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Description of document: FOIA Appeals logs for the Securities and Exchange Commission (SEC), 2009-2016

Requested date: 30-October-2016

Released date: 13-February-2017

Posted date: 07-August-2017

Source of document: FOIA Request
Securities and Exchange Commission
100 F Street NE
Mail Stop 2465
Washington D.C. 20549
Fax: 202-772-9337
[Online Request for Copies of Documents](#)

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

February 13, 2017

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. 17-00395-FOIA

This letter is in response to your request, dated on October 30, 2016, and received in this office on October 31, 2016, for a copy of the FOIA Appeals logs since 2009.

Attached are 8 logs that are responsive to your request. They are being released to you with the exception of first-party requesters and the description of their requests. This information is withheld under 5 U.S.C. § 552(b)(6) 17 CFR § 200.80(b)(6), since the release of these records would constitute a clearly unwarranted invasion of personal privacy.

I am the deciding official with regard to this adverse determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

If you have any questions, please contact me at fultonc@sec.gov or (202) 551-8186. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from a FOIA Public Liaison, Lizzette Katilius at (202) 551-7900.

Sincerely,

A handwritten signature in black ink, appearing to read "L Katilius". The signature is fluid and cursive, with the first letter "L" being particularly large and stylized.

Lizzette Katilius
FOIA Branch Chief

Enclosures

FOIA Appeal Log for FY 2009

FOIA Appeal Log for FY2009										
Request ID	Reference Number	Requester Name	Organization	Requester Category	Request Description	Requested Date	Received Date	Request Status	Closed Date	Final Disposition
<u>09-00001-APPS</u>	08-04403-FOIA	Goldsmith, Barry	Gibson Dunn & Crutcher LLP	Commercial Organization	Marc Cohodes	6/30/2008	10/6/2008	Closed	3/29/2010	Other Reasons
<u>09-00002-APPS</u>	08-05374-FOIA	Miarmi, Michael	Leiff Cabraser Heimann & Bernstein LLP	Commercial Organization	guaranteed investment contracts	10/3/2008	10/7/2008	Closed	10/14/2008	Completely Affirmed
<u>09-00003-APPS</u>	08-07363-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Marvell Technology Group Ltd	9/29/2008	10/6/2008	Closed	10/6/2008	Completely Reversed/Remanded
<u>09-00004-APPS</u>	08-07636-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Circuit City Stores Inc	9/29/2008	10/6/2008	Closed	10/7/2008	Completely Reversed/Remanded
<u>09-00005-APPS</u>	08-08962-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Parlux Fragrances Inc	9/29/2008	10/2/2008	Closed	10/6/2008	Completely Affirmed
<u>09-00006-APPS</u>	08-08925-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fidelity National Financial Inc	9/29/2008	10/2/2008	Closed	10/6/2008	Completely Affirmed
<u>09-00007-APPS</u>	08-08966-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Willis Group Holdings Ltd	9/29/2008	10/2/2008	Closed	10/6/2008	Completely Affirmed
<u>09-00008-APPS</u>	08-09316-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Life Time Fitness Inc	9/30/2008	10/6/2008	Closed	10/6/2008	Completely Affirmed
<u>09-00009-APPS</u>	08-03680-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Coca Cola Company	9/30/2008	10/8/2008	Closed	12/10/2008	Completely Affirmed
<u>09-00010-APPS</u>	08-06657-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	General Growth Properties Inc	9/29/2008	10/8/2008	Closed	10/14/2008	Completely Affirmed
<u>09-00011-APPS</u>	08-07002-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Molina Healthcare Inc	9/30/2008	10/8/2008	Closed	10/15/2008	Completely Reversed/Remanded
<u>09-00012-APPS</u>	08-07968-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Calpine Corporation	9/29/2008	10/8/2008	Closed	10/10/2008	Completely Reversed/Remanded
<u>09-00013-APPS</u>	08-08276-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	BankAtlantic Bancorp Inc	9/29/2008	10/8/2008	Closed	10/15/2008	Completely Affirmed
<u>09-00014-APPS</u>	08-08584-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Ferro Corporation	9/29/2008	10/8/2008	Closed	10/15/2008	Completely Reversed/Remanded
<u>09-00015-APPS</u>	08-08588-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Health Net Inc	9/30/2008	10/8/2008	Closed	10/10/2008	Completely Affirmed
<u>09-00016-APPS</u>	08-08595-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Helen of Troy Ltd	9/29/2008	10/8/2008	Closed	10/14/2008	Completely Affirmed

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<u>09-00017-APPS</u>	08-08715-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	CA Inc	9/29/2008	10/8/2008	Closed	11/12/2008	Completely Affirmed
<u>09-00018-APPS</u>	08-08865-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Flowserve Corp	9/29/2008	10/8/2008	Closed	10/21/2008	Completely Affirmed
<u>09-00019-APPS</u>	08-08923-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Core Laboratories	9/29/2008	10/8/2008	Closed	10/21/2008	Completely Affirmed
<u>09-00020-APPS</u>	08-08926-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Hudson Highland Group Inc	9/29/2008	10/8/2008	Closed	10/14/2008	Completely Affirmed
<u>09-00021-APPS</u>	08-08927-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Exxon Mobile Corporation	9/29/2008	10/8/2008	Closed	10/21/2008	Completely Affirmed
<u>09-00022-APPS</u>	08-09238-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	International Business Machine Corporation	9/30/2008	10/8/2008	Closed	12/10/2008	Completely Affirmed
<u>09-00023-APPS</u>	08-09252-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Las Vegas Sands Corporation	10/2/2008	10/8/2008	Closed	10/23/2008	Completely Reversed/Remanded
<u>09-00024-APPS</u>	08-00299-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Citizens Republic Bancorp	10/7/2008	10/8/2008	Closed	10/15/2008	Completely Affirmed
<u>09-00025-APPS</u>	08-09428-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Raytheon Company	10/7/2008	10/8/2008	Closed	10/20/2008	Completely Reversed/Remanded
<u>09-00026-APPS</u>	08-09300-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Navigant Consulting Inc	10/9/2008	10/10/2008	Closed	10/16/2008	Completely Affirmed
<u>09-00027-APPS</u>	08-08934-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Google Inc	10/9/2008	10/10/2008	Closed	10/16/2008	Completely Affirmed
<u>09-00028-APPS</u>	08-08454-FOIA	Minger, Kristen		Commercial Organization	Doyle Brunson	10/7/2008	10/14/2008	Closed	10/21/2008	Completely Reversed/Remanded
<u>09-00030-APPS</u>	08-08965-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	McDonald s Corporation	10/20/2008	10/21/2008	Closed	11/18/2008	Completely Reversed/Remanded
<u>09-00031-APPS</u>	08-08969-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Noble Corp	10/20/2008	10/21/2008	Closed	10/23/2008	Completely Affirmed
<u>09-00032-APPS</u>	08-09067-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Valero Energy Corporation	10/20/2008	10/21/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00033-APPS</u>	08-09369-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	NVIDIA Corporation	10/20/2008	10/21/2008	Closed	10/24/2008	Completely Affirmed

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<u>09-00034-APPS</u>	08-09434-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	First BanCorp	10/20/2008	10/21/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00035-APPS</u>	08-08543-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sterling Financial Corp	10/22/2008	10/23/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00036-APPS</u>	08-08580-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Gran Tierra Energy Inc	10/22/2008	10/23/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00037-APPS</u>	08-08894-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Ashland Inc	10/22/2008	10/23/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00038-APPS</u>	08-09373-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Systemax Inc	10/27/2008	10/29/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00039-APPS</u>	09-00238-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	United Parcel Service Inc	10/29/2008	10/30/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00040-APPS</u>	08-04916-FOIA	Cuneo, Jonathan	Cuneo Gilbert & LaDuca LLP	Commercial Organization	Regulatory Consolidation of NASD and NYSE	10/28/2008	10/30/2008	Closed	5/27/2010	Completely Affirmed
<u>09-00041-APPS</u>	08-09477-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Walt Disney Company	10/29/2008	10/30/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00042-APPS</u>	08-08662-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	EarthLink Inc	11/5/2008	11/7/2008	Closed	11/18/2008	Other Reasons - No information found (appeal rights)
<u>09-00043-APPS</u>	09-00126-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Pride International Inc	11/5/2008	11/7/2008	Closed	11/7/2008	Completely Affirmed
<u>09-00044-APPS</u>	09-00338-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Parker Drilling Company	11/5/2008	11/7/2008	Closed	11/18/2008	Completely Affirmed
<u>09-00045-APPS</u>	08-08980-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Omnicare Inc	11/7/2008	11/12/2008	Closed	11/12/2008	Completely Affirmed
<u>09-00046-APPS</u>	09-00242-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Magellan Health Services Inc	11/7/2008	11/12/2008	Closed	11/18/2008	Completely Affirmed
<u>09-00047-APPS</u>	09-00254-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Legg Mason Inc	11/7/2008	11/12/2008	Closed	12/10/2008	Completely Affirmed
<u>09-00048-APPS</u>	09-00281-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Bruker Corporation f/k/a Bruker Biosciences Corporation	11/7/2008	11/12/2008	Closed	11/18/2008	Completely Affirmed
<u>09-00049-APPS</u>	08-08820-FOIA	Gottlieb, Jason	Cleary Gottlieb Steen & Hamilton LLP	Commercial Organization	Market Timing (i.e., the frequent trading of shares in mutual funds)	11/13/2008	11/13/2008	Closed	11/21/2008	Other Reasons

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<u>09-00050-APPS</u>	08-05092-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Marvel Entertainments Inc	11/21/2008	11/25/2008	Closed	12/10/2008	Completely Affirmed
<u>09-00051-APPS</u>	08-08924-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Golden Star Resources Ltd	11/21/2008	11/25/2008	Closed	12/10/2008	Completely Affirmed
<u>09-00052-APPS</u>	08-09491-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Dean Foods Company	11/21/2008	11/25/2008	Closed	12/9/2008	Completely Reversed/Remanded
<u>09-00053-APPS</u>	08-09496-FOIA	Barrett, William	Forbes	News Media	Steve Jobs	11/17/2008	11/25/2008	Closed	2/10/2009	Completely Reversed/Remanded
<u>09-00054-APPS</u>	06-06038-FOIA	DeLauder, Bobby	-	Other	Bretton Wood's Agreement	11/29/2008	12/4/2008	Closed	12/10/2008	Other Reasons
<u>09-00055-APPS</u>	09-00404-FOIA	Kelly, Robert	-	Other	Southwest Securities	11/25/2008	12/8/2008	Closed	12/15/2008	Completely Reversed/Remanded
<u>09-00056-APPS</u>	09-00317-FOIA	Kerley, Denise	Bickel & Brewer	Commercial Organization	Eric R Wilkinson	12/5/2008	12/11/2008	Closed	12/29/2008	Partially Affirmed & Partially Reversed/Remanded
<u>09-00057-APPS</u>	08-05220-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Owest Communications International, Inc	12/11/2008	12/16/2008	Closed	12/29/2008	Completely Affirmed
<u>09-00058-APPS</u>	08-09007-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	12/11/2008	12/16/2008	Closed	1/13/2009	Completely Affirmed
<u>09-00059-APPS</u>	09-00129-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Brocade	12/11/2008	12/16/2008	Closed	12/29/2008	Completely Affirmed
<u>09-00060-APPS</u>	09-00899-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Constellation Energy Group	12/11/2008	12/16/2008	Closed	12/29/2008	Completely Affirmed
<u>09-00061-APPS</u>	09-00958-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Ambac Financial Group	12/11/2008	12/16/2008	Closed	12/29/2008	Completely Affirmed
<u>09-00062-APPS</u>	09-00143-FOIA	Laren, Anders	RAIT Financial Trust	Commercial Organization	Form SH Filings for RAIT Financial Trust	12/16/2008	12/23/2008	Closed	3/15/2010	Completely Affirmed
<u>09-00063-APPS</u>	08-01202-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Foot Locker Inc	12/23/2008	12/29/2008	Closed	1/14/2009	Completely Affirmed
<u>09-00064-APPS</u>	09-01201-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Wells Fargo & Company	12/23/2008	12/29/2008	Closed	1/14/2009	Completely Affirmed
<u>09-00065-APPS</u>	09-01033-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Molex Inc	12/23/2008	12/29/2008	Closed	1/21/2009	Completely Reversed/Remanded

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<u>09-00066-APPS</u>	09-01057-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Avery Dennison Corporation	12/23/2008	12/29/2008	Closed	1/14/2009	Completely Affirmed
<u>09-00067-APPS</u>	09-01070-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Textron Inc	12/23/2008	12/30/2008	Closed	1/15/2009	Completely Affirmed
<u>09-00068-APPS</u>	09-01022-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Doral Financial Corporation	12/23/2008	12/30/2008	Closed	2/13/2009	Completely Affirmed
<u>09-00069-APPS</u>	09-01071-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Time Warner Inc	12/23/2008	12/30/2008	Closed	1/22/2009	Completely Affirmed
<u>09-00070-APPS</u>	09-00987-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Senior Housing Properties Trust	12/23/2008	12/30/2008	Closed	2/24/2009	Completely Affirmed
<u>09-00071-APPS</u>	09-01031-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Schlumberger Limited	12/23/2008	12/30/2008	Closed	2/13/2009	Completely Affirmed
<u>09-00072-APPS</u>	09-01053-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Electro Scientific Industries Inc	12/23/2008	12/30/2008	Closed	2/23/2009	Completely Affirmed
<u>09-00073-APPS</u>	09-00956-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	ConAgra Foods Inc	12/23/2008	12/30/2008	Closed	1/21/2009	Completely Reversed/Remanded
<u>09-00074-APPS</u>	09-00415-FOIA	McKenna, Thomas	Gainey & McKenna	Commercial Organization	KB Home	12/23/2008	1/2/2009	Closed	2/18/2009	Completely Reversed/Remanded
<u>09-00075-APPS</u>	09-01203-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Powell Industries Inc	12/23/2008	1/2/2009	Closed	1/21/2009	Completely Reversed/Remanded
<u>09-00076-APPS</u>	09-01774-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Hudson Highland Group Inc	1/9/2009	1/12/2009	Closed	1/15/2009	Completely Affirmed
<u>09-00077-APPS</u>	09-01778-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fidelity National Financial Inc	1/9/2009	1/12/2009	Closed	1/15/2009	Completely Affirmed
<u>09-00078-APPS</u>	09-01056-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	CIT Group Inc	1/9/2009	1/13/2009	Closed	1/15/2009	Completely Affirmed
<u>09-00079-APPS</u>	09-01204-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	KLA Tencor Corporation	1/9/2009	1/13/2009	Closed	1/15/2009	Completely Affirmed
<u>09-00080-APPS</u>	09-01457-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	American Apparel Inc	1/9/2009	1/13/2009	Closed	1/22/2009	Completely Affirmed
<u>09-00081-APPS</u>	09-00889-FOIA	Savage, Tyler	Harter Secrest& Emery LLP	Commercial Organization	AmSouth Bank NA and AmSouth Asset Management Inc	1/12/2009	1/13/2009	Closed	3/9/2009	Completely Affirmed

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<u>09-00082-APPS</u>	09-01058-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Allied Capital Corporation	1/9/2009	1/13/2009	Closed	2/2/2009	Completely Reversed/Remanded
<u>09-00083-APPS</u>	09-01455-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	ENSCO International Inc	1/9/2009	1/13/2009	Closed	1/22/2009	Completely Affirmed
<u>09-00084-APPS</u>	09-01398-FOIA	Apelboim, Ory	The Law Offices of Joseph Yerushalmi	Commercial Organization	DESC: Bank Julius Baer and Co Ltd Mr Yehuda Shiv Sagam Capital Management and Flame	1/13/2009	1/15/2009	Closed	1/23/2009	Completely Reversed/Remanded
<u>09-00085-APPS</u>	09-01055-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Conseco Inc	1/15/2009	1/21/2009	Closed	2/13/2009	Completely Reversed/Remanded
<u>09-00086-APPS</u>	09-01452-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Health Net Inc	1/15/2009	1/21/2009	Closed	2/13/2009	Completely Affirmed
<u>09-00087-APPS</u>	09-01456-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Brightpoint Inc	1/15/2009	1/21/2009	Closed	2/13/2009	Completely Affirmed
<u>09-00088-APPS</u>	09-01772-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Willis Group Holdings Ltd	1/15/2009	1/21/2009	Closed	2/13/2009	Completely Affirmed
<u>09-00089-APPS</u>	09-01808-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Pequot Capital Management	1/21/2009	1/22/2009	Closed	2/9/2009	Completely Affirmed
<u>09-00090-APPS</u>	09-00911-FOIA	Antonio, Franklin	-	Commercial Organization	Prosper Marketplace	1/9/2009	1/22/2009	Closed	1/22/2009	Completely Reversed/Remanded
<u>09-00091-APPS</u>	09-00065-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	CVS Corp	1/26/2009	1/27/2009	Closed	2/2/2009	Completely Reversed/Remanded
<u>09-00092-APPS</u>	09-01776-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	General Growth Properties Inc	1/26/2009	1/27/2009	Closed	2/9/2009	Completely Affirmed
<u>09-00093-APPS</u>	09-01076-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Monsanto Company	1/26/2009	1/27/2009	Closed	2/9/2009	Completely Reversed/Remanded
<u>09-00094-APPS</u>	09-01507-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	YTB International Inc	1/26/2009	1/28/2009	Closed	2/2/2009	Completely Affirmed
<u>09-00095-APPS</u>	09-01483-FOIA	Margolies, Richard	Abbey Gardy, LLP	Commercial Organization	Bernard L Madoff Investment Securities LLC	1/28/2009	1/29/2009	Closed	3/17/2009	Completely Affirmed
<u>09-00096-APPS</u>	09-01303-FOIA	McCoy, Kevin	USA Today	News Media	Bernard L Madoff	1/28/2009	1/29/2009	Closed	2/18/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00097-APPS</u>	09-02033-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Navigant Consulting Inc	2/2/2009	2/3/2009	Closed	2/9/2009	Completely Affirmed

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Request ID	Reference Number	Requester Name	Organization	Requester Category	Request Description	Requested Date	Received Date	Request Status	Closed Date	Final Disposition
<u>09-00098-APPS</u>	09-02036-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Flowserve Corp	2/2/2009	2/3/2009	Closed	2/13/2009	Completely Affirmed
<u>09-00099-APPS</u>	08-07240-FOIA	Camil, Eric	Camil Consulting Corp	Commercial Organization	Banca del Gottardo	2/9/2009	2/9/2009	Closed	4/27/2009	Other Reasons
<u>09-00100-APPS</u>	08-08644-FOIA	McCraw, David	The New York Times Company	News Media	unpublished draft version of the SECs report on its examination of select credit rating agencies released on July 8 2008	1/20/2009	2/10/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00101-APPS</u>	08-07423-FOIA	Michau, Don	-	Other	Strong Capital Management	2/3/2009	2/18/2009	Closed	4/22/2009	Completely Reversed/Remanded
<u>09-00102-APPS</u>	09-02217-FOIA	Ross, David	Dewey & LeBoeuf	Commercial Organization	Mark Cubans business interests and opportunities relating to SEC v Cuban	2/17/2009	2/18/2009	Closed	7/9/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00103-APPS</u>	09-02151-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	NVIDIA Corporation	3/2/2009	3/3/2009	Closed	3/17/2009	Completely Affirmed
<u>09-00104-APPS</u>	09-02061-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cenveo Inc	3/2/2009	3/3/2009	Closed	3/9/2009	Completely Affirmed
<u>09-00105-APPS</u>	09-00951-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Mattel Inc	3/2/2009	3/3/2009	Closed	3/24/2009	Completely Reversed/Remanded
<u>09-00106-APPS</u>	09-02307-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cisco Systems Inc	3/2/2009	3/3/2009	Closed	3/17/2009	Completely Affirmed
<u>09-00108-APPS</u>	09-01870-FOIA	Herskovits, Robert	Herskovits PLLC	Commercial Organization	Citigroup Settlement Agreement	3/4/2009	3/6/2009	Closed	6/15/2009	Completely Affirmed
<u>09-00109-APPS</u>	09-02899-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Franklin Resources Inc	3/16/2009	3/17/2009	Closed	3/26/2009	Completely Reversed/Remanded
<u>09-00110-APPS</u>	09-02666-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Nabors Industries Ltd	3/16/2009	3/17/2009	Closed	4/22/2009	Completely Affirmed
<u>09-00111-APPS</u>	09-02890-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	United Parcel Service Inc	3/16/2009	3/17/2009	Closed	3/26/2009	Completely Affirmed
<u>09-00112-APPS</u>	09-03098-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	International Business Machines Corp	3/16/2009	3/17/2009	Closed	3/26/2009	Completely Affirmed
<u>09-00113-APPS</u>	09-02897-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Federated Investors Inc	3/16/2009	3/18/2009	Closed	7/24/2009	Completely Reversed/Remanded
<u>09-00114-APPS</u>	09-02888-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sterling Financial Corp	3/16/2009	3/18/2009	Closed	3/26/2009	Completely Affirmed

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<u>09-00115-APPS</u>	09-02887-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Pride International Inc	3/16/2009	3/18/2009	Closed	3/20/2009	Completely Affirmed
<u>09-00116-APPS</u>	09-01454-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flir Systems Inc	3/16/2009	3/18/2009	Closed	5/7/2009	Completely Affirmed
<u>09-00117-APPS</u>	09-02669-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Marvel Entertainment Inc	3/16/2009	3/19/2009	Closed	3/20/2009	Completely Affirmed
<u>09-00118-APPS</u>	09-02886-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Magellan Health Services Inc	3/16/2009	3/19/2009	Closed	3/25/2009	Completely Reversed/Remanded
<u>09-00119-APPS</u>	09-02930-FOIA	Magee, Kevin	FOX Business	News Media	Bernard L. Madoff Investment Securities LLC and/or any related entities.	3/31/2009	4/1/2009	Closed	4/30/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00120-APPS</u>	09-02217-FOIA	Ross, David	Dewey & LeBoeuf	Commercial Organization	Mark Cubans business interests and opportunities relating to SEC v Cuban	3/31/2009	4/2/2009	Closed	7/22/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00121-APPS</u>	09-03320-FOIA	Bowers, Kathleen	-	Other	Mountain Resources Inc and Bahram A Jafari	3/26/2009	4/3/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00122-APPS</u>	09-03238-FOIA	Formella, Steven	-	Other	CMKM Diamonds depositions of D Roger Glenn	3/27/2009	4/3/2009	Closed	4/22/2009	Completely Reversed/Remanded
<u>09-00123-APPS</u>	09-02746-FOIA	Apuzzoq, Matt	The Associated Press	News Media	Stanford Financial Group R Allen Stanford Stanford International Stanford Capital Management Stanford Group Laura Pendergest-Holt and James Davis	4/1/2009	4/3/2009	Closed	6/15/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00124-APPS</u>	09-02488-FOIA	Spindel, Frederic	Buckley Kolar, LLP	Commercial Organization	Bernard L Madoff Investment Securities LLC	4/3/2009	4/6/2009	Closed	6/3/2009	Completely Affirmed
<u>09-00125-APPS</u>	09-03303-FOIA	Tedone, Robert	-	Other	Donald Stoecklein	3/25/2009	4/6/2009	Closed	4/22/2009	Completely Reversed/Remanded
<u>09-00126-APPS</u>	09-03304-FOIA	Tedone, Robert	-	Other	Bill Frizzell	3/25/2009	4/6/2009	Closed	4/22/2009	Completely Reversed/Remanded
<u>09-00127-APPS</u>	09-01254-FOIA	Massengill, Brian	Mayer, Brown, Rowe & Maw LLP	Commercial Organization	Bally Total Fitness Holding Corporation, to include documents relating to; restatement to include a 1997 restatement; notes of Christine Davine, et al, to any communications relating to accounting; investigative records relating to HD 9920; and Bally's	3/30/2009	4/6/2009	Closed	6/15/2009	Completely Reversed/Remanded
<u>09-00128-APPS</u>	09-02743-FOIA	Vallarino, Thomas	-	Other	Novastar Financial	3/27/2009	4/6/2009	Closed	10/27/2009	Other Reasons

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<u>09-00129-APPS</u>	09-01449-FOIA	Bernstein, Jake	ProPublica	News Media	Bernard L. Madoff	4/7/2009	4/8/2009	Closed	6/3/2009	Completely Reversed/Remanded
<u>09-00130-APPS</u>	09-03056-FOIA	Hallman, Barney	-	Commercial Organization	Stanford Financial Group Stanford Trust Stanford International Bank	3/30/2009	4/8/2009	Closed	8/3/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00131-APPS</u>	09-00006-FIFO	Mickiewicz, Kenneth	Davis Malm & D'Agostine P.C.	Commercial Organization	Rambus Inc	4/6/2009	4/10/2009	Closed	6/2/2009	Completely Affirmed
<u>09-00132-APPS</u>	09-02237-FOIA	Wood, Christopher	Robbins Geller Rudman & Dowd, LLP	Commercial Organization	Jabil Circuit Inc	4/14/2009	4/16/2009	Closed	4/22/2009	Completely Reversed/Remanded
<u>09-00133-APPS</u>	09-02487-FOIA	Hall, Kevin	Morgan, Lewis & Bockius LLP	Commercial Organization	Analysis of Uptick Rule short sales	4/14/2009	4/17/2009	Closed	6/15/2009	Completely Reversed/Remanded
<u>09-00134-APPS</u>	09-03942-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Textron Inc	4/21/2009	4/22/2009	Closed	5/7/2009	Completely Affirmed
<u>09-00135-APPS</u>	09-03865-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CIT Group Inc	4/21/2009	4/22/2009	Closed	5/7/2009	Completely Affirmed
<u>09-00136-APPS</u>	09-03949-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	American Apparel Inc fka Endeavor Acquisition Corp	4/21/2009	4/22/2009	Closed	4/27/2009	Completely Affirmed
<u>09-00137-APPS</u>	09-03945-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hudson Highland Group Inc	4/21/2009	4/22/2009	Closed	4/27/2009	Completely Affirmed
<u>09-00138-APPS</u>	09-03946-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Fidelity National Financial Inc	4/21/2009	4/23/2009	Closed	4/27/2009	Completely Affirmed
<u>09-00139-APPS</u>	09-02601-FOIA	Safer, Adam	Miller & Wrubel P.C.	Commercial Organization	Citigroup Global Markets Inc Auction Rate Securities (ARS)	4/14/2009	4/23/2009	Closed	7/27/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00140-APPS</u>	09-02600-FOIA	Safer, Adam	Miller & Wrubel P.C.	Commercial Organization	Bank of America Auction Rate Securities (ARS)	4/14/2009	4/23/2009	Closed	7/24/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00141-APPS</u>	09-02693-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	4/21/2009	4/23/2009	Closed	5/7/2009	Completely Affirmed
<u>09-00142-APPS</u>	09-02769-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Raytheon Company	4/21/2009	4/23/2009	Closed	5/7/2009	Completely Reversed/Remanded
<u>09-00143-APPS</u>	09-02842-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Westinghouse Air Brake Technologies Corp	4/21/2009	4/23/2009	Closed	5/7/2009	Completely Reversed/Remanded
<u>09-00144-APPS</u>	09-02884-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	First BanCorp	4/21/2009	4/23/2009	Closed	6/3/2009	Completely Affirmed

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<u>09-00145-APPS</u>	09-03018-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Helmerich & Payne Inc	4/21/2009	4/23/2009	Closed	5/7/2009	Completely Affirmed
<u>09-00146-APPS</u>	09-03263-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hospitality Properties Trust	4/21/2009	4/23/2009	Closed	5/11/2009	Completely Affirmed
<u>09-00147-APPS</u>	09-03318-FOIA	Tedone, Robert	-	Other	CMKM Diamonds depositiion of Robert Maheu	4/17/2009	4/23/2009	Closed	4/27/2009	Completely Reversed/Remanded
<u>09-00148-APPS</u>	09-00037-REMD	McKenna, Thomas	Gainey & McKenna	Commercial Organization	KB Home	4/21/2009	4/28/2009	Closed	7/27/2009	Completely Reversed/Remanded
<u>09-00149-APPS</u>	09-03203-FOIA	Mollenkamp, Carrick	The Wall Street Journal	News Media	American International Group AIG Financial Products Corp Shortfall Agreement	4/16/2009	4/29/2009	Closed	6/3/2009	Completely Affirmed
<u>09-00150-APPS</u>	09-03058-FOIA	Patch, David	-	Commercial Organization	SHO Rule Changes on Fails to Deliver	4/7/2009	5/4/2009	Closed	3/15/2010	Other Reasons
<u>09-00151-APPS</u>	09-03968-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Iverness Medical Innovations Inc	4/21/2009	4/27/2009	Closed	7/24/2009	Completely Reversed/Remanded
<u>09-00152-APPS</u>	09-02995-FOIA	Padula, Gary	Brown University Investment Office	Educational or Non-Commercial Scientific	Franklin Templeton Investments	5/13/2009	5/13/2009	Closed	6/3/2009	Completely Reversed/Remanded
<u>09-00153-APPS</u>	09-04134-FOIA	Valery, Brian	Resolutions Investigation & Risk Management, Inc.	Commercial Organization	Angelo Nickas and AP 3-9803 NASDAQ activities	5/1/2009	5/13/2009	Closed	6/3/2009	Completely Reversed/Remanded
<u>09-00154-APPS</u>	09-03917-FOIA	Gatti, Claudio	IL Sole 24 Ore	News Media	Siemens AG's violations of the Foreign Corrupt Practices Act	4/30/2009	5/13/2009	Closed	6/15/2009	Completely Affirmed
<u>09-00155-APPS</u>	09-03918-FOIA	Gatti, Claudio	IL Sole 24 Ore	News Media	Baker Hughes Inc	4/30/2009	5/13/2009	Closed	6/15/2009	Completely Affirmed
<u>09-00156-APPS</u>	09-03919-FOIA	Gatti, Claudio	IL Sole 24 Ore	News Media	Halliburton Company and Kellogg Brown & Root Inc with regards to the Bonny Island LGN Project in Nigeria	4/30/2009	5/13/2009	Closed	6/15/2009	Completely Affirmed
<u>09-00157-APPS</u>	09-03106-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Ambac Financial Group	4/21/2009	5/14/2009	Closed	5/14/2009	Completely Reversed/Remanded
<u>09-00158-APPS</u>	09-00692-FOIA	Turetsky, Brian	Scarola Ellis LLP	Commercial Organization	Morgan Stanley Morgan Stanley and Co Inc Morgan Stanley Altabridge Ltd	5/18/2009	5/27/2009	Closed	5/27/2010	Completely Affirmed
<u>09-00159-APPS</u>	09-01306-FOIA	Jones, Steve	Dow Jones & Co	News Media	Bernard L Madoff	5/18/2009	5/28/2009	Closed	7/29/2010	Partially Affirmed & Partially Reversed/Remanded
<u>09-00160-APPS</u>	09-04035-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Premierwest Bancorp	5/28/2009	6/2/2009	Closed	6/15/2009	Completely Affirmed

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<u>09-00161-APPS</u>	09-03948-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ENSCO International Inc	5/28/2009	6/2/2009	Closed	6/15/2009	Completely Affirmed
<u>09-00162-APPS</u>	09-02091-FOIA	Mickle, Tripp	SportsBusiness Journal	News Media	William Boots Del Biaggio III	6/3/2009	6/3/2009	Closed	6/15/2009	Completely Affirmed
<u>09-00163-APPS</u>	09-03795-FOIA	Buckland, Jason	Hagan, Davis, Mangum, Barrett, Langley Hale PLLC	Commercial Organization	Sun Communities Inc & Sun Communities Operating Limited Partnership	5/29/2009	6/4/2009	Closed	6/17/2009	Completely Reversed/Remanded
<u>09-00164-APPS</u>	08-01147-FOIA	Lawrence, Jeffrey	Coughlin Stoia Geller Rudman & Robbins LLP	Commercial Organization	Apollo Group Inc	6/5/2009	6/9/2009	Closed	7/22/2010	Completely Affirmed
<u>09-00165-APPS</u>	09-03941-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Wells Fargo & Co	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00166-APPS</u>	09-03990-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tidewater Inc	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00167-APPS</u>	09-04040-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Delta Petroleum Corp	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00168-APPS</u>	09-04221-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Visa Inc	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00169-APPS</u>	09-04551-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dow Chemical Co	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00170-APPS</u>	09-04665-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Health Net Inc	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Reversed/Remanded
<u>09-00171-APPS</u>	09-04668-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Doral Financial Corp	6/10/2009	6/15/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00172-APPS</u>	09-04291-FOIA	Albaugh, Matthew	Baker & Daniels LLP	Commercial Organization	Hennessee Group LLC and Charles J Gradante	6/9/2009	6/16/2009	Closed	7/14/2009	Completely Reversed/Remanded
<u>09-00173-APPS</u>	09-02663-FOIA	Gardner, Jonathan	Labaton Sucharow LLP	Commercial Organization	MF Global Ltd	6/12/2009	6/16/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00174-APPS</u>	09-02701-FOIA	Benke, Gavin	-	Educational or Non-Commercial Scientific	Enron Corp	6/17/2009	6/17/2009	Closed	9/24/2009	Completely Reversed/Remanded
<u>09-00175-APPS</u>	09-04930-FOIA	Brosnahan, Brian	Kasowitz Benson Torres & Friedman LLP	Commercial Organization	Citigroup Global Markets Inc Auction Rate Securities	6/19/2009	6/23/2009	Closed	9/25/2009	Completely Affirmed
<u>09-00176-APPS</u>	09-04752-FOIA	Garbacz, Gregory	KLINEDINST PC	Commercial Organization	High Park Investment Group Inc., Harbor Financial Investment Group, Inc., and Edward R Chevrolet	6/18/2009	6/24/2009	Closed	7/22/2009	Completely Reversed/Remanded

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<u>09-00177-APPS</u>	08-00001-FIFO	Keeley, Margaret	William & Connolly LLP	Commercial Organization	Cosmo Corigliano	6/24/2009	6/26/2009	Closed	10/15/2009	Other Reasons
<u>09-00178-APPS</u>	09-04687-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cenveo Inc	6/26/2009	6/29/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00179-APPS</u>	09-04667-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Electro Scientific Industries Inc	6/26/2009	6/29/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00180-APPS</u>	09-04663-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Senior Housing Properties Trust	6/26/2009	6/29/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00181-APPS</u>	09-05002-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Chevron Corp	6/26/2009	6/29/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00182-APPS</u>	09-05062-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fedex Corp	6/26/2009	6/29/2009	Closed	9/28/2010	Completely Affirmed
<u>09-00183-APPS</u>	09-04711-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Computer Sciences Corporation	6/26/2009	6/29/2009	Closed	7/27/2009	Completely Affirmed
<u>09-00184-APPS</u>	09-03796-FOIA	Bernstein, Rachel	Klehr, Harrison, Harvey, Branzburg & Eilers LLP	Commercial Organization	Siemens Aktiengesellschaft	6/15/2009	6/29/2009	Closed	8/25/2009	Completely Affirmed
<u>09-00185-APPS</u>	09-04595-FOIA	Rebsamen, Meredith	Rose Law Firm	Commercial Organization	UCAP and Mr Lesley Don Cole	6/25/2009	6/30/2009	Closed	7/20/2009	Other Reasons
<u>09-00186-APPS</u>	09-04814-FOIA	McGrew, Gwilym	-	Other	Fails to deliver attestations from September 1 2008 to March 31 2009	7/10/2009	7/10/2009	Closed	10/6/2009	Other Reasons
<u>09-00187-APPS</u>	09-03469-FOIA	McGrew, Gwilym	-	Other	American Capital Ltd Fail to Deliver Attestations	7/10/2009	7/10/2009	Closed	10/6/2009	Other Reasons
<u>09-00188-APPS</u>	09-00028-REMD	Apelboim, Ory	The Law Offices of Joseph Yerushalmi	Commercial Organization	Shiv Sagam Capital Management Corp	6/29/2009	7/14/2009	Closed	1/15/2010	Other Reasons
<u>09-00189-APPS</u>	09-01873-FOIA	Strickler, Laura	CBS News	News Media	visitor logs for SEC Headquarters in Washington, DC and SEC New York Regional Office within the last two years	5/27/2009	6/3/2009	Closed	9/25/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00190-APPS</u>	09-04777-FOIA	Miller, Gregory	Beggs & Lane	Commercial Organization	Merrill Lynch Pierce Fenner and Smith Inc AP 3-13357	7/17/2009	7/24/2009	Closed	8/6/2009	Completely Reversed/Remanded
<u>09-00191-APPS</u>	09-04778-FOIA	Miller, Gregory	Beggs & Lane	Commercial Organization	Michael Callaway Merrill Lynch AP 3-13355	7/17/2009	7/24/2009	Closed	8/6/2009	Completely Reversed/Remanded
<u>09-00192-APPS</u>	09-05754-FOIA	Abramson, Stewart	-	Other	William M Osterhout	7/28/2009	8/4/2009	Closed	8/11/2009	Completely Reversed/Remanded

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<u>09-00193-APPS</u>	09-05904-FOIA	McKenna, Thomas	Gainey & McKenna	Commercial Organization	Bank Atlantic Bancorp Inc	7/31/2009	8/6/2009	Closed	8/11/2009	Completely Affirmed
<u>09-00194-APPS</u>	09-05382-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Wyeth	8/5/2009	8/6/2009	Closed	8/11/2009	Completely Reversed/Remanded
<u>09-00195-APPS</u>	09-05787-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Visa Inc	8/5/2009	8/6/2009	Closed	8/13/2009	Completely Reversed/Remanded
<u>09-00196-APPS</u>	09-05788-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Parcel Service Inc	8/5/2009	8/6/2009	Closed	8/20/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00197-APPS</u>	09-05791-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sterling Financial Corp	8/5/2009	8/6/2009	Closed	8/20/2009	Completely Affirmed
<u>09-00198-APPS</u>	09-05793-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Navigant Consulting Inc	8/5/2009	8/6/2009	Closed	8/20/2009	Completely Reversed/Remanded
<u>09-00199-APPS</u>	09-05796-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	American Apparel Inc	8/5/2009	8/6/2009	Closed	8/13/2009	Completely Affirmed
<u>09-00200-APPS</u>	09-06137-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Toll Brothers Inc	8/5/2009	8/6/2009	Closed	8/20/2009	Completely Affirmed
<u>09-00201-APPS</u>	09-06198-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Novatel Wireless Inc	8/5/2009	8/6/2009	Closed	8/20/2009	Completely Reversed/Remanded
<u>09-00202-APPS</u>	09-05381-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Exxon Mobil Corp	8/5/2009	8/6/2009	Closed	9/14/2009	Completely Reversed/Remanded
<u>09-00203-APPS</u>	09-05794-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Fidelity National Financial Inc	8/5/2009	8/6/2009	Closed	8/11/2009	Completely Affirmed
<u>09-00204-APPS</u>	09-05861-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	YTB International Inc	8/5/2009	8/6/2009	Closed	8/20/2009	Completely Affirmed
<u>09-00205-APPS</u>	09-05522-FOIA	Alters, Jeremy	Alters Boldt Brown Rash Culmo	Commercial Organization	Siemens Aktiengesellschaft	8/5/2009	8/10/2009	Closed	8/25/2009	Completely Affirmed
<u>09-00206-APPS</u>	09-05206-FOIA	Sheanin, Aaron	Girard Gibbs LLP	Commercial Organization	Deutsche Bank Securities	7/23/2009	8/12/2009	Closed	10/1/2009	Completely Affirmed
<u>09-00207-APPS</u>	09-05207-FOIA	Sheanin, Aaron	Girard Gibbs LLP	Commercial Organization	Banc of America Securities LLC and Banc of America Investment Services	7/23/2009	8/12/2009	Closed	10/1/2009	Completely Affirmed
<u>09-00208-APPS</u>	09-05208-FOIA	Sheanin, Aaron	Girard Gibbs LLP	Commercial Organization	UBS Securities LLC and UBS Financial Services Inc	7/23/2009	8/12/2009	Closed	10/1/2009	Completely Affirmed

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<u>09-00209-APPS</u>	09-05189-FOIA	Sonderby, Peter	Ulmer Berne LLP	Commercial Organization	Banc of America Securities LLS and related entities	8/3/2009	8/13/2009	Closed	10/6/2009	Completely Affirmed
<u>09-00210-APPS</u>	09-04705-FOIA	Goldings, Morris	-	Other	claims for damages against the Commission pertaining to Bernard L. Madoff	8/17/2009	8/17/2009	Closed	9/24/2009	Completely Reversed/Remanded
<u>09-00211-APPS</u>	09-05716-FOIA	Sivere, Peter	-	Other	OIG Report 501 resulting disciplinary action	8/18/2009	8/19/2009	Closed	10/6/2009	Other Reasons
<u>09-00212-APPS</u>	09-05346-FOIA	Stewart, Adam	Shapiro Haber & Urmey LLP	Commercial Organization	Enforcement Complaints on Naked Short Selling Referenced in OIG Report 450	8/13/2009	8/19/2009	Closed	11/3/2009	Completely Reversed/Remanded
<u>09-00213-APPS</u>	09-05792-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Rockwell Automation Inc	8/19/2009	8/20/2009	Closed	9/14/2009	Completely Affirmed
<u>09-00214-APPS</u>	09-06025-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Harris Stratex Networks Inc	8/19/2009	8/20/2009	Closed	9/14/2009	Completely Affirmed
<u>09-00215-APPS</u>	09-06373-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Wells Fargo & Company	8/19/2009	8/20/2009	Closed	9/14/2009	Completely Affirmed
<u>09-00216-APPS</u>	09-06374-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Senior Housing Properties Trust	8/19/2009	8/20/2009	Closed	9/14/2009	Completely Affirmed
<u>09-00217-APPS</u>	09-06376-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Hartford Financial Services Group Inc	8/19/2009	8/20/2009	Closed	9/14/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00218-APPS</u>	09-06509-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Doral Financial Corporation	8/19/2009	8/20/2009	Closed	9/14/2009	Completely Affirmed
<u>09-00221-APPS</u>	09-06457-FOIA	Snitow, Gary	Milberg LLP	Commercial Organization	Insight Enterprises Inc	8/24/2009	8/25/2009	Closed	9/14/2009	Completely Reversed/Remanded
<u>09-00222-APPS</u>	09-05454-FOIA	Camil, Eric	Camil Consulting Corp	Commercial Organization	American Dairy Inc	8/26/2009	8/27/2009	Closed	9/14/2009	Completely Reversed/Remanded
<u>09-00223-APPS</u>	09-04103-FOIA	Lynch, Sarah	Thomson Reuters	News Media	list of Congressional requests made to the Commission between February 2008 and April 2009	8/25/2009	9/1/2009	Closed	10/26/2009	Partially Affirmed & Partially Reversed/Remanded
<u>09-00224-APPS</u>	09-06824-FOIA	Myers, Albert	Kahn Swick & Foti, LLC	Commercial Organization	Bank of America Corp	9/3/2009	9/8/2009	Closed	9/24/2009	Completely Affirmed
<u>09-00225-APPS</u>	09-04755-FOIA	Tedone, Robert	-	Other	testimony of D Roger Glenn	9/5/2009	9/14/2009	Closed	1/29/2010	Completely Affirmed
<u>09-00226-APPS</u>	09-03389-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Jeffries & Co and Scott Jones	9/15/2009	9/15/2009	Closed	11/3/2009	Completely Reversed/Remanded

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<u>09-00227-APPS</u>	09-03391-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Schnitzer Steel Industries Inc	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00228-APPS</u>	09-03394-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Biopure Corp	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00229-APPS</u>	09-03401-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Bear Stearns & Co Inc and Bear Stearns Securities Corp	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00230-APPS</u>	09-03403-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Sun Communities Inc	9/15/2009	9/15/2009	Closed	9/30/2009	Completely Reversed/Remanded
<u>09-00231-APPS</u>	09-03412-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	General American Life Insurance Co	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00232-APPS</u>	09-03413-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Aspen Technology Inc	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00233-APPS</u>	09-06527-FOIA	McKenna, Thomas	Gainey & McKenna	Commercial Organization	Radian Group Inc	9/9/2009	9/15/2009	Closed	9/24/2009	Completely Affirmed
<u>09-00234-APPS</u>	09-03415-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	ConAgra Foods Inc and Consultant Report	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00235-APPS</u>	09-03416-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Edward D Jones and Consultant Report	9/15/2009	9/15/2009	Closed	10/1/2009	Completely Reversed/Remanded
<u>09-00236-APPS</u>	09-03417-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Haidar Capital Management and Consultant Report	9/15/2009	9/15/2009	Closed	9/30/2009	Completely Reversed/Remanded
<u>09-00237-APPS</u>	09-03419-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Banc of America and Consultant report	9/15/2009	9/15/2009	Closed	9/30/2009	Completely Reversed/Remanded
<u>09-00238-APPS</u>	09-03420-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Renaissance Holdings Ltd and Consultant Report	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00239-APPS</u>	09-03423-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Vertical Capital Partners Inc and Consultant Report	9/15/2009	9/15/2009	Closed	9/25/2009	Completely Reversed/Remanded
<u>09-00240-APPS</u>	09-03425-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Fred Alger Management Inc and Fred Alger & Company Inc and Consultant Report	9/15/2009	9/15/2009	Closed	10/6/2009	Completely Reversed/Remanded
<u>09-00241-APPS</u>	09-05849-FOIA	Seefer, Christopher	Coughlin, Stoia, Geller, Rudman & Robbins LLP	Commercial Organization	VeriFone Holdings Inc	9/15/2009	9/17/2009	Closed	9/24/2009	Completely Affirmed
<u>09-00242-APPS</u>	09-06802-FOIA	Gidiere, Caroline	Campbell, Gidiere, Lee Sinclair & Williams	Commercial Organization	Regions Morgan Keegan Bond Funds	9/10/2009	9/17/2009	Closed	9/24/2009	Completely Affirmed

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<u>09-00243-APPS</u>	09-04832-FOIA	Charo, Jarrett	Coughlin Stoia Geller Rudman Robbins LLP	Commercial Organization	Fitch Ratings Ltd Moodys Investor Services Inc and Standard & Poors Rating Services	9/17/2009	9/23/2009	Closed	4/6/2010	Completely Affirmed
<u>09-00244-APPS</u>	09-06332-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Tidewater Inc	9/23/2009	9/24/2009	Closed	9/30/2009	Completely Affirmed
<u>09-00245-APPS</u>	09-06535-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	UCBH Holdings Inc	9/23/2009	9/24/2009	Closed	9/30/2009	Completely Affirmed
<u>09-00246-APPS</u>	09-06661-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sun Microsystems Inc	9/23/2009	9/24/2009	Closed	9/30/2009	Completely Affirmed
<u>09-00247-APPS</u>	09-07060-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Google Inc	9/23/2009	9/24/2009	Closed	10/1/2009	Completely Affirmed

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<u>10-00002-APPS</u>	09-06784-FOIA	Golden, Thomas	-	Other	OIG Report 509 Bernard L Madoff	9/24/2009	10/2/2009	Closed	1/29/2010	Completely Reversed/Remanded
<u>10-00003-APPS</u>	09-05759-FOIA	Greenwald, Michael	Coughlin Stoia Geller Rudman & Robbins LLP	Commercial Organization	Evergreen Investment Management Company LLC and Evergreen Investment Services Inc.	10/7/2009	10/9/2009	Closed	10/26/2009	Completely Affirmed
<u>10-00004-APPS</u>	09-07084-FOIA	Oud, Willem	-	Other	Royal Ahold	10/9/2009	10/9/2009	Closed	10/26/2009	Completely Affirmed
<u>10-00005-APPS</u>	09-06922-FOIA	Mathews, Timothy	Chimicles & Tikellis LLP	Commercial Organization	W P Carey and Co LLC and 08 cv 2846	10/6/2009	10/14/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00006-APPS</u>	09-06923-FOIA	Mathews, Timothy	Chimicles & Tikellis LLP	Commercial Organization	Ameriprise Financial Services AP 3-13544	10/6/2009	10/14/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00007-APPS</u>	09-06481-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cenveo Inc	10/19/2009	10/20/2009	Closed	10/22/2009	Completely Affirmed
<u>10-00008-APPS</u>	09-07081-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Life Time Fitness Inc	10/19/2009	10/20/2009	Closed	11/3/2009	Completely Affirmed
<u>10-00009-APPS</u>	09-07339-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	ConAgra Foods Inc DE	10/19/2009	10/20/2009	Closed	11/3/2009	Completely Reversed/Remanded
<u>10-00010-APPS</u>	09-07443-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cisco Systems Inc	10/19/2009	10/20/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00011-APPS</u>	09-07632-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	10/19/2009	10/20/2009	Closed	10/22/2009	Completely Affirmed
<u>10-00012-APPS</u>	09-07633-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	International Business Machines Corporation	10/19/2009	10/20/2009	Closed	11/3/2009	Completely Reversed/Remanded
<u>10-00013-APPS</u>	09-07634-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Helmerich & Payne Inc	10/19/2009	10/20/2009	Closed	11/3/2009	Other Reasons
<u>10-00014-APPS</u>	09-05146-FOIA	Russo, Richard	Barroway Topaz Kessler Meltzer & Check, LLP	Commercial Organization	Morgan Stanley comment letters	10/16/2009	10/21/2009	Closed	9/30/2010	Completely Affirmed
<u>10-00015-APPS</u>	09-06462-FOIA	Martin, Josh	Agenda Week	News Media	Board of Directors of Bank of America Corp	8/12/2009	10/26/2009	Closed	10/26/2009	Completely Affirmed
<u>10-00016-APPS</u>	09-06463-FOIA	Martin, Josh	Agenda Week	News Media	Bank of America Corp	8/12/2009	10/26/2009	Closed	10/26/2009	Completely Affirmed
<u>10-00017-APPS</u>	09-06464-FOIA	Martin, Josh	Agenda Week	News Media	Board of Directors of Merrill Lynch & Co	8/12/2009	10/26/2009	Closed	10/26/2009	Completely Affirmed
<u>10-00018-APPS</u>	09-03390-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	First Global Capital Corp	10/26/2009	10/26/2009	Closed	10/26/2009	Completely Reversed/Remanded
<u>10-00019-APPS</u>	09-03406-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Applix Inc	10/26/2009	10/26/2009	Closed	10/26/2009	Completely Reversed/Remanded
<u>10-00020-APPS</u>	09-03414-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Delta & Pine Land Company and Turk Deltapine Inc	10/26/2009	10/26/2009	Closed	10/26/2009	Completely Reversed/Remanded
<u>10-00021-APPS</u>	09-00066-REMD	Abramson, Stewart	-	Other	Statement of Financial Condition for William M Osterhout	10/16/2009	10/26/2009	Closed	3/11/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00022-APPS</u>	09-05242-FOIA	Unger, Peter	Howrey	Commercial Organization	Dyadic International AP 3-13504	10/28/2009	10/30/2009	Closed	11/10/2009	Completely Reversed/Remanded
<u>10-00023-APPS</u>	09-06943-FOIA	Stone, William	Holzer Holzer & Fistel LLC	Commercial Organization	Robert Price Copeland	10/28/2009	11/4/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00024-APPS</u>	10-00104-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Legg Mason Inc	11/5/2009	11/5/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00025-APPS</u>	10-00209-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Force Protection Inc	11/5/2009	11/5/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00026-APPS</u>	10-00275-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Crocs Inc	11/5/2009	11/5/2009	Closed	11/10/2009	Completely Affirmed
<u>10-00027-APPS</u>	09-03387-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Deutsche Asset Management Inc	11/9/2009	11/9/2009	Closed	11/9/2009	Completely Reversed/Remanded
<u>10-00028-APPS</u>	09-03388-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Kaplan & Co Securities Inc	11/9/2009	11/9/2009	Closed	11/9/2009	Completely Reversed/Remanded
<u>10-00029-APPS</u>	09-03395-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Waddell & Reed Inc et al	11/9/2009	11/9/2009	Closed	11/9/2009	Completely Reversed/Remanded
<u>10-00030-APPS</u>	09-03400-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Computershare Trust Company of Canada	11/9/2009	11/9/2009	Closed	11/9/2009	Completely Reversed/Remanded
<u>10-00031-APPS</u>	09-03408-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Sandell Asset Management Corp	11/9/2009	11/9/2009	Closed	11/9/2009	Completely Reversed/Remanded
<u>10-00032-APPS</u>	09-03421-FOIA	Barnard, Jayne	The College of William & Mary	Educational or Non-Commercial Scientific	Temple Securities Ltd	11/9/2009	11/9/2009	Closed	11/9/2009	Completely Reversed/Remanded
<u>10-00033-APPS</u>	09-05687-FOIA	Tucker, Todd	Public Citizen	News Media	World Trade Organizations Financial Services Agreement	11/5/2009	11/10/2009	Closed	5/11/2010	Completely Affirmed
<u>10-00034-APPS</u>	09-06545-FOIA	Patch, David	-	Commercial Organization	SAC Capital	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00035-APPS</u>	09-06569-FOIA	Patch, David	-	Commercial Organization	Greenlight Capital	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00036-APPS</u>	09-06570-FOIA	Patch, David	-	Commercial Organization	Kynikos Associates	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00037-APPS</u>	09-06571-FOIA	Patch, David	-	Commercial Organization	Rocker Partners	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00038-APPS</u>	09-06572-FOIA	Patch, David	-	Commercial Organization	Balyasny Asset Management	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00039-APPS</u>	09-06573-FOIA	Patch, David	-	Commercial Organization	Magnetar Capital	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00040-APPS</u>	09-06574-FOIA	Patch, David	-	Commercial Organization	Millennium Management	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00041-APPS</u>	09-06575-FOIA	Patch, David	-	Commercial Organization	Renaissance Technologies	11/10/2009	11/12/2009	Closed	12/14/2009	Completely Reversed/Remanded
<u>10-00042-APPS</u>	09-07266-FOIA	Seefor, Christopher	Coughlin, Stoia, Geller, Rudman & Robbins LLP	Commercial Organization	VeriFone Holdings Inc	11/18/2009	12/4/2009	Closed	12/4/2009	Completely Reversed/Remanded
<u>10-00043-APPS</u>	10-00732-FOIA	Cohn, Steven	Kirby McInerney	Commercial Organization	Moody's Corp	12/3/2009	12/8/2009	Closed	2/1/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00044-APPS</u>	10-00731-FOIA	Lamb, Lisa	Barrack Rodos & Bacine	Commercial Organization	General Electric Company GE	11/30/2009	12/10/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00045-APPS</u>	09-04379-FOIA	Danison, Tracey	Danison & Associates, Inc.	Commercial Organization	First Eagle Funds	12/9/2009	12/10/2009	Closed	1/29/2010	Completely Reversed/Remanded

