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Description of document: Current Memorandum of Understanding (MOU) between the Consumer Product Safety Commission (CPSC) and the Customs and Border Protection (CPB) of the Department of Homeland Security (DHS), 2014

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[e-FOIA Public Access Link \(PAL\)](#)

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U.S. CONSUMER PRODUCT SAFETY COMMISSION
4330 EAST WEST HIGHWAY
BETHESDA, MARYLAND 20814-4408

Abioye Ella Mosheim
Chief FOIA Officer, and
Assistant General Counsel
Office of the General Counsel
Division of the Secretariat

July 10, 2018

Via Email

RE: Freedom of Information Act Request (FOIA) #17-F-00145: Current Memorandum of Understanding (MOUs) between the CPSC and the Customs and Border Protection of DHS.

Thank you for your Freedom of Information Act (FOIA) request seeking the above referenced records from the U.S. Consumer Product Safety Commission ("Commission") and the Customs and Border Protection (CBP) division of the Department of Homeland Security (DHS). In response to your request, please find enclosed copies of the MOUs between the Commission and CBP.

Some of the information has been redacted by CBP under FOIA Exemptions 6, 7(C), and 7(E). See 5 U.S.C. §§ 552(b)(6), (b)(7)(C), (b)(7)(E).

Exemption 6. Exemption 6 protects records on individuals maintained in "personnel and medical files and similar files" the disclosure of which "would constitute a clearly unwarranted invasion of personal privacy." 5 U.S.C. § 552(b)(6).

Exemption 7(C). Exemption 7(C) protects records compiled for law enforcement purposes that contains personal information the disclosure of which "could reasonably be expected to constitute an unwarranted invasion of personal privacy." 5 U.S.C. § 552 (b)(7)(C).

Exemption 7(E). Exemption 7(E) protects law enforcement information that "would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose

guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law." 5 U.S.C. §552 (b)(7)(E).

FOIA Administrative Procedures

I trust that this information fully satisfies your request. If you need any further assistance or would like to discuss any aspect of your request please do not hesitate to contact me at 1-800-638-2772, email, amosheim@cpsc.gov for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

Right to appeal. You have a right to appeal CBP's withholdings. Should you wish to do so, you must send the appeal and a copy of this letter, within 90 days of the date of this letter, to: FOIA Appeals, Policy and Litigation Branch, U.S. Customs and Border Protection, 90 K Street, NE, 10th Floor, Washington, DC 20229-1177, following the procedures outlined in the DHS FOIA regulations at Title 6 C.F.R. § 5.8. The envelope and letter should be marked "FOIA Appeal." Copies of the FOIA and DHS regulations are available at www.dhs.gov/foia.

Processing your request, including searching files and preparing this information cost the Commission \$40.00. In this instance, we waived the charges. This completes the processing of your request.

Sincerely,

A handwritten signature in black ink, appearing to read 'A. Mosheim'.

Abioye E. Mosheim

CC: Charlyse Hoskins, USCBP

Enclosure

**Memorandum of Understanding
Between
U.S. Customs and Border Protection
and
the United States Consumer Product Safety Commission
for the use of TECS**

U.S. Customs and Border Protection (CBP), as Systems Manager, and the United States Consumer Product Safety Commission (CPSC), hereinafter referred to individually as *Party* or collectively as *Parties*, agree to the following Memorandum of Understanding (MOU) provisions for the use of TECS, including its applicable subsystems, and for protection of the sensitive law enforcement information contained therein.

I. Introduction

TECS was designed to provide multi-agency access to a common database of enforcement data supplied by the participating agencies. CBP is both a user of TECS and the system manager. In operating a multi-agency enforcement system, it is imperative that the participating agencies observe common procedures to provide adequate security, data integrity, and performance. This MOU provides the procedures for CPSC to use TECS.

TECS is the designated clearinghouse for the border security information supplied by Department of Homeland Security (DHS) agencies and other federal agencies. An MOU between CBP and participating agencies requires CBP, as the system manager of TECS, to take necessary actions to collect, maintain, and protect TECS data, as well as make such information available to the participating agencies as specified in the MOU.

II. Statement of Purpose

This MOU is intended to document the agreement between CBP and CPSC for the use and protection of TECS data, and the adherence to common procedures for the effective sharing of sensitive law enforcement and related information.

III. Agreement

A. Standard Terms for all Agencies Using TECS

TECS is the repository for data from many separate agency sources. This data includes sensitive law enforcement information. Each agency supplying data is considered to be the owner of that data and is responsible for its content and validity. In accepting this responsibility, CPSC agrees to comply with the current TECS Data Standards, CBP Directive number (b) (7)(E) for the transmission of data to TECS and any subsequent editions of those standards. CPSC will also comply

with relevant directives and regulations for the access, use and handling of applicable TECS information.

1. A *TECS user agency* is defined as an agency (or a specific office within that agency) that has been granted access to TECS through a TECS MOU. TECS user agency *personnel* are defined as duly authorized employees or contractors of, or assigned to, a TECS user agency. *TECS users* are defined as TECS user agency personnel or contractors that have been granted individual access to TECS.
2. CBP is responsible for ensuring the integrity of agency records after they are supplied to and entered into TECS and for maintaining safeguards to prevent any unauthorized disclosure of the data. Disclosure of the data must comply with the Privacy Act, 5 U.S.C. § 552a, as amended, and other applicable laws. CPSC agrees that its access to TECS does not make TECS (including any of its subsystems) a CPSC *system of records* for Privacy Act purposes and agrees to rely on CBP, as the system manager for TECS, to publish all notifications concerning the TECS system of records required by the Privacy Act. CBP agrees to publish and maintain all Federal Register notifications for the TECS system of records pursuant to the Privacy Act. Further, CPSC agrees not to place records accessed through TECS (including any of its subsystems, such as the Advance Passenger Information System (APIS); Border Crossing Information System (BCI); and the Non-Immigrant Information System (NIIS)) into a separate system of records, unless CPSC obtains a specific written authorization from the CBP Privacy Officer to incorporate TECS records into CPSC files / systems.
3. CPSC agrees to comply with the appropriate administrative security provisions related to the use and dissemination of the information in TECS and to consider all information in TECS as *Sensitive But Unclassified, For Official Use Only* (or *Controlled Unclassified Information (CUI)*, once such designation is implemented). The administrative provisions are identified and the explanation of their use is included in the CBP Computer Based Training (CBT) Security Certification Process.
4. The information in TECS is covered by the *third agency rule*. Pursuant to this principle, CPSC agrees not to disclose TECS data to third parties without the prior knowledge and consent of the owning agency, except as expressly authorized under this MOU.

For purposes of this MOU:

- a. DHS and each of its components are not considered *third agencies* or *third parties* with respect to data CPSC may record in TECS and, therefore, transfers within DHS of data recorded in TECS by CPSC are not subject to the *third agency rule*.

- b. Transfers between DHS and other non-DHS agencies are subject to the *third agency rule*.
- c. Transfers between agencies outside of DHS are subject to the *third agency rule*.

TECS Level 1 records (i.e., those records that are available to all TECS users) may be disclosed to third parties, if otherwise authorized by law, without prior knowledge or consent of the owning agency, only under the following conditions:

- d. The third party must be an employee or contractor (including, where appropriate, detailees) of one of the TECS user agencies; this information cannot be further disclosed to parties who are not TECS user agency personnel without approval from the owner of that information;
- e. The material being disclosed must include labeling indicating that the information is *For Official Use Only* (or *CUI*, once implemented); and
- f. In the case of information on persons, an automated DHS-191, Privacy Act Disclosure Record, must be completed to document the disclosure.

The *third agency rule* also covers requests for data made under the Freedom of Information Act (FOIA). CPSC agrees that, in responding to FOIA requests, it will restrict such processing to CPSC systems of records and, to the extent TECS records are authorized to be incorporated into a CPSC system of records, CPSC will exclude TECS data from such processing. Should TECS records be responsive to any FOIA requests, such FOIA requests will be forwarded by CPSC to CBP, Office of International Trade, FOIA Division, for handling or referral, as appropriate.

- 5. CPSC agrees to designate a National System Control Officer (SCO) who will be responsible for implementing CPSC policy for TECS use and coordinating the designation and assignment of TECS access for all applicable employees in CPSC. Further, the National SCO will serve as the single point of contact within CPSC for general TECS access and use issues. The National SCO will be responsible for assigning and maintaining profiles for CPSC users. Local SCOs, if assigned, will be the authorized contact with CBP to add, change, modify, and archive TECS users under their direct control in accordance with the policies and procedures established by the National SCO.
- 6. Unless exempted by the CBP Commissioner, CBP requires that all TECS users must have a completed background investigation of one of the types listed below. Background investigations must be periodically updated. The requirement for reinvestigations is at least every 10 years. Access to TECS functions and data will be limited depending on the type of background investigation that has been completed. The initial background investigation for all TECS users must be one of the following:

Type 1: (b) (7)(E)
Users with this type (b) (7)(E) investigation will be (b) (7)(E) TECS functions including (b) (7)(E) (b) (7)(E). These CPSC users may access records owned by CPSC.

Type 2: (b) (7)(E)
(b) (7)(E)
(b) (7)(E). Users with this type of (b) (7)(E) investigation may have access to (b) (7)(E) (b) (7)(E) and access to (b) (7)(E) (b) (7)(E).

Type 3: (b) (7)(E)
(b) (7)(E) Users with this type of (b) (7)(E) investigation may be given access to (b) (7)(E) (b) (7)(E) by CPSC.

CPSC is responsible for ensuring that no CPSC user will be granted access to TECS without one of these types of (b) (7)(E) investigations. (b) (7)(E) TECS access requires a (b) (7)(E). Per Office of Personnel Management and DHS Security Policy, the (b) (7)(E) reinvestigation will be a (b) (7)(E) (b) (7)(E).

CPSC will conduct an annual review of their TECS users to ensure that each user has a properly completed and active background investigation.

7. CPSC agrees to inform its TECS users about the on-line Security Handbook and take steps to ensure that these users abide by the provisions of this document. CBP will verify compliance with this requirement through a CBT course and an automated certification test. All CPSC users will be required to pass this certification test in order to access any functions or data in TECS.

As the custodian of both the data and the processes in TECS, CBP is required to document all security infractions. The CPSC agrees to notify the TECS application owner, who then reports to CBP Internal Affairs, whenever any provisions of the TECS Security Handbook have been violated. The information required is: Agency, User ID of violator, and nature of the violation. CBP has the authority to permanently suspend system usage to any user for an abuse of their access to TECS, or for any violation of the provisions of the TECS Security Policy.

8. The automated functions within TECS for adding, updating, reporting, retrieving, or otherwise processing information are the responsibility of CBP. Any additions, enhancements, or modifications to these automated functions desired by CPSC will be designed, developed, and implemented by CBP according to the requirements and specifications supplied by CPSC and approved by CBP.

9. CPSC has the option of designating the data it enters into TECS (b) (7)(E) (b) (7)(E) CPSC understands and agrees that any data it provides to TECS and (b) (7)(E) (b) (7)(E) will be accessible to all TECS users. Further, CPSC understands and agrees that the (b) (7)(E) disseminated to other TECS agencies, without prior notification to CBP, as stipulated in paragraph III.A.4 of this MOU.

B. Terms Specific to CPSC

1. CPSC is granted access to TECS data, functions, and other support as follows, subject to the background investigation limitations described in section III.A.6.

Data Access: CPSC will have access to the following categories of records in TECS:

- a. TECS (b) (7)(E)
- b. (b) (7)(E) records owned by CPSC entered into TECS at the option of CPSC consistent with paragraph III.A.9 of this MOU.
- c. (b) (7)(E) records owned by another TECS user agency, with the owning agency's authorization. This authorization will be documented by a memorandum from the owning agency to the TECS (b) (7)(E) CBP, explicitly stating the (b) (7)(E)
- d. (b)(7)(E) (b)(7)(E) made at ports of entry that can be used to identify individuals or vehicles entering the country.
- e. (b) (7)(E) associated with accessible records.
- f. (b) (7)(E) These records are the results of CBP (b) (7)(E) (b) (7)(E) They provide the disposition (i.e., (b) (7)(E) (b) (7)(E) and short comments on the inspection.
- g. I-94 Records: These records include the entry and exit information from I-94, I-94W, and I-95 forms for most travelers other than U.S. Citizens or Lawful Permanent Residents.

Access to Functions: CPSC TECS users will have access to the following sets of functions. The specific subset of these functions authorized for each TECS user will be determined by CPSC. Also, the records displayed or printed under these functions are limited to those authorized under the previous section on data access.

- h. (b) (7)(E)

Only designated (b) (7)(E) are authorized to create or modify records on TECS users other than themselves. (b) (7)(E) are required to update individual (b) (7)(E) records with current information.

- i. (b) (7)(E) These functions allow TECS users to (b) (7)(E) records on person, business, vehicle, vessel, and aircraft subjects. It is understood that records created by CPSC and entered into TECS may also be included in CPSC-owned systems of records. The (b) (7)(E) will only be available for records owned by CPSC.
 - j. (b) (7)(E) These functions allow TECS users to retrieve and (b) (7)(E) based on (b) (7)(E) these functions also allow access to primary (b) (7)(E)
 - k. (b) (7)(E) This function retrieves and displays records that are related to (b) (7)(E)
 - l. (b) (7)(E) These functions allow TECS users to (b) (7)(E) for display or printing.
 - m. (b) (7)(E) This function allows TECS users to (b) (7)(E) (b) (7)(E) obtained through the (b) (7)(E) function.
 - n. (b) (7)(E) This function provides (b) (7)(E) (b) (7)(E) information on primary queries performed at ports of entry.
 - o. (b) (7)(E) purposes. CPSC will be provided with (b) (7)(E) (b) (7)(E) that allows submission of data files to TECS for (b) (7)(E) to CPSC. The response data from this query will also be provided in a (b) (7)(E) file format.
 - p. (b) (7)(E) This function includes help, an (b) (7)(E) (b) (7)(E) and a variety of other general functions. CPSC TECS users will have (b) (7)(E) except those limited to CBP systems management (b) (7)(E) staff. (b) (7)(E) functions will be limited to the designated (b) (7)(E)
 - q. (b) (7)(E) Function: This function includes an (b) (7)(E) (b) (7)(E) or available TECS systems.
2. CPSC agrees to share information designated as (b) (7)(E) with the TECS community through the on-line entry of records, as appropriate, into the TECS database.

3. All data provided to TECS by CPSC, whether entered on-line or transferred from CPSC databases, will be stored as CPSC-owned records in the TECS database. Only CPSC TECS users will be allowed to modify or delete records provided and owned by CPSC.

C. Reimbursement

The Parties agree that any reimbursement by CPSC to CBP, acting as the System Manager/Administrator for TECS, for the costs of reprogramming related to any CPSC-specific systems additions, modifications, or enhancements of TECS, will be negotiated on an as-needed basis. CBP will notify CPSC of such reprogramming costs and will not proceed with work until funds are received. When necessary, the terms of any agreement regarding such reimbursement will be documented by way of a separate addendum.

IV. Term of Agreement

- A. This MOU will be effective from the date of signature. Except as provided below, it may be terminated by either party upon 30-days advance written notice to the other. This MOU, any addenda to this MOU, or the termination of this MOU will not affect any other agreement or addenda entered into between CBP and other parties, or entered into between agencies that have access to TECS unless provided by the specific terms of these agreements and addenda. If this MOU between CBP and CPSC is terminated and CPSC is signatory to other agreements between CBP and other entities, those agreements will remain unaffected by the termination of this MOU.
- B. CBP reserves the right to immediately suspend furnishing data and/or on-line access to CPSC when either security or dissemination requirements are violated. CBP may reinstate CPSC to recipient status upon receipt and consideration of satisfactory assurances that such circumstances giving rise to the violation have been corrected. If resolution cannot be reached within 60 days of suspension, this may be considered basis for termination of the agreement in accordance with this section.

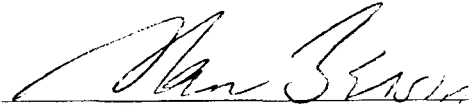
V. Revisions, Amendments, and Modifications

Revisions, amendments, and modifications to this MOU or its addenda may be made upon the written approval of CBP and CPSC and shall become effective upon the date of written approval by all Parties. Revisions, amendments and modifications may be accomplished via a memorandum and incorporated by reference, and should be signed by the officials (or their designees) signing this MOU.

VI. No Private Right Created

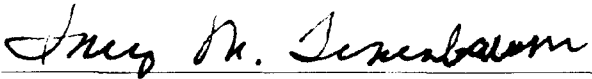
This document is an internal MOU between CBP and CPSC and does not create or confer any right or benefit on any other person or party, private or public. Nothing in this MOU or its addenda is intended to restrict the authority of either signatory to act as provided by

law, statute, or regulation or to restrict any agency from enforcing any laws within its authority or jurisdiction.



Alan Bersin
Commissioner
U.S. Customs and Border Protection

Date: 6/5/10



Inez Tenebaum
Chairman
U.S. Consumer Product Safety Commission

Date: 8-24-10

IMPORT SAFETY COMMERCIAL TARGETING AND ANALYSIS CENTER
MEMORANDUM OF UNDERSTANDING
AMONG
THE IMPORT SAFETY COMMERCIAL TARGETING AND ANALYSIS CENTER,
PARTNER GOVERNMENT AGENCIES

1. INTRODUCTION

On July 17, 2007, Executive Order 13439 established an Interagency Working Group on Import Safety to conduct a comprehensive review of current import safety practices and determine where improvements could be made. On September 10, 2007, the Interagency Working Group on Import Safety issued a Strategic Framework outlining a risk-based approach to import safety that focuses on prevention with verification. The Strategic Framework approach was intended to ensure that identified risks were addressed and any risk mitigation was verified at the most appropriate point of production and distribution. In November 2007, the Interagency Working Group on Import Safety issued an Import Safety Action Plan that consisted of several recommendations to improve import safety practices including recognizing the need to share certain import and export information (for purposes of this MOU the terms information and data will be employed interchangeably) between government agencies. The need for government agencies to share information and coordinate more effectively was also recommended by the Food Safety Working Group established by President Obama.

