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*"Rummaging in the government's attic"*

Description of document: Records re: the Fifteen (15) longest pending FOIA requests at National Nuclear Security Administration (NNSA), 2015

Requested date: 17-March-2015

Release date: 19-June-2015

Posted date: 07-December-2020

Source of document: FOIA/PA Officer  
NNSA/Office of the General Counsel  
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Department of Energy  
National Nuclear Security Administration  
Office of the General Counsel  
P. O. Box 5400  
Albuquerque, NM 87185



JUN 19 2015

**CERTIFIED MAIL – RETURN RECEIPT REQUESTED**

This letter is the final response to your March 17, 2015 Freedom of Information Act (FOIA) request for a copy of the following:

1. Each of the request letters of the 15 longest pending FOIA requests at NNSA.
2. The FOIA processing notes, emails and memos from each of the 5 longest pending FOIA requests at NNSA.
3. Any memos or emails that describe the FOIA backlog at NNSA and steps being taken to address the backlog.

On June 4, 2015 you narrowed Item 3 to include documents/memos/emails during calendar years 2014 and 2015. Also you are looking for discussions of the size and magnitude and description of the backlog itself, and what steps are being taken to address the backlog.

NNSA Office of General Counsel searched and found the documents responsive to your request. The enclosed documents have been reviewed and are being provided to you with deletions made pursuant to 5 USC § 552(b)(6) (Exemption 6 of the FOIA).

The purpose of Exemption 6 is to protect individuals from the injury and embarrassment that can result from the unnecessary disclosure of personal information. To determine whether disclosure would constitute a clearly unwarranted invasion of personal privacy, the public interest in disclosure, if any, must be balanced against the privacy interests that would be invaded by disclosure of the information. In this case, the addresses, phone numbers, and emails of civilian requesters have been withheld. Release of this information pertaining to those civilians will cause inevitable harassment and unwarranted invasion of personal privacy for those individuals. In addition, release of this information would not shed light on the operations of the federal government. Since its release will not reveal anything of significance to the public, the interest in protecting against the invasion of privacy that would result to the individuals in question far outweighs the public interest in such disclosure.

Pursuant to 10 CFR § 1004.7(b) (2), I am the individual responsible for the withholding of the information mentioned above pursuant to Exemption 6 of the FOIA.

Pursuant to 10 CFR § 1004.8, you may appeal our withholding of information, in writing, within 30 calendar days after receipt of this letter, to the Director, Office of Hearings and Appeals, Department of Energy, L'Enfant Building, 1000 Independence Avenue, SW, Washington, DC 20585. The written appeal, including envelope, must clearly indicate that a Freedom of Information Act appeal is being made, and the appeal must contain all other elements required by 10 CFR § 1004.8. Judicial review will thereafter be available to you in the District of Columbia or in the district where: (1) you reside, (2) you have your principal place of business, or (3) the Department's records are situated.

There are no charges to you for processing your FOIA request.

If you have questions, please contact Ms. Sandra Lewandowski by e-mail at [Sandra.lewandowski@nnsa.doe.gov](mailto:Sandra.lewandowski@nnsa.doe.gov), or write to the address above. Please reference Control Number FOIA 15-00144-K.

Sincerely,

A handwritten signature in black ink, appearing to read 'J. Summerson', with a long horizontal flourish extending to the right.

Jane Summerson  
Authorizing and Denying Official

Enclosure

**From:** [Osheim, Elizabeth](#)  
**To:** [Laney, Karen](#)  
**Subject:** FW: FOIA Improvements for Classified Documents  
**Date:** Wednesday, February 12, 2014 9:47:50 AM

---

FYI

-----Original Message-----

From: Diamond, Bruce  
Sent: Monday, February 10, 2014 12:30 PM  
To: McConnell, James  
Cc: Lempke, Michael; Gallagher, Christiana; Osheim, Elizabeth  
Subject: FOIA Improvements for Classified Documents

My office, NNSA IT, DOE IT, and MA have been working with HS-60 on new ways to process FOIAs that involve classified information. The plan is to have documents sent by secure electronic means directly from the lab (with the lab's initial redactions) to HS-60 and then HS-60 will finalize the redactions and sanitize the document (all electronically). HS-60 would then send a non-classified document to my folks in Albuquerque for further processing (other redactions, etc.). Use of electronic systems and software involves some up-front investment, but can mean a tremendous time savings (and keep my folks from having to handle classified material). Given that the old system involves non-security folks wielding Exacto knives, I also assume it's a more secure approach.

I'm hearing some grumbling from LANL and maybe some other places. From my point of view, this new system is not optional.

Bruce M. Diamond  
General Counsel, National Nuclear Security Administration  
202-586-6946

Note: Communications in this email providing legal advice are considered privileged and confidential communications and may be subject to other restrictions on release.



**Diamond, Bruce**

---

**From:** Summerson, Jane  
**Sent:** Tuesday, May 05, 2015 9:42 AM  
**To:** Diamond, Bruce; Van Dyke, Henry  
**Subject:** FW: FOIA STATUS REPORTS FOR DASH-1s  
**Attachments:** Site Office Report Final.docx

One take on the issue.....

-----Original Message-----

**From:** Laney, Karen  
**Sent:** Tuesday, May 05, 2015 7:40 AM  
**To:** Summerson, Jane  
**Cc:** Hamblen, Christina H.  
**Subject:** FW: FOIA STATUS REPORTS FOR DASH-1s

Jane,

In my opinion, the problem with SFO/SNL is their key personnel. You need a strong POC to manage the FOIA program; SFO does not have the right person as the FOIA POC and SNL has strong personalities that don't want to process FOIAs. I've suggested before that maybe a good way to get the attention of SNL is a PBI. They get paid if they process FOIAs in a timely fashion, don't get paid if they don't process FOIAs. Adding FOIA metrics to the fed manager's performance plans (much like the safety metrics added several years ago) would help on the federal side of the process. Money talks. Many of the requests we send to Sandia are for classified records--never a speedy process. Overall, most of the delinquent actions on SFO/SNL are do overs--records/responses the specialist has sent back to SFO/SNL for rework. SFO/SNL is producing responsive records but the records are not reviewed by legal or others knowledgeable in FOIA requirements and we send them back.

SFO/SNL could do much better. More concerning to me is APM. The requests assigned to APM are mostly for contracts--not hard to find, not hard to review, and if we could get records in a timely fashion we can charge requesters. The other hang up in APM is the MOX stuff--why are they fielding requests for MOX? I believe APM needs to review and revamp its FOIA process. Perhaps Hank could look into this?

Karen

-----Original Message-----

**From:** Van Dyke, Henry  
**Sent:** Monday, May 04, 2015 7:30 AM  
**To:** Hamblen, Christina H.; Summerson, Jane  
**Cc:** (b)(6); Laney, Karen  
**Subject:** RE: FOIA STATUS REPORTS FOR DASH-1s

-----Original Message-----

**From:** Hamblen, Christina H.  
**Sent:** Monday, May 04, 2015 9:27 AM  
**To:** Summerson, Jane

Cc: (b)(6); Laney, Karen; Van Dyke, Henry  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Also, can we all see a copy of the new status report for the DASH-1s, please?

-----Original Message-----

From: Summerson, Jane  
Sent: Monday, May 04, 2015 6:09 AM  
To: (b)(6) Hamblen, Christina H.; Laney, Karen  
Cc: Van Dyke, Henry  
Subject: FW: FOIA STATUS REPORTS FOR DASH-1s

Not a single coherent story that I'm aware of, although maybe (b)(6) Chris or Karen knows more.....

-----Original Message-----

From: Van Dyke, Henry  
Sent: Monday, May 04, 2015 6:05 AM  
To: Summerson, Jane  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

This is fine, thanks to all. Do we have a story on why Sandia is still so delinquent?

-----Original Message-----

From: Summerson, Jane  
Sent: Thursday, April 30, 2015 3:51 PM  
To: Van Dyke, Henry  
Subject: FW: FOIA STATUS REPORTS FOR DASH-1s

We're missing a / is that ok?

-----Original Message-----

From: (b)(6)  
Sent: Thursday, April 30, 2015 12:04 PM  
To: Summerson, Jane  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Here you go.

(b)(6)

Project Manager/Senior FOIA Specialist  
NNSA/OGC  
Central Research, Inc  
Direct: (b)(6)  
Visit: <http://www.Central-Research.com>  
Email: (b)(6)

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-----Original Message-----

From: Summerson, Jane

Sent: Thursday, April 30, 2015 12:00 PM

To: (b)(6)

Subject: FW: FOIA STATUS REPORTS FOR DASH-1s

One more please.....then send to Hank and me.

-----Original Message-----

From: Van Dyke, Henry

Sent: Thursday, April 30, 2015 11:29 AM

To: Summerson, Jane

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Jane,

This works fine, thanks. One minor suggestion---add "/Program" in the title box after "Site". With that one change, I will forward to Bruce tomorrow for sharing on Monday. Thanks for everyone's work on this.

Hank

-----Original Message-----

From: Summerson, Jane

Sent: Thursday, April 30, 2015 1:15 PM

To: Van Dyke, Henry

Subject: FW: FOIA STATUS REPORTS FOR DASH-1s

Just keep in mind in case asked, this does not include those open cases longer than 20 days but less than 60. They are technically overdue by the process, but not for so long we should be publically ding people, I agree.

-----Original Message-----

From: (b)(6)

Sent: Thursday, April 30, 2015 11:03 AM

To: Summerson, Jane

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Here you go.

(b)(6)

Project Manager/Senior FOIA Specialist  
NNSA/OGC

Central Research, Inc

Direct: (b)(6)

Visit: <http://www.Central-Research.com>

Email: (b)(6)

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-----Original Message-----

From: Summerson, Jane

Sent: Thursday, April 30, 2015 10:27 AM

To: (b)(6)

Subject: Re: FOIA STATUS REPORTS FOR DASH-1s

We're not looking for all open cases just those worth raising to the administrator.

----- Original Message -----

From: (b)(6)

Sent: Thursday, April 30, 2015 10:10 AM

To: Summerson, Jane

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Jane,

Here is the new report. Instead of Overdue >60 I used Overdue >20 so that we have all open cases accounted for.

(b)(6)

Project Manager/Senior FOIA Specialist

NNSA/OGC

Central Research, Inc

Direct: (b)(6)

Visit: <http://www.Central-Research.com>

Email: (b)(6)

Central Research, Inc.

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-----Original Message-----

From: Summerson, Jane

Sent: Thursday, April 30, 2015 9:42 AM

To: (b)(6)

Subject: Fw: FOIA STATUS REPORTS FOR DASH-1s

----- Original Message -----

From: Van Dyke, Henry

Sent: Thursday, April 30, 2015 09:20 AM

To: Summerson, Jane

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Jane,

Bruce would like the time bars to reflect just four periods:

Not Overdue

Overdue > 60

Overdue >250

Overdue>1000

This will make the gradations easier to discern and have a more dramatic impact. I think this will also alleviate my genetic deficiency issue.

As an aside, it became apparent on this that SFO is significantly worse than any other office in terms of numbers and length of response...this was something of a surprise to us, since we were under the impression that SFO was making great strides. Bruce will need a story to tell on SFO when he presents this.

Thanks, btw, I do believe this will be very, very helpful.

Hank

-----Original Message-----

From: Summerson, Jane

Sent: Thursday, April 30, 2015 9:49 AM

To: Van Dyke, Henry

Cc: Diamond, Bruce

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s



Sorry, I caught that double ll when I first looked at it but didn't check the second time to see if it had been fixed. I choose red to make a point (!) forgetting the red/green color blindness gene.... we can easily change it to a sequence of other colors-any suggestions? Is green as bad? Oranges? Blues?

-----Original Message-----

From: Van Dyke, Henry  
Sent: Thursday, April 30, 2015 7:30 AM  
To: Summerson, Jane  
Cc: Diamond, Bruce  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Jane,

I made an edit to the title--but from a male-with some color-blindness here, I found the red hues difficult to distinguish. Not sure if that is an issue. I am copying Bruce to see if this captures what he wants to present. I think it makes the point.

-----Original Message-----

From: Summerson, Jane  
Sent: Wednesday, April 29, 2015 5:55 PM  
To: Van Dyke, Henry  
Subject: FW: FOIA STATUS REPORTS FOR DASH-1s

Does this work for you?

-----Original Message-----

From: (b)(6)  
Sent: Wednesday, April 29, 2015 3:01 PM  
To: Summerson, Jane; Perez, Delilah  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Jane,

What do you think? Tried to stick with the reds that we discussed.

(b)(6)

Project Manager/Senior FOIA Specialist  
NNSA/OGC  
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-----Original Message-----

From: Summerson, Jane  
Sent: Wednesday, April 29, 2015 8:23 AM  
To: Perez, Delilah; (b)(6)  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Turns out I have to come in today as our printer is out of ink (!) at the house. I'll be thereby 11, I have a call then, and if you want to discuss anything after that we can.

-----Original Message-----

From: Perez, Delilah  
Sent: Wednesday, April 29, 2015 8:20 AM  
To: (b)(6)  
Cc: Summerson, Jane; Perez, Delilah  
Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

(b)(6)

That would be great. I don't have much experience in these types of reports, therefore I'm not sure how great my contribution would be.

-Delilah

-----Original Message-----

From: (b)(6)  
Sent: Wednesday, April 29, 2015 8:18 AM  
To: Perez, Delilah  
Subject: FW: FOIA STATUS REPORTS FOR DASH-1s

Delilah,  
Do you want me to work on this and send you what I have?

(b)(6)

Project Manager/Senior FOIA Specialist  
NNSA/OGC  
Central Research, Inc  
Direct: (b)(6)  
Visit: <http://www.Central-Research.com>  
Email: (b)(6)

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-----Original Message-----

From: Summerson, Jane

Sent: Wednesday, April 29, 2015 7:49 AM

To: Van Dyke, Henry

Cc: Perez, Delilah; (b)(6); Laney, Karen; Hamblen, Christina H.; Marquez, Roberto

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

Should be doable. Delilah, (b)(6), let's have some examples to discuss tomorrow when I come in. I'll come in early afternoon so we have some time to work on this.

-----Original Message-----

From: Van Dyke, Henry

Sent: Wednesday, April 29, 2015 7:42 AM

To: Summerson, Jane

Cc: Perez, Delilah; (b)(6); Laney, Karen; Hamblen, Christina H.; Marquez, Roberto

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

The Staff meetings are held on Monday mornings, so receiving it on Friday would be most helpful. Thanks.

-----Original Message-----

From: Summerson, Jane

Sent: Wednesday, April 29, 2015 9:33 AM

To: Van Dyke, Henry

Cc: Perez, Delilah; (b)(6); Laney, Karen; Hamblen, Christina H.; Marquez, Roberto

Subject: RE: FOIA STATUS REPORTS FOR DASH-1s

I think it sounds a great tool to try. Team, let's discuss implementation. Delilah, is this something (b)(6) could do for everyone, feds and CRI? I would like to review it with you (and any other fed who wishes) and (b)(6) before we send it up. We'll probably need to see a couple of examples to decide on what communicates most clearly. But I agree, a consolidated report by program is much more effective than individual dings by case from a variety of analysts. We will need to be VERY sure we have every closed one off the list though. If we include one that should be closed by mistake, that will really damage our credibility.

Hank, what day is more helpful for you to get it?

-----Original Message-----

From: Van Dyke, Henry

Sent: Wednesday, April 29, 2015 7:25 AM

To: Summerson, Jane

Subject: FOIA STATUS REPORTS FOR DASH-1s

Jane,

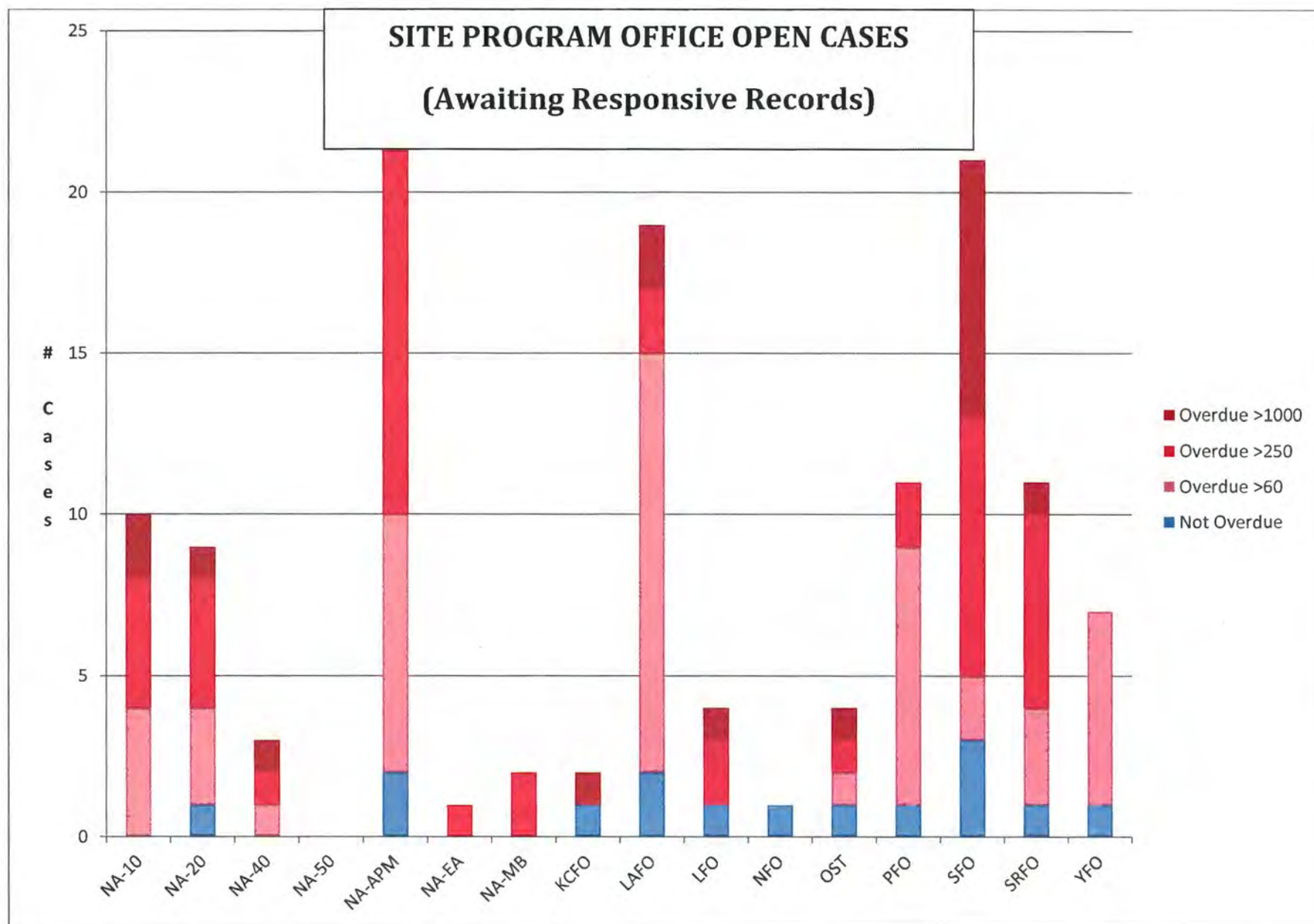
Bruce and I were discussing approaches to put some additional emphasis within the programs and field offices in terms of responding to FOIA requests. We would like to try a method which has proven very effective in the area of Exec Sec correspondence and Congressional Reporting requirements. If your team could produce a weekly report similar to the attached, which would list each program and field office and provide two sets of data:

- (1) number of FOIA requests currently with that program/office; and
- (2) average number of days taken by the program/office to respond/close out a request.

Bruce would share this data at every Monday's Administrator's staff meeting, to bring into everyone's view the FOIA activity/responsiveness of each program and office.

Thoughts?

Hank





## **Diamond, Bruce**

---

**From:** Osheim, Elizabeth  
**Sent:** Tuesday, July 08, 2014 3:59 PM  
**To:** Diamond, Bruce  
**Cc:** Summerson, Jane  
**Subject:** FYI: FW: Meeting with Ingrid Kolb  
**Attachments:** 011714kms Presentation (2).ppt

FYI - update slides for Ingrid meeting on technology improvements for transferring classified between DOE/NNSA & DOE/other agencies

-----Original Message-----

**From:** Wilkins, Jeff  
**Sent:** Tuesday, July 08, 2014 1:05 PM  
**To:** Stein, Ken  
**Cc:** Tseronis, Peter; Leake, Harry; Osheim, Elizabeth  
**Subject:** FW: Meeting with Ingrid Kolb

Ken,

I can't speak authoritatively to the first two bullets (the throughput issued related to HSS-OC). Those are on HSS to resolve within CLAN, although we have and will continue to support HSS's troubleshooting efforts. Harry, understanding that the final word will come from HSS network operations, are you aware from your perspective of any updates to these items?

The third bullet is a result of the fact that KCP's transmissions are utilizing a very small T-1 connection into the DOENET environment. Per Wayne Jones's statement in the last meeting with Ingrid, any upgrade to that circuit falls within the purview of NA-00. To my knowledge, they do not view that circuit upgrade as a high enough priority "in the grand scheme of things" to fund it. That being said, I'll see if I can get any hint that they may be looking to do something in the near future, and I'll get back to you.

As for the token, that's a simple administrative process that should have easily been resolved through the proper channels by now. Looping in Beth Osheim -- Beth, can you verify that your FOIA person at Pantex now has an RSA token to allow access to the NNSA SharePoint site?

Last thing for now -- I will be on vacation on July 29 and will not be in attendance at the meeting. Will verify who from NA-IM will be available to attend and will let you know.

Thanks,  
Jeff

-----Original Message-----

**From:** Stein, Ken  
**Sent:** Tuesday, July 08, 2014 1:15 PM  
**To:** Wilkins, Jeff  
**Cc:** Tseronis, Peter  
**Subject:** Meeting with Ingrid Kolb

Jeff

We are scheduled to meet with Ingrid on Tuesday July 29 at 3 PM.

At the last briefing, slide 4, we informed Ingrid of problems to be solved in the transmission of large classified files.

For my presentation July 29th, I need input from you as to what progress has been made to resolve the problems noted.

Ken

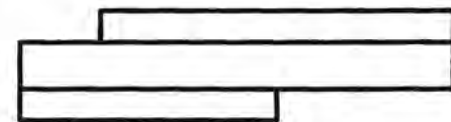


# Update: Technology to Improve FOIA Process

Kenneth M. Stein  
Office of Classification

# On-Line Redaction

- Tri Valley Cares FOIA responsive documents redacted to unclassified instead of just bracketed as classified
  - Saved NNSA ALQ time and resource to redact brackets of classified information
- Tested Redax plug-in for Adobe Acrobat Pro recommended by NNSA ALQ, compared to Adobe Acrobat Pro alone:
  - Pros: One operation to remove information in all brackets
  - Cons: Can only bracket in rectangles, not polygons; need to build polygons using rectangles



# Learning Other Agencies' Best Practices

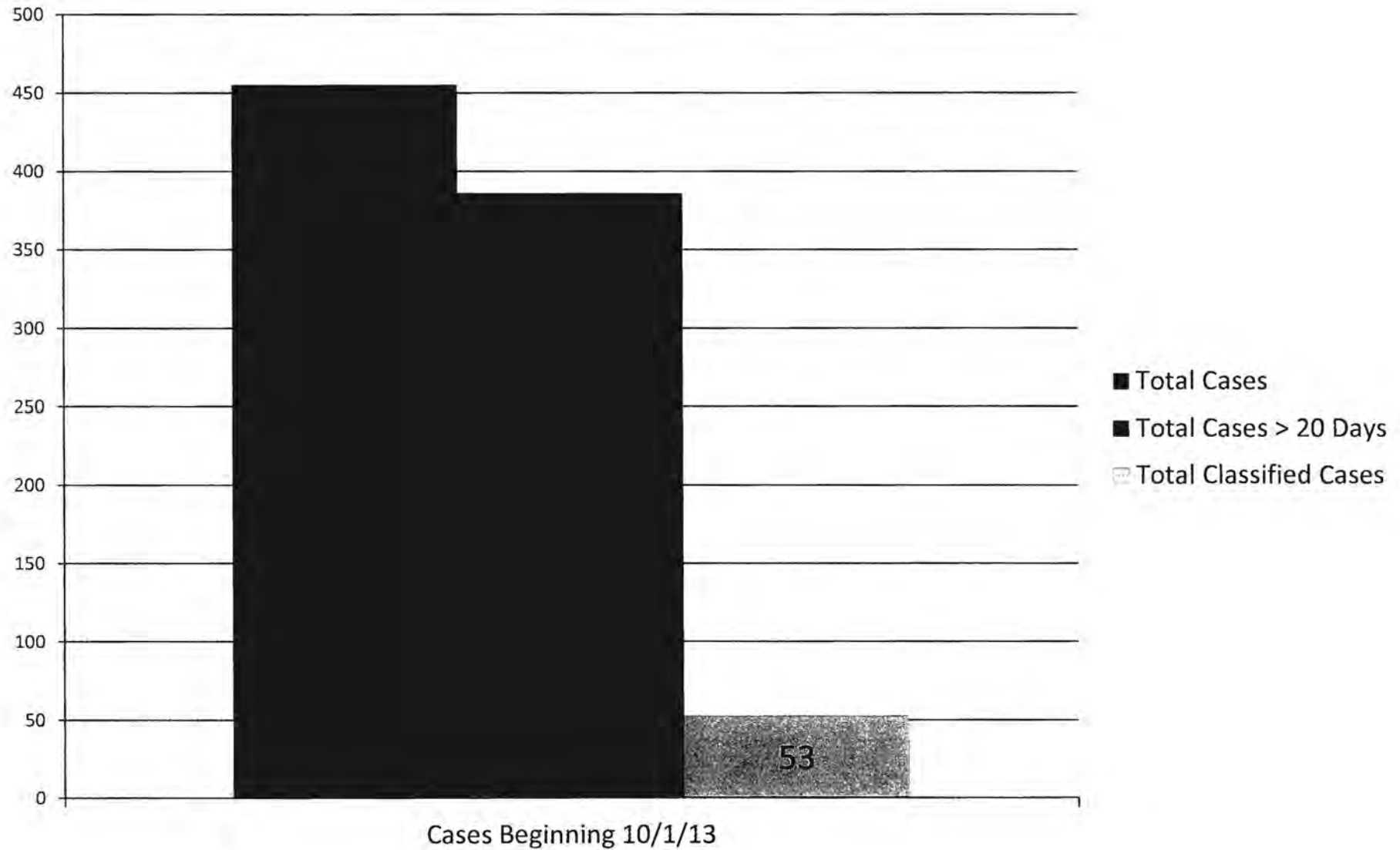
- Visit to DoD FOIA
  - Phonecon w/Paul Jacobsmeyer indicates
    - Online redaction
    - Interagency electronic transfer of documents
    - COTS work flow process software
  - Planned visit of Jan 23<sup>rd</sup> being rescheduled
- Interagency Transfer of Documents
  - Transfer of RD between DOE and DoD
  - Apply to other agencies



# Recommendations

- Continue test program to demonstrate transport paths
  - Non-NNSA Field Activities
- Continue to reach out to other agencies to learn Best Practices
- Meet again in six months

# Total FOIA Cases



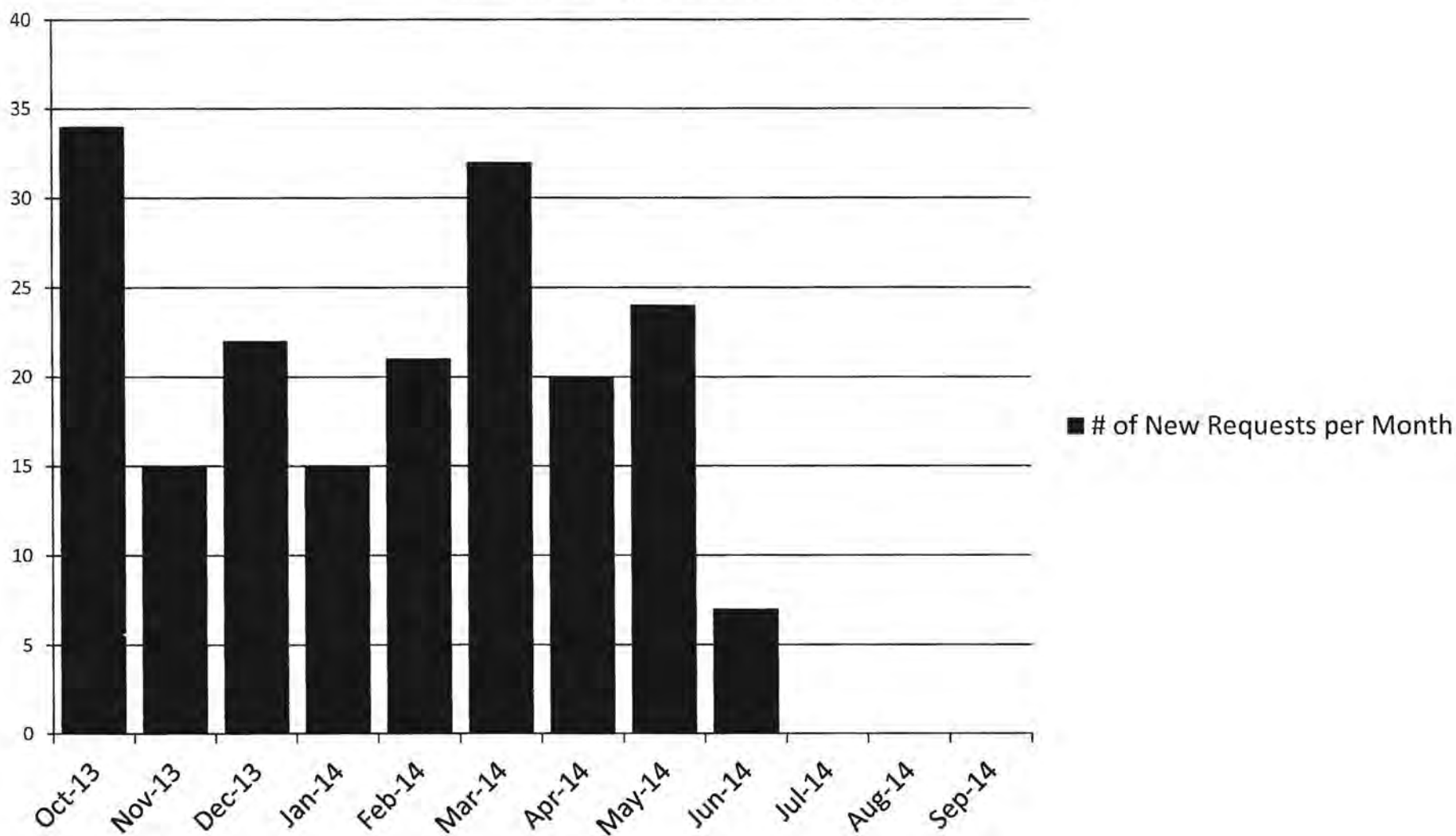
NA-20  
NA-40  
SRS

# FOIA Average # of Days

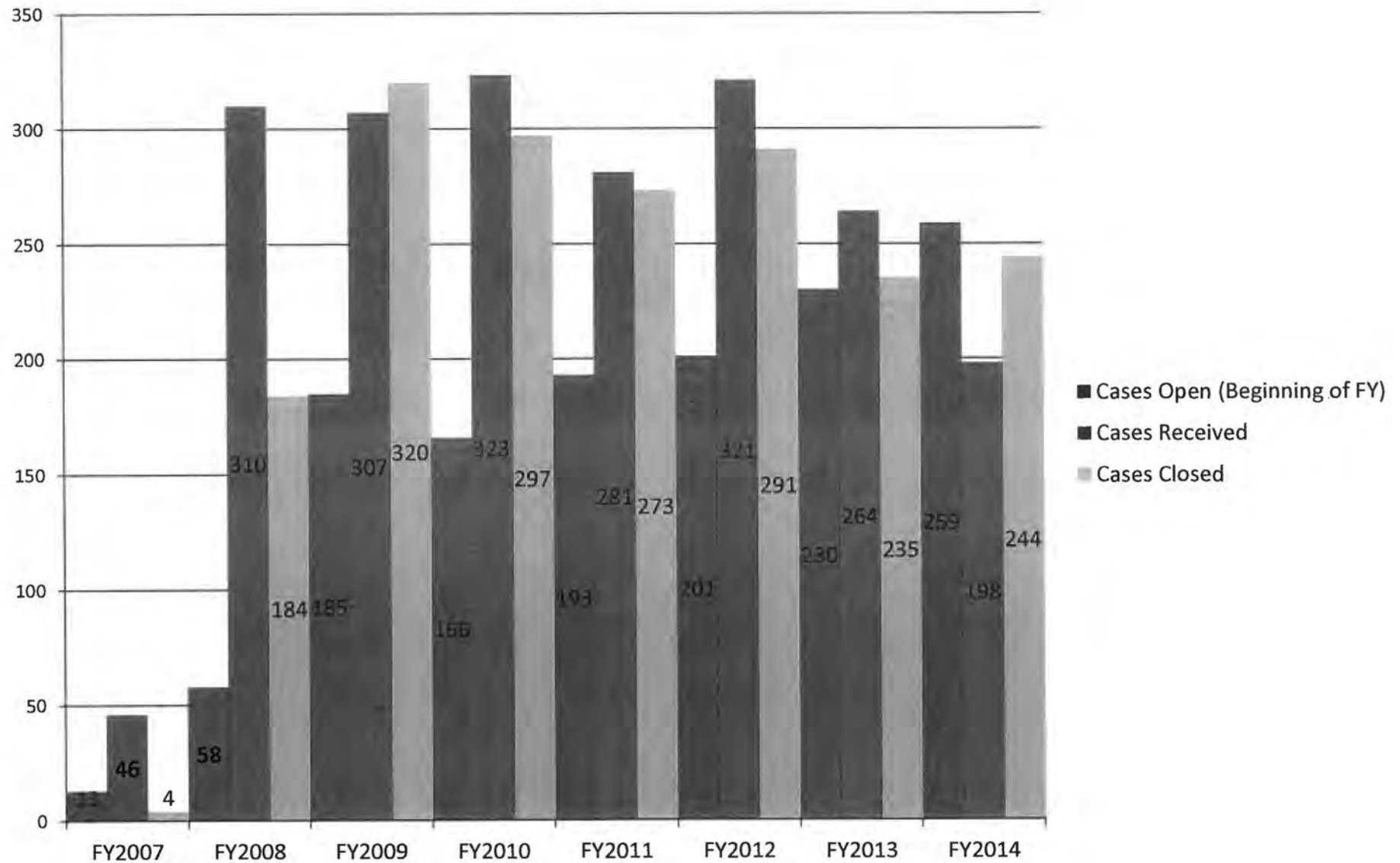


# FOIA Incoming Requests Per Month

# of New Requests Received per Month

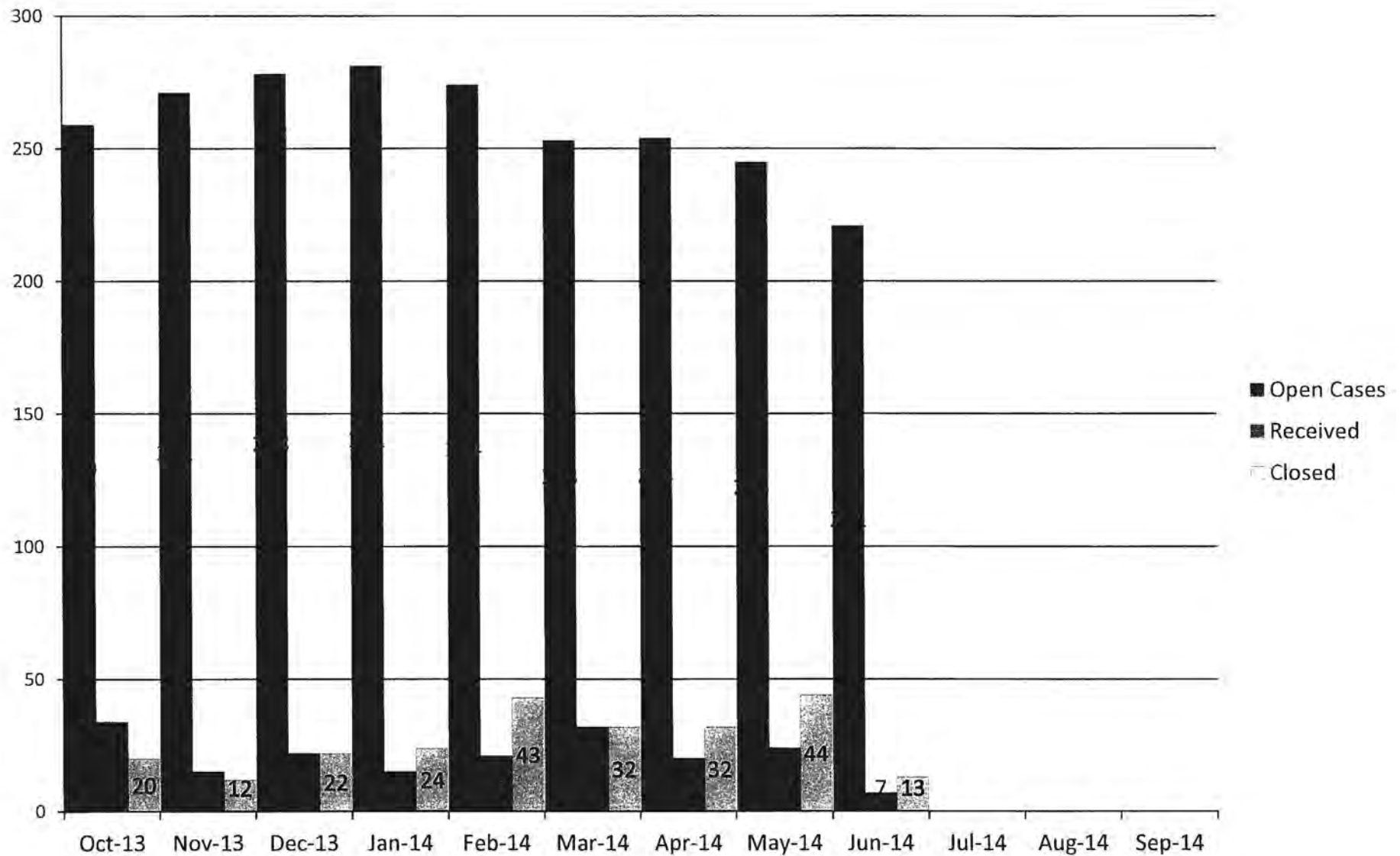


# FOIA Cases

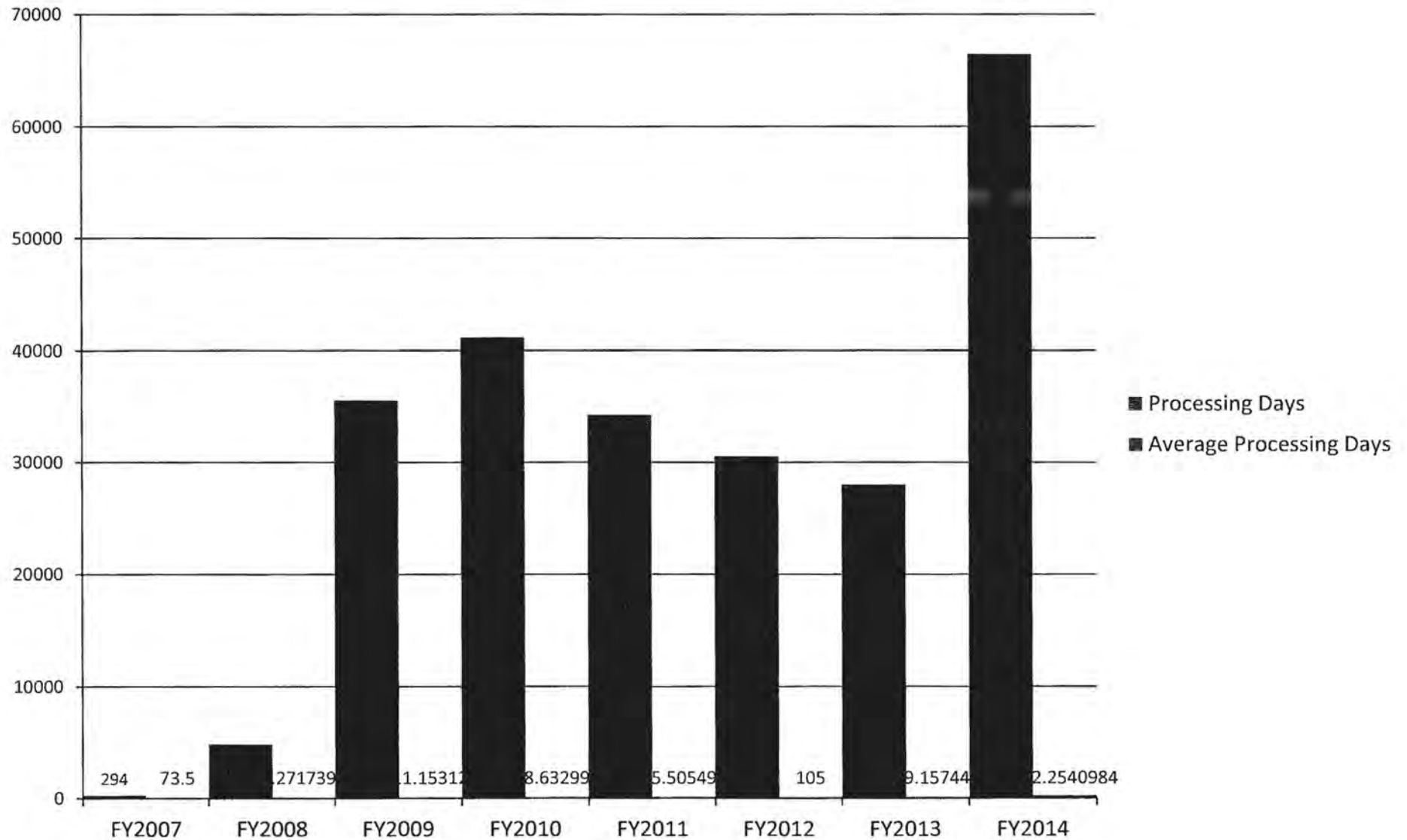




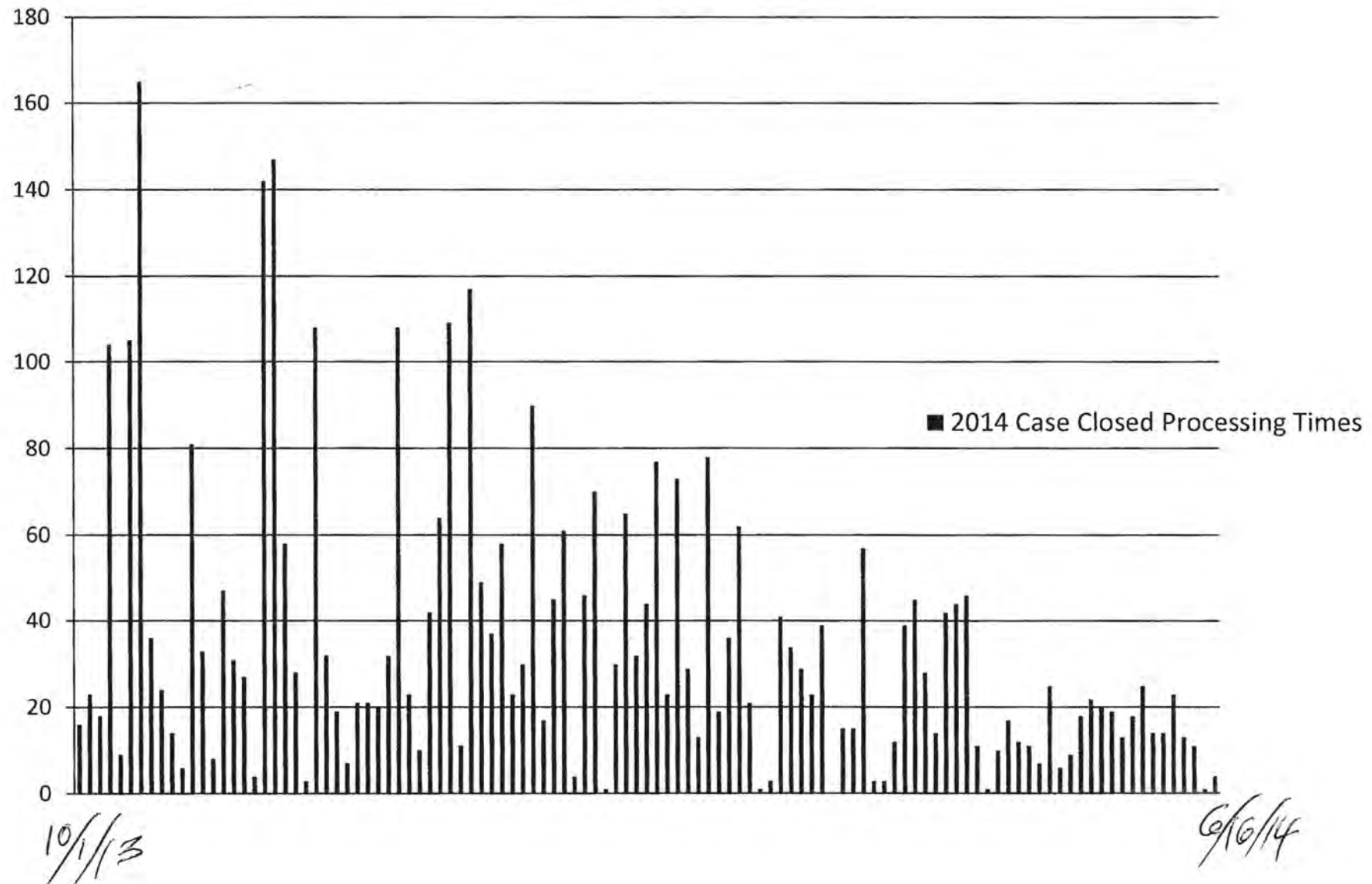
# FOIA Cases Per Month FY14



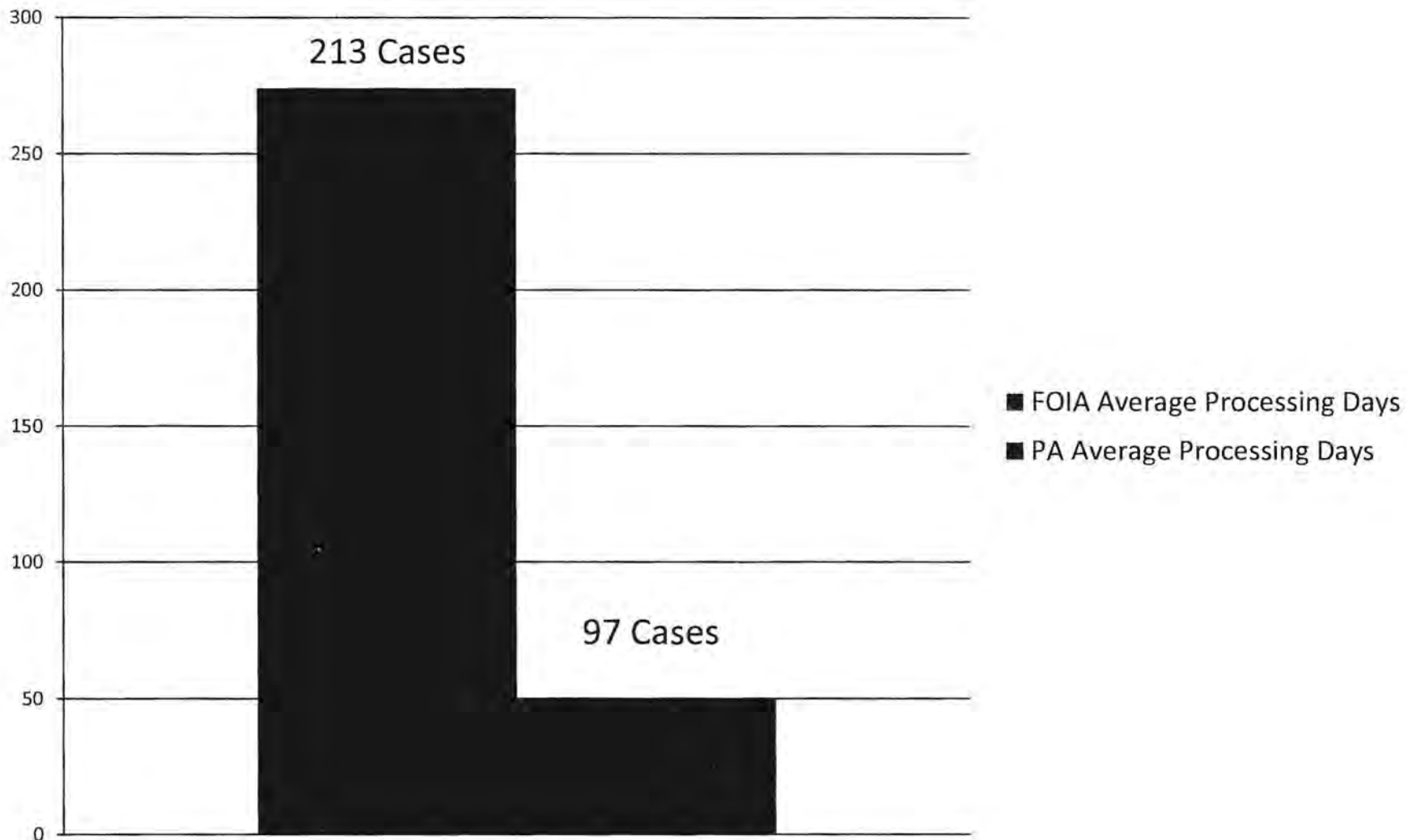
# FOIA Processing Days



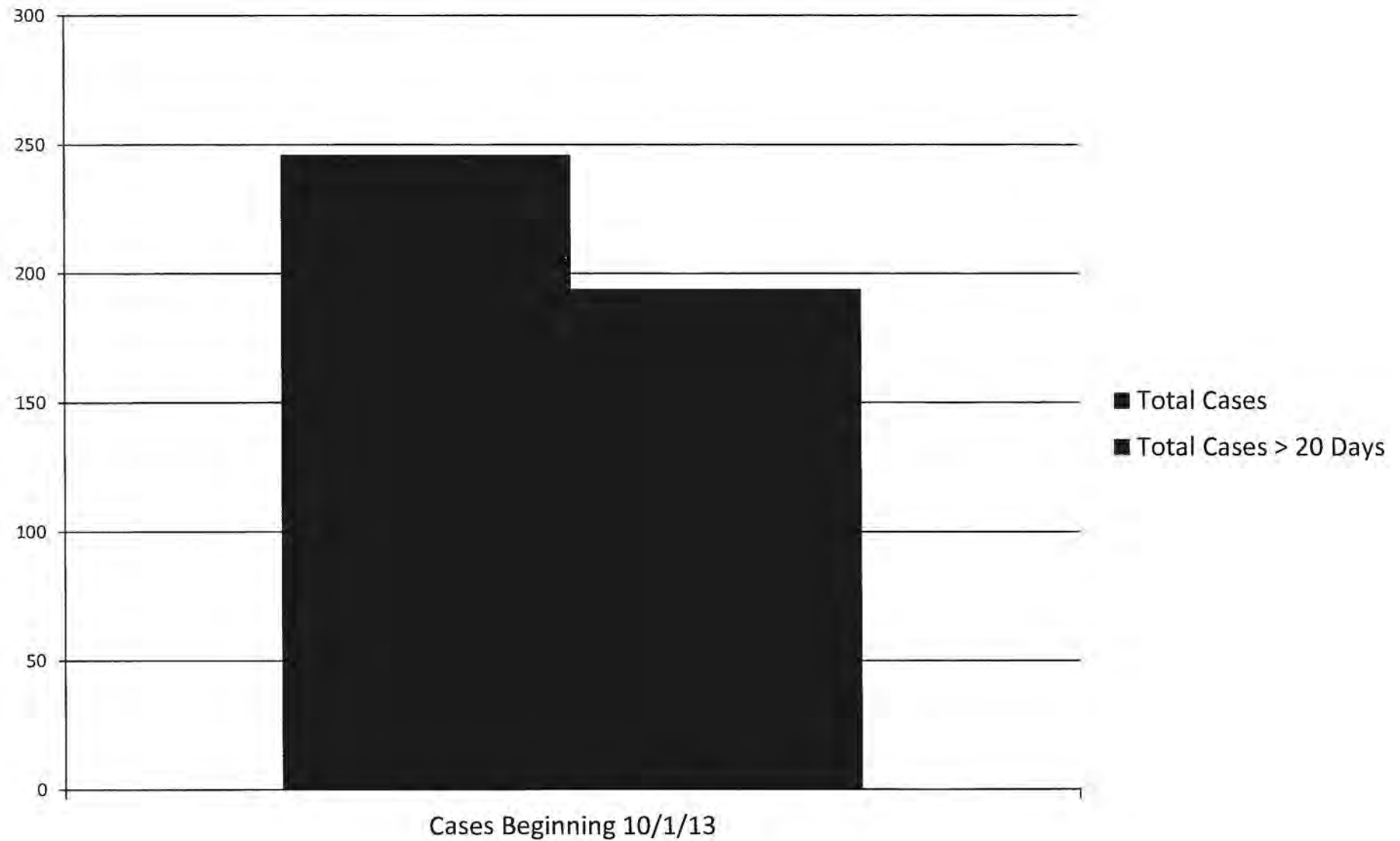
# FOIA 2014 Case Closed Processing Times



