



governmentattic.org

"Rummaging in the government's attic"

Description of document: Log of calls received by the Office of Government Ethics (OGE) from Congress, 2011-2013

Request date: 30-September-2013

Released date: 31-October-2013

Posted date: 25-August-2014

Source of document: OGE FOIA Officer
Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917
Email: usoge@oge.gov
Fax: 202-482-9237
[Optional FOIA request format \(PDF\)](#)

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



United States
Office of Government Ethics
1201 New York Avenue, NW., Suite 500
Washington, DC 20005-3917

October 31, 2013

Tracking No: 13/46

The Office of Government Ethics (OGE) is granting in part and denying in part your Freedom of Information Act (FOIA) request, which was received by the OGE FOIA Office on September 30, 2013. In your request, you asked for a copy of the log of congressional inquiries maintained at OGE. In response to a request for clarification from OGE on October 28, 2013, you clarified that you wanted the records covering the time period from January 1, 2010 to present.

In response we are enclosing a copy of the log of calls received by OGE from Congress during the time period of January 1, 2011 to present, subject to deletions made in accordance with FOIA Exemption 6, 5 U.S.C. § 552(b)(6) as information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. The deletions are each marked with a reference to FOIA exemption "(b)(6)" in the enclosed copies. In addition, OGE has searched and has not located any records responsive to your request for a copy of a log of congressional inquiries for the time period of January 1, 2010 to December 31, 2010.

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, as codified at 5 U.S.C. § 552(a)(6)(A), and OGE's FOIA regulations, at 5 C.F.R. § 2604.304, you may administratively appeal this determination to the General Counsel, Office of Government Ethics, 1201 New York Avenue, N.W., Suite 500, Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 30 days of the date you receive this response letter. If you do appeal, you should include copies of your request and this response as well as a statement of why you believe this initial determination is in error. Also, if you appeal, you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,

A handwritten signature in black ink, appearing to read "Arthur Andrew Lopez".

Arthur Andrew Lopez
OGE FOIA Officer

Enclosure[s]

Interaction ID	Title	Initiation Date	Status	Source	Contact	Caller Email	Date Modified	Assigned/Credited	Categories
2102	Request for info about COGEL	10/25/2013	Resolved	Congress	Omar Ashmawy - Staff Director and Chief Counsel	(b)(6)	10/29/2013	Wendy Pond	Other
1808	207 Public Commentary Provision	9/11/2013	Resolved	Congress	Lynn Tran -	(b)(6)	9/11/2013	Rachel Dowell, Shelley Finlayson	207, Senior Employee
1697	SGE Day Counting and Congressional Access to OGE 450 reports	8/20/2013	Resolved	Congress	Cherri Branson - Committee Staff	(b)(6)	8/26/2013	Heidi Moss	450s, SGE
1508	Congressional Request for Documentation Concerning SGEs/Outside Activities	7/24/2013	Resolved	Congress	Darrel Issa - Chairman, HOCR	(b)(6)	8/8/2013	Chris Swartz, Christine Conyers, Doug Chapman, Heidi Moss, Rachel Dowell, Shelley Finlayson	Outside Activities, SGE, Standards of Conduct - Other, Supplemental Agency Regulation
1325	DOMA Case: Congressional Budget Office	7/17/2013	Resolved	Congress	Rebecca Verreau - Senior Counsel, Congressional Budget Office.	(b)(6)	7/17/2013	Vincent Salamone	278s
1308	Access to Records: Senate Energy Committee	7/16/2013	Resolved	Congress	Keith Chu - Senate Staff	(b)(6)	7/17/2013	Vincent Salamone	278s, Website
1248	Legislative Branch Question on Nominee	7/8/2013	Resolved	Congress	Greg Dean - Chief Counsel, Banking Cmte. (Rnk. Member)	(b)(6)	7/9/2013	Deb Bortot	278s
1168	Equal Classification	6/24/2013	Resolved	Congress	Alan Slobodin - House Energy & Commerce Committee	(b)(6)	7/10/2013	Rachel Dowell, Shelley Finlayson	278s, 450s
1051	Hill Inquiry Regarding Statistics on Willful Non-Filing	6/19/2013	Resolved	Congress	Heather Jones -	(b)(6)	6/19/2013	Chris Swartz	278s, Enforcement
1144	Assistance provided to Congressional committee re. VA 1353 reports	6/18/2013	Resolved	Congress	Sophie Bowen - Subcommittee on Oversight and Investigations	(b)(6)	6/26/2013	Christine Conyers	1353

