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Description of document: List of closed investigations at Department of Justice

(DOJ) Office of Professional Responsibility (OPR), 2004

- 2006

Requested date: 30-December-2006

Released date: 30-September-2009

Posted date: 11-January-2010

Date/date range of document: 05-January-2004 – 24-January-2007

Source of document: Special Counsel for FOI and Privacy Acts

Office of Professional Responsibility

950 Pennsylvania Avenue, N.W., Suite 3529

Washington, D.C. 20530

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U.S. Department of Justice

Office of Professional Responsibility 950 Pennsylvania Avenue, N.W., Suite 3525 Washington, D.C. 20530 (202)514-3365

SEP 3 0 2009

Re: F07-0008

This is in response to your December 30, 2006 Freedom of Information Act request to this Office for a list of "closed investigations at OPR for the time period January 1, 2004 to the present." We received your request on January 11, 2007. It has been assigned request number F07-0008. Please refer to that number in any correspondence pertaining to this matter. We apologize for the delay in this response.

A search of our computer database, for investigations closed from January 1, 2004 through January 24, 2007, identified 117 pages responsive to your request. The disclosable portion of these pages is enclosed.

I am withholding the remaining information pursuant to 5 U.S.C. §552 (b)(6) and (b)(7)(C). Exemption (b)(6) permits the withholding of information about individuals in "personnel and medical files and similar files" when disclosure of such information "would constitute a clearly unwarranted invasion of personal privacy." Exemption (b)(7)(C) allows for the withholding of information compiled for law enforcement purposes the release of which "could reasonably be expected to constitute an unwarranted invasion of personal privacy."

If you are not satisfied with this response, you may appeal in writing within sixty days of the date of this letter to the Director, Office of Information Policy. Your letter and envelope should be marked "FREEDOM OF INFORMATION APPEAL" and addressed to:

Office of Information Policy United States Department of Justice 1425 New York Ave., N.W. Suite 11050 Washington, D.C. 20530-0001 If you are dissatisfied with the result of any appeal you make, judicial review may thereafter be available to you in the United States District Court for the judicial district in which you reside, or in which you have your principal place of business, or in the District of Columbia.

Sincerely

Marlene M. Wahowiak

Special Counsel

for Freedom of Information and Privacy Acts

Enclosures

User: Analyst Date: November 26, 20 7 ************************* Case/Subject No: C02-0032-01 Date Opened: Date Closed: June 12, 2002 January 5. 2004 66.67C Subject Name: Subject Location: 1, 06,67C Case Name: Attorney: McCarty, Margaret S. Source Name: , b6,67c U.S. COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA Complainant Name: Allegation/Disposition Codes: A409 D05 -- Improper Opening Argument. Substantiated for Poor Judgment. Report of inquiry referred to management. ************ Case/Subject No: . C03-0038-01 February 11, 2003 Date Opened: January 5, 2004 Date Closed: Subject Name: bb 676. Subject Location: Case Name: White, Alexander S. Attorney: Source Name: 66,67c U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT Complainant Name: Allegation/Disposition Codes: A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -Reckless Disregard. *********** C03-0078-01 Case/Subject No: Date Opened: July 17, 2003 Date Closed: January 5, 2004 b6:676 Subject Name: Subject Location: -- Unlisted Location (EOUSA). Case Name: None Attorney: Duncan, James G. Source Name: b6,67C Complainant Name: Allegation/Disposition Codes: A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** Case/Subject No: | Date Opened: July 17, 2003 January 5, 2004 Date Closed: Subject Name: 66, 67C Subject Location:

PAGE 1

None

Case Name:

User: Analyst Date: November 26, 20 7 Attorney: Duncan, James G. Source Name: Complainant Name: 66,67C llegation/Disposition Codes: A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: (. C01-0073-01 Date Opened: Date Closed: September 13, 2001 January 8, 2004 Subject Name: Subject Location: ' Case Name: Dio, 67C McCarty, Margaret S. Attorney: Source Name: None Complainant Name: 66,67C Allegation/Disposition Codes: A542 D01 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Intentional. ************** Case/Subject No: C03-0049-01 Date Opened: March 12, 2003 Date Closed: January 8, 2004 Subject Name: Subject Location: ' -66,67C Case Name: White, Alexander S. Attorney: Source Name: None Complainant Name: ANONYMOUS ("A CONCERNED AUSA") legation/Disposition Codes: A062 D09 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Professional Misconduct ****************** . C00-0044-01 Case/Subject No: February 21, 2000 Date Opened: January 12, 2004 Date Closed: 66,670 Subject Name: Subject Location: Case Name: wehner, Karen Attorney: 66,676 Source Name: 66, b7C Complainant Name:

A252 D05 -- Improper contacts with rep party/interference with atty-client relationship. Substantiated for Poor Judgment. Report of inquiry referred to management.

*llegation/Disposition Codes:

Date: November 26, 20 7 User: Analyst

Poor Judgment. Report of inquiry referred to management.

Case/Subject No:

C02-0013-01

Date Opened:

Date Closed:

January 10, 2002 January 12, 2004

06,67C

Subject Name:

Subject Location:

Case Name:

Attornev:

Hardy, Lyn

Source Name:

66,67C. 66,67C

Complainant Name:

Allegation/Disposition Codes:

A422 D01 -- Lateness re Court Order. Substantiated - Intentional.

A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional.

A422 D01 -- Lateness re Court Order. Substantiated - Intentional.

A421 D01 -- Intentional Failure to comply, with court order/other than lateness Substantiated - Intentional.

A422 D02 -- Lateness re Court Order. Substantiated - Reckless Disregard.

A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard.

A421 D13 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard.

A422 D02 -- Lateness re Court Order. Substantiated - Reckless Disregard.

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.

A253 D02 -- Incompetence (Inability to perform). Substantiated -Reckless Disregard.

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional.

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional.

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional.

A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management.

A404 D05 -- Misrepresentation / Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management.

A157 D13 -- Falsification of records. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A534 D13 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A412 D13 -- Improper Coercion/Intimidation of a Witness.

Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

User: Analyst Date: November 26, 20 7 A462 D13 -- Subornation of perjury/Failure to correct false testimony. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: C03-0016-01 December 27, 2002 Date Opened: January 12, 2004 Date Closed: Subject Name: Subject Location: 106,67C Case Name: Attorney: Ward, Barbara L. Source Name: Complainant Name: Allegation/Disposition Codes: A404 D13 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************** Case/Subject No: C03-0056-01 April 22, 2003 Date Opened: Date Closed: January 12, 2004 16,6% Subject Name: Subject Location: 66,67C Case Name: Attorney: Kessler, Tamara J. Source Name: D6, 67C Complainant Name: Allegation/Disposition Codes: A402 D05 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Substantiated for Poor Judgment. Report of inquiry referred to management. A406 D05 -- Discovery - Rule 16. Substantiated for Poor Judgment. Report of inquiry referred to management. A407 D05 -- Discovery - Brady Violation/Exculpatory Information. Substantiated for Poor Judgment. Report of inquiry referred to management. · A408 D05 -- Discovery - Impeachment/Jencks. Substantiated for Poor Judgment. Report of inquiry referred to management. C03-0077-01 Case/Subject No , July 15, 2003 Date Opened: Date Closed: January 12, 2004 Subject Name: Subject Location: Case Name: Thomas, R. Keith Attorney: Source Name: Complainant Name: Allegation/Disposition Codes:

A252 D05 -- Improper contacts with rep party/interference with atty-client relationship. Substantiated for Poor Judgment. Report of inquiry referred to management.

User: Analyst Date: November 26, 20 7 ****************** Case/Subject No: C00-0044-02 February 21, 2000 January 12, 2004 Date Opened: Date Closed: Subject Name: b6,676 Subject Location: 106, 67C Case Name: Wehner, Karen Attorney: Source Name: 66, bt Complainant Name: Allegation/Disposition Codes: A252 D15 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************ Case/Subject No: C01-0044-01 April 18, 2001 Date Opened: Date Closed: January 13, 2004 Subject Name: 66,67C Subject Name:
Subject Location:
Case Name: b6 b1c Case Name: Attorney: Ward, Barbara L. 66,67 Source Name: Complainant Name: · 66,67C Allegation/Disposition Codes: A539 D13 -- Unprof statements or comments. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. .*************** C01-0064-01 July 9, 2001 Case/Subject No: Date Opened: January 13, 2004 Date Closed: 66,676 Subject Name: Subject Location: -66,67C Case Name: Leiner. Frederick C. Attorney: Source Name: 66,67 Complainant Name: 66, 67C Allegation/Disposition Codes: A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************* Case/Subject No: 1 C03-0017-01 December 27, 2002 Date Opened: Date Closed: January 13. 2004 Subject Name: Subject Location > 66,67C Case Name:

User: Analyst Date: November 26, 20 7

Kessler, Tamara J. Attorney:

66,67C Source Name:

Complainant Name: 66, b7c

Allegation/Disposition Codes:

A405 D14 -- Misrepresentation to Opposing Counsel. Unsubstantiated. Allegation not sustained. Subject made a mistake.

A415 D14 -- Improper coercion of plea of guilty. Unsubstantiated. A'r Allegation not sustained. Subject made a mistake.

* +**********

Case/Subject No: : C03-0089-01

September 5, 2003 Date Opened: Date Closed: January 13, 2004

Subject Name: 06,676

-- 106 b1C Subject Location: \

None Case Name:

Ross, Simone E. Attorney:

None Source Name:

Complainant Name: 06,676

Allegation/Disposition Codes:

A543 D01 -- Failure to maintain active Bar membership. Substantiated -Intentional.

****************** *******

C02-0044-01 Case/Subject No: \ June 27, 2002 Date Opened: Date Closed: January 27, 2004

Subject Name:

--- - b6, b7c

Subject Location: . .

Case Name: Fraase, Mark G. Attorney:

Source Name:

Complainant Name: U.S. COURT OF APPEALS FOR THE 66.67C

Allegation/Disposition Codes:

A411 D29 -- Improper Examination of a Witness. Closed administratively. Other. Specify.

66,670

B6, 67C

 $_{
u}$ A404 D29 -- Misrepresentation /Misleading the Court. Closed

administratively. Other. Specify. *************

Case/Subject No: | ° C03-0021-01

January 29, 2003 Date Opened: Date Closed: February 23, 2004

Subject Name: D6, 670 .

Subject Location: b>

Case Name:

Thomas, R. Keith Attorney:

Source Name:

User: Analyst Date: November 26, 20 7

Complainant Name:

E6,676

Allegation/Disposition Codes:

A633 D01 -- Speedy Trial Act violation Substantiated - Intentional.
A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional.

Case/Subject No: C03-0072-01 Date Opened: June 13, 2003 February 23, 2004 Date Closed:

Subject Name:

66,67C

Subject Location: -

MULTIPLE CASES, Case Name: Attorney: Thomas, R. Keith

Source Name:

Complainant Name:

106,67C

Allegation/Disposition Codes:

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional.

A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard.

A340 D13 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional.

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional.

A537 D05 -- Unprof or unethical behavior: other (unspecific). Substantiated for Poor Judgment. Report of inquiry referred to management.

A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard.

A404 D02 -- Misrepresentation / Misleading the Court. Substantiated -Reckless Disregard.

A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional.

A342 D01 -- Intentional Failure to Perform Substantiated - Intentional. A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional.

A065 D02 -- Conflicting personal interests. Substantiated - Reckless Disregard.

A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management.

A002 D01 -- Abuse of prosecutive or investigative authority (general). Substantiated - Intentional.

A340 D13 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A422 D02 -- Lateness re Court Order. Substantiated - Reckless