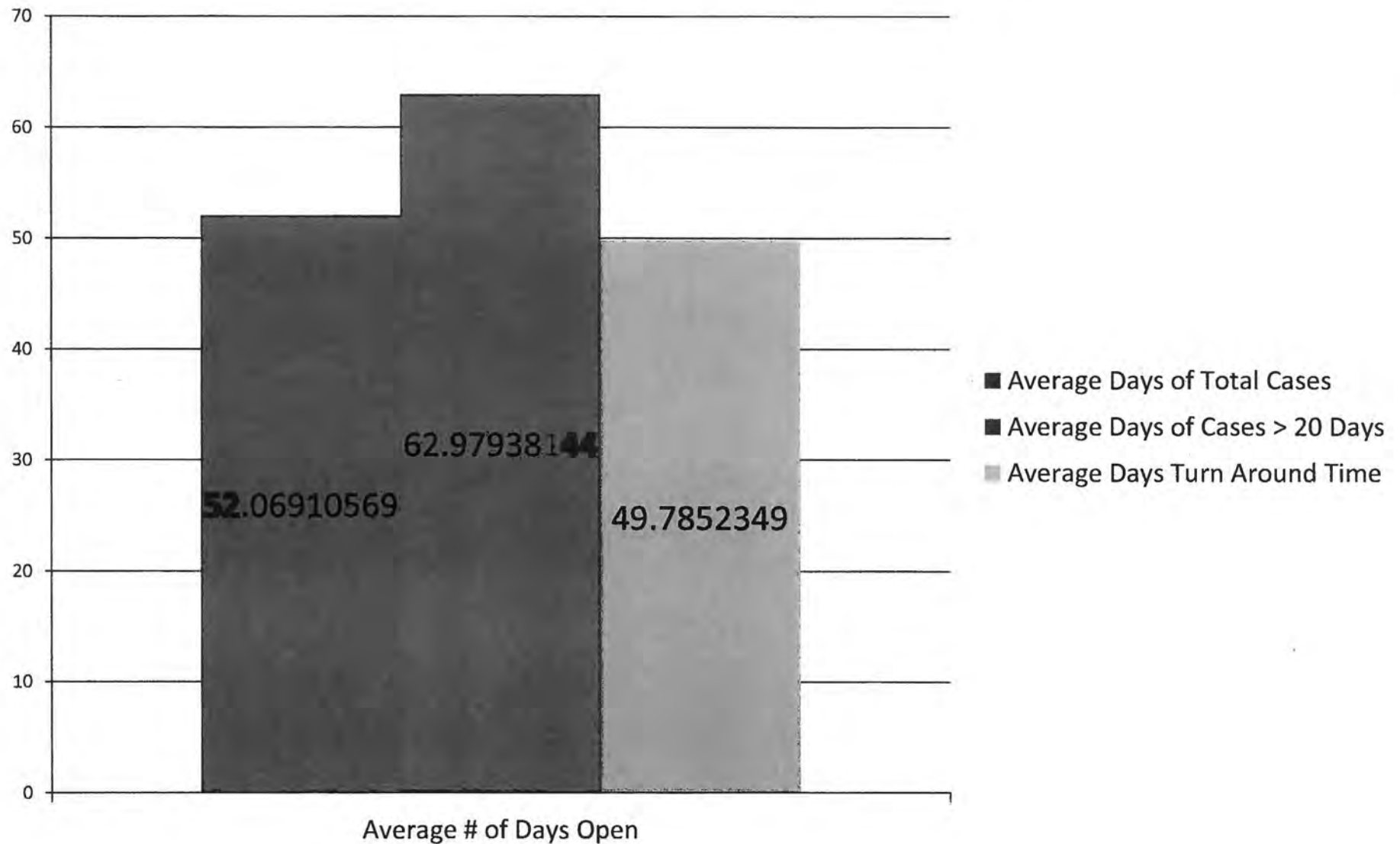
# FOIA/PA Average Processing Days FY14



# Total PA Cases

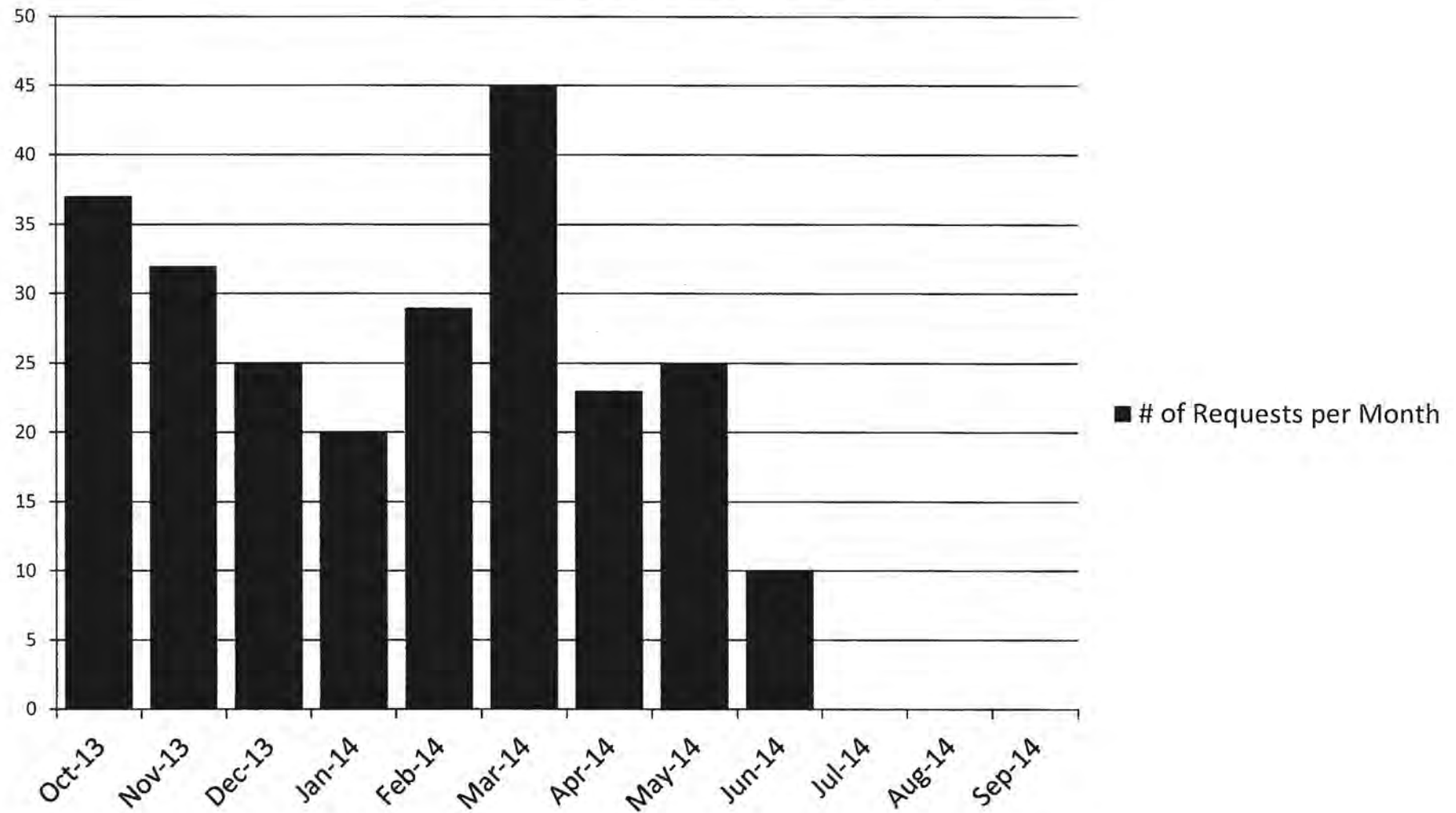


# PA Average # of Days



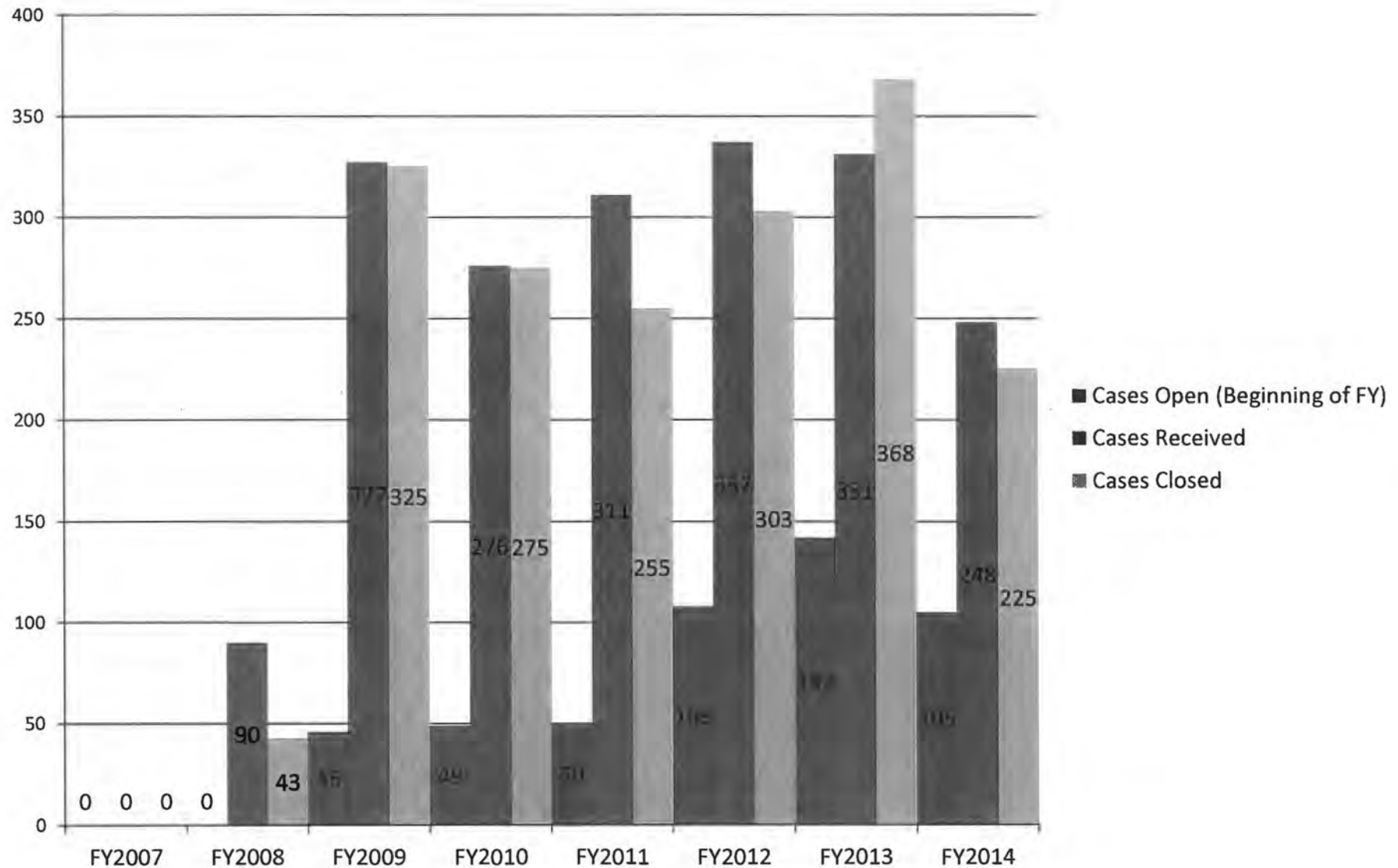
# PA Incoming Requests Per Month

# of Requests Received per Month

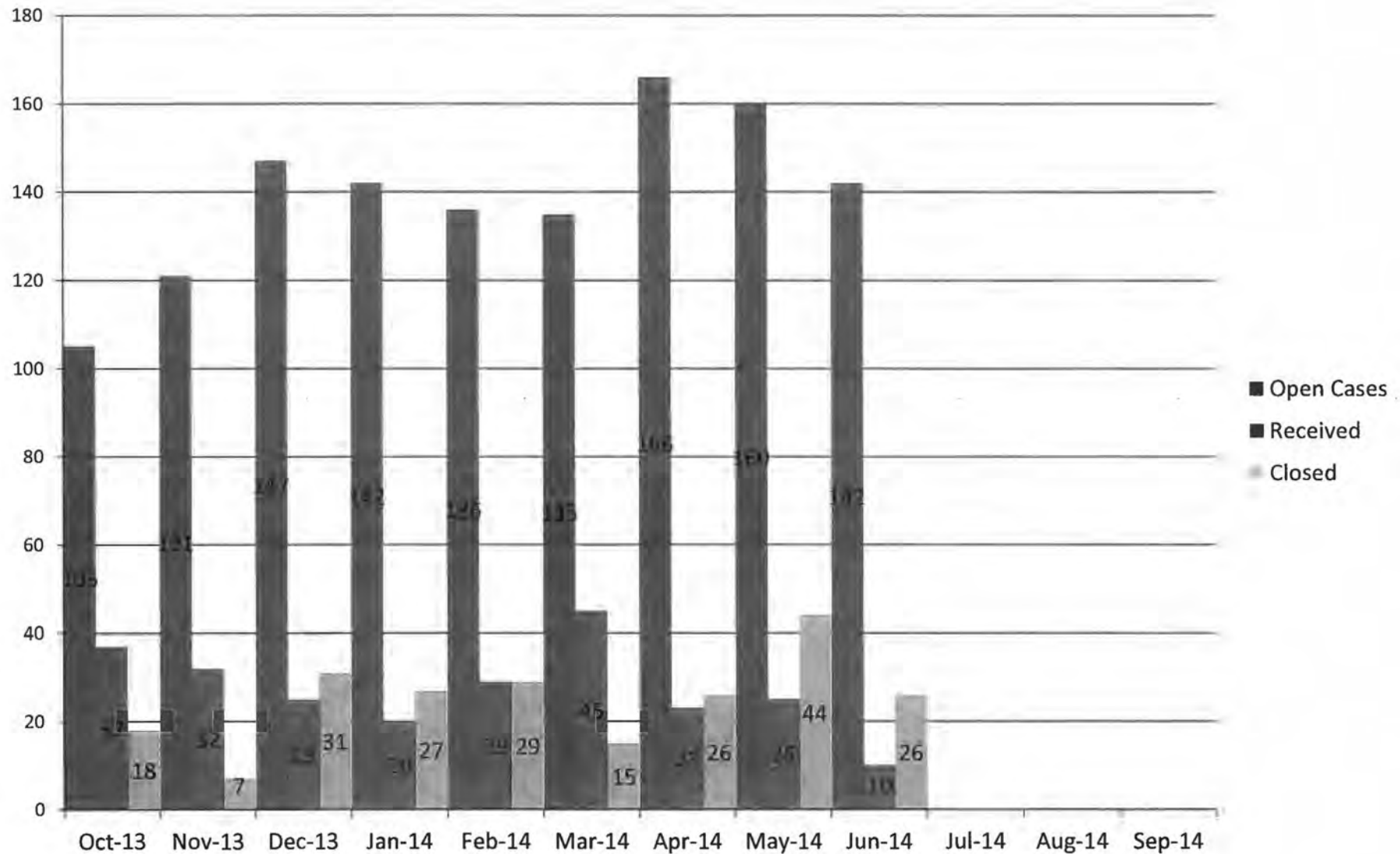




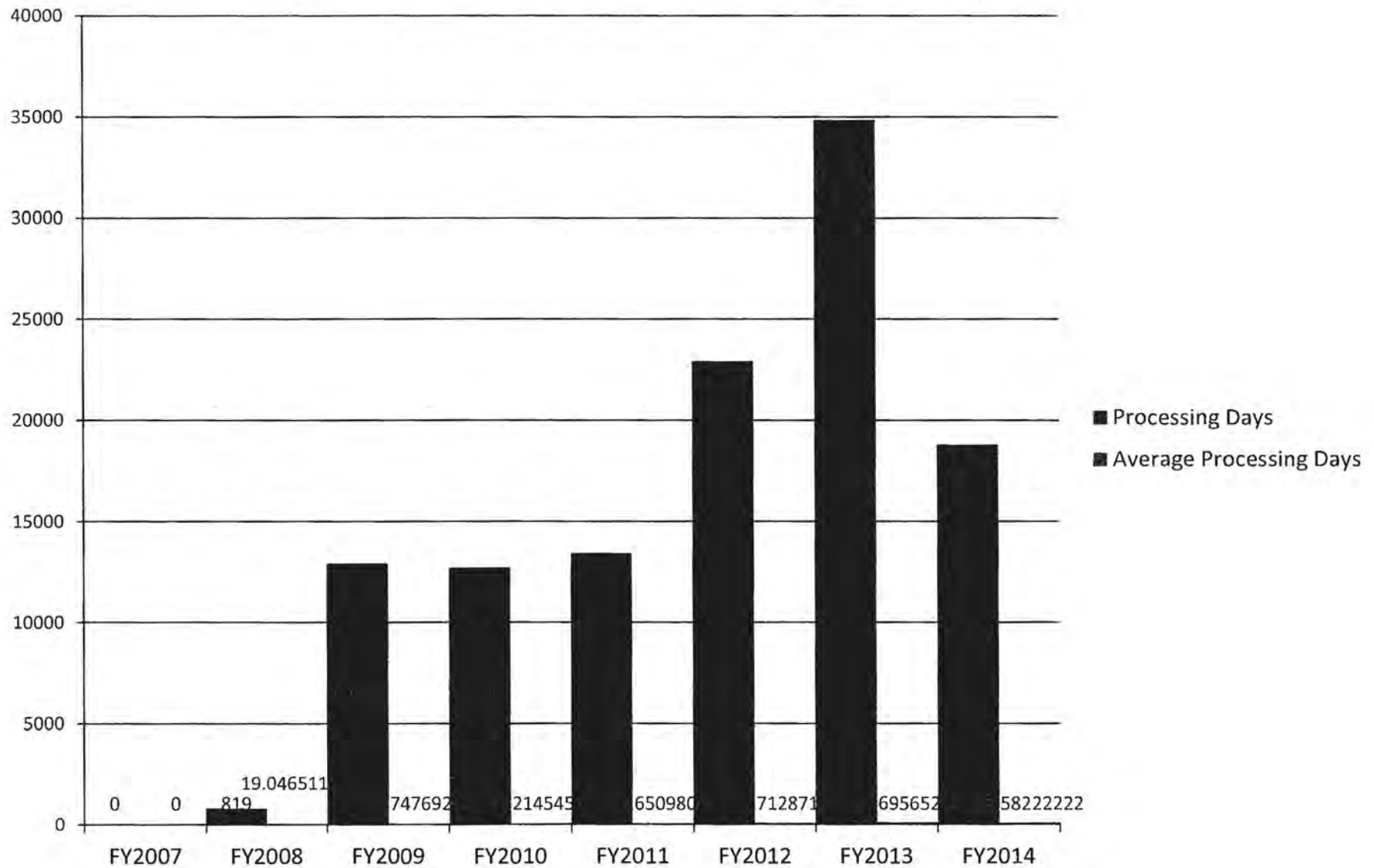
# PA Cases



# FOIA Cases Per Month FY14

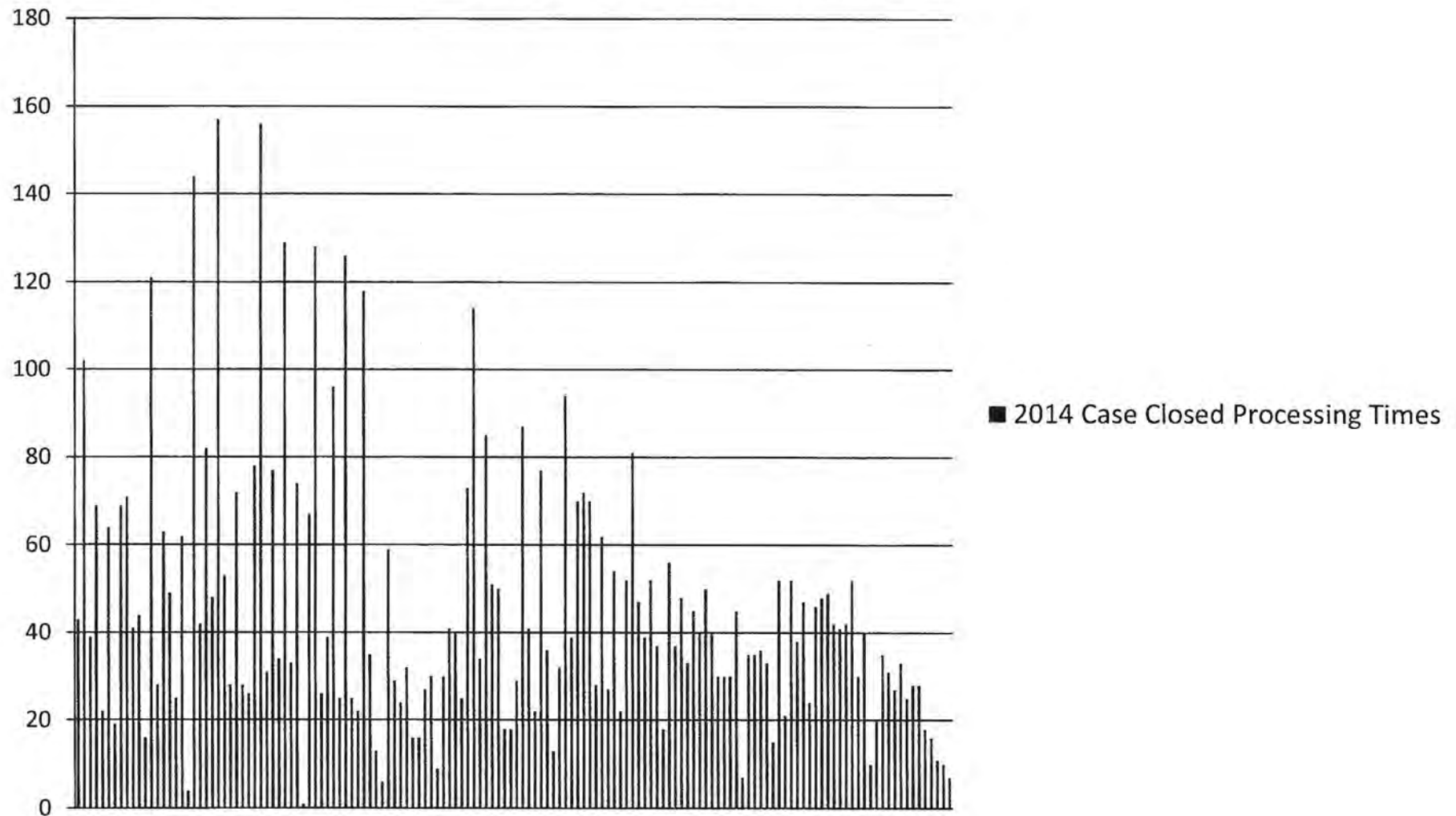


# PA Processing Days



# PA 2014 Case Closed Processing Times

2014 Case Closed Processing Times



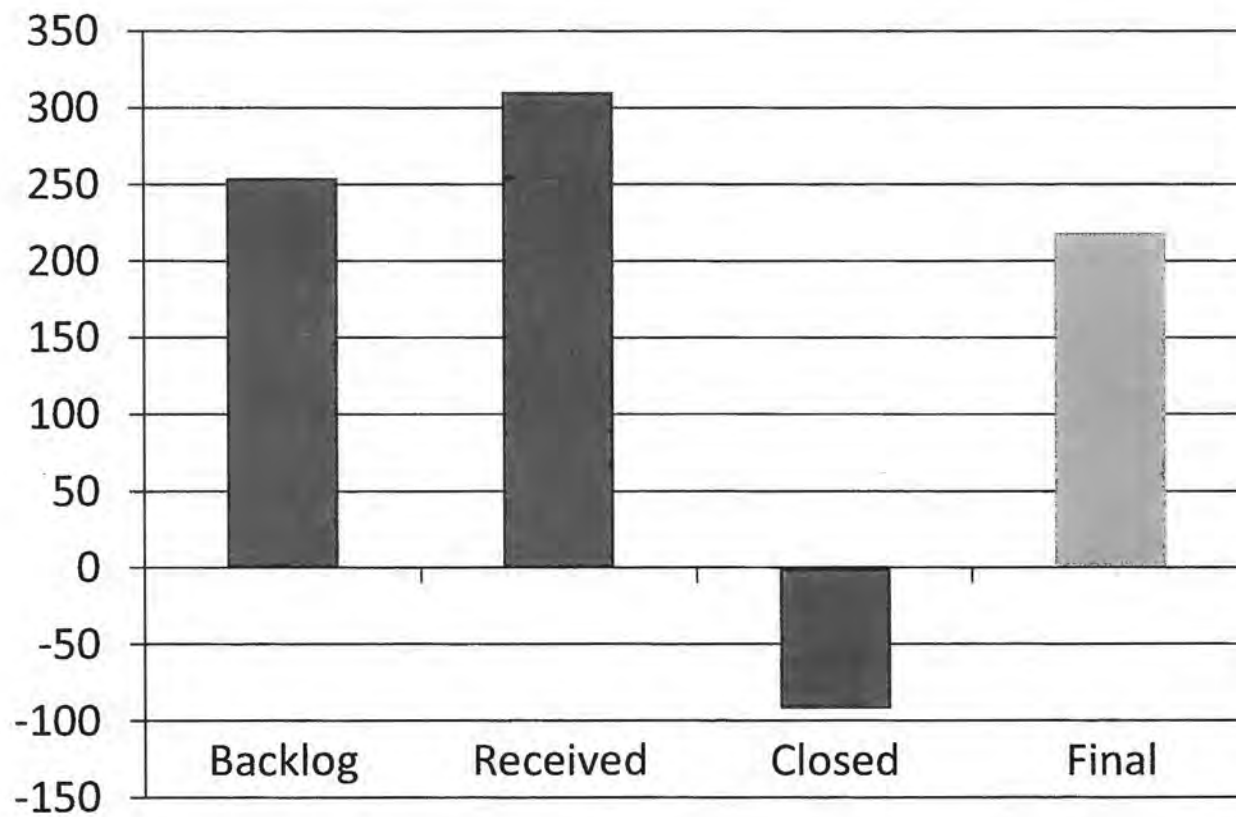
# Breakdown of Charts

- Since beginning of October the FOIA cases have gone down by 38 cases despite the amount of incoming FOIAs
- Processing days for FY14 cases have gone down

# Additional Information

- Y-12 has total of 85 open PA cases
- 14 classified cases at HS-60
- 17 classified cases need to go to HS-60

### FOIA Backlog Beginning 4/1/14 through 6/13/14





**FOIA and Privacy Act Requests Closed Between 4/1/14 and 6/13/14**

**199 Total Requests Closed**

**FOIA Team - 153 Closed**

**CRI, Inc. - 46 Closed**

**Diamond, Bruce**

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**From:** Osheim, Elizabeth  
**Sent:** Friday, May 16, 2014 12:58 PM  
**To:** Diamond, Bruce  
**Cc:** Laney, Karen; (b)(6)  
**Subject:** Attached Table of FOIA Open Record Searches by NNSA Office  
**Attachments:** FOIA Open Record Searches by Office.docx

Attached per our discussion is a table of FOIA cases with records searches open longer than 20 days sorted by NNSA office with explanatory notes. Hope this helps!

\*Denotes request sent to multiple offices for records searches.

### NNSA Offices with FOIA Record Searches\* Pending > 20 Days

Request ID	Subject	Age (days)	Actions Needed
12-00197-H	Copy of peer review results conducted in late January 2012 on the Mixed Oxide Fuel Fabrication Facility project	499	Send records & release recommendations to FOIA Team; <i>APM estimated completion 6/30/2014.</i>
13-00066-J	Copy of the releasable portions of the following records: 1. The Contract awarded by the NNSA pursuant to RFP Number DE-SOL-0003767 related to electric supply services, transmission delivery services, and power system monitoring and control services for the NNSA Nevada National Security Site.	341	Send records & release recommendations to FOIA Team; <i>APM says documents need to be reconciled &amp; provided estimated completion 6/30/14.</i>
13-00069-J	Copy of Facility Support Services for NA-15, awarded to Chugach Government Services Inc. Current contract AC52-09NA28646.	333	Send records & release recommendations to FOIA Team; <i>APM says documents need to be reconciled &amp; estimated completion 6/30/2014.</i>
13-00074-H	Requesting data on the use of individual surety bonds on construction contracts, not including those for residential housing, completed directly with the Department of Energy in the last 20 years.	331	Send records & release recommendations to FOIA Team; <i>APM estimate completion 6/30/14.</i>
13-00092-H	Copies of (1) all contracts or agreements, including but not limited to any sub-contracts or subagreements, between the Department of Energy ("DOE") & third-party security vendors that provide security for all DOE computer networks ("third-party security vendors"), including but not limited to any networks that are accessible only to DOE employees and staff & any networks accessible to members of the public; (2) all records that constitute or concern DOE's policies, protocols, or guidance regarding the selection and/or creation of filters to block access to websites on any DOE computer network; (3) all records that constitute or concern DOE's policies, protocols, or guidance regarding the designation of websites to which filters blocking access are or have been applied, including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (4) all records that constitute or concern DOE's policies,	319	Send records & release recommendations to FOIA Team; <i>no estimated completion date.</i>

\*Denotes request sent to multiple offices for records searches.

	<p>protocols, or guidance regarding the review and/or removal of filters that are or have been applied to websites on any DOE computer network; (5) all records that concern or refer to websites to which filter(s) concerning political or activist groups are or have been applied on any DOE computer network; (6) all records regarding DOE's application and/or removal of filters of websites, including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (7) all records that constitute or memorialize communications among DOE and third-party security vendors regarding filters applied to websites on any DOE computer network, including but not limited to communications regarding implementation of DOE policies, protocols, or guidance concerning filter(s) of political or activist group websites; (8) all records that constitute or memorialize communications among DOE and third-party security vendors regarding removal of filter(s), including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (9) all records that constitute or memorialize communications between DOE and any DOE employee who has requested access to, and/or DOE review of, website(s) that are or have been filtered on any DOE computer network; (10) all records that constitute or memorialize communication between DOE and non-DOE individuals or groups requesting access to, and/or DOE review of, website(s) that are or have been filtered on any DOE computer network.</p>		
13-00101-H*	<p>Records on glovebox procurements under Prime Contract No. DE-AC02-99CH1088, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A complete copy of Prime Contract No. DE-AC02-99CH10888, including but not limited to any portion of MOX Services' proposal that is incorporated by reference into the Contract, and all modifications to the Contract. 2. A complete copy of the Independent Government Estimate of the cost to design and manufacture gloveboxes for the MOX Project. 3. A complete copy of the original drawings &amp; specifications for the gloveboxes for the MOX Project. 4. A complete copy of all revised drawings &amp; specifications for the gloveboxes for the MOX Project. 5. A complete copy of the subcontracts awarded by MOX Services for the fabrication or assembly</p>	316	<p>Send records &amp; release recommendations to FOIA Team.</p> <p><i>Note: on 3/7/2013 e-mailed narrowed scope to NA-20; e-mailed narrowed scope to APM on 4/5/13; e-mailed narrowed scope to SRSO 8/17/13; action removed from NA-20; APM says action "assigned to MOX."</i></p>

\*Denotes request sent to multiple offices for records searches.

	<p>of gloveboxes required for the MOX Project. 6. A complete copy of all invoices or applications for payment submitted by MOX Services to DOE in connection with its performance of work on the MOX Project. 7. All minutes of regular progress meetings between DOE &amp; MOX Services in connection with the performance of the MOX Project. 8. All meeting minutes, correspondence, and email communications relating to cost overruns, delays, or problems with the fabrication or assembly of gloveboxes for the MOX Project. 9. All proposed modifications, requests for equitable adjustment, claims, &amp; other communications reflecting MOX Services' effort to obtain additional compensation or extension of the delivery schedule for the gloveboxes on the MOX Project. This request includes all pass-through claims submitted by MOX Services on behalf of its glovebox subcontractors &amp; all requests for reimbursement of additional compensation that MOX Services paid to its glovebox subcontractors. 10. All termination settlement proposals &amp; other communications relating to MOX Services' termination for convenience of any MOX Services glovebox contractors. This request includes both pass-through termination settlement proposals submitted by MOX Services or MOX Services' requests for approval of termination settlement proposals. 11. All meeting minutes, correspondence, &amp; email communications reflecting or relating to requests by a MOX Services subcontractor for additional compensation or performance time for the fabrication or assembly of gloveboxes for the MOX Project.</p>		
13-00114-K	<p>Copies of the following records on DOE contract for the Mixed Oxide Fuel Fabrication Facility ("MOX Project") at the Savannah River Site Office in Aiken, South Carolina under Prime Contract No. DE-AC02-99CH10888, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A copy of all prior FOIA requests that requested records related to gloveboxes for Prime Contract No. DE-AC02-99CH10888. 2. A list, if any exists, of all records produced pursuant to the prior FOIA requests made that requested records related to gloveboxes for Prime Contract No. DE-AC02-99CH10888. 3. A copy of the bid tabulation sheets for MOX Services' procurement of the following gloveboxes, with names of the subcontractors redacted, indicating the prices</p>	298	Send records & release recommendations to FOIA Team.

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	submitted by each subcontractor: (a) RFTP#01086 (NDP/NDS/NXR Gloveboxes); (b) RFTP #01255 (NCR Glovebox); and (c) RFTP #01326 (PQE/LTP Glovebox).***Please Provide Docs. in Electronic Form***		
13-00123-R	Copy of the winning Preliminary Assessment, NPO-Y12 submitted to an Expression of Interest (EOI) approximately June of 2012. EOI was for the Notice of Opportunity Energy Savings Performance Contract (ESPC) project at Y-12, Oak Ridge, TN. The award of this Preliminary Assessment was approximately February, 2013.	294	Send records & release recommendations to FOIA Team; <i>APM estimated completion 6/30/2014.</i>
13-00125-K	Copies of: 1. Performance Evaluation Reports for past 5 years from all Savannah River Site prime contractor: - SRNS< SRR, Mox Fuel Services, SRS Site Security Contract, Savannah River Ecology, laboratory. 2. Internal Reviews of Site Security At SRS. 3. Telephone Contract with Verizon and associated documents. 4. Performance Evaluation report for past 5 years from NNSS and Award fees at NNSS.	294	Send records & release recommendations to FOIA Team.  <i>Note: APM staff says responsive information provided on 5/2/2013; neither APM nor GC have record of receipt of response so APM must provide records &amp; release recommendations.</i>
13-00245-E	Requestor is requesting soft copy of all contracts with SOW and any modifications, a list of all companies and subcontracts on the contract, and value of new contract (if available) awarded via solicitation DE-RP52-06NA26990. Three prime contracts are: Sealaska Environmental Services, LLC (DE-AM52-08NA28893), Randolph Construction Services, Inc. (DE-AM52-08NA28443), Ahtna, Inc. (DE-AM52-08NA28442).	176	Send records & release recommendations to FOIA Team.
14-00005-R	Centurion Research Solutions request (pdf soft copy if available) a copy of the following awarded via solicitation DE-RP52-08NA28016: Potomac Hudson-DE-AM52-09NA 28016, LATA -DE-AM52-09NA29162, JAD-DE-AM52-09NA29163, Gonzales-Stoller-DE-AM52-09NA29164. In addition, a copy of the following awarded via solicitation DE-RP52-08NA28021: SAIC-DE-AM52-09NA 28021, TetraTech-DE-AM52-09NA 29165, HDR. - DE-AM52-09NA 29166.	149	Send records & release recommendations to FOIA Team.  <i>APM says documents need to be reconciled; no estimated completion date.</i>
14-00013-R*	Requestor is requesting, MOX Fuel Fabrication Facility contract signed in 1999 by the U.S. Department of Energy (DOE). 2008 agreement (subcontract) between the U.S. Department of Energy (DOE) and Shaw AREVA MOX Services, LLC implementing construction of the Mixed Oxide (MOX) Fuel Fabrication Facility at the Savannah River Site,	143	Send records & release recommendations to FOIA Team.  <i>Note: APM says action "assigned to MOX."</i>



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	located in Aiken, South Carolina. Through this agreement. DOE exercised the construction option that was included in the MOX Fuel Fabrication Facility contract signed in 1999. The scope included the actual construction of the main MOX Facility and all support facilities; cold start-up of the MOX Plant; and continued support of Nuclear Regulatory Commission Licensing activities associated with the project.		
14-00038-R	Seeking the following documents: 1. A full and complete copy of the contracts awarded with the Contract Number assigned as DE-NA0001700, and all of the subject agreements related thereto and all exhibits, modifications, amendments and/ or supplements thereto (whether to the contract awardee or any other designee or subcontractor (or later substituted party) or otherwise). 2. All other contracts and agreements relating to the subject matter of Contract Number DE-NA0001700 between the awarded party and the Department of Energy, National Nuclear Security Administration (including without limitation the Office of Chief Information Officer (OCIO) of the Department of Energy, National Nuclear Security Administration) or any of its agents, officers, employees, representatives, subsidiaries, divisions, successors, parents or affiliates, including the United States of America or any agency, subdivision, department or sub entity thereof.	124	Send records & release recommendations to FOIA Team.  <i>Note: APM advised that estimated response exceeds cost to process &amp; said it's "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. Regardless of cost estimate APM may still need to provide records &amp; release recommendations.</i>
14-00052-R*	Requesting all award fee letters to Management & Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records & release recommendations to FOIA Team.  <i>Note: APM advises it does not have responsive records &amp; transferred to Site Offices. Received SFO response.</i>
14-00076-H	Copy of the following documents identified to DE-NA 0001632; 1) Contract Sections A-J 2) All attachments.	90	Send records & release recommendations to FOIA Team.
14-00081-H	Requesting draft solicitation or the current contract DE-AM52-09NA28558, Training and Logistics. The incumbent contractor is Innovative Technology Partnerships LLC.	84	Send records & release recommendations to FOIA Team.
14-00085-R*	***This request has also been assigned to EM and SROO*** Requesting Any "memorandum of understanding" or "memorandum	82	Send records & release recommendations to FOIA Team.



\*Denotes request sent to multiple offices for records searches.

	of agreement" or similar document(s) between DOE (any any entity of DOE) & any German governmental agency, including the federal government and any state government (including North Rhine Westphalia ) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. 2. Any contract(s) & attachment(s) to it between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state govern (including North Rhine-Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. This request includes any contract or other documents or attachments reflecting the amount of the contract, in US dollars or Euros. 3. DOE determination concerning DOE's requirements about preparation of legally required environmental documents under the National Environmental Policy Act (NEPA) This part of the request covers the determination that a full Environmental Impact Statement (EIS) with public participation will need to be prepared, as required by NEPA.		
14-00086-K*	Requesting, (1) The full Fiscal Year 2013 "Performance Evaluation Reports" (PERs) prepared by the Savannah River Operations Office on the performance of any site contractors, including Savannah River Nuclear Solutions. (2) This request applies to PERs prepared by or for the National Nuclear Security Administration for NNSA projects at SRS. Including construction and administration of the Mixed Oxide Fuel Fabrication (MOX), construction of the Waste Solidification Building and operation of the tritium program. (3) Any cover letters and fee determination letters associated with the FY 2013 PERs and any attachments to the PERs.	81	Send records & release recommendations to FOIA Team.  <i>Note: APM advised that this is "assigned to MOX."</i>
14-00096-R	Requesting, a copy of solicitations, contract award, statement of work (SOW) and contract modification for Contract DEAC5209NA28646.	68	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised "this is off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need to provide</i>

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			<i>responsive records &amp; release recommendations.</i>
14-00099-R	Requesting, the below listed documents be provided to ARServices. Amplifying data for this request included below. Contract # DENA0000905, Program Title Information Technology and Telecommunications Enterprize Support Services, Incumbent Contractor, Contract Expiration, September 2015. Documents Requested, Solicitation, Contract Award, Statement of Work (SOW)	62	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised that it is "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need responsive records &amp; release recommendations.</i>
14-00102-R	Requesting, a copy of last published CPAR for contract # DEAM5209NA28558. This is a contract between NNSA and Innovative Technology Partnership LLC.	62	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised that it is "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need responsive records &amp; release recommendations.</i>
14-00136-R	Requesting a copy of the following documents identified to DEDT0004215/National Nuclear Security Administration: 1) RFP 2) Statement of Work for Task Order Number: DEDT0004215.	33	Send records & release recommendations to FOIA Team.
14-00157-K	Requesting documents in reference to the following topic. Solicitation: DE-RP52-09NA28681.	19	Send records & release recommendations to FOIA Team.
<b>NA-21</b>			
Request ID	Subject	Age (days)	Actions Needed
11-00307-H	Requesting a copy of reports of radiation-emissions data collected via the Comprehensive Nuclear Test Ban Treaty Organization's Network of air radionucleotide sensors, and provided to member states, including the U.S., under terms of the Comprehensive Nuclear Test Ban Treaty. Requesting reports of emissions from all	782	Send records & release recommendations to FOIA Team.

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	monitoring stations, including information on their locations, for dates beginning March 1, 2011 and continuing to the present. Requesting all future reports of said radiation emissions, until I request a cessation when the threat from the Fukushima Daiichi nuclear power plant is deemed to be over. Requesting the names of the civilian research entities with which you share this data.		
11-00417-K	<p>*****PLEASE SEE THE COMPLETE REQUEST ON THE ATTACHED ORIGINAL REQUEST—COMPLETE REQUEST DID NOT FIT HERE***** FOIA request relating to Pacific Northwest National Lab (PNL) records and Energy Northwest, Mixed Oxide reactor fuel (MOX). Requesting copies of all public records (including email, electronic, and paper records) relating to the following: 1. All records involving, or related to, correspondence between Pacific Northwest National Lab (PNL) and its staff or contractor(s) with Energy Northwest or any other entity (including, but not limited to Areva, GE, other USDOE Offices or contractors) regarding the potential for, or potential use of, Mixed Oxide (MOX) reactor fuel in the Columbia Generating Station(CGS) operated by Energy Northwest and fabrication of MOX fuel pins or pins for testing in CGS. a. This request includes, but is not limited to, all emails from, or to, the following individuals in relation to this topic: Cheryl Thomhill, Vic Parrish, Lisa Ferek, Joseph Parish, Jerry Lewis, Miguel Armenta, Sudesh Gambhir, Beverly Cadwell, Sara Leverage, Earl Saito, William Richmond, James Buelt, Gary Sevigny, Brady Hanson, Richard Clark, Dean Tousley, Carol Elliott, Sue King, Virginia Kay, Peter Newby, Zoe Castelum, Carolyn Matthews b. Please provide on an expedited basis the following identified emails relating to this subject and the individuals identified above from the following dates in 2009: 7-2; 7-28; 8-4, 8-13, 8-17, 8-18; 8-24; 9-4; 9-14; 10-1; 10-7; 10-22;11-18; 11-24; 12 14, 12-15,12 18, and, the following dates from 2010. 112, 114, 1-29. c. Please provide all other emails and correspondence from January 1, 2009 to the present regarding PNL or Energy Department involvement in the potential use of Mixed Oxide (MOX) or high Plutonium content regarding the potential for, or potential for, use of Mixed</p>	695	Send <i>release</i> recommendations to FOIA Team; need memo from Subject Matter Expert(s) identifying what item email(s) respond to and releasability of the responsive emails.

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	Oxide (MOX) reactor fuel in the Columbia Generating Station operated by Energy Northwest reactor fuel in the Columbia Generating Station operated by Energy Northwest. d. All analyses of costs, budget forecasts, proposed schedules, and reporting of costs incurred in studying or implementing proposals for use of MOX fuel in the Columbia Generating Station or projected for MOX fuel supply and fabrication (including those involving non-governmental entities or contractors). 2. Budgets, schedules (including proposals) and analyses of costs and impacts regarding shipping Plutonium I MOX fuel to Pacific Northwest National Lab (PNL) or any Hanford facility for fabrication or testing, including for use of MOX fuel in the Columbia Generating Station. a. This includes, but is not limited to, any presentations or planning for presentations (regardless of who made the presentation) regarding such proposals. 3. All correspondence with Energy Northwest (including records relating to meetings, phone calls or emails) or other records concerning requests for records made to Energy Northwest in regard to Energy Northwest's use of MOX fuel (including regarding any role of PNL and USDOE in providing fabrication or other services to Energy Northwest).		
12-00151-H	Requesting a copy of all documents including cables, emails and memos from 01 January 2005 through 31 December 2005 to, from or concerned in whole or in part with International Atomic Energy Agency (IAEA) head Mohammed ElBaradie (or el-Baradei). Please include all department offices concerned with nuclear proliferation and nonproliferation in your search.	532	Send records and release recommendations to FOIA Team.
12-00270-K	Requesting copies of any and all communications, emails, meeting documents or notes, phone records, presentations and other documents, between January 1, 2011 and February 29, 2012 regarding U.S. Nuclear cooperation or trade with Taiwan (also known as the Republic of China or "ROC"), including the issues of Taiwan's nuclear fuel, post-irradiation experiments, nuclear waste and/or spent-fuel repositories, uranium enrichment, or any matters related to renewing the U.S. Taiwan Section 123 agreement on nuclear cooperation which expires in 2014.	447	Send records and release recommendations to FOIA Team.

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	***Previously sent CON 12-00009-K with docs to review related to this request. This request has now been sent to us as a request for documents.***		
12-00308-H	Copies of any and all communications, emails, meetings, phone records, presentations, and other documents between January 1, 2009 and December 31, 2011 regarding any issue related to Mongolia and nuclear fuel, nuclear waste and/or spent fuel repositories. This request includes, but is not limited to, emails by and to DOE officials, including but not limited to Daniel Poneman, Pete Lyons, Shane Johnson, Edward McGinnis, Alan Brownstein, Jay Jones and Giulia Bisconti, and any official(s) representing the Mongolian Government.	420	Send records and release recommendations to FOIA Team; <i>estimated completion 5/30/2014.</i>
13-00144-SL	Requesting 1. Required annual Department of Energy report to Congress for 2013 as to the status of the Mixed Oxide Fuel Fabrication Facility at the Savannah River Site, as required by "SEC. 3182. DISPOSITION OF WEAPONS-USABLE PLUTONIUM AT SAVANNAH RIVER SITE" of the NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 2003; 2. All attachments to the report which may have been submitted in order to meet the provisions stipulated in Sec. 3182.	278	Send records and release recommendations to FOIA Team.
14-00002-H	Requesting, " a copy of the following documents be provided to me: the "Agreement between the Government of the United States of America and the Government of the Russian Federation on Cooperation in Nuclear-and Energy-Related Scientific Research and Development." According to a Department of Energy press release, the agreement was signed Sept. 16 in Vienna by Secretary Moniz and Rosatom's Sergey Kirienko. Portions of the agreement have been released, but not the full text. I am requesting the full text.	151	Send records and release recommendations to FOIA Team.
14-00018-H	Requesting records demonstrating the total number of 10 C.F.R. 810 deemed export licenses sought from the Department of Energy from January 1, 2003 to present. Specifically, please provide records detailing the number of deemed export licenses issued or approved, the number of license applications that were denied, & the identity or type of applicant. (i.e., Department of	140	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	Energy Laboratory, private company, ect.).		
14-00043-R	Requesting, German high-level waste management and possible import to the Savannah River Site: 1. Any documents, assessments or reports (and attachments) prepared by DOE's Office of Environmental Management, Savannah River Site or Savannah River National Laboratory concerning management and disposal of the AVR fuel. 2. Any documents, assessments or reports (and attachments) prepared by the above entities concerning the ability of the H-Canyon, including via modifications, to process the graphite AVR fuel. This request covers documents on the handling of any HEU removed from the fuel and management of resultant waste streams, including impacts to the SRS high-level waste system. 3. Any "trip reports" filed by DOE employees or contractors who traveled to the Juelich site.	119	Send records and release recommendations to FOIA Team.
14-00085-R*	***This request has also been assigned to EM and SROO*** Requestor is requesting, Any "memorandum of understanding" or "memorandum of agreement" or similar document(s) between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state government (including North Rhine Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. 2. Any contract(s) and attachment(s) to it between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state govern (including North Rhine-Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. This request includes any contract or other documents or attachments reflecting the amount of the contract, in US dollars or Euros. 3. DOE determination concerning DOE's requirements about preparation of legally required environmental documents under the National Environmental Policy Act (NEPA) This part of the request covers the determination that a full Environmental Impact Statement (EIS) with public participation will need to be prepared, as required by NEPA.	82	Send records and release recommendations to FOIA Team.



