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UNITED STATES OFFICE OF
GOVERNMENT ETHICS



November 4, 2016

VIA ELECTRONIC MAIL ONLY

Tracking No: OGE FOIA FY 17/002

The U.S. Office of Government Ethics (OGE) is granting in part and denying in part your Freedom of Information Act (FOIA) request, which was received by the OGE FOIA Office on October 31, 2016. In your request, you asked for a “copy of the Freedom of Information Act APPEALS Log for the Office of Government Ethics for the time period since 2006.”

OGE does not maintain separate FOIA appeals logs. Instead, we track appeals information in our FOIA logs. Accordingly, in response to your request we are enclosing 95 pages of FOIA logs since fiscal year 2006, subject to deletions made in accordance with FOIA Exemption 6, 5 U.S.C. § 552(b)(6), as information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. The deletions are each marked with a reference “(b)(6)” in the enclosed copies.


If you have any questions or wish to discuss any aspect of your request, you may contact me by telephone at 202-482-9267. As OGE’s FOIA Public Liaison, I am available for assisting in reducing delays, increasing transparency and understanding of the status of requests, and assisting in the resolution of disputes. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

The OGE official responsible for this FOIA determination is the undersigned. In accordance with the FOIA, as codified at 5 U.S.C. § 552(a)(6)(A), and OGE’s updated FOIA regulations, at 5 C.F.R. § 2604.304, you may administratively appeal this determination to the Program Counsel, U.S. Office of Government Ethics, 1201 New York Avenue, N.W., Suite 500, Washington, DC 20005-3917. Any such appeal must be in writing and must be sent within 90 days of the date you receive this response letter. If you do appeal, you should include copies of

your request and this response, together with a statement of why you believe this initial determination is in error. Also, if you appeal, you should clearly indicate on the envelope and in the letter that it is a "Freedom of Information Act Appeal."

Sincerely,

**RACHEL
DOWELL**



Digitally signed by RACHEL
DOWELL
DN: c=US, o=U.S. Government,
ou=Office of Government Ethics,
cn=RACHEL DOWELL,
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Rachel K. Dowell
OGE FOIA Officer

Enclosures

OFFICE OF GOVERNMENT ETHICS
FOIA REQUESTS FY 2006

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt.Appeal/Date

C NEW FY 2006 FOIA ANNUAL RPT. PERIOD (10/1/05-9/30/06) BEGINS C
2005

[Note: 2 FOIA reqs. from preceding fiscal yr. (FY05/50[W],52[E]) were answered in FY06 (2005: 2)(WDs pending at start of FY05: 7+15=22]; total resp. times: 40 [den./part.: 2,5,6(x2); ref. back/ag.; fees/cat.; EP req.: X]; both reqs. are counted for stats. in this rpt.]

1. Costa (VS) 10/3 10/04//1(VS) grant/full X -
[ethics agreements/V]
2. (b) (6) (EN) 10/13 11/04//16(IK) no recs. X -
PA FY06/1/11) [case ltr. re requester/W]
3. (b) (6) (EN) 10/13 11/04//16(IK) no recs. X -
PA FY06/2/12) [order re requester/W]
4. Vincent (BG) 10/24 10/31//5(BG) no recs. X -
[rpts./info. re consulting for 4 NEI/NIH offs./W]
5. Quick (BG) 10/27 11/16//13(BG) ref.toAFRH(not OGE) X -
[FOIA "app." from another ag.dec./contr.recs.(no req. to OGE)/W]
6. Glasgal (BG) 10/28 11/16//12(BG) no recs. X -
[recs. re an ind./affiliated entities/W]
7. Tully (BG) 11/14 12/13//20(BG) grant/full X -
[comp./leave claim forms/W](requester already had rec. copies)
8. Daly&Adler(BG) 12/14 01/13/['06]//20(BG)notreas.describe X -
[all publicly avail. recs, incl. comms.w/named inds.& staffs/W]
9. (b) (6) (BG)12/2901/31'06//20(BG)pvt.den.5/02/08['06]FY06/16
[c .SEC old standards/post-emp.provs./W](+pt.SEC ref.)

2006

10. Moxley (BG) 01/09 01/13//4(BG) no recs. X -
[filings of SEC applicant/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec.//WDs	Disp.	Exempt.	Appeal	Date
11.	Biers (BG)	11/04	11/08//2(VS)	grant/full		X	-
	[comp./leave claim forms/V] (out of order)						
12.	May (PS)	01/06	01/24//11(BG)	grant/full		X	-
	[certain ag. stats. from annual ethics survey/V] (out of order)						
13.	Liguez (EN)	01/17	01/30//9(BG)	grant/full		X	-
	[info. on OGE org./functions/W] (+ not PA)						
14.	(b) (6)	(BG) 01/26	02/03//6(SP)	no recs.		X	-
	PA FY06/3/1) [recs. re requester/W]						
15.	Ungar(BG)	01/24	02/07//10(BG)	not FOIA	[not PA]	X	-
	[compl.form/contactinfo./ethicscanons re judge/W] (out of order)						
16.	(b) (6)	(EN) 02/08	03/23//30(MG)	part.den.5+ref./upheld		X	-
	(ext.ltr. 3/9) [+PA:N/A(no recs.)] [app. of FY06/9:comms./recs.SEC old stnds./post-emp.provs./W]						
17.	Williams(BG)	02/08	02/28//13(BG)	no recs.		X	-
	[OGE opinion/W] (ref. from Navy)						
18.	(b) (6)	(BG) 03/09	03/24(tc.)//11(BG)	ref.backtoArmy		X	-
	PA FY06/4/2) [resp. recs./W] (ref.from&toArmy)						
19.	Dyer (BG)	03/31	04/05//3(BG)	grant/full		X	-
	[redacted EA access guidance/leg.hist./V] (informal)						
20.	Ntumba (BG)	04/28	05/11//9(BG)	not FOIA		X	-
	[recs. of Congressman 1988-1994/E]						
21.	Cabrera-Lopez(BG)	05/04	06/01//19(BG)	grant/full		X	-
	[(1)updates/OGEPers.Man.,(2)enf.labor agrs.after 5/04/E](1,no 2)						
22.	(b) (6)	(BG) 05/11	06/01//14(SP)	no recs./06/28	[FY06/26]		
	PA FY06/5/3) [recs. re requester/W]						
23.	(b) (6)	(BG) 05/18	06/01//9(BG)	no recs.		X	-
	(al FY06/6/4) [recs. re requester&bus./plans/trans./W]						
24.	(b) (6)	(EN) 05/24	06/22//20(SP)	no recs. /07/12	[FY06/28]		
	PA FY06/7/5) [spec. recs. re requester/W]						
25.	Standfield(BG)	05/30	05/31//1(BG)	grant/full		X	-
	[OGE Govt. purchase cardholders list/W]						

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec.//WDs	Disp.	Exempt.	Appeal	Date
26.	(b) (6)	(EN) 06/28	07/20//15	(RC)	no recs./upheld	X	-
(also Y06/8/6)[<u>app. of FOIA FY06/22:</u> recs. re reqtr./W]							
27.	Roberts (BG)	07/11	08/07//19	(BG)	clarify/superseded?	X	-
(08/15clar.E-mail, all avail.CD lists(back to '96 prov'd 09/15)) [lists of CD recipients/pos.since 01/01/90; bulkCDreq.rec'd7/25]							
28.	(b) (6)	(BG) 07/12	08/07//18	(RC)	no recs./upheld	X	-
(also 6/9/7)[<u>app.of FOIA FY06/24:</u> spec.recs.re reqtr./W]							
29.	Cobbs (BG)	07/24	08/14//15	BG)	not FOIA[201+clarify]	X	-
[all info., specifically 278, <u>re</u> high-level Govt. official/W]							
30.	Wesley (BG)	07/31	08/14//10	(BG)	grant/full	X	-
[rpts. re undeliverable checks >\$5K (01/01/04-present)/W]							
31.	Walters (BG)	08/07	08/25//14	(BG)	part.den. 5,6	X	-
[OGE letter to DOJ/V]							
32.	Schmidt (BG)	08/08	08/17//7	(BG)	grant/full	X	-
[ethics agreement of high-level official/W] (consult.w/Treas.)							
33.	(b) (6)	(BG) 08/14	09/08//18	(SP)	no recs.	X	-
(also 6/10/8)[spec. recs. re requester/W](+compls./ags.)							
34.	Yost*	(BG) 09/19[8]	10/11//15	(BG)	part.den. 5	X	-
EP:--(not perfected) [OGE letter to DOJ/E] [counted in FY07rpt.]							

*=counted in next FY rpt.('07); bracketed # = WDs pending at end of FY.

OFFICE OF GOVERNMENT ETHICS
FOIA REQUESTS FY 2007

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#
CNEW FY 2007 FOIA ANNUAL RPT. PERIOD (10/01/06-9/30/07) BEGINS
2006

[Note: 1 FOIA req. from preceding fiscal yr. (FY06/34[E]) was answered in FY07 (2006: 1) (WDs pending at start of FY06: 8); total resp. time: 15 [den./part.: 5; EP req.(not perfected): X]; that req. is counted for stats. in this rpt.]

1. Cockburn (BG) 10/13 11/13//**20**(BG) grant/full X -
[ethics compl. recs./Govt. official/**E**](+clarify/fees waiv.?)
2. Kopecki (BG) 10/20 11/14//**16**(BG) grant/full X -
[3 ethics agreements/**W**] (after consult. w/DOD&OPM)
3. Kaplan (BG) 10/20 11/16//**18**(BG) no recs. X -
[any relevant recs. about certain former NV judges & others/**W**]
4. (b)(6) (BG) 11/16 12/04//**11**(SP) no recs. X -
PA FY07/1/9) [spec. recs. re requester/**W**]
5. Williams (BG) 11/22 12/08//**11**(BG) not FOIA X -
(some info. provided/OGE's agency discretion)
[questions re any EEO invest. contracts/contractors'05-'06/**W**]
6. Singh (BG) 11/28 11/30//**2** (BG) grant/full X -
[OGE Govt. purchase cardholders list/**E**]
7. (b)(6) (BG) 12/12(x2),18,21 01/11['07]//**19**(SP)no recs.X-
[tre art blockrequest](+2followups/clar.1/14,reply1/26)
(also OGE PA FY07/2/10) [spec. recs. re requester & others/**E**]

2007

8. Koutoujian(BG) 01/04 01/08//**2** (BG) grant/full X -
[FOIA/PA contact/reg. info/**W**] (info/brochure provided)
9. Smallberg(BG) 01/17 02/12//**18**(BG) no recs. /03/12(#16)
[SF 278rpt.+any prior versions/Sch.B/**E**](+SF278avail.Ethics Act)
10. Fitzgerald(BG) 01/22 02/20//**20**(BG) ref. to Treas. X -
[ethics agreement/**W**] (+ notice ltr. to requester)

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec./WDs	Disp.Exempt.	App.Date/#
11.	Carpenter (BG)	01/23	02/01//7 (BG)	no recs.	X - [N.Y. State leisure items exps./W]
12.	(b) (6) (BG)	01/29	02/01//3 (SP)	no recs.	X - (A FY07/3/1) [spec. recs. re requester /W] [EP req., but not perfected --]
13.	Cohan (BG)	01/29	02/22//17 (BG)	no recs.	X - [spec. info. about Govt. official & others/W]
14.	Starks (BG)	02/01	02/28//18 (BG)	ref. to ODNI	X - [ethics agreement/W] (+ notice ltr. to requester)
15.	Russo (BG)	02/09 (x4)	03/12//20 (BG)	not FOIA	X - (candidate 278s/Ethics Act, otherwise clarify scope/status/fees) [treat as 1 4-part block request--all recs. between OGE & spec. Pres. candidates + any other FOIAs therefor/W]
16.	Amey (BG)	03/15	04/12//20 (MG)	no recs.	X - (+explain CY00 SF 278 rpt., log bk./moot?) [new req.+app.of#9): SF 278 rpt., any prior versions/+log bk./W]
17.	Narayanswamy (BG)	03/19	04/13//19 (BG)	no recs.	X - (+canspecify subj.matter;5/30req.V-mail:seek ltr.copies instead, follow-up 6/7 grant/full) [log of corr.between OGE & members of Congress Jan.-Feb.'07/W&E]
18.	Dolson (BG)	03/22	04/05//10 (BG)	grant/full	X - [conflicts info./NY FOIA/W] (OGE pamphlet/Fed. FOIA)
19.	Harrison et al. (BG)	03/28	04/13//12 (BG)	no recs.	X - [EPA logs, related docs. testing after Sept. 11/W]
20.	Mosk (VS)	04/05	04/09//2 (VS)	withdrawn	X - [any '02-'06 fin. rpts.of a person/W&E] (201 instead, no rpts.)
21.	Covaleski (BG)	04/18	05/01//9 (BG)	part.den.2,5,6	X - [OGE PRD program review rpts.(incl.cover ltrs.)/V]
22.	(b) (6) (BG)	04/23	05/07//10 (SP)	grant full	X - (corr. w/requester+ § 2606.103 (sys. names)) (also OGE PA FY07/4/2) [spec. recs. re requester+systems names/W]
23.	Narayanswamy (BG)	05/14	05/21//5 (BG)	no recs.	X - (+canspecify subj.matter;5/30req.V-mail:seek copies of ltrs. from Cong. members/replies instead, follow-up 6/20 no recs.)

[log of corr.between OGE & members of Congress Mar.-Apr.'07/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec./WDs	Disp.Exempt.	App.Date/#
24.	Labaton (BG)	05/15	05/17//2 (BG)	withdrawn	X - [nominee's ethics agreement/W(201)]
25.	Labaton (BG)	05/23	06/04//7 (BG)	grant/full	X - [official's ethics agreement/W(201)] (+ consult./DOJ)
26.	Labaton (BG)	05/25	05/25//0 (BG)	not FOIA	X - [nom.'sethicsagr./W(201); State nom./att.EA prov.w/278/EthicsAct]
27.	(b) (6) (BG)	05/17	06/14//19 (SP)	no recs.	X - (also /5/3) [spec.recs.re req.+cases, warr./W] (outoforder)
28.	Nesbitt (BG)	06/07	06/25//12 (BG)	no recs.	X - [info. re ind., incl. any WH, DHS empl. & SF 86,278/E]
29.	Schnitzer (BG)	06/07	07/06//20 (SP)	grant/full	X - (initial proc.--fees/waiver if pursue req.) [comms.w/ex-Sen./offs.staff/94-02, FOIAreqs.01-pres.re ex-Sen./W]
30.	Atkins (BG)	06/08	06/20//8 (BG)	no recs.	X - [service task orders/SOWs/RFPs/POs re a co./FY05,06,07/W]
31.	Roberts (BG)	06/11	07/10//20 (SP)	not reas.describe	X - [OGE proceedings/crim. investigation ref. past 4 yrs./E]
32.	Morris (BG)	06/13	07/26//30 (BG)	part.den.2,5,6,7C	X - [OGEethicsprog.rev.rpts.20agencies/most recent/W] (ext.ltr.07/11)
33.	Willis (BG)	06/14	06/15//1 (BG)	grant/full	X - [OGE Govt. purchase cardholders list(paper/Web,but not Excel)/E]
34.	Cusack (BG)	06/28	06/29//1 (BG)	part.den. 6	X - ['07 OGE FOIA log (list)/W]
35.	Baxamusa (BG)	06/29	08/09//28 (BG)	grant/full(+201/278)	X - [rpts.,corr.on 2 inds.' Govt. appts./W] (ext.ltr./+nofees07/30)
36.	Narayanswamy (BG)	07/06	08/01//19 (BG)	no recs.	X - [log of corr.between OGE & members of Congress May-June'07/E]
37.	Maymin (BG)	07/30	08/06//5 (BG)	part.den. 6	X - [OGE nom.congrat.ltr., ref. from Export-Import Bk./W]
38.	Ruch (BG)	08/27	09/07//8 (BG)	tel. consult.to DOI	X -

[OGE-orig.doc./model pracs./W](not exempt;09/10FAXltr. to DOI)
(Continued on next page)

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#

39. Narayanswamy(BG) 09/06 09/13//5(BG) no recs. X -
[log of corr.between OGE & members of Congress July-Aug.'07/E]

40. Mackey (BG) 09/07 09/28//15(BG)part.den. 2,5,6, X -
[mostrec.prog.rev.ofEEOC+tr.progs./Char.,tr.docs.toOGE10yrs./E]

41.* Nielsen (BG) 09/28[0] 10/23//16(BG) grant/full X -
[implement. docs. FOIA Improvement EO & OGE plan/W]

***=counted in next FY rpt.('08); bracketed # = WDs pending at end of FY.**

OFFICE OF GOVERNMENT ETHICS
FOIA REQUESTS FY 2008

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#
CNEW FY 2008 FOIA ANNUAL RPT. PERIOD (10/01/07-9/30/08) BEGINSC
2007

[Note: 1 FOIA req. from preceding fiscal yr. (FY07/41[W]) was answered in FY08 (2007: 1) (WDs pending at start of FY07: 0); total resp. time: 16 [grant/full]; that req. is counted for stats. in this rpt.]

1. (b) (6) (BG) 10/16 10/26//8(SP) no recs. X -
(als Y08/1/4) [spec.recs.re reqtr./case/law enforce./W]
2. Goad (BG) 10/22 10/25//3(BG) not reas.descr. X -
[employee standard/possible statement/W])
3. Livingood(BG)10/25 11/05//6(BG) ref. to NMB(+notice/req.)X -
[NMBinv.rpt./E](rec.withh.OGEstaffname2,5,6,7C/consultOGE;copy req'd/rec'd of NMB 11/26resp./den.5,6,7C, app.ltr.12/14 to NMB)
4. Babcock (BG) 11/08 11/19//6(BG) ref. to Treasury X -
[ethics agreements/W](& 11/19 notice ltr. to req.)
5. Ravnitsky (BG) 11/13 11/20//5(BG) part den. 6 X -
[OGE FY04-FY07 FOIA logs/W])
6. Narayanswamy(BG) 12/21 01/04['08]//6(BG) no recs. X -
[log of corr.between OGE & members of Congress Sept.-Nov.'07/E]

2008

7. (b) (6) (EN) 01/02 01/23//14(SP) no recs. X -
(als Y08/2/1) [spec.recs.re reqtr./case/law enforce./W]
8. (b) (6) (EN) 01/02 01/23//14(SP) no recs. X -
(als Y08/3/2) [spec.rec.re reqtr./case/law enforce./W]
9. (b) (6) (EN) 01/02 01/23//14(SP) no recs. X -
(als Y08/4/3) [spec.recs.re reqtr./case/law enforce./W]
10. Barnett (BG) 01/15 02/06//15(BG) grant/full X -
[# waivers by agencies/annual ethics questionnaires/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec.//WDs	Disp.Exempt.	App.Date/#
11.	Armstrong (BG)	01/16	01/23//4	(BG) no recs.	X - [fin.discr.recs. for former Interior official/E] EP req.: <u>X</u> [req. not perfected]
12.	MacFarlane (BG)	01/15	02/06//15	(BG) grant/full	X - (out-of-order; PAalsoref.X) [info.re 3Cong.Members (incl.former)/E]
13.	Hackett (BG)	01/18	01/18//0	(BG) grant/full	X - [1994 OGE ltr. to Treas./W]
14.	McClintock (BG)	02/04	03/04//20	(BG) part den. 6	X - [comms.OGE&a Sen./1999-present+FOIA logs'05-present/W] (+clarify)
15.	Narayanswamy (BG)	02/11	02/15//4	(BG) no recs.	X - [log of corr. between members of Congress & OGE Jan.'08/W]
16.	FitzPatrick (BG)	02/12	02/14//2	(BG) part den. 6	X - EP req.: <u>Y</u> [OGE FOIA log/most recent 12 mos./E]
17.	Frandsen (EN)	02/26	03/19//16	(BG) no recs.	X - [info. on OGE Sch.A hiring/disabilities/categories/W] (last6yrs.)
18.	(b) (6) (BG)	02/29	03/10//6	(MG) no recs.	X - (als Y08/5/4) [spec.recs.re reqtr./case/W]
19.	(b) (6) (BG)	03/24	04/10//13	(WS) no recs.	X - (als Y08/6/5) [spec.recs.re reqtr. (diff.yr.)/case/W]
20.	Lowenstein (BG)	03/25	04/14//14	(BG) grant+no recs.	X - [corr.w/Sen./former1stL.&FOIAsfor corr.w/2 Sens./E] (FOIAs/summ.)
21.	Narayanswamy (BG)	04/03	04/03//0	(BG) no recs.	X - [log of corr. between members of Congress & OGE Jan.-Feb.'08/E]
22.	Cole (BG)	04/03	04/16//9	(BG) no recs.	X - [database of FEIN/TIN & specified business info./W]
23.	(b) (6) (EN)	04/09	05/02//17	(WS) no recs.	X - (als Y08/7/6) [spec. recs. re reqtr./W]
24.	Keane (EN)	05/01	05/27//17	(WS) no recs.	X - [any recs. (incl. corr.) related to a former Gov./W]
25.	Nicely (EF)	05/05	05/30//18	(BG) grant+no recs.	X - [any updates 5/06-4/08 OGE personnel manual/labor agreements/E]

(Continued on next page)

#	Requester	Date Rec'd	Date/Dec.//WDs	Disp.	Exempt.	App.	Date/#
26.	Burton (BG)	05/07	06/03//20 (BG)	clarify/fees/201[278s]	X	-	[corr. between office of a Sen. & OGE/W]
27.	McCarroll (EN)	05/07	06/03//18 (WS)	no recs.	X	-	[material <u>re</u> a U.S. Congressman (+offices/staffs)/W]
28.	Nelson (BG)	05/23	07/08//30 (BG)	part den.4(intend)	X	-	[OGEcontr.&FY07/08pur.ors.amdts./E] (ext.ltr.6/23;trans.ltr.7/14 + business info. submitter notification/input)
29.	(b) (6)	(EN)	05/29	06/26//20 (WS)	no recs.	X	- (als Y08/8/7) [spec.recs. <u>re</u> reqtr./case/law enforce./W]
30.	Jacobs (BG)	07/21	08/04//10 (BG)	no recs.	X	-	[list of uncashed checks>6 mos. (X/payroll)/W]
31.	(b) (6)	(KD)	07/16	08/04//13 (WS)	no recs.	X	- (als Y08/9/8) [spec.recs. <u>re</u> reqtr./W] (out-of-order/FOIA)
32.	(b) (6)	(VS)	07/24	08/11//12 (WS)	no recs.	X	- (als Y08/10/9) [spec. recs. <u>re</u> reqtr./W]
33.	(b) (6)	(SJ)	07/24	08/15//16 (WS)	no recs.	X	- (als Y08/11/10) [spec. recs <u>re</u> reqtr./W]
34.	Gray (SJ)	07/31	08/08//6 [8CDs] (BG)	no recs.	X	-	[log of FOIA reqs. <u>re</u> indictment of former Congressman/W] EP req.: X [not decided/reg. resp. in 8CDs (w/i 10CD-period)]
35.	Dunning (KD)	08/15	09/05//14 (BG)	grant/full	X	-	[OGE visitor log for spec. day/F]
36.	(b) (6)	(SJ)	08/19	09/04//11 (WS)	no recs.	X	- (als /12/11) [statusofpriorreq. [no rec.]/W] (+ind.search)
37.	Hughley (EF)	08/19	09/11//16 (BG)	no recs.	X	-	[list Art.III district ct. judges/W]
38.	Dick (VS)	08/27	09/03//4 (VS)	grant/full	X	-	[contact info. for certain OGE officials/W] (informal resp.)
39.	Smith (BG)	09/02	09/02//0 (BG)	grant/full	X	-	[OGE Govt. purchase cardholders list/V] (informal req.)

