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Inter-American Foundation  
An Independent Agency of the U.S. Government

FOIA IAF- 2017-12

August 24, 2017

*Via email*

This letter is in response to your Freedom of Information Act (FOIA) inquiry, received on August 22, 2017. You submitted the following request, which I quote in its entirety:

“Pursuant to the provisions of the Freedom of Information Act, I respectfully request a digital/electronic copy of: the most recent set of monthly management reports from the nine different IAF offices”

A search was completed and we have one agency record that is responsive to your request. This record is being released in its entirety.

Please note that you have the right to file an administrative appeal within 90 days of the date of this letter. By filing an appeal, you preserve your rights under FOIA and give the IAF a chance to review and reconsider your request and the agency’s decision.

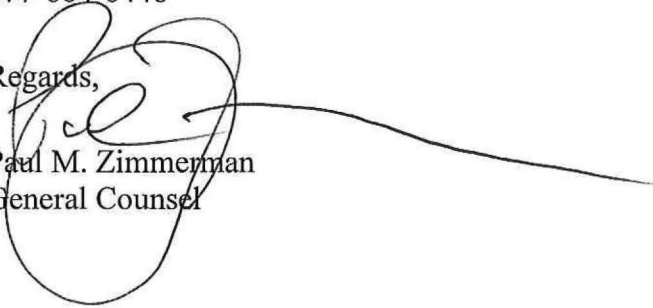
If you would like to discuss our response before filing an appeal to attempt to resolve your dispute without going through the appeals process, you may contact our FOIA Public Liaison, Ms. Lesley Duncan for assistance at the below listed mailing address or at [lduncan@iaf.gov](mailto:lduncan@iaf.gov) or (202) 688-3047.

If you are unable to resolve your FOIA dispute through our FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman’s office, offers mediation services to help resolve disputes between FOIA requesters and Federal agencies.

The contact information for OGIS is:

Office of Government Information Services  
National Archives and Records Administration  
8601 Adelphi Road-OGIS  
College Park, MD 20740-6001  
ogis@nara.gov  
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202-741-5770  
877-684-6448

Regards,

  
Paul M. Zimmerman  
General Counsel

## **Management Report July 2017**

### **Budget Matters**

On July 19, the House of Representatives Appropriations Committee passed the State Foreign Operations and Related Programs bill with an appropriation level of \$11.25 million for the IAF for FY18. The bill also contains authority for the IAF to receive a transfer to help implement the U.S. Strategy for Engagement in Central America, and the bill report "recommends" that the IAF receive "up to \$10 million" from the Development Assistance (DA) account for the Strategy. A proposed amendment to fund the IAF at the FY17 level of \$22.5 million was presented by members in the majority, but it was not brought forward for a vote by the full Committee. The action on the budget now moves to the Senate, which is not expected to finalize its mark up until sometime in September.

As of July 28, 2017, a transfer of \$6 million from USAID to the IAF was finalized. The funds, from the FY 2016 DA account for the Empowering Community Prosperity and Resilience activity of the U.S. Strategy in Central America, have posted to the IAF's account in Treasury and are ready for immediate use by the IAF and its grantee partners. The funds are two-year monies to be obligated by September 30, 2017 (i.e., the end of this Fiscal Year).

### **Congressional Affairs**

IAF President Paloma Adams-Allen continued to do introductory meetings with the agency's oversight committees. In July, she met with staffers from three offices: one House, two Senate; two Republican, and one Democrat. All meetings were with offices of members who serve on either the House or Senate State Foreign Operations and Related Programs Subcommittees of the Appropriations Committees.

The IAF participated on a panel sponsored by the House Central America Caucus titled: *U.S. Assistance to Central America - Ensuring Aid Effectiveness*. Megan Fletcher represented the IAF and shared key principles, examples and lessons from the IAF's experience providing effective development assistance addressing root causes of migration in Central America. Other panelists were Jonathan Brooks (managing director, Millennium Challenge Corporation), Jill Marie Gerschutz-Bell (senior policy and legislative specialist, Catholic Relief Services), and Linda Adami-Patel from the IDB.

From July 9 to 13, in advance of the upcoming staff delegations, the IAF president reviewed youth empowerment, citizen security, enterprise development, and civil society strengthening projects in Colombia, all of which are related to the IAF's peace-building initiative. The trip was also an opportunity to learn more about IAF's partnership with the Inter-American Network of Corporate Foundations and Actions for Grassroots Development (RedEAmérica). A similar visit to review the agency's portfolio of youth and migration projects in El Salvador is scheduled to take place from July 30 through August 3.

