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Description of document: List of Federal Deposit Insurance Corporation (FDIC) Inspector General (OIG) investigations closed CY 2018 - CY 2019

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Federal Deposit Insurance Corporation
Office of the Inspector General
FOIA/Privacy Act Group, Legal Division
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Washington, DC 20429-9990
Email: efoia@fdic.gov
[FDIC Electronic FOIA Request portal](#)

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March 25, 2020

RE: FDIC FOIA Log Number 20-0151

This letter is in response to your February 27, 2020 Freedom of Information Act (FOIA) request seeking:

[A] copy of the listing of Inspector General investigations closed during calendar years 2018 and 2019. [T]his list would contain at minimum the case number, the date opened and closed, the subject matter of the investigation, and the investigation category.

We have processed your request in light of the requirements of the FOIA and the FDIC's FOIA regulations.¹ We are granting your request in part and have enclosed a copy of the records, consisting of 11 pages, which are being disclosed to you. The information withheld is exempt from disclosure under FOIA Exemptions 4, 6, and (7)(C), 5 U.S.C. §§ 552 (b)(4), (b)(6), and (b)(7)(C).²

You may contact me by email at mtoland@fdic.gov or by telephone at 703-562-2705, for any further assistance and to discuss any aspect of this part of your request. You may also contact our FOIA Public Liaison, FDIC Ombudsman M. Anthony Lowe, by email at MLowe@fdic.gov or telephone at 312-382-7552. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, email at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

If you are not satisfied with the response to this request, you may administratively appeal by writing to the FDIC's General Counsel. Your appeal must be postmarked or electronically

¹ The FDIC's FOIA regulations and FOIA Fee Schedule are available on our website at the Freedom of Information Act (FOIA) Service Center, <https://www.fdic.gov/about/freedom/index.html>.

² Exemption 4 permits the withholding of trade secrets, and confidential or privileged commercial or financial information obtained from a person. Exemption 6 permits the withholding of personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. Exemption 7(C) provides protection for law enforcement information the disclosure of which "could reasonably be expected to constitute an unwarranted invasion of personal privacy."

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transmitted within 90 days of the date of the response to your request. Your appeal should be addressed to the FOIA/PA Group, Legal Division, FDIC, 550 17th Street, NW, Washington, D.C. 20429. Please refer to the log number and include any additional information that you would like the General Counsel to consider.

Sincerely,

/Signed/

Michael J. Toland, Ph.D.
Government Information Specialist
FOIA/Privacy Act Group

Enclosure

Investigations closed between 01-01-2018 and 12-31-2019

Type	Case Number	Title	Open Date	Closed Date
Investigation	A-2010-0089	Bank Fraud by Officers at FDIC Supervised Institution, (b) (4), (b) (7) (C), (b) (6)	09/27/2010	05/09/2019
Investigation	A-2011-0004	Bank Fraud by Officers at FDIC Supervised Institution, Premier Community Bank, Crestview, FL	01/21/2011	05/14/2018
Investigation	A-2012-0023	Fraud by Executives and Investors at FDIC Supervised Institutions, Bank of Asheville and Pisgah Community Bank, Asheville, NC	08/13/2012	03/29/2019
Investigation	A-2013-0006	Bank Customer, (b) (4), (b) (7) (C), (b) (6) (FDIC Supervised), Raleigh, NC; Bank Fraud	03/14/2013	09/30/2019
Investigation	A-2013-0007	Loan Origination Fraud at FDIC Supervised Institution, (b) (4), (b) (7) (C), (b) (6)	03/14/2013	04/11/2019
Investigation	A-2013-0020	Employee Misconduct - Disclosure on Non Public Information; Tampa, FL	06/23/2014	01/26/2018
Investigation	A-2014-0002	Bank Fraud by Officers at FDIC Supervised Financial Institution, (b) (4), (b) (7) (C), (b) (6)	06/23/2014	03/29/2019
Investigation	A-2015-0003	Bank Fraud, (b) (4), (b) (7) (C), (b) (6)	03/13/2015	03/29/2019
Investigation	A-2015-0006	Fraud of Bank Employee at FDIC Insured Institution; (b) (4), (b) (7) (C), (b) (6);	09/28/2015	02/15/2018
Investigation	A-2015-0012	Bank Fruad by Executive, (b) (4), (b) (7) (C), (b) (6);	03/29/2016	06/19/2018
Investigation	A-2016-0002	SBA Loan Fraud, (b) (4), (b) (7) (C), (b) (6);	01/12/2016	03/29/2019
Investigation	A-2016-0005	Life Insurance Fraud Involving FDIC Insured Institutions, (b) (4), (b) (7) (C), (b) (6)	03/04/2016	03/01/2019
Investigation	A-2016-0008	Account Structuring by Customers at an FDIC Insured Institution, (b) (4), (b) (7) (C), (b) (6), Atlanta, GA	02/03/2016	03/29/2019

