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"Rummaging in the government's attic"

Description of document: Export Import Bank of the United States (EXIM) Emails in

the mailboxes of Lisa Terry and Lance Matthews containing selected keywords, January - October 2019

Requested date: 20-October-2019

Release date: 05-November-2020

Posted date: 16-November-2020

Source of document: FOIA Request

Export-Import Bank of the United States Freedom of Information and Privacy Office

811 Vermont Ave., NW Washington, D.C. 20571 Fax: (202) 565-3294 E-Mail: foia@exim.gov

Online Freedom of Information Act Request Form

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November 4, 2020

Via Electronic Mail

Re: FOIA Request # 20200007F

This is the first interim response to your Freedom of Information Act (FOIA) request to the Export-Import Bank of the United States (Ex-Im Bank). We received your request in our FOIA Office via E-mail on October 20, 2019. You requested a copy of emails containing the key words: white house, Trump, President, administration, political and/or unethical; that were either received or sent by Lisa Terry and/or Lance Mathews from January 1, 2019 to present (date of request).

We conducted a comprehensive search of the files within the Office of Information Technology, records that would be responsive to your request. This is the component within Ex-Im Bank in which responsive records could reasonably be expected to be found. The search produced the attached records. After carefully reviewing the responsive documents, we have determined they are partially releasable pursuant to Title 5 U.S.C. § 552(b)(4), (b)(5) and (b)(6). For your convenience, we are attaching the documents to this message as a PDF(s). Any redactions are accompanied by the corresponding FOIA exemption(s):

FOIA Exemption 4 protects trade secrets and commercial or financial information obtained from a person that is privileged or confidential. This subsection protects confidential commercial information, which (1) submitters customarily keep private or closely-held and (2) where the government provided some assurance of confidentiality, whether express or implied.

FOIA Exemption 5 protects from disclosure those inter- or intra-agency documents that are normally privileged in the civil discovery context. The three most frequently invoked privileges are the deliberative process privilege, the attorney work-product privilege, and the attorney-client privilege. After carefully reviewing the responsive documents, we determined that portions of the responsive documents qualify for protection under the following privilege(s):

• Deliberative Process Privilege

The deliberative process privilege protects the integrity of the deliberative or decision-making processes within the agency by exempting from mandatory

disclosure opinions, conclusions, and recommendations included within interagency or intra-agency memoranda or letters. The release of this internal information would discourage the expression of candid opinions and inhibit the free and frank exchange of information among agency personnel.

• Attorney-Client Privilege

The attorney-client privilege protects confidential communications between an attorney and his client relating to a legal matter for which the client has sought professional advice. It applies to facts divulged by a client to his attorney, and encompasses any opinions given by an attorney to his client based upon, and thus reflecting, those facts, as well as communications between attorneys that reflect client-supplied information. The attorney-client privilege is not limited to the context of litigation.

FOIA Exemption 6 exempts from disclosure personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy.

Please be advised that we continue to search for and review documents which respond to the remaining items listed in your request and will provide you with additional interim response(s) as documents are reviewed and approved for release. Please note that we will furnish you with any applicable appeal rights with our final response.

Also, during our search for responsive records, we located records that originated within the Farm Credit Administration, the General Services Administration and the Office of Government Ethics. Therefore, we are transferring the document to those agencies for consultation.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. §552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all of our requesters and should not be taken as an indication that excluded records do, or do not, exist.

You may contact our FOIA Public Liaison, Ms. Lennell Jackson at (202) 565-3290 for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, email at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

If you have any questions about this request, please contact Mr. James Dixon at (202) 565-3292 or by E-Mail at James.Dixon@exim.gov.

Sincerely,

Digitally signed by LISA TERRY Date:
TERRY 2020.11.04
08:49:53 -05'00'

Lisa V. Terry Chief FOIA Officer

Attachment: Responsive Documents (1 PDF file)

From: Niki Shepperd
To: Lisa Terry
Cc: EthicsAdvice

Subject: RE: Ethics Inquiry: INVITATION: Arizona Roundtable Discussion, Featuring EXIM Chair Reed

Date: Wednesday, July 17, 2019 1:08:35 PM

Attachments: <u>image001.png</u>

image003.png

Thank you Lisa. I will wait to hear back from you.

Niki

From: Lisa Terry

Sent: Wednesday, July 17, 2019 1:07 PM **To:** Niki Shepperd <niki.shepperd@exim.gov> **Cc:** EthicsAdvice <ethicsadvice@exim.gov>

Subject: RE: Ethics Inquiry: INVITATION: Arizona Roundtable Discussion, Featuring EXIM Chair Reed

Hi Niki,

(b) (5)

Thanks,

Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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From: Lisa Terry

To: (b) (6)

Subject: Administration Ethics Pledge

 Date:
 Wednesday, June 5, 2019 11:20:00 AM

 Attachments:
 Ethics Pledge form (Trump).docx

image001.png

Hello David,

It was nice meeting you informally yesterday. For your review, attached is a copy of the Administration's Ethics Pledge which you will be required to sign upon your appointment. I will be providing you an ethics briefing during your first week which will include a review of the Pledge, as well as conduct and conflict of interest laws.

Best regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>







ETHICS PLEDGE

As a condition, and in consideration, of my employment in the United States Government in an appointee position invested with the public trust, I commit myself to the following obligations, which I understand are binding on me and are enforceable under law:

- 1. I will not, within 5 years after the termination of my employment as an appointee in any executive agency in which I am appointed to serve, engage in lobbying activities with respect to that agency.
- 2. If, upon my departure from the Government, I am covered by the post-employment restrictions on communicating with employees of my former executive agency set forth in section 207(c) of title 18, United States Code, I agree that I will abide by those restrictions.
- 3. In addition to abiding by the limitations of paragraphs 1 and 2, I also agree, upon leaving Government service, not to engage in lobbying activities with respect to any covered executive branch official or non-career Senior Executive Service appointee for the remainder of the Administration.
- 4. I will not, at any time after the termination of my employment in the United States Government, engage in any activity on behalf of any foreign government or foreign political party which, were it undertaken on January 20, 2017, would require me to register under the Foreign Agents Registration Act of 1938, as amended.
- 5. I will not accept gifts from registered lobbyists or lobbying organizations for the duration of my service as an appointee.
- 6. I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer or former clients, including regulations and contracts.
- 7. If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.
- 8. I agree that any hiring or other employment decisions I make will be based on the candidate's qualifications, competence, and experience.
- 9. I acknowledge that the Executive Order entitled "Ethics Commitments by Executive Branch Appointees," issued by the President on January 28, 2017, which I have read before signing this document, defines certain terms applicable to the foregoing obligations and sets forth the methods for enforcing them. I expressly accept the provisions of that Executive Order as a part of this agreement and as binding on me. I understand that the obligations of this pledge are in addition to any statutory or other legal restrictions applicable to me by virtue of Government service.

	, 4	20
Signature	Date	
Print or type your full name (Last, first, middle)		

From: Lisa Terry

To: (b) (6)

Subject: Administration Ethics Pledge

 Date:
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 Attachments:
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image001.png

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	, 4	20
Signature	Date	
Print or type your full name (Last, first, middle)		

From: Lee Stewart

To: <u>Lisa Terry; Andrea Bernardo</u>
Subject: Annual conference gifts question
Date: Friday, March 8, 2019 10:19:12 AM

Attachments: <u>image001.png</u>

Lisa and Andrea,

We are planning to order gifts for speakers at the annual conference that have a value of (b) (4).

We're hoping to order today and any advice you

can provide will help us determine the number of gifts to order.

Thanks,

Lee

Lee Stewart | Director of External Engagement

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 D: 202.565.3773 | M: 202.344.6664 | lee.stewart@exim.gov



From: Lisa Terry Felice Smith

Subject: Applicability of Ethics Rules in Event of Shutdown Date: Wednesday, January 23, 2019 1:53:18 PM

Attachments:

image003.png

Here's a rough draft. Thanks!

Dear colleagues,

As discussed at our All Hands meeting, this is to advise that, in the event EXIM is shutdown, the ethics laws and regulations will continue to apply. This includes the Standards of Ethical Conduct, the criminal conflict of interest laws, and restrictions on outside employment and activities.

OUTSIDE EMPLOYMENT:

If you are considering engaging it outside employment or activities during a shutdown, you must comply with EXIM's policy, found here:

https://eximbankus.sharepoint.com/:b:/r/sites/AP/Shared%20Documents/Outside%20Employment%20Policy-%2000E%20Final%209-13-18.pdf?csf=1

Generally, you may not engage in any outside employment that conflicts with your official duties. Employment conflicts with your official duties if create an actual or apparent conflict between your private interests and the performance of your official duties.

You are also required to receive prior approval for any outside employment or activities. Your request must be submitted on this form:

http://eximconnect.bankhq.exim.gov/sites/default/files/document-form/requestforapprovalofoutsideemployment.pdf

POLITICAL ACTIVITIES:

You may not engage in fundraising for a political party or an candidate.

GIFTS:

Employees remain subject to the gift rules. You may not accept a gift from anyone doing business or seeking to do business with the Bank, unless an exception applies, such as gifts with a value of \$20.

Regards,

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Lisa Terry

To: Douglas Adler

Cc: Andrea Bernardo

Subject: C. Slacik Conflicts

Date: Tuesday, February 19, 2019 11:06:09 AM

Attachments: image003.png

Doug,

The OGE reviewer handling Claudia's report is relying upon the following statements provided to OGE during Claudia's previous vetting (b) (5)

previous vetting. (b) (5)

Thanks, Lisa.



811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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To: Douglas Adler
Cc: Andrea Bernardo
Subject: C. Slacik Conflicts

Date: Tuesday, February 19, 2019 11:00:52 AM

Attachments: image001.png image002.png

Doug,

(b) (5), (b) (6)



Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

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From: Lisa Terry
To: Kristene Blake
Cc: Margaux Matter

Subject: Call with First Vice President Nominee

Date: Wednesday, May 1, 2019 4:01:00 PM

Attachments: <u>image001.png</u>

Hi Kristen,

Margaux would like to schedule a call with our new First Vice President nominee, Paul Shmotolokha, and asked me to get in touch with you to do so. The call should include the three of us.

Paul has advised that he is traveling next week and that Monday would be best. Here's his email:

(b) (6)

My calendar is open but will likely need to work offsite on Monday.

Thanks,

Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



From: Jennifer Porter

To: Lisa Terry; Lance Mathews
Cc: EthicsAdvice; Richard Kisielowski
Subject: Chairman Gift Approval Requests
Date: Monday, October 21, 2019 3:57:57 PM

Attachments: <u>image001.png</u>

Hi Lisa and Lance,

I have two gift approval requests from the Chairman.

(b) (6)

(b) (6)

Please advise if it is permissible for the Chairman to keep these two gifts.

Thanks!

Jenny

Jenny Porter | Office of the Chairman

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3523 | Mobile 202.731.3819 | jennifer.porter@exim.gov

Twitter | LinkedIn | YouTube



From: Lisa Terry

"Claudia Slacik"; Natalie McGarry; Margaux Matter

Subject: Claudia has been precleared by OGE! Thursday, March 7, 2019 1:24:29 PM Date:

Attachments: image001.png

Your Ethics Agreement is on its way to the White House.

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

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From: Kimberly Reed

To: (b) (6

Cc: David Fogel; Lauren Fuller; Kimberly Manecke; Jamal Ware; Basel Alloush; Jennifer Porter;

EOP Not Subject to FOIA Lisa Terry; Selma Hamilton

Subject: Congratulations!

Date: Thursday, August 15, 2019 6:30:21 PM

Dear Brandon:

Congratulations on joining us at EXIM as our White House Fellow! We are very fortunate and hope to involve you in our mission in some meaningful ways over the next year.

Basel Alloush, our WH Liaison, will be in touch to help get your paperwork and badging and other efforts underway.

Could you send us a short bio on yourself so we can share the great news with the EXIM staff.

We look forward to welcoming you on Monday, Aug 19 for EXIM onboarding and then have you join us full time following your WH Fellows orientation a week later.

Please reach out to any of us at any time. Again, congratulations!

Warmest regards, Kim Kimberly Reed President and Chairman Export-Import Bank of the United States 811 Vermont Ave., NW Washington, DC 20571 Work: 202-565-3500

Work Cell: 202-597-1274 Email: kimberly reed@exim.gov From: Basel Alloush
To: Lisa Terry

Subject: David Fogel ethics inquiry

Date: Friday, May 31, 2019 3:02:35 PM

Attachments: <u>image003.png</u>

Hi Lisa,

Thanks for the call. David Fogel's phone number is (b) (6)

I'll let him know you'll be calling early next week.

Message to Chief Ethics Officer
(b) (5), (b) (6)

Best regards, Basel

Basel Alloush | Senior Advisor

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 1243 | Washington, DC 20571 Tel 202.565.3534 | Mobile 202.536.9003 | Basel.Alloush@exim.gov



From: Lauren Fuller
To: Lisa Terry
Subject: Ethics Approval

Date: Saturday, August 3, 2019 12:53:19 PM



Sent from my iPhone

From: Lisa Terry
To: David Slade

Subject: Ethics Pledge and Waivers

Date: Tuesday, July 23, 2019 12:18:00 PM

Attachments: <u>image001.png</u>

https://www.whitehouse.gov/presidential-actions/executive-order-ethics-commitments-executive-branch-appointees/

https://www.whitehouse.gov/wp-content/uploads/2019/06/Waiver-Chart-6-19-19-002.pdf

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



To: All Exim Feds
Cc: Felice Smith

Subject: Ethics Rules During Shutdown

Date: Thursday, January 24, 2019 11:02:50 AM
Attachments: requestforapprovalofoutsideemployment.pdf

image001.png image003.png

Dear colleagues,

As discussed at this week's All Hands Meeting, in the event of a furlough, the federal ethics rules will continue to apply to EXIM staff. A few reminders—

GIFTS:

- Employees remain subject to the gift rules during a furlough
- You may not accept a gift from anyone doing business or seeking to do business with EXIM
- The \$20 gift exception still applies
- You may take advantage of any discounts, free food offerings, etc., being offered to furloughed employees

POLITICAL ACTIVITIES:

• You may not engage in fundraising for a political party or candidate

OUTSIDE EMPLOYMENT:

- Employees must continue to obtain prior ethics approval before engaging in any outside employment during a furlough
- The Chief Ethics Officer will be on call to respond to requests for approval and related inquiries
- You are <u>prohibited</u> from engaging in any outside employment that conflicts with your official duties (*i.e.*, it causes you to compromise your official duties or creates such an appearance)
- We strongly encourage you to submit <u>outside employment request forms</u> as soon as possible for any type of employment you can reasonably anticipate in advance of a furlough
- For any requests submitted during a furlough, send the request form directly to ethicsadvice@exim.gov. Supervisory approval is not required
- During a furlough, the prior approval requirement will be <u>temporarily waived</u> for certain types of activities, including: Uber/Lyft driving; retail jobs; babysitting; dog walking; substitute teaching; document review; office administrative duties.

FINANCIAL DISCLOSURE:

• We will advise on the status of the February 15 deadline once we receive guidance from the Office of Government Ethics. You may not work on your financial disclosure report during a shutdown

Please feel free to contact us with any questions on the above.

Regards, Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

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REQUEST FOR APPROVAL OF OUTSIDE EMPLOYMENT

To:	Name of Supervisor.		
	Title		
	Division		
	Name		
	Designated Agency Ethics Official/General Counsel		
From:	Name of Employee		
	Title		
	Division		
Date:	Date		
1.	Position at the Bank and brief description of official duties and activities:		
2.	Position in outside employment organization:		
3.	Name/description of the outside employment organization, including address and web address:		
4.	Describe the nature of proposed outside employment (please provide full description of duties and activities):		
5.	Proposed hours of work at outside employment (if known):		
6.	Expected dates/duration of outside employment:		

QUESTIONS:

1)	Will employment activity involve providing services to the U.S. government?
	Yes No
2)	Does your outside employer currently do business with the Bank, or is it likely to do
2)	business with the Bank?
	Yes No
3)	Will your proposed employment activity involve provision of services to or transactions
	with a prohibited source (Ex-Im Bank customer or contractor)?
	Yes No
4)	Door your official Book duty and activity involve the same or similar activity as your
4)	Does your official Bank duty and activity involve the same or similar activity as your
	proposed outside activity?
	Yes No
If you	answer yes to any of the above, please provide detailed explanation.
5)	If your proposed employment involves teaching, speaking or writing:
	Ones the subject matter relate to your official duties or activities at the Bank?
	(please include outline or syllabus)
	Yes No
	100
	Is your proposed employer an accredited academic institution?
	Yes No

EMPLOYEE CERTIFICATION:

- 1. I understand that my outside employment activities cannot conflict with my official duties and activities at the Bank. To the extent such a conflict occurs, I will recuse myself from performing official duties or activities at the Bank that involve or affect any outside entity with which I have an outside employment relationship. In the event my outside employment activity relates to my official duties and activities at the Bank such that the ability to perform my official duties and activities would be materially impaired, I will terminate my outside employment activity.
- 2. I understand that, even in instances where I believe the exercise of my outside employment activities would benefit the Bank, the public interest in honesty, integrity of the service and avoidance of a conflict of interest outweighs any perceived benefit.
- 3. I warrant that my proposed outside employment does not consist of activities involving the same or substantially the same official duties or activities in which I am engaged at the Bank, with an outside employer that could be in a position to do business with the Bank in such area of activity.
- 4. I warrant that, consistent with the U. S. Government Ethics Regulations and the Bank's policy on "Limited Personal Use" of Government Office Equipment Including Information Technology, I will not use any agency resources, (including but not limited to, telephones, computers, laptops, tablets, smart phones, printers, copiers, official email address, cloud or similar platform, papers, pens etc. or official time), to conduct my outside employment activities.
- 5. I warrant that I will not solicit any business for my outside employer from any Bank customers, contractors or employees.
- 6. I warrant that I will not use any private or confidential business information belonging to the Bank or its customers in furtherance of my outside employment activities.
- 7. I understand that even if this activity is approved, I cannot represent my outside employer or any other client or individual before the U.S. Government.
- 8. I understand that I must report my outside employment income on my annual Financial Disclosure Form.
- 9. I understand this Request for Approval of Outside Employment must be renewed no later than January 15 of each calendar year.
- 10. I have read and agree to comply with all provisions of the Bank's Outside Employment Policy.

Employee (Signature)	Date
[Name/Title (Print)]	Division

SUPERVISOR CERTIFICATION:

As the supervisor, I understand and agree to the following:

- 1. If the outside employment activity is approved, the employee may be disqualified from performing official duties and activities at the Bank that involve or affect the entity with which the employee has an outside employment.
- 2. If the outside employment activity constitutes employment or service as an officer, director, or trustee, or in another fiduciary role, the recusal obligation may extend not only to government matters that specifically involve or affect the outside entity, but to those matters that affect generally the industry or economic sector in which the outside entity operates.
- 3. The proposed outside employment activity does not relate to the employee's official duties or activities such that the employee's ability to perform the duties and activities of his or her position would be materially impaired.
- 4. The employee will be recused from any work assignments involving specific or general matters relating to his or her outside employment.
- 5. I will notify the General Counsel by email when such recusals occur or in the event the employee's outside employment activity impairs the employee's performance of their official duties and activities.

Supervisor	[signature]	Date
Name/Title	[Print]	 Division
ETHICS O	OFFICIAL APPROVAL/D	ISAPPROVAL
of the super	rvisor, the disposition indicated to the transfer of the contract of the transfer of the trans	y supporting documentation and the recommendations ated below constitutes my written determination, 2 Part 6201, that the request to engage in the identified
o Appi	roved	
o Appı	roved with conditions (expla	anation attached)
o Deni	ied	

This activity request must be renewed no later than January 15 of each calendar year.

Designated Agency Ethics Official

Date

From: Lisa Terry

To: <u>eacompliance@oge.gov</u>

Subject: Evidence of EA Compliance--Chairman Reed

Date: Thursday, May 23, 2019 4:12:00 PM

Attachments: <u>image001.png</u>

Evidence of EA Compliance Reed 5-23-19.pdf

Dear Compliance Division,

In accordance with 5 C.F.R. 2634.804, please find attached evidence of Ethics Agreement compliance for Kimberly Ann Reed, Chairman and President, Export Import Bank of the United States.

Please let me know if you require anything further.

Sincerely, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>





May 23, 2019

Emory A. Rounds, III Director United States Office of Government Ethics 1201 New York Avenue, NW, Suite 500 Washington, DC 20005

Re: Evidence of Ethics Agreement Compliance—Kimberly Ann Reed

Dear Mr. Rounds,

In accordance with 5 C.F.R. § 2634.804, please find enclosed evidence of Ethics Agreement compliance for Kimberly Ann Reed, Chairman and President, Export Import Bank of the United States.

Specifically, enclosed are Chairman Reed's resignation letters from the Board of Directors of the Alzheimer's Impact Movement and the American Swiss Foundation.

Please let me know if you have any questions or require additional information.

Kind regards,

Lisa V. Terry

Senior Vice President and Chief Ethics Officer

Designated Agency Ethics Official

Enclosures

May 8, 2019

Mr. Evan Thompson, Chairman Mr. Harry Johns, President and CEO Mr. Robert Egge, Executive Director Alzheimer's Impact Movement 2121 New York Avenue, NW, Suite 1403 Washington, DC 20005

Dear Evan, Harry, and Rob:

Thank you, Harry, for your telephone call today. As we discussed, I was confirmed by the United States Senate as President and Chairman of the Board of Directors of the Export-Import Bank of the United States. As is required by my ethics agreement, I must now resign from the Board of Directors of the Alzheimer's Impact Movement effective immediately.

Thank you for the immense honor of serving on the Board of this outstanding organization. I believe in your mission and will always embrace the great lessons that the late and much beloved Jerome Stone taught us.

Please extend my deepest thanks and appreciation to the Board, staff, stakeholders, and those living with the disease as I enter public service to make a positive difference for our nation and world.

Thank you again for the opportunity to serve. I wish you much success in the continued fight for a world without Alzheimer's Disease.

With warmest regards,

Kimberly a. Reed

Kimberly Reed

May 8, 2019

Mr. Robert J. Giuffa, Jr., Esq., Chairman Ms. Patricia Schramm, President American Swiss Foundation 271 Madison Avenue, Suite 1403 New York, NY 10016

Dear Bob and Patricia:

As communicated to you by telephone today, I was confirmed by the United States Senate as President and Chairman of the Board of Directors of the Export-Import Bank of the United States. As is required by my ethics agreement, I must now resign from the Board of Directors of the American Swiss Foundation effective immediately.

Thank you for the immense honor of serving on the Board of this outstanding organization. I believe in your mission and will always embrace the great lessons that the late and much beloved Ambassador Faith Whittlesey taught us.

Please extend my deepest thanks and appreciation to the Board, staff, Young Leaders, and stakeholders as I enter public service to make a positive difference for our nation and world.

With warmest regards,

Kimberly a. Reed

Kimberly Reed

From: Lance Mathews
To: ContactOGE@oge.gov

Subject: Exclusion of public filer under 5 CFR 2634.203(c)

Date: Monday, September 9, 2019 11:54:00 AM

Attachments: <u>image001.png</u>

Good morning -

I am the new ADAEO at EXIM Bank and checking if there are any templates or preferred formats for exclusion request to OGE under section 2634.203(d). The candidate is a GS-14 political appointee who otherwise meets the criteria of 2634.203(c)(2).

Please let me know if you need additional info from me.

Respectfully,

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | <u>lance.mathews@exim.gov</u>



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From: Lisa Terry
To: Margaux Matter

Subject: EXIM Board Members Re-Nominated

Date: Thursday, January 17, 2019 10:19:18 AM

Attachments: image001.png image002.png

Margaux—here's a draft. I don't think there's much more information to provide. Not sure if you want to keep the announcement—it's lengthy.

Dear colleagues,

I am pleased to share the good news that on January 16, 2019, President Trump sent to the Senate nominations the following former nominees to EXIM's Board of Directors:

Spencer Bachus, III, of Alabama, to be Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Patricia M. Loui, term expired.

Judith Del Zoppo Pryor, of Ohio, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Larry W. Walther, term expired.

Kimberly A. Reed, of West Virginia, to be President of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Fred P. Hochberg, resigned.

Claudia Slacik, of New York, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Sean Robert Mulvaney.

We will keep you informed of any significant developments.



Office of the Press Secretary

FOR IMMEDIATE RELEASE January 16, 2019

NOMINATIONS SENT TO THE SENATE:

John P. Abizaid, of Nevada, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Saudi Arabia.

Stephen Akard, of Indiana, to be Director of the Office of Foreign Missions, with the rank of Ambassador, vice Gentry O. Smith, resigned.

Kathe Hicks Albrecht, of California, to be a Member of the

National Council on the Humanities for a term expiring January 26, 2024, vice Bruce R. Sievers, term expired.

William I. Althen, of Virginia, to be a Member of the Federal Mine Safety and Health Review Commission for a term of six years expiring August 30, 2024. (Reappointment)

Seth Daniel Appleton, of Missouri, to be an Assistant Secretary of Housing and Urban Development, vice Katherine M. O'Regan.

Michael J. Astrue, of Massachusetts, to be a Member of the Social Security Advisory Board for a term expiring September 30, 2022, vice Alan L. Cohen, term expired.

Spencer Bachus, III, of Alabama, to be Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Patricia M. Loui, term expired.

Irving Bailey, of Florida, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2021, vice Matthew Maxwell Taylor Kennedy, term expired.

Aditya Bamzai, of Virginia, to be a Member of the Privacy and Civil Liberties Oversight Board for the remainder of the term expiring January 29, 2020, vice Elisebeth Collins Cook, resigning.

Charles Wickser Banta, of New York, to be a Member of the National Council on the Arts for a term expiring September 3, 2022, vice Maria Rosario Jackson, term expired.

Rita Baranwal, of Pennsylvania, to be an Assistant Secretary of Energy (Nuclear Energy), vice Peter Bruce Lyons, resigned.

John Barsa, of Florida, to be an Assistant Administrator of the United States Agency for International Development, vice Marcela Escobari.

Pamela Bates, of Virginia, to be Representative of the United States of America to the Organization for Economic Cooperation and Development, with the rank of Ambassador.

William Beach, of Kansas, to be Commissioner of Labor Statistics, Department of Labor, for a term of four years, vice Erica Lynn Groshen, term expired.

Marshall Billingslea, of Virginia, to be an Under Secretary

of State (Civilian Security, Democracy, and Human Rights), vice Sarah Sewall, resigned.

David Fabian Black, of North Dakota, to be Deputy Commissioner of Social Security for the term expiring January 19, 2019, vice Carolyn W. Colvin, term expired.

David Fabian Black, of North Dakota, to be Deputy Commissioner of Social Security for a term expiring January 19, 2025. (Reappointment)

Lynda Blanchard, of Alabama, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Slovenia.

Ron A. Bloom, of New York, to be a Governor of the United States Postal Service for a term expiring December 8, 2020, vice Mickey D. Barnett, term expired.

William Bookless, of California, to be Principal Deputy Administrator, National Nuclear Security Administration, vice Madelyn R. Creedon.

Mindy Brashears, of Texas, to be Under Secretary of Agriculture for Food Safety, vice Elisabeth Ann Hagen, resigned.

Kimberly Breier, of Virginia, to be a Member of the Board of Directors of the Inter-American Foundation for a term expiring September 20, 2020, vice Adolfo A. Franco, term expired.

Andrew P. Bremberg, of Virginia, to be Representative of the United States of America to the Office of the United Nations and Other International Organizations in Geneva, with the rank of Ambassador.

William Bryan, of Virginia, to be Under Secretary for Science and Technology, Department of Homeland Security, vice L. Reginald Brothers, Jr., resigned.

Ann Marie Buerkle, of New York, to be a Commissioner of the Consumer Product Safety Commission for a term of seven years from October 27, 2018. (Reappointment)

Ann Marie Buerkle, of New York, to be Chairman of the Consumer Product Safety Commission, vice Elliot F. Kaye.

Brian J. Bulatao, of Texas, to be an Under Secretary of State (Management), vice Patrick Francis Kennedy.

Kate Marie Byrnes, of Florida, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Macedonia.

Sean Cairncross, of Minnesota, to be Chief Executive Officer, Millennium Challenge Corporation, vice Dana J. Hyde.

Keegan F. Callanan, of Vermont, to be a Member of the National Council on the Humanities for a term expiring January 26, 2024, vice Manfredi Piccolomini, resigned.

Mary Anne Carter, of Tennessee, to be Chairperson of the National Endowment for the Arts for a term of four years, vice R. Jane Chu, resigned.

Joseph Cella, of Michigan, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Fiji, and to serve concurrently and without additional compensation as Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Kiribati, the Republic of Nauru, the Kingdom of Tonga, and Tuvalu.

Mina Chang, of Texas, to be an Assistant Administrator of the United States Agency for International Development, vice Jonathan Nicholas Stivers.

Julia Akins Clark, of Maryland, to be a Member of the Merit Systems Protection Board for the term of seven years expiring March 1, 2021, vice Anne Marie Wagner, term expired.

Alan E. Cobb, of Kansas, to be a Member of the Board of Directors of the Metropolitan Washington Airports Authority for a term expiring November 22, 2023, vice William Shaw McDermott, term expired.

Susan Combs, of Texas, to be an Assistant Secretary of the Interior, vice Rhea S. Suh, resigned.

William Cooper, of Maryland, to be General Counsel of the Department of Energy, vice Steven Croley, resigned.

R. Clarke Cooper, of Florida, to be an Assistant Secretary of State (Political-Military Affairs), vice Puneet Talwar, resigned.

Jane L. Corwin, of New York, to be Commissioner on the part of the United States on the International Joint Commission, United States and Canada, vice Lana Pollack.

Edward F. Crawford, of Ohio, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Ireland.

Alexander Crenshaw, of Florida, to be a Member of the Board of Directors of the Millennium Challenge Corporation for a term of three years, vice Mark Green, term expired.

Joseph V. Cuffari, of Arizona, to be Inspector General, Department of Homeland Security, vice John Roth.

Veronica Daigle, of Virginia, to be an Assistant Secretary of Defense, vice Frederick Vollrath, resigned.

Elizabeth Darling, of Texas, to be Commissioner on Children, Youth, and Families, Department of Health and Human Services, vice Rafael J. Lopez.

Rick A. Dearborn, of Oklahoma, to be a Director of the Amtrak Board of Directors for a term of five years, vice Jeffrey R. Moreland, term expired.

Louis DeJoy, of North Carolina, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2020, vice James M. Demers, term expired.

David Armand DeKeyser, of Alabama, to be a Member of the National Council on the Humanities for a term expiring January 26, 2020, vice Dawn Ho Delbanco, term expired.

Michael J. Desmond, of California, to be Chief Counsel for the Internal Revenue Service and an Assistant General Counsel in the Department of the Treasury, vice William J. Wilkins.

Robert A. Destro, of Virginia, to be Assistant Secretary of State for Democracy, Human Rights, and Labor, vice Tomasz P. Malinowski.

Janet Dhillon, of Pennsylvania, to be a Member of the Equal Employment Opportunity Commission for a term expiring July 1, 2022, vice Jenny R. Yang, term expiring.

Thelma Drake, of Virginia, to be Federal Transit Administrator, vice Peter M. Rogoff, resigned.

Robert M. Duncan, of Kentucky, to be a Governor of the United States Postal Service for a term expiring December 8, 2025. (Reappointment)

Naomi C. Earp, of Maryland, to be an Assistant Secretary of Agriculture, vice Joe Leonard, Jr.

Jeffrey L. Eberhardt, of Wisconsin, a Career Member of the Senior Executive Service, to be Special Representative of the President for Nuclear Nonproliferation, with the rank of Ambassador.

William R. Evanina, of Pennsylvania, to be Director of the National Counterintelligence and Security Center. (New Position)

Dino Falaschetti, of Montana, to be Director, Office of Financial Research, Department of the Treasury, for a term of six years, vice Richard B. Berner, resigned.

Christopher Fall, of Virginia, to be Director of the Office of Science, Department of Energy, vice Cherry Ann Murray.

Michael Faulkender, of Maryland, to be an Assistant Secretary of the Treasury, vice Karen Dynan.

Jason J. Fichtner, of the District of Columbia, to be a Member of the Social Security Advisory Board for a term expiring September 30, 2024, vice Lanhee J. Chen, term expired.

David T. Fischer, of Michigan, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Morocco.

Michael J. Fitzpatrick, of Virginia, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Ecuador.

John Fleming, of Louisiana, to be Assistant Secretary of Commerce for Economic Development, vice Roy K. J. Williams.

Diana Furchtgott-Roth, of Maryland, to be an Assistant Secretary of Transportation. (New Position)

Lane Genatowski, of New York, to be Director of the Advanced Research Projects Agency-Energy, Department of Energy, vice Ellen Dudley Williams.

Kenneth S. George, of Texas, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Oriental Republic of Uruguay.

James S. Gilmore, of Virginia, to be U.S. Representative to

the Organization for Security and Cooperation in Europe, with the rank of Ambassador.

Brett P. Giroir, of Texas, to be Representative of the United States on the Executive Board of the World Health Organization, vice Thomas Frieden.

Shannon Lee Goessling, of Florida, to be Director of the Violence Against Women Office, Department of Justice, vice Susan B. Carbon.

Robert J. Grey, Jr., of Virginia, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020. (Reappointment)

Joseph Ryan Gruters, of Florida, to be a Director of the Amtrak Board of Directors for a term of five years, vice Albert DiClemente, term expired.

Jeffrey Ross Gunter, of California, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Iceland.

Sharon Fast Gustafson, of Virginia, to be General Counsel of the Equal Employment Opportunity Commission for a term of four years, vice P. David Lopez, resigned.

Joseph Bruce Hamilton, of Texas, to be a Member of the Defense Nuclear Facilities Safety Board for a term expiring October 18, 2022, vice Daniel J. Santos, term expired.

Gordon Hartogensis, of Connecticut, to be Director of the Pension Benefit Guaranty Corporation for a term of five years, vice W. Thomas Reeder Jr., resigned.

Janice Miriam Hellreich, of Hawaii, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2024, vice Howard Abel Husock, term expired.

Kim R. Holmes, of Virginia, to be a Member of the National Council on the Humanities for a term expiring January 26, 2022, vice Paula Barker Duffy, term expired.

Rodney Hood, of North Carolina, to be a Member of the National Credit Union Administration Board for a term expiring August 2, 2023, vice Richard T. Metsger, term expired.

Kenneth A. Howery, of Texas, to be Ambassador Extraordinary

and Plenipotentiary of the United States of America to the Kingdom of Sweden.

Victoria Ann Hughes, of Virginia, to be a Member of the Board of Directors of the Corporation for National and Community Service for a term expiring October 6, 2021. (Reappointment)

Scott Hutchins, of Indiana, to be Under Secretary of Agriculture for Research, Education, and Economics, vice Catherine E. Woteki.

Darrell E. Issa, of California, to be Director of the Trade and Development Agency, vice Leocadia Irine Zak.

Michelle Itczak, of Indiana, to be a Member of the National Council on the Arts for a term expiring September 3, 2020, vice Irvin M. Mayfield, Jr., term expired.

Ronald Douglas Johnson, of Florida, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of El Salvador.

Aimee Kathryn Jorjani, of Wisconsin, to be Chairman of the Advisory Council on Historic Preservation for a term expiring January 19, 2021, vice Milford Wayne Donaldson, term expired.

Phyllis Kaminsky, of Arizona, to be a Member of the National Council on the Humanities for a term expiring January 26, 2020, vice Adele Logan Alexander, term expired.

Amy Karpel, of Washington, to be a Member of the United States International Trade Commission for the remainder of the term expiring June 16, 2020, vice F. Scott Kieff, resigned.

Jeffrey Kessler, of Virginia, to be an Assistant Secretary of Commerce, vice Paul Piquado, resigned.

Heidi R. King, of California, to be Administrator of the National Highway Traffic Safety Administration, vice Mark R. Rosekind.

Dennis Dean Kirk, of Virginia, to be a Member of the Merit Systems Protection Board for the term of seven years expiring March 1, 2023, vice Susan Tsui Grundmann, term expired.

Dennis Dean Kirk, of Virginia, to be Chairman of the Merit Systems Protection Board, vice Susan Tsui Grundmann.

Robert Hunter Kurtz, of Virginia, to be an Assistant

Secretary of Housing and Urban Development, vice Sandra Brooks Henriquez, resigned.

Abigail L. Kuzma, of Indiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2022. (Reappointment)

Abigail L. Kuzma, of Indiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2019, vice Charles Norman Wiltse Keckler, resigned.

Travis LeBlanc, of Maryland, to be a Member of the Privacy and Civil Liberties Oversight Board for a term expiring January 29, 2022, vice James Xavier Dempsey, term expired.

John G. Levi, of Illinois, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020. (Reappointment)

James B. Lockhart III, of Connecticut, to be a Member of the Board of Trustees of the Federal Hospital Insurance Trust Fund for a term of four years, vice Charles P. Blahous, III, term expired.

James B. Lockhart III, of Connecticut, to be a Member of the Board of Trustees of the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund for a term of four years, vice Charles P. Blahous, III, term expired.

James B. Lockhart III, of Connecticut, to be a Member of the Board of Trustees of the Federal Supplementary Medical Insurance Trust Fund for a term of four years, vice Charles P. Blahous, III, term expired.

Barbara Coleen Long, of Missouri, to be a Member of the National Council on the Arts for a term expiring September 3, 2022, vice Deepa Gupta, term expired.

John Lowry III, of Illinois, to be Assistant Secretary of Labor for Veterans' Employment and Training, vice Michael Herman Michaud.

Virgil Madden, of Indiana, to be a Commissioner of the United States Parole Commission for a term of six years, vice Patricia Cushwa, term expired.

John G. Malcolm, of the District of Columbia, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020, vice Martha L. Minow, term expired.

Doug Manchester, of California, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Commonwealth of The Bahamas.

Robert A. Mandell, of Florida, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2022, vice Brent Franklin Nelsen, term expired.

George M. Marcus, of California, to be a Member of the Board of Directors of the Millennium Challenge Corporation for a term of three years, vice Morton H. Halperin, term expired.

Lana J. Marks, of Florida, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of South Africa.

Roman Martinez IV, of Florida, to be a Governor of the United States Postal Service for a term expiring December 8, 2024, vice James C. Miller III, term expired.

Andrew F. Maunz, of Ohio, to be a Member of the Merit Systems Protection Board for the term of seven years expiring March 1, 2025, vice Mark A. Robbins, term expired.

Thomas McCaffery, of California, to be an Assistant Secretary of Defense, vice Jonathan Woodson, resigned.

Susan M. McCue, of Virginia, to be a Member of the Board of Directors of the Millennium Challenge Corporation for a term of two years. (Reappointment)

William Shaw McDermott, of Massachusetts, to be a Member of the Board of Directors of the Metropolitan Washington Airports Authority for a term expiring May 30, 2024, vice Nina Mitchell Wells, term expired.

Ronald Mortensen, of Utah, to be an Assistant Secretary of State (Population, Refugees, and Migration), vice Anne Claire Richard.

Scott A. Mugno, of Pennsylvania, to be an Assistant Secretary of Labor, vice David Morris Michaels.

Don Munce, of Florida, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2024, vice Loretta Cheryl Sutliff, term expired.

W. Patrick Murphy, of Vermont, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Cambodia.

Barry Lee Myers, of Pennsylvania, to be Under Secretary of Commerce for Oceans and Atmosphere, vice Kathryn D. Sullivan, resigned.

Jeffrey Nadaner, of Maryland, to be an Assistant Secretary of Commerce, vice David W. Mills, retired.

Frank X. Neuner, Jr., of Louisiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2022. (Reappointment)

Frank X. Neuner, Jr., of Louisiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2019, vice Sharon L. Browne, resigned.

Michael Pack, of Maryland, to be Chief Executive Officer of the Broadcasting Board of Governors for the term of three years. (New Position)

John P. Pallasch, of Kentucky, to be an Assistant Secretary of Labor, vice Portia Y. Wu.

Richard C. Parker, of North Carolina, to be an Assistant Administrator of the United States Agency for International Development, vice T. Charles Cooper, resigned.

Bimal Patel, of Georgia, to be an Assistant Secretary of the Treasury, vice Christopher Campbell, resigned.

Judith DelZoppo Pryor, of Ohio, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Larry W. Walther, term expired.

Marco M. Rajkovich, Jr., of Kentucky, to be a Member of the Federal Mine Safety and Health Review Commission for a term of six years expiring August 30, 2024, vice Robert F. Cohen Jr., term expired.

John Rakolta Jr., of Michigan, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the United Arab Emirates.

Bruce M. Ramer, of California, to be a Member of the Board of

Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2024. (Reappointment)

Kimberly A. Reed, of West Virginia, to be President of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Fred P. Hochberg, resigned.

Heather Reynolds, of Texas, to be a Member of the Board of Directors of the Corporation for National and Community Service for a term expiring September 14, 2021, vice Dean A. Reuter, term expired.

Leandro Rizzuto, of New Jersey, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Barbados, and to serve concurrently and without additional compensation as Ambassador Extraordinary and Plenipotentiary of the United States of America to the Federation of Saint Kitts and Nevis, Saint Lucia, Antigua and Barbuda, the Commonwealth of Dominica, Grenada, and Saint Vincent and the Grenadines.

Jessie Hill Roberson, of Virginia, to be a Member of the Defense Nuclear Facilities Safety Board for a term expiring October 18, 2023. (Reappointment)

Mark Rosen, of Connecticut, to be United States Executive Director of the International Monetary Fund for a term of two years, vice Margrethe Lundsager, resigned.

Daniel N. Rosenblum, of Maryland, a Career Member of the Senior Executive Service, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Uzbekistan.

John L. Ryder, of Tennessee, to be a Member of the Board of Directors of the Tennessee Valley Authority for a term expiring May 18, 2021, vice Michael McWherter, term expired.

Andrew M. Saul, of New York, to be Commissioner of Social Security for the term expiring January 19, 2019, vice Michael J. Astrue, resigned.

Andrew M. Saul, of New York, to be Commissioner of Social Security for the term expiring January 19, 2025. (Reappointment)

David Schenker, of New Jersey, to be an Assistant Secretary of State (Near Eastern Affairs), vice Anne W. Patterson, resigned.

Michelle A. Schultz, of Pennsylvania, to be a Member of the Surface Transportation Board for the term of five years. (New

Position)

Robert C. Sisson, of Michigan, to be Commissioner on the part of the United States on the International Joint Commission, United States and Canada, vice Dereth Britt Glance.

Claudia Slacik, of New York, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Sean Robert Mulvaney.

Cheryl Marie Stanton, of South Carolina, to be Administrator of the Wage and Hour Division, Department of Labor, vice David Weil, resigned.

Randolph J. Stayin, of Virginia, to be a Member of the United States International Trade Commission for a term expiring June 16, 2026, vice Meredith M. Broadbent, term expired.

David Stilwell, of Hawaii, to be an Assistant Secretary of State (East Asian and Pacific Affairs), vice Daniel R. Russel.

Alan R. Swendiman, of North Carolina, to be Deputy Director of the Peace Corps, vice Carlos J. Torres.

Robert C. Tapella, of Virginia, to be Director of the Government Publishing Office, vice Davita Vance-Cooks.

Donald R. Tapia, of Arizona, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Jamaica.

Kip Tom, of Indiana, for the rank of Ambassador during his tenure of service as U.S. Representative to the United Nations Agencies for Food and Agriculture.

Christine J. Toretti, of Pennsylvania, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Malta.

James E. Trainor, III, of Texas, to be a Member of the Federal Election Commission for a term expiring April 30, 2023, vice Matthew S. Petersen, term expired.

Arthur R. Traynor III, of the District of Columbia, to be a Member of the Federal Mine Safety and Health Review Commission for a term expiring August 30, 2022, vice Patrick K. Nakamura, term expired.

David Christian Tryon, of Ohio, to be Chief Counsel for Advocacy, Small Business Administration, vice Darryl L. DePriest,

resigned.

Calvin R. Tucker, of Pennsylvania, to be a Governor of the United States Postal Service for a term expiring December 8, 2023, vice Carolyn L. Gallagher, term expired.

Matthew H. Tueller, of Utah, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Iraq.

Gloria Valencia-Weber, of New Mexico, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020. (Reappointment)

Carleton Varney, of Massachusetts, to be a Member of the National Council on the Arts for a term expiring September 3, 2022, vice Paul W. Hodes, term expired.

Lisa Vickers, of Texas, to be a Member of the Defense Nuclear Facilities Safety Board for a term expiring October 18, 2021, vice Joseph Bruce Hamilton, term expired.

Christopher P. Vincze, of Massachusetts, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2019, vice Todd A. Fisher, term expired.

Ronald D. Vitiello, of Illinois, to be an Assistant Secretary of Homeland Security, vice Sarah R. Saldana.

Leon A. Westmoreland, of Georgia, to be a Director of the Amtrak Board of Directors for a term of five years. (New Position)

Peter C. Wright, of Michigan, to be Assistant Administrator, Office of Solid Waste, Environmental Protection Agency, vice Mathy Stanislaus.

Jean M. Yarbrough, of Maine, to be a Member of the National Council on the Humanities for a term expiring January 26, 2022, vice Martha Wagner Weinberg, term expired.

Lance V. Yohe, of North Dakota, to be Commissioner on the part of the United States on the International Joint Commission, United States and Canada, vice Richard M. Moy.

Adrian Zuckerman, of New Jersey, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Romania.

The White House \cdot 1600 Pennsylvania Ave NW \cdot Washington, DC 20500-0003 \cdot USA \cdot 202-456-1111

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



Reducing Risk. Unleashing Opportunity.

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From: Kimberly Reed

To: EOP Not Subject to

Cc: Brandt, Kimberly (CMS/OA) (Kimberly Brandt1@cms.hhs.gov); Basel Alloush; Scholtz, McKinley M. EOP/WHO;

<u>David Fogel</u>; <u>Lisa Terry</u>; <u>Lauren Fuller</u>; <u>Kimberly Manecke</u>; <u>Jennifer Porter</u>; <u>Selma Hamilton</u>

Subject:ExIm"s White House Fellow - Brandon DuesDate:Thursday, August 15, 2019 6:16:19 PM

Attachments: EOP Not Subject to FOIA

Dear EOP Not Subject to FOIA

Thank you for this great news! Per your request, EXIM White House Liaison Basel Alloush and Chief Ethics Officer Lisa Terry will assist your office with Brandon's on boarding. I also am copying Selma Hamilton to make him aware of Brandon's security clearance. We will welcome him on August 19.

Basel will reach out to your staff to start the process and prepare for his Monday arrival.

Thank you!

Warm regards,

Kim

Kimberly Reed

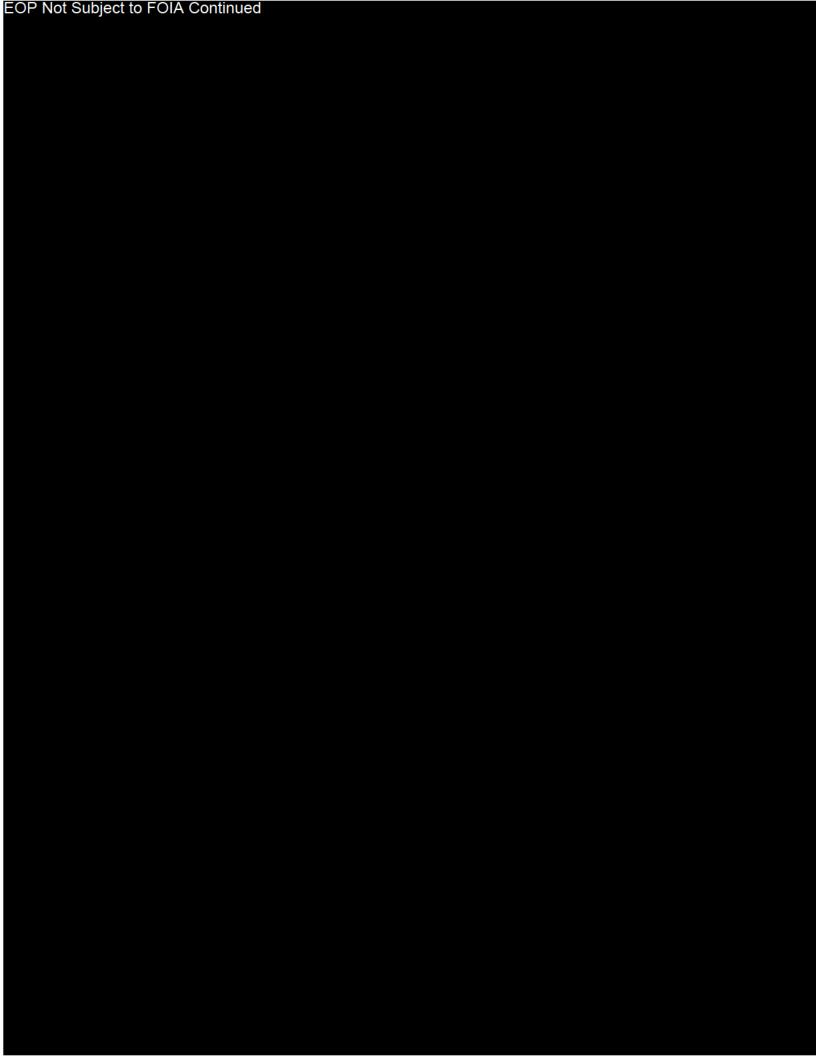
President and Chairman

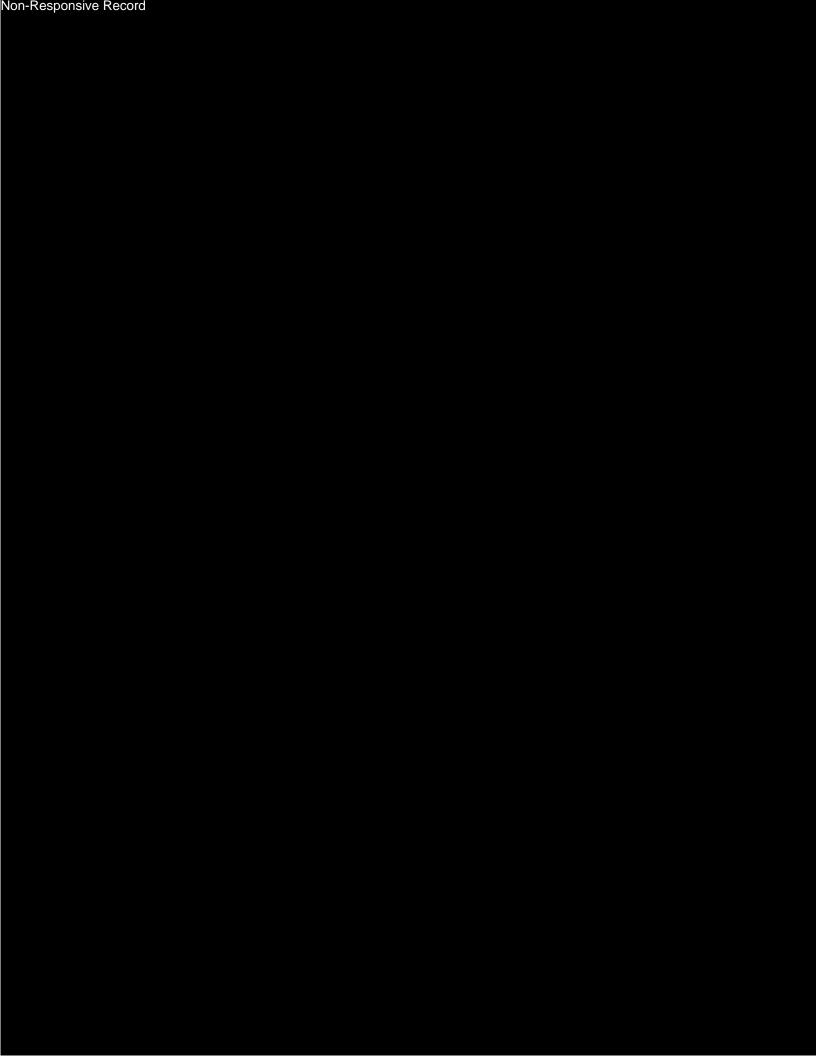
Export-Import Bank of the United States

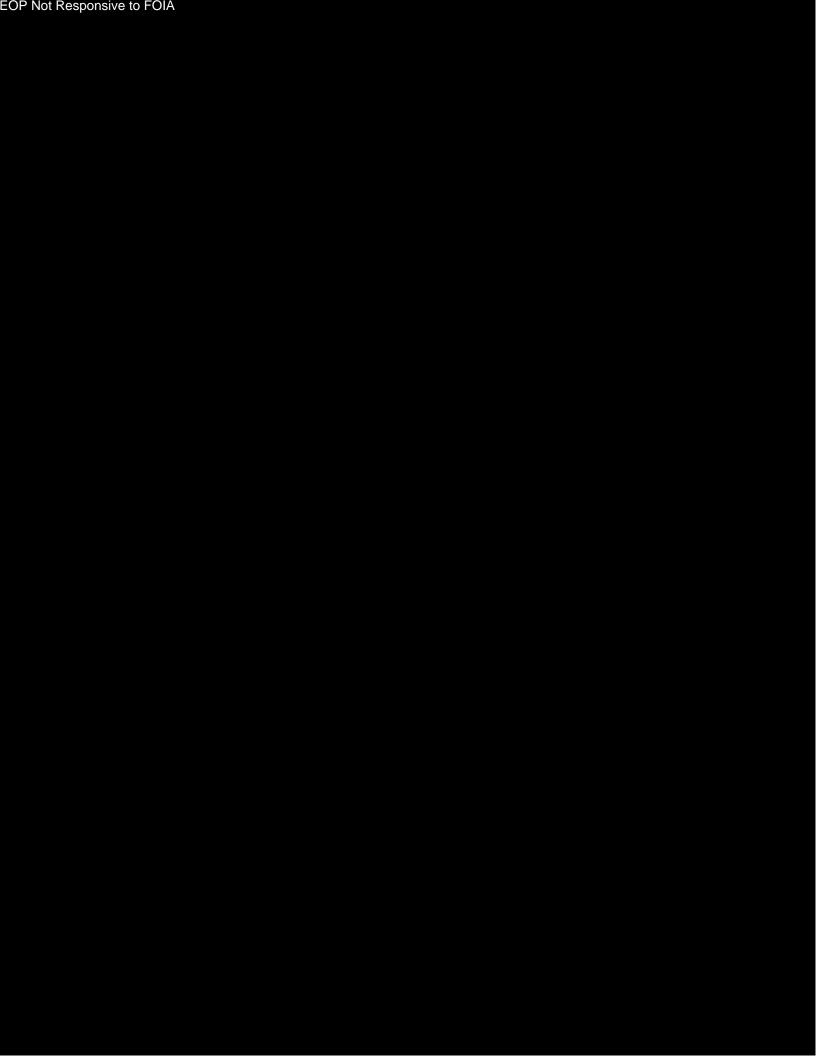
811 Vermont Ave., NW Washington, DC 20571

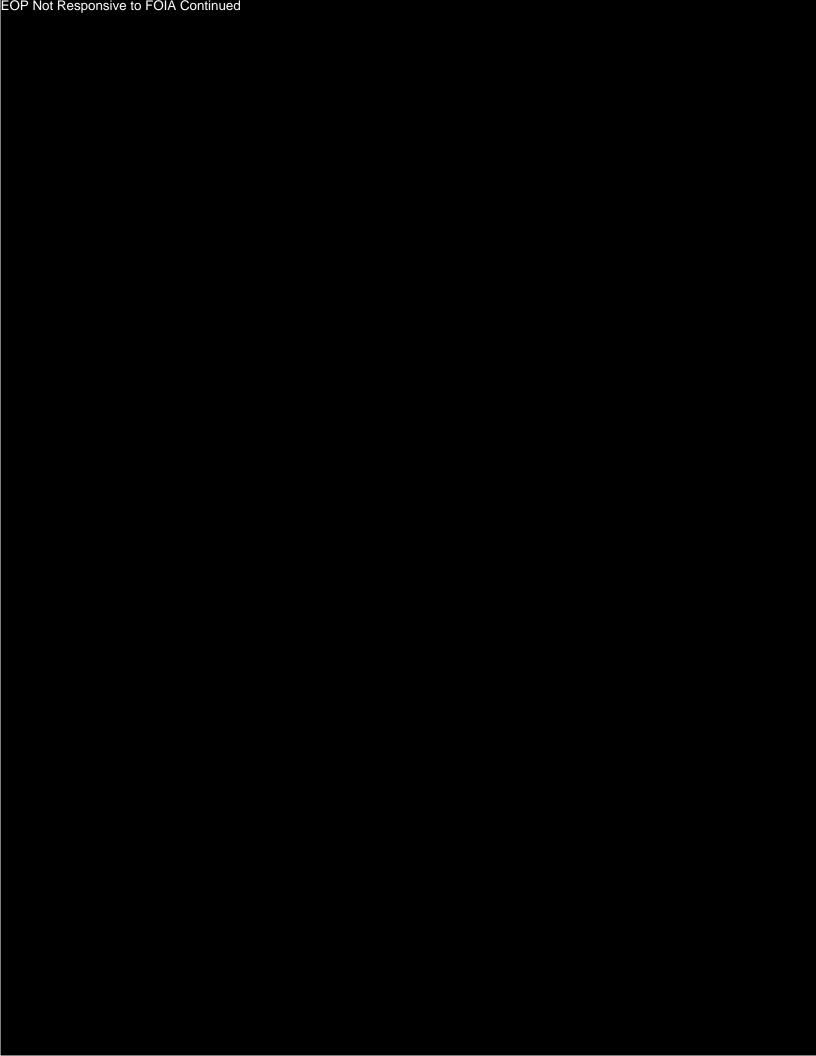
Work: 202-565-3500 Work Cell: 202-597-1274

Email: <u>kimberly.reed@exim.gov</u>









To: **Lance Mathews**

Subject:

(b) (5) Monday, September 9, 2019 10:22:59 AM Date:

Attachments: image001.png

Hi Lance,



Thank you, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



From: Lisa Terry

To: peter.coniglio@gsaig.gov

Subject: Final Steps for Nomination Process

Date: Wednesday, October 16, 2019 3:28:00 PM

Attachments: <u>image001.png</u>

Hello Peter,

I am back in the office after being out for a few weeks. You most likely know that the President has formally nominated you. At this point, you will need to re-sign your Form 278 in Integrity. You will also need to sign your Ethics Agreement which I will send to you shortly.

