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Description of document: Listing of National Archives and Records Administration (NARA) Inspector General (OIG) investigations closed during CY 2012

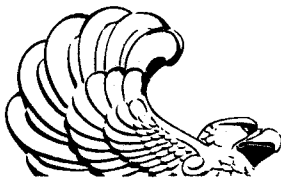
Requested date: 09-February-2013

Released date: 09-February-2013

Posted date: 08-February-2016

Source of document: Office of the Inspector General
FOIA Request
8601 Adelphi Road, Room 1300
College Park, MD 20740
Fax: 301-837-3197

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NATIONAL
ARCHIVES

OFFICE of
INSPECTOR GENERAL

February 28, 2013

Via email

Re: Request for OIG Investigations Information (NARA OIG FOIA 13-05)

This letter is in response to your letter, dated February 9, 2013 requesting “a printout/list/digital file of the OIG investigations closed during calendar year 2012.” While the OIG does not regularly keep or produce such a record, I was able to generate one and interpreted this as a FOIA request for information about investigations in a “closed final” status. The list generated is attached. Please note I was not able to get the file to format so that all of the columns would appear on one page. The information on “Status” and “Status Date” on the twelfth page corresponds to the investigations on the first page. All of the following pages correspond accordingly. Furthermore, the “Summary” field is most akin to a case initiation summary of what the initial allegations were, and is not a statement of fact about anything which was substantiated or unsubstantiated.

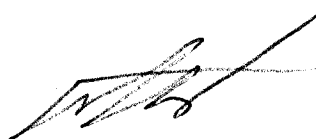
I am releasing the attached documents from the OIG files with information redacted mainly under FOIA Exemptions b(6) and b(7)(C) due to the privacy interests of the parties involved. Redactions pursuant to Exemptions b(6) and b(7)(C) included information that constituted a clearly unwarranted invasion of personal privacy and/or records compiled for law enforcement purposes that could reasonably be expected to constitute an unwarranted invasion of personal privacy. Please note all redactions on the disclosed pages were done by replacing information with a black line. While these investigations are closed final for NARA OIG purposes, some of them represent joint investigations with other law enforcement entities, in those cases redactions were also done as they may continue to be ongoing investigations with that entity. In the interests of getting available information to you as fast as possible, I am disclosing this document immediately. If you wish for a redaction by redaction breakdown of exemptions, please contact me.

In accordance with Department of Justice guidance, I would also like to notify you that FOIA excludes certain records from the requirements of the FOIA and this response addresses only those records that are subject to the FOIA.

You have the right to administratively appeal this determination by writing to the Archivist of the United States, National Archives and Records Administration, 8601 Adelphi Road, College Park, MD, 20740, within 35 calendar days of the date of this letter. If you choose to appeal, your appeal letter and its envelope should be clearly marked "Freedom of Information Act Appeal," and you should explain why you believe NARA should release the withheld information.

If you have any questions, you may contact me at 301-837-1966 or john.simms@nara.gov. Thank you for contacting the NARA OIG.

Sincerely,

A handwritten signature in black ink, appearing to read "John Simms", with a long horizontal line extending to the right.

John Simms
Counsel to the Inspector General
National Archives & Records Administration

Investigations Closed Final

27FEB2013

Start Range: 01JAN2012

End Range: 31DEC2012

Number	Title	Summary	Date Initiated
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
11-0003-I	Child Porn	A review of NARA's network revealed multiple peer-to-peer potential child porn transactions between external users and NARA users. Additionally, multiple IP addresses withing NARA were discovered to have been accessing potential child porn web addresses.	06Oct2010
12-0001-I	Assistance to [REDACTED] [REDACTED]	[REDACTED] requested assistance to investigate allegations that an [REDACTED] [REDACTED] is using [REDACTED] computers to download and/or distribute child pornography.	04Oct2011

12-0019-I [REDACTED] - Three Amigos NARA OIG is providing computer forensic assistance to [REDACTED] is investigating senior three employees (the "three amigos") for a variety of criminal misconduct including sale of controlled substances on federal property, use of public office for personal financial gain, misuse of time, false statements, and false claims. 17May2012

Count 3

[REDACTED]

