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Description of document: Alcohol and Tobacco Tax and Trade Bureau (TTB)
Records Describing Denials of Applications for
Designation as American Viticultural Areas, 2016-2017

Requested date: 23-May-2017

Released date: 30-June-2017

Posted date: 01-January-2018

Source of document: FOIA Request
Alcohol and Tobacco Tax and Trade Bureau (TTB)
FOIA Requester Service Center
1310 G Street, NW - Box 12
Washington, DC 20005
Fax: (202) 453-2331
[Online Request Form](#)

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From: [TTB FOIA Inquiries](#)
Subject: FOIA Request 2017-05-301
Date: Friday, June 30, 2017 9:08:38 AM
Attachments: [2017-05-301 FOIA Response Letter.pdf](#)
[Document Cover.pdf](#)

Good morning,

This email is in response to your Freedom of Information Act (FOIA) request to the Alcohol and Tobacco Tax and Trade Bureau (TTB) dated May 23, 2017. Attached are the following documents regarding your request:

- 1) Signed response letter; and
- 2) Document Cover with your appeal rights.

If you have any questions regarding this response, let me know.

Regards,

Quinton J. Mason, CIPP/US/G

Disclosure Program Manager

US. Department of the Treasury | Alcohol and Tobacco Tax and Trade Bureau

Regulations and Rulings Division

Office: 202-882-9904 | Fax: 202-453-2331

TTBFOIA@ttb.gov

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DEPARTMENT OF THE TREASURY

ALCOHOL AND TOBACCO TAX AND TRADE BUREAU

FREEDOM OF INFORMATION ACT DOCUMENT COVERSHEET: EXEMPTION LIST AND APPEALS RIGHTS

Part I – Document Cover Sheet					
1. Requesters' Name	2. File No. 2017-05-301		3. Requested documents were referred by the following agency: N/A		
4. Documents are being released: <input type="checkbox"/> at cost <input checked="" type="checkbox"/> without cost	5. Package ends with documents No.:		6. Total Number of documents denied: 7		
7. Exemptions cited for information withheld on pages released: (See Part II of explanations of exemptions)					
<input type="checkbox"/> (b)(1) <input type="checkbox"/> (b)(2) <input type="checkbox"/> (b)(3) (26 USC 6103) <input type="checkbox"/> (B)(3) _____ <input type="checkbox"/> (b)(4) <input checked="" type="checkbox"/> (b)(5) <input checked="" type="checkbox"/> (b)(6) <input type="checkbox"/> (b)(7)(A) <input type="checkbox"/> (b)(7)(B) <input type="checkbox"/> (b)(7)(C) <input type="checkbox"/> (b)(7)(D) <input type="checkbox"/> (b)(7)(E) <input type="checkbox"/> (b)(7)(F)					
Documents completely withheld:					
Document No.	Exemption	Document No.	Exemption	Document No.	Exemption
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
Part II – List of Freedom of Information Act Exemptions, Title 5 United States Code 552(b) – Information Exempt from Disclosure					
(1) Specifically authorized under criteria established by an Executive Order to be kept secret in the interest of national defense or foreign policy, and are in fact property classified pursuant to such Executive order; (2) Related solely to the internal personnel rules and practices of an agency; (3) Specifically exempted from disclosure by statute; (4) Trade secrets and commercial or financial information obtained from a person and privileged or confidential; (5) Inter-agency or intra-agency memoranda or letter which would not be available by law to a party other than an agency in litigation with the agency; (6) Personnel and medical files and similar files, disclosure of which would constitute a clear and unwarranted invasion of personal privacy; (7) Investigatory records compiled for law enforcement purposes, but only to the extent that the production of such records could:	(a) interfere with enforcement proceedings; (b) deprive a person of a right to a fair trial or an impartial adjudication; (c) constitute an unwarranted invasion of personal privacy; (d) disclose the identity of a confidential source and, in the case of a record compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, confidential information furnished only by the confidential source; (e) disclose investigative techniques and procedures; (f) endanger the life or physical safety of law enforcement personnel (8) Contained in or related to examination, operation, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or (9) Geological and geophysical information and data, including maps, concerning wells.				

Part III –Right of Appeal –Procedure

If you are not satisfied with our response to your request, you may appeal within 35 calendar days from the date of the initial determination response letter, stating the reasons why the requested information should be released under the Act; and why the determination is in error. Your letter of appeal must:

1. Be signed by the requestor and mailed or hand-delivered to: Freedom of Information Appeal, Assistant Administrator (Headquarters), Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW, Suite 200-E, Washington, DC 20220;
2. Be submitted to TTB within thirty-five (35) calendar days of the date of our initial determination letter;
3. Reasonably describe the requested records which the appeal concerns;
4. Set forth the address where the requester desires notification of the determination of the appeal;
5. Specify the date of the initial request and the date of the letter denying the initial request, including the FOIA control number;
6. Petition TTB to grant the request for records and present arguments supporting the appeal; and
7. Enclose the appeal in an envelope clearly marked "FREEDOM OF INFORMATION ACT APPEAL"

Part IV – 26 U.S.C. 6103 Disclosure

In order to respect citizens' reasonable expectation of the privacy of their tax information, Congress created, and has amended over the years, 26 U.S.C. 6103 (section 6103) to provide that tax returns and return information are confidential and are not subject to disclosure except in limited situations, where disclosure is warranted. For each allowed disclosure delineated by the Internal Revenue Code (IRC), Congress attempted to balance the particular office's or agency's need for the information with the citizen's right to privacy, as well as the impact of the disclosure upon the continuation of compliance with the voluntary tax assessment system.

Permissible Disclosures. Though the general rule of section 6103 protects the confidentiality of return information, it outlines a number of circumstances where disclosure or inspection of return information is permissible.

We may be able to provide you with this information, subject to the provisions of 26 U.S.C. 6103, which controls the disclosure of tax returns and tax return information collected under the Internal Revenue Code. Accordingly, in order for us to comply with the provisions of section 6103 that outline the circumstances under which we can share information, the request must come in a specific format. The request must come from an official who is at least a first-line supervisor and contain the following information:

1. Identify the name, title and agency of the requester.
2. Identify the name, address, and (if available) the employer identification number, social security number, or taxpayer identification number of the taxpayer/subject.
3. Briefly explain why the requester's official duties require TTB to disclose the information. For example, if the request is pursuant to an investigation involving a tax administration matter or another Federal law not related to tax administration, please indicate such.
4. If known, please indicate the subparagraph of 26 U.S.C. 6103 under which you are requesting the information.
5. If there is a need for a quick turnaround, identify the time frame in which the information is needed.
6. Identify, if applicable, the type of tax and the tax periods covered by the request.
7. Finally, the requester must agree to safeguard all information in accordance with the terms of section 6103(p)(4) of the IRC, and must acknowledge awareness of the laws imposing civil and criminal liability on Federal employees who improperly disclose return information.



DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU
WASHINGTON, D.C. 20005

October 27, 2017

Refer to: **2017-08-058**
4040000

SENT VIA EMAIL

This letter is in response to your Freedom of Information Act (FOIA) request to the Alcohol and Tobacco Tax and Trade Bureau (TTB) dated July 25, 2017. You are requesting, "a copy of the administrative processing materials, such as emails, notes, memos, etc. associated with the handling/processing of TTB FOIA request **2017-05-301**."

Please note that the word "etc." lacks specificity and does not adequately describe or identify the records being requested. As a result, that word was not meaningful, and was not applied by TTB, in conducting a search for relevant records.

We conducted a search of files within TTB for records responsive to your request and identified 80 pages. Of those pages, 53 are being released in part, with information exempt from disclosure under the FOIA having been redacted pursuant to 5 U.S.C. 552(b)(5) and/or (b)(6); 26 are being released in their entirety with no redactions, as no exemptions under the FOIA apply; and 1 is being withheld in full pursuant to the exemptions from disclosure under the FOIA at 5 U.S.C. 552(b)(5).

Enclosed is a Document Cover Sheet that describes the FOIA exemptions and outlines your appeal rights. If you choose to appeal this response, you must do so within 90 days from the date of this letter.

As part of the 2007 FOIA amendments, the Office of Government Information Services (OGIS) was created to offer mediation services to resolve disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. Using OGIS services does not affect your right to pursue litigation. If you are requesting access to your own records (which is considered a Privacy Act request), please note that OGIS does not have the authority to handle requests made under the Privacy Act of 1974. If you wish to contact OGIS, you may email them at ogis@nara.gov or call 1-877-684-6448.

Please note we are charging no fee for processing your request. If you have any questions regarding this letter, please contact Quinton Mason by phone, at (202) 882-9904, or by e-mail, at TTBFOIA@ttb.gov, and reference FOIA number **2017-08-058**.

Sincerely,

A handwritten signature in blue ink, appearing to read "Amy R. Greenberg".

Amy R. Greenberg
Director, Regulations and Rulings Division

Enclosure(s): As stated



ASSISTANT
ADMINISTRATOR

DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU
WASHINGTON, D.C. 20005

August 28, 2017

2017-05-301
9500

Re: Freedom of Information Act Appeal (File No. 2017-05-301)

This is in response to your appeal of July 26, 2017 (and received in this office on August 11, 2017) (the Appeal), from the decision of the Director, Regulations and Rulings Division of the Alcohol and Tobacco Tax and Trade Bureau (TTB or the Bureau), regarding your request for information dated May 23, 2017 (the Request) under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. After a careful review of this matter, we are granting the Appeal in full.

In the Request, you described its scope as follows:

A copy of the listing of denied American Viticulture Areas (AVA). By denied AVAs, I mean that a petition was submitted and it was denied by TTB. I would like to receive such a listing for whatever period of time it is maintained at TTB. I understand that TTB has an administrative database from which a listing of denied AVAs can be produced.

In our initial response to the Request dated June 30, 2017, we informed you that we had identified seven (7) pages of responsive records, but that we were withholding them in full pursuant to FOIA exemptions 5 and 6. 5 U.S.C. 552(b)(5) and (b)(6).

Discussion

As noted above, in the Request, you state that you “understand that TTB has an administrative database from which a listing of denied AVAs can be produced.” This understanding is mistaken. Instead, a listing of denied AVAs has been compiled as part of an internal, deliberative document and only exists as part of that document. This is why the pages containing the responsive list were withheld pursuant to FOIA exemptions 5 and 6.

In the Appeal, you put forth several grounds in support. In considering these grounds, which we discuss individually below, we have determined that the responsive records should be released and your Appeal granted in full.

First, you state that you have seen a denial of an AVA published in the Federal Register and you provided a link to an AVA denial that was published in 2002. You argue that the existence of such information in the public domain “does not mean that I need to personally tally all the information if TTB has such a list record.” This is true; however, at no point in the initial response to the Request did TTB point you to information in the Federal Register regarding denied AVAs, because such information has not been routinely published since TTB was formed in January 2003 pursuant to the Homeland Security Act of 2002.

Second, you argue that FOIA exemption 5 does not apply because the listing of denied AVAs is factual, not opinion; is not in itself an intra- or inter-agency communication; is not predecisional; and is not in itself deliberative. We agree that a list of denied AVAs is factual in nature. Moreover, even if the list is included in an intra- or inter-agency deliberative document, the deliberative portions of the document are outside of the scope of the Request.

Third, you argue that FOIA exemption 6 does not apply because the application for an AVA is a public record and that if any personnel data is considered exempt, it could be redacted.

At this time, we need not take a position on whether all petitions for an AVA are public records. It is certainly the case that under TTB regulations at 27 C.F.R. 9.13, a perfected petition may be accepted for rulemaking, at which time a notice to that effect is published on TTB’s website. In addition, 27 C.F.R. 9.14 provides for notices of proposed rulemaking (NPRMs) to be published in the Federal Register and subsequent publication of either a final rule adopting the proposed AVA action, notice of withdrawal, or a new NPRM setting forth a modified AVA action for public comment. However, it does not automatically follow that every petition for an AVA is a public record.

Nevertheless, whether or not information from a petition or otherwise that is subject to FOIA exemption 6 has been included in a document containing a list of denied AVAs, such information is outside of the scope of the Request.

Fourth, you argue that TTB has not released all segregable releasable portions. We agree with this contention. The FOIA itself, after listing the exemptions from disclosure, provides that “Any reasonably segregable portion of a record shall be provided to any person requesting such record after deletion of the portions which are exempt under this subsection.” 5 U.S.C. 552(b). In the instant case the “listing of denied AVAs” responsive to the request exists only as part of a larger document. As noted above, in response to your second and third grounds for appeal, that document contains information that is exempt from disclosure under FOIA exemptions 5 and 6. However, as also discussed above, the information subject to those exemptions is beyond the scope of the Request and segregable from the responsive list.

Fifth, you argue that the TTB has not applied the “foreseeable harm” test to this request. Because we have determined on reconsideration that the responsive records are not subject to exemptions from disclosure under the FOIA, this argument has been rendered moot. Likewise, in response to your sixth ground for appeal, that TTB had not applied its discretion to release records, we find that in the absence of applicable exemptions, the responsive records are to be released and that no exercise of discretion is required.

Conclusion

For the foregoing reasons, TTB has determined that the responsive records will be provided to you in full. However, certain information that is beyond the scope of the Request and incorporated in the same document as the responsive records has been redacted. Were this information to be within the scope of the Request, all or part of it would be exempt from disclosure under FOIA exemptions 5 and/or 6 and redacted on that basis.

If you are dissatisfied with this appeal decision, the FOIA provides that you may seek judicial review in the United States district court in the district in which you reside, where your principal place of business is located, where the records are maintained, or in the District of Columbia. See 5 U.S.C. § 552(a)(4). In addition, as noted in the Response, as part of the 2007 FOIA amendments, the Office of Government Information Services (OGIS) was created to offer mediation services to resolve disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. Using OGIS services does not affect your right to pursue litigation. If you wish to contact OGIS, you may email them at ogis@nara.gov or call 1-877-684-6448.

Sincerely,

Gail H. Davis

for Theresa McCarthy

Digitally signed by Gail H. Davis
DN: cn=US, ou=U.S. Government, ou=Department of
the Treasury, ou=Alcohol and Tobacco Tax and
Trade Bureau, ou=People, serialNumber=102670,
c=Gail H. Davis
Date: 2017.08.28 16:49:36 -0400

Enclosure (electronic only)

From: (b) (6)
To: [Mason, Quinton](#)
Subject: AVA list
Date: Wednesday, May 31, 2017 9:59:46 AM
Attachments: [AVA Rulemakings.doc](#)

Hi, Quinton,

Here's the list that I keep that tracks AVA petitions.

(b) (5) Section 5 lists only those petitions we have rejected.