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User: Analyst
                                     Date: November 26, 20 7
   Disregard.
 ***************
Case/Subject No: \ C03-0069-01
Date Opened:
                    May 27, 2003
Date Closed:
                   March 1, 2004
Subject Name:
                                                      64,676
Subject Location: )
Case Name:
                   MULTIPLE CASES,
Attorney:
                   Duncan, James G.
Source Name:
                                                        p6,676
Complainant Name:
Allegation/Disposition Codes:
   A001 D29 -- Abuse of authority or misuse of official position. Closed
   administratively. Other. Specify.
   A535 D29 -- Unauthorized disclosure (non-media): other (including Priv
   Act violations and Closed administratively. Other. Specify.
   A540 D29 -- Improper use of official information. Closed
   administratively. Other. Specify.
*********************
Case/Subject No: C03-0039-01
Date Opened:
                   February 13, 2003
Date Closed:
                   March 5, 2004
Subject Name:
                                                        66,070
Subject Location:
                                                       ·66,676
Case Name:
                   Wehner, Karen
Attorney:
Source Name:
                                                         16 770
                  U.S. COURT OF APPEALS FOR THE
Complainant Name:
Tallegation/Disposition Codes:
A542 D14 -- Failure to comply with DOJ Rules and Regulations.
   Unsubstantiated. Allegation not sustained. Subject made a mistake.
   A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not
   sustained. Subject made a mistake.
*************************************
Case/Subject No:
                 - C03-0065-01
                   May 13, 2003
Date Opened:
Date Closed:
                   March 5, 2004
Subject Name:
                                                         66,676
Subject Location:
                                                         66, b7C
Case Name:
                   Leiner, Frederick C.
Attorney:
Source Name:
                                                        06,676
                                                          #BB7C
Complainant Name: U.S. COURT OF APPEALS FOR THE
Allegation/Disposition Codes:
 , A542 D14 -- Failure to comply with DOJ Rules and Regulations.
   Unsubstantiated. Allegation not sustained. Subject made a mistake.
   A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not
  sustained. Subject made a mistake.
*************
Case/Subject No:
```

User: Analyst Date: November 26, 20 7 Date Opened: November 6, 2002 Date Closed: March 9, 2004 Subject Name: Subject Location: Case Name: Attorney: Fraase, Mark G. Source Name: Complainant Name: Allegation/Disposition Codes: A414 D15 -- Improper use of peremptory strikes during jury selection. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances **************** Case/Subject No: C03-0031-01 February 5, 2003 Date Opened: Date Closed: March 10, 2004 Subject Name: Subject Location: Case Name: Meade, James A. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. ****************** C04-0004-01 Case/Subject No: October 3, 2003 Date Opened: Date Closed: March 15, 2004 Subject Name: Subject Location: MULTIPLE CASES, Case Name: Hardy, Lyn Attorney: Source Name: None 06 DTC Complainant Name: Allegation/Disposition Codes: A422 D29 -- Lateness re Court Order. Closed administratively. Other. Specify. A340 D29 -- Negligence/dereliction/improper perf of duties (failure to perform). Closed administratively. Other. Specify. A342 D29 -- Intentional Failure to Perform Closed administratively. Other. Specify. A404 D29 -- Misrepresentation /Misleading the Court. Closed administratively. Other. Specify. ****************** C03-0044-01 Case/Subject No: March 3, 2003 Date Opened: March 17, 2004 Date Closed: Subject Name: Subject Location:

User: Analyst Date: November 26, 20 7 . . Case Name: D0 07C Clarke, Nadira Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management. A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management. A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ****************** Case/Subject No: C03-0035-01 Date Opened: February 9, 2003 Date Closed: March 18. 2004 Subject Name: Subject Location: Case Name: Attorney: Wehner, Karen 66 67C Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. ****************** Case/Subject No: C03-0088-01 Date Opened: August 26, 2003 Date Closed: March 19, 2004 66 h7C Subject Name: Subject Location: None Case Name: Wehner, Karen Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A532 D09 -- Unauthorized disclosure to media: other - including Priv Act violations and Unsubstantiated. Allegation not sustained for Professional Misconduct (PM). ************ C03-0063-01 Case/Subject No: May 13, 2003 Date Opened: March 25, 2004 Date Closed: Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: OPR SEARCH QF WESTLAW Source Name:

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06 DIC

Complainant Name:

Date: November 26, 20 7 User: Analyst Allegation/Disposition Codes: A420 D02 -- Unauthorized plea agreements. Substantiated - Reckless Disregard. A150 D09 -- Failure to honor plea agreement. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM). ***************** Case/Subject No: C03-0023-01 Date Opened: January 29, 2003 March 30, 2004 Date Closed: Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: OPR SEARCH OF WESTLAW Source Name: Complainant Name: 66 DTC Allegation/Disposition Codes: A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A421 D01 -- Intentional Failure to comply with court order/other that lateness Substantiated - Intentional. A341 D01 -- Failure to Diligently Represent the Interest of the Clie (Model Rule 1.3) Substantiated - Intentional. **************** Case/Subject No: C04-0040-01 March 10, 2004 Date Opened: March 30; Date Closed: 2004 Subject Name: Subject Location: Case Name: Attorney: Leiner, Freuerick C. Source Name: Complainant Name: Allegation/Disposition Codes: A421 D14 Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject made a mistake. ******************* Case/Subject No: C01-0062-01 July 2, 2001 Date Opened: Date Closed: April 5, 2004 Subject Name: Subject Location: Case Name: Attorney: Source Name: Complainant Name:

Allegation/Disposition Codes:

A002 D02 -- Abuse of prosecutive or investigative authority (general Substantiated - Reckless Disregard.

A009 D02 -- Abuse of the grand jury or indictment process. Substantiated - Reckless Disregard.

User: Analyst Date: November 26, 20 7 ********** Case/Subject No: C01-0062-02 Date Opened: July 2, 2001 April 5, 2004 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil Source Name: Complainant Name: Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A009 D13 -- Abuse of the grand jury or indictment process. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. Case/Subject No: C01-0077-01 Date Opened: September 28, 2001 Date Closed: April 5, 2004 Subject Name: n, Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes: A418 D13 -- Ex parte Communication. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A252 D13 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. *********** Case/Subject No: C03-0045-01 March 3, 2003 Date Opened: Date Closed: April 5, 2004 Subject Name: Subject Location: Case Name: Hardy, Lyn Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for

PAGE 12

Poor Judgment. Report of inquiry referred to management.

User: Analyst Date: November 26, 20 7

A404 D13 -- Misrepresentation / Misleading the Court. Unsubstanced. Allegation not sustained for Poor Judgment or Professional Misconduct. A157 D13 -- Falsification of records. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

Case/Subject No: C03-0046-01 March 7, 2003 April 5, 2004 Date Opened: Date Closed:

Subject Name: Subject Location:

Case Name: Ross, Simone E. Attorney:

Source Name: b6 b7C

Complainant Name: Allegation/Disposition Codes:

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all

circumstances

Case/Subject No: C03-0083-01 Date Opened: July 28, 2003 April 5, 2004 Date Closed:

Subject Name:

Subject Location:

Case Name: None

Leiner, Frederick C. Attorney:

Source Name: None

Complainant Name:

Allegation/Disposition Codes:

A543 D02 -- Failure to maintain active Bar membership. Substantiated -Reckless Disregard.

A542 D02 -- Failure to comply with DOJ Rules and Regulations.

Substantiated - Reckless Disregard.

Case/Subject No: C04-0041-01 Date Opened: March 29, 2004 Date Closed: April 5, 2004 Subject Name:

Subject Location:

Case Name: None Ross, Simone E. Attorney:

Source Name:

Complainant Name: Allegation/Disposition Codes:

A002 D15 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

Case/Subject No: C02-0042-01 June 26, 2002 Date Opened:

User: Analyst Date: November 26, 20 7 Date Closed: April 27, 2004 Subject Name: a) Subject Location: Case Name: Attorney: Ross. Simone E. Source Name: Complainant Name: Allegation/Disposition Codes: A407 D29 -- Discovery - Brady Violation/Exculpatory Information. Closed administratively. Other. Specify.
A408 D29 -- Discovery - Impeachment/Jencks. Closed administratively. Other. Specify. A462 D29 -- Subornation of perjury/Failure to correct false testimony. Closed administratively. Other. Specify. ************ Case/Subject No: C04-0010-01 October 22, 2003 May 15, 2004 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Duncan, James G. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A001 D01 -- Abuse of authority or misuse of official position. Substantiated - Intentional. A540 D01 -- Improper use of official information. Substantiated -Intentional. A535 D01 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Intentional. A535 D01 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Intentional. A536 D02 -- Unprofessional or unethical behavior: bar complaints. Substantiated - Reckless Disregard. A002 D01 -- Abuse of prosecutive or investigative authority (general). Substantiated - Intentional. A314 D05 -- Misuse of government property (other than OGVs) -Aircraft/Computers etc. Substantiated for Poor Judgment. Report of inquiry referred to management. A529 D13 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A542 D13 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ********** Case/Subject No: C04-0011-01

Date Opened: October 22, 2003
Date Closed: May 15, 2004

User: Analyst	Date: November 26, 20 7
Subject Name: Subject Location: Case Name: Attorney:	None Duncan, James G.
Unsubstantiated. Professional Miscard A370 D13 Obstruction not sure A340 D13 Negligation Professional MA065 D13 Confluction not sure A999 D13 Other agent). Unsubstant Professional Miscard Professional Miscar	of authority or misuse of official position. Allegation not sustained for Poor Judgment or onduct. uction of justice/coverup. Unsubstantiated. stained for Poor Judgment or Professional Misconduct. gence/dereliction/improper perf of duties (failure to antiated. Allegation not sustained for Poor Judgment isconduct. icting personal interests. Unsubstantiated. stained for Poor Judgment or Professional Misconduct. or not specified above (failure to register a foreign tiated. Allegation not sustained for Poor Judgment or
Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name: Complainant Name: Allegation/Disposition A001 D13 Abuse Unsubstantiated. Professional Misco	of authority or misuse of official position. Allegation not sustained for Poor Judgment or
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name:	C03-0034-01 February 7, 2003 May 18, 2004
Attorney: Source Name:	McCarty, Margaret S.
Complainant Name:	b66/C
Allegation/Disposition	on Codes

Allegation/Disposition Codes:
A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances
A370 D15 -- Obstruction of justice/coverup. Unsubstantiated.

User: Analyst Date: November 26, 20 7 Allegation not sustained. Subject acted appropriately in all circumstances ************************* Case/Subject No: C03-0034-02 February 7, 2003 Date Opened: May 18, 2004 Date Closed: Subject Name: --- Civil Division. Subject Location: Case Name: McCarty, Margaret S. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances
A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ******************* Case/Subject No: C03-0034-03 February 7, 2003 May 18, 2004 Date Opened: Date Closed: Subject Name: Subject Location: -- Civil Division. Case Name: McCarty, Margaret S. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A402 D14 -- Prosecutorial Misconduct (General - OPR atty/pls provide a mistake. A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject

specific code). Unsubstantiated. Allegation not sustained. Subject made

acted appropriately in all circumstances

A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

Case/Subject No: C03-0034-04

February 7, 2003 Date Opened: Date Closed: May 18, 2004 Subject Name:

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User: Analyst Date: November 26, 20 ' Subject Location: Case Name: Attorney: McCarty, Margaret S. Source Name: 66 b7C Complainant Name: Allegation/Disposition Codes: A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ******************* Case/Subject No: C03-0034-05 February 7, 2003 Date Opened: Date Closed: May 18, 2004 Subject Name: Subject Location: Case Name: McCarty, Margaret S. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A402 D15 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A370 D15 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************* C03-0092-01 Case/Subject No:

September 30, 2003 Date Opened: Date Closed: May 18, 2004 Subject Name:

Subject Location: WHISTLEBLOWER CLAIM Case Name:

Source Name: OIG

Attorney:

Allegation/Disposition codes:

A432 D39 -- Retaliation or reprisals. OPR Investigation completed.

Retaliation found. Referred to OARM.

Kessler, Tamara J.

C03-0092-02 Case/Subject No:

September 30, 2003 Date Opened:

User: Analyst	Date: November 26, 20 7
Date Closed: Subject Name: Subject Location: Case Name:	May 18, 2004 bbb7C WHISTLEBLOWER CLAIM
Retaliation found	iation or reprisals. OPR Investigation completed.
Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney:	March 12, 2004 May 18, 2004 Leiner, Frederick C.
Source Name: Complainant Name: Allegation/Dispositi A539 D15 Unpro not sustained. Su	66 b7C
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name:	C03-0034-06 February 7, 2003 May 18, 2004
	on Codes: presentation /Misleading the Court. Unsubstantiated.
	stained. Subject made a mistake. ***********************************
Complainant Name:	66 b7C
Allegation/Dispositi A404 D14 Misre	on Codes: presentation /Misleading the Court. Unsubstantiated.

User: Analyst Date: November 26, 20 7 Allegation not sustained. Subject made a mistake. ****************** Case/Subject No: C04-0051-01 April 29, 2004 Date Opened: Date Closed: May 24, 2004 Subject Name: Subject Location: Case Name: Wahowiak, Marlene M. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A531 D29 -- Unauthorized disclosure to media: 6(e) material. Closed administratively. Other. Specify. A532 D29 -- Unauthorized disclosure to media: other - including Priv Act violations and Closed administratively. Other. Specify. *************** C03-0013-01 Case/Subject No: December 27, 2002 Date Opened: Date Closed: May 25, 2004 Subject Name: Subject Location: Case Name: Ward, Barbara L. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A542 D14 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject made a mistake. A542 D13 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ***************** C03-0011-01 Case/Subject No: Date Opened: November 22, 2002 June 4, 2004 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil Source Name: None 66 b7C Complainant Name: Allegation/Disposition Codes: A404 D05 -- Misrepresentation /Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management. A407 D09 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Professional Misconduct

Case/Subject No: C03-0048-01

Date: November 26, 20 7 User: Analyst Date Opened: March 10, 2003 Date Closed: June 7. 2004 Subject Name: Subject Location: MULTIPLE CASES Case Name: Nadira Clarke. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard. A422 D02 -- Lateness re Court Order. Substantiated - Reckless Disregard. A422 D02 -- Lateness re Court Order. Substantiated - Reckless Disregard. A422 D02 -- Lateness re Court Order. Substantiated - Reckless Disregard. ********************** Case/Subject No: C04-0019-01 Date Opened: November 24, 2003 Date Closed: June 7, 2004 Subject Name: Subject Location: Case Name: White, Alexander S. Attorney: OPR SEARCH OF WESTLAW Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ***************** C02-0057-01 Case/Subject No: Date Opened: July 31, 2002 June 8, 2004 Date Closed: Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A406 D14 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject made a mistake. A407 D15 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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Allegation not sustained. Subject acted appropriately in all

circumstances

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Date: November 26, 20 7 User: Analyst Case/Subject No: C02-0039-01 June 24, 2002 Date Opened: June 14, 2004 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Hardy, Lyn Source Name: None Complainant Name: Allegation/Disposition Codes: A413 D01 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated - Intentional. A001 D01 -- Abuse of authority or misuse of official position. Substantiated - Intentional. A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional. **************** Case/Subject No: C02-0039-02 Date Opened: June 24, 2002 June 14, 2004 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Hardy, Lyn Source Name: None b6 b7C , Complainant Name: Allegation/Disposition Codes: A413 D05 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated for Poor Judgment. Report of inquiry referred to management. A542 D05 -- Failure to comply with DOJ Rules and Regulations. Substantiated for Poor Judgment. Report of inquiry referred to management. ***************** . C03-0061-01 Case/Subject No: May 6, 2003 Date Opened: Date Closed: June 14, 2004 Subject Name: Subject Location: Case Name: Godwin, David E. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D02 -- Misrepresentation / Misleading the Court. Substantiated -Reckless Disregard. **************** Case/Subject No: C03-0061-02

May 6, 2003

Date Opened:

User: Anaryst		Date: November 26, 20 /	
Date Closed: Subject Name:	June 14, 2004		
Subject Location:	hlahte	-	
Case Name:			
Attorney:	Godwin, David E.		
Source Name:	101 170		
Complainant Name:		1	
Allegation/Dispositi			
A404 D13 Misre	presentation /Misle	eading the Court. Unsubstantiate	きd.
Allegation not su	stained for Poor Ju	adgment or Professional Misconduc	Ξt.

, <u> </u>	C03-0061-03		
Date Opened:	May 6, 2003		
Date Closed:	June 14, 2004		
Subject Name:	6617		
Subject Location: Case Name:	66 b70		
	Godwin, David E.		
Source Name:			
Complainant Name:	b6 b1C	Y	
Allegation/Dispositi		·	
		ading the Court. Unsubstantiate	ed.
Allegation not su	stained for Poor Ju	doment or Professional Misconduc	ct.
*****	******	********	
Case/Subject No:	C03-0057-01		
Date Opened:	April 22, 2003		
Date Closed:	June 15, 2004		
Subject Name:	6/2 107/	,	
Subject Location:	00 010	1 1110	
Case Name:	MULTÍPLE CASES, ¿	26 D/C	
Attorness.	Leiner, Frederick	C	
Attorney: Source Name:	66 byC	C.	
Complainant Name:	U.S. ATTORNEY'S OF	FICE MANAGEMENT.	
Allegation/Dispositi	on Codes:	,	
A253 D02 Incom	petence (Inability	to perform). Substantiated -	
Reckless Disregar		_	
		comply with court order/other tha	ın
lateness Unsubsta	ntiated. Allegation	not sustained. Subject made a	
mistake.			

Case/Subject No:	C03-0068-01		
Date Opened:	May 27, 2003		
Date Closed:	June 15, 2004		
Subject Name:	61 171		
Subject Togation:	Db-DC	٦	
Subject Location: Case Name:	MULTIPLE CASES	· ·	
Attorney:	Hardy, Lyn		
Source Name:	1101 Cy , 11911		
Complainant Name:	U.S. COURT OF APPE	ALS FOR THE bb h10	
		· · · · · · · · · · · · · · · · · · ·	

User: Analyst Date: November 26, 20 7 Allegation/Disposition Codes: A422 D01 -- Lateness re Court Order. Substantiated - Intentional. A152 D01 -- Failure to report misconduct. Substantiated - Intentional. *************** C04-0008-01 Case/Subject No: October 17, 2003 Date Opened: Date Closed: June 15. 2004 Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: SELF-REPORTING Source Name: Complainant Name: Allegation/Disposition Codes: A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A405 D15 -- Misrepresentation to Opposing Counsel. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ***************** Case/Subject No: C02-0068-01 September 30, 2002 Date Opened: June 16, 2004 Date Closed: Subject Name:), Subject Location: Case Name: Wahowiak, Marlene M. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A062 D14 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained. Subject made a mistake. A002 D15 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A418 D15 -- Ex parte Communication. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ****************** C04-0017-01 Case/Subject No: November 10, 2003 June 16, 2004 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Kessler, Tamara J.

