



governmentattic.org

"Rummaging in the government's attic"

Description of document: Council of the Inspectors General on Integrity and Efficiency (CIGIE) Audit Committee meeting minutes, 2013-2015

Requested date: 12-April-2016

Release date: 24-February-2020

Posted date: 20-April-2020

Source of document: FOIA Officer
Council of the Inspectors General on Integrity and Efficiency
1717 H Street, NW, Suite 825
Washington, DC 20006
Fax: (202) 254-0162
Email: FOIASTAFF@cigie.gov

The governmentattic.org web site ("the site") is a First Amendment free speech web site, and is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

February 24, 2020

Subject: CIGIE Freedom of Information/Privacy Act Request [6330-2016-14]

This letter serves as the final response to your Freedom of Information Act (FOIA) request, to the Council of the Inspectors General on Integrity and Efficiency (CIGIE). You initially filed the request on April 12, 2016. This request was assigned FOIA case number 6330-2016-14. In your own words, you stated the following:

I request the meeting minutes of the Audit Committee covering meetings during the time period June 2013 through December 2015.

Included in this response are the responsive records for the dates in question. The applicable redactions for these records include FOIA exemptions (b)(5) and (b)(6). A separate enclosure explains what these redactions mean.

If you have questions, you may contact CIGIE's FOIA Public Liaison, Deborah Waller, Supervisory Government Information Specialist, at (202) 616-1210 or FOIASTAFF@cigie.gov.

Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows:

Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road-OGIS
College Park, Maryland 20740-6001
ogis@nara.gov
(202) 741-5770
(877) 684-6448 (toll free)
(202) 741-5769 (facsimile)

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. *See* 5 U.S.C. 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be

February 24, 2020
FOIA Case No. 6330-2016-14

taken as an indication that excluded records do, or do not, exist.

A requester may appeal a determination denying a FOIA request in any respect to the CIGIE Chairperson c/o Office of General Counsel, Council of the Inspectors General on Integrity and Efficiency, 1717 H Street NW, Suite 825, Washington, DC 20006. The appeal must be in writing, and must be submitted either by:

- (1) Regular mail sent to the address listed in this subsection, above; or
- (2) By fax sent to the FOIA Officer at (202) 254-0162; or
- (3) By email to FOIAAPPEAL@cigie.gov.

Your appeal must be received within 90 days of the date of this letter. The outside of the envelope should be clearly marked "FOIA APPEAL."

Sincerely,



Alan F. Boehm
Executive Director

Enclosures: as stated



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
July 23, 2013, at 12:30 pm

LOCATION: Federal Deposit Insurance Corporation
Office of Inspector General (OIG)
3501 Fairfax Drive, Executive Dining Room
Arlington, VA 22226

Jon Rymer, Inspector General (IG), Federal Deposit Insurance Corporation (FDIC), and Chair, CIGIE Audit Committee, called the meeting to order.

ADMINISTRATIVE

The next Audit Committee meeting will be held on September 24, 2013.

OLD BUSINESS

Approval of Minutes from March Meeting

The Audit Committee members unanimously approved the minutes from the May 23, 2013 meeting.

NEW BUSINESS

New CIGIE Audit Committee Members

Mr. Rymer welcomed two new members to the Audit Committee—Mary Mitchelson, IG, Corporation for Public Broadcasting, and Michael Raponi, IG, Government Printing Office. Mr. Raponi was unable to attend the meeting. The CIGIE Audit Committee roster, dated July 2013, was included in the meeting materials.

Annual CIGIE Financial Audit

Karl Schornagel, IG, Library of Congress, and Vice Chair, CIGIE Audit Committee, reported on the annual audit of CIGIE's financial statements. He noted that the entrance conference with Chortek CPAs, the independent public accountant performing CIGIE's financial audit in the third year of its contract, was held in June 2013. Mr. Schornagel, who represents the Audit Committee in its oversight role, reported that an oversight meeting with Chortek, CIGIE Executive Director, and the Audit Committee occurred on July 17, 2013. He continued that the audit is on track to be completed in November 2013. Mr. Schornagel included a summary of the audit details in the meeting materials.

STATUS OF ONGOING INITIATIVES

DCAA Peer Review

Mr. Rymer stated that, with his pending nomination to be the Department of Defense (DOD) IG, he has not been involved in the Audit Committee's efforts related to the peer review for the Defense Contract Audit Agency's (DCAA). He noted that Leslee Bollea and Allen Sherman, FDIC OIG, met with DOD OIG staff and offered feedback, clarification, and assistance related to the peer review guide, and helped organize peer review guide training, held July 22, for DOD staff. Mr. Rymer expressed his appreciation to Mr. Schornagel; Scott Wilson, IG, Federal Trade Commission; and Paul Martin, IG, National Aeronautics and Space Administration, for their willingness to serve as an advisory group for this unique peer review process. The advisory group will serve as a mediator and interpret standards and peer review guide requirements, as needed.

Skills Gap Project

Mr. Rymer reported that the Office of Personnel Management (OPM) advised in an email that the auditor occupation is no longer under the skill gap project's requirements for reporting on performance.gov. With respect to the concerns the IG community raised during this project, Mr. Rymer advised that the OPM offered to provide expert policy assistance regarding the rigorous and time-consuming classification process. He noted that a teleconference has been scheduled with OPM representatives to discuss the next steps.

Auditor Training

Beth Leon, Audit, Inspection and Evaluation (AI&E) Director for the CIGIE Training Institute, provided an update on the AI&E Academy's activities. She reported that, as of the end of the third quarter, the Academy has conducted 18 different training programs with 662 participants. She continued that the next audit peer review training will be held on August 8, and the next introductory auditor training class will be held in mid-September.

For FY 2014, Ms. Leon reported that the CIGIE Training Institute will be moving toward a tuition-based model for auditor training and will be using a centralized registration system. She continued that training officers in the IG community will be receiving a survey to identify training needs and projections.

Peer Review Guide

Kieu Rubb, Department of the Treasury, reported that the peer review guide update project continues to make progress. She noted that the project team met in June to discuss the comments and feedback received from its survey of the CIGIE members, with the goal of having an exposure draft of the guide available for comment in the August/September 2013 timeframe. She also noted that the group is working on two white papers to address policy issues related to the peer review program. Ms. Rubb anticipates presenting the highlights of the exposure draft and the two white papers at the September Audit Committee meeting.

Improper Payments

Rona Lawson, Social Security Administration (SSA), provided an update on improper payments activity. Specifically, she reported that Office of Management and Budget (OMB) guidance on the *Improper Payments Elimination and Recovery Improvement Act of 2012* (IPERIA) was due on June 2013, 6 months after the law was enacted. She noted that the OMB is still working on draft guidance and has not provided a timeframe as to when the guidance may come out.

Ms. Lawson also reported that OMB issued a draft memorandum on *Protecting Privacy While Reducing Improper Payments with the Do Not Pay Initiative*, which is required by section 5 of IPERIA. She noted that the IG community provided comments at the end of June expressing concern that (b) (5)

[REDACTED]

[REDACTED]

Grant Reform Working Group Activities

Brett Baker, National Science Foundation, and Chair, Federal Audit Executive Council (FAEC), reported that the Grant Reform Working Group delivered extensive comments to OMB, ahead of OMB's June 1 deadline, on the proposed rule involving federal grants. Dr. Baker continued that the Working Group will continue to have follow-on discussions with OMB on this issue. He anticipates that OMB will issue the final rules in January 2014. Mr. Rymer commended Dr. Baker and the efforts of the Working Group to help OMB issue the rule that allows for effective oversight of federal grants.

Role in GSA's Smart Card Data Warehouse

Dr. Baker advised that the General Services Administration (GSA) is building a data warehouse that could be useful for OIGs in their oversight role. He offered to coordinate with GSA officials to involve the IG community in the early stages of developing this warehouse to ensure that query capabilities and fraud triggers are built into the system.

UPDATES

FAEC

Dr. Baker reported that the FAEC is scheduled to meet on August 1 and has arranged for speakers to discuss an OIG's audit project guide and the audit steps for a major acquisition. He also reported that the FAEC Procurement Conference was held on June 12 at the Department of Education. Dr. Baker advised that 165 in-house participants and over 415 Webex participants were able to collect up to seven free continuing professional education (CPE) units. He noted that the FAEC hopes to continue using the Webex feature for its meetings and programs.

AAPC

Mr. Rymer reported that Accounting and Auditing Policy Committee (AAPC) met on July 11, 2013, and voted to send a Technical Release Draft: *Implementation Guidance for General PP&E Cost Accumulation, Assignment, and Allocation* to the Federal Accounting Standards

Advisory Board (FASAB) for its review and approval. Mr. Rymer noted that (b) (5)

IT Committee

Chuck Coe, Department of Education, gave the Information Technology Committee report for Kathy Tighe, IT Committee Chair. Mr. Coe discussed OMB's views on continuous IT monitoring and reported that the 2014 FISMA instructions would be the same as 2013. Mr. Coe noted that the IT Committee has a working group on cloud computing contracts.

IT Legislation Working Group

Chris Gieseler, FDIC, reported that the IT Legislation Working Group of the CIGIE Legislation Committee has been reviewing two pieces of legislation with FISMA implications and developed a letter to communicate CIGIE comments to the leadership of the Senate Committee on Homeland Security and Governmental Affairs. A draft of the letter was included in the meeting materials. Mr. Gieseler asked the Members to review the letter and provide any comments to him by July 26.

CFO Council

Ms. Bollea, who reported on behalf of David Berry, IG, National Labor Relations Board, said that he had nothing new to report regarding the CFO Council.

ANNOUNCEMENTS

Conference Reporting Cost

Ms. Bollea advised that Norman Doug, Acting Controller, OMB, inquired as to the reporting requirement for conference costs and whether CIGIE could canvas members on possible reporting burden. Specifically, he was interested as to whether OIGs were being overwhelmed by reports, whether the reporting thresholds were acceptable, and how conference was being defined in their agencies. Ms. Bollea agreed to coordinate with Gil Harden, Department of Agriculture, to collect the information for Mr. Dong as Mr. Harden has been collecting some preliminary information on this reporting requirement.

Audit Committee Chair Status

Mr. Rymer advised that, pending confirmation as the DOD IG, he is planning to continue as the CIGIE Audit Committee Chair through the calendar year and make a decision regarding his ability to continue as the Chair at that time.

* * * * *

The following members were present or represented at the CIGIE Audit Committee meeting on Tuesday, July 23, 2013:

Jon Rymer, IG, Federal Deposit Insurance Corporation
Karl Schornagel, IG, Library of Congress

Marla Freedman, Department of the Treasury, for Eric Thorson, IG
Scott Wilson, IG, Federal Trade Commission
Pat Howard, Department of Education, for Kathy Tighe
Rona Lawson, SSA, for Patrick O'Carroll, IG
Elliot Lewis, Department of Labor, for Gordon Heddell, Acting IG
Mary Mitchelson, IG, Corporation for Public Broadcasting
Darryl Ross, Department of Energy, for Gregory Friedman, IG
John Seeba, Legal Services Corporation, for Jeffrey Schanz, IG

Brett Baker, AIGA, NSF, and Chair, FAEC

The following guests and observers were present:

Beth Hoffman Leon, CIGIE Training Institute
Charles Coe, Department of Education
Leslee Bollea, FDIC
Christian Gieseler, FDIC
Marty Maull, FDIC
Allan Sherman, FDIC
Jayne Hornstein, National Science Foundation
Kieu Rubb, Department of Treasury



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
September 24, 2013, at 12:30 pm

LOCATION: Federal Deposit Insurance Corporation
Office of Inspector General (OIG)
3501 Fairfax Drive, Executive Dining Room
Arlington, VA 22226

Jon Rymer, Inspector General (IG), Federal Deposit Insurance Corporation (FDIC), and Chair, CIGIE Audit Committee, called the meeting to order.

