 10-11, AGAINST MORGAN STANLEY AND UBS SECURITIES LLC	07/05/2010
10-00108-FOIA	05/19/2010	REQUEST FOR ACUVEST INC. AND PEREGRINE FINANCIAL GROUP INC.'S 1-FR-IB AND GUARANTEE AGREEMENTS FOR THE YEARS 2004 - 2009.	07/16/2010
10-00109-FOIA	05/19/2010	REQUEST FOR THE CONSENT ORDER BY WELLS FARGO BANK DATED ON OR ABOUT NOVEMBER 19, 1987 IN THE CENTRAL DISTRICT OF CALIFORNIA CASE NO. 87-07792.	05/28/2010
10-00110-FOIA	05/21/2010	REQUEST FOR THE MINUTES OF THE AGRICULTURAL ADVISORY COMMITTEE MEETINGS FOR THE LAST TWO YEARS.	06/10/2010
10-00111-FOIA	05/21/2010	CFTC RESEARCH PROJECT THAT STUDIES WHETHER OR NOT THE BEHAVIOR OF CRUDE SPREADS OVER THE PAST SEVERAL YRS WAS RELATED TO POSITIONS IN WEST TEXAS INTERMEDIATE CRUDE FUTURES POSITIONS OR OIL STORAGE ISSUES AT CUSHING IN CFTC MEMO TO TIMOTHY GEITHNER 8/21/09	06/10/2010
10-00112-FOIA	05/25/2010	REQUEST FOR RECORDS RELATED TO THE VOLATILE TRADING ACTIVITY THAT TOOK PLACE MAY 6, 2010.	08/20/2010
10-00113-FOIA	05/26/2010	REQUEST FOR DECISION IN THE MATTER OF PHILADELPHIA ALTERNATIVE ASSET MANAGEMENT LLC REGISTRANT, CFTC DOCKET NO. SD 08-05 DATE MARCH 6, 2009.	06/16/2010
10-00114-FOIA	05/26/2010	REQUEST COPY OF REPORTS COMPLETED BY THE PROMONTORY FINANCIAL GROUP, HIRED TO PERFORM A REVIEW OF CFTC'S DMO AND SURVEILLANCE FUNCTIONS.	10/28/2010
10-00116-FOIA	06/02/2010	REQUEST FOR RECORDS REGARDING RAY OLSON AKA SOARING PHOENIX LLC OR MORGAN DETHOUARS OR JO RAE DIENHART FROM ANY BANK OR TRADING ACCOUNTS BEARING THESE NAMES, INCLUDING FIRST BANK OF COLORADO, HARRIS TRUST & SAVINGS BANK AND RJ O'BRIEN.	08/10/2010
10-00117-FOIA	06/02/2010	REQUEST FOR THE "CONSENT" FILED BY WELLS FARGO BANK MENTIONED IN THE CONSENT ORDER BY WELLS FARGO BANK DATED ON OR ABOUT NOVEMBER 19, 1987.	06/22/2010
10-00118-FOIA	12/22/2009	REQUEST FOR COPIES OF ALL RECORDS RELATED IN ANY WAY - WITH THE EXCEPTION OF RECORDS COVERED BY MY REQUEST OF NOVEMBER 5, 2009 (10-0017) - TO TRAVEL BY	06/11/2010

		CFTC EMPLOYEES AND/OR FINANCED BY CFTC SINCE JANUARY 1, 2009.	
<u>10-00119-FOIA</u>	06/04/2010	REQUEST FOR A REPORT TO COMMISSIONERS ON ISSUES RAISED IN CONNECTION WITH MOVIE BOX OFFICE FUTURES PREPARED BY RICK SHILTZ OR OTHER STAFF.	09/30/2010
<u>10-00120-FOIA</u>	06/04/2010	Request for a memo from Wendy L. Gramm (former Chairperson) to Senator Patrick Leahy dated August 25, 1989.	08/03/2010
<u>10-00121-FOIA</u>	06/07/2010	REQUEST FOR ALL COMPLAINTS CONCERNING TRADING AND/OR MARKET PRACTICES AS THE CHICAGO CLIMATE FUTURE EXCHANGE; ANY INVESTIGATIVE SUBPOENAS ISSUED BY CFTC; AND ANY DISCLOSURE STATEMENTS FILED BY CCFX.	08/11/2011
<u>10-00122-FOIA</u>	06/08/2010	REQUEST FOR CANTOR FITZGERALD'S FILINGS RELATED TO EFFORTS TO CREATE A REGULATED, INTERNET-BASED EXCHANGE FOR DOMESTIC BOX OFFICE RECEIPT CONTRACTS (AKA MOVIE BOX OFFICE CONTRACTS).	08/11/2010
<u>10-00123-FOIA</u>	06/09/2010	REQUEST FOR ENTRY LEVEL PROFESSIONALS (LAWYERS, ECONOMIST, ACCOUNTANTS, AND EXAMINERS) SALARIES FOR THE PAST 20 YEARS.	06/16/2010
<u>10-00124-FOIA</u>	06/11/2010	REQUEST FOR ALL RESEARCH AND DOCUMENTATION PRODUCED/GATHERED IN RELATION TO TRADING ACTIVITY IN CRUDE OIL MARKETS ON SEPTEMBER 22, 2008 AND DAYS IMMEDIATELY PRIOR.	08/26/2010
<u>10-00125-FOIA</u>	06/15/2010	REQUEST FOR A LIST OF CREDIT CARD HOLDERS (NAME AND PHONE NUMBERS) WHO ARE AUTHORIZED TO BUY OFFICE SUPPLIES.	06/24/2010
<u>10-00126-FOIA</u>	05/25/2010	REQUEST FORWARDED FROM NFA FOR NON-PUBLIC DOCUMENTS REGARDING AMBER MAXWELL AND GARLON JAMES MAXWELL.	08/03/2010
<u>10-00127-FOIA</u>	06/23/2010	REQUEST FOR INFORMATION OR RECORDS REGARDING STEVE AGOPIAN OR AMERICAN FUTURES TRADING INC. SUBMITTED TO THE CFTC FROM JUNE 1, 2008 TO JUNE 23, 2010.	08/18/2010
<u>10-00128-FOIA</u>	06/28/2010	REQUEST FOR THE ERIS EXCHANGE LLC EXEMPT BOARD OF TRADE NOTIFICATION FILED WITH THE CFTC AS OF 2/4/2010 AND THE ACKNOWLEDGEMENT LETTER SENT BY CFTC ON 4/30/2010.	11/09/2010
<u>10-00129-FOIA</u>	07/08/2010	REQUEST FOR INFORMATIONAL MATERIAL.	07/15/2010
<u>10-00130-FOIA</u>	05/04/2010	REQUEST FOR INFORMATION REGARDIING CFTC ACTION IN THE MATTER OF MOORE CAPITAL MANAGEMENT LP, MOORE CAPITAL ADVISORS, LLC AND MOORE ADVISORS LTD., CFTC DOCKET NO. 10-09.	12/07/2010
<u>10-00131-FOIA</u>	06/17/2010	REQUEST FOR ALL DOCUMENTS RELATING TO THE ORDER ISSUED BY CFTC ON JULY 27, 1995 AGAINST MG REFINING AND MARKETING, INC. AND MG FUTURES, INC.	10/22/2010
<u>10-00132-FOIA</u>	06/28/2010	REQUEST FOR THE COMPLAINT AND SETTLEMENT DECISION DATED NOVEMBER 2, 1993 IN THE CASE OF MCVEAN TRADING & INVESTMENT, DOCKET NO. 94-4 AS DESCRIBED IN CFTC RELEASE #3712-93.	08/03/2010
<u>10-00133-FOIA</u>	07/30/2010	REQUEST FOR EDUCATIONAL MATERIAL CONCERNING FUTURES AND COMMODITIES.	08/03/2010
<u>10-00134-FOIA</u>	07/29/2010	REQUEST FOR A LIST OF THE NAMES, GRADES AND YEARLY SALARIES OF ALL ATTORNEYS EMPLOYED BY THE CFTC AS OF 7/29/2010.	09/07/2010
<u>10-00135-FOIA</u>	08/04/2010	REQUEST FOR MATERIAL CONCERNING CFTC REPORT ENTITLED "STAFF REPORT ON COTTON FUTURES AND OPTION MARKET ACTIVITY DURING THE WEEK OF MARCH 3, 2008".	10/18/2010

<u>10-00136-FOIA</u>	08/13/2010	REQUEST FOR MOST RECENT DREDGE AND FILL PERMIT FOR MEADOW POINTE IN PASCO COUNTY.	08/14/2010
<u>10-00137-FOIA</u>	08/17/2010	REQUEST FOR INFORMATION FOR THE FOLLOWING IN RESPONSE TO RFQ NO. CFIT-10-SO-9152: NAMES OF OTHER BIDS AND AMOUNTS BID; AMOUNT SUBMITTED BY OTHER BIDDERS; AND NAMES OF OTHER BIDDERS.	09/07/2010
<u>10-00138-FOIA</u>	08/17/2010	REQUEST FOR VARIOUS INFORMATION REGARDING LAWRENCE J. WOLF; GERALD E. WORTMAN; SHERMAN COUNTY MANAGEMENT INC; SHERMAN COUNTY BANK; AND PRIME TRADING COMPANY INC.	09/30/2010
<u>10-00139-FOIA</u>	08/19/2010	REQUEST FOR A COPY OF EACH ETHICS WAIVER PROVIDED AT THE CFTC SINCE JANUARY 1, 2001.	09/07/2010
<u>10-00141-FOIA</u>	08/20/2010	REQUEST FOR ANY SUBMISSIONS BY NYMEX OR CME REGARDING: NYMEX RULE 8.03; CME RULE408.C; NYMEX RULE 8.26; AND CME RULE 411.	09/10/2010
<u>10-00142-FOIA</u>	08/23/2010	REQUEST FOR REGISTRATION AND FILINGS FOR SHUTCO BROKERAGE AT THE CME FROM APRIL 1994 - JANUARY 1996, PARTICULARLY ANY SANCTIONS OR DISCIPLINARY ACTIONS AGAINST THE COMPANY OR ITS OFFICERS.	09/07/2010
<u>10-00143-FOIA</u>	08/24/2010	REQUEST FORWARDED FROM NFA FOR REGISTRATION DOCUMENTS FOR CHARLES E. DAVIDSON, NFA# 198322.	09/20/2010
<u>10-00144-FOIA</u>	08/27/2010	Request all documents relating to any investigation into Larry M. Webman; Melvin Webman and Lyle Wexler in CFTC v. Webman, et al.	04/04/2012
<u>10-00145-FOIA</u>	08/31/2010	Request all public and non-public records for: Velocity Futures, LP or Velocity Futures, LLC (NFA ID #0317696); Jack Edward Earnest (NFA ID # 0317697) ; and Norbert Grupe (NFA ID # 0038749).	11/09/2010
<u>10-00146-FOIA</u>	09/02/2010	Request Peregrine Financial Group Inc. Focus Reports or 1-FRs for the periods ended 12/31/09 and 7/31/10.	09/20/2010
<u>10-00147-FOIA</u>	09/02/2010	Request for Penson,GHCO Focus Reports or 1 FRs for the periods ended 12/31/09 and 7/31/10.	09/20/2010
<u>10-00148-FOIA</u>	09/02/2010	Request for documents issued from the CFTC (new or updated) between 4/1/09 - 8/31/2010: Employee Handbook, Personnel Instructions, Policies, Memoranda, Records, Management Directives & any additional employee manuals, guides, or arbitration awards.	09/20/2010
<u>10-00149-FOIA</u>	09/01/2010	Request for FOIA log for August 2010.	09/03/2010
<u>10-00150-FOIA</u>	08/02/2010	FORWARD FROM NFA REQUEST FOR NON-PUBLIC INFORMATION FOR ALEXEI V. VHEKHLOV; PETTER KAMBOLIN; SYSTEMATIC ALPHA FUTURES; SYSTEMATIC ALPHA MANAGEMENT LLC; AND THOR ASSET MANAGEMENT INC.	09/07/2010
<u>10-00151-FOIA</u>	08/15/2010	REQUEST FOR INFORMATIONAL MATERIAL.	09/07/2010
<u>10-00152-FOIA</u>	09/07/2010	REQUEST ANY RECORDS OR REGISTRATIONS FOR DOUGLAS A. DUCEY, BORN APRIL 1964.	12/08/2010
<u>10-00153-FOIA</u>	09/10/2010	REQUEST FOR ALL FOIA REQUEST WHERE THE REQUEST AROUSED THE SUSPICION OF THE PERSON OR AGENCY WHO RECEIVED THE FOIA AND WHERE THE REQUEST WAS PROVIDED TO AN INVESTIGATOR OR INVESTIGATIVE AGENCY TO DETERMINE IF THE REQUEST WAS FOR CRIMINAL PURPOSES.	09/10/2010