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DOJ/OIG REFERRAL

66670

Source Name:

Complainant Name:

User: Analyst Date: November 26, 20 Allegation/Disposition Codes: A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. A405 D13 -- Misrepresentation to Opposing Counsel. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A542 D13 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. *********** Case/Subject No: C03-0010-01 November 21, 2002 Date Opened: Date Closed: June 17, 2004 Subject Name: .) Subject Location: None Case Name: Fraase, Mark G. Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes: A156 D01 -- False statements, misrepresentation (administrative). Substantiated - Intentional. A067 D05 -- Conflict/improperly seeking outside employment. Substantiated for Poor Judgment. Report of inquiry referred to management. A001 D05 -- Abuse of authority or misuse of official position. Substantiated for Poor Judgment. Report of inquiry referred to management. ******* Case/Subject No: C02-0020-01 February 19, 2002 June 30, 2004 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Wehner, Karen A. Attorney: OPR SEARCH OF WESTLAW Source Name: UNITED STATES COURT OF APPEALS FOR THE 6667C Complainant Name:

Allegation/Disposition Codes:

A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment.

Report of inquiry referred to management.

Case/Subject No: Date Opened:

C03-0086-01 August 12, 2003 June 30, 2004

Subject Name:

Subject Location:

Case Name: Attorney:

Date Closed:

Kessler, Tamara J.

User: Analyst Date: November 26, 20 7 Source Name: None 06 070 Complainant Name: Allegation/Disposition Codes: A418 D05 -- Ex parte Communication. Substantiated for Poor Judgment. Report of inquiry referred to management. ************** C03-0086-02 Case/Subject No: August 12, 2003 Date Opened: Date Closed: June 30, 2004 Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes: 90 A418 D13 -- Ex parte Communication. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ***************** C03-0086-03 Case/Subject No: August 12, 2003 Date Opened: Date Closed: June 30, 2004 Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: None Source Name: Allegation/Disposition Codes: A418 D05 -- Ex parte Communication. Substantiated for Poor Judgment. Report of inquiry referred to management. *************** C03-0086-04 Case/Subject No: Date Opened: August 12, 2003 Date Closed: June 30, 2004 Subject Name: Subject Location: Case Name: Attorney: Kessler, Tamara J. Source Name: None Complainant Name: b6 670 Allegation/Disposition Codes:

A418 D05 -- Ex parte Communication. Substantiated for Poor Judgment.

Report of inquiry referred to management.

Case/Subject No: C02-0059-01 August 26, 2002 Date Opened: Date Closed: July, 1, 2004 Subject Name: Subject Location:

Case Name: Attorney:

User: Analyst Date: November 26, 20 7 Source Name: Complainant Name: Allegation/Disposition Codes: A600 D14 -- Wiretaps and electronic surveillance: improper or illegal (Title III). Unsubstantiated. Allegation not sustained. Subject made a mistake. C02-0059-02 Case/Subject No: August 26, 2002 Date Opened: July 1, 2004 Date Closed: Subject Name: Subject Location: Case Name: Meade. James A. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A600 D14 -- Wiretaps and electronic surveillance: improper or illegal (Title III). Unsubstantiated. Allegation not sustained. Subject made a mistake. *********** C02-0017-01 Case/Subject No: February 11, 2002 Date Opened: July 2, 2004 Date Closed: Subject Name: Subject Location: MULTIPLE Case Name: Leiner, Frederick C. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. *********** C02-0030-01 Case/Subject No: June 6, 2002 Date Opened: Date Closed: July 2, 2004 Subject Name: Subject Location: Case Name: Attorney: Wehner, Karen Source Name: Complainant Name: Allegation/Disposition Codes:

A408 D02 -- Discovery - Impeachment/Jencks. Substantiated - Reckless

Disregard.

A407 DO2 -- Discovery - Brady Violation/Exculpatory Information.

Substantiated - Reckless Disregard.

A406 D02 -- Discovery - Rule 16. Substantiated - Reckless Disregard.

User: Analyst Date: November 26, 20 7 C03-0003-01 Case/Subject No: November 6, 2002 Date Opened: July 2, 2004 Date Closed: Subject Name: Subject Location: Case Name: Clarke, Nadira Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional. A342 D01 -- Intentional Failure to Perform Substantiated - Intentional. A633 D02 -- Speedy Trial Act violation Substantiated - Reckless Disregard. ***************** Case/Subject No: C03-0032-01 Date Opened: February 5, 2003 Date Closed: July 2. 2004 Subject Name: Subject Location: Case Name: Meade, James A. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. ***************** C04-0024-01 Case/Subject No: Date Opened: January 16, 2004 July 2, 2004 Date Closed: Subject Name: Subject Location: Case Name: Attornev: Hardy, Lyn Source Name: CIRCUIT COURT OF APPEALS Complainant Name: Allegation/Disposition A422 D20 -- Lateness re Court Order. Closed Administratively. to PIS/CRM. A404 D20 -- Misrepresentation /Misleading the Court. Closed Administratively. Referred to PIS/CRM. ***************** C04-0046-01 Case/Subject No: April 19, 2004 Date Opened:

July 2, 2004

Date Closed:

User: Analyst Date: November 26, 20 7 Subject Name: Subject Location: Case Name: Hardy Attorney: Source Name: Complainant Name: .) Allegation/Disposition Codes: A157 D20 -- Falsification of records. Closed Administratively. Referred to PIS/CRM. A404 D20 -- Misrepresentation /Misleading the Court. Closed Administratively. Referred to PIS/CRM. A421 D20 -- Intentional Failure to comply with court order/other than lateness Closed Administratively. Referred to PIS/CRM. A422 D20 -- Lateness re Court Order. Closed Administratively. to PIS/CRM. ********** C04-0053-01 Case/Subject No: Date Opened: May 6, 2004 July 2, 2004 Date Closed: Subject Name: Subject Location: Case Name: Hardy, Lvn Attorney: Source Name: Complainant Name: Allegation/Disposition Codes A422 D20 -- Lateness re Court Order. Closed Administratively. Referred to PIS/CRM. ***************** Case/Subject No: C03-0019-01 December 30, Date Opened: 2002 July 6; 2004 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Ward. Barbara L 66 b7C Source Name: U.S. COURT OF APPEALS FOR THE 66 57C Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. A411 D09 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM). ****************** Case/Subject No: C04-0049-01 Date Opened: April 28, 2004 Date Closed: July 6, 2004 Subject Name: Subject Location:

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Case Name:

Date: November 26, 20 7 User: Analyst Fraase, Mark G. Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes: A534 D13 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. Case/Subject No: C04-0050-01 Date Opened: April 29, 2004 Date Closed: July 6, 2004 UNKNOWN DOJ EMPLOYEE(S), POSSIBLY IN Subject Name: Subject Location: Case Name: Attorney: Fraase, Mark G. Source Name: None Complainant Name: .) Allegation/Disposition Codes: A531 D13 -- Unauthorized disclosure to media: 6(e) material. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A532 D13 -- Unauthorized disclosure to media: other - including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** Case/Subject No: C02-0025-01 Date Opened: March 8, 2002 July,16, 2004 Date Closed: Subject Name: Subject Location: Case Name: Ward, Barbara L Attorney: Source Name: OPR SEARCH OF WESTLAW Complainant Name: Allegation/Disposition Codes: A410 D02 -- Improper Closing Argument (Improper vouching). Substantiated - Reckless Disregard. A411 D02 -- Improper Examination of a Witness. Substantiated - Reckless Disregard. A407 D05 -- Discovery - Brady Violation/Exculpatory Information. Substantiated for Poor Judgment. Report of inquiry referred to management. **************** C04-0079-01 Case/Subject No:

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August 2, 2004

August 23, 2004

Date Opened:

Date Closed:

Case Name:

Subject Name: Subject Location:

User: Analyst Date: November 26, 20 7 Attorney: Ward, Barbara L. Source Name: Complainant Name: Allegation/Disposition codes: A009 D09 -- Abuse of the grand jury or indictment process. Unsubstantiated. Allegation not sustained for Professional Misconduct ****************** Case/Subject No: C04-0079-02 August 2, 2004 Date Opened: August 23, 2004 Date Closed: Subject Name: Subject Location: Case Name: Ward, Barbara L Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A009 D09 -- Abuse of the grand jury or indictment process. Unsubstantiated. Allegation not sustained for Professional Misconduct ***************** C04-0043-01 Case/Subject No: April 12, 2004 Date Opened: September 21, 2004 Date Closed: Subject Name: Subject Location: Case Name: Hardy, Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A535 D16 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation sustained for better A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ***** C04-0043-02 Case/Subject No: April 12, 2004 Date Opened: September 21, 2004 Date Closed: Subject Name: Subject Location: Case Name:

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Hardy,

Attorney:

Source Name: Complainant Name:

User: Analyst Date: November 26, 20 7 Allegation/Disposition Codes: A535 D16 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation sustained for better A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances Case/Subject No: C03-0062-01 Date Opened: May 14, 2003 September 29, 2004 Date Closed: Subject Name: Subject Location: Case Name: White, Alexander S. Attorney: OPR SEARCH OF WESTLAW Source Name: 66 67C U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management. ************* Case/Subject No: C04-0025-01 Date Opened: January 16, 2004 Date Closed: September 29, 2004 Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************ C04-0025-02 Case/Subject No: January 16, 2004 Date Opened: Date Closed: September 29, 2004 Subject Name: Subject Location: Case Name: Attorney: Kessler, Source Name: Complainant Name: Allegation/Disposition Codes: A404 D14 -- Misrepresentation / Misleading the Court . AD404 Unsubstantiated. Allegation not sustained. Subject made a mistake.

Case/Subject No: C02-0041-01 Date Opened: June 26, 2002

Date Closed: September 30, 2004 Subject Name: 66 67C

User: Analyst Date: November 26, 20 7

Subject Location:

Case Name:

White, Attorney:

Source Name:

Complainant Name:

Allegation/Disposition Codes:

A404 D09 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained for Professional Misconduct (PM).

A405 D09 -- Misrepresentation to Opposing Counsel. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM).

C03-0060-01 Case/Subject No: Date Opened: May 5, 2003

Date Closed: September 30, 2004

Subject Name:

Subject Location:

Case Name: Attorney: Wehner,

OPR SEARCH OF WESTLAW Source Name:

Complainant Name:

Allegation/Disposition Codes:

A421 D15 -- Intentional Failure to comply with court order/other than

lateness Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

C03-0066-01 Case/Subject No: May 16, 2003 Date Opened:

Date Closed: September 30,

Subject Name:

Subject Location:

None Case Name:

Kessler, Tamara J. Attorney:

Source Name:

Complainant Name: UNKNOWN DOJ EMPLOYEE COMPLAINANT(S)

Allegation/Disposition Codes:

A600 D05 -- Wiretaps and electronic surveillance: improper or illegal

(Title III). Substantiated for Poor Judgment. Report of inquiry

referred to management.

C03-0060-02 Case/Subject No: May 5, 2003 Date Opened:

Date Closed: September 30, 2004

Subject Name: Subject Location:

Case Name:

Attorney: Source Name: Wehner, Karen

OPR SEARCH OF WESTLAW

Date: November 26, 20 7 User: Analyst Complainant Name: Allegation/Disposition Codes: A421 D15 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances C03-0084-01 Case/Subject No: Date Opened: August 1, 2003 Date Closed: September 30, 2004 Subject Name: 66 b7C Subject Location: Case Name: Attorney: Marlene M. Source Name: None 106 BTC Complainant Name: Allegation/Disposition Codes: A542 D02 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Reckless Disregard. Case/Subject No: C03-0087-01 Date Opened: August 22, 2003 Date Closed: September 30, 2004 Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A406 D14 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject made a mistake. ********* C03-0087-02 Case/Subject No: August 22, 2003 Date Opened: Date Closed: September 30, 2004 Subject Name: Subject Location: Case Name: Leiner, Frederick Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ****************** C04-0005-01 Case/Subject No: October 3, 2003 Date Opened:

b 6 67L

September 30, 2004

Date Closed:

Subject Name:

User: Analyst Date: November 26, 20 7 Subject Location: Case Name: Attorney: White, Alexa Source Name: Complainant Name: Allegation/Disposition Codes: A407 D05 -- Discovery - Brady Violation/Exculpatory Information. Substantiated for Poor Judgment. Report of inquiry referred to management. ***************** Case/Subject No: C03-0070-01 Date Opened: June 2, 2003 October 4 2004 Date Closed: Subject Name: Subject Location: Case Name: Clarke, Nadira Attorney: Source Name: Complainant Name: Allegation/Disposition codes: A404 D13 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. *************** C02-0049-01 Case/Subject No: July 2, 2002 Date Opened: Date Closed: October 5, 2004 Subject Name: Subject Location: Case Name: Neil Attorney: Hurley, Source Name: Complainant Name: Allegation/Disposition Codes: A535 D02 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Reckless Disregard. ********** Case/Subject No: C04-0032-01 February 19, 2004 October 14, 2004 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Wehner, Attorney: Source Name: Complainant Name:

perform). Closed administratively. Other. Specify.

A340 D29 -- Negligence/dereliction/improper perf of duties (failure to

Allegation/Disposition Codes:

User: Analyst Case/Subject No: C04-0054-01 Date Opened: May 6, 2004 Date Closed: October 14, 2004 Subject Name: Subject Location: Case Name: None Wehner, Karen Attorney: Source Name: b6 h7C-0 Complainant Name: Allegation/Disposition Codes: A543 D29 Failure to maintain active Bar membership. Closed administratively. Other. Specify. ******** Case/Subject No: C03-0067-01 May 19, 2003 Date Opened: Date Closed: October 21, 2004 Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil Source Name: OPR SEARCH OF WESTLAW Complainant Name: U.S. COURT OF APPEALS FOR THE 106676 ----Allegation/Disposition Codes: A408 D29 -- Discovery - Impeachment/Jencks. Closed administratively. Other. Specify. A404 D29 -- Misrepresentation /Misleading the Court. Closed administratively. Other. Specify. ****************** C04-0002-01 Case/Subject No: October 1, 2003 Date Opened: Date Closed: October 21, 2004 Subject Name: Subject Location: Case Name: Meade, James A. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A252 D15 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************** Case/Subject No: C03-0067-02 May 19, 2003 Date Opened: Date Closed: October 21, 2004 Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil

Date: November 26, 20 '

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OPR SEARCH OF WESTLAW

Source Name:

User: Analyst Date: November 26, 20 7

Complainant Name: U.S. COURT OF APPEALS FOR THE 60 67C

Allegation/Disposition Codes:

A408 D15 -- Discovery - Impeachment/Jencks. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all

<u>circumstances</u>

A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all

circumstances

Case/Subject No: C03-0020-01

Date Opened: January 27, 2003 Date Closed: October 28, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Source Name: Ward, Barbar

OPR SEARCH (

Complainant Name:

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained.

'n

Subject acted appropriately in all circumstances

Case/Subject No: C03-0020-02

Date Opened: January 27, 2003 Date Closed: October 28, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Ward, Barbara L.

Source Name:

OPR SEARCH

Complainant Name:

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained.

Subject acted appropriately in all circumstances

Case/Subject No: C03-0020-03

Date Opened: January 27, 2003 Date Closed: October 28, 2004

Subject Name:

Subject Location:

Case Name:

Attorney: Source Name: Ward, Barbarr 'OPR SEARCH

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User: Analyst Date: November 26, 20 7

Complainant Name:

66b7C

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with

atty-client relationship. Unsubstantiated. Allegation not sustained.

Subject acted appropriately in all circumstances

Case/Subject No: C03-0020-04

Date Opened: January 27, 2003 Date Closed: October 28, 2004

Subject Name:

Subject Location:

Case Name: Attorney: Source Name:

Ward, Barbara L.

OPR SEARCH

Complainant Name:

Columbia)

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained.

Subject acted appropriately in all circumstances

Case/Subject No: C03-0020-05

Date Opened: January 27, 2003 Date Closed: October 28, 2004

Subject Name: Subject Location:

Case Name:

Attorney:

Source Name:

Ward, Barbara L.

OPR SEARCH

Complainant Name:

Allegation/Disposition Codes:

A252 D15 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained.

Subject acted appropriately in all circumstances

Case/Subject No: C03-0015-01

Date Opened: December 27, 2002 Date Closed: November 24, 2004

Subject Name:

Subject Location: - / / (f

Attorney: McCarty, Margaret S. Source Name: Wc h14

Complainant Name: U.S. COURT OF APPEALS FOR THE 66 616

Allegation/Disposition Codes:

A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -

Reckless Disregard. ***************** Case/Subject No: C03-0085-01 Date Opened: <u>August 6, 2003</u> Date Closed: December 2, 2004 Subject Name: Subject Location: Case Name: Wahowiak, Marlene M. Attorney: OPR REVIEW OF DOJ NEWSCLIPS Source Name: Allegation/Disposition Codes! A539 D02 -- Unprof statements or comments. Substantiated - Reckless Disregard. ****************** C04-0084-01 Case/Subject No: September 24, 2004 Date Opened: December 8, 2004 Date Closed: Subject Name: Subject Location: Case Name: None White, Alexander S. Attorney: Source Name: 00 Complainant Name: Allegation/Disposition Codes: A340 D13 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ************ C04-0021-01 Case/Subject No: December 1, 2003 Date Opened: December 9, 2004 Date Closed: Subject Name: Subject Location: MULTIPLE CASES Case Name: Colby, Paul L. Attorney: None Source Name: 66 b7C Complainant Name: Allegation/Disposition Codes: A002 D20 -- Abuse of prosecutive or investigative authority (general). Closed Administratively. Referred to PIS/CRM. A370 D20 -- Obstruction of justice/coverup. Closed Administratively. Referred to PIS/CRM. A420 D20 -- Unauthorized plea agreements. Closed Administratively. Referred to PIS/CRM. A535 D20 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Closed Administratively. Referred to PIS/CRM. A542 D20 -- Failure to comply with DOJ Rules and Regulations. Closed Administratively. Referred to PIS/CRM.

Date: November 26, 20 7

User: Analyst

User: Analyst Date: November 26, 20 7 C04-0031-01 Case/Subject No: Date Opened: February 18, 2004 Date Closed: December 9, 2004 Subject Name: Subject Location: Case Name: Colby, Attorney: Paul L. Source Name: None Complainant Name: 66670 Allegation/Disposition codes: A533 D20 -- Unauthorized disclosure (non-media): classified information. Closed Administratively. Referred to PIS/CRM. A465 D20 -- Security violations. Closed Administratively. Referred to PIS/CRM. ****************** Case/Subject No: C03-0081-01 July 29, 2003 Date Opened: December 16, 2004 Date Closed: Subject Name: Subject Location: Case Name: None Ward, Barbara L. Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes: 657C A542 D02 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Reckless Disregard. ****************** Case/Subject No: C04-0078-01 Date Opened: August 23, 2004 Date Closed: December 20, 2004 Subject Name: Subject Location: Case Name: Attorney: white, Alexander S. Source Name: None Complainant Name: 66 byc Allegation/Disposition Codes: A542 D29 -- Failure to comply with DOJ Rules and Regulations. administratively. Other. Specify. *************** Case/Subject No: C04-0068-01 Date Opened: August 9, 2004 Date Closed: December 27, 2004 Subject Name: Subject Location: Case Name: None Attorney: Leiner, Frederick C. Source Name:

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DEPARTMENT OF JUSTICE

Complainant Name:

User: Analyst Date: November 26, 20 7

Allegation/Disposition Codes:

A543 D01 -- Failure to maintain active Bar membership. Substantiated -

Intentional.

Case/Subject No: C05-0002-01

October 13, 2004 December 27, 2004 Date Opened: Date Closed:

Subject Name:

Subject Location:

Case Name: Attorney:

Source Name:

Complainant Name:

Clarke, Nadira

Γ

Allegation/Disposition Codes:

A252 D05 -- Improper contacts with rep party/interference with atty-client relationship. Substantiated for Poor Judgment. Report of

inquiry referred to management.

User: Analyst Date: November 26, 20 7 **************** Case/Subject No: C04-0070-01 Date Opened: August 16, 2004 January 4, 2005 Date Closed: Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A421 D27 -- Intentional Failure to comply with court order/other than lateness Closed administratively. Complaint withdrawn. ***************** C04-0003-01 Case/Subject No: October 2, 2003 Date Opened: January 5, 2005 Date Closed: Subject Name: Subject Location: Case Name: wnite, Alexander S. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A419 D05 -- Improper Evidence/Introduction of. Substantiated for Poor Judgment. Report of inquiry referred to management. A539 D05 -- Unprof statements or comments. Substantiated for Poor Judgment. Report of inquiry referred to management. A542 D05 -- Failure to comply with DOJ Rules and Regulations. Substantiated for Poor Judgment. Report of inquiry referred to management. ******* C04-0036-01 Case/Subject No: March 10, 2004 Date Opened: January 5, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Leiner, Source Name: Complainant Name: Allegation/Disposition codes: A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard. *************** Case/Subject No: C04-0083-01 Date Opened: September 10, 2004 Date Closed: January 6, 2005 Subject Name: Subject Location:

PAGE 1

Howard, Lisa G.

Case Name:

Attorney:

Date: November 26, 20 7 User: Analyst -- 06610 Source Name: Complainant Name: Allegation/Disposition Codes: A002 D15 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances *************** Case/Subject No: C03-0076-01 June 26, 2003 January 7, 2005 Date Opened: Date Closed: Subject Name: .) Subject Location: Case Name: Attorney: Ross, Simone E. Source Name: OPR REVIEW OF NEWS ARTICLES 106610 Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. A421 D15 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ****************** C03-0076-02 Case/Subject No: June 26, 2003 Date Opened: January 7, 2005 Date Closed: Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: Source Name: OPR SEARCH OF NEWS ARTICLES 104 676 Complainant Name: Allegation/Disposition Codes: A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management. A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A421 D14 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject made a

Case/Subject No: C04-0012-01
Date Opened: October 27, 2003
Date Closed: January 7, 2005

Subject Name: Subject Location:

mistake.

Case Name:
Attorney: Hardy
Source Name:

Hardy, Lyn

PAGE 2

User: Analyst Date: November 26, 20 7

66 576 Complainant Name:

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all

circumstances

Case/Subject No: C04-0015-01

October 27, 2003 Date Opened: January 7, 2005 Date Closed:

Subject Name:

Subject Location:

Case Name: Attorney:

Wahowiak, Marlene M.

Source Name: 56 b7C

66 b7C U.S. COURT OF APPEALS FOR THI Complainant Name:

Allegation/Disposition Codes:

A061 D13 -- Civil or constitutional rights violations.

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

C04-0064-01 Case/Subject No: July 7, 2004 Date Opened: Date Closed: January 8, 2005

Subject Name:

Subject Location:

Case Name:

Leiner, Frederick C. Attorney: Source Name: OPR WESTLAW SEARCH Complainant Name: 66 B7C

Allegation/Disposition Codes:

A633 D29 Speedy Trial Act violation Closed administratively. Other.

Specify.

Case/Subject No: C03-0058-01 May 5, 2003 Date Opened:

January 10, 2005 Date Closed:

Subject Name:

Subject Location: Case Name:

Attorney: Source Name:

Complainant Name:

Kessler. Tamara

Allegation/Disposition Codes:

A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all

circumstances

C03-0058-02 Case/Subject No: May 5, 2003 Date Opened:

January 10, 2005 Date Closed:

Subject Name: b6 670

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User: Analyst	Date: November 26, 20 7	
Subject Location: Case Name: Attorney: Source Name: Complainant Name	Kessler. Tamara J.	
	on Codes: presentation /Misleading the Court. Unsubstantiated. stained. Subject acted appropriately in all	
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney:	C03-0058-03 May 5, 2003 January 10, 2005 Db b7C .c	
Attorney: Source Name: Complainant Name:	Kessler Tamara J.	
Allegation not su circumstances	presentation /Misleading the Court. Unsubstantiated. stained. Subject acted appropriately in all	
*****	***********	
Date Closed: Subject Name: Subject Location:	C03-0058-04 May 5, 2003 January 10, 2005	
Case Name: Attorney: Source Name: Complainant Name:	Kessler, Tamara J. bb b7C	
Allegation/Disposition Codes: A404 D15 Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances		
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name:	C03-0074-01 June 19, 2003 January 10. 2005 None	
Attorney: Source Name: Complainant Name: Allegation/Disposition	White, Alexander S. FBI/OPR (pursuant to request by DOJ/OPR) GRASSLEY, CHARLES and LEAHY, PATRICK (U.S. Senators)	

User: Analyst Date: November 26, 20 7 Act violations and Substantiated - Intentional. ******************** Case/Subject No: C04-0007-01 October 14, 2003 Date Opened: Date Closed: January 10, 2005 Subject Name: Subject Location: Case Name: Gross, Charles R. Attorney: Source Name: Complainant Name: Allegation/Disposition Codés: A407 D02 -- Discovery - Brady Violation/Exculpatory Information. Substantiated - Reckless Disregard. A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A407 D05 -- Discovery - Brady Violation/Exculpatory Information. Substantiated for Poor Judgment. Report of inquiry referred to management. A407 D14 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject made a mistake. ************* C04-0007-02 Case/Subject No: October 14, 2003 Date Opened: Date Closed: January 10, 2005 Subject Name: Subject Location: Case Name: Charles Attorney: Gross. Source Name: Complainant Name: Allegation/Disposition Codes: A407 D14 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject made a mistake. A407 D13 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** C04-0023-01 Case/Subject No: Date Opened: December 8, 2003 Date Closed: January 10, 2005 Subject Name: Subject Location: Case Name: Meade, James A Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A407 D14 -- Discovery - Brady Violation/Exculpatory Information.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

User: Analyst Date: November 26, 20 7 ***************** C04-0029-01 Case/Subject No: Date Opened: February 10, 2004 Date Closed: January 10, 2005 Subject Name: Subject Location: Case Name: Howard, Lisa G. Attorney: FOUND BY OPR DURING REVIEW OF NEWS CLIPS Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A150 D05 -- Failure to honor plea agreement. Substantiated for Poor Judgment. Report of inquiry referred to management. ***************** C04-0052-01 Case/Subject No: Date Opened: May 6, 2004 Date Closed: January 11, 2005 Subject Name:) Subject Location: Case Name: Attorney: Wehner. Karen Source Name: Complainant Name: Allegation/Disposition Codes: A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. ****** C04-0077-01 Case/Subject No: August 16, 2004 Date Opened: January 11. 2005 Date Closed: Subject Name: Subject Location: MULTIPLE CASES Case Name: Clarke, Nadira Attorney: Source Name: None 66 b7C Complainant Name: Allegation/Disposition Codes: A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A999 D01 -- Other (failure to inform client of material facts; misleading supervisors). Substantiated - Intentional. ************** C04-0087-01 Case/Subject No: Date Opened: September 28, 2004 Date Closed: January 11, 2005 Subject Name: Subject Location: Case Name:

Leiner, Frederick C.

Attorney:

Date: November 26, 20 7 User: Analyst 106 67C Source Name: Complainant Name: Allegation/Disposit_____ A062 D23 -- Conflict: general, including appearance of conflict. Closed Administratively. Referred to the OIG. A065 D23 -- Conflicting personal interests. Closed Administratively. Referred to the OIG. A001 D23 -- Abuse of authority or misuse of official position. Administratively. Referred to the OIG. **************** C04-0087-02 Case/Subject No: September 28, 2004 Date Opened: January 11, 2005 Date Closed: Subject Name: Subject Location: None Case Name: Attorney: Leiner, Frederick C. Source Name: Complainant Name: Allegation/Disposition Codes: A001 D23 -- Abuse of authority or misuse of official position. Closea Administratively. Referred to the OIG. A220 D24 -- Hatch Act violations. Closed Administratively. Referred/more appropriately kandled by Office of Special Counsel. Case/Subject No: C04-0026-01 Date Opened: January 30, 2004 March 4, 2005 Date Closed: Subject Name: Subject Location: Case Name: Duncan, James Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard. A370 D13 -- Obstruction of justice/coverup. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** C04-0028-01 Case/Subject No: February 5, 2004 Date Opened:

Date Closed:

March 4, 2005

Subject Name:

Subject Location:

None

Case Name: Attorney:

White, Alexander S.

Source Name:

None

user: Anaryst	Date: November 26, 20 /
Complainant Name:	-into b7C
Allegation/Disposit	ion Codes: liation or reprisals. Unsubstantiated. Allegation not
sustained for Po A157 D13 Fals sustained for Po	or Judgment or Professional Misconduct. ification of records. Unsubstantiated. Allegation not or Judgment or Professional Misconduct.
Case/Subject No: Date Opened: Date Closed: Subject Name:	C04-0028-02 February 5, 2004 March 4, 2005
Subject Location: Case Name: Attorney: Source Name: Complainant Name:	None White, Alexander S. None
sustained for Po A157 D13 Fals sustained for Po	ion Codes: liation or reprisals. Unsubstantiated. Allegation not or Judgment or Professional Misconduct. ification of records. Unsubstantiated. Allegation not or Judgment or Professional Misconduct. ************************************
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name:	C04-0062-01 July 7, 2004 March 5, 2005
Attorney: Source Name: Complainant Name: Allegation/Disposi	Kessier, Tamara J. OPR WESTLAW SEARCH COURT OF APPEALS
Specify.	er Closing Argument. Closed administratively. Other. oper Examination of a Witness. Closed administratively ***********************************
	C04-0039-01 March 15, 2004 March 15. 2005 MULTIPLE CASES Ward, Barbara L. None

User: Analyst Date: November 26, 20 7 A422 D05 -- Lateness re Court Order. Substantiated for Poor Judg......... Report of inquiry referred to management. A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake. A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake. *********** Case/Subject No: C04-0065-01 July 7, 2004 Date Opened: Date Closed: March 18, 2005 Subject Name: Subject Location: Case Name: MULTIPLE CASES Ward, Barbara L. EOUSA GENERAL COUNSEL'S OFFICE Attorney: Source Name: Complainant Name: U.S. DISTRICT COURT Allegation/Disposition Codes: A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional. A633 D05 -- Speedy Trial Act violation Substantiated for Poor Judgment. Report of inquiry referred to management. ***************** Case/Subject No: C04-0006-01 October 14, 2003 Date Opened: Date Closed: March 25, 2005 Subject Name: Subject Location: Case Name: McCarty, Margaret S. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A534 D05 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Substantiated for Poor Judgment. Report of inquiry referred to management. *********** C04-0063-01 Case/Subject No: July 7, 2004 Date Opened: March 25, 2005 Date Closed: Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: OPR WESTLAW SEARCH Source Name: Complainant Name: U.S. COURT OF APPEALS FOR THE Allegation/Disposition Codes: A422 D15 Lateness re Court Order. Unsubstantiated. Allegation not

User: Analyst Date: November 26, 20 7 sustained. Subject acted appropriately in all circumstances ******************************* Case/Subject No: C04-0063-02 July 7, 2004 Date Opened: Date Closed: March 25, 2005 Subject Name: Subject Location: Case Name: Attorney: Wehner, Karen OPR WESTLAW SEARCH Source Name: 16610 U.S. COURT OF APPEALS FOR THI Complainant Name: Allegation/Disposition Codes: A422 D15 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances **************** C04-0013-01 Case/Subject No: October 27, Date Opened: 2003 March 31 Date Closed: Subject Name: Subject Location: Case Name: Wahowiak, Marlene M. Attorney: U.S. COURT OF APPEALS FOR THE - b6676 Source Name: Complainant Name: Allegation/Disposition Codes: A061 D05 -- Civil or constitutional rights violations. Substantiated for Poor Judgment. Report of inquiry referred to management. ************* Case/Subject No: C04-0086-01 September 27, 2004 Date Opened: March 31, 2005 Date Closed: Subject Name: Subject Location: Case Name: нагdy, Lyn Attorney: DOI EXECUTIVE SECRETARIAT Source Name: 66,70 (U.S. Congressman, on behalf of 6667C Complainant Name: Allegation/Disposition Codes: A011 D29 -- Overzealous prosecution. Closed administratively. Specify. *********************** Case/Subject No: C04-0086-02 Date Opened: September 27, 2004 Date Closed: March 31, 2005 Subject Name: Subject Location:

Hardy, Lyn

Case Name:

Attorney:

User: Analyst Date: November 26, 20 7 DOJ EXECUTIVE SECRETARIAT Source Name: D667C Complainant Name: (U.S. Congressman, on behalf of 66.70 Allegation/Disposition Codes: A011 D29 -- Overzealous prosecution. Closed administratively. Specify. *********************** Case/Subject No: C04-0035-01 March 10, 2004 Date Opened: April 1, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Colby, Paul L. Source Name: Complainant Name: Allegation/Disposition Codes: A407 D05 -- Discovery - Brady Violation/Exculpatory Information. Substantiated for Poor Judgment. Report of inquiry referred to management. A412 D05 -- Improper Coercion/Intimidation of a Witness. for Poor Judgment. Report of inquiry referred to management. A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************** C04-0035-02 Case/Subject No: Date Opened: March 10, 2004 Date Closed: April 1, 2005 Subject Name: Subject Location: Case Name: Colby, Paul Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A412 D15 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A412 D15 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A412 D15 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

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C05-0047-01

April 1, 2005

March 16, 2005

Case/Subject No:

-Date-Opened: Date Closed:

Subject Name:

User: Analyst Date: November 26, 20 7 Subject Location: Case Name: None Meade, James A Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes: A002 D15 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A534 D15 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances C04-0069-01 Case/Subject No: August 10, 2004 Date Opened: April 5, 2005 Date Closed: Subject Name: Subject Location: Case Name: None Hurley, Neil Attorney: Source Name: None 66 676 Complainant Name: Allegation/Disposition Codes: A535 D01 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Intentional. Case/Subject No: C04-0069-02 August 10, 2004 Date Opened: April 5, 2005 Date Closed: Subject Name: Subject Location: Case Name: None Hurley, Neil Attorney: Source Name: None

66 070 Complainant Name:

Allegation/Disposition Codes:

A535 D05 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated for Poor Judgment. Report of inquiry

referred to management.

************ Case/Subject No: C04-0069-03 August 10, 2004 Date Opened: April 5, 2005 Date Closed:

Subject Name:

Subject Location: Case Name: None

Hurley, Neil Attorney:

User: Analyst Date: November 26, 20 7 Source Name: None 66. b1-Complainant Name: Allegation/Disposition Codes: A535 D02 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Reckless Disregard. ****************** Case/Subject No: C05-0012-01 November 8, 2004 April 5. 2005 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil U.S. ATTORNEY'S OFFICE, Source Name: Complainant Name: Allegation/Disposition Codes: A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************** C05-0012-02 Case/Subject No: November 8, 2004 April 5, 2005 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Hurley. Nei Attorney: Source Name: U.S. ATTORNEY'S OFFICE Complainant Name: Allegation/Disposition Codes: A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. *********************** C03-0071-01 Case/Subject No: June 2, 2003 Date Opened: April 6. 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. A407 D15 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A411 D15 -- Improper Examination of a Witness. Unsubstantiated.

Allegation not sustained. Subject acted appropriately in all

Date: November 26, 20 7 User: Analyst circumstances Case/Subject No: C03-0043-01 March 3, 2003 Date Opened: April 7, 2005 Date Closed: Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: 06 676 Complainant Name: U.S. COURT OF APPEALS FOR THE Allegation/Disposition Codes: A150 D15 -- Failure to honor plea agreement. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances Case/Subject No: C04-0018-01 Date Opened: November 17, 2003 Date Closed: April 7, 2005 Subject Name: Subject Location: Case Name: Attorney: Hardv. Lvn Source Name: -- bip b7c U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A462 D14 -- Subornation of perjury/Failure to correct false testimony. Unsubstantiated. Allegation not sustained. Subject made a mistake. A462 D13 -- Subornation of perjury/Failure to correct false testimony. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. C04-0027-01 Case/Subject No: January 14, 2004 Date Opened: Date Closed: April 7. 2005 Subject Name: Subject Location: Case Name: Fraase, Mark G. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A531 D13 -- Unauthorized disclosure to media: 6(e) material. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A532 D13 -- Unauthorized disclosure to media: other - including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

User: Analyst Date: November 26, 20 7 Case/Subject No: C04-0037-01 March 12, 2004 Date Opened: April 7, 2005 Date Closed: Subject Name: Subject Location: Case Name: Meade, James A. Attornev: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. **************** Case/Subject No: C04-0037-02 March 12, 2004 Date Opened: April 7, 2005 Date Closed: Subject Name: 1 Subject Location: Case Name: Meade, James A. Attorney: Source Name: Complainant Name: IAllegation/Disposition Codes: A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. ******* Case/Subject No: C05-0015-01 November 30, 2004 Date Opened: April 7. 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: McCarty, Margaret S. OPR SEARCH OF WESTLAW Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard. ***************** C04-0045-01 Case/Subject No: Date Opened: April 16, 2004 Date Closed: April 14, 2005 Subject Name: Subject Location: Case Name: None Fraase, Mark Attorney: Source Name: None 66014 Complainant Name: Allegation/Disposition Codes: A532 D13 -- Unauthorized disclosure to media: other - including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor

User: Analyst Date: November 26, 20 7 Judgment or Professional Misconduct. A529 D13 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************** Case/Subject No: C05-0018-01 December 3, 2004 Date Opened: Date Closed: May 27, 2005 Subject Name: Subject Location: Case Name: Attorney: Ward, Barbara L OPR WESTLAW SEARCH Source Name: Complainant Name: 06 570 Allegation/Disposition Codes: A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. ********************* Case/Subject No: C03-0064-01 May 13, 2003 May 31, 2005 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: OPR SEARCH OF WESTLAW Source Name: Complainant Name: Allegation/Disposition Codes: A407 D13 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A408 D13 -- Discovery - Impeachment/Jencks. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** C05-0004-01 Case/Subject No: Date Opened: October 20, 2004 Date Closed: May 31, 2005 Subject Name: Subject Location: None Case Name: Wehner, Karen Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A413 D01 -- Prejudicial Statement to a Grand or Petit Jury.

