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Description of document: List of Export-Import Bank of the United States (Ex-Im Bank) Office of Inspector General (OIG) investigations closed during calendar year 2013

Request date: 23-June-2014

Released date: 27-June-2014

Posted date: 29-September-2014

Source of document: Export-Import Bank of the United States
Freedom of Information and Privacy Office
811 Vermont Ave., NW
Washington, D.C. 20571
Fax: Dawn R. Kral at (202) 565-3294
E-Mail: foia@exim.gov
[Online Freedom of Information Act Request Form](#)

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EXPORT-IMPORT BANK
of the UNITED STATES

OSVALDO L. GRATACÓS
INSPECTOR GENERAL

June 27, 2014

Re: FOIA Request # 201400017F

This is the final response to your Freedom of Information Act (FOIA) request to the Export-Import Bank of the United States (Ex-Im Bank). We received your request in our office on June 3, 2014. You requested a “the list of investigations closed during calendar year 2013.”

The records maintained by the Office of Inspector General produced a document responsive to your request. This document is attached, and redactions are noted with the corresponding FOIA exemption:

FOIA Exemption 6 exempts from disclosure personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy.

FOIA Exemption 7(A) permits the withholding of records or information compiled for law enforcement purposes, when the disclosure of such information could reasonably be expected to interfere with an ongoing enforcement proceeding in which final action has not been reached. The closed cases redacted under this exemption are related to ongoing cases.

FOIA Exemption 7(C) protects records or information compiled for law enforcement purposes that could reasonably be expected to constitute an unwarranted invasion of personal privacy. This exemption takes particular note of the strong interests of individuals, whether they are suspects, witnesses, or investigators, in not being unwarrantably associated with alleged criminal activity. That interest extends to persons who are not only the subjects of the investigation, but those who may have their privacy invaded by having their identities and information about them revealed in connection with an investigation. Based upon the traditional recognition of strong privacy interest in law enforcement records, categorical withholding of information that identifies third parties in law enforcement records is ordinarily appropriate.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. §552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all of our requesters and should not be taken as an indication that excluded records do, or do not, exist.

You have the right to appeal the action on this request by writing Export-Import Bank of the United States, Attention: Assistant General Counsel for Administration, 811 Vermont Avenue, N.W., Washington, DC 20571. Any appeal must be received by that office within 30 days from the date of this letter. The phrase "FOIA APPEAL" should appear on the letter and on the outside of the envelope containing the appeal. You may also submit an appeal by E-mail at FOIA@EXIM.GOV. If sending via e-mail, please put "FOIA APPEAL" in the subject line of your e-mail.

If you have any questions about this response, please contact me at (202) 565-3169 or by E-Mail at mike.mccarthy@exim.gov.

Sincerely,

A handwritten signature in black ink, appearing to read "M. McCarthy", written in a cursive style.

Michael T. McCarthy
Deputy Inspector General & Counsel



Investigative Log Summary

Export-Import Bank of the United States
Office of Inspector General
Office of Investigations

Closed

Number	Referred Date	Assigned Date	ProA Date	PI Date	Case Opened	Agent Assigned	Case Title	Open or Closed Date
07-0009-OGC-C	01/07/08	01/07/08			01/07/08	(b) (6)	(b) (6), (b) (7)(C)	10/01/13
08-0011-OGC-C	09/29/08	09/29/08			09/29/08	(b) (6)	Zephyr Financial LLC	12/31/13
09-0009-AMD-C	02/25/09	02/26/09			03/10/09	(b) (6)	Western Steel Building Systems (et al.)	09/06/13
09-0012-OGC-PI-C	01/17/09	02/18/09		03/19/09	07/29/09	(b) (6)	(b) (6), (b) (7)(C)	03/28/13
09-0014-AMD-PI-C	02/19/09	02/26/09		03/25/09	09/08/09	(b) (6)	(b) (6), (b) (7)(C)	02/26/13
09-0016-AMD-PI-C	03/31/09	04/09/09		04/15/09	07/01/09	(b) (6)	Gaviv S.A. Enterprises (et al)	09/03/13
09-0022-AGT-C	08/04/09	08/04/09			08/05/09	(b) (6)	C&R International Sales, Inc.	07/03/13
09-0023-AMD-C	05/21/09	07/08/09			08/06/09	(b) (6)	AES Heavy Equipment Rental	12/02/13
09-0011-AMD-C	05/28/08	02/18/09			03/11/09	(b) (6)	(b) (6), (b) (7)(C)	02/12/13
10-0002-AGT-C	11/02/09	11/02/09			11/02/09	(b) (6)	CT Implement (et al.)	09/13/13
10-0004-AMD-PI-C	06/19/09	06/22/09		11/12/09	03/26/10	(b) (6)	(b) (6), (b) (7)(C)	02/21/13
11-0004-AGT-C	05/03/11	05/04/11			05/04/11	(b) (6)	(b) (6), (b) (7)(C)	03/21/13
12-0001-OGC-C	09/09/11	09/21/11			10/17/11	(b) (6)	Servicios Medicos de la Frontera (et al.).	01/10/13
12-0009-AMD-PI	11/21/11	11/21/11		12/22/11		(b) (6)	Mestre Equipment Company (et al)	02/04/13
12-0011-AMD-PI	04/04/12	04/05/12		04/11/12		(b) (6)	Pro Energy Holdings, Inc (et al)	02/07/13
12-0013-AGT	04/26/12	04/26/12	05/24/12			(b) (6)	PROACTIVE: European Commision, Ex-Im Bank C	02/04/13
12-0017-GRG-PI	06/13/12	06/21/12		07/23/12		(b) (6)	Southern Textile Exchange, LLC (et al)	02/25/13
13-0001-AMD-PI	09/17/12	09/28/12		11/01/12		(b) (6)	J.R.D Exports Inc. (et al.)	06/12/13
13-0003-GOV-PI	12/07/12	12/10/12		12/10/12		(b) (6)	HR Hiring Practices	07/01/13
13-0004-DOJ-PI	01/09/13	01/10/13		02/25/13		(b) (6)	(b) (7)(A)	06/25/13
13-0005-OGC-PI	02/15/13	02/21/13		04/04/13		(b) (6)	Interaero (et al.)	11/12/13
13-0006-OGC-C	02/15/13	02/21/13			04/05/13	(b) (6)	Topmeat Trading LLC, McAllen, Tx (et al)	11/04/13
13-0007-AMD-PI	02/26/13	03/22/13		04/30/13		(b) (6)	Knewtson Soy Products (et al)	09/17/13
13-0013-DOJ-PI	07/23/13	07/23/13		07/31/13		(b) (6)	(b) (7)(A)	08/27/13
13-0016-AMD-PI	07/18/13	07/19/13		08/16/13		(b) (6)	Filepa SRL, Italy (et al)	12/04/13
14-0002-AGT-PI	10/22/13	10/23/13		10/25/13		(b) (6)	(b) (7)(A)	12/02/13

Number	Referred Date	Assigned Date	ProA Date	PI Date	Case Opened	Agent Assigned	Case Title	Open or Closed Date
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Report Range: Closed Between 1/1/2013 and 12/31/2013

Cases:	14	
PIs:	11	<i>This number represents PIs that have not turned into cases.</i>
Proactives:	1	<i>Proactives which are not PIs or Cases</i>
TOTAL Investigations	<u>26</u>	<i>Total Investigations on report listing</i>