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FDIC
Legal Division
FOIA/PA Group
550 17th Street, NW
Washington, DC 20429
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February 21, 2017

RE: FDIC FOIA Log Number 17-0104

This will respond to your Freedom of Information Act (FOIA) request received on January 5, 2017, in which you requested a copy or printout of the list of FDIC OIG investigations closed during calendar year 2015 and 2016.

Enclosed please find copies of the records located by the FDIC (consisting of a total of 6 pages) which are responsive to your request.¹ However, certain information in these records has been redacted pursuant to FOIA Exemptions 4, 6, and/or 7(C), 5 U.S.C. §552(b)(4), (b)(6), and/or (b)(7)(C). Exemption 4 permits the withholding of trade secrets, and confidential or privileged commercial or financial information obtained from a person. Exemption 6 permits the withholding of personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. Exemption 7(C) permits the withholding of records or information compiled for law enforcement purposes to the extent that the production of such law enforcement records or information could reasonably be expected to constitute an unwarranted invasion of personal privacy.

You may contact me at 703-562-2067, or our FOIA Public Liaison, Acting FDIC Ombudsman Gordon Talbot, by email at GTalbot@fdic.gov or telephone at 703-562-6040, for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, email at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

If you are not satisfied with the response to this request, you may administratively appeal by writing to the FDIC's General Counsel. Your appeal must be postmarked or electronically transmitted within 90 days of the date of the response to your request. Your appeal should be addressed to the FOIA/PA Group, Legal Division, FDIC, 550 17th Street, NW, Washington,

¹ The OIG Office of Investigations' tracking system that is used to produce the requested list is not operational, and cannot prepare reports at this time. As such, at this time OIG can only provide part of the information being requested (January 2015 through August 2016), based on work done on prior FOIA requests. You may submit a new FOIA request at any time.

D.C. 20429. Please refer to the log number and include any additional information that you would like the General Counsel to consider.

Sincerely,

Natasha Smith
Government Information Specialist
FOIA/Privacy Act Group

Enclosures

Case List

Includes cases that were ongoing from 01-01-2015 to 08-31-2016, and ending with status: Closed.

Generated: 10-05-2016

Number	Name	Responsible Manager	Current Status	Work Team
A-2009-0010	George Mason Mortgage, LLC., McLean, VA; Mortgage Fraud	Moriarty, Joseph	Investigation Closed	Atlanta
A-2012-0024	Jeong Joon Moon, JM Capital Solutions, Annandale, VA; Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Atlanta
A-2013-0004	Bank of Mingo, Williamson, WV - BSA Violations	(b)(6),(b)(7)(C)	Investigation Closed	Atlanta
A-2013-0004	Bank of Mingo, Williamson, WV - BSA Violations	Mace, Francis L.	Investigation Closed	Atlanta
A-2015-0002	(b)(4) FL; Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Atlanta
A-2015-0005	(b)(4) AL; (b)(6),(b)(7)(C); Bank Fraud	(b)(6),(b)(7)(C)	Inquiry Closed	Atlanta
A-2015-0011	(b)(4) FL; Bank Fraud	(b)(6),(b)(7)(C)	Inquiry Closed	Atlanta
A-2016-0001	(b)(4) GA; Bank Fraud	(b)(6),(b)(7)(C)	Inquiry Closed	Atlanta
A-2016-0011	(b)(6),(b)(7)(C) Former FDIC Employee	(b)(6),(b)(7)(C)	Inquiry Closed	New York
C-2012-0008	(b)(6),(b)(7)(C) Former Commercial Loan Officer (b)(4) Alleged Loan Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2014-0003	(b)(6),(b)(7)(C) Former Vice President of Operations (b)(4)	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2014-0038	(b)(6),(b)(7)(C) (b)(4) (b)(4) WI; Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0001	(b)(6),(b)(7)(C) (b)(4) WI; Bank Fraud	(b)(6),(b)(7)(C)	Inquiry Closed	Chicago
C-2015-0007	(b)(6),(b)(7)(C) President of (b)(4)	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0009	(b)(4) OH	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0010	(b)(6),(b)(7)(C) Concealment of Assets	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0014	(b)(6),(b)(7)(C) Mortgage Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0015	(b)(6),(b)(7)(C) Branch Manager, (b)(4) (b)(4) IL; Structuring	Moriarty, Joseph	Investigation Closed	Chicago
C-2015-0016	(b)(4) WI; Misappropriation of Bank Funds	(b)(6),(b)(7)(C)	Investigation Closed	Chicago