1034	Congressional Oversight Committee request for VA 1353 records from 2010-2012	6/18/2013	Resolved	Congress	Sophie Bowen -	(b)(6)	6/18/2013	Christine Conyers	1353, LRM (Legislative Referral Memorandum)
997	DOJ Referral Question	6/14/2013	Resolved	Congress	Heather Jones - Senior Counsel	(b)(6)	6/14/2013	Rachel Dowell, Shelley Finlayson	Ethics Program Administration
970	Senate office request for nominee report	6/12/2013	Resolved	Congress	Chris Lucas -	(b)(6)	6/12/2013	Rachel Dowell	278s
908	Ethics Pledge Database	6/5/2013	Resolved	Congress	Jacob Strauss -	(b)(6)	6/6/2013	Rachel Dowell, Shelley Finlayson	Ethics Pledge
658	Conflicts/Outside Activities	5/14/2013	Resolved	Congress	Nick Geale - Director of Oversight & Investigations	(b)(6)	5/15/2013	Heidi Moss	208, Outside Activities, Standards of Conduct - Other
643	FACA inquiry	5/13/2013	Resolved	Congress	-	(b)(6)	5/13/2013	Shelley Finlayson	SGE
614	Question Regarding Available Public Financial Disclosure Reports	5/9/2013	Resolved	Congress	Brian F. - Staff, Senate Banking Committee	(b)(6)	6/10/2013	Heidi Moss	278s
631	Questions Regarding H.R. 1104 and ethics implications	5/9/2013	Resolved	Congress	Krista Boyd - Counsel	(b)(6)	5/10/2013	Heidi Moss	SGE
612	Question regarding Misuse of Position	5/6/2013	Resolved	Congress	Nick Geale - Director of Oversight & Investigations	(b)(6)	5/14/2013	Heidi Moss	Gifts between Employees, Gifts from Outside Sources, Misuse of Position
488	Conflicts	4/25/2013	Resolved	Congress	-	(b)(6)	5/1/2013	Diana Veilleux, Shelley Finlayson	Appropriations
492	Nominee	4/24/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Deb Bortot	278s
508	Conflicts	4/22/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Shelley Finlayson	Standards of Conduct - Other
509	STOCK	4/22/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Diana Veilleux, Shelley Finlayson	E-Filing, STOCK Act
511	STOCK	4/17/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Chip Christopher, Shelley Finlayson	E-Filing, STOCK Act
338	Ethics question from House Committee on Oversight and Government Reform	4/17/2013	Resolved	Congress	Brian Dainer - Counsel	(b)(6)	4/18/2013	Heidi Moss, Steve Corbally	Ethics Program Administration
513	N/A	4/15/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Chris Swartz, Heidi Moss	Other, Standards of Conduct - Other