### **FY16 vs. FY17 Financials**

The following charts compare budget authority and total actuals — including commitments, obligations, disbursements, and expenditures — through July for FY 2017 compared with the same period in FY 2016.

| <b>FY 2017</b>                            | <b>Budget Authority</b> | <b>Obligations/ Expenditures</b> | <b>Available Balance</b> |
|---|-------------------------|----------------------------------|--------------------------|
| Appropriated "A" (Program Support)        | 6,719,818               | 5,450,654                        | 1,269,165                |
| Appropriated "B" (Program Implementation) | 16,267,948              | 15,043,099                       | 1,224,849                |
| SPTF                                      | 3,814,898               | 3,814,898                        | 0                        |
| <b>Total</b>                              | <b>26,802,665</b>       | <b>24,308,651</b>                | <b>2,494,014</b>         |

| <b>FY 2016</b>                            | <b>Budget Authority</b> | <b>Obligations/ Expenditures</b> | <b>Available Balance</b> |
|---|-------------------------|----------------------------------|--------------------------|
| Appropriated "A" (Program Support)        | 7,464,668               | 6,797,345                        | 667,322                  |
| Appropriated "B" (Program Implementation) | 15,774,788              | 13,332,525                       | 2,442,262                |
| SPTF                                      | 5,480,181               | 1,436,820                        | 4,043,361                |
| <b>Total</b>                              | <b>28,719,636</b>       | <b>21,566,691</b>                | <b>7,152,946</b>         |

### **FY2017 Grant Program**

To date the IAF has obligated funds totaling \$12,739,192 for 63 new grants and 27 supplemental funds amendments. The following chart compares progress through July 27, 2017 with the same period in FY 2016.

|      | <b>Number of Grants</b> | <b>Number of Amendments</b> | <b>Value of Grants</b> | <b>Value of Amendments</b> | <b>Split Funds</b> | <b>Total Funds</b> |
|------|-------------------------|-----------------------------|------------------------|----------------------------|--------------------|--------------------|
| FY17 | 63                      | 27                          | \$8,629,343            | \$3,551,373                | \$558,476          | \$12,739,192       |
| FY16 | 35                      | 25                          | \$4,931,834            | \$2,607,030                | NA                 | \$7,538,864        |

The IAF president approved the following 17 grants since the last reporting period. The seven titles that are hyperlinked to their full descriptions on the IAF website have also been countersigned by the grantee partner and funds obligated.

[Asociación de Desarrollo Pespireense \(ADEPES\)](#) in Honduras, IAF grant: \$398,570 over three years; counterpart committed: \$205,290

The project is the result of networking efforts among IAF youth grantee partners<sup>1</sup> in Central America initiated in 2016. The three organizations implementing this project are successful and creative IAF grantee partners seeking to establish comprehensive development alternatives for youth in communities affected by high rates of migration, in which their educational, employment and social options are limited. The collaborative effort contributes to shared learning among the grantees, with ADEPES and ADETRIUNF incorporating scholarship programs into their overall approach and expanding relationships with the private sector for greater sustainability, areas in which OYE has excelled. For its part, OYE will benefit from the experience of ADEPES and ADETRIUNF in entrepreneurial training and support of youth microenterprises. All three of the organizations have solid leadership training programs and civic engagement experience, which they will synthesize for the development of a joint curriculum. This grant is part of a broader IAF initiative focused on supporting economic prosperity, democracy, and governance consistent with the U.S. Strategy for Engagement in Central America.

[Associação Quilombola Do Povoado Patioba \(AQUIPP\)](#) in Brazil, \$50,000 over one year and six months; counterpart committed: \$68,723

AQUIPP will provide training to young people on cultural identity and Afro-Brazilian heritage. Project activities will include a series of Afro-Brazilian cultural heritage workshops and the setup of a computer lab with internet access to support digital inclusion. The project seeks to improve the professional skills of young people as they enter the job market and will benefit 200 Quilombola youths directly and 1,000 indirectly.

[Associação Rede Rio São Bartolomeu de Mútua Cooperação \(Rede Bartô\)](#) in Brazil, \$289,765 over three years; counterpart committed: \$289,760

Rede Bartô will work with 13 rural associations in six communities to improve agricultural production and processing capacity, develop markets, and contribute to the conservation of natural resources in São Bartolomeu River watershed, Federal District, Brazil. This project will benefit 480 people directly and 1,200 people indirectly.

[Asociación para el Desarrollo Integral Comunitario \(ADICO\)](#) in Panama, \$47,490 over two years; counterpart committed: \$16,640

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<sup>1</sup> Asociación de Desarrollo Triunfeña (ADETRIUNF) and Organización para el Empoderamiento de la Juventud (OYE), both are IAF grantee partners from Honduras.

ADICO will work with smallholder farming families in and around Cerro Pelado, Veraguas, Panama to strengthen their organization and increase community engagement. It will also promote ecologically sound methods for cultivating coffee, bananas, tubers, rice, and diverse vegetables for family consumption and to sell in local and regional markets to improve household incomes. The project will directly benefit 160 individuals and indirectly benefit 188 more.