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<u>10-00046-APPS</u>	09-05947-FOIA	Rosman, Michael	Center for Individual Rights	Other	Free Enterprise Fund vs Public Accounting Oversight Board, Civil Action No. 1:06-cv-00217-JR	12/14/2009	12/14/2009	Closed	3/4/2010	Completely Reversed/Remanded
<u>10-00047-APPS</u>	10-00428-FOIA	Lee, Michael	Cokinos Bosien & Young	Commercial Organization	Terex Corp	12/22/2009	12/24/2009	Closed	2/2/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00048-APPS</u>	10-00975-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Textron Inc	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00049-APPS</u>	10-00784-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	First Bancorp	12/23/2009	12/29/2009	Closed	1/15/2010	Completely Affirmed
<u>10-00050-APPS</u>	10-01062-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Smith International Inc	12/23/2009	12/29/2009	Closed	1/8/2010	Other Reasons
<u>10-00051-APPS</u>	10-01288-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bally Technologies Inc	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00052-APPS</u>	10-01504-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lennar Corp	12/23/2009	12/29/2009	Closed	1/15/2010	Completely Reversed/Remanded
<u>10-00053-APPS</u>	10-01969-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sterling Financial Corp	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00054-APPS</u>	10-01970-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Premierwest Bancorp	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00055-APPS</u>	10-01972-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ENSCO International Inc	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00056-APPS</u>	10-01974-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Constellation Energy Group	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00057-APPS</u>	10-01984-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Toll Brothers Inc	12/23/2009	12/29/2009	Closed	1/8/2010	Completely Affirmed
<u>10-00058-APPS</u>	10-02510-FOIA	Westbrook, Jesse	Bloomberg News	News Media	Chairman Shapiros Meeting Schedule since January 2009	1/6/2010	1/7/2010	Closed	1/29/2010	Other Reasons
<u>10-00059-APPS</u>	10-02512-FOIA	Cuneo, Jonathan	Cuneo Gilbert & LaDuca LLP	Commercial Organization	OIG 509 Bernard Madoff Report underlying documents	1/7/2010	1/7/2010	Closed	3/4/2010	Completely Reversed/Remanded
<u>10-00060-APPS</u>	10-01943-FOIA	Ackerman, Andrew	The Bond Buyer	News Media	Banc of America Securities, LLC. and Banc of America Investment Services, Inc.	1/5/2010	1/12/2010	Closed	1/29/2010	Completely Affirmed
<u>10-00061-APPS</u>	10-01945-FOIA	Ackerman, Andrew	The Bond Buyer	News Media	Merrill Lynch Pierce Fenner & Smith, Inc	1/5/2010	1/12/2010	Closed	1/29/2010	Completely Affirmed
<u>10-00062-APPS</u>	10-01946-FOIA	Ackerman, Andrew	The Bond Buyer	News Media	Deutsche Bank	1/5/2010	1/15/2010	Closed	1/29/2010	Completely Affirmed
<u>10-00063-APPS</u>	10-02846-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kimco Realty Corp	1/15/2010	1/19/2010	Closed	2/2/2010	Completely Affirmed
<u>10-00064-APPS</u>	10-02347-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Brooks Automation Inc	1/15/2010	1/19/2010	Closed	2/2/2010	Completely Affirmed
<u>10-00065-APPS</u>	10-02948-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Oracle Corp	1/15/2010	1/19/2010	Closed	2/2/2010	Completely Affirmed
<u>10-00066-APPS</u>	10-02101-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Healthsouth Corp	1/15/2010	1/19/2010	Closed	1/28/2010	Completely Reversed/Remanded
<u>10-00067-APPS</u>	10-00105-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Laboratory Corporation of America Holdings	2/10/2010	2/16/2010	Closed	2/18/2010	Completely Affirmed
<u>10-00068-APPS</u>	10-02516-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Raytheon Company	2/10/2010	2/16/2010	Closed	2/18/2010	Completely Affirmed
<u>10-00069-APPS</u>	10-03097-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Chevron Corp	2/10/2010	2/16/2010	Closed	2/23/2010	Completely Affirmed
<u>10-00070-APPS</u>	10-03236-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Interval Leisure Group Inc	2/10/2010	2/16/2010	Closed	2/18/2010	Completely Affirmed
<u>10-00071-APPS</u>	09-05998-FOIA	Grimes, Jonathan	Access Legal	Commercial Organization	Charles Kallman of Cerno Holdings Ltd	2/4/2010	2/18/2010	Closed	3/29/2010	Completely Affirmed
<u>10-00072-APPS</u>	10-03465-FOIA	Molenda, David	Wolters Kluwer Law & Business	Commercial Organization	Reliant Resources Inc nka RRI Energy 001-16455	2/17/2010	2/18/2010	Closed	2/23/2010	Other Reasons
<u>10-00073-APPS</u>	10-00641-FOIA	Carson, Joe	-	Other	SEC Prevention of Prohibited Personnel Practices	2/22/2010	2/22/2010	Closed	3/22/2010	Other Reasons
<u>10-00074-APPS</u>	10-03679-FOIA	Sponsel, TY	Schwartz Junell Greenberg & Oathout LLP	Commercial Organization	Morgan Keegan @ Co 2009 Wells Notice	3/1/2010	3/11/2010	Closed	3/22/2010	Completely Affirmed
<u>10-00075-APPS</u>	10-03680-FOIA	Sponsel, TY	Schwartz Junell Greenberg & Oathout LLP	Commercial Organization	Morgan Keegan @ Co and Morgan Asset Management 2009 Wells Notice	3/1/2010	3/11/2010	Closed	3/22/2010	Completely Affirmed
<u>10-00076-APPS</u>	10-03681-FOIA	Sponsel, TY	Schwartz Junell Greenberg & Oathout LLP	Commercial Organization	Morgan Keegan @ Co Morgan Asset Management and James C Kelspe Jr. 2009 Wells Notice	3/1/2010	3/11/2010	Closed	3/29/2010	Completely Affirmed
<u>10-00079-APPS</u>	10-04517-FOIA	Carollo, Russell	Sharesleuth.com	News Media	Travel Records of Chairman and Commissioners since January 1 2009	3/23/2010	3/24/2010	Closed	4/22/2010	Completely Reversed/Remanded
<u>10-00080-APPS</u>	10-02903-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sonic Automotive Inc	3/24/2010	3/25/2010	Closed	3/30/2010	Completely Affirmed
<u>10-00081-APPS</u>	10-03160-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fedex Corp	3/24/2010	3/25/2010	Closed	4/6/2010	Completely Affirmed
<u>10-00082-APPS</u>	10-03854-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Foster Wheeler AG	3/24/2010	3/25/2010	Closed	3/30/2010	Completely Affirmed
<u>10-00083-APPS</u>	10-03456-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sempra Energy	3/24/2010	3/25/2010	Closed	3/31/2010	Completely Reversed/Remanded
<u>10-00084-APPS</u>	10-03889-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	J P Morgan Chase & Co	3/24/2010	3/25/2010	Closed	3/31/2010	Completely Affirmed
<u>10-00085-APPS</u>	10-03989-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cisco Systems Inc	3/24/2010	3/26/2010	Closed	4/1/2010	Completely Affirmed
<u>10-00086-APPS</u>	10-04319-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	ENSCO International Inc	3/24/2010	3/25/2010	Closed	3/30/2010	Completely Affirmed
<u>10-00087-APPS</u>	10-04320-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Doral Financial Corporation	3/24/2010	3/25/2010	Closed	4/6/2010	Completely Affirmed
<u>10-00088-APPS</u>	10-04337-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Premierwest Bancorp	3/24/2010	3/25/2010	Closed	3/30/2010	Completely Affirmed
<u>10-00089-APPS</u>	10-04338-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Pride International Inc.	3/24/2010	3/25/2010	Closed	3/31/2010	Completely Affirmed
<u>10-00090-APPS</u>	10-04342-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Hartford Financial Services Group Inc	3/24/2010	3/25/2010	Closed	3/30/2010	Completely Affirmed
<u>10-00091-APPS</u>	10-04343-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Force Protection Inc	3/24/2010	3/25/2010	Closed	3/31/2010	Completely Affirmed
<u>10-00092-APPS</u>	10-04403-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Dyncorp International Inc	3/24/2010	3/26/2010	Closed	3/30/2010	Completely Affirmed
<u>10-00093-APPS</u>	09-07065-FOIA	Preuitt, Julie		Federal Government	OIG Report 494A and 494B	3/26/2010	3/26/2010	Closed	5/25/2010	Completely Reversed/Remanded

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<u>10-00094-APPS</u>	10-04475-FOIA	McFarlane, Jonathan	-	Other	Broadcom Corp Henry Nicholas and William Ruehle	3/22/2010	4/2/2010	Closed	6/21/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00095-APPS</u>	10-04753-FOIA	Radano, Robert	-	Commercial Organization	OIG Report 470 allegation of conflict of interest and investigative misconduct	3/30/2010	4/6/2010	Closed	7/28/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00096-APPS</u>	10-04179-FOIA	Evans, Kevin	Steese Evans Frankel PC	Other	OIG Report 503 Use of SEC Computers to Access Pornography	3/25/2010	4/6/2010	Closed	6/22/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00097-APPS</u>	09-02826-FOIA	Rigas, Michael	-	Commercial Organization	Adelphia Communications Corp	4/2/2010	4/8/2010	Closed	4/22/2010	Completely Reversed/Remanded
<u>10-00098-APPS</u>	09-06855-FOIA	Roth, Debra	Shaw, Bransford & Roth, Inc P.C.	Commercial Organization	OIG Reports 494A and 494B FWRO complaints regarding Rose Romero and Kimberly Garber	4/6/2010	4/8/2010	Closed	5/25/2010	Completely Reversed/Remanded
<u>10-00099-APPS</u>	10-03715-FOIA	Kelley, Matt	-	News Media	Senator Bill Nelson and SEC communication on Robert Allen Stanford or Stanford Financial Group since January 1 2008	4/12/2010	4/12/2010	Closed	4/22/2010	Completely Reversed/Remanded
<u>10-00100-APPS</u>	10-04215-FOIA	Greenfield, Richard	Greenfield & Goodman LLC	Commercial Organization	General Electric (GE) B-02107	4/9/2010	4/13/2010	Closed	5/4/2010	Completely Affirmed
<u>10-00101-APPS</u>	10-03365-FOIA	Greenfield, Richard	Greenfield & Goodman LLC	Commercial Organization	Representation of Mary Schapiro or Commission by Gibson Dunn & Crutcher LLP Counsel for Finra	4/9/2010	4/13/2010	Closed	5/11/2010	Other Reasons
<u>10-00102-APPS</u>	10-03292-FOIA	Greenfield, Richard	Greenfield & Goodman LLC	Commercial Organization	OIG 509 Bernard Madoff Report Underlying Documents Regarding Mary Schapiro	4/9/2010	4/13/2010	Closed	4/22/2010	Other Reasons
<u>10-00103-APPS</u>	10-00026-REMD	Cuneo, Jonathan	Cuneo Gilbert & LaDuca LLP	Commercial Organization	OIG 509 Bernard Madoff Report underlying documents	4/12/2010	4/13/2010	Closed	4/22/2010	Other Reasons
<u>10-00104-APPS</u>	10-03875-FOIA	Oud, Willem	-	Other	Royal Ahold	4/13/2010	4/14/2010	Closed	6/22/2010	Completely Affirmed
<u>10-00105-APPS</u>	10-03414-FOIA	Greenfield, Richard	Greenfield & Goodman LLC	Commercial Organization	Regulatory Consolidation of NASD and NYSE	4/15/2010	4/19/2010	Closed	5/4/2010	Other Reasons
<u>10-00107-APPS</u>	10-03168-FOIA	Berg, Kenneth	Ulmer Berne LLP	Commercial Organization	Carl Silver and or Carl Silver Holding Co LLC	4/21/2010	4/27/2010	Closed	9/16/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00108-APPS</u>	10-03559-FOIA	Smallberg, Michael	Project on Government Oversight	News Media	OIG Investigation Reports issued from December 1 2007 through January 19 2010	4/28/2010	5/4/2010	Closed	8/10/2010	Completely Affirmed
<u>10-00109-APPS</u>	10-04974-FOIA	Pavlovic, Vladimir	Allen & Overy LLP	Commercial Organization	York International Corp	4/28/2010	5/4/2010	Closed	6/22/2010	Completely Reversed/Remanded
<u>10-00110-APPS</u>	10-03467-FOIA	Campbell, Dakin	Bloomberg News	News Media	GMAC Form 8-K Exhibit 10.1 Securities Purchase and Exchange Agreement	4/22/2010	5/6/2010	Closed	6/1/2010	Completely Affirmed
<u>10-00111-APPS</u>	10-03108-FOIA	Tomkins, John	Metropolitan Correctional Center	Other	Navarre Corporation	4/22/2010	5/11/2010	Closed	6/16/2010	Other Reasons
<u>10-00112-APPS</u>	10-05859-FOIA	Sokolowski, Andrew	Milberg	Commercial Organization	Bidz.com Inc	5/6/2010	5/11/2010	Closed	5/27/2010	Completely Affirmed
<u>10-00113-APPS</u>	10-06555-FOIA	Menshaw, Mohamed	-	Commercial Organization	the name of the Egyptian Official named in SEC v Daimler AG case NO 1:10-CV-00473	4/30/2010	5/11/2010	Closed	6/24/2010	Completely Affirmed
<u>10-00114-APPS</u>	10-03562-FOIA	Nolan, Michael	Milbank, Tweed, Hadley & McCloy LLP	Commercial Organization	Burton D Greenberg January 1 2003 to present	5/7/2010	5/11/2010	Closed	1/14/2011	Completely Affirmed
<u>10-00115-APPS</u>	10-01311-FOIA	Hershenson, Thomas	Murphy McGonigle	Commercial Organization	Royal Ahold HO-9654 CTR Letters	5/12/2010	5/20/2010	Closed	7/22/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00116-APPS</u>	10-03697-FOIA	Patch, David	-	Commercial Organization	OIG 512 Unauthorized Disclosure of Non-Public Information	5/21/2010	5/24/2010	Closed	7/13/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00117-APPS</u>	09-05402-FOIA	Sole, Mark	-	Other	MedCap Management & Research LLC	5/18/2010	5/24/2010	Closed	8/4/2010	Completely Affirmed
<u>10-00119-APPS</u>	10-00026-REMD	Cuneo, Jonathan	Cuneo Gilbert & LaDuca LLP	Commercial Organization	OIG 509 Bernard Madoff Report underlying documents	5/24/2010	5/27/2010	Closed	6/22/2010	Completely Affirmed
<u>10-00120-APPS</u>	10-03414-FOIA	Greenfield, Richard	Greenfield & Goodman LLC	Commercial Organization	Regulatory Consolidation of NASD and NYSE	5/24/2010	5/28/2010	Closed	7/29/2010	Completely Affirmed
<u>10-00121-APPS</u>	10-06830-FOIA	Scarpati, Jennifer	Ropes & Gray, LLP	Commercial Organization	Medfast Inc	6/2/2010	6/8/2010	Closed	8/23/2010	Other Reasons
<u>10-00122-APPS</u>	10-05385-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	United States Steel Corp	6/7/2010	6/9/2010	Closed	6/15/2010	Other Reasons
<u>10-00123-APPS</u>	10-05735-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	National Oilwell Varco Inc	6/7/2010	6/9/2010	Closed	6/22/2010	Completely Affirmed
<u>10-00124-APPS</u>	10-05862-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Athenahealth Inc	6/7/2010	6/9/2010	Closed	6/16/2010	Completely Affirmed
<u>10-00125-APPS</u>	10-06305-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	6/7/2010	6/9/2010	Closed	6/15/2010	Completely Affirmed
<u>10-00126-APPS</u>	10-06539-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Zoltek Companies Inc	6/7/2010	6/9/2010	Closed	6/22/2010	Completely Affirmed
<u>10-00127-APPS</u>	10-06183-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Interval Leisure Group Inc	6/7/2010	6/9/2010	Closed	6/24/2010	Completely Reversed/Remanded
<u>10-00128-APPS</u>	10-05752-FOIA	Parrilli, Robert	-	Other	Putnam Investment Management and Peter Scannell statements	6/2/2010	6/11/2010	Closed	8/4/2010	Completely Reversed/Remanded
<u>10-00129-APPS</u>	10-06296-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Laboratory Corporation of America Holdings	6/8/2010	6/16/2010	Closed	6/16/2010	Completely Affirmed
<u>10-00130-APPS</u>	09-00075-REMD	Camil, Eric	Camil Consulting Corp	Commercial Organization	American Dairy Inc	6/7/2010	6/16/2010	Closed	12/29/2011	Partially Affirmed & Partially Reversed/Remanded
<u>10-00131-APPS</u>	10-06990-FOIA	Irvy, Bob	Bloomberg News	News Media	Citigroup Inc and Richard M Bowen III 2008 correspondence re mortgage underwriting	6/18/2010	6/23/2010	Closed	7/21/2010	Completely Affirmed
<u>10-00132-APPS</u>	10-05864-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Ameriprise Financial Inc	6/14/2010	6/24/2010	Closed	6/24/2010	Completely Reversed/Remanded
<u>10-00133-APPS</u>	10-06022-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Chevron Corp	6/14/2010	6/23/2010	Closed	6/24/2010	Completely Affirmed
<u>10-00135-APPS</u>	10-06663-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinder Morgan Energy Partners	6/14/2010	6/23/2010	Closed	6/24/2010	Completely Affirmed
<u>10-00136-APPS</u>	10-07262-FOIA	Houser, Keith	BioTech Medics Inc	Commercial Organization	BioTech Medics Inc	6/23/2010	6/25/2010	Closed	7/16/2010	Completely Affirmed
<u>10-00137-APPS</u>	10-06554-FOIA	Ghobrial, Maggie	Associated Press	News Media	SEC v Daimler AG case No 1:10-CV-00473	6/25/2010	6/28/2010	Closed	8/3/2010	Completely Reversed/Remanded
<u>10-00138-APPS</u>	10-07383-FOIA	Ahmed, S	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Koss Corporation	6/23/2010	6/30/2010	Closed	7/8/2010	Completely Affirmed
<u>10-00139-APPS</u>	10-05646-FOIA	Rothschild, Andrew	Law Offices of Rothschild & Rothschild, P.C.	Commercial Organization	The Medical Center Hospital Authority Series 2007 revenue bonds for Spring Harbor at Green Island	6/30/2010	7/8/2010	Closed	9/15/2010	Completely Affirmed
<u>10-00140-APPS</u>	09-00075-REMD	Camil, Eric	Camil Consulting Corp	Commercial Organization	American Dairy Inc	6/26/2010	7/8/2010	Closed	11/30/2011	Completely Affirmed
<u>10-00141-APPS</u>	10-05647-FOIA	Asaro, Michael	Akin, Gump, Strauss, Hauer & Feld, LLP	Commercial Organization	OIG Report 496 concerning Allied Capital Corporation	7/12/2010	7/14/2010	Closed	12/13/2010	Partially Affirmed & Partially Reversed/Remanded

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<u>10-00142-APPS</u>	10-03732-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Google Inc	7/22/2010	7/23/2010	Closed	8/23/2010	Completely Affirmed
<u>10-00143-APPS</u>	10-06545-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Toll Brothers Inc	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Affirmed
<u>10-00144-APPS</u>	10-06674-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Boeing company	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Affirmed
<u>10-00145-APPS</u>	10-06798-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Avon Products Inc	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00146-APPS</u>	10-07135-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Freeport McMoran Copper & Gold Inc	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Affirmed
<u>10-00147-APPS</u>	10-07164-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Textron Inc	7/22/2010	7/23/2010	Closed	8/23/2010	Completely Affirmed
<u>10-00148-APPS</u>	10-07169-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Openwave Systems Inc	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Reversed/Remanded
<u>10-00149-APPS</u>	10-07364-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Knight Capital Group Inc	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Affirmed
<u>10-00150-APPS</u>	10-07463-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mylan Inc	7/22/2010	7/23/2010	Closed	9/15/2010	Completely Affirmed
<u>10-00151-APPS</u>	10-07465-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	St Jude Medical Inc	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Affirmed
<u>10-00152-APPS</u>	10-07588-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McAfee Inc	7/22/2010	7/23/2010	Closed	8/23/2010	Completely Affirmed
<u>10-00153-APPS</u>	10-07594-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Motorola Inc	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00154-APPS</u>	10-07968-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	St Corp	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00155-APPS</u>	10-00148-APPS	Gavin, J.	Probes Reporter, LLC	News Media	Tyson Foods Inc	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00156-APPS</u>	10-07306-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Hartford Financial Services Group Inc	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00157-APPS</u>	10-07362-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	TASER International Inc	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00158-APPS</u>	10-07429-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Raytheon Company	7/22/2010	7/23/2010	Closed	8/11/2010	Completely Affirmed
<u>10-00159-APPS</u>	10-07461-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Life Time Fitness Inc	7/22/2010	7/23/2010	Closed	8/3/2010	Completely Affirmed
<u>10-00160-APPS</u>	10-07466-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sterling Financial Corp	7/22/2010	7/23/2010	Closed	8/4/2010	Completely Affirmed
<u>10-00161-APPS</u>	10-06622-FOIA	Shenon, Phillip	The Daily Beast	News Media	copies of all telephone and visitors logs for SEC chairwoman Mary L. Schapiro	7/15/2010	7/28/2010	Closed	8/2/2011	Completely Affirmed
<u>10-00162-APPS</u>	10-07750-FOIA	Ratliff, Evan	The New Yorker	News Media	Josef von Habsburg Admin proceedings	7/26/2010	8/2/2010	Closed	8/17/2010	Other Reasons
<u>10-00163-APPS</u>	10-07837-FOIA	Harris, Donte		Other	Fidelity Bond Information	8/10/2010	8/10/2010	Closed	8/17/2010	Other Reasons
<u>10-00164-APPS</u>	10-08312-FOIA	Gunther, Christopher	Skadden, Arps, Slate, Meagher & Flom LLP	Commercial Organization	Computer Associates International Inc CA	7/27/2010	8/10/2010	Closed	8/23/2010	Completely Reversed/Remanded
<u>10-00165-APPS</u>	10-07268-FOIA	Scarpati, Jennifer	Ropes & Gray, LLP	Commercial Organization	Pre-Paid Legal Services	8/12/2010	8/17/2010	Closed	9/15/2010	Completely Affirmed
<u>10-00166-APPS</u>	10-09040-FOIA	Schmidt, Patrick	Macalester College	Educational or Non-Commercial Scientific	Chief FOIA Officer written communication with FOIA staff from December 19 2005 to the present	8/23/2010	8/24/2010	Closed	9/16/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00167-APPS</u>	09-05402-FOIA	Sole, Mark	-	Other	MedCap Management & Research LLC and Fred Toney	8/27/2010	8/30/2010	Closed	12/16/2010	Other Reasons
<u>10-00168-APPS</u>	10-04442-FOIA	Rappaport, Adam	CREW	News Media	Post Madoff Reforms	8/25/2010	8/31/2010	Closed	1/14/2011	Completely Affirmed
<u>10-00169-APPS</u>	10-08314-FOIA	Spencer, Debbie	Stacy & Conder, LLP	Commercial Organization	Quellos Capital Management LP Quellos Fixed Income Advisors LLC Quellos Custom Strategies LLC exam records	9/2/2010	9/7/2010	Closed	12/13/2010	Other Reasons
<u>10-00170-APPS</u>	10-08315-FOIA	Spencer, Debbie	Stacy & Conder, LLP	Commercial Organization	Quellos Capital Management LP et al Bull Option Strategy and GRAT Strategy	9/2/2010	9/7/2010	Closed	12/13/2010	Other Reasons
<u>10-00171-APPS</u>	09-07757-FOIA	Taylor, Philip	Abraham Fruchter & Twersky LLP	Commercial Organization	Internap Network Services Corp	9/2/2010	9/8/2010	Closed	1/14/2011	Partially Affirmed & Partially Reversed/Remanded
<u>10-00172-APPS</u>	10-06560-FOIA	Jones, Ronald	University of Cincinnati College of Law	Educational or Non-Commercial Scientific	IAA release No 1406 file No S7-8-94	9/9/2010	9/9/2010	Closed	9/24/2010	Completely Reversed/Remanded
<u>10-00173-APPS</u>	10-00038-REMD	Herhenson, Thomas	Murphy McGonigle	Commercial Organization	Royal Ahold HO-9654 CTR Letters	9/8/2010	9/9/2010	Closed	9/15/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00174-APPS</u>	10-07710-FOIA	Stachewicz, Jeff	FOIA Group Inc	Commercial Organization	PPIRS CPAR Final Contractor Performance Rating Reports for past 3 years	9/13/2010	9/15/2010	Closed	9/28/2010	Completely Affirmed
<u>10-00175-APPS</u>	10-05829-FOIA	Agle, Daniel	Klinesinst PC	Other	Real Estate Partners Inc et al Case No 8:07-cv-01022-AG-MLG	9/10/2010	9/16/2010	Closed	9/28/2010	Completely Reversed/Remanded
<u>10-00176-APPS</u>	10-08752-FOIA	Ravnitzky, Michael	-	Other	Office of Investigations Audit Reports listing for 1993 to present	9/7/2010	9/17/2010	Closed	11/4/2010	Partially Affirmed & Partially Reversed/Remanded
<u>10-00177-APPS</u>	10-08359-FOIA	Black, Barbara	University of Cincinnati College of Law	Educational or Non-Commercial Scientific	IAA release No 1406 file No S7-8-94	9/3/2010	9/17/2010	Closed	9/24/2010	Completely Reversed/Remanded
<u>10-00178-APPS</u>	10-07491-FOIA	Battaglia, David	Gibson Dunn	Commercial Organization	Broadcom Corp transcript of Dr Henry Samueli	9/16/2010	9/17/2010	Closed	9/28/2010	Completely Reversed/Remanded
<u>10-00179-APPS</u>	10-07085-FOIA	Battaglia, David	Gibson Dunn	Commercial Organization	Broadcom Corp Henry T Nicholas Henry Samueli William J Ruehle and David Dull	9/16/2010	9/17/2010	Closed	9/28/2010	Completely Reversed/Remanded
<u>10-00180-APPS</u>	10-08430-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	AMAG Pharmaceuticals Inc	9/14/2010	9/17/2010	Closed	9/23/2010	Completely Affirmed
<u>10-00181-APPS</u>	10-08574-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Premierwest Bancorp	9/14/2010	9/17/2010	Closed	9/22/2010	Completely Affirmed
<u>10-00182-APPS</u>	10-08615-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Computer Programs & Systems Inc	9/14/2010	9/17/2010	Closed	9/22/2010	Completely Affirmed
<u>10-00183-APPS</u>	10-08838-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Kraft Foods Inc	9/14/2010	9/16/2010	Closed	9/28/2010	Other Reasons
<u>10-00184-APPS</u>	10-08904-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Take Two Interactive	9/14/2010	9/16/2010	Closed	9/28/2010	Completely Reversed/Remanded
<u>10-00185-APPS</u>	10-08918-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	MB Financial Inc	9/14/2010	9/17/2010	Closed	9/23/2010	Completely Affirmed
<u>10-00186-APPS</u>	10-09069-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NewAlliance Bancshares Inc	9/14/2010	9/16/2010	Closed	9/22/2010	Other Reasons

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Request ID	Reference Number	Requester Name	Organization	Requester Category	Request Description	Requested Date	Received Date	Request Status	Closed Date	Final Disposition
<u>10-00187-APPS</u>	10-06153-FOIA	Weiss, Miles	Bloomberg News	Commercial Organization	Doubleline Funds Trust Trust Co of the West and Form N-1A filed January 12 2010	9/15/2010	9/22/2010	Closed	10/5/2010	Completely Reversed/Remanded
<u>10-00188-APPS</u>	10-03885-FOIA	Floresca, Lauri	-	Other	List of formal orders of investigation issued in 2008 and 2009	9/15/2010	9/23/2010	Closed	11/26/2010	Completely Affirmed
<u>10-00189-APPS</u>	09-00003-FIFO	Dannhauser, Robert	Bickel & Brewer	Commercial Organization	Computer Associates International Inc	9/16/2010	9/18/2010	Closed	10/5/2010	Other Reasons
<u>10-00190-APPS</u>	10-08949-FOIA	Laughlin, Thomas	Scott + Scott LLP	Commercial Organization	MGIC Investment Corp C-BASS and Radian Group Inc	9/20/2010	9/28/2010	Closed	10/6/2010	Completely Affirmed
<u>10-00191-APPS</u>	10-09699-FOIA	Guglielmo, Joseph	Scott & Scott, Attorneys at Law, LLP	Commercial Organization	Signalife Inc fka Recom Managed Systems Inc cka Heart Tonics Inc	9/21/2010	9/28/2010	Closed	10/5/2010	Completely Affirmed

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<u>11-00001-APPS</u>	10-09932-FOIA	Green Comite, Erin	Scott + Scott, LLP	Commercial Organization	Merrill Lynch Pierce Fenner & Smith Inc ARS Settlement	10/4/2010	10/7/2010	Closed	11/17/2010	Completely Affirmed
<u>11-00002-APPS</u>	10-08069-FOIA	McLaughlin, Kelly	-	Commercial Organization	OIG Report 515 disclosure of non-public procurment information	10/1/2010	10/7/2010	Closed	2/17/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00003-APPS</u>	10-05647-FOIA	Asaro, Michael	Akin, Gump, Strauss, Hauer & Feld, LLP	Commercial Organization	OIG Report 496 concerning Allied Capital Corporation	10/6/2010	10/8/2010	Closed	9/17/2013	Partially Affirmed & Partially Reversed/Remanded
<u>11-00004-APPS</u>	10-10258-FOIA	Whitaker, Matthew	WestLaw CourtExpress	Commercial Organization	Next Inv LLC and WisdomTree et al comment letters	10/18/2010	10/20/2010	Closed	10/27/2010	Completely Reversed/Remanded
<u>11-00005-APPS</u>	10-10167-FOIA	Forkey, Russell	Russell L. Forkey, P.A.	Commercial Organization	KW Brown & Co et al Civil Action No 05-cv-80367-Johnson (SDFL)	10/9/2010	10/25/2010	Closed	11/4/2010	Completely Reversed/Remanded
<u>11-00006-APPS</u>	10-08641-FOIA	Mishaan, Albert	Kasowitz Benson Torres & Friedman LLP	Commercial Organization	Third Point LLC	10/18/2010	10/26/2010	Closed	11/5/2010	Other Reasons
<u>11-00007-APPS</u>	10-08126-FOIA	Woo, Sangcheol	-	Commercial Organization	Asianet Corp S-1 comment letter	10/25/2010	10/29/2010	Closed	1/14/2011	Completely Affirmed
<u>11-00008-APPS</u>	10-08128-FOIA	Woo, Sangcheol	-	Commercial Organization	Asianet Corp F-1 comment letter	10/25/2010	10/29/2010	Closed	1/14/2011	Completely Affirmed
<u>11-00009-APPS</u>	11-00146-FOIA	Doolittle, Patrick	Quin Emanuel Urquhart & Sullivan	Commercial Organization	UBS AG UBS Securities, LLC., Tricadia Capital, LLC., and Mariner Investment Group	10/29/2010	11/4/2010	Closed	11/17/2010	Completely Affirmed
<u>11-00010-APPS</u>	10-07977-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cisco Systems Inc	11/8/2010	11/9/2010	Closed	12/9/2010	Completely Affirmed
<u>11-00011-APPS</u>	10-07978-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Dyncorp International Inc	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed
<u>11-00012-APPS</u>	10-07981-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Foster Wheeler AG	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed
<u>11-00013-APPS</u>	10-09362-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Corporate Office Properties Trust	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed
<u>11-00014-APPS</u>	10-10006-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Kinder Morgan Energy Partners	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Reversed/Remanded
<u>11-00015-APPS</u>	10-10009-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Mylan Inc	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed
<u>11-00016-APPS</u>	10-10010-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed

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<u>11-00017-APPS</u>	11-00052-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	JDA Software Group Inc	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed
<u>11-00018-APPS</u>	11-00298-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Force Protection Inc	11/8/2010	11/9/2010	Closed	11/15/2010	Completely Affirmed
<u>11-00019-APPS</u>	10-08072-FOIA	Simpson, James	ETP Resources, LLC	Commercial Organization	American Stock Exchange form 19b-4e filings for May-Sept 2000	10/25/2010	11/9/2010	Closed	11/17/2010	Completely Reversed/Remanded
<u>11-00021-APPS</u>	10-09593-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Powell Industries Inc	11/8/2010	11/16/2010	Closed	11/18/2010	Completely Reversed/Remanded
<u>11-00022-APPS</u>	10-08568-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fedex Corp	11/8/2010	11/18/2010	Closed	11/18/2010	Completely Affirmed
<u>11-00023-APPS</u>	10-08619-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lions Gate Entertainment Corp	11/8/2010	11/18/2010	Closed	11/18/2010	Completely Affirmed
<u>11-00024-APPS</u>	10-04516-FOIA	Carollo, Russell	Sharesleuth.com	News Media	Travel Database for SEC Employees or Financed by SEC	11/19/2010	11/22/2010	Closed	3/5/2012	Other Reasons
<u>11-00025-APPS</u>	10-04524-FOIA	Collins, Elizabeth	Hunton & Williams LLP	Commercial Organization	Dyadic International AP 3-13504	11/18/2010	11/22/2010	Closed	4/7/2011	Other Reasons
<u>11-00026-APPS</u>	10-09437-FOIA	Medley, Tammy	-	Other	Injury Illness Statistics	11/18/2010	11/22/2010	Closed	1/14/2011	Completely Affirmed
<u>11-00027-APPS</u>	11-00175-FOIA	Hogan, Debra	Stroock & Stroock & Lavan LLP	Commercial Organization	Stillwater Capital Partners	11/19/2010	11/23/2010	Closed	12/6/2010	Completely Reversed/Remanded
<u>11-00028-APPS</u>	10-09676-FOIA	Rand, Sascha	Quinn Emanuel Urquhart & Sullivan	Commercial Organization	SEC v Citigroup Inc case 1:10-cv-01277 and Gary Crittendon and Arthur Tildesley Jr	11/24/2010	11/29/2010	Closed	1/14/2011	Completely Affirmed
<u>11-00029-APPS</u>	10-00040-REMD	Kaiser, Karen	The Associated Press	Commercial Organization	SEC v Daimler AG case No 1:10-CV-00473	12/16/2010	12/16/2010	Closed	3/17/2011	Completely Reversed/Remanded
<u>11-00030-APPS</u>	10-07899-FOIA	Rappaport, Adam	CREW	News Media	Financial Accounting Standards Board (FASB) Financial Industry Regulatory Authority (FINRA) fka NASD regulations info sharing instructions from SEC and funding Metcalf Report of 1976	12/22/2010	12/28/2010	Closed	9/27/2012	Completely Affirmed
<u>11-00031-APPS</u>	10-10344-FOIA	Rappaport, Adam	CREW	News Media	FOIA Processing Improvements	12/23/2010	12/28/2010	Closed	4/5/2011	Completely Reversed/Remanded
<u>11-00032-APPS</u>	10-00051-REMD	Battaglia, David	Gibson Dunn	Commercial Organization	Broadcom Corp Henry T Nicholas Henry Samueli William J Ruehle and David Dull	1/5/2011	1/5/2011	Closed	5/11/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00033-APPS</u>	11-02077-FOIA	Evans, Kevin	Steese Evans Frankel PC	Other	Employees accessing pornographic websites	1/20/2011	1/27/2011	Closed	3/4/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00034-APPS</u>	10-10004-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Chevron Corp	1/26/2011	1/31/2011	Closed	4/19/2011	Completely Affirmed

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<u>11-00035-APPS</u>	11-02042-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Scientific Games Corp	1/26/2011	1/31/2011	Closed	2/2/2011	Other Reasons
<u>11-00036-APPS</u>	11-01866-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Life Time Fitness Inc	1/26/2011	1/31/2011	Closed	2/2/2011	Completely Affirmed
<u>11-00037-APPS</u>	11-01623-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	NetApp Inc	1/26/2011	1/31/2011	Closed	2/2/2011	Completely Affirmed
<u>11-00038-APPS</u>	11-01063-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Willis Group Holdings Ltd	1/26/2011	1/31/2011	Closed	2/2/2011	Completely Affirmed
<u>11-00039-APPS</u>	11-01158-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Archer Daniels Midland Co	1/26/2011	1/31/2011	Closed	2/3/2011	Completely Affirmed
<u>11-00040-APPS</u>	11-01351-FOIA	McClanahan, Kel	National Security Counselors	Commercial Organization	Proposed Rules for Implementing the Whistleblower Provisions of SEC 21F - October 25 meeting documents	1/12/2011	1/21/2011	Closed	4/19/2011	Completely Reversed/Remanded
<u>11-00041-APPS</u>	11-01353-FOIA	McClanahan, Kel	National Security Counselors	Commercial Organization	Proposed Rules for Implementing the Whistleblower Provisions of SEC 21F - October 12 meeting documents	1/12/2011	1/21/2011	Closed	4/19/2011	Completely Reversed/Remanded
<u>11-00042-APPS</u>	11-01356-FOIA	McClanahan, Kel	National Security Counselors	Commercial Organization	Proposed Rules for Implementing the Whistleblower Provisions of SEC 21F - October 12 meeting documents	1/12/2011	1/21/2011	Closed	4/19/2011	Completely Reversed/Remanded
<u>11-00043-APPS</u>	11-01638-FOIA	Medley, Tammy	-	Other	Vacancy Announcement 10-363685 and 10-0313590	2/3/2011	2/7/2011	Closed	4/5/2011	Other Reasons
<u>11-00044-APPS</u>	11-02228-FOIA	Robinson, Jennifer	Buchanan Ingersoll & Rooney PC	Commercial Organization	Centre Partners Management LLC audits and examinations	2/7/2011	2/8/2011	Closed	3/30/2011	Completely Affirmed
<u>11-00045-APPS</u>	11-02229-FOIA	Robinson, Jennifer	Buchanan Ingersoll & Rooney PC	Commercial Organization	MB Investment Partners Inc audits and examinations	2/7/2011	2/8/2011	Closed	3/30/2011	Completely Affirmed
<u>11-00046-APPS</u>	11-00611-FOIA	Peacock, Robert	-	Other	NYSE Execution Data	1/29/2011	2/15/2011	Closed	2/15/2011	Other Reasons
<u>11-00047-APPS</u>	11-01636-FOIA	Peacock, Robert	-	Other	NYSE Fraud Settlement SEC records	1/29/2011	2/15/2011	Closed	2/15/2011	Other Reasons
<u>11-00048-APPS</u>	11-01637-FOIA	Peacock, Robert	-	Other	NYSE Fraud Settlement Fund documents in possession of Heffler Radetich & Saitta LLP	1/29/2011	2/15/2011	Closed	2/15/2011	Other Reasons
<u>11-00049-APPS</u>	11-02381-FOIA	Carruth, Nathan	-	Commercial Organization	Collateralized Debt Obligations or Derivative Swaps	2/15/2011	2/15/2011	Closed	2/23/2011	Completely Reversed/Remanded
<u>11-00050-APPS</u>	11-02072-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Robert Khuzami communication re: David Zilkha, Arthur Samberg and monies paid by Pequot	2/16/2011	2/17/2011	Closed	6/27/2011	Completely Reversed/Remanded
<u>11-00051-APPS</u>	11-02227-FOIA	Kramer, Philip	Kramer & Kaslow	Commercial Organization	Signalife Inc	2/4/2011	2/17/2011	Closed	2/18/2011	Completely Affirmed

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<u>11-00052-APPS</u>	11-02850-FOIA	Peacock, Robert	-	Other	NYSE Execution Fraud and Annette Nazareth	2/14/2011	2/17/2011	Closed	2/24/2011	Other Reasons
<u>11-00053-APPS</u>	11-03203-FOIA	Stromsnes, Michael	Holland & Knight	Commercial Organization	Gerova Financial Group Ltd et al	2/14/2011	2/17/2011	Closed	2/24/2011	Completely Reversed/Remanded
<u>11-00054-APPS</u>	11-03248-FOIA	Stromsnes, Michael	Holland & Knight	Commercial Organization	Jason W Galanis and Penthouse International Inc	2/14/2011	2/17/2011	Closed	2/24/2011	Completely Reversed/Remanded
<u>11-00055-APPS</u>	11-00611-FOIA	Peacock, Robert	-	Other	NYSE Execution Data	2/19/2011	2/22/2011	Closed	3/29/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00056-APPS</u>	11-01636-FOIA	Peacock, Robert	-	Other	NYSE Fraud Settlement SEC records	2/19/2011	2/22/2011	Closed	4/1/2011	Other Reasons
<u>11-00057-APPS</u>	11-01637-FOIA	Peacock, Robert	-	Other	NYSE Fraud Settlement Fund documents in possession of Heffler Radetich & Saitta LLP	2/19/2011	2/22/2011	Closed	4/1/2011	Other Reasons
<u>11-00058-APPS</u>	11-01639-FOIA	McIntyre, Kelly	Robbins Umeda and Fink LLP	Commercial Organization	SciClone Pharmaceuticals Inc	2/25/2011	2/28/2011	Closed	3/17/2011	Completely Affirmed
<u>11-00059-APPS</u>	11-03389-FOIA	Anaya, Mark	-	Other	Downey Savings & Loan Association residential mortgage records	2/17/2011	3/1/2011	Closed	3/17/2011	Other Reasons
<u>11-00062-APPS</u>	10-08653-FOIA	Heredia, Michael	-	Commercial Organization	Argent Mortgage Co LLC residential mortgage records	3/27/2011	3/30/2011	Closed	4/19/2011	Completely Affirmed
<u>11-00063-APPS</u>	09-00010-FIFO	Theobald, William	-	News Media	HCA Inc	3/22/2011	3/30/2011	Closed	6/1/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00064-APPS</u>	10-04520-FOIA	Shewan, Scott	Pape & Shewan, LLP	Other	FINRA Arbitrator Selection Process	3/21/2011	4/1/2011	Closed	4/28/2011	Completely Affirmed
<u>11-00065-APPS</u>	10-06999-FOIA	Loder, Asjlyn	Bloomberg News	News Media	products trading under ticker symbols UNG USO DBA and DBC	4/1/2011	4/4/2011	Closed	10/25/2011	Completely Reversed/Remanded
<u>11-00066-APPS</u>	11-01959-FOIA	Baker, Christopher	Vornado	Commercial Organization	SEC lease for 700 2nd street NE Washington DC	3/29/2011	4/6/2011	Closed	3/12/2012	Completely Affirmed
<u>11-00067-APPS</u>	11-04238-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Cisco Systems Inc	4/12/2011	4/13/2011	Closed	4/20/2011	Completely Affirmed
<u>11-00068-APPS</u>	10-07711-FOIA	Ivry, Bob	Bloomberg News	News Media	Citigroup Inc and Richard M Bowen Transcript	4/6/2011	4/13/2011	Closed	5/11/2011	Completely Reversed/Remanded
<u>11-00069-APPS</u>	11-04051-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Lender Processing Services Inc	4/12/2011	4/13/2011	Closed	5/9/2011	Completely Affirmed
<u>11-00070-APPS</u>	11-04236-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	AMAG Pharmaceuticals Inc fka Advanced Magnetics Inc	4/12/2011	4/13/2011	Closed	4/21/2011	Completely Affirmed

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<u>11-00071-APPS</u>	11-04237-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Computer Programs & Systems Inc	4/12/2011	4/13/2011	Closed	4/21/2011	Completely Affirmed
<u>11-00072-APPS</u>	11-04239-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fedex Corp	4/12/2011	4/13/2011	Closed	4/21/2011	Completely Affirmed
<u>11-00073-APPS</u>	11-05211-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	SunTrust Banks Inc	4/12/2011	4/13/2011	Closed	5/10/2011	Completely Affirmed
<u>11-00074-APPS</u>	11-05532-FOIA	Vandaele, Donald	-	Other	Anderson Financial Group Inc residential mortgage records	4/18/2011	4/18/2011	Closed	5/11/2011	Other Reasons
<u>11-00075-APPS</u>	11-01321-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Unisource Energy Corp	1/26/2011	2/2/2011	Closed	4/18/2011	Completely Affirmed
<u>11-00076-APPS</u>	11-01162-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Freeport-McMoRan Copper & Gold Inc	1/31/2011	4/19/2011	Closed	4/19/2011	Completely Affirmed
<u>11-00077-APPS</u>	11-01871-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sterling Financial Corp	1/31/2011	4/19/2011	Closed	4/19/2011	Completely Affirmed
<u>11-00078-APPS</u>	11-01880-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Tyson Foods, Inc	1/31/2011	4/19/2011	Closed	4/19/2011	Completely Affirmed
<u>11-00079-APPS</u>	11-01619-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Huntington Bancshares Incorporated	1/26/2011	4/21/2011	Closed	4/21/2011	Completely Affirmed
<u>11-00080-APPS</u>	11-01900-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UnitedHealth Group Incorporated	2/3/2011	4/21/2011	Closed	4/21/2011	Completely Reversed/Remanded
<u>11-00081-APPS</u>	11-03449-FOIA	Razzouk, Jay	Robbins Umeda LLP	Commercial Organization	Maxwell Technologies Inc 11 CV 00258	4/11/2011	4/20/2011	Closed	4/28/2011	Completely Affirmed
<u>11-00082-APPS</u>	11-02028-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Huntsman Corporation	1/26/2011	4/25/2011	Closed	4/25/2011	Completely Affirmed
<u>11-00083-APPS</u>	11-04718-FOIA	Rielly, Thomas	Vista 360	Commercial Organization	Energy Solutions Inc Zion Solutions Inc and Exelon Agreement	4/20/2011	4/27/2011	Closed	5/27/2011	Other Reasons
<u>11-00084-APPS</u>	11-02078-FOIA	Carney, Rachel	Wingert, Grebing, & Goodwin, LLP	Commercial Organization	Investigation records of D.W Heath & Associates Inc	4/22/2011	4/28/2011	Closed	5/17/2011	Completely Affirmed
<u>11-00085-APPS</u>	11-05144-FOIA	Ahmad, Tariq	-	Other	Pacific Energy & Mining Company	4/26/2011	5/4/2011	Closed	5/25/2011	Completely Affirmed
<u>11-00087-APPS</u>	11-04317-FOIA	Neer, R	Baker Keener & Nahra	Commercial Organization	Tony Ahn administrative proceeding 3-14272	4/29/2011	5/9/2011	Closed	5/17/2011	Completely Affirmed
<u>11-00088-APPS</u>	11-04318-FOIA	Neer, R	Baker Keener & Nahra	Commercial Organization	Tony Ahn administrative proceeding 3-14273	4/29/2011	5/9/2011	Closed	5/17/2011	Completely Affirmed

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<u>11-00089-APPS</u>	11-05710-FOIA	Ivry, Bob	Bloomberg News	News Media	Citigroup Inc and Richard Bowen III 2008 correspondence re-mortgage underwriting	5/9/2011	5/11/2011	Closed	5/16/2011	Other Reasons
<u>11-00090-APPS</u>	10-08628-FOIA	Fokas, Jimmy	Baker & Hostetler, LLP	Commercial Organization	Bill and hold method of accounting	5/16/2011	5/19/2011	Closed	1/12/2012	Partially Affirmed & Partially Reversed/Remanded
<u>11-00091-APPS</u>	11-06453-FOIA	Lefeber, Hope	Hope C.Lefeber, LLC	Commercial Organization	George Georgiou	5/13/2011	5/18/2011	Closed	5/27/2011	Completely Affirmed
<u>11-00092-APPS</u>	09-00002-FIFO	Barrett, William	Forbes	News Media	Robert Lee Vesco	5/10/2011	5/23/2011	Closed	9/26/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00093-APPS</u>	11-04309-FOIA	Masters, Joshua	Kirby McInerney LLP	Commercial Organization	SEC v Citigroup Inc 1:10-CV-01277 Arthur H Tildesley	5/16/2011	5/24/2011	Closed	9/14/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00094-APPS</u>	11-04310-FOIA	Masters, Joshua	Kirby McInerney LLP	Commercial Organization	SEC v Citigroup Inc 1:10-CV-01277 Gary L Crittenden	5/16/2011	5/24/2011	Closed	9/14/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00095-APPS</u>	11-04311-FOIA	Masters, Joshua	Kirby McInerney LLP	Commercial Organization	SEC v Citigroup Inc 10-CV-1277	5/16/2011	5/24/2011	Closed	9/14/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00096-APPS</u>	11-05027-FOIA	Condon, Christopher	Bloomberg News, Boston Bureau	News Media	Value Line Inc 2010	5/20/2011	5/24/2011	Closed	11/28/2011	Other Reasons
<u>11-00097-APPS</u>	11-06336-FOIA	Brozzetti, Michael	Boundless LLC	Commercial Organization	Code of Ethic Violations and Signed Acknowledgements 2006 to Present	5/25/2011	5/27/2011	Closed	6/13/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00098-APPS</u>	11-06703-FOIA	Rome, Mark	-	Commercial Organization	All tips and complaints received from January 2006 to present	5/26/2011	6/2/2011	Closed	6/13/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00099-APPS</u>	11-05240-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Jefferies Group Inc	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00100-APPS</u>	11-05506-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	World Fuel Services Corp	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00101-APPS</u>	11-05565-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	St. Jude Medical, Inc	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00102-APPS</u>	11-06150-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Nabors Industries Ltd	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00103-APPS</u>	11-06152-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Caterpillar Inc	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00104-APPS</u>	11-06499-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Boeing Company	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00105-APPS</u>	11-06204-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	China-Biotics Inc	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed

