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*"Rummaging in the government's attic"*

Description of document:	Records indicating the impact of federal budget sequestration upon Central Intelligence Agency (CIA) operations and functions, 2013
Request date:	14-April-2013
Released date:	18-June-2015
Posted date:	20-July-2015
Source of document:	Information and Privacy Coordinator Central Intelligence Agency Washington, D.C. 20505 Fax: 703-613-3007 <a href="#">File a FOIA Records Request Online</a>

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Washington, D.C. 20505

18 June 2015

Reference: F-2013-01462

This is a final response to your 14 April 2013 Freedom of Information Act (FOIA) request for "a copy of any reasonably retrievable memos, reports, papers or emails concerning the impact of sequestration on the agency's Office of the Inspector General. I limit my request to those records originated in the last twelve months only." We processed your request in accordance with the FOIA, 5 U.S.C. § 552, as amended, and the CIA Information Act, 50 U.S.C. § 431, as amended. Our processing included a search for records as described in our 1 May 2013 acceptance letter.

We completed a thorough search for records responsive to your request and located the enclosed five documents, consisting of 103 pages, which we can release in segregable form with deletions made on the basis of FOIA exemptions (b)(1), (b)(3), and/or (b)(5). An explanation of exemptions is also enclosed. Additional material was determined to be currently and properly classified and must be denied in its entirety on the basis of FOIA exemptions (b)(1) and (b)(3). Exemption (b)(3) pertains to information exempt from disclosure by statute. The relevant statutes are Section 6 of the Central Intelligence Agency Act of 1949, as amended, and Section 102A(i)(1) of the National Security Act of 1947, as amended.

As the CIA Information and Privacy Coordinator, I am the CIA official responsible for this determination. You have the right to appeal this response to the Agency Release Panel, in my care, within 45 days from the date of this letter. Please include the basis of your appeal.

Sincerely,

A handwritten signature in cursive script that reads "Michael Lavergne".

Michael Lavergne  
Information and Privacy Coordinator

Enclosures

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**Office of Inspector General**  
**Fiscal Year, Mid-Year Status Report**  
**31 March 2013**

**SUMMARY**

**Statistics on Issued Products (number of products issued):**

<b>Products</b>	<b>Calendar Year<sup>1</sup></b>							<b>Mid-Year 2013<sup>2</sup></b>
	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	
Audit Reports	20	23	25	24	18	27	26	4
Inspection Reports	8	14	11	12	13	11	7	4
Special Review Reports	-	-	-	-	-	3	3	0
Investigations:								
Reports of Investigation	7	4	9	14	13	13	26	8
Disposition Memos	33	24	30	44	71	70	13	10
Matters Completed	265	176	240	236	268	305	650	175
DOJ Referrals	6	17	27	25	25	42	35	6
Criminal Prosecutions	N/A	N/A	6	5	5	2	3	2

<sup>1</sup> Figures for 2006-2012 reflect data for that calendar year.

<sup>2</sup> Beginning in 2013, the figures will be based on a fiscal year. Since Oct - Dec 2012 are already accounted for in the calendar year 2012 information, the Mid-Year 2013 figures reflect data between 1 January and 31 March 2013.

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**Statistics on Issued Reports (length of time in months):**

Start to	Audit*		Inspections		Investigations	
	Draft	Final	Draft	Final	Draft	Final
<b>FY 2013<sup>3</sup></b>	14.9	17.4	9.5	14.1		20.8
<b>CY 2012</b>	10.0	13.4	6.7	8.7	N/A	20.6
<b>CY 2011</b>	14.4	17.8	6.8	9.3	36	39.4
<b>CY 2010</b>	10.1	13.6	7.0	9.2	N/A	N/A
<b>CY 2009</b>	10.1	13.5	6.1	8.2	N/A	N/A
<b>CY 2008</b>	10.6	13.5	6.8	9.1	N/A	N/A
<b>CY 2007</b>	10.1	12.1	6.9	9.4	N/A	N/A

\*excludes Field Station Audits

**Statistics on Ongoing Projects:**

Open	Audits	Inspections	Investigations
< 271 days	30	6	79
> 271 days	6	1	13
> 365 days	2	3	27
> 730 days	5	0	22
<b>Total</b>	<b>43</b>	<b>10</b>	<b>141</b>

**Statistics on Open Recommendations:**

Open	Audits <sup>4</sup>	Inspections	Investigations
< 271 days	75	21	15
> 271 days	20	8	0
> 365 days	44	24	2
> 730 days	55	9	1
<b>Total</b>	<b>194</b>	<b>62</b>	<b>18</b>

<sup>3</sup> Data is from 1 January - 31 March 2013.

<sup>4</sup> Of the 194 Open Recommendations, 26 are financial statement audit-related (<271= 0; >271 = 0; >365 = 3; > 730 = 23)

**Office of Inspector General**  
**Fiscal Year, Mid-Year Status Report**  
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**Statistics on Congressional Interest:**

Congressional Requests for:	Calendar Year <sup>5</sup>							Mid Year 2013 <sup>6</sup>
	2006	2007	2008	2009	2010	2011	2012	
OIG Reports	N/A	36	23	28	26	10	21	15 <sup>7</sup>
Staff or Member Briefings	N/A	14	7	12	1	4	8	4

**STATUS OF WORK PLANS****Audits**

Calendar Year	Completed (in order of start date)	Ongoing (in order of start date)	
2010	- Award Fee Evaluation Factors and Determinations		(b)(1) (b)(3)
2011	- The CIA's Management of Laptop Computers		(b)(1) (b)(3)
2012	- Review of the CIA's Improper Payments Disclosure in the FY 2012 Agency Financial Report	- Independent Quality Control Review of Financial Audit Services	(b)(1) (b)(3) (b)(1) (b)(3)

<sup>5</sup> Figures for 2006-2012 reflect data for that calendar year.

<sup>6</sup> Beginning in 2013, the figures will be based on a fiscal year. Since Oct - Dec 2012 are already accounted for in the calendar year 2012 information, the Mid-Year 2013 figures reflect data between 1 January and 31 March 2013.

<sup>7</sup> Of the 15 report requests, 0 were standing requests for reports

**Office of Inspector General**

## Fiscal Year, Mid-Year Status Report

**31 March 2013**

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**Office of Inspector General**  
**Fiscal Year, Mid-Year Status Report**  
**31 March 2013**

Calendar Year	Completed (in order of start date)	Ongoing (in order of start date)
		<div data-bbox="894 457 1430 772" style="border: 1px solid black; height: 150px; margin-bottom: 10px;"></div> <div data-bbox="894 772 1430 1024"> <ul style="list-style-type: none"> <li>- FY 2013 Financial Statements</li> <li>- Security Training for Privileged Users of CIA Systems</li> <li>- Evaluation of the Implementation of the Reducing Over-Classification Act</li> </ul> </div> <div data-bbox="894 1024 1430 1241" style="border: 1px solid black; height: 103px; margin-bottom: 10px;"></div> <div data-bbox="894 1241 1430 1352">CIA's Conferences and Related Activities</div>

(b)(1)  
(b)(3)

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**Audit - Work Plan Status (Continued)**

2013 Work Plan – Deferred to 2014

- None

2013 Work Plan – Dropped: The Audit Staff was unable to conduct the following field audits due to sequestration:

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**Office of Inspector General**  
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**Inspections**

<b>Calendar Year/Fiscal Year</b>	<b>Completed (in order of start date)</b>	<b>Ongoing (in order of start date)</b>
<b>2012</b>		
<b>2013</b>		

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(b)(1)  
(b)(3)**2013 Work Plan - Deferred to 2014 Work Plan:**

- 

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(b)(3)**2013 Work Plan - Dropped:**

- None

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**Office of Inspector General**  
**Fiscal Year, Mid-Year Status Report**  
**31 March 2013**

