



# governmentattic.org

*"Rummaging in the government's attic"*

Description of document:	Office of the Comptroller of the Currency (OCC) FOIA Appeals Log, 2009-2016
Requested date:	30-October-2016
Released date:	06-December-2016
Posted date:	19-December-2016
Source of document:	Freedom of Information Act Officer Disclosure Services, Communications Division Office of the Comptroller of the Currency 400 7th Street, SW Washington, DC 20219

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



December 6, 2016

This is in response to your letter dated October 30, 2016, which was received in my office on November 7, 2016 for processing under the Freedom of Information Act (FOIA), 5 U.S.C. 552.

You requested a copy of the Office of the Comptroller of the Currency's (OCC) Freedom of Information Act Appeals Log since 2009.

Enclosed are the appeals log responsive to your request. Please note that the OCC has not received any appeals since July 2016. Identifying details that relate to Privacy Act requests (some misclassified), and other personal identifiable information have been deleted by the authority of 5 U.S.C. 552(b)(6) and 12 C.F.R. 4.12(b)(6), personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

If you consider any of the above to be an improper denial of your request, you may appeal such denial to the Comptroller of the Currency. The appeal should be filed within 90 days of the date of this letter, should state the circumstances and reasons or arguments in support of the appeal, and be submitted via our online FOIA application at <https://foia-pal.occ.gov/> or be mailed to:

Manager, Disclosure Services & Freedom of Information Act Officer  
Communications Division  
Office of the Comptroller of the Currency  
Suite 3E-218  
Washington, DC 20219

By filing an appeal, you preserve your rights under FOIA and give the agency a chance to review and reconsider your request and the agency's decision.

If you would like to discuss our response before filing an appeal to attempt to resolve your dispute without going through the appeals process, you may contact our FOIA Public Liaison, Frank Vance, for assistance at:

Disclosure Services  
Communications Division

Office of the Comptroller of the Currency  
400 7<sup>th</sup> Street, SW, Suite 3E-218  
Washington, DC 20219  
(202) 649-6758  
[Frank.Vance@occ.treas.gov](mailto:Frank.Vance@occ.treas.gov)

If you are unable to resolve your FOIA dispute through our FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's office, offers mediation services to help resolve disputes between FOIA requesters and Federal agencies. The contact information for OGIS is:

Office of Government Information Services  
National Archives and Records Administration  
8601 Adelphi Road—OGIS  
College Park, MD 20740-6001  
(202) 741-5770  
(877) 684-6448  
[ogis@nara.gov](mailto:ogis@nara.gov)  
[ogis.archives.gov](http://ogis.archives.gov)

Sincerely yours,

**Frank D. Vance, Jr.**

Frank D. Vance, Jr.  
Manager, Disclosure Services  
& Freedom of Information Act Officer  
Communications Division

Enclosure(s)

#2017-00052-F



Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:56:30 PM

**Appeals Log 1 26 2009 to 09 30 2010  
 Received between 01/26/2009 and 09/30/2010**

Request ID	Requester Name	Reference Number	Request Description	Received Date	Closed Date	Final Disposition
2009-00001-AP	[REDACTED]	2009-00001-FPA	access to the information denied in your original seeking any and all information, documents, facts, and particulars pertaining to [REDACTED] contained in case file [REDACTED]	03/10/2009	06/01/2009	Partially Affirmed & Partially Reversed/Remanded
2009-00002-AP	[REDACTED]	2009-00015-FPA	the cover letter to the Bank's May 12, 2008 letter to the OCC regarding your complaint	04/03/2009	08/31/2009	Completely Reversed/Remanded
2009-00003-AP	[REDACTED]	2009-00017-FPA	copies of documents that were withheld in your original request, [REDACTED]	04/28/2009	12/01/2009	Partially Affirmed & Partially Reversed/Remanded
2009-00004-AP	[REDACTED]	2009-00029-FPA	access to the three documents withheld relevant to your initial request.	08/28/2009	02/02/2010	Partially Affirmed & Partially Reversed/Remanded
2009-00005-AP	Adams, William (Chip)	2009-00017-F	access to OCC documents withheld relevant to the FDIC takeover of West Belt National Bank, Houston, Texas, on or about January 12, 1989 and a copy of the entire file regarding West Belt National Bank from 1987 to present.	05/19/2009	07/14/2009	Completely Reversed/Remanded
2009-00006-AP	Butler, Robert	-	Mr. Vance, I understand that only 4 of the complaints were filed in 2006. However it is possible that the complaints Mr. DeBuck referred to could have been filed before or after 2006. Therefore I'd like to expand the request to see all complaints filed against banks in 2005, 2006 and 2007.	06/03/2009	06/03/2009	Other Reasons - Request Withdrawn
2009-00007-	[REDACTED]	-	[REDACTED] is not responding to my QWR and sent a letter to the	06/24/2009	06/30/2009	Other Reasons - Request Withdrawn

<u>AP</u>			OCC of which I do not have a copy. I would like a copy of it and any other correspondences you have. [REDACTED]			
<u>2009-00008-AP</u>	[REDACTED]	2009-00055-FPA	all information provided to the OCC by [REDACTED] in reference to your complaint, [REDACTED] dated [REDACTED].	07/08/2009	08/18/2009	Completely Reversed/Remanded
<u>2009-00009-AP</u>	[REDACTED]	-	DEMAND for documentation per FOIA request on 07/13/2008 documentation not received. Still want to file appeal because I asked for an appeal more than nine months ago.	07/14/2009	07/15/2009	Other Reasons - Request Withdrawn
<u>2009-00010-AP</u>	Pemberton, Timothy	2009-00238-F	Appeal of denial of compensation data, which has been made publicly available before to others.	07/15/2009	01/29/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2009-00011-AP</u>	Pemberton, Timothy	2009-00341-F	Denial of request for compensation data without cause.	07/15/2009	08/25/2009	Other Reasons - Not FOIA
<u>2009-00012-AP</u>	[REDACTED]	2009-00062-FPA	I have been denied access to [REDACTED] of [REDACTED] per an email I recieved today from Jacqueline England in your Disclosure Services. I then spoke to Frank D. Vance Jr and he advised to to appeal that he does not have authority at his level to release this letter to me. I asked him that I NEED to see this letter as otherwise I am not able to defend myself from their claims. Im sure that would not sit very well in ANY court of law and that every man needs to know what the charges are against him and secondly, the refusal to allow me to see the letter indicates subterfuge or fraud on the part of [REDACTED] which is what I am accusing them of in the first place. Please release and send to me	07/21/2009	10/23/2009	Other Reasons - Duplicate Request

2009-00013-AP	Garber, Ronald	2009-00242-F	asap. Thank you Documents relating to Silverton Bank, NA, Atlanta, GA	07/29/2009	08/28/2009	Completely Affirmed
2009-00014-AP		2009-00067-FPA	I am appealing the denial of the withheld documents in my consumer complaint file (15 documents) My complaint case # [REDACTED] Please e-mail the withheld documents to the following e-mail addresses: [REDACTED] AS SOON AS POSSIBLE. TIME IS OF THE ESSENCE	08/10/2009	02/01/2011	Partially Affirmed & Partially Reversed/Remanded
2009-00015-AP		2009-00076-FPA	ATTN: Frank D. Vance Jr. Letter of appeal to obtain thirteen pages withheld from OCC response regarding original request [REDACTED]	08/18/2009	10/01/2009	Partially Affirmed & Partially Reversed/Remanded
2009-00016-AP	Snowe, Alan	2009-00075-FPA	appealed the OCC's decision to withhold [REDACTED] [REDACTED] response to your client's complaint, case [REDACTED]	08/18/2009	08/28/2009	Completely Affirmed
2009-00017-AP	Muckleroy, Kim	-	I need a copy of Mike Muckleroy's deposition and any documents [REDACTED] [REDACTED] [REDACTED] I would greatly appreciate your help with this matter. Sincerely, Kim Muckleroy	08/24/2009	08/25/2009	Other Reasons - Not FOIA
2009-00018-AP		2009-00080-FPA	There is not any reason for this denial. (Lykes Bros. S.S. Co., Inc. V. Pena, 1993 U.S. Dist. LEXIS 20279, D.D.C. Sept 2, 1993). I will look	08/24/2009	10/01/2009	Completely Affirmed