(b) (6)
AVA Program Manager
TTB Regulations and Rulings Division
(b) (6)

+

American Viticultural Area Rulemaking Projects

239 AVAs established as of 11/28/2016

Information contained in this AVA chart is for TTB internal use only. Please do not share the chart with the public or industry members. All questions about a particular AVA petition should be referred to the assigned specialist or AVA Program Manager (b) (6)

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Section 6—Published AVA Documents by Fiscal Year

SECTION 1—AVA Projects Currently in Progress

AVA	Petition	(b) (6), (b) (5)
ALISOS CANYON	Accepted 4/14/17	
Santa Barbara, CA	Wesley D. Hagen	
<i>Within Central Coast AVA</i>		
ARROYO SECO EXPANSION	Accepted 5/19/15 Ann Hougham	
Monterey, CA		
<i>Within Central Coast and Monterey AVAs</i>		
CANDY MOUNTAIN / YAKIMA VALLEY EXPANSION	Accepted 1/24/17 Kevin Pogue	
Benton County, WA		
<i>Within Yakima Valley and Columbia Valley AVAs</i>		

~~Confidential~~

AVA	Petition
CAPE MAY PENINSULA Cape May, Cumberland Counties, NJ	Accepted 11/18/14 Alfred Natali
CREST OF THE BLUE RIDGE HENDERSON COUNTY Henderson County, NC <i>No other AVAs impacted</i>	Accepted 1/24/17 Mark Williams
DAHLONEGA PLATEAU Lumpkin, White Counties, GA <i>No other AVAs impacted</i>	Accepted 4/30/15 Amy Booker/ Dahlonega-Lumpkin Chamber and Visitor Bureau

(b) (5), (b) (6)

AVA	Petition
EASTERN CONNECTICUT HIGHLANDS	Accepted 8/18/16 Steven Vollweiler Sharpe Hill Vineyard
Hartford, Middlesex, New Haven, New London, Tolland, Windham Counties, CT	
<i>No other AVAs impacted</i>	
MALIBU COAST EXPANSION	12/14/15 Ralph Carter
<i>No other AVAs affected</i>	
MONTICELLO EXPANSION	5/27/15 George Cushnie
<i>No other AVAs affected</i>	
OUTER COASTAL PLAIN EXPANSION	2/3/15 Janet Giunco (4 JG's Orchards and Vineyards)
Monmouth County, NJ	

(b) (5), (b) (6)

~~Confidential~~

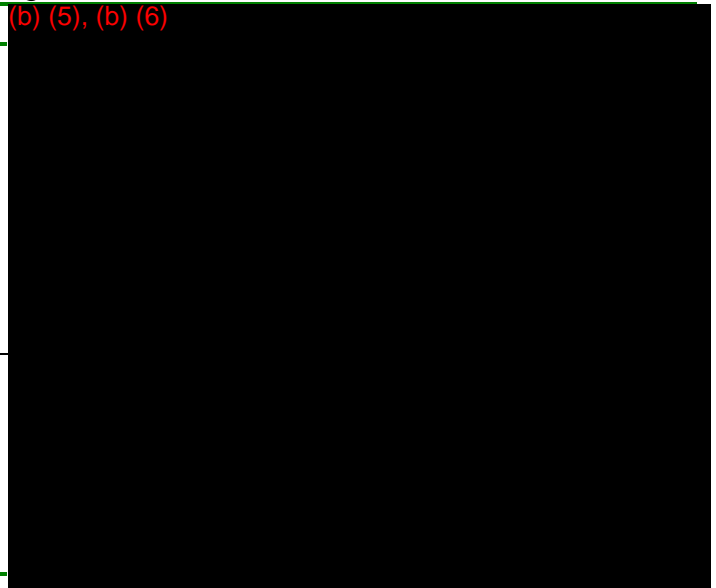
(b) (5), (b) (6)

AVA	Petition
PETALUMA GAP and Expansion of North Coast AVA Sonoma, Marin Counties, CA <i>Within North Coast, Sonoma Coast AVAs</i>	3/4/15 Doug Cover (Petaluma Winegrowers Alliance)
ROYAL SLOPE Washington State <i>Within Columbia Valley AVA</i>	4/14/17 Alan Busacca
TUALATIN HILLS Washington County, OR <i>Willamette Valley and partially overlaps Chehalem Mountains AVA (excludes Ribbon Ridge AVA)</i>	3/1/17 Rudolf Marchesi (Montinore Estates)
UPPER HUDSON Albany, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Washington Counties, NY <i>No other AVAs impacted</i>	7/30/15 Andrew Weber

~~Confidential~~

AVA	Petition
VAN DUZER CORRIDOR	7/1/15 Jeff Havlin
Polk, Yamhill Counties, OR	
<i>Within Willamette Valley AVA</i>	
WEST SONOMA COAST	8/12/16 Patrick Shabram
Sonoma County, CA	
<i>Within Sonoma Coast, North Coast AVAs</i>	

(b) (5), (b) (6)



SECTION 2—Established and Pending AVA Stats

Number of established AVAs: 239 (effective 11/28/2016)

Number of pending petitions by State

(Updated 4/14/17 to add Royal Slope and Alisos Canyon)

STATE	NEW AVA	MODIFICATION OF EXISTING AVA BOUNDARY	TOTAL NUMBER OF PENDING PETITIONS PER STATE
California	3	2	5
Connecticut	1		1
Oregon	2		2
New York	1		1
New Jersey	1	1	2
North Carolina	1		1
Georgia	1		1
Virginia		1	1
Washington	2		2
TOTAL:	13	4	16

SECTION 3-- Petition Receipts by Fiscal Year

FY 2017(XX)

1. 12/6/16—**Wild** (b) (5) review;
rejected 1/10/17 (b) (5)
2. 12/15/16—**Tualatin Hills** in Washington County, OR received; receipt sent 12/16/16; in review; rejected 1/24/17 (b) (5)
(b) (5)
3. 12/16/16—**Crest of the Blue Ridge Henderson County** in North Carolina received; receipt sent 12/19/16; in review; accepted 1/24/17
4. 12/28/16—**Mt. Pisgah-Mistletoe Ridge** in Polk County, OR received; 1/3/17 receipt sent; in review; rejected 2/1/17 (b) (5)
(b) (5)
5. 1/5/17—**Candy Mountain** in Benton County, Washington received; 1/6/17 receipt sent; accepted 1/24/17
6. 2/6/17—**Missoula Valley** in Missoula County, Montana received; 2/7/17 receipt sent; waiting for additional data on vineyard locations to justify small number/ acres of vineyards; rejected 4/13/17 (b) (5)
7. 2/14/17—**Tualatin Hills (resubmission)** in Washington County, OR received; 2/15/17 receipt sent; in review; accepted 3/1/17
8. 2/28/17—**Verde Valley** in Yavapai County, AZ received; receipt sent; in review; rejected 4/13/17 (b) (5)
9. 3/6/17—**Royal Slope** in Washington, received; 3/9/17 receipt sent; in review; accepted 4/14/17
10. 3/13/17—**Alisos Canyon** in Santa Barbara County, CA, received; 3/22/17 receipt sent; in review; accepted 4/14/17
11. 3/21/17—**Prairie Mountain** in Lane and Benton Counties, OR, received; 3/22/17 receipt sent; in review; rejected 5/3/17 (b) (5)
12. 5/2/17—**Clarksburg expansion** in Yolo County, CA, received; 5/3/17 receipt sent; in review

FY 2017	
Accepted	
Rejected	
Resubmissions (from any year)	

FY 2016 (7)

1. 12/2/15—**Malibu Coast expansion (resubmission)** received; e-mailed acknowledgement of receipt; in review; accepted 12/14/15
2. 1/12/16—**Mendocino Ridge name change** petition received (resubmission); receipt sent; in review; rejected 2/9/16 (b) (5)
(b) (5)

~~Confidential~~

3. 2/25/16—**Palos Verdes Peninsula** in Los Angeles County, CA received; receipt sent; in review; **rejected 3/24/16** (b) (5)

(b) (5)

4. 3/17/16—**Virginia Peninsula (resubmission)** in Poquoson, Hampton, Newport News, James City, York, Kent, and Charles City, VA received; receipt sent; **rejected 4/5/16** (b) (5)

(b) (5)

5. 7/19/16—**West Sonoma Coast (resubmission)** received; receipt sent; in review; **accepted 8/12/16**

6. 8/1/16—**Eastern Connecticut Highlands (resubmission)** received; receipt sent 8/2/16; in review; **accepted 8/18/16**

7. 8/22/16—**Laurelwood** in Washington and Yamhill Counties, OR received; receipt letter sent; in review; **rejected 9/13/16** (b) (5)

(b) (5)

FY 2016	
Accepted	3
Rejected	4
Resubmissions (from any year)	5

FY 2015 (15)

1. 10/17/14—**Appalachian High Country** in portions of Tennessee, North Carolina, and Virginia received; receipt letter sent; in review; **accepted 11/18/14**

2. 10/27/14—**Cape May Peninsula** in New Jersey received; in review; **accepted 11/18/14**

3. 01/09/15—**Upper Hudson** in New York received; in review; **rejected 2/3/15** (b) (5)

(b) (5)

4. 01/22/15—**Outer Coastal Plain (NJ) expansion** petition received; in review; **accepted 2/3/15**

5. 02/19/15—**Petaluma Gap** in Sonoma County petition received; in review; **accepted 3/4/15**

6. 04/10/15—**Monticello (VA) expansion** petition received; in review; 4/30/15—Requested more information on why expansion does not go farther north, south, or east, as well as vineyard acreage in expansion area; 5/26/15 additional information received; **5/27/15—Petition accepted as perfected**

7. 04/14/15—**Dahlonega Plateau** in portions of Lumpkin and White Counties, Georgia petition received; in review; **accepted 4/30/15**

8. 05/01/15—**West Sonoma Coast** in Sonoma County received; in review; **rejected 5/19/15**

(b) (5)

9. 05/05/15—**Arroyo Seco AVA expansion** in Monterey County, CA received; in review; **accepted 5/19/15**

10. 07/01/15—**Malibu Coast AVA expansion** in Ventura and Los Angeles Counties, CA received; receipt sent; in review; request for additional information sent 7/20/15

11. 07/01/15—**Van Duzer Corridor** in Polk and Yamhill Counties, OR received; receipt sent; in review; **accepted 7/22/15**

~~Confidential~~

12. 07/14/15—**Virginia Peninsula** in Poquoson, Hampton, Newport News, Jame Kent, and Charles City, VA received; receipt letter sent; in review; **rejected 8/7/15** (b) (5)

(b) (5)

13. 07/28/15—**Upper Hudson** in New York received (**resubmission**); receipt sent; in review; **7/30/15—accepted**

14. 09/2/15—**Petition to rename Mendocino Ridge** AVA as “Mendocino Coast” received; receipt sent 9/15/15; in review: **rejected 9/25/15** (b) (5)

(b) (5)

15. 09/30/15—**Eastern Connecticut Highlands** petition received; receipt sent; in review; **rejected 10/21/15** (b) (5)

(b) (5)

FY 2015

Accepted	9
Rejected	5
Resubmissions (from any year)	1

FY 2014 (6)

1. 11/14/13--**Loess Hills District** in western Iowa and northern Missouri (**resubmission**)—received; in review; **accepted 11/26/13**

2. 11/15/13--**Winters–Rocky Ridge** in Yolo County, CA (**resubmission**)—received; in review; **Rejected 11/17/13** (b) (5)

3. 12/18/13—Willamette Valley AVA expansion received; in review; **accepted 1/13/14**

4. 12/31/13—**Chiricahua Foothills** in SE Arizona received; in review; **rejected 1/27/14** (b) (5)

(b) (5)

5. 12/31/13—**Willcox** in SE Arizona received; in review; **accepted 1/27/14**

6. 1/14/14—**Tip of the Mitt** revision received (**resubmission**); in review; **accepted 1/27/14**

FY 2014

Accepted	4
Rejected	2
Resubmissions (from any year)	3

FY 2013 (17)

1. 10/3/12 – **Manton Valley** in Shasta and Tehama Counties, CA (**resubmission**) –**Accepted 10/23/12**; assigned to (b) (6)

2. 11/19/12--**Fair Play expansion**—**Accepted 2/1/13** after receipt of soil maps; assigned to (b) (6)

3. 12/4/12—**The Rocks of Freewater** in Umatilla County, OR—(**resubmission** 1/15/13 with new name (The Rocks of Milton-Freewater)); **Accepted 1/16/13**, assigned to (b) (6)

4. 12/13/12—**Hood Mountain—Sonoma** in Sonoma County, CA—**Returned 1/3/14** (b) (5)

(b) (5)

5. 1/8/13—**Champlain Valley of New York**—Received; enclosed note stated that petition was originally submitted in August 2012, along with maps, but petition was never received at TTB; per phone call to petitioner, maps will be resubmitted so petition may be evaluated; received maps 2/5/13; **rejected 2/26/13** (b) (5)

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6. 1/10/13—**Loramie Creek expansion**—**Rejected 1/16/13** (b) (5)
7. 2/15/13—**Sierra Gateway** in Fresno County, CA (from Kings Canyon foothills)—Received; in review; 3/13/13 contacted petitioner for additional name evidence and possible boundary adjustment; **resubmitted 4/10/13 as Squaw Valley-Miramonte** (accepted 4/17/13)
8. 2/20/13—**Loramie Creek expansion (resubmission)**—Received; in review; **rejected 4/10/13** (b) (5)
9. 2/22/13—**Fountaingrove District** in Sonoma County, CA—Received; in review; **accepted 3/13/13 (Resubmittal)** from "Hood Mountain")
10. 3/1/13—**Sta. Rita Hills expansion** in Santa Barbara County, CA—Received; in review; **accepted 3/26/13**
11. 3/28/13—**Los Olivos District** in Santa Barbara County, CA (**resubmittal**)—Received; in review; **Accepted 4/17/13**
12. 4/23/13—**Lewis-Clark Valley** in Idaho and Washington (**resubmittal**)—Received; in review; **Accepted 5/7/13**
13. 5/1/13—**Lamorinda** in Contra Costa County, CA—Received; in review, awaiting additional information; **accepted 5/7/13**
14. 5/24/13—**Willow Creek Idaho** in Gem and Ada Counties, ID (**later changed to Eagle Foothills**)—Received; in review, awaiting additional information; 6/18 received information; **6/19 Petition accepted**
15. 5/29/13—**Perrydale Hills** in Oregon—Received, in review; **rejected 6/11/13** (b) (5)
16. 6/4/13—**Champlain Valley of New York (resubmittal)**—Received, in review; **accepted 6/18/13**

review; **rejected 10/22/13** (b) (5)

(b) (5)

FY 2013	
Accepted	11
Rejected	6
Resubmissions (from any year)	8

FY 2012 (15)

1. 11/8/11 – **Red River Valley** in Texas – **Returned 12/15/11** (b) (5)
2. 11/8/11 – **Moon Mountain** in Sonoma, CA – **Returned 1/2012** (b) (5)
3. 11/16/11 – **Big Valley District – Lake County** in Lake County, CA – **Accepted 2/2012**, assigned to (b) (6)
4. 11/16/11 – **Kelsey Bench – Lake County** in Lake County, CA – **Accepted 2/2012**, assigned to (b) (6)
5. 2/2/12 -- **Hiwassee Highlands** in North Carolina and Georgia – **Returned 2/2012** (b) (5)
6. 4/16/12 – **Manton Valley** in Shasta County, CA – **Returned 5/2012** (b) (5)
7. 4/18/12 -- **Moon Mountain District** in Sonoma County in Sonoma, CA (**resubmission**)—**Accepted 6/2012**, assigned to (b) (6)

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8. 5/1/12 -- **Los Olivos District** in Santa Ynez Valley, CA – Returned 5/2012 (b) (5)
9. 5/3/12 -- **Kentucky River Palisades** in Kentucky – Returned 6/2012 (b) (5)
10. 5/16/12 -- **Tip of the Mitt** in Northern Michigan – Returned 6/2012 (b) (5)
11. 5/24/12 -- **Iowa Loess Hills** in Western Iowa – Returned 6/2012 (b) (5)
12. 6/8/12 -- **Malibu Coast** in Ventura and Los Angeles Counties, California – Accepted 8/2012, assigned to (b) (6)
13. 8/1/2012 – **Los Olivos** in Santa Barbara County, California (**resubmittal**)– Reviewing petition as of 8/9/2012, awaiting additional information from petitioner; returned 11/28/12 (b) (5)
14. 9/7/12—**Santa Lucia** **royo Seco boundary modification** in Monterey, California—returned (b) (5) 10/10/12
15. 9/24/12—**Upper Hiw** southwestern North Carolina and northwestern Georgia (**resubmission from Hiwassee Highlands**)—Accepted 10/23/12; assigned to (b) (6)

FY 2012	
Accepted	5
Rejected	10
Resubmissions (from any year)	3

FY 2011 (7)

1. 2/2/11 – **Shasta-Cascades** in California – Returned 3/11/11
2. 2/21/11 – **Lake Champlain Islands** (**resubmission**) in Vermont – Returned 3/3/11
3. 3/15/11 – **Tyler New Hope** (formerly Kiepersol Estates) – Returned 6/2/2011
4. 5/10/11 – **Ballard Canyon** in Santa Barbara, California – Accepted 10/26/11, assigned to (b) (6)
5. 7/7/11 – **Lewis-Clark Valley** in Washington and Idaho – Returned 12/15/11 (b) (5)
6. **Kings Canyon Foothills** (**resubmission**) 8/17/2011
7. 8/15/11 – **Tyler New Hope** in Texas (**resubmission**)– Returned 10/14/11

FY 2011	
Accepted	1
Rejected	6
Resubmissions (from any year)	3

FY 2010 (15)

