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"Rummaging in the government's attic"

Description of document: United States Agency for International Development (USAID) Inspector General/Audit (IG/A) Weekly Reports, CY2016 - CY2017

Requested date: 22-June-2017

Release date: 28-October-2019

Posted date: 06-April-2020

Source of document: FOIA Request
U.S. Agency for International Development
1300 Pennsylvania Avenue, NW
USAID Annex, M/MS/IRD, Room 2.4.0A
Washington, DC 20523
Fax: (202) 216-3070
Email: foia@usaid.gov
[USAID FOIA Public Access Link](#)

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USAID
FROM THE AMERICAN PEOPLE

OCT 28 2019

TRANSMITTED VIA EMAIL

RE: FOIA Request No. F-00225-17
Final Response

The United States Agency for International Development (USAID) regrets the delay in responding to your Freedom of Information (FOIA) request. Unfortunately, USAID is experiencing a backlog of FOIA requests. Please know that USAID management is very committed to providing responses to FOIA requests and remedying the FOIA backlog.

This is the final response to your June 22, 2017, FOIA request to USAID. You requested IG/A Weekly reports for calendar years 2016 and 2017.

For your information, Congress excluded three (3) discrete categories of law enforcement and national security records from the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all of our requesters and should not be construed as an indication that excluded records do, or do not, exist.

USAID conducted a comprehensive search of the Office of Inspector General for documents responsive to your request. The search produced a total of 110 pages. Of those pages, we have determined that 32 pages of the records are releasable in their entirety and 78 pages are partially releasable pursuant to Title 5 U.S.C. § 552(b)(5) and (b)(6).

FOIA Exemption 5 permits agencies to withhold inter- or intra-agency memorandums, letters, or documents that would not be available by law to a party other than an agency in litigation with the agency. Exemption 5 incorporates several civil discovery privileges, including the one pertinent to this request, the deliberative process privilege. This privilege exempts from disclosure agency documents that are part of the agency's pre-decisional process. The purpose of the privilege is to prevent injury to the quality of agency decisions by (1) encouraging frank and open discussions on matters of policy between subordinates and superiors; (2) protecting against premature disclosure of proposed policies before final adoption; and (3) protecting against public confusion that might result from disclosures of reasons and rationales that were not, in fact, ultimately the grounds for an agency's actions. In this instance, we withheld discussions pertaining to securing the Agency's systems.

We have determined that none of the information being withheld per FOIA Exemption 5 is appropriate for discretionary disclosure.

FOIA Exemption 6 exempts from disclosure information about individuals in personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy. This requires a balancing of the public's right to disclosure against the individual's right to privacy. The privacy interests of the individuals in the records you have requested outweigh any minimal public interest in disclosure of the information. Any private interest you may have in that information does not factor into the aforementioned balancing test. Within the records we withheld the names of IG personnel and any information that could potentially identify them.

If you require any further assistance or would like to discuss any aspect of your request, you may contact Antionette (Toni) Robertson, the assigned FOIA Specialist by phone on (202) 712-0859 or at anrobertson@usaid.gov. You may also contact USAID's FOIA Public Liaison, Christopher Colbow, at foia@usaid.gov.

Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services offered:

Office of Government Information Services
National Records and Archives Administration
8601 Adelphi Road-OGIS
College Park, Maryland 20740-6001
E-mail: ogis@nara.gov
Telephone: (202) 741-5770; toll free at 1-877-684-6448
Fax (202) 741-5769

You have the right to appeal this final response. Your appeal must be received by USAID no later than 90 days from the date of this letter. In order for it to be considered an official appeal, please address and send directly to the FOIA Appeal Officer:

Director, Office of Management Services
U.S. Agency for International Development
1300 Pennsylvania Avenue, N.W.
Ronald Reagan Building, Room 2.12-010
Washington, DC 20523

If you wish to fax your appeal, the fax number is (202) 216-3369. Both the appeal letter and envelope should be marked "**FOIA APPEAL**." Please include your tracking number, F-00225-17 final response, in your letter.

There is no charge for this FOIA request. As this concludes the processing of your request, it will be closed.

Thank you for your interest in USAID.

Sincerely,

A handwritten signature in blue ink, appearing to read "S. Colbow / JCR", written over the printed name.

Christopher Colbow, Chief
FOIA Public Liaison
FOIA Officer/Agency Records Officer
Bureau for Management
Office of Management Services

Enclosures: Responsive Documents (110 pages)

(b)(6)

(IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Tuesday, November 22, 2016 3:39 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Cc: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Nunez-Mattocks, Aracely (IG/M); Trujillo, Catherine (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Goldfluss, Lisa (IG/IO); (b)(6) (IG/IO)
Subject: Audit weekly report - Week of November 21



In lieu of a weekly status summary, this week's message is simply to wish all of you and your families a happy, safe, and fun Thanksgivings wherever you will be. This has been a very busy year, filled with blessings and challenges. Thank you for all that you do to support OIG and in particular Audit's mission every day. It is appreciated more than words can convey.

(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, June 06, 2017 4:33 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



Office of Audit

June 6, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- We are planning the exit conference for the MCC FITARA for next week. The only potential (b)(6); (b)(5) - Deliberative Process Privilege for guidance. NOTE: We are performing this audit with the understanding that MCC is not required to comply with FITARA. However, FITARA does present the best practices.

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Probing questions/OMB guidance on workforce efficiency memo has been indexed and referenced; PPA team working on clearing referencing comments and editing. Plan is to send final version to IG/DIG on Thursday, June 8.
- (b)(6) working with IO COS office on OIG strategic priorities document to be available publically.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: Asia-Pacific Economic Cooperation (APEC) Technical Assistance To Advance Regional Integration Project (ATAARI) (RIG/Manila)
- Draft reports processing management comments: Pakistan Citizens' Voice
- Draft reports recently issued to Agency or cleared for issuance: N/A
- Draft reports with AIG/A or Principal DAIG/A: Georgia MCC report; Haiti LEVE; Pakistan Gomal Dam
- New Audit starts: Reviewing Pakistan Education proposal with Pakistan this week and Follow-up on Afghanistan's Multi-Tiered Monitoring Strategy the following week.
- Entrance conferences: Audit of Selected Application Controls Over USAID's Phoenix and GLAAS Systems is scheduled for June 8. The audit is being performed to support IG/A/FA's Data Act Audit and its being conducted by CLA. (2) Entrance conference for USAID's Democracy, Human Rights, and Governance Programs is scheduled for tomorrow.
- Status of select ongoing audits: Zika phase I exit held last week, which went well. Team starting to work on draft report.
- OIG Leadership meeting and Audit Managers Meeting: Final agenda shared with managers on June 5.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- (b)(6) started (b)(6) tour in GSAD this week. Please join us in welcoming (b)(6) to Washington!
- Melinda Dempsey is in RIG/San Salvador this week.

5. Tip of the Week:

(Under this section we hope to include lessons learned or other nuggets of guidance that may help staff with something that effects them. We are open to any and all suggestions for inclusion that anyone may have that may help another audit staff member somewhere in the world. Please send your ideas to Christine Byrne, (b)(6) (b)(6) or (b)(6)

- Take-aways from Crisis/Change Leadership seminar. A few quick take-aways for OIG from FEI seminar are as follows: (1) It should come as no surprise to anyone, but change is hard. It can be felt at an individual level similar to what happens when a crisis occurs, e.g., the death of a loved one. Individuals will experience change in much of the same ways, going through the same cycles of grief, which need to be recognized and addressed by those around them. (2) Also, much like grief, individuals handle change differently, at different speeds and ways, and responses to change will vary accordingly. (3) The best way to manage a crisis is BEFORE it starts, by instilling a sense of vision, having known and accepted organizational values by all, and having a well-developed plan that has identified possible risks, how they will be addressed, and who will do what when, i.e., knowing each individual's role and responsibility when a crisis occurs. (4) Organizationally, it is important for our congressional and media liaison folks to know and understand our operations and be empowered to represent us when a crisis occurs.



73rd Anniversary of D-Day is today

June 6, 1944, is known most commonly by the term D-Day—the landing of Allied forces on the beaches of Normandy, France, staging one of the pivotal attacks against Germany during World War II.

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, May 31, 2017 4:42 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; Johnson, Cardell (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M)
Subject: Audit weekly report



Office of Audit

May 31, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Audit Draft Managers Agenda
- Probing questions/OMB guidance on workforce efficiency memo was sent to OIG managers on May 25 to identify any “fatal flaws” or “show stopper” issues. Comments are due today.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: N/A

- Draft reports recently issued to Agency or cleared for issuance: N/A
- Draft reports with AIG/A or Principal DAIG/A: Georgia MCC report; Haiti LEVE; Pakistan Gomal Dam
- New Audit starts: Reviewing Pakistan Education proposal with Pakistan this week.
- Status of select ongoing audits: Zika phase I exit will occur tomorrow (RIG/S staff in town for that). Ukraine MERP reviewed by Audit management and it was sent to Editor (b)(6) for reformatting/reorganization before being returned to team.
- OIG Leadership meeting and Audit Managers Meeting- Meeting will be held at the Marriott Residence Inn, Washington D.C. (<http://www.marriott.com/hotels/fact-sheet/travel/wasdc-residence-inn-washington-dc-downtown/>) Have put together draft agenda, which will be shared shortly.

4. **Personnel Issues and Miscellaneous Items of Interest:**

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- (b)(6) has been selected as the USAID/OIG statistician. The award will be made this week. (b)(6) in IG/A/FA will be the (b)(6) and any request for services must go through (b)(6). We will set up a process for the staff to obtain the statistician services in the near future. In the meantime, if you have questions, please contact (b)(6)

5. **Tip of the Week:**

(Under this section we hope to include lessons learned or other nuggets of guidance that may help staff with something that effects them. We are open to any and all suggestions for inclusion that anyone may have that may help another audit staff member somewhere in the world. Please send your ideas to Christine Byrne, (b)(6) (b)(6))

- Take-aways from Crisis Leadership seminar....**WILL BE IN MONDAY'S WEEKLY.**

The BTW Corner



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, May 22, 2017 1:38 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); Brothwell, Shirley (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; Johnson, Cardell (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M)
Subject: Audit weekly report



Office of Audit

May 22, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- RIG/Frankfurt issued on May 18 a final report on *Shift in USAID Education Activities May Diminish Efforts To Alleviate Strains on Lebanese Public Schools* (8-268-17-003-P)- aka Lebanon QITABI (was an audit in the OIR plan).
- The DRG audit will be announced this week. Objectives are the same as the ones in the SFRC request letter.

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Audit Draft Managers Agenda
- Probing questions/OMB guidance on workforce efficiency (b)(6) should send latest draft today).

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: N/A
- Draft reports recently issued to Agency or cleared for issuance: N/A
- Draft reports with AIG/A or Principal DAIG/A: Ukraine MERP
- New Audit starts: DRG programs- will be announced soon (Frankfurt) and coordinated with GAO and State.
- Status of select ongoing audits: Zika phase I exit will occur next week (RIG/S staff in town for that).
- OIG Leadership meeting and Audit Managers Meeting- Received approval from IG/M for vendor selected. (b)(6) is now working with FRD to fund the venue. The 2-day meeting (8:30am to 5:00pm both days) will be held at the Marriott Residence Inn, Washington D.C. (<http://www.marriott.com/hotels/fact-sheet/travel/wasdc-residence-inn-washington-dc-downtown/>) Have put together draft agenda, which will be shared shortly. Will be reaching out soon to some audit managers/RIGs to present lessons learned to group on working through new processes.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- Tom and Christine will be in training at the Federal Executive Institute tomorrow (Tuesday) through Friday. The course is on managing in a period of chaos and uncertainty. Alvin Brown will be Acting AIG/A. We will be periodically in touch, though.
- Last week, (b)(6) joined IG/A/FA and (b)(6) moved from IG/A/FA to MCC/PA.

5. Introducing a new section this week...Tip of the Week:

(Under this section we hope to include lessons learned or other nuggets of guidance that may help staff with something that effects them. We are open to any and all suggestions for inclusion that anyone may have that may help another audit staff member somewhere in the world. Please send your ideas to Christine Byrne, (b)(6)

(b)(6)

- As promised during the Audit All Hands, some useful templates have been created to help talk through the new audit process with Agency management at audit entrance conferences...both an agenda template and a slides template can be found on the PPA SharePoint site, here: [Approved Forms and Templates](#)
- As noted by Justin in his email today about "Securing our Systems," everyone needs to remain diligent and aware to ensure the protection of our information systems. (b)(5) - Deliberative Process

(b)(5) - Deliberative Process Privilege

Privilege

(b)(5) -
Deliberative

SECURITY IS EVERYONE'S RESPONSIBILITY!

The BTW Corner

In advance- **Happy Memorial Day !**



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, May 16, 2017 5:59 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); Brothwell, Shirley (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; Johnson, Cardell (IG/IO); Mathews, Paula (IG/IO); (b)(6) Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M)
Subject: Audit weekly report



Office of Audit

May 16, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- USAID's compliance with IPERA was issued yesterday to the CFO. USAID complied and there were no recommendations.
- Lebanon QITABI (OIR-related report) was cleared for release. RIG/Frankfurt will issue this week.

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Probing questions/OMB guidance on workforce efficiency- we received IO comments. Will meet with IO today to discuss.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: N/A
- Draft reports recently issued to Agency or cleared for issuance: N/A

- Draft reports with AIG/A or Principal DAIG/A: Ukraine MERP
- New Audit starts: Use of google apps- working on proposal (ITA). Pakistan education – working on proposal with OIG/Pakistan. DRG programs- working on proposal meeting this week (Frankfurt).
- Status of select ongoing audits: Zika exit conference document under review; plan to clear and hold exit soon. Chile/OPIC- also working exit conference document.
- Audit Process Interim Guidance: Held All Hands meeting on May 10; PPA working on FAQ web page and sharing of additional templates/guidance on SharePoint, e.g. talking points about new audit process for discussions with Agency officials.
- PEPFAR plan for FY18 (due August 31). Plan to engage HHS and State OIGs and then OGAC and possibly GAO, setting up a meeting with IGs first.
- GAO training phase 2 (leading practices in performance auditing, effective report review, and Green Book features) started this week, May 15-19. Christine gave introductory remarks and PPA and Ruth Strande will be following-up during the week to answer questions about OIG processes that the group may have. Next up is Pretoria, week of June 5.
- OIG Leadership meeting and Audit Managers Meeting- Received approval from IG/M for vendor selected. (b)(6) is now working with FRD to fund the venue. The 2-day meeting (8:30am to 5:00pm both days) will be held at the Marriott Residence Inn, Washington D.C. (<http://www.marriott.com/hotels/fact-sheet/travel/wasdc-residence-inn-washington-dc-downtown/>) Have put together draft agenda, which will be shared shortly. Will be reaching out soon to some audit managers/RIGs to present lessons learned to group on working through new processes.
- PPA has recently sent draft results from the 2016 QAR to audit units to gather any additional information/support not included or found in TeamMate as well as help in developing root causes and recommendations for improvement. We are still waiting on a response from one audit unit...**YOU KNOW WHO YOU ARE.** ☺

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- Alvin Brown out this week as well as most of FA, EFA, and ITA (in GAO training).
- We recently proposed nominations to the OIG executive committee for two audits to put forward for CIGIE awards. If approved, affected staff will be contacted. Audits considered for the awards had to be issued between April 1, 2016 to March 31, 2017, and meet other CIGIE criteria.