**TIMELINES FOR AUDIT REPORTS ISSUED JANUARY – MARCH 2013**

<b>Audit</b>	<b>Start Date</b>	<b>Draft Issue Date</b>	<b>Time Elapsed, Start to Draft (months)</b>	<b>Final Issued Date</b>	<b>Time Elapsed, Start to Final (months)</b>
Award Fee Evaluation Factors and Determinations	12/14/2010	11/28/2012	23.83	3/7/2013	27.13
The CIA's Management of Laptop Computers	6/27/2011	1/3/2013	18.53	3/29/2013	21.37
	5/1/2012	10/24/2012	5.87	2/20/2013	9.83
Review of the CIA's Improper Payments Disclosure in the FY 2012 Agency Financial Report	11/27/2012	2/7/2013	2.40	3/15/2013	3.60
<b>AVERAGE TIME - 2013 To Date</b>			<b>12.7</b>		<b>15.5</b>
<b>AVERAGE TIME - 2013 (without field stations)</b>			<b>14.9</b>		<b>17.4</b>
<b>AVERAGE TIME - 2012</b>			<b>8.6</b>		<b>12.1</b>
<b>AVERAGE TIME - 2011 (without field stations)</b>			<b>10.0</b>		<b>13.4</b>
<b>AVERAGE TIME - 2011</b>			<b>11.4</b>		<b>14.4</b>
<b>AVERAGE TIME - 2012 (without field stations)</b>			<b>14.4</b>		<b>17.8</b>
<b>AVERAGE TIME - 2010</b>			<b>7.3</b>		<b>10.7</b>
<b>AVERAGE TIME - 2010 (without field stations)</b>			<b>10.1</b>		<b>13.6</b>
<b>AVERAGE TIME - 2009 (without field stations)</b>			<b>10.1</b>		<b>13.5</b>
<b>AVERAGE TIME - 2008</b>			<b>10.6</b>		<b>13.5</b>
<b>AVERAGE TIME - 2007</b>			<b>10.1</b>		<b>12.1</b>

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**Office of Inspector General**  
**Fiscal Year, Mid-Year Status Report**  
**31 March 2013**

**TIMELINES FOR INSPECTION REPORTS ISSUED JANUARY – MARCH 2013**

<b>Inspection</b>	<b>Start Date</b>	<b>Draft Issue Date</b>	<b>Time Elapsed, Start to Draft (months)</b>	<b>Final Issued Date</b>	<b>Time Elapsed, Start to Final (months)</b>
	9/6/2011	8/9/2012	11.27	2/11/2013	17.47
	3/5/2012	11/20/2012	8.67	3/28/2013	12.93
	3/5/2012	11/20/2012	8.67	3/28/2013	12.93
	3/5/2012	11/20/2012	8.67	3/28/2013	12.93
<b>AVERAGE TIME - 2013 (To Date)</b>			<b>9.5</b>		<b>14.1</b>
<b>AVERAGE TIME - 2012</b>			<b>6.7</b>		<b>8.7</b>
<b>AVERAGE TIME - 2011</b>			<b>6.8</b>		<b>9.9</b>
<b>AVERAGE TIME - 2010</b>			<b>7.0</b>		<b>9.2</b>
<b>AVERAGE TIME - 2009</b>			<b>6.1</b>		<b>8.2</b>
<b>AVERAGE TIME - 2008</b>			<b>6.8</b>		<b>9.1</b>
<b>AVERAGE TIME - 2007</b>			<b>6.9</b>		<b>9.4</b>

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**Office of Inspector General**  
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**TIMELINES FOR INVESTIGATION REPORTS ISSUED JANUARY - MARCH 2013**

<b>Investigation</b>	<b>Start Date</b>	<b>Draft Issue Date</b>	<b>Time Elapsed, Start to Draft (months)</b>	<b>Final Issued Date</b>	<b>Time Elapsed, Start to Final (months)</b>
(U) Conflict of Interest – Gifts and Gratuities [redacted]	1/31/2008	N/A	N/A	1/7/2013	60.10
(U) Misrepresentation of Academic Credentials by a Technical Intelligence Officer [redacted]	12/21/2010	N/A	N/A	2/15/2013	26.23
(U) Waste of Funds for Telecommunications Services 2011-10072-IG	2/22/2011	N/A	N/A	2/13/2013	24.07
(U) Conversion of Government Property For Personal Gain	4/11/2011	N/A	N/A	2/11/2013	22.40
(U//FOUO) [redacted] Shortage of Official Funds at an Overseas Base [redacted]	3/20/2012	N/A	N/A	3/26/2013	12.37
(U//FOUO) Alleged Violation of CIA-Unique Post Employment Restrictions [redacted]	6/25/2012	N/A	N/A	2/11/2013	7.70
(S) [redacted] Potential Missing [redacted] Data [redacted]	7/5/2012	N/A	N/A	1/23/2013	6.73
(U//FOUO) Alleged Assault Overseas [redacted]	7/12/2012	N/A	N/A	1/23/2013	6.50
<b>AVERAGE TIME - 2013 (To Date)</b>			<b>N/A</b>		<b>20.8</b>
<b>AVERAGE TIME - 2012</b>			<b>N/A</b>		<b>20.6</b>
<b>AVERAGE TIME - 2011</b>			<b>36.00</b>		<b>39.4</b>
<b>AVERAGE TIME - 2010</b>			<b>N/A</b>		<b>N/A</b>
<b>AVERAGE TIME - 2009</b>			<b>N/A</b>		<b>N/A</b>

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<b>AVERAGE TIME - 2008</b>	N/A		N/A
<b>AVERAGE TIME - 2007</b>	N/A		N/A

**STATUS OF OPEN RECOMMENDATIONS**

<b>CY 2005 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	90	75	19	<b>184</b>	-
<b>Accepted by Management</b>	89	69	19	<b>177</b>	<b>96%</b>
<b>Implemented and Closed</b>	86	64	16	<b>166</b>	<b>90%</b>
<b>Implementation in Process</b>	1	0	0	<b>1</b>	<b>1%</b>
<b>Closed without Implementation</b>	2	5	3	<b>10</b>	<b>5%</b>

<b>CY 2006 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	67	55	22	<b>144</b>	-
<b>Accepted by Management</b>	67	55	19	<b>141</b>	<b>98%</b>
<b>Implemented and Closed</b>	60	55	19	<b>134</b>	<b>93%</b>
<b>Implementation in Process</b>	2	0	0	<b>2</b>	<b>1%</b>
<b>Closed without Implementation</b>	5	0	0	<b>5</b>	<b>3%</b>

<b>CY 2007 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	81	65	7	<b>153</b>	-
<b>Accepted by Management</b>	81	65	7	<b>153</b>	<b>100%</b>
<b>Implemented and Closed</b>	70	63	7	<b>140</b>	<b>92%</b>
<b>Implementation in Process</b>	5	0	0	<b>5</b>	<b>3%</b>
<b>Closed without Implementation</b>	6	2	0	<b>8</b>	<b>5%</b>

<b>CY 2008 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	88	40	15	<b>143</b>	-
<b>Accepted by Management</b>	86	40	15	<b>141</b>	<b>99%</b>
<b>Implemented and Closed</b>	73	40	14	<b>127</b>	<b>89%</b>
<b>Implementation in Process</b>	4	0	0	<b>4</b>	<b>3%</b>
<b>Closed without Implementation</b>	9	0	1	<b>10</b>	<b>7%</b>

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**Office of Inspector General**  
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<b>CY 2009 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	98	44	28	170	-
<b>Accepted by Management</b>	97	44	28	169	99%
<b>Implemented and Closed</b>	77	42	28	147	86%
<b>Implementation in Process</b>	20	2	0	22	13%
<b>Closed without Implementation</b>	0	0	0	0	0%

<b>CY 2010 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	65	36	33	134	-
<b>Accepted by Management</b>	65	36	33	134	100%
<b>Implemented and Closed</b>	52	29	32	113	84%
<b>Implementation in Process</b>	13	7	1	21	16%
<b>Closed without Implementation</b>	0	0	0	0	0%

<b>Total 2011 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	115	53	38	206	-
<b>Accepted by Management</b>	115	53	38	206	100%
<b>Implemented and Closed</b>	82	33	36	151	73%
<b>Implementation in Process</b>	33	21	2	56	27%
<b>Closed without Implementation</b>	0	0	0	0	0%