			forward to receiving the information that I am due. If you deny this request, please give me the contact name for your legal contact for that I will proceed with a formal lawsuit to receive this information. Thank you, [REDACTED]			
2009-00019-AP	[REDACTED]	2009-00063-FPA	the OCC's decision to withhold [REDACTED] response to your complaint, case [REDACTED]	08/25/2009	10/15/2009	Completely Reversed/Remanded
2009-00020-AP	[REDACTED]	2009-00066-FPA	the OCC's decision to withhold three documents contained in your complaint case, [REDACTED]	08/27/2009	12/07/2009	Partially Affirmed & Partially Reversed/Remanded
2009-00021-AP	[REDACTED]	2009-00062-FPA	I do not understand why my request is denied per your ltr of July 21, 2009. [REDACTED] are USING the loan servicer to hide behind and [REDACTED] are the ones directing the loan servicer. This is [REDACTED] actions, and they should/MUST be held accountable and you should NOT let them pass the buck to their loan servicer [REDACTED]	09/14/2009	10/23/2009	Completely Reversed/Remanded
2009-00022-AP	[REDACTED]	2009-00083-FPA	The information which is being withheld is very critical to our case and needs to be reviewed by us for further action. Please send ASAP	09/14/2009	10/23/2009	Partially Affirmed & Partially Reversed/Remanded
2009-00023-AP	[REDACTED]	2009-00477-F	VIOLATIONS OF THE FAIR DEBT COLLECTIONS ACT BY [REDACTED] FALSE CLAIMS RESULTED IN BK7. COVERED UNDER THE ESTATE AND MOVING FORWARD AS A VIOLATION OF 11 U.S.C. 365 B AUTOMATIC STAY. ALL DEBT DISCHARGED AND CLOSED...ALL ASSETS INCLUDING CLAIMS AS THEY WERE A RESULT OF FCA AND FRAUD.	09/21/2009	09/24/2009	Other Reasons - Request Withdrawn
2009-00024-AP	[REDACTED]	2009-00060-FPA	I demand that all documents pertaining to [REDACTED] investigation upon itself be revealed	09/28/2009	12/07/2009	Partially Affirmed & Partially Reversed/Remanded

			to me in its full content. I appeal the letter dated [REDACTED] of which I did not receive via USPS but by email. I demand that all documentation regarding the [REDACTED] internal investigation be revealed to me in every form regarding case [REDACTED]. I further state that the mere denial and withholding of this information is still a violation of my rights under FOIA. This is appalling and unacceptable and continues to cause me financial harm.			
<u>2010-00001-AP</u>	[REDACTED]	2009-00077-FPA	the withholding of the banks response to complaint case number [REDACTED]	10/01/2009	01/04/2010	Completely Reversed/Remanded
<u>2010-00002-AP</u>	Thomas, Ken	2009-00281-F	With all due respect I cannot imagine where there was not one single correspondence including letters, e-mails or other documents subject to FOIA between OCC Dugan and FDIC Chair Bair for the specific period of Sept. 2008 to the present. Thus, I am appealing the below FOIA. Thank you, Ken Thomas ----- This is in response to your Freedom of Information Act request dated June 15, 2009, received in my office on June 15, 2009. Your request was assigned number 2009-00281-F. You requested copies of all correspondence including letters, e-mails or other documents subject to FOIA between OCC Dugan and FDIC Chair Bair for the specific period of Sept. 2008 to the present. After a thorough search of our files, no responsive documents were located.	10/06/2009	11/29/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00003-</u>	Lipscomb, Nina	2009-00459-F	You appealed the OCC's denial of information relating to the I-METRO	10/09/2009	05/09/2011	Partially Affirmed & Partially Reversed/Remanded



AP			Contract.			
2010-00004-AP	Moore, Sidney	2009-00247-F	copies of any documents, correspondence, regulations, advisories, staff opinions or legal staff opinions related to Bank of America and whether debt cancellation programs are subject to state insurance rate regulations.	10/19/2009	03/28/2011	Completely Reversed/Remanded
2010-00005-AP		2009-00086-FPA	the OCC's decision to withhold various pages relevant to your request for documents regarding your complaint, case	11/02/2009	01/13/2010	Partially Affirmed & Partially Reversed/Remanded
2010-00006-AP		2010-00008-FPA	improper denial of my FOIA request	11/13/2009	12/15/2009	Partially Affirmed & Partially Reversed/Remanded
2010-00007-AP	Jamaladdin, Omar	2009-00503-F	FREEDOM OF INFORMATION ACT REQUEST FOR NOTIFICATION AND ACCESS 1. This is a request under the Freedom of Information Act, 5 USC 552. 2. I understand the penalties provided in 5 USC 552a(i)(3) for requesting, or obtaining access to records, or information, under false pretenses. 3. If some of this request is exempt from release, please send me those portions reasonably separable and provide me with an itemization and justification, in writing, explaining the reasons for the exemptions and material, information, documents, and/or records that is not being released. 4. This is my firm promise to pay fees and costs for reviewing and duplicating the records and documents requested. 5. This requester is requesting notification and access for copies of the following records: "ALL RECORDS IN WHICH	11/18/2009	01/22/2010	Other Reasons - No Records

			THIS REQUESTOR IS A PERSON NAMED IN A RECORD, OR REPORT." 6. The reason I am requesting these records is to update and maintain my personal records, and to identify information that may not be complete, or accurate. 7. Please respond within twenty (20) business days upon receipt of this request. "I swear and affirm, under the penalties of perjury, that these representations are true, that I have personal knowledge of the facts stated herein, that I am competent to testify to the facts stated herein."			
<u>2010-00008-AP</u>	Denison, Gary	2009-00463-F	I hereby appeal the denial by the Office of the Comptroller of the Currency of information requested pursuant to the Freedom of Information Act (FOIA), 5 U.S.C §552. Reasons for appeal are fully outlined in the attachment.	12/03/2009	03/29/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00009-AP</u>		2010-00016-FPA	Nov. 24th 2009 I was denied my request for information. I am appealing this decision based upon the fact that I am requesting information that concerns my own banking affairs. I cannot respond to anything with pertinence because I do not know the exact response from the [REDACTED]. In order for us to conclude our business I need information that only they have. The reason my request was denied was the 1974 privacy act. I am not asking for any information other than what directly pertains to me and my banking history at this bank. I have been asking these questions for 3+ years and have	12/03/2009	06/11/2010	Completely Reversed/Remanded

			never received an answer that addresses all my questions. I would also like to clear up any misinformation that may be out there.			
<u>2010-00010-AP</u>		2010-00019-FPA	the OCC's denial of a documents pertinent to your complaint, case numbers [REDACTED] and [REDACTED].	12/16/2009	02/04/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00011-AP</u>	Wexler, Eric	2010-00012-FPA	Appeal of Partial Denial of FOIA Request for records relating to your client's complaint	12/23/2009	02/11/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00012-AP</u>	Kreidt, Lori	2010-00100-F	I am just checking the status on this request because it is past the due date of December 21, 2009. Thank you.	12/31/2009	01/07/2010	Other Reasons - Closed without Determination
<u>2010-00013-AP</u>		2010-00025-FPA	I am appealing the OCC's decision to withhold 12 pages from my consumer complaint case number [REDACTED]	01/26/2010	03/22/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00014-AP</u>		2010-00034-FPA	Please explain why it has been since October 1st, 2009 and I have never received a response to my 2 requests. I asked for the correspondence and am told it is not being given to me? Are you there to regulate or protect the bank? I thought your job was to make sure this exact thing is not supposed to occur but you are still failing to hold up your responsibilities. If I am the one filing the case, then please explain to me why I cannot receive the information I requested?	02/03/2010	03/22/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00015-AP</u>	Clark, Yolanda	2010-00198-F	The original FOIA request was received by the Office of the Comptroller of the Currency on January 20, 2010 with an approximate turnaround time of 20 days. To my knowledge, the request has not been completed and an attempt by me to follow-up was unsuccessful. This is an appeal for the completion of the original request, as the	03/01/2010	03/08/2010	Other Reasons - Appealed Prior to Determination