ADMINISTRATIVE

The next Audit Committee meeting will be held on November 26, 2013. *{Note: The meeting was rescheduled for December 10, 2013.}*

OLD BUSINESS

Approval of Minutes from July Meeting

The Audit Committee members unanimously approved the minutes from the July 23, 2013 meeting.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Rymer reported that the Audit Committee continues to participate in the Office of Personnel Management (OPM) skills gap project. During an August 1 teleconference, OPM offered to provide expert policy assistance using focus groups to discuss the possible approaches for updating the 511 auditor occupation series. OPM representatives along with individuals from the Federal Audit Executive Council (FAEC) and Human Resources (HR) Directors Group met on September 23 to discuss these issues, and other issues discussed in the FAEC position paper, which was included in the meeting materials. OPM plans to use the FAEC position paper as a starting point and host four focus group sessions with representatives from the OIG audit and HR communities and OPM to flesh out the issues and work towards solutions. Leslee Bollea, FDIC, will be reaching out to the community to identify focus group participants.

Peer Review Schedule

Ms. Bollea reported that a few changes had been made to the current Peer Review schedule, which is now available on IGnet. She also noted that she and Marty Maull, FDIC, are about to begin the process of developing the peer review schedule for the 3-year period, 2014- 2016. She continued that a survey will be sent out to all CIGIE members regarding their audit organization and investigative peer review activity. The new schedule will be available for Audit Committee members to review at the next Audit Committee meeting.

Peer Review Guide and Position Paper

Bob Taylor, Department of the Treasury, reporting on behalf of Kieu Rubb, who is leading the project and unable to attend the meeting, said that peer review guide update project continues to make progress. In particular, the Position Paper that the working group prepared discusses four peer review options for OIGs who do not conduct GAGAS audits. In summary, the four options are (1) (b) (5), (2) (b) (5), (3) (b) (5), and (4) (b) (5). After a discussion of the options, (b) (6) noted that he prefers (b) (5).

(b) (6), and (b) (6), spoke in favor of (b) (5).

Mr. Rymer asked the Committee members to contact him if they believed the Committee should go in a different direction on this issue.

Auditor Training

Beth Leon, Audit, Inspection and Evaluation (AI&E) Director for the CIGIE Training Institute, reported that the CIGIE Training Institute has conducted 24 different training programs with 800 participants during FY 2013. She also said that she is looking for subject matter experts to help with the development of an Intermediate Auditor class, and for participants for curriculum conferences for the Interviewing for Fraud Auditors; Inspectors and Evaluators Training Program and a new Intermediate Auditor Training Program. She also asked whether “Green Book” training would be needed and Mr. Rymer advised to wait until the updated guide had been issued to determine the demand for training.

Improper Payments

Steven Schaeffer, Social Security Administration (SSA), provided an update on improper payments. He advised that the Office of Management and Budget (OMB) issued guidance implementing Section 5 of *Improper Payments Elimination and Recovery Improvement Act of 2012* (IPERIA) in August, which related to the Do Not Pay initiative. He continued that while most of this section relates to the agency and not the OIG, there is some information on OIGs being able to enter into computer matching agreements for the Do Not Pay initiative databases. He noted OMB has not, as of yet, issued guidance related to the other IPERIA provisions.

With respect to the *Improper Payments Elimination and Recovery Act of 2010* (IPERA) IPERA reporting requirements, he noted that OMB is asking OIGs to try to issue their reports on their agency’s IPERA compliance by Friday March 14, 2014, since the March 15 due date falls on a Saturday this year.

Mr. Schaeffer stated that on September 6, OMB issued final guidance on the implementation of the *Government Charge Card Abuse Prevention Act of 2012*. OMB and the General Services Administration co-hosted a town hall meeting via teleconference on September 18 for the IG community to discuss the guidance. In general, IGs are responsible for conducting periodic risk assessments of agency purchase card programs and determining the necessary scope, frequency, and number of IG audits or reviews of these programs. IGs are also required to report to OMB 120 days after the end of each fiscal year on agency progress in implementing audit recommendations related to government charge cards. The first OIG report to OMB is due by January 31, 2014.

{Note: All of the dates discussed above were effective prior to the government shutdown, which began on October 1, 2013, and ended on October 17, 2013.}

Grant Reform Working Group Activities

Brett Baker, National Science Foundation, and Chair, FAEC, reported that the Grant Reform Working Group continues to work with OMB on this very important effort. He noted that OMB is in the process of preparing the final circular and that members of the Working Group met with OMB representatives in person to discuss key points. Ms. Bollea contacted the CIGIE Executive Director to discuss Executive Council support for the Working Group's efforts.

UPDATES

FAEC

Dr. Baker reported that the rest of the FAEC conference will be held September 26-27. He noted that former Comptroller General David Walker spoke earlier this morning (i.e., September 24). He continued that the conference is being offered via Webex to provide training and free continuing professional education (CPE) units to its participants across the country.

IT Committee

Pat Howard, Department of Education, reported on behalf of Kathy Tighe, IG, Department of Education. Mr. Howard noted that the IT Committee provided comments to OMB on continuous monitoring and OMB accepted most of them. He continued that the 2014 FISMA template will likely be the same as it was in 2013, and added that if there is any interest in joint reviews of agency cloud computing networks, please contact Ms. Tighe.

IT Legislation Working Group

Chris Gieseler, FDIC, reported that the letter that the IT Legislation Working Group of the CIGIE Legislation Committee developed to communicate CIGIE comments to the leadership of the Senate Committee on Homeland Security and Governmental Affairs on two pieces of legislation with FISMA implications has not been sent.

CFO Council

David Berry, IG, NLRB, said that he had nothing new to report.

NEW BUSINESS

FY 2014 Projects

Mr. Rymer reported that each year CIGIE considers cross-cutting projects to satisfy its responsibilities under the first goal of its strategic plan, i.e., Deliver timely, relevant products that identify and address cross-government vulnerabilities, opportunities for improvements, and best practices. Annually, the Executive Council queries CIGIE members for project proposals. This year, the Executive Council received several projects that were internal to CIGIE rather than cross-government, and decided to send these proposals to the CIGIE committees for consideration. As such, the Audit Committee is to discuss the projects listed in the handout (included in the meeting materials) and decide if the Committee wants to sponsor it and whether there are any volunteers to lead or participate in the sponsored project.

Three proposals were discussed by the members, as follows:

- (b) (5) [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
- (b) (5) [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED] o any IGs who want it, and expending resources on this proposal may not be necessary.
- (b) (5) [REDACTED]
[REDACTED]

Conference Expenditures Audit Proposal

During the July Audit Committee meeting, OMB asked CIGIE to canvas members on conference reporting costs, and specifically if IGs were being overwhelmed by reports, whether the report thresholds were acceptable, and if the OIGs were experiencing definition issues. Mr. Schaeffer inquired as to what the members were doing with the collected information on conference expenditures.

* * * * *

The following members were present or represented at the CIGIE Audit Committee meeting on Tuesday, September 24, 2013:

Jon Rymer, IG, Federal Deposit Insurance Corporation
Karl Schornagel, IG, Library of Congress

David Berry, IG, National Labor Relations Board
Curtis Crider, IG, Election Assistance Corporation
Marla Freedman, Department of the Treasury, for Eric Thorson, IG

Pat Howard, Department of Education, for Kathy Tighe
Mary Mitchelson, IG, Corporation for Public Broadcasting
Steven Schaeffer, Social Security Administration, for Patrick O'Carroll
Jeffrey Schanz, IG, Legal Services Corporation
Hubert Sparks, IG, Appalachian Regional Commission
Scott Wilson, IG, Federal Trade Commission

Brett Baker, AIGA, NSF, and Chair, FAEC

The following guests and observers were present:

Beth Hoffman Leon, CIGIE Training Institute
David Gross, Department of Defense
Bob Taylor, Department of Treasury
Leslee Bollea, FDIC
Christian Gieseler, FDIC
Marty Maull, FDIC
Allan Sherman, FDIC



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
January 30, 2014, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Jon Rymer, Inspector General (IG), Department of Defense (DoD), and Chair, CIGIE Audit Committee, called the meeting to order.

ADMINISTRATIVE

The next Audit Committee meeting will be held on March 25, 2014.

OLD BUSINESS

Approval of Minutes from September Meeting

The Audit Committee members unanimously approved the minutes from the September 24, 2013 meeting.

CIGIE Financial Statements Audit

Mr. Rymer provided a summary regarding the audit of the financial statements of the CIGIE for FY 2013. CIGIE received an unqualified audit opinion with no material weaknesses of internal controls or financial management.

FY 2013 Audit Committee Accomplishments

Dave Gross, DoD, received consensus from the committee to forward the FY 2013 Audit Committee accomplishments document to Mark Jones for inclusion in the CIGIE Annual Report.

PRESENTATION

Audit Metrics

Gil Harden, U.S. Department of Agriculture, briefed the committee on the survey of Federal Audit Executive Council (FAEC) community on the subject of audit metrics that was conducted in 2013. The objective was to identify and compile internal and external metrics and performance measures used in the Federal audit community for evaluating audit quality and timeliness. (b) (6) asked if there was more information regarding timeliness metrics in the survey report, and Mr. Harden indicated that more details on timeliness metrics were in the full slide deck, which would be sent to the committee members via e-mail following the meeting, along with the list of the 34 participants in the survey. (b) (6) commented that (b) (5) . Mr. Harden closed announcing that FAEC was looking for other audit topics to explore and invited the committee members provide recommendations for those topics.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Rymer reported that the Audit Committee continues to participate in the Office of Personnel Management (OPM) skills gap project. During an August 1 teleconference, OPM offered to provide expert policy assistance using focus groups to discuss the possible approaches for updating the 511 auditor occupation series. A total of four sessions were planned to include representatives from the OIG audit and HR communities and OPM to flesh out the issues and work towards solutions. OPM hosted two of those focus group sessions in January, and plan to host another two sessions in February. The results of those sessions will be used in developing the action plan to close critical skills gaps in the auditor occupation series. (b) (6) commented that auditor skills gaps (b) (5)

(b) (5) . He further commented that the issue is (b) (5)

Peer Review Schedule

Leslee Bollea, FDIC, reported that two changes had been made to the draft Peer Review Schedule for the 3-year period, 2014- 2016. The committee approved the updated draft agenda, which will be presented to the Executive Council for approval on Wednesday, February 5, 2014, and if approved, to the CIGIE membership for approval at its February 18, 2014 meeting.

Peer Review Guide

Brett Baker, National Science Foundation / FAEC Chair, on behalf of Kieu Rubb, reported on the status of the External Peer Review Guide Update Project. Mr. Baker highlighted the activities to date, from the kickoff in March 2013 to the distribution of the updated draft review guide to FAEC membership for comment in January 2014. He also discussed future milestones to include distribution of a second exposure draft to FAEC membership in March, before presenting to Audit Committee, CIGIE Executive Council, and full CIGIE membership for approval.

Auditor Training

Beth Leon, Audit, Inspection and Evaluation (AI&E) Academy Director for the CIGIE Training Institute, reported on upcoming training, including Audit Peer Review scheduled for February 20, 2014, and provided a training schedule as a handout. She announced the 2014 CIGIE/GAO Financial Statement Audit Conference on May 8, 2014; the 2014 FAEC Procurement Conference on June 4, 2014; and the 20th Biennial Forum of Government Auditors on August 20-21, 2014, in Denver.

Improper Payments

Steven Schaeffer, Social Security Administration (SSA), provided an update on improper payments. He reported that there was a news article on December 31st that noted that improper payments were down to \$106 billion for 2013 versus \$108 billion in 2012. Mr. Schaeffer also discussed the Social Security Administration transfer of prisoner data and new rules regarding the death master file availability. Mr. Schaeffer also reported:

- OMB has not finalized its updated guidance on improper payments, yet. We consolidated the comments from the various OIGs and sent the feedback to OMB on December 4th.
- April 15 OIG IPERA reports due - delay due to shut down.

Grant Reform Working Group Activities

Dr. Brett Baker, National Science Foundation, and Chair, FAEC, reported that the Grant Reform Working Group continues to work with OMB on this very important effort. He noted that OMB is in the process of preparing the final circular and that members of the Working Group met with OMB representatives in person to discuss key points.

