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Description of document: Department of the Treasury Office of Foreign Assets Control (OFAC) Media Cases Reports and Sensitive and Litigation Cases Reports 2013-2014

Requested date: 26-September-2014

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Source of document: FOIA Request
Department of the Treasury
Washington, DC 20220
Fax: 202-622-3895
[FOIA Online Request Form](#)

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DEPARTMENT OF THE TREASURY
WASHINGTON, D.C. 20220

January 20, 2016

FOIA No.: 2014-10-018
OASIS No.: GN-761190

Via Electronic Mail

This letter is our final response to your Freedom of Information Act (FOIA), 5 U.S.C. § 552, request, dated September 26, 2014 to the Department of the Treasury. You requested copies of Media Cases Reports and Sensitive and Litigation Cases Reports since September 1, 2013. Your FOIA request was referred to the Office of Foreign Assets Control (OFAC) for processing on October 3, 2014.

Please be aware that OFAC is experiencing a substantial backlog of FOIA requests that has adversely affected its response time.

Please be advised that OFAC has conducted a search of its records and found 362 pages of responsive records. Of these pages, portions are exempt from disclosure pursuant to exemptions (b)(5) and (b)(6) of the FOIA.

Provisions of the FOIA allow us to recover part of the cost of complying with your request. In this instance, no fees have been assessed.

You may appeal our final decision, in writing, within 35 days after the date of this letter to the Freedom of Information Act Appeal, Disclosure Services (DO), Department of the Treasury, Washington, DC 20220. The deciding official for OFAC appeals is the Director of OFAC. Please include with your letter of appeal a copy of this response letter. Please reference FOIA case number 2014-10-018 in all future correspondence. Copies of the FOIA and Treasury regulations are available at www.treasury.gov/foia.

The Office of Government Information Services (OGIS) also mediates disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. If you are requesting access to your own records (which is considered a Privacy Act request), you should know that OGIS does not have the authority to handle requests made under the Privacy Act of 1974. If you wish to contact OGIS, you may email them at ogis@nara.gov or call 1-877-684-6448.

Enclosed are information sheets pertaining to the FOIA exemptions and your right to administrative appeal.

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If you need to contact our office concerning this response, you may send an email to our office at OFACFOIAOffice@treasury.gov or call our FOIA Requester Service Center at 202-622-2500, option 3. Please reference FOIA No. 2014-10-018 in all future correspondence.

Sincerely,

Marshall H. Fields Jr.
Assistant Director
Information Disclosure and Records Management
Office of Resource Management
Office of Foreign Assets Control

Enclosures: Administrative Appeal Rights
Exemptions under the Freedom of Information Act (FOIA)
Responsive Documents (362 pages)

ADMINISTRATIVE APPEAL

You may file an appeal with the Department of the Treasury when:

- Access to records has been denied in part or in whole;
- There has been an adverse determination of your requestor category;
- Your request for fee waiver or reduction has been denied;
- It has been determined that no responsive records exist; or
- Your request for expedited processing has been denied.

Your appeal, other than an appeal of a denial for expedited processing, must be submitted within 35 days after (1) the date of the initial determination, or (2) the date of the letter transmitting the last records released, whichever is later, except in the case of a denial of expedited processing. An appeal of a denial for expedited processing must be made within 10 days of the date of the initial determination to deny expedited processing. The appeal must be in writing, signed by you or your representative, and contain the following information:

- Your name and address;
- Date of your initial request;
- Date of the letter denying your request;
- Description of why you believe the initial determination was in error; and
- The FOIA/PA number assigned to your request.

Please mail your appeal to: Freedom of Information Act Appeal
Disclosure Services, DO
Department of the Treasury
Washington, D.C. 20220

The deciding official for OFAC appeals is the Director, Office of Foreign Assets Control.

Definitions of the Exemptions Under the Freedom of Information Act – 5 U.S.C. § 552

EXEMPTIONS

Pursuant to 5 U.S.C. § 552 (b), the Freedom of Information Act does not apply to matters that are –

- (1)(A) specifically authorized under criteria established by an Executive Order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified under such Executive Order;
- (2) related solely to the internal personnel rules and practices of an agency;
- (3) specifically exempted from disclosure by statute, provided that such statute;
 - (A) requires that the matters be withheld from the public so as to leave no discretion on the issue, or
 - (B) establishes particular criteria for withholding or refers to particular kinds of matters to be withheld;
- (4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (5) inter-agency or intra-agency memoranda or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (6) personnel and medical files and similar files the disclosure of which constitutes a clearly unwarranted invasion of personal privacy;
- (7) records or information compiled for law enforcement purpose, but only to the extent that the production of such records or information
 - (A) could reasonably be expected to interfere with enforcement proceedings,
 - (B) would deprive a person of a right to a fair trial or impartial adjudication,
 - (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy,
 - (D) could reasonably be expected to disclose the identity of a confidential source, including a state, local or foreign agency or authority, or any private institution which furnished information on a confidential basis, and, in the case of a record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source,
 - (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosures could reasonably be expected to risk circumvention of the law, or
 - (F) could reasonably be expected to endanger the life or physical safety of any individual
- (8) contained in or related to examination, operating or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (9) geological and geophysical information and data, including maps, concerning wells.

Media Cases

Date Updated:

9/3/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	8/22/13 - working on determination notices
2	2005-08-043	Peter Kornbluth	The National Security Archive	Documents from December 1, 2003 to May 6, 2004 related to the Commission for Assistance to a Free Cuba.	(b)(6)	9/13/2005	08/09/13 - processing records
3	2005-09-043	Sharon Theimer	Associated Press	licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	09/03/2013: Working on 11th interim response.
4	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	(b) (5)
5	2008-05-020	Benoit Faucon	Dow Jones	documents for designation of Comité de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	8/29/13 (b) (5) (b) (5)
6	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	09/03/2013-processing remaining determination notices.
7	2011-07-090	Asiylyn Loder	Bloomberg LP	the OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	8/30/13 - Continued applying redactions (up to line 1,700) to responsive document containing ~13,000 lines
8	2011-07-165	Eric Longabardi	TeleMedia News Productions	a copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	08/30/13 - no action taken this week; will be processing records; determining whether submitter notice process is required
9	2011-08-203	James Grimaldi	The Washington Post	all communications between FI's and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property oand prohibiting certain transact.	(b)(6)	8/26/2011	08/26/2013 Case not worked on, while analyst on medical leave.

10	2011-09-196	Mimi Whitfield	Miami Herald	licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	8/28/13 - Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections. This case should be completed before 9/30/2013.
11	2011-10-093	Lisette Garcia	Judicial Watch	all records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the present	(b)(6)	10/18/2011	09/03/13: no action taken in a while; will be working to complete second interim release, making redactions and proposing content for discretionary release
12	2011-11-059	Emily Willard	Then National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	08/30/13 - no action taken this week; will be processing records; requires the submitter notice process and a (b) (5)
13	2012-04-167	Lisette Garcia	Judicial Watch	records regarding "Atrocities Board".	(b)(6)	5/2/2012	09/03/13: Provided Senior FOIA Reviewer with 100 pages of on 08/16/13; case has responsive documents of 2533 pages.
14	2012-09-104	Martha Mendoza	AP	license applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	08/30/13 - Received responsive records from Licensing on 8/29/13. Reviewing responsive records.
15	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	09/03/13: (b) (5) (b) (5)
16	2013-04-063	Yaman Salahi	Middle East Research and Information Project	records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	08/26/13 - Awaiting records search response from Licensing
17	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	08/26/13 - Ready to review records a divisions. (b)(5) (b)(5)

18	2013-05-173	Larry Klayman	Freedom Watch, Inc.	waivers granted to do business with Iran	(b)(6)	5/21/2013	08/26/13 - Called and emailed the requester on 8/23/2013, to discuss the FOIA request. No response. The requester has until 9/3/2013 to contact this office.
19	2013-08-049	Jim McElhatton	Federal Times	correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	08/26/13 - Case similar to 2012-05-066. Send DN to SR and then draft the response.
20	2013-08-068	Gene Siudut	La Gaceta Newspaper	companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	Unassigned	8/14/2013	08/22/13 - Acknowledgement letter sent
21	2013-08-145	Paige St. John	Los Angeles Times	records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	Unassigned	8/27/2013	8/29/13 - Acknowledgement letter sent

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

Litigation Cases

Date Updated: 9/3/2013

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	[75% completed] 8/29/13: no court activity. Still Pending Court action (b) (5)

Sensitive cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	2nd review to correct analyst redactions.
2	2005-09-043	Sharon Theimer	licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	09/03/2013: Working on 11th interim response.
3	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	09/03/13 (b) (5) input tentative for 8/30/13; Pending preparation of proposed package, including (b)(7)(E) FOIA, OASIS, OGT, SDN, and TSRA) design documents, for review in 9/12/13 meeting with divisions, OFAC Dir, Dep. Dir. and PA officer.
4	2010-03-086	Steve Stecklow	information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	09/03/2013-processing remaining determination notices.
5	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	8/30/13: Currently trying to prepare third interim release to the requester, which may ultimately be the final response; working to complete the submitter notice process; edited 6 determination notices and re-submitted them for review and signature.
6	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	09/03/2013: not worked on in a while.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	09/03/13: no activity; Pending one (b) (5) response expected to take a few (4 or more) months as of 8/12/13; [Note: processing in conjunction with 2011-05-183 (Timmerman)]
8	2011-04-013	Krista Caner	information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
9	2011-08-203	James Grimaldi	all communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	09/03/2013: Case not worked on, while analyst on medical leave.
10	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	09/03/2013: Completed 34 draft Determination Notices (DN) for (b) (5) This will complete DN phase when proofed.
11	2011-10-093	Lisette Garcia	records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	09/03/13: no action taken in a while; will be working to complete second interim release, making redactions and proposing content for discretionary release
12	2012-04-167	Lisette Garcia	records regarding "Atrocities Board".	(b)(6)	5/2/2012	09/03/13: Provided Senior FOIA Reviewer with 100 pages of on 08/16/13; case has responsive documents of 2533 pages.
13	2012-10-112	Elise Milne	records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	08/30/13: currently processing records through the submitter notice process; SN letters are complete, but require review and signature
14	2012-12-092	Erich C. Ferrari	documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	08/29/13: With SSD guidance, determined approx. 34 of the 600+ emails appear to be responsive; Pending further review of other records and emails to determine if referrals or other special handling will be required.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

15	2013-01-034	Micah Hauptman	records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	09/03/13: (b) (5)
16	2013-01-078	Erich C. Ferrari	documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	09/03/13: processing the records; waiting for a follow-up email regarding the search request and additional records from (b)(6) in Licensing
17	2013-05-172	Gary Udashen	February 1995 OFAC meet with representatives of Muslim American and Arab American organizations	Marshall Fields, Jr.	5/21/2013	09/03/13: Final response to be processed week of 09/03/2013. No records response.
18	2013-06-098	Erich C. Ferrari	records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	09/03/13: Search requests pending with Licensing, TNT and Policy
19	2013-07-099	Daniel Voldman	documents setting forth the names of HSBC Bank executives implicated or found to be responsible by the Treasury Department for HSBC money-laundering	Unassigned	8/7/2013	09/03/13: Request unperfected; 8/12/13 - Letter requesting agreement to pay assessable fees sent; due back on 9/12/13

Media Cases

Date Updated:

9/9/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/4/13 Working on determination notice for HSBC
2	2005-08-043	Peter Kornbluth	The National Security Archive	Documents from December 1, 2003 to May 6, 2004 related to the Commission for Assistance to a Free Cuba.	(b)(6)	9/13/2005	9/4/13 Processing records
3	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	9/6/13 Working on 11th interim response.
4	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/4/13 Processing records
5	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	9/6/13 Pending (b) (5) since 8/2/13. As of 9/4/13, no response received to 2nd email status request sent around 8/29/13 by ADIDR to (b) (5)
6	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	9/6/13 Case reassigned to (b) (6) 9/3). Sent out one submitter notice (9/4). Processing remaining determination notices.
7	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	9/9/13 No action taken this week. Will be applying redactions (up to line 1,700) to responsive document containing ~13,000 lines
8	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	9/6/13 No action taken this week due to work on a sensitive case. Will be processing records and determining whether submitter notice process is required.

9	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	9/6/13 Case not worked on while analyst was on medical leave.
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12	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/6/13 No action taken this week due to work on a sensitive case. Will be processing records. Requires the submitter notice process and a (b)(5)
13	2012-04-167	Lisette Garcia	Judicial Watch	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/3/13 Provided Senior FOIA Reviewer with 100 pages of on 08/16/13. Case has responsive documents of 2533 pages.
14	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	9/9/13 No action taken this week. Received responsive records from Licensing on 8/29/13. Reviewing responsive records.
15	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	9/3/13 (b) (5) (b) (5)

16	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	9/9/13 No action taken this week. Awaiting records search response from Licensing
17	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	9/9/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
18	2013-05-173	Larry Klayman	Freedom Watch, Inc.	Waivers granted to do business with Iran	(b)(6)	5/21/2013	9/4/13 Case to be closed
19	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	9/9/13 No action taken this week. Case similar to 2012-05-066. Send DN to SR and then draft the response.
20	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	Unassigned	8/14/2013	9/9/13 No action taken this week. Acknowledgement letter sent (8/22/13).
21	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	Unassigned	8/27/2013	9/9/13 No action taken this week. Acknowledgement letter sent (8/29/13).

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No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	[75% completed] 9/6/13 No court activity. Still Pending Court action re (b) (5)
Sensitive cases						
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2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	9/6/13 Working on 11th interim response.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/4/13 Processing records
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	9/6/13 Preparing proposed release materials, agenda, and processing summary for 9/12/13 meeting re (b) (7)(E) FOIA, OASIS, OGT, SDN, and TSRA) design documents, for review in 9/12/13 meeting with divisions, OFAC Dir, Dep. Dir. and PA officer. 9/5/13 status request re TSRA review still pending.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	9/6/13 Case reassigned to (b)(6) 9/3). Sent out one submitter notice (9/4). Processing remaining determination notices.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	9/6/13 Completing submitter notice process. Mailed out 5 determination notices (DN). One DN is complete and ready for final review and signature.
7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	9/6/13 Working on interim response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/6/13 No activity. Pending one (b) (5) response expected to take a few (4 or more) months as of 8/12/13. [Note: processing in conjunction with 2011-05-183 (Timmerman)]
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/3/2013 Email from SSD that they will install advance search add-on to Outlook then verify that search can be done. With SSD guidance, determined approx. 34 of the 600+ emails appear to be responsive. Still pending further review of other records and emails to determine if referrals or other special handling will be required.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	9/3/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/6/13 No action taken this week due to work on another sensitive case. Processing the records. Waiting for a follow-up email regarding the search request and additional records from (b) (6) in Licensing.
17	2013-05-172	Gary Udashen	February 1995 OFAC meet with representatives of Muslim American and Arab American organizations	Marshall Fields, Jr.	5/21/2013	9/4/13 Case closed. No records response.
18	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b) (6)	6/18/2013	9/6/13 Search requests pending with Licensing, TNT and Policy
19	2013-07-099	Daniel Voldman	Documents setting forth the names of HSBC Bank executives implicated or found to be responsible by the Treasury Department for HSBC money-laundering	Unassigned	8/7/2013	9/6/13 Request unperfected. Letter requesting agreement to pay assessable fees sent on 8/12/13. Response due back by 9/12/13.

Media Cases

Date Updated:

9/16/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/11/13 [About 90% complete.] Awaiting completion of Citi review of DN with 9/16/13 deadline. <u>Next Step:</u> Issue 2nd interim release to requester. Prepare HSBC DN records and submit to ADID for review.
2	2005-08-043	Peter Kornbluth	The National Security Archive	Documents from December 1, 2003 to May 6, 2004 related to the Commission for Assistance to a Free Cuba.	(b)(6)	9/13/2005	9/11/13 [About 25% complete.] Processed 80 pages of approximately 600 pages of responsive records. <u>Next step:</u> Continue to process 3rd interim/final release to requester for ADID review.
3	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	09/11/13 Working on 11th interim response. Continue to process determination notices and interim responses to requester. Will process an interim response per month until case is closed. Also, will call AP after the next three releases and inquire about their interest in still processing the FOIA.
4	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/11/13 [About 25% complete.] Processed 80 of some 600 pages of responsive records. <u>Next step:</u> Continue processing 3rd interim/final response to requester for ADID review.

5	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	9/9/2013 [~95% Complete] (b) (5)
6	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	9/6/13 Case reassigned to (b)(6) (9/3). Sent out one submitter notice (9/4). Processing remaining determination notices.
7	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	9/9/13 Redacted lines 1701 - 3061. ADID&R determined that spreadsheet was incorrectly constructed. ADID&R instructed analyst not to continue further redactions until Licensing provides a better spreadsheet.
8	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	9/13/13 Processing records and determining whether submitter notice process is required.
9	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property oand prohibiting certain transact.	(b)(6)	8/26/2011	9/6/13 Case not worked on while analyst was on medical leave.

10	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	9/9/13 No action taken this week. Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections. This case should be completed before 9/30/2013.
11	2011-10-093	Lisette Garcia	Judicial Watch	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the present	(b)(6)	10/18/2011	9/13/13 No action taken this week. Will be working to complete second interim release, making redactions and proposing content for discretionary release.
12	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/13/13 No action taken this week. Will be processing records. Requires the submitter notice process and a (b)(5)
13	2012-04-167	Lisette Garcia	Judicial Watch	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/10/13 Analyst met with Senior FOIA Reviewer and ADID&R and was advised that corrections are needed on redactions applied thus far. Case has responsive documents of 2533 pages.
14	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	9/13/13 No action taken this week. Received responsive records from Licensing on 8/29/13. Reviewing responsive records.
15	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	9/10/13 (b)(5) (b)(5) 09/20/2013.
16	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	9/9/13 No action taken this week. Awaiting records search response from Licensing

17	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	9/9/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
18	2013-05-173	Larry Klayman	Freedom Watch, Inc.	Waivers granted to do business with Iran	(b)(6)	5/21/2013	9/11/13 Case closed.
19	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	9/9/13 No action taken this week. Case similar to 2012-05-066. Send DN to SR and then draft the response.
20	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	Unassigned	8/14/2013	9/10/13 Scope of request is being negotiated.
21	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	Unassigned	8/27/2013	9/9/13 No action taken this week. Acknowledgement letter sent (8/29/13).

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	[75% completed] 9/12/13 No court activity. Still Pending Court action re (b) (5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	9/11/13 Case reassigned to (b) (6) Continuing to revise remaining DNs (b) (5) 13 of 34 completed. Next Step: Prepare 13 completed DN packages for ADIDR review and signature.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	09/11/13 Working on 11th interim response. Continue to process determination notices and interim responses to requester. Will process an interim response per month until case is closed. Also, will call AP after the next three releases and inquire about their interest in still processing the FOIA.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/11/13 [About 25% complete.] Processed 80 of some 600 pages of responsive records. Next step: Continue processing 3rd interim/final response to requester for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	9/12/13 Preparing proposed release materials, agenda, and processing summary for 9/18/13 meeting re (b) (7)(E) FOIA, OASIS, OGT, SDN, and TSRA) design documents for review with divisions, OFAC Dir, Dep. Dir. and PA officer.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	9/12/13 Processing remaining determination notices.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	9/13/13 Completing submitter notice process. Mailed out 1 more determination notice (Bank of Khartoum) on 9/9/13. Additional DNs will follow for a 3rd submitter notice process.
7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	9/6/13 Working on interim response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/12/13 No activity. Pending one (b) (5) response tentative a few (4 or more months) as of 8/12/13. [Note: processing in conjunction with 2011-05-183 (Timmerman)]
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	9/6/13 Case not worked on while analyst was on medical leave.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/13/13 No action taken this week. Will be working to complete second interim release, making redactions and proposing content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/10/13 Analyst met with Senior FOIA Reviewer and ADID&R and was advised that corrections are needed on redactions applied thus far. Case has responsive documents of 2533 pages.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	9/13/13 Mailed 8 submitter notices on 9/9/13 with responses due back by 9/20/13.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/12/2013 Still pending further review of other records and emails to determine if referrals or other special handling will be required.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	9/10/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/13/13 Processing the records. Waiting for a follow-up email from (b) (6) in Licensing.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/11/13 Search requests pending with Licensing, TNT and Policy
18	2013-07-099	Daniel Voldman	Documents setting forth the names of HSBC Bank executives implicated or found to be responsible by the Treasury Department for HSBC money-laundering	FOIA Admin	8/7/2013	9/13/13 Case closed administratively for failure of the requester to provide an agreement to pay assessable fees.

Media Cases

Date Updated: 9/23/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/18/13 [About 90% complete] Deadline for Citi response to DN extended through 9/20/13. <u>Next Steps:</u> Upon expiration of Citi deadline for response, issue second interim release. Prepare HSBC DN for ADID review.
2	2005-08-043	Peter Kornbluth	The National Security Archive	Documents from December 1, 2003 to May 6, 2004 related to the Commission for Assistance to a Free Cuba.	(b)(6)	9/13/2005	9/18/13 [About 25% complete] Continue to process some 600 pages of responsive records. <u>Next step:</u> Prepare interim release for ADID review.
3	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	9/19/2013 [60% Complete] No activity. <u>Next Steps:</u> Need to process approx 350 DNs to the submitters. Meeting w/Sr. Analyst to discuss add'l redactions and edit DN for review and signature. After DN is signed and forward will call AP and inquire about their interest in still processing the FOIA.
4	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/18/13 [About 25% complete.] Continue to process some 600 pages of responsive records for review. <u>Next step:</u> Prepare interim release for ADID review.

5	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	(b) (5)
6	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	9/19/13 [~30% Complete] Completed edits to four DNs and submitted them for re-review with SFR. <u>Next Step</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
7	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	9/18/18 [0% Complete] Documents needed to be corrected from Licensing in order to conduct review and redactions, as Excel spread sheet was missing a great deal of data. <u>Next Step</u> : Wait for return of responsive documents to FOIA office to begin re-review and redaction.
8	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	9/20/13 [20% Complete] Processing records (all licenses) and determining whether submitter notice process is required. <u>Next Step</u> : Continue to process records.
9	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property oand prohibiting certain transact.	(b)(6)	8/26/2011	9/19/13 [35% Complete] No activity. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continue processing.

10	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	9/23/13 No action taken this week. Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections.
11	2011-10-093	Lisette Garcia	Judicial Watch	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the present	(b)(6)	10/18/2011	9/20/13 [40% Complete] No action taken this week. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.
12	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/20/13 [20% Complete] No action taken this week. <u>Next Steps</u> : Process the records, begin submitter notice process and a (b)(5)
13	2012-04-167	Lisette Garcia	Judicial Watch	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/17/13 [5% Complete] Combined 249 pages, applied redactions, gave to SFR for review. <u>Next Step</u> : Wait until SFR and I meet on 9/20/13 to discuss needed changes and prepare draft for interim release.
14	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	9/18/13 [25% Complete] Combined 19 sets of documents, reviewing for true responsiveness. <u>Next Step</u> : Delete non-responsive data from documents.
15	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	9/13/13 (b) (5) (b) (5) (b) (5) 09/20/2013.

16	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	9/23/13 No action taken this week. Awaiting records search response from Licensing
17	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	9/23/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
18	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	9/23/13 No action taken this week. Case similar to 2012-05-066. Send DN to SR and then draft the response.
19	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	9/18/13 [30% complete] No response from requester on scope request confirmation of 9/10/13. SSD prepared spreadsheet on behalf of Licensing. <u>Next Step:</u> Review and propose redactions.
20	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	9/18/13 [20% complete] Sent records search request to Licensing on 9/11/13. <u>Next Step:</u> Wait to receive responsive documents from Licensing.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	9/19/13 [75% completed] No court activity. Still Pending Court action re (b) (5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	9/18/13 [About 90% complete] Continuing to revise remaining DNs per (b) (5) 11 of 34 completed and mailed. 8 pending ADID review and signature. <u>Next Step:</u> Prepare 15 remaining DNs for ADID review and signature.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	9/19/2013 [60% Complete] No activity. <u>Next Steps:</u> Need to process approx 350 DNs to the submitters. Meeting w/Sr. Analyst to discuss add'l redactions and edit DN for review and signature. After DN is signed and forward will call AP and inquire about their interest in still processing the FOIA.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/18/13 [About 25% complete.] Continue to process some 600 pages of responsive records for review. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	9/19/13 [25% complete] Making minor redactions to records and (b) (5) (b) (5) <u>Next Step:</u> Assemble 1st interim response package and submit for review.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	9/19/13 [~30% Complete] Completed edits to four DNs and submitted them for re-review with SFR. <u>Next Step:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	9/20/13 [60% Complete] Completing submitter notice process. <u>Next Steps:</u> Additional DNs will follow for a 3rd submitter notice process.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	9/19/13 [55% Complete] No activity. <u>Next Steps</u> : Need to be reviewed by Sr. Analyst. (b)(5) ready for review; Remaining 323 documents need to be processed; (b)(5) a total of 1,248 documents to be reviewed and referral letters to (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/19/13 [75% complete] No activity. Pending one (b)(5) response tentative a few (4 or more) months as of 8/12/13. <u>Next Step</u> : Make final edits once last consultation response is received. [Note: processing in conjunction with 2011-05-183 (Timmerman)]
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	9/19/13 [35% Complete] No activity. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continue processing.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/20/13 [40% Complete] No action taken this week. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.

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Updated as of 11/21/2014

12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/17/13 [5% Complete] Combined 249 pages, applied redactions, gave to SFR for review. <u>Next Step</u> : Wait until SFR and I meet on 9/20/13 to discuss needed changes and prepare draft for interim release.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	9/20/13 [40% Complete] No action taken this week. <u>Next Step</u> : Draft determination notices to submitter objections once responses arrive. Responses to 8 submitter notices mailed on 9/9/13 are due back by 9/20/13.
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/19/2013 [10% complete] No activity. Still pending further review of other records and emails to determine if referrals or other special handling will be required. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	9/13/13 (b) (5) (b) (5) (b) (5) 09/20/2013.
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/20/13 [40% Complete] Processing the records. <u>Next Steps</u> : Continue to process records and wait for a follow-up email from (b)(6) in Licensing.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/18/13 [10% complete] Search requests pending with Licensing, TNT and Policy (b) (5) responses. <u>Next step</u> : Ping Licensing, TNT and Policy for search response.

Media Cases

Date Updated:

9/30/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/30/13 [About 90% complete] Citi returned the determination notice with additional objections. <u>Next Steps:</u> Upon expiration of Citi deadline for response, issue second interim release. Prepare HSBC DN for ADID review.
2	2005-08-043	Peter Kornbluth	The National Security Archive	Documents from December 1, 2003 to May 6, 2004 related to the Commission for Assistance to a Free Cuba.	(b)(6)	9/13/2005	9/18/13 [About 25% complete] Continue to process some 600 pages of responsive records. <u>Next step:</u> Prepare interim release for ADID review.
3	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	9/26/2013 [60% Complete] Edited DN per Sr. Analyst request to redact add'l products info. Advised the analyst that no add'l redactions are needed, all redactions are justified and we have responded to the submitter's objections. Edits completed and package will be forwarded on 9/30/13. <u>Next Steps:</u> Need to process approx 350 DNs to the submitters. Meeting w/Sr. Analyst to discuss add'l redactions and edit DN for review and signature. After DN is signed and forward will call AP and inquire about their interest in still processing the FOIA.

4	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/18/13 [About 25% complete.] Continue to process some 600 pages of responsive records for review. <u>Next step</u> : Prepare interim release for ADID review.
5	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	(b) (5)
6	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	9/25/13 [~30% Complete] Mailed four determination notices. <u>Next Step</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
7	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	9/27/13: [0% Complete] Docs back from Licensing, but require minor corrections in order for me to conduct review and redactions, as Excel spread sheet was missing a great deal of data. <u>Next Step</u> : Wait for responsive docs to return back to FOIA office to begin re-review and redaction; missing data of years of 98-2008 (issued).
8	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	9/27/13 [20% Complete] Processing records (all licenses) and determining whether submitter notice process is required. <u>Next Step</u> : Continue to process records.

9	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	9/26/13 [35% Complete] No activity. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continued processing.
10	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	9/23/13 No action taken this week. Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections.
11	2011-10-093	Lisette Garcia	Judicial Watch	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the present	(b)(6)	10/18/2011	9/27/13 [40% Complete] No action taken this week. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.
12	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/27/13 [20% Complete] No action taken this week. <u>Next Steps</u> : Process the records, begin process and a (b)(5)
13	2012-04-167	Lisette Garcia	Judicial Watch	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/27/13: [5% Complete] Combined 249 pages, applied redactions, gave to SFR for review. <u>Next Step</u> : Wait until SFR and I meet on 9/30/13 to discuss changes made and how to move forward.
14	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	9/27/13 [25% Complete] Combined 19 sets of documents, reviewing for true responsiveness. Applied redactions. <u>Next Step</u> : Draft Submitter Notices for review, give to SFR on Monday (9/30/13).

15	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	9/13/13 (b) (5) (b) (5) (b) (5) 09/20/2013.
16	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	9/23/13 No action taken this week. Awaiting records search response from Licensing
17	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	9/23/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
18	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	9/23/13 No action taken this week. Case similar to 2012-05-066. Send DN to SR and then draft the response.
19	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	9/25/13 [30% complete] No response from requester on scope request confirmation of 9/10/13. SSD prepared spreadsheet on behalf of Licensing. <u>Next Step:</u> Review and propose redactions.
20	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	9/27/13 [20% complete] Responsive records returned from Licensing on 9/27/13. <u>Next Step:</u> Review responsive documents returned by Licensing.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	9/25/13 [75% completed] No court activity. (b)(5) (b)(5) (b)(5) Next Step: TBD by Court Action.
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	9/25/13 [About 95% complete] Continuing to revise remaining DNs per (b)(5) Next Step: Prepare 12 remaining DNs for ADID review and signature.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	9/26/2013 [60% Complete] Edited DN per Sr. Analyst request to redact add'l products info. Advised the analyst that no add'l redactions are needed, all redactions are justified and we have responded to the submitter's objections. Edits completed and package will be forwarded on 9/30/13. Next Steps: Need to process approx 350 DNs to the submitters. Meeting w/Sr. Analyst to discuss add'l redactions and edit DN for review and signature. After DN is signed and forward will call AP and inquire about their interest in still processing the FOIA.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/18/13 [About 25% complete.] Continue to process some 600 pages of responsive records for review. Next step: Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	9/25/13 [25% complete] Continuing to add additional redactions to Torts file (account and bank reference nos). Submitted draft meeting summary to Sr. FR for review. Completed minor edits to TSRA database record. 9/23/13: Recv'd OGT's review response with no objection to release as proposed. Next Step: Assemble 1st interim response package and submit for review.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	9/25/13 [~30% Complete] Mailed four determination notices. Next Step: Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	9/27/13 [60% Complete] Completing 2nd submitter notice process. Next Steps: Additional DNs will follow for a 3rd submitter notice process.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	9/26/13 [55% Complete] No activity. <u>Next Steps</u> : Need to be reviewed by Sr. Analyst (b)(5) ready for review; Remaining 323 documents need to be processed; (b)(5) a total of 1,248 documents to be reviewed and referral letters to (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/25/13 [75% completion] Spoke with (b)(5) analyst assigned to review the (b)(5) referred in 4/2012, for status update and was informed that the four month wait is just for the records to be scanned (submitted for scanning prior to 8/12/13) prior to reviews. Per discussion with ADIDR, will see if there is a way to process case so as to allow (b)(5) to respond directly. <u>Next Step</u> : Make final edits once last consultation response is received. [Note: processing in conjunction with 2011-05-183 (Timmerman)]
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	9/26/13 [35% Complete] No activity. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continued processing.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/27/13 [40% Complete] No action taken this week. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/27/13: [5% Complete] Combined 249 pages, applied redactions, gave to SFR for review. <u>Next Step</u> : Wait until SFR and I meet on 9/30/13 to discuss changes made and how to move forward.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	9/27/13 [40% Complete] No action taken this week. <u>Next Step</u> : Draft determination notices to submitter objections. Most of the 8 submitters have not responded. Responses to 8 submitter notices were due back by 9/20/13.
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/25/2013 [10% completion] No activity. Still pending further review of other records and emails to determine if referrals or other special handling will be required. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	9/13/13 (b) (5) (b) (5) (b) (5) 09/20/2013.
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/27/13 [40% Complete] Processing the records. <u>Next Steps</u> : Continue to process records and wait for a follow-up email from (b)(6) in Licensing.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/25/13 [10% complete] Search requests pending with Licensing and Policy (b) (5) responses. <u>Next step</u> : Ping Licensing and Policy for search response.