Federal agencies with authority governing the safety of products imported into the United States acknowledged the need to share information about the safety of those products. To advance that goal, the U.S. Department of Homeland Security (DHS), through U.S. Customs and Border Protection (CBP), and in coordination with the U.S. Immigration and Customs Enforcement (ICE), U.S. Consumer Product Safety Commission (CPSC), the Food Safety Inspection Service (FSIS), and the Animal Plant Health Inspection Service (APHIS), established the Import Safety Commercial Targeting and Analysis Center (CTAC) in Washington, DC. Pursuant to authority delegated to them, the Commissioner of CBP and Director of ICE are entering into this Memorandum of Understanding on behalf of DHS. Following upon its success, the CTAC broadened its participation to include the following agencies with import safety or border management responsibilities: the Pipeline and Hazardous Materials Safety Administration (PHMSA), the National Highway Traffic Safety Administration (NHTSA), Environmental Protection Agency (EPA), U.S. Food and Drug Administration (FDA), U.S. Fish and Wildlife Service (FWS) and the National Marine Fisheries Service (NMFS). All the members of the CTAC collectively are referred to herein as the "Parties" or "CTAC Partner Government Agencies" (PGAs).

2. PURPOSE

This Memorandum of Understanding (MOU) establishes the parameters for the assignment of representative employee(s) (Representative(s)) from the PGAs to the CTAC. Furthermore, this MOU outlines the mission of the CTAC, recognizes the responsibilities of all PGAs, and memorializes the relationships among PGAs in order to maximize cooperation and to create a cohesive unit capable of addressing complex import safety issues and other border management authorities and responsibilities. For purposes of this MOU, the term "import safety" includes matters relating to the public health and safety of imported products, as well as matters ensuring compliance with those authorities that establish responsibilities for border management. This MOU supersedes all existing MOUs that address CTAC operations.

3. MISSION

The mission of the CTAC is to provide a vehicle to facilitate the sharing of information and to leverage the collective resources of the PGAs for the prevention, preemption, deterrence, and investigation of violations of importation statutes and regulations that affect United States interest in import safety, and to facilitate other border management authorities and responsibilities of the PGAs. The sources of the information that may be shared at the CTAC include, but are not limited to, certain data located in systems controlled by PGAs. A listing of those systems with each controlling PGA is contained in Appendix A. Appendix A will be amended, as necessary, to recognize the inclusion of new systems or sources of information as they become available or, alternatively, to recognize the removal of existing systems or sources of information as they are deemed no longer necessary or available.

All CTAC activities, including the collection, retention, and dissemination of any information including personal information will be conducted in a manner consistent with U.S. law, this MOU, and applicable requirements and policies of the respective PGAs.

4. AUTHORITY

This MOU permits the implementation of provisions supporting the International Trade Data System, which is established by section 405 of the Security and Accountability For Every Port Act of 2006, ("Safe Port Act"), Public Law 109-347. Furthermore, this MOU implements the recommendations of the Interagency Working Group on Import Safety, established under Executive Order 13439: Interagency Working Group on Import Safety (July 18, 2007).

5. MEMBERSHIP

The CTAC shall consist of representative employees from CBP, ICE, CPSC, FSIS, APHIS, PHMSA, NHTSA, EPA, FDA, FWS and NMFS. As appropriate, other federal agencies may be added to the CTAC. Changes in membership will be done in accordance with section 17 of this MOU. PGA Representatives to the CTAC must have (b) (7)(E) or a U.S. Office of Personnel Management (OPM) (b)(7)(E) prior to participating in CTAC operations. CTAC Representatives must complete any non-disclosure forms required by participating PGAs and maintain such forms with Representatives' home agencies.

CTAC Representatives will provide these forms and documents related to (b) (7)(E) upon written request by any PGA.

6. RESPONSIBILITIES

Each PGA agrees:

- 6.1 to provide each PGA Representative with access to data that fulfills the stated purpose of this MOU, to the extent consistent with applicable law and policy.
- 6.2 to assist and cooperate with other PGAs in effecting the purposes of this MOU.
- 6.3 to engage in timely exchanges of information, with and among PGAs as appropriate and necessary for the purposes of this MOU.
- 6.4 to recognize that information collected under their respective authorities and provided to the CTAC may include information restricted from disclosure by law, such as highly sensitive commercial, financial, and proprietary information, which may be exempt from disclosure pursuant to the Freedom of Information Act (FOIA) (5 U.S.C. § 552(b)(4)) and restricted from disclosure by the Trade Secrets Act (18 U.S.C. § 1905), or other authorities.
- 6.5 to be responsible for properly marking or identifying their respective data in such a manner as to effectively note the applicable PGA's ownership.
- 6.6 to coordinate with PGA(s) which provided the subject information (the "providing PGA") regarding requests made under the Freedom of Information Act (5 U.S.C. § 552) and the Privacy Act of 1974, (5 U.S.C. § 552(a)) related to data collected from the providing PGA(s) via CTAC operations and, when appropriate, to refer the request to the providing PGA for processing.
- 6.7 to not disclose information received from a providing PGA to any third parties, public or private. All third party requests for data that are not owned by the PGA shall be forwarded to the appropriate PGA that owns the data for written authorization to release, as authorized under law. For purposes of this MOU, information shared with another PGA pursuant to this MOU, including any amendment thereof, is not considered a disclosure to a third party. Furthermore, each PGA and each of its components are not considered "third parties" for purposes of this MOU and, therefore, transfers of information within the PGAs including to any of their components, on a need to know basis, are not considered third party disclosures under the terms of this MOU.
- 6.8 to cooperate with the Department of Justice in defense of a judicial action, as appropriate, in the event that another PGA is sued as a result of an alleged unauthorized release by the PGA, or an employee or contractor of the PGA, of information shared pursuant to this MOU.

- 6.9 to consult with affected PGAs, as necessary and appropriate, with regard to the handling of media releases related to CTAC operations; such releases will be mutually agreed upon by each of the affected PGAs and jointly handled consistent with any PGA guidelines or directive regarding press releases.
- 6.10 to notify other PGAs of discrepancies identified in data provided to the CTAC, to the extent necessary and practicable.
- 6.11 to provide training to eligible PGA Representatives as necessary to accomplish the purpose of the CTAC. In particular, PGAs are to provide training (and to make PGA Representatives and their supervisors available for such training), both computer-based and in person, as deemed appropriate by the respective PGAs, with regard to the relevant systems to be accessed at CTAC, prior to providing access to such systems, as applicable.
- 6.12 to exercise due diligence that adequate security measures are in place and utilized to protect access to PGA data that is transferred to the CTAC, as necessary. The aforementioned security measures will meet the requirements of the transferring and receiving PGA.

7. MANAGEMENT, DIRECTION AND SUPERVISION

The management of the CTAC is the responsibility of CBP Headquarters. The PGAs will develop and implement joint procedures related to the operation and collaboration within the CTAC. Each PGA Representative to the CTAC will report to his or her home agency for personnel administrative matters. The home PGA of the PGA Representative shall be responsible for the pay, overtime, leave, performance appraisals, and other personnel matters relating to its employees assigned to the CTAC.

In order for CBP to manage the CTAC, each PGA must provide CBP with an annually updated list of its Representatives, and their respective supervisors, to the CTAC.

8. INFORMATION SHARING AND CONFIDENTIALITY

Each PGA is responsible for ensuring the integrity of records transferred to it from other PGAs, or accessed by it through the CTAC, pursuant to this MOU. Furthermore, each PGA is responsible for maintaining system safeguards to prevent any unauthorized disclosure of transferred or accessed data. Disclosure of any data will be consistent with all applicable laws and the terms of this MOU as well as any specific laws or requirements applicable to the disclosing PGA.

Each PGA agrees that in the performance of the CTAC functions, access to any other PGA's system(s) does not make said system(s) a "system of records" for that PGA for

Privacy Act purposes. Each PGA agrees that the PGA which owns the system(s), is the system manager and is responsible for publishing any notifications concerning the system(s) that is required by law. Each PGA will publish Federal Register notifications as required by the Privacy Act, 5 U.S.C. §552a, concerning its system(s). Further, no PGA will place any record(s) accessed through another PGA's system into a separate "systems of records", unless authorized pursuant to a separate written sharing agreement between the relevant PGAs.

- 8.1 Each PGA shall comply with the appropriate PGA administrative security provisions related to the use and dissemination of the information in another PGA's system(s) and to consider all information in said system(s) as "Sensitive But Unclassified, For Official Use Only" or "Controlled Unclassified Information," unless otherwise specified by the other PGA.
- 8.2 Each PGA may monitor and audit the usage of its own system(s) to ensure the security of the network/data and to prevent its use for any purpose that constitutes a violation of applicable law or policy, or the terms of this MOU. Use of another PGA's system constitutes consent to such monitoring and auditing. Unauthorized attempts to gain access, to upload or change information in another PGA's system is strictly prohibited and may be subject to criminal prosecution, absent the express prior written authorization of the other PGA.

9. FUNDING

This MOU is not an obligation or commitment of funds, nor a basis for the transfer of funds. Each PGA shall bear its own costs in relation to this MOU.

Obligations/expenditures by each PGA will be subject to federal budgetary processes and availability of funds pursuant to applicable laws, regulations, and policies. In the event that the transfer of funds is deemed necessary in the future, the affected PGAs may enter into specific Interagency Agreements to meet such necessity outside of this MOU.

10. SEVERABILITY CLAUSE

Nothing in this MOU is intended to conflict with the statutes, regulations or policies of the PGAs. If a term of this MOU is inconsistent with such authority, then that term shall be invalid, but the remaining terms and conditions of this MOU shall remain in full force and effect. Unless particularly specified, this MOU is not intended to affect any existing MOUs or agreements between PGAs.

11. EMERGENCY SITUATIONS

During times of national emergency as indicated by changes in the Homeland Security Advisory System or otherwise separately defined by the PGAs, each PGA reserves the right to suspend, interrupt or modify as necessary access to their respective systems and CTAC operations provided for pursuant to this MOU. Notice of any such action will be communicated promptly to all PGAs, by the deciding PGA.

12. NO PRIVATE RIGHT OF ACTION CREATED

This MOU is an internal government understanding among the PGAs and does not explicitly or impliedly create, confer, grant or authorize any rights, privileges, benefits or obligations, substantive or procedural, enforceable at law or otherwise, by any PGA against the other, or by any third party against the PGAs, their parent agencies, the United States, or the officers, employees, agents or other associated personnel thereof.

13. REVIEW

The PGAs agree to review the terms of this MOU and its Appendices on a bi-annual basis or at such other interval as the PGAs mutually determine appropriate.

14. LIABILITY

Pursuant to the Federal Tort Claims Act (FTCA), 28 U.S.C. § 2671 et seq., the head of each Federal agency or his designee may render a decision on any administrative claim for money damages against the United States for injury or loss of property or personal injury or death caused by the negligent or wrongful act or omission of any employee of the agency while acting within the scope of his office or employment. The PGAs do not intend, solely by virtue of this MOU, that the Representatives of one PGA to be considered employees or agents acting on behalf of any other PGA. Accordingly, the proper party to render a decision on administrative tort claims brought pursuant to the FTCA against a PGA Representative that arise from the Representative's participation in the CTAC is the Representative's employing agency. If an administrative tort claim is filed with a PGA that is not the Representative's employing agency, the claim will be referred to the Representative's employing agency for a decision.

15. DATE EFFECTIVE

This MOU shall become effective on March 15th, 2014, as evidenced by the signature of the authorized PGA representative, below.

16. MODIFICATIONS

This MOU may be amended only by written consent of all of the PGAs. The modifications shall have no force and effect unless such modifications are in writing and signed by an authorized representative of each PGA signatory.

17. ADDITION OF NEW PGAs TO THE CTAC

New PGAs may be added to the CTAC upon the written consent of all of the then existing PGAs and the new PGA's assent to the terms of this MOU which shall be confirmed in writing in an Appendix to this MOU. The Addendum shall be signed by CBP, as both representative of the existing PGAs to the CTAC MOU and in recognition of their expressed consent, and the new PGA.

18. TERMINATION

Any PGA may terminate participation under this MOU and withdraw from the CTAC upon one hundred twenty (120) days advance written notice to CBP, which will promptly disseminate such notice to all other PGAs. Termination of participation under this MOU by one PGA shall not result in the termination of the MOU with respect to the other PGAs. The terms of this MOU shall continue to apply with respect to any information provided by the withdrawing PGA to the CTAC, or accessed by the withdrawing PGA through CTAC operations.

(b)(6) &(b)(7)(C)

3/10/14

U.S. Customs and Border Protection (CBP)

DATE

(b)(6) &(b)(7)(C)

(b)(6) &(b)(7)(C)

3/10/14

U.S. Immigration and Customs Enforcement (ICE)

DATE

Elliot F. Kaye

Elliot F. Kaye

3/10/14

U.S. Consumer Product Safety Commission (CPSC)

DATE

Food Safety Inspection Service (FSIS)

DATE

(b)(6) &(b)(7)(C)

(b)(6) &(b)(7)(C)

Animal Plant Health Inspection Service (APHIS)

3/10/14

DATE

Pipeline and Hazardous Materials Safety Administration (PHMSA)

DATE

National Highway Traffic Safety Administration (NHTSA)

DATE

(b)(6) &(b)(7)(C)

(b)(6) &(b)(7)(C)

Environmental Protection Agency (EPA)

3/10/14

DATE

U.S. Food and Drug Administration (FDA)

DATE

(b)(6) &(b)(7)(C)

(b)(6) &(b)(7)(C)

3-10-14

U.S. Fish and Wildlife Service (FWS)

DATE

(b)(6) &(b)(7)(C)

(b)(6) &(b)(7)(C)

3/21/14

National Marine Fisheries Service (NMFS)

DATE

Animal Plant Health Inspection Service (APHIS)

DATE

(b)(6) &(b)(7)(C)

3/18/14

Pipeline and Hazardous Materials Safety Administration (PHMSA)

DATE

National Highway Traffic Safety Administration (NHTSA)

DATE

Environmental Protection Agency (EPA)

DATE

U.S. Customs and Border Protection (CBP)

DATE

U.S. Immigration and Customs Enforcement (ICE)

DATE

U.S. Consumer Product Safety Commission (CPSC)

DATE

(b)(6) & (b)(7)(C)

Food Safety Inspection Service (FSIS)

3/11/14
DATE

Animal Plant Health Inspection Service (APHIS)

DATE

Pipeline and Hazardous Materials Safety Administration (PHMSA)

DATE

(b)(6) & (b)(7)(C)

National Highway Traffic Safety Administration (NHTSA)

3-13-14

DATE

Environmental Protection Agency (EPA)

DATE

(b)(6) &(b)(7)(C)

U.S. Food and Drug Administration (FDA)

3/12/2014
DATE

U.S. Fish and Wildlife Service (FWS)

DATE

National Marine Fisheries Service (NMFS)

DATE

Appendix A

CBP:

- Automated Commercial System (ACS)
- Automated Commercial Environment (ACE)
- TECS¹
- Automated Targeting System-N (ATS-N)²³

CPSC:

- Integrated Field System (IFS)
- Import Examination Logbook
- Risk Assessment Methodology (RAM) system

FSIS:

- Assurance Net/In Commerce System (ICS)
- Public Health Inspection System (PHIS)

APHIS:

- Agriculture Quarantine Activity Systems (AQAS)
- Agriculture Risk Management (ARM) System
- SITC National Information, Communication, Activities System (SNICAS)

FDA (except where prohibited by law, such as by section 301(j) or 520(c) of the Federal Food, Drug, and Cosmetic Act):

- Mission Accomplishment and Regulatory Compliance Services (MARCS)
- Office of Regulatory Affairs Data Storage System (ORADSS)
- Case Management System (CMS)

FWS:

- Law Enforcement Management Information System (LEMIS)

¹ Access to TECS and access to any data maintained therein is conditioned upon the signing of a separate Memorandum of Understanding between DHS/CBP and each PGA.

² Because of the unique nature of CBP's Automated Targeting System (ATS) a separate appendix, Appendix B, is attached to address ATS access issues.

³ PGA ATS-N access is granted through ATS Government Client (GC).

Appendix B

Partner Government Agencies (PGA) – Inbound Cargo Automated Targeting System (ATS) Access

Pursuant to the Import Safety Commercial Targeting Analysis Center (CTAC) Memorandum of Understanding between the Department of Homeland Security, U.S. Customs and Border Protection (DHS/CBP) and Participating Government Agencies (PGAs), (hereinafter "the MOU"), DHS/CBP intends to provide designated representatives from the respective PGAs (Representatives) with access to the functionality of, and the ability to view relevant data (including data derived through CTAC operations which may be incorporated into ATS with the authorization of the originating PGA), available through CBP's Automated Targeting System – N (ATS-N), Government Client (GC), for purposes of carrying out the activities contemplated by the MOU and this Appendix. DHS/CBP will review each PGA's ATS-N-GC access, at least annually, to determine if all PGA Representatives have a sufficient need for such access. DHS/CBP will notify PGA Representatives of any change in its ATS-N-GC access status.

Designated PGA CTAC Representatives will be provided access to relevant DHS/CBP manifest and supporting entry information, as well as information incorporated into targeting rule sets from DHS/CBP sources and PGA sources (including PGA), through ATS-N-GC (CTAC Data), for use by PGAs in the prevention and investigation of violations of U.S. importation laws that affect United States interests in the import safety environment, consistent with the terms of the MOU. Accordingly, PGA CTAC Representatives will be allowed access to such data as a means to implement the recommendations of the Interagency Working Group on Import Safety, established under Executive Order 13439: (July 18, 2007). Any such access is subject to the terms of the MOU and this Appendix.