<b>Total 2012 Recommendations</b>	<b>Audit</b>	<b>INS</b>	<b>INV</b>	<b>Total</b>	<b>% of Recs</b>
<b>Issued</b>	140	31	22	193	-
<b>Accepted by Management</b>	140	31	22	193	100%
<b>Implemented and Closed</b>	52	10	19	81	42%
<b>Implementation in Process</b>	88	21	3	112	58%
<b>Closed without Implementation</b>	0	0	0	0	0%

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**Office of Inspector General**  
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Mid-Year 2013 Recommendations	Audit	INS	INV	Total	% of Recs
Issued	11	12	17	40	-
Accepted by Management	11	12	17	40	100%
Implemented and Closed	2	0	5	7	18%
Implementation in Process	9	12	12	33	83%
Closed without Implementation	0	0	0	0	0%

**OIG DIVERSITY DATA\***

Number of Employees	Audit	INS	INV	R&A	FO	Total OIG	% of OIG	% of CIA**
Male							37%	55%
Female							63%	45%
Total							-	-
Male/Female %							-	-
Minorities								
Hispanic or Latino							2%	5%
Black or African American							13%	10%
Asian, Native American, or Pacific Islander							8%	5%
2 or more races							2%	1%
Total							26%	22%
% of Staff with Minority Status	28%	8%	13%	54%	43%	-	-	-

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\* Source -

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**Office of Inspector General**  
**Fiscal Year, Mid-Year Status Report**  
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**ARRIVALS AND DEPARTURES OF FULL TIME EMPLOYEES\***

	Audit	INS	INV	R&A	FO	Total
<b>Arrivals:</b>						
EOD						
Reassignment						
Detail In						
<b>Total New Employees</b>						
<b>Departures:</b>						
Retired						
Resigned						
Reassignment outside of OIG						
<b>Total Departing Employees</b>						

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**ARRIVALS AND DEPARTURES – OIG Careerists Only\***

	Audit	INS	INV	R&A	FO	Total	% of OIG Positions**
<b>Arrivals:</b>							
EOD							1.6%
Conversion to OIG							5.6%
<b>Total New Employees</b>							7.2%
<b>Departures:</b>							
Retired							0%
Resigned							0%
Career Service Change							0%
Currently On Extended Leave							1.6%
<b>Total Departing Employees</b>							1.6%
Currently on Detail							2.2%
Currently on Rotation							6%

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\* Source [redacted] and [redacted]

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\*\* [redacted]

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**Office of Inspector General**  
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**JOINT DUTY CREDIT – OIG Careerists Only\***

	Audit	INS	INV	R&A	FO	Total
<b>Below GS-15</b>						
<b>GS-15</b>						
<b>SIS</b>						
<b>Total</b>						

\* Source:

**STAFF MEMBERS HOLDING PROFESSIONAL CERTIFICATIONS**

Certification	Audit	INS	INV	Total
Certified Public Accountant				
Certified Internal Auditor				
Certified Fraud Examiner				
Certified Information Systems Auditor				
Computer Forensics Examiner				
COTR (Level II or III)				
Program Management Certification				
Forensic Accountant				
Lawyer				
Certified Government Financial Manager				
Certified in Financial Forensics				
Certified Information Technology Professional				
Certified Information System Security Professional				
Certified Business Manager				
Certified Associate Business Continuity Professional				

Source:

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Sequestration and its impact on future budgets along with OIG's already small pre-sequestration budget will make it very difficult to do this work.

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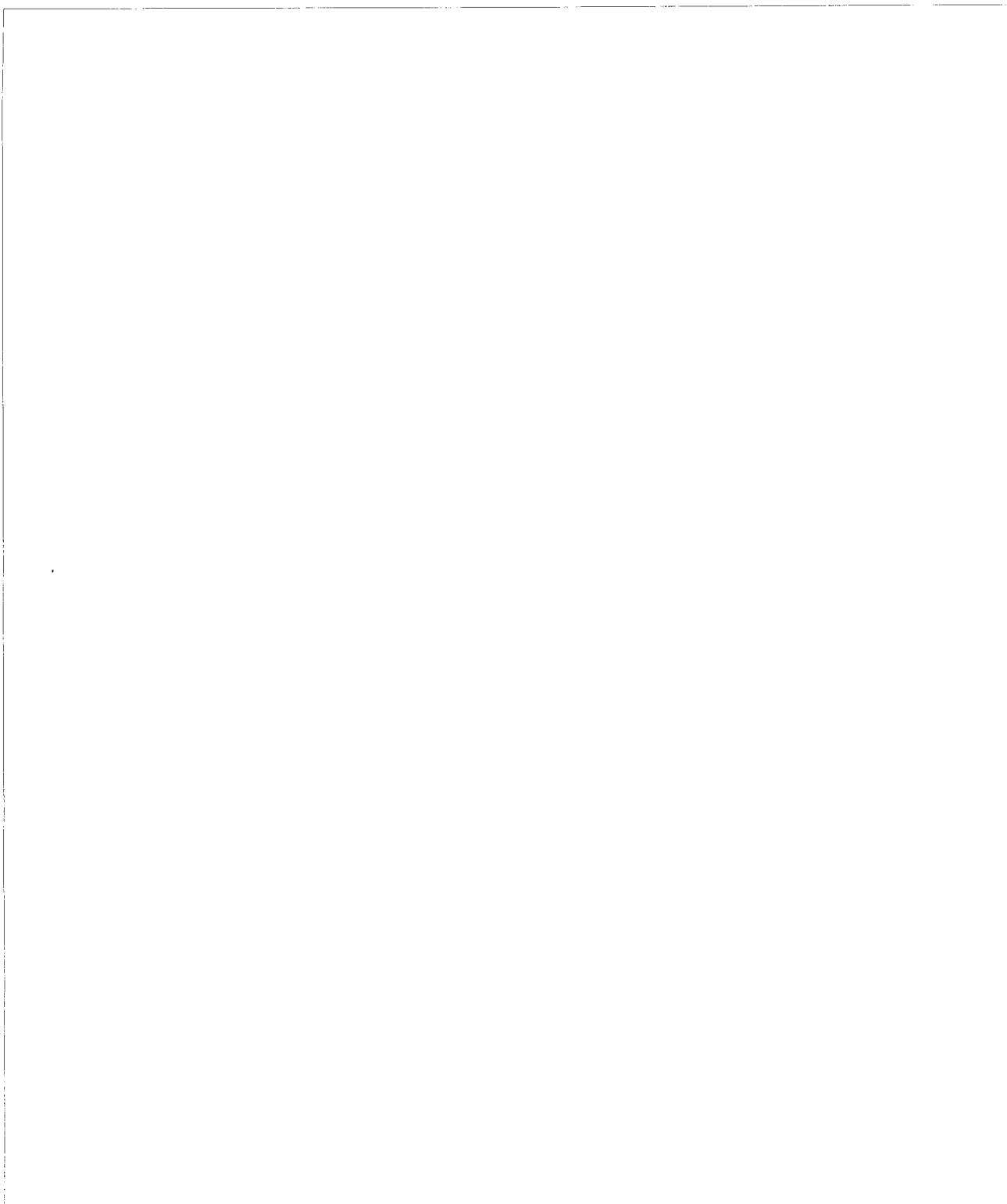
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April 5, 2013

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(U) We are funded under a Continuing Resolution for the rest of the fiscal year. The Agency has not yet received guidance from OMB on sequestration impact. As such, we do not know yet what our budget will be for the remainder of this fiscal year. We will be able to allow mission essential travel and will approve training that is required for you to fulfill your mandatory employment requirements. [This was further discussed at the IG Manager's Meeting on Thursday. Please see you [ ] if you have any questions about training requirements.]

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April 23, 2013

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**(U) OIG Awards**

(U) First, some very good news. The Agency has determined that EPAs submitted prior to the start of sequestration can and should be paid. We were initially told that the payment of our recent EPAs was prevented by sequestration-related guidance from OMB. However, working with our component HR officers, the IG Counsel and the [REDACTED] pursued this issue rigorously with the Agency and discovered that monetary EPAs approved before sequestration can be paid.

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being worked out by the [REDACTED] and the CSP is anxious to move forward with the new idea.

