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| Description of document:     | <b>List of closed investigations at Department of Justice (DOJ) Office of Professional Responsibility (OPR), 2004 - 2006</b>                             |
| Requested date:              | 30-December-2006   |
| Released date:               | 30-September-2009  |
| Posted date:                 | 11-January-2010  |
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**U.S. Department of Justice**

Office of Professional Responsibility  
950 Pennsylvania Avenue, N.W., Suite 3525  
Washington, D.C. 20530  
(202)514-3365

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**SEP 30 2009**

Re: F07-0008

This is in response to your December 30, 2006 Freedom of Information Act request to this Office for a list of "closed investigations at OPR for the time period January 1, 2004 to the present." We received your request on January 11, 2007. It has been assigned request number F07-0008. Please refer to that number in any correspondence pertaining to this matter. We apologize for the delay in this response.

A search of our computer database, for investigations closed from January 1, 2004 through January 24, 2007, identified 117 pages responsive to your request. The disclosable portion of these pages is enclosed.

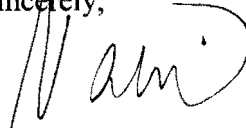
I am withholding the remaining information pursuant to 5 U.S.C. §552 (b)(6) and (b)(7)(C). Exemption (b)(6) permits the withholding of information about individuals in "personnel and medical files and similar files" when disclosure of such information "would constitute a clearly unwarranted invasion of personal privacy." Exemption (b)(7)(C) allows for the withholding of information compiled for law enforcement purposes the release of which "could reasonably be expected to constitute an unwarranted invasion of personal privacy."

If you are not satisfied with this response, you may appeal in writing within sixty days of the date of this letter to the Director, Office of Information Policy. Your letter and envelope should be marked "FREEDOM OF INFORMATION APPEAL" and addressed to:

Office of Information Policy  
United States Department of Justice  
1425 New York Ave., N.W.  
Suite 11050  
Washington, D.C. 20530-0001

If you are dissatisfied with the result of any appeal you make, judicial review may thereafter be available to you in the United States District Court for the judicial district in which you reside, or in which you have your principal place of business, or in the District of Columbia.

Sincerely,

A handwritten signature in black ink, appearing to read 'Marlene M. Wahowiak', written over the word 'Sincerely,'.

Marlene M. Wahowiak

Special Counsel

for Freedom of Information and Privacy Acts

Enclosures

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C02-0032-01  
Date Opened: June 12, 2002  
Date Closed: January 5, 2004  
Subject Name:  
Subject Location:  
Case Name:  
Attorney: McCarty, Margaret S.  
Source Name:

b6, b7C

b6, b7C

b6, b7C

Complainant Name: U.S. COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA  
Allegation/Disposition Codes:  
A409 D05 -- Improper Opening Argument. Substantiated for Poor Judgment.  
Report of inquiry referred to management.

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Case/Subject No: C03-0038-01  
Date Opened: February 11, 2003  
Date Closed: January 5, 2004  
Subject Name:

b6, b7

Subject Location: -- b6 b7C  
Case Name:

b6, b7

Attorney: White, Alexander S.  
Source Name:

b6, b7C

Complainant Name: U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT  
Allegation/Disposition Codes:  
A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

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Case/Subject No: C03-0078-01  
Date Opened: July 17, 2003  
Date Closed: January 5, 2004  
Subject Name:  
Subject Location: -- Unlisted Location (EOUSA).  
Case Name: None  
Attorney: Duncan, James G.  
Source Name:  
Complainant Name:

b6, b7C

b6, b7C

Allegation/Disposition Codes:  
A535 D13 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: |  
Date Opened: July 17, 2003  
Date Closed: January 5, 2004  
Subject Name:

b6, b7C

Subject Location:  
Case Name: None

User: Analyst

Date: November 26, 20 7

Attorney: Duncan, James G.

Source Name:

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C01-0073-01  
Date Opened: September 13, 2001  
Date Closed: January 8, 2004  
Subject Name:

b6, b7C

Subject Location: --

Case Name:

b6, b7C

Attorney: McCarty, Margaret S.

Source Name: None

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A542 D01 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C03-0049-01  
Date Opened: March 12, 2003  
Date Closed: January 8, 2004  
Subject Name:

Subject Location: --

Case Name:

b6, b7C

Attorney: White, Alexander S.

Source Name: None

Complainant Name: ANONYMOUS ("A CONCERNED AUSA")

Allegation/Disposition Codes:

A062 D09 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

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Case/Subject No: C00-0044-01  
Date Opened: February 21, 2000  
Date Closed: January 12, 2004  
Subject Name:

b6, b7C

Subject Location:

Case Name:

b6, b7C

Attorney: wehner, Karen

Source Name:

b6, b7C

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A252 D05 -- Improper contacts with rep party/interference with atty-client relationship. Substantiated for Poor Judgment. Report of inquiry referred to management.  
A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for

User: Analyst

Date: November 26, 20 7

Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C02-0013-01  
Date Opened: January 10, 2002  
Date Closed: January 12, 2004  
Subject Name:  
Subject Location:  
Case Name:  
Attorney: Hardy, Lyn  
Source Name:

b6, b7C

Complainant Name:

Allegation/Disposition Codes:

b6, b7C  
b6, b7C

A422 D01 -- Lateness re Court Order. Substantiated - Intentional.  
A421 D01 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Intentional.  
A422 D01 -- Lateness re Court Order. Substantiated - Intentional.  
A421 D01 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Intentional.  
A422 D02 -- Lateness re Court Order. Substantiated - Reckless  
Disregard.  
A421 D02 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Reckless Disregard.  
A421 D13 -- Intentional Failure to comply with court order/other than  
lateness Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.  
A421 D02 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Reckless Disregard.  
A422 D02 -- Lateness re Court Order. Substantiated - Reckless  
Disregard.  
A340 D02 -- Negligence/derelection/improper perf of duties (failure to  
perform). Substantiated - Reckless Disregard.  
A253 D02 -- Incompetence (Inability to perform). Substantiated -  
Reckless Disregard.  
A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.  
A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.  
A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.  
A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for  
Poor Judgment. Report of inquiry referred to management.  
A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for  
Poor Judgment. Report of inquiry referred to management.  
A157 D13 -- Falsification of records. Unsubstantiated. Allegation not  
sustained for Poor Judgment or Professional Misconduct.  
A534 D13 -- Unauthorized disclosure (non-media): 6(e) (including Misuse  
of GJ materials in Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.  
A412 D13 -- Improper Coercion/Intimidation of a Witness.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

User: Analyst

Date: November 26, 20 7

A462 D13 -- Subornation of perjury/Failure to correct false testimony.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

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Case/Subject No: C03-0016-01  
Date Opened: December 27, 2002  
Date Closed: January 12, 2004  
Subject Name:  
Subject Location: --  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:

b6, b7C

b6, b7C

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C03-0056-01  
Date Opened: April 22, 2003  
Date Closed: January 12, 2004  
Subject Name:  
Subject Location: --  
Case Name:  
Attorney: Kessler, Tamara J.  
Source Name:  
Complainant Name:

b6, b7C

b6, b7C

b6, b7C

Allegation/Disposition Codes:

A402 D05 -- Prosecutorial Misconduct (General - OPR atty/pls provide  
specific code). Substantiated for Poor Judgment. Report of inquiry  
referred to management.

A406 D05 -- Discovery - Rule 16. Substantiated for Poor Judgment.  
Report of inquiry referred to management.

A407 D05 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

A408 D05 -- Discovery - Impeachment/Jencks. Substantiated for Poor  
Judgment. Report of inquiry referred to management.

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Case/Subject No: C03-0077-01  
Date Opened: July 15, 2003  
Date Closed: January 12, 2004  
Subject Name:  
Subject Location:  
Case Name:  
Attorney: Thomas, R. Keith  
Source Name:  
Complainant Name:

b6, b7C

b6, b7C

b6, b7C

Allegation/Disposition Codes:

A252 D05 -- Improper contacts with rep party/interference with  
atty-client relationship. Substantiated for Poor Judgment. Report of  
inquiry referred to management.

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C00-0044-02  
Date Opened: February 21, 2000  
Date Closed: January 12, 2004  
Subject Name: b6, b7C  
Subject Location: b6, b7C  
Case Name: b6, b7C  
Attorney: Wehner, Karen  
Source Name: b6, b7C  
Complainant Name: b6, b7C  
Allegation/Disposition Codes:  
A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C01-0044-01  
Date Opened: April 18, 2001  
Date Closed: January 13, 2004  
Subject Name: b6, b7C  
Subject Location: b6 b7C  
Case Name: b6, b7C  
Attorney: Ward, Barbara L.  
Source Name: b6, b7C  
Complainant Name: b6, b7C

Allegation/Disposition Codes:  
A539 D13 -- Unprof statements or comments. Unsubstantiated. Allegation  
not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C01-0064-01  
Date Opened: July 9, 2001  
Date Closed: January 13, 2004  
Subject Name: b6, b7C  
Subject Location: b6, b7C  
Case Name: b6, b7C  
Attorney: Leiner, Frederick C.  
Source Name: b6, b7C  
Complainant Name: b6, b7C

Allegation/Disposition Codes:  
A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

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Case/Subject No: C03-0017-01  
Date Opened: December 27, 2002  
Date Closed: January 13, 2004  
Subject Name: b6, b7C  
Subject Location: b6, b7C  
Case Name: b6, b7C



User: Analyst

Date: November 26, 20 7

Attorney: Kessler, Tamara J.  
Source Name:

b6, b7C

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A405 D14 -- Misrepresentation to Opposing Counsel. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

A415 D14 -- Improper coercion of plea of guilty. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C03-0089-01  
Date Opened: September 5, 2003  
Date Closed: January 13, 2004  
Subject Name:

b6, b7C

Subject Location: -- b6 b7C

Case Name: None  
Attorney: Ross, Simone E.  
Source Name: None  
Complainant Name:

b6, b7C )

Allegation/Disposition Codes:

A543 D01 -- Failure to maintain active Bar membership. Substantiated -  
Intentional.

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Case/Subject No: C02-0044-01  
Date Opened: June 27, 2002  
Date Closed: January 27, 2004  
Subject Name:

b6, b7C

Subject Location:  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name:

b6, b7C

Complainant Name: U.S. COURT OF APPEALS FOR THE

b6 b7C

b6, b7C  
b6, b7C

Allegation/Disposition Codes:

A411 D29 -- Improper Examination of a Witness. Closed administratively.  
Other. Specify.

A404 D29 -- Misrepresentation /Misleading the Court. Closed  
administratively. Other. Specify.

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Case/Subject No: C03-0021-01  
Date Opened: January 29, 2003  
Date Closed: February 23, 2004  
Subject Name:

b6, b7C

Subject Location: b2

Case Name:

b6, b7C

Attorney: Thomas, R. Keith  
Source Name:

b6, b7C

User: Analyst

Date: November 26, 20 7

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.

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Case/Subject No: C03-0072-01

Date Opened: June 13, 2003

Date Closed: February 23, 2004

Subject Name:

b6, b7C

Subject Location:-

Case Name: MULTIPLE CASES,

Attorney: Thomas, R. Keith

Source Name:

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.

A341 D02 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Reckless Disregard.

A340 D13 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Unsubstantiated. Allegation not sustained for Poor Judgment  
or Professional Misconduct.

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.

A537 D05 -- Unprof or unethical behavior: other (unspecific).  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

A341 D02 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Reckless Disregard.

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.

A342 D01 -- Intentional Failure to Perform Substantiated - Intentional.

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.

A065 D02 -- Conflicting personal interests. Substantiated - Reckless  
Disregard.

A341 D05 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry  
referred to management.

A002 D01 -- Abuse of prosecutive or investigative authority (general).  
Substantiated - Intentional.

A340 D13 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Unsubstantiated. Allegation not sustained for Poor Judgment  
or Professional Misconduct.

A422 D02 -- Lateness re Court Order. Substantiated - Reckless

User: Analyst

Date: November 26, 20 7

Disregard.

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Case/Subject No: C03-0069-01

Date Opened: May 27, 2003

Date Closed: March 1, 2004

Subject Name:

b6, b7C

Subject Location:

Case Name: MULTIPLE CASES,

Attorney: Duncan, James G.

Source Name:

Complainant Name:

b6, b7C

Allegation/Disposition Codes:

A001 D29 -- Abuse of authority or misuse of official position. Closed administratively. Other. Specify.

A535 D29 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Closed administratively. Other. Specify.

A540 D29 -- Improper use of official information. Closed administratively. Other. Specify.

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Case/Subject No: C03-0039-01

Date Opened: February 13, 2003

Date Closed: March 5, 2004

Subject Name:

b6, b7C

Subject Location:

Case Name:

b6, b7C

Attorney: Wehner, Karen

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE

b6 b7C

b6 b7C

Allegation/Disposition Codes:

A542 D14 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C03-0065-01

Date Opened: May 13, 2003

Date Closed: March 5, 2004

Subject Name:

b6, b7C

Subject Location:

Case Name:

b6, b7C

Attorney: Leiner, Frederick C.

Source Name:

b6, b7C

Complainant Name: U.S. COURT OF APPEALS FOR THE

b6 b7C

Allegation/Disposition Codes:

A542 D14 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No:

User: Analyst

Date: November 26, 20 7 /

Date Opened: November 6, 2002  
Date Closed: March 9, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A414 D15 -- Improper use of peremptory strikes during jury selection.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

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Case/Subject No: C03-0031-01  
Date Opened: February 5, 2003  
Date Closed: March 10, 2004  
Subject Name:  
Subject Location: - b6 b7C -  
Case Name:  
Attorney: Meade, James A.  
Source Name:  
Complainant Name:

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

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Case/Subject No: C04-0004-01  
Date Opened: October 3, 2003  
Date Closed: March 15, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name: MULTIPLE CASES,  
Attorney: Hardy, Lyn  
Source Name: None  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A422 D29 -- Lateness re Court Order. Closed administratively. Other.  
Specify.  
A340 D29 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Closed administratively. Other. Specify.  
A342 D29 -- Intentional Failure to Perform Closed administratively.  
Other. Specify.  
A404 D29 -- Misrepresentation /Misleading the Court. Closed  
administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C03-0044-01  
Date Opened: March 3, 2003  
Date Closed: March 17, 2004  
Subject Name:  
Subject Location: b6 b7C

User: Analyst

Date: November 26, 20 7 , .

Case Name:

Attorney: Clarke, Nadira

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management.

A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management.

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

Case/Subject No:

C03-0035-01

Date Opened:

February 9, 2003

Date Closed:

March 18, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Wehner, Karen

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

Case/Subject No:

C03-0088-01

Date Opened:

August 26, 2003

Date Closed:

March 19, 2004

Subject Name:

Subject Location:

Case Name:

None

Attorney:

Wehner, Karen

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A532 D09 -- Unauthorized disclosure to media: other - including Priv Act violations and Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

Case/Subject No:

C03-0063-01

Date Opened:

May 13, 2003

Date Closed:

March 25, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Kessler, Tamara J.

Source Name:

OPR SEARCH OF WESTLAW

Complainant Name:

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A420 D02 -- Unauthorized plea agreements. Substantiated - Reckless Disregard.

A15Q D09 -- Failure to honor plea agreement. Unsubstantiated.

Allegation not sustained for Professional Misconduct (PM).

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Case/Subject No: C03-0023-01

Date Opened: January 29, 2003

Date Closed: March 30, 2004

Subject Name:

Subject Location: b6 b7C

Case Name:

Attorney: Leiner, Frederick C.

Source Name: OPR SEARCH OF WESTLAW

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.

A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional.

A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional.

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Case/Subject No: C04-0040-01

Date Opened: March 10, 2004

Date Closed: March 30, 2004

Subject Name:

Subject Location: b6 b7C

Case Name:

Attorney:

Leiner, Frederick C.

Source Name:

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A421 D14 Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C01-0062-01

Date Opened: July 2, 2001

Date Closed: April 5, 2004

Subject Name:

Subject Location: b6 b7C

Case Name:

Attorney:

Hurley, Neil

Source Name:

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A002 D02 -- Abuse of prosecutive or investigative authority (general) Substantiated - Reckless Disregard.

A009 D02 -- Abuse of the grand jury or indictment process. Substantiated - Reckless Disregard.

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C01-0062-02  
Date Opened: July 2, 2001  
Date Closed: April 5, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Hurley, Neil

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

A009 D13 -- Abuse of the grand jury or indictment process.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

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Case/Subject No: C01-0077-01  
Date Opened: September 28, 2001  
Date Closed: April 5, 2004  
Subject Name:

Subject Location:

Case Name:

Attorney: Leiner, Frederick C.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A418 D13 -- Ex parte Communication. Unsubstantiated. Allegation not  
sustained for Poor Judgment or Professional Misconduct.

A062 D13 -- Conflict: general, including appearance of conflict.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

A252 D13 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained for  
Poor Judgment or Professional Misconduct.

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Case/Subject No: C03-0045-01  
Date Opened: March 3, 2003  
Date Closed: April 5, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Hardy, Lyn

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for  
Poor Judgment. Report of inquiry referred to management.