(Continued on next page)

Requester Date Rec'd Date/Dec.//WDs Disp.Exempt. App.Date/#

40. Daily (SJ) 09/10 09/22//10 (BG) no recs. X -
[any complaints filed re 2 candidates/E]

EPreq.:X [not decided/reg.resp.in10CDs(x/wkend.w/i 10CD-period)]

41. Pear (VS) 09/11 09/11//0 (VS) grant/full X -
[OGE '08 fin. discl. leg. proposal/V] (informal req.)

42.* (b) (6) (EF) 09/22[6] (+10/16) 10/20//19 (BG) no recs. X -
(also 08/13/12) [spec. recs (possibly re reqtr.)/W]

43.* Nawaz (EN) 09/22[6] 10/21//20 (EN) part den. 6 X -
[any recs., incl. electr./corr., re a governor/W]

44.* (b) (6) (EN) 09/24[4] 10/10//12 (BG) no recs. X -
(also 8/14/13) [spec.rec.re reqtr./case/law enforce./W]

C END OF FY 2008 FOIA ANNUAL RPT. PERIOD (10/01/07-09/30/08) C

* Disposition shown here, but counted in next FY rpt. ('09);
bracketed [#] = WDs pending at end of FY.

OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2009

Requester (OGEAtty.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

FISCAL YEAR 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-9/30/09) BEGINS 2008 (But in Fiscal Yr.(FY)09 FOIA/Privacy Act(PA) Reporting Period--10/01/08-09/30/09)
[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 {but count as 1 for stats.} (+ then add. days, starting still w/1) per DOJ/OIP clarification 12/08/08 as modified by their attached 01/12/09(item2)FOIAposting(FY08carry-overscount same new way).]
[Note: OGE answered 1 regular FOIA req. & 2 FOIA/PA reqs. from the preceding fiscal year (FOIAFY08/43[W]/den./part. 6, FOIAFY08/42//PAFY08/13/12[W]/no recs. & FOIAFY08/44//PAFY08/14/13[W]/no recs.) in FY09 (2008: 3) (total WDs pending at start of FY09: 16 (4+6+6); total response time: 51 (12+19+20); those requests are counted for the stats. in this FY09 req. list report.) {underlined # means initial response completed}

1. (b) (6) (BG)	10/02	[10/31]	10/16//9(BG)	misdirected	X
(also OGE PA FY09/1/14) [BOP/DOJ medical recs. re reqtr./F] (returned to reqtr./BOP/DOJ recs.)					
2. (b) (6) (KC/BG)	10/16	[11/14]	11/05//14(BG)	no recs.	X
(also OGE PA FY09/2/15) [specified case materials re requester/W]					
3. Brown (VS/BG)	10/16	[11/14]	10/31//11(BG)	not FOIA	X
[ref. from Army §1353 travel rpts. 01/01/07-present/W] (closed per ref. to Vince--press/1353 req.)					
4. Ravnitzky (BG)	10/16	[11/14]	11/05//14(BG)	not FOIA	X
[201 reqs. since 07/01/08/W] (closed per ref. to OAP--Ethics Act/201 req.[redact/tel./FAX])					
5. Levine (BG)	10/27	[11/25]	11/17//14(BG)	ref./forw.Treas.	X
[specified EAs & §208 waivers/W & F] (+ltr.reqtr. 11/21: part ref./forw.[part E6 den.X] & part not FOIA)					
6. (b) (6) (BG)	10/27	[11/25]	11/07//9(BG)	no recs.	X
(also OGE PA FY09/3/16) [specified case materials re requester/W]					
7. Levine (BG)	10/31	[12/02]	10/31//<1(BG)	grant/full	X
[specified ethics agreements /V] (related to FOIA # FY08/5 above)					
8. Ravnitzky (BG)	10/16	[11/14]	11/03//12(BG)	grant/full	X
[ethics crossword puzzles/W] (out-of-order)					
9. (b) (6) (BG)	11/06	[12/08]	11/18//7(BG)	no recs.	X
(also OGE PA FY09/4/17) [specified case materials re requester/W]					
10. Swartz (EF)	11/10	[12/10]	12/05//17(BG)	no recs.	X
[electr. copy of old OGE Cong. Activity Tracking System (CATS)/E]					

(Continued on next page)

#	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
<u>11.</u>	Swartz (EF)	11/10	[12/10]	11/28//12(BG)	not FOIA	X
<i>[electr.copy:all avail.SF278s/EthicsAct discl. form[?]/E] (cc/ltr.to OAP--Ethics Act/201 req.)</i>						
<u>12.</u>	McFarlane (BG)	11/10	[12/10]	11/14//3(BG)	grant/full	X
<i>[two OGE ethics brochures/W]</i>						
<u>13.</u>	(b) (6) (BG)	11/12	[12/11]	11/19//5(BG)	no recs.	X
<i>(also OGE PA FY09/5/18) [specified case materials (re requester)/W]</i>						
<u>14.</u>	Ravnitzky (BG)	11/12	[12/11]	11/17//3(BG)	not FOIA	X
<i>[201reqs.(p.1)01/01/07-06/30/08/W](cc/ltr.to OAP--Ethics Act/201 req./redactTel./FAX#s)</i>						
<u>15.</u>	(b) (6) (BG)	12/05	[01/06/'09]	12/08//1(BG)	misdirected	X
<i>(also OGEPAFY09/6/19)[reqtr.'scomplaint/otherdept.(State)/F](V-mailresp./USMS/DOJ FOIA)</i>						
<u>16.</u>	Kelley (VS)	12/08	[01/07/'09]	12/08//<1(VS)	withdrawn	X
<i>[sec.208 waivers/auths./E](Vince handled/press [+ waivs. not FOIA/§208(d)(1)EthicsActhybrid)</i>						
<u>17.</u>	(b) (6) (BG)	12/11(+12/23)	[01/14/'09]	01/02/'09//13(BG)	no recs.	X
<i>(also OGEPAFY09/7/20) [spec. recs. re retired judge (apparently in case involving reqtr.)/W]</i>						
[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of '07 OPEN Govt. Act FOIA amdtts.]						
<u>2009</u>						
<u>18.</u>	Gerstein (BG)	01/22	[02/20:ext.:03/10]	03/09//29(BG)	grant/full(+clar.)	X
<i>(10-WD ext. ltr. sent 02/20=30WDs)</i>						
<i>[recs.fdn.&ethicsissuesrePASoff.incl.ethicspld./E](consult.ref.FAXestoState1/29,2/19,5/13,6/11)</i>						
<u>19.</u>	(b) (6) (BG)	01/26	[02/24]	01/30//4(BG)	no recs.	X
<i>(also OGE PA FY09/8/1) [specified case materials (re requester)/W]</i>						
<u>20.</u>	Miller (BG)	01/30	[03/02]	02/03//2(BG)	grant/full(pt.I&pt.II02/06)	X
<i>[ethics agreements of 2 PAS nominee officials/W](consult. FAXes to BGFRS & SEC 02/02)</i>						
<u>21.</u>	Seaberg (BG)	01/29	[02/27]	02/12//10(BG)	no recs.	X
<i>[any recs. re resign. 2 Congress people/E] (out-of-order)</i>						
<u>22.</u>	(b) (6) (BG)	02/02	[03/03]	02/11//7(BG)	no recs.	X
<i>(also OGE PA FY09/9/2) [copy of indictment, spec. case/requester/W]</i>						
<u>23.</u>	(b) (6) (BG)	02/02	[03/03]	02/12//8(BG)	no recs.	X
<i>(also OGE PA FY09/10/3) [rpts./investigations of Federal penitentiary & invts./requester)/W]</i>						

(Continued on next page)

#	Requester (OGEAtt.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
24.	Cohen (BG)	02/06	[03/09]	03/06//19(BG)	grant/full(init.22EAs) X	
(&2add.resps.3/30:14EAs[12g.f.+2d.p.4,6];4/17:2EAsg.f.+follow-up07/31:re2ndpartdiscont.~/Y)						
[ethics agrmts. of 38current PAS nominees & any docs.submitted by them re pastempl./comp./E]						
25.	Urevich (BG)	02/06	[03/09]	02/12//4(BG)	no recs.	X
[any docs. naming ind. w/resp. to PAS nominee processing/E]						
EP req.: X [not decided/reg. resp. in 6 CDs (w/i 10CD-period)]						
26.	Vogel (BG)	02/17	[03/17]	02/20//3(BG)	no recs.	X
[ethics agrmts. of 29 spec. individuals (part of 201 req. for any 278 rpts. & CDs for them)/W]						
27.	Vogel (BG)	02/17	[03/17]	02/20//3(BG)	grant/full(init.10EAs) X	
(&5add.resps.2/27:5EAsg.f.;3/6:7EAsg.f.;3/13:9EAs[7g.f.+2d.p.4,6];3/20:5EAsg.f.;4/02:2EAsg.f.)						
[ethics agrmts. of 38 current PAS nominees (part of 201 req. for any CDs for them)/W]						
28.	Vogel (BG)	02/19	[03/19:ext.:04/02]	03/30//27(BG)	grant/full(2EAs) X	
(10-WD ext. FAX sent 03/19=30WDs)						
[ethics agrmts. of 2 DOJcurrent PAS nominees (part of 201 req. for any278s&CDs for them)/W]						
29.	Henry (BG)	02/23	[03/23]	02/26//3(BG)	FOIAgrant/full(redact/201) X	
[+201req.--other201s/FOIAreqs.for own SF278rpts. & EA/W] (EthicsAct:tel./FAX/OGEstaff)						
30.	Vogel (BG)	03/09	[04/06]	03/19//8(BG)	grant/full(init.1EA) X	
(&1add. resp.4/6:1EA g.f.)						
[ethics agrmts. of 2current PAS noms.:DHS/DOJ(part of 201req.for any278s&CDs for them)/W]						
31.	Vogel (BG)	03/09	[04/06]	04/02//18(BG)	den./part. 6	X
[ethics agrmt. of 1 current PAS nominee:DOI(part of 201 req. for any278 & CD for him)/W]						
32.	Pierce (BG)	03/19	[04/16]	03/27//6(DS)	grant/full(init.37EAs*) X	
(*prev.released/otherFOIAs(&2add.resps.4/24:15EAs[12g.f.+3d.p.4,6];5/4:1EA g.f.))						
[ethics agrmts. of 53 current PAS noms./some new(part of 201 req. for any 278s for them)/W]						
33.	Vogel (BG)	03/19	[04/16]	04/07//13(BG)	grant/full(init.9*EAs) X	
(*as corrected4/7:9-1=8EAsg.f.+1EA d.p.6;&1add. resp.4/14:1EA d.p.6)						
[ethics agrmts. of 10 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]						
34.	Long+Burnham (BG)	03/19	[04/16]	04/08//14(BG)	grant/full	X
[DOJ Ethics Off. comments on DOJ/EOIR reg.(forward/ref. from latter DOJcomp./mistake?)/W]						
35.	Vogel (BG)	03/19	[04/16]	04/16//20(BG)	grant/full(init.3EAs) X	
(&1add.resp.4/24:2EAs[1g.f.+1d.p.4,6])						
[ethics agrmts. of 5 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]						

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#	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
36.	Goldberg (BG)	03/23	[04/20]	03/31//6(BG)	no recs.	X
	<i>[info. re any OGE AFGE bargaining unit FLSA-exempt positions 03/16/06-present/W]</i>					
37.	Vogel (BG)	03/23	[04/20]	04/16//18(BG)	grant/full(init.1EA)	X
	(&2add. resps.5/7:5EAsg.f.;5/15:1EAf.f.)					
	<i>[ethics agrmts. of 7 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]</i>					
38.	Pierce (BG)	03/31	[04/28]	04/28//20(BG)	den./part. 4,6(init.2EAs)	X
	(&2add. resps.5/15:3EAsg.f.;5/21:1EAf.f.)					
	<i>[ethics agrmts. of 6 current PAS nominees (filled in on 201 req.)/W]</i>					
39.	Pierce (BG+WS&KC06/04)	03/31	[04/28]	04/28//20(BG)	grant/full(init.10EAs)	X
	(&3add. resps.5/8:11EAs[8g.f.+3d.p.4,6];5/22:6EAsg.f.;6/11:5EAs[3g.f.+2d.p.])					
	<i>[ethics agrmts. of 32 current PAS nominees (filled in on 201 req. forms)/W]</i>					
40.	(b) (6) (BG)	04/02	[05/01]	04/14//8(BG)	no recs.	X
	<i>(also OGE PA FY09/11/4) [specified case materials (apparently re requester)/W]</i>					
41.	Vogel (BG)	04/13	[05/11]	05/07//18(BG)	grant/full(init.4EAs)	X
	(&1add. resp.5/15:2EAsg.f.)					
	<i>[ethics agrmts. of 6 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]</i>					
42.	Pierce (BG+WS&KC06/04)	04/22	[05/20]	05/19//19(BG)	grant/full(init.7EAs)	X
	(&1add. resp.6/11:23EAs[20g.f.+3d.p.4,6])					
	<i>[ethics agrmts. of 30 current PAS nominees (filled in on 201 req. forms)/W]</i>					
43.	Harms (BG)	05/01	[06/01]	05/29//19(BG)	not proc./req.cat./fees	X
	<i>[docs. filed by/relating to former Amb. (x278)/F] (&follow-up08/28:EA+2ndpart discontin.?/Y)</i>					
44.	Simpson (BG)	05/04	[06/02]	05/04//<1(BG)	grant/full	X
	<i>[BOP/DOJ ethics code policies/supp./W] (¶(a)(1) technically, but reg. copies provided)</i>					
45.	Gerth (BG)	05/08	[06/08]	06/08//20(BG)	grant/part.,ext.part.	X
	<i>[certain docs. re nom.&FRB issue/F&E][ext.:06/22](add.resp.6/22part.den.4,5,6)(+ref.FRS6/23)</i>					
46.	Vogel (BG+WS&KC06/04))	05/13	[06/11]	06/11//20(WS)	den./part. 6 (12EAs)	X
	<i>[ethics agrmts. of 12 current PAS nominees (part of 201 req. for any 278s & CDs for them)/W]</i>					
47.	Thomas (BG+WS&KC06/04)	05/29	[06/26]	06/11//9(WS)	grant/full	X
	<i>[ethics agrmt.(so construed) of 1 current PAS nominee (part of 201 req. for any 278 for him)/W]</i>					
48.	Howie (BG)	05/29	[06/26]	06/25//19(BG)	no recs.	X
	<i>[specified communications w/ & FOIA requests re individual/W]</i>					

(Continued on next page)

#	Requester (OGEAttv.)	Date Recd.	[DueDate]	Date/Dec./WDs	Disp./Ex.	App.Date/#
49.	Casey (BG) [OGE security contracts & related coll. bargaining agrmts./W]	06/02	[06/30]	06/23//15(BG)	no recs.	X
50.	(b) (6) (BG) (also OGE PA FY09/12/5) [any records relating to requester/W]	06/03	[07/01]	06/24//15(BG)	no recs.	X
51.	Manske (BG) (ref. from BPD/Treasury)[docs. submitted by applicant hired/OGE job announcement/W]	06/04	[07/02:ext.07/17]	07/15//28(BG)	den./full 6	X
52.	(b) (6) (BG) (also OGE PA FY09/13/6) [any docs. relating to or referencing requester, incl. spec. case/W]	06/04	[07/02]	06/24//14(BG)	no recs.	X
53.	Graziano (BG) [docs. regarding specified bone graft study/proc., company & ind. doctors/W]	06/05	[07/06:ext.:07/20]	07/16//28(BG)	grant/full	X
54.	Pierce (WS&KC) [ethics agrmts. of 30 current PAS nominees (filled in on 201 req. forms)/W]	06/08	[07/07]	06/15//5(WS)	den./part. 4,6 (30EAs)	X
55.	Pierce (WS&KC) [ethics agrmts. of 42(+18?)=60 current PAS nominees (filled in on 201 req. forms)/W](+7/13folp)	06/10	[07/09]	07/09//20(WS)	den./part. 6(58EAs/2noEAs)	X
56.	Pierce (WS&KC) [ethics agrmts. of 24 current PAS nominees (filled in on 201 req. forms)/W]	06/16	[07/15]	07/13//18(WS) (&1add. resp.7/14:18EAs[15g.f.+3d.p.6])	grant/full(init.6EAs)	X
57.	Cowan (WS&KC) [ethics agrmts.(so construed)of3current PAS nominees(part of 201req. for any278s for them)/W]	06/18	[07/17:ext.:07/31]	07/24//25(WS)	den./part. 6(3EAs)	X
58.	Upchurch (BG) [OGE Govt. purchase cardholders list/E]	06/23	[07/22]	06/23//<1(BG)	grant/full	X
59.	Dawson (VS&BG) [list of specified senior OGE officials 1994-2009/E]	07/01	[07/30]	07/21//13(VS)	grant/full	X
60.	Vogel (VS&BG) [1993 WH memo/E]	07/09	[08/06]	08/05//19(VS)	grant/full	X
61.	Wierenga (BG) [list of OGE FOIA requests in last 6 months/W]	07/23	[08/20]	07/28//3(BG)	den./part. 6	X
62.	Hartman (BG) [OGE tel. directory & org. chart/E(&W)]	07/27	[08/24]	07/29//2(BG)	grant/full	X

(Continued on next page)

#	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
63.	Vogel (BG)	07/28	[08/25; amdt.08/28]	08/13//9(BG)	den./part. 6	X
	[OGE 2009 correspondence (incl. E-mails, notes, FOIAs, etc.) w/16 news organizations/E] (amended 08/01:just any such2009corr. for apps.conf.&mtgs./interviews(+17 th org.)& FOIA log) EP req.: Y (08/11 FAX--decision due/amended req.: 08/14)					
64.	Mix (BG)	07/28	[08/25]	08/24//19(BG)	no recs.(FOIA)	X
	[E.O. 13490 pledges & waivers & stats. for listed officials& others+278s/E](OAP:278s08/03)					
65.	Scheidel (BG&ER)	08/06	[09/03:ext.09/18]	09/18//30 (BG)	ref.resp./FDIC*	X
	(add. resp. & FDIC ref. 10/20) [ltrs./docs. to/from FDIC/OGE mid-1990s re FDIC specified regs/F](*courierdel.09/21)					
66.	Mostrous (IH)	07/29	[08/26]	07/29//<1(IH)	no recs.	X
	[any ethics agreements/2 individuals/W] (out-of-order; informal OAP proc.)					
67.	Gordon (BG)	08/17	[09/15]	09/11//18(BG)	grant/part1EA*	X
	[any ethics agreements/7 individuals/W](*&part/norecs./4noEAs+09/11ltr.ref./part2EAs--Treas.)					
68.	(b) (6) (BG)	08/17	[09/15]	09/15//20(BG)	grant/part*	X
	(+follow-up resp.WHconsult.10/02g.f.) (alsoOGEPAFY09/14/7)[recs.recert./app.reqtr./Fed.appt./W](*&part/ext.WH+ref.DHS/OIG)					
69.	(b) (6) (AG)	09/03	[10/02]	09/30//18(BG)	no recs.	X
	(also OGE PA FY09/15/8) [specified docs. re reqtr.'s case & other info./W]					
70.	* Buffalo (BG&SD)	09/23	[10/22] [5]	10/16//16(BG)	no recs.	X
	[list/info. of/on OGE subcontractors/Australia or 2001-2009/E]					
71.	* Waterman (BG&SD)	09/23	[10/22] [5]	10/16//16(BG)	den./part. 6	X
	[resumes/info. on OGE Sch. C, non-career SES & PAS employees2001-2009/E]					
72.	Fedner (VS)	09/23	[10/22]	09/29//4(VS)	withdrawn	X
	[info. on OGE regulatory functions/issues/E]					
73.	* (b) (6) (BG)	09/24	[10/23] [4]	10/02//6(BG)	grant/full	X
	(alsoOGEPAFY09/16/9)[OGE-orig.rec.s.recert./app.reqtr./Fed.appt. ref. from DHS/OIG/W]					
74.	* Chin (KD)	09/30	[10/29] [<1]	10/19//12(BG)	grant/full	X
	[implementation recs. re 2009 Pres. & AG FOIA memos/W]					

— END OF FY 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-09/30/09)—

* Carry-over request--disposition shown here, but counted in the statistics for next fiscal year's report (FY10); bolded parenthetical nos.(#)=WDs pending at end of this fiscal year.