Number	Name	Responsible Manager	Current Status	Work Team
C-2015-0018	(b)(6),(b)(7)(C) Former CFO, (b)(4) (b)(4) IL; False Bank Entries	(b)(6),(b)(7)(C)	Inquiry Closed	Chicago
C-2015-0019	(b)(6),(b)(7)(C) et al, (b)(4) (b)(4) KY	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0020	(b)(6),(b)(7)(C) (b)(4) (b)(4) KY; Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0021	(b)(6),(b)(7)(C) (b)(4) (b)(4) KY	(b)(6),(b)(7)(C)	Investigation Closed	Chicago
C-2015-0022	(b)(4) Money Laundering	Moriarty, Joseph	Investigation Closed	Chicago
C-2016-0010	(b)(4), OH	(b)(6),(b)(7)(C)	Inquiry Closed	Chicago
D-2011-0031	(b)(6),(b)(7)(C) (b)(4) TX (Victim/Failed Bank); Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2012-0026	(b)(6),(b)(7)(C) (b)(4) TX (Victim); Commercial Loan Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2012-0026	(b)(6),(b)(7)(C) (b)(4) TX (Victim); Commercial Loan Fraud	Younger, Laurie L.	Investigation Closed	Dallas
D-2012-0030	(b)(4) (b)(4) (b)(4) TN (Victim/Failed Bank); Bank F...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2013-0003	(b)(6),(b)(7)(C) (b)(4) (b)(4) (b)(4) TN (Victim/Failed Bank); Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2013-0004	(b)(6),(b)(7)(C) (b)(4) (b)(4) TN (Victim/Failed Bank); Ba...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2013-0012	(b)(4) TN; Failed Bank	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2013-0023	(b)(6),(b)(7)(C) (b)(4) (b)(6),(b)(7)(C) TN (Victim); Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2013-0023	(b)(6),(b)(7)(C) (b)(4) (b)(6),(b)(7)(C) TN (Victim); Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2014-0010	(b)(6),(b)(7)(C) (b)(4) (b)(4) TX (Victim); Misapplication of ...	(b)(6),(b)(7)(C)	Closed	Dallas
D-2014-0016	(b)(6),(b)(7)(C) (b)(4) (b)(4) AR and (b)(4) AR (Victims); Ba...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas

Number	Name	Responsible Manager	Current Status	Work Team
D-2014-0028	William J. Pullen; Mechanics Bank, Water Valley, MS (Victim); Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2014-0030	(b)(6),(b)(7)(C) (b)(4) MS (Victim); Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2014-0035	Laurie H. Mayfield; D'Hanis State Bank and Vantage Bank Texas (victims), San Antonio, TX; Misapplic...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0009	(b)(4),(b)(6),(b)(7)(C) (b)(4) OK (victim); Appr...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0009	(b)(4),(b)(6),(b)(7)(C) (b)(4) OK (victim); Appr...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0010	(b)(4) Arkansas; Unusual Cash Transactions	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0010	(b)(4) Arkansas; Unusual Cash Transactions	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0014	Request for Assistance from (b)(4) on (b)(4) Money Laundering Investigation; (b)(4) Texas	(b)(6),(b)(7)(C)	Inquiry Closed	Dallas
D-2015-0015	(b)(6),(b)(7)(C) (b)(4) Tennessee (victim); Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0016	Complaint Regarding Loan Portfolio Valuations; (b)(4) Texas	(b)(6),(b)(7)(C)	Inquiry Closed	Dallas
D-2015-0017	(b)(6),(b)(7)(C) (b)(4) MS; Misuse of Position and Self-Dealing	(b)(6),(b)(7)(C)	Inquiry Closed	Dallas
D-2015-0019	Cheryl Vickers; Houston Police Federal Credit Union, Houston, Texas; Financial Institution Fraud an...	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0020	(b)(6),(b)(7)(C) (b)(4) (b)(4) Texas (victim); Misapplication, False Entries...	(b)(6),(b)(7)(C)	Inquiry Closed	Dallas
D-2015-0025	(b)(6),(b)(7)(C) as, TX; Possible Unauthorized Data Access	(b)(6),(b)(7)(C)	Investigation Closed	Dallas
D-2015-0026	(b)(4) Texas; False Claims	(b)(6),(b)(7)(C)	Investigation Closed	Dallas

Number	Name	Responsible Manager	Current Status	Work Team
D-2016-0006	(b)(6),(b)(7)(C) False Personation of FDIC Employed (b)(4) Texas	(b)(6),(b)(7)(C)	Inquiry Closed	Dallas
H-2014-0004	(b)(4) Review - Potential testing misconduct	(b)(6),(b)(7)(C)	Investigation Closed	Headquarters
H-2015-0001	Special Review of Incident	(b)(6),(b)(7)(C)	Inquiry Closed	Headquarters
H-2015-0002	Employee Case	(b)(6),(b)(7)(C)	Investigation Closed	Headquarters
H-2016-0002	Potential Unauthorized Leak of OIG Memorandum	(b)(6),(b)(7)(C)	Inquiry Closed	Headquarters
K-2010-0018	(b)(6),(b)(7)(C) Et al. (b)(4) (b)(4) IA; Alleged Loan Fraud	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2010-0022	Richard Gregg, Former Chairman of the Board, Southwest Community Bank (Failed Bank), Springfield, M...	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2012-0001	Irvin R. Eddington, Jr., Former Vice-President, Peoples Community State Bank, Ellington, MO; Allege...	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2012-0005	Owens, Timothy, P., former CEO/Chairman of the Bank. Voyager Bank (FDIC Cert. 32173), Eden Prairie....	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2012-0008	(b)(6),(b)(7)(C) Et Al., Entrepreneur/Bank Customer (b)(4) AR; Alleged Money L...	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2013-0011	Heidi Wagler, Peoples Savings Bank (FDIC Cert. 225), Crawfordsvile, IA, Alleged Misuse of Position...	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2013-0015	(b)(6),(b)(7)(C) (b)(4) IA; Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2013-0015	(b)(6),(b)(7)(C) (b)(4) IA; Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2014-0012	(b)(6),(b)(7)(C) Former Loan Officer, (b)(4) (b)(4) NE; Alleged Misuse of Position	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2014-0015	(b)(6),(b)(7)(C) (b)(4) (b)(4) KS; Embezzlement	(b)(6),(b)(7)(C)	Investigation Closed	Kansas City
K-2015-0010	(b)(6),(b)(7)(C) Former Loan Officer, (b)(4) (b)(4) NE; Alleged Bank Fraud	(b)(6),(b)(7)(C)	Inquiry Closed	Kansas City