514	Post Employment	4/15/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Rachel Dowell, Shelley Finlayson	Other
515	STOCK	4/15/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	E-Filing, STOCK Act
517	STOCK	4/15/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	STOCK Act
354	Conflicts	4/12/2013	Resolved	Congress	Nick Geale - Director of Oversight & Investigations		5/10/2013	Heidi Moss	208, 209, Gifts from Outside Sources, Standards of Conduct - Other
519	STOCK	4/11/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	E-Filing, STOCK Act
520	STOCK	4/9/2013	Resolved	Congress	-		5/2/2013	Don Fox	STOCK Act
522	STOCK	4/8/2013	Resolved	Congress	-		5/2/2013	Diana Veilleux, Shelley Finlayson, Walt Shaub	E-Filing, STOCK Act
523	STOCK	4/8/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	E-Filing, STOCK Act
524	STOCK	4/8/2013	Resolved	Congress	-		5/2/2013	Chris Swartz, Diana Veilleux, Don Fox, Shelley Finlayson, Walt Shaub	STOCK Act
525	STOCK	3/29/2013	Resolved	Congress	-		5/2/2013	Chris Swartz, Don Fox, Shelley Finlayson	STOCK Act
526	STOCK	3/22/2013	Resolved	Congress	-		5/2/2013	George Hancock, Gilbert Carlson	STOCK Act
527	STOCK	3/19/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	STOCK Act
528	Conflicts	3/18/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	Detailees
529	STOCK	3/14/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	E-Filing
530	Conflicts	3/14/2013	Resolved	Congress	-		5/6/2013	Heidi Moss, Shelley Finlayson, Vincent Salamone	Standards of Conduct - Other
531	Conflicts	3/5/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	Conflicting Financial Interests
532	Conflicts	3/5/2013	Resolved	Congress	-		5/6/2013	Heidi Moss	Standards of Conduct - Other
534	Nominee	2/28/2013	Resolved	Congress	-		5/2/2013	Deb Bortot	278s, Other
536	STOCK	2/12/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	E-Filing, STOCK Act
537	STOCK	2/4/2013	Resolved	Congress	-		5/2/2013	Shelley Finlayson	E-Filing, STOCK Act
538	Financial Disclosure	1/28/2013	Resolved	Congress	-	5/2/2013	Christine Conyers, Shelley Finlayson	278s	

539	STOCK	1/24/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Chris Swartz, Seth Jaffe, Shelley Finlayson	STOCK Act
540	Nominee	1/24/2013	Resolved	Congress	-	(b)(6)	5/2/2013	Heidi Moss, Sandy Mabry, Shelley Finlayson	Other

KEY	
AG	= Agency
AP	= Approps
CO	= Conflicts
CR	= Criminal
FD	= Fin Discl
GI	= Gifts
KT	= Contractor
PE	= Post Emp
PL	= Pledge/EO
EI	= EIGA
ST	= STOCK
NOM	= nomin

CALLS

CODE	DATE	ISSUE	INITIALS
CONTACTS			
	6/28/2013	House committee call regarding equal classification.	RD
	6/12/2013	Senate office request for nominee report (website down)	RD
PL	5/5/2013	Call from CRS re Ethics Pledge questions	RD
CO	4/25/2013	Call from Senate approps subcommittee re investigation at federal foundation	SF/DV
NOM	4/24/2013	Call from House ethics re FD	DB
CO	4/22/2013	Call from House oversight re possible violation of SOC nonpublic info(DOJ)/how to get investigated/enforced	SF
ST	4/22/2013	Call with House approps re efilng and update on STOCK Act	SF/DV
ST	4/17/2013	Call re efilng and STOCK Act compliance from Senate Ethics	SF/DC
	4/15/2013	Call re political committees and ethics law for staff of Ranking Member, Senate Health, Edu, Labor & Pensions Comm.	HM/CS
PE	4/15/2013	Call re post-employment restrictions for members of Financial Stability Oversight Council	SF/RD
ST	4/15/2013	Call from Senate Records re STOCK Act/efiling	SF
ST	4/15/2013	Call from House Clerk's office re STOCK Act	SF
ST	4/11/2013	Call with HOGF re approach to implementing efilng/ contracting concerns	SF
ST	4/9/2013	Call with HSGAC re STOCK Act amendments	DF
ST	4/8/2013	Contact from House leadership re efilng	SF/DV/WMS
ST	4/8/2013	Call with Senate (multiple committees) on STOCK Act efilng	SF
ST	4/8/2013	Call re technical assistance on STOCK Act amendments	CS/DF/SF/DV/WMS
ST	3/29/2013	Call with HSGAC re STOCK Act amendments	CS/DF/SF
ST	3/22/2013	House IT regarding STOCK Act implementation	GH/GC
ST	3/19/2013	House Clerk re STOCK Act implementation	SF
CO	3/18/2013	Senate Ethics inquiry re ethics requirements for furloughed EB fellows/details working for Senate	SF
ST	3/14/2020	Committee staff inquiry regarding contract for efilng	SF
CO	3/14/2013	Response to House re ethics provisions for members of FACA committees	SF/HM/VS