Each PGA will designate no more than two (2) employees as CTAC Representatives to be granted access to CTAC data through ATS-N-GC, consistent with the terms of the MOU and this Appendix, during their assignment to the CTAC, in Washington, DC. DHS/CBP Security Policy requires that all ATS users must have a completed, favorable full-field background investigation or U.S. Office of Personnel Management (OPM) Type 25 (Public Trust) background investigation. The designated PGA CTAC Representatives will not be granted access to ATS-N-GC without the required background investigation and approval of the PGA's request for system access (See CBP form 7300). Approval for ATS-N-GC access for the PGA CTAC Representatives

will be conditioned upon satisfaction of all security criteria required for access to the relevant DHS/CBP systems. Access to ATS-N-GC by PGA CTAC Representatives imposes security requirements on PGAs to adhere to DHS/CBP procedures for security administration, incident reporting, and security training awareness. PGAs will take steps to ensure that their CTAC Representatives complete the appropriate annual security training as required by the Federal Information Security Management Act, through CBP-provided web-based training, annual agency security training, and other training required by DHS/CBP.

The PGAs acknowledge that information available through ATS-N-GC is provided to the PGAs, through their PGA CTAC Representatives, consistent with the terms of the MOU and this Appendix, and only for the prevention and investigation of Federal violations of importation laws that affect United States interests in the import safety environment, which fall within each PGA's mission to prevent import safety violations and to protect the American public. Furthermore, PGAs acknowledge that information made available to them through ATS-N-GC shall be employed only for uses consistent with the aforementioned purpose, shall be handled in a manner consistent with applicable DHS/CBP policies, and in accordance with the terms of this MOU and this Appendix. In particular, information provided to PGAs cannot be released to any third party, as defined under the MOU, without the express, written consent of DHS/CBP.

PGAs also acknowledges the following: by accepting access to DHS/CBP systems and the information available therein, PGAs agree to assist, cooperate, and intercede on DHS/CBP's behalf to address and deal with any unauthorized release by PGA of the provided information. In accordance with 44 U.S.C. 3510(b), if DHS/CBP provides a PGA with access to data pursuant to the MOU and this Appendix, all the provisions of law (including penalties) that relate to the unlawful disclosure of information, apply to the officers and employees of the PGA to the same extent and in the same manner as the provisions would apply to DHS/CBP. Contractors, their subcontractors, and agents requiring access to the information shared under this MOU will be required to sign an agreement by which they will commit to keep the information confidential. Furthermore, in the event that DHS/CBP and/or PGA are sued or held liable in a civil action arising from an unlawful release by either DHS/CBP or PGA of the information provided to PGA by DHS/CBP, each acknowledges its own responsibility for cooperating with the Department of Justice in defending such lawsuit, as appropriate.

The unauthorized disclosure of data accessed or provided pursuant to the terms of the MOU or this Appendix, and/or failure to abide by any term thereof, will result in immediate deactivation of the access provided.

**MEMORANDUM OF UNDERSTANDING
FOR THE EXCHANGE OF AUTOMATED COMMERCIAL ENVIRONMENT
DATA
BETWEEN
U.S. CUSTOMS AND BORDER PROTECTION
ON BEHALF OF
THE DEPARTMENT OF HOMELAND SECURITY
AND
THE U.S. CONSUMER PRODUCT SAFETY COMMISSION**

1. PARTIES

The parties to this Memorandum of Understanding (MOU) are U.S. Customs and Border Protection (CBP), U.S. Department of Homeland Security (DHS), and the U. S. Consumer Product Safety Commission (CPSC) (collectively referred to as "the Parties").

2. AUTHORITY

This Agreement is authorized under the provisions of the Security and Accountability For Every Port Act of 2006 (SAFE Port Act), Public Law 109-347, the Homeland Security Act of 2002, Pub. L. 107-296, Executive Order 13439, July 18, 2007 and the Consumer Product Safety Improvement Act of 2008 (CPSIA), Public Law 110-314, 122 Stat. 3016 (August 14, 2008), the Consumer Product Safety Act (CPSA), Public Law 92-573, codified at 15 U.S.C. §§ 2051-2089, and other statutes set forth in Appendix A.

CPSC requires the data collected under the authority of the noted statutes to fulfill its statutory and regulatory duties and responsibilities. The CPSC is mandated to "protect the public against unreasonable risks of injury associated with consumer products," (see 15 U.S.C. § 2051(b)(1)), and to refuse the admission into the United States and interstate commerce of illegal, noncompliant, or hazardous products under the statutes administered by the CPSC.

3. PURPOSE

The objective of this MOU is to establish procedures and guidelines between CBP and CPSC to accommodate, facilitate and expedite the exchange of applicable data between the Parties via CBP's Automated Commercial Environment (ACE), including the International Trade Data System (ITDS) interface or successor systems. A further objective of this MOU is to consolidate all existing commercial trade and transportation data exchange MOUs, and similar information sharing agreements, between CBP and CPSC, so that CBP can furnish, exchange and transfer information from the appropriate electronic

systems to CPSC. The consolidation of the transfer of ACE data directly to CPSC and CBP, will conserve resources, decrease duplication, curtail expenses, eliminate inconsistencies and ensure compliance with the SAFE Port Act.

Consistent with the intent to have this ACE MOU become the principal authority for the exchange of trade and transportation data between the Parties, this MOU authorizes the exchange of all trade and transportation data between the parties. Trade and transportation data maintained in other systems may be exchanged pursuant to the authority of this agreement, and as that data becomes maintained by ACE or a successor system, will be exchanged pursuant to the authority of this agreement. Until such time as other commercial information systems are retired or decommissioned, MOUs providing for the exchange of information from those systems will remain in effect for data exclusively maintained in those systems. Additionally, this agreement has no effect upon the following agreements and the provisions set forth in the below paragraphs (1) and (2):

(b) (7)(E)

To accomplish the objectives of this MOU, the Parties will refer to the ACE (b) (7)(E) to define the data elements containing information subject to this exchange. A detailed list of those identified data elements is listed in Appendix A.

4. RESPONSIBILITIES

4.1 CBP Agrees:

- 4.1.1 To provide CPSC with access to information that fulfills the stated purpose of this MOU; in particular, ACE data that assists CPSC in the execution of its statutory and

regulatory duties, including any trade, manufacturing, certification, transportation, supply chain, testing or statistical data as required by the authorities set forth in Appendix A. CPSC will have (b) (7)(E)

(b) (7)(E)

- 4.1.2 To develop a mechanism for the electronic exchange of information with CPSC on the importation of consumer products, as defined in §3(a)(5) of the CPSA, and other products or substances regulated by CPSC which are listed in Appendix B. If HTSUS numbers are changed, this MOU would continue to allow access to information regarding the products under the new, equivalent HTSUS numbers.
- 4.1.3 To coordinate with CPSC regarding requests made under the Freedom of Information Act (5 U.S.C. §552) and Privacy Act (5 U.S.C. §552a), for ACE data which may be jointly owned between CBP and CPSC. If the information sought is owned exclusively by CPSC, CBP will refer the request to CPSC for processing.
- 4.1.4 To not disclose to third parties any information received from CPSC, for which CPSC is the owner, unless CPSC approves, in advance, the disclosure in writing.
- 4.1.5 To acknowledge its responsibility, pursuant to the Paperwork Reduction Act, for any unlawful release of any information provided by CPSC.
- 4.1.6 To the extent possible, notify CPSC of discrepancies identified in applicable ACE data of which CBP becomes aware.
- 4.1.7 To engage in timely exchanges of security-related information with CPSC related to critical infrastructure vulnerabilities, threat intelligence, and commercial security activities, such as with the Commercial Targeting and Analysis Center (CTAC) and/or the Customs and Trade Partnership Against Terrorism (C-TPAT) and related initiatives.
- 4.1.8 To keep confidential any information provided to CBP by CPSC under section 29(f) of the CPSA, 15 U.S.C. § 2078(f), according to those provisions.
- 4.1.9 To allow CPSC to periodically audit security measures utilized by CBP for accessing CPSC provided data.
- 4.1.10 In accordance with contracting and procurement regulations, to provide through an Interagency Agreement for the transfer of funds contemplated by such an agreement. (Appendix D is reserved for attaching applicable Interagency Agreement(s).)

4.2 CPSC Agrees:

- 4.2.1 To use CBP-provided ACE data to ensure compliance with CPSC laws and regulations, as required by the authorities listed in Appendix A.
- 4.2.2 To ensure, in accordance with the Privacy Act (5 U.S.C. §552a), that, if applicable, a System of Records Notice (SORN) will be timely published for any systems that will maintain ACE data received from CBP. Furthermore, to ensure, in accordance with the E-Government Act of 2002 (Public Law 107-347, 116 Stat. 2899), that a proper Privacy Act Impact Assessment (PIA) is published.
- 4.2.3 To assist CBP, to the degree applicable, in the development of a mechanism for the electronic exchange of information with CPSC on importation of consumer products, as defined in §3(a)(5) of the CPSA, and other products and substances regulated by CPSC which are listed in Appendix B.
- 4.2.4 To coordinate with CBP regarding requests made under the Freedom of Information Act (5 U.S.C. §552) and Privacy Act (5 U.S.C. §552a) for information that may be jointly owned between CBP and CPSC. If the information sought is exclusively owned by CBP, CPSC will refer the request to CBP for processing.
- 4.2.5 To not disclose to third parties information received from CBP, for which CBP is the owner, unless CBP approves, in advance, the disclosure in writing.
- 4.2.6 To acknowledge its responsibility, pursuant to the Paperwork Reduction Act, for any unlawful release of information provided by CBP.
- 4.2.7 To the extent possible, to notify CBP of discrepancies identified in ACE data received by CPSC.
- 4.2.8 In accordance with contracting and procurement regulations, to provide through an Interagency Agreement for the transfer of funds contemplated by such an agreement. (Appendix D is reserved for attaching applicable Interagency Agreement(s).)
- 4.2.9 To provide CBP with an annually updated list (*i.e.*, a user list) of CPSC field locations and personnel accessing CBP-generated information from ACE.
- 4.2.10 To ensure that all CPSC (b) (7)(E) who access CBP information, either via the (b) (7)(E) shall meet the ACE/ITDS Security requirements. (See Appendix C.)

- 4.2.11 To ensure that adequate security measures are in place and utilized to protect access to CBP information. (See Appendix C.)
- 4.2.12 To allow CBP to periodically audit security measures utilized by CPSC for accessing ACE and related ACE data. (See Appendix C.)
- 4.2.13 To provide CBP with information to fulfill the stated purpose of this MOU, in particular, product-specific data elements for all products regulated by CPSC.
- 4.2.14 To engage in timely exchanges of security-related information with CBP related to critical infrastructure vulnerabilities, threat intelligence, and commercial security activities, such as with the Commercial Targeting and Analysis Center (CTAC) and/or the Customs and Trade Partnership Against Terrorism (C-TPAT) and related initiatives.

5. OTHER PROVISIONS

5.1 Confidentiality:

- 5.1.1 The information described in this MOU is transferred between CPSC and CBP under the authority of 44 U.S.C. §3510(a), the SAFE Port Act, Public Law 109-347, and section 17(h) and 29(f) of the CPSA (15 U.S.C. §§ 2066(h) and 2078(f)), with the express understanding that the respective agencies are subject to and responsible for implementation of the Federal Information Security Management Act of 2002 (FISMA), 44 U.S.C. §3541, *et seq.*, the Privacy Act (5 U.S.C. §552a), the E-Government Act of 2002 (Public Law 107-347, 116 Stat. 2899) and the Office of Management and Budget (OMB) regulations, and any other regulations concerning information security that are in effect at the time of transfer.
- 5.1.2 CPSC and CBP personnel are subject to the Trade Secrets Act (18 U.S.C. §1905), which imposes personal liability upon Federal employees who disclose confidential commercial information contrary to the provisions of this Act.
- 5.1.3 The Parties understand and acknowledge that the information collected under each agency's respective legal authority and maintained in CBP's systems is highly sensitive commercial, financial and proprietary information, exempt from disclosure pursuant to the Freedom of Information Act (FOIA)(5 U.S.C. §552(b)(4)) and prohibited

from disclosure by the Trade Secrets Act (18 U.S.C. §1905).

- 5.1.4 CPSC and CBP agree that the information obtained from the other will only be used for the stated purposes and missions of this MOU and will not be disseminated to third parties outside of CBP and CPSC without the express written permission of the other.
- 5.1.5 The information should only be reviewed by authorized CPSC and CBP personnel on a need-to-know basis and should be kept secure. (See Appendix C.)
- 5.1.6 In accordance with 44 U.S.C. §3510(b), in the event that CBP and/or CPSC are sued in a civil action arising from an unlawful release by either CBP or CPSC of the ACE data which is related to this MOU, each agency acknowledges its own responsibility for assisting the Department of Justice in defending such lawsuit.
- 5.1.7 Unauthorized disclosure of information of CBP or CPSC information may result in denial of future access to the respective information.

5.2 It is Mutually Understood and Agreed That:

- 5.2.1 The above provisions will be exercised to the extent authorized by law, as consistent with Department of Homeland Security (DHS), CBP, and CPSC statutes, regulations, and directives, in support of the respective agencies' missions. DHS and each of its components are not considered "third parties" for purposes of this Agreement and therefore, transfers of information to DHS and any of its components are not considered third party disclosures under the terms of this Agreement.
- 5.2.2 This MOU is a formal expression of the purpose and intent of the Parties.
- 5.2.3 The Parties may jointly develop and implement additional agreements and plans to help fulfill the stated purpose of this MOU.
- 5.2.4 Transfers of funds contemplated by this MOU will be made in accordance with an Interagency Agreement. (Appendix D is reserved for attaching applicable Interagency Agreement(s).)
- 5.2.5 Public release of any data on the value or volume of imports is subject to the requirements of Office of Management and Budget Statistical Policy Directive Number 3. Parties authorized to access and/or release information obtained under this MOU are responsible for ensuring that no covered data, in any form, is released

prior to the official release of the international trade statistics without the approval of OMB.

6. ADMINISTRATION

- 6.1 The terms of this MOU may be modified upon the mutual written consent of the signatories or their statutory successors.
- 6.2 Amendments to the Standard Data Set and Listing of Legal Authorities (See Appendix A) or HTSUS list (See Appendix B) will be made through the issuance of an amended Appendix defining the amended fields.
- 6.3 Request for amendments to the Standard Data Set should be submitted to the CBP Point of Contact listed at the end of this document.
- 6.4 The general guidelines of this MOU may be supplemented by specific guidelines in accordance with local needs, subject to approval by both parties hereto, and to the degree necessary, with the guidance of the International Trade Data Base (ITDS) Board of Directors or its successor entity.

7. DATE EFFECTIVE

This MOU shall become effective upon the date of signature by the authorized agency representatives.

8. TERMINATION

Either party may revoke this MOU upon one hundred twenty (120) days written notice.

9. SEVERABILITY CLAUSE

Nothing in this MOU is intended to conflict with the current laws, regulations, or directives of DHS and CBP or CPSC. If a term of this MOU is inconsistent with such authority, then that term shall be invalid, but the remaining terms and conditions of this MOU shall remain in full force and effect.

10. EMERGENCY SITUATIONS

In the event that a national or regional disaster disrupts communications between CPSC and CBP, an emergency contingency plan shall become operational. The procedures of that system are to be agreed upon in Appendix E to this MOU.

CBP reserves the right to suspend, interrupt or modify as necessary any information sharing operations that are the result of this MOU during times of

national emergency as indicated by changes in the Homeland Security Advisory System.

11. NO PRIVATE RIGHT OF ACTION CREATED

This MOU is an internal government agreement and does not confer, grant or authorize any rights, privileges or obligations on any persons other than the undersigned parties and their official representatives.

12. RELATIONSHIP TO OTHER AUTHORITIES

Nothing in this MOU is intended to restrict CBP or CPSC from taking any action that the agencies would otherwise be authorized to take under law.

13. CONTACT INFORMATION FOR LIAISON OFFICES

The following offices will act as liaisons between CPSC and CBP for the purpose of coordinating the implementation of this MOU:

1. Contact for CPSC:

Director, Office of Import Surveillance

U.S. Consumer Product Safety Commission
(301) 504-7677

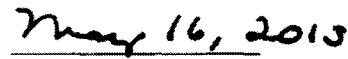
2. Contact for CBP:

(b) (6), (b) (7)(C)

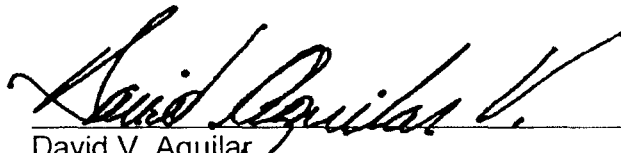
The undersigned approve the terms and conditions of this MOU and represent that they have the requisite authority to enter into it.



Inez M. Tenenbaum
Chairman
U. S. Consumer Product Safety Commission



Date



David V. Aguilar
Deputy Commissioner
U.S. Customs and Border Protection
Department of Homeland Security

3/20/13

Date

Appendix A

I. Statutory Authorities of the U.S. Consumer Product Safety Commission

Public Law 112-28 (August 12, 2011);

Consumer Product Safety Improvement Act of 2008 (CPSIA), Public Law 110-314, 122 Stat. 3016 (August 14, 2008);

Consumer Product Safety Act (CPSA), Public Law 92-573, codified at 15 U.S.C. §§ 2051-2089;

Federal Hazardous Substances Act (FHSA), Public Law 86-613, codified at 15 U.S.C. §§ 1261-1278;

Flammable Fabrics Act (FFA), Public Law 83-88, codified at 15 U.S.C. §§ 1191-1204;

Poison Prevention Packaging Act (PPPA), Public Law 91-601, codified at 15 U.S.C. §§ 1471-1477;

Refrigerator Safety Act (RSA), Public Law 84-930, codified at 15 U.S.C. §§ 1211-1214;

Children's Gasoline Burn Prevention Act, Public Law 110-278, codified at 15 U.S.C. § 2056 note; and

Virginia Graeme Baker Pool and Spa Safety Act, Public Law 110 – 140, codified at 15 U.S.C. §§ 8001-8008.

II. Identified Data Elements from the Standard Data Set

Attached is a document identified as Attachment A to Appendix A, listing the data elements and descriptions from the Standard Data Set that are subject to exchange between CBP and CPSC.