Type	Case Number	Title	Open Date	Closed Date
Investigation	A-2016-0010	(b) (4), (b) (7)(C), (b) (6) (FDIC Insured Institution), Miami, FL: Bank Fraud	02/11/2016	10/01/2018
Investigation	A-2016-0012	FDIC (b) (4), (b) (7)(C), (b) (6) Examiner: Travel Voucher Fraud	03/21/2016	03/29/2019
Investigation	A-2016-0014	(b) (4), (b) (7)(C), (b) (6) FDIC Supervised Institution); Bank Employee Theft: (b) (4), (b) (7)(C), (b) (6)	06/16/2016	03/29/2019
Investigation	A-2016-0015	Title Company Embezzlement, Atlanta, GA	04/07/2016	07/31/2018
Investigation	A-2016-0018	Fraud by Bank Executive at FDIC Insured Institution, Coastal Bank and Trust, Jacksonville, NC	06/09/2016	03/29/2019
Investigation	A-2016-0019	Self-Dealing by former Bank Executive at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6)	06/17/2016	12/13/2019
Investigation	A-2016-0022	Employee Misconduct - Credit Card Kiting, Charlotte, NC	08/11/2016	07/31/2018
Investigation	A-2017-0001	Fraud by Bank Employee at FDIC Supervised Institution; (b) (4), (b) (7)(C), (b) (6)	01/18/2017	03/26/2018
Investigation	A-2017-0002	Mortgage Fraud at Multiple FDIC Insured Intituions - Miami, FL	03/16/2017	03/29/2019
Investigation	A-2017-0004	(b) (4), (b) (7)(C), (b) (6) (FDIC Supervised Institution); Bank Fraud, (b) (4), (b) (7)(C), (b) (6)	05/25/2017	05/11/2018
Investigation	A-2017-0005	(b) (4), (b) (7)(C), (b) (6) (FDIC Supervised), Suffolk, VA: Bank Fraud	06/06/2017	09/19/2018
Investigation	A-2018-0001	(b) (7)(C), (b) (7)(E), (b) (6) Cases 2018	01/26/2018	01/26/2018
Investigation	A-2018-0004	(b) (4), (b) (7)(C), (b) (6), Bank Insider; Embezzlement	06/25/2018	06/20/2019
Investigation	A-2019-0001	Case Opened in Error	01/15/2019	01/15/2019
Investigation	C-2009-0014	Former Loan Officer, SBA Loan Scheme, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised Institution), Schaumburg, IL	10/05/2009	04/18/2019
Investigation	C-2012-0024	Bank Fraud, Bank Officers, FDIC Supervised Institution, Premier Bank, Chicago, IL	08/02/2012	09/27/2019

