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FCC Reports On Gifts Accepted Pursuant To Section 4(G)(3) OF The Communications Act, 2013-2019

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Federal Communications Commission 445 12th Street, S.W., Room 1-A836

Washington, D.C. 20554

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Federal Communications Commission Washington, D.C. 20554

February 14, 2020

Re: FOIA Control No. 2020-000155

This letter responds to your Freedom of Information Act (FOIA) request for "a copy of the last 10 FCC Semi-Annual Reports (to Congress) on Gifts." Your request has been assigned FOIA Control No. 2020-000155.

The Office of General Counsel searched for responsive records. We located eleven (11) pages of records responsive to your request. Some material on the pages produced has been redacted due to the reasons discussed below.

Records responsive to your request were redacted under FOIA Exemption 6. Exemption 6 protects "personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy." Balancing the public's right to disclosure against the individual's right to privacy, we have determined that release of this information would constitute a clearly unwarranted invasion of personal privacy. Specifically, we redacted home addresses, personal emails, and phone numbers. We have determined that it is reasonably foreseeable that disclosure of that information would harm the privacy interest of the persons mentioned in these records, which Exemption 6 is intended to protect.

The FOIA requires that "any reasonably segregable portion of a record" must be released after appropriate application of the Act's exemptions.² The statutory standard requires the release of any portion of a record that is nonexempt and that is "reasonably segregable" from the exempt portion. However, when nonexempt information is "inextricably intertwined" with exempt information, reasonable segregation is not possible.³ The redactions made are consistent with our responsibility to determine if any segregable portions can be released. To the extent non-exempt material is not released, it is inextricably intertwined with exempt material.

We also reviewed the redacted records to determine if discretionary release is appropriate.⁴ The materials that are protected from disclosure under Exemption 6 are not appropriate for discretionary release in light of the personal privacy interests involved.

² 5 U.S.C. § 552(b) (sentence immediately following exemptions).

¹ 5 U.S.C. § 552(b)(6).

³ Mead Data Cent. Inc. v. Dep't of the Air Force, 566 F.2d 242, 260 (D.C. Cir. 1977).

⁴ See President's Memorandum for the Heads of Executive Departments and Agencies, Freedom of Information Act, 74 Fed. Reg. 4683 (2009).

Office of Government Information Services National Archives and Records Administration 8601 Adelphi Road-OGIS College Park, MD 20740-6001 202-741-5770 877-684-6448 ogis@nara.gov ogis.archives.gov

Sincerely,

Elizabeth Lyle

Assistant C

Assistant General Counsel

Enclosures

cc: FCC FOIA Office

| | GIFT | DONOR | MARKET <u>VALUE</u> | DATE ACCEPTED |
|----|---|---|------------------------------------|------------------|
| 1. | A presentation of developments in the LTE fields and RSA's equipment capabilities at the PCTEST Engineering Laboratory in Columbia, Maryland. | Scott Bausback President & CEO Rohde & Schwarz, USA, Inc. 8661 A Robert Fulton Dr Columbia, MD 21046-2265 | \$532 | 9/13/13 |
| 2. | Services to assist with the transition of Human Resources leadership for the incoming Chairman-designate. | Mary Beth Richards (b) (6) (b) (6) | \$38,538 | 9/24/13 |
| 3. | Services rendering legal advice on communications and administrative law issues for the Office General Counsel. | Avonne Bell (b) (6) (b) (6) (b) (6) | \$57,800 | 10/31/13 |
| 4. | Two (2) Samsung Galaxy S4 mobile phones, one on each the Sprint and T-Mobile network and two (2) Samsung Not 3 mobile phone, one on each the AT&T and Verizon network to the Commission's Technology Experience Cent (TEC). | Mr. John Godfrey Vice President Communications Policy and Regulatory Affairs Samsung Electronics America 1200 New Hampshire Avenue, N.W. Suite 500 Washington, DC 20036 | \$3,200 | 11/4/13 |
| 5. | Services to aid in the daily work of the International Bureau's Strategic Negotiation and Analysis Division. | Mr. Raffi Melanson | \$57,800 | 11/6/13 |
| 6. | Access to periodic analyses prepared by Stifel of regulatory developments in the communications sector. | Stifel Nicolaus (b) (6) (b) (6) | Indeterminate | 11/15/13 |
| 7. | E-Book: "Net Effects: The Past, Present & Future Impact of Our Networks-History, Challenges and Opportunities. | Mr. Emmett O'Keefe Director of Public Policy Amazon.com P.O. Box 81226 Seattle, WA 98108-1226 | Free to Amazon.com customers | 11/27/13 |
| 8. | Services in the form of periodic consultations with the Chairman and the Incentive Auction Task Force on issues raised by the incentive auction. | Mr. Wayne M. Perry CEO Shotgun Creek Investments, LLC 11245 SE 6 th Street Suite 240 Bellevue, WA 98004 | Undetermined | 2/21/14 |
| 9. | Services to assist the Office of Strategic Planning & Policy Analysis with E-Rate Program. | Ms. Amanda Burkett (b) (6) (c) (6) | \$15,773 | 2/24/14 |