	A	B	F	G	H	I	J	K	L	M
1	APPENDIX A - Attachment A		Standard Data Set Ver. 4.8 as of August 2010				Process			
	PGA Data Element Name	PGA Description	SDS Ref.	WCO Group	SDS Data Elements Name	SDS Data Element Description	Manifest	Entry/Entry Summary	Transit	Derived-System-Internal
2										
3										
4	Account Status Code (CPSC)	Indicates the status of an account.	1959	c. General	Account Status Code	A code indicating the operating state of an account, e.g. active, suspended.				X
5	Customs Broker (CPSC)	Name and address, in whole or in part, of a party authorized to act on behalf of another party.	2027	e. Persons/parties	Agent Identifier	A combination of characters used to identify the party authorized to act on behalf of another party.	X	X	X	
6	Broker Contact Name and Address (CPSC)	The name and address, in whole or in part, of the contact involved in the transaction.	2081	e. Persons/parties	Agent Name and Address	Name and address, in whole or in part, of a party authorized to act on behalf of another party.	X	X		
7	Customs Broker (CPSC)	Name and address, in whole or in part, of a party authorized to act on behalf of another party.	2081	e. Persons/parties	Agent Name and Address	Name and address, in whole or in part, of a party authorized to act on behalf of another party.	X	X		
8	Amendment Code (CPSC)	Code specifying the reason for a change.	1781	c. General	Amendment Code	A code indicating the reason for a change.	X			
9	Applicable Special Trade Agreement (CPSC)	Which trade agreement applies to the import or export	1676	b. Duty/tax calculation	Applicable Special Trade Program Code	A code indicating the trade agreement which applies to the transaction.		X		
10	Applicant Identifier (CPSC)	A code used to identify the applicant for the inspection or other activity.	2216	e. Persons/parties	Applicant Identifier	A combination of characters used to identify the applicant for the inspection or other activity.		X		
11	Applicant Name and Address (CPSC)	The name and address, in whole or in part, of the person or organization applying for some government service.	2217	e. Persons/parties	Applicant Name and Address	The name and address, in whole or in part, of the person or organization applying for some government service.	X			

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12	LPC Applicant Name and Address (CPSC)	Name and address, in whole or in part, of the applicant that was issued the license, permit, certificate, or other document	2217	e. Persons/parties	Applicant Name and Address	The name and address, in whole or in part, of the person or organization applying for some government service.		X		
13	Arrival at Port with Intent to Unlade Date and Time (CPSC)	Date on which the conveyance transporting the merchandise from the foreign country arrived within the limits of the US port with the intent to unlade	1160	c. General	Arrival at Port with Intent to Unload Date and Time	Date on which the conveyance transporting the merchandise from the foreign country arrived within the limits of the US port with the intent to unload.	X	X		
14	Basis of Appraisement (CPSC)	Basis upon which the value of a commodity has been determined.	8	g. Value/commercial transaction info	Basis of Appraisement Code	A code indicating the basis upon which the value of a commodity has been determined.		X		
15	Bill of Lading Gross Weight (CPSC)	Weight (mass) of goods listed on the bill of lading including packing, but excluding the carrier's equipment.	9007	a. Commodity/shipment identification	Bill of Lading Gross Weight	Weight (mass) of goods listed on the bill of lading including packing, but excluding the carrier's equipment.	X	X	X	
16	Bill of Lading Issuer Identifier (CPSC)	The company issuing the bill of lading for the shipment of cargo.	510	f. Transportation information	Bill of Lading Issuer Identifier	A combination of characters used to identify the company issuing the bill of lading for the shipment of cargo.	X	X	X	
17	Bill of Lading Number (CPSC)	The number of the written order from a shipper to a carrier to move goods from one place to another.	1770	f. Transportation information	Bill of Lading Number	A combination of characters identifying the written order from a shipper to a carrier to move goods from one place to another.	X	X	X	
18	Bill of Lading Status (CPSC)	A code representing the status of the bill of lading for example regular, goods astray, international mail, freight remaining on board, etc.	932	f. Transportation information	Bill of Lading Status Code	A code indicating the character of the goods covered by the bill of lading, e.g. regular, goods astray, international mail, freight remaining on board.	X			
19	Bill of Lading Type (CPSC)	Indicates if the bill is master, house or sub-house.	2100	f. Transportation information	Bill of Lading Type Code	A code indicating the type of bill of lading, e.g. master, house.	X	X	X	

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20	Boarded Quantity (CPSC)	Total number shown on Bill of Lading or on split shipment. It will be the actual number of pieces boarded on the conveyance. (Not necessarily the smallest external packaging unit for the shipment).	1742	a. Commodity/shipment identification	Boarded Quantity	Total number of packages from a bill of lading actually loaded on the conveyance.	X			
21	Bond Amount (CPSC)	The specified amount of money, in U. S. dollars, which is the maximum amount that the surety will be required to pay in the event of the principal's default.	2086	c. General	Bond Amount	The specified amount of money, in U. S. dollars, which is the maximum amount that the surety will be required to pay in the event of the principal's default.		X		
22	Bond Identifier (CPSC)	A unique number used to identify the posted bond.	970	c. General	Bond Identifier	A combination of characters used to identify the posted bond.		X		
23	Bond Type Code (CPSC)	A code indicating the type of bond obtained from the surety company.	10	c. General	Bond Type Code	A code indicating the type of bond obtained from the surety company.		X	X	
24	Cargo Description (CPSC)	A description of the cargo in common trade terms.	1741	a. Commodity/shipment identification	Cargo Description	A description of the cargo in common trade terms.	X		X	
25	Cargo Destination Code (CPSC)	A code for the location where the exported cargo is destined.	1732	d. Country/place/location	Cargo Destination Code	A code indicating the location where the cargo is destined.	X	X	X	
26	Carrier Identifier (CPSC)	The alphanumeric code which uniquely identifies the party actually transporting the merchandise in the transaction.	2032	e. Persons/parties	Carrier Identifier	A combination of characters used to identify the party actually transporting the merchandise.	X	X	X	
27	Carrier Name and Address (CPSC)	The name and address, in whole or in part, of the party actually transporting the merchandise in the transaction.	2076	e. Persons/parties	Carrier Name and Address	The name and address, in whole or in part, of the party actually transporting the merchandise in the transaction.	X	X		

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28	Commercial Description of the Product (CPSC)	A narrative description of the commercial product as stated on the invoice and any other pertinent document. This description must be complete and in the English language. General tariff descriptions are not acceptable.	1879	a. Commodity/shipment identification	Commercial Description	A narrative description of the commercial product as stated on the invoice and any other pertinent document. This description must be complete and in the English language. General tariff descriptions are not acceptable.		X		
29	Commercial Item Quantity (CPSC)	The quantity of an item in commercial terms.	121	a. Commodity/shipment identification	Commercial Item Quantity	The quantity of an item in commercial terms.		X	X	
30	Commodity Category (CPSC)	Grouping of similar products into categories that are assigned by and useful to the PGAs.	1600	a. Commodity/shipment identification	Commodity Category Code	A code indicating the grouping of similar products into categories that are assigned by and useful to the PGAs.	X	X	X	
31	Commodity Category Qualifier (CPSC)	A term that differentiates the various types of categories used to classify commodities.	2102	a. Commodity/shipment identification	Commodity Category Type Code	A code indicating the type of category used to classify commodities.	X	X		
32	Commodity Characteristic (CPSC)	Descriptive information about the commodity that distinguishes it from similar commodities.	2103	a. Commodity/shipment identification	Commodity Characteristic Code	A code that distinguishes one commodity from other commodities.	X	X	X	
33	Commodity Characteristic Description (CPSC)	Descriptive information about the commodity that distinguishes it from similar commodities.	9099	a. Commodity/shipment identification	Commodity Characteristic Description	Descriptive information about the commodity that distinguishes it from similar commodities.		X		
34	Commodity Characteristic Qualifier (CPSC)	Descriptive information about the commodity that distinguishes it from similar commodities.	2104	a. Commodity/shipment identification	Commodity Characteristic Type Code	A code indicating the type of essential or distinctive attribute or quality of a commodity.	X	X	X	
35	Commodity Count (CPSC)	The number or count of a commodity in a shipment.	1010	a. Commodity/shipment identification	Commodity Count	The number or count of a commodity in a shipment.		X		
36	Commodity Country of Routing Code (CPSC)	Identification of a country through which goods or passengers are routed between the country of original departure and final destination.	417	d. Country/place/location	Commodity Country of Routing Code	The ISO 3166-1 code for the country through which goods are routed between the country of original departure and the country of final destination.	X	X		

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37	Commodity Dangerous Goods Description (CPSC)	A description of the way in which the goods are potentially dangerous or require special treatment.	2106	a. Commodity/shipment identification	Commodity Dangerous Goods Description	A description of the way in which the goods are potentially dangerous or require special treatment.	X		X	
38	Commodity Dangerous Goods Packing Group Code (CPSC)	A code to describe the packing requirements based on the relative degree of danger represented by the hazardous material.	1913	a. Commodity/shipment identification	Commodity Dangerous Goods Packing Group Code	A code indicating the packing requirements based on the relative degree of danger represented by the hazardous material.	X			
39	Commercial Description of the Product (CPSC)	A narrative description of the commercial product as stated on the invoice and any other pertinent document. This description must be complete and in the English language. General tariff descriptions are not acceptable.	112	a. Commodity/shipment identification	Commodity Description	Descriptive information that is sufficient to identify the commodity.		X	X	X
40	Commodity Exported Date and Time (CPSC)	The date and time the goods left the Customs territory of the exporting country.	659	c. General	Commodity Exported Date and Time	The date and time the goods left the customs territory of the exporting country.	X	X	X	
41	Commodity Country of Routing Code (CPSC)	Identification of a country through which goods or passengers are routed between the country of original departure and final destination.	9901	d. Country/place/location	Commodity Geographic Area of Routing Code	A code representing the geographic area through which goods are routed between the country of original departure and the country of final destination.		X		
42	Commodity Country of Routing Code (CPSC)	Identification of a country through which goods or passengers are routed between the country of original departure and final destination.	9902	d. Country/place/location	Commodity Geographic Area of Routing Name	The name of the geographic area through which goods are routed between the country of original departure and the country of final destination.		X		
43	Commodity Gross Weight (CPSC)	Weight of the listed cargo plus any packaging, but excluding weight of the carrier's equipment.	118	a. Commodity/shipment identification	Commodity Gross Weight	Weight of the listed cargo commodity plus any packaging, but excluding weight of the carrier's equipment.	X	X	X	

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44	Commodity Type Code (CPSC)	A code representing general character or structure held in common by commodities considered as a group.	1400	a. Commodity/shipment identification	Commodity Identifier	A combination of characters used to identify the commercially accepted commodity, e.g. PLU number, UPC code.	X	X		
45	CPSC Product Code (CPSC)	The National Electronic Injury Surveillance System (NEISS) product code.	1400	a. Commodity/shipment identification	Commodity Identifier	A combination of characters used to identify the commercially accepted commodity, e.g. PLU number, UPC code.	X	X		
46	Model Number (CPSC)	The model number assigned by the manufacturer or importer.	1400	a. Commodity/shipment identification	Commodity Identifier	A combination of characters used to identify the commercially accepted commodity, e.g. PLU number, UPC code.	X	X		
47	Commodity Classification Type (CPSC)	A qualifier to describe the commodity classification, e.g. Harmonized Tariff Schedule (HTS), Export Control Classification Code (ECCC), UNDG Code list, International Code of Zoological Nomenclature (ICZN).	1401	a. Commodity/shipment identification	Commodity Identifier Type Code	A code indicating the type of commercially accepted commodity identifier, e.g. PLU number, UPC code.	X	X		
48	Model Number (CPSC)	The model number assigned by the manufacturer or importer.	1401	a. Commodity/shipment identification	Commodity Identifier Type Code	A code indicating the type of commercially accepted commodity identifier, e.g. PLU number, UPC code.	X	X		
49	Commodity Intended Use Code (CPSC)	A code representing the intended use of the commodity.	2108	a. Commodity/shipment identification	Commodity Intended Use Code	A code indicating the intended use of the commodity.		X		
50	Commodity Intended Use Description (CPSC)	Text describing the intended use of the commodity.	2136	a. Commodity/shipment identification	Commodity Intended Use Description	A description of the planned use of the commodity.		X		
51	Commodity Lot Number (CPSC)	Identification number of a production lot.	541	a. Commodity/shipment identification	Commodity Lot Number	A combination of characters used to identify the commodity lot number defined by the manufacturer.		X		
52	Product/Description of Sample (CPSC)	A qualifier to describe the commodity name, e.g. common name, registered name, brand name	1606	a. Commodity/shipment identification	Commodity Name	The name used in referring to the commodity, e.g. common name, trade name, scientific name.	X	X	X	

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53	Commodity Name Qualifier (CPSC)	A qualifier to describe the commodity name e.g. common name, registered name, brand name	2178	a. Commodity/shipment identification	Commodity Name Type Code	A code indicating the type of name being reported, e.g. scientific name, common name.	X	X	X	
54	Commodity Country of Routing Code (CPSC)	Identification of a country through which goods or passengers are routed between the country of original departure and final destination.	9903	d. Country/place/location	Commodity Political Subunit of Routing Code	A code representing the political subunit, e.g. state or province, through which goods are routed between the country of original departure and the country of final destination.		X		
55	Commodity Country of Routing Code (CPSC)	Identification of a country through which goods or passengers are routed between the country of original departure and final destination.	9904	d. Country/place/location	Commodity Political Subunit of Routing Name	The name of the political subunit, e.g. state or province, through which goods are routed between the country of original departure and the country of final destination.		X		
56	Commodity Port of Loading Identifier (CPSC)	A code to identify a seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage.	2091	d. Country/place/location	Commodity Port of Loading Code	A code indicating a seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage.	X	X	X	
57	Commodity Port of Loading Name (CPSC)	The name of the seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage.	2201	d. Country/place/location	Commodity Port of Loading Name	The name of the seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage.		X		
58	Commodity Port of Unloading Identifier (CPSC)	A code to identify a seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage.	2092	d. Country/place/location	Commodity Port of Unloading Code	A code indicating the a seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage.	X	X	X	
59	Commodity Port of Unloading Name (CPSC)	The name of the seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage.	2202	d. Country/place/location	Commodity Port of Unloading Name	The name of the seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage.		X		

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60	Commodity Process Type Description (CPSC)	A description of the production method or other process applied to the commodity.	2109	a. Commodity/shipment identification	Commodity Process Code	A code indicating the type of process applicable to the commodity, e.g. method of growing, pretreatment, production method.		X		
61	Commodity Process Date (CPSC)	The date on which the process associated with the commodity was performed.	2152	a. Commodity/shipment identification	Commodity Process Date	The date on which the process associated with the commodity was performed.		X		
62	Commodity Process Date Qualifier (CPSC)	Whether the process date is a start date or an end date.	2153	a. Commodity/shipment identification	Commodity Process Date Type Code	A code indicating the type of process date, e.g. start date, end date.		X		
63	Commodity Process Type Description (CPSC)	A description of the production method or other process applied to the commodity.	2110	a. Commodity/shipment identification	Commodity Process Description	A description of the process applicable to the commodity, e.g. method of growing, pretreatment, production method.		X		
64	Commodity Prohibition Indicator (CPSC)	To identify a prohibited commodity from being imported or exported.	1406	a. Commodity/shipment identification	Commodity Prohibition Code	A code indicating the commodity is prohibited, e.g. import prohibited, export prohibited.				X
65	Commodity Restricted Country Code (CPSC)	Two-letter ISO code for the country with import and/or export restrictions	2111	a. Commodity/shipment identification	Commodity Restricted Country Code	The ISO 3166-1 code for the country with import from and/or export to restrictions.				X
66	Commodity Restriction Purpose Text (CPSC)	Further defines restrictions associated with import and/or export entities.	2112	a. Commodity/shipment identification	Commodity Restriction Purpose Text	A description of restrictions associated with import from and/or export to entities.				X
67	Commodity Restriction Qualifier (CPSC)	An indicator of whether the restriction refers to goods being exported or imported or both.	2113	a. Commodity/shipment identification	Commodity Restriction Type Code	A code indicating the type of restriction, e.g. import, export, import and export.				X
68	Commodity Country of Routing Type Code (CPSC)	A code to describe the country in relation to its position in the route of goods prior to loading on the importing conveyance, e.g. start of journey, intermediate destination, final destination, loading on importing conveyance.	2162	d. Country/place/location	Commodity Routing Type Code	A code indicating the routing location in relation to its position in the complete journey of the goods, e.g. start of journey, intermediate destination, final destination, loading on importing conveyance.	X	X	X	
69	Commodity Value (CPSC)	The value of the commodity. This may be different from the customs value.	2147	b. Duty/tax calculation	Commodity Value Amount	The monetary amount declared to be the value of the commodity.		X	X	

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70	Commodity Weight (CPSC)	The weight of the goods, excluding all packing.	2119	a. Commodity/shipment identification	Commodity Weight	The weight of the commodity, excluding all packing and packaging.	X	X	X	
71	Confidential Information Flag (CPSC)	An indicator that signifies that certain information about the commodity may/may not be released for public information	1592	c. General	Confidential Information Indicator	An indicator that certain information about the commodity may or may not be released for public information.		X		
72	Conformance Criteria Code (CPSC)	Code indicating specific conformance with statutory and regulatory requirements (e.g., NHTSA Form HS-7, FDA Affirmation of Compliance Code, EPA Form 3540-1, and EPA Form 3520, etc.).	19	c. General	Conformance Criteria Code	A code indicating the specific conformance with statutory and regulatory requirements, e.g. indication of the check box information from NHTSA Form HS-7, FDA Affirmation of Compliance Code.		X		
73	Conformance Criteria Description (CPSC)	A statement indicating specific conformance with statutory and regulatory requirements . Note: For possible future use in determining compliance with CPSC regulations.	458	c. General	Conformance Criteria Description	A statement indicating specific conformance with statutory and regulatory requirements .		X		
74	Consignee Identifier (CPSC)	The identifier for the party actually receiving the merchandise.	2035	e. Persons/parties	Consignee Identifier	A combination of characters used to identify the party actually receiving the merchandise.	X	X	X	
75	Consignee Name and Address (CPSC)	The name and address, in whole or in part, of the party actually receiving the merchandise.	2077	e. Persons/parties	Consignee Name and Address	The name and address, in whole or in part, of the party actually receiving the merchandise.	X	X	X	
76	Consolidated Shipment Indicator (CPSC)	An indicator that refers to a consolidated entry where a filer has combined several releases into one entry summary.	696	c. General	Consolidated Shipment Indicator	An indicator that an entry summary does or does not cover multiple entries, cargo releases.		X		
77	Dangerous Goods Contact (CPSC)	Name [and phone number] of Dangerous goods contact person/party who can provide detailed information regarding dangerous goods.	9023	e. Persons/parties	Contact Name and Address	The name and address, in whole or in part, of the contact involved in the transaction.	X	X	X	