Type	Case Number	Title	Open Date	Closed Date
Investigation	C-2013-0024	Former Directors, Embezzlement and Bank Fraud at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6)	08/07/2013	09/04/2018
Investigation	C-2013-0034	False Bank Notes and Check Kiting, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised) (Central District of Illinois)	06/11/2014	12/09/2019
Investigation	C-2014-0016	Commercial Loan Fraud, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised) (Central District of Illinois)	09/16/2014	01/18/2019
Investigation	C-2014-0019	Loan Fraud/Embezzlement, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised) (Northern District of Indiana)	06/23/2014	05/22/2018
Investigation	C-2014-0028	Bank Manipulations by VP of Lending at a FDIC Supervised Institution; (b) (4), (b) (7)(C), (b) (6)	08/18/2014	02/02/2018
Investigation	C-2014-0035	Mortgage Fraud/Bank Fraud, Various Banks (Northern District of Indiana)	11/12/2014	06/29/2018
Investigation	C-2014-0044	Residential and Commercial Loan Fraud, (b) (4), (b) (7)(C), (b) (6)	12/19/2014	09/24/2019
Investigation	C-2014-0045	Embezzlement/Bank Fraud, (b) (4), (b) (7)(C), (b) (6) Bank (FDIC Supervised)	12/22/2014	10/22/2019
Investigation	C-2015-0003	Forgery of (b) (4), (b) (7)(C), (b) (6) Name for Commercial Loan Fraud, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised), Schaumburg, IL	08/10/2015	01/08/2019
Investigation	C-2015-0005	Public Corruption/Bank Fraud, (b) (4), (b) (7)(C), (b) (6) Bank (FDIC Supervised) (Central District of Illinois)	03/25/2015	03/11/2019
Investigation	C-2015-0013	SBA Loan Fraud, Loan Originator, Small Business Lender	03/18/2016	04/19/2019
Investigation	C-2015-0024	Bank Fraud Conspiracy, Bank Officer and Customer, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised), Winston Salem, NC	02/22/2016	08/20/2018
Investigation	C-2016-0001	Misuse of Position by a former Financial Advisor at a FDIC Insured Institution; (b) (4), (b) (7)(C), (b) (6) Kankakee, IL	01/07/2016	03/01/2018

Type	Case Number	Title	Open Date	Closed Date
Investigation	C-2016-0002	Embezzlement by Bank Executive of Private Banking, (b) (4), (b) (7)(C), (b) (6) (FDIC Insured), Chicago, IL	02/11/2016	12/28/2018
Investigation	C-2016-0003	Bank Fraud/Wire Fraud, (b) (4), (b) (7)(C), (b) (6) (Central District of Illinois)	02/11/2016	03/11/2019
Investigation	C-2016-0008	Embezzlement/Elder Abuse, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised) (Kankakee County)	04/27/2016	05/10/2019
Investigation	C-2016-0013	Bank Fraud, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised)(Central District of Illinois)	07/15/2016	10/22/2019
Investigation	C-2016-0017	Wire Fraud, Bank Customer, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised), (b) (4), (b) (7)(C), (b) (6), (b) (4), (b) (7)(C), (b) (6)	09/07/2016	07/03/2018
Investigation	C-2017-0003	Counterfeit U.S. Treasury Checks, (b) (7)(C), (b) (4), (b) (7)(C), (b) (6), Chicago, IL	02/07/2017	01/08/2019
Investigation	C-2017-0006	Vault Cash Embezzlement; State Bank (FDIC Insured); (b) (4), (b) (7)(C), (b) (6)	05/11/2017	08/20/2018
Investigation	C-2017-0007	Embezzlement, Bank Employee, (b) (4), (b) (7)(C), (b) (6) Bank (FDIC Supervised), (b) (4), (b) (7)(C), (b) (6) KY	06/06/2017	03/12/2019
Investigation	C-2017-0009	Loan Scheme Conspiracy by President; (b) (4), (b) (7)(C), (b) (6) Chicago (FDIC Supervised), Chicago, IL	07/10/2017	03/30/2018
Investigation	C-2017-0010	(b) (4), (b) (7)(C), (b) (6) Bank Group	07/18/2017	04/02/2018
Investigation	C-2017-0012	Bank Fraud, Bank Officer, (b) (4), (b) (7)(C), (b) (6) (FDIC Insured), Ashland, KY	09/18/2017	05/22/2018
Investigation	C-2017-0013	Embezzlement, Bank Customer, (b) (4), (b) (7)(C), (b) (6) (FDIC Insured), Louisville, KY	09/29/2017	05/24/2019
Investigation	C-2017-0014	Embezzlement/Bank Fraud, (b) (4), (b) (7)(C), (b) (6) (FDIC Insured) (Central District of Illinois)	10/03/2017	09/17/2019
Investigation	C-2017-0016	Bank Fraud by Customer, Multiple FDIC Regulated Institutions	10/26/2017	08/20/2018
Investigation	C-2017-0017	Bank Fraud, Bank Customer, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised), Chicago, IL	10/27/2017	12/30/2019
Investigation	C-2017-0018	Fraud by Bank Officer, Financial Holding Company (FDIC supervised)	11/02/2017	04/22/2019

