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CY 2018 – CY 2019

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Federal Housing Finance Agency
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OFFICE OF INSPECTOR GENERAL
Federal Housing Finance Agency

400 7th Street SW, Washington, DC 20219

March 20, 2020

By Electronic Mail

RE: Freedom of Information Act

This letter responds to your February 23, 2020 request pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. §552, which was received by the Federal Housing Finance Agency's Office of Inspector General (FHFA-OIG) on February 24, 2020. Your request has been assigned the tracking number 2020-FOIA-00012 and seeks the following information:

A copy of the listing of Inspector General Investigations closed during Calendar Years 2018 and 2019. I anticipate this list would contain at minimum the case number, the dates opened and closed, the subject matter of the investigation, and the investigation category. While I understand that some information might not be released, please release all segregable releasable portions.

FHFA-OIG has conducted a search and has determined that it possesses **20** pages of records responsive to your request. These are attached.

Certain information contained in these records have been exempt and withheld under the following FOIA Exemptions:

- (b)(4), Permits withholding of records related to trade secrets and other confidential business information.
- (b)(5), Permits withholding information under the deliberative process privilege, including the pre-decisional documents, or information that could be withheld under civil discovery, attorney-client, or attorney-work product privileges.
- (b)(6), Permits withholding of records and information about individuals when disclosure would be a clearly unwarranted invasion of personal privacy.
- (b)(7)(A), Permits withholding of records when interference with law enforcement proceedings can be reasonably expected.
- (b)(7)(C), Permits withholding of records when an unwarranted invasion of personal privacy could reasonably be expected.
- (b)(7)(D), Permits withholding of records when revealing a confidential source or information provided by a confidential source could reasonably be expected.
- (b)(7)(E), Permits withholding of records when techniques and procedures for law

Non-Public


enforcement investigations or process would be disclosed or provided such disclosure could reasonably be expected to risk circumvention of law.

Non Responsive , Non-Responsive record or information

This is the final decision on your request. You may contact me for any further assistance at foia@fhfaoig.gov. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: *Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.*

If you are not satisfied with the response to this request and believe this decision denies your request in whole or in part, you may appeal it in writing within 90 days by writing directly to the FOIA Appeals Officer via electronic mail, mail, delivery service, or facsimile. Your appeal must cite the applicable tracking number(s) for the request(s) you contend to have been denied. Your appeal must include a copy of the request(s) you contend to have been denied, a copy of the decision letter, and a statement of circumstances, reasons, or arguments you believe support disclosure of the requested record(s). Your appeal must also be clearly marked "FOIA Appeal: FHFA-OIG." The electronic mail address is: foia@fhfaoig.gov. For mail or delivery service, the mailing address is: FOIA Appeals Officer, Federal Housing Finance Agency, 400 7th Street, SW, Washington, DC 20219. The facsimile number is: (202) 649-1073. Your appeal must be postmarked or electronically transmitted within 90 days of the date of the response to your request.

Sincerely,

A handwritten signature in black ink that reads "Sheila J. Peden". The signature is written in a cursive, flowing style.

Sheila Peden
Acting FOIA Officer

FOIA OI Closed Cases

01/01/2018 - 12/31/2018

Case Number	Date Opened	Date Closed
I-11-0004	03/25/2011	07/11/2018

Allegation: Alleged Homeowners Protection Economics (HOPE) induced thousands of financially distressed homeowners to pay up-front fees of up to \$2,000 each in exchange for loan modifications, modification services, and “software licenses”. In exchange for the fee, HOPE sent homeowners a “do-it-yourself” application package that was nearly identical to the U. S. Government’s free application through the Home Affordable Modification Program, a federally funded mortgage assistance program implemented under TARP. HOPE falsely represented to homeowners that, with HOPE’s assistance, the homeowners were virtually guaranteed to receive a loan modification under HAMP. More than 200 complaints had been received through the Better Business Bureau, Prevent Loan Scams Database, Sentinel, and the SIGTARP hotline. Of the over 100 victims interviewed by SIGTARP,

(b)(4)

(b)(4)

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-11-0029	05/02/2011	08/08/2018

Allegation: Allegations reflect there was potential fraud in the sales of condo units in (b)(6) which was a condo conversion property. The alleged fraud involved as a cash back scheme to the buyers through the use of management companies that were set up as a conduit to get the cash back to the buyer.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-12-0059	10/17/2011	02/14/2018

Allegation: (b)(7)(E) notified OIG that a former FNMA employee abused her position by compromising borrower accounts and attempting to sell PII from borrowers to outsiders.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0068	10/18/2011	10/09/2018

Allegation: Case was initiated based on allegations of loan modification fraud.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0079	11/16/2011	06/29/2018

Allegation: Case was initiated based on allegations of load modification fraud.