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78	Conveyance Actual Departure Date and Time (CPSC)	The date and time the conveyance actually departed the Customs port of loading.	54	c. General	Conveyance Departure Date and Time	The date and time the conveyance departed the customs port of loading.	X			
79	Country of Export (CPSC)	The ISO country code from which the imported merchandise was shipped.	47	d. Country/place/location	Country of Export	The ISO 3166-1 code for the country from which the goods are originally exported without any commercial transaction having taken place in intermediate countries.		X		
80	Country of Origin (CPSC)	The location code required for reporting the country source in accordance with regulations and laws.	50	d. Country/place/location	Country of Origin for Tariff	The ISO code for the country of manufacture, production, or growth of any article of foreign origin entering the U.S. Further work or material add to an article in another country must effect a substantial transformation in order to render such other country the "country of origin."	X	X	X	
81	Currency Code (CPSC)	Code identifying the monetary currency used in the transaction.	113	g. Value/commercial transaction info	Currency Code	Code identifying the monetary currency used in the transaction.	X	X		
82	Currency Exchange Rate (CPSC)	The rate at which the currency of one country can be exchanged for the currency of another.	2184	g. Value/commercial transaction info	Currency Exchange Rate	The rate at which the currency of one country can be exchanged for the currency of another.		X		
83	Customs Value (CPSC)	Amount declared for Customs purposes of those goods in a consignment which are subject to the same Customs procedure, and have the same tariff/statistical heading, country information and duty regime.	114	b. Duty/tax calculation	Customs Value Amount	Amount declared for customs purposes of those goods in a consignment which are subject to the same customs procedure, and have the same tariff/statistical heading, country information and duty regime.		X		
84	Deconsolidator Identifier (CPSC)	Unique identifier of the deconsolidator.	9073	e. Persons/parties	Deconsolidator Identifier	A combination of characters used to identify the of the receiving party of a consolidated shipment, who divides the latter into its original single consignments and undertakes to make them	X			

	A	B	F	G	H	I	J	K	L	M
85	Deconsolidator Name and Address (CPSC)	Name and address, in whole or in part, of the receiving party of a consolidated shipment, who divides the latter into its original single consignments and undertakes to make them available to be delivered.	9075	e. Persons/parties	Deconsolidator Name and Address	Name and address, in whole or in part, of the receiving party of a consolidated shipment, who divides the latter into its original single consignments and undertakes to make them available to be delivered.	X			
86	Delivery Destination Name and Address (CPSC)	Name and address, in whole or in part, of the party who will receive the merchandise	1671	d. Country/place/location	Delivery Destination Name and Address	Name and address, in whole or in part, of the party who will receive the merchandise.	X	X	X	
87	Document Identifier (CPSC)	The identification number affixed to a document	1988	c. General	Document Identifier	A combination of characters used to identify the document.	X	X	X	
88	Invoice Number (CPSC)	The number assigned to each invoice that is unique within a transaction.	1988	c. General	Document Identifier	A combination of characters used to identify the document.	X	X	X	
89	Document Name (CPSC)	Name of the additional or supporting document.	2150	c. General	Document Name	Name of the additional or supporting document.	X	X	X	
90	Document Status (CPSC)	Describes the process stage for the document	2154	c. General	Document Status Code	A code indicating the process stage for the document.		X		X
91	Commercial Document Type Code (CPSC)	A code describing the document number provided in the controlling commercial document (i.e., invoice, P.O.).	2151	c. General	Document Type Code	A code indicating the type of document.	X	X	X	
92	Document Type Code (CPSC)	Type of the referenced additional document.	2151	c. General	Document Type Code	A code indicating the type of document.	X	X	X	
93	Duty/tax/fee amount (CPSC)	Amount of any duty, tax, fee, or other charge (including interest), and amount of reduction (if applicable) per duty/tax/fee type by item and/or declaration	2144	b. Duty/tax calculation	Duty/tax/fee Amount	Amount of any duty, tax, fee, or other charge (including interest), and amount of reduction (if applicable) per duty/tax/fee type by item and/or declaration.		X		
94	Duty/tax/fee Rate (CPSC)	Rate of duty or tax or fee applicable to commodities or of tax applicable to services	2155	b. Duty/tax calculation	Duty/tax/fee Rate	Rate of duty or tax or fee applicable to commodities or of tax applicable to services.		X		
95	Duty/tax/fee Type (CPSC)	Type of duty, tax, fee, or other charge applicable to a commodity or a declaration	2145	b. Duty/tax calculation	Duty/tax/fee Type Code	A code indicating the type of duty, tax, fee, or other charge applicable to a commodity or a declaration.		X		

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96	Elected Entry Date (CPSC)	Date used at the discretion of the filer for duty calculation of non-quota goods when there is no immediate transport entry date (related to immediate delivery)	55	c. General	Elected Entry Date	Date used at the discretion of the filer for duty calculation of non-quota goods when there is no immediate transport entry date (related to immediate delivery).		X		
97	Electronic Signature (CPSC)	An electronic representation of the signature of the entity	67	c. General	Electronic Signature	An electronic representation of the signature of the entity.	X	X		
98	Electronic Signature Date and Time (CPSC)	The date and time the electronic signature was assigned for the electronic transaction.	68	c. General	Electronic Signature Date and Time	The date the electronic signature was assigned for the electronic transaction.		X		
99	Electronic Signature Name (CPSC)		9039	e. Persons/parties	Electronic Signature Name	The name associated with the electronic signature for a transaction.		X		
100	Electronic Transaction Filed Date and Time (CPSC)	Date and time transaction information was filed.	57	c. General	Electronic Transaction Filed Date and Time	The date and time that the system recorded the filing of the electronic transaction.				X
101	Customs Broker (Filer) (CPSC)	Identification of the party preparing electronic data for transmission to a government agency.	2161	e. Persons/parties	Electronic Transaction Filer Identifier	A combination of characters used to identify the party preparing electronic data for transmission to a government agency.	X	X	X	
102	Electronic Transaction Transmitter Identifier (CPSC)	Identification of the party whose equipment is used to transmit the electronic data to a government agency.	2159	e. Persons/parties	Electronic Transaction Transmitter Identifier	A combination of characters used to identify the party whose equipment is used to transmit the electronic data to a government agency.	X	X	X	
103	Electronic Transaction Type (CPSC)	A code to describe the type of application (message type) being transmitted via electronic data interchange to a government agency	2160	c. General	Electronic Transaction Type Code	A code indicating the type of application (message type) being transmitted via electronic data interchange to a government agency.	X	X	X	
104	Entry Date (CPSC)	The date and time the goods are released except for immediate delivery, quota goods, or where importer/broker requests another date prior to release.	1783	c. General	Entry Date and Time	The date and time the goods are released except for immediate delivery, quota goods, or where importer/broker requests another date prior to release.	X	X		

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105	Entry Number (CPSC)	The unique identifier assigned to a goods declaration. The identifier consists of a code assigned to the importer or his agent, a filer-assigned number, and a check digit.	52	c. General	Entry Number	The unique identifier assigned to a goods declaration. The identifier consists of a code assigned to the importer or his agent, a filer-assigned number, and a check digit.	X	X		
106	Port of Entry (CPSC)	To identify a location at which a declaration is lodged	2099	d. Country/place/location	Entry Port Code	A code indicating the location at which a declaration is lodged.	X	X	X	
107	Port of Entry Name (CPSC)	The name of the location at which a declaration is lodged.	2204	d. Country/place/location	Entry Port Name	The name of the location at which a declaration is lodged.		X		
108	Entry Summary Date (CPSC)	Date the Entry Summary was filed.	496	c. General	Entry Summary Date	Date the Entry Summary was filed.				X
109	Entry Type (CPSC)	Classification of the transaction applicable for purposes of the Federal agency having jurisdiction, including temporary import and export types and informal.	65	c. General	Entry Type	Classification of the entry transaction, e.g. consumption entry, temporary import, or informal.	X	X	X	
110	Exception Claim Code (CPSC)	Reason for being exempt from rates of duty, quota status, excise tax or eligible for reduced rate.	70	a. Commodity/shipment identification	Exception Claim Code	A code indicating the reason for being exempt from rates of duty, quota status, excise tax, fees or eligible for reduced rate.		X		
111	Exporter Identifier (CPSC)	The number which uniquely identifies the party responsible for the export shipment, in accordance with the terms of delivery, terms of sales contract or other agreed to terms, and the meeting of statutory and regulatory requirements in the transaction.	2044	e. Persons/parties	Exporter Identifier	A combination of characters used to identify the party responsible for the export shipment, in accordance with the terms of delivery, terms of sales contract or other agreed to terms, and the meeting of statutory and regulatory requirements in the transaction.	X			

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112	Exporter Name and Address (CPSC)	he name and address, in whole or in part, of the party responsible for the export shipment, in accordance with the terms of delivery, terms of sales contract or other agreed to terms, and the meeting of statutory and regulatory requirements in the transaction.	2088	e. Persons/parties	Exporter Name and Address	The name and address, in whole or in part, of the party responsible for the export shipment, in accordance with the terms of delivery, terms of sales contract or other agreed to terms, and the meeting of statutory and regulatory requirements in the transaction.	X	X		
113	Facility Identifier (CPSC)	A unique identifier for a building or place that provides a particular service or is used for a particular industry	2046	e. Persons/parties	Facility Identifier	A combination of characters used to identify the building or place that provides a particular service or is used for a particular industry	X	X	X	
114	Disposal Location (CPSC)	Location of product destruction	2146	e. Persons/parties	Facility Name and Address	The name and address, in whole or in part, for a building or place that provides a particular service or is used for a particular industry.		X	X	
115	Facility Name and Address (CPSC)	The name and address, in whole or in part, for a building or place that provides a particular service or is used for a particular industry	2146	e. Persons/parties	Facility Name and Address	The name and address, in whole or in part, for a building or place that provides a particular service or is used for a particular industry.		X	X	
116	Facility Type (CPSC)	The class, category, kind, or sort of facility, e.g. bonded warehouse, container freight station, manufacturing site, etc.	2048	e. Persons/parties	Facility Type Code	A code indicating the type of building or place that provides a particular service or is used for a particular industry, e.g. bonded warehouse, container freight station, manufacturing site.	X	X	X	
117	FEU's Unloaded Count (CPSC)	The number of 40 foot equivalent containers unloaded on the conveyance.	548	f. Transportation information	FEU's Unloaded Count	The number of 40 foot equivalent containers unloaded from the conveyance.				X
118	Foreign Trade Zone Admission Date (CPSC)	A date the merchandise was actually entered into the FTZ.	707	c. General	Foreign Trade Zone Admission Date and Time	A date and time the merchandise was actually entered into the FTZ.				X
119	Foreign Trade Zone Admission Number (CPSC)	Unique identification number to be assigned for goods entered into an FTZ	708	c. General	Foreign Trade Zone Admission Number	Unique identification number to be assigned for goods entered into an FTZ.		X		

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120	Foreign Trade Zone Admission Type (CPSC)	A code to identify the type of Foreign Trade Zone admission.	645	c. General	Foreign Trade Zone Admission Type	Classification of the Foreign Trade Zone admission transaction.		X		
121	Foreign Trade Zone Status (CPSC)	Designate merchandise admitted into an FTZ as non-privileged foreign, privileged foreign, zone restricted, or domestic.	687	c. General	Foreign Trade Zone Commodity Status Code	A code indicating the designated class for merchandise admitted into an FTZ, e.g. non-privileged foreign, zone restricted.		X		
122	Foreign Trade Zone Commodity Status Filing Date (CPSC)	The filing date that corresponds to the current status of the commodity in the foreign trade zone. The date may be either the original admission filing date or the filing date of the application requesting a change in status.	953	c. General	Foreign Trade Zone Commodity Status Filing Date	The filing date that corresponds to the current status of the commodity in the foreign trade zone. The date may be either the original admission filing date or the filing date of the application requesting a change in status.		X		
123	Foreign Trade Zone Delivery Code (CPSC)	A code to indicate that the shipment into a Foreign Trade Zone is a preliminary or final shipment.	703	c. General	Foreign Trade Zone Delivery Code	A code indicating the delivery status of a shipment in an FTZ, e.g. final, preliminary.		X		
124	Foreign Trade Zone Identifier (CPSC)	The number which uniquely identifies the Foreign Trade Zone where cargo is shipped to or from for assembly, manufacture, packaging, destruction.	2051	d. Country/place/location	Foreign Trade Zone Identifier	A combination of characters used to identify the Foreign Trade Zone where cargo is shipped to or from for assembly, manufacture, packaging, destruction.		X		
125	Foreign Trade Zone Name and Address (CPSC)	Name and address, in whole or in part, of the Foreign Trade Zone where cargo is shipped to or from for assembly, manufacture, packaging, destruction.	2083	d. Country/place/location	Foreign Trade Zone Name and Address	Name and address, in whole or in part, of the Foreign Trade Zone where cargo is shipped to or from for assembly, manufacture, packaging, destruction.				X

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126	Freight Charges (CPSC)	Costs incurred by the shipper in moving the goods, by whatever means, to the port or place of importation/exportation as specified by national legislation. Besides transport costs, this element may include sub-elements such as documentation, loading, unloading and insurance, to the extent that they relate to the freight cost.	433	g. Value/commercial transaction info	Freight Charges Amount	Costs incurred by the shipper in moving the goods, by whatever means, to the port or place of importation/exportation as specified by national legislation. Besides transport costs, this element may include sub-elements such as documentation, loading, unloading and insurance, to the extent that they relate to the freight cost.		X		
127	Freight Rate (CPSC)	Actual freight rate charged for each mode of transportation used for an export or import movement. Per trade transaction	1100	f. Transportation information	Freight Rate	Actual freight rate charged for each mode of transportation used for an export or import movement.	X			
128	Freight Rate Type (CPSC)	Type of freight rate: i.e. Ocean, Inland Foreign, Inland U.S., etc.	522	f. Transportation information	Freight Rate Type Code	A code indicating the type of freight rate: i.e. Ocean, Inland Foreign, Inland U.S., etc.	X			
129	Goods Status Code (CPSC)	The status of the goods as a result of an action by the declarant or government agency.	2125	c. General	Goods Status Code	A code indicating the status of the goods as a result of an action by the declarant or government agency.	X	X		
130	Government Agency Action Code (CPSC)	A code that identifies the government action taken.	2126	c. General	Government Agency Action Code	A code indicating the government action taken.				X
131	Date sample collected (CPSC)	The date and time the sample was taken by the agency.	2127	c. General	Government Agency Action Date and Time	The date and time the action was taken by the agency.				X
132	Government Agency Action Instructions (CPSC)	Instructions to the trade or another government agency documented as a result of the action taken by an agency.	2128	c. General	Government Agency Action Instructions	Instructions to the trade or another government agency documented as a result of the action taken by an agency.				X
133	Government Agency Action Justification (CPSC)	A description of the reasons the agency had for collecting the sample	2129	c. General	Government Agency Action Justification	A description of the reasons the agency had for taking the action.				X
134	Government Agency Action Text (CPSC)	A general description of the action taken.	2130	c. General	Government Agency Action Text	A general description of the action taken.				X

	A	B	F	G	H	I	J	K	L	M
135	Government Agency Code (CPSC)	A unique code which identifies a specific Government Agency.	1797	e. Persons/parties	Government Agency Code	A code indicating a specific Government Agency.		X		X
136	Government Agency Employee Identifier (CPSC)	A unique number assigned by a government agency to one of its employees.	1876	e. Persons/parties	Government Agency Employee Identifier	A combination of characters used by a government agency to identify an employee.				X
137	Name/Title (CPSC)	Name of the government employee involved in the transaction.	1914	e. Persons/parties	Government Agency Employee Name	Name of the government employee involved in the transaction.		X		X
138	Government Agency Findings Text (CPSC)	A description of findings or exam results after inspection.	2140	c. General	Government Agency Findings Text	A description of findings or exam results after inspection.				X
139	Government Agency Inspection Required (CPSC)	Flag that indicates shipments require inspection by a government agency who regulates a specific commodity.	425	c. General	Government Agency Inspection Required Indicator	An indicator that the shipment does or does not require inspection by a PGA who regulates a specific commodity.				X
140	Government Agency Inspection Verification Quantity (CPSC)	Quantity of goods actually imported/exported as reported by an inspector or officer.	83	c. General	Government Agency Inspection Verification Quantity	Quantity of goods actually imported/exported as reported by an inspector or officer.				X
141	Government Agency Inspection Verification Value (CPSC)	Value of goods actually imported/exported as reported by an inspector or officer.	84	c. General	Government Agency Inspection Verification Value Amount	Monetary value of goods actually imported/exported as reported by a person authorized by a government agency.				X
142	Government Agency Name and Address (CPSC)	Name and Address, in whole or in part, of the Government Agency with import and/or export requirements.	556	e. Persons/parties	Government Agency Name and Address	Name and Address, in whole or in part, of the Government Agency.		X		X
143	Government Agency Team Identifier (CPSC)	An identifier assigned to a group of employees by a government agency.	956	e. Persons/parties	Government Agency Team Identifier	A combination of characters used to identify a group of employees by a government agency.		X		X
144	Conveyance Actual Arrival Date and Time (CPSC)	The actual date and time of the arrival of the conveyance at the port of arrival.	63	c. General	Import Date	The date the cargo entered the customs territory of the United States.		X		