A418 D02 -- Ex parte Communication. Substantiated - Reckless Disregard.

A413 D02 -- Prejudicial Statement to a Grand or Petit Jury.

Substantiated - Intentional.

Substantiated - Reckless Disregard.

User: Analyst Date: November 26, 20 7 ***************************** Case/Subject No: C05-0008-01 October 25, 2004 Date Opened: May 31, 2005 Date Closed: Subject Name: Subject Location: MULTIPLE CASES Case Name: 106 BTC Colby, Paul L. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A633 D01 -- Speedy Trial Act violation Substantiated - Intentional. A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard. A404 D09 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM). ******************* Case/Subject No: C04-0033-01 Date Opened: March 5, 2004 Date Closed: June 4. 2005 Subject Name: Subject Location: Case Name: Attorney: Leiner, Frederick C. Source Name: Complainant Name: Allegation/Disposition Codes: A422 D29 -- Lateness re Court Order. Closed administratively. Specify. ******************* Case/Subject No: C04-0042-01 Date Opened: April 7, 2004 Date Closed: June 4, 2005 Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A422 D29 -- Lateness re Court Order. Closed administratively. Specify. *********** C04-0057-01 Case/Subject No: May 28, 2004 Date Opened: Date Closed: June 9, 2005 Subject Name:

Subject Location:

Date: November 26, 20 7 User: Analyst Case Name: None Wahowiak, Marlene M. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A535 D02 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated - Reckless Disregard. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. Case/Subject No: C05-0035-01 Date Opened: January 28, 2005 June 9, 2005 Date Closed: Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A252 D02 -- Improper contacts with rep party/interference with atty-client relationship. Substantiated - Reckless Disregard. ****************** C03-0073-01 Case/Subject No: June 17, 2003 Date Opened: June 13, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil Source Name: OPR SEARCH OF WESTLAW Complainant Name: U.S. COURT OF APPEALS FOR THE Allegation/Disposition Codes:

A411 D02 -- Improper Examination of a Witness. Substantiated - Reckless

Disregard.

Case/Subject No: Date Opened:

Date Closed: Subject Name:

Subject Location: Case Name:

Attorney: Source Name:

Complainant Name:

C04-0034-01 March 5, 2004

June 15, 2005

Meade, James A.

VIV

User: Analyst Date: November 26, 20 7 Allegation/Disposition Codes: A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. Case/Subject No: C04-0047-01 April 23, 2004 Date Opened: Date Closed: June 21, 2005 Subject Name: Subject Location: Case Name: Barbara L. Ward. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A150 D05 -- Failure to honor plea agreement. Substantiated for Poor Judgment. Report of inquiry referred to management. **************** Case/Subject No: C04-0047-02 Date Opened: April 23, 2004 Date Closed: June 21, 2005 Subject Name: Subject Location: Case Name: Attorney: Ward, Barbara Source Name: Complainant Name: Allegation/Disposition Codes: A150 D05 -- Failure to honor plea agreement. Substantiated for Poor Judgment. Report of inquiry referred to management. **************** C04-0075-01 Case/Subject No: August 16, 2004 Date Opened: Date Closed: June 23, 2005 Subject Name: Subject Location: Case Name: Attorney: Howard, Lisa G. Source Name: OPR WESTLAW SEARCH U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management. **************** C05-0036-01 Case/Subject No: Date Opened: February 11, 2005 Date Closed: June 23, 2005 Subject Name: Subject Location: Case Name: White, Alexander S. Attorney:

Source Name:

MARGOLIS, DAVID (Associate Deputy Attorney General)

User: Analyst Date: November 26, 20 7 OFFICE OF THE DEPUTY ATTORNEY GENERAL Complainant Name: Allegation/Disposition Codes: A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******** Case/Subject No: C04-0016-01 October 31, 2003 Date Opened: Date Closed: June 27, 2005 Subject Name: Subject Location: Case Name: White. Alexander S.: Kessler, Tamara J. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -Reckless Disregard. A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -Reckless Disregard. ****** *********** C04-0016-02 Case/Subject No: October 31, 2003 Date Opened: June 27, 2005 Date Closed: Subject Name: Subject Location: Case Name: Alexander S : Kessler. Tamara J. White Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ********************** Case/Subject No: C04-0016-03 Date Opened: October 31, 2003 Date Closed: June 27, 2005 DOJ ATTORNEYS WHO PARTICIPATED IN AND SUPERVISED Subject Name: 670 Subject Location: Unknown (various locations). Case Name: 107L Alexander S.: Kessler. Tamara J. Attorney: White, Source Name: Complainant Name: Allegation/Disposition Codes: A404 D02 -- Misrepresentation /Misleading the Court. Substantiated -Reckless Disregard. *************** Case/Subject No: C04-0009-01 October 20, 2003 Date Opened: June 28, 2005 Date Closed:

Subject Name:

User: Analyst	Date: November 26, 20 7
Subject Location: Case Name: Attorney:	-bb h7C Ross, Simone E.
Source Name: Complainant Name: Allegation/Disposit	U.S. COURT OF APPEALS FOR THE ion Codes:
Allegation not s	oper Examination of a Witness. Unsubstantiated. sustained. Subject made a mistake.
Case/Subject No: Date Opened: Date Closed: Subject Name:	C03-0059-01 May 5, 2003 June 30, 2005
Subject Location: Case Name: Attorney:	Ressier, Tamara J.
Source Name: Complainant Name:	opr search of westlaw
sustained. Subje A011 D15 Over sustained. Subje	cion Codes: eness re Court Order. Unsubstantiated. Allegation not ect made a mistake. Ezealous prosecution. Unsubstantiated. Allegation not ect acted appropriately in all circumstances
Case/Subject No:	C03-0059-02
Date Opened: Date Closed: Subject Name: Subject Location: Case Name:	May 5, 2003 June 30, 2005
Attorney: Source Name: Complainant Name:	Kessler, Tamara J. OPR SEARCH OF WESTLAW
Reckless Disrega A410 D14 Impr	epresentation /Misleading the Court. Substantiated - rd. oper Closing Argument. Unsubstantiated. Allegation no
A422 D14 Late sustained. Subje	ect made a mistake. ness re Court Order. Unsubstantiated. Allegation not ect made a mistake. zealous prosecution. Unsubstantiated. Allegation not
sustained. Subje	ct acted appropriately in all circumstances
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location:	C05-0014-01 November 19, 2004 June 30, 2005
Case Name:	None

Wehner, Karen

Attorney:

User: Analyst Date: November 26, 20 7 Source Name: Complainant Name: Allegation/Disposition Codes: A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated Allegation not sustained. Subject acted appropriately in all circumstances ******************* Case/Subject No: C05-0014-02 November 19, 2004 June 30. 2005 Date Opened: Date Closed: 11 -b-7E Subject Name: Subject Location: Case Name: None Wehner, Karen Attorney: Source Name: OIG REFERRAL Complainant Name: Allegation/Disposition Codes: A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances Case/Subject No: C05-0045-01 February 28, 2005 Date Opened: June 30, 2005 Date Closed: Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: OPR WESTLAW SEARCH Source Name: Complainant Name: 66 676 Allegation/Disposition Codes: A532 D29 -- Unauthorized disclosure to media: other - including Priv Act violations and Closed administratively. Other. Specify. A404 D29 -- Misrepresentation /Misleading the Court. Closed administratively. Other. Specify. *********** Case/Subject No: C04-0073-01 Date Opened: August 16, 2004 July 1, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Medde, James A. Source Name: OPR REVIEW OF NEWS ARTICLES Complainant Name: D6 67C Allegation/Disposition Codes: A542 D14 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject made a mistake. *******************

C03-0082-01 July 29, 2003

Case/Subject No:

Date Opened:

Date: November 26, 20 7 User: Analyst July 5, 2005 Date Closed: Subject Name: Subject Location: Case Name: Fraase, Mark G. Attorney: SELF-REPORTING BY Source Name: Complainant Name: 106 h Allegation/Disposition Codes: A412 D05 -- Improper Coercion/Intimidation of a Witness. Substantiated for Poor Judgment. Report of inquiry referred to management. ************ C04-0058-01 Case/Subject No: June 3, 2004 Date Opened: July 5, 2005 Date Closed: Subject Name: Subject Location: Case Name: None Wahowiak, Marlene M. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A418 D13 -- Ex parte Communication. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A252 D13 -- Improper contacts with rep party/interference with atty-client relationship. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************* C04-0072-01 Case/Subject No: August 16, 2004 Date Opened: Date Closed: July 5, 2005 Subject Name: 06 MC b6 MULTIPLE CASES Subject Location: 66676 Case Name: 66 676 Ward, Barbara L. Attorney: None Source Name: Complainant Name: Allegation/Disposition Codes: A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard. A542 D05 -- Failure to comply with DOJ Rules and Regulations. Substantiated for Poor Judgment. Report of inquiry referred to management. ************** C05-0019-01 Case/Subject No: December 3, 2004 July 5, 2005 Date Opened:

66 b7C

Date Closed: Subject Name:

Date: November 26, 20 7 User: Analyst Subject Location: Case Name: Brandon, Kathleen Attorney: OPR WESTLAW SEARCH Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. ******* Case/Subject No: C04-0022-01 Date Opened: December 7, 2003 August 2, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Thomson, Robert Source Name: Complainant Name: Allegation/Disposition Codes: A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. *************** Case/Subject No: C04-0061-01 Date Opened: June 25, 2004 Date Closed: September 2, 2005 Subject Name: Subject Location: Case Name: None Wahowiak, Marlene M. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A064 D13 -- Conflicting financial interests. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: C05-0020-01 December 6, 2004 Date Opened: Date Closed: September 9, 2005 Subject Name: Subject Location: Case Name: Tamara J. Attorney: Kessler, Source Name: OPR WESTLAW SEARCH Complainant Name: 66 676 Allegation/Disposition Codes: A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Allegation not sustained. Subject made a mistake.

User: Analyst Date: November 26, 20 7 A002 D13 -- Abuse of prosecutive or investigative authority (general,. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******* C05-0034-01 Case/Subject No: January 26, 2005 Date Opened: Date Closed: September 14, 2005 Subject Name: Subject Location: Case Name: Attorney: wenner, Karen Source Name: U.S. ATTORNEY'S OFFICE, Complainant Name: 66 BTC Allegation/Disposition Codes: A407 D02 -- Discovery - Brady Violation/Exculpatory Information. Substantiated - Reckless Disregard. ************* C05-0034-02 Case/Subject No: Date Opened: January 26, 2005 Date Closed: September 14, 2005 Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: Source Name: U.S. ATTORNEY'S OFFICE, Complainant Name: Bb 67C Allegation/Disposition Codes: Complainant Name: A407 D02 -- Discovery - Brady Violation/Exculpatory Information. Substantiated - Reckless Disregard. ******* Case/Subject No: C04-0067-01 July 20, 2004 Date Opened: Date Closed: September 15, 2005 Subject Name: Subject Location: Case Name: Attorney: Howard, Lisa G. OPR REVIEW OF NEWS ARTICLES Source Name: U.S. COURT OF APPEALS FOR Complainant Name: Allegation/Disposition Codes: A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard. A411 D02 -- Improper Examination of a Witness. Substantiated -Reckless Disregard. A413 D02 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated - Reckless Disregard. ******************* Case/Subject No: C05-0067-01

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July 13, 2005

06. 197C

September 28, 2005

Date Opened:

Date Closed:

Subject Name:

User: Analyst	Date: November 26, 20 7
Subject Location: Case Name: Attorney:	Leiner, Frederick C.
sustained for Poo	
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name:	C05-0016-01 December 3, 2004 September 29, 2005 UNKNOWN DOJ EMPLOYEE(S) L000 Unknown.
Act violations and	Meade, James A. None on Codes: horized disclosure to media: other - including Priv d Closed administratively. Other. Specify.
 Case/Subject No: Date Opened: Date Closed:	C03-0054-01 April 15, 2003 September 30, 2005
Subject Name: Subject Location: Case Name: Attorney: Source Name: Complainant Name:	Fraase, Mark G. (regarding complaint of bb b7c)
	on Codes: of prosecutive or investigative authority (general) Allegation not sustained for Poor Judgment or onduct.
for Poor Judgment	C04-0074-01 August 16, 2004 September 30, 2005 Ward, Barbara L. On Codes: or constitutional rights violations. Substantiated. Report of inquiry referred to management. or constitutional rights violations.

User: Analyst Date: November 26, 20 7 Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** C04-0082-01 Case/Subject No: Date Opened: September 1, 2004 September 30, 2005 Date Closed: Subject Name: Subject Location: MULTIPLE CASES Case Name: Attorney: Leiner, Frederick C. Source Name: Complainant Name: Allegation/Disposition Codes: A422 D14 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject made a mistake. A340 D14 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake. A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A340 D14 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake. ****************** Case/Subject No: C05-0007-01 Date Opened: October 25, 2004 Date Closed: September 30, 2005 Subject Name: Subject Location: Case Name: McCarty, Margaret S. Attorney: Source Name: OPR WESTLAW SEARCH Complainant Name: COURT OF APPEALS for the 106 b7C Allegation/Disposition Codes: A410 D13 Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ***************** C05-0030-01 Case/Subject No: Date Opened: January 18, 2005

Case/Subject No: C05-0030-01
Date Opened: January 18, 2005
Date Closed: September 30, 2005
Subject Name: 506,70

Case Name:

Attorney:

Thomson, Robert

Source Name: OFFICE OF THE DEPUTY ATTORNEY GENERAL

Complainant Name: U.S. ATTORNEY'S OFFICE, 66 170

Allegation/Disposition Codes:

A404 D01 -- Misrepresentation /Misleading the Court. Substantiated - Intentional.

A342 D01 -- Intentional Failure to Perform Substantiated - Intentional. A999 D01 -- Other or not specified above. Substantiated - Intentional.

Date: November 26, 20 7 User: Analyst A537 D01 -- Unprof or unethical behavior: other (unspecific). Substantiated - Intentional. ************* Case/Subject No: C05-0048-01 March 21, 2005 Date Opened: September 30, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name: Complainant Name: Allegation/Disposi A424 D14 -- Discovery - Civil Unsubstantiated. Allegation not -sustained. Subject made a mistake. ********** Case/Subject No: C05-0049-01 March 16, 2005 Date Opened: October 1, 2005 Date Closed: Subject Name: Subject Location: Case Name: Frederick C. Leiner, Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A424 D15 -- Discovery - Civil Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************* C05-0049-02 Case/Subject No: March 16, 2005 Date Opened: 2005 October 1, Date Closed: Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: Complainant Name:

Allegation/Disposition Codes:

A424 D29 -- Discovery - Civil Closed administratively. Other. Specify.

C04-0048-01 Case/Subject No: Date Opened: April 26, 2004 October 3, 2005 Date Closed:

Subject Name: Subject Location:

Case Name: Thomson, Robert Attorney:

OPR SEARCH OF WESTLAW Source Name:

U.S. COURT OF APPEALS FOR THE Complainant Name:

User: Analyst Date: November 26, 20 7 Allegation/Disposition Codes: A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. ***************** Case/Subject No: C05-0051-01 Date Opened: April 11, 2005 October 15, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Brandon, 'Kathleen Source Name: Complainant Name: Allegation/Disposition Codes: A421 D29 -- Intentional Failure to comply with court order/other than lateness Closed administratively. Other. Specify. ********** C05-0003-01 Case/Subject No: October 15, 2004 Date Opened: Date Closed: October 28, 2005 Subject Name: Subject Location: Case Name: McCarty, Margaret S. Attorney: IDENTIFIED BY OPR DURING COURSE OF ANOTHER OPR Source Name: INVESTIGATION U.S. ATTORNEY'S OFFICE, Complainant Name: 66570 Allegation/Disposition Codes: A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard. A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ************ C05-0003-02 Case/Subject No: October 15, 2004 Date Opened: Date Closed: October 28, 2005 Subject Name: Subject Location: Case Name: Attorney: McCarty, Margaret S. IDENTIFIED BY OPR DURING COURSE OF ANOTHER OPR Source Name: INVESTIGATION 06 b1C U.S. ATTORNEY'S OFFICE, Complainant Name: Allegation/Disposition Codes: A462 D13 -- Subornation of perjury/Failure to correct false testimony.

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

User: Analyst Date: November 26, 20 7 ******************* Case/Subject No: C05-0037-01 Date Opened: February 14, 2005 November 4, 2005 Date Closed: Subject Name: Subject Location: Case Name: None Kessler, Tamara J. Attorney: 56 byc Source Name: OPR, BY CONTACTING JRING COURSE OF INQUIRY DEPARTMENT OF JUSTICE Complainant Name: Allegation/Disposition Codes: A543 D29 -- Failure to maintain active Bar membership. Closed administratively. Other. Specify. ************ C05-0083-01 Case/Subject No: Date Opened: September 1, 2005 Date Closed: November 19, 2005 Subject Name: Subject Location: Case Name: None Kessler, Tamara J. Attorney: Source Name: None Complainant Name: Allegation/Disposition Codes A063 D00 -- Conflict of interest or viol. Of post-employment restrictions -- crm. A534 D00 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in ******************** C05-0072-01 Case/Subject No: July 20, 2005 Date Opened: November 30, 2005 Date Closed: Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: Source Name: U.S. COURT OF APPEALS FOR THE 66670 Complainant Name: Allegation/Disposition Codes: A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************* Case/Subject No: C04-0080-01 August 26, 2004 Date Opened: Date Closed: December 6, 2005 Subject Name: Dist. Of Oregon. Subject Location:

BRANDON MAYFIELD MATTER

Case Name:

User: Analyst Date: November 26, 20 7

Attorney: Kessler, Tamara J.
Source Name: DEPARTMENT OF JUSTICE

Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the

Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

Case/Subject No: C04-0080-02
Date Opened: August 26, 2004
Date Closed: December 6, 2005
Subject Name: C14-04

Subject Location: UV 0- Dist. Of Oregon.
Case Name: BRANDON MAYFIELD MATTER

Attorney: Kessler, Tamara J.
Source Name: DEPARTMENT OF JUSTICE

Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the

Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

Case/Subject No: C04-0080-03
Date Opened: August 26, 2004
Date Closed: December 6, 2005
Subject Name: December 6, 2005

Case Name: BRANDON MAYFIELD MATTER

Attorney: Kessler, Tamara J.
Source Name: DEPARTMENT OF JUSTICE

Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the

Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

Case/Subject No: C04-0080-04
Date Opened: August 26, 2004
Date Closed: December 6, 2005

Subject Name: VV D - Dist. Of Oregon. Case Name: BRANDON MAYFIELD MATTER

Attorney: Kessler, Tamara J.

User: Analyst Date: November 26, 20 7 DEPARTMENT OF JUSTICE Source Name: LEAHY, PATRICK (U.S. Senator, by letter to the Complainant Name: Attorney General) Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: C04-0080-05 August 26, 2004 Date Opened: December 6, 2005 Date Closed: Subject Name: -- Criminal Division. Subject Location: Case Name: BRANDON MAYFIELD MATTER Kessler, Tamara J. Attorney: DEPARTMENT OF JUSTICE Source Name: LEAHY, PATRICK (U.S. Senator, by letter to the Complainant Name: Attorney General) Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A404 D13 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************* C04-0080-06 Case/Subject No: August 26, 2004 Date Opened: Date Closed: December 6, 2005 Subject Name: - Criminal Division. Subject Location: BRANDON MAYFIELD MATTER Case Name: Kessler, Tamara J. Attorney: Source Name: DEPARTMENT OF JUSTICE Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the Attorney General) Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

C04-0080-07 Case/Subject No: Date Opened: August 26, 2004 December 6, 2005 Date Closed:

Subject Name:

Criminal Division. Subject Location:

User: Analyst Date: November 26, 20 7