<u>10-00154-FOIA</u>	09/12/2010	REQUEST FOR A FINAL CONTRACTOR PERFORMANCE RATING REPORTS FOR THE MOST RECENTLY 3 YEAR PERIOD.	09/30/2010
<u>10-00155-FOIA</u>	09/14/2010	REQUEST FOR INFORMATION REGARDING "QUOTE STUFFING" AND/OR "LATENCY ARBITRAGE" AND/OR FINRA CASE #20070076782-01 (TRILLIUM BROKERAGE) THAT WERE COMPILED SINCE JANUARY 1, 2009.	03/30/2011
<u>10-00156-FOIA</u>	09/21/2010	REQUEST FORWARDED FROM THE SECRETARIAT'S OFFICE FOR AN ORDER DATE 2/9/83 IN REPARATION #77-269.	09/21/2010
<u>10-00157-FOIA</u>	09/23/2010	REQUEST FOR ALL NOTICES ISSUED TO EXCHANGES AND CLEARINGHOUSES DMO NOTIFYING THEY WERE IN VIOLATION OF A CORE PRINCIPLE(S), FROM WHEN CFMA OF 2000 TOOK EFFECT THROUGH SEPTEMBER 24, 2010; COPIES OF RESPONSES FROM ENTITIES SHOWING PROOF OF CORRECTIVE ACTION	02/02/2011
<u>10-00158-FOIA</u>	09/28/2010	REQUEST FOR PASSPORT INFORMATION.	09/30/2010
<u>10-00159-FOIA</u>	09/29/2010	REQUEST FOR ANY INFORMATION LINKING ME TO ANY ONGOING INVESTIGATION/STUDIES INVOLVING MILITARY'S "NON-LETHAL WEAPONS PROGRAMS", BEHAVIORIAL MODIFICATION, THE USE OF ELECTROMAGNETIC, STATIC, MICROWAVE , BIOCHEMICAL, MICROCHIP TECHNOLOGIES, AND CIA,, ETC	09/30/2010
<u>11-00001-AP</u>	11/17/2010	Not satisfied with response.	05/05/2011
<u>11-00001-FOIA</u>	10/01/2010	Request for documents related to CFTC's previous investigation of Edward Sarvey and the lawsuit file by the CFTC (CFTC v. Sarvery, et al., No. 08 C 192) in the United States District Court for the Northern District of Illinois.	07/20/2011
<u>11-00001-REF</u>	08/27/2010	Request for Documents relating to Investigation of Larry M. Webman; Melvin Webman and Lyle Wexlcer in CFTC v. Webman, et al	07/31/2012
<u>11-00002-AP</u>	12/22/2009	XXXXXXX	03/08/2012
<u>11-00002-FOIA</u>	10/01/2010	Request for all documents related to "the several specific steps" that White House Chief of Staff Rahm Emanuel and White House Counsel to the President Bob Bauer instructed your agency to take in a March 16, 2010 memo to all agency and department heads.	10/18/2010
<u>11-00003-AP</u>	03/21/2011	Re: 11-00031-FOIA -- Request for the Disclosure of Withheld Responsive Materials and/or a Detailed Nature of the Withheld Materials	06/01/2011
<u>11-00003-FOIA</u>	10/07/2010	Request for tick data on E-mini SP500 futures from 1997 to 2009.	12/20/2010
<u>11-00003-REF</u>	06/15/2011	FOIA Referral from Federal Reserve System Relating to [f]urther detail on information contained on page 3 of the minutes of the Board of Governors of the Federal Reserve dated September 16, 2008 Regarding American International Group, Inc. (AIG)	07/08/2011
<u>11-00004-AP</u>	03/22/2011	Appeal of FOIA Request No. 11-00046	07/28/2011
<u>11-00004-FOIA</u>	10/07/2010	Request for documents relating to Soaring Phoenix.	11/18/2010
<u>11-00004-</u>	06/15/2011	NFA Referral of Non-Public Registration Documents on file with NFA for Timothy D. Lyons	04/04/2012

REF			
<u>11-00005-AP</u>	09/13/2011	Appeal of FOIA 11-00136 seeking SF-50s of Employees Earning \$225,000+	02/14/2013
<u>11-00005-FOIA</u>	10/07/2010	Request for information, records, and/or testimony concerning, relating to, and/or produced in connection with the following proceeding: In the Matter of Rosenthal Collins Group, LLC, CFTC Docket No. 10-21.	02/28/2012
<u>11-00006-FOIA</u>	10/12/2010	Request for any document between the North American Derivatives Exchange ("Nadex") and the Chicago Mercantile Exchange Group Inc. or any of its subsidiaries.	01/13/2011
<u>11-00007-FOIA</u>	10/14/2010	Request for any and all records of communication between CFTC and Mitchell Elias Daniels Jr., Piyush Jindal, Sarah Louise Palin, Timothy James Pawlenty, John Randolph Thune, Michael Dale Huckabee, Willard Mitt Romney, Newton Leroy Gingrich, and Haley Reeves Barbour.	12/17/2010
<u>11-00008-FPA</u>	11/19/2010	Request for any information CFTC has regarding Deondrea Brother Boulange.	03/01/2011
<u>11-00009-FOIA</u>	10/21/2010	Request for records, transcripts, depositions, investigative notes and oral and written communications between CFTC and outside parties pertaining to the matter of CFTC v. ConAgre Trade Group, CFTC Docket No. 10-14.	01/13/2012
<u>11-00009-FPA</u>	07/12/2011	Request for all Documents that Relate to the EEO Complaint Filed by Tempest Thomas and that Refer to Bruce C. Levine and Thaddeus Glotfelty Directly or Indirectly	07/28/2011
<u>11-00010-FOIA</u>	10/21/2010	Request for names and titles of all the former listed principals and any former listed holding company(s) for Hedgepoint Partners LLC, NFA# 354007.	10/27/2010
<u>11-00010-FPA</u>	07/27/2011	Request for all information that relates to any and all complaints, formal or informal, made to the Commission's EEO office that pertains to Bruce C. Levine	08/10/2011
<u>11-00011-FOIA</u>	10/21/2010	Request for information regarding Moore Capital Management LP, civil case number 10CV3617 from US District Court for the Southern District of New York, particularly whether CFTC has a current investigation against Moore (more current than CFTC Docket No: 10-09).	10/26/2010
<u>11-00011-FPA</u>	07/27/2011	Request for information that releates to any and all complaints, formal or informal, made to the Commission's EEO office that pertains to Thaddeus J. Glotfelty	08/10/2011
<u>11-000124-FOIA</u>	06/20/2011	Information or Records Regarding Implementation of Dodd-Frank VII from May 1, 2011 through June 20, 2011, Including Documents and Emails Concerning Which of the Proposed Rules Could be Finalized by July 16, 2011	12/09/2011
<u>11-00012-FOIA</u>	10/22/2010	Request for information on Wolfgang Flotto, Wolfgang Floetli, or Wolfgang K. Flottl.	11/18/2010
<u>11-00013-FOIA</u>	10/13/2010	Request for OIG information for 2005 - 2010.	11/24/2010
<u>11-00015-FOIA</u>	10/29/2010	Request for any and all purchase orders, delivery orders, and/or contracts entered into within the past ten years where CFTC and Verizon Communications Inc. were parties.	12/08/2010
<u>11-00016-FOIA</u>	11/08/2010	Requeust for all documents in connection with the action entitled In the Matter of Moore Capital Management LP, Moore Capital Advisors LLC and Moore Admisors Ltd., docket no. 10-09	12/08/2010
<u>11-00017-FOIA</u>	11/08/2010	Request for the March 13, 2009 Promontory Report sent to Sarah Lynch in response to FOIA No. 10-0114 on 10/28/2010.	11/10/2010

<u>11-00018-FOIA</u>	11/10/2010	Request for 1-FR for Refco for the period of 3/1/2004 - 2/28/2005.	11/16/2010
<u>11-00020-FOIA</u>	11/12/2010	Request for any information regarding an investigation and/or monitoring conducted by the CFTC regarding a possible COMEX silver futures and/or options market manipulation covering the time period January 1, 2008 to the present.	09/27/2012
<u>11-00021-FOIA</u>	11/10/2010	Request for copies of correspondence to the CFTC between January 1, 2010 - present from various US House Representatives.	12/08/2010
<u>11-00022-FOIA</u>	11/11/2010	Request for correspondance sent to CFTC from members or staff of the US House and US Senate from June 1, 2010 - November 1, 2010.	03/01/2011
<u>11-00023-FOIA</u>	11/17/2010	Request for information for starting a commodity exchange.	12/08/2010
<u>11-00024-FOIA</u>	11/19/2010	Request for the CFTC decision Docket No. 93-5 dated December 5, 1996	12/15/2010
<u>11-00025-FOIA</u>	11/18/2010	Request for all data or information concerning or obtained in connection with any investigation of Farms.com Inc., Farms.com Risk Management Ltd., Victor Aideyan and/or Maurizio.	03/20/2012
<u>11-00026-FOIA</u>	11/22/2010	Request for the CFTC OIG semiannual for the period ending September 30, 2005.	12/10/2010
<u>11-00027-FOIA</u>	11/10/2010	Request for informational material.	12/09/2010
<u>11-00028-FOIA</u>	11/06/2010	Request for informational material.	12/09/2010
<u>11-00030-FOIA</u>	12/10/2010	Request for any and all records relating to the CFTC's referral of forged letters to the US Justice Department on December 1.	12/17/2010
<u>11-00031-FOIA</u>	12/10/2010	Request for the data referenced by the CFTC in the portion of its Notice of Propose Rulemaking on Core Principles and Other Requirements for Contract Markets, RIN 2028-AD09, setting forth the Minimum Centralized Market Trading Percentage Requirement.	02/25/2011
<u>11-00032-FOIA</u>	12/10/2010	Request for copies of the CFTC congressional correspondence log from 7/1/2010 to the present and any and all congressional correspondence between 7/1/2010 to the present.	03/17/2011
<u>11-00033-FOIA</u>	12/10/2010	Request for any and all records relating to government contract PIID: CFEN09CO0236, including the original terms of the contract and any/all results, documents, findings of the contract.	04/07/2011
<u>11-00034-FOIA</u>	11/29/2010	Request forwarded from NFA for non-public documents on file for Steven Brewer.	12/15/2010
<u>11-00035-FOIA</u>	12/13/2010	Request for materials concerning CFTC action In the matter of Anthony J. DiPlacido, CFTC Docket No. 01-23.	07/01/2011
<u>11-00036-FOIA</u>	12/16/2010	Request for 5 copies of CFTC's directory.	12/22/2010
<u>11-00037-FOIA</u>	10/25/2010	Requester states that she is a teacher whose class is studying aspects of the US government; she seeks information regarding CFTC's area of expertise.	12/23/2010
<u>11-00038-</u>	12/23/2010	Requester seeks information on import-export of onions between the U.S and India	01/13/2011