CODE	DATE	ISSUE	INITIALS
CONTACTS			
PE	12/19/2012	Call from House Homeland Security Committee majority staff re 207(f)	SF/CS
FD	11/30/2012	Call from Senate Committee on Environmental and Public Works Re: nominee	DV
ST	12/2/2020	Conference call with HSGAC on STOCK amendments	SF/DF
ST	11/30/2020	Technical assistance to HSGAC on STOCK amendments	SF/DF/WS/DB
ST	11/30/2012	Requested cost information on STOCK Act	SF
ST	11/27/2012	Call from Counsel to Clerk of the House regarding STOCK Act	SF
ST	11/14/2012	Requested technical assistance from HSGAC	SF
ST	11/9/2012	Requested technical assistance from HSGAC (transactions)	CS/SF
ST	11/1/2012	Call from HSGAC majority	SF
CO	10/19/2012	Call from House committee staff re HHS program reviews	LF/SF
ST	9/25/2012	Call with HOCR majority on STOCK Act	SF
PL	9/18/2012	Call from CRS re Pledge waivers	SF
ST	9/18/2012	Call with Senate committee staff re STOCK Act - 201 form	SF
ST	9/18/2012	Call with House Oversight Staff re STOCK Act	SF
AP	9/17/2012	Call with House Oversight Staff re Sequestration	SF/DV/DF/CS
ST	9/12/2012	Call with Senate committee staff re STOCK Act	SF
CO	9/5/2012	Call with Rep's staff re FD filing	SF/DB/LF
ST	9/4/2012	Call with Rep's staff re STOCK Act	SF/DV
ST	8/29/2012	Call with Rep's staff re possible STOCK Act amendments on posting	SF
AP	8/29/2012	Call with House approps subcommittee on aomaly and STOCK Act implementation	SF
AP	8/28/2012	Call with Senate approps subcommittee on aomaly and STOCK Act implementation	SF
ST	8/23/2012	Call with House Clerk re implementation of STOCK Act	SF
ST	8/23/2012	Call with Senate committee staff re STOCK Act	SF/DF
ST	8/22/2012	Call from House committee staff re STOCK Act - filers	SF
AP	8/9/2012	Call from House approps subcommittee on aomaly and STOCK Act implementation	SF
CO	8/9/2012	Call from Senate committee staff re investigation of ethics violations at small agency with no IG	SF
ST	8/2/2012	Senate Ethics regarding technical amendments to STOCK Act	SF
ST	8/2/2012	Senate staff re technical amendment to STOCK Act	SF/DF
ST	8/2/2012	Call from House staff re intelligence filers	SF/DF
FD	7/31/2012	Call from Senate committee staff re WH policy on resigning outside positions	SF/DB