[Asociación de Mujeres Unidas Para el Desarrollo Sostenible \(ASMUDES\)](#) in Bolivia, \$328,725 over four years; counterpart committed: \$237,097

ASMUDES will work to increase income for five indigenous communities that reside in a nationally recognized protected area through the adoption of sustainable agroforestry practices and marketing of fruit and value-added products. The project will strengthen the organizational and marketing capacity of two producer associations to promote greater food security for its members and the consuming communities in the region. It will also strengthen women and youth leadership skills, promoting their participation as community leaders who are better able to engage and participate in decision making at the municipal level. Approximately 1,390 people will benefit directly with another 10,350 indirectly.

[Associação Comunitária do Sítio Lagoa da Coroa \(ACSLC-AL\)](#) in Brazil, \$50,000 over 18 months; counterpart committed: \$51,010

ACSLC-AL will improve the harvesting of fruits and introduce new processing techniques at a fruit dehydration facility in the community. Project activities will improve income, the financial autonomy of women, and local cultural identity. This project will benefit 50 women producers directly and 250 residents indirectly.

[Red + Posithiva de Quintana Roo A.C. \(Redposithiva\)](#) in Mexico, \$50,000 over one year; counterpart committed: \$55,465

Redposithiva will create and implement a pilot training program for young LGBT leaders in Quintana Roo and will raise awareness of the rights of the LGBT community in Mexico. Grant activities include visits to similar training programs, conducting surveys on the status of LGBT people in Quintana Roo, developing educational materials, and training young leaders who can train others. Trainings will address subjects such as human rights, Mexico's legal framework that guarantees rights, the LGBT movement in Mexico, stigma, diversity, discrimination, leadership, citizenship, alliance-building and acquisition of popular education techniques and tools. The grant will benefit about 120 LGBT young people.

**The Asociación Latinoamericana de Educación Radifónica (ALER)** in Ecuador, Mexico, Guatemala, Peru and Bolivia, \$184,960 over two years; counterpart committed:

\$362,681

ALER will work with its member community radio stations in Mexico, Guatemala, Ecuador, Peru, and Bolivia to strengthen their network, train individual broadcasters, and develop programming to promote the use and survival of native languages in their communities. These activities will strengthen distance education on topics like literacy, first aid, nutrition, and leadership development. Program activities will benefit 104 indigenous and mestizo radio communicators directly and about 532 indirectly through training and program development. Millions of regular radio listeners will also benefit throughout the region through improved language and education programming.

**Asociación Paraguay Orgánico (PY Orgánico)** in Paraguay, \$50,000 over one year; counterpart committed: \$154,510

PY Orgánico will develop a strategic plan, conduct outreach activities and implement studies to increase its capacity to serve the members of its national network and to promote smallholder organic agriculture in Paraguay. PY Orgánico will also provide technical assistance to smallholder organic producers to help them improve production, marketing and sales of organic fruits and vegetables. Project activities will include training on sustainable organic agriculture techniques such as composting, natural pest control and other best practices that incorporate soil and water conservation. About 2,800 people will benefit directly and nearly 14,000 indirectly.

**Asociación de Madres y Familiares Víctimas de Guerra Waslala (Asociación de Madres)** in Nicaragua, \$49,750 over one year; counterpart committed: \$125,879

Asociación de Madres will work with about 27 rural communities in the municipality of Waslala in the North Caribbean Coast Autonomous Region to provide training, technical assistance, and loans for small business development, cacao collection and marketing to women and farmers. For example, the group will introduce scaled up production methods (i.e. turning cacao into homemade chocolate specialty products) so its members can gain better access to local, national and international markets and command better prices. The Asociación de Madres will strengthen its institutional capacities in governance and strategic planning. Asociación de Madres will engage some 200 residents of the communities and an additional 1,000 residents will benefit indirectly.

**The Asociación Seres (SERES)** in Guatemala, \$301,448 over three years; counterpart committed: \$56,500

SERES will organize local community and nonprofit groups, including another IAF grantee partner, and manage a comprehensive leadership, governance, educational, and economic opportunity program for young adults between the ages of 15 to 30 from Guatemala's western highlands. SERES will manage selected community organizations,



and through a steering committee, will implement a leadership and governance training program. Program activities will include providing 30 scholarships and 10 internships for young participants, as well as establishing and managing a subgrant fund to initiate 12 youth-led microenterprises. Grant activities will benefit 60 youth directly and about 300 more indirectly.

**Associação Movimento Mecenias da Vida (MMV)** in Brazil, \$266,350 over 3 years; counterpart committed: \$393,500

MMV will work with smallholder farmers, indigenous and Quilombola (Afro-Brazilian) communities, and local consumers in the southern part of Bahia state, Brazil, to inspire a nascent regional agroecology network. The project will accomplish this through implementing a participatory certification system, promoting the creation of new agroecological markets, and improving local biodiversity conservation. This project will benefit 3,600 people directly and another 8,000 indirectly.