<u>FOIA</u>			
<u>11-00039-FOIA</u>	12/13/2010	Request for General Information on Trading Commodity Futures	01/10/2011
<u>11-00040-FOIA</u>	01/06/2011	11-00040-FOIA Incoming Letter	01/24/2011
<u>11-00041-FOIA</u>	01/10/2011	Request for information on the CFTC's investigation into the 'flash crash' of May 6, 2010 and the CFTC's efforts to restore market stability and confidence. Requestor seeks: CFTC records referred to the Treasury and the National Economic Council pertaining to the market events of May 6, 2010; CFTC records of meetings with chief executives of trading venues on May 10, 2010; CFTC records of interviews with market participants; and CFTC records of communications with significant market participants	08/31/2011
<u>11-00042-FOIA</u>	01/16/2011	Requestor seeks a copy of the internal CFTC report prepared by the Promontory Financial Group and is referenced in the Dow Jones Newswires article dated November 12, 2010.	02/28/2011
<u>11-00043-FOIA</u>	01/17/2011	Requestor seeks a true copy (from the negatives) of his photo which he states are attached to his email request	01/20/2011
<u>11-00044-FOIA</u>	01/18/2011	Requestor Seeks Interrogatories, Requests for Production of Documents and Tangible Things, and/or Requests for Admission, as well as Answers, Responses, or Objections Thereto Sent and Received by the Commission in the Lawsuit Captioned CFTC v. Sentinel Management, et al., No. 08CV2410 (Northern Dist. of Ill.)	04/18/2012
<u>11-00045-FOIA</u>	01/19/2011	Requestor Seeks all Documents and Information Related to the CFTC's Investigation of Dairy Farmers of America, Inc. and Its Officers Gary Hanman and Gerald Bos Resulting in the December 16, 2008 CFTC Order, Docket No. 09-02	02/09/2012
<u>11-00046-FOIA</u>	01/20/2011	Requestors Seeks Any Documents, Communications, Correspondence, Notes of Telephone Conversations, Affidavits, Declarations, Transcripts, Witness Statements or Customer Complaints in Connection with Violations of the Commodity Exchange Act by Global Asset Management, Inc., American Precious Metals, Inc., Berkshire Asset Management, LLC, Diversified Precious Metals International, Inc., International Metals Management, Leland National Gold Exchange, and United States Metal Corporation	04/01/2011
<u>11-00047-FOIA</u>	01/17/2011	Requestor Seeks Invoices for Furniture Cleaning, Carpet Cleaning and Installation and Repair Services Performed Within the Last Two Years	02/18/2011
<u>11-00048-FOIA</u>	01/12/2011	Request for General Information on Commodity Pools, Commodity Pool Operators, and Commodity Trading Advisors	01/25/2011
<u>11-00049-FOIA</u>	01/24/2011	Request for A Copy of the Commodity Futures Trading Commission's FOIA Log from July 1, 2010 to January 1, 2011	04/21/2011
<u>11-00050-FOIA</u>	01/24/2011	Request for Financial Pool Reports of Spark Management, LP	04/29/2011
<u>11-00051-FOIA</u>	01/28/2011	Requests Information, Documents, Records, and/or Testimony Concerning, Relating to, and/or Produced In the Matter of Andrew W. Daniels, Edward L. Taylor, Glenn A. Swanson, Global Asset Advisors d/b/a Daniels Trading, and Daniels Trading Group LLC, CFTC Docket No. 11-05	03/23/2012
<u>11-00052-FOIA</u>	01/25/2011	Request for I-130 Form for Jagruti Patel (WAC-05-029-53370_	02/16/2011

<u>11-00053-FOIA</u>	01/27/2011	Request a Copy of Notes Taken by CFTC Staff After Review of Internal Review by KPMG of BP's Energy Trading Practices in 2006	10/05/2011
<u>11-00054-FOIA</u>	01/06/2011	Request for Documents Pertaining to Futures Trading Activities Engaged in by Agape World	03/24/2011
<u>11-00055-FOIA</u>	01/31/2011	Request for the Names of Individuals Who Received Relief from the Judgment Against the Defendants in CFTC v. FTI Financial Group, et al., (97-Civ-7061)	02/14/2011
<u>11-00056-FOIA</u>	02/03/2011	Request for Copy of Leverage Contract(s) Submitted with an Application to Register a Leverage Commodity	05/05/2011
<u>11-00057-FOIA</u>	01/05/2011	Request for Information or Records of all Currently Registered Series-3 Brokers	02/08/2011
<u>11-00058-FOIA</u>	01/04/2011	Request for the Results of the Investigation of Mike Dumitru & International Currency Market Management, Corp.	03/30/2011
<u>11-00059-FOIA</u>	01/06/2011	Request Copies of Career and Education History of CFTC Senior Staff	03/08/2011
<u>11-00060-FOIA</u>	02/07/2011	Request for Documents Relating to CFTC Docket No. 11-05, Order Instituting Proceedings Pursuant to Sections 6(c) and 6(d) of the CEA, and Commission Order dated January 26, 2011	03/23/2012
<u>11-00061-FOIA</u>	02/09/2011	Letters to Agency from Members of Congress	09/08/2011
<u>11-00062-FOIA</u>	02/04/2011	Copies of Various Applications for Designation as a Contract Market Submitted by the Chicago Board of Trade in 1982	03/24/2011
<u>11-00063-FOIA</u>	02/14/2011	CFTC's Use of a Pricing Benchmark of the Futures Contract for West Texas Intermediate Crude Oil Traded on the New York Mercantile Exchange	08/31/2011
<u>11-00064-FOIA</u>	02/16/2011	Request for a Copy of a Contract Hiring Deloitte to Conduct a Series of Focus Groups and Help with other Logistical Planning for Dodd-Frank	02/18/2011
<u>11-00066-FOIA</u>	02/14/2011	Referral from the Office of Government Ethics in Response to a Request for a Copy of All Communication Between the Office of Government Ethics and the Commodity Futures Trading Commission Between January 1, 2010 and the Present Day	02/24/2011
<u>11-00067-FOIA</u>	02/22/2011	Request for CBOT Wheat, KCBT Wheat, CBOT Soybeans, CBOT Soybean Oil and CBOT Corn Supplemental Commodity Index (CIT) Report for the Years 2004-2005	06/16/2011
<u>11-00068-FOIA</u>	02/17/2011	Request for Commitment of Traders Reports	08/19/2011
<u>11-00069-FOIA</u>	02/22/2011	Request for Listing of Outstanding Uncashed Checks Issued by the CFTC between 2000-2009	03/15/2011
<u>11-00070-FOIA</u>	02/18/2011	Request for FOIA Logs from November 1, 2010 through the Present Date	03/02/2011
<u>11-00071-FOIA</u>	03/08/2011	Request for Documentation Relating to the Deportation of Jaime Solis Rodriguez	03/08/2011
<u>11-00072-FOIA</u>	03/04/2011	Request for pdf copy of Cargill Investor Services, Inc., Form 1-FR-FCM for the period of 8/1/2005 - 8/31/2005	03/15/2011
<u>11-00073-FOIA</u>	03/03/2011	Request for Line-Item Listing of all Travel Expenses and Schedules for Chairman Gary Gensler from 2009 through March 3, 2011	04/12/2011

<u>11-00074-FOIA</u>	03/03/2011	Requestor Seeks Information on How to Read Stock in the Newspaper	03/08/2011
<u>11-00075-FOIA</u>	02/25/2011	Request Copies For an Accounting of Legal Expenses Charged to the Agency for Services Involving Congressional Testimony, Hearings, Investigations and Inquiries from 2000 to the Present	03/15/2011
<u>11-00076-FOIA</u>	02/25/2011	Request for documents from July 21, 2010 - Present that Discuss Cost Benefit Analysis Issues for Dodd-Frank Rulemaking	09/27/2011
<u>11-00077-FOIA</u>	02/25/2011	Request for Documents, Notes, and Communications in 2010 Relating to Referrals to the Office of Inspector General for Investigation into Potential Violations of 18 U.S.C. 207(a)(1)	04/13/2011
<u>11-00078-FOIA</u>	02/07/2011	Request for Copies of all Petitions Filed to Date with the CFTC by Entitites Operating as Exempt Commercial Markets and Petitions Filed to Date by Entities Operating as Exempt Boards of Trade	03/29/2011
<u>11-00079-FOIA</u>	02/25/2011	Request for Copies of FOIA Requests Submitted on or After January 26, 2011 Which Refer to In the Matter of Andrew W. Daniels, et al., CFTC Docket No. 11-05 ("Settlement Order")	04/14/2011
<u>11-00080-FOIA</u>	02/25/2011	Request for Most Recent Form Required by the CFTC to Apply for Registration of Leveraged Commodities; the Most Recent form Required by the CFTC for Registration as a Leveraged Transaction Merchant with either the CFTC and/or the NFA; Copy of the Last Application for Registration of Leveraged Commodities approved by the CFTC; and the Last Applicaton Approved for Registration as a Leveraged Transaction Merchant	05/16/2011
<u>11-00082-FOIA</u>	03/11/2011	Request for all Investigatory Records, all Enforcement Actions Taken Against the Defendants, All Litigation Files, All Dispositions, Including Decisions, Rulings, Judgments, Orders, Pleas, and Settlements In the Matter of CFTC v. Pacific Bullion, et al., Civ No. 92-MISC. 259 (DSH) (EDNY filed Jan. 27, 1998)	04/26/2011
<u>11-00083-FOIA</u>	03/15/2011	Request for Information or Records Regarding Incentive Programs Offered to Market Makers for Trading in Futures and Options in Eurodollars and U.S. Treasuries by the CME or the CBOT from January 2, 2002 - Present; and all Rule Proposals and Related Documents Regarding the GFX Corporation from January 2, 2002 - Present	06/21/2011
<u>11-00084-FOIA</u>	03/22/2011	Request for Copy of Latest Disclosure Document of Commodity Trading Advisory Aetonian Capital LLC (NFA ID# 0407174)	03/25/2011
<u>11-00085-FOIA</u>	02/25/2011	Request for Transcript of Paul Nockels's Deposition In the Matter of G. Victor Johnson, II, McGladrey & Pullen, LLP, Altschuler, Melvoin & Glasser, LLP, CFTC Docket No. 11-01	05/03/2011
<u>11-00086-FOIA</u>	02/23/2011	Requests Listing of all Current CFTC Staff	04/27/2011
<u>11-00087-FOIA</u>	02/28/2011	Request for Correspondence and Other Information Requested by or Provided to Sen. Scott Brown between February 4 2010 - Present	04/21/2011
<u>11-00088-FOIA</u>	03/18/2011	Request for a Listing of Commissioners since 1975 and their Party Affiliations	04/01/2011
<u>11-00089-FOIA</u>	02/07/2011	Request for Agency Information and Records Relating to Meetings Between CFTC Staff and Goldman Sachs, JP Morgan Chase on Proposed CFTC Rules under Dodd-Frank Act	08/31/2011
<u>11-00090-FOIA</u>	03/01/2011	Request for Information and Records that Relate to CFTC's October 14, 2010 External Meeting with Barclays Capital, Inc.	08/31/2011
<u>11-00091-</u>	04/04/2011	Request for Documents the CFTC has filed with OMB that	04/12/2011