1. 10/5/09 – **Coombsville** (formerly Tulocay) in Napa Valley (**resubmittal**) , accepted 10/9/09 (b) (6)
2. 12/29/09 – **Inwood Valley** (Shasta Co. CA) accepted 1/11/10, assigned to (b) (6)

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3. 12/30/09 – **Wisconsin Ledge** – **accepted**, assigned to (b) (6)
4. 1/12/10 – **Rocky Ridge – Winters** – **returned 1/14/10**
5. 3/1/10 – **Eagle Peak, Ocino, and Redwood Valley (resubmittal)**– **accepted 5/12/10** assigned to (b) (6)
6. 3/11/10 – **Vina** – **returned 4/13/10** (b) (5)
7. 4/2/10 – **Ancient Lakes** – **returned 4/12/10** (b) (5)
8. 5/20/10 – **Ancient Lakes (resubmission)** – **accepted 6/8/10**, assigned to (b) (6)
9. (b) (5) **ates** – **6/7/10 returned** (b) (5)
10. 5/14/10 – **Elkton** – **6/22/10 returned** (b) (5)
11. (b) (6) **District (resubmittal)**– **7/2/10 assigned to** (b) (6) **returned by** (b) (6) **on 6/13/12** (b) (5)
12. 7/26/10 - **Kings Canyon Foothills** – **7/27/10 returned** (b) (5)
13. 8/24/10 – **Elkton Oregon** – **accepted 8/20/10**, assigned to (b) (6)
14. 8/24/10 - **Lake Champlain Islands** - **returned** (b) (5)
15. 9/1/10 (approximately) – **Kiepersol (resubmission)**– **11/3/10 returned** (b) (5)

FY 2010

Accepted	7
Rejected	8
Resubmissions (from any year)	5

FY 2009 (12)

1. 10/1/08 – **Naches Heights** (Yakima Co., WA), **10/3/08 returned**
2. 10/27/08 – **Naches Heights (resubmittal)**, **10/31/08 returned**
3. 11/21/08 – **Naches Heights**– **(resubmittal)**, **12/1/08 accepted**, assigned to (b) (6)
4. 12/22/08 – **Niagara Cuesta** - **1/6/09 returned**
5. 1/5/09 – **Kewaunee Coast** – **1/6/09 returned**
6. 1/22/09 – **Wild Horse Peak** – **1/23/09 returned**
7. 2/25/09 – **Niagara Cuesta (resubmittal)** – **4/21/09 returned**
8. 3/11/09 – **Blue Ridge Foothills of Central Virginia**– **4/9/09** – assigned to (b) (6) **returned 10/18/11** (b) (5)
9. 4/7/09 – **Pope Valley District (resubmittal)**– **4/16/09 returned** (b) (5)
10. 6/1/09 – **Coombsville** – **6/1/09 returned** (b) (5)
11. 7/8/09 – **Rocky Ridge** – **7/28/09 returned** (b) (5)
- (b) (5) **Mendocino County** – **returned 9/25/09** (b) (5)

FY 2009

Accepted	1
Rejected	11
Resubmissions (from any year)	4

FY 2008 = 18

1. 11/2/07 – Hyampom Valley, No CA (resubmittal) – returned
2. 11/165/07 - Russian River Valley Expansion accepted (b) (6)
3. 11/20/07 – Tryon Foothills, NC – returned
4. 12/4/07 - Freestone-Occidental, CA – returned
5. 12/7/07 - Trancas Canyon-Malibu – returned
6. 1/10/08 – Trancas Canyon – Malibu (resubmittal)– returned 2/21/08
7. 1/17/08 – Freestone Occidental (resubmittal)– returned 1/31/08
8. 1/17/08 – Hyampom Valley (resubmittal)– returned 1/31/08
9. 1/17/08 – Sierra Pelona – returned 2/14/08
10. 2/14/08 – Soledad Canyon/Los Angeles County – returned 6/27/08
11. 2/19/08 – Kansas Smoky Hill District – returned 2/26/08
12. 2/19/08 – Kansas Flint Hills – returned 2/26/08
13. 3/14/08 – Freestone-Occidental (resubmittal)– accepted. assigned to (b) (6) rejected
4/12/12 (b) (5)
14. 5/15/08 - Ontario Lake Plain returned 5/22/08
15. 5/29/08 – Santa Maria Valley Expansion – accepted, assigned to (b) (6)
16. 6/26/08 – Yamhill-Carlton District name change – accepted, assigned to (b) (6)
17. 7/10/08 – Middleburg Virginia – returned 7/25/08
18. 8/18/08 – Middleburg Virginia (resubmittal)– accepted 8/22/08 – (b) (6)

FY 2006	
Accepted	4
Rejected	14
Resubmissions (from any year)	6

FY 2007 = 29

1. 10/20/06 – Hyampom Valley (northern CA) returned
2. 11/3/06 – Upper Mississippi River Valley (Minnesota, Wisconsin, Illinois, & Iowa) – accepted, assigned to (b) (6)
3. 12/12/06 – Pine Mountain - Mayacmas (northern CA) – accepted, assigned to (b) (6)
4. 12/13/06 – Alexander Valley Expansion (NE) returned
5. 12/28/06 – Lake Chelan (n. central Washington) – accepted, assigned to (b) (6)
6. 1/18/07 – Haw River Valley – returned (b) (5)
7. 1/19/07 – Indiana Uplands – returned (b) (5)
8. 1/19/07 – Monticello expansion returned (b) (5)
9. 2/20/07 – Indiana Uplands – accepted, assigned to (b) (6)
10. 2/20/07 – Paso Robles southern expansion – returned (b) (5)
11. 2/27/07 – Haw River Valley (resubmittal)– accepted, assigned to (b) (6)
12. 2/2/07 – Paso Robles – expansion re-submitted and 3 new ones OK – accepted, assigned to (b) (6)
13. 3/8/07 - Monticello expansion – accepted, assigned to (b) (6)
14. 3/26/07 – Slopes of Leona Valley – returned
15. 3/30/07 – Happy Canyon – returned

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16. 4/5/07 – **Snipes Mountain** – returned
17. 4/11/07 – **Loudoun Valley** – returned
18. 4/19/07 – **Leona Valley** (resubmittal from Slopes of Leona Valley) – accepted, assigned to (b) (6)
19. 4/27/07 – **Snipes Mountain** – returned
20. 5/3/07 – **Happy Canyon Santa Barbara** (resubmittal)– returned
21. 5/17/07 – **Tehachapi** – returned
22. 5/21/07 – **Snipes Mountain** (resubmittal)– accepted, assigned to (b) (6)
23. 5/25/07 – **Alexander Valley Expansion** (northeast) – accepted, assigned to (b) (6)
24. 6/1/07 – **Happy Canyon of Santa Barbara** (resubmittal)– accepted, assigned to (b) (6)
25. 6/22/07 – **Antelope Valley** – returned (b) (5) 6/29/07)
26. 6/29/07 – **Tehachapi Valley** (resubmittal from Tehachapi)– 6/27/08 returned
27. 7/17/07 – **Pope Valley District** (resubmittal)– returned (b) (5)
28. 7/19/07 – **Niagara Escarpment** – returned, (b) (5)
29. 7/26/07 – **Antelope Valley of California's High Desert** (resubmittal)– accepted, assigned to (b) (6)

FY 2007	
Accepted	12
Rejected	17
Resubmissions (from any year)	9

FY 2006 = 11

- 10/3 – Snake River Valley – accepted, assigned to (b) (6)
- 10/8 – Tulocay – returned
- 10/20 – Alexander Valley Expansion returned
- 11/25 – Alexander Valley Expansion (resubmission) accepted, assigned to (b) (6)
- 11/18 – Currituck Banks, NC returned
- 1/5 – Tulocay – accepted assigned to (b) (6) – 6/27/08 returned
- 1/17 – Paso Robles Westside – accepted, assigned to (b) (6) – 4/30/09 Withdrawal in FR
- 3/16 – Los Ositos – returned
- 3/31/06 – Santa Maria Valley – accepted, assigned to (b) (6)
- 6/19/06 – Clark County, Washington – returned
- 9/26/06 – Pope Valley District - returned

FY 2006	
Accepted	5
Rejected	6
Resubmissions (from any year)	1

FY 2005 = 15

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11/3 – Malibu-Saddle Rock – **returned**
 11/30 – Hopland – **returned**
 11/10 – Wahluke Slope (WA) – **accepted**, assigned to (b) (6)
 1/10 – Lehigh Valley (PA) – **returned**
 1/25 – Malibu – Saddle Rock (**resubmittal**)--**returned**
 2/15 – Saddle Rock – Malibu (**resubmittal**)—**accepted**, assigned to (b) (6)
 3/15 - Swan Creek (Pennsylvania) **returned**
 4/20 – Indiana Uplands (IN) – **returned**
 4/22 – San Francisco Bay expansion north boundary – Solano – **accepted**, assigned to (b) (6)
 7/14 – Swan Creek (NC) (**resubmittal**) – **accepted**, assigned to (b) (6)
 7/22 – Lehigh Valley (Pennsylvania) (**resubmittal**) – **accepted**, assigned to (b) (6)
 8/1 – Sonoma County Green Valley renaming to “Green Valley of Russian River Valley” – **accepted**, assigned to (b) (6)
 8/19 – Paso Robles Westside – **returned**
 9/8 – Los Ositos – **returned**
 9/15 – Templeton Gap (**resubmittal**) – **returned**

FY 2003	
Accepted	6
Rejected	9
Resubmissions (from any year)	5

FY 2004 = 7

1/29 – Rattlesnake Hills (WA) – **returned**
 3/22 – Dos Rios (CA) – **accepted**, assigned to (b) (6)
 4/8 – South Grand Mesa (CO) – **returned**
 5/17 – Niagara Escarpment (NY)– **accepted**, assigned to (b) (6)
 5/24 – Wahluke Slope (WA) – **returned**
 7/19 – Templeton Gap (CA) - **returned**
 9/3 – Rattlesnake Hills (WA) (**resubmittal**) **accepted** 9/31, assigned to (b) (6)

FY 2004	
Accepted	3
Rejected	4
Resubmissions (from any year)	1

2003 = 13**2002 = 25**

3/14 – Santa Maria Bench – **returned** 5/5/09 (b) (5)

SECTION 4—Established AVAs by Fiscal Year

Name	Petition Acceptance Date	Effective Date	Months in Process	State(s)	County(ies)	Comments
FY 2017 (X)						
Appalachian High Country	7/18/2014	11/28/2016	28	VA/NC/TN	Carter, Johnson (TN); Mitchell, Avery, Ashe, Alleghany, Watauga (NC); Grayson (VA)	
FY 2016 (10, including 2 expansions)						
Eagle Foothills	6/19/2013	12/28/2015	30	ID	Gem, Ada	
Los Olivos District	4/17/2013	2/22/2016	34	CA	Santa Barbara	
Lamorinda	5/17/2013	3/25/2016	34	CA	Contra Costa	
Loess Hills District	11/26/2013	4/4/2016	29	IA/MO	Fremont, Page, Mills, Montgomery, Pottawattamie, Cass, Harrison, Shelby, Audubon, Monona, Crawford, Carroll, Woodbury, Ida, Sac, Plymouth, Sioux Counties, IA Atchison and Holt Counties, MO	
Willamette Valley (expansion)	1/13/2014	4/4/2016	26	OR		
Lewis-Clark Valley	5/7/2013	5/20/2016	36	WA/ID	Nez Perce, Lewis, Clearwater, Latah (ID); Asotin, Garfield, Whitman (WA)	
Tip of the Mitt	1/27/2014	8/22/2016	31	MI	Charlevoix, Emmet Cheboygan, Antrim, Alpena, Presque Isle	
Sta. Rita Hills (expansion)	3/26/2013			CA	Santa Barbara	
Champlain Valley of New York	6/4/2013			NY	Clinton; Essex	
Willcox	1/27/2014	10/12/2016		AZ	Cochise, Graham	

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Paso Robles 11	2/2/2007	11/10/2014	93	CA	San Luis Obispo	
Eagle Peak Mendocino County	5/12/2010	11/10/2014	54	CA	Mendocino	
Fair Play expansion	2/1/2013	2/5/2014	12	CA	El Dorado	
The Rocks District of Milton- Freewater	1/16/2013	3/11/2015	26	OR	Umatilla	
Fountaingrove District	3/13/2014	3/20/2015	24	CA	Sonoma	
Squaw Valley- Miramonte	4/17/2013	9/8/2015	28	CA	Fresno	

FY 2014 (7)

Ballard Canyon	10/26/2011	11/1/2013	25	CA	Santa Barbara	
Moon Mountain District-Sonoma County	4/8/2012	11/1/2013	19	CA	Sonoma	
Big Valley District-Lake County	2/6/2012	11/1/2013	21	CA	Lake	Published concurrently with Kelsey Bench- Lake County
Kelsey Bench- Lake County	2/6/2012	11/1/2013	21	CA	Lake	Published concurrently with Big Valley District- Lake County
Upper Hiwassee Highlands	10/13/2012	8/18/2014	22	NC/GA	Cherokee, Clay (NC); Towns, Union, Fannin (GA)	
Malibu Coast	8/2012	8/18/2014	24	CA	Los Angeles, Ventura	
Manton Valley	10/23/2012	9/2/2014	23	CA	Shasta, Tehama	

FY 2013 (3)

Ancient Lakes of Columbia Valley	6/8/2010	11/19/2012	28	WA	Douglas, Grant, Kittitas	
Elkton Oregon	8/20/2010	3/7/2013	31	OR	Douglas	
Indiana Uplands	2/20/2007	3/7/2013	72	IN	Monroe, Brown, Morgan, Owens, Greene, Lawrence, Bartholomew, Orange, Washington, Floyd, Harrison, Perry, Crawford, Jackson, Martin, Daviess, Dubois, Scott, Spencer	Also realigned Ohio River Valley

FY 2012 (8)

Middleburg Virginia	8/22/2008	10/15/2012	48	VA	Loudoun, Fauquier	
Inwood Valley	12/29/2009	10/15/2012	32	CA	Shasta	
Pine Mountain- Cloverdale Peak	12/12/2006	11/28/2011	59	CA	Sonoma, Mendocino	Partially overlaps Alexander Valley and Northern Sonoma

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Russian River Valley/Northern Sonoma expansion	11/15/2007	12/16/2011	49	CA	Sonoma	
Coombsville	10/9/2009	1/13/2012	27	CA	Napa	
Fort Ross-Seaview	2/27/2003	1/13/2012	107	CA	Sonoma	
Naches Heights	1/16/2009	1/13/2012	36	WA	Yakima	
Wisconsin Ledge	2/9/2010	4/23/2012	26	WI	Door, Kewaunee, Mantiowoc, Sheboygan, Ozaukee, Washington, Fond du Lac, Dodge, Calumet, Outagamie, Brown	
FY 2011 (3)						
Yamhill-Carlton name change	7/1/2008	12/3/2010	29	OR	Yamhill, Washington	
Santa Maria Valley expansion	6/3/2008	1/28/2011	30	CA	San Luis Obispo, Santa Maria	
Antelope Valley of the California High Desert	7/26/2007	6/23/2011	47	CA	Kern, Los Angeles	
FY 2010 (3)						
Happy Canyon of Santa Barbara	6/1/2007	11/9/2009	29	CA	Santa Barbara	
Calistoga	9/9/2003	1/7/2010	64	CA	Napa	
Sierra Pelona Valley	4/3/2008	8/23/2010	29	CA	Los Angeles	
FY 2009 (6)						
Leona Valley	4/23/2007	11/28/2008	19	CA	Los Angeles	
Snipes Mountain	5/21/2007	2/20/2009	21	WA	Yakima	
Paso Robles expansion	2/27/2007	2/20/2009	24	CA	San Luis Obispo	
Haw River Valley	2/27/2007	4/29/2009	26	NC	Alamance, Aswell, Chatham, Guilford, Orange, Rockingham	
Lake Chelan	12/28/2006	5/29/2009	29	WA	Chelan	
Upper Mississippi River Valley	11/3/2006	7/22/2009	33	MN, WI, IL, IA	See T.D. TTB-77	
FY 2008 (4)						
Lehigh Valley	7/20/2005	4/10/2008	33	PA	Lehigh, Northampton, Berks, Schuylkill, carbon, Monroe	
San Francisco Bay expansion	8/1/2005	4/10/2008	32	CA	Solano	
Alexander Valley expansion	11/25/2005	4/10/2008	29.5	CA	Sonoma	
Swan Creek	7/20/2005	5/27/2008	34	NC	Wilkes, Yadkin, Irdell	