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<u>11-00106-APPS</u>	11-06342-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	United Rentals Inc	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Affirmed
<u>11-00107-APPS</u>	11-06484-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	TASER International Inc	6/1/2011	6/2/2011	Closed	6/9/2011	Completely Reversed/Remanded
<u>11-00108-APPS</u>	11-06447-FOIA	Wood, Debi	-	Other	OIG Report 528 CMKM Diamonds Inc	6/4/2011	6/7/2011	Closed	8/1/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00109-APPS</u>	11-02857-FOIA	Brown, J. Robert	University of Denver	Educational or Non-Commercial Scientific	Rite Aid letter from Martin Dunn	6/6/2011	6/7/2011	Closed	1/13/2012	Other Reasons
<u>11-00110-APPS</u>	11-02997-FOIA	Rosenbloom, Joseph	-	News Media	Enforcement of the Foreign Corrupt Practices Act, including names of the appointees, scope of the monitors duties, hourly pay rates and total fees and	6/9/2011	6/15/2011	Closed	9/27/2011	Completely Reversed/Remanded
<u>11-00111-APPS</u>	11-02078-FOIA	Carney, Rachel	Wingert, Grebing, & Goodwin, LLP	Commercial Organization	DW Heath & Associates Inc	6/21/2011	6/28/2011	Closed	11/30/2011	Completely Affirmed
<u>11-00112-APPS</u>	11-05095-FOIA	Cohen, Theodore	-	Commercial Organization	Dendreon "bear raid"	6/23/2011	6/29/2011	Closed	7/18/2011	Other Reasons
<u>11-00113-APPS</u>	11-03493-FOIA	Fischman, Michael	Phillips Nizer LLP	Commercial Organization	Securities and Exchange Commission v. Andres Pimstein, et al. (Index No. 08-23024)	5/19/2011	6/29/2011	Closed	8/10/2011	Other Reasons
<u>11-00114-APPS</u>	11-06336-FOIA	Brozzetti, Michael	Boundless LLC	Commercial Organization	Code of Ethic Violations and Signed Acknowledgements 2006 to Present	7/1/2011	7/5/2011	Closed	9/1/2011	Partially Affirmed & Partially Reversed/Remanded
<u>11-00115-APPS</u>	11-07617-FOIA	Carollo, Russell	Sharesleuth.com	News Media	Travel Database for all SEC employees or financed by the SEC	6/30/2011	7/5/2011	Closed	3/5/2012	Completely Reversed/Remanded
<u>11-00116-APPS</u>	11-06782-FOIA	Farnam, Tim	The Washington Post	News Media	Correspondence with US Representatives Jeb Hensarling regarding Sam Wyly Charles Wyly Maverick Capital Ranger Partners and Green Mountain Energy	7/12/2011	7/14/2011	Closed	8/1/2011	Completely Reversed/Remanded
<u>11-00117-APPS</u>	11-02653-FOIA	Manning, John	JOHN F X MANNING ASSOCIATES INC	Commercial Organization	KBW Asset Management Inc and HO1241878	7/26/2011	7/26/2011	Closed	7/26/2011	Completely Affirmed
<u>11-00118-APPS</u>	11-08050-FOIA	Baig, Aelish	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Computer Sciences Inc	7/25/2011	7/26/2011	Closed	8/1/2011	Completely Affirmed
<u>11-00120-APPS</u>	11-08361-FOIA	Smallberg, Michael	Project on Government Oversight	News Media	Ethics recusals searchable records	6/29/2011	8/4/2011	Closed	12/7/2011	Completely Reversed/Remanded
<u>11-00121-APPS</u>	11-09349-FOIA	Micheletti, Dina	Fazio & Micheletti, LLP	Commercial Organization	SEC v Pegasus Wireless Corp et al 09 cv 02302	8/4/2011	8/5/2011	Closed	8/25/2011	Other Reasons
<u>11-00122-APPS</u>	11-00015-GOVT	Tucker, Todd	Public Citizen	News Media	World Trade Organization Financial Services Agreement	8/4/2011	8/9/2011	Closed	8/9/2011	Completely Affirmed
<u>11-00123-APPS</u>	11-07958-FOIA	Poulos, Marisa	Olen Properties	Commercial Organization	UBS AG	8/1/2011	8/9/2011	Closed	9/1/2011	Completely Affirmed

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<u>11-00124-APPS</u>	11-07011-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Integral Systems Inc	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Reversed/Remanded
<u>11-00125-APPS</u>	11-07691-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	ConAgra Foods Inc	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Reversed/Remanded
<u>11-00126-APPS</u>	11-07433-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Universal Corp	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Reversed/Remanded
<u>11-00127-APPS</u>	11-07970-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Take Two Interactive	8/10/2011	8/11/2011	Closed	9/1/2011	Completely Reversed/Remanded
<u>11-00128-APPS</u>	11-08270-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Terex Corporation	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Reversed/Remanded
<u>11-00129-APPS</u>	11-08440-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	CareFusion Corp	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Affirmed
<u>11-00130-APPS</u>	11-08787-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Chemed Corp	8/10/2011	8/11/2011	Closed	9/1/2011	Completely Affirmed
<u>11-00131-APPS</u>	11-08788-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	China MediaExpress Holdings Inc	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Affirmed
<u>11-00132-APPS</u>	11-09673-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Rockwell Automation Inc	8/10/2011	8/11/2011	Closed	8/18/2011	Completely Reversed/Remanded
<u>11-00133-APPS</u>	11-07700-FOIA	Ayers, Carl	IA Week	News Media	Inspector General Report (OIG-550) Unauthorized and Improper Disclosure by a Regional Office Staff Attorney	8/12/2011	8/15/2011	Closed	9/14/2011	Other Reasons
<u>11-00134-APPS</u>	11-00046-FOPA	Pitt, Harvey	Kalorama Legal Services	Commercial Organization	b6	8/15/2011	8/16/2011	Closed	2/23/2012	Partially Affirmed & Partially Reversed/Remanded
<u>11-00136-APPS</u>	11-05724-FOIA	Lennon, Michael	Baker Botts, LLP	Commercial Organization	OAo NK Yukos PricewaterhouseCoopers and Akin Gump Strauss Hauer + Feld LLP meeting records	8/23/2011	8/31/2011	Closed	1/23/2012	Completely Reversed/Remanded
<u>11-00137-APPS</u>	11-07459-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Monsanto Company	9/6/2011	9/7/2011	Closed	9/16/2011	Completely Reversed/Remanded
<u>11-00138-APPS</u>	11-07639-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amedisys Inc	9/6/2011	9/7/2011	Closed	9/14/2011	Completely Reversed/Remanded
<u>11-00139-APPS</u>	11-10218-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	E Trade Financial Corporation	9/6/2011	9/7/2011	Closed	9/16/2011	Completely Reversed/Remanded
<u>11-00140-APPS</u>	11-05236-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Stifel Financial Corp	9/6/2011	9/7/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00141-APPS</u>	11-05704-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Allergan Inc	9/6/2011	9/7/2011	Closed	9/14/2011	Completely Reversed/Remanded

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<u>11-00142-APPS</u>	11-05971-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Goldman Sachs Group Inc.	9/6/2011	9/7/2011	Closed	9/29/2011	Completely Reversed/Remanded
<u>11-00143-APPS</u>	11-10042-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	TE Connectivity Ltd	9/6/2011	9/7/2011	Closed	9/21/2011	Other Reasons
<u>11-00144-APPS</u>	11-10096-FOIA	Morrison, Peter	-	Commercial Organization	Real Estate Partners Inc MLA-3222	9/6/2011	9/8/2011	Closed	10/31/2011	Completely Reversed/Remanded
<u>11-00145-APPS</u>	11-07746-FOIA	Hamilton, Jesse	Bloomberg News	News Media	Davis Polk & Wardwell LLP communications with Nazareth Shapiro Walter & Becker	9/9/2011	9/13/2011	Closed	9/29/2011	Completely Reversed/Remanded
<u>11-00146-APPS</u>	11-09400-FOIA	Smelley, Hutson	-	Commercial Organization	Neal Greenberg AP 3-14033	9/2/2011	9/13/2011	Closed	9/21/2011	Completely Affirmed
<u>11-00147-APPS</u>	11-00022-GOVT	Tucker, Todd	Public Citizen	News Media	World Trade Organization Financial Services Agreement	9/8/2011	9/14/2011	Closed	7/3/2012	Completely Affirmed
<u>11-00148-APPS</u>	11-10040-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	H&R Block Inc	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00149-APPS</u>	11-10015-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Sterling Financial Corp	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00150-APPS</u>	11-10750-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Alliance Data Systems Corp	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Reversed/Remanded
<u>11-00151-APPS</u>	11-09810-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Fedex Corp	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00152-APPS</u>	11-09815-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	AMAG Pharmaceuticals Inc fka Advanced Magnetics Inc	9/16/2011	9/20/2011	Closed	10/4/2011	Completely Affirmed
<u>11-00153-APPS</u>	11-10018-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Willis Group Holdings Ltd	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00154-APPS</u>	11-10219-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	First BanCorp	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00155-APPS</u>	11-10401-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Morningstar Inc	9/16/2011	9/20/2011	Closed	9/28/2011	Other Reasons
<u>11-00156-APPS</u>	11-10017-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	United Rentals Inc	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00157-APPS</u>	11-10014-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	St. Jude Medical Inc	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00158-APPS</u>	11-10011-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed

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<u>11-00159-APPS</u>	11-10644-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Eaton Corporation	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00160-APPS</u>	11-10003-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Lender Processing Services Inc	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00161-APPS</u>	11-10013-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	SunTrust Banks Inc	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00162-APPS</u>	11-10001-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Jefferies Group Inc	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00163-APPS</u>	11-10000-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	JDA Software Group Inc	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00164-APPS</u>	11-10658-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	SunPower Corporation	9/16/2011	9/20/2011	Closed	9/29/2011	Completely Affirmed
<u>11-00165-APPS</u>	11-10010-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	World Fuel Services Corp	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00166-APPS</u>	11-09811-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Freeport-McMoRan Copper & Gold Inc	9/16/2011	9/20/2011	Closed	9/28/2011	Completely Affirmed
<u>11-00167-APPS</u>	11-10099-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Agco Corporation	9/16/2011	9/20/2011	Closed	10/31/2011	Completely Affirmed
<u>11-00168-APPS</u>	11-10082-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Marathon Oil Corporation	9/28/2011	9/29/2011	Closed	11/2/2011	Completely Reversed/Remanded
<u>11-00169-APPS</u>	11-10259-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Baxter International Inc	9/28/2011	9/29/2011	Closed	11/2/2011	Completely Reversed/Remanded

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Request ID	Reference Number	Requester Name	Organization	Requester Category	Request Description	Requested Date	Received Date	Request Status	Closed Date	Final Disposition
<u>12-00001-APPS</u>	11-10511-FOIA	Stensvad, Bryan	-	Commercial Organization	Information Ratio Sharpe Ratio Treynor Ratio	9/23/2011	10/3/2011	Closed	2/23/2012	Completely Reversed/Remanded
<u>12-00002-APPS</u>	11-04632-FOIA	Willis, Jane	Ropes & Gray LLP	Commercial Organization	Sunrise Senior Living Inc transcripts of CFO Larry E Hulse	10/3/2011	10/5/2011	Closed	12/2/2011	Completely Affirmed
<u>12-00004-APPS</u>	11-00002-PAAM	Perkins, Keith	-	Other	AP 3-10109 amendment	9/23/2011	10/12/2011	Closed	2/29/2012	Completely Affirmed
<u>12-00005-APPS</u>	11-09812-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cisco Systems Inc	10/13/2011	10/14/2011	Closed	11/4/2011	Completely Affirmed
<u>12-00006-APPS</u>	11-11004-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Caterpillar Inc	10/13/2011	10/14/2011	Closed	11/4/2011	Completely Affirmed
<u>12-00007-APPS</u>	11-00017-REMD	Glasser, Charles	Bloomberg L.P.	News Media	Citigroup Inc and Richard M Bowen III Transcript	10/18/2011	10/20/2011	Closed	4/17/2013	Completely Affirmed
<u>12-00008-APPS</u>	10-05046-FOIA	McLaughlin, Matthew Kevin	-	Commercial Organization	OIG-505 Failure to Timely Investigate Allegations of Financial Fraud	10/18/2011	10/24/2011	Closed	2/27/2012	Completely Affirmed
<u>12-00009-APPS</u>	11-10344-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Kraft Foods Inc	10/26/2011	10/27/2011	Closed	11/4/2011	Completely Reversed/Remanded
<u>12-00010-APPS</u>	12-00946-FOIA	Morris, John	Pachulski Stang Ziehl & Jones	Commercial Organization	ICP Asset Management LLC 10 civ 4797	11/8/2011	11/8/2011	Closed	11/28/2011	Completely Reversed/Remanded
<u>12-00011-APPS</u>	11-09722-FOIA	Wood, Debi	-	Other	OIG report 528 CMKM Diamonds Inc exhibits	11/18/2011	11/22/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00012-APPS</u>	12-00818-FOIA	Dranginis, Anne	Rome McGuigan, P.C.	Commercial Organization	David Zilkha	11/17/2011	11/28/2011	Closed	12/9/2011	Completely Reversed/Remanded
<u>12-00013-APPS</u>	09-00006-FIFO	Nelson, Gretchen	Kreindler & Kreindler LLP	Commercial Organization	Rambus Inc	10/19/2011	11/30/2011	Closed	5/18/2012	Completely Affirmed
<u>12-00014-APPS</u>	-	Clement, Christelle	Law Offices of Christelle Clement PC	Commercial Organization	Alter Management LLC	12/1/2011	12/2/2011	Closed	9/10/2013	Other Reasons
<u>12-00015-APPS</u>	-	Clement, Christelle	Law Offices of Christelle Clement PC	Commercial Organization	Alter Management LLC	12/1/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00016-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Walgreen Co	11/30/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00017-APPS</u>	12-00115-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Revlon Inc	11/30/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00018-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	First Solar Inc	11/30/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed

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Request ID	Reference Number	Requester Name	Organization	Requester Category	Request Description	Requested Date	Received Date	Request Status	Closed Date	Final Disposition
<u>12-00019-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Schlumberger Limited aka Schlumberger NV	11/30/2011	12/2/2011	Closed	12/7/2011	Completely Affirmed
<u>12-00020-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Apollo Group Inc	11/30/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00021-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	News Corp	11/30/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00022-APPS</u>	12-00527-FOIA	Soltes, Eugene	Harvard Business School	Educational or Non-Commercial Scientific	JP Morgan Chase & co Wells Notices 1995-2005	11/27/2011	12/2/2011	Closed	12/15/2011	Completely Reversed/Remanded
<u>12-00023-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Best Buy Co Inc	11/30/2011	12/2/2011	Closed	12/5/2011	Completely Affirmed
<u>12-00024-APPS</u>	12-01155-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Alcoa Inc	11/30/2011	12/6/2011	Closed	12/19/2011	Completely Affirmed
<u>12-00025-APPS</u>	12-01161-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Marsh & McLennan Companies Inc	11/30/2011	12/6/2011	Closed	12/20/2011	Completely Affirmed
<u>12-00026-APPS</u>	12-00381-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Yahoo Inc	11/30/2011	12/6/2011	Closed	12/8/2011	Completely Affirmed
<u>12-00027-APPS</u>	12-01157-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	St. Jude Medical Inc	11/30/2011	12/15/2011	Closed	12/15/2011	Completely Affirmed
<u>12-00028-APPS</u>	12-01061-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Polo Ralph Lauren Corporation	11/30/2011	12/7/2011	Closed	12/8/2011	Completely Affirmed
<u>12-00029-APPS</u>	11-10858-FOIA	Gates, Keith	Cause of Action	Other	SEC compliance with regulatory flexibility act	12/6/2011	12/7/2011	Closed	2/23/2012	Completely Reversed/Remanded
<u>12-00032-APPS</u>	12-02051-FOIA	Calamari, Peter	Quinn Emanuel Urquhart & Sullivan	Commercial Organization	OIG Report - 559 Citigroup unredacted version	12/7/2011	12/8/2011	Closed	2/22/2012	Completely Affirmed
<u>12-00033-APPS</u>	12-01497-FOIA	Soltes, Eugene	Harvard Business School	Educational or Non-Commercial Scientific	HiEnergy Technologies Inc Wells Notices 2000-2005	12/6/2011	12/9/2011	Closed	12/12/2011	Completely Affirmed
<u>12-00034-APPS</u>	11-11123-FOIA	Hobbs, Laura	LexisNexis CourtLink Document Retrieval	Commercial Organization	Global Joint Venture Matching Services Form CA-1	11/15/2011	11/16/2011	Closed	1/19/2012	Completely Reversed/Remanded
<u>12-00035-APPS</u>	12-00674-FOIA	Wein, George	Stock Focus.com	News Media	trading data January 2010 to May 20 2010	12/22/2011	1/3/2012	Closed	6/20/2012	Completely Affirmed
<u>12-00036-APPS</u>	12-01843-FOIA	Portnoy, Lawrence	Davis Polk & Wardwell LLP	Commercial Organization	American Online (AOL) and PurchasePro.com	1/3/2012	1/4/2012	Closed	3/5/2012	Completely Reversed/Remanded
<u>12-00037-APPS</u>	12-01503-FOIA	Asaro, Michael	Akin, Gump, Strauss, Hauer & Feld, LLP	Commercial Organization	SEC v Kenneth W Hawk et al and IGO CV No 00172 testimony of M Block & Sons	12/15/2011	12/19/2011	Closed	3/5/2012	Completely Reversed/Remanded

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<u>12-00038-APPS</u>	12-01422-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Netflix Inc	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Reversed/Remanded
<u>12-00039-APPS</u>	12-01755-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Exxon Mobil Corporation	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00040-APPS</u>	12-02242-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	JDA Software Group Inc	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00041-APPS</u>	12-01760-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Jetblue Airways Corp	1/6/2012	1/6/2012	Closed	1/13/2012	Other Reasons
<u>12-00042-APPS</u>	12-02006-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Pinnacle Entertainment Inc	1/6/2012	1/6/2012	Closed	1/13/2012	Other Reasons
<u>12-00043-APPS</u>	12-02249-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	AMAG Pharmaceuticals Inc fka Advanced Magnetics Inc	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00044-APPS</u>	12-03007-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	NCR Corporation	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed
<u>12-00045-APPS</u>	12-02542-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	United Rentals Inc	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00046-APPS</u>	12-02550-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	World Fuel Services Corp	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00047-APPS</u>	12-01612-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	MGM Mirage	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00048-APPS</u>	12-00119-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	KBR Inc	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed
<u>12-00049-APPS</u>	12-02831-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	URS Corp	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00050-APPS</u>	12-02650-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Korn Ferry International	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed
<u>12-00051-APPS</u>	12-02549-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Lions Gate Entertainment Corp	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed
<u>12-00052-APPS</u>	12-02546-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Revlon Inc	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed
<u>12-00053-APPS</u>	12-02548-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Oracle Corporation	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed
<u>12-00054-APPS</u>	12-02245-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Freeport McMoRan Copper & Gold Inc	1/6/2012	1/6/2012	Closed	1/17/2012	Completely Affirmed

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<u>12-00055-APPS</u>	12-02243-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	H&R Block Inc	1/6/2012	1/6/2012	Closed	1/13/2012	Completely Affirmed
<u>12-00056-APPS</u>	12-02544-FOIA	Gavin, J.	Probes Reporter, LLC	News Media	Schlumberger Limited aka Schlumberger NV	1/6/2012	1/6/2012	Closed	1/24/2012	Completely Affirmed
<u>12-00057-APPS</u>	12-01265-FOIA	Nyberg, Charles	-	Commercial Organization	Cryo Cell International Inc and Stem Cell Preservation Inc	1/13/2012	2/6/2012	Closed	2/6/2012	Completely Reversed/Remanded
<u>12-00058-APPS</u>	10-06917-FOIA	Jackson, Catherine	Stein & Lubin, LLP	Commercial Organization	regulatory actions or proposals to limit or prohibit naked short selling	1/18/2012	1/23/2012	Closed	7/3/2012	Other Reasons
<u>12-00059-APPS</u>	12-01857-FOIA	Matthews, Kathryn	Grais & Ellsworth LLP	Commercial Organization	SEC v. Angelo Mozilo et al CV-09-03994 transcripts & exhibits	1/20/2012	1/24/2012	Closed	2/1/2012	Completely Reversed/Remanded
<u>12-00060-APPS</u>	12-02273-FOIA	Plavi II, Esq. C. Michael	Finkelstein & Krinsk LLP	Commercial Organization	SEC v Angelo Mozilo et al 09 cv 03994	1/18/2012	1/24/2012	Closed	2/1/2012	Completely Reversed/Remanded
<u>12-00061-APPS</u>	11-09688-FOIA	Ashton, Ann	Dewey & LeBoeuf LLP	Commercial Organization	SEC v Comverse Technology Inc 11 CV 1704 Hellenic Telecommunications Organization (OTE) Cosmote Cosmofon Cosmoron	12/22/2011	2/1/2012	Closed	8/16/2012	Partially Affirmed & Partially Reversed/Remanded
<u>12-00062-APPS</u>	12-01587-FOIA	Grady, Clyde	-	Other	market plunge of May 6 2010 trading organizations and stock names	1/25/2012	2/8/2012	Closed	2/22/2012	Completely Affirmed
<u>12-00063-APPS</u>	10-10254-FOIA	Grady, Clyde	-	Other	Market plunge of May 6 trading organizations and stock names	1/25/2012	2/8/2012	Closed	2/22/2012	Completely Affirmed
<u>12-00064-APPS</u>	12-04042-FOIA	Krudy, Edward	Reuters	News Media	May 06, 2010 Flash Crash	2/3/2012	2/8/2012	Closed	2/23/2012	Completely Affirmed
<u>12-00065-APPS</u>	12-02097-FOIA	Wagner, Thomas	Knighthead Capital Management LLC	Commercial Organization	MF Global Inc focus reports	2/7/2012	2/9/2012	Closed	11/27/2012	Completely Affirmed
<u>12-00066-APPS</u>	12-03197-FOIA	Kasner, Jay	Skadden, Arps, Slate, Meagher & Flom, LLP	Commercial Organization	Federal Home Loan Mortgage Corp Freddie Mac investigation records	2/7/2012	2/10/2012	Closed	4/20/2012	Completely Affirmed
<u>12-00067-APPS</u>	12-02880-FOIA	Bergstresser, Daniel	Harvard Business School	Educational or Non-Commercial Scientific	Jefferson County AL bonds and swap contracts	2/15/2012	2/15/2012	Closed	5/8/2012	Completely Reversed/Remanded
<u>12-00068-APPS</u>	12-01498-FOIA	Soltes, Eugene	Harvard Business School	Educational or Non-Commercial Scientific	Stinger Systems Wells Notices 2000-2005	2/2/2012	2/6/2012	Closed	2/27/2012	Completely Affirmed
<u>12-00069-APPS</u>	12-01506-FOIA	Brodsky, Richard	The Brodsky Law Firm	Commercial Organization	Bernard L Madoff Investment Services, LLC	2/21/2012	2/29/2012	Closed	9/21/2012	Completely Affirmed
<u>12-00070-APPS</u>	12-03831-FOIA	Vonderheide, Gregory	-	Other	Equity Lifestyle Properties Inc	2/24/2012	3/1/2012	Closed	8/16/2012	Partially Affirmed & Partially Reversed/Remanded
<u>12-00071-APPS</u>	12-04668-FOIA	Anderson, M. Steven	Anderson Hilbert & Parkers LLP	Commercial Organization	Integrated Alarm Services Group Inc (IASG)	3/15/2012	3/15/2012	Closed	3/28/2012	Other Reasons

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<u>12-00072-APPS</u>	12-00653-FOIA	b6	-	Other	b6	3/19/2012	3/20/2012	Closed	7/19/2013	Completely Affirmed
<u>12-00073-APPS</u>	12-04732-FOIA	Colapinto, David	Kohn, Kohn & Colapinto, LLP	Commercial Organization	Directors and Officers (D&O) insurance policies of McGin Smith & Co Plaker & Lyons Integrated Alarm Services Group (IASG)	3/19/2012	3/27/2012	Closed	3/28/2012	Other Reasons
<u>12-00074-APPS</u>	12-03567-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	OIG 536 allegation that Fort Worth Regional Office failed to investigate alleged computer tampering and cover up of that tampering during recievership because it involved a prominent law firm wit revolving door to the SEC	3/16/2012	3/30/2012	Closed	5/21/2012	Completely Reversed/Remanded
<u>12-00075-APPS</u>	12-05100-FOIA	Haque, Mustafa	-	Commercial Organization	Amincor Inc	3/29/2012	3/30/2012	Closed	6/13/2012	Completely Affirmed
<u>12-00076-APPS</u>	12-01822-FOIA	Calamari, Peter	Quinn Emanuel Urquhart & Sullivan	Commercial Organization	OIG Report-559 Citigroup Inc Gary Crittenden and Arthur Tildesley	4/3/2012	4/4/2012	Closed	5/29/2012	Completely Reversed/Remanded
<u>12-00077-APPS</u>	12-01823-FOIA	Rand, Sascha	Quinn Emanuel Urquhart & Sullivan	Commercial Organization	SEC V. Citigroup Inc 10 cv 01277	4/3/2012	4/4/2012	Closed	4/20/2012	Completely Affirmed
<u>12-00078-APPS</u>	12-05541-FOIA	Cromarty, Edward	-	Commercial Organization	Birch Mountain Resources	4/4/2012	4/4/2012	Closed	7/2/2012	Completely Reversed/Remanded
<u>12-00079-APPS</u>	12-06214-FOIA	Dalglish, Lucy	Reporters Committee for Freedom of the Press	News Media	ten oldest pending perfected FOIA requests for FY 2011	4/12/2012	4/18/2012	Closed	5/9/2012	Other Reasons
<u>12-00080-APPS</u>	12-04732-FOIA	Colapinto, David	Kohn, Kohn & Colapinto, LLP	Commercial Organization	Directors and Officers (D&O) insurance policies of McGin Smith & Co Plaker & Lyons Integrated Alarm Services Group (IASG)	4/17/2012	4/18/2012	Closed	9/10/2012	Completely Reversed/Remanded
<u>12-00081-APPS</u>	12-02358-FOIA	Stromberg, Louise	-	Commercial Organization	John Suttle et al SDR Capital Management Inc	4/24/2012	4/26/2012	Closed	9/19/2012	Completely Affirmed
<u>12-00083-APPS</u>	12-05964-FOIA	Henderson III, Trey	Doyle, Restrepo, Harvin & Robbins LLP	Commercial Organization	Merrill Lynch and/or Bank of America relating to Timothy J Roth Comprehensive Capital Management Inc and/or KepOp Accounts	4/20/2012	5/2/2012	Closed	5/21/2012	Completely Affirmed
<u>12-00084-APPS</u>	12-01123-FOIA	Peck, Nicholas	Nardello and Co. LLC	Commercial Organization	MUI and document destruction allegations of Darcy Flynn	5/15/2012	5/17/2012	Closed	4/25/2013	Other Reasons
<u>12-00085-APPS</u>	12-01124-FOIA	Peck, Nicholas	Nardello and Co. LLC	Commercial Organization	MUI investigative exams tips lawsuits re mutual funds and Gabelli et al	5/15/2012	5/17/2012	Closed	4/25/2013	Other Reasons
<u>12-00086-APPS</u>	10-00003-FIFO	Evans, Michael	The National Security Archive	News Media	Chiquita Brands International	5/18/2012	5/24/2012	Closed	9/11/2012	Completely Affirmed
<u>12-00087-APPS</u>	12-04785-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Robert Khuzam communication re: David Zilkha, Arthur Samburg and monies paid by Pequot	5/25/2012	5/25/2012	Closed	9/21/2012	Completely Reversed/Remanded
<u>12-00089-APPS</u>	12-04785-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Robert Khuzam communication re: David Zilkha, Arthur Samburg and monies paid by Pequot	5/24/2012	6/6/2012	Closed	6/6/2012	Other Reasons
<u>12-00090-APPS</u>	12-06794-FOIA	Garcia, Lisette	Judicial Watch	News Media	SF-50's of employees earning \$225,000 or more per year	6/8/2012	6/8/2012	Closed	6/21/2012	Other Reasons

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<u>12-00092-APPS</u>	12-06592-FOIA	Walter, Robert	-	Other	KPMG Australia Independent Consultant Report	6/4/2012	6/11/2012	Closed	8/27/2012	Completely Reversed/Remanded
<u>12-00093-APPS</u>	12-05582-FOIA	Adams, Daniel	EMM Holdings LLC	Commercial Organization	Quantlab Securities	6/8/2012	6/13/2012	Closed	7/2/2012	Completely Affirmed
<u>12-00094-APPS</u>	12-06783-FOIA	Lopez, Luciana	Reuters News	News Media	Standard & Poor's downgrade of the U.S. sovereign credit rating on August 5, 2011	6/12/2012	6/20/2012	Closed	11/13/2012	Completely Affirmed
<u>12-00095-APPS</u>	12-06111-FOIA	McKey, Jamie	Kendall Law Group, LLP	Commercial Organization	Furmanite Corporation	6/13/2012	6/25/2012	Closed	6/29/2012	Completely Affirmed
<u>12-00096-APPS</u>	11-11195-FOIA	Goody, Teresa	Kalorama Partners LLC	Commercial Organization	Failure to respond within 20 days to FOIA 11-11195-FOIA	6/28/2012	6/29/2012	Closed	8/14/2012	Other Reasons
<u>12-00097-APPS</u>	12-00012-OIG	Maletta, Jeffrey	K & L Gates	Commercial Organization	OIG Records	7/13/2012	7/17/2012	Closed	8/14/2012	Completely Reversed/Remanded
<u>12-00098-APPS</u>	12-07701-FOIA	Stamos, Frank	-	Other	Shenzhen Fuqi International Inc	6/30/2012	7/17/2012	Closed	7/18/2012	Other Reasons
<u>12-00099-APPS</u>	12-07185-FOIA	Williams, David	Kline & Specter	Commercial Organization	US banks operating American Depository Receipt (ADR) programs	7/17/2012	7/23/2012	Closed	8/14/2012	Partially Affirmed & Partially Reversed/Remanded
<u>12-00100-APPS</u>	12-04042-FOIA	Krudy, Edward	Reuters	News Media	May 6, 2010 Flash Crash	7/24/2012	8/6/2012	Closed	9/20/2012	Completely Affirmed
<u>12-00101-APPS</u>	12-09222-FOIA	Vance, Ricky		Other	Cumberland Plateau Corporation	7/31/2012	8/6/2012	Closed	8/14/2012	Completely Affirmed
<u>12-00102-APPS</u>	12-07357-FOIA	Carollo, Russell	Sharesleuth.com	News Media	David Weber	8/7/2012	8/9/2012	Closed	10/11/2012	Completely Reversed/Remanded
<u>12-00103-APPS</u>	12-08718-FOIA	Schottenstein, Jill	-	Other	No Action letter dated February 20, 2003 and Exhibits	8/6/2012	8/9/2012	Closed	9/11/2012	Completely Affirmed
<u>12-00104-APPS</u>	12-07867-FOIA	Salinas, Lisa	-	Commercial Organization	Sequoia Interests	8/3/2012	8/14/2012	Closed	1/14/2013	Completely Affirmed
<u>12-00106-APPS</u>	12-10201-FOIA	Salyer, Deborah	-	Other	Target Oil & Gas Corp	8/27/2012	9/5/2012	Closed	9/20/2012	Completely Affirmed
<u>12-00108-APPS</u>	12-10068-FOIA	b6	-	Other	Derogatory Records 2011	9/14/2012	9/17/2012	Closed	1/8/2013	Partially Affirmed & Partially Reversed/Remanded
<u>12-00109-APPS</u>	12-08295-FOIA	Cumming, Chris	Investment Wires	Commercial Organization	Northern Lights Fund Trust Wells Notice	9/18/2012	9/18/2012	Closed	9/27/2012	Completely Affirmed
<u>12-00110-APPS</u>	12-10202-FOIA	Donohue, Robert	-	Other	State Street Corporation subpoenas	9/10/2012	9/18/2012	Closed	9/25/2012	Completely Reversed/Remanded

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<u>12-00114-APPS</u>	12-10330-FOIA	Graff, David	Windels Marx Lane & Mittendorf, LLP	Commercial Organization	all documents concerning the February 2011 halt of trading for China Medical Technologies aka CMEDY	9/25/2012	9/27/2012	Closed	9/27/2012	Other Reasons
<u>12-00115-APPS</u>	12-10336-FOIA	Graff, David	Windels Marx Lane & Mittendorf, LLP	Commercial Organization	all correspondence concerning China Medical Technologies aka CMEDY during 2011 and 2012	9/21/2012	9/27/2012	Closed	1/7/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00015-APPS</u>	12-08987-FOIA	Carollo, Russell	Sharesleuth.com	News Media	NRSI database	9/28/2012	9/28/2012	Closed	9/19/2013	Completely Affirmed
<u>13-00019-APPS</u>	12-06498-FOIA	Lopez, Luciana	Reuters News	News Media	Nationally Recognized Statistical Rating Organizations (NRSROs) audit and financial reports	7/19/2012	7/19/2012	Closed	1/8/2013	Completely Affirmed

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<u>13-00002-APPS</u>	12-10340-FOIA	Graff, David	Windels Marx Lane & Mittendorf, LLP	Commercial Organization	all China Medical Technologies aka CMEDY correspondence during June 28, 2012 through July 17, 2012 between the SEC and Fidelity Investments Scottrade TD Ameritrade their subsidiaries and other broker-dealers	10/9/2012	10/11/2012	Closed	1/10/2013	Completely Reversed/Remanded
<u>13-00003-APPS</u>	12-10341-FOIA	Graff, David	Windels Marx Lane & Mittendorf, LLP	Commercial Organization	all Fidelity Investments Scottrade TD Ameritrade their subsidiaries Citibank Shareholder Services The Depository Trust Company aka DTC and other broker-dealers correspondence	10/9/2012	10/11/2012	Closed	1/10/2013	Completely Reversed/Remanded
<u>13-00004-APPS</u>	12-10344-FOIA	Graff, David	Windels Marx Lane & Mittendorf, LLP	Commercial Organization	all documents referencing ZST Digital Networks Inc aka ZSTN	10/15/2012	10/18/2012	Closed	11/15/2012	Completely Affirmed
<u>13-00005-APPS</u>	12-10843-FOIA	Lynch, Sarah	Thomson Reuters	News Media	Voting breakdown for Public Enforcement Actions	10/12/2012	10/23/2012	Closed	7/22/2016	Completely Reversed/Remanded
<u>13-00006-APPS</u>	13-01029-FOIA	Cohen, Joseph	Scott + Scott LLP	Commercial Organization	In the matter of Miguel A Ferrer and Carlos J Ortiz Administrative Proceeding File No 3-14862	11/1/2012	11/6/2012	Closed	1/7/2013	Completely Affirmed
<u>13-00007-APPS</u>	12-10548-FOIA	Dorsen, David	-	Commercial Organization	Investigation of Michael Lauer	11/13/2012	11/20/2012	Closed	3/15/2013	Completely Reversed/Remanded
<u>13-00008-APPS</u>	13-00006-OIG	Cohen, Theodore	-	Commercial Organization	updated copy of Report OIG 521	11/27/2012	11/27/2012	Closed	3/4/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00009-APPS</u>	13-02173-FOIA	Tangel, Andrew	Los Angeles Times	News Media	request 10-02938-FOIA	12/4/2012	12/5/2012	Closed	12/14/2012	Completely Affirmed
<u>13-00010-APPS</u>	13-02174-FOIA	Tangel, Andrew	Los Angeles Times	News Media	request 10-05756-FOIA	12/4/2012	12/5/2012	Closed	12/14/2012	Completely Affirmed
<u>13-00011-APPS</u>	13-02175-FOIA	Tangel, Andrew	Los Angeles Times	News Media	request 09-03466-FOIA	12/4/2012	12/5/2012	Closed	12/14/2012	Completely Affirmed
<u>13-00012-APPS</u>	13-02176-FOIA	Tangel, Andrew	Los Angeles Times	News Media	request 09-04734-FOIA	12/4/2012	12/5/2012	Closed	12/14/2012	Completely Affirmed
<u>13-00013-APPS</u>	13-01760-FOIA	Ulrich, Paul	-	Educational or Non-Commercial Scientific	SEC Annual Report on NRSROs	12/7/2012	12/7/2012	Closed	2/28/2013	Completely Affirmed
<u>13-00014-APPS</u>	12-00029-REMD	Colapinto, David	Kohn, Kohn & Colapinto, LLP	Commercial Organization	Directors and Officers (D&O) insurance policies of McGin Smith & Co Plaker & Lyons Integrated Alarm Services Group (IASG)	12/7/2012	12/7/2012	Closed	1/10/2013	Completely Reversed/Remanded
<u>13-00016-APPS</u>	12-08948-FOIA	Bloodgood, Patricia	ZIMMERMAN REED, PLLP	Commercial Organization	SEC Administrative Proceeding File No. 3-13847	12/12/2012	12/12/2012	Closed	7/2/2013	Partially Affirmed & Partially Reversed/Remanded

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<u>13-00017-APPS</u>	13-00930-FOIA	Pence, Adam	Foley & Lardner LLP	Commercial Organization	Option One Mortgage Corporation nka Sand Canyon Corporation 12-cv-00633	12/14/2012	12/14/2012	Closed	1/22/2013	Completely Reversed/Remanded
<u>13-00018-APPS</u>	13-00931-FOIA	Pence, Adam	Foley & Lardner LLP	Commercial Organization	Option One Mortgage Loan Trust 2007-HL1	12/14/2012	12/14/2012	Closed	1/22/2013	Completely Reversed/Remanded
<u>13-00020-APPS</u>	13-00250-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Life Partners Holdings Inc	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00021-APPS</u>	13-00251-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	LPHI	1/8/2013	1/9/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00022-APPS</u>	13-00259-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	LPHI	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00023-APPS</u>	13-00261-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	LPHI	1/8/2013	1/9/2013	Closed	9/26/2013	Completely Reversed/Remanded
<u>13-00024-APPS</u>	13-00268-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	LPHI	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00025-APPS</u>	13-00269-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Dan Loeb and/or Third Point Capital	1/8/2013	1/9/2013	Closed	2/12/2013	Completely Reversed/Remanded
<u>13-00026-APPS</u>	13-00270-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Citron Research (formerly known as Stock Lemon) and Andrew Left	1/8/2013	1/9/2013	Closed	5/28/2013	Other Reasons
<u>13-00027-APPS</u>	13-00271-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Citron Research/Andrew Left and SEC staff	1/8/2013	1/9/2013	Closed	5/29/2013	Other Reasons
<u>13-00028-APPS</u>	13-00274-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Allen Stanford	1/8/2013	1/9/2013	Closed	9/3/2013	Other Reasons
<u>13-00029-APPS</u>	13-00275-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	New York Stock Exchange and the SEC relating to LPHI	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00030-APPS</u>	13-00276-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Depository Trust & Clearing Corporation (DTCC)	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00031-APPS</u>	13-00278-FOIA	Pardo, Brian	-	Commercial Organization	Penson Financial	1/8/2013	1/9/2013	Closed	2/7/2013	Completely Affirmed
<u>13-00032-APPS</u>	13-00279-FOIA	Pardo, Brian	-	Commercial Organization	Knight Capital	1/8/2013	1/9/2013	Closed	2/7/2013	Completely Affirmed

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<u>13-00033-APPS</u>	13-00280-FOIA	Pardo, Brian	-	Commercial Organization	Madoff Securities or Bernard Madoff	1/8/2013	1/9/2013	Closed	2/7/2013	Completely Affirmed
<u>13-00034-APPS</u>	13-00281-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Ernst & Young	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00035-APPS</u>	13-00282-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Ernst & Young and SEC staff relating to LPHI	1/8/2013	1/9/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00036-APPS</u>	13-00284-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	LPHI	1/8/2013	1/9/2013	Closed	6/14/2013	Completely Affirmed
<u>13-00037-APPS</u>	13-01585-FOIA	Pardo, Brian	-	Commercial Organization	Life Partners Holdings Inc	1/8/2013	1/9/2013	Closed	9/26/2013	Completely Affirmed
<u>13-00038-APPS</u>	12-00010-GOVT	Rigas, Michael	-	Commercial Organization	various Bush administrative officials and Adelphia Communications Corp	1/16/2013	1/22/2013	Closed	4/16/2015	Completely Affirmed
<u>13-00039-APPS</u>	10-00003-FIFO	Evans, Michael	The National Security Archive	News Media	Chiquita Brands International	1/24/2013	1/28/2013	Closed	3/4/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00040-APPS</u>	10-00003-FIFO	Evans, Michael	The National Security Archive	News Media	Chiquita Brands International	1/25/2013	1/28/2013	Closed	2/28/2013	Completely Affirmed
<u>13-00041-APPS</u>	10-00003-FIFO	Garland, James	Covington & Burling LLP	Commercial Organization	Chiquita Brands International	1/28/2013	1/31/2013	Closed	3/11/2013	Completely Reversed/Remanded
<u>13-00042-APPS</u>	13-02019-FOIA	Sivere, Peter	-	Other	correspondence from Enforcement to the OIG dated July 27, 2009	2/4/2013	2/6/2013	Closed	4/24/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00043-APPS</u>	13-00277-FOIA	Pardo, Brian	-	Commercial Organization	NASDAQ and the SEC relating to LPHI	2/7/2013	2/7/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00044-APPS</u>	13-03426-FOIA	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	JP Morgan Securities LLC et al 12-cv-1862	2/11/2013	2/12/2013	Closed	7/2/2013	Completely Affirmed
<u>13-00045-APPS</u>	13-00283-FOIA	Pardo, Brian	-	Commercial Organization	Credit Suisse Group	2/13/2013	2/13/2013	Closed	3/1/2013	Completely Affirmed
<u>13-00046-APPS</u>	13-03597-FOIA	Myren, Rebecca	Chugach Alaska Corporation	Commercial Organization	Alaska Native Corporation annual reports	2/15/2013	2/20/2013	Closed	4/24/2013	Completely Affirmed
<u>13-00047-APPS</u>	13-00251-FOIA	Pardo, Brian	-	Commercial Organization	LPHI	3/7/2013	3/7/2013	Closed	9/23/2013	Completely Affirmed

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<u>13-00048-APPS</u>	13-00265-FOIA	Pardo, Brian	-	Commercial Organization	Elgindy	3/7/2013	3/7/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00049-APPS</u>	13-00266-FOIA	Pardo, Brian	-	Commercial Organization	Robert Long and/or Douglas Gordimer	3/7/2013	3/7/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00050-APPS</u>	13-00272-FOIA	Pardo, Brian	-	Commercial Organization	Sam Antar Barry Minkow the Fraud Discovery Institute or WhiteCollarFraud.com	3/7/2013	3/7/2013	Closed	6/3/2013	Completely Affirmed
<u>13-00051-APPS</u>	13-03269-FOIA	Pardo, Brian	-	Commercial Organization	The Matter of UBS Securities LLC Administrative Proceeding File No 3-14620	3/7/2013	3/7/2013	Closed	9/19/2013	Completely Affirmed
<u>13-00052-APPS</u>	13-02112-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	Wells Notices from FY 2002 - 2011	3/12/2013	3/13/2013	Closed	4/9/2013	Completely Affirmed
<u>13-00053-APPS</u>	13-03747-FOIA	Peterson, Sara	Dorsey & Whitney LLP	Commercial Organization	Bristol Bay Native Corporation/Alaska Native Corporation Annual Reports	3/4/2013	3/13/2013	Closed	6/3/2013	Completely Affirmed
<u>13-00054-APPS</u>	12-10929-FOIA	Williams, Connie	Westlaw Court Express	Commercial Organization	Correspondence with Arthur Levitt Jr	2/19/2013	3/13/2013	Closed	7/8/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00055-APPS</u>	12-01510-FOIA	Michelon, Rodolfo	-	Commercial Organization	Sempra Energy	3/12/2013	3/14/2013	Closed	3/14/2013	Other Reasons
<u>13-00056-APPS</u>	13-04116-FOIA	Frohwerk, David		Other	bond information	3/7/2013	3/15/2013	Closed	3/21/2013	Completely Affirmed
<u>13-00057-APPS</u>	13-00287-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	Brian Pardo	3/26/2013	3/27/2013	Closed	6/14/2013	Completely Affirmed
<u>13-00058-APPS</u>	13-00001-REMD	Carollo, Russell	Sharesleuth.com	News Media	David Weber	3/26/2013	3/27/2013	Closed	9/19/2013	Completely Affirmed
<u>13-00059-APPS</u>	13-00256-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	appeal of FIFO designation letter in regards to Rhino Trading LLC	3/28/2013	3/28/2013	Closed	8/30/2013	Completely Affirmed
<u>13-00060-APPS</u>	13-00257-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	appeal of FIFO designation letter in regards to TJM Proprietary Trading LLC	3/28/2013	3/28/2013	Closed	8/30/2013	Completely Affirmed
<u>13-00061-APPS</u>	13-00260-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	Recommendations 1 through 11 of OIG Report No. 450	3/28/2013	3/28/2013	Closed	9/26/2013	Completely Affirmed
<u>13-00062-APPS</u>	13-00285-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	LPHI	3/28/2013	3/28/2013	Closed	5/29/2013	Other Reasons

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<u>13-00063-APPS</u>	13-03920-FOIA	Steinberg, Ira	Sedgwick LLP	Commercial Organization	2009 Investment Adviser Examination of Westridge Capital Management Inc	3/27/2013	4/1/2013	Closed	7/8/2013	Completely Affirmed
<u>13-00064-APPS</u>	13-03426-FOIA	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	Angelo Mozilo David Sambol and Eric Sieracki collectively the Former Countrywide Executives	4/1/2013	4/2/2013	Closed	7/31/2013	Completely Reversed/Remanded
<u>13-00065-APPS</u>	13-04006-FOIA	Fleischman, Julie	Mound Cotton Wollan and Greengrass	Other	Business Continuity Plans	4/2/2013	4/9/2013	Closed	7/2/2013	Completely Affirmed
<u>13-00067-APPS</u>	13-02697-FOIA	Bailey, Kate	Judicial Watch	News Media	purchase of Quality Software Services by UnitedHealth Group	4/4/2013	4/9/2013	Closed	7/8/2013	Completely Affirmed
<u>13-00068-APPS</u>	13-03575-FOIA	Harris, Ivan	Morgan Lewis	Commercial Organization	Electronic Transaction Clearing Inc	4/11/2013	4/15/2013	Closed	10/22/2013	Completely Affirmed
<u>13-00069-APPS</u>	12-08586-FOIA	Mee, C. David	Ajamie LLP	Commercial Organization	Spencer Barasch	4/9/2013	4/16/2013	Closed	7/11/2013	Other Reasons
<u>13-00070-APPS</u>	13-04350-FOIA	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	Credit Suisse Securities LLC et al AP No. 3-15098	4/12/2013	4/16/2013	Closed	8/13/2013	Completely Affirmed
<u>13-00071-APPS</u>	13-04351-FOIA	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	Communications between repondents in Credit Suisse Securities USA	4/12/2013	4/16/2013	Closed	8/13/2013	Completely Affirmed
<u>13-00072-APPS</u>	13-01905-FOIA	Mee, C. David	Ajamie LLP	Commercial Organization	Stanford Group	4/9/2013	4/16/2013	Closed	8/12/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00073-APPS</u>	12-00014-CHAI	Herman, Michael	Herman Pearl Button Company, Inc	Commercial Organization	responses to FOIA Requests 12-00008-CHAI 12-00009-CHAI and 12-02053-FOIA	4/9/2013	4/24/2013	Closed	4/24/2013	Other Reasons
<u>13-00074-APPS</u>	12-00018-CHAI	Herman, Michael	Herman Pearl Button Company, Inc	Commercial Organization	follow up of 12-00014CHAI (ES141324)	4/9/2013	4/24/2013	Closed	4/24/2013	Other Reasons
<u>13-00075-APPS</u>	12-00021-CHAI	b6		Commercial Organization	b6	4/9/2013	4/24/2013	Closed	4/24/2013	Other Reasons
<u>13-00076-APPS</u>	13-05307-FOIA	ALPERT, WILLIAM	BARRONS	Commercial Organization	-Diamler AG 10 cv 00473 identity of foreign officials	05/02/2013	5/2/2013	Closed	9/3/2013	Completely Affirmed
<u>13-00077-APPS</u>	13-00254-FOIA	Pardo, Brian	-	Commercial Organization	Jeffrey A Wolfson	5/3/2013	5/3/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00078-APPS</u>	13-00258-FOIA	Pardo, Brian	-	Commercial Organization	Hazan Capital Management LLC	5/3/2013	5/3/2013	Closed	9/30/2013	Completely Affirmed

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<u>13-00079-APPS</u>	13-00262-FOIA	Pardo, Brian	-	Commercial Organization	Life Settlements Staff Report	5/3/2013	5/3/2013	Closed	9/3/2013	Completely Affirmed
<u>13-00080-APPS</u>	13-00252-FOIA	Pardo, Brian	-	Commercial Organization	naked short sale of any stock or for failures to deliver any securities from 09/01/2010 to present	5/3/2013	5/3/2013	Closed	6/27/2013	Completely Reversed/Remanded
<u>13-00081-APPS</u>	13-00253-FOIA	Pardo, Brian	-	Commercial Organization	Goldman Sachs Execution & Clearing LP	5/3/2013	5/3/2013	Closed	6/27/2013	Completely Reversed/Remanded
<u>13-00082-APPS</u>	13-00255-FOIA	Pardo, Brian	-	Commercial Organization	Optionsxpress Inc	5/3/2013	5/3/2013	Closed	6/27/2013	Other Reasons
<u>13-00083-APPS</u>	13-05708-FOIA	Grofman, Udi	Paul, Weiss, Rifkind, Wharton & Garrison LLP	Commercial Organization	Pershing Square Capital Management LP, Herbalife, and William Ackman	5/1/2013	5/2/2013	Closed	6/14/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00084-APPS</u>	13-00263-FOIA	Pardo, Brian	-	Commercial Organization	Life Settlements Staff Report relating to LPHI	4/30/2013	5/8/2013	Closed	9/26/2013	Completely Affirmed
<u>13-00085-APPS</u>	12-06783-FOIA	Lopez, Luciana	Reuters News	News Media	Standard & Poors downgrade of the United States credit rating	5/2/2013	5/8/2013	Closed	9/4/2013	Other Reasons
<u>13-00087-APPS</u>	13-06090-FOIA	Sammarco, Darlene	-	Commercial Organization	Ford Motor Company	5/7/2013	5/14/2013	Closed	6/3/2013	Completely Affirmed
<u>13-00089-APPS</u>	13-00267-FOIA	Pardo, Brian	-	Commercial Organization	Elgindy	5/14/2013	5/15/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00090-APPS</u>	13-00273-FOIA	Pardo, Brian	-	Commercial Organization	short sale of securities	5/14/2013	5/14/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00091-APPS</u>	13-00286-FOIA	Pardo, Brian	-	Commercial Organization	Jim Cramer	5/14/2013	5/14/2013	Closed	9/5/2013	Completely Affirmed
<u>13-00093-APPS</u>	13-07057-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	violations of regulation SHO	5/15/2013	5/16/2013	Closed	9/19/2013	Completely Affirmed
<u>13-00094-APPS</u>	13-00937-FOIA	Cohan, William	-	Other	Richard Bowen Citigroup	5/16/2013	5/17/2013	Closed	9/13/2013	Completely Affirmed
<u>13-00095-APPS</u>	13-01759-FOIA	Cohan, William	-	Other	Owen Davis former employee of Citigroup	5/16/2013	5/17/2013	Closed	8/8/2013	Completely Reversed/Remanded
<u>13-00096-APPS</u>	13-07195-FOIA	Migoya, David	The Denver Post	News Media	Mariner Energy	5/20/2013	5/20/2013	Closed	5/29/2013	Other Reasons