Media Cases

Date Updated:

10/21/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	10/21/13 [About 90% complete] Preparing 2nd interim release (Citi records) package, scheduled for release NLT 10/31/13. <u>Next Steps:</u> Prepare HSBC DN for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	10/21/13 [60% Complete] No action taken since prior to government shutdown. <u>Next Steps:</u> Need to process approx 350 DNs to the submitters. Meeting w/Sr. Analyst to discuss add'l redactions and edit DN for review and signature. After DN is signed and forward will call AP and inquire about their interest in still processing the FOIA.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	10/21/13 [About 25% complete.] Continue to process some 600 pages of responsive records for review. <u>Next step:</u> Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	(b) (5)
5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	10/21/13 [~30% Complete] No action taken due to government shutdown. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.

6	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/18/13: [0% Complete] Received spreadsheet from SSD on 10/17/13. <u>Next Step</u> : Review and redact spreadsheet.
7	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	10/21/13 [20% Complete] No action taken since prior to government shutdown. Processing records and determining whether submitter notice process is required. <u>Next Step</u> : Continue to process records.
8	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property oand prohibiting certain transact.	(b)(6)	8/26/2011	10/21/13 [35% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continued processing.
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	10/21/13 No action taken. Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections.
10	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	10/21/13 [20% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Process the records, begin sub ^{ss} and make (b)(5)
11	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	10/18/13 [40% Complete] Combined 19 sets of documents, reviewing for true responsiveness. Applied redactions. Drafted submitter notices. <u>Next Step</u> : Wait for review of drafted SNs by SFR. Notified SFR via email on

12	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	10/21/13 (b) (5) (b) (5)
13	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	10/21/13 No action taken this week. Responsive records received from Licensing on 9/27/13.
14	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	10/21/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
15	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	10/21/13 No action taken this week. Case similar to 2012-05-066. Send DN to SR and then draft the response.
16	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	10/18/13 [30% complete] No response from requester on scope request confirmation of 9/10/13. SSD prepared spreadsheet on behalf of Licensing. <u>Next Step</u> : Review and propose redactions.
17	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	10/18/13 [20% complete] No action taken. Responsive records returned from Licensing on 9/27/13. <u>Next Step</u> : Review responsive documents returned by Licensing.

18	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	Unassigned	9/30/2013	10/18/13 [0% complete] Emailed acknowledgement letter to requester on 10/18/13. <u>Next Steps:</u> Assign case and send out records search.
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	10/21/13 [75% completed] Status request sent (b)(5) No court activity as of 9/25/13. (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	10/18/13 [About 95% complete] Revised remaining DNs per (b)(5) pending Senior FOIA Analyst review. <u>Next Step:</u> Mail last 24 Determination Notices.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	10/21/13 [60% Complete] No action taken since prior to government shutdown. <u>Next Steps:</u> Need to process approx 350 DNs to the submitters. Meeting w/Sr. Analyst to discuss add'l redactions and edit DN for review and signature. After DN is signed and forward will call AP and inquire about their interest in still processing the FOIA.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	10/21/13 [About 25% complete.] Continue to process some 600 pages of responsive records for review. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	10/21/13 [25% complete] Continuing to add additional redactions to Torts file (account and bank reference nos). <u>Next Step:</u> Assemble 1st interim response package and submit for review.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	10/21/13 [~30% Complete] No action taken due to government shutdown. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	10/21/13 [60% Complete] No action taken since prior to government shutdown. Completing 2nd submitter notice process. <u>Next Steps:</u> Additional DNs will follow for a 3rd submitter notice process. Create third interim response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	10/21/13 [55% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Need to be reviewed by Sr. Analyst (b)(5) ready for review; Remaining 323 documents need to be processed (b)(5) a total of 1,248 documents to be reviewed and (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	10/21/13 [75% completion] No activity. Per discussion with ADIDR, will see if there is a way to process case so as to allow (b)(5) to respond directly. <u>Next Step</u> : Make final edits once last consultation response is received. [Note: processing in conjunction with 2011-05-183 (Timmerman)]
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	10/21/13 [35% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continued processing.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b) (6)	11/16/2011	10/21/13 [40% Complete] No action taken since prior to government shutdown. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b) (6)	5/2/2012	10/18/13: [5% Complete] No action taken due to government shutdown. <u>Next Step</u> : Wait until SFR and I meet on 10/23/13 to discuss 249 redacted pages and how to move forward.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	10/21/13 [40% Complete] No action taken since prior to government shutdown. <u>Next Step</u> : Draft determination notices to submitter objections. Most of the 8 submitters have not responded. Responses to 8 submitter notices were due back by 9/20/13.
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	10/21/13 [10% completion] No activity. Still pending further review of other records and emails to determine if referrals or other special handling will be required. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	10/21/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	10/21/13 [10% Complete] No action taken since prior to government shutdown. Drafting submitter notice. <u>Next Steps</u> : Complete SN and wait for a follow-up email from (b) (6) in Licensing.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	10/18/13 [10% complete] Search requests pending with Licensing and Policy. (b) (5) responses. <u>Next step</u> : Ping Licensing and Policy for search response.

Media Cases

Date Updated:

10/29/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	10/24/13 [About 90% complete] Second interim release (Citi records) package with ADID for final review; scheduled for release NLT 10/31/13. <u>Next Steps</u> : Prepare third interim release (HSBC records) for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	10/29/13 [60% Complete] Working on 11th interim response. <u>Next Steps</u> : Process determination notices such that 1000 page release can be made, then contact AP to close case.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	10/24/13 [About 25% complete.] No change. Processed 80 of some 600 pages of responsive records <u>Next step</u> : Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	(b) (5)
5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	10/24/13 [~30% Complete] Organizing paper case file. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.

6	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/24/13: [40% Complete] Received spreadsheet from SSD on 10/17/13 consisting of 138,210 lined entries. Made redactions to line#160,210. Lost work today due to lack of RAM on computer, had to redo. <u>Next Step</u> : Continue redactions spreadsheet, until done.
7	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	10/28/13 [20% Complete] No action taken during week ending on 10/25/13. <u>Next Step</u> : Continue to process records.
8	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property oand prohibiting certain transact.	(b)(6)	8/26/2011	10/29/13 [35% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continued processing.
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	10/28/13 No action taken. Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections.
10	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	10/28/13 [20% Complete] No action taken during week ending on 10/25/13. <u>Next Steps</u> : Process the records, begin submitter notice process and mak (b)(5)

11	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	10/24/13 [40% Complete] Combined 19 sets of documents, reviewing for true responsiveness. Applied redactions. Drafted submitter notices. <u>Next Step</u> : Meet with SFR on 10/25/13 to discuss.
12	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	10/29/13 (b) (5) (b) (5)
13	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b) (6)	4/17/2013	10/22/13 No action taken this week. Responded to status request from requester on 10/22/13. Responsive records received from Licensing on 9/27/13.
14	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	10/28/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
15	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	10/28/13 No action taken this week. Case similar to 2012-05-066. Send DN to SR and then draft the response.
16	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	10/23/13 [30% complete] Spreadsheet prepared. <u>Next Step</u> : Review and propose redactions.
17	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	10/24/13 [99% complete] Redacted licensing records. <u>Next Step</u> : Complete review of responsive documents and submit package for review.

18	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	10/29/13 [0% complete] Case assigned to (b)(6) on 10/21/13. Sent records search request to Compliance and Licensing on 10/22/13. Received "no records" search response from Compliance on 10/23/13. <u>Next Steps:</u> Await response to records search request from Licensing.
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	10/25/13 [75% completed] Per (b)(5) response to status request, there has been no court activity as of 10/17/13. (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	10/23/13 [About 95% complete] Last 24 DNs pending signature. 5 Return to Sender DNs corrected and pending signature. <u>Next Step:</u> Mail last 24 Determination Notices, plus 5 corrected address DNs.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	10/29/13 [60% Complete] Working on 11th interim response. <u>Next Steps:</u> Process determination notices such that 1000 page release can be made, then contact AP to close case.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	10/24/13 [About 25% complete.] No change. Processed 80 of some 600 pages of responsive records <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	10/25/13 [25% complete] Continuing to add additional redactions to Torts file (b)(5) <u>Next Step:</u> Assemble 1st interim response package and submit for review.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	10/24/13 [~30% Complete] Organizing paper case file. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	10/28/13 [60% Complete] Completing 2nd submitter notice process. <u>Next Steps:</u> Additional DNs will follow for a 3rd submitter notice process. Create third interim response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	10/29/13 [55% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Need to be reviewed by Sr. Analyst (b)(5) (b)(5) ready for review; Remaining 323 documents need to be processed; (b)(5) a total of 1,248 documents to be reviewed and (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	10/25/13 [75% completion] No activity. Per discussion with ADIDR, will see if there is a way to process case so as to allow (b)(5) to respond directly. <u>Next Step</u> : Make final edits once last consultation response is received. [Note: processing in conjunction with 2011-05-183 (Timmerman)]
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	10/29/13 [35% Complete] No action taken since prior to government shutdown. <u>Next Steps</u> : Matrix needs to be completed. Approx. 50 SNs need to be drafted and finalized for continued processing.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	10/28/13 [40% Complete] No action taken during week ending on 10/25/13. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(5)	5/2/2012	10/29/13 [5% Complete] Per SFR and ADIDR recommendation, redo pages previously submitted, as (b)(5) were not satisfactory. Per ADIDR, we need to be able to inquire if (b)(5) even wants to review the documents. I consulted with (b)(5) POC (b)(6) she will get back to us about review procedure, as they do not have a FOIA office. <u>Next Step</u> : Begin extracting duplicate pages to be submitted to (b)(5) for review.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	10/28/13 [40% Complete] Met with ADID on 10/22 to discuss case. ADID planned to call requester. Processed 94 pages of responsive documents and began drafting the first interim response. <u>Next Step</u> : Finish drafting first interim response and resolve issue related to the modified request.
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of (b)(6)	(b)(6)	12/17/2012	10/25/2013 [10% completion] No activity. Still pending further review of other records and emails to determine if referrals or other special handling will be required. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	10/29/13 (b)(5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	10/28/13 [10% Complete] No action taken during week ending on 10/25/13. <u>Next Steps</u> : Complete SN and wait for a follow-up email from (b)(6) in Licensing.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	10/24/13 [10% complete] Search requests pending with Licensing and Policy. (b)(5) responses. <u>Next step</u> : Ping Licensing and Policy for search response.

Media Cases

Date Updated:

11/4/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	10/30/13 [About 90% complete] Second interim response released to requester on 10/30/13. <u>Next Steps</u> : Prepare third interim release (HSBC records) for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	10/31/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	10/30/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	(b) (5)
5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	11/1/13 [~30% Complete] No action taken during week ending on 11/1/13. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	11/1/2013 [20% Complete] Reviewing records. <u>Next Step</u> : Continue to process records.

7	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	10/31/13 [35% Complete] No action taken. <u>Next Steps</u> : Submitter Notice process needs to be completed.
8	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	11/1/13 No action taken. Completed and packaged 40 submitter notices for signature. Drafted and packaged an interim response of 133 pages. Completed 2 of 4 DN letters in which the submitter had objections.
9	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	11/1/13 [20% Complete] No action taken during week ending on 11/1/13. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
10	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	11/1/13 [50% Complete] Applied redactions, and drafted submitter (12) notices. <u>Next Step</u> : Save SNs to FOIA database (template generator), then SFR will review.
11	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	10/29/13 (b) (5) (b) (5)
12	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	11/1/13 No action taken this week. Responded to status request from requester on 10/22/13. Responsive records received from Licensing on 9/27/13.

13	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	11/1/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
14	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	11/1/13 No action taken this week. Case similar to 2012-05-066. <u>Next Step</u> : Send search memo to divisions.
15	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	10/30/13 [30% complete] Spreadsheet prepared. <u>Next Step</u> : Review and propose redactions.
16	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	11/1/13 [99% complete] Case assigned to (b)(6). Performing final review of responsive records. <u>Next Step</u> : Complete review of responsive documents and submit package for review.
17	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	11/1/13 [0% complete] No action taken. <u>Next Steps</u> : Await response to records search request from Licensing.

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	10/29/13 [75% completed] 10/29/13: Minute Order - (Island Film) (b) (5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	10/30/13 [~95% complete] Last 15 DNs pending signature. 5 Return to Sender DNs corrected and pending signature. <u>Next Step:</u> Mail last Determination Notices.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	10/31/13 [60% Complete] No action taken. <u>Next Steps:</u> Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	10/30/13 [About 25% complete.] No action taken. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	11/1/13 [25% complete] 1st interim pending completion of re-review and revised redactions of Torts Claim records (187 of 274 pages revised). Per ADIDR will submit to him for e-review upon completion. <u>Next Step:</u> Assemble 1st interim response package and submit for signature.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	11/1/13 [~30% Complete] No action taken during week ending on 11/1/13. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	11/1/13 [60% Complete] Working on 3rd interim release. <u>Next Steps:</u> Complete 3rd interim release and determine fees for 3rd SN process.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	10/31/13 [55% Complete] No action taken. <u>Next Steps</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b) (5) a total of 1,248 documents to be reviewed and (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	11/1/2013: [75% complete] (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts.) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency. Make edits. Submitted to (b) (5) Process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	10/31/13 [35% Complete] No action taken. <u>Next Steps</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	11/1/13 [40% Complete] No action taken during week ending on 11/1/13. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	11/1/13 [5% Complete] Meeting on 10/29/13. ADID and I agreed to send entire document for review and return to OFAC with redacted recommendations (b)(5) (b)(6) agreed to review for their equities and return to OFAC. Burned docs onto cd for review by SFR. Next Steps: Compose draft letter, give disc to SFR for review, complete reviewing 2533 pages for additional referrals, and apply OFAC redactions.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	11/1/13 [40% Complete] Working on pre-payment of cost letter. Requester understands that records will not be released until she responds to cost letter. Next Step: Get cost letter signed and emailed to requester. Await requester response.
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	11/1/2013 [10% complete] No activity. Still pending further review of other records and emails to determine if referrals or other special handling will be required. 8/27/13 - 9/9/13: determined 34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk. Next Step: Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	10/29/13 (b)(5) (b)(5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	11/1/13 [10% Complete] Contacted (b)(6) for status update on updated search request. Next Steps: Complete SN and wait for a follow-up email from (b)(6) in Licensing.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	10/30/13 [10% complete] Search requests pending with Licensing and Policy (b)(5) responses. Next step: Ping Licensing and Policy for search response.

Media Cases

Date Updated:

11/12/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	11/12/13 [About 90% complete] Confirmed with requester via email that third interim release is on schedule for the end of the calendar year. <u>Next Steps:</u> Prepare third interim release (HSBC records) for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	11/12/13 [60% Complete] No action taken. <u>Next Steps:</u> Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	11/12/13 [About 25% complete.] No action taken. <u>Next step:</u> Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	11/7/13 [90% completed] Will be printing and preparing final response package by EOD tomorrow. <u>Next Step:</u> Submit final response package for reviews and ADIDR signature.
5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	11/1/13 [~30% Complete] No action taken during week ending on 11/1/13. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.

6	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b) (6)	8/1/2011	11/7/2013 [20% Complete] No action taken. <u>Next Step</u> : Continue to process records and determine whether SN process is required.
7	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	11/12/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	11/4/13 Case reassigned to (b)(6)
9	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	11/7/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
10	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	11/12/13 [50% Complete] No action taken (analyst out sick). <u>Next Step</u> : Save SNs to FOIA database (template generator), then SFR will review.
11	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/8/13 No action taken.
12	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	11/8/13 No action taken this week. Responded to status request from requester on 10/22/13. Responsive records received from Licensing on 9/27/13.
13	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	11/8/13 No action taken this week. Ready to review records already received from divisions. (b)(5)

14	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	11/12/13 [0% complete] Redacting records and reviewing IEEE recommendations from similar case, 2012-05-066. Received search responses from Compliance ("no records") and Director ("no records"). <u>Next Step</u> : Await records search responses from divisions.
15	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	11/6/13 [30% complete] No Action while finishing 2005-06-037. Spreadsheet prepared. <u>Next Steps</u> : Review and propose redactions.
16	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	11/6/13 [99% complete] Drafted closing letter. Performing final review of responsive records. <u>Next Step</u> : Sign off on Closing Letter.
17	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	11/8/13 [0% complete] No action taken. <u>Next Steps</u> : Await response to records search request from Licensing.

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

Litigation Cases

Date Updated: 11/12/2013

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	11/7/13 [75% completed] No activity pending (Island Film) Plaintiff's response to (Treasury) Def's 8/16/12 Supplemental Brief by 12/6/13. <u>Next Step</u> : If Plaintiff responds by 12/6/13 then Treasury will have to reply by 12/20/2013.
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	11/7/13 (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	11/6/13 [~98% complete] Last DNs sent, 11/5. <u>Next Step</u> : Wait for reverse FOIA suit time period to expire, 11/20/13
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	11/12/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	11/12/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	11/7/13 [25% complete] Almost completed revised redactions to Torts Claim records. Per ADIDR will submit to him for e-review upon completion. <u>Next Step</u> : Assemble 1st interim response package and submit for signature.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	11/8/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	11/12/13 [60% Complete] Working on 3rd interim release. Prepared pre-payment of cost letter. <u>Next Steps</u> : Complete 3rd interim release. Submit pre-payment of cost letter for Interims 2 and 3 for review.
7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	11/12/13 [55% Complete] No action taken. <u>Next Steps</u> : (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b)(5) a total of 1,248 documents to be reviewed an (b)(5) (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	11/7/2013 [75% completion] (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records are scanned and processing starts). [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	11/12/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	11/7/13 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	11/12/13 [40% Complete] Gave case to SFR for review with CD of documents needing to be sent to (b)(5) to include (b)(5) (b)(5) Next Steps: Continue applying redactions to responsive docs (2533) pages applying OFAC redactions only, and combine (b)(5) and other agencies if available.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	11/12/13 [40% Complete] Processed 94 pages of responsive documents and drafted interim response letter to the requester. Received \$300 check in response to cost letter on 11/7/13. Emailed and mailed first interim response to requester on 11/8/13. Next Step: Complete SN process.
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	11/7/2013 [10% completion] No activity. Still pending further review of other records and emails to determine if referrals or other special handling will be required. 8/27/13 - 9/9/13: determined 34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk. Next Step: Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/8/13 No action taken.
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	11/6/13 [10% Complete] Received responsive records from Licensing in response to updated search request (11/6/13). Next Steps: Complete drafting SN and review responsive licensing records.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	11/6/13 [10% complete] Search requests pending with Licensing and Policy. (b)(5) responses. Next step: Ping Licensing and Policy for search response.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	11/8/13 Met with (b)(6) (b)(6) T. Feddo and (b)(6) to discuss direction of search for responsive records (11/8). Received responses to records search requests from Licensing and Director (11/6).

Media Cases

Date Updated:

11/18/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	11/12/13 [About 90% complete] Confirmed third interim response on track for release by 12/31/13. 10/31/13 Second interim response released (Citi records). <u>Next step</u> : Prepare Third interim release (HSBC records) for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	11/12/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	11/15/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	11/15/13 [80% completed] Checked emails but no reply to 11/8 email followed up with SSO's Director (D.C.) on three minor edits to records for transfer set to (b)(5) system. <u>Next Step</u> : Once SSO moves copy to (b)(5) system, assemble final response package.

5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	11/15/13 [~30% Complete] No action taken during week ending on 11/15/13. <u>Next Steps</u> : Per instructions from ADIDR, will select day to focus on case organization. Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	11/15/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.
7	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	11/12/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	11/15/13 [0% Complete] No action taken (analyst out sick last week). <u>Next Step</u> : Meet with SFR to discuss case.
9	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	11/15/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and mak (b)(5)
10	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	11/15/13 [50% Complete] No action taken (analyst out sick last week). <u>Next Step</u> : Wait for SFR to review SNs with attached docs for review; to be discussed on 11/18/13.
11	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/18/13 (b) (5) (b) (5)
12	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	11/15/13 No action taken this week. Responded to status request from requester on 10/22/13. Responsive records received from Licensing on 9/27/13.

13	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	11/15/13 No action taken this week. Ready to review records already received from divisions. (b)(5)
14	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	11/15/13 [40% complete] Redacting records and reviewing IEEE recommendations from similar case, 2012-05-066. <u>Next Step</u> : Await records search responses from two components.
15	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	11/13/13 [30% complete] No Action while finishing 2005-06-037. Spreadsheet prepared. <u>Next Steps</u> : Review and propose redactions.
16	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	11/13/13 [90% complete] Determined that Licensing still has responsive records to search for. Emailed Licensing second effort search request on 11/12/13. <u>Next Step</u> : Have SMEs signoff on discretionary release under Exemption 5 "pre-decisional deliberation" per Attorney General Holder memo.
17	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	11/15/13 [0% complete] No action taken. <u>Next Steps</u> : Await response to records search request from Licensing.

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	11/15/13 [75% completed] No activity pending (Island Film) Plaintiff's response to (Treasury) Def's 8/16/12 Supplemental Brief by 12/6/13. <u>Next Step:</u> If Plaintiff responds by 12/6/13 then Treasury will have to reply by 12/20/2013.
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	(b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	11/13/13 [~99% complete] Last DNs sent, 11/5. <u>Next Step:</u> Waiting for reverse FOIA law suit time period to expire, 11/20/13. Then, mail closing letter with responsive records.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	11/12/13 [60% Complete] No action taken. <u>Next Steps:</u> Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	11/15/13 [About 25% complete.] No action taken. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	11/12/13 [25% complete] Finished revisions to Torts claim records and submitted to ADIDR for e-review. <u>Next Step:</u> Print and scan database document records for cd; await ADIDR review of Torts claim records; and then assemble 1st interim response package and submit for signature.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	11/15/13 [~30% Complete] No action taken during week ending on 11/15/13. <u>Next Steps:</u> Per instructions from ADIDR, will select day to focus on case organization. Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	11/15/13 [60% Complete] Working on 3rd interim release. Determined that an additional 139 pages will be included in 3rd interim response. Mailed and emailed pre-payment of cost letter to requester asking for (b) (5) due by 12/20/13. <u>Next Steps:</u> Complete 3rd interim release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	11/12/13 [55% Complete] No action taken. <u>Next Steps</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	11/15/2013: [75% completion] (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts); [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	11/12/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	11/15/13 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	11/15/13 [50% Complete] Delivered referral package in person to (b)(5) on 11/15/13. <u>Next Steps</u> : Await (b)(5) response to referral. Continue applying redactions to responsive docs (2562) pages applying OFAC redactions only.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	11/15/13 [40% Complete] First interim response sent to requester on 11/8/13. Received email from (b)(6) indicating that additional records have been located. <u>Next Step</u> : Contact (b)(6)
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	11/15/2013 [10% completion] Sent email to SSD/IT (b) (6) to schedule time to install and test advanced search tool for Outlook; Still pending further review of other records and emails to determine if referrals or other special handling will be required; 8/27/13 - 9/9/13: determined 34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/18/13 (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	11/15/13 [10% Complete] Scanned additional records received from Licensing. Processing records. <u>Next Steps</u> : Continue processing records and complete drafting SN.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	11/13/13 [10% complete] Search requests pending with Licensing, Legislative Affairs and Policy. <u>Next step</u> : Await records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	11/15/13 Scheduling second call with requester and SMEs to refine search.

Media Cases

Date Updated:

11/25/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	11/21/13 [About 90% complete] Provided HSBC determination notice to ADIDR for review. <u>Next step</u> : Prepare Third interim release (HSBC records) for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	11/22/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	11/21/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	11/21/13 [95% completed] Preparing final response letter for SrFR review and assembled (b) (5) <u>Next Step</u> : Once reviewed by SrFR, submit final response package to ADIDR for review and signature.
5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	11/22/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	11/22/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.

7	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	11/22/13 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
8	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	11/21/13 [0% Complete] No action taken. <u>Next Step:</u> Discussed case with SFR, not to worry since I am leaving the office, focus on cases that we can get out to requester.
9	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	11/22/13 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin submitter notice process and make (b)(5)
10	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	11/21/13 [60% Complete] SN's drafted, given to SFR for review, with redacted docs.. <u>Next Step:</u> Wait for SFR to review.
11	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
12	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	11/22/13 Case reassigned to (b)(6)
13	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	11/22/13 Case reassigned to (b)(6)
14	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	11/21/13 [40% complete] Redacting records and reviewing IEEE recommendations from similar case, 2012-05-066. <u>Next Step:</u> Await records search responses from two components.
15	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	11/21/13 [30% complete] No Action while finishing 2005-06-037. Spreadsheet prepared. <u>Next Steps:</u> Review and propose redactions.

16	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	11/21/13 [90% complete] Determined that Licensing still has responsive records to search for. Emailed Licensing second effort search request on 11/12/13. <u>Next Step</u> : Have SMEs signoff on discretionary release under Exemption 5 "pre-decisional deliberation" per Attorney General Holder memo.
17	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	11/22/13 [0% complete] Sent status request to (b)(6) on 11/18/13 re records search tasking of 10/22/13. <u>Next Steps</u> : Await response to records search request from Licensing.

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	11/22/13 [75% completed] Court granted Treasury's motion for summary judgment in order issued on 11/22/13. Plaintiff has to respond by 12/16/13 for Treasury reply no later than 1/10/14. Email correspondence (b)(5) (b)(6)re (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	11/22/13 Awaiting court action.

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	11/21/13 [~99% complete] Validating release information. <u>Next Step:</u> Draft closing letter.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	11/22/13 [60% Complete] No action taken. <u>Next Steps:</u> Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	11/21/13 [About 25% complete.] No action taken. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	11/21/13 [25% complete] Torts claim revisions in with ADIDR for review as of 11/21/13. <u>Next Step:</u> Print and rescan design documents redacted set while ADIDR reviews revisions Torts claim records.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	11/22/13 [~30% Complete] No action taken. <u>Next Steps:</u> Sort through case to get an idea for how many more DN's need drafting. Draft DN's.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	11/22/13 [50% Complete] Working on final response. Conference call with requester on 11/21/13, in which the requester indicated he will follow-up with OFAC next week on whether OFAC should continue to process the final response. <u>Next Steps:</u> Await response from requester and complete 3rd interim release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	11/22/13 [55% Complete] No action taken. <u>Next Steps</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	11/21/2013: [75% complete] No activity. (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts). [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	11/22/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	11/22/13 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	11/22/13 [50% Complete] Reviewed and redacted 312 pages. <u>Next Steps</u> : Await (b)(5) response to referral. Continue applying redactions to responsive docs (2562) pages applying OFAC redactions only.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	11/22/13 [40% Complete] Processing final response. Additional records from Compliance deemed non-responsive. Received GCN billing sheet, etc. on 11/21/13. <u>Next Step</u> : Await response from other divisions re "al-shurafa."
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	11/21/2013 [10% complete] Due to project assisting Records Management Section in decreasing indexing backlog, had to push back plans to meet with SSD/IT to test advance search tool. May go with manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	11/22/13 [10% Complete] Reviewed Licensing records. <u>Next Steps</u> : Continue processing records and complete drafting SN.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	11/21/13 [10% complete] Search requests pending with Licensing, Legislative Affairs and Policy. <u>Next step</u> : Awaiting for records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	11/21/13 FOIA analyst, SMEs (b) (5) met with requester via telecon in further attempt to refine scope of search on 11/20/13.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

Litigation Cases

Date Updated: 11/25/2013

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	11/22/13 [75% completed] Court granted Treasury's motion for summary judgment in order issued on 11/22/13. Plaintiff has to respond by 12/16/13 for Treasury reply no later than 1/10/14. Email correspondence (b)(5) (b)(6)e potential of plaintiff filing for attorney's fees and date filing is due. Possible due date is 14 days from Court's judgment entry which has not been made. <u>Next Step</u> : TBD by Court.
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	11/22/13 Awaiting court action.

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	11/21/13 [~99% complete] Validating release information. <u>Next Step</u> : Draft closing letter.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	11/22/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	11/21/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	11/21/13 [25% complete] Torts claim revisions in with ADIDR for review as of 11/21/13. <u>Next Step</u> : Print and rescan design documents redacted set while ADIDR reviews revisions Torts claim records.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	11/22/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	11/22/13 [50% Complete] Working on final response. Conference call with requester on 11/21/13, in which the requester indicated he will follow-up with OFAC next week on whether OFAC should continue to process the final response. <u>Next Steps</u> : Await response from requester and complete 3rd interim release.
7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	11/22/13 [55% Complete] No action taken. <u>Next Steps</u> : (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	11/21/2013: [75% complete] No activity. (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts). [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	11/22/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	11/22/13 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release, make redactions and propose content for discretionary release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	11/22/13 [50% Complete] Reviewed and redacted 312 pages. <u>Next Steps:</u> Await (b)(5) response to referral. Continue applying redactions to responsive docs (2562) pages applying OFAC redactions only.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	11/22/13 [40% Complete] Processing final response. Additional records from Compliance deemed non-responsive. Received GCN billing sheet, etc. on 11/21/13. <u>Next Step:</u> Await response from other divisions re "al-shurafa."
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	11/21/2013 [10% complete] Due to project assisting Records Management Section in decreasing indexing backlog, had to push back plans to meet with SSD/IT to test advance search tool. May go with manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step:</u> Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	11/22/13 [10% Complete] Reviewed Licensing records. <u>Next Steps:</u> Continue processing records and complete drafting SN.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	11/21/13 [10% complete] Search requests pending with Licensing, Legislative Affairs and Policy. <u>Next step:</u> Awaiting for records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	11/21/13 FOIA analyst, SMEs (b) (5) met with requester via telecon in further attempt to refine scope of search on 11/20/13.

Media Cases

Date Updated:

12/2/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	11/22/13 [About 90% complete] Requested marked documents from HSBC that will constitute third interim release for page-by-page comparison of DN; deadline for HSBC response is 12/6/13. Confirmed with requester via email that nonsubstantive drafts can be eliminated from fourth and final release. <u>Next step</u> : Process 522 pages of docs (final release) for inhouse review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	11/22/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	11/26/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	11/27/13 Final response sent to requester. Case closed.

5	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	11/29/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	11/27/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.
7	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	11/29/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	11/29/13 [0% Complete] No action taken. <u>Next Step</u> : Discussed case with SFR, not to worry since I am leaving the office, focus on cases that we can get out to requester.
9	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	11/27/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin subm and mak (b)(5)
10	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	11/25/13 [60% Complete] Entered Submitter Notices into SN database. <u>Next Step</u> : Wait for SFR to review.
11	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/1 (b) (5) (b) (5)
12	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	11/27/13 No action taken.
13	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	11/27/13 No action taken.
14	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	11/27/13 [40% complete] No action taken. Case is similar to 2012-05-066. <u>Next Step</u> : Await records search responses from two components.

15	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	11/27/13 [30% complete] Finished 2005-06-037. Return to working this case. Spreadsheet prepared. <u>Next Steps:</u> Review and propose redactions.
16	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	11/27/13 [90% complete] Determined that Licensing still has responsive records to search for. Emailed Licensing second effort search request on 11/12/13. Due date 12/6/13 <u>Next Step:</u> Have SMEs signoff on discretionary release under Exemption 5 "pre-decisional deliberation" per Attorney General Holder memo.
17	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	11/29/13 [0% complete] No action taken. <u>Next Steps:</u> Await response to records search request from Licensing.
18	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	11/29/13 [0% complete] ADIDR and SFR spoke with requester on 11/26/13, narrowing the scope of request. OFAC ack ltr emailed on 11/27/13. Requester confirmed narrowed scope via email on 11/28/13. <u>Next Steps:</u> Assign case and task records search
19	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	Unassigned	11/29/2013	11/29/13 [0% complete] OFAC received tasking from DO Disclosure Services on 11/29/13 with a due date of 11/4/13 (November 4, 2013). <u>Next Steps:</u> Clarify timeline with DO, acknowledge receipt of request, assign to analyst, and task records search.