Case Name: BRANDON MAYFIELD MATTER

Kessler, Tamara J. Attorney: DEPARTMENT OF JUSTICE Source Name:

LEAHY, PATRICK (U.S. Senator, by letter to the Complainant Name ·

Attorney General)

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).

Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

C04-0080-08 Case/Subject No: Date Opened: August 26, 2004 Date Closed: December 6, 2005

Subject Name:

DO 1070 Subject Location:

BRANDON MAYFIELD MATTER Case Name:

Kessler, Tamara J. Attorney: Source Name: DEPARTMENT OF JUSTICE

Complainant Name: LEAHY, PATRICK (U.S. Senator, by letter to the

Attorney General)

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

<u>Case/Subject No:</u> C04-0080-09

Date Opened: August 26, 2004 Date Closed: December 6, 2005

Subject Name: Subject Location:

BRANDON MAYFIELD MATTER Case Name:

Kesşler, Tamara J. Attorney: Source Name: DEPARTMENT OF JUSTICE

LEAHY, PATRICK (U.S. Senator, by letter to the Complainant Name:

Attorney General)

Allegation/Disposition Codes:

A404 D13 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************

Case/Subject No: C05-0042-01

February 22, 2005 December 6, 2005 Date Opened: Date Closed: UNIDENTIFIED AUSA(S). Subject Name:

Subject Location:

Case Name: ward, Barbara L. Attorney: Source Name: OPR SEARCH OF WESTLAW

U.S. COURT OF APPEALS FOR THE Complainant Name:

Allegation/Disposition Codes:

A419 D13 -- Improper Evidence/Introduction of. Unsubstantiated.

Allegation not sustained for Poor Judgment or Professional Misconduct.

Date: November 26, 20 7 User: Analyst ******************** C05-0075-01 Case/Subject No: Date Opened: July 31, 2005 Date Closed: December 6, 2005 Subject Name: Subject Location: Case Name: Fraase. Mark G. Attorney: Source Name: D6614 Complainant Name: Allegation/Disposition Codes: A340 D29 -- Negligence/dereliction/improper perf of duties (failure to perform). Closed administratively. Other. Specify. A341 D29 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Closed administratively. Other. Specify. A422 D29 -- Lateness re Court Order. Closed administratively. Specify. ************ C05-0022-01 Case/Subject No: December 7, 2004 Date Opened: Date Closed: December 8, 2005 Subject Name: Subject Location: Case Name: Attorney: Howard Source Name: . (U.S. Attorney, _ Complainant Name: Allegation/Disposition Codes: A407 D02 -- Discovery - Brady Violation/Exculpatory Information. Substantiated - Reckless Disregard. A462 D02 -- Subornation of perjury/Failure to correct false testimony. Substantiated - Reckless Disregard. A419 D05 -- Improper Evidence/Introduction of. Substantiated for Poor Judgment. Report of inquiry referred to management. A419 D13 -- Improper Evidence/Introduction of. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct ******************* Case/Subject No: C04-0081-01 Date Opened: August 31, 2004 December 15, 2005 Date Closed: Subject Name: Subject Location: Case Name: Thomson, Robert Attorney: OPR REVIEW OF NEWS ARTICLES Source Name: (subject of arrest and indictment) Complainant Name: bb 676 Allegation/Disposition Codes: A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines.

Unsubstantiated. Allegation not sustained. Subject made a mistake.

User: Analyst Date: November 26, 20 7 Case/Subject No: C04-0081-02 Date Opened: August 31, 2004 Date Closed: December 15, 2005 Subject Name: Subject Location: Case Name: Thomson, Robert Attorney: OPR REVIEW OF NEWS ARTICLES Source Name: (subject of arrest and indictment) Complainant Name: 106 672 Allegation/Disposition Codes: A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained. Subject made a mistake. ****************** C04-0081-03 Case/Subject No: Date Opened: August 31, 2004 Date Closed: December 15, 2005 Subject Name: Subject Location: Case Name: Thomson, Robert Attorney: Source Name: OPR REVIEW OF NEWS ARTICLES Complainant Name: (subject of arrest and indictment) Allegation/Disposition Codes A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained. Subject made a mistake. **************** Case/Subject No: C04-0081-04 Date Opened: August 31, 2004 Date Closed: December 15, 2005 Subject Name: Subject Location: Case Name: Attorney: Thomson, Robert OPR REVIEW OF NEWS ARTICLES Source Name: subject of arrest and indictment) Complainant Name: 66 b7C Allegation/Disposition Codes: A529 D14 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained. Subject made a mistake. **************** C05-0033-01 Case/Subject No: January 17, 2005 Date Opened: Date Closed: December 15, 2005 Subject Name: Subject Location: Case Name: Attorney: Kessler, Tamara Source Name: Complainant Name: Allegation/Disposition Codes: A001 D13 -- Abuse of authority or misuse of official position. Unsubstantiated. Allegation not sustained for Poor Judgment or

Professional Misconduct.

User: Analyst Date: November 26, 20 7 ****************** Case/Subject No: C05-0033-02 January 17, 2005 December 15, 2005 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A001 D13 -- Abuse of authority or misuse of official position. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. C05-0059-01 Case/Subject No: June 2, 2005 Date Opened: December 15, 2005 Date Closed: Subject Name: Subject Location: MULTIPLE CASES Case Name: Hurley, Neil Attorney: Source Name: 66 b7C U.S. ATTORNEY'S OFFICE. Complainant Name: 66 b7C Allegation/Disposition Codes: A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional. A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional. A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard. ******************* C05-0050-01 Case/Subject No: March 16, 2005 Date Opened: December 23, 2005 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Howard, Lisa G. Source Name: 16 Complainant Name: Allegation/Disposition Codes: A402 D29 Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Closed administratively. Other. Specify. ************ C04-0059-01 Case/Subject No: Date Opened: June 15, 2004 Date Closed: December 28, 2005 Subject Name:

Subject Location:

	·
User: Analyst	Date: November 26, 20 7
Case Name: Attorney: Source Name:	bb b1C Derden, Terry L
Reckless Disregar A407 D13 Disco	epresentation /Misleading the Court. Substantiated - cd. overy - Brady Violation/Exculpatory Information. Allegation not sustained for Poor Judgment or
****************	·***************
Case/Subject No:	C04-0059-02 June 15, 2004
Date Closed:	December 28, 2005
Subject Name: Subject Location: Case Name: Attorney: Source Name:	Derden, Terry L.
Complainant Name:	66 b7c
Allegation/Disposit: A407 D13 Disco	overy - Brady Violation/Exculpatory Information.
Unsubstantiated. Professional Misc	
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name:	C04-0059-03 June 15, 2004 December 28, 2005 Derden, Terry L.
Complainant Name:	$\nabla \varphi$, $\nabla \varphi$
Unsubstantiated. Professional Miss	overy - Brady Violation/Exculpatory Information. Allegation not sustained for Poor Judgment or
Case/Subject No: Date Opened: Date Closed:	C04-0059-04 June 15, 2004 December 28, 2005

Derden, Terry L.

Subject Name: Subject Location:

Case Name:

Attorney:

	•
User: Analyst	Date: November 26, 20 7
Source Name:	1-1 1 1 1 1 1 -
Complainant Name	
Unsubstantiat Professional	iscovery - Brady Violation/Exculpatory Information. ed. Allegation not sustained for Poor Judgment or
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location	C05-0023-01 January 3, 2005 December 29. 2005 : DD D T C
Case Name: Attorney:	Wehner, Karen
Source Name: Complainant Name	· 06 67C
	sition Codes: ateness re Court Order. Substantiated - Intentional. ************************************
Subject Location Case Name: Attorney: Source Name: Complainant Name	Ward, Barbara L.
Unsubstantiate Professional !	ntentional interference to defendant's right to counsel. ed. Allegation not sustained for Poor Judgment or
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location Case Name: Attorney: Source Name: Complainant Name Allegation/Dispon	C05-0029-01 January 18, 2005 December 31, 2005 Derden, Terry L. U.S. COURT OF APPEALS FOR by bill sition Codes: ailure to honor plea agreement. Unsubstantiated.
Allegation no	t sustained. Subject made a mistake.

C05-0029-02

Case/Subject No:

User: Analyst	Date: November 26, 20 7
Date Opened: Date Closed: Subject Name:	January 18, 2005 December 31, 2005
Subject Location: Case Name: Attorney: Source Name:	Derden. Terry I
Allegation/Disposit A150 D15 Fail Allegation not s circumstances	U.S. COURT OF APPEALS FOR THE bibyc ion Codes: ure to honor plea agreement. Unsubstantiated. ustained. Subject acted appropriately in all
Case/Subject No: Date Opened:	C04-0085-01 September 24, 2004
Date Closed: Subject Name:	January 4, 2006
Subject Location: Case Name:	Wahowiak, Marlene M.
Source Name: Complainant Name: Allegation/Disposit:	OPR WESTLAW SEARCH U.S. COURT OF APPEALS FOR THE 66 67C ion Codes:
Unsubstantiated. Professional Mis	
**************************************	**************************************
Date Opened: Date Closed:	July 26, 2005 January 4, 2006
Subject Name: Subject Location: Case Name:	66 67C
Attorney: Source Name:	Thomson, Robert
Allegation/Disposit.	100 676 100 Codes: e statements, misrepresentation (administrative).
Unsubstantiated. in all circumsta	Allegation not sustained. Subject acted appropriately nces
Unsubstantiated. in all circumsta	ure to comply with DOJ Rules and Regulations. Allegation not sustained. Subject acted appropriately nces ************************************
Case/Subject No: Date Opened: Date Closed:	·
Subject Name: Subject Location:	
Case Name: Attorney:	None Thomson, Robert

User: Analyst Date: November 26, 20 7 DOJ EXECUTIVE SECRETARIAT Source Name: Complainant Name: 106 110 .) Allegation/Disposition Codes: A156 D15 -- False statements, misrepresentation (administrative) Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances C05-0073-03 Case/Subject No: Date Opened: July 26, 2005 Date Closed: January 4, 2006 Subject Name: Subject Location: None Case Name: Thomson, Robert Attorney: Source Name: DOJ EXECUTIVE SECRETARIAT Complainant Name: 64676 Allegation/Disposition Codes: Als6 D15 -- False statements, misrepresentation (administrative). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ********** C05-0073-04 Case/Subject No: Date Opened: July 26, 2005 January 4, 2006 Date Closed: Subject Name: Subject Location Case Name: None Attorney: Thomson, Robert DOJ EXECUTIVE SECRETARIAT Source Name: 66 67C Complainant Name: Allegation/Disposition Codes: A156 D15 -- False statements, misrepresentation (administrative). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A542 D15 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ***************** C05-0010-01 Case/Subject No: October 31, 2004 Date Opened: January 5, 2006 Date Closed:

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Brandon, Kathleen

Subject Name:

Case Name:

Attorney:

Subject Location:

User: Analyst	Date: November 26, 20 7	
Source Name:	· / / ! ! ! · · · · · · · · · · · · · ·	
Complainant Name:	Appeals for the	
sustained for Poo A421 D13 Inter	ion Codes: ness re Court Order. Unsubstantiated. Allegat or Judgment or Professional Misconduct. ntional Failure to comply with court order/ot antiated. Allegation not sustained for Poor J conduct.	her than udgment or
Case/Subject No: Date Opened: Date Closed: Subject Name:	C05-0010-02 October 31, 2004 January 5, 2006	
Subject Location:	100 D. C	
Case Name: Attorney: Source Name:	Brandon, Kathleen	
Complainant Name:	$\mathcal{V}\mathcal{V}\mathcal{V}$ U.S. C Appeals for the	ourt of
sustained for Poo		
	antiated. Allegation not sustained for Poor J	udgment or
Case/Subject No: Date Opened: Date Closed: Subject Name:	C04-0001-01 October 2, 2003 January 6, 2006	
Subject Location: Case Name:	NO-910 s.	
lateness Substant A405 D15 Misre	Ross. Simone E. U.S. Attorney, ion Codes: ntional Failure to comply with court order/ottated - Reckless Disregard. epresentation to Opposing Counsel. Unsubstant stained. Subject acted appropriately in all	W 7 1 2
circumstances ****************** Case/Subject No: Date Opened: Date Closed: Subject Name:	TSCATHED. Subject acted appropriately in all **********************************	****
Subject Location:		

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User: Analyst Date: November 26, 20 7 Case Name: 106 676 Leiner, Frederick C. Attorney: 66 DIC Source Name: SELF-REPORT BY U.S. ATTORNEY'S OFFICE, 66 67C Complainant Name: Allegation/Disposition Codes: A542 D02 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Reckless Disregard. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: C04-0071-01 Date Opened: August 16, 2004 January 6, 2006 Date Closed: Subject Name: Subject Location: 3. Case Name: Attorney: Source Name: (U.S. Attorney, Complainant Name: Allegation/Disposition Codes: A418 D02 -- Ex parte Communication. Substantiated - Reckless Disregard. *********** Case/Subject No: C05-0011-01 November 1, 2004 Tanuary 6 2006 Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Wehner, Karen Source Name: 60 byc U.S. COURT OF APPEALS FOR THE 66 67C Complainant Name: Allegation/Disposition Codes: A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard. ************ C05-0028-01 Case/Subject No: January 13, 2005 Date Opened: Date Closed: January 6, 2006 Subject Name: Subject Location: Case Name: koss, Simone E Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A421 D14 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained. Subject made a

A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated.

Date: November 26, 20 7 User: Analyst Allegation not sustained. Subject acted appropriately in all circumstances A404 D15 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************************ C05-0044-01 Case/Subject No: February 28, 2005 Date Opened: January 6, 2006 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Fraase, Mark G. OPR WESTLAW SEARCH Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. ******** Case/Subject No: C05-0057-01 Date Opened: May 25, 2005 Date Closed: January 6, 2006 Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: Source Name: None Complainant Name: 66 b10 Allegation/Disposition Codes: A535 D05 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated for Poor Judgment. Report of inquiry referred to management. A534 D13 -- Unauthorized disclosure (non-media): 6(e) (including Misuse of GJ materials in Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: C05-0053-01 Date Opened: April 22, 2005 Date Closed: February 22, 2006 Subject Name: Subject Location: Case Name: ---None Ward, Barbara L. Attorney: None Source Name: Complainant Name: Allegation/Disposition codes: A529 D13 -- Intentional Failure to Comply with DOJ Press Guidelines. Unsubstantiated. Allegation not sustained for Poor Judgment or ----

Professional Misconduct.

User: Analyst	Date: November 26, 20 7
Case/Subject No: Date Opened: Date Closed: Subject Name:	C05-0071-01 July 20, 2005 February 23, 2006
Subject Location: Case Name: Attorney: Source Name: Complainant Name: Allegation/Disposit: A002 D15 Abuse Unsubstantiated. in all circumstan	e of prosecutive or investigative authority (general). Allegation not sustained. Subject acted appropriately
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name:	C06-0018-01 January 30, 2006 February 24, 2006
Attorney: Source Name: Complainant Name:	Leiner, Frederick C. Db b7C
Other. Specify.	on Codes: of statements or comments. Closed administratively.
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney:	C05-0069-01 July 8, 2005 March 9. 2006 Fraase, Mark G.
sustained. Subject A413 D14 Preju Unsubstantiated.	OPR WESTLAW SEARCH U.S. COURT OF APPEALS FOR THE con Codes: oper Closing Argument. Unsubstantiated. Allegation not to made a mistake. dicial Statement to a Grand or Petit Jury. Allegation not sustained. Subject made a mistake.
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location:	C05-0062-01 June 8, 2005 March 14, 2006
Case Name: Attorney:	Wehner, Karen

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User: Analyst	Date: November 26, 20 7
Source Name:	Walter -
Complainant Name: Allegation/Disposit	ion Codes:
A341 D05 Fail	are to Diligently Represent the Interest of the Client Substantiated for Poor Judgment. Report of inquiry gement.
Case/Subject No: Date Opened: Date Closed: Subject Name:	C05-0062-02 June 8, 2005 March 14, 2006
Subject Location: Case Name:	100 h 10
Attorney: Source Name:	Wehner, Karen
Complainant Name: Allegation/Disposit: A542 D02 Fail: Substantiated -	ion Codes: ure to comply with DOJ Rules and Regulations. Reckless Disregard.
Case/Subject No: Date Opened: Date Closed: Subject Name:	C04-0076-01 August 18, 2004 March 15, 2006
Closed administra A418 D29 Ex pa Specify.	Wahowiak, Marlene M. None Wib 1676 ion Codes: Lict: general, including appearance of conflict. atively. Other. Specify. arte Communication. Closed administratively. Other.
Case/Subject No: Date Opened: Date Closed: Subject Name:	February 28, 2006 March 19, 2006
Subject Location: Case Name: Attorney: Source Name: Complainant Name: Allegation/Disposit: A001 D29 Abuse	Duncan, James G. OPR WESTLAW SEARCH U.S. COURT OF APPEALS FOR THE LYMC ion Codes: of authority or misuse of official position. Closed
administratively	

User: Analyst Date: November 26, 20 7 Case/Subject No: C05-0074-01 Date Opened: July 31, 2005 Date Closed: March 20, 2006 Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A539 D29 -- Unprof statements or comments. Closed administratively. Other. Specify. ********* ***** C06-0022-01 Case/Subject No: January 30, 2006 Date Opened: March 21, 2006 Date Closed: UNIDENTIFIED AUSA Subject Name: Subject Location: Case Name: Ward. Barbara L. Attorney: Source Name: COURT OF APPEALS Complainant Name: Allegation/Disposition Codes A150 D13 -- Failure to honor plea agreement. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** C05-0077-01 Case/Subject No: Date Opened: July 27, 2005 March 22, Date Closed: Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: Source Name: OPR WESTLAW SEARCH Complainant Name: U.S. COURT OF APPEALS FOR THE

Allegation/Disposition Codes:

A423 D13 -- Intentional interference to defendant's right to counsel.

<u>Unsubstantiated. Allegation not sustained for Poor Judgment or</u>

Professional Misconduct.

Case/Subject No: Date Opened:

C05-0078-01 July 27, 2005

Date Closed:

March 22, 2006

Subject Name:

Subject Location:

Case Name:

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Date: November 26, 20 7 User: Analyst bis 510 Wahowiak, Marlene M. Attorney: OPR WESTLAW SEARCH Source Name: Complainant Name: U.S. COURT OF APPEALS FOR THE Allegation/Disposition Codes: A542 D13 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******* Case/Subject No: C05-0081-01 August 10, 2005 Date Opened: March 24, 2006 Date Closed: Subject Name: Subject Location: Case Name: Howard, Lisa G. Attorney: (U.S. Attorney Source Name: Complainant Name: Allegation/Disposition Codes: A011 D15 -- Overzealous prosecution. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ****************** Case/Subject No: C05-0081-02 Date Opened: August 10, 2005 March 24, 2006 Date Closed: Subject Name: Subject Location: Case Name: Howard. Lisa G. Attorney: (U.S. Attorney, Source Name: Complainant Name: Allegation/Disposition Codes: A011 D15 -- Overzealous prosecution. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************** C05-0013-01 Case/Subject No: Date Opened: November 14, 2004 March 27, 2006 Date Closed: Subject Name: Subject Location: Case Name: Ross, Simone E. Attorney: None Source Name: -Complainant Name: 66 67C Allegation/Disposition Codes: A421 D05 -- Intentional Failure to comply with court order/other than lateness Substantiated for Poor Judgment. Report of inquiry referred to

A405 D05 -- Misrepresentation to Opposing Counsel. Substantiated for

A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred

management.

Date: November 26, 20 7 User: Analyst Poor Judgment. Report of inquiry referred to management. C05-0058-01 Case/Subject No: June 2, 2005 Date Opened: March 31, 2006 Date Closed: Subject Name: Subject Location: Case Name: Fraase, Mark G. Attorney: 06 670 Source Name: _ self-reporting) U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. A411 D13 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ******************* C06-0006-01 Case/Subject No: Date Opened: November 21, 2005 Date Closed: March 31, 2006 Subject Name: Subject Location: Case Name: Attorney: Howard, Lisa G. Source Name: None 66 b1C Complainant Name: Allegation/Disposition Codes: A340 D14 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a ****************** Case/Subject No: C06-0017-01 Date Opened: January 24, 2006 Date Closed: March 31, 2006 Subject Name: Subject Location: Case Name: Attorney: Kessler, Tamara J. Source Name: U.S. ATTORNEY'S OFFICE Complainant Name: Allegation/Dispositie____ A409 D13 -- Improper Opening Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** Case/Subject No: C04-0020-01 Date Opened: November 24, 2003 Date Closed: April 6, 2006 Subject Name: Subject Location:

Case Name: 106 b16 Hurley, Neil Attorney: U.S. COURT OF APPEALS FOR THE 56 67C Source Name: EOUSA) Complainant Name: Allegation/Disposition Codes: A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard. A402 D02 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Substantiated - Reckless Disregard. A407 D09 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Professional Misconduct (PM). **************** C04-0060-01 Case/Subject No: June 17, 2004 Date Opened: Date Closed: April 6, 2006 Subject Name: Subject Location: Case Name: Attorney: Howard, Lisa G. Source Name: Complainant Name: Allegation/Disposition Codes: A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard. A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. *************** C04-0088-01 Case/Subject No: September 29, 2004 Date Opened: Date Closed: April 6, 2006 Subject Name: Subject Location: Case Name: Attorney: Hurley, Neil 66 076 Source Name: U.S. COURT OF APPEALS FOR THE \$6 \$70 Complainant Name: Allegation/Disposition Codes: A411 D14 -- Improper Examination of a Witness. Unsubstantiated. Allegation not sustained. Subject made a mistake. A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. *************** Case/Subject No: C05-0027-01 Date Opened: January 14, 2005 Date Closed: April 6, 2006 Subject Name: Subject Location: Case Name:

Date: November 26, 20 7

User: Analyst

Attorney:

Source Name: Complainant Name:

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Ward, Barbara L.

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U.S. ATTORNEY'S OFFIC'

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User: Analyst Date: November 26, 20 7 Allegation/Disposition Codes: A424 D13 -- Discovery - Civil Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ********** C06-0008-01 Case/Subject No: Date Opened: December 7, 2005 April 6, 2006 Date Closed: Subject Name: Subject Location: Case Name: Derden, Terry L. Attorney: Source Name: None 64 676 Complainant Name: (U.S. Attorney, -66 b1C Allegation/Disposition Codes: A499 D01 -- Threats, physical or verbal abuse or harassment/intimidation. Substantiated - Intentional. A539 D01 -- Unprof statements or comments. Substantiated - Intentional. A413 D01 -- Prejudicial Statement to a Grand or Petit Jury. Substantiated - Intentional. A421 D02 -- Failure to comply with court order (other than lateness) or federal rule. Substantiated - Reckless Disregard. A529 D02 -- Intentional Failure to Comply with DOJ Press Guidelines. Substantiated - Reckless Disregard. A532 D02 -- Unauthorized disclosure to media: other - including Priv Act violations and Substantiated - Reckless Disregard. A402 D02 -- Prosecutorial Misconduct (General - OPR atty/pls provide specific code). Substantiated - Reckless Disregard. ****************** C06-0011-01 Case/Subject No: January 10, 2006 Date Opened: April 6. Date Closed: 2006 Subject Name: Subject Location: None Case Name: Kessler, Tamara J. Attorney: Source Name: None De 526

Complainant Name: W 570 Allegation/Disposition Codes:

A535 D13 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained for Poor

Judgment or Professional Misconduct.

Case/Subject No: C05
Date Opened: Jan
Date Closed: Apr

Subject Name: Subject Location:

Case Name: Attorney: Source Name: C05-0032-01

January 18, 2005 April 7, 2006

Wehner, Karen

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User: Analyst Date: November 26, 20 7 06616 Complainant Name: Allegation/Disposition Codes: A422 D13 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** C05-0032-02 Case/Subject No: Date Opened: January 18, 2005 April 7, 2006 Date Closed: Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: Source Name: Complainant Name: 2) Allegation/Disposition Codes: A422 D13 -- Lateness re Court Order, Unsubstantiated, Allegation not sustained for Poor Judgment or Professional Misconduct. ******************* C05-0055-01 Case/Subject No: May 12, 2005 Date Opened: Date Closed: 7. 2006 April Subject Name: Subject Location: Case Name: Thomson, Rober Attorney: Source Name: (USA Complainant Name: Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ************ Case/Subject No: C05-0055-02 May 12, 2005 Date Opened: April 7, 2006 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Thomson, Robert Source Name: (USA, Complainant Name: Allegation/Disposition Codes! A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ************ Case/Subject No: C06-0009-01 Date Opened: December 13, 2005 Date Closed: April 7, 2006 Subject Name:

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User: Analyst Date: November 26, 20 7 Subject Location: 66676 MULTIPLE CASES 66 676 Case Name: Attorney: Derden, Terry L. pi 6-10 Source Name: EOUSA) U.S. ATTORNEY'S OFFICE, Complainant Name: Allegation/Disposition Codes: A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management. A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management. A542 D05 -- Failure to comply with DOJ Rules and Regulations. Substantiated for Poor Judgment. Report of inquiry referred to management. A340 D14 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject made a mistake. A341 D14 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained. Subject made a mistake. A542 D14 -- Failure to comply with DOJ Rules and Regulations. Unsubstantiated. Allegation not sustained. Subject made a mistake. **************** Case/Subject No: C06-0012-01 January 11, 2006 Date Opened: Date Closed: May 10, 2006 Subject Name: UNIDENTIFIED DOJ ATTORNEYS, UNKNOWN LOCATION(S) Subject Location: L000 -- Unknown. 66,7C Case Name: Meade, James A.; Kessler, Tamara J. Attorney: VARIOUS DOJ OFFICES, INCLUDING OIG Source Name: MULTIPLE MEMBERS OF CONGRESS Complainant Name: Allegation/Disposition Codes: A340 D29 -- Negligence/dereliction/improper perf of duties (failure to perform). Closed administratively. Other. Specify. A600 D29 -- Wiretaps and electronic surveillance: improper or illegal (Title III). Closed administratively. Other. Specify. A404 D29 -- Misrepresentation /Misleading the Court. Closed Case/Subject No: C05-0052-01 April 11, 2005 Date Opened: May 12, 2006 Date Closed: Subject Name: W Subject Location: Case Name: None Attorney: Leiner, Frederick C. Source Name: None

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tob 67C

Complainant Name:

Date: November 26, 20 7 User: Analyst Allegation/Disposition Codes: A537 D05 -- Unprof or unethical behavior: other (unspecific). Substantiated for Poor Judgment. Report of inquiry referred to management. ****** C04-0066-01 Case/Subject No: July 13, 2004 Date Opened: Date Closed: May 30, 2006 Subject Name: Subject Location: Case Name: Attorney: Source Name: U.S. ATTORNEY'S OFFICE Complainant Name: Allegation/Disposition Codes: A420 D13 -- Unauthorized plea agreements. Unsubstantiated. not sustained for Poor Judgment or Professional Misconduct. A421 D13 -- Intentional Failure to comply with court order/other than lateness Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. C05-0079-01 Case/Subject No: August 2, 2005 Date Opened: May 30, 2006 Date Closed: Subject Name: Subject Location: RE AUSA'S HANDLING OF MULTIPLE COURT CASES Case Name: Meade, James A. Attorney: Source Name: 66 670 U.S. ATTORNEY'S OFFICE Complainant Name: Allegation/Disposition Codes: A340 D01 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Intentional. A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard. **** Case/Subject No: -C05-0065-01 June 9, 2005 Date Opened: June 1, 2006 Date Closed: Subject Name: V6 b7C Associate Attorney General's Office. Subject Location: - U.S. v. PHILIP MORRIS, INC., ET AL. (civil case, USDC. Case Name: D.C.) Colby, Paul L.; Duncan, James G.; McCarty, Margaret Attorney: S. IG GLENN A. FINE Source Name: CONGRESSMEN HENRY WAXMAN and MARTIN MEEHAN; SENATORS Complainant Name: EDWARD KENNEDY, FRANK LAUTENBERG, RICHARD DURBIN, ROY

Allegation/Disposition Codes:

A002 D13 -- Abuse of prosecutive or investigative authority (general).

WYDEN, TOM HARKIN, and BILL NELSON

User: Analyst Date: November 26, 20 7 Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.
A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** Case/Subject No: .C05-0065-02 June 9, 2005 Date Opened: June 1, 2006 Date Closed: Subject Name: L018 -- Civil Division. Subject Location: U.S. v. PHILIP MORRIS, INC., ET AL. (civil case, USDC Case Name: D.C.) Colby, Paul L ; Duncan, James G .; McCarty, Margaret Attorney: Source Name: INSPECTOR GENERAL GLENN A. FINE CONGRESSMEN HENRY WAXMAN and MARTIN MEEHAN; SENATORS Complainant Name: EDWARD KENNEDY, FRANK LAUTENBERG, RICHARD DURBIN. ROY WYDEN, TOM HARKIN and BILL NELSON Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated, Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ********** - Case/Subject No: -C05-0065-03 June 9, 2005 Date Opened:

June 1, 2006

Date Closed:

Date: November 26, 20 7 User: Analyst Subject Name: -- Civil Division. Subject Location: U.S. v. PHILIP MORRIS, INC., ET AL. (Civil case, USDC Case Name: D.C.) Attorney: Colby, Paul L.; Duncan, James G.; McCarty, Margaret Source Name: INSPECTOR GENERAL GLENN A. FINE CONGRESSMEN HENRY WAXMAN and MARTIN MEEHAN; SENATORS Complainant Name: EDWARD KENNEDY, FRANK LAUTENBERG, RICHARD DURBIN, ROY WYDEN, TOM HARKIN and BILL NELSON Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A412 D13 -- Improper Coercion/Intimidation of a Witness. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** Case/Subject No: C05-0056-01 May 20, 2005 Date Opened: June 19, 2006 Date Closed: Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: Source Name: None Complainant Name: p6 170 Allegation/Disposition Codes: A001 D15 -- Abuse of authority or misuse of official position. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ***********

Case/Subject No: C04-Date Opened: May Date Closed: June

Subject Name: Subject Location: Case Name:

Attorney:
Source Name:

Complainant Name:

C04-0055-01 May 17, 2004 June 28, 2006

meade, James A.

OPR (REVIEW OF DOJ CLIPS)

66 670

PAGE 55

Date: November 26, 20 7 User: Analyst Allegation/Disposition Codes: A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances C04-0055-02 Case/Subject No: May 17, 2004 Date Opened: June 28, 2006 Date Closed: Subject Name: Subject Location: Case Name: Meade, James A. Attorney: OPR REVIEW OF DOJ NEWS CLIPS Source Name: Complainant Name: bb 170 Allegation/Disposition Codes: A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances *************** C04-0055-03 Case/Subject No: May 17, 2004 Date Opened: June 28, 2006 Date Closed: Subject Name: Subject Location: Case Name: Attorney: James A. Meade. OPR REVIEW OF DOJ CLIPS Source Name: Complainant Name: Allegation/Disposition Codes: A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances C05-0021-01 Case/Subject No: Date Opened: December 6, 2004 Date Closed: June 28, 2006 Subject Name: Subject Location: Case Name: Howard, Lisa G. OPR WESTLAW SEARCH Attorney: Source Name: Complainant Name: -66 b7C Allegation/Disposition Codes: A410 D05 -- Improper Closing Argument. Substantiated for Poor Judgment. Report of inquiry referred to management. A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. ***************** Case/Subject No: C05-0038-01 Date Opened: February 16, 2005

June 28, 2006

Date Closed:

Subject Name: Subject Location:

User: Analyst Date: November 26, 20 7 D6 07C Case Name: Attorney: Howard, Lisa G. Source Name: Complainant Name: Allegation/Disposition codes: A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances C05-0038-02 Case/Subject No: February 16, 2005 Date Opened: Date Closed: June 28, 2006 Subject Name: Subject Location: Case Name: Attorney: Howard, Source Name: Complainant Name: Allegation/Disposition Codes: A406 D15 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances *********************** Case/Subject No: C05-0063-01 Date Opened: June 7, 2005 Date Closed: June 28, 2006 Subject Name: Subject Location: Case Name: Ward, Barbara L. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ************************ Case/Subject No: C05-0063-02 Date Opened: June 7, 2005 June 28, Date Closed: 2006 Subject Name: Subject Location: Case Name: Ward, Barbara L Attorney: Source Name: Complainant Name: Allegation/Disposition Codes:

A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated.

Date: November 26, 20 7 User: Analyst Allegation not sustained. Subject acted appropriately in all circumstances ***************** Case/Subject No: C06-0016-01 January 24, 2006 Date Opened: Date Closed: June 28, 2006 Subject Name: Subject Location: Case Name: Attorney: Clarke, Nadira Source Name: Complainant Name: Allegation/Disposition Codes: A002 D01 -- Abuse of prosecutive or investigative authority (general). Substantiated - Intentional. A404 D01 -- Misrepresentation /Misleading the Court. Substantiated -Intentional. A341 D01 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Intentional. ****************** C06-0010-01 Case/Subject No: December 15, 2005 Date Opened: June 29, 2006 Date Closed: Subject Name: Subject Location: Case Name: Ward, Barbara L. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. **************** Case/Subject No: C06-0051-01 June 5, 2006 Date Opened: July 3, 2006 Date Closed: Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A432 D39 -- Retaliation or reprisals. OPR Investigation completed. Retaliation found. Referred to OARM. ********* C05-0031-01 Case/Subject No: January 18, 2005 Date Opened:

July 7, 2006

Date Closed:

User: Analyst	Date: November 26, 20 7
Subject Name:	
Subject Name: Subject Location:	
Case Name:	
Attorney:	Brandon, Kathleen
Source Name:	by b7c
Complainant Name:	U.S. Court of Appeals for the
Allegation/Dispositi	
A002 D05 Abuse	of prosecutive or investigative authority (general).
Substantiated for	Poor Judgment. Report of inquiry referred to
management.	
A150 D09 Failu	re to honor plea agreement. Unsubstantiated.
Allegation not su	stained for Professional Misconduct (PM).
******	***********
Case/Subject No:	C05-0031-02
Date Opened:	January 18, 2005
Date Closed:	July 7, 2006
Subject Name:	- 1 - AA · · · · · · · · · · · · · ·
Subject Location:	10^{12} 10^{12} 10^{12}
Case Name:	
Attorney:	Brandon, Kathleen
Source Name:	DU 57C
Complainant Name:	U.S. COURT OF APPEALS FOR THE
Allegation/Dispositi	of prosecutive or investigative authority (general).
	Allegation not sustained. Subject acted appropriately
in all circumstan	
	re to honor plea agreement. Unsubstantiated.
Allegation not su	stained. Subject acted appropriately in all
circumstances	
*****	***********
Case/Subject No:	C06-0047-01
Date Opened:	May 10, 2006
Date Closed:	July 10, 2006
Subject Name:	
Subject Location:	\mathcal{L}
Case Name:	
Attorney:	Kessler, Tamara J.
Source Name:	100 1010
Complainant Name.	D6 b7C
Complainant Name: Allegation/Dispositi	
A11egacion/Disposici	on codes. presentation /Misleading the Court. Substantiated for
	port of inquiry referred to management.
A408 D13 Disco	very - Impeachment/Jencks. Unsubstantiated.
Allegation not su	stained for Poor Judgment or Professional Misconduct.
****	stained for Poor Judgment or Professional Misconduct.
Case/Subject No:	C05-0001-01
Date Opened:	October 7, 2004
Date Closed:	July 11, 2006
Subject Name:	1.1 1-
-	b6mc
Subject Location:	V · U ·

PAGE 59

Subject Location:

User: Analyst Date: November 26, 20 7 Kessler, Tamara J. Case Name: Attorney: Source Name: OPR SEARCH OF WESTLAW Complainant Name: U.S. COURT OF APPEALS FOR THE Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A407 D13 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** Case/Subject No: C04-0014-01 October 24, 2003 Date Opened. Date Closed: July 12, 2006 Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: SELF-REPORTING) Complainant Name: Allegation/Disposition Codes: A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional. A421 D01 -- Intentional Failure to comply with court order/other than lateness Substantiated - Intentional. A421 D02 -- Intentional Failure to comply with court order/other than lateness Substantiated - Reckless Disregard. A062 D13 -- Conflict: general, including appearance of conflict. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management. ************ C05-0017-01 Case/Subject No: December 3, 2004 Date Opened: July 13, 2006 Date Closed: .) Subject Name: Subject Location: Case Name: Attorney: Thomson, Robert Source Name: OPR WESTLAW SEARCH Complainant Name: Allegation/Disposition Codes: A407 D15 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject acted appropriately

Case/Subject No: C05-0017-02

in all circumstances

Date Opened:

December 3, 2004

Date Claused Table 1000	
Date Closed: July 13. 2006	
Subject Name:	
Subject Location:	
Case Name:	
Attorney: Thomson, Robert	
Source Name: OPR WESTLAW SEARCH	
Complainant Name: , b b k 7	
Allegation/Disposition Codes:	
A407 D14 Discovery - Brady Violation/Exculpatory Information.	
Unsubstantiated. Allegation not sustained. Subject made a mistake.	

Case/Subject No: C05-0024-01	
Date Opened: January 4, 2005 Date Closed: July 13, 2006	
Date Closed: July 13, 2006 Subject Name:	
Subject Location: - \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
Case Name:	
Attorney: Leiner, Frederick C.	
Source Name: OPR WESTLAW SEARCH	
Complainant Name: U.S. COURT OF APPEALS FOR THE ししゅんて	
Allegation/Disposition Codes:	
A414 D14 Improper use of peremptory strikes during jury selection.	
Unsubstantiated. Allegation not sustained. Subject made a mistake.	
Case/Subject No: C06-0040-01 Date Opened: April 18, 2006	
Date Opened: April 18, 2006 Date Closed: July 14, 2006	
Subject Name:	***************************************
Subject Location:// V V C	
, , , ,	
Case Name: 56 67C Attorney: Leiner, Frederick C.	
Source Name: (U.S. Attorney,	
Complainant Name: _ \(\sum_{\chi} \sum_{\	
Allegation/Disposition Codes: A422 D13 Lateness re Court Order. Unsubstantiated. Allegation no	-
sustained for Poor Judgment or Professional Misconduct.	-

Case/Subject No: C05-0076-01	
Date Opened: July 31, 2005	
Date Closed: August 7, 2006	-
Subject Name:	
h/a/a/C	
Subject Location: ()(////	
Case Name:	
Attorney: Derden, Terry L.	
Source Name: (p/2 57C-	
Complainant Name: U.S. COURT OF APPEALS FOR THE 66 10	
Allegation/Disposition Codes:	
A410 D14 Improper Closing Argument. Unsubstantiated. Allegation	not

User: Analyst Date: November 26, 20 7 sustained. Subject made a mistake. A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. A404 D14 -- Misrepresentation /Misleading the Court. Unsubstantiated. Allegation not sustained. Subject made a mistake. A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ***************** C06-0021-01 Case/Subject No: Date Opened: January 30, 2006 Date Closed: September 12, 2006 Subject Name: Subject Location: Case Name: Howard, Lisa G. Attornev: Source Name: COURT OF APPEALS Complainant Name: Allegation/Disposition Codes: A410 D13 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ***************** Case/Subject No: C06-0042-01 April 19, 2006 Date Opened: September 12. 2006 Date Closed: Subject Name: Subject Location: Case Name: Meade, James A. Attorney: U.S. COURT OF APPEALS FOR THE Source Name: Complainant Name: 6667C Allegation/Disposition Codes: A410 D15 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ********************* C05-0087-01 Case/Subject No: Date Opened: September 29, 2005 Date Closed: September 14, 2006 Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: Source Name: (U.S. Attorney, 0 U.S. COURT OF APPEALS FOR THE 66 10 Complainant Name: Allegation/Disposition Codes: A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not sustained. Subject made a mistake. Case/Subject No: C06-0025-01

February 8, 2006

September 14, 2006

Date Opened:

Date Closed:

User: Analyst	Date: November 26, 20 7
Subject Name: Subject Location:	-106 10-1C
Case Name: Attorney: Source Name: Complainant Name:	None Ward, Barbara L. MARGOLIS, DAVID (Associate Deputy Attorney Garant) With 10 reported by - Wib10
	on Codes: ict: general, including appearance of conflict. Poor Judgment. Report of inquiry referred to
Case/Subject No:	C06-0024-01 February 2, 2006
Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name:	September 15, 2006 PLOTE RE MULTIPLE CASES Hurley, Neil
Complainant Name: Allegation/Disposition	
perform). Closed a A341 D29 Failu	gence/dereliction/improper perf of duties (failure to administratively. Other. Specify. re to Diligently Represent the Interest of the Client Closed administratively. Other. Specify.
Case/Subject No: Date Opened: Date Closed: Subject Name:	C05-0086-01 September 26, 2005 September 26, 2006
Subject Location: Case Name:	