~~Confidential~~**FY 2007 (6)**

Tracy Hills	8/5/2003	12/8/2006	39	CA	Stanislaus, San Joaquin	
Chehalem Mountains	3/28/2002	12/27/2006	56	OR	Yamhill, Clackamas, Washington	
Shawnee Hills	7/15/2002	12/27/2006	42	IL	Alexander, Gallatin, Hardin, Jackson, Johnson, Pope, Pulaski, Randolph, Saline, Union, William	
Outer Coastal Plain	2/4/2003	3/12/2007	49	NJ	Cumberland, Cape May, Atlantic, Ocean, Salem, Gloucester, Camden, Burlington, Monmouth	
Snake River Valley	10/3/2005	4/9/2007	18	ID/OR	Multiple counties in both States	
Green Valley of the Russian River Valley	8/1/2005	4/23/2007	21	CA	Sonoma	

FY 2006 (22)

Russian River Valley expansion	1/21/2003	10/11/2005	32	CA	Sonoma	
Dos Rios	3/22/2004	11/14/2005	18	CA	Mendocino	
Red Hill Douglas County, Oregon	3/22/2001	11/14/2005	55	OR	Douglas	
Ramona Valley	12/18/2003	1/6/2006	31	CA	San Diego	
Santa Rita Hills name change	11/12/2002	1/6/2006	36	CA	Santa Barbara	
Texoma	7/17/2003	1/6/2006	29	TX	Cooke, Fannin, Grayson, Montague	
Wahluke Slope	12/3/2004	1/6/2006	12	WA	Grant	
Covelo	6/25/2003	3/20/2006	32	CA	Mendocino	
Rattlesnake Hills	9/10/2004	3/20/2006	18	WA	Yakima	
San Antonio Valley	10/23/2003	7/10/2006	30	CA	Monterey	
Livermore Valley expansion	3/14/2002	7/13/2006	39	CA	Alameda, Contra Costa	
San Francisco Bay	3/14/2002	7/13/2006	39	CA	Alameda, Contra Costa	Also expands Central Coast
Arroyo Seco and Santa Lucia Highlands realignments	12/4/2002	7/13/2006	42	CA	Monterey	
Eola-Amity Hills	3/28/2002	8/16/2006	52	OR	Polk, Yamhill	
Saddle Rock-Malibu	3/18/2005	8/16/2006	16	CA	Los Angeles	
Lodi "7"	7/1/2003	8/16/2006	47	CA	Sacramento, San Joaquin	

FY 2005 (11)

Dundee Hills	3/28/2002	1/31/2005	34	OR	Yamhill	
Southern Oregon	7/2/2002	2/7/2005	31	OR	Douglas, Jackson,	

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					Josephine	
Yamhill-Carlton District	3/28/2002	2/27/2005	35	OR	Washington, Yamhill	
McMinnville	3/28/2002	3/21/2005	36	OR	Yamhill	
Trinity Lakes	1/22/2001	4/29/2005	52	CA	Trinity	
Ribbon Ridge	3/28/2002	7/1/2005	40	OR	Yamhill	
Alexandria Lakes	2/21/2002	8/1/2005	42	MN	Douglas	
High Valley	7/1/2003	8/1/2005	27	CA	Lake	
Horse Heaven Hills	6/13/2002	8/1/2005	38	WA	Klickitat, Yakima, Benton	
Niagara Escarpment	5/17/2004	10/11/2005	17	NY	Niagara	
Russian River Valley expansion	8/30/2002	2/2/2004	17	CA	Sonoma	
FY 2004 (7)						
Bennett Valley	3/1/2002	12/29/2003	21	CA	Sonoma	
Oak Knoll District of Napa Valley	5/3/2002	4/26/2004		CA	Napa	
Temecula Valley name change	8/21/2001	6/18/2004		CA	Riverside	
Columbia Gorge	3/11/2002	7/9/2004		OR/WA	Hood River, Wasco (OR), Skamania, Klickitat (WA)	
Salado Creek	10/15/2002	8/30/2004		CA	Stanislaus	
San Bernabe	11/17/2002	8/30/2004		CA	Monterey	Also realigns San Lucas
Red Hills Lake County	9/27/2001	9/10/2004		CA	Lake	
FY 2003 (4)						
Lodi expansion		11/4/2002		CA	Sacramento, San Joaquin	Accepted under ATF
Yadkin Valley		2/7/2003		NC	Yadkin, Surrey, etc.	Accepted under ATF
Capay Valley		2/18/2003		CA	Yolo	Accepted under ATF
Seneca Lake		9/2/2003		NY	Schuyler, Yates, etc.	First AVA accepted under TTB
FY 2002 (2)						
Alexander Valley realignment		12/3/2002		CA	Sonoma	
Rockpile		4/29/2002		CA	Sonoma	
FY 2001 (8)						
Applegate Valley		2/12/2001		OR	Josephine, Jackson	
Fair Play		4/27/2001		CA	El Dorado	
West Elks		5/7/2001		CO	Delta	
Red Mountain		6/11/2001		WA	Benton	
River Junction		7/19/2001		CA	San Joaquin	
Long Island		7/16/2001		NY	Nassau, Suffolk	
Santa Rita Hills		7/30/2001		CA	Santa Barbara	
Diamond Mountain District		7/31/2001		CA	Napa	

SECTION 5—Rejected Petitions by Fiscal Year

FY 17	Name	State	Petitioner(s)	Date Returned
1	Wild Horse Desert	TX	Rio Farms (Andrew Scott, Jr.)	1/10/17
2	Tualatin Hills	OR	Rudolf Marchesi	1/24/17
3	Mt. Pisgah-Mistletoe Ridge	OR	Brad Ford Illahe Vineyards & Winery	2/1/217
4	Missoula Valley	MT	Carol Kingery-Ritter and Ten Spoon Vineyards	4/13/17
5	Verde Valley	AZ	Chris Boyd	4/13/17
6	Prairie Mountain	OR	Dieter Boehm	5/3/17
FY 16	Name	State	Petitioner(s)	Date Returned
1	Eastern Connecticut Highlands	CT	Steven C. Vollweiler	10/21/15
2	Mendocino Ridge name change	CA		2/9/16
3	Palos Verdes Peninsula	CA	James York	3/24/16
4	Virginia Peninsula	VA	The Williamsburg Winery	4/5/16
FY 15	Name	State	Petitioner(s)	Date Returned
1	Upper Hudson	NY	Andrew Weber	2/3/15
2	West Sonoma Coast	CA	Patrick Shabram	5/19/15

(b) (5)

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(b) (5)

3	Virginia Peninsula	VA	The Williamsburg Winery	8/7/15
4	Mendocino Ridge name change	CA		9/25/15
FY 14	Name	State	Petitioner(s)	Date Returned
1	Winters-Rocky Ridge	CA	Corinne Martinez and Valerie Crowe	11/17/13
2	Chiricahua Foothills	AZ	Paul Hagar	1/27/14
FY 13	Name	State	Petitioner(s)	Date Returned
1	Santa Lucia Highlands/Arroyo Seco boundary modification	CA	K. Christopher Branch	10/15/12
2	Los Olivos District (second attempt)	CA	C. Frederic Brander	11/28/12
3	Hood Mountain--Sonoma	CA	Douglas Griggs	1/3/13
4	Loramie Creek expansion	OH	Mike Williams	1/16/13
5	Champlain Valley of New York	NY	Colin Read	2/26/13
6	Loramie Creek expansion (second submission)	OH	Mike Williams	
7	Perrydale Hills	OR	Dan Rinke	6/11/13
8	Tip of the Mitt	MI	Gerald Peronne	10/22/13

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(b) (5)

FY 12	Name	State	Petitioner(s)	Date Returned
1	Tyler New Hope	TX	Pierre de Wet Kiepersol Estates	10/14/11
2	Blue Ridge Foothills of Central Virginia	VA	Clifford P. Ambers Chateau Z Vineyard	10/18/11
3	Red River Valley	TX	Howard G. Davies	12/15/11
4	Lewis-Clark Valley	WA	Alan Busacca Vinitas Consultants LLC	12/15/11
5	Moon Mountain	CA	Patrick Shabram	1/3/12
6	Hiwassee Highlands	NC, GA	Joseph T. Forrest	2/29/12
7	Freestone-Occidental	CA	Patrick Shabram	4/12/12
8	Manton Valley	CA	Mark W. Livingston	5/24/12
9	Los Olivos	CA	Ralph and Marie Carter	5/31/12
10	Kentucky River Palisades	KY	Brian Sivinski	6/11/12
11	Pope Valley District	CA	Pat Garvey Reverdy Johnson	6/13/12
12	Tip of the Mitt	MI	Dr. Gerald Perrone	6/21/12
13	Iowa Loess Hills	IA	Shirley Fredericksen	6/28/12
FY 11	Name	State	Petitioner(s)	Date Returned
1	Shasta-Cascades	CA	Greg Butler, Michael Jenkins (SCVA)	3/3/11

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2	Lake Champlain Islands	VT	Harrison Lebowitz Snow Farm Vineyards	3/11/11
3	Tyler New Hope	TX	Pierre de Wet, Kiepersol Estates	6/2/2011
4	Kings Canyon	CA	Robert Klope Buttercup Vineyards	8/17/2011
FY 10	Name	State	Petitioner(s)	Date Returned
1	Rocky Ridge - Winters	CA	Corinne Martinez	1/14/10
2	Vina	CA	Aimee Sunseri, New Clairvaux Vnyd	4/13/10
3	Ancient Lakes	WA	Joan Davenport, Washington State University	4/12/10
4	Kiepersol Estates	TX	Pierre de Wet	6/7/10
5	Elkton	OR	Michael Landt	6/22/10
6	Kings Canyon Foothills	CA	Bob Klope	7/27/10
7	Lake Champlain Islands	VT	Harrison Lebowitz	9/7/10
8	Kiepersol Estates	TX	Pierre de Wet	
FY 09 (12)				
1	Naches Heights	WA	Paul Beveridge	10/3/08
2	Naches Heights	WA	Paul Beveridge	10/31/08
3	Niagara Cuesta	WI	Steven DeBaker	1/6/09
4	Kewaunee Coast	WI	Shawn Niemann	1/6/09
5	Wild Horse Peak	CA	Keith Orlebeck	1/22/09
6	Niagara Cuesta	WI	Steven DeBaker	4/21/09

(b) (5)

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7	Santa Maria Bench	CA	Sara Schorske for Kendall Jackson	5/5/09
8	Pope Valley District	CA	Joe Hardin	4/16/09
9	Paso Robles Westside	CA	Doug Beckett	4/30/09
10	Coombsville	CA	Tom Farella	6/1/09
11	Rocky Ridge	CA	Corrine Martinez	7/28/09
12	Eagle Peak Mendocino County	CA	Ralph & Marie Carter	9/25/09
FY 08 (15)				
1	Hyampom Valley	CA	Robin Merlo	11/19/07
2	Tryon Foothills	NC	McRitchie	11/30/07
3	Freestone-Occidental	CA	Shabram	12/4/07
4	Trancas Canyon - Malibu	CA	Michael Newmen, Holland & Knight	12/7/07
5	Santa Maria Valley EXP	CA	Nicholas Miller	12/28/07
6	Hyampom Valley	CA	Merlo	1/31/08
7	Freestone-Occidental	CA	Shabram	1/31/08
8	Sierra Pelona	CA	Carter	2/14/08
9	Trancas Canyon - Malibu	CA	Michael Newman	2/21/08
10	Kansas Smoky Hill District	KS	Jennings	2/26/08
11	Kansas Flint Hills	KS	Jennings	2/26/08
12	Ontario Lake Plain	NY	Baker	5/22/08
13	Tehachapi Valley	CA	Carter	6/26/08
14	Soledad Canyon of Los Angeles County	CA	Carter	6/26/08
15	Middleburg Virginia	Virginia	Rachel Martin	7/25/08

(b) (5)

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FY 07 (18)				
1	Hyamptom Valley	CA	Robin Merlo	10/24/06
2	Alexander Valley expansion (northeast)	CA	Barry Hoffner	12/28/06
3	Haw River Valley	NC	P. McRitchie	1/18/07
4	Indiana Uplands	IN	Jim Butler	1/19/07
5	Monticello	VA	Doneva Wolf	1/19/07
6	Paso Robles exp (south)	CA	Mendelson	2/22/07
7	Slopes of Leona Valley	CA	Carter	3/29/07
8	Happy Valley	CA	Wes Hagen	4/3/07
9	Snipes Mountain	WA	Todd Newhouse	4/7/07
10	Loudon Valley	Virginia	Clyde Housel	4/24/07
11	Snipes Mountain	WA	Todd Newhouse	5/7/07
12	Happy Canyon	CA	Wes Hagen	5/8/07
13	Tehachapi	CA	Ralph Carter	5/17/07
14	Alexander Valley northeast expansion	CA	Shabram	6/26/07
15	Antelope Valley	CA	Ralph Carter	6/29/07
16	Monticello Expansion	Virginia	Terry Holzman	7/16/07
17	Pope Valley District	CA	Joe Hardin	7/23/07
18	Niagara Escarpment – WI	WI	Steve DeBaker	7/26/07
FY 06 (6)				
1	Tulocay	CA	Quintessa	10/24/05
2	Alexander Valley Exp	CA	Shabram	10/24/05
3	Currituck Banks	NC	Wright	11/28/05
4	Los Ositos	CA	Lyeth	3/21/06
5	Clark County, Washington	WA	Carl English	6/19/06
6	Pope Valley District	CA	Norman Albaugh	9/29/06
FY 2005 (11)				
1	Malibu-Saddlerock	CA	Derek Baugh – Semler Malibu	11/23/04

(b) (5)

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2	Hopland	CA	Glenn T. McGourty	12/6/04
3	Grand Lake 'O the Cherokees	OK	Marsha & Gary Butler Pam & Rob Harris	1/13/05
4	Lehigh Valley	PA	John Skrip Clover Hill	1/31/05
5	Malibu – Saddle Rock	CA	Derek Baugh	1/31/05
6	Swan Creek	NC	Patricia McRitchie	3/24/05
7	Greater Shawnee American	IL	Dr. Thomas Beebe and Dr. David Ponce	3/30/05
8	Indiana Uplands	IN	Jim Butler Butler Winery	4/20/05
9	Paso Robles Westside	CA	Rachel Dumas, Compli	8/19/05
10	Los Ositos	CA	Cameron Lyeth Scheid Vineyards	9/8/05
11	Templeton Gap	CA	Barry Jackson Harmony Wine Co.	9/26/05
FY 2004 (7)				
1	South Grand Mesa	CO	Ron Neal	4/15/04
2	Southern Oregon Coast	OR	Terry Luce	6/1/04
3	Wahluke Slope	WA	Mrachek& Busacca	6/1/04
4	Rattlesnake Hills	WA	Mr. Gail Puryear	6/8/04
5	Alexander Mountain	CA	S Schorske Kendall Jackson	7/15/04
6	Templeton Gap	CA	Barry Jackson	7/26/04
7	Springlake	TX	Bill Clayton	9/27/04

(b) (5)