5. Introducing a new section this week...Tip of the Week:

(Under this section we hope to include lessons learned or other nuggets of guidance that may help staff with something that effects them. We are open to any and all suggestions for inclusion that anyone may have that may help another audit staff member somewhere in the world. Please send your ideas to Christine Byrne, (b)(6)

(b)(6)

- An auditor from ITA provided today's tip of the week---Back-up for (b)(6) If anyone needs access to Tumbleweed to transfer data and they cannot get ahold of (b)(6) please note that his back-up is (b)(6)

The BTW Corner



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, May 09, 2017 3:17 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



Office of Audit

May 9, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- N/A (FYSA only- issued the Colombia energy audit- last report to be issued in the old format)

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Probing questions/OMB guidance on workforce efficiency- will send up later this week and plan to discuss initial feedback next week(rough draft)

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: USAID and MCC IPERA (due May 15)
- Draft reports recently issued to Agency or cleared for issuance: nothing new

- Draft reports with AIG/A or Principal DAIG/A: Ukraine MERP, ARTF draft final processing/edit (Ann cleared on Monday)
- New Audit starts: Use of google apps- working on proposal (ITA). Pakistan education – working on proposal with OIG/Pakistan. DRG programs- working on proposal (Frankfurt).
- Audit Process Interim Guidance: All Hands meeting with Audit staff scheduled for tomorrow at 7:30 am D.C. time. Go over key features and answer questions. *Reminder to all that we are test-running this interim guidance... seeking feedback along the way and cataloguing the good, the bad, and the ugly based on our experiences... and then making changes later in an updated Handbook.*
- PEPFAR plan for FY18 (due August 31). Plan to engage HHS and State OIGs and then OGAC and possibly GAO, setting up a meeting with IGs first.
- GAO training phase 2 (leading practices in performance auditing, effective report review, and Green Book features) starts next week, May 15-19. Next up is Pretoria, week of June 5.
- OIG Leadership meeting and Audit Managers Meeting- Working on final agreement with vendor for audit meeting (will now likely be in the District). Putting together agenda. Will be reaching out soon to audit managers/RIGs to present lessons learned to group on working through new processes (see above).
- PPA has recently sent draft results from the 2016 QAR to audit units to gather any additional information/support not included or found in TeamMate as well as help in developing root causes and recommendations for improvement.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- Travel money- we are asking RIGs and audit unit Directors to take a hard look at travel needs for the remainder of FY17 so we can see what money (if any) in the Audit travel budget can be used for other purposes.
- (b)(6) or (b)(6) and (b)(6) through lpm

The BTW Corner

Today is National Teachers Day!



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, May 02, 2017 10:22 AM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M)
Subject: Audit weekly report



Office of Audit

May 2, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- N/A

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- N/A

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: None
- Draft reports issued to Agency or cleared for issuance: nothing new last week
- Draft reports with AIG/A or Principal DAIG/A: Ukraine MERP. ARTF (received IG/DIG comments- plan to speak with (b)(6) tomorrow)

- New Audit starts: Entrance Conference: Audit of Selected USAID Construction Activities on May 11. Use of google apps- working on proposal (ITA). Pakistan education – working on proposal with OIG/Pakistan.
- IPERA: Work is underway to meet the May 15 deadline. USAID and MCC exit conferences held and teams are working on draft reports, with very short turn around for final report. Due May 15.
- Audit Process Interim Guidance: All Hands meeting with Audit staff scheduled for May 10 at 7:30 am D.C. time. Meeting will be in the Administrator's conference room and we have to be out of the room nlt 8:20 am. Therefore, we will start promptly at 7:30 am so staff should plan on either calling in or arriving at least 5 minutes beforehand. If you have questions you would like us to address at the all hands, please send them to (b)(6) This will help us more efficiently address them.
- Council on Tough Questions: Group re-grouped based on meeting with Ann. Developed initial memo and we are working with (b)(6)
- OIG Leadership meeting and Audit Managers Meeting- Working on agreement with vendors for audit meeting. Looks like it will in the same location as last year (Hilton-Ballston), but will confirm once agreement is in place.
- Writer-editors. Offers made to two writers and both have accepted. HR will be working on bringing them on-board ASAP within any SEC complexities that are beyond our control.
- FSO AEFs. We are on schedule to provide evaluations to all Audit staff by internally imposed due date of May 5. Finals are due to HR on May 26.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- Travel money- we are asking RIGs and audit unit Directors to take a hard look at travel needs for the remainder of FY17 so we can see what money (if any) in the Audit travel budget can be used for other purposes. Responses to recently issued email from (b)(6) are due May 12.

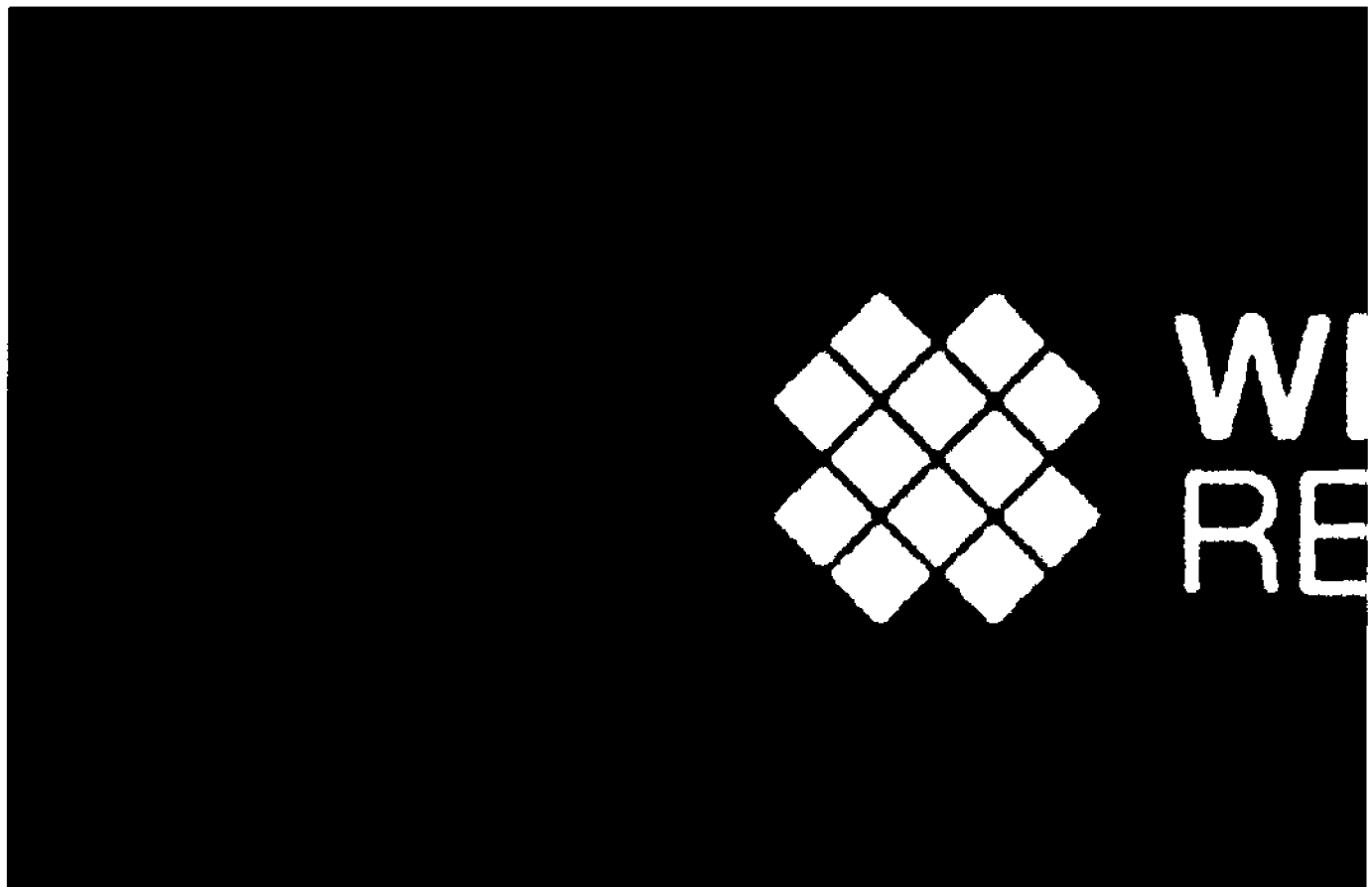
The BTW Corner



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, April 26, 2017 8:12 AM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report

Sorry for the delay- there was a strike at the printing press...



Office of Audit

April 26, 2017

I. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- N/A

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- We plan to send you the Afghanistan Reconstruction Trust Fund Audit within a week.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: Colombia clean energy
- Draft reports issued to Agency or cleared for issuance: nothing new last week
- Draft reports with AIG/A or Principal DAIG/A: Ukraine MERP (recently opined on Ebola healthcare workers)
- Select audit milestone updates: Zika Phase I: Had a good decision meeting and will proceed with developing a report providing an initial assessment of USAID's activities. OPIC Chile: Working on message and plan to do an exit conference in mid-May.
- New Audit starts: MCC Sustainability of the Road Infrastructure Projects- entrance conference is this Friday. DRG work for SFRC- met today to discuss approach, developing full proposal (RIG/Frankfurt). Use of google apps- working on proposal (ITA).
- IPERA: Work is underway to meet the mid-May deadline. USAID exit conference is this week. Will let 6th floor know if there are any eye-poppers or issues that could get attention.
- Audit Process Interim Guidance: Was issued. Plan to hold All Hands with Audit staff in May to discuss and answer any questions.
- Council on Tough Questions: Group re-grouped based on meeting with Ann. Developing new memo-plan to meet internally tomorrow to discuss initial draft.
- OIG Leadership meeting and Audit Managers Meeting- Working on agreement with vendors for audit meeting. Looks like it will in the same location as last year (Hilton-Ballston), but will confirm once agreement is in place.
- Writer-editors. Offers made to two writers and both have accepted. HR will be working on bringing them on-board ASAP within any SEC complexities that are beyond our control.
- FSO AEFs. Enough said- huge effort underway to meet deadlines.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- Spot awards- Audit has received approval and funding to initiate on-the-spot awards. In line with OIG PD 4010, managers and supervisors are responsible for ensuring that OIG employees are nominated for incentive awards in a timely manner, that award recipients are notified when awards are approved, and that awards are appropriately publicized. Anyone can nominate someone else for an on-the-spot award, which needs to be approved through the chain of command. Funding will be centrally managed by AIG/A, i.e., not allocated to individual audit units.

- Travel money- we are asking RIGs and audit unit Directors to take a hard look at travel needs for the remainder of FY17 so we can see what money (if any) in the Audit travel budget can be used for other purposes.

The BTW Corner

April 26 is Administrative Professionals Day

Happy Administrative Professionals Day!

Thank You

for the tremendous
job you do for us!



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, April 17, 2017 12:56 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M)
Subject: Audit weekly report
Attachments: NFA Process Remodeling Update 4-12-17.docx



WEEKLY
REPORT

Back to regular programming...

Office of Audit

April 17, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- N/A

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- We plan to send you the Afghanistan Reconstruction Trust Fund Audit within the next two weeks.
- We plan to set up a meeting regarding the Council on Tough Questions (see below) in the coming two weeks. Need to discuss and obtain more guidance on next steps. TEY will bring this up as a topic at tomorrow's Audit Unit Meeting.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process: Colombia clean energy
- Draft reports issued to Agency or cleared for issuance: Lebanon QITABI, APEC/ATAARI, Pakistan Citizens Voice
- Draft reports with AIG/A or Principal DAIG/A: Ukraine MERP
- **COUNCIL ON TOUGH QUESTIONS:** Group has been meeting and Tom/Christine met with them virtually from Pretoria. Group has identified an initial list of probing questions, but has also identified some potential constraints and concerns with formally issuing something to USAID.
- New Audit starts: Final stages of proposal development for MCC Sustainability of the Road Infrastructure Projects.
- Audit Process Guidance: in final stages of being reviewed by Audit management. Plan to issue in April and hold All Hands with Audit staff the week of May 15 to discuss and answer any questions.
- OIG Leadership meeting and Audit Managers Meeting- OIG's leadership meeting will be held June 19-21, with participants arriving the evening before on June 18. The anticipated dates for the Audit Managers meeting will now be June 22-23 with overseas managers being asked to stay in HQ the following week (June 26-27) for a series of meetings/discussions on issues specifically impacting overseas offices.
- GAO's Center for Audit Excellence hub training (Phase 2)- Confirmed Dates are: 1st DC session – week of May 15; Pretoria – week of June 5; Frankfurt – week of July 24; 2nd DC session – week of September 11; Manila – week of September 25.
- Recommendation Process Update – As noted in the April 11 email from Tom, a group has been selected to include (from Audit) Bill Murphy, (b)(6) Matthew Rathgeber, (b)(6) and (b)(6). Thanks again to all who expressed interest in working on the group and if you did not make it on the list for this one---no fears---as there will be other opportunities to participate in future groups.
- Writer-editors. Due to their mission critical nature, got approval to hire two writer/editor positions. Had previously interviewed two great candidates. HR made offers- waiting for responses.
- NFA Process Remodeling Project – See attached write-up of status for this effort.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Tom and Melinda are back; Christine back in U.S. and on (b)(6)
- Great trip to Pretoria (including Dakar staff)- much obliged for the warm welcome.

The BTW Corner

April 19 is Patriot's Day

April 19, 1775

The Shot Heard 'Round the World



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, March 27, 2017 4:48 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



**WEEKLY
REPORT**

Office of Audit

March 27, 2017

I. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Final report on the Jordan Community Engagement Project (issued)- *Mission's Changing Focus and Approach Make It Difficult To Measure Success of the Jordan Community Engagement Project*
- USAID's Countering Violent Extremism (CVE) audit announcement activities (RIG/Frankfurt)

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- N/A

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Two final reports issued last week: US Personal Services Contractors in Asia (RIG/Manila) and Jordan Community Engagement Project (RIG/Frankfurt). Kudos to the teams. To All Audit Staff- please take time to look at these reports, which are fully in the new format.
- Final reports in process: Colombia clean energy
- Draft reports with AIG/A or Principal DAIG/A: N/A
- Other report(s) very near to completion: N/A
- Draft reports that AIG/A recently reviewed that should be getting close to issuance to Agency: QITABI, Pakistan Citizens Voice, APEC/ATAARI.
- **Operation Report Surge:** we will be working with the Deputies, RIGs, Directors, and other managers to develop plans for bringing timely closure to some existing work products that have been on the books for a long time. As discussed at the *All Hands* meeting last week, this may require some hard decisions. That could mean either closing out reports (in limited circumstances) or cutting certain sections of work products that are not on message, have issues with sufficiency of evidence, or would take more effort to finalize vs. the impact findings/ recommendations would have if they were left in the report. Focusing on a few core findings often increases the impact of a report on the broader Agency and congressional audience, thereby being more of a catalyst for significant changes in agencies' operations or on legislation.
- **COUNCIL ON TOUGH QUESTIONS:** based on Ann direction, we will be standing up a group to develop a series of probing questions that the Agency could consider as it deliberates where to make cuts (or not make cuts) to comply with OMB's FY18 budget guidance. Questions will be based on prior work and in some cases professional judgment based on years of auditing programs and being in the field.
- New Audit starts: CVE (see above); FISMA - We plan to hold the entrance conferences for USAID and IAF this week. The three other FISMA audits have already started.
- Audit Process Guidance: is in semi-final stages of being reviewed by Audit management. Plan to issue in April.
- Democracy, Human Rights, and Governance (DRG) work. Senate Foreign Relations Committee provided the request letter for a DRG audit. It will be staffed this spring out of RIG/Frankfurt. The request letter asks us to look at 4 areas: how USAID mitigates the risk of bias in its DRG programs, what factors influence decisions to undertake DRG programs, extent to which USAID coordinates with State on DRG, and how USAID measures and monitors progress in its DRG programs.
- OIG Leadership meeting and Audit Managers Meeting- OIG's leadership meeting will be held June 20-22, with participants arriving the evening before on June 19. Please note that contrary to what was previously conveyed, audit managers and assistant directors will be included in this meeting. As

follows, the anticipated dates for the Audit Managers meeting will be June 26-28. We are exploring venues now, but expect to hold it in Washington area. Attendees, which are now the same as for the leadership meeting, will be: RIGs, Audit Managers, Directors, Assistant Directors (including people in Acting capacities with those titles). We will strive to avoid overlap.