			due date was February 18, 2010.			
<u>2010-00016-AP</u>		2010-00038-FPA	Under the authority of the FOIA, 5 U.S.C. subsection 552, and the Privacy Act, 5 U.S.C. subsection 552a I am requesting full disclosure of the Consumer Complaint and Inquiry System of records to complaint file [REDACTED] submitted to OCC-CAG on [REDACTED] and is inclusive of but not limited to all correspondence between the OCC and [REDACTED]. Also, it is required and mandatory that a determination has to be made regarding the casefile # [REDACTED]. Information requested is my personal information and it must be fully released/disclosed to the beneficial owner(s) of records upon written request. No longer tolerating circumventing techniques and gross violation of sound banking practices. I am demanding access to physical and equitable property wrongfully and illegally being withheld from beneficial owner(s).	03/02/2010	03/15/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00017-AP</u>	Kastner, Kristofer	2010-00274-F	From appearances, most of the documents on the breach of trust have been redacted. It appears as there has been no effort to distinguish between public information and information which should be redacted as over 92 pages have been cut from the records on the trust account and others elsewhere. I would like the full records provided or at least a proper redaction of what is disclosed (complaint data for Intrust Bank of Wichita, KS, re unauthorized transfers, fiduciary (trust) and investments).	03/15/2010	01/24/2011	Partially Affirmed & Partially Reversed/Remanded

2010-00018-AP		2010-00051-FPA	have and continue to report false consumer credit information on an account it does not own.	04/21/2010	04/28/2010	Partially Affirmed & Partially Reversed/Remanded
2010-00019-AP		2010-00045-FPA	Appealing the OCC's denial of document contained in your complaint file	04/14/2010	04/28/2010	Partially Affirmed & Partially Reversed/Remanded
2010-00020-AP	England, Jacqueline	2010-00004-TEST	System Test Case	04/15/2010	04/15/2010	Other Reasons - Request Withdrawn
2010-00021-AP		2009-00073-FPA	Attorney General, County Sheriff's detectives, Senator and district court representative have all stated that these documents are necessary and vital and should be released to me. There are two active criminal investigations. is hiding behind the fact that they know I can't see these documents. is telling the OCC one thing and state and local fraud prosecutors something different. Finally, I was told by Florida state officials that the Obama Administration has recently asked all federal agencies to make more documents available.	04/16/2010	07/07/2010	Partially Affirmed & Partially Reversed/Remanded
2010-00022-AP	Walker, Brian	2010-00395-F	Formula need for compliance with Truth In Lending requirements. Item closed with no pending information for reason given. Please provide source code or reason for denial.	04/20/2010	04/20/2010	Other Reasons - Closed without Determination
2010-00023-AP		2010-00061-FPA	the OCC's decision to withhold documents contained in your consumer complaint file.	04/20/2010	04/30/2010	Completely Reversed/Remanded
2010-00024-AP		2010-00063-FPA	In I filed a complaint of predatory lending with the . After referring it to State who referred it back to again, it was sent to the OCC on	04/26/2010	05/25/2010	Completely Reversed/Remanded

			<p>2009. I was told it would take about 60 days to consider the complaint. I would be asked for detailed information, once it was being considered. I checked the case status online regularly with no activity. On [REDACTED] 2010 (after 6 months) I called the OCC asking about my case. I asked if the bank had sent any response, since I was told that was the procedure. I was informed on [REDACTED], 2010 that [REDACTED] had responded the day before on [REDACTED]. I also was told that I could not see what the bank's response was unless I filed a FOIA request, which I did. Soon thereafter I get an email from OCC giving me a copy of my own complaint and a denial of my request to see the bank's response. I cannot see any justification for denying me access to what the bank says about my complaint because (1) the bank was supposed to contact me as a result of this process (They have not); and (2) the complaint I made was about deception and dishonesty on their part during the loan application process. How can I demonstrate to you what happened without knowing what [REDACTED] is claiming occurred. Respectfully, [REDACTED] phone cc: [REDACTED] US Senator [REDACTED]</p>			
2010-00025-AP	[REDACTED]	2010-00068-FPA	<p>The OCC was the one that told me I needed to file in civil court. How can I proceed without the documents. In addition, [REDACTED] is telling the OCC one thing and state and local fraud</p>	04/28/2010	07/07/2010	Partially Affirmed & Partially Reversed/Remanded

			investigators something else. I need to OCC to treat both parties equally. How do you know if [REDACTED] is telling the truth if I am not able to see what they are saying about me? The state has asked for the files. Senator [REDACTED] has asked for the files. The court mediator has asked for the files.			
<u>2010-00026-AP</u>	Grow, Brian	2010-00267-F	the OCC's decision to withhold records reflecting communications between the OCC and any members of Congress concerning First National Bank of Georgia.	05/03/2010	06/22/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00027-AP</u>	[REDACTED]	2010-00067-FPA	the OCC's decision to withhold documents related to your complaint file, case [REDACTED].	05/05/2010	05/25/2010	Completely Reversed/Remanded
<u>2010-00028-AP</u>	Roberts, Fred	2010-00392-F	To whom it may concern: [REDACTED]  the directive from the Office of the Comptroller of the Currency (the "OCC") to Pacific Capital Bank, N.A. stating that the Bank may not originate, purchase or hold Refund Anticipation Loan ("RAL") loans, and that the Bank should take whatever steps are necessary to accomplish this result under the Bank's respective contracts with third party vendors. In addition, [REDACTED]	05/11/2010	05/25/2010	Completely Affirmed

			Sincerely, Frederick L. Roberts			
<u>2010-00029-AP</u>		2010-00072-FPA	the OCC's withholding of documents contained in your complaint file.	05/17/2010	05/28/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00030-AP</u>	Fitzgerald, Alison	2010-00080-F	the OCC's denial of records responsive to the request of Alison Fitzgerald, Bloomberg, L.P., dated November 10, 2009, seeking copies of or access to logs of phone calls, emails, and/or other correspondence from April 1, 2009 through October 31, 2009, between John Dugan and any other OCC designee to the Basel Committee and the following people: Nout Wellnick, Stefan Walter, Danielle Nouy, Karl Cordewener, Sylvie Matherat, Jose Maria Roldan, Giovanni Carosio, Jerry Edwards, Klaas Knot, Thilo Liebig, Hirotaka Hideshima, Peter Praet, and Ryozy Himino.	05/20/2010	03/06/2012	Completely Affirmed
<u>2010-00031-AP</u>	Brown, Reginald	2010-00363-F	the OCC's decision to withhold documents relating to actual or proposed corporate transactions involving Wachovia Corporation or its subsidiaries ("Wachovia") during the period between September 15, 2008 and October 15, 2008.	06/01/2010	12/22/2010	Other Reasons - Request Withdrawn
<u>2010-00032-AP</u>		2010-00086-FPA	We are absolutely sure that [REDACTED] is committing fraud by lying to you as well as us (and probably thousands of other people, but that is irrelevant I suppose). How can we defend ourselves if you won't even disclose what they are saying? You imply that this is subject to a compliance exam of some sort. If that is the case, just say so. We have hard proof of this fraud and I am very sure	06/07/2010	06/22/2010	Partially Affirmed & Partially Reversed/Remanded