OGE FY09 FOIA RESPONSE TIMES (Working Days, incl. exts.): Requests: 70+3(carry-over)=73: <1, <1, <1, <1, <1, 1, 2, 2, 3, 3, 3, 3, 3, 3, 4, 4, 4, 5, 5, 6, 6, 7, 7, 8, 8, 8, 9, 9, 9, 9, 10, 11, 12, 12, 12, 13, 13 [Median: 13.0; mean (overall average): 898/73=12.3] 13, 14, 14, 14, 14, 14, 15, 15, 17, 18, 18, 18, 18, 18, 18, 19, 19, 19, 19, 19, 19, 19, 20, 20, 20, 20, 20, 20, 20, 20 □□ (ext.: 5) 25, 27, 28, 28, 29, 30; **Consults.from:** (WDs:); **Backlog?: No [100.0% Timely (73/73)]; Expedited processing** (subset of overall reqs.) Requests: 2 [no formal determ./answered w/i 10CDs=not counted/Annual Rpt.: 1; granted: 1; denied: --]: 9 [Median: 9; mean (overall average): 9/1= 9]; **Appeals:** (): response times (incl. exts.:): □□ [Median: --; mean (overall average): --/-- =.--] **Backlog?: No [100.0% Timely (--/--)]; Litigation: --; Pending at the end of FY08 (3):** 4, 6 [Median: 6; mean (overall average): 16/3=5.3] 6; **Pending at the end of FY09 (4):** <1, 4::5 [Median: 4+5/2=4.5; mean (overall average): 15/4=3.8] 5 [counted in FY10 rpt., disp. also shown in this FY req. listing/prior pages, but not counted here].

DISPOSITION TALLY: Requests: Grant/full: 26; part grant/part no recs.:--; part grant/part no recs.: 1; part grant/part den. : 8+1(carry-over)=9; part grant/part ext. : 2; [<1, <1, <1, 2, 2, 3, 3, 3, 3, 5, 6, 8, 9, 9, 12, 13, 13, 14, 18, 18::19 {Total: 38; Med.: 18+19/2=18.5; mn.: 524/38=13.8} 18, 18, 18, 19, 19, 20, 20, 20, 20, 20, 20, 20, 20, 20 □□ (ext.: 4) 25, 27, 28, 29]; **den./full: 1** [E1: , E2: , E3(_)*: , E4: 2, E5: , E6: 9, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; **no recs.: 21+2(carry-over) =23;** (discret. rel.); **Other disposition: not proc./req.cat./fees: 1; recs. not reasonably described/clarify: ; withdrawn: 2; ref. to: 2(+forw.); consult.w/: ; not comply regs.: ; not FOIA: 4; (reqs. incl. personal materials:); misdirected: 2; fees/cat.: ; clar.: ; [also PA: 17]; Appeals:** Grant/full: ; no recs.: (upheld); part.den.+ref.&PA:(no recs.): (upheld); den./full: ; [E1: , E2: , E3(_)*: , E4: ; E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); (overturned); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:);[also PA:].

**a.* Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

**b.* Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

**c.* Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

**d.* Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f)(trusts),+ OGE regs.

Acronyms Y Rec'd.by: **E**=E-mail; **F**=Form(5[+1(N/A-278s)=6]; **V**=Verbal; **W**=Ltr./FAX(Written) AG=Allison George BG=Bill Gressman DS=Denise Shelton EF=Elizabeth Fischmann EN=Elaine Newton IH=Irene Houston KC=Kerri Cox KD=Karena Dees SD=Setareh Deljo-Zagarani SJ=Seth Jaffe VS=Vince Salamone WS=Walter Shaub

CDs=Calendar Days EA=Ethics Agreement EP=Expedited Processing PA=Privacy Act

FOIA=Freedom of Information Act WDs=Working Days

Note: Underlined request # = response completed (incl. any follow-up), primary reply can occur earlier & be counted for FOIA/PA statistics.[**EP req.:** _]

OGE FOIA/PRIVACY ACT REQUESTS CY/FY 2009
(Continued from AL 1-1 FOIA List Doc.)

#s Requester (OGEAttv.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

2008 (But in FY09 FOIA/PA Reporting Period -- 10/01/08-09/30/09)

[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 {but count as 1 for stats.} (+ then add. days, starting still w/1) per DOJ/OIP clarification 12/08/08 as modified by their 01/12/09(item 2) FOIA posting (FY08 carry-overs count same new way).]

[Note: OGE answered 2 FOIA/PA requests from preceding fiscal year (FOIAFY08/42//PAFY08/13/12[W]/no recs. & FOIAFY08/44//PAFY08/14/13[W]/no recs. in FY09 (2008: 2) (total WDs pending at start of FY09: 10 (4+6); total response time: 31 (12+19); those requests are counted for the statistics in this FY09 request list report.) {underlined # means initial response completed}]

1./14. (b) (6) (BG) 10/02 [10/31] 10/16//9(BG) misdirected X
(also OGE FOIA FY09/1) [BOP medical recs. re requester/F](returned to reqtr./BOP/DOJ recs.)

2./15. (b) (6) (KC/BG) 10/16 [11/14] 11/05//14(BG) no recs. X
(also OGE FOIA FY09/2) [specified case materials re requester/W]

3./16. (b) (6) (BG) 10/27 [11/25] 11/07//9(BG) no recs. X
(also OGE FOIA FY09/6) [specified case materials re requester/W]

4./17. (b) (6) (BG) 11/06 [12/08] 11/18//7(BG) no recs. X
(also OGE FOIA FY09/9) [specified litigation materials re requester/W]

5./18. (b) (6) (BG) 11/12 [12/11] 11/19//5(BG) no recs. X
(also OGE FOIA FY09/13) [specified case materials re requester/W]

6./19. (b) (6) (BG) 12/05 [01/06/'09] 12/08//1(BG) misdirected X
(also OGEFOIAFY09/15) [reqtr. 'scomplaint/otherdept.(State)/F](V-mailresp./USMS/DOJFOIA)

7./20. (b) (6) (BG) 12/11(+12/23) [01/14/'09] 01/02/'09//13(BG) no recs. X
(also OGEFOIAFY09/17) [spec. recs. re retired judge (apparently in case involving reqtr.)/W]

[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of '07 OPEN Govt. Act FOIA amdts.]

2009

8./1. (b) (6) (BG) 01/26 [02/24] 01/30//4(BG) no recs. X
(also OGE FOIA FY09/19) [specified case materials (re requester)/W]

9./2. (b) (6) (BG) 02/02 [03/03] 02/11//7(BG) no recs. X
(also OGE FOIA FY09/22) [copy of indictment, spec. case/requester/W]

(Continued on next page)

#s	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
10./3.	(b) (6) (BG)	02/02	[03/03]	02/12//8(BG)	no recs.	X
(also OGE FOIA FY09/23) [rpts./investigations of Federal penitentiary & invts./requester)/W]						
11./4.	(b) (6) (BG)	04/02	[05/01]	04/14//8(BG)	no recs.	X
(also OGE FOIA FY09/40) [specified case materials (apparently re requester)/W]						
12./5.	(b) (6) (BG)	06/03	[07/01]	06/24//15(BG)	no recs.	X
(also OGE FOIA FY09/50) [any records relating to requester/W]						
13./6.	(b) (6) (BG)	06/04	[07/02]	06/24//14(BG)	no recs.	X
(also OGE FOIA FY09/52) [any docs. relating to or referencing requester, incl. spec. case/W]						
14./7.	(b) (6) (BG)	08/17	[09/15]	09/15//20(BG)	grant/part*	X
(+follow-up resp.WHconsult.10/02g.f.)						
(also OGE PA FY09/14/7) [recs. recert./app.reqtr./Fed.appt./W] (*&part/ext.WH+ref.DHS/OIG)						
15./8.	(b) (6) (AG)	09/03	[10/02]	09/30//18(BG)	no recs.	X
(also OGE FOIA FY09/69) [specified docs. re reqtr.'s case & other info./W]						
16./9.	* (b) (6) (BG)	09/24	[10/23] [4]	10/02//6(BG)	grant/full	X
(also OGE FOIA FY09/73) [OGE-orig.rec. recert./app.reqtr./Fed.appt. ref. from DHS/OIG/W]						

EP req.: __

C END OF FY 2009 FOIA/PA ANNUAL REPORT PERIOD (10/01/08-09/30/09) C

* Carry-over request--disposition shown here, but counted in the statistics for next fiscal year's report (FY10); bolded parenthetical nos.(#)=WDs pending at end of this fiscal year.

OFFICE OF GOVERNMENT ETHICS (OGE)
FREEDOM OF INFORMATION ACT (FOIA) (& FOIA/PA) REQUESTS FY 2010

Requester (OGEAtty.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

FISCAL YEAR 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-9/30/10) BEGINS

2009 (But in Fiscal Yr.(FY)10 FOIA/Privacy Act(PA) Reporting Period--10/01/09-09/30/10)

[N.B.: For new FY09 requests & future years, count same day response as <1/not 0 {but count as 1 for stats.} (+ then add. days, starting still w/1) per DOJ/OIP clarification 12/08/08 as modified by their attached 01/12/09 (item 2) FOIA posting (FY08 carry-over count same new way).]

[Note: OGE answered 3 regular FOIA reqs. & 1 FOIA/PA req. from the preceding fiscal year (FOIAFY09/70/no records [E], FOIAFY09/71/part.den. 6 [E], FOIAFY09/74/grant/full [W] & FOIAFY09/73//PAFY09/16/9 [W]/grant/full) in FY10 (2009: 4) (total WDs pending at start of FY10: 15 (<1+4+5+5); total response time: 6+12+16+16=50; those requests are counted for the stats. in this FY10 req. list report.) {underlined # means initial response completed}

[Ind. tracking nos. requirement eff. 12/31/08/sec. 7 of '07 OPEN Govt. Act FOIA amds.]

- 1.** Greenewald (BG) 10/14 [11/12] 10/26//8(BG) den./part.6 X
[OGE FOIA logs 2006-2008/E] (returned by USPS 11/10: re-sent w/9 digit ZIP 11/12)
- 2.** Greenewald (JE) 10/14 [11/12] 11/03//14(BG) grant/full X
[last 5 OGE Ethics Newsgrams/E]
- 3.** Greenewald (WS&KC) 10/14 [44/12:ext.:11/27] 11/25//29(WS) den./full 5,6 X
[2008-Jan. 19, 2009 communications w/Sen. Obama's office/E] (ext.ltr.11/12)
- 4.** Greenewald (WS&KC) 10/14 [44/12:ext.:11/27] 11/25//29(WS) no recs. X
[2008 communications w/Sen. McCain's office/E] (ext.ltr.11/12)
- 5.** (b) (6) (ER) 10/14 [11/12] 11/02//13(BG) no recs. X
(also OGEPAFY10/1/10)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]
- 6.** (b) (6) (RM) 10/15 [11/13] 11/02//12(BG) no recs. X
(also OGEPAFY10/2/11)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]
- 7.** Greenewald (BG&AG) 10/20//12/11 [11/18//01/12/'10] 12/16//<1(BG) grant/full X
[OGE employee manual/E] (11/18 feeresp.(20WDs)/reqtr.reply rec'd 12/11--OK 12/16/no charge)
- 8.** (b) (6) (BG&EN) 10/21 [11/19] 11/05//11(BG) no recs. X
(also OGEPAFY10/3/12)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]
- 9.** (b) (6) (BG&ER) 10/21 [11/19] 11/03//9(BG) no recs. X
(also OGEPAFY10/4/13)[specified indict.(s)/case(s)&apparently related materials re reqtr./W]

(Continued on next page)

#	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
10.	(b) (6) (BG&VS) (also OGEPAFY10/5/14)	10/21	[11/19]	11/04//10(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
11.	(b) (6) (AG) (also OGE PA FY10/6/15)	10/26	[11/24]	11/19//17(BG)	no recs.	X
	[specified case & apparently related materials <u>re</u> requester/W]					
12.	(b) (6) (KC) (also OGEPAFY10/7/16)	10/26	[11/24]	11/13//13(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
13.	(b) (6) (KD) (also OGEPAFY10/8/17)	10/26	[11/24]	11/23//19(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
14.	(b) (6) (KD) (also OGEPAFY10/9/18)	10/26	[11/24]	11/23//19(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
15.	(b) (6) (ER) (also OGEPAFY10/10/19)	11/02	[12/02]	11/16//9(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
16.	Cook (KC)	11/02	[12/02]	11/13//8(BG)	no recs.	X
	[recs. requests by another ind. 09/01/08-10/30/09/E]					
17.	(b) (6) (BG) (also OGEPAFY10/11/20)	11/04	[12/04]	11/13//6(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
18.	(b) (6) (BG) (also OGEPAFY10/12/21)	11/04	[12/04]	11/16//7(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
19.	Schuck (BG)	11/09	[12/09]	11/10//1(BG)	grant/full	X
	[IT staff contact information/E]					
20.	(b) (6) (ER) (also OGEPAFY10/13/22)	11/09	[12/09]	11/19//7(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
21.	(b) (6) (KC) (also OGEPAFY10/14/23)	11/17	[12/16]	11/25//6(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
22.	(b) (6) (BG) (also OGEPAFY10/15/24)	11/19	[12/18]	11/25//4(BG)	no recs.	X
	[specified indict.(s)/case(s)&apparently related materials <u>re</u> reqtr./W]					
23.	Carson (KC)	11/24	[12/23:ext.:01/08{+1}]/10	01/04/'10/25(WS) den./part.6	✓(01/14/'10/#34)	
	[meritsystems/prohibitedpersonnelpracts.policy/assess.docs./W] (ext.ltr.12/18;Govt.closed12/21)					
24.	Levine (VS)	12/01	[12/30]	12/03//2(VS)	grant/full	X
	[a nominee's ethics agreement/W&F]					

(Continued on next page)

#	Requester (OGEAttv.)	Date Recd.	[DueDate]	Date/Dec./WDs	Disp./Ex.	App.Date/#
25.	Walker (KC)	12/01	[12/30]	12/01//<1(OAP)	den./part.4,6	X
[nom.'sEA/commits./recusals/W](informal201;restclosedper12/10KCtc.req.tofiledirectlyw/DOT)						
26.	Loos (BG)	12/03	[01/04/'10]	12/10//6(BG)	--/duplicate	X
[non-careerappointeesw/ethicspledge—SF278s/pledges/waivers(follow-uptoFOIAFY09/64)/E]						
27.	(b) (6) (ER)	12/04	[01/05/'10]	12/15//7(BG)	no recs.	X
(also OGEPAFY10/16/25)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]						
28.	(b) (6) (BG)	12/04	[01/05/'10]	12/15//7(BG)	no recs.	X
(also OGEPAFY10/17/26)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]						
29.	Sullivan (BG)	12/07	[01/06/'10]	12/10//3(BG)	grant/full	X
[contracting out branchwide stats./'08 agency ethics prog. quests./E]						
30.	Bogardus (WS+KC)	11/23	[12/22{+1}:ext.:01/08/'10]	8/3 (EN)	den/part	X
[communications w/4 WH officials1 11/1/08-11/1/09/W] (logged in out-of-order) (ext.ltr.12/23)						
2010						
31.	(b) (6) (BG)	01/05	[02/03]	01/07//2(BG)	no recs.	X
(also OGEPAFY10/18/1)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]						
32.	Greenewald (BG)	01/08	[02/08]	01/15//5(BG)	den./part.6	X
[OGE FOIA log 2009/E]						
33. & 36	(b) (6) (BG)	01/12	[02/10]	03/04/10 (ER/EN)	no recs.	X
(also OGEPAFY10/19/2)[specifiedindict.(s)/case(s)&apparently related materials rereqtr./W]						
due date is 02/17 (minus 4 ½ snow days) response for FOIA 33 was late						
34.	Carson (DV)	01/14	[02/12]	3/12/10	no recs.	X
meritsystems/prohibitedpersonnelpracts.policy/assess.docs./W] (admin. app. of FY10/23) appeal denied						
35.	(b) (6) (EN)	01/19	[2/26 – ext. 3/16]	3/16(EN)	no recs.	X
[DOJ FOIA Hotline confirmed 4 ½ snow days not work days]						
[also OGEPAFY 10/22/5]						
36.	(b) (6) (ER/EN see # 33.		02/16	03/04 (ER/EN)	no recs	X
[also OGEPAFY 10/21/4] (see notes under #33 above – response for #36 was timely)						
37.	Barr (KC)	2/24	[3/24]	03/24 (KC)	den in part	X
[info on seven-named individuals]						

#	Requester (OGEAttv.)	Date Recd.	[DueDate]	Date/Dec./WDs	Disp./Ex.	App.Date/#
38.	(b) (6) (EN) (also OGEPAFY 10/20/3) Referral from State	2/25	[3/25]	3/3 (EN)	granted/full	X
39.	(b) (6) (EN) (also OGEPAFY 10/23/6)	2/24	[3/23]	3/18 (EN)	no recs.	X
40.	(b) (6) (EN) will not process unless so notified (also OGEPAFY 10/24/7)	3/5	[4/2]	3/25 (EN)	ltr to (b) (6) that OGE	X
41.	(b) (6) (EN) (also OGEPAFY 10/25/8)	3/16	[4/13]	3/18 (EN)	withdrawn	X
42.	(b) (6) (EN) (also OGE PAFY 10/26/9) (spoke with (b) (6) and treat as an oral request)	3/17	[4/14]	4/5 (EN)	no recs.	X
43.	Kenyon (EN) [Any records re. investigation of Stuart Statler, former CPSC Commissioner]	3/24	[4/22]	4/22 (EN)	no recs.	X
44.	Phillips (EN) [copies of 2007 & 2008 OGE COI prosecutions survey]	5/18	[6/15]	6/7 (EN)	granted/full	X
45.	Asensio (EN) [copies of various ethics documents]	5/18	[6/15]	6/7 (EN)	no recs.	X
46.	(b) (6) (EN) (also OGE PA FY 10/27/10)	5/28	[6/25]	6/16 (EN)	no recs.	X
47.	Nicely (EN) [Update personnel manual & no labor agreements]	6/2	[6/30]	6/17 (EN)	granted/full	X
48.	Macfarlane (EN)	6/2	[6/30]	6/7 (EN)	withdrawn	X
49.	Schreane (EN) [229.406 (Item 406) Code of Ethics]	6/14	[7/12]	6/28 (EN)	granted/full	X
50.	McElhatton (EN) [names received 208(b) waivers, 502(d) authorizations, agency supp waivers]	6/24	[7/22 – ext 8/5]	8/3 (EN)	den in part	X
51.	Movroydis (EN) [November 1999 letter from several advocacy groups re. Robert Rubin at Treasury]	6/25	[7/23]	7/19 (EN)	den in part	X

52.	Ravnitzky (EN)	6/25	[7/23]	7/9 (EN)	denied/(b)(5)	appeal
<i>[Feb 9, 2009 OGE letter to OLC]</i>						
#	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
53.	(b) (6) (EN) (also OGE PAFY 10/28/11)	6/25	[7/23]	7/6 (EN)	no recs.	X
54.	Ho (EN) <i>[documents including 278s on Elliott Broidy, U.S. Homeland Security Advisory Council]</i>	6/28	[7/26]	7/16 (EN)	no recs.	X
55.	Riley (EN) <i>[Marie Louise Hagen, former DOJ attorney from 1982 – 2003]</i>	7/6	[8/3]	7/16 (EN)	no recs.	X
56.	Ravnitzky (KC) <i>[OGE ethics audits for Feb. & Mar 2010 & listing/spreadsheet of OGE ethics audits for whatever time period is covered]</i>	7/6	[8/3]	7/27 (KC)	granted/full	X
57.	McElhatton (KC) <i>[OGE ethics audits for Jan, Feb & Mar 2010 & listing/spreadsheet of OGE ethics audits for whatever time period is covered]</i>	7/6	[8/3]	7/27 (KC)	granted/full	X
58.	Darch (EN) <i>[all docs from, regarding and to Craig Becker, Member of the NLRB]</i>	7/7	[8/4]	7/30 (EN)	den in part	yes
59.	Ravnitzky (KC) <i>[Feb 9, 2009 OGE letter to OLC -- admin appeal of 10/52 denied in full (b)(5)]</i>	7/14	[8/11]	7/27 (KC)	granted/full	X
60.	Movroydis (EN) <i>[copy of the settlement document between DOJ and Richard Holbrooke]</i>	7/14	[8/11]	7/27 (EN)	no recs.	X
61.	Rennie (KC) <i>[info submitted by Thomas Foley, in connection with his 2006 nom & conf as Amb. Ireland]</i>	7/20	[8/17]	8/9 (KC)	granted/no recs.	X
62.	(b) (6) (KC) (also OGE PAFY 10/29/12) <i>[specified non-OGE FOIA/PA record re reqtr.]</i>	7/28	[8/30]	8/9 (KC)	no recs	X
63.	O'Keefe (KC) <i>[U.S. Postal Service Program Review]</i>	8/2	[8/30]	8/9 (KC)	granted/full	X
64.	Ravnitzky (KC) <i>[Program Review Tracking Report FY2005-FY2009]</i>	8/2	[8/30]	8/16 (KC)	granted/full	X
65.	McAllister (KC) <i>[U.S. Postal Service Program Review]</i>	8/2	[8/30]	8/9 (KC)	granted/full	X
66.	(b) (6)	7/30	[8/27]	8/9 (KC)	no recs	X

(also OGEPAFY10/30/13) [specified indict.(s)/case(s)&apparently related materials re reqtr.]