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NA-001		4 Requests	
Request ID	Subject	Age (days)	Actions Needed
13-00171-J	Requesting travel documents for Ann Harrington, Deputy Administrator for Defense Nuclear Nonproliferation. Please submit: Ms. Harrington's travel schedule since October 1st, 2010, the cost of each flight take by Ms. Harrington since October 1st, 2010, and the travel budget Ms. Harrington is subject to for each fiscal year since October 1st, 2010.	244	Send records and release recommendations to FOIA Team.
13-00269-R	Requesting a copy of all correspondence between the DOD and the DOE regarding the DOD to allow \$5 billion dollars in expected funding to go to the DOE on the National Nuclear Security Administration and their projects circa 2010/2011. Please include all records, electronic or otherwise, that may pertain to communication between the DOD and DOE regarding these funds being transferred, which would include, all memos, letters, emails, reports, etc.	159	Send records and release recommendations to FOIA Team.
14-00052-R*	Requesting, all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team.
14-00116-K	Requesting documents in reference to the following topic: Emails/ and IMs from Robert Nassif, Howard Dickenson, Eileen Rountree-McLennan, William Lyn Stenseth that reference my name or my organization during the period of January 2012 through March 2014. All emails or IMs that makes reference to me or MB 61 from William Lynn Stenseth, Eileen Rountree-McLennan, Robert Nassif. For the period of January 2012 through March 2014. All emails of Robert Nassif, Howard Dickenson, Eileen Rountree-McLennan, or William (Bill) Stenseth including those that have been deleted with any content related to Pecolia Sharts-Meadows or any version	45	Send remaining records and release recommendations for to FOIA Team; <i>received Stenseth &amp; Roundtree-McLennan records 4/30/14.</i>



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	thereof, Pecolia Sharts, Pecolia Meadows, or Pecolia B. Sharts-Meadows during the period of January 2012 through March 2014.		
<b>NA-10</b>			
Request ID	Subject	Age (days)	Actions Needed
13-00103-E [Pending in OST]	Copy of electronically signed e-mail from Pastor Cameron Reeves. This email was sent to Jeremy Kuntz, Dan Johnson, Bobby Sanchez, Suzanne Garcia, Dr. Anthony Trayweek, and Michele Phipps among others. This statement contains allegations of some sort against me. The statement is date November 16, 2012. Jeremy Kuntz continued to conduct several phone conversations in an attempt to illicit a response from Pastor Reeves after November 16, 2012. I am requesting any and all emails or letters written to Dr. Anthony Trayweek from Mr. Jeremy Kuntz regarding the content of these conversations. Any further information written statements, e-mails, or documents in regard to the agents that approched Pastor Cameron Reeves in person and via telephone several times the week of November 11, 2012. One of the agents was Kevin Kerr. I want the doeuments reporting these contacts with Cameron Reeves to AOCC command. I want the e-mail and subsequent information there in provided to personnel security investigator Michelle Phipps from Dr. Anthony Trayweek. I would also like a copy of the internal affairs investigation that Jeffery Herroll ordered to be conducted in to wrongdoing at AOCC by investigator Jim Allen. This investigation was conducted on, or around Sept 12, 2011. Jim Allen will know what to give you.	313	Send records and release recommendations to FOIA Team.
14-00031-H	Requesting, The National Nuclear Security Administration April 2012 study for meeting plutonium needs of NNSA. The study was identified in the September 2013 Government Accountability Office (GAO) Report to the Committee on Armed Services, U.S. Senate GAO-13-522 Modernizing the Nuclear Security Enterprize-Observations on NNSA's Options for Meetings Its Plutonium	134	Send records and release recommendations to FOIA Team

\*Denotes request sent to multiple offices for records searches.

	Research needs.		
<b>NA-IM:</b>		<b>2 Requests</b>	
Request ID	Subject	Age (days)	Actions Needed
13-00102-J	Copy of the first 100 pages of any documents that pertain to websites that DOE employees (Specifically the NNSA) are not allowed to visit while using their U.S Government issued computers.	134	Send records and release recommendations to FOIA Team.
14-00152-H	Requesting copies of any and all the details of what specific information I supposedly shared, with whom, how that information was shared, when and how it was discovered, and what evidence there is that supports the findings. The more details I can get the better. I would also like to know if, as a result of this, I have been put on any sort of FBI, homeland security etc. list(s) such as "no fly".	23	Send records and release recommendations to FOIA Team.
<b>NA-SH:</b>		<b>2 Requests</b>	
Request ID	Subject	Age (days)	Actions Needed
14-00052-R*	Requesting, all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team; <i>FOIA specialist out of town in training &amp; unable to confirm if NA-SH still needs to respond.</i>
<b>NA-AD:</b>		<b>1 Request</b>	
Request ID	Subject	Age (days)	Actions Needed
14-00091-R	Requesting, 1. Any records pertaining to Broken Arrow incidents occurring after September 19, 1980 Including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made the Immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c.	77	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	<p>Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated. 2. Any records pertaining to Broken Arrow incidents which had occurred earlier than September 19, 1980 and had been omitted or are otherwise missing from the Department of Defense document nt titled "Narrative Summaries of Accidents Involving U.S. Nuclear Weapons," including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made he immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c. Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated. 3. Any records pertaining to events which involved nuclear armaments, were first identified as something other than a Broken Arrow incident, and were then subsequently identified as a Broken Arrow incident, including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c. Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated.</p>		
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\*Denotes request sent to multiple offices for records searches.

NA-EA: 1 Request			
Request ID	Subject	Age (days)	Actions Needed
14-00111-H	Requesting correspondence between United States Department of Energy, National Nuclear Security Administration Service Center and: The office of Congressman Paul Broun (R-GA, 2007-present), The office of Congressman Rodney Davis (R-IL, 2013-present), The office of Congressman John Delaney (D-MD, 2013-present), The office of Congressman Jeff Denham (R-CA, 2011-present), The office of Congressman Robert Dold (R-IL, 2011-2013), The office of Congressman Bill Enyart (D-IL, 2013-present), The office of Congressman Bill Foster (D-IL, 2008-2011 and 2013-present), The office of Congressman Phil Gingrey (R-GA, 2003-present), The office of Governor Nikki Haley (R-SC, 2011-present), The office of Congressman Jack Kingston (R-GA, 1993-present), The office of Senator Charles Patrick "Pat" Roberts (R-KS, 1997-present), The office of Congressman Brad Schneider (D-IL, 2013-present), The office of Congressman John Tierney (D-mA, 1997-present). ***Electronic Copy or fax 866-356-2010***	52	Send records and release recommendations to FOIA Team.
SRFO: 3 Requests			
Request ID	Subject	Age (days)	Actions Needed
13-00101-H*	Records on glovebox procurements under Prime Contract No. DE-AC02-99CH1088, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A complete copy of Prime Contract No. DE-AC02-99CH10888, including but not limited to any portion of MOX Services' proposal that is incorporated by reference into the Contract, and all modifications to the Contract. 2. A complete copy of the Independent Government Estimate of the cost to design and manufacture gloveboxes for the MOX Project. 3. A complete copy of the original drawings & specifications for the gloveboxes	316	Send records & release recommendations to FOIA Team.  <i>Note: on 3/7/2013 e-mailed narrowed scope to NA-20; e-mailed narrowed scope to APM on 4/5/13; e-mailed narrowed scope to SRSO 8/17/13; action removed from NA-20 but remains on APM &amp; SRFO. APM says action "assigned to MOX."</i>

\*Denotes request sent to multiple offices for records searches.

	<p>for the MOX Project. 4. A complete copy of all revised drawings &amp; specifications for the gloveboxes for the MOX Project. 5. A complete copy of the subcontracts awarded by MOX Services for the fabrication or assembly of gloveboxes required for the MOX Project. 6. A complete copy of all invoices or applications for payment submitted by MOX Services to DOE in connection with its performance of work on the MOX Project. 7. All minutes of regular progress meetings between DOE &amp; MOX Services in connection with the performance of the MOX Project. 8. All meeting minutes, correspondence, and email communications relating to cost overruns, delays, or problems with the fabrication or assembly of gloveboxes for the MOX Project. 9. All proposed modifications, requests for equitable adjustment, claims, &amp; other communications reflecting MOX Services' effort to obtain additional compensation or extension of the delivery schedule for the gloveboxes on the MOX Project. This request includes all pass-through claims submitted by MOX Services on behalf of its glovebox subcontractors &amp; all requests for reimbursement of additional compensation that MOX Services paid to its glovebox subcontractors. 10. All termination settlement proposals &amp; other communications relating to MOX Services' termination for convenience of any MOX Services glovebox contractors. This request includes both pass-through termination settlement proposals submitted by MOX Services or MOX Services' requests for approval of termination settlement proposals. 11. All meeting minutes, correspondence, &amp; email communications reflecting or relating to requests by a MOX Services subcontractor for additional compensation or performance time for the fabrication or assembly of gloveboxes for the MOX Project.</p>		
13-00157-J	<p>Request any &amp; all agency records or other documents in its possession that concern, refer, reflect, or relate to: 1. Any records that concern, reflect, or relate to the Shaw-Areva Mox Services, LLC's (Shaw-Areva) subcontract with Oak Ridge Tool-Engineering (ORTE), including documents, notes, emails, letters, memoranda, communications, photographs and any other document or</p>	267	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	recording that pertain to the subcontracts, and/or TOR 001 and TOR 003. 2. ANY records that concern, reflect, or relate to any first- or second-tier subcontractor claims concerning Shaw-Areva for non-payment or claims of delay alleged against Shaw-Areva, including documents, notes, emails letter, memoranda, communicaitons, photographs and any other document or recording. 3. Any records that concern, reflect, or relate to the adequacy or deficiencies of Shaw-Areva Mox Services, LLC's (Shaw-Areva) plans, drawings, schematics, or design of its nuclear gloveboxes and subassemblies and/or the process units contemplated by TOR 001 and TOR 003 executed with ORTE.		
14-00013-R*	Requesting, MOX Fuel Fabrication Facility contract signed in 1999 by the U.S. Department of Energy (DOE). 2008 agreement (subcontract) between the U.S. Department of Energy (DOE) and Shaw AREVA MOX Services, LLC implementing construction of the Mixed Oxide (MOX) Fuel Fabrication Facility at the Savannah River Site, located in Aiken, South Carolina. Through this agreement. the DOE exercised the construction option that was included in the MOX Fuel Fabrication Facility contract signed in 1999. The scope included the actual construction of the main MOX Facility and all support facilities; cold start-up of the MOX Plant; and continued support of Nuclear Regulatory Commission Licensing activities associated with the project.	143	Send records and release recommendations to FOIA Team.
<div>SEO:</div> <div>4 Requests</div>			
Request ID	Subject	Age (days)	Actions Needed
10-00093-J*	Requesting a full fiscal year 2009 Performance Evaluation Report for the contractors of the NNSA's Los Alamos National Laboratory (Los Alamos National Security), Sandia National Laboratory (Sandia Corp./Lockheed Martin Corp.) and Lawrence Livermore National Laboratory (Lawrence Livermore National Security). Please provide any info, emails, meeting records, other docs pertaining to a decision by NNSA Director of the Office of Acquisition and Supply	1072	Received final responses from LAFO, APM; awaiting release recommendations on others.



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	Management David O. Boyd dated 10-19-2009 to not release PERs to the public.		
13-00045-E	Requesting (1) All guidance issued by the Department of Energy and/ or any of its departments on the use of staf augmentation workers including memos, letters, and emails. (2) All documents, emails, communications, and informaiton related to the March 2000, Audit Report regarding Staff Augmentation Workers at Sandia National Laboratories (WR-B-00-04). **Requester amended this to add the date range of requested documents is January 1, 1984 to the present***	363	Send records and release recommendations to FOIA Team.
13-00253-H	Requesting, All documents concerning the Civil Action STC V. Intel pending in the District of New Mexico (1:10-cv-01077); all documents concerning Sandia's involment in the Civil Action STC v. Intel pending in the District of New Mexico (1:10-cv-01077).	174	Send records and release recommendations to FOIA Team.
13-00267-E	Requestor is requesting documents that have never been declassified before, on the following subjects: -Construction, building, or testing of Electronic Analog Computers and processors -Construction, building, or testing of Hybrid (Analog/Digital) Computer and processors. Date: 1948 to Present date. Location: Sandia National Laboratory, Preferred form or format: Electronic (pdf) or paper.	161	Send records and release recommendations to FOIA Team.
LAFO: 11 Requests			
Request ID	Subject	Age (days)	Actions Needed
10-00251-H	Requesting: 1. Traffic studies – We request any & all traffic studies that have been done, or a description of those being done, related to the Chemistry and Metallurgy Research Replacement (CMRR) project, or the CMRR project in connection with other Pajarito corridor construction projects within the laboratory boundaries and outside the laboratory boundaries. If any traffic studies are not completed please provide a description of their scope and expected completion date. If any other traffic studies are planned, but not yet underway, please provide a list including their	961	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	<p>proposed scope and completion dates. Please provide any other studies related to the environmental impact of possible traffic changes due to the CMRR project or the CMRR project and other Pajarito construction corridor projects taken together. Also, please provide any studies regarding the temporary closure of Pajarito road. 2. Utilities – We request any &amp; all environmental impact studies, evaluations, reports, plans, and documents that have been done or written in relation to the CMRR project by itself or in connection with other Pajarito corridor construction projects regarding utility modifications of laboratory utilities including electricity, gas, sanitary, sewer, radioactive sewer, and any other utilities and their environmental impacts. 3. Parking &amp; truck inspection – We request any &amp; all evaluations, results, reports, studies, drawings, and documents that were generated during the site selection process for parking and truck inspection facilities. 4. Administrative record – We request the administrative record, in electronic form, for the final Environmental Impact Statement for the CMRR facility. 5. Institutional impacts – We request any &amp; all evaluations, reports and studies on the institutional impacts of CMRR construction by itself, or in connection with other Pajarito corridor construction projects, including, but not limited to: a) measures to house LANL workers; b) office space for displaced LANL workers; c) laboratory and other specialized facilities for displaced workers; d) costs; e) environmental and program impacts, including impacts on program deliverables; f) locations of backup facilities; and g) plans and studies for shuttle services. 6. TA-55 – We request any and all evaluations, reports and studies of the impacts on TA-55 programs and deliverables in relation to the CMRR project or in connection with other Pajarito corridor construction projects. 7. Transient workers – We request any and all evaluations, reports and studies in relation to the CMRR project by itself or in connection with other Pajarito corridor construction projects, of: a) economic and environmental impacts of housing for transient workers at LANS and other contractors and sub-contractors; b) how many transient employees are expected to be</p>		
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\*Denotes request sent to multiple offices for records searches.

	needed, when they will be needed, for what kinds of specific jobs, and how long they will be needed for each job; and c) evaluations of the existing housing market.		
13-00139-K*	Requester is requesting 1. The unclassified version of the security reviews, reports, correspondence, and emails regarding ny force-on-force activities at the Savannah River Site results that were conducted from January 2010 to the present. 2. All records pertaining to assessing secondary lifetimes of the enduring weapon stockpile.	285	Send records and release recommendations to the FOIA Team.
13-00249-R*	Requesting copies of any and all records, photos, etc. pertaining to nuclear weapon acciedents/incidents covering the period of January 1, 1951 through December 31, 1955.	176	<i>Transmit any classified responsive records directly to HS-60; LANS estimated completion 5/23/2014; SNL transmitted classified responsive records directly to HS-60 in February 2014.</i>
14-00030-K	Requesting, recorded materials such as videos, software, source code, and media pertaining to MediaView (aka"Media View") developed by Richard L. Phillips at Los Alamos National Laboratory ("LANL") between 1989-1993, Medial View is referenced in the following printed publications, & it is believed that Andrew White is someone still with LANL who may be knowledgeable about MediaView archival materials: * LA-UR 11-05798 (see also FOIA 06-103-P (record no. 1735)) * LA-UR 91-2045 * LA-CP 90-272 *A CD-ROM of materials including Media View was distributed at SIGGRAPH 1992 (copy of CD-Rom label attached as IMB_177.jpg) * A CD-Rom of materials from LANL last modified December 15, 1992 was located by participant from SIGGRAPH 1992(copy of README.TXT file from CD attached) ***PLEASE READ ATTACHMENT FOR FULL DESCRIPTION.	134	Send records and release recommendations to the FOIA Team.
14-00061-K	Requester is requesting, All documents, emails and data that Los Alamos National Laboratory (LANL) submitted to the Environmental Protection Agency (EPA) Region 6 regarding the Clean Air Act new/modified source review for the Zero Liquid Discharge Solar Evaporation Tanks (SET) at Technical Area 52, as well as the use of the Mechanical Evaporation System (MES). The evaporated systems are associated with operations at the	105	Send records and release recommendations to the FOIA Team.

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	Technical Area 50 Radioactive Liquid Waste Treatment Facility. 2. All documents, emails and data that support LANL's new/modified source determination that the evaporation systems emit below 0.1 millirem (mrem) of radiation to the environment as requested by 40 CFR 61, Subpart H.		
14-00097-K	Requestor is requesting, 01/2012 through 03/2013 Record of inspections for industrial hygiene and occupational safety and health at Los Alamos National Security.	63	Send records and release recommendations to the FOIA Team.
14-00100-K	Requestor is requesting, Criticality Safety Infractions Causal Analysis released by Los Alamos National Laboratory (LANL) this past month (Jan 2014) and mentioned in the Defense Nuclear Facilities Safety Board's letter here.	62	Send records and release recommendations to the FOIA Team.
14-00109-R	Requesting Ostic, J., et al., "Pit Manufacturing Program Execution Plan," Los Alamos National Laboratory, LA-CP-07-1186 (UCNI, Pre-Decisional Draft), April 2008. Cited in "Frequently Asked Questions (FAQ) on Pit Manufacturing Capacity," Brett Kniss & Drew Kornreich, Los Alamos National Laboratory, November, 2009.	52	Send records and release recommendations to the FOIA Team.
14-00140-K	Requesting a copy of the following video: 91-122 Nuclear Detonation in CONUS dated 11/15/1991 and video 91-110 Is Our Climate? Dated 11/19/1991.	32	Send records and release recommendations to the FOIA Team.
14-00149-H	Requesting the following: 1. The number of vehicles that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 2. The number of LANL employees that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 3. The disciplinary action taken against the employees that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. Please limit your search of the above item(s) to the period from January 1, 2013 to December 31, 2013. Part 2 of request, requesting a copy of the following: 1. The number of vehicles searched at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 2. The make and model of each vehicle searched at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 3. The	27	Send records and release recommendations to the FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	number of times and the name of any city, county or state law enforcement have been involved in searches or search refusals at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. Please limit your search of the above item(s) to the period from January 1, 2012 to December 31, 2013.		
14-00154-K	Requesting the following records located at LANL: 7-4 (9-4) 319.1 Los Alamos, 5127/43 - 4/8/44 26... Six reports, 8/43 - 4/44, to Groves and Conant on purification and metallurgy activities by Charles Allen Thomas, research director of Monsanto. The last four are identified as Nos. 3-6. No.7 is located in folder 15-6. 7-5 (9-5) 319.1 Travel Reports, 5/24/43 - 8/28/45 Listings prepared for General Groves of visitors to Los Alamos and travel away from Los Alamos by residents of the site, covering the period 3125/43 - 8/45. Lists generally include dates, purpose of travel or visit, and destination or point of origin... (SPECIFICALLY LOOKING FOR TRAVEL ACTIVITY OF CHARLES ALLEN THOMAS) 7-12 (10-3) 322 Monsanto, 10/5/43 - 1114/46 Correspondence concerning transmittal of Monsanto progress reports to Los Alamos, visits to Los Alamos, and possible hiring by Monsanto of Laboratory personnel in late 1945. Organization chart for Monsanto's Clinton Laboratories, 8/46...TRINITY DIRECTORS' OFFICE FILES 28 Records related to the TRINITY test. The emphasis in this folder is on radiation monitoring and travel and security arrangements... Other records include TRINITY Circulares 15, 16, 17, 21, and a correction to 19; a list of personnel who went to the site during July; instructions for observers (CHARLES ALLEN THOMAS) 10-2 (13-9) 400.1144 Historical, 5110/43 - 6119/45 A few records concerned with analysis of materials. A letter from Groves to Thomas (Monsanto), 7/29/43, assigns him to three problems: purification of the final product, production of polonium, and preparation of the hydride. 10-22 (15-6) 470.1 Plutonium, 2/3/43 - 12/28/46 Records concerned with the procurement, purification, physical properties, and handling of plutonium. Some documents concern work done by C. A. Thomas of Monsanto and James Franck and W. H. Zachariasen of the Metallurgical Laboratory. Included are a	23	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	"Report of Committee on the Question of Neutrons Accompanying Alpha Decay," 9122/43, by Dodson and Segre; Thomas's Report No.7 to Groves and Conant [see folder 9-4 for reports No. 1-6]; a letter from Oppenheimer to Groves, 7/18/44, on the spontaneous fission of plutonium-240; and a letter from Thomas to Groves, 7/21/44, on the same subject. An exchange of letters between Groves and Bradbury, 12/46, concerns Groves's denial of a Laboratory request for plutonium with a low 240pU content on the grounds that the AEC will soon be taking responsibility for weapons testing. Throughout these documents the code name "410" is frequently used for 240pU. 11-1 (15-7) 470.1 240Pu, 6/21144 - 1114/46 Correspondence concerning the irradiation, analysis, and shipment of plutonium samples. Teletypes dated 7111144 and 7114/44 discuss 240pU as a spontaneous fission. 37 Correspondence and other records related to the production, procurement, shipment, allocation, handling, and as say of polonium. A letter from Oppenheimer to Groves, 6118/43, states the laboratory's requirements for polonium. Included are records of a meeting, 10/20/43, with F. Paneth, a member of Halban's Montreal group, who considered the fore most authority on the extraction of polonium. Correspondence with C. A. Thomas.		
<b>LFO:</b>		<b>6 Requests</b>	
Request ID	Subject	Age (days)	Actions Needed
13-00139-K*	Requesting 1. The unclassified version of the security reviews, reports, correspondence, and emails regarding ny force-on-force activities at the Savannah River Site results that were conducted from January 2010 to the present. 2. All records pertaining to assessing secondary lifetimes of the enduring weapon stockpile.	285	Send records and release recommendations.
13-00210-H	Formally requesting the following documents and other relevant documents containing the following information: 1. The adverse impact analysis of the 2008 workforce restructuring at Lawrence	211	Send records and release recommendations. <i>Note: FOIA specialist out of town in training &amp; was unable to</i>

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	Livermore National Laboratory, submitted by Lawrence Livermore National Security, LLC (LLNS) to the Department of Energy (DOE) and/or its National Nuclear Security Administration (NNSA) in or about May 2008. 2. All attorney's fees and expenses paid by DOE and/or NNSA for the representation of LLNS in Andrews, et al. v. Lawrence Livermore National Security, LLC, Alameda County Superior Court Case No. RG09453596.		<i>confirm status of these records and/or recommendations.</i>
13-00214-E	***Review & Release to Requester Only*** Requester is requesting a copy of Case Number 108LL010 which was previously identified as pertaining to our FOIA Request 2009-000581, asking for all record concerning occupational exposures to beryllium at the Lawrence Livermore National Laboratory from 2000 until June 2, 2009.	90	Review records and send release recommendations.
14-00050-K	***This is a Tri-Valley Care's Request that is an update to a previously made request, 11-000389-J***Tri-Valley CAREs would like to formally request a copy of all documents, from June 27, 2011 to the present, that concern the use of plutonium, other fissile and/or fissionable materials at the National Ignition Facility (NIF) located at the Lawrence Livermore National Laboratory. 1 Any records/documents on the use of fissionable and fissile materials at the NIF are part of this request, including but is not limited to: • Status • Schedule for use of these materials in experiments • Scope and purpose of experiments using these materials • Planned use of fusion fuel with fissile or fissionable materials in the sam experiment • Environmental questions and reviews • Technical issues • Equipment needs and issues, including bu not limited to distinguishing between fission-generated and fusion-generated atoms • Cost questions and reviews 1 This request is an update to a previously made request, 11-389-J, which is currently the subject of litigation between Tri-Valley CAREs and N NSA. This request in no way interferes with that ongoing litigation or the open FOIA request, but is simply intended to obtain documents created since our previous request on the subject.	114	Send records and release recommendations.
14-00133-R	Requesting the back and forth memoranda which provide a view	36	Send records and release



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	of the intent of the parties re that Sandia Laboratory Federal Credit Union lease at the Livemore site, re on-site siting, the give and take which indicate why the facility was sited onsite and what benefits accrued to the AEC in enabling this lease of valuable government land to a credit union. This would include memoranda between different branches of the AEC, the Counsel's office, the Contracts Division, the Area Management, as well as correspondence with the Lab management and with the Credit Union management.		recommendations to FOIA Team.
14-00162-R	Requesting duplicate 2 videos from NNSA video collection: Completed Video projects at TA-39, film number or title: 92-035 Nuclear Detonations - various above ground shots non-sensitive unclassified. Film title: 19 - Nuclear Detonations at the Pacific Proving Ground and the Nevada Test Site - Compilation of numerous tests. Run time 45 minutes 1-5-98. I want to get a list (include caption, description, film number) of all EG&G digital format videos from LLNL EG&G video collection for example: LLNL - Video - 627152 Castle Bravo shot.	17	Send records and release recommendations to FOIA Team.
<b>NEO:</b>		<b>8 Requests</b>	
Request ID	Subject	Age (days)	Actions Needed
10-00093-J*	Requesting a full fiscal year 2009 Performance Evaluation Report for the contractors of the NNSA's Los Alamos National Laboratory (Los Alamos National Security), Sandia National Laboratory (Sandia Corp./Lockheed Martin Corp.) and Lawrence Livermore National Laboratory (Lawrence Livermore National Security). Please provide any info, emails, meeting records, other docs pertaining to a decision by NNSA Director of the Office of Acquisition and Supply Management David O. Boyd dated 10-19-2009 to not release PERs to the public.	1072	Awaiting review & release recommendations.
13-00192-R	Requesting an electronic copy of any and all documents that detail the total cost associated with the research and development, installation, maintenance, and operation of any long range	227	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	detection system installed on the Device Assembly Facility, the MDARS vehicles, as well as ACAV vehicles at the Nevada National Security Site. I'd also like to obtain any performance testing documentation on the above systems detailing overall effectiveness of the above systems.		
14-00052-R	Requesting , all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team.
14-00056-R	Requestor is requesting, Any DOE letters, memos, reports or other documents concerning the crash of and search for weapons involving the A B-52 bomber near Monticello, Utah Jan 19, 1961.	109	Send records and release recommendations to FOIA Team.
14-00070-H	Requesting, The following two films show as copies in LANL' s holdings. They sent the following response when requesting a copy of the films: "Films with the acronym NVOO in the title were produced by the Department of Energy Nevada Operations Office, thus they are the responsive agency." FILM- NVOO-IA-1"Underground Nuclear Weapons Testing" FILM- NVOO-IA-1"U.S. DOE Testing Nuclear Weapons" I would like to request the two films above on DVD.	95	Send records and release recommendations to FOIA Team.
14-00093-H	Requestor is requesting, any information regarding any nuclear waste, accidents-either weapons tested, lost or stored by any US military. Branch- or by a nuclear power facility, in a 150 miles radius of San Antonio, Texas during the period 1944-2014. This is only for private use for health concerns.	69	Send records and release recommendations to FOIA Team.
14-00107-K*	Requesting records records pertaining to any Pinnacle-NUCFLASH incidents which have occurred prior to February 2014. 1. Any records pertaining to Pinnacle-NUCFLASH incidents occurring before February 2014, including but not limited to a. Causes and immediate responses to the Pinnacle-NUCFLASH incidents, who made the immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Pinnacle-NUCFLASH incident occurred; c. Any	52	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	degradation of the safeguards against accidental launch during the Pinnacle-NUCFLASH incidents, if applicable; d. any post-incident inspections of armament systems or transportation systems and protocols which were aimed at preventing similar occurrences; e. Any physical and administrative measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated.		
14-00162-R*	Requesting duplicate 2 videos from NNSA video collection: Completed Video projects at TA-39, film number or title: 92-035 Nuclear Detonations - various above ground shots non-sensitive unclassified. Film title: 19 - Nuclear Detonations at the Pacific Proving Ground and the Nevada Test Site - Compilation of numerous tests. Run time 45 minutes 1-5-98. I want to get a list (include caption, description, film number) of all EG&G digital format videos from LLNL EG&G video collection for example: LLNL - Video - 627152 Castle Bravo shot.	17	Send records and release recommendations to FOIA Team.
<div> <div>NPO (Y-12)</div> <div>3 Requests</div> </div>			
Request ID	Subject	Age (days)	Actions Needed
14-00120-H	Requesting copies of the following information: 1) The Jan. 29, 2013, report by Parsons on the design failure of the Uranium Processing Facility project at the Y-12 National Security Complex. This report was the subject of a Sept. 15, 2013, article on The Knoxville News-Sentinel Web site. 2) The report titled 'Y-12 Uranium Processing Facility Space/Fit Issue Root Cause Analysis' that was conducted and completed in December 2012 by Bechtel and Babcock & Wilcox. This report was the subject of an Aug. 23, 2013, article on The Knoxville News-Sentinel Web site.	45	Send records and release recommendations to FOIA Team.
14-00137-K	Requesting a copy of any and all notations or other documents that were filed against me by either OJ Sheppard or Nancy Johnson. Also interested in gaining knowledge as to why I am on a restricted list at Y-12 in Oak Ridge, TN.	32	Send records and release recommendations to FOIA Team.
14-00139-R	Requesting information related to the recent discovery of	32	Send records and release



\*Denotes request sent to multiple offices for records searches.

	<p>radioactively contaminated material in areas associated with the construction of a haul road and the re-routing of Bear Creek Road at the Y-12 nuclear weapons complex in Oak Ridge, TN, and other related information. Part 1. I first learned of the discovery of contaminants during construction of a haul road at the Y-12 nuclear weapons complex in preparation for construction of the Uranium Processing Facility from John Eschenberg in his public presentation at the Howard Baker Center for Public Policy at the University of Tennessee on February 27. Eschenberg described the discovery as a surprise. In subsequent reports in the Knoxville News-Sentinel Mr. Eschenberg dismissed the discovery as an inconsequential piece of concrete debris; later reports describe an apparent field of contaminated debris. In the Y12 Final Site-Wide Environmental Impact Statement, Appendix G, page 17, the Department of Energy/ National Nuclear Security Administration wrote: "All areas identified for excavation as part of the UPF project are undergoing characterization. This characterization utilizes a MARISSIM (Multi-Agency Radiation Survey and Site Investigation Manual) based sampling plan. Based on a review of historical land use for the samples from the Corridor and wetland development areas would be collected and evaluated to confirm the results of the land use review. Analysis of the soil samples would include cadmium, mercury, PCBs, cesium 137, thorium 232, uranium (total), uranium 235, and uranium 238. No contaminated soil is anticipated to be encountered at the Corridor or wetland development areas (B&amp;W 2010)." I am requesting any reports of the sampling from the Corridor and wetland development areas, including descriptions of the sampling process, the results of that pre-construction sampling, and any reports, memos, emails or other communications relating to the sampling and the findings. I am also requesting information regarding the discovery of contaminated materials along the haul road and Bear Creek Road corridor. Specifically, I am seeking information regarding the circumstances of the discovery and the extent of the contamination, a complete listing of material uncovered; the</p>		recommendations to FOIA Team.
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\*Denotes request sent to multiple offices for records searches.

	<p>radiological signature including isotopic activity of each contaminated piece uncovered; the geographic location of the discovery; the approximate size of each piece, the depth at which they were discovered; relationship between the materials uncovered and any pre-disturbance sampling or lack thereof; any assessment of the likelihood of further, additional discoveries; memos, emails, meeting or phone conversation notes regarding the discovery of the contaminants or discussing mitigation of the contaminated sites; any plans regarding ongoing efforts to ascertain additional contamination areas, and any plan prepared or decision taken on the disposal of contaminated materials. With regard to the radioactively contaminated material, I would like to know the concentrations (in Bq/gram or picocuries/gram) of: 1. U-235 and U-234 2. Cesium-137 3. Strontium-90 4. Americium-241 5. Plutonium-239 6. Plutonium-238 7. Neptunium-237 8. Thorium-230 9. Thorium-232. I intend this request to be comprehensive, to cover any communications related to the discovery, removal and disposal of non-radioactive (including mercury) or radioactive contaminated materials associated with the haul road and the relocation of the Bear Creek Road corridor. ***Please see attachment***</p>		

## Diamond, Bruce

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**From:** Diamond, Bruce  
**Sent:** Monday, February 10, 2014 2:30 PM  
**To:** McConnell, James  
**Cc:** Lempke, Michael; Gallagher, Christiana; Osheim, Elizabeth  
**Subject:** FOIA Improvements for Classified Documents

My office, NNSA IT, DOE IT, and MA have been working with HS-60 on new ways to process FOIAs that involve classified information. The plan is to have documents sent by secure electronic means directly from the lab (with the lab's initial redactions) to HS-60 and then HS-60 will finalize the redactions and sanitize the document (all electronically). HS-60 would then send a non-classified document to my folks in Albuquerque for further processing (other redactions, etc.). Use of electronic systems and software involves some up-front investment, but can mean a tremendous time savings (and keep my folks from having to handle classified material). Given that the old system involves non-security folks wielding Exacto knives, I also assume it's a more secure approach.

I'm hearing some grumbling from LANL and maybe some other places. From my point of view, this new system is not optional.

Bruce M. Diamond  
General Counsel, National Nuclear Security Administration  
202-586-6946

~~Note: Communications in this email providing legal advice are considered privileged and confidential communications and may be subject to other restrictions on release.~~

## **Diamond, Bruce**

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**From:** Diamond, Bruce  
**Sent:** Tuesday, May 20, 2014 1:27 PM  
**To:** Raines, Robert; Harrington, Anne; Nassif, Robert; Cook, Don; Jones, Wayne; Nichols, Don; Krol, Joseph; Wilber, Deborah; Calbos, Philip; Bishop, Clarence; Fischer, Timothy; Beausoleil, Geoffrey; Reynolds, Michele; Lebak, Kim Davis; DeRoma, Silas; Nelson-Jean, Nicole; Parenti, Janis; Erhart, Steven C; Slack, Terri (Y12); Lawrence, Steven; Hejazi, Sharon A  
**Cc:** Gallagher, Christiana; Osheim, Elizabeth  
**Subject:** FOIA Backlog -FW: Attached Table of FOIA Open Record Searches by NNSA Office  
**Attachments:** FOIA Open Record Searches by Office.docx

Attached is a listing of FOIA requests open more than 20 days, in most cases, far longer than 20 days, in HQ offices and Field Offices. I request your attention to getting as many of these as possible taken care of ASAP.

If there is a dispute that is holding up resolution of a FOIA request, or if there is any other way I can help you, please let me know. I hope I can report substantial progress to the Administrator soon.

Bruce M. Diamond  
General Counsel, National Nuclear Security Administration  
202-586-6946

Note: Communications in this email providing legal advice are considered privileged and confidential communications and may be subject to other restrictions on release.

-----Original Message-----

**From:** Osheim, Elizabeth  
**Sent:** Friday, May 16, 2014 12:58 PM  
**To:** Diamond, Bruce  
**Cc:** Laney, Karen; (b)(6)  
**Subject:** Attached Table of FOIA Open Record Searches by NNSA Office

Attached per our discussion is a table of FOIA cases with records searches open longer than 20 days sorted by NNSA office with explanatory notes. Hope this helps!

## Diamond, Bruce

---

**From:** Debeauclair, Geoffrey  
**Sent:** Monday, December 01, 2014 6:32 AM  
**To:** Diamond, Bruce  
**Subject:** FW: FOIA Best Practices

Mr. Diamond,

Are you available this afternoon?

V/R

CAPT Geoffrey G. deBeauclair, USN

NNSA Executive Staff Director  
Military Assistant to the Under Secretary for Nuclear Security  
w: (202) 586-8003 c: (202) 716-9386  
[geoffrey.debeauclair@nnsa.doe.gov](mailto:geoffrey.debeauclair@nnsa.doe.gov)

-----Original Message-----

From: Klotz, Frank  
Sent: Saturday, November 29, 2014 03:00 PM Eastern Standard Time  
To: Creedon, Madelyn; Diamond, Bruce  
Cc: Wade, William E; Debeauclair, Geoffrey; White, William  
Subject: RE: FOIA Best Practices

Me too.

-----Original Message-----

From: Creedon, Madelyn  
Sent: Friday, November 28, 2014 11:49 AM Eastern Standard Time  
To: Diamond, Bruce; Klotz, Frank  
Cc: Wade, William E  
Subject: RE: FOIA Best Practices

Happy to discuss.

-----Original Message-----

From: Diamond, Bruce  
Sent: Monday, November 17, 2014 2:32 PM  
To: Klotz, Frank  
Cc: Creedon, Madelyn  
Subject: RE: FOIA Best Practices

I would appreciate an opportunity in the near future to talk to you both about the NNSA FOIA situation. I think we're on a good path, but I need some help. While we're getting good cooperation from all Field sites, NNSA HQ is a more spotty situation.

Bruce M. Diamond  
General Counsel, National Nuclear Security Administration  
202-586-6946

~~Note: Communications in this email providing legal advice are considered privileged and confidential communications and may be subject to other restrictions on release.~~

-----Original Message-----

From: Klotz, Frank  
Sent: Monday, November 17, 2014 2:23 PM  
To: Diamond, Bruce  
Cc: Creedon, Madelyn  
Subject: FW: FOIA Best Practices

FYI

-----Original Message-----

From: Kolb, Ingrid  
Sent: Monday, November 17, 2014 11:47 AM  
To: Martin, Cheryl; Moury, Matthew; Baskerville, Sonya; MAINZER,ELLIOT E; Doone, Alison; Crowell, Brad; Podonsky, Glenn; Harris, Dot; Danielson, David; Sieminski, Adam (EIA); Kenderdine, Melanie; Smith, Christopher A; Croley, Steven; Marmolejos, Poli; Elkind, Jonathan; Thomas, Pilar; Adcock, Donald; Black, Steven K; Davidson, Peter; Geiser, David; Lyons, Peter; Klotz, Frank; Hoffman, Patricia; Daly, Brendan; Legg, Kennneth; Gabriel, Mark A; Tyer, Katherine (SWPA); Hale, John; Dehmer, Patricia; Whitney, Mark; Gibbs, Robert  
Cc: Croley, Steven; De Vos, Erica  
Subject: FOIA Best Practices

Attached is a follow-up to the memorandum that Steve Croley and I recently sent on the importance of promptly and accurately responding to Freedom of Information Act requests. We encourage you to use the best practices described in the attached memorandum to achieve this goal. If you have any questions or need additional information, please let me know. Thanks.

-----Original Message-----

From: Croley, Steven  
Sent: Friday, November 07, 2014 5:13 PM  
To: Martin, Cheryl; Moury, Matthew; Baskerville, Sonya; MAINZER,ELLIOT E; Doone, Alison; Crowell, Brad; Podonsky, Glenn; Harris, Dot; Danielson, David; Sieminski, Adam (EIA); Whitney, Mark; Kenderdine, Melanie; Smith, Christopher A; Croley, Steven; Marmolejos, Poli; Gibbs, Robert; Elkind, Jonathan; Thomas, Pilar; Adcock, Donald; Black, Steven K; Davidson, Peter; Geiser, David; Kolb, Ingrid; Lyons, Peter; Klotz, Frank; Hoffman, Patricia; Daly, Brendan; Legg, Kennneth; Gabriel, Mark A; Tyer, Katherine (SWPA); Hale, John; Dehmer, Patricia  
Cc: Kolb, Ingrid; De Vos, Erica  
Subject:

Dear Colleagues:

Please give serious consideration to the attached memorandum from Ingrid Kolb and me regarding the importance of FOIA. As noted in the attached, you will also be receiving a separate memo from Ingrid containing FOIA "best practices," which we encourage you to implement.

Warm best,

Steve



## **Diamond, Bruce**

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**From:** Summerson, Jane  
**Sent:** Wednesday, July 09, 2014 4:40 PM  
**To:** Diamond, Bruce  
**Cc:** Osheim, Elizabeth  
**Subject:** Funding for FOIA support contractor

In April DOE requested the FOIA support contractor CRI submit a proposal for FY 2015 work. Below are two excerpts from the report summary.

Scenario A, however, does not enable the team to reduce the rate at which the backlog of all incoming cases adds to the backlog. The rate at which new cases come into the NNSA pipeline continues to exceed the rate at which all cases (legacy or non-legacy) are closed.  
Staffing 1.

Scenario B should result in reducing the delta between Cases Closed vs. Incoming Cases per month.

Scenario B does require a slightly larger budget than originally planned in the contract (basically level funding from FY14) but allows the addition of one more staff member and gives a much better chance to actually reduce the non-legacy backlog of cases rather than stay roughly even. Beth and I, in consultation, felt it was important enough an issue to merit the additional budget and at Beth's direction we moded the contract to implement Scenario B from the proposal. The shortfall for FY 2015 is \$180,896. The added funds in FY15 will enable NNSA to build upon progress made to date in reducing its FOIA backlog.

## **Diamond, Bruce**

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**From:** Kolb, Ingrid  
**Sent:** Monday, June 23, 2014 6:03 PM  
**To:** Diamond, Bruce  
**Subject:** FOIA stats  
**Attachments:** HQ Weekly Backlog as of FY 2014.xls; Agency Weekly Backlog as of FY 2014.xls

I received the attached FOIA report earlier today and want to congratulate you and your team for getting NNSA's backlog down to 194 requests. NNSA is making terrific progress and I truly appreciate your efforts. Thank you so much.

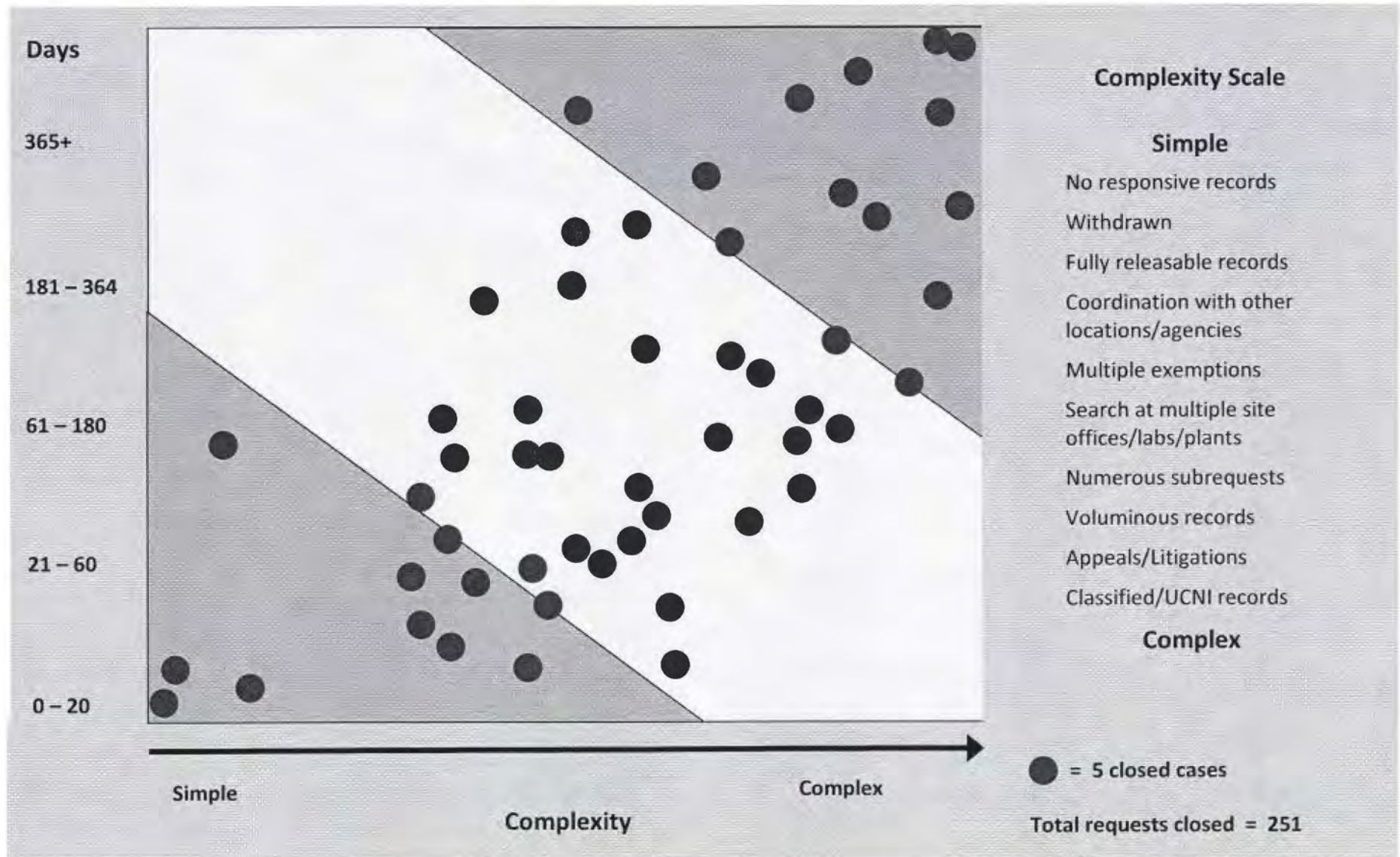
## **Diamond, Bruce**

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**From:** Osheim, Elizabeth  
**Sent:** Tuesday, June 17, 2014 1:50 PM  
**To:** Diamond, Bruce; Summerson, Jane  
**Cc:** Laney, Karen; (b)(6)  
**Subject:** Heat Map Type FOIA Graphic  
**Attachments:** 2014 closed FOIA heat map.pdf

Karen came up with a heat map type graphic after this morning's meeting to attempt to capture the relationship between complexity and processing times. Its attached. I provided Bruce with a print-out of it.