CO	7/25/2012	Call with senator's office re: Denali Commission Reorganization Act of 2012	SF/DJ
ST	7/19/2012	Call from minority leader's office re national security concerns with STOCK Act	SF
ST	7/19/2012	Call from Senator's office to provide a copy of a letter to the President about OGE's interpretation of STOCK Act	SF
ST	7/19/2012	Call from Senate counsel re STOCK Act posting	SF
ST	7/18/2012	Call with House Clerk counsel re STOCK Act posting	SF
ST	7/18/2012	Call from Rep's office with concerns re STOCK Act/privacy	DV
ST	7/18/2012	Request for technical assistance re possible reading of scope of STOCK Act	SF
NOM	7/18/2012	Call with committee regarding staff interview and hearing	SF
NOM	7/18/2012	Calls with Rep's office re confirmation hearing	DV/SF
NOM	7/16/2012	Calls with Rep's office re confirmation hearing	DV
NOM	7/10/2012	Call from Senator's staffer regarding possible legislation altering candidate FD	SF/DB/SM
NOM	7/10/2012	Call to committee re OGE nomination hearing	SF
NOM	7/10/2012	Call from subcommittee staff re OGE nomination hearing	SF
ST	6/29/2012	Call from House staff re: timeline for guidance on STOCK Act	DV
EI	6/20/2012	Provided technical assistance to HSGAC	SF
PE	6/19/2012	Request for technical assistance on criminal COI/ post employment provision from House approps staff	SF/BB
CR	6/13/2012	Request for information about investigation of campaign spending from House approps staff	SF
NOM	5/31/2012	Call with committee to discuss OGE nomination	SF
EI	6/6/2012	Request for information from Senate committee staff re reporting requirements of STOCK Act	SF
	5/24/2012	Call with senator's Office re: Denali Commission Reorganization Act of 2012	SF/DJ
FD	5/24/2012	Request from House Energy for 278s	LF
AP	5/23/2012	Request for information from House approps staff	SF
FD	5/21/2012	Call from Senate Committee on Environmental and Public Works re: information on filling out 278s	DV
EI	5/4/2012	Call from House Science Committee staffer re: rules and process for approval of outside activities of federal employees	DV
EI	5/8/2012	Call from Senator's staffer regarding public employee salary information (new positions). Referred to OPM	SF
FD	5/3/2012	Calls and emails to coordinate meeting on 5/3/12 with OGE & Army with House and Senate re efilng	SF/DF
FD	5/1/2012	Call with House staff re implementation of STOCK Act	WS/SF
EI	4/30/2012	Call with House and Senate staff re implementation of STOCK Act	WS/KL
EI	4/26/2012	Call from Clerk of the House re implementation of STOCK Act	SF
FD	4/25/2012	Calls and emails to coordinate meeting on 4/25/12 with OGE & Army with House and Senate re implementation of STOCK Act	SF/DF/WS

AP	4/24/2012	Committee staff request re impact of proposed amendment on OGE and technical assistance	SF/DF
EI	4/23/2012	Personal staff of Rep requests information regarding OGE implementation of STOCK Act/ constituent concerns	DF
EI	4/18/2012	Committee staff request for technical assistance with a bill. Subject of hearing on 4/25/12. Verbal analysis provided on 4/23/12.	DV/CS
AP	4/17/2012	Appropriation staff inquiry re OGE's FTE	SF
FD	4/11/2012	Call with Senate staff re implementation of STOCK Act	SF
EI	4/11/2012	Representatives personal office staff inquiry about OGE's role in implementing the STOCK Act/ receiving concerned calls from constituents.	SF
FD	4/10/2012	Call with Clerk of House re implementation of STOCK Act	SF
EI	3/29/2012	Member's district office regarding turning over threatening emails	SF
FD	3/27/2012	Senate Ethics Regarding the STOCK Act	SF
EI	3/26/2012	Left message with Larry Novoy re: Domestic Partnership Benefits and Obligations Bill (S. 1910) and adding domestic partners to the definition of eligible person at 26 USC 1043.	KC/RD
EI	3/22/2012	Senate subcommittee staffer called requesting information on the STOCK Act (phone tag from 3/19/12)	SF
FD	3/22/2012	House Clerk's office requesting information about changes to OGE 450 as a result of the STOCK Act	SF
FD	3/20/2012	Senate full committee staffer asked a clarifying question re the STOCK Act	SF
EI	3/19/2012	Conference call with OPM and DOL re: Domestic Partnership Benefits and Obligations Bill (S. 1910) and whether the provision that would permit DOL to make independent DP determinations under FECA would also trigger other OPM benefits and OGE obligations	KC/RD
PL	3/16/2012	House Staffer called requesting information on the status of the Lobbyist Gift Ban rule, on behalf of a constituent.	LF
CO	2/14/2012	House Energy and Commerce and HOCR minority request regarding compensation of representatives (versus SGEs) OGE LA 05x4.	VS/SF
ST	2/1-2/28/12	STOCK Act - approximately 35 requests for technical assistance	All
CR	2/7/2012	House Ethics Committee Request for Technical Assistance Regarding Financial Disclosure Reporting	DV/LF
EI	2/1/2012	Senate Committee Staffer Request for Technical Assistance Regarding Coverage of Fin. Disc. Reporting	DV/LF
EI	1/27/2012	Committee request for technical assistance on bill (misuse of nonpublic information)	SF/LF
EI	1/26/2012	Subcommittee request for additional information on a bill	SF
FD	1/26/2012	CBO request for cost information and contacts on a bill	SF
CO	1/25/2012	Request for nominee information	SM
CO	1/20/2012	Request from Staffer for assistance on congressional ethics inquiry.	LF