			you already know about it. Question is, what are you doing about it?			
<u>2010-00033-AP</u>		2010-00083-FPA	I need to respond to both the FTC's and HUD's response to my letters regarding the loophole enable by national bank's unwillingness to require disclosure letters of rebates paid outside of closing by real estate brokerages.	06/29/2010	07/13/2010	Completely Reversed/Remanded
<u>2010-00034-AP</u>		2010-00110-FPA	I requested copies of all correspondence re OCC complaint # [REDACTED] between the OCC and [REDACTED]. You just sent a copy of my original HELOC contract as well as two copies of the canned response [REDACTED] sent me in [REDACTED]. Thanks but I've already got that. I want a copy of the request the OCC sent [REDACTED] for additional information, and the information the OCC recently received from [REDACTED] (sometime between [REDACTED] and [REDACTED]). I don't want examination, operating or condition reports. I just want copies of correspondence relating to my complaint ... correspondence about ME AND MY COMPLAINT. [REDACTED] is getting away with making up their own rules and not abiding by the OCC rules. [REDACTED] has been dragging their feet responding to my complaint (made over a year ago) and responding to the OCC's request for additional information (over 6 months ago), and I'm not getting any help from the OCC.	07/08/2010	07/13/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00035-AP</u>		2010-00109-FPA	Respecting the fact that this is a very complicated case and that your office could rule against me and in favor of [REDACTED]	08/03/2010	08/09/2010	Completely Reversed/Remanded

		<p>██████████ it is critical that I have a copy of their letter to you of ██████████ 2010 for the following reasons: 1) ██████████ now ██████████ has a documented history from 1943 through 1954 (I have listed correspondence in my original complaint) of denying the sole surviving family member access to funds deposited with them prior to the ██████████</p> <p>██████████ 2) There are at least two distinct legal issues to be resolved: first the fact that claims for these funds were denied prior to the ██████████ and whether these misdeeds are forgiven because of the ██████████ and ██████████ secondly, whether ██████████ is responsible today to honor the subject deposit irrespective of the ██████████ without ruling on ██████████ behavior in denying the funds to the rightful owners from 1943 through 1954. 3) Unless I have the correspondence to you dated ██████████, 2010, it will be impossible for me to determine the extent, thoroughness and truthfulness of their reply and the appropriate ground for my appeal should the case be decided against me. 4) This appeal to receive the subject document must be made by a date likely to be before any decision is rendered by your office and may be the only chance I have to further request the need for the</p>			
--	--	---	--	--	--

			document. 5) Not providing me a copy of the document severely jeopardizes my ability to appeal your decision should the case be decided against me.			
<u>2010-00036-AP</u>		2010-00088-FPA	the OCC's decision to withhold seven pages contained in your complaint file.	07/12/2010	09/03/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00037-AP</u>		2010-00104-FPA	response to complaint is the only document required	07/21/2010	08/09/2010	Completely Reversed/Remanded
<u>2010-00038-AP</u>		2010-00116-FPA	I have requested a copy of the communication from our bank in response to our complaint filed in 2010. This request was denied. I am at a loss. How can the situation be worked out without communication. This once again shows the lack of communication and cooperation from our Bank. It's our account, how can a request for the communication regarding our account be private and under protection. Protection from who? We are filing this request to better understand the situation.	07/28/2010	08/09/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00039-AP</u>	Carollo, Russell	2010-00438-F	the OCC's decision to withhold documents related to the public enforcement actions taken against Silverton Bank, N.A., Atlanta, Georgia, First Heritage Bank, N.A., Newport Beach, California, and First National Bank of Nevada, Reno, Nevada.	08/04/2010	12/27/2010	Completely Reversed/Remanded
<u>2010-00040-AP</u>		2010-00102-FPA	the OCC's decision to withhold 29 pages relevant to your request for all documents in possession of the OCC, in active and in archive files, relating to the complaint filed by and against	08/13/2010	09/03/2010	Partially Affirmed & Partially Reversed/Remanded

			case # [REDACTED], OCC			
<u>2010-00041-AP</u>	Roiter, Eric	2010-00665-F	I did not receive the e-mail which the FOIA claims to have sent me on July 27. My FOIA request has been closed. Please re-open my FOIA request and expedite your response. I teach a banking law course at BU School of Law and need these materials for my course. Thank you. Prof. Eric Roiter	08/24/2010	08/24/2010	Other Reasons - Request Withdrawn
<u>2010-00042-AP</u>	Strand, Michael	2010-00609-F	the OCC's decision to withhold salary information relating to employees in the OCC's Salina, Kansas office.	09/07/2010	10/12/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00043-AP</u>	[REDACTED]	2010-00124-FPA	the OCC's decision to withhold documents contained in my complaint files, case number [REDACTED] and [REDACTED].	09/08/2010	09/20/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2010-00044-AP</u>	[REDACTED]	2010-00125-FPA	I appeal the withholding of the two pages from my complaint file which should be the bank's response to my complaint.	09/09/2010	09/16/2010	Completely Reversed/Remanded
<u>2010-00045-AP</u>	[REDACTED]	2010-00128-FPA	the initial request for disclosure of all information as it pertains to case #2010-00128-FPA inclusive of response received from bank in regards to inquiry submitted on our behalf by senator [REDACTED]. The response sent on 7/21/10 is not response sent from the "bank" [REDACTED]. Let me make it clear that I am requesting disclosure of all material documents submitted by [REDACTED] in response to the aforementioned inquiry. This is not a dispute of facts, the facts are blatantly being disregarded. Once again I request that my inquiry be properly processed.	09/28/2010	01/20/2011	Completely Reversed/Remanded

Total No of Requests : 69



Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:46:14 PM

**Appeals Log 10 01 2010 to 09 30 2011  
 Received between 10/01/2010 and 09/30/2011**

Request ID	Requester Name	Reference Number	Public FOIA Log Description	Received Date	Closed Date	Final Disposition
<u>2011-00001-AP</u>		2011-00005-FPA	the OCC's decision to withhold the bank's response to your complaint.	10/07/2010	10/26/2010	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00002-AP</u>		2011-00006-FPA	the OCC's decision to withhold the bank's response to your complaint.	10/08/2010	12/27/2010	Completely Reversed/Remanded
<u>2011-00003-AP</u>		2010-00048-FPA	the OCC's decision to withhold the bank's response to my complaint.	10/28/2010	12/27/2010	Other Reasons - Improper FOIA Request For Other Reason
<u>2011-00004-AP</u>		2011-00011-FPA	the OCC's decision to withhold one document contained in your complaint file.	11/01/2010	01/10/2011	Completely Reversed/Remanded
<u>2011-00005-AP</u>		2011-00017-FPA	the decision to withhold the bank's response to your complaint.	11/15/2010	12/27/2010	Completely Reversed/Remanded
<u>2011-00006-AP</u>		2011-00116-F	appealing CAG's decision re your complaint.	11/18/2010	11/18/2010	Other Reasons - Not FOIA
<u>2011-00007-AP</u>	Stephens, Alfred	2011-00048-F	information re a job application rating and ranking.	11/18/2010	12/22/2010	Other Reasons - Request Withdrawn
<u>2011-00008-AP</u>		2009-00024-AP	CAG Appeal	11/19/2010	01/25/2011	Other Reasons - Closed without Determination
<u>2011-00009-AP</u>		2011-00013-FPA	the OCC's decision to withhold documents contained in your complaint file.	12/09/2010	01/11/2011	Completely Reversed/Remanded
<u>2011-00010-AP</u>	Gorrell, Denise	2011-00050-F	the OCC's decision to withhold documents relevant to the application to charter ReconTrust Company, NA.	12/07/2010	02/28/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00011-AP</u>		2011-00019-FPA	your decision to withhold the bank's response to my complaint.	12/22/2010	01/11/2011	Completely Reversed/Remanded
<u>2011-00012-AP</u>		2011-00026-FPA	the OCC's decision to withhold the bank's response to	01/06/2011	03/28/2011	Partially Affirmed & Partially Reversed/Remanded