(U) Finally, the CSP discussed the Agency's roll-out of the Career Development Conversation initiative for this year. The CSP stressed the importance of providing meaningful Career Development Conversations and that the OIG would like to have a 100% completion of the CDCs by August.

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(U) The Career Service Panel is working with the [REDACTED] on a new OIG award program. Keep up the great work because I've directed managers to continue to recognize and reward outstanding effort and results.

**(U) Career Service Panel News**

(U) The Career Service Panel (CSP) convened to address a host of issues. First and foremost was the issue of Exceptional Performance Awards (EPAs) and a plan for addressing EPAs in the future, if sequestration guidance curtailing such remains in place. The CSP worked out some alternative solutions for next year and the proposed plan will be briefed to the IG shortly.

(U) The Panel also discussed the issue of our participation in various existing awards programs. The Panel agreed that this OIG should participate in both the Council of Inspectors General on Integrity and Efficiency (CIGIE) and the Intelligence Community (IC) awards. It also agreed to the concept presently under study by the [REDACTED] to build an internal OIG awards program. The details on this concept are

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January – March 2013

# SEMIANNUAL REPORT

To the Director, Central Intelligence Agency



Office of Inspector General

David B. Buckley, Inspector General

IG 2013-0229

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Printed versions of this report are not available.

**FOLLOW THE TRUTH, WHEREVER IT LEADS...**



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# (U) A MESSAGE FROM THE INSPECTOR GENERAL



(U) I am honored to serve as the Inspector General at the Central Intelligence Agency and pleased to provide this summary of the reports and activities of the Office of Inspector General, Central Intelligence Agency for the period 1 January – 31 March 2013.<sup>1</sup> The

OIG provides independent, objective oversight of CIA activities and provides its reports and recommendations to the Director, CIA and his senior management team for information and action.

## (U) IMPACT OF BUDGET SEQUESTRATION

~~(C)~~ The Office of Inspector General is adversely affected by the 1 March 2013 Sequestration Order for Fiscal Year 2013. Our ability to fully execute our 2013 Work Plan is in doubt. During this reporting period, the scheduled audits of [redacted] were cancelled due to lack of travel funds.

## (U) IMPACT OF THE LACK OF CERTAIN PERSONNEL AUTHORITIES

~~(U//FOUO)~~ As I have reported in previous Semiannual reports, my ability to properly represent the interests of the CIA in federal criminal investigations is constrained by the lack of personnel authorities enjoyed by other federal Inspectors General. The full US House of Representatives and the US Senate Select Committee on Intelligence voted to approve our request for certain personnel authorities, but the provision was not sustained in the conference for the FY 2013 Intelligence Authorization Act. As a result, several investigators selected for employment by my office withdrew their applications. I continue to seek legislative action to

<sup>1</sup> (U) The Intelligence Authorization Act for FY 2013 amended the CIA Act (50 USC 403q) changing our semiannual reporting requirement from the calendar year to the fiscal year.

provide the requested authority, which was included in the administrations FY 2013 request and we anticipate will be included in this years submission (See page 13).

## (U) IG RECOMMENDATIONS FOR IMPROVEMENT; AGENCY COMMITMENT

~~(U//FOUO)~~ The OIG strives to be an agent of positive change by providing the results of our independent and objective oversight of the CIA to CIA leadership. Director Brennan and his senior staff take our recommendations for improvements very seriously. We began this reporting period with 179 outstanding significant recommendations, added 19 new recommendations, and the CIA took action leading the OIG to close 14 recommendations (See page 29 Status of Significant Recommended Actions). During the conduct of our work, we identified issues that were corrected by the CIA prior to the issuance of the final report. Implementation of corrective action is sometimes complex and costly, and we work to identify practical solutions to the issues we surface in our work.

## (U) AUDITS, INSPECTIONS, AND REVIEWS

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## (U) INVESTIGATIONS

~~(U//FOUO)~~ We reported six instances of potential criminal activity to the Department of Justice (DOJ), as required by statute. There were two criminal indictments and two convictions based on investigative activities during

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this reporting period. In addition, an officer resigned, following an OIG recommendation for administrative action. Based on a civil fraud allegation made by a former employee of a contractor, we investigated the solicitation and receipt of prohibited gratuities by Agency employees and contractor employees on a particular contract. The CIA placed the accused employees on administrative leave pending completion of the investigation, and [REDACTED]

settled a civil false claim with the DOJ [REDACTED]. Other significant cases completed during this period include alleged theft and waste of funds, conflict of interest, and assault (see page 23).

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#### **(U) COLLABORATION**

(U) Our interaction and collaboration with other offices of Inspectors General continues to be strong. We participate fully in the statutory Intelligence Community Inspectors General Forum and the federal Council of Inspectors General on Integrity and Efficiency.

(U) The Office of Inspector General welcomes The Honorable John Brennan as the 21st Director of the Central Intelligence Agency, and looks forward to working with him.

[REDACTED]

David B. Buckley  
Inspector General  
30 April 2013

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# (U) OVERVIEW



## (U) MISSION

(U) The Office of Inspector General promotes economy, efficiency, effectiveness, and accountability in the management of CIA activities by performing independent audits, inspections, investigations, and reviews of CIA programs and operations. We also seek to detect and deter fraud, waste, abuse, and mismanagement. We advance the Agency's mission by providing our findings and recommendations expeditiously to the Director, the Agency, and the Congressional intelligence committees.

## (U) VALUES

(U) We are an organization of diverse professionals dedicated to:

- **Integrity** – Making decisions on the merits of every matter without regard to undue influence or favor.
- **Professionalism** – Holding ourselves to the highest standards of accountability and independence and ensuring that our findings are accurate, objective, and timely, and our recommendations relevant, reasonable, fact based, and fair.
- **Expertise** – Developing and leveraging individual and collective skills and experiences.

## (U) VISION

(U) The Office of Inspector General is a trusted and respected resource for the Director, the Agency, and the Congress, and our work has a positive impact on CIA's mission.

## (U) DIVERSITY

(U) Diversity is integral to our mission success. We promote diversity by creating a culture that is professional, supportive, inclusive, and innovative.

(U) We value a diverse workforce and, therefore, are dedicated to recruiting, developing, and retaining qualified individuals who bring a variety of experiences, backgrounds, and skills.

(U) We are committed to maintaining a positive, equitable, and productive work environment where all employees—and their diverse thoughts and opinions—are welcomed, respected, and valued.

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**(U) THE OIG ORGANIZATION**

DAVID B. BUCKLEY  
Inspector General

OVERVIEW

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*This chart is UNCLASSIFIED//FOUO.***(U) THE OIG STAFFS****(U) Audit Staff**(U//~~FOUO~~) The Audit Staff

conducts performance and financial audits of CIA programs and activities, including those audits that have been mandated by the Congress, such as the annual independent audit of the CIA's financial statements, the annual independent evaluation of the CIA's information security program and practices required by the Federal Information Security Management Act (FISMA), the annual review of the Agency's compliance with the Improper Payments

Elimination and Recovery Act (IPERA), and periodic audits. The Audit Staff also participates with other federal agencies and departments to conduct joint reviews of Intelligence Community programs and activities.

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**(U) Inspection Staff**

(U//~~FOUO~~) The Inspection Staff is largely composed of senior Agency managers and officers, many of whom are on rotation to the OIG. The Inspection Staff strives for balanced staffing by skill set and directorate representation. The Inspection Staff is responsible for conducting inspections of CIA programs to evaluate mission performance and effectiveness. The Staff assesses major, crosscutting Agency issues to examine whether they are being managed

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effectively, efficiently, and in compliance with laws, Executive Orders, and regulations. The Inspection Staff is currently refining the inspection process and staffing model by sharpening the focus of program inspections, streamlining the inspection process and resultant products, and has achieved greater balance between permanent and rotational inspectors.