09-0014-I [REDACTED] Assist: [REDACTED] provided falsified NARA Form 13038 (Certification of Military Service) to American Legion Post and VA. Based on presenting NARA Form 13038 to VA, [REDACTED] received benefits for which [REDACTED] would not have otherwise qualified. [REDACTED]

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09-0022-I	Personal credit card belonging to OIG employee stolen from [REDACTED] locker room	NARA OIG employee [REDACTED] reported that on 28JUL2009, Discover and VISA contacted [REDACTED] to advise [REDACTED] cards were fraudulently used. [REDACTED] believed the credit cards were stolen from the Archives II locker room.	13Aug2009
10-0012-I	[REDACTED] Agency Assist RE: [REDACTED]	[REDACTED] contacted NARA OIG to request assistance in obtaining military service records for [REDACTED]. [REDACTED] is investigating [REDACTED] for [REDACTED] allegedly submitted a fraudulent Department of Defense form 214 (DD-214) to VA to receive benefits and made false statements to VA doctors regarding [REDACTED] combat experiences and the severity of [REDACTED] injury during a VA Compensation and Pension Exam (VACPE). [REDACTED]	19Apr2010
11-0013-I	ART- Lincoln Pardon to US Colored Troop Offered for Auction	The OIG discovered a news article advising that [REDACTED] a private company specializing in estate sales, discovered a Lincoln pardon addressed to a US Colored Troop. The pardon bears the Adjutant General Stamp.	14Jun2011

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12-0004-I	ART - Loss of IRS Records, San Bruno FRC/ Kingsridge FRC	Kingsridge FRC notified OIG, HPT, and NGC that upon inspection of a moving truck arrived from San Bruno, it appeared that IRS documents fell out while truck was enroute.	03Nov2011
12-0009-I	ART-Einsatzgruppen Interrogation Records	[REDACTED] contacted Paul Brachfeld about a collection of Nuremberg interrogation records that was brought to the attention of NARA (NGC) in September 2009. The records are in private possession and the owner had attempted to sell them to the Holocaust Museum. It is believed that the records may be federal and should be among NARA's holdings.	05Jan2012
12-0011-I	Time and Attendance Fraud: Central Plains Region	Anonymous complaint alleges [REDACTED] employees at Central Plains Region (Kansas City office) are committing time and attendance fraud. Employee one submits claims for compensatory time (comp time) they do not work; employee number two is allegedly AWOL for large portions of the work day.	09Feb2012

Count 7



11-0009-I Stolen Valor- [REDACTED] On January 14, 2011 [REDACTED] 31Mar2011
contacted [REDACTED] about two
awards that [REDACTED] believed had been stolen by
[REDACTED] during [REDACTED] employment with
NARA [REDACTED] forwarded the email to NARA
OIG OI on January 18, 2011.

Count 1

[REDACTED]

12-0005-I Truman Admission Cashier- [REDACTED] On October 25, 2011 OI was made aware of 07Nov2011
[REDACTED] potential ongoing theft from the Truman
Library by [REDACTED]
[REDACTED] The problem was brought to the
attention of management on October 24,
2011 when two visitors tried to re-enter the
library with the tickets that they purchase the
previous day. The visitors were told that even
though they were issued childrens tickets,
they would be able to re-enter without any
issues. When the visitors returned on
October 24, 2011 they notified Visitor
Services Manager.

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12-0016-I Procurement Irregularities Rocket Center, WV On February 10, 2012, NARA OI and OA staff met with [REDACTED] 13Mar2012

[REDACTED] and [REDACTED] to discuss suspected irregularities with a contract between the Department of Defense, Navy and Alliant Techsystems, Inc (ATK) located at Rocket Center, WV. NARA's occupies rent free space from ATK at Rocket Center. NARA pays ATK's subcontractors for service contracts such as janitorial and Information Technology (IT) support.

