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Description of document: List of National Archives and Records Administration (NARA) Inspector General (OIG) investigations closed October 2014 - September 2016

Requested date: 30-December-2016

Released date: 17-January-2017

Posted date: 17-April-2017

Source of document: FOIA Request
NARA Office of Inspector General Records
8601 Adelphi Road, Room 1300
College Park, MD 20740-6001
E-mail: john.simms@nara.gov

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NATIONAL
ARCHIVES

OFFICE *of*
INSPECTOR GENERAL

January 17, 2017

Via email

Re: Request for Information on Closed Investigations (NARA OIG FOIA 17-06)

This letter is in response to your letter, received December 30, 2016 requesting a “copy or printout of the list of NARA OIG investigations closed during calendar year 2015 and calendar year 2016.” The National Archives and Records Administration (NARA) Office of Inspector General (OIG) does not regularly keep or produce such a record. However, I was able to locate lists created covering fiscal years 2015 and 2016, covering the frame from October 1, 2014 to September 30, 2016. These lists are attached. Please note the case numbering system changed during this time frame.

If you have a dispute with this response, you may contact the OIG’s FOIA Public Liaison, James Springs, for assistance at:

National Archives and Records Administration
Office of Inspector General
8601 Adelphi Road, Room 1300
College Park, MD 20740-6001
(301) 837-3018
james.springs@nara.gov

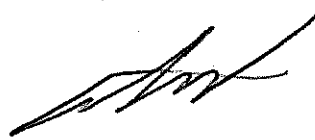
If you are unable to resolve your FOIA dispute through the FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman’s office, offers mediation services to help resolve disputes between FOIA requesters and Federal agencies. The contact information for OGIS is:

Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road-OGIS
College Park, MD 20740-6001
ogis@nara.gov
ogis.archives.gov
202-741-5770 or 1-877-684-6448

Finally, in accordance with Department of Justice guidance, I would also like to notify you that Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. *See* 5 U.S.C. 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification given to all our requesters and should not be taken as an indication excluded records do, or do not, exist.

If you have any questions, you may contact me at 301-837-1966 or john.simms@nara.gov. Thank you for contacting the NARA OIG.

Sincerely,

A handwritten signature in black ink, appearing to read 'John Simms', written in a cursive style.

John Simms
Counsel to the Inspector General
Office of Inspector General
National Archives and Records Administration

**NARA OIG Investigations and Complaints Closed
from October 1, 2014 to March 31, 2015**

Number	Allegation Description	Date Closed	Current Status
14-0037-C	ART-Painting Potentially Missing from a Presidential Library	Closed Pending	Closed Pending - Referred to NARA for
12-0013-I	ART- Missing Eva Braun Original Home Movie Reels	06Nov2014	Closed Final
14-0001-I	Archival Recovery Intelligence Initiative FY 2014	21Oct2014	Closed Final
14-0005-I	Computer Forensic Support	27Oct2014	Closed Final
14-0014-I	ART-Andrew Jackson Signed Presidential Pardon	05Nov2014	Closed Final
13-0001-I	ART-Customs Collector for Port of Philadelphia, 1806	05Nov2014	Closed Final
13-0009-I	ART - 1865 Custer Weekly Effective Report	23Oct2014	Closed Final
14-0009-I	ART-Document From Pennsylvania Civil War Prize Case File	16Jan2015	Closed Final
13-0003-I	ART - Letters Missing from	16Jan2015	Closed Final
14-0018-I	Investigation Related to NARA OIG Cold Case of Theft from Presidential Library	26Mar2015	Closed Final
15-0001-I	Qui Tam Assistance to Another Entity	26Mar2015	Closed Final
15-0002-I	NARA Employees Bypassing Exit Screening Checkpoint	31Mar2015	Closed Final
11-0002-I	ART - Theft of Historic Sound Recordings	20Oct2014	Closed Final
15-0010-C	ART-Presidential Seal For Sale	26Jan2015	Closed Final - Referred to Other Entity
15-0012-C	ART-WPA Painting For Sale By Auction	02Feb2015	Closed Final - Referred to Other Entity
15-0004-C	ART-Hotline Complaint Involving Possession of 1800s USCS Records	04Mar2015	Closed Final - Referred to NARA for Info. Only; No Response Req'd.
14-0011-C	Potential Research Company Misconduct	16Mar2015	Closed Final - Rtd Action Complete