#### **(U) Investigations Staff**

(U//~~FOUO~~) The Investigations Staff conducts investigations of alleged violations of federal laws, regulations, and policies, as well as alleged waste, fraud, and mismanagement related to programs and operations at the CIA. Investigators are assigned to specialized divisions focusing on financial, intelligence, and integrity allegations, as well as whistleblower reprisals, special reviews, and certain leak investigations. The Investigations Staff also manages the CIA OIG Hotline

#### **(U) Resources and Administration**

#### **(U) COMMUNITY INTERACTION**

(U//~~FOUO~~) The OIG actively participates in a wide range of coordination and collaboration activities designed to increase our knowledge of and contribution to oversight within the Federal Government.

(U//~~FOUO~~) The Inspector General is a statutory member of the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and the Intelligence Community (IC) Inspectors General (IG) Forum. The IG actively participates in CIGIE meetings and is a member of the CIGIE Legislative Committee.

(U) OIG senior managers participate in IC Inspectors General Forums to include the Deputy Inspectors General Forum, the IC Inspectors General Forum's Inspections Working Group, the IC Audit Committee, and the IC IG Counsels Working Group.

(U//~~FOUO~~) The Assistant IG for Audit and the Deputy AIG for Audit participate in the quarterly meetings of the IC Audit Committee. Participants at these meetings discuss ongoing and planned audits from each of their respective staffs, sharing lessons learned and techniques used to audit the challenging and unique issue areas facing the IC. Also, the Committee coordinates on future Community audits discussing audit approaches and staffing. We detailed three auditors to the Office of the Inspector General of the Intelligence Community to assist the IC IG in the audit of the financial statements of the Office of the Director of National Intelligence.

(U//~~FOUO~~) The Assistant Inspector General for Inspection coordinated with his National Security Agency counterpart regarding participation in a joint inspection planned for later in calendar year 2013 and another joint inspection which is being deferred until calendar year 2014 due to the impacts from sequestration.

(U//~~FOUO~~) The Investigations Staff continued its outreach with law enforcement agencies and other OIGs throughout the Federal Government.

The Staff worked closely with the DOJ in supporting a number of criminal prosecutions and proceedings.

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(U) The Investigations Staff participated as a member of the DoD Procurement Fraud Working Group. The Acting Deputy AIG for Investigations chairs the CIGIE Assistant Inspector General for Investigations Committee, which includes AIGs for Investigations from throughout the Federal Government.

### **(U) AREAS OF IMPACT**

(U//~~FOUO~~) During this reporting period, the OIG concluded audit and inspection work in areas that we considered to be the most significant to the CIA:

- Collection and analysis.
- Financial management and administration.
- General administration.
- Information management and technology.
- Procurement and contracting.

(U) Summaries of this work are included on pages 15 and 28.

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# (U) PLANNING



## (U) STRATEGIC PLANNING

(U) The OIG has four strategic goals:

1. Execute timely, objective, and result-driven work to improve the overall mission performance of the Agency.
2. Maximize our value to the Agency, the Congress, and the American public.
3. Attract, develop, and retain a diverse and highly skilled cadre of professional OIG officers.
4. Provide strong business management that maximizes facilities, budget, and technology and allows OIG officers to respond optimally to mission needs.

(U) Specific and achievable objectives have been developed that are designed to support each of the four goals within the Strategic Plan. Whereas the goals focus on support to the greater Agency mission, their subordinate objectives reflect desired achievements that, for the most part, crosscut the OIG mission functions of audits, inspections, and investigations.

(U) The OIG is developing an internal performance management process that links organizational performance at fundamental working levels to achieving the stated goals. Quantifiable performance measures at these levels reflect actual activities, results, and outcomes within each OIG mission function. They are designed to support the objectives under each goal, thereby linking the results and outcomes of OIG audits, inspections, and

investigations to achieving the goals within the Strategic Plan.

## (U) ANNUAL PLANNING

(U) In December the OIG published to the Agency our work plan for audits and inspections to be carried out during CY 2013. We believe that this plan provides broad coverage of the Agency's programs, components, and activities, and is designed to focus our efforts on issues of continuing importance to the Agency's mission.

(U) The OIG received input for the CY 2013 work plan from several sources to include the Acting Director, CIA; the Executive Director, CIA; and component directors. In addition, we considered topics that the intelligence oversight committees have identified as topics of interest.

(U) In CY 2013, the OIG is focusing our efforts on improving efficiency and effectiveness in critical areas for the CIA, such as:

- Collection and analysis.
- Information management and technology.
- Financial management and administration.
- Procurement and contracting.
- General administration.
- Workforce management.

(U) As of 31 March 2013, the OIG has begun work on 35 percent of the current year's Work Plan. The OIG has completed 30 percent of the CY 2012 Work Plan.

JANUARY – MARCH 2013

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# (U) STATUTORY REPORTING



(U) This report is submitted pursuant to Section 17 of the CIA Act of 1949, as amended, which requires the Inspector General to provide to the Director, CIA, a semiannual report summarizing the activities of the Office of Inspector General for the immediately preceding six-month period. Included in the FY 2013 Intelligence Authorization Act is a requirement to change the semiannual reporting period from calendar year to fiscal year. As a result, this is an abbreviated reporting period (1 January – 31 March). Future semiannual reports will cover six-month periods ending 30 September and 31 March.

## (U) COMPLIANCE

(U) All OIG audit activities are conducted in accordance with generally accepted government auditing standards. All OIG inspection and investigation activities conform to standards adopted by the Council of the Inspectors General on Integrity and Efficiency.

## (U) PEER REVIEW

### (U) Audit Staff

(U//~~FOUO~~) Under generally accepted government auditing standards, OIG audit functions must have an external peer review at least every three years. On 30 March 2011, the National Reconnaissance Office OIG issued its internal quality control review of the Audit Staff that covered the period ended 31 December 2010. The Audit Staff received a peer review rating of pass. There were no formal recommendations from this peer review.

## (U) ACCESS

(U) The OIG has had full and direct access to all Agency information relevant to the performance of its duties.

## (U) SUBPOENA AUTHORITIES

(U//~~FOUO~~) During this reporting period, the IG did not issue any subpoenas.

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# AUTHORITIES



## **(U) AUTHORITY TO DESIGNATE POSITIONS AS LAW ENFORCEMENT OFFICER POSITIONS**

(U//~~FOUO~~) In May 2011, the IG wrote to the Chairman and Vice Chairman of the Senate Select Committee on Intelligence (SSCI) informing them of the need for him to have the authority to designate certain positions within the Office of Inspector General as law enforcement officer positions for purposes of determining retirement eligibility and benefits. This authority is necessary in order to recruit and retain experienced criminal investigators and is the same authority available to all Title 5 Inspectors General and the Government Accountability Office. The same month, the IG submitted a legislative proposal seeking authority to designate positions within the OIG as law enforcement positions for retirement purposes to the Office of Management and Budget (OMB) through the Director of the Central Intelligence Agency and the Office of the Director of National Intelligence (ODNI). The proposals were not part of the Administration's FY 2012 Intelligence Authorization Bill. However, the Congress did request that the OPM IG conduct a review of the CIA OIG's personnel practices and policies to identify any barriers to recruitment and retention. The OPM IG submitted the results of the study in June 2012. The OPM IG study supported the need for the CIA IG to have such authority.

(U) In March 2012, the IG submitted a similar legislative proposal to OMB through the Director, CIA and the ODNI. This proposal was supported by the Director, CIA and the Director of National Intelligence and included in the Administration's FY 2013 Intelligence Authorization Bill. The House Permanent Select Committee on Intelligence (HPSCI) approved authority

for the CIA IG and the Intelligence Community IG (IC IG) to have the authority to designate certain positions as law enforcement officer positions for purposes of determining retirement eligibility and benefits. The House of Representatives approved the legislation. The SSCI included similar authority in its draft FY 2013 Intelligence Authorization Act. Disappointingly, during conferencing to resolve differences between the SSCI and HPSCI versions of the Intelligence Authorization Act, the authorities for the CIA IG and IC IG to make such designations were removed. It is the intent of the IG to continue to pursue obtaining the ability to have positions within OIG qualify for law enforcement retirement benefits as this is a key initiative in his efforts to improve upon the criminal investigative capabilities of the OIG. The absence of these capabilities has seriously impeded the IG's efforts to improve OIG's ability to identify and thoroughly investigate instances of criminal fraud across the programs and operations of the Agency.