<u>FOIA</u>		Describes What the Agency Would Do in Case of a Federal Government Shutdown	
<u>11-00092-FOIA</u>	02/24/2011	Correspondence and Internal Communications to and from Brooksley Born Relating to the Regulation of Over the Counter Derivatives during her Tenure as Chairwoman of the CFTC	04/26/2011
<u>11-00093-FOIA</u>	04/06/2011	Request Information Regarding Any Investigation (Informal or Formal) Conducted by the CFTC of RBS Semptra Commodities, Semptra Energy Trading LLC, Semptra Energy or RBS PLC From June 2009 to the Present	04/23/2012
<u>11-00094-FOIA</u>	03/29/2011	Request for a Copy of 1998-1999 CFTC Report on the Implementation of the Globex Trading System of the Chicago Mercantile Exchange	08/26/2011
<u>11-00095-FOIA</u>	03/28/2011	Request for Copies of Emails or Texts to and from Chairman Gensler from March 21-28, 2011 that Include at Least One of the Following Terms: Silver; Position Limits; Shorts; JPM; Morgan; GS; BM; Goldman; 3/30; and March 30	09/30/2011
<u>11-00096-FOIA</u>	03/18/2011	Request for a Copy of Hedging Definitions and the Use of Financial Futures and Options: Problems and Recommendations for Reform, Report of the Financial Products Advisory Committee of the Commodity Futures Trading Commission, June 1987	04/18/2011
<u>11-00097-FOIA</u>	03/21/2011	Request for Copies of or Access to Congressional Correspondence, Including both Correspondence from and to Members of Congress with the Following Codes: 20100036; 20110002; 20110001; U201101006; U201101635; and U20110012	06/10/2011
<u>11-00098-FOIA</u>	04/19/2011	Request for Copy of Peer Review Audit of the CFTC Inspector General by the Inspector General of the Federal Election Commission	05/18/2011
<u>11-00099-FOIA</u>	04/13/2011	Request for Correspondence and Other Information Requested by or Provided to Congressman Dean Heller between Jan. 4, 2006 - Present	04/28/2011
<u>11-00100-FOIA</u>	03/28/2011	Request for Information on Becoming a Commodity Futures Trader	04/28/2011
<u>11-00101-FOIA</u>	02/02/2011	Request for Information, Documents, Records, and/or Testimony Concerning, Relating to, and/or Produced in Connection with an Investigation Relating to the Alleged Manipulation by BP of the Next-Day Natural Gas Market at Houston Ship Channel During October and November 2008	12/07/2011
<u>11-00102-FOIA</u>	05/02/2011	Request for Information on the Entity Futures, Inc., Founded in 1948 and Closed Before 1989	08/31/2011
<u>11-00103-FOIA</u>	04/11/2011	Request for all Documents Provided by Wells Fargo Bank to the Division of Enforcement after February 2, 2011 Relating to Beverly Potter	03/08/2012
<u>11-00104-FOIA</u>	03/30/2011	Request for a Copy of the Division of Enforcement's Administrative Staff Manual and Instructions to the Staff	06/04/2012
<u>11-00105-FOIA</u>	05/02/2011	Request for a Copy of all Statements Filed with the General Counsel between January 1, 2006 and April 30, 2011, by Former Commission Members or Employees Who Within Two Years After Leaving the CFTC Were Employed or Retained by Outside Parties on Matters in Which They Expected to Appear Before the Commission	08/19/2011
<u>11-00106-FOIA</u>	05/16/2011	Request for Information Regarding all Reported Enforcement Tips, Complaints, and Incidents of Fraud or Wrongdoing Involving Potential Violations of Policy, Laws and Regulations reported to the CFTC from January 2005 to the Present	08/31/2011
<u>11-00107-FOIA</u>	05/13/2011	Request for Historical Data on Maintenance Margin Requirements and Initial Margin Requirements for all Contracts	09/19/2011

<u>FOIA</u>		Covered by the CFTC	
<u>11-00108-FOIA</u>	05/26/2011	Request for Copies of Chairman Gary Gensler's Calendar for the Period Covering May 25, 2010 through the Present, Including Meetings, Phone Calls, and other Contacts	03/08/2012
<u>11-00109-FOIA</u>	05/23/2011	Consent Order, Robert A. Nagy, CFTC Docket No. 84-28	06/02/2011
<u>11-00110-FOIA</u>	05/25/2011	Request for Copies of Documents Sent in Response to Previous FOIA Request Filed by Ann Davis and Chp Cummins of the Wall Street Journal on August 31, 2006 Relating to Docket No. 02-CRO-0069, BP Corporation North America	08/25/2011
<u>11-00111-FOIA</u>	05/09/2011	Request for a List of FOIA requests from 2010 about Which a Political Appointee (Person Appointed under Schedule C, a Non-Career Member of the SES, or Senate-Confirmed Appointee) Personally Made an Inquiry, Personally Reviewed, or Personally had a Hand in the Disposition of the Request	11/16/2011
<u>11-00112-FOIA</u>	05/05/2011	Request for Information on Personal Account With Global Analytics Futures	06/07/2011
<u>11-00113-FOIA</u>	05/25/2011	List of Meetings in Calendar Year 2010 Between Employees of the CFTC's Office of Inspector General and White House Officials	07/01/2011
<u>11-00114-FOIA</u>	06/06/2011	Request for Copy of the Interagency Agreement Between the CFTC's Office of Inspector General and the Treasury Inspector General to Investigate the Complaint Filed by Judge Bruce Levine in December 2010	06/08/2011
<u>11-00115-FOIA</u>	05/25/2011	Request for A List of Complaints or Requests for Investigation Received by the CFTC Office of Inspector General in Every Calendar Year Between 2000 and 2010	08/16/2011
<u>11-00116-FOIA</u>	05/25/2011	Request for List of Investigations the CFTC Office of Inspector General Closed Calendar Year 2010; the Date the CFTC's Whistleblower Hotline became Operational; and the Number of Full-Time Employees Working in the Office of Inspector General at the End of Calendar Year 2010	07/07/2011
<u>11-00117-FOIA</u>	05/25/2011	Request for Copies of Resumes of Schedule C Employees Appointed from August 15, 2009 to May 15, 2011, Non-Career Members of the SES Employed from August 15, 2009 to May 15, 2011, and Senate Confirmed Presidential Appointments from August 15, 2009 to May 15, 2011	07/08/2011
<u>11-00118-FOIA</u>	05/25/2011	Request for a List of Reports the Agency Made to Congress in 2010 that were Mandated in Statute and to Whom They Were Sent; and a List of the House and Senate Committees and Subcommittees that Heard Testimony from Agency Officials in 2010	08/26/2011
<u>11-00119-FOIA</u>	05/25/2011	Request for a Copy of All Written Communications to the Speaker of the House, John Boehner (R-OH) or Chair of the House Committee on Oversight and Government Reform, Darrell Issa (R-CA), between January 3, 2011 and May 15, 2011	08/17/2011
<u>11-00121-FOIA</u>	06/17/2011	Request for tick data on E-mini S&P 500 futures from 1997 to 2009 (initial request processed by DMO 12-23-2010)	10/31/2011
<u>11-00122-FOIA</u>	06/20/2011	Request for Information on Maskana, SA	07/01/2011
<u>11-00123-FOIA</u>	06/07/2011	Request for General Information on Commodity Futures Trading	06/21/2011
<u>11-00125-FOIA</u>	05/10/2011	Request for Any and All Documents or Other Records, Created or Obtained on or After January 1, 1985 Containing the Names of Certain Individuals and Entities	09/09/2011

<u>11-00126-FOIA</u>	06/21/2011	Request for Information on Disclosure Documents used by a Commodity Pool Operator	07/12/2011
<u>11-00127-FOIA</u>	06/08/2011	Request for Copies of Communications from 2002 to the Present Between the CFTC and Any Member of Congress and Any Member of the Administration of the President of the United States Referring to the Collection of Fines, Penalties or Restitutionary Awards Imposed Against Any Individual or Entity	08/17/2011
<u>11-00128-FOIA</u>	06/29/2011	Request for CFTC Study Submitted in 1984 to H.R. Comm. on Agriculture and Sen. Comm. on Agriculture, Nutrition and Forestry, titled "A Study of the Nature, Extent and Effects of Futures Trading by Persons Possessing Material, Nonpublic Information"	07/20/2011
<u>11-00129-FOIA</u>	06/23/2011	Request for Copies of CFTC FOIA Logs from January 2010 to the Present	07/20/2011
<u>11-00130-FOIA</u>	06/24/2011	Request for CFTC Reports Regarding the Impact of Speculation on the Prices of Physically-Settled Crude Oil Futures; Request for CFTC Records Describing the Impact of Speculators on the Price of Physically-Settled Crude Oil Futures for Each Quarter Beginning in January, 2009	07/31/2012
<u>11-00131-FOIA</u>	07/22/2011	Request for a copy of outstanding FOIA requests 10-00013 and 10-00044	09/19/2011
<u>11-00132-FOIA</u>	07/13/2011	In the Matter of G. Victor Johnson, II, McGladrey & Pullen, LLP, Altschulder, Melvoin & Glasser, LLP, CFTC Docket No. 11-01	11/02/2011
<u>11-00133-FOIA</u>	07/28/2011	Request for access to and copies of all databases containing information related in any way to travel by CFTC employees and/or financed by the CFTC	11/04/2011
<u>11-00134-FOIA</u>	08/11/2011	Request for a Copy of a 2011 Request from a Member of Congress asking for Copies of Confidential, Section 8 Data; and Request for any Communications between the CFTC, the Hill, and/or Industry Participants which Discuss Concerns about the Fact that this Confidential Data was Breached and Leaked	03/06/2012
<u>11-00135-FOIA</u>	07/18/2011	Request for Records and Data Relating to CFTC's Finding that IntercontinentalExchange's Henry Financial LDI Fixed Price Contract Performs a Significant Price Discovery Function; and All Records and Data Underlying the Office of the Chief Economist's Study on the Economic Analysis of Price Discovery on NYMEX and ICE of the ECM Study	09/20/2011
<u>11-00136-FOIA</u>	07/12/2011	Request for Standard Forms 50 (SF-50S) for all Employees Earning \$225,000, or more per Year in Total Compensation	09/12/2011
<u>11-00137-FOIA</u>	08/11/2011	Copy of Records that Discuss Or Identify the Extent of Record Losses by the NYBOT as a Result of the Destruction of the NYBOT Offices in Terrorist Attack in September 2001; and Copy of Any Reports that Discuss or Describe the Destruction of the NYBOT Offices in the WTC Building in September 2001	09/14/2011
<u>11-00138-FOIA</u>	08/07/2011	Request for Copy of any and all CFTC Reports that Discuss or Identify the Extent of Records Losses by CFTC in Regard to the Destruction of the CFTC Offices Located at the One WTC in a Terrorst Attach on September 11, 2001	09/14/2011
<u>11-00139-FOIA</u>	09/06/2011	Request for copies of all correspondence between CFTC staff and any member, committee, or employee of Congress related to the derivatives and/or payment, clearing and settlement systems sections of the financial regulatory reform proposals between February 1, 2009 and the present	03/20/2012
<u>11-00140-FOIA</u>	08/24/2011	Request for copies of any correspondence between CFTC and Congressman Sean Patrick Duffy, of Wisconsin's 7th Congressional District, from 2011 to present	09/13/2011

<u>11-00141-FOIA</u>	08/17/2011	Request for any and all information pertaining to complaints, reports, disciplinary history, opinions, orders and disciplinary actions against Don R. Moses from 1985 to present	11/15/2011
<u>11-00142-FOIA</u>	08/22/2011	Request for the names and address of all commodity futures exchanges in the United States and International	09/20/2011
<u>11-00143-FOIA</u>	08/19/2011	Names and addresses of all commodity and futures exchanges in the U.S. and Internationally.	09/22/2011
<u>11-00145-FOIA</u>	07/20/2011	FOIA Request Related to Position Limits	05/21/2012
<u>11-00146-FOIA</u>	08/03/2011	Request for a list of public employee information for all current CFTC employees including name, job title, department name, annual salary, official email address, official mailing address, and original hire date	09/30/2011
<u>11-00147-FOIA</u>	07/20/2011	Request for documents, communications and contracts related to external communications and public relations for CFTC from September 1 2008 through July 20, 2011 regarding budgeting for public relations and announcements for public relations campaigns	12/02/2011
<u>11-00148-FOIA</u>	08/23/2011	Request for any and all data, studies, reports, analyses, opinions, or other documents reviewed, considered or relied upon by the CFTC prior to December 22, 2010 in formulating its proposed rules regarding Minimum Centralized Market Trading Percentage Requirements included in its Notice of Proposed Rulemaking on Core Principles and Other Requirements for Designated Contract Markets	09/29/2011
<u>11-00150-FOIA</u>	09/16/2011	Request for Comment File 92-10, specifically including but not limited to correspondence and all attachments, memoranda and notes, drafts and opinions	09/26/2011
<u>11-00151-FOIA</u>	09/16/2011	Request for records regarding Declaration of Jonathan C. Wheeler, including but not limited to correspondence and all attachments, memoranda, notes drafts and opinions	05/21/2012
<u>11-00152-FOIA</u>	09/09/2011	Concerning In re Libor-Based Financial Instruments Antitrust Litigation, MDL No. 2262, FTC Capital GmbH, et al. v. Credit Suisse, et al., Civil Action No. 1:2011cv02613	01/10/2012
<u>11-00153-FOIA</u>	09/09/2011	A copy of the FOIA log to the CFTC from Jan 1, 2011 to the present.	09/27/2011
<u>11-00154-FOIA</u>	04/25/2011	Request for responsive documents sent in response to FOIA requests 11-0051 and 11-00060	03/23/2012
<u>11-00155-FOIA</u>	09/23/2011	Request for permission to use CFTC data for use in general marketing collateral	09/26/2011
<u>11-00156-FOIA</u>	09/28/2011	Information, documents, data and/or records In the Matter of: Moore Capital Management, LP, Moore Capital Advisors, LLC and Moore Advisors, Ltd., CFTC Docket No. 10-09 and In the Matter of Christopher Louis Pia, CFTC Docket No. 11-17	11/29/2011
<u>11-00157-FOIA</u>	08/22/2011	Request for documents, handbooks or writings of any kind from or by CFTC staff defining what an error is with respect to large trader reporting	11/10/2011
<u>11-00158-FOIA</u>	05/26/2011	Request for complete phone and email directory of CFTC personnel	12/06/2011
<u>11-00159-FOIA</u>	07/22/2011	Request for a list of Government Purchase card holders that include phone numbers, email addresses, and physical addresses	10/11/2011
<u>11-00160-FOIA</u>	09/28/2011	Request for information, notes, and decision for the review and investigation of the Sonador PONZI scheme	09/28/2012

