



governmentattic.org

"Rummaging in the government's attic"

Description of document: Meeting Minutes for the Intelligence Community Inspectors General (IC IG) Forum, 2012

Requested date: 29-March-2015

Released date: 28-December-2015

Posted date: 25-January-2016

Source of document: Freedom of Information Act Request
Director, Information Management Division
Office of the Director of National Intelligence
Washington, D.C. 20511
Fax: (703) 874-8910
Email: dni-foia@dni.gov

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.

Office of the Director of National Intelligence
Washington, DC 20511

DEC 28 2015

Re: ODNI Case # DF-2015-00178

This responds to your FOIA request of 29 March 2015 (Enclosure 1), received in the Office of the Director of National Intelligence (ODNI) on 7 April 2015, in which you requested a copy of the meeting minutes from the IC IG Forums in the years 2012, 2013 and 2014.

Your request was processed in accordance with the FOIA, 5 U.S.C. § 552, as amended. A thorough search of our records and databases located two documents responsive to your request (Enclosure 2). Upon review, it is determined that the documents may be released in segregable form with redactions made pursuant to FOIA exemptions (b)(3) and (b)(6).

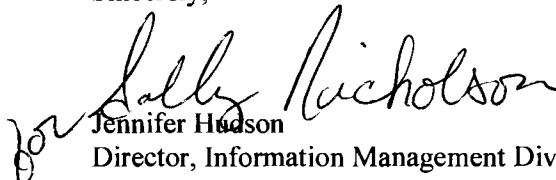
Exemption (b)(3) applies to information exempt from disclosure by statute. The relevant withholding statute is the National Security Act of 1947, as amended, 50 U.S.C. § 3024(m)(1), which protects, among other things, the names and identifying information of ODNI personnel. Exemption (b)(6) applies to records which, if released, would constitute a clearly unwarranted invasion of the personal privacy of individuals. FYI, the practice of meeting minutes was discontinued after the March 2012 meeting.

If you wish to appeal our determination on this request, please explain the basis of your appeal and forward to the address below within 45 days of the date of this letter:

Office of the Director of National Intelligence
Information Management Division
Washington, DC 20511

If you have any questions, feel free to email our Requester Service Center at DNI-FOIA@dni.gov or call us at (703) 874-8500.

Sincerely,


Jennifer Hudson
Director, Information Management Division

Enclosures

UNCLASSIFIED//~~FOUO~~



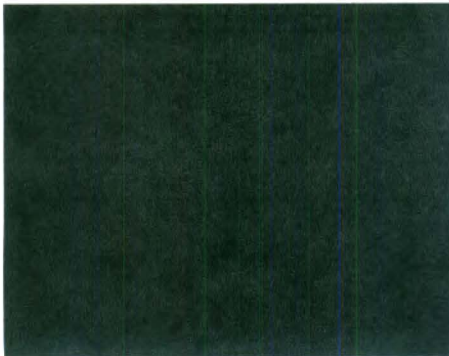
Intelligence Community Inspectors General Forum
Meeting Minutes
19 January 2012

I. (U) CALL TO ORDER

(U) A regular meeting of the Intelligence Community Inspectors General (IC IG) Forum was held in McLean, Virginia on 19 January 2012. The meeting convened at 10:05 AM, The Honorable I. Charles McCullough, Inspector General of the Intelligence Community presiding, and [REDACTED] secretariat.

II. (U) ATTENDANCE

1. (U) MEMBERS IN ATTENDANCE:



Mr. Charles McCullough (Chair)



CIA
NRO
DHS
NGA
NSA
DoS
DoD
DIA
IC IG
DOJ

2. (U) MEMBERS NOT IN ATTENDANCE



DOE
Treasury

3. (U) OBSERVERS



FBI
DoD
DoD, ATSD (IO)

UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

MINUTES of the Intelligence Community Inspectors General Forum

19 January 2012 Meeting

(b)(3)

DoD

III. (U) APPROVAL OF MINUTES

(U) Draft minutes of the 19 January 2012 meeting of the Intelligence Community Inspectors General Forum were electronically distributed to Forum members in Adobe .pdf format for approval. A motion will be made at the next Forum meeting to approve the minutes.

IV. (U) PRESENTATIONS

1. (U//~~FOUO~~) Mr. McCullough introduced the new leadership team of the Office of the Inspector General of the Intelligence Community. Following introductions, the leadership team departed with the exception of [REDACTED] IC IG Chief of Staff, who fulfilled the secretariat function for the meeting.

(b)(3)

2. (U//~~FOUO~~) Mr. McCullough provided an overview briefing of the Office of the Inspector General of the Intelligence Community and opened the floor to questions regarding the office construct. No questions were raised.