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<u>13-00097-APPS</u>	13-06044-FOIA	Forge, Jason	-	Commercial Organization	Wal-Mart Stores Inc possible violations of the Foreign Corrupt Practices Act (FCPA)	5/22/2013	5/23/2013	Closed	6/19/2013	Completely Affirmed
<u>13-00098-APPS</u>	13-05616-FOIA	Weinfeld, Andrew	GreenLight Capital, Inc	Commercial Organization	Standard & Poor's Financial Services LLC	5/20/2013	5/24/2013	Closed	6/19/2013	Completely Affirmed
<u>13-00099-APPS</u>	13-06558-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	Wells notices to entities in fiscal years 2011, 2012 and 2013 to date and corresponding enforcement action	5/28/2013	5/28/2013	Closed	6/14/2013	Other Reasons
<u>13-00100-APPS</u>	13-05702-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	Goldman Sachs & Co	5/28/2013	5/28/2013	Closed	6/12/2013	Completely Reversed/Remanded
<u>13-00101-APPS</u>	13-00010-REMD	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Dan Loeb and/or Third Point Capital	5/28/2013	5/29/2013	Closed	6/14/2013	Other Reasons
<u>13-00102-APPS</u>	13-00270-FOIA	Pardo, Brian	-	Commercial Organization	Citron Research (formerly known as Stock Lemon) and Andrew Left	5/28/2013	5/29/2013	Closed	6/14/2013	Other Reasons
<u>13-00103-APPS</u>	13-00271-FOIA	Pardo, Brian	-	Commercial Organization	Citron Research/Andrew Left and SEC staff	5/28/2013	5/29/2013	Closed	6/14/2013	Other Reasons
<u>13-00104-APPS</u>	13-00285-FOIA	Pardo, Brian	-	Commercial Organization	LPHI	5/28/2013	5/29/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00105-APPS</u>	13-00255-FOIA	Pardo, Brian	-	Commercial Organization	Optionsxpress Inc	5/28/2013	5/29/2013	Closed	9/26/2013	Completely Affirmed
<u>13-00107-APPS</u>	13-08077-FOIA	Regnante, James	-	Other	AgFeed Industries Inc Exhibits 10.1, 10.4, 10.5, 10.6, 10.7 filed with Form 8-K on April 24, 2013	6/18/2013	6/18/2013	Closed	7/15/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00108-APPS</u>	12-10375-FOIA	Grind, Kirsten	The Wall Street Journal	News Media	money market mutual funds	6/20/2013	6/20/2013	Closed	7/11/2013	Completely Reversed/Remanded
<u>13-00109-APPS</u>	13-08009-FOIA	Le, Anh	ChinaRock Capital Management	Commercial Organization	SINA	6/27/2013	6/27/2013	Closed	7/8/2013	Completely Affirmed
<u>13-00110-APPS</u>	13-05617-FOIA	Weinfeld, Andrew	GreenLight Capital, Inc	Commercial Organization	Moody's Investors Service Inc	6/25/2013	6/27/2013	Closed	9/5/2013	Completely Affirmed
<u>13-00111-APPS</u>	13-05618-FOIA	Weinfeld, Andrew	GreenLight Capital, Inc	Commercial Organization	Moody's Investors Service Ltd	6/25/2013	6/27/2013	Closed	9/5/2013	Completely Affirmed
<u>13-00112-APPS</u>	13-07951-FOIA	Pritsker, Robert	-	Other	Wells notice issued to SSR Capital Partners LP and/or Stephen Helland and Timothy Law	6/26/2013	7/2/2013	Closed	8/21/2013	Completely Reversed/Remanded

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<u>13-00113-APPS</u>	-	Golden, Thomas	-	Other	Empire State Realty Trust	6/27/2013	7/1/2013	Closed	10/28/2013	Completely Reversed/Remanded
<u>13-00115-APPS</u>	13-08841-FOIA	Amoss, David	Howard Weil	Other	LINN Energy	7/11/2013	7/11/2013	Closed	7/31/2013	Completely Affirmed
<u>13-00116-APPS</u>	13-05714-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	All depositions related American International Group and its former executives	7/12/2013	7/12/2013	Closed	7/31/2013	Completely Reversed/Remanded
<u>13-00117-APPS</u>	13-05704-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	Deutsche Bank AG	7/12/2013	7/12/2013	Closed	7/31/2013	Other Reasons
<u>13-00118-APPS</u>	13-05703-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	Morgan Stanley	7/12/2013	7/12/2013	Closed	7/31/2013	Other Reasons
<u>13-00119-APPS</u>	13-05702-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	Goldman Sachs & Co	7/12/2013	7/12/2013	Closed	7/31/2013	Completely Reversed/Remanded
<u>13-00120-APPS</u>	13-03323-FOIA	Pressman, John	WilmerHale	Commercial Organization	Legal Research	7/12/2013	9/5/2013	Closed	9/11/2013	Completely Reversed/Remanded
<u>13-00121-APPS</u>	13-03586-FOIA	Pressman, John	WilmerHale	Commercial Organization	NYSE New Market Model	7/12/2013	7/16/2013	Closed	9/23/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00122-APPS</u>	12-00031-REMD	Donohue, Robert	-	Other	State Street Corporation subpoenas	7/4/2013	7/16/2013	Closed	7/29/2013	Other Reasons
<u>13-00123-APPS</u>	13-08559-FOIA	Camil, Eric	Camil Consulting Corp	Commercial Organization	Unilife Corporation	7/8/2013	7/17/2013	Closed	7/31/2013	Completely Affirmed
<u>13-00124-APPS</u>	13-06698-FOIA	Javeri, Vaishali	Credit Suisse Securities (USA) LLC	Commercial Organization	Credit Suisse Crossfinder Form ATS-R	7/19/2013	7/19/2013	Closed	6/24/2014	Completely Reversed/Remanded
<u>13-00125-APPS</u>	13-09115-FOIA	Regnante, James	-	Other	Exhibits 10.1 through 10.6 of 8-K dated September 14, 2012	7/24/2013	7/24/2013	Closed	9/3/2013	Completely Affirmed
<u>13-00126-APPS</u>	13-09577-FOIA	Guenther, Sebastian	-	Other	BNP Paribas Unlimited Turbo	7/25/2013	7/25/2013	Closed	9/4/2013	Other Reasons
<u>13-00127-APPS</u>	13-00015-REMD	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Goldman Sachs Execution & Clearing LP	7/29/2013	7/29/2013	Closed	8/28/2014	Completely Reversed/Remanded
<u>13-00128-APPS</u>	13-00016-REMD	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	naked short sale of any stock or for failures to deliver any securities from 09/01/2010 to present	7/29/2013	7/29/2013	Closed	9/23/2013	Completely Affirmed

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<u>13-00129-APPS</u>	13-00264-FOIA	Pardo, Brian	-	Commercial Organization	Anthony Elgindy	7/29/2013	7/29/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00130-APPS</u>	13-08296-FOIA	Schubart, Karen	Covington & Burling LLP	Commercial Organization	Administrative Proceeding No. 3-14848 for OptionsXpress Inc	7/29/2013	7/30/2013	Closed	8/21/2013	Other Reasons
<u>13-00131-APPS</u>	13-07968-FOIA	Cochran, Brian	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Big Lots	7/25/2013	8/2/2013	Closed	9/5/2013	Completely Reversed/Remanded
<u>13-00132-APPS</u>	13-07999-FOIA	Phillips-Fein, Kimberly	-	Educational or Non-Commercial Scientific	Transactions in Securities in the city of New York	8/6/2013	8/8/2013	Closed	2/7/2014	Completely Affirmed
<u>13-00133-APPS</u>	13-09117-FOIA	Pell, Owen	White & Case LLP	Commercial Organization	the Bank of Montreal	8/15/2013	8/19/2013	Closed	2/12/2014	Other Reasons
<u>13-00135-APPS</u>	13-00255-FOIA	Pardo, Brian	-	Commercial Organization	Optionsxpress Inc	8/15/2013	8/21/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00136-APPS</u>	13-07058-FOIA	Levitt, David	Bloomberg News	News Media	Empire State Realty Trust	8/27/2013	8/29/2013	Closed	8/29/2013	Other Reasons
<u>13-00137-APPS</u>	13-07607-FOIA	Zatega, Ermin	Center for Investigative Reporting	Commercial Organization	SEC v Daimler AG 10 cv 00473	9/4/2013	9/4/2013	Closed	9/26/2013	Completely Affirmed
<u>13-00138-APPS</u>	13-00131-APPS	Cochran, Brian	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Big Lots	9/3/2013	9/5/2013	Closed	9/5/2013	Other Reasons
<u>13-00139-APPS</u>	13-08165-FOIA	Smith, Laura	Truthinadvertising.org	Other	Vemma Nutrition Company	9/4/2013	9/9/2013	Closed	12/27/2013	Partially Affirmed & Partially Reversed/Remanded
<u>13-00140-APPS</u>	13-10005-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Lehman Brothers	9/9/2013	9/16/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00141-APPS</u>	13-10001-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Citadel Securities	9/9/2013	9/16/2013	Closed	9/23/2013	Completely Affirmed
<u>13-00142-APPS</u>	13-10004-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Bear Stearns	9/18/2013	9/18/2013	Closed	9/26/2013	Completely Affirmed
<u>13-00143-APPS</u>	10-00011-FIFO	Mishaan, Albert	Kasowitz Benson Torres & Friedman LLP	Commercial Organization	Third Point LLC	9/24/2013	9/24/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00144-APPS</u>	13-00015-OIG	Cohen, Theodore	-	Commercial Organization	OIG-521	9/24/2013	9/25/2013	Closed	11/18/2013	Completely Affirmed

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<u>13-00145-APPS</u>	13-10008-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Regulation SHO	9/25/2013	9/25/2013	Closed	9/30/2013	Completely Affirmed
<u>13-00146-APPS</u>	13-10351-FOIA	Cromarty, Edward	-	Commercial Organization	ES#133072	9/6/2013	9/30/2013	Closed	11/6/2013	Completely Affirmed

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Request ID	Reference Number	Requester Name	Organization	Requester Category	Request Description	Requested Date	Received Date	Request Status	Closed Date	Final Disposition
<u>14-00001-APPS</u>	13-11435-FOIA	Elder, Jeffrey	San Francisco Chronicle	News Media	Form S-1 filed by Twitter	9/27/2013	10/2/2013	Closed	11/18/2013	Completely Reversed/Remanded
<u>14-00002-APPS</u>	13-08788-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Judy Burns and Charles Gasparino	10/7/2013	10/7/2013	Closed	10/28/2013	Completely Affirmed
<u>14-00003-APPS</u>	13-10956-FOIA	Bowman, Chad	Levine Sullivan Koch & Schulz, LLP	Commercial Organization	VitaminSpice Inc	10/8/2013	10/10/2013	Closed	10/22/2013	Completely Affirmed
<u>14-00004-APPS</u>	-	Ho, Soyoung	Thomas Reuters	News Media	Administrative Proceeding File Numbers 3-14872 & 15116	10/2/2013	10/10/2013	Closed	2/25/2014	Completely Affirmed
<u>14-00009-APPS</u>	13-10003-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Knight Capital	10/24/2013	10/24/2013	Closed	11/6/2013	Completely Affirmed
<u>14-00010-APPS</u>	13-10006-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Merrill Lynch	10/24/2013	10/25/2013	Closed	1/24/2014	Completely Affirmed
<u>14-00011-APPS</u>	13-10007-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Morgan Stanley	10/24/2013	10/25/2013	Closed	1/24/2014	Completely Affirmed
<u>14-00012-APPS</u>	13-00028-REMD	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	LPHI	10/23/2013	10/28/2013	Closed	11/18/2013	Completely Affirmed
<u>14-00013-APPS</u>	13-10706-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	CTR material associated with AP 3-14848	11/7/2013	11/7/2013	Closed	1/24/2014	Completely Affirmed
<u>14-00014-APPS</u>	14-00394-FOIA	Carlis Esq., Brian	Stark & Stark	Commercial Organization	Steidle Pension Solutions	11/5/2013	11/13/2013	Closed	11/18/2013	Completely Affirmed
<u>14-00021-APPS</u>	13-11214-FOIA	Steidinger, Lyle	-	Other	Telus Corporation	11/20/2013	11/29/2013	Closed	2/24/2014	Completely Affirmed
<u>14-00022-APPS</u>	14-01107-FOIA	Song, Albert	-	Other	SEC's official records management and retention guide	12/10/2013	12/16/2013	Closed	9/29/2014	Completely Reversed/Remanded
<u>14-00023-APPS</u>	13-11683-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Administrative Proceeding File No. 3-14848 relating to OptionsXpress Inc et al	1/2/2014	1/6/2014	Closed	2/25/2014	Completely Affirmed
<u>14-00024-APPS</u>	13-05714-FOIA	Goldstein, Jacob	The Wall Street Journal	Other	All depositions related American International Group and its former executives.	1/10/2014	1/13/2014	Closed	6/5/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00025-APPS</u>	14-03010-FOIA	Wright, Gary	-	News Media	fee waiver denial	1/23/2014	1/23/2014	Closed	6/3/2014	Other Reasons
<u>14-00026-APPS</u>	14-03082-FOIA	Wright, Gary	-	News Media	denial of a fee waiver	1/23/2014	1/23/2014	Closed	6/3/2014	Other Reasons
<u>14-00027-APPS</u>	14-02135-FOIA	Mitchell, Mark	Aguirre Law, APC	News Media	Global Securities	1/22/2014	1/29/2014	Closed	3/10/2014	Completely Affirmed
<u>14-00028-APPS</u>	14-03855-FOIA	Risenhoover, Paul	Robin Hood Int. Human Rights Legal Defense Fund	Commercial Organization	denial of a fee waiver for FOIA Request 14-03855-FOIA	1/29/2014	1/30/2014	Closed	3/10/2014	Completely Affirmed
<u>14-00031-APPS</u>	14-02888-FOIA	McLellan, Michael	Finkelstein Thompson LLP	Commercial Organization	Facebook Inc Administrative Proceeding File No 3-15339	2/3/2014	2/5/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00032-APPS</u>	14-03909-FOIA	Wang, Eric	-	Other	denial to the request for a fee waiver	2/10/2014	2/10/2014	Closed	3/19/2014	Completely Reversed/Remanded
<u>14-00033-APPS</u>	13-07815-FOIA	Smith, Laura	Truthinadvertising.org	Other	Stansberry & Associates Investment Research	1/27/2014	2/11/2014	Closed	7/21/2015	Completely Reversed/Remanded
<u>14-00034-APPS</u>	14-01243-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	Exhibit 10.1 to the 9/30/07 10-Q as amended filed by K-V Pharmaceutical Co	2/12/2014	2/12/2014	Closed	2/19/2014	Other Reasons
<u>14-00035-APPS</u>	13-00770-FOIA	Winer, Kenneth	Foley & Lardner LLP	Other	WellCare Health Plans Inc	2/5/2014	2/18/2014	Closed	3/19/2014	Completely Affirmed
<u>14-00036-APPS</u>	13-07816-FOIA	Smith, Brian	Lexis Nexis	Commercial Organization	Downey Financial Corp	2/11/2014	2/18/2014	Closed	8/20/2015	Completely Affirmed
<u>14-00037-APPS</u>	14-02143-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	Penson Financial from Januray 1, 2008 to the present.	2/12/2014	2/12/2014	Closed	9/17/2014	Completely Affirmed
<u>14-00038-APPS</u>	14-02373-FOIA	Ayers, Carl	IA Week	News Media	Correspondence from Trading and Markets dated Oct. 25, 2013 to Thomas Shugrue of Carolina Capital Markets in Chapel Hill.	2/24/2014	2/24/2014	Closed	3/5/2014	Other Reasons
<u>14-00039-APPS</u>	14-05060-FOIA	Thompson, Lisa	-	Other	Merger Agreement for May 2 2011 between TSYS and Termnet Merchant Services	2/27/2014	2/27/2014	Closed	3/10/2014	Completely Affirmed
<u>14-00040-APPS</u>	14-05060-FOIA	Thompson, Lisa	-	Other	Merger Agreement for May 2 2011 between TSYS and Termnet Merchant Services	2/26/2014	3/4/2014	Closed	3/5/2014	Other Reasons
<u>14-00041-APPS</u>	14-01480-FOIA	Gevirtz PE, AVA, Charles	-	Other	meeting with SIPC Chairman Stephen Harbeck and the SEC	3/10/2014	3/10/2014	Closed	6/9/2014	Completely Affirmed
<u>14-00042-APPS</u>	14-02145-FOIA	Mitchell, Mark	Aguirre Law, APC	News Media	Adnan Khashoggi of Genesis Intermedia	3/5/2014	3/13/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00043-APPS</u>	14-02144-FOIA	Mitchell, Mark	Aguirre Law, APC	News Media	Wedbush	3/5/2014	3/13/2014	Closed	9/24/2014	Completely Reversed/Remanded

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<u>14-00044-APPS</u>	14-02134-FOIA	Mitchell, Mark	Aguirre Law, APC	News Media	Wedbush Securities	3/5/2014	3/13/2014	Closed	9/24/2014	Completely Reversed/Remanded
<u>14-00045-APPS</u>	12-00013-OIG	Beck, Susan	ALM Media	News Media	OIG-534 Allegations of Improper Coordination Between the SEC and Other Governmental Entities Concerning the SEC's Enforcement Action Goldman Sachs & Co	3/3/2014	3/13/2014	Closed	4/2/2014	Completely Reversed/Remanded
<u>14-00046-APPS</u>	14-05666-FOIA	Devorah, Carrie	-	Other	Dawn Bennett	3/13/2014	3/14/2014	Closed	4/4/2014	Other Reasons
<u>14-00047-APPS</u>	14-05668-FOIA	Devorah, Carrie	-	Other	Donald Bizub	3/13/2014	3/14/2014	Closed	4/2/2014	Other Reasons
<u>14-00048-APPS</u>	14-05669-FOIA	Devorah, Carrie	-	Other	Western International Securities	3/13/2014	3/14/2014	Closed	4/2/2014	Other Reasons
<u>14-00049-APPS</u>	14-05667-FOIA	Devorah, Carrie	-	Other	Bennett Group Financial Services	3/13/2014	3/14/2014	Closed	4/4/2014	Other Reasons
<u>14-00050-APPS</u>	14-05666-FOIA	Devorah, Carrie	-	Other	Dawn Bennett	3/24/2014	3/24/2014	Closed	3/25/2014	Other Reasons
<u>14-00051-APPS</u>	14-05667-FOIA	Devorah, Carrie	-	Other	Bennett Group Financial Services	3/24/2014	3/24/2014	Closed	3/25/2014	Other Reasons
<u>14-00052-APPS</u>	14-05668-FOIA	Devorah, Carrie	-	Other	Donald Bizub	3/24/2014	3/24/2014	Closed	3/25/2014	Other Reasons
<u>14-00053-APPS</u>	14-05669-FOIA	Devorah, Carrie	-	Other	Western International Securities	3/24/2014	3/24/2014	Closed	3/25/2014	Other Reasons
<u>14-00054-APPS</u>	14-01863-FOIA	Shepherd, Matthew	Patterson Belknap Webb & Tyler	Commercial Organization	Bank of America Corporation and Countrywide	3/19/2014	3/25/2014	Closed	4/29/2014	Other Reasons
<u>14-00055-APPS</u>	14-02351-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EMC Corporation	3/24/2014	3/25/2014	Closed	4/30/2014	Completely Affirmed
<u>14-00056-APPS</u>	14-02352-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Fifth Third Bancorp	3/24/2014	3/25/2014	Closed	4/3/2014	Completely Reversed/Remanded
<u>14-00057-APPS</u>	14-02353-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	First Solar Inc	3/24/2014	3/25/2014	Closed	4/4/2014	Completely Affirmed
<u>14-00058-APPS</u>	14-02354-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FLIR Systems Inc	3/24/2014	3/25/2014	Closed	4/4/2014	Completely Affirmed
<u>14-00059-APPS</u>	14-02508-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CorVel Corp	3/24/2014	3/25/2014	Closed	4/3/2014	Completely Reversed/Remanded
<u>14-00060-APPS</u>	14-01660-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sigma Designs Inc	3/25/2014	3/25/2014	Closed	7/21/2014	Completely Affirmed
<u>14-00061-APPS</u>	14-02272-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Philip Morris International Inc	3/25/2014	3/25/2014	Closed	4/3/2014	Completely Reversed/Remanded
<u>14-00062-APPS</u>	14-02350-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diebold Incorporated	3/25/2014	3/25/2014	Closed	4/8/2014	Completely Affirmed
<u>14-00063-APPS</u>	14-02365-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	National Oilwell Varco Inc	3/25/2014	3/25/2014	Closed	5/13/2014	Completely Reversed/Remanded
<u>14-00064-APPS</u>	14-02367-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Quicksilver Resources	3/25/2014	3/25/2014	Closed	4/4/2014	Completely Affirmed
<u>14-00065-APPS</u>	13-06978-FOIA	Sivere, Peter	-	Other	e-mails to or from FINRA employees	3/26/2014	3/26/2014	Closed	3/27/2014	Other Reasons
<u>14-00066-APPS</u>	14-02435-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SandRidge Energy Inc fka Riata Energy Inc	3/26/2014	3/27/2014	Closed	4/30/2014	Completely Affirmed
<u>14-00067-APPS</u>	14-02436-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Schlumberger Ltd	3/26/2014	3/27/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00068-APPS</u>	14-02798-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dreamworks Animation SKG Inc	3/26/2014	3/27/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00069-APPS</u>	14-02807-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	New Oriental Education & Technology Group Inc	3/26/2014	3/27/2014	Closed	6/3/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00070-APPS</u>	14-02808-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	News Corp	3/26/2014	3/27/2014	Closed	6/13/2014	Completely Affirmed
<u>14-00071-APPS</u>	14-02260-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dun & Bradstreet Corp	3/27/2014	3/27/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00072-APPS</u>	14-02264-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Health Management Associates Inc	3/27/2014	3/27/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00073-APPS</u>	14-02419-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bristol-Myers Squibb Company	3/27/2014	3/27/2014	Closed	6/9/2014	Completely Affirmed
<u>14-00074-APPS</u>	14-02805-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Molex Incorporated	3/27/2014	3/27/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00075-APPS</u>	14-03171-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kindred Healthcare Inc	3/27/2014	3/27/2014	Closed	7/2/2014	Completely Affirmed
<u>14-00076-APPS</u>	14-02442-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Western Digital Corporation	3/28/2014	3/31/2014	Closed	6/3/2014	Completely Affirmed

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<u>14-00077-APPS</u>	14-02368-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RPM International Inc	3/28/2014	3/31/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00078-APPS</u>	14-01646-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Caterpillar Inc	3/28/2014	3/31/2014	Closed	4/30/2014	Completely Affirmed
<u>14-00079-APPS</u>	14-03189-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Carter's Inc	3/28/2014	3/31/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00080-APPS</u>	14-03205-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Progenics Pharmaceuticals Inc	3/28/2014	3/31/2014	Closed	5/21/2014	Other Reasons
<u>14-00081-APPS</u>	14-05439-FOIA	Carlis Esq., Brian	Stark & Stark	Commercial Organization	Keith J. Steidle/Steidle Financial Group	3/27/2014	3/31/2014	Closed	7/21/2014	Completely Affirmed
<u>14-00083-APPS</u>	13-11369-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LinkedIn Corporation	3/31/2014	4/1/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00084-APPS</u>	14-01157-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Jetblue Airways Corp	3/31/2014	4/1/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00085-APPS</u>	14-02809-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NIKE Inc	3/31/2014	4/1/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00086-APPS</u>	14-03192-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Digimarc Corporation	3/31/2014	4/1/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00087-APPS</u>	13-03744-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Universal Corp	3/31/2014	4/1/2014	Closed	7/2/2014	Completely Affirmed
<u>14-00088-APPS</u>	14-03759-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Universal Corp	3/31/2014	4/1/2014	Closed	7/2/2014	Completely Affirmed
<u>14-00089-APPS</u>	14-04615-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Universal Corp	3/31/2014	4/1/2014	Closed	7/2/2014	Completely Affirmed
<u>14-00090-APPS</u>	14-05259-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LinkedIn Corporation	3/31/2014	4/1/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00091-APPS</u>	14-05503-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Jetblue Airways Corp	3/31/2014	4/1/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00092-APPS</u>	14-06126-FOIA	Wang, Eric	-	Other	EDGAR web logs	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00093-APPS</u>	14-06128-FOIA	Wang, Eric	-	Other	Consolidated Audit Trail (CAT) repository data fields	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00094-APPS</u>	14-06127-FOIA	Wang, Eric	-	Other	Electronic, Data Gathering, Analysis, and Retrieval data fields	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00095-APPS</u>	14-06129-FOIA	Wang, Eric	-	Other	Budget and Program Performance Analysis System data fields	3/31/2014	3/31/2014	Closed	5/15/2014	Completely Affirmed
<u>14-00096-APPS</u>	14-06130-FOIA	Wang, Eric	-	Other	No-Action Letter database data fields	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00097-APPS</u>	14-06131-FOIA	Wang, Eric	-	Other	Super Tracking and Reporting System (STARS)	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00098-APPS</u>	14-06132-FOIA	Wang, Eric	-	Other	Tracking and Reporting Exam National Documentation System (TRENDS)	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00099-APPS</u>	14-06133-FOIA	Wang, Eric	-	Other	Momentum data fields	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00100-APPS</u>	14-06134-FOIA	Wang, Eric	-	Other	Enterprise Data Warehouse (EDW) data fields	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00101-APPS</u>	14-06135-FOIA	Wang, Eric	-	Other	NRSI	3/31/2014	3/31/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00102-APPS</u>	14-06125-FOIA	Wang, Eric	-	Other	SEC FOIA log FY2014-present	3/31/2014	3/31/2014	Closed	5/15/2014	Completely Affirmed
<u>14-00103-APPS</u>	14-03398-FOIA	Edelman, Richard	empirestatebuildinginvestors.c om	Commercial Organization	Empire State Building Associates LLC Confidential Treatment Requests	3/26/2014	4/2/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00104-APPS</u>	14-03452-FOIA	Edelman, Richard	empirestatebuildinginvestors.c om	Commercial Organization	Empire State Realty Trust Inc	3/26/2014	4/2/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00105-APPS</u>	14-03257-FOIA	Edelman, Richard	empirestatebuildinginvestors.c om	Commercial Organization	Empire State Building Associates LLC Sublease	3/26/2014	4/2/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00106-APPS</u>	14-03043-FOIA	Edelman, Richard	empirestatebuildinginvestors.c om	Commercial Organization	Empire State Realty Trust Inc	3/26/2014	4/2/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00107-APPS</u>	14-05468-FOIA	Glover, Joel	Jackson Walker, L.L.P.	Commercial Organization	Stanford Financial Group	4/2/2014	4/2/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00108-APPS</u>	14-02356-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hewlett Packard Co	4/1/2014	4/3/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00109-APPS</u>	14-02810-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Noble Energy Inc	4/1/2014	4/3/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00110-APPS</u>	14-02811-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nokia Corporation	4/1/2014	4/3/2014	Closed	6/3/2014	Completely Affirmed

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<u>14-00111-APPS</u>	14-03201-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Medicis Pharmaceutical Corp	4/1/2014	4/3/2014	Closed	6/30/2014	Other Reasons
<u>14-00112-APPS</u>	14-03532-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Multi-Fineline Electronix Inc	4/1/2014	4/3/2014	Closed	8/13/2014	Completely Reversed/Remanded
<u>14-00113-APPS</u>	14-03176-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Parametric Technology Corporation	4/2/2014	4/3/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00114-APPS</u>	14-03535-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Park National Corporation	4/2/2014	4/3/2014	Closed	4/30/2014	Completely Affirmed
<u>14-00115-APPS</u>	14-02267-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson & Johnson	4/2/2014	4/3/2014	Closed	4/7/2014	Other Reasons
<u>14-00116-APPS</u>	14-03520-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cash America International Inc	4/2/2014	4/3/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00117-APPS</u>	14-01652-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intuitive Surgical Inc	4/2/2014	4/3/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00118-APPS</u>	14-03660-FOIA	Hua, Nicholas	Hua Gallai, LLP	Commercial Organization	Scripps Network Interactive Inc	3/24/2014	4/7/2014	Closed	2/13/2015	Other Reasons
<u>14-00119-APPS</u>	14-02267-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson & Johnson	4/4/2014	4/7/2014	Closed	9/17/2014	Completely Reversed/Remanded
<u>14-00120-APPS</u>	14-00931-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TD Ameritrade Hodling Corp	4/4/2014	4/7/2014	Closed	8/21/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00121-APPS</u>	14-01648-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Coinstar Inc	4/4/2014	4/7/2014	Closed	8/7/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00122-APPS</u>	14-02273-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Prudential Financial, Inc	4/4/2014	4/7/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00123-APPS</u>	14-02421-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CBOE Holdings Inc	4/7/2014	4/7/2014	Closed	6/3/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00124-APPS</u>	14-02816-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Textron Inc	4/7/2014	4/7/2014	Closed	6/25/2014	Completely Affirmed
<u>14-00125-APPS</u>	14-03662-FOIA	Carter, Troy	MuckRock News	News Media	Alcoa, Inc	3/31/2014	4/8/2014	Closed	4/28/2014	Completely Affirmed
<u>14-00126-APPS</u>	14-05449-FOIA	Roach, Steven	-	Other	testimony before Senate Banking, Housing & Urban Affairs Committee from January 27, 2014 to February 19, 2014	4/2/2014	4/7/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00127-APPS</u>	14-04142-FOIA	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	Credit Suisse Securities et al Administrative Proceeding No 3-15098	4/7/2014	4/8/2014	Closed	6/18/2014	Completely Reversed/Remanded
<u>14-00128-APPS</u>	14-04143-FOIA	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	all communications between any of the respondents in In re Credit Suisse Securities (USA) and the SEC in connection [with] any investigations of those respondents	4/7/2014	4/8/2014	Closed	6/9/2014	Completely Reversed/Remanded
<u>14-00129-APPS</u>	14-01660-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sigma Designs Inc	4/7/2014	4/9/2014	Closed	7/21/2014	Completely Affirmed
<u>14-00130-APPS</u>	13-08684-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PACCAR Inc	4/8/2014	4/9/2014	Closed	8/8/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00131-APPS</u>	14-02803-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	JPMorgan Chase & Co	4/8/2014	7/30/2014	Closed	8/13/2014	Completely Affirmed
<u>14-00132-APPS</u>	14-02799-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ebix Inc	4/8/2014	4/9/2014	Closed	4/28/2014	Completely Affirmed
<u>14-00133-APPS</u>	14-02812-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Regions Financial Corporation	4/8/2014	4/9/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00134-APPS</u>	13-11570-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Medtronic Inc	4/9/2014	4/10/2014	Closed	9/3/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00135-APPS</u>	14-02369-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	URS Corp	4/9/2014	4/10/2014	Closed	8/21/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00136-APPS</u>	14-02438-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sprint Nextel Corp	4/9/2014	4/10/2014	Closed	6/20/2014	Completely Reversed/Remanded
<u>14-00137-APPS</u>	14-02142-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	Al Safi Trust	4/10/2014	4/11/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00138-APPS</u>	14-06211-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	billing records in SEC v. Life Partners Holdings Inc. et al	4/10/2014	4/11/2014	Closed	8/21/2014	Completely Reversed/Remanded
<u>14-00139-APPS</u>	13-08618-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stryker Corporation	4/10/2014	4/11/2014	Closed	7/8/2014	Completely Reversed/Remanded
<u>14-00140-APPS</u>	13-08692-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Walgreen Co	4/10/2014	4/11/2014	Closed	7/11/2014	Completely Reversed/Remanded
<u>14-00141-APPS</u>	14-01226-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/10/2014	4/11/2014	Closed	5/13/2014	Completely Reversed/Remanded
<u>14-00142-APPS</u>	14-03734-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tetra Tech Inc	4/10/2014	4/11/2014	Closed	8/21/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00143-APPS</u>	13-11060-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The DIRECTV Group Inc	4/10/2014	4/11/2014	Closed	7/30/2014	Completely Affirmed

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<u>14-00144-APPS</u>	14-01658-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Revlon Inc	4/11/2014	4/11/2014	Closed	6/18/2014	Completely Reversed/Remanded
<u>14-00145-APPS</u>	14-02268-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Legg Mason Inc	4/11/2014	4/11/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00146-APPS</u>	14-02429-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Netflix Inc	4/11/2014	4/11/2014	Closed	8/4/2014	Completely Affirmed
<u>14-00147-APPS</u>	14-04513-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Apollo Investment Corp	4/11/2014	4/11/2014	Closed	8/4/2014	Completely Affirmed
<u>14-00148-APPS</u>	14-04602-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Elan Corporation plc	4/11/2014	4/11/2014	Closed	9/4/2014	Completely Affirmed
<u>14-00149-APPS</u>	14-04132-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Universal Electronics Inc	4/14/2014	4/15/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00150-APPS</u>	14-04605-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hess Corporation	4/14/2014	4/15/2014	Closed	7/28/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00151-APPS</u>	14-04610-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	OSI Systems Inc	4/14/2014	4/15/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00152-APPS</u>	14-05517-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Allergan Inc	4/14/2014	4/15/2014	Closed	7/2/2014	Completely Affirmed
<u>14-00153-APPS</u>	14-05535-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Research In Motion Ltd	4/14/2014	4/15/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00154-APPS</u>	14-01657-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Research In Motion Ltd	4/14/2014	4/15/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00155-APPS</u>	14-04348-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Merit Medical Systems Inc	4/15/2014	4/15/2014	Closed	4/29/2014	Completely Affirmed
<u>14-00156-APPS</u>	14-04612-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sierra Wireless Inc	4/15/2014	4/15/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00157-APPS</u>	14-04836-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Herbalife Ltd	4/15/2014	4/15/2014	Closed	4/30/2014	Completely Reversed/Remanded
<u>14-00158-APPS</u>	14-03169-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Herbalife Ltd	4/15/2014	4/15/2014	Closed	4/29/2014	Completely Reversed/Remanded
<u>14-00159-APPS</u>	14-05491-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Abaxis Inc	4/15/2014	4/15/2014	Closed	5/14/2014	Other Reasons
<u>14-00160-APPS</u>	14-05521-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	China Green Agriculture Inc	4/15/2014	4/15/2014	Closed	4/29/2014	Other Reasons
<u>14-00161-APPS</u>	14-00200-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Willbros Group Inc	4/17/2014	4/21/2014	Closed	7/2/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00162-APPS</u>	14-04343-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Great Lakes Dredge & Dock Corp	4/17/2014	4/21/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00163-APPS</u>	14-04494-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Envestnet Inc	4/17/2014	4/21/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00164-APPS</u>	14-04520-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Green Plains Renewable Energy Inc	4/17/2014	4/21/2014	Closed	5/21/2014	Other Reasons
<u>14-00165-APPS</u>	14-04613-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taiwan Semiconductor Manufacturing Company Limited	4/17/2014	4/21/2014	Closed	5/14/2014	Other Reasons
<u>14-00166-APPS</u>	14-00162-FOIA	Stollsteimer, Jack	Verus Financial LLC	Commercial Organization	Exhibits related to deposition of Mr. Paul Joseph Weber taken on 12/8/1999	4/15/2014	4/23/2014	Closed	8/13/2015	Completely Affirmed
<u>14-00167-APPS</u>	14-02125-FOIA	Mitchell, Mark	Aguirre Law, APC	News Media	SEC Administrative Proceeding: 3-13569 concerning TJM Proprietary Trading LLC	4/22/2014	4/23/2014	Closed	6/25/2014	Completely Reversed/Remanded
<u>14-00168-APPS</u>	14-02139-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	Citadel Securities	4/22/2014	4/23/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00169-APPS</u>	14-02266-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ingram Micro Inc	4/21/2014	4/23/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00170-APPS</u>	14-05107-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Motorola Solutions Inc	4/21/2014	4/23/2014	Closed	6/13/2014	Other Reasons
<u>14-00171-APPS</u>	14-02815-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	State Street Corporation	4/21/2014	4/23/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00172-APPS</u>	14-03434-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Progress Software Corp	4/21/2014	4/23/2014	Closed	6/20/2014	Completely Reversed/Remanded
<u>14-00173-APPS</u>	14-04512-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Agilysys Inc	4/22/2014	4/23/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00174-APPS</u>	14-02271-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NYSE Euronext, Inc	4/22/2014	4/23/2014	Closed	8/15/2014	Completely Affirmed
<u>14-00175-APPS</u>	14-02797-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Capital One Financial Corporation	4/22/2014	4/23/2014	Closed	6/3/2014	Completely Reversed/Remanded
<u>14-00176-APPS</u>	14-04614-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TJX Companies Inc	4/22/2014	4/23/2014	Closed	5/23/2014	Completely Affirmed

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<u>14-00177-APPS</u>	14-05097-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	E Trade Financial Corporation	4/22/2014	4/23/2014	Closed	8/7/2014	Completely Affirmed
<u>14-00178-APPS</u>	14-06028-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Terex Corporation	4/21/2014	4/23/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00179-APPS</u>	13-08689-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Terex Corporation	4/21/2014	4/23/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00180-APPS</u>	14-04825-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Advanced Micro Devices Inc	4/23/2014	4/23/2014	Closed	5/14/2014	Other Reasons
<u>14-00181-APPS</u>	14-04847-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Weight Watchers International Inc	4/23/2014	4/23/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00182-APPS</u>	14-04596-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Abbott Laboratories	4/23/2014	4/23/2014	Closed	5/14/2014	Other Reasons
<u>14-00183-APPS</u>	14-05247-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dolby Laboratories Inc	4/23/2014	4/23/2014	Closed	5/14/2014	Other Reasons
<u>14-00184-APPS</u>	14-04597-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Almost Family Inc	4/23/2014	4/23/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00185-APPS</u>	14-04828-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Altera Corp	4/24/2014	4/24/2014	Closed	5/14/2014	Other Reasons
<u>14-00186-APPS</u>	14-04846-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Warner Chilcott PLC	4/24/2014	4/24/2014	Closed	5/21/2014	Other Reasons
<u>14-00187-APPS</u>	14-05095-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ashland Inc	4/24/2014	4/24/2014	Closed	6/9/2014	Completely Affirmed
<u>14-00188-APPS</u>	14-02345-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avnet Inc	4/24/2014	4/24/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00189-APPS</u>	14-05098-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Fastenal Company	4/24/2014	4/24/2014	Closed	5/21/2014	Other Reasons
<u>14-00190-APPS</u>	13-09925-FOIA	Garcia, Lisette	FOIA Resource Center	Other	Financial Stability Oversight Council (FSOC) Inventory and Data Standardization since July 1, 2013	4/24/2014	4/25/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00191-APPS</u>	14-06186-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	SEC whistleblower program	4/24/2014	4/28/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00192-APPS</u>	14-05106-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mattel Inc	4/25/2014	4/28/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00193-APPS</u>	14-05109-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mylan Inc	4/25/2014	4/28/2014	Closed	5/21/2014	Other Reasons
<u>14-00194-APPS</u>	14-05110-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PerkinElmer Inc	4/25/2014	4/28/2014	Closed	5/21/2014	Other Reasons
<u>14-00195-APPS</u>	14-05254-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FMC Technologies Inc	4/25/2014	4/28/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00196-APPS</u>	14-05103-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Integrus Energy Group Inc	4/25/2014	4/28/2014	Closed	5/21/2014	Other Reasons
<u>14-00197-APPS</u>	14-04117-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Goodrich Petroleum Corp	4/28/2014	4/28/2014	Closed	7/29/2014	Completely Affirmed
<u>14-00198-APPS</u>	14-05876-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intuitive Surgical, Inc	4/28/2014	4/28/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00199-APPS</u>	14-06010-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Broadcom Corporation	4/28/2014	4/28/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00200-APPS</u>	14-06013-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Comcast Corporation	4/28/2014	4/28/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00201-APPS</u>	14-05538-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Time Warner Inc	4/28/2014	4/28/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00202-APPS</u>	14-03580-FOIA	Linder, Craig	Dow Jones	Other	Investment Adviser Representative Report Summary	4/16/2014	4/29/2014	Closed	6/9/2015	Completely Reversed/Remanded
<u>14-00203-APPS</u>	14-05111-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Perrigo Co	4/29/2014	4/29/2014	Closed	5/21/2014	Other Reasons
<u>14-00204-APPS</u>	14-05117-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Unum Group	4/29/2014	4/29/2014	Closed	5/13/2014	Completely Reversed/Remanded
<u>14-00205-APPS</u>	14-05257-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Invesco Ltd	4/29/2014	4/29/2014	Closed	5/13/2014	Completely Affirmed
<u>14-00206-APPS</u>	14-05252-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flowserve Corporation	4/29/2014	4/29/2014	Closed	5/14/2014	Other Reasons
<u>14-00207-APPS</u>	14-06016-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mead Johnson Nutrition Company	4/29/2014	4/29/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00208-APPS</u>	14-05251-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EOG Resources Inc	4/30/2014	4/30/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00209-APPS</u>	14-05256-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Green Dot Corporation	4/30/2014	4/30/2014	Closed	5/14/2014	Completely Affirmed

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<u>14-00210-APPS</u>	14-05505-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Linn Energy LLC	4/30/2014	4/30/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00211-APPS</u>	14-05528-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Genomic Health Inc	4/30/2014	4/30/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00212-APPS</u>	14-06830-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	In the Matter of Chicago Board Options Exchange, Inc. et al., Administrative Proceeding File No. 3-15353	5/2/2014	5/2/2014	Closed	8/21/2014	Completely Reversed/Remanded
<u>14-00213-APPS</u>	14-04848-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Xilinx Inc	5/1/2014	5/5/2014	Closed	5/14/2014	Other Reasons
<u>14-00214-APPS</u>	14-05250-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Endo Health Solutions Inc	5/1/2014	5/5/2014	Closed	5/21/2014	Other Reasons
<u>14-00215-APPS</u>	14-05522-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chipotle Mexican Grill Inc	5/1/2014	5/5/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00216-APPS</u>	14-04845-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tangoe Inc	5/1/2014	5/5/2014	Closed	6/3/2014	Completely Reversed/Remanded
<u>14-00217-APPS</u>	14-05516-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AECOM Technology Corporation	5/1/2014	5/5/2014	Closed	5/20/2014	Completely Reversed/Remanded
<u>14-00218-APPS</u>	14-05264-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NVIDIA Corporation	5/2/2014	5/5/2014	Closed	5/14/2014	Other Reasons
<u>14-00219-APPS</u>	14-05506-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NISource Inc	5/2/2014	5/5/2014	Closed	5/21/2014	Other Reasons
<u>14-00220-APPS</u>	14-02800-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Focus Media Holding Ltd	5/2/2014	5/5/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00221-APPS</u>	14-05263-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	New York Community Bancorp	5/2/2014	5/5/2014	Closed	5/20/2014	Other Reasons
<u>14-00222-APPS</u>	14-04839-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mindray Medical International Ltd (ADR)	5/2/2014	5/5/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00223-APPS</u>	14-05530-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Harris Corporation	5/5/2014	5/6/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00224-APPS</u>	14-05507-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Panera Bread Company	5/5/2014	5/6/2014	Closed	5/14/2014	Other Reasons
<u>14-00225-APPS</u>	14-05245-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baker Hughes Incorporated	5/5/2014	5/6/2014	Closed	6/3/2014	Completely Reversed/Remanded
<u>14-00226-APPS</u>	14-05504-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Liberty Media Corporation	5/5/2014	5/6/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00227-APPS</u>	14-05497-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Auxilium Pharmaceuticals Inc	5/5/2014	5/6/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00229-APPS</u>	14-05682-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	InterMune, Inc	5/6/2014	5/6/2014	Closed	5/21/2014	Other Reasons
<u>14-00230-APPS</u>	14-05687-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MetroPCS Communications, Inc	5/6/2014	5/6/2014	Closed	5/14/2014	Other Reasons
<u>14-00231-APPS</u>	14-05492-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alcoa Inc	5/6/2014	5/6/2014	Closed	5/14/2014	Completely Affirmed
<u>14-00232-APPS</u>	14-05102-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Harman International Industries, Incorporated	5/6/2014	5/6/2014	Closed	5/14/2014	Completely Reversed/Remanded
<u>14-00233-APPS</u>	14-05511-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Thomson Reuters Corporation	5/6/2014	5/6/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00234-APPS</u>	14-05519-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baxter International, Inc	5/7/2014	5/7/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00235-APPS</u>	14-04601-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Daktronics, Inc	5/7/2014	5/7/2014	Closed	6/3/2014	Completely Reversed/Remanded
<u>14-00236-APPS</u>	14-05100-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FNB Corporation	5/7/2014	5/7/2014	Closed	5/14/2014	Other Reasons
<u>14-00237-APPS</u>	14-05500-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Fairchild Semiconductor International, Inc	5/7/2014	5/7/2014	Closed	5/14/2014	Other Reasons
<u>14-00238-APPS</u>	14-05499-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cypress Semiconductor Corporation	5/7/2014	5/7/2014	Closed	5/14/2014	Other Reasons
<u>14-00239-APPS</u>	14-06508-FOIA	Glover, Joel	Jackson Walker, L.L.P.	Commercial Organization	OIG Report 526	5/7/2014	5/8/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00240-APPS</u>	14-05101-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Cable Corp	5/8/2014	5/8/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00241-APPS</u>	14-05531-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Janus Capital Group, Inc	5/8/2014	5/8/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00242-APPS</u>	14-05672-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Akamai Technologies, Inc	5/8/2014	5/8/2014	Closed	5/20/2014	Other Reasons
<u>14-00243-APPS</u>	14-04609-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsoft Corp	5/8/2014	5/8/2014	Closed	5/21/2014	Completely Affirmed

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<u>14-00244-APPS</u>	14-02270-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Maxim Integrated Products, Inc	5/8/2014	5/8/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00245-APPS</u>	14-05674-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BP plc	5/9/2014	5/9/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00246-APPS</u>	14-05673-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ArthroCare Corporation	5/9/2014	5/9/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00247-APPS</u>	14-04530-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Radian Group Inc	5/9/2014	5/9/2014	Closed	7/30/2014	Other Reasons
<u>14-00248-APPS</u>	14-03179-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Community Banks Inc	5/9/2014	5/9/2014	Closed	7/28/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00249-APPS</u>	14-06578-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CSG Systems International, Inc	5/9/2014	5/9/2014	Closed	7/30/2014	Completely Affirmed
<u>14-00250-APPS</u>	14-05753-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CBIZ, Inc	5/12/2014	5/12/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00251-APPS</u>	14-05255-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Google Inc	5/12/2014	5/12/2014	Closed	6/12/2014	Completely Affirmed
<u>14-00252-APPS</u>	14-05688-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Northern Oil and Gas, Inc	5/12/2014	5/12/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00253-APPS</u>	14-05696-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Vitesse Semiconductor Corp	5/12/2014	5/12/2014	Closed	5/20/2014	Completely Affirmed
<u>14-00254-APPS</u>	14-05514-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	VMWare Inc	5/12/2014	5/12/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00255-APPS</u>	14-05113-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Pfizer, Inc	5/13/2014	5/13/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00256-APPS</u>	14-05878-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Markwest Energy Partners, LP	5/13/2014	5/13/2014	Closed	5/21/2014	Other Reasons
<u>14-00257-APPS</u>	14-05266-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The PNC Financial Services Group, Inc	5/13/2014	5/13/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00258-APPS</u>	14-06023-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	QLogic Corporation	5/13/2014	5/13/2014	Closed	5/21/2014	Other Reasons
<u>14-00259-APPS</u>	14-05261-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McKesson Corporation	5/13/2014	5/13/2014	Closed	5/21/2014	Other Reasons
<u>14-00260-APPS</u>	14-04850-FOIA	Piazza, Michael	Greenberg Traurig LLP	Commercial Organization	Harlow Capital Management	4/25/2014	5/14/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00261-APPS</u>	14-05233-FOIA	Rejaian, Charlynne	Thorsnes Bartolotta McGuire LLP	Commercial Organization	Raymond J. Lucia Companies, Inc. and Raymond J. Lucia, Sr., Administrative Proceeding File 3-15006	4/28/2014	5/14/2014	Closed	7/23/2014	Completely Reversed/Remanded
<u>14-00262-APPS</u>	14-05752-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Bankrate, Inc	5/14/2014	5/15/2014	Closed	5/21/2014	Completely Affirmed
<u>14-00263-APPS</u>	14-05496-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Armstrong World Industries, Inc	5/14/2014	5/15/2014	Closed	5/21/2014	Other Reasons
<u>14-00264-APPS</u>	14-05267-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Quest Diagnostics, Inc	5/14/2014	5/15/2014	Closed	5/21/2014	Other Reasons
<u>14-00265-APPS</u>	14-05253-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	FMC Corp	5/14/2014	5/15/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00266-APPS</u>	14-05765-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Novartis AG	5/15/2014	5/15/2014	Closed	5/23/2014	Completely Affirmed
<u>14-00267-APPS</u>	14-04616-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Veeco Instruments, Inc	5/15/2014	5/15/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00268-APPS</u>	14-05526-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Diageo PLC	5/15/2014	5/15/2014	Closed	7/30/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00269-APPS</u>	14-06442-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Crown Media Holdings, Inc	5/15/2014	5/15/2014	Closed	7/2/2014	Completely Affirmed
<u>14-00270-APPS</u>	14-05520-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	CA, Inc	5/15/2014	5/15/2014	Closed	8/15/2014	Completely Affirmed
<u>14-00272-APPS</u>	14-04841-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Morningstar, Inc	5/16/2014	5/16/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00273-APPS</u>	14-05539-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Viacom, Inc	5/16/2014	5/16/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00274-APPS</u>	14-05770-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Sony Corp	5/16/2014	5/16/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00275-APPS</u>	14-05495-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Arena Pharmaceuticals, Inc	5/16/2014	5/16/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00276-APPS</u>	14-05772-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Watts Water Technologies, Inc	5/16/2014	5/16/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00277-APPS</u>	13-06637-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	whistleblower complaint	5/16/2014	5/19/2014	Closed	8/21/2014	Other Reasons

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<u>14-00279-APPS</u>	14-06638-FOIA	Anderson, Jonathan	The Lakeland Times	News Media	Sarkauskas and Associates, Inc. and James M. Sarkauskas	5/19/2014	5/19/2014	Closed	6/25/2014	Completely Reversed/Remanded
<u>14-00280-APPS</u>	14-05761-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Logitech International SA	5/19/2014	5/19/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00281-APPS</u>	14-05867-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Cabela's Incorporated	5/19/2014	5/19/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00282-APPS</u>	14-06589-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Micrel, Inc	5/19/2014	5/19/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00283-APPS</u>	14-06017-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Medco Health Solutions, Inc	5/19/2014	5/19/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00284-APPS</u>	14-05768-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Riverbed Technology, Inc	5/19/2014	5/19/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00285-APPS</u>	14-04844-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	SPX Corporation	5/20/2014	5/20/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00286-APPS</u>	14-05866-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	ARRIS Group, Inc	5/20/2014	5/20/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00287-APPS</u>	14-02359-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Intersil Corp	5/20/2014	5/20/2014	Closed	6/3/2014	Completely Affirmed
<u>14-00288-APPS</u>	14-05094-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Amgen, Inc	5/20/2014	5/20/2014	Closed	6/9/2014	Completely Affirmed
<u>14-00289-APPS</u>	14-06020-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Oracle Corporation	5/20/2014	5/20/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00290-APPS</u>	14-06652-FOIA	Griggs, John	Griggs & Adler, P.C.	Commercial Organization	Empire State Realty Trust Inc	5/9/2014	5/21/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00291-APPS</u>	14-07255-FOIA	Antonvich, Mark	-	Other	Credit Suisse Securities (USA) LLC	5/21/2014	5/21/2014	Closed	7/30/2014	Completely Affirmed
<u>14-00292-APPS</u>	14-07256-FOIA	Antonvich, Mark	-	Other	Deutsche Bank Securities Inc Form X-17A-5	5/21/2014	5/21/2014	Closed	7/30/2014	Completely Affirmed
<u>14-00293-APPS</u>	14-05759-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Knight Capital Group Inc	5/21/2014	5/21/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00294-APPS</u>	14-05508-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Parker-Hannifin Corporation	5/21/2014	5/21/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00295-APPS</u>	14-05876-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Intuitive Surgical Inc	5/21/2014	5/21/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00296-APPS</u>	14-00413-T	Gavin, J. Patrick	Probes Reporter, LLC	Other	CommVault Systems Inc	5/21/2014	5/21/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00297-APPS</u>	14-05104-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Intel Corporation	5/21/2014	5/21/2014	Closed	6/26/2014	Completely Affirmed
<u>14-00298-APPS</u>	14-00259-T	Gavin, J. Patrick	Probes Reporter, LLC	Other	Manulife Financial Corporation	5/23/2014	5/27/2014	Closed	8/18/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00299-APPS</u>	14-02240-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Halliburton Company	5/23/2014	5/27/2014	Closed	7/29/2014	Completely Affirmed
<u>14-00300-APPS</u>	14-05877-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Korn/Ferry International	5/23/2014	5/27/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00301-APPS</u>	14-02358-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Interactive Brokers Group Inc	5/23/2014	5/27/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00302-APPS</u>	14-04842-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Northern Trust Corporation	5/27/2014	5/28/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00303-APPS</u>	14-05758-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Inland Real Estate Corp	5/27/2014	5/28/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00304-APPS</u>	14-04594-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Assisted Living Concepts Inc	5/27/2014	5/28/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00305-APPS</u>	14-05537-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	Other	Targacept Inc	5/27/2014	5/28/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00306-APPS</u>	14-00429-T	Gavin, J. Patrick	Probes Reporter, LLC	Other	SciClone Pharmaceuticals Inc	5/27/2014	5/28/2014	Closed	6/5/2014	Completely Affirmed
<u>14-00307-APPS</u>	14-06829-FOIA	Pomares, Maria	Aguirre Law, APC	Commercial Organization	In the Matter of OX Trading, LLC. et al., Administrative Proceeding File No. 3-14853	5/28/2014	5/28/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00308-APPS</u>	14-03452-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Other	Empire State Realty Trust Inc	5/19/2014	6/3/2014	Closed	7/2/2014	Completely Reversed/Remanded
<u>14-00309-APPS</u>	14-06366-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Other	Empire State Realty Trust Inc	5/20/2014	6/3/2014	Closed	7/17/2014	Completely Reversed/Remanded
<u>14-00310-APPS</u>	14-06367-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Other	Malkin Holdings	5/20/2014	6/3/2014	Closed	7/17/2014	Completely Reversed/Remanded
<u>14-00311-APPS</u>	14-06368-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Other	communication about FOIA requests for Empire State Realty Trust Inc	5/20/2014	6/3/2014	Closed	7/17/2014	Completely Reversed/Remanded

