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Description of document: Defense Logistics Agency (DLA) Accountability Office
Listing of Accountability Audit Reports, 2011-2013

Request date: 05-March-2015

Released date: 02-April-2015

Posted date: 14-September-2015

Source of document: FOIA Request
FOIA Officer
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DEFENSE LOGISTICS AGENCY
HEADQUARTERS
8725 JOHN J. KINGMAN ROAD
FORT BELVOIR, VIRGINIA 22060-6221

APR - 2 2015

This is in response to your March 5, 2015, Freedom of Information Act (FOIA) request for a copy of the DLA Accountability Office Audit Listing (Listing of Accountability Audit Reports) for January 1, 2011 to the present.

DLA located the report and has determined it may be released to you in full. A copy of the report is enclosed.

Should you need further assistance, please contact Ms. Kathy Dixon, DLA Headquarters FOIA Officer, at 703-767-6183 or Kathy.Dixon@dla.mil. Please reference FOIA case number HQ DLA-15-HFOI-00072 in any subsequent correspondence.

Sincerely,

A handwritten signature in blue ink that reads "Steven Pigott".

STEVEN D. PIGOTT
Deputy IG for Audit

| | |
|---|----------|
| Ongoing Audits | 5 |
| 1 FIAR Systems Strategy | |
| 2 Hazardous Waste Disposal | |
| 3 Sole Source Service Contracts | |
| 4 Produce Contract Oversight | |
| 5 Disposition of Printers | |
| Ongoing SSAE16/Management Assertions Reviews | 0 |
| Audits To Start | 7 |
| 1 Follow Up of NWRM | |
| 2 FIAR Systems Strategy | |
| 3 Contract Closeout | |
| 4 LESO Internal Controls | |
| 5 Follow Up of CAC | |
| 6 Trade Security Controls | |
| 7 Time to Award | |
| SSAE16 Reviews To Start | 5 |
| 1 SSAE16 Review of DAAS | |
| 2 SSAE16 Review of DAi | |
| 3 SSAE16 Review of DTS | |
| 4 SSAE16 Review of WAWF | |
| 5 Review of SBA Management Assertion | |

Cancelled 0

Delayed 0

Total Audits: 23

Slide Data

| | |
|---|-----------|
| - Final Audit Report Issued | 4 |
| - Terminated Audits | 0 |
| - Draft Audit Reports Issued (Awaiting Comments) | 0 |
| - Discussion Draft Audit Reports Issued | 0 |
| - Anticipate Issuing Discussion Draft within Next 30 Days | 2 |
| - Ongoing Audits | 5 |
| - Ongoing SSAE16 Reviews | 0 |
| - Audits To Start | 7 |
| - SSAE16 Reviews To Start | 5 |
| - Cancelled | 0 |
| - Delayed | 0 |
| Total | 23 |

Final Audit Reports Issued

14

- 1 Law Enforcement Support Office Program Oversight (21 Nov 13) (DLA OIG-FY14-02)
- 2 DLA Distribution Contract Oversight Follow-up Implementation of Prior Contract Oversight Audit Recommendations (2 Dec 13) (DLA OIG-FY14-03)
- 3 Maintenance, Repair, and Operations (MRO) Program (4 Dec 13) (DLA OIG-FY14-01)
- 4 Sustainment, Restoration, and Modernization Program - Europe (5 Dec 13) (DLA OIG-FY-14-04)
- 5 Supply Discrepancy Reports - Vendor Follow-Up and Resolution (7 Feb 14) (DLA OIG-FY14-05)

- 6 Government Purchase Card Follow-Up Audit (5 Mar 14) (FY14-DLAOIG-07)
- 7 FY2013 Quality Assurance Review (11 Apr 14) (QAR-13-04)
- 8 DLA Information Operations Management Assertion for the Defense Automatic Addressing System (DAAS) (15 Apr 14) (DLA OIG-FY14-09)