User: Analyst

Date: November 26, 20 7

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.  
A157 D13 -- Falsification of records. Unsubstantiated. Allegation not  
sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C03-0046-01  
Date Opened: March 7, 2003  
Date Closed: April 5, 2004  
Subject Name:  
Subject Location: b6 -- b7C  
Case Name:  
Attorney: Ross, Simone E.

Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:  
A370 D15 -- Obstruction of justice/coverup. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*

Case/Subject No: C03-0083-01  
Date Opened: July 28, 2003  
Date Closed: April 5, 2004  
Subject Name:

Subject Location: b6 b7C  
Case Name: None  
Attorney: Leiner, Frederick C.  
Source Name: None  
Complainant Name: b6 b7C

Allegation/Disposition Codes:  
A543 D02 -- Failure to maintain active Bar membership. Substantiated -  
Reckless Disregard.  
A542 D02 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C04-0041-01  
Date Opened: March 29, 2004  
Date Closed: April 5, 2004  
Subject Name:  
Subject Location: -- b6 b7C  
Case Name: None  
Attorney: Ross, Simone E.

Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:  
A002 D15 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

\*\*\*\*\*

Case/Subject No: C02-0042-01  
Date Opened: June 26, 2002



User: Analyst

Date: November 26, 20 7

Date Closed: April 27, 2004

Subject Name:

Subject Location: ----- b6 b7C

Case Name:

Attorney: Ross, Simone E.

Source Name:

Complainant Name: ----- b6 b7C

Allegation/Disposition Codes:

A407 D29 -- Discovery - Brady Violation/Exculpatory Information.

Closed administratively. Other. Specify.

A408 D29 -- Discovery - Impeachment/Jencks. Closed administratively.

Other. Specify.

A462 D29 -- Subornation of perjury/Failure to correct false testimony.

Closed administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C04-0010-01

Date Opened: October 22, 2003

Date Closed: May 15, 2004

Subject Name:

Subject Location: b6 b7C

Case Name:

Attorney: Duncan, James G.

Source Name:

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A001 D01 -- Abuse of authority or misuse of official position.

Substantiated - Intentional.

A540 D01 -- Improper use of official information. Substantiated - Intentional.

A535 D01 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Intentional.

A535 D01 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Intentional.

A536 D02 -- Unprofessional or unethical behavior: bar complaints. Substantiated - Reckless Disregard.

A002 D01 -- Abuse of prosecutive or investigative authority (general). Substantiated - Intentional.

A314 D05 -- Misuse of government property (other than OGVs) - Aircraft/Computers etc. Substantiated for Poor Judgment. Report of inquiry referred to management.

A529 D13 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A542 D13 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0011-01

Date Opened: October 22, 2003

Date Closed: May 15, 2004

User: Analyst

Date: November 26, 20 7

Subject Name:  
Subject Location: *b6 b7C*  
Case Name: None  
Attorney: Duncan, James G.

Source Name: None

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A001 D13 -- Abuse of authority or misuse of official position.  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A370 D13 -- Obstruction of justice/coverup. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

A340 D13 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A065 D13 -- Conflicting personal interests. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

A999 D13 -- Other or not specified above (failure to register a foreign agent). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0011-02  
Date Opened: October 22, 2003  
Date Closed: May 15, 2004

Subject Name:  
Subject Location: *b6 b7C*  
Case Name: None  
Attorney: Duncan, James G.  
Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A001 D13 -- Abuse of authority or misuse of official position.  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C03-0034-01  
Date Opened: February 7, 2003  
Date Closed: May 18, 2004

Subject Name:  
Subject Location: *b6 b7C*  
Case Name:

Attorney: McCarty, Margaret S.  
Source Name:

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated.

User: Analyst

Date: November 26, 20 7

Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C03-0034-02  
Date Opened: February 7, 2003  
Date Closed: May 18, 2004  
Subject Name:

b6 b7c

Subject Location: -- Civil Division.

Case Name:

Attorney: McCarty, Margaret S.

Source Name:

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0034-03  
Date Opened: February 7, 2003  
Date Closed: May 18, 2004  
Subject Name:

b6 b7c

Subject Location: -- Civil Division.

Case Name:

Attorney: McCarty, Margaret S.

Source Name:

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A402 D14 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject made a mistake.

A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0034-04  
Date Opened: February 7, 2003  
Date Closed: May 18, 2004  
Subject Name:

b6 b7c

User: Analyst

Date: November 26, 20

Subject Location:

Case Name:

Attorney:

McCarty, Margaret S.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No:

C03-0034-05

Date Opened:

February 7, 2003

Date Closed:

May 18, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

McCarty, Margaret S.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No:

C03-0092-01

Date Opened:

September 30, 2003

Date Closed:

May 18, 2004

Subject Name:

Subject Location:

Case Name:

WHISTLEBLOWER CLAIM

Attorney:

Kessler, Tamara J.

Source Name:

OIG

Complainant Name:

Allegation/Disposition Codes:

A432 D39 -- Retaliation or reprisals. OPR Investigation completed. Retaliation found. Referred to OARM.

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Case/Subject No:

C03-0092-02

Date Opened:

September 30, 2003

User: Analyst

Date: November 26, 20 7

Date Closed: May 18, 2004  
Subject Name:  
Subject Location: *b6 b7C*  
Case Name: WHISTLEBLOWER CLAIM  
Attorney: Kessler, Tamara J.  
Source Name: OIG

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A432 D39 -- Retaliation or reprisals. OPR Investigation completed.  
Retaliation found. Referred to OARM.

\*\*\*\*\*

Case/Subject No: C04-0038-01  
Date Opened: March 12, 2004  
Date Closed: May 18, 2004

Subject Name:  
Subject Location: *b6 b7C* --- --  
Case Name:

Attorney: Leiner, Frederick C.

Source Name:

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A539 D15 -- Unprof statements or comments. Unsubstantiated. Allegation  
not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0034-06  
Date Opened: February 7, 2003  
Date Closed: May 18, 2004

Subject Name:  
Subject Location: *b6 b7C* --- --  
Case Name:

Attorney: McCarty, Margaret S.

Source Name:

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

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Case/Subject No: C03-0034-07  
Date Opened: February 7, 2003  
Date Closed: May 18, 2004

Subject Name:  
Subject Location: *b6 b7C* --- --  
Case Name:

Attorney: McCarty, Margaret S.

Source Name:

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.

User: Analyst

Date: November 26, 20 7

Allegation not sustained. Subject made a mistake.

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Case/Subject No: C04-0051-01  
Date Opened: April 29, 2004  
Date Closed: May 24, 2004  
Subject Name:

Subject Location: *b6 b7C*  
Case Name: None  
Attorney: Wahowiak, Marlene M.  
Source Name:  
Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:

A531 D29 -- Unauthorized disclosure to media: 6(e) material. Closed administratively. Other. Specify.  
A532 D29 -- Unauthorized disclosure to media: other - including Priv Act violations and Closed administratively. Other. Specify.

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Case/Subject No: C03-0013-01  
Date Opened: December 27, 2002  
Date Closed: May 25, 2004  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:

A542 D14 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A542 D13 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C03-0011-01  
Date Opened: November 22, 2002  
Date Closed: June 4, 2004  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Hurley, Neil  
Source Name: None  
Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:

A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management.  
A407 D09 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

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Case/Subject No: C03-0048-01

User: Analyst

Date: November 26, 20 7

Date Opened: March 10, 2003  
Date Closed: June 7, 2004  
Subject Name: *bb b7C*  
Subject Location: *bb b7C*

Case Name: MULTIPLE CASES

Attorney: *bb b7C*,  
Clarke, Nadira  
Source Name:  
Complainant Name:

Allegation/Disposition Codes:

A421 D02 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Reckless Disregard.  
A422 D02 -- Lateness re Court Order. Substantiated - Reckless  
Disregard.  
A422 D02 -- Lateness re Court Order. Substantiated - Reckless  
Disregard.  
A422 D02 -- Lateness re Court Order. Substantiated - Reckless  
Disregard.

\*\*\*\*\*

Case/Subject No: C04-0019-01  
Date Opened: November 24, 2003  
Date Closed: June 7, 2004  
Subject Name:  
Subject Location: *bb b7C*  
Case Name:  
Attorney: White, Alexander S.  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C02-0057-01  
Date Opened: July 31, 2002  
Date Closed: June 8, 2004  
Subject Name:  
Subject Location: *bb b7C*  
Case Name:  
Attorney: Ross, Simone E.  
Source Name:  
Complainant Name: *bb b7C*

Allegation/Disposition Codes:

A406 D14 -- Discovery - Rule 16. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.  
A407 D15 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances  
A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C02-0039-01  
Date Opened: June 24, 2002  
Date Closed: June 14, 2004

Subject Name:  
Subject Location: - b6 b7C

Case Name:  
Attorney: Hardy, Lyn  
Source Name: None  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A413 D01 -- Prejudicial Statement to a Grand or Petit Jury.  
Substantiated - Intentional.

A001 D01 -- Abuse of authority or misuse of official position.  
Substantiated - Intentional.

A421 D01 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C02-0039-02  
Date Opened: June 24, 2002  
Date Closed: June 14, 2004

Subject Name:  
Subject Location: b6 b7C

Case Name:  
Attorney: Hardy, Lyn  
Source Name: None  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A413 D05 -- Prejudicial Statement to a Grand or Petit Jury.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

A542 D05 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

\*\*\*\*\*

Case/Subject No: C03-0061-01  
Date Opened: May 6, 2003  
Date Closed: June 14, 2004

Subject Name:  
Subject Location: b6 b7C

Case Name:  
Attorney: Godwin, David E.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C03-0061-02  
Date Opened: May 6, 2003



User: Analyst

Date: November 26, 20 7

Date Closed: June 14, 2004  
Subject Name:

Subject Location:

Case Name:

Attorney: Godwin, David E.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C03-0061-03

Date Opened: May 6, 2003

Date Closed: June 14, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Godwin, David E.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C03-0057-01

Date Opened: April 22, 2003

Date Closed: June 15, 2004

Subject Name:

Subject Location:

Case Name:

MULTIPLE CASES, b6 b7C

Attorney: Leiner, Frederick C.

Source Name:

Complainant Name: U.S. ATTORNEY'S OFFICE MANAGEMENT, -

Allegation/Disposition Codes:

A253 D02 -- Incompetence (Inability to perform). Substantiated -  
Reckless Disregard.

A421 D14 -- Intentional Failure to comply with court order/other than  
lateness Unsubstantiated. Allegation not sustained. Subject made a  
mistake.

\*\*\*\*\*

Case/Subject No: C03-0068-01

Date Opened: May 27, 2003

Date Closed: June 15, 2004

Subject Name:

Subject Location:

Case Name:

MULTIPLE CASES

Attorney:

Hardy, Lyn

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE

b6 b7C

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A422 D01 -- Lateness re Court Order. Substantiated - Intentional.

A152 D01 -- Failure to report misconduct. Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C04-0008-01

Date Opened: October 17, 2003

Date Closed: June 15, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Ross, Simone E.

Source Name:

Complainant Name:

SELF-REPORTING

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all circumstances

A405 D15 -- Misrepresentation to Opposing Counsel. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C02-0068-01

Date Opened: September 30, 2002

Date Closed: June 16, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Wahowiak, Marlene M.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A062 D14 -- Conflict: general, including appearance of conflict.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

A002 D15 -- Abuse of prosecutive or investigative authority (general).

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A418 D15 -- Ex parte Communication. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C04-0017-01

Date Opened: November 10, 2003

Date Closed: June 16, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Kessler, Tamara J.

Source Name:

DOJ/OIG REFERRAL

Complainant Name:

*b6 b7c*  
Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

A405 D13 -- Misrepresentation to Opposing Counsel. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

A542 D13 -- Failure to comply with DOJ Rules and Regulations.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C03-0010-01  
Date Opened: November 21, 2002  
Date Closed: June 17, 2004  
Subject Name: *b6 b7c*  
Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Fraase, Mark G.  
Source Name: None  
Complainant Name: *b6 b7c*

## Allegation/Disposition Codes:

A156 D01 -- False statements, misrepresentation (administrative).  
Substantiated - Intentional.

A067 D05 -- Conflict/improperly seeking outside employment.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

A001 D05 -- Abuse of authority or misuse of official position.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

\*\*\*\*\*  
Case/Subject No: C02-0020-01  
Date Opened: February 19, 2002  
Date Closed: June 30, 2004  
Subject Name: *b6 b7c*

Subject Location: *b6 b7c*

Case Name:

Attorney: Wehner, Karen A.

Source Name: OPR SEARCH OF WESTLAW

Complainant Name: UNITED STATES COURT OF APPEALS FOR THE *b6 b7c*

## Allegation/Disposition Codes:

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment.  
Report of inquiry referred to management.

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Case/Subject No: C03-0086-01  
Date Opened: August 12, 2003  
Date Closed: June 30, 2004  
Subject Name: *b6 b7c*  
Subject Location: *b6 b7c*  
Case Name: *b6 b7c*  
Attorney: Kessler, Tamara J.

User: Analyst

Date: November 26, 20 7

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A418 D05 -- Ex parte Communication. Substantiated for Poor Judgment.

Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C03-0086-02

Date Opened: August 12, 2003

Date Closed: June 30, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A418 D13 -- Ex parte Communication. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C03-0086-03

Date Opened: August 12, 2003

Date Closed: June 30, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A418 D05 -- Ex parte Communication. Substantiated for Poor Judgment.

Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C03-0086-04

Date Opened: August 12, 2003

Date Closed: June 30, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A418 D05 -- Ex parte Communication. Substantiated for Poor Judgment.

Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C02-0059-01

Date Opened: August 26, 2002

Date Closed: July 1, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Meade, James A.

User: Analyst

Date: November 26, 20 7

Source Name:

Complainant Name:

~~Allegation/Disposition Codes:~~

A600 D14 -- Wiretaps and electronic surveillance: improper or illegal (Title III). Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C02-0059-02  
Date Opened: August 26, 2002  
Date Closed: July 1, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Meade, James A.  
Source Name:

Complainant Name:

~~Allegation/Disposition Codes:~~

A600 D14 -- Wiretaps and electronic surveillance: improper or illegal (Title III). Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C02-0017-01  
Date Opened: February 11, 2002  
Date Closed: July 2, 2004  
Subject Name:  
Subject Location: b6 - b7C  
Case Name: MULTIPLE CASES  
Attorney: Leiner, Frederick C.  
Source Name:

Complainant Name:

~~Allegation/Disposition Codes:~~

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C02-0030-01  
Date Opened: June 6, 2002  
Date Closed: July 2, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Wehner, Karen  
Source Name:

Complainant Name:

~~Allegation/Disposition Codes:~~

A408 D02 -- Discovery - Impeachment/Jencks. Substantiated - Reckless Disregard.  
A407 D02 -- Discovery - Brady Violation/Exculpatory Information. Substantiated - Reckless Disregard.  
A406 D02 -- Discovery - Rule 16. Substantiated - Reckless Disregard.

User: Analyst

Date: November 26, 20 7

\*\*\*\*\*  
Case/Subject No: C03-0003-01  
Date Opened: November 6, 2002  
Date Closed: July 2, 2004

Subject Name:  
Subject Location: *bb b7C*  
Case Name:  
Attorney: Clarke, Nadira  
Source Name:  
Complainant Name: *bb b7C*

Allegation/Disposition Codes:

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.  
A342 D01 -- Intentional Failure to Perform Substantiated - Intentional.  
A633 D02 -- Speedy Trial Act violation Substantiated - Reckless  
Disregard.

\*\*\*\*\*  
Case/Subject No: C03-0032-01  
Date Opened: February 5, 2003  
Date Closed: July 2, 2004

Subject Name:  
Subject Location: *bb b7C*  
Case Name:  
Attorney: Meade, James A.  
Source Name:

Complainant Name: *bb b7C*

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.  
A411 D14 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

\*\*\*\*\*  
Case/Subject No: C04-0024-01  
Date Opened: January 16, 2004  
Date Closed: July 2, 2004

Subject Name:  
Subject Location: *b-6 b7C*  
Case Name:  
Attorney: Hardv, Lyn  
Source Name: *bb b7C*  
Complainant Name: CIRCUIT COURT OF APPEALS

Allegation/Disposition

A422 D20 -- Lateness re Court Order. Closed Administratively. Referred  
to PIS/CRM.  
A404 D20 -- Misrepresentation /Misleading the Court. Closed  
Administratively. Referred to PIS/CRM.

\*\*\*\*\*  
Case/Subject No: C04-0046-01  
Date Opened: April 19, 2004  
Date Closed: July 2, 2004

User: Analyst

Date: November 26, 20 7

Subject Name:  
Subject Location:  
Case Name:

b6 b7C

Attorney: Hardy, Lyn

Source Name:

Complainant Name:

b6 b7C

Allegation/Disposition Codes:

A157 D20 -- Falsification of records. Closed Administratively.  
Referred to PIS/CRM.

A404 D20 -- Misrepresentation /Misleading the Court. Closed  
Administratively. Referred to PIS/CRM.

A421 D20 -- Intentional Failure to comply with court order/other than  
lateness Closed Administratively. Referred to PIS/CRM.