<u>2011-00013-AP</u>	Pinga, Louis	2010-00854-F	your complaint. information related to a 1960 merger between to state affiliates of Wells Fargo.	01/06/2011	01/31/2011	Other Reasons - No Records - Records Never Existed
<u>2011-00014-AP</u>	Rosenberg, Craig	2011-00028-FPA	a copy of the exam taken for your employment with the OCC.	01/18/2011	02/11/2011	Completely Affirmed
<u>2011-00015-AP</u>	Elgart, Allison	2011-00219-F	modification to a FOIA request.	01/19/2011	06/17/2016	Other Reasons - Request Withdrawn
<u>2011-00016-AP</u>		2011-00058-FPA	the withholding of your complaint records.	03/03/2011	03/25/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00017-AP</u>		2011-00061-FPA	appealed the withholding your complaint records.	03/09/2011	05/09/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00018-AP</u>		2011-00067-FPA	the withholding of one document contained in your complaint file.	03/29/2011	05/23/2011	Completely Reversed/Remanded
<u>2011-00019-AP</u>		2011-00070-FPA	the denial of the bank's response to your complaint.	04/04/2011	05/23/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00020-AP</u>	Rothacker, Richard	2011-00306-F	withholding of records referred from the FRB re Bank of America executives and the Merrill Lynch acquisition in December 2008 end January 2009.	04/25/2011	08/04/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00021-AP</u>		2011-00101-FPA	the OCC's decision to withhold the bank's response to your complaint.	06/07/2011	09/14/2011	Completely Reversed/Remanded
<u>2011-00022-AP</u>	Kelley, Colleen	2011-00365-F	the OCC's unresponsiveness to your FOIA request for employee salary information	06/09/2011	07/18/2011	Completely Reversed/Remanded
<u>2011-00023-AP</u>		2011-00113-FPA	the OCC's decision to withhold records in your complaint file.	06/10/2011	09/19/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00024-AP</u>	Becker, Denny	2011-00340-F	information related to DOJ's investigation re Wachovia and the transfer of assets to Mexican drug cartels.	06/13/2011	11/02/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00025-AP</u>		2011-00105-FPA	the OCC's decision to withhold records in your complaint file.	06/23/2011	08/09/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-</u>		2011-00103-	the OCC's decision to withhold records	06/24/2011	08/02/2011	Partially Affirmed & Partially

<u>00026-AP</u>		FPA	in your complaint file.			Reversed/Remanded
<u>2011-00027-AP</u>		2011-00104-FPA	the OCC's decision to withhold records in your complaint file.	06/28/2011	12/06/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00028-AP</u>		2011-00608-F	more about his complaint...	07/06/2011	07/06/2011	Other Reasons - Closed without Determination
<u>2011-00029-AP</u>	Nichols, Bill	2011-00563-F	the name, firm, address, phone number and e-mail address of the independent consultant engaged by Bank of America and the engagement letter submitted by the Bank to the Deputy Controller and the Examiner-in-Charge.	07/08/2011	12/06/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00030-AP</u>	karna, vinay	2011-00543-F	Seeking charter, ea, CRA and other records related to Texas Community Bank, NA.	07/11/2011	07/29/2011	Other Reasons - Request Withdrawn
<u>2011-00031-AP</u>	Moore, Lori	2011-00400-F	complaint data relevant to certain banks	07/27/2011	08/16/2012	Completely Reversed/Remanded
<u>2011-00032-AP</u>	Moore, Lori	2011-00401-F	complaint data relevant to certain banks	07/27/2011	01/16/2013	Completely Affirmed
<u>2011-00033-AP</u>		2011-00116-FPA	the OCC's decision to withhold documents contained in your complaint file.	07/28/2011	09/14/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00034-AP</u>	Foglietta, Scott	2011-00592-F	withholding of information submitted to the OCC by Lender Processing Services (LPS) as required by the April 13, 2011 consent order.	08/09/2011	05/10/2012	Completely Affirmed
<u>2011-00035-AP</u>		2011-00124-FPA	did not receive the bank's response to your complaint.	08/10/2011	12/15/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00036-AP</u>		2011-00125-FPA	did not receive the bank's response to your complaint.	08/10/2011	12/15/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00037-AP</u>		2011-00118-FPA	did not receive your complaint records.	08/10/2011	12/15/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2011-00038-AP</u>	Garcia, Lisette	2011-00532-F	did not respond timely to your request.	09/20/2011	01/05/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2011-</u>	Garcia,	2011-	the OCC's decision	09/22/2011	01/24/2012	Partially Affirmed &

<u>00039-AP</u>	Lisette	00732-F	to withhold personal employee information contained in the Standard Form 50 (SF-50s).			Partially Reversed/Remanded
-----------------	---------	---------	---	--	--	-----------------------------

**Total No of Requests : 39**





Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:44:54 PM

**Appeals Log 10 01 2011 to 09 30 2012  
 Received between 10/01/2011 and 09/30/2012**

Request ID	Requester Name	Reference Number	Public FOIA Log Description	Received Date	Closed Date	Final Disposition
<u>2012-00001-AP</u>		2011-00608-F	appealed the request for the investigation results of your complaint.	10/03/2011	12/16/2011	Completely Affirmed
<u>2012-00002-AP</u>		2012-00002-FPA	the OCC's decision to withhold correspondence between the OCC and bank relating to your complaint.	10/13/2011	12/16/2011	Partially Affirmed & Partially Reversed/Remanded
<u>2012-00003-AP</u>		2012-00007-FPA	withholding of records contained in your consumer complaint file.	10/18/2011	12/20/2011	Completely Reversed/Remanded
<u>2012-00004-AP</u>	Carder, Chad	2011-00743-F	withholding of records relating to Bank of America's mortgage servicing	10/24/2011	12/09/2011	Other Reasons - Duplicate Request
<u>2012-00005-AP</u>	Beachy, Benjamin	2011-00591-F	the OCC's decision to withhold various documents relating to the World Trade Organization's Financial Services Agreement dating back to 1986.	10/24/2011	03/29/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-00006-AP</u>		2012-00012-FPA	the withholding of the bank's responses to your consumer complaint.	10/31/2011	01/23/2012	Completely Reversed/Remanded
<u>2012-00007-AP</u>	Bley, John	2011-00741-F	the OCC's decision to withhold information regarding the elements that make up a certain loan index used by Wells Fargo called the COSI Index and correspondence from World Savings Bank to the OTS dated on or around December 15, 2006	10/31/2011	02/10/2012	Completely Affirmed
<u>2012-00008-AP</u>	Bowman, Jane	2011-00504-F	the withholding of records related to various banks' interagency horizontal review.	10/27/2011	05/29/2012	Completely Affirmed
<u>2012-00009-AP</u>	Carder, Chad	2011-00743-F	the OCC's decision to withhold documents relevant to Bank of America Corporation.	11/01/2011	04/19/2012	Completely Affirmed
<u>2012-00010-AP</u>	Tali, River	2012-00007-F	Pursuant to 5 USC 552(a) can you please provide oath of office for the following SHOREBANK OFFICERS, Mary	11/18/2011	11/18/2011	Other Reasons - Not an Agency Record