Congrats!

Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



From: Lisa Terry
To: David Fogel

Subject: Financial Disclosure Reports

Date: Friday, July 12, 2019 2:20:00 PM

Attachments: <u>image001.png</u>

David—here's a link to Gary Cohen's Form 278 which was easily accessible from the internet. Soon after the transition a government watchdog group posted hundreds of Forms filed by political appointees. FYI, per the attached article, there's much public interest in and transparency into "who's worth what" at the White House.

https://www.documentcloud.org/documents/3534021-Gary-Cohn-s-2017-Financial-Disclosure-Form.html

https://www.nytimes.com/2017/03/31/us/politics/white-house-releases-staff-financial-disclosures.html

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



 From:
 Calvin Boles

 To:
 <u>Lisa Terry: EthicsAdvice</u>

 Cc:
 Andrea Bernardo

Subject: Follow-up on request for ethics advice

Date: Wednesday, May 1, 2019 8:06:02 AM

Attachments: Special Counsel v Winfield.rtf
Special Counsel v Chapa rtf

Matter of Day.rtf

OPM ISSUES FINAL POLITICAL ACTIVITY RULES.rtf
EMPLOYEE PARTICIPATION IN POLITICAL ACTIVITIES rtf
734204 Participation in political organizations.rtf

image002.png

Lisa ...

(b) (5)

§ 734.204 Participation in political organizations.

An employee may:

- (a) Be a member of a political party or other political group and participate in its activities;
- (b) Serve as an officer of a political party or other political group, a member of a national, State, or local committee of a political party, an officer or member of a committee of a political group, or be a candidate for any of these positions;
- (c) Attend and participate fully in the business of nominating caucuses of political parties;
- (d) Organize or reorganize a political party organization or political group; and
- (e) Participate in a political convention, rally, or other political gathering.
- (f) Serve as a delegate, alternate, or proxy to a political party convention.

Example 1:

An employee of the Department of Education may serve as a delegate, alternate, or proxy to a State or national party convention.

(b) (6)

(b) (5)

V/R

CFB

C. Fitch Boles IV, Esq. Ex Africa Semper Aliquid Novi

Senior Administrative Law Counsel Export-Import Bank of the United States 811 Vermont Avenue, NW Washington, DC 20571 Telephone: (202) 565-3425

Office Mobile: (202) 517-3972 Other Mobile: (540) 216-1937 E-mail: calvin.boles@exim.gov



From: C Fitch Boles IV(b) (6)

Sent: Wednesday, May 1, 2019 7:51 AM To: Calvin Boles <Calvin.Boles@exim.gov> Subject: Fw: Listening, Leading, Delivering Results

[EXTERNAL E-MAIL]

Sent: Friday, April 26, 2019 at 1:49 PM

From: "C Fitch Boles IV" (b) (6)
To: lisa.terry@exim.gov, ethicsadvic ice@exim.gov Subject: Fw: Listening, Leading, Delivering Results

This email is from Calvin Boles, employee of EXIM, OGC, Admin Law Group:

Senior Administrative Law Counsel

Export-Import Bank of the United States

811 Vermont Avenue, NW

Washington, DC 20571

Telephone: (202) 565-3425

Office Mobile: (202) 517-3972

Other Mobile: (540) 216-1937

E-mail: calvin.boles@exim.gov

Ciao Lisa ...

(b) (5), (b) (6)

https://www.cdc.gov/about/ethics/pdf/lunch and learn/haflyer.pdf

POLITICAL ACTIVIT AND THE FEDERAL EMPLOYEE

THE U.S. OFFICE OF SPECIAL COUNSEL

The Hatch Act governs the political activity of government employees at the federal, state and local levels. Under the Hatch Act, as amended (5 U.S.C. Section 7321, et seq.), most federal and D.C. government employees may take an active part in partisan political management and campaigns. These federal employees:

may be candidates for public office in nonpartisan elections; EXAMPLE: An employee may run for school board in the District of Columbia because school board elections in the District are nonpartisan. may join and be an active member of a political party or club; EXAMPLE: An employee may serve as a delegate, alternate or proxy to a state or national party

Sent: Monday, April 22, 2019 at 12:47 PM
From: "Tony Buffington" < (b) (6)
To: (b) (6)
Subject: Listening, Leading, Delivering Results

Code of Federal Regulations

Title 5. Administrative Personnel

Chapter I. Office of Personnel Management

Subchapter B. Civil Service Regulations

Part 734. Political Activities of Federal Employees (Refs & Annos)

Subpart B. Permitted Activities

5 C.F.R. § 734.204

§ 734.204 Participation in political organizations.

Currentness

An employee may:

- (a) Be a member of a political party or other political group and participate in its activities;
- (b) Serve as an officer of a political party or other political group, a member of a national, State, or local committee of a political party, an officer or member of a committee of a political group, or be a candidate for any of these positions;
- (c) Attend and participate fully in the business of nominating caucuses of political parties;
- (d) Organize or reorganize a political party organization or political group; and
- (e) Participate in a political convention, rally, or other political gathering.
- (f) Serve as a delegate, alternate, or proxy to a political party convention.

Example 1: An employee of the Department of Education may serve as a delegate, alternate, or proxy to a State or national party convention.

Example 2: A noncareer member of the Senior Executive Service, or other employee covered under this subpart, may serve as a vice-president of a political action committee, as long as the duties of the office do not involve personal solicitation, acceptance, or receipt of political contributions. Ministerial activities which precede or follow the official acceptance and receipt, such as handling, disbursing, or accounting for contributions are not covered under the definitions of accept and receive in § 734.101. Sections 734.208 and 734.303 describe in detail permitted and prohibited activities which are related to fundraising.

Example 3: An employee of the Federal Communications Commission may make motions or place a name in nomination at a nominating caucus.

Example 4: An employee of the Department of the Interior may serve as an officer of a candidate's campaign committee as long as he does not personally solicit, accept, or receive political contributions. Sections 734.208 and 734.303 of this part describe in detail permitted and prohibited activities which are related to fundraising.

Credits

[61 FR 35100, July 5, 1996]

AUTHORITY: 5 U.S.C. 1103, 1104, 7325; Reorganization Plan No. 2 of 1978, 92 Stat. 3783; 3 CFR 1978 Comp. p. 323; and E.O. 12107; 3 CFR 1978 Comp. p. 264.

Current through April 18, 2019; 84 FR 16216.

End of Document

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US DOE 3733.1 (U.S. Dept. of Energy), 1984 WL 912534

U.S. Department of Energy (D.O.E.)

Office of Security Order

EMPLOYEE PARTICIPATION IN POLITICAL ACTIVITIES

July 5, 1984

*1 1. PURPOSE. To advise Department of Energy (DOE) employees of the legal restrictions on their participation in political activities.

2. COVERED EMPLOYEES.

- a. Title 5, United States Code, chapter 73, Subchapter III, "Political Activities" (commonly known as the "Hatch Act"), and Title 5, Code of Federal Regulations, part 733, prohibit certain political activities of Federal employees (other than the head or the assistant head of an executive or military department, persons appointed by the President by and with the advice and consent of the Senate who determine policies to be pursued by the United States in its relations with foreign powers or in the nationwide administration of Federal laws, or employees paid from the appropriation for the office of the President). These provisions apply to all full-time and part-time employees in the competitive and excepted services including Schedule C employees. Those who are employed on an intermittent or occasional basis, such as experts or consultants, are only covered by the restrictions for the entire 24 hours of any day of actual employment.
- b. A Federal employee continues to be covered by the laws and regulations governing participation in political activities while on leave with pay, leave without pay, or furlough status. If lump-sum payment is made for accrued annual leave, the person involved is not subject to political activity restrictions during the period covered by the lump-sum payment. It is not permissible for an employee to take leave of absence for the purpose of working with a political candidate, committee, or organization, or for the purpose of becoming a candidate for office.
- 3. GENERAL. This Order provides general guidance to DOE employees concerning political activities. Employees in the field who have counsel assigned to their organizations should address any specific questions to their field counsel; Headquarters and other field employees should address any questions to the Office of the Assistant General Counsel for Standards of Conduct, GC-45. Employees may wish to review the booklet, "Political Activity and the Federal Employee," issued by the Merit Systems Protection Board. The booklet is available at Department of Energy servicing personnel offices.
- 4. DEFINITIONS.
- a. Political Party means a national political party, a State political party, or an affiliated organization.
- b. Elections include primary, special, and general elections.
- c. Nonpartisan Election means:
- (1) An election at which none of the candidates is to be nominated or elected as representing a political party any of whose candidates for presidential elector received votes in the last preceding election at which presidential electors were selected; or
- (2) An election involving a question or issue which is not specifically identified with a political party, such as a constitutional amendment, referendum, approval of a municipal ordinance, or any question or issue of a similar character.
- *2 d. Partisan, when used as an adjective, refers to a political party.

- 5. PERMISSIBLE ACTIVITIES. Each employee has the right to:
- a. Register and vote in any election;
- b. Express his or her opinion as an individual privately and publicly on political subjects and candidates;
- c. Display or wear a political sticker, badge, or button;
- d. Participate in the nonpartisan activities of a civic, community, social, labor, professional, or similar organization;
- e. Be a member of a political party or other political organization and participate in its activities to the extent consistent with law:
- f. Attend a political convention, rally, fund-raising function, or other political gathering;
- g. Sign a political petition as an individual;
- h. Make a financial contribution to a political party or organization (other than contributions to the employer or employing authority of the contributor);
- i. To have an active part, as an independent candidate, or in support of an independent candidate, in a partisan election, when in accordance with paragraph 7, below.
- j. Take an active part, as a candidate or in support of a candidate, in a nonpartisan election;
- k. Be politically active in connection with a question not specifically identified with a political party, such as a constitutional amendment, referendum, approval of a municipal ordinance, or any other question or issue of a similar character;
- 1. Serve as an election judge or clerk or in a similar position to perform nonpartisan duties as prescribed by State or local law; and
- m. Otherwise participate fully in public affairs, consistent with law, in a manner which does not materially compromise the efficiency or integrity of the employee or the agency.

6. PROHIBITED ACTIVITIES.

- a. An employee may not use his or her official authority or influence to interfere with or affect the result of an election.
- b. An employee may not take an active part in political management or in a political campaign except as described above. Prohibited activities include but are not limited to:
- (1) Serving as an officer of a political party, a member of a national, State, or local committee of a political party, an officer or member of a committee of a partisan political club, or being a candidate for any of these positions;
- (2) Organizing or reorganizing a political party organization or political club;
- (3) Directly or indirectly soliciting, receiving, collecting, handling, disbursing, or accounting for assessments, contributions, or other funds for a partisan political purpose;
- (4) Organizing, selling tickets to, promoting, or actively participating in a fund-raising activity of a candidate in a partisan election or of a political party or political club;
- (5) Taking an active part in managing the political campaign of a candidate for public office in a partisan election or a

candidate for political party office;

- (6) Becoming a candidate for, or campaigning for, an elective public office in a partisan election;
- *3 (7) Soliciting votes in support of, or in opposition to, a candidate for public office in a partisan election or a candidate for political party office;
- (8) Acting as a recorder, watcher, challenger, or similar officer at the polls on behalf of a political party or a candidate in a partisan election;
- (9) Driving voters to the polls on behalf of a political party or a candidate in a partisan election;
- (10) Endorsing or opposing a candidate for public office in a partisan election, or a candidate for political party office, in a political advertisement, a broadcast, campaign literature, or similar material;
- (11) Serving as a **delegate**, alternate, or proxy to a political party **convention**;
- (12) Addressing a **convention**, caucus, rally, or similar gathering of a political party in support of, or in opposition to, a partisan candidate for public office or political party office; and
- (13) Initiating or circulating a partisan nominating petition.
- c. An employee is accountable for political activity by another person acting as the employee's agent or under the employee's direction or control if the employee is thus accomplishing indirectly what may not lawfully be done directly and openly.
- 7. EXCEPTIONS FOR CERTAIN MUNICIPALITIES OR POLITICAL SUBDIVISIONS. Employees who reside in certain municipalities or political subdivisions designated by the Office of Personnel Management (OPM) may take an active part in political management and political campaigns in connection with partisan elections for local offices of the municipality or political subdivision itself, subject to the following limitations:
- a. Participation in politics shall be as an independent candidate or on behalf of, or in opposition to, an independent candidate.
- b. Candidacy for, or service in, an elective office shall not result in neglect of, or interference with, the performance of the duties of the employee, or create a conflict-of-interest or appearance of conflict-of-interest.
- c. The designated communities are:
- (1) Maryland. Annapolis, Anne Arundel County, Berwyn Heights, Bethesda, Bladensburg, Bowie, Brentwood, Capitol Heights, Cheverly, Chevy Chase, sections 1 and 2, Chevy Chase, section 3, Chevy Chase, section 4, Martin's Additions 1, 2, 3, and 4 to Chevy Chase, Chevy Chase View, College Park, Cottage City, District Heights, Edmonton, Fairmont Heights, Forest Heights, Garrett Park, Glenarden, Glen Echo, Greenbelt, Howard County, Hyattsville, Kensington, Landover Hills, Montgomery County, Morningside, Mount Rainier, New Carrollton, North Beach, North Brentwood, North Chevy Chase, Northwest Park, Prince Georges County, Riverdale, Rockville, Seat Pleasant, Somerset, Tacoma Park, University Park, and Washington Grove.
- (2) Virginia. Alexandria, Arlington County, Clifton, Fairfax County, Town of Fairfax, Falls Church, Herndon, Loudoun County, Manassas, Manassas Park, Portsmouth, Prince William County, Stafford County, and Vienna. (According to OPM, the City of Fairfax is also treated as a designated community.)
- *4 (3) Other Municipalities. Anchorage, Alaska; Benicia, California; Bremerton, Washington; Centerville, Georgia; Crane, Indiana; District of Columbia; Elmer City, Washington; Huachuca City, Arizona; New Johnsonville, Tennessee; Norris, Tennessee; Port Orchard, Washington; Sierra Vista, Arizona; and Warner Robins, Georgia.

8. PROHIBITED CRIMINAL ACTS. Employees should also be aware that the following acts, among others, by Federal employees are crimes under Title 18, United States Code: soliciting political contributions from Federal employees; intimidation of Federal employees to secure political contributions; promise of employment or other benefit as compensation for political activity; and contributing to an election campaign if the person receiving such contribution is the employer or employing authority of the contributor. Further information concerning these prohibitions may be obtained from the Office of the Assistant General Counsel for Standards of Conduct. Employees are cautioned that violation of the rules and regulations on prohibited political activities may be cause for disciplinary measures, up to and including removal from the Federal service.

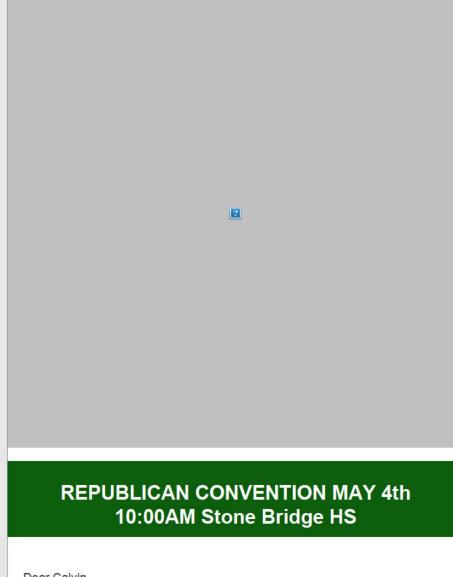
BY ORDER OF THE SECRETARY OF ENERGY:

WILLIAM S. HEFFELFINGER Director of Administration

US DOE 3733.1 (U.S. Dept. of Energy), 1984 WL 912534

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Dear Calvin,

Thank you for participating in the process that will decide our Republican nominee for the Blue Ridge District.

I ran for this seat four years ago because I saw the challenges our high rate of population growth presented for Loudoun families and their quality of life.

As a Marine and now federal law enforcement officer, I knew Blue Ridge needed a Supervisor that was unwavering in their commitment to protecting our freedoms, preserving our rural land and economy, standing against highdensity development in the Rural and Transition Policy areas, and ensuring faster and safer commutes.

I've done all this and more, AND cut taxes for families by 9 cents during my term

It's easy to talk about these issues, but achieving a record of results is hard work. There are many competing interests that do not share our priorities, particularly on the importance of the rural west.

I'm proud of my record of positive, accessible, and effective Republican leadership that has built coalitions on the Board and among residents

throughout our District, who know that they can count on me to listen, lead, and deliver results on the issues that matter most. It is for these reasons that I believe I am the right candidate to nominate on May 4th, and to win in a very competitive race this November. I'm happy to discuss my record with you and can be reached by replying to this email, or by phone at 571-989-0750. Thank you for taking the time to be involved in this important process. Tony Buffington 571-989-0750 **DONATE LEARN MORE**

Friends of Tony Buffington | P.O. Box 327, Purcellville, VA 20134

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KeyCite Red Flag - Severe Negative Treatment
Overruled by Special Counsel v. Malone, M.S.P.B., November 10, 1999

1 M.S.P.B. 30
Merit Systems Protection Board.

IN THE MATTER OF THOMAS J. DAY, KANSAS CITY, MISSOURI

F–1826 | June 12, 1979

Synopsis

Upon recommended decision of administrative law judge, 1 MSPB 32, 1 M.S.P.R. 34, the Merit Systems Protection Board held that: (1) by virtue of facts that employee worked for the General Services Administration and was thereafter employed by Navy until he resigned, employee was employed "in an Executive agency" at material times for purposes of the Hatch Political Activities Act, and (2) employee's violations of the Act during his federal employment, specifically, candidacy for office of ward committeeman, holding of office in political organization, and attendance at state convention as elected delegate, were substantial and knowing, warranting removal.

Removal ordered.

West Headnotes (3)

[1] Election Law

Covered employees

By virtue of facts that employee worked for the General Services Administration and was thereafter employed by Navy until he resigned, employee was employed "in an Executive agency" for purposes of the Hatch Political Activities Act. 5 U.S.C.A. §§ 7324, 7324(a).

Cases that cite this headnote

Removal or Discipline of Employees

Violation of the Hatch Political Activities Act will generally be held to warrant removal if it is substantial and if there is clear and convincing evidence that it was committed in deliberate disregard of the Act. 5 U.S.C.A. §§ 7324, 7324(a).

Cases that cite this headnote

[3] Election Law

Partisan or nonpartisan office

Federal employee's violations of the Hatch Political Activities Act during his employment by the Navy and by the General Services Administration, specifically, candidacy for office of ward committeeman, office in political organization, and attendance at political convention as elected delegate, were substantial and knowing, warranting employee's removal. 5 U.S.C.A. §§ 7324, 7324(a); 5 C.F.R. § 733.122(b)(1, 11).

Cases that cite this headnote

**32 *30 Thomas J. Day, Pro Se.

John T. Murphy, Esq., for the Government.

**33 FINAL DECISION AND ORDER OF THE BOARD

Respondent, Thomas J. Day, is charged with committing three violations of the Hatch Political Activities Act¹ while employed by the Department of the Navy, Marine Corps Finance Center, Kansas City, Missouri, and by the

General Services Administration, Kansas City, Missouri. In the letter of charges General Counsel to the Civil Service Commission specified that:

- 1. you are a candidate for the office of Committeeman, 25th Ward, Kansas City, Missouri, on the Republican Party ticket, to be voted for at the Primary Election to be held on August 8, 1978;
- 2. you are the First Vice-Chairman of the Young Republicans of Jackson County, Missouri; and
- 3. you attended as an elected **delegate** the Missouri Republican State Convention held in Springfield, Missouri, on June 12, 1976.
- *31 Respondent did not file an answer to the charges. He appeared *pro se* at the hearing in this case, in Kansas City, Missouri, on November 28, 1978. Only the General Counsel filed a brief.

JURISDICTION

Respondent was subject to the **Hatch Act** because of two jobs which he held. From June 7, 1976, through June 6, 1977, he worked for the General Services Administration. He was employed by the Navy from July 25, 1977, until he resigned in June 1978. Respondent was therefore employed "in an Executive agency" for the purposes of 5 U.S.C. § 7324(a).

POLITICAL ACTIVITY

Respondent admits the truth of each charge, the occurrence of charges 1 and 2 during his employment by the Navy, and the occurrence of charge 3 during his employment by the General Services Administration. Charges 1 and 2 constitute violations of 5 U.S.C. § 7324(a) according to 5 C.F.R. § 733.122(b)(1) (1978). Charge 3 constitutes a violation of 5 U.S.C. § 7324(a)

Footnotes

5 U.S.C. § 7324 (1976).

according to 5 C.F.R. § 733.122(b)(11) (1978).

PENALTY

Respondent cannot be removed, since he has removed himself by resigning. However, he can still be subjected to the second aspect of the section 7325 penalty, limited debarment from reemployment. **34 Thus, whether the violations warrant removal must be decided. See, *Gwynn*, 3 P.A.R. 41, 44 (1970).²

^[2] [3] A violation will generally be held to warrant removal if it is substantial and if there is clear and convincing evidence that it was committed in deliberate disregard of the **Hatch Act**. *Id.* at 43. Respondent has admitted his continued participation in three prohibited political activities. He has also admitted that he participated in each activity despite contemporaneous knowledge that the activity was prohibited. Respondent has offered no proof of mitigating circumstances. In short, by his own admissions, he has shown that removal is warranted by his substantial and knowing violations of the Act.

The Board therefore finds:

- (1) that Mr. Day violated section 7324(a) of title 5, United States Code, as charged, and
- *32 (2) that the violation warrants his removal from employment.

RUTH T. PROKOP,

WASHINGTON, D.C.

All Citations

1 M.S.P.B. 30, 1 M.S.P.R. 32

The citation "P.A.R." refers to the "Political Activity Reporter" which contains decisions under the Hatch Political Activities Act. This publication is available at State libraries, law schools, State bar associations and libraries of Federal courts.

End of Document

1996 WL 377037 (O.P.M.)

United States Office of Personnel Management (O.P.M.)

(NEWS RELEASE)

OPM ISSUES FINAL POLITICAL ACTIVITY RULES

July 3, 1996

Washington, D.C. -- The U.S. Office of Personnel Management is publishing final rules setting out the political activities that are open to federal employees, and activities they must avoid.

The final regulations implement the **Hatch Act** Reform Amendments of 1993, which allow most federal employees to take part in a much wider range of political activities than was permissible under the old 1939 law. Employees have been guided by interim regulations since 1994.

"The new rules will grant federal employees the political rights other Americans enjoy while at the same time protect them, and the public, from improper political solicitation," said OPM Director Jim King.

Under the final rules, federal employees may:

- 0 Serve as officers of political parties, committees, or groups, or run as candidates for these positions;
- 0 Attend political party conventions as delegates, alternates or proxies;
- 0 Address conventions, caucuses, or rallies in support of political candidates;
- 0 Stuff envelopes, make phone calls, distribute campaign literature, and drive voters to the polls on behalf of political candidates:
- 0 Take an active part in managing political campaigns; and
- 0 Solicit, accept, and receive political contributions for the multi candidate political committee of a federal labor or employee organization from other federal employees who are not subordinates, and who belong to the same federal labor or employee organization.

Federal employees may not:

- 0 Run for public office in partisan elections;
- 0 Solicit, accept, or receive political contributions from the public;
- 0 Become personally identified with fundraising activities; and
- 0 Take part in any political activities while they are on duty, on federal premises, in a federally owned or leased motor vehicle; or wearing a uniform or badge, that identifies them as federal employees.

The final rules specify that employees of the Federal Election Commission, Merit Systems Protection Board, United States Office of Special Counsel, Secret Service, CIA, FBI, National Security Agency, and Defense Intelligence Agency still may not participate actively in partisan political activities. This prohibition also applies to employees of the National Security Council, Central Imagery Office, Office of Criminal Investigation of the IRS, Office of Investigative Programs of the United States Customs Service, Office of Law Enforcement of the BATF, Criminal Division of the Department of Justice, as well as to administrative law judges, career members of the Senior Executive Service, and Contract Appeals Board members,

CONTACT: Michael Orenstein 202-606-1800

1996 WL 377037 (O.P.M.)

End of Document

OPM ISSUES FINAL POLITICAL ACTIVITY RULES, 1996 WL 377037 (1996)		

12 M.S.P.B. 405 Merit Systems Protection Board.

> SPECIAL COUNSEL v. ROGELIO CHAPA HQ12068200032

> > Dec. 28, 1982

Synopsis

Special Counsel filed complaint charging federal employee with violation of the Hatch Political Activities Act. Upon recommendation of administrative law judge that the parties' joint motion for approval of settlement agreement be granted, the Merit Systems Protection Board held that although the stipulated facts, revealing that employee served as delegate to a district and state Democratic convention but, upon learning that serving as a delegate was prohibited, he immediately resigned, required finding that employee violated the Act, the violation was neither willful nor knowing and, hence, removal was not warranted; 45–day penalty proposed by Special Counsel and agreed to by employee was an appropriate penalty.

Motion granted; suspension ordered.

West Headnotes (1)

[1] Election Law

Removal or Discipline of Employees

Although stipulated facts, revealing that federal employee served as **delegate** to a district and state Democratic **convention** but, upon learning that serving as a **delegate** was prohibited, he immediately resigned, required finding that employee violated the Hatch Political Activities Act, the violation was neither willful nor knowing and, hence, removal was not warranted; 45—day penalty proposed by Special Counsel and agreed to by employee was appropriate penalty.

Cases that cite this headnote

**277 *405 FINAL DECISION

Administrative Law Judge Alan W. Heifitz' has recommended that the Board grant the parties' Joint Motion for Approval of Settlement Agreement in this proceeding, which began with the Special Counsel's complaint charging respondent with a violation of the Hatch Act (5 U.S.C. § 7324). Judge Heifitz has fully set forth the course of this proceeding, the contents of the parties' stipulation of facts and settlement agreement and his reasons for recommending acceptance and approval of the agreement.

Briefly, the stipulated facts reveal that respondent served as a **delegate** to a district and state Democratic **convention**. Upon learning that serving as a **delegate** was prohibited, he immediately resigned.

Although the stipulated facts require a finding that respondent violated the **Hatch** Act, the violation was neither willful nor knowing. Therefore, we conclude that removal is not warranted. **278 5 U.S.C. § 7325. The 45-day penalty proposed by Special Counsel and agreed to by respondent is commensurate with the seriousness of the violation, which warrants more than the minimum 30-day penalty but takes proper account of the mitigating circumstances of respondent's lack of willfulness and his immediate resignation. The Board therefore GRANTS the Joint Motion for Approval of Settlement Agreement.

Accordingly, as recommended by Judge Heifitz, the Board ORDERS that respondent be SUSPENDED from duty without pay from his employment by the United States Department of the Air Force, Kelly Air Force Base, San Antonio, Texas, for a period of 45 calendar days.

For the Board:

Special Counsel v. Chapa, 12 M.S.P.B. 405 (1982)

14 M.S.P.R. 276

ROBERT E. TAYLOR,

All Citations

WASHINGTON, D.C.

12 M.S.P.B. 405, 14 M.S.P.R. 276

Footnotes

* Judge Heifitz, an administrative law judge with the Department of Housing and Urban Development, was selected by the Office of Personnel Management with the consent of the Department to hear Board cases for a period beginning August 10, 1982.

End of Document

18 M.S.P.R. 402 Merit Systems Protection Board.

SPECIAL COUNSEL, Petitioner, v. JOHN R. WINFIELD, Respondent

> HQ12068210029 | Dec. 8, 1983

Synopsis

Special Counsel charged employee with taking active part in political management in violation of Hatch Act. An administrative law judge found that employee had violated Act, recommending 60-day suspension, and employee filed objections to recommended decision. The Merit Systems Protection Board held that: (1) employee's act of serving as delegate at political party convention violated Act; (2) employee's claim of personal malice on part of agency official who brought allegations was irrelevant to charge against him; (3) employee's constitutional rights were not violated; (4) admissions made by employee in his answer were properly used as evidence against him; (5) hearsay evidence was properly admitted; (6) attorney-client privilege was not violated; and (7) employee's violation warranted 60-day suspension.

Ordered accordingly.

West Headnotes (10)

[1] Election Law

←In general; eligibility for office

Federal employee's service as **delegate** at political party **convention** put him in violation of **Hatch** Act. 5 U.S.C.A. § 7324(a)(2).

Cases that cite this headnote

Administrative law judge properly refused to call as witness in Hatch Act case agency official who had made allegations against employee, inasmuch as employee's claim that official bore him personal malice and that there was conspiracy to harass him was irrelevant to question of whether employee had in fact violated Act. 5 U.S.C.A. § 7324(a)(2).

Cases that cite this headnote

[3] **Public Employment**

Admissibility in general

Use of documents or testimony based in whole or in part on conversations with employee or with his answer to complaint in proceeding on charge that he violated **Hatch Act** did not deny employee his Fourth and Fifth Amendment rights to be protected from illegal searches and seizures and from self-incrimination, inasmuch as such constitutional concepts were not applicable in **Hatch Act** proceeding where no criminal prosecution was involved or contemplated. U.S.C.A. Const.Amends. 4, 5; 5 U.S.C.A. § 7324(a)(2).

Cases that cite this headnote

[4] **Public Employment**

Admissions, declarations, and confessions Election Law

₽ Proceedings and review

Admissions made by federal employee in his answer to Hatch Act charge could properly be used as evidence of his prohibited activity under Act, notwithstanding that employee had not been advised that answer constituted "evidence."

Cases that cite this headnote

[2] Election Law

Proceedings and review

[5] Constitutional Law

Hearings and adjudications

Protections provided by Fifth Amendment apply to defendants in criminal actions, not to respondents in administrative proceedings. U.S.C.A. Const.Amend. 5.

Cases that cite this headnote

[6] Election Law

Proceedings and review

Any disciplinary action agency might have taken against federal employee did not preempt Special Counsel's specific statutory authority to prosecute employee for **Hatch** Act violation premised on same conduct. 5 U.S.C.A. § 1206(e)(1)(A), (g)(1).

Cases that cite this headnote

[7] **Public Employment**

Hearsay in general

Hearsay evidence as to employee's conduct was properly admitted in **Hatch** Act case, where employee substantially admitted allegations therein, which were further supported by other independent and direct evidence.

Cases that cite this headnote

[8] Public Employment

₽Privilege

Testimony of agency legal officer that he had advised federal employee that his serving as delegate to political convention was prohibited under Hatch Act did not violate attorney-client privilege, in absence of any evidence that legal officer was acting in such capacity for agency

when he so advised employee. 5 U.S.C.A. § 7324(a)(2).

Cases that cite this headnote

[9] Election Law

Partisan or nonpartisan office

Attendance as **delegate** to political **convention** is active participation in political management prohibited by **Hatch Act**. 5 U.S.C.A. § 7324(a).

Cases that cite this headnote

[10] Election Law

Removal or Discipline of Employees

Where federal employee's act of serving as **delegate** at political party **convention** was not flagrant or willful, and he resigned immediately as **delegate** upon being told that such activity violated **Hatch Act**, penalty of removal was not warranted; 60–day suspension was proper, however, in light of employee's familiarity with Act and failure to seek advice in advance of his political participation. 5 U.S.C.A. § 7324(a).

Cases that cite this headnote

*404 ORDER AND OPINION

The Special Counsel charged respondent John R. Winfield with taking an active part in political management in violation of 5 U.S.C. § 7324(a)(2) (the Hatch Political Activities Act.)

After a hearing on September 29, 1982, at which respondent represented himself,1 Administrative Law Judge Alan W. Heifetz² issued a recommended decision. Relying on both testimony and respondent's answer to the complaint, Judge Heifetz concluded that respondent, a federal employee, had been a delegate at political party conventions; that as a matter of law, service as a delegate to a political party **convention** is a violation of the **Hatch** Act; and that respondent had therefore violated the Hatch Act. Judge Heifetz then considered any mitigating circumstances. He noted that respondent's actions were not flagrant, willful, or of widespread notoriety and that respondent resigned immediately as a delegate upon being told by counsel at Kelly Air Force Base, where respondent was employed, that service in that capacity was not permitted under the Hatch Act. From these circumstances Judge Heifetz concluded that removal would not be an appropriate penalty. The Judge further considered that because respondent had in the past shown familiarity with the **Hatch** Act and had an obligation to seek advice in advance of undertaking an activity, and also held a position as an advisor and counselor to other employees,³ the penalty should be more than the minimum allowed by law.4 Judge Heifetz recommended a penalty of 60-days suspension from duty without pay.

Respondent has filed exceptions to the Recommended Decision. His exceptions incorporate various arguments made to support his *405 motions to dismiss, which Judge Heifetz denied *sub silentio* by issuing a decision recommending imposition of a penalty.⁵

Respondent claims numerous errors, some of constitutional dimensions. In essence, the alleged errors are lack of credible evidence, inability to face his accuser, malicious prosecution, illegal search and seizure, self-incrimination, double jeopardy, and use of hearsay evidence and privileged information. None of respondent's contentions has merit and all his exceptions are DENIED.

^[1] The argument as to the inadequacy of the evidence has no basis. The record, including the answer to the complaint, reflects that respondent attended a May 3 Democratic precinct **convention**, which automatically made him a **delegate**; that he attended a May 10 Democratic district meeting as a **delegate** and chairman of the precinct delegation; that on May 10 he was elected to go to the State Democratic **Convention** (Ober-Hauser Tr. 82–83, 85, 86 and 89); and that respondent attended the State Democratic **convention** on June 20–21 as a **delegate** (Gonzales Tr. 102).⁶

[2] Respondent's other arguments are equally lacking in

merit. Respondent believes that the person making the allegations to respondent's employing agency should have been called as a witness and that respondent would then have been able to demonstrate malicious motivation in making the allegations. Respondent claims that the failure to call that person as a witness deprived respondent of the right to face his accuser, that Special Counsel's prosecution of the charges was part of a conspiracy of "selective, wanton, and malicious prosecution," and that respondent has been denied "his *406 civil liberties and his right to equal protection." Respondent's contentions in this regard are based on the following circumstances. Personnel at Kelly Air Force Base were advised by one Mata that respondent had served as a delegate to a political **convention**. After discussion the matter with respondent and referring him to Base legal counsel and after respondent had resigned, Base Personnel advised Special Counsel of the events. Special Counsel then pursued his own investigation and initiated the complaint.

The record in this case, independent of Mata's allegations, is the basis for the recommended decision. Although respondent claims that Mata bore him personal malice and there was a conspiracy to harass respondent, these allegations are irrelevant to the question of whether respondent violated the **Hatch** Act. Proof of the violation is evidenced by sworn testimony at the hearing and by respondent's own answer to the complaint.

[3] Respondent further charges that using any documents or testimony based in whole or part on conversations with him, and particularly the use of his answer to the complaint, denied him his Fourth⁸ and Fifth⁹ Amendment rights to be protected from illegal searches and seizures and from self-incrimination. We are unaware of any judicial precedent for applying these constitutional concepts in the circumstances of this case and respondent has cited none to us. Respondent's effort to exclude his statements made to personnel of his employing agency in connection with their review of the allegations made to them has no support in the Fifth Amendment prohibition against self-incrimination. His statements were not made in a custodial setting and respondent had no reasonable cause to believe that any criminal prosecution might result from his statements. See Garrity v. New Jersey, 385 U.S. 493, 500, 87 S.Ct. 616, 620, 17 L.Ed.2d 562 (1967). For the same reason, use of his *407 answer to the complaint has no constitutional self-incrimination protection.

^[4] Respondent objects to the treatment of portions of his answer as evidence of his prohibited activity because he was not advised that the answer constituted "evidence". Under the general rules of civil pleading any allegation in the complaint that respondent did not deny could properly

have been considered admitted. Fed. R. Civ. P. 8(d). Here respondent clearly admitted conduct constituting a violation of the Act. The circumstances surrounding respondent's statements in no way invoke the requirement of notice of the right to remain silent set forth in *Miranda v. Arizona*, 384 U.S. 436, 86 S.Ct. 1602, 16 L.Ed.2d 694 (1966).

prohibition of the Fifth Amendment. He contends that because his employing agency disciplined him by counseling him after he resigned the delegate position, he cannot now be further disciplined. Again, respondent misapprehends the scope of the Fifth Amendment. It applies to defendants in criminal actions, not to respondents in administrative proceedings. Moreover, any disciplinary action the agency might have taken against respondent did not preempt the Special Counsel's specific statutory authority to prosecute **Hatch Act** violations. 5 U.S.C. § 1206(e)(1)(A), (g)(1). See Sims v. Government of the District of Columbia, 6 MSPB 652 (1981).

^{17]} Respondent also objects to testimony of a witness as to the allegations Mata made to the agency on the ground that the testimony was hearsay. However, the substance of the allegations were substantially admitted by the respondent in his answer, and the testimony of other witnesses made of their own knowledge as to the events on May 3 and 10 and June 20–21 is independent and direct evidence of the violation.

^[8] Respondent also now objects to the testimony of the Base legal officer as privileged information. This witness had advised respondent his serving as a delegate was prohibited. Respondent's claim that he and the Base Officer were in an attorney-client relationship at the time has no support in the record and is contrary to the evidence that the legal officer was acting in his capacity as legal officer for the Base when he advised respondent.

¹⁹¹ The record fully supports findings that respondent automatically became a **delegate** by attending the May 3 Democratic precinct **convention**, that he attended a Democratic district **convention** on May 10 as a **delegate** and chairman of the precinct delegation, and *408 that he attended a State Democratic **convention** on June 20–21 as a **delegate**. Attendance as a **delegate** to a political **convention** is active participation in political management prohibited under 5 U.S.C. § 7324(a). Civil Service Commission, *Political Activity and Political Assessments of Federal Office Holders and Employees*, Form 1236, Part IV, para. 13 (September 1942) reprinted

in P.A.R. Appendix; 5 C.F.R. § 733.122(b)(11). See United Public Workers v. Mitchell, 330 U.S. 75, 110, 67 S.Ct. 556, 574, 91 L.Ed. 754 (1947). See also Mona M. Drake, 1 P.A.R. 777 (1955); Harold K. Horton, 1 P.A.R. 61 (1947).

Heifetz, that the activity under the circumstances does not warrant respondent's removal. Nevertheless, we think, as did Judge Heifetz, that the minimum statutory penalty is not sufficient.

Respondent has been a federal employee for some 30 years. Evidence of record shows that respondent in the past sought advice on whether his proposed activities might implicate the **Hatch Act**. The circumstances of record in which he sought advice appear not to involve activity more directly political than serving as a **delegate** to a political party **convention**. This past familiarity with the Act, the nature of the activity in which he engaged, and his position at the time of the violation as counselor and advisor to other employees, although not in the area of prohibited political activity, ¹⁰ raised an obligation to seek advice in advance of engaging in the activity.

We CONCLUDE that respondent violated 5 U.S.C. § 7324(a). We further CONCLUDE UNANIMOUSLY that his violation was not such as to warrant removal. Under all the circumstances we CONCLUDE that a 60-day suspension is warranted. Accordingly, it is ORDERED that respondent John R. Winfield be SUSPENDED from duty without pay for a period of sixty (60) calendar days.

This is the final order of the Merit Systems Protection Board. Respondent is hereby notified of the right to seek judicial review of the Board's action as provided in 5 U.S.C. § 1207(c).

For the Board:

ROBERT E. TAYLOR,

WASHINGTON, D. C.

All Citations

18 M.S.P.R. 402

Footnotes

- The record discloses that Administrative Law Judge Alan W. Heifetz, aware of respondent's *pro se* status, took great pains to explain the hearing to respondent and to protect his interests in order to accord him a fair hearing. Respondent has continued to represent himself.
- Judge Heifetz, an Administrative Law Judge with the Department of Housing and Urban Development, was on loan to the Merit Systems Protection Board to conduct this proceeding.
- At the time of the activity, respondent was employed as a GS–12 in the position of Equal Opportunity Specialist (Employment). Since that time respondent has been promoted to a GS–13 and serves as Supervisory Equal Opportunity Specialist (Chief EEO Counselor).
- The minimum statutory penalty is 30-days suspension from duty without pay. *See Special Counsel v. Gary Morgan,* 18 M.S.P.R. 46, (1983).
- On March 1, 1983, this proceeding was stayed by the Board on request of the Special Counsel pending resolution of the issues raised in exceptions to the Recommended Decision on remand in *Special Counsel v. Jim J. Dukes*, 16 M.S.P.R. 590. The Board thereafter dismissed the *Dukes* proceeding on motion of the Special Counsel for voluntary dismissal without resolution of the issues. Order dated September 21, 1983. In that Order the Special Counsel was given the opportunity to submit a motion to dispose of the instant proceeding at bar. *Id.* n.*. No such motion has been filed. This proceeding is therefore ripe for resolution on the record before us.
 - (Issues raised in the *Dukes* proceeding were later resolved in *Special Counsel v. Gary Morgan*, 18 M.S.P.R. 46, (1983). The Board there (1) confirmed its conclusion in its Remand Order in the *Dukes* proceeding (October 29, 1981) that the Board was bound by the statutory minimum penalty of 30 days under 5 U.S.C. § 7325, and (2) held that it had no discretion to permit the minimum penalty to be served intermittently rather than by a suspension for a period of 30 days.)
- In his exceptions respondent challenges reliance on this evidence, pointing to sometimes conflicting evidence elicited on cross-examination by him. We note, however, that Special Counsel's witnesses Ober-Hauser and Gonzales were personal friends of respondent. Their testimony on direct examination was clear. Moreover, their testimony as to respondent's attendance at the meetings and service as a delegate was consistent with respondent's answer to the complaint.
- 7 At the hearing Judge Heifetz explained to respondent the irrelevance of the allegations of conspiracy and malice.
- Fourth Amendment—"The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated; and no Warrants shall issue but upon probable cause, supported by Oath or affirmation, and particularly describing the place to be searched, and the persons or things to be seized."
- Fifth Amendment—"No person shall be held to answer for a capital, or otherwise infamous crime, unless on a presentment or indictment of a Grand Jury, except in cases arising in the land or naval forces, or in the Militia, when in actual service in time of War or public danger; nor shall any person be subject for the same offence to be twice put in jeopardy of life or limb; nor shall be compelled in any criminal case to be a witness against himself, nor be deprived of life, liberty, or property, without due process of law; nor shall private property be taken for public use, without just compensation."
- Contrary to Judge Heifetz's finding, respondent was not in a supervisory position at the time of the violation. See supra n. 3. However, as a GS–12 in the Equal Employment Opportunity Office, he was "one of the head counselors." (Tr. 34)

End of Document

Special Counsel v. Winfield,	18 M.S.P.R. 402 ((1983)
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To: <u>David Fiore</u>
Cc: <u>Gabrielle Guy</u>

Subject: Form 278--Public Financial Disclosure

Date: Friday, March 22, 2019 3:18:09 PM

Attachments: image001.png

image003.png

Hi David,

Due to your promotion to an SL position, you are now required to file a public financial disclosure form (Form 278). This is similar to the Form 450 but requires more disclosure of certain assets (e.g., mutual funds are not exempt as in the case of the 450).

Gabrielle will assign you a "New Entrant" form from our Integrity system, which is much more sophisticated than FD Online. Although the form is titled "public" we do not make these forms public unless upon specific request by an individual who must certify that he/she is not using the form for an improper purpose. Historically, we have only received request for forms of political appointees.

Please let me know if you have any questions.

Best regards, Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 857 | Washington, DC 20571
Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov
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From: Lisa Terry To: Paul S

Subject: Formal Nomination

Date: Friday, May 3, 2019 10:22:00 AM

Attachments: <u>image001.png</u>

Paul, just learned the President formally nominated you.

https://www.whitehouse.gov/presidential-actions/seven-nominations-sent-senate-2/

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



Reducing Risk. Unleashing Opportunity.

From: John Connor Walter Hill; Lisa Terry To:

Subject: Anti-fraud Strategy Cover Sheets Date: Friday, May 31, 2019 2:44:01 PM

Attachments: image001.png

Policy Cover Anti-Fraud Strategy.doc

Walt – Attached is the draft Policy cover sheet for the Anti-fraud Strategy. (b) (5)

Thanks

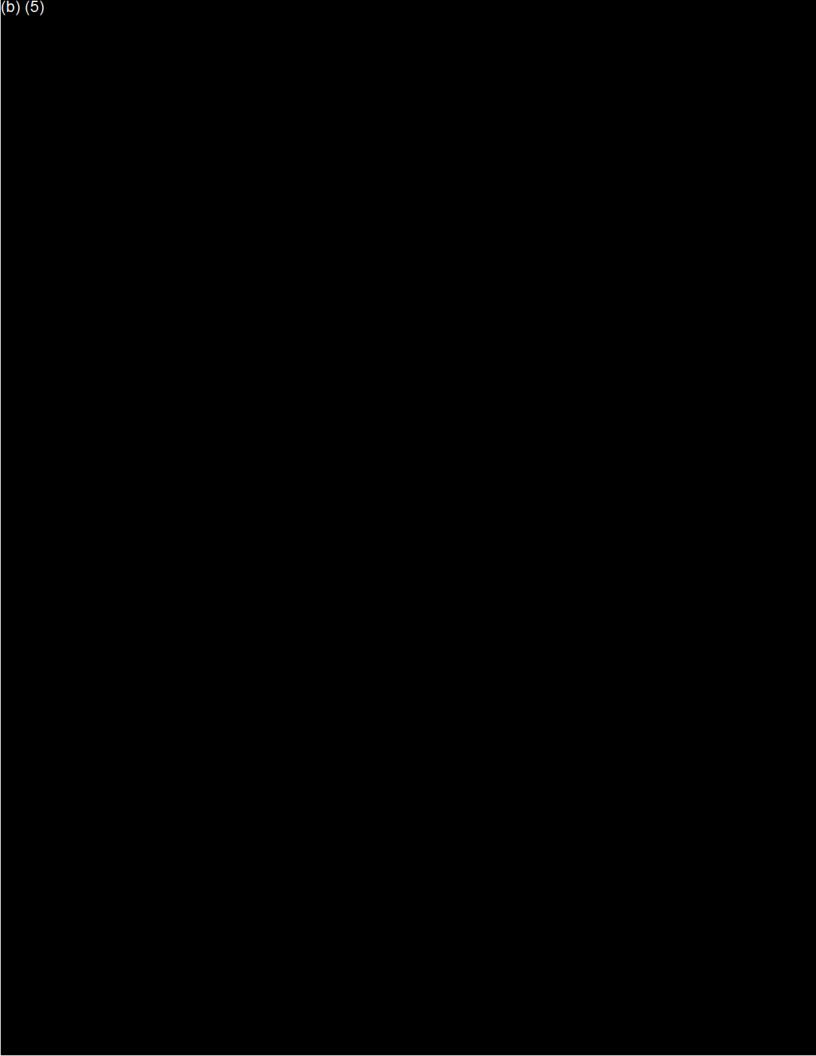
John G. Connor Assistant General Counsel for Litigation, Fraud and Compliance Export-Import Bank of the United States 811 Vermont Ave. NW Washington, D.C. 20571

E-mail: John.Connor@exim.gov Telephone (Direct): 202-565-3815 Telephone (Mobile): 202-386-2941 Twitter | LinkedIn | YouTube



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From:

Andrea Bernardo

To:

Lance Mathews; Lennell Jackson; Natascha Joyner; Mary Calkins; John Connor

Subject:

FW: Comment on Proposed Guidelines for Assessing Additionality

Date:

Tuesday, October 15, 2019 9:24:39 AM

Attachments:

2019.10.08 AFP Comment re EXIM Review of Additionality Guidelines.odf

image001.png Image002.png

(b) (5)

Andrea Q. Bernardo

Assistant General Counsel for Administration

Export-Import Bank of the United States

811 Vermont Ave. NW| Washington, DC 20571

Tel 202.565.3431 | Mobile 202.480.5906 | andrea.bernardo@exim.gov



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From: Additionality Review <additionality.review@exim.gov>

Sent: Friday, October 11, 2019 10:12 AM

To: Andrea Bernardo < Andrea Bernardo@exim.gov> **Cc:** Michele Wilkins < michele wilkins@exim.gov>

Subject: FW: Comment on Proposed Guidelines for Assessing Additionality

Andrea,

Michele asked me to forward this email from AFP to you.

Best,

Scott Condren, CFA | Policy Analyst

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571 Tel 202.565.3777| Mobile 202.509.4227 | Scott.Condren@exim.gov

Twitter | LinkedIn | YouTube



Reducing Risk, Unleashing Opportunity.

From: Thomas Kimbrell < Thomas.Kimbrell@causeofaction.org >

Sent: Tuesday, October 8, 2019 2:46 PM

To: Additionality Review <additionality.review@exim.gov>

Subject: Comment on Proposed Guidelines for Assessing Additionality

[EXTERNAL E-MAIL] Good afternoon,

Please find attached comment submitted on behalf of Americans for Prosperity.

Feel free to contact me should you have any questions.

Thomas Kimbrell | Research Analyst Cause of Action Institute 1875 Eye St NW Suite 800 Washington, D.C. 20006 202-400-2726 Thomas.Kimbrell@causeofaction.org

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October 8, 2019

Via Email

James C. Cruse
Senior Vice President
Office of Policy and International Relations
Export-Import Bank
811 Vermont Avenue, NW
Washington, DC 20571
additionality.review@exim.gov

Re: Review of Proposed Guidelines for Assessing Additionality Related to

Providing EXIM's Support for Medium and Long Term Export

Transactions, Document Number: 2019-19345

Dear Mr. Cruse:

I write on behalf of Americans for Prosperity ("AFP"), a 501(c)(4) nonpartisan organization that drives long-term solutions to the country's biggest problems. AFP submits these comments in response to the Export-Import Bank's ("EXIM") proposed guidelines for determining additionality for transactions with repayment over the medium- or long-term. Additionality is defined as the "existence of reasons why a transaction would not go forward without the Bank's support," but, in practice, assessing additionality is not so straightforward. EXIM should increase transparency and minimize ambiguity around assessing additionality and strengthen the evidentiary standard required to establish it.