<u>11-00161-FOIA</u>	09/01/2011	Freedom of Information Act Request for Records for Steven Jude Hoffenberg and Jeffrey Epstein	11/30/2011
<u>11-00224-FOIA</u>	10/18/2010	Request for memo dated January 29, 2008, from the CFTC Division of Market Oversight to the Commission relating to a review of changes to the CBOT and CME rules in the rulebook harmonization process. This memo was referred to at footnote 4 page 8 of the Rule Enforcement Review of the CBOT and CME by DMO, dated September 13, 2010.	03/10/2011
<u>12-00001-AP</u>	11/07/2011	Request appeals the production of a CFTC travel log. The attached response was not correct for the following reasons: 1)Names of employees, whose salaries come from taxpayer funds, were removed re: b(6). JunketSleuth is posting data for those same taxpayers to view. 2)No specific explanation was provided as to why certain names were withheld. 3)Concerning b(6), Congress has stated, Since only a clearly unwarranted invasion of privacy is a basis for withholding, there is perceptible tilt in favor of disclosure in the exemption.	-
<u>12-00001-FOIA</u>	03/04/2011	Request for information on complaint 89 R300	10/05/2011
<u>12-00001-FPA</u>	10/18/2011	Request for all information and documents relating to the CFTC's investigation of Wells Fargo Bank, NA resulting from the complaint submitted by Beverly Potter; and all information and documentation relating to Ms. Potter at the CFTC	03/08/2012
<u>12-00001-REF</u>	08/31/2011	Publicly Available Registration Documents and Related Information on File with NFA for Options 2 Futures LLC and Christopher Anthony Smithers	03/23/2012
<u>12-00002-AP</u>	12/17/2011	Appeal of 12-00008-FOIA	-
<u>12-00002-FOIA</u>	09/30/2011	Request for Updated Employee Handbook, Personnel Instructions, Policies, Memoranda, Records, Management Directives between Setember 1, 2010 through September 30, 2011	02/23/2012
<u>12-00002-REF</u>	10/20/2011	Referral from OGE -- Request for Communications between OGE and the CFTC between January 1, 2010 and the Present	11/15/2011
<u>12-00003-AP</u>	02/28/2012	Appeal of 12-00053	-
<u>12-00003-REF</u>	10/21/2011	For all records pertaining to the requestor, "Charles Hoeffcker".	03/23/2012
<u>12-00004-AP</u>	05/09/2012	Appeal of 12-00049-FOIA	06/25/2012
<u>12-00004-FOIA</u>	10/04/2011	Request for all public documents regarding reparation case number 82-R217, involving Kenneth Topin	02/13/2012
<u>12-00004-REF</u>	11/03/2011	NFA Referral -- Request for Non-Public Documents of MF Global FX LLC	04/12/2012
<u>12-00005-AP</u>	06/12/2012	Appeal of 12-00097-FOIA	07/13/2012
<u>12-00005-FOIA</u>	03/29/2011	Request for Information or Records Regarding Transcript(s) of any Investigative Testimony Given Under Oath in CFTC Docket No. 11-01; In the Matter of G. Victor Johnson II, McGladrey & Pullen, LLP and Altschuler, Melvoian & Glasser, LLP	10/14/2011
<u>12-00005-</u>	11/16/2011	Request for a Copy of Certified Financial Statements for RJ O'Brien Associates LLC, NFA #187	12/15/2011

REF			
<u>12-00006-AP</u>	09/06/2012	Appeal of FOIA 12-0002 seeking MF Global records	10/15/2012
<u>12-00006-FOIA</u>	04/21/2011	Request for CFTC's Opinion on Knock-in-Knock-Out (KIKO) Exchange Hedge Option Product	11/14/2011
<u>12-00006-REF</u>	12/06/2011	Request for Public and Non-Public Documents regarding Nathan Lunt	03/08/2012
<u>12-00007-FOIA</u>	03/24/2011	Request for any document, communication, correspondence, letter, email, notes of conversations, memoranda, affidavit, declaration, transcript, witness statement, or customer complaint relating to Global Asset Management, Inc. during the period January 1, 1996 through March 31, 2000	03/14/2013
<u>12-00007-REF</u>	12/08/2011	Request for records concerning Dairy Farmers of America's trading in the CME Class III Milk futures contract and Cheese Spot Callmarket (blocks and barrels)	03/30/2012
<u>12-00008-FOIA</u>	09/30/2011	Request for Statement of Work; contractor final reports; and the contractor presentations slides/powerpoint presentations associated with CFTC Contract CFFM10BP0096 Office of General Counsel Organizational Assessment Study	12/01/2011
<u>12-00008-REF</u>	06/15/2011	Robert Nagy registration docs NFA#37192 NFA#257764	04/04/2012
<u>12-00010-FOIA</u>	10/13/2011	Request for Historical Data on Maintenance Margin Requirements and Initial Margin Requirements for various futures contracts	11/09/2011
<u>12-00011-FOIA</u>	09/26/2011	Any information pertaining to metals positions and transaction by Daniel Shak, between January 1, 2008, and January 31, 2010. To include all reports, inquiries, hedge exemptions, meeting notes and interactions with (CFTC, NFA, COMEX, NYMEX and CME) regarding the same. Shak is associated with names: SHK Diversified, SHK Asset Management, Valhalla Capital Advisors, Sterling Commodities and Sapphire Asset Management.	04/05/2012
<u>12-00012-FOIA</u>	09/16/2011	Requested the addresses of CFTC Chicago and a few (outside) entities.	10/18/2011
<u>12-00013-FOIA</u>	05/09/2011	Requests CFTC's FOIA Log for 2010, seeking requestor name, request number and description of request.	10/17/2011
<u>12-00014-FOIA</u>	10/06/2011	Request for an index of all major information systems of the agency; a description of major information and record locator systems; and a handbook for obtaining various types and categories of public information from the agency in light of the Paperwork Reduct Act	11/04/2011
<u>12-00015-FOIA</u>	10/11/2011	Request for Certified Copy of Registration/Business Records for L&R Drading Corporation, LLC	11/01/2011
<u>12-00016-FOIA</u>	10/21/2011	Request for all communications and records from to or about CBOE or CME regarding their respective potential relocations	11/14/2011
<u>12-00017-FOIA</u>	10/28/2011	Request for all economic analysis about position limits for futures and swaps	06/05/2012
<u>12-00018-FOIA</u>	10/25/2011	Request for all information, recordings (tape recorded and typed), notes the CFTC or its court reporter has regarding subpoena and related interview with CFTC attorneys and Dan Stamer	09/13/2012
<u>12-00019-</u>	11/02/2011	Request for a copy of the recent report on Price Reporting Agencies (PRAs) conducted by the IOSCO	11/04/2011

<u>FOIA</u>			
<u>12-00020-FOIA</u>	11/08/2011	A list of any and all direct examinations/audits of registrants the CFTC has conducted in the last 10 years to ensure high quality work by SRO's	02/23/2012
<u>12-00021-FOIA</u>	10/19/2011	Request for documents and company statements collected by investigators from the Cliff Larson Company made to the CFTC or the NFA pertaining to an investigation of the Larson Bell case	09/13/2012
<u>12-00022-FOIA</u>	11/04/2011	Request for Copies of Records Relating to Four External Meetings Regarding the Implementation of the Dodd-Frank Act between the CFTC and Representatives of MF Global, Inc.	04/17/2012
<u>12-00023-FOIA</u>	11/14/2011	Request for a copy of any and all letters or communications regarding Gary Gensler's recusal from the MF Globbl investigation	07/18/2012
<u>12-00025-FOIA</u>	11/04/2011	Request for any and all records of communication between any Commissioner, official, employee or representative of the CFTC and any officer, employee or representative of MF Global Holdings, Ltd. and/or MF Global Finance USA, Inc.; and (2) Request for any and all records of communication between any Commissioner, official, employee or representative of the CFTC and any official, employee or representative of any other agency, department or office of the federal government regarding, concerning or related to MF Global Holdings, Ltd. and/or MF Global Finance USA, Inc.	08/07/2012
<u>12-00026-FOIA</u>	10/22/2011	Request for copies of correspondence to and from the Department of Justice, United States Bankruptcy Court, Blount County Sheriff's Department; and the Office of the Public Defender Services of Eastern Tennessee	02/28/2012
<u>12-00027-FOIA</u>	10/18/2011	Request for Respondents' Offer of Settlement, including Appendix A In the Matter of Northstar International Group, Inc. CFTC Docket No. 11-06	02/08/2012
<u>12-00028-FOIA</u>	10/13/2011	Request for General Information and Glossary	11/17/2011
<u>12-00029-FOIA</u>	10/26/2011	Request for contact data for registered members of NFA; and CFTC email subscriber list	03/28/2012
<u>12-00030-FOIA</u>	11/15/2011	Request for proof of notice of service or delivery issued June 19, 1989	01/25/2012
<u>12-00031-FOIA</u>	11/17/2011	Request for copies of all records of communication between the CFTC and MF Global since October 14, 2011	08/07/2012
<u>12-00032-FOIA</u>	11/16/2011	Request for copies of all minutes and/or transcripts or records of external meetings between Cargill, Cargill Risk Management, or Cargill Inc and the CFTC that took place between August 19, 2010 and November 17, 2011	03/23/2012
<u>12-00033-FOIA</u>	11/17/2011	Request for documents in connection with CFTC audit and/or invetigation into MF Global and affiliates	05/30/2012
<u>12-00034-FOIA</u>	11/22/2011	Request for a copy of any investigation documents or correspondence regarding various companies from January 1, 2009 to the present	01/19/2012
<u>12-00035-FOIA</u>	11/29/2011	Request for information or records regarding MF Global, Inc. CFTC Form 1-FR-FCM for the period of 3/1/2011 - 9/30/2011; and MF Global information that would normally be included in the CFTC report of Financial Data for FCMs	03/02/2012
<u>12-00036-FOIA</u>	12/01/2011	Request for correspondence between the CFTC and MF Global Trading relating to the use of subordinated loas as net regulatory capital between May 2010 and date of request; correspondence between CFTC and MF Global relating to the	09/27/2012