Closed FOIA Requests  
October 1, 2013 through June 16, 2014

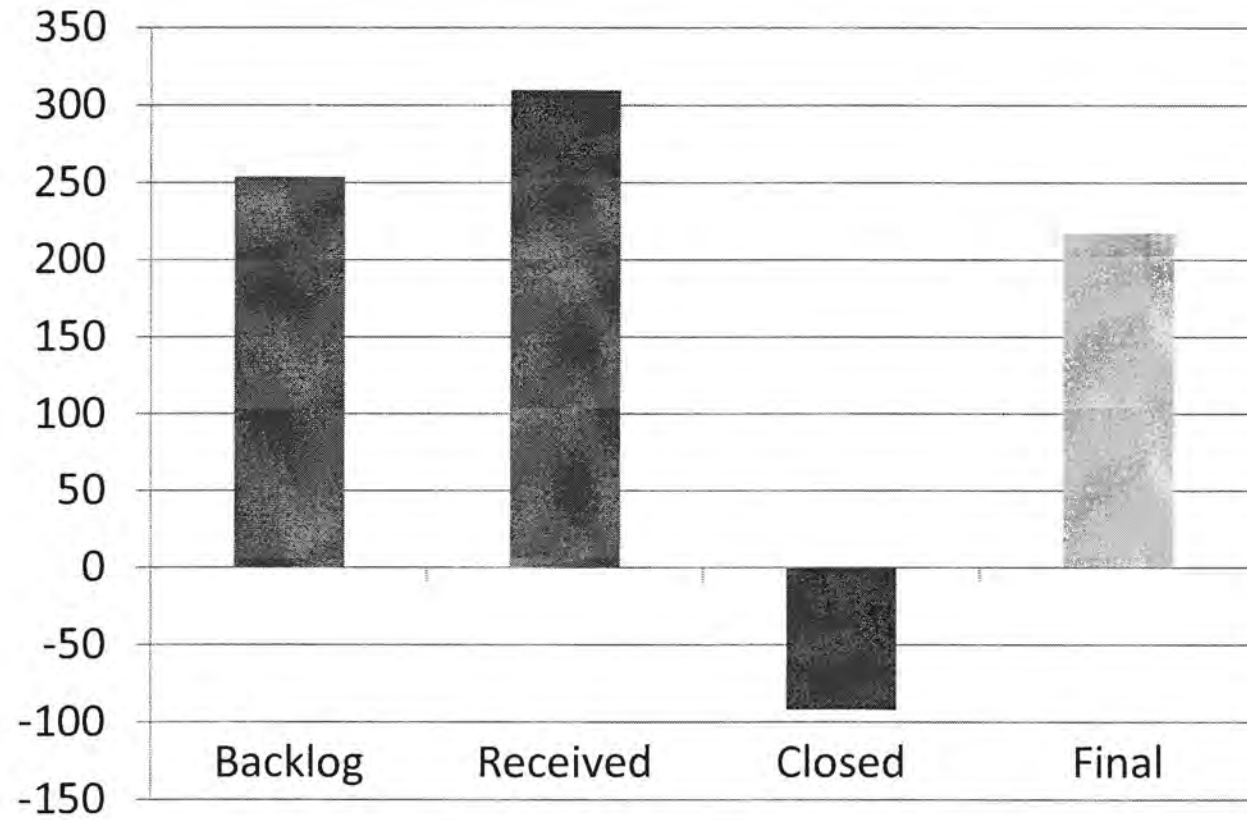


## Diamond, Bruce

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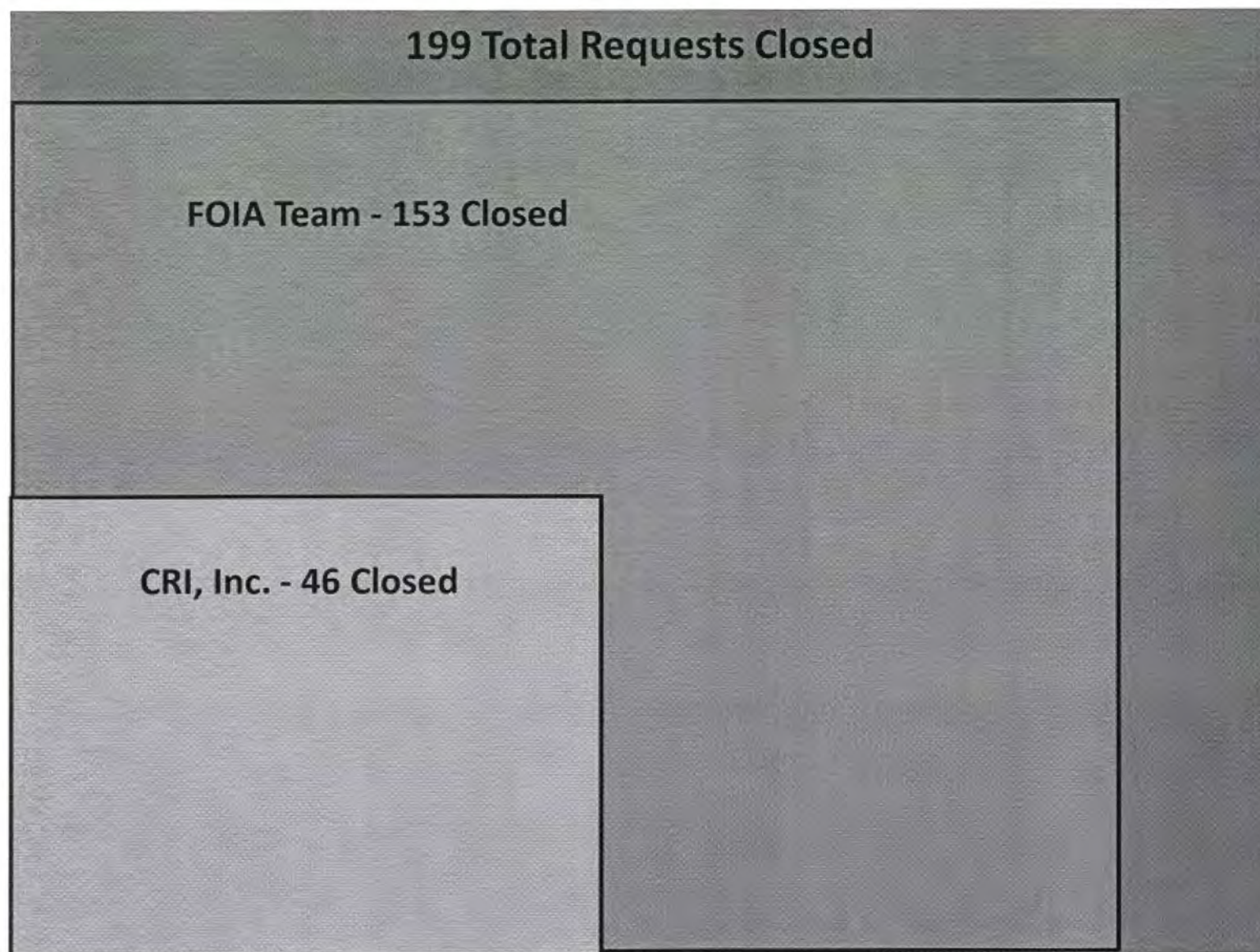
**From:** Osheim, Elizabeth  
**Sent:** Tuesday, June 17, 2014 10:43 AM  
**To:** Diamond, Bruce  
**Subject:** More FOIA Data  
**Attachments:** Total FOIA and PA Closed 2014 3rd Qtr.pptx

## FOIA Backlog Beginning 4/1/14 through 6/13/14





## FOIA and Privacy Act Requests Closed Between 4/1/14 and 6/13/14





## 100 FOIA Requests Closed 3<sup>rd</sup> Quarter as of June 13, 2014

FOIA Team – Closed 56		CRI, Inc. – Closed 44	
Karen 23	Rob 19	Chris 14	

(b)(6)

(b)(6)

(b)(6)

## Diamond, Bruce

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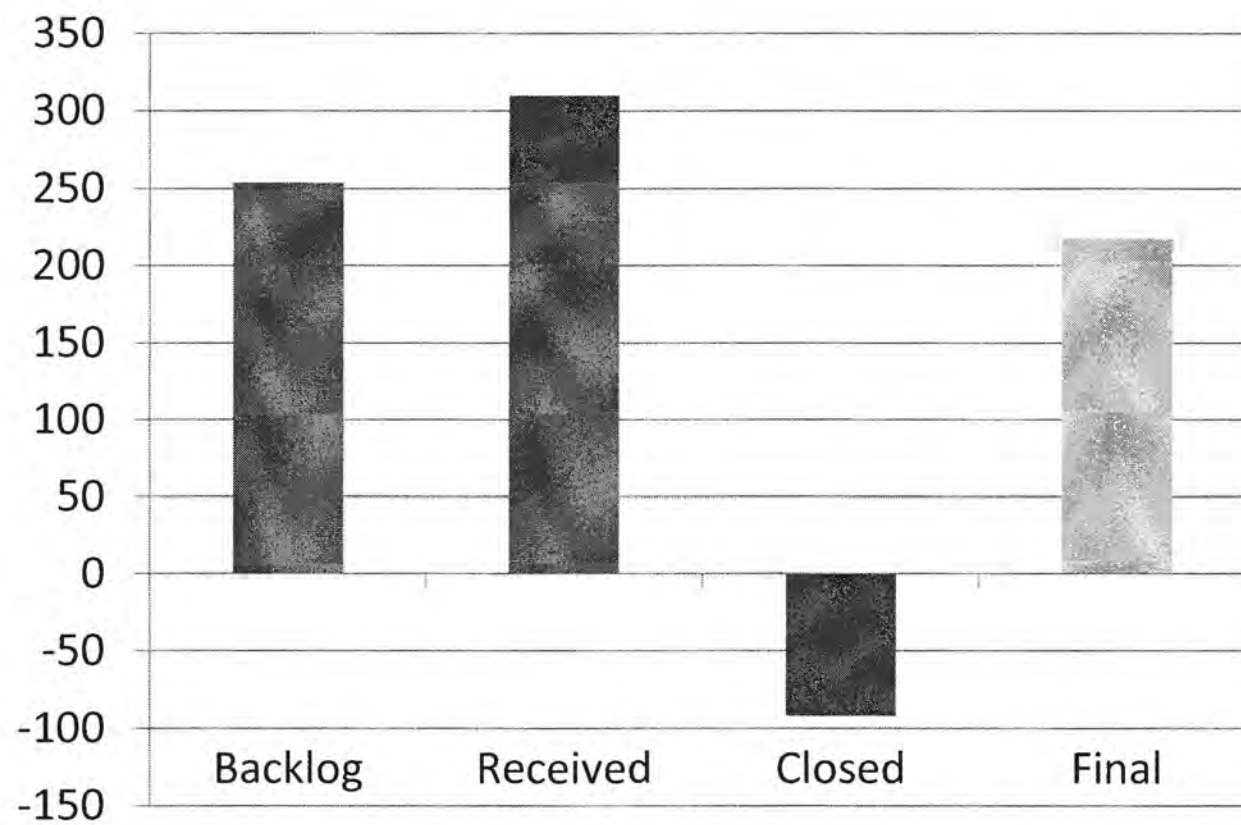
**From:** Osheim, Elizabeth  
**Sent:** Friday, June 13, 2014 3:52 PM  
**To:** Diamond, Bruce  
**Cc:** Summerson, Jane; (b)(6)  
**Subject:** Attached FOIA/PA Metrics  
**Attachments:** Total FOIA and PA Closed 2014 3rd Qtr [06-13-14].pptx; FOIA and PA Metrics FY14 [10-01-14 to 06-13-14].pptx

Bruce,

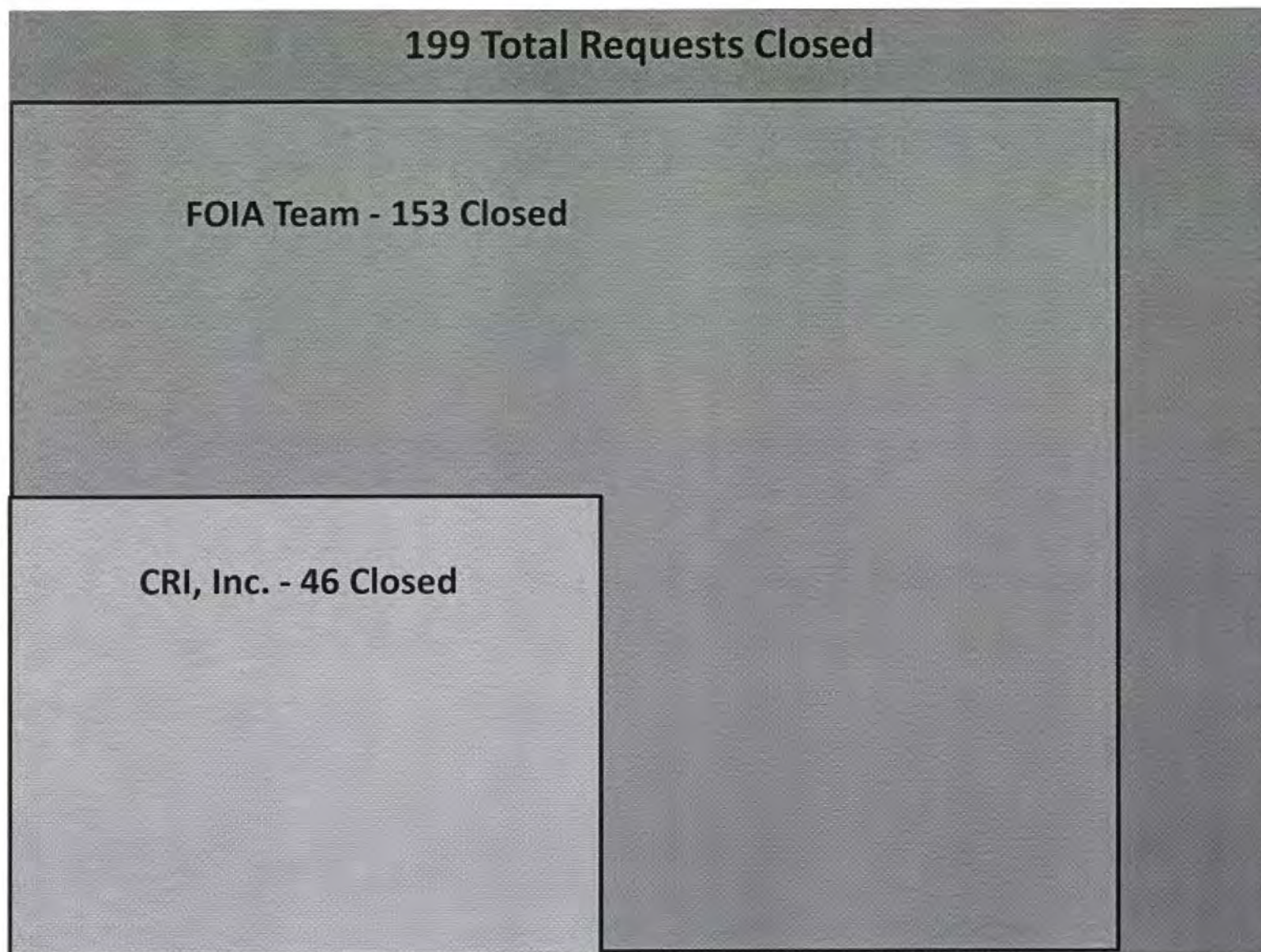
Attached are 2 sets of FOIA Metrics that are based on data from the FOIAXpress database. The 1st attachment shows the total cases closed from April 1, 2014 to date. The 2nd attachment contains several more detailed metrics of work processed from the beginning of FY14 to date. We plan to discuss them with you next Tuesday in our meeting.

Beth

## FOIA Backlog Beginning 4/1/14 through 6/13/14



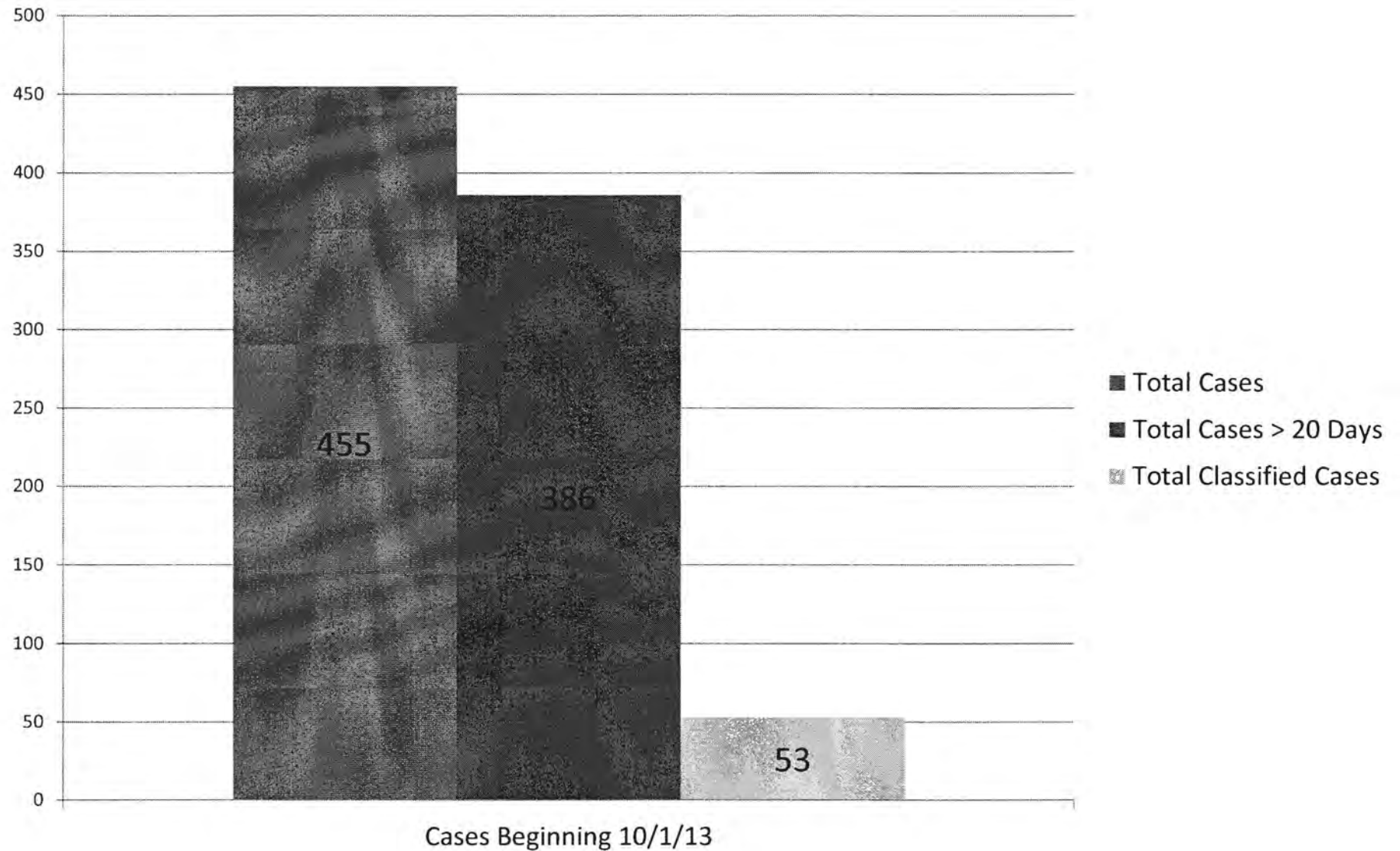
## FOIA and Privacy Act Requests Closed Between 4/1/14 and 6/13/14