Requester (OGEAttv.) Date Recd. [DueDate] Date/Dec./WDs Disp./Ex. App.Date/#

67. Popkin (KC) 8/3 [8/31] 8/9 (KC) granted/full X
[U.S. Postal Service Program Review]

68. McElhatto (KC) 8/10 8/10 (KC) granted/full X
[U.S. Postal Service Program Review from 1/1/08 to date]

69. (b) (EN) 8/5 [9/2] 8/23 (EN) no recs X
(also OGEPAFY 10/31/14) [any OGE records regarding requester]

70. Schwellenbach (KC) 8/20 [9/17] 9/29 (KC) part den X
[various named OGE agency reviews from 2005-2010]
[10 day extension requested]

71. Medsger (KC) 8/20 [9/17] 8/24 (KC) granted/full X
[most recent FBI program review]

72. Edwards (KC) 8/20 [9/17] 8/24 (KC) granted/full & no recs
[2009 FBI program review]

73. Wagner (EN) 8/20 [9/17] 9/13 (EN) granted/full X
[various named OGE agency program reviews from 2006-2010]

74. Sternstein (KC) 8/23 [9/20] 8/24 (KC) granted/full X
[2010 Interior program review and 2 related program question]

75. Holt (EN) 8/23 [9/20] 9/10 (EN) no recs.
[records of father's murder, Obbie Gene Ward]

76. Ravnitzky (KC) 8/24 [9/21] 9/8 (KC) part den
[various named OGE agency review reports from 2005-2009]

77. Darch (KC) 8/30 [9/27] 9/29 (KC) part den
[FOIA appeal from OGE FOIA FY 10/58]
[9/13/10 – 10 day extension requested]

78. Smathers (EN) 9/1 [9/29] 9/10 (EN) part den
[log of all FOIA requested received in August 2010]

*79. Ravnitzky (EN) 9/8 [10/5] 10/18 (EN) part den
[3 conflict of interest prosecution surveys]
[9/30 – 10 day extension requested until 10/19/10]

80. Ravnitzky (EN) 9/8 [10/5] 9/30 (EN) partial den

[various named OGE agency program reviews]

#	Requester (OGEAttv.)	Date Recd.	DueDate	Date/Dec./WDs	Disp./Ex.	App.Date/#
81.	Ravnitzky (EN)	9/8	[10/5]	9/30 (EN)	grant/full	<i>[list of agency program reviews from 1995-2005]</i>
82.	Smathers (EN)	9/9	[10/6]	9/20 (EN)	no recs.	<i>[copy of other FOIA request that arouse suspicion and were sent to a law enforcement office]</i>
83.	Ravnitzky (EN)	9/14	[10/12]	9/30 (EN)	grant/full	<i>[FOIA referral from EPA – request for the last 3 EPA program review reports]</i>
*84.	Ravnitzky (EN)	9/24	[10/22]	10/18 (EN)	grant/full	<i>[FOIA referral from DOJ – request is for the last 3 U.S. Marshals Service program review rpt]</i>
85.	Holt (KC)	9/27	[10/25]	9/29 (KC)	no recs.	<i>[FOIA Appeal of 10/75 for the records of (b)(6)]</i>
*86.	Ravnitzky (EN)	9/29	[10/27]	10/20 (EN)	grant/full	<i>[FOIA referral from HHS – request for the last 2 FDA program review reports]</i>
*87.	Ravnitzky (EN)	9/29	[10/27]	10/13 (EN)	grant/full	<i>[FOIA referral from DISA – request for 7/14/06 DISA program review report]</i>
*88.	Ravnitzky (EN)	9/29	[10/27]	10/13 (EN)	grant/full	<i>[FOIA referral from Fed Mediation & Conciliation Svc – request for 3/7/07 FMCS program rrv rpt]</i>
*89.	Ravnitzky (EN)	9/30	[10/28]	10/13 (EN)	grant/full	<i>[FOIA referral from Transportation (FAA) – request for last three program review reports]</i>

— END OF FY 2010 FOIA/PA ANNUAL REPORT PERIOD (10/01/09-09/30/10)—

*** Pending request— Received in FY10, but Processed in FY11, but disposition shown here (Pending at the end of FY10, but not a backlog because processed within statutory timelimit)**

OGE FY10 FOIA RESPONSE TIMES (Working Days, incl. exts.): Requests (except EP): 31+4(carry-over)=35: <1, <1, 1, 2, 2, 3, 4, 5, 6, 6, 6, 7, 7, 7, 7, 8, 8, 9 [Median: 9.0; mean (overall average): 367/35=10.5] 9, 10, 11, 12, 12, 13, 13, 13, 14, 16, 16, 17, 19, 19 □ (ext.: 3) 25, 29, 29; **Backlog?:** No [100.0% Timely (35/35) (incl. regular & EP reqs.)]; **Expedited Processing (EP) Requests** Adjudicated(=Granted or Denied) [counted separately(time); not including no formal determ./answered w/i 10CDs=not counted/Annual Rpt.]; granted: ; denied: (--/10 CDs to decide/"adjudicate") :: WDs(resps.): [Median: ; mean: =]; **Fee Waiver Requests** Adjudicated(=Granted or Denied) [not counted separately, no separate WD response calculations needed (except for Pt.VIII.B.AnnualRpt.); not including no formal determ./answered w/i 20WDs=not counted/Annual Rpt.]; **1**; granted: 1; denied: (3 WDs to decide/"adjudicate"); **Consults.from:** (WDs/not counted for OGE); **Appeals:** (): response times (incl. exts.): □□ [Median: ; mean: =] **Backlog?:** Yes/No [--.% Timely (--/--)]; Litigation: -; Pending at the end of FY09 (4): <1, 4::5 [Median: 4+5/2=4.5; mean (overall average): 15/4=3.8] 5; Pending at the end of FY10 (--): [Median: --; mean (overall average): --/--=--] [counted in FY11 rpt., disp. also shown in this FY req. listing/prior pages, but not here].

DISPOSITION TALLY: Requests (except EP): Grant/full: 5+2(carry-over)=7; part grant/part ext.: ; □□ part grant/part no recs.: ; part grant/part den.: 4+1(carry-over)=5; [<1, 1, 2, 3, 3, 5::6 {Total: 12; Med.: 5+6/2=5.5; mn.: 96/12=8.0} 8, 12, 14, 16 □□ (ext.: 1) 25]; [{EP Total (Separate): (); Med.: ; mn.: }]; **den./full: 1**; :: [E1: , E2: , E3(_)*: , E4: 1, E5: 1, E6: 6, E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; **no recs.: 20+1(carry-over)=21**; (discret. rel.); **other disposition:** not proc./req.cat./fees: ; recs. not reasonably described/clarify: ; withdrawn: ; ref. to: (+forw.); consult.w/: ; not comply regs.: ; not FOIA: ; duplicate req.: 1; (reqs. incl. personal materials:); **misdirected:** ; **fees/cat.:** ; **clar.:** ; **[also PA: 18+1(carry-over)=19]**; **Appeals:** Grant/full: ; no recs.: (upheld); part.den.+ref.&PA:(no recs.): (upheld); den./full: ; :: [E1: , E2: , E3(_)*: , E4: ; E5: , E6: , E7(A): , (B): , (C): , (D): , (E): , (F): , E8: , E9:]; (discr. rel.:); (overturned:); withdrawn: ; not FOIA: ;(apps. incl. personal materials not processed:); [also PA:].


*a. Exemption 3 denial based on the special access procedures for SF 278 Public Financial Disclosure Reports & other covered records in section 105 of the Ethics Act, 5 U.S.C. app. § 105, + OGE regs.

*b. Exemption 3 denial based on the provision for confidential financial reporting at subpart I of 5 C.F.R. part 2634 as well as section 201(d) of E.O. 12674/12731 and section 107 of the Ethics Act, 5 U.S.C. app. § 107.

*c. Exemption 3 denial based on section 402(f)(6) of the Ethics in Government Act of 1978 as amended (Ethics Act), 5 U.S.C. app. § 402(f)(6) (review), + OGE regs.

*d. Other exemption 3 denial -- based on section on section 102(f) of Ethics Act, 5 U.S.C. app. § 102(f) (trusts),+ OGE regs.

Acronyms Rec'd.by:E=E-mail;F=Form(1[+(N/A-278s)]=1); V=Verbal; W=Ltr./FAX(Written)
AG=Allison George BG=Bill Gressman EN=Elaine Newton ER=Emory Rounds JE=Julie Eirinberg KC=Kerri Cox RM=Rachel Molinaro VS=Vince Salamone WS=Walter Shaub
CDs=Calendar Days EA=Ethics Agreement EP=Expedited Processing PA=Privacy Act
FOIA=Freedom of Information Act WDs=Working Days

Note: Underlined request # = response completed (incl. any follow-up), primary reply can occur earlier & be counted for FOIA/PA statistics.[EP req.:] 

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester & OGE Atty	Date Received	Due Date	Records Sought	Status
11/01 & PA 11/1/15	(b) (6) (EN)	10/4/10	11/1/10	specific information about (b) (6) and six named individuals	Completed 10/25 – No Records
11/02	Jones (KC)	10/4/10	11/1/10	documents related to the specific steps agencies must take in a 3/16/10 memo from WH	Completed 10/26 – Partial denial (Ex 5)
11/03	Ravnitzky (EN)	10/7/10	11/4/10	FAA Program Review Reports for 1994, 1998 and 2003	Completed 10/25 – Grant/Full
11/04	Ravnitzky (KC)	10/7/10	11/4/10	list of single issue reviews conducted by OGE	Completed 10/25 – Grant/Full
11/05	Arnott (KC)	10/12/10	11/09/10	Postal Service and BBG Program Review Reports	Completed 10/25 – Grant/Full
11/06	Ravnitzky (EN)	10/7/10	11/4/10	Fed Retirement Thrift Investment Board Program Reviews for 1990, 1999 & 2004	Completed 10/26 – Grant/Full
11/07	Ravnitzky (EN)	10/4/10	11/1/10	Nuclear Waste Technical Review Board Program Review for April 2009	Completed 10/26 – Grant/Full
11/08	Barr (EN)	10/22/10	11/19/10	Any records of communication between OGE and Gingrich & Barbour and copies of FOIA requests on seven named individuals	Completed 11/12 – Partial denial (b)(6)
11/09	Kinderlin (EN)	10/22/10	11/19/10	Copies of various documents including E.O. 11222, 5 CFR parts 2600-2699 & three DOJ documents	Completed 11/1 – Grant/No Records
11/10	Carollo (EN)	10/29/10	11/26/10 – 10 day ext until 12/13	Copy of database related to travel by OGE employees	Completed 12/15 – withheld in full (b)(4)

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/11	Carollo (EN)	10/29/10	11/26/10 – 10 day ext until 12/13	Non-electronic records of OGE Director's travel since 1/1/2010	Completed 12/9 – partial release (b)(2) & (b)(6) (discretionary release)
11/12	Carollo (KC)	10/29/10	11/26/10 – 10 day ext until 12/13	All 1353 reports (paper & electronic)	Completed 12/9 – partial release (no rec for 2 nd part of request)
11/13	Ravnitzky (KC)	10/29/10	11/26/10	Six named OGE single issue reviews	Completed 11/9 – Partial Release (one doc on website) other docs withheld under (b)(5)
11/14 & PA 11/2/16	(b) (6) (EN)	11/5 /10	12/3/10	Records about (b) (6) & (b) (6) or (b) (6) and various law enforcement documents	Completed 11/22 – No Records
11/15	Smathers (EN)	11/15/10	12/13/10	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))
11/16	Bush (KC)	11/15/10	12/13/10	Documents about OGE's implementation of the Open Government Directive and any OGE contracts & billing for implementing FOIA	Completed 11/24 – partial denial (no records)
11/17	Ravnitzky (EN)	11/16/10	12/14/10	FOIA Appeal of OGE FOIA FY 11/13 for OGE single issue reviews	Completed 2/1 – partial denial – (b)(5) certain info from ftnotes (discretionary release)
11/18	Saklad (EN)	11/22/10	12/20/10	U.S. Postal Service program reviews for 2010, 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/8 – partial denial (one page w/held in part – (b)(6))
11/19	Greenwald (EN)	11/22/10	12/20/10	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))
11/20	Hines (EN)	11/23/10	12/21/10	U.S. Postal Service program reviews for 2010, 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/2 – partial denial (one page w/held in part – (b)(6))

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/21	Owens (EN)	12/1/10	12/29/10	U.S. Postal Service program reviews for 2005, 2001, 1995, 1993, 1991, 1988 & 1982	Completed 12/1 – partial denial (one page w/held in part – (b)(6))
11/22	Bigwood (EN)	12/2/10	12/30/10	U.S. Postal Service program reviews for 192, 1988, 1991, 1993, 1995, 2000 & 2005	Completed 12/6 – partial denial (one page w/held in part – (b)(6))
11/23	Crossman (EN)	12/6/10	1/3/11	U.S. Postal Service program reviews for 2005, 2000, 1995, 1993, 1991, 1988 & 1982	Completed 12/9 – partial denial (one page w/held in part – (b)(6))
11/24	Malone (EN)	12/3/10	12/31/10	Request for SF 278s of Rahm I. Emanuel from 1993 to 1998	Completed 12/10 – full denial (b)(3)
11/25	Carollo (KC)	12/17/10	1/14/11	FOIA Appeal of OGE FOIA FY 11/11 for the Director's travel records	Completed 1/4 – full denial
11/26	Carollo (KC)	12/18	1/26/11 - 10 day ext until 2/9/11	FOIA Appeal of OGE FOIA FY 11/10 for copy of database of OGE employee travel	Completed 1/26 – partial denial – (b)(6)
11/27	Brush (EN)	1/3/11	1/31/11 – 10 day ext until 2/14/11	All communication between OGE & Commodity Futures Trading Comm from 1/10 to present (1/5 telecon narrowed scope to exclude IT, admin & ed. (conf & training)	Completed 2/14 – partial denial (b)(5) & (b)(6), certain docs withheld in entirety, certain docs referred to CFTC (discretionary release)
11/28	Kent (EN)	1/7/11	2/4/11	Request for SF 278 of Dr. Brian Kent	Completed 1/10 – full denial (b)(3)
11/29	Orce (KC)	1/20	2/17/11 - 10 day ext until 3/3/11	All records for Joshua Steiner and Steven Rattner, except for records available on a 201 form	Completed 2/28/11 – partial denial (b)(2), (5) & (b) and no recs for Steiner (discretionary release)
11/30	Ray (KC)	1/24/11	2/18/11	Ethics Program Reviews for USPS 2005, 2000, 1995, 1993, 1991, 1988, 1982.	Completed 1/24 – partial denial (one page w/held in part – (b)(6))

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/31	Marks (EN)	1/18/11	2/5/11	A list of all OGE FOIA requests received in 2010	Completed 1/31/11 – partial denial (identity of requesters for records about self – (b)(6))
11/32 & PA 11/3/1	(b) (6) (EN)	1/25/11	2/22/11	Various records about (b) (6)	Completed 2/1 – no records
11/33	Ravnitzky (EN)	2/18/11	3/18/11	Referral from Labor for last three Ethics Program Reviews of Labor	Completed 3/2 – Grant/Full
11/34	Mullins (EN)	2/23/11	3/23/11 w/10 Day extension 4/4/11	List of employees receiving CD from 1/1/08 – 12/31/10 and correspondence – Expedited Processing Requested	Completed 4/1 – Grant/Full (requester amended request for only the logs and CDs issued)
11/35	Cusack (EN)	2/24/11	3/24/11	FOIA log from November 1, 2010 to February 24, 2011	Completed 2/25/11 – partial denial (identity of requesters for records about self – (b)(6))
11/36 & PA 11/4/2	(b) (6) (EN)	2/25/11	3/24/11	Various records about (b) (6)	Completed 3/11/11 – no records
11/37	Wereschagin (EN)	3/1/11	3/29/11	Accounting info of legal expenses incurred for various congressional matters – Expedited Processing Requested	Completed 3/11/11 – no records (response provided w/in 10 days)
11/38	Krote (EN)	3/1/11	3/29/11	Copies of OGE's contingency plans, guidance, reports, or correspondence with OMB regarding preparations for a government shutdown – Expedited Processing Requested	Completed 3/11/11 – full denial (b)(5) (response provided w/in 10 days)
11/39	Fugh (KC)	3/1/11	3/29/11	FOIA consultation with EPA	Completed 3/9/11 – Grant/Full
11/40	Cardenas (EN)	3/2/11	3/30/11	Request for all SF 278s of Lucinda M. Babara	Completed 3/3 – full denial (b)(3)

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/41	McCarroll (EN)	3/14/11	4/11/11	SF 278 for Betty S. Ireland	Completed 3/16 – full denial (b)(3)
11/42 & PA 11/5/3	(b) (6) (EN)	3/14/11	4/11/11	Various records about (b) (6)	Completed 3/21 – no records
11/43	Garcia (EN)	3/17/11	4/14/11	SF 278s, SF-450s and CDs for Henry Paulson from 2006 to present	Completed 3/23 – partial denial (b)(3) and release CDs
11/44	Dunbar (EN)	4/29/11	5/27/11	SF 278 for Tammy Sun and Neil Grace	Completed 5/5 – full denial (b)(3)
11/45	Ravnitzky (EN)	5/4/11	6/1/11 – 10 day extension until 6/15	OGE Guidance Letters issued to an agency between 4/1/2010 and 4/1/2011	Completed 6/24 – partial denial (b)(6) & (b)(7)(A)
11/46	Ravnitzky (EN)	5/5/11	6/2/11	Electronic copy of the most recent semiannual agency travel reports under 31 U.S.C. 1353	Completed 5/13 – granted in full
11/47	Lewis (EN)	5/12/11	6/9/11	OGE FOIA log from 2010 w/FOIA #, name of requester, and records sought	Completed 5/13 – partial denial (b)(6) – dual Privacy requests
11/48	Hudak (EN)	5/12/11	6/9/11	List of FOIA request from 2010 in which a “political appointee” personally made an inquiry, reviewed or had a hand in the disposition of the request	Completed 5/25 – no records
11/49	Ravnitzky (EN)	5/18/11	6/15/11	Electronic copy of the semiannual agency travel reports under 1353 for 2005, 2006 and 2007	Completed 6/10 – granted in full
11/50	Lopez (EN)	5/18/11	6/15/11	FOIA consult with US Trade & Development Agency for 2008 Ethics Program Review	Completed 5/19/11 – recommend granting in full