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<u>14-00312-APPS</u>	14-06369-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Other	communications about FOIA request for Malkin Holdings	5/20/2014	6/3/2014	Closed	7/21/2014	Completely Reversed/Remanded
<u>14-00313-APPS</u>	14-05493-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AmeriGas Partners LP	6/3/2014	6/3/2014	Closed	8/13/2014	Completely Affirmed
<u>14-00314-APPS</u>	14-01087-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Plug Power Inc	6/3/2014	6/3/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00315-APPS</u>	14-02261-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Federated Investors Inc	6/3/2014	6/3/2014	Closed	6/13/2014	Completely Affirmed
<u>14-00316-APPS</u>	14-01079-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	El Paso Pipeline Partners LP	6/3/2014	6/3/2014	Closed	6/13/2014	Completely Affirmed
<u>14-00317-APPS</u>	14-03417-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Anadarko Petroleum Corporation	6/3/2014	6/3/2014	Closed	6/26/2014	Completely Affirmed
<u>14-00318-APPS</u>	14-01487-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Atlas Air Worldwide Holdings Inc	6/4/2014	6/4/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00319-APPS</u>	14-05494-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Archer-Daniels-Midland Company	6/4/2014	6/4/2014	Closed	8/7/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00320-APPS</u>	14-05869-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Central European Distribution Corp	6/4/2014	6/4/2014	Closed	8/4/2014	Completely Affirmed
<u>14-00321-APPS</u>	14-06030-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UGI Corp	6/4/2014	6/4/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00322-APPS</u>	14-06032-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Walt Disney Company	6/4/2014	6/4/2014	Closed	6/12/2014	Completely Affirmed
<u>14-00323-APPS</u>	13-08204-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Marathon Oil Corporation	6/5/2014	6/5/2014	Closed	8/21/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00324-APPS</u>	14-02241-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Huntsman Corporation	6/5/2014	6/5/2014	Closed	8/4/2015	Other Reasons
<u>14-00325-APPS</u>	14-07253-FOIA	Rabbiner, David	Kessler Topaz Meltzer & Check, LLP	Commercial Organization	Sigma X Form ATS	6/6/2014	6/9/2014	Closed	9/16/2014	Completely Affirmed
<u>14-00326-APPS</u>	14-00020-REMD	Mitchell, Mark	Aguirre Law, APC	News Media	SEC Administrative Proceeding: 3-13569 concerning TJM Proprietary Trading LLC	6/5/2014	6/9/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00327-APPS</u>	14-03005-FOIA	Gibson, Anthony	ABG Enterprises	Commercial Organization	ABG Enterprises 10-K	6/12/2014	6/12/2014	Closed	7/7/2014	Completely Affirmed
<u>14-00328-APPS</u>	14-01590-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Owens & Minor Inc	6/13/2014	6/13/2014	Closed	6/25/2014	Completely Affirmed
<u>14-00329-APPS</u>	14-01311-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Elbit Systems Ltd	6/13/2014	6/13/2014	Closed	6/25/2014	Completely Affirmed
<u>14-00330-APPS</u>	14-00965-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CVR Energy Inc	6/13/2014	6/13/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00331-APPS</u>	14-05524-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Deere & Co	6/13/2014	6/13/2014	Closed	6/26/2014	Completely Reversed/Remanded
<u>14-00332-APPS</u>	14-01835-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinder Morgan Energy Partners LP	6/13/2014	6/13/2014	Closed	6/18/2014	Completely Affirmed
<u>14-00334-APPS</u>	14-05579-FOIA	Woo, Sangcheol	-	Commercial Organization	AsiaNet Corporation Limited Form F-1	6/15/2014	6/16/2014	Closed	7/30/2014	Other Reasons
<u>14-00335-APPS</u>	14-02125-FOIA	Mitchell, Mark	Aguirre Law, APC	News Media	SEC Administrative Proceeding: 3-13569 concerning TJM Proprietary Trading LLC	6/9/2014	6/16/2014	Closed	7/1/2014	Other Reasons
<u>14-00337-APPS</u>	14-04266-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	First Potomac Realty Trust	6/18/2014	6/18/2014	Closed	7/28/2014	Completely Affirmed
<u>14-00338-APPS</u>	14-04606-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	K12 Inc	6/18/2014	6/18/2014	Closed	8/15/2014	Completely Affirmed
<u>14-00339-APPS</u>	14-06513-FOIA	Orenbach, Kenneth	-	Other	supporting material for report titled Opportunities Exist to Improve SEC's Oversight of the Financial Industry Regulatory Authority dated March 2012	6/18/2014	6/18/2014	Closed	4/7/2015	Completely Affirmed
<u>14-00341-APPS</u>	14-07202-FOIA	Blutstein, Allan	America Rising LLC	News Media	the Office of White House Counsel's review of agency records	6/18/2014	6/24/2014	Closed	7/3/2014	Completely Affirmed
<u>14-00344-APPS</u>	14-05847-FOIA	Henderson, Rachel	-	Other	enforcement actions since 2009	7/9/2014	7/10/2014	Closed	9/22/2014	Completely Reversed/Remanded
<u>14-00345-APPS</u>	14-07303-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	Unilife Medical Solutions Inc Credit Agreement	7/3/2014	7/15/2014	Closed	10/2/2014	Completely Affirmed
<u>14-00346-APPS</u>	14-01143-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hain Celestial Group Inc	7/14/2014	7/15/2014	Closed	7/24/2014	Other Reasons
<u>14-00347-APPS</u>	14-01814-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amazon.com Inc	7/14/2014	7/15/2014	Closed	7/18/2014	Completely Affirmed
<u>14-00348-APPS</u>	14-01927-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinder Morgan Inc	7/14/2014	7/15/2014	Closed	7/18/2014	Completely Affirmed
<u>14-00349-APPS</u>	14-02157-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Aruba Networks Inc	7/14/2014	7/15/2014	Closed	7/17/2014	Completely Affirmed

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<u>14-00350-APPS</u>	14-02273-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dorman Products Inc	7/14/2014	7/15/2014	Closed	7/17/2014	Completely Affirmed
<u>14-00352-APPS</u>	13-10165-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Marvell Technology Group Ltd	7/15/2014	7/16/2014	Closed	8/21/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00353-APPS</u>	14-00434-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Willis Group Holdings Ltd	7/15/2014	7/16/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00354-APPS</u>	14-05116-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Symantec Corporation	7/15/2014	7/16/2014	Closed	8/4/2014	Completely Affirmed
<u>14-00355-APPS</u>	14-04849-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Yahoo! Inc	7/15/2014	7/16/2014	Closed	9/17/2014	Completely Affirmed
<u>14-00356-APPS</u>	14-05762-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Medifast Inc	7/15/2014	7/16/2014	Closed	8/4/2014	Completely Reversed/Remanded
<u>14-00357-APPS</u>	14-02470-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bob Evans Farms Inc	7/16/2014	7/16/2014	Closed	7/23/2014	Completely Affirmed
<u>14-00358-APPS</u>	14-01828-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	eBay Inc	7/16/2014	7/16/2014	Closed	7/24/2014	Other Reasons
<u>14-00359-APPS</u>	14-01725-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Adobe Systems Inc	7/16/2014	7/16/2014	Closed	7/23/2014	Completely Affirmed
<u>14-00360-APPS</u>	14-02462-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BioScrip Inc	7/16/2014	7/16/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00361-APPS</u>	14-05695-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	US Bancorp	7/16/2014	7/16/2014	Closed	8/4/2014	Completely Affirmed
<u>14-00362-APPS</u>	14-01834-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Investment Technology Group Inc	7/17/2014	7/18/2014	Closed	7/23/2014	Completely Affirmed
<u>14-00363-APPS</u>	14-02380-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barnes & Noble Inc	7/17/2014	7/18/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00364-APPS</u>	14-02598-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BorgWarner Inc	7/17/2014	7/18/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00365-APPS</u>	14-02850-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Accretive Health Inc	7/18/2014	7/18/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00366-APPS</u>	14-02854-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avis Budget Group Inc	7/18/2014	7/18/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00367-APPS</u>	14-02618-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Norfolk Southern Corp	7/18/2014	7/18/2014	Closed	7/23/2014	Completely Affirmed
<u>14-00368-APPS</u>	14-06985-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	all documents related to any SEC investigation of Organovo	7/3/2014	7/21/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00369-APPS</u>	14-00368-APPS	Whitener, Michael	VLP Law Group LLP	Commercial Organization	Lions Gate Entertainment Corp	7/8/2014	7/21/2014	Closed	7/21/2014	Other Reasons
<u>14-00370-APPS</u>	14-07357-FOIA	Russello, Joseph	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Lions Gate Entertainment Corp	7/8/2014	7/21/2014	Closed	8/4/2014	Completely Affirmed
<u>14-00371-APPS</u>	14-06977-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	all documents related to any SEC investigation of Inovio	7/16/2014	7/21/2014	Closed	8/13/2014	Completely Affirmed
<u>14-00372-APPS</u>	14-06987-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	all documents related to any SEC investigation of Cytrx Corp	7/16/2014	7/21/2014	Closed	8/15/2014	Completely Affirmed
<u>14-00374-APPS</u>	13-08680-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intuitive Surgical, Inc	7/21/2014	7/24/2014	Closed	7/24/2014	Completely Affirmed
<u>14-00375-APPS</u>	14-06991-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	all documents related to any SEC investigation of Proactive Capital	7/16/2014	7/24/2014	Closed	8/15/2014	Completely Affirmed
<u>14-00376-APPS</u>	14-06994-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	any FOIA requests submitted to the SEC for Proactive Capital	7/16/2014	7/24/2014	Closed	8/15/2014	Completely Affirmed
<u>14-00377-APPS</u>	14-06992-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	all documents related to any SEC investigation of Dream Team Group	7/16/2014	7/24/2014	Closed	8/7/2014	Completely Affirmed
<u>14-00378-APPS</u>	14-06995-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	any FOIA requests submitted to the SEC for Dream Team Group	7/16/2014	7/24/2014	Closed	8/7/2014	Completely Affirmed
<u>14-00379-APPS</u>	14-00029-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CBOE Holdings Inc	7/23/2014	7/24/2014	Closed	7/28/2014	Other Reasons
<u>14-00380-APPS</u>	14-02667-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Darden Restaurants Inc	7/24/2014	7/24/2014	Closed	7/30/2014	Other Reasons
<u>14-00381-APPS</u>	14-02457-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BHP Billiton LTD	7/24/2014	7/24/2014	Closed	7/30/2014	Completely Affirmed
<u>14-00382-APPS</u>	14-02867-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NQ Mobile Inc (ADR)	7/24/2014	7/24/2014	Closed	7/30/2014	Completely Affirmed
<u>14-00383-APPS</u>	14-02816-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bunge Limited	7/24/2014	7/24/2014	Closed	7/30/2014	Completely Affirmed
<u>14-00384-APPS</u>	14-02363-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Affiliated Managers Group Inc	7/24/2014	7/24/2014	Closed	7/30/2014	Completely Affirmed

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<u>14-00385-APPS</u>	14-02864-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Gulfport Energy Corp	7/25/2014	7/25/2014	Closed	8/13/2014	Completely Affirmed
<u>14-00386-APPS</u>	14-03054-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Rockwood Holdings Inc	7/25/2014	7/25/2014	Closed	8/27/2014	Completely Affirmed
<u>14-00387-APPS</u>	14-04618-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	World Fuel Services Corp	7/25/2014	7/25/2014	Closed	8/21/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00388-APPS</u>	14-02611-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EXCO Resources Inc	7/25/2014	7/25/2014	Closed	9/23/2014	Completely Affirmed
<u>14-00389-APPS</u>	14-02455-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Berkshire Hathaway Inc	7/25/2014	7/25/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00390-APPS</u>	14-06975-FOIA	Whitener, Michael	VLP Law Group LLP	Commercial Organization	all documents related to any SEC investigation of Unilife	7/23/2014	7/29/2014	Closed	8/7/2014	Completely Affirmed
<u>14-00391-APPS</u>	14-06901-FOIA	Ruby, Joseph	Lewis Baach PLLC	Commercial Organization	SEC v. Brian H. Stoker case, # 11-Civ.-7388	7/25/2014	7/29/2014	Closed	9/29/2014	Completely Reversed/Remanded
<u>14-00392-APPS</u>	14-07409-FOIA	Ruby, Joseph	Lewis Baach PLLC	Commercial Organization	Samir Bhatt and matter of Credit Suisse Alternative Capital LLC	7/25/2014	7/29/2014	Closed	9/29/2014	Completely Reversed/Remanded
<u>14-00393-APPS</u>	14-07410-FOIA	Ruby, Joseph	Lewis Baach PLLC	Commercial Organization	Citigroup Global Markets Inc 11-cv-07387	7/25/2014	7/29/2014	Closed	9/29/2014	Completely Reversed/Remanded
<u>14-00394-APPS</u>	14-07566-FOIA	Bradstreet, Eric	St. Denis & Davey, PA	Commercial Organization	Lynn E Szymoniak	7/23/2014	7/30/2014	Closed	9/29/2014	Completely Affirmed
<u>14-00395-APPS</u>	14-07734-FOIA	McKenna, Thomas	Gainey McKenna & Egleston	Commercial Organization	NetSol Technologies Ltd	8/4/2014	8/4/2014	Closed	8/27/2014	Completely Affirmed
<u>14-00397-APPS</u>	14-04416-FOIA	Lynch, Sarah	Thomson Reuters	News Media	Trial expenses SEC vs Life Partners	8/7/2014	8/12/2014	Closed	8/21/2014	Completely Reversed/Remanded
<u>14-00398-APPS</u>	14-08144-FOIA	Jackson, Robert	-	Other	Historical ADV Filings	8/12/2014	8/13/2014	Closed	11/26/2014	Completely Reversed/Remanded
<u>14-00399-APPS</u>	14-00146-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cisco Systems, Inc.	8/15/2014	8/15/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00400-APPS</u>	14-03195-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Marsh & McLennan Companies, Inc	8/15/2014	8/15/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00401-APPS</u>	14-02685-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Technologies Corp.	8/15/2014	8/15/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00402-APPS</u>	14-02833-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stryker Corporation	8/15/2014	8/15/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00403-APPS</u>	14-02164-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Electric Company	8/15/2014	8/15/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00404-APPS</u>	14-06753-FOIA	Frankel, Steven	Friedman Kaplan Seller & Adelman LLP	Commercial Organization	The Bear Stearns Companies LLC et al	8/13/2014	8/18/2014	Closed	9/16/2014	Completely Reversed/Remanded
<u>14-00406-APPS</u>	14-02614-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	H&R Block, Inc	8/18/2014	8/18/2014	Closed	9/29/2014	Completely Affirmed
<u>14-00407-APPS</u>	14-02824-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	GlaxoSmithKline plc	8/18/2014	8/18/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00408-APPS</u>	14-03402-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson Controls, Inc	8/18/2014	8/18/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00409-APPS</u>	14-01742-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SolarCity Corp	8/18/2014	8/18/2014	Closed	8/27/2014	Completely Affirmed
<u>14-00410-APPS</u>	14-03575-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kansas City Southern	8/18/2014	8/18/2014	Closed	8/21/2014	Completely Affirmed
<u>14-00411-APPS</u>	14-03581-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NASDAQ OMX Group Inc fka Nasdaq Stock Market Inc	8/19/2014	8/20/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00412-APPS</u>	14-03398-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Gap Inc aka The Gap Inc	8/19/2014	8/20/2014	Closed	9/15/2014	Completely Affirmed
<u>14-00413-APPS</u>	14-00611-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Huron Consulting Group Inc	8/20/2014	8/20/2014	Closed	9/24/2014	Completely Affirmed
<u>14-00414-APPS</u>	14-02666-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Blackrock Inc	8/20/2014	8/20/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00415-APPS</u>	14-01745-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Twitter Inc	8/20/2014	8/20/2014	Closed	9/29/2014	Completely Affirmed
<u>14-00416-APPS</u>	14-02673-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lear Corporation	8/20/2014	8/20/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00417-APPS</u>	13-08618-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stryker Corporation	8/20/2014	8/20/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00418-APPS</u>	14-02833-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stryker Corporation	8/20/2014	8/20/2014	Closed	9/2/2014	Completely Affirmed
<u>14-00419-APPS</u>	14-03050-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson & Johnson	8/19/2014	8/19/2014	Closed	9/17/2014	Completely Reversed/Remanded

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<u>14-00420-APPS</u>	14-00756-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Select Comfort Corporation	8/21/2014	8/21/2014	Closed	9/2/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00421-APPS</u>	14-00261-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsemi Corp	8/21/2014	8/21/2014	Closed	11/25/2014	Completely Affirmed
<u>14-00422-APPS</u>	14-03809-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Valmont Industries Inc	8/25/2014	8/26/2014	Closed	9/22/2014	Completely Reversed/Remanded
<u>14-00423-APPS</u>	14-02276-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Federal-Mogul Holdings Corp	8/25/2014	8/26/2014	Closed	9/10/2014	Completely Affirmed
<u>14-00424-APPS</u>	14-04009-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	KeyCorp	8/25/2014	8/26/2014	Closed	9/15/2014	Completely Affirmed
<u>14-00425-APPS</u>	14-03525-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Target Corporation	8/25/2014	8/26/2014	Closed	9/15/2014	Completely Affirmed
<u>14-00426-APPS</u>	14-03942-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Twenty-First Century Fox Inc	8/25/2014	8/26/2014	Closed	9/19/2014	Other Reasons
<u>14-00427-APPS</u>	14-08557-FOIA	Shi, Wei	-	Other	Carnegie Mellon University	8/22/2014	8/26/2014	Closed	9/16/2014	Completely Affirmed
<u>14-00428-APPS</u>	14-08027-FOIA	Hu, Yang	ChinaRock Capital Management	Commercial Organization	NQ Mobile Inc	8/21/2014	8/27/2014	Closed	9/17/2014	Completely Affirmed
<u>14-00429-APPS</u>	14-03943-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Varian Medical Systems Inc	8/26/2014	8/27/2014	Closed	9/4/2014	Completely Affirmed
<u>14-00430-APPS</u>	14-03564-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	American Tower Corporation	8/26/2014	8/27/2014	Closed	2/4/2015	Completely Affirmed
<u>14-00431-APPS</u>	14-01223-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ViaSat Inc	8/26/2014	8/27/2014	Closed	7/15/2015	Other Reasons
<u>14-00432-APPS</u>	14-05524-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Deere & Co	8/26/2014	8/27/2014	Closed	2/3/2015	Completely Affirmed
<u>14-00433-APPS</u>	14-02826-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	J. C. Penney Company, Inc.	8/26/2014	8/27/2014	Closed	4/21/2015	Completely Affirmed
<u>14-00434-APPS</u>	14-03930-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Motors Corporation	8/27/2014	8/28/2014	Closed	9/22/2014	Completely Reversed/Remanded
<u>14-00435-APPS</u>	14-03434-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Progress Software Corp	8/27/2014	8/28/2014	Closed	9/17/2014	Partially Affirmed & Partially Reversed/Remanded
<u>14-00436-APPS</u>	14-01658-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Revlon Inc	8/27/2014	8/28/2014	Closed	9/17/2014	Completely Affirmed
<u>14-00437-APPS</u>	14-07273-FOIA	Michaels, Dave	The Wall Street Journal	News Media	all SEC employee exit interview forms created and/or maintained since December 1 2010	8/28/2014	9/2/2014	Closed	3/2/2015	Partially Affirmed & Partially Reversed/Remanded
<u>14-00438-APPS</u>	14-08593-FOIA	Maher, Ed	Morgan Wilshire Securities Inc	Commercial Organization	various FOCUSN and X-17A-5s filed by KC Ward Financial	9/2/2014	9/3/2014	Closed	9/24/2014	Completely Affirmed
<u>14-00441-APPS</u>	14-03679-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Joy Global Inc	9/8/2014	9/8/2014	Closed	9/22/2014	Completely Affirmed
<u>14-00442-APPS</u>	14-04004-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hologic Inc	9/8/2014	9/8/2014	Closed	9/22/2014	Completely Affirmed
<u>14-00443-APPS</u>	14-01937-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Regeneron Pharmaceuticals Inc	9/8/2014	9/8/2014	Closed	9/22/2014	Completely Affirmed
<u>14-00444-APPS</u>	14-05105-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Juniper Networks Inc	9/8/2014	9/8/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00445-APPS</u>	14-02160-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Callaway Golf Co	9/8/2014	9/8/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00446-APPS</u>	14-08370-FOIA	Cotton, Juliet	FMC Carswell Federal Medical Center	Other	Quality Grain Co Ghana Ltd	9/2/2014	9/10/2014	Closed	9/22/2014	Other Reasons
<u>14-00447-APPS</u>	14-06638-FOIA	Anderson, Jonathan	The Lakeland Times	News Media	Sarkauskas and Associates, Inc. and James M. Sarkauskas	9/11/2014	9/12/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00448-APPS</u>	14-07358-FOIA	Litjens, Robin	-	Commercial Organization	weblog data for accounting and auditing enforcement releases	9/16/2014	9/17/2014	Closed	10/6/2014	Completely Affirmed
<u>14-00450-APPS</u>	14-06764-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	OptionsXpress, Inc., Administrative Proceeding 3-14848	9/17/2014	9/22/2014	Closed	9/30/2014	Completely Affirmed
<u>14-00451-APPS</u>	14-07609-FOIA	Ensign, Rachel	Wall Street Journal	News Media	statistics on the number of applications for whistleblower awards received by the commission	8/25/2014	9/24/2014	Closed	4/7/2015	Partially Affirmed & Partially Reversed/Remanded
<u>14-00452-APPS</u>	14-08752-FOIA	Nesbitt, Lawrence	Jones Day	Commercial Organization	Ocwen Financial Corporation	9/24/2014	9/26/2014	Closed	9/29/2014	Completely Affirmed
<u>14-00453-APPS</u>	14-08528-FOIA	Romeril, Barry	-	Other	James Bingham	9/18/2014	9/26/2014	Closed	10/22/2014	Completely Affirmed

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<u>15-00001-APPS</u>	14-04765-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Starwood Hotel & Resorts Worldwide Inc	10/2/2014	10/2/2014	Closed	10/7/2014	Completely Reversed/Remanded
<u>15-00002-APPS</u>	14-04090-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Omnicare Inc	10/2/2014	10/2/2014	Closed	2/3/2015	Completely Reversed/Remanded
<u>15-00003-APPS</u>	14-04706-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Forest Laboratories Inc	10/2/2014	10/2/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00004-APPS</u>	14-04190-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Clorox Company	10/2/2014	10/2/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00005-APPS</u>	14-04753-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ITT Education Services Inc	10/2/2014	10/2/2014	Closed	10/6/2014	Completely Affirmed
<u>15-00006-APPS</u>	14-03807-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Hershey Company	10/3/2014	10/3/2014	Closed	2/3/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00007-APPS</u>	14-04380-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinder Morgan Management LLC	10/3/2014	10/3/2014	Closed	2/3/2015	Completely Reversed/Remanded
<u>15-00008-APPS</u>	14-02456-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Best Buy Co Inc	10/3/2014	10/3/2014	Closed	2/3/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00009-APPS</u>	14-04714-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nu Skin Enterprises Inc	10/3/2014	10/3/2014	Closed	10/8/2014	Completely Affirmed
<u>15-00010-APPS</u>	14-03405-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nuance Communications	10/3/2014	10/3/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00011-APPS</u>	14-08420-FOIA	Dunbar, Lisa	VLP Law Group LLP	Commercial Organization	Unilife	9/30/2014	10/7/2014	Closed	2/2/2015	Completely Affirmed
<u>15-00012-APPS</u>	14-08421-FOIA	Dunbar, Lisa	VLP Law Group LLP	Commercial Organization	Cytrx Corp	9/29/2014	10/7/2014	Closed	12/22/2014	Completely Affirmed
<u>15-00013-APPS</u>	14-04666-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	St. Joe Company	10/8/2014	10/9/2014	Closed	10/10/2014	Completely Affirmed
<u>15-00014-APPS</u>	14-01210-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Orthofix International N.V	10/8/2014	10/9/2014	Closed	12/17/2014	Completely Affirmed
<u>15-00015-APPS</u>	14-02167-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	International Business Machines Corporation	10/8/2014	10/9/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00016-APPS</u>	14-04305-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Verizon Communications, Inc	10/8/2014	10/9/2014	Closed	12/29/2014	Completely Affirmed

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<u>15-00017-APPS</u>	14-05147-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CorpBanca	10/8/2014	10/9/2014	Closed	11/3/2014	Completely Affirmed
<u>15-00019-APPS</u>	14-05392-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Portugal Telecom SGPS S.A.	10/9/2014	10/10/2014	Closed	10/22/2014	Completely Affirmed
<u>15-00020-APPS</u>	14-05384-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Matthews International Corp.	10/9/2014	10/10/2014	Closed	10/22/2014	Completely Affirmed
<u>15-00021-APPS</u>	14-04837-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hi-Tech Pharmacal Co.	10/9/2014	10/10/2014	Closed	11/3/2014	Completely Affirmed
<u>15-00022-APPS</u>	14-04834-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ericsson LM Telephone Co.	10/9/2014	10/10/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00023-APPS</u>	14-08769-FOIA	Dunbar, Lisa	VLP Law Group LLP	Commercial Organization	Tom Meyer	10/3/2014	10/16/2014	Closed	10/22/2014	Completely Affirmed
<u>15-00024-APPS</u>	14-00041-REMD	Frostrom, Karen	Thorsnes Bartolotta McGuire LLP	Commercial Organization	Raymond J. Lucia Companies, Inc. and Raymond J. Lucia, Sr., Administrative Proceeding File 3-15006	10/14/2014	10/20/2014	Closed	6/15/2015	Completely Reversed/Remanded
<u>15-00025-APPS</u>	14-08788-FOIA	Nan Young, Hoong	Mintz Group	Commercial Organization	AmTrust Financial Services Inc	10/22/2014	10/23/2014	Closed	11/3/2014	Completely Affirmed
<u>15-00026-APPS</u>	14-08789-FOIA	Nan Young, Hoong	Mintz Group	Commercial Organization	Maiden Holdings Ltd	10/22/2014	10/23/2014	Closed	11/3/2014	Completely Affirmed
<u>15-00027-APPS</u>	14-08790-FOIA	Nan Young, Hoong	Mintz Group	Commercial Organization	National General Holdings Corp	10/22/2014	10/23/2014	Closed	11/3/2014	Completely Affirmed
<u>15-00028-APPS</u>	14-08746-FOIA	Kahn, Jacob	Schiff Hardin LLP	Commercial Organization	investigative testimony of Matthew D. Sample 14 cv 1218	10/17/2014	10/24/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00029-APPS</u>	14-08747-FOIA	Kahn, Jacob	Schiff Hardin LLP	Commercial Organization	Matthew D Sample	10/17/2014	10/24/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00030-APPS</u>	14-00046-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Multi-Fineline Electronix, Inc	10/27/2014	10/28/2014	Closed	2/3/2015	Completely Affirmed
<u>15-00031-APPS</u>	14-02851-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Air Methods Corp	10/27/2014	10/28/2014	Closed	2/4/2015	Completely Affirmed
<u>15-00032-APPS</u>	14-02166-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Harvest Natural Resources Inc	10/27/2014	10/28/2014	Closed	4/21/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00033-APPS</u>	14-03572-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	First Niagara Financial Group Inc	10/27/2014	10/28/2014	Closed	4/14/2015	Completely Affirmed

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<u>15-00034-APPS</u>	14-04603-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ford Motor Company	10/27/2014	10/28/2014	Closed	2/11/2015	Completely Affirmed
<u>15-00035-APPS</u>	14-08619-FOIA	Smallberg, Michael	Project on Government Oversight	News Media	Imaging Diagnostic Systems Inc	10/17/2014	10/29/2014	Closed	11/24/2014	Other Reasons
<u>15-00036-APPS</u>	14-00613-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MarketAxess Holdings, Inc	10/29/2014	10/30/2014	Closed	12/17/2014	Completely Affirmed
<u>15-00037-APPS</u>	14-04721-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tesla Motors, Inc	10/29/2014	10/30/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00038-APPS</u>	14-05379-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	GenCorp, Inc	10/29/2014	10/30/2014	Closed	1/16/2015	Completely Affirmed
<u>15-00039-APPS</u>	15-00050-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kohlberg Kravis Roberts & Co., LP	10/29/2014	10/30/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00040-APPS</u>	15-00466-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Legg Mason, Inc	10/29/2014	10/30/2014	Closed	1/16/2015	Completely Affirmed
<u>15-00041-APPS</u>	15-00331-FOIA	Doe, John	-	Other	Appeal No. 14-00427-APPS (14-08557-FOIA)	10/29/2014	10/30/2014	Closed	11/24/2014	Other Reasons
<u>15-00042-APPS</u>	14-04365-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Celgene Corporation	10/30/2014	10/30/2014	Closed	2/4/2015	Completely Affirmed
<u>15-00043-APPS</u>	14-05096-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ConAgra Foods, Inc	10/30/2014	10/30/2014	Closed	2/3/2015	Completely Affirmed
<u>15-00044-APPS</u>	15-00299-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Beazer Homes USA, Inc	10/30/2014	10/30/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00045-APPS</u>	15-00041-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Blackstone Group, L.P.	10/30/2014	10/30/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00046-APPS</u>	15-00300-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bio Rad Laboratories, Inc	10/30/2014	10/30/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00047-APPS</u>	14-05117-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Unum Group	11/3/2014	11/3/2014	Closed	11/24/2014	Completely Affirmed
<u>15-00048-APPS</u>	14-05245-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baker Hughes Incorporated	11/3/2014	11/3/2014	Closed	11/24/2014	Completely Reversed/Remanded
<u>15-00049-APPS</u>	14-08949-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	KLA-Tencor Corporation	11/3/2014	11/3/2014	Closed	2/11/2015	Completely Affirmed

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<u>15-00050-APPS</u>	14-00759-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sypris Solutions Inc	11/3/2014	11/3/2014	Closed	2/3/2015	Completely Affirmed
<u>15-00051-APPS</u>	14-05459-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	China Southern Airlines Co Limited	11/3/2014	11/3/2014	Closed	8/14/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00052-APPS</u>	14-08144-FOIA	Jackson, Robert	-	Other	Historical ADV Filings	10/23/2014	11/3/2014	Closed	12/1/2014	Completely Reversed/Remanded
<u>15-00053-APPS</u>	15-00450-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avon Products Inc	11/3/2014	11/3/2014	Closed	12/17/2014	Completely Affirmed
<u>15-00054-APPS</u>	15-00589-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Oshkosh Corporation	11/3/2014	11/3/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00055-APPS</u>	15-00586-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Navistar International Corporation	11/3/2014	11/3/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00056-APPS</u>	15-00305-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Freeport-McMoRan Copper & Gold Inc	11/3/2014	11/3/2014	Closed	4/20/2015	Completely Affirmed
<u>15-00057-APPS</u>	15-00301-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Biogen Idec Inc	11/3/2014	11/3/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00058-APPS</u>	14-08406-FOIA	Carpenter-Holmes, Amy	Paul Hastings LLP	Commercial Organization	Fannie Mae	10/28/2014	11/4/2014	Closed	3/25/2015	Completely Reversed/Remanded
<u>15-00059-APPS</u>	15-00469-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Philip Morris International Inc	11/3/2014	11/3/2014	Closed	4/14/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00061-APPS</u>	14-05461-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Delek US Holdings, Inc	11/11/2014	11/12/2014	Closed	11/25/2014	Completely Affirmed
<u>15-00062-APPS</u>	15-00449-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avnet, Inc	11/11/2014	11/12/2014	Closed	12/16/2014	Partially Affirmed & Partially Reversed/Remanded
<u>15-00063-APPS</u>	15-00460-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	First Solar, Inc	11/11/2014	11/12/2014	Closed	12/8/2014	Completely Reversed/Remanded
<u>15-00064-APPS</u>	15-00577-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hertz Global Holdings, Inc	11/11/2014	11/12/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00065-APPS</u>	15-00584-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nabors Industries Ltd	11/11/2014	11/12/2014	Closed	2/3/2015	Completely Affirmed
<u>15-00066-APPS</u>	15-00304-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Community Health Systems	11/12/2014	11/12/2014	Closed	12/16/2014	Completely Affirmed

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<u>15-00067-APPS</u>	15-00456-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dun & Bradstreet Corp	11/12/2014	11/12/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00068-APPS</u>	15-00457-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EMC Corporation	11/12/2014	11/12/2014	Closed	5/14/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00069-APPS</u>	15-00461-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FLIR Systems Inc	11/20/2014	11/20/2014	Closed	12/10/2014	Completely Affirmed
<u>15-00070-APPS</u>	15-01033-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	DreamWorks Animation SKG Inc	11/20/2014	11/24/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00071-APPS</u>	15-00593-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RPM International Inc	11/20/2014	11/24/2014	Closed	12/8/2014	Completely Affirmed
<u>15-00072-APPS</u>	14-00048-REMD	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	In the Matter of Chicago Board Options Exchange, Inc. et al., Administrative Proceeding File No. 3-15353	11/21/2014	11/24/2014	Closed	12/17/2014	Completely Affirmed
<u>15-00073-APPS</u>	15-00455-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diebold, Incorporated	12/1/2014	12/2/2014	Closed	4/21/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00074-APPS</u>	15-01044-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	News Corp.	12/1/2014	12/2/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00075-APPS</u>	15-01136-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nike, Inc	12/1/2014	12/2/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00076-APPS</u>	15-00243-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	SEC v Bethancourt 13-CV-3074	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00077-APPS</u>	15-00245-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Direct Access Partners	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00078-APPS</u>	15-00253-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Tomas Alberto Clarke Bethancourt	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00079-APPS</u>	15-00333-FOIA	Lu, Max	-	Other	insider trading by members officers or employees of Congress	12/2/2014	12/3/2014	Closed	1/15/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00080-APPS</u>	14-06830-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	In the Matter of Chicago Board Options Exchange, Inc. et al., Administrative Proceeding File No. 3-15353	11/21/2014	12/3/2014	Closed	12/3/2014	Other Reasons
<u>15-00081-APPS</u>	15-00252-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Haydee Leticia Pabon	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00082-APPS</u>	15-00246-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Banco de Desarrollo Economico y Social de Venezuela	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed

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<u>15-00083-APPS</u>	15-00247-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Iuri Rodolfo Bethancourt	11/24/2014	12/3/2014	Closed	12/17/2014	Completely Affirmed
<u>15-00084-APPS</u>	15-00248-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Benito Chinae	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00085-APPS</u>	15-00249-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Joseph DeMeneses Jr	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00086-APPS</u>	15-00250-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Ernesto Lujan	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00087-APPS</u>	15-00251-FOIA	Sanoja, Katherine	Gomm & Smith	Commercial Organization	Jose Alejandro Hurtado	11/24/2014	12/3/2014	Closed	12/16/2014	Completely Affirmed
<u>15-00088-APPS</u>	14-08716-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	The number of brokers (registered representatives or other associated persons of broker-dealer firms) permanently expelled from the securities industry between 2010 - present	12/4/2014	12/5/2014	Closed	4/15/2015	Completely Affirmed
<u>15-00089-APPS</u>	15-00229-FOIA	Temple-West, Patrick	Politico	News Media	Chair Mary Jo White and Financial Stability Oversight Council (FSOC)	11/26/2014	12/5/2014	Closed	4/1/2015	Completely Affirmed
<u>15-00090-APPS</u>	15-00445-FOIA	Katz, Alan	Bloomberg News	News Media	Mark Frissora	12/5/2014	12/5/2014	Closed	12/29/2014	Completely Affirmed
<u>15-00091-APPS</u>	15-00824-FOIA	Garcia, Lisette	FOIA Resource Center	News Media	interagency data inventory mandated under Dodd-Frank	12/11/2014	12/11/2014	Closed	1/23/2015	Completely Affirmed
<u>15-00092-APPS</u>	15-00027-FOIA	Eckel, Mike	Voice of America	News Media	TeliaSonera AB	12/5/2014	12/15/2014	Closed	2/10/2015	Other Reasons
<u>15-00093-APPS</u>	15-00535-FOIA	Martin, Jerry	Barrett Johnston Martin & Garrison, LLC	Commercial Organization	Walmart Stores Inc	12/5/2014	12/15/2014	Closed	1/16/2015	Completely Affirmed
<u>15-00098-APPS</u>	15-01142-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	State Street Corporation	1/5/2014	1/5/2015	Closed	1/15/2015	Completely Affirmed
<u>15-00099-APPS</u>	15-01030-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BancorpSouth Inc	1/5/2014	1/5/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00100-APPS</u>	15-00465-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ingram Micro Inc	1/5/2015	1/5/2015	Closed	2/2/2015	Completely Reversed/Remanded
<u>15-00101-APPS</u>	14-03809-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Valmont Industries Inc	1/5/2015	1/5/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00102-APPS</u>	15-01138-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nokia Corporation	1/5/2015	1/5/2015	Closed	1/15/2015	Completely Affirmed

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<u>15-00103-APPS</u>	15-01820-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	InterOil Corporation	1/6/2015	1/6/2015	Closed	1/23/2015	Completely Affirmed
<u>15-00104-APPS</u>	15-01351-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinross Gold Corp	1/6/2015	1/6/2015	Closed	1/15/2015	Completely Affirmed
<u>15-00105-APPS</u>	15-01724-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PDL BioPharma Inc	1/6/2015	1/6/2015	Closed	1/16/2015	Completely Affirmed
<u>15-00106-APPS</u>	14-03930-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Motors Corporation	1/6/2014	1/6/2015	Closed	2/2/2015	Completely Affirmed
<u>15-00107-APPS</u>	15-01341-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Carter's Inc	1/6/2015	1/6/2015	Closed	1/16/2015	Completely Affirmed
<u>15-00108-APPS</u>	14-01514-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ocwen Financial Corp	1/14/2015	1/15/2015	Closed	2/4/2015	Completely Affirmed
<u>15-00109-APPS</u>	15-01661-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	InterNAP Network Services Corp	1/14/2015	1/15/2015	Closed	2/12/2015	Completely Affirmed
<u>15-00110-APPS</u>	15-01728-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Goldman Sachs Group Inc	1/14/2015	1/15/2015	Closed	2/9/2015	Completely Affirmed
<u>15-00111-APPS</u>	15-02375-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Herbalife Ltd	1/14/2015	1/15/2015	Closed	7/31/2015	Completely Affirmed
<u>15-00112-APPS</u>	15-02248-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hess Corporation	1/14/2015	1/15/2015	Closed	2/9/2015	Completely Affirmed
<u>15-00113-APPS</u>	15-00858-FOIA	Katz, Alan	Bloomberg News	News Media	Herbalife	1/15/2015	1/15/2015	Closed	2/3/2015	Completely Affirmed
<u>15-00114-APPS</u>	15-01038-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kraft Foods Group Inc	1/16/2015	1/21/2015	Closed	2/10/2015	Completely Affirmed
<u>15-00115-APPS</u>	15-01400-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RTI International Metals Inc	1/15/2015	1/21/2015	Closed	2/12/2015	Completely Affirmed
<u>15-00116-APPS</u>	15-01717-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Korea Electric Power Corp	1/15/2015	1/21/2015	Closed	2/9/2015	Completely Affirmed
<u>15-00117-APPS</u>	15-02247-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ford Motor Company	1/16/2015	1/21/2015	Closed	2/11/2015	Completely Affirmed
<u>15-00118-APPS</u>	15-02368-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Brookfield Asset Management Inc	1/15/2015	1/21/2015	Closed	2/10/2015	Completely Affirmed

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<u>15-00119-APPS</u>	15-02369-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cisco Systems Inc	1/16/2015	1/21/2015	Closed	2/10/2015	Completely Affirmed
<u>15-00120-APPS</u>	15-02373-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ericsson LM Telephone Co	1/15/2015	1/21/2015	Closed	4/7/2015	Completely Affirmed
<u>15-00121-APPS</u>	15-02382-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SPX Corporation	1/16/2015	1/21/2015	Closed	2/12/2015	Completely Reversed/Remanded
<u>15-00122-APPS</u>	15-02384-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Weight Watchers International Inc	1/15/2015	1/21/2015	Closed	3/25/2015	Completely Affirmed
<u>15-00123-APPS</u>	15-02371-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dean Foods Company	1/20/2015	1/21/2015	Closed	2/9/2015	Completely Affirmed
<u>15-00124-APPS</u>	15-02479-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Logitech International SA	1/20/2015	1/21/2015	Closed	3/25/2015	Completely Affirmed
<u>15-00125-APPS</u>	15-02483-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Net 1 UEPS Technologies Inc	1/20/2015	1/21/2015	Closed	2/12/2015	Completely Affirmed
<u>15-00126-APPS</u>	15-02490-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sony Corp	1/16/2015	1/21/2015	Closed	2/26/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00127-APPS</u>	15-02723-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chipotle Mexican Grill Inc	1/20/2015	1/21/2015	Closed	2/12/2015	Completely Affirmed
<u>15-00128-APPS</u>	14-05454-FOIA	b6		Other	b6	1/19/2015	1/21/2015	Closed	2/4/2015	Completely Affirmed
<u>15-00129-APPS</u>	15-00824-FOIA	Garcia, Lisette	FOIA Resource Center	News Media	interagency data inventory mandated under Dodd-Frank	1/26/2015	1/27/2015	Closed	3/4/2015	Other Reasons
<u>15-00130-APPS</u>	-	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Radian Group Inc	2/2/2015	2/2/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00131-APPS</u>	15-02561-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cobalt International Energy Inc	2/2/2015	2/2/2015	Closed	3/2/2015	Completely Affirmed
<u>15-00132-APPS</u>	15-02005-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Keurig Green Mountain Inc FKA Green Mountain Coffee	2/2/2015	2/2/2015	Closed	2/12/2015	Completely Reversed/Remanded
<u>15-00133-APPS</u>	15-00057-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Retrophin Inc	2/2/2015	2/2/2015	Closed	4/17/2015	Completely Affirmed
<u>15-00134-APPS</u>	15-02377-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mindray Medical International Ltd (ADR)	2/3/2015	2/3/2015	Closed	3/3/2015	Completely Affirmed

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<u>15-00135-APPS</u>	15-02379-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Morningstar Inc	2/3/2015	2/3/2015	Closed	3/25/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00136-APPS</u>	15-00470-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Prudential Financial Inc	2/3/2015	2/3/2015	Closed	3/25/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00137-APPS</u>	15-02472-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cabela's Incorporated	2/3/2015	2/3/2015	Closed	2/13/2015	Completely Affirmed
<u>15-00138-APPS</u>	15-02580-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	QUALCOMM Incorporated	2/3/2015	2/3/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00139-APPS</u>	15-02380-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Northern Trust Corporation	2/4/2015	2/4/2015	Closed	2/13/2015	Completely Affirmed
<u>15-00140-APPS</u>	15-02489-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Smith & Wesson Holding Corp	2/4/2015	2/4/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00141-APPS</u>	15-02493-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Weatherford International Ltd	2/4/2015	2/4/2015	Closed	2/13/2015	Completely Affirmed
<u>15-00142-APPS</u>	15-02734-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Monsanto Company	2/4/2015	2/4/2015	Closed	3/25/2015	Completely Affirmed
<u>15-00143-APPS</u>	15-02902-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AmeriGas Partners LP	2/4/2015	2/4/2015	Closed	2/13/2015	Completely Affirmed
<u>15-00145-APPS</u>	15-02916-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	M&T Bank Corp	2/5/2015	2/5/2015	Closed	2/13/2015	Completely Affirmed
<u>15-00146-APPS</u>	15-02700-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Parker-Hannifin Corporation	2/5/2015	2/5/2015	Closed	3/3/2015	Completely Reversed/Remanded
<u>15-00147-APPS</u>	15-02567-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Cable Corp	2/5/2015	2/5/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00148-APPS</u>	15-02735-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Peabody Energy Corporation	2/5/2015	2/5/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00149-APPS</u>	15-02905-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Emerson Electric Co	2/5/2015	2/5/2015	Closed	4/14/2015	Completely Reversed/Remanded
<u>15-00150-APPS</u>	15-02702-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TEVA Pharmaceutical Industries Limited	2/6/2015	2/6/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00151-APPS</u>	15-02864-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	T-Mobile US Inc	2/6/2015	2/6/2015	Closed	3/3/2015	Completely Affirmed

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<u>15-00152-APPS</u>	15-02908-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flowserve Corp	2/6/2015	2/6/2015	Closed	3/25/2015	Completely Affirmed
<u>15-00153-APPS</u>	15-02706-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	VMWare Inc	2/6/2015	2/6/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00154-APPS</u>	15-02741-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Wal-Mart Stores Inc	2/6/2015	2/6/2015	Closed	2/24/2015	Completely Affirmed
<u>15-00155-APPS</u>	15-02686-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Arena Pharmaceuticals Inc.	2/9/2015	2/9/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00156-APPS</u>	15-02907-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EOG Resources Inc	2/9/2015	2/9/2015	Closed	2/24/2015	Completely Affirmed
<u>15-00157-APPS</u>	15-02850-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Embraer SA	2/9/2015	2/9/2015	Closed	3/3/2015	Completely Affirmed
<u>15-00158-APPS</u>	15-00458-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Federated Investors Inc	2/9/2015	2/9/2015	Closed	2/26/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00159-APPS</u>	15-03053-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mead Johnson Nutrition Company	2/9/2015	2/9/2015	Closed	2/24/2015	Completely Affirmed
<u>15-00160-APPS</u>	15-01435-FOIA	Barous, Paul	-	Other	Outcast Media Holdings Inc	2/10/2015	2/10/2015	Closed	3/25/2015	Other Reasons
<u>15-00161-APPS</u>	15-01436-FOIA	Barous, Paul	-	Other	Outcast Media International, Inc	2/10/2015	2/10/2015	Closed	3/25/2015	Other Reasons
<u>15-00162-APPS</u>	15-01036-FOIA	Udell, Maury	Beighley, Myrick, & Udell Law Firm	Commercial Organization	SEC v. Bernard Butts et al 13-2115 CIV	2/10/2015	2/11/2015	Closed	4/15/2015	Completely Affirmed
<u>15-00163-APPS</u>	15-01588-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Provident Financial Services Inc	2/10/2015	2/11/2015	Closed	3/31/2015	Completely Affirmed
<u>15-00164-APPS</u>	15-02849-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diamond Foods Inc	2/10/2015	2/11/2015	Closed	3/3/2015	Completely Reversed/Remanded
<u>15-00165-APPS</u>	15-02703-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Thomson Reuters Corporation	2/10/2015	2/11/2015	Closed	3/26/2015	Completely Affirmed
<u>15-00166-APPS</u>	15-03205-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RAIT Financial Trust	2/10/2015	2/11/2015	Closed	2/24/2015	Completely Affirmed
<u>15-00167-APPS</u>	15-03254-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CSG Systems International Inc	2/13/2015	2/13/2015	Closed	4/14/2015	Completely Affirmed

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<u>15-00168-APPS</u>	15-00581-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Interactive Brokers Group Inc	2/13/2015	2/13/2015	Closed	3/23/2015	Completely Affirmed
<u>15-00169-APPS</u>	15-02861-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Principal Financial Group Inc	2/13/2015	2/13/2015	Closed	3/2/2015	Completely Affirmed
<u>15-00170-APPS</u>	15-02250-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsoft Corp	2/13/2015	2/13/2015	Closed	3/9/2015	Completely Affirmed
<u>15-00171-APPS</u>	15-00753-FOIA	Guglielmo, Joseph	Scott & Scott, Attorneys at Law, LLP	Commercial Organization	Doral Financial Corporation	2/17/2015	2/23/2015	Closed	3/25/2015	Completely Affirmed
<u>15-00172-APPS</u>	14-00050-REMD	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	naked short sale of any stock or for failures to deliver any securities from 09/01/2010 to present	2/23/2015	2/23/2015	Closed	8/21/2015	Completely Reversed/Remanded
<u>15-00173-APPS</u>	15-00720-FOIA	Pelaia, Ernest	Elizabeth Park Capital Management, Ltd	Commercial Organization	Fred Anthony Cummings	2/25/2015	3/3/2015	Closed	4/1/2015	Completely Affirmed
<u>15-00174-APPS</u>	15-01816-FOIA	Becker, Kenneth	Office of the Kansas Securities Commissioner	Other	Robert R Champion	2/17/2015	3/3/2015	Closed	3/10/2015	Other Reasons
<u>15-00175-APPS</u>	15-02730-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Halliburton Company	3/3/2015	3/4/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00176-APPS</u>	15-02862-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Saba Software Inc	3/3/2015	3/4/2015	Closed	3/18/2015	Completely Reversed/Remanded
<u>15-00177-APPS</u>	15-02901-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alcoa Inc.	3/3/2015	3/4/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00178-APPS</u>	15-02910-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FMC Technologies Inc	3/3/2015	3/4/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00179-APPS</u>	15-02914-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	KBR Inc	3/3/2015	3/4/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00180-APPS</u>	15-02245-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CVB Financial Corp	3/4/2015	3/4/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00181-APPS</u>	15-02573-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Motorola Solutions, Inc.	3/4/2015	3/4/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00182-APPS</u>	15-02736-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Research in Motion Ltd.	3/4/2015	3/4/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00183-APPS</u>	15-03318-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	STAAR Surgical Company	3/4/2015	3/4/2015	Closed	3/19/2015	Completely Affirmed

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<u>15-00184-APPS</u>	15-03380-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Willbros Group Inc	3/4/2015	3/4/2015	Closed	3/19/2015	Completely Affirmed
<u>15-00185-APPS</u>	15-02866-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Vitesse Semiconductor Corp	3/5/2015	3/6/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00186-APPS</u>	15-02843-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Analogic Corporation	3/5/2015	3/6/2015	Closed	3/23/2015	Completely Affirmed
<u>15-00187-APPS</u>	15-02848-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Corinthian Colleges Inc	3/5/2015	3/6/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00188-APPS</u>	15-03054-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Merck & Co Inc	3/5/2015	3/6/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00189-APPS</u>	15-02846-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CBIZ Inc	3/5/2015	3/6/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00190-APPS</u>	15-03058-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Polycorn Inc	3/6/2015	3/6/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00191-APPS</u>	15-02579-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Pfizer Inc	3/6/2015	3/6/2015	Closed	4/1/2015	Completely Affirmed
<u>15-00192-APPS</u>	15-03207-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Spectrum Pharmaceuticals Inc	3/6/2015	3/6/2015	Closed	3/23/2015	Completely Affirmed
<u>15-00193-APPS</u>	15-03056-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Oracle Corporation	3/6/2015	3/6/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00194-APPS</u>	15-03542-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SciClone Pharmaceuticals Inc	3/6/2015	3/6/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00195-APPS</u>	15-03051-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Computer Sciences Corporation	3/9/2015	3/9/2015	Closed	3/17/2015	Completely Affirmed
<u>15-00196-APPS</u>	15-03055-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NCR Corporation	3/9/2015	3/9/2015	Closed	3/19/2015	Completely Affirmed
<u>15-00197-APPS</u>	15-03068-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UGI Corp	3/9/2015	3/9/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00198-APPS</u>	15-03365-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	iRobot Corporation	3/9/2015	3/9/2015	Closed	3/18/2015	Completely Affirmed
<u>15-00199-APPS</u>	14-05677-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citigroup, Inc	3/9/2015	3/9/2015	Closed	3/23/2015	Completely Reversed/Remanded