**Rob 19**

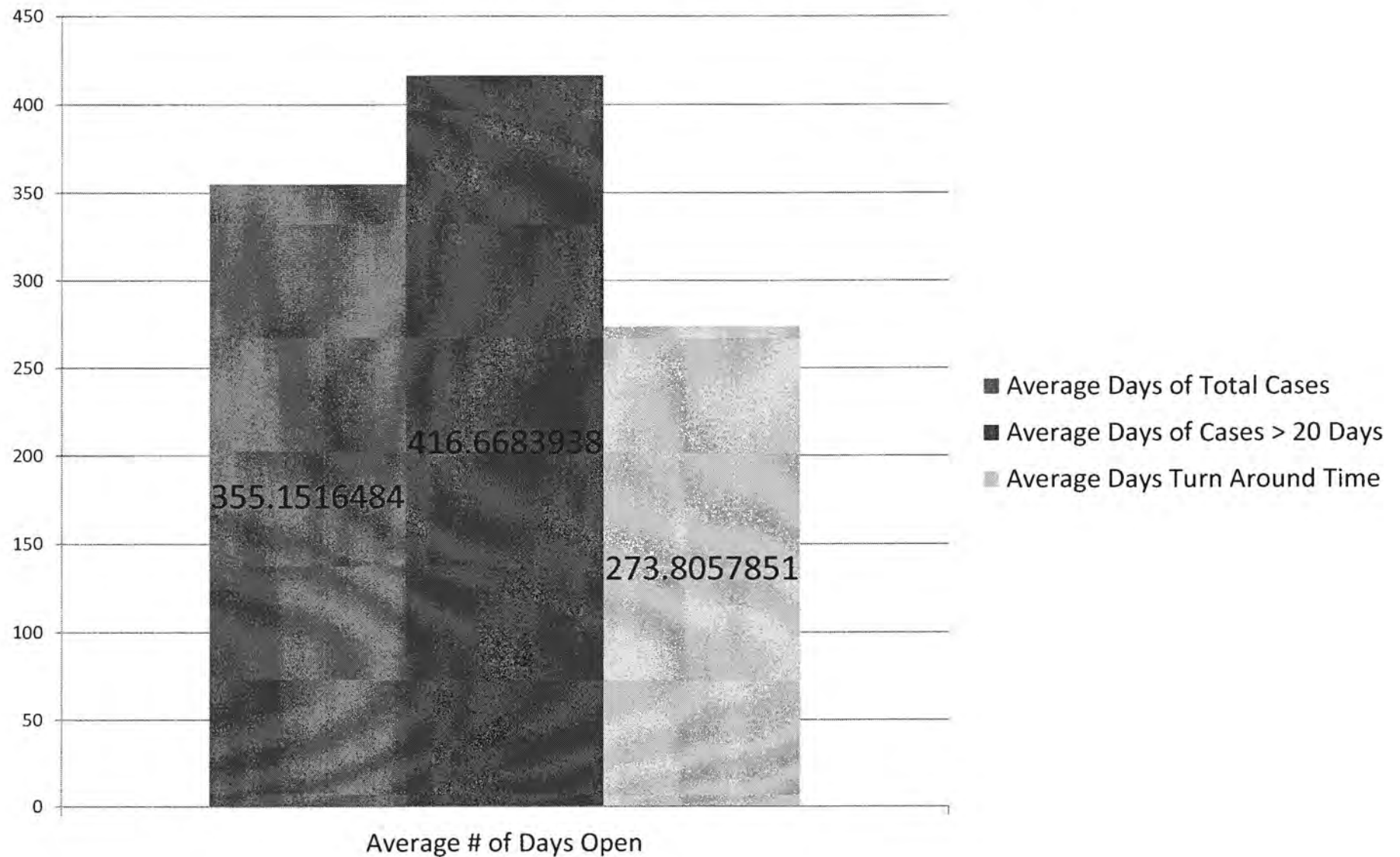
**Chris 14**

# Total FOIA Cases



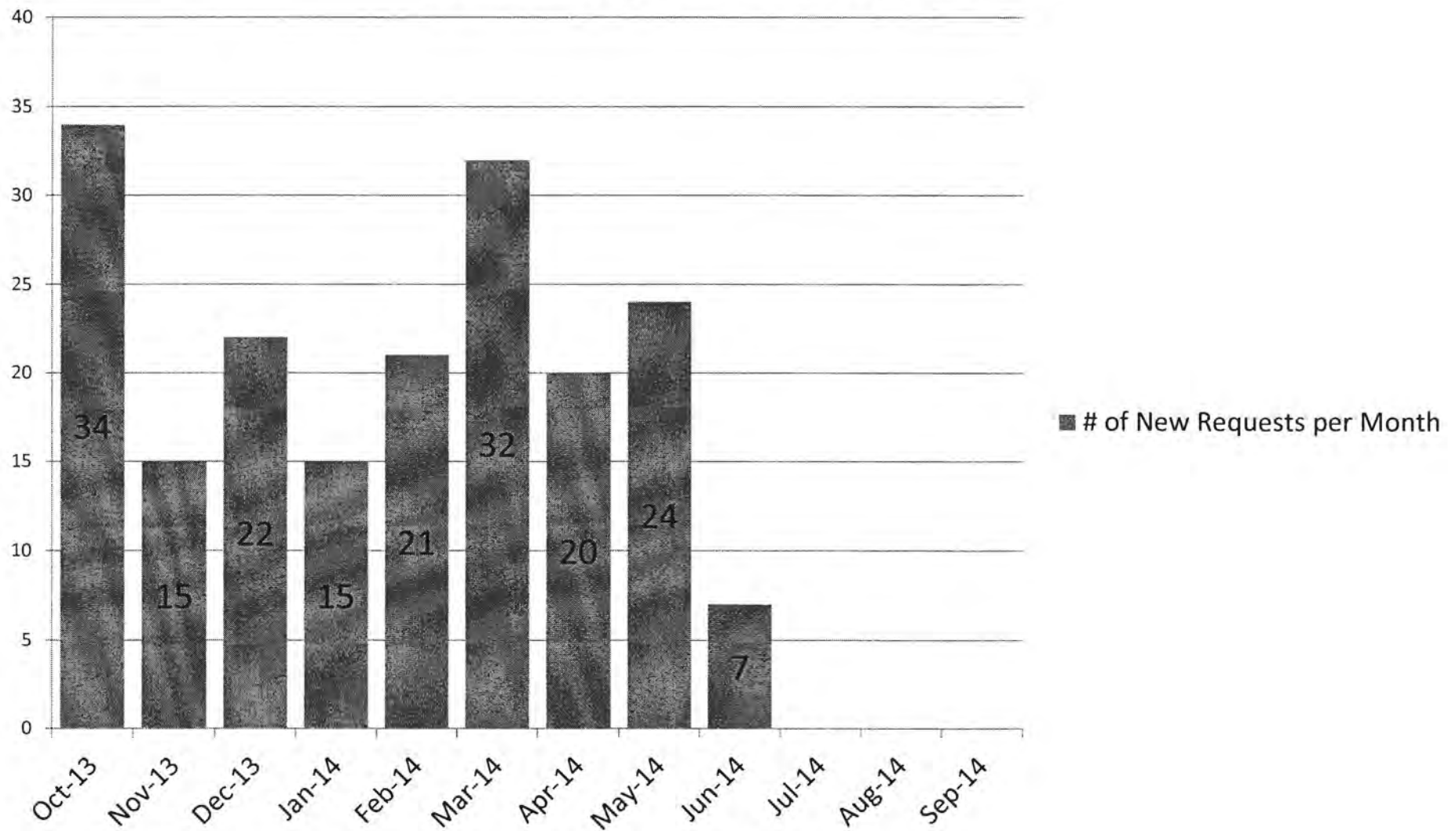


# FOIA Average # of Days

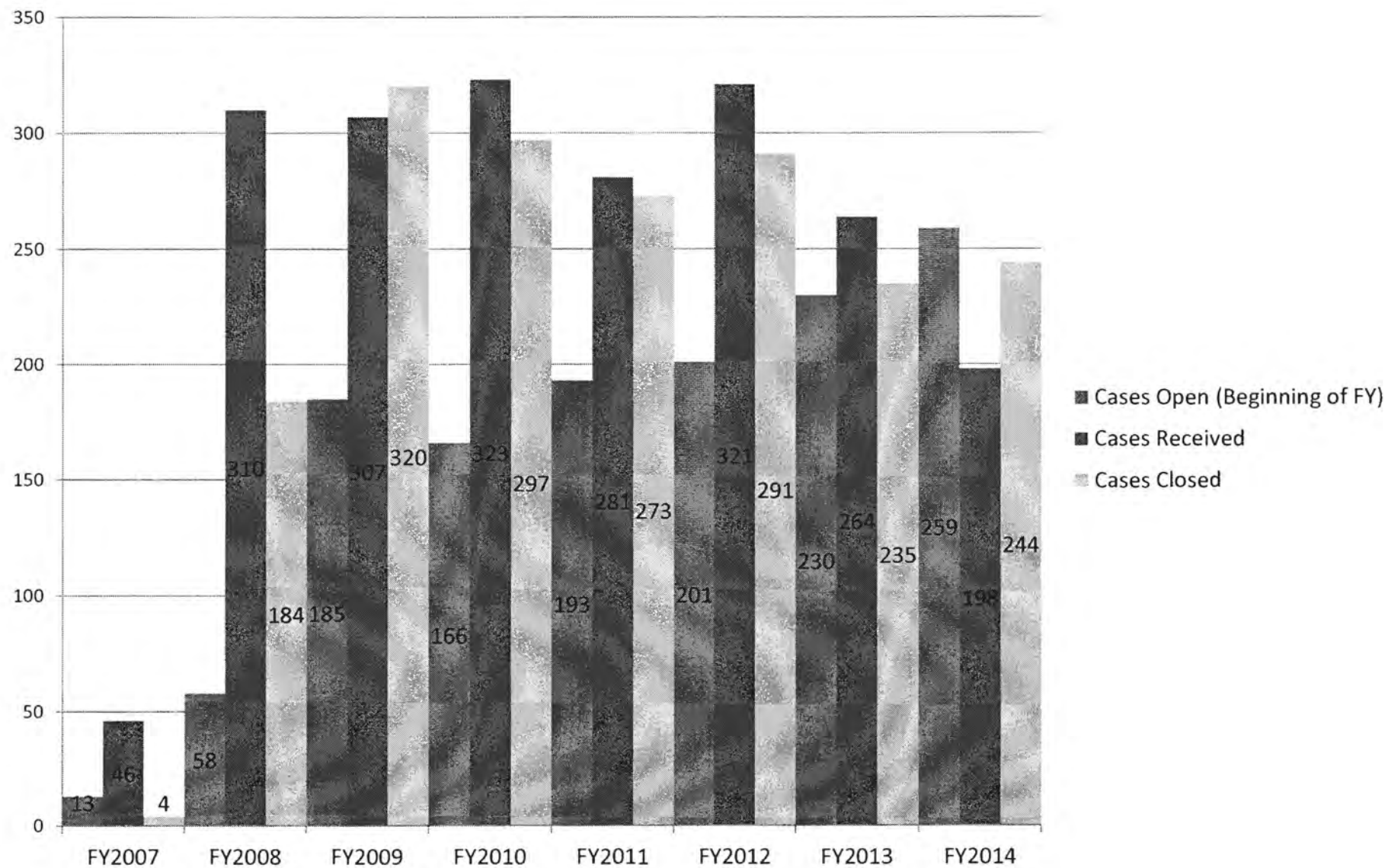


# FOIA Incoming Requests Per Month

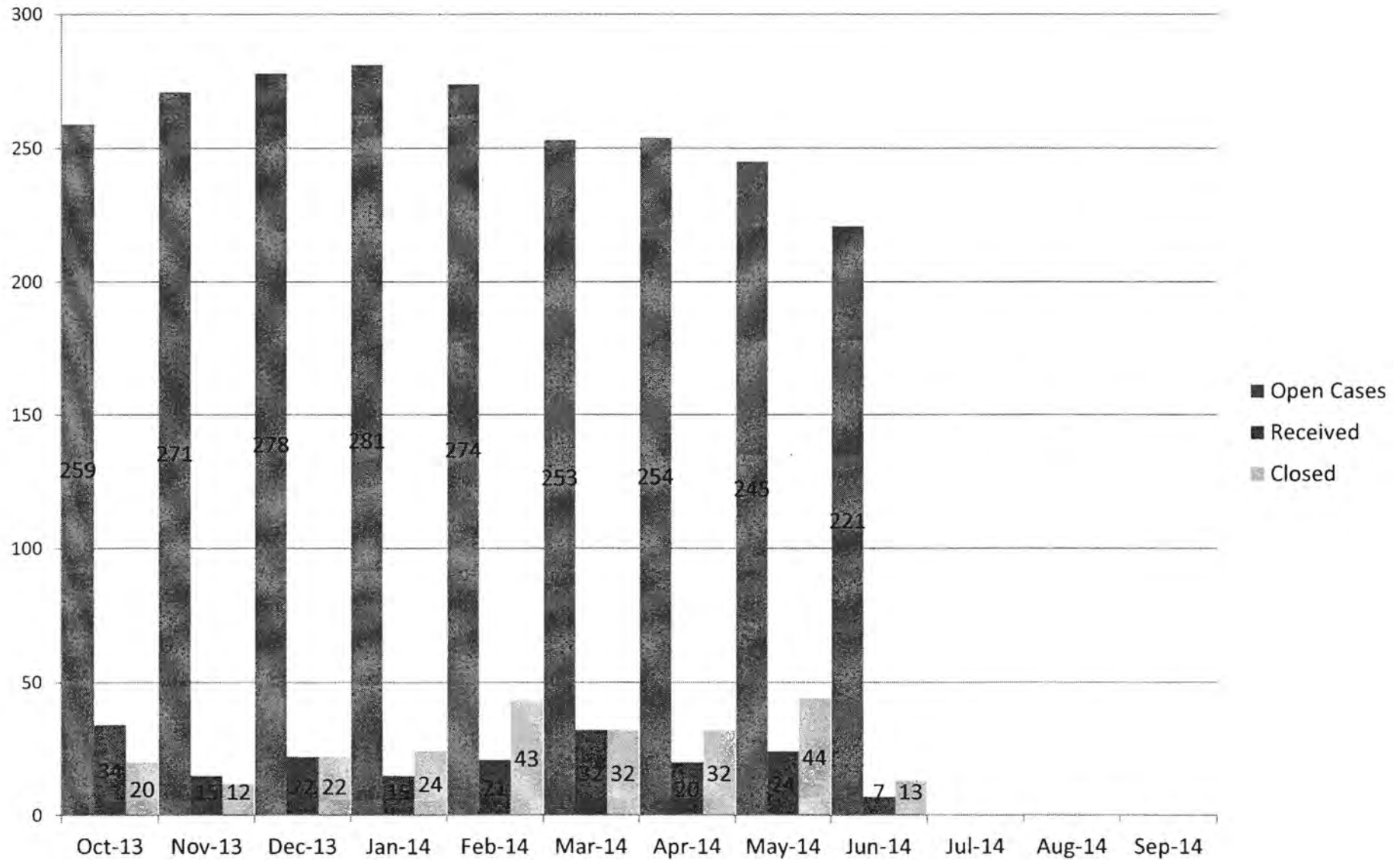
# of New Requests Received per Month



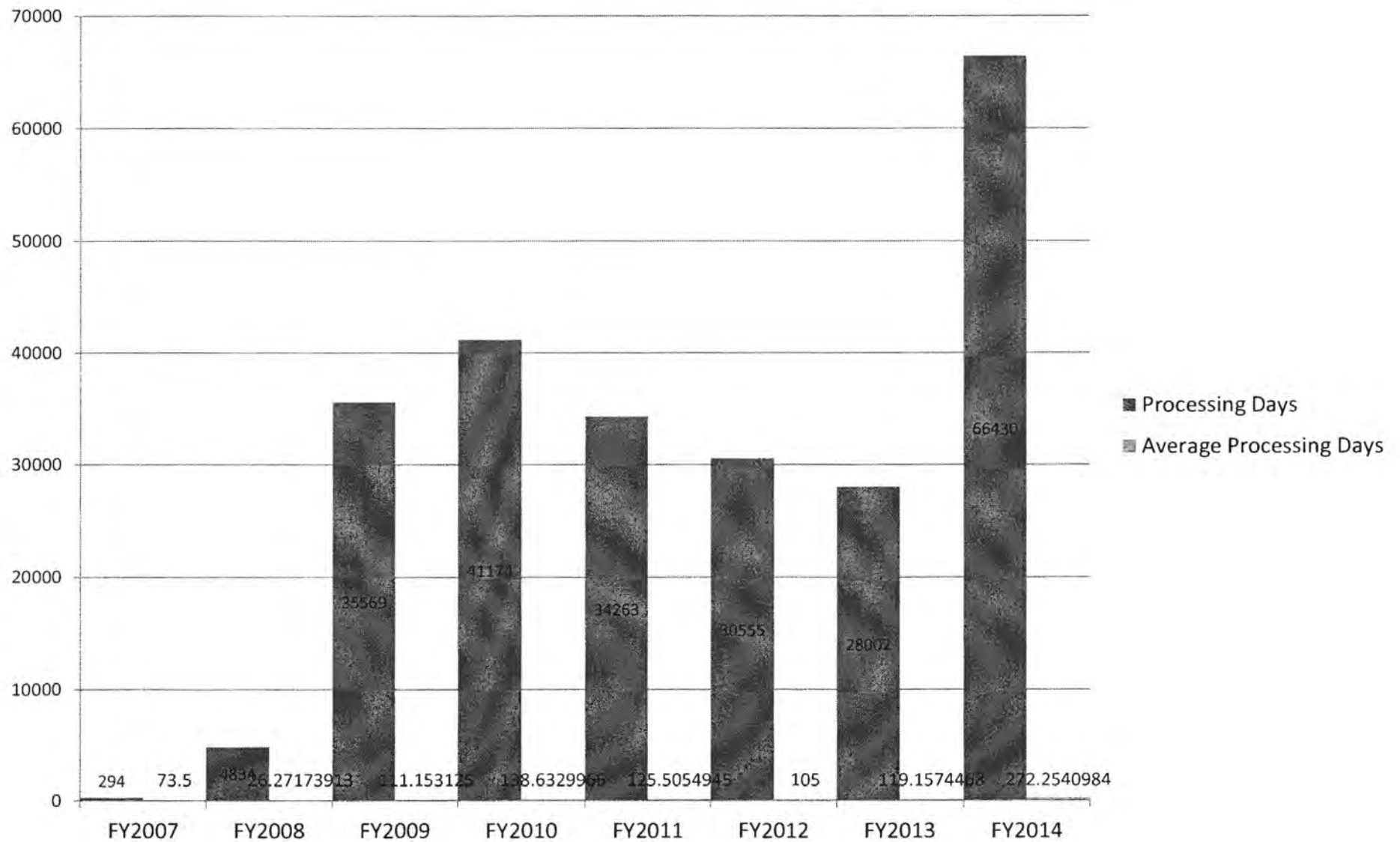
# FOIA Cases



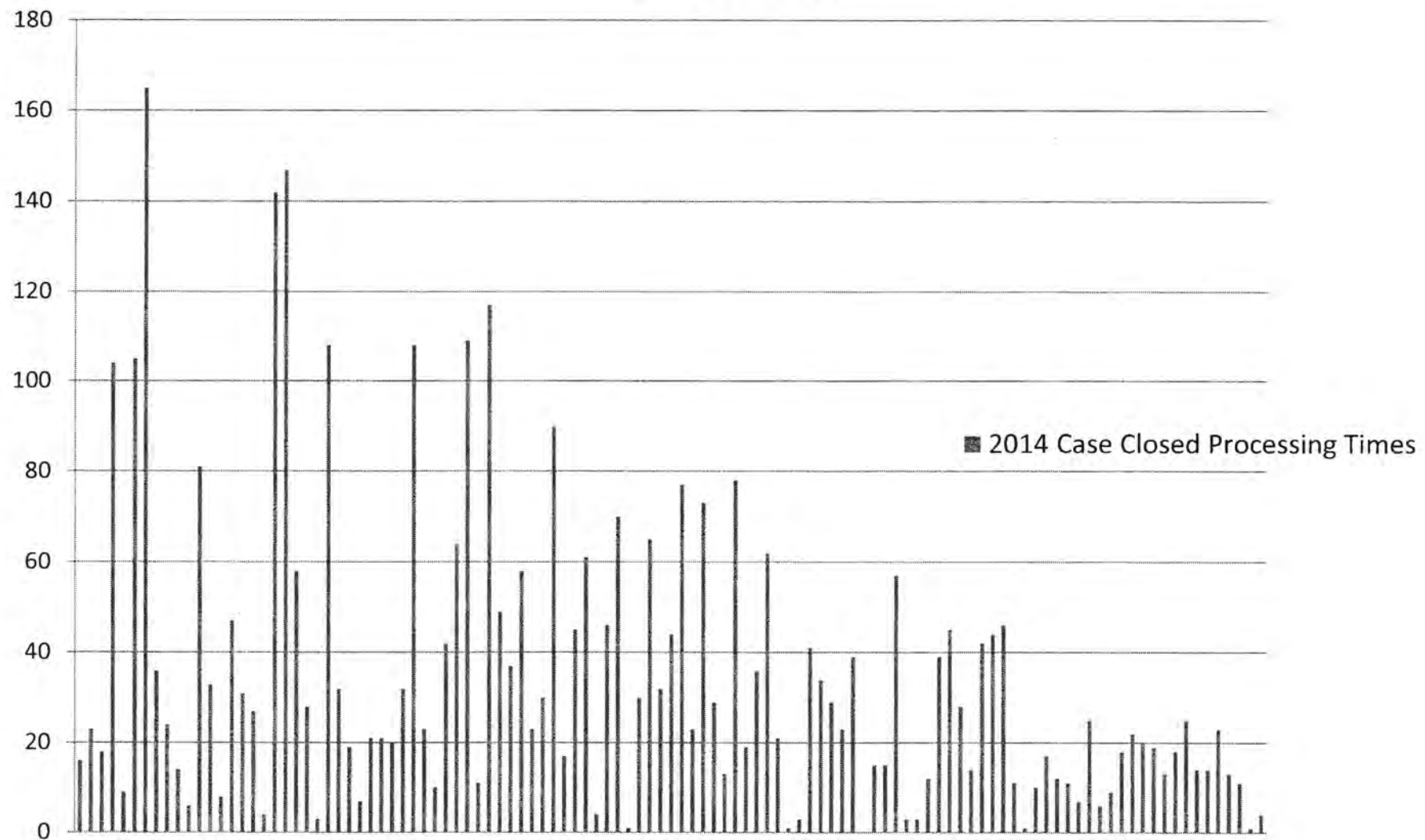
# FOIA Cases Per Month FY14



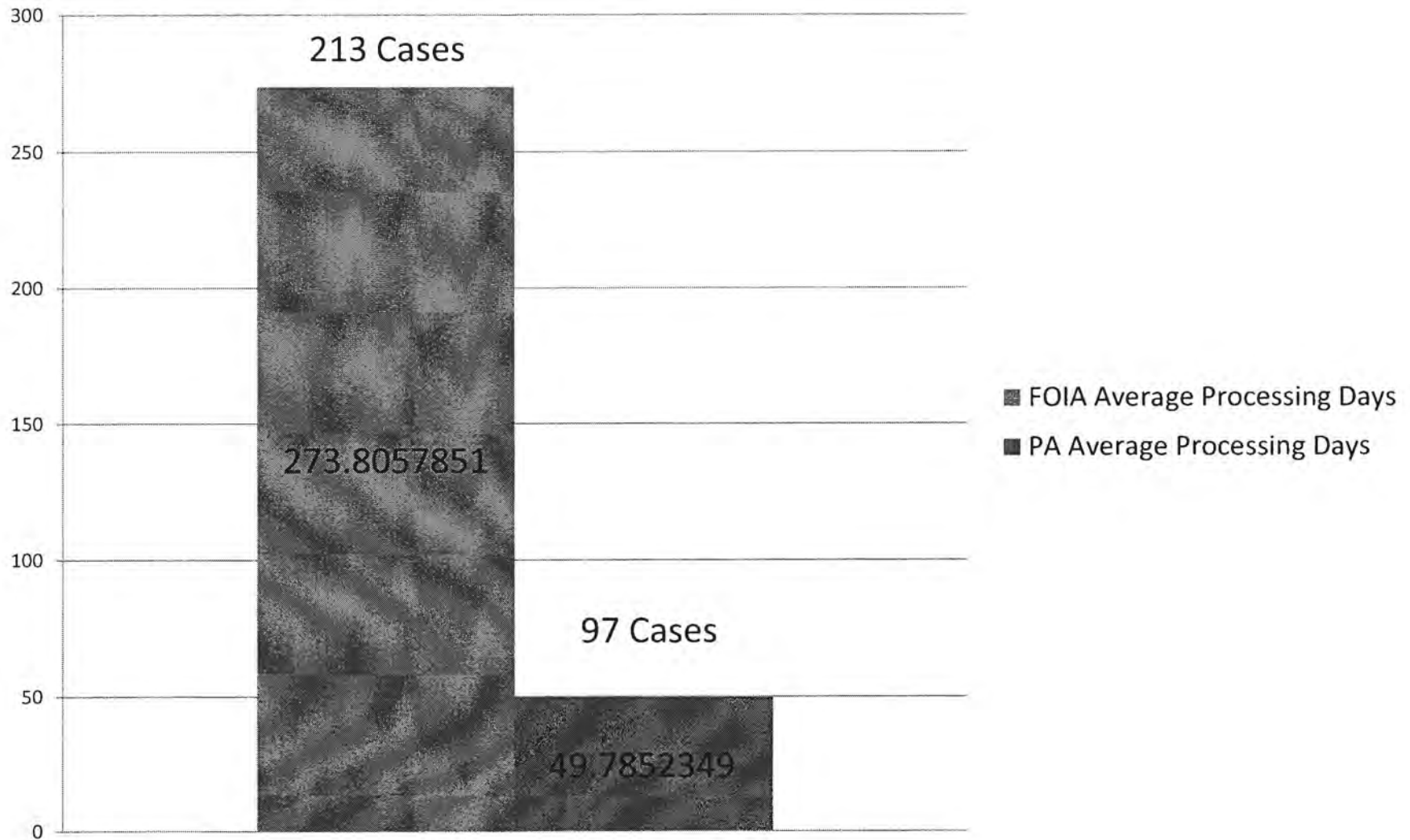
# FOIA Processing Days



# FOIA 2014 Case Closed Processing Times

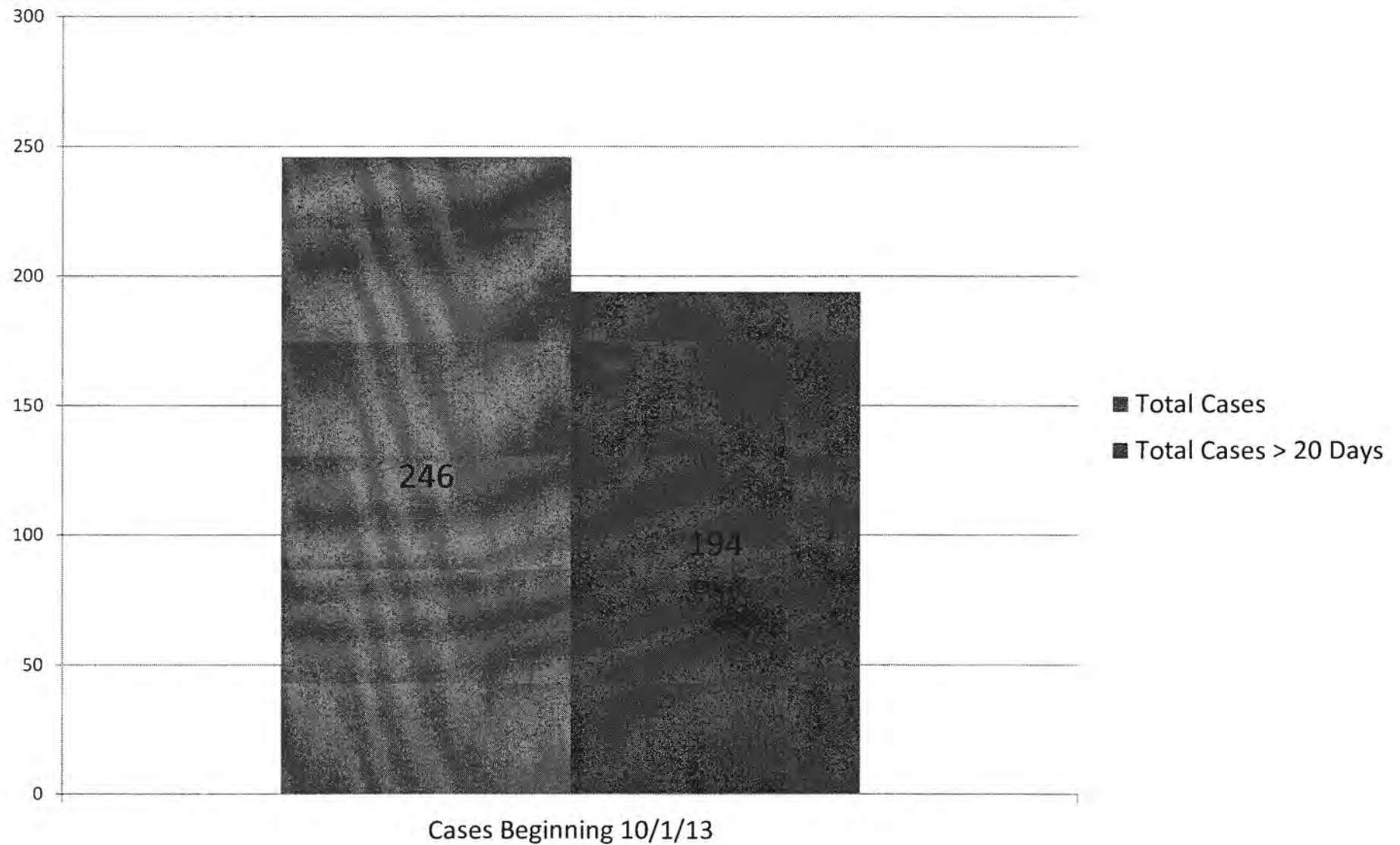


# FOIA/PA Average Processing Days FY14

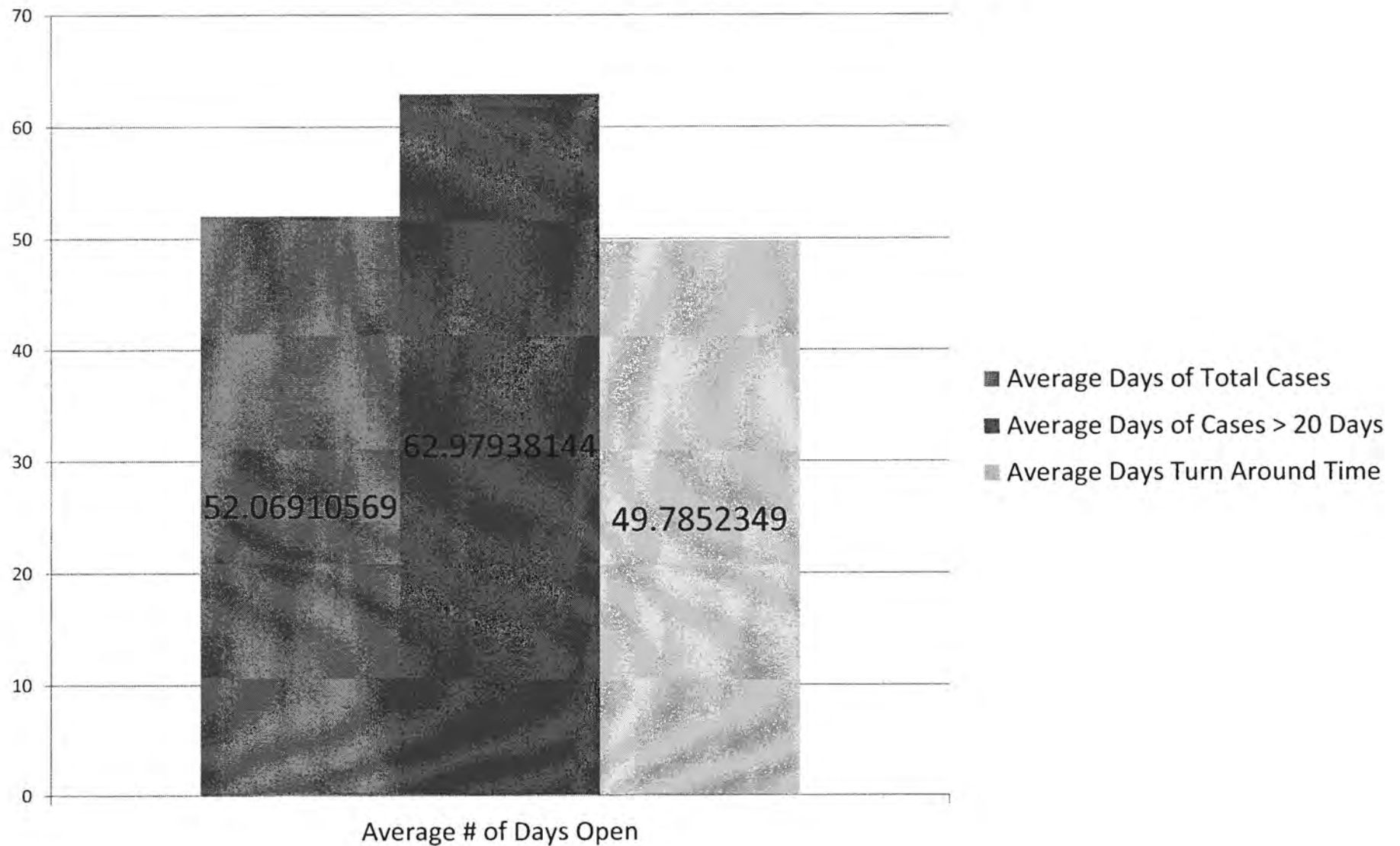




# Total PA Cases

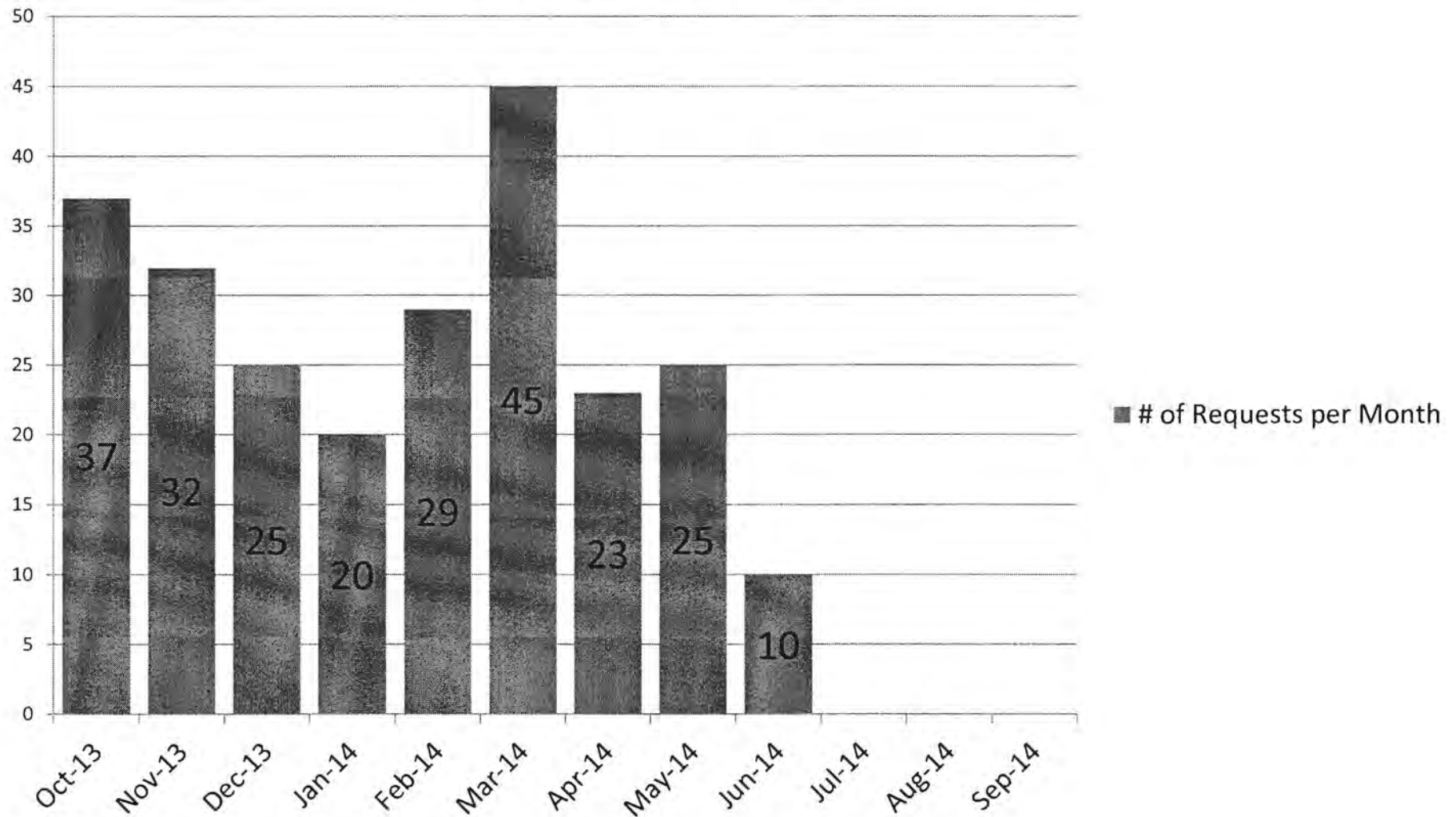


# PA Average # of Days

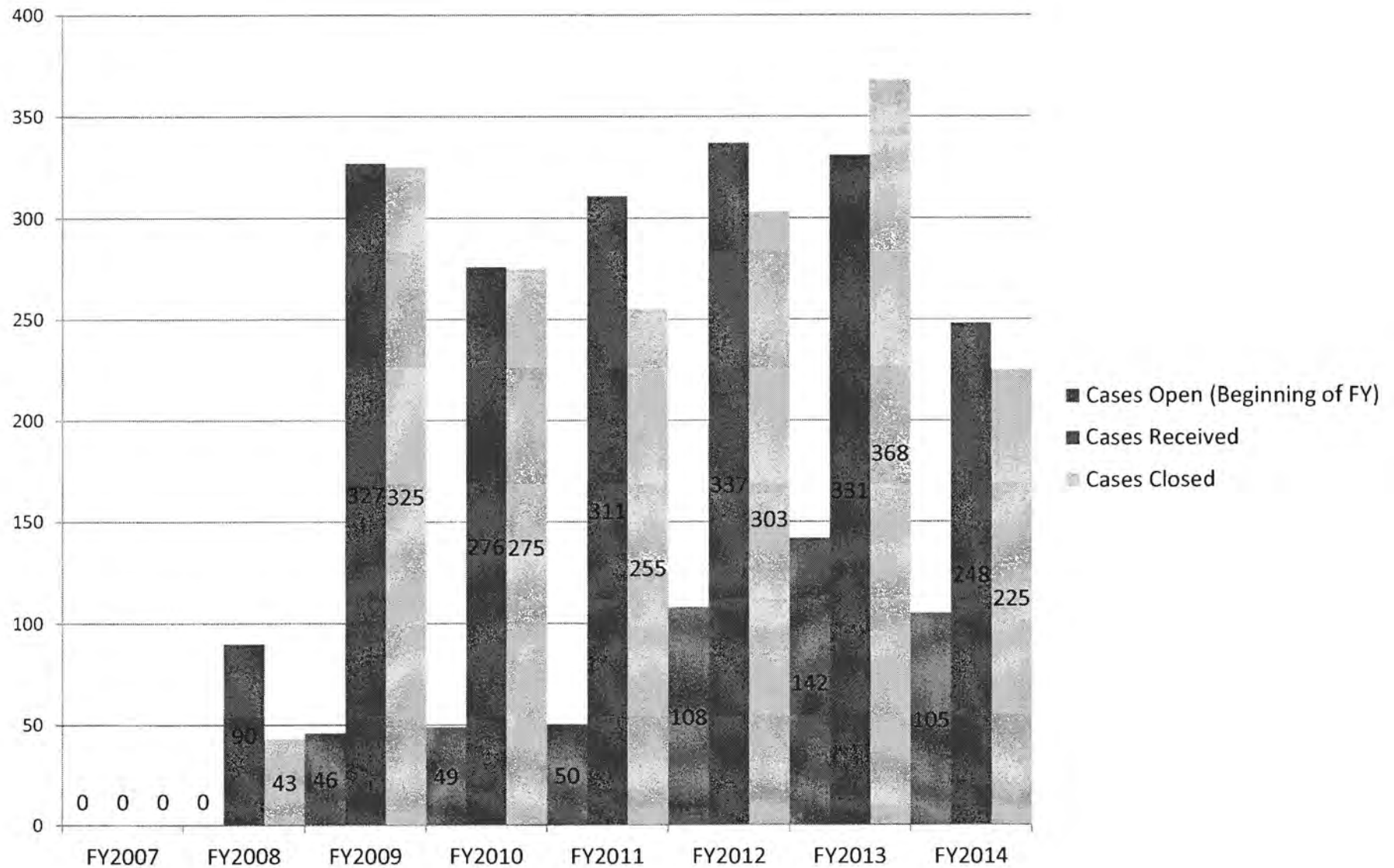


# PA Incoming Requests Per Month

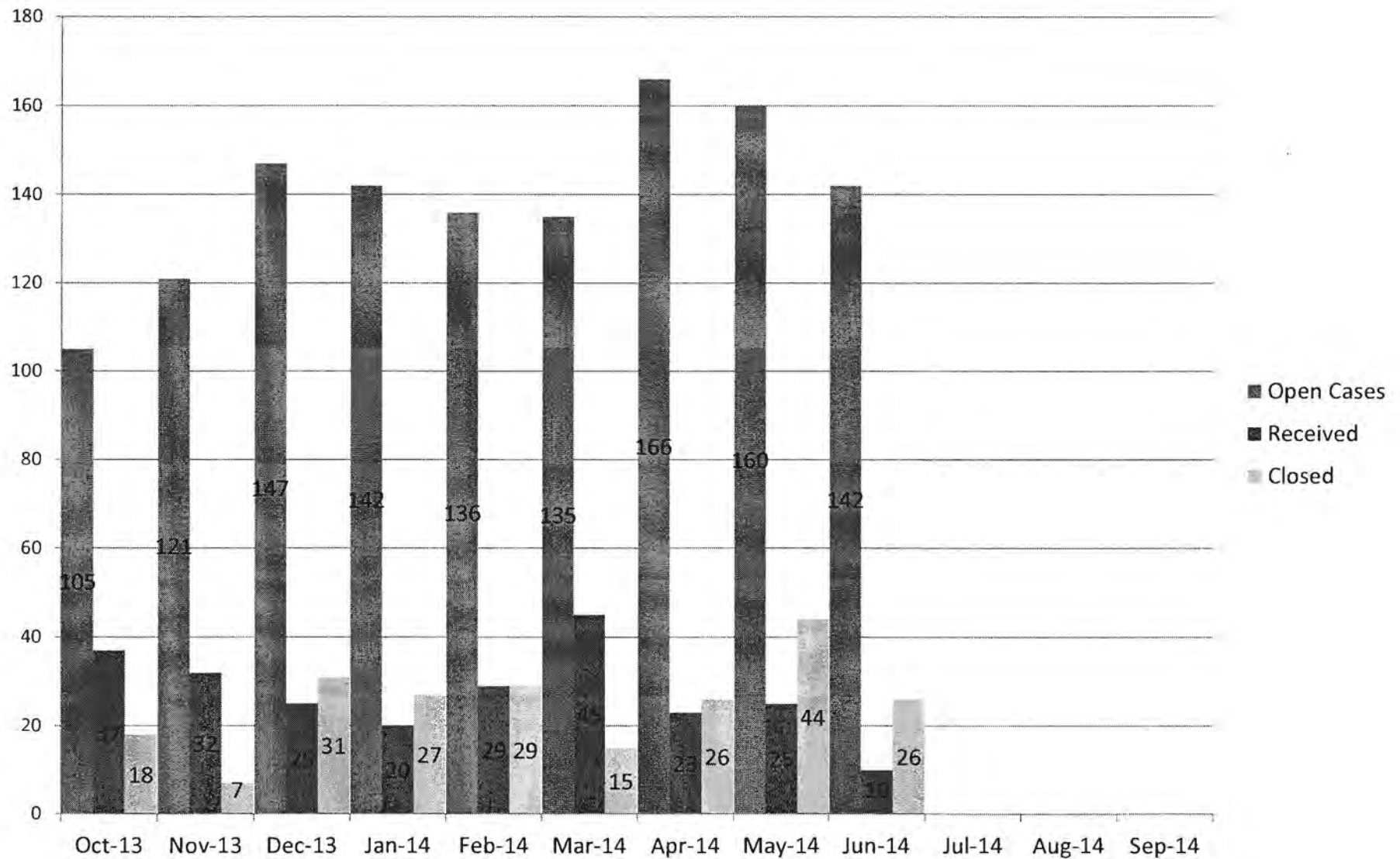
# of Requests Received per Month



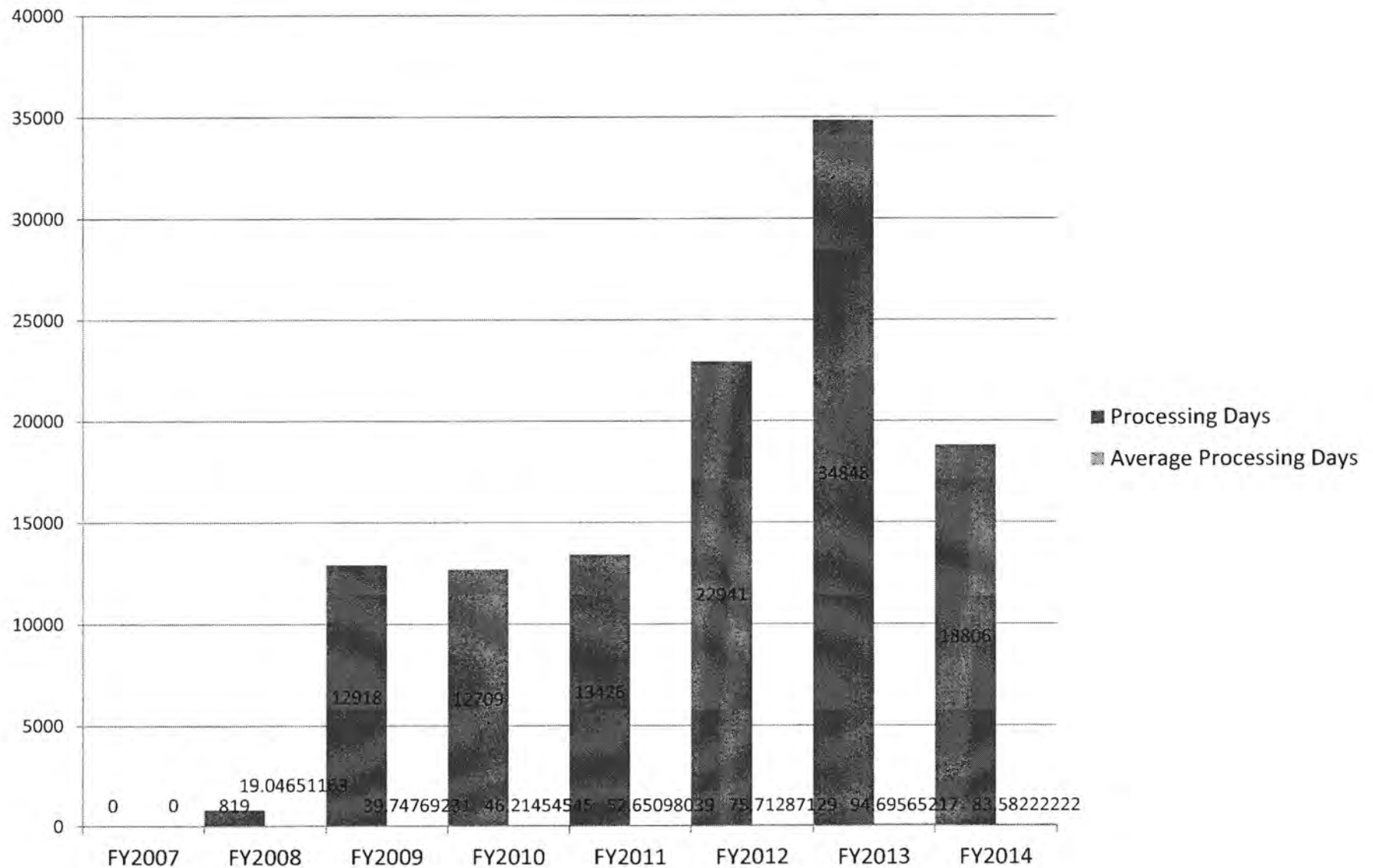
# PA Cases



# FOIA Cases Per Month FY14

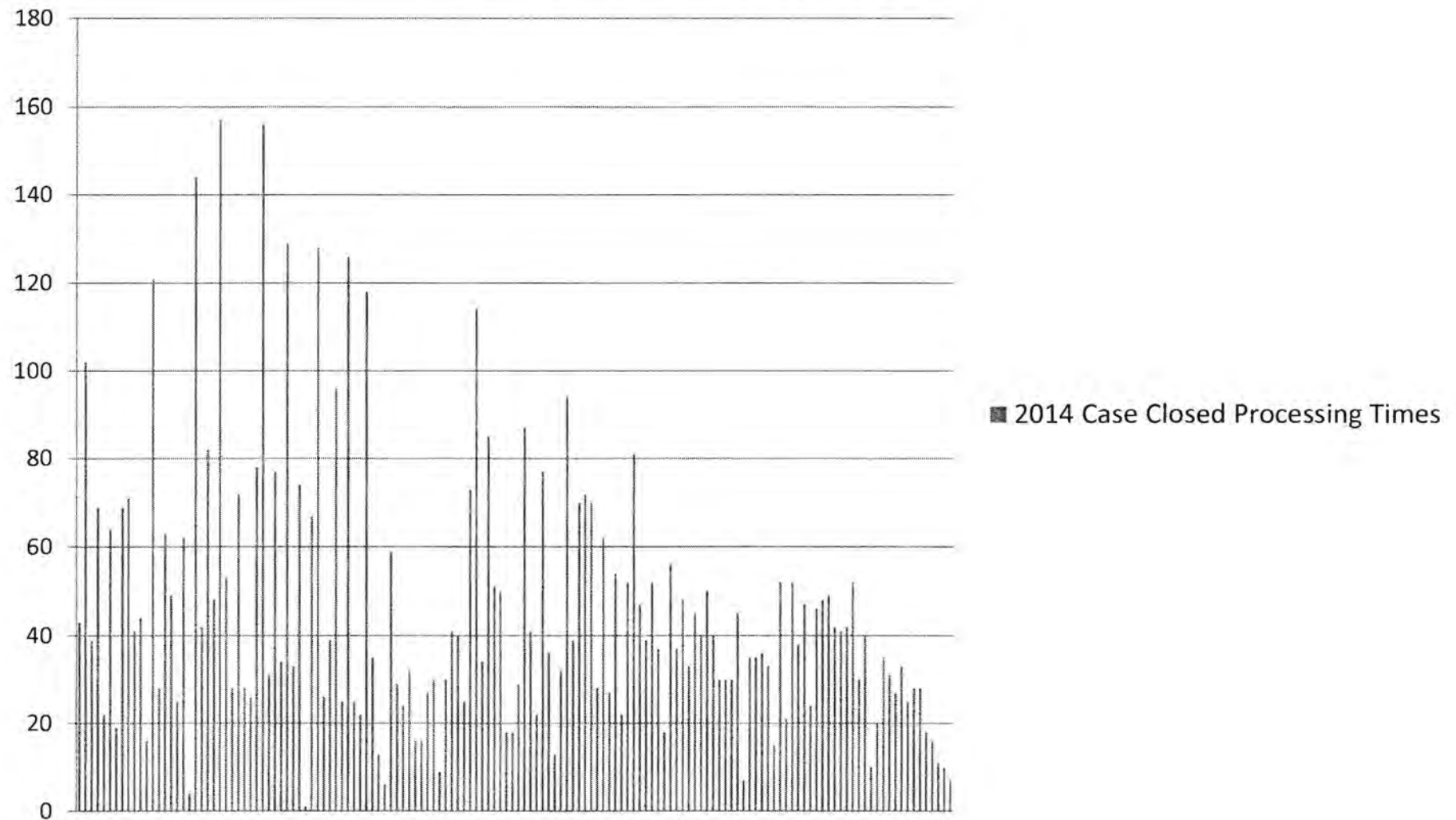


# PA Processing Days



# PA 2014 Case Closed Processing Times

2014 Case Closed Processing Times





# Breakdown of Charts

- Since beginning of October the FOIA cases have gone down by 38 cases despite the amount of incoming FOIAs
- Processing days for FY14 cases have gone down

# Additional Information

- Y-12 has total of 85 open PA cases
- 14 classified cases at HS-60
- 17 classified cases need to go to HS-60

**Diamond, Bruce**

---

**From:** Osheim, Elizabeth  
**Sent:** Wednesday, June 11, 2014 2:18 PM  
**To:** Diamond, Bruce  
**Subject:** RE: FOIA Status

Haven't forgotten & working on it!

-----Original Message-----

**From:** Diamond, Bruce  
**Sent:** Wednesday, June 11, 2014 8:13 AM  
**To:** Osheim, Elizabeth  
**Subject:** FOIA Status

This is just a reminder that I need to see a meaningful set of metrics concerning how well we're doing in reducing the FOIA backlog. This should include a measure of average age of requests, numbers, etc. Hopefully, we can show off a little.

Bruce M. Diamond  
General Counsel, National Nuclear Security Administration  
202-586-6946

~~Note: Communications in this email providing legal advice are considered privileged and confidential communications and may be subject to other restrictions on release.~~

## **Diamond, Bruce**

---

**From:** Osheim, Elizabeth  
**Sent:** Friday, May 16, 2014 12:58 PM  
**To:** Diamond, Bruce  
**Cc:** Laney, Karen; (b)(6)  
**Subject:** Attached Table of FOIA Open Record Searches by NNSA Office  
**Attachments:** FOIA Open Record Searches by Office.docx

Attached per our discussion is a table of FOIA cases with records searches open longer than 20 days sorted by NNSA office with explanatory notes. Hope this helps!

\*Denotes request sent to multiple offices for records searches.

### NNSA Offices with FOIA Record Searches\* Pending > 20 Days

Request ID	Subject	Age (days)	Actions Needed
12-00197-H	Copy of peer review results conducted in late January 2012 on the Mixed Oxide Fuel Fabrication Facility project	499	Send records & release recommendations to FOIA Team; <i>APM estimated completion 6/30/2014.</i>
13-00066-J	Copy of the releasable portions of the following records: 1. The Contract awarded by the NNSA pursuant to RFP Number DE-SOL-0003767 related to electric supply services, transmission delivery services, and power system monitoring and control services for the NNSA Nevada National Security Site.	341	Send records & release recommendations to FOIA Team; <i>APM says documents need to be reconciled &amp; provided estimated completion 6/30/14.</i>
13-00069-J	Copy of Facility Support Services for NA-15, awarded to Chugach Government Services Inc. Current contract AC52-09NA28646.	333	Send records & release recommendations to FOIA Team; <i>APM says documents need to be reconciled &amp; estimated completion 6/30/2014.</i>
13-00074-H	Requesting data on the use of individual surety bonds on construction contracts, not including those for residential housing, completed directly with the Department of Energy in the last 20 years.	331	Send records & release recommendations to FOIA Team; <i>APM estimate completion 6/30/14.</i>
13-00092-H	Copies of (1) all contracts or agreements, including but not limited to any sub-contracts or subagreements, between the Department of Energy ("DOE") & third-party security vendors that provide security for all DOE computer networks ("third-party security vendors"), including but not limited to any networks that are accessible only to DOE employees and staff & any networks accessible to members of the public; (2) all records that constitute or concern DOE's policies, protocols, or guidance regarding the selection and/or creation of filters to block access to websites on any DOE computer network; (3) all records that constitute or concern DOE's policies, protocols, or guidance regarding the designation of websites to which filters blocking access are or have been applied, including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (4) all records that constitute or concern DOE's policies,	319	Send records & release recommendations to FOIA Team; <i>no estimated completion date.</i>

\*Denotes request sent to multiple offices for records searches.

	<p>protocols, or guidance regarding the review and/or removal of filters that are or have been applied to websites on any DOE computer network; (5) all records that concern or refer to websites to which filter(s) concerning political or activist groups are or have been applied on any DOE computer network; (6) all records regarding DOE's application and/or removal of filters of websites, including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (7) all records that constitute or memorialize communications among DOE and thrid-party security vendors regarding filters applied to websites on any DOE computer network, including but not limited to communications regarding implementation of DOE policies, protocols, or guidance concerning filter(s) of political or activist group websites; (8) all records that constitute or memorialize communications amoung DOE and third-party security vendors regarding removal of filter(s), including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (9) all records that constitute or memorialize communications between DOE and any DOE employee who has requested access to, and/or DOE review of, website(s) that are or have been filtered on any DOE computer network; (10) all records that constitute or memorialize communication between DOE and non-DOE individuals or groups requesting access to, and/or DOE review of, website(s) that are or have been filtered on any DOE computer network.</p>		
13-00101-H*	<p>Records on glovebox procurements under Prime Contract No. DE-AC02-99CH1088, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A complete copy of Prime Contract No. DE-AC02-99CH10888, including but not limited to any portion of MOX Services' proposal that is incorporated by reference into the Contract, and all modifications to the Contract. 2. A complete copy of the Independent Government Estimate of the cost to design and manufacture gloveboxes for the MOX Project. 3. A complete copy of the original drawings &amp; specifications for the gloveboxes for the MOX Project. 4. A complete copy of all revised drawings &amp; specifications for the gloveboxes for the MOX Project. 5. A complete copy of the subcontracts awarded by MOX Services for the fabrication or assembly</p>	316	<p>Send records &amp; release recommendations to FOIA Team.</p> <p><i>Note: on 3/7/2013 e-mailed narrowed scope to NA-20; e-mailed narrowed scope to APM on 4/5/13; e-mailed narrowed scope to SR50 8/17/13; action removed from NA-20; APM says action "assigned to MOX."</i></p>

\*Denotes request sent to multiple offices for records searches.

	<p>of gloveboxes required for the MOX Project. 6. A complete copy of all invoices or applications for payment submitted by MOX Services to DOE in connection with its performance of work on the MOX Project. 7. All minutes of regular progress meetings between DOE &amp; MOX Services in connection with the performance of the MOX Project. 8. All meeting minutes, correspondence, and email communications relating to cost overruns, delays, or problems with the fabrication or assembly of gloveboxes for the MOX Project. 9. All proposed modifications, requests for equitable adjustment, claims, &amp; other communications reflecting MOX Services' effort to obtain additional compensation or extension of the delivery schedule for the gloveboxes on the MOX Project. This request includes all pass-through claims submitted by MOX Services on behalf of its glovebox subcontractors &amp; all requests for reimbursement of additional compensation that MOX Services paid to its glovebox subcontractors. 10. All termination settlement proposals &amp; other communications relating to MOX Services' termination for convenience of any MOX Services glovebox contractors. This request includes both pass-through termination settlement proposals submitted by MOX Services or MOX Services' requests for approval of termination settlement proposals. 11. All meeting minutes, correspondence, &amp; email communications reflecting or relating to requests by a MOX Services subcontractor for additional compensation or performance time for the fabrication or assembly of gloveboxes for the MOX Project.</p>		
13-00114-K	<p>Copies of the following records on DOE contract for the Mixed Oxide Fuel Fabrication Facility ("MOX Project") at the Savannah River Site Office in Aiken, South Carolina under Prime Contract No. DE-AC02-99CH10888, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A copy of all prior FOIA requests that requested records related to gloveboxes for Prime Contract No. DE-AC02-99CH10888. 2. A list, if any exists, of all records produced pursuant to the prior FOIA requests made that requested records related to gloveboxes for Prime Contract No. DE-AC02-99CH10888. 3. A copy of the bid tabulation sheets for MOX Services' procurement of the following gloveboxes, with names of the subcontractors redacted, indicating the prices</p>	298	Send records & release recommendations to FOIA Team.



\*Denotes request sent to multiple offices for records searches.

	submitted by each subcontractor: (a) RFTP#01086 (NDP/NDS/NXR Gloveboxes); (b) RFTP #01255 (NCR Glovebox); and (c) RFTP #01326 (PQE/LTP Glovebox).***Please Provide Docs. in Electronic Form***		
13-00123-R	Copy of the winning Preliminary Assessment, NPO-Y12 submitted to an Expression of Interest (EOI) approximately June of 2012. EOI was for the Notice of Opportunity Energy Savings Performance Contract (ESPC) project at Y-12, Oak Ridge, TN. The award of this Preliminary Assessment was approximately February, 2013.	294	Send records & release recommendations to FOIA Team; <i>APM estimated completion 6/30/2014.</i>
13-00125-K	Copies of: 1. Performance Evaluation Reports for past 5 years from all Savannah River Site prime contractor: - SRNS< SRR, Mox Fuel Services, SRS Site Security Contract, Savannah River Ecology, laboratory. 2. Internal Reviews of Site Security At SRS. 3. Telephone Contract with Verizon and associated documents. 4. Performance Evaluation report for past 5 years from NNSS and Award fees at NNSS.	294	Send records & release recommendations to FOIA Team.  <i>Note: APM staff says responsive information provided on 5/2/2013; neither APM nor GC have record of receipt of response so APM must provide records &amp; release recommendations.</i>
13-00245-E	Requestor is requesting soft copy of all contracts with SOW and any modifications, a list of all companies and subcontracts on the contract, and value of new contract (if available) awarded via solicitation DE-RP52-06NA26990. Three prime contracts are: Sealaska Environmental Services, LLC (DE-AM52-08NA28893), Randolph Construction Services, Inc. (DE-AM52-08NA28443), Ahtna, Inc. (DE-AM52-08NA28442).	176	Send records & release recommendations to FOIA Team.
14-00005-R	Centurion Research Solutions request (pdf soft copy if available) a copy of the following awarded via solicitation DE-RP52-08NA28016: Potomac Hudson-DE-AM52-09NA 28016, LATA -DE-AM52-09NA29162, JAD-DE-AM52-09NA29163, Gonzales-Stoller-DE-AM52-09NA29164. In addition, a copy of the following awarded via solicitation DE-RP52-08NA28021: SAIC-DE-AM52-09NA 28021, TetraTech-DE-AM52-09NA 29165, HDR. - DE-AM52-09NA 29166.	149	Send records & release recommendations to FOIA Team.  <i>APM says documents need to be reconciled; no estimated completion date.</i>
14-00013-R*	Requestor is requesting, MOX Fuel Fabrication Facility contract signed in 1999 by the U.S. Department of Energy (DOE). 2008 agreement (subcontract) between the U.S. Department of Energy (DOE) and Shaw AREVA MOX Services, LLC implementing construction of the Mixed Oxide (MOX) Fuel Fabrication Facility at the Savannah River Site,	143	Send records & release recommendations to FOIA Team.  <i>Note: APM says action "assigned to MOX."</i>

\*Denotes request sent to multiple offices for records searches.

	located in Aiken, South Carolina. Through this agreement. DOE exercised the construction option that was included in the MOX Fuel Fabrication Facility contract signed in 1999. The scope included the actual construction of the main MOX Facility and all support facilities; cold start-up of the MOX Plant; and continued support of Nuclear Regulatory Commission Licensing activities associated with the project.		
14-00038-R	Seeking the following documents: 1. A full and complete copy of the contracts awarded with the Contract Number assigned as DE-NA0001700, and all of the subject agreements related thereto and all exhibits, modifications, amendments and/ or supplements thereto (whether to the contract awardee or any other designee or subcontractor (or later substituted party) or otherwise). 2. All other contracts and agreements relating to the subject matter of Contract Number DE-NA0001700 between the awarded party and the Department of Energy, National Nuclear Security Administration (including without limitation the Office of Chief Information Officer (OCIO) of the Department of Energy, National Nuclear Security Administration) or any of its agents, officers, employees, representatives, subsidiaries, divisions, successors, parents or affiliates, including the United States of America or any agency, subdivision, department or sub entity thereof.	124	Send records & release recommendations to FOIA Team.  <i>Note: APM advised that estimated response exceeds cost to process &amp; said it's "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. Regardless of cost estimate APM may still need to provide records &amp; release recommendations.</i>
14-00052-R*	Requesting all award fee letters to Management & Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records & release recommendations to FOIA Team.  <i>Note: APM advises it does not have responsive records &amp; transferred to Site Offices. Received SFO response.</i>
14-00076-H	Copy of the following documents identified to DE-NA 0001632; 1) Contract Sections A-J 2) All attachments.	90	Send records & release recommendations to FOIA Team.
14-00081-H	Requesting draft solicitation or the current contract DE-AM52-09NA28558, Training and Logistics. The incumbent contractor is Innovative Technology Partnerships LLC.	84	Send records & release recommendations to FOIA Team.
14-00085-R*	***This request has also been assigned to EM and SROO*** Requesting Any "memorandum of understanding" or "memorandum	82	Send records & release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	of agreement" or similar document(s) between DOE (any any entity of DOE) & any German governmental agency, including the federal government and any state government (including North Rhine Westphalia ) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. 2. Any contract(s) & attachment(s) to it between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state govern (including North Rhine-Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. This request includes any contract or other documents or attachments reflecting the amount of the contract, in US dollars or Euros. 3. DOE determination concerning DOE's requirements about preparation of legally required environmental documents under the National Environmental Policy Act (NEPA) This part of the request covers the determination that a full Environmental Impact Statement (EIS) with public participation will need to be prepared, as required by NEPA.		
14-00086-K*	Requesting, (1) The full Fiscal Year 2013 "Performance Evaluation Reports" (PERs) prepared by the Savannah River Operations Office on the performance of any site contractors, including Savannah River Nuclear Solutions. (2) This request applies to PERs prepared by or for the National Nuclear Security Administration for NNSA projects at SRS. Including construction and administration of the Mixed Oxide Fuel Fabrication (MOX), construction of the Waste Solidification Building and operation of the tritium program. (3) Any cover letters and fee determination letters associated with the FY 2013 PERs and any attachments to the PERs.	81	Send records & release recommendations to FOIA Team.  <i>Note: APM advised that this is "assigned to MOX."</i>
14-00096-R	Requesting, a copy of solicitations, contract award, statement of work (SOW) and contract modification for Contract DEAC5209NA28646.	68	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised "this is off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need to provide</i>

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			<i>responsive records &amp; release recommendations.</i>
14-00099-R	Requesting, the below listed documents be provided to ARServices. Amplifying data for this request included below. Contract # DENA0000905, Program Title Information Technology and Telecommunications Enterprise Support Services, Incumbent Contractor, Contract Expiration, September 2015. Documents Requested, Solicitation, Contract Award, Statement of Work (SOW)	62	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised that it is "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need responsive records &amp; release recommendations.</i>
14-00102-R	Requesting, a copy of last published CPAR for contract # DEAM5209NA28558. This is a contract between NNSA and Innovative Technology Partnership LLC.	62	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised that it is "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need responsive records &amp; release recommendations.</i>
14-00136-R	Requesting a copy of the following documents identified to DEDT0004215/National Nuclear Security Administration: 1) RFP 2) Statement of Work for Task Order Number: DEDT0004215.	33	Send records & release recommendations to FOIA Team.
14-00157-K	Requesting documents in reference to the following topic. Solicitation: DE-RP52-09NA28681.	19	Send records & release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
11-00307-H	Requesting a copy of reports of radiation-emissions data collected via the Comprehensive Nuclear Test Ban Treaty Organization's Network of air radionuclide sensors, and provided to member states, including the U.S., under terms of the Comprehensive Nuclear Test Ban Treaty. Requesting reports of emissions from all	782	Send records & release recommendations to FOIA Team.

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	monitoring stations, including information on their locations, for dates beginning March 1, 2011 and continuing to the present. Requesting all future reports of said radiation emissions, until I request a cessation when the threat from the Fukushima Daiichi nuclear power plant is deemed to be over. Requesting the names of the civilian research entities with which you share this data.		
11-00417-K	<p>*****PLEASE SEE THE COMPLETE REQUEST ON THE ATTACHED ORIGINAL REQUEST---COMPLETE REQUEST DID NOT FIT HERE*****</p> <p>FOIA request relating to Pacific Northwest National Lab (PNL) records and Energy Northwest, Mixed Oxide reactor fuel (MOX). Requesting copies of all public records (including email, electronic, and paper records) relating to the following: 1. All records involving, or related to, correspondence between Pacific Northwest National Lab (PNL) and its staff or contractor(s) with Energy Northwest or any other entity (including, but not limited to Areva, GE, other USDOE Offices or contractors) regarding the potential for, or potential use of, Mixed Oxide (MOX) reactor fuel in the Columbia Generating Station(CGS) operated by Energy Northwest and fabrication of MOX fuel pins or pins for testing in CGS. a. This request includes, but is not limited to, all emails from, or to, the following individuals in relation to this topic: Cheryl Thomhill, Vic Parrish, Lisa Ferek, Joseph Parish, Jerry Lewis, Miguel Armenta, Sudesh Gambhir, Beverly Cadwell, Sara Leversee, Earl Saito, William Richmond, James Buelt, Gary Sevigny, Brady Hanson, Richard Clark, Dean Tousley, Carol Elliott, Sue King, Virginia Kay, Peter Newby, Zoe Castelum, Carolyn Matthews b. Please provide on an expedited basis the following identified emails relating to this subject and the individuals identified above from the following dates in 2009: 7-2; 7-28; 8-4, 8-13, 8-17, 8-18; 8-24; 9-4; 9-14; 10-1; 10-7; 10-22;11-18; 11-24; 12 14, 12-15,12 18, and, the following dates from 2010. 112, 114, 1-29. c. Please provide all other emails and correspondence from January 1, 2009 to the present regarding PNL or Energy Department involvement in the potential use of Mixed Oxide (MOX) or high Plutonium content regarding the potential for, or potential for, use of Mixed</p>	695	Send <i>release</i> recommendations to FOIA Team; need memo from Subject Matter Expert(s) identifying what item email(s) respond to and releasability of the responsive emails.



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	Oxide (MOX) reactor fuel in the Columbia Generating Station operated by Energy Northwest reactor fuel in the Columbia Generating Station operated by Energy Northwest. d. All analyses of costs, budget forecasts, proposed schedules, and reporting of costs incurred in studying or implementing proposals for use of MOX fuel in the Columbia Generating Station or projected for MOX fuel supply and fabrication (including those involving non-governmental entities or contractors). 2. Budgets, schedules (including proposals) and analyses of costs and impacts regarding shipping Plutonium I MOX fuel to Pacific Northwest National Lab (PNL) or any Hanford facility for fabrication or testing, including for use of MOX fuel in the Columbia Generating Station. a. This includes, but is not limited to, any presentations or planning for presentations (regardless of who made the presentation) regarding such proposals. 3. All correspondence with Energy Northwest (including records relating to meetings, phone calls or emails) or other records concerning requests for records made to Energy Northwest in regard to Energy Northwest's use of MOX fuel (including regarding any role of PNL and USDOE in providing fabrication or other services to Energy Northwest).		
12-00151-H	Requesting a copy of all documents including cables, emails and memos from 01 January 2005 through 31 December 2005 to, from or concerned in whole or in part with International Atomic Energy Agency (IAEA) head Mohammed ElBaradie (or el-Baradei). Please include all department offices concerned with nuclear proliferation and nonproliferation in your search.	532	Send records and release recommendations to FOIA Team.
12-00270-K	Requesting copies of any and all communications, emails, meeting documents or notes, phone records, presentations and other documents, between January 1, 2011 and February 29, 2012 regarding U.S. Nuclear cooperation or trade with Taiwan (also known as the Republic of China or "ROC"), including the issues of Taiwan's nuclear fuel, post-irradiation experiments, nuclear waste and/or spent-fuel repositories, uranium enrichment, or any matters related to renewing the U.S. Taiwan Section 123 agreement on nuclear cooperation which expires in 2014.	447	Send records and release recommendations to FOIA Team.

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	***Previously sent CON 12-00009-K with docs to review related to this request. This request has now been sent to us as a request for documents.***		
12-00308-H	Copies of any and all communications, emails, meetings, phone records, presentations, and other documents between January 1, 2009 and December 31, 2011 regarding any issue related to Mongolia and nuclear fuel, nuclear waste and/or spent fuel repositories. This request includes, but is not limited to, emails by and to DOE officials, including but not limited to Daniel Poneman, Pete Lyons, Shane Johnson, Edward McGinnis, Alan Brownstein, Jay Jones and Giulia Bisconti, and any official(s) representing the Mongolian Government.	420	Send records and release recommendations to FOIA Team; <i>estimated completion 5/30/2014.</i>
13-00144-SL	Requesting 1. Required annual Department of Energy report to Congress for 2013 as to the status of the Mixed Oxide Fuel Fabrication Facility at the Savannah River Site, as required by "SEC. 3182. DISPOSITION OF WEAPONS-USABLE PLUTONIUM AT SAVANNAH RIVER SITE" of the NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 2003; 2. All attachments to the report which may have been submitted in order to meet the provisions stipulated in Sec. 3182.	278	Send records and release recommendations to FOIA Team.
14-00002-H	Requesting, "a copy of the following documents be provided to me: the "Agreement between the Government of the United States of America and the Government of the Russian Federation on Cooperation in Nuclear-and Energy-Related Scientific Research and Development." According to a Department of Energy press release, the agreement was signed Sept. 16 in Vienna by Secretary Moniz and Rosatom's Sergey Kirienko. Portions of the agreement have been released, but not the full text. I am requesting the full text.	151	Send records and release recommendations to FOIA Team.
14-00018-H	Requesting records demonstrating the total number of 10 C.F.R. 810 deemed export licenses sought from the Department of Energy from January 1, 2003 to present. Specifically, please provide records detailing the number of deemed export licenses issued or approved, the number of license applications that were denied, & the identity or type of applicant. (i.e., Department of	140	Send records and release recommendations to FOIA Team.



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	Energy Laboratory, private company, ect.).		
14-00043-R	Requesting, German high-level waste management and possible import to the Savannah River Site: 1. Any documents, assessments or reports (and attachments) prepared by DOE's Office of Environmental Management, Savannah River Site or Savannah River National Laboratory concerning management and disposal of the AVR fuel. 2. Any documents, assessments or reports (and attachments) prepared by the above entities concerning the ability of the H-Canyon, including via modifications, to process the graphite AVR fuel. This request covers documents on the handling of any HEU removed from the fuel and management of resultant waste streams, including impacts to the SRS high-level waste system. 3. Any "trip reports" filed by DOE employees or contractors who traveled to the Juelich site.	119	Send records and release recommendations to FOIA Team.
14-00085-R*	***This request has also been assigned to EM and SROO*** Requestor is requesting, Any "memorandum of understanding" or "memorandum of agreement" or similar document(s) between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state government (including North Rhine Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. 2. Any contract(s) and attachment(s) to it between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state govern (including North Rhine-Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. This request includes any contract or other documents or attachments reflecting the amount of the contract, in US dollars or Euros. 3. DOE determination concerning DOE's requirements about preparation of legally required environmental documents under the National Environmental Policy Act (NEPA) This part of the request covers the determination that a full Environmental Impact Statement (EIS) with public participation will need to be prepared, as required by NEPA.	82	Send records and release recommendations to FOIA Team.

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Request ID	Subject	Age (days)	Actions Needed
13-00171-J	Requesting travel documents for Ann Harrington, Deputy Administrator for Defense Nuclear Nonproliferation. Please submit: Ms. Harrington's travel schedule since October 1st, 2010, the cost of each flight take by Ms. Harrington since October 1st, 2010, and the travel budget Ms. Harrington is subject to for each fiscal year since October 1st, 2010.	244	Send records and release recommendations to FOIA Team.
13-00269-R	Requesting a copy of all correspondence between the DOD and the DOE regarding the DOD to allow \$5 billion dollars in expected funding to go to the DOE on the National Nuclear Security Administration and their projects circa 2010/2011. Please include all records, electronic or otherwise, that may pertain to communication between the DOD and DOE regarding these funds being transferred, which would include, all memos, letters, emails, reports, etc.	159	Send records and release recommendations to FOIA Team.
14-00052-R*	Requesting, all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team.
14-00116-K	Requesting documents in reference to the following topic: Emails/ and IMs from Robert Nassif, Howard Dickenson, Eileen Rountree-McLennan, William Lynn Stenseth that reference my name or my organization during the period of January 2012 through March 2014. All emails or IMs that makes reference to me or MB 61 from William Lynn Stenseth, Eileen Rountree-McLennan, Robert Nassif. For the period of January 2012 through March 2014. All emails of Robert Nassif, Howard Dickenson, Eileen Rountree-McLennan, or William (Bill) Stenseth including those that have been deleted with any content related to Pecolia Sharts-Meadows or any version	45	Send remaining records and release recommendations for to FOIA Team; <i>received Stenseth &amp; Rountree-McLennan records 4/30/14.</i>

\*Denotes request sent to multiple offices for records searches.

	thereof, Pecolia Sharts, Pecolia Meadows, or Pecolia B. Sharts-Meadows during the period of January 2012 through March 2014.		
Request ID	Subject	Age (days)	Actions Needed
13-00103-E [Pending in OST]	Copy of electronically signed e-mail from Pastor Cameron Reeves. This email was sent to Jeremy Kuntz, Dan Johnson, Bobby Sanchez, Suzanne Garcia, Dr. Anthony Trayweek, and Michele Phipps among others. This statement contains allegations of some sort against me. The statement is date November 16, 2012. Jeremy Kuntz continued to conduct several phone conversations in an attempt to illicit a response from Pastor Reeves after November 16, 2012. I am requesting any and all emails or letters written to Dr. Anthony Trayweek from Mr. Jeremy Kuntz regarding the content of these conversations. Any further information written statements, e-mails, or documents in regard to the agents that approched Pastor Cameron Reeves in person and via telephone several times the week of November 11, 2012. One of the agents was Kevin Kerr. I want the doeuments reporting these contacts with Cameron Reeves to AOCC command. I want the e-mail and subsequent information there in provided to personnel security investigator Michelle Phipps from Dr. Anthony Trayweek. I would also like a copy of the internal affairs investigation that Jeffery Herroll ordered to be conducted in to wrongdoing at AOCC by investigator Jim Allen. This investigation was conducted on, or around Sept 12, 2011. Jim Allen will know what to give you.	313	Send records and release recommendations to FOIA Team.
14-00031-H	Requesting, The National Nuclear Security Administration April 2012 study for meeting plutonium needs of NNSA. The study was identified in the September 2013 Government Accountability Office (GAO) Report to the Committee on Armed Services, U.S. Senate GAO-13-522 Modernizing the Nuclear Security Enterprize-Observations on NNSA's Options for Meetings Its Plutonium	134	Send records and release recommendations to FOIA Team

\*Denotes request sent to multiple offices for records searches.

	Research needs.		
Request ID	Subject	Age (days)	Actions Needed
13-00102-J	Copy of the first 100 pages of any documents that pertain to websites that DOE employees (Specifically the NNSA) are not allowed to visit while using their U.S Government issued computers.	134	Send records and release recommendations to FOIA Team.
14-00152-H	Requesting copies of any and all the details of what specific information I supposedly shared, with whom, how that information was shared, when and how it was discovered, and what evidence there is that supports the findings. The more details I can get the better. I would also like to know if, as a result of this, I have been put on any sort of FBI, homeland security etc. list(s) such as "no fly".	23	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
14-00052-R*	Requesting, all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team; <i>FOIA specialist out of town in training &amp; unable to confirm if NA-SH still needs to respond.</i>
Request ID	Subject	Age (days)	Actions Needed
14-00091-R	Requesting, 1. Any records pertaining to Broken Arrow incidents occurring after September 19, 1980 Including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made the Immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c.	77	Send records and release recommendations to FOIA Team.

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	<p>Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated. 2. Any records pertaining to Broken Arrow incidents which had occurred earlier than September 19, 1980 and had been omitted or are otherwise missing from the Department of Defense document nt titled "Narrative Summaries of Accidents Involving U.S. Nuclear Weapons," including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made he immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c. Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated. 3. Any records pertaining to events which involved nuclear armaments, were first identified as something other than a Broken Arrow incident, and were then subsequently identified as a Broken Arrow incident, including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c. Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated.</p>		
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\*Denotes request sent to multiple offices for records searches.

Request ID	Subject	Age (days)	Actions Needed
14-00111-H	Requesting correspondence between United States Department of Energy, National Nuclear Security Administration Service Center and: The office of Congressman Paul Broun (R-GA, 2007-present), The office of Congressman Rodney Davis (R-IL, 2013-present), The office of Congressman John Delaney (D-MD, 2013-present), The office of Congressman Jeff Denham (R-CA, 2011-present), The office of Congressman Robert Dold (R-IL, 2011-2013), The office of Congressman Bill Enyart (D-IL, 2013-present), The office of Congressman Bill Foster (D-IL, 2008-2011 and 2013-present), The office of Congressman Phil Gingrey (R-GA, 2003-present), The office of Governor Nikki Haley (R-SC, 2011-present), The office of Congressman Jack Kingston (R-GA, 1993-present), The office of Senator Charles Patrick "Pat" Roberts (R-KS, 1997-present), The office of Congressman Brad Schneider (D-IL, 2013-present), The office of Congressman John Tierney (D-mA, 1997-present). ***Electronic Copy or fax 866-356-2010***	52	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
13-00101-H*	Records on glovebox procurements under Prime Contract No. DE-AC02-99CH1088, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A complete copy of Prime Contract No. DE-AC02-99CH10888, including but not limited to any portion of MOX Services' proposal that is incorporated by reference into the Contract, and all modifications to the Contract. 2. A complete copy of the Independent Government Estimate of the cost to design and manufacture gloveboxes for the MOX Project. 3. A complete copy of the original drawings & specifications for the gloveboxes	316	Send records & release recommendations to FOIA Team.  <i>Note: on 3/7/2013 e-mailed narrowed scope to NA-20; e-mailed narrowed scope to APM on 4/5/13; e-mailed narrowed scope to SRSO 8/17/13; action removed from NA-20 but remains on APM &amp; SRFO. APM says action "assigned to MOX."</i>



\*Denotes request sent to multiple offices for records searches.

	<p>for the MOX Project. 4. A complete copy of all revised drawings &amp; specifications for the gloveboxes for the MOX Project. 5. A complete copy of the subcontracts awarded by MOX Services for the fabrication or assembly of gloveboxes required for the MOX Project. 6. A complete copy of all invoices or applications for payment submitted by MOX Services to DOE in connection with its performance of work on the MOX Project. 7. All minutes of regular progress meetings between DOE &amp; MOX Services in connection with the performance of the MOX Project. 8. All meeting minutes, correspondence, and email communications relating to cost overruns, delays, or problems with the fabrication or assembly of gloveboxes for the MOX Project. 9. All proposed modifications, requests for equitable adjustment, claims, &amp; other communications reflecting MOX Services' effort to obtain additional compensation or extension of the delivery schedule for the gloveboxes on the MOX Project. This request includes all pass-through claims submitted by MOX Services on behalf of its glovebox subcontractors &amp; all requests for reimbursement of additional compensation that MOX Services paid to its glovebox subcontractors. 10. All termination settlement proposals &amp; other communications relating to MOX Services' termination for convenience of any MOX Services glovebox contractors. This request includes both pass-through termination settlement proposals submitted by MOX Services or MOX Services' requests for approval of termination settlement proposals. 11. All meeting minutes, correspondence, &amp; email communications reflecting or relating to requests by a MOX Services subcontractor for additional compensation or performance time for the fabrication or assembly of gloveboxes for the MOX Project.</p>		
13-00157-J	<p>Request any &amp; all agency records or other documents in its possession that concern, refer, reflect, or relate to: 1. Any records that concern, reflect, or relate to the Shaw-Areva Mox Services, LLC's (Shaw-Areva) subcontract with Oak Ridge Tool-Engineering (ORTE), including documents, notes, emails, letters, memoranda, communications, photographs and any other document or</p>	267	<p>Send records and release recommendations to FOIA Team.</p>



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	recording that pertain to the subcontracts, and/or TOR 001 and TOR 003. 2. ANY records that concern, reflect, or relate to any first- or second-tier subcontractor claims concerning Shaw-Areva for non-payment or claims of delay alleged against Shaw-Areva, including documents, notes, emails letter, memoranda, communicaitons, photographs and any other document or recording. 3. Any records that concern, reflect, or relate to the adequacy or deficiencies of Shaw-Areva Mox Services, LLC's (Shaw-Areva) plans, drawings, schematics, or design of its nuclear gloveboxes and subassemblies and/or the process units contemplated by TOR 001 and TOR 003 executed with ORTE.		
14-00013-R*	Requesting, MOX Fuel Fabrication Facility contract signed in 1999 by the U.S. Department of Energy (DOE). 2008 agreement (subcontract) between the U.S. Department of Energy (DOE) and Shaw AREVA MOX Services, LLC implementing construction of the Mixed Oxide (MOX) Fuel Fabrication Facility at the Savannah River Site, located in Aiken, South Carolina. Through this agreement. the DOE exercised the construction option that was included in the MOX Fuel Fabrication Facility contract signed in 1999. The scope included the actual construction of the main MOX Facility and all support facilities; cold start-up of the MOX Plant; and continued support of Nuclear Regulatory Commission Licensing activities associated with the project.	143	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
10-00093-J*	Requesting a full fiscal year 2009 Performance Evaluation Report for the contractors of the NNSA's Los Alamos National Laboratory (Los Alamos National Security), Sandia National Laboratory (Sandia Corp./Lockheed Martin Corp.) and Lawrence Livermore National Laboratory (Lawrence Livermore National Security). Please provide any info, emails, meeting records, other docs pertaining to a decision by NNSA Director of the Office of Acquisition and Supply	1072	Received final responses from LAFO, APM; awaiting release recommendations on others.

\*Denotes request sent to multiple offices for records searches.

	Management David O. Boyd dated 10-19-2009 to not release PERs to the public.		
13-00045-E	Requesting (1) All guidance issued by the Department of Energy and/ or any of its departments on the use of staf augmentation workers including memos, letters, and emails. (2) All documents, emails, communications, and informaiton related to the March 2000, Audit Report regarding Staff Augmentation Workers at Sandia National Laboratories (WR-B-00-04). **Requester amended this to add the date range of requested documents is January 1, 1984 to the present***	363	Send records and release recommendations to FOIA Team.
13-00253-H	Requesting, All documents concerning the Civil Action STC V. Intel pending in the District of New Mexico (1:10-cv-01077); all documents concerning Sandia's involment in the Civil Action STC v. Intel pending in the District of New Mexico (1:10-cv-01077).	174	Send records and release recommendations to FOIA Team.
13-00267-E	Requestor is requesting documents that have never been declassified before, on the following subjects: -Construction, building, or testing of Electronic Analog Computers and processors -Construction, building, or testing of Hybrid (Analog/Digital) Computer and processors. Date: 1948 to Present date. Location: Sandia National Laboratory, Preferred form or format: Electronic (pdf) or paper.	161	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
10-00251-H	Requesting: 1. Traffic studies – We request any & all traffic studies that have been done, or a description of those being done, related to the Chemistry and Metallurgy Research Replacement (CMRR) project, or the CMRR project in connection with other Pajarito corridor construction projects within the laboratory boundaries and outside the laboratory boundaries. If any traffic studies are not completed please provide a description of their scope and expected completion date. If any other traffic studies are planned, but not yet underway, please provide a list including their	961	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	<p>proposed scope and completion dates. Please provide any other studies related to the environmental impact of possible traffic changes due to the CMRR project or the CMRR project and other Pajarito construction corridor projects taken together. Also, please provide any studies regarding the temporary closure of Pajarito road. 2. Utilities – We request any &amp; all environmental impact studies, evaluations, reports, plans, and documents that have been done or written in relation to the CMRR project by itself or in connection with other Pajarito corridor construction projects regarding utility modifications of laboratory utilities including electricity, gas, sanitary, sewer, radioactive sewer, and any other utilities and their environmental impacts. 3. Parking &amp; truck inspection – We request any &amp; all evaluations, results, reports, studies, drawings, and documents that were generated during the site selection process for parking and truck inspection facilities. 4. Administrative record – We request the administrative record, in electronic form, for the final Environmental Impact Statement for the CMRR facility. 5. Institutional impacts – We request any &amp; all evaluations, reports and studies on the institutional impacts of CMRR construction by itself, or in connection with other Pajarito corridor construction projects, including, but not limited to: a) measures to house LANL workers; b) office space for displaced LANL workers; c) laboratory and other specialized facilities for displaced workers; d) costs; e) environmental and program impacts, including impacts on program deliverables; f) locations of backup facilities; and g) plans and studies for shuttle services. 6. TA-55 – We request any and all evaluations, reports and studies of the impacts on TA-55 programs and deliverables in relation to the CMRR project or in connection with other Pajarito corridor construction projects. 7. Transient workers – We request any and all evaluations, reports and studies in relation to the CMRR project by itself or in connection with other Pajarito corridor construction projects, of: a) economic and environmental impacts of housing for transient workers at LANS and other contractors and sub-contractors; b) how many transient employees are expected to be</p>		
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\*Denotes request sent to multiple offices for records searches.

	needed, when they will be needed, for what kinds of specific jobs, and how long they will be needed for each job; and c) evaluations of the existing housing market.		
13-00139-K*	Requester is requesting 1. The unclassified version of the security reviews, reports, correspondence, and emails regarding ny force-on-force activities at the Savannah River Site results that were conducted from January 2010 to the present. 2. All records pertaining to assessing secondary lifetimes of the enduring weapon stockpile.	285	Send records and release recommendations to the FOIA Team.
13-00249-R*	Requesting copies of any and all records, photos, etc. pertaining to nuclear weapon acciedents/incidents covering the period of January 1, 1951 through December 31, 1955.	176	<i>Transmit any classified responsive records directly to HS-60; LANS estimated completion 5/23/2014; SNL transmitted classified responsive records directly to HS-60 in February 2014.</i>
14-00030-K	Requesting, recorded materials such as videos, software, source code, and media pertaining to MediaView (aka"Media View") developed by Richard L. Phillips at Los Alamos National Laboratory ("LANL") between 1989-1993, Medial View is referenced in the following printed publications, & it is believed that Andrew White is someone still with LANL who may be knowledgeable about MediaView archival materials: * LA-UR 11-05798 (see also FOIA 06-103-P (record no. 1735)) * LA-UR 91-2045 * LA-CP 90-272 *A CD-ROM of materials including Media View was distributed at SIGGRAPH 1992 (copy of CD-Rom label attached as IMB_177.jpg) * A CD-Rom of materials from LANL last modified December 15, 1992 was located by participant from SIGGRAPH 1992(copy of README.TXT file from CD attached) ***PLEASE READ ATTACHMENT FOR FULL DESCRIPTION.	134	Send records and release recommendations to the FOIA Team.
14-00061-K	Requester is requesting, All documents, emails and data that Los Alamos National Laboratory (LANL) submitted to the Environmental Protection Agency (EPA) Region 6 regarding the Clean Air Act new/modified source review for the Zero Liquid Discharge Solar Evaporation Tanks (SET) at Technical Area 52, as well as the use of the Mechanical Evaporation System (MES). The evaporated systems are associated with operations at the	105	Send records and release recommendations to the FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	Technical Area 50 Radioactive Liquid Waste Treatment Facility. 2. All documents, emails and data that support LANL's new/modified source determination that the evaporation systems emit below 0.1 millirem (mrem) of radiation to the environment as requested by 40 CFR 61, Subpart H.		
14-00097-K	Requestor is requesting, 01/2012 through 03/2013 Record of inspections for industrial hygiene and occupational safety and health at Los Alamos National Security.	63	Send records and release recommendations to the FOIA Team.
14-00100-K	Requestor is requesting, Criticality Safety Infractions Causal Analysis released by Los Alamos National Laboratory (LANL) this past month (Jan 2014) and mentioned in the Defense Nuclear Facilities Safety Board's letter here.	62	Send records and release recommendations to the FOIA Team.
14-00109-R	Requesting Ostic, J., et al., "Pit Manufacturing Program Execution Plan," Los Alamos National Laboratory, LA-CP-07-1186 (UCNI, Pre-Decisional Draft), April 2008. Cited in "Frequently Asked Questions (FAQ) on Pit Manufacturing Capacity," Brett Kniss & Drew Kornreich, Los Alamos National Laboratory, November, 2009.	52	Send records and release recommendations to the FOIA Team.
14-00140-K	Requesting a copy of the following video: 91-122 Nuclear Detonation in CONUS dated 11/15/1991 and video 91-110 Is Our Climate? Dated 11/19/1991.	32	Send records and release recommendations to the FOIA Team.
14-00149-H	Requesting the following: 1. The number of vehicles that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 2. The number of LANL employees that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 3. The disciplinary action taken against the employees that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. Please limit your search of the above item(s) to the period from January 1, 2013 to December 31, 2013. Part 2 of request, requesting a copy of the following: 1. The number of vehicles searched at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 2. The make and model of each vehicle searched at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 3. The	27	Send records and release recommendations to the FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	number of times and the name of any city, county or state law enforcement have been involved in searches or search refusals at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. Please limit your search of the above item(s) to the period from January 1, 2012 to December 31, 2013.		
14-00154-K	<p>Requesting the following records located at LANL: 7-4 (9-4) 319.1 Los Alamos, 5127/43 - 4/8/44 26... Six reports, 8/43 - 4/44, to Groves and Conant on purification and metallurgy activities by Charles Allen Thomas, research director of Monsanto. The last four are identified as Nos. 3-6. No. 7 is located in folder 15-6. 7-5 (9-5) 319.1 Travel Reports, 5/24/43 - 8/28/45 Listings prepared for General Groves of visitors to Los Alamos and travel away from Los Alamos by residents of the site, covering the period 3125/43 - 8/45. Lists generally include dates, purpose of travel or visit, and destination or point of origin... (SPECIFICALLY LOOKING FOR TRAVEL ACTIVITY OF CHARLES ALLEN THOMAS) 7-12 (10-3) 322 Monsanto, 10/5/43 - 1114/46 Correspondence concerning transmittal of Monsanto progress reports to Los Alamos, visits to Los Alamos, and possible hiring by Monsanto of Laboratory personnel in late 1945. Organization chart for Monsanto's Clinton Laboratories, 8/46... TRINITY DIRECTORS' OFFICE FILES 28 Records related to the TRINITY test. The emphasis in this folder is on radiation monitoring and travel and security arrangements... Other records include TRINITY Circulares 15, 16, 17, 21, and a correction to 19; a list of personnel who went to the site during July; instructions for observers (CHARLES ALLEN THOMAS) 10-2 (13-9) 400.1144 Historical, 5110/43 - 6119/45 A few records concerned with analysis of materials. A letter from Groves to Thomas (Monsanto), 7/29/43, assigns him to three problems: purification of the final product, production of polonium, and preparation of the hydride. 10-22 (15-6) 470.1 Plutonium, 2/3/43 - 12/28/46 Records concerned with the procurement, purification, physical properties, and handling of plutonium. Some documents concern work done by C. A. Thomas of Monsanto and James Franck and W. H. Zachariasen of the Metallurgical Laboratory. Included are a</p>	23	Send records and release recommendations to FOIA Team.