**Caritas Brasileira Regional do Maranhão (CBMA)** in Brazil, \$291,520 over three years; counterpart committed: \$337,000

CBMA will work directly with 20 indigenous and Afro-descendant small-holder farmer groups in 10 municipalities in the state of Maranhão, Brazil to strengthen a grassroots network — Rede Mandioca — to link producers with expanded markets. CBMA will achieve this through improved manioc root cultivation techniques and in the standards for processing manioc flour, establishment of new marketing channels at the state level and training in management to network members. This project will benefit 1,000 people directly and 4,000 families and 10,000 consumers indirectly.

**Fondation Communautaire Haïtienne-Espwa (FCH-Espwa)** in Haiti, \$50,000 over one year; counterpart committed: \$58,897

FCH-Espwa will support grassroots groups by improving itself as an organization and providing financial and technical support through its community development program. FCH-Espwa will manage a grant fund and award approximately six subgrants of up to \$14,000 each to grassroots organizations in the Jérémie and Moron municipalities of Grand'Anse. Project activities will benefit 1,110 people directly and another 8,000 indirectly.

**Organisation des Paysans de Labiche (OPLA)** in Haiti, \$223,000 over three years; counterpart committed: \$95,420

OPLA will organize project activities with three local farmer organizations. It will train its members and farmers on ecologically sound agricultural practices that will improve production, increase revenue, and ensure sustainable access to food supplies. OPLA will

work with farmers to establish a grain mill, two veterinary centers, and home gardens, and form or strengthen savings and loan organizations. The project will benefit about 1,500 farmers directly, and another 6,000 indirectly, in the Côtes-de-Fer district in Haiti's Sud-Est department.

**Fundación Fútbol para el Desarrollo (FuDe)** in Argentina, \$335,620 over two years and six months; counterpart committed: \$656,365

FuDe will work with a network of about 16 partner organizations to train 180 youth from around 10 countries in Latin America as mediators in fútbol callejero (street soccer). The training will target at-risk and marginalized youth and teach them employability skills such as leadership, teamwork, critical thinking, effective communication, negotiation, respect, discipline and drive for results. The training will occur via a virtual platform, in-person meetings, and exchanges. FuDe will also facilitate exchanges with IAF grantee partners and organizations in Nicaragua to instruct on how to use sports-based training programs using fútbol callejero to strengthen leadership among disadvantaged youth. The grant will benefit 4,800 people directly and another 16,800 indirectly.

**La Asociación Pro Comunidades Turísticas de Honduras (LAPROCOTURH)** in Honduras, \$288,280 over three years; counterpart committed: \$173,500

LAPROCOTURH will strengthen its network of 15 community-based tourism committee members located in or near protected areas on the north coast of Honduras.

LAPROCOTURH will create marketing alliances to increase visitation, provide training, technical assistance and access to credit to its members to improve small business revenue. It will also raise environmental awareness among communities where its members are located and about the benefits of protecting their natural resources. The project will directly benefit 500 people located in eight municipalities on the north coast and another 1,800 indirectly.

### **Other Matters**

**Leadership meeting for initiative on Building Broader Communities in the Americas (BBCA).** On July 14, Steve Cox and Michael Layton represented the IAF at a meeting of the leadership of the BBCA, an effort to promote interaction, shared learning, and joint investment among community and diaspora foundations, and other business and civic associations throughout the hemisphere. The meeting, hosted by the Boston Foundation, included representatives from IAF, the Mott Foundation, CFLeads (our implementing partner), Spitfire (a communications firm), the University of Minnesota, and community foundations from southern Arizona, Boston, El Paso, Guadalajara, and Monterrey. The last four of these are all conducting mapping exercises (with BBCA co-investment) of business, civic, and financial organizations in their cities that might be enlisted in supporting transnational collaborations.

**Meeting with Honduran Vice-Minister.** On July 21, Maria del Carmen Nasser, vice minister of foreign relations for Honduras, visited the IAF office and met with Stephen Cox, Sarah Stewart and Mary DeLorey, foundation representatives for Honduras, and Megan Fletcher, congressional affairs specialist. Nasser was accompanied by Hector Corales and Marcelo Larach of the Honduran Embassy in Washington, and Violeta Sandino, representing a Honduran government scholarship program. The wide-ranging discussion covered the IAF mission and portfolio in Honduras, Nasser's efforts to coordinate foreign assistance to Honduras and engage corporate actors in public/private partnerships, and possibilities of coordinating support for scholarships for young people (a program tool with which the IAF is experimenting in our Northern Triangle portfolio). Follow-up actions include more substantive discussions of scholarships and coordination with RedEAmérica to explore more corporate sector engagement in Honduras.