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Description of document:

List of investigations closed by the National Credit Union Administration (NCUA) Office of Inspector General (OIG), 2010-2013

Requested date: 17-February-2016

Released date: 01-March-2016

Posted date: 11-July-2016

Source of document:

FOIA Request National Credit Union Administration Office of Inspector General (OIG) 1775 Duke Street Alexandria, VA, 22314 Fax: 703-518-6349 Email: FOIA@ncua.gov

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Office of Inspector General

March 1, 2016

SENT VIA EMAIL

RE: Your FOIA Request #OIGFOIA-2016-02

This is in response to your letter dated February 17, 2016, requesting information under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. Specifically, you requested a "listing of <u>investigations</u> closed by the NCUA Office of Inspector General during 2010, 2011, 2012, and 2013."

I have provided herewith four (4) pages responsive to your request. Information redacted from these pages qualifies for protection under subsections (b)(6) and (b)(7)(C) of the FOIA. Subsection (b)(6) permits agencies to withhold information the disclosure of which would constitute an unwarranted invasion of personal privacy. Subsection (b)(7)(C) protects information compiled for law enforcement purposes if its release could reasonably be expected to constitute an unwarranted invasion of personal privacy.

Should you consider any or all of the determinations set forth above a denial of your request, you have the right to appeal those determinations. An appeal may be in writing and filed within 30 days from the receipt of this initial determination. If you file an appeal, please note "FOIA-APPEAL" in the letter and on the envelope and address your appeal to:

National Credit Union Administration Office of General Counsel-FOIA APPEAL 1775 Duke Street Alexandria, Virginia 22314-3428

Sincerely,

Sham Der

Sharon Separ Counsel to the Inspector General/ Assistant IG for Investigations

Enclosure

cc: FOIA Officer

Case Number	Region	Date Opened	Subject Subject	Matter under Investigation	Status
10-PI-R9-01	R9	3/2/2010	Desk Break in	Potential desk break-in	Closed to File - Investigative Memorandum 3/3/10 - Insufficient Evidence
10-PI-R9-02	R9	4/7/2010	Stolen Laptop	Laptop stolen from Room 3027	Closed 6/30/10. Alexandria Police closed 8/4/2010.
10-R-R9-03	R9	4/21/2010	GAO Referral	NCUA Financial Statement Audit	Audit results released 6/12/10. Referral closed with no action.
10-PI-R3-04	R3	5/10/2010		Sexual harassment, misappropriation of funds	Closed. Memo sent to Region, 5/21/2010
10-P(-R4-05	R4	6/9/2010		Unprofessional behavior	Closed. Memo sent to Region. 3/6/2010
10-PI-R7-06	R7	6/24/2010		Improper time-keeping	Closed. Memo to file. 8/26/2010
10-1-R2-07	R2	9/7/2010		Standards of Ethical Conduct	Closed. Investigative Memorandum 11/22/2010
10-I-R9-08	R9	9/16/2010		Standards of Ethical Conduct; Bank Fraud	Closed. ROI issued 6/29/2011
10-I-R7-09	R7	8/25/2010		Unauthorized access to email account	Closed. Investigative Memorandum 12/17/2010
10-I-R3-10	R2	12/20/2010		CU Referral - Unprofessional behavior	Closed_ROI issued 5/16/2011
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Case Number	Region	Date Opened	Date ROI Issued	Date Case Closed	Subject(s)	54 (A)	Matter under Investigation	10.23	Status/Disposition
11-I-R4-01	R4		Sand Street and St	5/16/2011		1	18 USC § 208, Ethics violation §2635,601	1	Closed. ROI issued, 5/16/2011
11-PI-R5-02 1	R5			2/24/2011			Misconduct	1	Closed, Allegation of misconduct unsubstantiated, 2/24/2011
11-MAR-R9-03	R 9	3/4/2011		5/11/2011			Prohibited highg practices - Duty Station	-	Closed. MAR issued 5/11/2011
11-I-R9-04	R9	3/15/2011		3/28/2011			Credit Card abuse	_	Closed. ROI issged 3/28/2011
		· ·	機械制造和地力量加	ſ			Misuse of Government property, Misuse of Official Time;	1	
1			States of the state of sector	1	· · · · · · · · · · · · · · · · · · ·		Violation of the CSROB. Employee resignation effective		· · · · · · · · · · · · · · · · · · ·
11-I-R4-05	R4 .	8/10/2011		11/9/2011			12/31/11.		Closed 11/9/11, ROI issued 9/6/2011, FBI criminal charges pending.
的并R5-06-运行的		示約第9/7/2011	12/15/2011	12/15/2011					Glosed /- ROHISSDed Structures and and a more structure and a second structure structure structure structure st
1466月120万部5	R2		3/20/2012+5+		(Unknown		Leak of Exam to Media	1	Closed / ROI issued - admission by
CERS 088	R3		3/13/2012	4/18/2012			Possible Conflict of Interest		Closed / ROI issued - Employee resigned/retired
LAGER SIDE	R3	3/29/2012	4/13/2012	4/18/2012		<u> </u>	Additional Leads - Travel Claims		Closed / ROI issued - See above
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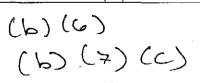
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Case Number	Region	Date Opened	Date ROUSSU	d Date Case Closed	Subject(s)	Matterunderinvestigation	
12-Al-R3-01	R-3	3/15/2012	5/1/20	12 5/1/2012		Unauthorized Disclosure (DOR)	Closed investigation - ROI issued - Not Substantiated
12-CI-R3-02	R-3	5/1/2012	8/14/20	12 8/14/2012		Misconduct (Alleged Sexual Harrassment)	Closed investigation - ROI issued - Not Substantiated
12-AI-R2-03	R-2		11713/20			Misconduct (False Statements)	Closed investigation - ROI issued - Not Substantiated (Email 2/28/13)
12-AI-CO-04	CO .		1/16/20			Misconduct (Fraudulent use of Govt. Credit Card)	Closed investigation - ROI issued - Employee resigned (Email 11/19/12)
12-CI-CO-05	CO	10/9/2012	10/24/20	12 11/8/2012		Misconduct (False Statements)	Closed investigation - ROI issued - Employee terminated/Agency settled prior to MSPB
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Case NumberRegionDate Openedate ROI issuente Case Close Subject(s) Matter under investigation 13-AI-R2-01 13-AI-CO-02 13-CI-CO-03 3/14/2013 4/29/2013 4/15/2013 5/29/2013 2 cō 7/3/2013 10/18/2013 co 13-AI-R1-04 9/25/2013 2/4/2014 1 13-AI-R5-05 5 11/15/2013 5/5/2014

5/8/2013 9/5/2013 Unknown 10/21/2013 2/12/2014 4/21/2014

Misuse of Government travel card Tampering with Government Telephone Refe Closed/ROI Issued - Not Substantiated/No action taken (9/05/13)

Closed/ROI Issued - No admin action (counseling -Email 5/8/13) Misconduct: Unauthorized Use of Governmer Closed/ROI Issued - Not substantiated/No Action - informal counseling (10/21/13) Misconduct: Unprofessional Conduct (Harras Closed/ROI Issued - Index Justice I warning (ID2) written warning (ID2) Misconduct: Alleged Unprofessional Conduct (Closed/ROI Issued - Subject Resigned

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