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Description of document: Listing of Export-Import Bank of the United States (Ex-Im Bank) Inspector General (OIG) investigations closed CY2015-CY2016

Requested date: 01-January-2017

Released date: 13-January-2017

Posted date: 23-January-2017

Source of document: FOIA Request  
Export-Import Bank of the United States  
Freedom of Information and Privacy Office  
811 Vermont Ave., NW  
Washington, D.C. 20571  
Fax: (202) 565-3294  
E-Mail: [foia@exim.gov](mailto:foia@exim.gov)  
[Online Freedom of Information Act Request Form](#)

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01/13/2017

**Re: FOIA Request # 201700028F**

This is the final response to your Freedom of Information Act (FOIA) request to the Export-Import Bank of the United States (Ex-Im Bank). You requested a "listing or index, of all closed investigations by your agency's Office of the Inspector General during calendar year 2015 and 2016."

The records maintained by the Office of Inspector General produced the enclosed document responsive to your request. The document is attached, and redactions are noted with the corresponding FOIA exemption:

**FOIA Exemption 6** exempts from disclosure personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy.

**FOIA Exemption 7(A)** permits the withholding of records or information compiled for law enforcement purposes, when the disclosure of such information could reasonably be expected to interfere with an ongoing enforcement proceeding in which final action has not been reached. The closed cases redacted under this exemption are related to ongoing cases.

**FOIA Exemption 7(C)** protects records or information compiled for law enforcement purposes that could reasonably be expected to constitute an unwarranted invasion of personal privacy. This exemption takes particular note of the strong interests of individuals, whether they are suspects, witnesses, or investigators, in not being unwarrantably associated with alleged criminal activity. That interest extends to persons who are not only the subjects of the investigation, but those who may have their privacy invaded by having their identities and information about them revealed in connection with an investigation. Based upon the traditional recognition of strong privacy interest in law enforcement records, categorical withholding of information that identifies third parties in law enforcement records is ordinarily appropriate.




*Office of Inspector General*

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. §552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all of our requesters and should not be taken as an indication that excluded records do, or do not, exist.

You have the right to appeal the action on this request by writing to the Export-Import Bank of the United States, Attention: Assistant General Counsel for Administration, 811 Vermont Avenue, N.W., Washington, DC 20571. Any appeal must be received by that office within 90 days from the date of this letter. The phrase "FOIA APPEAL" should appear on the letter and on the outside of the envelope containing the appeal. You may also submit an appeal by E-mail at [FOIA@EXIM.GOV](mailto:FOIA@EXIM.GOV). If sending via e-mail, please put "FOIA APPEAL" in the subject line of your e-mail. You also have the right to seek assistance from the agency FOIA Public Liaison who can be reached at (202) 565-3290.

If you have any questions about this response, please contact me at (202) 565-3974 or by E-Mail at [Parisa.Salehi@exim.gov](mailto:Parisa.Salehi@exim.gov).

Sincerely,

  
Parisa Salehi  
Counsel



# Investigative Log Summary

Export-Import Bank of the United States  
Office of Inspector General  
Office of Investigations

Closed

Number	Referred Date	Assigned Date	ProA Date	PI Date	Case Opened	Agent Assigned	Case Title	Cat	Open or Closed Date
07-0026-DOJ-PI-C	10/23/07	10/23/07		10/23/07	04/16/08	(b) (6)	Parker, Andrew mawell (et. Al.)	ECI	01/22/15
09-0020-OGC-PI-C	04/24/09	04/24/09		06/25/09	02/19/10	(b) (6)	ARC International Corporation (et al.)	WCG	09/11/15
10-0003-OGC-C	08/19/09	08/19/09			11/10/09	(b) (6)	Mimbella, Mario (et al.)	ECI	03/30/15
10-0023-OGC-C	09/14/10	09/20/10			09/21/10	(b) (6)	Gaspar-Vazquez, Julian (et. al.)	ECI	09/16/15
13-0010-AGT-C	06/28/13	06/28/13			06/28/13	(b) (6)	Gutierrez, Johnny (et al)	EI	08/26/15
13-0019-LEO-C	09/18/13	09/18/13			09/25/13	(b) (6)	Stanley Phillips et al	PI	03/18/15
14-0003-AGT-PI-C	09/27/13	09/30/13		11/01/13	04/30/14	(b) (6)	(b) (6), (b) (7)(A), (b) (7)(C)	LG	03/16/15
14-0007-OGC-PI-C	11/21/13	11/21/13		12/12/13	06/06/14	(b) (6)	Improper Payments to Contractors	PF	02/03/15
14-0014-AGT-C	04/30/14	05/01/14			05/02/14	(b) (6)	(b) (7)(C), (b) (6)	ECI	09/14/15
14-0017-AGT-PI-C	04/24/14	04/24/14		05/29/14	11/26/14	(b) (6)	SXI Trading, Inc. et al	ECI	06/02/15
14-0025-HOT-PI	07/28/14	07/31/14		09/02/14		(b) (6)	Alleged Improprieties on no-bid contracts.	PF	03/10/15
14-0026-AGT-PI	08/18/14	08/18/14		09/21/14		(b) (6)	(b) (6), (b) (7)(C)	LG	03/17/15
15-0001-OGC-C	09/30/14	10/01/14			10/01/14	(b) (6)	MicroTechnologies, LLC aka MicroTech	PF	03/24/15
15-0002-AGT-PI	09/17/14	09/17/14		10/15/14		(b) (6)	Greenparts International, Inc. et al	WCG	04/10/15
15-0003-LEO-C	12/08/14	12/19/14			12/19/14	(b) (6)	Sunshine Industries USA	ECI	02/11/15
15-0005-AMD-PI	12/18/14	12/18/14		01/15/15		(b) (6)	Possible improprieties and violations by the	EI	06/11/15
15-0006-OSS-C	01/21/15	01/26/15			02/12/15	(b) (6)	(b) (6), (b) (7)(C)	EI	04/17/15
15-0007-PUB-PI	01/12/15	01/13/15		03/04/15		(b) (6)	Varela, Felipe	ECI	03/11/15
15-0009-AGT-PI	02/27/15	02/27/15		04/16/15		(b) (6)	(b) (6), (b) (7)(C)	EI	10/23/15