Disposition: Referred or Outside Investigative Jurisdiction, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0103	01/25/2012	07/16/2018

Allegation: Case was initiated based on allegations of mortgage origination fraud involving the filing of false documents.

Disposition: Referred or Outside Investigative Jurisdiction, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0146	05/08/2012	03/26/2018

Allegation: This case was initiated based on information received via court documents, in which FHFA is the plaintiff on behalf of the Enterprises. This action arises out of defendants’ actionable conduct in connection with the alleged offer and sale of certain residential mortgage-backed securities (RMBS) to Fannie Mae and Freddie Mac (collectively, the Government Sponsored Enterprises or the GSEs).

Disposition: Admin Action Taken Based on Investigation, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0149	05/08/2012	03/26/2018

Allegation: A banking institution allegedly made false and misleading statements and omissions in registration statements, prospectuses, and other offering materials to sell its residential mortgage-backed securities (RMBS), which was purchased by clients like Fannie Mae and Freddie Mac.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0150	05/15/2012	12/20/2018

Allegation: It is alleged that owner/builder of residential homes together with co-conspirators engaged in schemes to defraud financial institutions by causing the origination of loans based upon false representations, including the overstatement of income.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0152	06/04/2012	02/09/2018

Allegation: Allegation that a former branch manager/loan officer of a bank and their spouse originated and/or reviewed numerous loans containing false documentation such as inflated income and assets in coordination with a local real estate company. Both the loan officer and the real estate company benefited from the increase in sales.

(b)(4)

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0158	05/31/2012	10/15/2018

Allegation: Allegation that a developer induced buyers with incentives and down payment assistance in order to unload excess inventory condominiums. Developer recruited a network of realtors and mortgage brokers who appear to have facilitated the purchases for the seller with falsified documentation to quality buyers and undisclosed incentives that were not reported to the lender. The sales values of the condominiums were inflated to pay for the various incentives the developer provided.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0177	08/14/2012	03/26/2018

Allegation: Investigation of an allegation that a certain broker-dealer used confidential information of the GSE's to trade ahead of the GSE's in certain interest rate products.

Disposition: Admin Action Taken Based on Investigation, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0184	09/17/2012	03/20/2018

Allegation: This investigation was initiated (b)(7)(E) the following pertinent information: the subject, a loan officer for a mortgage company was identified as the originating loan officer on loans between October 2002 and July 2009. (b)(7)(E)

(b)(7)(E) misrepresentations of income, debt and/or occupancy (b)(7)(D);(b)(7)(E)

(b)(7)(D);(b)(7)(E)

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0193	10/11/2012	10/12/2018

Allegation: (b)(7)(A)

(b)(7)(A)

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0196	10/15/2012	11/01/2018

Allegation: Allegations of RMBS and underwriter fraud from 2002 to 2008.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0251	02/08/2013	02/22/2018

Allegation: Case initiated based on allegations of loan origination fraud related to a builder bailout scheme.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0255	02/28/2013	10/09/2018

Allegation: Case was initiated based on allegations of fraud in the offering of residential mortgage backed securities.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0263	03/27/2013	07/17/2018

Allegation: Allegation that multiple parties affiliated with a developer engaged in a "builder bailout" scheme wherein the owners of the development offered undisclosed incentives to borrowers and realtors in order to sell their excess inventory homes. These incentives included leaseback agreements, cash to closing, and excessive commissions which were not disclosed to the lenders.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0269	04/04/2013	02/28/2018

Allegation: Individual purported to be an agent of Freddie Mac who could sell real estate to investors at deep discounts. However, the individual did not work for Freddie Mac, and did not have access to real estate at deep discounts.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0270	04/01/2013	01/12/2018

Allegation: Case was initiated based on allegations of loan origination fraud which contributed to the failure of a Federal Home Loan Bank member bank.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0271	04/18/2013	03/17/2018

Allegation: Case was initiated based on allegations that a bank officer accepted a bribe in exchange for approving loans on behalf of a Federal Home Loan Bank member bank.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0275	05/03/2013	03/26/2018

Allegation: Relator alleges servicer submitted false claims to Fannie Mae for loan modification fees that were not performed.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0300	08/05/2013	03/13/2018

Allegation: Developer allegedly conspired with co-conspirators to provide undisclosed incentives of \$80,000 to \$100,000 to the buyers. These incentives were not disclosed to the lender. Majority of loans went into foreclosure shortly thereafter causing losses to financial institutions and GSE's.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0331	09/26/2013	04/06/2018