Section 6—Published AVA Documents by Fiscal Year

AVA	Publication Date	NPRM	TD
FY 2017			
Petaluma Gap	10/28/2016	X	
Appalachian High Country	10/27/2016		X
Dahlonge Plateau	12/2/2016	X	
TOTALS			
FY 2016			
Eagle Foothills	11/25/2015		X
Los Olivos District	1/21/2016		X
Lamorinda	2/24/2015		X
Loess Hills District	3/2/2016		X
Willamette Valley expansion	3/2/2016		X
Willcox	1/21/2016	X	
Lewis-Clark Valley	4/20/2016		X
Appalachian High Country	5/3/2016	X	
Cape May Peninsula	9/8/2016	X	
Outer Coastal Plain expansion	9/20/2016	X	
TOTALS		4	6
FY 2015			
Eagle Peak Mendocino County	10/9/2014		X
Paso Robles "11"	10/9/2014		X
Fair Play expansion	1/6/2015		X
Squaw Valley-Miramonte	1/22/2015	X	
The Rocks District of Milton-Freewater	2/9/2015		X
Fountaingrove District	2/18/2015		X
Los Olivos District	3/3/2015	X	
Lewis-Clark Valley	4/14/2015	X	
Lamorinda	4/14/2015	X	
Eagle Foothills	4/14/2015	X	
Willamette Valley expansion	6/18/2015	X	
Loess Hills District	6/18/2015	X	
Champlain Valley of New York	7/2/2015	X	
Tip of the Mitt	8/6/2015	X	
Squaw Valley-Miramonte	8/7/2015		X
TOTALS	15	9	6

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FY 2014			
Big Valley District–Lake County	10/2/2013		X
Kelsey Bench–Lake County	10/2/2013		X
Ballard Canyon	10/2/2013		X
Moon Mountain District Sonoma County	10/2/2013		X
Manton Valley	1/14/2014	X	
The Rocks District of Milton–Freewater	2/26/2014	X	
Fair Play expansion	6/17/2014	X	
Fountaingrove District	6/30/2014	X	
Upper Hiwassee Highlands	7/18/2014		X
Malibu Coast	7/28/2014		X
Manton Valley	8/1/2014		X
Sta. Rita Hills expansion	8/7/2014	X	
TOTALS	12	5	7
FY 2013			
Ancient Lakes of Columbia Valley	10/18/2012		X
Ballard Canyon	1/16/2013	X	
Indiana Uplands	2/2/2013		X
Elkton Oregon	2/2/2013		X
Moon Mountain District Sonoma County	3/4/2013	X	
Kelsey Bench–Lake County	4/5/2013	X	
Big Valley District–Lake County	4/5/2013	X	
Eagle Peak Mendocino County	6/27/2013	X	
Malibu Coast	7/8/2013	X	
Upper Hiwassee Highlands	7/12/2013	X	
Paso Robles “11”	9/20/2013	X	
TOTALS	11	8	3

(b) (5), (b) (6)

From: (b) (6)

Sent: Wednesday, May 31, 2017 11:48 AM

To: (b) (6)

Sub

(b) (6) please pick this up (a by name request) (b) (5)

+

American Viticultural Area Rulemaking Projects

239 AVAs established as of 11/28/2016

Information contained in this AVA chart is for TTB internal use only. Please do not share the chart with the public or industry members. All questions about a particular AVA petition should be referred to the assigned specialist or AVA Program Manager (b) (6)

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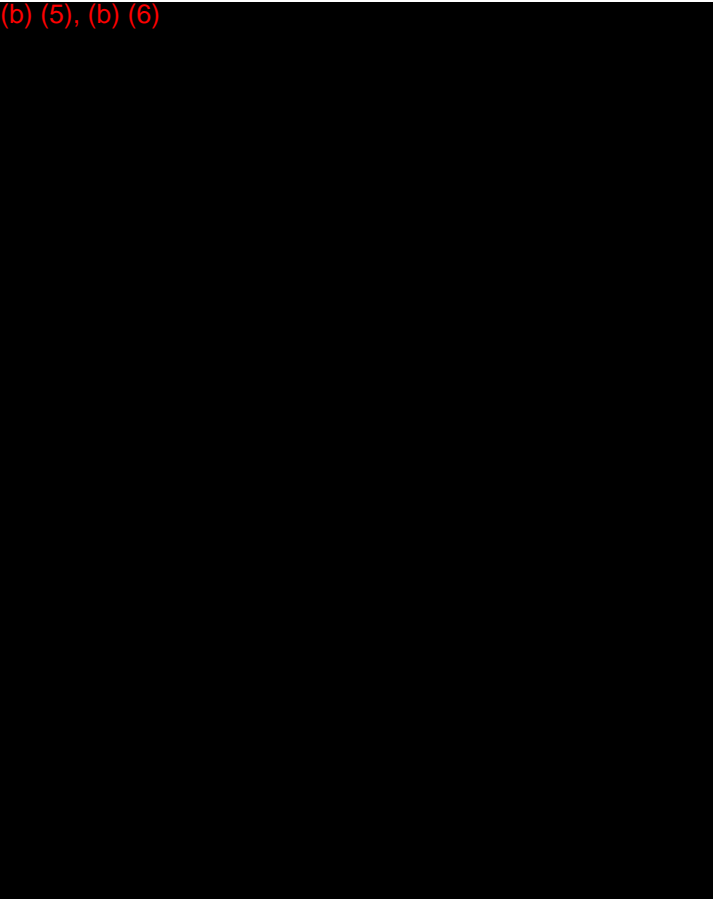
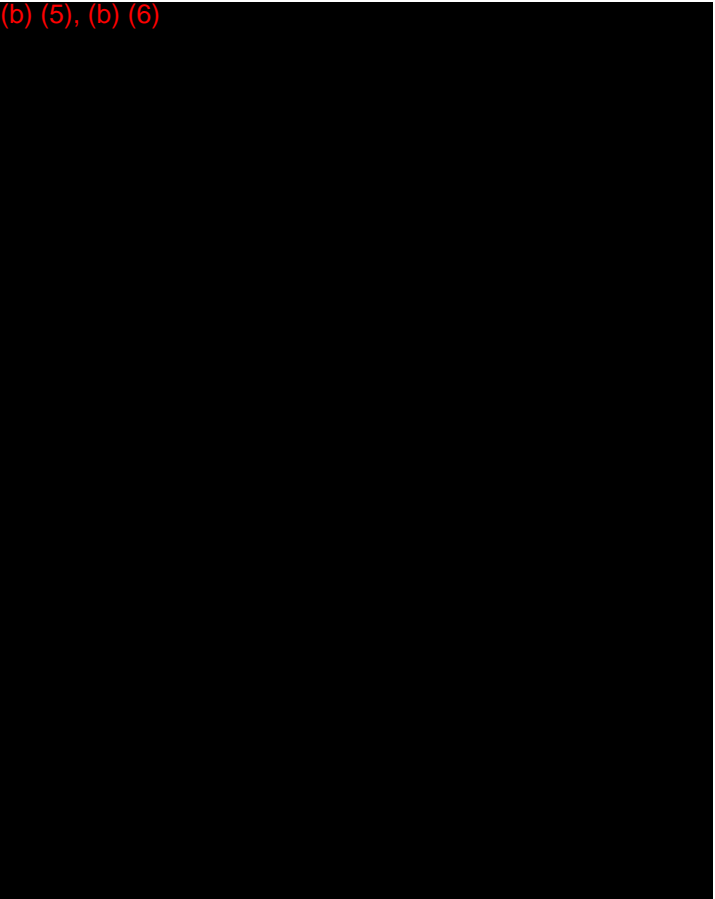
Section 3—Petition Receipts by Fiscal Year (includes both rejected and accepted petitions)

Section 4—Established AVAs by Fiscal Year

Section 5—Rejected Petitions by Fiscal Year

Section 6—Published AVA Documents by Fiscal Year

SECTION 1—AVA Projects Currently in Progress

AVA	Petition	(b) (5), (b) (6)
ALISOS CANYON	Accepted 4/14/17	
Santa Barbara, CA	Wesley D. Hagen	
<i>Within Central Coast AVA</i>		
ARROYO SECO EXPANSION	Accepted 5/19/15 Ann Hougham	
Monterey, CA		
<i>Within Central Coast and Monterey AVAs</i>		
CANDY MOUNTAIN / YAKIMA VALLEY EXPANSION	Accepted 1/24/17 Kevin Pogue	
Benton County, WA		
<i>Within Yakima Valley and Columbia Valley AVAs</i>		

~~Confidential~~

(b) (5), (b) (6)

AVA	Petition
CAPE MAY PENINSULA Cape May, Cumberland Counties, NJ	Accepted 11/18/14 Alfred Natali
CREST OF THE BLUE RIDGE HENDERSON COUNTY Henderson County, NC <i>No other AVAs impacted</i>	Accepted 1/24/17 Mark Williams
DAHLONEGA PLATEAU Lumpkin, White Counties, GA <i>No other AVAs impacted</i>	Accepted 4/30/15 Amy Booker/ Dahlonega-Lumpkin Chamber and Visitors Bureau

~~Confidential~~

AVA	Petition
EASTERN CONNECTICUT HIGHLANDS Hartford, Middlesex, New Haven, New London, Tolland, Windham Counties, CT <i>No other AVAs impacted</i>	Accepted 8/18/16 Steven Vollweiler Sharpe Hill Vineyard
MALIBU COAST EXPANSION <i>No other AVAs affected</i>	12/14/15 Ralph Carter
MONTICELLO EXPANSION <i>No other AVAs affected</i>	5/27/15 George Cushnie
OUTER COASTAL PLAIN EXPANSION Monmouth County, NJ	2/3/15 Janet Giunco (4 JG's Orchards and Vineyards)

(b) (5), (b) (6)

~~Confidential~~

AVA	Petition
PETALUMA GAP and Expansion of North Coast AVA Sonoma, Marin Counties, CA <i>Within North Coast, Sonoma Coast AVAs</i>	3/4/15 Doug Cover (Petaluma Winegrowers Alliance)
ROYAL SLOPE Washington State <i>Within Columbia Valley AVA</i>	4/14/17 Alan Busacca
TUALATIN HILLS Washington County, OR <i>Willamette Valley and partially overlaps Chehalem Mountains AVA (excludes Ribbon Ridge AVA)</i>	3/1/17 Rudolf Marchesi (Montinore Estates)
UPPER HUDSON Albany, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Washington Counties, NY <i>No other AVAs impacted</i>	7/30/15 Andrew Weber

(b) (5), (b) (6)

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AVA	Petition	(b) (5), (b) (6)
VAN DUZER CORRIDOR	7/1/15 Jeff Havlin	
Polk, Yamhill Counties, OR		
<i>Within Willamette Valley AVA</i>		
WEST SONOMA COAST	8/12/16 Patrick Shabram	
Sonoma County, CA		
<i>Within Sonoma Coast, North Coast AVAs</i>		

SECTION 2—Established and Pending AVA Stats

Number of established AVAs: 239 (effective 11/28/2016)

Number of pending petitions by State

(Updated 4/14/17 to add Royal Slope and Alisos Canyon)

STATE	NEW AVA	MODIFICATION OF EXISTING AVA BOUNDARY	TOTAL NUMBER OF PENDING PETITIONS PER STATE
California	3	2	5
Connecticut	1		1
Oregon	2		2
New York	1		1
New Jersey	1	1	2
North Carolina	1		1
Georgia	1		1
Virginia		1	1
Washington	2		2
TOTAL:	13	4	16

SECTION 3-- Petition Receipts by Fiscal Year

FY 2017(XX)

1. 12/6/16—**Wild Horse Desert** in South Texas received; receipt sent 12/13/16; in review; rejected 1/10/17 (b) (5)
2. 12/15/16—**Tualatin Hills** in Washington County, OR received; receipt sent 12/16/16; in review; rejected 1/24/17 (b) (5)
3. 12/16/16—**Crest of the Blue Ridge Henderson County** in North Carolina received; receipt sent 12/19/16; in review; accepted 1/24/17
4. 12/28/16—**Mt. Pisgah-Mistletoe Ridge** in Polk County, OR received; 1/3/17 receipt sent; in review; rejected 2/1/17 (b) (5)
5. 1/5/17—**Candy Mountain** in Benton County, Washington received; 1/6/17 receipt sent; accepted 1/24/17
6. 2/6/17—**Missoula Valley** in Missoula County, Montana received; 2/7/17 receipt sent; waiting for additional data on vineyard locations to justify small number/acres of vineyards; rejected 4/13/17 (b) (5)
7. 2/14/17—**Tualatin Hills (resubmission)** in Washington County, OR received; 2/15/17 receipt sent; in review; accepted 3/1/17
8. 2/28/17—**Verde Valley** in Yavapai County, AZ received; receipt sent; in review; rejected 4/13/17 (b) (5)
9. 3/6/17—**Royal Slope** in Washington, received; 3/9/17 receipt sent; in review; accepted 4/14/17
10. 3/13/17—**Alisos Canyon** in Santa Barbara County, CA, received; 3/22/17 receipt sent; in review; accepted 4/14/17
11. 3/21/17—**Prairie Mountain** in Lane and Benton Counties, OR, received; 3/22/17 receipt sent; in review; rejected 5/3/17 (b) (5)
12. 5/2/17—**Clarksburg expansion** in Yolo County, CA, received; 5/3/17 receipt sent; in review

FY 2017	
Accepted	
Rejected	
Resubmissions (from any year)	

FY 2016 (7)

1. 12/2/15—**Malibu Coast expansion (resubmission)** received; e-mailed acknowledgement of receipt; in review; accepted 12/14/15
2. 1/12/16—**Mendocino Ridge name change** petition received (**resubmission**); receipt sent; in review; rejected 2/9/16 (b) (5)

(b) (5)

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3. 2/25/16—**Palos Verdes Peninsula** in Los Angeles County, CA received; receipt sent; in review; **rejected 3/24/16** (b) (5)

(b) (5)

4. 3/17/16—**Virginia Peninsula (resubmission)** in Poquoson, Hampton, Newport News, James City, York, Kent, and Charles City, VA received; receipt sent; **rejected 4/5/16** (b) (5)

(b) (5)

5. 7/19/16—**West Sonoma Coast (resubmission)** received; receipt sent; in review; **accepted 8/12/16**

6. 8/1/16—**Eastern Connecticut Highlands (resubmission)** received; receipt sent 8/2/16; in review; **accepted 8/18/16**

7. 8/22/16—**Laurelwood** in Wash. sent; in review; **rejected 9/13/16** (b) (5)

(b) (5)

FY 2016	
Accepted	3
Rejected	4
Resubmissions (from any year)	5

FY 2015 (15)

1. 10/17/14—**Appalachian High Country** in portions of Tennessee, North Carolina, and Virginia received; receipt letter sent; in review; **accepted 11/18/14**

2. 10/27/14—**Cape May Peninsula** in New Jersey received; in review; **accepted 11/18/14**

3. 01/09/15—**Upper Hudson** in New York received; in review; **rejected 2/3/15** (b) (5)

(b) (5)

4. 01/22/15—**Outer Coastal Plain (NJ) expansion** petition received; in review; **accepted 2/3/15**

5. 02/19/15—**Petaluma Gap** in Sonoma County petition received; in review; **accepted 3/4/15**

6. 04/10/15—**Monticello (VA) expansion** petition received; in review; 4/30/15—Requested more information on why expansion does not go farther north, south, or east, as well as vineyard acreage in expansion area; 5/26/15 additional information received; **5/27/15—Petition accepted as perfected**

7. 04/14/15—**Dahlonega Plateau** in portions of Lumpkin and White Counties, Georgia petition received; in review; **accepted 4/30/15**

8. 05/01/15—**West Sonoma Coast** in Sonoma County received; in review; **rejected 5/19/15**

(b) (5)

9. 05/05/15—**Arroyo Seco AVA expansion** in Monterey County, CA received; in review; **accepted 5/19/15**

10. 07/01/15—**Malibu Coast AVA expansion** in Ventura and Los Angeles Counties, CA received; receipt sent; in review; request for additional information sent 7/20/15

11. 07/01/15—**Van Duzer Corridor** in Polk and Yamhill Counties, OR received; receipt sent; in review; **accepted 7/22/15**

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12. 07/14/15—**Virginia Peninsula** in Poquoson, Hampton, Newport News, James City, York, Kent, and Charles City, VA received; receipt letter sent; in review; **rejected 8/7/15** (b) (5)

13. 07/28/15—**Upper Hudson** in New York received (**resubmission**); receipt sent; in review; **7/30/15—accepted**

14. 09/2/15—**Petition to rename Mendocino Ridge** AVA as “Mendocino Coast” received; receipt sent 9/15/15; in review; **rejected 9/25/15** (b) (5)

15. 09/30/15—**Eastern Connecticut Highlands** petition received; receipt sent; in review; **rejected 10/21/15** (b) (5)

(b) (5)