**NARA OIG Investigations and Complaints Closed
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14-0001-C	Removal of Materials Relating to Polar Explorer	30Mar2015	Closed Final - Rtd Action Complete
14-0027-C	ART-President Millard Fillmore Signed Pardon	21Oct2014	Closed - Complaint to File - No Referral
14-0031-C	ART-Missing Pages From Chicago Civil Case File	22Oct2014	Closed - Complaint to File - No Referral
14-0036-C	Veterans Records Request Inaccurate	27Oct2014	Closed - Complaint to File - No Referral
14-0042-C	NARA Student Employee Not Paid Properly	28Oct2014	Closed - Complaint to File - No Referral
15-0001-C	Unauthorized Disclosure of Federal Employee Personnel File	22Oct2014	Closed - Complaint to File - No Referral
15-0003-C	Alleged Alteration of Federal Records	22Oct2014	Closed - Complaint to File - No Referral
15-0007-C	Drugs Discovered by NARA Staff in Records	28Oct2014	Closed - Complaint to File - No Referral
15-0005-C	Employee Misconduct - Misuse of Position Involving Gifts and Travel	27Jan2015	Closed - Complaint to File - No Referral
15-0008-C	ART-Auction for a 1864 Civil War Muster Roll	27Jan2015	Closed - Complaint to File - No Referral
15-0014-C	ART-Quartermaster Report Signed By Major General George A. Custer (November 1865)	02Feb2015	Closed - Complaint to File - No Referral
15-0013-C	ART-U.S. Army Historical Monograph	02Feb2015	Closed - Complaint to File - No Referral
15-0011-C	Original Document Found in Private Researcher Scanner Left Overnight at NARA	24Mar2015	Closed - Complaint to File - No Referral
15-0017-C	Contractor Accused of Fraud Unrelated to NARA	24Mar2015	Closed - Complaint to File - No Referral
14-0040-C	Time and Attendance Fraud	31Mar2015	Closed - Complaint to File - No Referral
15-0009-C	ART-NARA Employee Copying Records For Fee	05Nov2014	Closed - Complaint to File - No Referral

**NARA OIG Investigations Closed
from March 1, 2015 to March 31, 2016**

<u>Case Number</u>	<u>Allegation</u>	<u>Disposition</u>	<u>Closed</u>
10-0001-I	Contract Fraud	Substantiated	5/13/2015
12-0020-I	Records Missing	Substantiated	9/17/2015
12-0022-I	Records Discovered Abandoned in Woods	Substantiated	5/5/2015
13-0006-I	Contract Fraud	Substantiated	7/28/2015
13-0017-I	Theft of Cash by NARA Employee	Substantiated	7/24/2015
13-0019-I	Archival Security Assessment	Substantiated	5/8/2015
13-0020-I	Stolen Sound Records	Substantiated	9/1/2005
14-0008-I	NARA Documents on Sale on eBay	Substantiated	6/25/2015
14-0010-I	Computer Misuse: Pornography	Substantiated	5/13/2015
14-0011-I	Missing Laptop Computers	Substantiated	5/13/2015
14-0012-I	Employees Allowed Researchers After-Hours Stack Access	Unsubstantiated	5/13/2015
14-0015-I	Theft of Records	Substantiated	6/25/2015
14-0016-I	Contract Fraud	Substantiated	6/17/2015
14-0017-I	Attempted Theft by Researcher	Substantiated	10/15/2015
14-0018-I	Stolen Records	Substantiated	3/26/2015
15-0001-I	Contract Fraud	Substantiated	3/26/2015
15-0002-I	Employees Bypassing Security Checkpoint	Substantiated	3/31/2015
15-0003-I	Improper Hiring Practice	Unsubstantiated	7/28/2015
15-0005-I	Contractor Destroyed Records	Substantiated	2/3/2016
15-0010-I	Sensitive Information Leak	Substantiated	1/14/2016
15-0011-I	Employee Secretly Recorded Workplace Conversation	Substantiated	12/4/2015
15-0013-I	Time and Attendance Fraud	Substantiated	3/15/2016
NARA-MIL-16-0009-I	Managerial Misconduct: Harassment and Disparate	Unsubstantiated	1/11/2016
NARA-RS-16-0077-I	Unauthorized Access to Researcher PII	Substantiated	4/7/2016

NARA OIG Investigations Closed from April 1, 2016 to September 30, 2016

<u>Case Number</u>	<u>Allegation</u>	<u>Disposition</u>	<u>Closing Date</u>
15-0006-I	PTSP Fraud	Substantiated	23-Aug-16
15-0008-I	Pornography	Substantiated	5-May-16
15-0009-I	Improper Contract	Substantiated	20-Sep-16
15-0013-I	T&A/Telework Fraud	Substantiated	26-Apr-16
15-0014-I	T&A/Telework Fraud	Substantiated	29-Sep-16
15-0108-I	Bomb Threat	Hoax	5-May-16
NARA-NEEO-16-0020-I	Employment	Substantiated	15-Aug-16
NARA-BX-16-0035-I	Outside Employment	Substantiated	6-May-16
NARA-16-0037-I	Bomb Threat	Hoax	26-May-16
NARA-RS-16-0077-I	Stalking	Substantiated	3-May-16
NARA-IT-16-0085-I	Whistleblower	Unsubstantiated	26-Jul-16
NARA-B-16-0098-I	Purchase Card Stolen	Substantiated	29-Aug-16
NARA-IT-16-0124-I	Excessive Video	Substantiated	23-Aug-16
NARA-MIL-16-0185-I	Excessive Internet	Substantiated	24-Aug-16
NARA-A-16-0199-I	Pornography	Substantiated	19-Sep-16
NARA-H-16-0224-I	Telework Fraud	Substantiated	29-Sep-16
NARA-L-16-0228-I	Destruction of Evidence	Substantiated	1-Sep-16
NARA-A-16-0257-I	Abuse of Authority	Unsubstantiated	26-Sep-16