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<u>15-00200-APPS</u>	15-01551-FOIA	Loub, Curtis	-	Other	First Merchant Bank Osh Ltd	2/23/2015	3/16/2015	Closed	4/6/2015	Other Reasons
<u>15-00201-APPS</u>	15-01552-FOIA	Loub, Curtis	-	Other	Ralph W Jarson	2/23/2015	3/16/2015	Closed	4/6/2015	Other Reasons
<u>15-00202-APPS</u>	15-01553-FOIA	Loub, Curtis	-	Other	Tarik Umit	2/23/2015	3/16/2015	Closed	4/6/2015	Other Reasons
<u>15-00203-APPS</u>	15-01814-FOIA	Sillats, Keith	CRWD Investing Inc.	Commercial Organization	Lendingclub	3/16/2015	3/17/2015	Closed	4/14/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00204-APPS</u>	15-00528-FOIA	Kesslen, Jay	Horizon Asset Management, Inc.	Commercial Organization	Horizon Asset Management Inc	3/9/2015	3/18/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00205-APPS</u>	15-01304-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	number of enforcement matters related to broker-dealers	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00206-APPS</u>	15-01305-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	number of enforcement matters related to investment advisers or investment companies	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00207-APPS</u>	15-01306-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	enforcement matters related to market manipulation	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00208-APPS</u>	15-01307-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	enforcement matters related to insider trading	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00209-APPS</u>	15-01308-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	enforcement matters related to financial reporting and disclosures	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00210-APPS</u>	15-01309-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	enforcement matters referred by the SEC to the Financial Industry Regulatory Authority	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00211-APPS</u>	15-01310-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	number of enforcement matters referred by the SEC to state securities regulators	3/24/2015	3/25/2015	Closed	4/14/2015	Completely Affirmed
<u>15-00212-APPS</u>	15-02158-FOIA	LoCastro, John	-	Other	Investors Center Inc	3/27/2015	4/1/2015	Closed	5/22/2015	Completely Affirmed
<u>15-00213-APPS</u>	14-02161-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chevron Corporation	4/2/2015	4/2/2015	Closed	4/15/2015	Completely Reversed/Remanded
<u>15-00214-APPS</u>	15-02719-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BP plc	4/2/2015	4/2/2015	Closed	4/8/2015	Completely Affirmed
<u>15-00215-APPS</u>	15-02858-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Maxwell Technologies Inc	4/2/2015	4/2/2015	Closed	4/14/2015	Completely Affirmed

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<u>15-00216-APPS</u>	15-02911-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Google Inc	4/2/2015	4/2/2015	Closed	8/28/2015	Completely Affirmed
<u>15-00217-APPS</u>	15-04583-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amazon.com Inc	4/2/2015	4/2/2015	Closed	4/9/2015	Completely Affirmed
<u>15-00218-APPS</u>	15-03310-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Life Partners Holdings Inc	4/3/2015	4/6/2015	Closed	4/29/2015	Completely Reversed/Remanded
<u>15-00219-APPS</u>	15-03530-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	IXIA	4/3/2015	4/6/2015	Closed	4/8/2015	Completely Affirmed
<u>15-00220-APPS</u>	15-03813-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CVR Energy Inc	4/3/2015	4/6/2015	Closed	4/20/2015	Completely Affirmed
<u>15-00221-APPS</u>	15-03853-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Icahn Enterprises LP	4/3/2015	4/6/2015	Closed	4/10/2015	Completely Affirmed
<u>15-00222-APPS</u>	15-03971-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alliancebernstein Holding LP	4/3/2015	4/6/2015	Closed	4/20/2015	Completely Affirmed
<u>15-00223-APPS</u>	15-01212-FOIA	Donahue, Kerry	Bellinger & Donahue	Commercial Organization	Dendreon stock selling based on inside information by Deerfield Funds	4/7/2015	4/8/2015	Closed	4/24/2015	Completely Affirmed
<u>15-00224-APPS</u>	15-02002-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Great Lakes Dredge & Dock Corp	4/7/2015	4/8/2015	Closed	4/28/2015	Completely Affirmed
<u>15-00225-APPS</u>	15-02570-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intel Corporation	4/7/2015	4/8/2015	Closed	4/30/2015	Completely Reversed/Remanded
<u>15-00226-APPS</u>	15-02727-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diageo PLC	4/7/2015	4/8/2015	Closed	4/28/2015	Completely Affirmed
<u>15-00227-APPS</u>	15-02738-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Targacept Inc	4/7/2015	4/8/2015	Closed	4/21/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00228-APPS</u>	15-01473-FOIA	Kriegman, Katherine	BLOOMBERG L.P.	News Media	David Rosenfeld	4/6/2015	4/13/2015	Closed	10/9/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00229-APPS</u>	15-01212-FOIA	Donahue, Kerry	Bellinger & Donahue	Commercial Organization	Dendreon stock selling based on inside information by Deerfield Funds	4/10/2015	4/10/2015	Closed	4/24/2015	Completely Affirmed
<u>15-00230-APPS</u>	14-05677-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citigroup, Inc	4/9/2015	4/10/2015	Closed	5/13/2015	Completely Affirmed
<u>15-00231-APPS</u>	14-05677-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citigroup, Inc	4/9/2015	4/10/2015	Closed	5/13/2015	Completely Reversed/Remanded

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<u>15-00232-APPS</u>	15-02922-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The PNC Financial Services Group Inc	4/9/2015	4/10/2015	Closed	5/11/2015	Completely Affirmed
<u>15-00233-APPS</u>	15-02373-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ericsson LM Telephone Co	4/10/2015	4/10/2015	Closed	9/1/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00234-APPS</u>	15-02858-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Maxwell Technologies Inc	4/9/2015	4/10/2015	Closed	4/14/2015	Other Reasons
<u>15-00235-APPS</u>	15-00306-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Huntsman Corporation	4/10/2015	4/10/2015	Closed	5/28/2015	Completely Affirmed
<u>15-00236-APPS</u>	15-02690-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baxter International Inc	4/9/2015	4/10/2015	Closed	9/1/2015	Completely Affirmed
<u>15-00237-APPS</u>	15-02904-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Education Management Corporation	4/10/2015	4/10/2015	Closed	8/31/2015	Completely Affirmed
<u>15-00238-APPS</u>	15-03048-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bruker Corporation	4/10/2015	4/10/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00239-APPS</u>	15-03675-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Select Comfort Corporation	4/10/2015	4/10/2015	Closed	9/1/2015	Completely Affirmed
<u>15-00240-APPS</u>	15-00573-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bank of New York Mellon Corporation	4/13/2015	4/13/2015	Closed	9/11/2015	Completely Reversed/Remanded
<u>15-00241-APPS</u>	15-01999-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Exelon Corporation	4/13/2015	4/13/2015	Closed	9/21/2015	Completely Affirmed
<u>15-00242-APPS</u>	15-02685-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amedisys Inc	4/13/2015	4/13/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00243-APPS</u>	15-03050-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Comcast Corporation	4/13/2015	4/13/2015	Closed	4/30/2015	Completely Affirmed
<u>15-00244-APPS</u>	14-04090-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Omnicare Inc	4/13/2015	4/13/2015	Closed	4/14/2015	Other Reasons
<u>15-00245-APPS</u>	15-00013-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Omnicare Inc	4/13/2015	4/13/2015	Closed	9/21/2015	Completely Affirmed
<u>15-00246-APPS</u>	15-04244-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CONN'S Inc	4/14/2015	4/15/2015	Closed	4/21/2015	Completely Affirmed
<u>15-00247-APPS</u>	15-04208-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stein Mart Inc	4/15/2015	4/15/2015	Closed	4/22/2015	Completely Affirmed

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<u>15-00248-APPS</u>	15-03060-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Questcor Pharmaceuticals Inc	4/15/2015	4/15/2015	Closed	4/23/2015	Completely Affirmed
<u>15-00249-APPS</u>	15-04303-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mobile Telesystems OJSC	4/15/2015	4/15/2015	Closed	4/22/2015	Completely Affirmed
<u>15-00250-APPS</u>	15-03992-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hain Celestial Group Inc	4/14/2015	4/15/2015	Closed	4/20/2015	Completely Affirmed
<u>15-00251-APPS</u>	15-02731-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Harris Corporation	4/14/2015	4/15/2015	Closed	4/23/2015	Completely Affirmed
<u>15-00252-APPS</u>	15-02733-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Las Vegas Sands Corporation	4/14/2015	4/15/2015	Closed	4/17/2015	Completely Affirmed
<u>15-00253-APPS</u>	15-03977-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	China Unicom (Hong Kong) Limited	4/14/2015	4/15/2015	Closed	4/28/2015	Completely Affirmed
<u>15-00254-APPS</u>	15-04304-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nice Systems Ltd	4/15/2015	4/16/2015	Closed	4/24/2015	Completely Affirmed
<u>15-00255-APPS</u>	15-02572-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mattel Inc	4/17/2015	4/17/2015	Closed	5/28/2015	Completely Affirmed
<u>15-00256-APPS</u>	15-00023-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sony Corp	4/17/2015	4/17/2015	Closed	9/17/2015	Completely Affirmed
<u>15-00257-APPS</u>	15-03309-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Landauer Inc	4/16/2015	4/16/2015	Closed	4/29/2015	Completely Affirmed
<u>15-00258-APPS</u>	15-03995-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Plug Power Inc	4/16/2015	4/16/2015	Closed	4/24/2015	Completely Affirmed
<u>15-00259-APPS</u>	15-04592-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barrick Gold Corp	4/16/2015	4/16/2015	Closed	4/29/2015	Completely Affirmed
<u>15-00260-APPS</u>	15-04640-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Regeneron Pharmaceuticals Inc	4/17/2015	4/17/2015	Closed	4/24/2015	Completely Affirmed
<u>15-00261-APPS</u>	15-04912-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Key Energy Services Inc	4/17/2015	4/20/2015	Closed	5/11/2015	Completely Affirmed
<u>15-00262-APPS</u>	15-05100-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barnes & Noble Inc	4/17/2015	4/17/2015	Closed	4/24/2015	Completely Affirmed
<u>15-00263-APPS</u>	13-01899-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/16/2015	4/16/2015	Closed	8/21/2015	Completely Affirmed

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<u>15-00264-APPS</u>	14-01226-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/16/2015	4/16/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00265-APPS</u>	14-08941-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/16/2015	4/16/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00266-APPS</u>	14-05473-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/16/2015	4/16/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00267-APPS</u>	15-02371-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/16/2015	4/16/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00268-APPS</u>	14-00015-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	4/16/2015	4/16/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00269-APPS</u>	15-01938-FOIA	Georgiou, Loukia	-	Other	Daniel Koster testimony	4/21/2015	4/21/2015	Closed	5/11/2015	Completely Affirmed
<u>15-00270-APPS</u>	15-00700-FOIA	Mellen, Andrew	LATHAM & WATKINS LLP	Commercial Organization	Rule 10b5-1 interpretive guidance	4/22/2015	4/23/2015	Closed	5/14/2015	Completely Reversed/Remanded
<u>15-00271-APPS</u>	15-00701-FOIA	Mellen, Andrew	LATHAM & WATKINS LLP	Commercial Organization	concerns about the potential for misuse of Rule 10b5-1 Plans	4/22/2015	4/23/2015	Closed	5/14/2015	Completely Reversed/Remanded
<u>15-00272-APPS</u>	15-00011-OIG	Broida, Peter	-	Other	OIG Report 481 memo	4/21/2015	5/4/2015	Closed	7/15/2015	Completely Affirmed
<u>15-00273-APPS</u>	15-02428-FOIA	Kovensky, Joshua	Main Justice	News Media	Cobalt International Energy Inc	5/1/2015	5/12/2015	Closed	5/22/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00274-APPS</u>	15-04596-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	eBay Inc	5/14/2015	5/14/2015	Closed	5/27/2015	Completely Affirmed
<u>15-00275-APPS</u>	15-04697-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Accuray Incorporated	5/14/2015	5/14/2015	Closed	6/9/2015	Completely Affirmed
<u>15-00276-APPS</u>	15-02373-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chevron Corporation	5/14/2015	5/14/2015	Closed	9/17/2015	Completely Reversed/Remanded
<u>15-00277-APPS</u>	15-02720-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bridgepoint Education Inc	5/14/2015	5/14/2015	Closed	8/24/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00278-APPS</u>	15-01945-FOIA	Smith, Trig	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Molycorp Inc	5/14/2015	5/14/2015	Closed	6/17/2015	Completely Affirmed
<u>15-00279-APPS</u>	15-00025-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Parker-Hannifin Corporation	5/15/2015	5/15/2015	Closed	5/27/2015	Completely Reversed/Remanded

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<u>15-00280-APPS</u>	15-04194-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Elbit Systems Ltd	5/15/2015	5/15/2015	Closed	5/27/2015	Completely Affirmed
<u>15-00281-APPS</u>	15-04910-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	InnerWorkings Inc	5/15/2015	5/15/2015	Closed	5/27/2015	Completely Affirmed
<u>15-00282-APPS</u>	15-04904-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Federal-Mogul Holdings Corp	5/15/2015	5/15/2015	Closed	5/27/2015	Completely Affirmed
<u>15-00283-APPS</u>	15-05261-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BorgWarner, Inc	5/15/2015	5/15/2015	Closed	6/22/2015	Completely Reversed/Remanded
<u>15-00284-APPS</u>	15-04601-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Home Depot Inc	5/18/2015	5/18/2015	Closed	6/4/2015	Completely Affirmed
<u>15-00285-APPS</u>	15-04632-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McDermott International Inc	5/18/2015	5/18/2015	Closed	6/4/2015	Completely Reversed/Remanded
<u>15-00286-APPS</u>	15-05437-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McDermott International Inc	5/18/2015	5/18/2015	Closed	6/4/2015	Completely Reversed/Remanded
<u>15-00287-APPS</u>	15-05387-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Las Vegas Sands Corporation	5/18/2015	5/18/2015	Closed	6/4/2015	Completely Affirmed
<u>15-00288-APPS</u>	15-05426-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Caterpillar Inc	5/18/2015	5/18/2015	Closed	6/4/2015	Completely Affirmed
<u>15-00289-APPS</u>	15-05459-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Gap Inc	5/18/2015	5/18/2015	Closed	6/4/2015	Completely Affirmed
<u>15-00290-APPS</u>	15-02727-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diageo PLC	5/19/2015	5/19/2015	Closed	6/2/2015	Completely Affirmed
<u>15-00291-APPS</u>	15-04810-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	International Business Machines Corporation	5/19/2015	5/19/2015	Closed	6/2/2015	Completely Affirmed
<u>15-00292-APPS</u>	15-05113-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BHP Billiton LTD	5/19/2015	5/19/2015	Closed	6/4/2015	Completely Affirmed
<u>15-00293-APPS</u>	15-05259-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BOK Financial Corporation	5/19/2015	5/19/2015	Closed	6/4/2015	Completely Affirmed
<u>15-00294-APPS</u>	15-02847-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citigroup Inc	5/20/2015	5/21/2015	Closed	6/2/2015	Completely Affirmed
<u>15-00295-APPS</u>	15-05466-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Joy Global Inc	5/20/2015	5/21/2015	Closed	6/4/2015	Completely Affirmed

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<u>15-00296-APPS</u>	15-00002-PAAM	Ball, Daniel	Ball Law Offices, P.C.	Commercial Organization	Menachem Bluming	5/20/2015	5/20/2015	Closed	6/14/2016	Completely Affirmed
<u>15-00297-APPS</u>	15-03018-FOIA	Heinrichs, Anne	Columbia Business School	Educational or Non-Commercial Scientific	Wells notices issued in calendar year 2014	5/21/2015	5/21/2015	Closed	7/15/2015	Completely Affirmed
<u>15-00298-APPS</u>	14-00026-REMD	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	Credit Suisse Securities et al Administrative Proceeding No 3-15098	5/27/2015	5/27/2015	Closed	8/20/2015	Completely Reversed/Remanded
<u>15-00299-APPS</u>	14-00027-REMD	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	all communications between any of the respondents in In re Credit Suisse Securities (USA) and the SEC in connection [with] any investigations of those respondents	5/27/2015	5/27/2015	Closed	8/20/2015	Completely Reversed/Remanded
<u>15-00300-APPS</u>	15-02672-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Commercial Organization	Empire State Realty Trust Inc	6/3/2015	6/3/2015	Closed	6/17/2015	Completely Reversed/Remanded
<u>15-00301-APPS</u>	15-02990-FOIA	Prongay, Robert	-	Other	ITT Educational Services Inc	6/2/2015	6/10/2015	Closed	6/22/2015	Completely Affirmed
<u>15-00302-APPS</u>	15-01819-FOIA	Griggs, John	Griggs & Adler, P.C.	Commercial Organization	Empire State Realty Trust Inc	6/1/2015	6/5/2015	Closed	6/25/2015	Completely Reversed/Remanded
<u>15-00303-APPS</u>	15-02549-FOIA	Cooper, Kevin	Wolf Haldenstein Adler Freeman & Herz LLP	Commercial Organization	Baker Tilly Hong Kong Limited Administrative Proceeding File No. 3-16324	6/8/2015	6/17/2015	Closed	6/19/2015	Completely Affirmed
<u>15-00305-APPS</u>	15-02081-FOIA	Samaha, Eli	Newtyn Management	Commercial Organization	LINN Energy	6/23/2015	6/23/2015	Closed	6/24/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00306-APPS</u>	15-03845-FOIA	Hauser, Jeffrey	Revolving Door Project	Other	emails between Lona Nallengara and Debevoise & Plimpton	6/22/2015	6/22/2015	Closed	6/25/2015	Other Reasons
<u>15-00307-APPS</u>	15-03633-FOIA	Neal, David	-	Other	ASTA Funding comment letters	6/23/2015	6/24/2015	Closed	8/11/2015	Completely Affirmed
<u>15-00308-APPS</u>	15-05471-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Marsh & McLennan Companies Inc	6/23/2015	6/24/2015	Closed	7/8/2015	Completely Affirmed
<u>15-00309-APPS</u>	15-05285-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	H&R Block, Inc	6/23/2015	6/24/2015	Closed	7/7/2015	Completely Affirmed
<u>15-00310-APPS</u>	15-05427-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ebix Inc	6/24/2015	6/24/2015	Closed	7/8/2015	Completely Affirmed
<u>15-00311-APPS</u>	15-05457-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CorpBanca	6/23/2015	6/24/2015	Closed	7/7/2015	Completely Reversed/Remanded
<u>15-00312-APPS</u>	15-05464-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ITT Education Services Inc	6/24/2015	6/24/2015	Closed	7/7/2015	Completely Affirmed

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<u>15-00313-APPS</u>	15-02482-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ModusLink Global Solutions Inc	6/24/2015	6/24/2015	Closed	7/8/2015	Completely Affirmed
<u>15-00314-APPS</u>	15-05005-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alexion Pharmaceuticals Inc	6/24/2015	6/24/2015	Closed	7/7/2015	Completely Affirmed
<u>15-00315-APPS</u>	15-02239-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Abbott Laboratories	6/24/2015	6/24/2015	Closed	7/9/2015	Completely Affirmed
<u>15-00316-APPS</u>	15-05776-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Abbott Laboratories	6/24/2015	6/24/2015	Closed	7/10/2015	Completely Affirmed
<u>15-00317-APPS</u>	15-05418-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Accretive Health Inc	6/23/2015	6/24/2015	Closed	7/7/2015	Completely Affirmed
<u>15-00318-APPS</u>	15-04459-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Twitter Inc	6/23/2015	6/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00319-APPS</u>	15-02059-FOIA	Amarel, 16326, Taylor	MuckRock News	News Media	various records related to SEC's cybersecurity program	6/16/2015	6/25/2015	Closed	8/25/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00320-APPS</u>	15-05474-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nu Skin Enterprises Inc	6/26/2015	6/26/2015	Closed	7/16/2015	Completely Affirmed
<u>15-00321-APPS</u>	15-05469-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kansas City Southern	6/25/2015	6/26/2015	Closed	7/13/2015	Completely Reversed/Remanded
<u>15-00322-APPS</u>	15-05422-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BioScrip Inc	6/25/2015	6/26/2015	Closed	7/16/2015	Completely Affirmed
<u>15-00323-APPS</u>	15-04803-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Callaway Golf Co	6/26/2015	6/26/2015	Closed	7/13/2015	Completely Affirmed
<u>15-00324-APPS</u>	15-05794-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Navistar International Corporation	6/26/2015	6/26/2015	Closed	7/13/2015	Completely Affirmed
<u>15-00325-APPS</u>	15-05923-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Markel Corp	6/26/2015	6/26/2015	Closed	7/16/2015	Completely Affirmed
<u>15-00326-APPS</u>	15-00039-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intel Corporation	6/25/2015	6/25/2015	Closed	7/13/2015	Completely Reversed/Remanded
<u>15-00327-APPS</u>	15-00021-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Keurig Green Mountain, Inc., further known as Green Mountain Coffee Roasters, Inc	6/25/2015	6/25/2015	Closed	9/17/2015	Completely Affirmed
<u>15-00328-APPS</u>	15-05462-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	GlaxoSmithKline plc	6/25/2015	6/25/2015	Closed	7/16/2015	Completely Affirmed

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<u>15-00329-APPS</u>	15-00993-FOIA	Ayers, Carl	IA Week	News Media	List of chief compliance officers at registered broker-dealers	6/30/2015	6/30/2015	Closed	8/25/2015	Other Reasons
<u>15-00330-APPS</u>	15-03815-FOIA	Farris, Robert	-	Other	ADV Part II/Brochures	6/30/2015	7/1/2015	Closed	9/28/2015	Completely Reversed/Remanded
<u>15-00331-APPS</u>	15-02725-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Deere & Co	7/1/2015	7/1/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00332-APPS</u>	15-02729-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Genomic Health Inc	7/1/2015	7/1/2015	Closed	9/17/2015	Completely Affirmed
<u>15-00333-APPS</u>	15-03490-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MicroStrategy Incorporated	7/1/2015	7/1/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00334-APPS</u>	15-04807-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Electric Company	7/1/2015	7/1/2015	Closed	7/13/2015	Completely Reversed/Remanded
<u>15-00335-APPS</u>	15-05285-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	H&R Block, Inc	7/1/2015	7/1/2015	Closed	7/7/2015	Completely Affirmed
<u>15-00336-APPS</u>	15-02244-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chesapeake Energy Corporation	7/2/2015	7/2/2015	Closed	7/21/2015	Completely Reversed/Remanded
<u>15-00337-APPS</u>	15-05114-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Big Lots Inc	7/2/2015	7/2/2015	Closed	7/13/2015	Completely Affirmed
<u>15-00338-APPS</u>	15-05434-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Investment Technology Group Inc	7/2/2015	7/2/2015	Closed	7/21/2015	Completely Affirmed
<u>15-00339-APPS</u>	15-05478-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Target Corporation	7/2/2015	7/2/2015	Closed	7/13/2015	Completely Reversed/Remanded
<u>15-00340-APPS</u>	15-05479-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Technologies Corp	7/2/2015	7/2/2015	Closed	7/23/2015	Completely Affirmed
<u>15-00342-APPS</u>	15-02624-FOIA	Liotta, Jeffrey	Whyte Hirschboeck Dudek S.C.	Commercial Organization	Duane Lund	6/29/2015	7/6/2015	Closed	8/19/2015	Completely Affirmed
<u>15-00343-APPS</u>	15-05472-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NASDAQ OMX Group Inc	7/7/2015	7/7/2015	Closed	8/3/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00344-APPS</u>	15-05473-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NQ Mobile Inc	7/7/2015	7/7/2015	Closed	7/21/2015	Completely Affirmed
<u>15-00345-APPS</u>	15-05780-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bio-Rad Laboratories Inc	7/7/2015	7/7/2015	Closed	7/13/2015	Completely Affirmed

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<u>15-00346-APPS</u>	15-06215-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Health Net Inc	7/7/2015	7/7/2015	Closed	7/21/2015	Completely Affirmed
<u>15-00347-APPS</u>	15-06273-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UTI Worldwide Inc	7/7/2015	7/7/2015	Closed	7/13/2015	Completely Affirmed
<u>15-00348-APPS</u>	15-02647-FOIA	Kasen, Jonathan	-	Other	SEC v Heart Tronics Inc et al	7/7/2015	7/8/2015	Closed	10/29/2015	Completely Affirmed
<u>15-00349-APPS</u>	15-04001-FOIA	Martinka, Branislav	-	Other	Allianz SE	7/7/2015	7/8/2015	Closed	7/13/2015	Completely Affirmed
<u>15-00350-APPS</u>	15-02591-FOIA	Hinkes-Jones, Llewellyn	Freelance	Commercial Organization	Vertex Pharmaceuticals	7/13/2015	7/13/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00351-APPS</u>	15-03230-FOIA	Grofman, Udi	Paul, Weiss, Rifkind, Wharton & Garrison LLP	Commercial Organization	Hutchin Hill Capital LP	7/10/2015	7/10/2015	Closed	8/10/2015	Completely Reversed/Remanded
<u>15-00352-APPS</u>	15-00971-FOIA	Egleston, Gregory	Gainey McKenna & Egleston	Commercial Organization	Wal-Mart Stores Inc	6/25/2015	7/13/2015	Closed	7/21/2015	Completely Affirmed
<u>15-00353-APPS</u>	15-03479-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CommVault Systems Inc	7/10/2015	7/10/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00354-APPS</u>	15-04393-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsoft Corp	7/10/2015	7/10/2015	Closed	8/19/2015	Completely Reversed/Remanded
<u>15-00355-APPS</u>	15-04914-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Men's Wearhouse Inc	7/10/2015	7/10/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00356-APPS</u>	15-05294-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Norfolk Southern Corp	7/10/2015	7/10/2015	Closed	9/17/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00357-APPS</u>	15-00074-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PACCAR Inc	7/10/2015	7/10/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00358-APPS</u>	15-03731-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Commercial Organization	Empire State Realty Trust Inc and Public Affairs	7/12/2015	7/14/2015	Closed	7/21/2015	Other Reasons
<u>15-00359-APPS</u>	15-01862-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	cooperation agreement between the SEC and an individual signed by the SEC's Enforcement Division since January 2010	7/13/2015	7/13/2015	Closed	8/5/2015	Completely Reversed/Remanded
<u>15-00360-APPS</u>	15-00451-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bank of America Corporation	7/16/2015	7/17/2015	Closed	9/17/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00361-APPS</u>	15-04642-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tyson Foods Inc	7/16/2015	7/17/2015	Closed	9/28/2015	Completely Affirmed

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<u>15-00362-APPS</u>	15-05463-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Gulfport Energy Corp	7/16/2015	7/17/2015	Closed	8/3/2015	Completely Affirmed
<u>15-00363-APPS</u>	15-05468-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Juniper Networks Inc	7/16/2015	7/17/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00364-APPS</u>	15-05622-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EMC Corporation	7/17/2015	7/17/2015	Closed	8/3/2015	Completely Affirmed
<u>15-00365-APPS</u>	15-05720-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Delek US Holdings Inc	7/17/2015	7/17/2015	Closed	8/3/2015	Completely Affirmed
<u>15-00366-APPS</u>	15-05797-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RPM International Inc	7/17/2015	7/17/2015	Closed	8/10/2015	Completely Affirmed
<u>15-00367-APPS</u>	15-02377-FOIA	Edelman, Richard	empirestatebuildinginvestors.com	Commercial Organization	A list of the dates and recipients of all SEC Enforcement Termination Letters sent in the matter of Empire State Realty Trust Inc	7/15/2015	7/16/2015	Closed	8/3/2015	Completely Reversed/Remanded
<u>15-00368-APPS</u>	15-02363-FOIA	Matthews, Christopher	HMFWeek	News Media	the reported number of incidents of cyber-security from SEC-registered hedge funds in the USA from 2012-14	7/21/2015	7/21/2015	Closed	8/10/2015	Completely Affirmed
<u>15-00369-APPS</u>	15-05006-FOIA	Rilinger, Georg	-	Other	Raj Rajaratnam and Galleon Group	7/24/2015	7/24/2015	Closed	8/18/2015	Completely Affirmed
<u>15-00370-APPS</u>	15-02690-FOIA	Sobczyk, Brian	Stinson Leonard Street LLP	Commercial Organization	Brooke Corporation Investigation Files	7/23/2015	7/24/2015	Closed	8/10/2015	Completely Reversed/Remanded
<u>15-00371-APPS</u>	15-02905-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Emerson Electric Co	7/27/2015	7/27/2015	Closed	8/4/2015	Completely Affirmed
<u>15-00372-APPS</u>	15-06076-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	American Financial Group Inc	7/27/2015	7/27/2015	Closed	9/17/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00373-APPS</u>	15-04705-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Jos A Bank Clothiers Inc	7/27/2015	7/27/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00374-APPS</u>	15-04112-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LHC Group Inc	7/24/2015	7/24/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00375-APPS</u>	15-04456-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SolarCity Corp	7/24/2015	7/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00376-APPS</u>	15-04911-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Integrated Device Technology Inc	7/24/2015	7/24/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00377-APPS</u>	15-03128-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dril-Quip Inc	7/24/2015	7/24/2015	Closed	9/30/2015	Completely Affirmed

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<u>15-00378-APPS</u>	15-04391-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mannatech Inc	7/24/2015	7/24/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00379-APPS</u>	15-06414-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Quanta Services Inc	7/27/2015	7/27/2015	Closed	8/5/2015	Completely Affirmed
<u>15-00380-APPS</u>	15-06649-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Continental Resources Inc	7/27/2015	7/27/2015	Closed	8/11/2015	Completely Reversed/Remanded
<u>15-00381-APPS</u>	15-01963-FOIA	Amarel, 16228, Taylor	MuckRock News	News Media	Investor Complaints Relating To Account Take-Overs Scottrade And Affiliates	7/14/2015	7/30/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00386-APPS</u>	15-05488-FOIA	Millard, Drew	VICE Media	News Media	Bicoastal Holding Company	8/4/2015	8/4/2015	Closed	9/28/2015	Completely Reversed/Remanded
<u>15-00387-APPS</u>	15-05564-FOIA	Koppikar, Nathan	Tarrant Capital IP, LLC	Commercial Organization	Bofi Holding	8/6/2015	8/6/2015	Closed	8/12/2015	Completely Affirmed
<u>15-00388-APPS</u>	15-06652-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	International Game Technology	8/6/2015	8/6/2015	Closed	8/18/2015	Completely Affirmed
<u>15-00389-APPS</u>	15-07320-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Herbalife Ltd	8/6/2015	8/6/2015	Closed	8/13/2015	Completely Reversed/Remanded
<u>15-00390-APPS</u>	15-06416-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Rockwell Collins Inc	8/6/2015	8/6/2015	Closed	8/18/2015	Completely Affirmed
<u>15-00391-APPS</u>	15-05958-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	World Acceptance Corp	8/6/2015	8/6/2015	Closed	8/12/2015	Completely Affirmed
<u>15-00392-APPS</u>	15-04697-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Accuray Incorporated	8/6/2015	8/6/2015	Closed	8/11/2015	Other Reasons
<u>15-00393-APPS</u>	15-06439-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avon Products, Inc	8/10/2015	8/10/2015	Closed	8/18/2015	Completely Affirmed
<u>15-00394-APPS</u>	15-06453-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nationstar Mortgage Holdings Inc	8/10/2015	8/10/2015	Closed	8/13/2015	Completely Affirmed
<u>15-00395-APPS</u>	15-06691-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citrix Systems, Inc	8/10/2015	8/10/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00396-APPS</u>	15-07058-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Legg Mason Inc	8/10/2015	8/10/2015	Closed	8/13/2015	Completely Affirmed
<u>15-00397-APPS</u>	15-07105-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Itron, Inc	8/10/2015	8/10/2015	Closed	8/21/2015	Completely Affirmed

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<u>15-00398-APPS</u>	15-05105-FOIA	Sorin, William	-	Other	Jacob Alexander et al 06 CIV 3844	8/10/2015	8/11/2015	Closed	8/25/2015	Completely Affirmed
<u>15-00400-APPS</u>	15-06668-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Trinity Industries Inc	8/11/2015	8/11/2015	Closed	8/13/2015	Completely Affirmed
<u>15-00401-APPS</u>	15-06698-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Rayonier Inc	8/11/2015	8/11/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00402-APPS</u>	15-06705-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ventas Inc	8/11/2015	8/11/2015	Closed	8/25/2015	Completely Affirmed
<u>15-00403-APPS</u>	15-06915-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tech Data Corporation	8/11/2015	8/11/2015	Closed	8/13/2015	Completely Affirmed
<u>15-00404-APPS</u>	15-07054-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinross Gold Corp	8/11/2015	8/11/2015	Closed	8/21/2015	Completely Affirmed
<u>15-00406-APPS</u>	15-05465-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson Controls Inc	8/12/2015	8/12/2015	Closed	8/24/2015	Completely Affirmed
<u>15-00407-APPS</u>	15-05789-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hertz Global Holdings Inc	8/12/2015	8/12/2015	Closed	8/19/2015	Completely Affirmed
<u>15-00408-APPS</u>	15-05790-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Home Depot Inc	8/12/2015	8/12/2015	Closed	8/19/2015	Completely Affirmed
<u>15-00409-APPS</u>	15-05124-FOIA	Weller, Brian	Northwestern University	Educational or Non-Commercial Scientific	complaints archive from 1995-2015	8/12/2015	8/13/2015	Closed	5/9/2016	Partially Affirmed & Partially Reversed/Remanded
<u>15-00410-APPS</u>	15-02638-FOIA	Dakis, Robert	Morrison Cohen LLP	Commercial Organization	Friehling and Horowitz CPA's PC 09-CV-2467	8/14/2015	8/14/2015	Closed	8/28/2015	Completely Affirmed
<u>15-00411-APPS</u>	15-04712-FOIA	Amarel, Taylor		Other	Century Securities Associates Inc	8/13/2015	8/17/2015	Closed	2/22/2016	Other Reasons
<u>15-00412-APPS</u>	15-00579-FOIA	Rea, Michael	-	Other	Fidelity Capital & Income Fund	8/18/2015	8/18/2015	Closed	9/8/2015	Completely Affirmed
<u>15-00413-APPS</u>	15-05953-FOIA	McDaniel, Brian	-	Other	Ex. 10.51 to the Form 10-K filed by Sabre Holdings Corp	8/18/2015	8/18/2015	Closed	8/28/2015	Other Reasons
<u>15-00414-APPS</u>	15-03843-FOIA	Stroud, Matt	-	News Media	Herbalife Ltd	8/18/2015	8/19/2015	Closed	8/20/2015	Other Reasons
<u>15-00415-APPS</u>	15-05721-FOIA	Amarel, Taylor		Other	phone calls between between SEC employee Mary Jo White and employees or affiliates of the NYSE between July 5, 2015 and July 8, 2015	8/19/2015	8/20/2015	Closed	9/1/2015	Completely Reversed/Remanded

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<u>15-00416-APPS</u>	15-05722-FOIA	Amarel, Taylor		Other	phone calls between the Mary Jo White and NYSE Thomas Farley between July 5, 2015 and July 8, 2015	8/19/2015	8/20/2015	Closed	9/1/2015	Completely Reversed/Remanded
<u>15-00417-APPS</u>	15-05723-FOIA	Amarel, Taylor		Other	All emails between SEC staff and employees, reports or affiliates of Newsweek between July 1, 2015 and July 12, 2015	8/19/2015	8/20/2015	Closed	9/1/2015	Completely Reversed/Remanded
<u>15-00418-APPS</u>	15-05726-FOIA	Amarel, Taylor		Other	meetings between the SEC and NYSE between July 1st, 2015 and Present day	8/19/2015	8/20/2015	Closed	9/1/2015	Other Reasons
<u>15-00419-APPS</u>	15-05727-FOIA	Amarel, Taylor		Other	phone calls between the SEC and the NYSE between July 5, 2015 and July 8, 2015	8/19/2015	8/20/2015	Closed	9/1/2015	Other Reasons
<u>15-00420-APPS</u>	15-05736-FOIA	Amarel, Taylor		Other	phone calls between Mary Jo White and NYSE Chairman Jeff Sprecher between July 5, 2015 and July 8, 2015	8/19/2015	8/20/2015	Closed	9/1/2015	Completely Reversed/Remanded
<u>15-00421-APPS</u>	15-05730-FOIA	Amarel, Taylor		Other	phone calls between the SEC and NYSE Thomas Farley between July 5, 2015 and July 8, 2015	8/25/2015	8/25/2015	Closed	9/1/2015	Other Reasons
<u>15-00422-APPS</u>	15-05731-FOIA	Amarel, Taylor		Other	phone calls between the SEC and NYSE Chairman Jeff Sprecher between July 5, 2015 and July 8, 2015	8/25/2015	8/25/2015	Closed	9/1/2015	Other Reasons
<u>15-00423-APPS</u>	15-02879-FOIA	Gunther, Christopher	Skadden, Arps, Slate, Meagher & Flom LLP	Commercial Organization	Lynn Tilton Patriarch Partners LLC et al File No 3-16462	8/26/2015	8/26/2015	Closed	8/31/2015	Other Reasons
<u>15-00424-APPS</u>	15-02879-FOIA	Gunther, Christopher	Skadden, Arps, Slate, Meagher & Flom LLP	Commercial Organization	Lynn Tilton Patriarch Partners LLC et al File No 3-16462	8/26/2015	8/26/2015	Closed	8/31/2015	Completely Reversed/Remanded
<u>15-00425-APPS</u>	15-05838-FOIA	Amarel, Taylor		Other	Commissioner Voting	8/27/2015	8/27/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00426-APPS</u>	15-05641-FOIA	Zheng, Xin	-	Other	Luis A Aguilar calendar from 12/8/2011 to 7/20/2015	8/27/2015	8/28/2015	Closed	9/2/2015	Other Reasons
<u>15-00427-APPS</u>	15-05642-FOIA	Zheng, Xin	-	Other	Daniel M Gallagher calendar from 11/7/2011 to 7/20/2015	8/27/2015	8/28/2015	Closed	9/2/2015	Other Reasons
<u>15-00428-APPS</u>	15-05750-FOIA	Zheng, Xin	-	Other	Commissioner Paul S Atkins's daily calendar from 8/8/2002 to 8/1/2008	8/27/2015	8/28/2015	Closed	9/2/2015	Other Reasons
<u>15-00429-APPS</u>	15-05752-FOIA	Zheng, Xin	-	Other	Chairman's William H. Donaldson daily calendar from 2/18/2003 to 6/30/2005	8/27/2015	8/28/2015	Closed	9/2/2015	Other Reasons
<u>15-00430-APPS</u>	15-05751-FOIA	Zheng, Xin	-	Other	Commissioner Roel C. Campos's daily calendar from 8/22/2002 to 9/18/2007	8/27/2015	8/28/2015	Closed	9/2/2015	Other Reasons
<u>15-00431-APPS</u>	15-05753-FOIA	Zheng, Xin	-	Other	Chairman's Christopher Cox daily calander from 8/3/2005 to 1/20/2009	8/27/2015	8/28/2015	Closed	9/2/2015	Other Reasons

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<u>15-00432-APPS</u>	14-00052-REMD	Vanderveer, William	Friedman Kaplan Seiler & Adelman LLP	Commercial Organization	The Bear Stearns Companies LLC et al	8/31/2015	8/31/2015	Closed	9/20/2016	Completely Affirmed
<u>15-00433-APPS</u>	15-02856-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lions Gate Entertainment Corporation	9/1/2015	9/1/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00434-APPS</u>	15-07107-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	L-3 Communications Holdings Inc	9/1/2015	9/1/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00435-APPS</u>	15-07148-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Precision Castparts Corporation	9/1/2015	9/1/2015	Closed	9/8/2015	Completely Affirmed
<u>15-00436-APPS</u>	15-07217-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Brookfield Asset Management, Inc	9/1/2015	9/1/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00437-APPS</u>	15-07325-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RTI International Metals Inc	9/1/2015	9/1/2015	Closed	9/8/2015	Completely Affirmed
<u>15-00438-APPS</u>	15-07061-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ocwen Financial Corp	9/2/2015	9/2/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00439-APPS</u>	15-07225-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ford Motor Company	9/2/2015	9/2/2015	Closed	9/8/2015	Completely Affirmed
<u>15-00440-APPS</u>	15-07230-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Korea Electric Power Corp	9/2/2015	9/2/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00441-APPS</u>	15-07310-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AmeriGas Partners LP	9/2/2015	9/2/2015	Closed	9/11/2015	Completely Affirmed
<u>15-00442-APPS</u>	15-08103-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AAR Corp	9/2/2015	9/2/2015	Closed	9/9/2015	Completely Affirmed
<u>15-00443-APPS</u>	15-06000-FOIA	Amarel, Taylor		Other	Scotttrade from January 1 2012 to present day	9/2/2015	9/3/2015	Closed	9/9/2015	Completely Affirmed
<u>15-00444-APPS</u>	15-05913-FOIA	Amarel, Taylor		Other	the number of complaints forwarded by California State Regulators	8/31/2015	8/31/2015	Closed	9/28/2015	Completely Reversed/Remanded
<u>15-00445-APPS</u>	15-05162-FOIA	Amarel, Taylor		Other	all emails between Michael S. Piwowar and Kara M. Stein from June 1, 2015 to present day	9/1/2015	9/3/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00446-APPS</u>	15-04755-FOIA	Amarel, Taylor		Other	the relationship between FINRA and the SEC	8/31/2015	8/31/2015	Closed	9/17/2015	Completely Reversed/Remanded
<u>15-00447-APPS</u>	15-04172-FOIA	Amarel, Taylor		Other	SEC policies with FINRA	9/1/2015	9/1/2015	Closed	9/30/2015	Completely Affirmed

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<u>15-00449-APPS</u>	15-07220-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cisco Systems, Inc	9/3/2015	9/3/2015	Closed	9/22/2015	Completely Affirmed
<u>15-00450-APPS</u>	15-08234-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MasTec Inc	9/3/2015	9/3/2015	Closed	9/8/2015	Completely Affirmed
<u>15-00451-APPS</u>	15-07877-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TD Ameritrade Holding Corporation	9/3/2015	9/3/2015	Closed	9/22/2015	Completely Affirmed
<u>15-00452-APPS</u>	15-08232-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LifeLock Inc	9/3/2015	9/3/2015	Closed	9/8/2015	Completely Affirmed
<u>15-00453-APPS</u>	15-07868-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	American Axle & Manufacturing Holdings Inc	9/3/2015	9/3/2015	Closed	9/22/2015	Completely Affirmed
<u>15-00454-APPS</u>	15-04769-FOIA	Amarel, Taylor		Other	Delta Airlines	9/8/2015	9/8/2015	Closed	2/22/2016	Other Reasons
<u>15-00455-APPS</u>	15-01303-FOIA	Linder, Craig	Dow Jones	Other	responses to Q2 and Q3 of the "attorney's information" section of the whistleblower from TCR	9/9/2015	9/9/2015	Closed	9/19/2016	Completely Reversed/Remanded
<u>15-00456-APPS</u>	15-02083-FOIA	Linder, Craig	Dow Jones	Other	suspicious activity reports	5/18/2015	9/10/2015	Closed	8/5/2016	Completely Affirmed
<u>15-00457-APPS</u>	15-05505-FOIA	Rudolph, Elishama	Sierchio & Company, LLP	Commercial Organization	Joseph Sierchio	8/31/2015	9/10/2015	Closed	9/30/2015	Other Reasons
<u>15-00458-APPS</u>	15-06127-FOIA	Amarel, Taylor		Other	Fidelity from January 1 2012 to present day	9/14/2015	9/14/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00459-APPS</u>	15-06128-FOIA	Amarel, Taylor		Other	E*Trade from January 1 2012 to present day	9/14/2015	9/14/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00460-APPS</u>	15-06129-FOIA	Amarel, Taylor		Other	TradeStation from January 1 2012 to present day	9/14/2015	9/14/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00461-APPS</u>	15-06130-FOIA	Amarel, Taylor		Other	JP Morgan from January 1 2012 to present day	9/14/2015	9/14/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00462-APPS</u>	15-06214-FOIA	Glaption, Melvin	-	Other	AmerisourceBergen	9/16/2015	9/17/2015	Closed	10/16/2015	Completely Affirmed
<u>15-00463-APPS</u>	15-06215-FOIA	Glaption, Melvin	-	Other	Vipshop Holdings	9/16/2015	9/17/2015	Closed	10/16/2015	Completely Affirmed
<u>15-00464-APPS</u>	15-06009-FOIA	Amarel, Taylor		Other	@fidelity.com January 1, 2012 to Present day	9/17/2015	9/17/2015	Closed	9/22/2015	Other Reasons

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<u>15-00465-APPS</u>	15-06010-FOIA	Amarel, Taylor		Other	etrade.com January 1, 2012 to Present day	9/17/2015	9/17/2015	Closed	9/22/2015	Other Reasons
<u>15-00466-APPS</u>	15-06011-FOIA	Amarel, Taylor		Other	@tradedstation.com January 1, 2012 to Present day	9/17/2015	9/17/2015	Closed	9/24/2015	Other Reasons
<u>15-00467-APPS</u>	15-06013-FOIA	Amarel, Taylor		Other	@jpm.com	9/17/2015	9/17/2015	Closed	9/22/2015	Other Reasons
<u>15-00468-APPS</u>	15-06014-FOIA	Amarel, Taylor		Other	@ml.com	9/17/2015	9/17/2015	Closed	9/22/2015	Other Reasons
<u>15-00469-APPS</u>	15-06131-FOIA	Amarel, Taylor		Other	Merrill Lynch from January 1 2012 to present day	9/17/2015	9/17/2015	Closed	9/18/2015	Completely Affirmed
<u>15-00470-APPS</u>	15-08075-T	Amarel, Taylor		Other	Advanced Global Securities Corp	9/18/2015	9/18/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00471-APPS</u>	15-05863-FOIA	Nguyen, Trung	-	Other	ADV forms in their raw htm formats	9/22/2015	9/22/2015	Closed	9/23/2015	Other Reasons
<u>15-00472-APPS</u>	15-05729-FOIA	Amarel, Taylor		Other	emails between Steve Luparello and NYSE Chairman Jeff Sprecher or Tom Farley between July 1, and July 12, 2015	9/24/2015	9/24/2015	Closed	2/22/2016	Other Reasons
<u>15-00473-APPS</u>	15-05942-FOIA	Gardner, Jeffrey	-	Other	any matter under inquiry summary regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00474-APPS</u>	15-05943-FOIA	Gardner, Jeffrey	-	Other	any case closing recommendations regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00475-APPS</u>	15-05944-FOIA	Gardner, Jeffrey	-	Other	any investigation recommendations regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00476-APPS</u>	15-05945-FOIA	Gardner, Jeffrey	-	Other	any investigation reports regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00477-APPS</u>	15-05946-FOIA	Gardner, Jeffrey	-	Other	any investigation opening reports regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00478-APPS</u>	15-05947-FOIA	Gardner, Jeffrey	-	Other	any investigation closing reports regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00479-APPS</u>	15-05948-FOIA	Gardner, Jeffrey	-	Other	any orders of formal investigation regarding the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00480-APPS</u>	15-05949-FOIA	Gardner, Jeffrey	-	Other	any wells notices sent to the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed

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<u>15-00481-APPS</u>	15-05950-FOIA	Gardner, Jeffrey	-	Other	any subpoenas sent to the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00482-APPS</u>	15-05951-FOIA	Gardner, Jeffrey	-	Other	any correspondence between third parties and the commission related to the investigation of the registrant Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00483-APPS</u>	15-05952-FOIA	Gardner, Jeffrey	-	Other	any correspondence between registrant and the Commission related to the investigation of Pershing Square	9/23/2015	9/24/2015	Closed	9/28/2015	Completely Affirmed
<u>15-00484-APPS</u>	15-06714-FOIA	Koppikar, Nathan	Tarrant Capital IP, LLC	Commercial Organization	Bofi Holding - Investigations	9/25/2015	9/25/2015	Closed	10/16/2015	Completely Affirmed
<u>15-00485-APPS</u>	15-00014-REMD	Bisceglie, Anthony	Bisceglie & Walsh	Commercial Organization	Nelson J Obus in the matter of SEC V. Nelson J. Obus Peter F Black Thomas B Strickland et al Civil No 06-cv-3150	9/16/2015	9/28/2015	Closed	5/5/2016	Completely Reversed/Remanded
<u>15-00486-APPS</u>	15-00015-REMD	Bisceglie, Anthony	Bisceglie & Walsh	Commercial Organization	Peter F Black in the matter of SEC V. Nelson J. Obus Peter F Black Thomas B Strickland et al Civil No 06-cv-3150	9/16/2015	9/28/2015	Closed	5/5/2016	Completely Reversed/Remanded
<u>15-00487-APPS</u>	15-00016-REMD	Bisceglie, Anthony	Bisceglie & Walsh	Commercial Organization	Stephen J Zelkowitz in the matter of SEC V. Nelson J Obus Peter F Black Thomas B Strickland et al Civil No 06-cv-3150	9/16/2015	9/28/2015	Closed	5/5/2016	Completely Reversed/Remanded
<u>15-00488-APPS</u>	15-07872-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EOG Resources Inc	9/25/2015	9/25/2015	Closed	9/29/2015	Completely Affirmed
<u>15-00489-APPS</u>	15-08357-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PowerSecure International Inc	9/25/2015	9/25/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00490-APPS</u>	15-08716-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BB&T Corp	9/25/2015	9/25/2015	Closed	9/29/2015	Completely Affirmed
<u>15-00491-APPS</u>	15-08617-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Freeport-McMoRan Copper & Gold Inc	9/25/2015	9/25/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00492-APPS</u>	15-08627-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McGraw-Hill Companies Inc	9/25/2015	9/25/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00493-APPS</u>	15-07103-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intuit, Inc	9/28/2015	9/28/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00494-APPS</u>	15-07875-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Wal-mart Stores Inc	9/28/2015	9/28/2015	Closed	9/30/2015	Partially Affirmed & Partially Reversed/Remanded
<u>15-00495-APPS</u>	15-08717-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cash America International Inc	9/28/2015	9/28/2015	Closed	9/30/2015	Completely Affirmed
<u>15-00496-APPS</u>	15-08814-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Park National Corp	9/28/2015	9/28/2015	Closed	9/30/2015	Completely Affirmed

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<u>15-00497-APPS</u>	15-08867-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Henry, Jack & Associates Inc	9/28/2015	9/28/2015	Closed	9/30/2015	Completely Affirmed

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16-00002-APPS	15-03378-FOIA	Viswanatha, Aruna	Wall Street Journal	News Media	Commission votes since April 8, 2013	10/2/2015	10/5/2015	Closed	7/22/2016	Completely Reversed/Remanded
16-00003-APPS	15-00050-FOIA	Wiltenburg, David	Hughes Hubbard & Reed LLP	Commercial Organization	calculation of Value at Risk ("VaR") by the National Securities Clearing Corporation	10/2/2015	10/2/2015	Closed	12/2/2015	Completely Affirmed
16-00004-APPS	15-08375-T	Amarel, Taylor		Other	Boeing Co	10/5/2015	10/5/2015	Closed	2/22/2016	Other Reasons
16-00005-APPS	15-06913-FOIA	Amarel, Taylor		Other	contracts, including billing history between, the SEC FOIA Office and Thomson Financial from January 1, 2014 to January 1, 2015	10/5/2015	10/5/2015	Closed	10/16/2015	Completely Affirmed
16-00006-APPS	15-01768-FOIA	Thompson, Thomas	-	Other	SEC v Real Estate Partners Inc et al SACV 07-1022	10/5/2015	10/5/2015	Closed	9/8/2016	Completely Reversed/Remanded
16-00007-APPS	15-05923-FOIA	Amarel, Taylor		Other	the number of complaints forwarded by the Comptroller of the Currency from January 1, 2008 Present day	10/5/2015	10/5/2015	Closed	2/22/2016	Other Reasons
16-00008-APPS	15-06350-FOIA	Amarel, Taylor		Other	Scotttrade Closing Narratives	10/5/2015	10/5/2015	Closed	10/20/2015	Completely Affirmed
16-00009-APPS	15-06352-FOIA	Amarel, Taylor		Other	Scotttrade Investigation Opening Narrative	10/5/2015	10/5/2015	Closed	10/20/2015	Completely Affirmed
16-00010-APPS	15-05833-FOIA	Edelman, Richard	empirestatebuildinginvestors.c om	Commercial Organization	Glomar Responses	10/6/2015	10/6/2015	Closed	11/4/2015	Completely Reversed/Remanded
16-00011-APPS	15-02304-FOIA	Edelman, Richard	empirestatebuildinginvestors.c om	Commercial Organization	the Case Closing Report in the matter of Empire State Realty Trust Inc	10/7/2015	10/7/2015	Closed	11/12/2015	Completely Affirmed
16-00012-APPS	15-08537-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Southwest Airlines Co	10/7/2015	10/7/2015	Closed	10/14/2015	Completely Affirmed
16-00013-APPS	15-08727-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Illumina Inc	10/7/2015	10/7/2015	Closed	10/13/2015	Completely Affirmed
16-00014-APPS	15-08949-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sears Holdings Corporation	10/7/2015	10/7/2015	Closed	10/14/2015	Completely Affirmed
16-00015-APPS	15-06534-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AECOM Technology Corporation	10/7/2015	10/7/2015	Closed	2/25/2016	Completely Affirmed
16-00016-APPS	15-06080-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Choice Hotels International Inc	10/7/2015	10/7/2015	Closed	12/2/2015	Partially Affirmed & Partially Reversed/Remanded
16-00017-APPS	15-07319-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chipotle Mexican Grill Inc	10/8/2015	10/8/2015	Closed	10/13/2015	Completely Affirmed
16-00018-APPS	15-07323-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Northern Trust Corporation	10/8/2015	10/8/2015	Closed	10/13/2015	Completely Affirmed
16-00019-APPS	15-08938-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Embraer SA	10/8/2015	10/8/2015	Closed	10/13/2015	Completely Affirmed
16-00020-APPS	15-08950-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Smith & Wesson Holding Corp	10/8/2015	10/8/2015	Closed	10/14/2015	Completely Affirmed
16-00021-APPS	15-09163-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Catamaran Corporation	10/8/2015	10/8/2015	Closed	10/13/2015	Completely Affirmed
16-00023-APPS	15-08947-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Principal Financial Group Inc.	10/9/2015	10/9/2015	Closed	10/20/2015	Completely Affirmed
16-00024-APPS	15-04645-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Western Union Co	10/9/2015	10/9/2015	Closed	10/14/2015	Completely Affirmed
16-00025-APPS	15-08286-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diamond Resorts International, Inc	10/9/2015	10/9/2015	Closed	10/20/2015	Completely Affirmed
16-00026-APPS	15-09154-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	China Unicom Hong Kong Limited	10/9/2015	10/9/2015	Closed	10/20/2015	Completely Affirmed
16-00027-APPS	15-05105-FOIA	Sorin, William	-	Other	Jacob Alexander et al 06 CIV 3844	10/10/2015	10/13/2015	Closed	10/28/2015	Completely Reversed/Remanded
16-00028-APPS	15-04891-FOIA	Bray, Alicia	Sharpless & Stavola, PA	Commercial Organization	all communications between Brenda P Murray Cameron Elliot and Carol Fox Foelak regarding Administrative Proceeding File No 3-16182	10/12/2015	10/13/2015	Closed	11/10/2015	Completely Reversed/Remanded
16-00029-APPS	15-04892-FOIA	Bray, Alicia	Sharpless & Stavola, PA	Commercial Organization	Judge Foelak and Administrative Proceeding 3-16182	10/12/2015	10/13/2015	Closed	11/10/2015	Completely Reversed/Remanded
16-00030-APPS	15-04893-FOIA	Bray, Alicia	Sharpless & Stavola, PA	Commercial Organization	the number of cases that the SEC's Enforcement Division has brought before an administrative law judge	10/12/2015	10/13/2015	Closed	11/10/2015	Completely Reversed/Remanded
16-00031-APPS	15-04894-FOIA	Bray, Alicia	Sharpless & Stavola, PA	Commercial Organization	Judge Cameron Elliot decisions from October 1 2012 July 6 2015	10/12/2015	10/13/2015	Closed	11/10/2015	Completely Reversed/Remanded
16-00032-APPS	15-07184-FOIA	Amarel, Taylor		Other	the specific amounts given to the Whistleblowers	10/13/2015	10/13/2015	Closed	10/28/2015	Partially Affirmed & Partially Reversed/Remanded