AG	1/5/2011	Request from Congressional Budget Office for cost information on a bill	SF
PE	1/5/2012	Request for extension of time from prior procurement information request	SF
CO	12/20/2011	Staffer request for OGE guidance on post employment restrictions on letters of reference	KC/SF
CO	12/16/2011	Staffer request for technical assistance on bill (commission member COIs)	LF/SF
EI	12/13/2011	Concern/request re agency testimony to congressional committees on recusal	SJ
EI	12/13/2011	Conference call for technical assistance on two proposed amendments on medical professionals (outside earned income)	SF/DB/KL/LF
FD	12/13/2011	Staffer requested technical assistance on a proposed amendment on nonpublic information	SF
EI	12/7/2011	Staffer requested informaiton on efilng signatures on FD forms in the executive branch (Braud)	SF
CO	11/30/2011	Conference call with staff regarding use of nonpublic information	SF/SJ
CO	11/22/2011	Conference call with staff regarding procedure for requesting a formal advisory opinion from OGE	SF/LF
FD	10/27/2011	Conference call with staffers regarding COI provision in a grants bill.	SF/LF
PL	10/25/2011	Two staffers called regarding financial disclosure (fraternal and social positions)	SF
CO	10/25/2011	Staffer called regarding lobbyist gift ban rule	SF
AP	10/21/2011	Staffer requested technical assistance on a federal grants bill.	LF/SF
CO	7/27/2011	Staffer requested information about news coverage of OGE's conference	SF
PL	7/13/2011	Staffer requested information about an agency's use of recusals	KD
CO	7/13/2011	Staffer from permanent subcommittee called to ask how to obtain information on former political appointee ethical obligations and post-government employment advice.	KAC
FD	7/7/2011	Staffer requested technical assistance on a federal advisory committtee bill.	KD/SF
FD	6/20/2011	Staffer from committee called to ask why Ethics Agreements were included in financial disclosure package. Explained the particular financial disclosure forms staffer was asking about are not filers that come through OGE and staffer should communicate with agency that forwarded the documents.	KAC
AP	6/17/2011	Staffer requested additional information about a nominee's 278.	ER
CO	6/8/2011	Staffer requested information about OGE's reauthorization and appropriations level.	SF
PL	5/1-6/25/2011	Staffers requested technical assistance on new ethics requirements for a specific agency.	KD/SF
AP	3/21/2011	Staffer inquired about OGE's role in Executive Order 13490.	DV
FD	2/??/2011	Staffer requested information about OGE's mission and spending	DV
	2/11/2011	Staffer requested meeting regarding OGE's role in PAS nomination process.	DV