I. EXIM Should Proactively and Promptly Publish Final Determinations of Additionality

Testifying before the Senate Committee on Banking, Housing, and Urban Affairs this year, EXIM President and Chairman Kimberly Reed writes:

I also am committed to improving EXIM's transparency to the greatest extent that is practicable without divulging confidential business information. EXIM has made important progress in recent years in the area of transparency, but we always can do more. Greater transparency will increase the confidence of EXIM's stakeholders that we are pursuing our mission appropriately while protecting taxpayers. As just one part of this commitment, I will seek to improve EXIM's documentation of its additionality determinations and publish these

¹ See AMERICANS FOR PROSPERITY About, www.americansforprosperity.org/about.

In re Assessing Additionality, Document No: 2019-19345 October 8, 2019 Page 2

determinations so that the bases for these decisions are available to all of our stakeholders.²

As Chairwoman Reed's words indicate—and which AFP emphasizes—the American taxpayers are vital stakeholders in EXIM's business. Indeed, the "full faith and credit of the United States" backing EXIM, and underwriting any risk EXIM assumes, are ultimately the faith and credit of the American taxpayers. Accordingly, they deserve to be well informed of EXIM's decisions.

In line with Chairman Reed's statement, EXIM should proactively publish board/decision memos on EXIM's website and do so as promptly as possible once a determination on additionality is made (in addition to the annual reports). In the spirit of the increased transparency EXIM seeks, the memos should include the evidentiary standard used to determine additionality and the evidence provided, save truly confidential or proprietary information. In those cases where the evidence used to assess additionality cannot be published, EXIM should provide a description of the evidence provided.

II. EXIM Should Raise the Minimum Evidentiary Standard to Ensure the Bank is not Competing with Private Capital and is Adequately Managing Risk of Fraud

Additionality is a means by which EXIM ensures it meets its charter requirement to "supplement and encourage, not compete with, private capital." Lack of additionality is grounds for denying a transaction. Indeed, additionality is a crucial determinant of EXIM engagement, and, presumably, all medium- to long-term transactions on EXIM's books were found to have additionality.

It seems apparent then, given the importance of additionality to EXIM decision-making, that a fairly rigorous standard of evidence be required to show EXIM engagement on a particular transaction will provide additionality. However, currently, the minimum acceptable standard of supporting evidence in the proposed guidelines is that the "exporter or buyer orally confirms." As the Mercatus Center's Veronique de Rugy recently explained: "Would your bank let you take out a big loan without any verification just because you check the I need it box' on the application? This does not show good stewardship of the taxpayer dollars on the line should the Bank's portfolio go south." Surely, such a low standard of evidence leaves EXIM unduly vulnerable to fraud and at risk of unwittingly violating its charter.

² Oversight and Reauthorization of the Export-Import Bank of the United States, Before the S. Comm. on Banking, Housing, and Urban Affairs, 116th Cong. (2019) (Written Testimony of Kimberly Reed, President and Chairman, Export-Import Bank of the United States).

³ Export-Import Bank, Review of Proposed Guidelines for Assessing Additionality Related to Providing EXIM's Support for Medium and Long Term Export Transactions, 84 Fed. Reg. 47288 (Sept. 9, 2019).

⁴ Veronique de Rugy, Ex-Im "Reform" Documents Look Like More of the Same Crony Capitalism, The Bridge, Sep. 16, 2019, http://bit.ly/2mhzWVT.

In re Assessing Additionality, Document No: 2019-19345 October 8, 2019 Page 3

A 2013 Audit Report from the EXIM Office of Inspector General ("OIG") found a "lack of documented support for statements regarding additionality." In fact, none of the loans selected for review in the audit "contained documentation sufficient to substantiate applicants' assertions" regarding additionality. In 2018, the EXIM OIG published an "Evaluation of EXIM's Additionality Policies and Procedures," in which it found the credit files for 26 of the 29 medium-and long-term transactions evaluated did not include any documentation to establish additionality. In May 2019, the Government Accountability Office ("GAO") published a report identifying one of EXIM's greatest risk factors of fraud as being, "opportunities to falsify self-reported information on applications or transaction documents."

Taken together, the OIG and GAO reports make clear that EXIM's current minimum standard for evidence to establish additionality—i.e., oral confirmation—is woefully inadequate.

III. EXIM Should Establish an Equitable Process which Offers Domestic Firms Potentially Harmed by Transactions More Opportunity to Challenge

The stated purpose of EXIM transactions is to foster additional exports that otherwise would not occur while also avoiding competing with private capital and other domestic firms. To ensure EXIM is properly executing its mission, the Bank should offer a process that includes input from domestic firms who feel they could be harmed by an EXIM transaction under consideration.

Currently, there is a large gap between the standards to be met by those seeking EXIM support and their domestic competitors who would be harmed by EXIM transactions—with the balance tilted far in favor of those seeking EXIM's business. A firm applying for EXIM's support seemingly only needs to "orally confirm" a condition of additionality. Whereas their competitors, who would be placed at a disadvantage, are subjected to more rigorous scrutiny through the economic impact analysis procedures, if even considered at all.

To fulfill its charter, EXIM must account equally for domestic business that would potentially be harmed by EXIM transactions before contracts are executed. The proposed guidelines for establishing additionality apply to medium- and long-term transactions that, by definition, would have lengthy implications. Competitors should not be held to a higher evidentiary standard than those seeking EXIM's support and their only recourse from harm should not be to seek *post facto* correction or relief.

⁵ Office of Inspector General, Export-Import Bank of the United States, Export-Import Bank's Management of Direct Loans and Related Challenges, OIG-AR-13-05 (Sept. 26, 2013), available at http://bit.ly/2lQsBwv.

⁶ Id.

⁷ Office of Inspector General, Export-Import Bank of the United States, Evaluation of EXIM's Additionality Policy and Procedures, 0IG-EV-19-01 (November 27, 2018), available at http://bit.ly/2mezyr7.

⁸ Government Accountability Office, Report to Congressional Committees: EXIM Should Explore Using Available Data to Identify Applicants with Delinquent Federal Debt, GAO-19-337 (May 2019), https://www.gao.gov/assets/700/699291.pdf.

In re Assessing Additionality, Document No: 2019-19345 October 8, 2019 Page 4

If you have any questions about this comment, please contact me by telephone at 202-400-2726 or by email at Thomas.Kimbrell@causeofaction.org. Thank you for your attention to this matter.

THOMAS KIMBRELL RESEARCH ANALYST CAUSE OF ACTION INSTITUTE From: Lisa Terry
To: Gabrielle Guy
Subject: FW: ethics pledge

Date: Tuesday, August 6, 2019 1:54:00 PM

Attachments: image001.png image002.png

Ethics Pledge Trump Form.pdf

Hi Gabby, please see below. They need the ethics pledge. I'm fairly certain HR keeps those.

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>

From: Stubbs, Genevieve < Genevieve. Stubbs@opic.gov>

Sent: Tuesday, August 6, 2019 1:38 PM **To:** Lisa Terry <Lisa.Terry@exim.gov>

Subject: RE: ethics pledge

[EXTERNAL E-MAIL]

Lisa,

The document that Gabrielle sent is not the one I am looking for. I need a copy of the Ethics Pledge that political appointees sign when they are appointed to a federal agency. I have attached a blank copy of the Trump pledge so you can see what I am talking about. Sorry to cause you so much trouble.

Ginny

Genevieve G. Stubbs
Sr. Administrative Counsel/ADAEO
Overseas Private Investment Corporation
gstubbs@opic.gov
Phone: 202.336.8418



Non-Responsive Record Continued		
Non-Responsive Record		
Non-Responsive Record		

Non-Responsive Record		

ETHICS PLEDGE

As a condition, and in consideration, of my employment in the United States Government in an appointee position invested with the public trust, I commit myself to the following obligations, which I understand are binding on me and are enforceable under law:

- 1. I will not, within 5 years after the termination of my employment as an appointee in any executive agency in which I am appointed to serve, engage in lobbying activities with respect to that agency.
- 2. If, upon my departure from the Government, I am covered by the post-employment restrictions on communicating with employees of my former executive agency set forth in section 207(c) of title 18, United States Code, I agree that I will abide by those restrictions.
- 3. In addition to abiding by the limitations of paragraphs 1 and 2, I also agree, upon leaving Government service, not to engage in lobbying activities with respect to any covered executive branch official or non-career Senior Executive Service appointee for the remainder of the Administration.
- 4. I will not, at any time after the termination of my employment in the United States Government, engage in any activity on behalf of any foreign government or foreign political party which, were it undertaken on January 20, 2017, would require me to register under the Foreign Agents Registration Act of 1938, as amended.
- 5. I will not accept gifts from registered lobbyists or lobbying organizations for the duration of my service as an appointee.
- 6. I will not for a period of 2 years from the date of my appointment participate in any particular matter involving specific parties that is directly and substantially related to my former employer or former clients, including regulations and contracts.
- 7. If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.
- 8. I agree that any hiring or other employment decisions I make will be based on the candidate's qualifications, competence, and experience.
- 9. I acknowledge that the Executive Order entitled 'Ethics Commitments by Executive Branch Appointees,' issued by the President on January 28, 2017, which I have read before signing this document, defines certain terms applicable to the foregoing obligations and sets forth the methods for enforcing them. I expressly accept the provisions of that Executive Order as a part of this agreement and as binding on me. I understand that the obligations of this pledge are in addition to any statutory or other legal restrictions applicable to me by virtue of Government service.

Signature	Date
Print or type your full name (Last, first, middle)	

From: Lance Mathews
To: EthicsAdvice

Subject: FW: Invitation to Reception Honoring Special Guest Deputy Chief of Mission, Embassy of Iraq, Mr. Dhafer Jalil

Date: Tuesday, September 17, 2019 12:08:00 PM

Attachments: <u>image001.png</u>

For the record

From: EthicsAdvice

Sent: Tuesday, September 17, 2019 12:07 PM

To: Annette B Maresh <annette.maresh@exim.gov>

Subject: RE: Invitation to Reception Honoring Special Guest Deputy Chief of Mission, Embassy of Iraq, Mr.

Dhafer Jalil

Annette –







Regards, Lance

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | lance.mathews@exim.gov



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From: Annette B Maresh <annette.maresh@exim.gov>

Sent: Tuesday, September 17, 2019 7:18 AM
To: EthicsAdvice < ethicsadvice@exim.gov>

Cc: Annette B Maresh <annette.maresh@exim.gov>

Subject: Fwd: Invitation to Reception Honoring Special Guest Deputy Chief of Mission, Embassy of Iraq,

Mr. Dhafer Jalil

(b) (5)

Thank you

Annette Maresh Vice President Global Infrastructure Division Export-Import Bank of the U.S. 202-565-3665

Begin forwarded message:

From: AmCham Iraq < muhannad.haimour@amcham-iraq.org >

Date: September 16, 2019 at 2:52:36 PM EDT

To: annette.maresh@exim.gov

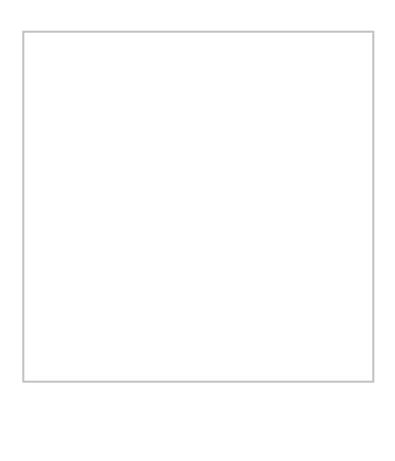
Subject: Invitation to Reception Honoring Special Guest Deputy Chief of Mission,

Embassy of Iraq, Mr. Dhafer Jalil

Reply-To: muhannad.haimour@amcham-iraq.org

[EXTERNAL E-MAIL]







AmCham Iraq | 1200 17th St. NW, 9th floor , Washington, DC 20036

<u>Unsubscribe annette.maresh@exim.gov</u>

<u>Update Profile | About Constant Contact</u>

Sent by <u>muhannad.haimour@amcham-iraq.org</u>

From: Lisa Terry

To: EXIM Office of Congressional and Intergovernmental Affairs

Subject: FW: President Announces Intent to Nominate EXIM Inspector General

Date: Tuesday, September 10, 2019 2:34:00 PM

Attachments: <u>image001.png</u>

Please see below.

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | Iisa.terry@exim.gov



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From: Lisa Terry

Sent: Tuesday, September 10, 2019 12:53 PM

To: Kimberly Reed <Kimberly.Reed@exim.gov>; David Fogel <David.Fogel@exim.gov>; Lauren Fuller

<Lauren.Fuller@exim.gov>; Ross Branson <Ross.Branson@exim.gov>

Subject: President Announces Intent to Nominate EXIM Inspector General

Dear all, please see attached President's intent to nominate Peter Coniglio as Inspector General. As you know, I have been working closely with him for the past couple of months on the vetting process. Please let me know if you have any questions. Thanks.

https://www.whitehouse.gov/presidential-actions/president-donald-j-trump-announces-intent-nominate-appoint-personnel-key-administration-posts-30/

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



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Ν

From: Douglas Adler
To: Lisa Terry
Cc: Douglas Adler

Subject: FW: Presidential Actions Update

Date: Friday, May 3, 2019 10:14:33 AM

Attachments: <u>image001.png</u>

image002.png

Douglas Ochs Adler | Deputy General Counsel

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 837 | Washington, DC 20571
Tel +1-202.565.3435 | Mobile +1-202.344.0528 | douglas.adler@exim.gov



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From: Katherine Kane < Katherine. Kane@exim.gov>

Sent: Friday, May 3, 2019 8:47 AM

To: Andrea Bernardo <Andrea.Bernardo@exim.gov>; Douglas Adler <douglas.adler@exim.gov>; JoAnn Richards <joann.richards@exim.gov>; Kita Hall <Kita.Hall@exim.gov>; Michael Neale <Michael.Neale@exim.gov>; Patrease Brown <patrease.brown@exim.gov>

Subject: Presidential Actions Update

Good morning,

There were 4 new Presidential Actions as of this morning, May 3, 2019.

Nominations & Appointments

Seven Nominations Sent to the Senate, May 2, 2019

President Donald J. Trump Announces Intent to Nominate Individuals to Key Administration Posts,
May 1, 2019

Executive Orders

Executive Order on America's Cybersecurity Workforce, May 2, 2019

Proclamations

Presidential Proclamation on National Mental Health Awareness Month, 2019, May 1, 2019

Katie Kane

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 1137 | Washington, DC 20571
Tel 202.565.3803 | katherine.kane@exim.gov
Twitter | LinkedIn | YouTube

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From: Lisa Terry
To: Gabrielle Guy

Subject: FW: REMINDER: Advisory Committee & SAAC Prep
Date: Monday, September 9, 2019 9:39:00 AM

Attachments: <u>image001.png</u>

Advisory Committee 2019 Ethics Briefing.ppt

Hi Gabby, can you please reformat this presentation using the current EXIM branding. I need to send this to External Affairs asap this morning. Thank you!

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



Reducing Risk. Unleashing Opportunity.

From: Lisa Terry

Sent: Friday, September 6, 2019 2:18 PM

To: Niki Shepperd <niki.shepperd@exim.gov>; External Engagement <External@exim.gov>

Subject: RE: REMINDER: Advisory Committee & SAAC Prep

All.

I will be providing a brief presentation on the ethics principles that apply to advisory committee members. See attached. The essence of my comments are as follows:

- EXIM holds itself to the highest ethical standards. While the federal governmentwide Standards of Conduct do not apply to advisory committee members, members must avoid actions that are unethical or appear to be unethical.
- Membership on the committee does not restrict the ability to engage in political advocacy in a personal capacity or professional capacity, but may identify self as EXIM Advisory Committee member.

Please note that the presentation has not been updated to our new branding logo. My admin assistant is out, however, we will send an updated version on Monday morning.

Thank you, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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From: Niki Shepperd < <u>niki.shepperd@exim.gov</u>>

Sent: Friday, September 6, 2019 11:48 AM

To: Luke Lindberg < <u>Luke.Lindberg@exim.gov</u>>; Lisa Terry < <u>Lisa.Terry@exim.gov</u>>; James Burrows

<<u>James.Burrows@exim.gov</u>>; James Cruse <<u>james.cruse@exim.gov</u>>; David M. Sena

<<u>david.sena@exim.gov</u>>; Ross Branson <<u>Ross.Branson@exim.gov</u>>; Mark Klein

<<u>Mark.Klein@exim.gov</u>>; Kristin Rudman <<u>Kristin.Rudman@exim.gov</u>>; Jamal Ware

<<u>lamal.Ware@exim.gov</u>>; Rick Angiuoni <<u>rick.angiuoni@exim.gov</u>>; Sierra Robinson

<<u>Sierra.Robinson@exim.gov</u>>

Cc: David Fogel < <u>David.Fogel@exim.gov</u>>; Lauren Fuller < <u>Lauren.Fuller@exim.gov</u>>; Kimberly Manecke < <u>Kimberly.Manecke@exim.gov</u>>; Stephen Renna < <u>Stephen.Renna@exim.gov</u>>; External Engagement < <u>External@exim.gov</u>>

Subject: REMINDER: Advisory Committee & SAAC Prep

REMINDER

Please send any presentation materials and summary information for next week's advisory meeting to the **external team** by **COB** today. Please include a summary in your email text, and attach the presentation.

Thank you, Niki

From: Luke Lindberg < <u>Luke.Lindberg@exim.gov</u>>

Sent: Wednesday, September 4, 2019 3:28 PM

To: Lisa Terry < Lisa.Terry@exim.gov>; James Burrows < James.Burrows@exim.gov>; James Cruse

<james.cruse@exim.gov>; David M. Sena <david.sena@exim.gov>; Ross Branson

<<u>Ross.Branson@exim.gov</u>>; Mark Klein <<u>Mark.Klein@exim.gov</u>>; Kristin Rudman

< "> Kick Angiuoni | Kristin.Rudman@exim.gov">"> Rick Angiuoni | Rudman@exim.gov">"> Rick Angiuon

<<u>rick.angiuoni@exim.gov</u>>; Sierra Robinson <<u>Sierra.Robinson@exim.gov</u>>

Cc: David Fogel < <u>David.Fogel@exim.gov</u>>; Lauren Fuller < <u>Lauren.Fuller@exim.gov</u>>; Niki Shepperd

<<u>niki.shepperd@exim.gov</u>>; Kimberly Manecke <<u>Kimberly.Manecke@exim.gov</u>>; Stephen Renna

<<u>Stephen.Renna@exim.gov</u>>; External Engagement <<u>External@exim.gov</u>>

Subject: RE: Advisory Committee & SAAC Prep

All,

Please find updated agendas attached. They are still in DRAFT form, but are a closer reflection to the

final.

Please also send any presentation materials to the external team by COB Friday so we can get them prepped for Monday's dry run and Wednesday's meeting. This is in-addition to the brief summary due Friday COB (please include a summary in your email text, and attach the presentation)

Thanks for all of your hard work!

-Luke

From: Luke Lindberg

Sent: Tuesday, September 3, 2019 4:18 PM

To: Lisa Terry < Lisa.Terry@exim.gov>; James Burrows < James.Burrows@exim.gov>; James Cruse

<james.cruse@exim.gov>; David M. Sena <david.sena@exim.gov>; Ross Branson

<<u>Ross.Branson@exim.gov</u>>; Mark Klein <<u>mark.klein@exim.gov</u>>; Kristin Rudman

< "> Kick Angiuoni | Kristin.Rudman@exim.gov">"> Rick Angiuoni | Rudman@exim.gov">"> Rick Angiuon

<ri>k.angiuoni@exim.gov>

Cc: David Fogel < <u>David.Fogel@exim.gov</u>>; Lauren Fuller < <u>Lauren.Fuller@exim.gov</u>>; Niki Shepperd < <u>niki.shepperd@exim.gov</u>>; Kimberly Manecke < <u>Kimberly.Manecke@exim.gov</u>>; Stephen Renna < Stephen.Renna@exim.gov>

Subject: Advisory Committee & SAAC Prep

All,

Kimberly M. is scheduling a dry run of our Advisory Committee and Sub-Saharan Africa Advisory Committee joint meeting for Monday afternoon (3:15-4:45pm). Please be as flexible as possible to accommodate this request in advance of Wednesday's meeting. We will do our best to be swift and utilize the time wisely.

Additionally, I have attached the DRAFT agendas for your awareness. Each of you are speaking, or are responsible for someone who is speaking. Please send everyone on CC an overview of your proposed remarks/presentation NLT than Friday COB. This can be as simple as 3-5 key bullets.

Thanks in-advance for your hard work. Please reach out with comments/questions.

Best wishes,

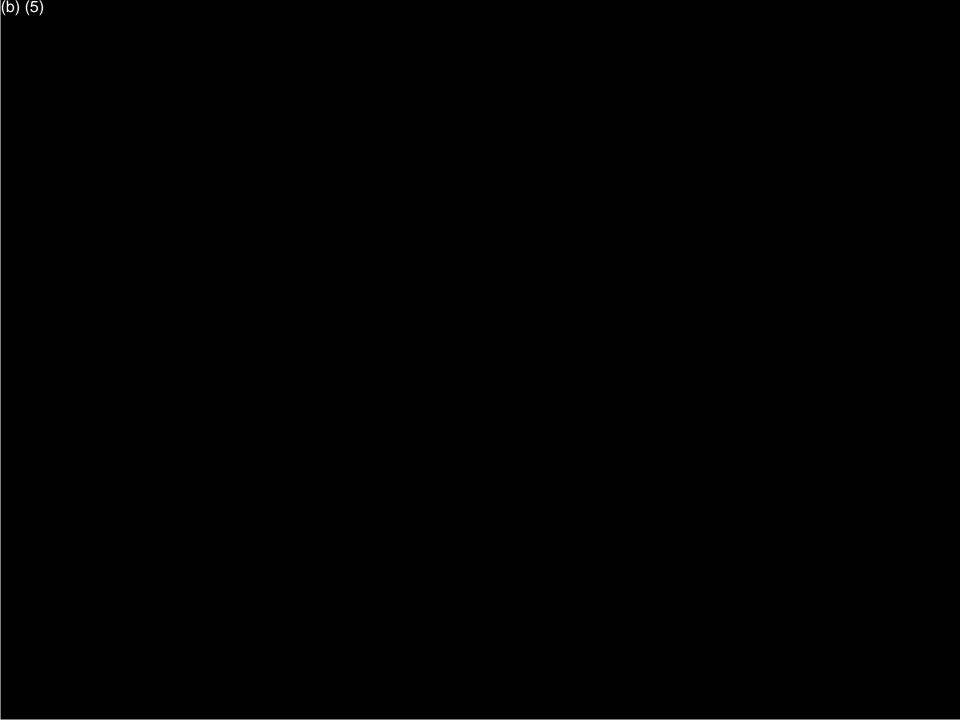
Luke J. Lindberg | Senior Vice President of External Engagement

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571 O 202.565.3524 M 202.281.0942 | luke.lindberg@exim.gov

Twitter | LinkedIn | YouTube



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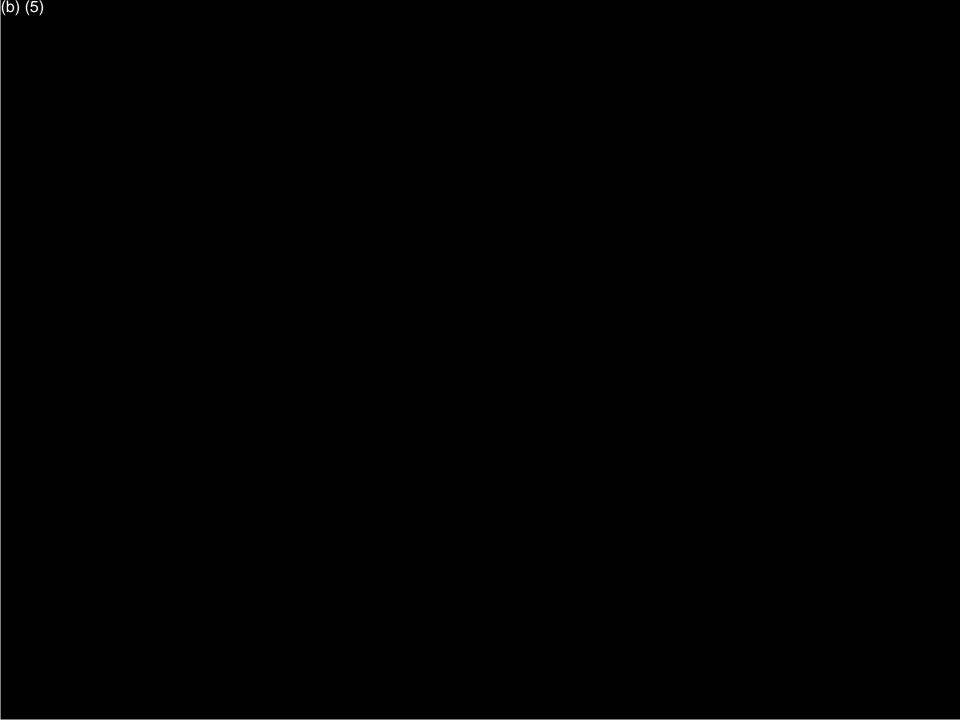














From: Lisa Terry
To: Sandra Brown

Subject: FW: Replacement of Felice

Date: Monday, February 4, 2019 9:55:16 AM

Attachments: image003.png

image003.png image001.png

Good morning, Sandra.

Please see below. The Acting President has approved posting Felice's backfill as a GS 14-15, to be filled at either level. Can you please start the posting process?

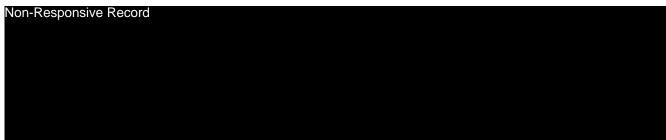
Thank you, Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 857 | Washington, DC 20571
Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov
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Non-Responsive Record Continued		

From: Lisa Terry
To: Gabrielle Guy

Subject: FW: Staff Announcement from the Chairman

Date: Monday, July 8, 2019 4:55:00 PM

Attachments: image001.png

Hi Gabby, our new Press Secretary is a Schedule C political appointee, so she needs to file a Form 278. Thanks!

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



Reducing Risk. Unleashing Opportunity.

From: Chairman < Chairman@exim.gov>

Sent: Friday, July 5, 2019 3:07 PM

To: EXIM Bank All <ExImAll@eximbankus.onmicrosoft.com>

Subject: Staff Announcement from the Chairman

Dear Colleagues,

I hope everyone enjoyed their Fourth of July!

Today, it was announced that the <u>U.S. economy added 224,000 new jobs in June</u>. As you know, EXIM has a very important mission when it comes to supporting U.S. jobs, and our communication on this will be key as we look towards EXIM's reauthorization.

Accordingly, I am pleased to announce that Kristin Rudman will begin on Monday, July 8, as our Press Secretary. Please join me in welcoming Kristin to our team. Her bio is included at the bottom of this email. I know she looks forward to meeting and working with you.

Have a great weekend!

Warmest regards,

Kim

BIO

Before joining EXIM, Ms. Kristin Rudman worked for more than two years executing media relations at the Edison Electric Institute (EEI), a trade association that represents all U.S. investor-owned electric companies. Prior to EEI, Ms. Rudman was a producer at Fox Business Network and Fox News Channel. As a producer, she reported on news coverage of the Federal Reserve, U.S. Treasury, White House, and Supreme Court. Kristin holds a BA in Communications from John Carroll University and Master's in Journalism and Public Affairs from American University.

Kimberly A. Reed | President & Chairman

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571 Tel 202.565.3500 | chairman@exim.gov <u>Twitter</u> | <u>LinkedIn</u> | <u>YouTube</u>



Non-Responsive Record		
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From: Jacob Tatge Sent: Friday, December 21, 2018 3:26 PM

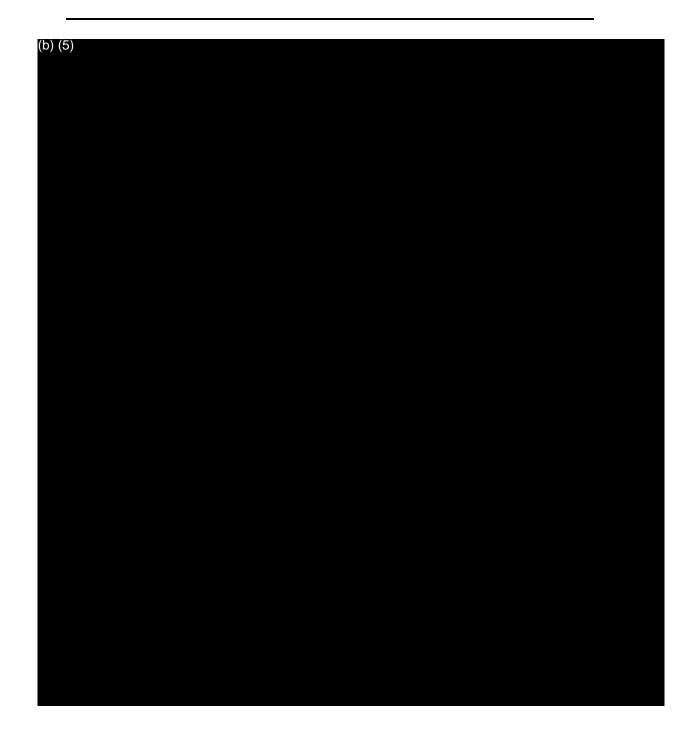
To: David Fiore; Walter Keating; Annette B Maresh; Tiffin Caverly; Howard Spira; Douglas Adler; James Cruse; Jennifer Hazelton; Margaux Matter; Madolyn Phillips; Kevin Turner **Cc:** David M. Sena; James Burrows; Kenneth Tinsley; Inci Tonguch-Murray; Jeffrey

Goettman; Lisa Terry

Subject: Notes from 12/18 Senior Leader Committee

Good afternoon all,

Below are the notes from the 12/18 Senior Leader Committee meeting for your awareness. If you have any questions or comments regarding the content, please contact an SL Committee member (CC'd).



(b) (5)			



Please let me know if you have any questions

Very Respectfully Sent,
Jacob D. Tatge
Presidential Management Fellow
Export-Import Bank of the United States
811 Vermont Ave. NW | Office 922 | Washington, DC 20571
(202) 565-3510
Jacob.Tatge@exim.gov

From: Lisa Terry

To: peter.coniglio@gsaig.gov

Subject: Good news!

Date: Thursday, September 5, 2019 11:35:00 AM

Attachments: <u>image001.png</u>

Peter,

I have just learned from OGE that you have been pre-cleared! Your paperwork is being sent to the White House. Hopefully things will move quickly from here.

Best regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



From: Lisa Terry
To: Kimberly Manecke
Subject: Hatch Act Briefing #2

Date: Thursday, August 1, 2019 9:41:00 AM

Attachments: <u>image001.png</u>

Hi Kim,

Let's please schedule the 2nd Hatch Act briefing which should include all politicals who were not at yesterday's session. Jenny was there and should be able to confirm attendees. My calendar is up to date.

Thanks!

Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



From: Margaux Matter
To: Lisa Terry
Subject: Integrity

Date: Thursday, May 23, 2019 10:32:56 AM

Lisa,

I am happy to report that my financial disclosure filing complete.

The confirmation and onboarding of EXIM's President and quorum made it difficult to complete the forms before May $15^{\rm th}$.

I appreciate your consideration and look forward to working with you on any follow-up questions or concerns.

Thank you,

Margaux

From:

Kimberly Reed

To:

Lisa Terry

Cc:

David Fogel; Lauren Fuller

Subject:

Invitation to The First Alliance Foundation events - Paris - Sept. 12-14

Date:

Monday, August 12, 2019 11:07:31 PM TFA-InvitationHonKimberlyReed12August2019.docx

Attachments:

ATT00001.htm

Carton d"invitation 13.09.2019.pdf ATT00002.htm TFA-OnePageDescriptionScanned21July2019.pdf

ATT00003.htm

Dear Lisa:

Please find attached an invitation letter from The First Alliance Foundation for travel expenses and an invitation from the President of the French Senate.

Thank you for advising on my participation.

Thanks, Kim



The First Alliance Foundation

P.O. Box 2729 Palm Beach, FL 33480

August 12, 2019

The Honorable Kimberly Reed Chairman & President U.S. Export Import Bank 811 Vermont Avenue, NW Washington, DC 20571

Dear Chairman Reed:

The First Alliance Foundation invites you to participate in 3 days of important events to commemorate the 75th anniversary of World War II and the current and future alliance between the United States and France, which is important for national security and economic security. The Export-Import Bank of the United States (EXIM) assisted with the financing of the reconstruction of France after WWII, which was a crucial step in France's post-war economic development. And, EXIM is an important part of the U.S.-France economic and trade relationship today.

The First Alliance Foundation (TFA) is a U.S. 501(c)(3) with the mission of honoring and enhancing the French-American strategic alliance. We make grants to institutions and organizations in both the U.S. and France to commemorate important historical events of our alliance; and we also support confidence-building initiatives that engage French and American officials for our mutual security.

We would value your participation in the commemorative events on September 12-14 in Paris, France that we are supporting through a grant to the prestigious French Museum of the Army (The Invalides) to honor the 75th Anniversary of the Liberation of Paris. This will include a reception and concert on September 12; the French Air Force orchestra will be joined by musicians from the US Navy orchestra in Naples and the US "Wings of Dixie". The US Embassy-Paris has supported the concert. A colloquium on September 13 will focus both on the WW II alliance and explore the current US-French alliance and future outlook. Senior US military leaders will participate (Lt General Christopher Cavoli, Commander US Army Europe and Admiral James Foggo, Commander US Naval Forces- and NATO forces, diplomats and senior officials. Ms. Susan Eisenhower, granddaughter of President Dwight Eisenhower, will be in attendance; she serves on the Senior Advisory Board of the foundation with 2 retired US Lt Generals and a retired US Vice Admiral. There will be a thoughtful discussion about the current relationship and future outlook for a select group of invited guests (French and American). The afternoon session with be cochaired by Admiral Foggo and French Amb (retired) Jean-David Levitte (French Ambassador to the US 2002-2007).

You should be receiving invitations to the reception, concert and colloquium from the operating host General Alexandre d'Andoque, Director, French Musee de l'Armee aux Invalides. You will receive also

an invitation to join a brunch for the American officials visiting on Saturday, September 14—with a historian who will speak about life in Paris under the Nazi occupation and the liberation.

Lastly, you have been invited by the President of the French Senate, President Larcher, to a dinner he is hosting at Le Senat-Palais de Luxembourg to honor the French-American alliance. The dinner is co-hosted by the Chairman of the French Senate Committee on Foreign Affairs and Defense and the Chairman of the Inter-Parliamentary Committee on French-American friendship (similar to the US Congressional French Caucus). We expect the U.S. Ambassador to attend the dinner and several of the other events, along with other US Embasssy officials.

If permissible, TFA will cover your travel expenses and certain meals. And, if it is not permissible under the EXIM ethics rules, I would welcome a discussion with your ethics staff to have you participate personally as my guest given our close, long-standing friendship spanning more than fifteen years.

Please let us know at your earliest convenience if you are able to participate. I'm attaching a brief description of the foundation.

Sincerely,

The Hon. Carole L. Brookins

Founder & Chairman

The First Alliance (TFA) Foundation

Carole Brooking



Gérard Larcher Président du Sénat

Christian Cambon S'enateur du Val-de-Murne Président de la Commission des affaires étrangères, de la défense et des forces armées

Antoine Lefèvre Sénateur de l'Aisne Président du groupe interparlementaire d'amitié France-Etats-Unis

vous prient de bien vouloir assister au dîner organisé

en l'honneur de l'amitié franco-aménicaine et de la Fondation The First Alliance

le vendredi 13 septembre 2019 à 20 heures dans les Salons de la Présidence du Sénat

15 ter, rue de Vauglrard 75006 Paris Réponse souhaitée avant le 3 septembre 2019 Courriel : mecenat@musee-armee.fr



The First Alliance Foundation

The First Alliance (TFA) Foundation is a US private foundation, registered as a non-profit corporation in Delaware, residing in Florida. TFA has a US tax ID number and official IRS US 501-C3 status.

TFA-Mission

The TFA Foundation mission is to honor and enhance the French-American strategic alliance.

- TFA seeks to commemorate and recognize the past and present French-American strategic alliance.
- TFA seeks to advance shared strategic goals, confidence building and bilateral thought-leadership between current and future French and American military leaders.

TFA-Funded Projects and Programs:

TFA Awards support and strengthen the French-US geo-strategic alliance, through projects and programs that engage the defense and security domains, and public and private sectors.

TFA funds grant initiatives that: (1) Commemorate the French-American alliance and (2) Contribute to the support of bi-lateral military cooperation.

• The projects may include commemoration events, prizes and other funding support to foundations and defense educational institutions that advance the alliance.

TFA is awarding its first grants in 2019 to coincide with commemorations of the 75th anniversary of the Liberation of Paris (August 1944) and recognition of the French and American WW II alliance.

- Commemorations will be held in both Paris and Washington DC in the Summer and Fall of 2019.
- Educational confidence-building programs will be established for French and American military officers.

TFA-Founding

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TFA was founded in 2018 and is funded by **The Honorable Carole L. Brookins** as a US-domiciled private (self-funded) "non-operating" Foundation. Strategic partnerships have been structured with French and US organizations to carry out programs and projects aligned with the Foundation's mission.

TFA was formally announced in Washington D.C. on December 11, 2018 at The Army Navy Club. It has officially operated since July 1, 2018. All official documents have been filed with Federal and State agencies in compliance with relevant US legal, accounting and regulatory requirements.

TFA-Organization Overview:

The Honorable Carole L. Brookins, Founder, serves as the Chairman of The First Alliance (TFA) Foundation Board. LTG (France-Ret) Olivier Tramond serves as Co-Chairman of the Board of Directors and is Co-Founder. Other Directors are: Dr. Richard Sandor (CEO & Founder, The American Financial Exchange), Mr. Lawrence Goodman (CEO & Founder, The Center for Financial Stability) and Mr. Richard Kamenitzer (Secretary/Treasurer). TFA's distinguished members of its Senior Advisory Board are: Ms. Susan Eisenhower (President, The Eisenhower Group) Mr. Tony Culley-Foster (Founder & President, CFCO, Intl), LTG (Ret) David Huntoon, LTG (Ret) Claude "Mick" Kicklighter, and VADM (Ret) Scott Van Buskirk.

From: John Connor To: Walter Hill

Cc: <u>Juan C Velez</u>; <u>Cameron Miller</u>; <u>LaVon Thomas</u>; <u>Lisa Terry</u>

Subject: Is the Fraud Risk Strategy a "Policy"?

Date: Friday, June 21, 2019 5:16:24 PM

Attachments: <u>image001.png</u>



John G. Connor
Assistant General Counsel for Litigation, Fraud and Compliance
Export-Import Bank of the United States
811 Vermont Ave. NW
Washington, D.C. 20571

E-mail: <u>John.Connor@exim.gov</u> Telephone (Direct): 202-565-3815 Telephone (Mobile): 202-386-2941



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To: Spencer

Subject: New Financial Disclosure Form

Date: Thursday, January 17, 2019 4:41:48 PM

Attachments: <u>image001.png</u>

image003.png

Dear Spencer,

Congratulations on your re-nomination! This is great news.

As I am sure you may recall from the first time around, we need you to confirm certain information regarding your and your spouse's assets and income for our records. (b) (5)



Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

<u>Twitter</u> | <u>LinkedIn</u> | <u>YouTube</u>

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From: Lisa Terry
To: "Kimberly Reed"
Subject: New Nominee Report

Date: Friday, January 11, 2019 1:44:48 PM

Attachments: <u>image001.png</u>

(b) (5)		
(b) (5)		

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: James Cruse

To: Jeffrey Goettman; Margaux Matter; Jennifer Hazelton; Douglas Adler; Kevin Warnke; Kenneth Tinsley; David M.

Sena; Inci Tonguch-Murray; Michele Kuester; James Burrows; Niki Shepperd; Howard Spira; Lisa Terry; Basel

<u>Alloush</u>

Cc: Nicole Behrendt; Kristene Blake; Isabel Galdiz; Tanner Gebhart; Cassie Rowlands; Rachel Morris

Subject: News From the Front - Spring 2019

Date: Wednesday, May 1, 2019 10:03:59 AM

Attachments: News Fron the Front - IWG, BU, and TXF in april19.docx

News From the Front - Appendix A in april19.pdf

image003.png

Folks:

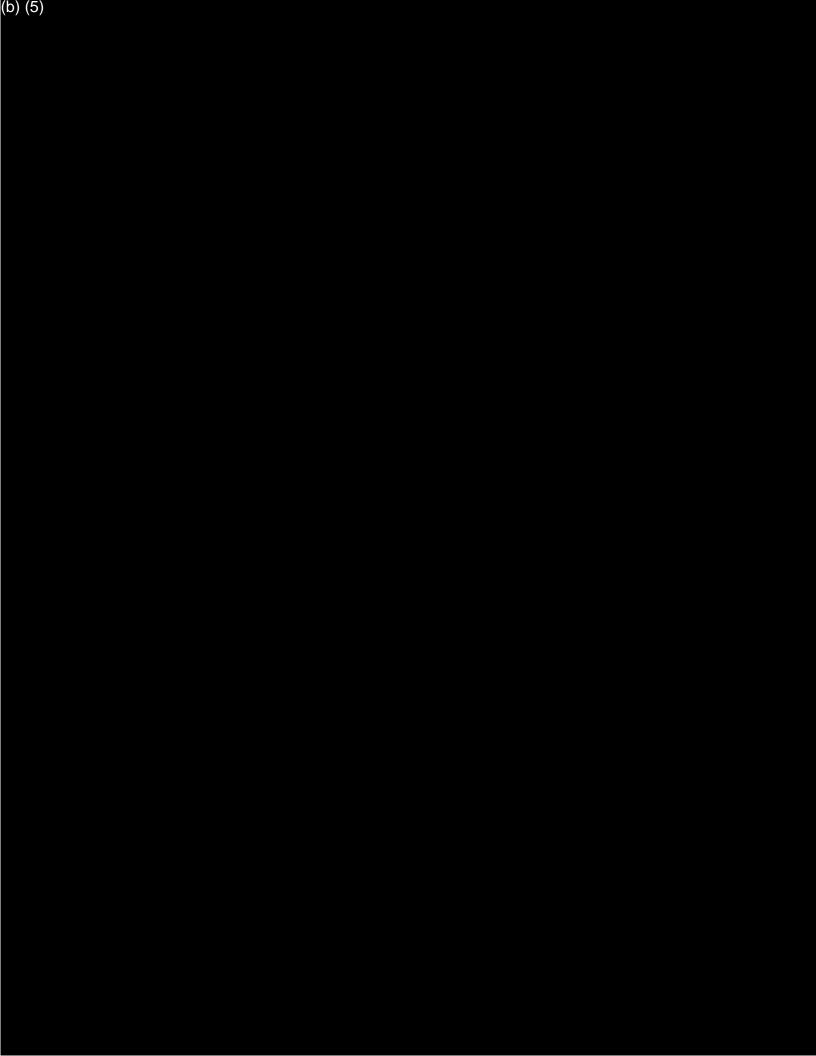
This latest edition of the episodic "News from the Front" reports on two separate – but apparently quite linked – trends in MLT official financing in support of exports. First, it adds some tasty insights on the ever-widening scale and scope of export supportive MLT financing tools – particularly the role Development Finance Institutions (DFI's) are frequently playing in support of a nation's long-run level of exports, as well as one of the more confusing aspects of today's Roller Derby ECA world (i.e. widely differing interpretations of "untied" financing). Second, it notes a growing need for what has so been a limited political level engagement in the achievement (or scale) of any new agreement on export credit parameters.

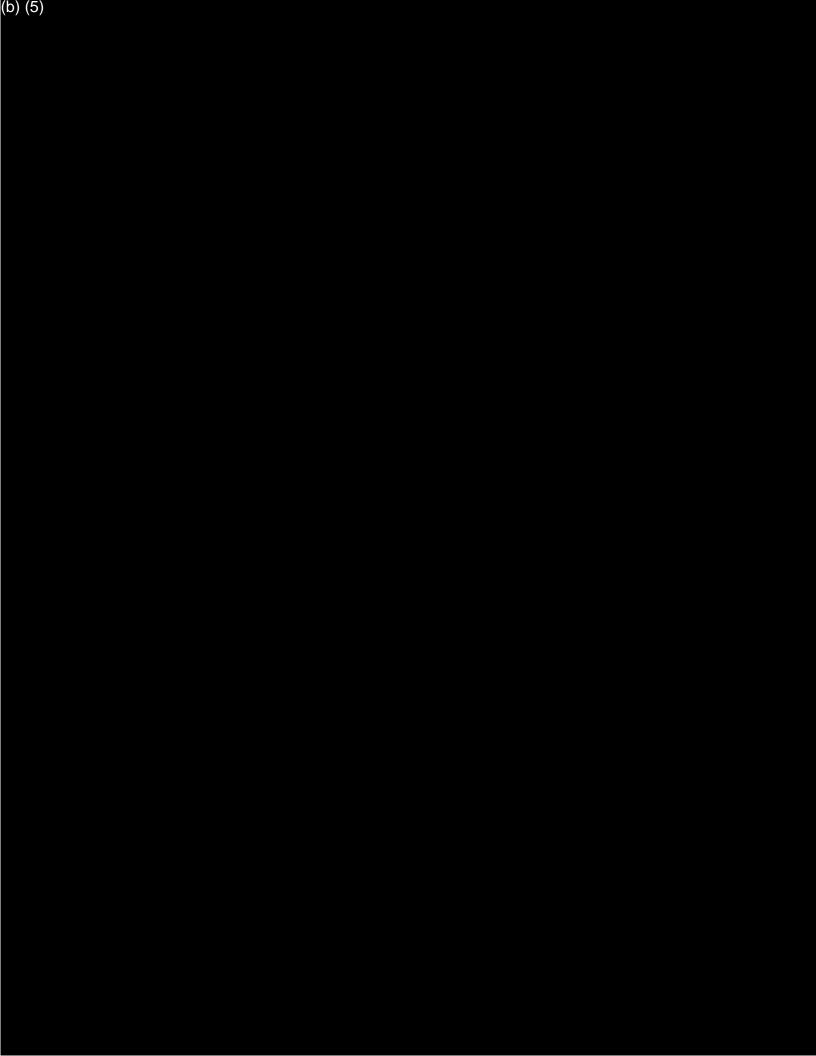


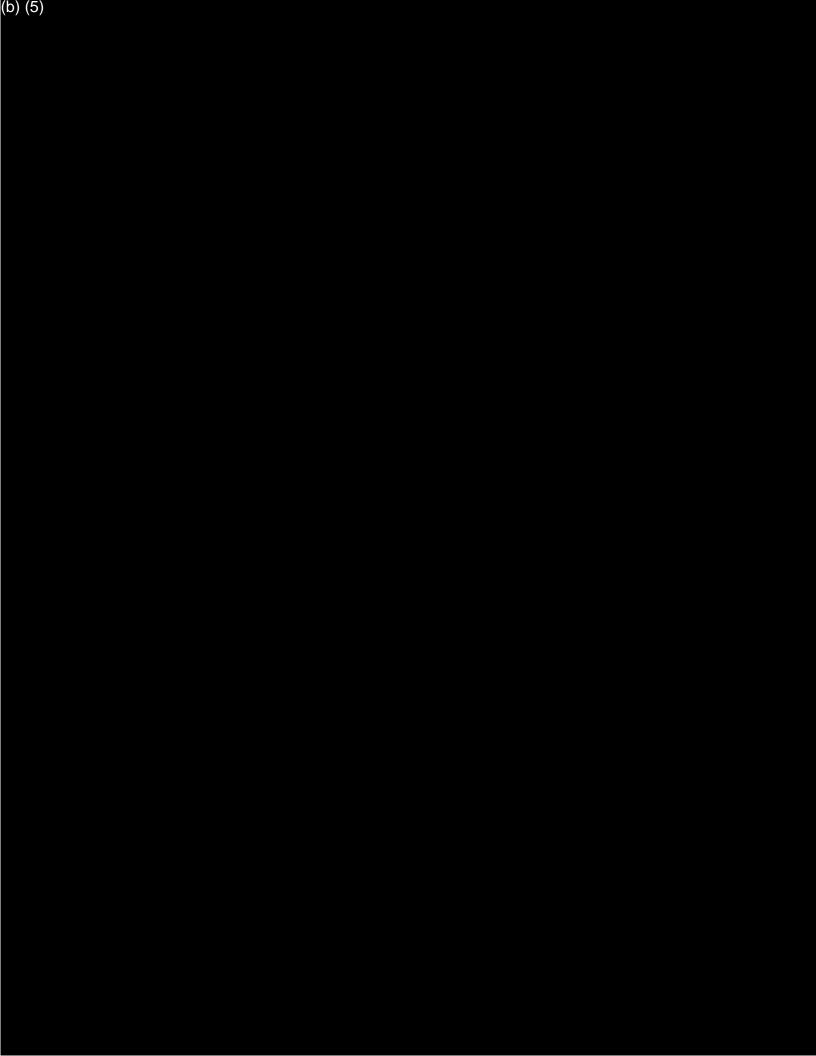
James C. Cruse | Senior Vice President, Policy Analysis & International Relations

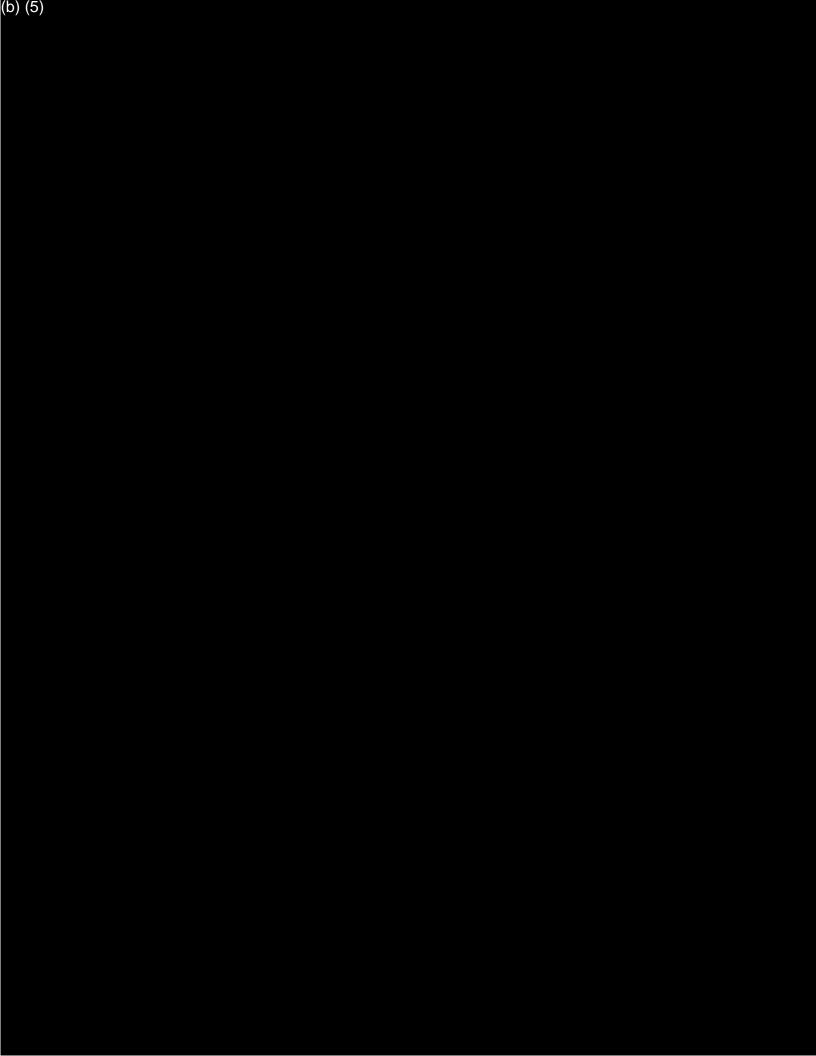
Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3761 | Mobile 202.390.6938 | james.cruse@exim.gov

