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Description of document: List of National Credit Union Administration (NCUA) Inspector General (OIG) investigations closed CY2015-CY2016

Requested date: 25-December-2016

Released date: 28-December-2016

Posted date: 09-January-2017

Source of document: Freedom of Information Act Request
National Credit Union Administration
Office of the Inspector General
1775 Duke Street
Alexandria, VA, 22314
Fax: 703-518-6349
Email: FOIA@ncua.gov

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Office of Inspector General

December 28, 2016

SENT BY EMAIL

RE: Your FOIA Request #OIGFOIA-2016-06

This is in response to your email dated December 25, 2016, and received in this office on December 27, 2016, requesting information under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. Specifically, you requested a “copy or printout of the list of NCUA OIG investigations closed during calendar year 2015 and calendar year 2016.”

I have provided herewith three (3) pages responsive to your request. Information redacted from these pages qualifies for protection under subsections (b)(6) and (b)(7)(C) of the FOIA. Subsection (b)(6) permits agencies to withhold information the disclosure of which would constitute an unwarranted invasion of personal privacy. Subsection (b)(7)(C) protects information compiled for law enforcement purposes if its release could reasonably be expected to constitute an unwarranted invasion of personal privacy.

Should you consider any or all of the determinations set forth above a denial of your request, you have the right to appeal those determinations. An appeal may be in writing and filed within 30 days from the receipt of this initial determination. If you file an appeal, please note “FOIA-APPEAL” in the letter and on the envelope and address your appeal to:

National Credit Union Administration
Office of General Counsel-**FOIA APPEAL**
1775 Duke Street
Alexandria, Virginia 22314-3428

Sincerely,

A handwritten signature in black ink that reads "Sharon Separ".

Sharon Separ
Counsel to the Inspector General/
Assistant IG for Investigations

Enclosure

cc: FOIA Officer

Case Number	Region	Date Opened	Date ROI Issued	Date Case Closed	Subject	Matter under Investigation	Status
14-AI-CO-02	CO	2/18/2014	9/24/2014	1/8/2015	[REDACTED]	Misconduct: Harassment/Mismanagement	Closed / ROI issued - No action taken (Case file reflects different case # /Pen and ink change)
14-AI-CO-03	CO	6/19/2014	2/23/2015	4/8/2015	[REDACTED]	Misconduct: Conflict of Interest	Closed / ROI issued - Letter of Reprimand
14-CI-CO-05	CO	9/18/2014	10/22/2014	2/11/2015	[REDACTED]	Misconduct: Mail tampering	Closed / ROI issued - No action
14-AI-R3-06	R3	9/24/2014	3/4/2015	3/4/2015(verbal notice)/(03/30/15 Written)	[REDACTED]	Misconduct: Conduct Unbecoming	Closed / ROI issued - Unsubstantiated - No Action
14-AI-R2-07	R2	12/1/2014	5/18/2015	8/28/2015	[REDACTED]	Misconduct: Travel Claim Fraud	Closed / ROI issued - 14-day Suspension
14-AI-CO-08	CO	12/17/2014	5/20/2015	5/20/2015	Unknown	Unauthorized Disclosure to the Media	Closed / ROI issued - Unsubstantiated - No Action
N/A	R5		3/2/2015		MAR-Notification Actions [REDACTED]		Closed - MAR issued

Case Number	Region	Date Opened	Date ROI issued	Date Case Closed	Subject(s)	Matter under Investigation	Status
15-AI-CO-01	CO	1/16/2015	3/11/2015	3/16/2015	[REDACTED]	Misconduct: Sexual Harassment	Closed / ROI Issued - No Action taken
15-AI-R2-02	R2	2/9/2015	6/17/2015	9/29/2015	[REDACTED]	Misconduct: False Time Records	Closed / ROI Issued - Employee Terminated 10/02/15
15-AI-R2-03	R2	5/21/2015	7/27/2015	8/5/2015	[REDACTED]	Misconduct: Conduct Unbecoming (Threats towards supervis	Closed / ROI Issued - No Action taken (Resigned)
15-AI-R5-04	R5	8/1/2015	12/2/2015	12/04/15 (verbal notification)12/11/15	[REDACTED]	Misconduct: False Travel Claims	Closed / ROI Issued - Employee Resigned (11/28/15)12/11/15

Case Number	Region	Date Opened	Date ROI issued	Date Case Closed	Subject(s)	Matter(s) under Investigation	Status
16-AI-CO-01	CO	4/4/2016	6/15/2016	6/28/2016	Unknown	Unau horized Disclosure to Media (BAM)	Closed / ROI issued - No Action taken
16-AI-R5-02	R5	5/4/2016	6/15/2016	7/18/2016		Conduct Unbecoming	Closed / ROI issued - 2 day letter of suspension