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"Rummaging in the government's attic"

Description of document:	List of Smithsonian Institution (SI) Office of Inspector General (OIG) investigations closed during CY 2012
Request date:	2013
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Smithsonian Institution

Office of the Inspector General

December 5, 2013

Via Email

This is our response to your request, #OIG13-02, for "a printout/list/digital file of the OIG investigations closed during calendar year 2012."

The Smithsonian Institution and its Office of the Inspector General (OIG) are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), cert. denied, 524 U.S. 922 (1998); Requests for Smithsonian Institution Information, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution's mandate to increase and diffuse knowledge. See 20 U.S.C. § 41 *et seq.* The Institution and its OIG answer requests for documents consistent with its written policy, SD 807, and adhere to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, this office must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released by OIG.

Accordingly, we have redacted the attached four-page document consistent with FOIA exemption 7(C), which protects from disclosure records or information compiled for law enforcement purposes if disclosure "could reasonably be expected to constitute an unwarranted invasion of personal privacy." 5 U.S.C. § 552(b)(7)(C).

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,

Epin H. Christensen
Counsel to the Inspector General

LAW ENFORCEMENT SENSITIVE INFORMATION
OFFICE OF THE INSPECTOR GENERAL
CASE / COMPLAINT LOG

Case / File No.	Target	Agent	Allegation - Complaint	Date In	Date Closed	Result of Inquiry
09-24			Contract/Procurement Irregularity	01/23/2009	09/30/2012	
10-035			Contract/Procurement Irregularity	02/01/2010	01/06/2012	
10-093			National Collections Issue	08/16/2010	09/30/2012	
11-006			National Collections Issue	10/01/2010	01/09/2012	
11-024			Contract/Procurement Irregularity	12/10/2010	02/22/2012	
11-044			Fraud	02/24/2011	12/20/2012	
11-051			Abuse of Authority	04/07/2011	06/01/2012	
11-054			Other - Non Specific	04/13/2011	09/13/2012	
12-003			Abuse/Misuse SI Personnel	10/19/2011	09/22/2012	
12-005			Other - Non Specific	11/02/2011	02/14/2012	
12-006			Contract/Procurement Irregularity	10/13/2011	02/23/2012	
12-009			Contract/Procurement Irregularity	11/08/2011	06/11/2012	
12-012			Violation SoC	11/18/2011	02/29/2012	
12-015			Personnel Issue	11/22/2011	09/10/2012	

LAW ENFORCEMENT SENSITIVE INFORMATION
OFFICE OF THE INSPECTOR GENERAL
CASE / COMPLAINT LOG

Case / File No.	Target	Agent	Allegation - Complaint	Date In	Date Closed	Result of Inquiry
12-019			Public Concern	01/12/2012	01/19/2012	
12-020			Contract/Procurement Irregularity	01/23/2012	09/07/2012	
12-023			Public Concern	02/22/2012	09/11/2012	
12-027			Misconduct in Rearch	12/07/2011	04/13/2012	
12-031			Abuse of Authority	03/26/2012	09/11/2012	
12-032			Theft/Embezzlement	03/27/2012	09/07/2012	
12-033			Personnel Issue	04/06/2012	04/10/2012	
12-034			Public Concern	04/09/2012	04/10/2012	
12-035			Abuse of Authority	04/10/2012	09/22/2012	
12-036			National Collections Issue	04/09/2012	04/11/2012	
12-037			Computer Offense	11/07/2011	01/10/2012	
12-038			Mismanagement Issue	11/10/2011	01/10/2012	
12-039			Other - Non Specific	11/16/2011	01/10/2012	
12-040			Other - Non Specific	11/10/2011	01/10/2012	

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OFFICE OF THE INSPECTOR GENERAL
CASE / COMPLAINT LOG

Case / File No.	Target	Agent	Allegation - Complaint	Date In	Date Closed	Result of Inquiry
12-041			Other - Non Specific	01/05/2012	01/10/2012	
12-042			Other - Non Specific	11/04/2011	01/27/2012	
12-043			Other - Non Specific	01/25/2012	09/27/2012	
12-044			Other - Non Specific	02/25/2012	02/28/2012	
12-045			Time and Attendance	03/16/2012	03/27/2012	
12-046			Personnel Issue	04/06/2012	09/11/2012	
12-050			Other - Non Specific	04/10/2012	05/18/2012	
12-051			National Collections Issue	05/10/2012	12/20/2012	
12-053			Contract/Procurement Irregularity	04/25/2012	05/22/2012	
12-054			Fraud	06/06/2012	10/17/2012	
12-056			Abuse/Misuse SI Personnel	06/06/2012	09/07/2012	
12-057			Mismanagement Issue	06/18/2012	09/07/2012	
12-059			Public Concern	07/30/2012	08/02/2012	
12-060			Personnel Issue	08/01/2012	09/17/2012	

LAW ENFORCEMENT SENSITIVE INFORMATION
OFFICE OF THE INSPECTOR GENERAL
CASE / COMPLAINT LOG

Case / File No.	Target	Agent	Allegation - Complaint	Date In	Date Closed	Result of Inquiry
12-062			Other - Non Specific	08/13/2012	09/11/2012	
12-063			Fraud	08/15/2012	10/03/2012	
12-064			Abuse of Authority	08/30/2012	09/07/2012	
12-065			Fraud	08/15/2012	09/13/2012	
12-067			Personnel Issue	09/18/2012	09/25/2012	
13-002			Assist Other Agency	10/05/2012	11/14/2012	
13-008			Abuse of Authority	11/06/2012	11/27/2012	
13-012			Time and Attendance	11/26/2012	11/29/2012	
13-014			Abuse of Authority	11/16/2012	12/17/2012	