- 9 Continuing Professional Education Assessment (16 Apr 14) (QAR-13-06)
- 10 Follow-Up of Nuclear Weapons Related Material and Small Arms (17 Apr 14) (DAO-12-04)
- 11 Common Access Cards Issued to Contractors at Headquarters (28 Apr 14) (DLA OIG-FY14-08)
- 12 DLA Disposition Services Receipt of Generator Certifications (21 May 14) (DLA OIG-FY14-10)
- 13 FY2014 Quality Assurance Review (16 Sept 2014) (QAR-14-01)
- 14 Retrieval and Deactivation of Civilian CACs at DLA HQ (30 Sept 2014) (DLAOIG-FY14-13)

Terminated Audits

0

Draft Audit Reports Issued (Awaiting Comments)

0

Discussion Draft Audit Reports Issued

0

Anticipate Issuing Discussion Draft within next 30 Days

0

Ongoing Audits

0

Total Audits:

14

APR Metrics Slide Data

| | |
|---|-----------|
| - Final Audit Report Issued | 14 |
| - Terminated Audits | 0 |
| - Draft Audit Reports Issued (Awaiting Comments) | 0 |
| - Discussion Draft Audit Reports Issued | 0 |
| - Anticipate Issuing Discussion Draft within Next 30 Days | 0 |
| - Ongoing Audits | 0 |
| <hr/> | |
| Total | 14 |

Final Audit Reports Issued 9

- 1 Employee Records Set-Up and Maintenance Process (1 Oct 12) DAF-12-06
- 2 Continuous Process Improvement (24 Oct 2012) DAO-12-01
 - Real Property Additions, Deletions, and Construction-in-Progress (20 Dec 12) DAF-12-15
- 4 DLA Disposition Services Contingency Operations (15 Jan 13) DAO-12-07
- 5 FY12 Quality Assurance Review (12 Feb 13) QAR-12-08
- 6 Non-Energy Physical Inventory Process (25 Feb 13) DAF-12-05
 - Defense Automatic Addressing System IT General Controls (8 Apr 13) DAI-11-7 8
- 8 Follow-Up Audit of LESO (4 Apr 13) DAO-12-26
- 9 Government Purchase Cards (30 Sept 2013) (DAO-12-24)

Terminated Audits 4

- 1 Distribution Standard System (2 Nov 12) DAI-12-10
- 2 DLA Support to Hurricane Sandy (4 Mar 13) DAO-13-01
- 3 Performance Based Incentive Fees (21 Mar 13) DAO-10-18
- 4 Capital Purchase Program (21 Jun 2013) DAO-10-24

Draft Audit Reports Issued (Awaiting Comments) 0

Discussion Draft Audit Reports Issued 0

Anticipate Issuing Discussion Draft within next 30 Days 0

Ongoing Audits 0

Ongoing SSAE16/Management Assertions Reviews 0

Audits To Start 0

Total Audits: 13

APR Metrics Slide Data

| | |
|---|-----------|
| - Final Audit Report Issued | 9 |
| - Terminated Audits | 4 |
| - Draft Audit Reports Issued (Awaiting Comments) | 0 |
| - Discussion Draft Audit Reports Issued | 0 |
| - Anticipate Issuing Discussion Draft within Next 30 Days | 0 |
| - Ongoing Audits | 0 |
| - Ongoing SSAE16 Reviews | 0 |
| - Audits To Start | 0 |
| Total | 13 |

Final Audit Reports Issued

4

- 1 Internal Controls over the Nuclear Weapons Related Materiel (NWRM) Receipt Process at DLA Distribution Facilities (7 Oct 2014) DLAOIG-FY15-01
- 2 Monitor IPA's work - SSAE-16 on DLA Wide Area Workflow (WAWF) System (26 Nov 2014) DLAOIG FY14-15
- 3 Examination Assertion of DLA Working Capital Fund (WCF) Hosted Sites (18 Dec 2015) DLAOIG-FY15-03
- 4 Examination on DLA Environmental Liabilities Management (ELM) Assertion (7 Jan 2015) DLA OIG-FY15-04

APR Metric

Terminated Audits

0

Draft Audit Reports Issued (Awaiting Comments)