<u>2012-00011-AP</u>		2012-00021-FPA	Houghton and George Surgeon seeks information withheld in original request for documents contained in my complaint file	11/22/2011	01/20/2012	Completely Reversed/Remanded
<u>2012-00012-AP</u>	Cserhati, Matyas	2011-00846-F	the OCC's search for records relating to your frozen bank account.	12/05/2011	01/20/2012	Completely Affirmed
<u>2012-00013-AP</u>	Maskell, Erin	2011-00876-F	withholding of records related to your request for all documents obtained by the OCC related to the investigation into Riggs Bank and its connections with Augusto Pinochet, the former president of Chile	12/07/2011	02/28/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-00014-AP</u>		2012-00019-FPA	the OCC's decision to withhold three pages contained in your complaint file.	12/08/2011	01/20/2012	Completely Reversed/Remanded
<u>2012-00015-AP</u>		2012-00030-FPA	the withholding of the bank's response to your complaint.	12/19/2011	02/10/2012	Completely Reversed/Remanded
<u>2012-00016-AP</u>		2012-00035-FPA	the withholding of records contained in your complaint file.	12/19/2011	03/12/2012	Completely Reversed/Remanded
<u>2012-00017-AP</u>	Lee, Matthew	2012-00090-F	the OCC's decision to withhold Confidential Exhibit D of Capital One's application to acquire HSBC.	12/19/2011	03/22/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-00018-AP</u>		2012-00015-FPA	the OCC's decision to withhold 12 pages contained in your complaint file.	12/28/2011	02/07/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-00019-AP</u>	Villasin, Annie	2012-00195-F	the agency's decision to withhold 32 pages relevant to an application filed by Aurora Bank FSB.	01/12/2012	03/08/2012	Completely Reversed/Remanded
<u>2012-00020-AP</u>	Pauker, Jesse	2012-00239-F	the withholding of documents relevant to your request for records relating to all ROEs for Pamrapo Savings Bank, SLA since 2001 & all documents relating to all corrective actions ordered by the OTS to be taken by Pamrapo.	01/17/2012	07/11/2012	Other Reasons - Request withdrawn
<u>2012-00021-AP</u>	Villere, James	2012-00022-F	I request an answer to why my initial request made in October 2011 was closed without notice to me.	02/02/2012	02/23/2012	Other Reasons - Request Withdrawn
<u>2012-00022-AP</u>	Lee, Matthew	2012-00223-F	the withholding of records relating seeking a non-control determination to own up to 9.9% of Capital One.	02/13/2012	03/29/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u>		2012-00046-	the OCC's decision to withhold two pages contained in your	02/29/2012	03/12/2012	Completely Reversed/Remanded

00023-AP		FPA	complaint file			d
2012-00024-AP		2012-00052-FPA	the OCC's decision to withhold documents related to your consumer complaint .	03/08/2012	03/20/2012	Partially Affirmed & Partially Reversed/Remanded
2012-00025-AP		2012-00055-FPA	the OCC's decision to withhold documents related to your consumer complaint .	03/19/2012	03/29/2012	Partially Affirmed & Partially Reversed/Remanded
2012-00026-AP		2012-00380-F	commented relevant to the response received regarding your consumer complaint.	04/02/2012	04/26/2012	Completely Affirmed
2012-00027-AP		2012-00380-F	Please reopen and combine in one new case all your closed complaint cases.	04/12/2012	04/26/2012	Completely Affirmed
2012-00028-AP		2012-00065-FPA	the OCC 's decision to withhold documents contained in your complaint file.	04/12/2012	05/10/2012	Partially Affirmed & Partially Reversed/Remanded
2012-00029-AP		2012-00433-F	the response of "not agency records" as it relate to your loan.	04/24/2012	05/01/2012	Other Reasons - Not an Agency Record
2012-00030-AP	Patterson, John	2012-00404-F	the withholding records relating to your request for all documents concerning the examination by the Office of Thrift Supervision ("OTS") into the residential real estate mortgage foreclosure processes of OneWest Bank, FSB	05/01/2012	05/29/2012	Completely Affirmed
2012-00031-AP	Parkman, James	2012-00384-F	the withholding of records relevant to your request for documents related to the suspension of Harri Anne Smith, Board of Directors, Slocomb National Bank	05/03/2012	06/15/2012	Partially Affirmed & Partially Reversed/Remanded
2012-00032-AP	Waddell, Sheila	2010-00908-F	Cited urls for OCC speeches and testimony: <a href="http://www.occ.treas.gov/news-issuances/congressional-testimony/2012/pub-test-2012-78-oral.pdf">http://www.occ.treas.gov/news-issuances/congressional-testimony/2012/pub-test-2012-78-oral .pdf</a> ; 2012-78-written.pdf; & speech-2012-77.	05/18/2012	05/18/2012	Other Reasons - Not FOIA
2012-00033-AP	Waddell, Sheila	2010-00907-F	I have a External Subscriber Request for Access and Credential application on file with Federal Reserve Bank Services pending a certified End User Authorization Contact for purposes of clearing Federal stock and agency bonds.	05/18/2012	05/18/2012	Other Reasons - Records Previously Furnished
2012-		2010-	Appeal requested to allow for	05/18/2012	05/18/2012	Other Reasons -

<u>00034</u> <u>-AP</u>		00163-F	amendment to Privacy Act of 1974 disclosure of SORN pertaining to individual requesters and the associated system of records that contains PII relating to them	2	2	Records Previously Furnished
<u>2012-</u> <u>00035</u> <u>-AP</u>		2012- 00093-FPA	the OCC's decision to withhold information pertaining to your consumer complaint.	06/26/2012	07/20/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u> <u>00036</u> <u>-AP</u>		2012- 00092-FPA	the OCC's decision to withhold information pertaining to your consumer complaint.	07/05/2012	08/16/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u> <u>00037</u> <u>-AP</u>	McClanahan, Kel	2012- 00542-F	the withholding of details relevant to your request for an email from "Beck Grund" (a pseudonym) sent to Mike Brosnan, John Lyons, Jennifer Kelly, Mark Levonian, Julie Williams, and Thomas Curry at 9:05 p.m. on April 9, 2012.	07/18/2012	09/19/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u> <u>00038</u> <u>-AP</u>		2012- 00010-PA	the OCC's decision to withhold information pertaining to your consumer complaint.	07/30/2012	08/16/2012	Completely Reversed/Remanded
<u>2012-</u> <u>00039</u> <u>-AP</u>	Garcia, Lisette	2012- 00464-F	the OCC's decision to withhold information pertaining to your request for information related to SF 50s for OCC personnel receiving more than \$225,000 in total compensation.	07/31/2012	10/09/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u> <u>00040</u> <u>-AP</u>		2012- 00015-PA	the OCC's decision to withhold information pertaining to your consumer complaint.	08/01/2012	08/21/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u> <u>00041</u> <u>-AP</u>		2012- 00021-PA	I am requesting all withheld documents.	08/24/2012	09/06/2012	Partially Affirmed & Partially Reversed/Remanded
<u>2012-</u> <u>00042</u> <u>-AP</u>	Kruger, Christopher	2012- 00592-F	the OCC's decision to withhold information relevant to your request for information related to the 2011 consent order issued to OneWest Bank, FSB by the Office of Thrift Supervision.	08/27/2012	11/02/2012	Completely Affirmed
<u>2012-</u> <u>00043</u> <u>-AP</u>	Kruger, Christopher	2012- 00590-F	Appeal of the decision in request # 2012-00590-F that sought documents upon which certain averments contained in OTS Order No. WN-11-011, dated April 13, 2011, were based	08/27/2012	11/02/2012	Completely Affirmed
<u>2012-</u> <u>00044</u> <u>-AP</u>	Kruger, Christopher	2012- 00593-F	the withholding of records relevant to #2012-00593-F that sought documents upon which certain averments contained in OTS Order No. WN-11-011, dated April 13,	08/27/2012	11/02/2012	Completely Affirmed