Type	Case Number	Title	Open Date	Closed Date
Investigation	C-2018-0001	Mortgage Fraud by Customer; (b) (4), (b) (7)(C) (FDIC Supervised); Milwaukee, WI	01/23/2018	08/07/2018
Investigation	C-2019-0010	Bank Fraud, Bank Customer, (b) (4), (b) (7)(C), (b) (6) (FDIC Supervised), Louisville, KY	06/07/2019	12/03/2019
Investigation	D-2011-0003	Fraud by Bank Officers at FDIC Supervised Institution, First State Bank, Camargo, OK	05/09/2011	07/05/2018
Investigation	D-2012-0031	Fraud by Bank Officer and Customers at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6) , Houston, TX	12/03/2012	04/13/2018
Investigation	D-2013-0002	Obstruction by Officials at FDIC Supervised Institutions, Tennessee Commerce Bank/Tennessee Commerce Bancorp	02/01/2013	04/13/2018
Investigation	D-2013-0022	Fraud by Bank Officer and Customers at FDIC Supervised Insitution, Bank of Union, El Reno, OK	12/10/2013	05/14/2019
Investigation	D-2014-0014	Fraud by Bank Employee and Customer at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6) , Houston, TX	06/10/2014	09/30/2018
Investigation	D-2014-0032	Fraud by Bank Officer at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6) , Las Vegas, New Mexico	01/19/2015	07/09/2018
Investigation	D-2014-0033	Embezzlement by Bank Employee at FDIC Insured Institution, First National Bank, Edinburg, Texas	11/26/2014	10/22/2018
Investigation	D-2014-0034	Embezzlement by Bank Employee at FDIC Insured Institution, First National Bank, Edinburg, TX	11/26/2014	07/30/2018
Investigation	D-2015-0007	Fraud by Bank Officer at FDIC Supervised Institution, Renasant Bank, Tupelo, MS	06/16/2015	09/25/2019
Investigation	D-2015-0008	Embezzlement by Bank Employee at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6) Plano, Texas	06/10/2015	05/15/2019
Investigation	D-2015-0023	Fraud by Bank Officer at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6)	11/03/2015	05/14/2019

Type	Case Number	Title	Open Date	Closed Date
Investigation	D-2015-0024	Fraud by Bank Customer at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6), Midland, TX	11/09/2015	01/07/2019
Investigation	D-2015-0027	Embezzlement and Elder Exploitation by Bank Officer at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6) Fayetteville, Arkansas	12/09/2015	06/14/2018
Investigation	D-2015-0028	Fraud by Bank Officer at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6)	12/28/2015	03/15/2019
Investigation	D-2016-0002	Fraud by Bank Officer at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6)	01/26/2016	07/30/2018
Investigation	D-2016-0010	Bank Fraud by Former VP/Loan Officer at a FDIC Supervised Institution; (b) (4), (b) (7)(C), (b) (6)	04/01/2016	01/24/2018
Investigation	D-2016-0015	Fraud by Bank Customer at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6)	06/10/2016	02/13/2019
Investigation	D-2016-0019	Bribery by Bank Officer at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6)	06/17/2016	09/30/2018
Investigation	D-2016-0022	Fraud by Bank Customer at Multiple FDIC Supervised Institutions Including (b) (4), (b) (7)(C), (b) (6)	10/11/2016	11/18/2019
Investigation	D-2016-0023	Embezzlement by Employees at a FDIC Insured Institution; Great Plains National Bank, Elk City, OK (victim);	10/26/2016	03/29/2018
Investigation	D-2016-0024	Insider Trading by Bank Executive at FDIC Regulated Institution, (b) (4), (b) (7)(C), (b) (6)	12/05/2016	06/30/2019
Investigation	D-2016-0025	Treasury Auction Manipulation by Multiple FDIC Insured Institutions	12/09/2016	05/21/2019
Investigation	D-2017-0008	Fraud by Bank Customer at FDIC Supervised Institution, (b) (4), (b) (7)(C), (b) (6), Memphis, TN	08/07/2017	02/08/2019
Investigation	D-2017-0010	Fraud by Bank Customers at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6)	08/28/2017	09/23/2018