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletinians.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	11/22/13 [75% completed] Court granted Treasury's motion for summary judgment in order issued on 11/22/13. Plaintiff has to respond by 12/16/13 for Treasury reply no later than 1/10/14. Email correspondence (b)(5) (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	11/29/13 Awaiting court action.

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	11/27/13 [100% complete] Validating release information. <u>Next Step</u> : Finished.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	11/27/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAF2	(b)(6)	8/20/2006	11/26/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	11/27/13 [25% complete] Torts claim revisions in with ADIDR for review as of 11/21/13. <u>Next Step</u> : Print and rescan design documents redacted set while ADIDR reviews revisions Torts claim records.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	11/29/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DN's need drafting. Draft DN's.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	11/27/13 [50% Complete] Working on final response. Case on hold pending decision from requester to proceed with processing. <u>Next Steps</u> : Await response from requester and complete 3rd interim release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	11/27/13 [55% Complete] No action taken. <u>Next Steps:</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	11/21/2013: [75% complete] No activity. (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts). [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	11/27/13 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	11/27/13 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release, make redactions and propose content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	11/22/13 [50% Complete] Reviewed and redacted 312 pages. <u>Next Steps:</u> Await (b)(5) response to referral. Continue applying redactions to responsive docs (2562) pages applying OFAC redactions only.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	11/27/13 [40% Complete] Processing final response. <u>Next Step</u> : Await records search response from other divisions re "al-shurafa."
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	11/21/2013 [10% complete] Due to project assisting Records Management Section in decreasing indexing backlog, had to push back plans to meet with SSD/IT to test advance search tool. May go with manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	11/27/13 [10% Complete] Reviewed Licensing records. <u>Next Steps</u> : Continue processing records and complete drafting SN.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	11/27/13 [10% complete] Search requests pending with Licensing, Legislative Affairs and Policy. <u>Next step</u> : Await records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	11/22/13 Initiated SN process with JPMC. Follow up meeting with (b) (5) SMEs, and FOIA scheduled for 11/27/13.

Media Cases

Date Updated:

12/9/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	12/5/13 [About 90% complete] Phone call between ADIDR, SFR and HSBC. Third interim release will not include HSBC records. <u>Next step</u> : Process 522 pages of docs (final release) for inhouse review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	12/6/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	12/4/13 [About 25% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	12/6/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	12/4/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.

6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	12/6/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	12/9/13 [0% Complete] Case reassigned to (b)(6) on 12/9. <u>Next Step</u> : Review case.
8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	12/4/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	12/9/13 [60% Complete] Case reassigned to (b)(6) on 12/9. <u>Next Step</u> : Review case.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/1 (b) (5) (b) (5)
11	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	12/9/13 Finished review of records (12/9). Drafted submitter notice. <u>Next Step</u> : Submit SN for review. Send it out.
12	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/9/13 No action taken. <u>Next Step</u> : Review case.
13	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	12/6/13 [40% complete] Case reassigned to (b) (6) on 12/6. Case is similar to 2012-05-066. <u>Next Step</u> : Await records search responses from two components.
14	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	12/4/13 (40% complete) Returned to working this case, after finishing 2005-06-037. Spreadsheet prepared. <u>Next Step</u> : QA Review.

15	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/4/13 [90% complete] Licensing might have additional responsive records to search for. Emailed Licensing second effort search request on 12/4/13 and 11/12/13. Due date 12/6/13 <u>Next Step</u> : Have SMEs signoff on discretionary release under Exemption 5 "pre-decisional deliberation" per Attorney General Holder memo.
16	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/5/13 [0% complete] Per email from (b)(6) we can expect records search response by 12/9/13. <u>Next Steps</u> : Await response to records search request from Licensing.
17	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	12/6/13 [0% complete] ADIDR and SFR spoke with requester on 11/26/13, narrowing the scope of request. OFAC ack ltr emailed on 11/27/13. Requester confirmed narrowed scope via email on 11/28/13. <u>Next Steps</u> : Task records search
18	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	Unassigned	11/29/2013	12/5/13 [0% complete] Emailed OFAC ack ltr to requester on 12/5. <u>Next Step</u> : Task records search.

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletinians.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	12/5/13 [90% completed] No activity since 11/22/13. Court Order granted Treasury's motion for summary judgment. Plaintiff has to respond by 12/16/13 for Treasury reply no later than 1/10/14. Email correspondence (b)(5) (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	12/5/13 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	12/4/13 [99% Complete] Last DN pending, 12/18/13 due date. <u>Next Step:</u> Send final release.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	12/6/13 [60% Complete] No action taken. <u>Next Steps:</u> Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	12/4/13 [About 25% complete.] No action taken. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	12/5/13 [25% complete] Preparing black release copy of records and printing for hard copy scanning. <u>Next Step:</u> Assemble 1st interim response package and submit for signature.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	12/6/13 [~30% Complete] No action taken. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	12/4/13 [50% Complete] Working on final response. Case on hold pending decision from requester to proceed with processing. <u>Next Steps:</u> Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	12/6/13 [55% Complete] No action taken. <u>Next Steps:</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b) (5) a total of 1,248 documents to be reviewed and (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	12/5/2013: [75% complete] No activity (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts); [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> (b) (5) consulted agency; make edits; submitted t (b) (5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	12/6/13 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	12/4/13 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release, make redactions and propose content for discretionary release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	12/9/13 [50% Complete] Case reassigned to (b)(6) on 12/9. <u>Next Steps:</u> Await (b)(5) response to referral. C (b)(5) ing redactions to responsive docs (2562) pages applying OFAC redactions only.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	12/4/13 [50% Complete] Processing final response. Almost completed preparing 8 DNs. <u>Next Step</u> : Await records search response from other divisions re "al-shurafa."
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	12/5/2013 [10% completion] No activity due to analyst out of office training (12/3-12/4) but will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	12/4/13 [20% Complete] Mailed SN to Chase Manhattan Bank. Reviewing Licensing records. <u>Next Steps</u> : Continue processing records and complete drafting SN.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	12/4/13 [10% Complete] Email reminders sent for outstanding searches. <u>Next step</u> : Await records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	12/5/13 Contacted requester after 11/27/13 meet (b) (5) SMEs, and FOIA to discuss progress. Requester authorized expenditure of up to (b) (5) for processing via email. Letter notifying requester that review costs are in excess of authorized amount sent 12/5/13.

Media Cases

Date Updated:

12/16/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	12/13/13 [About 90% complete] Reviewing HSBC marked records. <u>Next step</u> : Circulate documents for Compliance discretionary review on 12/17.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	12/13/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	12/16/13 [About 75% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	12/13/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	12/13/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.

6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	12/13/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	12/12/13 [0% Complete] 1st interim release mailed to requester on 12/12/13. <u>Next Step</u> : Continue to process case.
8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	12/13/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	12/11/13 [60% Complete] Case reassigned to (b)(6) on 12/9. <u>Next Step</u> : Review case notes to determine next step.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
11	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	12/11/13 Finished review of records (12/9). Prepared submitter notice for release signature. <u>Next Step</u> : Send submitter notice.
12	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/11/13 No action taken. <u>Next Step</u> : Waiting on FNK memo from OGT.
13	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	12/13/13 [40% complete] Case reassigned to (b)(6) on 12/6. Case is similar to 2012-05-066. <u>Next Step</u> : Await records search responses from two components.
14	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	12/13/13 (40% complete) Returned to working this case, after finishing 2005-06-037. Spreadsheet prepared. <u>Next Step</u> : QA Review.

15	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/13/13 [90% complete] Licensing might have additional responsive records to search for. Emailed Licensing second effort search request on 12/4/13 and 11/12/13. Due date 12/6/13 <u>Next Step</u> : Have SMEs signoff on discretionary release under Exemption 5 "pre-decisional deliberation" per Attorney General Holder memo.
16	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/13 [100% complete] Case closed. Sent final response to requester on 12/12/13. Licensing responded to search request on 12/12/13 with "no records" response.
17	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	12/13/13 [0% complete] Tasked Licensing with records search on 12/9, with response due by 12/24/13. <u>Next Steps</u> : Await records search response.
18	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	Unassigned	11/29/2013	12/13/13 [0% complete] Tasked Director and Deputy Director with records search on 12/9, with response due by 12/24/13. <u>Next Step</u> : Await records search responses.

Closed Media Cases

Closed Media Cases								
	Date Updated:				11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletinians.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	12/12/13 (b) (5) (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	12/13/13 (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	12/13/13 [99% Complete] Last DN pending, 12/18/13 due date. <u>Next Step:</u> Send final release.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	12/13/13 [60% Complete] No action taken. <u>Next Steps:</u> Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	12/16/13 [About 75% complete.] No action taken. <u>Next step:</u> Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	12/12/13 [25% complete] Digital copy of records made from hardcopy scan and sanitized of meta-data. Per brief meeting on 12/11/13, SrFR will do quick spot-check of files prior to disc burning. Tentative release for early week of 12/18. <u>Next Step:</u> After SrFR spotcheck, submit 1st interim response package for SrFR's proxy signature.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	12/13/13 [~30% Complete] No action taken. <u>Next Steps:</u> Sort through case to get an idea for how many more DNs need drafting. Draft DNs.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	12/13/13 [50% Complete] Case on hold pending decision from requester to proceed with processing. <u>Next Steps</u> : Await response from requester.
7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	12/13/13 [55% Complete] No action taken. <u>Next Step</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b) (5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	12/12/2013: [75% complete] No activity (Pending (b)(5) consultation response - will be 4 months (from 9/2013) before records scanned and processing starts); [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Receive response to last consulted agency; make edits; submitted to (b) (5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	12/13/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	12/13/13 [40% Complete] Reviewing and proposing redactions to records, as well as content for discretionary release. <u>Next Step</u> : Work to complete second interim release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	12/11/13 [50% Complete] Case reassigned to (b) (6) on 12/9. <u>Next Step</u> : Await (b)(5) response to consult.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	12/13/13 [50% Complete] Processing final response. Almost completed preparing 8 DNs. Provided status update to requester and colleague on 12/12/13. <u>Next Step</u> : Await records search response from divisions re "al-shurafa."
14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	12/12/2013 [10% complete] No activity. On hold for 2009 priority case and 2007 oldest cases. Will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of manual search responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	12/13/13 [20% Complete] Reviewing Licensing records. <u>Next Steps</u> : Determine whether other SNs are required.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	12/13/13 [10% Complete] Email reminders sent for outstanding searches. <u>Next step</u> : Await records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	12/16/13 Waiting for payment due 1/6/14. If no response is received, OFAC will administratively close the case.

Media Cases

Date Updated:

12/23/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	12/23/13 [About 90% complete] Meeting on discretionary review scheduled for week of 12/30/13. <u>Next step</u> : Hold meeting on discretionary review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	12/20/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	12/23/13 [About 75% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	12/20/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	12/20/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	12/20/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	12/20/13 [0% Complete] Sent determination notices. <u>Next Step</u> : Awaiting response period to expire for DNs. Sent final response.

8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	12/20/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submit (b)(5) and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	12/20/13 [60% Complete] No action. Case reassigned to (b)(6) on 12/9. <u>Next Step</u> : Review submitter notices drafted by previous analyst and forward for review and signature.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/13 (b)(5) (b)(5)
11	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	12/20/13 Sent submitter notice. <u>Next Step</u> : Await submitter response.
12	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/20/13 Drafted Kingpin full denial response. <u>Next Step</u> : Submit for review and signature.
13	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	12/18/13 [60% complete] Identified information for discretionary release. Case is similar to 2012-05-066. <u>Next Step</u> : Send to SME for discretionary release of deliberative information.
14	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	12/18/13 [40% complete] Will pick up now that 2005-06-037 & 2007-05-058 are nearly finished. <u>Next Step</u> : QA Review.
15	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/13 [100% complete] Narrowed scope of request with requester on 12/18/13. Emailed final release/response to requester on 12/20/13. Case closed.

16	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	12/20/13 [0% complete] Tasked Licensing with records search on 12/9, with response due by 12/24/13. <u>Next Steps</u> : Await records search response.
17	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	Unassigned	11/29/2013	12/20/13 [0% complete] Received "no records" response from Director on 12/17. <u>Next Step</u> : Await records search response from Deputy Director's Office.
18	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	Unassigned	12/17/2013	12/20/13 [0% Complete] Emailed acknowledgement letter to requester on 12/20. Case similar to 2013-04-063. <u>Next Step</u> : Approach (b) (6) Licensing about possibility of doing a quicker modified search to capture records which may have been submitted by Syrian Support Group since the search for FOIA 2013-04-063 was conducted (~Sept. 2013).

Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletinians.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	12/16/13 [90% completed] Plaintiff (Island Film) filed Second Consent Motion to Extend Time on 12/16/13. Plaintiff now has until January 6, 2014 to file motion for attorney's fees. <u>Next Step</u> (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	12/20/13 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-06-037	Gary Anderson	Records regarding blocked Cuban assets	(b)(6)	6/10/2005	12/19/13 [100% Complete] Final response with redacted records sent to requester on 12/19/13. Case closed.
2	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	12/20/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
3	2006-08-026A	Jeremy Bigwood	Records related to CAF2	(b)(6)	8/20/2006	12/23/13 [About 75% complete.] No action taken. <u>Next step</u> : Prepare interim release for ADID review.
4	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	12/18/13 [25% complete] First interim response, dtd and mailed on 12/18/13. Requester has until January 6, 2014 to respond with clarification or narrowed scope otherwise case will be administratively closed. <u>Next Step</u> : Processing of databases and FOIA Requests/Responses pending requester's response on January 6, 2014.
5	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	12/20/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DN's need drafting. Draft DN's.
6	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	12/20/13 [50% Complete] No action taken. Case on hold pending decision from requester to proceed with processing. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	12/20/13 [55% Complete] No action taken. <u>Next Step</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
8	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	12/16/2013 [75% complete] Per 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012. [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release.
9	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
10	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	12/20/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
11	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	12/20/13 [40% Complete] Reviewing and proposing redactions to records, as well as content for discretionary release. <u>Next Step</u> : Work to complete second interim release.
12	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	12/20/13 [50% Complete] No action taken. Case reassigned to (b)(6) (b)(6) on 12/9. <u>Next Step</u> : Await (b)(5) response to consult.
13	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	12/20/13 [50% Complete] No action taken. <u>Next Step</u> : Await records search response from divisions re "al-shurafa." Finish drafting determination notices.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

14	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	12/23/2013 [10% completion] No activity. (On hold for 2009 priority case and 2007 oldest cases.) Will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of manual search of responsive email and convert them for review.
15	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
16	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	12/20/13 [20% Complete] Received submitter response. <u>Next Steps</u> : Review SR and draft determination notice. Determine whether other SNs are required.
17	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	12/18/13 [15% Complete] Licensing provided over 600 pages. Meeting with new Policy POC 12/19/13 to restart search. <u>Next step</u> : Await records search responses from divisions.
18	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	12/23/13 Waiting for payment due 1/6/14. If no response is received, OFAC will administratively close the case.

Media Cases

Date Updated:

12/30/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	12/27/13 [About 90% complete] Meeting on discretionary review scheduled for 1/2/14. <u>Next step</u> : Hold meeting on discretionary review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	12/27/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	12/30/13 [About 75% Complete] Case reassigned to (b)(6) on 12/30. <u>Next step</u> : Prepare interim release for ADID review.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	12/27/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	12/27/2013 [20% Complete] No action taken. <u>Next Step</u> : Process records and determine whether SN process is required.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	12/27/13 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	12/27/13 [0% Complete] No action. <u>Next Step</u> : Awaiting response period to expire for DNs on 1/3/14. Send final response.

8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	12/27/13 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submit and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	12/27/13 [60% Complete] No action. <u>Next Step</u> : Awaiting submitter responses. Draft determination notices.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
11	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	12/27/13 No action. <u>Next Step</u> : Await submitter response.
12	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/13 Case closed. Final response mailed to requester on 12/24/13.
13	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	12/27/13 [60% complete] Waiting on additional search response from Front Office. 2012-05-066 partially completes this case due to release 12/31/13Next Step: Send to SME for discretionary release of deliberative information.
14	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	12/27/13 [60% complete] Sent spreadsheet for Senior Review. <u>Next Step</u> : QA Review.
15	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	12/30/13 [0% complete] Emailed status update to requester in response to 12/28 email inquiry. <u>Next Steps</u> : Await records search response.
16	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/13 [0% complete] Received "no records" search response from Deputy Director. <u>Next Step</u> : Draft "no records" final response to requester and close out case.

17	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	Unassigned	12/17/2013	12/27/13 [0% Complete] Sent records search request to Licensing, with response due back by 1/7/14. Case similar to 2013-04-063. <u>Next Step:</u> Approach (b) (6) Licensing about possibility of doing a quicker modified search to capture records which may have been submitted by Syrian Support Group since the search for FOIA 2013-04-063 was conducted.
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Closed Media Cases

Closed Media Cases								
		Date Updated:			11/1/2013		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	12/27/13 [90% completed] Plaintiff (Island Film) filed Second Consent Motion to Extend Time on 12/16/13. Plaintiff now has until January 6, 2014 to file motion for attorney's fees. <u>Next Step</u> (b)(5) (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	12/27/13 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	12/27/13 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	12/30/13 [About 75% complete.] Case reassigned to (b)(6) on 12/30. <u>Next step</u> : Prepare interim release for ADID review.
3	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	12/27/13 [25% complete] First interim response, dtd and mailed on 12/18/13. Requester has until January 6, 2014 to respond with clarification or narrowed scope otherwise case will be administratively closed. <u>Next Step</u> : Processing of databases and FOIA Requests/Responses pending requester's response on January 6, 2014.
4	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	12/27/13 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DN's need drafting. Draft DN's.
5	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	12/27/13 [50% Complete] No action taken. Case on hold pending decision from requester to proceed with processing. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	12/27/13 [55% Complete] No action taken. <u>Next Steps</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
7	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	12/27/2013 [75% complete] Per 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review ss of records referred on 4/11/2012. [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release.
8	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
9	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	12/27/13 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
10	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	12/27/13 [40% Complete] Completed removing duplicates from 567 pages provided by Policy and proposed redactions. <u>Next Step:</u> Work to complete second interim release.
11	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	12/27/13 [50% Complete] Left a voicemail on 12/26/13 for (b)(5) OC. Began reviewing records. <u>Next Step:</u> Follow-up with (b)(5) POC on 1/6/14.
12	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	12/27/13 [50% Complete] No action taken. <u>Next Step:</u> Await records search response from divisions re "al-shurafa." Finish drafting determination notices.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	12/27/2013 [10% completion] No activity. (On hold for 2009 priority case and 2007 oldest cases.) Will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of manual search of responsive email and convert them for review.
14	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
15	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	12/27/13 [20% Complete] No action taken. <u>Next Steps</u> : Review SR and draft determination notice. Determine whether other SNs are required.
16	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	12/27/13 [15% Complete] Met with new Policy POC to restart search effort. <u>Next step</u> : Await records search responses from divisions.
17	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	12/30/13 Waiting for payment due 1/6/14. If no response is received, OFAC will administratively close the case.

Media Cases

Date Updated:

1/6/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	1/6/14 [About 90% complete] Held meeting on discretionary review. Discretionary review complete. <u>Next step</u> : Incorporate edits and finalize.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	1/3/14 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	12/30/13 [About 75% complete] Case reassigned to (b)(6) on 12/30. <u>Next step</u> : Prepare interim release for ADID review.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	(b)(6)	4/7/2010	1/6/14 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	1/3/14 [30% Complete] Processing records to determine which records require SN process. Began drafting final response letter as none of the records reviewed so far appear to require SN process. <u>Next Step</u> : Process records and determine whether SN process is required.

6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	1/3/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/6/14 [80% Complete] No action. <u>Next Step</u> : Awaiting response period to expire for DNs on 1/3/14. Send final response.
8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	1/3/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	1/6/14 [60% Complete] No action. <u>Next Step</u> : Awaiting submitter responses due back by 1/10/14. Draft determination notices.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
11	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/6/14 [100% complete]. Final response mailed on 1/6/14. Case to be closed.
12	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	12/31/13 [60% complete] Received responsive records from Deputy Director. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step</u> : Send to SME for discretionary release of deliberative information.
13	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/2/2014 [100% complete] Final response placed in mail to go out to requester. Case closed.

14	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	12/30/13 [0% complete] Emailed status update to requester in response to 12/28 email inquiry. <u>Next Steps</u> : Await records search response.
15	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	Unassigned	12/17/2013	1/3/14 [0% Complete] No action taken. Case similar to 2013-04-063. <u>Next Step</u> : Await records search response from Licensing.
16	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	12/30/13 [0% Complete] Received case tasking. <u>Next Step</u> : Task search.
17	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	12/30/13 [0% Complete] Received case tasking. <u>Next Step</u> : Task search.

Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	1/3/14 [90% completed] Plaintiff (Island Film) filed Second Consent Motion to Extend Time on 12/16/13. Plaintiff now has until January 6, 2014 to file motion for attorney's fees. <u>Next Step</u> (b)(5) (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	1/3/14 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	1/3/14 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	12/30/13 [About 75% complete.] Case reassigned to (b)(6) on 12/30. <u>Next step</u> : Prepare interim release for ADID
3	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	1/3/14 [25% complete] First interim response, dtd and mailed on 12/18/13. Requester has until January 6, 2014 to respond with clarification or narrowed scope otherwise case will be administratively closed. <u>Next Step</u> : Processing of databases and FOIA Requests/Responses pending requester's response on January 6, 2014.
4	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	(b)(6)	4/7/2010	1/3/14 [~30% Complete] No action taken. <u>Next Steps</u> : Sort through case to get an idea for how many more DNs need drafting. Draft DNs.
5	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	1/3/14 [50% Complete] No action taken. Case on hold pending decision from requester to proceed with processing. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	1/3/14 [55% Complete] No action taken. <u>Next Steps</u> (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b) (5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
7	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	1/3/14 [75% complete] Per 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012. [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Receive response to last consulted agency; make edits; submitted to (b) (5) process final release.
8	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
9	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	1/3/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
10	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b) (6)	11/16/2011	1/3/14 [40% Complete] Reviewing and proposing redactions to records. <u>Next Step</u> : Work to complete second interim release.
11	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b) (6)	5/2/2012	1/6/14 [50% Complete] Left a voicemail on 12/26/13 and 1/3/14 for (b)(5) POC. Reviewing records. <u>Next Step</u> : Follow-up with (b)(5) POC on 1/6/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b) (6)	10/16/2012	1/3/14 [50% Complete] Processing final response. Provided status update to requester on 12/31/13. <u>Next Step</u> : Await records search response from divisions re "al-shurafa." Finish drafting determination notices.
13	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b) (6)	12/17/2012	1/3/14 [10% completion] No activity. (On hold for 2009 priority case and 2007 oldest cases.) Will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of manual search of responsive email and convert them for review.
14	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	11/22/13 (b) (5) (b) (5)
15	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	1/3/14 [20% Complete] Provided status update to requester on 12/31/13. <u>Next Steps</u> : Review SR and draft determination notice. Determine whether other SNs are required.
16	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	12/27/13 [15% Complete] Met with new Policy POC to restart search effort. <u>Next step</u> : Await records search responses from divisions.
17	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	1/6/14 Waiting for payment due 1/6/14. If no response is received, OFAC will administratively close the case.

Media Cases

Date Updated:

1/13/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	1/10/14 [About 90% complete] Incorporating edits per discretionary review meeting. <u>Next step</u> : Send to Compliance for final SME review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	To be reassigned	10/4/2005	1/10/14 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lily & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	1/13/14 [About 75% complete.] Case reassigned to (b)(6) on 12/30. <u>Next step</u> : Prepare 3rd interim release for ADID review. There will be a 4th interim release.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	1/8/14 [~30% Complete] Case reassigned to Marshall Fields, Jr. on 1/7/14. Reviewed case status and started restructuring electronic case file. <u>Next Step</u> : Continue to restructure electronic case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	1/9/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.

6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	1/10/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/10/14 [80% Complete] Sent final response to SFR for review. <u>Next Step</u> : Send final response. Close case.
8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	1/9/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	1/10/14 [60% Complete] No action. <u>Next Step</u> : Awaiting submitter responses due back by 1/10/14. Draft determination notices.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	1/7/14 Completed review through page 151 of 1331 responsive pages. <u>Next Step</u> : Complete reprocessing of file marked responsive.
11	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/14 [100% complete]. Final response mailed on 1/6/14. Case closed.
12	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	1/13/14 [60% complete] Received responsive records from Deputy Director. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step</u> : Send to SME for discretionary release of deliberative information.
13	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	1/10/13 [0% complete] No action taken. <u>Next Steps</u> : Await records search response from Licensing.

14	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	1/10/14 [0% Complete] Case assigned to (b)(6) Case similar to 2013-04-063. <u>Next Step</u> : Await records search response from Licensing.
15	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	1/13/14 [10% Complete] Tasked search to Licensing and Enforcement, due by 1/27/14. Enforcement responded with "no records." <u>Next Step</u> : Wait for Licensing response
16	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	1/7/14 [0% Complete] Conducted search of Licensing DB in OASIS and copied three documents to clean folder. Emailed acknowledgement letter to requester. Assigned case to (b)(6) <u>Next Step</u> : Task search.
17	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	License applications to do business with Iran and subsequent determinations, OFAC Licensing Database of individuals and companies	Unassigned	1/7/2014	1/8/2014 [0% Complete] Received case assignment.

Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester
8	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/2013	Final response sent to requester on 1/6/14

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	1/9/14 [90% completed] Plaintiff (Island Film) filed Motion for (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	1/7/14 (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	To be reassigned	9/22/2005	1/10/14 [60% Complete] No action taken. <u>Next Steps</u> : Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue processing the 1,000 pages requested and determination notices of Eli Lilly & Company.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	1/13/14 [About 75% complete.] Case reassigned to (b) (6) on 12/30. <u>Next step</u> : Prepare 3rd interim release for ADID review. There will be a 4th interim release.
3	2009-10-048	Russell Carollo	Copies of the Enforcement, Lisencing, Record keeping & OFAC databases and tort claims.	(b)(6)	11/23/2009	1/10/14 [100% complete] Case closed. Administrative closure letter sent to requester on 1/8/14.
4	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	1/8/14 [~30% Complete] Case reassigned to Marshall Fields, Jr. on 1/7/14. Reviewed case status and started restructuring electronic case file. <u>Next Step</u> : Continue to restructure electronic case file.
5	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	1/9/14 [70% Complete] Case on hold pending decision from requester to proceed with processing. Requester provided an email update on 1/8/14, stating that he will be in touch with an answer soon/prior to 1/20/14. <u>Next Steps</u> : Await response from requester.
6	2010-07-041	Matthew Noland	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	To be reassigned	7/29/2010	1/10/14 [55% Complete] No action taken. <u>Next Steps</u> : (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b) (5) a total of 1,248 documents to be reviewed an (b)(5) (b)(5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

7	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	1/3/14 [75% complete] Per 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review of records referred on 4/11/2012. [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
8	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	To be reassigned	4/14/2011	(b) (5)
9	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	1/10/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
10	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	1/9/14 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release.
11	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	1/6/14 [50% Complete] Reviewing records. Left a voicemail for (b)(5) OC. <u>Next Step:</u> Continue reviewing records. Follow-up with (b)(5) OC.
12	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	1/9/14 [50% Complete] Completed one DN. Prepared 3 additional SNs. <u>Next Step:</u> Await records search response from divisions re "al-shurafa." Finish drafting determination notices.
13	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	1/3/14 [10% completion] No activity. (On hold for 2009 priority case and 2007 oldest cases.) Will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step:</u> Verify count of manual search of responsive email and convert them for review.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

14	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	1/7/14 Completed review through page 151 of 1331 responsive pages. <u>Next Step</u> : Complete reprocessing of file marked responsive.
15	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	1/9/14 [20% Complete] Case on hold pending receipt of payment in another FOIA request. Provided status update to requester on 12/31/13. <u>Next Steps</u> : Review records to determine whether other SNs are required.
16	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	1/13/14 [15% Complete] Policy search complete. <u>Next step</u> : Work into rotation.
17	2013-02-122A	Damien Weinstein	Documents and communications concerning the Department of Treasury, Office of Foreign Assets Control (OFAC) settlement with JP Morgan Chase Bank, NA, dated August 25, 2011	(b)(6)	9/25/2013	1/6/14 Case closed administratively since no check was received from requester by COB 1/6/14.

Media Cases

Date Updated:

1/22/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	1/22/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step</u> : Complete HSBC determination notice for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	1/15/14 [60% Complete] Case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case. Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	1/15/14 [About 75% complete.] Case reassigned to (b)(6) on 1/15. <u>Next step</u> : Review case. Prepare 3rd interim release for ADID review.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	1/16/14 [~30% Complete] Completed and mailed 6 determination notices. <u>Next Step</u> : Continue to restructure electronic case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	1/17/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.

6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	1/17/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/14/14 Sent final release to requester on 1/14. Case closed.
8	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	1/17/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
9	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	1/17/14 [60% Complete] Received several submitter responses. <u>Next Step</u> : Draft determination notices.
10	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	1/7/14 Completed review through page 151 of 1331 responsive pages. <u>Next Step</u> : Complete reprocessing of file marked responsive.
11	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	1/17/14 [60% complete] (b)(6) brought case to attention of (b)(6) (1/17). Case reassigned to (b)(6) on 1/15/14. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step</u> : Await records search response from Licensing.
12	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	1/17/13 [0% complete] (b)(6) brought search to (b)(6) attention (1/17). <u>Next Step</u> : Await records search response from Licensing.
13	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	1/17/14 [0% Complete] (b)(6) brought this search to (b)(6) attention (1/17). Case similar to 2013-04-063. <u>Next Step</u> : Await records search response from Licensing.

14	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	1/17/14 [10% Complete] (b)(6) brought this search to (b)(6) attention (1/17). Case reassigned to (b)(6) on 1/15/14. <u>Next Step</u> : Wait for Licensing records search response.
15	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	1/17/14 [0% Complete] Sent Licensing <i>search verification</i> request (1/17) based on search conducted in OASIS b (b)(6) Case reassigned to (b)(6) on 1/15/14. <u>Next Steps</u> : Await search verification response from Licensing. Process records.
16	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	License applications to do business with Iran and subsequent determinations, OFAC Licensing Database of individuals and companies	Unassigned	1/7/2014	1/17/2014 [0% Complete] Spoke with requester and confirmed understanding of modified request via email on 1/17. Met with (b)(6) to discuss narrow category (1/17). <u>Next Steps</u> : Discuss with (b)(6) and Licensing how best to define "to do business with Iran" for the purposes of records search(es).

Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester
8	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/2013	Final response sent to requester on 1/6/14
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/14/2014	Final release sent to requester on 1/14/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	1/16/14 (b) (5) (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	1/15/14 (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
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2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	1/15/14 [About 75% complete.] Case reassigned to (b)(6) on 1/15. <u>Next step</u> : Review case. Prepare 3rd interim release for ADID review.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	1/16/14 [~30% Complete] Completed and mailed 6 determination notices. <u>Next Step</u> : Continue to restructure electronic case file.
4	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	1/17/14 [70% Complete] Case on hold pending decision from requester to proceed with processing. Requester emailed OFAC on 1/16 and 1/17 explaining that he would provide an answer prior to 1/20. He may only want one letter instead of the entire package. <u>Next Steps</u> : Await response from requester.
5	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	1/15/14 [55% Complete] Case reassigned to (b)(6) 1/15). <u>Next Steps</u> : Review case. (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	1/15/14 [75% complete] Per 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012. [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release.
7	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
8	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	1/17/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
9	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	1/17/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
10	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	1/17/14 [50% Complete] Reviewing records. <u>Next Step</u> : Continue reviewing records. Follow-up with (b)(5) OLC.
11	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	1/17/14 [50% Complete] Prepared 3 additional DNs. <u>Next Step</u> : Await records search response from TNT and Licensing divisions re "al-shurafa." Finish drafting determination notices and one submitter notice.
12	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	1/17/14 [10% completion] No activity. (On hold for 2009 priority case and 2007 oldest cases.) Will use manual search results (34 out of 600+ former OGT Assoc Dir.'s emails produced by HelpDesk) after a double-check. <u>Next Step</u> : Verify count of manual search of responsive email and convert them for review.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

13	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	1/7/14 Completed review through page 151 of 1331 responsive pages. <u>Next Step</u> : Complete reprocessing of file marked responsive.
14	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	1/17/14 [20% Complete] Case on hold pending receipt of payment in another FOIA request. <u>Next Steps</u> : Review records to determine whether other SNs are required.
15	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	1/15/14 [15% Complete] Case reassigned to (b)(6) on 1/15. <u>Next step</u> : Review case.