| | <u>GIFT</u> | DONOR | MARKET <u>VALUE</u> | DATE ACCEPTED |
|----|--|--|------------------------|------------------|
| 1. | Services to perform research and writing on behalf of the International Bureau, Strategic Negotiations and Analysis Division. | Naomi E. Parnes (b) (6) (b) (6) | \$63,091 | 3/10/14 |
| 2. | A workshop to discuss strategic prioritization of recommendations identified in the "Report on FCC Process Reform." | Brett Haan Principal Deloitte Consulting LLP 1919 N. Lynn Street Arlington, VA 22209 | \$3,500 | 4/7/14 |
| 3. | Services to assist Chairman Wheeler's Office with external affairs, key legislation and policy issues, and research. | Regina Black (b) (6) (b) (6) | \$15,772 | 4/21/14 |
| 4. | Services assisting the International Bureau conducting research and writing in preparation for several upcoming international conferences. | Ena Dekanic | \$63,091 | 7/15/14 |
| 5. | Services to assist with the work of the Wireless Telecommunications Bureau, working on the Broadcast Television Spectrum Incentive Auction and the Citizens Broadband Radio Service. | Stephanie E. Minnock (b) (6) (b) (6) | \$21,030 | 8/6/14 |
| 6. | Services to assist the Enforcement Bureau in the Telecommunication Consumer Division. | Krishna Bhatt (b) (6) (c) (6) | \$12,000 | 8/25/14 |

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|----|--|---|------------------------|------------------|
| 1. | Services to assist with a proceeding to address petitions to preempt state laws restricting the offering of municipal broadband service and other proceedings involving open internet, forbearance and number administration issues. | Paul E. Waters, III (b) (6) (b) (6) (b) (6) | \$63,091 | 9/4/14 |
| 2. | Services to assist the Office of Strategic Planning & Policy Analysis with E-Rate Program. | Amanda Burkett (b) (6) (b) (6) (b) (6) | \$25,000 | 9/18/14 |
| 3. | Services to assist the ongoing efforts to modernize the E-rate program. | Roby Chatterji (b) (6) (b) (6) | \$21,030 | 9/25/14 |
| 4. | Book: Changing Channels: The Civil Rights Case That Transformed Television by Kay Mills | Earl Williams, Esq. United Church of Christ 110 Maryland Ave NE Washington DC 20002 | \$32 | 11/10/14 |
| 5. | Book: Why We Can't Wait by Martin Luther King, Jr. | Kim M. Keenan Minority Media Telecom Council 3636 16 th St NW Washington DC 20010 | \$9 | 11/10/14 |
| 6. | Services to the Office of the Chairman to assist in day-to-day tasks of external communications and preparing and presenting information to stakeholders. | Rosita Lopez (b) (6) (b) (6) (b) (6) | \$21,030 | 12/10/14 |
| 7. | Services to assist in implementing broadcaster outreach in advance of the upcoming Incentive Auction. | Lawrence S. Chu (b) (6) (b) (6) (b) (6) | \$300,000 | 2/3/15 |
| 8. | Services to assist with ongoing regulatory revisions related to Designated Entities in competitive bidding and other tasks as needed. | Kerry Gutknecht (b) (6) (b) (6) | \$10,515 | 2/10/15 |