Allegation: Subject company works as third party in transaction for builders who are unable to sell their inventory properties. Subject company recruits buyers to purchase excess inventory from builders who are unable to sell the properties. Subject company allegedly provides undisclosed concessions to recruited buyers in return for purchasing the property. Subject company allegedly offers buyers a "guaranteed lease" in which they pay the buyers monthly sum typically more than the mortgage payment, fees, taxes and maintenance for a period of 24 to 36 months. (b)(7)(D);(b)(7)(E)

(b)(7)(D);(b)(7)(E)

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0339	10/15/2013	09/10/2018

Allegation: Case was initiated based on allegations of loan modification fraud.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0357	10/30/2013	04/13/2018

Allegation: Fannie Mae 3rd-party hosted web application checkmynpv.com was taken down on multiple occasions by an outside attacker. Further investigation revealed that the attacker utilized proper administrative credentials to access and make the application inoperable. (b)(7)(E) and via a subsequent subpoena it was determined that former contract employee Sathish Kumar Chaduhn Rajendran (b)(7)(C) the attack likely occurred. (b)(7)(C)

(b)(7)(C)

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0359	01/16/2014	03/29/2018

Allegation: Case was initiated based on allegations of fraud in the offering of residential mortgage backed securities.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0373	02/25/2014	04/04/2018

Allegation: Allegation received that (b)(6);(b)(7)(C) area real estate broker who had a Master Listing Agreement (MLA) contract with Fannie Mae to sell Fannie REO properties but the contract was allegedly terminated in retaliation by Fannie Mae when (b)(6);(b)(7)(C) reported suspected REO broker fraud to Fannie Mae. (b)(6);(b)(7)(C) suspected a former employee may have embezzled reimbursement funds intended for the brokerage with the assistance of FNMA REO staff.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0404	04/24/2014	03/12/2018

Allegation: Case was initiated based on allegations of fraud in the offering of loan modification services.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0429	07/05/2014	07/05/2018

Allegation: Analysis indicates that a real estate agent based in (b)(6) is brokering real estate contracts to re-sell pre-foreclosures for greater amounts than the contracts he is brokering for the short sales. Initial analysis indicated the properties are being flipped on the same day or shortly after.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0432	07/10/2014	03/14/2018

Allegation: Several individuals allegedly engaged in mortgage fraud involving cash to close, kickbacks, and undisclosed incentives in a builder bailout scheme. These alleged frauds involved properties in central and southern Florida.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0437	07/21/2014	07/30/2018

Allegation: This investigation involves a complaint (b)(7)(E) regarding the Affordable Housing Program (AHP). It is alleged that the intermediary who was charged with rehabilitating various houses provided false funding certifications to that FHLB that the work had been completed when in fact it had not.

(b)(7)(E)

(b)(7)(E) The intermediary allegedly received funds that they were not entitled, too.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0442	07/31/2014	05/04/2018

Allegation: The target of this investigation purchased GSE REO properties and flipped those properties to would be property investors under alleged false pretenses. The properties subsequently defaulted and losses were incurred.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0447	09/04/2014	02/15/2018

Allegation: Case was initiated based on allegations of theft of REO properties.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0461	10/24/2014	10/16/2018

Allegation: Alleged subject purchased multiple properties by securing mortgages with false information. Subject then allegedly sold the properties to straw buyers, who also provided false information to lenders. Alleged subject paid straw buyers outside of closing.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0465	11/04/2014	01/30/2018

Allegation: Case was initiated based on allegations of loan origination fraud associated with a builder bailout scheme.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0473	11/21/2014	11/16/2018

Allegation: Complainant alleges violations of the Financial Institutions Reform, Recovery and Enforcement Act (FIRREA) as well as other securities laws where a bank refinanced loans that it sold to the GSEs and it had a practice of not paying off prior second liens in violation of the seller/servicer guidelines.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0488	01/06/2015	10/12/2018

Allegation: The investigation involves a foreclosure rescue scheme in which the targets allegedly intend to falsely deed properties to themselves without the borrower victim's knowledge. The targets then intend to obtain the payoff amount, price the properties, and flip them to recruited buyers in order to profit. One of the properties involved is GSE owned.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0508	03/11/2015	03/28/2018

Allegation: It was alleged that potential criminal activity at a title company in Maryland may have taken place where it involved the theft of escrow funds intended for the payoff of existing mortgages. These mortgages were not paid off as the home sellers had expected and it appeared that the stolen escrow funds may have been used for personal gain.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0511	04/01/2015	07/25/2018

Allegation: (b)(7)(E)

(b)(7)(E) the owner and operator of title company is potentially selling false title insurance policies. The title company allegedly was dropped from their underwriter as a provider of title insurance but continued to issue title insurance policies using the underwriter as the insurer.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0517	04/06/2015	03/26/2018

Allegation: (b)(7)(E) regarding a theft of mortgage proceeds through possible identity theft. The mortgages involved impact the GSEs.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0530	06/15/2015	03/29/2018

Allegation: This is an investigation was initiated based on alleged short sale fraud involving realtors in the northern Nevada area.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0551	10/15/2015	06/25/2018

Allegation: Case was initiated based on allegations of fraud in the offering of loan modification services.