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	"Report of Committee on the Question of Neutrons Accompanying Alpha Decay," 9122/43, by Dodson and Segre; Thomas's Report No.7 to Groves and Conant [see folder 9-4 for reports No. 1-6]; a letter from Oppenheimer to Groves, 7/18/44, on the spontaneous fission of plutonium-240; and a letter from Thomas to Groves, 7/21/44, on the same subject. An exchange of letters between Groves and Bradbury, 12/46, concerns Groves's denial of a Laboratory request for plutonium with a low 240pU content on the grounds that the AEC will soon be taking responsibility for weapons testing. Throughout these documents the code name "410" is frequently used for 240pU. 11-1 (15-7) 470.1 240Pu, 6/21144 - 1114/46 Correspondence concerning the irradiation, analysis, and shipment of plutonium samples. Teletypes dated 711144 and 7114/44 discuss 240pU as a spontaneous fission. 37 Correspondence and other records related to the production, procurement, shipment, allocation, handling, and as say of polonium. A letter from Oppenheimer to Groves, 6118/43, states the laboratory's requirements for polonium. Included are records of a meeting, 10/20/43, with F. Paneth, a member of Halban's Montreal group, who considered the fore most authority on the extraction of polonium. Correspondence with C. A. Thomas.		
Request ID	Subject	Age (days)	Actions Needed
13-00139-K*	Requesting 1. The unclassified version of the security reviews, reports, correspondence, and emails regarding ny force-on-force activities at the Savannah River Site results that were conducted from January 2010 to the present. 2. All records pertaining to assessing secondary lifetimes of the enduring weapon stockpile.	285	Send records and release recommendations.
13-00210-H	Formally requesting the following documents and other relevant documents containing the following information: 1. The adverse impact analysis of the 2008 workforce restructuring at Lawrence	211	Send records and release recommendations. <i>Note: FOIA specialist out of town in training &amp; was unable to</i>



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	Livermore National Laboratory, submitted by Lawrence Livermore National Security, LLC (LLNS) to the Department of Energy (DOE) and/or its National Nuclear Security Administration (NNSA) in or about May 2008. 2. All attorney's fees and expenses paid by DOE and/or NNSA for the representation of LLNS in Andrews, et al. v. Lawrence Livermore National Security, LLC, Alameda County Superior Court Case No. RG09453596.		<i>confirm status of these records and/or recommendations.</i>
13-00214-E	***Review & Release to Requester Only*** Requester is requesting a copy of Case Number 108LL010 which was previously identified as pertaining to our FOIA Request 2009-000581, asking for all record concerning occupational exposures to beryllium at the Lawrence Livermore National Laboratory from 2000 until June 2, 2009.	90	Review records and send release recommendations.
14-00050-K	***This is a Tri-Valley Care's Request that is an update to a previously made request, 11-000389-J***Tri-Valley CAREs would like to formally request a copy of all documents, from June 27, 2011 to the present, that concern the use of plutonium, other fissile and/or fissionable materials at the National Ignition Facility (NIF) located at the Lawrence Livermore National Laboratory. 1 Any records/documents on the use of fissionable and fissile materials at the NIF are part of this request, including but is not limited to: • Status • Schedule for use of these materials in experiments • Scope and purpose of experiments using these materials • Planned use of fusion fuel with fissile or fissionable materials in the same experiment • Environmental questions and reviews • Technical issues • Equipment needs and issues, including but not limited to distinguishing between fission-generated and fusion-generated atoms • Cost questions and reviews 1 This request is an update to a previously made request, 11-389-J, which is currently the subject of litigation between Tri-Valley CAREs and NNSA. This request in no way interferes with that ongoing litigation or the open FOIA request, but is simply intended to obtain documents created since our previous request on the subject.	114	Send records and release recommendations.
14-00133-R	Requesting the back and forth memoranda which provide a view	36	Send records and release

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	of the intent of the parties re that Sandia Laboratory Federal Credit Union lease at the Livemore site, re on-site siting, the give and take which indicate why the facility was sited onsite and what benefits accrued to the AEC in enabling this lease of valuable government land to a credit union. This would include memoranda between different branches of the AEC, the Counsel's office, the Contracts Division, the Area Management, as well as correspondence with the Lab management and with the Credit Union management.		recommendations to FOIA Team.
14-00162-R	Requesting duplicate 2 videos from NNSA video collection: Completed Video projects at TA-39, film number or title: 92-035 Nuclear Detonations - various above ground shots non-sensitive unclassified. Film title: 19 - Nuclear Detonations at the Pacific Proving Ground and the Nevada Test Site - Compilation of numerous tests. Run time 45 minutes 1-5-98. I want to get a list (include caption, description, film number) of all EG&G digital format videos from LLNL EG&G video collection for example: LLNL - Video - 627152 Castle Bravo shot.	17	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
10-00093-J*	Requesting a full fiscal year 2009 Performance Evaluation Report for the contractors of the NNSA's Los Alamos National Laboratory (Los Alamos National Security), Sandia National Laboratory (Sandia Corp./Lockheed Martin Corp.) and Lawrence Livermore National Laboratory (Lawrence Livermore National Security). Please provide any info, emails, meeting records, other docs pertaining to a decision by NNSA Director of the Office of Acquisition and Supply Management David O. Boyd dated 10-19-2009 to not release PERs to the public.	1072	Awaiting review & release recommendations.
13-00192-R	Requesting an electronic copy of any and all documents that detail the total cost associated with the research and development, installation, maintenance, and operation of any long range	227	Send records and release recommendations to FOIA Team.

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	detection system installed on the Device Assembly Facility, the MDARS vehicles, as well as ACAV vehicles at the Nevada National Security Site. I'd also like to obtain any performance testing documentation on the above systems detailing overall effectiveness of the above systems.		
14-00052-R	Requesting , all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team.
14-00056-R	Requestor is requesting, Any DOE letters, memos, reports or other documents concerning the crash of and search for weapons involving the A B-52 bomber near Monticello, Utah Jan 19, 1961.	109	Send records and release recommendations to FOIA Team.
14-00070-H	Requesting, The following two films show as copies in LANL's holdings. They sent the following response when requesting a copy of the films: "Films with the acronym NVOO in the title were produced by the Department of Energy Nevada Operations Office, thus they are the responsive agency." FILM- NVOO-IA-1"Underground Nuclear Weapons Testing" FILM- NVOO-IA-1"U.S. DOE Testing Nuclear Weapons" I would like to request the two films above on DVD.	95	Send records and release recommendations to FOIA Team.
14-00093-H	Requestor is requesting, any information regarding any nuclear waste, accidents-either weapons tested, lost or stored by any US military. Branch- or by a nuclear power facility, in a 150 miles radius of San Antonio, Texas during the period 1944-2014. This is only for private use for health concerns.	69	Send records and release recommendations to FOIA Team.
14-00107-K*	Requesting records pertaining to any Pinnacle-NUCFLASH incidents which have occurred prior to February 2014. 1. Any records pertaining to Pinnacle-NUCFLASH incidents occurring before February 2014, including but not limited to a. Causes and immediate responses to the Pinnacle-NUCFLASH incidents, who made the immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Pinnacle-NUCFLASH incident occurred; c. Any	52	Send records and release recommendations to FOIA Team.

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	degradation of the safeguards against accidental launch during the Pinnacle-NUCFLASH incidents, if applicable; d. any post-incident inspections of armament systems or transportation systems and protocols which were aimed at preventing similar occurrences; e. Any physical and administrative measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated.		
14-00162-R*	Requesting duplicate 2 videos from NNSA video collection: Completed Video projects at TA-39, film number or title: 92-035 Nuclear Detonations - various above ground shots non-sensitive unclassified. Film title: 19 - Nuclear Detonations at the Pacific Proving Ground and the Nevada Test Site - Compilation of numerous tests. Run time 45 minutes 1-5-98. I want to get a list (include caption, description, film number) of all EG&G digital format videos from LLNL EG&G video collection for example: LLNL - Video - 627152 Castle Bravo shot.	17	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
14-00120-H	Requesting copies of the following information: 1) The Jan. 29, 2013, report by Parsons on the design failure of the Uranium Processing Facility project at the Y-12 National Security Complex. This report was the subject of a Sept. 15, 2013, article on The Knoxville News-Sentinel Web site. 2) The report titled 'Y-12 Uranium Processing Facility Space/Fit Issue Root Cause Analysis' that was conducted and completed in December 2012 by Bechtel and Babcock & Wilcox. This report was the subject of an Aug. 23, 2013, article on The Knoxville News-Sentinel Web site.	45	Send records and release recommendations to FOIA Team.
14-00137-K	Requesting a copy of any and all notations or other documents that were filed against me by either OJ Sheppard or Nancy Johnson. Also interested in gaining knowledge as to why I am on a restricted list at Y-12 in Oak Ridge, TN.	32	Send records and release recommendations to FOIA Team.
14-00139-R	Requesting information related to the recent discovery of	32	Send records and release

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<p>radioactively contaminated material in areas associated with the construction of a haul road and the re-routing of Bear Creek Road at the Y-12 nuclear weapons complex in Oak Ridge, TN, and other related information. Part 1. I first learned of the discovery of contaminants during construction of a haul road at the Y-12 nuclear weapons complex in preparation for construction of the Uranium Processing Facility from John Eschenberg in his public presentation at the Howard Baker Center for Public Policy at the University of Tennessee on February 27. Eschenberg described the discovery as a surprise. In subsequent reports in the Knoxville News-Sentinel Mr. Eschenberg dismissed the discovery as an inconsequential piece of concrete debris; later reports describe an apparent field of contaminated debris. In the Y12 Final Site-Wide Environmental Impact Statement, Appendix G, page 17, the Department of Energy/ National Nuclear Security Administration wrote: "All areas identified for excavation as part of the UPF project are undergoing characterization. This characterization utilizes a MARISSIM (Multi-Agency Radiation Survey and Site Investigation Manual) based sampling plan. Based on a review of historical land use for the samples from the Corridor and wetland development areas would be collected and evaluated to confirm the results of the land use review. Analysis of the soil samples would include cadmium, mercury, PCBs, cesium 137, thorium 232, uranium (total), uranium 235, and uranium 238. No contaminated soil is anticipated to be encountered at the Corridor or wetland development areas (B&amp;W 2010)." I am requesting any reports of the sampling from the Corridor and wetland development areas, including descriptions of the sampling process, the results of that pre-construction sampling, and any reports, memos, emails or other communications relating to the sampling and the findings. I am also requesting information regarding the discovery of contaminated materials along the haul road and Bear Creek Road corridor. Specifically, I am seeking information regarding the circumstances of the discovery and the extent of the contamination, a complete listing of material uncovered; the</p>		<p>recommendations to FOIA Team.</p>
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	<p>radiological signature including isotopic activity of each contaminated piece uncovered; the geographic location of the discovery; the approximate size of each piece, the depth at which they were discovered; relationship between the materials uncovered and any pre-disturbance sampling or lack thereof; any assessment of the likelihood of further, additional discoveries; memos, emails, meeting or phone conversation notes regarding the discovery of the contaminants or discussing mitigation of the contaminated sites; any plans regarding ongoing efforts to ascertain additional contamination areas, and any plan prepared or decision taken on the disposal of contaminated materials. With regard to the radioactively contaminated material, I would like to know the concentrations (in Bq/gram or picocuries/gram) of: 1. U-235 and U-234 2. Cesium-137 3. Strontium-90 4. Americium-241 5. Plutonium-239 6. Plutonium-238 7. Neptunium-237 8. Thorium-230 9. Thorium-232. I intend this request to be comprehensive, to cover any communications related to the discovery, removal and disposal of non-radioactive (including mercury) or radioactive contaminated materials associated with the haul road and the relocation of the Bear Creek Road corridor. ***Please see attachment***</p>		



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### NNSA Offices with FOIA Record Searches\* Pending > 20 Days

Request ID	Subject	Age (days)	Actions Needed
12-00197-H	Copy of peer review results conducted in late January 2012 on the Mixed Oxide Fuel Fabrication Facility project	499	Send records & release recommendations to FOIA Team; <i>APM estimated completion 6/30/2014.</i>
13-00066-J	Copy of the releasable portions of the following records: 1. The Contract awarded by the NNSA pursuant to RFP Number DE-SOL-0003767 related to electric supply services, transmission delivery services, and power system monitoring and control services for the NNSA Nevada National Security Site.	341	Send records & release recommendations to FOIA Team; <i>APM says documents need to be reconciled &amp; provided estimated completion 6/30/14.</i>
13-00069-J	Copy of Facility Support Services for NA-15, awarded to Chugach Government Services Inc. Current contract AC52-09NA28646.	333	Send records & release recommendations to FOIA Team; <i>APM says documents need to be reconciled &amp; estimated completion 6/30/2014.</i>
13-00074-H	Requesting data on the use of individual surety bonds on construction contracts, not including those for residential housing, completed directly with the Department of Energy in the last 20 years.	331	Send records & release recommendations to FOIA Team; <i>APM estimate completion 6/30/14.</i>
13-00092-H	Copies of (1) all contracts or agreements, including but not limited to any sub-contracts or subagreements, between the Department of Energy ("DOE") & third-party security vendors that provide security for all DOE computer networks ("third-party security vendors"), including but not limited to any networks that are accessible only to DOE employees and staff & any networks accessible to members of the public; (2) all records that constitute or concern DOE's policies, protocols, or guidance regarding the selection and/or creation of filters to block access to websites on any DOE computer network; (3) all records that constitute or concern DOE's policies, protocols, or guidance regarding the designation of websites to which filters blocking access are or have been applied, including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (4) all records that constitute or concern DOE's policies,	319	Send records & release recommendations to FOIA Team; <i>no estimated completion date.</i>

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	<p>protocols, or guidance regarding the review and/or removal of filters that are or have been applied to websites on any DOE computer network; (5) all records that concern or refer to websites to which filter(s) concerning political or activist groups are or have been applied on any DOE computer network; (6) all records regarding DOE's application and/or removal of filters of websites, including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (7) all records that constitute or memorialize communications among DOE and thrid-party security vendors regarding filters applied to websites on any DOE computer network, including but not limited to communications regarding implementation of DOE policies, protocols, or guidance concerning filter(s) of political or activist group websites; (8) all records that constitute or memorialize communications amoung DOE and third-party security vendors regarding removal of filter(s), including but not limited to filter(s) concerning political or activist groups, on any DOE computer network; (9) all records that constitute or memorialize communications between DOE and any DOE employee who has requested access to, and/or DOE review of, website(s) that are or have been filtered on any DOE computer network; (10) all records that constitute or memorialize communication between DOE and non-DOE individuals or groups requesting access to, and/or DOE review of, website(s) that are or have been filtered on any DOE computer network.</p>		
13-00101-H*	<p>Records on glovebox procurements under Prime Contract No. DE-AC02-99CH1088, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A complete copy of Prime Contract No. DE-AC02-99CH10888, including but not limited to any portion of MOX Services' proposal that is incorporated by reference into the Contract, and all modifications to the Contract. 2. A complete copy of the Independent Government Estimate of the cost to design and manufacture gloveboxes for the MOX Project. 3. A complete copy of the original drawings &amp; specifications for the gloveboxes for the MOX Project. 4. A complete copy of all revised drawings &amp; specifications for the gloveboxes for the MOX Project. 5. A complete copy of the subcontracts awarded by MOX Services for the fabrication or assembly</p>	316	<p>Send records &amp; release recommendations to FOIA Team.</p> <p><i>Note: on 3/7/2013 e-mailed narrowed scope to NA-20; e-mailed narrowed scope to APM on 4/5/13; e-mailed narrowed scope to SRSO 8/17/13; action removed from NA-20; APM says action "assigned to MOX."</i></p>

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	<p>of gloveboxes required for the MOX Project. 6. A complete copy of all invoices or applications for payment submitted by MOX Services to DOE in connection with its performance of work on the MOX Project. 7. All minutes of regular progress meetings between DOE &amp; MOX Services in connection with the performance of the MOX Project. 8. All meeting minutes, correspondence, and email communications relating to cost overruns, delays, or problems with the fabrication or assembly of gloveboxes for the MOX Project. 9. All proposed modifications, requests for equitable adjustment, claims, &amp; other communications reflecting MOX Services' effort to obtain additional compensation or extension of the delivery schedule for the gloveboxes on the MOX Project. This request includes all pass-through claims submitted by MOX Services on behalf of its glovebox subcontractors &amp; all requests for reimbursement of additional compensation that MOX Services paid to its glovebox subcontractors. 10. All termination settlement proposals &amp; other communications relating to MOX Services' termination for convenience of any MOX Services glovebox contractors. This request includes both pass-through termination settlement proposals submitted by MOX Services or MOX Services' requests for approval of termination settlement proposals. 11. All meeting minutes, correspondence, &amp; email communications reflecting or relating to requests by a MOX Services subcontractor for additional compensation or performance time for the fabrication or assembly of gloveboxes for the MOX Project.</p>		
13-00114-K	<p>Copies of the following records on DOE contract for the Mixed Oxide Fuel Fabrication Facility ("MOX Project") at the Savannah River Site Office in Aiken, South Carolina under Prime Contract No. DE-AC02-99CH10888, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A copy of all prior FOIA requests that requested records related to gloveboxes for Prime Contract No. DE-AC02-99CH10888. 2. A list, if any exists, of all records produced pursuant to the prior FOIA requests made that requested records related to gloveboxes for Prime Contract No. DE-AC02-99CH10888. 3. A copy of the bid tabulation sheets for MOX Services' procurement of the following gloveboxes, with names of the subcontractors redacted, indicating the prices</p>	298	Send records & release recommendations to FOIA Team.

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	submitted by each subcontractor: (a) RFTP#01086 (NDP/NDS/NXR Gloveboxes); (b) RFTP #01255 (NCR Glovebox); and (c) RFTP #01326 (PQE/LTP Glovebox).***Please Provide Docs. in Electronic Form***		
13-00123-R	Copy of the winning Preliminary Assessment, NPO-Y12 submitted to an Expression of Interest (EOI) approximately June of 2012. EOI was for the Notice of Opportunity Energy Savings Performance Contract (ESPC) project at Y-12, Oak Ridge, TN. The award of this Preliminary Assessment was approximately February, 2013.	294	Send records & release recommendations to FOIA Team; <i>APM estimated completion 6/30/2014.</i>
13-00125-K	Copies of: 1. Performance Evaluation Reports for past 5 years from all Savannah River Site prime contractor: - SRNS< SRR, Mox Fuel Services, SRS Site Security Contract, Savannah River Ecology, laboratory. 2. Internal Reviews of Site Security At SRS. 3. Telephone Contract with Verizon and associated documents. 4. Performance Evaluation report for past 5 years from NNSS and Award fees at NNSS.	294	Send records & release recommendations to FOIA Team.  <i>Note: APM staff says responsive information provided on 5/2/2013; neither APM nor GC have record of receipt of response so APM must provide records &amp; release recommendations.</i>
13-00245-E	Requestor is requesting soft copy of all contracts with SOW and any modifications, a list of all companies and subcontracts on the contract, and value of new contract (if available) awarded via solicitation DE-RP52-06NA26990. Three prime contracts are: Sealaska Environmental Services, LLC (DE-AM52-08NA28893), Randolph Construction Services, Inc. (DE-AM52-08NA28443), Ahtna, Inc. (DE-AM52-08NA28442).	176	Send records & release recommendations to FOIA Team.
14-00005-R	Centurion Research Solutions request (pdf soft copy if available) a copy of the following awarded via solicitation DE-RP52-08NA28016: Potomac Hudson-DE-AM52-09NA 28016, LATA -DE-AM52-09NA29162, JAD-DE-AM52-09NA29163, Gonzales-Stoller-DE-AM52-09NA29164. In addition, a copy of the following awarded via solicitation DE-RP52-08NA28021: SAIC-DE-AM52-09NA 28021, TetraTech-DE-AM52-09NA 29165, HDR. - DE-AM52-09NA 29166.	149	Send records & release recommendations to FOIA Team.  <i>APM says documents need to be reconciled; no estimated completion date.</i>
14-00013-R*	Requestor is requesting, MOX Fuel Fabrication Facility contract signed in 1999 by the U.S. Department of Energy (DOE). 2008 agreement (subcontract) between the U.S. Department of Energy (DOE) and Shaw AREVA MOX Services, LLC implementing construction of the Mixed Oxide (MOX) Fuel Fabrication Facility at the Savannah River Site,	143	Send records & release recommendations to FOIA Team.  <i>Note: APM says action "assigned to MOX."</i>

\*Denotes request sent to multiple offices for records searches.

	located in Aiken, South Carolina. Through this agreement. DOE exercised the construction option that was included in the MOX Fuel Fabrication Facility contract signed in 1999. The scope included the actual construction of the main MOX Facility and all support facilities; cold start-up of the MOX Plant; and continued support of Nuclear Regulatory Commission Licensing activities associated with the project.		
14-00038-R	Seeking the following documents: 1. A full and complete copy of the contracts awarded with the Contract Number assigned as DE-NA0001700, and all of the subject agreements related thereto and all exhibits, modifications, amendments and/ or supplements thereto (whether to the contract awardee or any other designee or subcontractor (or later substituted party) or otherwise). 2. All other contracts and agreements relating to the subject matter of Contract Number DE-NA0001700 between the awarded party and the Department of Energy, National Nuclear Security Administration (including without limitation the Office of Chief Information Officer (OCIO) of the Department of Energy, National Nuclear Security Administration) or any of its agents, officers, employees, representatives, subsidiaries, divisions, successors, parents or affiliates, including the United States of America or any agency, subdivision, department or sub entity thereof.	124	Send records & release recommendations to FOIA Team.  <i>Note: APM advised that estimated response exceeds cost to process &amp; said it's "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. Regardless of cost estimate APM may still need to provide records &amp; release recommendations.</i>
14-00052-R*	Requesting all award fee letters to Management & Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records & release recommendations to FOIA Team.  <i>Note: APM advises it does not have responsive records &amp; transferred to Site Offices. Received SFO response.</i>
14-00076-H	Copy of the following documents identified to DE-NA 0001632; 1) Contract Sections A-J 2) All attachments.	90	Send records & release recommendations to FOIA Team.
14-00081-H	Requesting draft solicitation or the current contract DE-AM52-09NA28558, Training and Logistics. The incumbent contractor is Innovative Technology Partnerships LLC.	84	Send records & release recommendations to FOIA Team.
14-00085-R*	***This request has also been assigned to EM and SROO*** Requesting Any "memorandum of understanding" or "memorandum	82	Send records & release recommendations to FOIA Team.



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	of agreement" or similar document(s) between DOE (any any entity of DOE) & any German governmental agency, including the federal government and any state government (including North Rhine Westphalia ) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. 2. Any contract(s) & attachment(s) to it between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state govern (including North Rhine-Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. This request includes any contract or other documents or attachments reflecting the amount of the contract, in US dollars or Euros. 3. DOE determination concerning DOE's requirements about preparation of legally required environmental documents under the National Environmental Policy Act (NEPA) This part of the request covers the determination that a full Environmental Impact Statement (EIS) with public participation will need to be prepared, as required by NEPA.		
14-00086-K*	Requesting, (1) The full Fiscal Year 2013 "Performance Evaluation Reports" (PERs) prepared by the Savannah River Operations Office on the performance of any site contractors, including Savannah River Nuclear Solutions. (2) This request applies to PERs prepared by or for the National Nuclear Security Administration for NNSA projects at SRS. Including construction and administration of the Mixed Oxide Fuel Fabrication (MOX), construction of the Waste Solidification Building and operation of the tritium program. (3) Any cover letters and fee determination letters associated with the FY 2013 PERs and any attachments to the PERs.	81	Send records & release recommendations to FOIA Team.  <i>Note: APM advised that this is "assigned to MOX."</i>
14-00096-R	Requesting, a copy of solicitations, contract award, statement of work (SOW) and contract modification for Contract DEAC5209NA28646.	68	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised "this is off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need to provide</i>



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			<i>responsive records &amp; release recommendations.</i>
14-00099-R	Requesting, the below listed documents be provided to ARServices. Amplifying data for this request included below. Contract # DENA0000905, Program Title Information Technology and Telecommunications Enterprize Support Services, Incumbent Contractor, Contract Expiration, September 2015. Documents Requested, Solicitation, Contract Award, Statement of Work (SOW)	62	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised that it is "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need responsive records &amp; release recommendations.</i>
14-00102-R	Requesting, a copy of last published CPAR for contract # DEAM5209NA28558. This is a contract between NNSA and Innovative Technology Partnership LLC.	62	Send records & release recommendations to FOIA Team.  <i>Note: APM provided cost estimate &amp; advised that it is "off CPD clock." FOIA specialist out of town at training this week &amp; was unable to confirm if this response is satisfactory. APM may still need responsive records &amp; release recommendations.</i>
14-00136-R	Requesting a copy of the following documents identified to DEDT0004215/National Nuclear Security Administration: 1) RFP 2) Statement of Work for Task Order Number: DEDT0004215.	33	Send records & release recommendations to FOIA Team.
14-00157-K	Requesting documents in reference to the following topic. Solicitation: DE-RP52-09NA28681.	19	Send records & release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
11-00307-H	Requesting a copy of reports of radiation-emissions data collected via the Comprehensive Nuclear Test Ban Treaty Organization's Network of air radionucleotide sensors, and provided to member states, including the U.S., under terms of the Comprehensive Nuclear Test Ban Treaty. Requesting reports of emissions from all	782	Send records & release recommendations to FOIA Team.

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	monitoring stations, including information on their locations, for dates beginning March 1, 2011 and continuing to the present. Requesting all future reports of said radiation emissions, until I request a cessation when the threat from the Fukushima Daiichi nuclear power plant is deemed to be over. Requesting the names of the civilian research entities with which you share this data.		
11-00417-K	<p>*****PLEASE SEE THE COMPLETE REQUEST ON THE ATTACHED ORIGINAL REQUEST---COMPLETE REQUEST DID NOT FIT HERE***** FOIA request relating to Pacific Northwest National Lab (PNL) records and Energy Northwest, Mixed Oxide reactor fuel (MOX). Requesting copies of all public records (including email, electronic, and paper records) relating to the following: 1. All records involving, or related to, correspondence between Pacific Northwest National Lab (PNL) and its staff or contractor(s) with Energy Northwest or any other entity (including, but not limited to Areva, GE, other USDOE Offices or contractors) regarding the potential for, or potential use of, Mixed Oxide (MOX) reactor fuel in the Columbia Generating Station(CGS) operated by Energy Northwest and fabrication of MOX fuel pins or pins for testing in CGS. a. This request includes, but is not limited to, all emails from, or to, the following individuals in relation to this topic: Cheryl Thomhill, Vic Parrish, Lisa Ferek, Joseph Parish, Jerry Lewis, Miguel Armenta, Sudesh Gambhir, Beverly Cadwell, Sara Leverage, Earl Saito, William Richmond, James Buelt, Gary Sevigny, Brady Hanson, Richard Clark, Dean Tousley, Carol Elliott, Sue King, Virginia Kay, Peter Newby, Zoe Castelum, Carolyn Matthews b. Please provide on an expedited basis the following identified emails relating to this subject and the individuals identified above from the following dates in 2009: 7-2; 7-28; 8-4, 8-13, 8-17, 8-18; 8-24; 9-4; 9-14; 10-1; 10-7; 10-22;11-18; 11-24; 12 14, 12-15,12 18, and, the following dates from 2010. 112, 114, 1-29. c. Please provide all other emails and correspondence from January 1, 2009 to the present regarding PNL or Energy Department involvement in the potential use of Mixed Oxide (MOX) or high Plutonium content regarding the potential for, or potential for, use of Mixed</p>	695	Send <i>release</i> recommendations to FOIA Team; need memo from Subject Matter Expert(s) identifying what item email(s) respond to and releasability of the responsive emails.

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	Oxide (MOX) reactor fuel in the Columbia Generating Station operated by Energy Northwest reactor fuel in the Columbia Generating Station operated by Energy Northwest. d. All analyses of costs, budget forecasts, proposed schedules, and reporting of costs incurred in studying or implementing proposals for use of MOX fuel in the Columbia Generating Station or projected for MOX fuel supply and fabrication (including those involving non-governmental entities or contractors). 2. Budgets, schedules (including proposals) and analyses of costs and impacts regarding shipping Plutonium I MOX fuel to Pacific Northwest National Lab (PNL) or any Hanford facility for fabrication or testing, including for use of MOX fuel in the Columbia Generating Station. a. This includes, but is not limited to, any presentations or planning for presentations (regardless of who made the presentation) regarding such proposals. 3. All correspondence with Energy Northwest (including records relating to meetings, phone calls or emails) or other records concerning requests for records made to Energy Northwest in regard to Energy Northwest's use of MOX fuel (including regarding any role of PNL and USDOE in providing fabrication or other services to Energy Northwest).		
12-00151-H	Requesting a copy of all documents including cables, emails and memos from 01 January 2005 through 31 December 2005 to, from or concerned in whole or in part with International Atomic Energy Agency (IAEA) head Mohammed ElBaradie (or el-Baradei). Please include all department offices concerned with nuclear proliferation and nonproliferation in your search.	532	Send records and release recommendations to FOIA Team.
12-00270-K	Requesting copies of any and all communications, emails, meeting documents or notes, phone records, presentations and other documents, between January 1, 2011 and February 29, 2012 regarding U.S. Nuclear cooperation or trade with Taiwan (also known as the Republic of China or "ROC"), including the issues of Taiwan's nuclear fuel, post-irradiation experiments, nuclear waste and/or spent-fuel repositories, uranium enrichment, or any matters related to renewing the U.S. Taiwan Section 123 agreement on nuclear cooperation which expires in 2014.	447	Send records and release recommendations to FOIA Team.

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	***Previously sent CON 12-00009-K with docs to review related to this request. This request has now been sent to us as a request for documents.***		
12-00308-H	Copies of any and all communications, emails, meetings, phone records, presentations, and other documents between January 1, 2009 and December 31, 2011 regarding any issue related to Mongolia and nuclear fuel, nuclear waste and/or spent fuel repositories. This request includes, but is not limited to, emails by and to DOE officials, including but not limited to Daniel Poneman, Pete Lyons, Shane Johnson, Edward McGinnis, Alan Brownstein, Jay Jones and Giulia Bisconti, and any official(s) representing the Mongolian Government.	420	Send records and release recommendations to FOIA Team; <i>estimated completion 5/30/2014.</i>
13-00144-SL	Requesting 1. Required annual Department of Energy report to Congress for 2013 as to the status of the Mixed Oxide Fuel Fabrication Facility at the Savannah River Site, as required by "SEC. 3182. DISPOSITION OF WEAPONS-USABLE PLUTONIUM AT SAVANNAH RIVER SITE" of the NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 2003; 2. All attachments to the report which may have been submitted in order to meet the provisions stipulated in Sec. 3182.	278	Send records and release recommendations to FOIA Team.
14-00002-H	Requesting, "a copy of the following documents be provided to me: the "Agreement between the Government of the United States of America and the Government of the Russian Federation on Cooperation in Nuclear-and Energy-Related Scientific Research and Development." According to a Department of Energy press release, the agreement was signed Sept. 16 in Vienna by Secretary Moniz and Rosatom's Sergey Kirienko. Portions of the agreement have been released, but not the full text. I am requesting the full text.	151	Send records and release recommendations to FOIA Team.
14-00018-H	Requesting records demonstrating the total number of 10 C.F.R. 810 deemed export licenses sought from the Department of Energy from January 1, 2003 to present. Specifically, please provide records detailing the number of deemed export licenses issued or approved, the number of license applications that were denied, & the identity or type of applicant. (i.e., Department of	140	Send records and release recommendations to FOIA Team.

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	Energy Laboratory, private company, ect.).		
14-00043-R	Requesting, German high-level waste management and possible import to the Savannah River Site: 1. Any documents, assessments or reports (and attachments) prepared by DOE's Office of Environmental Management, Savannah River Site or Savannah River National Laboratory concerning management and disposal of the AVR fuel. 2. Any documents, assessments or reports (and attachments) prepared by the above entities concerning the ability of the H-Canyon, including via modifications, to process the graphite AVR fuel. This request covers documents on the handling of any HEU removed from the fuel and management of resultant waste streams, including impacts to the SRS high-level waste system. 3. Any "trip reports" filed by DOE employees or contractors who traveled to the Juelich site.	119	Send records and release recommendations to FOIA Team.
14-00085-R*	***This request has also been assigned to EM and SROO*** Requestor is requesting, Any "memorandum of understanding" or "memorandum of agreement" or similar document(s) between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state government (including North Rhine Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. 2. Any contract(s) and attachment(s) to it between DOE (any any entity of DOE) and any German governmental agency, including the federal government and any state govern (including North Rhine-Westphalia) concerning any aspect of management, import or disposal of the AVR experimental reactor spent fuel. This request includes any contract or other documents or attachments reflecting the amount of the contract, in US dollars or Euros. 3. DOE determination concerning DOE's requirements about preparation of legally required environmental documents under the National Environmental Policy Act (NEPA) This part of the request covers the determination that a full Environmental Impact Statement (EIS) with public participation will need to be prepared, as required by NEPA.	82	Send records and release recommendations to FOIA Team.

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Request ID	Subject	Age (days)	Actions Needed
13-00171-J	Requesting travel documents for Ann Harrington, Deputy Administrator for Defense Nuclear Nonproliferation. Please submit: Ms. Harrington's travel schedule since October 1st, 2010, the cost of each flight take by Ms. Harrington since October 1st, 2010, and the travel budget Ms. Harrington is subject to for each fiscal year since October 1st, 2010.	244	Send records and release recommendations to FOIA Team.
13-00269-R	Requesting a copy of all correspondence between the DOD and the DOE regarding the DOD to allow \$5 billion dollars in expected funding to go to the DOE on the National Nuclear Security Administration and their projects circa 2010/2011. Please include all records, electronic or otherwise, that may pertain to communication between the DOD and DOE regarding these funds being transferred, which would include, all memos, letters, emails, reports, etc.	159	Send records and release recommendations to FOIA Team.
14-00052-R*	Requesting, all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team.
14-00116-K	Requesting documents in reference to the following topic: Emails/ and IMs from Robert Nassif, Howard Dickenson, Eileen Rountree-McLennan, William Lyn Stenseth that reference my name or my organization during the period of January 2012 through March 2014. All emails or IMs that makes reference to me or MB 61 from William Lynn Stenseth, Eileen Rountree-McLennan, Robert Nassif. For the period of January 2012 through March 2014. All emails of Robert Nassif, Howard Dickenson, Eileen Rountree-McLennan, or William (Bill) Stenseth including those that have been deleted with any content related to Pecolia Sharts-Meadows or any version	45	Send remaining records and release recommendations for to FOIA Team; <i>received Stenseth &amp; Roundtree-McLennan records 4/30/14.</i>



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	thereof, Pecolia Sharts, Pecolia Meadows, or Pecolia B. Sharts-Meadows during the period of January 2012 through March 2014.		
Request ID	Subject	Age (days)	Actions Needed
13-00103-E [Pending in OST]	Copy of electronically signed e-mail from Pastor Cameron Reeves. This email was sent to Jeremy Kuntz, Dan Johnson, Bobby Sanchez, Suzanne Garcia, Dr. Anthony Trayweek, and Michele Phipps among others. This statement contains allegations of some sort against me. The statement is date November 16, 2012. Jeremy Kuntz continued to conduct several phone conversations in an attempt to illicit a response from Pastor Reeves after November 16, 2012. I am requesting any and all emails or letters written to Dr. Anthony Trayweek from Mr. Jeremy Kuntz regarding the content of these conversations. Any further information written statements, e-mails, or documents in regard to the agents that approched Pastor Cameron Reeves in person and via telephone several times the week of November 11, 2012. One of the agents was Kevin Kerr, I want the doeuments reporting these contacts with Cameron Reeves to AOCC command. I want the e-mail and subsequent information there in provided to personnel security investigator Michelle Phipps from Dr. Anthony Trayweek. I would also like a copy of the internal affairs investigation that Jeffery Herroll ordered to be conducted in to wrongdoing at AOCC by investigator Jim Allen. This investigation was conducted on, or around Sept 12, 2011. Jim Allen will know what to give you.	313	Send records and release recommendations to FOIA Team.
14-00031-H	Requesting, The National Nuclear Security Administration April 2012 study for meeting plutonium needs of NNSA. The study was identified in the September 2013 Government Accountability Office (GAO) Report to the Committee on Armed Services, U.S. Senate GAO-13-522 Modernizing the Nuclear Security Enterprize-Observations on NNSA's Options for Meetings Its Plutonium	134	Send records and release recommendations to FOIA Team

\*Denotes request sent to multiple offices for records searches.

	Research needs.		
Request ID	Subject	Age (days)	Actions Needed
13-00102-J	Copy of the first 100 pages of any documents that pertain to websites that DOE employees (Specifically the NNSA) are not allowed to visit while using their U.S Government issued computers.	134	Send records and release recommendations to FOIA Team.
14-00152-H	Requesting copies of any and all the details of what specific information I supposedly shared, with whom, how that information was shared, when and how it was discovered, and what evidence there is that supports the findings. The more details I can get the better. I would also like to know if, as a result of this, I have been put on any sort of FBI, homeland security etc. list(s) such as "no fly".	23	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
14-00052-R*	Requesting, all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team; <i>FOIA specialist out of town in training &amp; unable to confirm if NA-SH still needs to respond.</i>
Request ID	Subject	Age (days)	Actions Needed
14-00091-R	Requesting, 1. Any records pertaining to Broken Arrow incidents occurring after September 19, 1980 Including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made the Immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c.	77	Send records and release recommendations to FOIA Team.

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	<p>Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated. 2. Any records pertaining to Broken Arrow incidents which had occurred earlier than September 19, 1980 and had been omitted or are otherwise missing from the Department of Defense document nt titled "Narrative Summaries of Accidents Involving U.S. Nuclear Weapons," including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made he immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c. Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated. 3. Any records pertaining to events which involved nuclear armaments, were first identified as something other than a Broken Arrow incident, and were then subsequently identified as a Broken Arrow incident, including but not limited to: a. Causes and immediate responses to the Broken Arrow incidents, who made immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Broken Arrow incident occurred; c. Any degradation of the safeguards against accidental launch during the Broken Arrow incident, if applicable; d. Any post-incident inspections of armament systems which were aimed at preventing similar occurrences; e. Any physical measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated.</p>		
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\*Denotes request sent to multiple offices for records searches.

Request ID	Subject	Age (days)	Actions Needed
14-00111-H	Requesting correspondence between United States Department of Energy, National Nuclear Security Administration Service Center and: The office of Congressman Paul Broun (R-GA, 2007-present), The office of Congressman Rodney Davis (R-IL, 2013-present), The office of Congressman John Delaney (D-MD, 2013-present), The office of Congressman Jeff Denham (R-CA, 2011-present), The office of Congressman Robert Dold (R-IL, 2011-2013), The office of Congressman Bill Enyart (D-IL, 2013-present), The office of Congressman Bill Foster (D-IL, 2008-2011 and 2013-present), The office of Congressman Phil Gingrey (R-GA, 2003-present), The office of Governor Nikki Haley (R-SC, 2011-present), The office of Congressman Jack Kingston (R-GA, 1993-present), The office of Senator Charles Patrick "Pat" Roberts (R-KS, 1997-present), The office of Congressman Brad Schneider (D-IL, 2013-present), The office of Congressman John Tierney (D-mA, 1997-present). ***Electronic Copy or fax 866-356-2010***	52	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
13-00101-H*	Records on glovebox procurements under Prime Contract No. DE-AC02-99CH1088, now held by Shaw AREVA MOX Services LLC ("MOX Services"): 1. A complete copy of Prime Contract No. DE-AC02-99CH10888, including but not limited to any portion of MOX Services' proposal that is incorporated by reference into the Contract, and all modifications to the Contract. 2. A complete copy of the Independent Government Estimate of the cost to design and manufacture gloveboxes for the MOX Project. 3. A complete copy of the original drawings & specifications for the gloveboxes	316	Send records & release recommendations to FOIA Team.  <i>Note: on 3/7/2013 e-mailed narrowed scope to NA-20; e-mailed narrowed scope to APM on 4/5/13; e-mailed narrowed scope to SRSO 8/17/13; action removed from NA-20 but remains on APM &amp; SRFO. APM says action "assigned to MOX."</i>

\*Denotes request sent to multiple offices for records searches.