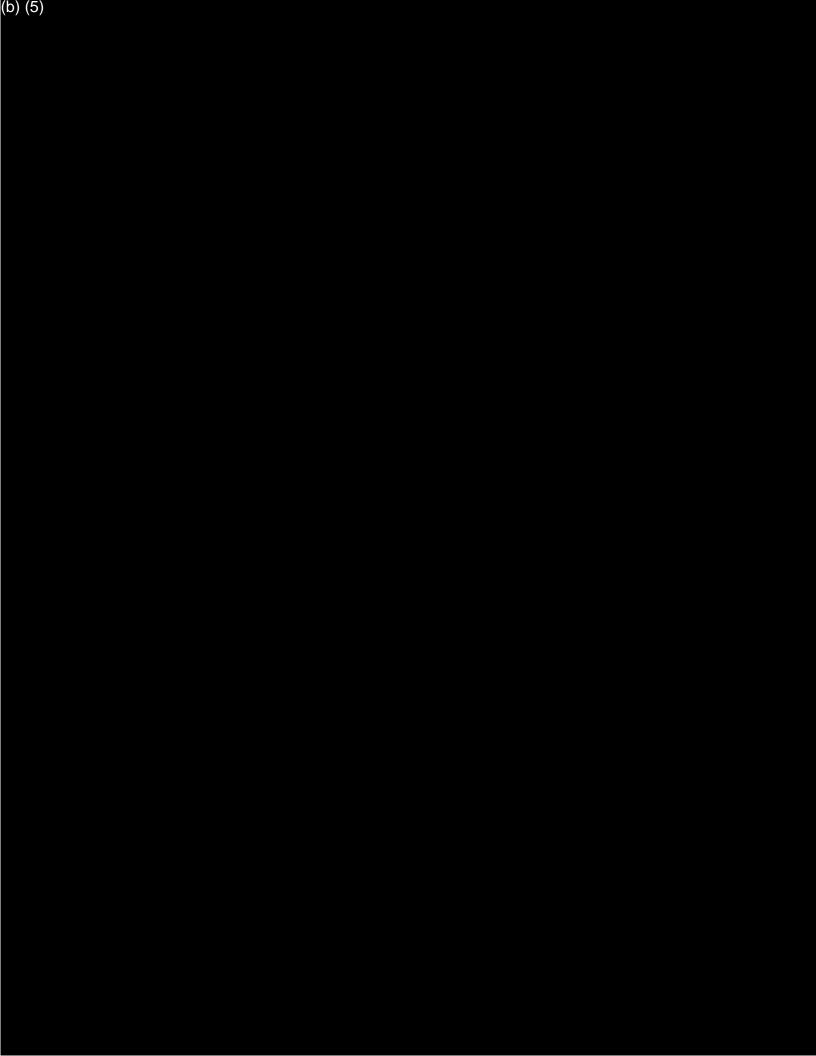


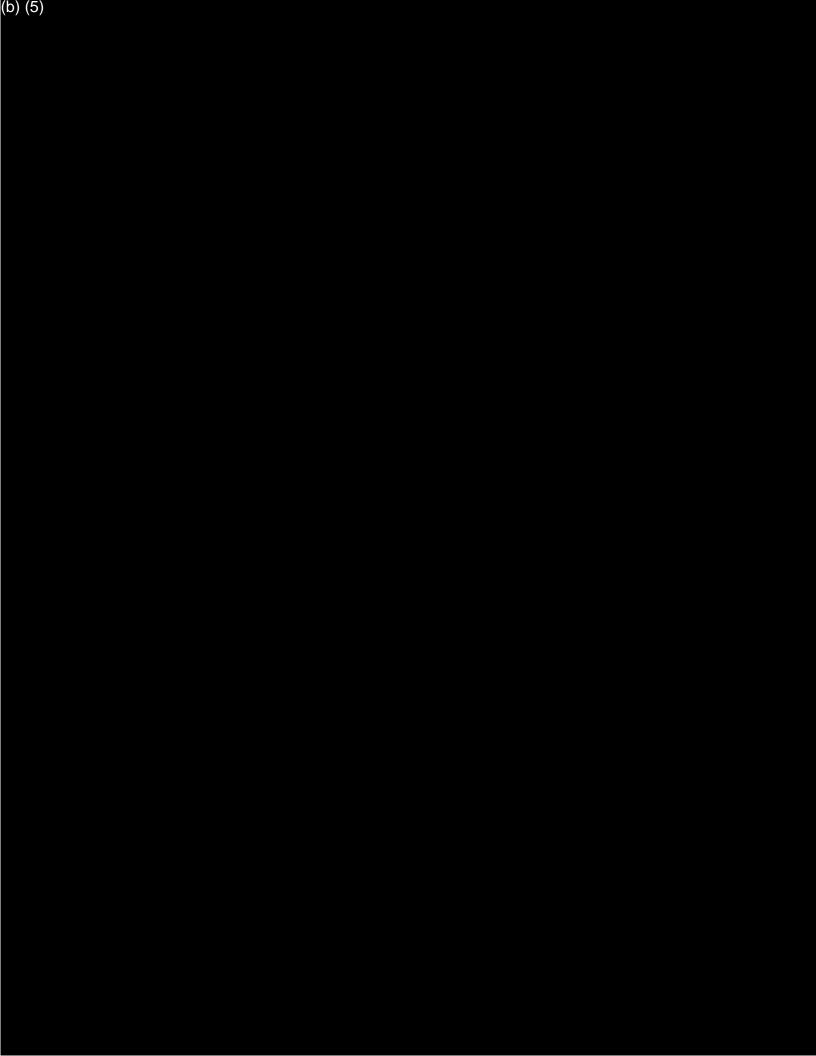


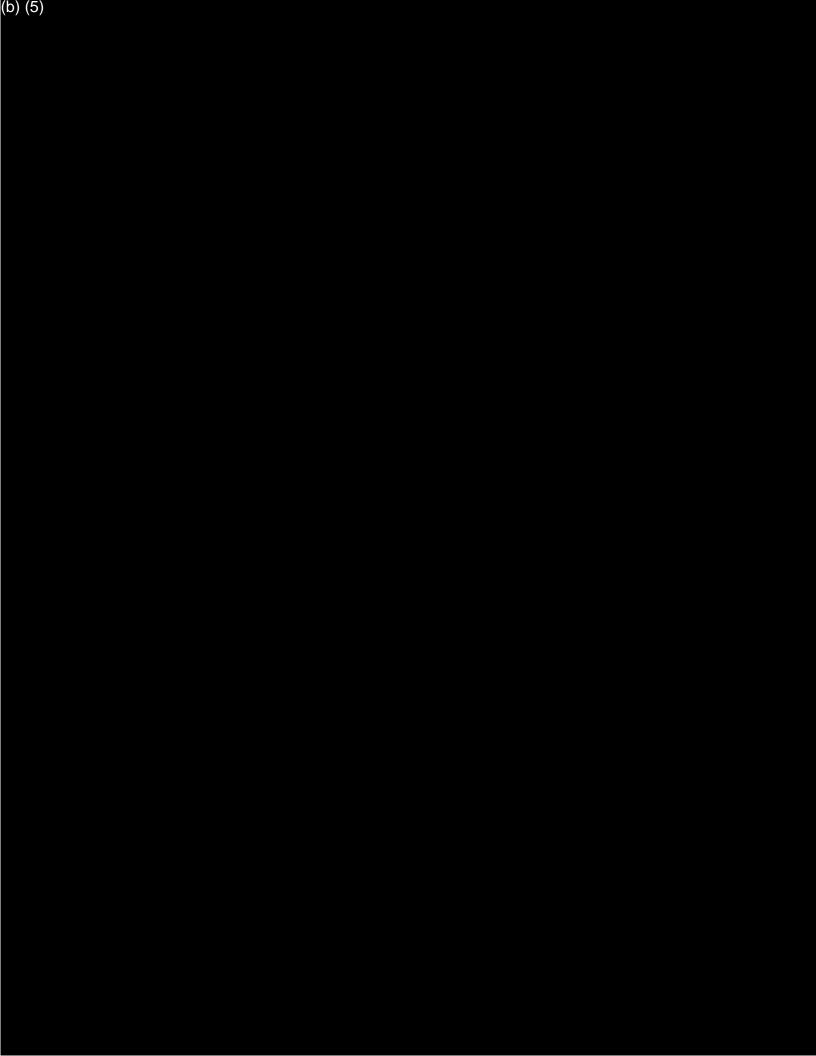


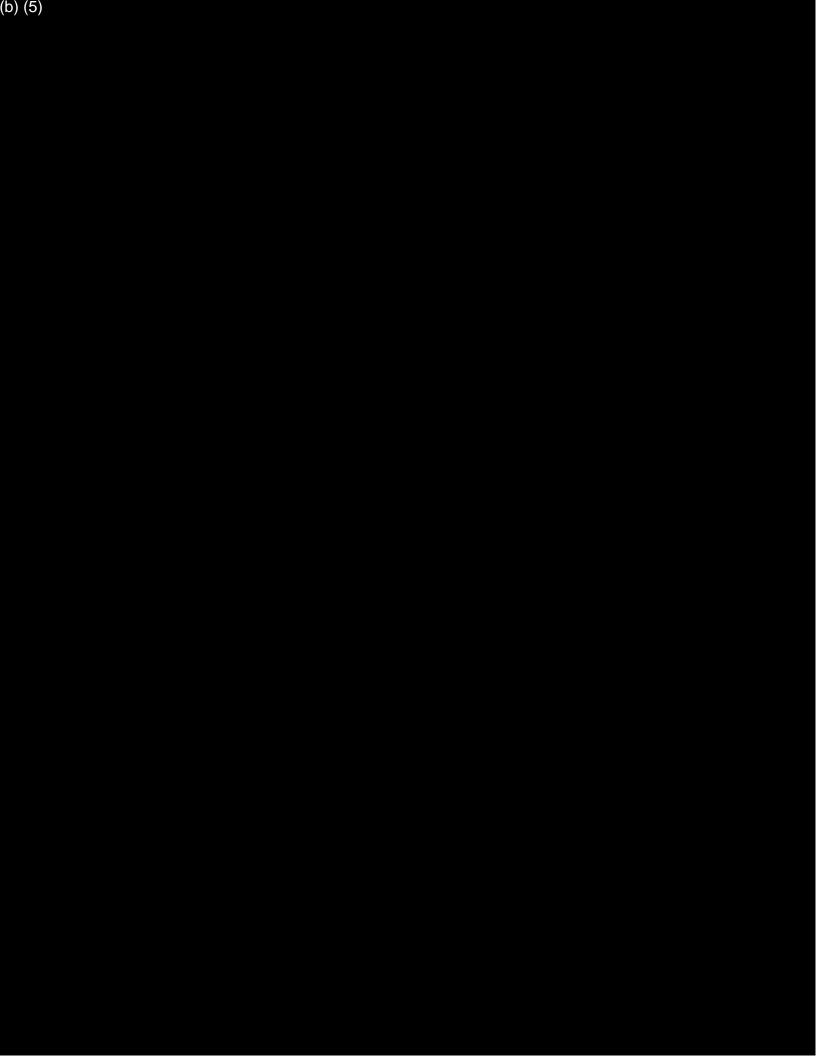












From: Douglas Adler

To:

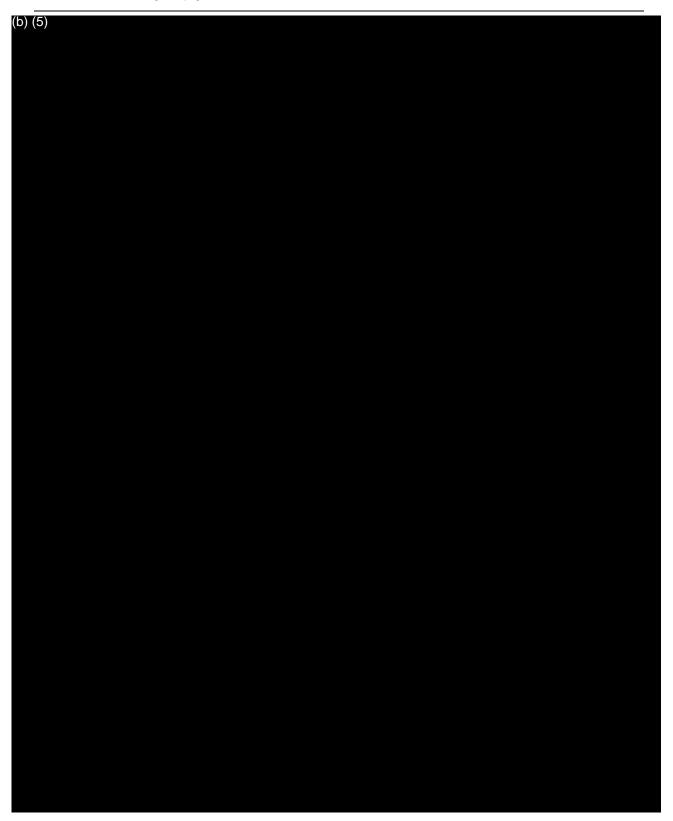
Margaux Matter; Jennifer Hazelton; Natalie McGarry; Kevin Warnke; Jeffrey Goettman; Nicole Valtos; Andrea Bernardo; Inci Tonguch-Murray; Nathalie Herman; James Burrows; James Cruse; Michele Kuester; Howard Spira; Kevin Turner; Lisa Terry

<u>Douglas Adler</u>; <u>Douglas Adler</u> Cc:

OMB Call - Shutdown Day 17 - 01-07-2019 - Adler Call notes Subject:

Monday, January 7, 2019 2:35:24 PM

Attachments: image001.png





Douglas Ochs Adler | Deputy General Counsel

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 837 | Washington, DC 20571
Tel +1-202.565.3435 | Mobile +1-202.344.0528 | douglas.adler@exim.gov



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From: Douglas Adler

To: Margaux Matter; Jennifer Hazelton; Natalie McGarry; Kevin Warnke; Jeffrey Goettman; Nicole Valtos; Andrea

Bernardo; Inci Tonguch-Murray; Nathalie Herman; James Burrows; James Cruse; Michele Kuester; Howard Spira;

Kevin Turner; Lisa Terry; Michael Ding

Cc: <u>Douglas Adler</u>

Subject: OMB Call - Shutdown Day 19 - 01-09-2019 - Adler Call notes

Date: Wednesday, January 9, 2019 2:15:05 PM

Attachments: <u>image001.png</u>



Douglas Ochs Adler | Deputy General Counsel

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 837 | Washington, DC 20571 Tel +1-202.565.3435 | Mobile +1-202.344.0528 | douglas.adler@exim.gov



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recipient, please contact the sender and delete all copies.

From: Douglas Adler

To:

Margaux Matter; Jennifer Hazelton; Natalie McGarry; Kevin Warnke; Jeffrey Goettman; Nicole Valtos; Andrea Bernardo; Inci Tonguch-Murray; Nathalie Herman; James Burrows; James Cruse; Michele Kuester; Howard Spira; Kevin Turner; Lisa Terry; Michael Ding

Douglas Adler Cc:

Subject: OMB Call - Shutdown Day 21 - 01-11-2019 - Adler Call notes

Friday, January 11, 2019 2:35:31 PM

image001.png Attachments:





Douglas Ochs Adler | Deputy General Counsel

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 837 | Washington, DC 20571 Tel +1-202.565.3435 | Mobile +1-202.344.0528 | douglas.adler@exim.gov



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From: Kimberly Manecke

To: <u>Douglas Adler; James Burrows; Tiffin Caverly; James Cruse; David Fiore; Annette B Maresh; Adam Martinez;</u>

Madolyn Phillips; David M. Sena; Howard Spira; Lisa Terry; Kenneth Tinsley; Inci Tonguch-Murray; Richard Park;

Michele Kuester

Cc: Candice Borales; Deborah Valentine

Subject: OPM Performance Plan Kick-Off Meeting

Date: Wednesday, September 25, 2019 9:49:28 AM

Attachments: <u>image001.png</u>

Good morning colleagues,

As part of the Transformation Initiative's focus on performance, I've asked OPM to provide EXIM's senior leaders with assistance, guidance, and coaching in completion of their FY2020 performance plans. OPM will be onsite to meet with all of us on Thursday, September 26th at 11:00am in 1203, the Walter Sauer conference room to discuss the process and specific support they will provide with this initiative.

President Trump's management agenda supports a results-oriented performance culture system that is focused on aligning performance with organizational goals and that's exactly what we're going to do here at EXIM.

With OPM's assistance, each senior leader will have a performance plan based on the established elements and standards that address accomplishments that lead to organizational goal achievements.

Performance Plans are required of all SLs and must be done in a timely manner to be effective. I appreciate your attendance, cooperation, and adherence to all requested deadlines.

FY2020 SL Performance Planning Timeline

September 26, 2019

October 11, 2019

October 25, 2019

October 28 – November 1, 2019

November 8, 2019

SLs Performance Planning Meeting with OPM

OPM completes review of SL Performance Plans

OPM provides one-on-one feedback with each SL

All SL Performance Plans complete and submitted to Human Capital

If you are unable to attend the OPM kick-off meeting this Thursday, September 26th at 11:00am, please contact Candice Borales and she will coordinate a second opportunity, as needed.

A calendar invitation will be forthcoming and I hope everyone is able to attend.

Warmest regards,

Kim

Kimberly A. Reed | President & Chairman

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571

Tel 202.565.3500 | chairman@exim.gov <u>Twitter</u> | <u>LinkedIn</u> | <u>YouTube</u>



From: Lisa Terry

To: <u>cameron ricker@banking.senate.gov</u>

Bcc: Kimberly Reed

Subject: PAS Appointee Evidence of Compliance with Ethics Agreement

Date: Friday, May 31, 2019 1:00:00 PM

Attachments: image001.png

EA Compliance REED Senate Letter 5-31-19.pdf

Dear Mr. Ricker,

In accordance with Office of Government Ethics regulation, I hereby submit evidence of Ethics Agreement compliance on behalf of Kimberly Ann Reed, President and Chairman, Export-Import Bank of the United States. Ms. Reed was confirmed by the Committee on May 8, 2019.

Please let me know if you have any questions or need additional information.

Kind regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>





May 31, 2019

The Honorable Michael Crapo Chairman Committee on Banking, Housing, and Urban Affairs United State Senate Washington, DC 20510

Dear Mr. Chairman,

In accordance with 5 C.F.R. §2634.804, I enclose evidence of Ethics Agreement compliance for Kimberly Ann Reed, Chairman and President, Export-Import Bank of the United States. For your convenience, I also enclose a copy of Chairman Reed's Ethics Agreement.

Please let me know if you have any questions or require additional information.

Sincerely,

Lisa V. Terry

Senior Vice President and Chief Ethics Officer

Designated Agency Ethics Official

Enclosures

Cc: The Hon. Kimberly Ann Reed

May 8, 2019

Mr. Evan Thompson, Chairman Mr. Harry Johns, President and CEO Mr. Robert Egge, Executive Director Alzheimer's Impact Movement 2121 New York Avenue, NW, Suite 1403 Washington, DC 20005

Dear Evan, Harry, and Rob:

Thank you, Harry, for your telephone call today. As we discussed, I was confirmed by the United States Senate as President and Chairman of the Board of Directors of the Export-Import Bank of the United States. As is required by my ethics agreement, I must now resign from the Board of Directors of the Alzheimer's Impact Movement effective immediately.

Thank you for the immense honor of serving on the Board of this outstanding organization. I believe in your mission and will always embrace the great lessons that the late and much beloved Jerome Stone taught us.

Please extend my deepest thanks and appreciation to the Board, staff, stakeholders, and those living with the disease as I enter public service to make a positive difference for our nation and world.

Thank you again for the opportunity to serve. I wish you much success in the continued fight for a world without Alzheimer's Disease.

With warmest regards,

Kimberly a. Reed

Kimberly Reed

May 8, 2019

Mr. Robert J. Giuffa, Jr., Esq., Chairman Ms. Patricia Schramm, President American Swiss Foundation 271 Madison Avenue, Suite 1403 New York, NY 10016

Dear Bob and Patricia:

As communicated to you by telephone today, I was confirmed by the United States Senate as President and Chairman of the Board of Directors of the Export-Import Bank of the United States. As is required by my ethics agreement, I must now resign from the Board of Directors of the American Swiss Foundation effective immediately.

Thank you for the immense honor of serving on the Board of this outstanding organization. I believe in your mission and will always embrace the great lessons that the late and much beloved Ambassador Faith Whittlesey taught us.

Please extend my deepest thanks and appreciation to the Board, staff, Young Leaders, and stakeholders as I enter public service to make a positive difference for our nation and world.

With warmest regards,

Kemberly a. Beed

Kimberly Reed

Lisa V. Terry
Senior Vice President and Chief Ethics Officer
Export-Import Bank of the United States
811 Vermont Ave. NW
Washington, D.C. 20571

Dear Ms. Terry:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of President of the Export-Import Bank of the United States.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

If I have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.

Upon confirmation, I will resign from my positions on the board of directors of the following entities: Alzheimer's Impact Movement and American Swiss Foundation. My position on the board of directors of the Alzheimer's Association ended in October 2018. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which I know that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

I understand that as an appointee I am required to sign the Ethics Pledge (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this and any other ethics agreement.

I will meet in person with you during the first week of my service in the position of President in order to complete the initial ethics briefing required under 5 C.F.R. § 2638.305. Within 90 days of my confirmation, I will also document my compliance with this ethics agreement by notifying you in writing when I have completed the steps described in this ethics agreement.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with other ethics agreements of Presidential nominees who file public financial disclosure reports.

Sincerely.

Tamberly ann Reed

From: Deborah J. Bortot

To: <u>Lisa Terry</u>

Cc: <u>David Taube</u>; <u>Lorna A. Syme</u>; <u>Heather A. Jones</u>

Subject: Peter Coniglic

Date: Thursday, August 22, 2019 2:45:55 PM

[EXTERNAL E-MAIL]

Lisa,

(b) (5)

Thanks, Deb

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From: Lisa Terry
To: <u>EthicsAdvice</u>

Subject: Political Activity/Hatch Act

Date: Thursday, June 6, 2019 1:54:00 PM

Attachments: <u>image001.png</u>

For our records, provided advice to new chief of staff on political activity restrictions.

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



From: Lisa Terry

To: <u>Kimberly Reed; David Fogel; Lauren Fuller; Ross Branson</u>

Subject: Prospective Nominee for Inspector General Date: Thursday, September 5, 2019 11:51:00 AM

Attachments: <u>image001.png</u>

Dear all,

I have just learned from the Office of Government Ethics that the prospective nominee for Inspector General has been "pre-cleared." This means that all financial disclosure and conflict issues have been addressed to the satisfaction of OGE and EXIM. His paperwork (financial disclosure report and ethics agreement) are being sent to the White House.

Please let me know if you have any questions.

Regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



From: Lisa Terry
To: <u>Tiffin Caverly</u>
Cc: <u>Gabrielle Guy</u>

Subject: Public Financial Disclosure Report

Date: Priday, March 22, 2019 3:21:54 PM

Attachments: image001.png

Hi Tiffin,

Due to your promotion to an SL position, you are now required to file a public financial disclosure form (Form 278). This is similar to the Form 450 but requires more disclosure of certain assets (e.g., mutual funds are not exempt as in the case of the Form 450).

Gabrielle will assign you a "New Entrant" form in our *Integrity* system, which is much more sophisticated than FD Online. Although the form is titled "public" we do not make these forms public unless upon specific request by an individual who must certify that he/she is not using the form for an improper purpose. Historically, we have only received requests for disclosure of Form 278s of political appointees.

Please let me know if you have any questions.

Best regards, Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Kevin Warnke

To: <u>Douglas Adler; Lisa Terry</u>
Subject: Questions for the Record

Date: Tuesday, June 11, 2019 1:01:24 PM

Attachments: <u>image001.png</u>



Kevin Warnke | Vice President, Congressional & Intergovernmental Affairs

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3233 | Mobile 202.308.1695 | Kevin.Warnke@exim.gov



From: Basel Alloush
To: Lisa Terry
Cc: Naomi King
Subject: Quick question

Date: Tuesday, June 4, 2019 2:45:45 PM

Attachments: <u>image002.png</u>

Hi Lisa,

Chairman Reed would like to know if there are periodic ethics briefings for political appointees or is it only during the onboarding process? Chairman also requested that Parisa from the Inspector General's office be included in the ethics briefings moving forward.

Best regards, Basel

Basel Alloush | Senior Advisor

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 1243 | Washington, DC 20571 Tel 202.565.3534 | Mobile 202.536.9003 | Basel.Alloush@exim.gov



Non-Responsive Record
Ion-Responsive Record
On Tuesday, April 16, 2019, 11:39 AM, Lisa Terry < Lisa.Terry@exim.gov > wrote:
Like Magic! You've just been pre-cleared by OGE! They will now send your Ethics
Agreement to the White House.

Congrats!

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 857 | Washington, DC 20571
Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

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From: Paul S <pshmotolokha@yahoo.com>
Sent: Tuesday, April 16, 2019 2:35 PM
To: Lisa Terry <Lisa.Terry@exim.gov>

Subject: Re: Any sign of OGE completion of clearance?

[EXTERNAL E-MAIL]

Clarity from PPO is my concern right now. Am trying to turn it around. With OGE's clearance I can push some levers.. all advice and comments from you Lisa are very helpful and appreciated.

On Tuesday, April 16, 2019, 8:32:46 PM GMT+2, Lisa Terry < Lisa.Terry@exim.gov > wrote:

(b) (5)

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel <u>+1-202.565.3195</u> | Mobile +1-202.361-1408 | <u>lisa.terry@exim.gov</u>

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Non-Responsive Record Continued

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On Tuesday, April 16, 2019, 11:39 AM, Lisa Terry < Lisa.Terry@exim.gov > wrote:

Like Magic! You've just been pre-cleared by OGE! They will now send your Ethics Agreement to the White House.

Congrats!

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

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<u>Twitter</u> | <u>LinkedIn</u> | <u>YouTube</u>

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on-Responsiv	e Record			



On Tuesday, April 16, 2019, 8:32:46 PM GMT+2, Lisa Terry <Lisa.Terry@exim.gov> wrote:

(b) (5)

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

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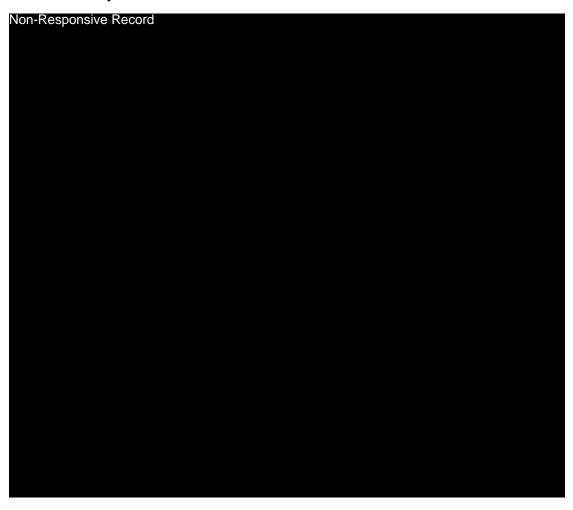


On Mar 1, 2019, at 2:37 PM, Claudia Slacik < cslacik@gmail.com > wrote:

Lisa,

It looks like the format of the political donations got messed up again. The system won't let me make any changes.

Sent from my iPad



From: Lisa Terry

To: "Sassaman (US) John C"
Cc: Menz (US) David; EthicsAdvice

Subject: RE: BCC April 4, 2019 Financiers & Investors Conference

Date: Friday, March 15, 2019 12:02:24 PM

Attachments: image003.png

Mr. Sassaman,

(b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

Please feel free to get in touch if you have any further questions.

Kind regards,

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Sassaman (US), John C (b) (6) Sent: Thursday, March 14, 2019 2:30 PM

To: Lisa Terry Cc: Menz (US), David

Subject: BCC April 4, 2019 Financiers & Investors Conference

Ms. Terry:

I understand that you spoke with my colleague David Menz about the invitation Boeing Capital Corporation would like to extend to several officers and employees of the Export-Import Bank to attend its Financiers & Investors Conference in New York City on April 9, 2019.

The F&I Conference is a one-day event at which BCC and Boeing executives make presentations on Boeing products, the aircraft finance environment, and aircraft finance infrastructure (including the Cape Town Convention). Typically, over 200 people involved in aircraft finance attend this event, which is by invitation only. Attendees will be offered breakfast, lunch, and the choice of a Boeing promotional item – a tie or a tote bag. The aggregate value of such meals and courtesies is under \$200, including the promotional item, which is valued at approximately \$45.



This event is designed to comply with federal gift regulations to permit executive branch officers and employees to accept free attendance (b) (5)

Thank you for your attention to this matter, and please let me know if you have any questions.

Best,

John C. Sassaman

Chief Counsel, Ethics & Compliance

The Boeing Company

929 Long Bridge Drive

Arlington, VA 22202-4208

(703) 465-3243 (desk) | **(b) (6)** (ce

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From: Lisa Terry To: **Dana Pinkney** Subject: RE: Brandon Dues

Monday, August 26, 2019 1:36:00 PM Date:

Attachments: image001.png

image002.png

Hi Dana, thanks very much for the guick response!

Best. Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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From: Dana Pinkney < Dana. Pinkney@exim.gov>

Sent: Monday, August 26, 2019 1:29 PM To: Lisa Terry <Lisa.Terry@exim.gov>

Subject: RE: Brandon Dues

Hi Lisa,

No, Brandon is not a political appointee; he is a GS-14. (b) (6)

Best regards,

Dana E. Pinkney | Senior Human Capital Advisor

Export-Import Bank of the United States 811 Vermont Ave., NW, Office 1017 | Washington, DC 20571 Tel 202.565.3327 | Mobile 202.281.7040 | Fax 202.565.3627 | dana.pinkney@exim.gov Twitter | LinkedIn | YouTube



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From: Lisa Terry < Lisa. Terry@exim.gov> Sent: Monday, August 26, 2019 12:53 PM To: Dana Pinkney < <u>Dana.Pinkney@exim.gov</u>> **Subject:** Brandon Dues **Importance:** High

Hi Dana,

Can you please tell me if Brandon Dues, the new White House Fellow, is a political appointee. Is he on the GS pay scale?

Thanks, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



 From:
 EA Compliance

 To:
 Lisa Terry

 Cc:
 EA Compliance

Subject: RE: Certification of Ethics Agreement Compliance--Reed

Date: Thursday, May 23, 2019 1:58:13 PM

Attachments: <u>image001.png</u>

[EXTERNAL E-MAIL]

Dear Ms. Terry,

Thank you for the EA Certification for President Reed..

Sincerely,

The Compliance Division

United States Office of Government Ethics 1201 New York Avenue NW Suite 500 Washington, DC 20005

Visit OGE's website: www.oge.gov

Follow OGE on Twitter: @OfficeGovEthics

From: Lisa Terry [mailto:Lisa.Terry@exim.gov] Sent: Thursday, May 23, 2019 10:12 AM

To: EA Compliance

Subject: Certification of Ethics Agreement Compliance--Reed

Good morning,

Please find attached the Certification of Ethics Agreement Compliance for Kimberly Reed, Chairman and President, Export Import Bank of the United States.

Regards,

Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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From: Lisa Terry
To: "Kimberly Reed"

Subject: RE: Completed draft Financial Disclosure Update for Re-nomination

Date: Friday, January 11, 2019 10:36:29 AM

Attachments: image003.png

Good morning, Kim.

I have conferred with the Office of Government Ethics on your new form and (b) (5), (b) (6)

Feel free if you'd like to discuss. I'm available most of the day except 11:00-11:30 and 2:00-3:00.

Best regards,

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Kimberly Reed (b) (6)

Sent: Friday, December 28, 2018 5:38 PM

To: Lisa Terry Cc: Margaux Matter

Subject: Re: Completed draft Financial Disclosure Update for Re-nomination

Thank you. Happy 2019. Thanks for a great 2018!

On Dec 28, 2018, at 5:15 PM, Lisa Terry < Lisa.Terry@exim.gov > wrote:

Hi Kim,

Just an update. Your form still is not in my queue in Integrity. And I have not heard back from the GC at the Office of Government Ethics. I suspect it needs to be routed from the White House. I will keep checking my queue.

Hope you have an Happy New Year!

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov

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<image001.png>

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On Dec 28, 2018, at 9:47 AM, Lisa Terry < Lisa. Terry@exim.gov > wrote:

Kim, I just checked Integrity and your new form does not appear to be pending in my queue. It may have been routed to the Office of Government Ethics first, or the White House. I will try to get an answer, but I'm not sure if I'll be able to reach anyone at OGE given the shutdown.

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States

811 Vermont Ave. NW, Office 857 \mid Washington, DC 20571

Tel +1-202.565.3195 | Mobile +1-202.361-1408 | <u>lisa.terry@exim.gov</u>

<u>Twitter</u> | <u>LinkedIn</u> | <u>YouTube</u>

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From: Lauren Fuller
To: Lisa Terry

Subject: Re: CONIGLIO - committee-related docs-Aug.19.

Date: Friday, August 23, 2019 8:01:09 AM

Attachments: <u>image001.png</u>

Thank you

Sent from my iPhone

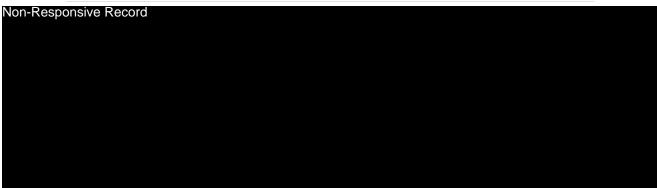
On Aug 23, 2019, at 7:59 AM, Lisa Terry < Lisa. Terry@exim.gov > wrote:



Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>

<image001.png>











From: "Lisa Terry" < Lisa.Terry@exim.gov>

To: "peter.coniglio@gsaig.gov" <peter.coniglio@gsaig.gov>

Date: 09/09/2019 09:49 AM

Subject: RE: CONIGLIO - several process questions - Sept. 9.

Hi Peter, I'm still your "Sherpa" ☺

You don't owe me anything at the moment. Your pre-cleared Form 278 and Ethics Agreement are with the White House for review and approval. (b) (5)

At that point, you would need to re-sign your Form 278 and officially sign your Ethics Agreement.

I am not clear on how nominees are notified of their formal nominations, but I am signed up for WH alerts so will get noticed that way.

Best regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>

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Paul (h) (5) (h) (6)

Lisa V. Terry | Senior Vice President and Chief Ethics Officer



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From: Paul S (1) (6) Sent: Thursday, April 04, 2019 11:26 AM To: Lisa Terry Subject: Re: Deal points to finalize eth cs agreement

(b) (5), (b) (6)

Is it better if I call you?

Sent from Yahoo Mail for iPhone

On Thursday, April 4, 2019, 7:42 AM, Lisa Terry <Lisa.Terry@exim.gov> wrote

Paul, thank you. Understood.

(b) (5) (b) (6)

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 857 | Washington, DC 20571
Tel <u>1-202.565.3195</u> | Mobile 1-202.361-1408 | lisa.terry@exim.gov

<u>Twitter</u> | <u>LinkedIn</u> | <u>YouTube</u>



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From: Paul S / 6 Sent: Wednesday, April 03, 2019 6:35 PM

To: Lisa Terry
Subject: Re: Deal points to finalize ethics agreement

[EXTERNAL E-MAIL]

Hi Lisa,

(b) (5), (b) (6)

Things are going quite well with all of us and my performance so this makes it all easier to meet OGE"s needs.

Paul

On Wednesday, April 3, 2019, 1:06:03 PM PDT, Lisa Terry <Lisa.Terry@exim.gov> wrote:

(b) (5), (b) (6)

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From: "Lisa Terry" <Lisa.Terry@exim.gov>

To: "peter.coniglio@gsaig.gov" <peter.coniglio@gsaig.gov>

Date: 08/23/2019 03:22 PM

Subject: RE: Draft Ethics Agreement - CONIGLIO



Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>

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From: peter.coniglio@gsaig.gov <peter.coniglio@gsaig.gov>

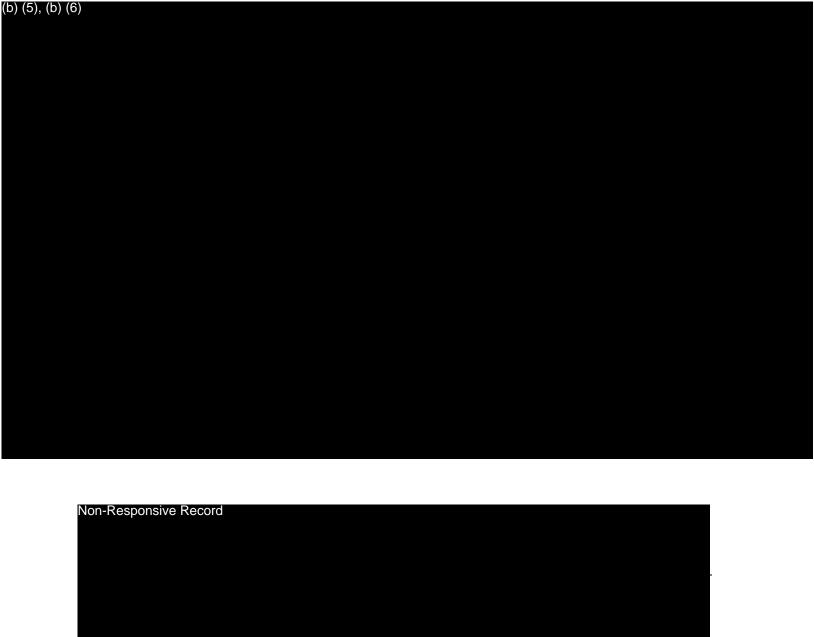
Sent: Friday, August 23, 2019 10:34 AM **To:** Lisa Terry < <u>Lisa.Terry@exim.gov</u>>

Cc: peter.coniglio@gsaig.gov

Subject: Re: Draft Ethics Agreement - CONIGLIO

Importance: High

(b) (6), (b) (5) [EXTERNAL E-MAIL]



From: Lisa.Terry@exim.gov

To: Paul S

Subject: Re: Draft Ethics Agreement

Date: Thursday, April 11, 2019 10:21:53 PM

Paul, following your appointment we will have a one on one Ethics briefing during your first week at the Bank. I will explain the laws and restrictions in detail. It may be a good idea for me to give you and overview of the Administration's Ethics Pledge in advance.

As far as process, the draft Ethics Agreement will go up the chain at OGE. Once that is approved, you will be considered "pre-cleared" which is a signal to the White House that all issues have been vetted.

Thanks, Lisa

Sent from my iPhone



Non-Responsive Record Continued		

From: Nicole Valtos
To: <u>Margaux Matter</u>

Cc: <u>Lisa Terry; David M. Sena; Inci Tonguch-Murray; James Burrows; Jacob Kloper-Owens; Deborah Valentine;</u>

<u>Jeffrey Goettman</u>; <u>Kenneth Tinsley</u>

Subject: RE: Draft SL Panel minutes

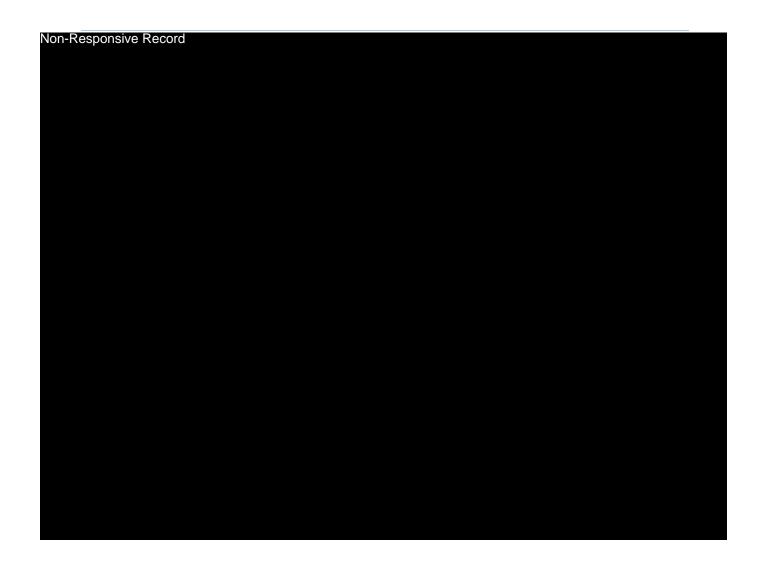
Date: Wednesday, January 30, 2019 11:56:38 AM

Sorry for the delayed response to this.

I did some digging and Human Capital does not have visibility into these questions—they may require reach out at the Political level. Let me know if you would like Human Capital to provide a list of other SL agencies.

Thanks,

Nicole



From: Kristene Blake
To: Lisa Terry

Subject: RE: Ethical Insights, January 2019
Date: Thursday, January 17, 2019 10:56:20 AM

Attachments: image001 png

Thank you!

Kristene H. Blake

Executive Secretary Export-Import Bank 202.565.3523

Kristene.Blake@exim.gov

From: Lisa Terry <Lisa.Terry@exim.gov>
Sent: Thursday, January 17, 2019 10:56 AM
To: Kristene Blake <Kristene.Blake@exim.gov>
Subject: RE: Ethical Insights, January 2019

Hi Kristene, as a political, you file the Form 278 Public Financial Disclosure Report. It will be due on May 15 and I'll send the notification out in April.

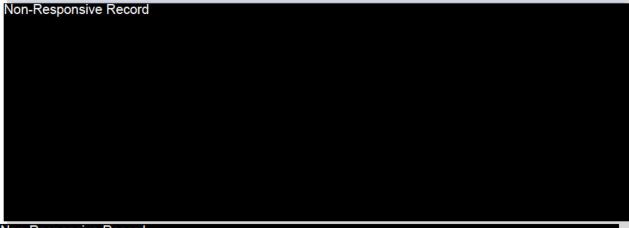
Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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 From:
 Niki Shepperd

 To:
 Lisa Terry

 Cc:
 EthicsAdvice

Subject: RE: Ethics Inquiry: INVITATION: Arizona Roundtable Discussion, Featuring EXIM Chair Reed

Date: Wednesday, July 17, 2019 1:08:35 PM

Attachments: <u>image001.png</u>

image003.png

Thank you Lisa. I will wait to hear back from you.

Niki

From: Lisa Terry

Sent: Wednesday, July 17, 2019 1:07 PM **To:** Niki Shepperd <niki.shepperd@exim.gov> **Cc:** EthicsAdvice <ethicsadvice@exim.gov>

Subject: RE: Ethics Inquiry: INVITATION: Arizona Roundtable Discussion, Featuring EXIM Chair Reed

Hi Niki,

(b) (5)

Thanks,

Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

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From: Lance Mathews
To: <u>Jamal Ware</u>

Subject: RE: Event-AmbEspiritoSantos.pdf
Date: Tuesday, October 15, 2019 6:37:00 PM

Sorry for delay, just now saw this email.

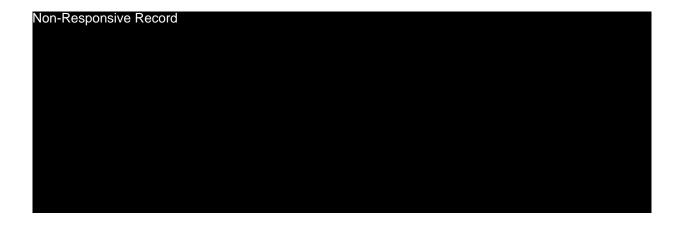
(b) (5)

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Regards, Lance

Lance E. Mathews | Deputy Chief Ethics Officer Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | lance.mathews@exim.gov

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From: Lisa Terry

To: Margaux Matter; Kristene Blake
Subject: RE: EXIM Board Members Re-Nominated
Date: Thursday, January 17, 2019 1:39:12 PM

Attachments: image001.png

You're sending it, right?

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

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From: Margaux Matter

Sent: Thursday, January 17, 2019 12:08 PM

To: Lisa Terry; Kristene Blake

Subject: Re: EXIM Board Members Re-Nominated

Perfect let's do it!

On Jan 17, 2019, at 10:19 AM, Lisa Terry < Lisa. Terry@exim.gov > wrote:

Margaux—here's a draft. I don't think there's much more information to provide. Not sure if you want to keep the announcement—it's lengthy.

Dear colleagues,

I am pleased to share the good news that on January 16, 2019, President Trump sent to the Senate nominations the following former nominees to EXIM's Board of Directors:

Spencer Bachus, III, of Alabama, to be Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Patricia M. Loui, term expired.

Judith Del Zoppo Pryor, of Ohio, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Larry W. Walther, term expired.

Kimberly A. Reed, of West Virginia, to be President of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Fred P. Hochberg, resigned.

Claudia Slacik, of New York, to be a Member of the Board of Directors of the Export-Import Bank of the

We will keep you informed of any significant developments.

<image001.png> Office of the Press Secretary

FOR IMMEDIATE RELEASE January 16, 2019

NOMINATIONS SENT TO THE SENATE:

John P. Abizaid, of Nevada, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Saudi Arabia.

Stephen Akard, of Indiana, to be Director of the Office of Foreign Missions, with the rank of Ambassador, vice Gentry O. Smith, resigned.

Kathe Hicks Albrecht, of California, to be a Member of the National Council on the Humanities for a term expiring January 26, 2024, vice Bruce R. Sievers, term expired.

William I. Althen, of Virginia, to be a Member of the Federal Mine Safety and Health Review Commission for a term of six years expiring August 30, 2024. (Reappointment)

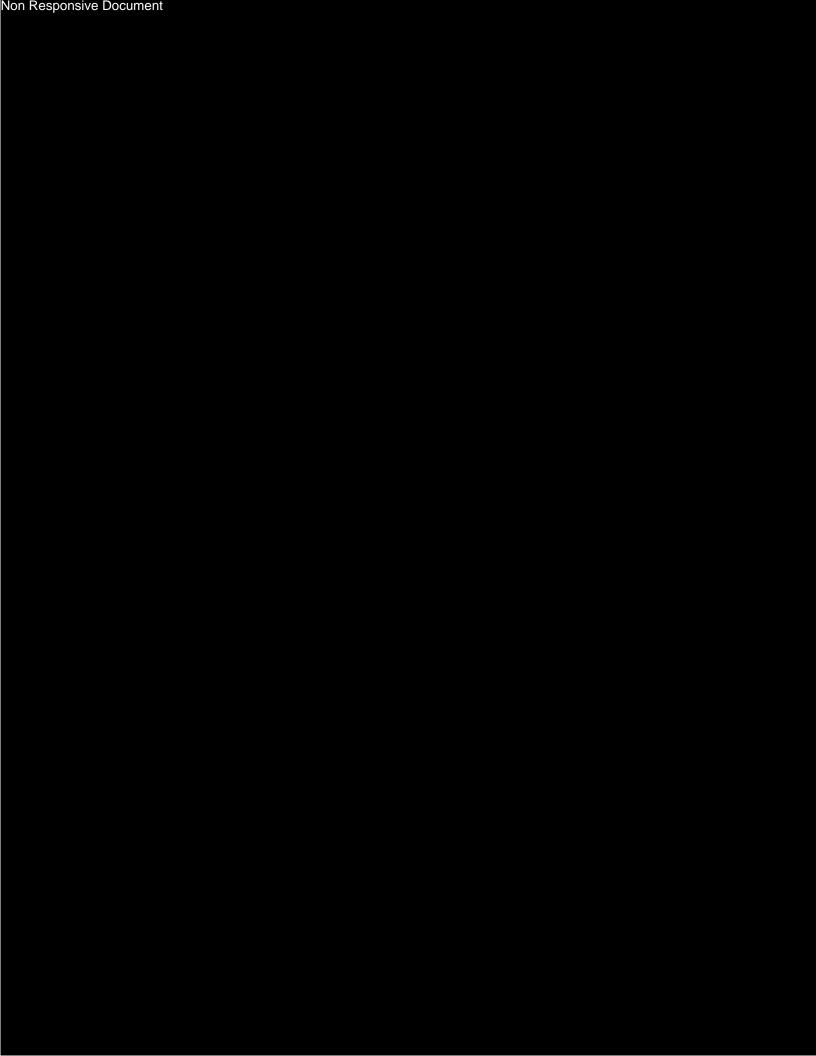
Seth Daniel Appleton, of Missouri, to be an Assistant Secretary of Housing and Urban Development, vice Katherine M. O'Regan.

Michael J. Astrue, of Massachusetts, to be a Member of the Social Security Advisory Board for a term expiring September 30, 2022, vice Alan L. Cohen, term expired.

Spencer Bachus, III, of Alabama, to be Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Patricia M. Loui, term expired.

Irving Bailey, of Florida, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2021, vice Matthew Maxwell Taylor Kennedy, term expired.

Aditya Bamzai, of Virginia, to be a Member of the Privacy and Civil Liberties Oversight Board for the remainder of the term



From: Mulvaney, Sean
To: Lisa Terry

Subject: RE: EXIM Meeting Next Week

Date: Thursday, June 20, 2019 1:47:14 PM

Attachments: <u>image001.png</u>

[EXTERNAL E-MAIL]

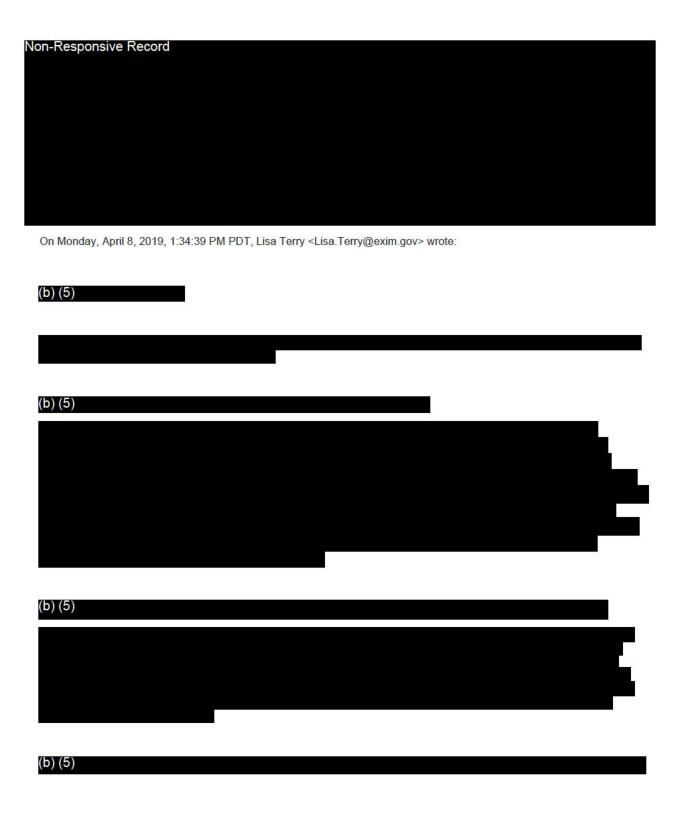
Sometimes my voice mail works other times it appears it does not. Usually it records a message and sends me the message electronically. Our IT is not as smooth or seamless. Thanks for your note. Appreciate you being aware and making the appropriate notifications.

Glad to see you back at Ex-Im. I was sorry to see you go and I am glad you were attracted back notwithstanding the on-gong challenges within the US political system.

Best regards,

Sean

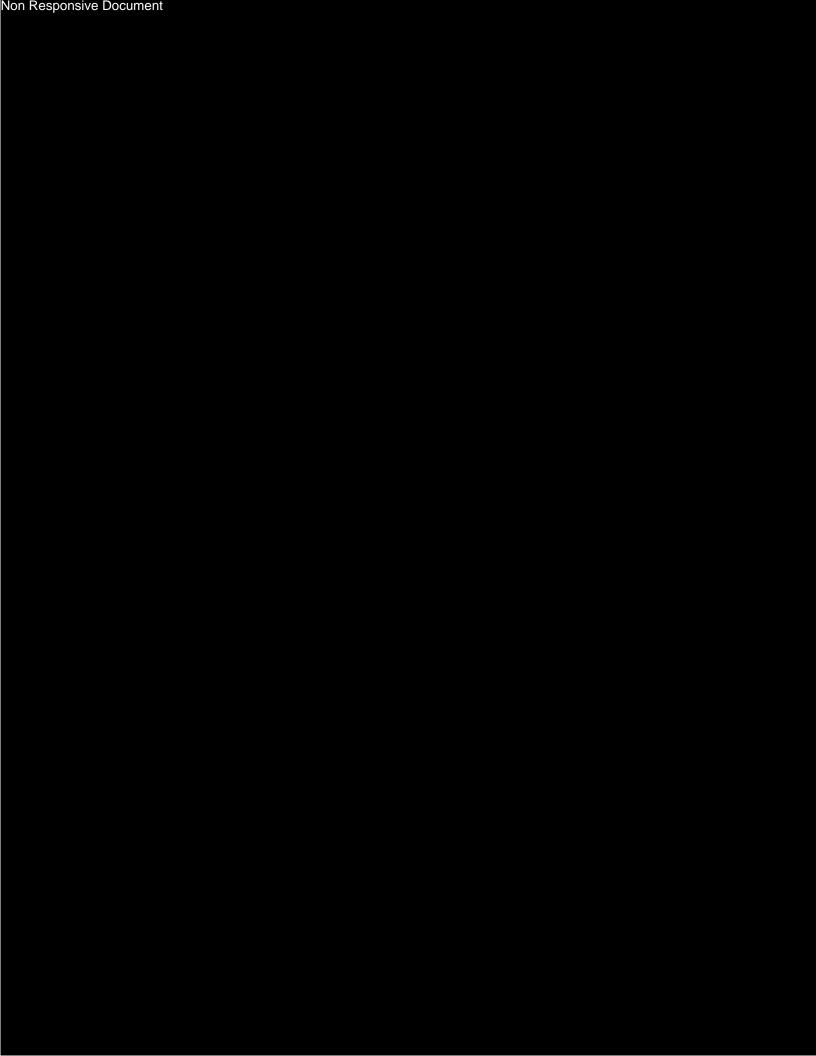




Lisa V. Terry | Senior Vice President and Chief Ethics Officer

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From: Jeffrey Goettman
To: Lennell Jackson
Cc: Lisa Terry

Subject: Re: FOIA 201900022F Search (Goettman)

Date: Friday, February 8, 2019 2:28:21 PM

Thanks Lennell

I will try to keep my response informative, responsive and short.

To my knowledge, I have never met a Trump or had the opportunity to meet a Trump (or their spouse).

I have no other records/texts that would indicate a scheduled meeting or an actual meeting.

Please let me know if that information is sufficient for your diligence on the FOIA request.

Thanks

Jeff

Jeff Goettman | EVP & COO Export-Import Bank of the US 811 Vermont Ave NW | Washington DC 202.565.3513 (w) | (b) (6)



Non-Responsive Record Continued				

From: Lisa Terry To: **Lennell Jackson**

Natascha Joyner; Mark Schirado; Gabrielle Guy Cc:

Subject: RE: FOIA Training

Date: Tuesday, April 23, 2019 2:09:00 PM

Attachments: image001.png

Hi Lennell, thanks for the reminder.

PS., Natascha—I did a first read of the Newsletter. Looks good! (b) (5)

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Lennell Jackson < lennell.jackson@exim.gov>

Sent: Tuesday, April 23, 2019 1:42 PM To: Lisa Terry <Lisa.Terry@exim.gov>

Cc: Natascha Joyner <Natascha.Joyner@exim.gov>; Mark Schirado <Mark.Schirado@exim.gov>;

Gabrielle Guy <Gabrielle.Guy@exim.gov>

Subject: FOIA Training

Hi Lisa:

The FOIA Team has training tomorrow from 10 am - 3:30 pm, so we will be out of the office most of the day. Thanks.