Disposition: Allegation(s) Disproven or Unsubstantiated, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0563	01/07/2016	07/05/2018

Allegation: A referral was made to FHFA-OIG regarding an alleged short sale fraud scheme where properties are sold to a certain entity and sold quickly after at significantly higher prices. It is alleged that the borrowers involved in selling to the entity may have been taken advantage of or could possibly be involved in the scheme. It is alleged that there are possible arms-length issues between the entity, a lender and a realtor.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0567	02/04/2016	01/08/2018

Allegation: (b)(6);(b)(7)(C) participated in bankruptcy fraud by supplying a false Fannie Mae HAMP document. (b)(6);(b)(7) involvement in the (b)(6) fraud scheme will also be investigated to determine if additional properties exist that involve Fannie Mae and Freddie Mac.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0569	02/12/2016	06/26/2018

Allegation: (b)(7)(D);(b)(7)(E)

(b)(7)(D);(b)(7)(E)

(b)(7)(D);(b)(7)(E) alleged that one of those warranty deeds is fraudulent and that the bank did not deed a property over to the entity stated in the warranty deed filed. One of the warranty deeds provided for the loan application was allegedly granted by Fannie Mae.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0570	02/12/2016	01/07/2018

Allegation: This office received information alleging embezzlement from a Freddie Mac multifamily property.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0572	02/19/2016	07/02/2018

Allegation: (b)(7)(E) several individuals engaged in a non-arm's length short sale in which the original party continues to reside in the property.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0578	03/07/2016	03/02/2018

Allegation: (b)(7)(E)

(b)(7)(E) It is alleged that the developers of a condominium project in (b)(6) may have offered incentives to straw buyers or absentee investors (b)(5) of which have been foreclosed on.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0580	03/09/2016	05/08/2018

Allegation: (b)(6);(b)(7)(C) participated in a short sale fraud scheme and is utilizing the bankruptcy process to facilitate a fraud. The Trustee reviewed many of the real estate transactions (b)(6);(b)(7) participated in and does not feel that the transactions were arms-length. The real estate transactions reviewed were short sales that involved relatives, lifelong friends and business partners.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0583	03/25/2016	01/04/2018

Allegation: This case was opened pursuant to information received alleging a loan modification scheme.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0585	04/01/2016	10/15/2018

Allegation: The office of the United States Trustee referred this matter to the United States Attorney's Office for further investigation.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0587	04/04/2016	02/05/2018

Allegation: Allegation a subject was operating as an unlicensed mortgage broker in Maryland.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0591	05/05/2016	12/06/2018

Allegation: Subject mortgage broker, through the refinance process, is alleged to have fraudulently directed loan payoff funds to his own bank account.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0602	05/25/2016	10/12/2018

Allegation: Case referral from (b)(7)(E) alleging the debtor may have committed fraud and misappropriated federal program funds of a non-profit counseling agency who works with FNMA and FHLMC. Other violations may include bankruptcy fraud.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0605	05/31/2016	07/14/2018

Allegation: (b)(7)(E) provided information alleging that a realtor engaged in a scheme to fraudulently obtain short sale properties and flip them to subsequent buyers while concealing her conduct from lenders.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0621	08/24/2016	12/03/2018

Allegation: Allegation of a false deed filing and a fraudulent pay off cashier's check in a potential sovereign citizens scheme.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0631	10/11/2016	10/15/2018

Allegation: A title attorney used his mortgage company and title company to refinance his own property. The title attorney used the refinance proceeds for his personal benefit and did not pay off the existing loan on the property.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0632	10/14/2016	12/13/2018

Allegation: An allegation was received that an attorney was advising borrowers to not pay their mortgage because the bank/servicer did not own their mortgage. Multiple borrowers stopped paying their mortgage, went into foreclosure, and lost their homes. The attorney collected a monthly fee from the borrowers during the process.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0635	11/04/2016	06/08/2018

Allegation: This investigation is regarding a potential non-arm's length short sale fraud and a potentially fraudulent mortgage origination in order to purchase the property from short sale.