## **(U) AUTHORITY TO EXERCISE LAW ENFORCEMENT POWERS**

(U//~~FOUO~~) In the May 2011 letter to the SSCI Chairman and Vice Chairman, the IG also identified the need for law enforcement powers. The CIA IG and the IC IG are the only presidentially appointed, Senate-confirmed inspectors general without such powers. And, like most other federal statutory offices of inspector general, the preponderance of investigations undertaken by the CIA OIG involve allegations of violations of federal criminal statutes. In May 2011, the IG submitted to the Director of the CIA an FY 2012 Intelligence Authorization Act

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legislative proposal to authorize the IG, and those members of the OIG designated by the IG, to exercise specified law enforcement powers: to carry firearms, to seek and execute search and arrest warrants, and to make warrantless arrests under certain specified conditions. The law enforcement powers could only be exercised in furtherance of the performance of the IG's duties and responsibilities. This proposal was not included in the CIA's proposed legislation package submitted to the ODNI and OMB.

(U//~~FOUO~~) As the authority to identify certain positions as law enforcement officer positions was the higher priority of the two important initiatives, a law enforcement powers proposal was not submitted for the FY 2013 or FY 2014 legislation. However, such powers are critical to the mission of the CIA OIG and the need for such was supported by the OPM IG study. During the period of this Semiannual Report, the Investigations Staff continued to be dependent on federal agencies with law enforcement powers to perform investigative tasks that special agents for other OIG's with similar missions routinely perform themselves, such as serving as affiants for warrants and criminal complaints. The CIA OIGs dependency on other federal agencies not only impedes our investigative work, but in a recent case created an untenable situation where classified CIA information was put at risk.

(U) The IG will continue to work with the Director, the intelligence committees, and other interested parties to obtain the necessary authorities to fully perform his statutory role and responsibilities in an effective and efficient manner.

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(U) PROJECTS



(U) AUDIT STAFF

(U) COMPLETED PROJECTS

(U//~~FOUO~~) As summarized below, the Audit Staff completed four audits containing 11 recommendations to strengthen internal controls over financial management, information management, contracting, and field sites.

(U) During this reporting period, Audit Staff members also concluded their participation in the IC Security Reciprocity audit. Summaries of completed projects are included on pages 15 through 28.

AREA OF FOCUS	PROJECT NAME	PROJECT NUMBER	ISSUE DATE
(U) Financial Management and Administration	(U) Review of the CIA's Compliance with the Improper Payments Elimination and Recovery Act (IPERA) of 2010		March 2013
(U) Information Management and Technology	(U) CIA's Management of Laptop Computers		March 2013
(U) Procurement and Contracting	(U// <del>FOUO</del> ) Contract Award Fee Evaluation Factors and Determinations		March 2013
(U) Field Sites			February 2013

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(U) FY 2012 Review of the Central Intelligence Agency's Compliance with the Improper Payments Elimination And Recovery Act (IPERA) of 2010  
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(U) CIA Management of Laptop Computers

March 2013)

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**(U) Contract Award Fee Evaluation Factors and  
Determinations**

March 2013)

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**(U) Audits of Field Sites (January – March 2013)**

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**(U) ONGOING AUDITS AND REVIEWS**

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~~(S)~~ **Information Management and Technology:**

- Availability and Performance of CIA Information Systems

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- Security Training for Privileged Users of CIA Systems

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(b)(3)**(U//FOUO) General Administration:**

- 
- Evaluation of the Implementation of the Reducing Over-Classification Act
- CIA's Conferences and Related Activities

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~~(S)~~ **Workforce Management:**

- Assessment of CIA Staffing Requirements

PROJECT: (b)(3)  
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\*(U) Draft report issued.

JANUARY – MARCH 2013

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**(U) INSPECTION STAFF**

**(U) COMPLETED PROJECTS**

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**(U) ONGOING INSPECTIONS**

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- (U) General Administration:**
- Management of Compartmentation\*
- (U) Information Management and Technology:**
- Electronic Records Management\*
- (U) Workforce Management:**
- Agency Management of Human Resources\*

PROJECTS

\*(U) Draft report issued.

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**(U) INVESTIGATIONS STAFF****(U) COMPLETED PROJECTS**

(U//~~FOUO~~) The Investigations Staff completed 18 investigations during this period. The Staff also worked with the Department of Justice (DOJ) in support of two indictments, two convictions, and one civil action. In addition, the OIG formally referred six matters to the

DOJ for prosecutorial consideration of violations of federal criminal law. As a result of the Investigations Staff's efforts [redacted] in recoveries were ordered by Federal Courts on behalf of the US Government. In addition, an Agency officer resigned following an OIG recommendation for administrative action.

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COMPLETED INVESTIGATIONS	CASE NUMBER	ISSUE DATE
(U// <del>FOUO</del> ) [redacted] Shortage of Official Funds at an Overseas Base		March 2013
(U) Allegation of a Stolen CIA Pistol*		March 2013
(U) Former Agency Officer Alleges Retaliation for Protected Disclosures*		March 2013
(U) Former Agency Officer Alleges Violation of Uniformed Services Employment and Reemployment Rights Act*		March 2013
(U) Misrepresentation of Academic Credentials by a Technical Intelligence Officer		February 2013
(U) Misuse of Government Systems for Database Searches*		February 2013
(U) Waste of Funds for Telecommunications Services		February 2013
(U) Conversion of Government Property for Personal Gain		February 2013
(U) Alleged Ethics and Other Conduct Issues Regarding Technical Officer*		February 2013
(U// <del>FOUO</del> ) Alleged Violation of CIA-Unique Post Employment Restrictions		February 2013
(U// <del>FOUO</del> ) Agency Contractor Alleged Reprisal for Whistleblowing*		February 2013
(U) Misuse of Position*		February 2013
(C) Misuse of [redacted]		January 2013
(S) [redacted] Potential Missing [redacted] Data		January 2013
(U// <del>FOUO</del> ) Alleged Assault Overseas		January 2013
(U) Misrepresentation of Academic Credentials*		January 2013

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 SUBJECTS

\* (U) These investigations resulted in a closing memorandum to the file because the allegations were either not proven or the subjects have no current affiliation with the CIA.

JANUARY - MARCH 2013

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TABLE: INVESTIGATIONS STAFF COMPLETED PROJECTS (CONT.)

COMPLETED INVESTIGATIONS	CASE NUMBER	ISSUE DATE
--------------------------	-------------	------------

(U//~~FOUO~~) Misrepresentation of Academic Credentials and False Statements by a Telecommunications Information Systems Officer\*

January 2013

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(U) Conflict of Interest – Gifts and Gratuities

January 2013

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**(U) SELECT REPORTS OF INVESTIGATION**

(U) This section summarizes significant investigations that have resulted in prosecutive actions or recommendations to Agency management for individual accountability or systemic improvements.

(U//~~FOUO~~) Shortage of Official Funds at Overseas Base

March 2013)

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(U//~~FOUO~~) Misrepresentation of Academic Credentials by a Technical Intelligence Officer

February 2013)

(b)(3)

(b)(3)

(b)(3)  
(b)(5)(b)(3)  
(b)(5)

(U//~~FOUO~~) Shortage of Official Funds at Overseas Base

March 2013)

(b)(3)  
(b)(5)

(b)(3)

(b)(3)

(U) Waste of Funds for Telecommunications Services

February 2013)

(b)(3)

(b)(1)

(b)(3)

\*(U) These investigations resulted in a closing memoranda to the file because the allegations were either not proven or the subjects have no current affiliation with the CIA.