A422 D20 -- Lateness re Court Order. Closed Administratively. Referred  
to PIS/CRM.

\*\*\*\*\*

Case/Subject No: C04-0053-01

Date Opened: May 6, 2004

Date Closed: July 2, 2004

Subject Name:

Subject Location:

b6 b7C

Case Name:

Attorney: Hardy, Lyn

Source Name:

Complainant Name:

b6 b7C

Allegation/Disposition Codes:

A422 D20 -- Lateness re Court Order. Closed Administratively. Referred  
to PIS/CRM.

\*\*\*\*\*

Case/Subject No: C03-0019-01

Date Opened: December 30, 2002

Date Closed: July 6, 2004

Subject Name:

Subject Location:

b6 b7C

Case Name:

Attorney: Ward, Barbara L.

Source Name:

b6 b7C

Complainant Name: U.S. COURT OF APPEALS FOR THE

b6 b7C

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

A411 D09 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained for Professional Misconduct (PM).

\*\*\*\*\*

Case/Subject No: C04-0049-01

Date Opened: April 28, 2004

Date Closed: July 6, 2004

Subject Name:

Subject Location:

b6 b7C

Case Name:

User: Analyst

Date: November 26, 20 7

Attorney: Fraase, Mark G.  
Source Name: None  
Complainant Name: *b6 b7C*

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Allegation/Disposition Codes:

A534 D13 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0050-01  
Date Opened: April 29, 2004  
Date Closed: July 6, 2004  
Subject Name: UNKNOWN DOJ EMPLOYEE(S), POSSIBLY IN

Subject Location:

Case Name:

Attorney: Fraase, Mark G.  
Source Name: None

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A531 D13 -- Unauthorized disclosure to media: 6(e) material. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A532 D13 -- Unauthorized disclosure to media: other - including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C02-0025-01  
Date Opened: March 8, 2002  
Date Closed: July 16, 2004

Subject Name:  
Subject Location:

Case Name:

Attorney: Ward, Barbara L.  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A410 D02 -- Improper Closing Argument (Improper vouching). Substantiated - Reckless Disregard.

A411 D02 -- Improper Examination of a Witness. Substantiated - Reckless Disregard.

A407 D05 -- Discovery - Brady Violation/Exculpatory Information. Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C04-0079-01  
Date Opened: August 2, 2004  
Date Closed: August 23, 2004

Subject Name:  
Subject Location:  
Case Name:

*b6 b7C*



User: Analyst

Date: November 26, 20 7

Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: ----- *b6 b7C*  
Allegation/Disposition Codes:

~~A009 D09 -- Abuse of the grand jury or indictment process.~~

Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

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Case/Subject No: C04-0079-02  
Date Opened: August 2, 2004  
Date Closed: August 23, 2004  
Subject Name:  
Subject Location: *b6 b7C*  
Case Name:

Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: -----

Allegation/Disposition Codes:

A009 D09 -- Abuse of the grand jury or indictment process.

Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

\*\*\*\*\*

Case/Subject No: C04-0043-01  
Date Opened: April 12, 2004  
Date Closed: September 21, 2004  
Subject Name:

Subject Location:

Case Name:

Attorney: Hardy, Lyn

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A535 D16 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation sustained for better practice.

A542 D15 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C04-0043-02  
Date Opened: April 12, 2004  
Date Closed: September 21, 2004  
Subject Name:

Subject Location:

Case Name:

Attorney: Hardy, Lyn

Source Name:

Complainant Name:

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A535 D16 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation sustained for better practice.

~~A542 D15 -- Failure to comply with DOJ Rules and Regulations.~~

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0062-01  
Date Opened: May 14, 2003  
Date Closed: September 29, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name:

Attorney: White, Alexander S.  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C

Allegation/Disposition Codes:

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C04-0025-01  
Date Opened: January 16, 2004  
Date Closed: September 29, 2004  
Subject Name:  
Subject Location: b6-b7C  
Case Name:

Attorney: Kessler, Tamara J.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C04-0025-02  
Date Opened: January 16, 2004  
Date Closed: September 29, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name:

Attorney: Kessler, Tamara J.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. AD404 Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C02-0041-01  
Date Opened: June 26, 2002  
Date Closed: September 30, 2004  
Subject Name: b6 b7C

User: Analyst

Date: November 26, 20 7

Subject Location:  
Case Name:

---b6 b7c

Attorney: White, Alexander S.

Source Name:

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A404 D09 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Professional Misconduct (PM).

A405 D09 -- Misrepresentation to Opposing Counsel. Unsubstantiated.  
Allegation not sustained for Professional Misconduct (PM).

\*\*\*\*\*

Case/Subject No: C03-0060-01

Date Opened: May 5, 2003

Date Closed: September 30, 2004

Subject Name:

Subject Location:

Case Name:

b6 b7c

Attorney: Wehner, Karen

Source Name: OPR SEARCH OF WESTLAW

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A421 D15 -- Intentional Failure to comply with court order/other than  
lateness Unsubstantiated. Allegation not sustained. Subject acted  
appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0066-01

Date Opened: May 16, 2003

Date Closed: September 30, 2004

Subject Name:

Subject Location:

---b6 b7c

Case Name: None

Attorney: Kessler, Tamara J.

Source Name:

b6 b7c

Complainant Name: UNKNOWN DOJ EMPLOYEE COMPLAINANT(S)

Allegation/Disposition Codes:

A600 D05 -- Wiretaps and electronic surveillance: improper or illegal  
(Title III). Substantiated for Poor Judgment. Report of inquiry  
referred to management.

\*\*\*\*\*

Case/Subject No: C03-0060-02

Date Opened: May 5, 2003

Date Closed: September 30, 2004

Subject Name:

Subject Location:

Case Name:

---b6 b7c

Attorney: Wehner, Karen

Source Name: OPR SEARCH OF WESTLAW

User: Analyst

Date: November 26, 20 7

Complainant Name:

Allegation/Disposition Codes:

A421 D15 -- Intentional Failure to comply with court order/other than  
~~lateness Unsubstantiated. Allegation not sustained. Subject acted~~  
appropriately in all circumstances

\*\*\*\*\*  
Case/Subject No: C03-0084-01  
Date Opened: August 1, 2003  
Date Closed: September 30, 2004  
Subject Name:

Subject Location:  
Case Name:

Attorney: Wahowiak, Marlene M.  
Source Name: None  
Complainant Name:

Allegation/Disposition Codes:

A542 D02 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated - Reckless Disregard.

\*\*\*\*\*  
Case/Subject No: C03-0087-01  
Date Opened: August 22, 2003  
Date Closed: September 30, 2004  
Subject Name:  
Subject Location: --  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name:

Allegation/Disposition Codes:

A406 D14 -- Discovery - Rule 16. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

\*\*\*\*\*  
Case/Subject No: C03-0087-02  
Date Opened: August 22, 2003  
Date Closed: September 30, 2004  
Subject Name:  
Subject Location: -  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name:

Allegation/Disposition Codes:

A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*  
Case/Subject No: C04-0005-01  
Date Opened: October 3, 2003  
Date Closed: September 30, 2004  
Subject Name:

User: Analyst

Date: November 26, 20 7

Subject Location:

Case Name:

Attorney:

White, Alexander S.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A407 D05 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

Case/Subject No:

C03-0070-01

Date Opened:

June 2, 2003

Date Closed:

October 4 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Clarke, Nadira

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

Case/Subject No:

C02-0049-01

Date Opened:

July 2, 2002

Date Closed:

October 5, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Hurley, Neil

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A535 D02 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Substantiated - Reckless Disregard.

Case/Subject No:

C04-0032-01

Date Opened:

February 19, 2004

Date Closed:

October 14, 2004

Subject Name:

Subject Location:

Case Name:

Attorney:

Wehner, Karen

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A340 D29 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Closed administratively. Other. Specify.

User: Analyst

Date: November 26, 20

Case/Subject No: C04-0054-01  
Date Opened: May 6, 2004  
Date Closed: October 14, 2004  
Subject Name: *b6 b7C*

Subject Location:  
Case Name: None  
Attorney: Wehner, Karen  
Source Name:

Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:  
A543 D29 Failure to maintain active Bar membership. Closed  
administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C03-0067-01  
Date Opened: May 19, 2003  
Date Closed: October 21, 2004  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Hurley, Neil  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7C* ----

Allegation/Disposition Codes:  
A408 D29 -- Discovery - Impeachment/Jencks. Closed administratively.  
Other. Specify.  
A404 D29 -- Misrepresentation /Misleading the Court. Closed  
administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C04-0002-01  
Date Opened: October 1, 2003  
Date Closed: October 21, 2004  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Meade, James A.

Source Name:  
Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:  
A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0067-02  
Date Opened: May 19, 2003  
Date Closed: October 21, 2004  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Hurley, Neil  
Source Name: OPR SEARCH OF WESTLAW

User: Analyst

Date: November 26, 20 7

Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7C*  
Allegation/Disposition Codes:

A408 D15 -- Discovery - Impeachment/Jencks. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*

Case/Subject No: C03-0020-01  
Date Opened: January 27, 2003  
Date Closed: October 28, 2004  
Subject Name:  
Subject Location: *b6 b7C*  
Case Name:

Attorney: Ward, Barbara  
Source Name: OPR SEARCH (

Complainant Name:

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0020-02  
Date Opened: January 27, 2003  
Date Closed: October 28, 2004  
Subject Name:

Subject Location: *b6 b7C* n.  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name: OPR SEARCH

Complainant Name:

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0020-03  
Date Opened: January 27, 2003  
Date Closed: October 28, 2004  
Subject Name:

Subject Location: *b6 b7C* .....  
Case Name:  
Attorney: Ward, Barbara  
Source Name: OPR SEARCH

Complainant Name: *b6 b7c*

## Allegation/Disposition Codes:

~~A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances~~

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Case/Subject No: C03-0020-04  
Date Opened: January 27, 2003  
Date Closed: October 28, 2004  
Subject Name:

Subject Location: *b6 b7c*

Case Name:

Attorney: Ward, Barbara L.

Source Name: OPR SEARCH

Complainant Name:

Columbia)

## Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0020-05  
Date Opened: January 27, 2003  
Date Closed: October 28, 2004  
Subject Name:

Subject Location: *b6 b7c*

Case Name:

Attorney: Ward, Barbara L.

Source Name: OPR SEARCH

Complainant Name: *b6, b7c*

## Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with  
atty-client relationship. Unsubstantiated. Allegation not sustained.  
Subject acted appropriately in all circumstances

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Case/Subject No: C03-0015-01  
Date Opened: December 27, 2002  
Date Closed: November 24, 2004  
Subject Name:

Subject Location: *b6 b7c*

Case Name:

Attorney: McCarty, Margaret S.

Source Name: *b6 b7c*Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*

## Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -



## Reckless Disregard.

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Case/Subject No: C03-0085-01  
Date Opened: August 6, 2003  
Date Closed: December 2, 2004  
Subject Name:

Subject Location: b6--b7C  
Case Name:  
Attorney: wahowiak, Marlene M.  
Source Name: OPR REVIEW OF DOJ NEWSCLIPS  
Complainant Name: b6 b7C  
Allegation/Disposition Codes:

A539 D02 -- Unprof statements or comments. Substantiated - Reckless Disregard.

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Case/Subject No: C04-0084-01  
Date Opened: September 24, 2004  
Date Closed: December 8, 2004  
Subject Name:  
Subject Location: b6 b7C

Case Name: None  
Attorney: White, Alexander S.  
Source Name: None  
Complainant Name: b6 b7C  
Allegation/Disposition Codes:

A340 D13 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0021-01  
Date Opened: December 1, 2003  
Date Closed: December 9, 2004  
Subject Name:  
Subject Location: b6 b7C  
Case Name: MULTIPLE CASES  
Attorney: Colby, Paul L.  
Source Name: None  
Complainant Name: b6 b7C  
Allegation/Disposition Codes:

A002 D20 -- Abuse of prosecutive or investigative authority (general). Closed Administratively. Referred to PIS/CRM.  
A370 D20 -- Obstruction of justice/coverup. Closed Administratively. Referred to PIS/CRM.  
A420 D20 -- Unauthorized plea agreements. Closed Administratively. Referred to PIS/CRM.  
A535 D20 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Closed Administratively. Referred to PIS/CRM.  
A542 D20 -- Failure to comply with DOJ Rules and Regulations. Closed Administratively. Referred to PIS/CRM.

\*\*\*\*\*

User: Analyst

Date: November 26, 20 7

Case/Subject No: C04-0031-01  
Date Opened: February 18, 2004  
Date Closed: December 9, 2004  
Subject Name:  
~~Subject Location:~~ ~~---~~ *b6 b7c*  
Case Name:  
Attorney: Colby, Paul L.  
Source Name: None  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A533 D20 -- Unauthorized disclosure (non-media): classified information. Closed Administratively. Referred to PIS/CRM.

A465 D20 -- Security violations. Closed Administratively. Referred to PIS/CRM.

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Case/Subject No: C03-0081-01  
Date Opened: July 29, 2003  
Date Closed: December 16, 2004  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Ward, Barbara L.  
Source Name: None  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A542 D02 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Reckless Disregard.

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Case/Subject No: C04-0078-01  
Date Opened: August 23, 2004  
Date Closed: December 20, 2004  
Subject Name:  
Subject Location: ~~-----~~ *b6 b7c*  
Case Name:  
Attorney: ~~white~~, Alexander S.  
Source Name: None  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A542 D29 -- Failure to comply with DOJ Rules and Regulations. Closed administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C04-0068-01  
Date Opened: August 9, 2004  
Date Closed: December 27, 2004  
Subject Name:  
Subject Location: *b6 - b7c*  
Case Name: None  
Attorney: Leiner, Frederick C.  
Source Name: ~~----~~ *b6 b7c*  
Complainant Name: DEPARTMENT OF JUSTICE

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A543 D01 -- Failure to maintain active Bar membership. Substantiated -  
Intentional.

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Case/Subject No: C05-0002-01  
Date Opened: October 13, 2004  
Date Closed: December 27, 2004  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name: *b6 b7c*  
Attorney: Clarke, Nadira  
Source Name:  
Complainant Name: *b6 b7c*

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Allegation/Disposition Codes:

A252 D05 -- Improper contacts with rep party/interference with  
atty-client relationship. Substantiated for Poor Judgment. Report of  
inquiry referred to management.

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C04-0070-01  
Date Opened: August 16, 2004  
Date Closed: January 4, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A421 D27 -- Intentional Failure to comply with court order/other than  
lateness Closed administratively. Complaint withdrawn.

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Case/Subject No: C04-0003-01  
Date Opened: October 2, 2003  
Date Closed: January 5, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:

Attorney: White, Alexander S.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A419 D05 -- Improper Evidence/Introduction of. Substantiated for Poor  
Judgment. Report of inquiry referred to management.

A539 D05 -- Unprof statements or comments. Substantiated for Poor  
Judgment. Report of inquiry referred to management.

A542 D05 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

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Case/Subject No: C04-0036-01  
Date Opened: March 10, 2004  
Date Closed: January 5, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A421 D02 -- Intentional Failure to comply with court order/other than  
lateness Substantiated - Reckless Disregard.

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Case/Subject No: C04-0083-01  
Date Opened: September 10, 2004  
Date Closed: January 6, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Howard, Lisa G.

User: Analyst

Date: November 26, 20 7

Source Name:

Complainant Name:

-- b6 b7c

Allegation/Disposition Codes:

A002 D15 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

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Case/Subject No: C03-0076-01

Date Opened: June 26, 2003

Date Closed: January 7, 2005

Subject Name:

Subject Location:

Case Name:

b6 b7c

Attorney: Ross, Simone E.

Source Name: OPR REVIEW OF NEWS ARTICLES

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

A421 D15 -- Intentional Failure to comply with court order/other than  
lateness Unsubstantiated. Allegation not sustained. Subject acted  
appropriately in all circumstances

A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C03-0076-02

Date Opened: June 26, 2003

Date Closed: January 7, 2005

Subject Name:

Subject Location:

Case Name:

--- b6 b7c

Attorney: Ross, Simone E.

Source Name: OPR SEARCH OF NEWS ARTICLES

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment.  
Report of inquiry referred to management.

A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

A421 D14 -- Intentional Failure to comply with court order/other than  
lateness Unsubstantiated. Allegation not sustained. Subject made a  
mistake.

\*\*\*\*\*

Case/Subject No: C04-0012-01

Date Opened: October 27, 2003

Date Closed: January 7, 2005

Subject Name:

Subject Location:

Case Name:

b6 b7c

Attorney: Hardv, Lvn

Source Name:

b6 b7c

User: Analyst

Date: November 26, 20 7

Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:  
A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*  
Case/Subject No: C04-0015-01  
Date Opened: October 27, 2003  
Date Closed: January 7, 2005  
Subject Name:  
Subject Location: *- b6 b7c -*  
Case Name:  
Attorney: Wahowiak, Marlene M.  
Source Name: *b6 b7c*  
Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*  
Allegation/Disposition Codes:  
A061 D13 -- Civil or constitutional rights violations.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C04-0064-01  
Date Opened: July 7, 2004  
Date Closed: January 8, 2005  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:  
A633 D29 Speedy Trial Act violation Closed administratively. Other.  
Specify.