Disposition: A (b)(5)

Case Number	Date Opened	Date Closed
I-17-0646	01/27/2017	07/20/2018

Allegation: Referral received from HUD OIG alleging that Michael Rubino, owner of R&M Property Solutions, is identifying vacant homes in various stages of foreclosure and introducing tenants into the homes. Rubino is then allegedly charging and receiving rental proceeds from the tenants. Allegedly, Rubino has no claim to ownership of the properties in question.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0652	02/21/2017	03/02/2018

Allegation: The criminal complaint emanated from a (b)(6);(b)(7)(C) of their intent to place a lien on their property. A local news story publicized that many home owners also were to have liens placed on their properties because the same (b)(6) failed to pay the (b)(6) supplier. Upon completing a doc demand it was determined that (b)(4) (b)(4) It is also alleged the owner of the (b)(6) misappropriated the funds he received from homeowners to gamble at casinos.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0655	03/14/2017	02/15/2018

Allegation: The subjects allegedly engaged in short sale real estate fraud against the GSEs in their capacity as licensed real estate agents.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0668	05/09/2017	01/24/2018

Allegation: (b)(6) (b)(6)

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0683	08/21/2017	03/13/2018

Allegation: (b)(6);(b)(7)(C) and other individuals may be engaged in a scheme to defraud mortgage lenders by using gift letters in support of loan applications. It has also been alleged (b)(6);(b)(7)(C) may be misappropriating funds during the loan closings.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-18-0699	11/15/2017	07/03/2018

Allegation: (b)(6);(b)(7)(C) (b)(6);(b)(7)(C) The attack resulted in the dissemination of employees' personally identifiable information to the perpetrator. Numerous employees informed the bank that they attempted to file their tax returns, but were rejected.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-18-0708	01/22/2018	09/25/2018

Allegation: This investigation was initiated to determine if the (b)(6);(b)(7)(C) and the (b)(6);(b)(7)(C) engaged in a scheme to defraud the Affordable Housing Program in the metro Atlanta area.

Disposition:

(b)(5)

Case Number	Date Opened	Date Closed
I-11-0027	04/26/2011	09/23/2019

Allegation: (b)(7)(E) provided an open investigation referral which identified a company as involved in a property flipping scheme that utilized inflated appraisals and loan level misrepresentations to lenders.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-11-0034	06/20/2011	05/07/2019

Allegation: It is alleged that subjects identifying themselves as sovereign citizens are promoting a scheme where fraudulent deeds are provided for vacant properties in exchange for a fee.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0065	10/19/2011	05/13/2019

Allegation: Short sale and loan origination fraud. Defendants allegedly conspired with each other to submit false mortgage loan applications, supporting documents and false closing documents were submitted to the lenders. In addition, LLC's were formed to conceal the identities of defendants as well as the receipt and distribution of fraudulently obtained mortgage loan proceeds.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0067	10/19/2011	07/26/2019

Allegation: A local mortgage company that is alleged to have originated fraudulent mortgages by materially misrepresenting borrower information such as income and liabilities.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-12-0090	11/28/2011	03/08/2019

Allegation: Subject and co-conspirators allegedly provided undisclosed incentives to buyers in order to sell condo units in south Florida.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-12-0113	02/21/2012	07/24/2019

Allegation: Allegation a Chicago area company is purchasing properties (b)(6);(b)(7)(C) area of Chicago and quickly flipping them through the use of straw buyers.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-12-0131	04/02/2012	12/06/2019

Allegation: This case is regarding a company that was allegedly operating a foreclosure rescue scheme.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-12-0151	05/10/2012	07/10/2019

Allegation: Subject company employees allegedly conspired with bank in FL, to falsify its financial statements to make it appear as though subject company was fiscally solvent. This was done so that the bank could sell non-

performing reverse mortgages it held for GSE.

Disposition:

(b)(5)

Case Number

Date Opened Date Closed

I-12-0156

06/04/2012

12/06/2019

Allegation: Allegation a banking institution made false and misleading statements and omissions in registration statements, prospectuses, and other offering materials to sell its residential mortgage-backed securities (RMBS), which purchased by clients like Fannie Mae and Freddie Mac.

Disposition: Civil Action Taken Based on Investigation, Case Closed

Case Number

Date Opened Date Closed

I-12-0162

06/18/2012

07/22/2019

Allegation: Loan officers at a mortgage broker company based in Nassau County, New York were allegedly involved in a series of schemes to knowingly process loans that contained fraudulent information, including inflated bank accounts and inflated income causing monetary losses to financial institutions and the federal housing administration.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number

Date Opened Date Closed

I-12-0171

07/27/2012

05/23/2019

Allegation: Case was initiated based on allegations that subjects were operating a fraudulent foreclosure rescue scheme.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number

Date Opened Date Closed

I-13-0199

10/17/2012

06/10/2019

Allegation: (b)(7)(E) a referral to FHFA-OIG after recognizing high default rates and possible misrepresentations from properties sold by a common real estate developer. Investigation has revealed