FOIA Public Liaison

Export-Import Bank of the United States

(202) 565-3290

lennell.jackson@exim.gov

Lennell C. Jackson

From: Lance Mathews
To: Lisa Terry

Subject: RE: Follow up re: Office of Ethics PII Holdings Questionnaire

Date: Friday, August 30, 2019 3:42:00 PM

Attachments: image001.png



Lance

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | <u>lance.mathews@exim.gov</u>



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From: Lisa Terry <Lisa.Terry@exim.gov> Sent: Friday, August 30, 2019 3:13 PM

To: Lance Mathews < Lance. Mathews@exim.gov>

Cc: Stacy Dawn <Stacy.Dawn@exim.gov>; EthicsAdvice <ethicsadvice@exim.gov>; Frank Willbright

<Frank.Willbright@exim.gov>; Lin Zhou <lin.zhou@exim.gov>

Subject: Re: Follow up re: Office of Ethics PII Holdings Questionnaire

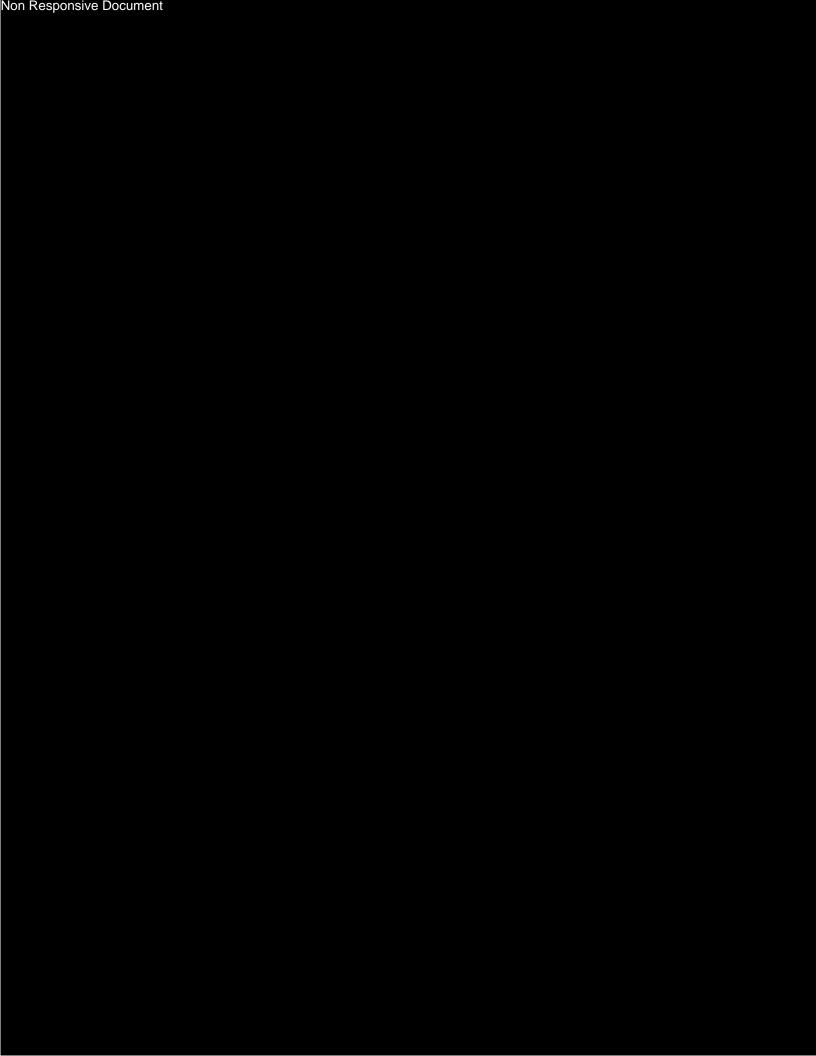
Lance,

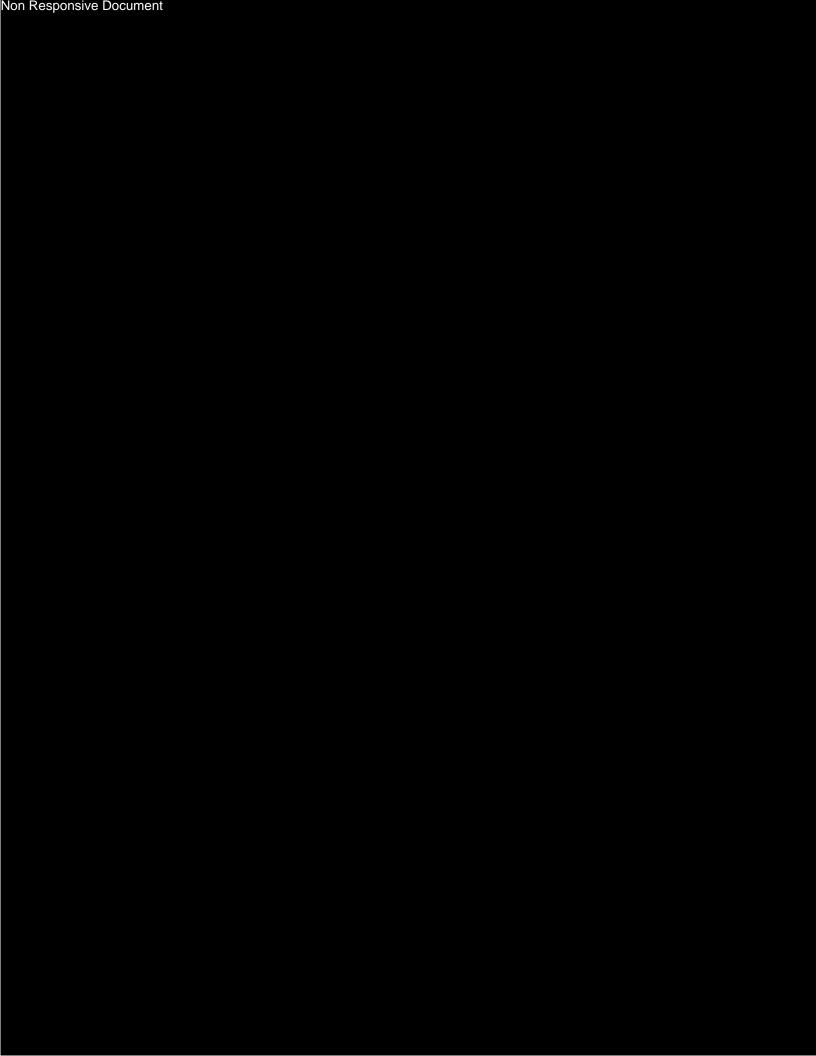
(b) (5)

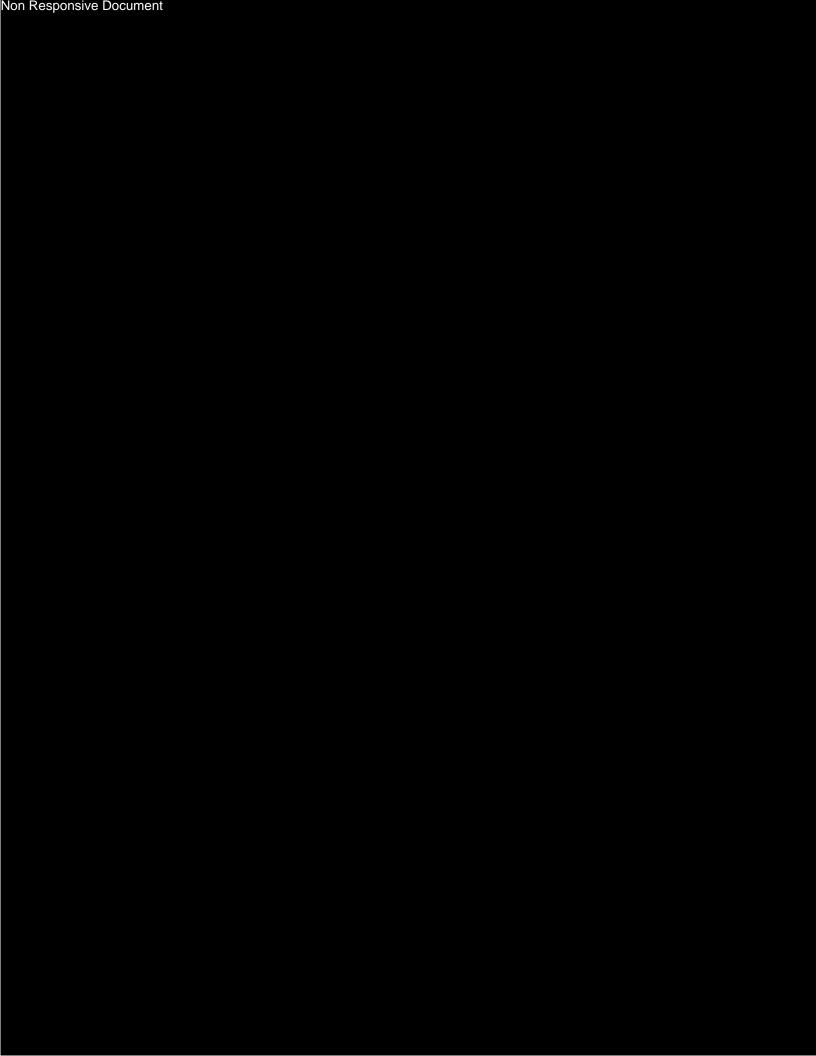
(b) (5)			
Thanks, Lisa			

Sent from my iPhone

Non Responsive Document







From: Lisa Terry
To: Gabrielle Guy
Subject: RE: Form 278 filers

Date: Monday, August 19, 2019 3:24:00 PM

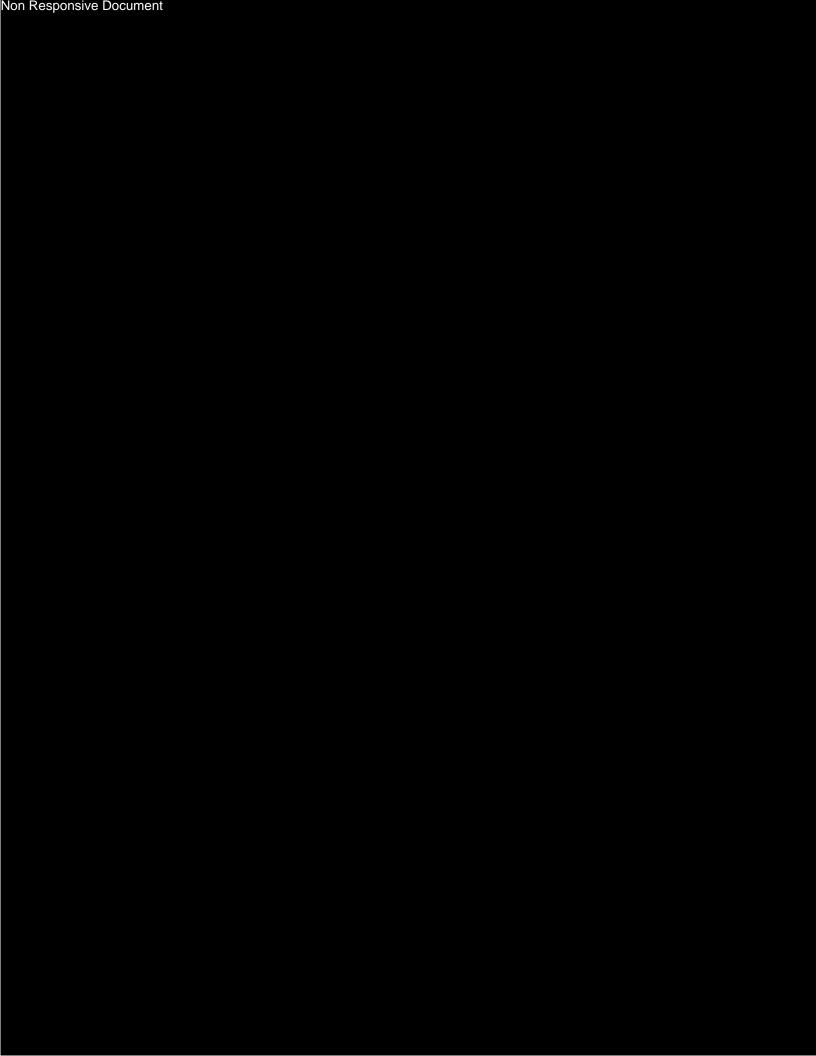
Attachments: <u>image001.png</u>

Hi Gabby, don't forget we have all the new political appointees, like Kim Reed, the board members, David Fogel, etc. About 10 have come in since May.

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>

Non Responsive Document



From: To: John Connor LaVon Thomas

Cc: Subject: Walter Hill; Lisa Terry RE: fraud risk strategy

Date:

Sunday, May 12, 2019 2:17:06 PM

Attachments:

image002.png

image003.png

Anti Fraud Strategy Draft 3.0 edits 4-29-19 - jgc 5-12-19.doc



John G. Connor
Assistant General Counsel for Litigation, Fraud and Compliance
Export-Import Bank of the United States
811 Vermont Ave. NW
Washington, D.C. 20571

E-mail: <u>John.Connor@exim.gov</u>
Telephone (Direct): 202-565-3815
Telephone (Mobile): 202-386-2941
<u>Twitter | LinkedIn | YouTube</u>



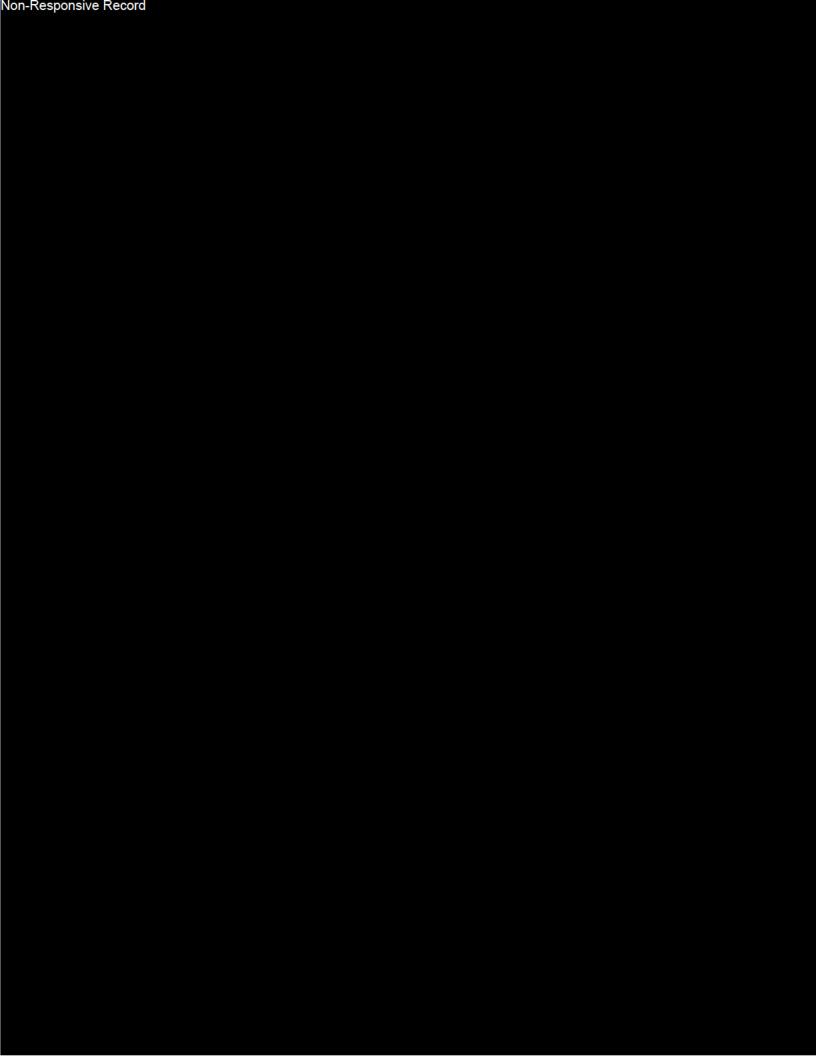
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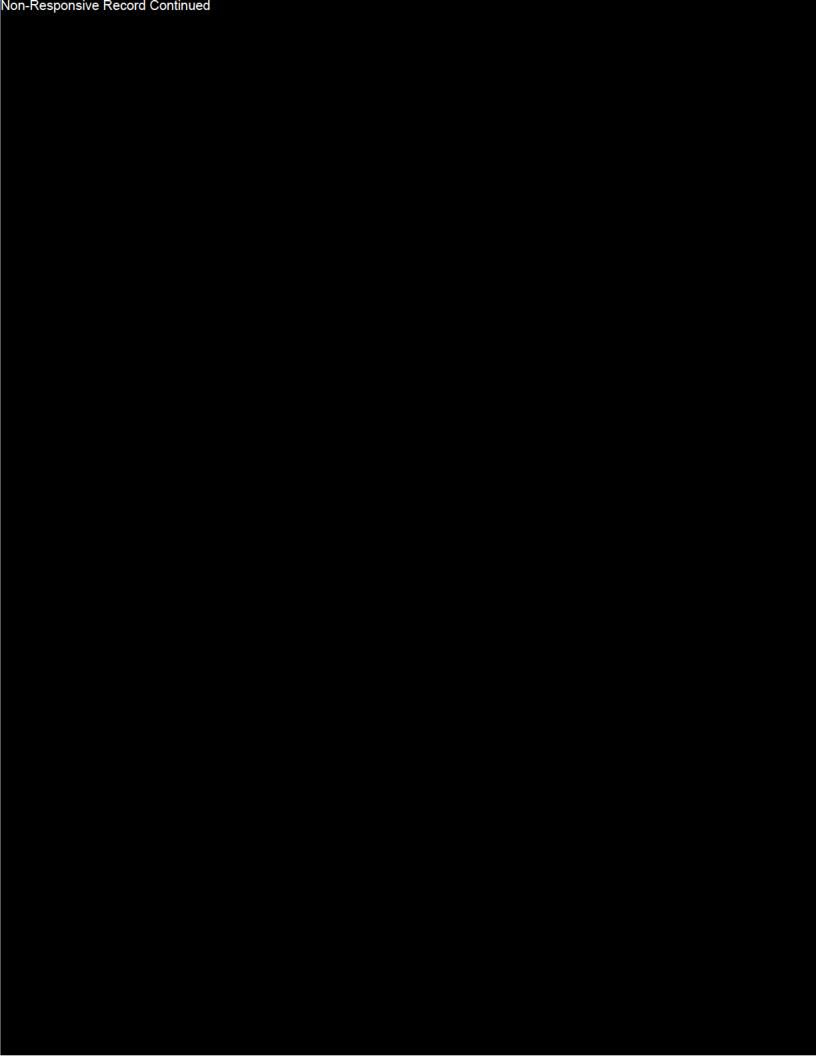
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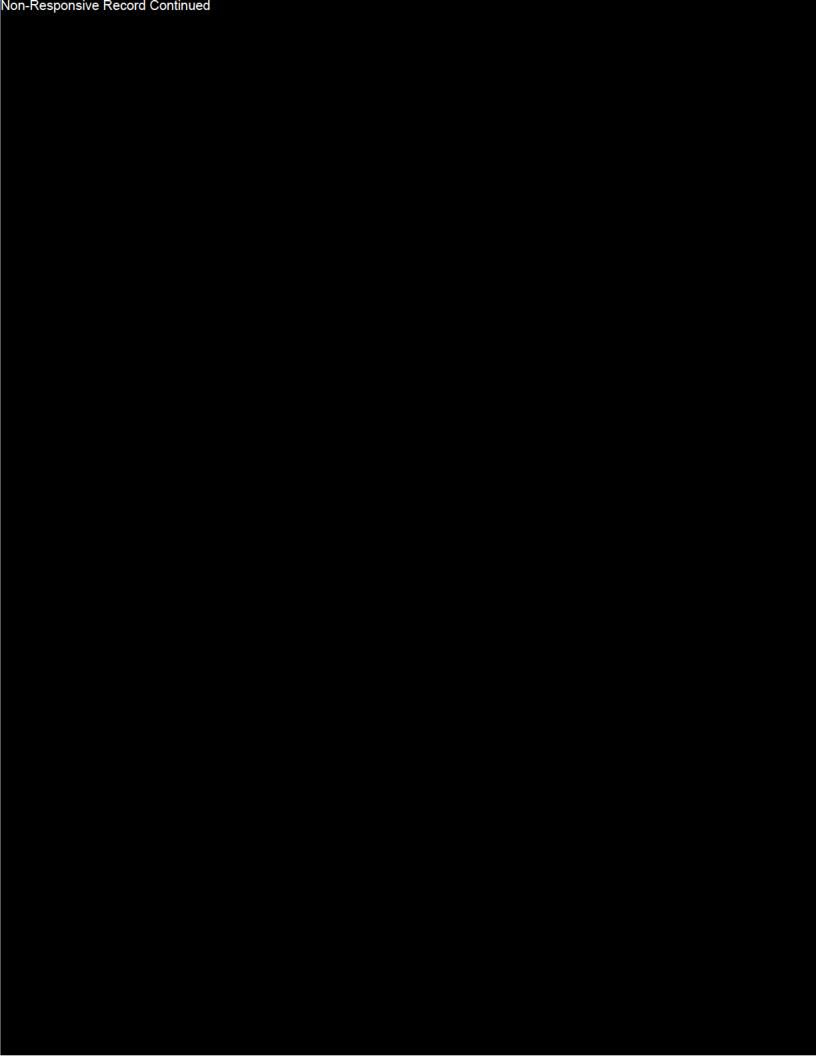
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IV. Roles and Responsibilities

Key Owners of Fraud Risk

All-The entire staff has an ongoing responsibility to ensure the efficient and effective use of public funds and assets. Specific responsibilities for controls addressing fraud control and and corruption risk within EXIM and in EXIM transactions are allocated to a range of separate areas of EXIM as detailed below.

PRESIDENT (and Chair) of EXIM

- As per EXIM's Charter, the President is the ultimate authority at EXIM on policy, procedures, guidelines, and management.
- The President is the key figure for setting the "tone at the top" regarding the Bank's fraud strategy.

Board of Directors

Key Activities of the Board of Directors:

- Share in the tone at the top (Per Chairas directed by the President of the Bank).
- · Understand Fraud Risks in transaction approval.
- Be Aambassadors for the Bank's Anti-fraud efforts.

Chief Risk Officer (CRO)

The position of the CRO is created by the EXIM Charter. The CRO oversees the design, implementation, and operating effectiveness of the Fraud Risk internal control systems for EXIM. The CRO is directly responsible for all activities related to FRM-Fraud Risk management, to include overseeing the strategic direction of the Fraud Risk strategy.

Key Activities of the CRO Related to Fraud Risk Management:

- Control Environment Establishes integrity and oversight structure, develops expectations of competence, and maintains accountability of all members of the oversight body and key stakeholders.
- Risk Assessment Oversees FRO's assessment of fraud risks to achieve agency's objectives as it relates to fraud risk managementeffectively manage Fraud Risk.
- Control Activities Provides oversight to FRO in the development and performance of fraud risk control activities.
- Information and Communication Analyzes and discusses information relating Interacts with Bank senior management regarding the Bank's to the entity's achievement of objectives of mitigating fraud risks with Bank senior management.
- Monitoring Ensures-Oversees the nature and scope of management's monitoring activities as well as management's evaluation and remediation of identified deficiencies_are met.

EXIM Committee: Enterprise Risk Committee (ERC)

The ERC is a Committee of the Bank consisting of the Senior Vice-Presidents of the Bank, with the CRO as chair. The purpose of the ERC is to make recommendations to the President of the Bank, and senior management, through the CRO, on issues related to financial, credit, legal, operational, reputational and other risk.

Commented [JC14]: This is not correct. Why are we talking about "efficient and effective use of public funds" instead of "fraud risk"? Staff has a responsibility to understand fraud risk in their areas.

Commented [JC15]: How? This is too vague.

Commented [JC16]: Try to use as few acronyms and as little jargon as possible. They complicate things for readers not acquainted with this area. If we do use acronyms, they must be defined someplace.

Commented [JC17]: Isn't this the Job of the Chief Ethics

Commented [JC18]: What oversight body. We can't just start using a term like this without explaining or defining it. Again, this document has to be readable.

l assume the "oversight body" is the ERC — so why don't we just say that?

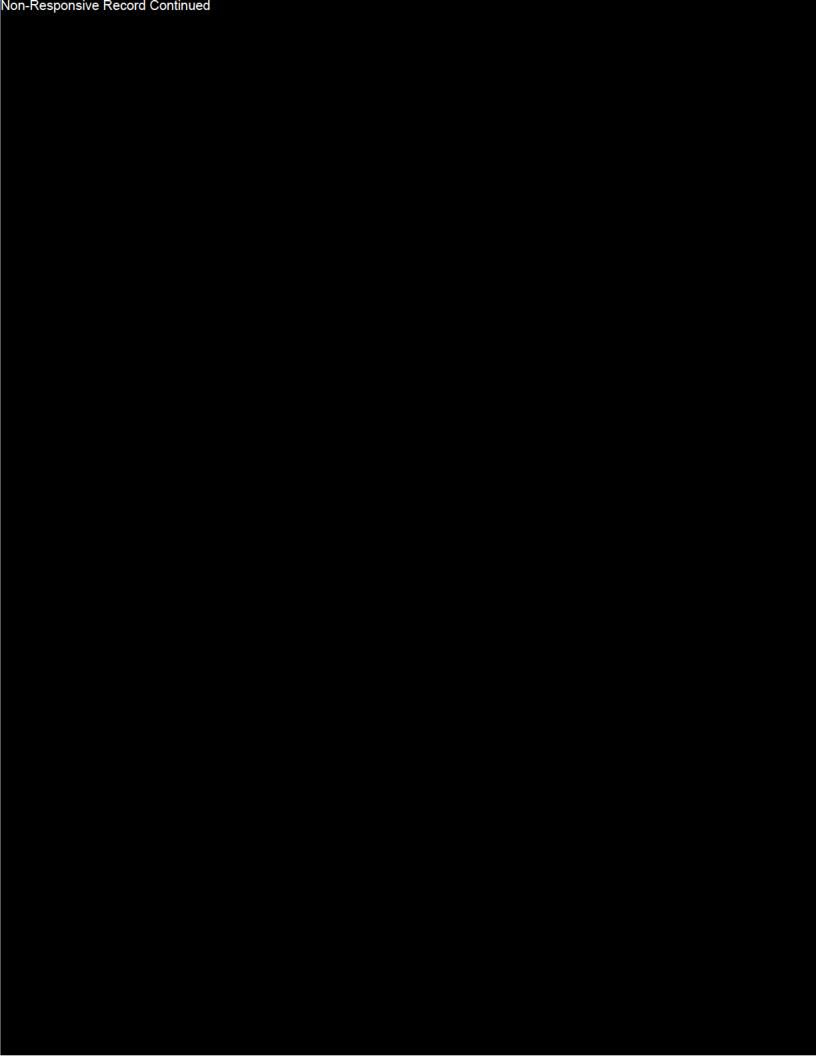
Additionally, how does (and how can) the CRO "maintain accountability of all members" of the ERC and key stakeholders?

Commented [JC19]: Again, we will be asked how this Strategy, or separately, the CRO does each of these things. How does the CRO develop "expectations of competence". We need to be careful not to say things that we cannot back-up.

Commented [JC20]: Why the "entity"?

Commented [JC21]: Something is weird with the formatting here. I am trying to insert a intro paragraph similar to what is above under CRO.

Formatted: No bullets or numbering



From: John Connor
To: Walter Hill; Lisa Terry

Subject: RE: GAO - Anti Fraud Strategy Draft Date: Tuesday, April 16, 2019 4:17:52 PM

Attachments: image002.png image003.png

Anti Fraud Strategy Draft 2.0.kt wbh 04092019 - jgc 4-14-19.doc



John G. Connor
Assistant General Counsel for Litigation, Fraud and Compliance
Export-Import Bank of the United States
811 Vermont Ave. NW
Washington, D.C. 20571

E-mail: <u>John.Connor@exim.gov</u>
Telephone (Direct): 202-565-3815
Telephone (Mobile): 202-386-2941
<u>Twitter | LinkedIn | YouTube</u>

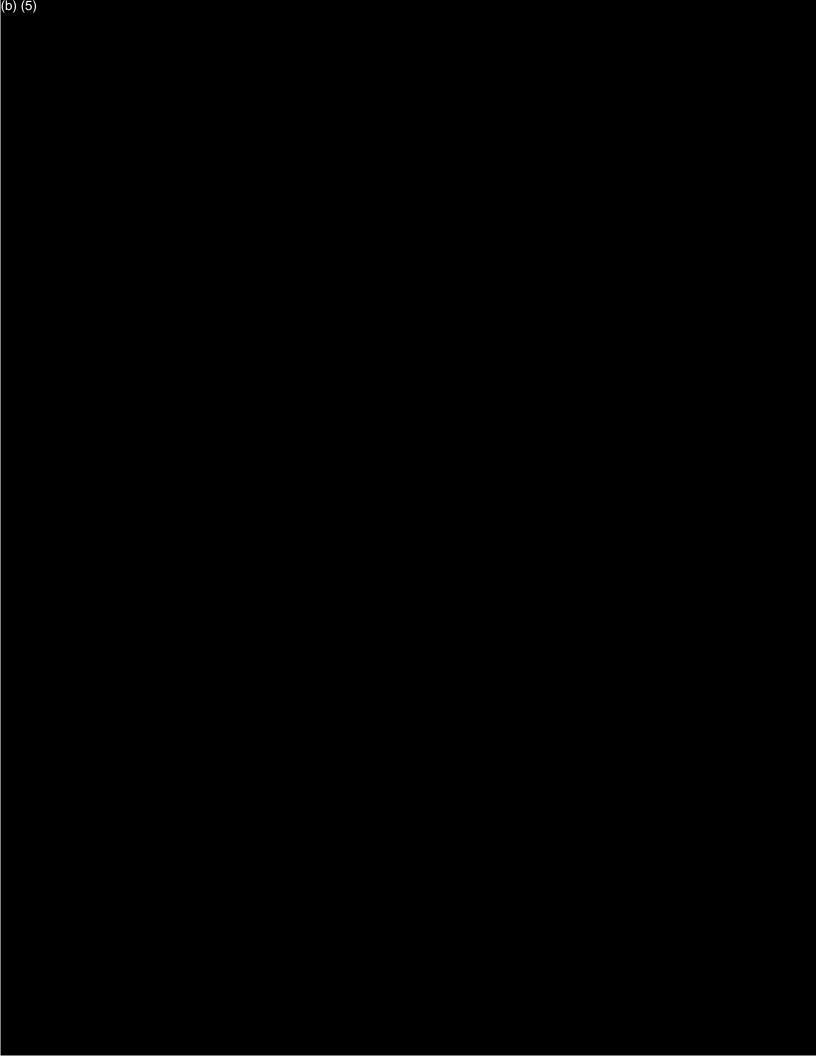


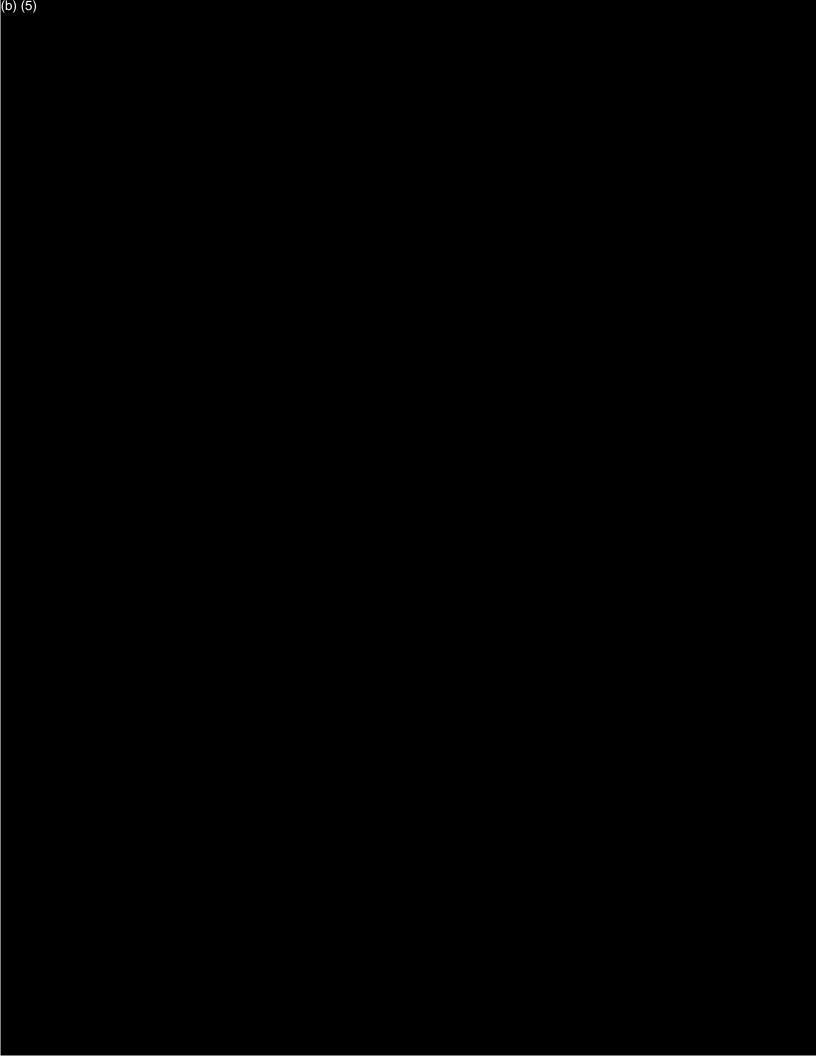
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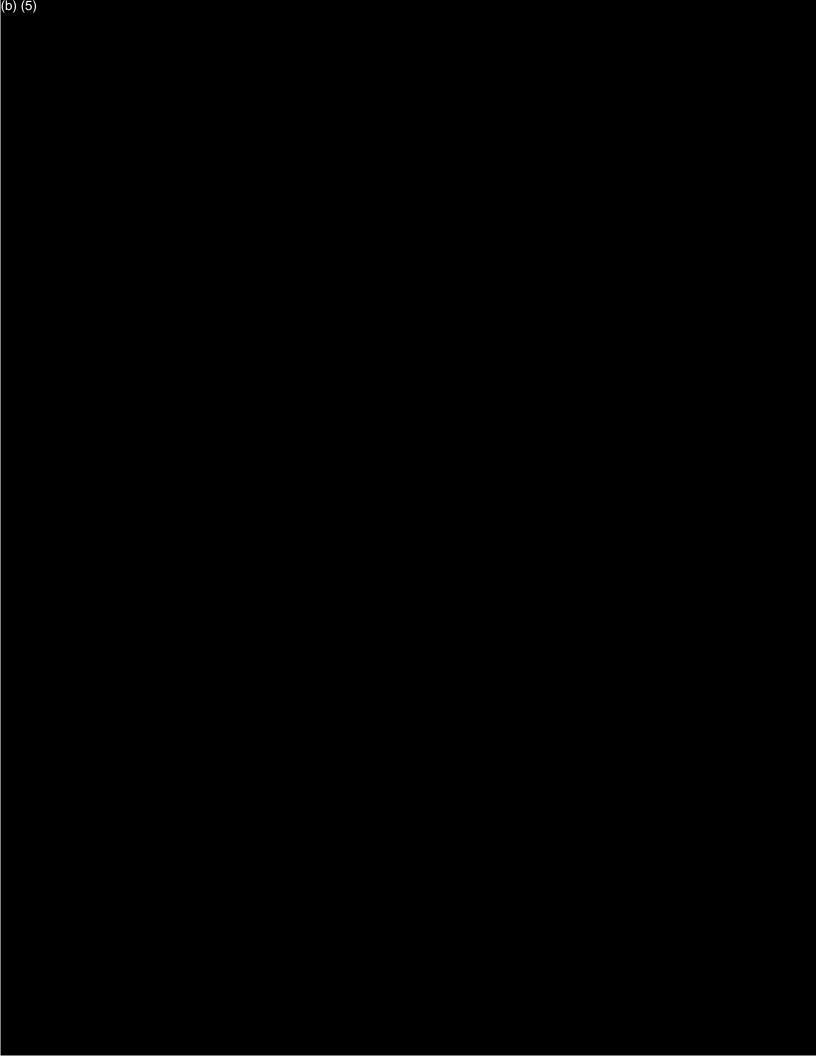
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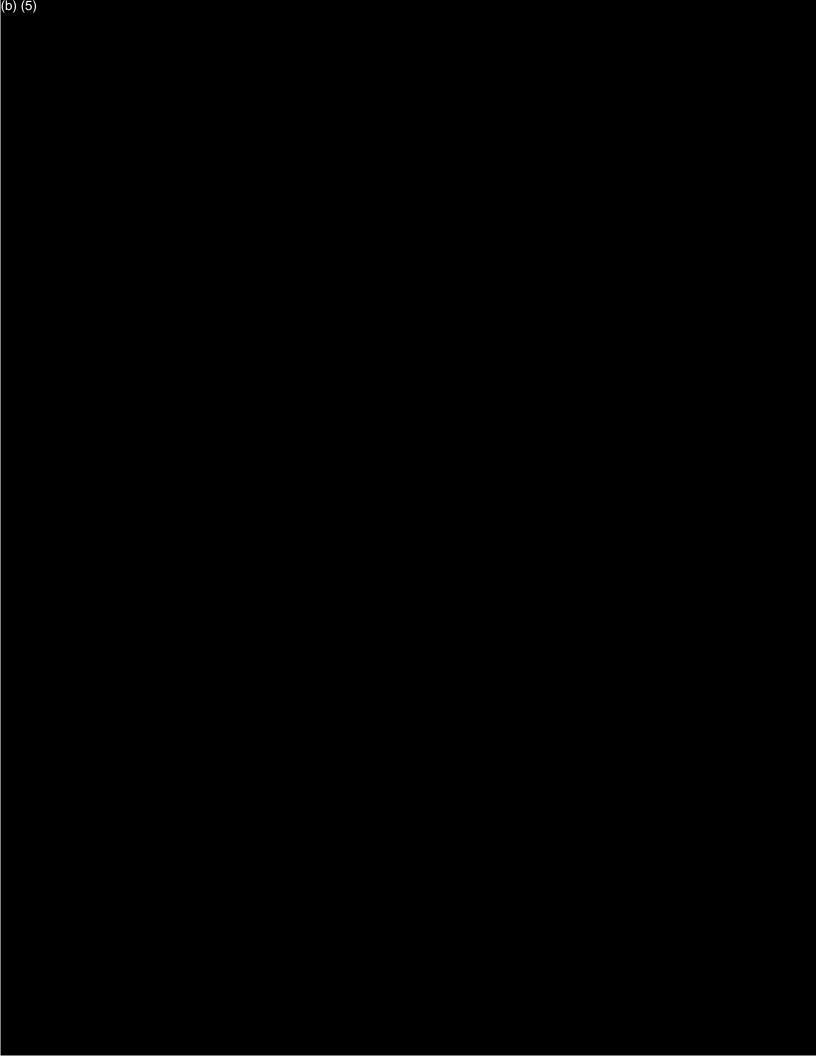
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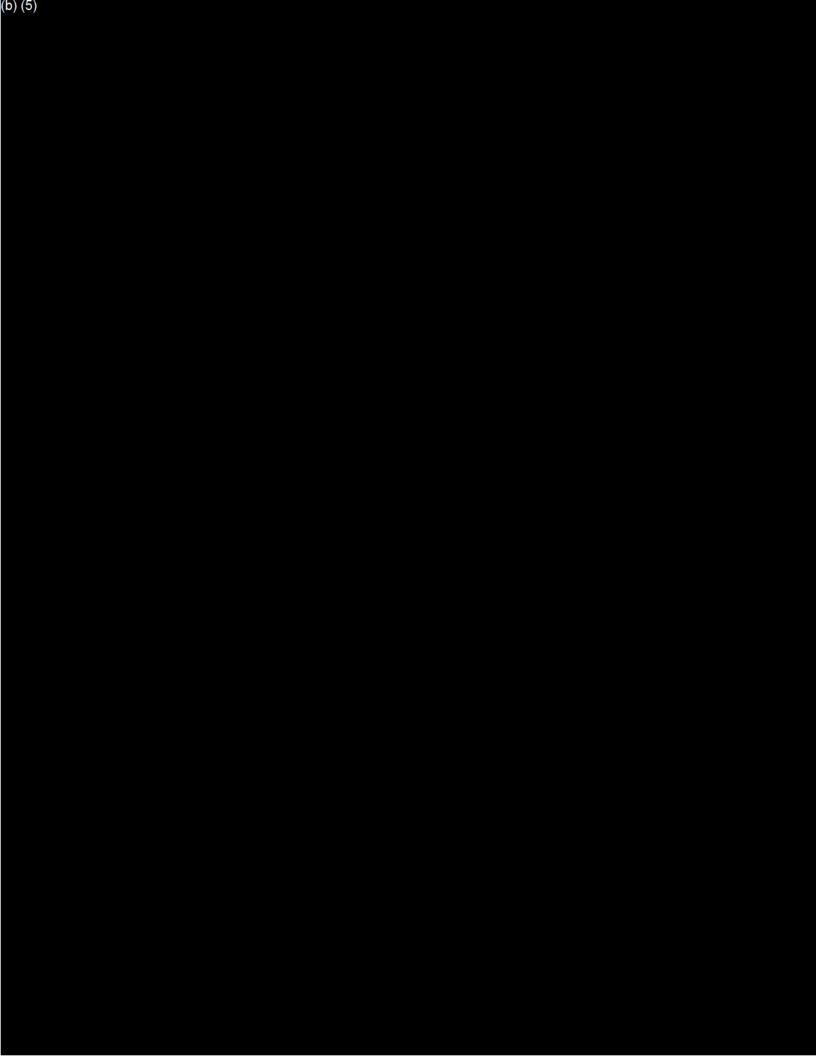