Type	Case Number	Title	Open Date	Closed Date
Investigation	D-2017-0014	Fraud and Elder Exploitation by Bank Employee at FDIC Insured Institution, Capital One, N.A., Austin, TX	11/08/2017	09/24/2019
Investigation	D-2017-0015	Employee Misconduct - Contract Fraud	11/17/2017	05/31/2018
Investigation	D-2018-0002	Embezzlement by Bank Officer at FDIC Insured Institution, SmartBank, Pigeon Forge, TN	05/22/2018	09/30/2019
Investigation	D-2018-0004	Embezzlement by Bank Officer at FDIC Insured Institution, Citizens National Bank at Brownwood, TX	09/20/2018	11/21/2019
Investigation	H-2017-0004	Employee Administrative Misconduct	12/16/2017	03/28/2018
Investigation	K-2011-0005	Alleged Loan Fraud by Former Senior VP at FDIC Supervised Institution; (b) (4), (b) (6),	02/18/2011	02/12/2018
Investigation	K-2011-0010	Alleged Bank Fraud Scheme by Bank Executive of Failed Bank, Sun Security Bank (FDIC Supervised), Ellington, MO;	05/06/2011	06/08/2018
Investigation	K-2015-0001	Mexican Mennonite Drug Cartel, Money Laundering Et Al., Plains State Bank, (FDIC Supervised), Plains, KS	01/13/2015	07/16/2019
Investigation	K-2015-0002	Bank Fraud by Former Loan Officer at FDIC Supervised Institution (b) (4), (b) (6), (b) (7)	03/02/2016	03/05/2018
Investigation	K-2015-0009	(b) (4), (b) (6), (b) (7)(C) Money Laundering	09/15/2015	07/16/2019
Investigation	K-2016-0001	Alleged misuse of FDIC insurance status on investments instruments by Executives (b) (4), (b) (6), (b) (7)	01/26/2016	12/06/2018
Investigation	K-2016-0002	Alleged Fraud Scheme by Bank Executive and Borrower, (b) (4), (b) (6), (b) (7)(C)	06/07/2016	09/26/2018
Investigation	K-2016-0009	(b) (4), (b) (6), (b) (7)(C) a failed financial institution, Alleged Bank/Loan Fraud (b) (4), (b) (6), (b) (7)(C)	06/02/2016	05/10/2018

Type	Case Number	Title	Open Date	Closed Date
Investigation	K-2016-0015	(b) (4), (b) (6), (b) (7)(C) an FDIC supervised institution, Alleged Bank/Loan Fraud by Bank Exec	12/19/2016	09/30/2018
Investigation	K-2017-0005	(b) (4), (b) (6), (b) (7)(C) Alleged Bank Fraud involving Holding Company Executive	03/13/2017	06/27/2019
Investigation	K-2017-0006	Alleged Misuse of FDIC Seal/Writings by an unregulated third parties, Southern Minnesota	03/13/2017	08/27/2018
Investigation	K-2017-0008	Alleged "Pay-Day" Lending Scheme at FDIC Insured Institution, (b) (4), (b) (6), (b) (7)(C)	04/10/2017	09/30/2018
Investigation	N-2007-0026	Alleged fraud by former Bank Executive at FDIC Supervised, (b) (4), (b) (6), (b) (7)(C)	10/24/2007	05/04/2018
Investigation	N-2010-0006	Asset Based Loan Fraud Involving Failed FDIC Supervised Institution, Westernbank, Puerto Rico	02/04/2010	08/16/2019
Investigation	N-2011-0002	Mortgage Fraud (b) (4), (b) (6), (b) (7)(C) Involving Failed FDIC Insured Institution, IndyMac Bank, Pasadena, CA	01/20/2011	07/30/2019
Investigation	N-2011-0007	Rainy Day Foundation, New York, NY; Mortgage Fraud	04/28/2011	06/08/2018
Investigation	N-2012-0012	(b) (4), (b) (6), (b) (7)(C) (b) (4), (b) (6), Mortgage Fraud	06/13/2012	09/25/2018
Investigation	N-2013-0011	Identity Theft involving FDIC Insured Institution, Staten Island, NY;	07/09/2013	02/27/2018
Investigation	N-2014-0017	Fraud by Bank Insiders at a failed FDIC Supervised Institution, (b) (4), (b) (6), (b) (4), (b) (6),	03/03/2015	07/10/2018
Investigation	N-2014-0023	Builder Bailout and Mortgage Fraud Involving FDIC Insured Institution	11/20/2014	03/19/2019
Investigation	N-2015-0003	(b) (4), (b) (6), (b) (7)(C) (b) (4), Bank Failure	05/07/2015	01/03/2018