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145	Import to U.S Jurisdiction Date and Time (CPSC)	Actual date of landing, bringing, or introduction at any place subject to the jurisdiction of the United States, whether or not such landing, bringing, or introduction constitutes an import within the tariff laws of the U.S. e.g. Termed "Date of Import"	1927	c. General	Import to U.S Jurisdiction Date and Time	Actual date of landing, bringing, or introduction at any place subject to the jurisdiction of the United States, whether or not such landing, bringing, or introduction constitutes an import within the tariff laws of the U.S. e.g. Termed "Date of Import".		X		
146	Importer Identifier (CPSC)	The number which uniquely identifies the party responsible for the import shipment, the payment of related duties, taxes or fees, and the meeting of all import statutory and regulatory requirements in the transaction.	2056	e. Persons/parties	Importer Identifier	A combination of characters used to identify the party responsible for the import shipment, the payment of related duties, taxes or fees, and the meeting of all import statutory and regulatory requirements in the transaction.	X	X		
147	Contact Name and Address (CPSC)	The name and address, in whole or in part, of the contact involved in the transaction.	2080	e. Persons/parties	Importer Name and Address	Name and address, in whole or in part, of the party responsible for the import shipment, the payment of related duties, taxes or fees, and the meeting of all import statutory and regulatory requirements in the transaction.	X	X		
148	Importer Name and Address (CPSC)	Name and address, in whole or in part, of the party responsible for the import shipment, the payment of related duties, taxes or fees, and the meeting of all import statutory and regulatory requirements in the transaction.	2080	e. Persons/parties	Importer Name and Address	Name and address, in whole or in part, of the party responsible for the import shipment, the payment of related duties, taxes or fees, and the meeting of all import statutory and regulatory requirements in the transaction.	X	X		
149	In-Bond Date and Time (CPSC)	The date of the in-bond entry.	2097	c. General	In-Bond Date and Time	The date of the in-bond entry preparation, not to precede the date of arrival of the importing conveyance.		X	X	
150	In-Bond Destination (CPSC)	In-bond destination.	491	d. Country/place/locatio n	In-Bond Destination Port Code	A code indicating the the place to which the goods are destined under an in- bond movement..	X		X	

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151	In-Bond Number (CPSC)	A unique reference to a movement of cargo under bond.	938	f. Transportation information	In-Bond Number	A combination of characters used to identify a movement of cargo under customs	X	X	X	
152	Examination Site/Establishment Where Sample Collected Identifier (CPSC)	The code that identifies the location where the goods are inspected, e.g. FIRMS Code	537	d. Country/place/location	Inspection Location Identifier	A combination of characters used to identify inspection location, e.g. FIRMS code, house, etc.	X	X	X	
153	Name of the Examination Site/Establishment Where Sample Collected (CPSC)	The name and address, in whole or in part, of the location where the goods are inspected.	2205	d. Country/place/location	Inspection Location Name and Address	The name and address, in whole or in part, of the location where the goods are inspected.		X		
154	International Transaction Number (CPSC)	Unique number that identifies each import, export, and in-transit shipment of goods in international trade.	87	a. Commodity/shipment identification	International Transaction Number	Unique identifier assigned to goods being subject to cross border transactions.	X	X	X	
155	Invoice Level Filing Indicator (CPSC)	A code to indicate that the filer either has submitted or is capable of submitting electronic invoice level data	702	c. General	Invoice Filing Indicator	An indicator that a filer is or is not capable of filing an electronic invoice for the entry.		X		
156	Itinerary Port Code (CPSC)	The code that identifies a single port of call of a means of transport.	2090	d. Country/place/location	Itinerary Port Code	A code indicating a single port of call of a means of transport.	X	X		
157	Itinerary Port Name (CPSC)	Name of a single port of call or other location of the itinerary of a means of transport	2171	d. Country/place/location	Itinerary Port Name	Name of a single port of call or other location of the itinerary of a means of transport.	X			
158	Liquidation Date (CPSC)	Date of the liquidation (final review of the entry)	2148	c. General	Liquidation Date	The date of final review of the entry.				X
159	Liquidation Date Qualifier (CPSC)	The type of liquidation date, e.g. deemed, actual, projected	2149	c. General	Liquidation Date Type Code	A code indicating the type of liquidation date, e.g. deemed, actual, projected.				X
160	Location of Goods Identifier (CPSC)	Identifies the location of goods.	1666	d. Country/place/location	Location of Goods Identifier	A combination of characters used to identify the location of goods.	X	X	X	
161	Location of Goods Name and Address (CPSC)	The name and address, in whole or in part, of the location where the goods are currently being held.	2206	d. Country/place/location	Location of Goods Name and Address	The name and address, in whole or in part, of the location where the goods are currently being held.		X		

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162	LPCO Allowed Quantity (CPSC)	The maximum unit amount authorized on the license, permit, certificate or registration document. This value will be decremented when the importation of the LPCO goods are	1955	c. General	LPCO Allowed Quantity	The maximum quantity authorized on the license, permit, certificate, or other required document. This quantity will be decremented when the goods are imported.				X
163	LPCO Authorized Party Identifier (CPSC)	The number which uniquely identifies the party to whom the license, permit, certificate, or other required document (LPCO) is issued.	2183	e. Persons/parties	LPCO Authorized Party Identifier	A combination of characters used to identify the party to whom the license, permit, certificate, or other required document is issued.		X		
164	Authorized Party Name (CPSC)	Name and address, in whole or in part, of party to whom the license, permit, certificate, or other required document (LPCO) is issued.	1952	e. Persons/parties	LPCO Authorized Party Name and Address	Name and address, in whole or in part, of party to whom the license, permit, certificate, or other required document is issued.		X		
165	LPCO Date of Expiration Caveat (CPSC)	Explanation of certain conditions by which the expiration date may be exceeded.	1089	c. General	LPCO Date of Expiration Caveat	Explanation of certain conditions by which the expiration date of a license, permit, certificate, or other required document may be exceeded.				X
166	LPCO Effective Date (CPSC)	The first date the license, permit, certificate or other document is valid.	2172	c. General	LPCO Effective Date	The first date a license, permit, certificate, or other required document is valid.				X
167	LPCO Exemption Code (CPSC)	A type of exemption from a license, certificate, registration or other documentation, or an indication that no LPCO is required.	665	c. General	LPCO Exemption Code	A code indicating the exemption from the necessity of obtaining a license, permit, certificate, or other required document.		X		
168	LPCO Expiration Date and Time (CPSC)	Date and time upon which the license, permit, certificate, or other required document (LPCO) ceases to be in effect.	1831	c. General	LPCO Expiration Date and Time	Date and time upon which the license, permit, certificate, or other required document (LPCO) ceases to be in effect.		X		
169	LPCO Issuance Place Identifier (CPSC)	The code which identifies the place where a license, permit, certificate, or other document was issued.	2169	c. General	LPCO Issuance Place Identifier	A combination of characters used to identify the place where a license, permit, certificate, or other required document was issued.		X		

	A	B	F	G	H	I	J	K	L	M
170	LPCO LPCO Issuance Place Name and Address (CPSC)	The name and address, in whole or in part, of the place where a license, permit, certificate or other document was issued.	2207	c. General	LPCO Issuance Place Name and Address	The name and address, in whole or in part, of the place where a license, permit, certificate or other required document was issued.				X
171	LPCO Issued Date and Time (CPSC)	Date and time the certificate, permit, license, registration or other document was issued	1129	c. General	LPCO Issued Date and Time	Date and time the license, permit, certificate, or other required document was issued.				X
172	LPCO Issuing Agency Code (CPSC)	The agency code which identifies who issued the license, permit, certificate or registration.	9061	c. General	LPCO Issuing Agency Code	A code indicating the agency issuing the license, permit, certificate or other required document.				X
173	LPCO Issuing Agency Name and Address (CPSC)	The name and address, in whole or in part, of the agency who issued the license, permit, certificate, or other required document (LPCO).	1397	e. Persons/parties	LPCO Issuing Agency Name and Address	The name and address, in whole or in part, of the agency who issued the license, permit, certificate, or other required document.		X		X
174	LPCO Name (CPSC)	Title of the LPCO form.	1096	c. General	LPCO Name	Title of the LPCO form.	X	X		
175	LPCO Number (CPSC)	A unique number assigned by a U.S. government agency or a foreign authority to a license, permit, certificate, registration, or other document.	1757	c. General	LPCO Number	A unique number assigned by a U.S. government agency or a foreign authority to a license, permit, certificate, or other required document.	X	X	X	X
176	LPCO Permitted Port (CPSC)	The port through which the authorized party is allowed to bring the goods identified on the permit.	2177	d. Country/place/location	LPCO Permitted Port Code	A code indicating the port through which the authorized party is allowed to bring the goods identified on the permit.				X
177	LPCO Receipt Date and Time (CPSC)	Date the certificate, permit, license or registration was received.	1938	c. General	LPCO Receipt Date and Time	Date the certificate, permit, license or other required document was received.				X
178	LPCO Status (CPSC)	Describes the process stage for the license, permit, certificate, or other document.	1832	c. General	LPCO Status Code	A code indicating the process stage for the license, permit, certificate, or other required document.				X

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179	LPCO Status Reason (CPSC)	The justification for the license, permit, certificate or other document status.	1132	c. General	LPCO Status Reason	The justification for the license, permit, certificate or other required document status.				X
180	LPCO Type Code (CPSC)	A code indicating the type of document referenced.	235	c. General	LPCO Type Code	A code indicating the type of license, permit, certificate, or other required document.	X	X	X	
181	Manifest Number (CPSC)	A sequential number within a particular Customs District/Port, sequenced by direction; assigned by Customs for the particular (unique) vessel movement	479	f. Transportation information	Manifest Number	A sequential number assigned by CBP for a particular vessel movement.	X			
182	Message Action Code (CPSC)	A code indicating the purpose of the message.	131	c. General	Message Action Code	A code indicating action to performed with the data in the transaction, e.g. create, change, delete.	X	X	X	
183	Mode of Transportation Code (CPSC)	A code representing the method of transport used for the conveyance of goods, (e.g. by rail, by road, by sea).	188	f. Transportation information	Mode of Transportation Code	A code indicating the method of transport used for the conveyance of goods, e.g. by rail, by road, by sea.	X	X	X	
184	Notify Party Identifier (CPSC)	The number which uniquely identifies the person or organization to be notified concerning the transaction.	2030	e. Persons/parties	Notify Party Identifier	A combination of characters used to identify the person or organization to be notified concerning the transaction.	X	X	X	
185	Notify Party Name and Address (CPSC)	The name and address, in whole or in part, of the person or organization to be notified concerning the transaction.	2075	e. Persons/parties	Notify Party Name and Address	The name and address, in whole or in part, of the person or organization to be notified concerning the transaction.	X	X	X	
186	Operator Identifier (CPSC)	The identifier for the party responsible for the day to day operational management of conveyances, transport equipment, or facilities.	2208	e. Persons/parties	Operator Identifier	A combination of characters used to identify the party responsible for the day to day operational management of conveyances, transport equipment, or facilities.		X		

	A	B	F	G	H	I	J	K	L	M
187	Operator Name and Address (CPSC)	The name and address, in whole or in part, of the party responsible for the day to day operational management of conveyances, transport equipment, or facilities.	2139	e. Persons/parties	Operator Name and Address	The name and address, in whole or in part, of the party responsible for the day to day operational management of conveyances, transport equipment, or facilities.		X		
188	Owner Identifier (CPSC)	An identifier of the owner of the conveyance or equipment.	218	e. Persons/parties	Owner Identifier	A combination of characters used to identify the entity who holds the title of the property.		X		
189	Owner Name and Address (CPSC)	Name and address, in whole or in part, of the owner of the conveyance or equipment.	2138	e. Persons/parties	Owner Name and Address	Name and address, in whole or in part, of the entity who holds the title of the property.		X		
190	Package Quantity (CPSC)	The number of packages in a package hierarchy	2181	a. Commodity/shipment identification	Package Quantity	Number of individual items packaged in such a way that they cannot be divided without first undoing the packaging.		X		
191	Package Type Description (CPSC)	Type of package product is shipped in, e.g. carton, crate, sack, etc.	1648	a. Commodity/shipment identification	Package Type Code	A code indicating the type of package in which the product is contained, e.g. carton, crate, sack.	X	X	X	
192	Packaging Certification Statement Flag (CPSC)	A flag certifying the manifest contains the required attestation that the shipment has been properly packaged and is in proper condition for	1915	a. Commodity/shipment identification	Packing Certification Statement Indicator	An indicator that the filer attests or does not attest that the commodity is packaged in proper condition for transportation.		X		
193	Party Code Qualifier (CPSC)	Indicates the scheme used to create the identifier used to identify a person or party, e.g. DUNS, EIN (Employer ID number)	9078	c. General	Party Identifier Qualifier	A code indicating the scheme used to create the person or party identifier, e.g. DUNS, EIN (Employer ID number).	X	X	X	
194	Place Code Qualifier (CPSC)	Indicates the scheme used to create the identifier used to identify the country, place or location, e.g. UN/LOCODE, ISO, Schedule D	9080	d. Country/place/location	Place Identifier Qualifier	A code indicating the scheme used to create the country, place or location identifier, e.g. UN/LOCODE, ISO, Schedule D.	X	X	X	

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195	Port of Processing Code (CPSC)	Port code of the Customs port where the import specialist who processed the entry is located.	657	d. Country/place/location	Port of Processing Code	A code indicating the customs port where the import specialist who processed the entry is located.		X	X	
196	Producer/Manufacturer Identifier (CPSC)	The number which uniquely identifies the party responsible for the manufacture, growth, production, canning, and/or assembly of the commodity.	2058	e. Persons/parties	Producer/Manufacturer Identifier	A combination of characters used to identify the party responsible for the manufacture, growth, production, canning, and/or assembly of the commodity.	X	X		
197	Producer/Manufacturer Name and Address (CPSC)	The name and address, in whole or in part, of the party responsible for the manufacture, growth, production, canning, and/or assembly of the commodity.	2087	e. Persons/parties	Producer/Manufacturer Name and Address	The name and address, in whole or in part, of the party responsible for the manufacture, growth, production, canning, and/or assembly of the commodity.	X	X		
198	Purchaser/Buyer Identifier (CPSC)	The identifier of the party who contracts the purchase of the commodity or service.	2061	e. Persons/parties	Purchaser/Buyer Identifier	A combination of characters used to identify the party who purchases the commodity.	X	X		
199	Purchaser/Buyer Name and Address (CPSC)	The name and address, in whole or in part, of the party who contracts the purchase of the commodity or service.	2093	e. Persons/parties	Purchaser/Buyer Name and Address	The name and address, in whole or in part, of the party who contracts the purchase of the commodity or service.	X	X		
200	Rate Type Code (CPSC)	Indicates whether the value captured in the rate field is expressed as a percentage of the commodity's value or as a per-unit amount. This can vary based on commodity type.	554	b. Duty/tax calculation	Rate Type Code	A code indicating the type of rate value, e.g. a percentage of the commodity's value (ad valorem), per-unit amount (specific).				X
201	Relationship Type Code (CPSC)	A code to indicate what type of relationship exists between two parties involved in the transaction.	149	g. Value/commercial transaction info	Relationship Type Code	A code indicating the type of relationship existing between two parties involved in the transaction.		X		
202	Remarks Code (CPSC)	Code representing text remarks	1454	c. General	Remarks Code	A code indicating the remark.		X		
203	Remarks Text (CPSC)	Specific information relevant to the shipment or the commodity.	1654	c. General	Remarks Text	Specific information relevant to the shipment or the commodity.	X	X	X	

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204	Remarks Qualifier (CPSC)	A qualifier to identify the type of the remarks.	2186	c. General	Remarks Type Code	A code indicating the type of remarks.	X	X		X
205	Request for Acknowledgement of Receipt Indicator (CPSC)	Provides the filer with the ability to request notification that the government has accepted the declaration in an error-free status.	150	c. General	Request for Acknowledgement of Receipt Indicator	An indicator that the filer wishes to receive or does not wish to receive an electronic acknowledgement when the government has accepted the declaration.	X	X	X	
206	Operator Function Type (CPSC)	A code describing the type of conveyance, transport equipment, or facility for which the entity is the operator.	9044	e. Persons/parties	Role Code	A code indicating the specific function of a party, e.g. Intermediary Carrier; Ultimate Carrier; Onward Carrier; Intermediate Consignee; Deliver to Party;	X	X	X	
207	Owner Type (CPSC)	A code describing the type of transport device for which the entity is the owner, e.g. conveyance, equipment, or other.	9044	e. Persons/parties	Role Code	A code indicating the specific function of a party, e.g. Intermediary Carrier; Ultimate Carrier; Onward Carrier; Intermediate Consignee; Deliver to Party; Consignee Ultimate.	X	X	X	
208	Role Code (CPSC)	Identifies a specific party role (i.e. Intermediary Carrier; Ultimate Carrier; Onward Carrier; Intermediate Consignee; Deliver to Party; Consignee Ultimate; Individual; etc).	9044	e. Persons/parties	Role Code	A code indicating the specific function of a party, e.g. Intermediary Carrier; Ultimate Carrier; Onward Carrier; Intermediate Consignee; Deliver to Party; Consignee Ultimate.	X	X	X	
209	Seal Number (CPSC)	Unique identifier on the seal affixed to a container, equipment, or conveyance.	154	f. Transportation information	Seal Number	Unique identifier on the seal affixed to a container, equipment, or conveyance.	X		X	
210	Seller/Vendor Identifier (CPSC)	The number which uniquely identifies the party who actually offers the goods for sale and/or contracts for their sale. May be different than the exporter in the transaction.	2065	e. Persons/parties	Seller/Vendor Identifier	A combination of characters used to identify the party who actually offers the goods for sale.	X	X		