Media Cases

Date Updated:

1/27/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	1/22/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step</u> : Complete HSBC determination notice for ADID review.
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3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	1/24/14 [About 75% complete.] Began reviewing case. Case reassigned to (b)(6) n 1/15. <u>Next step</u> : Review case.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	1/16/14 [~30% Complete] Completed and mailed 6 determination notices. <u>Next Step</u> : Continue to restructure electronic case file.
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6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	1/24/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	1/24/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin subm and mak (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	1/24/14 [60% Complete] Completed drafting determination notices. <u>Next Step</u> : Submit DNs for review.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	1/7/14 Completed review through page 151 of 1331 responsive pages. <u>Next Step</u> : Complete reprocessing of file marked responsive.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	1/24/14 [60% complete] (b)(6) case to attention of (b)(6) 1/17. Case reassigned to (b)(6) on 1/15/14. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step</u> : Await records search response from Licensing.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	1/24/13 [0% complete] (b)(6) brought search to (b)(6) attention (1/17). <u>Next Step</u> : Await records search response from Licensing.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	1/24/14 [0% Complete] (b)(6) brought this search to (b)(6) (b)(6) attention (1/17). Case similar to 2013-04-063. <u>Next Step</u> : Await records search response from Licensing.

13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	1/24/14 [10% Complete] (b)(6) brought this search to (b)(6) attention (1/17). Case reassigned to (b)(6) on 1/15/14. <u>Next Step</u> : Wait for Licensing records search response.
14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	1/24/14 [0% Complete] Sent Licensing <i>search verification</i> request (1/17) based on search conducted in OASIS by (b)(6). Case reassigned to (b)(6) on 1/15/14. <u>Next Steps</u> : Await search verification response from Licensing. Process records.
15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	License applications to do business with Iran and subsequent determinations, OFAC Licensing Database of individuals and companies	Unassigned	1/7/2014	1/24/2014 [0% Complete] Spoke with requester and confirmed understanding of modified request via email on 1/17. Met with (b)(6) to discuss narrowing strategy (1/17). <u>Next Steps</u> : Schedule phone call with requester, FOIA and (b)(6)

Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester
8	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/2013	Final response sent to requester on 1/6/14
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/14/2014	Final release sent to requester on 1/14/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	1/23/14 (b) (5) (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	1/15/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	1/24/14 [60% Complete] No activity since case was reassigned to (b) (6) on 1/15. <u>Next Steps</u> : Review case. Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DN notices of Eli Lily & Co and the 1,000 pgs of responsive records.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	1/24/14 [About 75% complete.] Began reviewing case. Case reassigned to (b)(6) on 1/15. <u>Next step</u> : Review case.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	1/16/14 [~30% Complete] Completed and mailed 6 determination notices. <u>Next Step</u> : Continue to restructure electronic case file.
4	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	1/24/14 [70% Complete] Requester's email of 1/17/14 states that he is most interested in DNs for this case. ADIDR's email of 1/24/14 states that we can move forward if requester pays \$250 for DNs. Continuing to process all records, including DNs. <u>Next Step</u> : Await response from requester to ADIDR's email.
5	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	1/24/14 [55% Complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case. (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b) (5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	1/24/2014: [75% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.
7	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
8	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	1/24/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
9	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	1/24/14 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release.
10	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	1/24/14 [50% Complete] Reviewing records. <u>Next Step:</u> Continue reviewing records. Continue trying to follow-up with (b)(5) POC.
11	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	1/24/14 [50% Complete] No action taken. <u>Next Step:</u> Await records search response from TNT and Licensing divisions re "al-shurafa." Finish drafting determination notices and one submitter notice.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	1/24/2014 [10% complete] Continuing comparing previously converted emails with those flagged in recent search of responsive emails in former OGT AD's inbox. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice.
13	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	1/7/14 Completed review through page 151 of 1331 responsive pages. <u>Next Step</u> : Complete reprocessing of file marked responsive.
14	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	1/24/14 [20% Complete] No action taken. Case on hold pending receipt of payment in another FOIA request. <u>Next Steps</u> : Review records to determine whether other SNs are required.
15	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	1/24/14 [15% Complete] No activity since case was reassigned to (b)(6) on 1/15. <u>Next step</u> : Review case.

Media Cases

Date Updated:

2/3/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	2/3/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step:</u> Complete HSBC determination notice for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	1/30/14 [60% Complete] No activity. <u>Next Steps:</u> Review case. Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DNs of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	2/3/14 [About 75% complete.] No action. <u>Next step:</u> Review case.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	1/30/14 [55% Complete] No action this week. <u>Next Step:</u> Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	1/30/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	1/31/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.

7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	1/30/14 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	2/3/14 [60% Complete] Working on determination notices. <u>Next Step:</u> Submit DNs for review.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	1/30/14 No action this week.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	1/31/14 [60% complete] No action. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step:</u> Await records search response from Licensing.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	1/31/14 [0% complete] No action (b)(6) brought search to (b)(6) attention (1/17). <u>Next Step:</u> Await records search response from Licensing.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	1/31/14 [0% Complete] No action (b)(6) brought this search to (b)(6) attention (1/17). Case similar to 2013-04-063. <u>Next Step:</u> Await records search response from Licensing.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	1/31/14 [10% Complete] No action (b)(6) brought this search to (b)(6) attention (1/17). Case reassigned to (b)(6) on 1/15/14. <u>Next Step:</u> Wait for Licensing records search response.
14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	1/31/14 [0% Complete] No action taken. Sent Licensing <i>search verification</i> request (1/17) based on search conducted in OASIS by (b)(6). <u>Next Steps:</u> Await search verification response from Licensing. Process records.

15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	1/28/14 [0% Complete] ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. Case assigned to (b)(6) Next Steps: Process case.
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Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester
8	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/2013	Final response sent to requester on 1/6/14
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/14/2014	Final release sent to requester on 1/14/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	1/31/14 (b) (5) (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	1/31/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	1/30/14 [60% Complete] No activity. <u>Next Steps</u> : Review case. Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DNs of Eli Lilly & Co and the 1,000 pgs of responsive records.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	2/3/14 [About 75% complete.] No action. <u>Next step</u> : Review case.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	1/30/14 [55% Complete] No action this week. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	1/30/14 [70% complete] Requester's email of 01/29/2014 states that he is agreeable to the terms identified in ADIDR's 01/23/2014 email and his firm will mail us a check for \$250.00 to cover the cost of preparing the DNs for this case. He also indicated that he would follow up with us when he gets the check and is ready to mail it to us. He also sent an additional email for which ADIDR's reply is expected. <u>Next Step</u> : Await response from ADIDR to requester's emails. Wait to received the \$250 check from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

5	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	1/31/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
6	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	1/31/14: [75% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.
7	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
8	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	1/31/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
9	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	1/30/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

10	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	2/3/14 [50% Complete] Still trying to communicate with (b)(5) POC. <u>Next Step:</u> Continue reviewing records. Continue trying to follow-up with (b)(5) POC.
11	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	1/30/14 [50% Complete] Completed SN. Continuing to draft DNs. <u>Next Step:</u> Complete the DN letters for the SN process. Receive signature for SN. Await records search response from TNT and Licensing divisions re "al-shurafa."
12	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	1/31/14 [10% complete] Preparing search requests (Director's Office and Congressional Liaison) for records responsive to bullets 3 and 4 of request's second page; 1/30/14 DO Ex.Asst verbally confirmed that she has no record of receiving a search request. <u>Next Step:</u> After emails are converted and organized, identify equities tentative for referral or submitter notice.
13	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	1/30/14 No action this week.
14	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	1/30/14 [20% Complete] No action taken. <u>Next Steps:</u> Review records to determine whether other SNs are required.
15	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	1/30/14 [15% Complete] No action taken. <u>Next step:</u> Review case.

Media Cases

Date Updated:

2/10/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	2/7/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step</u> : Complete HSBC determination notice for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	2/6/14 [60% Complete] No activity. <u>Next Steps</u> : Review case. Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DNs of Eli Lilly & Co and the 1,000 pgs of responsive records.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	2/7/14 [About 75% complete.] No action. <u>Next step</u> : Review case.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	2/7/14 [55% Complete] No action this week. <u>Next Step</u> : Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	2/6/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	2/7/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.

7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	2/6/14 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	2/7/14 [60% Complete] Submitted determination notices for review. <u>Next Step:</u> Mail DNS.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	2/7/14 No action this week.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	2/7/14 [60% complete] ADIDR brought outstanding records search to Licensing's attention on 1/31/14. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step:</u> Await records search response from Licensing.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	2/7/14 [0% complete] No action. (b)(6) brought search to (b)(6) attention (1/17). <u>Next Step:</u> Await records search response from Licensing.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	2/7/14 [0% Complete] No action (b)(6) brought this search to (b)(6) attention (1/17). Case similar to 2013-04-063. <u>Next Step:</u> Await records search response from Licensing.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	2/7/14 [10% Complete] No action (b)(6) brought this search to (b)(6) attention (1/17). Case reassigned to (b)(6) on 1/15/14. <u>Next Step:</u> Wait for Licensing records search response.

14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	2/7/14 [0% Complete] No action taken. Sent Licensing <i>search verification</i> request (1/17) based on search conducted in OASIS by (b)(6) <u>Next Steps:</u> Await search verification response from Licensing. Process records.
15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	2/7/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. Case assigned to (b)(6) <u>Next Steps:</u> Process case.

Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comite de Bienfaisance et de Secours aux Paletiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester
8	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/2013	Final response sent to requester on 1/6/14
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/14/2014	Final release sent to requester on 1/14/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	2/7/14 (b) (5) (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	2/7/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status **Report in process of being reconciled.**
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	2/6/14 [60% Complete] No activity. <u>Next Steps</u> : Review case. Need to process 1,000 pages which is the 11th interim response; and contact the AP (requester) that OFAC will finalize the FOIA. Continue working on DNs of Eli Lilly & Co and the 1,000 pgs of responsive records.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	2/7/14 [About 75% complete.] No action. <u>Next step</u> : Review case.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	2/7/14 [55% Complete] No action this week. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	2/6/14 [99% Complete] Case is complete. <u>Next Step</u> : Await receipt of check payment from requester prior to release.
5	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	2/7/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b) (5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

6	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	2/7/14 [75% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review. Less of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.
7	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
8	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	2/7/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
9	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	2/6/14 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release.
10	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	2/10/14 [50% Complete] Spoke with (b)(5) OC last week. OFAC will process all records and then consult with (b)(5) or agreement. <u>Next Step:</u> Continue reviewing records.
11	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	2/6/14 [50% Complete] Initial 8 DNs are complete and are being reviewed. <u>Next Step:</u> Draft one DN. Await submitter responses. Await review of additional SNs. Await records search response from TNT and Licensing divisions re "al-shurafa."

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/21/2014

12	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	2/7/14 [10% complete] (1) received Director's office records, still pending congressional liaison records; (2) also spoke with (b)(5) OIA line and confirmed that UN records are processable under the FOIA; 2/6/14 search request sent to (Director's Office and Congressional Liaison) for records responsive to bullets 3 and 4 of request's second page with due date of 2/21/14. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st interim response; then continue email processing.
13	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	2/7/14 No action this week.
14	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	2/6/14 [20% Complete] No action taken. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Review records to determine whether other SNs are required.
15	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	2/6/14 [15% Complete] Reviewing case. <u>Next step</u> : Determine if SN process is required.
16	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	2/7/14 [25% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Applying redactions to Licensing Database spreadsheet. <u>Next Step</u> : Continue to redact spreadsheet. Determine status of part B of the request.
17	2014-01-099	Erich C. Ferrari	Documents regarding the establishment of banking relationships between U.S. and Iranian financial institutions AND information regarding the establishment of a direct banking relationship between the United States and Iran.	Unassigned	1/30/2014	2/7/14 Case assigned to OFAC on 1/30/14. Request acknowledged via letter dated 2/6/14. Feedback on meaning of request sought from Licensing and Compliance via email dated 2/7/14, with response requested by 2/11/14. <i>Case placed on sensitive cases report due to existence of prior similar request currently still in search phase with Licensing.</i>

Media Cases

Date Updated:

2/18/2013

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	2/14/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step</u> : Complete HSBC determination notice for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	2/14/14 [60% Complete] ADIDR and analyst discussed strategy for release schedule. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	2/18/14 [About 75% complete.] No action. <u>Next step</u> : Review case.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	2/13/14 [55% Complete] No action this week. <u>Next Step</u> : Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	2/14/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	2/14/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	2/14/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)

8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	2/18/14 [60% Complete] No action. <u>Next Step</u> : Await DN review by ADIDR. Mail DNs.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	2/13/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	2/18/14 [60% complete] Received Licensing Division's search response. 2012-05-066 partially completes this case, released on 12/31/13. Scanned in Licensing records. <u>Next Step</u> : Process records.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	2/11/14 [0% complete] (b)(6) notified FOIA office that there are potentially over 10,000 responsive records. <u>Next Step</u> : Await records search response from Licensing.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	2/14/14 [0% Complete] Received Licensing Division's records search response. Scanned Licensing records. <u>Next Step</u> : Process records.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	2/14/14 [0% Complete] Received Licensing Division's records search response. Scanned Licensing records. <u>Next Step</u> : Process records.
14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	2/14/14 [0% Complete] No action taken. Sent Licensing <i>search verification</i> request (1/17) based on search conducted in OASIS by (b)(6). <u>Next Steps</u> : Await search verification response from Licensing. Process records.

15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	2/14/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. Case assigned to (b)(6) Next Steps: Process case.
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Closed Media Cases

Closed Media Cases								
		Date Updated:			1/6/2014		Started on 11/1/13	
No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Date Closed	Status
1	2011-07-090	Asjylyn Loder	Bloomberg LP	The OFAC database of licenses issued to companies from 1998-2008.	(b)(6)	9/27/2011	10/31/2013	Requester confirmed withdrawal of her request via email on 10/30/13 after we directed her to two spreadsheets on the website which were responsive to her request.
2	2008-05-020	Benoit Faucon	Dow Jones	Documents for designation of Comité de Bienfaisance et de Secours aux Palestiniens.	(b)(6)	7/2/2008	11/27/2013	11/27/2013 Case closed.
3	2013-09-147	Peter Wallsten	The Washington Post	Reports submitted before and after travel made by a trade-related mission that was led by and/or included Terence (Terry) McAuliffe to Cuba on 4/12/2010 - 4/15/2010.	(b)(6)	9/30/2013	12/12/2013	12/12/13 Final response sent to requester.
4	2013-08-145	Paige St. John	Los Angeles Times	Records related to issuance of license CT-18210 to "Californians Building Bridges" and any information on those who traveled under the license	(b)(6)	8/27/2013	12/20/2013	12/20/13 Emailed final response to requester.
5	2013-04-130	Joshua Partlow	Washington Post	Mahmood Karzai	(b)(6)	4/26/2013	12/24/2013	12/23/13 Placed final response into mail to go out to requester (will go out on 12/24/13).
6	2013-09-103	Michael Morisy	MuckRock News	Correspondence from OFAC and the Foreign Intelligence Surveillance Court (FISC) generated from January 1, 2001 to present.	(b)(6)	11/29/2013	12/30/2013	12/30/13 Final response emailed to requester. Case closed.
7	2013-08-068	Gene Siudut	La Gaceta Newspaper	Companies from City of Tampa or Hillsborough County that have licenses to travel to Cuba	(b)(6)	8/14/2013	1/3/2014	1/3/14 Final response sent to requester
8	2013-04-063	Yaman Salahi	Middle East Research and Information Project	Records related to the Syrian Support Group (SSG)	(b)(6)	4/17/2013	1/7/2013	Final response sent to requester on 1/6/14
9	2011-09-196	Mimi Whitfield	Miami Herald	Licenses regarding Cuban people to people exchanges	(b)(6)	9/26/2011	1/14/2014	Final release sent to requester on 1/14/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	2/18/14 [90% complete] No activity since plaintiff filed 2/10/10 reply to (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	2/14/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	2/14/14 [60% Complete] ADIDR and analyst discussed strategy for release schedule. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	2/18/14 [About 75% complete] No action. <u>Next step</u> : Review case.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	2/13/14 [55% Complete] No action this week. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	2/14/14 [100% Complete] Final response emailed and mailed to requester on 2/12/14. <u>Next Step</u> : Perform due diligence on case file prior to formal case closure.
5	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	2/18/14 [55% complete] No activity since case reassigned to (b)(6)) on 1/15. <u>Next Steps</u> : Review case (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b) (5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	2/18/11 [5% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.
7	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
8	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	2/14/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
9	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	2/14/14 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release.
10	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	2/18/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement. <u>Next Step:</u> Continue reviewing records.
11	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	2/14/14 [50% Complete] Senior FOIA Reviewer reviewed initial 8 DNs. Received Licensing search response. <u>Next Step:</u> Continue review and incorporation of SFR's edits to DNs. Draft more DNs. Await submitter responses. Await review of additional SN. Await records search response from TNT re "al-shurafa."

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

12	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	2/18/14 [10% complete] No activity since 2/12/14 email status request to former OFAC congr. liaison with cc to Sr. Advisor to Dep. Dir. to determine who will respond to search request on congressionals; recv'd dir. office records; 2/6/14 search request sent to (Director's Office and Congressional Liaison) for records responsive to bullets 3 and 4 of request's second page with due date of 2/21/14. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st interim response; then continue email processing.
13	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	2/13/14 [25% complete] No action this week. Next Steps: Continue to redact records. Once redactions complete determine which records need to be referred.
14	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	2/14/14 [20% Complete] Reviewed 80 pages of records. Determined SN process is required. Determined that there will be referrals. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Process records through SN and referral processes.
15	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	2/14/14 [15% Complete] Reviewing case. <u>Next step</u> : Determine if SN process is required.
16	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	2/18/14 [25% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Applying redactions to Licensing Database spreadsheet. <u>Next Step</u> : Continue to redact spreadsheet. Determine status of part B of the request. Phone call scheduled with requester to discuss scope of initial request.
17	2014-01-099	Erich C. Ferrari	Documents regarding the establishment of banking relationships between U.S. and Iranian financial institutions AND information regarding the establishment of a direct banking relationship between the United States and Iran.	Unassigned	1/30/2014	2/14/14 Feedback on meaning of request sought from Licensing and Compliance via email dated 2/7/14, with response requested by 2/11/14. Compliance responded on 2/10/14. <i>Case placed on sensitive cases report due to existence of prior similar request.</i> <u>Next Step</u> : Await feedback from Licensing to 2/7/14 email.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	2/24/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step</u> : Complete HSBC determination notice for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	2/21/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	2/21/14 [About 75% complete.] No action. <u>Next step</u> : Review case.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	2/21/14 [55% Complete] Mailed 9 DNs. <u>Next Step</u> : Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	2/21/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	2/21/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	2/21/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	2/21/14 [60% Complete] DNs mailed on 2/21. <u>Next Step</u> : Wait until after March 7th to make release.

9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	2/21/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	2/21/14 [60% complete] Scanned in Licensing records. 2012-05-066 partially completes this case, released on 12/31/13. Next Step: Process records.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	2/21/14 [0% complete] No action. Next Step: Await definition of "to do business with" from Licensing, and strategy for further narrowing of scope. Schedule phone call with requester.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	2/21/14 [0% Complete] No action. Next Step: Process records.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	2/21/14 [0% Complete] No action. Next Step: Process records.
14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	2/21/14 [0% Complete] No action taken. Sent Licensing <i>search verification</i> request (1/17) based on search conducted in OASIS by (b)(6). Next Steps: Await search verification response from Licensing. Process records.
15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	2/21/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. Next Steps: Process case.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	2/24/14 [90% complete] No activity since plaintiff filed 2/10/10 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	2/21/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	2/21/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	2/21/14 [About 75% complete] No action. <u>Next step</u> : Review case.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	2/21/14 [55% Complete] Mailed 9 DN's. <u>Next Step</u> : Continue to complete DN's and update case file.
4	2010-06-087	Scott Tarbutton	Documents relating to Dubai Islamic Bank	(b)(6)	7/7/2010	2/21/14 [100% Complete] Final response emailed and mailed to requester on 2/12/14. <u>Next Step</u> : Perform due diligence on case file prior to formal case closure.
5	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	2/24/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case. (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	2/24/14 [75% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.
7	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
8	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	2/21/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
9	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	2/21/14 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release.
10	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	2/21/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step:</u> Continue reviewing records.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

11	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	2/21/14 [50% Complete] Received call from representative of requester regarding status of case. Analyst responded. Senior FOIA Reviewer reviewed initial 8 DNs. <u>Next Step</u> : ADIDR to respond further to requester's status request. Continue review and incorporation of SFR's edits to DNs. Draft more DNs. Await submitter responses. Await review of additional SN. Await records search response from TNT re "al-shurafa." Prepare another billing sheet that covers cost of final release.
12	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	2/24/14 [10% complete] No activity since 2/12/14 email status request to former OFAC Congr. liaison with cc to Sr. Advisor to Dep. Dir. to determine who will respond to search request on congressionals; recv'd dir. office records; 2/6/14 search request sent to (Director's Office and Congressional Liaison) for records responsive to bullets 3 and 4 of request's second page with due date of 2/21/14. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st interim response; then continue email processing.
13	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	2/21/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
14	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	2/21/14 [20% Complete] Created a DN for Chase Manhattan. Drafted interim response letter. Working on pre-payment of cost letter. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Finish pre-payment of cost letter. Process records through SN and referral processes.
15	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	2/21/14 [15% Complete] No action. <u>Next step</u> : Determine if SN process is required.
16	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	2/21/14 [25% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Applying redactions to Licensing Database spreadsheet. <u>Next Step</u> : Continue to redact spreadsheet. Determine status of part B of the request. Phone call scheduled with requester to discuss scope of initial request.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

17	2014-01-099	Erich C. Ferrari	Documents regarding the establishment of banking relationships between U.S. and Iranian financial institutions AND information regarding the establishment of a direct banking relationship between the United States and Iran.	Unassigned	1/30/2014	2/21/14 [0% Complete] Feedback on meaning of request received from Licensing via ADIDR. <i>Case placed on sensitive cases report due to existence of prior similar request.</i> <u>Next Step</u> : Schedule phone call with requester and Licensing and Compliance representatives to clarify request.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	2/28/14 [About 90% complete] Third interim release is pending ADID review. <u>Next step</u> : Complete HSBC determination notice for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	2/28/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	2/28/14 [About 75% complete.] No action. <u>Next step</u> : Review case.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	2/25/14 [55% Complete] Mailed 7 DNs. <u>Next Step</u> : Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	2/28/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	2/28/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	2/28/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)

8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	2/27/14 [60% Complete] Returned one submitter's phone call and discussed SN process. <u>Next Step</u> : Wait until after March 7th to make release.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	2/28/14 [25% complete] No action this week. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	2/28/14 [60% complete] No action. 2012-05-066 partially completes this case, released on 12/31/13. <u>Next Step</u> : Process records.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	2/28/14 [0% complete] No action. <u>Next Steps</u> : Await definition of "to do business with" from Licensing, and strategy for further narrowing of scope. Schedule phone call with requester.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	2/28/14 [0% Complete] No action. <u>Next Step</u> : Process records.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	2/27/14 [0% Complete] Per ADIDR, analyst sought information from Licensing SME. <u>Next Step</u> : Draft a "no records" final response.
14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	2/28/14 [20% Complete] Received search verification form from Licensing on 2/28/14. <u>Next Steps</u> : Case to be assigned to new analyst.

15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	2/28/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	2/28/14 [90% complete] No activity since plaintiff filed 2/10/10 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	2/28/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	2/28/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	2/28/14 [About 75% complete] No action. <u>Next step</u> : Review case.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	2/25/14 [55% Complete] Mailed 7 DNs. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	2/28/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	2/28/14 [75% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be after 2/16/13.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	2/28/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	2/28/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	2/28/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement (b)(5). Estimates it will take about a year for them to complete the consultation. <u>Next Step</u> : Continue reviewing records.
10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	2/21/14 [50% Complete] Received call from representative of requester regarding status of case. Analyst responded. Senior FOIA Reviewer reviewed initial 8 DNs. <u>Next Step</u> : ADIDR to respond further to requester's status request. Continue review and incorporation of SFR's edits to DNs. Draft more DNs. Await submitter responses. Await review of additional SN. Await records search response from TNT re "al-shurafa." Prepare another billing sheet that covers cost of final release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	2/28/14 [10% complete] Received no records response from Sr. Advisor re congressional records however notes there are OASIS records; FOIA to follow up with POC regarding responsive records. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st ; then continue email processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	2/28/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	2/28/14 [20% Complete] Completed DN for Chase Manhattan Bank/JPMorgan Chase & Co. and submitted it for review. Incorporated SFR edits into prepayment of cost letter. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Continue to process the records, including the 84 pages of records received from Licensing; wait to receive the signed prepayment of cost letter and the signed DN for JP Morgan Chase Co.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	2/28/14 [15% Complete] No action. <u>Next step</u> : Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	2/28/14 [25% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Applying redactions to Licensing Database spreadsheet. Conference call held on 2/26/14 with Requester, FOIA Office and SMEs from Compliance and Enforcement to clarify part B of request. Part B of request narrowed to seek only cautionary letters issued for 6 programs over the course of 1 year. <u>Next Step</u> : Continue to redact spreadsheet. Get feedback from Enforcement and Compliance SMEs as to the feasibility of processing part B of the request as narrowed in 2/26/14 phonecall.
16	2014-01-099	Erich C. Ferrari	Documents regarding the establishment of banking relationships between U.S. and Iranian financial institutions AND information regarding the establishment of a direct banking relationship between the United States and Iran.	Unassigned	1/30/2014	2/28/14 [0% Complete] Conference call held with requester, FOIA Office and SMEs from Compliance and Licensing to clarify request. Licensing SME explained to requester that we do not have the records he is seeking, apart from an application submitted by the requester himself. Requester agreed to withdraw the FOIA request. <u>Next Step</u> : Await formal withdrawal of FOIA request by requester.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	3/5/14 [About 75% complete] Third interim release went out via email and UPS. <u>Next step:</u> Complete HSBC determination notice for ADID review; prepare (b)(5) docs for discretionary release review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	3/7/14 [60% Complete] No action. <u>Next Steps:</u> Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	3/6/14 [About 75% complete] Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for consultation before final release.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	3/8/14 [55% Complete] Generated more determination notices. <u>Next Step:</u> Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	3/7/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	To be reassigned	8/26/2011	3/7/14 [35% Complete] No action taken. <u>Next Step:</u> Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	3/7/14 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin submitter notice process and make (b)(5)

8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	3/10/14 [60% Complete] No action. <u>Next Step</u> : Send out follow-up letter to DN to one submitter.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	3/7/14 [25% complete] No action this week. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	3/6/14 [60% complete] Case assigned to new analyst. <u>Next Step</u> : Prepare interim release for review with records responsive to 2012-05-066, which partially completes this case.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	3/6/14 [0% complete] Scheduled phone call with Licensing SMEs. Contacted requester to confirm availability. <u>Next Steps</u> : Receive confirmation from requester to proceed with phone call scheduled with Licensing SMEs and FOIA on 3/11.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	3/6/14 [30% Complete] Case assigned to new analyst. Sent redacted records and draft letter for review. <u>Next Step</u> : Make appropriate changes to the records and draft response letter for review.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	3/10/14 [0% Complete] Drafting "no records" response. <u>Next Step</u> : Submit case for QA review.

14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	3/5/14 [75% Complete] Case assigned to new analyst, (b)(6) Redacted records and drafted final response. Forwarded them for QA review. <u>Next Steps</u> : Make edits, as necessary, to records and response letter.
15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	3/7/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	3/7/14 [90% complete] No activity since plaintiff filed 2/10/10 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	3/7/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. (b)(5) (b)(5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	3/7/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	3/6/14 [About 75% complete] Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	3/8/14 [55% Complete] Generated more determination notices. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	3/7/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case. (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	3/7/14 [75% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review. Less of records referred on 4/11/2012; [N processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	To be reassigned	8/26/2011	3/7/14 [35% Complete] No action taken. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	3/7/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	3/6/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement (b)(5). Estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	3/7/14 [60% Complete] Most recent SN was reviewed by Senior Reviewer and is awaiting final signature. Received letter from requester (3/5) to which ADIDR responded via phone call (3/7). Received "no records" response from EHM (3/6). Sent pre-payment letter to requester for the processing of final response. <u>Next Steps</u> : Complete DN process. Wait to receive payment from requester. Process final response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	2/28/14 [10% complete] Received no records response from Sr. Advisor re congressional records however notes there are OASIS records; FOIA to follow up with POC regarding responsive records. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st ; then continue email processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	3/7/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	3/7/14 [20% Complete] Waiting for signatures on the DN and pre-payment of cost letters. Made redactions to records to be included in interim release. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Process interim responses. Mail out DN and pre-payment of cost letters.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	3/7/14 [15% Complete] No action. <u>Next step</u> : Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	3/6/14 [50% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Applying redactions to Licensing Database spreadsheet. <u>Next Step</u> : Continue to redact spreadsheet.
16	2014-01-099	Erich C. Ferrari	Documents regarding the establishment of banking relationships between U.S. and Iranian financial institutions AND information regarding the establishment of a direct banking relationship between the United States and Iran.	Unassigned	1/30/2014	3/4/14 [100% Complete] Case closed after receipt of withdrawal email correspondence from requester on 3/3/14.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	3/5/14 [About 75% complete] Third interim release went out via email and UPS. <u>Next step</u> : Complete HSBC determination notice for ADID review; prepare COMPL and ENF docs for discretionary release review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	3/14/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	3/14/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) or consultation before final release.
4	2010-03-086	Steve Stecklow	Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	3/11/14 [55% Complete] Generated more determination notices. Mailed 6 DNs and 1 SN. <u>Next Step</u> : Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	3/14/14 [30% Complete] No action taken. <u>Next Step</u> : Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	3/11/14 [35% Complete] Assigned to analyst. <u>Next Step</u> : Submitter Notice process needs to be completed.

7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	3/14/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	3/13/14 [60% Complete] Contacted submitter and discussed SN process (3/11). Received objections from another submitter (3/13). <u>Next Step</u> : Send out follow-up letter to DN to one submitter.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	3/14/14 [25% complete] No action this week. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	3/13/14 [75% complete] Interim release response pending signature. <u>Next Step</u> : Complete review of remaining records and prepare final interim release response for review.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	3/11/14 [0% complete] Phone conference held with requester, FOIA Office, and Licensing Officer. <u>Next Steps</u> : Await narrowed request from requester.
12	2013-12-056	Stephen Fee		Original application, supporting documents, and monthly transaction reports of the Syrian Support Group, granted license number SY-2012-294747-1.	(b)(6)	12/17/2013	3/13/14 [100% Complete] Final response mailed on 3/14/14.
13	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	3/10/14 [0% Complete] Began drafting "no records" response. <u>Next Step</u> : Complete final response and submit case for QA review.
14	2013-12-095	Paige St. John	Los Angeles Times	Application for license CT-16606	(b)(6)	12/30/2013	3/13/14 [100% Complete] Final response mailed on 3/14/14.