Type	Case Number	Title	Open Date	Closed Date
Investigation	N-2015-0006	Impersonation of (b) (6), (b) (7)(C) - Hotline Complaint	09/18/2015	07/13/2018
Investigation	N-2015-0016	Fraud by RMBS Trader at Financial Services Firm, Cantor Fitzgerald, CT	06/19/2015	07/02/2018
Investigation	N-2015-0017	Bank Fraud by Bank Executive at FDIC Supervised Institution, (b) (4), (b) (6), (b) (b), Philadelphia, PA	02/29/2016	06/17/2019
Investigation	N-2015-0027	Loan Fraud Involving FDIC Supervised Institution, (b) (4), (b) (6), (b) (7)(C) (b)	10/22/2015	12/18/2018
Investigation	N-2016-0003	Consumer Loan Fraud at FDIC-Insured Intitution, (b) (4), (b) (6), (b) (7)	09/23/2016	09/27/2019
Investigation	N-2016-0004	Bank Fraud by Former Bank Executive at FDIC Insured Institution, Northumberland National Bank, Northumberland, PA	01/15/2016	09/23/2019
Investigation	N-2016-0008	Chief Accounting Officer Embezzlement, West View Savings Bank (FDIC Supervised)	06/14/2016	04/23/2018
Investigation	N-2016-0009	Wire and Credit Card Fraud by Bank Customer Involving FDIC Supervised Institution, ACNB Bank, Gettysburg, PA	06/19/2016	09/23/2019
Investigation	N-2016-0011	Foreign Exchange Fraud by Traders at FDIC Supervised Institution, Barclays Bank, San Francisco, California	07/05/2016	04/09/2019
Investigation	N-2016-0016	Money Laundering and BSA Violations by FDIC Supervised Institution, (b) (4), (b), Miami, FL	11/02/2016	03/28/2019
Investigation	N-2017-0001	Fraud by Bank Employee at FDIC Insured Institution, (b) (4), (b)	07/01/2017	05/20/2019
Investigation	N-2017-0008	Embezzlement Involving FDIC Insured Institution, (b) (4), (b) (6), (b) (7)	06/01/2017	02/25/2019
Investigation	N-2017-0013	Identity Theft and Check Fraud involving FDIC Insured Institution, (b) (4), (b) (6), New York and Florida	11/27/2017	06/17/2019
Investigation	N-2018-0005	Business Email Compromise involving FDIC-Insured Institution, (b) (4),	01/03/2019	03/01/2019