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/51	Wiens (EN)	5/19/11	6/16/11 – 10 day extension until 6/30	All opinions & waivers submitted by DOJ pursuant to 202-209 for 1/05 – present	Completed 6/30/11 – complete referral to DOJ
11/52	Johnson (EN)	6/2/11	6/30/11	List of all appointees (PAS, non-career SES & Sch C) between 1/20/01 and 5/15/11	Completed 6/17/11 – granted in full & no records
11/53	Johnson (EN)	6/2/11	6/30/11	Resumes of Sch C appointed; non-career SES employed; and PAS employed between 5/15/09 and 5/15/11	Completed 6/17/11 – granted in full & no records
11/54	Selin (EN)	6/2/11	6/30/11	List of reports OGE sent to Congress and a list of the Congressional testimony in 2010	Completed 6/21/11 – granted in full
11/55	Selin (EN)	6/2/11	6/30/11	All written communications from OGE to the Speaker of the House & Chairman of the House Committee on Oversight & Gov't Reform	Completed 6/20/11 – granted in full
11/56	Carollo (EN)	6/2/11	6/30/11	1353 reports from HHS from 2003- 2008	Completed 6/10/11 – granted in full
11/57	Carollo (EN)	6/6/11	7/5/11	Latest 1353 reports	Completed 7/5/11 – granted in full
11/58	Wiens (KC)	6/16/11	7/14/11 – 10 day extension until 7/28	Opinions & waivers submitted by SEC, NRC, Energy, FDA, FCC, Ocean Energy Mgmt Reg & Enforcement (formerly Minerals Mgmt) and FAA	Completed 6/26/11 – complete referral to DOE
11/59	Horton (EN)	6/21/11	7/19/11	Requests a copy of her OGE 450A	Completed 6/22/11 – no records
11/60	Nicely (EN)	6/28/11	7/26/11	Updates to OGE Personnel Manual from 6/1/10-5/31/11 & labor agreements (current))	Completed 7/19/11 – granted in full

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2011

Fiscal Year 2011 FOIA/PA Annual Report Period – 10/1/10 – 9/30/11

Number	Requester's Name	Date Received	Due Date	Records Sought	Status
11/61	Lee (EN)	7/8/11	7/22/11 (OSTP requested date)	OSTP consultation on an e-mail chain (2 pages) between two OGE employees and an OSTP employee	Completed 7/21/11 – partial release (b)(5)
11/62	Leoning (EN & KC)	7/5/11	8/1/11 10-day ext. until 8/12	All ethics agreements since January 2009 for the PAS filers on OGE website	Completed – multiple responses provided from 7/13 until the 6 th and final response on 8/18/ – (b)(4) & (b)(6)
11/63	Carollo (EN)	8/3/11	8/31/11	Access to or copies of database tracking FOIA requests since 1/1/09	Completed 8/11/11 – partial release (b)(4) and (b)(6)
11/64	Brown (EN)	9/7/11	10/6/11	OGE organizational chart – CIO office & contact information	Completed 9/8/11 – Grant/Full (verbal response—staff director on OGE website)
11/65	Norton – oral request (EN)	8/30/11	9/27/11	OGE letter to the Interior IG re Norton involvement with oil shale leases (2009 or 2010)	Completed 9/26/11 – partial release (b)(5) (discretionary release)
11/66	Smathers (KC)	9/19/11	10/17/11	All records on, about, mentioning or concerning Government Attic website	Completed 10/4/11 – Grant/Full
11/67	Farrar (EN)	9/19/11	10/17/11	Information/official guidelines on the use of professional signatures during performance of official duties	Completed 9/29/11 – no records
11/68	Epstein (EN)	9/26/11	10/24/11 (ext. to 11/7/11)	Various documents concerning the pledge and pledge waiver	Completed 11/2/11 – Grant/Full & no records
11/69	Cairncross (EN)	9/28/11	10/26/11	All documents related to any 278 & ethics agreement filed by Elizabeth Warren, Former Assistant to the President and Special Advisor to the Treasury Secretary	Completed 10/11/11 – no records

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2012

Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

Number	Requester	OGE Atty	Date Rec'd	Due Date	Records Sought	Status	Exemptions	Date Completed	Response Time	Other Trackable Elements
11/66	Smathers	KC	9/19/11	10/17/11	All records on, about, mentioning or concerning Government Attic website	Full Grant	N/A	10/4/11	11 Days	Pending Request from FY11
11/68	Epstein	EN	9/26/11	10/24/11 10-Day Ext: 11/7/11	Various documents concerning the pledge and pledge waiver	Partial Grant - No Records	N/A	11/2/11	26 Days	Pending Request from FY11
11/69	Cairncross	EN	9/28/11	10/26/11	All documents related to any 278 & ethics agreement filed by Elizabeth Warren, Former Assistant to the President and Special Advisor to the Treasury Secretary	Full Denial - No Records	N/A	10/11/11	8 Days	Pending Request from FY11
12/01	Corbin	RD	10/11/11	11/8/11	Request for basic information about OGE's functions and available information	Full Grant	N/A	10/14/11	3 Days	
12/02	Bodney	EN	10/7/11	11/4/11 10-Day Ext: 11/18/11	All records regarding the possible appointment of (b) (6)	Glomar	(b)(6)	12/12/11	43 Days	

OFFICE OF GOVERNMENT ETHICS (OGE)

Freedom of Information Act (FOIA) Requests FY 2012

Fiscal Year 2012 FOIA/PA Annual Report Period – 10/1/11 – 9/30/12

12/03	Seife	RD	10/13/11	11/11/11	Documents that show HHS, NIH, FDA have consulted with OGE on 208 waivers from Jan. 2005 to present	Partial Grant - Exemption	(b)(6)	11/5/11	13 Days	
12/04 PA 12/1/4	(b) (6)	EN	10/20/11	11/17/11 10-Day Ext: 12/1/11	Investigative documents compiled in response to OGE IG referral	Partial Grant - Exemption	(b)(6)	12/1/11	28 Days	Referral from NRC (Sealing) Partial referral to NRC, DOE, and DNFSB Consultation with NRC (Sealing)
12/05	Smathers	EN	10/19/11	11/16/11	Copy of FOIA templates	Full Grant	N/A	10/26/11	5 Days	Discretionary Release (b)(5)
12/06	Corbin	EN	10/31/11	11/28/11	Information about the standards, criminal COI laws, and training products	Full Grant	N/A	11/1/11	1 Day	
12/07	Johnson	RD	11/16/11	12/14/11	Copy of purchase cardholders list	Full Grant	N/A	11/18/11	2 Days	
12/08 PA 12/2/5	(b) (6)	EN	11/30/11	12/28/11	Any documents about (b) (6)	Full Denial - No Records	N/A	12/5/11	3 Days	
12/09	Cairncross	RD	12/8/11	1/6/12	All files related to the 278 and EA of Richard Carmona	Partial Grant - Exemptions	(b)(5) (b)(6)	1/3/12	18 Days	Partial referral to HHS

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12/10	Drake	EN	12/8/11	1/6/12	SOC and employee manual	Partial Grant - No Records	N/A	12/12/11	2 Days	N/A
12/11	Ravnitzky	RD	12/12/11	1/9/12	Copy of records given to Issa in Jan. 2011 and any other 2011 correspondence to Issa	Partial Grant -Exemptions, No Records	(b)(6)	1/9/12	20 Days	Request for electronic version; Email response
12/12 PA 12/3/1	(b) (6)	RD	12/14/11	1/11/12 10 Day Ext: 1/25/12	Copy of opinion requested by Army Corp of Engineers, OGE's response, any comments on request, and Army annual ethics report	Partial Grant - No Records	N/A	1/23/12	28 Days	Consultation with Dept. of the Army
12/13	Hershberg	RD	1/4/12	2/1/12	Org. directory of OGE HQ and field offices; OGE FOIA/PA policy/procedure; OGE publication for filing a complaint	Partial Grant - No Records	N/A	1/9/12	3 Days	N/A

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12/14 PA 12/4/2	(b) (6)	RD	1/5/12	2/2/12	FOIA Appeal of OGE FOIA 12/04 & PA 12/1/4 for Investigative documents compiled in response to OGE IG referral	Partial Grant - Exemption	(b)(6)	1/26/12	14 Days	Appeal – Affirmed
12/15 PA 12/5/3	(b) (6)	RD	1/5/12	2/2/12	Written confirmation that the OGE referral took place; records explaining why DOE, DNFSB, and NRC have not responded	Partial Grant - No Records	N/A	1/26/12	14 Days	New request included in appeal
12/16	Bodney	RD	1/11/12	2/9/12	FOIA Appeal of OGE FOIA 12/02 for records regarding the possible appointment of (b) (6)	Glomar	(b)(6)	2/3/12	16 Days	Appeal – Affirmed
12/17 PA 12/6/4	(b) (6)	RD	1/11/12	2/9/12 (10 day ext to 2/27/12)	All records regarding the possible appointment of (b) (6)	Partial Grant – Exemption Partial Grant Unresponsive Partial Grant – Records referred to other agencies	(b)(5) (b)(6)	2/22/12	27 Days	New request included in appeal that provided release form Partial referral to Dept. of State

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12/18	Smathers	RD	1/24/12	2/21/12 (10 day ext to 3/6/12)	All emails to or from Don W. Fox that were created between 11-9-11 and 11-16-11.	Partial Grant – Exemption Partial Grant – Not Agency Records Partial Grant – Records referred to other agency	(b)(2) (b)(5) (b)(6)	3/6/12	30 Days	Consultation with ACUS Partial referral to CIGIE
12/19	Smathers	RD	1/24/12	2/21/12	All OGE Form 202, “Notice of Conflict of Interest Referral Form” received in calendar year 2011.	Full Denial – All records referred to other agencies	N/A	2/6/12	9 Days	Referral to 16 agencies
12/20	Cairncross	RD	1/27/12	2/24/12	Any records of formal complaints filed against Richard Carmona & any emails sent to/from OGE with “Carmona” and “problem” in subject line	Partial Grant – Exemption	(b)(5)	2/8/12	9 Days	

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12/21	Kuo	RD	2/6/12	3/5/12	All documents relating to presidential candidate Mitt Romney and his application for approval by OGE of the Ann Romney Blind trust, or related blind, or qualified, trusts.	Full Denial - No Records	N/A	2/15/12	8 Days	
12/22	Ravnitzky	RD	2/17/12	3/16/12	Last three program reviews of the FEMA's Ethics Program	Partial Grant – Exemption	(b)(6)	3/5/12	10 Days	Referral from FEMA (Bennett) Consultation with FEMA (Wilson) Posted to website/filed in binders
12/23	Ravnitzky	RD	3/2/12	3/30/12 Response time tolled for one day (3/14) to resolve fee-related issues	OGE opinion letters or guidance letters to agencies concerning individual Ethics situations between 1/1/00 and 10/1/10	Partial Grant – Exemption	(b)(6)	3/21/12	12 Days	Requester agreed to accept a copy of the records we have retrieved as of 3/14/12 and to close the request

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12/24	Barylak	RD	3/7/12	4/4/12	Records related to Nancy Beck's participation in OMB actions and decisions affecting the interests of the ACC between 1/1/2010 and the conclusion of her tenure at OIRA	Full Denial - No Records	N/A	3/20/12	9 Days	
12/25	McMillian	RD	3/12/12	4/9/12	BPD Evaluation statement prepared to support the determination of the classification of position description	Full Denial - No Records	N/A	3/13/12	1 Day	
12/26	McMillian	RD	4/9/12	5/7/12	BPD Evaluation statement prepared to support the determination of the classification of position description	Full Grant	N/A	4/16/12	5 Days	3/26/12: Referral from BPD (Nelson) 4/9/12: Received records, opened up as new request
12/27	Balaev	RD	3/28/12	4/25/12	All OGE Forms 201	Full Denial – Exemption	(b)(3)	4/9/12	8 Days	

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12/28	Smathers	RD	4/13/12	5/11/12 Response time tolled (4/18/12) to resolve fee-related issues	All emails to or from Don W. Fox that were created between 1-1-12 and the date this request is processed (4/13/12)	Full Denial – Fee-Related Reason	N/A	5/31/12	3 Days	Fee waiver denial determination sent 4/18/12; no appeal, payment, or reformulation of request. Closed request.
12/29	Smathers	EB	4/13/12	5/11/12	FOIA Appeal of OGE FOIA FY 12/18 for all emails to or from Don W. Fox that were created between 11-9-11 and 11-16-11.	Partial Grant – Exemption Partial Grant – Not Agency Records	(b)(2) (b)(5) (b)(6)	5/10/12	19 Days	Appeal – Affirmed
12/30	Cairncross	RD	4/16/12	5/14/12	Any records of investigation or disciplinary actions taken take against Richard Carmona; specific correspondence records	Full Denial - No Records	N/A	4/23/12	5 Days	

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12/31	Hightower	RD	4/18/12	5/17/12	Copies of all documents the Department of Commerce Deputy Secretary Denis Hightower is required to file, including the Supplement (or updated information) to his “New Entrant Ethics Agreement”.	Partial Grant – No Records	N/A	4/23/12	3 Days	Partial referral to Dept. of Commerce
12/32	Epstein	RD	4/24/12	5/22/12	All documents referring to or relating to any OGE investigation into or determination made regarding GSA’s compliance with the Standards of Conduct between 1-1-09 – 4-24-12	Full Grant	N/A	5/16/12	16 Days	Partial Discretionary Release (b)(5) Consultation with GSA
12/33 & PA 12/7/5	(b) (6)	EN	5/8/12	6/4/12	Any records about (b) (6)	Full Denial - No Records	N/A	5/16/12	6 Days	

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12/34	Hightower	EN	6/5/12	7/3/12	FOIA Appeal of OGE FOIA 12/31 Copies of all documents the Department of Commerce Deputy Secretary Denis Hightower is required to file.	Partial Grant – No Records	N/A	6/27/12	16 Days	Appeal – Affirmed
12/35	Sullivan	RD	6/11/12	7/10/12	Materials that Gov. Romney and his wife may have filed with OGE regarding the irrevocable trusts that they set up under Mass. law in 2003.	Full Denial - No Records	N/A	6/26/12	12 Days	
12/36	Brown	RD	6/12/12	7/11/12	A copy of a facilitation package	Partial Grant – Exemption	(b)(6)	6/25/12	9 Days	
12/37	Pelaez	RD	6/18/12	Imperfect Request	FEMA's transmittal letter of new map dated 11/16/11 known as US "X Zone"	Full Denial – Records not Reasonably Described	N/A	N/A	N/A	Request for clarification sent 6/19/12; closed request 7/19/12

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12/38 & PA 12/8/6	(b) (6)	RD	7/5/12	8/2/12	Verification that letters to OGE were received, information on seizures, information on whether anyone has acted as requester or on requester's behalf, and information on payouts of unclaimed retrieved stolen mail.	Full Denial – No Records	N/A	7/18/12	9 Days	
12/39	LaBarre	RD	7/24/12	8/21/12	Records obtained or created from January 1, 2003 to present regarding whether the OGE website complies with Section 508 of the Rehabilitation Act.	Full Grant	N/A	8/6/12	9 Days	
12/40	Tomkins	RD	7/27/12	8/24/12	Financial disclosure statements filed by U.S. District Court Judges George W. Lindberg and Robert M. Dow, Jr. between 1-1-05 and 7-18-12.	Full Denial – No Records	N/A	8/6/12	6 Days	

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12/41	Ravnitzky	RD	8/6/12	9/4/12	Electronic/digital copy of the database that records and lists all the Program Review Division Reports, sometimes called Program Review Reports.	Full Grant	N/A	8/16/12	8 Days	
12/42	Ravnitzky	RD	8/7/12	9/5/12	OGE opinion letters/guidance letters to agencies concerning individual Ethics situations issued between 4/1/11 and 8/7/12.	Partial Grant – Duplicate request Partial Grant – Exemption	(b)(6)	8/20/12	9 Days	
12/43	Ravnitzky	RD	8/7/12	9/5/12	Electronic/digital copy of the listing/inventory of training videos at OGE.	Full Grant	N/A	8/16/12	7 Days	
12/44	Herbsman	RD	8/14/12	9/12/12	OGE278s filed by Ambassador Vincent Obsitnik form 2006-2009.	Full Denial – Exemption	(b)(3)	8/16/12	2 Days	
12/45	Gillum	RD	8/14/12	9/12/12	Correspondence to or from Rep. Paul Ryan or his staff from Jan. 3, 1999 to present	Full Denial – No Records	N/A	8/22/12	9 Days	Request for Expedited Processing – Granted (No Adj)

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12/46	Ravnitzky	RD	9/6/12	10/4/12	Digital/electronic copy of each Program Review in FY12	Full Grant	N/A	9/10/12	2 Days	
12/47	Carter	RD	9/12/12	Imperfect Request	Non-electronic filing physicians for the CA region.	Full Denial – Records not Reasonably Described	N/A	N/A	N/A	Request for clarification sent 9/17/12; close request 10/17/12 if no response
12/48	Greenewald	RD	9/14/12	10/15/12	FOIA case logs for CY 2010 and 2011	Partial Grant – Exemption	(b)(6)	9/17/12	1 Day	

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OGE Freedom of Information Act (FOIA) Requests FY 2013
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Number	Requester	OGE Atty	Date Rec'd	Due Date	Records Sought	Status	Exemptions	Date Completed	Response Time (Days)	Other Trackable Elements
12/4 7	Carter	RD / KC	9/12/2012	Imperfect Request	Non-electronic filing physicians for the CA region	Full Denial – Records not Reasonably Described	N/A	10/17/2012 Q1 - 1	N/A	Pending request from FY2012 Request for clarification sent 9/17/12; closed request 10/17/12
13/01	Smallberg	RD / KC	10/2/2012 Q1 - 1	10/31/2012	18 USC 207(c) waiver requests and letters issued in 1991 and 2003 for SEC positions	Partial Grant - Referral	N/A	10/15/2012 Q1 - 2	8	Partial referral to SEC
13/02	Chato	RD / KC	10/24/2012 Q1 - 2	11/27/2012	Records pertaining to the 1998 Clinton Legal Defense Fund, specifically donor lists and contribution records	Partial Grant - Exemption	(b)(5)	11/20/2012 Q1 - 3	18	
13/03	Payton	RD / KC	10/25/2012 Q1 - 3	11/28/2012 Two working days added to account for hurricane closures	Correspondence between Gov. Chris Christie and OGE from 1995 to present	Full Denial - No Records	N/A	11/7/2012 Q1 - 4	9	

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13/04 PA 13/1/7	(b)(6)	RD / AG	10/31/2012 Q1 - 4	11/30/2012	Emails that contain the word (b)(6) produced by an electronic search of emails from certain individuals at OGE from December 2010 to October 31, 2012	Full Grant	N/A	11/8/2012 Q1 - 5	6	
13/05 PA 13/2/8	(b)(6)	LF / JG	11/13/2012 Q1 - 5	12/12/2012	Appeal of 13/04 - Alleges inadequate search for emails that contain the word (b)(6) produced by an electronic search of emails from certain individuals at OGE from December 2010 to October 31, 2012	Full Grant	N/A	12/3/2012 Q1 - 6	13	Appeal - Affirmed
13/06	McElhatton	RD / KC	11/20/2012 Q1 - 6	12/19/2012	Communications between OGE and the CIA during Oct. and Nov. 2012; and between OGE and DoD from Nov. 2012 - present	Full Denial - Referral	N/A	12/7/2012 Q1 - 7	18	Referral to CIA Referral to Army Referral to OSD

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13/07	Bracking	RD / KC	12/6/2012 Q1 - 7	1/4/2013	OGE Form 278 for Paul Bodnar, Foreign Affairs Officer in the Office of Global Change at the U.S. Department of State	Full Denial - Exemption	(b)(3)	12/10/2012 Q1 - 8	2	Request for Expedited Processing - Granted (No Adj.)
13/08	Ravnitzky	RD / KC	12/6/2012 Q1 - 8	1/4/2013	Letters from OGE to a Congressional Office dated between 8/1/12 and 12/11/12	Full Grant	N/A	12/12/2012 Q1 - 9	3	
13/09	Ravnitzky	RD / KC	12/6/2012 Q1 - 9	1/4/2013	Correspondence between OGE and DOJ concerning the requirement for federal employees to file the OGE Form 278-T from 9/1/12 to 12/11/12	Partial Grant - Exemption	(b)(6)	12/17/2012 Q1 - 10	7	
13/10	Morisy	RD / KC	12/31/2012 Q1 - 10	1/30/2013	Comments received from federal agencies during the last six months on the STOCK Act	Full Grant		1/2/2013 Q2 - 1	1	Frequent Request (#1)
13/11	Lunney	RD / KC	1/7/2013 Q2 - 1	2/5/2013	Comments received from federal agencies on the STOCK Act	Full Grant		1/8/2013 Q2 - 2	1	Frequent Request (#2)