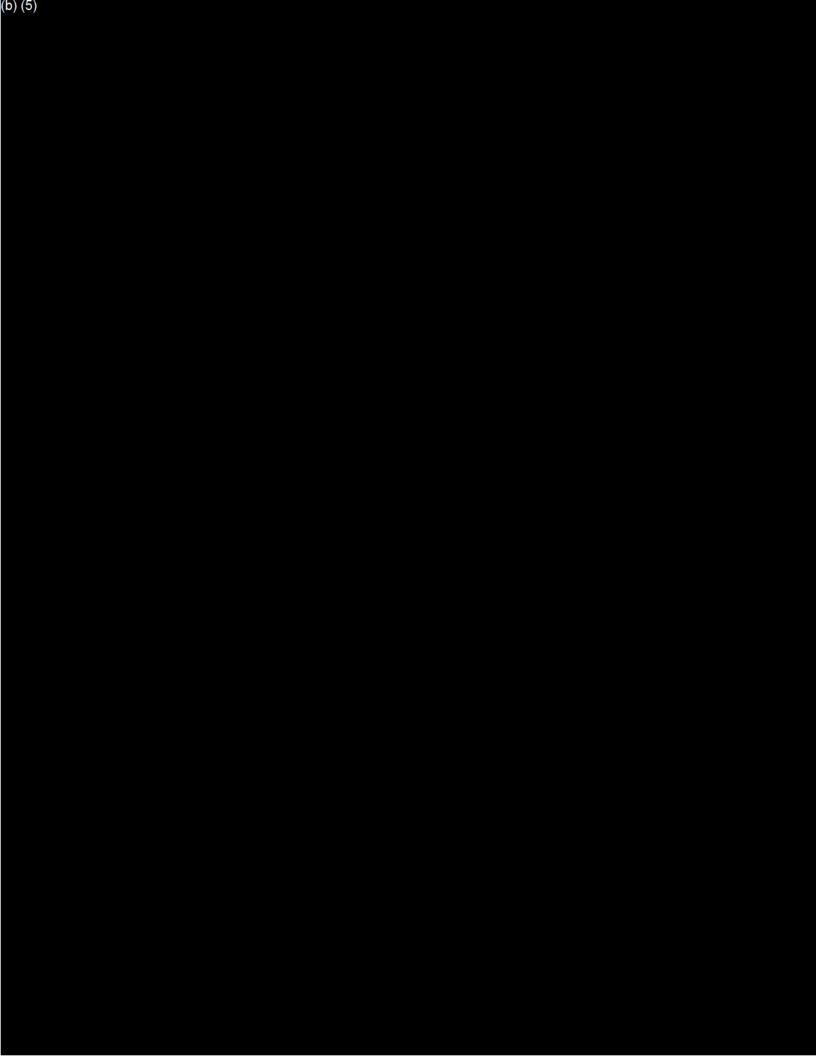


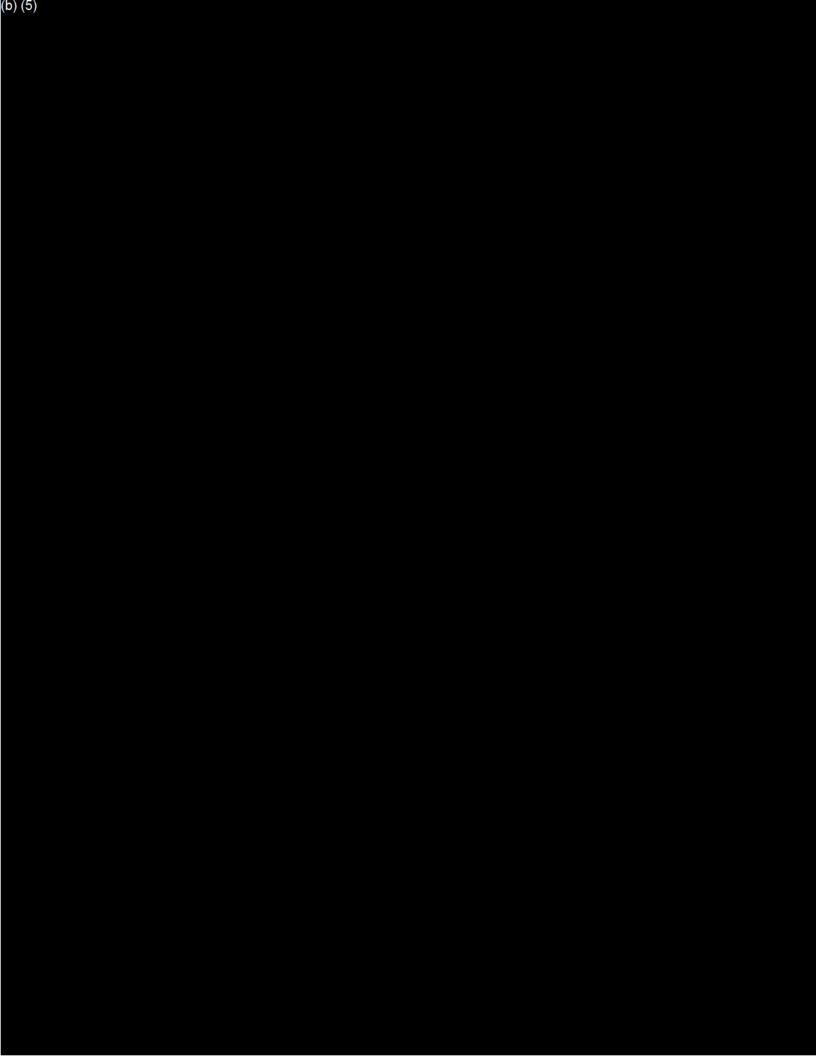


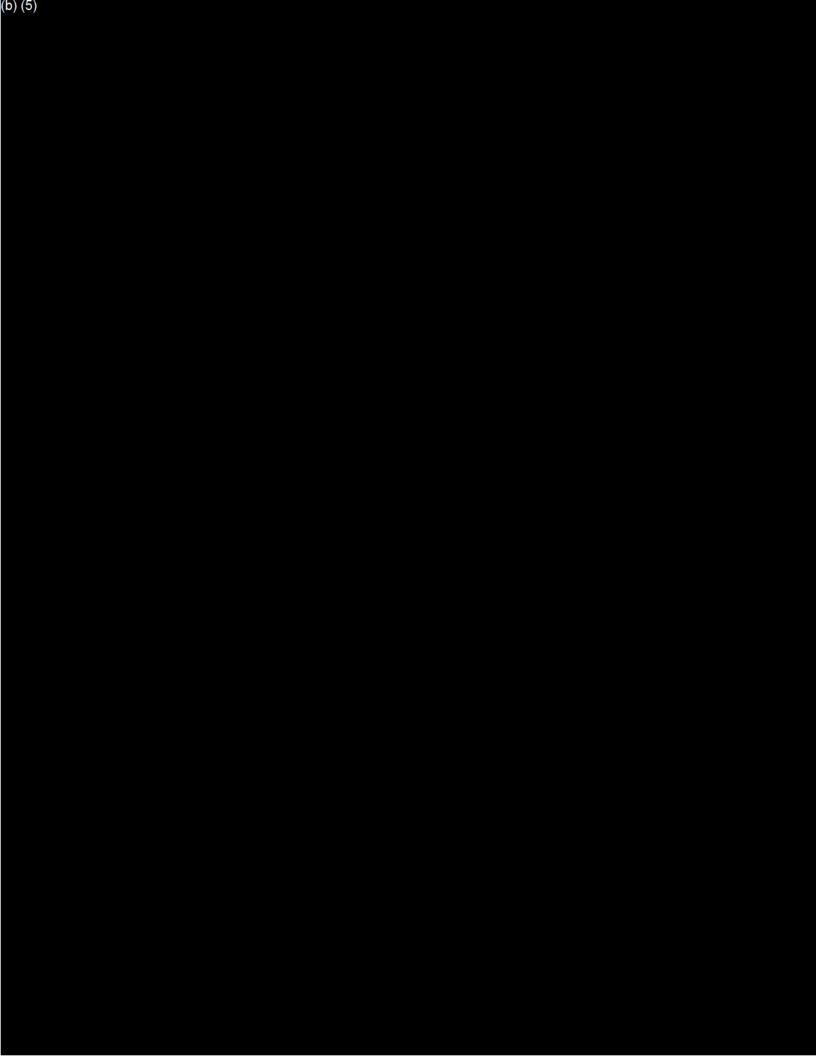


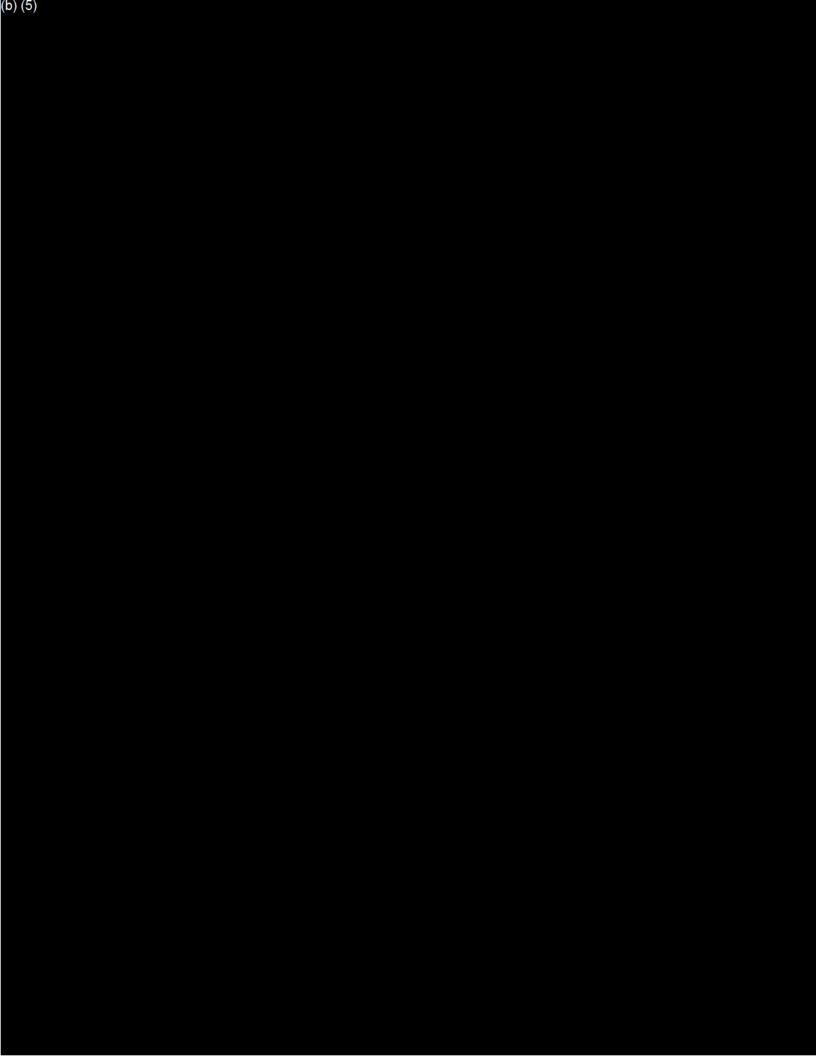


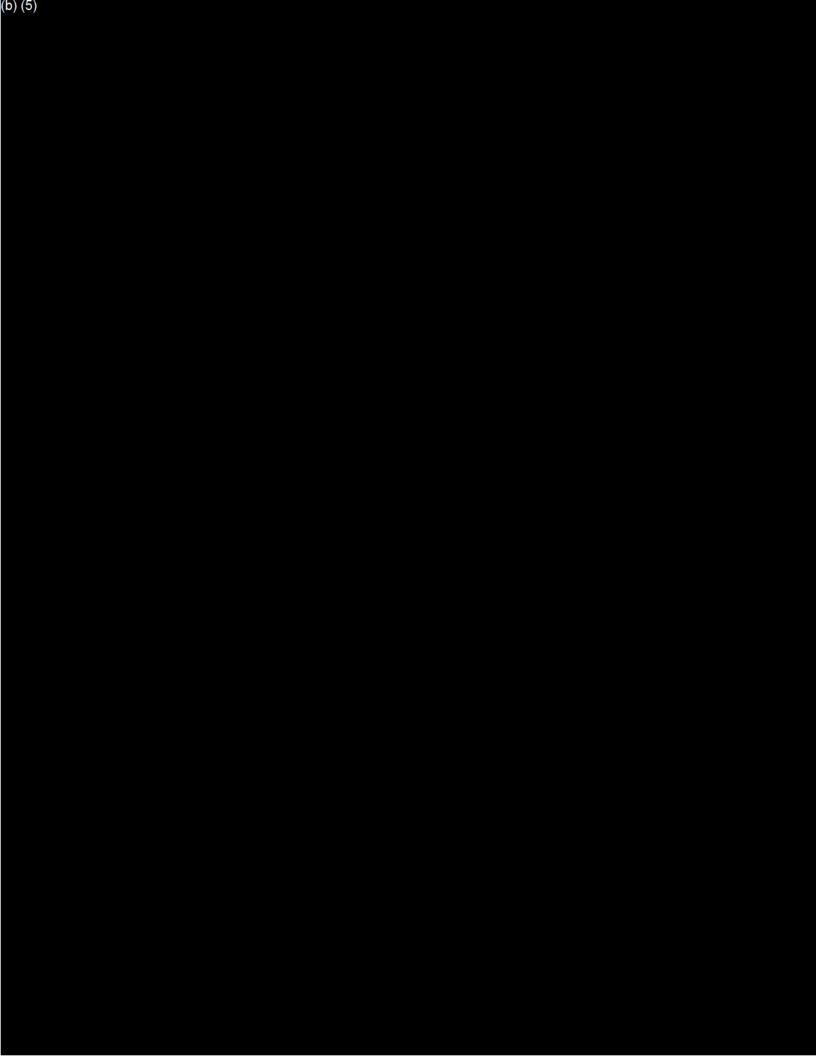


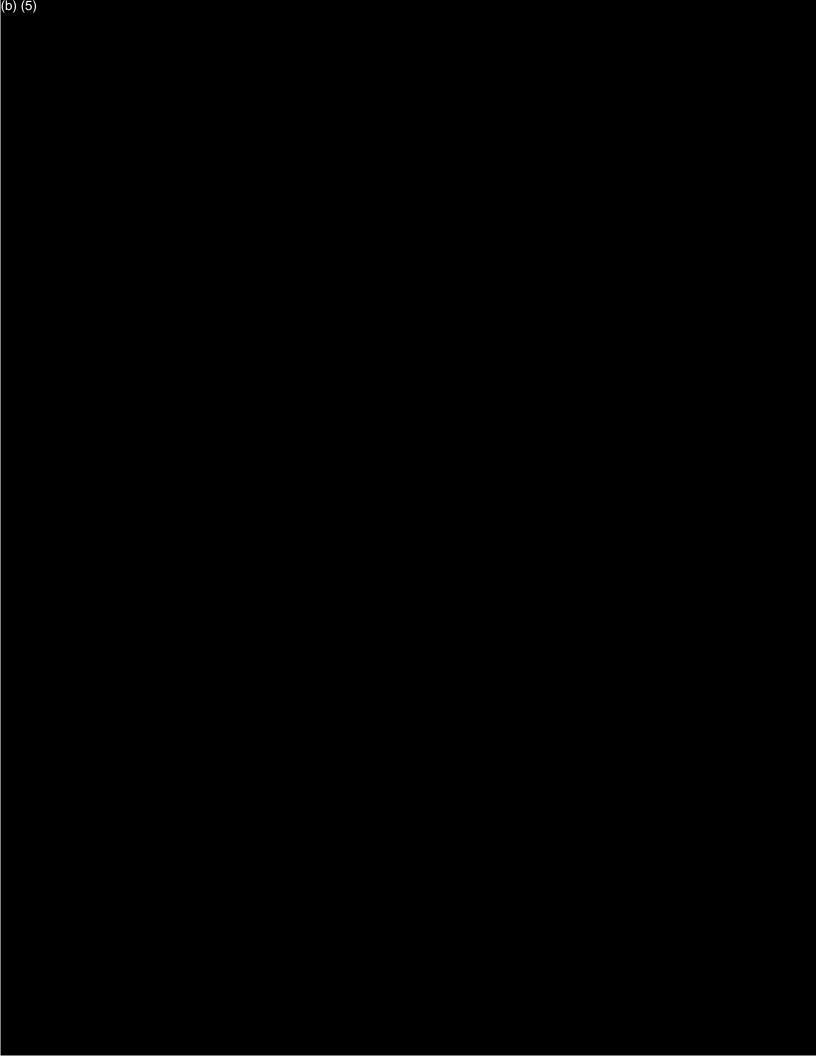


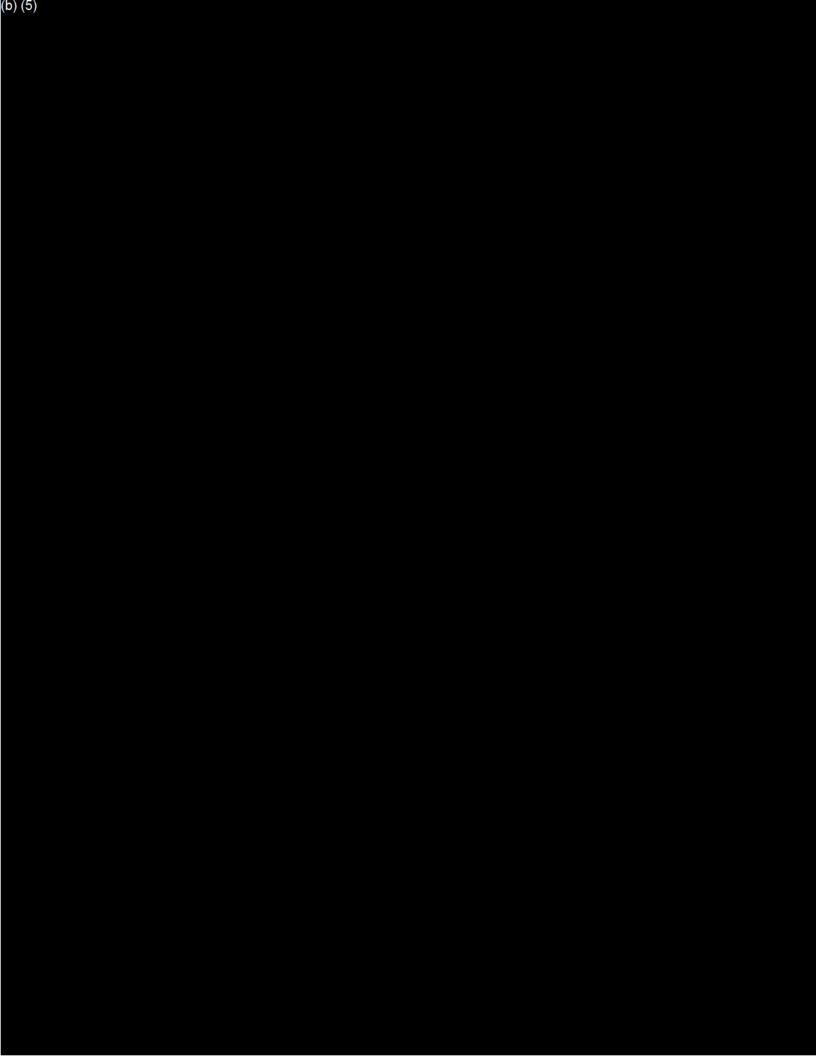


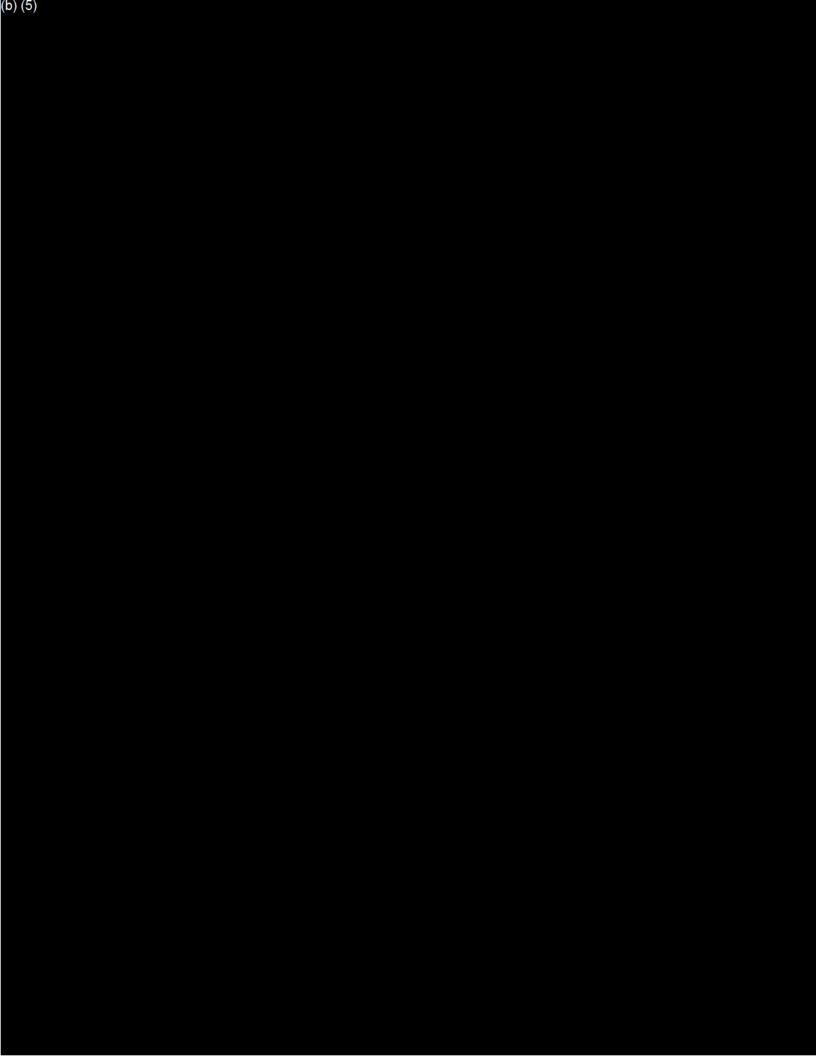


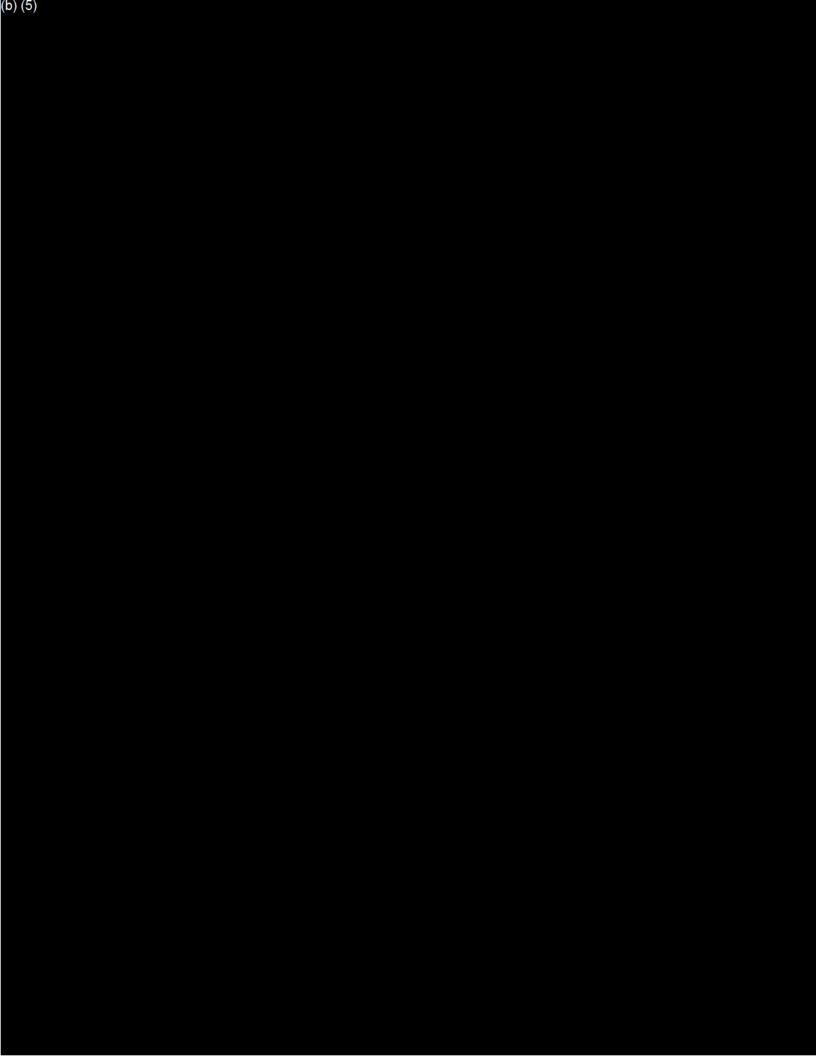


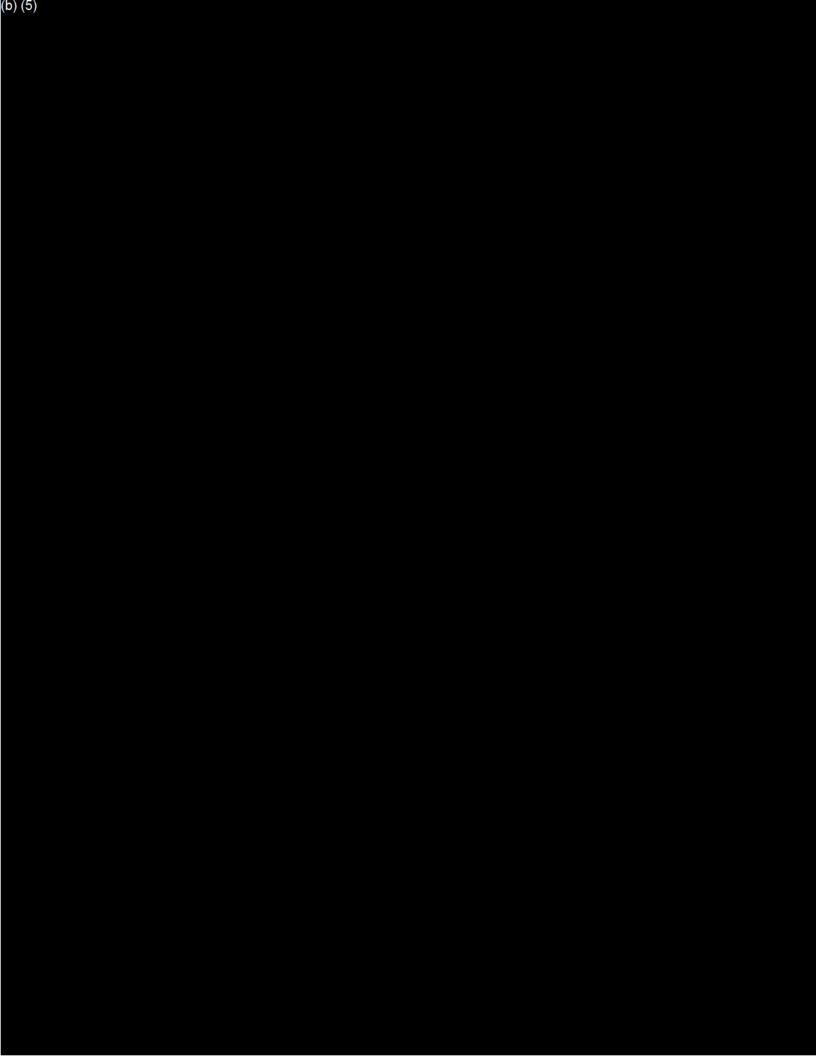


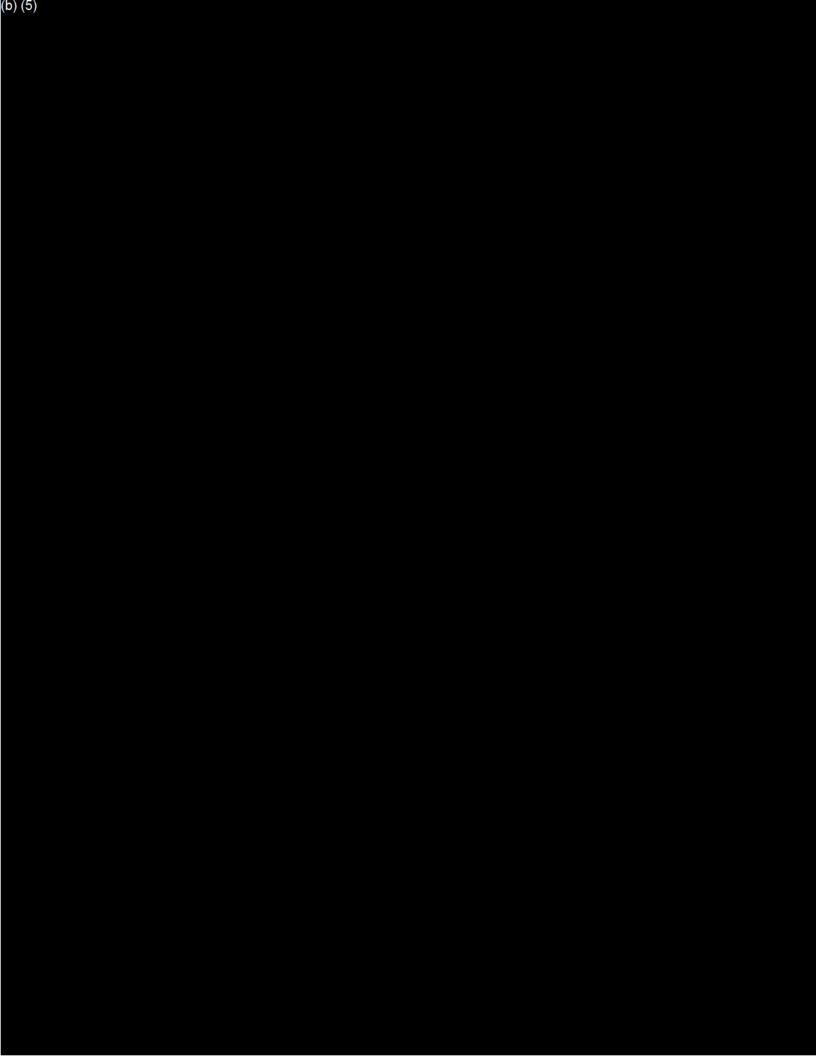


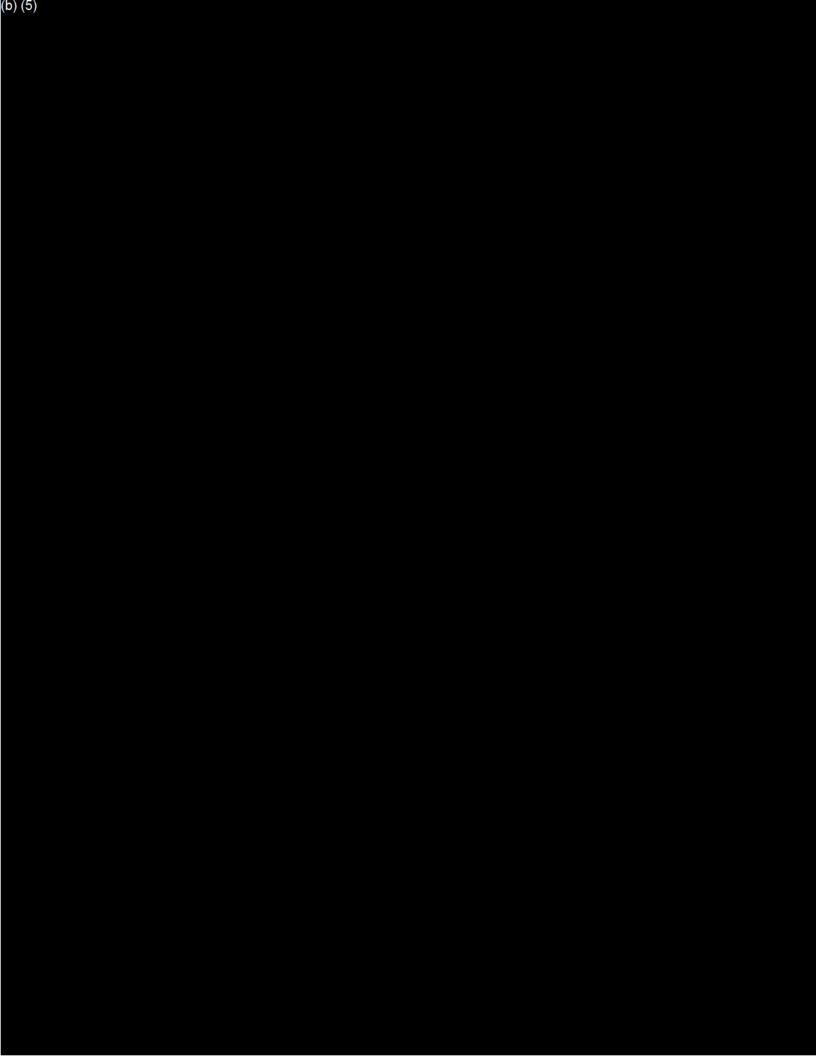


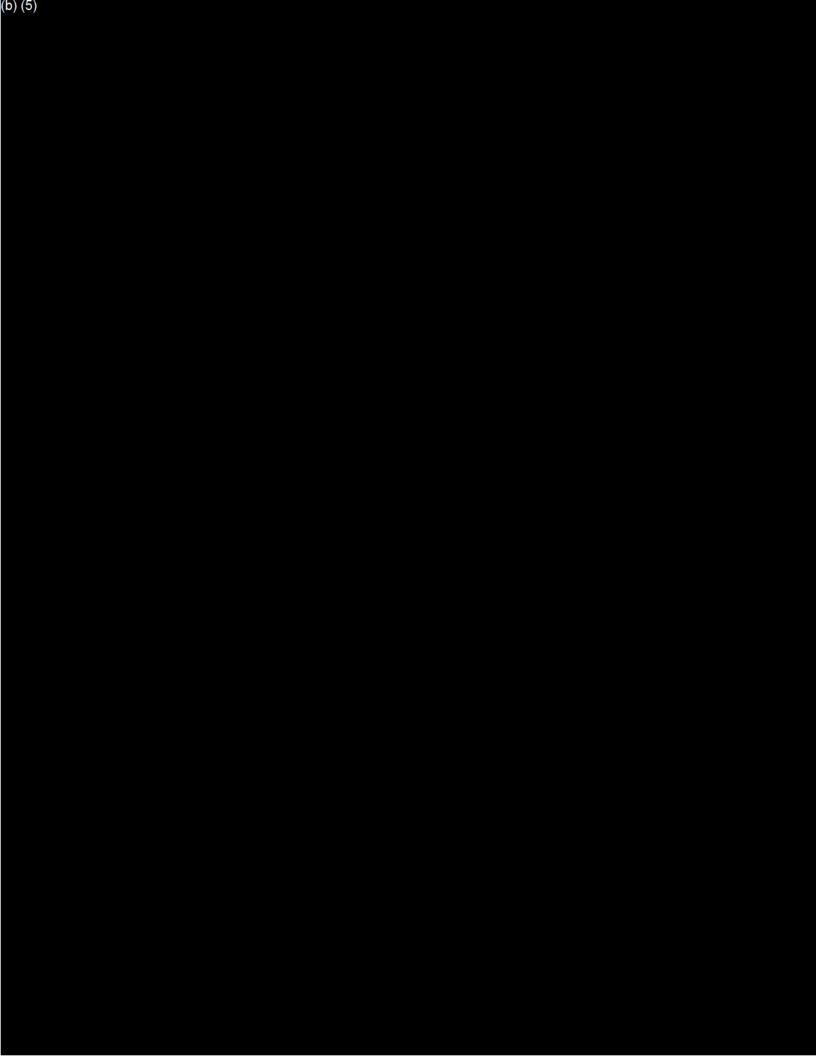












From: Lisa Terry

To: peter.coniglio@gsaig.gov

Subject: RE: Good news!

Date: Thursday, September 5, 2019 12:05:00 PM

Attachments: <u>image001.png</u>

Indeed, it is good news!

You won't have to sign the agreement until after the President formally nominates you. At that point, you will need to re-sign your financial disclosure report and the agreement fairly quickly. The final draft agreement will come from me.

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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From: "Lisa Terry" < <u>Lisa.Terry@exim.gov</u>>

To: "peter.coniglio@gsaig.gov" <peter.coniglio@gsaig.gov>

Date: 09/05/2019 11:35 AM Subject: Good news!

I have just learned from OGE that you have been pre-cleared! Your paperwork is being sent to the White House. Hopefully things will move quickly from here.

Best regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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From: Lisa.Terry@exim.gov
To: Sierra Robinson

Subject: Re: Hatch Act and training

Date: Friday, July 5, 2019 3:32:21 PM

Hi Sierra,

I'm not in the office today but am around all next week. I am planning to brief the Board and all the political appointees on the Hatch Act in the next couple of weeks in one or two group sessions.

Have a great weekend. Best, Lisa

Sent from my iPhone

On Jul 5, 2019, at 10:05 AM, Sierra Robinson < Sierra. Robinson@exim.gov> wrote:

Hi Lisa!

I hope you had a wonderful 4th of July. I'm not sure if you're in the office today or not, but I know that there were other items you'd wanted to brief me on. Specifically, I know you'd wanted to talk to me more about the Hatch Act and I suspect there may be other items as well. Please let me know whenever you want to go ahead and schedule those conversations. I am in the office today and for the foreseeable future.

All the best, Sierra

Sierra Robinson

Vice President of Senate Affairs
Export-Import Bank of the United States

(202) 731-4135

From: Sierra Robinson

To: Lisa Terry; Lance Mathews

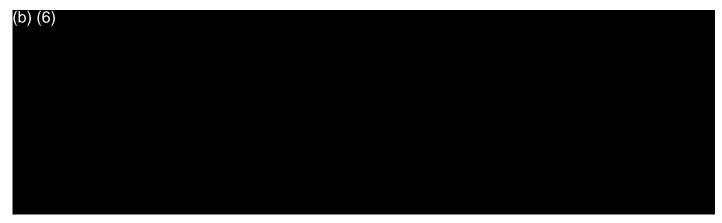
Cc: **EthicsAdvice** Subject: **RE: Invitation**

Date: Tuesday, October 22, 2019 10:10:21 AM

Attachments:

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Thanks, Lisa!



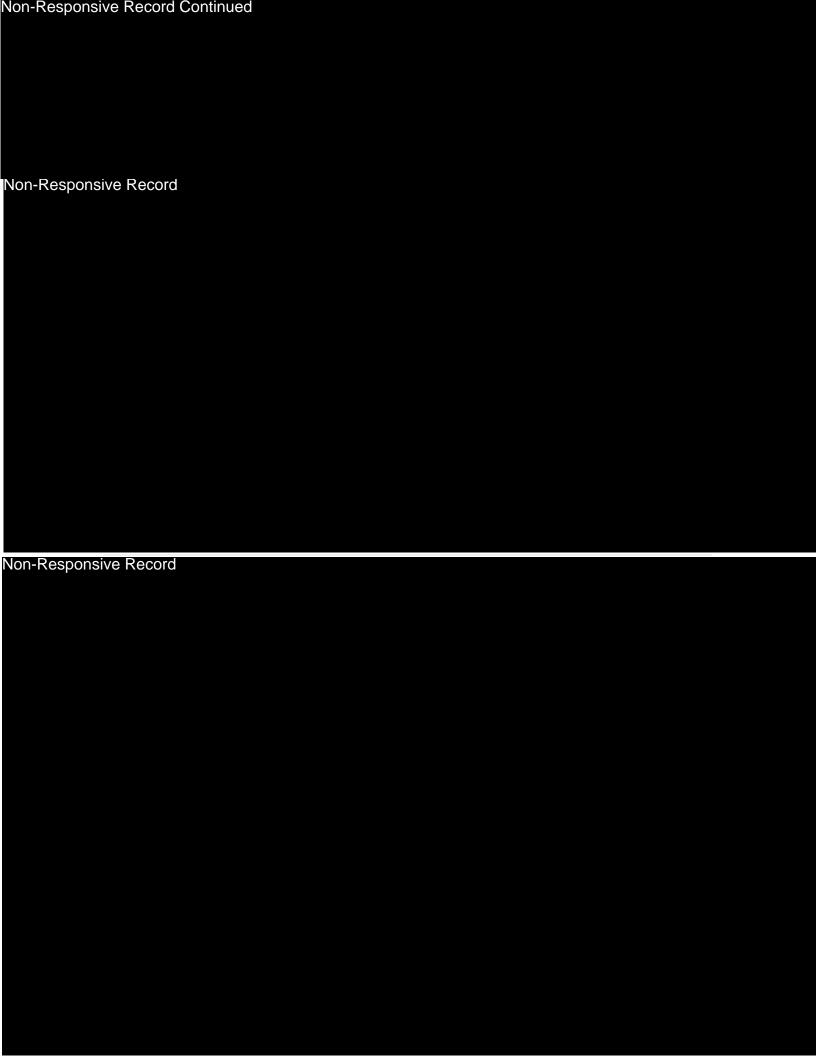
Is that helpful?

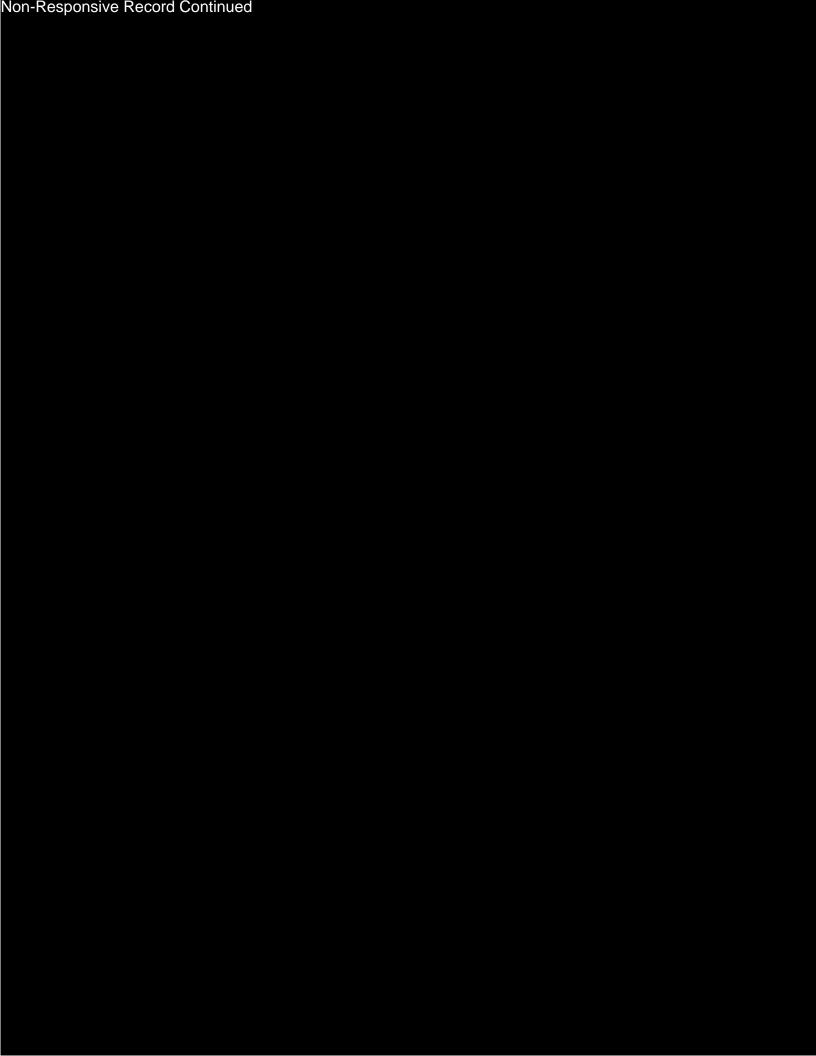
Sierra

Sierra Robinson

(202) 731-4135

Non-Responsive Record





To: <u>Kimberly Manecke</u>

Cc: Coco Stephens; Jennifer Porter; EthicsAdvice

Subject: RE: Invitation: U.S. International Development Finance Corporation Reception | October 16, 2019

Date: Monday, October 7, 2019 2:16:03 PM

Attachments: image002.png

image003.png

Kimberly -





If you have additional questions or concerns, please do not hesitate to contact me for further information.

Regards, Lance

From: Kimberly Manecke < Kimberly. Manecke@exim.gov>

Sent: Monday, October 7, 2019 12:46 PM

To: Lance Mathews < Lance. Mathews@exim.gov>

Cc: Coco Stephens < Coco. Stephens@exim.gov>; Jennifer Porter < Jennifer.Porter@exim.gov>

Subject: FW: Invitation: U.S. International Development Finance Corporation Reception | October 16, 2019

Hi Lance -

I may have asked Lisa during the transition, but I wanted to double-check/confirm that it is permissible for Chairman Reed to attend this event. I believe it's a WAG but I defer to your expertise. Any information would be helpful.

Thank you!

All the best,

Kimberly

Kimberly M. Manecke Director of Scheduling | Office of Chairman Kimberly A. Reed

Export-Import Bank of the United States 811 Vermont Avenue, NW | Washington, DC 20571 Direct: 202-565-3519 | Cell: 202-893-4381

Twitter | LinkedIn | YouTube



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From: DFCevent < DFCevent@meridian.org>
Sent: Tuesday, September 3, 2019 4:25 PM
To: Kimberly Reed < Kimberly.Reed@exim.gov>
Cc: Debbie Andrews < Debbie.Andrews@exim.gov>

Subject: Invitation: U.S. International Development Finance Corporation Reception | October 16,

2019

[EXTERNAL E-MAIL]

Dear Ms. Reed,

The U.S. International Development Finance Corporation and Meridian International Center are pleased to cordially invite you to attend a celebratory reception, which will take place at Meridian House in Washington, DC on the evening of Wednesday, October 16.

Please RSVP to <u>DFCevent@meridian.org</u>.





CORDIALLY INVITE YOU TO A

Reception to celebrate the U.S. International Development Finance Corporation

with remarks by
OPIC Acting President and CEO David Bohigian,
Members of Congress, Administration Officials

Wednesday, October 16, 2019 | 6:00-9:00 PM

Meridian House

1630 Crescent Place, NW

Washington, DC 20009

FOR MORE INFORMATION, PLEASE CONTACT FRANK JUSTICE AT DFCEVENT@MERIDIAN.ORG OR (202) 939-5583.

To: <u>Stacy Dawn</u>

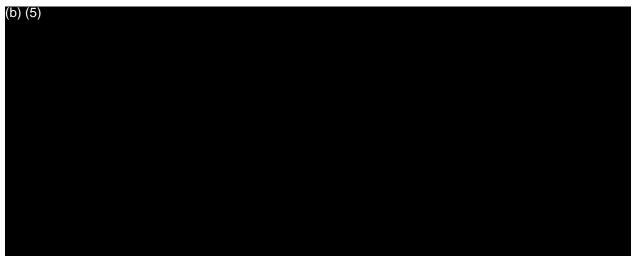
Cc: <u>Howard Spira</u>; <u>EthicsAdvice</u>; <u>Lisa Terry</u>

Subject: RE: INVITE: Sept 4 Israel Cyber Reception w/Yigal Unna

Date: Monday, August 26, 2019 3:19:23 PM

Stacy -





If you have additional questions, please do not hesitate to contact OOE for further information.

Regards, Lance

From: Stacy Dawn <Stacy.Dawn@exim.gov>
Sent: Monday, August 26, 2019 10:51 AM
To: EthicsAdvice <ethicsadvice@exim.gov>
Cc: Howard Spira <Howard.Spira@exim.gov>

Subject: FW: INVITE: Sept 4 Israel Cyber Reception w/Yigal Unna

Hi EthicsAdvice,

May I accept this invitation for an after work event?

Thank you, -stacy

Stacy Dawn | Chief Information Security Officer (CISO) & Chief Privacy Officer (CPO)

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 1040 | Washington, DC 20571
Tel 202-565-3826 | Mobile 202-731-7326 | stacy.dawn@exim.gov
Twitter | LinkedIn | YouTube | Facebook



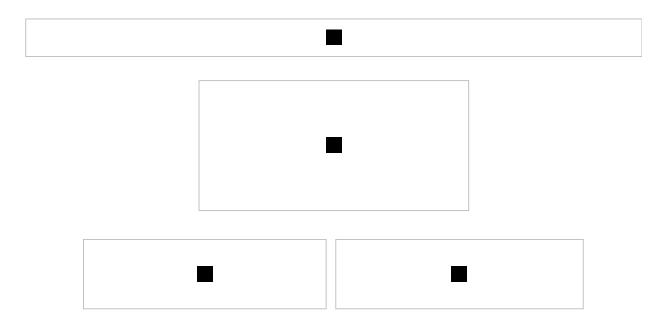
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From: U.S. Chamber of Commerce < lclark@uschamber.com>

Sent: Monday, August 26, 2019 10:14 AM **To:** Stacy Dawn < Stacy.Dawn@exim.gov >

Subject: INVITE: Sept 4 Israel Cyber Reception w/Yigal Unna

[EXTERNAL E-MAIL]



Dear Colleague:

The U.S. Chamber of Commerce would like to invite you to a cybersecurity cocktail and networking reception for cybersecurity professionals from the Virginia, Maryland and Washington areas that the U.S. Chamber of Commerce and the Israeli Government are hosting. It's in Washington, DC at the Marriott Courtyard/DC Convention Center from 5:30-7:30pm on September 4th.

The featured speaker will be **Mr. Yigal Unna**, head of the Israeli National Cyber Directorate (INCD).

You are Cordially Invited to the

Israel Cyber Innovation Reception

featuring

Mr. Yigal Unna

Director General Israel National Cyber Directorate

> Wednesday, September 4 5:30-7:30 PM

Courtyard by Marriott Convention Center
901 L Street, NW
Washington, DC
(Corner of L and 9th)

Please join the U.S. Chamber of Commerce, the Economic and Trade Mission at the Embassy of Israel, and the Israel National Cyber Directorate for an evening of drinks, hors d'oeuvres, and networking with cyber professionals from the public and private sectors, including the Israeli cyber innovation delegation to the Tenth Annual Billington Cybersecurity Summit.

Full list of the Israeli delegation available <u>here</u>

Non-Responsive Record Continued		

Lisa Terry From: Natalie McGarry Claudia Slacik Cc:

RE: January^J 2019 Senate Banking Committee Financials -revised Subject:

Date: Monday, March 4, 2019 3:43:35 PM

Attachments:

Natalie,

Here is the Questionnaire which I have renamed "MASTER" so we don't lose track of the right draft. (b) (5)

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Natalie McGarry

Sent: Monday, March 04, 2019 2:06 PM

To: Lisa Terry Cc: Claudia Slacik

Subject: Re: January^J 2019 Senate Banking Committee Financials -revised

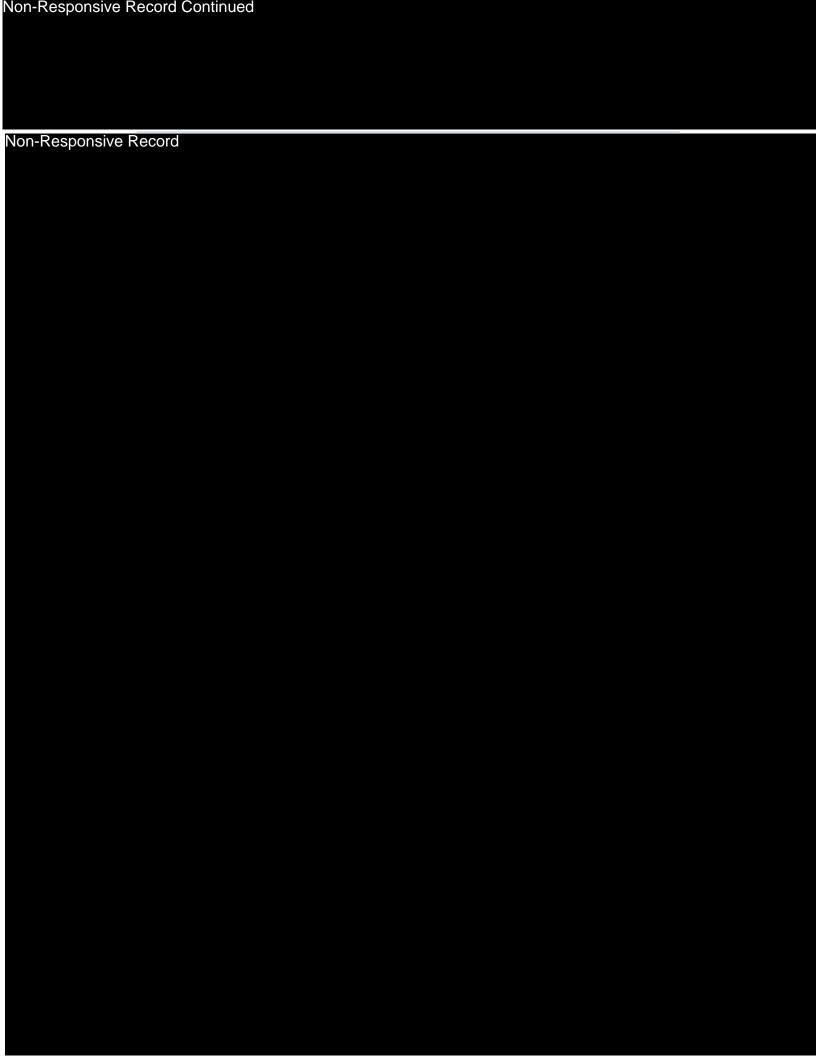
Lisa, does this have your sign off!

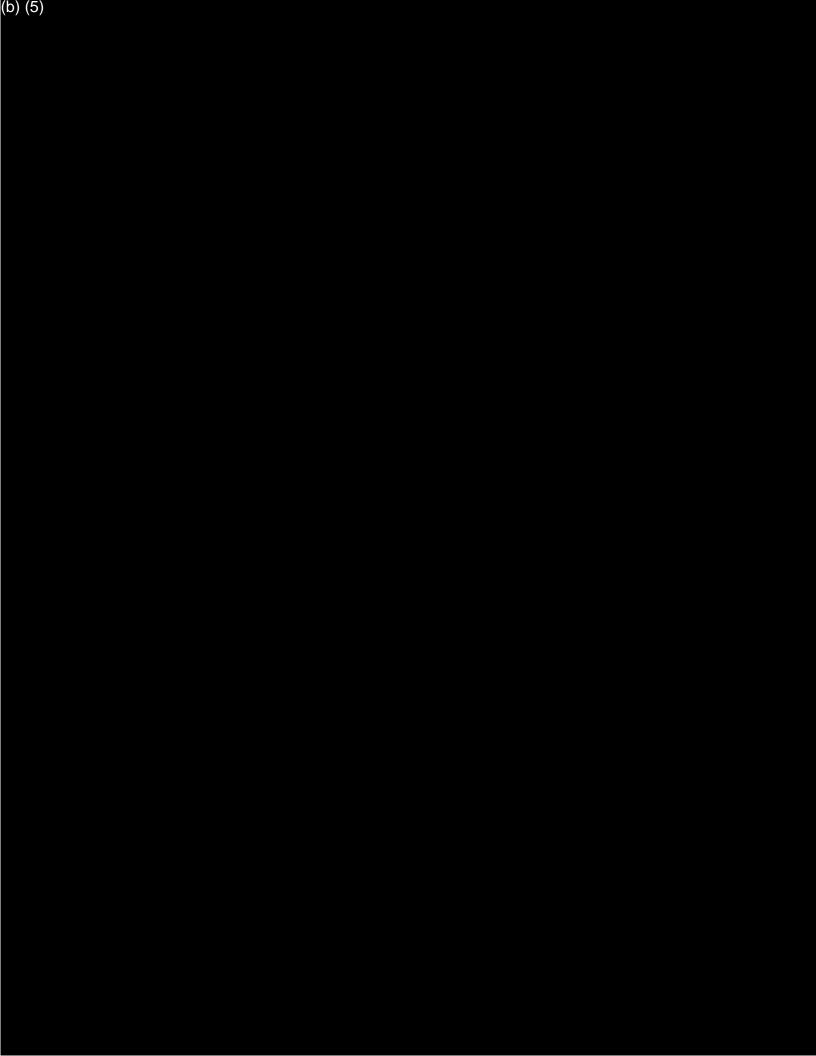
(b) (5)

Natalie

Sent from my iPhone

Non-Responsive Record





From: Lisa Terry
To: "Kimberly Reed"
Cc: Natalie McGarry

Subject: RE: just checking in re: Updated Draft 2019 Senate application for your review

Date: Wednesday, January 23, 2019 10:10:27 AM

Attachments: image001.png

Hi Kim,



Best, Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Kimberly Reed(b) (6)

Sent: Wednesday, January 23, 2019 12:13 AM

To: Lisa Terry Cc: Natalie McGarry

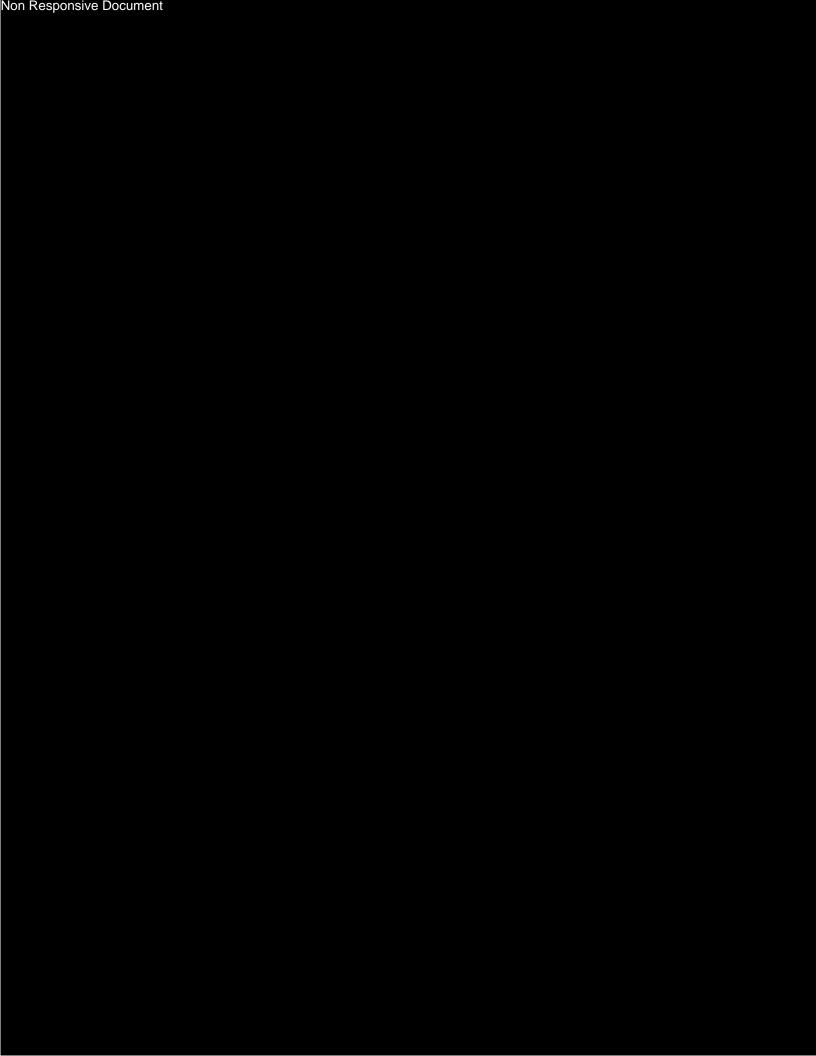
Subject: Re: just checking in re: Updated Draft 2019 Senate application for your review

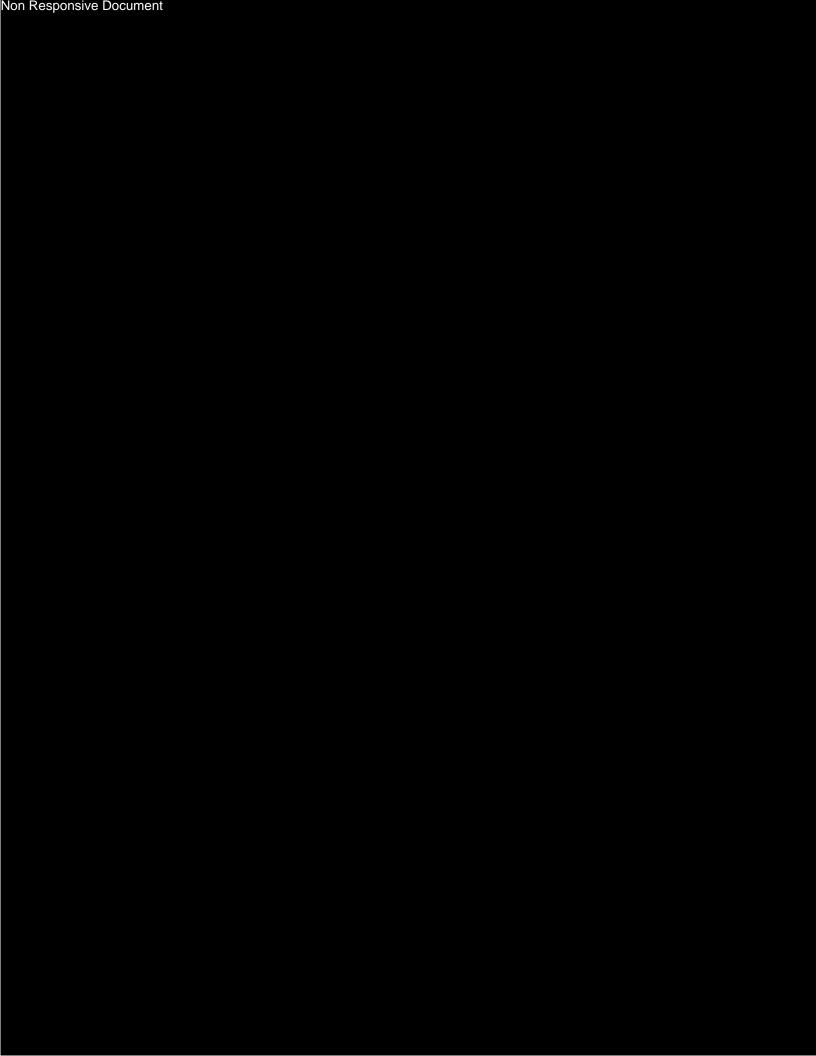
Hi Lisa:

(b) (5)

Thanks, Kim

Non Responsive Document





From: John Connor

To: LaVon Thomas

Cc: Walter Hill; Lisa Terry

Subject: RE: Memorandum of note

Date: Friday, May 3, 2019 3:05:11 PM

Attachments: <u>image001.png</u>

Memo to ERC - Designation of FRO Team - draft 5-3-19.doc

Hi LaVon – Please be sure to copy Lisa on all of these FRO Team items.