- GAO's Center for Audit Excellence hub training (Phase 2)- Tentative dates (still to be confirmed with GAO, but we're close) right now are: 1st DC session – week of May 15; Pretoria – week of June 5; Frankfurt – week of July 24; 2nd DC session – week of September 11; Manila – week of September 25. PPA will be represented at each session. And yes Tom and Christine will participate in one of these sessions- for the entire week- which will also be organized with a site visits (or visits).
- Recommendation Process Update – Progress is being made. Significant actions include (1) Regarding USAID, the team is holding weekly meetings with CFO and APC staff to further delineate the detailed workings of the ASIST/CACS and AIMS systems and flowchart processes (2) Regarding MCC, likewise, regular meetings are being held and processes documented (3) Regarding software solutions, research and meetings with potential vendors are being held as well as working with members of the Federal Audit Advisor Community for Enterprise Technology Solutions as part of a sub-group of other OIGs which was formed to look at alternative software solutions to TeamMate. (4) Regarding open recommendations, continued progress has been made in reducing the backlog of open recommendations, with an increased emphasis on those older than a year old, and on resolving differences in closed recommendations between AIMS and CACS, which is just shy of completion after the resolution of one recommendation.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Tom will be at the Brookings Institution tomorrow (Tuesday) and Wednesday for a seminar: "Regional Challenges, Threats, and Opportunities: The Middle East." He will not be in the office on Tuesday or Wednesday.
- Reminder- Tom and Christine will be in RIG/Pretoria next week- leaving on Monday April 3; return to USofA on April 13. We may not have a Weekly next week.

The BTW Corner

March 30 is ***NATIONAL DOCTORS DAY***

It's Doctor's Day..

and I wanna prescribe you..

a Day Full of Smiles



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, March 20, 2017 4:02 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



WEEKLY REPORT

Office of Audit

March 20, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Internal Control Gaps Hinder Oversight of U.S. Personal Services Contracts in Asia. Issued in final today.

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Draft FY 2017 audit plan. On your calendar to discuss tomorrow (March 21). That same day is the debut of our Audit Unit Meeting.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: Jordan Community Engagement Project (RIG to issue)
- Draft reports with AIG/A or Principal DAIG/A: ARTF
- Other report(s) very near to completion: Colombia Clean Energy (processing agency comments-additional info requested from agency).
- Draft reports that AIG/A recently reviewed that should be getting close to issuance to Agency: QITABI, Pakistan Citizens Voice, APEC/ATAARI
- New Audit starts, upcoming: Countering Violent Extremism (Frankfurt).
- Audit Process Guidance: is in semi-final stages of being reviewed of Audit. Plan to issue in April.
- SIGAR. Talking to AIG/A on Friday for part 2 of the discussion of AUAF.
- DRG work. Working with RIG/Frankfurt on objectives. Talked to Senate Foreign Relations staff on Friday. They're keen on having us do a broader look at DRG with a dual focus on HQ strategy/direction and mission-level implementation.
- GAO's Center for Audit Excellence: still finalizing list of DC and hub dates.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- (b)(6) is in training all week.
- Christine on (b)(6) back tomorrow.

The BTW Corner

Thursday is National Chip and Dip Day. Enjoy some mouth-watering nachos.



(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, March 13, 2017 2:49 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



WEEKLY REPORT

Office of Audit

March 13, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Draft FY 2017 audit plan. On your calendar to discuss March 21.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: Jordan Community Engagement Project (RIG to issue), US Personal Service Contractors in Asia (RIG to issue).
- Draft reports with AIG/A or Principal DAIG/A: Citizens' Voice Program (Pakistan), APEC/ATAARI (Manila)
- Other reports very near to completion: Colombia Clean Energy (processing agency comments-additional info requested from agency).
- Draft reports that AIG/A recently reviewed that should be close to going to Agency: N/A
- Exit conferences document under review: Ethiopia PEPFAR (Pretoria)
- New Audit starts: USAID oversight of infrastructure projects (GSAD) may be announced this week. Other imminent starts: USAID oversight of awards (GSAD), Countering Violent Extremism (Frankfurt).
- FISMA audits (all 5 audited agencies). ITA is in entrance conference season.
- D&G work. Discussed with RIG/Frankfurt the possibility of doing a D&G audit in response to inquiries from Senate Foreign Relations.
- GAO's Center for Audit Excellence: Received best/worst dates at HUBs (including 2 Washington, D.C. training session) and communicated with GAO last week. They are finalizing their list and hope to get back to us this week.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- MCC outreach. Had productive meetings last week with (b)(6) (Audit Committee member) Plus One and MCC senior career management.
- Melinda in Cairo and Frankfurt this week (b)(6) going to Cairo as well).
- Christopher (CJ) Johnson's last day with OIG was March 10. Abdoulaye Gueye will be Acting EFA Director beginning April 1, but is already involved in day-to-day matters working with Alvin Brown and EFA staff. Any reports issued the rest of the month will be issued by Alvin Brown.

The BTW Corner



**HAPPY
St. Patrick's
DAY**

A black and white graphic for St. Patrick's Day. It features the text "HAPPY St. Patrick's DAY" in a bold, stylized font. The word "HAPPY" is in all caps, while "St. Patrick's" is in a mix of caps and lowercase. "DAY" is in all caps. To the right of the text is a silhouette of a leprechaun's top hat. There are three shamrocks: one at the top left, one to the left of "DAY", and one to the right of "DAY".

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, March 06, 2017 12:28 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



Office of Audit

March 6, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Draft FY 2017 audit plan. We stand ready to be **SUMMONED** to discuss.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: Citizens' Voice Program (Pakistan), APEC/ATAARI (Manila)
- Other reports very near to completion: Jordan Community Engagement Project (processing agency comments), USPSC Asia (processing agency comments), Colombia Clean Energy (processing agency comments-additional info requested from agency), USAID DATA Act Readiness (with DAIG/A for review).
- Draft reports that AIG/A recently reviewed that should be close to going to Agency: N/A
- Exit conferences: Cambodia HIV/AIDS (Manila) cleared for exit. Follow-up of recommendations from "Audit of USAID User Profiles for Applications Hosted by the National Finance Center to address control deficiencies over security profiles for users who access applications hosted by NFC" (exit with AIG/A for review).
- New Audit starts: USAID oversight of infrastructure projects (GSAD) may be announced this week. Other imminent starts: USAID oversight of awards (GSAD), Countering Violent Extremism (Frankfurt). Recently announced audit of Ukraine HIV/AIDS portfolio (Frankfurt).
- Lead IG meeting (OCO): IO working with DOD on agenda; meeting will be held at RRB on March 9. Audit will participate (Frankfurt by phone).
- GAO's Center for Audit Excellence: Received best/worst dates at HUBs (including 2 Washington, D.C. training session) and will reach out to the Center this week to coordinate mutually agreeable schedule.
- **TESTIMONY!!** Ann will testify before House Foreign Relations on March 9.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Africa trip. RIG/Pretoria visit set for April 3-12.
- Paris forum: Session went well, productive discussions with donor governments and a few PIOs including the World Bank. We are now charter members of the newly merged International Audit and Integrity Group (both A and I combined). Tom and Lisa McClennon represented A and I, respectively. Will circulate a brief summary over the next week or so.
- Melinda will be in Cairo and Frankfurt next week (James Charlifue going to Cairo as well).
- Tom on (b)(6)

The BTW Corner

Be advised that March 9 is...

POPCORN LOVERS' DAY



(b)(6) (IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Monday, February 27, 2017 4:42 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/IO); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: Audit weekly report



Office of Audit

February 27, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Draft FY 2017 audit plan for IO, I & M review expected this week.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: N/A

- Other reports very near to completion: Jordan Community Engagement Project (processing agency comments), USPSC Asia (at the agency for comment), Colombia Clean Energy (at the agency for comment), Sustainable Audit of MCC-Philippines (processing final report), USAID DATA Act Readiness (with DAIG/A for review).
- Draft reports that AIG/A recently reviewed that should be close to going to Agency: Asia Regional Trade Audit.
- Exit conferences: Health Emergency Preparedness Audit (exit held today, was well received); Cambodia HIV/AIDS (just returned to AIG/A for 2nd review).
- FY17 master plan: Incorporating changes from audit offices.
- Lead IG meeting (OCO): IO working with DOD on agenda; meeting will be held at RRB on March 9.
- GAO's Center for Audit Excellence: Received feedback on proposed dates from the RIGs. Looking at best dates for HUB training internally and then will coordinate with GAO.
- **TESTIMONY!!** Ann will testify before House Foreign Relations on March 9 regarding top management challenges and OIG plans moving forward. (b)(6) will be POC in Audit. Paula Mathews is RESPONSIBLE OFFICER for shepherding the testimony.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Africa trip. RIG/Pretoria visit set for April 3-12.
- Tom in France for the International Audit and Investigative Accountability Round Table, February 27-March 2. Back in office March 3. Development agencies' accountability organizations (plus donors like World Bank) will be there. Along with SIGAR Sopko. Christine will be in the office this week.
- Bangkok office. NSDD-38 request memo sent to Embassy last week. Stay tuned for results.
- Audit Managers Meeting 2017: Will be held o/a June 26 right after the OIG-wide leadership offsite, which is still in the planning stages. In future monthly RIG and Office Director meetings we will seek ideas on sessions.
- Please don't forget about tomorrow's 3rd Annual OIG Awards Ceremony starting at 11:00 a.m. in the RRB. IG/M should be sharing a call in number shortly.

The BTW Corner



NATIONAL POLAR BEAR DAY

National Polar Bear Day, also known as International Polar Bear Day is observed on February 27th. It is a day to learn more about the polar bear and conservation efforts where the polar bear is concerned.

Polar bears can reach a height of 9 ft. tall and a weight of 1400 pounds. They have large front paws, which are slightly webbed, that are used to paddle as they swim. As they are powerful swimmers, some polar bears have been seen swimming hundreds of miles from land. However, some of the distance may have been covered by floating on sheets of ice. The polar bears have a warming layer of fat which is covered by their thick coat of insulating fur which helps them live in the colder environments.

(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, February 20, 2017 4:20 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report

Hello- Christine and Tom will be at an OIG senior leadership offsite on Tuesday February 21 - Wednesday February 22. We will be in the DC metro area. We will be in touch periodically via email. We will be back in force on Thursday February 23.



Office of Audit

February 20, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann or Justin for their review or a decision is needed)

- N/A

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

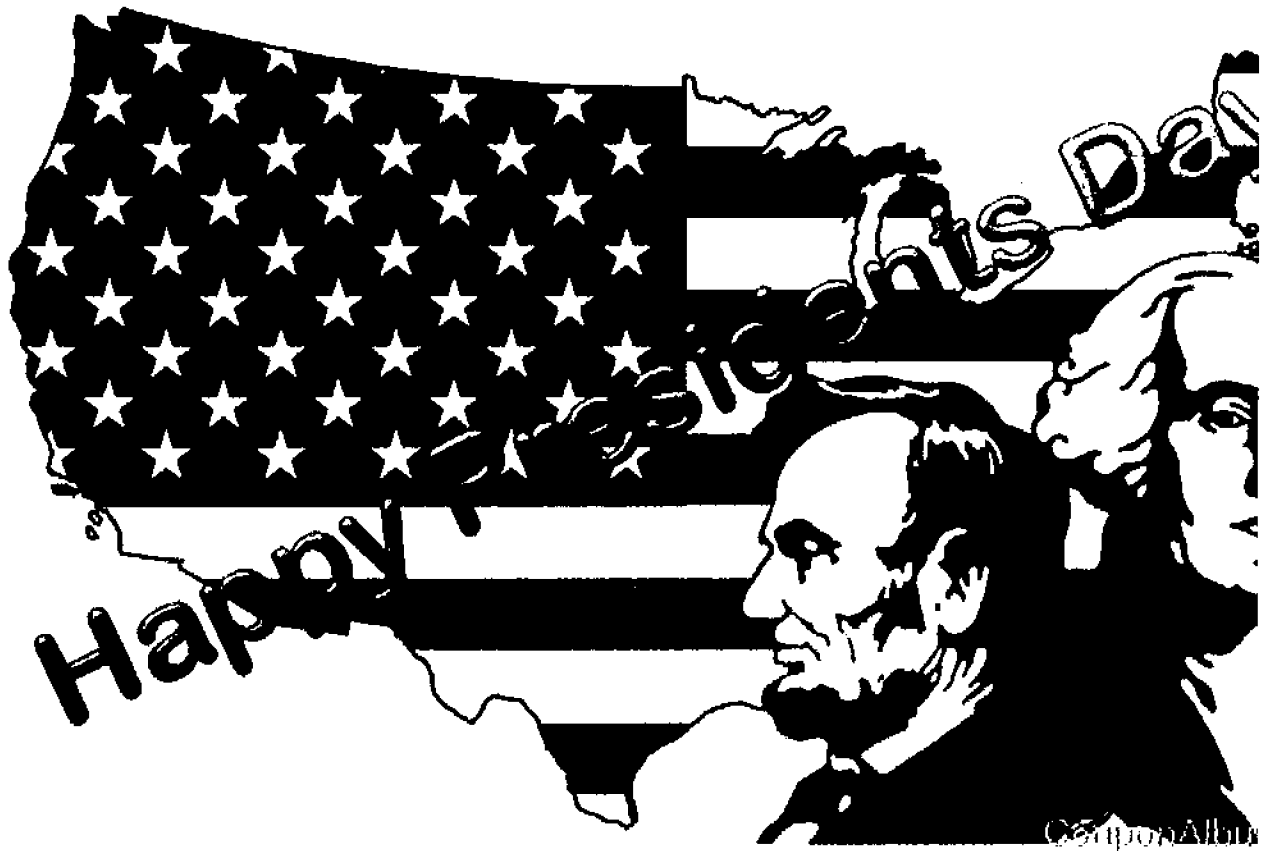
- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: ARTF (see above).
- Other reports very near to completion: Jordan Community Engagement Project (processing agency comments), USPSC Asia (at the agency for comment), Colombia Clean Energy (at the agency for comment), Sustainable Audit of MCC-Philippines (processing final report), USAID DATA Act Readiness (working with the IPA to finalize for issuance).
- Draft reports that AIG/A recently reviewed that should be close to going to Agency: Asia Regional Trade Audit.
- Getting close to exit conferences for (AIG/A has reviewed): Cambodia HIV/AIDS(PEPFAR).
- FY17 master plan: A draft is under review within Audit. Comments due back this week.
- Audit Key Priorities Tracking Dashboard: DONE. Released to All Hands. Welcome any suggestions on how to make it better.
- (b)(6) will be joining us part-time as a WAE to assist in critical priorities in PPA, including policy review, Handbook revision, quality assurance review, and independent referencing.
- Lead IG meeting (OCO): IO working with DOD- date set for March 9.
- GAO's Center for Audit Excellence: seeking feedback on proposed dates from the RIGs. Please send.
- **TESTIMONY!!** Ann may testify before House Foreign Relations on March 1 regarding top management challenges and OIG plans moving forward. (b)(6) will be POC in Audit. Paula Mathews is RESPONSIBLE OFFICER for shepherding the testimony.
- Recommendation follow-up initiative. We have met a few times with (b)(6) Our understanding is that being the leader and driving the recommendation initiative will be her *primary focus*. Let us know if this is not the case. ☺ PPA is at her disposal to assist and/or provide subject matter expertise.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Africa trip. RIG/Pretoria visit set for April 3-12.
- Tom in France for the International Audit and Investigative Accountability Round Table, February 27-March 2. Back in office March 3. Development agencies' accountability organizations (plus donors like World Bank) will be there. Along with SIGAR Sopko. Christine will be in the office during this period.
- Bangkok office. NSDD-38 request memo will be sent to Embassy by the deadline. Jason Carroll is RESPONSIBLE OFFICER.
- Audit Managers Meeting 2017: Will be held o/a June 26 right after the OIG-wide leadership offsite, which is still in the planning stages. In future monthly RIG and Office Director meetings we will seek ideas on sessions.