Number	Name	Responsible Manager	Current Status	Work Team
N-2007-0004	Donna Shebetich, Former Director and Vice President, Metropolitan Savings Bank, Pittsburgh, PA; Fai...	(b)(6),(b)(7)(C)	Investigation Closed	New York
N-2007-0004	Donna Shebetich, Former Director and Vice President, Metropolitan Savings Bank, Pittsburgh, PA; Fai...	(b)(6),(b)(7)(C)	Investigation Closed	New York
N-2008-0007	Bridgewater Funding, Islip, NY; Fremont Investment & Loan, Brea, CA; Mortgage Fraud	(b)(6),(b)(7)(C)	Investigation Closed	New York
N-2014-0021	(b)(6),(b)(7)(C) assigned to FDIC regulated bank - NYCB	Mace, Francis L.	Investigation Closed	New York
N-2015-0002	(b)(4) (b)(6),(b)(7)(C) Complaint	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2015-0005	(b)(4) potential BSA and money laundering violations	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2015-0007	FDIC Employee; Employee Inquiry of Installing a Firearm Safe in the (b)(4)	Tarasca, Patricia	Inquiry Closed	New York
N-2015-0008	Unknown Subject; Disclosure of FDIC Confidential Information	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2015-0012	(b)(6),(b)(7)(C) Fictitious Financial Institution, Advanced Fee Scheme	(b)(6),(b)(7)(C)	Investigation Closed	New York
N-2015-0018	(b)(6),(b)(7)(C) Employee, (b)(4) Identity Theft; Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	New York
N-2015-0019	(b)(4) Farm Service Agency Loan	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2015-0020	(b)(4) Fraud Scheme	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2015-0024	(b)(4) (b)(4) NY; FX Trading Fraud	(b)(6),(b)(7)(C)	Investigation Closed	New York
N-2015-0028	(b)(4) anonymous letter alleging suspicious activity by bank employees regarding bank me...	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2015-0030	(b)(6),(b)(7)(C) Solicitation of Murder	(b)(6),(b)(7)(C)	Inquiry Closed	New York
N-2016-0005	Employee (b)(6),(b)(7)(C) Hotline Complaint	(b)(6),(b)(7)(C)	Inquiry Closed	New York
S-2007-0040	Alex Kravchenko and Galina Kravchenko; Westsound Bank, Bremerton, WA (victim); Mortgage Fraud/Faile...	Younger, Laurie L.	Investigation Closed	San Francisco

Number	Name	Responsible Manager	Current Status	Work Team
S-2014-0006	IMG, Inc., Deepal Wannakuwatte, Ponzi Scheme, Commercial Loan Fraud, Bridge Bank, San Jose, CA	(b)(6),(b)(7)(C)	Investigation Closed	San Francisco
X-2014-0012	Cornerstone Bank, West Union, WV, Bank Fraud	(b)(6),(b)(7)(C)	Investigation Closed	ECU
X-2014-0016	(b)(4) Alleged Compromise of Banking Third Party Service Provider	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0001	Alleged Missing FDIC Equipment from former FDIC Employee	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0002	Alleged Phishing Email purporting to be from the FDIC Chairman	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0003	(b)(6),(b)(7)(C) Email of Confidential Information to Non-FDIC Email Address	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0004	Potential Lottery Scam and FDIC Impersonation	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0005	(b)(6),(b)(7)(C) Alleged Employee Coercing Subordinate	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0006	(b)(6),(b)(7)(C) Alleged Child Pornographic Image sent to former bank employee	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0007	Potential Inappropriate Communication between current and former FDIC employees	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
X-2015-0009	(b)(6),(b)(7)(C) Alleged False Statements Related to State of Residency	(b)(6),(b)(7)(C)	Investigation Closed	ECU
X-2015-0010	(b)(6),(b)(7)(C) Alleged Removal of FDIC Sensitive Information	(b)(6),(b)(7)(C)	Inquiry Closed	ECU
Cases	96			