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Description of document: Farm Credit Administration (FCA) Inspector General (OIG) investigations closed CY2015-CY2016

Requested date: 25-December-2016

Released date: 03-January-2017

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Source of document: Freedom of Information Act Officer
Farm Credit Administration
1501 Farm Credit Drive
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From: "Agans, Autumn"
Date: Jan 3, 2017 3:29:14 PM
Subject: RE: Freedom of Information Act request

This letter is in response to your Freedom of Information Act, 5 U.S.C. § 552 (FOIA), request to the Farm Credit Administration (FCA or Agency). You have requested a copy or printout of the list of FCA OIG investigations closed during calendar year 2015 and calendar year 2016.

We have conducted a thorough search of the Agency's records and located 3 pages responsive to your request, which are enclosed in their entirety.

For your information, the Office of the Inspector General also has several documents available on its webpage, which is located at <https://www.fca.gov/home/inspector.html>.

Generally, the FCA may charge fees to cover the full allowable direct cost of searching for and reproducing records responsive to a request for information. 12 C.F.R. § 602.11. However, we have decided to waive fees in this instance because the amount is de minimis.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of FOIA. See 5 U.C.S. § 552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

I trust this information fully satisfies your request. If you need further assistance or would like to discuss any aspect of your request, please do not hesitate to contact me. FCA's FOIA Public Liaison is also available for assistance at FOIAPublicLiaison@fca.gov.

Sincerely,

Autumn R. Agans
FOIA Officer
Farm Credit Administration
1501 Farm Credit Drive
McLean, VA 22102

INVESTIGATIONS LOG

Office of Inspector General

CASE NUM BER	DATE REC'D	ALLEGATION	DATE OPENED	DATE CLOSED	STATUS/ ADMIN. ACTION	CRIMINAL REFERRAL	NOTES/OUTCOME
*P = Preliminary Investigation							
*P-15-01 Kathy	3-12-15	Question on employee personnel action	3-12-15	5-7-2015	Issued analysis.	NO	CLOSED; memorandum issued; allegation not substantiated; no referral required.
*P-15-02 Kathy /Liz	3-30-15	Question regarding Bd. Member effective retirement date and documents	3-30-15	4-7-15	Requested relevant records 3-30-15; administrative action taken in correcting erroneous records	NO	CLOSED; memorandum issued; administrative action taken.
*P-15-03 Kathy	4-9-2015	Question regarding internal personnel selection	4-9-15	4-14-15	Requested relevant records 4-13-15; reviewed 4-14-15 and confirmed action complied with agency policy; closed 4-14-2015.	NO	CLOSED; memorandum issued; allegations not substantiated; no referral required.
*P-15-04 Kathy	7-6-2015	Potential misrepresentation in voicemail messages	7-6-15	9-28-2015	Referred to DOJ OIG on 7-8-2015; no further action by DOJ and calls stopped.	NO.	CLOSED; no further investigation required; calls stopped and no subsequent follow-up calls received.
*P-15-05 Kathy	7-9-2015	Allegation regarding Farm Credit System institution employee embellishing credentials	7-9-2015	8-26-2015	Contacted cognizant Institution's Ethics officer for institution 7-9-2015.	NO	CLOSED; no further review required; clarification request sent to employee by institution ethics officer; no further OIG review required.

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*P-15-06 Kathy	7-23-2015	Review of Excessive Data Usage on Agency Mobile Devices	8-4-2015	8-25-2015	Closed 8-25-2015; no referral.	NO	CLOSED; memorandum issued; no referral to Agency based on information developed in inquiry.
*P-15-07 Kathy	8-3-2015	401k Plan Eligibility	8-11-15	12-22-15	Completed; Mgmt Advisory issued 9-16-2015.	NO	CLOSED; mgmt. responded and issued Notational Vote on FCA benefits and compensation that included determination on FCA Bd's participation in 401k (NV 15-26, "FCA 2016 Compensation and Benefits Program" (12-22-2015)).
15-01 Kathy	4-21-2015 Initially opened on 4-13-2015 as Prelim.	Allegation regarding FCA EEO Counseling Process, reprisal, unauthorized release of confidential information	4-21-15	7-31-15	Completed; referred to management 7-21-2015.	NO	CLOSED; ROI No. 15-01 issued 7-21-15 and referred to agency; administrative action taken 7-31-15.
15-02 Kathy Revised to *P-15-09 Kathy	4-27-2015	Allegations regarding recruitment and personnel actions	4-28-2015 Changed to P-15-09 on 2-18-2016	3-15-2016	Completed.	NO	CLOSED; no referral; briefed R. Coleman; no follow-up requested; monitoring.
15-03 Kathy	4-27-2015 (pulled out to formal INV on 8-27-2015)	Allegation suitability adjudication	8-27-2015	9-29-2015	Completed record reviews and interviews.	NO	CLOSED; ROI No. 15-03 issued 9-29-2015; no referral; allegation not substantiated.
15-04 Revised to *P-15-08 Kathy	8-26-2015	Allegations regarding nepotism.	9-16-2015 Changed to P-15-08 on 2-18-2016	2-18-2016	Completed record reviews and interviews.	NO	CLOSED; no referral; allegation of nepotism violations not substantiated.
15-05 Kathy	8-26-2015	Allegations regarding violation of restriction on contractors performing inherently governmental	9-16-2015	12-16-15	Hotline complaint received 8/26/15; under	NO	CLOSED; ROI No. 15-05 issued 12-16-2015; no referral; allegation not substantiated.

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CASE NUM BER	DATE REC'D	ALLEGATION	DATE OPENED	DATE CLOSED	STATUS/ ADMIN. ACTION	CRIMINAL REFERRAL	NOTES/OUTCOME
		functions.			review.		
*P-16-01 Kathy	6-30-2016	Allegation regarding duty station and travel expenses	6-30-2016	7-1-2016	Completed record review and interview.	NO	CLOSED; no referral; allegation not substantiated, but identified for follow-up to confirm.

*P = Preliminary