The BTW Corner



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, February 14, 2017 10:41 AM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Strande, Ruth (IG/M)
Subject: Audit weekly report

INTRODUCING OUR NEW LOGO



Office of Audit

February 14, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Afghanistan Reconstruction Trust Fund Draft Report- continuing to work on getting ready for front office review in the next 2 weeks.
- Memo to U.S. Embassy Thailand – see below.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: ARTF (see above).
- Other reports very near to completion: Jordan Community Engagement Project (processing agency comments), USPSC Asia (at the agency for comment), Colombia Clean Energy (at the agency for comment), Sustainable Audit of MCC-Philippines (processing final report), USAID DATA Act Readiness (working with the IPA to finalize for issuance).
- Draft reports that AIG/A recently reviewed that should be close to going to Agency: Asia Regional Trade Audit.
- Getting close to exit conferences for (AIG/A has reviewed): Public Health Emergencies Preparedness, Cambodia HIV/AIDS(PEPFAR),
- FISMA season kicks off this week. The entrance conference for OPIC's FY17 FISMA audit is scheduled for February 15. Others to follow.
- Reviewing/meeting on proposals for new work on Ukraine HIV strategy, Countering Violent Extremism (CVE), USAID Awards Management, and USAID Selected Infrastructure Activities.
- FY17 master plan: A draft is in hand. Will be sent to all Audit offices today for review/comment. Audit staff will have a week to respond. It is based on individual planning efforts that we did in RIGs, HQ offices, and other specialized planning efforts (some of which you've already been engaged in) including OIR, PEPFAR, AFPak, OPIC. Next step is OIG-wide **socialization**.
- Writer-editor: Due to the loss of an editor and the inability to fill either the previous vacancy or this new one due to the hiring freeze, PPA now only has one editor available. Given this limited resource, PPA asks for your patience when submitting products for editorial review. PPA is working with the front office on getting a contract in place for limited editing support services. Until that time, PPA is using the following priority list to process editing requests: (1) Any product with a statutory deadline is first; (2) Final audit reports; (3) Draft audit reports; and (4) Transmittal memos and other correspondence. Finally, to the extent that we can continue to have (b)(6) involved in planning and earlier stages of the audit discussion we will. If you have questions please contact David Thomanek, PPA Director.
- International Audit and Investigations Round Table. Tom moderating session. Hosted by French AID. February 27-March 1.
- Audit Key Priorities Tracking Dashboard: will launch this week.
- Lead IG meeting (OCO): IO working with DOD- we stand ready to appropriately engage. May occur in early March.
- GAO's Center for Audit Excellence: working on a proposal for training to HUBs plus HQ in 2017. Seeking feedback internally on best dates for hub sessions.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Africa trip. Working with RIG/Pretoria to plan first hub visit there, April 3-12.
- Bangkok office. Must submit NSDD-38 memo to the Embassy by February 23 (and we'd like to get some feedback from RDMA before that). Draft has been circulating- working with I and M. We all agreed that Jason Carroll would be RESPONSIBLE OFFICER for seeing it through to completion.

- Audit Managers Meeting 2017: Based on new date from IO on leadership conference being the week of June 19, we are starting to work with the training director and audit managers on our Audit conference, to be held following the leadership conference in the Washington metropolitan area.

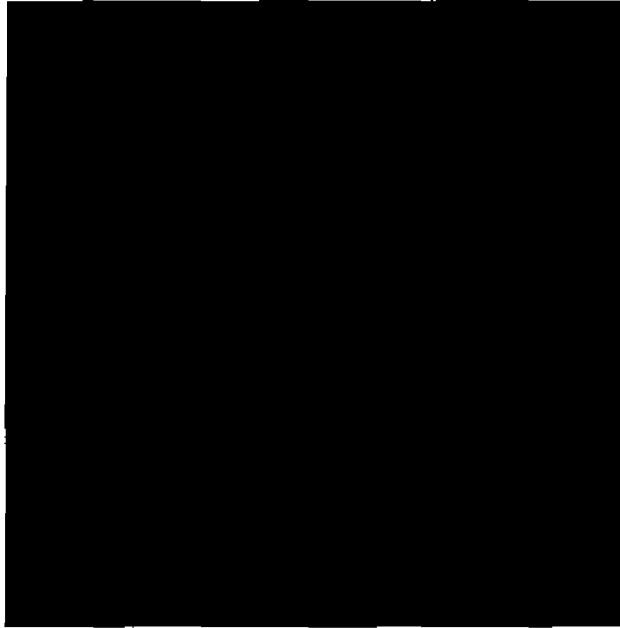
The BTW Corner



(b)(6)

(IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Thursday, January 26, 2017 3:18 AM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: Audit weekly report



Office of Audit

January 23, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- USAID/DOD/State IGs trip ("Tri-IGs") to Iraq, Afghanistan, and Jordan. Audit still working with IO and State/DOD counterparts on agenda and logistics at posts and in route.

3. Hot Issues for IG/DIG/Counselor Awareness:

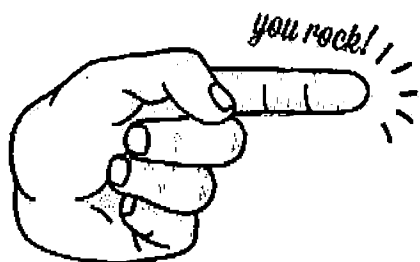
(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: N/A
- FY17 master plan: Received detailed plans from all Audit offices and we're in the process of refining and combining summaries into a **GRAND** plan to send to IO for comment.
- Audit Key Priorities: developed a dashboard to track progress. Need to make a few tweaks. Plan to release in early February and periodically update and disseminate to **ALL HANDS**.
- GAO's Center for Audit Excellence training coordinators are working on a proposal for training to the HUBs in 2017 and we plan to discuss next steps with them this week or the following. Training proposed will be similar to the pilot course held in December, but with some tweaks, including the addition of a course on effectively reviewing others work, including reports, and providing feedback.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Tom, Jason, and Christine are continuing with their Asia office visits and this weekly report is being sent to a computer near you direct from RIG/Manila where we will be through February 1. We regret that we were not able to send one out last week, but hopefully this one makes up for it. ☺
- Due to an executive order issued this week, all hiring actions have ceased. Although some already scheduled interviews may still take place, we will be unable to fill any positions until we get further guidance from OPM, OMB and IG/M. For now at least that will include any internal move requests.
- On January 20, Tom signed and issued AIG/A Memorandum 17-02, Audit's new policy on Controlled Unclassified Information (CUI).
- To correct a statement in the last weekly report, PPA did issue on December 22, guidance on titling NFA products. Please direct any questions you have on AIG/A Memorandum 17-02 and AIG/A-PPA 17-01 to David Thomanek, Director, IG/A/PPA. We can incorporate any needed clarifications or changes into the new Audit Handbook that will be revised starting shortly.
- To address a vacancy in IO and the subsequent reduction in the number of Admin slots (by 1) for Audit, there have been some adjustments in Audit's Administrative Assistants' roles and responsibilities, including:
 - ✓ After undergoing a vacancy announcement and interview process, (b)(6) accepted a position in the Front Office. Congratulations (b)(6)
 - ✓ (b)(6) has been assigned to cover GSAD and PPA and will report directly to Van Nguyen as the Director, IG/A/GSAD.
 - ✓ (b)(6) duties have been broadened to cover ITA in addition to FA and EFA.
 - ✓ (b)(6) roles and duties remain covering IG/A/MCC.
 - ✓ As Audit's (b)(6) will continue to serve in his role as coordinator to help ensure all audit staff, overseas as well as in HQ, receive consistent and sufficient administrative support. This role includes being Audit's liaison with Audit RIG/Directors as well as IG/M, IG/I, and IO to that effect.



- NATIONAL COMPLIMENT DAY -

(b)(6) (IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Thursday, January 05, 2017 9:17 AM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Cc: (b)(6) (IG/IO)
Subject: Audit weekly report



Office of Audit

January 4, 2017

1. **Senior Management Meeting (SMM) Items:**

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- On December 27, 2016, GSAD issued the final Ebola awards audit entitled *Ebola Experience Highlights Opportunities To Strengthen USAID's Award Process and Reprogram Funds*.
- On December 29, 2016, RIG/Frankfurt issued the draft report on USAID/Jordan's Community Engagement Project entitled *Mission's Changing Focus and Approach Makes it Difficult to Measure Success of the Jordan Community Engagement Project*.

2. **Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:**

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- OPIC: We have provided Audit's input on the draft MOU to (b)(6) in LC who will finalize it.

- USAID/DOD/State IGs trip ("Tri-IGs") to Iraq, Afghanistan, and Jordan. Tom will no longer be attending this trip. Still need to continue to work with IO on agenda, including expectations for briefing papers.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: ARTF, Pakistan Citizen's Voice.
- FY17 master plan: Received detailed plans from all Audit offices and we're in the process of refining and combining summaries into a GRAND plan to send to IO for comment.
- Audit Key Priorities: developed a dashboard to track progress. Need to make a few tweaks. Plan to release after the holidays and periodically update and disseminate to **ALL HANDS**.
- GAO's Center for Audit Excellence seemed to play to rave reviews. Audit met with GAO December 21 to discuss next steps. GAO is working on a proposal for training to the HUBs in 2017. Training proposed will be similar to the pilot course held in December, but with some tweaks, including the addition of a course on effectively reviewing others work, including reports, and providing feedback.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Vacancy announcements for GSAD GS and FS auditors closed last week and we are working with HR on the most efficient way to expedite review of applicants. Writer-Editor announcement closes on January 5 (looking to eventually expedite review as well).
- Request for MCC GS auditor vacancies sent to HR last week.
- PPA will be issuing naming convention guidelines for developing NFA audit titles to provide for more consistent report titles. This will help with the presentation of the SARC tables and make them easier to read with a consistent naming convention being used. Stay tuned and pay heed...
- Site visits: Tom and Christine continue planning site visit tour in Asia for o/a January 14 through February 2, 2017, which will no longer include Afghanistan. Meeting with M and I to discuss approach for Bangkok.
- Some managers are still on leave into January; please check the previous weekly report for specifics.
- Next Monday through Thursday (Jan 9-12), Tom/Christine will be attending the Executive Session of the Leadership and Management Training provided to other OIG leaders in 2016. As a result, there will be no audit weekly report next week and the one following that will be delivered to you from Pakistan. ☺

The BTW Corner



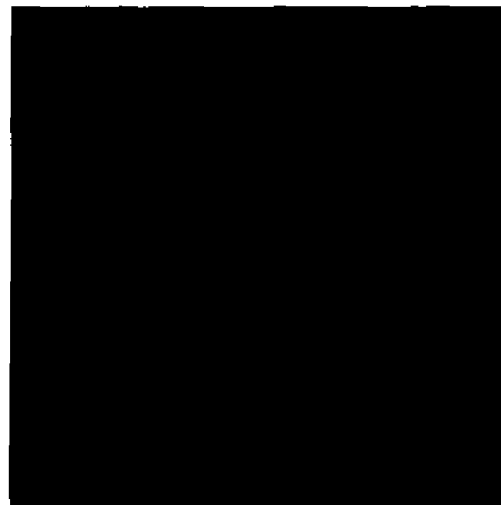
*Happy
New Year*
2017*

designed by  freepik.com

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, December 14, 2016 5:48 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: Audit weekly report



Office of Audit

December 13, 2016

1. **Senior Management Meeting (SMM) Items:**

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Nothing

2. **Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:**

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- OPIC: GSAD has prepared a planning paper for OPIC performance audits; we are meeting this afternoon. We hope to get an audit options paper up to Ann and Cathy tomorrow and meet on Friday or early next week. And then we can bring closure to the MOU. Tom and Cathy have talked about process.
- USAID/DOD/State IGs trip ("Tri-IGs") to Iraq, Afghanistan, and Jordan. Need kick-off meeting before the holidays so we are all on same page about plans and expectations. Tom will now be accompanying due to Justin's planned absence. IO lead in scheduling—and ensuring representation of all relevant offices.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project.
- MCC Board: Audit Committee meeting is next week- December 20. Tom, Christine, and Gary Middleton will attend. M and I representation will be needed. We are planning a pre-committee meeting on December 19 with all OIG participants.
- FY17 master plan: Obtaining feedback from RIGs and Office Directors. Received detailed plans from Pakistan, Manila, Pretoria and San Salvador so far and we're in the process of refining those specialized and geographical discretionary audit plans internally. The GRAND plan is to provide initial list for discussion purposes with IO after the holidays.
- GAO's Center for Audit Excellence seemed to play to rave reviews. We will get attendee feedback from GAO. Participants were also asked to provide Christine and Tom with any direct feedback via email. To date, none has been received, but we did get some verbal feedback.
- Staffdel planned in Kabul this week. (b)(6) (Audit) and (b)(6) (Investigations) will brief the two committee staff, both from SAC-FO, (b)(6) and (b)(6). A pre-staffdel meeting was held today to help prep the OIG presenters.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Site visits: Tom and Christine continue planning site visit tour in Asia for o/a January 13 through February 2, 2017. As part of this trip, we'll also accompany representatives from IG/M and IG/I to discuss prospective new office location in Bangkok, Thailand, with USAID and Embassy officials.
- With the Holiday season fast approaching us, we are requesting that all office heads communicate their Acting RIG/Director schedules with all of audit. Schedules already sent are as follows:

MISSION NOTICE 2016/112

TO: All Mission Employees
FROM: Michael Tesko, REXO
DATE: December 9, 2016
SUBJECT: Acting RIG/Pretoria

John Vernon will be (b)(6) and will return January 03, 2016. During his absence the Acting RIG will be as follows:

(b)(6) from December 13, 2016 – December 20, 2016. He can be reached at (b)(6).

(b)(6) from December 21, 2016 – December 30, 2016. She can be reached at (b)(6).

OIG/Pakistan

(b)(6) – December 20 – 23, 2016

(b)(6) – December 24 – 28, 2016

(b)(6) – December 29, 2016 – January 16, 2017

RIG/Manila

Matthew Rathgeber, RIG/Manila, will be out of the country from (b)(6)

In his absence, (b)(6) will be Acting RIG/Manila, (b)(6)

PPA

12/9- (b)(6)

12/12- (b)(6)

12/13-12/15 (b)(6)

12/16- (b)(6)

(b)(6)

(b)(6)

OIG/Kabul

(b)(6) Acting Dec 26-28

(b)(6) Acting 12/29 to 1/5

The BTW Corner

There is a ... **FULL MOON**



(b)(6) IG/IO

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, November 29, 2016 4:54 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: Audit weekly report

Hi- See below.