			2011, were based.			
<u>2012-00045</u> <u>-AP</u>		2012-00012-PA	the OCC's decision regarding your consumer complaint.	08/28/2012	09/28/2012	Completely Affirmed
<u>2012-00046</u> <u>-AP</u>	Helm, Randolph	2012-00609-F	communications between the OCC and U.S. banks that occurred between September 1, 2008 and July 13, 2012 concerning the use of NAICS codes, SIC codes, or other industrial classifications to define loan standards.	09/18/2012	02/11/2013	Completely Affirmed
<u>2012-00047</u> <u>-AP</u>	Elliott, Andrew	2012-00586-F	the OCC's decision to withhold information pertaining to your request for information relating to the April 13, 2011 consent orders and the independent consultants.	09/24/2012	12/06/2012	Partially Affirmed & Partially Reversed/Remanded

**Total No of Requests : 47**



Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:48:11 PM

**Appeals Log 10 01 2012 to 09 30 2013  
 Received between 10/01/2012 and 09/30/2013**

Request ID	Requester Name	Reference Number	Public FOIA Log Description	Received Date	Closed Date	Final Disposition
<u>2013-00001-AP</u>	Garcia, Lisette	2012-00532-F	the OCC's decision to withhold information pertaining to your FOIA request for HVCC information.	10/02/2012	11/05/2012	Other Reasons - Not an Agency Record
<u>2013-00002-AP</u>	Pasley, Robert	2012-00648-F	the OCC decision to withhold information pertaining to the First National Bank of Keystone, Keystone, West Virginia	10/01/2012	01/16/2013	Completely Affirmed
<u>2013-00003-AP</u>	McKinley, Vern	2012-00719-F	OCC's decision to withhold information regarding your request for information relating to JPMorgan's trading loss.	10/31/2012	02/01/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2013-00004-AP</u>		2011-00703-F	a response to your request for information regarding the loan servicing and foreclosure practices of a bank.	11/05/2012	11/08/2012	Other Reasons - Request Withdrawn
<u>2013-00005-AP</u>	Strazzulla, Frank	2012-00314-F	Wants his questions re the Material Loss Review for Riverside National Bank of Florida answered by someone knowledgeable.	01/09/2013	03/13/2013	Other Reasons - Not FOIA
<u>2013-00006-AP</u>	Strazzulla, Frank	2012-00314-F	Seeks answers to open ended questions about his bank. DUPLICATE/NOT FOIA	03/13/2013	03/13/2013	Other Reasons - Not FOIA
<u>2013-00007-AP</u>		2013-00001-PA	the OCC decision to withhold information	01/03/2013	03/22/2013	Partially Affirmed & Partially Reversed/Remanded

			pertaining to your consumer complaint.			
<u>2013-00008-AP</u>	Asamo, Janet	2013-00155-F	Appealing another request that has not yet been acted upon.	01/31/2013	02/01/2013	Other Reasons - Appealed Prior to Determination
<u>2013-00009-AP</u>		2013-00029-PA	the withholding of the bank's response to your complaint.	03/08/2013	03/22/2013	Completely Reversed/Remanded
<u>2013-00010-AP</u>		2013-00016-PA	records related to your job application.	03/11/2013	04/26/2013	Other Reasons - Duplicate Request
<u>2013-00011-AP</u>		2013-00002-FPA	withholding of information relevant to the evaluation of your job application.	03/11/2013	04/26/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2013-00012-AP</u>		2013-00032-PA	withholding of 2 pages relating to your consumer complaint.	03/19/2013	03/28/2013	Completely Reversed/Remanded
<u>2013-00013-AP</u>	Weinkrantz, David	2013-00184-F	withholding the of the names of individuals employed by eight consulting firms, later narrowed to Promontory Financial Group.	03/25/2013	07/24/2013	Completely Affirmed
<u>2013-00014-AP</u>	Jakes, Jyll	2012-00078-F	IFR information.	04/01/2013	09/02/2013	Other Reasons - Duplicate Request
<u>2013-00015-AP</u>	Daniel, Michael	2013-00182-F	withholding of information relating to the CD-1 National Community Development Investments forms and attachments re: low income housing tax credits.	04/12/2013	05/21/2013	Other Reasons - Request Withdrawn
<u>2013-00016-AP</u>	Malakoff, Michael	2013-00244-F	information relevant to the National Mortgage Settlement Program	05/22/2013	05/28/2013	Completely Affirmed
<u>2013-00017-AP</u>	Kaplan, David	2013-00285-F	the denial of OCC and OTS records relating to the definition of independence or conflict of interest in connection with the foreclosure review to be performed by independent consultants	05/30/2013	08/12/2013	Completely Affirmed

			pursuant to the consent order entered into on April 13, 2011			
<u>2013-00019-AP</u>		2013-00036-PA	the withholding of complaint documents.	06/12/2013	06/18/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2013-00020-AP</u>	Flatten, Mark	2013-00111-F	1. A database of all agency employees who have used "official time" under any category in 5 USC 7131 or related statutes since January 1, 2009	07/02/2013	09/18/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2013-00021-AP</u>		2013-00055-PA	the OCC's decision to withhold information pertaining to a consumer complaint.	08/13/2013	09/16/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2013-00022-AP</u>	Sucher, Joel	2013-00300-F	withholding or records relating to Rust Consulting and IFR.	08/23/2013	10/28/2013	Completely Affirmed
<u>2013-00025-AP</u>		2013-00067-PA	complete copy of your complaint file	09/23/2013	10/25/2013	Partially Affirmed & Partially Reversed/Remanded

**Total No of Requests : 22**





Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:49:47 PM

**Appeals Log 10 01 2013 to 09 30 2014  
 Received between 10/01/2013 and 09/30/2014**

Request ID	Requester Name	Reference Number	Public FOIA Log Description	Received Date	Closed Date	Final Disposition
<u>2013-00023-AP</u>	Weinstein, Damien	2013-00338-F	agency's denial to requested records re JPMorgan Chase and BSA/AML.	10/10/2013	12/16/2013	Completely Affirmed
<u>2013-00024-AP</u>	Moeller, Charles	2013-00565-F	the withholding of information relevant to the contract awarded as a result of RFQ#659643 for relocation services.	10/23/2013	10/29/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00001-AP</u>	Melamed, Peter	2013-00535-F	information relevant to Citibank and the sale of kick-in-kick out instruments.	10/02/2013	10/31/2013	Other Reasons - No Records
<u>2014-00002-AP</u>	Friedman, William	2013-00372-F	withholding of records relating to the IFR and foreclosures in the a certain state.	10/04/2013	12/16/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00003-AP</u>		2013-00066-PA	information pertaining to a consumer complaint	10/15/2013	11/14/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00004-AP</u>	Patterson, Jehan	2013-00303-F	OCC's decision to withhold IFR documents	10/28/2013	02/28/2014	Completely Reversed/Remanded
<u>2014-00005-AP</u>		2013-00024-FPA	appeal of decision re a request for your client's complaint records.	10/29/2013	11/14/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00006-AP</u>		2014-00011-PA	appeal complaint decisions	12/03/2013	12/11/2013	Other Reasons - Appealed Prior to Determination
<u>2014-00007-AP</u>		2014-00008-PA	Appeal of decision for Request for copies of all [REDACTED] correspondence relating to three cases	12/09/2013	12/30/2013	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00008-AP</u>		2014-00003-PA	appeal of decision regarding consumer complaint	12/23/2013	01/22/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00009-AP</u>		2014-00026-PA	appealed the withholding of 19 pages.	03/03/2014	03/24/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-</u>	Amarel,	2014-	not an appeal,	03/06/2014	03/07/2014	Other Reasons -