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13/12	Ravnitzky	RD / KC	1/8/2013 Q2 - 2	2/6/2013	Comments received from federal agencies on the STOCK Act from Oct. 1, 2012 - present	Full Grant		1/8/2013 Q2 - 3	1	Frequent Request (#3)
13/13	Payton	RD / KC	1/14/2013 Q2 - 3	2/12/2013	Correspondence between now-former Senator Scott Brown and the Director from 2010 to the present	Full Denial - No Records		1/28/2013 Q2 - 4	9	
13/14	Ravnitzky	RD / KC	1/16/2013 Q2 - 4	2/14/2013	A copy of the Ethics Complaint filed by a variety of organizations with OGE concerning Mitt Romney, and a copy of any memos associated with or resulting from this Complaint, including the resulting ethics opinion	Full Grant		1/30/2013 Q2 - 5	9	
13/15	Ravnitzky	RD / KC	1/16/2013 Q2 - 5	2/14/2013	A copy of records mentioning steps being taken by OGE to address the high rate of tax delinquencies among OGE employees	Full Grant		1/28/2013 Q2 - 6	7	Frequently Requested (#1)
13/16	Ravnitzky	RD / KC	1/22/2013 Q2 - 6	2/20/2013	A copy of OGE's sequestration plan	Full Denial - No Records		1/30/2013 Q2 - 7	6	

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13/17	Greenewald	RD / KC	1/22/2013 Q2 - 7	2/20/2013	A copy of OGE's FOIA case log for CY 2012	Partial Grant - Exemption		1/28/2013 Q2 - 8	4	
13/18	Morisy	RD / KC	1/22/2013 Q2 - 8	2/20/2013	OGE Ethics Pledge Assessment Reports for 2009-2012	Partial Grant - No Records		1/28/2013 Q2 - 9	4	Fee Waiver Granted - (No Adj.) Frequently Requested (#1)
13/19	Williams	RD / KC	1/22/2013 Q2 - 9	2/20/2013	Rules of guidelines of categories that are of concern to your office	Partial Grant - No Records		1/28/2013 Q2 - 10	4	
13/20	Ravnitzky	RD / KC	1/24/2013 Q2 - 10	2/22/2013	OGE Ethics Pledge Assessment Reports for 2009-2012	Partial Grant - No Records		1/28/2013 Q2 - 11	2	Frequently Requested (#2)
13/21	Hershberg	RD / KC	2/7/2013 Q2 - 11	3/8/2013	A copy of OGE's policy, procedure, regulations, and/or guidelines outlining employee misconduct; and a copy of OGE's complaint form for reporting federal employee misconduct	Partial Grant - No Records		2/19/2013 Q2 - 12	7	
13/22	Bailey	RD / KC	2/7/2013 Q2 - 12	3/8/2013	Records concerning, regarding, or related to agency efforts to reduce the rate of tax delinquency among federal employees	Full Grant		2/19/2013 Q2 - 13	7	Frequently Requested (#2)

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13/23	Gerstein	RD / KC	2/11/2013 Response time tolled until 2/19/13 to clarify request Q2 - 13	3/18/2013 10-day extension deadline 4/1/13	Records of contact between OGE and members of the White House staff regarding ethics, COI, and FD matters and policy			Pending		Clarified on 2/19/13 to exclude financial disclosure forms and ethics waivers Consultation with WH Batch 2 - Discretionary release of portions of documents that could have been withheld pursuant to (b)(5)
13/24	Troutman	RD / KC	2/12/2013 Q2 - 14	3/13/2013	Name and email address for employees who serve in certain positions at OGE	Partial Grant - No Records		2/19/2013 Q2 - 14	4	
13/25	Cantergiani	KC	2/25/2013 Q2 - 15	3/17/2013	Information about missing or stolen government owned items from 2007 - 2012.	Full Release	N/A	3/13/2013 Q2 - 15	12	Request for Expedited Processing (No. Adj.)
13/26	Morisy	AL / KC	3/13/2013 Q2 - 16	4/9/2013	Copies of contracts with Booz Allen Hamilton over the past 5 years and any final reports generated and delivered by Booz Allen Hamilton to OGE over the past 5 years	Full Denial - No Records	N/A	4/2/2013 Q3 - 1	15	

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13/27	Payton	KC	3/21/2013 Q2 - 17	4/18/2013	Copies of official correspondence (including constituent correspondence) between Representative Bachmann and the Director and the Principal Deputy Director from January 3, 2007 to the present.	Full Denial - No Records	N/A	4/2/2013 Q3 - 2	9	
13/28	Scheidel	KC	3/22/2013 Q2 - 18	4/19/2013	Reports showing all RTC regulations submitted to the OGE which were placed on hold during 1991-1994 set to expire as December 31, 1994 without action by the RTC or FDIC; and a copy of the letter or letters to the FDIC informing them of the expiration of the RTC's requests for approval of 12 CFR 1605.44	Partial Grant - Referral	N/A	4/9/2013 Q3 - 3	13	

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13/29	Bailey	AL / DF	4/9/2013 Q3 - 1	5/7/2013	Appeal of 13/22 - Alleges that OGE failed to state which exemption was used for each "unresponsive" portion of the records provided in response to the request for records concerning, regarding, or related to agency efforts to reduce the rate of tax delinquency among federal employees	Full Grant		4/22/2013 Q3 - 4	9	Appeal - Reversed / Remanded
13/30	Berg	KC	4/15/2013 Q3 - 2	5/13/2013	All documents or memos regarding guidelines for appropriate office appeal.	Full Grant		4/24/2013 Q3 - 5	8	
13/31 PA 13/3/9	(b)(6)	RD/KC	4/15/2013 Q3 - 3	5/13/2013	Copy of background investigation and findings for (b)(6) (b)(6)	Full Denial - No Records		4/17/2013 Q3 - 6	2	

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13/32	Ravnitzky	MA/KC	4/22/2013 Q3 - 4	5/20/2013	A copy of each written response or letter from OGE to a Congressional Committee (not a congressional office) (or Committee Chair) in calendar years 2010, 2011, 2012 and 2013 to date	Full Grant		5/20/2013 Q3 - 7	20	Frequently Requested (#1)
13/33	Ravnitzky	MA/KC	4/29/2013 Q3 - 5	5/28/2013 10-day extension deadline 6/11/13	Records describing the impact of federal budget sequestration upon OGE's operations and functions; limited to records created or finalized since February 1, 2013.	Partial Grant - Exemptions	(b)(5) and (b)(6)	6/11/2013 Q3 - 8	30	Includes the discretionary release of a document that could have been withheld pursuant to (b)(5).
13/34 PA 13/4/10	(b)(6)	KC	4/29/2013 Q3 - 6	5/24/2013	Copies of Passport, application for citizenship, and alien registration	Full Denial - No Records		4/30/2013 Q3 - 9	1	
13/35 PA 13/5/11	(b)(6)	KC	5/1/2013 Q3 - 7	5/28/2013	All records relating to DoD Ethics Review ordered by Leon Panetta in (b)(6)	Partial Grant - Referral		5/21/2013 Q3 - 10	15	

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13/36	Morisy	MA/KC	5/6/2013 Q3 - 8	6/4/2013	A copy of all responses sent to Congressional committees between Jan. 1, 2008 and the date that this request is processed	Full Grant		6/5/2013 Q3 - 11	21	Received two other duplicate requests. Frequently Requested (#2)
13/37	McElhatton	AL/KC	5/20/2013 Q3 - 9	6/18/2013	Copies of all correspondence, including email, to and from the Department of Health and Human Services from May 10, 2013 to May 20, 2013.	Full Grant		6/11/2013 Q3 - 12	11	
13/38	(b)(6)	RD/KC	5/24/2013 Q3 - 10	6/24/2013	Records related to an FBI and HHS/OIG investigation	Full Denial - No Records		6/5/2013 Q3 - 13	7	
13/39	Brown	KC	5/28/2013 Q3 - 11	6/25/2013	The Organizational Charts for the CIO, including the names and titles/departments of the people who report to the CIO.	Partial Grant - No Records		5/29/2013 Q3 - 14	1	
13/40	Negroni	DC	7/16/2013 Q4 - 1	8/13/2013	Information about public financial disclosure report filers	Partial Grant - No Records		8/13/2013 Q4 - 1	20	
13/41	Yang	MA	7/23/2013 Q4 - 2	8/20/2013	Information on a 2000 or 2001 hearing involving an expert witness	Full Denial - No Records		8/1/2013 Q4 - 2	7	

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13/42	Chin	MA	8/12/2013 Response time tolled until 8/19 to clarify fee category Q4 - 3	9/10/2013 Tolled 5 days (fee category); deadline 9/17/13 10-day extension deadline 10/1/13	Copies of public comments for 10 proposed rules	Partial Grant (Exemptions)	(b)(6)	10/17/2013	41	Responded in FY14
13/43	Vance	DC	8/14/2013 Q4 - 4	9/12/2013	Copy of the first 100 pages of the most current DAEO list	Full Grant		8/22/2013 Q4 - 3	6	
13/44	Foreman	MA	9/16/2013 Q4 - 5	10/15/2013	DC's public records statutes; federal statutes that govern OGE; and any other relevant documents that explain the functions and operations of OGE, including a list of employee personnel	Partial Grant - No Records		9/23/2013 Q4 - 4	5	Request for Expedited Processing - Granted (No Adj.)
13/45	Cusack	DC	9/25/13 Q4 - 6	10/24/2013	FOIA log from Jan. 1, 2013 through the date this request letter is answered	Partial Grant (Exemptions)	(b)(6)	11/7/2013	29 Days	Responded in FY14

OGE Freedom of Information Act (FOIA) Requests FY 2013
Fiscal Year 2013 FOIA/PA Annual Report Period: 10/1/12 - 9/30/13

13/46	Ravnitzky	HM	9/30/2013 Q4 - 7	10/29/2013 Tolled 1 day (clarify); deadline 10/30/13	Log of Congressional inquiries	Partial Grant - No Records Partial Grant - Exemption	(b)(6)	10/31/2013	21	Responded in FY14
13/47	Ravnitzky	MA	9/30/2013 Q4 - 8	10/29/2013	2007 Budget Submission/Annual Performance Plan	Full Grant		10/28/2013	19	Responded in FY14

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

Number	Requester	OGE Emp	Date Rec'd	Due Date	Records Sought	Status	Exemptions	Date Completed	Response Time (Days)	Other Trackable Elements	Quarterly Report
13/23	Gerstein	RD/AL	2/11/2013 Response time tolled until 2/19/13 to clarify request	3/18/2013 10-day extension deadline 4/1/13	Records of contact between OGE and members of the White House staff regarding ethics, COI, and FD matters and policy			Pending		Clarified on 2/19/13 to exclude financial disclosure forms and ethics waivers Consultation with WH Discretionary release of portions of documents that could have been withheld pursuant to (b)(5) Pending request from FY 2013	Received - Q2-13 (FY13) Processed -
13/42	Chin	MA/AL	8/12/2013 Response time tolled until 8/19 to clarify fee category	9/10/2013 Tolled 5 days (fee cat.); deadline 9/17/13 10-day ext. deadline 10/1/13	Copies of public comments for 10 proposed rules	Partial Grant (Exemptions)	(b)(6)	10/17/2013	41	Pending request from FY 2013	Received - Q4-3 (FY13) Processed - Q1-1

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

13/45	Cusack	DC	9/25/13	10/24/2013	FOIA log from Jan. 1, 2013 through the date this request letter is answered	Partial Grant (Exemptions)	(b)(6)	11/7/2013	29 Days	Pending request from FY 2013 Frequently Requested #1	Received - Q4-6 (FY13) Processed - Q1-2
13/46	Ravnitzky	HM	9/30/2013 Response time tolled until 10/29 to clarify request	10/29/2013 Tolled 1 day (clarify); deadline 10/30/13	Log of Congressional inquiries	Partial Grant (Exemptions) Partial Grant (No Records)	(b)(6)	10/31/2013	21 Days	Clarified on 10/28/13 to limit request to logs from 1/1/10-present. Pending request from FY 2013	Received - Q4-7 (FY13) Processed - Q1-3
13/47	Ravnitzky	MA	9/30/2013	10/29/2013	2007 Budget Submission/Annual Performance Plan	Full Grant	N/A	10/28/2013	19 Days	Pending request from FY 2013	Received - Q4-7 (FY13) Processed - Q1-4

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/01	Palazzolo	DC	10/17/13	11/15/2013	Requests by executive agencies and personnel seeking waiver of the PGE restrictions at 18 USC 207(a) and (c) since 2000	Partial Grant (Records Referred)	N/A	11/13/2013	18 Days	Partial Referral to SEC	Received - Q1-1 Processed - Q1-5
14/02 PA 13/1/12	(b)(6)	HM	10/17/13	11/15/2013	Records provided in (b)(6) by OGE to PBGC regarding an employee's representation of an outside entity; and any referral records noting that the employee's alleged COI violation has been referred to the AG, including the Form 202	Partial Grant (Exemptions) Partial Grant (Records Referred)	(b)(5), (b)(6)	11/14/2013	20 Days	Consultation with PBGC Partial Referral to PBGC	Received - Q1-2 Processed - Q1-6
14/03	Smathers	DC	10/21/2013	11/19/2013	FOIA log for FY13	Partial Grant (Exemptions)	(b)(6)	11/7/2013	13 Days	Frequently Requested #2	Received - Q1-3 Processed - Q1-7

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/04	Afflitto	MA	11/20/2013	12/19/2013	Records related to former Colonel John Milton Chivington; the Sand Creek Massacre of 1864; and the 3rd Colorado Territory Volunteer Regiment.	Full Denial (No Records)	N/A	11/22/2013	2 Days		Received - Q1 - 4 Processed - Q1 - 8
14/05	O'Brien	HM	12/2/2013	12/31/2013	Records related to the WikiLeaks website or organization from January 2006 to the present date	Full Grant	N/A	12/6/2013	4 Days	Request for Expedited Processing - Granted (No Adj.)	Received - Q1 - 5 Processed - Q1 - 9
14/06	Maas	MA	12/9/2013	1/8/2014	All replies and releases under FOIA to Leadership Directories Inc.; and the names and email addresses of all senior executive service officials (OMB Plum Book positions).	Full Denial (No Records)	N/A	1/8/2014	20 Days		Received - Q1 - 6 Processed - Q2 - 1
14/07	Hamed	DC	12/30/2013	Imperfect Request	Position (employee), finance regulations, or what is required.	Full Denial – Records not Reasonably Described	N/A	2/14/2014	N/A	Request for clarification sent 1/15/14; close request on 2/14/14 if no response	Received - Q1 - 7 Processed - Q2 - 3

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/08	Ravnitzky	HM	1/9/2014	2/7/2014	A copy of any correspondence to or from the CIA retrieved from an electronic search of the OGE CTS. Requester later clarified that "correspondence" meant letters, not emails.	Full Denial (Records Referred)	N/A	2/4/2014	20 Days	Clarified on 1/22/14 to further define correspondence as letters only, not emails Referral to CIA (referred all 3 responsive documents)	Received - Q2 - 1 Processed - Q2 - 2
14/09 PA 14/2/1	(b)(6)	MA	1/9/2014	2/7/2014	A copy of any emails in the OGE FOIA Office that contain the word (b)(6)	Partial Release (Exemptions) Partial Release (Records Referred)	(b)(5), (b)(6)	2/7/2014	20 Days	Partial referral to HHS	Received - Q2 - 2 Processed - Q2 - 4
14/10	Afflitto	DC	1/9/2014	2/7/2014	Appeal of OGE FOIA 14/04 for lack of thoroughness and concern	No Records	N/A	2/3/2014	16 Days	Appeal - Affirmed.	Received - Q2 - 3 Processed - Q2 - 5
14/11 PA 14/3/2	(b)(6)	HM	1/22/2014	2/20/2014	A copy of any emails in the OGE FOIA Office that contain the word (b)(6)	Full Release	N/A	2/19/2014	18 Days		Received - Q2 - 4 Processed - Q2 - 6

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/12	Maas	MA	1/22/2014	2/20/2014	Correspondence between OGE and Leadership Directories.	No Records	N/A	2/10/2014	13 Days	Requester sent following clarification on 2/3/14: "any single responsive agency record after January 1, 2014, for "Leadership Directories" should be deemed to satisfy the request, whether fax, email or other record see the attached responsive records exemplars (3 files) FYI." Fee Waiver Granted - (No Adj.)	Received - Q2 - 5 Processed - Q2 - 7
14/13	Payton	DC	2/6/2014	3/7/2014 Tolled 1 day - Snow day	Copies of all personal financial disclosure reports (and any amendments) of Edward Walter Gillespie (a/k/a Ed Gillespie) whom served as a Counselor to the President from 2007 to 2010.	Full Denial - Exemptions	(b)(3)	2/27/2014	13 Day		Received - Q2 - 6 Processed - Q2 - 8

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/14	Greenwalde	HM	2/24/2014	3/24/2014 (sent an email asking for clarification 2/25/14)	A copy of the FOIA Case Log for 2013.	Partial Release - Exemptions	(b)(6)	3/21/2014	1 Day	Frequently Requested #3	Received - Q2 - 7 Processed - Q2 - 9
14/15	Mandel	HM	5/27/2014	6/24/2014	All financial disclosure statements filed by former US Ambassador to Germany from 2009-2013	Full Denial - Exemptions	(b)(3)	6/10/2014	10 Days		Received - Q3 - 1 Processed - Q3 - 1
14/16	Cusack	MA	6/10/2014	7/9/2014	Copies of all questions submitted and answers regarding Questions for the Record produced between Jan. 1, 2014 and the date this request is complied with	Full Denial - No Records	N/A	6/16/2014	4 Days	Fee Waiver Granted - (Adj. / No Adj.)	Received - Q3 - 2 Processed - Q3 - 2
14/17	Smathers	JM	6/17/2014	7/16/2014	All communication with Lois Lerner and any representative of your agency including emails and other forms of communication from Jan. 1, 2009 - Jan. 1, 2012	Full Denial - No Records	N/A	7/11/2014	17 Days	Fee Waiver Granted - (Adj. / No Adj.)	Received - Q3 - 3 Processed - Q4 - 1

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/18	Ravnitzky	MA	6/23/2014	7/22/2014	Copies of all questions submitted and answers regarding Questions for the Record produced between Jan. 1, 2009 and Jan. 1, 2012.	Full Denial - No Records	N/A	6/25/2014	2 Days		Received - Q3 - 4 Processed - Q3 - 3
14/19	Ravnitzky	EH	6/23/2014	7/22/2014	Copy of the OGE Style Guide	Partial Grant: Records Referred	N/A	7/2/2014	7 Days		Received - Q3 - 5 Processed - Q4 - 2
14/20	Ravnitzky	JM	6/23/2014	7/22/2014	A copy of any emails to or from former OGE General Counsel Don Fox that contain NAPAWASH, NAPA, TREAS, PRC, or FOIA	Partial Grant: Redactions	(b)(5)	7/22/2014	20 Days	Supplemental response sent with consultative materials from OMB: __	Received - Q3 - 6 Processed - Q4 - 3

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/21	Leopold	HM	7/28/2014	8/25/2014	Records that mention or are part of an investigation into any current or former NSA employee or contractor; and records that mention the misappropriation of NSA documents.	Partial Grant: records referred (provided publicly available program review reports with link to website, referred remaining documents)	N/A	8/14/2014	17 Days		Received - Q4 - 1 Processed - Q4 - 4
14/22	Crowell	MA	8/6/2014	9/3/2014	A copy of all task order, and any modifications, between your agency and Concur Technologies, Inc Master Contract No. GS-33F-Y0026 . This task order	No Records	N/A	8/26/2014	14 Days	Consultation with BFS	Received - Q4 - 2 Processed - Q4 - 5
14/23	Ravnitzky	EH	8/18/2014	9/16/2014	Copy of the OGE Budget Submission/Annual Performance Plan/Explanatory Notes for the fiscal years 2003, 2004, 2005, and 2006.	Partial Release	(b)(5)	9/12/2014	18 days		Received - Q4 - 3 Processed - Q4 - 6

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/24	Ravnitzky	JM	8/18/2014	9/16/2014	Copy of the OGE Communications Plan. By Communications Plan, I mean a press/external relations plan	Partial Grant (Exemption)	(b)(6)	8/21/2014	3		Received - Q4 - 4 Processed - Q4 - 7
14/25	Ravnitzky	HM	8/20/2014	9/18/2014	Request for the unresponsive portions redacted in OGE FOIA FY 13-15.	Full Release	N/A	8/27/2014	7		Received - Q4 - 5 Processed - Q4 - 8
14/26	Payton	MA	8/21/2014	9/19/2014	Copies of incoming-and-outgoing correspondence between the Director of the OGE, the Chief of Staff & Program Counsel, the Chief of the Legal, External Affairs & Performance Branch, and certain public officials.	Partial Release (Exemption)	(b)(5)	9/18/2014	19		Received - Q4 - 6 Processed - Q4 - 9