Also, please bear with us this week. Ann is testifying before Senate Foreign Relations next week and that has been a heavy lift for us in terms of prep work and the statement. We also have our NFA working group going on this week. Ergo, Christine and I (and parts of PPA) might not be as responsive as we'd like to be. Thanks !

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, November 28, 2016 4:56 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Goldfluss, Lisa (IG/IO); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report



Office of Audit

November 28, 2016

I. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Nothing

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- N/A

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project. MCC DATA Act readiness report.
- Report template: issued to all staff last week. Plan to hold an all hands to go over it and answer questions.
- NFA Working Group: Staff from all over the world are in DC this week to begin the process.
- OPIC: GSAD has prepared an planning paper for OPIC performance audits, which we hope to narrow down with them this week so we can proceed with the MOU. Status? Just received it from (b)(6) today.
- December 8 Testimony: Providing support to IO (lead)- prep materials and info as requested for the statement.
- MCC Board: the Plus-Ones meeting is this Wednesday (essentially a dry run of the December board meeting).
- Entrance conference: Health Systems Strengthening on Wednesday (RIG/Pretoria and San Salvador staff here this week).
- FY17 master plan: Obtaining feedback from RIGs and Office Directors...(how should we characterize this?). In the process of refining specialized audit plans, e.g., Pakistan or OPIC, as well as working with RIG/Offices on the development of a few new discretionary ones that we've identified for discussion purposes, e.g., Human Capital and Procurement related audits that GSAD is developing. Plan is to provide initial list for discussion purposes with IO either right before after the holidays.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Site visits: Tom and Christine continue planning site visit tour in Asia for January 2017 to include Afghanistan, Pakistan, and Manila. As part of this trip, we'll also accompany representatives from IO, IG/M and IG/I to discuss prospective new office location in Bangkok, Thailand, with USAID and Embassy officials.
- Tom participating in Chief of Staff interviews this week and next.
- With the Holiday season fast approaching us, we are requesting that all office heads communicate their Acting RIG/Director schedules with all of audit.

The BTW Corner

Today is ... National French Toast Day (hope you had a hearty breakfast)



(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, October 26, 2016 11:24 AM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: Audit weekly report

The Weekly

Office of Audit



October 25, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- *The all new Audit report template. Meeting on Thursday with the CO and writer-editors to go over any final comments. Then will send to you for review shortly thereafter—with meeting to follow. Quick preview: based on their research the most readable font/color on personal devices is shown in this example-*

efforts to address key challenges fall short

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: El Salvador Regional Trade
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project; Colombia Clean Energy.
- NFA Remodeling Working Group: Kick-off scheduled for week of November 28 in DC. Drafting agenda. Plan to include IG/DIG for brief remarks on day 1.
- OIR (OCO). Entrance conference for PIO audit on October 27. (RIG/Frankfurt). Hill briefing October 27 with HFAC.
- Zika. Announcing new audit work this week. Plan to conduct survey period in DC in early November (led by RIG/San Salvador team).
- FISMA. ITA working with agencies to bring closure, with November 10 deadline. Met with Legal today. Will be issuing full reports this year (no summaries).
- HIV/AIDS in Ukraine. Announced new audit last week starting with survey period (RIG/Frankfurt).
- GMRA. Hard work continues, barreling down on November 15 deadline (FA and MCC).
- Hurricane Matthew. RIG/San Salvador continues gathering, tracking and communicating any USAID response to the aftermath.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Recruitment: Need clarity on audit's approved FTEs and whether/to what extent we can take initial steps to hire at entry level (vacancy announcements).
- Tom had great trip to Frankfurt. Danke to everyone for planning a robust schedule of internal and external meetings. Next stops will be RIG/Pretoria and RIG/Manila in early 2017.
- Successful Senate staff delegation meeting last week (RIG/Manila). Kudos to all.
- (b)(6) visiting RIG/Pretoria offices.
- Christine in training, back on Thursday.

The BTW Corner

THIS FRIDAY WE COMMEMORATE THE BIRTHDAY OF OUR 36th STATE. 152 YEARS YOUNG.



Happy Nevada Day

(b)(6)

(IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Thursday, October 13, 2016 3:50 PM
To: IG.A Washington Auditors Mail List; IG.A Overseas Auditors Mail List
Cc: Altman, Daniel (IG/I/AIG); Nunez-Mattocks, Aracely (IG/M); Goldfluss, Lisa (IG/IO); (b)(6) (IG/IO)
Subject: Audit weekly report

The Weekly

Office of Audit

October 11, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Top Management Challenges will be sent in draft to USAID and MCC on Friday, October 14. Based on our recent audits and investigations, we identified five top management challenges for USAID and one for MCC in fiscal year 2017: (1) Developing strategies to work effectively in nonpermissive and contingency environments. (2) Strengthening local capacity and sustainability while ensuring adequate oversight of USAID funds. (3) Reconciling interagency priorities to advance international development. (4) Improving program design and contractor and grantee monitoring. (5) Meeting governmentwide financial and information management requirements. (6) Achieving effective development and implementation of MCC programs and proper stewardship of corporation resources and information.

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Zika 3-stage proposal
- BOARD recommendations for FSO assignments during the period October 1, 2016 through March 31, 2018. (Coming from IG/M/HC)
- NEW Audit report template

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: El Salvador Regional Trade

- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project; Ebola Awards; Review of USPSC use in the Asia region; Colombia Clean Energy
- Recommendation Follow-up Working Group. Finalized charter. Will have formal kick-off meeting soon.
- NFA Remodeling Working Group: Sent email to Audit all hands with charter and request for Working Group volunteers. Kick-off meeting planned for week of November 14 in DC.
- GAO Center for Excellence Training Phase I: Agreement finalized; email sent to phase I training participants today in preparation for December 5-8 training.
- Ebola: Hill briefing October 27 with HFAC.
- RIG/San Salvador will be gathering, tracking and communicating any USAID response to the aftermath of Hurricane Matthew. If help from DC is needed gathering information on OFDA and FFP activities, they will reach out to PPA for assistance.

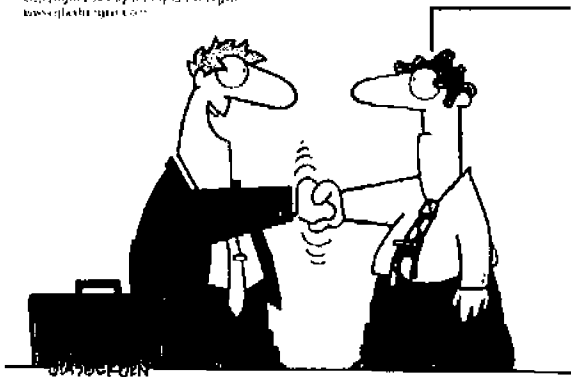
4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- GSAD Civil Service AD: candidate selected; stay tuned for announcement.
- FSO vacancies: Board finalized recommendations October 7. IG/M/HC will provide the final recommendations for IG's approval this week. After they are approved, Tom or Christine will reach out to all audit staff that submitted a COAR and let them know the outcome first. After all staff are informed, IG/M will send out the list to all.
- Recruitment: Need clarity on audit's approved FTEs and whether/to what extent we can take initial steps to hire at entry level (vacancy announcements).
- Tom on TDY in Frankfurt now through October 20.
- OIG Haiti staff weathered the storm in safety; but many others particularly in the south of the country could still use your good thoughts.

The BTW Corner

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**"We're burning 20 calories just by shaking hands.
This relationship is off to a great start!"**

(b)(6) (IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Tuesday, August 23, 2016 5:35 PM
To: IG.A Washington Auditors Mail List; IG.A Overseas Auditors Mail List
Cc: (b)(6) (IG/IO); Altman, Daniel (IG/I/AIG); Nunez-Mattocks, Aracely (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Trujillo, Catherine (IG/IO)
Subject: Audit weekly report

The Weekly

Office of Audit, August 22, 2016

The weekly report is pleased to unveil its new main font—Gil Sans.

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Within in the next two weeks, RIG/Pretoria plans to issue its Audit on Tanzania Feed the Future Activities, entitled “Results of Feed the Future Activities in Tanzania Are Unclear Due to Unreliable Data.”

2. Items Currently in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Recommended selections for GSAD Assistant Director and ITA Director positions approved by IG. Decision announced on August 22. Please congratulate (b)(6) and Mark Norman.
- USAID Classification audit/compliance with Executive Order 13526. Met with IO to discuss time frames and review process. Gave language to Ann to provide Deputy Administrator with summary email, which was sent August 23. Working on draft report. Similar MCC audit is underway too. Very tight timeframes involved. Need to send to agency before September 6; receive their comments by September 23, and then issue by September 30. AIG/A will sign the report, which will be addressed to the Deputy Administrator.
- HIV/Malaria/Tuberculosis Audit Plan (required issuance by August 31). We’re in charge of coordinating it. Sent draft to State and HHS seeking their input. Plan is to use electronic signatures, so would like Ann’s input into USAID section by August 26; and then Justin can approve for her the following week. HHS OIG has specifically asked to see the final version signed by our IG prior to their IG signing.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final

reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process at AIG level: None.
- Reports in process at AIG/A or PDAIG/A level: Review of USAID's Use of U.S. Personal Services Contracts in Asia (working with (b)(6) on it); Audit of USAID/Lebanon's Quality Instruction Toward Access and Basic Education Improvement (QITABI); Audit of USAID/Haiti's Pilot Project for Sustainable Electricity Distribution; USAID and MCC Classification audit/compliance with Executive Order 13526.
- Top management challenges: PPA will circulate revised list with the calendar for meeting October deadline for draft shortly. Plan to get an initial draft to (b)(6) going by September 1.
- EPPA Audit: Report being indexed and referenced in full. We have received IO comments and GSAD has incorporated them. Once we have completed the referencing process, which is currently a little over 60% complete, the entire report will be submitted to LC and IO and we are asking for a rapid turnaround. Goal is to issue final to Ann on September 6, with final issuance o/a September 8.
- Report format: Working with Cathy, (b)(6) (and writers), and PPA to propose a new format for audit reports. Will engage others after Labor Day.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- Yatsco will be on (b)(6) overseas (back in the USofA on September 2). Returning to the office on September 6. Christine Byrne will be ACTING AIG/A. This trip has been planned since the mid-1970s so regrets on not being here for the OIG picnic!
- MCC Performance Audits Director: the selected candidate—Gary Middleton—reports to work on September 6. He has both IG and GAO experience. Bio will be circulated when he arrives.
- Recruitment request for Civil Service GSAD Assistant Director was sent to HC. Director provided comments on recruitment package this week, so HC working on issuing.
- John Vernon (soon to take post as RIG/Pretoria) is here in HQ all week.
- OIG/Afghanistan: (b)(6) on (b)(6) will be Acting Director.
- OIG/Pakistan: (b)(6) on (b)(6); Nate Marceca will be Acting Director and (b)(6) will be the contact for audit-related matters.
- RIG/San Salvador: Jon Chasson (b)(6) Acting.
- Reorg- Will start working on transition plans. Working with HR on announcement for detail as Melinda's Assistant (will seek applicants from GS and FS staff).
- Pakistan management positions: on hold pending additional discussions about organizational placement. Discussed options with the I side. This was announced at All Hands last week.

The Weekly Report staff wishes everyone a happy Labor Day. Guess what this means? No weekly message next week. Enjoy your holiday!



(b)(6)

IG/IO

From: Byrne, Christine (IG/A/AIG)
Sent: Wednesday, August 17, 2016 11:57 AM
To: IG.A Washington Auditors Mail List; IG.A Overseas Auditors Mail List
Subject: Audit weekly report

THE WEEKLY REPORT- Office of Audit (A)

Week of August 15, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Working towards issuing the EPPA report before August 26, see more below.
- The Cybersecurity Act of 2015, Section 406(b) requires each inspector general of an agency that operates systems with access to personally identifiable information to review and report on the systems' computer security in several areas. This review was performed by an independent accounting firm, and we issued their report to USAID on August 15.

2. Items Currently in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- None.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process at AIG level: None, but expect to receive the Tanzania Feed The Future Audit from Dave Thomanek this week.
- Reports in process at AIG/A or PDAIG/A level: Review of USAID's Use of U.S. Personal Services Contracts in Asia (with (b)(6)) Other audit draft reports that have gone through at least one round of AIG/A/Principal review include: Jordan's Community Engagement Program (with editors); Audit of USAID/Pakistan's Citizens Accountability and Voice Program (with editors).
- Top management challenges: met with IO last week. Finalized list of topics. PPA will circulate revised list with the calendar for meeting October deadline for draft shortly.
- Received Management comments on Pakistan EPPA Audit. Final report being indexed and referenced in full. We have received IO comments and GSAD is incorporating them

currently. Once we have completed the referencing process, which is currently a little over 50% complete, the entire report will be submitted to LC and IO and we are asking for a rapid turnaround. Goal is to issue final nlt August 26 (34 days from receipt of management comments).

- Classification audit/compliance with Executive Order 13526. Had the exit conference, which went well. Plan to make it a significant deficiency. Report due 9/30.
- Cybersecurity Act reports – Mailed all agency reviews to Hill yesterday.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- MCC leadership positions: Beginning interviews.
- Yatsco going to NYC for the UN Syria Investigations Working Group Investigations staff (Tuesday-Thursday). Back in the office Friday.
- Recruitment request for Civil Service PA Assistant Director was sent to HC.
- FS supervisory/managerial positions- in process.
- RIG/Frankfurt: James Charlifue on (b)(6) (b)(6) Acting RIG August 1-17.
- OIG/Afghanistan: (b)(6) (b)(6) will be Acting Director.
- OIG/Pakistan: Nate Lokos on R&R August 12-30; (b)(6) will be Acting Director and (b)(6) will be the contact for audit-related matters.
- RIG/San Salvador: Jon Chasson (b)(6) Acting.
- ITA: Mark Norman (b)(6) will be the Acting Director on August 15 and 19 (b)(6) will be the Acting Director from August 16 – 18.
- Reorg- sending out overview document to All Hands yesterday. Will start working on transition plans.

(b)(6)

(IG/IO)

From: Byrne, Christine (IG/A/AIG)
Sent: Wednesday, August 03, 2016 5:28 PM
To: IG.A Washington Auditors Mail List; IG.A Overseas Auditors Mail List
Subject: Audit weekly report

FYI

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, August 01, 2016 5:18 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report to IO

THE WEEKLY REPORT- Office of Audit (A)

Monday, August 1, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Final Audit of USAID/Madagascar President's Malaria Initiative Insecticide Spraying Program- USAID/Madagascar Exceeded Goal for Malaria Protection With Indoor Residual Spraying but Could Better Manage Insecticide Supply - Report No. 4 687-16-001-P.

2. Items Currently in the IG/Acting DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Audit Reorganizational Structure (to be communicated to all staff at August All Hands Meeting). Meeting scheduled for tomorrow.
- Audit Priorities Document.

3. Hot Issues for IG/Acting DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process at AIG level: None.
- Financial statement audits (USAID and MCC): ongoing. Teams and contractors have been farming out to various countries to do testing.