FY 2015	
Accepted	9
Rejected	5
Resubmissions (from any year)	1

FY 2014 (6)

1. 11/14/13--**Loess Hills District** in western Iowa and northern Missouri (**resubmission**)—received; in review; **accepted 11/26/13**

2. 11/15/13--**Winters–Rocky Ridge** in Yolo County, CA (**resubmission**)—received; in review; **Rejected 11/17/13** (b) (5)

3. 12/18/13—Willamette Valley AVA expansion received; in review; **accepted 1/13/14**

4. 12/31/13—**Chiricahua Foothills** in SE Arizona received; in review; **rejected 1/27/14** (b) (5)

5. 12/31/13—**Willcox** in SE Arizona received; in review; **accepted 1/27/14**

6. 1/14/14—**Tip of the Mitt** revision received (**resubmission**); in review; **accepted 1/27/14**

FY 2014	
Accepted	4
Rejected	2
Resubmissions (from any year)	3

FY 2013 (17)

1. 10/3/12 – **Manton V** in Shasta and Tehama Counties, CA (**resubmission**) –**Accepted 10/23/12**; assigned to (b) (6)

2. 11/19/12--**Fair Play expansion**—**Accepted 2/1/13** after receipt of soil maps; assigned to (b) (6)

3. 12/4/12—**The Rocks of Freewater** in Umatilla County, OR—(**resubmission**) 1/15/13 with new name (The Rocks of Milton-Freewater)); **Accepted 1/16/13**, assigned to (b) (6)

4. 12/13/12—**Hood Mountain—Sonoma** in Sonoma County, CA—**Returned 1/3/13** (b) (5)

5. 1/8/13—**Champlain Valley of New York**—Received; enclosed note stated that petition was originally submitted in August 2012, along with maps, but petition was never received at TTB; per phone call to petitioner, maps will be resubmitted so petition may be evaluated; received maps 2/5/13; **rejected 2/26/13** (b) (5)

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6. 1/10/13—**Loramie Creek expansion**—**Rejected 1/16/13** (b) (5)
7. 2/15/13—**Sierra Gateway** in Fresno County, CA (from Kings Canyon foothills)—Received; in review; 3/13/13 contacted petitioner for additional name evidence and possible boundary adjustment; **resubmitted 4/10/13 as Squaw Valley-Miramonte** (accepted 4/17/13)
8. 2/20/13—**Loramie Creek expansion (resubmission)**—Received; in review; **rejected 4/10/13** (b) (5)
9. 2/22/13—**Fountaingrove District** in Sonoma County, CA—Received; in review; **accepted 3/13/13 (Resubmittal)** from "Hood Mountain")
10. 3/1/13—**Sta. Rita Hills expansion** in Santa Barbara County, CA—Received; in review; **accepted 3/26/13**
11. 3/28/13—**Los Olivos District** in Santa Barbara County, CA (**resubmittal**)—Received; in review; **Accepted 4/17/13**
12. 4/23/13—**Lewis-Clark Valley** in Idaho and Washington (**resubmittal**)—Received; in review; **Accepted 5/7/13**
13. 5/1/13—**Lamorinda** in Contra Costa County, CA—Received; in review, awaiting additional information; **accepted 5/7/13**
14. 5/24/13—**Willow Creek Idaho** in Gem and Ada Counties, ID (**later changed to Eagle Foothills**)—Received; in review, awaiting additional information; 6/18 received information; **6/19 Petition accepted**
15. 5/29/13—**Perrydale Hills** in Oregon—Received, in review; **rejected 6/11/13** (b) (5)
- (b) (5)
16. 6/4/13—**Champlain Valley of New York (resubmittal)**—Received, in review; **accepted 6/18/13**
17. 9/20/13—**Tip of the Mitt (resubmittal)**—Received, in review; **rejected 10/22/13** (b) (5)
- (b) (5)

FY 2013

Accepted	11
Rejected	6
Resubmissions (from any year)	8

FY 2012 (15)

1. 11/8/11 – **Red River Valley** in Texas – **Returned 12/15/11** (b) (5)
- (b) (5)
2. 11/8/11 – **Moon Mountain** in Sonoma, CA – **Returned 1/2012** (b) (5)
- (b) (5)
3. 11/16/11 – **Big Valley District – Lake County** in Lake County, CA – **Accepted 2/2012**, assigned to (b) (6)
4. 11/16/11 – **Kelsey Bench – Lake County** in Lake County, CA – **Accepted 2/2012**, assigned to (b) (6)
5. 2/2/12 -- **Hiwassee Highlands** in North Carolina and Georgia – **Returned 2/2012** (b) (5)
- (b) (5)
6. 4/16/12 – **Manton Valley** in Shasta County, CA – **Returned 5/2012** (b) (5)
- (b) (5)
7. 4/18/12 -- **Moon Mountain District Sonoma County** in Sonoma, CA (**resubmission**)—**Accepted 6/2012**, assigned to (b) (6)
- (b) (6)

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8. 5/1/12 -- **Los Olivos District** in Santa Ynez Valley, CA – Returned 5/2012 (b) (5)
9. 5/3/12 -- **Kentucky River Palisades** in Kentucky – Returned 6/2012 (b) (5)
10. 5/16/12 -- **Tip of the Mitt** in Northern Michigan – Returned 6/2012 (b) (5)
11. 5/24/12 -- **Iowa Loess Hills** in Western Iowa – Returned 6/2012 (b) (5)
12. 6/8/12 -- **Malibu Coast** in Ventura and Los Angeles Counties, California – Accepted 8/2012, assigned to (b) (6)
13. 8/1/2012 – **Los Olivos** in Santa Barbara County, California (**resubmittal**)– Reviewing petition as of 8/9/2012, awaiting additional information from petitioner; returned 11/28/12 (b) (5)
14. 9/7/12—**Santa Lucia Highlands and Arroyo Seco boundary modification** in Monterey, California—returned (b) (5) 10/10/12
15. 9/24/12—**Upper Hiw** southwestern North Carolina and northwestern Georgia (**resubmission from Hiwassee Highlands**)—Accepted 10/23/12; assigned to (b) (6)

12	
Accepted	5
Rejected	10
Resubmissions (from any year)	3

FY 2011 (7)

1. 2/2/11 – **Shasta-Cascades** in California – Returned 3/11/11
2. 2/21/11 – **Lake Champlain Islands** (**resubmission**) in Vermont – Returned 3/3/11
3. 3/15/11 – **Tyler New Hope** (formerly Kiepersol Estates) – Returned 6/2/2011
4. 5/10/11 – **Ballard Canyon** in Santa Barbara, California – Accepted 10/26/11, assigned to (b) (6)
5. 7/7/11 – **Lewis-Clark Valley** in Washington and Idaho – Returned 12/15/11 (b) (5)
6. 7/14/11 – **Kings Canyon Foothills** (**resubmission**) in Fresno County, CA – Returned 8/17/2011
7. 8/15/11 – **Tyler New Hope** in Texas (**resubmission**)– Returned 10/14/11

FY 2011	
Accepted	1
Rejected	6
Resubmissions (from any year)	3

FY 2010 (15)

1. 10/5/09 – **Coombsville** (formerly Tulocay) in Napa Valley (**resubmittal**) , accepted 10/9/09 – (b) (6)
2. 12/29/09 – **Inwood Valley** (Shasta Co. CA) accepted 1/11/10, assigned to (b) (6)

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3. 12/30/09 – **Wisconsin Ledge** – **accepted**, assigned to (b) (6)
4. 1/12/10 – **Rocky Ridge – Winters** – **returned 1/14/10**
5. 3/1/10 – **Eagle Peak, Mendocino, and Redwood Valley (resubmittal)**– **accepted 5/12/10** assigned to (b) (6)
6. 3/11/10 – **Vina** – **returned 4/13/10** (b) (5)
7. 4/2/10 – **Ancient Lakes** – **returned 4/12/10** (b) (5)
- (b) (5)
8. 5/20/10 – **Ancient Lakes (resubmission)** – **accepted 6/8/10**, assigned to (b) (6)
9. 5/18/10 – **Kiepersol Estates** – **6/7/10 returned** (b) (5)
- (b) (5)
10. 5/14/10 – **Elkton** – **6/22/10 returned** (b) (5)
- (b) (5)
11. 6/22/10 – **Pope Valley District (resubmittal)**– 7/2/10 **assigned to** (b) (6) **returned by** (b) (6) **on 6/13/12** (b) (5)
12. 7/26/10 - **Kings Canyon Foothills** – **7/27/10 returned** (b) (5)
- (b) (5)
13. 8/24/10 – **Elkton Oregon** – **accepted 8/20/10**, assigned to (b) (6)
14. 8/24/10 - **Lake Champlain Islands** - **returned** (b) (5)
15. 9/1/10 (approximately) – **Kiepersol (resubmission)**– **11/3/10 returned** (b) (5)
- (b) (5)

FY 2010

Accepted	7
Rejected	8
Resubmissions (from any year)	5

FY 2009 (12)

1. 10/1/08 – **Naches Heights** (Yakima Co., WA), **10/3/08 returned**
2. 10/27/08 – **Naches Heights (resubmittal)**, **10/31/08 returned**
3. 11/21/08 – **Naches Heights**– **(resubmittal)**, **12/1/08 accepted**, assigned to (b) (6)
4. 12/22/08 – **Niagara Cuesta** - **1/6/09 returned**
5. 1/5/09 – **Kewaunee Coast** – **1/6/09 returned**
6. 1/22/09 – **Wild Horse Peak** – **1/23/09 returned**
7. 2/25/09 – **Niagara Cuesta (resubmittal)** – **4/21/09 returned**
8. 3/11/09 – **Blue Ridge Foothills of Central Virginia**– 4/9/09 – assigned to (b) (6) **returned 10/18/11** (b) (5)
9. 4/7/09 – **Pope Valley District (resubmittal)**– **4/16/09 returned** (b) (5)
- (b) (5)
10. 6/1/09 – **Coombsville** – **6/1/09 returned** (b) (5)
11. 7/8/09 – **Rocky Ridge** – **7/28/09 returned**
12. 9/8/09 – **Eagle Peak Mendocino County** – **returned 9/25/09** (b) (5)
- (b) (5)

FY 2009

Accepted	1
Rejected	11
Resubmissions (from any year)	4

FY 2008 = 18

1. 11/2/07 – Hyampom Valley, No CA (resubmittal) – returned
2. 11/165/07 - Russian River Valley Expansion accepted (b) (6)
3. 11/20/07 – Tryon Foothills, NC – returned
4. 12/4/07 - Freestone-Occidental, CA – returned
5. 12/7/07 - Trancas Canyon-Malibu – returned
6. 1/10/08 – Trancas Canyon – Malibu (resubmittal)– returned 2/21/08
7. 1/17/08 – Freestone Occidental (resubmittal)– returned 1/31/08
8. 1/17/08 – Hyampom Valley (resubmittal)– returned 1/31/08
9. 1/17/08 – Sierra Pelona – returned 2/14/08
10. 2/14/08 – Soledad Canyon/Los Angeles County – returned 6/27/08
11. 2/19/08 – Kansas Smoky Hill District – returned 2/26/08
12. 2/19/08 – Kansas Flint Hills – returned 2/26/08
13. 3/14/08 – Freestone-Occidental (resubmittal)– accepted, assigned to (b) (6) rejected
4/12/12 (b) (5)
14. 5/15/08 - Ontario Lake Plain returned 5/22/08
15. 5/29/08 – Santa Maria Valley Expansion – accepted, assigned to (b) (6)
16. 6/26/08 – Yamhill-Carlton District name change – accepted, assigned to (b) (6)
17. 7/10/08 – Middleburg Virginia – returned 7/25/08
18. 8/18/08 – Middleburg Virginia (resubmittal)– accepted 8/22/08 – (b) (6)

FY 2006	
Accepted	4
Rejected	14
Resubmissions (from any year)	6

FY 2007 = 29

1. 10/20/06 – Hyampom Valley (northern CA) returned
2. 11/3/06 – Upper Mississippi River Valley (Minnesota, Wisconsin, Illinois, & Iowa) – accepted, assigned to (b) (6)
3. 12/12/06 – Pine Mountain - Mayacmas (northern CA) – accepted, assigned to (b) (6)
4. 12/13/06 – Alexander Valley Expansion (NE) returned
5. 12/28/06 – Lake Chelan (n. central Washington) – accepted, assigned to (b) (6)
6. 1/18/07 – Haw River Valley – returned (b) (5)
7. 1/19/07 – Indiana Uplands – returned
8. 1/19/07 – Monticello expansion returned (b) (5)
9. 2/20/07 – Indiana Uplands – accepted, assigned to (b) (6)
10. 2/20/07 – Paso Robles southern expansion – returned (b) (5)
11. 2/27/07 – Haw River Valley (resubmittal)– accepted, assigned to (b) (6)
12. 2/2/07 – Paso Robles – expansion re-submitted and 3 new ones OK – accepted, assigned to (b) (6)
13. 3/8/07 - Monticello expansion – accepted, assigned to (b) (6)
14. 3/26/07 – Slopes of Leona Valley – returned
15. 3/30/07 – Happy Canyon – returned

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16. 4/5/07 – **Snipes Mountain** – returned
17. 4/11/07 – **Loudoun Valley** – returned
18. 4/19/07 – **Leona Valley** (resubmittal from Slopes of Leona Valley) – accepted, assigned to (b) (6)
19. 4/27/07 – **Snipes Mountain** – returned
20. 5/3/07 – **Happy Canyon Santa Barbara** (resubmittal)– returned
21. 5/17/07 – **Tehachapi** – returned
22. 5/21/07 – **Snipes Mountain** (resubmittal)– accepted, assigned to (b) (6)
23. 5/25/07 – **Alexander Valley Expansion** (northeast) – accepted, assigned to (b) (6)
24. 6/1/07 – **Happy Canyon of Santa Barbara** (resubmittal)– accepted, assigned to (b) (6)
25. 6/22/07 – **Antelope Valley** – returned (b) (5) 6/29/07)
26. 6/29/07 – **Tehachapi Valley** (resubmittal from Tehachapi)– 6/27/08 returned
27. 7/17/07 – **Pope Valley District** (resubmittal)– returned (b) (5)
28. 7/19/07 – **Niagara Escarpment** – returned, (b) (5)
29. 7/26/07 – **Antelope Valley of California's High Desert** (resubmittal)– accepted, assigned to (b) (6)

FY 2007	
Accepted	12
Rejected	17
Resubmissions (from any year)	9

FY 2006 = 11

- 10/3 – Snake River Valley – accepted, assigned to (b) (6)
- 10/8 – Tulocay – returned
- 10/20 – Alexander Valley Expansion returned
- 11/25 – Alexander Valley Expansion (resubmission) accepted, assigned to (b) (6)
- 11/18 – Currituck Banks, NC returned
- 1/5 – Tulocay – accepted assigned to (b) (6) 6/27/08 d
- 1/17 – Paso Robles Westside – accepted, assigned to (b) (6) 4/30/09 Withdrawal in FR
- 3/16 – Los Ositos – returned
- 3/31/06 – Santa Maria Valley – accepted, assigned to (b) (6)
- 6/19/06 – Clark County, Washington – returned
- 9/26/06 – Pope Valley District - returned

FY 2006	
Accepted	5
Rejected	6
Resubmissions (from any year)	1

FY 2005 = 15

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11/3 – Malibu-Saddle Rock – returned

11/30 – Hopland – returned

11/10 – Wahluke Slope (WA) – accepted, assigned to (b) (6)

1/10 – Lehigh Valley (PA) – returned

1/25 – Malibu – Saddle Rock (resubmittal)--returned

2/15 – Saddle Rock – Malibu (resubmittal)—accepted, assigned to (b) (6)

3/15 – Swan Creek (Pennsylvania) returned

4/20 – Indiana Uplands (IN) – returned

(b) (6) 4/22 – San Francisco Bay expansion north boundary – Solano – accepted, assigned to (b) (6)

7/14 – Swan Creek (NC) (resubmittal) – accepted, assigned to (b) (6)

7/22 – Lehigh Valley (Pennsylvania) (resubmittal) – accepted, assigned to (b) (6)

8/1 – Sonoma County Green Valley renaming to “Green Valley of Russian River Valley” – accepted, assigned to (b) (6)