He noted:

- OMB is streamlining guidance. Rules were finalized in December 2013. Agencies will provide draft implementation plans by June 2014 that need to be in place by December 26, 2014.
- Reports for 4 pilot audits with HHS OIG should be issued in April/May timeframe.
- OMB Representatives met January 13th with GRWG for insight on Performance Metrics. Dr. Baker and Ken Deiffenbach, Senior Special Agent, DoJ OIG participated in the session. OMB representatives provided insight on the new rule changes and also sought feedback from the participants. OMB is looking forward to continued coordination with the OIG community moving forward as the rules are implemented and in place. They are particularly interested in getting insight on performance metrics to gauge the success of the new rules once in place.
- January 27th WebX training on grants reporting - changes in guidance.

UPDATES

FAEC

Dr. Baker reported the FAEC conference held September 24, 26-27, 2013 was attended by 200 participants and had another 300 that connected via WebEx. He noted that former Comptroller General David Walker kicked off the conference on September 24 at NDU. The conference provided 15 CPEs at no cost to the attendees.

The January bimonthly meeting was held January 16 at the US Access Board. Attendance was approximately 80 onsite and an additional 150 via WebEx. Dr. Baker provided updates on OMB's Grant Reform efforts and committee chairs provided updates on projects, including Gil Harden's performance metrics working group. Speakers included Andrew Katsaros, AIGA, Commerce OIG and Dr. Cary Kemp, Organizational Psychologist, National Science Foundation who spoke on OIG Audit Risk Assessments and Organizational Adaptability, respectively.

The next FAEC meeting will be held on March 27 (1:00-3:00) at the U.S. Access Board in Washington, DC (near the U.S. Department of Treasury building).

The FAEC Annual Training Conference is tentatively scheduled for September 3-4, 2014 at the U.S. Patent and Trade Office conference facility. Participants will earn up to 14-16 hours of CPE for the event at no cost.

IT Committee

Bryon Gordon, Department of Education, reported on behalf of Kathy Tighe, IG, Department of Education. Mr. Gordon reported that the Department of Homeland Security OIG has completed the guide for assessing cybersecurity. He also reported on the Federal Acquisition Regulation (FAR) Council and cloud reporting contracts; the FAEC subcommittee, Federal Information Security Management Act of 2002 (FISMA) Reviews; and OMB M-1403, November 2013, contract monitoring in FISMA Reviews, noting that the annual reviews start November 15, 2014.

IT Legislation Working Group

Chris Gieseler, FDIC, reported on the following on behalf of the IT Legislation Working Group:

- S. 1953, the Oversight Workforce Improvement Act of 2014, which includes language similar to what the Working Group had earlier proposed to protect agency IT information from public disclosure.
- Recent testimony by Peg Gustafson, SBA IG, and Chair, CIGIE Legislation Committee, on empowering the IG community, including seeking a legislative fix to protect information about IT security vulnerabilities from public disclosure.

CFO Council

David Berry, IG, NLRB, said that there was nothing new to report.

GAO Coordination

Mr. Rymer reported that he continues to serve as a member of the Yellow Book Advisory Council, and as chair of the Green Book Advisory Council. Dr. Brett Baker, Chair, FAEC, also serves as a member of the Green Book Advisory Council. He reported that the Green Book exposure draft would be out for comment through February 18, 2014, and that there would be a one or two-day session scheduled for the Green Book Advisory Council in May.

ANNOUNCEMENTS

Committee Changes and Administrative Matters

Mr. Rymer reported that Mary Mitchelson, Corporation for Public Broadcasting Inspector General, agreed to serve as the new vice-chair of the Audit Committee. He also recognized Karl Schornagel, Library of Congress Inspector General for his tenure as vice-chair; as well as Ms. Bollea for her significant contributions to the committee over the last several years. Mr. Rymer thanked Mr. Gieseler for his work with the IT Legislation Working Group and invited him to continue to serve the committee in that capacity.

Mr. Rymer asked the members about the accessibility of the new meeting location at the DoD IG offices at the Mark Center in Alexandria, VA. The members reported no concerns with the new location and it was agreed that the committee would continue meetings at this location. Patrick O'Carroll, Social Security Administration Inspector General, offered to arrange for an alternate meeting location at their offices if the need arose.

* * * * *

The following members were present or represented at the CIGIE Audit Committee meeting on Thursday, January 30, 2014:

Jon Rymer, IG, Department of Defense
Mary Mitchelson, IG, Corporation for Public Broadcasting

David Berry, IG, National Labor Relations Board
Curtis Crider, IG, Election Assistance Corporation
Bryon Gordon, representing Kathy Tighe, IG, Department of Education
Rick Haas, representing Greg Friedman, IG, Department of Energy
Patrick O'Carroll, IG, Social Security Administration
Elliot Lewis, representing Scott Dahl, IG, Department of Labor
Jeffrey Schanz, IG, Legal Services Corporation
Hubert Sparks, IG, Appalachian Regional Commission
Scott Wilson, IG, Federal Trade Commission

Dr. Brett Baker, AIGA, National Science Foundation, and Chair, FAEC

The following guests and observers were present:

Beth Hoffman Leon, CIGIE Training Institute

Gil Harden, USDA

David Gross, DoD

Paul Hadjiyane, DoD

Leslee Bollea, FDIC

Chris Gieseler, FDIC

Allan Sherman, FDIC

Steve Schaeffer, SSA

Kristin Klima, SSA

Jayne Hornstein, NSF



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
March 25, 2014, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Jon Rymer, Inspector General (IG), Department of Defense (DoD), and Chair, CIGIE Audit Committee, called the meeting to order.

ADMINISTRATIVE

The next Audit Committee meeting will be held on May 29, 2014.

OLD BUSINESS

Approval of Minutes from January Meeting

The Audit Committee members unanimously approved the minutes from the January 30, 2014 meeting.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Rymer briefed the committee on the status of the U.S. Office of Personnel Management skills gap project and the 511 auditor occupation. Focus groups with members of the audit community were conducted in January and February of 2014, to gain a better understanding of skills gaps issues in the auditor occupation. The results of those focus groups are expected to be available in late May or June of 2014.

Peer Review Schedule

David Gross, Department of Defense, reported on the status of the peer review schedule that was approved by the CIGIE full membership at the February meeting, noting that requests have since been received for adjustments to the schedule. Mr. Rymer then addressed the committee on the SIGAR request for a one-year adjustment to the period ending date for their peer review, from

9/30/2014 to 9/30/2015. After debate the committee unanimously voted to approve the request. Other requests were discussed, but formal action is pending further discussions with those offices.

Peer Review Guide

Kieu Rubb, Department of Treasury, reported on the status of the External Peer Review Guide Update Project. Ms. Rubb highlighted some of the comments received from the first exposure of the updated draft review guide to FAEC membership. The committee discussed (b) (5)

(b) (5) The committee also discussed (b) (5) The committee agreed that (b) (5)

However, it was agreed that (b) (5)

Auditor Training

Beth Leon, Audit, Inspection and Evaluation (AI&E) Academy Director for the CIGIE Training Institute, reported assessment of training needs via survey. The committee discussed the possibility of making training available for non-CIGIE auditors, such as auditors in DoD agencies and military departments. Related issues that were identified included the fee structure for those non-CIGIE agencies and how to expand the current capacity. Ms. Leon also provided a training schedule as a handout.

Improper Payments

Rona Lawson, Social Security Administration, provided an update on improper payments:

- April 15, 2014 is deadline for the OIG IPERA reports for those OIGs who are required to issue a report on their agency's compliance with the Improper Payments Elimination and Recovery Act of 2010 (IPERA).
- The Partnership for Public Service is hosting a Payment Accuracy Round Table discussion on April 3rd from 8 to 11 am. The purpose of the event is to assess the current state of experiences in implementing the Improper Payments Elimination and Recovery Improvement Act. The invitation for this went out to several OIGs.

Grant Reform Working Group Activities

Dr. Brett Baker, National Science Foundation, and Chair, FAEC, reported on the Grant Reform Working Group teleconference March 5. Key topics discussed included:

- January meeting with OMB, DOJ-OI discussed burden reduction of performance metrics and impact of A-133 on institution.

- Uniform Guidance will be called 2 CFR part 200, effective date for Federal Agencies is December 26, 2014 and non-federal agencies in fiscal year beginning on or after December 26, 2014.

UPDATES

FAEC

Dr. Baker reported the next FAEC meeting will be held on March 27 (1:00-3:00) at the U.S. Access Board in Washington, DC (near the U.S. Department of Treasury building). May 19... The FAEC Annual Training Conference is scheduled for September 3-4, 2014 at the U.S. Patent and Trade Office conference facility. Participants will earn up to 14-16 hours of CPE for the event at no cost.

IT Committee

Kathy Tighe, Department of Education, reported the IT Committee will meet March 26, and discussed maturity models to engage Department of Homeland Security and the Office of Management and Budget.

IT Legislation Working Group

Chris Gieseler, FDIC, reported on the following on behalf of the IT Legislation Working Group:

- No further action on S. 1953, the Oversight Workforce Improvement Act of 2014, which includes language similar to what the working group had earlier proposed to protect agency IT information from public disclosure.

GAO Coordination

Mr. Rymer reported that he has agreed to extend his service on the Yellow Book Advisory Council. He also reported that there would be a one-day session of the Green Book Advisory Council to review the public draft on May 21.

ANNOUNCEMENTS

Committee Changes and Administrative Matters

Mr. Rymer asked about accessibility issues with the Mark Center location. The members reported no concerns and it was agreed that the committee would continue meetings at this location.

* * * * *

The following members were present or represented at the CIGIE Audit Committee meeting on Tuesday, March 25, 2014:

Jon Rymer, IG, Department of Defense
Mary Mitchelson, IG, Corporation for Public Broadcasting

Curtis Crider, IG, Election Assistance Corporation
Darrell Ross, representing Greg Friedman, IG, Department of Energy
Rona Lawson representing Patrick O'Carroll, IG, Social Security Administration
Elliot Lewis, representing Scott Dahl, IG, Department of Labor
Michael Raponi, IG, Government Printing Office
Jeffrey Schanz, IG, Legal Services Corporation
Hubert Sparks, IG, Appalachian Regional Commission
Bob Taylor, representing Eric Thorson, IG, Department of Treasury
Kathy Tighe, IG, Department of Education
Scott Wilson, IG, Federal Trade Commission
Dr. Brett Baker, AIGA, National Science Foundation, and Chair, FAEC
Kurt Hyde, IG, Library of Congress
Fred Gibson, Acting IG, Federal Deposit Insurance Company

The following guests and observers were present:

Gil Harden, USDA
Leslee Bollea, FDIC
Kieu Rubb, Treasury
Beth Hoffman Leon, CIGIE Training Institute
Chris Gieseler, FDIC
Paul Hadjiyane, DoD
David Gross, DoD
Eric Jewett, DoD



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
May 29, 2014, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Mr. Jon Rymer, Inspector General (IG), Department of Defense (DoD), and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented:

Mr. Jon Rymer, IG, Department of Defense

Mr. Scott Dahl, IG, Department of Labor

Ms. Kathy Tighe, IG, Department of Education

Mr. Darrell Ross, representing Mr. Greg Friedman, IG, Department of Energy

Ms. Rona Lawson representing Mr. Patrick O'Carroll, IG, Social Security Administration

Mr. Fred Gibson, Acting IG, Federal Deposit Insurance Company

Mr. David Berry, IG, National Labor Relations Board

Dr. Brett Baker, Chair, Federal Audit Executive Council (FAEC), and AIGA, NSF

Mr. Jeffrey Schanz, IG, Legal Services Corporation

The following guests and observers were present:

Ms. Beth Hoffman Leon, Director, CIGIE Audit, Inspection and Evaluation Academy

Ms. Leslee Bollea, FDIC

Mr. Chris Gieseler, FDIC

Ms. Kieu Rubb, Treasury

Mr. David Gross, DoD

Mr. Paul Hadjiyane, DoD

Mr. Eric Jewett, DoD

Mr. Damian Nastri, DoD

OLD BUSINESS

Approval of Minutes from March Meeting

The Committee members unanimously approved the minutes from the March 29, 2014 meeting.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Rymer briefed the committee on the status of the U.S. Office of Personnel Management skills gap project, and the 0511 series auditor classification. It was explained that the focus is on

(b) (5)

The committee is awaiting the results from focus groups that were conducted by OPM earlier this year.