15	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	3/14/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	3/14/14 [90% complete] No activity since plaintiff filed 2/10/10 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	3/14/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	3/14/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	3/14/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	3/11/14 [55% Complete] Generated more determination notices. Mailed 6 DNs and 1 SN. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	3/14/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case, (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	3/14/14 [55% complete] No activity since 12/16/13 status update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive (b)(5) t consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or after 2/16/13.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	3/11/14 [35% Complete] Assigned to analyst. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	3/14/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	3/14/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	3/14/14 [60% Complete] Two DNs sent out. Six DNs await final signature. <u>Next Steps</u> : Await check from requester. Await SR. Complete DN process. Wait to receive payment from requester. Process final response.
11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	3/14/14 [10% complete] No action. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st interim response; then continue email processing.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	3/14/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	3/14/14 [20% Complete] Waiting for signatures on the DN and pre-payment of cost letters. Made redactions to records to be included in interim release. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps:</u> Process interim responses. Mail out DN and pre-payment of cost letters.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	3/14/14 [15% Complete] No action. <u>Next step:</u> Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	3/14/14 [50% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Applying redactions to Licensing Database spreadsheet. Received modified request language for Part B of initial request. FOIA Office spoke with requester re willingness to pay on 3/13. <u>Next Step:</u> Continue to redact spreadsheet. Draft and send willingness to pay letter. Await responsive documents from Compliance and Enforcement.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	3/21/14 [About 75% complete] No action. <u>Next step:</u> Complete HSBC determination notice for ADID review; prepare COMPL and ENF docs for discretionary release review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	3/21/14 [60% Complete] No action. <u>Next Steps:</u> Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	3/21/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for consultation before final release.
4	2010-03-086	Steve Stecklow	The Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	3/21/14 [55% Complete] Generated more determination notices. Mailed 9 DNs. <u>Next Step:</u> Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	3/21/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	3/21/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step:</u> Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	3/21/14 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin subm (b)(5) and make (b)(5)

8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	3/21/14 [60% Complete] No action. <u>Next Step</u> : Send out follow-up letter to DN to one submitter.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	3/21/14 [25% complete] No action this week. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	3/21/14 [90% complete] Mailed determination notice. Reviewed remaining records. <u>Next Step</u> : Once Submitter replies or ten day response window expires, draft final response and submit for initial review.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	3/21/14 [0% complete] No action. <u>Next Steps</u> : Contact requester to get status on modified request language which was promised during 3/11/14 phone conference.
12	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	3/21/14 [0% Complete] (b)(6); complete drafting of "no records" response. <u>Next Step</u> : Complete final response and submit case for QA review.
13	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	3/21/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.

14	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	3/21/14 [0% Complete] Received feedback from Licensing on how to proceed with request on 3/20/14. <u>Next Steps</u> : Acknowledge request. Task Licensing with search.
15	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	Unassigned	3/18/2014	3/24/14 [0% Complete] Requester returned ADIDR's 3/21/14 phone call on 3/24. Request has been modified. <u>Next Steps</u> : Confirm understanding of modified request. Seek spreadsheet from SSD. Assign case to analyst.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	3/21/14 [90% complete] No activity since plaintiff filed 2/10/10 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	3/21/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	3/21/14 [60% Complete] No action. <u>Next Steps</u> : Prepare a release of no more than 1,000 pages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	3/21/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	3/21/14 [55% Complete] Generated more determination notices. Mailed 9 DNs. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6) (b)(5)	7/29/2010	3/21/14 [55% complete] No activity since case reassigned to (b)(6) on 1/15. <u>Next Steps</u> : Review case (b)(5) need to be reviewed. Remaining 383 uncls documents need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and (b)(5) (b)(5)
5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	3/24/14 [75% complete] Sent status request to (b)(5) 3/24. 12/16/13 update email from (b)(5) records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: ssing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or 5/15/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	3/21/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	3/21/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	3/21/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	3/21/14 [60% Complete] Received demand letter from requester's firm, objecting to the withholding of certain information in first interim release. (b) (5) (b) (5) (b) (5) <u>Next Steps</u> : Mail out DNs. Wait to receive payment from requester. Process final response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	3/21/14 [10% complete] Analyst pulled the congressional records from OASIS as part of search verification. <u>Next Step</u> : Prepare (b)(5) and review Dep Director and Director records for 1st interim response; then continue email processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	3/21/14 [25% complete] No action this week. Next Steps: Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	3/21/14 [20% Complete] Waiting for signatures on the DN and pre-payment of cost letters. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Process interim responses. Mail out DN and pre-payment of cost letters.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	3/21/14 [15% Complete] No action. <u>Next step</u> : Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	3/21/14 [50% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Received documents from Compliance responsive to Part B of request. Drafted willingness to pay letter. <u>Next Step</u> : Continue to redact spreadsheet. Send willingness to pay letter. Review cautionary letters responsive to Part B of request.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	3/28/14 [About 75% complete] No action. <u>Next step:</u> Complete HSBC determination notice for ADID review; prepare COMPL and ENF docs for discretionary release review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	3/28/14 [60% Complete] No action. <u>Next Steps:</u> Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	3/28/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for more final release.
4	2010-03-086	Steve Stecklow	The Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	3/28/14 [55% Complete] Generated more determination notices. Mailed 6 DNs. <u>Next Step:</u> Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	3/28/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	3/28/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step:</u> Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	3/28/14 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin submitter notice process and make (b)(5)

8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	3/28/14 [60% Complete] No action. <u>Next Step</u> : Send out follow-up letter to DN to one submitter.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	3/27/14 [25% complete] Updated (b)(6) on status of OFAC pro <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	3/28/14 [90% complete] Mailed determination notice (3/19). Reviewed remaining records. <u>Next Step</u> : Once Submitter replies or ten day response window expires on 4/4/14, draft final response and submit for initial review.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	3/25/14 [0% complete] ADIDR emailed requester seeking scope modification by COB 3/28/14. <u>Next Steps</u> : Update Licensing on modified request in order to direct search response.
12	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	3/28/14 [95% Complete] (b)(6) drafted "no records" final response and submitted it for review. <u>Next Step</u> : Make edits to final response and submit case for final review.
13	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	3/28/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.

14	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	3/27/14 [0% Complete] Acknowledged request (3/25). Tasked Licensing with records search (3/26) (b)(6) met with (b)(6) to discuss Licensing's interpretation of request (3/27). <u>Next Steps:</u> Await records search response from Licensing.
15	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	Unassigned	3/18/2014	3/26/14 [0% Complete] Acknowledged request and modification. <u>Next Steps:</u> Seek spreadsheet from SSD. Assign case to analyst. Issue interim release.
16	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	Unassigned	3/21/2014	3/28/2014 [0% complete] OFAC received official case assignment on 3/25/14. Acknowledged request on 3/27/14. Tasked CNW and EHM with records search on 3/28/14. <u>Next Step:</u> Await records search responses from CNW and EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	3/28/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	3/28/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. Response is expected in a month or so. (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	3/28/14 [55% complete] per 3/25 mtg with ADIDR and SrFR, SrFR to review redactions (approx. 383 (b)(5) pgs) prepared by prior analyst. <u>Next Steps</u> : Analyst continue to review prior case history and organize electronic records. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	3/28/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	3/28/14 [55% Complete] Generated more determination notices. Mailed 6 DNs. <u>Next Step</u> : Continue to complete DNs and update case file.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	3/28/14 [55% complete] Per 3/25 mtg with ADIDR and SFR, SFR to review redactions (approx. 383 (b)(5) pgs) prepared by prior analyst. <u>Next Steps</u> : Analyst continue to review prior case history and organize electronic records. (b)(5) need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and referral letters.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	3/28/14 [75% complete] Per 3/25 mtg with ADIDR and SFR, obtained (b)(5) contact information for ADIDR to speak with on status of consultation; No response to date (3/28) re email status request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or after 5/15/14.
6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	3/28/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	3/28/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	3/28/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	3/28/14 [60% Complete] Received check payment and additional correspondence from requester. Received a submitter response (3/24). <u>Next Steps</u> : Complete the SN process and sent out the final response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	3/28/14 [10% complete] (b) (5) (b) (5) (b) (5) <u>Next Step:</u> Meet again with ADIDR on pending issue, review Dep Director and Director records for 1st interim response; and continue email processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	3/27/14 [25% complete] (b) (5) (b) (5)
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	3/28/14 [20% Complete] No action. 3/21/14 status: Waiting for signatures on the DN and pre-payment of cost letters. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps:</u> Process interim responses. Mail out DN and pre-payment of cost letters.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	3/28/14 [15% Complete] No action. <u>Next step:</u> Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	3/31/14 [50% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . Sent willingness to pay letter to requester (3/25). Requester emailed his willingness to pay the estimated fees (3/25). Received additional cautionary letters from Compliance (3/25). Began review of Enforcement documents (3/26). Received remaining cautionary letters from Enforcement (3/31). <u>Next Step:</u> Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	4/7/14 [About 75% complete] No action. <u>Next step:</u> Complete HSBC determination notice for ADID review; prepare COMPL and ENF docs for discretionary release review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	4/7/14 [60% Complete] No action. <u>Next Steps:</u> Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	4/7/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for more final release.
4	2010-03-086	Steve Stecklow	The Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	4/3/14 [80% Complete] Generating remaining determination notices. Approx 32 more DNs to complete. Estimate case completion 1st week of May. <u>Next Step:</u> Continue to complete DNs and update case file.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	4/7/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	4/7/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step:</u> Submitter Notice process needs to be completed.

7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	4/7/14 [20% Complete] No action taken. <u>Next Steps:</u> Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	4/7/14 [60% Complete] Working on follow-up determination notice. <u>Next Step:</u> Send out follow-up letter to DN to one submitter. Draft final response.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	04/01/14 [25% complete] Continued to process records through page 151. <u>Next Steps:</u> Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	4/4/14 [90% complete] Submitter has not responded to determination notice and 10 day response time window elapsed on 4/4/2014. <u>Next Step:</u> Draft final response and submit for review.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	Unassigned	11/25/2013	4/1/14 [0% complete] Tasked Licensing with modified search, with response due by 4/16/14. <u>Next Steps:</u> Await records search response from Licensing.
12	2013-12-091	Paige St. John	Los Angeles Times	Copies of individual travel logs for trips to Cuba with California Building Bridges under License CT-18210	(b)(6)	12/30/2013	4/1/14 [100% Complete] No Records final response sent to requester.

13	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	4/7/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.
14	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	4/4/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
15	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	4/4/14 [0% Complete] Case assigned to (b)(6)(b)(6) conducted search on 4/3 and formatted resulting spreadsheet to respond directly to the first part of the request. <u>Next Steps</u> : Process spreadsheet.
16	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	Unassigned	3/21/2014	4/4/2014 [0% complete] Meeting planned for 4/9 to discuss narrowing and search. Tasked Director, Dep Director, Policy, John Smith, (b)(5) (b)(5) with records search (4/3). <u>Next Step</u> : Meeting on 4/9 with FOIA Office and division reps to discuss narrowing and records search.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

Litigation Cases						
Date Updated: 4/7/2014						
No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6) (b)(6)	(b)(6)	2/19/2008	4/4/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	4/3/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. Response is expected in a month or so. (b)(5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	4/7/14 [55% complete] per 3/25 mtg with ADIDR and SrFR, SrFR to review redactions (approx. 383 (b)(5) pgs) prepared by prior analyst. <u>Next Steps:</u> Analyst continue to review prior case history and organize electronic records. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	4/7/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	4/03/14 [80% Complete] Generating remaining determination notices. Approx 32 more DNs to complete. Estimate case completion 1st week of May. <u>Next Step:</u> Continue to complete DNs and update case file.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	4/4/14 [55% complete] (On hold due to Priority Sensitive Case) No activity since 3/28/14 - per 3/25 mtg with ADIDR and SrFR, SrFR to review redactions (approx. 383 (b)(5) pgs) prepared by prior analyst. <u>Next Steps:</u> Analyst review prior case history and organize electronic records. (b)(5) need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and referral letters.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	4/4/14 [75% complete] No activity since 3/28/14 - per 3/25 mtg with ADIDR and SrFR, obtained (b)(5) contact information for ADIDR to speak with on status of consultation; No response to date (3/28) re email status request to (b)(5) (on 3/24) re records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps:</u> Receive response to last consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or 5/15/14.
6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	4/7/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step:</u> Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	4/7/14 [40% Complete] No action taken. <u>Next Step:</u> Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	4/7/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step:</u> Continue reviewing records.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	4/7/14 [80% Complete] Received responses to determination notices from submitters, which will require a response. Final response is almost ready to go, pending response to submitters. <u>Next Steps</u> : Complete the SN process and sent out the final response.
11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	3/28/14 [10% complete] Per 3/25 mtg with ADIDR and SFR, ADIDR noted (b)(5) <u>Next Step</u> : Meet again with ADIDR on pending issue, review Dep Director and Director records for 1st interim response; and continue email processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	04/01/14 [25% complete] Continued to process records through page 151. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	4/7/14 [20% Complete] Determined that SN process is necessary for 7 submitters. Determined that (b)(5) is necessary. <i>Case appealed by letter received o</i> <u>Next Steps</u> : Mail out DN and pre-payment of cost letters once signed.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	4/7/14 [15% Complete] No action. <u>Next step</u> : Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	4/7/14 [50% Complete] <i>Case placed on sensitive cases report because it has been appealed (2/6/14)</i> . No action since 3/26, when analyst began review of Compliance documents. Received remaining cautionary letters from Enforcement (3/31). <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
16	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	Unassigned	1/28/2014	4/4/14 [0% Complete] <i>Case placed on sensitive cases report because it was appealed (3/31/14)</i> . ADIDR emailed (b)(6) requesting responsive records on 4/3/14. ADIDR provided guidance to (b)(6) on search. <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

17	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	4/4/14 [0% Complete] Email from one officer containing some responsive records (4/4). Case assigned to (b)(6) (4/3). Next Steps: After records are received, prepare 1st interim of (b) (5) release set (with redacted evidentiary) and prepare (b) (5) (b)(5) for the rest.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	4/14/14 [About 80% complete] No action. <u>Next step:</u> Complete HSBC determination notice for ADID review; prepare COMPL and ENF docs for discretionary release review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	4/11/14 [60% Complete] No action. <u>Next Steps:</u> Prepare a release of no more than 1,000 pages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	4/14/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for consultation before final release.
4	2010-03-086	Steve Stecklow	The Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	4/9/14 [80% Complete] Sent out 1 SN and 12 DNs. Estimate case completion 1st week of May. <u>Next Step:</u> Wait to see if we receive any unforeseen objections and if any of the Submitters plan to seek injunctive relief.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	4/11/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	4/14/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step:</u> Submitter Notice process needs to be completed.

7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	4/11/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	4/14/14 [60% Complete] Drafting follow-up determination notice. <u>Next Step</u> : Send out follow-up letter to DN to one submitter. Draft final response.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	04/14/14 [25% complete] No action since 4/1/14 update: Continued to process records through page 151. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-08-049	Jim McElhatton	Federal Times	Correspondence between OFAC and the Institute of Electrical and Electronics Engineers (IEEE)	(b)(6)	8/9/2013	4/10/14 [100% complete] Partial grant final response sent to requester. Case closed.
11	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	4/11/14 [0% complete] Assigned to (b)(6) for processing. <u>Next Steps</u> : Await records search response from Licensing, due 4/16/14.
12	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	4/14/14 [0% Complete] No action. ADIDR spoke with requester and further modified the scope on 1/28/14. Received spreadsheet of responsive Licensing Database information from SSD on 1/28/14. <u>Next Steps</u> : Process case.
13	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	4/11/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.

14	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	4/14/14 [0% Complete] No action. Case assigned to (b)(6) (b)(6) conducted search on 4/3 and formatted resulting spreadsheet to respond directly to the first part of the request. <u>Next Steps</u> : Process spreadsheet.
15	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	4/11/2014 [0% complete] Provided guidance to Policy on search. 4/10/14: Case assigned to (b)(6) ADIDR sent out update to search request per 4/9 meeting, reinitiating search. 4/9/14: Meeting held to discuss the request and search scope with Dep Dir and members of FOIA, OCC, OGT, Policy, Reg Affairs, and RM. <u>Next Step</u> : Await search results from divisions due by April 24th.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	4/11/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	4/14/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. Response is expected in a month or so. (b)(5) (b)(5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	4/11/14 [55% complete] per 3/25 mtg with ADIDR and SrFR, SrFR to review redactions (approx. 383 (b)(5) pgs) prepared by prior analyst. <u>Next Steps</u> : Analyst continue to review prior case history and organize electronic records. (b)(5) need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and referral letters.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	4/14/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) or consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	4/9/14 [80% Complete] Sent out 1 SN and 12 DNs. Estimate case completion 1st week of May. <u>Next Step</u> : Wait to see if we receive any unforeseen objections and if any of the Submitters plan to seek injunctive relief.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6) (b)(5)	7/29/2010	4/8/14 [55% complete] (b)(5) (b)(5) (b)(5) re-review of records is needed. <u>Next Steps</u> : (On hold due to Priority Sensitive Case) Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and referral letters.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	4/14/14 [75% complete] 4/7: Sent email reminder to ADIDR about the contact information of (b)(5) to speak with on status of consultation emailed on 3/25; No response to date (4/14) re email status request to (b)(5) on 3/24) re records still in cue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or 5/15/14.
6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	4/14/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	4/11/14 [40% Complete] No action taken. <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	4/14/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	4/11/14 [100% Complete] Final response emailed on 4/10/14 and sent via UPS on 4/11/14. <u>Next Steps</u> : Complete clean-up of electronic and paper files. Closeout case in systems.
11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	4/11/14 [10% complete] No activity since per 3/25 mtg with ADIDR and SrFR, ADIDR (b)(5) (b)(5) <u>Next Step</u> : Meet again with ADIDR on pending issue, review Dep Director and Director records for 1st interim response; and continue email processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	4/14/14 [25% complete] No action since 4/1/14 update: Continued to process records through page 151. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	4/11/14 [20% Complete] No action. Determined that SN process is necessary for 7 submitters. Determined that (b)(5) is necessary. <i>Case appealed by letter received on 2/6/14.</i> <u>Next Steps</u> : Mail out DN and pre-payment of cost letters once signed.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	4/11/14 [15% Complete] No action. <u>Next step</u> : Determine if SN process is required.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	4/14/14 [50% Complete] Continued applying redactions to spreadsheet responsive to Part A of the request. <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
16	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	4/14/14 [0% Complete] No action. Case assigned to (b)(6) on 4/7/14. <i>Case placed on sensitive cases report because</i> led (3/31/14). <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

17	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	4/10/14 [0% Complete](b) (5)
						<div>(b) (5)</div> <div>(b) (5)</div> <div>Next Steps: after records received from OGT, prepare 1st interim of (b) (5) release set (with redacted evidentiary) and prepare (b)(5) for the rest.</div>

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	4/16/14 [About 80% complete] Circulated final set of COMPL records for discretionary release review. <u>Next step:</u> Review HSBC DN with ADIDR week of 4/21. Receive response from COMPL and prepare records for release. Transmit DN to HSBC.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	4/18/14 [55% complete] Preparing 1000-page release which will be the 11th interim response. <u>Next Steps:</u> Continue preparing 11th interim release.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	4/21/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for consultation before final release.
4	2010-03-086	Steve Stecklow	The Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	4/18/14 [80% Complete] No action since 4/9 status update: Sent out 1 SN and 12 DNs. Estimate case completion 1st week of May. <u>Next Step:</u> Wait to see if we receive any unforeseen objections and if any of the Submitters plan to seek injunctive relief.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	4/18/14 [30% Complete] No action taken. <u>Next Step:</u> Continue processing the records. Determine if records will require the SN process.

6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	4/21/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	4/18/14 [20% Complete] No action taken. <u>Next Steps</u> : Process the records, begin submitter notice process and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	4/21/14 [60% Complete] Submitted follow-up determination notice for QA review. <u>Next Step</u> : Mail out follow-up DN. Projected release date/case close date is 5/2/14.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	04/14/14 [25% complete] Continuing review of document through page 229. 4/10: Sought input from individuals in OFAC and TFFC concerning names. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
10	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	4/18/14 [0% complete] (b)(6) requested status of search. <u>Next Steps</u> : Await records search response from Licensing, due 4/16/14.
11	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	4/21/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
12	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	4/18/14 [0% Complete] (b)(6) requested status of search. <u>Next Steps</u> : Await records search response from Licensing.
13	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	4/16/14 [0% Complete] No action. <u>Next Steps</u> : Process spreadsheet.

14	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	4/21/2014 [0% complete] Receiving responsive records. <u>Next Step</u> : Await search results from divisions due by April 24th.
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	4/18/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	4/14/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. Response is expected in a month or so (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	4/18/14 [55% complete] Preparing 1000-page release which will be the 11th interim response. <u>Next Steps</u> : Continue preparing 11th interim release.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	4/21/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	4/18/14 [80% Complete] No action since 4/9 status update: Sent out 1 SN and 12 DNs. Estimate case completion 1st week of May. <u>Next Step</u> : Wait to see if we receive any unforeseen objections and if any of the Submitters plan to seek injunctive relief.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6) (b)(6)	7/29/2010	4/18/14 [55% complete] No activity since 4/8 SrFR review of first 150 pages of 383 (b)(5) records prepared by prior analyst, determined most are non-responsive and redactions need significant corrections; re-review of records is needed. <u>Next Steps</u> : (On hold due to Priority Sensitive Case) Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	4/18/14 [75% complete] (b)(5) No activity since 4/7/14 email reminder to ADIDR about the contact information of (b)(5) (b)(5) to speak with on status of consultation emailed on 3/25; No response to date (3/28) re email status request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) (b)(5) process final release. Next status request will be on or around 5/15/14.
6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	4/21/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	4/14/14 [40% Complete] Case assigned to (b)(6) (4/14). <u>Next Step</u> : Work to complete second interim release.
9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	4/21/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) for agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
10	2012-10-112	Elise Milne	Records relating to Bank of China and Al-Surafa	(b)(6)	10/16/2012	4/15/14 [100% Complete] Case closed. Final response emailed on 4/10/14 and sent via UPS on 4/11/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

11	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	4/21/14 [10% complete] 4/21/14: Reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails; <u>Next Step</u> : Meet again with ADIDR on pending issue, review Dep Director and Director records for 1st interim response; and continue email-processing.
12	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	04/14/14 [25% complete] Continuing review of document through page 229. 4/10: Sought input from individuals in OFAC and TFFC concerning names. <u>Next Steps</u> : Continue to re-redact records. Once redactions complete determine which records need to be referred.
13	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	4/18/14 [20% Complete] Sent out determination notice and prepayment of cost letter. <u>Next Steps</u> : Await check payment from requester.
14	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	4/18/14 [15% Complete] Scanned records. Discussed the case with ADIDR and determined that we need to go back to the divisions with additional search. <u>Next step</u> : Continue to review records. Go back to the divisions re search.
15	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	4/21/14 [50% Complete] Continued applying redactions to spreadsheet responsive to Part A of the request. <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
16	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	4/21/14 [0% Complete] No action. Case assigned to (b)(6) on 4/7/14. <i>Case placed on sensitive cases report because</i> (b)(6) <i>led</i> (3/31/14). <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

17	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	4/16/14 [0% Complete] 4/16/14: Completed records referral matrix and started redactions of evidentiary for proposed copy release in first interim package ; (b) (5) (b) (5) (b) (5) (b) (5) Next Steps: Continue preparing (b) (5) materials for 1st interim; prepare (b)(5) and process remaining records as needed.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	4/30/14 [About 80% complete] Completed entering Compliance edits and returned them for final review. <u>Next step:</u> Receive final feedback from Compliance and issue 4th interim release. Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	4/30/14 [55% complete] Case assigned to (b)(6) <u>Next Step:</u> determination notices for the final 1,000 pages of the 11th interim release.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	5/2/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step:</u> Numerous pages will need to be (b)(5) for consultation before final release.
4	2010-03-086	Steve Stecklow	The Wall Street Journal	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran and institutions that have filed reports on rejected fund transfers inv	Marshall Fields	4/7/2010	4/30/14 [100% Complete] Case closed. Final response emailed and mailed to requester on 4/25/14.
5	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	5/2/14 [30% Complete] Determined that SN process will be required. Organize records to begin SN process. <u>Next Step:</u> Continue processing the records.
6	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	5/2/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step:</u> Submitter Notice process needs to be completed.

7	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	5/2/14 [20% Complete] Getting enclosures together for the submitter notice process. <u>Next Steps:</u> Process the records and make (b)(5)
8	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	5/2/14 [60% Complete] Sent out follow-up determination notice. Began drafting final response. <u>Next Step:</u> Submit final response for review and signature.
9	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	5/2/14 (b) (5) (b) (5)
10	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	4/28/14 [0% complete] Licensing provided update stating that search will begin next week. <u>Next Steps:</u> Await records search response from Licensing.
11	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	5/2/14 [0% Complete] No action. <u>Next Steps:</u> Process case.
12	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	4/28/14 [0% Complete] Received update on search from Licensing. Licensing expects to provide search response on 6/27/14. <u>Next Steps:</u> Await records search response from Licensing.
13	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	5/2/14 [0% Complete] Case assigned to (b)(6) on 4/25. Reviewed case file and record. <u>Next Step:</u> Discuss history of case with (b)(5)
14	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	5/2/2014 [0% complete] Awaiting search responses from divisions. <u>Next Step:</u> Await search results from divisions due by April 24th.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	5/2/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	5/2/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. Response is expected in a month or so (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	4/30/14 [55% complete] Case assigned to (b)(6) ext Step: Prepare determination notices for the final release. the 11th interim release.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	5/2/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. Next step: Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-03-086	Steve Stecklow	Information regarding financial institutions that have filed reports with OFAC on blocked property belonging to Iran	Marshall Fields	4/7/2010	4/30/14 [100% Complete] Case closed. Final response emailed and mailed to requester on 4/25/14.
4	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	5/2/14 [55% complete] No activity since 4/8 SrFR review of first 150 pages of 383 (b)(5) records prepared by prior analyst, determined most are non-responsive and redactions need significant corrections; re-review of records is needed. Next Steps: (On hold due to Priority Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed. (b)(5) total of 1,248 documents to be reviewed and referral letters.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	5/2/14 [75% complete] (b)(5) No activity since 4/24/14 mtg with ADIDR & SrFR and ADIDR would contact (b)(5) FOIA Liaison to arrange time to speak with Director (b)(6) re pending 2012 referral; No response to date (3/28) re email status request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] Next Steps: Receive response to last consulted agency; make edits; submit to (b)(5) (b)(5) process final release. Next status request will be ~5/15/14.
6	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
7	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	5/2/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. Next Step: Submitter Notice process needs to be completed.
8	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	5/2/14 [40% Complete] No action taken since case reassigned to (b)(6) (4/14). Next Step: Work to complete second interim

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

9	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	5/2/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) for agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
10	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	5/2/14 [10% complete] No activity since 4/24/14 case status mtg where ADIDR said ok to process all the responsive records (one was in question) in the case; 4/21/14: reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails. <u>Next Step</u> : Review Dep Director and Director records for 1st interim response. Continue email processing.
11	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	5/2/14 [25% complete] (b)(5) (b)(5)
12	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	5/2/14 [20% Complete] Received check from requester. Working on submitter notices. <u>Next Steps</u> : Complete the interim response.
13	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	5/2/14 [15% Complete] No action. <u>Next step</u> : Speak with SFR about need to go back to the divisions re search.
14	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	5/2/14 [50% Complete] Continued applying redactions to spreadsheet responsive to Part A of the request. <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
15	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	5/2/14 [0% Complete] No action taken. <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

16	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	5/2/14 [0% Complete] (b) (5) <div style="background-color: black; color: white; font-size: 2em; padding: 10px; display: inline-block;">(b) (5)</div> (b) (5) <u>Next Steps:</u> Depending on meeting outcome, make edits to evidentiary and send (b) (5) (b) (5) prepare 1st interim response (b) (5) (b)(5)
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	5/9/14 [About 80% complete] Made 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	5/7/14 [60% complete] Prepared two out of three determination notices related to the final 1,000 pages of records. <u>Next Step</u> : Complete final determination notice package.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	5/9/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for more final release.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	5/9/14 [30% Complete] Determined that SN process will be required. Organize records to begin SN process. <u>Next Step</u> : Continue processing the records.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	5/9/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	5/9/14 [20% Complete] Getting enclosures together for the submitter notice process. <u>Next Steps</u> : Process the records and make (b)(5)

7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	5/5/14 [60% Complete] Drafted final response and submitted it to SFR for review. <u>Next Step</u> : Make edits to final response.
8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	5/9/14 [25% complete] (b)(5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	5/8/2014 [0% complete] Licensing provided update last week that the search will begin this week. <u>Next Step</u> : Await records search response from Licensing.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	5/9/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	5/9/14 [0% Complete] No action. Per 4/28/14 status update, Licensing expects to provide search response on 6/27/14. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	5/8/14 [20% Complete] No action this week due to priority of older case. <u>Next Step</u> : Review responsive record and apply appropriate redactions.
13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	5/9/2014 [0% complete] Awaiting search responses from divisions. <u>Next Step</u> : Await search results from divisions due by April 24th.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel (b)(6)	(b)(6)	2/19/2008	5/9/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel (b)(6)	Marshall Fields	9/4/2013	5/2/14 No change since 1/7/14 status update: Case is still pending decision by the judge. Klayman filed a response to our motion to dismiss. Response is expected in a month or so (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	5/7/14 [60% complete] Prepared two out of three determination notices related to the final 1,000 pages of records. <u>Next Step</u> : Complete final determination notice package.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	5/9/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	5/9/14 [55% complete] No activity since 4/8 SrFR review of first 150 pages of 383 (b)(5) records prepared by prior analyst, determined most are non-responsive and redactions need significant corrections; re-review of records is needed. <u>Next Steps</u> : (On hold due to Priority Sensitive Case) Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	5/9/14 [75% complete] (b)(5) No activity since 4/24/14 mtg with ADIDR & SrFR and ADIDR would contact (b)(5) FOIA Liaison to arrange time to speak with Director (b)(5) re pending (b)(5) referral; No response to date (3/28) re e request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next S</u> Receive response to last consulted agency; make edits; submit to (b)(5) (b)(5) process final release. Next status request will be ~5/15/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	5/9/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	5/9/14 [40% Complete] No action taken since case reassigned to (b)(6) (4/14). <u>Next Step</u> : Work to complete second interim release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	5/9/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	5/9/14 [10% complete] No activity since 4/24/14 case status mtg where ADIDR said ok to process all the responsive records (one was in question) in the case; 4/21/14: reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails. <u>Next Step</u> : Review Dep Director and Director records for 1st interim response. Continue email processing.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	5/9/14 (b) (5) (b) (5)
11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	5/9/14 [20% Complete] Mailed submitter notices. <u>Next Steps</u> : Await submitter responses (due by 5/22/14). Continue to prepare the interim response.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	5/9/14 [15% Complete] Processing case. <u>Next step</u> : Determine costs.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	5/9/14 [50% Complete] Continued applying redactions to spreadsheet responsive to Part A of the request. <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	5/9/14 [0% Complete] No action taken. <u>Next Step</u> : Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	5/6/14 [0% Complete] Sent email to (b)(6) re need for search of emails (b) (5) (b) (5) (b) (5) <u>Next Steps</u> : Depending on meeting outcome, make edits to evidentiary and send (b) (5); prepare 1st interim response (b) (5)

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	5/9/14 [About 80% complete] Made 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	5/14/14 [60% complete] Prepared three determination notice packages related to the final 1,000 pages of records for release. <u>Next Step</u> : Await senior review of determination notice packages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	5/16/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	5/16/14 [30% Complete] No action. <u>Next Step</u> : Continue processing the records.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	5/16/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	5/16/14 [20% Complete] Getting enclosures together for the submitter notice process. <u>Next Steps</u> : Process the records and make (b)(5)

7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	5/16/14 [60% Complete] No action since drafted final response forwarded for review by SFR on 5/5/14. <u>Next Step</u> : Make edits to final response.
8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	5/15/14 [25% complete] (b)(6) processed 1113 records in responsive folder, determined referral, removed duplicates and processed SN (b)(5) (b)(5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	5/16/2014 [0% complete] Licensing provided update that the search will begin week of 5/8/14. <u>Next Step</u> : Await records search response from Licensing.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	5/16/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	5/16/14 [0% Complete] No action. Per 4/28/14 status update, Licensing expects to provide search response on 6/27/14. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	5/16/14 [80% Complete] Completed initial review of responsive records and sent package for senior review. <u>Next Step</u> : Await senior review response and once received, send package to IT for permanent deletion of sensitive content.