Count 2

[REDACTED]

06-0034-I Dun-Well Security On 4/20/06, [REDACTED] contacted the OIG Hotline ad alleged the security guard training requirements dictated in the contract between NARA and Dunwell Security were not being met. 02Jun2006

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11-0007-I	[REDACTED]	On February 14, 2011, [REDACTED] [REDACTED] called the OIG to advise they had investigated and interviewed [REDACTED], for child pornography on [REDACTED] home computer. [REDACTED] confessed to downloading and storing child pornography on [REDACTED] home computer since 2001. [REDACTED] told [REDACTED] [REDACTED] did not download, store or view child pornography on work computers.	14Feb2011
12-0003-I	ART [REDACTED]	[REDACTED]	05Oct2011
12-0017-I	San Bruno: Employee Harassment	[REDACTED], San Bruno, told the IG [REDACTED] leave requests are being denied and that [REDACTED] supervisor is creating a hostile work environment.	19Mar2012

Count 4



07-0004-I	[REDACTED] et. al.	While reviewing documents pertaining to OIG CASE No. 06-015-I, the OIG determined that [REDACTED] received several shipments of NARA surplus equipment. The OIG coordination with [REDACTED] determined that [REDACTED] has an open investigation on [REDACTED] based on an allegation that [REDACTED] inappropriately receives computer equipment from federal agencies and violates [REDACTED]	26Oct2006
12-0008-I	PROACTIVE - FY12 INFOSEC Violations	Proactive Investigation to capture and evaluate agency-wide reports of information security violations.	07Dec2011
12-0010-I	PROACTIVE - FY 12 Worker's Compensation Fraud	This proactive investigation was initiated based on an OIG Office of Audit (OA) referral pertaining to worker's compensation and disability payments. Subsequent to the audit, NARA Human Capitol staff provided information to OI that indicated possible fraud related to the claims.	10Jan2012

12-0018-I **Prostitution-Inappropriate Contact** While conducting investigation [REDACTED], the National Archives and Records Administration (NARA), Office of Inspector General, Office of Investigations (OI) discovered email communication that suggested [REDACTED] was paying [REDACTED] to perform sexual acts during work hours at NARA. 05Apr2012

Count 4



11-0006-I [REDACTED] [REDACTED] employee wrote email to OIG counsel and cc'd [REDACTED] complaining about [REDACTED] treatment at the hands of OIG. Email included threats of litigation and veiled threats of violence to include references to the assassination attempt in Tuscon AZ of a US Congresswoman. 13Jan2011

11-0010-I **Counterfeit Twenty Dollar Bill Passed at Archives II Cafe** On April 25, 2011, [REDACTED], Amercian Security Programs, Contract Security, National Archives and Records Administration (NARA), came to the OIG to report that a counterfeit \$20 was passed at the Archives II, NARA, College Park, MD cafeteria that day [REDACTED] provided an incident report [REDACTED] wrote. 04May2011

11-0011-I	[REDACTED]	Kansas City Records Center reported that employee [REDACTED] was assigned to the disposal crew, specifically palleting the boxes. Another NARA staff found [REDACTED] looking through one of the boxes. [REDACTED] then noticed it was a box wrapped with IRS tape, which states the box was only to be opened by IRS personnel. [REDACTED] said that [REDACTED] wanted to see what was in the box as it was heavy. These were IRS criminal investigative files. TIGTA agents have contacted the records center.	13May2011
12-0007-I	[REDACTED] Subcontractor	On September 30, 2011, the National Archives and Records Administration (NARA), Office of Inspector General (OIG), Office of Audit (OA) issued Report No. 11-21, Review of Costs for [REDACTED]. The report questioned the entire \$3,970,827.24 [REDACTED] charged to NARA and paid to [REDACTED]. The report indicated that [REDACTED] costs were unsupported because [REDACTED]. [REDACTED] failed to provide the OA with adequate supporting documentation. As a result, the NARA OIG, Office of Investigation (OI) initiated an investigation to identify evidence of fraud.	05Dec2011
Count	4		

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Count 25

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Status	Status Date
Closed Final	30Nov2012
Closed Final	17May2012

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Closed Final

30Nov2012

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24Feb2012

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Closed Final 03Feb2012

Closed Final 27Jan2012

Closed Final 26Oct2012

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Closed Final 09Aug2012

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14Nov2012

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03Oct2012

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12Oct2012

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06Feb2012

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07Jun2012

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19Jun2012

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26Jun2012

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04Jun2012

Closed Final

12Dec2012

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27Nov2012

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31Oct2012

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01Aug2012

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27Jan2012

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12Sep2012

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