Peer Review Schedule

Mr. Gross reported on the status of the peer review schedule changes that were approved by the Audit Committee at the March 2014 meeting. Requests for changes were resolved by reassigning the evaluating agencies.

Peer Review Guide

Ms. Rubb reported on the status of the External Peer Review Guide update project. Ms. Rubb reported that the first exposure of the peer review guide draft drew (b) (5) comments from the OIGs. There were (b) (5). The second exposure of the revised draft guide and appendices was distributed to FAEC membership in 2 parts: the appendices in March and the Guide in May. It included draft guidance regarding requirements for modified reviews for OIGs that have not conducted audits in accordance with the Generally Accepted Government Auditing Standards (GAGAS). The modified peer review guidance would apply to OIG's policies and procedures for conducting GAGAS audit work and include OIGs monitoring the work of Independent Public Accountants (IPAs), if the OIG uses IPAs. Once the revised draft guide is coordinated with the FAEC membership, it will be presented to the Audit Committee, CIGIE Executive Council, and full CIGIE membership for approval.

The Committee also discussed (b) (5)

The Committee discussed space availability in the upcoming peer review training, and Mr. Rymer asked if we could send non-IG (e.g. departmental/component) auditors to the training, and directed Mr. Gross to coordinate with Ms. Carolyn Davis regarding non-IG auditor training.

FOIA

The Committee discussed recent FOIA request related to peer review exceptions, the process for responding to the requests through CIGIE and (b) (5)

Mr. Rymer (b) (5)

(b) (5)

Improper Payments

Ms. Lawson provided the following update on improper payments:

- OMB informed us that action is being planned based on the feedback received from the OIG community at the April 3rd Payment Accuracy Round Table (which was hosted by the Partnership for Public Service).
- The discussion centered around agencies' experiences implementing the improper payment legislation and OMB guidance, as well as discussion about where do we go from here, such as notifying an agency when another agency's payment is stopped under the Do Not Pay initiative.
- For example, (b) (5)
- On May 23rd, we met with OMB. OMB still has not issued guidance on IPERIA 2012 or a timetable of when this may happen.

Grant Reform Working Group Activities

Dr. Baker reported that the next Grant Reform Working Group teleconference was planned for May 30. Key topics for discussion include:

- Labor Effort Reporting Project (b) (5)
- Uniform Guidance (2 CFR part 200) draft is due out in June with compliance beginning in November/December timeframe.

UPDATES

FAEC

Dr. Baker reported the next FAEC meeting will be held on July 29 (1:00-3:00) and will be available on WebEx. HHS OIG will be providing a presentation on the Affordable Care Act. He also announced that the FAEC procurement fraud conference was scheduled for June 4, 2014.

IT Committee

Ms. Tighe reported the IT Committee (b) (5)

IT Legislation Working Group

Mr. Gieseler reported that the IT Legislation Working Group had not met since the last Audit Committee meeting. However, he did review a FOIA-related bill [HR 1211]—passed by the House and pending in the Senate—that would require agencies to reasonably foresee a specific identifiable harm before redacting information.

GAO Coordination

Mr. Rymer reported that there was a one-day session of the Green Book Advisory Council to review the public draft on May 21. Issues included use of “must” vs “should” in the standards. The committee members agreed that “should” denoted a best practice, and “must” denoted a requirement. The committee also discussed that internal controls be in the “must” column. Another exposure draft is expected out around July 2014.

NEW BUSINESS

Involvement of Federal Component Oversight Organizations

(b) (6) stated that, with Audit Committee approval, he would like to connect members of the Defense Council on Integrity and Efficiency (DCIE), DoD’s version of CIGIE for internal IGs, more to CIGIE, and have them attend events or meetings.

Coordination with Treasury OIG on DATA Act Requirements

Ms. Rubb stated that Treasury OIG is starting its planning of work related to the DATA Act and will to coordinate with the members of CIGIE Audit Committee and FAEC. Treasury is analyzing the legislation to determine its responsibilities under the Act and how to coordinate with OIGs whose agencies provide government spending data to be reported. Treasury will provide periodic updates to the Audit Committee and FAEC.

ADMINISTRATIVE

The next Audit Committee meeting will be held on July 22, 2014.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
July 22, 2014, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Mr. Jon Rymer, Inspector General (IG), Department of Defense (DoD), and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented:

Mr. Jon Rymer, Department of Defense
Mr. Bill Richardson for Mary Mitchelson, Corporation for Public Broadcasting
Mr. Elliott Lewis for Scott Dahl, Department of Labor
Ms. Kathy Tighe, Department of Education
Ms. Nomi Taslitt for John Sopko, SIGAR
Mr. Hubert Sparks, Appalachian Regional Commission
Ms. Marla Freedman for Eric Thorson, Department of the Treasury
Ms. Rona Lawson for Patrick O'Carroll, Social Security Administration
Ms. Leslee Bollea for Fred Gibson, Federal Deposit Insurance Company
Ms. Mary Harmison for Kelly Tshibaka, Federal Trade Commission
Dr. Brett Baker, Chair, Federal Audit Executive Council (FAEC), and AIGA, NSF

The following guests and observers were present:

Ms. Beth Hoffman Leon, Director, CIGIE Audit, Inspection and Evaluation Academy
Mr. Chris Gieseler, FDIC
Ms. Kieu Rubb, Treasury
Mr. Bob Taylor, Treasury
Mr. David Gross, DoD
Mr. Rich Sudder, DoD
Mr. Eric Jewett, DoD
Mr. Damian Natri, DoD
Ms. Meaghan Mahoney, DoD

OLD BUSINESS

Approval of Minutes from May Meeting

The Committee members unanimously approved the minutes from the May 29, 2014 meeting.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Rymer briefed the committee on the status of the U.S. Office of Personnel Management skills gap project, and the 0511 series auditor classification. He participated in a productive meeting with the director of OPM. The OPM focus groups confirmed many of the assumptions of the audit committee. The next step will be to form a working group.

Peer Review Schedule

Mr. Gross reported on the status of the peer review schedule changes that were approved by the Audit Committee at the May 2014 meeting. The updated schedule is posted on the IGnet website. Requests for changes were resolved by reassigning the evaluating agencies.

Peer Review Guide

Ms. Rubb reported on the status of the External Peer Review Guide update project. Ms. Rubb reported that she had added a message from the Audit Committee Chair and highlighted the changes made including addressing Privacy Act concerns. At the request of the members more changes will be made, to include proposed optional language to address modified peer reviews for OIGs whose work did not include GAGAS audits, and the Semi-Annual Report to Congress reporting requirement for modified peer reviews. The draft guide will then be distributed to Audit Committee members for an electronic vote.

Auditor Training

Ms. Leon announced the Academy's fiscal year 2015 training schedule, which includes the delivery of at least 28 training programs. The new schedule will be posted on IGNet at the end of August.

Improper Payments

Ms. Lawson reported that Pat O'Carroll, SSA IG, represented CIGIE on an improper payment panel at the Association of Government Accountant's (AGA) professional development training last week. Other members of the panel were from OMB and GAO.

Grant Reform Working Group Activities

Dr. Baker reported that the next Grant Reform Working Group teleconference was planned for August.

UPDATES

FAEC

Dr. Baker reported the next FAEC meeting will be held on July 29 (1:00-3:00) and will be available on WebEx. HHS OIG will be providing a presentation on the Affordable Care Act. He also announced that the FAEC Annual Conference was scheduled for September 3-4, 2014.

IT Committee

Ms. Tighe reported that DHS and OMB have requested CIGIE's input on a FISMA Maturity Model, and that she will be meeting with DHS and OMB Wednesday, July 30.

IT Legislation Working Group

Mr. Gieseler reported on joint comments by the IT Legislation Working Group and the FAEC IT subcommittee regarding the draft FISMA Modernization Act.

DATA Act

Ms. Freedman reported on the DATA Act that was passed into law in May 2014, and discussed the possibility of starting a FAEC DATA Act working group with Dr. Baker. The responsibilities and timelines for management and IGs were discussed, to include the requirement for IGs to conduct three reviews.

GAO Coordination

Mr. Rymer reported that a Green Book discussion draft was out for comment, and that the next meeting of the Green Book Advisory Council was scheduled for September 2014.

ADMINISTRATIVE

The next Audit Committee meeting will be held on September 23, 2014.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
September 23, 2014, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Mr. Jon Rymer, Inspector General (IG), Department of Defense (DoD), and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented:

Mr. Jon Rymer, Department of Defense
Ms. Mary Mitchelson, Corporation for Public Broadcasting
Mr. David Berry, National Labor Relations Board
Mr. Darryl Ross for Greg Friedman, Department of Energy
Ms. Rona Lawson for Patrick O'Carroll, Social Security Administration
Mr. Elliott Lewis for Scott Dahl, Department of Labor
Mr. Jeffrey Schanz, Legal Services Cooperation
Mr. Hubert Sparks, Appalachian Regional Commission
Mr. Bob Taylor for Eric Thorson, Department of the Treasury
Mr. Pat Howard for Kathy Tighe, Department of Education
Mr. Fred Gibson, Federal Deposit Insurance Company
Ms. Nomi Taslitt for John Sopko, SIGAR

The following guests and observers were present:

Mr. Gil Harden, USDA
Mr. Chris Gieseler, FDIC
Ms. Leslee Bollea, FDIC
Mr. David Gross, DoD
Mr. Paul Hadyane, DoD
Mr. Eric Jewett, DoD
Mr. Damian Nastri, DoD

OLD BUSINESS

Approval of Minutes from July Meeting

The Committee members unanimously approved the minutes from the July 22, 2014 meeting.

NEW BUSINESS

OIG Statutory Requirements

The draft Reporting Requirements for Offices of Inspectors General was provided by the Legislation Committee for Audit Committee review. The House Subcommittee on Financial & Contracting Oversight is currently drafting a bill on small agency oversight bill, and the Legislation Committee expects a second and third draft to be shared with them, and that CIGIE will have the opportunity to offer insight on the mandated recurring requirements component of the bill before it is introduced. The Legislation Committee (b) (5)

wants the Audit Committee to review the document and provide comments and recommend additions/deletions.

Mr. Rymer discussed a position paper on whether the Council of Inspectors General on Financial Oversight (CIGFO) needs an external peer review. The white paper presented (b) (5) options:

(b) (5)

After discussion, the Committee agreed that Option 1 was a reasonable approach.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Rymer briefed the committee that the next meeting with the U.S. Office of Personnel Management on the auditor skills gap project was scheduled for November 14.

Peer Review Guide

Mr. Rymer reported that the External Peer Review Guide was approved by the full membership at the CIGIE Meeting September 16, 2014 and is now fully in effect.

Improper Payments

Ms. Lawson reported on the updated draft guidance on improper payments from OMB that the audit committee sent to CIGIE members on August 4th is still going through the clearance process at OMB. Once it is final, the Audit Committee will notify CIGIE members. However, IGs will have until May 15, 2015 to issue their improper payment reports. This is a change from prior years, where there were only 120 days from their agency's PAR or AFR to issue report.

The updated guidance from OMB gives IGs 180 days, which is why the deadline will now be May 15, 2015.

On September 18, 2014, S. 1360, the Improper Payments Agency Cooperation Enhancement Act of 2014, or IPACE, as amended, passed the Senate by unanimous consent. The bill would permit the Social Security Administration to share the full file of death information with the Do Not Pay portal. The bill now moves to the House for its consideration.

Grant Reform Working Group Activities

Mr. Gross on behalf of Dr. Baker reported there is an OMB webinar on October 2 where OMB is going to run a panel that includes participants from various stakeholders, such as COFAR (CFO's), AICPA, state auditors, tribal organizations, and not for profits. They will discuss their perspectives on grant reform; challenges and opportunities during the implementation phase; and thoughts on the way ahead as the rules are finalized in December.