		impact of sovereign debt exposure on MF Global's net regulatory capital between September 2010 and date of request; correspondence between CFTC and FINRA regarding MF Global	
<u>12-00037-FOIA</u>	12/05/2011	Request for records regarding historical CME margins for metal and energy futures contracts	12/09/2011
<u>12-00038-FOIA</u>	12/01/2011	Request for total monthly open outcry floor trading volume for Heating Oil and Natural Gas from 1990 through 2000; and request for broker to broker floor trading monthly volume for all options traded on NYMEX from 1998 through 2011.	03/02/2012
<u>12-00039-FOIA</u>	11/02/2011	Request for information to be published in the Federal Register	12/07/2011
<u>12-00040-FOIA</u>	12/08/2011	Request for records regarding Financial and Operational Combined Uniform Single (FOCUS) Reports on MF Global	03/06/2012
<u>12-00042-FOIA</u>	12/09/2011	Request for all records related to Directo A Mexico Program	01/17/2012
<u>12-00043-FOIA</u>	12/10/2011	Request for electronic copy of records and correspondence provided to Chairman Issa in response to his January 2011 inquiry regarding CFTC's administration of FOIA	01/13/2012
<u>12-00044-FOIA</u>	12/13/2011	Request for date of phone call to Andrew Ridenour	12/28/2011
<u>12-00045-FOIA</u>	12/14/2011	Request for time series data on margin requirements for commodity futures	05/03/2012
<u>12-00046-FOIA</u>	01/11/2012	Request for all communications between Acting Chairman Walter Lukken and the Futures Industry Association between June 27, 2007 and January 20, 2009	01/18/2012
<u>12-00047-FOIA</u>	01/09/2012	Request for copies of the 2010 and 2011 presentations and/or reports by Promontory to MF Global, MFGlobal Audit Committee, or MF Global Audit and Risk Committee	03/14/2012
<u>12-00048-FOIA</u>	12/23/2011	Request for most recent monitoring report for Schuyler Head Start Inc., located in Montour Falls, NY	01/17/2012
<u>12-00049-FOIA</u>	11/11/2011	Request for all documentation regarding the maintenance of assets by MF Global for the period of October 17, 2011 through October 13, 2011; including copies of all correspondence with the CME, SEC, JP Morgan Chase and Harris Bank	04/10/2012
<u>12-00050-FOIA</u>	01/16/2012	Request for copies of correspondence to and from the Commission regarding the Commission's foreign futures and options rules	09/07/2012
<u>12-00051-FOIA</u>	01/18/2012	Request for statements filed with the Office of General Counsel between January 1, 2001 and December 31, 2011 by former members or employees who within 2 years after leaving the CFTC were employed or retained by outside parties on matters in which they were expected to appear before the Commission	04/18/2012
<u>12-00052-FOIA</u>	01/19/2012	Request for a copy of the independent review and assessment of MF Global conducted by Promontory Financial Group as part of a December 2009 enforcement action	03/14/2012
<u>12-00053-FOIA</u>	12/07/2011	Request for list of Jeff Epstein investigation documents	02/23/2012
<u>12-00054-FOIA</u>	01/23/2012	Request for MF Global, Inc. reports, written communications, and all internal records concerning MF Global and/or its parent(s) and/or affiliate(s)	03/06/2012
<u>12-00055-FOIA</u>	01/23/2012	Request for records regarding the CFTC's choice of a SIPC-led liquidation as the appropriate mechanism to liquidate MF Global	09/28/2012

<u>12-00056-FOIA</u>	01/08/2012	Request for general information about commodity futures and trading	01/26/2012
<u>12-00057-FOIA</u>	01/19/2012	Request for logs of communications between members of Congress and the CFTC, including letters, emails, facsimiles, telephone or voice mail messages	02/23/2012
<u>12-00058-FOIA</u>	01/30/2012	Request for access to and a copy of Wright County Community Action, Inc. head Start Records (Grant #05CH4131)	02/09/2012
<u>12-00059-FOIA</u>	01/11/2012	Request for registration information for Karl Peters	03/14/2012
<u>12-00060-FOIA</u>	01/11/2012	Constituent complaint regarding CFTC action	03/14/2012
<u>12-00061-FOIA</u>	02/03/2012	CFTC Docket No. 11-06; Request for copy of Offer of Settlement and Appendix A	02/08/2012
<u>12-00062-FOIA</u>	02/06/2012	Request for copies of various correspondence and applications for relief relating to Commission Orders dated March 17, 1989, February 27, 1997, and March 22, 1990, respectively.	04/11/2012
<u>12-00063-FOIA</u>	02/07/2012	Meetings with the Securities Industry and Financial Markets Association (SIFA)	09/13/2013
<u>12-00064-FOIA</u>	02/09/2012	Position Limits	05/21/2012
<u>12-00065-FOIA</u>	02/08/2012	Written determinations under 18 U.S.C. 208(b)(1) and (b)(3)	02/24/2012
<u>12-00066-FOIA</u>	02/06/2012	Annual Compensation	03/14/2012
<u>12-00067-FOIA</u>	02/06/2012	MF Global	09/28/2012
<u>12-00068-FOIA</u>	02/16/2012	FSA response to CFTC's November 30, 2007 letter	04/04/2012
<u>12-00069-FOIA</u>	02/16/2012	General information	03/14/2012
<u>12-00070-FOIA</u>	02/22/2012	Masters Capital Management	03/08/2012
<u>12-00071-FOIA</u>	07/14/2011	Correspondence to Congressman Michael Coffman	03/02/2012
<u>12-00073-FOIA</u>	03/06/2012	Commissioner's federal salaries	03/16/2012
<u>12-00074-FOIA</u>	03/12/2012	Sarbanes-Oakley Act	03/15/2012
<u>12-00075-FOIA</u>	03/14/2012	Public meetings sign-in sheets	03/28/2012
<u>12-00077-FOIA</u>	03/19/2012	Spot prices and long/short positions on commodities	04/04/2012
<u>12-00078-FOIA</u>	03/19/2012	Five most recent IG investigative reports	05/04/2012

<u>12-00079-FOIA</u>	03/23/2012	Ediscovery Search and Retrieval System Task Order Award	09/27/2012
<u>12-00080-FOIA</u>	03/23/2012	S. David Friedman, InterCap International, Inc. and the Whitehall Trust	09/28/2012
<u>12-00081-FOIA</u>	03/30/2012	Report and any attachments to the March 2012 report of the TIGTA Inspector General	04/04/2012
<u>12-00082-FOIA</u>	03/29/2012	Modified request for answer and complaint in DiPlacido, CFTC No. 01-23	07/19/2012
<u>12-00083-FOIA</u>	04/02/2012	Complaint and Answer In re David Henner	04/11/2012
<u>12-00084-FOIA</u>	04/04/2012	A copy of the last three RERs for CME Group Inc	04/18/2012
<u>12-00085-FOIA</u>	04/04/2012	Copy of the CFTC's FOIA log between July 2011 and the present day	04/10/2012
<u>12-00086-FOIA</u>	04/04/2012	All prior or subsequent documents pertinent to MF Global from the Chairman's office	02/08/2013
<u>12-00087-FOIA</u>	03/26/2012	Publicly available registration records for BRI Partners LLC	04/13/2012
<u>12-00088-FOIA</u>	03/27/2012	Rabar Market Research, Inc. Financial Statements	04/12/2012
<u>12-00089-FOIA</u>	04/07/2012	Number of employees in the Division of Enforcement	04/18/2012
<u>12-00090-FOIA</u>	04/12/2012	July 20, 1989 Exemption Letter to Grosvenor Futures Management	05/15/2012
<u>12-00091-FOIA</u>	04/12/2012	Commodity Pool Operators	04/17/2012
<u>12-00092-FOIA</u>	04/10/2012	Current contracts for online legal research	08/08/2012
<u>12-00093-FOIA</u>	03/12/2012	Letters on settlement prices in ag commodities on CBT	04/27/2012
<u>12-00094-FOIA</u>	04/20/2012	Treasury Inspector General for Tax Administration's Inspector General Report	05/02/2012
<u>12-00095-FOIA</u>	04/24/2012	MF Global Records	09/17/2012
<u>12-00096-FOIA</u>	04/19/2012	MF Global	09/28/2012
<u>12-00097-FOIA</u>	04/27/2012	SF-50's	05/21/2012
<u>12-00098-FOIA</u>	04/27/2012	Whistleblower reports	09/27/2012
<u>12-00099-FOIA</u>	04/30/2012	Letter dated November 4, 2011	05/21/2012

<u>12-00100-FOIA</u>	05/01/2012	Heritage Management Company, LLC registration exemption	05/08/2012
<u>12-00101-FOIA</u>	05/04/2012	NFA Case Number 11-BCC-008	05/07/2012
<u>12-00103-FOIA</u>	05/11/2012	Volume Investors Corporation, Report of the Division of Trading and Markets (July 1985).	09/27/2012
<u>12-00104-FOIA</u>	05/11/2012	#CFHRB-12-DO-0126	06/21/2012
<u>12-00105-FOIA</u>	05/14/2012	RFQ CFIT-11-S0-0065	12/13/2012
<u>12-00107-FOIA</u>	04/30/2012	Request for records referenced in 12-00057-FOIA	08/20/2012
<u>12-00108-FOIA</u>	05/17/2012	FOIA requests received regarding investigation of energy futures and derivatives markets	05/24/2012
<u>12-00109-FOIA</u>	05/02/2012	Heritage Managmeent Company, LLC and/or Aubrey McClendon	11/15/2012
<u>12-00110-FOIA</u>	05/18/2012	Rosenthal Collins Group, LLC	09/23/2013
<u>12-00111-FOIA</u>	05/21/2012	Goldman Sachs & Co	02/15/2013
<u>12-00112-FOIA</u>	05/14/2012	Hight Street Capital Management LLC (HSC) and High Street Financial, Inc. (HSF)	09/27/2012
<u>12-00113-FOIA</u>	05/16/2012	Correspondence to Senator Scott P. Brown	06/15/2012
<u>12-00114-FOIA</u>	05/17/2012	Correspondence to Senator Scott P. Brown	06/11/2012
<u>12-00115-FOIA</u>	05/25/2012	TIGTA Report	09/30/2013
<u>12-00116-FOIA</u>	05/24/2012	CFTC Docket No. 11-06, Northstar	07/19/2012
<u>12-00117-FOIA</u>	05/20/2012	General information	06/07/2012
<u>12-00118-FOIA</u>	05/25/2012	CFTC v. Global Currencies, Ltd., Leon Levitis, et. al, CFTC Docket No. 97-13	09/27/2012
<u>12-00119-FOIA</u>	06/12/2012	Interactive Brokers	08/09/2012
<u>12-00120-FOIA</u>	06/11/2012	Puerto Rican Family Institute	06/29/2012
<u>12-00121-FOIA</u>	06/06/2012	Chairman Gensler's Travel Records	07/19/2012
<u>12-00122-FOIA</u>	06/01/2012	Dennis R. Bolze, Centurion Asset Management and/or Advanced Trading Services	09/28/2012

<u>12-00123-FOIA</u>	06/20/2012	Head Start Grant Awards	06/21/2012
<u>12-00124-FOIA</u>	06/18/2012	CFTC Investigation of Moore Funds	12/13/2012
<u>12-00125-FOIA</u>	06/26/2012	Solicitation # CFIT09BP00800TO00	12/07/2012
<u>12-00126-FOIA</u>	07/05/2012	All emails between former CFTC Commissioner Michael Dunn and his son Matthew Dunn, all emails between Michael Dunn and anyone working at Patton Boggs or the DTCC	01/18/2013
<u>12-00127-FOIA</u>	06/18/2012	In re Optiver Commodities Litig.	09/12/2013
<u>12-00128-FOIA</u>	07/11/2012	Last audited financial statement of Peregrine Financial Group	06/03/2013
<u>12-00132-FOIA</u>	07/16/2012	Rule enforcement reviews	04/24/2013
<u>12-00133-FOIA</u>	07/16/2012	Audited financial statements of FCMs for CY 2011	05/31/2013
<u>12-00134-FOIA</u>	07/17/2012	Rule 30.10 exemption issued June 1989 to MF Global UK	07/31/2012
<u>12-00137-FOIA</u>	08/01/2012	Correspondence to Senator Tim Johnson	09/13/2012
<u>12-00139-FOIA</u>	07/26/2012	Investigation of Anthony Klatch and TASK Capital Partners	03/25/2013
<u>12-00141-FOIA</u>	07/26/2012	Metal warehouses licensed or listed by the London Metal Exchange	01/15/2013
<u>12-00142-FOIA</u>	08/01/2012	2010 Investigation of Morgan Stanley and ICE	09/13/2012
<u>12-00143-FOIA</u>	08/06/2012	Letters to and from Congressional Committees and Members of Congress	09/28/2012
<u>12-00144-FOIA</u>	08/06/2012	David Meister Recusals	09/28/2012
<u>12-00146-FOIA</u>	07/23/2012	General information on CFTC	08/20/2012
<u>12-00147-FOIA</u>	08/08/2012	Copy of 1987 CFTC Rule 30.7	08/23/2012
<u>12-00148-FOIA</u>	08/08/2012	CFTC Inspector General Report on the Volcker Rule	09/13/2012
<u>12-00151-FOIA</u>	08/13/2012	Statement of work for CFTC contract CFEN09CO0236	03/29/2013
<u>12-00152-FOIA</u>	08/20/2012	Correspondence to and from Cong. Paul Ryan	08/30/2012
<u>12-00154-FOIA</u>	08/13/2012	Correspondence to and from Rep. Paul Ryan	09/21/2012