V. (U) UNFINISHED BUSINESS

(U) This was the first meeting of the IC IG Forum since the establishment of the Office of the Inspector General of the Intelligence Community. There was no unfinished business to record.

VI. (U) NEW BUSINESS

1. (U) The Forum agreed the "Working Groups" will hereinafter be referred to as "Committees," so as to be consistent with the Council of the Inspectors General on Integrity and Efficiency (CIGIE).
2. (U) The Forum agreed to the creation of a "Counsels Committee," and also that the "Deputies Committee" continue to meet.
3. (U) The Forum agreed that the frequency of Forum meetings should be temporarily increased to approximately every 45-60 days for the next six months, and that these initial meetings will occur at Liberty Crossing. The Forum agreed to revisit the schedule and location for meetings in June 2012, and that the principal or deputy principal for each element will attend the Forum meetings.
4. (U) The Forum discussed performing IC-wide reviews and developing a robust peer review process for the IC OIGs.
5. (U) The Forum agreed to consolidate the ICIG Conference and Awards programs. The Forum agreed to assemble a group composed of a representative from each element to perform a comprehensive review of the IC IG Conference and Awards programs.

UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

MINUTES of the Intelligence Community Inspectors General Forum

19 January 2012 Meeting

(b)(3)

The Forum members agreed to provide [REDACTED] with the name and contact information for their respective representative prior to the next Forum meeting. The Forum agreed to postpone the IC IG Conference until the October 2012 timeframe. The NGA IG offered to host the next conference.

6. (U) The Forum discussed IC-wide work planning through the Forum's committees. The Forum discussed various methodologies for conducting joint reviews.
7. (U) Mr. McCullough noted the preference among IGs is to work out matters of jurisdiction within the Forum.
8. (U) Mr. McCullough presented proposals for two suggested joint projects: Security Clearance Reciprocity and an E-Waste review. Both projects are in response to congressionally directed actions. Forum members agreed to consider the proposals presented and provide feedback early next week.
9. (U) A discussion on DHS' proposed FISMA metrics will be added to the agenda for the next Forum meeting.

VII. (U) ANNOUNCEMENTS:

1. (U) The IC IG is hosting a one day Suspension and Debarment seminar in March. More details will follow.
2. (U) The IC IG will be engaged in strategic planning during the months of February-June. The semiannual report the IC IG submits in January 2012 will be a close-out semiannual for the former "Office of the Director of National Intelligence Office of the Inspector General." The first IC IG semiannual report will be issued in July 2012.
3. (U) The IC IG will wait until it has been in operation for nine months to one year before submitting IC-wide management challenges.
4. (U) Registration for the International Intelligence Review Agencies Conference (IIRAC) closes at the end of January. The U. S. will host this conference in 2016.

VIII. (U) ADJOURNMENT

(U) The meeting was adjourned at 11:55 AM.

(b)(3)

(U) Minutes submitted by: [REDACTED], IC IG Chief of Staff

(U) Approved by: Irvin C. McCullough, Inspector General of the Intelligence Community

UNCLASSIFIED//~~FOUO~~

Intelligence Community Inspectors General Forum Meeting Minutes

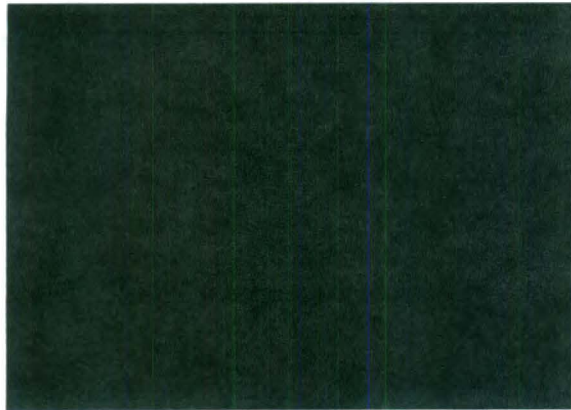
Meeting Date: 29 March 2012

I. (U) CALL TO ORDER

(U) A regular meeting of the Intelligence Community Inspectors General (IC IG) Forum was held in McLean, Virginia on 29 March 2012. The meeting convened at 10:05 AM, Inspector General of the Intelligence Community Irvin C. McCullough presiding, and [REDACTED] secretariat.