13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	5/16/2014 [0% complete] Awaiting search responses from divisions. <u>Next Step</u> : Await search results from divisions due by April 24th.
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Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	5/16/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	5/15/14 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	5/14/14 [60% complete] Prepared three determination notice packages related to the final 1,000 pages of records for release. <u>Next Step</u> : Await senior review of determination notice packages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	5/16/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	5/16/14 [55% complete] No activity since 4/8 SrFR review of first 150 pages of 383 (b)(5) records prepared by prior analyst, determined most are non-responsive and redactions need significant corrections; re-review of records is needed. <u>Next Steps</u> : (On hold due to Priority Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	5/16/14 [75% complete] (b)(5) No activity since 4/24/14 mtg with ADIDR & SrFR and ADIDR would contact (b)(5) FOIA Liaison to arrange time to speak with Director (b)(5) re pending 2012 referral; No response to date (3/28) re email status request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) an review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] Next Steps: Receive response to last consulted agency; make edits; submit to (b)(5) (b)(5) process final release. Next status request will be ~5/15/14.
5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b)(5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	5/16/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. Next Step: Submitter Notice process needs to be completed.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	5/16/14 [40% Complete] No action taken since case reassigned to (b)(6) 4/14). Next Step: Work to complete second interim release.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	5/16/14 [50% Complete] No action. O (b)(5) will process all records and then consult with (b)(5) or agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	5/9/14 [10% complete] No activity since 4/24/14 case status mtg where ADIDR said ok to process all the responsive records (one was in question) in the case; 4/21/14: reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails. <u>Next Step</u> : Review Dep Director and Director records for 1st interim response. Continue email processing.
10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	5/15/14 [25% complete] (b)(6) processed 1113 records in responsive folder, determined referral, removed duplicates and processed SN. (b) (5)
11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	5/16/14 [20% Complete] Preparing interim response. <u>Next Steps</u> : Await submitter responses (due by 5/22/14). Continue to prepare the interim response.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	5/16/14 [15% Complete] Processing case. <u>Next step</u> : Determine costs.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	5/16/14 [50% Complete] Continued applying redactions to spreadsheet responsive to Part A of the request. <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	5/16/14 [0% Complete] No action taken. <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	5/16/14 [0% Complete] 5/16: Status requests sent out with several offices producing or retrieving records; (b) (5) (b) (5) (b) (5) <u>Next Steps:</u> Finalize memo, send evidentiary and releasable (b) (5) prepare 1st interim response (b) (5)
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6) (b)(6)	8/8/2005	5/23/14 [About 80% complete] No action since 5/9/14 issuance of 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	5/23/14 [60% complete] Prepared three determination notice packages related to the final 1,000 pages of records for release. <u>Next Step</u> : Await senior review of determination notice packages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	5/23/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6) (b)(6)	8/1/2011	5/23/14 [30% Complete] No action. <u>Next Step</u> : Continue processing the records.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	5/23/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	5/23/14 [20% Complete] No action. <u>Next Steps</u> : Process the records and mak (b)(5) (b)(5)

7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	5/23/14 [60% Complete] No action since drafted final response forwarded for review by SFR on 5/5/14. <u>Next Step</u> : Make edits to final response.
8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	5/23/14 [25% complete] (b) (5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	5/23/2014 [0% complete] Licensing provided update that the search will begin week of 5/8/14 with a projected due date of 5/30/14. <u>Next Step</u> : Await records search response from Licensing.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	5/23/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	5/23/14 [0% Complete] No action. Per 4/28/14 status update, Licensing expects to provide search response on 6/27/14. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	5/23/14 [80% Complete] Sent responsive spreadsheet to OFAC IT for permanent removal of sensitive content. <u>Next Step</u> : Await IT response.
13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	5/23/2014 [0% complete] Forwarded search-related emails to John Smith. 5/19: Received records from Policy and Director's Office. Awaiting search responses from divisions. <u>Next Step</u> : Await search results from divisions originally due by April 24th.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	5/16/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	5/15/14 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	5/23/14 [60% complete] Prepared three determination notice packages related to the final 1,000 pages of records for release. <u>Next Step</u> : Await senior review of determination notice packages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	5/23/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) for consultation before final release.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	5/23/14 [55% complete] Reviewed (b)(5) records for responsiveness. <u>Next Steps</u> : Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	5/16/14 [75% complete] (b)(5) No activity since 4/24/14 mtg with ADIDR & SrFR and ADIDR would contact (b)(5) FOIA Liaison to arrange time to speak with Director (b)(5) re pending 2012 referral; No response to date (3/28) re email status request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Not processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submit to (b)(5) (b)(5) process final release. Next status request will be ~5/15/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	5/23/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	5/23/14 [40% Complete] No action taken since case reassigned to (b)(6) (4/14). <u>Next Step</u> : Work to complete second interim release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	5/23/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) for agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	5/9/14 [10% complete] No activity since 4/24/14 case status mtg where ADIDR said ok to process all the responsive records (one was in question) in the case; 4/21/14: reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails. <u>Next Step</u> : Review Dep Director and Director records for 1st interim response. Continue email processing.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	5/23/14 [25% complete] Referrals sent to DOJ and Federal Reserve. <u>Next</u> (b) (5)
11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	5/23/14 [20% Complete] No action. <u>Next Steps</u> : Await submitter responses (due by 5/22/14). Continue to prepare the interim response.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	5/23/14 [15% Complete] No action. <u>Next step</u> : Determine costs.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	5/23/14 [50% Complete] No action. <u>Next Step</u> : Continue to redact spreadsheet. Review cautionary letters responsive to Part B of request.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	5/23/14 [0% Complete] No action taken. <u>Next Step</u> : Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	5/19/14 [0% Complete] Received email update from OG (b)(6) that final edits of masaic memorandum (b) (5) <u>Next Steps</u> : Finalize memo, send evidentiary and releasable (b) (5) (b) (5) prepare 1st interim response (b) (5) (b)(5)

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	6/2/14 [About 80% complete] No action since 5/9/14 issuance of 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	5/30/14 [60% complete] No action. <u>Next Step</u> : Await senior review of determination notice packages.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	5/30/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) or consultation before final release.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	5/30/14 [30% Complete] No action. <u>Next Step</u> : Continue processing the records.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	5/30/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	5/30/14 [20% Complete] No action. <u>Next Steps</u> : Process the records and make (b)(5)
7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	5/30/14 [60% Complete] No action since drafted final response forwarded for review by SFR on 5/5/14. <u>Next Step</u> : Make edits to final response.

8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	5/27/14 [25% complete] Received determination on release from (b)(5) (b) (5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	5/30/2014 [0% complete] Requested search status update from Licensing. <u>Next Step</u> : Await records search response from Licensing.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	5/30/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	5/30/14 [0% Complete] No action. Per 4/28/14 status update, Licensing expects to provide search response on 6/27/14. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	5/30/14 [85% Complete] Drafted first interim response package and sent it for senior review. <u>Next Step</u> : Await senior review of interim response.
13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	5/30/2014 [0% complete] No action. <u>Next Step</u> : Await search results from divisions originally due by April 24th.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	5/30/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	5/30/14 (b)(5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	5/30/14 [60% complete] No action. <u>Next Step</u> : Await senior review of determination notice packages.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	5/30/14 [About 75% complete] No action since 3/6/14 update: Completed review of ~half of final response. <u>Next step</u> : Numerous pages will need to be (b)(5) or consultation before final release.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	5/30/14 [55% complete] No action. <u>Next Steps</u> : Analyst to revise the 383 pages for 1st interim release (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	5/30/14 [75% complete] (b)(5) No activity since 4/24/14 mtg with ADIDR & SrFR and ADIDR would contact (b)(5) OIA Liaison to arrange time to speak with Director (b)(5) re pending 2012 referral; No response to date (3/28) re email status request to (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submit to (b)(5) (b)(5) process final release. Next status request will b

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5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	5/30/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	5/30/14 [40% Complete] No action taken since case reassigned to (b)(6) 4/14). <u>Next Step</u> : Work to complete second interim release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	5/30/14 [50% Complete] No action. OFAC will process all records and then consult with (b)(5) or agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	5/30/14 [10% complete] No activity since 4/24/14 case status mtg where ADIDR said ok to process all the responsive records (one was in question) in the case; 4/21/14: reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails. <u>Next Step</u> : Review Dep Director and Director records for 1st interim response. Continue email processing.
10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	5/27/14 [25% complete] Received determination on release from (b) (5)

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11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	5/30/14 [20% Complete] No action. <u>Next Steps</u> : Await submitter responses (due by 5/22/14). Continue to prepare the interim response.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	5/30/14 [15% Complete] No action. <u>Next step</u> : Determine costs.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	5/30/14 [50% Complete] Submitted spreadsheet and cautionary letters for senior review. <u>Next Step</u> : Make edits to redacted materials as needed. Draft final response.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	5/30/14 [0% Complete] No action taken. <u>Next Step</u> : Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	5/30/14 [0% Complete] Received (b) (5) search response. As of 5/29, OGT mosaic language still pending. <u>Next Steps</u> : Finalize memo, send evidentiary and releasable (b) (5) (b) (5) prepare 1st interim response (b) (5)

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	6/6/14 [About 80% complete] No action since 5/9/14 issuance of 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	6/6/2014 [70% complete] Mailed three determination notice packages. <u>Next Step</u> : Await submitter responses to determination notice packages, due by 6/23/2014.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	6/6/14 [About 75% complete] Reviewed final half of response. <u>Next step</u> : Submit for senior review.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	6/6/14 [30% Complete] Determined that the SN process is not necessary. We will make an interim response re Kingpin records. <u>Next Step</u> : Draft interim response. Continue processing records for final response.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	6/6/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	6/6/14 [20% Complete] Drafting the (b)(5) <u>Next Steps</u> : Continue processing records.
7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	6/6/14 [60% Complete] No action since drafted final response forwarded for review by SFR on 5/5/14. <u>Next Step</u> : Make edits to final response.

8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	6/6/14 [25% complete] (b) (5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	6/6/2014 [0% complete] Requested search status from Licensing who is currently prioritizing a different case. <u>Next Step</u> : Await records search response from Licensing.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	6/6/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	6/6/14 [0% Complete] No action. Per 4/28/14 status update, Licensing expects to provide search response on 6/27/14. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	6/5/2014 [85% complete] First interim response package was sent last week for senior review and signature. <u>Next Step</u> : Await signed interim response package.
13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	6/6/2014 [0% complete] No action. <u>Next Step</u> : Await search results from divisions originally due by April 24th.

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No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	6/5/14 [90% complete] No activity since plaintiff filed 2/10/14 reply to (b)(5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	6/6/14 (b)(5) (b)(5)
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	6/6/2014 [70% complete] Mailed three determination notice packages. <u>Next Step:</u> Await submitter responses to determination notice packages, due by 6/23/2014.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	6/6/14 [About 75% complete] Reviewed final half of response. <u>Next step:</u> Submit for senior review.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	6/5/14 [55% complete] No action. Next Steps: Analyst to revise the 383 pages for 1st interim release. (b)(5) need to be reviewed (b)(5) a total of 1,248 documents to be reviewed and referral letters.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	6/5/14 [75% complete] (b)(5) No activity since 4/24/14 mtg with ADIDR & SrFR and ADIDR would contact (b)(5) FOIA Liaison to arrange time to speak with Director (b)(5) re pending 2012 referral; No response to date (3/28) re email status req (b)(5) on 3/24) re records still in queue to be scanned before (b)(5) can begin review process of records referred on 4/11/2012; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps:</u> Receive response to last consulted agency; make edits; submit to (b)(5) (b)(5) process final release. Next status request will be ~5/15/14.

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5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	6/6/14 [35% Complete] No activity since assignment to new analyst on 3/11/14. <u>Next Step</u> : Submitter Notice process needs to be completed.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	6/6/14 [40% Complete] No action taken since case reassigned to (b)(6) (4/14). <u>Next Step</u> : Work to complete second interim release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	6/6/14 [50% Complete] Reviewing case. OFAC will process all records and then consult with (b)(5) for agreement (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	6/5/14 [10% complete] No activity since 4/24/14 case status mtg where ADIDR said ok to process all the responsive records (one was in question) in the case; 4/21/14: reschedule f/u case brief meeting (to 3/25 mtg) with ADIDR to discuss standing issue with designation document; 4/3/14 email conversion test of 50 tentative responsive prior Assoc Dir emails. <u>Next Step</u> : Review Dep Director and Director records for 1st interim response. Continue email processing.
10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	6/6/14 [25% complete] (b) (5) (b) (5)

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11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	6/6/14 [20% Complete] Submitter Response due date expired. <u>Next Steps</u> : Move forward with interim response.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	6/6/14 [20% Complete] Reviewed records and found that there are Kingpin records, and a potential need for (b)(5) Redacting records. <u>Next step</u> : Determine costs.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	6/6/14 [50% Complete] No action pending senior review of redacted materials. <u>Next Step</u> : Make edits to redacted materials as needed. Draft final response.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	6/5/14 [0% Complete] FOIA Office met with EHM (b)(5) <u>Next Step</u> : Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	1/0/1900	6/5/14 [0% Complete] (b) (5) (b) (5) (b) (5) <u>Next Steps</u> : (b) (5) mosaic memo and redacted evidentiary package. Finalize memo, send evidentiary and releasable (b) (5) prepare 1st interim response (b) (5)

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	6/20/14 [About 80% complete] No action since 5/9/14 issuance of 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	6/20/2014 [70% complete] No action this week, waiting until 6/23/2014 as submitters have ten business days to take us to court to prevent release. <u>Next Step</u> : Wait until ten day window expires.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	6/20/14 [About 75% complete] Continued reviewing final half of response. <u>Next step</u> : Submit for senior review.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	6/12/14 [30% Complete] Drafted final response letter. As of 6/6/15: Determined that the SN process is not necessary. We will make an interim response re Kingpin records. <u>Next Step</u> : Separate FNK materials from SDNT.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	6/20/14 [35% Complete] Reviewing case. <u>Next Step</u> : Forward for senior review.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	6/12/14 [20% Complete] No action. <u>Next Steps</u> : Continue processing records.
7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	6/20/14 [100% Complete] Completed case. <u>Next Step</u> : Send final response.

8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	6/20/14 [25% complete] (b) (5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	6/17/2014 [30% complete] Received first set of responsive records from Licensing. <u>Next Step</u> : Await completed search response from Licensing. Review records received thus far.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	6/20/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	6/20/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	6/11/2014 [60% complete] Mailed first interim response to requester. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	6/20/2014 [0% complete] No action. <u>Next Step</u> : Await search results from divisions originally due by April 24th.

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2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	6/20/14 (b) (5) (b) (5)

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2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	6/20/14 [About 75% complete] Continued reviewing final half of response. <u>Next step:</u> Submit for senior review.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	6/18/14 [55% complete] No action since 5/22/14: Began searching 1st interim document to verify responsive and non-responsive pages; counted 1,515 pages of records to check some flagged as non-resp may be responsive. <u>Next Steps:</u> Continue to review prior case history and organize electronic records. (b)(5) need to be reviewed. (b)(5) a total of 1,248 documents to be reviewed and referral letters.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	6/19/2014 [75% complete] Sent second status request since last status from (b)(5) processing analyst on 12/16/13 that records were still pending scanning to (b)(5) system (status request of 3/28 did not receive a response); 4/25/14: SrFR recommended contacting (b)(5) public liaison to arrange time for ADID to speak with (b)(5) about 4/11/2012 referral; [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps:</u> Receive response to last consulted agency; make edits; submit to (b)(5) process final release. Next status request will be on or 5/15/14.

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6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	6/20/14 [35% Complete] Reviewing case. <u>Next Step</u> : Forward for senior review.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	6/20/14 [40% Complete] No action taken since case reassigned to (b)(6) 4/14). <u>Next Step</u> : Review case file. Work to complete release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	6/20/14 [50% Complete] Reviewing case. OFAC will process all records and then consult with (b)(5) for agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	6/19/2014 [10% complete] Continued organizing emails as pdf for processing with other records tentative for response. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice.
10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	6/20/14 [25% complete] (b) (5) (b) (5)

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11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	6/17/14 [20% Complete] Received a submitter response. <u>Next Steps</u> : Move forward with interim response.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	6/10/14 [20% Complete] Reviewed records. Drafted pre-payment of cost letter. <u>Next step</u> : Finish pre-payment of cost letter. Continue to process records.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	6/20/14 [50% Complete] No action pending senior review of redacted materials. <u>Next Step</u> : Make edits to redacted materials as needed. Draft final response.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	6/20/14 [0% Complete] No action. <u>Next Step</u> : Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	6/19/2014 [15% completion] ADID made edits to mosaic memo and signed the two consultation letters. Redacted evidentiary sent to EHM (b)(5) equities redacted in OGT markup). Prepared two consultation packages (pending evidentiary inclusion). 4/23/14: received records from (b)(6) but LIC still pending; 4/9: search req sent to several divisions and due 4/23 4/3/14: Case assigned to (b)(6) <u>Next Step</u> : Receive all records and prepare an (b)(5) (b)(5) release package for interim response; then prepare (b)(5) packages.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	6/26/14 [About 80% complete] No action since 5/9/14 issuance of 4th interim release. <u>Next step</u> : Work on completing 5th and final release which will be set of HSBC records.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	6/26/2014 [70% complete] Drafted new determination notice due to new POC information, granted extension to one submitter until 7/1/2014. <u>Next Step</u> : Wait until 7/1/2014 for ten-day window to expire.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	6/27/14 [About 75% complete] Continued reviewing final half of response. <u>Next step</u> : Submit for senior review.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	6/30/14 [30% Complete] Case assigned to (b)(6) <u>Next Step</u> : Review case.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	6/27/14 [35% Complete] Reviewing case. <u>Next Step</u> : Forward for senior review.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	6/30/14 [20% Complete] Case assigned to (b)(6) <u>Next Steps</u> : Review case.
7	2012-09-104	Martha Mendoza	AP	License applications to do business in Western Hemisphere countries, including but not limited to Venezuela, Haiti or Cuba.	(b)(6)	9/17/2012	6/23/14 [100% Complete] Final response mailed to requester.

8	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	Marshall Fields	1/9/2013	6/27/14 [25% complete] (b) (5)
9	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	6/26/2014 [30% complete] No action this week. <u>Next Step</u> : Await completed search response from Licensing.
10	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	6/27/14 [0% Complete] No action. <u>Next Steps</u> : Process case.
11	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	6/26/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
12	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	6/26/2014 [60% complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
13	2014-03-078	Jason Leopold		any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	6/27/2014 [0% complete] No action. <u>Next Step</u> : Await search results from divisions originally due by April 24th.
14	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	Marshall Fields	6/23/2014	6/30/14 Case assigned to Marshall Fields. MHF spoke with requester, narrowing the scope to the licenses. Sent modification email to requester. Pulled final licenses from OASIS. <u>Next Step</u> : Put licenses through submitter notice process.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	6/27/2014 [90% completed] No activity since counsel verbal status update on 5/28/14, confirming there still has been no litigation action (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	6/20/14 (b) (5) (b)(5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	6/26/2014 [70% complete] Drafted new determination notice due to new POC information, granted extension to one submitter until 7/1/2014. <u>Next Step</u> : Wait until 7/1/2014 for ten-day window to expire.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	6/27/14 [About 75% complete] Continued reviewing final half of response. <u>Next step</u> : Submit for senior review.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	6/27/14 [55% complete] No action since 5/22/14: Began searching 1st interim document to verify responsive and non-responsive pages; counted 1,515 pages of records to check some flagged as non-resp may be responsive. <u>Next Steps</u> : Continue to review prior case history and organize electronic records (b)(5) need to be reviewed. (b)(5) total of 1,248 documents to be reviewed and referral letters.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	6/23/2014 [75% complete] Received status from (b)(5) that records were scanned into system and are not undergoing review (re 4/11/2012 referral to (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted t (b) (5) process final release. Next status request will be on or 5/15/14.

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5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	6/27/14 [35% Complete] Reviewing case. <u>Next Step</u> : Forward for senior review.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	6/26/14 [40% Complete] No action taken since case reassigned to (b)(6) 4/14). <u>Next Step</u> : Review case file. Work to complete second interim release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	6/27/14 [50% Complete] No action. On hold pending review of other cases. OFAC will process all records and then consult with (b)(5) or agreement. (b)(5) estimates it will take about a year for them to complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	6/27/2014 [10% complete] No activity since 6/20/14 continued organizing emails as pdf for processing with other records tentative for response. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice.
10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	Marshall Fields	1/9/2013	6/27/14 [25% complete] (b) (5) (b) (5)

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11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	6/30/14 [20% Complete] Case assigned to (b)(6) Next Steps: Review case. Move forward with interim r
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	6/30/14 [20% Complete] Case assigned to (b)(6) Next step: Finish pre-payment of cost letter. Continue to process records.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	6/27/14 [50% Complete] No action pending senior review of redacted materials. Next Step: Make edits to redacted materials as needed. Draft final response.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	6/27/14 [0% Complete] No action. Next Step: Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	6/26/2014 [15% completion] ADID identified three pages in evidentiary (b) (5) OGT began edits; Received G.Gatjanis search records; (b) (5) Dep. Director, and Licensing; 4/9: search req sent to several divisions and due 4/23. Next Steps: Receive all records and prepare (b) (5) (b) (5) release package for interim response; then prepare (b) (5) packages.
16	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. Next Steps: Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.

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17	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.
18	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.
19	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.
20	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.
21	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.

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22	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.
23	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing. (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.
24	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	6/27/2014 [0% Complete] Sent acknowledgement letter to requester. 6/26/14: FOIA Office met with reps from OGT, OCC, and OIA to discuss newest Cozen requests. <u>Next Steps</u> : Discuss potential narrowing (b)(6) to determine, of the newest Cozen requests, which might have records which might be produced quickly to the FOIA Office for processing.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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25	2014-06-133	J. Scott Tarbutton	Any and all documents and materials in the possession of the Department of the Treasury referring or relating to the Al Haramain Islamic Foundation and the financial, material, logistical and other support it provided to al Qaeda and/or other extremist groups in relation to the 1998 U.S. Embassy bombings in Kenya and Tanzania. Time period for request is from January 1, 1996 through the present	Unassigned	6/27/2014	6/30/14 The records sought in this FOIA request are a subset of records that would be considered responsive to FOIA No. 2014-06-069. The case will be closed administratively, stating that OFAC will process it under 2014-06-069. <u>Next Step</u> : Draft administrative closure letter.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	7/18/14 [About 80% complete] Working on QA of DN. <u>Next step</u> : Complete DN for ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	7/14/2014 [80% Complete] Mailed revised determination notice to submitter (Haemonetics). <u>Next Step</u> : Draft response to additional objections received from submitter (Covington & Burling).
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	7/18/14 [About 75% complete] Submitted case for secondary review by SFR (7/8). <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	7/17/2014 [80% Complete] Prepared final response for senior review. <u>Next Step</u> : Await senior review of final response.
5	2011-08-203	James Grimaldi	The Washington Post	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transact.	(b)(6)	8/26/2011	7/18/14 Case closed administratively as OFAC was unable to contact requester via provided contact information.
6	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	7/14/14 [20% Complete] Case reassigned to (b)(6) <u>Next Steps</u> : Review case and complete SN drafts.
7	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	7/10/14 [25% complete] (b) (5) (b) (5)

8	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	7/14/2014 [40% Complete] Received all responsive records from Licensing. <u>Next Step</u> : Review records.
9	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	7/18/14 [90% Complete] Submitted spreadsheet to SFR for secondary review (7/8). <u>Next Steps</u> : Submit database to IT to scrub redacted material.
10	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	7/18/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
11	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	7/17/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
12	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	7/21/2014 [0% complete] Received search response from CNW. <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.
13	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	Marshall Fields	6/23/2014	7/7/14 Per request from submitter, ADIDR provided him with a copy of the FOIA request. <u>Next Step</u> : Await SR or end of 10 day time period.

14	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	Unassigned	7/3/2014	7/21/14 [0% Complete] OFAC acknowledged request on 7/9 and then followed up with supplement on 7/21, addressing the fee waiver and expedited processing requests. Tasked records search. <u>Next Steps:</u> Await records search response from Licensing, due by 8/4/14.
15	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	7/14/14 [0% Complete] OFAC acknowledged the request. OFAC received the formal case assignment on 7/10. <u>Next Step:</u> Task Licensing with records search.
16	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	7/16/2014 OFAC received case assignment from DO. <u>Next Step:</u> Acknowledge request. Task search.
17	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	7/16/2014 OFAC received case assignment from DO. <u>Next Step:</u> Acknowledge request. Task search.
18	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	7/17/2014 [0% Complete] OFAC received case assignment from DO. <u>Next Step:</u> Acknowledge request. Task search.
19	2014-07-064	Martyn Williams	IDG New Service	copies of the first 5 pages of all applications and queries related to import licenses from the Democratic People's Republic of Korea submitted between July 1, 2012, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	7/17/2014 [0% Complete] OFAC received case assignment from DO. <u>Next Step:</u> Acknowledge request. Task search.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
1	2007-11-063L	Peter Herrick	OFAC Counsel: (b)(6) (b)(6)	(b)(6)	2/19/2008	7/2/14 [100% complete, CLOSED] (b) (5) (b) (5) 5/26/14: received Court order [denying] motion for attorney fees (order titled Granting but actually denied motion) (b) (5) (b) (5)
2	2013-05-173L	Larry Klayman (Freedom Watch, Inc.)	OFAC Counsel: (b)(6)	Marshall Fields	9/4/2013	7/2/14 [100% complete, CLOSED] Case closed in FOIA databas (b) (5) (b) (5)

Sensitive Cases

No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	7/14/2014 [80% Complete] Mailed revised determination notice to submitter (Haemonetics). <u>Next Step</u> : Draft response to additional objections received from submitter (Covington & Burling).
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	7/18/14 [About 75% complete] Submitted case for secondary review by SFR (7/8). <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	7/14/14 [35% complete] Determined 1,100+ (b) (5) records (mostly emails) requiring approx 6 or more referrals. (b) (5) has 1,248 documents some requiring referrals; Unclass has 1,515. <u>Next Steps</u> : Continue to review and revise prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	7/17/2014 [75% complete] No activity since 6/23/14 status email from (b)(5) that records we (b)(5) stem and are now undergoing review (re 4/11/2012 (b)(5) Note: processing in conjunction with 2011-05-183 (Timmerman)) <u>Next Steps</u> : Receive response to last consulted agency; make edits; submit to (b) (5) process final release. Next status request will be on ~5/15/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-08-203	James Grimaldi	All communications between financial institutions and Treasury and/or OFAC regarding accounts ordered to be blocked or frozen under EO 13566 of 02.25.11 blocking property and prohibiting certain transactions related to Libya.	(b)(6)	8/26/2011	7/18/14 Case closed administratively as OFAC was unable to contact requester via provided contact information.
7	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	7/18/14 [40% Complete] No action taken since case reassigned to (b)(6) (4/14). <u>Next Step</u> : Review case file. Work to complete second interim release.
8	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	7/18/14 [50% Complete] No action. On hold pending review of other cases. OFAC will process all records and then consult with (b)(5) for agreement. (b)(5) estimates it will take about a year for the (b)(5) complete the consult. <u>Next Step</u> : Continue reviewing records.
9	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	7/17/2014 [10% complete] No activity since 6/20/14 continued organizing emails as pdf for processing with other records tentative for response. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice process.
10	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	7/10/14 [25% complete] (b) (5) (b) (5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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11	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	7/18/14 [20% Complete] Case reassigned to (b)(6) (7/14). <u>Next Steps</u> : Conduct file review in order to prepare interim release.
12	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	7/17/14 [20% Complete] Reviewing materials for responsiveness based on modified request. <u>Next steps</u> : Review case to determine costs. Continue to process records.
13	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	7/18/14 [50% Complete] Pending senior review of redacted materials. <u>Next Step</u> : Make edits to redacted materials as needed. Draft final response.
14	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	7/18/14 [0% Complete] No action since speaking with (b)(6) on 7/10 re search status. <u>Next Step</u> : Wait for responsive records from EHM.
15	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	7/16/2014 [15% completion] Received Licensing search response and secret Dep Dir records; (b)(5) and Dep Dir (b)(5) records; 7/2/14: Pending (b)(5) OGT response on 7/2/14 FOIA analyst (b)(5) re handling of one item noted in Mosaic memo. <u>Next Step</u> : Calculate cost to date and draft first interim response letter of (b)(5) release package.
16	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
17	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

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Updated as of 11/24/2014

18	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
19	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
20	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
21	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
22	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

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Updated as of 11/24/2014

23	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
24	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
25	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	7/17/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	7/25/2014 [90% complete] HSBC DN with ADID for review. <u>Next step</u> : DN goes to HSBC with 10-day deadline for response
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	7/23/2014 [85% Complete] Prepared and mailed response to additional objections received by submitter (Covington & Burling). <u>Next Step</u> : Await ten day time frame to elapse, by date of 8/6/14.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	7/25/14 [About 75% complete] No action since case was submitted for secondary review by SFR (7/8). <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	7/25/2014 [80% Complete] No action. <u>Next Step</u> : Await senior review of final response, prepared and sent for review on 7/17/14.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	7/25/14 [20% Complete] No action since case reassigned to (b)(6) (7/14/14). <u>Next Steps</u> : Review case and complete SN drafts.
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	7/25/14 [25% complete] (b) (5) (b) (5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	7/23/2014 [40% Complete] Developed submitter notice matrix for all 408 licenses. <u>Next Step</u> : Begin drafting submitter notice letters.

8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	7/25/14 [90% Complete] No action since submitting the spreadsheet to SFR for secondary review (7/8). <u>Next Steps</u> : Submit database to IT to scrub redacted material.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	7/25/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	7/25/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	7/25/2014 [0% complete] Sent search update requests to (b)(6) and (b)(6). <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	Marshall Fields	6/23/2014	7/25/14 No action since 7/7/14 status: Per request from submitter, ADIDR provided him with a copy of the FOIA request. <u>Next Step</u> : Await SR or end of 10 day time period.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	Unassigned	7/3/2014	7/21/14 [0% Complete] OFAC acknowledged request on 7/9 and then followed up with supplement on 7/21, addressing the fee waiver and expedited processing requests. Tasked records search. <u>Next Steps</u> : Await records search response from Licensing, due by 8/4/14.

14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	7/25/14 [0% Complete] ADIDR left message and email with requester, seeking further narrowing of the request. Requester declined to further narrow. <u>Next Steps</u> : Task Licensing with records search.
15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	7/25/2014 [0% complete] Per instructions from ADIDR, sent email to (b)(6) and (b)(6) seeking feedback. Acknowledged request (7/23). <u>Next Step</u> : Task search after receiving feedback from (b)(6) and (b)(6)
16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	7/24/2014 Determined that phone call with requester may be necessary to narrow scope. Acknowledged request via email on 7/23. <u>Next Steps</u> : Contact requester. Task search.
17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	7/24/2014 [0% Complete] Determined that phone call will be necessary with requester. <u>Next Step</u> : Speak with requester. Acknowledge request. Task search.
18	2014-07-064	Martyn Williams	IDG New Service	copies of the first 5 pages of all applications and queries related to import licenses from the Democratic People's Republic of Korea submitted between July 1, 2012, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	7/24/2014 [0% Complete] Determined that phone call will be necessary with requester. <u>Next Step</u> : Speak with requester. Acknowledge request. Task search.
19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	7/24/2014 [0% Complete] Received case assignment in goFOIA. <u>Next Step</u> : Acknowledge request.

20	2014-07-106	Katia Savchuk	Forbes	the first 10 pages of each license application submitted to OFAC since January 1, 2010 to do business with the following countries: Belarus, Burma, Central African Republic, Cote d'Ivoire / Ivory Coast, Cuba, Democratic Republic of the Congo, Iran, Iraq, Lebanon, North Korea, Somalia, Sudan, South Sudan, Syria, Yemen, and Zimbabwe.	Unassigned	7/25/2014	7/25/2014 [0% Complete] Received case assignment in goFOIA. <u>Next Step</u> : Contact requester to narrow scope.
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Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	7/23/2014 [85% Complete] Prepared and mailed response to additional objections received by submitter (Covington & Burling). <u>Next Step</u> : Await ten day time frame to elapse, by date of 8/6/14.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	7/25/14 [About 75% complete] No action since case was submitted for secondary review by SFR (7/8). <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	7/25/14 [35% complete] No activity since 7/14/14 Determined 1,100+ (b)(5) records (mostly emails) requiring approx 6 or more referrals. (b)(5) has 1,248 documents some requiring referrals; Unclass has 1,515; <u>Next Steps</u> : Analyst continue to review and revisions of prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	7/25/2014 [75% complete] No activity since 6/23/14 status email from (b)(5) that records were scanned into system and are not undergoing review (re 4/11/2012 (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or 5/15/14.