0

Discussion Draft Audit Reports Issued

0

Anticipate Issuing Discussion Draft within next 30 Days

2

- 1 Managers Internal Control Program
- 2 Government Furnished Equipment

| | |
|---|-----------|
| Final Audit Reports Issued | 11 |
| DLA Implementation of the FISMA Reporting Process, DIACAP, and Selected | |
| 1 IA Controls Audit (2011 Nov 04) DAO-10-21 | |
| Audit of FBwT Undistributed Disbursements and Collections (31 May 2012) | |
| 2 DAF-11-09 | |
| Audit of Fuel Contract Administration for Afghanistan (13 Sep 2012) DAO-10- | |
| 3 10A | |
| 4 Audit of Fuels Accountability in Afghanistan (16 Feb 2012) DAO-10-10 | |
| Audit of the DLA Non-Energy IRM Reconciliation Process (31 May 2012) DAF- | |
| 5 11-02 | |
| Audit of Logistics Research and Development Funding (04 Nov 2011) DAO- | |
| 6 10-21 | |
| 7 Subsistence and Nonprescription Drug Recalls (16 Mar 2012) DAO-10-13 | |
| 8 Audit of Suspended Inventory (30 Jul 2012) DAO-10-16 | |
| 9 Audit of Travel Card Usage (27 Aug 2012) DAO-10-25 | |
| FY10-FY11 Continuing Professional Education Assessment (2 Mar 2012) QAR- | |
| 10 11-11 | |
| 11 FY11 Quality Assurance Review (29 Feb 2012) QAR-11-07 | |
| | |
| Terminated Audits | 1 |
| 1 Integrated Recycling Control Point (17 Feb 2012) DAO-12-12 | |
| | |
| Draft Audit Reports Issued (Awaiting Comments) | 0 |
| | |
| Discussion Draft Audit Reports Issued | 0 |
| | |
| Anticipate Issuing Discussion Draft within next 30 Days | 0 |
| | |
| Ongoing Audits | 0 |
| | |
| Cancelled | 0 |
| | |
| Delayed | 0 |
| | |
| <hr/> | |
| Total Audits: | 12 |

APR Metrics Slide Data

| | |
|---|-----------|
| - Final Audit Report Issued | 11 |
| - Terminated Audits | 1 |
| - Draft Audit Reports Issued (Awaiting Comments) | 0 |
| - Discussion Draft Audit Reports Issued | 0 |
| - Anticipate Issuing Discussion Draft within Next 30 Days | 0 |
| - Ongoing Audits | 0 |
| - Cancelled | 0 |
| - Delayed | 0 |
| Total | 12 |

| | |
|--|----------|
| Final Audit Reports Issued | 4 |
| 1 Information Technology Asset Management Audit (15 Sep 11) DAO-10-26 | |
| 2 Audit of the Suspense Account Process Over Disbursing Station Symbol Numbers 6355 and 6551 (25 Aug 2011) DAF-11-03 | |
| 3 DLA's Triannual Review Process (10 Jun 2011) DAO-10-11 | |
| 4 Statement of Differences Process Over DSSN 6355 and 6551 (7 Jun 2011) (DAF-11-05) | |
| Terminated Audits | 3 |
| 1 Potential DHRA ADA (25 Mar 2011) (DAF-11-04) | |
| 2 DFAS Peer Review (20 Jun 2011) (DAO-10-08) | |
| 3 DeCA Peer Review (20 Jun 2011) (DAO-09-14) | |
| Draft Audit Reports Issued (Awaiting Comments) | 0 |
| Discussion Draft Audit Reports Issued | 0 |
| Anticipate Issuing Discussion Draft within next 30 Days | 0 |
| Ongoing Audits | 0 |
| <hr/> Total Audits: | 7 |

APR Metrics Slide Data

| | |
|---|----------|
| - Final Audit Report Issued | 4 |
| - Terminated Audits | 3 |
| - Draft Audit Reports Issued (Awaiting Comments) | 0 |
| - Discussion Draft Audit Reports Issued | 0 |
| - Anticipate Issuing Discussion Draft within Next 30 Days | 0 |
| - Ongoing Audits | 0 |
| Total | 7 |