FAEC is looking at getting with OMB on clarifying roles and responsibilities of OIGs and agencies with respect to Single Audit.

- *OIGs are responsible for audit quality and agencies have program oversight.*
- *Single Audits provide visibility on how institutions are complying with federal requirements.*

UPDATES

FAEC

Mr. Gross on behalf of Dr. Baker that the 2014 FAEC Annual Conference was held on September 3-4 at the Patent and Trade Office auditorium. It was attended by approximately 300 audit professionals: 100 in person, 200 via LiveStream. Presentations included IG perspectives on oversight, the GAO Green Book, FISMA, HHS OIG joint audit/investigations, DoD Procurement Audits, and other auditor training and development topics, as well as the Audit Focus Group Results.

The conference will be available to view online through end of September, anyone wishing the view can contact Mr. Gross or Dr. Baker for the link

FAEC attendance has increased both through local participation and the use of remote access technology. Since May, almost 7500 CPEs were awarded without charge to participants' agencies to meet mandatory training needs of the Federal audit community, via the Annual Conference, the Procurement Audit Conference, and the CIGIE/GAO Financial Statement Audit Conference. The Annual Conference in September awarded over 2160 CPE.

The Procurement Audit Conference in June provided over 1850 CPE to about 300 auditors and the CIGIE/GAO Financial Statement Audit conference in May drew nearly 500 participants who received about 3000 CPE.

IT Committee

Mr. Taylor on behalf of Ms. Tighe reported that the Cloud Computing report will be issued September 30 and a Data Analytics report will also be issued September 30.

The IT committee is working on a maturity model for FISMA project.

A pilot project for FISMA management in will take place in 2015.

The next meeting of the IT Committee will be September 24.

IT Legislation Working Group

Mr. Gieseler reported that the IT Legislation Working Group had not met since the last Audit Committee meeting but that the FISMA Modernization Act, which the Working Group and FAEC had commented on, was placed on the Senate's calendar for consideration. He also reported that there had been no recent legislative activity regard two bills, S. 1953 (Oversight Workforce Improvement Act), which allows redaction of security vulnerabilities from OIG reports, and H.R. 1211, (the FOIA Act), which prohibits redactions unless the agency can foresee that disclosure would cause specific, identifiable harm.

DATA Act

Mr. Taylor updated the committee on the DATA Act that passed into law in May 2014. A working group modelled on FAEC will be formed to consult with GAO and coordinate on issues like addressing reporting date issues of the 3 audits mandated in the DATA Act.

Also, assets of the Recovery Operations Center (ROC) may be assumed by the Treasury Department and available to other OIGs through MOUs.

GAO Coordination

Mr. Rymer reported that GAO issued the Green Book on September 10, which will supersede 1999 version, and will be effective beginning with fiscal year 2016 and apply to the Federal Managers' Financial Integrity Act reports covering that year.

ADMINISTRATIVE

The committee agreed that the next Audit Committee meeting would be rescheduled for November 18, 2014, to avoid meeting the week of the Thanksgiving holiday.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
January 27, 2015, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Ms. Mary Mitchelson, Inspector General (IG), Corporation for Public and Vice-Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented including by phone:

Ms. Mary Mitchelson, Corporation for Public Broadcasting
Mr. Cutis Crider, Election Assistance Commission
Ms. Rona Lawson for Patrick O'Carroll, Social Security Administration
Mr. Michael Raponi, Government Printing Office
Mr. Jim Lisle for Eric Thorson, Department of the Treasury
Mr. Darryl Ross for Greg Friedman, Department of Energy
Mr. Elliott Lewis for Scott Dahl, Department of Labor
Mr. Jeffrey Schanz, Legal Services Cooperation
Mr. Hubert Sparks, Appalachian Regional Commission
Ms. Kathy Tighe, Department of Education
Mr. Fred Gibson, Federal Deposit Insurance Company
Ms. Nomi Taslitt for John Sopko, SIGAR
Dr. Brett Baker, FAEC Chair
Mr. Jason Malmstrom for Michael Horowitz, Ex Officio

The following guests and observers were present:

Mr. Chris Gieseler, FDIC
Mr. David Gross, DoD
Mr. Paul Hadyane, DoD
Mr. Eric Jewett, DoD
Mr. Damian Natri, DoD

OLD BUSINESS

Approval of Minutes from September Meeting

The Committee members unanimously approved the minutes from the September 23, 2014 meeting.

STATUS OF ONGOING INITIATIVES

Skills Gap Project

Mr. Gross briefed the committee that the final meeting with the U.S. Office of Personnel Management on the auditor skills gap project took place November 14. This meeting closed the project but the Audit Committee will continue to work with OPM in quarterly meeting that may address revisions to the 511 series, but no timetable or process details are available at this point. The committee will request (b) (5) [REDACTED].

Auditor Training

Ms. Leon reported that since the start of FY2015, the Academy has delivered 9 training programs, including two in San Francisco, and trained 280 participants. Upcoming training programs include Audit Peer Review, IG Authorities, and Critical Thinking Skills. With regard to Audit Peer Review training, we secured a larger venue (SIGTARP) to accommodate additional participants given the high level of demand for the upcoming class on February 5. We attribute the increased interest to the recently updated CIGIE Audit Peer Review Guide. The Academy may still need to deliver additional iterations of this training program given demand. Other upcoming audit-related training within the CIGIE community includes the 2015 CIGIE/GAO Financial Statement Audit Conference and the 2015 FAEC Procurement Conference scheduled for April 30 at the Patent and Trademark Office (PTO). The Academy also provided a copy of the advertisement for the fourth AI&E Academy training program manager position that CIGIE approved for 2015 as well as summary of the Academy's FY2014 activities and accomplishments.

Improper Payments

Ms. Lawson reported that OMB's final guidance on improper payments was issued October 20th and SSA OIG sent it to the CIGIE mailing list on October 21st. This consolidates and covers both Agency and OIG requirements under Executive Order 13520, the Improper Payments Elimination and Recovery Act of 2010 (IPERA), and the Improper Payments Elimination and Recovery Improvement Act of 2012 (IPERIA).

- Most of the responsibilities for Inspectors General are in Part II starting on page 39 of the OMB guidance.
- The OIG annual improper payment report is now due within 180 days of the agency's Performance and Accountability Report (PAR) or Agency Financial Report (AFR). This means the OIG report is due by May 15, 2015. (Prior OMB guidance gave OIGs 120 days.)

- Prior guidance (OMB Memo M-11-16 dated April 15, 2011) stated that IGs should evaluate the accuracy and completeness of agency reporting, and evaluate agency performance in reducing and recapturing improper payments.
- The October 20, 2014 guidance has "may" instead of "should"; so OIGs are no longer required to evaluate the accuracy and completeness of agency reporting and efforts to reduce or recapture improper payments.
- Final OMB guidance is in OMB Memorandum M-15-02, Appendix C to OMB Circular A-123, Requirements for Effective Estimation and Remediation of Improper Payments: <http://www.whitehouse.gov/sites/default/files/omb/memoranda/2015/m-15-02.pdf>.
- GAO did a review of the OIG IPERA reports for the 24 CFO Act agencies and provided its draft report to the 24 IGs on October 24th. GAO issued its final report on December 9th. (GAO-15-87R, Improper Payments: Inspector General Reporting of Agency Compliance under the Improper Payments Elimination and Recovery Act.)
- The GAO report summarized what the OIGs reported in their Fiscal Year 2013 reports, such as how many IGs found their agencies to be compliant with IPERA requirements.
- (b) (6), one of our main points of contact at OMB on improper payments, moved on to a new position at OMB and our new contact is (b) (6). OIGs with improper payment questions should still contact (b) (6) at SSA OIG and (b) (6) will coordinate with (b) (6), as needed.

DATA Act

Mr. Lisle provided the committee with an update on DATA Act related activities:

- The current status of Treasury OIG audits on Treasury's Responsibilities Related to USASpending.gov and Treasury's Implementation of the DATA Act.
- Highlights of the initial meeting of the FAEC DATA Act Working Group held on January 21, 2015 and an overview of plans for the next working group meeting scheduled for February 4, 2015.
- Plans for the FAEC DATA Act Working Group - GAO coordination meeting scheduled for February 3, 2015.

Grant Reform Working Group Activities

Dr. Baker discussed his participation in the October 2014 OMB Webinar on the status of the Uniform Guidance. He gave the Grant Reform Working Group's perspectives on Single Audit, Cost Accounting Standards, controls over computing devices, and effort reporting. He also noted the Uniform Guidance was finalized by OMB on December 26, 2014. As with all guidance, there may be technical corrections that will be needed as a result of the early implementation efforts by agencies.

The HHS and NSF OIG audits of the four pilot effort reporting systems are nearing completion with final reports expected by May. Hubert Sparks, Inspector General, ARC OIG, congratulated Brett Baker the Grant Reform Working Group on their efforts the past two years to ensure the Uniform Guidance retained necessary accountability provisions.

UPDATES

FAEC

Dr. Baker reported that the Federal Audit Executive Council (FAEC) held a bimonthly meeting on February 24 that discussed status of the HHS and NSF OIG effort reporting audits and DATA Act. Greg Wilshusen, Director, IT Security Issues for GAO, discussed IT audits underway at GAO and focus topics for the future. Rod Desmet, Corey Bidne, and Amy Donahoo from USDA OIG discussed the OIG community's joint review of Cloud Computing that included participation by 18 OIGs. The work identified best practices and opportunities to ensure access information.

The Single Audit Coordinator working group has updated the Desk Review Guide and Quality Control Guide to address revisions to Yellow Book. The Desk Review guide is used by OIG Single Audit oversight staff to ensure Single Audits are prepared in accordance with OMB requirements and professional auditing standards. The Quality Control Guide is used by OIG Single Audit oversight staff to conduct reviews of Single Audit reports and supporting working papers. Upon approval by the CIGIE Audit Committee, the revised guides will be posted to the IGNet.

IT Committee

Ms. Tighe reported that the IT committee continues to work on a draft maturity model for continuous monitoring for the OIG FISMA evaluation metrics. The next meeting of the IT Committee will be January 28, 2015.

IT Legislation Working Group

Mr. Gieseler reported that the IT Legislation Working Group had not met but noted that some of the comments that the Working Group had worked on jointly with the FAEC IT Committee regarding FISMA modernization legislation were addressed in the enacted version of that legislation (Public Law 113-282). Included with the meetings handouts was a paper analyzing which comments were addressed in the legislation and which comments were not addressed.

ADMINISTRATIVE

Ms. Mitchelson announced that the next Audit Committee meeting would be March 24, 2015; and, that based on issues raised at today's meeting, the following topics would be added to the agenda for discussion at the March meeting:

- Audit CPEs for Inspectors General;
- Appeal Process for Audit Peer Reviews;
- Independence Statements; and,
- Engagement of OPM on the use of a separate series for Performance Auditor, such as 0347 that is used by GAO.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
March 24, 2015, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Mr. Jon Rymer, Inspector General (IG), Department of Defense and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented including by phone:

Mr. Jon Rymer, Department of Defense
Mr. Pat Howard for Kathy Tighe, Department of Education
Ms. Mary Mitchelson, Corporation for Public Broadcasting
Mr. Curtis Crider, Election Assistance Commission
Mr. Steve Schaeffer for Patrick O'Carroll, Social Security Administration
Mr. Elliott Lewis for Scott Dahl, Department of Labor
Mr. Michael Raponi, Legal Services Corporation
Mr. Jeffrey Schanz, Legal Services Cooperation
Ms. Nomi Taslitt for John Sopko, SIGAR
Dr. Brett Baker, FAEC Chair
Ms. Beth Leon, CIGIE
Mr. Jason Malmstrom for Michael Horowitz, Ex Officio
Mr. Eric Keehan for Charles McCullough, Intelligence Community
Ms. Marla Freedman for Eric Thorson, Department of the Treasury
Mr. Darryl Ross for Greg Friedman, Department of Energy

The following guests and observers were present:

Mr. Bob Talor
Mr. James Lisle
Mr. Paul Hadjyane, DoD
Mr. Eric Jewett, DoD
Ms. Marcia Wallace, DoJ

OLD BUSINESS

Approval of Minutes from January Meeting

The Committee members unanimously approved the minutes from the January 27, 2014 meeting as amended.