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(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

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(b)(3)

(b)(3)  
(b)(5)

(U) Conversion of Government Property  
for Personal Use

February 2013)

(b)(3)

(b)(3)

(b)(1)  
(b)(3)

Potential Missing

Data

January 2013)

(b)(3)

(b)(3)

(b)(1)  
(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

(U//~~FOUO~~) Alleged Violation of CIA-Unique Post  
Employment Restrictions

February 2013)

(b)(3)

PROJECTS

(b)(3)

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25 ★

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(b)(3)

~~SECRET~~

(b)(3)

~~(U//FOUO)~~ Alleged Assault Overseas  
January 2013)

(U) PROSECUTIONS

(b)(3)

~~(U//FOUO)~~ Alleged Extortion by Agency Officer

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

(U) Conflict of Interest – Gifts and Gratuities  
January 2013)

(b)(3)

(b)(3)  
(b)(5)

(U) Former Employees of Agency Contractor Guilty of  
Federal Firearms License Violations

(b)(3)

(b)(3)

PROJECTS

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(b)(3)

~~SECRET~~ [redacted]

(b)(3) (b)(3)

[redacted]

[redacted]

(b)(3)

~~(U//FOUO)~~ Destruction of Government Property in a  
Vehicular Homicide [redacted]

(b)(3)

[redacted]

(b)(3)

(U) CIVIL ACTION

(U) Alleged False Claims Act Violation

[redacted]

(b)(3)

[redacted]

(b)(3)

PROJECTS

JANUARY - MARCH 2013

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(b)(3)



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(b)(3)

**(U) HOTLINE**

(U) [ ] is responsible for the OIG Hotline and complaint processing. The [ ] provides a confidential and reliable source for Agency employees, contractors, and the public to report fraud, waste, and abuse.

(U) During the period of 1 January - 31 March 2013, the [ ] received 129 contacts from the Agency and the general public (see Figure 1). The OIG categorized the 129 contacts into 9 categories (see Figure 2). The OIG then processed the contacts according to the nature of the complaints (see Figure 3).

(b)(3)  
(b)(3)

**(U) FIGURE 1:**  
Methods of Contacts Received by OIG  
(Number of Contacts, Percentage)

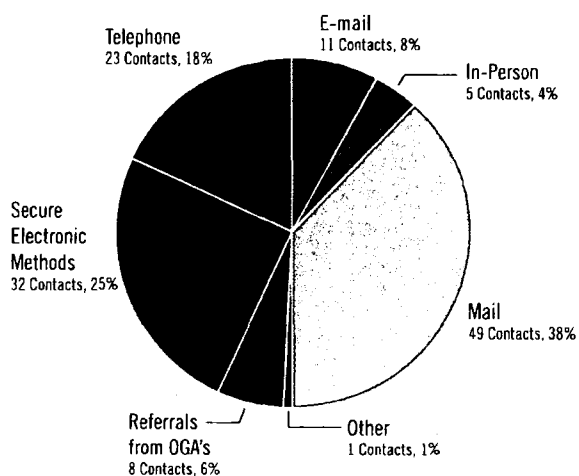


Figure 1 is UNCLASSIFIED.

**(U) FIGURE 3:**  
Processed Contacts  
(Percentage)

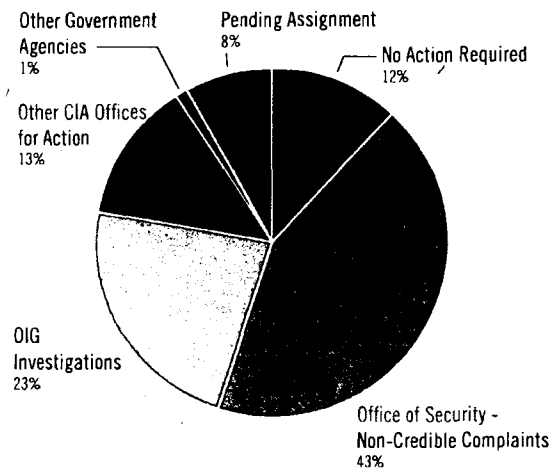


Figure 3 is UNCLASSIFIED.

**(U) FIGURE 2:**  
Categories of Contacts Received  
(Number of Contacts)

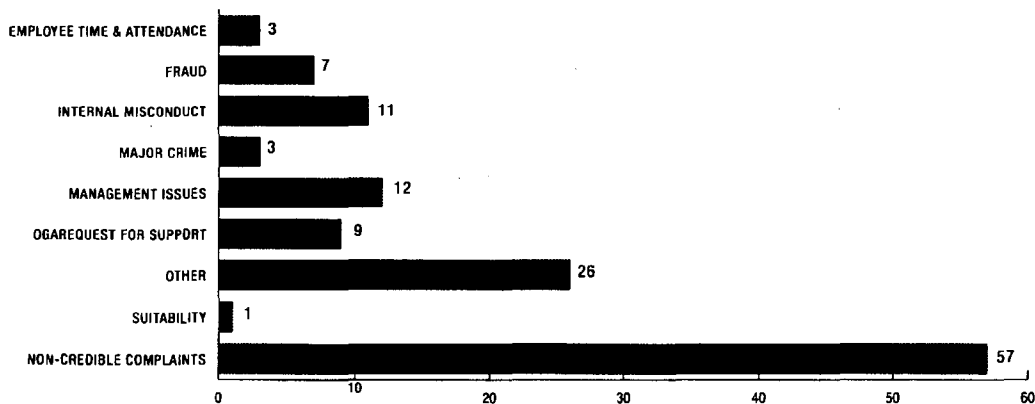


Figure 2 is UNCLASSIFIED.

PROJECTS

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# (U) STATUS OF SIGNIFICANT RECOMMENDED ACTIONS



## (U) OUTSTANDING FROM PREVIOUS SEMIANNUAL REPORTS

(U) As required by statute, the following tables present the status of significant recommended actions outstanding from previous semiannual reports. The reports are presented in order of issuance date from most recent to oldest. The tables also present a summary of each significant recommendation and the status of the recommendation as of the end of the reporting period.

(b)(3)

## (U) TABLE 1 – STATUS OF SIGNIFICANT RECOMMENDED ACTIONS: AUDITS

(U) As of 31 December 2012, 143 significant recommendations that were issued by the Audit Staff and reported in previous semiannuals remained open. Of the 143 recommendations, 10 recommendations were closed during this reporting period, and 133 recommendations remain open as of 31 March 2013.

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) CIA Processes for Engaging With the Entertainment Industry  December 2012)			(U) Office of Public Affairs

(b)(3)  
(b)(5)

(b)(3)

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29 ★

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(b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

★ 30

OIG SEMIANNUAL REPORT

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(b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(b)(1)  
(b)(3)  
(b)(5)

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SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING  
(b)(3)

~~SECRET~~

**TABLE 1: AUDITS (CONT.)**

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
			(b)(1) (b)(3) (b)(5)

★ 32

~~SECRET~~

OIG SEMIANNUAL REPORT

(b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

~~SECRET~~

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING  
(b)(3)

(b)(3)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

<p>(U) 2012 Independent Evaluation of the CIA Information Security Program and Practices Required by the Federal Information Security Management Act</p> <p>September 2012)</p>		<p>(b)(1) (b)(3) (b)(5)</p> <p>(b)(3)</p>
<p>(3)</p>		

(b)(3)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

OIG SEMIANNUAL REPORT

(b)(3)

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(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

~~SECRET~~

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING (b)(3)



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(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(U) CIA's Quantum Leap System

September 2012)

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

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(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(U) CIA's Quantum Leap System

September 2012  
(continued)

(b)(1)  
(b)(3)  
(b)(5)(3)

(b)(1)  
(b)(3)

[redacted]

(b)(1)  
(b)(3)

[redacted]

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING  
[redacted] (b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

~~SECRET~~

(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) 2011 Independent Evaluation of the CIA Information Security Program and Practices Required by FISMA [redacted] September 2011)  (b)(3)			
(U) The Use of Independent Contractors [redacted] June 2012)			

(b)(3)  
(b)(5)

(b)(3)

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING  
[redacted] (b)(3)

~~SECRET~~

**TABLE 1: AUDITS (CONT.)**

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
<b>(U) The Use of Independent Contractors</b>  <b>June 2012)</b> <i>(continued)</i>			

(b)(3)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

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(b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

~~SECRET~~

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING (b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Review of the CIA's Compliance With the Improper Payments Elimination and Recovery Act			
March 2012)			

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)  
(b)(5)

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING

~~SECRET~~ [redacted]

(b)(3)

(b)(3)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(b)(1)  
(b)(3)  
(b)(5)

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SIGNIFICANT RECOGNIZED  
ACTIONS OUTSTANDING



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(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