\*\*\*\*\*  
Case/Subject No: C03-0058-01  
Date Opened: May 5, 2003  
Date Closed: January 10, 2005  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Kessler, Tamara J.  
Source Name:  
Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:  
A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*  
Case/Subject No: C03-0058-02  
Date Opened: May 5, 2003  
Date Closed: January 10, 2005  
Subject Name: *b6 b7c*

User: Analyst

Date: November 26, 20 7

Subject Location:

Case Name:

Attorney:

Source Name:

Complainant Name:

-- b6 b7C  
Kessler, Tamara J.

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*

Case/Subject No:

C03-0058-03

Date Opened:

May 5, 2003

Date Closed:

January 10, 2005

Subject Name:

Subject Location:

Case Name:

Attorney:

Source Name:

Complainant Name:

-- b6 b7C  
Kessler, Tamara J.

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*

Case/Subject No:

C03-0058-04

Date Opened:

May 5, 2003

Date Closed:

January 10, 2005

Subject Name:

Subject Location:

Case Name:

Attorney:

Source Name:

Complainant Name:

---- b6 b7C  
Kessler, Tamara J.

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*

Case/Subject No:

C03-0074-01

Date Opened:

June 19, 2003

Date Closed:

January 10, 2005

Subject Name:

Subject Location:

Case Name:

Attorney:

Source Name:

Complainant Name:

None

White, Alexander S.

FBI/OPR (pursuant to request by DOJ/OPR)

GRASSLEY, CHARLES and LEAHY, PATRICK (U.S. Senators)

Allegation/Disposition Codes:

A532 D01 -- Unauthorized disclosure to media: other - including Priv

User: Analyst

Date: November 26, 20 7

Act violations and Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C04-0007-01  
Date Opened: October 14, 2003  
Date Closed: January 10, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Gross, Charles R.  
Source Name:  
Complainant Name: *bb b7c*  
Allegation/Disposition Codes:

A407 D02 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated - Reckless Disregard.  
A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.  
A407 D05 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.  
A407 D14 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C04-0007-02  
Date Opened: October 14, 2003  
Date Closed: January 10, 2005  
Subject Name:

Subject Location:  
Case Name:

Attorney: Gross, Charles R.  
Source Name:  
Complainant Name: *bb b7c*  
Allegation/Disposition Codes:

A407 D14 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A407 D13 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0023-01  
Date Opened: December 8, 2003  
Date Closed: January 10, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Meade, James A.  
Source Name:  
Complainant Name: *bb b7c*  
Allegation/Disposition Codes:

A407 D14 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained. Subject made a mistake.



User: Analyst

Date: November 26, 20 7

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Case/Subject No: C04-0029-01  
Date Opened: February 10, 2004  
~~Date Closed: January 10, 2005~~

Subject Name:  
Subject Location:  
Case Name:

Attorney: Howard, Lisa G.  
Source Name: FOUND BY OPR DURING REVIEW OF NEWS CLIPS  
Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*

Allegation/Disposition Codes:

A150 D05 -- Failure to honor plea agreement. Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C04-0052-01  
Date Opened: May 6, 2004  
Date Closed: January 11, 2005

Subject Name:  
Subject Location:  
Case Name:

Attorney: Wehner, Karen  
Source Name:  
Complainant Name:

Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C04-0077-01  
Date Opened: August 16, 2004  
Date Closed: January 11, 2005

Subject Name:  
Subject Location:  
Case Name:

Attorney: Clarke, Nadira  
Source Name: None  
Complainant Name:

Allegation/Disposition Codes:

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.  
A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.  
A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.  
A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.  
A999 D01 -- Other (failure to inform client of material facts; misleading supervisors). Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C04-0087-01  
Date Opened: September 28, 2004  
Date Closed: January 11, 2005

Subject Name:

Subject Location:

Case Name: None  
Attorney: Leiner, Frederick C.

User: Analyst

Date: November 26, 20 7

Source Name:

b6 b7C

Complainant Name:

Allegation/Disposit

A062 D23 -- Conflict: general, including appearance of conflict.

Closed Administratively. Referred to the OIG.

A065 D23 -- Conflicting personal interests. Closed Administratively.  
Referred to the OIG.

A001 D23 -- Abuse of authority or misuse of official position. Closed.  
Administratively. Referred to the OIG.

\*\*\*\*\*

Case/Subject No:

C04-0087-02

Date Opened:

September 28, 2004

Date Closed:

January 11, 2005

Subject Name:

Subject Location:

None

Case Name:

Attorney:

Leiner, Frederick C.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A001 D23 -- Abuse of authority or misuse of official position. Closed  
Administratively. Referred to the OIG.

A220 D24 -- Hatch Act violations. Closed Administratively.  
Referred/more appropriately handled by Office of Special Counsel.

\*\*\*\*\*

Case/Subject No:

C04-0026-01

Date Opened:

January 30, 2004

Date Closed:

March 4, 2005

Subject Name:

Subject Location:

Case Name:

Attorney:

Duncan, James G.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Substantiated - Reckless Disregard.

A370 D13 -- Obstruction of justice/coverup. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No:

C04-0028-01

Date Opened:

February 5, 2004

Date Closed:

March 4, 2005

Subject Name:

Subject Location:

Case Name:

None

Attorney:

White, Alexander S.

Source Name:

None

User: Analyst

Date: November 26, 20 7

Complainant Name:

Allegation/Disposition Codes:

~~A432 D13 -- Retaliation or reprisals. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

~~A157 D13 -- Falsification of records. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

\*\*\*\*\*  
Case/Subject No: C04-0028-02  
Date Opened: February 5, 2004  
Date Closed: March 4, 2005  
Subject Name:

Subject Location:  
Case Name: None  
Attorney: White, Alexander S.  
Source Name: None  
Complainant Name:

Allegation/Disposition Codes:

A432 D13 -- Retaliation or reprisals. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A157 D13 -- Falsification of records. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C04-0062-01  
Date Opened: July 7, 2004  
Date Closed: March 5, 2005  
Subject Name:

Subject Location:  
Case Name:  
Attorney: Kessler, Tamara J.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: COURT OF APPEALS

Allegation/Disposi

A410 D29 Improper Closing Argument. Closed administratively. Other. Specify.

A411 D29 -- Improper Examination of a Witness. Closed administratively. Other. Specify.

\*\*\*\*\*  
Case/Subject No: C04-0039-01  
Date Opened: March 15, 2004  
Date Closed: March 15, 2005  
Subject Name:

Subject Location:  
Case Name: MULTIPLE CASES  
Attorney: Ward, Barbara L.  
Source Name: None  
Complainant Name:

Allegation/Disposition Codes:

A422 D05 -- Lateness re Court Order. Substantiated for Poor Judgment. Report of inquiry referred to management.

User: Analyst

Date: November 26, 20 7

A422 D05 -- Lateness re Court Order. Substantiated for Poor Judgment.  
Report of inquiry referred to management.

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

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Case/Subject No: C04-0065-01  
Date Opened: July 7, 2004  
Date Closed: March 18, 2005  
Subject Name:  
Subject Location: -----  
Case Name: MULTIPLE CASES

Attorney: Ward, Barbara L.  
Source Name: EOUSA GENERAL COUNSEL'S OFFICE  
Complainant Name: U.S. DISTRICT COURT

Allegation/Disposition Codes:

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.

A633 D05 -- Speedy Trial Act violation Substantiated for Poor Judgment.  
Report of inquiry referred to management.

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Case/Subject No: C04-0006-01  
Date Opened: October 14, 2003  
Date Closed: March 25, 2005  
Subject Name:  
Subject Location: -----  
Case Name: -----

Attorney: McCarty, Margaret S.  
Source Name: -----  
Complainant Name: -----

Allegation/Disposition Codes:

A534 D05 -- Unauthorized disclosure (non-media): 6(e) (including Misuse  
of GJ materials in Substantiated for Poor Judgment. Report of inquiry  
referred to management.

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Case/Subject No: C04-0063-01  
Date Opened: July 7, 2004  
Date Closed: March 25, 2005  
Subject Name: -----

Subject Location: -----  
Case Name: -----

Attorney: Wehner, Karen  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A422 D15 Lateness re Court Order. Unsubstantiated. Allegation not

User: Analyst

Date: November 26, 20 7

sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C04-0063-02  
Date Opened: July 7, 2004  
Date Closed: March 25, 2005  
Subject Name: b6 b7c

Subject Location:  
Case Name: b6 b7c

Attorney: Wehner, Karen  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR TH b6 b7c  
Allegation/Disposition Codes:

A422 D15 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0013-01  
Date Opened: October 27, 2003  
Date Closed: March 31, 2005  
Subject Name: b6 b7c

Subject Location:  
Case Name:  
Attorney: Wahowiak, Marlene M.  
Source Name: b6 b7c

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c  
Allegation/Disposition Codes:

A061 D05 -- Civil or constitutional rights violations. Substantiated for Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C04-0086-01  
Date Opened: September 27, 2004  
Date Closed: March 31, 2005  
Subject Name: b6 b7c

Subject Location:  
Case Name:  
Attorney: Hardy, Lyn  
Source Name: DOT EXECUTIVE SECRETARIAT  
Complainant Name: b6 b7c (U.S. Congressman, on behalf of b6 b7c)

Allegation/Disposition Codes:

A011 D29 -- Overzealous prosecution. Closed administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C04-0086-02  
Date Opened: September 27, 2004  
Date Closed: March 31, 2005  
Subject Name: b6 b7c

Subject Location:  
Case Name:  
Attorney: Hardy, Lyn

User: Analyst

Date: November 26, 20 7

Source Name: DOJ EXECUTIVE SECRETARIAT

Complainant Name: *b6 b7c* (U.S. Congressman, on behalf of *b6 b7c*)

Allegation/Disposition Codes:

A011 D29 -- Overzealous prosecution. Closed administratively. Other.  
Specify.

\*\*\*\*\*

Case/Subject No: C04-0035-01  
Date Opened: March 10, 2004  
Date Closed: April 1, 2005  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Colby, Paul L.  
Source Name:  
Complainant Name: *----- b6 b7c*

Allegation/Disposition Codes:

A407 D05 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated for Poor Judgment. Report of inquiry referred to management.

A412 D05 -- Improper Coercion/Intimidation of a Witness. Substantiated for Poor Judgment. Report of inquiry referred to management.

A542 D15 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0035-02  
Date Opened: March 10, 2004  
Date Closed: April 1, 2005  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Colby, Paul L.  
Source Name:  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A412 D15 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A412 D15 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A412 D15 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C05-0047-01  
Date Opened: March 16, 2005  
Date Closed: April 1, 2005  
Subject Name: *b6 b7c*

User: Analyst

Date: November 26, 20 7

Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Meade, James A.  
Source Name: None  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A002 D15 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

A542 D15 -- Failure to comply with DOJ Rules and Regulations.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

A534 D15 -- Unauthorized disclosure (non-media): 6(e) (including Misuse  
of GJ materials in Unsubstantiated. Allegation not sustained. Subject  
acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C04-0069-01  
Date Opened: August 10, 2004  
Date Closed: April 5, 2005  
Subject Name: *b6 b7c*  
Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Hurley, Neil  
Source Name: None  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A535 D01 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C04-0069-02  
Date Opened: August 10, 2004  
Date Closed: April 5, 2005  
Subject Name: *b6 b7c*  
Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Hurley, Neil  
Source Name: None  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A535 D05 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Substantiated for Poor Judgment. Report of inquiry  
referred to management.

\*\*\*\*\*

Case/Subject No: C04-0069-03  
Date Opened: August 10, 2004  
Date Closed: April 5, 2005  
Subject Name: *b6 b7c*  
Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Hurley, Neil

User: Analyst

Date: November 26, 20 7

Source Name: None

Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

~~A535 D02 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Substantiated - Reckless Disregard.~~

\*\*\*\*\*

Case/Subject No: C05-0012-01

Date Opened: November 8, 2004

Date Closed: April 5, 2005

Subject Name: *b6 b7c*

Subject Location: *b6 b7c*

Case Name: *b6 b7c*

Attorney: Hurley, Neil

Source Name: *b6 b7c*

Complainant Name: U.S. ATTORNEY'S OFFICE,

Allegation/Disposition Codes:

A535 D13 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0012-02

Date Opened: November 8, 2004

Date Closed: April 5, 2005

Subject Name: *b6 b7c*

Subject Location: *b6 b7c*

Case Name:

Attorney: Hurley, Neil

Source Name:

Complainant Name: U.S. ATTORNEY'S OFFICE

Allegation/Disposition Codes:

A535 D13 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C03-0071-01

Date Opened: June 2, 2003

Date Closed: April 6, 2005

Subject Name: *b6 b7c*

Subject Location: *b6 b7c*

Case Name: *b6 b7c*

Attorney: Hurley, Neil

Source Name:

Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

A407 D15 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

A411 D15 -- Improper Examination of a Witness. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all



User: Analyst

Date: November 26, 20 7

circumstances

\*\*\*\*\*

Case/Subject No: C03-0043-01  
Date Opened: March 3, 2003  
Date Closed: April 7, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name: b6 b7c  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A150 D15 -- Failure to honor plea agreement. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*

Case/Subject No: C04-0018-01  
Date Opened: November 17, 2003  
Date Closed: April 7, 2005  
Subject Name:  
Subject Location: b6 -- b7c  
Case Name:  
Attorney: Hardv. Lvn  
Source Name: b6 b7c  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A462 D14 -- Subornation of perjury/Failure to correct false testimony.  
Unsubstantiated. Allegation not sustained. Subject made a mistake.

A462 D13 -- Subornation of perjury/Failure to correct false testimony.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0027-01  
Date Opened: January 14, 2004  
Date Closed: April 7, 2005  
Subject Name:  
Subject Location: --- b6 b7c  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name: b6 b7c  
Complainant Name:

Allegation/Disposition Codes:

A531 D13 -- Unauthorized disclosure to media: 6(e) material.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

A532 D13 -- Unauthorized disclosure to media: other - including Priv  
Act violations and Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.

\*\*\*\*\*

User: Analyst

Date: November 26, 20 7

Case/Subject No: C04-0037-01  
Date Opened: March 12, 2004  
Date Closed: April 7, 2005

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Meade, James A.  
Source Name:

Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:  
A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C04-0037-02  
Date Opened: March 12, 2004  
Date Closed: April 7, 2005  
Subject Name:

Subject Location: *b6 b7c*  
Case Name:  
Attorney: Meade, James A.  
Source Name:

Complainant Name: *--b6 b7c*  
Allegation/Disposition Codes:  
A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0015-01  
Date Opened: November 30, 2004  
Date Closed: April 7, 2005

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: McCarty, Margaret S.  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:  
A410 D02 -- Improper Closing Argument. Substantiated - Reckless  
Disregard.

\*\*\*\*\*

Case/Subject No: C04-0045-01  
Date Opened: April 16, 2004  
Date Closed: April 14, 2005

Subject Name:  
Subject Location: *b6 b7c*  
Case Name: None  
Attorney: Fraase, Mark G.  
Source Name: None

Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:  
A532 D13 -- Unauthorized disclosure to media: other - including Priv  
Act violations and Unsubstantiated. Allegation not sustained for Poor

User: Analyst

Date: November 26, 20 7

Judgment or Professional Misconduct.

A529 D13 -- Intentional Failure to Comply with DOJ Press Guidelines.

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0018-01  
Date Opened: December 3, 2004  
Date Closed: May 27, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: *bb b7c*

Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C03-0064-01  
Date Opened: May 13, 2003  
Date Closed: May 31, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Ross, Simone E.  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: *bb b7c*

Allegation/Disposition Codes:

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A408 D13 -- Discovery - Impeachment/Jencks. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0004-01  
Date Opened: October 20, 2004  
Date Closed: May 31, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name: None  
Attorney: Wehner, Karen  
Source Name:  
Complainant Name: *bb b7c*

Allegation/Disposition Codes:

A413 D01 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated - Intentional.

A413 D02 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated - Reckless Disregard.

A418 D02 -- Ex parte Communication. Substantiated - Reckless Disregard.

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C05-0008-01  
Date Opened: October 25, 2004  
Date Closed: May 31, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name: MULTIPLE CASES  
Attorney: Colby, Paul L. b6 b7c  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.  
A341 D02 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Reckless Disregard.  
A404 D09 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Professional Misconduct (PM).

\*\*\*\*\*

Case/Subject No: C04-0033-01  
Date Opened: March 5, 2004  
Date Closed: June 4, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name: b6 b7c

Complainant Name:

Allegation/Disposition Codes:

A422 D29 -- Lateness re Court Order. Closed administratively. Other.  
Specify.

\*\*\*\*\*

Case/Subject No: C04-0042-01  
Date Opened: April 7, 2004  
Date Closed: June 4, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name: b6 b7c

Complainant Name:

Allegation/Disposition Codes:

A422 D29 -- Lateness re Court Order. Closed administratively. Other.  
Specify.