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16-00033-APPS	15-05852-FOIA	Amarel, Taylor		Other	regulations that require a broker-dealer to positively confirm the address of a prospective client	9/17/2015	10/14/2015	Closed	11/9/2015	Completely Affirmed
16-00034-APPS	15-06915-FOIA	Amarel, Taylor		Other	contracts, including billing history, between the SEC FOIA Office and Thomson Financial from January 1, 2015 to January 1, 2016	10/19/2015	10/19/2015	Closed	11/20/2015	Completely Affirmed
16-00035-APPS	15-05719-FOIA	Amarel, Taylor		Other	phone calls between Steve Luparello and NYSE Chairman Jeff Sprecher between July 5, 2015 and July 8, 2015	10/20/2015	10/20/2015	Closed	10/28/2015	Completely Affirmed
16-00036-APPS	15-06354-FOIA	Amarel, Taylor		Other	MUI statistical information	10/20/2015	10/20/2015	Closed	11/13/2015	Completely Affirmed
16-00037-APPS	15-08465-T	Amarel, Taylor		Other	RadioShack	10/20/2015	10/20/2015	Closed	10/28/2015	Completely Reversed/Remanded
16-00038-APPS	15-00052-REMD	Griggs, John	Griggs & Adler, P.C.	Commercial Organization	List of chief compliance officers at registered broker-dealers	10/23/2015	10/23/2015	Closed	11/23/2015	Other Reasons
16-00039-APPS	15-06927-FOIA	Amarel, Taylor		Other	number of Whistleblower tips that are followed up upon	10/27/2015	10/27/2015	Closed	11/4/2015	Completely Affirmed
16-00040-APPS	15-06933-FOIA	Amarel, Taylor		Other	average time it takes from a tip to be submitted to the date a Whistleblower payment is made	10/27/2015	10/27/2015	Closed	11/4/2015	Completely Affirmed
16-00041-APPS	16-00295-FOIA	Barfield, Samuel	Patchogue Plymouth Mills Corp.	Other	Samuel Samuel Business of Information	10/29/2015	10/29/2015	Closed	11/2/2015	Other Reasons
16-00042-APPS	15-09351-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sonus Networks Inc	10/30/2015	10/30/2015	Closed	11/3/2015	Completely Affirmed
16-00043-APPS	16-00205-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Akorn Inc	10/30/2015	10/30/2015	Closed	11/2/2015	Completely Affirmed
16-00044-APPS	15-09169-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Halliburton Company	10/30/2015	10/30/2015	Closed	11/3/2015	Completely Affirmed
16-00045-APPS	15-08929-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BP plc	10/30/2015	10/30/2015	Closed	11/2/2015	Completely Affirmed
16-00046-APPS	15-09406-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NuVasive Inc	10/30/2015	10/30/2015	Closed	11/4/2015	Completely Reversed/Remanded
16-00047-APPS	15-08989-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SunTrust Banks Inc.	10/29/2015	10/29/2015	Closed	11/3/2015	Completely Affirmed
16-00048-APPS	15-09182-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SciClone Pharmaceuticals, Inc	10/29/2015	10/29/2015	Closed	11/3/2015	Completely Affirmed
16-00049-APPS	15-09185-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Willbros Group Inc	10/29/2015	10/29/2015	Closed	11/3/2015	Completely Affirmed
16-00050-APPS	15-09334-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Analogic Corporation	10/29/2015	10/29/2015	Closed	11/3/2015	Completely Affirmed
16-00051-APPS	15-09344-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Morningstar Inc	10/30/2015	10/30/2015	Closed	11/3/2015	Completely Affirmed
16-00052-APPS	15-06112-FOIA	Howard, Theodore	-	Other	Freddie Mac	10/30/2015	10/30/2015	Closed	9/20/2016	Completely Reversed/Remanded
16-00053-APPS	15-06896-FOIA	Howard, Theodore	-	Other	Freddie Mac	10/30/2015	10/30/2015	Closed	9/20/2016	Completely Reversed/Remanded
16-00054-APPS	16-00009-FOIA	Howard, Theodore	-	Other	third party deposition	10/30/2015	10/30/2015	Closed	4/27/2016	Completely Affirmed
16-00055-APPS	15-05738-FOIA	Russello, Joseph	Robbins Geller Rudman & Dowd LLP	Commercial Organization	Tile Shop Holdings Inc	10/30/2015	10/30/2015	Closed	11/3/2015	Completely Reversed/Remanded
16-00056-APPS	15-09172-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	KBR, Inc.	11/2/2015	11/2/2015	Closed	11/4/2015	Completely Affirmed
16-00057-APPS	15-09161-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alcoa Inc	11/2/2015	11/2/2015	Closed	11/4/2015	Completely Affirmed
16-00058-APPS	15-08948-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	QUALCOMM Incorporated	11/2/2015	11/2/2015	Closed	11/3/2015	Completely Affirmed
16-00059-APPS	15-09171-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	iRobot Corporation	11/2/2015	11/2/2015	Closed	11/3/2015	Completely Affirmed
16-00060-APPS	15-09179-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Oracle Corporation	11/2/2015	11/2/2015	Closed	11/10/2015	Completely Affirmed
16-00061-APPS	15-04890-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	SEC Chair and use of Administrative Law Judges	11/2/2015	11/2/2015	Closed	11/4/2015	Completely Reversed/Remanded
16-00062-APPS	16-00629-FOIA	Ludwig, Louis	Pomerantz LLP	Commercial Organization	all comment letters signed by Carol Stacey	11/2/2015	11/2/2015	Closed	11/18/2015	Completely Reversed/Remanded
16-00063-APPS	15-09167-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FMC Technologies Inc	11/3/2015	11/3/2015	Closed	11/9/2015	Completely Affirmed
16-00064-APPS	15-09181-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Polycorn Inc	11/3/2015	11/3/2015	Closed	11/9/2015	Completely Affirmed

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16-00065-APPS	16-00117-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Prospect Capital Corp	11/3/2015	11/3/2015	Closed	11/10/2015	Completely Affirmed
16-00066-APPS	15-09180-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Orthofix International N.V	11/3/2015	11/3/2015	Closed	11/13/2015	Completely Affirmed
16-00067-APPS	15-08975-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Devon Energy Corporation	11/3/2015	11/3/2015	Closed	11/9/2015	Completely Affirmed
16-00068-APPS	15-03203-FOIA	Dorsen, David	-	Commercial Organization	authorization to commence SEC v Michael Lauer et al No 03-cv-80612	10/26/2015	11/4/2015	Closed	11/23/2015	Completely Affirmed
16-00069-APPS	15-01819-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Empire State Realty Trust Inc	10/23/2015	11/4/2015	Closed	12/2/2015	Completely Reversed/Remanded
16-00070-APPS	16-00004-OIG	Eaglesham, Jean	The Wall Street Journal	News Media	the interim report of investigation 15-ALJ-0482-I	11/5/2015	11/5/2015	Closed	1/6/2016	Completely Affirmed
16-00071-APPS	15-09165-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Computer Sciences Corporation	11/5/2015	11/5/2015	Closed	11/10/2015	Completely Affirmed
16-00072-APPS	15-09166-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CVR Energy, Inc.	11/5/2015	11/5/2015	Closed	11/10/2015	Completely Affirmed
16-00073-APPS	15-09353-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	St. Jude Medical Inc	11/5/2015	11/5/2015	Closed	11/16/2015	Completely Reversed/Remanded
16-00074-APPS	16-00118-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Super Micro Computer, Inc	11/5/2015	11/5/2015	Closed	11/10/2015	Completely Affirmed
16-00075-APPS	16-00214-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	National CineMedia, Inc	11/5/2015	11/5/2015	Closed	7/25/2016	Completely Affirmed
16-00079-APPS	15-04167-FOIA	Hauser, Jeffrey	Revolving Door Project	News Media	Linda Thomsen	11/9/2015	11/9/2015	Closed	11/30/2015	Partially Affirmed & Partially Reversed/Remanded
16-00080-APPS	15-06925-FOIA	Amarel, Taylor		Other	how many claims are currently in a backlog and have not been reviewed by SEC Staff	11/10/2015	11/10/2015	Closed	2/22/2016	Other Reasons
16-00081-APPS	16-00761-FOIA	b6		Other	b6	11/10/2015	11/10/2015	Closed	2/22/2016	Other Reasons
16-00082-APPS	16-00762-FOIA	b6		Other	b6	11/10/2015	11/10/2015	Closed	11/20/2015	Completely Reversed/Remanded
16-00083-APPS	16-00763-FOIA	b6		Other	b6	11/10/2015	11/10/2015	Closed	11/20/2015	Completely Reversed/Remanded
16-00084-APPS	15-05165-FOIA	Amarel, Taylor		Other	all complaints against Thomas Buck a 33year veteran of Merrill Lynch between July 25, 2013 and present day	11/10/2015	11/10/2015	Closed	2/22/2016	Other Reasons
16-00085-APPS	15-02307-FOIA	Cross, Meredith	WILMER, CUTLER & PICKERING	Commercial Organization	EDGAR and the associated Public Dissemination Service PDS	11/12/2015	11/13/2015	Closed	7/21/2016	Completely Affirmed
16-00086-APPS	15-07316-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cabela's Incorporated	11/12/2015	11/12/2015	Closed	11/16/2015	Completely Affirmed
16-00087-APPS	15-02369-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Walgreen Co	11/12/2015	11/12/2015	Closed	11/20/2015	Completely Affirmed
16-00088-APPS	15-08945-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Peabody Energy Corporation	11/12/2015	11/12/2015	Closed	11/30/2015	Partially Affirmed & Partially Reversed/Remanded
16-00089-APPS	15-09183-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UGI Corp	11/12/2015	11/12/2015	Closed	11/16/2015	Completely Affirmed
16-00090-APPS	15-06423-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Wells Fargo & Company	11/12/2015	11/12/2015	Closed	11/16/2015	Completely Affirmed
16-00091-APPS	15-06077-FOIA	Machleder, Robert	-	Other	registration on Form S-4 filed by Empire State Realty Trust Inc	11/14/2015	11/16/2015	Closed	11/18/2015	Completely Reversed/Remanded
16-00092-APPS	16-00208-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flagstar Bancorp Inc	11/13/2015	11/13/2015	Closed	11/18/2015	Completely Affirmed
16-00093-APPS	16-00326-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ModusLink Global Solutions, Inc	11/13/2015	11/13/2015	Closed	11/20/2015	Completely Affirmed
16-00094-APPS	16-00383-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Verizon Communications Inc	11/13/2015	11/13/2015	Closed	11/20/2015	Completely Affirmed
16-00095-APPS	16-00686-FOIA	Ramos, Tony	Rural Broadband Company, Inc.	Commercial Organization	Rural Broadband Company	11/17/2015	11/17/2015	Closed	11/30/2015	Completely Affirmed
16-00096-APPS	15-05784-FOIA	Wolinsky, Jacob	Value Walk	Other	Hayman Capital	11/17/2015	11/17/2015	Closed	12/8/2015	Completely Affirmed
16-00097-APPS	15-07170-FOIA	Amarel, Taylor		Other	the budget for the OWB for the years of 2013, 2014, 2015	11/19/2015	11/19/2015	Closed	2/22/2016	Other Reasons
16-00098-APPS	16-00012-FOIA	McCall, Joanna	National Corporate Research Ltd	Commercial Organization	Merrill Lynch Capital Markets	11/19/2015	11/19/2015	Closed	2/22/2016	Completely Affirmed
16-00099-APPS	15-00040-REMD	Colapinto, David	Kohn, Kohn & Colapinto, LLP	Commercial Organization	Paul Zindell	11/23/2015	11/23/2015	Closed	5/5/2016	Completely Reversed/Remanded

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16-00100-APPS	16-00090-FOIA	Amarel, Taylor		Other	an informal policy to not rely on privileged material	11/23/2015	11/23/2015	Closed	2/22/2016	Other Reasons
16-00101-APPS	16-01538-FOIA	b6		Other	b6	11/27/2015	11/27/2015	Closed	1/19/2016	Completely Reversed/Remanded
16-00106-APPS	14-02021-FOIA	Johnson, Bret	Ohio State University	Other	Exxon Mobile Corp	11/25/2015	11/25/2015	Closed	4/27/2016	Other Reasons
16-00108-APPS	16-00963-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00109-APPS	16-00964-FOIA	b6		Other	b6	11/26/2015	11/26/2015	Closed	2/22/2016	Other Reasons
16-00110-APPS	16-00965-FOIA	b6		Other	b6	11/26/2015	11/26/2015	Closed	2/22/2016	Other Reasons
16-00111-APPS	16-00966-FOIA	b6		Other	b6	11/26/2015	11/26/2015	Closed	2/22/2016	Other Reasons
16-00112-APPS	16-00967-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00113-APPS	16-00968-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00114-APPS	16-00969-FOIA	b6		Other	b6	11/30/2015	11/30/2015	Closed	2/22/2016	Other Reasons
16-00115-APPS	16-00970-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00116-APPS	16-00971-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00117-APPS	16-00972-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00118-APPS	16-00973-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00119-APPS	16-00974-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00120-APPS	16-00975-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00121-APPS	16-00417-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00122-APPS	16-00433-FOIA	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00123-APPS	16-00001-OIG	b6		Other	b6	11/26/2015	11/27/2015	Closed	2/22/2016	Other Reasons
16-00124-APPS	15-06369-FOIA	Protess, Ben	New York Times	News Media	Newcastle Investment Corp Fortress Investment Corp and Newcastle Investment Holdings	12/2/2015	12/2/2015	Closed	2/18/2016	Completely Affirmed
16-00125-APPS	15-03106-FOIA	Carollo, Russell	Sharesleuth.com	News Media	S. Paul Kelley and Orsus Xelent Technologies Inc	12/2/2015	12/3/2015	Closed	4/15/2016	Completely Reversed/Remanded
16-00126-APPS	15-03107-FOIA	Carollo, Russell	Sharesleuth.com	News Media	S. Paul Kelley and Winland Ocean Shipping Corp	12/2/2015	12/3/2015	Closed	4/15/2016	Completely Reversed/Remanded
16-00127-APPS	15-03108-FOIA	Carollo, Russell	Sharesleuth.com	News Media	S. Paul Kelley and Telestone Technologies Corp	12/2/2015	12/3/2015	Closed	4/15/2016	Completely Reversed/Remanded
16-00128-APPS	15-03109-FOIA	Carollo, Russell	Sharesleuth.com	News Media	S. Paul Kelley and New Oriental Energy & Chemical Corp	12/2/2015	12/3/2015	Closed	4/15/2016	Completely Reversed/Remanded
16-00129-APPS	15-03110-FOIA	Carollo, Russell	Sharesleuth.com	News Media	S. Paul Kelley and China Infrastructure Investment Corp	12/2/2015	12/3/2015	Closed	4/15/2016	Completely Reversed/Remanded
16-00130-APPS	14-08192-FOIA	Carollo, Russell	Sharesleuth.com	News Media	Request Kandi Technologies	12/2/2015	12/3/2015	Closed	2/22/2016	Completely Affirmed
16-00132-APPS	15-06111-FOIA	b6	-	Other	ARO Office Climate and Culture Assessment	12/4/2015	12/4/2015	Closed	4/15/2016	Completely Affirmed
16-00133-APPS	16-00633-FOIA	Ramey, Timothy	Pivotal Research Group	Commercial Organization	Flowers Foods, Inc	12/7/2015	12/7/2015	Closed	12/17/2015	Completely Affirmed
16-00134-APPS	15-09342-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Logitech International SA	12/4/2015	12/4/2015	Closed	12/14/2015	Completely Affirmed
16-00135-APPS	16-00313-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amgen, Inc.	12/4/2015	12/4/2015	Closed	12/15/2015	Completely Affirmed
16-00136-APPS	16-00437-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Advanced Micro Devices Inc	12/4/2015	12/4/2015	Closed	12/15/2015	Completely Affirmed
16-00137-APPS	16-00451-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nice Systems Ltd. (ADR)	12/4/2015	12/4/2015	Closed	12/17/2015	Completely Affirmed
16-00138-APPS	16-00611-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Key Energy Services, Inc	12/4/2015	12/4/2015	Closed	12/15/2015	Completely Affirmed

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16-00139-APPS	15-06649-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Continental Resources Inc	12/7/2015	12/7/2015	Closed	1/8/2016	Completely Affirmed
16-00140-APPS	15-09175-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Merck & Co. Inc.	12/7/2015	12/7/2015	Closed	12/14/2015	Completely Affirmed
16-00141-APPS	15-09397-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Blackstone Group LP	12/7/2015	12/7/2015	Closed	12/14/2015	Completely Affirmed
16-00142-APPS	16-00507-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Almost Family Inc	12/7/2015	12/7/2015	Closed	12/15/2015	Completely Affirmed
16-00143-APPS	16-00509-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barrick Gold Corp	12/7/2015	12/7/2015	Closed	12/15/2015	Completely Affirmed
16-00144-APPS	15-04030-FOIA	Graire, Stanislas	Consul honoraire de France	Commercial Organization	GEOX Group	11/23/2015	12/8/2015	Closed	1/8/2016	Completely Affirmed
16-00145-APPS	15-02442-FOIA	Salerno, Matthew	Latham & Watkins LLP	Commercial Organization	Sandar Biglari	12/7/2015	12/8/2015	Closed	3/16/2016	Completely Reversed/Remanded
16-00146-APPS	10-00011-FIFO	Mishaan, Albert	Kasowitz Benson Torres & Friedman LLP	Commercial Organization	Third Point LLC	12/8/2015	12/8/2015	Closed	3/7/2016	Partially Affirmed & Partially Reversed/Remanded
16-00147-APPS	15-07024-FOIA	O Rourke c/o Aguirre Law, Kevin	Aguirre Law APC	Commercial Organization	former Administrative Law Judge Lillian McEwen	12/3/2015	12/3/2015	Closed	3/8/2016	Completely Affirmed
16-00148-APPS	15-07025-FOIA	O Rourke c/o Aguirre Law, Kevin	Aguirre Law APC	Commercial Organization	Administrative Judge James E Grimes and Administrative Proceeding No 3-16383	12/3/2015	12/8/2015	Closed	3/1/2016	Completely Affirmed
16-00149-APPS	16-01552-FOIA	Lasseigne, Gannon	-	Other	FOIA 13-09663 Horizon Asset Management	12/8/2015	12/8/2015	Closed	2/23/2016	Completely Reversed/Remanded
16-00150-APPS	16-01552-FOIA	Lasseigne, Gannon	-	Other	FOIA 13-09664	12/8/2015	12/8/2015	Closed	2/23/2016	Completely Reversed/Remanded
16-00151-APPS	15-04318-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Xinyuan Real Estate Co Ltd	12/8/2015	12/8/2015	Closed	3/10/2016	Partially Affirmed & Partially Reversed/Remanded
16-00152-APPS	15-04439-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Adobe Systems Inc	12/8/2015	12/8/2015	Closed	2/22/2016	Completely Affirmed
16-00153-APPS	15-05374-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AMCOL International Corp	12/8/2015	12/8/2015	Closed	3/10/2016	Completely Affirmed
16-00154-APPS	15-08813-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	New Oriental Education & Technology Group, Inc	12/8/2015	12/8/2015	Closed	2/22/2016	Completely Affirmed
16-00155-APPS	15-05879-FOIA	Stegawski, Michael	Automated Matching Systems Exchange	Commercial Organization	Tradepoint Financial Networks, PLC, Order Granting Limited Volume Exemption from Registration as an Exchange Under Section 5 of the Securities Exchange Act, 64 FR 14953, Exchange Act Release No. 34-41199, 1999 SEC Lexis 612 (March 29, 1999)	12/9/2015	12/9/2015	Closed	3/4/2016	Completely Affirmed
16-00156-APPS	15-05880-FOIA	Stegawski, Michael	Automated Matching Systems Exchange	Commercial Organization	Self-Regulatory Organizations; Wunsch Auction Systems, Inc.; Order Granting Limited Volume Exemption from Registration as an Exchange Under Section 5 of the Securities Exchange Act, 56 FR 8377, Exchange Act Release 34-28899, 1991 SEC Lexis 283 (Feb. 28, 1991)	12/9/2015	12/9/2015	Closed	3/4/2016	Completely Affirmed
16-00157-APPS	15-05881-FOIA	Stegawski, Michael	Automated Matching Systems Exchange	Commercial Organization	Order Granting Exemption from Registration of the Honolulu Stock Exchange, the Milwaukee Grain and Stock Exchange, and the Minneapolis-St. Paul Stock Exchange, Exchange Act Release 34-416, 1935 SEC Lexis 427 (Nov. 14, 1935)	12/9/2015	12/9/2015	Closed	3/4/2016	Completely Affirmed
16-00158-APPS	15-05932-FOIA	Stegawski, Michael	Automated Matching Systems Exchange	Commercial Organization	communications regarding the Form 1 application of Automated Matching Systems Exchange, LLC, File No. 10-	12/9/2015	12/9/2015	Closed	3/4/2016	Completely Affirmed
16-00159-APPS	15-09352-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Spectrum Pharmaceuticals Inc	12/9/2015	12/9/2015	Closed	12/15/2015	Completely Reversed/Remanded
16-00160-APPS	16-00518-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Janus Capital Group Inc	12/9/2015	12/9/2015	Closed	12/15/2015	Completely Affirmed
16-00161-APPS	16-00642-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diageo PLC	12/9/2015	12/9/2015	Closed	12/15/2015	Completely Affirmed
16-00162-APPS	16-00654-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Radian Group Inc	12/9/2015	12/9/2015	Closed	12/17/2015	Completely Affirmed
16-00163-APPS	16-00598-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Akamai Technologies Inc	12/9/2015	12/9/2015	Closed	12/15/2015	Completely Affirmed
16-00164-APPS	15-00035-OIG	O Rourke c/o Aguirre Law, Kevin	Aguirre Law APC	Commercial Organization	OIG Interim Report of Investigation Case No. 15-AIJ-0482-I	12/3/2015	12/14/2015	Closed	3/8/2016	Completely Reversed/Remanded
16-00165-APPS	15-09337-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flowserve Corp	12/10/2015	12/11/2015	Closed	12/17/2015	Completely Affirmed
16-00166-APPS	16-00599-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avnet Inc	12/10/2015	12/11/2015	Closed	12/17/2015	Completely Affirmed

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16-00167-APPS	16-00609-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ingram Micro Inc	12/10/2015	12/11/2015	Closed	12/15/2015	Completely Affirmed
16-00168-APPS	16-01654-FOIA	Soul, Maya	-	Other	Lakeland Farms Inc	12/10/2015	12/11/2015	Closed	12/16/2015	Other Reasons
16-00169-APPS	15-05365-FOIA	Gottfried, Keith	Morgan Lewis	Commercial Organization	Casella Waste Systems Inc	12/11/2015	12/14/2015	Closed	9/12/2016	Completely Affirmed
16-00170-APPS	16-00824-FOIA	Johnston, Park	-	Other	8000, Inc	12/12/2015	12/14/2015	Closed	2/18/2016	Completely Affirmed
16-00171-APPS	11-00008-FIFO	Ward, Thomas	-	Commercial Organization	Steven A Cohen	12/14/2015	12/14/2015	Closed	3/7/2016	Partially Affirmed & Partially Reversed/Remanded
16-00172-APPS	15-06662-FOIA	Hauser, Jeffrey	Revolving Door Project	News Media	memos and emails written to Mary Jo White or Lona Nallengara between January 1, 2013 and September 15th.	12/14/2015	12/15/2015	Closed	3/10/2016	Completely Reversed/Remanded
16-00173-APPS	10-00003-FIFO	Evans, Michael	The National Security Archive	News Media	Chiquita Brands International	12/15/2015	12/15/2015	Closed	9/27/2016	Completely Reversed/Remanded
16-00174-APPS	15-09335-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bristol-Myers Squibb Company	12/17/2015	12/18/2015	Closed	12/23/2015	Completely Affirmed
16-00175-APPS	15-09339-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hain Celestial Group Inc	12/17/2015	12/18/2015	Closed	12/21/2015	Completely Affirmed
16-00176-APPS	16-00603-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ericsson LM Telephone Co	12/17/2015	12/18/2015	Closed	12/21/2015	Completely Affirmed
16-00177-APPS	16-00930-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CONN's Inc	12/17/2015	12/18/2015	Closed	12/21/2015	Completely Affirmed
16-00178-APPS	16-00978-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CIRCOR International, Inc	12/17/2015	12/18/2015	Closed	12/23/2015	Completely Affirmed
16-00179-APPS	15-09343-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Monsanto Company	12/18/2015	12/18/2015	Closed	12/23/2015	Completely Affirmed
16-00180-APPS	16-00099-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amazon.com Inc	12/18/2015	12/18/2015	Closed	1/4/2016	Completely Affirmed
16-00181-APPS	16-00606-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hess Corporation	12/18/2015	12/18/2015	Closed	1/5/2016	Completely Affirmed
16-00182-APPS	16-00656-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sapient Corp	12/18/2015	12/18/2015	Closed	1/4/2016	Completely Affirmed
16-00183-APPS	16-00645-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hewlett Packard Co	12/18/2015	12/18/2015	Closed	1/5/2016	Completely Affirmed
16-00185-APPS	16-00886-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Administrative Proceeding 3-14619	12/18/2015	12/18/2015	Closed	1/19/2016	Completely Reversed/Remanded
16-00186-APPS	16-01613-FOIA	Dwyer, Johnny	Knopf / Random House	News Media	Lybia and the issue of the Benghazi attacks	12/30/2015	12/30/2015	Closed	3/29/2016	Completely Affirmed
16-00187-APPS	10-00003-FIFO	Evans, Michael	The National Security Archive	News Media	Chiquita Brands International	1/5/2016	1/5/2016	In Process	-	Other Reasons
16-00188-APPS	16-01732-FOIA	Cayer, Aaron	-	Other	Ashland Technology Corporation	1/6/2016	1/6/2016	Closed	1/8/2016	Completely Affirmed
16-00189-APPS	16-01733-FOIA	Cayer, Aaron	-	Other	Ashland Oil and Refining Company	1/6/2016	1/6/2016	Closed	1/8/2016	Completely Affirmed
16-00190-APPS	16-01734-FOIA	Cayer, Aaron	-	Other	CRS Design Associates, CRS Group, Inc	1/6/2016	1/6/2016	Closed	1/8/2016	Completely Affirmed
16-00191-APPS	16-01735-FOIA	Cayer, Aaron	-	Other	AECOM Technology Corporation	1/6/2016	1/6/2016	Closed	1/8/2016	Completely Affirmed
16-00192-APPS	16-01736-FOIA	Cayer, Aaron	-	Other	Daniel, Mann, Johnson, Mendenhall	1/6/2016	1/6/2016	Closed	1/8/2016	Completely Affirmed
16-00194-APPS	16-00914-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kraft Heinz Company, The; fka, Kraft Foods Group	1/11/2016	1/11/2016	Closed	1/14/2016	Completely Affirmed
16-00195-APPS	16-00980-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Eaton Corporation plc	1/11/2016	1/11/2016	Closed	1/13/2016	Completely Affirmed
16-00196-APPS	16-00915-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Jazz Pharmaceuticals plc	1/11/2016	1/11/2016	Closed	1/13/2016	Completely Affirmed
16-00197-APPS	16-01021-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bankrate, Inc	1/11/2016	1/11/2016	Closed	1/14/2016	Completely Affirmed
16-00198-APPS	16-01027-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flotek Industries Inc	1/11/2016	1/11/2016	Closed	1/13/2016	Completely Affirmed
16-00199-APPS	16-00332-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Pegasystems Inc	1/12/2016	1/12/2016	Closed	1/14/2016	Completely Affirmed
16-00200-APPS	16-00336-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TJX Companies Inc	1/12/2016	1/12/2016	Closed	1/13/2016	Completely Affirmed
16-00201-APPS	16-00370-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Novartis AG	1/12/2016	1/12/2016	Closed	2/1/2016	Completely Affirmed

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16-00202-APPS	16-00913-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Allergen Inc.	1/12/2016	1/12/2016	Closed	1/28/2016	Completely Reversed/Remanded
16-00203-APPS	16-01023-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Elbit Systems Ltd	1/12/2016	1/12/2016	Closed	1/13/2016	Completely Affirmed
16-00204-APPS	15-05720-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Delek US Holdings Inc	7/17/2015	1/13/2016	Closed	1/14/2016	Other Reasons
16-00205-APPS	15-05477-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stryker Corporation	7/17/2015	1/13/2016	Closed	1/15/2016	Completely Affirmed
16-00206-APPS	15-02372-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Eli Lilly & Company	7/17/2015	1/13/2016	Closed	1/14/2016	Other Reasons
16-00207-APPS	15-05797-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RPM International Inc	7/17/2015	1/13/2016	Closed	1/14/2016	Other Reasons
16-00208-APPS	15-05622-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EMC Corporation	7/17/2015	1/13/2016	Closed	1/14/2016	Other Reasons
16-00209-APPS	16-01317-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Gap Inc	1/13/2016	1/13/2016	Closed	1/20/2016	Completely Affirmed
16-00210-APPS	16-01222-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Layne Christensen Company	1/13/2016	1/13/2016	Closed	2/2/2016	Completely Affirmed
16-00211-APPS	16-01099-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cameron International Corp	1/13/2016	1/13/2016	Closed	1/15/2016	Completely Affirmed
16-00212-APPS	16-01331-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Vaalco Energy Inc	1/13/2016	1/13/2016	Closed	2/1/2016	Completely Affirmed
16-00213-APPS	16-00639-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baker Hughes Incorporated	1/13/2016	1/13/2016	Closed	2/1/2016	Completely Affirmed
16-00214-APPS	16-01550-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bio-Rad Laboratories, Inc	1/14/2016	1/14/2016	Closed	1/20/2016	Completely Affirmed
16-00215-APPS	16-00614-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Pfizer, Inc	1/14/2016	1/14/2016	Closed	6/24/2016	Completely Affirmed
16-00216-APPS	15-08951-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TEVA Pharmaceutical Industries Limited	1/14/2016	1/14/2016	Closed	1/20/2016	Completely Affirmed
16-00217-APPS	16-01484-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Wintrust Financial Corporation	1/14/2016	1/14/2016	Closed	2/1/2016	Completely Affirmed
16-00218-APPS	16-00369-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mobile Telesystems OJSC	1/14/2016	1/14/2016	Closed	1/15/2016	Completely Affirmed
16-00219-APPS	15-09168-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Google, Inc.	1/15/2016	1/15/2016	Closed	6/27/2016	Completely Affirmed
16-00220-APPS	16-01560-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Navistar International Corporation	1/15/2016	1/15/2016	Closed	1/28/2016	Completely Affirmed
16-00221-APPS	16-01038-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Office Depot, Inc	1/15/2016	1/15/2016	Closed	2/1/2016	Completely Affirmed
16-00222-APPS	16-00528-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Time Warner Inc	1/15/2016	1/15/2016	Closed	1/20/2016	Completely Affirmed
16-00223-APPS	16-01314-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Myriad Genetics Inc	1/19/2016	1/19/2016	Closed	2/1/2016	Completely Affirmed
16-00224-APPS	15-09396-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barnes & Noble Inc	1/19/2016	1/19/2016	Closed	1/20/2016	Completely Reversed/Remanded
16-00225-APPS	16-01437-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Prospect Capital Corp	1/19/2016	1/19/2016	Closed	2/25/2016	Completely Affirmed
16-00226-APPS	15-09155-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	IXIA	1/19/2016	1/19/2016	Closed	1/20/2016	Completely Affirmed
16-00227-APPS	16-01546-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alexion Pharmaceuticals Inc	1/19/2016	1/19/2016	Closed	1/28/2016	Completely Affirmed
16-00228-APPS	16-01631-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Willis Group Holdings Ltd	1/20/2016	1/20/2016	Closed	1/28/2016	Completely Affirmed
16-00229-APPS	16-01561-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NQ Mobile, Inc	1/20/2016	1/20/2016	Closed	2/1/2016	Completely Affirmed
16-00230-APPS	16-01553-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Health Net, Inc	1/20/2016	1/20/2016	Closed	2/2/2016	Completely Affirmed
16-00231-APPS	16-01552-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	GlaxoSmithKline plc	1/20/2016	1/20/2016	Closed	2/2/2016	Completely Affirmed
16-00232-APPS	16-01329-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Walt Disney Company	1/20/2016	1/20/2016	Closed	1/28/2016	Completely Reversed/Remanded
16-00233-APPS	16-00229-FOIA	b6			ARO Office Climate and Culture Assessment	1/25/2016	1/27/2016	Closed	9/30/2016	Completely Affirmed
16-00234-APPS	16-01515-FOIA	Freud, Jessica	Law Office of Walter Reaves, Jr. P.C.	Commercial Organization	James Charles Blue et al 07-CV-00095	1/27/2016	1/27/2016	Closed	1/29/2016	Completely Reversed/Remanded

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16-00235-APPS	15-07019-FOIA	Hauser, Jeffrey	Revolving Door Project	News Media	John White and James Doty	1/27/2016	1/27/2016	Closed	2/19/2016	Other Reasons
16-00236-APPS	16-00193-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Multi-Fineline Electronix, Inc	1/27/2016	1/27/2016	Closed	3/10/2016	Partially Affirmed & Partially Reversed/Remanded
16-00237-APPS	15-09340-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Harris Corporation	1/27/2016	1/27/2016	Closed	4/26/2016	Completely Affirmed
16-00238-APPS	15-04389-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Noble Energy Inc	1/27/2016	1/27/2016	Closed	3/10/2016	Partially Affirmed & Partially Reversed/Remanded
16-00239-APPS	16-00314-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Array BioPharma, Inc	1/27/2016	1/27/2016	Closed	4/28/2016	Completely Affirmed
16-00240-APPS	16-00459-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Vornado Realty Trust	1/28/2016	1/28/2016	Closed	2/26/2016	Completely Affirmed
16-00241-APPS	15-09398-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Clean Harbors Inc	1/28/2016	1/28/2016	Closed	4/28/2016	Completely Affirmed
16-00242-APPS	16-00027-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tetra Technologies, Inc.	1/28/2016	1/28/2016	Closed	2/9/2016	Completely Affirmed
16-00243-APPS	15-05261-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BorgWarner, Inc	1/28/2016	1/28/2016	Closed	5/11/2016	Completely Reversed/Remanded
16-00244-APPS	16-00647-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson & Johnson	1/28/2016	1/28/2016	Closed	3/10/2016	Partially Affirmed & Partially Reversed/Remanded
16-00246-APPS	16-00011-FOIA	Aguirre c/o Aguirre Law, Gary	Aguirre Law APC	Commercial Organization	Life Partners	2/1/2016	2/2/2016	Closed	3/8/2016	Completely Reversed/Remanded
16-00247-APPS	16-01555-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	IIT Education Services, Inc	2/3/2016	2/3/2016	Closed	2/9/2016	Completely Affirmed
16-00248-APPS	16-01036-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McKesson Corporation	2/3/2016	2/3/2016	Closed	2/8/2016	Completely Affirmed
16-00249-APPS	16-01318-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Joy Global, Inc	2/3/2016	2/3/2016	Closed	2/9/2016	Completely Affirmed
16-00250-APPS	16-01549-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Big Lots, Inc	2/3/2016	2/3/2016	Closed	2/8/2016	Completely Affirmed
16-00251-APPS	16-01566-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Technologies Corp	2/3/2016	2/3/2016	Closed	2/18/2016	Completely Affirmed
16-00252-APPS	15-00072-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	International Business Machines	2/4/2016	2/4/2016	Closed	2/26/2016	Partially Affirmed & Partially Reversed/Remanded
16-00253-APPS	16-00525-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PDL BioPharma, Inc	2/4/2016	2/4/2016	Closed	2/9/2016	Completely Affirmed
16-00254-APPS	16-01427-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nektar Therapeutics	2/4/2016	2/4/2016	Closed	2/18/2016	Completely Affirmed
16-00255-APPS	16-01706-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sensient Technologies Corporation	2/4/2016	2/4/2016	Closed	2/11/2016	Completely Affirmed
16-00256-APPS	16-01558-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Markel Corp	2/4/2016	2/4/2016	Closed	2/18/2016	Completely Affirmed
16-00257-APPS	16-00644-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Federated Investors, Inc	2/4/2016	2/4/2016	Closed	2/9/2016	Completely Affirmed
16-00258-APPS	15-05563-FOIA	Bradley, Brian	-	Other	PBS Holdings Whistle Blower Investigation	2/5/2016	2/5/2016	Closed	3/2/2016	Completely Affirmed
16-00259-APPS	16-01568-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Valeant Pharmaceuticals International, Inc	2/8/2016	2/8/2016	Closed	2/22/2016	Completely Affirmed
16-00260-APPS	16-01907-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tesoro Corporation	2/8/2016	2/8/2016	Closed	2/18/2016	Completely Affirmed
16-00261-APPS	16-00191-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Medivation, Inc	2/8/2016	2/8/2016	Closed	3/2/2016	Partially Affirmed & Partially Reversed/Remanded
16-00262-APPS	16-00292-FOIA	Jasinski, Matthew	MotleyRice LLC	Commercial Organization	Administrative Proceeding No. 3-16742	1/28/2016	2/11/2016	Closed	2/18/2016	Completely Affirmed
16-00263-APPS	15-06886-FOIA	Knox, Robert	-	Other	Bank of New York Mellon Corporation Form X-17F-1A	1/29/2016	2/16/2016	Closed	2/19/2016	Completely Affirmed
16-00264-APPS	16-00157-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	statistical information about Registered Investment Advisors	2/16/2016	2/16/2016	Closed	3/30/2016	Other Reasons
16-00265-APPS	16-00163-T	Riffer, Jeff	Elkins Kalt Weintraub Reuben Gartside LLP	Commercial Organization	Barclays	2/22/2016	2/25/2016	Closed	3/15/2016	Completely Affirmed
16-00266-APPS	16-01543-FOIA	Dwyer, Johnny	Knopf / Random House	News Media	Libyan Investment Authority	12/16/2015	2/26/2016	Closed	3/29/2016	Completely Affirmed
16-00267-APPS	16-02057-FOIA	Iaconangelo, David	Columbia University	Educational or Non-Commercial Scientific	former Panamanian president Ricardo Martinelli	3/1/2016	3/2/2016	Closed	3/29/2016	Completely Affirmed
16-00268-APPS	16-01211-E	Nykolyn, Jayne	Zwerling, Schachter & Zwerling, LLP	Commercial Organization	Ex. B T Rowe Price filed on February 28, 1994	2/29/2016	2/29/2016	Closed	4/8/2016	Other Reasons

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16-00269-APPS	16-01548-FOIA	Babcock, Mark	-	Other	Paul James Carrington	2/29/2016	2/29/2016	Closed	3/4/2016	Completely Reversed/Remanded
16-00271-APPS	16-01215-E	Nykolyn, Jayne	Zwerling, Schachter & Zwerling, LLP	Commercial Organization	Ex D T Rowe Price Small Capital	3/7/2016	3/7/2016	Closed	3/29/2016	Completely Affirmed
16-00272-APPS	16-00227-FOIA	Mackenzie, Douglas	-	Other	records sent to the 9/11 Commission	3/8/2016	3/8/2016	Closed	6/24/2016	Completely Affirmed
16-00273-APPS	15-05959-FOIA	Young, Marian	-	Other	Saving2Retire LLC	3/9/2016	3/9/2016	Closed	3/14/2016	Completely Affirmed
16-00275-APPS	16-01175-E	Rourke, Megan	ktMINE	Commercial Organization	Exhibit(s) 10.27 to form S-1 filed on 9/29/2005 by Digital Music Group Inc	3/9/2016	3/9/2016	Closed	4/15/2016	Completely Affirmed
16-00276-APPS	16-02322-FOIA	Gordon, Aaron	VICE Media	News Media	Aubrey McClendon	3/10/2016	3/10/2016	Closed	3/28/2016	Completely Reversed/Remanded
16-00277-APPS	16-02019-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alere Inc	3/10/2016	3/10/2016	Closed	3/16/2016	Completely Affirmed
16-00278-APPS	16-01565-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TerraForm Power, Inc	3/10/2016	3/10/2016	Closed	3/14/2016	Completely Affirmed
16-00279-APPS	16-01748-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Halozyme Therapeutics Inc	3/10/2016	3/10/2016	Closed	3/16/2016	Completely Affirmed
16-00280-APPS	16-00622-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Yahoo! Inc	3/10/2016	3/10/2016	Closed	3/15/2016	Completely Reversed/Remanded
16-00281-APPS	16-01319-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Las Vegas Sands Corporation	3/10/2016	3/10/2016	Closed	3/10/2016	Completely Affirmed
16-00282-APPS	16-02027-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Delek US Holdings, Inc	3/11/2016	3/11/2016	Closed	3/16/2016	Completely Affirmed
16-00283-APPS	16-02117-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MTS Systems Corporation	3/11/2016	3/11/2016	Closed	3/16/2016	Completely Affirmed
16-00284-APPS	16-00649-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Linn Energy LLC	3/11/2016	3/11/2016	Closed	3/15/2016	Partially Affirmed & Partially Reversed/Remanded
16-00285-APPS	16-02119-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Rockwell Automation Inc	3/11/2016	3/11/2016	Closed	3/16/2016	Completely Affirmed
16-00286-APPS	16-01567-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UTI Worldwide, Inc	3/11/2016	3/11/2016	Closed	3/15/2016	Completely Affirmed
16-00287-APPS	16-02212-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	Administrative Law Judge Cameron Elliot	3/3/2016	3/3/2016	Closed	3/28/2016	Completely Affirmed
16-00288-APPS	16-02256-FOIA	Shaw, Stephen	-	Other	Novamerican Steel	3/14/2016	3/14/2016	Closed	4/14/2016	Completely Affirmed
16-00289-APPS	16-02350-FOIA	Cherney, Max	Investor's Business Daily	News Media	Amazon.com No Action Letter from 3/8/16	3/15/2016	3/15/2016	Closed	3/29/2016	Completely Affirmed
16-00290-APPS	16-01562-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nu Skin Enterprises, Inc	3/15/2016	3/15/2016	Closed	3/18/2016	Completely Affirmed
16-00291-APPS	15-08567-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ebix Inc	3/15/2016	3/15/2016	Closed	7/5/2016	Completely Affirmed
16-00292-APPS	16-00989-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Raymond James Financial Inc	3/15/2016	3/15/2016	Closed	3/29/2016	Partially Affirmed & Partially Reversed/Remanded
16-00293-APPS	15-08281-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Commerce Bancshares, Inc	3/15/2016	3/15/2016	Closed	3/18/2016	Completely Affirmed
16-00294-APPS	16-02272-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Continental Holdings Inc	3/15/2016	3/15/2016	Closed	3/29/2016	Completely Affirmed
16-00295-APPS	16-00522-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nokia Corporation	3/16/2016	3/16/2016	Closed	3/18/2016	Completely Affirmed
16-00296-APPS	16-01786-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Davita HealthCare Partners Inc	3/16/2016	3/16/2016	Closed	3/29/2016	Completely Affirmed
16-00297-APPS	16-02020-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alexander & Baldwin Inc	3/16/2016	3/16/2016	Closed	3/18/2016	Completely Affirmed
16-00298-APPS	16-01850-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Greatbatch, Inc	3/16/2016	3/16/2016	Closed	3/29/2016	Completely Affirmed
16-00299-APPS	16-00610-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	InterNAP Network Services Corp	3/16/2016	3/16/2016	Closed	3/29/2016	Completely Affirmed
16-00300-APPS	16-01276-FOIA	Swanquist, Quinn	Georgia State Univeristy	Educational or Non-Commercial Scientific	filing review performed by the Division of Corporate Finance from 2004-2014	3/16/2016	3/16/2016	Closed	9/23/2016	Partially Affirmed & Partially Reversed/Remanded
16-00301-APPS	16-02294-FOIA	Dwyer, Johnny	Knopf / Random House	News Media	the subpoena In the Matter of the Libyan Investment Authority (B-02646)	3/17/2016	3/17/2016	Closed	3/29/2016	Completely Affirmed
16-00302-APPS	15-05439-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MTS Systems Corporation	3/17/2016	3/17/2016	Closed	3/30/2016	Completely Affirmed
16-00303-APPS	16-01890-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diamond Foods Inc	3/17/2016	3/17/2016	Closed	3/29/2016	Completely Reversed/Remanded

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16-00304-APPS	16-02324-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Symantec Corporation	3/17/2016	3/17/2016	Closed	3/30/2016	Completely Affirmed
16-00305-APPS	16-02465-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ICONIX Brand Group, Inc	3/17/2016	3/17/2016	Closed	3/29/2016	Completely Affirmed
16-00306-APPS	16-02402-FOIA	Alexander, Brian	-	Other	EveryWare Global	3/18/2016	3/18/2016	Closed	4/11/2016	Completely Affirmed
16-00307-APPS	15-00036-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diebold, Incorporated	3/18/2016	3/18/2016	Closed	3/29/2016	Completely Affirmed
16-00308-APPS	16-02332-FOIA	Hans, Dwayne	-	Commercial Organization	Hansen Medical, Inc	3/23/2016	3/23/2016	Closed	3/29/2016	Completely Affirmed
16-00309-APPS	15-06122-FOIA	Zheng, Xin	-	Other	whistleblower program between July 21, 2010 and July 21, 2015	3/23/2016	3/23/2016	Closed	4/8/2016	Partially Affirmed & Partially Reversed/Remanded
16-00310-APPS	16-02429-FOIA	Koppikar, Nathan	-	Other	Bofi Federal Bank	3/23/2016	3/24/2016	Closed	3/29/2016	Completely Affirmed
16-00311-APPS	15-03619-FOIA	Nassar, Waleed	Lewis Baach PLLC	Commercial Organization	Administrative Proceeding 3-15314 for Aladdin Capital Management LLC pertaining to depositions taken and/or conducted of Goldman Sachs employees or ex employees concerning Fortius II	3/24/2016	3/24/2016	Closed	4/8/2016	Other Reasons
16-00312-APPS	16-02216-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Comcast Corporation	3/28/2016	3/28/2016	Closed	3/29/2016	Completely Affirmed
16-00313-APPS	15-07114-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Raymond James Financial Inc	3/28/2016	3/28/2016	Closed	4/6/2016	Completely Affirmed
16-00314-APPS	16-01900-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Pier 1 Imports Inc	3/28/2016	3/28/2016	Closed	3/31/2016	Completely Affirmed
16-00315-APPS	16-00660-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Thomson Reuters Corporation	3/28/2016	3/28/2016	Closed	4/1/2016	Other Reasons
16-00316-APPS	16-02310-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AstraZeneca PLC	3/28/2016	3/28/2016	Closed	3/29/2016	Completely Affirmed
16-00317-APPS	16-02756-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hertz Global Holdings Inc	3/29/2016	3/29/2016	Closed	4/1/2016	Completely Affirmed
16-00318-APPS	16-02624-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BOK Financial Corporation	3/29/2016	3/29/2016	Closed	4/8/2016	Other Reasons
16-00319-APPS	16-02193-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Orexigen Therapeutics, Inc	3/29/2016	3/29/2016	Closed	3/31/2016	Completely Affirmed
16-00320-APPS	16-02256-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dun & Bradstreet Corp	3/29/2016	3/29/2016	Closed	4/1/2016	Completely Affirmed
16-00321-APPS	16-02184-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Groupon, Inc	3/29/2016	3/29/2016	Closed	3/31/2016	Completely Affirmed
16-00322-APPS	16-02145-FOIA	Sharp, George	-	Commercial Organization	Tiger Oil and Energy Inc	3/29/2016	3/30/2016	Closed	5/11/2016	Completely Affirmed
16-00323-APPS	16-02056-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Boeing Company, The	3/30/2016	3/30/2016	Closed	4/1/2016	Other Reasons
16-00324-APPS	16-00331-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Omniceil, Inc	3/30/2016	3/30/2016	Closed	4/15/2016	Completely Affirmed
16-00325-APPS	16-02755-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Herbalife Ltd	3/30/2016	3/30/2016	Closed	4/1/2016	Completely Affirmed
16-00326-APPS	16-02313-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bob Evans Farms, Inc	3/30/2016	3/30/2016	Closed	4/1/2016	Completely Affirmed
16-00327-APPS	16-01927-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Boulder Brands Inc (fka Smart Balance Inc.)	3/30/2016	3/30/2016	Closed	4/1/2016	Completely Affirmed
16-00328-APPS	16-01329-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Walt Disney Company	3/31/2016	3/31/2016	Closed	4/15/2016	Completely Affirmed
16-00329-APPS	16-01616-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Endocyte, Inc	3/31/2016	3/31/2016	Closed	4/15/2016	Completely Affirmed
16-00330-APPS	16-00913-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Allergen Inc.	3/31/2016	3/31/2016	Closed	4/15/2016	Completely Affirmed
16-00331-APPS	16-01174-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flextronics International Ltd	3/31/2016	3/31/2016	Closed	4/15/2016	Completely Affirmed
16-00332-APPS	16-00334-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Receptos Inc	3/31/2016	3/31/2016	Closed	4/6/2016	Other Reasons
16-00333-APPS	16-02186-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	the number of on-site examinations of registered investment advisers conducted in FY2015	4/7/2016	4/7/2016	Closed	6/29/2016	Completely Reversed/Remanded
16-00334-APPS	16-02319-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Heartware International Inc	4/13/2016	4/13/2016	Closed	4/19/2016	Completely Affirmed
16-00335-APPS	16-02764-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nationstar Mortgage Holdings, Inc	4/13/2016	4/13/2016	Closed	4/15/2016	Completely Affirmed