(U) Independent  
Auditor's Report on  
the CIA FY 2011  
Financial Statements  
  
November 2011)

(b)(1)  
(b)(3)  
(b)(5)  
(b)(3)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

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(b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

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SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING (b)(3)

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(b)(3)

**TABLE 1: AUDITS (CONT.)**

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)  
(b)(5)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

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(b)(3)

~~SECRET~~

(b)(3)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(b)(3)  
(b)(3)

September 2011)  
(continued)

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

JANUARY - MARCH 2013

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47 ★

(b)(3)

Approved for Release: 2015/06/02 C06109835

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(b)(1) (b)(3) (b)(5)			
(U) Controls Over the Approving Officer Authorities and Agency-Wide Certifying Officers Databases			(b)(3) (b)(5)
September 2011)			(b)(3) (b)(5) (b)(1)

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING

~~SECRET~~ [redacted]

(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
[Redacted Table Content]			

(b)(1)  
(b)(3)  
(b)(5)

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING [redacted] (b)(3)

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(b)(3)

**TABLE 1: AUDITS (CONT.)**

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(b)(1)  
(b)(3)  
(b)(5)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

★ 50

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# OIG SEMIANNUAL REPORT

(b)(3)

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(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(b)(1)  
(b)(3)  
(b)(5)

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SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING (b)(3)



(b)(3)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(U) Independent Auditor's Report on the CIA FY 2010 Financial Statements  November 2010)	
(U) Common Workgroup Environment Version 2 (CWE2)  May 2010)	

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Common Workgroup Environment Version 2 (CWE2)  May 2010) (continued)			

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING  
[redacted] (b)(3)

~~SECRET~~

(b)(3)

**TABLE 1: AUDITS (CONT.)**

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

**(U) Common  
Workgroup  
Environment Version 2  
(CWE2)**

May 2010)  
(continued)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

~~SECRET~~

(b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Common Workgroup Environment Version 2 (CWE2) (b)(3) May 2010) (continued)			
(b)(1) (b)(3)			
(b)(3)			

(b)(1)  
(b)(3)  
(b)(5)~~SECRET~~

(b)(3)

~~SECRET~~ [Redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Independent Auditor's Report on the CIA FY 2009 Financial Statements [Redacted] November 2009)			

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

~~SECRET~~ [Redacted]

(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Independent Auditor's Report on the CIA FY 2009 Financial Statements  November 2009) (continued)			

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING (b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY

(b)(1)  
(b)(3)  
(b)(5)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

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(b)(3)

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) General Controls Over the CIA's Payroll System January 2009)			

[REDACTED] (b)(3)  
 SIGNIFICANT RECOMMENDED  
 ACTIONS OUTSTANDING

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(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) General Controls Over the CIA's Payroll System January 2009) (continued)			

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING

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(b)(3)

(b)(3)

(b)(3)  
(b)(5)

(b)(3)

(b)(3)

(b)(3)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Independent Auditor's Report on the CIA FY 2007 Financial Statements November 2007)			

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

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(b)(3)

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(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Independent Auditor's Report on the CIA FY 2007 Financial Statements  (b)(3) November 2007) (continued)			
(U) Independent Auditor's Report on the CIA FY 2006 Financial Statements  (b)(3) November 2006)			

(b)(3)

(b)(5)

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(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
--------	---------------------------	--------	-------------------

(U) Independent Auditor's Report on the CIA FY 2005 Financial Statements  
[redacted]  
November 2005)

(b)(3)  
(b)(5)

(b)(3)

(U) Independent Report of Audit: FY 2004 Financial Statements of the CIA  
[redacted]  
November 2004)

(b)(3)

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING

~~SECRET~~ [redacted]

(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Independent Report of Audit: FY 2004 Financial Statements of the CIA [redacted] November 2004) (continued)			
(U) The Agency's Administration of Transactions With Other Government Agencies [redacted] October 2004)			

(b)(3)

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING  
[redacted] (b)(3)

~~SECRET~~

(b)(3)

TABLE 1: AUDITS (CONT.)

AUDITS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) The Agency Debt Collection Process  June 2001)			

(b)(3)

(b)(5)

(b)(3)

(b)(5)

(b)(3)

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(b)(3)

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(b)(3)

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**(U) TABLE 2 – STATUS OF SIGNIFICANT RECOMMENDED ACTIONS: INSPECTIONS**

INSPECTIONS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) CIA's Foreign Language Capabilities December 2012)			
December 2012)			
(U) Policies and Processes for Discipline and Accountability at the CIA August 2012)			

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(b)(3)

TABLE 2: INSPECTIONS (CONT.)

INSPECTIONS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Counterproliferation Center June 2012)			
(U) Weapons Intelligence, Nonproliferation, and Arms Control Center June 2012)			
(U) Crime and Narcotics Center January 2012)			

(b)(3)  
(b)(5)

(b)(1)  
(b)(3)  
(b)(5)

(b)(3)

(b)(3)

(b)(3)

SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

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(b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 2: INSPECTIONS (CONT.)

INSPECTIONS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(b)(3) (U) Crime and Narcotics Center [redacted] January 2012) (continued)			(b)(1) (b)(3) (b)(5)
(b)(3) (U) DI-NCS Information Sharing [redacted] September 2011)			
[redacted] June 2011)			

(b)(3)

~~SECRET~~ [redacted]

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING (b)(3)

~~SECRET~~ [redacted]

(b)(3)

TABLE 2: INSPECTIONS (CONT.)

INSPECTIONS		SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(b)(3)	[redacted] June 2011) (continued)			(b)(1) (b)(3) (b)(5)
(b)(3)	(U) Office of Technical Service [redacted] May 2011)			
(b)(3)	(U) CIA Performance on Diversity [redacted] November 2010)			
(b)(3)	(U) Logistics Support [redacted] November 2010)			
	(U) Office of Development and Engineering [redacted] November 2010)			
	(b)(3)			

SIGNIFICANT RECOMMENDED ACTIONS OUTSTANDING

~~SECRET~~ [redacted]

(b)(3)

~~SECRET~~

(b)(3)

TABLE 2: INSPECTIONS (CONT.)

INSPECTIONS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Office of Collection Strategies and Analysis  September 2009)			
This table is <del>SECRET</del>			

(b)(3)  
(b)(5)(b)(3)  
(b)(5)

(b)(3)

(b)(3)

~~SECRET~~

(b)(3)

~~SECRET~~

**(U) TABLE 3 – STATUS OF SIGNIFICANT RECOMMENDED ACTIONS: ASSESSMENTS**

ASSESSMENTS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Review of High-Threat Training October 2012)			

(b)(1)  
(b)(3)  
(b)(b)(3)

**SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING**

~~SECRET~~

(b)(3)

~~SECRET~~

(b)(3)

TABLE 3: ASSESSMENTS (CONT.)

ASSESSMENTS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Memorandum: Directorate of Science and Technology Accountable Property July 2012)			(b)(1) (b)(3) (b)(5) (b)(3)
June 2012)			(b)(3)
(b)(1) (b)(3)			
(b)(1) (b)(3)			

This table is ~~SECRET~~

(b)(3)

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~~SECRET~~SIGNIFICANT RECOMMENDED  
ACTIONS OUTSTANDING

(b)(3)

~~SECRET~~

(b)(3)

**(U) TABLE 4 – STATUS OF SIGNIFICANT RECOMMENDED ACTIONS: INVESTIGATIONS**

(U) As of 1 January 2013, two significant recommendations that were issued by the Investigations Staff and reported in previous semiannuals remained open. These recommendations were not closed during this reporting period, and remain open as of 31 March 2013.

INVESTIGATIONS	SUMMARY OF RECOMMENDATION	STATUS	RESPONSIBLE PARTY
(U) Conversion of Government Property and Failure to Report Foreign Gifts October 2010)			
This table is <del>SECRET</del>			

(b)(1)  
(b)(3)  
(b)(5)(b)(3)  
(b)(5)

(b)(3)

(b)(3)

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(b)(3)

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(b)(3)



(b)(1)  
(b)(3)

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(b)(3)



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INSPECTOR GENERAL

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(b)(3)