(b)(7)(A)

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number

Date Opened Date Closed

I-13-0211

11/14/2012

07/25/2019

Allegation: Numerous distressed properties were purchased through foreclosure sales. After the purchase the properties construction loans were obtained on numerous properties in order to renovate them; however, the renovations did not occur in several instances before the properties were flipped to straw buyers.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number

Date Opened Date Closed

I-13-0212

11/20/2012

04/19/2019

Allegation: This investigation involves a real estate business that sold homes funded by seller carryback / wrap loans. When the company received payments on the seller carryback loans, they failed to payoff the first mortgage of the home eventually causing foreclosure. In addition, a business partner with the same real estate / property investment company was involved in the management of three FHL member banks and these banks made large loans to business partners of his and the funds were used to his benefit. The banks failed and these conflict of interest loans caused large losses.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number

Date Opened Date Closed

I-13-0223

12/04/2012

07/26/2019

Allegation: Condo conversion scheme allegedly involving mail and wire fraud in south Florida.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0244	01/18/2013	07/28/2019

Allegation: Qui Tam complaint alleging illegal and excessive charges for foreclosure services by the foreclosure services defendants.

Disposition: Civil Action Taken Based on Investigation, Case Closed

Case Number	Date Opened	Date Closed
I-13-0246	01/29/2013	09/13/2019

Allegation: FHFA-OIG is conducting an investigation of alleged loan origination fraud based in Ventura County California.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-13-0273	04/29/2013	05/14/2019

Allegation: This case concerns allegations of fraud through the Fannie Mae contracting services.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0294	03/20/2013	07/24/2019

Allegation: Relator alleges fee manipulation by loan servicer resulting in decreased returns to investors of residential mortgage backed securities.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0309	02/03/2013	07/28/2019

Allegation: Qui Tam investigation regarding the HAMP and HomeSaver Forbearance Programs.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-13-0313	08/12/2013	05/13/2019

Allegation: This is a civil residential mortgage backed securities (RMBS) investigation (b)(7)(E)

(b)(7)(E) The allegations are that the defendant, through its offer and sale of various RMBS tranches to FHFA and the GSE's omitted and/or failed to disclose material facts that would cause the reasonable investor not to invest in said securities.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0346	10/11/2013	05/14/2019

Allegation: Allegation a title company created fraudulent documents in order to buy and sell properties on the same day.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0349	11/19/2013	07/26/2019

Allegation: Qui Tam alleging reckless origination and underwriting practices such that the subject ignored its fiduciary duty to the GSE's.

Disposition: Civil Action Taken Based on Investigation, Case Closed

Case Number	Date Opened	Date Closed
I-14-0355	11/21/2013	09/24/2019

Allegation: Subject was allegedly obtaining quit claim deeds illegally on REO/ foreclosed residence's and then selling them to buyers.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0362	01/21/2014	03/19/2019

Allegation: Allegation a British based banking institution made false and misleading statements and omissions in registration statements, prospectuses, and other offering materials to sell its residential mortgage-backed securities (RMBS), which purchased by clients like Fannie Mae and Freddie Mac.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0378	11/08/2013	07/08/2019

Allegation: Case initiated based on an alleged short sale fraud scheme involving realtors and an attorney.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0396	04/10/2014	08/07/2019

Allegation: (b)(5)

(b)(5)

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0402	04/22/2014	07/25/2019

Allegation: This is a civil residential mortgage backed securities (RMBS) investigation initiated based on (b)(7)(E). The allegations are that the defendant, through its offer and sale of various RMBS tranches to FHFA and the GSE's omitted and/or failed to disclose material facts that would cause the reasonable investor not to invest in said securities.

Disposition: Civil Action Taken Based on Investigation, Case Closed

Case Number	Date Opened	Date Closed
I-14-0403	04/22/2014	12/12/2019

Allegation: A subject was arrested by the Hyattsville Police. Post-arrest, on the subject's phone, images were found containing PII of GSE employees' pension information.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0410	01/14/2014	07/30/2019

Allegation: Complaint filed by relator alleges potential FIRREA (12 USC § 1833a, et seq). Violations where Bank of America (BOA) committed mail or wire fraud, affecting federally insured financial institutions (FIFIs), in relation to the underwriting, securitization, and sale of residential mortgage backed securities (RMBS).