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5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	7/25/14 [40% Complete] No action taken since case reassigned to (b) (6) (4/14). <u>Next Step</u> : Review case file. Work to complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	7/25/14 [50% Complete] No action. On hold pending review of other cases. OFAC will process all records and then consult with (b)(5) for agreement. (b)(5) estimates it will take about a year for the (b)(5) to complete the consult. <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	7/22/2014 [10% complete] Ran double check on responsive email count originally 50 but now 34. <u>Next Step</u> : also, after emails are converted and organized, identify equities tentative for referral or submitter notice.
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	7/25/14 [25% complete] (b) (5) (b) (5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	7/25/14 [20% Complete] No action since case reassignment to (b)(6) (7/14). <u>Next Steps</u> : Prepare interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	7/24/14 [20% Complete] Redacting documents. <u>Next steps</u> : Continue to process records. Re-evaluate direct Ferrari emails.

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Updated as of 11/24/2014

12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	7/25/14 [50% Complete] Pending senior review of redacted materials. <u>Next Step</u> : Make edits to redacted materials as needed. Draft final response.
13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	7/25/14 [0% Complete] Followed up with (b)(6) on status of search. <u>Next Step</u> : Wait for responsive records from EHM.
14	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	7/25/2014 [15% complete] Continued counts of produced records to date to determine actual and estimated costs to note in first interim response; 7/17/14 (b)(5) (b)(5) 7/2/14 FOIA analysts (b)(5) are handling of one item noted in Mosaic memo. <u>Next Step</u> : calculate cost to date and draft first interim response letter of (b)(5) release package.
15	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
16	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
17	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

18	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
19	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
20	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
21	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

22	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
23	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
24	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	7/25/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	7/29/2014 [90% complete] Submitted DN package for ADID review. <u>Next step</u> : Await ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	7/27/2014 [85% Complete] No additional objections received from submitter (Haemonetics). <u>Next Step</u> : Await ten day time frame to elapse related to supplemental DN to submitter (Covington & Burling), by date of 8/6/14.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	8/1/14 [About 75% complete] No action since case was submitted for secondary review by SFR (7/8). <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	7/31/2014 [80% Complete] No action. <u>Next Step</u> : Await senior review of final response, prepared and sent for review on 7/17/14.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	7/31/14 [20% Complete] No action taken this week. <u>Next Step</u> : Draft SN.
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	8/1/14 (b) (5) (b) (5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	7/30/2014 [45% Complete] Reviewed all 407 licenses for (b)(4) related information, determined which licenses are appropriate for the submitter notice process. <u>Next Step</u> : Begin drafting submitter notice letters.

8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	8/1/14 [90% Complete] No action since submitting the spreadsheet to SFR for secondary review (7/8). <u>Next Steps</u> : Submit database to IT to scrub redacted material.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	8/1/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	7/31/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	8/1/2014 [0% complete] Began review of documents provided by CNW (7/30). <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses. Continue review of records.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	Marshall Fields	6/23/2014	8/1/14 No action. <u>Next Step</u> : Check to see if we've received SRs. Complete DNs.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	Unassigned	7/3/2014	8/1/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing, due by 8/4/14.
14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	7/28/14 [0% Complete] Tasked Licensing with records search, due by 8/11/14. <u>Next Steps</u> : Await records search response from Licensing.

15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	8/1/2014 [0% complete] (b)(6) provided feedback on 7/29 and 7/31 (b)(6) spoke with Counsel and (b)(6) receiving feedback necessary to task search and advise DO Disclosure Services on other offices to task. <u>Next Step</u> : Task formal search with (b)(6) and Policy.
16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	7/31/2014 Spoke with Counsel about this request and it was agreed that narrowing is advisable. <u>Next Steps</u> : Contact requester. Task search.
17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	8/1/2014 [0% Complete] Tasked search with Licensing (8/1) and acknowledged request. Modified scope of FOIA request (7/31). <u>Next Step</u> : Await search response from Licensing, due by 8/15/14.
18	2014-07-064	Martyn Williams	IDG New Service	copies of the first 5 pages of all applications and queries related to import licenses from the Democratic People's Republic of Korea submitted between July 1, 2012, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	7/29/2014 [0% Complete] Case closed after it was confirmed withdrawn by the requester on 7/28/14.

19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	8/1/2014 [0% complete] (b)(6) provided feedback on 7/31 along with potentially responsive records. (b)(6) spoke with Counsel and (b)(6) receiving feedback necessary to task search and advise DO Disclosure Services on other offices to task. <u>Next Step:</u> Task formal search with (b)(6) Policy and Counsel.
20	2014-07-106	Katia Savchuk	Forbes	the first 10 pages of each license application submitted to OFAC since January 1, 2010 to do business with the following countries: Belarus, Burma, Central African Republic, Cote d'Ivoire / Ivory Coast, Cuba, Democratic Republic of the Congo, Iran, Iraq, Lebanon, North Korea, Somalia, Sudan, South Sudan, Syria, Yemen, and Zimbabwe.	Unassigned	7/25/2014	7/28/2014 [0% Complete] ADID, SFR and (b)(6) held phone meeting with requester, seeking to narrow the scope. Requester said she would submit a modified FOIA request to OFAC. <u>Next Step:</u> Await email from requester with modified request.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	7/27/2014 [85% Complete] No additional objections received from submitter (Haemonetics). <u>Next Step</u> : Await ten day time frame to elapse related to supplemental DN to submitter (Covington & Burling), by date of 8/6/14.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	8/1/14 [About 75% complete] No action since case was submitted for secondary review by SFR (7/8). <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	8/1/14 [35% complete] No activity since 7/14/14 Determined 1,100+ (b)(5) records (mostly emails) requiring approx 6 or more referrals. (b)(5) has 1,248 documents some requiring referrals; Unclass has 1,515; <u>Next Steps</u> : Analyst continue to review and revisions of prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	8/1/2014 [75% complete] No activity since 6/23/14 status email from (b)(5) that records were scanned into system and are not undergoing review (re 4/11/2012 (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or 5/15/14.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	8/1/14 [40% Complete] No action taken since case reassigned to (b)(6) (4/14). <u>Next Step</u> : Review case file. Work to complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	8/1/14 [50% Complete] Reviewed case on (b) (5) <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	8/1/2014 [10% complete] Continuing email conversion and record review. Also checking names against current SDN list. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice.
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	8/1/14 (b) (5) (b) (5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	7/31/14 [20% Complete] No action taken this week. <u>Next Steps</u> : Prepare interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	7/30/14 [20% Complete] Finished review of License 2 pdf. <u>Next steps</u> : Draft release letter and send to SFR for review.
12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	8/1/14 [50% Complete] Pending senior review of redacted materials. <u>Next Step</u> : Make edits to redacted materials as needed. Draft final response.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	8/1/14 [0% Complete] No action. <u>Next Step</u> : Wait for responsive records from EHM.
14	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	7/31/2014 [20% complete] continued counting emails and adjusting accrued costs for fee language; 7/30/14: Scheduled 8/4 ^{(b)(5)} mtg to discuss mosaic issue; 7/2/14: (b)(5) 6/27/14 FOIA analyst (b)(5) e handling of one item noted in Mosaic memo. <u>Next Step</u> : Calculate cost to date and draft first interim response letter of (b)(5) release package.
15	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
16	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
17	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
18	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

19	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
20	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
21	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
22	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

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Updated as of 11/24/2014

23	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
24	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	8/1/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	8/8/2014 [90% complete] No action since 7/29/14: Submitted DN package for ADID review. <u>Next step:</u> Await ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	8/7/2014 [90% Complete] Drafted final response letter and prepared response package for senior review. <u>Next Step:</u> Await senior review response.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	8/8/14 [About 75% complete] Reviewing case. <u>Next step:</u> Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	8/8/2014 [80% Complete] No action this week. <u>Next Step:</u> Await senior review of final response, prepared for review on 7/17/14.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	8/8/14 [20% Complete] No action taken this week. <u>Next Step:</u> Draft SN.
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	8/7/14 (b) (5) (b) (5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	8/5/2014 [45% Complete] Reviewed licenses not requiring the submitter notice process for the first interim release. <u>Next Step:</u> Complete drafting interim response and begin drafting submitter notice letters.

8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	8/8/14 [90% Complete] No action since submitting the spreadsheet to SFR for secondary review (7/8). <u>Next Steps:</u> Submit database to IT to scrub redacted material.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	8/4/14 [0% Complete] (b)(6) emailed Licensing, seeking search status. Per (b)(6) we can expect an update by week's end. <u>Next Steps:</u> Await records search response from Licensing.
10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	8/8/2014 [60% Complete] No action this week. <u>Next Step:</u> Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	8/8/2014 [0% complete] Sent email to divisions which have not yet provided full records responses. <u>Next Step:</u> Follow up with EHM and OCC on status of their search responses. Continue review of records.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	8/8/14 Case assigned to (b)(6) on 8/4. Drafting determination notices. <u>Next Step:</u> Complete DNs and submit for review.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	Unassigned	7/3/2014	8/8/14 [0% Complete] (b)(6) sent status request to Licensing. <u>Next Steps:</u> Await records search response from Licensing, due originally by 8/4/14.

14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	8/8/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing, due by 8/11/14.
15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	8/5/2014 [0% complete] Search memo sent to EHM, Policy, OCC, and (b) (6). Search response due by 8/19. EHM responded on 8/5 with "no records" response (b) (6) advised DO that other Treasury offices are likely to have responsive records. <u>Next Step</u> : Await records search from Policy, OCC and (b) (6) due by 8/19.
16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	8/7/14 (0% Complete) (b) (6) spoke with the requester to narrow the scope, and confirmed narrowing via email with requester. <u>Next Steps</u> : Task search with OGT (b) (5)
17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	8/8/2014 [0% Complete] No action. <u>Next Step</u> : Await search response from Licensing, due by 8/15/14.
18	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	8/7/2014 [0% Complete] Acknowledged request via email. <u>Next Steps</u> : Speak with ADIDR about potential need to narrow the request; task search with Licensing.

19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	8/8/2014 [0% complete] Drafted acknowledgement letter. <u>Next Step</u> : Task formal search (b)(6) Policy and (b)(5)
20	2014-07-106	Katia Savchuk	Forbes	the first 10 pages of each license application submitted to OFAC since January 1, 2010 to do business with the following countries: Belarus, Burma, Central African Republic, Cote d'Ivoire / Ivory Coast, Cuba, Democratic Republic of the Congo, Iran, Iraq, Lebanon, North Korea, Somalia, Sudan, South Sudan, Syria, Yemen, and Zimbabwe.	Unassigned	7/25/2014	8/7/2014 [0% Complete] SFR left voicemail with the requester, following up on 7/28 phone conversation on narrowing the scope of the request. <u>Next Step</u> : Await response from requester to SFR's 8/7/14 voicemail. Follow-up with requester via email.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	8/7/2014 [90% Complete] Drafted final response letter and prepared response package for senior review. <u>Next Step</u> : Await senior review response.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	8/8/14 [About 75% complete] Reviewing case. <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	8/8/14 [35% complete] No activity since 7/14/14: Determined 1,100+ (b)(5) records (mostly emails) requiring approx 6 or more referrals. (b)(5) has 1,248 documents some requiring referrals; Unclass has 1,515; <u>Next Steps</u> : Analyst continue to review and revisions of prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	(b)(5) 014 [75% complete] No activity since 6/23/14 status email from (b)(5) that records were scanned into system and are now undergoing review (re 4/11/2012 (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or 5/15/14.
5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	8/7/14 [40% Complete] Case reassigned to (b)(6) for processing (8/4). Discussed case briefly with SEM. <u>Next Step</u> : Review case file. Work to complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	8/8/14 [50% Complete] Continued reviewing case on (b)(5) <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	8/6/2014 [10% complete] Reorganized see thru set, combined congressionals and weekly reports, continued email conversion, and record review. Also, checked names against current SDN list. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice.
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	8/7/14 (b)(5) (b)(5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	8/8/14 [20% Complete] Drafted determination notice to Komercijalna Banka and sent it to SFR for review. <u>Next Steps</u> : Prepare interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	8/8/14 [20% Complete] Edit exemption coding. <u>Next steps</u> : Draft release letter and send to SFR for review.
12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	8/8/14 [50% Complete] Split spreadsheet into multiple spreadsheets to enable interim releases. Re-review. <u>Next Steps</u> : Make edits to redacted materials as needed. Draft interim response letter(s).
13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	8/8/14 [0% Complete] Sent email to (b)(6) re status of search response. <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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14	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	8/8/2014 [20% complete] Updated mosaic memo and evidentiary and made final versions pending (b)(5) & (b)(6) clearance; 8/4/14 mtg discussion potential delisting and also possibly talking to requester about the estimated processing cost (b)(5). Next Step: Draft first interim response letter of (b)(5) release package, general filler pages, and send record set (b)(5) (after (b)(5) ok's evidentiary and memo).
15	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. Next Steps: Await response from requester.
16	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. Next Steps: Await response from requester.
17	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. Next Steps: Await response from requester.
18	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. Next Steps: Await response from requester.
19	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. Next Steps: Await response from requester.

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20	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
21	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
22	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
23	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.

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24	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	8/8/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to requester concerning narrowing. Requester responded that he hopes to respond by 8/4. <u>Next Steps</u> : Await response from requester.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	8/15/2014 [90% complete] No action since 7/29/14: Submitted DN package for ADID review. <u>Next step</u> : Await ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	8/15/2014 [95% Complete] Printed final response letter and records for final signature. <u>Next Step</u> : Await final signature.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	8/15/14 [About 75% complete] No action. <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	8/14/2014 [85% Complete] Made edits to redacted records per senior review comments. <u>Next Step</u> : Submit edited records for senior review.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	8/15/14 [20% Complete] Prepared (b)(5) and submitted it to ADID for signature. <u>Next Step</u> : Finalize referral upon signature.
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	8/15/14 (b) (5) (b) (5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	8/15/2014 [45% Complete] Drafted first set of 25 submitter notice letters. <u>Next Step</u> : Complete drafting interim response and continue drafting submitter notice letters.
8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	8/15/14 [90% Complete] Redacted additional information and alerted SFR that senior review can continue. <u>Next Steps</u> : Wait for completion of senior review. Submit database to IT to scrub redacted material.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	8/15/14 [0% Complete] (b)(6) emailed Licensing, seeking search status. Per (b)(6) we can expect an update next week. <u>Next Steps</u> : Await records search response from Licensing.

10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	8/15/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	8/15/2014 [0% complete] No action. <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	8/15/14 Drafted one determination notice. Made edits to letter per SFR. <u>Next Step</u> : Submit DN for final review.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	Unassigned	7/3/2014	8/11/14 [0% Complete] Licensing expects to produce search response in 3 weeks. <u>Next Steps</u> : Await records search response from Licensing, due originally by 8/4/14.
14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	8/15/14 [0% Complete] (b)(6) contacted Licensing to limit the scope of the search to <i>just</i> the license applications (b)(6) spoke with (b)(6) about the need for a list of cases responsive to this request so that we can see if IT is able to assist Licensing in pulling records. <u>Next Steps</u> : Await records search response from Licensing, due by 8/11/14.
15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	8/13/2014 [0% complete] Chief Counsel's Office provided search response. <u>Next Step</u> : Await records search from Policy and (b)(6) due by 8/19.
16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	8/14/14 (0% Complete) (b)(6) asked OGT with records search (8/12). EHM provided records to ADID (8/14). <u>Next Steps</u> : Await search documentation from EHM and await records search response from CNW.

17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	8/15/2014 [0% Complete] No action. <u>Next Step:</u> Await search response from Licensing, due by 8/15/14.
18	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	8/14/2014 [0% Complete] (b)(6) discussed IT options with (b)(6) (SSD). FOIA Office discussed next steps internally (b)(6) ought feedback from Licensing (8/13). <u>Next Steps:</u> ADIDR will contact requester about narrowing the scope. Await feedback from Licensing on request.
19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	8/15/2014 [0% complete] Tasked OGT, Policy, (b)(6) OCC and Compliance with a records search. <u>Next Step:</u> Await records search response.
20	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	8/12/2014 [0% Complete] Received modified request. <u>Next Step:</u> Acknowledge modified request. Determine template for OFAC's licensing database of individuals and companies for such requests going forward.

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No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	8/15/2014 [95% Complete] Printed final response letter and records for final signature. <u>Next Step</u> : Await final signature.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	8/15/14 [About 75% complete] No action. <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	8/11/14 [35% complete] No activity since 7/14/14: Determined 1,100+ (b)(5) records (mostly emails) requiring approx 6 or more referrals (b)(5) has 1,248 documents some requiring referrals; Unclass has 1,515; <u>Next Steps</u> : Analyst continue to review and revisions of prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	(b)(5) 2014 [75% complete] No activity since 6/23/14 status email from (b)(5) that records were scanned into system and are now undergoing review (re 4/11/2012 (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Receive response to last consulted agency; make edits; submitted to (b)(5) process final release. Next status request will be on or 5/15/14.
5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)

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6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	8/12/14 [40% Complete] Met with SFR to review case. <u>Next Step</u> : Work to complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	8/15/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	8/15/2014 [10% complete] No action since 8/6/14: Reorganized see thru set, combined congressionals and weekly reports, continued email conversion, and record review. Also, checked names against current SDN list. <u>Next Step</u> : After emails are converted and organized, identify equities tentative for referral or submitter notice.
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	8/15/14 (b) (5) (b) (5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	8/15/14 [20% Complete] SFR reviewed and made edits to the DN to Komercijalna Banka. Redacted records in accordance with SFR edits. <u>Next Steps</u> : Finalize DN. Prepare interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	8/15/14 [20% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	8/15/14 [50% Complete] Finished review of first 50,000 lines and began second set of 50,000 lines. <u>Next Steps</u> : Make edits to redacted materials as needed. Draft interim response letter(s).
13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	8/15/14 [0% Complete] No action. <u>Next Step</u> : Wait for responsive records from EHM.

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Updated as of 11/24/2014

14	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	8/11/2014 [20% complete] FOIA, OGT and (b)(5) reps met to review the mosaic memo and redacted evidentiary. Updated first interim records and sent them (b)(5). Next Step: Draft first interim response letter of (b)(5) release package.
15	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
16	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
17	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
18	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
19	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
20	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

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Updated as of 11/24/2014

21	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
22	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
23	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
24	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	8/12/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

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25	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Unassigned	6/13/2014	8/13/14 [0% Complete] ADIDR redacting records. ADIDR contacted OGT, seeking records responsive to the request (8/12). OGT produced evidentiary (8/12). FOIA Office received exhibits on 8/13. <u>Next Step</u> : Continue redacting evidentiary. Prepare referral.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	8/20/2014 [90% complete] No action since 7/29/14: Submitted DN package for ADID review. <u>Next step</u> : Await ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	8/22/2014 [95% Complete] No action. <u>Next Step</u> : Await final signature.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	8/22/14 [About 75% complete] Continued review. <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	8/18/2014 [90% Complete] Submitted records for senior review and signature. <u>Next Step</u> : Await final signature.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	8/22/14 [20% Complete] Submitted (b)(5) to ADID for signature. <u>Next Step</u> : Finalize referral upon signature.
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	8/20/14 (b) (5) (b) (5) (b) (5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	8/21/2014 [45% Complete] Drafted second set of 25 submitter notice letters. <u>Next Step</u> : Complete drafting interim response and continue drafting submitter notice letters.
8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	8/22/14 [90% Complete] Drafted release letter. Received back the scrubbed Excel document from IT and made necessary edits. <u>Next Steps</u> : Submit for final review to ADIDR.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	8/21/14 [0% Complete] ADIDR emailed Licensing, seeking list of responsive records so that we might get help from IT pulling documents. <u>Next Steps</u> : Await records search response from Licensing.

10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	8/22/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	8/22/2014 [0% complete] Received records (b)(5) for all but two attorneys. <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	8/22/14 No action. <u>Next Step</u> : Submit DN with edits to SFR.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	8/22/14 [0% Complete] Case assigned to (b)(6) Licensing produced search response with records on 8/21/14. <u>Next Steps</u> : Review case.
14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	8/22/14 [0% Complete] Licensing produced list of responsive cases (8/18) (b)(6) forwarded list to IT and IT produced and returned spreadsheet with links to pulled documents (8/19) (b)(6) ADIDR (b)(6) and (b)(6) discussed results (8/22). <u>Next Steps</u> : Confirm with Licensing that doc types used by IT to pull "license applications" is reasonable. Await records search response from Licensing.
15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	8/22/2014 [0% complete] Received "no records" search response from Policy (8/22) and (b)(6) (8/20). <u>Next Step</u> : Send search verification requests to divisions not originally tasked with search.

16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuon, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	8/18/14 (0% Complete) ADIDR sent billing and search request sheets to OGT contractor to document search. <u>Next Steps:</u> Await search documentation from EHM and await records search response from CNW.
17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	8/21/2014 [0% Complete] ADIDR emailed Licensing, seeking list of responsive license cases. <u>Next Step:</u> Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.
18	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	8/22/2014 [0% Complete] (b)(6) has sought and is waiting for feedback on request from SMEs (8/19) (b)(6) and ADIDR met w/ (b)(6) (b)(6) and (b)(6) on SSD's efforts to generate IT solution to search and document retrieval (8/22). <u>Next Steps:</u> Await feedback from Licensing on request.
19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	8/20/2014 [0% complete] Received search response from (b)(6) (8/20/14). <u>Next Step:</u> Await records search response from OGT, Policy, (b)(5)
20	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	8/19/2014 [0% Complete] Sent acknowledgement letter (8/19). <u>Next Step:</u> Determine template for OFAC's licensing database of individuals and companies for such requests going forward.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	8/22/2014 [95% Complete] No action. <u>Next Step</u> : Await final signature.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	8/22/14 [About 75% complete] Continued review. <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	8/22/14 [35% complete] Left message for requester to discuss interest and scope; 8/21/14: during discussion on scope it was questioned if requester is still interested as the clients were delisted in 2014; Approx. 3,500 pages (2,300 potentially (b)(5) 4 est to require 6 or more referrals. <u>Next Step</u> : continue to review and revisions of prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	2014 [75% complete] No activity since 6/23/14 status email from (b)(5) hat records were scanned into system and are now undergoing review (re 4/11/2012 (b)(5)); [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Apply redactions per consultation determinations received to date.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	8/22/14 [40% Complete] Reviewing documents. <u>Next Step</u> : Work to complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	8/22/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	8/20/2014 [10% complete] Continued review and redactions of 172 pages of records and 34+ emails (with attachments); congressionals contain financial and commercial equities requiring 5 or more submitter notices; emails may require consultations; and weekly reports require SME review and input. <u>Next Step</u> : continue review of 172 records for 1st
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	8/20/14 (b) (5) (b) (5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	8/22/14 [25% Complete] Drafted one SN. Drafted interim release and sent it to SFR for review. <u>Next Steps</u> : Finalize interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	8/22/14 [20% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	8/22/14 [50% Complete] Finished review of second 50,000 lines. Working on third set of 50,000 lines. <u>Next Steps</u> : Make edits to redacted materials as needed. Draft interim response letter(s).

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	8/22/14 [0% Complete] No action. <u>Next Step</u> : Wait for responsive records from EHM.
14	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	8/22/2014 [20% complete] No activity since 8/14/14: (b) (5) (b) (5) are possible withdrawal. <u>Next Step</u> : Tolled (b) (5) response.
15	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
16	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
17	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
18	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
19	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

20	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
21	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
22	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
23	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

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Updated as of 11/24/2014

24	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	8/22/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
25	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	8/20/14 [0% Complete] Case assigned to Marshall Fields (ADIDR). ADIDR spoke with (b)(6) about status, explaining referral/consultation process (8/19). ADIDR followed-up call with email on 8/20/14. <u>Next Step</u> : Continue redacting evidentiary. Prepare referral.

No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	8/29/2014 [90% complete] No action since 7/29/14: Submitted DN package for ADID review. <u>Next step</u> : Await ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	8/28/2014 [95% Complete] No action this week. <u>Next Step</u> : Await final signature.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	8/29/14 [About 75% complete] No action. <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	8/28/2014 [90% Complete] No action this week. <u>Next Step</u> : Await final signature.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	8/29/14 [20% Complete] Completed review of 158 pages of records. <u>Next Step</u> : Refer documents to outside agency (b)(5)
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	8/29/14 (b) (5) (b) (5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	8/27/2014 [45% Complete] Completed initial review of interim response records. <u>Next Step</u> : Continue drafting submitter notice letters.
8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	8/29/14 [90% Complete] Submitted release letter for review. <u>Next Steps</u> : Submit final release package to ADIDR for signature.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	8/29/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	8/28/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.

11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	8/29/2014 [0% complete] No action. <u>Next Step:</u> Follow up with EHM and OCC on status of their search responses.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	8/28/14 Mailed out DN and copy of SN to BNP Paribas SA. <u>Next Step:</u> Await ten-day window to expire before issuing final release.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	8/29/14 [0% Complete] Submitted SN letter, SN records and referral records for senior review. <u>Next Steps:</u> Await SFR review and ADIDR review and signature.
14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	8/29/14 [0% Complete] SSD produced spreadsheet, containing links to most documents responsive to this FOIA request. <u>Next Steps:</u> Provide update to Licensing on IT document retrieval and the list of cases for which no documents were returned. Seek billing information from SSD and Licensing.
15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	8/25/2014 [0% complete] Sent search verification requests to SSD, Director's Office, Deputy Director's Office and CNW. <u>Next Step:</u> Await search verification responses.
16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuon, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	8/29/14 (0% Complete) No action. <u>Next Steps:</u> Await search documentation from EHM and await records search response from CNW.

17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	8/29/2014 [0% Complete] No action. <u>Next Step</u> : Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.
18	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	8/29/2014 [0% Complete] A. Mintz met with FOIA Office on 8/28. Per 8/29 email, A. Mintz plans to run query over the weekend. <u>Next Steps</u> : Await feedback from Licensing on request. Await spreadsheet of links to potentially responsive records from SSD. Provide to Licensing for vetting.
19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	8/29/2014 [0% complete] Received search responses this week from Compliance, Policy and Chief Counsel's Office. <u>Next Step</u> : Await records search response from EHM and CNW.
20	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	8/29/2014 [0% Complete] (b) (6) discussed updated template for responses to requests for OFAC's licensing database of individuals and companies w/ A. Mintz on 8/28 and w/ (b) (6) on 8/29. Per A. Mintz, SSD will provide the new format by mid-next week. <u>Next Step</u> : Await SSD's new format. Seek spreadsheet responsive to this request - in the new format. Assign case.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	8/28/2014 [95% Complete] No action this week. <u>Next Step</u> : Await final signature.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	8/29/14 [About 75% complete] No action. <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	8/28/14 [35% complete] No activity since 8/22/14: Left message for requester to discuss interest and scope (clients were delisted in 2014); Approx. 3,500 pages (2,300 potentially (b)(5) 4 est to require 6 or more referrals. <u>Next Step</u> : Continue to review and revise prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	8/28/2014 [75% complete] Received (b)(5) status that records are still in second tier review (re 4/11/2012 (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Apply redactions per consultation determinations received to date.
5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	8/29/14 [40% Complete] Reviewing documents. <u>Next Step</u> : Work to complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	8/29/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	8/28/2014 [10% complete] Spoke with ADID and he agreed with Sr. Reviewer and analyst that the public UN documents can be RIF while the internal list with same equities will have PII redacted; 8/20/14: Continued review of 172 records for 1st interim response, and email conversion to PDF for processing 34+ emails (with attachments). <u>Next Step</u> : Continue review and redactions.
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	8/29/14 (b) (5) (b) (5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	8/29/14 [25% Complete] Drafted DN and submitted it for signature. <u>Next Steps</u> : Finalize interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	8/29/14 [20% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	8/29/14 [50% Complete] Finished review of third 50,000 lines. Working on fourth set of 50,000 lines. <u>Next Steps</u> : Make edits to redacted materials as needed. Draft interim response letter(s).
13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	8/29/14 [0% Complete] No action. <u>Next Step</u> : Wait for responsive records from EHM.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

14	2014-02-059	James Warnot	Documents related to the designation of DF Deutsche Forfait AG, DF Deutsche Forfait Americas, Inc. and Ulrich Wippermann as SDNs	(b)(6)	2/14/2014	8/29/2014 [100% complete] Case closed per 8/26/14 withdrawal letter from requester.
15	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
16	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
17	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
18	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
19	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
20	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

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21	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
22	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
23	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
24	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	8/29/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

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25	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	9/1/14 [0% Complete] ADIDR responded to requester's email, providing status update. <u>Next Step</u> : Continue redacting evidentiary. Prepare referral.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/4/2014 [90% complete] No action since 7/29/14: Submitted DN package for ADID review. <u>Next step</u> : Await ADID review.
2	2005-09-043	Sharon Theimer	Associated Press	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	10/4/2005	9/5/2014 [100% Complete] Final release made on 9/2/14. Case closed on 9/5/14.
3	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/5/14 [About 75% complete] No action. <u>Next step</u> : Make edits and draft final release letter.
4	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	9/5/2014 [90% Complete] No action this week. <u>Next Step</u> : Await review and signature of final response.
5	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/5/14 [25% Complete] Emailed consultation to (b)(5). <u>Next Step</u> : Refer documents to outside agency (b)(5)
6	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	9/4/14 (b)(5) (b)(5)
7	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	9/5/2014 [45% Complete] Submitted first tranche of submitter notices for signature. <u>Next Step</u> : Continue drafting remaining submitter notice letters.
8	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	9/5/14 [90% Complete] No action. <u>Next Steps</u> : Prepare final release package once signature is obtained.
9	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	9/4/14 [0% Complete] Requested search status. <u>Next Steps</u> : Await records search response from Licensing.
10	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	9/5/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.

11	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	9/5/2014 [0% Complete] No action. <u>Next Step:</u> Follow up with EHM and OCC on status of their search responses.
12	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	9/5/14 [95% Complete] No action. <u>Next Step:</u> Wait for DN ten-day window to expire before issuing final release.
13	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	9/3/14 [0% Complete] On 9/2, analyst sent discretionary review email to (b)(6) with response requested by 9/5/14. Mailed SN and pre-disclosure notification letter. <u>Next Steps:</u> Await response from Licensing and submitter.
14	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	9/5/14 [0% Complete] Sought billing info from SSD and confirmation that 8/29/14 spreadsheet produced by SSD to FOIA Office is final product. <u>Next Steps:</u> Provide update to Licensing on IT document retrieval and the list of cases for which no documents were returned. Seek billing information from SSD and Licensing.
15	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	9/5/2014 [0% complete] No action. <u>Next Step:</u> Await search verification responses from Director's Office, Deputy Director's Office and SSD.
16	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	9/5/14 (0% Complete) No action. <u>Next Steps:</u> Await search documentation from EHM and await records search response from CNW.

17	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	9/5/2014 [0% Complete] No action. <u>Next Step:</u> Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.
18	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	9/4/2014 [0% Complete] A. Mintz provided update on query that has been running for some time. Search results not yet finalized. <u>Next Steps:</u> Await feedback from Licensing on request. Await final spreadsheet of links to potentially responsive records from SSD. Provide to Licensing for vetting.
19	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	9/5/2014 [0% complete] No action. <u>Next Step:</u> Await records search response from EHM and CNW.
20	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	9/5/2014 [0% Complete] No action. <u>Next Step:</u> Follow up with SSD on new Licensing database format. Seek spreadsheet responsive to this request - in the new format. Assign case.

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No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2005-09-043	Sharon Theimer	Licenses granted by OFAC for exports to Iran of agricultural commodities, medicine and medical devices between January 1, 2000 and August 1, 2005.	(b)(6)	9/22/2005	9/5/2014 [100% Complete] Final release made on 9/2/14. Case closed on 9/5/14.
2	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/5/14 [About 75% complete] No action. <u>Next step</u> : Make edits and draft final release letter.
3	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	9/5/14 [35% complete] No activity since 8/22/14: Left message for requester to discuss interest and scope (clients were delisted in 2014); Approx. 3,500 pages (2,300 potentially (b)(5)) est to require 6 or more referrals. <u>Next Step</u> : Continue to review and revise prior analyst's redacted (b)(5) records for 1st interim.
4	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/5/2014 [75% complete] Received (b)(5) status that records are still in second tier review (re 4/11/2012 (b)(5)) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Step</u> : Apply redactions per consultation determinations received to date.
5	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)

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6	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/5/14 [50% Complete] Preparing second interim response. <u>Next Step</u> : Complete second interim release.
7	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/4/14 [50% Complete] Received response from (b)(5) of OFAC's 11/14/13 consultation. <u>Next Step</u> : Continue reviewing records.
8	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/4/2014 [10% complete] Case reassigned to (b)(6) or processing. <u>Next Step</u> : Review case.
9	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	9/4/14 (b)(5) (b)(5)
10	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/5/14 [25% Complete] Mailed determination notice. <u>Next Step</u> : Finalize interim release.
11	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/5/14 [20% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
12	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	9/3/14 [50% Complete] Began review of fourth set of 50,000 lines. <u>Next Steps</u> : Make edits to redacted materials as needed. Draft interim response letter(s).
13	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	9/5/14 [0% Complete] No action. <u>Next Step</u> : Wait for responsive records from EHM.