8/19 – Paso Robles Westside – returned

9/8 – Los Ositos – returned

9/15 – Templeton Gap (resubmittal) – returned

FY 2003	
Accepted	6
Rejected	9
Resubmissions (from any year)	5

FY 2004 = 7

1/29 – Rattlesnake Hills (WA) – returned

3/22 – Dos Rios (CA) – accepted, assigned to (b) (6)

4/8 – South Grand Mesa (CO) – returned

5/17 – Niagara Escarpment (NY)– accepted, assigned to (b) (6)

5/24 – Wahluke Slope (WA) – returned

7/19 – Templeton Gap (CA) - returned

9/3 – Rattlesnake Hills (WA) (resubmittal) accepted 9/31, assigned to (b) (6)

FY 2004	
Accepted	3
Rejected	4
Resubmissions (from any year)	1

2003 = 13**2002 = 25**

3/14 – Santa Maria Bench – returned 5/5/09 (b) (5)

SECTION 4—Established AVAs by Fiscal Year

Name	Petition Acceptance Date	Effective Date	Months in Process	State(s)	County(ies)	Comments
FY 2017 (X)						
Appalachian High Country	7/18/2014	11/28/2016	28	VA/NC/TN	Carter, Johnson (TN); Mitchell, Avery, Ashe, Alleghany, Watauga (NC); Grayson (VA)	
FY 2016 (10, including 2 expansions)						
Eagle Foothills	6/19/2013	12/28/2015	30	ID	Gem, Ada	
Los Olivos District	4/17/2013	2/22/2016	34	CA	Santa Barbara	
Lamorinda	5/17/2013	3/25/2016	34	CA	Contra Costa	
Loess Hills District	11/26/2013	4/4/2016	29	IA/MO	Fremont, Page, Mills, Montgomery, Pottawattamie, Cass, Harrison, Shelby, Audubon, Monona, Crawford, Carroll, Woodbury, Ida, Sac, Plymouth, Sioux Counties, IA Atchison and Holt Counties, MO	
Willamette Valley (expansion)	1/13/2014	4/4/2016	26	OR		
Lewis-Clark Valley	5/7/2013	5/20/2016	36	WA/ID	Nez Perce, Lewis, Clearwater, Latah (ID); Asotin, Garfield, Whitman (WA)	
Tip of the Mitt	1/27/2014	8/22/2016	31	MI	Charlevoix, Emmet, Cheboygan, Antrim, Alpena, Presque Isle	
Sta. Rita Hills (expansion)	3/26/2013			CA	Santa Barbara	
Champlain Valley of New York	6/4/2013			NY	Clinton; Essex	
Willcox	1/27/2014	10/12/2016		AZ	Cochise, Graham	

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Paso Robles 11	2/2/2007	11/10/2014	93	CA	San Luis Obispo	
Eagle Peak Mendocino County	5/12/2010	11/10/2014	54	CA	Mendocino	
Fair Play expansion	2/1/2013	2/5/2014	12	CA	El Dorado	
The Rocks District of Milton- Freewater	1/16/2013	3/11/2015	26	OR	Umatilla	
Fountaingrove District	3/13/2014	3/20/2015	24	CA	Sonoma	
Squaw Valley- Miramonte	4/17/2013	9/8/2015	28	CA	Fresno	

FY 2014 (7)

Ballard Canyon	10/26/2011	11/1/2013	25	CA	Santa Barbara	
Moon Mountain District-Sonoma County	4/8/2012	11/1/2013	19	CA	Sonoma	
Big Valley District-Lake County	2/6/2012	11/1/2013	21	CA	Lake	Published concurrently with Kelsey Bench- Lake County
Kelsey Bench- Lake County	2/6/2012	11/1/2013	21	CA	Lake	Published concurrently with Big Valley District- Lake County
Upper Hiwassee Highlands	10/13/2012	8/18/2014	22	NC/GA	Cherokee, Clay (NC); Towns, Union, Fannin (GA)	
Malibu Coast	8/2012	8/18/2014	24	CA	Los Angeles, Ventura	
Manton Valley	10/23/2012	9/2/2014	23	CA	Shasta, Tehama	

FY 2013 (3)

Ancient Lakes of Columbia Valley	6/8/2010	11/19/2012	28	WA	Douglas, Grant, Kittitas	
Elkton Oregon	8/20/2010	3/7/2013	31	OR	Douglas	
Indiana Uplands	2/20/2007	3/7/2013	72	IN	Monroe, Brown, Morgan, Owens, Greene, Lawrence, Bartholomew, Orange, Washington, Floyd, Harrison, Perry, Crawford, Jackson, Martin, Daviess, Dubois, Scott, Spencer	Also realigned Ohio River Valley

FY 2012 (8)

Middleburg Virginia	8/22/2008	10/15/2012	48	VA	Loudoun, Fauquier	
Inwood Valley	12/29/2009	10/15/2012	32	CA	Shasta	
Pine Mountain- Cloverdale Peak	12/12/2006	11/28/2011	59	CA	Sonoma, Mendocino	Partially overlaps Alexander Valley and Northern Sonoma

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Russian River Valley/Northern Sonoma expansion	11/15/2007	12/16/2011	49	CA	Sonoma	
Coombsville	10/9/2009	1/13/2012	27	CA	Napa	
Fort Ross-Seaview	2/27/2003	1/13/2012	107	CA	Sonoma	
Naches Heights	1/16/2009	1/13/2012	36	WA	Yakima	
Wisconsin Ledge	2/9/2010	4/23/2012	26	WI	Door, Kewaunee, Mantiowoc, Sheboygan, Ozaukee, Washington, Fond du Lac, Dodge, Calumet, Outagamie, Brown	
FY 2011 (3)						
Yamhill-Carlton name change	7/1/2008	12/3/2010	29	OR	Yamhill, Washington	
Santa Maria Valley expansion	6/3/2008	1/28/2011	30	CA	San Luis Obispo, Santa Maria	
Antelope Valley of the California High Desert	7/26/2007	6/23/2011	47	CA	Kern, Los Angeles	
FY 2010 (3)						
Happy Canyon of Santa Barbara	6/1/2007	11/9/2009	29	CA	Santa Barbara	
Calistoga	9/9/2003	1/7/2010	64	CA	Napa	
Sierra Pelona Valley	4/3/2008	8/23/2010	29	CA	Los Angeles	
FY 2009 (6)						
Leona Valley	4/23/2007	11/28/2008	19	CA	Los Angeles	
Snipes Mountain	5/21/2007	2/20/2009	21	WA	Yakima	
Paso Robles expansion	2/27/2007	2/20/2009	24	CA	San Luis Obispo	
Haw River Valley	2/27/2007	4/29/2009	26	NC	Alamance, Aswell, Chatham, Guilford, Orange, Rockingham	
Lake Chelan	12/28/2006	5/29/2009	29	WA	Chelan	
Upper Mississippi River Valley	11/3/2006	7/22/2009	33	MN, WI, IL, IA	See T.D. TTB-77	
FY 2008 (4)						
Lehigh Valley	7/20/2005	4/10/2008	33	PA	Lehigh, Northampton, Berks, Schuylkill, carbon, Monroe	
San Francisco Bay expansion	8/1/2005	4/10/2008	32	CA	Solano	
Alexander Valley expansion	11/25/2005	4/10/2008	29.5	CA	Sonoma	
Swan Creek	7/20/2005	5/27/2008	34	NC	Wilkes, Yadkin, Irdell	

~~Confidential~~**FY 2007 (6)**

Tracy Hills	8/5/2003	12/8/2006	39	CA	Stanislaus, San Joaquin	
Chehalem Mountains	3/28/2002	12/27/2006	56	OR	Yamhill, Clackamas, Washington	
Shawnee Hills	7/15/2002	12/27/2006	42	IL	Alexander, Gallatin, Hardin, Jackson, Johnson, Pope, Pulaski, Randolph, Saline, Union, William	
Outer Coastal Plain	2/4/2003	3/12/2007	49	NJ	Cumberland, Cape May, Atlantic, Ocean, Salem, Gloucester, Camden, Burlington, Monmouth	
Snake River Valley	10/3/2005	4/9/2007	18	ID/OR	Multiple counties in both States	
Green Valley of the Russian River Valley	8/1/2005	4/23/2007	21	CA	Sonoma	

FY 2006 (22)

Russian River Valley expansion	1/21/2003	10/11/2005	32	CA	Sonoma	
Dos Rios	3/22/2004	11/14/2005	18	CA	Mendocino	
Red Hill Douglas County, Oregon	3/22/2001	11/14/2005	55	OR	Douglas	
Ramona Valley	12/18/2003	1/6/2006	31	CA	San Diego	
Santa Rita Hills name change	11/12/2002	1/6/2006	36	CA	Santa Barbara	
Texoma	7/17/2003	1/6/2006	29	TX	Cooke, Fannin, Grayson, Montague	
Wahluke Slope	12/3/2004	1/6/2006	12	WA	Grant	
Covelo	6/25/2003	3/20/2006	32	CA	Mendocino	
Rattlesnake Hills	9/10/2004	3/20/2006	18	WA	Yakima	
San Antonio Valley	10/23/2003	7/10/2006	30	CA	Monterey	
Livermore Valley expansion	3/14/2002	7/13/2006	39	CA	Alameda, Contra Costa	
San Francisco Bay	3/14/2002	7/13/2006	39	CA	Alameda, Contra Costa	Also expands Central Coast
Arroyo Seco and Santa Lucia Highlands realignments	12/4/2002	7/13/2006	42	CA	Monterey	
Eola-Amity Hills	3/28/2002	8/16/2006	52	OR	Polk, Yamhill	
Saddle Rock-Malibu	3/18/2005	8/16/2006	16	CA	Los Angeles	
Lodi "7"	7/1/2003	8/16/2006	47	CA	Sacramento, San Joaquin	

FY 2005 (11)

Dundee Hills	3/28/2002	1/31/2005	34	OR	Yamhill	
Southern Oregon	7/2/2002	2/7/2005	31	OR	Douglas, Jackson,	

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					Josephine	
Yamhill-Carlton District	3/28/2002	2/27/2005	35	OR	Washington, Yamhill	
McMinnville	3/28/2002	3/21/2005	36	OR	Yamhill	
Trinity Lakes	1/22/2001	4/29/2005	52	CA	Trinity	
Ribbon Ridge	3/28/2002	7/1/2005	40	OR	Yamhill	
Alexandria Lakes	2/21/2002	8/1/2005	42	MN	Douglas	
High Valley	7/1/2003	8/1/2005	27	CA	Lake	
Horse Heaven Hills	6/13/2002	8/1/2005	38	WA	Klickitat, Yakima, Benton	
Niagara Escarpment	5/17/2004	10/11/2005	17	NY	Niagara	
Russian River Valley expansion	8/30/2002	2/2/2004	17	CA	Sonoma	
FY 2004 (7)						
Bennett Valley	3/1/2002	12/29/2003	21	CA	Sonoma	
Oak Knoll District of Napa Valley	5/3/2002	4/26/2004		CA	Napa	
Temecula Valley name change	8/21/2001	6/18/2004		CA	Riverside	
Columbia Gorge	3/11/2002	7/9/2004		OR/WA	Hood River, Wasco (OR), Skamania, Klickitat (WA)	
Salado Creek	10/15/2002	8/30/2004		CA	Stanislaus	
San Bernabe	11/17/2002	8/30/2004		CA	Monterey	Also realigns San Lucas
Red Hills Lake County	9/27/2001	9/10/2004		CA	Lake	
FY 2003 (4)						
Lodi expansion		11/4/2002		CA	Sacramento, San Joaquin	Accepted under ATF
Yadkin Valley		2/7/2003		NC	Yadkin, Surrey, etc.	Accepted under ATF
Capay Valley		2/18/2003		CA	Yolo	Accepted under ATF
Seneca Lake		9/2/2003		NY	Schuyler, Yates, etc.	First AVA accepted under TTB
FY 2002 (2)						
Alexander Valley realignment		12/3/2002		CA	Sonoma	
Rockpile		4/29/2002		CA	Sonoma	
FY 2001 (8)						
Applegate Valley		2/12/2001		OR	Josephine, Jackson	
Fair Play		4/27/2001		CA	El Dorado	
West Elks		5/7/2001		CO	Delta	
Red Mountain		6/11/2001		WA	Benton	
River Junction		7/19/2001		CA	San Joaquin	
Long Island		7/16/2001		NY	Nassau, Suffolk	
Santa Rita Hills		7/30/2001		CA	Santa Barbara	
Diamond Mountain District		7/31/2001		CA	Napa	

SECTION 5—Rejected Petitions by Fiscal Year

FY 17	Name	State	Petitioner(s)	Date Returned
1	Wild Horse Desert	TX	Rio Farms (Andrew Scott, Jr.)	1/10/17
2	Tualatin Hills	OR	Rudolf Marchesi	1/24/17
3	Mt. Pisgah-Mistletoe Ridge	OR	Brad Ford Illahe Vineyards & Winery	2/1/217
4	Missoula Valley	MT	Carol Kingery-Ritter and Ten Spoon Vineyards	4/13/17
5	Verde Valley	AZ	Chris Boyd	4/13/17
6	Prairie Mountain	OR	Dieter Boehm	5/3/17
FY 16	Name	State	Petitioner(s)	Date Returned
1	Eastern Connecticut Highlands	CT	Steven C. Vollweiler	10/21/15
2	Mendocino Ridge name change	CA		2/9/16
3	Palos Verdes Peninsula	CA	James York	3/24/16
4	Virginia Peninsula	VA	The Williamsburg Winery	4/5/16
FY 15	Name	State	Petitioner(s)	Date Returned
1	Upper Hudson	NY	Andrew Weber	2/3/15
2	West Sonoma Coast	CA	Patrick Shabram	5/19/15

(b) (5)

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(b) (5)

3	Virginia Peninsula	VA	The Williamsburg Winery	8/7/15
4	Mendocino Ridge name change	CA		9/25/15
FY 14	Name	State	Petitioner(s)	Date Returned
1	Winters-Rocky Ridge	CA	Corinne Martinez and Valerie Crowe	11/17/13
2	Chiricahua Foothills	AZ	Paul Hagar	1/27/14
FY 13	Name	State	Petitioner(s)	Date Returned
1	Santa Lucia Highlands/Arroyo Seco boundary modification	CA	K. Christopher Branch	10/15/12
2	Los Olivos District (second attempt)	CA	C. Frederic Brander	11/28/12
3	Hood Mountain--Sonoma	CA	Douglas Griggs	1/3/13
4	Loramie Creek expansion	OH	Mike Williams	1/16/13
5	Champlain Valley of New York	NY	Colin Read	2/26/13
6	Loramie Creek expansion (second submission)	OH	Mike Williams	
7	Perrydale Hills	OR	Dan Rinke	6/11/13
8	Tip of the Mitt	MI	Gerald Peronne	10/22/13

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FY 12	Name	State	Petitioner(s)	Date Returned
1	Tyler New Hope	TX	Pierre de Wet Kiepersol Estates	10/14/11
2	Blue Ridge Foothills of Central Virginia	VA	Clifford P. Ambers Chateau Z Vineyard	10/18/11
3	Red River Valley	TX	Howard G. Davies	12/15/11
4	Lewis-Clark Valley	WA	Alan Busacca Vinitas Consultants LLC	12/15/11
5	Moon Mountain	CA	Patrick Shabram	1/3/12
6	Hiwassee Highlands	NC, GA	Joseph T. Forrest	2/29/12
7	Freestone-Occidental	CA	Patrick Shabram	4/12/12
8	Manton Valley	CA	Mark W. Livingston	5/24/12
9	Los Olivos	CA	Ralph and Marie Carter	5/31/12
10	Kentucky River Palisades	KY	Brian Sivinski	6/11/12
11	Pope Valley District	CA	Pat Garvey Reverdy Johnson	6/13/12
12	Tip of the Mitt	MI	Dr. Gerald Perrone	6/21/12
13	Iowa Loess Hills	IA	Shirley Fredericksen	6/28/12
FY 11	Name	State	Petitioner(s)	Date Returned
1	Shasta-Cascades	CA	Greg Butler, Michael Jenkins (SCVA)	3/3/11