Disposition: Admin Action Taken Based on Investigation, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0416	01/30/2014	07/26/2019

Allegation: It is alleged the defendant engaged in the criminal manipulation of the GSE's short sale process by obtaining short sales approvals at deflated sale prices and reselling the properties to pre-determined buyers for an inflated purchase price.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0417	01/31/2014	07/16/2019

Allegation: FHFA-OIG is conducting a short sale fraud scheme investigation involving allegations of straw buyers and non-arm's length transactions. The investigation is based in Phoenix, AZ.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0433	07/16/2014	02/13/2019

Allegation: Allegation a British based banking institution made false and misleading statements and omissions in registration statements, prospectuses, and other offering materials to sell its residential mortgage-backed securities (RMBS), which purchased by clients like Fannie Mae and Freddie Mac.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0440	07/23/2014	02/13/2019

Allegation: A possible builder-bailout scheme being orchestrated by the owner of five multi-family properties located next to each other in Far Rockaway, NY. Four of the five properties were possibly purchased through straw-buyers who eventually soon stopped paying the mortgages on said properties, which resulted in potential losses for the GSEs.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0448	09/08/2014	02/07/2019

Allegation: Investigation of individual who is alleged to have secured approximately eight (8) mortgages on one property in Hingham, MA, without satisfying previous outstanding mortgages.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-14-0451	02/25/2015	05/13/2019

Allegation: False claims allegation against Wells Fargo and Ocwen.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-14-0467	11/13/2014	07/31/2019

Allegation: Relator alleges (b)(6);(b)(7)(C) sought to maximize government reimbursements by submitting fraudulent and grossly excessive bids for its property preservation services.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0474	11/21/2014	01/29/2019

Allegation: Investigation concerns allegations that a company mislead investors by fraudulently securitizing mortgages in residential mortgage back securities (RMBS) sold to investors while knowing that proper due diligence of the securitized loans and proper underwriting was not conducted.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0486	12/04/2014	09/08/2019

Allegation: It is alleged that the defendant, the owner of a local mortgage origination business, misappropriated escrow funds for personal use, thereby causing financial liability on the part of the GSE's.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0493	02/02/2015	05/13/2019

Allegation: Allegation a real estate agent, engaged in a short sale scheme, submitted false documents in support of a non-arms length transaction.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0495	02/23/2015	02/22/2019

Allegation: FIRREA referral was made for a potential investigation, into individuals involved with 13th and State, commonly known as Vision on State, which was condominium development located at 1255 s. State Street, Chicago.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0521	03/31/2015	05/07/2019

Allegation: Following a successful RMBS settlement, this case is opened to determine to what extent, if any, criminal fraud charges can be brought against the defendant and/or certain individuals working for the defendant's business who allegedly had knowledge of the false claims made in the RMBS offerings.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0526	06/01/2015	04/11/2019

Allegation: This office received allegations that a real estate company located in Central California is operating a short sale fraud scheme.

Disposition: Admin Action Taken Based on Investigation, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0532	07/06/2015	08/23/2019

Allegation: Case initiated based on allegations that entity operated a loan modification scheme. Operators alleged charge an up-front fee but no services are rendered.

Disposition: Civil Action Taken Based on Investigation, Case Closed

Case Number	Date Opened	Date Closed
I-15-0534	07/28/2015	08/01/2019

Allegation: The FHFA OIG received a referral highlighting (b)(6);(b)(7)(C) and the businesses are to be examined for potential financial improprieties in any dealing with (b)(6);(b)(7)(C) as it is a member bank of the FHLB of Pittsburgh.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-15-0538	09/08/2015	07/10/2019

Allegation: Case was initiated based on allegations of loan origination fraud at a federal home loan bank member institution that resulted in a loss to the FDIC.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-15-0539	08/31/2015	05/14/2019

Allegation: Potential loan origination fraud scheme orchestrated by a loan officer, who provided undisclosed sales concessions and recruited straw buyers.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0573	02/22/2016	09/30/2019

Allegation: An anonymous tipster alleged that a Florida real estate company fraudulently submitted invoices to a GSE for property preservation services.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-16-0579	03/08/2016	07/31/2019

Allegation: A Manhattan based residential mortgage-backed securities (RMBS) trading desk allegedly lied to clients about what they actually paid for bonds being sold to numerous investors from a variety of financial institutions and hedge funds. This trading desk sold RMBS bonds in the secondary market.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0586	04/04/2016	02/14/2019

Allegation: It is alleged that realtors are rigging the sale of Fannie Mae, Freddie Mac, and institutional REO property for the benefit of select investors.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0597	05/12/2016	01/07/2019

Allegation: This office received an allegation of a short sale fraud scheme involving realtors and investors operating in the Scottsdale, Arizona area.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0612	07/01/2016	07/31/2019

Allegation: A loan modification company based out of California purportedly obtains lower interest rates on behalf of its clients with their current lenders. The subject company charges high fees for these services, however, it appears as though no such services were ever rendered.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0614	07/14/2016	10/24/2019