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14	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
15	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
16	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
17	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
18	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
19	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

20	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
21	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
22	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.
23	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	9/4/14 [0% Complete] Case on hold pending response from requester to ADIDR's 7/17 email to him concerning narrowing. ADIDR sent follow-up email to requester on 8/12/14, seeking response. <u>Next Steps</u> : Await response from requester.

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24	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	9/2/14 [0% Complete] ADIDR received email from requester demanding a response by 9/9/14. ADIDR responded that we will be unable to meet that deadline. <u>Next Steps</u> : Prepare referral. Finish initial redactions to evidentiary. Process (b)(5) records to requester in an interim response.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/15/2014 [90% complete] Sent final DN via UPS to submitter. <u>Next step</u> : Wait 10 days before making final release.
2	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/12/14 [About 75% complete] Making edits to redacted records. <u>Next step</u> : Make edits and draft final release letter.
3	2011-07-165	Eric Longabardi	TeleMedia News Productions	A copy of any/all Dept. of Treasury/OFAC issued licenses approved or denied for Attorneys since the program began to prese	(b)(6)	8/1/2011	9/9/2014 [100% Complete] Mailed final response to requester. Case closed.
4	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/12/14 [25% Complete] Reviewing case file to prepare interim release. <u>Next Step</u> : Refer documents to outside agency (b)(5)
5	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	9/11/14 (b) (5) (b) (5) (b) (5)
6	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	9/10/2014 [45% Complete] Sent next set of drafted submitter notice letters for review. <u>Next Step</u> : Continue drafting remaining submitter notice letters and await responses from first set of notified submitters, due September 24.
7	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	9/12/14 [90% Complete] Submitted the final package for final review and signature. <u>Next Steps</u> : Send out final response.
8	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	9/12/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
9	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	9/12/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.

10	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	9/12/2014 [0% Complete] Escalated outstanding records issue to ADIDR. <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.
11	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	9/15/14 [100% Complete] Final letter mailed to requester. Case closed.
12	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	9/3/14 [0% Complete] On 9/2, an (b)(6) petitionary review email t (b)(6) with response requested by 9/5/14. Mailed SN and pre-disclosure notification letter. <u>Next Steps</u> : Await response from Licensing and submitter.
13	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	9/10/14 [0% Complete] Received billing information and search documentation from SSD. <u>Next Steps</u> : Provide update to Licensing on IT document retrieval and the list of cases for which no documents were returned.
14	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	9/12/2014 [0% complete] (b)(6) ought update on search verification efforts from SSD and CNW. <u>Next Step</u> : Await search verification responses from Director's Office, Deputy Director's Office and SSD.
15	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuon, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	9/12/14 (0% Complete) No action. <u>Next Steps</u> : Await search documentation from EHM and await records search response from CNW.

16	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	9/12/2014 [0% Complete] No action. <u>Next Step:</u> Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.
17	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	9/12/2014 [0% (b) (6) Complete] Licensing responded to 9/10 email inquiry. On 9/10, SSD provided search description and billing information. <u>Next Steps:</u> Discuss next steps with ADID prior to contacting Licensing with SSD's search results.
18	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PRACT) produced during 2013-2014	Unassigned	7/24/2014	9/12/2014 [0% complete] No action. <u>Next Step:</u> Await records search response from EHM and CNW.
19	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	9/12/2014 [0% Complete] No action. <u>Next Step:</u> Seek spreadsheet responsive to this request - in the new format. Seek concurrence from Licensing. Assign case.
20	2014-09-036	Jamila Trindle	Foreign Policy Magazine	the agenda of meetings between senior Treasury officials who work on sanctions policy, implementation, and enforcement and private companies between February 1, 2014 and August 31, 2014.	Unassigned	9/11/2014	9/11/14 [0% Complete] ADIDR called and spoke with requester on 9/9/14 to narrow the request. OFAC was assigned the case on 9/11/14. <u>Next Steps:</u> Acknowledge request. Task search.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/12/14 [About 75% complete] Making edits to redacted records. <u>Next step</u> : Make edits and draft final release letter.
2	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	9/8/14 [35% complete] Spoke with requester and he clarified that he only wants the administrative record; he is willing to accept an interim response of the (b)(5) evidentiary and exhibits. Based on that, he will determine if he wants the rest of the package. <u>Next Step</u> : Prepare the interim response.
3	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/11/2014 [75% complete] No activity since status of 9/5/14: Received (b)(5) status that records are still in second tier review (re 4/11/2012 (b)(5) [Note: processing in conjunction with 2011-05-183 (Himmerman)] <u>Next Step</u> : Apply redactions per consultation determinations received to date.
4	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
5	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/12/14 [50% Complete] Composing 2nd interim response. Reviewing records. <u>Next Step</u> : Prepare responsive records for review by SFR.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

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6	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/12/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.
7	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/4/2014 [10% complete] Case reassigned to (b)(6) or processing. <u>Next Step</u> : Review case.
8	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	9/11/14 (b) (5) (b) (5)
9	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/12/14 [25% Complete] Held meeting with program office concerning records and discretionary release. <u>Next Step</u> : Finalize interim release.
10	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/12/14 [20% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
11	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	9/10/14 [50% Complete] Continued review of fourth set of 50,000 lines. <u>Next Steps</u> : Finish review. Submit for secondary review.
12	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	9/12/14 [0% Complete] Sent email to (b)(6) seeking ETA on EHM search response. <u>Next Step</u> : Wait for responsive records from EHM.
13	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

14	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
15	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
16	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
17	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
18	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

19	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
20	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
21	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.
22	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	9/10/14 [0% Complete] ADIDR sent follow-up email on 9/10/14, seeking for the requester to call him back about narrowing the request. Case has been on hold pending the requester's response to OFAC's 7/17/14 narrowing request. <u>Next Steps</u> : Await response from requester.

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Updated as of 11/24/2014

23	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	9/15/14 [70% Complete] Reworked redactions to included responsive" materials to move case more swiftly through (b)(5) Case will not be completed by end of FY because concurrent referral to other federal agency required. Referral sent 091114. <u>Next Steps</u> : Send case back thru (b)(5) 091514 and await response before processing.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/15/2014 [90% complete] Sent final DN via UPS to HSBC. Deadline for response is 9/29/14. <u>Next step</u> : Wait 10 days before making final release.
2	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/22/14 [About 75% complete] Making edits to redacted records. <u>Next step</u> : Make edits and draft final release letter.
3	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/19/14 [25% Complete] Received disclosure determination from (b)(5) Reviewing case file to prepare interim release. <u>Next Step</u> : Refer documents to outside agency, (b)(5)
4	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	9/17/14 (b)(5) (b)(5) (b)(5)
5	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	9/19/2014 [45% Complete] Awaiting first set of submitter responses, due September 24. <u>Next Step</u> : Clarify narrowed scope language before proceeding with processing of records.
6	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	9/22/14 [90% Complete] Awaiting final review and signature. <u>Next Steps</u> : Send out final response.
7	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	9/16/14 [0% Complete] (b)(6) ought update on search from Licensing. <u>Next Steps</u> : Await records search response from Licensing.
8	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	9/19/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.
9	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	9/22/2014 [0% Complete] Still waiting on records. <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.

10	2014-06-124	Henry Engler	Thomson Reuters	two licenses issued to BNP Paribas in February and March 2014 allowing the bank to conduct certain commercial and financial transactions in Iran.	(b)(6)	6/23/2014	9/15/14 [100% Complete] Final letter mailed to requester. Case closed.
11	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	9/22/14 [75% Complete] Received submitter response. <u>Next Steps</u> : Await response from Licensing to 9/2/14 discretionary review email. Review submitter's proposed withholdings.
12	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	9/22/14 [0% Complete] No action. <u>Next Steps</u> : Provide update to Licensing on IT document retrieval and the list of cases for which no documents were returned.
13	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	9/19/2014 [0% complete] SSD produced OASIS search results (9/17). Director's Office produced "no records" search response (9/19) (b)(6) sought search status with (b)(6) <u>Next Step</u> : Await search v n responses from Deputy Director's Office and CNW.
14	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	9/19/14 (0% Complete) No action. <u>Next Steps</u> : Await search documentation from EHM and await records search response from CNW.
15	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	9/19/2014 [0% Complete] No action. <u>Next Step</u> : Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.

16	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	9/16/2014 [0% Complete] Further clarification received from Licensing on application of "to do business" definition to search for responsive records. <u>Next Steps</u> : Discuss next steps with ADID prior to contacting Licensing with SSD's search results.
17	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	9/19/2014 [0% complete] No action. <u>Next Step</u> : Await records search response from EHM and CNW.
18	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	9/19/2014 [0% Complete] No action. <u>Next Step</u> : Seek concurrence from Licensing on revised format of responses to DB requests. Seek responsive spreadsheet from SSD. Assign case.
19	2014-09-036	Jamila Trindle	Foreign Policy Magazine	the agenda of meetings between senior Treasury officials who work on sanctions policy, implementation, and enforcement and private companies between February 1, 2014 and August 31, 2014.	Unassigned	9/11/2014	9/19/14 [0% Complete] Drafted acknowledgement letter. <u>Next Steps</u> : Acknowledge request. Task search.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/19/14 [35% complete] Reordered and OCR'd the administrative designation package and began record inventory list and redactions of
2	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach, (aka) Billy Rautenbach	(b)(6)	7/29/2010	9/19/14 [35% complete] Reordered and OCR'd the administrative designation package and began record inventory list and redactions of records ; 9/8/14: Spoke with requester and he clarified that he only wants the administrative record; he is willing to accept an interim response of the (b)(5) evidentiary and exhibits. Based on that, he will determine if he wants the rest of the package. <u>Next Step:</u> prepare (b)(5) version administrative designation package for 1st interim.
3	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/17/2014 [75% complete] No activity since 8/28/14 status from (b)(5) that records are still in second tier review (re 4/11/2012 referral to (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps:</u> Apply redactions per consultation determinations received to date.
4	2011-04-013	Krista Caner	Information on Alavi Foundation, 650 Fifth Avenue Company, Assa Corp, Bank Melli Residence Permanent Mission of Islamic Republic of Iran	(b)(6)	4/14/2011	(b) (5)
5	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/19/14 [50% Complete] Arranging records within 2nd interim response package. This case will not be closed by the end of the fiscal year. <u>Next Step:</u> Prepare responsive records for review by SFR.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/22/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.
7	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/22/2014 [10% complete] Case reassigned to (b)(6) for processing on 9/4/14. <u>Next Step</u> : Work with IT to convert non PDF attachments into the body of the pdf (connect to appropriate email).
8	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	9/17/14 (b) (5) (b) (5)
9	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/19/14 [25% Complete] Prepared interim release package and submitted it for SFR review. <u>Next Step</u> : Finalize interim release.
10	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/22/14 [20% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
11	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	9/22/14 [50% Complete] No action. <u>Next Steps</u> : Finish review. Submit for secondary review.
12	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	9/22/14 [0% Complete] Still waiting on records. <u>Next Step</u> : Wait for responsive records from EHM.
13	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps</u> : Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

14	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
15	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
16	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
17	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
18	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

19	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
20	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
21	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.
22	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	9/16/14 [0% Complete] ADIDR spoke with the requester. Requester expects to respond to OFAC's 7/17/14 narrowing request next week. <u>Next Steps:</u> Await response from requester.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

23	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	9/17/14 [90% Complete] Emailed fee letter to requester on 9/17. If payment for cost of processing first interim release is not received, OFAC will administratively close the request. Case will not be completed by end of FY because concurrent referral to other federal agency required. Referral sent 091114. <u>Next Steps</u> : Send case back thru (b)(5) 091514 and await response before processing. Await receipt of payment from requester.
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No.	Case#	Requester	Organization	Request for:	Assigned to	Received	Status
1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/26/2014 [90% complete] Deadline for response by HSBC is 9/29/14. <u>Next step</u> : Wait 10 days before making final release.
2	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	9/26/14 [About 75% complete] Making edits to redacted records. <u>Next step</u> : Make edits and draft final release letter.
3	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	9/26/14 [25% Complete] Discussed interim response with Asst. Dir (9/22). Received disclosure determination from (b)(5). Reviewing case file to prepare interim release. <u>Next Step</u> : Refer comments to outside agency (b)(5)
4	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	9/26/14 (b)(5) (b)(5) (b)(5)
5	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	9/26/2014 [45% Complete] Received clarification on interpretation of language in request. <u>Next Step</u> : Proceed with verification that all records are present and continue submitter notice process.
6	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	9/26/14 [90% Complete] Working on making the redactions permanent on responsive document. <u>Next Steps</u> : Send out final response.
7	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	9/26/14 [0% Complete] No action. <u>Next Steps</u> : Await records search response from Licensing.
8	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	9/26/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.

9	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	9/26/2014 [0% Complete] Still waiting on records. <u>Next Step:</u> Follow up with EHM and OCC on status of their search responses.
10	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	9/26/14 [75% Complete] Reviewed submitter responses and drafted determination notice. Submitted DN for review by SFR. <u>Next Steps:</u> Await response from Licensing to 9/2/14 discretionary review email. Review submitter's proposed withholdings.
11	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	Unassigned	7/10/2014	9/26/14 [0% Complete] JHV created submitter notice matrix. <u>Next Steps:</u> Meet with Licensing next week to provide update and next steps.
12	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	9/26/2014 [0% complete] CNW returned a "no records" search response. (b)(6) spoke with (b)(6) about search (9/23) (b)(6) vetted the spreadsheet of potentially responsive documents from SSD (9/22). <u>Next Step:</u> Await search verification response from Deputy Director's Office.
13	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	9/26/14 (0% Complete) Received CNW's search response. <u>Next Steps:</u> Await search documentation from EHM and await records search response from CNW.
14	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	9/26/2014 [0% Complete] No action. <u>Next Step:</u> Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.

15	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	9/26/2014 [0% Complete] FOIA Office discussed search internally. <u>Next Steps</u> : Meet with Licensing to discuss the search and next steps.
16	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PREACT) produced during 2013-2014	Unassigned	7/24/2014	9/26/2014 [0% complete] Received search response from CNW. <u>Next Step</u> : Await records search response from EHM.
17	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	9/22/2014 [0% Complete] Sought feedback from Licensing on template of database for responding to FOIA requests. <u>Next Step</u> : Task SSD with providing database responsive to request.
18	2014-09-036	Jamila Trindle	Foreign Policy Magazine	the agenda of meetings between senior Treasury officials who work on sanctions policy, implementation, and enforcement and private companies between February 1, 2014 and August 31, 2014.	Unassigned	9/11/2014	9/23/14 [0% Complete] Emailed acknowledgement letter to requester. Tasked records search. <u>Next Steps</u> : Await search responses from John Smith, Greg Gatjanis, Director's Office, and (b)(6)
19	2014-09-075	Robert Grattan	Houston Chronicle	any and all records or policy documents relating specifically to the granting of a license for Exxon Mobil Corp. or a joint venture including Exxon Mobil Corp. operations in the Russian Arctic from U.S. sanctions passed since August 2014.	Unassigned	9/19/2014	9/24/14 [0% Complete] Acknowledged receipt of request. Received case assignment from DO (9/22/14). <u>Next Steps</u> : Task search.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	9/26/14 [About 75% complete] Making edits to redacted records. <u>Next step</u> : Make edits and draft final release letter.
2	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6) (u)(6)	7/29/2010	9/25/14 [35% complete] Continued review and redactions of evidentiary and responsive exhibits for 1st interim; FOIA redactions tentative OGT SME review; 9/22/14: Nolan sent email reply to 9/8/14 email summarizing discussion: he confirmed that they only want the administrative designation package and agreed to receiving the administrative designation package pre consultations and considering after a review if they will accept it as is or seek the consultations. <u>Next Steps</u> : Complete proposed redactions and have OGT SME provide justifications for protection of (b)(5) equities not flagged as (b)(5) (b)(5)
3	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	9/26/2014 [75% complete] No activity since 8/28/14 status from (b)(5) (b)(5) hat records are still in second tier review (re 4/11/2012 referral to (b)(5) [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Apply redactions per consultation determinations received to date. Will check for status on or after 10/17/14 (bi-monthly check).
4	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	9/26/14 [50% Complete] Arranging records within 2nd interim response package. This case will not be closed by the end of the fiscal year. <u>Next Step</u> : Prepare responsive records for review by SFR.
5	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	9/26/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	9/26/2014 [10% complete] No action. <u>Next Step</u> : Work with IT to convert non PDF attachments into the body of the pdf (connect to appropriate email).
7	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	9/26/14 (b) (5) (b) (5)
8	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	9/26/14 [25% Complete] Revised drafted interim release. <u>Next Step</u> : Finalize interim release.
9	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	9/26/14 [75% Complete] Finished applying redactions. <u>Next steps</u> : Draft release letter and send to SFR for review.
10	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	9/26/14 [50% Complete] Applied redactions. <u>Next Steps</u> : Finish review. Submit for secondary review. Draft interim release letter.
11	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	9/26/14 [0% Complete] Still waiting on records. <u>Next Step</u> : Wait for responsive records from EHM.
12	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
13	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.

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Updated as of 11/24/2014

14	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
15	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
16	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
17	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
18	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

19	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
20	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
21	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	9/26/14 [0% Complete] Telephonic meeting tentatively scheduled for 9/26/14 between the requester, OFAC FOIA, OFAC Counsel and OGT cancelled. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
22	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	9/24/14 [90% Complete] Still awaiting fee for 1st interim response from requester, fee (b) (5) due by COB 10/08/2014. 1st interim ready to send out to requester. <u>Next Steps</u> : Await receipt of payment from requester.

1	2005-08-015	Vernon Silver	Bloomberg News	Documents related to sanctions enforcement involving Citibank, Citigroup, American Express, HSBC or related entities.	(b)(6)	8/8/2005	9/30/2014 [100% complete] Sent final response to requester.
2	2006-08-026A	Jeremy Bigwood	Jeremy Bigwood	Records pertaining to the Commission for Assistance to a Free Cuba: Report to the President: "CAFC II"	(b)(6)	6/6/2007	10/3/14 [About 75% complete] Fixing formatting. <u>Next step</u> : Make edits and draft final release letter.
3	2011-11-059	Emily Willard	The National Security Archive	All documents including training manuals, spending plans, and cables, detailing training of personnel in Mexico on anti-money laundering practices through the Merida Initiative	(b)(6)	11/14/2011	10/3/14 [25% Complete] Reviewing documents to prepare interim release. <u>Next Step</u> : Refer documents to outside agency (b)(5)
4	2013-01-034	Micah Hauptman	Public Citizen	HSBC Holding Plc. and HSBC Bank USA N.A.	(b)(6)	1/9/2013	10/2/14 (b)(5) (b)(5) (b)(5)
5	2013-11-066	Michael Morisy	MuckRock News	Licenses to do business with Syria, Iran, and Cuba since January 1, 2009, and the number of applications denied.	(b)(6)	11/25/2013	10/2/2014 [45% Complete] Verified that all licenses are present, sorted licenses as responsive or non-responsive and began sorting records for the submitter notice process. <u>Next Step</u> : Complete sorting of records and continue submitter notice process.
6	2014-01-019	Carl Prine	Pittsburgh Tribune-Review	The Licensing database of individuals and companies from July 1, 2013 through January 7, 2014.	(b)(6)	1/7/2014	9/30/14 [100% Complete] Final response issued to requester.
7	2014-03-016	Simon Lewis	The Irrawaddy Magazine	information concerning granting of exemptions to deal with SDNs who are considered to be linked to the Burmese government and/or military since January 1, 2011.	Unassigned	3/6/2014	10/2/14 [0% Complete] ADIDR sent email to requester to speak with him about request (9/29). Phone call attempt did not go through (b)(6) brought request to Licensing's attention in meeting of 10/2/14. <u>Next Steps</u> : Await records search response from Licensing.
8	2014-03-057	Joel Schectman	The Wall Street Journal	The OFAC license database from 2013 through March 2014.	(b)(6)	3/18/2014	10/2/2014 [60% Complete] No action this week. <u>Next Step</u> : Await release of spreadsheet responsive to 2013-06-099 (also responsive to second part of 2014-03-057) and prepare final response once available.

9	2014-03-078	Jason Leopold		Any and all Treasury Department records related to sanctions imposed against Russian government officials and/or Russia in response to the situation in the Ukraine.	(b)(6)	3/21/2014	10/3/2014 [0% Complete] No action. Still waiting on records. <u>Next Step</u> : Follow up with EHM and OCC on status of their search responses.
10	2014-07-005	Martyn Williams	IDG New Service	copies of all records regarding a June 2014 visit to Cuba by Eric Schmidt, chairman of Google.	(b)(6)	7/3/2014	10/3/14 [90% Complete] Submitted DN to ADIDR for review and clearance. <u>Next Steps</u> : Mail DN.
11	2014-07-042	Jason Leopold		first 25 pages of license applications submitted to OFAC since 1/1/13 to do business with North Korea, Iran, Yemen, Somalia, and Syria	(b)(6)	7/10/2014	10/3/14 [0% Complete] Began pulling soft copy license applications into Clean folder. Submitted pre-notification of disclosure letter and GM submitter notice to ADIDR for review and signature. <u>Next Steps</u> : Continue SN process.
12	2014-06-132	Nick Turse	The Nation	copies of documents that mention the Trans-Sahara Counterterrorism Partnership, the Trans-Sahara Counterterrorism Initiative, and/or the Pan-Sahel Initiative produced in 2014	Unassigned	7/16/2014	9/29/2014 [0% complete] ADIDR emailed (b)(6) seeking response to 8/25/14 search verification request. <u>Next Step</u> : Await search verification response from Deputy Director's Office.
13	2014-07-050	Nick Turse	The Nation	any documents relating to Marial Chanuong, Peter Gadet (AKA Peter Gatdet Yaka, Peter Gadet Yak, Peter Gadet Yaak, Peter Gatdet Yaak, Peter Gatdet, Peter Gatdeet Yaka), executive order 13664, Riek Machar, Salva Kiir, and/or related documents concerning sanctions against persons from South Sudan produced in 2013-2014.	Unassigned	7/16/2014	10/3/14 (0% Complete) (b)(6) verified location of TCO's potentially responsive records. <u>Next Steps</u> : Await search documentation from EHM. Assign case.
14	2014-07-063	Martyn Williams	IDG New Service	copies of the first 10 pages of all applications and queries related to export licenses to the Democratic People's Republic of Korea submitted between January 1, 2008, and December 31, 2013, and any associated correspondence related to the applications.	Unassigned	7/17/2014	10/3/2014 [0% Complete] No action. <u>Next Step</u> : Await search response from Licensing. Once FOIA receives list of responsive cases, seek help from SSD pulling documents.

15	2014-07-070	Charles Rukuni		first 10 pages of each license application submitted to OFAC since January 1, 2003 to do business with any of the designated individuals and entities from Zimbabwe or Zimbabwe Mining Development Corporation or Solomon Mujuru.	Unassigned	7/21/2014	10/2/2014 [0% Complete] FOIA Office met with Licensing to discuss SSD's efforts and next steps. (b)(6) tasked Licensing with verification of SSD results and production of missing documents. <u>Next Steps:</u> Await records search/verification response from Licensing.
16	2014-07-101	Nick Turse	The Nation	any documents relating to the Partnership for Regional East Africa Counterterrorism (PRACT) produced during 2013-2014	Unassigned	7/24/2014	10/3/2014 [0% complete] Sought status of EHM search for records. <u>Next Step:</u> Await records search response from EHM.
17	2014-07-106	Katia Savchuk	Forbes	Modified: OFAC's licensing database of individuals and companies from January 1, 2013 to present, including information for all license applications - not just those that were approved.	Unassigned	7/25/2014	9/30/2014 [0% Complete] (b)(6) asked SSD with production of spreadsheet responsive to request. <u>Next Step:</u> Await SSD response.
18	2014-09-036	Jamila Trindle	Foreign Policy Magazine	the agenda of meetings between senior Treasury officials who work on sanctions policy, implementation, and enforcement and private companies between February 1, 2014 and August 31, 2014.	Unassigned	9/11/2014	10/1/14 [0% Complete] (b)(6) spoke w/ (b)(6) about 9/30/14 search response. <u>Next Steps:</u> Await search responses from John Smith, Greg Gatjanis, and (b)(6)
19	2014-09-075	Robert Grattan	Houston Chronicle	any and all records or policy documents relating specifically to the granting of a license for Exxon Mobil Corp. or a joint venture including Exxon Mobil Corp. operations in the Russian Arctic from U.S. sanctions passed since August 2014.	Unassigned	9/19/2014	10/1/14 [0% Complete] (b)(6) sent search memo to Deputy Director, Licensing, Policy and Chief Counsel's Office. <u>Next Steps:</u> Await records search responses.
20	2014-09-116	Charlie Savage	New York Times	documents showing the legal conclusions accepted by the Treasury Department as the governing legal protocol for under what circumstances, if any, and at what stage of the process, FISA's notice provision applies to Office of Foreign Assets Control sanctioning decisions and challenges to them.	Unassigned	9/30/2014	10/2/14 [0% Complete] (b)(6) tasked the Director, Deputy Director, Chief Counsel's Office, Greg Gatjanis, and (b)(6) for Barbara Hammerle) with a records search. <u>Next Steps:</u> Await records search responses.

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Updated as of 11/24/2014

No.	Case#	Litigant/ Requestor	AUSA/OFAC Counsel	Assigned to	Opened	Remarks **Report in process of being reconciled.**
Sensitive Cases						
No.	CASE #	Requester	Request for:	Assigned to	Opened	Status
1	2006-08-026A	Jeremy Bigwood	Records related to CAFC2	(b)(6)	8/20/2006	10/3/14 [About 75% complete] Fixing formatting. <u>Next step</u> : Make edits and draft final release letter.
2	2010-07-041	Matthew Nolan	Records concerning Muller Conrad Rautenbach,(aka) Billy Rautenbach	(b)(6)	7/29/2010	9/29/14 [35% complete] Continued review and redactions of 898 page administrative designation pkg and identifying NR from Responsive equities and exhibits. <u>Next Step</u> : Finish proposed redactions and have OGT SME provide justifications for protection of (b)(5) equities not flagged as (b)(5)
3	2011-02-024	Morton Sklar	Documents pertaining to designation of Free Life Party of Kurdistan (PJAK)	(b)(6)	2/9/2011	10/6/2014 [75% complete] No activity since 8/28/14 status from (b)(5) that records are still in second tier review (re 4/11/2012 referral to (b)(5)). [Note: processing in conjunction with 2011-05-183 (Timmerman)] <u>Next Steps</u> : Apply redactions per consultation determinations received to date. Will check for status on or after 10/17/14 (bi-monthly check).
4	2011-10-093	Lisette Garcia	Records relating or referring to Cuba, Cuba sanctions, and any Cuban Assets Control Regulations from January 1, 2011 through the 11/16/2011	(b)(6)	11/16/2011	10/2/14 [50% Complete] Reviewing 2nd interim response. <u>Next Step</u> : Meet with SFR to discuss 2nd interim response and next steps.
5	2012-04-167	Lisette Garcia	Records regarding "Atrocities Board".	(b)(6)	5/2/2012	10/3/14 [50% Complete] No action. <u>Next Step</u> : Continue reviewing records.

Office of Foreign Assets Control, FOIA Litigation and Sensitive Case Report

Updated as of 11/24/2014

6	2012-12-092	Erich C. Ferrari	Documents relating to the designation of Mohamed Ahmad Salame (aka Mohamed Ahmad Salami)	(b)(6)	12/17/2012	10/3/2014 [10% complete] No action. <u>Next Step</u> : Continue redaction of (b)(5) records. Finish insertion of email attachments into email PDF.
7	2013-01-034	Micah Hauptman	Records related to decision not to criminally prosecute HSBC	(b)(6)	1/9/2013	10/2/14 (b)(5)
8	2013-01-078	Erich C. Ferrari	Documents pertaining to the specific license authorization for Commercia Yana Banka, Commercia Janabanka	(b)(6)	1/17/2013	10/3/14 [25% Complete] Waiting for approval and signature of draft interim release. <u>Next Step</u> : Finalize interim release.
9	2013-06-098	Erich C. Ferrari	Records relating to Erich C. Ferrari and his law firms Ferrari & Associates, PC and Ferrari Legal, PC.	(b)(6)	6/18/2013	10/3/14 [75% Complete] No action. <u>Next steps</u> : Draft release letter and send to SFR for review.
10	2013-06-099	Erich C. Ferrari	OFAC Licensing Database of Individuals and Companies for 2005, 2006, 2007, and 2010, 2012 to present. Information pertaining to Enforcement actions.	(b)(6)	6/18/2013	10/3/14 [50% Complete] Running script to remove redacted information. <u>Next Steps</u> : Draft interim release letter.
11	2014-01-088	Erich C. Ferrari	Records related to the designation of Mrs. Bahareh Mirza Hossein Yazdi and DFS Worldwide Ltd., DFS Worldwide GmbH Germany, and Everex Limited	(b)(6)	1/28/2014	10/3/14 [0% Complete] Still waiting on records. ADIDR followed up with EHM, requesting the responsive records (9/29/14). <u>Next Step</u> : Wait for responsive records from EHM.
12	2014-06-068	J. Scott Tarbutton	Any and all documents referring or relating to Dallah Avco Trans Arabia from January 1, 1990 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
13	2014-06-069	J. Scott Tarbutton	Any and all documents and materials referring or relating to the "Dirty Dozen" Islamic Charities from January 1, 1990 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.

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14	2014-06-070	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Bayoumi, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
15	2014-06-074	J. Scott Tarbutton	Any and all documents and materials referring or relating to Fahad al Thumairy, a Saudi national, from January 1, 1990 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
16	2014-06-082	J. Scott Tarbutton	Any and all documents and materials referring or relating to Omar al Faruq from January 1, 1990 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
17	2014-06-083	J. Scott Tarbutton	Any and all documents and materials referring or relating to Anwar al Aulaqi (a/k/a Anwar al Awlaki; a/k/a Anwar al Awlaqi) from January 1, 1990 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
18	2014-06-084	J. Scott Tarbutton	Any and all documents and materials referring or relating to the Golden Chain, an internal al Qaeda document recovered in a raid of an al Qaeda front in 2002 identifying al Qaeda's most important financial benefactors and individuals responsible for coordinating their contributions to al Qaeda. Time period of January 1, 2002 to the present.	Unassigned	6/20/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.

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19	2014-06-111	J. Scott Tarbutton	Any and all documents and materials referring or relating to the August 3, 2006 designation of the International Islamic Relief Organization's ("IIRO") Philippine and Indonesian branch offices and Abd al Hamid Sulaiman al Mujil, the Executive Director of the IIRO's Eastern Province Branch, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
20	2014-06-113	J. Scott Tarbutton	Any and all documents and materials referring or relating to Yassin Abdullah al Kadi, for the time period of January 1, 1990 through the present.	Unassigned	6/25/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
21	2014-06-133	J. Scott Tarbutton	Al Haramain Islamic Foundation	Unassigned	6/27/2014	10/2/14 [0% Complete] No action. <u>Next Steps</u> : Await phone call from requester to reschedule telephonic meeting.
22	2014-06-059	Andrew Hackett	any and all information that supported OFAC's decision to add Valfajr 8th Shipping Line Co SSK to the SDN list, including information connecting it to Islamic Republic of Iran Shipping Lines (IRISL)	Marshall Fields	6/13/2014	10/3/14 [90% Complete] Still awaiting fee for 1st interim response from requester, fee (b) (5) due by COB 10/08/2014. 1st interim ready to send out to requester. <u>Next Steps</u> : Await receipt of payment from requester.