(b)(6)

- Top management challenges: agreed to meet with IO again in the next week or so. Audit will draft an initial list of management challenges and there will be one report that combines MCC and USAID challenges, consulting with [redacted] and Investigations.
- Received Management comments on Pakistan EPPA Audit. Final report being indexed and referenced in full. Working with legal counsel, editor (b)(6), and PPA on final message. Need to clarify with IO how and when they want to be brought into process. Goal is to issue final nlt August 22 (30 days from receipt of management comments).
- Would like to reset the baseline on OIG's relationship with MCC and our MOU with them. Will set up meetings with Counselor and others in IO to start the dialogue.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- MCC leadership positions: Selection for Director (PA) position was communicated to applicant, who accepted. Working on GS-14 cert.
- Yatsco returned for El Salvador trip, which was considered a success by all.
- Recruitment request for Civil Service PA Assistant Director was sent to HC.
- Solicitation of interest for FS supervisory/managerial positions was sent out by AIG/M on July 25. Applications due August 8.
- RIG/Frankfurt: James Charlifue on (b)(6). Joy Kadnar Acting RIG August 1-17.
- OIG/Afghanistan: Rob Mason on (b)(6). (b)(6) will be Acting Director.

(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, June 14, 2017 4:32 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); (b)(6) (IG/M); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List; (b)(6) (IG/IO); Mathews, Paula (IG/IO); (b)(6); Nunez-Mattocks, Aracely (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO);
Subject: Strande, Ruth (IG/M)
Audit weekly report



Office of Audit

June 14, 2017

1. **Senior Management Meeting (SMM) Items:**

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Final report on Asia-Pacific Economic Cooperation (APEC) Technical Assistance To Advance Regional Integration Project. Issued June 13, 2017.

2. **Items Currently (or very soon to be) in the IG/DIG Queue:**

(Include all items that are with Ann or Justin for their review or a decision is needed)

- Probing questions/OMB guidance on workforce efficiency memo has been sent to legal counsel for clearance. Plan to issue in final o/a June 21.
- OIG strategic priorities document to be available publically. (b)(6) completed her edit work with IO COS office.
- In discussions with IO and IG/I on responding to a request from the House Committee on Homeland Security and Governmental Affairs to do a review of USAID's processes and compliance with

applicable legal standards for preserving certain electronic records as federal records, and cooperation with Congressional document requests. Will know more after a briefing by (b)(6) who is attending a CIGIE meeting on the request that went to several OIGs. Waiting to hear back from IO on the latest thinking in the IG community.

3. Hot Issues for IG/DIG Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, forthcoming audit announcements, planning items)

- Final reports in process: Citizens Voice (OIG/Pak)
- Draft reports processing management comments:
- Draft reports recently issued to Agency or cleared for issuance: Audit of USAID's compliance with Homeland Security Presidential Directive 12 (HSPD-12) and Ebola Health Care Workers.
- Draft reports with AIG/A or Principal DAIG/A: Public Health Emergency Preparedness
- New Audit starts: Reviewing Follow-up on Afghanistan's Multi-Tiered Monitoring Strategy. Pakistan education project strategy.
- Status of select ongoing audits: Have progress meeting on DRG audit this week (RIG/Frankfurt)
- OIG Leadership meeting and Audit Managers Meeting: Anxiously looking forward to lively discussions next week with OIG leadership. As a result, there will be no weekly message until the following week.
- Strategic Priorities Dashboard. Will disseminate an update by week's end.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming travel or leave, etc.)

- PPA will begin recruitment efforts for a writer-editor as one of our two selectees has decided not to join OIG as originally stated.
- (b)(6) will be in training June 19-30. Please plan accordingly. Contact PPA if any issues pop up.

5. Tip of the Week:

(Under this section we hope to include lessons learned or other nuggets of guidance that may help staff with something that affects them. We are open to any and all suggestions for inclusion that anyone may have that may help another audit staff member somewhere in the world. Please send your ideas to Christine Byrne, (b)(6)

(b)(6) or (b)(6)

- No tips to relay this week. (collective sigh)

The BTW Corner



**JUNE 14TH:
THE BIRTHDAY OF THE U.S.**

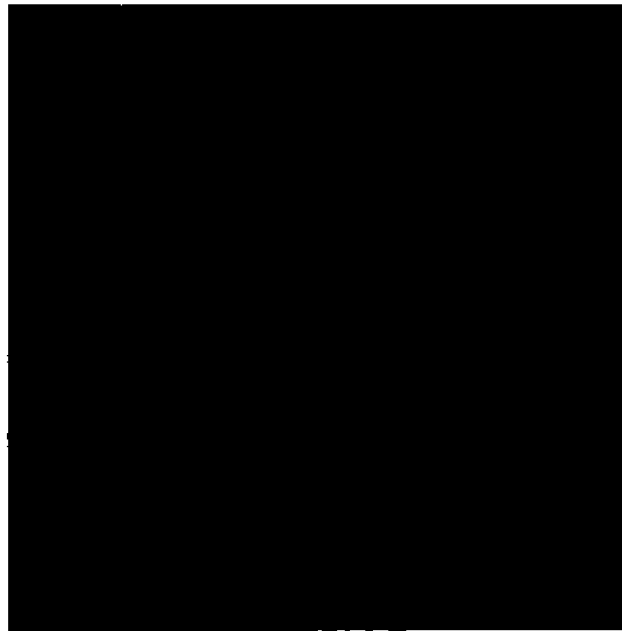
(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, February 08, 2017 8:52 AM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

Back to original programming ...

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, February 07, 2017 1:30 PM
To: Altman, Daniel (IG/I/AIG); Angarella, Nicole (IG/IO); Brown, Justin (IG/IO); Calvaresi-Barr, Ann (IG/IO); Carroll, Jason (IG/M); Mathews, Paula (IG/IO); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M)
Cc: Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report



Office of Audit

February 6, 2017

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently (or very soon to be) in the IG/DIG Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Afghanistan Reconstruction Trust Fund Draft Report- hope to have ready for front office review in the next 2 weeks.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: N/A
- Other reports very near to completion: Jordan Community Engagement Project (processing agency comments), USPSC Asia (at the agency for comment), Colombia Clean Energy (at the agency for comment), Sustainable Audit of MCC-Philippines (processing agency comments), MCC DATA Act Readiness (final cleared for issuance), USAID DATA Act Readiness (working with the IPA to finalize for issuance)
- Reviewing proposals for new work on Ukraine HIV strategy, Haiti Human Capital, Countering Violent Extremism (CVE), USAID Awards Management, and USAID Selected Infrastructure Activities.
- FY17 master plan: The GRAND plan will be shared with Audit offices later this week for comment. A response will be due by the end of the following week, and then will be shared with IO and IG/I for comment.
-
- Audit Key Priorities: developed a dashboard to track progress. Need to make a few tweaks. Plan to release in February and periodically update and disseminate to **ALL HANDS**.
- GAO's Center for Audit Excellence is working on a proposal for training to the HUBs in 2017. Meeting with CAE today. Training proposed will be similar to the pilot course held in December, but with some tweaks, including the addition of a course on effectively reviewing others work, including reports, and providing feedback. PPA is working on a separate hub-based training seminar on indexing/referencing/audit quality.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Tom and Christine are back in HQ. The Asia trip was a success and reinforced our resolve to get out to the field as often as we can to continue the dialogue and engagement with all staff—and be more time zone friendly OIG-wide in terms of scheduling meetings, all hands, brown bags, etc.
- Bangkok office. Did outreach to the Embassy and Mission in the hopes of opening a HUB there while maintaining a sub-office in Manila. We received a warm reception and RDMA is supportive, but we got a friendly (b)(6) for both the Embassy and the Thai government (Bangkok is stretched to the max in terms of USDHs and many agencies have the same hub idea we do!). We give it a 50:50 chance, but will pursue and prepare a NSDD justification memo (IG/I is leading and will circulate draft this week). Stand by, but don't start

packing any bags. Remember- even if we did move down the road – we'd keep Manila as a sub-office with one or two USDHs and existing FSNs.

The BTW Corner



National Fettuccine Alfredo Day February 7

www.NationalDayCalendar.com

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, December 21, 2016 9:00 AM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Cc: (b)(6) (IG/IO)
Subject: FW: Audit weekly report
Attachments: IGEA Quick Guide.pdf

To all Audit staff:

Don't shed a tear: this will be the last Weekly Report before the holidays. But we'll be back better than ever in January. We've made a lot of progress this year with more to come. Much obliged to everyone for their hard work and dedication to an important mission.

Have a happy holiday season and see ya'll in 2017.

PS- I attached a summary of the new IG Empowerment Act which was signed into law this week.



Office of Audit

December 20, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Nothing

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- OPIC: Cathy provided comments on OPIC planned audit topics today. We will address and work with (b)(6) to finalize MOU.
- USAID/DOD/State IGs trip ("Tri-IGs") to Iraq, Afghanistan, and Jordan. Held kick-off meeting with IO, Kabul, and Frankfurt yesterday. (b)(6) is recutting the roles and responsibilities document.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project, ARTF, Citizen's Voice.
- MCC Board: Audit Committee meeting is this morning, although we've taken the liberty to redefine it was the IG/oversight committee and M and I will always be represented and on the agenda going forward. Tom, Christine, Lisa McClennon, Jason Carroll, Gary Middleton, and other key staff will attend. We held pre-committee meeting today.
- FY17 master plan: Obtaining feedback from RIGs and Office Directors. Received detailed plans from Pakistan, Manila, Pretoria, GSAD and San Salvador so far and we're in the process of refining those specialized and geographical discretionary audit plans internally. The GRAND plan is to provide initial list for discussion purposes with IO after the holidays.
- Audit Key Priorities: developed a dashboard to track progress. Need to make a few tweaks. Plan to release after the holidays and periodically update and disseminate to **ALL HANDS**.
- GAO's Center for Audit Excellence seemed to play to rave reviews. We are encouraging all participants in December's training to provide Christine and Tom with any direct feedback via email. To date, none has been received, but we did get some verbal feedback. Audit meeting with GAO this week to discuss next steps.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- PPA will be issuing naming convention guidelines for developing NFA audit titles to provide for more consistent report titles. This will help with the presentation of the SARC tables and make them easier to read with a consistent naming convention being used. Stay tuned and pay heed...
- Site visits: Tom and Christine continue planning site visit tour in Asia for o/a January 14 through February 2, 2017, which will no longer include Afghanistan (due to upcoming trip by 3 IGs in February). Tom will be going on the Tri-IGs trip, circa February 4-12. **NO REST FOR THE WEARY.**
- Holiday season is HERE! Christine will be (b)(6) Tom and Alvin will be (b)(6) (b)(6) And, Melinda will be (b)(6) Acting RIG/Director schedules are as follows:

**MISSION NOTICE
2016/112**

TO: All Mission Employees

FROM: Michael Teske, REXO

DATE: December 9, 2016

SUBJECT: Acting RIG/Pretoria

John Vernon will be (b)(6) and will
During his absence the Acting RIG will be as
follows:

(b)(6) from December 13, 2016 – December 20, 2016 can be
reached on (b)(6)

(b)(6) from December 21, 2016 – December 30, 2016 (b)(6)
can be reached on (b)(6)

OIG/Pakistan

(b)(6) – December 20 – 23, 2016

(b)(6) – December 24 – 28, 2016

(b)(6) – December 29, 2016 – January 16, 2017

RIG/Manila

Matthew Rathgeber, RIG/Manila, will be (b)(6) from (b)(6) to (b)(6)

In his absence, (b)(6) will be Acting RIG/Manila, (b)(6)

OIG/Kabul

(b)(6) Acting Dec 26-28

(b)(6) Acting 12/29 to 1/5

RIG/Frankfurt

(b)(6) will be Acting RIG/Frankfurt from December 22 – January 13th. (b)(6) can be reached at

(b)(6)

MCC/IG

Gary Middleton will be Acting DAIG, December 19-23, 2016, and Fred Jones will be Acting DAIG, December 27-29, 2016.

The BTW Corner

HAPPY



(b)(6)

IG/IO

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, December 07, 2016 3:54 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, December 05, 2016 5:34 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); Goldfluss, Lisa (IG/IO); (b)(6) (IG/IO); (b)(6) (IG/IO); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report



Office of Audit

December 5, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Nothing

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- N/A

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: N/A
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project; USPSC Asia
- Report template: issued to all staff on November 23; Held all hands with Audit staff worldwide (those who came in or called in at 7:30AM DC time at least) to go over it and answer any questions. [Note to staff: If you were unable to attend, please speak to your colleagues that did. If you have any remaining questions, please stay tuned for further updates from PPA/writers and/or send questions to David Thomanek in PPA.]
- NFA Working Group: Staff from all over the world were in DC last week to begin the process, which was considered by all to be a big SUCCESS. Alvin Brown and group are now preparing to hold a call with all RIG/Directors overseas to discuss the results of the working group. Afterwards, we'll prepare a summary document with key decisions and a road map forward for discussion purposes with IO. Soon thereafter, it will be shared with all Audit staff.
- OPIC: GSAD has prepared an planning paper for OPIC performance audits, which we hope to narrow down with them this month and then proceed with the MOU. We need more information as to whether IO contacted Sen. Grassley's staff regarding concerns about OPIC monitoring in Afghanistan (based on a SIGAR product).
- December 8 Testimony: Providing support to IO (lead)- prep materials and info as requested for the statement. Several audit staff are heavily involved in the indexing and referencing of the testimony, which started this past weekend. Special thank you to (b)(6) and (b)(6) who spent much of their weekend getting us started!
- MCC Board: the Plus-Ones meeting was moved to this Tuesday (essentially a dry run of the December 13 board meeting as well as the December 20 audit committee meeting).
- FY17 master plan: Obtaining feedback from RIGs and Office Directors. Received detailed plans from Pakistan, Manila and San Salvador so far and we're in the process of refining those specialized and geographical discretionary audit plans internally. The GRAND plan is to provide initial list for discussion purposes with IO either right before or after the holidays.
- Ann, Tom and Christine kicked off our first, but certainly not last, training with GAO's Center for Audit Excellence this morning. Included in this initial training are representatives from nearly all audit units worldwide. We look forward to hearing feedback from initial participants to inform the training programs moving forward for the rest of audit's staff.

4. Personnel Issues and Miscellaneous Items of Interest:

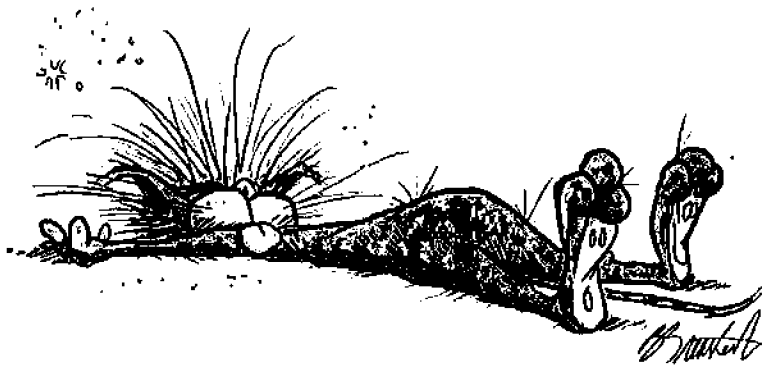
(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Site visits: Tom and Christine continue planning site visit tour in Asia for January 2017 to include Afghanistan, Pakistan, and Manila. As part of this trip, we'll also accompany representatives from IO, IG/M and IG/I to discuss prospective new office location in Bangkok, Thailand, with USAID and Embassy officials.
- Tom continuing his participation in Chief of Staff interviews this week.

- RIG/Pretoria instituted a role change for its audit managers in November that we wanted to inform all audit staff about. After working through HR and us, John Vernon recently made his Audit Managers responsible for supervising the FSNs in Pretoria and Dakar. We are certain to learn some lessons from these changes, but are supportive of at least trying them if a good business case can be made and we are following the needed rules/guidance in doing so. Other audit units may follow if it makes good business sense given their current staffing levels and mandates.
- With the Holiday season fast approaching us, we are requesting that all office heads communicate their Acting RIG/Director schedules with all of audit.