\*\*\*\*\*

Case/Subject No: C04-0057-01  
Date Opened: May 28, 2004  
Date Closed: June 9, 2005  
Subject Name:  
Subject Location: b6 -- b7c

User: Analyst

Date: November 26, 20 7

Case Name: None  
Attorney: Wahowiak, Marlene M.  
Source Name:

Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:  
A535 D02 -- Unauthorized disclosure (non-media): other (including Priv  
Act violations and Substantiated - Reckless Disregard.  
A062 D13 -- Conflict: general, including appearance of conflict.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.  
A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0035-01  
Date Opened: January 28, 2005  
Date Closed: June 9, 2005  
Subject Name:  
Subject Location:  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:

A252 D02 -- Improper contacts with rep party/interference with  
atty-client relationship. Substantiated -- Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C03-0073-01  
Date Opened: June 17, 2003  
Date Closed: June 13, 2005  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Hurley, Neil  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE  
Allegation/Disposition Codes:

A411 D02 -- Improper Examination of a Witness. Substantiated - Reckless  
Disregard.

\*\*\*\*\*

Case/Subject No: C04-0034-01  
Date Opened: March 5, 2004  
Date Closed: June 15, 2005  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:  
Attorney: Meade, James A.  
Source Name:  
Complainant Name: *b6 b7C*

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A411 D14 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

\*\*\*\*\*  
Case/Subject No: C04-0047-01  
Date Opened: April 23, 2004  
Date Closed: June 21, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A150 D05 -- Failure to honor plea agreement. Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*  
Case/Subject No: C04-0047-02  
Date Opened: April 23, 2004  
Date Closed: June 21, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A150 D05 -- Failure to honor plea agreement. Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*  
Case/Subject No: C04-0075-01  
Date Opened: August 16, 2004  
Date Closed: June 23, 2005  
Subject Name:

Subject Location: b6 b7c  
Case Name:  
Attorney: Howard, Lisa G.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c

Allegation/Disposition Codes:

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C05-0036-01  
Date Opened: February 11, 2005  
Date Closed: June 23, 2005  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: White, Alexander S.  
Source Name: MARGOLIS, DAVID (Associate Deputy Attorney General)

User: Analyst

Date: November 26, 20 7

Complainant Name: OFFICE OF THE DEPUTY ATTORNEY GENERAL

Allegation/Disposition Codes:

A535 D13 -- Unauthorized disclosure (non-media): other (including Priv  
~~Act violations and Unsubstantiated. Allegation not sustained for Poor~~  
Judgment or Professional Misconduct.

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Case/Subject No: C04-0016-01

Date Opened: October 31, 2003

Date Closed: June 27, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: White, Alexander S.; Kessler, Tamara J.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C04-0016-02

Date Opened: October 31, 2003

Date Closed: June 27, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: White, Alexander S.; Kessler, Tamara J.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0016-03

Date Opened: October 31, 2003

Date Closed: June 27, 2005

Subject Name: DOJ ATTORNEYS WHO PARTICIPATED IN AND SUPERVISED

Subject Location: b6 - Unknown (various locations).

Case Name:

Attorney: White, Alexander S.; Kessler, Tamara J.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C04-0009-01

Date Opened: October 20, 2003

Date Closed: June 28, 2005

Subject Name:

User: Analyst

Date: November 26, 20 7

Subject Location:

Case Name:

Attorney: Ross, Simone E.

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A411 D14 -- Improper Examination of a Witness. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C03-0059-01

Date Opened: May 5, 2003

Date Closed: June 30, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name: OPR SEARCH OF WESTLAW

Complainant Name:

Allegation/Disposition Codes:

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake.

A011 D15 -- Overzealous prosecution. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C03-0059-02

Date Opened: May 5, 2003

Date Closed: June 30, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name: OPR SEARCH OF WESTLAW

Complainant Name:

Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated - Reckless Disregard.

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake.

A011 D15 -- Overzealous prosecution. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0014-01

Date Opened: November 19, 2004

Date Closed: June 30, 2005

Subject Name:

Subject Location:

Case Name: None

Attorney: Wehner, Karen



User: Analyst

Date: November 26, 20 7

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

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Case/Subject No: C05-0014-02  
Date Opened: November 19, 2004  
Date Closed: June 30, 2005  
Subject Name: *fb b7c*

Subject Location:  
Case Name: None  
Attorney: Wehner, Karen  
Source Name: OIG REFERRAL  
Complainant Name:

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

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Case/Subject No: C05-0045-01  
Date Opened: February 28, 2005  
Date Closed: June 30, 2005  
Subject Name:

Subject Location: *b6 b7c*  
Case Name:  
Attorney: Ross, Simone E.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A532 D29 -- Unauthorized disclosure to media: other - including Priv  
Act violations and Closed administratively. Other. Specify.  
A404 D29 -- Misrepresentation /Misleading the Court. Closed  
administratively. Other. Specify.

\*\*\*\*\*  
Case/Subject No: C04-0073-01  
Date Opened: August 16, 2004  
Date Closed: July 1, 2005  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Meade, James A.  
Source Name: OPR REVIEW OF NEWS ARTICLES  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A542 D14 -- Failure to comply with DOJ Rules and Regulations.  
Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*  
Case/Subject No: C03-0082-01  
Date Opened: July 29, 2003

User: Analyst

Date: November 26, 20 7

Date Closed: July 5, 2005  
Subject Name:  
Subject Location: b6 b7C

Case Name:  
Attorney: Fraase, Mark G.  
Source Name: SELF-REPORTING BY  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A412 D05 -- Improper Coercion/Intimidation of a Witness. Substantiated for Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C04-0058-01  
Date Opened: June 3, 2004  
Date Closed: July 5, 2005  
Subject Name:  
Subject Location: b --

Case Name: None  
Attorney: Wahowiak, Marlene M.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A418 D13 -- Ex parte Communication. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A252 D13 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0072-01  
Date Opened: August 16, 2004  
Date Closed: July 5, 2005  
Subject Name: b6 b7C

Subject Location: b6 b7C b6 b7C  
Case Name: MULTIPLE CASES  
Attorney: b6 b7C Ward, Barbara L.  
Source Name: None  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard.

A542 D05 -- Failure to comply with DOJ Rules and Regulations. Substantiated for Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C05-0019-01  
Date Opened: December 3, 2004  
Date Closed: July 5, 2005  
Subject Name: b6 b7C

User: Analyst

Date: November 26, 20 7

Subject Location:

Case Name:

Attorney: Brandon, Kathleen

Source Name: OPR WESTLAW SEARCH

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C04-0022-01

Date Opened: December 7, 2003

Date Closed: August 2, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: Thomson, Robert

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C04-0061-01

Date Opened: June 25, 2004

Date Closed: September 2, 2005

Subject Name:

Subject Location:

Case Name: None

Attorney: Wahowiak, Marlene M.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A064 D13 -- Conflicting financial interests. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0020-01

Date Opened: December 6, 2004

Date Closed: September 9, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name: OPR WESTLAW SEARCH

Complainant Name:

Allegation/Disposition Codes:

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake.

User: Analyst

Date: November 26, 20 7

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

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Case/Subject No: C05-0034-01  
Date Opened: January 26, 2005  
Date Closed: September 14, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: wenner, Karen  
Source Name: U.S. ATTORNEY'S OFFICE, --  
Complainant Name: *bb b7c*

Allegation/Disposition Codes:  
A407 D02 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated - Reckless Disregard.

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Case/Subject No: C05-0034-02  
Date Opened: January 26, 2005  
Date Closed: September 14, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Wehner, Karen  
Source Name: U.S. ATTORNEY'S OFFICE,  
Complainant Name: *bb b7c*

Allegation/Disposition Codes:  
A407 D02 -- Discovery - Brady Violation/Exculpatory Information.  
Substantiated - Reckless Disregard.

\*\*\*\*\*  
Case/Subject No: C04-0067-01  
Date Opened: July 20, 2004  
Date Closed: September 15, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Howard, Lisa G.  
Source Name: OPR REVIEW OF NEWS ARTICLES  
Complainant Name: U.S. COURT OF APPEALS FOR *bb b7c*

Allegation/Disposition Codes:  
A410 D02 -- Improper Closing Argument. Substantiated - Reckless  
Disregard.  
A411 D02 -- Improper Examination of a Witness. Substantiated -  
Reckless Disregard.  
A413 D02 -- Prejudicial Statement to a Grand or Petit Jury.  
Substantiated - Reckless Disregard.

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Case/Subject No: C05-0067-01  
Date Opened: July 13, 2005  
Date Closed: September 28, 2005  
Subject Name: *bb b7c*

User: Analyst

Date: November 26, 20 7

Subject Location:

Case Name:

Attorney: *b6 - b7c* Leiner, Frederick C.

Source Name:

Complainant Name: *b6 b7c* U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0016-01

Date Opened: December 3, 2004

Date Closed: September 29, 2005

Subject Name: UNKNOWN DOJ EMPLOYEE(S)

Subject Location: L000 -- Unknown.

Case Name:

Attorney: *b6 b7c* Meade, James A.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A532 D29 -- Unauthorized disclosure to media: other - including Priv Act violations and Closed administratively. Other. Specify.

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Case/Subject No: C03-0054-01

Date Opened: April 15, 2003

Date Closed: September 30, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: *b6 b7c* Fraase, Mark G.

Source Name: (regarding complaint of

Complainant Name:

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0074-01

Date Opened: August 16, 2004

Date Closed: September 30, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: *b6 b7c* Ward, Barbara L.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A061 D05 -- Civil or constitutional rights violations. Substantiated for Poor Judgment. Report of inquiry referred to management.

A061 D13 -- Civil or constitutional rights violations.

User: Analyst

Date: November 26, 20 7

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0082-01  
Date Opened: September 1, 2004  
Date Closed: September 30, 2005  
Subject Name: - b6 b7c  
Subject Location: b6 b7c  
Case Name: MULTIPLE CASES  
Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A340 D14 -- Negligence/derelection/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.  
A340 D14 -- Negligence/derelection/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C05-0007-01  
Date Opened: October 25, 2004  
Date Closed: September 30, 2005  
Subject Name: - b6 b7c  
Subject Location: b6 b7c  
Case Name:  
Attorney: McCarty, Margaret S.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: COURT OF APPEALS for the b6 b7c

Allegation/Disposition Codes:

A410 D13 Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0030-01  
Date Opened: January 18, 2005  
Date Closed: September 30, 2005  
Subject Name: - b6 b7c  
Subject Location: b6 b7c  
Case Name:  
Attorney: Thomson, Robert ✓  
Source Name: OFFICE OF THE DEPUTY ATTORNEY GENERAL  
Complainant Name: U.S. ATTORNEY'S OFFICE, b6 b7c

Allegation/Disposition Codes:

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated - Intentional.  
A342 D01 -- Intentional Failure to Perform Substantiated - Intentional.  
A999 D01 -- Other or not specified above. Substantiated - Intentional.

User: Analyst

Date: November 26, 20 7

A537 D01 -- Unprof or unethical behavior: other (unspecific).  
Substantiated - Intentional.

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Case/Subject No: C05-0048-01  
Date Opened: March 21, 2005  
Date Closed: September 30, 2005  
Subject Name:  
Subject Location: bb b7C  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: ---bb b7C

Allegation/Disposi

A424 D14 -- Discovery - Civil Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

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Case/Subject No: C05-0049-01  
Date Opened: March 16, 2005  
Date Closed: October 1, 2005  
Subject Name:  
Subject Location: bb b7C  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: bb b7C

Allegation/Disposition Codes:

A424 D15 -- Discovery - Civil Unsubstantiated.. Allegation not  
sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0049-02  
Date Opened: March 16, 2005  
Date Closed: October 1, 2005  
Subject Name:  
Subject Location: bb b7C  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: bb b7C

Allegation/Disposition Codes:

A424 D29 -- Discovery - Civil Closed administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C04-0048-01  
Date Opened: April 26, 2004  
Date Closed: October 3, 2005  
Subject Name:  
Subject Location: bb b7C  
Case Name:  
Attorney: Thomson, Robert  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A411 D14 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

~~A411 D14 -- Improper Examination of a Witness. Unsubstantiated.~~  
~~Allegation not sustained. Subject made a mistake.~~

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Case/Subject No: C05-0051-01  
Date Opened: April 11, 2005  
Date Closed: October 15, 2005  
Subject Name: b6 b7C

Subject Location: b6 b7C  
Case Name: b6 b7C  
Attorney: Brandon, Kathleen  
Source Name:

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A421 D29 -- Intentional Failure to comply with court order/other than  
lateness Closed administratively. Other. Specify.

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Case/Subject No: C05-0003-01  
Date Opened: October 15, 2004  
Date Closed: October 28, 2005  
Subject Name:

Subject Location: b6 b7C  
Case Name:  
Attorney: McCarty, Margaret S.  
Source Name: IDENTIFIED BY OPR DURING COURSE OF ANOTHER OPR  
INVESTIGATION

Complainant Name: U.S. ATTORNEY'S OFFICE, b6 b7C

Allegation/Disposition Codes:

A341 D02 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Reckless Disregard.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0003-02  
Date Opened: October 15, 2004  
Date Closed: October 28, 2005  
Subject Name:

Subject Location: b6 b7C  
Case Name:  
Attorney: McCarty, Margaret S.  
Source Name: IDENTIFIED BY OPR DURING COURSE OF ANOTHER OPR  
INVESTIGATION

Complainant Name: U.S. ATTORNEY'S OFFICE, b6 b7C

Allegation/Disposition Codes:

A462 D13 -- Subornation of perjury/Failure to correct false testimony.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.



User: Analyst

Date: November 26, 20 7

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Case/Subject No: C05-0037-01  
Date Opened: February 14, 2005  
Date Closed: November 4, 2005  
Subject Name:

Subject Location:

Case Name: None

Attorney: Kessler, Tamara J.

Source Name: OPR, BY CONTACTING b6 b7C DURING COURSE OF INQUIRY

Complainant Name: DEPARTMENT OF JUSTICE

Allegation/Disposition Codes:

A543 D29 -- Failure to maintain active Bar membership. Closed  
administratively. Other. Specify.

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Case/Subject No: C05-0083-01  
Date Opened: September 1, 2005  
Date Closed: November 19, 2005  
Subject Name:

Subject Location:

Case Name: None

Attorney: Kessler, Tamara J.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A063 D00 -- Conflict of interest or viol. Of post-employment  
restrictions -- crm.

A534 D00 -- Unauthorized disclosure (non-media): 6(e) (including Misuse  
of GJ materials in

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Case/Subject No: C05-0072-01  
Date Opened: July 20, 2005  
Date Closed: November 30, 2005  
Subject Name:

Subject Location:

Case Name:

Attorney: Ross, Simone E.

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C

Allegation/Disposition Codes:

A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0080-01  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name:

Subject Location:

Case Name:

Dist. Of Oregon.  
BRANDON MAYFIELD MATTER

User: Analyst

Date: November 26, 20 7

Attorney: Kessler, Tamara J.  
Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the  
Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0080-02  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name:

Subject Location: *bb b7c* Dist. Of Oregon.

Case Name: BRANDON MAYFIELD MATTER

Attorney: Kessler, Tamara J.

Source Name: DEPARTMENT OF JUSTICE

Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the  
Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
~~Allegation not sustained for Poor Judgment or Professional Misconduct.~~

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Case/Subject No: C04-0080-03  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name:

Subject Location: *bb b7c*

Case Name: BRANDON MAYFIELD MATTER

Attorney: Kessler, Tamara J.

Source Name: DEPARTMENT OF JUSTICE

Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the  
Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0080-04  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name:

Subject Location: *bb b7c* Dist. Of Oregon.

Case Name: BRANDON MAYFIELD MATTER

Attorney: Kessler, Tamara J.

User: Analyst

Date: November 26, 20 7

Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0080-05  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name: *b6 b7c*

Subject Location: *b6 b7c* -- Criminal Division.  
Case Name: BRANDON MAYFIELD MATTER  
Attorney: Kessler, Tamara J.  
Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
~~Allegation not sustained for Poor Judgment or Professional Misconduct.~~

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Case/Subject No: C04-0080-06  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name: *b6 b7c*

Subject Location: *b6 b7c* -- Criminal Division.  
Case Name: BRANDON MAYFIELD MATTER  
Attorney: Kessler, Tamara J.  
Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: ~~LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General)~~

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0080-07  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005  
Subject Name: *b6 b7c*

Subject Location: *b6 b7c* -- Criminal Division.

User: Analyst

Date: November 26, 20 7

Case Name: BRANDON MAYFIELD MATTER  
Attorney: Kessler, Tamara J.  
Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.  
A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0080-08  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005

Subject Name:  
Subject Location:

Case Name: BRANDON MAYFIELD MATTER  
Attorney: Kessler, Tamara J.  
Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General)

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0080-09  
Date Opened: August 26, 2004  
Date Closed: December 6, 2005

Subject Name:  
Subject Location:

Case Name: BRANDON MAYFIELD MATTER  
Attorney: Kessler, Tamara J.  
Source Name: DEPARTMENT OF JUSTICE  
Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General)

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0042-01  
Date Opened: February 22, 2005  
Date Closed: December 6, 2005

Subject Name:  
Subject Location:

Case Name: UNIDENTIFIED AUSA(S).  
Attorney: ward, Barbara L.  
Source Name: OPR SEARCH OF WESTLAW  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A419 D13 -- Improper Evidence/Introduction of. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C05-0075-01  
Date Opened: July 31, 2005  
~~Date Closed: December 6, 2005~~

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name: *b6 b7c*

Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A340 D29 -- Negligence/dereliction/improper perf of duties (failure to perform). Closed administratively. Other. Specify.