	A	B	F	G	H	I	J	K	L	M
211	Seller/Vendor Name and Address (CPSC)	The name and address, in whole or in part, of the party who actually offers the goods for sale and/or contracts for their sale. May be different than the exporter in the transaction. CBP: The last named overseas (foreign) sellers/addresses on the transaction invoice/purchase order.	2173	e. Persons/parties	Seller/Vendor Name and Address	The name and address, in whole or in part, of the party who actually offers the goods for sale and/or contracts for their sale. May be different than the exporter in the transaction.	X	X		
212	Sequence Number (CPSC)	Number indicating the position in a sequence.	130	c. General	Sequence Number	Number indicating the position in a sequence.	X	X	X	
213	Shipment Type Code (CPSC)	An code to indicate that the goods are eligible to be treated in a specific manner according to customs regulation.	2212	c. General	Shipment Type Code	A code indicating the way in which the goods are eligible to be treated based on customs regulations.		X		
214	Shipper Identifier (CPSC)	The number which uniquely identifies the party that arranges shipment with a carrier in the transaction.	2069	e. Persons/parties	Shipper/Consignor Identifier	A combination of characters used to identify the party that arranges shipment with a carrier in the transaction.	X	X	X	
215	Shipper/Consignor Name and Address (CPSC)	Name and address, in whole or in part, of the party that arranges shipment with a carrier in the transaction.	2079	e. Persons/parties	Shipper/Consignor Name and Address	Name and address, in whole or in part, of the party that arranges shipment with a carrier in the transaction.	X	X	X	
216	Shipping Marks (CPSC)	Free form text which describes in full the shipping marks and numbers found on the outside of equipment, containers, and packaging units (e.g., boxes).	2014	a. Commodity/shipment identification	Shipping Marks	Free form text which describes in full the shipping marks and numbers found on the outside of equipment, containers, and packaging units, e.g., boxes.	X	X	X	
217	Shipping Quantity (CPSC)	A count of the smallest external packaging units for the shipment.	160	a. Commodity/shipment identification	Shipping Quantity	A count of the smallest external packaging units for the shipment.	X	X	X	
218	Special Handling Instructions Description (CPSC)	Directions for handling and/or delivery for cargo.	1978	f. Transportation information	Special Instructions Description	Information about extraordinary cargo conditions, e.g. handling precautions, delivery instruction.	X			

	A	B	F	G	H	I	J	K	L	M
219	Split Shipment Indicator (CPSC)	An indicator that a shipment has been divided and part of the shipment is exported on one conveyance and part on another conveyance of the same transportation.	705	f. Transportation information	Split Shipment Indicator	An indicator as to whether a shipment meant to arrive on one conveyance has been divided among multiple conveyances.	X			
220	Surety Identifier (CPSC)	The identifier of the party who agrees to be responsible for the debt or obligation of another.	2071	e. Persons/parties	Surety Identifier	A combination of characters used to identify the party who agrees to be responsible for the debt or obligation of another.	X	X	X	
221	Surety Name and Address (CPSC)	Name and address, in whole or in part, of the party who agrees to be responsible for the debt or obligation of another.	2082	e. Persons/parties	Surety Name and Address	Name and address, in whole or in part, of the party who agrees to be responsible for the debt or obligation of another.		X		
222	Tariff Code (CPSC)	Code number of the goods in accordance with the tariff nomenclature system of classification in use where the Customs declaration is made.	166	a. Commodity/shipment identification	Tariff Code	A code from the tariff nomenclature system of goods classification in use where the customs declaration is made.	X	X	X	
223	Tariff Quantity (CPSC)	Reporting quantity associated with a tariff number in the U.S. Harmonized Tariff Schedule.	168	a. Commodity/shipment identification	Tariff Quantity	Reporting quantity associated with a tariff number in the U.S. Harmonized Tariff Schedule.		X		
224	Terms of Delivery Code (CPSC)	Code describing the invoice terms, expressed in International Commerce Terms (INCOTERMS) (e.g., Free on Board (FOB), Costs, Insurance, Freight (CIF)).	170	g. Value/commercial transaction info	Terms of Delivery Code	An International Commerce Terms (INCOTERMS) code indicating the delivery terms under which the transaction is completed, e.g., Free on Board (FOB), Costs, Insurance, Freight (CIF)).		X		
225	Terms of Delivery Description (CPSC)	Free form description of delivery or transport terms.	2221	g. Value/commercial transaction info	Terms of Delivery Description	Free form description of the delivery or transport terms.		X		
226	Terms of Delivery Place Code (CPSC)	Identifier of the point or port of departure, shipment, or destination, as required under the applicable terms of delivery	2220	d. Country/place/location	Terms of Delivery Place Code	A code indicating the point or port of departure, shipment, or destination, as required under the applicable terms of delivery.		X		

	A	B	F	G	H	I	J	K	L	M
227	Terms of Delivery Place Name (CPSC)	Name of the point or port of departure, shipment, or destination, as required under the applicable terms of delivery.	2219	d. Country/place/location	Terms of Delivery Place Name	Name of the point or port of departure, shipment, or destination, as required under the applicable terms of delivery.		X		
228	Terms of Payment Code (CPSC)	Code describing the terms of payment (e.g., Cash on Delivery (COD), 30/60/90 due dates, discounts, commissions) under which transaction is completed.	171	g. Value/commercial transaction info	Terms of Payment Code	A code describing the terms of payment under which the transaction is completed., e.g., Cash on Delivery (COD), 30/60/90 due dates, discounts, commissions.		X		
229	TEU's Unloaded (CPSC)	The number of 20 foot equivalent containers unloaded from the conveyance.	549	f. Transportation information	TEU's Unloaded Count	The number of 20 foot equivalent containers unloaded from the conveyance.				X
230	Total Declared Customs Value Amount (CPSC)	The total entered value of the entry in whole dollars.	175	g. Value/commercial transaction info	Total Declared Customs Value Amount	The total entered value of the entry in whole dollars.		X		X
231	Tracking Number (CPSC)	A number assigned to track a document, package, or transaction.	937	c. General	Tracking Reference Identifier	A combination of characters used to identify an object in a tracking system, e.g. a document, case, or item of cargo.	X	X		
232	Tracking Number Type (CPSC)	A code to indicate the type of tracking number; e.g. carrier package, violation case, etc.	1951	c. General	Tracking Reference Identifier Type Code	A code indicating the type of tracking number; e.g. carrier package, violation case..	X	X		
233	Trader Reference Number (CPSC)	An optional code provided by the participant for the trader's internal control.	934	c. General	Trader Reference Identifier	A combination of characters used to identify an internal control reference.	X	X	X	
234	Transaction Status Code (CPSC)	System generated processing status of transaction.	181	c. General	Transaction Status Code	A code indicating the system generated processing status of a transaction.				X
235	Transaction Status Text (CPSC)	Description of processing status of transactions.	543	c. General	Transaction Status Text	Description of processing status of transactions.				X
236	Transport Equipment Code Qualifier (CPSC)	Indicates the scheme used to create the identifier used to identify the transport equipment, e.g. container number, license plate	9079	c. General	Transport Equipment Code Qualifier	A code indicating the scheme used to create the transport equipment identifier, e.g. container number, license plate.	X	X	X	

	A	B	F	G	H	I	J	K	L	M
237	Transport Equipment Country of Registration (CPSC)	For air, rail, and sea, the ISO code for the country of registry of the article of transport equipment. For truck country of registry of trailer.	182	f. Transportation information	Transport Equipment Country of Registration	The ISO 3166-1 code for the country of registry of the article of transport equipment.	X	X		
238	Transport Equipment Identifier (CPSC)	Marks or license plate which identify a specific piece of transport equipment.	179	f. Transportation information	Transport Equipment Identifier	A combination of characters used to identify the transport equipment, e.g. marking on the equipment or license plate number.	X	X	X	
239	Transport Equipment State or Province of Registration (CPSC)	ISO state/province code for the license plate state/province of issuance.	180	f. Transportation information	Transport Equipment State or Province of Registration	The ISO 3166-2 code for the license plate state/province of issuance.	X	X		
240	Transport Equipment Stuffing Location (CPSC)	CBP: The physical foreign location (street, city, country) where the goods were stuffed into the container prior to closing of the container.	2174	e. Persons/parties	Transport Equipment Stuffing Location	The physical foreign location (street, city, country) where the goods were stuffed into the container prior to closing of the container.				X
241	Transport Equipment Type Code (CPSC)	A code describing type of each article of transport equipment (e.g., box car, tanker, trailer.)	187	f. Transportation information	Transport Equipment Type Code	A code indicating the type of transport equipment, e.g. box car, tanker, trailer..	X		X	
242	Transport Equipment With Cargo Count (CPSC)	The number of loaded containers on board the conveyance.	418	f. Transportation information	Transport Equipment With Cargo Count	The number of loaded containers on board the conveyance.				X
243	Transport Equipment Without Cargo Count (CPSC)	The number of empty containers on board the conveyance.	414	f. Transportation information	Transport Equipment Without Cargo Count	The number of empty containers on board the conveyance.				X
244	Associated Transport Document Number (CPSC)	Previous transport document number or other assigned reference number associated with the shipment.	663	f. Transportation information	Transportation Reference Identifier	A combination of characters used to identify the associated transport documents for a shipment.	X		X	
245	Transportation Reference Number Qualifier (CPSC)	A code to differentiate the types of transportation reference numbers.	955	f. Transportation information	Transportation Reference Type Code	A code indicating the type of associated transportation reference, e.g. bill of lading, in-bond number.	X		X	

	A	B	F	G	H	I	J	K	L	M
246	Unit of Measure (CPSC)	Indication of the unit of measurement in which weight (mass), capacity, length, area, volume or other quantity is expressed.	122	a. Commodity/shipment identification	Unit of Measure	Indication of the unit of measurement in which weight (mass), capacity, length, area, volume or other quantity is expressed.	X	X	X	
247	Unit Price (CPSC)	The purchase price of each item, in the currency of the purchase, if the commodity is shipped pursuant to a purchase or agreement to purchase.	129	g. Value/commercial transaction info	Unit Price	The purchase price of each item, in the currency of the purchase, if the commodity is shipped pursuant to a purchase or agreement to purchase.		X		
248	Valuation Adjustment Amount (CPSC)	Monetary amount by which a valuation was adjusted.	105	g. Value/commercial transaction info	Valuation Adjustment Amount	Monetary amount by which the valuation was adjusted.		X		
249	Valuation Adjustment Type Code (CPSC)	A code representing the type of valuation adjustment.	106	g. Value/commercial transaction info	Valuation Adjustment Type Code	A code indicating the type of valuation adjustment.		X		

Entry Data Elements

SDS Ref	SDS Name	SDS Definition	CBP Synonym Entry	(b) (7)(E)									
2027	Agent Identifier	A combination of characters used to identify the party authorized to act on behalf of another party	(Cargo Release) Entry Filer Code (Border Cargo Release) Entry Filer Code	X	X	X	X	X	X	X	X	X	9
1160	Arrival at Port with Intent to Unload Date and Time	Date on which the conveyance transporting the merchandise from the foreign country arrived within the limits of the US port with the intent to unload.	(Cargo Release) Estimated Date of Arrival (Border Cargo Release) Date of Arrival	X	X	X	X	X	X	X	X	X	9
510	Bill of Lading Issuer Identifier	A combination of characters used to identify the company issuing the bill of lading for the shipment of cargo.	(Cargo Release) Issuer Code of Master Bill Number Issuer Code of House Bill Number (Border Cargo Release) Issuer of Master Bill Number Issuer Code of House Bill Number	X	X	X	X	X	X	X	X	X	9
1770	Bill of Lading Number	A combination of characters identifying the written order from a shipper to a carrier to move goods from one place to another.	(Cargo Release) Master Bill Number House Bill Number Sub-House Bill Number (Border Cargo Release) Master Bill Number House Bill Number Sub-House Bill Number	X	X	X	X	X	X	X	X	X	9
2100	Bill of Lading Type Code	A code indicating the type of bill of lading, e.g. master, house.	(Cargo Release) Derived	X	X	X	X	X	X	X	X	X	9
10	Bond Type Code	A code indicating the type of bond obtained from the surety company.	(Cargo Release) Bond Type Code (Border Cargo Release) Bond Type		X		X			X		X	4
2032	Carrier Identifier	A combination of characters used to identify the party actually transporting the merchandise.	(Cargo Release) Carrier Code (Border Cargo Release) Carrier Code	X	X	X	X	X	X	X	X	X	8
2092	Commodity Port of Unloading Code	A code indicating the a seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage.	(Cargo Release) District/Port of Unloading	X	X	X	X	X	X	X	X	X	9
2035	Consignee Identifier	A combination of characters used to identify the party actually receiving the merchandise.	(Cargo Release) Ultimate Consignee Number Line Item Ultimate Consignee (Border Cargo Release) Ultimate Consignee	X	X	X	X	X	X	X	X	X	9
32	Conveyance Identifier	A combination of characters used to identify the conveyance.	(Cargo Release) Importing Vessel Code	X	X	X		X	X	X	X	X	8
9077	Conveyance Identifier Qualifier	A code indicating the scheme used to create the conveyance identifier, e.g. SCAC, Radio Call Sign, license plate.	(Cargo Release) Derived	X	X	X		X	X	X	X	X	8
34	Conveyance Name	The registered name of the conveyance.	(Cargo Release) Vessel Name/Foreign Trade Zone Number	X	X	X		X	X	X	X		7

Entry Data Elements

SDS Ref	SDS Name	SDS Definition	CBP Synonym Entry	(b) (7)(E)									
92	Conveyance Trip Identifier	A combination of characters used to identify a conveyance movement which is assigned to a manifest by the carrier.	(Cargo Release) Voyage/Flight/Trip Manifest Number	X	X	X		X	X	X	X	X	8
50	Country of Origin for Tariff	The ISO code for the country of manufacture, production, or growth of any article of foreign origin entering the U.S. Further work or material add to an article in another country must effect a substantial transformation in order to render such other country the "country of origin".	(Cargo Release) Country of Origin (Border Cargo Release) Country of Origin	X			X	X	X			X	5
114	Customs Value Amount	Amount declared for customs purposes of those goods in a consignment which are subject to the same customs procedure, and have the same tariff/statistical heading, country information and duty regime.	(Cargo Release) Line Item Value (Border Cargo Release) Line Item Value	X	X	X	X		X		X	X	7
1988	Document Identifier	A combination of characters used to identify the document.	(Cargo Release) General Order (GO) Number	X	X		X		X	X	X	X	7
55	Elected Entry Date	Date used at the discretion of the filer for duty calculation of non-quota goods when there is no immediate transport entry date (related to immediate delivery).	(Cargo Release) Presentation Date	X	X	X	X		X	X		X	7
2161	Electronic Transaction Filer Identifier	A combination of characters used to identify the party preparing electronic data for transmission to a government agency.	(Application Control) Entry Filer Code		X		X		X				3
2159	Electronic Transaction Transmitter Identifier	A combination of characters used to identify the party whose equipment is used to transmit the electronic data to a government agency	(Application Control) Receiver District/Port Code + Receiver Filer Code		X		X		X			X	4
2160	Electronic Transaction Type Code	A code indicating the type of application (message type) being transmitted via electronic data interchange to a government agency.	(Application Control) Application Identifier		X		X		X				3
52	Entry Number	The unique identifier assigned to a goods declaration. The identifier consists of a code assigned to the importer or his agent, a filer-assigned number, and a check digit.	(Cargo Release) Entry Number (Border Cargo Release) Entry Number	X	X	X	X	X	X	X	X	X	9
2099	Entry Port Code	A code indicating the location at which a declaration is lodged.	(Cargo Release) District/Port of Entry (Border Cargo Release) District/Port of Entry	X	X	X	X	X	X	X	X	X	9
65	Entry Type	Classification of the entry transaction, e.g. consumption entry, temporary import, or informal.	(Cargo Release) Entry Type (Border Cargo Release) Entry Type	X	X	X	X	X	X	X	X	X	9

Entry Data Elements

SDS Ref	SDS Name	SDS Definition	CBP Synonym Entry	(b) (7)(E)									
2051	Foreign Trade Zone Identifier	A combination of characters used to identify the Foreign Trade Zone where cargo is shipped to or from for assembly, manufacture, packaging, destruction.	(Cargo Release) Vessel Name/Foreign Trade Zone Number	X	X	X	X	X	X		X	X	8
2056	Importer Identifier	A combination of characters used to identify the party responsible for the import shipment, the payment of related duties, taxes or fees, and the meeting of all import statutory and regulatory requirements in the transaction.	(Cargo Release) Importer Number (Border Cargo Release) Importer of Record	X	X	X	X	X	X	X	X	X	9
436	In-Bond Arrival Date and Time	The date and time the goods covered by an in-bond movement arrive at the in-bond destination or, in the case of a shipment carried in multiple parts, the date and time the first part of the shipment arrives at the in-bond destination.	(Cargo Release) Estimated Date of Arrival	X	X	X	X	X	X	X	X	X	9
2097	In-Bond Date and Time	The date of the in-bond entry preparation, not to precede the date of arrival of the importing conveyance.	(Cargo Release) Immediate Transportation (IT) Date		X	X	X		X	X	X		6
938	In-Bond Number	A combination of characters used to identify a movement of cargo under customs bond.	(Cargo Release) In-bond Number		X	X	X		X		X	X	6
1666	Location of Goods Identifier	A combination of characters used to identify the location of goods.	(Cargo Release) Location of Goods		X	X	X	X	X	X	X	X	8
131	Message Action Code	A code indicating action to performed with the data in the transaction, e.g. create, change, delete.	(Cargo Release) Update Action Code (Border Cargo Release) Update Action Code		X		X						2
188	Mode of Transportation Code	A code indicating the method of transport used for the conveyance of goods, e.g. by rail, by road, by sea.	(Cargo Release) Mode of Transportation (MOT) Code (Border Cargo Release) Mode of Transportation (MOT) Code	X	X	X	X	X	X	X	X	X	9
1648	Package Type Code	A code indicating the type of package in which the product is contained, e.g. carton, crate, sack.	(Cargo Release) Unit (Border Cargo Release) Unit	X	X	X	X	X	X	X	X		8
9078	Party Identifier Qualifier	A code indicating the scheme used to create the person or party identifier, e.g. DUNS, EIN (Employer ID number).	(Cargo Release) Derived	X	X	X	X	X	X	X	X	X	9
9080	Place Identifier Qualifier	A code indicating the scheme used to create the country, place or location identifier, e.g. UN/LOCODE, ISO, Schedule D.	(Cargo Release) Derived	X	X	X	X	X	X	X	X	X	9