The BTW Corner

(b)(6) isn't the only one that this picture describes. ☺



PASSED OUT FROM ~~THE~~ TESTIMONY

(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, November 16, 2016 6:03 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

Sorry for the delay...

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, November 14, 2016 6:11 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Goldfluss, Lisa (IG/IO); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); (b)(6) (IG/IO); (b)(6) (IG/IO); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report



Office of Audit

November 14, 2016

1. **Senior Management Meeting (SMM) Items:**

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- USAID, IAF, ADF, and MCC financial statement audits being issued tomorrow, Nov. 15 (none for OPIC).
- Top management challenges final report: Will issue tomorrow afternoon after 1pm. Coordinating with IO on congressional and other external distribution.

2. **Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:**

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- MCC Board Meeting: Need to meet with you to discuss board materials and approach, including topics for FY17 MCC audit plan. Materials are due this week (per MCC Corporate Secretary- they didn't provide much advance notice). Meeting is TBD, based on Secretary of State's schedule. Will likely be in the December 7-15 time frame.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: GMRA/financial statement audit reports. Due tomorrow, Nov. 15.
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project.
- Report template: putting finishing touches on this. Plan to disseminate over the next two weeks and hold all hands meeting/teleconference the last week of November.
- Audit key priorities, FY2017: Will disseminate document to all hands this week.
- OPIC: GSAD has prepared an planning paper for OPIC performance audits, which we hope to narrow down with them this week so we can proceed with the MOU.
- CIGIE financial statement audit: Wrapping up our oversight role. Package due tomorrow. Report will be transmitted directly from the audit firm to CIGIE (based on past practice) after we notify the auditors that we have completed our review of their report/work.
- FY17 master plan: Providing initial AIG/PDAIG feedback through November monthly RIG/Director meetings, which will include some requests for research and 1-2 paragraph synopsis of audit ideas.
- DOD Lead IG meeting: Occurs this Thursday. Tom, Christine, (b)(6) and James will attend from Audit.
- FITARA: The entrance conference with USAID was rescheduled from last week to November 18.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Site visits: Tom and Christine are planning site visit tour in Asia for January 2017 to include Afghanistan, Pakistan, and Manila. Tom's first visit to these offices.
- Ebola team: successful series of initial meetings last week.
- GSAD: successful staff offsite.

The BTW Corner



National Spicy Guacamole Day

November 14

www.NationalDayCalendar.com

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, November 08, 2016 3:36 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report
Attachments: Monitoring USAID Response to Hurricane Matthew - week of Oct 31_Nov 4.docx; 11.03.16 - USAID-DCHA Caribbean Hurricane Matthew Fact Sheet #10.pdf

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, November 07, 2016 9:21 AM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); Goldfluss, Lisa (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); (b)(6) (IG/IO); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report



Office of Audit

November 7, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Provided a blurb on the West Bank/Gaza financial reporting final report that will probably be issued shortly- just in case it comes up.

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Audit key priorities document was provided to IO in advance of meeting on November 8. After we have made any necessary revisions and have agreement, the document will be shared with all staff.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: GMRA-USAID (soon- must be completed by 11/15).
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project.
- MCC planning: Developing options for a few performance audits. Will feed into briefing materials for MCC December Board meeting (meeting date TBD).
- OPIC: GSAD is taking lead on planning a few OPIC performance audits so we can proceed with the MOU.
- Pakistan planning: Have agreed on audits for FY 17 and RIG is refining a Pakistan plan- which will feed into the master audit plan.
- FY17 master plan: Planning to provide initial AIG/PDAIG feedback through November monthly RIG/Director meetings, which will include some requests for research and 1-2 paragraph synopsis of audit ideas.
- Two new AIG/A memos in initial review stages to address peer review recommendations: one on controlled unclassified information guidance (handling of SBU) and one on contract audit monitoring.
- RIG/San Salvador still following Haiti hurricane recovery effort. *Latest reports attached.*
- Team Zika: A few members of our San Salvador office are in DC this week for Zika meetings to kick off that work.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Site visits: Tom and Christine are just beginning to plan a site visit tour in Asia for January 2017 to include Afghanistan, Pakistan, and Manila. Tom's first visit to these offices.
- Afghanistan office: (b)(6) departing post tomorrow. Safe travels! (b)(6) will be Acting Director initially. Working on long-term transition plan for Afghanistan to become part of RIG/Frankfurt.
- GSAD: having staff offsite at Crystal City, VA location, November 8-9.
- Pakistan office: (b)(6) is Acting Director in Nate's absence.

The BTW Corner

It has finally arrived ...



From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, November 01, 2016 1:15 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report



Office of Audit

October 31, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None- but please say hi to everyone for us

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- *The all new* Audit report template. Sent to IO last week. Meeting scheduled for discussion on November 2.
- Audit priorities document will be provided to IO later this week in preparation for meeting on November 8. After we have agreement the document will be shared with all staff.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: USAID/West Bank and Gaza's Foreign Assistance Financial Reporting, GMRA-USAID
- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project; Colombia Clean Energy

- NFA Remodeling Working Group: Kick-off scheduled for week of November 28 in DC. Drafting agenda. Plan to include IG/DIG for brief remarks on day 1.
- FISMA: ITA has resolved the SBU report designations requested by OPIC, USADF, and MCC. We sincerely appreciated IG/LC's assistance in helping us resolve the matter. (Note: USAID and IAF's FISMA reports are non-SBU.) ITA expects to issue the final FISMA reports for MCC, USADF, IAF, and OPIC this week.
- Ebola awards draft issued to USAID for comment today.
- GMRA. Hard work continues, barreling down on November 15 deadline (FA and MCC).
- Two new AIG/A memos in process to address peer review recommendations: one on controlled unclassified information guidance (handling of SBU) and one on contract audit monitoring.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, or significant life events with staff, executives' upcoming leave, etc.)

- Recruitment: Now that they are fully staffed at a management level, GSAD Director working on requests to HC for vacancy announcements for new FS and GS staff (number TBD, but combo of FS and GS).
- (b)(6) departing post in Kabul on November 9; arrives in Frankfurt in January 2017. (b)(6) (b)(6) will be Acting Director initially. Talking on Wednesday with James Charlifue and Rob re: Afghanistan short- and long-term transition.
- (b)(6) will be on (b)(6) starting (b)(6) Andy Nguyen, who is the Acting Audit Manager, will be Acting Director in (b)(6) absence.
- Pakistan office: Protests expected. RSO asked employees to stay home. Our employees will take leave or telework.

The BTW Corner

HAPPY (BELATED)



(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, October 04, 2016 3:25 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report
Attachments: Rec Working Group Charter - Final.docx

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, October 04, 2016 7:56 AM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Goldfluss, Lisa (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report

The Weekly

Office of Audit

The World Is Still Not Enough

October 4, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- N/A

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Top Management Challenges: Met with you. Will get draft cover letter to Ann today or early tomorrow.
- ARTF message: Meeting today on this subject in four minutes.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: El Salvador Regional Trade

- Draft reports with AIG/A or Principal DAIG/A: Haiti electricity pilot project
- Zika planning: Will likely request time on your calendar this week to discuss. We have a draft strategy/proposal document that we are putting finishing touches on.
- Recommendation Follow-up Working Group: Finalized charter (See attachment). Will have formal kick-off meeting soon.
- NFA Remodeling Working Group: Sent email to Audit all hands with charter and request for Working Group volunteers.
- GAO Center for Excellence Training Phase I: Now that we're in the new FY, we will loop back with GAO and our M to finalize agreement, and provide more details to staff.
- FISMA draft report: issued to USAID on Friday.
- Ebola: Hill briefing tomorrow with HFAC. [since rescheduled to later in October]

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- GSAD Civil Service AD: doing interviews.
- FSO vacancies: Board is scheduled for October 4.
- Recruitment: Need clarity on audit's approved FTEs and whether/to what extent we can take initial steps to hire at entry level (vacancy announcements).
- Tom upcoming TDY- will be heading to Frankfurt this weekend, through October 20.
- Send good thoughts to our Haiti staff in light of the storm. Staff are sheltering in place.

The BTW Corner

Happy FY 2017



"When you say New Year's resolution are you talking calendar year? Or fiscal year?"

(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, September 27, 2016 4:22 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

FYI

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, September 26, 2016 3:52 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Carroll, Jason (IG/M); Nunez-Mattocks, Aracely (IG/M); Goldfluss, Lisa (IG/IO); (b)(6) (IG/IO); Byrne, Christine (IG/A/AIG)
Subject: Audit weekly report

The Weekly

Office of Audit

The World Is Not Enough

September 26, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Issuance of Audit of USAID's Implementation of Executive Order 13526, Classified National Security Information by September 30. [Note: will also be issuing the MCC audit on the same topic.]

2. Items Currently (or very soon to be) in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Top Management Challenges: Expect to see a draft mid-week. AIG/A reviewed first draft- is in good shape. Will alert all parties soon to next steps/milestones. Will send separate email about this.
- ARTF message: Will schedule a meeting to discuss the ARTF findings after Ann gets back from Pretoria. The team held an exit conference due to limited availability of key people in Afghanistan.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: Middle-East missions' monitoring and evaluation efforts (AIG/A cleared for issuance). USAID Classification Audit Mandate (received USAID response, finalizing). MCC Classification Audit Mandate (received MCC response, finalizing for issuance).
- Draft reports with AIG/A or Principal DAIG/A: Jordan Community Engagement Project (RIG/Frankfurt)
- Zika planning: Drafting a proposal for a phased approach. We may get money in the CR for Zika oversight. Will be run out of San Salvador in consultation with GSAD's health teams.
- Recommendation Follow-up Working Group. Finalized charter. Organizing working group and coordinating with team to schedule a kickoff meeting o/a September 30.
- SBU policy revamp: PPA prepared a draft policy revision to me and Legal (we needed to do it to address a peer review finding). Christine and I need to talk, and coordinate with Legal, before we run it up the chain.
- NFA Remodeling Working Group: finalized Charter and, by September 30, set a target date for a DC-based kickoff meeting this fall and identify members of the group. Expect email from Alvin Brown.
- GAO Center for Excellence Training Phase I: Almost there! Have agreement and worked with M. Per GAO, best approach is to finalize agreement in new FY, on Mon. October 3. Details will follow shortly after that.
- Ebola: We have a meeting with USAID on Weds. to discuss preliminary observations (a pre-exit) and explain how we combined a GSAD and a Dakar audit into one. We may also have a Hill briefing on Ebola next week but that has been a moving target.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, recruitment efforts, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- GSAD Civil Service AD: will begin interviews in October. Cert reviewed, scheduling interviews.
- Reorg- Announcement for detail as Assistant to the DAIG is with HR. Hope to send out solicitation of interest this week.
- FSO vacancies: we got the COARs from HR. Board is scheduled for October 4.
- Christine is still in Pretoria and Dakar, through September 30.

The BTW Corner

We've officially into fall...

Happy
Fall

The word 'Happy' is in a simple, rounded script. The word 'Fall' is in a larger, more decorative script. The letter 'F' contains a silhouette of a bell. The letter 'a' contains a silhouette of a bird perched on a branch. The letter 'l' contains a silhouette of a broom. Below the word 'Fall' are three small silhouettes: a maple leaf, a broom, and another maple leaf.

(b)(6) (IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Thursday, September 22, 2016 2:23 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

FYI

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, September 21, 2016 12:19 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Goldfluss, Lisa (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report

The Weekly

Office of Audit

Sorry for the delay

September 21, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Health Systems Strengthening- audit initiation. Letter going out today.

2. Items Currently in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Will schedule a meeting to discuss the ARTF findings after Ann gets back from Pretoria. The team is proceeding to the exit conference due to limited availability of key people in Afghanistan.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/A or Principal DAIG/A: Middle-East missions monitoring and evaluation (Frankfurt).

- Draft reports with AIG/A or Principal DAIG/A: [Note: the writer-editors have a queue (kudos to them for keeping things moving) and a few reports should be coming into the AIG/A **review vortex** soon].
- Top management challenges: AIG/A reviewed first draft. (b)(6) and PPA are working on v2. Will alert all parties soon to next steps/milestones.
- Report format: Met with (b)(6) and the other writers last week on proposed report template. Made significant progress and writer-editors will send the revised template back to us. Will **SOCIALIZE** with you all early October.
- GMRA/Financial statement audit: progressing nicely, on track to meet deadlines.
- Recommendation Follow-up Working Group. organizing working group and coordinating with team to schedule a kickoff meeting.
- NFA Revamp Working Group: plan to finalized Charter this week and, by September 30, set a target date for a DC-based kickoff meeting this fall and identify members of the group.
- GAO Center for Excellence training: to provide us an initial (Phase I) lead auditor training in the Fall. In final stages of agreement- is with M. Plan to send out a notice to people on/around October 3. Travel authorizations couldn't be done until after October 1, anyway. Training will be held in DC week of December 5-9.
- West Bank Gaza briefing w/ HFAC: scheduled for this Monday.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- MCC: Donell Ries is in Charlottesville for the next 4 weeks. Mark Norman is Acting.
- GSAD Civil Service AD: Have the cert and plan to schedule first interviews.
- Reorg- Announcement for detail as Assistant to the DAIG is with HR. Hope to send out solicitation of interest soon.
- Pakistan office realignment: all hands notice went out last Friday.
- New employee: (b)(6) started this week to work on OIR. In HQ but reporting to RIG/Frankfurt.
- FSO vacancies: we got the COARs from HR. Board is scheduled for early October.
- Christine is in Pretoria and Dakar through September 30.

The BTW Corner

Today is the **SEPTEMBER EQUINOX**



(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, September 13, 2016 4:08 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

From: Yatsco, Thomas (IG/A/AIG)
Sent: Monday, September 12, 2016 12:46 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); Altman, Daniel (IG/I/AIG); (b)(6)
(b)(6) Goldfluss, Lisa (IG/IO); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG);
Ries, Donell (IG/A/MCC)
Subject: Audit weekly report

The Weekly

Office of Audit

September 12, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- N/A

2. Items Currently in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Exit conference being held today on USAID FISMA audit. CLA is raising two high-level issues of particular OIG concern, which we are highlighting at exit. (1) CIO position did not report directly to the agency Administrator or Deputy Administrator as required by FISMA, FITARA, and the Clinger-Cohen Act of 1996. Instead USAID CIO reported directly to the Assistant Administrator for the Bureau of Management. USAID management indicated that the issue of the CIO's reporting relationship with the Administrator or Deputy Administrator remains in a pending status until a discussion can be had with senior leadership. Our recommendation to address the position of the CIO is being made to the Administrator. (2) The duties of the Deputy CIO and the Chief Information Security Officer were not appropriately segregated because USAID had assigned the roles of the Deputy CIO and the Chief Information Security Officer (CISO) to same individual (i.e., to the Deputy CIO). As a result, he was responsible for performing security control activities and at the same time reviewing that activity for compliance with FISMA. Our recommendation is to have the CIO to implement a plan to segregate the Deputy CIO and the CISO's duties. ITA is coordinating with Legal. Will keep you posted- we may want to meet with you this week.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process at AIG level: None.
- Draft reports: Expect to issue drafts for comment within the week on MCC's Compliance with Executive Order 13526 (Classification Audits). (Note: USAID draft issued last week).
- Reports in process at AIG/A or PDAIG/A level: the MCC classification audit.
- Top management challenges: initial draft of chapters with (b)(6) Waiting on initial full draft for AIG/A-level review and then will **SOCIALIZE** a revised production calendar.
- OIR planning: met with you last week. As agreed by all, Frankfurt drafting a proposal of a PIO-related audit.
- Zika planning: Talked with San Salvador and reviewed good proposal for the work. Will recut proposal as a phased approach that gives us flexibility to adjust based on how quick or slow congressional action is. Plan is to start an initial survey phase in the next month or so. Will **SOCIALIZE** the plan with you all soon.
- Report format: Met with PPA (b)(6) and the other writers last week on proposed report template. Made significant progress and writer-editors will send the revised template back to us. Will **SOCIALIZE** with you all soon.
- Finalizing Recommendation Follow-up Working Group. (b)(6) organizing working group and kickoff meeting for late in September. The Working Group will present a new proposed audit follow-up process and recommendations for implementation to the AIG/A by December 9, 2016 and by January 20, 2017 the Working Group will brief the Immediate Office and deliver the implementation report.
- Working on finalizing the Charter for remodeling the nonfederal audit program. Expected finalization by end of month. Then we will convene an Audit-wide working group this fall.
- We continue to work with GAO's Center for Excellence to provide us an initial (Phase I) lead auditor training in the Fall. A suggested list of names and dates sent to Audit Unit Directors/RIGS for comment last week. Expect to finalize dates by today. November is looking likely.
- Finalizing the FY15 QAR report conducted by PPA. PPA working on FY16 QAR. Progress being made on improving quality and consistency.