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16-00336-APPS	16-02749-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alphabet, Inc. (fka Google, Inc.)	4/13/2016	4/13/2016	Closed	4/19/2016	Completely Affirmed
16-00337-APPS	16-02765-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Quanta Services, Inc	4/13/2016	4/13/2016	Closed	4/19/2016	Completely Affirmed
16-00338-APPS	16-01745-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baidu, Inc. (ADR)	4/13/2016	4/13/2016	Closed	4/15/2016	Completely Reversed/Remanded
16-00339-APPS	15-06077-FOIA	Machleder, Robert	-	Other	registration on Form S-4 filed by Empire State Realty Trust Inc	4/6/2016	4/13/2016	Closed	6/1/2016	Completely Reversed/Remanded
16-00340-APPS	15-00735-FOIA	Ensign, Rachel	Wall Street Journal	News Media	Wall Street Journal	4/14/2016	4/14/2016	Closed	9/27/2016	Partially Affirmed & Partially Reversed/Remanded
16-00341-APPS	15-00736-FOIA	Ensign, Rachel	Wall Street Journal	News Media	Whistleblower Program	4/14/2016	4/14/2016	Closed	9/27/2016	Partially Affirmed & Partially Reversed/Remanded
16-00342-APPS	16-01913-FOIA	Best, Michael	MuckRock	Other	Robert Booth Nichols	4/1/2016	4/13/2016	Closed	8/29/2016	Completely Affirmed
16-00343-APPS	16-02845-FOIA	Deborah, Carrie	-	Other	Administrative Proceeding No. 3-16801 in the matter of Bennett Group Financial Services	4/13/2016	4/13/2016	Closed	4/19/2016	Completely Affirmed
16-00344-APPS	16-02257-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FLIR Systems Inc	4/14/2016	4/14/2016	Closed	4/19/2016	Completely Affirmed
16-00345-APPS	16-02782-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Korea Electric Power Corp	4/14/2016	4/14/2016	Closed	4/19/2016	Completely Affirmed
16-00346-APPS	16-02455-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Endurance International Group Holdings, Inc	4/14/2016	4/14/2016	Closed	4/19/2016	Completely Affirmed
16-00347-APPS	16-02781-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ford Motor Company	4/14/2016	4/14/2016	Closed	4/20/2016	Completely Affirmed
16-00348-APPS	16-02784-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MasTec Inc	4/14/2016	4/14/2016	Closed	4/19/2016	Completely Affirmed
16-00349-APPS	16-02754-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citrix Systems, Inc	4/15/2016	4/15/2016	Closed	4/19/2016	Completely Affirmed
16-00350-APPS	16-02759-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Itron, Inc	4/15/2016	4/15/2016	Closed	4/21/2016	Completely Affirmed
16-00351-APPS	16-02623-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Atmel Corp	4/15/2016	4/15/2016	Closed	4/21/2016	Completely Affirmed
16-00352-APPS	16-02772-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ventas, Inc	4/15/2016	4/15/2016	Closed	4/19/2016	Completely Affirmed
16-00353-APPS	16-02761-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kinross Gold Corp	4/15/2016	4/15/2016	Closed	4/21/2016	Completely Affirmed
16-00354-APPS	16-01692-FOIA	Sabelli, Martin	Law Offices of Martin A. Sabelli	Commercial Organization	SEC v Friedman's Inc CV-05-5516	4/15/2016	4/18/2016	Closed	6/10/2016	Completely Affirmed
16-00355-APPS	16-02025-FOIA	Eagel, Lawrence	Bragar Wexler Eagel & Squire, P.C.	Commercial Organization	Third Avenue Trust	4/19/2016	4/19/2016	Closed	5/10/2016	Completely Reversed/Remanded
16-00356-APPS	16-02762-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Legg Mason Inc	4/20/2016	4/20/2016	Closed	4/25/2016	Completely Affirmed
16-00357-APPS	16-02949-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	comScore, Inc	4/20/2016	4/20/2016	Closed	4/25/2016	Completely Affirmed
16-00358-APPS	16-02758-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	International Game Technology	4/20/2016	4/20/2016	Closed	4/28/2016	Completely Affirmed
16-00359-APPS	16-02371-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chicago Bridge & Iron Co. N.V	4/20/2016	4/20/2016	Closed	4/25/2016	Completely Affirmed
16-00360-APPS	16-02760-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Johnson Controls, Inc	4/20/2016	4/20/2016	Closed	4/28/2016	Completely Affirmed
16-00362-APPS	16-02806-FOIA	Nevins, Matt	-	Other	Dendreon	4/21/2016	4/22/2016	Closed	5/23/2016	Completely Affirmed
16-00363-APPS	16-02891-FOIA	Bhattarai, Abha	The Washington Post	News Media	Cvent Inc	4/22/2016	4/22/2016	Closed	4/26/2016	Completely Affirmed
16-00364-APPS	16-02751-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baxter International, Inc	4/21/2016	4/22/2016	Closed	4/29/2016	Completely Affirmed
16-00365-APPS	16-02770-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tech Data Corporation	4/21/2016	4/22/2016	Closed	4/27/2016	Completely Affirmed
16-00366-APPS	16-02786-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RTI International Media, Inc	4/21/2016	4/22/2016	Closed	4/27/2016	Completely Affirmed
16-00367-APPS	16-03114-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Leidos Holdings Inc	4/21/2016	4/22/2016	Closed	4/29/2016	Completely Affirmed
16-00368-APPS	16-02209-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Abiomed Inc	4/21/2016	4/22/2016	Closed	4/29/2016	Completely Affirmed
16-00369-APPS	16-02056-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Boeing Company, The	4/22/2016	4/22/2016	Closed	4/26/2016	Completely Affirmed

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16-00370-APPS	16-02777-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	L-3 Communications Holdings Inc	4/22/2016	4/22/2016	Closed	4/29/2016	Completely Affirmed
16-00371-APPS	16-03283-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dream Works Animation SKG Inc	4/22/2016	4/22/2016	Closed	4/26/2016	Completely Affirmed
16-00372-APPS	16-02604-FOIA	Aguirre, Gary	Aguirre Law, APC	Commercial Organization	SEC v. Schooler, 12 CV 2164	4/18/2016	4/18/2016	Closed	6/16/2016	Completely Affirmed
16-00373-APPS	16-00025-REMD	Babcock, Mark	-	Other	Paul James Carrington	4/26/2016	4/26/2016	Closed	4/29/2016	Completely Reversed/Remanded
16-00374-APPS	16-02425-FOIA	Edelman, Richard	empirestatebuildinginvest ors.c om	Commercial Organization	Empire State Realty Trust Inc	4/27/2016	4/27/2016	Closed	4/29/2016	Completely Reversed/Remanded
16-00375-APPS	16-03185-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Southwestern Energy Co	4/28/2016	4/28/2016	Closed	5/4/2016	Completely Affirmed
16-00376-APPS	16-03019-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TASER International Inc	4/28/2016	4/28/2016	Closed	5/12/2016	Completely Affirmed
16-00377-APPS	16-03302-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CSX Corp	4/28/2016	4/28/2016	Closed	5/12/2016	Completely Affirmed
16-00378-APPS	16-02768-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	RPM International Inc	4/28/2016	4/28/2016	Closed	5/4/2016	Completely Affirmed
16-00379-APPS	16-02771-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Trinity Industries, Inc	4/28/2016	4/28/2016	Closed	5/4/2016	Completely Affirmed
16-00380-APPS	16-01693-FOIA	Pagnotta, Emiliano	Imperial College Business School	Educational or Non-Commercial Scientific	Source of the SEC insider trading investigations	5/5/2016	5/5/2016	Closed	9/20/2016	Completely Reversed/Remanded
16-00381-APPS	16-02785-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Precision Castparts Corporation	5/6/2016	5/6/2016	Closed	5/9/2016	Completely Affirmed
16-00382-APPS	16-02780-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AmeriGas Partners LP	5/6/2016	5/6/2016	Closed	5/12/2016	Completely Affirmed
16-00383-APPS	16-03003-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ross Stores, Inc	5/6/2016	5/6/2016	Closed	5/9/2016	Completely Affirmed
16-00384-APPS	16-03306-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Goodyear Tire & Rubber Co	5/6/2016	5/6/2016	Closed	5/12/2016	Completely Affirmed
16-00385-APPS	16-03202-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	White Mountains Insurance Group, Ltd	5/6/2016	5/6/2016	Closed	5/9/2016	Completely Affirmed
16-00386-APPS	16-03505-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Park National Corp	5/9/2016	5/9/2016	Closed	5/11/2016	Completely Affirmed
16-00387-APPS	16-02961-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Marvell Technology Group Ltd	5/9/2016	5/9/2016	Closed	5/12/2016	Completely Affirmed
16-00388-APPS	16-03115-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lincoln National Corp	5/9/2016	5/9/2016	Closed	5/13/2016	Other Reasons
16-00389-APPS	16-03190-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Dow Chemical Company	5/9/2016	5/9/2016	Closed	5/13/2016	Completely Affirmed
16-00390-APPS	16-02763-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsoft Corp	5/9/2016	5/9/2016	Closed	5/13/2016	Completely Affirmed
16-00391-APPS	16-03081-FOIA	Horwitz, Jeffrey	The Associated Press	News Media	Trump Hotels and Casino Resorts	5/10/2016	5/10/2016	Closed	6/24/2016	Completely Affirmed
16-00392-APPS	16-03084-FOIA	Horwitz, Jeffrey	The Associated Press	News Media	Trump Entertainment Resorts	5/10/2016	5/10/2016	Closed	5/23/2016	Other Reasons
16-00393-APPS	16-02595-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	DeVry, Inc	5/10/2016	5/10/2016	Closed	5/13/2016	Completely Affirmed
16-00394-APPS	16-03285-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NeuStar Inc	5/10/2016	5/10/2016	Closed	5/13/2016	Completely Affirmed
16-00395-APPS	16-03507-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chipotle Mexican Grill, Inc	5/10/2016	5/10/2016	Closed	5/17/2016	Completely Affirmed
16-00396-APPS	16-02752-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bridgepoint Education, Inc	5/10/2016	5/10/2016	Closed	5/13/2016	Completely Affirmed
16-00397-APPS	16-03289-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	H&R Block Inc	5/10/2016	5/10/2016	Closed	5/13/2016	Completely Reversed/Remanded
16-00398-APPS	16-02870-FOIA	Northrup, Jack	-	Other	10-K Annual Report for Purex Corporation, Ltd. for the years 1964 and 1965	5/10/2016	5/10/2016	Closed	5/23/2016	Completely Affirmed
16-00399-APPS	16-03018-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sonoco Products Co	5/12/2016	5/12/2016	Closed	5/17/2016	Completely Affirmed
16-00400-APPS	16-02767-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Rockwell Collins, Inc	5/12/2016	5/12/2016	Closed	5/19/2016	Completely Affirmed
16-00401-APPS	16-03455-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alnylam Pharmaceuticals, Inc	5/12/2016	5/12/2016	Closed	5/16/2016	Completely Affirmed
16-00402-APPS	16-02769-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Santander Consumer USA Holdings Inc	5/12/2016	5/12/2016	Closed	5/16/2016	Completely Affirmed

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16-00403-APPS	16-02766-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Rayonier, Inc	5/12/2016	5/12/2016	Closed	5/17/2016	Completely Affirmed
16-00404-APPS	15-03489-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsemi Corporation	5/16/2016	5/16/2016	Closed	7/27/2016	Partially Affirmed & Partially Reversed/Remanded
16-00405-APPS	15-08982-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nordstrom Inc.	5/16/2016	5/16/2016	Closed	7/29/2016	Completely Affirmed
16-00406-APPS	15-09353-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	St. Jude Medical Inc	5/16/2016	5/16/2016	Closed	7/27/2016	Completely Affirmed
16-00407-APPS	16-01477-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hershey Company, The	5/16/2016	5/16/2016	Closed	7/29/2016	Completely Affirmed
16-00408-APPS	16-01592-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Seattle Genetics Inc	5/16/2016	5/16/2016	Closed	7/27/2016	Completely Affirmed
16-00409-APPS	16-01205-FOIA	Myers, Roger	Bryan Cave LLP	Commercial Organization	records sufficient to identify all subscribers to the EDGAR PDS System during the period of time when NTT Data was the EDGAR PDS contractor	5/16/2016	5/17/2016	Closed	7/21/2016	Completely Affirmed
16-00410-APPS	16-01206-FOIA	Myers, Roger	Bryan Cave LLP	Commercial Organization	records sufficient to identify all subscribers to the EDGAR PDS System during the period of time when ATTAIN LLC was the EDGAR PDS contractor	5/17/2016	5/17/2016	Closed	7/21/2016	Completely Affirmed
16-00411-APPS	16-00922-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	T-Mobile US, Inc	5/17/2016	5/17/2016	Closed	7/29/2016	Completely Affirmed
16-00412-APPS	15-07109-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LyondellBasell Industries NV	5/17/2016	5/17/2016	Closed	7/27/2016	Completely Affirmed
16-00413-APPS	16-00379-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tesla Motors, Inc	5/17/2016	5/17/2016	Closed	7/27/2016	Partially Affirmed & Partially Reversed/Remanded
16-00414-APPS	16-00659-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Taro Pharmaceutical Industries Ltd	5/17/2016	5/17/2016	Closed	8/8/2016	Completely Affirmed
16-00415-APPS	15-04393-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsoft Corp	5/17/2016	5/17/2016	Closed	8/8/2016	Completely Affirmed
16-00416-APPS	16-00520-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Landauer Inc	5/18/2016	5/18/2016	Closed	7/29/2016	Completely Affirmed
16-00417-APPS	15-04644-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	WellPoint Inc	5/18/2016	5/18/2016	Closed	8/8/2016	Completely Affirmed
16-00418-APPS	15-09354-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Staar Surgical Company	5/18/2016	5/18/2016	Closed	8/8/2016	Partially Affirmed & Partially Reversed/Remanded
16-00419-APPS	15-09164-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CBIZ, Inc	5/18/2016	5/18/2016	Closed	8/8/2016	Completely Affirmed
16-00420-APPS	15-00055-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kansas City Southern	5/18/2016	5/18/2016	Closed	8/8/2016	Completely Affirmed
16-00421-APPS	16-02045-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Basic Energy Services, Inc	5/19/2016	5/19/2016	Closed	8/8/2016	Completely Affirmed
16-00422-APPS	15-02367-FOIA	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Principal Financial Group	5/19/2016	5/19/2016	Closed	8/8/2016	Completely Affirmed
16-00423-APPS	15-08224-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	ConAgra Foods Inc	5/19/2016	5/19/2016	Closed	8/8/2016	Completely Affirmed
16-00424-APPS	16-01545-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Accretive Health Inc	5/19/2016	5/19/2016	Closed	6/10/2016	Completely Affirmed
16-00425-APPS	16-00615-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Regions Financial Corp	5/19/2016	5/19/2016	Closed	6/3/2016	Completely Affirmed
16-00426-APPS	16-02900-FOIA	Pruniski, Jack	Hilburn, Calhoon, Harper, Pruniski & Calhoun, Ltd.	Commercial Organization	any correspondence, between Edgar Page or PageOne Financial, Inc. and UGOC or Walter Uccellini, Bryan Harrison, or any other representative of UGOC prior to December 31.	5/23/2016	5/23/2016	Closed	5/25/2016	Completely Reversed/Remanded
16-00427-APPS	16-02101-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Boardwalk Pipeline Partners, LP	5/20/2016	5/20/2016	Closed	5/31/2016	Other Reasons
16-00428-APPS	15-04386-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hewlett Packard Co	5/20/2016	5/20/2016	Closed	7/29/2016	Completely Affirmed
16-00429-APPS	15-08278-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Carlyle Group LP	5/20/2016	5/20/2016	Closed	8/8/2016	Completely Affirmed
16-00430-APPS	16-01756-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SIFCO Industries Inc	5/20/2016	5/20/2016	Closed	8/8/2016	Completely Affirmed
16-00431-APPS	16-00015-REMD	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barnes & Noble Inc	5/20/2016	5/20/2016	Closed	6/10/2016	Completely Affirmed
16-00432-APPS	16-00015-OIG	Sternstein, Aliya	Government Executive Media Group	Commercial Organization	denial of Expedited Processing	5/23/2016	5/23/2016	Closed	5/25/2016	Completely Affirmed
16-00433-APPS	15-02244-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Chesapeake Energy Corporation	5/23/2016	5/24/2016	Closed	7/29/2016	Completely Affirmed

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16-00434-APPS	15-04645-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Western Union Co	5/23/2016	5/24/2016	Closed	8/8/2016	Completely Affirmed
16-00435-APPS	16-03218-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Microsemi Corporation	5/23/2016	5/24/2016	Closed	7/27/2016	Partially Affirmed & Partially Reversed/Remanded
16-00436-APPS	15-09398-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Clean Harbors Inc	5/23/2016	5/24/2016	Closed	8/8/2016	Partially Affirmed & Partially Reversed/Remanded
16-00437-APPS	15-00451-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bank of America Corporation	5/23/2016	5/24/2016	Closed	8/8/2016	Completely Affirmed
16-00438-APPS	16-03506-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PowerSecure International, Inc	5/24/2016	5/24/2016	Closed	6/3/2016	Completely Affirmed
16-00439-APPS	16-03495-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cisco Systems, Inc	5/24/2016	5/24/2016	Closed	6/3/2016	Completely Affirmed
16-00440-APPS	16-03530-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Union Pacific Corporation	5/24/2016	5/24/2016	Closed	5/25/2016	Completely Affirmed
16-00441-APPS	16-03511-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sears Holdings Corporation	5/24/2016	5/24/2016	Closed	5/31/2016	Completely Affirmed
16-00442-APPS	16-03496-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TD Ameritrade Holding Corporation	5/24/2016	5/24/2016	Closed	6/3/2016	Completely Affirmed
16-00443-APPS	16-03512-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Smith & Wesson Holding Corp	5/25/2016	5/25/2016	Closed	6/1/2016	Completely Affirmed
16-00444-APPS	16-03381-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Humana Inc	5/25/2016	5/25/2016	Closed	6/3/2016	Completely Affirmed
16-00445-APPS	16-03508-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Embraer SA	5/25/2016	5/25/2016	Closed	6/3/2016	Completely Affirmed
16-00446-APPS	16-03513-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Southwest Airlines Co	5/25/2016	5/25/2016	Closed	5/31/2016	Completely Affirmed
16-00447-APPS	16-03498-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BB&T Corp	5/25/2016	5/25/2016	Closed	5/31/2016	Completely Affirmed
16-00448-APPS	16-03448-FOIA	Koppikar, Nathan	-	Other	Bofi Holding	5/25/2016	5/25/2016	Closed	6/6/2016	Other Reasons
16-00449-APPS	16-02783-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LifeLock, Inc	5/27/2016	5/27/2016	Closed	8/8/2016	Completely Affirmed
16-00450-APPS	16-03384-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Retrophin Inc	5/27/2016	5/27/2016	Closed	6/6/2016	Completely Affirmed
16-00451-APPS	16-02635-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lennar Corp	5/27/2016	5/27/2016	Closed	8/8/2016	Completely Affirmed
16-00452-APPS	16-03391-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Praxair Inc	5/27/2016	5/27/2016	Closed	6/6/2016	Completely Affirmed
16-00453-APPS	16-03752-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bofi Holding Inc	5/27/2016	5/27/2016	Closed	5/31/2016	Completely Affirmed
16-00454-APPS	16-03504-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	McGraw-Hill Companies, Inc	6/1/2016	6/1/2016	Closed	6/6/2016	Completely Affirmed
16-00455-APPS	16-03499-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	EOG Resources, Inc	6/1/2016	6/1/2016	Closed	6/6/2016	Completely Reversed/Remanded
16-00456-APPS	16-03453-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citigroup Inc	6/1/2016	6/1/2016	Closed	6/6/2016	Completely Affirmed
16-00457-APPS	16-03502-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Henry, Jack, & Associates Inc	6/1/2016	6/1/2016	Closed	6/6/2016	Completely Affirmed
16-00458-APPS	16-03755-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CorpBanca	6/1/2016	6/1/2016	Closed	8/8/2016	Completely Affirmed
16-00459-APPS	16-02431-FOIA	Sciarani, Kevin	Robbin Geller Rudman & Dowd LLP	Commercial Organization	In the Matter of Deutsche Bank AG Administrative Proceeding File No. 3-16557	5/25/2016	6/3/2016	Closed	6/8/2016	Completely Reversed/Remanded
16-00460-APPS	16-03730-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hologic Inc	6/2/2016	6/2/2016	Closed	6/7/2016	Completely Affirmed
16-00461-APPS	16-03385-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Southern Co	6/2/2016	6/2/2016	Closed	6/7/2016	Completely Affirmed
16-00462-APPS	16-03503-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intuit, Inc	6/2/2016	6/2/2016	Closed	6/6/2016	Completely Affirmed
16-00463-APPS	16-03532-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Weatherford International Ltd	6/2/2016	6/2/2016	Closed	6/7/2016	Completely Affirmed
16-00464-APPS	16-03487-FOIA	b6	-	Other	residential mortgage records	6/2/2016	6/2/2016	Closed	6/15/2016	Completely Affirmed
16-00465-APPS	16-02231-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Salesforce.com Inc	6/7/2016	6/7/2016	Closed	8/8/2016	Completely Affirmed
16-00466-APPS	16-03951-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Akorn Inc	6/7/2016	6/7/2016	Closed	6/8/2016	Completely Affirmed

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16-00467-APPS	16-03874-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Apollo Global Management LLC	6/7/2016	6/7/2016	Closed	6/8/2016	Completely Affirmed
16-00468-APPS	16-03958-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Fifth Street Finance Corp	6/7/2016	6/7/2016	Closed	6/8/2016	Completely Affirmed
16-00469-APPS	16-03501-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Freeport-McMoRan Copper & Gold Inc	6/7/2016	6/7/2016	Closed	6/15/2016	Completely Affirmed
16-00470-APPS	16-03009-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hartford Financial Services Group Inc	6/7/2016	6/7/2016	Closed	8/8/2016	Completely Affirmed
16-00471-APPS	16-02022-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Avid Technology Inc	6/7/2016	6/7/2016	Closed	8/8/2016	Completely Affirmed
16-00472-APPS	16-03217-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Seattle Genetics Inc	6/7/2016	6/7/2016	Closed	7/27/2016	Completely Affirmed
16-00473-APPS	16-02264-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Penn National Gaming Inc	6/7/2016	6/7/2016	Closed	8/8/2016	Completely Affirmed
16-00474-APPS	16-02181-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Facebook Inc	6/7/2016	6/7/2016	Closed	8/8/2016	Completely Affirmed
16-00475-APPS	16-03620-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mannatech Inc	6/8/2016	6/8/2016	Closed	8/8/2016	Completely Affirmed
16-00476-APPS	16-01316-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Caterpillar Inc	6/8/2016	6/8/2016	Closed	8/8/2016	Completely Affirmed
16-00477-APPS	16-03618-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	LHC Group Inc	6/8/2016	6/8/2016	Closed	8/8/2016	Completely Affirmed
16-00478-APPS	16-01893-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	HMS Holdings Corp	6/8/2016	6/8/2016	Closed	8/8/2016	Completely Affirmed
16-00479-APPS	16-02232-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Starbucks Corporation	6/8/2016	6/8/2016	Closed	8/8/2016	Completely Affirmed
16-00480-APPS	16-03474-FOIA	Franklin, Kim	-	Other	Carlyle Group LP & Inside ownership reports	6/7/2016	6/7/2016	Closed	6/10/2016	Other Reasons
16-00481-APPS	16-00014-OIG	b6	-	Other	OIG Report No 432 Oversight of Receivers and Distribution Agents	6/7/2016	6/7/2016	Closed	7/1/2016	Completely Reversed/Remanded
16-00482-APPS	16-03510-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Northern Trust Corporation	6/9/2016	6/9/2016	Closed	8/8/2016	Completely Affirmed
16-00483-APPS	16-01551-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	BioScrip Inc	6/9/2016	6/9/2016	Closed	8/8/2016	Partially Affirmed & Partially Reversed/Remanded
16-00484-APPS	16-03197-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Royal Carribean Cruises Ltd	6/9/2016	6/9/2016	Closed	8/8/2016	Completely Affirmed
16-00485-APPS	16-03251-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Donaldson Company, Inc	6/9/2016	6/9/2016	Closed	8/8/2016	Completely Affirmed
16-00486-APPS	16-03104-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sally Beauty Holdings Inc	6/9/2016	6/9/2016	Closed	8/8/2016	Completely Affirmed
16-00487-APPS	16-02787-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AAR Corp	6/10/2016	6/10/2016	Closed	8/8/2016	Partially Affirmed & Partially Reversed/Remanded
16-00488-APPS	16-03494-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	American Axle & Manufacturing Holdings Inc	6/10/2016	6/10/2016	Closed	8/8/2016	Completely Affirmed
16-00489-APPS	16-02219-FOIA	Ma-Weaver, Jacob	Cable Car Capital LLC	Commercial Organization	Dycom Industries	6/13/2016	6/13/2016	Closed	7/27/2016	Completely Affirmed
16-00490-APPS	16-03550-FOIA	Franklin, Kim	-	Other	Virtu Financial, LLC	6/14/2016	6/14/2016	Closed	6/24/2016	Completely Affirmed
16-00491-APPS	16-03549-FOIA	Franklin, Kim	-	Other	Cerberus Capital Management	6/14/2016	6/14/2016	Closed	6/24/2016	Completely Affirmed
16-00492-APPS	16-03553-FOIA	Franklin, Kim	-	Other	Paladin Capital Group	6/14/2016	6/14/2016	Closed	6/24/2016	Completely Affirmed
16-00493-APPS	16-03419-FOIA	Herlache, Tom	-	Other	Daystar Funding	6/15/2016	6/15/2016	Closed	6/24/2016	Completely Affirmed
16-00494-APPS	16-03193-FOIA	Ewens, Michael	-	Educational or Non-Commercial Scientific	First New England Dental	6/7/2016	6/22/2016	Closed	7/27/2016	Other Reasons
16-00495-APPS	16-04041-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SunEdison, Inc	6/20/2016	6/20/2016	Closed	6/27/2016	Completely Affirmed
16-00496-APPS	16-03970-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SciClone Pharmaceuticals Inc	6/20/2016	6/20/2016	Closed	6/27/2016	Completely Affirmed
16-00497-APPS	16-03980-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Advanced Drainage Systems	6/20/2016	6/20/2016	Closed	6/27/2016	Completely Affirmed
16-00498-APPS	16-03971-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sonus Networks, Inc	6/20/2016	6/20/2016	Closed	6/27/2016	Completely Affirmed
16-00499-APPS	16-03998-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sage Therapeutics, Inc	6/20/2016	6/20/2016	Closed	6/27/2016	Completely Affirmed

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16-00500-APPS	16-04142-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Citizens Inc	6/21/2016	6/21/2016	Closed	6/27/2016	Completely Affirmed
16-00501-APPS	16-03994-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Orbital ATK, Inc., fka Alliant Techsystems, Inc	6/21/2016	6/21/2016	Closed	6/27/2016	Completely Affirmed
16-00502-APPS	16-04260-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Continental Resources, Inc	6/21/2016	6/21/2016	Closed	7/1/2016	Completely Affirmed
16-00503-APPS	16-04195-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ericsson LM Telephone Co	6/21/2016	6/21/2016	Closed	6/27/2016	Completely Affirmed
16-00504-APPS	16-03761-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hewlett Packard Co	6/21/2016	6/21/2016	Closed	7/5/2016	Completely Reversed/Remanded
16-00505-APPS	16-03972-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Suntrust Bank Inc	6/22/2016	6/22/2016	Closed	6/27/2016	Completely Affirmed
16-00506-APPS	16-03554-FOIA	Franklin, Kim	-	Other	Riverfront Investment Group	6/22/2016	6/22/2016	Closed	7/27/2016	Completely Affirmed
16-00507-APPS	16-03496-FOIA	Franklin, Kim	-	Other	TPG Capital	6/22/2016	6/22/2016	Closed	7/27/2016	Completely Affirmed
16-00508-APPS	16-03497-FOIA	Franklin, Kim	-	Other	Blackstone Group	6/22/2016	6/22/2016	Closed	7/27/2016	Completely Affirmed
16-00509-APPS	16-03498-FOIA	Franklin, Kim	-	Other	Carlyle Group	6/22/2016	6/22/2016	Closed	7/27/2016	Completely Affirmed
16-00510-APPS	16-03499-FOIA	Franklin, Kim	-	Other	Kohlberg Kravis Roberts	6/22/2016	6/22/2016	Closed	7/29/2016	Completely Affirmed
16-00511-APPS	16-03500-FOIA	Franklin, Kim	-	Other	Apax Partners	6/22/2016	6/22/2016	Closed	7/29/2016	Completely Affirmed
16-00512-APPS	16-03501-FOIA	Franklin, Kim	-	Other	Apollo Global Management	6/22/2016	6/22/2016	Closed	7/28/2016	Completely Affirmed
16-00513-APPS	16-03502-FOIA	Franklin, Kim	-	Other	Bain Capital Private Equity	6/22/2016	6/22/2016	Closed	7/28/2016	Completely Affirmed
16-00514-APPS	16-02025-FOIA	Eagel, Lawrence	Bragar Wexler Eagel & Squire, P.C.	Commercial Organization	Third Avenue Trust	6/29/2016	6/29/2016	Closed	7/19/2016	Partially Affirmed & Partially Reversed/Remanded
16-00515-APPS	16-01745-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Baidu, Inc. (ADR)	7/1/2016	7/1/2016	Closed	8/8/2016	Partially Affirmed & Partially Reversed/Remanded
16-00516-APPS	16-03973-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tesla Motors, Inc	7/1/2016	7/1/2016	Closed	7/6/2016	Completely Affirmed
16-00517-APPS	16-02819-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Salix Pharmaceuticals Ltd	7/1/2016	7/1/2016	Closed	7/5/2016	Completely Reversed/Remanded
16-00518-APPS	16-03589-FOIA	Cooper, Kevin	Wolf Haldenstein Adler Freeman & Herz LLP	Commercial Organization	Baker Tilly Hong Kong Limited Administrative Proceeding No. 3-16324	6/22/2016	7/5/2016	Closed	7/20/2016	Completely Affirmed
16-00519-APPS	16-02970-FOIA	Hauser, Jeffrey	Revolving Door Project	News Media	all emails between Buddy Donohue and treasury.gov email addresses which mention "James Doty" or PCAOB	7/11/2016	7/11/2016	Closed	7/22/2016	Completely Affirmed
16-00520-APPS	15-06089-FOIA	Michaels, Dave	The Wall Street Journal	News Media	email messages from SEC Chairman Mary Jo White to SEC commissioner Kara Stein from January 1, 2015	7/12/2016	7/12/2016	Closed	9/15/2016	Completely Affirmed
16-00521-APPS	15-06090-FOIA	Michaels, Dave	The Wall Street Journal	News Media	email messages from SEC Chairwoman Mary Jo White to SEC Commissioner Michael Piwowar from January 1, 2015	7/12/2016	7/12/2016	Closed	9/20/2016	Completely Affirmed
16-00522-APPS	16-04145-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ebix Inc	7/13/2016	7/13/2016	Closed	7/19/2016	Completely Affirmed
16-00523-APPS	16-04278-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Natural Foods Inc	7/13/2016	7/13/2016	Closed	7/19/2016	Completely Affirmed
16-00524-APPS	16-03964-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	iRobot Corporation	7/13/2016	7/13/2016	Closed	7/19/2016	Completely Affirmed
16-00525-APPS	16-04205-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PAR Technology Corp	7/13/2016	7/13/2016	Closed	7/19/2016	Completely Affirmed
16-00526-APPS	16-04159-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SEI Investments Co	7/13/2016	7/13/2016	Closed	7/19/2016	Completely Affirmed
16-00527-APPS	16-04696-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lumber Liquidators Holdings Inc	7/14/2016	7/14/2016	Closed	7/19/2016	Completely Affirmed
16-00528-APPS	16-04441-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Sony Corp	7/14/2016	7/14/2016	Closed	7/19/2016	Partially Affirmed & Partially Reversed/Remanded
16-00529-APPS	16-03967-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Morningstar Inc	7/14/2016	7/14/2016	Closed	7/19/2016	Completely Affirmed
16-00530-APPS	16-04383-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	IXIA	7/14/2016	7/14/2016	Closed	7/19/2016	Completely Affirmed
16-00531-APPS	16-03514-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Principal Financial Group Inc	7/14/2016	7/14/2016	Closed	7/19/2016	Completely Affirmed

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16-00532-APPS	16-01694-FOIA	Pomares, Maria	-	Commercial Organization	documents written by or for Mary Jo White to or referencing Linda Thomsen	7/15/2016	7/15/2016	Closed	7/27/2016	Partially Affirmed & Partially Reversed/Remanded
16-00533-APPS	16-02756-FOIA	Cooper, James	-	Other	Edward Dallin ("Dal") Bagley	7/6/2016	7/18/2016	Closed	8/11/2016	Completely Affirmed
16-00534-APPS	16-02755-FOIA	Cooper, James	-	Other	Bryan E Bagley	7/6/2016	7/18/2016	Closed	8/11/2016	Completely Affirmed
16-00535-APPS	10-00011-FIFO	Mishaan, Albert	Kasowitz Benson Torres & Friedman LLP	Commercial Organization	Third Point LLC	7/19/2016	7/19/2016	Closed	9/28/2016	Completely Reversed/Remanded
16-00536-APPS	16-03677-FOIA	Hatzikostantis, George	-	Other	Countrywide Home Loan LP	7/19/2016	7/19/2016	Closed	9/2/2016	Completely Affirmed
16-00537-APPS	16-04633-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Ingersoll-Rand Plc	7/21/2016	7/21/2016	Closed	7/27/2016	Completely Affirmed
16-00538-APPS	16-04481-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Medidata Solutions Inc	7/21/2016	7/21/2016	Closed	8/2/2016	Completely Affirmed
16-00539-APPS	16-04269-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SeaWorld Entertainment Inc.	7/21/2016	7/21/2016	Closed	7/25/2016	Completely Affirmed
16-00540-APPS	16-04367-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Tangoe Inc	7/21/2016	7/21/2016	Closed	8/2/2016	Completely Affirmed
16-00541-APPS	16-04003-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	United Parcel Service, Inc	7/21/2016	7/21/2016	Closed	7/25/2016	Completely Affirmed
16-00542-APPS	16-02211-FOIA	Sweeney, Sean	Halling & Cayo S.C.	Commercial Organization	Administrative Proceeding File No. 3-16657, In the Matter of Welhouse & Associates, Inc	7/25/2016	7/26/2016	Closed	8/11/2016	Completely Reversed/Remanded
16-00543-APPS	15-02777-FOIA	Viswanatha, Aruna	Wall Street Journal	News Media	WKSJ waiver or a Rule 506 bad actor waiver	7/26/2016	7/26/2016	Closed	9/19/2016	Completely Reversed/Remanded
16-00544-APPS	16-03582-FOIA	Carlson, Charles	Carlson & Associates, P.A.	Commercial Organization	Drew Rosenhaus	7/27/2016	7/27/2016	Closed	8/11/2016	Completely Affirmed
16-00545-APPS	16-03952-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alcoa Inc	7/28/2016	7/28/2016	Closed	8/23/2016	Completely Affirmed
16-00546-APPS	16-02778-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lions Gate Entertainment Corp	7/28/2016	7/28/2016	Closed	8/8/2016	Completely Affirmed
16-00547-APPS	16-03636-FOIA	Tran, John	epic.org	Commercial Organization	policies pertaining to searching electronic communication	7/29/2016	7/29/2016	Closed	8/26/2016	Other Reasons
16-00548-APPS	16-04824-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mellanox Technologies Ltd	8/1/2016	8/1/2016	Closed	8/5/2016	Completely Affirmed
16-00549-APPS	16-04661-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	AECOM fka AECOM Technology Corporation	8/1/2016	8/1/2016	Closed	8/3/2016	Completely Affirmed
16-00550-APPS	16-04823-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Medifast Inc	8/1/2016	8/1/2016	Closed	8/9/2016	Completely Affirmed
16-00551-APPS	16-04487-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Stratasys Ltd	8/1/2016	8/1/2016	Closed	8/9/2016	Completely Affirmed
16-00552-APPS	16-04638-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mondelez International Inc	8/1/2016	8/1/2016	Closed	8/5/2016	Completely Affirmed
16-00553-APPS	16-03855-FOIA	Make, Jonathan	Communications Daily	News Media	SEC Press Office procedures	8/1/2016	8/1/2016	Closed	8/22/2016	Completely Reversed/Remanded
16-00555-APPS	16-04385-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	KLA-Tencor Corp	8/2/2016	8/2/2016	Closed	8/9/2016	Completely Affirmed
16-00556-APPS	16-03965-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	KBR Inc	8/2/2016	8/2/2016	Closed	8/5/2016	Completely Affirmed
16-00557-APPS	16-04745-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Logitech International SA	8/2/2016	8/2/2016	Closed	8/9/2016	Completely Affirmed
16-00558-APPS	16-03962-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Halliburton Company	8/2/2016	8/2/2016	Closed	8/5/2016	Completely Affirmed
16-00559-APPS	16-04645-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PayPal Holdings Inc	8/2/2016	8/2/2016	Closed	8/12/2016	Completely Affirmed
16-00560-APPS	16-04713-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Webster Financial Corp	8/3/2016	8/4/2016	Closed	8/29/2016	Completely Affirmed
16-00561-APPS	16-04427-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Career Education Corporation	8/3/2016	8/4/2016	Closed	8/9/2016	Partially Affirmed & Partially Reversed/Remanded
16-00562-APPS	15-06092-FOIA	Michaels, Dave	The Wall Street Journal	News Media	email messages from SEC Chairman Mary Jo White to SEC Commissioner Luis Aguilar from January 1, 2015	8/4/2016	8/8/2016	Closed	9/15/2016	Completely Reversed/Remanded
16-00563-APPS	16-04052-FOIA	Devorah, Carrie	-	Other	Code of Arbitration procedure for Customer Disputes	8/9/2016	8/9/2016	Closed	8/10/2016	Completely Affirmed
16-00564-APPS	16-04079-FOIA	Lange, Jason	Reuters News	News Media	reports on cybersecurity major incidents	8/10/2016	8/10/2016	Closed	9/27/2016	Other Reasons
16-00565-APPS	16-04734-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Anadarko Petroleum Corporation	8/10/2016	8/10/2016	Closed	8/12/2016	Completely Affirmed

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16-00566-APPS	16-04522-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Exxon Mobil Corporation	8/10/2016	8/10/2016	Closed	8/12/2016	Completely Affirmed
16-00567-APPS	16-04950-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	National CineMedia Inc	8/10/2016	8/10/2016	Closed	8/12/2016	Completely Affirmed
16-00568-APPS	16-04897-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CBL & Associates Properties Inc	8/10/2016	8/10/2016	Closed	8/12/2016	Completely Affirmed
16-00569-APPS	16-04794-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	International Bancshares Corporation	8/10/2016	8/10/2016	Closed	8/12/2016	Completely Affirmed
16-00570-APPS	16-04909-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Nice Systems Ltd	8/10/2016	8/10/2016	Closed	8/23/2016	Completely Affirmed
16-00571-APPS	16-03590-FOIA	Weiner, Colin	-	Other	Neustar	8/11/2016	8/11/2016	Closed	8/12/2016	Completely Affirmed
16-00572-APPS	16-03733-FOIA	Collins, Christopher	Times Records News	News Media	penalty payments made by Bobby M Collins in 15 cv 03620	8/11/2016	8/11/2016	Closed	9/14/2016	Completely Reversed/Remanded
16-00573-APPS	16-04945-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flagstar Bancorp Inc	8/11/2016	8/11/2016	Closed	8/12/2016	Completely Affirmed
16-00574-APPS	16-05091-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Navient Corporation	8/11/2016	8/11/2016	Closed	8/22/2016	Completely Affirmed
16-00575-APPS	16-04937-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Alliance One International Inc	8/11/2016	8/11/2016	Closed	8/12/2016	Completely Affirmed
16-00576-APPS	16-04826-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Moody's Corp	8/11/2016	8/11/2016	Closed	8/22/2016	Partially Affirmed & Partially Reversed/Remanded
16-00577-APPS	16-04956-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Super Micro Computer Inc	8/11/2016	8/11/2016	Closed	8/12/2016	Completely Affirmed
16-00578-APPS	16-04153-FOIA	Nations, Scott	-	News Media	Flash Crash of May 6, 2010	8/17/2016	8/17/2016	Closed	8/26/2016	Completely Affirmed
16-00579-APPS	16-04915-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Prospect Capital Corp	8/18/2016	8/18/2016	Closed	8/23/2016	Completely Affirmed
16-00580-APPS	16-04943-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CVR Energy Inc	8/18/2016	8/18/2016	Closed	8/26/2016	Completely Affirmed
16-00581-APPS	16-05144-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Conn's Inc	8/18/2016	8/18/2016	Closed	8/23/2016	Completely Affirmed
16-00582-APPS	16-03290-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Honeywell International Inc	8/18/2016	8/18/2016	Closed	8/23/2016	Completely Affirmed
16-00583-APPS	16-04641-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NASDAQ OMX Group Inc fka Nasdaq Stock Market	8/18/2016	8/18/2016	Closed	8/22/2016	Completely Affirmed
16-00584-APPS	16-03369-FOIA	Palmer, Anne	Ropes & Gray LLP	Commercial Organization	David Einhorn	8/15/2016	8/15/2016	Closed	9/30/2016	Partially Affirmed & Partially Reversed/Remanded
16-00585-APPS	16-04117-FOIA	Devorah, Carrie	-	Other	definition and requirement of employment of IAC by Congress' laws SEC effects	8/22/2016	8/22/2016	Closed	8/26/2016	Completely Affirmed
16-00586-APPS	16-04736-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Blackstone Group LP, The	8/19/2016	8/19/2016	Closed	8/26/2016	Partially Affirmed & Partially Reversed/Remanded
16-00587-APPS	16-04953-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Peabody Energy Corporation	8/19/2016	8/19/2016	Closed	8/23/2016	Partially Affirmed & Partially Reversed/Remanded
16-00588-APPS	16-04947-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Interactive Brokers Group Inc	8/19/2016	8/19/2016	Closed	9/8/2016	Completely Affirmed
16-00589-APPS	16-05096-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Seadrill Ltd	8/19/2016	8/19/2016	Closed	8/29/2016	Completely Affirmed
16-00590-APPS	16-04749-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Monsanto Company	8/19/2016	8/19/2016	Closed	8/29/2016	Completely Affirmed
16-00591-APPS	16-01890-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diamond Foods Inc	8/22/2016	8/22/2016	Closed	8/23/2016	Completely Reversed/Remanded
16-00592-APPS	16-05146-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Diageo PLC	8/22/2016	8/22/2016	Closed	8/30/2016	Completely Affirmed
16-00593-APPS	16-04693-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Lifeway Foods Inc	8/22/2016	8/22/2016	Closed	8/23/2016	Completely Affirmed
16-00594-APPS	16-05137-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Barrick Gold Corp	8/22/2016	8/22/2016	Closed	8/30/2016	Completely Affirmed
16-00595-APPS	16-05147-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flowserve Corp	8/22/2016	8/22/2016	Closed	8/29/2016	Completely Affirmed
16-00596-APPS	16-03085-FOIA	Pacella J.D. (Esq), Jennifer	-	Other	whistleblower statistics	8/22/2016	8/22/2016	Closed	9/16/2016	Completely Affirmed
16-00597-APPS	16-04074-FOIA	Devorah, Carrie	-	Other	all SRO applicants	8/22/2016	8/23/2016	Closed	8/30/2016	Completely Reversed/Remanded
16-00598-APPS	16-04064-FOIA	Pomares, Maria	-	Commercial Organization	Arthur Samberg, Pequot Capital Management and/or David Zilkha	8/23/2016	8/23/2016	Closed	8/31/2016	Completely Reversed/Remanded

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16-00599-APPS	16-04960-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Walgreens Boots Alliance Inc	8/23/2016	8/23/2016	Closed	8/26/2016	Completely Affirmed
16-00600-APPS	16-05039-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	CenturyLink Inc	8/23/2016	8/23/2016	Closed	8/30/2016	Completely Affirmed
16-00601-APPS	16-05149-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Key Energy Services Inc	8/23/2016	8/23/2016	Closed	8/30/2016	Completely Affirmed
16-00602-APPS	16-05163-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hess Corporation	8/23/2016	8/23/2016	Closed	8/31/2016	Completely Affirmed
16-00603-APPS	16-04954-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	PerkinElmer Inc	8/24/2016	8/24/2016	Closed	8/26/2016	Completely Affirmed
16-00604-APPS	16-04903-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	FMC Technologies Inc	8/24/2016	8/24/2016	Closed	8/26/2016	Completely Affirmed
16-00605-APPS	16-05089-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mallinckrodt plc	8/24/2016	8/24/2016	Closed	8/30/2016	Completely Affirmed
16-00606-APPS	16-05157-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bankrate Inc	8/24/2016	8/24/2016	Closed	8/30/2016	Completely Affirmed
16-00607-APPS	16-04958-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	UGI Corp	8/24/2016	8/24/2016	Closed	8/30/2016	Completely Affirmed
16-00608-APPS	16-04915-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Prospect Capital Corp	8/24/2016	8/24/2016	Closed	8/26/2016	Other Reasons
16-00609-APPS	16-03441-FOIA	Eaglesham, Jean	The Wall Street Journal	News Media	every SEC enforcement action from October 1, 2004 through fiscal year 2014	8/24/2016	8/24/2016	Closed	8/30/2016	Completely Reversed/Remanded
16-00610-APPS	16-04224-FOIA	Jesko, Jacqueline	ABC News Nightline	News Media	Hawk Systems Inc	8/24/2016	8/24/2016	Closed	8/30/2016	Completely Reversed/Remanded
16-00611-APPS	16-03838-FOIA	Deborah, Carrie	-	Other	Dawn Bennett / Bennett Group Financial papers	8/24/2016	8/24/2016	Closed	9/12/2016	Completely Reversed/Remanded
16-00612-APPS	16-02584-FOIA	Lewis, Craig	Vanderbilt University	Educational or Non-Commercial Scientific	all of the SEC's Accounting, Auditing and Enforcement Releases (AAERs)	8/25/2016	8/25/2016	Closed	9/14/2016	Completely Affirmed
16-00613-APPS	16-04016-FOIA	Miller, Allison	Office of the Public Defender	Other	b6	8/25/2016	8/25/2016	Closed	8/29/2016	Completely Reversed/Remanded
16-00614-APPS	16-00013-REMD	Pegno, David	Dewey Pegno & Kramarsky LLP	Commercial Organization	Empire State Realty Trust Inc	8/26/2016	8/26/2016	In Process	-	Other Reasons
16-00615-APPS	16-05172-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Novartis AG	9/2/2016	9/2/2016	Closed	9/7/2016	Completely Affirmed
16-00616-APPS	16-05162-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Flotek Industries Inc	9/2/2016	9/2/2016	Closed	9/7/2016	Completely Affirmed
16-00617-APPS	16-05159-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Eaton Corporation	9/2/2016	9/2/2016	Closed	9/7/2016	Completely Affirmed
16-00618-APPS	16-05165-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Kraft Heinz Company, The (fka Kraft Foods Group)	9/2/2016	9/2/2016	Closed	9/7/2016	Completely Affirmed
16-00619-APPS	16-04912-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Orthofix International NV	9/2/2016	9/2/2016	Closed	9/8/2016	Completely Affirmed
16-00620-APPS	16-04395-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Schlumberger Limited	9/6/2016	9/6/2016	Closed	9/7/2016	Completely Affirmed
16-00621-APPS	16-05088-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Hewlett Packard Enterprise Co	9/6/2016	9/6/2016	Closed	9/8/2016	Completely Affirmed
16-00622-APPS	16-05174-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Pegasystems Inc	9/6/2016	9/6/2016	Closed	9/8/2016	Completely Affirmed
16-00623-APPS	16-05050-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Plug Power Inc	9/6/2016	9/6/2016	Closed	9/7/2016	Completely Affirmed
16-00624-APPS	16-05140-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Bristol-Myers Squibb Company	9/6/2016	9/6/2016	Closed	9/8/2016	Completely Affirmed
16-00625-APPS	16-05099-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Transocean Ltd	9/7/2016	9/7/2016	Closed	9/20/2016	Completely Affirmed
16-00626-APPS	16-05169-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Mobile Telesystems OJSC	9/7/2016	9/7/2016	Closed	9/9/2016	Completely Affirmed
16-00627-APPS	16-05158-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Cameron International Corp	9/7/2016	9/7/2016	Closed	9/8/2016	Completely Affirmed
16-00628-APPS	16-05395-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Scientific Games Corporation	9/7/2016	9/7/2016	Closed	9/9/2016	Completely Affirmed
16-00629-APPS	16-05046-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	MannKind Corporation	9/7/2016	9/7/2016	Closed	9/12/2016	Completely Affirmed
16-00630-APPS	16-05320-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Northern Oil & Gas Inc	9/8/2016	9/8/2016	Closed	9/9/2016	Completely Affirmed
16-00631-APPS	16-05319-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	NII Holdings, Inc	9/8/2016	9/8/2016	Closed	9/8/2016	Completely Affirmed

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16-00632-APPS	16-04539-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Twitter Inc	9/8/2016	9/8/2016	Closed	9/22/2016	Other Reasons
16-00633-APPS	16-03745-FOIA	Brill, Melissa	Cozen O'Connor	Commercial Organization	New Lead Holdings, LLC or NewLead Holdings, Ltd	9/8/2016	9/8/2016	Closed	9/21/2016	Completely Affirmed
16-00634-APPS	15-00066-REMD	Haas, Erik	Patterson Belknap Webb & Tyler LLP	Commercial Organization	Credit Suisse Securities et al Administrative Proceeding No 3-15098	9/9/2016	9/9/2016	Closed	9/16/2016	Partially Affirmed & Partially Reversed/Remanded
16-00635-APPS	16-04504-FOIA	Baione-Doda, Ronald	-	Other	Wells Fargo Bank	9/15/2016	9/15/2016	Closed	9/30/2016	Completely Affirmed
16-00636-APPS	16-05433-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	The Hain Celestial Group Inc	9/14/2016	9/14/2016	Closed	9/16/2016	Completely Affirmed
16-00637-APPS	16-00014-OIG	b6	-	Other	OIG Report No 432 Oversight of Receivers and Distribution Agents	9/16/2016	9/16/2016	Closed	9/20/2016	Completely Affirmed
16-00639-APPS	16-05266-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Intrexon	9/20/2016	9/20/2016	Closed	9/21/2016	Completely Affirmed
16-00640-APPS	16-05035-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	American Airlines Group Inc	9/20/2016	9/20/2016	Closed	9/27/2016	Completely Affirmed
16-00641-APPS	16-05309-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	General Cable Corp	9/20/2016	9/20/2016	Closed	9/27/2016	Completely Affirmed
16-00642-APPS	16-04699-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Merck & Co Inc	9/20/2016	9/20/2016	Closed	9/21/2016	Completely Affirmed
16-00643-APPS	16-05176-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	TerraForm Power Inc	9/20/2016	9/20/2016	Closed	9/22/2016	Completely Affirmed
16-00644-APPS	16-05134-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Amazon.com Inc	9/21/2016	9/21/2016	Closed	9/23/2016	Completely Affirmed
16-00645-APPS	16-05141-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Caterpillar Inc	9/21/2016	9/21/2016	Closed	9/23/2016	Completely Affirmed
16-00646-APPS	16-04741-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Harris Corporation	9/21/2016	9/21/2016	Closed	9/27/2016	Completely Affirmed
16-00647-APPS	16-05177-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Time Warner Inc	9/21/2016	9/21/2016	Closed	9/23/2016	Completely Affirmed
16-00648-APPS	16-05383-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Grupo Televisa SAB	9/21/2016	9/21/2016	Closed	9/27/2016	Completely Affirmed
16-00649-APPS	16-04826-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Moody's Corp	9/22/2016	9/22/2016	Closed	9/23/2016	Other Reasons
16-00650-APPS	16-05596-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Investment Technology Group, Inc	9/22/2016	9/22/2016	Closed	9/27/2016	Completely Affirmed
16-00651-APPS	16-05243-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	SPX Corporation	9/22/2016	9/22/2016	Closed	9/23/2016	Partially Affirmed & Partially Reversed/Remanded
16-00652-APPS	16-05384-T	Gavin, J. Patrick	Probes Reporter, LLC	News Media	Invesco Ltd	9/22/2016	9/22/2016	Closed	9/27/2016	Completely Affirmed
16-00653-APPS	16-03862-FOIA	Kaufman, Brett	ACLU	Commercial Organization	parallel construction	9/27/2016	9/30/2016	In Process	-	-