Allegation/Disposition	Fraase, Mark G. NO NTC (U.S. Attorney U.S. COURT OF APPEALS FOR THE on Codes: per Examination of a Witness. Unsubstantiated.
Allegation not sus A411 D13 Improp Allegation not sus A404 D13 Misrep Allegation not sus	stained for Poor Judgment or Professional Misconduct. per Examination of a Witness. Unsubstantiated. stained for Poor Judgment or Professional Misconduct. presentation /Misleading the Court. Unsubstantiated. stained for Poor Judgment or Professional Misconduct.
Case/Subject No: Date Opened: Date Closed: Subject Name:	C06-0039-01 April 18, 2006 September 26, 2006

User: Analyst Date: November 26, 20 7

Subject Location:

Case Name:

Attorney:

Wehner, Karen

Source Name:

self-reporting, 66616

through supervisory chain of command)

Complainant Name:

66 576

Appeals for the 66 bTC

Allegation/Disposition Codes:

A422 D15 -- Lateness re Court Order. Unsubstantiated. Allegation not

sustained. Subject acted appropriately in all circumstances

Case/Subject No: Date Opened:

C04-0044-01 April 12, 2004 September 28, 2006

Date Closed: Subject Name: Subject Location:

MULTIPLE CASES

Case Name: Attorney:

Hardy, Lyn

Source Name:

None

Complainant Name:

Complainant Name: 66 67C Allegation/Disposition Codes: (U.S. Attorney,

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to

perform). Substantiated - Reckless Disregard. A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.

A340 D02 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated - Reckless Disregard.

A407 D14 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject made a mistake.

A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A340 D15 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A340 D15 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances

A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A340 D15 -- Negligence/dereliction/improper perf of duties (failure to perform). Unsubstantiated. Allegation not sustained. Subject acted

appropriately in all circumstances

User: Analyst Date: November 26, 20 7 A422 D15 -- Lateness re Court Order. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances A342 D15 -- Intentional Failure to Perform Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances ***************** Case/Subject No: C04-0030-01 February 12, 2004 Date Opened: September 29, 2006 Date Closed: Subject Name: Subject Location: Case Name: Hardy, Lyn; Fraase, Mark G. Attorney: Source Name: ASSOCIATE DAG DAVID MARGOLIS ._ Complainant Name: Allegation/Disposition Codes: A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management. A404 D15 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained. Subject acted appropriately in all circumstances **************** Case/Subject No: C04-0030-02 Date Opened: February 12, 2004 Date Closed: September 29, 2006 Subject Name: Subject Location: Case Name: Hardy, Lyn; Fraase, Mark G. Attorney: MARGOLIS. DAVID (ADAG) Source Name: Complainant Name: Allegation/Disposition Codes: A420 D02 -- Unauthorized plea agreements. Substantiated - Reckless Disregard. A341 D02 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated - Reckless Disregard. <u>***</u>**<u>*</u>********** C04-0030-03 Case/Subject No: February 12, 2004 Date Opened: Date Closed: September 29. 2006 Subject Name: Subject Location: Case Name: Attorney: Hardy, Lyn; Fraase, Mark G. MARGOLIS, DAVID (ADAG) Source Name:

PAGE 65

Complainant Name:

User: Analyst	Date: November 26, 20 7	
	64b1C	
Allegation/Dispositi	ion Codes:	
	are to Diligently Represent the Interest of the Client	
(Model Rule 1.3)	Substantiated - Reckless Disregard.	

Case/Subject No:	C04-0030-04	
Date Opened:	February 12, 2004	
Date Closed:	September 29, 2006	
Subject Name:	11 1 01	
	bb b7C	
Subject Location:		
Case Name:	Transles Tour Monages March C	
Attorney:	Hardy, Lyn; Fraase, Mark G.	
Source Name:	MARGOLIS, DAVID (ADAG) and OFFICE	
Complainant Name:	I 1 . A f	
compiditions name:	lolohi 10	
Allegation/Dispositi	ion Codes:	
A341 D13 Failure to Diligently Represent the Interest of the		
	Unsubstantiated. Allegation not sustained for Poor	
	essional Misconduct.	
******	***********	
Case/Subject No:	C04-0030-05	
Date Opened:	February 12, 2004	
Date Closed:	September 29, 2006	
Subject Name:		
Subject Location: Case Name:	V/o b 74 =-	
	,	
Attorney: Source Name:	Hardy, Lyn; Fraase, Mark G. ASSOCIATE DAG DAVID MARGOLIS	
Bource Name.		
Complainant Name:	bla. 67C	
Allegation/Dispositi		
	thorized plea agreements. Substantiated - Reckless	
Disregard.		
**************************************	· * * * * * * * * * * * * * * * * * * *	
Case/Subject No:	C04-0030-06 February 12, 2004	
Date Opened: Date Closed:	September 29, 2006	
Subject Name:) .	
Dan Cee wante		

Subject Location:

Case Name:

Attorney: Source Name: Hardy, Lyn; Fraase, Mark G. ASSOCIATE DAG DAVID MARGOLIS

Complainant Name:

Allegation/Disposition Codes:

A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor

Date: November 26, 20 7 User: Analyst Judgment or Professional Misconduct. **************** Case/Subject No: C04-0030-07 February 12, 2004 Date Opened: Date Closed: September 29, 2006 Subject Name: Subject Location: Case Name: Hardy, Lyn; Fraase, Mark G. Attorney: MARGOLIS, DAVID (ADAG) Source Name: Complainant Name: Allegation/Disposition Codes: A341 D13 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. *********** Case/Subject No: C05-0054-01 Date Opened: April 22, 2005 September 29, 2006 Date Closed: Subject Name: Subject Location: Case Name: Hurlev. Néil Attorney: 76 pTL Source Name: U.S. ATTORNEY'S OFFICE, L.D. 6-107C Complainant Name: Allegation/Disposition Codes: A542 D01 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Intentional. A542 D02 -- Failure to comply with DOJ Rules and Regulations. Substantiated - Reckless Disregard. A340 D05 -- Negligence/dereliction/improper perf of duties (failure to perform). Substantiated for Poor Judgment. Report of inquiry referred to management. A535 D14 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Unsubstantiated. Allegation not sustained. Subject made a mistake. A460 D13 -- Searches: improper or illegal. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

*************** C05-0054-02

Case/Subject No:

April 22, 2005 Date Opened: September 29, 2006 Date Closed:

Subject Name: Subject Location:

Case Name: Hurlev. Neil Attorney: - bb -- b.76 ---Source Name:

U.S. ATTORNEY'S OFFICE, Complainant Name:

Allegation/Disposition Codes:

Date: November 26, 20 7 User: Analyst A460 D13 -- Searches: improper or illegal. Unsubstantiated. not sustained for Poor Judgment or Professional Misconduct. *********** Case/Subject No: C06-0013-01 January 17, 2006 Date Opened: Date Closed: September 29, 2006 Subject Name: Subject Location: Case Name: Wahowiak, Marlene M. Attorney: Source Name: self-reporting) Complainant Name: Allegation/Disposition Codes: Substantiated for A404 D05 -- Misrepresentation /Misleading the Court. Poor Judgment. Report of inquiry referred to management. Case/Subject No: C06-0058-01 Date Opened: June 26, 2006 September 29, 2006 Date Closed: Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: Complainant Name: . doaes: Allegation/Disposit A406 D13 -- Discovery - Rule 16. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A407 D13 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. **************** C05-0006-01 Case/Subject No: October 26, 2004 Date Opened: Date Closed: September 30, 2006 Subject Name: Subject Location: Case Name:

Attorney: Leiner, Frederick C.

Source Name:
Complainant Name:

U.S. COURT OF APPEALS FOR bb b76

Allegation/Disposition Codes:

A407 D14 -- Discovery - Brady Violation/Exculpatory Information.
Unsubstantiated. Allegation not sustained. Subject made a mistake.

Case/Subject No:

Subject Location:

Date Opened: Date Closed: Subject Name: C05-0006-02 October 26, 2004 September 30, 2006

06 b7c

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	User: Analyst	Date: November 26, 20 7
	Case Name:	56 BIC
		Leiner, Frederick C.
	Complainant Name:	U.S. COURT OF APPEALS FOR THE 106 h76
	Allegation/Dispositi	on Codes:
		very - Brady Violation/Exculpatory Information.
		Allegation not sustained. Subject acted appropriately
	in all circumstan	ces ··

	Case/Subject No:	C06-0065-01
		July 16, 2006 November 6, 2006
	Subject Name:	NOVEMBEL 6, 2006
	Subject Location:	10 6 h 71
	Case Name:	DO DIC
	Attorney:	Leiner, Frederick C.
	Source Name:	11 12/
		$\mathcal{N}_{\mathcal{O}} \mathcal{N}_{\mathcal{O}} \mathcal{N}$
	Complainant Name: Allegation/Dispositi	on Codon.
		of the grand jury or indictment process.
	Unsubstantiated.	Allegation not sustained. Subject acted appropriately
	in all circumstan	
	*****	************
	Case/Subject No:	C05-0068-01
	Date Opened:	July 8, 2005
	Date Closed: Subject Name:	November 7. 2006
	Subject Name.	
	Subject Location:	(x,y)
	Case Name:	
	-	Leiner, Frederick C.
	Source Name:	OPR WESTLAW SEARCH
	Complainant Name:	U.S. COURT OF APPEALS FOR THE 66 67C
	Allegation/Dispositi	on codes: per Closing Argument. Unsubstantiated. Allegation not
	sustained for Poo	r Judgment or Professional Misconduct.
	A411 D14 Impro	per Examination of a Witness. Unsubstantiated.
	Allegation not su	stained. Subject made a mistake.
	Case/Subject No:	<u>C06-0080-01</u>
~	Date Opened:	September 30, 2006 November 8, 2006
	Date Closed: Subject Name:	November 6, 2006
	Subject Location:	$\frac{1}{2}$
	Case Name:	VY - W-t-
	Attorney:	Leiner, Frederick C.
	Source Name:	1/1.70
		$O(a - \sqrt{a})$
	Complainant Name:	on Codes.
	Allegation/Dispositi	on codes: per Coercion/Intimidation of a Witness.
	MATE DID . TUIDIO	gor cocroton, micrimidation of a mremebb.

User: Analyst Date: November 26, 20 7 Unsubstantiated. Allegation not sustained. Subject acted appropriate .y in all circumstances ****************** Case/Subject No: C07-0002-01 October 5, 2006 Date Opened: Date Closed: November 14, 2006 Subject Name: Subject Location: Case Name: Wehner, Karen Attorney: OPR LEGAL RESEARCH IN THE COURSE OF ANOTHER Source Name: INVESTIGATION U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D25 - Improper Closing Argument. Closed administratively merit to alleg. Improvidently opened. Number as Inquiry or Correspondence. A411 D25 -- Improper Examination of a Witness. Closed administratively. No merit to alleg. Improvidently opened. Number as Inquiry or Correspondence. ********* C07-0013-01 Case/Subject No: November 1, 2006 Date Opened: November 15, 2006 Date Closed: 70 Subject Name: Subject Location: None Case Name: Colby, Paul L. Attorney: None Source Name: Complainant Name: Allegation/Disposition Codes: A535 D05 -- Unauthorized disclosure (non-media): other (including Priv Act violations and Substantiated for Poor Judgment. Report of inquiry referred to management. *************** C05-0041-01 Case/Subject No: February 22, 2005 Date Opened: November 28, 2006 Date Closed: Subject Name: Subject Location: Case Name: McCarty, Margaret S. Attorney: Source Name: OPR WESTLAW SEARCH U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A410 D02 -- Improper Closing Argument. Substantiated - Reckless Disregard.

sustained. Subject made a mistake.

C05-0046-01

Case/Subject No:

A410 D14 -- Improper Closing Argument. Unsubstantiated. Allegation not

	User: Analyst	Date: November	26, 20 7
	Date Opened:	February 28, 2005	
		November 28, 2006	,
	Subject Name:	1.1 (0	
	Subject Location:	-1010	and the f
		1) (1) (1)	
	Case Name:	McCarty, Margaret S.	
		OPR WESTLAW SEARCH	
	Source Name:		1071
	Complainant Name:	U.S. COURT OF APPEALS FOR THE DU	.0. 10
	Allegation/Dispositi	on codes:	d - Poold one
		per Closing Argument. Substantiated	1 - RECRIESS
	Disregard.	per Closing Argument. Unsubstantia	ted Allegation not
	A410 D14 Impro	per crosing Argument. Unsubstantia	ted. Affegation not
	sustained. Subjec	t made a mistake. *************************	* * + * * * * * * * * * *
	Case/Subject No:	CU5-UU64-U1	
	Date Opened:	June 7, 2005	
	Date Closed:	November 28, 2006	ar in the contract
	Subject Name:		
	Subject Location:	/// // // /	
	Case Name:	1/0 1/ 10	
	Attorney:	McCarty, Margaret S.	
	Source Name:	tala 10m/	
		V (f., V /C	1
	Complainant Name:	U.S. COURT OF APPEALS FOR TI 66	10°C
	Allegation/Dispositi	on Codes:	
	A410 D02 Impro	per Closing Argument. Substantiate	d - Reckless
	Disregard.		a for Dear Todament
	A410 D05 Impro	per Closing Argument. Substantiate	a for Poor Juagment.
	Report of inquiry	referred to management.	
	A410 D14 Impro	per Closing Argument. Unsubstantia	ted. Allegation not
	sustained. Subjec	t made a mistake. ***********	

		C06-0034-01	
	Date Opened:	April 17, 2006	
	Date Closed:	November 28, 2006	Total
	Subject Name:	1 1 1 1	-
	Subject Location:	10/2/2-	
	Case Name:	DU VIC	- 1
		,	·
	Attorney:	Ward, Barbara L.	
	Source Name:	1:17	self-reporting)
	Complainant Name:	$\mathcal{O}(a)\mathcal{O}(b)$	
			_
	Allegation/Dispositi	on Codes:	
	A340 D14 Negli	gence/dereliction/improper perf of	duties (failure to
	perform). Unsubst	antiated. Allegation not sustained	. Subject made a
	mistake.		
	*****	**********	*****
	Case/Subject No:	C06-0038-01	
	Date Opened:	April 17, 2006	
	Date Closed:	December 19, 2006	
	Subject Name:	b6 p7C	
:	· 💆 ·	· · · · · · · · · · · · · · · · · · ·	

User: Analyst	Date: November 26, 20 7
Subject Location: Case Name: Attorney:	Ward, Barbara L.
Source Name: Complainant Name:	-N6 M7C
	ery - Brady Violation/Exculpatory Information. Allegation not sustained. Subject acted appropriately aces
Case/Subject No: Date Opened: Date Closed:	C06-0005-01 November 9, 2005 December 22, 2006
Allegation not su A421 D13 Failu federal rule. Uns	Fraase, Mark G. 50 600 Codes: 50 600 Codes: 50 600 Codes: 50 700 Codes: 50 800 Codes: 60 8

Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney:	C06-0084-01 September 30, 2006 December 27, 2006 Leiner, Frederick C.
Source Name: Complainant Name: Allegation/Dispositi	OPR WESTLAW SEARCH DG b7C
A406 D13 Disco sustained for Poo ********	overy - Rule 16. Unsubstantiated. Allegation not or Judgment or Professional Misconduct.
Case/Subject No: Date Opened: Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name:	C05-0043-01 February 22, 2005 January 9, 2007 Thomson. Robert
Complainant Name:	DU Y D (V
Allegation/Dispositi	lon Codes:

Date: November 26, 20 7 User: Analyst A002 D00 Abuse of prosecutive or investigative authority (general). C05-0043-02 Case/Subject No: February 22, 2005 Date Opened: January 9, 2007 Date Closed: Subject Name: Subject Location: Case Name: Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A002 D00 -- Abuse of prosecutive or investigative authority (general) ************ C06-0002-01 Case/Subject No: October 6, 2005 Date Opened: January 9, 2007 Date Closed: Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: OPR WESTLAW SEARCH Source Name: U.S. COURT OF APPEALS FOR THE Complainant Name: Allegation/Disposition Codes: A001 D02 -- Abuse of authority or misuse of official position. Substantiated - Reckless Disregard. ********** C06-0019-01 Case/Subject No: January 31, 2006 Date Opened: Date Closed: January 9, 2007 Subject Name: Subject Location: Case Name: Kessier, Tamara J. Attorney: FOUND BY OPR WESTLAW SEARCH Source Name: U.S. COURT OF APPEALS FOR THE 66 670 Complainant Name: Allegation/Disposition Codes: A001 D02 -- Abuse of authority or misuse of official position.

Case/Subject No: C06-0045-01
Date Opened: May 10, 2006
Date Closed: January 9, 2007

Substantiated - Reckless Disregard.

Subject Name:

Subject Location:

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User: Analyst Date: November 26, 20 7 Case Name: 106 h74 Kessler, Tamara J. Attorney: Source Name: 106 ble Complainant Name: U.S. COURT OF APPEALS FOR THE 10-1076 Allegation/Disposition Codes: A001 D02 -- Abuse of authority or misuse of official position. Substantiated - Reckless Disregard. Case/Subject No: C06-0046-01 Date Opened: May 10, 2006 Date Closed: January 9, 2007 Subject Name: Subject Location: Case Name: Attorney: Kessler, Tamara J. U.S. COURT OF APPEALS FOR THE Source Name: Complainant Name: Allegation/Disposition Codes: A001 D02 -- Abuse of authority or misuse of official position. Substantiated - Reckless Disregard. C06-0048-01 Case/Subject No: May 16, 2006 Date Opened: January 9, 2007 Date Closed: UNIDENTIFIED USAO ATTORNEYS, UNKNOWN LOCATIONS Subject Name: Subject Location: L999 -- Unlisted Location. RE ALLEGED MISUSE OF MATERIAL WITNESS WARRANT Case Name: Kessler, Tamara J. Attorney: Source Name: 16,7C Complainant Name: Allegation/Disposition Codes: A002 D13 -- Abuse of prosecutive or investigative authority (general). Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****** C06-0079-01 Case/Subject No: September 30, 2006 Date Opened: January 9, 2007 Date Closed: Subject Name: Subject Location: Case Name: Kessler, Tamara J. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A001 D13 -- Abuse of authority or misuse of official position. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.

A407 D13 -- Discovery - Brady Violation/Exculpatory Information.

User: Analyst Date: November 26, 20 7 Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ****************** Case/Subject No: C06-0049-01 Date Opened: May 5, 2006 Date Closed: January 10, 2007 Subject Name: Subject Location: Case Name: Clarke, Nadira Attorney: (U.S. Attorney, bub) Source Name: MANAGEMENT OF THE U.S. ATTORNEY'S OFFICE 6667C Complainant Name: Allegation/Disposition Codes: A341 D05 -- Failure to Diligently Represent the Interest of the Client (Model Rule 1.3) Substantiated for Poor Judgment. Report of inquiry referred to management. A001 D05 -- Abuse of authority or misuse of official position. Substantiated for Poor Judgment. Report of inquiry referred to management. A404 D05 -- Misrepresentation / Misleading the Court. Substantiated for Poor Judgment. Report of inquiry referred to management. A001 D13 -- Abuse of authority or misuse of official position. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A404 D13 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. A404 D13 -- Misrepresentation / Misleading the Court. Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct. ***************** Case/Subject No: C07-0010-01 November 12, 2006 Date Opened: Date Closed: January 10, 2007 Subject Name: Subject Location: Case Name: Leiner, Frederick C. Attorney: OPR WESTLAW SEARCH Source Name: Complainant Name: U.S. COURT OF APPEALS FOR THE 66576 Allegation/Disposition Codes: A410 D29 -- Improper Closing Argument. Closed administratively. Other. A411 D29 -- Improper Examination of a Witness. Closed administratively. Other. Specify. ************

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April 19, 2006

January 11, 2007

C06-0041-01

Case/Subject No:

Subject Location:

Date Opened:

Date Closed:

Case Name:

Subject Name:

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User: Analyst Date: November 26, 20 ' Howard, Lisa G. Attorney: Source Name: Complainant Name: Allegation/Disposition Codes: A408 D14 -- Discovery - Impeachment/Jencks. Unsubstantiated. Allegation not sustained. Subject made a mistake. A404 D14 -- Misrepresentation /Misleading the Court. Allegation not sustained. Subject made a mistake. ******************** Case/Subject No: C05-0060-01 Date Opened: June 1, 2005 Date Closed: January 12. 2007 Subject Name: Subject Location: MULTIPLE CASES Case Name: 60 b7 C Brandon, Kathleen Attorney: bb. 576 Source Name: Complainant Name: THREE U.S. DISTRICT JUDGES, IN THREE CASES Allegation/Disposition Codes: A633 D14 -- Speedy Trial Act violation Unsubstantiated. Allegation not sustained. Subject made a mistake. *************** C06-0071-01 Case/Subject No: August 11, 2006 Date Opened: Date Closed: January 23, 2007 Subject Name: Subject Location: MULTIPLE CASES Case Name: b6 b7C Attorney: Hurley, Neil DURBIN, RICHARD J. (U.S. Senator) Source Name: Complainant Name: ANONYMOUS FEDERAL LAW ENFORCEMENT OFFICIAL Allegation/Disposition Codes: A002 D05 -- Abuse of prosecutive or investigative authority (general). Substantiated for Poor Judgment. Report of inquiry referred to management. ************ ***** C06-0036-01 Case/Subject No: <u>April 18, 2006</u> Date_Opened:_ January 24, 2007 Date Closed: Subject Name: Subject Location: Case Name: Hardy, Lyn Attorney: Source Name: 100 Complainant Name: U.S. DISTRICT COURT Allegation/Disposition Codes: A407 D14 -- Discovery - Brady Violation/Exculpatory Information. Unsubstantiated. Allegation not sustained. Subject made a mistake.

Date: November 26, 20 7 User: Analyst Case/Subject No: C07-0012-01 November 15, 2006 January 24, 2007 Date Opened: Date Closed: Subject Name: Subject Location: Case Name:

Ward, Barbara L. Attorney:

bo bic interprete party and plaintiff in lawsuit)
(U.S. District Judg., Source Name:

Complainant Name:

Allegation/Disposition Codes:

A402 D00 -- Prosecutorial Misconduct (General - OPR atty/pls provide

specific code).