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|----|--|---|------------------------|------------------|
| 1. | Services to assist the Enforcement Bureau with privacy issues. | Taesung Lee (b) (6) (b) (6) | \$31,861 | 5/14/15 |
| 2. | Services to assist the Enforcement Bureau with privacy enforcement and Open Internet policy. | Steven Pong (b) (6) (b) (6) | \$21,240 | 5/19/15 |
| 3. | Network security training to FCC IT security team. | Ms. Mariette Vanderzon, COO Sun Management, Inc. 2000 N. 14 th Street, Suite 770 Arlington VA 22201 | \$500 | 6/1/15 |
| 4. | Closed-captioned video recording of high – definition digital video recorder architecture made in connection with the May 2015 Downloadable Security Technical Advisory Committee meeting. | Stacy Fuller Vice President, Regulatory Affairs DIRECT TV 901 F Street, NW Suite 600 Washington DC 20004 | \$225 | 6/5/15 |
| 5. | Administrative services to the Office of Administrative Law Judges. | Mary Gosse (b) (6) (b) (6) | \$3,168 | 6/17/15 |

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|----|--|---|------------------------|------------------|
| 1. | Services to assist in the Pubic Safety and Homeland Security Bureau | Randy D. Abreu (b) (c) (b) (6) | \$ | 01/14/16 |
| 2. | Services to assist the Enforcement Bureau and aid the Telecommunications Consumers Division | Georgetown University Ms. Katherine McInnis (b) (6) (b) (6) | \$ | 02/17/16 |
| 3. | Services to assist the International Bureau Global Strategy and Negotiations Division with research and writing. | Brandon L. Moss (b) (6) (b) (6) | \$ | 03/02/16 |
| 4. | Services to assist the Enforcement Bureau in the Telecommunications Consumer Division. | Christopher J. Willis | \$ | 04/12/16 |
| 5. | Services to assist the Competition Policy Division with proceedings. | Paul De Sa | \$ | 08/08/16 |
| 6. | Services to assist the Enforcement Bureau in the Telecommunications Consumer Division. | Christopher J. Willis (b) (6) (b) (6) | \$ | 08/08/16 |
| 7. | Services to assist in the Public Safety and Homeland Security Bureau | Ms. Savanah Schaefer | \$ | 09/16/16 |
| 8. | Services to assist the International Bureau. | Alexandrine De Bianchi (b) (6) (b) (6) | \$ | 10/03/16 |

| | <u>GIFT</u> | DONOR | MARKET <u>VALUE</u> | DATE ACCEPTED |
|----|---|----------------------------|------------------------|------------------|
| 1. | Services to assist the Competition Policy Division of the Wireline Competition Bureau in any regulatory matter. | Ms. Savanah Schaefer | \$33,255 | 9/16/16 |
| 2. | Services to assist to the Office of the Chairman in areas of telecommunications policy and legislative affairs. | Ms. Alexandrine De Bianchi | \$16,628 | 10/3/16 |

| | <u>GIFT</u> | DONOR | MARKET <u>VALUE</u> | DATE <u>ACCEPTED</u> |
|----|--|--|------------------------|-------------------------|
| 1. | Services to assist the Enforcement Bureau with privacy and technology. | Mr. Christopher J. Willis | \$66,510 | 07/08/17 |
| 2. | Services to assist on the ongoing Business Data Services (BDS) proceeding. | Mr. Paul de Sa | | 07/08/16 |
| 3. | Donation of Book: <u>Practising Law Institute</u> <u>Handbook</u> , 32 nd <u>Annual Institute on</u> <u>Telecommunications Policy & Regulations</u> | Practising Law Institute 1177 Avenue of the Americas New York, NY 10036 | \$220 | 05/11/16 |
| 4. | Donation of Book: <u>Geeks Bearing Gifts:</u> <u>Imaging New Futures for News.</u> | Jeff Jarvis Tow-Knight Center 219 2. 40 th Street New York, NY 10018 | \$20 | 05/11/16 |
| 5. | Donation of Book: Getting to Know ArcGis Fourth Edition. | Mr. Tripp Corbett NASA & FCC Account Manager 8615 Westwood Center Drive Vienna, Virginia 22182 | \$59 | 04/07/16 |
| 6. | Services to assist the International Bureau to perform research and writing. | Brandon L. Moss (b) (6) (b) (6) | \$66,510 | 03/8/16 |