<u>12-00155-FOIA</u>	08/13/2012	In the Matter of Goldman Sachs Execution & Clearing, L.P., CFTC Docket No. 12-16	04/04/2013
<u>12-00156-FOIA</u>	08/17/2012	CFTC v. Arjent Capital Markets, LLC, Chicago Trading Managers, LLC, Spencer Montgomery and Brian Reynolds, Case No. 12-CV-1832	03/20/2013
<u>12-00157-FOIA</u>	03/11/2010	Records regarding the President's Working Group on Financial Markets	09/14/2012
<u>12-00158-FOIA</u>	09/04/2012	Copy of CFTC-OIRA MOU	09/28/2012
<u>12-00159-FOIA</u>	09/06/2012	Reliance Capital Markets II LLC Broker Registration Applications	09/20/2012
<u>12-00160-FOIA</u>	09/10/2012	A listing of anyone and everyone who requested information about Sentinel Management Group, Inc., during the period of January 1, 2003 thru August 12, 2007.	09/28/2012
<u>12-00161-FOIA</u>	09/12/2012	Paron Capital Management LLC, James D. Crombie, Timothy D. Lyons, Peter J. McConnon, JFC Ventures LLC and JDC Trading LLC	04/17/2014
<u>12-00162-FOIA</u>	09/20/2012	Correspondence to and from Cong. Chris Murphy	09/28/2012
<u>12-00163-FOIA</u>	09/14/2012	General Information on Trading	09/20/2012
<u>12-00164-FOIA</u>	09/16/2012	A copy of the congressional correspondence log including control numbers and lawmaker requests since 1/1/12	09/28/2012
<u>12-00165-FOIA</u>	09/18/2012	Information on Vincent Patrick McCrudden	09/23/2013
<u>12-00166-FOIA</u>	09/20/2012	Correspondence from CME Group, Inc. regarding rule enforcement review of September 2010	06/06/2013
<u>12-00167-FOIA</u>	09/27/2012	Records prepared referring or relating to cost benefit analysis of agency rules and various Executive Orders	09/25/2013
<u>13-00001-AP</u>	01/02/2013	Appeal of Constructive Denial of FOIA Request Nos. 12-00136, 12-00138, 12-00140, 12-00149, 12-00150, 12-00151	-
<u>13-00001-FPA</u>	10/10/2012	Request for documents related to professional record	04/03/2013
<u>13-00001-REF</u>	11/20/2012	Consultation request from SEC re Marc Fitapelli's SEC FOIA Request Nos. 12-07816 and 12-07617-FOIA	03/15/2013
<u>13-00002-AP</u>	03/11/2013	Appeal of 12-00115-FOIA	04/09/2013
<u>13-00002-FOIA</u>	10/09/2012	Request for records regarding investigation of Zaki Dhabalia	11/15/2012
<u>13-00002-FPA</u>	11/19/2012	Two security interviews of Mark Higgins, prepared on or about November 2012 by L.A. Harding and Mark Borchers of Allied Barton Security Services, regarding a missing laptop.	03/21/2013
<u>13-00002-REF</u>	04/12/2013	PRI-12-070.1 -- Consultation Request	09/23/2013
<u>13-00003-AP</u>	10/12/2012	Appeal of 12-00021-FOIA	04/17/2013

<u>13-00003-FOIA</u>	10/08/2012	Request for Statement of Work Contracts.	12/07/2012
<u>13-00003-REF</u>	08/07/2012	Referral from SEC for any letters, emails, or other correspondence from any (1) government and/or (2) FINRA officials	09/23/2013
<u>13-00004-AP</u>	04/09/2013	Appeal of 13-00006-FOIA	05/16/2013
<u>13-00004-FOIA</u>	10/22/2012	Correspondence and responses from Chicago Mercantile Exchange and Norman Mains, including those dated 9/18/1996 and 10/31/1996.	04/24/2013
<u>13-00005-AP</u>	06/27/2013	Appeal of 12-00128-FOIA	07/22/2013
<u>13-00005-FOIA</u>	10/23/2012	All written and oral communications involving Intrade, PLC	03/08/2013
<u>13-00006-FOIA</u>	10/12/2012	Michael Potter and Centurion Asset Management, and/or Advanced Trading Services	03/20/2013
<u>13-00008-FOIA</u>	11/06/2012	Requests regarding Sentinel Management Group, Inc.	01/15/2013
<u>13-00009-FOIA</u>	11/14/2012	CFTC's Budget Contingency Plans	11/28/2012
<u>13-00010-FOIA</u>	11/15/2012	Information or records re action involving Respondent, Bunge Global Markets Inc. CFTC #11-10	03/21/2013
<u>13-00011-FOIA</u>	11/17/2012	A copy of the Executive Compensation Study by Morgan Franklin Corp, pursuant to 4/6/2012 CFTC contract.	09/27/2013
<u>13-00013-FOIA</u>	11/23/2012	List of CFTC staff members assigned to work related to the Financial Stability Oversight Council.	01/16/2013
<u>13-00014-FOIA</u>	12/07/2012	General information on commodity trading	01/02/2013
<u>13-00015-FOIA</u>	12/11/2012	Audits and Disclosure Forms filed for Samuel Berger Investment Company 1/1/1991 to 5/31/1996	02/21/2013
<u>13-00016-FOIA</u>	12/12/2012	Information regarding CFTC Letter No. 12-49 - Alternative to Fingerprinting to Establish Fitness of Principals Residing outside the United States.	02/14/2013
<u>13-00017-FOIA</u>	12/12/2012	Information regarding CFTC Letter No. 12-22 - Time-Limited No-Action Relief: Swaps Only With Certain Persons to be Included in Calculation of Aggregate Gross Notional Amount for Purposes of Swap Dealer De Minimis Exception and Calculation of Whether a Person is a Major Swap Participant.	03/20/2013
<u>13-00018-FOIA</u>	12/04/2012	Historical margin data	03/29/2013
<u>13-00019-FOIA</u>	11/29/2012	Documents relating to Goldman Sachs & Co. and Steven A. Cohen	02/15/2013
<u>13-00020-FOIA</u>	12/07/2012	Documents relating to trades between Goldman Sachs & Co. and various individuals and entities	02/15/2013
<u>13-00021-FOIA</u>	12/17/2012	All letters, from January 1, 2009 to December 17, 2012, between the US CFTC and Jeb Hensarling who represents the 5th Congressional District of Texas.	01/28/2013

<u>13-00022-FOIA</u>	12/17/2012	All letters, received between January 1, 2009 and December 17, 2012, between the U.S. CFTC and the congressional office of Maxine Waters	01/28/2013
<u>13-00023-FOIA</u>	12/27/2012	Appendix A attached to letter requesting no-action relief dated December 21, 2012 from Singapore Exchange Derivatives Clearing Limited to the Director of the Division of Clearing and Risk	01/16/2013
<u>13-00024-FOIA</u>	01/02/2013	Copies of requests sent by market participants for relief relying on the de minimis exception to the swap dealer registration requirement as in CFTC Letter No. 12-71	09/30/2013
<u>13-00025-FOIA</u>	12/09/2012	General information on commodity regulation	01/15/2013
<u>13-00026-FOIA</u>	01/08/2013	"List and contact information of the credit card holders that have authorization to purchase supplies, hardware, furniture etc for their departments"	02/01/2013
<u>13-00028-FOIA</u>	01/15/2013	Singapore Exchange request for no-action relief	01/16/2013
<u>13-00030-FOIA</u>	01/07/2013	Regulatory disclosures for John Howard Burbank III and William G. Alberti	03/21/2013
<u>13-00032-FOIA</u>	01/19/2013	A copy of the "sequestration plan" at the CFTC.	03/29/2013
<u>13-00034-FOIA</u>	02/06/2013	Did Lake Community Action Agency receive a COLA of 1.82% in 2011? If they did, I do not believe they passed it on to their Head Start Employees.	02/14/2013
<u>13-00035-FOIA</u>	02/01/2013	Did Lake Community Action Agency, 501 N. Bay St., Eustis, FL submit a request for a 5 year non-competitive, grant, in your office by 1/1/2013? Did the agency have a deficiency, did its class score fall below the minimum threshold? Is their 2012 review results available by email?	02/14/2013
<u>13-00036-FOIA</u>	01/30/2013	Enforcement Procedures Manual	09/27/2013
<u>13-00037-FOIA</u>	02/04/2013	Information on Commodity Markets	03/05/2013
<u>13-00038-FOIA</u>	01/02/2013	A list of and copy of all requests made to the CFTC from January 1, 2012 to the present day pursuant to Commission Regulation 145.9, 17 CFR 145.9.	09/25/2013
<u>13-00039-FOIA</u>	02/15/2013	Information assembled in connection with the "Great Potato Futures Default of 1976" - specifically related to Pressner Trading Company and Stephen M Sundheimer. May, 1976 Maine Contract.	09/27/2013
<u>13-00040-FOIA</u>	02/27/2013	Records related to futures trading account of Rico Lattee III, Enrique Villalba, Jr. or Money Market Alternative	03/29/2013
<u>13-00041-FOIA</u>	02/27/2013	Records Relating to Disruptive Trading Practices and/or "Spoofing"	08/20/2013
<u>13-00043-FOIA</u>	02/15/2013	Interactive Brokers LLC	09/10/2013
<u>13-00046-FOIA</u>	03/01/2013	Data reflecting the total volume in NYSE Liffe EURIBOR futures contracts	05/01/2013
<u>13-00048-FOIA</u>	02/19/2013	NF 7-R Forms	06/04/2013
<u>13-00057-FOIA</u>	04/08/2013	FOIA Log	04/24/2013

<u>FOIA</u>			
<u>13-00060-FOIA</u>	04/16/2013	ACF Head Start application proposal of 2012 Yeshiva Kehilath Yakov, Inc.	04/23/2013
<u>13-00061-FOIA</u>	04/16/2013	ACF Head Start application proposal of 2012 of B'Above Worldwide Institute, Inc.	04/23/2013
<u>13-00062-FOIA</u>	04/16/2013	copy of the 2012 Head Start Application proposal submitted by Catholic Charities Neighborhood Services, Inc.	04/23/2013
<u>13-00066-FOIA</u>	04/30/2013	Grant applications of Bank Street College of Education and Visiting Nurse Services of New York Early Head Start	05/08/2013
<u>13-00069-FOIA</u>	05/06/2013	All information related to the Bitcoin virtual currency between the dates January 2011 - Present Date. Also, any available transcripts that mention Bitcoin.	05/31/2013
<u>13-00072-FOIA</u>	05/14/2013	Emails between ex-CFTC employees (list attached) and employees of the CFTC from January 2012 through the present (May 14, 2013).	06/12/2014
<u>13-00073-FOIA</u>	05/15/2013	FOIA Log	05/31/2013
<u>13-00076-FOIA</u>	05/01/2013	General Information	09/23/2013
<u>13-00077-FOIA</u>	03/27/2013	Centurion Investment Management, LLC	09/10/2013
<u>13-00078-FOIA</u>	04/23/2013	Registration files for Hion Chye Chionh	06/04/2013
<u>13-00079-FOIA</u>	05/21/2013	A copy of the "special call" issued to oil companies seeking information related to swap transaction in the EFRP transactions process at CME Group ClearPort. The special call would have been issued in the past 6-8 weeks.	06/19/2013
<u>13-00085-FOIA</u>	04/19/2013	Correspondence from the CFTC to Congressional Committees CY 2012 and 2013	07/11/2013
<u>13-00087-FOIA</u>	06/21/2013	Video or any information about a video of Commissioner Scott O'Malia talking about the Bloomberg swap execution facility that Bloomberg later posted on its website	09/26/2013
<u>13-00088-FOIA</u>	06/24/2013	Any minutes, transcripts, staff memos or other documentation in connection with the CFTC staff meeting with the Coalition for Derivatives End-Users held on 1/10/2013, 2:00 PM, regarding the End-User Exemption to Dodd-Frank.	09/30/2013
<u>13-00092-FOIA</u>	07/13/2013	A copy of the CFTC INTRANet (employee internal internet) home page, a copy of each page connected to the CFTC INTRANet home page by one click (one level down), and a copy of each page connected to the CFTC INTRANet home page by two clicks (two levels down).	09/27/2013
<u>13-00093-FOIA</u>	07/13/2013	A copy of the agendas for all meetings of the CFTC Information Governance Team.	09/30/2013
<u>13-00096-FOIA</u>	07/18/2013	Request for a copy of BPA CFIT08BP0080 and all modifications including a copy of all BPA calls and delivery/task orders awarded under that contract.	02/05/2014
<u>13-00097-FOIA</u>	07/19/2013	Trading activity undertaken by Barclays Capital Inc in ICE electricity trading markets	09/23/2013
<u>13-00099-FOIA</u>	06/18/2013	Motley Rice FOIA requests to the CFTC	09/23/2013