OGE Freedom of Information Act (FOIA) Requests FY 2014
Fiscal Year 2014 FOIA/PA Annual Report Period: 10/1/13 - 9/30/14

14/27	Kelley	EH	9/8/2014	10/6/2014	Ethics Reports filed by the Dept. of Veterans Affairs per 5 CFR 2638.602.			Pending		Request for Expedited Processing - Denied Consultation with VA	Received - Q4 - 7 Processed - Q1 (FY15) - 1
14/28	Ravnitzky	JM	9/8/2014	10/6/2014	Email messages TO and/or FROM Don Fox dated between March 14, 2011 and April 11, 2011			Pending		Consultation with WH Consultation with DOC	Received - Q4 - 8 Processed - Q1 (FY15) - 2

FOIA Number	Last Name	Date Received	Description of Records Sought	Response Time	Status	Other Trackable Elements	Appeal Information	Other Notes
FY 13/023	Gerstein	2/11/2013	Records of contact between OGE and members of the White House staff regarding ethics, COI, and FD matters and policy	559	Partial Grant: Exemption (b)(3); Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6); Partial Grant: Some Records Referred	Discretionary Release; Consultation with Other Agency		Clarified on 2/19/13 to exclude financial disclosure forms and ethics waivers.
FY 14/027	Kelley	9/8/2014	Ethics Reports filed by the Dept. of Veterans Affairs per 5 CFR 2638.602	20	Partial Grant: No Records	Consultation with Other Agency; Request for Expedited Processing Denied		
FY 14/028	Ravnitzky	9/8/2014	Email messages TO and/or FROM Don Fox dated between March 14, 2011 and April 11, 2011	30	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)	Consultation with Other Agency		
FY 15/001	Singer-Vine	10/14/2014	Copies of all executive branch public financial disclosure reports and associated EAs filed with OGE since January 1, 2004, in bulk/PDF, as well as all related filed (databases, spreadsheets, etc.) related to these reports	18	Partial Grant: Exemption (b)(3)			

FY 15/002	Lowande	10/16/2014	List of correspondences between the OGE and members of Congress and their offices from Jan. 2007 to Jan. 2014	8	Full Grant	Possible Frequent Request; Request for Expedited Processing - Granted (No adjudication)		
FY 15/003	Fortson	11/28/2014	Finanial disclosure statement year 2006- 2014 of Judge Clarence Copper and Judge Richard W. Story both in the Northern District of Gainesville Ga.	2	Full Denial: No Records			
FY 15/004	Lu	12/1/2014	All documents pertaining to the justification of the final interpretation of whether the requirement of the Stop Trading on Congressional Knowledge Act of 2012 and related legislation to file periodic transaction reports applies to the transactions of the spouses and children of the filers	18	Full Grant			Limited request on 12/19 to only the Legal Advisories.
FY 15/005	Ravnitzky	1/5/2015	All emails TO and/or FROM Walter Shaub dated between March 14, 2011 and April 11, 2011	15	Partial Grant: Exemption (b)(5);Partial Grant:			
FY 15/006	[redacted (b)(6)]	1/5/2015	All emails of OGE employee Monica Ashar that contain the word [redacted (b)(6)].	7	Full Denial: No Records			
FY 15/007	Rosiak	1/7/2015	All emails sent from OGE email accounts to email addresses ending in senate.gov or house.gov (note that the electronic search should not be done with @senate.gov or @house.gov, as there are subdomains, such as @mail.house.gov) for the period of January 1, 2013 to the date this search is conducted.	15	Partial Grant: Exemption (b)(6)	Fee Waiver - Granted (No adjudication)		

FY 15/008	Ravnitzky	1/7/2015	A copy of the letter of request, the letters of response, records provided to the requester, and the contents of the administrative processing file including emails, memos, notes, etc., associated with the processing of the FOIA request assigned the number 13/23 received by OGE on February 11, 2013	176	Partial Grant: Exemption (b)(3); Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)	Consultation with Other Agency		Releasing response in batches.
FY 15/009	Moten	1/7/2015	Copy of the ethics violations as well as the results of the investigation of ethics violations against [employee].	10	Glomar			
FY 15/010	Bogardus	3/16/2015	Copies of all records of communications between any individual affiliated with and/or employed by the Office of Government Ethics and any individual affiliated with and/or employed by the Environmental Protection Agency since Jan. 1, 2013 to the date of this FOIA request being processed.	5	Full Denial: Request withdrawn			Request withdrawn.
FY 15/011	Bogardus	3/16/2015	All records related to the Environmental Protection Agency employees' ethics waivers in resolving financial conflicts of interest from Jan. 1, 2013 to March 1, 2015	16	Partial Grant: Exemption (b)(5); Partial Grant: Exemption			
FY 15/012	Rogers	3/25/2015	Any and all closing reports from the Office of Government Ethics Inspector General investigations of Office of Government Ethics employees including the words "pornography," "pornographic," and/or "porn," from the past two years	8	Full Denial: No Records			
FY 15/013 & PA 15/1/1	[redacted (b)(6)]	3/26/2015	Copies of requester's personal records of any type	9	Full Denial: No Records			

FY 15/014	Root	4/1/2015	Any personal financial disclosures dated between Jan. 1 2001 and Dec. 31, 2006 for the following people in the following government roles: 1. Rafael Edward "Ted" Cruz, or R. Ted Cruz, former director of the Office of Policy and Planning at the Federal Trade Commission and former associate deputy attorney general at the U.S. Department of Justice and 2. Heidi Nelson Cruz, former director at the U.S. Department of Treasury and director of Western Hemisphere at the National Security Council	6	Full Denial: Exemption (b)(3)	Request for Expedited Processing - Granted (No adjudication)		
FY 15/015	Walsh	4/6/2015	Any agency records created during the period from Jan. 1, 2015 to Mar. 13, 2015 pertaining to official visits to other federal agencies conducted by OGE employees during the same period	30	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Discretionary Release; Consultation with Other Agency		
FY 15/016	Devine	4/6/2015	Documented correspondence between the United States Office of Government Ethics and the United States Office of Special Counsel between January 1, 2014 and the present	90	Partial Grant: Exemption (b)(5);Partial Grant: Exemption			
FY 15/017	Korte	4/8/2015	All presidential waivers on post-government employment granted by the president under 18 U.S.C. § 207(k) since January 20, 2009	4	Full Denial: No Records	Fee Waiver - Granted (No adjudication)		
FY 15/018	Wayne	4/13/2015	Copies of all Certificates of Divestiture issued from calendar years 2009-2015	20	Partial Grant: Exemption (b)(6)			
FY 15/019 & PA 15/2/2	[redacted (b)(6)]	4/13/2015	All information pertaining to requester	7	Full Denial: No Records			
FY 15/020	Leisure	4/13/2015	Records concerning communications from client regarding advice related to the prohibitions or requirements of EIGA, 207, or a lawsuit	15	Glomar			
FY 15/021	Su	4/20/2015	OGE officials who received traffic tickets from March 2014 to March 2015	8	Full Denial: No Records			

FY 15/022	Michel	4/20/2015	Records disclosing a private citizen's role in an international energy firm and "truth in testimony" forms for that person	2	Full Denial: No Records	Fee Waiver - Granted (No adjudication)		
FY 15/023	Green	4/27/2015	OGE Form 278s filed by Rafael Edward "Ted" Cruz during the period 2001-2004	4	Full Denial: Request withdrawn			Request processed through Form 201 process.
FY 15/024	Richardson	5/4/2015	A copy of all New Entrant Financial Disclosure Reports filed for the period from 1990 to the present	7	Full Denial: Exemption (b)(3)			
FY 15/025	Richardson	5/4/2015	A copy of all SF 278 forms filed for the period from 1990 to the present	7	Full Denial: Exemption (b)(3)			
FY 15/026	Parker	5/6/2015	Records related to three former State Dept. officials: Cheryl Mills, Huma Abedin, and Hillary Clinton	107	Partial Grant: Exemption (b)(3); Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6); Partial Grant: Some Records Referred	Discretionary Release; Possible Frequent Request		Batch 1 released 6-10-2015; Batch 2 released 7-1-2015; Batch 3 released 9-30-2015; Batch 4 released 10-14-2015.
FY 15/027	Mullins	5/12/2015	Emails and documents to/from the office of FEC Commissioner Ann Ravel related to government travel from Jan. 1, 2014 - present	12	Full Denial: All Records Referred	Fee Waiver - Granted (No adjudication)		
FY 15/028	Carollo	5/19/2015	Copies of all records related in any way to R. David Edelman, Tom Power, and/or Megan Smith of the Office of Science and Technology Policy. This would include, but not limited to, financial and other disclosures, Form 1353 information, and also communications to, from or about the individuals.	12	Partial Grant: Exemption (b)(3); Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)			
FY 15/029	Payton	5/20/2015	Incoming and outgoing emails between OGE and listed email accounts for Jeb Bush	11	Full Denial: No Records			

FY 15/030	Mandel	5/26/2015	Emails or letters from U.S. Senator Hilary Clinton and staff members from Jan. 3, 2001 - Jan. 21, 2009.	17	Full Grant			
FY 15/031	Mandel	6/1/2015	Emails or letters from the Clinton Foundation since Jan. 1, 1997	9	Full Grant			
FY 15/032	Parker	6/5/2015	Records sent to/received from listed email accounts for the Clintons	7	Full Denial: All Records Referred			
FY 15/033	Friedman	6/8/2015	The most recent FOIA request from January 2013 to the present- from anyone other than me - for information related to unclaimed, uncashed, or undelivered check(s)/warrant(s) where the information related to unclaimed, uncashed, or undelivered check(s)/warrant(s) located, and then actually provided to the Requestor in response.	1	Full Denial: No Records			
FY 15/034	Carollo	6/15/2015	Records related to Michael Stegman, current or former counselor to the Department of Treasury secretary for Housing Finance Policy.	8	Full Denial: Exemption (b)(3); Full Denial:			
FY 15/035	Carollo	6/15/2015	Records related to Timothy Bowler, current of former Department of Treasury deputy assistant secretary for Capital Markets.	8	Full Denial: Exemption (b)(3); Full Denial:			
FY 15/036	Carollo	6/15/2015	Records related to Edward DeMarco, a current or former employee of the Federal Housing Finance	26	Partial Grant: Exemption (b)(3);	Consultation with Other Agency		
FY 15/037	Carollo	6/15/2015	Records related to Chris Dickerson, a current or former employee of the Federal Housing Finance Agency.	8	Full Denial: Exemption (b)(3);			
FY 15/038	Carollo	6/15/2015	Records related to Jeff Foster, senior policy advisor, Capital Markets, Department of the Treasury.	8	Full Denial: Exemption (b)(3)			
FY 15/039	Carollo	6/15/2015	Records related to Treasury official Beth Mlynarczyk	26	Full Denial: No Records			
FY 15/040	Carollo	6/15/2015	Records related to NEC official Jim Parrott	26	Full Denial: No			

FY 15/041	Carollo	6/15/2015	Records related to FHFA official Alfred Pollard	30	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6);			
FY 15/042	Carollo	6/15/2015	Records related to NEC official Gene Sperling	30	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6);			
FY 15/043	Carollo	6/15/2015	Records related to FHFA official Jeffrey Spohn	27	Full Denial: All			
FY 15/044	Carollo	6/15/2015	Records related to FHFA official Naa Awaa Tagoe	27	Full Denial: All			
FY 15/045	Carollo	6/15/2015	Records related to FHFA official Mario Ugoletti	27	Full Denial: Exemption (b)(5);			
FY 15/046	Carollo	6/15/2015	Records related to Treasury official Sam Valverde	27	Full Denial: No			
FY 15/047	Carollo	7/1/2015	Appeal of OGE FOIA FY 15/34	17	Partial Grant: Exemption (b)(5)		[15/34] Partially Affirmed, Partially	
FY 15/048	Carollo	7/1/2015	Appeal of OGE FOIA FY 15/35	17	Partial Grant: Exemption (b)(5)		[15/35] Partially Affirmed, Partially	
FY 15/049	Carollo	7/1/2015	Appeal of OGE FOIA FY 15/37	17	Partial Grant: Exemption (b)(5)		[15/37] Partially Affirmed, Partially	
FY 15/050	Carollo	7/1/2015	Appeal of OGE FOIA FY 15/38	17	Full Denial:		[15/38] Affirmed	
FY 15/051	Leopold	7/22/2015	All communications, including but not limited to emails, letter, memos, directives, between OGE and the White House. The timeframe for this request is January 2014 through the date the search for responsive records is conducted. Additionally, any and all communications, which includes but is not limited to memos, emails, letters between OGE and CIA, National Security Agency, Defense Intelligence Agency, National Reconnaissance Office, and Counsel of the Inspectors General for Integrity and Efficiency.	1	Full Denial: Request withdrawn	Fee Waiver - Granted (No adjudication)		

FY 15/052	Ravnitzky	7/23/2015	A copy of the listing of educational and other products available in the IEG Store, found in the Institute for Ethics in Government MAX Community, such as educational course materials, practical job aids and reference guides. Printouts from the IEG MAX extranet website will suffice for this purpose.	4	Full Grant			
FY 15/053	Ravnitzky	7/23/2015	A copy of the agenda for the most recent three quarterly meetings held by the OGE Director for agency ethics officers.	4	Full Grant			
FY 15/054	Ravnitzky	7/23/2015	A copy of the Statement of Work only for the current development and support contract associated with the INTEGRITY efilng system.	12	Full Grant			
FY 15/055	Ravnitzky	7/23/2015	A copy of the internal periodic reports and memos on the status of the development of the new efilng system called Integrity, from January 1, 2014 to the present. Also, a copy of any contractor reports and memos on the status of the development of the new efilng system called Integrity, from January 1, 2014 to the present.	15	Full Denial: Exemption (b)(5)			
FY 15/056	Ravnitzky	7/30/2015	Each of the 33 requests in FY14 by an agency to exclude certain positions from public FD requirements, and the determination by OGE to permit such an exclusion and the request and determination regarding alternative FD procedures.	30	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6); Partial Grant: Some Records Referred; Partial Grant: Other Reasons	Consultation with Other Agency		

FY 15/057	Parker	7/30/2015	Records, correspondence, emails, and memos that are sent to, received from, mention, reference, or relate to Rajiv "Raj" Fernando.	6	Full Denial: No Records			
FY 15/058	Carollo	8/4/2015	Appeal of OGE FOIA FY 15/41 (Pollard)	19	Partial Grant: Exemption (b)(5)		[15/41] Partially Affirmed, Partially	
FY 15/059	Carollo	8/4/2015	Appeal of OGE FOIA FY 15/42	19	Partial Grant: Exemption (b)(5);		[15/42] Partially Affirmed, Partially	
FY 15/060	Ravnitzky	8/10/2015	A digital/electronic copy of the four Hatch videos mentioned in the enclosed sheet.	1	Full Grant			
FY 15/061	Eder	8/11/2015	Congressional Correspondence files for the following past and present members of the U.S. Senate from 2000 to present: - U.S. Sen. Hillary Clinton - U.S. Sen. Ted Cruz - U.S. Sen. Lindsey Graham - U.S. Sen. Rand Paul - U.S. Sen. Marco Rubio - U.S. Sen. Bernard "Bernie" Sanders	7	Partial Grant: No Records	Request for Expedited Processing - Granted (No adjudication)		
FY 15/062	Ravnitzky	8/12/2015	A copy of the Employee Counseling Interview Checklist and the Departing Employee Interview Checklist.	1	Full Denial: All Records Referred			
FY 15/063	Ravnitzky	8/12/2015	A copy of the listing of Courses and Curricula offered by the OGE Institute for Ethics in Government, which is found on the MAX Federal Community Website.	1	Full Grant			
FY 15/064	Ravnitzky	8/12/2015	A copy of the agenda for each of the quarterly meetings held by the OGE Director for agency ethics officers during 2014.	1	Partial Grant: No Records	Discretionary Release		
FY 15/065	Ravnitzky	8/20/2015	A copy of the listing of contracts let by OGE during Fiscal Year 2014 and the listing of contracts let by OGE during Fiscal Year 2015 to date. While OGE is not obliged to create a record, it can supply a list of contracts if it maintains such a listing or can produce a listing from a database routinely used for this purpose.	7	Full Grant			

FY 15/066	Lockett	8/31/2015	Video or audio of police interactions with requester's nephew, arising out of incidents on 06/03/15 and 6/17/2015.	1	Full Denial: Request withdrawn			
FY 15/067	Greenewald	8/31/2015	A copy of records, electronic or otherwise, of all communications (email and letters) to and from the White House from the dates of January 1, 2014 to the date of processing of this letter.		Pending			
FY 15/068	Greenewald	8/31/2015	A copy of records, electronic or otherwise, of all communications (email and letters) to and from the State Department from the dates of January 1, 2014 to the date of processing of this letter.		Pending			
FY 15/069	Greenewald	8/31/2015	A copy of records, electronic or otherwise, of all communications with the CIGIE (Counsel of the Inspectors General for Integrity and Efficiency) from the dates of January 1, 2014 to the date of processing this letter.		Pending			
FY 15/070	Jaramillo	9/14/2015	Records showing complaints on Miley Cyrus's performances.	1	Full Denial: No Records			

FOIA Number	Requester	Date Received	Description of Records Sought	Response Time	Status	Other Trackable Elements	Appeal Information	Othe Notes
FY 15/026	Parker	5/6/2015	Records related to three former State Dept. officials: Cheryl Mills, Huma Abedin, and Hillary Clinton	107	Partial Grant: Exemption (b)(3);Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6);Partial Grant: Some Records Referred	Pending at end of FY15;Discretionary Release;Possible Frequent Request		Batch 1 released 6-10-2015 Batch 2 released 7-1-2015 Batch 3 released 9-30-2015 Batch 4 released 10-14-2015
FY 15/067	Greenewald	8/31/2015	Communications (email and letters) to and from the White House from the dates of January 1, 2014 to the date of processing of this letter.	1	Full Denial: Records Not Reasonably Described	Pending at end of FY15		
FY 15/068	Greenewald	8/31/2015	Communications (email and letters) to and from the State Department from the dates of January 1, 2014 to the date of processing of this letter.	1	Full Denial: Records Not Reasonably Described	Pending at end of FY15		
FY 15/069	Greenewald	8/31/2015	Communications with the CIGIE (Counsel of the Inspectors General for Integrity and Efficiency) from the dates of January 1, 2014 to the date of processing this letter.	1	Full Denial: Records Not Reasonably Described	Pending at end of FY15		
FY 16/001	Ravnitzky	10/1/2015	Copy of the listing of OGE agency policies. Example of an OGE agency policy: telework policy.	3	Full Grant			
FY 16/002	Ravnitzky	10/1/2015	Copy of the OGE DAEO Inventory of Donated Personal Property (all years for which this inventory is available). See, for example Section 2601 301. I also request a copy of the OGE DAEO Log of Gifts (all years for which this log is available). See, for example, Section 2601 301.	5	Full Denial: No Records			
FY 16/003	Walker	10/1/2015	Information from the last 5 years involving employees within the city of Charleston that have been involved in car accidents while using city vehicles, and the price it's costing taxpayers. I'm also looking to see if there were any fatalities as a result.	1	Full Denial: Request withdrawn			
FY 16/004	Kirk	10/1/2015	Copies of Law and regulatory guidance or codes governing the conduct of Congressmembers, their staff and affiliates while receiving, initiating and completing an inquiry by Constituents, Service Members and Non-Citizens	1	Full Denial: Request withdrawn			
FY 16/005	Hudson	10/7/2015	Documents expressing reservations about the creation of the National Forest Foundation (or of any other nonprofits with the mission of supporting government agencies)	20	Partial Grant: Exemption (b)(5)			