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|----|--|---|------------------------|------------------|
| 1. | Services to assist the International Bureau conducting research and writing to prep for fall conferences. | Alexander Sakhanyuk | \$66,510 | 08/11/17 |
| 2. | Donation of meeting room at Twin Arrows Resort, for Tribal Consultation session with Chairman Pai. | M. Teresa Hopkins Executive Director Navajo Nation Telecommunications Regulatory Commission P.O. Box 7440 Window Rock, AZ 86515 | \$400 | 08/04/17 |
| 3. | Services to assist Wireline Competition Bureau with broadband infrastructure and restoring Internet freedom. | Ms. Gail M. Krutov | \$66,510 | 06/02/17 |

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|-----|---|--|-----------------|------------------|
| 1. | Donation of discounted registration for FCC employees to attend the M-Enabling Summit, Arlington, VA | G3ict 6300 Powers Ferry Road Atlanta, GA 30389 | \$ 3,300 | 04/16/18 |
| 2. | Donation of services as part-time legal fellow to assist the Office of Commissioner Carr | Ms. Constance Ricketts (b) (6) (b) (6) (c) (6) | \$ 6,300 | 04/18/18 |
| 3. | Donation of meeting space (1 day) to assist the FCC Advisory Committee on Diversity and Digital Empowerment | World Institute on Disability 3075 Adeline Street Berkeley, CA 94703 | \$ 500 | 06/14/18 |
| 4. | Donation of meeting space (1 day) for workshop re agency policies that affect Tribal interests | Lac du Flambeau Tribe P.O. Box 67 Lac du Flambeau, WI 54538 | \$ 200 | 07/05/18 |
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|----|---|---|------------------------|------------------|
| 1. | Donation of voluntary personal services. | Mr. Andrew Manley (b) (6) (b) (6) | \$6,300 | 9/21/18 |
| 2. | Donation of meeting space. | Marc H. Morial The National Urban League 90 Pine Street, 9th Floor New York, New York 10005 | \$1,000 | 9/25/18 |
| 3. | Donation of meeting space for two days. | Shannon F. Wheeler Chairman Tribal Executive Committee P.O. Box 305 Lapwai, ID 83540 | \$ 200 | 10/09/18 |
| 4. | Donation of BETTI box starter kit for six months. | Mr. Larry D. Thompson Vantage Point Solutions 2211 North Minnesota Street Mitchell, SD 57301 | \$ 450 | 11//5/18 |
| 5. | Donation of bound volumes of TR Daily from 1934-1992. | Lynn Stanton TR Daily Wolters Kluwer 1015 15 th St., NW Suite 1000 Washington, DC 20005 | \$3,300 | 11/28/18 |

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|----|---|---|-----------------|------------------|
| 1. | Donation of a complimentary registration to the NASCIO Midyear Conference | Mr. Matt Pincus Director of Government Affairs NASCIO mpincus@bascio.org | \$ 1,295.00 | 04/11/19 |
| 2. | Donation of discounted registration fee to the M-Enabling Summit for 15 FCC employees | Mr. Axel Leblois, President G3ict (b) (6) (b) (6) | \$3,300.00 | 4/11/19 |
| 3. | Donation of meeting room | Todd Payne Director, Business & Operation Microsoft Corporation (b) (6) | \$350 | 4/15/19 |
| 4. | Donation of meeting room | Mr. Matt Ames Sales Manager Soaring Eagle Casino & Resort (b) (6) (b) (6) | \$300 | 6/21/19 |