Entry Data Elements

SDS Ref	SDS Name	SDS Definition	CBP Synonym Entry	(b) (7)(E)									
142	Procedure Code	A code indicating what process applies to this transaction.	(Cargo Release) Consignee Name and Address Entry Date Election Code Release Certification Code (Border Cargo Release) Entry/Immediate Delivery Indicator Consignee Name and Address	X	X	X			X	X	X		6
2058	Producer/Manufacturer Identifier	A combination of characters used to identify the party responsible for the manufacture, growth, production, canning, and/or assembly of the commodity.	(Cargo Release) Manufacturer/Shipper (Border Cargo Release) Manufacturer ID Code	X	X	X	X	X	X	X	X	X	9
9044	Role Code	A code indicating the specific function of a party, e.g. Intermediary Carrier; Ultimate Carrier; Onward Carrier; Intermediate Consignee; Deliver to Party; Consignee Ultimate.	(Cargo Release) Derived (Border Cargo Release) Derived	X	X	X	X	X	X	X	X	X	9
130	Sequence Number	Number indicating the position in a sequence.	(Cargo Release) Record Control Number	X	X	X	X		X	X	X	X	8
2069	Shipper/Consignor Identifier	A combination of characters used to identify the party that arranges shipment with a carrier in the transaction.	(Cargo Release) Manufacturer/ Shipper	X	X	X	X	X	X	X	X		8
160	Shipping Quantity	A count of the smallest external packaging units for the shipment.	(Cargo Release) Quantity (Border Cargo Release) Quantity	X	X	X	X	X	X	X	X		8
2071	Surety Identifier	A combination of characters used to identify the party who agrees to be responsible for the debt or obligation of another.	(Cargo Release) Surety Code (Border Cargo Release) Surety Code				X					X	2
166	Tariff Code	A code from the tariff nomenclature system of goods classification in use where the customs declaration is made.	(Cargo Release) Tariff Number (Border Cargo Release) Tariff Number	X	X	X	X	X	X	X	X	X	9
175	Total Declared Customs Value Amount	The total entered value of the entry in whole dollars.	(Cargo Release) Total Entry Value	X	X	X	X				X	X	6
934	Trader Reference Identifier	A combination of characters used to identify an internal control reference.	(Cargo Release) Broker Reference Number	X	X		X		X	X		X	6
122	Unit of Measure	Indication of the unit of measurement in which weight (mass), capacity, length, area, volume or other quantity is expressed.	(Cargo Release) Unit (Border Cargo Release) Unit	X	X	X	X	X	X	X	X	X	9

Appendix B

LIST OF PRODUCTS COVERED BY MOU

<u>HTSUS Number (xx – any)</u>	<u>Description of HTS Chapter</u>
1. 2207.10.60xx-2207.20.00xx	Beverages, spirits and vinegar
2. Chapter 25 inclusive	Salt; sulfur; earths and stone; plastering materials; lime and cement
3. 2701-2715	Mineral fuels, Mineral oils and products of their distillation; bituminous substances; mineral waxes
4. 2801-2843, 2846-2853	Inorganic chemicals; Organic compounds of precious metals, or rare earth metals, of radioactive elements and isotopes
5. Chapter 29 inclusive	Organic chemicals
6. 3001, 3002, 3004 (people only), 3006.50	Pharmaceutical products
7. Chapter 31 inclusive	Fertilizers
8. Chapter 32 inclusive	Tanning or dyeing extracts; tannins and their derivatives; dyes; pigments and other coloring matter; paints and varnishes; putty and other mastics; inks
9. Chapter 33 inclusive	Essential oils and resinoids; perfumery, cosmetic or toilet preparations
10. Chapter 34 inclusive	Soap, organic surface –active agents, washing preparations, lubricating preparations, artificial waxes, prepared waxes, polishing or scouring preparations, candles and similar articles, modeling pastes, “dental waxes,” and dental preparations with a basis of plaster
11. Chapter 35 inclusive	Albuminoidal substances; modified starches; glues; enzymes
12. 3604.10.9010, 3604.10.9050, 3604.90.0000, 3605, 3606.10	Explosives; pyrotechnic products; matches; pyrophoric alloys; certain combustible preparations
13. 3701.20 -3701.99, 3702.31-3702.95, 3703-3706, 3707	Photographic or cinematographic goods
14. 3801-3824	Miscellaneous chemical products
15. 3901-3914, 3916.20, 3916.90.2000, 3917-3925, 3926 (except 3926.20.2020 and 3926.90.3000)	Plastics and articles thereof
16. 4011.10.50xx, 4011.50.0000, 4012.90, 4013.20.0000, 4014, 4015.19.10xx-4015.90.00xx, 4016 (except 4016.10.0000, 4016.94.0000, 4016.99.3000, 4016.99.5500, 4016.99.6010)	Rubber and articles thereof
17. 4201.00.6000, 4202, 4203, 4205.00.2000, 4206.00.1910	Articles of leather; saddlery and harness; travel goods, handbags and similar containers; articles of animal gut (other than silkworm gut)
18. 4402, 4403.10.0040-4403.10.0060, 4403.20.0015-4403.20.0016, 4403.99.0026-4403.99.0029, 4404.10.0040-4404.10.0080, 4404.20.0040-4404.20.0080, 4408-4414, 4417-4420, 4421.11.0000-4421.90.8800, 4421.90.9400-4421.90.9750	Wood and articles of wood; wood charcoal
19. 4503-4504	Cork and articles of cork

20. 4601.21-4601.29, 4602	Manufactures of straw, of esparto or of other plaiting materials; basketware and wickerwork
21. Chapter 48 inclusive	Paper and Paperboard; articles of paper pulp, of paper or of paperboard
22. Chapter 49 inclusive	Printed books, newspapers, pictures and other products of the printing industry; manuscripts, typescripts and plans
23. 5006, 5007	Silk
24. 5109-5113	Wool, fine or coarse animal hair; horsehair yarn and woven fabric
25. 5204.20.0000, 5207-5212	Cotton
26. 5306-5311	Other vegetable textile fibers; paper yarn and woven fabric of paper yarn
27. Chapter 54 inclusive	Man-made filaments
28. 5508-5516	Man-made staple fibers
29. 5601.10, 5602-5609	Wadding, felt and nonwovens; special yarns, twine, cordage, ropes and cables and articles thereof
30. Chapter 57 inclusive	Carpets and other textile floor coverings
31. Chapter 58 inclusive	Special woven fabrics; tufted textile fabrics; lace, tapestries; trimmings; embroidery
32. 5904-5906, 5908-5909	Impregnated, coated, covered or laminated textile fabrics; textile articles of a kind suitable for industrial use
33. Chapter 60 inclusive	Knitted or crochet fabrics
34. Chapter 61 inclusive	Articles of apparel and clothing accessories, knitted or crocheted
35. Chapter 62 inclusive	Articles of apparel and clothing accessories, not knitted or crocheted
36. 6301-6305, 6306.12-6306.29, 6306.40-6306.99, 6307.10.0000-6307.90.5020, 6307.90.7500-6307.90.8500, 6307.90.8940-6307.90.9889, 6308-6310	Other made up textile articles; sets; worn clothing and worn textile articles; rags
37. Chapter 64 inclusive	Footwear, gaiters and the like; parts of such articles
38. Chapter 65 inclusive	Headgear and parts thereof
39. Chapter 66 inclusive	Umbrellas, sun umbrellas, walking sticks, seat sticks, whips, riding-crops and parts thereof
40. 6702	Prepared feathers and down and articles made of feathers or of down; artificial flowers; articles of human hair
41. 6803-6804, 6807-6811, 6812.80.1000-6812.99.0004, 6812.99.0020-6812.99.0055, 6814	Articles of stone, plaster, cement, asbestos, mica or similar materials
42. 6901-6902, 6904-6908, 6910-6913	Ceramic products
43. 7007.19.0000, 7007.29.0000, 7008, 7009.91-7009.92, 7010.10-7010.20, 7010.90.05xx (PPPA), 7010.90.20xx- 7010.90.50xx, 7013, 7016, 7017, 7018, 7019.39.10xx	Glass and glassware
44. 7113, 7114, 7117	Natural or cultured pearls, precious or semi-precious stones, precious metals, metals clad with precious metal and articles thereof; imitation jewelry; coin

45. 7213.10.0000, 7214.20.0000	Iron and steel
46. 7308-7309, 7311-7313, 7315.11.0010-7319, 7320.20.50xx, 7320.90.5010, 7320.90.5020-7320.90.5060, 7321-7324, 7325.10.0020-7325.10.0025, 7326.20.0020, 7326.90.2500, 7326.90.3500, 7326.90.8505-7326.90.8576, 7326.90.8588	Articles of iron or steel
47. 7411-7418 (except 7412.20.0015 where not CPSC), 7419.91, 7419.99.1500, 7419.99.3000-7419.99.5050	Copper and articles thereof
48. 7507	Nickel and articles thereof
49. 7608-7616 (except 7610.90.0060)	Aluminum and articles thereof
50. 7806.00.0500-7806.00.0800	Lead and articles thereof
51. 7907.00.1000-7907.00.2000	Zinc and articles thereof
52. 8007.00.1010-8007.00.1050, 8007.00.4000	Tin and articles thereof
53. 8108.90.6060	Other base metals, cermets; articles thereof
54. 8201-8208.20, 8210-8215	Tools, implements, cutlery, spoons and forks, of base metal; parts thereof of base metal
55. 8301-8302.49.9400, 8302.49.6085, 8302.49.8090, 8302.50.0000-8311	Miscellaneous articles of base metal
56. 8402-8405, 8407.90.90xx, 8408.90.90xx, 8409.91.1080, 8401.91.99xx, 8413.20.0000, 8413.70.20xx, 8413.81.0030, 8414.10-8414.20, 8414.40, 8414.51, 8414.80.1685 - 8414.80.1960, 8414.80.9000, 8414.90.10xx, 8415.10, 8415.81.0110-8415.82.0140, 8415.82.0160-8415.90.8025, 8415.90.8065, 8415.90.8085, 8416, 8418, 8419.11-8419.19, 8419.81 (except 8419.81.5040), 8419.90.1000, 8419.90.9580, 8421.12.0000-8421.22.0000, 8421.39.8005-8421.39.8015, 8421.91-8421.99, 8422.11.0000, 8422.20.0000, 8422.90.0200-8422.90.0640, 8423.10, 8423.81.0040, 8423.81.0050, 8424.10-8424.30, 8424.89, 8424.90, 8425, 8428.10-8428.60, 8430.20.00xx, 8431.10.00xx, 8431.31.00xx, 8431.39.0010, 8432.29.0060, 8433.11-8433.20, 8433.90.1000, 8435, 8443.31-8443.39, 8443.99, 8450, 8451.21-8451.29, 8452.10, 8452.30, 8452.40, 8452.90.0010, 8458-8473, 8474.31.0000, 8476, 8479.60.0000, 8479.82.00xx, 8479.89.1000-8479.89.7000, 8479.89.9860, 8479.89.9885, 8479.89.9897, 8479.90.41xx-8479.90.85xx	Nuclear reactors, boilers, machinery and mechanical appliances; parts thereof
57. 8501.31.8000, 8501.32.6000, 8501.33.6000, 8501.34.6000, 8501.61-8501.64, 8502-8509, 8510, 8511, 8512.10, 8512.20.2080, 8512.20.4080, 8512.30.0020, 8512.30.0040, 8512.90.2000-8512.90.6000, 8512.90.9000, 8513, 8514.20.4000, 8514.90.4000, 8515-8523, 8525 (except 8525.80.1000-8525.80.3000), 8526 (except 8526.10.0020), 8527, 8528, 8529 (except 8529.90.0900), 8531.10, 8532-8542, 8543.70.6000, 8543.70.9200-8543.70.9650, 8544.11-8544.20, 8544.42-8544.70	Electrical machinery and equipment and parts thereof; sound recorders and reproducers, television image and sound recorders and reproducers, and parts and accessories of such articles
58. 8703.10-8703.22, 8703.31, 8703.90, 8704.10.5020, 8706.00.1540, 8706.00.5000, 8707.10.0040, 8708 where applies, 8711 where applies (off road), 8712-8715, 8716.10 (campers), 8716.80.50xx, 8716.90.30xx where applies, 8716.90.50xx where applies.	Vehicles other than railway or tramway rolling-stock, and parts and accessories thereof
59. 9002-9005, 9006.40-9006.99, 9008, 9011-9013, 9014.10, 9017, 9025.11.4000, 9025.19.8080, 9025.80, 9025.90.0000, 9029.10.8000, 9029.20.2000, 9029.40.80xx, 9029.90.4000, 9029.90.80xx, 9032	Optical, photographic, cinematographic, measuring, checking, precision, medical or surgical instruments and apparatus; parts and accessories thereof

60. Chapter 91 inclusive	Clocks and watches and parts thereof
61. Chapter 92 inclusive	Musical instruments; parties and accessories of such articles
62. 9304.00	Arms and ammunition; parts and accessories thereof
63. 9401 (except aircraft and automotive), 9401.80.6020 (portion of child safety seats), 9403 (except aircraft or automotive), 9404-9406	Furniture; bedding, mattresses, mattress supports, cushions and similar stuffed furnishings; lamps and lighting fittings, not elsewhere specified or included; illuminated sign illuminated nameplates and the like; prefabricated buildings
64. Chapter 95 inclusive	Toys, games and sports requisites; parts and accessories thereof
65. 9603.10, 9603.30-9603.90, 9604-9617	Miscellaneous manufactured articles

Appendix C

ACE SYSTEMS SECURITY

ITDS Security implementation for use of ACE

I) Purpose

This Appendix identifies the security requirements necessary for a Participating Government Agency (PGA) to obtain access to U.S. Customs and Border Protection (CBP) Automated Commercial Environment (ACE) through the ACE Portal or a Virtual Private Network (VPN) connection. If applicable, the security requirements for system-to-system interfaces will also be documented in the Interconnection Security Agreement (ISA) for ACE access.

II) Definitions and Background

For the purpose of this appendix "PGA" is the co-signing agency, in conjunction with CBP, of the ACE Memorandum of Understanding that this appendix is supporting. For the purpose of this appendix, "PGA personnel" are defined to be employees or qualified contractors of, or assigned to, the PGA with a job function that relies on capabilities provided by ACE. ACE User Roles are, and will be, defined to limit access to only those capabilities required to perform jobs consistent with the PGA's legal authority. An ACE User Role has an associated set of qualifications for a person to be assigned that role. Being assigned an ACE user role means being granted the privileges necessary to perform specific ACE functions and access specific ACE data.

Access to ACE by PGA personnel imposes security requirements on the PGA to adhere to common procedures for security administration, incident reporting, and security training and awareness.

III) Background Investigation Requirements for PGA Personnel

A) Current State of ACE Development

(b) (7)(E)

B) After Implementation of Security Data Filters

(b) (7)(E)

Once the data security filters are in place, background investigation requirements for ACE User Roles will be determined by the capabilities of the respective ACE User Roles. ACE capabilities will be measured against the risk/impact associated with a breach of Confidentiality, Integrity, and Assurance to establish a security categorization level, consistent with definitions provided by Federal Information Processing Standards (FIPS) 199. A security categorization for an ACE User Role will be determined by applying the high-water mark methodology of the FIPS 199 to the security categorization level for each ACE capability assigned to the role. The high-water method compares the anticipated level of risk and impact where two or more may exist and assigns the most stringent standard. The high-water methodology would also be used to determine the background investigation requirement for PGA personnel needing multiple roles. Accordingly, the type of background investigation for each ACE User Role defined for future ACE drops will be determined by CBP, based on the anticipated level or risk and impact the User Role will have on the ACE system. (b) (7)(E)

(b) (7)(E)

(b) (7)(E)

(b) (7)(E)

(b) (7)(E)

In general, when data security filters are available the following types of background investigations will be necessary for the listed (b) (7)(E)

(b) (7)(E)

C

Per DHS MD 11050.2 dated 01/05/05, the requirement for reinvestigation is once every ten (10) years. Per Office of Personnel Management and Department of Homeland Security policy, the 10-year reinvestigation will be a Modified Background Investigation. CBP will periodically conduct audits to verify compliance with these requirements.

IV) Security

A) Administration

The PGA agrees to designate a (b) (7)(E) who will act as the PGA's (b) (7)(E) and who will be responsible for implementing CBP's security policy for ACE use. The PGA (b) (7)(E) (b) (7)(E) will serve as the single point of contact within the PGA for general ACE access and use issues. The (b) (7)(E) responsibilities will include, but not be limited to:

(b) (7)(E)

(b) (7)(E)

The (b) (7)(E) will terminate privileges for a user(s) immediately upon termination of employment, after a security incident or after (b) (7)(E)

B. Security Training and Awareness

The (b) (7)(E) role will require specialized CBP (b) (7)(E) (b) (7)(E) training will be provided by CBP.

The PGA will take steps to ensure that its personnel receive appropriate annual security training and privacy awareness training through CBP or

(b) (7)(E)

(b) (7)(E)

C. Incident reporting

As the custodian of both the data and the processes in ACE, CBP is required to document all security infractions. All known or suspected security violations of CBP systems must be reported to CBP's Computer Security Incident Response Center (CSIRC). Accordingly, the PGA SCO agrees to notify CBP CSIRC whenever a security violation occurs. The PGA SCO should immediately notify CBP CSIRC of any security violations that are known or suspected. The PGA SCO should provide the following information in all security infraction reports: Name of PGA; User ID of Violator; and Nature of the Violation.

(b) (6), (b) (7)(C)

D. Audit

The PGA understands and agrees to allow CBP to periodically audit all security measures utilized by the PGA for accessing ACE and related ACE Data.

Appendix D
FEES

Reserved.

Appendix E
EMERGENCY SITUATIONS

Reserved.

Appendix F
GLOSSARY

“Jointly Owned” – jointly owned data is information which both CBP and the PGA have both statutory authority and regulatory authority (with OMB approval) to collect.