Allegation: This office received information about a possible loan modification scheme based in Ontario, CA.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0615	07/18/2016	02/04/2019

Allegation: Alleged sovereign citizens scheme employing unauthorized deed filings, property transfers, bankruptcy filings, or lawsuits filed to contest and delay foreclosures.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0619	08/18/2016	05/13/2019

Allegation: (b)(7)(E) indicating that a home equity conversion mortgage (HECM) loan beneficiary has been deceased since July 21, 2005. Person responsible for requesting the disbursements may have been forging occupancy certifications in order to ensure the loan remains active.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-16-0630	09/29/2016	10/15/2019

Allegation: Allegation a non-profit was submitting false certifications to a Federal Home Loan Bank (FHLB) in order to profit from Affordable Housing Program funds.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0638	12/21/2016	07/25/2019

Allegation: Complainants allege that (b)(6);(b)(7)(C) failed to operate an independent residential appraisal process that caused loans based on inflated home values to be sold to the GSEs.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0639	01/05/2017	10/30/2019

Allegation: Referral alleging former FHLB member bank executive vice president/loan officer facilitated the closing of multiple loans for personal gain through the submission of false documentation.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0642	01/12/2017	12/14/2019

Allegation: It is alleged that the subject in the case provided lenders falsified income and employment information on behalf of borrowers in order to obtain loan modifications.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0644	01/13/2017	12/06/2019

Allegation: It is alleged that an (b)(6);(b)(7)(C) applied for a fraudulent federal tax refund.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0649	02/12/2017	06/04/2019

Allegation: FHFA-OIG received allegations that a company based in southern Ohio may have used an appraiser's license and signature without that appraiser's permission to complete appraisal reports and submit the reports to lenders. It appears the GSEs had exposure to loans with appraisals which contained the fraudulent use of an appraiser's license and signature.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0662	04/10/2017	02/05/2019

Allegation: Allegation the president of a condominium association made fraudulent claims based on mold.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0663	04/10/2017	07/31/2019

Allegation: Allegation of collusion and manipulation by the primary dealers participating in the treasury auction process and the preceding "when-issued" trading. This trading ostensibly impacted the GSEs and the FHLBs.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-17-0673	05/23/2017	11/12/2019

Allegation: Subject is suspected of an alleged loan modification scheme. Subject takes money from his clients, and does not negotiate with lenders in good faith. Homes are foreclosed on by lenders after the loans fall delinquent.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0681	08/18/2017	07/24/2019

Allegation: FHFA employee alleges retaliatory treatment based on concerns raised to managers.

Disposition: Referred or Outside Investigative Jurisdiction, Case Closed.

Case Number	Date Opened	Date Closed
I-17-0682	08/21/2017	11/19/2019

Allegation: An allegation was received that two individuals operated a loan modification scheme (or potentially a short sale fraud scheme).

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-18-0693	10/03/2017	09/18/2019

Allegation: This investigation is regarding a realtor's personal and professional abuse of the bankruptcy court process to stall or stop foreclosure proceedings for himself and others who are clients of the realtor.

Disposition: Allegation(s) Proven, Subject(s) Prosecuted, Case Closed.

Case Number	Date Opened	Date Closed
I-18-0701	11/21/2017	08/14/2019

Allegation: Allegations of organized mortgage fraud scheme in the Queens, NY area.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-18-0702	12/18/2017	11/25/2019

Allegation: (b)(6);(b)(7)(C) was contacted via email to settle on the private sale of a house in Virginia. The communication occurred exclusively via email. The alleged buyer of the property sent a \$98,000 check to be held in escrow by the title company. Prior to the check clearing, this individual requested from the settlement company that \$95,000 be sent to the alleged seller of the property. The settlement company discovered a few days later that the originally deposited check was fictitious and had not cleared prior to the disbursement from the settlement's escrow account.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-18-0712	02/23/2018	05/21/2019

Allegation: The anonymous complainant states that an FHFA executive "unfairly . Creat[ing]" an executive position specifically for one employee. The complainant believes the creation of a new executive position is inconsistent

with FHFAs prior practice relating to buyouts of FHFA executives, is disturbed that “the selection is already decided,” and implies that there was impropriety involved in prior COO executive appointments.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-18-0724	05/13/2018	06/28/2019

Allegation: A loan modification group has been identified by various financial institutions. It is alleged that fraudulent employment w-2s, tax information and hardship letters have been submitted along with mortgage modification applications.

Disposition: (b)(5)

Case Number	Date Opened	Date Closed
I-18-0732	06/20/2018	07/29/2019

Allegation: The subject/entity has been identified as a mortgage loan modification services company that has solicited advance fees.

Disposition: (b)(5)