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2	Lake Champlain Islands	VT	Harrison Lebowitz Snow Farm Vineyards	3/11/11
3	Tyler New Hope	TX	Pierre de Wet, Kiepersol Estates	6/2/2011
4	Kings Canyon	CA	Robert Klope Buttercup Vineyards	8/17/2011
FY 10	Name	State	Petitioner(s)	Date Returned
1	Rocky Ridge - Winters	CA	Corinne Martinez	1/14/10
2	Vina	CA	Aimee Sunseri, New Clairvaux Vnyd	4/13/10
3	Ancient Lakes	WA	Joan Davenport, Washington State University	4/12/10
4	Kiepersol Estates	TX	Pierre de Wet	6/7/10
5	Elkton	OR	Michael Landt	6/22/10
6	Kings Canyon Foothills	CA	Bob Klope	7/27/10
7	Lake Champlain Islands	VT	Harrison Lebowitz	9/7/10
8	Kiepersol Estates	TX	Pierre de Wet	
FY 09 (12)				
1	Naches Heights	WA	Paul Beveridge	10/3/08
2	Naches Heights	WA	Paul Beveridge	10/31/08
3	Niagara Cuesta	WI	Steven DeBaker	1/6/09
4	Kewaunee Coast	WI	Shawn Niemann	1/6/09
5	Wild Horse Peak	CA	Keith Orlebeck	1/22/09
6	Niagara Cuesta	WI	Steven DeBaker	4/21/09

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7	Santa Maria Bench	CA	Sara Schorske for Kendall Jackson	5/5/09
8	Pope Valley District	CA	Joe Hardin	4/16/09
9	Paso Robles Westside	CA	Doug Beckett	4/30/09
10	Coombsville	CA	Tom Farella	6/1/09
11	Rocky Ridge	CA	Corrine Martinez	7/28/09
12	Eagle Peak Mendocino County	CA	Ralph & Marie Carter	9/25/09
FY 08 (15)				
1	Hyampom Valley	CA	Robin Merlo	11/19/07
2	Tryon Foothills	NC	McRitchie	11/30/07
3	Freestone-Occidental	CA	Shabram	12/4/07
4	Trancas Canyon - Malibu	CA	Michael Newmen, Holland & Knight	12/7/07
5	Santa Maria Valley EXP	CA	Nicholas Miller	12/28/07
6	Hyampom Valley	CA	Merlo	1/31/08
7	Freestone-Occidental	CA	Shabram	1/31/08
8	Sierra Pelona	CA	Carter	2/14/08
9	Trancas Canyon - Malibu	CA	Michael Newman	2/21/08
10	Kansas Smoky Hill District	KS	Jennings	2/26/08
11	Kansas Flint Hills	KS	Jennings	2/26/08
12	Ontario Lake Plain	NY	Baker	5/22/08
13	Tehachapi Valley	CA	Carter	6/26/08
14	Soledad Canyon of Los Angeles County	CA	Carter	6/26/08
15	Middleburg Virginia	Virginia	Rachel Martin	7/25/08

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FY 07 (18)				
1	Hyamptom Valley	CA	Robin Merlo	10/24/06
2	Alexander Valley expansion (northeast)	CA	Barry Hoffner	12/28/06
3	Haw River Valley	NC	P. McRitchie	1/18/07
4	Indiana Uplands	IN	Jim Butler	1/19/07
5	Monticello	VA	Doneva Wolf	1/19/07
6	Paso Robles exp (south)	CA	Mendelson	2/22/07
7	Slopes of Leona Valley	CA	Carter	3/29/07
8	Happy Valley	CA	Wes Hagen	4/3/07
9	Snipes Mountain	WA	Todd Newhouse	4/7/07
10	Loudon Valley	Virginia	Clyde Housel	4/24/07
11	Snipes Mountain	WA	Todd Newhouse	5/7/07
12	Happy Canyon	CA	Wes Hagen	5/8/07
13	Tehachapi	CA	Ralph Carter	5/17/07
14	Alexander Valley northeast expansion	CA	Shabram	6/26/07
15	Antelope Valley	CA	Ralph Carter	6/29/07
16	Monticello Expansion	Virginia	Terry Holzman	7/16/07
17	Pope Valley District	CA	Joe Hardin	7/23/07
18	Niagara Escarpment – WI	WI	Steve DeBaker	7/26/07
FY 06 (6)				
1	Tulocay	CA	Quintessa	10/24/05
2	Alexander Valley Exp	CA	Shabram	10/24/05
3	Currituck Banks	NC	Wright	11/28/05
4	Los Ositos	CA	Lyeth	3/21/06
5	Clark County, Washington	WA	Carl English	6/19/06
6	Pope Valley District	CA	Norman Albaugh	9/29/06
FY 2005 (11)				
1	Malibu-Saddlerock	CA	Derek Baugh – Semler Malibu	11/23/04

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2	Hopland	CA	Glenn T. McGourty	12/6/04
3	Grand Lake 'O the Cherokees	OK	Marsha & Gary Butler Pam & Rob Harris	1/13/05
4	Lehigh Valley	PA	John Skrip Clover Hill	1/31/05
5	Malibu – Saddle Rock	CA	Derek Baugh	1/31/05
6	Swan Creek	NC	Patricia McRitchie	3/24/05
7	Greater Shawnee American	IL	Dr. Thomas Beebe and Dr. David Ponce	3/30/05
8	Indiana Uplands	IN	Jim Butler Butler Winery	4/20/05
9	Paso Robles Westside	CA	Rachel Dumas, Compli	8/19/05
10	Los Ositos	CA	Cameron Lyeth Scheid Vineyards	9/8/05
11	Templeton Gap	CA	Barry Jackson Harmony Wine Co.	9/26/05
FY 2004 (7)				
1	South Grand Mesa	CO	Ron Neal	4/15/04
2	Southern Oregon Coast	OR	Terry Luce	6/1/04
3	Wahluke Slope	WA	Mrachek & Busacca	6/1/04
4	Rattlesnake Hills	WA	Mr. Gail Puryear	6/8/04
5	Alexander Mountain	CA	S Schorske Kendall Jackson	7/15/04
6	Templeton Gap	CA	Barry Jackson	7/26/04
7	Springlake	TX	Bill Clayton	9/27/04

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Section 6—Published AVA Documents by Fiscal Year

AVA	Publication Date	NPRM	TD
FY 2017			
Petaluma Gap	10/28/2016	X	
Appalachian High Country	10/27/2016		X
Dahlonge Plateau	12/2/2016	X	
TOTALS			
FY 2016			
Eagle Foothills	11/25/2015		X
Los Olivos District	1/21/2016		X
Lamorinda	2/24/2015		X
Loess Hills District	3/2/2016		X
Willamette Valley expansion	3/2/2016		X
Willcox	1/21/2016	X	
Lewis-Clark Valley	4/20/2016		X
Appalachian High Country	5/3/2016	X	
Cape May Peninsula	9/8/2016	X	
Outer Coastal Plain expansion	9/20/2016	X	
TOTALS		4	6
FY 2015			
Eagle Peak Mendocino County	10/9/2014		X
Paso Robles "11"	10/9/2014		X
Fair Play expansion	1/6/2015		X
Squaw Valley-Miramonte	1/22/2015	X	
The Rocks District of Milton-Freewater	2/9/2015		X
Fountaingrove District	2/18/2015		X
Los Olivos District	3/3/2015	X	
Lewis-Clark Valley	4/14/2015	X	
Lamorinda	4/14/2015	X	
Eagle Foothills	4/14/2015	X	
Willamette Valley expansion	6/18/2015	X	
Loess Hills District	6/18/2015	X	
Champlain Valley of New York	7/2/2015	X	
Tip of the Mitt	8/6/2015	X	
Squaw Valley-Miramonte	8/7/2015		X
TOTALS	15	9	6

~~Confidential~~

FY 2014			
Big Valley District–Lake County	10/2/2013		X
Kelsey Bench–Lake County	10/2/2013		X
Ballard Canyon	10/2/2013		X
Moon Mountain District Sonoma County	10/2/2013		X
Manton Valley	1/14/2014	X	
The Rocks District of Milton–Freewater	2/26/2014	X	
Fair Play expansion	6/17/2014	X	
Fountaingrove District	6/30/2014	X	
Upper Hiwassee Highlands	7/18/2014		X
Malibu Coast	7/28/2014		X
Manton Valley	8/1/2014		X
Sta. Rita Hills expansion	8/7/2014	X	
TOTALS	12	5	7
FY 2013			
Ancient Lakes of Columbia Valley	10/18/2012		X
Ballard Canyon	1/16/2013	X	
Indiana Uplands	2/2/2013		X
Elkton Oregon	2/2/2013		X
Moon Mountain District Sonoma County	3/4/2013	X	
Kelsey Bench–Lake County	4/5/2013	X	
Big Valley District–Lake County	4/5/2013	X	
Eagle Peak Mendocino County	6/27/2013	X	
Malibu Coast	7/8/2013	X	
Upper Hiwassee Highlands	7/12/2013	X	
Paso Robles “11”	9/20/2013	X	
TOTALS	11	8	3

Non-Responsive

FY 17	Name	State	Non-Responsive	Date Returned	Non-Responsive
1	Wild Horse Desert	TX		1/10/17	
2	Tualatin Hills	OR		1/24/17	
3	Mt. Pisgah-Mistletoe Ridge	OR		2/1/217	
4	Missoula Valley	MT		4/13/17	
5	Verde Valley	AZ		4/13/17	
6	Prairie Mountain	OR		5/3/17	
FY 16	Name	State		Date Returned	
1	Eastern Connecticut Highlands	CT		10/21/15	
2	Mendocino Ridge name change	CA		2/9/16	
3	Palos Verdes Peninsula	CA		3/24/16	
4	Virginia Peninsula	VA		4/5/16	
FY 15	Name	State		Date Returned	
1	Upper Hudson	NY		2/3/15	
2	West Sonoma Coast	CA		5/19/15	

Non-Responsive

			Non-Responsive		Non-Responsive
3	Virginia Peninsula	VA		8/7/15	
4	Mendocino Ridge name change	CA		9/25/15	
FY 14	Name	State		Date Returned	
1	Winters-Rocky Ridge	CA		11/17/13	
2	Chiricahua Foothills	AZ		1/27/14	
FY 13	Name	State		Date Returned	
1	Santa Lucia Highlands/Arroyo Seco boundary modification	CA		10/15/12	
2	Los Olivos District (second attempt)	CA		11/28/12	
3	Hood Mountain--Sonoma	CA		1/3/13	
4	Loramie Creek expansion	OH		1/16/13	
5	Champlain Valley of New York	NY		2/26/13	
6	Loramie Creek expansion (second submission)	OH			
7	Perrydale Hills	OR		6/11/13	
8	Tip of the Mitt	MI		10/22/13	

Non-Responsive

			Non-Responsive		Non-Responsive
FY 12	Name	State		Date Returned	
1	Tyler New Hope	TX		10/14/11	
2	Blue Ridge Foothills of Central Virginia	VA		10/18/11	
3	Red River Valley	TX		12/15/11	
4	Lewis-Clark Valley	WA		12/15/11	
5	Moon Mountain	CA		1/3/12	
6	Hiwassee Highlands	NC, GA		2/29/12	
7	Freestone-Occidental	CA		4/12/12	
8	Manton Valley	CA		5/24/12	
9	Los Olivos	CA		5/31/12	
10	Kentucky River Palisades	KY		6/11/12	
11	Pope Valley District	CA		6/13/12	
12	Tip of the Mitt	MI		6/21/12	
13	Iowa Loess Hills	IA		6/28/12	
FY 11	Name	State		Date Returned	
1	Shasta-Cascades	CA		3/3/11	

Non-Responsive

2	Lake Champlain Islands	VT	Non-Responsive	3/11/11	Non-Responsive
3	Tyler New Hope	TX		6/2/2011	
4	Kings Canyon	CA		8/17/2011	
FY 10	Name	State		Date Returned	
1	Rocky Ridge - Winters	CA		1/14/10	
2	Vina	CA		4/13/10	
3	Ancient Lakes	WA		4/12/10	
4	Kiepersol Estates	TX		6/7/10	
5	Elkton	OR		6/22/10	
6	Kings Canyon Foothills	CA		7/27/10	
7	Lake Champlain Islands	VT		9/7/10	
8	Kiepersol Estates	TX			
FY 09 (12)					
1	Naches Heights	WA		10/3/08	
2	Naches Heights	WA		10/31/08	
3	Niagara Cuesta	WI		1/6/09	
4	Kewaunee Coast	WI		1/6/09	
5	Wild Horse Peak	CA		1/22/09	
6	Niagara Cuesta	WI		4/21/09	

Non-Responsive

7	Santa Maria Bench	CA	Non-Responsive	5/5/09
8	Pope Valley District	CA		4/16/09
9	Paso Robles Westside	CA		4/30/09
10	Coombsville	CA		6/1/09
11	Rocky Ridge	CA		7/28/09
12	Eagle Peak Mendocino County	CA		9/25/09
FY 08 (15)				
1	Hyampom Valley	CA		11/19/07
2	Tryon Foothills	NC		11/30/07
3	Freestone-Occidental	CA		12/4/07
4	Trancas Canyon - Malibu	CA		12/7/07
5	Santa Maria Valley EXP	CA		12/28/07
6	Hyampom Valley	CA		1/31/08
7	Freestone-Occidental	CA		1/31/08
8	Sierra Pelona	CA		2/14/08
9	Trancas Canyon – Malibu	CA		2/21/08
10	Kansas Smoky Hill District	KS		2/26/08
11	Kansas Flint Hills	KS		2/26/08
12	Ontario Lake Plain	NY		5/22/08
13	Tehachapi Valley	CA		6/26/08
14	Soledad Canyon of Los Angeles County	CA		6/26/08
15	Middleburg Virginia	Virginia		7/25/08

Non-Responsive

Non-Responsive

FY 07 (18)			Non-Responsive	
1	Hyamptom Valley	CA		10/24/06
2	Alexander Valley expansion (northeast)	CA		12/28/06
3	Haw River Valley	NC		1/18/07
4	Indiana Uplands	IN		1/19/07
5	Monticello	VA		1/19/07
6	Paso Robles exp (south)	CA		2/22/07
7	Slopes of Leona Valley	CA		3/29/07
8	Happy Valley	CA		4/3/07
9	Snipes Mountain	WA		4/7/07
10	Loudon Valley	Virginia		4/24/07
11	Snipes Mountain	WA		5/7/07
12	Happy Canyon	CA		5/8/07
13	Tehachapi	CA		5/17/07
14	Alexander Valley northeast expansion	CA		6/26/07
15	Antelope Valley	CA		6/29/07
16	Monticello Expansion	Virginia		7/16/07
17	Pope Valley District	CA		7/23/07
18	Niagara Escarpment – WI	WI		7/26/07
FY 06 (6)				
1	Tulocay	CA		10/24/05
2	Alexander Valley Exp	CA		10/24/05
3	Currituck Banks	NC		11/28/05
4	Los Ositos	CA		3/21/06
5	Clark County, Washington	WA		6/19/06
6	Pope Valley District	CA		9/29/06
FY 2005 (11)				
1	Malibu-Saddlerock	CA		11/23/04

Non-Responsive

Non-Responsive

2	Hopland	CA	Non-Responsive	12/6/04	Non-Responsive
3	Grand Lake 'O the Cherokees	OK		1/13/05	
4	Lehigh Valley	PA		1/31/05	
5	Malibu – Saddle Rock	CA		1/31/05	
6	Swan Creek	NC		3/24/05	
7	Greater Shawnee American	IL		3/30/05	
8	Indiana Uplands	IN		4/20/05	
9	Paso Robles Westside	CA		8/19/05	
10	Los Ositos	CA		9/8/05	
11	Templeton Gap	CA		9/26/05	
FY 2004 (7)					
1	South Grand Mesa	CO		4/15/04	
2	Southern Oregon Coast	OR		6/1/04	
3	Wahluke Slope	WA		6/1/04	
4	Rattlesnake Hills	WA		6/8/04	
5	Alexander Mountain	CA		7/15/04	
6	Templeton Gap	CA		7/26/04	
7	Springlake	TX		9/27/04	