~~A341 D29 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Closed administratively. Other. Specify.~~

A422 D29 -- Lateness re Court Order. Closed administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C05-0022-01  
Date Opened: December 7, 2004  
Date Closed: December 8, 2005

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Howard, Lisa G.

Source Name: *b6 b7c* (U.S. Attorney, -  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A407 D02 -- Discovery - Brady Violation/Exculpatory Information. Substantiated - Reckless Disregard.

A462 D02 -- Subornation of perjury/Failure to correct false testimony. Substantiated - Reckless Disregard.

A419 D05 -- Improper Evidence/Introduction of. Substantiated for Poor Judgment. Report of inquiry referred to management.

A419 D13 -- Improper Evidence/Introduction of. Unsubstantiated.

~~Allegation not sustained for Poor Judgment or Professional Misconduct.~~

\*\*\*\*\*

Case/Subject No: C04-0081-01  
Date Opened: August 31, 2004  
Date Closed: December 15, 2005

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Thomson, Robert

Source Name: OPR REVIEW OF NEWS ARTICLES  
Complainant Name: *b6 b7c* (subject of arrest and indictment)

Allegation/Disposition Codes:

A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

User: Analyst

Date: November 26, 20 7

Case/Subject No: C04-0081-02  
Date Opened: August 31, 2004  
Date Closed: December 15, 2005

Subject Name:  
Subject Location:

b6 b7c

Case Name:

Attorney: Thomson, Robert

Source Name: OPR REVIEW OF NEWS ARTICLES

Complainant Name: b6 b7c (subject of arrest and indictment)

Allegation/Disposition Codes:

A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C04-0081-03  
Date Opened: August 31, 2004  
Date Closed: December 15, 2005

Subject Name:  
Subject Location:

b6 b7c

Case Name:

Attorney: Thomson, Robert

Source Name: OPR REVIEW OF NEWS ARTICLES

Complainant Name: b6 b7c (subject of arrest and indictment)

Allegation/Disposition Codes:

A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C04-0081-04  
Date Opened: August 31, 2004  
Date Closed: December 15, 2005

Subject Name:  
Subject Location:

b6 b7c

Case Name:

Attorney: Thomson, Robert

Source Name: OPR REVIEW OF NEWS ARTICLES

Complainant Name: b6 b7c (subject of arrest and indictment)

Allegation/Disposition Codes:

A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0033-01  
Date Opened: January 17, 2005  
Date Closed: December 15, 2005

Subject Name:  
Subject Location:

b6 b7c

Case Name:

Attorney: Kessler, Tamara J.

Source Name:

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A001 D13 -- Abuse of authority or misuse of official position.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

User: Analyst

Date: November 26, 20 7

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Case/Subject No: C05-0033-02  
Date Opened: January 17, 2005  
Date Closed: December 15, 2005

Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Kessler, Tamara J.  
Source Name:

Complainant Name:  
Allegation/Disposition Codes:

A001 D13 -- Abuse of authority or misuse of official position.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0059-01  
Date Opened: June 2, 2005  
Date Closed: December 15, 2005

Subject Name: b6 b7C  
Subject Location: b6 b7C  
Case Name: MULTIPLE CASES  
Attorney: Hurley, Neil  
Source Name: b6 b7C  
U.S. ATTORNEY'S OFFICE,

Complainant Name: b6 b7C  
Allegation/Disposition Codes:

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.  
A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.  
A341 D02 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C05-0050-01  
Date Opened: March 16, 2005  
Date Closed: December 23, 2005

Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Howard, Lisa G.  
Source Name:

Complainant Name: ---b6 b7C---  
Allegation/Disposition Codes:

A402 D29 Prosecutorial Misconduct (General - OPR atty/pls provide  
specific code). Closed administratively. Other. Specify.

\*\*\*\*\*

Case/Subject No: C04-0059-01  
Date Opened: June 15, 2004  
Date Closed: December 28, 2005

Subject Name:  
Subject Location: b6 b7C

User: Analyst

Date: November 26, 20 7

Case Name:  
Attorney: *bb b7c* Derden, Terry L  
Source Name:

Complainant Name: *bb b7c*

Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -  
Reckless Disregard.

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C04-0059-02  
Date Opened: June 15, 2004  
Date Closed: December 28, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Derden, Terry L.  
Source Name:

Complainant Name: *bb b7c*

Allegation/Disposition Codes:

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C04-0059-03  
Date Opened: June 15, 2004  
Date Closed: December 28, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Derden, Terry L.  
Source Name:

Complainant Name: *bb b7c*

Allegation/Disposition Codes:

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C04-0059-04  
Date Opened: June 15, 2004  
Date Closed: December 28, 2005  
Subject Name:  
Subject Location: *bb b7c*  
Case Name:  
Attorney: Derden, Terry L.



User: Analyst

Date: November 26, 20 7

Source Name:

Complainant Name:

b6 b7C

Allegation/Disposition Codes:

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0023-01  
Date Opened: January 3, 2005  
Date Closed: December 29, 2005  
Subject Name:  
Subject Location: b6 b7C  
Case Name: RE MULTIPLE CASES  
Attorney: Wehner, Karen  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A422 D01 -- Lateness re Court Order. Substantiated - Intentional.

\*\*\*\*\*

Case/Subject No: C05-0066-01  
Date Opened: June 21, 2005  
Date Closed: December 29, 2005  
Subject Name:

Subject Location: b6 b7C  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: b6 b7C

private attorney, for b6 b7C

Allegation/Disposition Codes:

A423 D13 -- Intentional interference to defendant's right to counsel.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0029-01  
Date Opened: January 18, 2005  
Date Closed: December 31, 2005  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Darden, Terry L.  
Source Name: b6 b7C  
Complainant Name: U.S. COURT OF APPEALS FOR b6 b7C

Allegation/Disposition Codes:

A150 D14 -- Failure to honor plea agreement. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0029-02

User: Analyst

Date: November 26, 20 7

Date Opened: January 18, 2005  
Date Closed: December 31, 2005

Subject Name:

Subject Location:

Case Name:

Attorney: Derden, Terry L.

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C

Allegation/Disposition Codes:

A150 D15 -- Failure to honor plea agreement. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

\*\*\*\*\*  
Case/Subject No: C04-0085-01

Date Opened: September 24, 2004

Date Closed: January 4, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Wahowiak, Marlene M.

Source Name: OPR WESTLAW SEARCH

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: C05-0073-01

Date Opened: July 26, 2005

Date Closed: January 4, 2006

Subject Name:

Subject Location:

Case Name: None

Attorney: Thomson, Robert

Source Name: DOJ EXECUTIVE SECRETARIAT

Complainant Name:

Allegation/Disposition Codes:

A156 D15 -- False statements, misrepresentation (administrative).  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances  
A542 D15 -- Failure to comply with DOJ Rules and Regulations.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

\*\*\*\*\*  
Case/Subject No: C05-0073-02

Date Opened: July 26, 2005

Date Closed: January 4, 2006

Subject Name:

Subject Location:

Case Name: None

Attorney: Thomson, Robert

User: Analyst

Date: November 26, 20 7

Source Name: DOJ EXECUTIVE SECRETARIAT

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

~~A156 D15 -- False statements, misrepresentation (administrative).~~

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A542 D15 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C05-0073-03

Date Opened: July 26, 2005

Date Closed: January 4, 2006

Subject Name:

Subject Location: *b6 b7C*

Case Name: None

Attorney: Thomson, Robert

Source Name: DOJ EXECUTIVE SECRETARIAT

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

~~A156 D15 -- False statements, misrepresentation (administrative).~~

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A542 D15 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C05-0073-04

Date Opened: July 26, 2005

Date Closed: January 4, 2006

Subject Name:

Subject Location: *b6 - b7C*

Case Name: None

Attorney: Thomson, Robert

Source Name: DOJ EXECUTIVE SECRETARIAT

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

~~A156 D15 -- False statements, misrepresentation (administrative).~~

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A542 D15 -- Failure to comply with DOJ Rules and Regulations.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0010-01

Date Opened: October 31, 2004

Date Closed: January 5, 2006

Subject Name:

Subject Location: *b6 - b7C*

Case Name:

Attorney: Brandon, Kathleen

User: Analyst

Date: November 26, 20 7

Source Name:

Complainant Name:

Appeals for the

Allegation/Disposition Codes:

A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A421 D13 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0010-02  
Date Opened: October 31, 2004  
Date Closed: January 5, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Brandon, Kathleen

Source Name:

Complainant Name:

Appeals for the

U.S. Court of

Allegation/Disposition Codes:

A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

~~A421 D13 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

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Case/Subject No: C04-0001-01  
Date Opened: October 2, 2003  
Date Closed: January 6, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Ross, Simone E.

Source Name:

Complainant Name:

U.S. Attorney,

Allegation/Disposition Codes:

A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard.

A405 D15 -- Misrepresentation to Opposing Counsel. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0056-01  
Date Opened: May 19, 2004  
Date Closed: January 6, 2006  
Subject Name:  
Subject Location:

User: Analyst

Date: November 26, 20 7

Case Name: *b6 b7c*  
Attorney: Leiner, Frederick C.  
Source Name: SELF-REPORT BY *b6 b7c*  
Complainant Name: U.S. ATTORNEY'S OFFICE, *b6 b7c*

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Allegation/Disposition Codes:

A542 D02 -- Failure to comply with DOJ Rules and Regulations.

Substantiated - Reckless Disregard.

A062 D13 -- Conflict: general, including appearance of conflict.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0071-01  
Date Opened: August 16, 2004  
Date Closed: January 6, 2006  
Subject Name:  
Subject Location: *b6 b7c* 3.  
Case Name:  
Attorney: Hurley, Neil  
Source Name: (U.S. Attorney,  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A418 D02 -- Ex parte Communication. Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C05-0011-01  
Date Opened: November 1, 2004  
Date Closed: January 6, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name: *b6 b7c*

Attorney: Wehner, Karen  
Source Name: *b6 b7c*  
Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*

Allegation/Disposition Codes:

A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C05-0028-01  
Date Opened: January 13, 2005  
Date Closed: January 6, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name: *b6 b7c*

Attorney: Ross, Simone E.

Source Name:

Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A421 D14 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject made a mistake.

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

User: Analyst

Date: November 26, 20 7

Allegation not sustained. Subject acted appropriately in all circumstances

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

~~Allegation not sustained. Subject acted appropriately in all circumstances~~

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Case/Subject No: C05-0044-01  
Date Opened: February 28, 2005  
Date Closed: January 6, 2006  
Subject Name:  
Subject Location: --b6 b7c  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A411 D14 -- Improper Examination of a Witness. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0057-01  
Date Opened: May 25, 2005  
Date Closed: January 6, 2006  
Subject Name:  
Subject Location: --b6 b7c  
Case Name:  
Attorney: Ross, Simone E.  
Source Name: None

Complainant Name: b6 b7c

Allegation/Disposition Codes:

A535 D05 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated for Poor Judgment. Report of inquiry referred to management.

A534 D13 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0053-01  
Date Opened: April 22, 2005  
Date Closed: February 22, 2006  
Subject Name:

Subject Location: b6 b7c  
Case Name: None  
Attorney: Ward, Barbara L.  
Source Name: None  
Complainant Name: --b6 b7c

Allegation/Disposition Codes:

A529 D13 -- Intentional Failure to Comply with DOJ Press Guidelines.

~~Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

\*\*\*\*\*

User: Analyst

Date: November 26, 20 7

Case/Subject No: C05-0071-01  
Date Opened: July 20, 2005  
Date Closed: Februarv 23. 2006  
Subject Name:

Subject Location: *b6 b7c*  
Case Name:  
Attorney: Kessler, Tamara J.  
Source Name:  
Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:

A002 D15 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

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Case/Subject No: C06-0018-01  
Date Opened: January 30, 2006  
Date Closed: February 24, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:

Attorney: Leiner, Frederick C.  
Source Name:  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:  
A539 D29 -- Unprof statements or comments. Closed administratively.  
Other. Specify.

\*\*\*\*\*  
Case/Subject No: C05-0069-01  
Date Opened: July 8, 2005  
Date Closed: March 9. 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE  
Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

A413 D14 -- Prejudicial Statement to a Grand or Petit Jury.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*  
Case/Subject No: C05-0062-01  
Date Opened: June 8, 2005  
Date Closed: March 14, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Wehner, Karen

User: Analyst

Date: November 26, 20 7

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A341 D05 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry  
referred to management.

\*\*\*\*\*

Case/Subject No: C05-0062-02  
Date Opened: June 8, 2005  
Date Closed: March 14, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Wenhner, Karen

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A542 D02 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C04-0076-01  
Date Opened: August 18, 2004  
Date Closed: March 15, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Wahowiak, Marlene M.

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A062 D29 -- Conflict: general, including appearance of conflict.  
Closed administratively. Other. Specify.  
A418 D29 -- Ex parte Communication. Closed administratively. Other.  
Specify.

\*\*\*\*\*

Case/Subject No:  
Date Opened: February 28, 2006  
Date Closed: March 19, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Duncan, James G.

Source Name: OPR WESTLAW SEARCH

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

~~A001 D29 -- Abuse of authority or misuse of official position. Closed~~  
administratively. Other. Specify.

\*\*\*\*\*



User: Analyst

Date: November 26, 20 7

Case/Subject No: C05-0074-01  
Date Opened: July 31, 2005  
Date Closed: March 20, 2006  
Subject Name:

Subject Location:  
Case Name:

b6 b7C

Attorney: Wehner, Karen  
Source Name: None  
Complainant Name:

b6 b7C

Allegation/Disposition Codes:

A539 D29 -- Unprof statements or comments. Closed administratively.

Other. Specify.

\*\*\*\*\*

Case/Subject No: C06-0022-01  
Date Opened: January 30, 2006  
Date Closed: March 21, 2006  
Subject Name: UNIDENTIFIED AUSA  
Subject Location: -  
Case Name: b6 b7C  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: b6 b7C COURT OF APPEALS

Allegation/Disposition Codes:

A150 D13 -- Failure to honor plea agreement. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0077-01  
Date Opened: July 27, 2005  
Date Closed: March 22, 2006  
Subject Name:  
Subject Location:  
Case Name: b6 b7C

Attorney: Wehner, Karen  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C

Allegation/Disposition Codes:

A423 D13 -- Intentional interference to defendant's right to counsel.

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0078-01  
Date Opened: July 27, 2005  
Date Closed: March 22, 2006  
Subject Name:

Subject Location:  
Case Name:

b6 b7C

User: Analyst

Date: November 26, 20 7

Attorney: <sup>b6 b7C</sup> Wahowiak, Marlene M.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: ~~U.S. COURT OF APPEALS FOR THE~~ <sup>b6 b7C</sup>  
Allegation/Disposition Codes:  
A542 D13 -- Failure to comply with DOJ Rules and Regulations.  
Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

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Case/Subject No: C05-0081-01  
Date Opened: August 10, 2005  
Date Closed: March 24, 2006  
Subject Name:  
Subject Location: <sup>-06 b7C</sup>  
Case Name:

Attorney: Howard, Lisa G.  
Source Name: <sup>b6 b7C</sup> (U.S. Attorney  
Complainant Name:

Allegation/Disposition Codes:  
A011 D15 -- Overzealous prosecution. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0081-02  
Date Opened: August 10, 2005  
Date Closed: March 24, 2006  
Subject Name:  
Subject Location: <sup>06-b7</sup>  
Case Name:

Attorney: Howard, Lisa G.  
Source Name: <sup>b6 b7C</sup> (U.S. Attorney,  
Complainant Name:

Allegation/Disposition Codes:  
A011 D15 -- Overzealous prosecution. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0013-01  
Date Opened: November 14, 2004  
Date Closed: March 27, 2006  
Subject Name:  
Subject Location: <sup>b6 b7C</sup>  
Case Name:

Attorney: Ross, Simone E.  
Source Name: None

Complainant Name: <sup>b6 b7C</sup>  
Allegation/Disposition Codes:

A421 D05 -- Intentional Failure to comply with court order/other than  
lateness Substantiated for Poor Judgment. Report of inquiry referred to  
management.

A340 D05 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Substantiated for Poor Judgment. Report of inquiry referred  
to management.