FY 16/006	Tsujisaka	10/7/2015	All documents pertaining to the investigation on the Bush Administration for their use of private Internet e-mail accounts and domains, gwb43.com and rnchq.org, owned by and hosted on an email server run by the Republican National Committee and georgewbush.com (registered to "Bush-Cheney '04, Inc.") including, but not limited to, copies of all recovered emails sent to and/or from the domains listed above investigation reports by the Department of Justice, National Archives and Records Administration, and other involved agencies, and all documents and emails hosted on government servers related to the matter.	2	Full Denial: No Records			
FY 16/007	Blutstein	10/13/2015	Emails sent or received by Paul D. Ledvina that discuss Hillary Clinton's use of a private email server. The time period of this request is March 2, 2015, to March 4, 2015.	1	Full Denial: No Records			
FY 16/008	Cancryn	10/14/2015	All certificates of divestiture issued to Andrew Slavitt and all certificates of divestiture issued to Lana Slavitt	4	Full Grant			
FY 16/009	Carollo	10/19/2015	Appeal of FY 15/59 (an appeal of FY 15/42).	16	Full Denial: Other Reason		[15/059] Other	
FY 16/010	Perron	10/19/2015	Records related to OGE's LexisNexis contract.	30	Partial Grant: Exemption (b)(6)			
FY 16/011	Parker	10/23/2015	Records sent to or received from various State Dept. employees.	18	Partial Grant: Exemption (b)(3);Partial Grant: Exemption (b)(6);Partial Grant: Some Records Referred			
FY 16/012	Carollo	11/2/2015	Redacted and non-responsive portions of records released under OGE FOIA FY 15/59 request.	7	Partial Grant: Exemption (b)(5)			
FY 16/013	Payton	11/2/2015	Copies of all Incoming-And-Outgoing Correspondence (Including Email) Between the Members of the Office of U.S. Senator Marco Rubio and employees of the United States Office of Government Ethics Office of the Director, Division of Compliance, and Branch of External Affairs from January 3, 2011, to the present	7	Full Denial: No Records			
FY 16/014	Hawley	11/16/2015	Appeal of FY 15/26. Requesting all redacted materials withheld pursuant to Exemptions (b)(5) and (b)(6) and documents withheld as non-segregable.	20	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6);Partial Grant: Some Records Referred	Discretionary Release;Consultation with Other Agency	[FY 15/26] Partially Affirmed, Partially Reversed	
FY 16/015	Parker	11/18/2015	Referral from FERC of email that was previously referred to FERC by OGE.	1	Partial Grant: Exemption (b)(6)			

FY 16/016	Carollo	11/19/2015	Appeal of OGE FOIA FY 16/012 (records related to Gene B. Sterling).	19	Full Denial: Exemption (b)(5)		[16/012] Affirmed	
FY 16/017	Ravnitzky	12/14/2015	Referral to State Department in FY 15/056 returned to OGE. Treating as referral from State.	20	Partial Grant: Exemption (b)(6)			
FY 16/018	Lasseigne	12/17/2015	OGE Form 450 and OGE Form 278 submissions for Mr. Mark Wszolek for the period January 1, 2010 - YTD. Mr. Wszolek is a Staff Accountant for the U.S. Securities and Exchange Commission Office of Compliance Inspections and Examinations (Atlanta Regional Office) Atlanta, GA.	1	Full Denial: Exemption (b)(3)			
FY 16/019	Blutstein	12/21/2015	Any email sent or received by Walter M. Shaub, Jr., Director, that mentions Hillary Clinton's use of a personal email account. The scope of this request is March 2, 2015 to March 6, 2015.	15	Full Denial: No Records			
FY 16/020	Carollo	1/15/2016	Copy of FDTS database and all FOIA requests "any of the information being sought in this request" and related communication.	20	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)			
FY 16/021	Ravnitzky	2/5/2016	Copy of all FOIA administrative appeals and appeal responses since 1/1/2012.	19	Partial Grant: Exemption (b)(6)			
FY 16/022	Hackett	1/21/2016	Consultation request from Department of State on emails sent to and/or from former Secretary of State Clinton on her private email account.	5	Partial Grant: Exemption (b)(6)	Consultation Received from Other Agency		
FY 16/023	Soler	2/10/2016	Financial disclosures of the following USPTO officials: TTAB ADMINISTRATIVE JUDGES 1. Peter W. Cataldo 2. Cindy B. Greenbaum 3. Cynthia C. Lynch 4. David Mermelstein 5. Jyll Taylor TTAB Interlocutory Attorneys 6. Christen English 7. Yong Oh (Richard) Kim	1	Full Denial: Exemption (b)(3)			
FY 16/024	Holman	2/17/2016	Purchase card holders list and emails for your agency.	11	Full Grant			
FY 16/025	Corbin	2/17/2016	Records pertaining to how to file a disciplinary complaint against an attorney or representative authorized to practice in the U.S. Court of Appeals for Veteran Claims.	4	Full Denial: No Records			
FY 16/026	Carollo	2/24/2016	Appeal of FY 16/020. A "copy of the Financial Disclosure Tracking System" and "all FOIA request letters seeking any of the information being sought in this request."	19	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)		[FY 16/020] Affirmed	

FY 16/027	Payton	3/2/2016	I am requesting copies of all record requests submitted [under the Freedom of Information Act] to your agency between June 1, 2015, and March 2, 2016, that contained the phrase "Trump" in it. In addition, I am also requesting copies of all responsive records provided for the requests identified in Part A as available.	1	Full Denial: No Records			
FY 16/028	Ryan	3/4/2016	Any records (in electronic format) pertaining to OGE's determination to include Michael Elston of USPS on a panel at the upcoming OGE Ethics Summit.	18	Partial Grant: Exemption (b)(5)	Discretionary Release		
FY 16/029	Leopold	3/4/2016	All FOIA requests submitted from January 1, 2014 onwards by certain persons or entities listed in request.	10	Partial Grant: Exemption (b)(6)			
FY 16/030	Ravnitzky	3/7/2016	A copy of each report prepared for and provided to Congress during the time period 2010 to present that is not published on the OGE.gov public website.	10	Full Denial: No Records			
FY 16/031	Ravnitzky	3/7/2016	A copy of the OGE plan describing preparations for the post-election period and the surge in the nominee report review program following the change in administration in January 2017.	18	Full Grant			
FY 16/032	Ravnitzky	3/15/2016	The results of an electronic search for all email messages between Walter M. Shaub and the Department of the Treasury Office of Inspector General (i.e., emails with addresses in the form oig.treas.gov), during the last four years, or as far as emails are searchable.	4	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)			
FY 16/033	Ravnitzky	3/15/2016	(1) A copy of the interagency agreements signed in FY 2015 between OGE and 1) the Budget Formulation and Execution Line of Business, 2) the National Information Technology Center of the Department of Agriculture. (2) A copy of each interagency agreement, MOU or MOA signed by OGE during fiscal year 2016, or alternatively, a listing of such agreements, MOUs or MOAs.	51	Full Grant	Consultation with Other Agency		

FY 16/034	Ravnitzky	3/15/2016	A copy of all communications between OGE and the Mitt Romney Presidential Campaign and staff during calendar year 2012. I limit this request to those records which can be readily retrieved during a 2.5 hour search period.	18	Full Denial: No Records			
FY 16/035	Parson	3/15/2016	Any "recorded made to the office of Emergency Calls Dispatch in certain Cities on the following subject matters (1) Behavioral Misconduct (2) Suspicious Behavior (3) Well Being Inquiry (4) Suspicious Activity(s) (5) Criminal Activity(s)	5	Full Denial: No Records			
FY 16/036	(b)(6)	3/28/2016	Treated as joint FOIA/PA request (PA-16-1) for any documents related to requester.	1	Full Denial: No Records			
FY 16/037	Burke	4/7/2016	A 1988 report on U.S. Department of Housing and Urban Development executives violating conflict-of-interest rules, including the manager of the HUD Los Angeles office, Benjamin F. Bobo.	7	Partial Grant: Exemption (b)(6)			
FY 16/038	Parker	4/27/2016	Any and all records, correspondence, emails, and memos, in any format, sent to, or received from John Podesta. Additionally, any and all records, correspondence, emails, and memos, including both records for internal use and those exchanged with any external party, that mention, reference, or relate to John Podesta. Time frame is August 1, 2011 to February 28, 2014.	20	Partial Grant: Exemption (b)(6)			
FY 16/039	Smith	4/29/2016	A list in electronic format for all employees with your agency, as of the most recent date available, to include, as much as possible: Name, Agency, State, County, Station, Title, Plan/Grade, and Adjusted Base Salary.	9	Full Grant			
FY 16/040	Bailey	5/5/2016	Any and all records concerning, regarding, or relating to the disclosure of public speaking fees by former President William Jefferson Clinton and/or former Secretary of State Hillary Rodham Clinton, either as personal income or as income paid to charity. The timeframe for this request is January 21, 2009 to the present.	37	Partial Grant: Exemption (b)(3);Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Possible Frequent Request		

FY 16/041	Ravnitzky	5/10/2016	A copy of any OGE unpublished guidance/opinions regarding CIGFO, Council of Inspectors General on Financial Oversight, and/or FSOC, Financial Stability Oversight Council. Request is limited to documents originated or dated since January 1, 2010.	14	Full Denial: No Records			
FY 16/042	Merrill	5/17/2016	A list of all grant numbers awarded by your agency from 2012 to 2016 to the Corporation for Public Broadcasting, and a list of all grant numbers awarded by your agency from 2012 to 2016 to the list of public broadcasting entities/stations listed in request.	1	Full Denial: No Records			
FY 16/043	Thomas	5/24/2016	Any and all documents that reflect the return of the Earnest Money Deposit to lender investors by BLB Resources and any other agency of the Department of Housing as well as information pertaining to the conditions under which this money was returned.	6	Full Denial: No Records			
FY 16/044	Kick	5/27/2016	A copy of all PDF and Excel documents that were available via this page: http://www.oge.gov/Open-Government/Access-Records/Current-Executive-Branch-Nominations-and-Appointments/ until it was pulled offline early this year. In other words, these would be the documents for the "Current Executive Branch Nominations and Appointments," which would cover the following forms for the 1,000+ individuals who were listed there: New Entrant Ethics Agreement, yearly Ethics Agreements, Termination Ethics Agreement, and supplements, as well as any other documents that were posted.	5	Full Denial: Fee-Related			
FY 16/045	Craig	5/27/2016	A copy of the log of Freedom of Information Act requests received by the United States Office of Government Ethics for the period spanning September 1, 2015 to May 24, 2016.	4	Partial Grant: Exemption (b)(6)			

FY 16/046	Craig	5/27/2016	Treating three requests as one for processing purposes: 1. All correspondence or communications between representatives of The Trump Campaign and The Trump Organization and employees and / or representatives of the United States Office of Government Ethics between June 15, 2015 and May 26, 2016. 2. All correspondence or communications between representatives of Hillary Clinton's campaign and employees and / or representatives of the United States Office of Government Ethics sent or received between January 1, 2016 and May 26, 2016. 3. Copies of any guidance given in 2016 to presidential campaigns about their filings / submissions / interactions with the United States Office of Government Ethics.	31	Partial Grant: Exemption (b)(6)			
FY 16/047	Craig	5/27/2016	All correspondence and communications sent between September 1, 2015 and May 27, 2016 between employees /representatives of the United States Office of Government Ethics and employees /representatives of the Presidential Administration Transition Team led by The General Services Administration.	67	Partial Grant: Exemption (b)(6)	Consultation with Other Agency		
FY 16/048	Craig	5/27/2016	All correspondence and communications between representatives / employees of the Republican National (RNC) and employees / representatives of the United States Office of Government Ethics regarding Donald Trump's presidential campaign and his bid to win the Republican nomination. I am seeking correspondence and communications sent or received between June 15, 2015 and May 26, 2016.	27	Full Denial: No Records			
FY 16/049	Ravnitzky	5/31/2016	A copy of each letter or other correspondence with the Presidential Campaigns, during the time frame January 1, 2016 to the present.	30	Partial Grant: Exemption (b)(6)			
FY 16/050	Ravnitzky	5/31/2016	A digital/electronic copy of each OGE presentation at the CIGIE Conference in May 2016, including handouts.	3	Full Denial: No Records			

FY 16/051	Summer	6/8/2016	Available public comments to the OGE regulatory rule proposed on April 7, 1992 to amend 5 CFR 2634.405 which sets forth the standards for becoming a an independent trustee or other fiduciary. I found the proposing release as 57 FR 11800 and the adopting release as 61 FR 32635 (June 25, 1996?).	1	Partial Grant: No Records			
FY 16/052	Greenewald	6/14/2016	I requested this information 7 years ago, which was denied in full. I now would like to request this information be released under this FOIA request: I respectfully request a copy of records, electronic or otherwise, of a copy of all communications between the office of (then) Senator Barack Obama from the year 2008 and the beginning part of 2009, before he entered the White House. This includes, but is not limited to, electronic communications (e-mail, etc.), letters, faxes, etc.	1	Full Denial: Duplicate			
FY 16/053	Greenewald	6/14/2016	A copy of records, electronic or otherwise, of a copy of the OGE index of materials available under 5 U.S.C. 552(a)(2).	6	Full Grant			
FY 16/054	Greenewald	6/14/2016	A copy of records, electronic or otherwise, of the FOIA Case Logs for Calendar Years 2014 and 2015.	8	Full Grant			
FY 16/055	Kick	6/21/2016	All completed copies of OGE Form 202: Notice of Conflict of Interest Referral that have been turned in to the OGE since January 1, 2015.	30	Full Denial: All Records Referred			
FY 16/056	Arnsdorf	6/24/2016	Records related to Margaret Hamburg.	13	Partial Grant: Exemption (b)(3);Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6);Partial Grant: Some Records Referred			
FY 16/057	Kick	6/21/2016	Completed copies of Form 278, Form 278e, and Form 278-T (as well as their equivalents on the Integrity system) that have been turned in to the OGE since July 1, 2015	6	Full Denial: Exemption (b)(3)			
FY 16/058	Carroll	6/28/2016	Internal OGE memos during FY 2015 and FY 2016 regarding ethics issues, ethics compliance issues, financial disclosure, or divestment associated with the 2016 US Presidential campaign.	18	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Possible Frequent Request		

FY 16/059	Greenewald	6/28/2016	A copy of records, electronic or otherwise, of all unpublished memos at OGE, written between 2015 to the date of processing this request, regarding ethics issues or ethics compliance or financial disclosure or divestment issues associated with the US Presidential campaign.	18	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Possible Frequent Request		
FY 16/060	Mullins	6/28/2016	Internal memos during FY2015 and FY 2016 regarding ethics issues or ethics compliance issues or financial disclosure or divestment associated with the U S. presidential campaign.	18	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Possible Frequent Request		
FY 16/061	Ravnitzky	7/7/2016	Unpublished memos at OGE during FY16 regarding ethics issues or ethics compliance or financial disclosure or divestment issues associated with the US Presidential campaign.	12	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Possible Frequent Request		
FY 16/062	Craig	7/7/2016	Internal memos written in 2016 regarding ethics issues or ethics compliance issues associated with the current presidential campaign.	12	Partial Grant: Exemption (b)(5);Partial Grant: Exemption (b)(6)	Possible Frequent Request		
FY 16/063	Ravnitzky	7/7/2016	Any communications with the Donald J. Trump Presidential Campaign.	12	Partial Grant: Exemption (b)(6)	Possible Frequent Request		
FY 16/064	Craig	7/7/2016	Freedom of Information Act log for the Office of Government Ethics from May 25 to present.	2	Full Grant			
FY 16/065	Greenewald	7/7/2016	A copy of records, electronic or otherwise, pertaining to how your agency will respond to FOIA requests that may pertain to, or connect in some way, to the 2016 U S. Presidential Election candidates.	16	Full Denial: No Records			
FY 16/066	(b)(6)	7/20/2016	1) Records in possession of the Bureau of Prisons and contained in the FOIA Exempt portion of my file, to include any separation information, investigatory files, and/or memorandums. 2) Material related to the Standards of Employee Conduct, specifically any material related to the basic prohibitions applicable to Bureau employees. 3) Material related to the mission and/or purpose of your Office.	2	Partial Grant: No Records			
FY 16/067	Green	7/20/2016	Documents relating to the Merit System Protection Board prosecutorial misconduct case against [AUSA] handled under [ALJ].	2	Full Denial: No Records			

FY 16/068	Ravnitzky	7/25/2016	OGE memos, directives, or other records discussing the ethical concerns (and/or the difficulties of addressing the ethical concerns) associated with anticipated or apparent conflicts of interest, or conflicts of interest associated with financial holdings, for the presumptive U.S. Presidential candidates and/or the U.S. Presidential candidates.	5	Full Denial: Request withdrawn			
FY 16/069	Greenewald	7/25/2016	Copy of records, electronic or otherwise, of all FOIA Case Logs and FOIA Appeal Logs for calendar years 2010-2015.	14	Partial Grant: Exemption (b)(6)	Possible Frequent Request		
FY 16/070	Tracy	7/26/2016	All personal financial disclosure reports filed by Eugene B. Sperling, a.k.a. Gene Sperling, between 2008 and 2016.	1	Full Denial: Exemption (b)(3)			
FY 16/071	Reilly	8/3/2016	A copy of the Office of Government Ethics full response, including all responsive documents and files, to FOIA Number FY 15/001 pertaining to "Copies of all executive branch public financial disclosure reports and associated EAs filed with OGE since January 1, 2004, in bulk/PDF, as well as all related files (databases, spreadsheets, etc.) related to these reports.	1	Partial Grant: Exemption (b)(6)			
FY 16/072	Reilly	8/4/2016	1) A copy of each letter, email or other correspondence exchanged between Office of Government Ethics personnel and representative(s) of presidential candidate Donald J. Trump between April 1, 2015 and present. 2) A copy of each letter, email or other correspondence exchanged between Office of Government Ethics personnel and representative(s) of presidential candidate Hillary Rodham Clinton between April 1, 2015 and present.	10	Partial Grant: Exemption (b)(6)			

FY 16/073	Craig	8/8/2016	I am seeking a record of any questions and information requests that were sent by the OGE to Hillary Clinton or her representatives. I am also requesting a record of any apparent deficiencies in Ms. Clinton's filing that were noted by the OGE reviewer or reviewers who handled Ms. Clinton's filing and a record of any determinations that were made as to whether additional information was required of the candidate. Finally I am requesting any file notes that were kept on Ms. Clinton's submissions. The notes / documents / records I am requesting are referred to in the OGE's June 2016 Public Financial Disclosure Review Procedures, which were sent to me on July 25 in response to a previous OGE FOIA request.	4	Full Denial: Exemption (b)(5);Full Denial: Exemption (b)(6);Full Denial: No Records			
FY 16/074	Craig	8/8/2016	I am seeking a record of any questions and information requests that were sent by the OGE to Donald J. Trump or his representatives. I am also requesting a record of any apparent deficiencies in Mr. Trump's filing that were noted by the OGE reviewer or reviewers who handled Mr. Trump's filing and a record of any determinations that were made as to whether additional information was required of the candidate. Finally I am requesting any file notes that were kept on Mr. Trump's submissions. The notes / documents / records I am requesting are referred to in the OGE's June 2016 Public Financial Disclosure Review Procedures, which were sent to me on July 25 in response to a previous OGE FOIA request.	4	Full Denial: Exemption (b)(5);Full Denial: Exemption (b)(6);Full Denial: No Records			
FY 16/075	Eisinger	8/12/2016	Any written document or emails from or to the OGE concerning loans made to Eugene B. S[p]erling, then the director of the White House National Economic Council, from [individual], from 2011, 2012 and/or 2013.	9	Full Denial: No Records			
FY 16/076	Ravnitzky	8/19/2016	Appointment calendar for the OGE Director for the calendar year 2016 to date	24	Partial Grant: Exemption (b)(6)			

FY 16/077	Wilson	8/26/2016	A copy of any OGE memos, directives, or other records discussing the difficulties of addressing the ethical concerns associated with anticipated or apparent conflicts of interest, or conflicts of interest associated with financial holdings, for the presumptive U.S. presidential candidates and/or the U.S. presidential candidates.	6	Partial Grant: Exemption (b)(5); Partial Grant: Exemption (b)(6)			
FY 16/078	Ravnitzky	8/26/2016	Any OGE Final reports produced during the calendar years 2015 and 2016 created by OGE for CIGIE which offer conclusions on any ethics issues surrounding FBI investigations of Inspectors General	16	Full Denial: No Records			
FY 16/079	Turse	8/30/2016	Copies of the last three Executive Branch Confidential Financial Disclosure Reports.	2	Full Denial: Exemption (b)(3)			
FY 16/080	Turse	8/30/2016	Electronic Copies of Executive Branch Personnel Public Financial Disclosure Reports, Including Periodic Transaction Reports, Filed On Or After January 1, 2012.	2	Full Denial: Exemption (b)(3)			
FY 16/081	Ravnitzky	8/31/2016	Digital/electronic copy of the financial audits performed by Brown & Company, CPAs for FY2014 and FY2015.	5	Full Grant			
FY 16/082	Holt (OMB)	9/1/2016		1	Partial Grant: Exemption (b)(5)	Consultation Received from Other Agency		
FY 16/083	(b)(6)	9/14/2016	Treated as a combined FOIA/PA request for any records pertaining to requester.	4	Full Denial: No Records			
FY 16/084	Beck	9/13/2016	All 18 USC 207(c)(2)(C) waivers issued by the Director OGE since 1/1/2014.	2	Full Denial: Request withdrawn			
FY 16/085	Axelrod	9/27/2016	1. Any and all records regarding the reports DHS filed with the Office of Government Ethics required by the federal ethics regulations at 5 CFR 2638 503(c) and 5 CFR 2638 503(a) regarding an OIG report 2. Any and all original records of actions taken or not taken regarding the matter in item #1.	1	Full Denial: No Records			
FY 16/086	Greenewald	9/28/2016	All Appointment Calendars for the Director of the OGE for the years 2014, 2015 and 2016 to date.		Pending			