STATUS OF ONGOING INITIATIVES

Auditor CPE Requirement for IGs

The committee discussed the CPE requirements for IGs (b) (5)

The Chair will report the committee's opinion to the executive council. The committee will explore how best to memorialize this decision so it is readily available to the community.

Independence Statement

The Committee discussed the need for IGs to sign an Independence Statement. The discussion was tabled so that a more detailed review of the requirements specified in the Peer Review Guide can take place.

External Audit Peer Review

The committee discussed the process for appealing a negative peer review rating and the role the Chair should take in mediating disagreements between the reviewing and reviewed agencies. The committee also discussed the role that the IG Empowerment Act (if enacted) would have on the role of CIGIE and the Audit Committee Chair in arbitrating disagreements between agencies.

The committee also discussed the deficiencies listed in the five Pass with Deficiencies reports issued over the last two years. The Chair will report on the deficiencies issue at the next CIGIE meeting and in the next committee newsletter.

Auditor Training

Ms. Beth Leon reported that from October through the end of March, the Academy will have delivered 20 training programs and trained more than 650 participants. The Academy delivered two Audit Peer Review training programs in February to accommodate the demand, including one at DOD OIG. The increase in demand is most likely attributed to the revised Audit Peer Review Guide issued in September. Although some Academy training programs in February (Critical Thinking Skills) and March (Writing Effective Reports) were impacted by weather (government delays and cancellations), delivery of the classes continued. Upcoming Academy training programs include an Audit Learning Forum on "Streamlining the Audit Report Writing Process" on March 31 and in April the Introductory Auditor and Coaching Effective Writing classes. Other audit-related training opportunities include the 2015 CIGIE/GAO Financial Statement Audit Conference on April 28 at the USDA Jefferson Auditorium (registration will

open via IGSNet on April 7) and the 2015 FAEC Procurement Audit Conference on April 30 at the Patent and Trademark Office (registration will open via IGSNet on April 9). Both conferences provide free CPEs for audit staff. Lastly, the CIGIE Training Institute sent out its FY2016 training projection survey to all OIGs. Agencies are also reminded to coordinate responses within their respective offices and respond to the survey by March 31.

Improper Payments

Mr. Steve Schaeffer reported for Ms. Rona Lawson that on March 4th, the Senate Homeland Security and Governmental Affairs Committee approved the Federal Improper Payments Coordination Act of 2015 (S. 614) to provide access to and use of information by Federal agencies to reduce improper payments. If there is any further action on the bill, we will update CIGIE members.

- Although it's uncertain if this will be signed into law, the current version of the bill includes language that impacts CIGIE.
- Specifically, the bill states that no later than 6 months after the date of enactment, and in consultation with CIGIE and others, OMB shall issue guidance regarding implementation of the Do Not Pay Initiative.

On March 4th, we provided comments to OMB on its draft of Circular A-136 which relates to how agencies are to report improper payments information in its Performance and Accountability Report or Agency Financial Report (PAR/AFR). Thanks to the following OIGs for providing feedback: (b) (5)

Two reminders;

1. The OIG annual improper payment report is due May 15, 2015. On February 12th, we sent out the email address information for submitting the reports to OMB, Senate Homeland Security and Governmental Affairs Committee, House Committee on Oversight and Government Reform, and the Comptroller General.
2. OIGs with improper payment questions should still contact (b) (6) at SSA OIG and (b) (6) will coordinate with OMB or others as needed.

DATA Act

Ms. Marla Freeman discussed the DATA Act's IG reporting anomaly noting that the law is written to require IGs to report on their review of agency submissions by November 2016 but the agencies are not required to begin reporting under the act until May 2017. Ms. Freedman stated that the IG community must address this anomaly. She proposed that the FAEC DATA Act Working Group be given the authority to communicate with stakeholders, including Congressional Staff and the Government Accountability Office (GAO), to coordinate a solution. The solution would be submitted to CIGIE for approval and formal communication to stakeholders. This proposal was agreed to by the Audit Committee.

Ms. Freedman went on to discuss the Working Group's activities. She reported that the Working Group currently has 44 participants representing 18 OIGs and has agreed to the following mission statement:

“Assist the IG Community in understanding and meeting its DATA Act oversight requirements by (1) serving as a working level liaison with Treasury, (2) consulting with the Government Accountability Office, (3) developing a common review approach and methodology, and (4) coordinating key communications with other stakeholders.”

In addition, the Working Group proposed the creation of the following sub-groups to work on critical topics:

- Governance Structure
- Stakeholder Outreach
- Technical Approach
- Data Standards
- Common Methodology
- Data Analysis

The Working Group initiated a series of coordination meetings with GAO. Two meetings have been held to-date with a third scheduled for April 1, 2015.

Treasury OIG continued their work on Treasury's DATA Act implementation activities and issued an engagement memorandum to initiate the second in a series of DATA Act audits that will focus on the status of Treasury's implementation activities as of May 31, 2015.

Grant Reform Working Group Activities

Dr. Brett Baker reported that the Audit Committee approved the issuance of the updated CIGIE Single Audit Desk Review and Quality Control Review Guides. The Desk Review Guide is used by OIG Single Audit staff to ensure the presentation of the Single Audit report includes all of the elements required by OMB, GAGAS, and AICPA standards. The Quality Control Review Guide is used by OIG Single Audit staff as part of a QCR to look at the audit report and supporting working papers to determine compliance with OMB, GAGAS, and AICPA standards. The guides will be posted on the IGNet public website.

UPDATES

FAEC

Dr. Brett Baker reported that the Teammate Federal Users Group held its annual forum on March 25-26 at the Department of Education auditorium. The Audit Committee has established a governance structure for the Federal Users Group to fall within the Federal Audit Executive Council. This will help promote CIGIE objectives for electronic working papers with software vendors.

The next bimonthly meeting of FAEC will be at US Access Board on April 20 at 1:00. Treasury OIG will present the efforts of the DATA Act Working Group and USAID OIG will present the results of its recent audit of Haiti New Settlement Construction.

IT Committee

Mr. Pat Howard, on behalf of Ms. Kathy Tighe, reported that the IT Committee had release a draft maturity model for continuous monitoring for the OIG FISMA evaluation metrics for review by the FAEC IT Committee.

CFO Council Update

DoD IG staff on behalf of David Berry reported that:

- Beth Cobert, Deputy Direct for Management, OMB, gave a briefing on FEDStat and Benchmarking 2.0.
- Tony Scott was introduced as the new CIO.
- The council announced its priorities as follows: CFOC budget, broader use of data to support management, burden reduction & human capital.

ADMINISTRATIVE

Mr. Rymer announced that the next Audit Committee meeting would be May 26, 2015.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
May 26, 2015, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Mr. Jon Rymer, Inspector General (IG), Department of Defense and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented, in person or by phone:

Members or their representatives:

Mr. Jon Rymer, Department of Defense
Ms. Mary Mitchelson, Corporation for Public Broadcasting
Mr. David Berry, National Labor Relations Board
Mr. Jeffrey Schanz, Legal Services Cooperation
Mr. Hubert Sparks, Appalachian Regional Commission
Ms. Kathy Tighe, Department of Education
Mr. Darryl Ross for Greg Friedman, Department of Energy
Ms. Rona Lawson for Patrick O'Carroll, Social Security Administration
Mr. Elliott Lewis for Scott Dahl, Department of Labor
Ms. Marla Freedman for Eric Thorson, Department of the Treasury
Ms. Nomi Taslitt for John Sopko, SIGAR
Mr. Eric Keehan for Charles McCullough, Intelligence Community
Mr. Chris Gieseler for Mr. Fred Gibson, Federal Deposit Insurance Corporation
Dr. Brett Baker, FAEC Chair

Presenters:

Ms. Beth Leon, CIGIE
Ms. April Davis, OPM

The following guests and observers were present:

Mr. Jason Malmstrom for Michael Horowitz, CIGIE Chair, Ex Officio
Mr. Bob Taylor, Treasury
Mr. James Lisle, Treasury
Mr. Paul Hadjiyane, DoD

Mr. David Gross, DoD
Mr. Eric Jewett, DoD
Mr. Rick Vasquez, DoD

OLD BUSINESS

Approval of Minutes from March Meeting

The Committee members unanimously approved the minutes from the March 24, 2014 meeting as amended.

Mr. Jon Rymer announced he had been elected as Audit Committee Chair for another cycle and that Ms. Mary Mitchelson would remain as Vice-Chair.

NEW BUSINESS

Auditor Skills Gap

Ms. April Davis of OPM updated the committee on the status of OPM's study of the 0511 auditor series. Ms. Davis recapped the September focus group result. OPM will review the series to simplify distinctions between performance and financial audit work. OPM will continue to work closely with the Audit Committee and subject matter experts in human resources and auditing. The next step will be to form working groups and set key milestones for the study, which may result in a revision of the 0511 series and/or the development of a separate series.

(b) (5) Project

Mr. David Gross updated the committee on the status of the (b) (5)

The committee approved the project proposal to go to the full CIGIE membership for consideration.

STATUS OF ONGOING INITIATIVES

Auditor Training

Ms. Beth Leon reported that the Academy has delivered about 25 training programs to nearly 800 participants. During June, the Academy will deliver the Understanding AI&E Standards, Introductory Auditor, Writing Fundamentals, and Suspension and Debarment training programs as well as two OIG-dedicated iterations of the Coaching Effective Writing training program. This is just a friendly reminder regarding upcoming Peer Review training (on August 6 at NSF) for those OIGs involved in receiving audit peer reviews or conducting them during the cycle that begins in September. The Academy is currently developing its FY2016 training schedule, which will be posted in late August.

Improper Payments

Ms. Rona Lawson reported that OMB is reaching out to some agencies to create working groups to seek input on addressing improper payments. Mr. Elliott Lewis added that the group would include audit and investigations sub groups.

DATA Act

Ms. Marla Freeman reported that the FAEC DATA Act Working Group has 44 participants from 19 OIG's. The Working Group established a sub-group structure to include Governance / Stakeholder Outreach, Technical Approach, Data Standards, Common Methodology and Data Analysis sub-groups to facilitate work on these important topics. The sub-groups have met, engaged Treasury personnel, and consulted with GAO data experts to gather information needed to formulate sub-group action plans. Through these activities, the Working Group has been closely monitoring the DATA Act implementation paying particular attention to the guidance on data standards issued by OMB and Treasury on May 8, 2015. The Working Group continues to actively coordinate and consult with GAO. Recent meetings with GAO have included remarks from Comptroller General Gene Dodaro, implementation updates from OMB and Treasury executives, and discussions of GAO audit strategy and the IG reporting date anomaly (that is, the first mandate IG reports on the completeness and quality of spending data submitted by their respective agencies are due under the DATA Act before agencies are required to submit the spending data). The Working Group's Governance Committee plans to meet on May 28, 2015 to further develop an approach to the anomalies in required reporting dates. The next GAO coordination meeting is scheduled for June 9, 2015.

Also on the DATA Act front, Treasury OIG recently issued the first in a series of audit reports on Treasury's Government-wide implementation efforts entitled *Treasury is Making Progress in Implementing the DATA Act But Needs Stronger Project Management* (OIG-15-034).

Grant Reform Working Group Activities

Dr. Baker reported that the Single Audit Desk Review and Quality Control Review guides have been posted to the IGMET in both pdf and Word versions. While important to OIG oversight of Single Audit Act work, grant recipients and the Single Audit auditors also use these guides to ensure compliance with Federal requirements.

UPDATES

FAEC

Dr. Brett Baker reported the next meeting would be June 30. The annual conference will be September 9-10 at the NSF building. Dr. Baker also mentioned he is meeting with the Federal User's Group (FUG) chairs to discuss it falling under FAEC for governance purposes. The FUG will become a FAEC committee. This will provide the necessary coordination and communication of key electronic audit working paper solutions.