4. Personnel Issues and Miscellaneous Items of Interest:

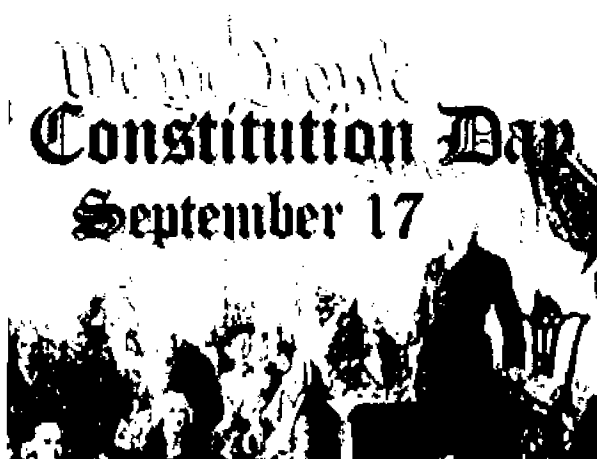
(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- Donell Ries will be at FEI in Charlottesville for the next 4 weeks. Mark Norman is Acting.
- Recruitment request for Civil Service GSAD Assistant Director with HC.
- Reorg- Will continue working on transition plans. Working with HR on announcement for detail as Melinda's Assistant (will seek applicants from GS and FS staff).
- Pakistan management positions: as discussed with you, will announce this week.

- FSO vacancies, subject to staffing and budget considerations, announced August 30. COARs due September 13.
- Christine traveling to Pretoria and Dakar and will be gone from September 16 to 30.

The BTW Corner

This Saturday is Constitution Day...



(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, July 06, 2016 4:03 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report to IO

Enjoy ...

From: Byrne, Christine (IG/A/AIG)
Sent: Tuesday, July 05, 2016 5:41 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO)
Cc: Yatsco, Thomas (IG/A/AIG)
Subject: Audit weekly report to IO

Hi. Here is our weekly report. Thanks.

THE WEEKLY REPORT- Office of Audit (A)

Tuesday, July 5, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- RIG/San Salvador plans to issue the following final report this week: USAID/Haiti Needs to Improve Oversight of the Quality Health Services for Haiti Central and South Project to Better Ensure Sustainability

2. Items Currently in the IG/Acting DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- N/A

3. Hot Issues for IG/Acting DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/PDAIG: Madagascar's Indoor Residual Spraying Program Under the President's Malaria Initiative (Pretoria) and Audit of USAID/West Bank and Gaza's Foreign Assistance Financial Information and Reporting (Frankfurt).
- Draft reports in process with AIG/PDAIG: Working with team on Jordan Community Engagement project (Frankfurt).

- Hearing on Syria situation/House Committee on Foreign Affairs: Working with I and IO on testimony statement and prep.
- Top management challenges: agreed to meet with IO again in early August. Audit will draft an initial list of management challenges and there will be one report that combines MCC and USAID challenges, consulting with (b)(6) and Investigations.
- FY2017 audit plan: Outstanding call for input from Agency and Missions. Working on a draft timeline.
- Information technology: Need to meet with IG and Acting DIG on path forward for Cyber Security Act mandate. Due to Congress by 8/14.
- GAO Center of Audit Excellence: had a productive meeting to discuss training courses. Exploring options for fall hub training in DC, initial emphasis on newer employees (less than 3 years).
- Peer review: Circulated to all Audit today and working with IG/M on posting on web later this week.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- RIG/Pretoria vacancy: Interviews with all interested parties are scheduled for this week.
- MCC Office: will start second round of interviews for Director (PA) position during the week of July 11. Down to 4 candidates.
- Donnell Ries on (b)(6) Fred Jones Acting DAIG/A/MCC.
- Melinda Dempsey on (b)(6) Christine Byrne will be Acting Deputy for Melinda's offices.
- PA: Van Nguyen on (b)(6) Acting Director.
- RIG/Manila: (b)(6) Acting RIG until July 8; (b)(6) will be Acting RIG from July 11 to 14.

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, June 29, 2016 12:25 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report to IO - debut

Hi- In attempt to enhance communication and transparency up and down the chain, I present our new communication tool: the Weekly Report. The goals are to (1) keep the IG informed of key things going on in Audit (including serving as a tickler for the IO) and (2) provide you with information on our priorities, for situational awareness.

The regular schedule will be as follows: Weekly is sent to the IO by COB each Monday. I will then forward it to all of you the following day.

Thanks—

(b)(6)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, June 28, 2016 3:40 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report to IO - debut

THE WEEKLY REPORT- Office of Audit (A)

Tuesday, June 28, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- Final report to be issued this week on:
 - *USAID/Azerbaijan, Belarus, Ukraine, and Other Offices Would Benefit from Additional Guidance and Training on Using Cost Sharing (Frankfurt)*
 - *Additional Controls Have Improved USAID's Administration of Involuntary Separate Maintenance Allowance in Afghanistan (Afghanistan)*
- Note that we have pulled the trigger and are trying message-oriented titles beginning with these two reports. Ann asked us to transition to this approach.

2. Items Currently in the IG/Acting DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- N/A

3. Hot Issues for IG/Acting DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process with AIG/PDAIG: Haiti Quality Health Services for Haiti Central and South Project (San Salvador), Madagascar's Indoor Residual Spraying Program Under The President's Malaria Initiative (Pretoria).
- Draft reports in process with AIG/PDAIG: Working with team on Jordan Community Engagement project (Frankfurt).
- Hearing on Syria situation/House Committee on Foreign Affairs: Working with I and IO on testimony statement and prep. Date TBD- may be July 6/7 or July 13/14 (or pushed into September).
- Top management challenges: meeting with IO on 6/30 to discuss possible new approach. MCC and PPA teams have been working on raw materials (e.g., review of prior work).
- FY2017 audit plan: Put out call for input from Agency and Missions. Working on a draft timeline.
- Information technology: Need to meet with IG and Acting DIG on path forward for Cyber Security Act mandate. Due to Congress by 8/14.
- MCC: diligently working to clear out a few MCA reports (we have engaged MCC management)
- GAO Center of Audit Excellence: Meeting #2 with them on 6/29 to discuss specific opportunities for them to provide training and technical assistance.
- Peer review: OIG response to draft reports went back to USDA on 6/23. Will be finalized by 6/30. Final report will be sent to all hands (and posted to web) no later than 2 business days after receipt.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- Expressions of interest (FS) for the PA Director and RIG/Pretoria position were due to HR by June 23. Interviews with interested staff are being scheduled for next week.
- MCC Office: DAIG and team conducting first-round interviews for Director (PA) position this week.
- Pretoria/RIG: Dave Thomanek will Acting RIG until July 14.
- Donnell Ries on (b)(6) Christine Byrne will be Acting DAIG/A/MCC June 30 and July 1; Fred Jones will be Acting DAIG/A/MCC July 5 to 8.
- Melinda Dempsey on (b)(6) Christine Byrne will be Acting Deputy for Melinda's offices July 5 to 8.
- PA: Van Nguyen (b)(6) will be Acting Director until July 13.
- RIG/Manila: (b)(6) will be Acting RIG until July 8; (b)(6) will be Acting RIG from July 11 to 14.

- Yatsco on (b)(6)
- Yatsco will be visiting San Salvador office week of July 25-29 (heads up).

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Wednesday, July 20, 2016 6:20 PM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report to IO

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, July 19, 2016 9:06 AM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report to IO

THE WEEKLY REPORT- Office of Audit (A)

Tuesday, July 19, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- None

2. Items Currently in the IG/Acting DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- Memos for leadership selections for RIG/Pretoria and PA Director. Require IG approval.
- Cyber Security Act mandate: Meeting with Ann on July 20. Should only take 30 minutes or less. Letter to Congress due by August 14. (b)(6) has a 1-pager.
- Meeting to finalize agenda for San Salvador trip- scheduled for week of July 25-29.

3. Hot Issues for IG/Acting DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process at AIG level: Madagascar's Indoor Residual Spraying Program Under the President's Malaria Initiative (Pretoria).
- Financial statement audits (USAID and MCC): ongoing. Teams and contractors have been farming out to various countries to do testing.

- Top management challenges: agreed to meet with IO again in early August. Audit will draft an initial list of management challenges and there will be one report that combines MCC and USAID challenges, consulting with (b)(6) and Investigations
- Exit conference this week on MCC audit- Sustainability of MCC-funded Revenue Administration Reform Project in the Philippines.
- Entrance conference on Monday for audit of MCC's readiness to implement the DATA Act.
- Exit conference on Friday for MCC FISMA audit- our contractor concluded that MCC was generally compliant.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- MCC leadership positions: This week doing second round of interviews for Director (PA) position. Down to 4 candidates. Waiting for GS-15 supplemental and GS-14 cert.
- (b)(6) out July 18-19.
- FSO placement process: Vacancies sent to Human Capital (July 19 deadline).

(b)(6)

(IG/IO)

From: Yatsco, Thomas (IG/A/AIG)
Sent: Thursday, September 08, 2016 8:04 AM
To: IG.A Overseas Auditors Mail List; IG.A Washington Auditors Mail List
Subject: FW: Audit weekly report

Please enjoy... sorry for the delay...

From: Yatsco, Thomas (IG/A/AIG)
Sent: Tuesday, September 06, 2016 4:46 PM
To: Calvaresi-Barr, Ann (IG/IO); Brown, Justin (IG/IO); Trujillo, Catherine (IG/IO)
Cc: (b)(6) (IG/IO); Goldfluss, Lisa (IG/IO); Altman, Daniel (IG/I/AIG); (b)(6) (IG/I); Nunez-Mattocks, Aracely (IG/M); Carroll, Jason (IG/M); Brown, Alvin (IG/A/AIG); Byrne, Christine (IG/A/AIG); Dempsey, Melinda (IG/A/AIG); Ries, Donell (IG/A/MCC)
Subject: Audit weekly report

The Weekly

Office of Audit

September 6, 2016

1. Senior Management Meeting (SMM) Items:

(Items that Ann might want to bring up at the SMM, such as anticipated issuance of audit announcements, final reports, or other hi-vis events- due to the IO the Friday before)

- On September 8, 2016, OIG will issue its Audit "Competing Priorities Have Complicated USAID/Pakistan's Efforts to Achieve Long-Term Development Under the Enhanced Partnership with Pakistan Act (EPPA)"
- Expect to issue drafts for comment within the week on MCC's and USAID's Compliance with Executive Order 13526 (Classification Audits).
- On August 31, we published our Fiscal Year 2017 Coordinated Oversight Plan of Foreign Assistance To Combat HIV/AIDS, Tuberculosis, and Malaria. This plan includes Audit and Investigative efforts of USAID, State and HHS OIGs.
- Expect to issue draft FISMA audit next week to USAID (and other Agencies).

2. Items Currently in the IG/DIG/Counselor Queue:

(Include all items that are with Ann, Justin, or Cathy for their review or a decision is needed)

- USAID and MCC Classification audits/compliance with Executive Order 13526. Very tight timeframes involved. Goal is to send to USAID next week and MCC by September 11; receive their comments by September 23, and then issue by September 30. AIG/A will sign the report, which will be addressed to the Deputy Administrator.

- Question on when IO wants to be engaged in ARTF status. Initial AIG/A and Principal Deputy comments on exit conference document sent to OIG/Afghanistan last week. Team is getting additional information from Agency to address.
- OIR plan. After final discussions wrap up with RIG/Frankfurt early this week, we expect to send IO a draft OIR audit plan for comment by the end of the week. Will reach out to (b)(6) to get something on the calendar for Friday (if possible) to discuss.

3. Hot Issues for IG/DIG/Counselor Awareness:

(Include all job-related items that you wish to make the IO aware of or want to discuss in the near future. These might include potentially hi-vis issues such as Congressional or external meetings, agency pain points, draft or final reports that are working their way through the pipeline, upcoming executive travel, forthcoming audit announcements, staffing or recruitment actions, planning items)

- Final reports in process at AIG level: EPPA.
- Reports in process at AIG/A or PDAIG/A level: USAID and MCC Classification audit/compliance with Executive Order 13526.
- Top management challenges: initial chapters sent to (b)(6) on September 2 and 5 (MCC). (b)(6) expects to get to it NLT Thursday. After that, we will brief you on next steps and time frames.
- EPPA Audit: Received PPA policy, Editorial, and LC comments last week. Goal is to issue final to Ann/Justin on September 6 (end of day), with final issuance o/a September 8.
- Report format: Meeting with (b)(6) and the other writers on September 7 on proposed report template. Will socialize with you all soon.
- FISMA Draft reports issued for USADF and MCC; OPIC and USAID drafts expected to be issued next week.
- Finalized Recommendation Follow-up Charter this week; (b)(6) organizing working group and kickoff meeting for late in September. The Working Group will present a new proposed audit follow-up process and recommendations for implementation to the AIG/A by December 9, 2016 and by January 20, 2017 the Working Group will brief the Immediate Office and deliver the implementation report.
- Working on finalizing the Charter for remodeling the nonfederal audit program. Expected finalization by end of month. Then we will convene an Audit-wide working group this fall.
- We continue to work with GAO's Center for Excellence to provide us an initial (Phase I) lead auditor training in the Fall. A suggested list of names and dates sent to Audit Unit Directors/RIGS for comment last week. Expect to finalize dates by mid-September.
- Working with IO on a series of Hill briefings in the coming weeks on West Bank Gaza and UN coordination oversight topics of interest.
- Working with IO on responding to requests from DOD IG for the OIR JSOP and COPSWA.

4. Personnel Issues and Miscellaneous Items of Interest:

(Include any other item of interest such as personnel coming and goings, intelligence from conversations, or significant life events with staff, executives' upcoming leave, etc.)

- (b)(6) the selected candidate—(b)(6)—reported to work on September 6. He has both IG and GAO experience. Bio will be circulated soon.
- MCC staff departing RRB and moving to new office space this week.

- Recruitment request for Civil Service GSAD Assistant Director with HC.
- John Vernon started his RIG/Pretoria position September 6.
- Reorg- Will continue working on transition plans. Working with HR on announcement for detail as Melinda's Assistant (will seek applicants from GS and FS staff).
- Pakistan management positions: planned details being discussed with IO and HR; will announce on or around September 15.
- FSO vacancies, subject to staffing and budget considerations, announced August 30. COARs due September 13.