John G. Connor
Assistant General Counsel for Litigation, Fraud and Compliance
Export-Import Bank of the United States
811 Vermont Ave. NW
Washington, D.C. 20571

E-mail: John.Connor@exim.gov
Telephone (Direct): 202-565-3815
Telephone (Mobile): 202-386-2941
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From: LaVon Thomas < LaVon. Thomas@exim.gov>

Sent: Friday, May 3, 2019 1:33 PM

To: John Connor <john.connor@exim.gov>
Cc: Walter Hill <walter.hill@exim.gov>
Subject: FW: Memorandum of note

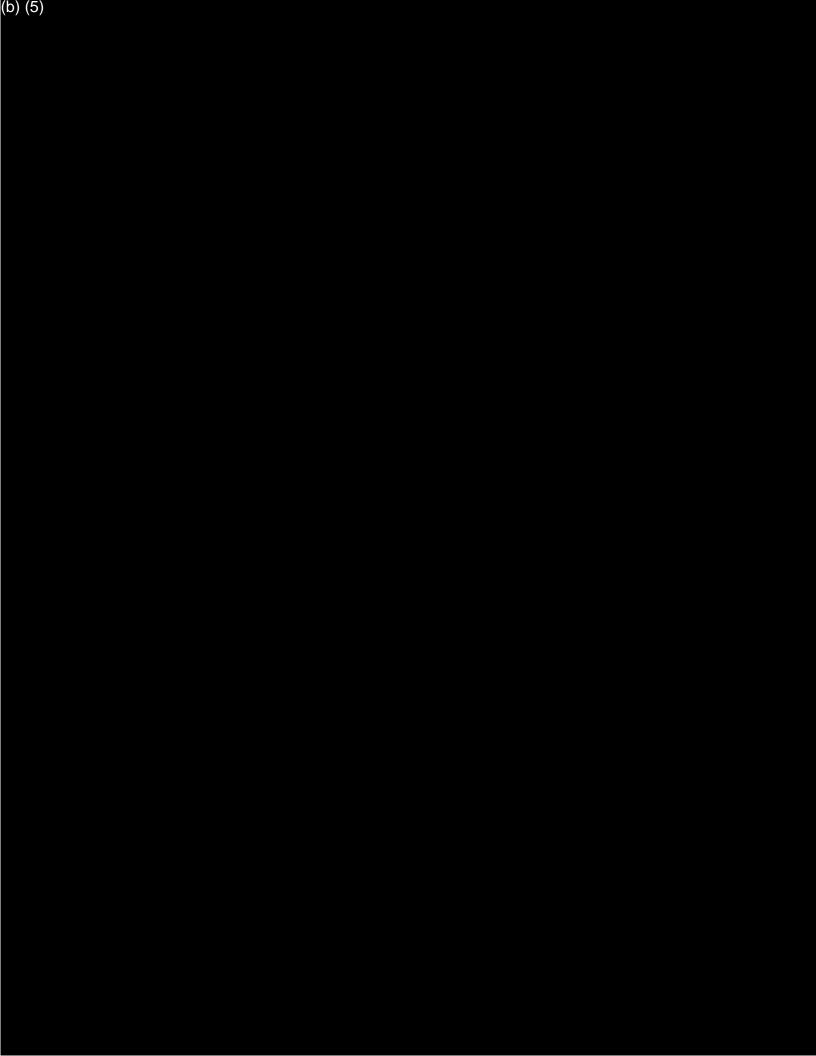
Good afternoon John,

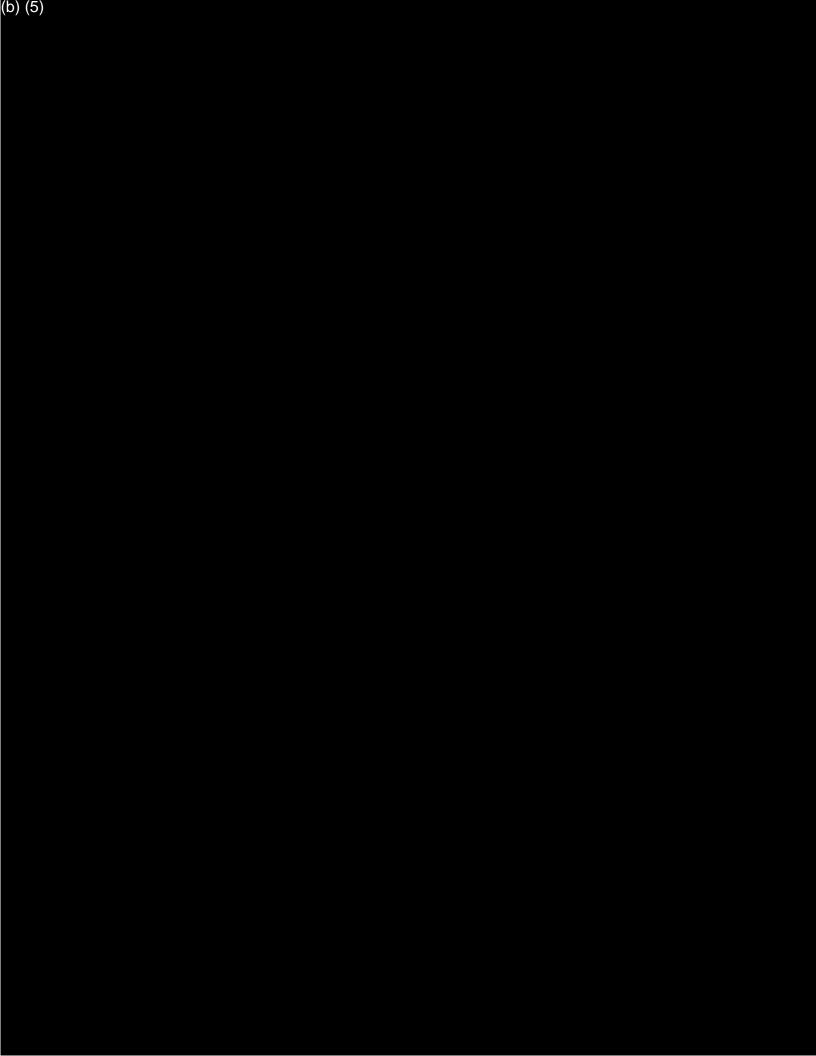
Attached is a draft of a memorandum from the CRO to the ERC formalizing the FRO as a subcommittee of the ERC.

Please review and let me know if you have any comments/questions.

Thanks

LaVon

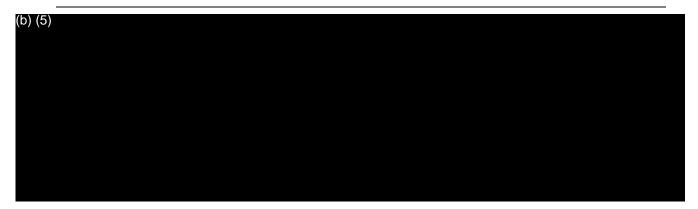




From: Lance Mathews
To: Ross Branson
Subject: RE: Nominee report

Date: Friday, October 4, 2019 2:25:00 PM

Attachments: <u>image001.png</u>



Lance

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | lance.mathews@exim.gov



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Non-Responsive Record Continued					

From: Lisa Terry
To: Kevin Warnke
Subject: RE: Noms question

Date: Monday, September 9, 2019 9:35:00 AM

Attachments: image001.png

Hi Kevin,



Lisa

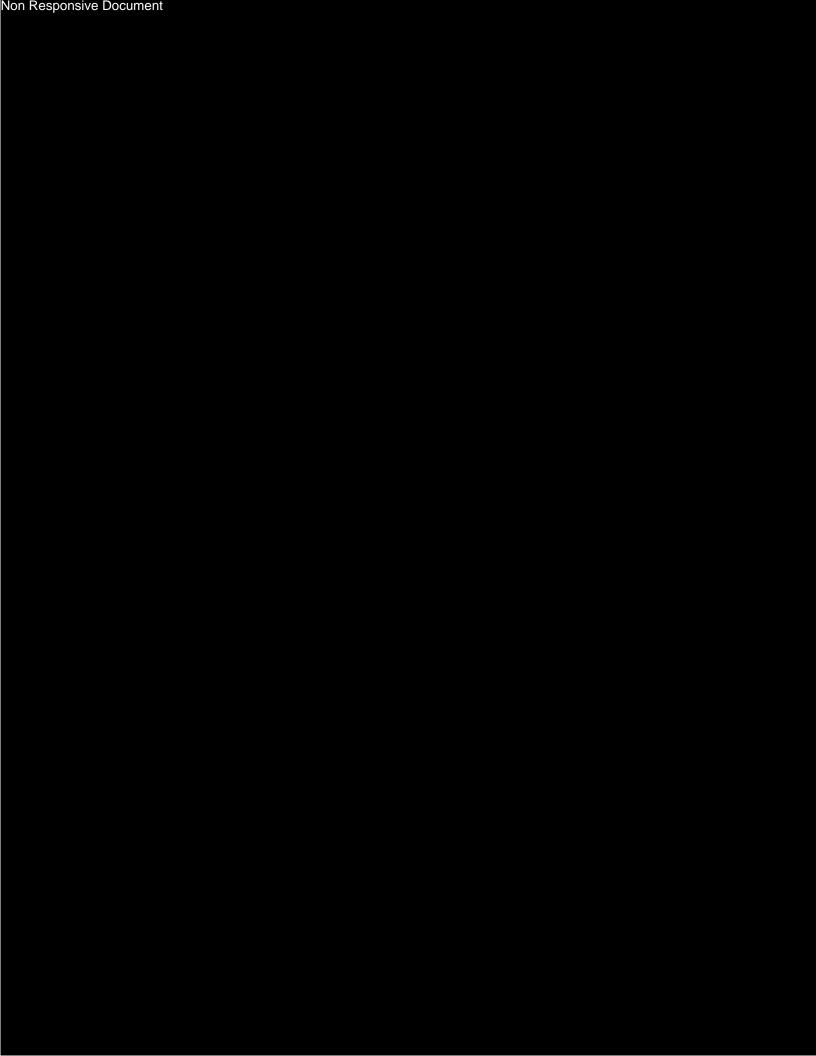
Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



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From: Lisa Terry
To: Felice Smith

Subject: RE: OMB Call - Shutdown Day 33 - 01-23-2019 - Adler Call notes

Date: Wednesday, January 23, 2019 4:13:56 PM

Attachments: image001.png

image003.png image004.png

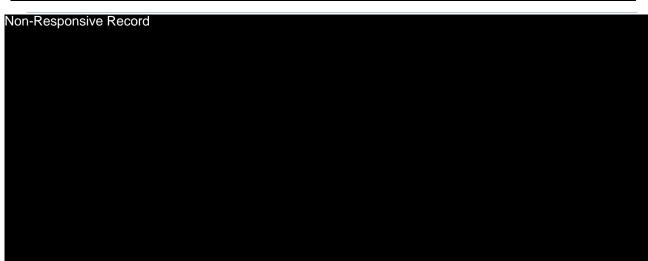


Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 857 | Washington, DC 20571
Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov
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Non-Responsive Record		
Non-Responsive Record		

Non-Responsive Record Continued	

From: Lennell Jackson
To: Lance Mathews

Subject: RE: Pre-Release Notice for FOIA 201800045F

Date: Monday, September 30, 2019 9:58:14 AM

Attachments: <u>image001.png</u>

Executive Office of the President.

From: Lance Mathews < Lance. Mathews@exim.gov>
Sent: Monday, September 30, 2019 9:52 AM
To: Lennell Jackson < lennell.jackson@exim.gov>

Subject: RE: Pre-Release Notice for FOIA 201800045F

Thank you and good morning. What is EOP?

Lance

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | <u>lance.mathews@exim.gov</u>



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From: Lennell Jackson < lennell.jackson@exim.gov
Sent: Monday, September 30, 2019 9:47 AM
To: Lance Mathews < lennell.jackson@exim.gov
Subject: RE: Pre-Release Notice for FOIA 201800045F

Hi Lance:

Here is the link to the responsive records for 201800045F. K:\FOIA Request Documents\Pre-Release Documents\201800045F\Combined Documents

Thanks.

Lennell Jackson

From: Lennell Jackson

Sent: Friday, September 27, 2019 2:34 PM

To: Lance Mathews < <u>Lance.Mathews@exim.gov</u>> **Subject:** RE: Pre-Release Notice for FOIA 201800045F

Hi Lance:

I have to convert these, so it will take a couple hours to get the records to you. thanks.

Lennell Jackson

From: Lennell Jackson

Sent: Friday, September 27, 2019 2:20 PM

To: David M. Sena <<u>david.sena@exim.gov</u>>; Inci Tonguch-Murray <<u>inci.tonguch-murray@exim.gov</u>>; Andrea Bernardo <<u>Andrea.Bernardo@exim.gov</u>>; Kevin Warnke <<u>Kevin.Warnke@exim.gov</u>>; Jennifer Hazelton <<u>Jennifer.Hazelton@exim.gov</u>>; Anna Sperling McAlvanah <<u>Anna.McAlvanah@exim.gov</u>>; Ross Branson <<u>Ross.Branson@exim.gov</u>>; Lance Mathews <<u>Lance.Mathews@exim.gov</u>>; Douglas Adler <<u>douglas.adler@exim.gov</u>>

Cc: Natascha Joyner < <u>Natascha Joyner@exim.gov</u>> **Subject:** Pre-Release Notice for FOIA 201800045F

Good Afternoon:

This gives notice that on Monday, September 30, 2019 the FOIA office plans to release FOIA request 201800045F. Attached is the request letter and the draft response letter.

If you have any questions or concerns, please feel free to contact me. Thank you.

FOIA Public Liaison

Export-Import Bank of the United States

(202) 565-3290

lennell.jackson@exim.gov

Lennell C. Jackson

From: Kimberly Reed
To: Lisa Terry

Cc: <u>David Fogel</u>; <u>Lauren Fuller</u>; <u>Ross Branson</u>

Subject: Re: President Announces Intent to Nominate EXIM Inspector General

Date: Tuesday, September 10, 2019 1:34:44 PM

Attachments: <u>image001.png</u>

Thank you!

On Sep 10, 2019, at 12:52 PM, Lisa Terry < Lisa.Terry@exim.gov > wrote:

Dear all, please see attached President's intent to nominate Peter Coniglio as Inspector General. As you know, I have been working closely with him for the past couple of months on the vetting process. Please let me know if you have any questions. Thanks.

https://www.whitehouse.gov/presidential-actions/president-donald-j-trump-announces-intent-nominate-appoint-personnel-key-administration-posts-30/

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov

<image001.png>

From: Andrea Bernardo Calvin Boles; Lisa Terry To: Maria Fleetwood Cc:

Subject: RE: Question from the Chair

Date: Thursday, August 1, 2019 1:36:45 PM

Attachments: image001.png

image002.png

(b) (5)

Andrea Q. Bernardo

Assistant General Counsel for Administration

Export-Import Bank of the United States

811 Vermont Ave. NW| Washington, DC 20571

Tel 202.565.3431 | Mobile 202.480.5906 | andrea.bernardo@exim.gov

Twitter | LinkedIn | YouTube



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From: Calvin Boles < Calvin.Boles@exim.gov> Sent: Thursday, August 1, 2019 12:17 PM

To: Lisa Terry < Lisa.Terry@exim.gov>; Andrea Bernardo < Andrea.Bernardo@exim.gov>

Cc: Maria Fleetwood <maria.fleetwood@exim.gov>

Subject: RE: Question from the Chair

Ciao Lisa ...

(b) (5)		

(b) (5)			



(b) (5)

V/R CFB

C. Fitch Boles IV, Esq. Ex Africa Semper Aliquid Novi

Senior Administrative Law Counsel Export-Import Bank of the United States

From: Lisa Terry < Lisa.Terry@exim.gov>
Sent: Thursday, August 1, 2019 9:53 AM

To: Andrea Bernardo < Andrea. Bernardo@exim.gov >; Calvin Boles < Calvin. Boles@exim.gov >

Cc: Maria Fleetwood < <u>maria.fleetwood@exim.gov</u>>

Subject: RE: Question from the Chair

Thanks. (b) (5)

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



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From: Andrea Bernardo < <u>Andrea.Bernardo@exim.gov</u>>

Sent: Thursday, August 1, 2019 9:34 AM **To:** Lisa Terry < <u>Lisa.Terry@exim.gov</u>>

Cc: Maria Fleetwood < <u>maria.fleetwood@exim.gov</u>>

Subject: Re: Question from the Chair

(b) (5)

Sent from my iPhone

On Aug 1, 2019, at 9:18 AM, Lisa Terry < Lisa. Terry@exim.gov > wrote:

Ladies,



Thanks, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov

<image001.png>

From: Kevin Warnke

To: Douglas Adler; Lisa Terry
Subject: RE: Questions for the Record
Date: Tuesday, June 11, 2019 2:20:37 PM

Attachments: <u>image001.png</u>

(b) (5)

Thanks!

_

Kevin Warnke | Vice President, Congressional & Intergovernmental Affairs

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3233 | Mobile 202.308.1695 | <u>Kevin.Warnke@exim.gov</u>

Non Responsive Document

From: Kevin Warnke < Kevin. Warnke@exim.gov>

Sent: Tuesday, June 11, 2019 1:01 PM

To: Douglas Adler <douglas.adler@exim.gov>; Lisa Terry <Lisa.Terry@exim.gov>

Subject: Questions for the Record



Kevin Warnke | Vice President, Congressional & Intergovernmental Affairs

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3233 | Mobile 202.308.1695 | <u>Kevin.Warnke@exim.gov</u>
 From:
 Lisa Terry

 To:
 "Keith Labedz"

 Cc:
 Deborah J. Bortot

Subject: RE: Reed: Draft report and EA - [Message and attachment(s) contain CUI]

Date: Friday, January 11, 2019 11:07:41 AM

Keith,



Please advise how you would like me to tell her to proceed.

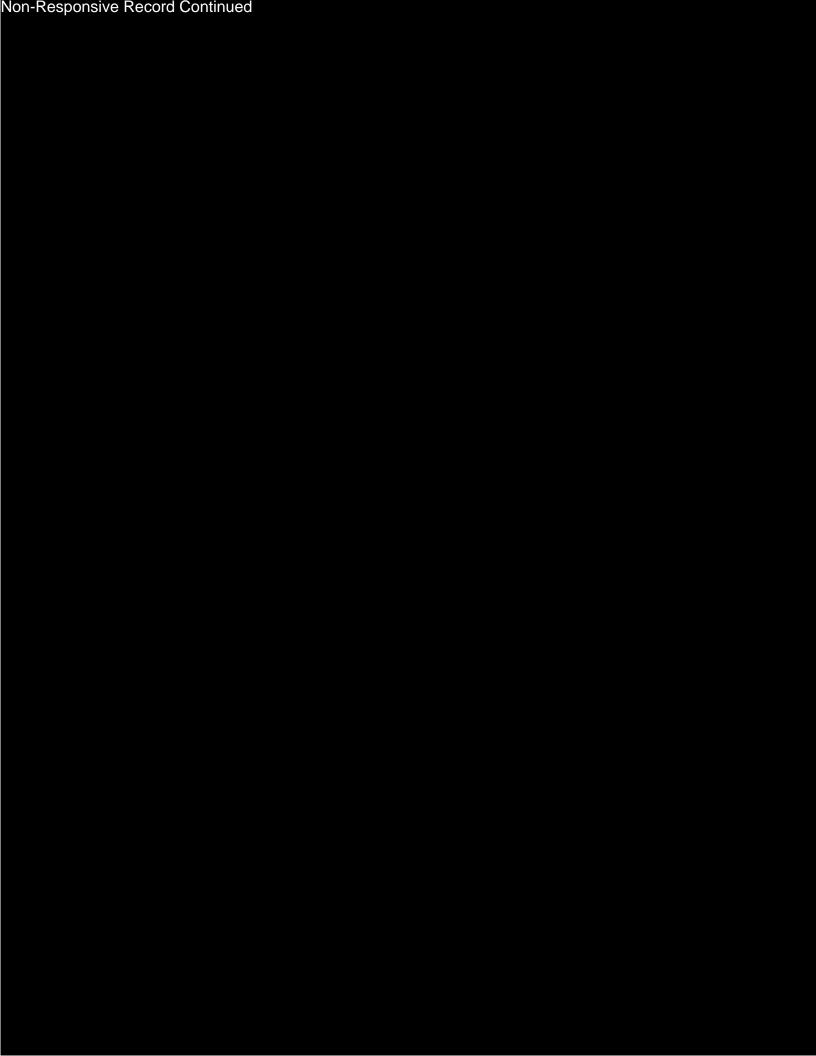
Regards, Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov Twitter | LinkedIn | YouTube

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----Original Message----

Non-Responsive Record



From: Victoria Coleman **Lance Mathews** To:

Subject: RE: Referral Letter for 19-0007 McGarry, Natalie Date: Wednesday, October 9, 2019 9:52:35 AM

Attachments: image001.png

image002.png

Hi Lance,

Thank you for taking the time to review. (b) (5)

I'll stop by later.

Victoria

From: Lance Mathews < Lance. Mathews@exim.gov>

Sent: Tuesday, October 8, 2019 5:00 PM

To: Victoria Coleman < Victoria. Coleman@exim.gov> Subject: RE: Referral Letter for 19-0007 McGarry, Natalie

Victoria -



Be happy to talk tomorrow if you want.

Lance



Non-Responsive Record Continued	
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Non-Responsive Record

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From: EthicsAdvice
To: Stacy Dawn

Cc: <u>Lisa Terry</u>; <u>EthicsAdvice</u>

Subject: RE: REMINDER - Invitation: UK Embassy Cyber Reception - Thursday 5th September

Date: Monday, August 26, 2019 1:42:03 PM

Attachments: <u>image001.png</u>

Stacy -

(b) (5)			
(b) (5)			
(b) (5)			
	_	_	

If you have additional questions, please do not hesitate to contact OOE for further information.

Regards, Lance

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | lance.mathews@exim.gov



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From: Stacy Dawn <Stacy.Dawn@exim.gov> Sent: Monday, August 26, 2019 9:07 AM To: EthicsAdvice <ethicsadvice@exim.gov>

Subject: FW: REMINDER - Invitation: UK Embassy Cyber Reception - Thursday 5th September

Hi EthicsAdvice,

(b) (5)

(b) (5)

Thank you,

-stacy

Stacy Dawn | Chief Information Security Officer (CISO) & Chief Privacy Officer (CPO)

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 1040 | Washington, DC 20571 Tel 202-565-3826 | Mobile 202-731-7326 | stacy.dawn@exim.gov

Twitter | LinkedIn | YouTube | Facebook



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From: Malarkey, Matt < Matt.Malarkey@mobile.trade.gov.uk >

Sent: Monday, August 26, 2019 8:42 AM

Cc: Salazar, Adrian < Adrian.Salazar@mobile.trade.gov.uk >

Subject: REMINDER - Invitation: UK Embassy Cyber Reception - Thursday 5th September

[EXTERNAL E-MAIL]



Mr Michael Tatham, Deputy Head of Mission at the British Embassy, requests the pleasure of your company at a reception to mark the

10th Annual Billington CyberSecurity Summit

Thursday 5th September 2019 6:00-8:00 pm

Brief remarks will be given by
Chris Krebs,
Director, DHS Cybersecurity and Infrastructure Security Agency (CISA)

The British Ambassador's Residence 3100 Massachusetts Avenue, NW Washington, DC 20008

Please enter via the main gate on Massachusetts Avenue There is no parking available on the Embassy Compound

Coaches to the Embassy will be available after the Summit, departing at 5:15 pm from the Walter E. Washington Convention Center (coach pick up on L St between 7th St and 9th St)

Business Attire

Please present this non-transferable invitation and photo ID on arrival

RSVP by August 28th to adrian.salazar@mobile.trade.gov.uk

Sponsored by Nominet



From: Lisa Terry

To: <u>Kevin Warnke</u>; <u>Paul S</u>

Subject: RE: scan signature page and final spreadsheet

Date: Wednesday, May 22, 2019 11:53:00 AM

Attachments: <u>image001.png</u>

Great, thanks Kevin.

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



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From: Kevin Warnke < Kevin. Warnke@exim.gov>

Sent: Wednesday, May 22, 2019 11:40 AM

To: Paul S(b) (6) ; Lisa Terry <Lisa.Terry@exim.gov>

Subject: RE: scan signature page and final spreadsheet

Hi Paul,

(b) (5)

Thanks,

Kevin

_

Kevin Warnke | Vice President, Congressional & Intergovernmental Affairs

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3233 | Mobile 202.308.1695 | Kevin.Warnke@exim.gov



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Non-Responsive Record

Non-Responsive Record Continued	
Non-Responsive Record	
Non-Responsive Record	
Non-Responsive Record	

Non-Responsive Record Continued
Non-Responsive Record

From: Lisa Terry
To: Judith Pryor

Subject: RE: search committee request

Date: Wednesday, September 11, 2019 3:44:00 PM

Attachments: <u>image001.png</u>

Hi Judith,



Regards, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov

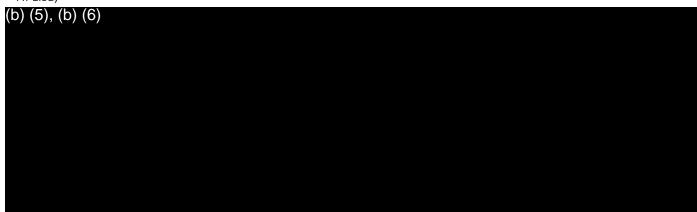


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From: Judith Pryor < Judith.Pryor@exim.gov> **Sent:** Tuesday, September 10, 2019 12:13 PM

To: Lisa Terry <Lisa.Terry@exim.gov> **Subject:** search committee request

Hi Lisa,



(b) (5), (b) (6)

Thanks,

Judith D. Pryor | Member – Board of Directors Export-Import Bank of the United States 811 Vermont Avenue, NW| Washington, DC 20571 Tel 202.565.3520 Judith.Pryor@EXIM.gov Twitter | LinkedIn | YouTube



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From: Patrease Brown
To: Lisa Terry

Subject: RE: Special Observance - Bio for MWaters

Date: Thursday, April 4, 2019 3:28:09 PM

Attachments: image001.png

Lisa,

Please note the change below – Congresswoman now reads Chairwoman. As soon as I receive remaining details, the read ahead will be provided.

Thanks for your patience, Patrease

From: Patrease Brown

Sent: Tuesday, April 02, 2019 1:08 PM

To: Lisa Terry

Subject: Re: Special Observance - Bio for MWaters

Importance: High

Lisa,

In preparation, below is the bio for Congresswoman Waters. Please use and or massage it as you deem appropriate. Prior to the end of the week, I will send you a read-ahead outlining the run of the program. FYI -5 minutes is allotted for the introduction.

Thanks, Patrease

BIOGRAPHY CHAIRWOMAN MAXINE WATERS

She once said, "If you believe in something, you must be prepared to fight. To argue. To persuade. To introduce legislation again and again and again." And for more than four decades, Maxine Moore Waters has been a leading advocate for social justice. She is considered by many as one of the most powerful women in American politics, having earned a reputation as a fearless and outspoken advocate for women, children, people of color and the poor.

Born in St. Louis, Missouri, Waters was the fifth of thirteen children. She credits her childhood and her mother's influence for what she is today. Her mother, whom she describes as "a strong woman, and survivor," was also a determined woman and served as an inspiration to her. Chairwoman Waters began working at age 13 in factories and segregated restaurants. After moving to Los Angeles, she worked in garment factories and at the telephone company before being hired as an assistant teacher with the newly formed Head Start program. It was during this time that she emerged as the voice of frustrated Head Start parents, using her platform to openly voice concerns that they were not empowered to express.

Having served locally as well as on the state level she authored some of the boldest legislation California has ever seen, including: the largest divestment of state pension funds from South Africa; landmark affirmative action legislation; the nation's first statewide Child Abuse Prevention Training Program; the prohibition of police strip searches for nonviolent misdemeanors; and the introduction of the nation's first plant closure law.

After a distinguished career as a legislator in California, her zeal for advocacy propelled her to national service where she has spent nearly the last 29 years as a prominent member of the U.S. Congress. Throughout her political career, Chairwoman Waters has earned a reputation as an outspoken and staunch defender of the rights of the disenfranchised.

In November 2018, she was elected to her 15th term in the U.S. House of Representatives, representing the 43rd Congressional District of California. Chairwoman Waters made history as the first woman and first African American Chair of the House Financial Services Committee. An integral member of Congressional Democratic Leadership, Chairwoman Waters serves as a member of the Steering & Policy Committee and is the Co-Chair of the bipartisan Congressional Task Force on Alzheimer's Disease. She is also a member of the Congressional Progressive Caucus, and member and past chair of the Congressional Black Caucus.

It is indeed an honor to have Chairwoman Maxine Waters with us today, and I ask that you please join me in welcoming her back to EXIM Bank.

From: Lisa Terry Sent: Monday, April 01, 2019 4:37 PM To: Patrease Brown

Subject: RE: Re: Special Observance

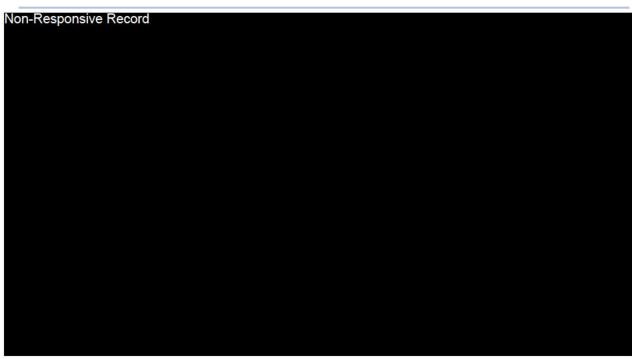
Thanks, Patrease.

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov Twitter | LinkedIn | YouTube

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Non-Responsive Record		
Non-Responsive Record		
on-Responsive Record		

Ν



From: Lisa Terry
To: "Kimberly Reed"
Subject: RE: Update

Date: Tuesday, January 8, 2019 2:29:09 PM

Attachments: <u>image003.png</u>

Kim, it doesn't appear that the form converted to 2019, although I see that you made some changes from the prior filing. Please let me know if you are able to repopulate the information into a new form and resign. An employee at OGE I spoke with today seems to think that the White House will need to assign you a new form.

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Kimberly Reed (b) (6)

Sent: Tuesday, January 08, 2019 1:39 PM

To: Lisa Terry Subject: Re: Update

Great. Did the form template convert to 2019? If not, can I please transfer this data to a 2019 Form this week. Thank

On Jan 8, 2019, at 12:34 PM, Lisa Terry < Lisa. Terry@exim.gov > wrote:

Dear Kim,

I wanted to let you know that your report is now in my queue in *Integrity*, as well as in the Office of Government Ethics' queue. I'll let you know if we need anything further once I coordinate with OGC.

Best regards,

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States

811 Vermont Ave. NW, Office 857 | Washington, DC 20571

Tel +1-202.565.3195 | Mobile +1-202.361-1408 | <u>lisa.terry@exim.gov</u>

 $\underline{Twitter} \ | \ \underline{LinkedIn} \ | \ \underline{YouTube}$

<image003.png>

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From: Lisa Terry Mariana Gonzalez Caroline Jouy Cc:

Subject: RE: Urgent Intern Project for Lisa Terry Date: Monday, March 11, 2019 12:04:06 PM

Attachments: image001.png image002.png

image003.png image004.png

Dear Mariana,

Thanks very much for your prompt research and analysis. This is exactly what I needed. Very well done! (b) (5)



Best regards,

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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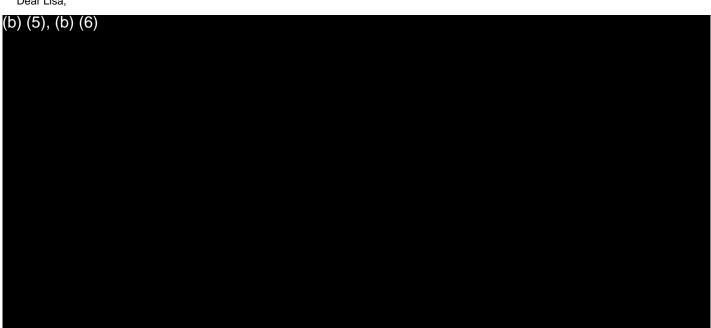
From: Mariana Gonzalez

Sent: Wednesday, March 06, 2019 1:22 PM

To: Lisa Terry

Subject: RE: Urgent Intern Project for Lisa Terry

Dear Lisa,





Best regards,

Mariana A. González E | Legal Intern

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 855 | Washington, DC 20571 Tel +1 202.565.3447 | Mariana.Gonzalez@exim.gov



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From: Lisa Terry

Sent: Tuesday, March 05, 2019 1:25 PM **To:** Caroline Jouy; Mariana Gonzalez

Cc: Victoria Coleman

Subject: RE: Urgent Intern Project for Lisa Terry

Thanks to you both!

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Caroline Jouy

Sent: Tuesday, March 05, 2019 12:14 PM

To: Mariana Gonzalez

Cc: Victoria Coleman; Caroline Jouy; Lisa Terry **Subject:** Urgent Intern Project for Lisa Terry

Dear Mariana,

(b) (5)

Many

thanks!

Best regards,

Caroline Jouy | Senior Counsel

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 866 | Washington, DC 20571 Tel +1 202.565.3456 | Mobile +1 202.212.9741 | Fax +1 202.565.3462 | caroline.jouy@exim.gov



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From: John Ahn
To: Lance Mathews

Subject: RE: We look forward to meeting you at the Lebanese Banks" Evening Reception on Friday

Date: Thursday, October 17, 2019 1:26:13 PM

Attachments: image001.png

No sir. I am a career.

John Ahn | Loan Officer, Export Credit Insurance Export-Import Bank of the United States Office 202-565-3689 | Cell 202-308-2975 | john.ahn@exim.gov

From: Lance Mathews < Lance. Mathews@exim.gov>

Sent: Thursday, October 17, 2019 1:26 PM

To: John Ahn < John. Ahn@exim.gov>

Subject: RE: We look forward to meeting you at the Lebanese Banks' Evening Reception on Friday

Just to confirm, you are not a political appointee, are you? Rules are slightly different for that group.

Lance

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 871 | Washington, DC 20571 Tel +1-202.565.3428 | <u>lance.mathews@exim.gov</u>



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Non-Responsive Record Continued		

From: Lisa Terry
To: (b) (6)

Subject: RE: We were just renominated....

Date: Thursday, January 17, 2019 10:34:40 AM

Attachments: image001.png image002.png

Got it, thanks!

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Kimberly Reed (b) (6)

Sent: Thursday, Janua

To: Lisa Terry

Subject: Re: We were just renominated....

I don't see the link either... maybe it's not posted yet. This was a White House press release sent to reporters/Hill press aides.

On Thu, Jan 17, 2019 at 10:23 AM Lisa Terry < Lisa. Terry@exim.gov > wrote:

Kim—please disregard my earlier message regarding a link to the announcement. It's taken care of.

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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From: Kimberly Reed (b) (6) Sent: Wednesday, January 16,

To: Margaux Matter; Natalie McGarry; Lisa Terry

Subject: We were just renominated....

Office of the Press Secretary

FOR IMMEDIATE RELEASE January 16, 2019

NOMINATIONS SENT TO THE SENATE:

John P. Abizaid, of Nevada, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Saudi Arabia.

Stephen Akard, of Indiana, to be Director of the Office of Foreign Missions, with the rank of Ambassador, vice Gentry O. Smith, resigned.

Kathe Hicks Albrecht, of California, to be a Member of the National Council on the Humanities for a term expiring January 26, 2024, vice Bruce R. Sievers, term expired.

William I. Althen, of Virginia, to be a Member of the Federal Mine Safety and Health Review Commission for a term of six years expiring August 30, 2024. (Reappointment)

Seth Daniel Appleton, of Missouri, to be an Assistant Secretary of Housing and Urban Development, vice Katherine M. O'Regan.

Michael J. Astrue, of Massachusetts, to be a Member of the Social Security Advisory Board for a term expiring September 30, 2022, vice Alan L. Cohen, term expired.

Spencer Bachus, III, of Alabama, to be Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Patricia M. Loui, term expired.

Irving Bailey, of Florida, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2021, vice Matthew Maxwell Taylor Kennedy, term expired.

Aditya Bamzai, of Virginia, to be a Member of the Privacy and Civil Liberties Oversight Board for the remainder of the term expiring January 29, 2020, vice Elisebeth Collins Cook, resigning.

Charles Wickser Banta, of New York, to be a Member of the National Council on the Arts for a term expiring September 3, 2022, vice Maria Rosario Jackson, term expired.

Rita Baranwal, of Pennsylvania, to be an Assistant Secretary of Energy (Nuclear Energy), vice Peter Bruce Lyons, resigned.

John Barsa, of Florida, to be an Assistant Administrator of the United States Agency for International Development, vice Marcela Escobari.

Pamela Bates, of Virginia, to be Representative of the United States of America to the Organization for Economic Cooperation and Development, with the rank of Ambassador.

William Beach, of Kansas, to be Commissioner of Labor Statistics, Department of Labor, for a term of four years, vice Erica Lynn Groshen, term expired.

Marshall Billingslea, of Virginia, to be an Under Secretary of State (Civilian Security, Democracy, and Human Rights), vice Sarah Sewall, resigned.

David Fabian Black, of North Dakota, to be Deputy Commissioner of Social Security for the term expiring January 19, 2019, vice Carolyn W. Colvin, term expired.

David Fabian Black, of North Dakota, to be Deputy Commissioner of Social Security for a term expiring January 19, 2025. (Reappointment)

Lynda Blanchard, of Alabama, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Slovenia.

Ron A. Bloom, of New York, to be a Governor of the United States Postal Service for a term expiring December 8, 2020, vice Mickey D. Barnett, term expired.

William Bookless, of California, to be Principal Deputy Administrator, National Nuclear Security Administration, vice Madelyn R. Creedon.

Mindy Brashears, of Texas, to be Under Secretary of Agriculture for Food Safety, vice Elisabeth Ann Hagen, resigned.

Kimberly Breier, of Virginia, to be a Member of the Board of Directors of the Inter-American Foundation for a term expiring September 20, 2020, vice Adolfo A. Franco, term expired.

Andrew P. Bremberg, of Virginia, to be Representative of the United States of America to the Office of the United Nations and Other International Organizations in Geneva, with the rank of Ambassador.

William Bryan, of Virginia, to be Under Secretary for Science and Technology, Department of Homeland Security, vice L. Reginald Brothers, Jr., resigned.

Ann Marie Buerkle, of New York, to be a Commissioner of the Consumer Product Safety Commission for a term of seven years from October 27, 2018. (Reappointment)

Ann Marie Buerkle, of New York, to be Chairman of the Consumer Product Safety Commission, vice Elliot F. Kaye.

Brian J. Bulatao, of Texas, to be an Under Secretary of State (Management), vice Patrick Francis Kennedy.

Kate Marie Byrnes, of Florida, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Macedonia.

Sean Cairncross, of Minnesota, to be Chief Executive Officer, Millennium Challenge Corporation, vice Dana J. Hyde.

Keegan F. Callanan, of Vermont, to be a Member of the National Council on the Humanities for a term expiring January 26, 2024, vice Manfredi Piccolomini, resigned.

Mary Anne Carter, of Tennessee, to be Chairperson of the National Endowment for the Arts for a term of four years, vice R. Jane Chu, resigned.

Joseph Cella, of Michigan, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Fiji, and to serve concurrently and without additional compensation as Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Kiribati, the Republic of Nauru, the Kingdom of Tonga, and Tuvalu.

Mina Chang, of Texas, to be an Assistant Administrator of the United States Agency for International Development, vice Jonathan Nicholas Stivers.

Julia Akins Clark, of Maryland, to be a Member of the Merit Systems Protection Board for the term of seven years expiring March 1, 2021, vice Anne Marie Wagner, term expired. Alan E. Cobb, of Kansas, to be a Member of the Board of Directors of the Metropolitan Washington Airports Authority for a term expiring November 22, 2023, vice William Shaw McDermott, term expired.

Susan Combs, of Texas, to be an Assistant Secretary of the Interior, vice Rhea S. Suh, resigned.

William Cooper, of Maryland, to be General Counsel of the Department of Energy, vice Steven Croley, resigned.

R. Clarke Cooper, of Florida, to be an Assistant Secretary of State (Political-Military Affairs), vice Puneet Talwar, resigned.

Jane L. Corwin, of New York, to be Commissioner on the part of the United States on the International Joint Commission, United States and Canada, vice Lana Pollack.

Edward F. Crawford, of Ohio, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Ireland.

Alexander Crenshaw, of Florida, to be a Member of the Board of Directors of the Millennium Challenge Corporation for a term of three years, vice Mark Green, term expired.

Joseph V. Cuffari, of Arizona, to be Inspector General, Department of Homeland Security, vice John Roth.

Veronica Daigle, of Virginia, to be an Assistant Secretary of Defense, vice Frederick Vollrath, resigned.

Elizabeth Darling, of Texas, to be Commissioner on Children, Youth, and Families, Department of Health and Human Services, vice Rafael J. Lopez.

Rick A. Dearborn, of Oklahoma, to be a Director of the Amtrak Board of Directors for a term of five years, vice Jeffrey R. Moreland, term expired.

Louis DeJoy, of North Carolina, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2020, vice James M. Demers, term expired.

David Armand DeKeyser, of Alabama, to be a Member of the National Council on the Humanities for a term expiring January 26, 2020, vice Dawn Ho Delbanco, term expired.

Michael J. Desmond, of California, to be Chief Counsel for the Internal Revenue Service and an Assistant General Counsel in the Department of the Treasury, vice William J. Wilkins.

Robert A. Destro, of Virginia, to be Assistant Secretary of State for Democracy, Human Rights, and Labor, vice Tomasz P. Malinowski.

Janet Dhillon, of Pennsylvania, to be a Member of the Equal Employment Opportunity Commission for a term expiring July 1, 2022, vice Jenny R. Yang, term expiring.

Thelma Drake, of Virginia, to be Federal Transit Administrator, vice Peter M. Rogoff, resigned.

Robert M. Duncan, of Kentucky, to be a Governor of the United States Postal Service for a term expiring December 8, 2025. (Reappointment)

Naomi C. Earp, of Maryland, to be an Assistant Secretary of Agriculture, vice Joe Leonard, Jr.

Jeffrey L. Eberhardt, of Wisconsin, a Career Member of the Senior Executive Service, to be Special Representative of the President for Nuclear Nonproliferation, with the rank of Ambassador.

William R. Evanina, of Pennsylvania, to be Director of the National Counterintelligence and Security Center. (New Position)

Dino Falaschetti, of Montana, to be Director, Office of Financial Research, Department of the Treasury, for a term of six years, vice Richard B. Berner, resigned.

Christopher Fall, of Virginia, to be Director of the Office of Science, Department of Energy, vice Cherry Ann Murray.

Michael Faulkender, of Maryland, to be an Assistant Secretary of the Treasury, vice Karen Dynan.

Jason J. Fichtner, of the District of Columbia, to be a Member of the Social Security Advisory Board for a term expiring September 30, 2024, vice Lanhee J. Chen, term expired.

David T. Fischer, of Michigan, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Morocco.

Michael J. Fitzpatrick, of Virginia, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Ecuador.

John Fleming, of Louisiana, to be Assistant Secretary of Commerce for Economic Development, vice Roy K. J. Williams.

Diana Furchtgott-Roth, of Maryland, to be an Assistant Secretary of Transportation. (New Position)

Lane Genatowski, of New York, to be Director of the Advanced Research Projects Agency-Energy, Department of Energy, vice Ellen Dudley Williams.

Kenneth S. George, of Texas, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Oriental Republic of Uruguay.

James S. Gilmore, of Virginia, to be U.S. Representative to the Organization for Security and Cooperation in Europe, with the rank of Ambassador.

Brett P. Giroir, of Texas, to be Representative of the United States on the Executive Board of the World Health Organization, vice Thomas Frieden.

Shannon Lee Goessling, of Florida, to be Director of the Violence Against Women Office, Department of Justice, vice Susan B. Carbon.

Robert J. Grey, Jr., of Virginia, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020. (Reappointment)

Joseph Ryan Gruters, of Florida, to be a Director of the Amtrak Board of Directors for a term of five years, vice Albert DiClemente, term expired.

Jeffrey Ross Gunter, of California, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Iceland.

Sharon Fast Gustafson, of Virginia, to be General Counsel of the Equal Employment Opportunity Commission for a term of four years, vice P. David Lopez, resigned.

Joseph Bruce Hamilton, of Texas, to be a Member of the Defense Nuclear Facilities Safety Board for a term expiring October 18, 2022, vice Daniel J. Santos, term expired.

Gordon Hartogensis, of Connecticut, to be Director of the Pension Benefit Guaranty Corporation for a term of five years, vice W. Thomas Reeder Jr., resigned.

Janice Miriam Hellreich, of Hawaii, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2024, vice Howard Abel Husock, term expired.

Kim R. Holmes, of Virginia, to be a Member of the National Council on the Humanities for a term expiring January 26, 2022, vice Paula Barker Duffy, term expired.

Rodney Hood, of North Carolina, to be a Member of the National Credit Union Administration Board for a term expiring August 2, 2023, vice Richard T. Metsger, term expired.

Kenneth A. Howery, of Texas, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Sweden.

Victoria Ann Hughes, of Virginia, to be a Member of the Board of Directors of the Corporation for National and Community Service for a term expiring October 6, 2021. (Reappointment)

Scott Hutchins, of Indiana, to be Under Secretary of Agriculture for Research, Education, and Economics, vice Catherine E. Woteki.

Darrell E. Issa, of California, to be Director of the Trade and Development Agency, vice Leocadia Irine Zak.

Michelle Itczak, of Indiana, to be a Member of the National Council on the Arts for a term expiring September 3, 2020, vice Irvin M. Mayfield, Jr., term expired.

Ronald Douglas Johnson, of Florida, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of El Salvador.

Aimee Kathryn Jorjani, of Wisconsin, to be Chairman of the Advisory Council on Historic Preservation for a term expiring January 19, 2021, vice Milford Wayne Donaldson, term expired.

Phyllis Kaminsky, of Arizona, to be a Member of the National Council on the Humanities for a term expiring January 26, 2020, vice Adele Logan Alexander, term expired.

Amy Karpel, of Washington, to be a Member of the United States International Trade Commission for the remainder of the term expiring June 16, 2020, vice F. Scott Kieff, resigned.

Jeffrey Kessler, of Virginia, to be an Assistant Secretary of Commerce, vice Paul Piquado, resigned.

Heidi R. King, of California, to be Administrator of the National Highway Traffic Safety Administration, vice Mark R. Rosekind.

Dennis Dean Kirk, of Virginia, to be a Member of the Merit Systems Protection Board for the term of seven years expiring March 1, 2023, vice Susan Tsui Grundmann, term expired.

Dennis Dean Kirk, of Virginia, to be Chairman of the Merit Systems Protection Board, vice Susan Tsui Grundmann.

Robert Hunter Kurtz, of Virginia, to be an Assistant Secretary of Housing and Urban Development, vice Sandra Brooks Henriquez, resigned.

Abigail L. Kuzma, of Indiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2022. (Reappointment)

Abigail L. Kuzma, of Indiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2019, vice Charles Norman Wiltse Keckler, resigned.

Travis LeBlanc, of Maryland, to be a Member of the Privacy and Civil Liberties Oversight Board for a term expiring January 29, 2022, vice James Xavier Dempsey, term expired.

John G. Levi, of Illinois, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020. (Reappointment)

James B. Lockhart III, of Connecticut, to be a Member of the Board of Trustees of the Federal Hospital Insurance Trust Fund for a term of four years, vice Charles P. Blahous, III, term expired.

James B. Lockhart III, of Connecticut, to be a Member of the Board of Trustees of the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund for a term of four years, vice Charles P. Blahous, III, term expired.

James B. Lockhart III, of Connecticut, to be a Member of the Board of Trustees of the Federal Supplementary Medical Insurance Trust Fund for a term of four years, vice Charles P. Blahous, III, term expired.

Barbara Coleen Long, of Missouri, to be a Member of the National Council on the Arts for a term expiring September 3, 2022, vice Deepa Gupta, term expired.

John Lowry III, of Illinois, to be Assistant Secretary of Labor for Veterans' Employment and Training, vice Michael Herman Michaud.

Virgil Madden, of Indiana, to be a Commissioner of the United States Parole Commission for a term of six years, vice Patricia Cushwa, term expired.

John G. Malcolm, of the District of Columbia, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020, vice Martha L. Minow, term expired.

Doug Manchester, of California, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Commonwealth of The Bahamas.

Robert A. Mandell, of Florida, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2022, vice Brent Franklin Nelsen, term expired.

George M. Marcus, of California, to be a Member of the Board of Directors of the Millennium Challenge Corporation for a term of three years, vice Morton H. Halperin, term expired.

Lana J. Marks, of Florida, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of South Africa.

Roman Martinez IV, of Florida, to be a Governor of the United States Postal Service for a term expiring December 8, 2024, vice James C. Miller III, term expired.

Andrew F. Maunz, of Ohio, to be a Member of the Merit Systems Protection Board for the term of seven years expiring March 1, 2025, vice Mark A. Robbins, term expired.

Thomas McCaffery, of California, to be an Assistant Secretary of Defense, vice Jonathan Woodson, resigned.

Susan M. McCue, of Virginia, to be a Member of the Board of Directors of the Millennium Challenge Corporation for a term of two years. (Reappointment)

William Shaw McDermott, of Massachusetts, to be a Member of the Board of Directors of the Metropolitan Washington Airports Authority for a term expiring May 30, 2024, vice Nina Mitchell Wells, term expired.

Ronald Mortensen, of Utah, to be an Assistant Secretary of

State (Population, Refugees, and Migration), vice Anne Claire Richard.

Scott A. Mugno, of Pennsylvania, to be an Assistant Secretary of Labor, vice David Morris Michaels.

Don Munce, of Florida, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2024, vice Loretta Cheryl Sutliff, term expired.

W. Patrick Murphy, of Vermont, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Kingdom of Cambodia.

Barry Lee Myers, of Pennsylvania, to be Under Secretary of Commerce for Oceans and Atmosphere, vice Kathryn D. Sullivan, resigned.

Jeffrey Nadaner, of Maryland, to be an Assistant Secretary of Commerce, vice David W. Mills, retired.

Frank X. Neuner, Jr., of Louisiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2022. (Reappointment)

Frank X. Neuner, Jr., of Louisiana, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2019, vice Sharon L. Browne, resigned.

Michael Pack, of Maryland, to be Chief Executive Officer of the Broadcasting Board of Governors for the term of three years. (New Position)

John P. Pallasch, of Kentucky, to be an Assistant Secretary of Labor, vice Portia Y. Wu.

Richard C. Parker, of North Carolina, to be an Assistant Administrator of the United States Agency for International Development, vice T. Charles Cooper, resigned.

Bimal Patel, of Georgia, to be an Assistant Secretary of the Treasury, vice Christopher Campbell, resigned.

Judith DelZoppo Pryor, of Ohio, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Larry W. Walther, term expired.

Marco M. Rajkovich, Jr., of Kentucky, to be a Member of the Federal Mine Safety and Health Review Commission for a term of six years expiring August 30, 2024, vice Robert F. Cohen Jr., term expired.

John Rakolta Jr., of Michigan, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the United Arab Emirates.

Bruce M. Ramer, of California, to be a Member of the Board of Directors of the Corporation for Public Broadcasting for a term expiring January 31, 2024. (Reappointment)

Kimberly A. Reed, of West Virginia, to be President of the Export-Import Bank of the United States for a term expiring January 20, 2021, vice Fred P. Hochberg, resigned.

Heather Reynolds, of Texas, to be a Member of the Board of Directors of the Corporation for National and Community Service for a term expiring September 14, 2021, vice Dean A. Reuter, term expired.

Leandro Rizzuto, of New Jersey, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Barbados, and to serve concurrently and without additional compensation as Ambassador Extraordinary and Plenipotentiary of the United States of America to the Federation of Saint Kitts and Nevis, Saint Lucia, Antigua and Barbuda, the Commonwealth of Dominica, Grenada, and Saint Vincent and the Grenadines.

Jessie Hill Roberson, of Virginia, to be a Member of the Defense Nuclear Facilities Safety Board for a term expiring October 18, 2023. (Reappointment)

Mark Rosen, of Connecticut, to be United States Executive Director of the International Monetary Fund for a term of two years, vice Margrethe Lundsager, resigned.

Daniel N. Rosenblum, of Maryland, a Career Member of the Senior Executive Service, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Uzbekistan.

John L. Ryder, of Tennessee, to be a Member of the Board of Directors of the Tennessee Valley Authority for a term expiring May 18, 2021, vice Michael McWherter, term expired.

Andrew M. Saul, of New York, to be Commissioner of Social Security for the term expiring January 19, 2019, vice Michael J. Astrue, resigned.

Andrew M. Saul, of New York, to be Commissioner of Social Security for the term expiring January 19, 2025. (Reappointment)

David Schenker, of New Jersey, to be an Assistant Secretary of State (Near Eastern Affairs), vice Anne W. Patterson, resigned.

Michelle A. Schultz, of Pennsylvania, to be a Member of the Surface Transportation Board for the term of five years. (New Position)

Robert C. Sisson, of Michigan, to be Commissioner on the part of the United States on the International Joint Commission, United States and Canada, vice Dereth Britt Glance.

Claudia Slacik, of New York, to be a Member of the Board of Directors of the Export-Import Bank of the United States for a term expiring January 20, 2023, vice Sean Robert Mulvaney.

Cheryl Marie Stanton, of South Carolina, to be Administrator of the Wage and Hour Division, Department of Labor, vice David Weil, resigned.

Randolph J. Stayin, of Virginia, to be a Member of the United States International Trade Commission for a term expiring June 16, 2026, vice Meredith M. Broadbent, term expired.

David Stilwell, of Hawaii, to be an Assistant Secretary of State (East Asian and Pacific Affairs), vice Daniel R. Russel.

Alan R. Swendiman, of North Carolina, to be Deputy Director of the Peace Corps, vice Carlos J. Torres.

Robert C. Tapella, of Virginia, to be Director of the Government Publishing Office, vice Davita Vance-Cooks.

Donald R. Tapia, of Arizona, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Jamaica.

Kip Tom, of Indiana, for the rank of Ambassador during his tenure of service as U.S. Representative to the United Nations Agencies for Food and Agriculture.

Christine J. Toretti, of Pennsylvania, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Malta.

James E. Trainor, III, of Texas, to be a Member of the Federal Election Commission for a term expiring April 30, 2023, vice Matthew S. Petersen, term expired.

Arthur R. Traynor III, of the District of Columbia, to be a Member of the Federal Mine Safety and Health Review Commission for a term expiring August 30, 2022, vice Patrick K. Nakamura, term expired.

David Christian Tryon, of Ohio, to be Chief Counsel for Advocacy, Small Business Administration, vice Darryl L. DePriest, resigned.

Calvin R. Tucker, of Pennsylvania, to be a Governor of the United States Postal Service for a term expiring December 8, 2023, vice Carolyn L. Gallagher, term expired.

Matthew H. Tueller, of Utah, a Career Member of the Senior Foreign Service, Class of Minister-Counselor, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to the Republic of Iraq.

Gloria Valencia-Weber, of New Mexico, to be a Member of the Board of Directors of the Legal Services Corporation for a term expiring July 13, 2020. (Reappointment)

Carleton Varney, of Massachusetts, to be a Member of the National Council on the Arts for a term expiring September 3, 2022, vice Paul W. Hodes, term expired.

Lisa Vickers, of Texas, to be a Member of the Defense Nuclear Facilities Safety Board for a term expiring October 18, 2021, vice Joseph Bruce Hamilton, term expired.

Christopher P. Vincze, of Massachusetts, to be a Member of the Board of Directors of the Overseas Private Investment Corporation for a term expiring December 17, 2019, vice Todd A. Fisher, term expired.

Ronald D. Vitiello, of Illinois, to be an Assistant Secretary of Homeland Security, vice Sarah R. Saldana.

Leon A. Westmoreland, of Georgia, to be a Director of the Amtrak Board of Directors for a term of five years. (New Position)

Peter C. Wright, of Michigan, to be Assistant Administrator, Office of Solid Waste, Environmental Protection Agency, vice Mathy Stanislaus.

Jean M. Yarbrough, of Maine, to be a Member of the National Council on the Humanities for a term expiring January 26, 2022, vice Martha Wagner Weinberg, term expired.

Lance V. Yohe, of North Dakota, to be Commissioner on the

part of the United States on the International Joint Commission, United States and Canada, vice Richard M. Moy.

Adrian Zuckerman, of New Jersey, to be Ambassador Extraordinary and Plenipotentiary of the United States of America to Romania.

###

The White House \cdot 1600 Pennsylvania Ave NW \cdot Washington, DC 20500-0003 \cdot USA \cdot 202-456-1111

From: Lance.Mathews@exim.gov

To: Stacy Dawn

Subject: Re: You"re Invited / Cisco Security / New Cyber Defense Happy Hour

Date: Thursday, September 26, 2019 9:17:09 AM

Thank you and congrats. All good in OOE, just keeping the place afloat until (b) (6)
(b) (6)
best in your new position.