Type	Case Number	Title	Open Date	Closed Date
Investigation	N-2018-0006	BSA Violations by Bank Insider at FDIC Supervised Institution, (b) (4), (b) (6), (b) (7), Miami, Florida	05/23/2018	09/04/2019
Investigation	S-2010-0006	Mortgage Fraud by Official at FDIC Insured Institution, Pierce Commercial Bank Home Loans, Pyuallup, WA	01/27/2010	05/30/2018
Investigation	S-2010-0041	Sonoma Valley Bank (FDIC Supervised), Senior Bank Officials, Sonoma, CA (Closed Bank)	01/24/2011	12/02/2019
Investigation	S-2011-0015	Fraud by Bank Executive; Mirae Bank (FDIC Supervised), Los Angeles, CA (Failed Bank); Commercial Loan Fraud	03/12/2012	12/13/2019
Investigation	S-2011-0016	Fraud by Bank Executive; Wilshire State Bank (FDIC Supervised), Los Angeles, CA (Victim); Commercial Loan Fraud	03/12/2012	02/07/2019
Investigation	S-2012-0010	Kobra Properties, Commercial Loan Fraud, Central Pacific Bank (FDIC Supervised), Honolulu, HI	09/27/2012	08/16/2018
Investigation	S-2012-0017	Bank of Oswego, Lake Oswego, OR	12/06/2012	02/07/2019
Investigation	S-2012-0021	(b) (4), (b) (6), (b) (7)(C) (FDIC Supervised), (b) (4), (b) (6); Factoring Fraud	06/12/2013	02/07/2019
Investigation	S-2012-0022	Contract Fraud, (b) (4), (b) (7)(C), (b) (6)	04/24/2014	03/05/2019
Investigation	S-2013-0013	(b) (7)(A) Fresno, CA	11/22/2014	12/13/2019
Investigation	S-2014-0004	Syringa Bank, Boise, ID	04/01/2014	08/16/2018
Investigation	S-2015-0002	Bribery of Bank Official, (b) (4), (b) (6) (FDIC Supervised), (b) (4), (b) (6)	01/29/2015	05/03/2018
Investigation	S-2016-0002	Bank Fraud by Former Customer Service Manager at FDIC Insured Institution, (b) (4), Los Angeles, CA	03/08/2017	09/27/2018
Investigation	S-2016-0006	Fraud by Bank Customer, WebBank (FDIC Supervised) (Victim)	12/08/2016	02/14/2019
Investigation	S-2016-0007	BSA Violations, First California Bank, Westlake Village, CA	01/18/2017	05/03/2018

Type	Case Number	Title	Open Date	Closed Date
Investigation	S-2017-0002	Investment fraud by bank customers; (b) (4), (b) (7)(C), (b) (7) (FDIC Supervised), (b) (4), (b) (7)	03/21/2017	12/13/2019
Investigation	S-2017-0003	SBA Loan Scheme at FDIC Supervised Institution, (b) (4), (b) (7), Las Vegas, NV	05/05/2017	12/02/2019
Investigation	S-2017-0006	Fraud by Bank Employee, (b) (4), (b) (7) (FDIC Insured) (Victim)	08/17/2017	02/14/2019
Investigation	S-2017-0010	Fraud by Bank Executive at FDIC Supervised Institution, (b) (4), (b) (7)	09/08/2017	01/30/2019
Investigation	S-2018-0001	False FDIC Employees Elderly Persons Scam	01/18/2018	02/07/2019
Investigation	S-2018-0002	Embezzlement by Branch Manager; (b) (4), (b) (7)(C), (b) (7) (FDIC Insured), (b) (4), (b) (7)	03/01/2018	02/14/2019
Investigation	S-2019-0002	Check Kiting at FDIC Insured Institution, (b) (4), (b) (7)(C), (b) (6)	03/05/2019	09/30/2019
Investigation	X-2014-0015	Potential FDIC Logo and Check Kiting Scam	09/30/2016	12/28/2018
Investigation	X-2015-0011	Alleged Phishing Email Purporting to be from FDIC Chief of Staff	11/03/2015	06/17/2019
Investigation	X-2016-0006	Unknown suspects, Extortions and Impersonation of FDIC Officers, General public	06/29/2017	09/30/2019
Investigation	X-2016-0014	Check Kiting involving FDIC Employee	09/16/2016	03/28/2018
Investigation	X-2016-0015	Cyber intrusion, (b) (4), (b) (7)(C), (b) (7)	01/05/2017	09/30/2019
Investigation	X-2017-0003	Skimmed Credit Cards	08/07/2017	06/24/2019
Investigation	X-2017-0005	Misuse of Corporate Purchase Card	04/03/2018	03/31/2019