<u>13-00100-FOIA</u>	07/25/2013	Request for correspondence relating to any violations of the CEA involving Michael McFadden and affiliated entities	09/23/2013
<u>13-00101-FOIA</u>	07/25/2013	Correspondence from the Commission addressed to Mark Jacobs and associated entities	08/26/2013
<u>13-00102-FOIA</u>	08/06/2013	CFTC Staff Directory	08/26/2013
<u>13-00103-FOIA</u>	08/09/2013	(1) Access to records reflecting the trading records and positions held in NYMEX crude oil futures contracts; ICE Crude oil futures contracts; and ICE West Texas Intermediate (WTI) swaps and crude oil during the time period August 15, 2008 to September 29, 2008. (2) The positions of each of the 4 largest shorts or longs in the September and October NYMEX crude oil futures contracts; ICE Crude oil futures contracts; and ICE West Texas Intermediate (WTI) swaps contracts.	10/31/2013
<u>13-00104-FOIA</u>	08/20/2013	Record of monetary fines administered by the Commission	09/30/2013
<u>13-00106-FOIA</u>	07/04/2013	NFA registration forms for Gregory Louis Summe	09/27/2013
<u>13-00107-FOIA</u>	08/28/2013	Any notices filed, and any records reflecting notices filed, by a Commission registrant relating to violations of customer segregation and/or minimum net capital requirements in connection with the October 15, 2012 ICE transition of certain cleared swap products to futures.	09/30/2013
<u>13-00108-FOIA</u>	08/30/2013	Transcript of August 29 phone call between MFA and CFTC officials	09/10/2013
<u>13-00110-FOIA</u>	08/31/2013	Relevant to CFFM10BP0096 - SOW, List of Respondents, Slides from Oral Presentation, Video from Oral Presentation; Transcript from Oral Presentation.	-
<u>13-00113-FOIA</u>	09/18/2013	Contract specifications for Certificates of Deposit Futures Contracts listed in 1981/1982	09/30/2013
<u>13-00115-FOIA</u>	09/23/2013	Records regarding Sonador Capital Management, Inc.	07/03/2014
<u>13-00116-FOIA</u>	09/27/2013	Documents related to the most recent investigation conducted by the CFTC concerning silver markets.	11/22/2013
<u>13-00117-FOIA</u>	09/30/2013	Request for copies of requests for confidential treatment from January 1 - February 1, 2012, where confidential treatment is also requested for the transmittal letter	-
<u>14-00001-AP</u>	11/11/2013	Appeal of 12-00115-FOIA	12/16/2013
<u>14-00001-REF</u>	06/30/2009	WTO financial service negotiations	11/07/2013
<u>14-00002-AP</u>	10/29/2013	Appeal of 13-00109-FOIA	12/06/2013
<u>14-00003-AP</u>	12/19/2013	Appeal of FOIA Request Numbers 13-001114 and 14-0005	05/23/2014
<u>14-00004-AP</u>	04/16/2014	Freedom of Information Act Appeal 14-00067-FOIA ICE day-ahead electricity transactions and financially-settled power swaps	05/23/2014
<u>14-00004-</u>	10/08/2013	Records referencing SWAT or special response teams	07/14/2014

<u>FOIA</u>			
<u>14-00006-AP</u>	06/06/2014	Appeal of 14-00049-FOIA	-
<u>14-00007-FOIA</u>	10/19/2013	A digital copy of the current CFTC Library Catalogue (formerly called LION), preferably output into a flat file such as Excel or a CSV file.	10/31/2013
<u>14-00018-FOIA</u>	11/06/2013	The Names, CUSIPs of the US Treasury bonds/notes which can be delivered for nearby quarters on the CBT Treasury contracts, along with the amount of the issue, issue date and bond/note expiration date.	04/30/2014
<u>14-00020-FOIA</u>	11/13/2013	Subpoenas and Investigations	05/08/2014
<u>14-00022-FOIA</u>	11/06/2013	Charges against Citigroup Inc., et al.	-
<u>14-00035-FOIA</u>	12/05/2013	CFTC Docket No. 84-24	01/16/2014
<u>14-00037-FOIA</u>	12/19/2013	Copy of a the inspector general's investigative report into CME's complaints concerning the CFTC's research program for visiting academics	01/16/2014
<u>14-00038-FOIA</u>	12/23/2013	Unnegotiated checks	04/24/2014
<u>14-00039-FOIA</u>	12/18/2013	Copy of politic asyllum case	01/16/2014
<u>14-00041-FOIA</u>	01/06/2014	Correspondence between the Senate Banking Committee or House Financial Services Committee dated between Jan. 2009 and the present	04/25/2014
<u>14-00043-FOIA</u>	12/10/2013	Correspondence to or from the Central Intelligence Agency	04/25/2014
<u>14-00044-FOIA</u>	12/14/2013	Request for Agency Operation Manual and System of Records	03/07/2014
<u>14-00045-FOIA</u>	01/09/2014	Commission records that pertain to WikiLeaks	03/13/2014
<u>14-00049-FOIA</u>	01/29/2014	Recusal or non-participation forms since January 1, 2013	06/04/2014
<u>14-00051-FOIA</u>	01/30/2014	Records re Contract CFOED12BP0128TO001	-
<u>14-00053-FOIA</u>	02/06/2014	Inspector General's Final Investigative Report	03/21/2014
<u>14-00056-FOIA</u>	02/07/2014	Communications with Brookings Institution, the Center for American Progress, etc.	06/27/2014
<u>14-00061-FOIA</u>	02/20/2014	Letters from members of Congress to any CFTC commissioners, the CFTC chair and CFTC staff since Jan. 1, 2013 regarding regulatory proposals under the Dodd-Frank Act.	04/25/2014
<u>14-00063-FOIA</u>	02/27/2014	Request for copies of all correspondence between U.S. Senator Mitch McConnell and the Commissioners, the Director of Legislative Affairs, and Deputy Director of Legislative Affairs from 1985 to the present (or as available).	04/18/2014
<u>14-00065-</u>	03/02/2014	Nov 2013 FISMA Report from Independent Contractor/CPA	03/07/2014

FOIA		Firm	
14-00069-FOIA	03/12/2014	OIG report concerning chief economist.	03/21/2014
14-00070-FOIA	03/12/2014	Last 3 years' FISMA audits by OIG.	04/23/2014
14-00073-FOIA	03/14/2014	CFTC inspector general's report on the chief economists office, including all attachments and appendices.	03/21/2014
14-00074-FOIA	03/12/2014	Letter dated September 18, 2013 from ASX Group to the Division of Clearing and Risk	-
14-00080-FOIA	03/24/2014	Correspondence between Christopher James Chris Christie and the Office of the Chairman of the Commodity Futures Trading Commission (including the Chairman) from 1995 to the present.	04/25/2014
14-00081-FOIA	03/22/2014	Any and all information on Investment advisor Dawn Bennett and Bennett group financial services between the dates of January 2009 and present date	05/19/2014
14-00082-FOIA	03/26/2014	1. May 1, 2013 Request for Interpretation of CFTC regulations applicable to Registered DCOs by North American Derivatives Exchange, Inc.; and 2. May1, 2013 Request for Exemption from Certain CFTC Regulations applicable to Registered DCOs by North American Derivatives Exchange	06/20/2014
14-00084-FOIA	04/01/2014	Request for a copy of any written opinions submitted by your Designated Agency Ethics Official (DAEO) or the ADAEO, which was submitted or forwarded to the Office of Government of Ethics during the calendar years 2011, 2012, 2013, or 2014.	07/02/2014
14-00086-FOIA	03/28/2014	Documents re Loeb Partners Corporation - NFA ID 46	-
14-00088-FOIA	04/08/2014	CFTC Docket R 80-566-80-723 re Schor v. CFTC	04/30/2014
14-00089-FOIA	04/10/2014	Records relating to the Eighth Annual Capital Markets Summit	06/03/2014
14-00090-FOIA	04/10/2014	Request for a copy of all CFTC documents regarding charges against and investigations of Farr Financial during the time period of 2003 through 2013.	-
14-00091-FOIA	04/02/2014	Request for nonpublic records of DE Shaw & Co., and QVT Financial LP	06/03/2014
14-00092-FOIA	04/16/2014	Copies of FR-1-FCMs filed by Farr Financial from 2007 through 2010	-
14-00093-FOIA	04/15/2014	Commission's investigation records re US CFTC v. MBF Clearing Corp (12 CV 1830 (SDNY 2012))	-
14-00095-FOIA	05/02/2014	Records regarding financial statements of CFTC registrants	-
14-00096-FOIA	05/06/2014	Communications between the Office of the White House Counsel and the CFTC Compliance Office and the Office of General Counsel Regarding the Review of CFTC Records	-
14-00097-FOIA	05/06/2014	Alphabetical listing of all current CFTC employees (no contractors)	06/20/2014
14-00098-FOIA	05/07/2014	The TEPPCO propane market	05/14/2014

<u>14-00102-FOIA</u>	06/06/2014	Copy of current contracts, purchase and delivery orders for online legal research services with Accurint	-
<u>14-00104-FOIA</u>	06/04/2014	Records in CFTC FOIA Request File 09-0158	07/02/2014
<u>14-00105-FOIA</u>	06/04/2014	Copy of all FOIA Requests from 1992 through June 4, 2014 (Date Range for Record Search: From 01/01/1992 To 06/11/2014)	-
<u>14-00106-FOIA</u>	06/04/2014	Request for records in response to FOIA Request No. 11-00151	07/02/2014
<u>14-00110-FOIA</u>	06/04/2014	Request for production of all records in CFTC FOIA Request File 06-0128	07/14/2014
<u>14-00112-FOIA</u>	06/04/2014	Request for Records in CFTC FOIA Request File No. 10-0078	07/18/2014
<u>14-00113-FOIA</u>	06/11/2014	List of Settlement Agreements Entered in Calendar Year 2013	07/14/2014
<u>14-00114-FOIA</u>	06/12/2014	Request copies of all incoming-and-outgoing correspondence between the Commissioners, the Office of Legislative Affairs, and Michael Frederick Mike McFadden from January 1, 1993, to the present (or as available).	-
<u>14-00115-FOIA</u>	06/18/2014	Minutes of a meeting held on 11/2/2010 between Gary Gensler, Rosemary Hollinger and Jump Trading LLC executives Bill DiSomma, Paul Gurinas and Matt Schrecengost.	06/27/2014
<u>14-00117-FOIA</u>	05/19/2014	Non-public registration information for various registrants	-
<u>14-00118-FOIA</u>	06/17/2014	Non-public registration records of Rieden, Misra, and Solsvig III	-
<u>14-00121-FOIA</u>	07/11/2014	Letters between Jeb Hensarling and CFTC, sent by US mail, email and other methods of delivery.	-
<u>14-00122-FOIA</u>	07/11/2014	Letters between Maxine Waters and CFTC, sent by US mail, email and other methods of delivery.	-
<u>14-00123-FOIA</u>	07/11/2014	Letters between Patrick McHenry and CFTC, sent by US mail, email and other methods of delivery.	-
<u>14-00131-FOIA</u>	07/01/2014	Any and all statements filed with the General Counsel between January 1, 2012, and June 30, 2014, pursuant to 17 CFR 140.735-6(e) and the responses	-
<u>14-00132-FOIA</u>	07/23/2014	Request for any and all notices, filings or waivers by Commissioner Scott O'Malia (or his staff on behalf of O'Malia) concerning discussions with outside parties for future employment from January 1, 2014 to July 23, 2014	-
<u>14-00134-FOIA</u>	07/24/2014	All information, including contract description, justification and work (or description of work) rendered, under federal contract ID: CFHRB14DO0091	-
<u>14-00135-FOIA</u>	07/24/2014	An electronic/digital copy of the CFTC FOIA Case Log for the time period January 2008 to the present.	-

Total No of Requests : 630