IT Committee

Ms. Kathy Tighe, reported that the next meeting would be May 27. The committee is rolling out the maturity model metrics for continuous monitoring for the OIG FISMA reviews. The IT committee is considering 2 cross-cutting projects, (b) (5)

CFO Council Update

Mr. Dave Berry announced he is stepping down as the CFO Council liaison. The Council is discussing DATA Act implementation, including OMB circular A-123, travel and conference reporting requirements.

ADMINISTRATIVE

Mr. Rymer announced that the next Audit Committee meeting would be July 28, 2015.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
July 28, 2015, at 2:00 pm

LOCATION: Department of Defense
Office of Inspector General
4800 Mark Center Drive, IG Conference Room
Alexandria, VA 22350

Mr. Jon Rymer, Inspector General (IG), Department of Defense and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented, in person or by phone:

Members or their representatives:

Mr. Jon Rymer, Department of Defense
Ms. Mary Mitchelson, Corporation for Public Broadcasting
Mr. Jeffrey Schanz, Legal Services Cooperation
Ms. Kathy Tighe, Department of Education
Mr. Darryl Ross for Greg Friedman, Department of Energy
Ms. Rona Lawson for Patrick O'Carroll (by phone), Social Security Administration
Mr. Larry Turner for Scott Dahl, Department of Labor
Ms. Marla Freedman for Eric Thorson, Department of the Treasury
Mr. Eric Keehan for Charles McCullough, Intelligence Community
Mr. Curtis Crider, Election Assistance Commission
Ms. Cathy Helm (by phone), Smithsonian Institution
Mr. Chris Gieseler for Mr. Fred Gibson (by phone), Federal Deposit Insurance Corporation
Dr. Brett Baker, FAEC Chair

Presenters:

Ms. Kelly Prillaman for Beth Leon, CIGIE

The following guests and observers were present:

Mr. Jason Malmstrom for Michael Horowitz, CIGIE Chair, Ex Officio
Mr. Bob Taylor, Treasury
Mr. James Lisle, Treasury
Mr. Richard Sudder, DoD
Mr. David Gross, DoD
Mr. Eric Jewett, DoD
Mr. Rick Vasquez, DoD

Ms. Carol Gorman, DoD
Mr. John Gill, CIGIE

OLD BUSINESS

Approval of Minutes from May Meeting

The Committee members unanimously approved the minutes from the May 26, 2014 meeting as amended.

CPE Requirements for Inspectors General

Mr. David Gross reported on comments received on the committee's decision on the CPE requirements for Inspectors General from the May committee meeting. The committee decided

(b) (5)

(b) (5) Project

Mr. Gross updated the committee on the status of the (b) (5)

and the fact that it was being deferred to FY16.

STATUS OF ONGOING INITIATIVES

Auditor Training

Ms. Kelly Prillaman, on behalf of Beth Leon reported that the Academy has delivered 27 scheduled training programs, 5 OIG-dedicated training programs, and 3 learning forums to a total of 1,041 participants from across the CIGIE community. All scheduled classes have been delivered in the Washington, D.C. area except for two conducted in San Francisco.

The Academy distributes evaluation forms to all participants enrolled in its classes. The feedback obtained from the participants supports the continuous improvement of Academy training. The participant evaluations follow the Kirkpatrick Model and currently include Level Two learning under this model. Participant evaluations also solicit information directly linked to the Training Institute's annual performance measure that the percentage of positively scored evaluations of CIGIE-sponsored training sessions from the participants and their supervisors be at least 90% per year. In addition to the feedback collected from participant evaluations, the Academy receives feedback on its training programs from the instructors, program managers, and other subject matter experts that are associated with a specific training program.

The Academy will deliver the following classes in the Washington, D.C. area through the end of September: *Audit Peer Review*, *Coaching Effective Writing*, *Critical Thinking Skills*, *IG Authorities*, *Introductory Auditor*, and *Writing Fundamentals*.

The Academy will also conduct a pilot for its new *I&E Fundamentals* training program on September 21-25.

The Academy has drafted its FY'16 training schedule based on the projections received from the CIGIE community-- input from 35 OIGs indicating the need to train an estimated 2,030 staff. The most requested training programs included *Critical Thinking Skills, Writing Effective Reports, Coaching Effective Writing, and Introductory Auditor*. The Academy has scheduled nearly 40 training programs for fiscal year 2016; including classes in Atlanta, Cleveland, Indianapolis, and Philadelphia (see draft FY2016 training schedule). The final schedule will be posted on IGNet in mid-August. Once posted OIG training officers can register participants for FY2016 Academy training programs.

Improper Payments

Ms. Rona Lawson reported that OMB plans to set up a Training/Town Hall type of event with the OIG community, specifically those who are involved with IPERA compliance reports/reviews [the event was subsequently scheduled for October 13th from 9:30 to 11:00 at the ITC Building, 500 E Street SW]. OMB's plan is to run through requirements, best practices, and help with guidance interpretation. OMB plans to allow OIGs to submit questions in advance that might be relevant to the entire community. OMB asked SSA OIG to coordinate the meeting and intend to hold it in early October.

Also, OMB has established a work group to address Fraud, Identity Theft and Improper Payments. The work group had its first meeting on June 3rd and sub-groups were established for both audit and investigations. Elliot Lewis, Department of Labor OIG, led the first meeting of the audit subgroup last week. Action items from the first meeting included;

1. Whether other agencies' OIGs should be part of the work group, and
2. Data sets that could be shared across agencies/departments to identify fraud and/or improper payments.

An example was discussed where SSA and DoL shared FECA data in a joint project to identify SSA overpayments to beneficiaries getting payments from both programs.

DATA Act

Ms. Marla Freeman reported that the FAEC DATA Act Working Group reported that as of July 10, 2015, Treasury and OMB has issued 27 final data standards and planned to finalize the remaining 30 data standards by late summer. Treasury and OMB also hosted a series of workshops to educate agencies on the DATA Act implementation process, including a workshop for OIGs and GAO held on July 21, 2015. A key upcoming requirement by agencies is to provide OMB by mid-September 2015 with DATA Act Implementation Plans along with the agencies' 2017 budget submissions. It is anticipated that the Implementation Plans will be a key document for OIGs planning to perform DATA Act "Readiness Reviews" of their respective agencies. The Working Group briefed Senate and House Congressional staff about the IG date anomaly in the Act (briefly, the first IG reports on the reliability of agencies' spending data submissions are due in November 2016, 6 months before agencies are required to submitted spending data) and our proposed (b) (5)

(b) (5)

The staff asked that the Working Group survey the IG community to gauge the extent readiness reviews were being considered. We distributed the survey to all OIGs and as of July 23, 2015, (b) (5) OIGs have responded. Of these, (b) (5) plan to

(b) (5)

The Working Group also briefed the CIGIE Chair on our meetings with the Congressional staff. Mr. Horowitz asked that the Audit Committee bring up the importance of the Readiness Reviews at the next CIGIE Executive Council meeting. The Working Group has begun drafting a letter to be signed by Mr. Horowitz and the Comptroller General memorializing our strategy for dealing with the IG reporting date anomaly and communicating it to Congress. Once we have buy-in from the working group and GAO, we plan to provide the draft letter to the Audit Committee to present to CIGIE.

Ms. Freedman also announced that Treasury OIG was scheduled to testify on July 29, 2015, on Treasury's DATA Act Implementation efforts before the House Oversight and Government Reform's Subcommittees on Government Oversight and on Information Technology. The other witnesses will be the Comptroller General, the OMB Controller, and the Treasury Fiscal Assistant Secretary.

Grant Reform Working Group Activities

Dr. Brett Baker reported that OMB is updating the Data Collection Form for Single Audit that are submitted to the Federal Audit Clearinghouse annually. Data Collection forms capture summary information on Single Audit including;

- Findings (Y or N)
- Material Weaknesses, Significant Deficiencies, Reportable Conditions
- Internal control and going concern findings

It does not include recommendations or questioned costs. In 2013 the GRWG recommended to OMB adding recommendations and quality controls. OMB is now adding those features for the FY 2016 Data Collection Form. Recommendations are a key piece of information, particularly as agencies are building new systems to track audit resolution, which are based on the recommendations. If recommendations are added the data collection form agencies will easily be able to identify what needs to be corrected and will be able to hold grantees accountable for corrective actions.

UPDATES

FAEC

Dr. Baker reported that the FAEC Annual Conference is September 9-10 at the National Science Foundation located at 4201 Wilson Blvd, Arlington, VA which is near the Ballston-Marymount University metro stop on the Orange Line.

On June 19, several Inspectors General, DIGs, and AIGAs met with OMB, Treasury, and Partnership for Public Service to discuss the draft revision to A-123 and note an upcoming effort to develop an Orange Book which is an implementation guide to A-123. Key revisions to the guidance include:

- integration with the new GAO Green Book
- greater emphasis on risk management and data analytics

- strengthen internal controls in government

On June 24, the A-123 Revision FAEC Working Group (led by Brett Baker) submitted the OIG community's comments on OMB proposed revisions to its Circular A-123, *Management's Responsibility for Risk Management and Internal Control*. OMB will likely make changes and have another round of comments. On July 27, Mike Wetklow (OMB) held a conference call with several participants from the June 19 A-123 Revision Workshop. Brett Baker (NSF AIGA), Ros Mazer (FTC IG), Bob Westbrook (PBGC IG), Elliot Lewis (DOL AIGA), and Lori Pilcher (HHS OIG Program Director) discussed possible options for the OIG community's involvement including:

- Should this be written into the A-123 and Orange Book
- Technical advice and comments on iterations which is similar to what has been done with the Grant Reform Working Group
- Collaboration on risks to agency

IT Committee

Ms. Kathy Tighe reported that the IT Committee met last week and included an HHS OIG presentation on Cloud Computing that she would send out information on HHS OIG's efforts to the IG community. Other items discussed included:

- (b) (5)
- (b) (5)
- (b) (5)

Ms. Tighe also discussed the 30-day IT Cybersecurity Sprint and (b) (5) work. Mr. Rymer mentioned that (b) (5).

ADMINISTRATIVE

Mr. Rymer announced that we are still searching for an IG to serve as the CFO Council Liaison for CIGIE and the Audit Committee, and that the next Audit Committee meeting would be September 22, 2015.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
September 22, 2015, at 2:00 pm

LOCATION: Via Conference Call

Mr. Jon Rymer, Inspector General (IG), Department of Defense and Chair, CIGIE Audit Committee, called the meeting to order.

The following members were present or represented, by phone:

Members or their representatives:

Mr. Jon Rymer, Department of Defense
Ms. Mary Mitchelson, Corporation for Public Broadcasting
Mr. Darryl Ross for Greg Friedman, Department of Energy
Ms. Rona Lawson for Patrick O'Carroll, Social Security Administration
Mr. Scott Dahl, Department of Labor
Mr. Michael Raponi, Government Printing Office
Mr. Jeffrey Schanz, Legal Services Cooperation
Ms. Marla Freedman for Eric Thorson, Department of the Treasury
Ms. Kathy Tighe, Department of Education
Mr. Chris Gieseler for Mr. Fred Gibson, Federal Deposit Insurance Corporation
Ms. Nomi Taslitt for John Sopko, SIGAR
Mr. Eric Keehan for Charles McCullough, Intelligence Community
Mr. John Hatfield, Federal Maritime Commission
Dr. Brett Baker, FAEC Chair

Presenters:

Ms. Beth Leon, CIGIE

The following guests and observers were present:

Mr. Jason Malmstrom for Michael Horowitz, CIGIE Chair, Ex Officio
Ms. Vicki McAdams, Naval Audit Service
Mr. Bob Taylor, Treasury
Mr. Jim Lisle, Treasury
Mr. Elliot Lewis, Department of Labor
Ms. Mary Harmison, Federal Trade Commission
Mr. David Gross, DoD

OLD BUSINESS

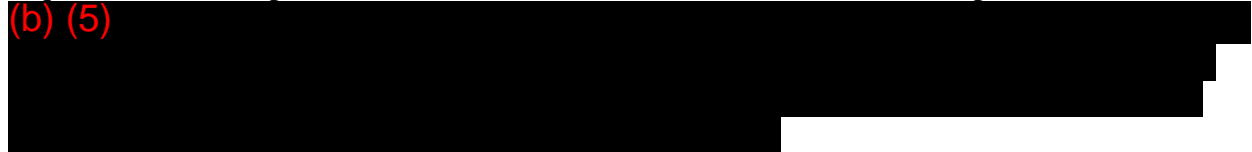
Approval of Minutes from July Meeting

The Committee members unanimously approved the minutes from the July 2015 meeting.

