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Description of document: List of Smithsonian Institution (SI) Inspector General (OIG) investigations closed CY 2015 –CY 2016

Requested date: 2017

Released date: 03-April-2017

Posted date: 15-October-2018

Source of document: Records Request
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Smithsonian Institution

Office of the Inspector General

April 3, 2017

Via Email

This is our response to your request, in which you asked for “a copy or printout of the list of Smithsonian Institution OIG investigations closed during calendar year 2015 and calendar year 2016.”

The Smithsonian Institution and its Office of the Inspector General (OIG) are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution’s mandate to increase and diffuse knowledge. See 20 U.S.C. §41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

Accordingly, we have attached a 4-page document that is responsive to your request.

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

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Sincerely,

Epin H. Christensen

Epin H. Christensen
Counsel to the Inspector General

Closed Investigations 2015

File No.	Offense Code	Date Opened	Date Closed
15-011	Misuse of Funds	01/20/2015	02/04/2015
14-071	Abuse of Authority	09/12/2014	02/05/2015
15-018	Abuse/Misuse SI Personnel	02/10/2015	02/12/2015
15-014	Public Concern	01/20/2015	02/13/2015
15-017	Mismanagement Issue	02/07/2015	03/04/2015
15-015	Gross Waste of Funds	02/10/2015	03/18/2015
15-004	Contract/Procurement Irregularity	10/24/2014	03/20/2015
15-021	Public Concern	02/13/2015	03/20/2015
15-025	Personnel Issue	03/16/2015	03/20/2015
11-046	National Collections Issue	03/23/2011	03/26/2015
12-018	OWCP	12/31/2011	03/26/2015
14-026	OWCP	03/11/2013	03/26/2015
15-006	Personnel Issue	11/12/2014	04/07/2015
15-008	Personnel Issue	11/28/2014	04/07/2015
15-009	Personnel Issue	11/30/2014	04/07/2015
15-016	Abuse/Misuse SI Personnel	02/04/2015	04/07/2015
15-019	Time and Attendance	02/20/2015	04/07/2015
15-024	Abuse of Authority	03/15/2015	04/07/2015
15-028	Public Safety/Health Concern	03/24/2015	04/07/2015
15-030	Abuse of Authority	03/25/2015	04/07/2015
15-032	Public Safety/Health Concern	04/16/2015	04/23/2015
15-035	Personnel Issue	04/12/2015	05/04/2015
14-072	Time and Attendance	09/18/2014	05/07/2015
15-007	Fraud	11/19/2014	06/18/2015
15-038	Misuse of Funds	05/14/2015	07/20/2015
15-039	Other - Non Specific	04/03/2015	07/20/2015
14-038	Abuse/Misuse SI Personnel	03/24/2014	08/20/2015
15-040	Personnel Issue	05/29/2015	08/21/2015
15-049	Abuse/Misuse SI Personnel	08/12/2015	08/21/2015
14-046	Col	04/21/2014	08/31/2015
15-048	Assist Other Agency	08/05/2015	09/07/2015
14-060	Personnel Issue	07/09/2014	09/10/2015
15-005	Mismanagement Issue	11/05/2014	09/10/2015
15-022	Missing/Theft - Collections	02/25/2015	09/10/2015
15-047	Theft/Embezzlement	08/02/2015	09/22/2015
15-037	Other - Non Specific	06/01/2015	09/24/2015
15-046	Travel Irregularities	07/09/2015	09/24/2015
15-051	Personnel Issue	08/17/2015	09/24/2015
15-062	Fraud	09/14/2015	09/24/2015
13-037	Fraud	04/19/2013	09/29/2015
15-031	Mismanagement Issue	04/20/2015	09/29/2015
15-043	Abuse of Authority	07/07/2015	09/29/2015
15-045	Assist Other Agency	07/10/2015	09/29/2015
15-057	Abuse of Authority	09/07/2015	09/29/2015
15-059	Abuse of Authority	09/09/2015	09/29/2015
15-061	Personnel Issue	09/10/2015	09/29/2015
15-065	Abuse of Authority	09/28/2015	09/29/2015
15-012	Personnel Issue	01/23/2015	09/30/2015
15-041	Public Concern	07/02/2015	09/30/2015
15-054	Public Concern	08/17/2015	09/30/2015
15-056	Public Concern	09/07/2015	10/01/2015