II. (U) ATTENDANCE

1. (U) MEMBERS IN ATTENDANCE:



Mr. Charles McCullough (Chair)

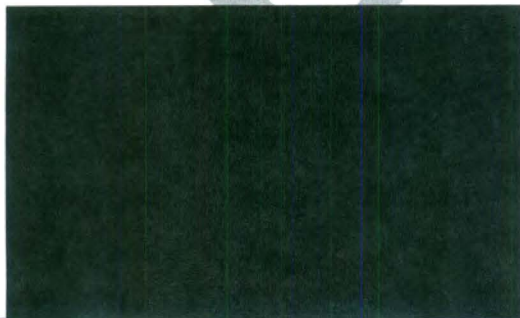


CIA
NRO
DHS
NGA
NSA
DoS
DoD
DIA
IC IG
DOJ
Treasury
DOE

2. (U) MEMBERS NOT IN ATTENDANCE

None

3. (U) OBSERVERS



DoD
DHS
DoS
DoS
DoS
Treasury

UNCLASSIFIED//~~FOUO~~**MINUTES of the Intelligence Community Inspectors General Forum****29 March 2012 Meeting****III. (U) APPROVAL OF MINUTES**

(U) Forum members approved the minutes of the 19 January 2012 meeting of the Intelligence Community Inspectors General Forum.

IV. (U//~~FOUO~~) PRESENTATIONS

a. (U//~~FOUO~~) [REDACTED], IC IG AIG for Audit, and his team briefed the following Audit Committee activities:

i. (U//~~FOUO~~) **IC-wide Audit Workplan:** The committee held a community meeting last February where they discussed development of an IC-wide audit workplan. [REDACTED] requested that Forum members encourage members of their organizations to participate in the committee meetings to better facilitate development of a comprehensive workplan. He outlined a proposed approach for workplan development and advised the goal is to coordinate individual element workplans when common areas of interest exist. [REDACTED] requested copies of each element's workplans by July. CIA advised they would only be in a position to provide a copy of their draft workplan by this suspense. Mr. McCullough confirmed this would be acceptable. [REDACTED] will email guidance for this effort to each IC agency/element.

(b)(3)

ii. (U) **FY 2012 Independent Evaluation of Compliance with the Federal Information Security Management Act of 2002 (FISMA):**

(b)(3)

[REDACTED] provided an update on FY12 FISMA. OMB designated the Department of Homeland Security (DHS) with operational responsibility for FISMA. The metrics DHS released in January are significantly different from past years. DHS is coordinating with a group of IC-wide representatives to adjust the metrics. Of particular note are the following metrics: Risk Management, Security Capital Planning, and Systems Inventory. The group is currently coordinating on the FY13 metrics.

[REDACTED] advised the IC IG would generate a Capstone roll up of FISMA reporting for the IC. The FISMA Capstone report will be completed on 14 September 2012 and released to OMB on 15 November 2012.

iii. (U) **Audit of IC Electronic Waste (E-Waste) Disposal Practices:**

[REDACTED] provided a briefing on the committee's work to establish a plan to identify and assess the security of E-Waste disposal practices in the IC and to identify methods to improve the security of E-Waste disposal practices. [REDACTED] indicated the committee has narrowed the scope of this effort to the big six elements initially; however, they may conduct a follow-on audit of other elements if Congress desires. [REDACTED] briefed the methodology and schedule for the audit. The team will complete fieldwork by the end of July. A draft report will be submitted to the IC IG for review in October, and

UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~**MINUTES of the Intelligence Community Inspectors General Forum****29 March 2012 Meeting**

the final report will be submitted to Congress in November. The team has received the required support from other element IC OIG's.

iv. **(U) Audit of IC Security Clearance Reciprocity:** [REDACTED]

(b)(3)

briefed on the Security Clearance Reciprocity Audit. The IC IG is conducting this congressionally directed audit in coordination with CIA, DIA, ODNI, NGA, NRO, and NSA. The audit objectives are: 1) Determine whether there are IC policies, procedures, and processes that facilitate timely reciprocity; 2) Assess the time required to obtain a reciprocal personnel security clearance for three categories of IC personnel; and 3) Identify potential cost savings resulting from granting reciprocal personnel security clearances. The team is not considering contractors moving from one contract to another this year. The team will conclude the survey phase of the audit in March. Initial observations are that the DNI has issued IC guidance on security clearance reciprocity, but the guidance does not address timeliness. Only ODNI and DIA have established internal policies or goals for the amount of time to make determinations about reciprocal clearances. The team will evaluate the effectiveness of existing policies, report averages by aggregate by agency, and estimate potential cost savings or explain that sufficient data is not available to make a calculation of potential savings. The audit is progressing on schedule and the report will be issued in August. The IC IG team has received excellent support from other Offices of Inspector's General (OIG's).

b. (U//~~FOUO~~) [REDACTED], IC IG AIG for Inspections, and his team briefed the following Inspection Committee activities:

(b)(3)