<u>00010-AP</u>	Taylor	00239-F	provided clarification for a another request.			Appealed Prior to Determination
<u>2014-00011-AP</u>	Settles, Rosalye	2014-00015-TEST	System Test Case	04/23/2014	05/07/2014	Other Reasons - Not FOIA
<u>2014-00012-AP</u>	Tackeff, Michael	2014-00202-F	the agency's withholding of documents relating to Frank Hart and Generation Capital.	05/05/2014	06/09/2014	Completely Affirmed
<u>2014-00013-AP</u>	Tackeff, Michael	2014-00190-F	the decision to withhold documents relating to the investigation of Frank Hart	05/05/2014	06/09/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00014-AP</u>	Lee, Matthew	2014-00277-F	the OCC's decision to withhold certain information contained in US Bank's March 21, 2014 submission relating to the application to acquire branches from RBS Charter One.	05/05/2014	07/28/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00015-AP</u>	Martens, Pam	2014-00270-F	appeal of decision to withhold documents related to bank owned life insurance	05/12/2014	06/20/2014	Completely Affirmed
<u>2014-00016-AP</u>	Ibarguen, Diego	2014-00346-F	the decision to withhold two OCC letters sent to First National Bank of Edinburg	06/09/2014	06/23/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00017-AP</u>	Lee, Matthew	2014-00371-F	appeal of the withholding of information regarding Valley National Bank and 1st United	07/07/2014	08/29/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00018-AP</u>	Lee, Matthew	2014-00492-F	Appeal of information not provided by bank directly to him. NOT AN APPEAL.	08/04/2014	08/04/2014	Other Reasons - Duplicate Request
<u>2014-00019-AP</u>	Stonehill, Roberta	2014-00252-F	Appeals sufficiency of search for responsive records.	08/11/2014	10/09/2014	Partially Affirmed & Partially Reversed/Remanded
<u>2014-00020-AP</u>		2014-00075-PA	to re-open an improper Privacy Act request.	09/23/2014	10/07/2014	Other Reasons - Duplicate Request
<u>2014-00021-AP</u>		2014-00076-PA	the closing of your unsigned Privacy Act request.	09/23/2014	10/07/2014	Other Reasons - Duplicate Request
<u>2014-00022-AP</u>		2014-00093-PA	the closing of your duplicate Privacy Act request	09/23/2014	10/07/2014	Other Reasons - Duplicate Request
<u>2014-</u>		2014-	the closing of your	09/23/2014	10/07/2014	Other Reasons -

<u>00023-AP</u>		00076-PA	unsigned Privacy Act request			Duplicate Request
<u>2014-00024-AP</u>		2014-00087-PA	the closing of your unsigned Privacy Act request	09/23/2014	10/07/2014	Other Reasons - Duplicate Request
<u>2014-00025-AP</u>		2014-00085-PA	the closing of your duplicate Privacy Act request	09/23/2014	10/07/2014	Other Reasons - Duplicate Request
<u>2014-00026-AP</u>		2014-00080-PA	the closing of your unsigned Privacy Act request	09/23/2014	10/07/2014	Other Reasons - Duplicate Request

**Total No of Requests : 28**



Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:51:10 PM

**Appeals Log 10 01 2014 to 09 30 2015  
 Received between 10/01/2014 and 09/30/2015**

Request ID	Requester Name	Reference Number	Public FOIA Log Description	Received Date	Closed Date	Final Disposition
<u>2015-00001-AP</u>	Rolfs, Thomas	2014-00526-F	the OCC's decision to withhold a certain complaint	10/22/2014	12/10/2014	Completely Reversed/Remanded
<u>2015-00002-AP</u>	Davies, Susan	2015-00004-F	the OCC's decision to withhold documents relevant to Arab Bank PLC	01/20/2015	03/24/2015	Completely Affirmed
<u>2015-00004-AP</u>	Fontaine, Laura	2015-00133-F	the missed FOIA deadline for receipt of various thrift financial reports for Lydian Private Bank	02/18/2015	03/11/2015	Other Reasons - Appealed Prior to Determination
<u>2015-00005-AP</u>	Gallemore, John	2015-00170-F	OCC & OTS Budget and Employee Headcount Info	03/16/2015	06/09/2015	Completely Reversed/Remanded
<u>2015-00006-AP</u>	Koppikar, Nathan	2015-00189-F	I submitted a FOIA request (Request 2015-00189-F) on 2/19/15 and have not yet heard back. I wanted to see if I could get an update on timing	03/25/2015	03/31/2015	Other Reasons - Appealed Prior to Determination
<u>2015-00007-AP</u>	Koppikar, Nathan	2015-00189-F	I believe that at least a subset of the 1,069 pages re the H&R Block / BOFI P&A that were denied do not meet the criteria related to either Exemption 4 or 8 as identified in the OCC denial letter.	04/01/2015	06/17/2015	Partially Affirmed & Partially Reversed/Remanded
<u>2015-00008-AP</u>	Nora, Daniel	2015-00154-F	I never received any communication other than a February 2, 2015 email advising me that my request has been updated to the following status 'Received'. To log into the PAL Application click on the Application URL below.	05/19/2015	05/26/2015	Other Reasons - Records Previously Furnished
<u>2015-</u>	Ghervescu, Radu	2015-00226-F	appealing the Initial	06/05/2015	07/24/2015	Completely Affirmed

<u>00009-AP</u>			Determination response to my request #2015-00226-F received on May 4, 2015, that sought records relating to the definition of independence and the April 13, 2011 consent orders			
<u>2015-00010-AP</u>	Koppikar, Nathan	2015-00007-AP	the OCC's non-response to your FOIA request	06/10/2015	07/24/2015	Other Reasons - Duplicate Request
<u>2015-00011-AP</u>	Collins, Ozie	2015-00329-F	records relating to Michael V. Hill for the years 1991 through 1994	07/07/2015	09/11/2015	Completely Affirmed

**Total No of Requests : 10**



Office of the Comptroller of the Currency  
 Office of the Comptroller of the Currency 400 7th Street, SW  
 Suite 3E-218  
 Washington, DC 20219  
**Report Date:** 12/05/2016  
**Time:** 4:52:17 PM

**Appeals Log 10 01 2015 to 09 30 2016  
 Received between 10/01/2015 and 09/30/2016**

Request ID	Requester Name	Reference Number	Public FOIA Log Description	Received Date	Closed Date	Final Disposition
<u>2016-00001-AP</u>	Koppikar, Nathan	2015-00446-F	I requested a copy of the H&R Block / BOFI P&A transaction approval documentation & any other correspondence associated with the approval of the transaction 20 business days ago & have yet to receive a response.	10/08/2015	11/16/2015	Other Reasons - Appealed Prior to Determination
<u>2016-00002-AP</u>	Gunnstaks, C. "Luke"	2015-00351-F	any and all records relating to his client	10/15/2015	01/04/2016	Partially Affirmed & Partially Reversed/Remanded
<u>2016-00003-AP</u>	Perry, Michael	2016-00042-F	2007 exams of Indymac	11/13/2015	12/17/2015	Completely Affirmed
<u>2016-00004-AP</u>	MICGOWEN, MARY JOAN	2015-00485-F	no information appealed, just an address listed.	12/07/2015	12/22/2015	Other Reasons - Not FOIA
<u>2016-00007-AP</u>	Malec, Courtney	2016-00620-C	amend request to seek Certification of Articles & Certification of National Bank Merger (filed 05/21/12) Wilmington Trust, NA Wilmington DE charter# 22859	05/16/2016	05/16/2016	Other Reasons - Duplicate Request
<u>2016-00008-AP</u>	Bennett, Pamela	2016-00187-F	the OCC's response of "no records located" relevant to your request for a list of addresses within a certain state that were found to be part of a 2011 consent order	06/08/2016	07/22/2016	Completely Affirmed
<u>2016-00009-AP</u>	Tokheim, Andrea	2016-00227-F	documents withheld relating to the sale of Iowa NB&T (previously	07/12/2016	10/17/2016	Partially Affirmed & Partially Reversed/Remanded

			Lytton Savings Bank) between 1984 & 1988 along with exam reports or other supervisory materials from 1984 to 1987 and documents re Harry Butler Tokheim& Teho J. Tokheim.			
--	--	--	---	--	--	--

**Total No of Requests : 7**