	<p>for the MOX Project. 4. A complete copy of all revised drawings &amp; specifications for the gloveboxes for the MOX Project. 5. A complete copy of the subcontracts awarded by MOX Services for the fabrication or assembly of gloveboxes required for the MOX Project. 6. A complete copy of all invoices or applications for payment submitted by MOX Services to DOE in connection with its performance of work on the MOX Project. 7. All minutes of regular progress meetings between DOE &amp; MOX Services in connection with the performance of the MOX Project. 8. All meeting minutes, correspondence, and email communications relating to cost overruns, delays, or problems with the fabrication or assembly of gloveboxes for the MOX Project. 9. All proposed modifications, requests for equitable adjustment, claims, &amp; other communications reflecting MOX Services' effort to obtain additional compensation or extension of the delivery schedule for the gloveboxes on the MOX Project. This request includes all pass-through claims submitted by MOX Services on behalf of its glovebox subcontractors &amp; all requests for reimbursement of additional compensation that MOX Services paid to its glovebox subcontractors. 10. All termination settlement proposals &amp; other communications relating to MOX Services' termination for convenience of any MOX Services glovebox contractors. This request includes both pass-through termination settlement proposals submitted by MOX Services or MOX Services' requests for approval of termination settlement proposals. 11. All meeting minutes, correspondence, &amp; email communications reflecting or relating to requests by a MOX Services subcontractor for additional compensation or performance time for the fabrication or assembly of gloveboxes for the MOX Project.</p>		
13-00157-J	<p>Request any &amp; all agency records or other documents in its possession that concern, refer, reflect, or relate to: 1. Any records that concern, reflect, or relate to the Shaw-Areva Mox Services, LLC's (Shaw-Areva) subcontract with Oak Ridge Tool-Engineering (ORTE), including documents, notes, emails, letters, memoranda, communications, photographs and any other document or</p>	267	<p>Send records and release recommendations to FOIA Team.</p>

\*Denotes request sent to multiple offices for records searches.

	recording that pertain to the subcontracts, and/or TOR 001 and TOR 003. 2. ANY records that concern, reflect, or relate to any first- or second-tier subcontractor claims concerning Shaw-Areva for non-payment or claims of delay alleged against Shaw-Areva, including documents, notes, emails letter, memoranda, communicaitons, photographs and any other document or recording. 3. Any records that concern, reflect, or relate to the adequacy or deficiencies of Shaw-Areva Mox Services, LLC's (Shaw-Areva) plans, drawings, schematics, or design of its nuclear gloveboxes and subassemblies and/or the process units contemplated by TOR 001 and TOR 003 executed with ORTE.		
14-00013-R*	Requesting, MOX Fuel Fabrication Facility contract signed in 1999 by the U.S. Department of Energy (DOE). 2008 agreement (subcontract) between the U.S. Department of Energy (DOE) and Shaw AREVA MOX Services, LLC implementing construction of the Mixed Oxide (MOX) Fuel Fabrication Facility at the Savannah River Site, located in Aiken, South Carolina. Through this agreement. the DOE exercised the construction option that was included in the MOX Fuel Fabrication Facility contract signed in 1999. The scope included the actual construction of the main MOX Facility and all support facilities; cold start-up of the MOX Plant; and continued support of Nuclear Regulatory Commission Licensing activities associated with the project.	143	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
10-00093-J*	Requesting a full fiscal year 2009 Performance Evaluation Report for the contractors of the NNSA's Los Alamos National Laboratory (Los Alamos National Security), Sandia National Laboratory (Sandia Corp./Lockheed Martin Corp.) and Lawrence Livermore National Laboratory (Lawrence Livermore National Security). Please provide any info, emails, meeting records, other docs pertaining to a decision by NNSA Director of the Office of Acquisition and Supply	1072	Received final responses from LAFO, APM; <i>awaiting release recommendations on others.</i>



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	Management David O. Boyd dated 10-19-2009 to not release PERs to the public.		
13-00045-E	Requesting (1) All guidance issued by the Department of Energy and/ or any of its departments on the use of staf augmentation workers including memos, letters, and emails. (2) All documents, emails, communications, and informaiton related to the March 2000, Audit Report regarding Staff Augmentation Workers at Sandia National Laboratories (WR-B-00-04). **Requester amended this to add the date range of requested documents is January 1, 1984 to the present***	363	Send records and release recommendations to FOIA Team.
13-00253-H	Requesting, All documents concerning the Civil Action STC V. Intel pending in the District of New Mexico (1:10-cv-01077); all documents concerning Sandia's involment in the Civil Action STC v. Intel pending in the District of New Mexico (1:10-cv-01077).	174	Send records and release recommendations to FOIA Team.
13-00267-E	Requestor is requesting documents that have never been declassified before, on the following subjects: -Construction, building, or testing of Electronic Analog Computers and processors -Construction, building, or testing of Hybrid (Analog/Digital) Computer and processors. Date: 1948 to Present date. Location: Sandia National Laboratory, Preferred form or format: Electronic (pdf) or paper.	161	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
10-00251-H	Requesting: 1. Traffic studies – We request any & all traffic studies that have been done, or a description of those being done, related to the Chemistry and Metallurgy Research Replacement (CMRR) project, or the CMRR project in connection with other Pajarito corridor construction projects within the laboratory boundaries and outside the laboratory boundaries. If any traffic studies are not completed please provide a description of their scope and expected completion date. If any other traffic studies are planned, but not yet underway, please provide a list including their	961	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	<p>proposed scope and completion dates. Please provide any other studies related to the environmental impact of possible traffic changes due to the CMRR project or the CMRR project and other Pajarito construction corridor projects taken together. Also, please provide any studies regarding the temporary closure of Pajarito road. 2. Utilities – We request any &amp; all environmental impact studies, evaluations, reports, plans, and documents that have been done or written in relation to the CMRR project by itself or in connection with other Pajarito corridor construction projects regarding utility modifications of laboratory utilities including electricity, gas, sanitary, sewer, radioactive sewer, and any other utilities and their environmental impacts. 3. Parking &amp; truck inspection – We request any &amp; all evaluations, results, reports, studies, drawings, and documents that were generated during the site selection process for parking and truck inspection facilities. 4. Administrative record – We request the administrative record, in electronic form, for the final Environmental Impact Statement for the CMRR facility. 5. Institutional impacts – We request any &amp; all evaluations, reports and studies on the institutional impacts of CMRR construction by itself, or in connection with other Pajarito corridor construction projects, including, but not limited to: a) measures to house LANL workers; b) office space for displaced LANL workers; c) laboratory and other specialized facilities for displaced workers; d) costs; e) environmental and program impacts, including impacts on program deliverables; f) locations of backup facilities; and g) plans and studies for shuttle services. 6. TA-55 – We request any and all evaluations, reports and studies of the impacts on TA-55 programs and deliverables in relation to the CMRR project or in connection with other Pajarito corridor construction projects. 7. Transient workers – We request any and all evaluations, reports and studies in relation to the CMRR project by itself or in connection with other Pajarito corridor construction projects, of: a) economic and environmental impacts of housing for transient workers at LANS and other contractors and sub-contractors; b) how many transient employees are expected to be</p>		
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\*Denotes request sent to multiple offices for records searches.

	needed, when they will be needed, for what kinds of specific jobs, and how long they will be needed for each job; and c) evaluations of the existing housing market.		
13-00139-K*	Requester is requesting 1. The unclassified version of the security reviews, reports, correspondence, and emails regarding ny force-on-force activities at the Savannah River Site results that were conducted from January 2010 to the present. 2. All records pertaining to assessing secondary lifetimes of the enduring weapon stockpile.	285	Send records and release recommendations to the FOIA Team.
13-00249-R*	Requesting copies of any and all records, photos, etc. pertaining to nuclear weapon accidents/incidents covering the period of January 1, 1951 through December 31, 1955.	176	<i>Transmit any classified responsive records directly to HS-60; LANS estimated completion 5/23/2014; SNL transmitted classified responsive records directly to HS-60 in February 2014.</i>
14-00030-K	Requesting, recorded materials such as videos, software, source code, and media pertaining to MediaView (aka"Media View") developed by Richard L. Phillips at Los Alamos National Laboratory ("LANL") between 1989-1993, Media View is referenced in the following printed publications, & it is believed that Andrew White is someone still with LANL who may be knowledgeable about MediaView archival materials: * LA-UR 11-05798 (see also FOIA 06-103-P (record no. 1735)) * LA-UR 91-2045 * LA-CP 90-272 *A CD-ROM of materials including Media View was distributed at SIGGRAPH 1992 (copy of CD-Rom label attached as IMB_177.jpg) * A CD-Rom of materials from LANL last modified December 15, 1992 was located by participant from SIGGRAPH 1992(copy of README.TXT file from CD attached) ***PLEASE READ ATTACHMENT FOR FULL DESCRIPTION.	134	Send records and release recommendations to the FOIA Team.
14-00061-K	Requester is requesting, All documents, emails and data that Los Alamos National Laboratory (LANL) submitted to the Environmental Protection Agency (EPA) Region 6 regarding the Clean Air Act new/modified source review for the Zero Liquid Discharge Solar Evaporation Tanks (SET) at Technical Area 52, as well as the use of the Mechanical Evaporation System (MES). The evaporated systems are associated with operations at the	105	Send records and release recommendations to the FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	Technical Area 50 Radioactive Liquid Waste Treatment Facility. 2. All documents , emails and data that support LAN L's new/modified source determination that the evaporation systems emit below 0.1 millirem (mrem) of radiation to the environment as requested by 40 CFR 61, Subpart H.		
14-00097-K	Requestor is requesting, 01/2012 through 03/2013 Record of inspections for industrial hygiene and occupational safety and health at Los Alamos National Security.	63	Send records and release recommendations to the FOIA Team.
14-00100-K	Requestor is requesting, Criticality Safety Infractions Causal Analysis released by Los Alamos National Laboratory (LANL) this past month (Jan 2014) and mentioned in the Defense Nuclear Facilities Safety Board's letter here.	62	Send records and release recommendations to the FOIA Team.
14-00109-R	Requesting Ostic, J., et al., "Pit Manufacturing Program Execution Plan," Los Alamos National Laboratory, LA-CP-07-1186 (UCNI, Pre-Decisional Draft), April 2008. Cited in "Frequently Asked Questions (FAQ) on Pit Manufacturing Capacity," Brett Kniss & Drew Kornreich, Los Alamos National Laboratory, November, 2009.	52	Send records and release recommendations to the FOIA Team.
14-00140-K	Requesting a copy of the following video: 91-122 Nuclear Detonation in CONUS dated 11/15/1991 and video 91-110 Is Our Climate? Dated 11/19/1991.	32	Send records and release recommendations to the FOIA Team.
14-00149-H	Requesting the following: 1. The number of vehicles that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 2. The number of LANL employees that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 3. The disciplinary action taken against the employees that have refused searches at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. Please limit your search of the above item(s) to the period from January 1, 2013 to December 31, 2013. Part 2 of request, requesting a copy of the following: 1. The number of vehicles searched at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 2. The make and model of each vehicle searched at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. 3. The	27	Send records and release recommendations to the FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	number of times and the name of any city, county or state law enforcement have been involved in searches or search refusals at the East Jemez Road Vehicle Access Portal and the West Jemez Road Vehicle Access Portal. Please limit your search of the above item(s) to the period from January 1, 2012 to December 31, 2013.		
14-00154-K	Requesting the following records located at LANL: 7-4 (9-4) 319.1 Los Alamos, 5127/43 - 4/8/44 26... Six reports, 8/43 - 4/44, to Groves and Conant on purification and metallurgy activities by Charles Allen Thomas, research director of Monsanto. The last four are identified as Nos. 3-6. No.7 is located in folder 15-6. 7-5 (9-5) 319.1 Travel Reports, 5/24/43 - 8/28/45 Listings prepared for General Groves of visitors to Los Alamos and travel away from Los Alamos by residents of the site, covering the period 3125/43 - 8/45. Lists generally include dates, purpose of travel or visit, and destination or point of origin... (SPECIFICALLY LOOKING FOR TRAVEL ACTIVITY OF CHARLES ALLEN THOMAS) 7-12 (10-3) 322 Monsanto, 10/5/43 - 1114/46 Correspondence concerning transmittal of Monsanto progress reports to Los Alamos, visits to Los Alamos, and possible hiring by Monsanto of Laboratory personnel in late 1945. Organization chart for Monsanto's Clinton Laboratories, 8/46... TRINITY DIRECTORS' OFFICE FILES 28 Records related to the TRINITY test. The emphasis in this folder is on radiation monitoring and travel and security arrangements... Other records include TRINITY Circulares 15, 16, 17, 21, and a correction to 19; a list of personnel who went to the site during July; instructions for observers (CHARLES ALLEN THOMAS) 10-2 (13-9) 400.1144 Historical, 5110/43 - 6119/45 A few records concerned with analysis of materials. A letter from Groves to Thomas (Monsanto), 7/29/43, assigns him to three problems: purification of the final product, production of polonium, and preparation of the hydride. 10-22 (15-6) 470.1 Plutonium, 2/3/43 - 12/28/46 Records concerned with the procurement, purification, physical properties, and handling of plutonium. Some documents concern work done by C. A. Thomas of Monsanto and James Franck and W. H. Zachariasen of the Metallurgical Laboratory. Included are a	23	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	"Report of Committee on the Question of Neutrons Accompanying Alpha Decay," 9122/43, by Dodson and Segre; Thomas's Report No.7 to Groves and Conant [see folder 9-4 for reports No. 1-6]; a letter from Oppenheimer to Groves, 7/18/44, on the spontaneous fission of plutonium-240; and a letter from Thomas to Groves, 7/21/44, on the same subject. An exchange of letters between Groves and Bradbury, 12/46, concerns Groves's denial of a Laboratory request for plutonium with a low 240pU content on the grounds that the AEC will soon be taking responsibility for weapons testing. Throughout these documents the code name "410" is frequently used for 240pU. 11-1 (15-7) 470.1 240Pu, 6/21144 - 1114/46 Correspondence concerning the irradiation, analysis, and shipment of plutonium samples. Teletypes dated 7111144 and 7114/44 discuss 240pU as a spontaneous fission. 37 Correspondence and other records related to the production, procurement, shipment, allocation, handling, and as say of polonium. A letter from Oppenheimer to Groves, 6118/43, states the laboratory's requirements for polonium. Included are records of a meeting, 10/20/43, with F. Paneth, a member of Halban's Montreal group, who considered the fore most authority on the extraction of polonium. Correspondence with C. A. Thomas.		
Request ID	Subject	Age (days)	Actions Needed
13-00139-K*	Requesting 1. The unclassified version of the security reviews, reports, correspondence, and emails regarding ny force-on-force activities at the Savannah River Site results that were conducted from January 2010 to the present. 2. All records pertaining to assessing secondary lifetimes of the enduring weapon stockpile.	285	Send records and release recommendations.
13-00210-H	Formally requesting the following documents and other relevant documents containing the following information: 1. The adverse impact analysis of the 2008 workforce restructuring at Lawrence	211	Send records and release recommendations. <i>Note: FOIA specialist out of town in training &amp; was unable to</i>



\*Denotes request sent to multiple offices for records searches.

	Livermore National Laboratory, submitted by Lawrence Livermore National Security, LLC (LLNS) to the Department of Energy (DOE) and/or its National Nuclear Security Administration (NNSA) in or about May 2008. 2. All attorney's fees and expenses paid by DOE and/or NNSA for the representation of LLNS in Andrews, et al. v. Lawrence Livermore National Security, LLC, Alameda County Superior Court Case No. RG09453596.		<i>confirm status of these records and/or recommendations.</i>
13-00214-E	***Review & Release to Requester Only*** Requester is requesting a copy of Case Number 108LL010 which was previously identified as pertaining to our FOIA Request 2009-000581, asking for all record concerning occupational exposures to beryllium at the Lawrence Livermore National Laboratory from 2000 until June 2, 2009.	90	Review records and send release recommendations.
14-00050-K	***This is a Tri-Valley Care's Request that is an update to a previously made request, 11-000389-J***Tri-Valley CAREs would like to formally request a copy of all documents, from June 27, 2011 to the present, that concern the use of plutonium, other fissile and/or fissionable materials at the National Ignition Facility (NIF) located at the Lawrence Livermore National Laboratory. 1 Any records/documents on the use of fissionable and fissile materials at the NIF are part of this request, including but is not limited to: • Status • Schedule for use of these materials in experiments • Scope and purpose of experiments using these materials • Planned use of fusion fuel with fissile or fissionable materials in the sam experiment • Environmental questions and reviews • Technical issues • Equipment needs and issues, including bu not limited to distinguishing between fission-generated and fusion-generated atoms • Cost questions and reviews 1 This request is an update to a previously made request, 11-389-J, which is currently the subject of litigation between Tri-Valley CAREs and N NSA. This request in no way interferes with that ongoing litigation or the open FOIA request, but is simply intended to obtain documents created since our previous request on the subject.	114	Send records and release recommendations.
14-00133-R	Requesting the back and forth memoranda which provide a view	36	Send records and release

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	of the intent of the parties re that Sandia Laboratory Federal Credit Union lease at the Livemore site, re on-site siting, the give and take which indicate why the facility was sited onsite and what benefits accrued to the AEC in enabling this lease of valuable government land to a credit union. This would include memoranda between different branches of the AEC, the Counsel's office, the Contracts Division, the Area Management, as well as correspondence with the Lab management and with the Credit Union management.		recommendations to FOIA Team.
14-00162-R	Requesting duplicate 2 videos from NNSA video collection: Completed Video projects at TA-39, film number or title: 92-035 Nuclear Detonations - various above ground shots non-sensitive unclassified. Film title: 19 - Nuclear Detonations at the Pacific Proving Ground and the Nevada Test Site - Compilation of numerous tests. Run time 45 minutes 1-5-98. I want to get a list (include caption, description, film number) of all EG&G digital format videos from LLNL EG&G video collection for example: LLNL - Video - 627152 Castle Bravo shot.	17	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
10-00093-J*	Requesting a full fiscal year 2009 Performance Evaluation Report for the contractors of the NNSA's Los Alamos National Laboratory (Los Alamos National Security), Sandia National Laboratory (Sandia Corp./Lockheed Martin Corp.) and Lawrence Livermore National Laboratory (Lawrence Livermore National Security). Please provide any info, emails, meeting records, other docs pertaining to a decision by NNSA Director of the Office of Acquisition and Supply Management David O. Boyd dated 10-19-2009 to not release PERs to the public.	1072	Awaiting review & release recommendations.
13-00192-R	Requesting an electronic copy of any and all documents that detail the total cost associated with the research and development, installation, maintenance, and operation of any long range	227	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	detection system installed on the Device Assembly Facility, the MDARS vehicles, as well as ACAV vehicles at the Nevada National Security Site. I'd also like to obtain any performance testing documentation on the above systems detailing overall effectiveness of the above systems.		
14-00052-R	Requesting , all award fee letters to Management and Operations Contractors at Dept. of Energy facilities in which an award fee was reduced or eliminated as a result of a fatality or accident or safety infraction or concern since 2003.	111	Send records and release recommendations to FOIA Team.
14-00056-R	Requestor is requesting, Any DOE letters, memos, reports or other documents concerning the crash of and search for weapons involving the A B-52 bomber near Monticello, Utah Jan 19, 1961.	109	Send records and release recommendations to FOIA Team.
14-00070-H	Requesting, The following two films show as copies in LANL' s holdings. They sent the following response when requesting a copy of the films: "Films with the acronym NVOO in the title were produced by the Department of Energy Nevada Operations Office, thus they are the responsive agency." FILM- NVOO-IA-1"Underground Nuclear Weapons Testing" FILM- NVOO-IA-1"U.S. DOE Testing Nuclear Weapons" I would like to request the two films above on DVD.	95	Send records and release recommendations to FOIA Team.
14-00093-H	Requestor is requesting, any information regarding any nuclear waste, accidents-either weapons tested, lost or stored by any US military. Branch- or by a nuclear power facility, in a 150 miles radius of San Antonio, Texas during the period 1944-2014. This is only for private use for health concerns.	69	Send records and release recommendations to FOIA Team.
14-00107-K*	Requesting records records pertaining to any Pinnacle-NUCFLASH incidents which have occurred prior to February 2014. 1. Any records pertaining to Pinnacle-NUCFLASH incidents occurring before February 2014, including but not limited to a. Causes and immediate responses to the Pinnacle-NUCFLASH incidents, who made the immediate decisions, and who acted out the immediate actions; b. What the launch status of the nuclear armaments was when each Pinnacle-NUCFLASH incident occurred; c. Any	52	Send records and release recommendations to FOIA Team.

\*Denotes request sent to multiple offices for records searches.

	degradation of the safeguards against accidental launch during the Pinnacle-NUCFLASH incidents, if applicable; d. any post-incident inspections of armament systems or transportation systems and protocols which were aimed at preventing similar occurrences; e. Any physical and administrative measures taken to prevent similar occurrences; f. Any relevant protocols and rules which were in place at the time of the incident and which were violated.		
14-00162-R*	Requesting duplicate 2 videos from NNSA video collection: Completed Video projects at TA-39, film number or title: 92-035 Nuclear Detonations - various above ground shots non-sensitive unclassified. Film title: 19 - Nuclear Detonations at the Pacific Proving Ground and the Nevada Test Site - Compilation of numerous tests. Run time 45 minutes 1-5-98. I want to get a list (include caption, description, film number) of all EG&G digital format videos from LLNL EG&G video collection for example: LLNL - Video - 627152 Castle Bravo shot.	17	Send records and release recommendations to FOIA Team.
Request ID	Subject	Age (days)	Actions Needed
14-00120-H	Requesting copies of the following information: 1) The Jan. 29, 2013, report by Parsons on the design failure of the Uranium Processing Facility project at the Y-12 National Security Complex. This report was the subject of a Sept. 15, 2013, article on The Knoxville News-Sentinel Web site. 2) The report titled 'Y-12 Uranium Processing Facility Space/Fit Issue Root Cause Analysis' that was conducted and completed in December 2012 by Bechtel and Babcock & Wilcox. This report was the subject of an Aug. 23, 2013, article on The Knoxville News-Sentinel Web site.	45	Send records and release recommendations to FOIA Team.
14-00137-K	Requesting a copy of any and all notations or other documents that were filed against me by either OJ Sheppard or Nancy Johnson. Also interested in gaining knowledge as to why I am on a restricted list at Y-12 in Oak Ridge, TN.	32	Send records and release recommendations to FOIA Team.
14-00139-R	Requesting information related to the recent discovery of	32	Send records and release

\*Denotes request sent to multiple offices for records searches.

	<p>radioactively contaminated material in areas associated with the construction of a haul road and the re-routing of Bear Creek Road at the Y-12 nuclear weapons complex in Oak Ridge, TN, and other related information. Part 1. I first learned of the discovery of contaminants during construction of a haul road at the Y-12 nuclear weapons complex in preparation for construction of the Uranium Processing Facility from John Eschenberg in his public presentation at the Howard Baker Center for Public Policy at the University of Tennessee on February 27. Eschenberg described the discovery as a surprise. In subsequent reports in the Knoxville News-Sentinel Mr. Eschenberg dismissed the discovery as an inconsequential piece of concrete debris; later reports describe an apparent field of contaminated debris. In the Y12 Final Site-Wide Environmental Impact Statement, Appendix G, page 17, the Department of Energy/ National Nuclear Security Administration wrote: "All areas identified for excavation as part of the UPF project are undergoing characterization. This characterization utilizes a MARISSIM (Multi-Agency Radiation Survey and Site Investigation Manual) based sampling plan. Based on a review of historical land use for the samples from the Corridor and wetland development areas would be collected and evaluated to confirm the results of the land use review. Analysis of the soil samples would include cadmium, mercury, PCBs, cesium 137, thorium 232, uranium (total), uranium 235, and uranium 238. No contaminated soil is anticipated to be encountered at the Corridor or wetland development areas (B&amp;W 2010)." I am requesting any reports of the sampling from the Corridor and wetland development areas, including descriptions of the sampling process, the results of that pre-construction sampling, and any reports, memos, emails or other communications relating to the sampling and the findings. I am also requesting information regarding the discovery of contaminated materials along the haul road and Bear Creek Road corridor. Specifically, I am seeking information regarding the circumstances of the discovery and the extent of the contamination, a complete listing of material uncovered; the</p>		recommendations to FOIA Team.
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\*Denotes request sent to multiple offices for records searches.

	<p>radiological signature including isotopic activity of each contaminated piece uncovered; the geographic location of the discovery; the approximate size of each piece, the depth at which they were discovered; relationship between the materials uncovered and any pre-disturbance sampling or lack thereof; any assessment of the likelihood of further, additional discoveries; memos, emails, meeting or phone conversation notes regarding the discovery of the contaminants or discussing mitigation of the contaminated sites; any plans regarding ongoing efforts to ascertain additional contamination areas, and any plan prepared or decision taken on the disposal of contaminated materials. With regard to the radioactively contaminated material, I would like to know the concentrations (in Bq/gram or picocuries/gram) of: 1. U-235 and U-234 2. Cesium-137 3. Strontium-90 4. Americium-241 5. Plutonium-239 6. Plutonium-238 7. Neptunium-237 8. Thorium-230 9. Thorium-232. I intend this request to be comprehensive, to cover any communications related to the discovery, removal and disposal of non-radioactive (including mercury) or radioactive contaminated materials associated with the haul road and the relocation of the Bear Creek Road corridor. ***Please see attachment***</p>		



Rec'd  
7/30/07  
EDB

U.S. Department of Energy  
Freedom of Information Act Office  
Office of Public Affairs  
Attn: Carolyn Becknell, MS/A906  
Albuquerque Operations Office  
P.O. Box 5400  
Albuquerque, NM 87185-5400

**FOIA-07-187 P**

July 23, 2007

**FOIA REQUEST**

**Fee waiver requested**

Dear FOI Officer:

Pursuant to the federal Freedom of Information Act, 5 U.S.C. § 552, I request access to and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

I would like to receive the information in electronic format if possible.

Please waive any applicable fees. Release of the information is in the public interest because it will contribute significantly to public understanding of government operations and activities. I am a Ph.D. candidate at Harvard University writing a dissertation on the history of nuclear weapons secrecy in the United States. As numerous sources have credited nuclear secrecy as holding the origins of the modern security state, information as to how this came into being will be of interest both for historians as well as policymakers in thinking about the activities and history of the United States government. These records will aid in this goal. My dissertation will eventually published in book and article form(s) for public and scholarly consumption. Additionally any difficult or unique papers received will be put into a public archive (such as the National Security Archive at George Washington University) to be maximally accessible to the public.

If my request is denied in whole or part, I ask that you justify all deletions by reference to specific exemptions of the act. I will also expect you to release all segregable portions of otherwise exempt material. I, of course, reserve the right to appeal your decision to withhold any information or to deny a waiver of fees.

As I am making this request as a scholar and this information is of timely value, I would appreciate your communicating with me by telephone, rather than by mail, if you have questions regarding this request.

I look forward to your reply within 20 business days, as the statute requires.  
Thank you for your assistance.

Sincerely,



Alex Wellerstein  
Dept. of the History of Science  
Harvard University  
Science Center 371  
Cambridge, MA 02138  
617-501-0360  
wellerst@fas.harvard.edu



~~SECRET/RO~~

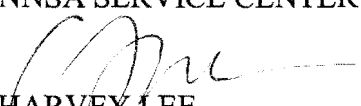
Department of Energy  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUN 29 2010

MEMORANDUM FOR: ANDREW WESTON-DAWKES  
DIRECTOR  
OFFICE OF CLASSIFICATION, HS-90

THRU: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM:   
HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

SUBJECT: FOIA REQUEST 07-187-P, Part 1

Enclosed are two copies of four bracketed documents from LLNL in response to the subject request. LLNL marked each "CONF-DOC-1" through "CONF-DOC-4" on the first page of the respective documents.

This office added brackets in CONF-DOC-1, and CONF-DOC-2. LSO brackets are annotated with "X3" and LLNL brackets are annotated with "EX 3." LSO's bracketing in CONF-DOC-2 is based upon generic statements in this and other responsive documents that ICF information is classified only if classified nuclear weapon information is revealed. Thus, the bracketed term in the Appendix of CONF-DOC-2 should be protected at SRD. Although CONF-DOC-2 was classified as Confidential Restricted Data, we did not upgrade it, pending review by your office.

Should you have any questions on this matter, please contact me at 925-423-8248.

~~Documents transmitted contains~~  
~~Restricted Data~~

When separated from enclosures  
handle this document as ~~Official Use Only~~

<p><del>OFFICIAL USE ONLY</del></p> <p>May be exempt from public release under the Freedom of Information Act (5 U.S.C. 552) exemption number and category: Ex. 2 Circumvention of Statute</p> <p>Department of Energy review required before public release</p> <p>Name/Org: Harvey Lee / NNSA LSO Date: 6/25/2010</p>
---

~~SECRET/RO~~

~~OFFICIAL USE ONLY~~

A. Weston-Dawkes

- 2 -

Enclosures:

1. LSO 10-003 "CONF-DOC-1" 16 pages (2 copies)
2. LSO 10-004 "CONF-DOC-2" 5 pages (2 copies)
3. LSO 10-006 "CONF-DOC-3" 3 pages (2 copies)
4. LSO 10-005 "CONF-DOC-4" 2 pages (2 copies)

cc w/out encl.: David Brown, LLNL  
Debbie Harkness, LSO

~~OFFICIAL USE ONLY~~



**SECRET / RD**


**Department of Energy**  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUL 21 2010

MEMORANDUM FOR: ANDREW WESTON-DAWKES  
DIRECTOR  
OFFICE OF CLASSIFICATION, HS-90

THRU: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM:   
HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

SUBJECT: MISSING PAGES FOR FOIA REQUEST 07-187-P Part 2

Enclosed are copies of the subject missing pages in response to the FOIA request. The pages in question belong to Document number 10 of the Part 2 transmittal package from LSO dated June 29, 2010 to your office. The missing pages are comprised of the 2<sup>nd</sup> page to LLNL document COVD-1368 and a five-page enclosure titled "Proposed Revision of Classification Bulletin WNP-48." As a general comment, some of the un-redacted sections, if not classified, may merit protection as Official Use Only.

Should you have any questions on this matter, please contact me at 925-423-8248.

Enclosures:

1. Unbracketed document (2 copies, 6 pages each)
2. Bracketed document (2 copies, 6 pages each)

cc: David Brown, LLNL (w/out encl.)  
Debbie Harkness, LSO (w/out encl.)

~~Document transmitted here with~~  
~~contains Restricted Data~~

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handle this document as **Unclassified**

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~~SECRET / RD~~

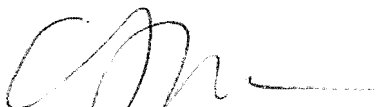
Department of Energy  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUN 29 2010

MEMORANDUM FOR: ANDREW WESTON-DAWKES  
DIRECTOR  
OFFICE OF CLASSIFICATION, HS-90

THRU: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM:   
HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

SUBJECT: FOIA REQUEST 07-187-P Part 2

Enclosed are copies of thirty-eight documents from LLNL in response to the subject request. LLNL annotated some of their brackets with "EX 3" and left others without annotation. Also enclosed are related LLNL classification review notes, AEC bulletins, and an outline of LSO comments (Enclosure 9) resulting from a thirteen document sample review of the thirty-eight document collection. Additional brackets recommended by LSO are annotated with "X3."

Should you have any questions on this matter, please contact me at 925-423-8248.

cc: David Brown, LLNL (w/ encl. 9 only)  
Debbie Harkness, LSO (w/out encl.)

~~Document transmitted here with~~  
~~contains Restricted Data~~

When separated from enclosures  
handle this document as **Unclassified**

~~SECRET / RD~~

# Unclassified

A. Weston-Dawkes

- 2 -

## Enclosures:

1. FOIA No. 07-187-P "SRD Documents" List, 3 pages - one each to DOE OC and NNSA SC
2. LSO 10-206 Copy 1 - 38 SRD bracketed documents (105 pages) to DOE OC
3. LSO 10-206 Copy 2 - 38 SRD bracketed documents (105 pages) to NNSA SC *Part 11 #2, BY-71-38*
4. LSO 10-206 Copy 3 - 38 SRD clean copy (105 pages) to NNSA SC
5. LSO 10-0201 Copy 1B - LLNL "FOIA 07-187-P Summary (U)" (4 pages) to DOE OC
6. LSO 10-0201 Copy 1C - LLNL "FOIA 07-187-P Summary (U)" (4 pages) to NNSA SC
7. LSO 10-0203 Copy 1 - "3 AEC Documents for FOIA 07-187-P (U)" (29 pages) to DOE OC
  - incl. title page, WNP-35, WNP-36, WNP-45, and LLNL Laser Program Declassification Procedures)
8. LSO 10-0203 Copy 2 - "3 AEC Documents for FOIA 07-187-P (U)" (29 pages) to NNSA SC
  - incl. title page, WNP-35, WNP-36, WNP-45, and LLNL Laser Program Declassification Procedures)
9. "LSO Comments (U)" (1 page) *(2 copies)*

Unclassified



**OpenNet Requirements**

All documents declassified and publicly releasable on or after October 1, 1994, must be announced on the Department of Energy (DOE) OpenNet database. Electronic format is the preferred method for submitting declassified documents to OpenNet. Please provide an electronic copy of the document via email to [opennet@osti.gov](mailto:opennet@osti.gov). In the transmittal of the document, you may provide an electronic file (PDF) copy of the document or you may provide the URL or location for your site-maintained electronic copy of the document. Also, please indicate the Declassification Status (declassified or sanitized) and Declassification Date of the document.

Please contact Mr. Barry Steele at (865) 576-9372 on questions concerning submission of declassified documents to OpenNet. Additional information regarding OpenNet may be obtained from Mr. Richard J. Lyons at (301) 903-6936.



~~SECRET~~  
**Department of Energy**  
Washington, DC 20585

November 14, 2011

MEMORANDUM FOR CHRISTINA H. HAMBLER  
INFORMATION PROGRAMS SPECIALIST  
OFFICE OF THE GENERAL COUNSEL  
NATIONAL NUCLEAR SECURITY ADMINISTRATION  
SERVICE CENTER

FROM: MICHAEL L. KOLBAY  
TEAM LEADER  
STATUTORY REVIEWS PROGRAM  
OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT: Response to Review Request (20100004434-SF)

This responds to your request (FOIA 07-187-P-H) dated September 1, 2010.

This is a:

- ☒ Complete Response  
☐ Partial Response  
☐ Final Partial Response

Findings/Instructions:

- ☒ We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 1-55 (see stamp(s)).
- ☐ **Special considerations apply to this request. See important details at attachment(s) \_\_\_\_.**
- ☒ Note that we upgraded the classification level of some material to **Secret**.
- ☒ Note that we changed the category of some material to **National Security Information**.
- ☐ The document(s) at attachment(s) \_\_\_\_ is/are denied in its/their entirety.



XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 1-37 prior to release.

XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory Review (Executive Order 13526) exemptions.

— We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.

— The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.

XX The document(s) at attachment(s) 38-50 is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.

XX We declassified the document(s) at attachment(s) 51-55.

— Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.

XX We defer to your office regarding the determination of Official Use Only (OUO) information.

XX Details and appeal procedures for the requester are at attachment 56.

XX OpenNet Requirements are at attachment 57.

XX You are also reminded that if you determine any information must be withheld as OUO, your denial letter to the requester must be coordinated with your General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)  
(See listing next page)

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11720811002**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Minutes/Transcripts FULL TRANSCRIPT OF DR. EDWARD TELLER DISCUSSION ON ICF CLASSIFICATION POLICY AND U.S. CLASSIFICATION POLICY IN GENERAL, DURING NOVEMBER 3-4, 1988, TEP MEETING AT SNLA W/ATTACHED LETTER TO SIEBERT FROM SMITH Author: DOE	D00021618	11/03/1988	S RD
Document Type: Letter LETTER TO ROBERT T. DUFF, OFFICE OF CLASSIFICATION (DP-32), DOE, FROM ROY D. WOODRUFF, LLNL, DOE, W/ATTACHED APPENDIX & DOE FAX COVER SHEET (DATED SAME) Author: WOODRUFF	D00021619	12/17/1982	S RD
Document Type: Letter LETTER TO DAVID A. WILSON, UNIVERSITY OF CALIFORNIA (UC), FROM ROGER E. BATZEL W/ATTACHED LETTERS DATED 08/01/80 Author: BATZEL	D00021620	08/13/1980	C RD
Document Type: Memo MEMO TO R. E. BATZEL FROM J. L. EMMETT, UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY (UCLLL), RE: ICF CLASSIFICATION POLICY Author: EMMETT	D00021621	01/14/1980	C RD
Document Type: Paper DRAFT - PROPOSED DECLASSIFICATION GUIDE FOR INERTIAL CONFINEMENT FUSION (PURPOSE, DETAILS & REMARKS) Author: TELLER	D00021622		S RD
Document Type: Memo DRAFT - LASER FUSION CLASSIFICATION POLICY Author: TELLER	D00021623	10/11/1971	S RD
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY (UCLRL) Author: ROSENGREN	D00021624	07/29/1971	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY (UCLRL), RE: MSG NR S-387; CITE: COVD-1155 Author: ROSENGREN	D00021625	07/01/1971	S RD
Document Type: Memo INTERIM REPORT OF SEITZ LASER PANEL Author: NUCKOLLS	D00021626	03/24/1971	S RD
Document Type: Memo POSSIBLE LETTER ON LASER-FUSION CLASSIFICATION W/INCLUSIVE LETTER FROM MAY TO MARSHALL Author: ROSENGREN	D00021627	04/28/1971	S RD
Document Type: Memo SUGGESTED CLASSIFICATION FOR LASERS Author: BIEHL	D00021628	01/26/1971	S RD

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11720811002**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Memo WPN-45 MODIFICATIONS Author: NUCKOLLS	D00021630	09/05/1972	S RD
Document Type: Memo REVISION OF LASER/FUSION CLASSIFICATION BULLETIN W/ATTACHED PAPER - ROSENGREN PROPOSAL - PROPOSED REVISION OF CLASSIFICATION BULLETIN WNP-45 (DATED SAME) Author: ROSENGREN	D00021631	08/23/1972	S RD
Document Type: Telegram/TWX/Message/Cable ERDA OUTGOING TELECOMMUNICATION MESSAGE FROM WILLIAM A. LOKKE, LLNL, TO RICHARD CLAASSEN, SNLA, RE: COMMENTS ON DRAFT ICF POLICY Author: LOKKE	D00021632	02/24/1982	S RD
Document Type: Letter LETTER TO RICHARD S. CLAASSEN, SNLA, FROM JACK W. ROSENGREN, R&D ASSOCIATES, W/ATTACHED PAPER - DRAFT CHANGES - TEP ICF CLASSIFICATION POLICY (SAME AUTHOR/SAME DATE) Author: ROSENGREN	D00021721	02/18/1982	S RD
Document Type: Memo DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM Author: KIDDER	D00021722	11/01/1979	S RD
Document Type: Memo LASER FUSION DECLASSIFICATION Author: GRAYSON	D00021723	07/02/1975	S RD
Document Type: Memo LISTING OF SOME EVENTS IN LASER-FUSION CLASSIFICATION Author: ROSENGREN	D00021724	08/09/1972	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO J. CARSON MARK, LASL, FROM JACK W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-352; CITE: COVD-1358 Author: ROSENGREN	D00021725	07/27/1972	S RD WD
Document Type: Memo TAMPERS AND LASER-FUSION PELLETS Author: WOOD	D00021726	06/30/1972	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM J. W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-021; CITE: COVD-1287 Author: ROSENGREN	D00021727	01/18/1972	S RD CNWDI WD
Document Type: Letter FURTHER THOUGHTS ON LASER/PELLET CLASSIFICATION Author: ROSENGREN	D00021729	06/15/1970	S RD

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11720811002**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter LETTER TO JACK (JACK ROSENGREN) FROM C. L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, W/ATTACHED CLASSIFICATION BULLETIN WNP-28 Author: MARSHALL	D00021730	02/02/1970	S RD
Document Type: Memo LASER CLASSIFICATION Author: HAUSSMANN	D00021731	12/01/1969	S RD
Document Type: Telegram/TWX/Message/Cable AEC OUTGOING TELECOMMUNICATION MESSAGE TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, AEC, RE: REVIEW OF HERMES II ARTICLE Author: ROSENGREN	D00021733	06/13/1968	S RD
Document Type: Letter LETTER TO JAMES H. MCNALLY, LASER AND ISOTOPE SEPARATION TECHNOLOGY, ERDA, & JOHN A. GRIFFIN, DIVISION OF CLASSIFICATION, ERDA, FROM CARL HAUSSMANN, LLL (LAWRENCE LIVERMORE LABORATORY) Author: HAUSSMANN	D00021736	03/26/1975	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO PAUL R. VANSTRUM, OR FROM JOHN A. GRIFFIN, ERDA Author: GRIFFIN	D00021737	04/24/1975	S RD WD
Document Type: Memo CARSON MARKS MODEST PROPOSAL (T-1787, JULY 26, 1974) Author: GRAYSON	D00021738	08/29/1974	S RD
Document Type: Memo UCRL 74117, UCRL 74191 - DRAFTS Author: GRAYSON	D00021739	11/08/1972	S RD
Document Type: Telegram/TWX/Message/Cable AEC OUTGOING TELECOMMUNICATION MESSAGE TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, AEC, RE: COVD- 1389 Author: ROSENGREN	D00021740	10/20/1972	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-470; CITE: COVD-1388 Author: ROSENGREN	D00021741	10/19/1972	S RD WD
Document Type: Memo COMMENTS ON PROPOSED LASER-FUSION CLASSIFICATION GUIDE, DRAFT OF DECEMBER 14, 1971 Author: ROSENGREN	D00021742	12/27/1971	S RD



**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11720811002**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM A. C. HAUSSMANN, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) W/INCLUSIVE PAPER - PROPOSED CHANGES TO LASER-FUSION CLASSIFICATION Author: HAUSSMANN	D00021743	11/16/1971	S RD
Document Type: Memo REVIEW OF CLASSIFICATION GUIDANCE FOR LASER-INDUCED TN EXPLOSIONS Author: ROSENGREN	D00021744	12/04/1970	S RD
Document Type: Letter LETTER TO ROLAND A. ANDERSON, ASSISTANT GENERAL COUNSEL FOR PATENTS, AEC, FROM JACK W. ROSENGREN, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: ROSENGREN	D00021745	07/06/1970	S RD
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM ROGER E. BATZEL, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: BATZEL	D00021746	12/27/1971	S RD
Document Type: Memo LASER-FUSION DECLASSIFICATION W/INCLUSIVE ARTICLE Author: NUCKOLLS	D00021747	01/05/1972	S RD
Document Type: Letter LETTER TO ROBERT DUFF, OFFICE OF CLASSIFICATION, DOE, FROM ROGER BATZEL, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) Author: BATZEL	D00021748	11/02/1979	U
Document Type: Memo QUANTIFYING TN DECLASSIFICATION ISSUES Author: LOKKE	D00021749		U
Document Type: Letter LETTER TO DUANE C. SEWELL, DP, DOE, FROM ROGER E. BATZEL, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) W/ATTACHED LETTER TO BATZEL FROM SEWELL Author: BATZEL	D00021750	02/12/1980	U
Document Type: Report PROPOSED LIMITATIONS ON SECRECY IMPOSED ON SCIENTIFIC AND TECNICAL INFORMATION Author: TELLER	D00021751		U
Document Type: Memo DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM Author: KIDDER	D00021752	11/01/1979	U

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11720811002**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Memo DECLASSIFICATION OF INERTIAL CONFINEMENT FUSION (ICF) W/ATTACHED MEMO TO KIDDER FROM BATZEL Author: KIDDER	D00021753	10/25/1979	U
Document Type: Letter LETTER TO ROBERT T. DUFF, OFFICE OF CLASSIFICATION, DOE, FROM ROGER E. BATZEL, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) W/ATTACHED LLNL DRAFT - INERTIAL CONFINEMENT FUSION CLASSIFICATION POLICY Author: BATZEL	D00021754	11/04/1980	U
Document Type: Letter LETTER TO DAVID S. SAXON, UNIVERSITY OF CALIFORNIA (UC), FROM DUANE C. SEWELL, DP, DOE Author: SEWELL	D00021755	10/15/1980	U
Document Type: Report EXCERPT - ENERGY COMMUNICATIONS - PERSPECTIVES AND SOME RECENT DEVELOPMENTS CONCERNING DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM (PP. 223-230) Author: HARRACH	D00021756		U
Document Type: Letter LETTER TO PAUL N. MCCLOSKEY, JR., U.S. HOUSE OF REPRESENTATIVES, CONGRESS, FROM RAY E. KIDDER, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) Author: KIDDER	D00021757	09/26/1980	U
Document Type: Letter LETTER TO RICHARD SCHRIEVER, OFFICE OF INERTIAL FUSION, DOE, FROM ALEXANDER, J. GLASS, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) Author: GLASS	D00021758	03/17/1980	U
Document Type: Report PROSPECTS FOR INERTIAL CONFINEMENT FUSION Author: TELLER	D00021759		U
Document Type: Letter LETTER TO DUANE SEWELL, DP, DOE, FROM DAVID S. SAXON, UNIVERSITY OF CALIFORNIA (UC) Author: SAXON	D00021760	09/09/1980	U
Document Type: Memo LASER FUSION CLASSIFICATION Author: EMMETT	D00021629	09/06/1972	U
Document Type: Letter STILL FURTHER THOUGHTS ON LASER/PELLET CLASSIFICATION Author: ROSENGREN	D00021728	06/22/1970	U

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11720811002**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter LETTER TO C. L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM RAY E. KIDDER, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: KIDDER	D00021732		U
Document Type: Telegram/TWX/Message/Cable U.S. DEPARTMENT OF ENERGY TELECOMMUNICATION MESSAGE TO RICHARD CLAASEN, SNLA, ET. AL, FROM HARLOW G. AHLSTROM, LLL, RE: COMMENTS ON TEP DRAFT CODE CLASSIFICATION POLICY Author: AHLSTROM	D00021734	03/16/1982	U
Document Type: Telegram/TWX/Message/Cable U.S. DEPARTMENT OF ENERGY TELECOMMUNICATION MESSAGE TO RICHARD CLAASEN, SNLA, ET. AL, FROM HAL AHLSTROM, LLL, RE: COMMENTS ON TEP DRAFT CODE CLASSIFICATION POLICY Author: AHLSTROM	D00021735	03/01/1982	U
Document Type: Letter ATTACHMENT 56 TO COMPLETE RESPONSE TO CHRISTINA HAMBLIN, NNSA-SERVICE CENTER - FOIA INFORMATION FOR REQUESTER	C00019657		U

**U.S. DEPARTMENT OF ENERGY  
CLASSIFIED DOCUMENT RECEIPT**

<b>TO</b> National Nuclear Security Administration Service Center Attn: Mail Center, Carolyn Becknell Pennsylvania & "H" Street Albuquerque, NM 87116	<b>POSTAL NUMBER</b> Federal Express LSO-10-0324  <b>DATE MAILED</b> 08/04/2010  <i>Tracking No. 793792480586</i>
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<b>FROM</b> U.S. DOE, National Nuclear Security Administration Livermore Site Office ATTN: Safeguards and Security Division Lee McLemore P.O. BOX 1111 LIVERMORE, CA 94551-1111	<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject, or title and originator. List Secret and accountable Confidential enclosures. Indicate type of document e.g. Ltr., Rpt., or Dwg. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.
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DESCRIPTION OF DOCUMENT (SUBJECT OR TITLE AND ORIGINATOR)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (R/D, F/RD, NSI)	NUMBER OF PAGES
"Missing pages f or FOIA Request 07-187-P Part 2" (U) Memorandum through Carolyn Becknell for Andrew Weston-Dawkes from Harvey Lee	FOIA No 07-187-P Part 2	7/21/2010	S/RD	1
Enclosure 1: "Proposed Revision of Classification Bulletin WNP-45" (U) "Unbracketed document" (U) (2 copies)	COVD-1368	8/23/1972	S/RD	2x6
Enclosure 2: "Proposed Revision of Classification Bulletin WNP-45" (U) "Bracketed document" (U) (2 copies)	COVD-1369	8/23/1972	S/RD	2x6
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX -----Nothing Follows----- XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXX	XXXXXXX X XXXXXXX X	XXXXXXXXXX XXXXXXXXXX X X	XXXXXX XXXXXX X X
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Signature of addressee or name of addressee and signature of recipient.		Date
Received for addressee by		Date
(to be used by mail rooms)		

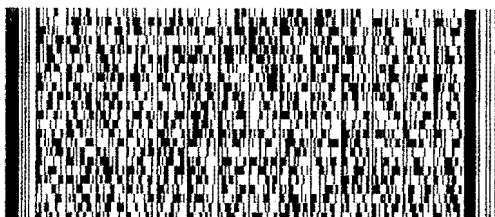
From: Origin ID: LVKA (925) 424-2791  
 Lee McLeMore  
 DOE NNSA Livermore Site Office  
 DOE NNSA Livermore Site Office  
 7000 East Avenue, L-293  
 Livermore, CA 94550



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 Albuquerque, NM 87116



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 CAD: 100639576/INET3060

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TRK# 7937 9248 0586  
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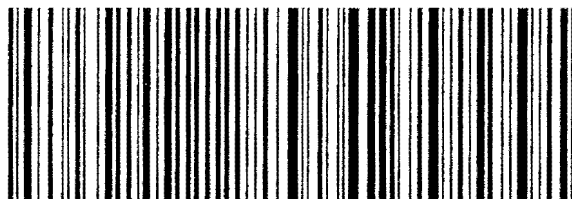
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Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on [fedex.com](http://fedex.com). FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

**U.S. DEPARTMENT OF ENERGY  
CLASSIFIED DOCUMENT RECEIPT**

FOIA 07-187-**PH**  
**PART I**

**TO** National Nuclear Security Administration  
Service Center  
Attn: Mail Center, Carolyn Becknell  
Pennsylvania & "H" Street  
Albuquerque, NM 87116

*To HS-90 on Aug 26*

**POSTAL NUMBER** LSO-10-0292  
**DATE MAILED** 06/30/2010  
**7988 1105 7062**

**FROM** U.S. DOE, National Nuclear Security Administration  
Livermore Site Office  
ATTN: Safeguards and Security Division  
Lee McLemore  
P.O. BOX 1111  
LIVERMORE, CA 94551-1111

- INSTRUCTIONS**
1. Verify addressee's classified mailing address.
  2. Describe document by subject, or title and originator. List Secret and accountable Confidential enclosures. Indicate type of document e.g. Ltr., Rpt., or Dwg.
  3. Show classification and extra markings.
  4. Forward original and duplicate to addressee.
  5. Retain copy pending return of signed original by addressee.

DESCRIPTION OF DOCUMENT (SUBJECT OR TITLE AND ORIGINATOR)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (R/D, F/RD, NSI)	NUMBER OF PAGES
"FOIA REQUEST 07-187-P Part 1" (U) Memorandum through Carolyn Becknell for Andrew Weston-Dawkes from Harvey Lee	FOIA No 07-187-P	6/29/2010	S/RD	2
"LSO10-003 CONF-DOC-1" (U)	LSO-10-0003	11/3 - 4/1988	Confidential	16x2
"LSO10-004 CONF-DOC-2" (U)	LSO-10-0004	6/29/2010	S/RD	5x2
"LSO10-006 CONF-DOC-3" (U)	LSO-10-0006	8/13/1980	Confidential/ RD	3x2
"LSO10-005 CONF-DOC-4" (U)	LSO-10-0005	1/14/1980	Confidential	2x2
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recipient.

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*James Macomard*  
(to be used by mail rooms)

Date

Date

7-1-2010



FOIA No. 07-187-P SRD Documents

	Document Type	From	To	Date	Subject/Title	File No.	No. Pages
1	Document			12/6/78	Proposed Declassification Guide for Inertial Confinement Fusion	PHYS-78-4	3 ✓
2	Document			6/14/84	Laser Fusion Classification Policy	BY-71-38	2 ✓
3	Document			7/29/71	Letter to Charles L. Marshall from Jack W. Rosengren	COVD-1180	1 ✓
4	Document			7/1/71	TWX from Jack W. Rosengren to Charles L. Marshall	COVD-1155	2 ✓
5	Document			3/24/71	Interim Report of Seitz Laser Panel	COPDE-71-4	3 ✓
6	Document			4/28/71	Possible Letter on Laser-Fusion Classification	COVD-1117	4 ✓
7	Document			1/26/71	Suggested Classification for Lasers	BY-71-2	1 ✓
8	Document			9/6/72	Laser Fusion Classification	CPL-72-500	2 ✓
9	Document			9/5/72	WPN-45 Modifications	COPD-72-140	2 ✓
10	Document			8/23/72	Revision of Laser/Fusion Classification Bulletin	COVD-1368	2 ✓
11	Document			2/24/82	TWX from William A. Lokke to Richard Claassen	PHYS-82-5	2 ✓
12	Document			2/18/82	Letter from Jack W. Rosengren to Richard S. Claassen	X-82-56	8 ✓
13	Document			11/1/79	Declassification of the Inertial Confinement Fusion (ICF) Program	COT-79-34	4 ✓
14	Document			7/2/75	Laser Fusion Declassification	COPJ-75-231	1 ✓

	Document Type	From	To	Date	Subject/Title	File No.	No. Pages	
15	Document			8/9/72	Listing of Some Events in Laser-Fusion Classification	COVD-1363	6	✓
16	Document			7/27/72	TWX from Jack W. Rosengren to J. Carson Mark	COVD-1358	11	✓
17	Document			6/30/72	Tampers and Laser-Fusion Pellets	BY-72-44	2	✓
18	Document			1/18/72	TWX from Jack W. Rosengren to Charles L. Marshall	COVD-1287	5	✓
19	Document			6/22/70	Still Further Thoughts on Laser/Pellet Classification	COVD-936	1	✓
20	Document			6/15/70	Further Thoughts on Laser/Pellet Classification	COVD-931	2	✓
21	Document			6/2/70	Letter from Charles L. Marshall to Jack W. Rosengren	OACOVD-111	4	✓
22	Document			12/1/69	Laser Classification	CPL-69-23	2	✓
23	Document			10/1/69	Letter to Charles L. Marshall from Ray E. Kidder	COTMC-69-6	2	✓
24	Document			6/13/68	Review of HERMES II Article	COVD-284	2	✓
25	Document			3/16/82	Comments on TEP Draft Code Classification Policy	CLYC-82-65	3	✓
26	Document			3/1/82	Comments on TEP Draft Code Classification Policy	CLYC-82-60	3	✓
27	Document			3/26/75	Letter from Carl Haussmann to James H. McNally and John A. Griffin	ADL-75-10	2	✓
28	Document			4/24/75	TWX from John A. Griffin to Paul R. Vanstrum	TX-185-75	4	✓
29	Document			8/29/74	Carson Mark's Modest Proposal	COPJ-74-306	2	✓
30	Document			11/8/72	UCRL 74117, UCRL 74191 Drafts	COPJ-72-424	1	✓
31	Document			102072	TWX from Jack W. Rosengren to Charles L. Marshall	COVD-1389	2	✓

	Document Type	From	To	Date	Subject/Title	File No.	No. Pages	
32	Document			101972	TWX from Jack W. Rosengren to Charles L. Marshall	COVD-1388	1	✓
33	Document			122771	Comments on proposed Laser-Fusion Classification Guide, Draft of December 14, 1971	COVD-1283	2	✓
34	Document			111671	Letter from Carl Haussmann to Charles L. Marshall	COVD-1264	4	✓
35	Document			12/4/70	Review of Classification Guidance for Laser-Induced TN Explosions	COVD-1055	2	✓
36	Document			7/6/70	Letter from Jack W. Rosengren to Roland A. Anderson	COVD-956	2	✓
37	Document			122771	Letter from Roger E. Batzel to Charles L. Marshall Re: Letter from Marshall to Rosenfgren Dated December 15, 1971	COVD-1280	2	✓
38	Document			1/5/72	Laser-Fusion Declassification	COPD-72-1	2	✓

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**FOLIA 07-187-P**  
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Service Center  
Attn: Mail Center, Carolyn Becknell  
Pennsylvania & "H" Street  
Albuquerque, NM 87116

**POSTAL NUMBER** Federal Express  
LSO-10-0324  
**DATE MAILED** 08/04/2010  
**7937 9248 0586**

**FROM** U.S. DOE, National Nuclear Security Administration  
Livermore Site Office  
ATTN: Safeguards and Security Division  
Lee McLemore  
P.O. BOX 1111  
LIVERMORE, CA 94551-1111

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DESCRIPTION OF DOCUMENT (SUBJECT OR TITLE AND ORIGINATOR)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (R/D, F/RD, NSI)	NUMBER OF PAGES
"Missing pages f or FOIA Request 07-187-P Part 2" (U) Memorandum through Carolyn Becknell for Andrew Weston-Dawkes from Harvey Lee	FOIA No 07-187-P Part 2	7/21/2010	S/RD	1
Enclosure 1: "Proposed Revision of Classification Bulletin WNP-45" (U) "Unbracketed document" (U) (2 copies) <b>CLEAR COPIES</b>	✓COVD-1368	8/23/1972	S/RD	2x6
Enclosure 2: "Proposed Revision of Classification Bulletin WNP-45" (U) "Bracketed document" (U) (2 copies)	✓COVD-1369	8/23/1972	S/RD	2x6
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX ----- <b>Nothing Follows</b> ----- XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXX XXXXXXXXXXXXXXXXXXXX	XXXXXXX X XXXXXXX X	XXXXXXXXXX XXXXXXXXXX X X	XXXXXX XXXXXX X X

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PART II

<b>TO</b> National Nuclear Security Administration Service Center Attn: Mail Center, Carolyn Becknell Pennsylvania & "H" Street Albuquerque, NM 87116	<b>POSTAL NUMBER</b> LSO-10-0291  <b>DATE MAILED</b> 06/30/2010
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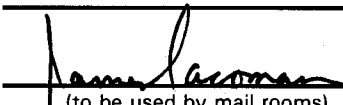
<b>FROM</b> U.S. DOE, National Nuclear Security Administration Livermore Site Office ATTN: Safeguards and Security Division Lee McLemore P.O. BOX 1111 LIVERMORE, CA 94551-1111	<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject, or title and originator. List Secret and accountable Confidential enclosures. Indicate type of document e.g. Ltr., Rpt., or Dwg. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.
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DESCRIPTION OF DOCUMENT (SUBJECT OR TITLE AND ORIGINATOR)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (R/D, F/RD, NSI)	NUMBER OF PAGES
"FOIA REQUEST 07-187-P Part 2" (U) Memorandum through Carolyn Becknell for Andrew Weston-Dawkes from Harvey Lee	FOIA No 07-187-P	6/29/2010	S/RD	2
"FOIA REQUEST 07-187-P" (U), SRD Documents List - 2 copies	FOIA No 07-187-P	10/1/69- 6/14/84	S/RD	3x2
"LSO 10-206 Copy 1" (U), 38 SRD bracketed documents	COK-2010-011	various	S/RD	105
"LSO 10-206 Copy 2" (U), 38 SRD bracketed documents	COK-2010-011 PHYS-78-4	various	S/RD	105
"LSO 10-206 Copy 3" (U), 38 SRD clean copy	COK-2010-011	various	S/RD	105
"LSO 10-201 Copy 1B - LLNL" "FOIA 07-187-P Summary" (U)	COK-2010-012	3/29/2010	S/RD	4
"LSO 10-201 Copy 1C - LLNL" "FOIA 07-187-P Summary" (U)	COK-2010-012	3/29/2010	S/RD	4
"LSO 10-203 Copy 1" "3 AEC Documents for FOIA 07-187-P" (U)	COK-2010-013	3/29/2010	S/RD	29
LSO 10-203 Copy 2" "3 AEC Documents for FOIA 07-187-P" (U)	COK-2010-013	3/29/2010	S/RD	29
"LSO Comments" (U)	FOIA No 07-187-P Part 2	No Date	S/RD	1x2

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<b>FROM</b> Zee Plummer HS-63, Germantown Building U.S. Department of Energy 1000 Independence Avenue, SW Washington, DC 20585-1290  PLEASE SIGN, DATE, AND FAX RECEIPT WITHIN 5 DAYS OR TRACER ACTION WILL FOLLOW	<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.