- i. **(U) Over-Classification CDA:** [REDACTED] briefed on the subject of the Over-Classification CDA. He provided an overview of the 2010 Over Classification Act that requires IGs to carry out two evaluations to assess whether the IC has adopted applicable policies, procedures, rules, and regulations and to identify policies, procedures, etc. that may be contributing to persistent misclassification. The deadlines for the evaluations are 01 September 2013 and 30 September 2016. The Inspections Committee is currently developing a methodology taking into consideration costing and the tools used to classify materials. The committee will coordinate to ensure a consistent methodology is applied by each element conducting this review to enable cross-agency comparisons. The Forum recommended a Capstone report be developed to summarize the Over-Classification evaluations.
- ii. **(U) Cross-IC Topics:** The committee has identified two broad categories for cross-IC inspections: 1) Oversight and Integration, and 2) Mission and Integration. The goal is to scope each inspection to be accomplished in a three-month timeframe with minimal time and resource impact to each OIG. The committee is establishing criteria to use to determine which cross-IC inspections to initiate. The AIGs provided input on cross-IC topics with the majority consensus being 1)

UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~**MINUTES of the Intelligence Community Inspectors General Forum****29 March 2012 Meeting**

Management of Resources, and 2) Management of People. Members of the forum questioned the value add for other IC elements for the topics identified. They also indicated that in a time of constrained resources, the inspections selected must provide strong benefit to the elements to warrant their contribution of resources to the efforts. Mr. Haugland indicated additional scoping is required to determine the benefits to individual elements. The Forum suggested that the committee consider less volatile topics for its initial cross-IC efforts to establish credibility. The committee plans to develop a two to five year plan by the 4th quarter FY2012 and to return to the Forum with an update and to seek concurrence on the plan.

(b)(3)

- c. (U) [REDACTED], IC IG AIG for Investigations, briefed on the inaugural meeting of the Investigations Committee. The meeting was held on 08 March 2012 with excellent cross-community participation. The committee is focusing on the following topics:
- i. **IC IG Counter Fraud Targeting Program (CFTP):** The committee is seeking to establish an IC-wide operational effort capable of detecting fraudulent activity and related loss or waste common to all IC elements. To establish this program, they will utilize existing concepts and ideas and formalize existing working groups. Examples of areas the program will target include mischaracterization of qualifications on contracts and time and attendance fraud. The program will be operationally driven and the team will share best practices and methodologies. IG Thomson cautioned that there are multiple fraud task forces operating across the community and that it is unclear which agencies are members of which task forces. The committee will review proposals for the program and present them to the Forum for consideration.
 - ii. **Senior Official Investigations Reporting:** There is a need for a uniform system for notifying agency heads when senior official investigations occur. Legal hurdles will need to be addressed prior to implementation of a process for uniform notifications.
- d. (U) [REDACTED], CIA OIG, briefed on the Whistleblower directive. He attended a meeting in September on this directive, which establishes a procedure for employees to have a process to seek appeal if they feel they are being retaliated against for reporting waste, fraud, and abuse. The directive applies only to government employees and all Intelligence Community elements.

(b)(3)

V. (U) UNFINISHED BUSINESS

(U) Nothing to report.

UNCLASSIFIED//~~FOUO~~

UNCLASSIFIED//~~FOUO~~

MINUTES of the Intelligence Community Inspectors General Forum

29 March 2012 Meeting

VI. NEW BUSINESS

- (b)(3)
- a. (U) Mr. McCullough reported on the IC IG's strategic planning efforts. The team is making good progress and has put its primary focus on foundational items. The IC IG plans to complete all foundational work by July 2012. The IC IG websites are currently under construction and will be operational in May.
 - b. (U) [REDACTED] introduced himself to the Forum members and spoke briefly on his role as the new IC IG Hotline Manager and plans for development of that program.

VII. (U) ANNOUNCEMENTS

- (b)(3)
- a. (U) The Annual Intelligence Community Inspectors General Conference and Awards Ceremony will be held 28 October 2012 at the NGA campus. This is the first year that the conference and awards program will be combined.
 - b. (U) The Forum recognized [REDACTED] service to the IG community and congratulated her on her pending retirement. A farewell luncheon is scheduled for 26 June at Fort Meyers.
 - c. (U) The annual Inspectors General bowling tournament is scheduled at Bolling. Offices are encouraged to put together teams and participate.

VIII. ADJOURNMENT

(U) The meeting was adjourned at 12:00 Noon.

(b)(3)

(U) Minutes submitted by: [REDACTED], IC IG AIG for Management & Administration
(U) Approved by: Irvin C. McCullough, Inspector General of the Intelligence Community

UNCLASSIFIED//~~FOUO~~