Lance

Sent from my iPhone

On Sep 26, 2019, at 8:31 AM, Stacy Dawn < Stacy. Dawn@exim.gov > wrote:



Best, -stacy

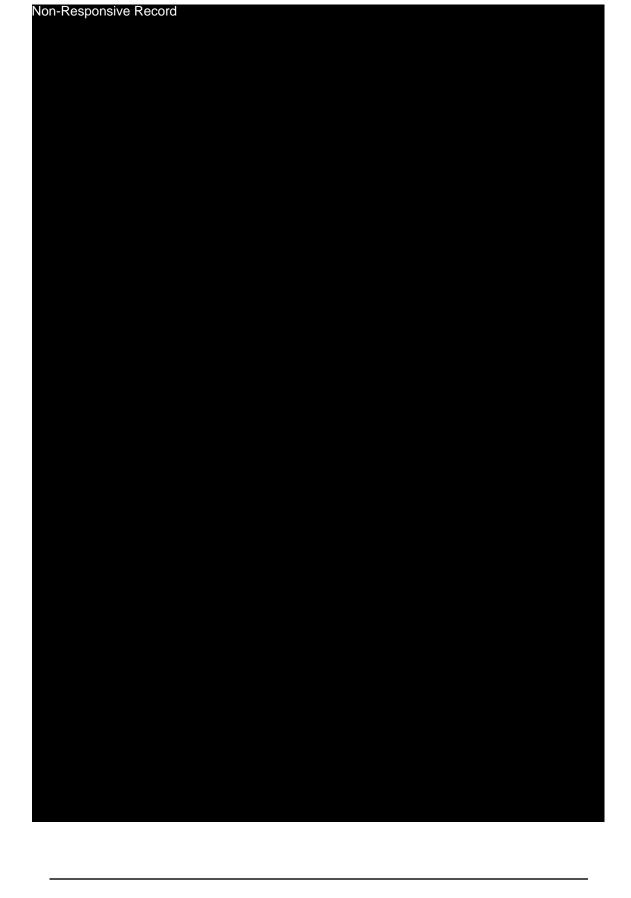


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From: Lee Stewart

To: <u>Lisa Terry; Andrea Bernardo</u>
Subject: Annual conference gifts question
Date: Friday, March 8, 2019 10:19:12 AM

Attachments: <u>image001.png</u>

Lisa and Andrea,

We are planning to order gifts for speakers at the annual conference that have a value of (b) (4).

We're hoping to order today and any advice you

can provide will help us determine the number of gifts to order.

Thanks,

Lee

Lee Stewart | Director of External Engagement

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 D: 202.565.3773 | M: 202.344.6664 | lee.stewart@exim.gov



Reducing Risk. Unleashing Opportunity.

From: Douglas Adler
To: Lisa Terry

Cc: <u>Cassie Rowlands</u>; <u>Kevin Warnke</u>; <u>Douglas Adler</u>

Subject: Receipt of Gift

Date: Tuesday, July 30, 2019 4:18:46 PM

Attachments: <u>image001.png</u>

Dear Lisa:

At the request of OPAIR, several EXIM employees met with representatives of the Korea Institute of Public Finance to discuss legal procedures for nominating and confirming political appointees at EXIM Bank.

of the Research Center for State-Owned Entities spent about 60 minutes with Cassie Rowlands, Kevin Warnke, and me.

(b) (6)

Best.

Douglas Ochs Adler | Deputy General Counsel

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 837 | Washington, DC 20571 Tel +1-202.565.3435 | Mobile +1-202.344.0528 | douglas.adler@exim.gov



Reducing Risk. Unleashing Opportunity.

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From: Lance Mathews
To: Susan Houser
Cc: Gabrielle Guy

Subject: Sample Gift Acceptance authority

Date: Thursday, October 3, 2019 2:00:00 PM

Attachments: <u>image001.png</u>

Small Business Administration:

15 USC 633:

(g) Gifts

"(1) In general

"The Administrator may, for purposes of this chapter, the Small Business Investment Act of 1954 [sic. Probably should be the Small Business Investment Act of 1958, Pub.L. 85-699, Aug. 21, 1598, 72 Stat. 689, 15 U.S.C.A. § 661 et seq.], and title IV of the Women's Business Ownership Act of 1988, solicit, accept, hold, administer, utilize, and dispose of gifts, devises, and bequests of cash, property (including tangible, intangible, real, and personal), subsistence, and services. Notwithstanding any other provision of law, the Administrator may utilize gifts, devises, or bequests for marketing and outreach activities, including the cost of promotional materials and wearing apparel.

"(2) Audits

"Any gift, devise, or bequest of cash accepted by the Administrator shall be held in a separate account and shall be subject to semi-annual audits by the Inspector General of the Administration who shall report his findings to the Congress.

"(3) Conflicts of interest

"No gift, devise, or bequest shall be solicited or accepted under the authority of this subsection if such solicitation or acceptance would, in the determination of the General Counsel, create a conflict of interest.

Department of Defense:

10 USC 2601 - General Gift Funds

- (a) General Authority to Accept Gifts.—
- (1) The Secretary concerned may accept, hold, administer, and spend any gift, devise, or bequest of real property, personal property, or money made on the condition that the gift, devise, or bequest be used for the benefit, or in connection with, the establishment, operation, or maintenance, of a school, hospital, library, museum, cemetery, or other institution or organization under the jurisdiction of the Secretary.

Dept of Treasury:

§ 3113. Accepting gifts

- (a) To provide the people of the United States with an opportunity to make gifts to the United States Government to be used to reduce the public debt—
- (1) the Secretary of the Treasury may accept for the Government a gift of—
- (A) money made only on the condition that it be used to reduce the public debt;
- (B) an obligation of the Government included in the public debt made only on the condition that the obligation be canceled and retired and not reissued; and
- (C) other intangible personal property made only on the condition that the property is sold and the proceeds from the sale used to reduce the public debt; and

(2) the Administrator of General Services may accept for the Government a gift of tangible property made only on the condition that it be sold and the proceeds from the sale be used to reduce the public debt.

DHS:

6 USC 321n

(a) Authority

The Secretary may accept and use gifts of property, both real and personal, and may accept gifts of services, including from guest lecturers, for otherwise authorized activities of the Center for Domestic Preparedness that are related to efforts to prevent, prepare for, protect against, or respond to a natural disaster, act of terrorism, or other man-made disaster, including the use of a weapon of mass destruction.

(b) Prohibition

The Secretary may not accept a gift under this section if the Secretary determines that the use of the property or services would compromise the integrity or appearance of integrity of--

- (1) a program of the Department; or
- (2) an individual involved in a program of the Department.

National Park Service:

54 USC 101113

- (a) Authority to accept gifts, devises, or bequests.--
- (1) In general.—The National Park Foundation may accept, receive, solicit, hold, administer, and use any gifts, devises, or bequests, either absolutely or in trust of real or personal property, or any income from, or other interest in, the gift, devise, or bequest, for the benefit of, or in connection with, the Service, its activities, or its services.
- (2) Coordination with Service.--Activities of the National Park Foundation under paragraph (1) shall be undertaken after consultation with the Director to ensure that those activities are consistent with the programs and policies of the Service.
- (3) Gift, devise, or bequest that is encumbered, restricted, or subject to beneficial interests.--A gift, devise, or bequest may be accepted by the National Park Foundation even though it is encumbered, restricted, or subject to beneficial interests of private persons if any current or future interest in the gift, devise, or bequest is for the benefit of the Service, its activities, or its services.

Lance E. Mathews | Deputy Chief Ethics Officer

Export-Import Bank of the United States
811 Vermont Ave. NW, Office 871 | Washington, DC 20571
Tel +1-202.565.3428 | lance.mathews@exim.gov



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From: Kimberly Reed To: Lisa Terry

Subject: Senate Executive Calendar

Date: Tuesday, January 15, 2019 4:37:47 PM

Hi Lisa:

Good to speak with you. Here's where you can find the daily Senate Executive Calendar, which shows which nominees are ready for full Senate consideration. As you will see, since it's the start of 2019 and a new Congress, not much is listed:

https://www.senate.gov/legislative/exec_calendar_page.htm

Here's the final one for 2018 -- with ExIm nominees on page 3 and 14: https://www.senate.gov/legislative/LIS/executive_calendar/2018/Final_2018.pdf.

All of these nominations were returned to the White House at the end of the Congress, and now the process starts again.

Thanks for checking on my SF-278 that I submitted today. Hopefully, it will be in your inbox soon for review.

Have a good rest of the week.

Thanks, Kim To: EOP Not Subject to Subject: Service on "search committee"

Date: Wednesday, September 11, 2019 5:38:58 PM

Attachments: <u>image001.png</u>

EOP Not Subject to FOIA

(b) (5)

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | lisa.terry@exim.gov



Reducing Risk. Unleashing Opportunity.

From: Kevin Warnke

To: <u>David Slade</u>; <u>Douglas Adler</u>; <u>Lisa Terry</u>

Cc: <u>EXIM Office of Congressional and Intergovernmental Affairs</u>

Subject: Shmotolokha QFRs DRAFT

Date: Wednesday, June 12, 2019 9:56:29 AM
Attachments: 2019.06.12 Paul Shmotolokha OFRs DRAFT.docx

image001.png

Hi David, Doug, and Lisa,



Please let us know if you have any questions or concerns.

Thanks,

Kevin

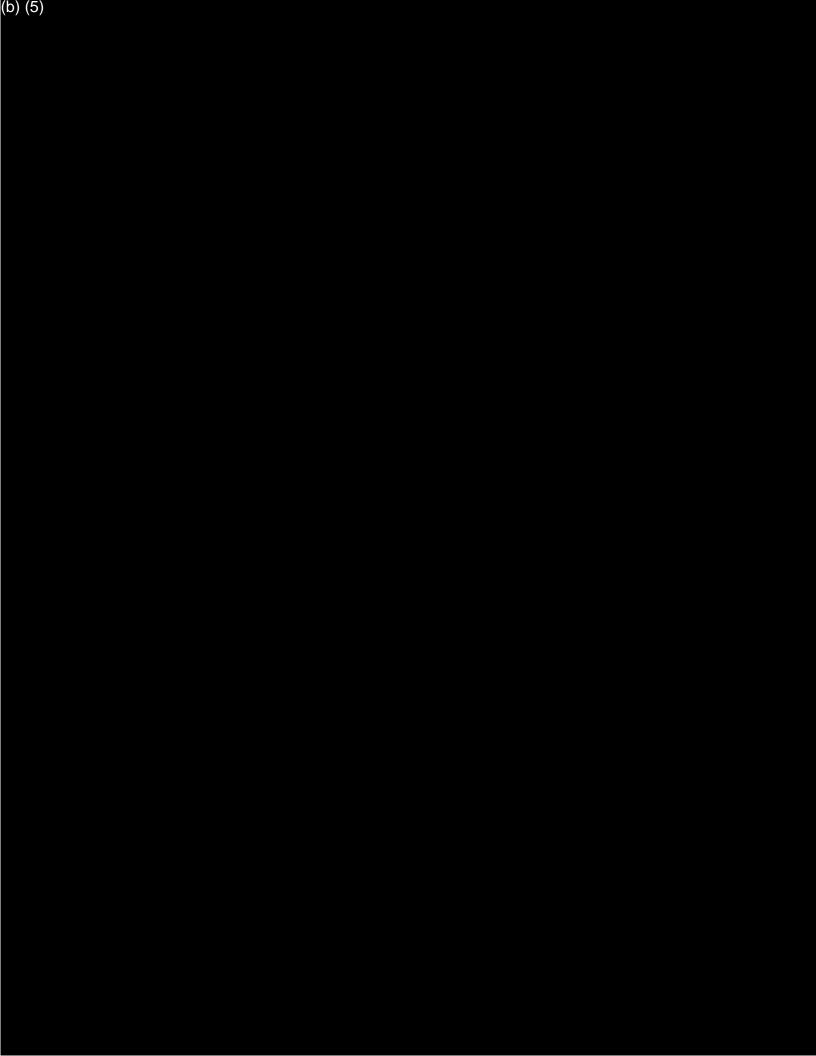
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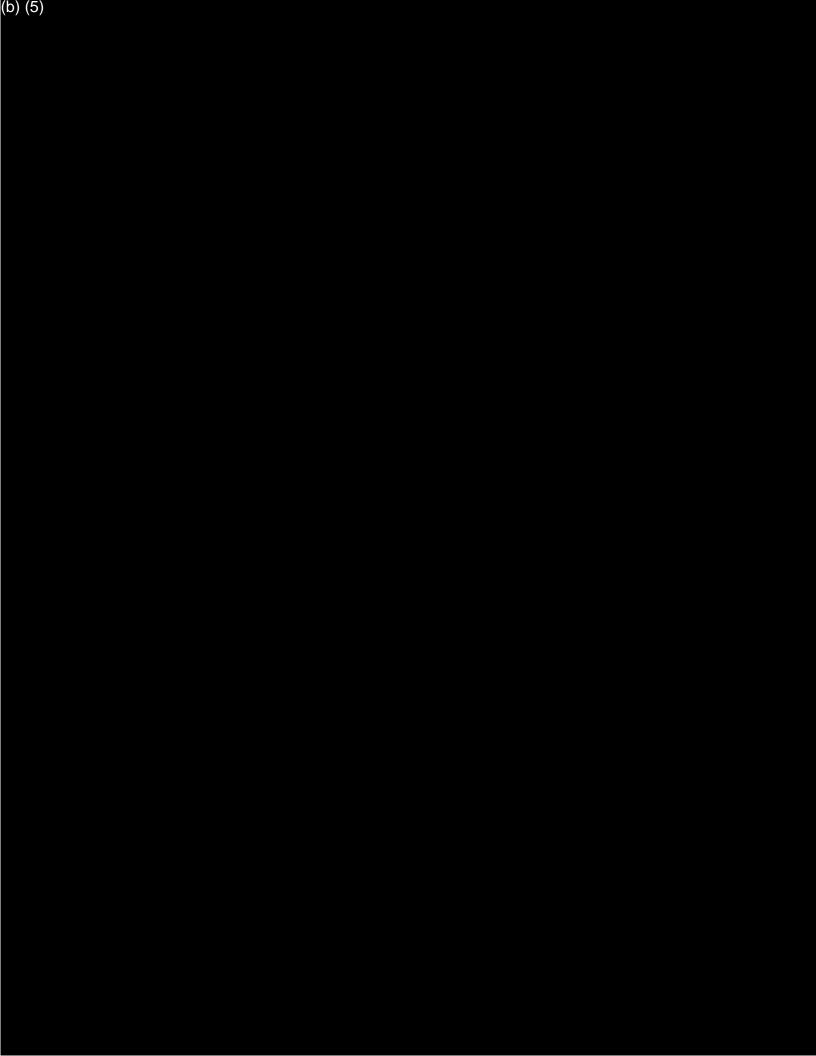
Kevin Warnke | Vice President, Congressional & Intergovernmental Affairs

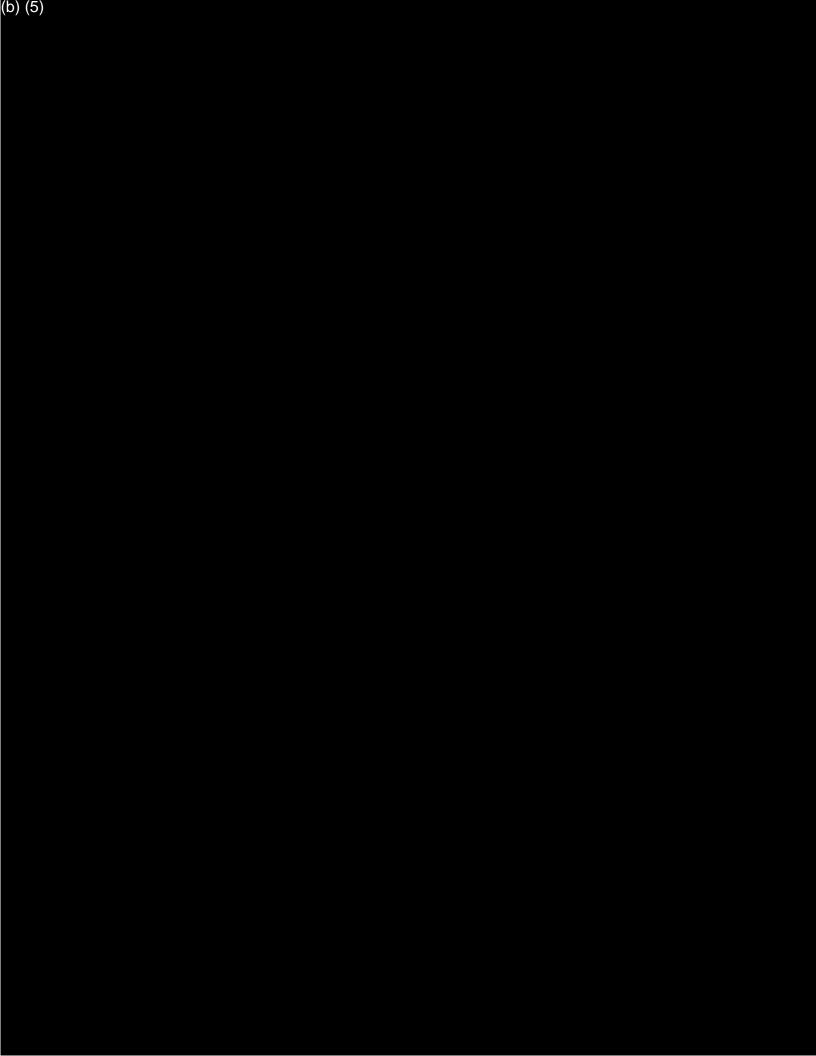
Export-Import Bank of the United States
811 Vermont Ave. NW | Washington, DC 20571
Tel 202.565.3233 | Mobile 202.308.1695 | Kevin.Warnke@exim.gov

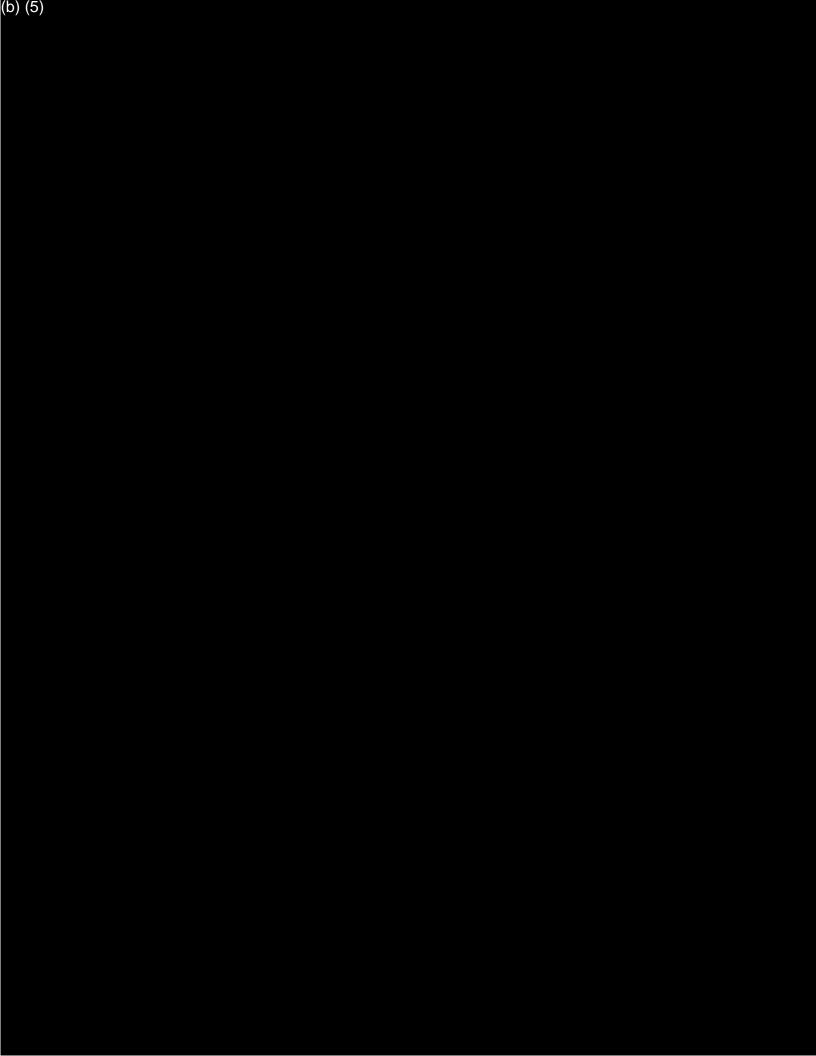


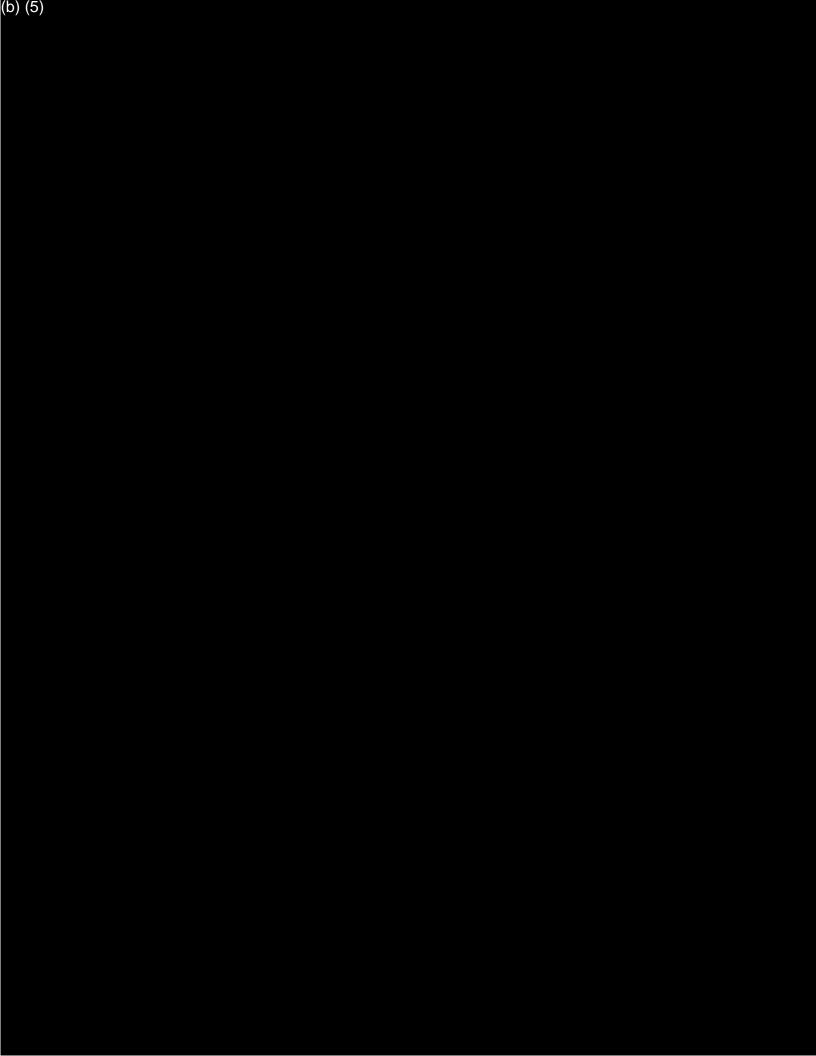
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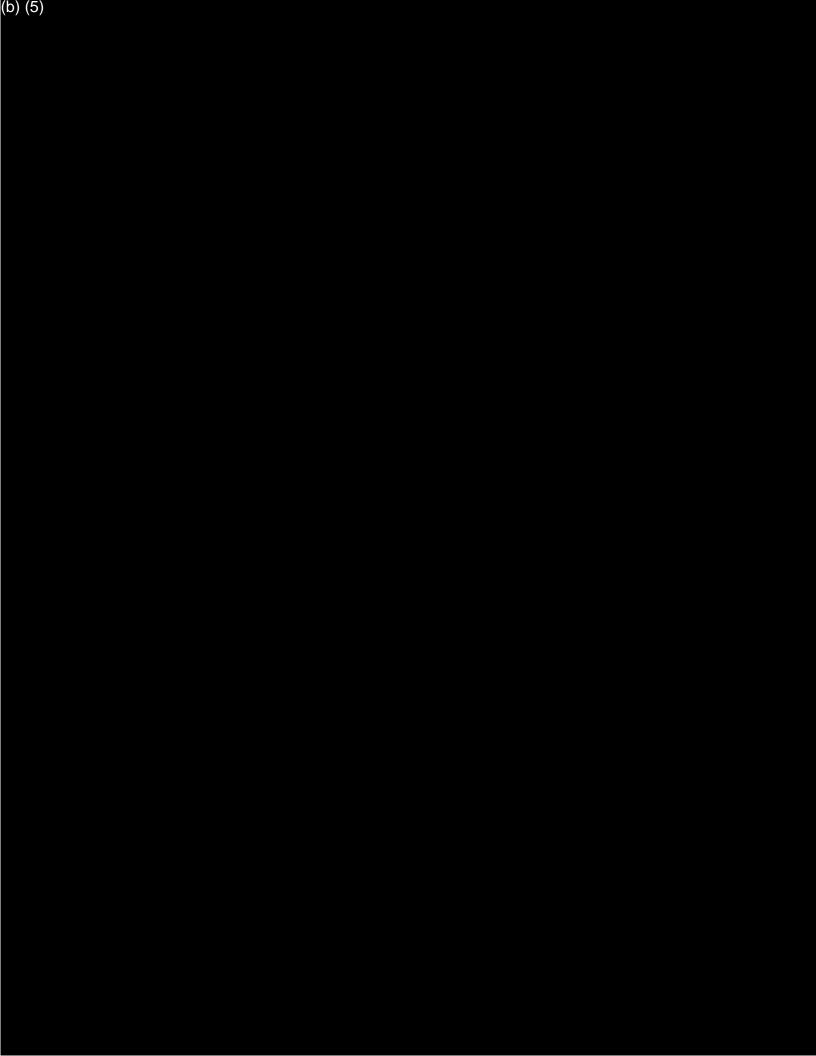


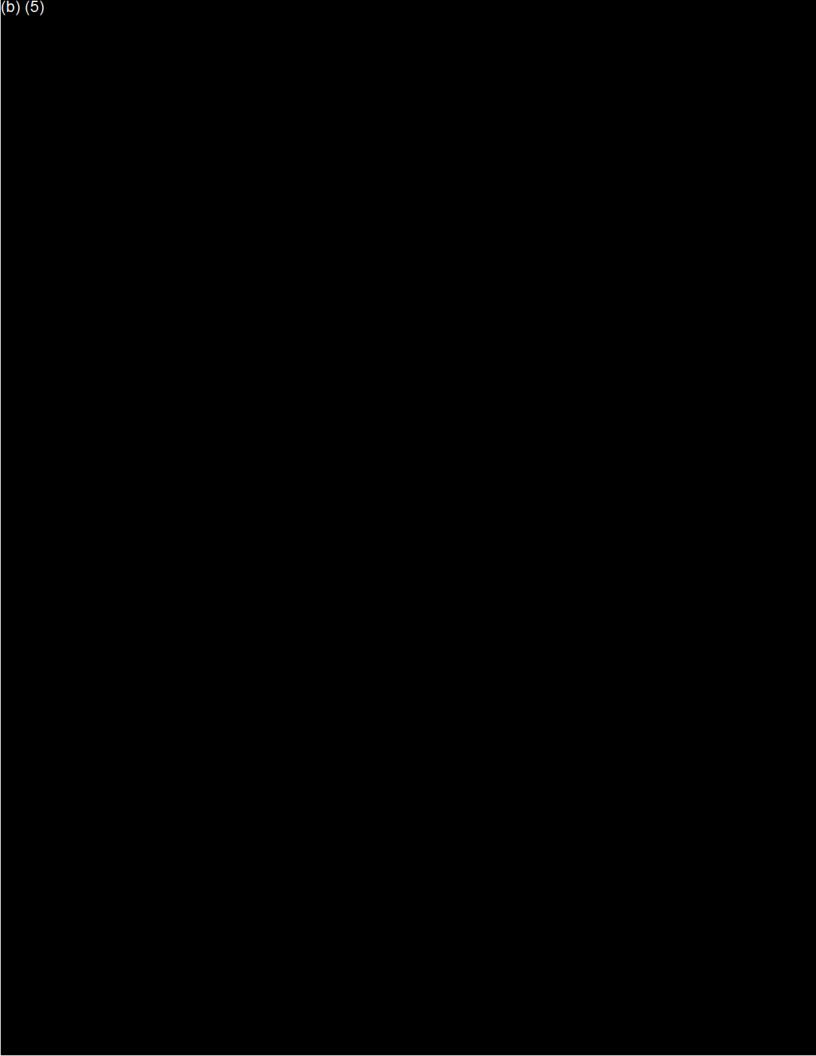


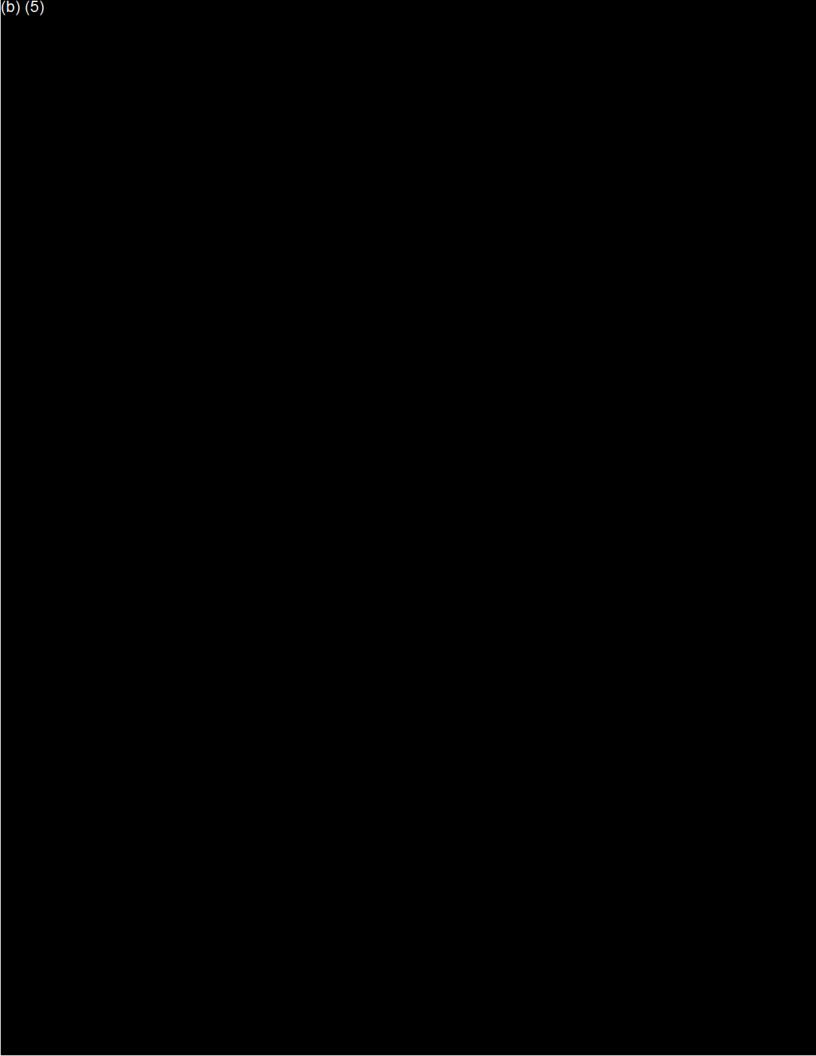


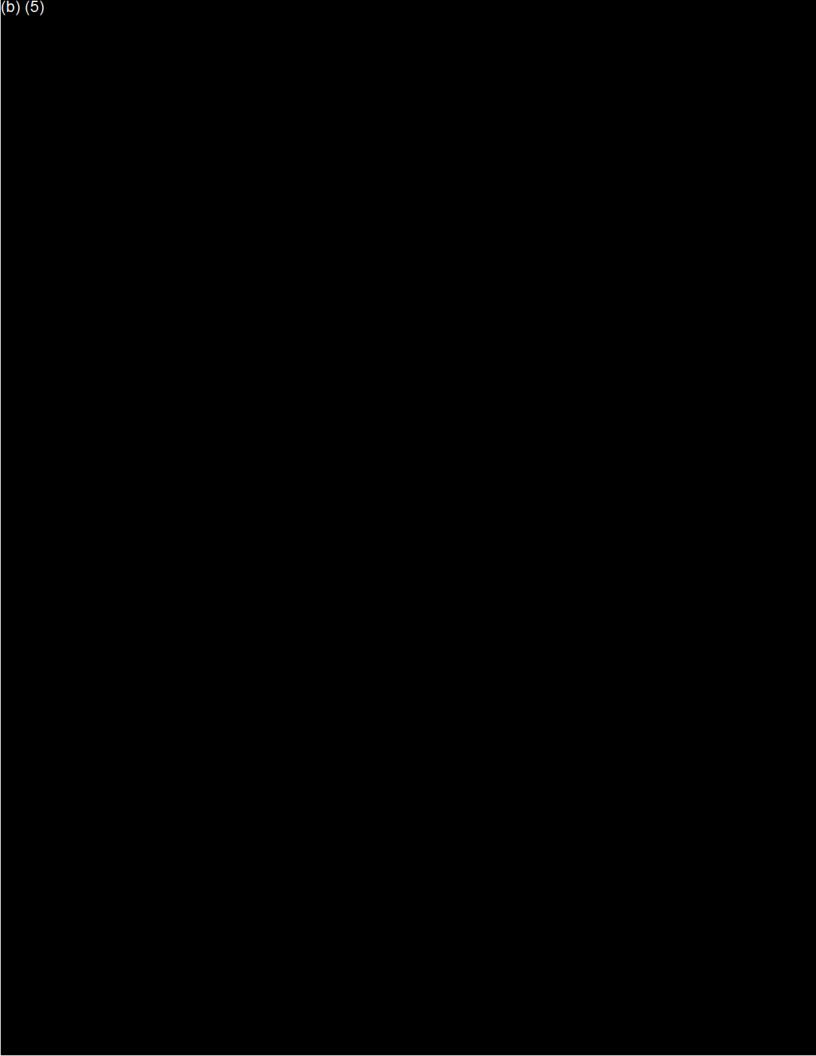


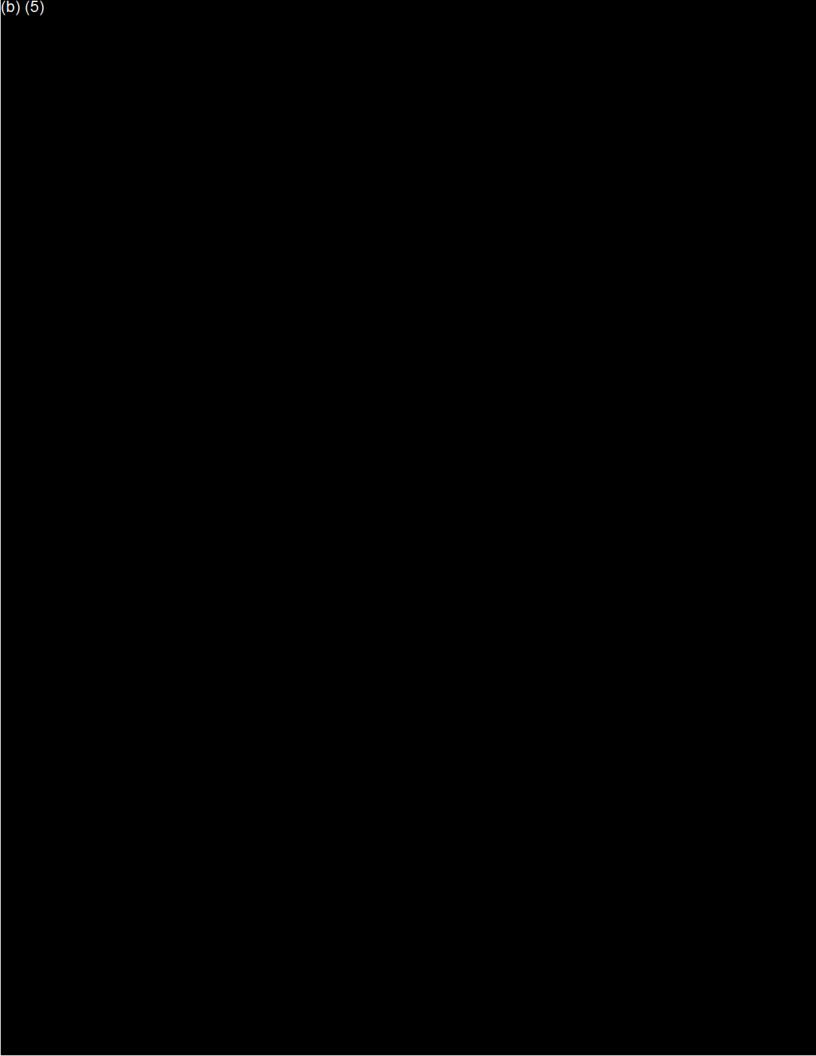












From: Chairman
To: EXIM Bank All

Subject: Staff Announcement from the Chairman Date: Staff Announcement from the Chairman Friday, October 11, 2019 3:59:17 PM

Attachments: <u>image001.png</u>

Happy Friday!

Yesterday's EXIM Board meeting highlighted some important EXIM priorities - including women- and minority-owned businesses and public-private partnerships - which you can read about in the press release. I want to thank Tamara Maxwell for her presentation and MWOB team in general for all the great work they do in promoting EXIM's engagement with this critical customer base. I also want to thank the OPAIR team for all their hard work in preparing the PEFCO deal for the Board vote and Nathalie Herman, Paxton Roberts, and Erin Staton for their helpful board presentations.

There also are some exciting staff announcements as we continue to build out our EXIM team.

- -Jennifer Hazelton, after two years of dedicated service at EXIM, will be moving to another job in the Trump Administration. Jennifer and the high-quality communications team have been instrumental in being EXIM's voice on all the great things that we do. Please join me in thanking Jennifer and wishing her all the best in her next endeavor.
- -Jim Bognet will be the new SVP of Communications. Mr. Bognet has significant communications, policy, and management experience and will be a great addition to EXIM's leadership team.
- -Mary Jean Buhler will be EXIM's new Chief Financial Officer. Ms. Buhler brings extensive federal and private sector experience, including service as CFO for several federal agencies, most recently at the CFTC.

I also would like to recognize and thank Inci Tonguch-Murray for her excellent work as the acting CFO over the past two years. We very much appreciate her dedication and service and know that she, in her current role as Deputy CFO, will be crucial in the transition and continue to be an important member of EXIM's leadership team. Thank you, Inci!

- -Richard Kisielowski will be moving from the OCIA to the Office of the Chairman as the new Executive Secretary and Special Advisor to the Chairman.
- -Jenny Porter will be moving from the Office of the Chairman to OGC as the Special Advisor to the General Counsel.

Please find the bios of the two new hires below.

And, please join me in congratulating everyone! I hope everyone enjoys their long federal holiday weekend!

Warmest regards,

Jim Bognet

Jim Bognet will be joining the Export-Import Bank as the Senior Vice President of Communications. He has extensive experience in corporate and political communications, developing and executing strategies for large corporations, start-ups, elected officials and political campaigns. He has advised corporate clients on reputational issues and served in senior strategy and management roles. Mr. Bognet received his M.B.A. and Juris Doctor degree from UCLA and his BA from Pennsylvania State University. He is admitted to the California bar association.

Mary Jean Buhler

Mary Jean Buhler comes to EXIM with more than 25 years of federal and private sector experience. Ms. Buhler has served as a Chief Financial Officer for both small and large agencies and as the Deputy Chief Financial Officer for the Executive Office of the President. Most recently, Ms. Buhler served as the Chief Financial Officer and Senior Advisor to the Commodity Futures Trading Commission (CFTC) where she just completed the development of the Commission's Enterprise Risk Management (ERM) program. She has been certified as a Public Accountant, Management Accountant, Internal Auditor, Government Financial Manager, and Risk Management Professional.

Kimberly A. Reed | President & Chairman

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571 Tel 202.565.3500 | chairman@exim.gov

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EXPORT-IMPORT BANK OF THE UNITED STATES Reducing Risk. Unleashing Opportunity.

From: Chairman
To: EXIM Bank All

Subject: Staff Announcement from the Chairman Date: Staff Announcement from the Chairman Friday, September 13, 2019 3:37:38 PM

Attachments: image001.png

Good afternoon colleagues,

Happy Friday! I want to thank everyone who helped make the Advisory Committee and Sub-Saharan Africa Advisory Committee joint session such a successful event. We had robust conversations discussing EXIM's programs, reforms, re-insurance pilot program, and Congressional reauthorization. Please find the <u>press release here</u>.

I also want to thank everyone who attended the All-Hands meeting on Monday. If you were not able to attend, please discuss any details and questions you may have with your supervisor. I want to reassure everyone that our leadership team is doing everything they can to get EXIM reauthorized. In support of this effort and maintaining transparency, we will have weekly All-Hands meetings. Next week's All-Hands meeting will take place on Wednesday, September 18, 2019 at 1:30 PM in the Lounge. A calendar invite for the All-Hands will be sent out shortly.

In furtherance of our transformation initiative, I am pleased to announce Adam Martinez as EXIM's Chief Management Officer. In coordination with the Chairman, the Chief Management Officer will provide strategic leadership, vision, planning, administration and evaluation of all aspects of policy and operations in connection with personnel actions, budgeting and expenditures, information and technology, travel, strategic vision and other administrative matters for EXIM.

I am also excited to announce two new hires to the Office of External Engagement: Christine Harbin, Principal Deputy to the SVP of External Engagement, and Brittany Walker, Deputy to the SVP of External Engagement.

Lastly, I am pleased to announce the President's intent to nominate and appoint Peter J. Coniglio as EXIM's incoming Inspector General. Please click <u>here</u> to see the President's announcement. The new hire bios can be found below.

Please join me in welcoming these great new additions to EXIM!

Warmest wishes,

Kim

Adam Martinez

Mr. Martinez brings over twenty years of federal government, state government and association experience to EXIM. For the past 13 years, Mr. Martinez has worked for the Department of Treasury where he served in management and leadership roles in the Office of Terrorism and Financial Intelligence, the Office of Domestic Finance and the Office of Management. In each of his positions, he has been called upon as a change management expert to provide strategic and operational

support in the advancement and implementation of Administration and Congressional federal programs and mandates.

Christine Harbin

Before joining EXIM, Ms. Christine Harbin served at the U.S. Department of Energy as the Associate Deputy Assistant Secretary of Intergovernmental and External Affairs. She has extensive experience in external affairs and policy research. She received her B.A. and MBA from the University of Wisconsin.

Brittany Walker

Prior to joining EXIM, Brittany served as the Special Assistant Secretary for Administration at the Department of Housing and Urban Development. She received her B.A. from the University of Nevada, Las Vegas and J.D. from the Thomas Jefferson School of Law.

Peter Coniglio

Mr. Coniglio has served as a career Federal civil servant for more than 30 years. He has worked in the Office of Inspector General for the General Services Administration since 2006, currently serving as the special assistant and counselor to GSA's Assistant Inspector General for Auditing in the Office of Inspector General. He received his B.A. from Colgate University and J.D. from Drake University Law School.

Kimberly A. Reed | President & Chairman

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571 Tel 202.565.3500 | chairman@exim.gov

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OF THE UNITED STATES

Reducing Risk. Unleashing Opportunity.

From: Coco Stephens
To: EXIM Bank All

Subject: Staff Announcement from the Chairman

Date: Friday, June 7, 2019 9:59:23 AM

Attachments: image001.png

Dear Colleagues,

Thank you again for a great first month at EXIM. As you know, I've been working hard on many fronts, including with hiring. I am pleased to announce two new hires that will begin on Monday, June 10. David Fogel will be joining as Chief of Staff. Lauren Fuller will be joining as Senior Advisor.

Additionally, many of you met our new General Counsel David Slade, who began on May 13. I also would like to announce that the Office of General Counsel will now be reporting directly to me as President and Chairman of EXIM, per the EXIM Charter and Bylaws.

Bios on our new hires are included below.

Please join me in welcoming these new additions to our team. I know they look forward to meeting and working with you.

Have a great weekend.

Kim

Kimberly A. Reed | President & Chairman

Export-Import Bank of the United States 811 Vermont Ave. NW| Washington, DC 20571 Tel 202.565.3500 | chairman@exim.gov

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David Fogel has significant business management, financial, and transactional experience as a two-time entrepreneur in the financial services sector -- SmartPortfolio.com, a financial information company sold to TheStreet.com, and IndexIQ, an exchange-traded fund (ETF) company sold to New York Life Insurance Co. -- and previously as a corporate attorney at Sullivan & Cromwell LLP in NYC. Throughout his life, David has been interested and engaged in public service activities, including time with the White House, U.S. Senate, the federal judiciary for the Chief Judge of the Western District of NY, and former UN Ambassador Jeane Kirkpatrick. He received a BSFS (School of Foreign Service) and a JD/MBA from Georgetown University.

Lauren Fuller has significant management, oversight, legal, and public policy experience. She practiced law in the private sector and for federal agencies, including the Commodity Futures Trading Commission and Legal Services Corporation, where she focused on monitoring and evaluation, and was an Administrative Judge for the U.S. Merit Systems Protection Board. Lauren spent 12 years with the U.S. Congress on four Senate and House committees, including as Chief Investigative Counsel. She is a Certified Fraud Examiner (retired), licensed by the Association of Certified Fraud Examiners (CFE), and a Past President of the Washington Metropolitan Chapter of CFE. She received her BA and JD degrees from the University of Oklahoma.

David Slade was appointed to General Counsel of the Export-Import Bank of the United States (EXIM) on May 13, 2019. Previous to his appointment, Mr. Slade was the Senior Partner of the Washington DC office of Allen & Overy LLP, where he spent 23 years. He has extensive experience representing export credit agencies, multilateral development banks and other development finance institutions, as well as private sector sources of finance. Mr. Slade has focused on many different infrastructure, petroleum, telecommunications, and renewable energy projects in the Middle East,

China, Eurasia, Africa, and the Americas. His most recent focus has been working in conjunction with development finance institutions to develop new co-lending and capital markets products designed to raise billions of dollars from insurance companies, sovereign wealth funds, and other alternative sources of finance for infrastructure projects in emerging markets. In 1980, Mr. Slade graduated with dual degrees: a Juris Doctor from Harvard Law School and a Masters of Arts in Law and Diplomacy from the Fletcher School at Tufts University. He was admitted to the Bar of the State of New York the following year and admitted to the Bar of the District of Columbia in 2012. He received his Bachelor of Arts from Dartmouth College in 1976.

From: Lisa Terry
To: Suzanne L. Meyer
Subject: Trust Expert

Date: Friday, July 19, 2019 10:32:00 AM

Attachments: image001.png

Hi Suzanne,

(b) (5)

Many thanks, Lisa

Lisa V. Terry | Senior Vice President & Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW | Washington, DC 20571 Tel 202.565.3195 | Mobile 202.361.1408 | <u>lisa.terry@exim.gov</u>



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 From:
 Lisa Terry

 To:
 Spencer Bachus

 Subject:
 Udpate #2

Date:Wednesday, February 6, 2019 4:31:14 PMAttachments:EA for Preclearance Bachus Final 2-6-19.docx

image001.png

Spencer,

Here is your final Ethics Agreement. It has been forwarded to the White House. Can you please sign and send back to me? OGE will then submit it and your Form 278 to the Senate.

Best regards,

Lisa

Lisa V. Terry | Senior Vice President and Chief Ethics Officer

Export-Import Bank of the United States 811 Vermont Ave. NW, Office 857 | Washington, DC 20571 Tel +1-202.565.3195 | Mobile +1-202.361-1408 | lisa.terry@exim.gov



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Spencer T. Bachus

February	, 2019
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Lisa V. Terry Senior Vice President and Chief Ethics Officer Export-Import Bank of the United States 811 Vermont Ave. NW Washington, D.C. 20571

Dear Ms. Terry:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of Member, Board of Directors, Export-Import Bank of the United States.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I am a partner of the law firm of Bachus and Brom. Upon confirmation, I will withdraw from the partnership, and my name will be removed from the firm name. I currently have a capital account with the firm, and I will receive a refund of that account after my resignation. Until I have received this refund, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the ability or willingness of the firm to pay this refund, unless I first obtain a written waiver, pursuant to 18 U.S.C. 208(b)(1). For a period of one year after my resignation, I will not participate personally and substantially in any particular matter involving specific parties in which I know this firm is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d). In addition, I will not participate personally and substantially in any particular matter involving specific parties in which I know a former client of mine is a party or represents a party for a period of one year after I last provided service to that client, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

I will retain an interest in a contingency fee case that will remain with the Parker and Lundy Law Firm. My interest in the case will be fixed prior to my confirmation. I will not participate personally and substantially in any particular matter that to my knowledge has a direct

and predictable effect on the ability or willingness of the firm, any of the clients involved in this case, or any opposing party to make any payments related to this case, unless I first obtain a written waiver pursuant to 18 U.S.C. § 208(b)(1).

Upon confirmation, I will resign from my positions on the board of directors of Bread for the World and Alzheimer's of Central Alabama. I resigned from my position with the Helen Keller Foundation in June 2018. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which I know that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

I will divest my interests in the entities listed on Attachment A within 90 days of my confirmation. With regard to each of these entities, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the entity until I have divested it, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

If I rely on a *de minimis* exemption under 5 C.F.R. § 2640.201(b) with regard to any of my financial interests in sector mutual funds, I will monitor the value of those interests. If the aggregate value of my interests in sector mutual funds that concentrate in any one sector exceeds \$50,000, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of any holdings of the funds that are in the specific sector in which the funds concentrate, unless I first obtain a written waiver pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2).

I understand that I may be eligible to request a Certificate of Divestiture for qualifying assets and that a Certificate of Divestiture is effective only if obtained prior to divestiture. Regardless of whether I receive a Certificate of Divestiture, I will ensure that all divestitures discussed in this agreement occur within the agreed upon timeframes and that all proceeds are invested in non-conflicting assets.

If I have a managed account or otherwise use the services of an investment professional during my appointment, I will ensure that the account manager or investment professional obtains my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the exemption at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds.

I understand that as an appointee I am required to sign the Ethics Pledge (Exec. Order No. 13770) and that I will be bound by the requirements and restrictions therein in addition to the commitments I have made in this ethics agreement.

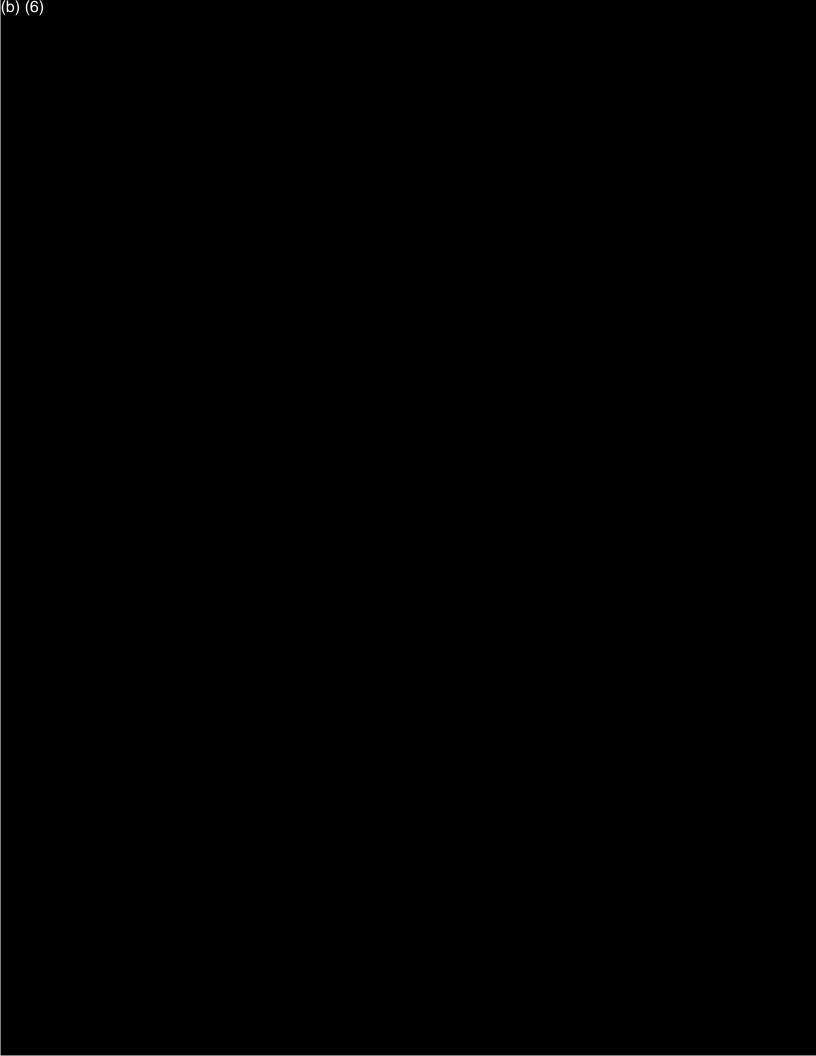
I will meet in person with you during the first week of my service in the position of Member of the Board in order to complete the initial ethics briefing required under 5 C.F.R. § 2638.305. Within 90 days of my confirmation, I will also document my compliance with this

ethics agreement by notifying you in writing when I have completed the steps described in this ethics agreement.

I have been advised that this ethics agreement will be posted publicly, consistent with 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other Presidential nominees who file public financial disclosure reports.

Sincerely,

Spencer T. Bachus



From: Lisa Terry To: Cc:

"Kimberly Reed"
Margaux Matter
Update from Office of Government Ethics Subject: Date: Wednesday, January 2, 2019 2:56:21 PM

Hi Kim,

(b) (5)		

Best regards,

Lisa