CPE Requirements for Inspectors General

Mr. David Gross reported on the updated version of the committee's position on CPE requirements for Inspectors General based on comments received from the previous version. A

(b) (5)



OPM Study of Auditor 0511 Series

Mr. Gross updated the committee on the status of the pending OPM study of the 0511 series, indicating that we were still awaiting the official announcement memo from OPM to agency Chief Human Capital Officers (CHCOs). Once that memo is distributed by OPM, Mr. Gross will forward it to CIGIE membership and encourage them to share it with their OIG CHCOs.

STATUS OF ONGOING INITIATIVES

Auditor Training

The AI&E Academy will provide a full report of its Fiscal Year 2015 activities at the November meeting because it is still running classes through the end of September. However, by the end of the fiscal year, the Academy estimates that it will have delivered more than 40 training programs, including 3 different learning forums, to nearly 1,300 participants. The Academy's Fiscal Year 2016 training schedule is posted on IGNet and some of the classes being delivered in the early part of the year are already full with wait lists including the October Critical Thinking Skills and Introductory Auditor training programs. The Academy will deliver 4 training programs in field locations during the coming year including Atlanta, Cleveland, Indianapolis, and Philadelphia. Committee members were reminded to work with their staff and CIGIE training officers to register staff interested in attending fiscal year 2016 training programs as soon as possible.

Improper Payments

Ms. Rona Lawson reported that OMB has scheduled a town hall meeting for IGs on IPERA compliance reports. The meeting will be held at the ITC Building, 500 E Street SW (Main Hearing Room) or call 202-395-6392 Code: 4448326, on Tuesday, October 13, 2015, from

9:30 AM to 11:00 AM. OMB is inviting OIG employees responsible for preparing and contributing to their agency's FY 2015 IPERA Compliance Report. The purpose of this town hall is to walk the individuals responsible for preparing the IPERA compliance reports through the requirements and share best practices observed throughout the Government.

OMB asked that anyone attending please email (b) (6) (b) (6)@omb.eop.gov) the following information by COB Monday October 5th:

- The names and email addresses of the individuals who will be attending this meeting. (This is so that their names can be submitted for building admittance. Admittance also requires a Federal ID). Note that OMB welcomes you to forward this invitation to others in your organization so that they can attend but MUST have the names of the representative(s) from your agency who will be attending to guarantee their entry into the building.
- Also please send up to two questions about IPERA Compliance Reports that you would like OMB to answer during the event. (Note that there may or may not be time for open questions at the end of the event so submitting your question ahead of time will be the best way to ensure that your question is addressed as OMB will prioritize those first).

DATA Act

Ms. Marla Freedman reported that as of the end of August 2015, Treasury and OMB had released the planned set of data standards. DATA Act Implementation Plans were due to OMB in mid-September 2015. The Working Group has heard anecdotally that some agencies are concerned that because the data standards were only recently finalized and the schema for pulling agency spending data into USASpending.gov is still in draft, their ability to get a start on DATA Act implementation activities have been hindered.

The Working Group continued to coordinate with GAO and drafted a letter to Congress to be co-signed by the CIGIE Chair and the Comptroller General memorializing a strategy for dealing with the IG reporting date anomaly (the date anomaly relates to the first IG report on agency spending data being mandated 6 months before agencies must submit spending data). The Working Group also surveyed OIGs through FAEC and the CIGIE Audit Committee to gauge the extent to which OIGs are planning to conduct DATA Act “readiness” reviews of their respective agencies. In this regard, the Working Group is developing a common methodology for a readiness review, and expects the methodology to be available to the IG Community by late Fall 2015.

Grant Reform Working Group Activities

Dr. Brett Baker reported that two audits of the pilot labor effort reporting systems have been completed and that the remaining two should be completed shortly. When all reports have been issued in final, NSF OIG and HHS OIG plan to brief OMB on the results and to issue a capping report.

UPDATES

FAEC

Dr. Baker reported that the FAEC Annual Conference was September 9-10 at the National Science Foundation. The sessions provided high quality professional development to over 250 OIG participants totaling 2500 free CPEs. In addition, the FAEC IT Committee coordinated with

the CIGIE IT Committee to provide comments to OMB on draft revisions to FISMA. The next FAEC bimonthly meeting will be scheduled for December 2, 2015 (1:00-3:00) at NSF, and the training component will cover updates to the Uniform Grant Guidelines and common issues in contract auditing.

IT Committee

Ms. Kathy Tighe reported that OMB had indicated that their proposed reporting deadline for the FY15 FISMA metrics of October 30 was going back to November 13. Ms. Tighe also discussed the August 2015 draft OMB guidance on contractor information security. The IT Committee proposed (b) (5). The next IT Committee meeting is November 18.

ADMINISTRATIVE

Mr. Rymer announced that we are still searching for an IG to serve as the CFO Council Liaison for CIGIE and the Audit Committee, and that the next Audit Committee meeting would be November 24, 2015.



Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

REPORT OF MEETING: CIGIE Audit Committee
November 24, 2015, at 2:00 pm

LOCATION: Department of Defense Office of Inspector General
4800 Mark Center Drive
Alexandria, VA

Mr. Jon Rymer, Inspector General (IG), Department of Defense and Chair, CIGIE Audit Committee, called the meeting to order.

The following members, surrogates and staff were present or attended via teleconference:

Members or their representatives:

Mr. Jon Rymer, Department of Defense
Mr. Darryl Ross for Greg Friedman, Department of Energy
Ms. Rona Lawson for Patrick O'Carroll, Social Security Administration
Mr. Scott Dahl, Department of Labor
Mr. Hubert Sparks, Appalachian Regional Commission
Ms. Marla Freedman for Eric Thorson, Department of the Treasury
Mr. Pat Howard for Kathy Tighe, Department of Education
Mr. Chris Gieseler for Mr. Fred Gibson, Federal Deposit Insurance Corporation
Ms. Nomi Taslitt for John Sopko, SIGAR (by phone)
Mr. Eric Keehan for Charles McCullough, Intelligence Community
Mr. John Hatfield, Federal Maritime Commission (by phone)
Ms. Mary Harmison for Kelly Tshibaka, Federal Trade Commission
Mr. Ricky Hass, Department of Energy (by phone)
Ms. Cathy Helm, Smithsonian Institution (by phone)
Mr. Mark Hayes for Michael Horowitz, CIGIE Chair, Ex Officio
Dr. Brett Baker, FAEC Chair

Presenters:

Ms. Beth Leon, CIGIE

The following guests and observers were present:

Ms. Vicki McAdams, Naval Audit Service
Mr. JT Littlejohn, Naval Audit Service
Mr. Bob Taylor, Treasury
Mr. Jim Lisle, Treasury

Mr. Elliot Lewis, Department of Labor
Mr. David Gross, DoD
Mr. Paul Hadjiyane, DoD OGC
Mr. Eric Jewett, DoD

OLD BUSINESS

Approval of Minutes from September Meeting

The Committee members unanimously approved the minutes from the September 2015 meeting.

CPE Requirements for Inspectors General

The committee discussed concerns expressed by some Inspectors General regarding the

(b) (5)

OPM Study of Auditor 0511 Series

Mr. Gross updated the committee on the status of the pending OPM study of the 0511 series, reporting that OPM received 65 responses to the memo announcing the study of the 0511 series and seeking volunteers to participate in the study. (b) (6) recommended that

(b) (5)

STATUS OF ONGOING INITIATIVES

Auditor Training

Ms. Beth Leon, reported that the AI&E Academy successfully delivered all of its 8 scheduled training programs for the first quarter of fiscal year 2016 to 209 participants across the CIGIE community, including staff in Philadelphia and Cleveland. Upcoming January classes include Critical Thinking Skills, I&E Fundamentals, and Coaching Effective Writing. Other planned training events include an I&E learning forum and a curriculum development conference for an intermediate-level auditor training program. The complete schedule of Academy classes is posted on IGSNet and your OIG training officers can register participants for any of the training programs scheduled for the remainder of the fiscal year. To obtain more detailed information on all CIGIE training programs, please go to <https://www.ignet.gov/content/cigie-training-institute>.

Improper Payments

Ms. Rona Lawson reported that in September, GAO initiated a review of OIG Reporting of Agency Compliance under the Improper Payments Elimination and Recovery Act of 2010 (IPERA). This GAO review was initiated based on request from Senate Homeland Security and Government Affairs Committee and the House Oversight and Government Reform Committee. GAO is looking at CFO Act agency OIG IPERA reports for Fiscal Years 2011 through 2014 to answer several questions:

- To what extent did agencies comply with IPERA, as reported in the OIG reports?
- To what extent have CFO Act agency IGs met OMB requirements for FY 2014 improper payment compliance reviews?

GAO's entrance conference for all 24 CFO Act IGs was in early October. In October, OMB held a town hall meeting for the OIG community on our responsibilities under IPERA. Representatives from most, if not all, of the OIGs took part at either the in person meeting on October 13th or the teleconference on October 21st.

DATA Act

Ms. Marla Freedman reported that the FAEC DATA Act Working Group reported that agency DATA Act Implementation Plans were due to OMB on September 17, 2015, but not all Federal agencies submitted plans. There is a concern that (b) (5)

The Working Group continues to coordinate with GAO. The Working Group is also working with CIGIE through the Audit Committee to finalize a letter memorializing the strategy for dealing with the IG reporting date anomaly and communicating it to Congress. The strategy is to (b) (5)

The Working Group developed a common methodology for a DATA Act agency readiness review, which was distributed to the FAEC membership on December 4, 2015.

The Working Group reported on the survey performed to gauge the extent that the IG community was considering performing DATA Act readiness reviews. As of November 20, 2015, (b) (5) have responded. Of these, (b) (5) have started a Readiness Review; (b) (5) plan to perform a Readiness Review at some point in the future; (b) (5) reported no plans to conduct a Readiness Review (of these (b) (5) stated that the DATA Act does not apply to their agency); and (b) (5) have not yet determined whether they will perform a Readiness Review. The Working Group asked for guidance regarding the sharing of survey results with interested DATA Act stakeholders (i.e., Treasury/OMB, GAO, and/or the Hill). It was determined that CIGIE should be consulted on this question.

Grant Reform Working Group Activities

Dr. Brett Baker reported that a possible project the work group is considering looking at the Single Audit oversight role for OIGs. The new Uniform Guidance clarifies roles and responsibilities for OIGs and agencies and the working group would look at best practices for OIGs.

UPDATES

FAEC

Dr. Baker reported that a FAEC workgroup representing 28 OIGs provided comments to the draft revision of OMB Circular A-123. Key comments included greater attention to roles and responsibilities, key documentation and support requirements, and strengthening linkage between internal controls and enterprise risk management.

- FAEC will set up a workgroup to survey OIGs on audit resolution and recommendation tracking processes.
- The National Defense Authorization Act (NDAA) will prohibit DCAA from doing contract audit work for non-DoD entities, which will also include OIG shops. FAEC will establish a workgroup to get a sense of the impact and opportunities for moving forward.
- FAEC will also survey AIGAs to determine staffing at their various locations to help with training planning.
- The next FAEC meeting will be January 28, 1:00 p.m., at the US Access Board in Washington, DC.

IT Committee

Mr. Pat Howard reported on behalf of Kathy Tighe that the next Web Application Security Working Group meeting was scheduled for December 7. He also discussed the FISMA Maturity Model efforts, stating that the FAEC IT subcommittee is looking for volunteers, and announced that Ms. Kathy Tighe testified on November 17 on Education OIG's FISMA report, which highlighted the maturity model conclusions related to continuous monitoring.

ADMINISTRATIVE

Mr. Rymer announced that the next Audit Committee meeting would be January 26, 2016.