Closed Investigations 2015

15-050	Personnel Issue	08/02/2015	10/05/2015
14-015	Threats	11/14/2013	10/13/2015
15-003	Mismanagement Issue	10/21/2014	10/13/2015
16-001	Abuse of Authority	10/01/2015	10/13/2015
16-003	Public Safety/Health Concern	10/12/2015	10/15/2015
15-060	Abuse/Misuse SI Property	09/10/2015	10/27/2015
16-007	Public Concern	10/26/2015	10/29/2015
16-009	Contract/Procurement Irregularity	10/29/2015	11/13/2015
12-016	Contract/Procurement Irregularity	12/07/2011	12/02/2015
16-002	Personnel Issue	10/05/2015	12/02/2015
16-008	Other - Non Specific	11/02/2015	12/02/2015
16-015	Abuse/Misuse SI Personnel	11/24/2015	12/03/2015
12-030	Col	03/23/2012	12/09/2015
16-016	Assist Other Agency	11/25/2015	12/10/2015
10-085	OWCP	07/16/2010	12/24/2015
15-052	Abuse of Authority	08/07/2015	12/24/2015

Closed Investigations 2016

File No.	Offense Code	Date Opened	Date Closed
14-073	Abuse of Authority	09/15/2014	01/07/2016
15-055	Personnel Issue	08/31/2015	01/07/2016
16-020	Public Concern	12/08/2015	01/07/2016
15-023	Personnel Issue	03/04/2015	01/13/2016
16-026	Public Concern	12/30/2015	01/13/2016
16-027	Fraud	01/02/2016	01/13/2016
16-029	Theft/Embezzlement	01/11/2016	01/19/2016
16-031	Personnel Issue	01/17/2016	01/19/2016
16-023	Contract/Procurement Irregularity	12/22/2015	01/28/2016
16-030	Personnel Issue	01/15/2016	02/09/2016
15-058	General Criminal Activity	08/18/2015	02/11/2016
14-043	Abuse of Authority & Theft	04/17/2014	02/17/2016
16-013	Abuse/Misuse SI Property	11/19/2015	02/17/2016
16-022	Theft/Embezzlement	12/09/2015	02/25/2016
16-025	Abuse/Misuse SI Personnel	12/28/2015	02/25/2016
16-034	Col	02/22/2016	02/25/2016
15-026	National Collections Issue	03/12/2015	03/09/2016
16-038	Other - Non Specific	03/01/2016	03/09/2016
16-042	Threats	03/07/2016	03/10/2016
15-027	Public Concern	03/16/2015	03/17/2016
16-019	Executive Request	12/04/2015	03/18/2016
16-010	Contract/Procurement Irregularity	10/29/2015	04/12/2016
16-035	Public Safety/Health Concern	02/25/2016	04/21/2016
16-037	Personnel Issue	03/01/2016	04/26/2016
16-049	Other - Non Specific	03/31/2016	04/26/2016
16-006	Personnel Issue	10/21/2015	05/05/2016
14-027	Theft/Embezzlement	02/04/2014	05/24/2016
16-053	Other - Non Specific	05/09/2016	05/25/2016
15-020	Public Concern	02/20/2015	06/06/2016
16-036	Fraud	02/24/2016	07/06/2016
16-039	Contract/Procurement Irregularity	03/02/2016	07/06/2016
16-058	Other - Non Specific	06/14/2016	07/12/2016
11-067	Fraud	06/23/2011	07/14/2016
14-039	Contract/Procurement Irregularity	03/20/2014	07/14/2016
14-058	Theft/Embezzlement	07/08/2014	07/14/2016
15-042	Contract/Procurement Irregularity	07/07/2015	07/14/2016
16-047	Threats	04/04/2016	07/14/2016
15-066	Time and Attendance	09/30/2015	07/19/2016
16-004	Personnel Issue	10/17/2015	07/19/2016
16-005	Personnel Issue	10/18/2015	07/19/2016
16-012	General Criminal Activity	11/11/2015	07/19/2016
16-044	Abuse/Misuse SI Personnel	03/12/2016	07/19/2016
16-063	Public Concern	06/21/2016	07/19/2016
16-065	Assist Other Agency	06/24/2016	08/02/2016
15-034	National Collections Issue	05/19/2015	08/03/2016
16-046	General Criminal Activity	03/22/2016	08/03/2016
15-036	Fraud	05/07/2015	08/15/2016
16-066	Public Concern	07/27/2016	08/16/2016
16-048	Abuse/Misuse SI Property	03/05/2016	08/17/2016
16-045	Abuse/Misuse SI Property	03/12/2016	09/08/2016
14-064	Abuse of Authority	07/20/2014	09/21/2016

Closed Investigations 2016

16-017	Abuse/Misuse SI Personnel	11/22/2015	09/30/2016
16-055	General Criminal Activity	06/07/2016	09/30/2016
16-056	Contract/Procurement Irregularity	06/07/2016	09/30/2016
16-077	Assist Other Agency	09/02/2016	09/30/2016
16-080	Assist Other Agency	09/19/2016	09/30/2016
14-061	Theft/Embezzlement	07/09/2014	10/27/2016
13-024	Abuse of Authority	01/23/2013	10/28/2016
13-047	Travel Irregularities	07/01/2013	11/17/2016
16-021	Abuse/Misuse SI Property	12/09/2015	11/30/2016