Number	Referred Date	Assigned Date	ProA Date	PI Date	Case Opened	Agent Assigned	Case Title	Cat	Open or Closed Date
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Report Range: Closed Between 1/1/2015 and 12/31/2015

Cases:	13	
PIs:	6	<i>This number represents PIs that have not turned into cases.</i>
Proactives:	0	<i>Proactives which are not PIs or Cases</i>
<b>TOTAL Investigations</b>	<b>19</b>	<i>Total Investigations on report listing</i>

#### Summary by Category

Direct Loan	
Employee Integrity	4
Export Credit Insurance	7
Procurement Fraud	3
Working Capital Guarantee	2
Program Integrity	1
Loan Guarantee	2
Other	
<b>TOTAL by Category</b>	<b>19</b>



# Investigative Log Summary

Export-Import Bank of the United States  
Office of Inspector General  
Office of Investigations

Closed

Number	Referred Date	Assigned Date	ProA Date	PI Date	Case Opened	Agent Assigned	Case Title	Cat	Open or Closed Date
12-0012-AMD-C	04/30/12	04/30/12			05/07/12	(b) (6)	Slone, Martin (et al.)	ECI	05/04/16
12-0015-AMD-C	06/29/12	07/02/12			07/02/12	(b) (6)	Global Export Machinery (et al.)	LG	06/20/16
13-0018-AMD-PI-C	08/08/13	08/13/13		09/18/13	06/24/14	(b) (6)	Preston Farms Popcorn LLC	ECI	02/23/16
14-0015-GRG-C	04/23/14	04/24/14			05/12/14	(b) (6)	(b) (6), (b) (7)(C), (b) (7)(A)	ECI	03/10/16
14-0020-AGT	06/23/14	06/23/14	06/23/14			(b) (6)	(b) (7)(A), (b) (7)(E)	PI	01/12/16
14-0022-AGT-PI-C	06/27/14	06/27/14		06/27/14	12/03/14	(b) (6)	Key Energy Services, Inc.	PI	12/16/16
14-0024-GRG-C	07/07/14	07/07/14			07/17/14	(b) (6)	(b) (6), (b) (7)(C)	ECI	10/18/16
15-0008-AGT-PI-C	02/26/15	02/26/15		03/09/15	09/30/15	(b) (6)	General Cable Corporation	PI	03/28/16
15-0011-OSS-PI	06/10/15	06/11/15		07/10/15		(b) (6)	(b) (6), (b) (7)(C)	O	01/11/16
15-0014-AGT-C	07/27/15	07/28/15			07/28/15	(b) (6)	Petrobras Netherlands B.V.	LG	12/30/16
16-0003-AMD-C	10/29/15	11/05/15			11/24/15	(b) (6)	TractoNet Corp., (et al.)	ECI	03/11/16
16-0004-AGT-C	11/04/15	11/04/15			11/25/15	(b) (6)	(b) (6), (b) (7)(C)	PI	12/30/16
16-0005-OAS-PI	11/18/15	11/18/15		12/18/15		(b) (6)	Vehicle Contracting Improperities	PF	07/13/16
16-0006-GOV-PI	01/09/16	01/11/16		02/01/16		(b) (6)	(b) (7)(C), (b) (6)	EI	02/03/16
16-0007-HOT-PI	01/08/16	01/08/16		02/08/16		(b) (6)	Prohibited Hiring Practices (b) (6)	EI	03/21/16
16-0010-AGT-PI	02/10/16	02/10/16		02/18/16		(b) (6)	(b) (7)(C), (b) (6)	EI	05/11/16
16-0012-OGC-C	09/18/15	09/18/15			03/16/16	(b) (6)	(b) (6), (b) (7)(C)	EI	03/21/16
16-0013-OGC-PI	03/21/16	03/21/16		04/27/16		(b) (6)	Breach of Information by Former Employee	EI	05/03/16
16-0014-HOT-PI	04/06/16	04/06/16		05/04/16		(b) (6)	(b) (6), (b) (7)(C)	EI	06/07/16
16-0015-OAS-PI	05/03/16	05/03/16		05/19/16		(b) (6)	(b) (6), (b) (7)(C)	WCG	07/21/16
16-0024-OSS-C	06/28/16	06/28/16			09/30/16	(b) (6)	Counterfeit PIV Cards	EI	09/30/16

Number	Referred Date	Assigned Date	ProA Date	PI Date	Case Opened	Agent Assigned	Case Title	Cat	Open or Closed Date
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Report Range: Closed Between 1/1/2016 and 12/31/2016

Cases:	12	
PIs:	8	<i>This number represents PIs that have not turned into cases.</i>
Proactives:	1	<i>Proactives which are not PIs or Cases</i>
<b>TOTAL Investigations</b>	<b>21</b>	<i>Total Investigations on report listing</i>

#### Summary by Category

Direct Loan	
Employee Integrity	7
Export Credit Insurance	5
Procurement Fraud	1
Working Capital Guarantee	1
Program Integrity	4
Loan Guarantee	2
Other	1
<b>TOTAL by Category</b>	<b>21</b>