A405 D05 -- Misrepresentation to Opposing Counsel. Substantiated for

User: Analyst

Date: November 26, 20 7

Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C05-0058-01  
Date Opened: June 2, 2005  
Date Closed: March 31, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name: b6 b7C self-reporting)  
Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C  
Allegation/Disposition Codes:  
A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A411 D13 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C06-0006-01  
Date Opened: November 21, 2005  
Date Closed: March 31, 2006  
Subject Name:

Subject Location:  
Case Name:

Attorney: Howard, Lisa G.  
Source Name: None  
Complainant Name:

Allegation/Disposition Codes:

A340 D14 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C06-0017-01  
Date Opened: January 24, 2006  
Date Closed: March 31, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:

Attorney: Kessler, Tamara J.  
Source Name: U.S. ATTORNEY'S OFFICE  
Complainant Name: b6 b7C b6 b7C  
Allegation/Disposition:

A409 D13 -- Improper Opening Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0020-01  
Date Opened: November 24, 2003  
Date Closed: April 6, 2006  
Subject Name:  
Subject Location: b6 b7C

User: Analyst

Date: November 26, 20 7

Case Name:  
Attorney: *b6 b7c* Hurley, Neil  
Source Name:  
Complainant Name: *b6 b7c* U.S. COURT OF APPEALS FOR THE *b6 b7c* EOUSA)

Allegation/Disposition Codes:

A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard.  
A402 D02 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Substantiated - Reckless Disregard.  
A407 D09 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

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Case/Subject No: C04-0060-01  
Date Opened: June 17, 2004  
Date Closed: April 6, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Howard, Lisa G.  
Source Name:  
Complainant Name: *b6 b7c*

Allegation/Disposition Codes:

A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard.  
A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C04-0088-01  
Date Opened: September 29, 2004  
Date Closed: April 6, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Hurley, Neil  
Source Name: *b6 b7c*

Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*

Allegation/Disposition Codes:

A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C05-0027-01  
Date Opened: January 14, 2005  
Date Closed: April 6, 2006  
Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name: U.S. ATTORNEY'S OFFIC'  
Complainant Name: *b6 b7c*

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A424 D13 -- Discovery - Civil Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C06-0008-01  
Date Opened: December 7, 2005  
Date Closed: April 6, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Derden, Terry L.

Source Name: None

Complainant Name: b4 b7c (U.S. Attorney, -'b4 b7c

Allegation/Disposition Codes:

A499 D01 -- Threats, physical or verbal abuse or harassment/intimidation. Substantiated - Intentional.

A539 D01 -- Unprof statements or comments. Substantiated - Intentional.

A413 D01 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated - Intentional.

A421 D02 -- Failure to comply with court order (other than lateness) or federal rule. Substantiated - Reckless Disregard.

A529 D02 -- Intentional Failure to Comply with DOJ Press Guidelines. Substantiated - Reckless Disregard.

A532 D02 -- Unauthorized disclosure to media: other - including Priv Act violations and Substantiated - Reckless Disregard.

A402 D02 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C06-0011-01  
Date Opened: January 10, 2006  
Date Closed: April 6, 2006  
Subject Name:

Subject Location:

Case Name: None

Attorney: Kessler, Tamara J.

Source Name: None

Complainant Name: b6 b7c

Allegation/Disposition Codes:

A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0032-01  
Date Opened: January 18, 2005  
Date Closed: April 7, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Wehner, Karen

Source Name: b6 b7c

User: Analyst

Date: November 26, 20 7

Complainant Name: *b6 b7C*  
Allegation/Disposition Codes:

A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0032-02  
Date Opened: January 18, 2005  
Date Closed: April 7, 2006  
Subject Name:

Subject Location: *b6 b7C*  
Case Name:

Attorney: Wehner, Karen

Source Name:

Complainant Name: *b6 b7C*

Allegation/Disposition Codes:

A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0055-01  
Date Opened: May 12, 2005  
Date Closed: April 7, 2006  
Subject Name:

Subject Location: *b6 b7C*

Case Name:

Attorney: Thomson, Robert

Source Name: *b6 b7C* (USA)

Complainant Name:

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0055-02  
Date Opened: May 12, 2005  
Date Closed: April 7, 2006  
Subject Name:

Subject Location: *b6 b7C*

Case Name:

Attorney: Thomson, Robert

Source Name: *b6 b7C* (USA)

Complainant Name:

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C06-0009-01  
Date Opened: December 13, 2005  
Date Closed: April 7, 2006  
Subject Name:

*b6 b7C*

User: Analyst

Date: November 26, 20 7

Subject Location: b6 b7c  
Case Name: MULTIPLE CASES b6 b7c  
Attorney: Derden, Terry L.  
Source Name: b6 b7c EOUSA)

Complainant Name: U.S. ATTORNEY'S OFFICE,

Allegation/Disposition Codes:

A340 D05 -- Negligence/derelection/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management.

A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management.

A542 D05 -- Failure to comply with DOJ Rules and Regulations. Substantiated for Poor Judgment. Report of inquiry referred to management.

A340 D14 -- Negligence/derelection/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake.

A341 D14 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained. Subject made a mistake.

A542 D14 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C06-0012-01  
Date Opened: January 11, 2006  
Date Closed: May 10, 2006  
Subject Name: UNIDENTIFIED DOJ ATTORNEYS, UNKNOWN LOCATION(S)  
Subject Location: L000 -- Unknown.  
Case Name: b6, 7C

Attorney: Meade, James A.; Kessler, Tamara J.  
Source Name: VARIOUS DOJ OFFICES, INCLUDING OIG  
Complainant Name: MULTIPLE MEMBERS OF CONGRESS

Allegation/Disposition Codes:

A340 D29 -- Negligence/derelection/improper perf of duties (failure to perform). Closed administratively. Other. Specify.

A600 D29 -- Wiretaps and electronic surveillance: improper or illegal (Title III). Closed administratively. Other. Specify.

A404 D29 -- Misrepresentation /Misleading the Court. Closed administratively. Other. Specify.

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Case/Subject No: C05-0052-01  
Date Opened: April 11, 2005  
Date Closed: May 12, 2006  
Subject Name: - b6 b7c  
Subject Location: - b6 b7c  
Case Name: None  
Attorney: Leiner, Frederick C.  
Source Name: None  
Complainant Name: b6 b7c

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A537 D05 -- Unprof or unethical behavior: other (unspecific).  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

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Case/Subject No: C04-0066-01  
Date Opened: July 13, 2004  
Date Closed: May 30, 2006  
Subject Name:  
Subject Location:  
Case Name:

Hardy, Lynn

Source Name:

Complainant Name: U.S. ATTORNEY'S OFFICE,

Allegation/Disposition Codes:

A420 D13 -- Unauthorized plea agreements. Unsubstantiated. Allegation  
not sustained for Poor Judgment or Professional Misconduct.  
A421 D13 -- Intentional Failure to comply with court order/other than  
lateness Unsubstantiated. Allegation not sustained for Poor Judgment or  
Professional Misconduct.

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Case/Subject No: C05-0079-01  
Date Opened: August 2, 2005  
Date Closed: May 30, 2006  
Subject Name:

Subject Location:

Case Name: RE AUSA'S HANDLING OF MULTIPLE COURT CASES

Attorney: Meade, James A.

Source Name:

Complainant Name: U.S. ATTORNEY'S OFFICE

Allegation/Disposition Codes:

A340 D01 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Substantiated - Intentional.  
A340 D02 -- Negligence/dereliction/improper perf of duties (failure to  
perform). Substantiated - Reckless Disregard.

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Case/Subject No: C05-0065-01  
Date Opened: June 9, 2005  
Date Closed: June 1, 2006  
Subject Name:

Subject Location:

Case Name: Associate Attorney General's Office.

Attorney: U.S. v. PHILIP MORRIS, INC., ET AL. (civil case, USDC

D.C.)  
Colby, Paul L.; Duncan, James G.; McCarty, Margaret  
S.

Source Name: IG GLENN A. FINE

Complainant Name: CONGRESSMEN HENRY WAXMAN and MARTIN MEEHAN; SENATORS  
EDWARD KENNEDY, FRANK LAUTENBERG, RICHARD DURBIN, ROY  
WYDEN, TOM HARKIN, and BILL NELSON

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).



User: Analyst

Date: November 26, 20 7

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A062 D13 -- Conflict: general, including appearance of conflict.

~~Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.

~~Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

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Case/Subject No: C05-0065-02  
Date Opened: June 9, 2005  
Date Closed: June 1, 2006  
Subject Name:

Subject Location: L018 -- Civil Division.

Case Name: U.S. v. PHILIP MORRIS, INC., ET AL. (civil case, USDC D.C.)

Attorney: Colby, Paul L.; Duncan, James G.; McCarty, Margaret S.

Source Name: INSPECTOR GENERAL GLENN A. FINE

Complainant Name: CONGRESSMEN HENRY WAXMAN and MARTIN MEEHAN; SENATORS EDWARD KENNEDY, FRANK LAUTENBERG, RICHARD DURBIN, ROY WYDEN, TOM HARKIN and BILL NELSON

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

~~A412 D13 -- Improper Coercion/Intimidation of a Witness.~~

~~Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

~~A412 D13 -- Improper Coercion/Intimidation of a Witness.~~

~~Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.~~

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Case/Subject No: C05-0065-03  
Date Opened: June 9, 2005  
Date Closed: June 1, 2006

User: Analyst

Date: November 26, 20 7

Subject Name:

Subject Location: -- Civil Division.

Case Name: U.S. v. PHILIP MORRIS, INC., ET AL. (Civil case, USDC D.C.)

Attorney: Colby, Paul L.; Duncan, James G.; McCarty, Margaret S.

Source Name: INSPECTOR GENERAL GLENN A. FINE

Complainant Name: CONGRESSMEN HENRY WAXMAN and MARTIN MEEHAN; SENATORS EDWARD KENNEDY, FRANK LAUTENBERG, RICHARD DURBIN, ROY WYDEN, TOM HARKIN and BILL NELSON

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.  
Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0056-01  
Date Opened: May 20, 2005  
Date Closed: June 19, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Wehner, Karen

Source Name: None

Complainant Name: b6 b7c

Allegation/Disposition Codes:

A001 D15 -- Abuse of authority or misuse of official position.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0055-01  
Date Opened: May 17, 2004  
Date Closed: June 28, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Meade, James A.

Source Name: OPR (REVIEW OF DOJ CLIPS)

Complainant Name: b6 b7c

User: Analyst

Date: November 26, 20 7

Allegation/Disposition Codes:

A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0055-02  
Date Opened: May 17, 2004  
Date Closed: June 28, 2006  
Subject Name:

Subject Location:  
Case Name:

Attorney: Meade, James A.  
Source Name: OPR REVIEW OF DOJ NEWS CLIPS  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0055-03  
Date Opened: May 17, 2004  
Date Closed: June 28, 2006  
Subject Name:

Subject Location:  
Case Name:

Attorney: Meade, James A.  
Source Name: OPR REVIEW OF DOJ CLIPS  
Complainant Name:

Allegation/Disposition Codes:

A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0021-01  
Date Opened: December 6, 2004  
Date Closed: June 28, 2006  
Subject Name:

Subject Location:  
Case Name:

Attorney: Howard, Lisa G.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management.

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C05-0038-01  
Date Opened: February 16, 2005  
Date Closed: June 28, 2006  
Subject Name:  
Subject Location:

User: Analyst

Date: November 26, 20 7

Case Name: -----b6 b7c  
Attorney: Howard, Lisa G.  
Source Name:

Complainant Name: b6-b7c  
Allegation/Disposition Codes:  
A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C05-0038-02  
Date Opened: February 16, 2005  
Date Closed: June 28, 2006

Subject Name:  
Subject Location:

Case Name: b6 b7c  
Attorney: Howard, Lisa G.  
Source Name:

Complainant Name: b6 b7c  
Allegation/Disposition Codes:  
A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not  
sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C05-0063-01  
Date Opened: June 7, 2005  
Date Closed: June 28, 2006

Subject Name:

Subject Location: b6-b7c  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:

Complainant Name: b6 b7c  
Allegation/Disposition Codes:  
A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

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Case/Subject No: C05-0063-02  
Date Opened: June 7, 2005  
Date Closed: June 28, 2006

Subject Name:

Subject Location: b6-b7c  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:

Complainant Name: --b6 b7c  
Allegation/Disposition Codes:  
A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

User: Analyst

Date: November 26, 20 7

Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C06-0016-01  
Date Opened: January 24, 2006  
Date Closed: June 28, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Clarke, Nadira  
Source Name:

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A002 D01 -- Abuse of prosecutive or investigative authority (general).  
Substantiated - Intentional.

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -  
Intentional.

A341 D01 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Intentional.

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Case/Subject No: C06-0010-01  
Date Opened: December 15, 2005  
Date Closed: June 29, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:

Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained. Subject made a mistake.

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Case/Subject No: C06-0051-01  
Date Opened: June 5, 2006  
Date Closed: July 3, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:

Attorney: Kessler, Tamara J.  
Source Name:  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A432 D39 -- Retaliation or reprisals. OPR Investigation completed.  
Retaliation found. Referred to OARM.

\*\*\*\*\*

Case/Subject No: C05-0031-01  
Date Opened: January 18, 2005  
Date Closed: July 7, 2006

User: Analyst

Date: November 26, 20 7

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Brandon, Kathleen

Source Name: *b6 b7c*  
Complainant Name: U.S. Court of Appeals for the  
Allegation/Disposition Codes:

A002 D05 -- Abuse of prosecutive or investigative authority (general).  
Substantiated for Poor Judgment. Report of inquiry referred to  
management.

A150 D09 -- Failure to honor plea agreement. Unsubstantiated.  
Allegation not sustained for Professional Misconduct (PM).

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Case/Subject No: C05-0031-02  
Date Opened: January 18, 2005  
Date Closed: July 7, 2006

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Brandon, Kathleen

Source Name: *b6 b7c*  
Complainant Name: U.S. COURT OF APPEALS FOR THE  
Allegation/Disposition Codes:

A002 D15 -- Abuse of prosecutive or investigative authority (general).  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

A150 D15 -- Failure to honor plea agreement. Unsubstantiated.  
Allegation not sustained. Subject acted appropriately in all  
circumstances

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Case/Subject No: C06-0047-01  
Date Opened: May 10, 2006  
Date Closed: July 10, 2006

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Kessler, Tamara J.

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for  
Poor Judgment. Report of inquiry referred to management.

A408 D13 -- Discovery - Impeachment/Jencks. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0001-01  
Date Opened: October 7, 2004  
Date Closed: July 11, 2006

Subject Name:

Subject Location: *b6 b7c*

User: Analyst

Date: November 26, 20 7

Case Name:

Attorney: Kessler, Tamara J.

Source Name: OPR SEARCH OF WESTLAW

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A407 D13 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C04-0014-01

Date Opened: October 24, 2003

Date Closed: July 12, 2006

Subject Name:

Subject Location: b6 b7C

Case Name:

Attorney: Kessler, Tamara J.

Source Name:

Complainant Name: b6 b7C

SELF-REPORTING)

Allegation/Disposition Codes:

A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional.

A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional.

A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard.

A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*  
Case/Subject No: C05-0017-01

Date Opened: December 3, 2004

Date Closed: July 13, 2006

Subject Name:

Subject Location: b6 b7C

Case Name:

Attorney: Thomson, Robert

Source Name: OPR WESTLAW SEARCH

Complainant Name:

Allegation/Disposition Codes:

A407 D15 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*  
Case/Subject No: C05-0017-02

Date Opened: December 3, 2004

User: Analyst

Date: November 26, 20 7

Date Closed: July 13, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Thomson, Robert

Source Name: OPR WESTLAW SEARCH

Complainant Name:

Allegation/Disposition Codes:

A407 D14 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0024-01

Date Opened: January 4, 2005

Date Closed: July 13, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Leiner, Frederick C.

Source Name: OPR WESTLAW SEARCH

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A414 D14 Improper use of peremptory strikes during jury selection.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C06-0040-01

Date Opened: April 18, 2006

Date Closed: July 14, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Leiner, Frederick C.

Source Name: (U.S. Attorney,

Complainant Name:

Allegation/Disposition Codes:

A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0076-01

Date Opened: July 31, 2005

Date Closed: August 7, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Derden, Terry L.

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not



sustained. Subject made a mistake.

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

~~A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.~~

~~Allegation not sustained. Subject made a mistake.~~

A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C06-0021-01  
Date Opened: January 30, 2006  
Date Closed: September 12, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Howard, Lisa G.

Source Name:

Complainant Name: COURT OF APPEALS

Allegation/Disposition Codes:

A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C06-0042-01  
Date Opened: April 19, 2006  
Date Closed: September 12, 2006  
Subject Name:

Subject Location:

Case Name:

Attorney: Meade, James A.

Source Name: (EOUSA)

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C05-0087-01  
Date Opened: September 29, 2005  
Date Closed: September 14, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Leiner, Frederick C.

Source Name: (U.S. Attorney,

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C06-0025-01  
Date Opened: February 8, 2006  
Date Closed: September 14, 2006

User: Analyst

Date: November 26, 20 7

Subject Name: -----

Subject Location: -----

Case Name: -----

Attorney: -----

Source Name: -----

Complainant Name: -----

None

Ward, Barbara L.

MARGOLIS, DAVID (Associate Deputy Attorney General)

bb b7C

reported by -- bb b7C

Allegation/Disposition Codes:

A062 D05 -- Conflict: general, including appearance of conflict.

Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*  
Case/Subject No: -----

C06-0024-01

Date Opened: -----

February 2, 2006

Date Closed: -----

September 15, 2006

Subject Name: -----

Subject Location: -----

Case Name: -----

Attorney: -----

Source Name: -----

RE MULTIPLE CASES

Hurley, Neil

Complainant Name: -----

multiple cases)

Allegation/Disposition Codes:

A340 D29 -- Negligence/dereliction/improper perf of duties (failure to perform). Closed administratively. Other. Specify.

A341 D29 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Closed administratively. Other. Specify.

\*\*\*\*\*  
Case/Subject No: -----

C05-0086-01

Date Opened: -----

September 26, 2005

Date Closed: -----

September 26, 2006

Subject Name: -----

Subject Location: -----

Case Name: -----

Attorney: -----

Source Name: -----

Complainant Name: -----

Allegation/Disposition Codes:

A411 D13 -- Improper Examination of a Witness. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

A411 D13 -- Improper Examination of a Witness. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*  
Case/Subject No: -----

C06-0039-01

Date Opened: -----

April 18, 2006

Date Closed: -----

September 26, 2006

Subject Name: -----

User: Analyst

Date: November 26, 20 7

Subject Location:  
Case Name:

- b6 b7C

Attorney: Wehner, Karen  
Source Name: b6 b7C self-reporting,  
through supervisorv chain of command)  
Complainant Name: b6 b7C  
Appeals for the b6 b7C

Allegation/Disposition Codes:

A422 D15 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0044-01  
Date Opened: April 12, 2004  
Date Closed: September 28, 2006  
Subject Name:  
Subject Location: b6 - b7C  
Case Name: MULTIPLE CASES  
Attorney: Hardy, Lyn  
Source Name: None

Complainant Name: b6 b7C (U.S. Attorney, b6 b7C

Allegation/Disposition Codes:

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.  
A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.  
A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.  
A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.  
A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.  
A407 D14 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject made a mistake.  
A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances  
A340 D15 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances  
A340 D15 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances  
A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances  
A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances  
A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances  
A340 D15 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

User: Analyst

Date: November 26, 20 7

A422 D15 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0030-01  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: ASSOCIATE DAG DAVID MARGOLIS ..

Complainant Name: b6 b7c

Allegation/Disposition Codes:

A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management.

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Case/Subject No: C04-0030-02  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: MARGOLIS. DAVID (ADAG)

Complainant Name: b6 b7c

Allegation/Disposition Codes:

A420 D02 -- Unauthorized plea agreements. Substantiated - Reckless Disregard.

A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard.

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Case/Subject No: C04-0030-03  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: MARGOLIS, DAVID (ADAG)

Complainant Name: b6 b7c

User: Analyst

Date: November 26, 20 7

*b6 b7C*  
Allegation/Disposition Codes:

A341 D02 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Substantiated - Reckless Disregard.

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Case/Subject No: C04-0030-04  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:

*b6 b7C*  
Subject Location:

Case Name:

Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: MARGOLIS, DAVID (ADAG) and

OFFICE

Complainant Name:

*b6 b7C*  
Allegation/Disposition Codes:

A341 D13 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C04-0030-05  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:

*b6 b7C*  
Subject Location:

Case Name:

Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: ASSOCIATE DAG DAVID MARGOLIS

*b6 b7C*  
Complainant Name:

Allegation/Disposition Codes:

A420 D02 -- Unauthorized plea agreements. Substantiated - Reckless  
Disregard.

\*\*\*\*\*

Case/Subject No: C04-0030-06  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:

*b6 b7C*  
Subject Location:

Case Name:

Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: ASSOCIATE DAG DAVID MARGOLIS

*b6 b7C*  
Complainant Name:

Allegation/Disposition Codes:

A341 D13 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor

User: Analyst

Date: November 26, 20 7

Judgment or Professional Misconduct.

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Case/Subject No: C04-0030-07  
Date Opened: February 12, 2004  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Hardy, Lyn; Fraase, Mark G.  
Source Name: MARGOLIS, DAVID (ADAG) b6 b7C

Complainant Name: b6 b7C

Allegation/Disposition Codes:

~~A341 D13 -- Failure to Diligently Represent the Interest of the Client  
(Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.~~

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Case/Subject No: C05-0054-01  
Date Opened: April 22, 2005  
Date Closed: September 29, 2006  
Subject Name:

Subject Location: b6 b7C  
Case Name: b6 b7C  
Attorney: Hurlev, Neil

Source Name: b6 b7C  
Complainant Name: U.S. ATTORNEY'S OFFICE, b6 b7C

Allegation/Disposition Codes:

A542 D01 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated - Intentional.

A542 D02 -- Failure to comply with DOJ Rules and Regulations.  
Substantiated - Reckless Disregard.

A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management.

A535 D14 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained. Subject made a mistake.

A460 D13 -- Searches: improper or illegal. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0054-02  
Date Opened: April 22, 2005  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Hurlev, Neil  
Source Name: b6 b7C  
Complainant Name: U.S. ATTORNEY'S OFFICE,  
Allegation/Disposition Codes:

User: Analyst

Date: November 26, 20 7

A460 D13 -- Searches: improper or illegal. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C06-0013-01  
Date Opened: January 17, 2006  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location:  
Case Name: b6 b7C

Attorney: Wahowiak, Marlene M.  
Source Name:  
Complainant Name:

self-reporting)

Allegation/Disposition Codes:

A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C06-0058-01  
Date Opened: June 26, 2006  
Date Closed: September 29, 2006  
Subject Name:  
Subject Location:  
Case Name: b6 b7C

Attorney: Kessler, Tamara J.  
Source Name:

Complainant Name: b6 b7C

Allegation/Disposition Codes:

A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A407 D13 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C05-0006-01  
Date Opened: October 26, 2004  
Date Closed: September 30, 2006

Subject Name:  
Subject Location:  
Case Name: b6 b7C

Attorney: Leiner, Frederick C.  
Source Name: b6 b7C

Complainant Name: U.S. COURT OF APPEALS FOR b6 b7C

Allegation/Disposition Codes:

A407 D14 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0006-02  
Date Opened: October 26, 2004  
Date Closed: September 30, 2006

Subject Name:  
Subject Location: b6 b7C

User: Analyst

Date: November 26, 20 7

Case Name: *b6 b7c*  
Attorney: Leiner, Frederick C.  
Source Name: *b6 b7c*  
Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*  
Allegation/Disposition Codes:  
A407 D15 -- Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

\*\*\*\*\*

Case/Subject No: C06-0065-01  
Date Opened: July 16, 2006  
Date Closed: November 6, 2006  
Subject Name:  
Subject Location: *b6 b7c*

Case Name:  
Attorney: Leiner, Frederick C.  
Source Name:

Complainant Name: *b6 b7c*  
Allegation/Disposition Codes:  
A009 D15 -- Abuse of the grand jury or indictment process.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

\*\*\*\*\*

Case/Subject No: C05-0068-01  
Date Opened: July 8, 2005  
Date Closed: November 7, 2006  
Subject Name:

Subject Location: *b6 b7c*

Case Name:  
Attorney: Leiner, Frederick C.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE *b6 b7c*  
Allegation/Disposition Codes:

A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not  
sustained for Poor Judgment or Professional Misconduct.

A411 D14 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C06-0080-01  
Date Opened: September 30, 2006  
Date Closed: November 8, 2006

Subject Name:  
Subject Location: *b6 b7c*  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name:

Complainant Name: *b6 b7c* 1)  
Allegation/Disposition Codes:  
A412 D15 -- Improper Coercion/Intimidation of a Witness.



User: Analyst

Date: November 26, 20 7

Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

\*\*\*\*\*

Case/Subject No: C07-0002-01  
Date Opened: October 5, 2006  
Date Closed: November 14, 2006  
Subject Name:  
Subject Location: b6 b7C  
Case Name:  
Attorney: Wehner, Karen  
Source Name: OPR LEGAL RESEARCH IN THE COURSE OF ANOTHER INVESTIGATION

Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

~~A410 D25 -- Improper Closing Argument. Closed administratively. No merit to alleg. Improvidently opened. Number as Inquiry or Correspondence.~~

A411 D25 -- Improper Examination of a Witness. Closed administratively. No merit to alleg. Improvidently opened. Number as Inquiry or Correspondence.

\*\*\*\*\*

Case/Subject No: C07-0013-01  
Date Opened: November 1, 2006  
Date Closed: November 15, 2006  
Subject Name:  
Subject Location: b6 b7C

Case Name: None  
Attorney: Colby, Paul L.  
Source Name: None  
Complainant Name: b6 b7C

Allegation/Disposition Codes:

A535 D05 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated for Poor Judgment. Report of inquiry referred to management.

\*\*\*\*\*

Case/Subject No: C05-0041-01  
Date Opened: February 22, 2005  
Date Closed: November 28, 2006  
Subject Name:  
Subject Location: b6 b7C

Case Name:  
Attorney: McCarty, Margaret S.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7C

Allegation/Disposition Codes:

A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard.

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0046-01

User: Analyst

Date: November 26, 20 7

Date Opened: February 28, 2005

Date Closed: November 28, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: McCarty, Margaret S.

Source Name: OPR WESTLAW SEARCH

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c

Allegation/Disposition Codes:

A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard.

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C05-0064-01

Date Opened: June 7, 2005

Date Closed: November 28, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: McCarty, Margaret S.

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c

Allegation/Disposition Codes:

A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard.

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management.

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C06-0034-01

Date Opened: April 17, 2006

Date Closed: November 28, 2006

Subject Name:

Subject Location:

Case Name:

Attorney: Ward, Barbara L.

Source Name:

self-reporting)

Complainant Name:

Allegation/Disposition Codes:

A340 D14 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake.

\*\*\*\*\*

Case/Subject No: C06-0038-01

Date Opened: April 17, 2006

Date Closed: December 19, 2006

Subject Name:

User: Analyst

Date: November 26, 20 7

Subject Location: - b6 b7c  
Case Name:  
Attorney: Ward, Barbara L.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A407 D15 Discovery - Brady Violation/Exculpatory Information.  
Unsubstantiated. Allegation not sustained. Subject acted appropriately  
in all circumstances

\*\*\*\*\*

Case/Subject No: C06-0005-01  
Date Opened: November 9, 2005  
Date Closed: December 22, 2006

Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Fraase, Mark G.  
Source Name:  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A411 D13 -- Improper Examination of a Witness. Unsubstantiated.  
Allegation not sustained for Poor Judgment or Professional Misconduct.  
A421 D13 -- Failure to comply with court order (other than lateness) or  
federal rule. Unsubstantiated. Allegation not sustained for Poor  
Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C06-0084-01  
Date Opened: September 30, 2006  
Date Closed: December 27, 2006  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: b6 b7c

Allegation/Disposition Codes:

A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not  
sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C05-0043-01  
Date Opened: February 22, 2005  
Date Closed: January 9, 2007

Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Thomson, Robert  
Source Name:

Complainant Name: b6 b7c

Allegation/Disposition Codes:

User: Analyst

Date: November 26, 20 7

A002 D00 Abuse of prosecutive or investigative authority (general).  
\*\*\*\*\*

Case/Subject No: C05-0043-02  
Date Opened: February 22, 2005  
Date Closed: January 9, 2007  
Subject Name:  
Subject Location: b6-b7c  
Case Name:  
Attorney: Thomson, Robert  
Source Name:  
Complainant Name: b6-b7c

Allegation/Disposition Codes:

~~A002 D00 -- Abuse of prosecutive or investigative authority (general).~~  
\*\*\*\*\*

Case/Subject No: C06-0002-01  
Date Opened: October 6, 2005  
Date Closed: January 9, 2007  
Subject Name:  
Subject Location: b6-b7c  
Case Name:

Attorney: Kessler, Tamara J.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE  
Allegation/Disposition Codes:

A001 D02 -- Abuse of authority or misuse of official position.  
Substantiated - Reckless Disregard.

\*\*\*\*\*  
Case/Subject No: C06-0019-01  
Date Opened: January 31, 2006  
Date Closed: January 9, 2007  
Subject Name:

Subject Location:  
Case Name:

Attorney: Kessler, Tamara J.  
Source Name: FOUND BY OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE  
Allegation/Disposition Codes:

A001 D02 -- Abuse of authority or misuse of official position.  
Substantiated - Reckless Disregard.

\*\*\*\*\*  
Case/Subject No: C06-0045-01  
Date Opened: May 10, 2006  
Date Closed: January 9, 2007  
Subject Name:

Subject Location:

User: Analyst

Date: November 26, 20 7

Case Name:

b6 b7c

Attorney: Kessler, Tamara J.

Source Name:

b6 b7c

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c

Allegation/Disposition Codes:

A001 D02 -- Abuse of authority or misuse of official position.

Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C06-0046-01

Date Opened: May 10, 2006

Date Closed: January 9, 2007

Subject Name:

b6 b7c

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name:

b6 b7c

Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c

Allegation/Disposition Codes:

A001 D02 -- Abuse of authority or misuse of official position.

Substantiated - Reckless Disregard.

\*\*\*\*\*

Case/Subject No: C06-0048-01

Date Opened: May 16, 2006

Date Closed: January 9, 2007

Subject Name:

UNIDENTIFIED USAO ATTORNEYS, UNKNOWN LOCATIONS

Subject Location: L999 -- Unlisted Location.

Case Name: RE ALLEGED MISUSE OF MATERIAL WITNESS WARRANT

Attorney: Kessler, Tamara J.

Source Name:

b6, 7c

Complainant Name:

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

\*\*\*\*\*

Case/Subject No: C06-0079-01

Date Opened: September 30, 2006

Date Closed: January 9, 2007

Subject Name:

b6 b7c

Subject Location:

Case Name:

Attorney: Kessler, Tamara J.

Source Name:

Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A001 D13 -- Abuse of authority or misuse of official position.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.

User: Analyst

Date: November 26, 20 7

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C06-0049-01  
Date Opened: May 5, 2006  
Date Closed: January 10, 2007  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Clarke, Nadira  
Source Name: b6 b7c (U.S. Attorney, b6 b7c  
Complainant Name: MANAGEMENT OF THE U.S. ATTORNEY'S OFFICE b6 b7c

Allegation/Disposition Codes:

A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management.

A001 D05 -- Abuse of authority or misuse of official position. Substantiated for Poor Judgment. Report of inquiry referred to management.

A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management.

A001 D13 -- Abuse of authority or misuse of official position. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

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Case/Subject No: C07-0010-01  
Date Opened: November 12, 2006  
Date Closed: January 10, 2007  
Subject Name:  
Subject Location: b6 b7c  
Case Name:  
Attorney: Leiner, Frederick C.  
Source Name: OPR WESTLAW SEARCH  
Complainant Name: U.S. COURT OF APPEALS FOR THE b6 b7c

Allegation/Disposition Codes:

A410 D29 -- Improper Closing Argument. Closed administratively. Other. Specify.

A411 D29 -- Improper Examination of a Witness. Closed administratively. Other. Specify.

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Case/Subject No: C06-0041-01  
Date Opened: April 19, 2006  
Date Closed: January 11, 2007  
Subject Name:  
Subject Location: b6 b7c  
Case Name:

User: Analyst

Date: November 26, 20

Attorney: Howard, Lisa G.  
Source Name:  
Complainant Name:

b6 b7c

Allegation/Disposition Codes:

A408 D14 -- Discovery - Impeachment/Jencks. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

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Case/Subject No: C05-0060-01  
Date Opened: June 1, 2005  
Date Closed: January 12, 2007  
Subject Name:

Subject Location:

Case Name: MULTIPLE CASES

Attorney: Brandon, Kathleen  
Source Name:

Complainant Name: THREE U.S. DISTRICT JUDGES, IN THREE CASES

Allegation/Disposition Codes:

A633 D14 -- Speedy Trial Act violation Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Case/Subject No: C06-0071-01  
Date Opened: August 11, 2006  
Date Closed: January 23, 2007  
Subject Name:

Subject Location:

Case Name: MULTIPLE CASES

Attorney: Hurley, Neil  
Source Name: DURBIN, RICHARD J. (U.S. Senator)  
Complainant Name: ANONYMOUS FEDERAL LAW ENFORCEMENT OFFICIAL

Allegation/Disposition Codes:

A002 D05 -- Abuse of prosecutive or investigative authority (general).  
Substantiated for Poor Judgment. Report of inquiry referred to management.

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Case/Subject No: C06-0036-01  
Date Opened: April 18, 2006  
Date Closed: January 24, 2007  
Subject Name:

Subject Location:

Case Name:

Attorney: Hardy, Lyn  
Source Name:  
Complainant Name: U.S. DISTRICT COURT

Allegation/Disposition Codes:

A407 D14 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

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User: Analyst

Date: November 26, 20 7

Case/Subject No: C07-0012-01  
Date Opened: November 15, 2006  
~~Date Closed: January 24, 2007~~  
Subject Name:  
Subject Location: --- b6 b7C ---  
Case Name:

Attorney: Ward, Barbara L.  
Source Name: b6 b7C -----te party and plaintiff in lawsuit)  
Complainant Name: (U.S. District Judge,  
Allegation/Disposition Codes:  
A402 D00 -- Prosecutorial Misconduct (General - OPR atty/pls provide  
specific code).