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Fax#: 301-903-7068

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Minutes/Transcripts FULL TRANSCRIPT OF DR. EDWARD TELLER DISCUSSION ON ICF CLASSIFICATION POLICY AND U.S. CLASSIFICATION POLICY IN GENERAL, DURING NOVEMBER 3-4, 1988, TEP MEETING AT SNLA W/ATTACHED LETTER TO SIEBERT FROM SMITH Author: DOE	D00021618	11/03/1988	S RD		
Document Type: Letter LETTER TO ROBERT T. DUFF, OFFICE OF CLASSIFICATION (DP-32), DOE, FROM ROY D. WOODRUFF, LLNL, DOE, W/ATTACHED APPENDIX & DOE FAX COVER SHEET (DATED SAME) Author: WOODRUFF	D00021619	12/17/1982	S RD		
Document Type: Paper DRAFT - PROPOSED DECLASSIFICATION GUIDE FOR INERTIAL CONFINEMENT FUSION (PURPOSE, DETAILS & REMARKS) Author: TELLER	D00021622		S RD		
Document Type: Memo DRAFT - LASER FUSION CLASSIFICATION POLICY Author: TELLER	D00021623	10/11/1971	S RD		
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY (UCLRL) Author: ROSENGREN	D00021624	07/29/1971	S RD		

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DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY (UCLRL), RE: MSG NR S-367; CITE: COVD-1155 Author: ROSENGREN	D00021625	07/01/1971	S RD		
Document Type: Memo INTERIM REPORT OF SEITZ LASER PANEL Author: NUCKOLLS	D00021626	03/24/1971	S RD		
Document Type: Memo POSSIBLE LETTER ON LASER-FUSION CLASSIFICATION W/INCLUSIVE LETTER FROM MAY TO MARSHALL Author: ROSENGREN	D00021627	04/28/1971	S RD		
Document Type: Memo SUGGESTED CLASSIFICATION FOR LASERS Author: BIEHL	D00021628	01/26/1971	S RD		
Document Type: Memo WPN-45 MODIFICATIONS Author: NUCKOLLS	D00021630	09/05/1972	S RD		

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DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Memo REVISION OF LASER/FUSION CLASSIFICATION BULLETIN W/ATTACHED PAPER - ROSENGREN PROPOSAL - PROPOSED REVISION OF CLASSIFICATION BULLETIN WNP-45 (DATED SAME) Author: ROSENGREN	D00021631	08/23/1972	S RD		
Document Type: Telegram/TWX/Message/Cable ERDA OUTGOING TELECOMMUNICATION MESSAGE FROM WILLIAM A. LOKKE, LLNL, TO RICHARD CLAASSEN, SNLA, RE: COMMENTS ON DRAFT ICF POLICY Author: LOKKE	D00021632	02/24/1982	S RD		
Document Type: Letter LETTER TO RICHARD S. CLAASSEN, SNLA, FROM JACK W. ROSENGREN, R&D ASSOCIATES, W/ATTACHED PAPER - DRAFT CHANGES - TEP ICF CLASSIFICATION POLICY (SAME AUTHOR/SAME DATE) Author: ROSENGREN	D00021721	02/18/1982	S RD		
Document Type: Memo DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM Author: KIDDER	D00021722	11/01/1979	S RD		
Document Type: Memo LASER FUSION DECLASSIFICATION Author: GRAYSON	D00021723	07/02/1975	S RD		

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DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Memo LISTING OF SOME EVENTS IN LASER-FUSION CLASSIFICATION Author: ROSENGREN	D00021724	08/09/1972	S RD		
Document Type: Telegram/TWX/Message/Cable TWX TO J. CARSON MARK, LASL, FROM JACK W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-352; CITE: COVD-1358 Author: ROSENGREN	D00021725	07/27/1972	S RD WD		
Document Type: Memo TAMPERS AND LASER-FUSION PELLETS Author: WOOD	D00021726	06/30/1972	S RD		
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM J. W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-021; CITE: COVD-1287 Author: ROSENGREN	D00021727	01/18/1972	S RD CNWDI WD		
Document Type: Letter FURTHER THOUGHTS ON LASER/PELLET CLASSIFICATION Author: ROSENGREN	D00021729	06/15/1970	S RD		

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DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Letter LETTER TO JACK (JACK ROSENGREN) FROM C. L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, W/ATTACHED CLASSIFICATION BULLETIN WNP-28 Author: MARSHALL	D00021730	02/02/1970	S RD		
Document Type: Memo LASER CLASSIFICATION Author: HAUSSMANN	D00021731	12/01/1969	S RD		
Document Type: Telegram/TWX/Message/Cable AEC OUTGOING TELECOMMUNICATION MESSAGE TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, AEC, RE: REVIEW OF HERMES II ARTICLE Author: ROSENGREN	D00021733	06/13/1968	S RD		
Document Type: Letter LETTER TO JAMES H. MCNALLY, LASER AND ISOTOPE SEPARATION TECHNOLOGY, ERDA, & JOHN A. GRIFFIN, DIVISION OF CLASSIFICATION, ERDA, FROM CARL HAUSSMANN, LLL (LAWRENCE LIVERMORE LABORATORY) Author: HAUSSMANN	D00021736	03/26/1975	S RD		
Document Type: Telegram/TWX/Message/Cable TWX TO PAUL R. VANSTRUM, OR FROM JOHN A. GRIFFIN, ERDA Author: GRIFFIN	D00021737	04/24/1975	S RD WD		

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DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Memo CARSON MARKS MODEST PROPOSAL (T-1787, JULY 26, 1974) Author: GRAYSON	D00021738	08/29/1974	S RD		
Document Type: Memo UCRL 74117, UCRL 74191 - DRAFTS Author: GRAYSON	D00021739	11/08/1972	S RD		
Document Type: Telegram/TWX/Message/Cable AEC OUTGOING TELECOMMUNICATION MESSAGE TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, AEC, RE: COVD-1389 Author: ROSENGREN	D00021740	10/20/1972	S RD		
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-470; CITE: COVD-1388 Author: ROSENGREN	D00021741	10/19/1972	S RD WD		
Document Type: Memo COMMENTS ON PROPOSED LASER-FUSION CLASSIFICATION GUIDE, DRAFT OF DECEMBER 14, 1971 Author: ROSENGREN	D00021742	12/27/1971	S RD		

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<b>TO</b> NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER P.O. BOX 5400 For: CHRISTINA HAMBLIN ALBUQUERQUE, NM 87185-5400	<b>POSTAL NUMBER</b>
	<b>DATE MAILED</b> 11/15/2011
	<b>INSTRUCTIONS</b> <ol style="list-style-type: none"><li>1. Verify addressee's classified mailing address.</li><li>2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required.</li><li>3. Show classification and extra markings.</li><li>4. Forward original and duplicate to addressee.</li><li>5. Retain copy pending return of signed original by addressee.</li></ol>
<b>FROM</b> Zee Plummer HS-63, Germantown Building U.S. Department of Energy 1000 Independence Avenue, SW Washington, DC 20585-1290  PLEASE SIGN, DATE, AND FAX RECEIPT WITHIN 5 DAYS OR TRACER ACTION WILL FOLLOW	Phone#: 301-903-9678 Fax#: 301-903-7068

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM A. C. HAUSSMANN, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) W/INCLUSIVE PAPER - PROPOSED CHANGES TO LASER-FUSION CLASSIFICATION Author: HAUSSMANN	D00021743	11/16/1971	S RD		
Document Type: Memo REVIEW OF CLASSIFICATION GUIDANCE FOR LASER-INDUCED TN EXPLOSIONS Author: ROSENGREN	D00021744	12/04/1970	S RD		
Document Type: Letter LETTER TO ROLAND A. ANDERSON, ASSISTANT GENERAL COUNSEL FOR PATENTS, AEC, FROM JACK W. ROSENGREN, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: ROSENGREN	D00021745	07/06/1970	S RD		
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM ROGER E. BATZEL, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: BATZEL	D00021746	12/27/1971	S RD		

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or  
name of addressee and  
signature of recipient.

Received for addressee by

James Sacoman

(to be used only by mail room)

Date

Date 11-28-11





Rec'd  
7/30/07  
EDB

U.S. Department of Energy  
Freedom of Information Act Office  
Office of Public Affairs  
Attn: Carolyn Becknell, MS/A906  
Albuquerque Operations Office  
P.O. Box 5400  
Albuquerque, NM 87185-5400

**FOIA-07-187 P**

July 23, 2007

**FOIA REQUEST**

**Fee waiver requested**

Dear FOI Officer:

Pursuant to the federal Freedom of Information Act, 5 U.S.C. § 552, I request access to and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

I would like to receive the information in electronic format if possible.

Please waive any applicable fees. Release of the information is in the public interest because it will contribute significantly to public understanding of government operations and activities. I am a Ph.D. candidate at Harvard University writing a dissertation on the history of nuclear weapons secrecy in the United States. As numerous sources have credited nuclear secrecy as holding the origins of the modern security state, information as to how this came into being will be of interest both for historians as well as policymakers in thinking about the activities and history of the United States government. These records will aid in this goal. My dissertation will eventually published in book and article form(s) for public and scholarly consumption. Additionally any difficult or unique papers received will be put into a public archive (such as the National Security Archive at George Washington University) to be maximally accessible to the public.

If my request is denied in whole or part, I ask that you justify all deletions by reference to specific exemptions of the act. I will also expect you to release all segregable portions of otherwise exempt material. I, of course, reserve the right to appeal your decision to withhold any information or to deny a waiver of fees.

As I am making this request as a scholar and this information is of timely value, I would appreciate your communicating with me by telephone, rather than by mail, if you have questions regarding this request.

I look forward to your reply within 20 business days, as the statute requires.  
Thank you for your assistance.

Sincerely,



Alex Wellerstein  
Dept. of the History of Science  
Harvard University  
Science Center 371  
Cambridge, MA 02138  
617-501-0360  
wellerst@fas.harvard.edu




Department of Energy  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUN 07 2010

MEMORANDUM FOR: NICHOLAS G. PROSPERO  
DIRECTOR  
OFFICE OF QUALITY MANAGEMENT, HS-91

THRU: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM:   
HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

SUBJECT: FOIA REQUEST 07-187-P, Part 3

Enclosed are copies of ten documents from LLNL in response to the subject request. The documents are unclassified but may contain Official Use Only information as they concern discussion of inertial confinement fusion classification policy. The Director, Office of Classification expressed an interest in having your office review responsive unclassified documents containing "specific policy considerations" for possible redactions. The enclosed document list is marked Official Use Only in case your office might deem it necessary.

Should you have any questions on this matter, please contact me at 925-423-8248.

Enclosures:

1. Document List of Part 3 responsive documents
2. 10 unclassified documents marked U-DOC-1 through U-DOC-10

cc: David Brown, LLNL w/Enclosure 1 only  
Debbie Harkness, LSO

Document transmitted herewith  
contains ~~OFFICIAL USE ONLY~~



~~SECRET~~

Department of Energy

Washington, DC 20585

HAMBLER  
NSA-SERV CLR

March 24, 2011

Rec'd 3-31-11  
REF: FOIA 07-187-P-H

Mr. Paul J. Jacobsmeyer  
Chief, Office of Freedom of Information  
Department of Defense  
1155 Defense Pentagon  
Washington, DC 20301-1155

SUBJECT: Response to Review and/or Coordinate Review Request (20100004434-SF)

Dear Mr. Jacobsmeyer:

Reference request for document review (FOIA 07-187-P-H) dated September 1, 2010, and July 23, 2007, at enclosure(s) 1-2, respectively.

This is a:

- ☐ Complete Response
- ☐ Partial Response
- ☐ Final Partial Response
- ☒ Coordinate Review Request

Findings/Instructions:

- ☒ We indicated the result(s) of our preliminary review(s) on the first page of the document(s) at enclosure(s) 3-4 (see stamp(s)).
- ☐ **Special considerations apply to this request. See important details at enclosure(s) \_\_\_\_.**
- ☐ Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential.**
- ☐ Note that we changed the category of some material to **Restricted Data/Formerly Restricted Data and/or National Security Information.**
- ☐ The document(s) at enclosure(s) \_\_\_\_ is/are denied in its/their entirety.
- ☐ We bracketed in \_\_\_\_ the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at enclosure(s) \_\_\_\_ prior to release.

Document(s)/Material Transmitted Herewith Contain(s)

National Security Information

~~SECRET~~



Printed with soy ink on recycled paper

When separated from enclosure(s), handle this document as

UNCLASSIFIED

— We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory Review (Executive Order 13526) exemptions.

— We confirmed that the document(s) at enclosure(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.

— The document(s) at enclosure(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.

— The document(s) at enclosure(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.

— We declassified the document(s) at enclosure(s) \_\_\_.

— Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.

— We defer to your agency regarding the determination of \_\_\_\_\_.

XX We request that you review the document(s) at enclosure(s) 3-4 for information within your purview, including Official Use Only and other sensitive Unclassified information.

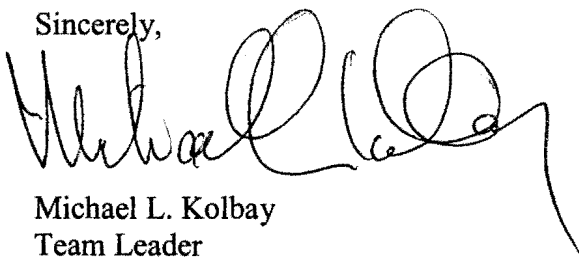
XX We request you reply to us.

— We request that you forward the result(s) of the review(s) to \_\_\_\_\_.

— Details and appeal procedures for the requester are at enclosure \_\_\_\_.

Please address any questions to Mr. Anthony J. "Joe" Vital at (301) 903-9031.

Sincerely,



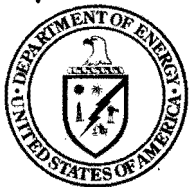
Michael L. Kolbay  
Team Leader  
Statutory Reviews Program  
Office of Document Reviews  
Office of Classification

Enclosure(s)  
(See listing next page)

cc w/o enclosure(s): Christina H. Hamblen, NNSA-Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11646309898**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST W/ATTACHED SUBJECT OF REQUEST Author: CHRISTINA HAMBLEN Originating Agency: NNSA SERV CTR	C00015946	09/01/2010	U
Document Type: Letter REQUESTERS REQUEST Author: ALEX WELLERSTEIN Originating Agency: INDIVIDUAL & COMPANY	C00015947	07/23/2007	U
Document Type: Memo LASER FUSION CLASSIFICATION Author: EMMETT	CR0004054 D00021629	09/06/1972	S NSI
Document Type: Letter LETTER TO C. L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM RAY E. KIDDER, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: KIDDER	CR0004055 D00021732		S NSI



~~SECRET~~

**Department of Energy**  
Washington, DC 20585

HAMBLER

March 28, 2011

Rec'd 4-4-11  
FOIA 07-187-P-H

MEMORANDUM FOR EDITH A. CHALK

DIRECTOR  
OFFICE OF TECHNICAL GUIDANCE  
OFFICE OF CLASSIFICATION

FROM:

MICHAEL L. KOLBAY  
TEAM LEADER  
STATUTORY REVIEWS PROGRAM  
OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT:

Response to Review and/or Coordinate Review Request  
(20100004434-SF)

Reference request for document review (FOIA 07-187-P-H) dated September 1, 2010, and July 23, 2007, at attachment(s) 1-2, respectively.

This is a:

- ☐ Complete Response
- ☐ Partial Response
- ☐ Final Partial Response
- ☒ Coordinate Review Request

Findings/Instructions:

- ☒ We indicated the result(s) of our preliminary review(s) in black pencil, full sentence brackets, and on the first page of the document(s) at attachment(s) 3-57 (see stamp(s)).
- ☐ **Special considerations apply to this request. See important details at attachment(s) \_\_\_\_.**
- ☐ **Note that we upgraded/downgraded the classification level of some material to Top Secret/Secret and/or Confidential.**
- ☐ **Note that we changed the category of some material to Restricted Data/Formerly Restricted Data and/or National Security Information.**
- ☐ The document(s) at attachment(s) \_\_\_\_ is/are denied in its/their entirety.

Document(s)/Material Transmitted Herewith Contain(s)  
~~Restricted Data/CNWDI/WD~~

~~SECRET~~

When separated from attachment(s), handle this document as  
**UNCLASSIFIED**



Printed with soy ink on recycled paper



\_\_\_ We bracketed in \_\_\_ the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) \_\_\_ prior to release.

\_\_\_ We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory Review (Executive Order 13526) exemptions.

\_\_\_ We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.

\_\_\_ The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.

\_\_\_ The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.

\_\_\_ We declassified the document(s) at attachment(s) \_\_\_.

\_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.

\_\_\_ We defer to your office regarding the determination of \_\_\_\_\_.

XX We request that you review the document(s) at attachment(s) 3-57 for information within your purview, including Official Use Only (OUO) and other sensitive Unclassified information.

XX We request you reply to us.

\_\_\_ We request that you forward the result(s) of the review(s) to \_\_\_\_\_.

\_\_\_ Details and appeal procedures for the requester are at attachment \_\_\_\_.

\_\_\_ OpenNet Requirements are at attachment \_\_\_\_.

\_\_\_ You are also reminded that if you determine any information must be withheld as OUO, your denial letter to the requester must be coordinated with the Office of the/your General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)  
(See listing next page)

cc w/o attachment(s): Christina H. Hamblen, NNSA-Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11647509924**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST W/ATTACHED SUBJECT OF REQUEST Author: CHRISTINA HAMBLIN Originating Agency: NNSA SERV CTR	C00015946	09/01/2010	U
Document Type: Letter REQUESTERS REQUEST Author: ALEX WELLERSTEIN Originating Agency: INDIVIDUAL & COMPANY	C00015947	07/23/2007	U
Document Type: Minutes/Transcripts FULL TRANSCRIPT OF DR. EDWARD TELLER DISCUSSION ON ICF CLASSIFICATION POLICY AND U.S. CLASSIFICATION POLICY IN GENERAL, DURING NOVEMBER 3-4, 1988, TEP MEETING AT SNLA W/ATTACHED LETTER TO SIEBERT FROM SMITH Author: DOE	CR0003997 D00021618	11/03/1988	S RD
Document Type: Letter LETTER TO ROBERT T. DUFF, OFFICE OF CLASSIFICATION (DP-32), DOE, FROM ROY D. WOODRUFF, LLNL, DOE, W/ATTACHED APPENDIX & DOE FAX COVER SHEET (DATED SAME) Author: WOODRUFF	CR0003998 D00021619	12/17/1982	S RD
Document Type: Letter LETTER TO DAVID A. WILSON, UNIVERSITY OF CALIFORNIA (UC), FROM ROGER E. BATZEL W/ATTACHED LETTERS DATED 08/01/80 Author: BATZEL	CR0003999 D00021620	08/13/1980	C RD
Document Type: Memo MEMO TO R. E. BATZEL FROM J. L. EMMETT, UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY (UCLLL), RE: ICF CLASSIFICATION POLICY Author: EMMETT	CR0004000 D00021621	01/14/1980	C RD
Document Type: Paper DRAFT - PROPOSED DECLASSIFICATION GUIDE FOR INERTIAL CONFINEMENT FUSION (PURPOSE, DETAILS & REMARKS) Author: TELLER	CR0004001 D00021622		S RD
Document Type: Memo DRAFT - LASER FUSION CLASSIFICATION POLICY Author: TELLER	CR0004002 D00021623	10/11/1971	S RD
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY (UCLRL) Author: ROSENGREN	CR0004003 D00021624	07/29/1971	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY (UCLRL), RE: MSG NR S-367; CITE: COVD-1155 Author: ROSENGREN	CR0004004 D00021625	07/01/1971	S RD

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11647509924**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Memo INTERIM REPORT OF SEITZ LASER PANEL Author: NUCKOLLS	CR0004005 D00021626	03/24/1971	S RD
Document Type: Memo POSSIBLE LETTER ON LASER-FUSION CLASSIFICATION W/INCLUSIVE LETTER FROM MAY TO MARSHALL Author: ROSENGREN	CR0004006 D00021627	04/28/1971	S RD
Document Type: Memo SUGGESTED CLASSIFICATION FOR LASERS Author: BIEHL	CR0004007 D00021628	01/26/1971	S RD NATO
Document Type: Memo LASER FUSION CLASSIFICATION Author: EMMETT	CR0004008 D00021629	09/06/1972	S NSI NOFORN
Document Type: Memo WPN-45 MODIFICATIONS Author: NUCKOLLS	CR0004009 D00021630	09/05/1972	S RD
Document Type: Memo REVISION OF LASER/FUSION CLASSIFICATION BULLETIN W/ATTACHED PAPER - ROSENGREN PROPOSAL - PROPOSED REVISION OF CLASSIFICATION BULLETIN WNP-45 (DATED SAME) Author: ROSENGREN	CR0004010 D00021631	08/23/1972	S RD
Document Type: Telegram/TWX/Message/Cable ERDA OUTGOING TELECOMMUNICATION MESSAGE FROM WILLIAM A. LOKKE, LLNL, TO RICHARD CLAASSEN, SNLA, RE: COMMENTS ON DRAFT ICF POLICY Author: LOKKE	CR0004011 D00021632	02/24/1982	S RD
Document Type: Letter LETTER TO RICHARD S. CLAASSEN, SNLA, FROM JACK W. ROSENGREN, R&D ASSOCIATES, W/ATTACHED PAPER - DRAFT CHANGES - TEP ICF CLASSIFICATION POLICY (SAME AUTHOR/SAME DATE) Author: ROSENGREN	CR0004012 D00021721	02/18/1982	S RD
Document Type: Memo DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM Author: KIDDER	CR0004013 D00021722	11/01/1979	S RD
Document Type: Memo LASER FUSION DECLASSIFICATION Author: GRAYSON	CR0004014 D00021723	07/02/1975	S RD
Document Type: Memo LISTING OF SOME EVENTS IN LASER-FUSION CLASSIFICATION Author: ROSENGREN	CR0004015 D00021724	08/09/1972	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO J. CARSON MARK, LASL, FROM JACK W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-352; CITE: COVD-1358 Author: ROSENGREN	CR0004016 D00021725	07/27/1972	S RD

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11647509924**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Memo TAMPERS AND LASER-FUSION PELLETS Author: WOOD	CR0004017 D00021726	06/30/1972	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM J. W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-021; CITE: COVD-1287 Author: ROSENGREN	CR0004018 D00021727	01/18/1972	S RD
Document Type: Letter STILL FURTHER THOUGHTS ON LASER/PELLET CLASSIFICATION Author: ROSENGREN	CR0004019 D00021728	06/22/1970	U
Document Type: Letter FURTHER THOUGHTS ON LASER/PELLET CLASSIFICATION Author: ROSENGREN	CR0004020 D00021729	06/15/1970	S RD
Document Type: Letter LETTER TO JACK (JACK ROSENGREN) FROM C. L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, W/ATTACHED CLASSIFICATION BULLETIN WNP-28 Author: MARSHALL	CR0004021 D00021730	02/02/1970	S RD
Document Type: Memo LASER CLASSIFICATION Author: HAUSSMANN	CR0004022 D00021731	12/01/1969	S RD
Document Type: Letter LETTER TO C. L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM RAY E. KIDDER, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: KIDDER	CR0004023 D00021732		S NSI
Document Type: Telegram/TWX/Message/Cable AEC OUTGOING TELECOMMUNICATION MESSAGE TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, AEC, RE: REVIEW OF HERMES II ARTICLE Author: ROSENGREN	CR0004024 D00021733	06/13/1968	S RD
Document Type: Telegram/TWX/Message/Cable U.S. DEPARTMENT OF ENERGY TELECOMMUNICATION MESSAGE TO RICHARD CLAASEN, SNLA, ET. AL, FROM HARLOW G. AHLSTROM, LLL, RE: COMMENTS ON TEP DRAFT CODE CLASSIFICATION POLICY Author: AHLSTROM	CR0004025 D00021734	03/16/1982	U
Document Type: Telegram/TWX/Message/Cable U.S. DEPARTMENT OF ENERGY TELECOMMUNICATION MESSAGE TO RICHARD CLAASEN, SNLA, ET. AL, FROM HAL AHLSTROM, LLL, RE: COMMENTS ON TEP DRAFT CODE CLASSIFICATION POLICY Author: AHLSTROM	CR0004026 D00021735	03/01/1982	U

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11647509924**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter LETTER TO JAMES H. MCNALLY, LASER AND ISOTOPE SEPARATION TECHNOLOGY, ERDA, & JOHN A. GRIFFIN, DIVISION OF CLASSIFICATION, ERDA, FROM CARL HAUSSMANN, LLL (LAWRENCE LIVERMORE LABORATORY) Author: HAUSSMANN	CR0004027 D00021736	03/26/1975	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO PAUL R. VANSTRUM, OR FROM JOHN A. GRIFFIN, ERDA Author: GRIFFIN	CR0004028 D00021737	04/24/1975	S RD
Document Type: Memo CARSON MARKS MODEST PROPOSAL (T-1787, JULY 26, 1974) Author: GRAYSON	CR0004029 D00021738	08/29/1974	S RD
Document Type: Memo UCRL 74117, UCRL 74191 - DRAFTS Author: GRAYSON	CR0004030 D00021739	11/08/1972	S RD
Document Type: Telegram/TWX/Message/Cable AEC OUTGOING TELECOMMUNICATION MESSAGE TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, AEC, RE: COVD- 1389 Author: ROSENGREN	CR0004031 D00021740	10/20/1972	S RD
Document Type: Telegram/TWX/Message/Cable TWX TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM JACK W. ROSENGREN, UCLLL (UNIVERSITY OF CALIFORNIA, LAWRENCE LIVERMORE LABORATORY), RE: MSG NR S-470; CITE: COVD-1388 Author: ROSENGREN	CR0004032 D00021741	10/19/1972	S RD
Document Type: Memo COMMENTS ON PROPOSED LASER-FUSION CLASSIFICATION GUIDE, DRAFT OF DECEMBER 14, 1971 Author: ROSENGREN	CR0004033 D00021742	12/27/1971	S RD
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM A. C. HAUSSMANN, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) W/INCLUSIVE PAPER - PROPOSED CHANGES TO LASER-FUSION CLASSIFICATION Author: HAUSSMANN	CR0004034 D00021743	11/16/1971	S RD
Document Type: Memo REVIEW OF CLASSIFICATION GUIDANCE FOR LASER-INDUCED TN EXPLOSIONS Author: ROSENGREN	CR0004035 D00021744	12/04/1970	S RD
Document Type: Letter LETTER TO ROLAND A. ANDERSON, ASSISTANT GENERAL COUNSEL FOR PATENTS, AEC, FROM JACK W. ROSENGREN, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: ROSENGREN	CR0004036 D00021745	07/06/1970	S RD

# U.S. Department of Energy - List of Attachments

## Receipt Number: MR11647509924

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter LETTER TO CHARLES L. MARSHALL, DIVISION OF CLASSIFICATION, AEC, FROM ROGER E. BATZEL, UCLRL (UNIVERSITY OF CALIFORNIA, LAWRENCE RADIATION LABORATORY) Author: BATZEL	CR0004037 D00021746	12/27/1971	S RD
Document Type: Memo LASER-FUSION DECLASSIFICATION W/INCLUSIVE ARTICLE Author: NUCKOLLS	CR0004038 D00021747	01/05/1972	S RD
Document Type: Letter LETTER TO ROBERT DUFF, OFFICE OF CLASSIFICATION, DOE, FROM ROGER BATZEL, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) Author: BATZEL	CR0004039 D00021748	11/02/1979	U
Document Type: Memo QUANTIFYING TN DECLASSIFICATION ISSUES Author: LOKKE	CR0004040 D00021749		U
Document Type: Letter LETTER TO DUANE C. SEWELL, DP, DOE, FROM ROGER E. BATZEL, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) W/ATTACHED LETTER TO BATZEL FROM SEWELL Author: BATZEL	CR0004041 D00021750	02/12/1980	U
Document Type: Report PROPOSED LIMITATIONS ON SECRECY IMPOSED ON SCIENTIFIC AND TECNICAL IMFORMATION Author: TELLER	CR0004042 D00021751		U
Document Type: Memo DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM Author: KIDDER	CR0004043 D00021752	11/01/1979	U
Document Type: Memo DECLASSIFICATION OF INERTIAL CONFINEMENT FUSION (ICF) W/ATTACHED MEMO TO KIDDER FROM BATZEL Author: KIDDER	CR0004044 D00021753	10/25/1979	U
Document Type: Letter LETTER TO ROBERT T. DUFF, OFFICE OF CLASSIFICATION, DOE, FROM ROGER E. BATZEL, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) W/ATTACHED LLNL DRAFT - INERTIAL CONFINEMENT FUSION CLASSIFICATION POLICY Author: BATZEL	CR0004045 D00021754	11/04/1980	U
Document Type: Letter LETTER TO DAVID S. SAXON, UNIVERSITY OF CALIFORNIA (UC), FROM DUANE C. SEWELL, DP, DOE Author: SEWELL	CR0004046 D00021755	10/15/1980	U

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11647509924**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Report EXCERPT - ENERGY COMMUNICATIONS - PERSPECTIVES AND SOME RECENT DEVELOPMENTS CONCERNING DECLASSIFICATION OF THE INERTIAL CONFINEMENT FUSION (ICF) PROGRAM (PP. 223-230) Author: HARRACH	CR0004047 D00021756		U
Document Type: Letter LETTER TO PAUL N. MCCLOSKEY, JR., U.S. HOUSE OF REPRESENTATIVES, CONGRESS, FROM RAY E. KIDDER, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) Author: KIDDER	CR0004048 D00021757	09/26/1980	U
Document Type: Letter LETTER TO RICHARD SCHRIEVER, OFFICE OF INERTIAL FUSION, DOE, FROM ALEXANDER, J. GLASS, LAWRENCE LIVERMORE LABORATORY, UNIVERSITY OF CALIFORNIA (UCLLL) Author: GLASS	CR0004049 D00021758	03/17/1980	U
Document Type: Report PROSPECTS FOR INERTIAL CONFINEMENT FUSION Author: TELLER	CR0004050 D00021759		U
Document Type: Letter LETTER TO DUANE SEWELL, DP, DOE, FROM DAVID S. SAXON, UNIVERSITY OF CALIFORNIA (UC) Author: SAXON	CR0004051 D00021760	09/09/1980	U



U.S. DEPARTMENT OF ENERGY  
**CLASSIFIED DOCUMENT RECEIPT**

<b>TO</b>  HS-90, ANDREW P. WESTON-DAWKES US DEPARTMNET OF ENERGY PO BOX A GERMANTOWN MD 20875-0963	<b>POSTAL NUMBER</b>
	<b>DATE MAILED</b>
	<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.

<b>FROM</b>  CHRISTINA H. HAMBLLEN NNSA SERVICE CENTER PO BOX 5400 ALBUQUERQUE NM 87185-5400
---

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Hamblen Memo to Weston-Dawkes		9/01/10	SRD		
Memoranda (5) from Harvey Lee		6/9/10	SRD	6-29-102	
COK-2010-012, 3/29/10		3/29/10	SRD		
WNP-35, 7/27/70		7/27/70	SRD		
WNP-36, 10/5/70		10/5/70	SRD		
WNP-45, 3/1/72		3/1/72	SRD		
LSO Comments (U)		Undated	U		
CONF-DOC-1		Undated	CONF		
CONF-DOC-2		Undated	CONF		
CONF-DOC-3		Undated	CONF		
CONF-DOC-4		Undated	CONF		
MORE CONTINUED ON ATTACHED PAGE					

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or  
name of addressee and  
signature of recipient.

Date \_\_\_\_\_

Received for addressee by \_\_\_\_\_

(to be used only by mail rooms)

Date 9-1-2010

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



Printed with soy ink on recycled paper

Print Form

Continuation of DOE F 5635-3 Classified Document Receipt -- FOIA 07-187

PHYS-78-4, 12/6/78 ✓  
BY-71-38, 6/14/84 ✓  
COVD-1180, 7/29/71 ✓  
COVD-1155, 7/1/71 ✓  
COPDE-71-4, 3/24/71 ✓  
COVD-1117, 4/28/71 ✓  
BY-71-2, 1/26/71 ✓  
CPL-72-500, 9/6/72 ✓  
COPD-72-140, 9/5/72 ✓  
COVD-1368, 8/23/72 ✓  
PHYS-82-5, 2/24/82 ✓  
X-82-56, 2/18/82 ✓  
COT-79-34, 11/1/79 ✓  
COPJ-75-231, 7/2/75 ✓  
COVD-1363, 8/9/72 ✓  
COVD-1358, 7/27/72 ✓  
BY-72-44, 6/30/72 ✓  
COVD-1287, 1/18/72 ✓  
COVD-936, 6/22/70 ✓  
COVD-931, 6/15/70 ✓  
OACOVD-111, 2/2/70 ✓  
CPL-69-23, 12/1/69 ✓  
COTMC-69-6, 10/1/69 ✓ 6-19-85 #  
COVD-284, 6/13/68 ✓  
CLYC-82-65, 3/16/82 ✓  
CLYC-82-60, 3/1/82 ✓  
ADL-75-10, 3/26/75 ✓  
TX-185-75, 4/24/75 ✓  
COPJ-74/306, 8/29/74 ✓  
COPJ-72-424, 11/8/72 ✓  
COVD-1389, 10/20/72 ✓  
COVD-1388, 10/19/72 ✓  
COVD-1283, 12/27/71 ✓  
COVD-1264, 11/16/71 ✓  
COVD-1055, 12/4/70 ✓  
COVD-956, 7/6/70 ✓  
COVD-1280, 12/27/71 ✓  
COPD-72-1, 1/5/72 ✓  
U-DOC-1 ✓  
U-DOC-2 ✓  
U-DOC-3 ✓  
U-DOC-4 ✓  
U-DOC-5 ✓  
U-DOC-6 ✓  
U-DOC-7 (u)  
U-DOC-8 ✓  
U-DOC-9 ✓  
U-DOC-10 ✓  
U-DOC-11 ✓  
U-DOC-12 ✓  
U-DOC-13 ✓



**U. S. Department of Energy**  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUL 08 2010

MEMORANDUM FOR: CHRIS HAMBLIN  
INFORMATION PROGRAM SPECIALIST  
NNSA SERVICE CENTER

FROM: DEBBIE HARKNESS *Debbie*  
FREEDOM OF INFORMATION POINT OF CONTACT  
NNSA LIVERMORE SITE OFFICE

SUBJECT: Freedom of Information Act Request # 07-187-P, PART 4 (FINAL)

This memo is in response to FOIA #07-187-P requesting access to and copies of the records relating to internal efforts within either Los Alamos national laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said Laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

I contacted the Lawrence Livermore National Laboratory (LLNL) to conduct a search for possible responsive records.

LLNL provided multiple documents responsive to this request. The attached listing of three documents were reviewed by Harvey Lee and determined to be fully releasable.

Harvey Lee will be providing Parts 1-3 to this FOIA under separate cover memos (copies attached).

If you have any further questions, please call me at (925) 422-2168.

Attachments: Harvey Lee's 3 memo copies

FOIA No. 07-187-P  
Responsive Documents

1 of 1

	Document Type	From/Author	To	Date	Subject	File No.	No. of Pages	Notes	Determination
11	Letter/Memo	Alexander J. Glass	Dr. Richard Schriever	03/17/80	Response to classified letter dated 2/8/1980	U-DOC-11	2	Fully Releasable per LLNL.	Fully Releasable per Harvey Lee
12	Document	Edward Teller	N/A	N/A	Prospects for Inertial Confinement Fusion	U-DOC-12	4	Fully Releasable per LLNL.	Fully Releasable per Harvey Lee
13	Letter/Memo	David S. Saxon	Dr. Duane Sewell	09/09/80	Declassification of the Inertial Confinement Fusion (ICF) Program	U-DOC-13	2	Fully Releasable per LLNL.	Fully Releasable per Harvey Lee



**U. S. Department of Energy**  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUL 08 2010

MEMORANDUM FOR: CHRIS HAMBLIN  
INFORMATION PROGRAM SPECIALIST  
NNSA SERVICE CENTER

FROM: DEBBIE HARKNESS *Debbie*  
FREEDOM OF INFORMATION POINT OF CONTACT  
NNSA LIVERMORE SITE OFFICE

SUBJECT: Freedom of Information Act Request # 07-187-P, PART 4 (FINAL)

This memo is in response to FOIA #07-187-P requesting access to and copies of the records relating to internal efforts within either Los Alamos national laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said Laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

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Harvey Lee will be providing Parts 1-3 to this FOIA under separate cover memos (copies attached).

If you have any further questions, please call me at (925) 422-2168.

Attachments: Harvey Lee's 3 memo copies



**SECRET / RD**


**Department of Energy**  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



**JUN 29 2010**

MEMORANDUM FOR: ANDREW WESTON-DAWKES  
DIRECTOR  
OFFICE OF CLASSIFICATION, HS-90

THRU: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM:   
HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

SUBJECT: FOIA REQUEST 07-187-P, Part 1

Enclosed are two copies of four bracketed documents from LLNL in response to the subject request. LLNL marked each "CONF-DOC-1" through "CONF-DOC-4" on the first page of the respective documents.

This office added brackets in CONF-DOC-1, and CONF-DOC-2. LSO brackets are annotated with "X3" and LLNL brackets are annotated with "EX 3." LSO's bracketing in CONF-DOC-2 is based upon generic statements in this and other responsive documents that ICF information is classified only if classified nuclear weapon information is revealed. Thus, the bracketed term in the Appendix of CONF-DOC-2 should be protected at SRD. Although CONF-DOC-2 was classified as Confidential Restricted Data, we did not upgrade it, pending review by your office.

Should you have any questions on this matter, please contact me at 925-423-8248.

~~Documents transmitted contains  
Restricted Data~~

When separated from enclosures  
handle this document as ~~Official Use Only~~

<p><del>OFFICIAL USE ONLY</del></p> <p>May be exempt from public release under the Freedom of Information Act (5 U.S.C. 552), exemption number and category Ex. 2 Circumvention of Statute</p> <p>Department of Energy review required before public release</p> <p>Name/Org: Harvey Lee / NNSA LSO Date: 6/25/2010</p>
---

**SECRET / RD**

~~OFFICIAL USE ONLY~~

A. Weston-Dawkes

- 2 -

Enclosures:

1. LSO 10-003 "CONF-DOC-1" 16 pages (2 copies)
2. LSO 10-004 "CONF-DOC-2" 5 pages (2 copies)
3. LSO 10-006 "CONF-DOC-3" 3 pages (2 copies)
4. LSO 10-005 "CONF-DOC-4" 2 pages (2 copies)

cc w/out encl.: David Brown, LLNL  
Debbie Harkness, LSO ✓

~~OFFICIAL USE ONLY~~





~~SECRET / RD~~

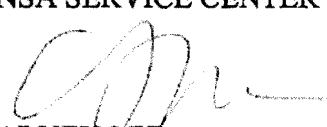
Department of Energy  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



JUN 29 2010

MEMORANDUM FOR: ANDREW WESTON-DAWKES  
DIRECTOR  
OFFICE OF CLASSIFICATION, HS-90

THRU: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM:   
HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

SUBJECT: FOIA REQUEST 07-187-P Part 2

Enclosed are copies of thirty-eight documents from LLNL in response to the subject request. LLNL annotated some of their brackets with "EX 3" and left others without annotation. Also enclosed are related LLNL classification review notes, AEC bulletins, and an outline of LSO comments (Enclosure 9) resulting from a thirteen document sample review of the thirty-eight document collection. Additional brackets recommended by LSO are annotated with "X3."

Should you have any questions on this matter, please contact me at 925-423-8248.

cc: David Brown, LLNL (w/ encl. 9 only)  
Debbie Harkness, LSO (w/out encl.) ✓

~~Document transmitted here with~~  
~~contains Restricted Data~~

When separated from enclosures  
handle this document as **Unclassified**

~~SECRET / RD~~

# Unclassified

A. Weston-Dawkes

- 2 -

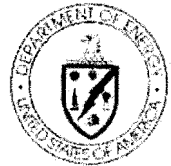
## Enclosures:

1. FOIA No. 07-187-P "SRD Documents" List, 3 pages - one each to DOE OC and NNSA SC
2. LSO 10-206 Copy 1 - 38 SRD bracketed documents (105 pages) to DOE OC
3. LSO 10-206 Copy 2 - 38 SRD bracketed documents (105 pages) to NNSA SC
4. LSO 10-206 Copy 3 - 38 SRD clean copy (105 pages) to NNSA SC
5. LSO 10-0201 Copy 1B - LLNL "FOIA 07-187-P Summary (U)" (4 pages) to DOE OC
6. LSO 10-0201 Copy 1C - LLNL "FOIA 07-187-P Summary (U)" (4 pages) to NNSA SC
7. LSO 10-0203 Copy 1 - "3 AEC Documents for FOIA 07-187-P (U)" (29 pages) to DOE OC
  - incl. title page, WNP-35, WNP-36, WNP-45, and LLNL Laser Program Declassification Procedures)
8. LSO 10-0203 Copy 2 - "3 AEC Documents for FOIA 07-187-P (U)" (29 pages) to NNSA SC
  - incl. title page, WNP-35, WNP-36, WNP-45, and LLNL Laser Program Declassification Procedures)
9. "LSO Comments (U)" (1 page)

Unclassified



**Department of Energy**  
National Nuclear Security Administration  
Livermore Site Office  
PO Box 808, L-293  
7000 East Avenue  
Livermore, California 94551-0808



**JUN 07 2010**

**MEMORANDUM FOR:** NICHOLAS G. PROSPERO  
DIRECTOR  
OFFICE OF QUALITY MANAGEMENT, HS-91

**THRU:** CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

**FROM:** HARVEY LEE  
LSO CLASSIFICATION OFFICER  
OFFICE OF ASSISTANT MANAGER FOR  
SAFEGUARDS AND SECURITY

**SUBJECT:** FOIA REQUEST 07-187-P, Part 3

Enclosed are copies of ten documents from LLNL in response to the subject request. The documents are unclassified but may contain Official Use Only information as they concern discussion of inertial confinement fusion classification policy. The Director, Office of Classification expressed an interest in having your office review responsive unclassified documents containing "specific policy considerations" for possible redactions. The enclosed document list is marked Official Use Only in case your office might deem it necessary.

Should you have any questions on this matter, please contact me at 925-423-8248.

**Enclosures:**

1. Document List of Part 3 responsive documents
2. 10 unclassified documents marked U-DOC-1 through U-DOC-10

cc: David Brown, LLNL w/Enclosure 1 only  
Debbie Harkness, LSO

Document transmitted herewith  
contains ~~OFFICIAL USE ONLY~~

## OFFICIAL USE ONLY

1 of 1

FOIA No. 07-187-P, Part 3  
Responsive Documents

	Document Type	From/Author	To	Date	Subject	LSO File No.	No. of Pages	Notes	Determination
1	Letter/Memo	Roger Batzel	Robert Duff	11/02/79	Preliminary comments concerning future nuclear weapons and ICF classification policy and related matters.	U-DOC-1	3	Fully Releasable per LLNL.	
2	Letter/Memo	W. Lokke	Senior Reviewers	N/A	Quantifying TN Declassifications Issues	U-DOC-2	6	Fully Releasable per LLNL.	
3	Letter/Memo	Roger Batzel	Dr. Duane Sewell	02/12/80	Response to letter dated 1/28/1980	U-DOC-3	3	Fully Releasable per LLNL.	
4	Document	Edward Teller	N/A	N/A	Proposed Limitation on Secrecy Imposed on Scientific and Technical Information	U-DOC-4	6	Fully Releasable per LLNL.	
5	Letter/Memo	Ray E. Kidder	Regents Special Research Projects Committee	11/01/79	Declassification of the Inertial Confinement Fusion (ICF) Program	U-DOC-5	4	Fully Releasable per LLNL.	
6	Letter/Memo	Ray E. Kidder	Roger Batzel	10/25/79	Declassification of the Inertial Confinement Fusion (ICF)	U-DOC-6	2	Fully Releasable per LLNL.	
7	Letter/Memo	Roger E. Batzel	Robert Duff	11/04/80	Response to letter dated 9/23/1980 - comment on the draft inertial confinement fusion classification policy.	U-DOC-7	5	Fully Releasable per LLNL.	
8	Letter/Memo	Dr. Duane Sewell	Dr. David S. Saxon	10/15/80	Response to letter dated 9/9/1980 - Inertial Confinement Fusion (ICF)	U-DOC-8	1	Fully Releasable per LLNL.	
9	Document	Robert J. Harrach	N/A	09/12/80	Perspectives and some recent developments concerning Declassifications of the Inertial Confinement Fusion (ICF) Program	U-DOC-9	5	Fully Releasable per LLNL.	
10	Letter/Memo	Ray E. Kidder	Hon. Paul N. McCloskey, Jr.	09/26/80	ICF declassification	U-DOC-10	2	Fully Releasable per LLNL.	

## OFFICIAL USE ONLY

May be exempt from public release under the Freedom of Information Act

(5 U.S.C. 552), exemption number and category: Ex. 2Circumvention of Statute

Department of Energy review required before public release

Name/Org Harvey Lee, LSO/AMSS Date: 7/7/2010Guidance (if applicable) N/A

OFFICIAL USE ONLY

**Peterson, Shirley**

---

**From:** Harkness, Debbie  
**Sent:** Wednesday, April 08, 2009 7:08 PM  
**To:** Peterson, Shirley  
**Subject:** FW: New FOIA Request 07-187-P

Shirley, can we get clarification based on Kathryn's message?

---

**From:** Kathryn Craft Rogers [mailto:CraftRogers1@llnl.gov]  
**Sent:** Wednesday, April 08, 2009 5:09 PM  
**To:** Harkness, Debbie  
**Subject:** Re: New FOIA Request 07-187-P

Debbie,

This request is for "records relating to internal efforts within...LLNL, or by scientists inside (the lab), to declassify the technology of inertial confinement fusion...in the 1960s through the 1990's." I'm not sure what "records" we would have on this, but I will find out. Also, the time frame is extremely broad. Is it possible to ask the requester for clarity regarding the types of records, and to narrow the time frame?

Kathryn

At 10:50 AM 4/7/2009, you wrote:

Kathryn, I just received this request from the Service Center. It appears that it was overlooked and never sent out here for our search, that is why the number has an 07 in it.

Debbie Harkness  
2-2168

4/9/2009

# memorandum

National Nuclear Security Administration  
Los Alamos Site Office  
Los Alamos, New Mexico 87544

DATE: January 15, 2009  
REPLY TO:  
ATTN OF: OC-09-06:bmw  
SUBJECT: Freedom of Information Act (FOIA) Request 07-187-P

TO: Shirley Peterson, OPA/NNSA SC - AL

This is our final response to the subject FOIA request, in which the requester, Alex Wellerstein, sought "access to and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s."

I forwarded the subject request to the Los Alamos National Laboratory (LANL) Information Practices Office (IPO). Upon receipt and based on the scope of the request, Ms. Judy Rose Archuleta of the IPO staff consulted with Roger Meade, Archivist/Historian [Affiliate] in the Laboratory Archives; Mr. Meade reviewed the scope and advised that to his knowledge there are no responsive records in the Archives, but recommended Ms. Archuleta refer the request to the Laboratory's Classification Office (SAFE-1); if SAFE-1 is unable to assist, he recommended transferring the request to the Office of Classification at the U.S. Department of Energy Headquarters (DOE-HQ) Office for processing.

Ms. Archuleta consulted with Daniel Gerth, Group Leader in SAFE-1; Mr. Gerth also reviewed the scope of the request and advised that the information requested does not exist at LANL; further, he advised that if the information still exists today, it would most likely exist at DOE-HQ. Given similar previous requests where we have recommended transferring them to DOE-HQ for processing, two resources to consult include:

Weston-Dawkes, Andrew P. (Andrew)  
Phone: 301-903-3526  
Fax: 301-903-6961  
Route Symbol: HS-90  
Building: GTN  
Location: GERMANTOWN MD  
Routing: HS-90  
Organization: Office of Classification  
Title: DIRECTOR, OFFICE OF CLASSIFICATION  
Internet Address: [ANDREW.WESTON-DAWKES@hq.doe.gov](mailto:ANDREW.WESTON-DAWKES@hq.doe.gov)

Shirley Peterson

- 2 -

Chalk, Edith A. (Edie)  
Phone: 301-903-1185  
Fax: 301-903-6133  
Route Symbol: HS-92  
Building: GTN  
Location: GERMANTOWN MD  
Routing: HS-92  
Organization: Office of Technical Guidance  
Title: SUPERVISORY GENERAL ENGINEER  
Internet Address: [edie.chalk@hq.doe.gov](mailto:edie.chalk@hq.doe.gov)

If Mr. Weston-Dawkes or Ms. Chalk are unable to assist, perhaps they can refer the request to more appropriate resources.

Therefore, based on the scope of this request and the results of Ms. Archuleta's communications with Mr. Meade and Mr. Gerth, that they are not aware of any records in LANL's possession responsive to this request, we recommend that you transfer the request to DOE HQ, as outlined above.

Total charges incurred by LANL for processing this FOIA request are \$156.60, as detailed in the attached cost sheet.



Bonni Wethington  
Office of Counsel

cc:  
J.R. Archuleta, IPO, IRM-RMMSO, MS-A150



Record of Freedom of Information (FOI) Processing Cost for Contractor Personnel					
1. REQUEST NUMBER 07-187-P	2. TYPE OF REQUEST (X one)		3. DATE COMPLETED (YY/MM/DD) 1-14-2009		
	X	INFL	APPEAL		
4. CLERICAL HOURS	TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)	
a. Search					
b. Review/Excluding					
c. Correspondence and Forms Preparation					
d. Administrative Costs (15% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS	TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)	
a. Search					
b. Review/Excluding					
c. Coordination/Approval/Denial					
d. Administrative Costs (15% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS	TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)	
a. Search					
b. Review/Excluding					
c. Coordination/Approval/Denial					
d. Administrative Costs (15% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH	TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)	
a. Machine Hours					
b. Programmed Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION	TOTAL HOURS (1)		RATE (2)	COSTS (3)	
a. Pages Reproduced					
9. MICROFILME REPRODUCTION	TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)	
a. Microfilm Reproduced					
10. COMPUTER COPY	TOTAL HOURS (1)		RATE (2)	COSTS (3)	
a. Printout (8-1/2 x 11)					
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS	TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)	
a. Materials Reproduced					
12. FOR FOI OFFICE USE ONLY					
a. Search Fees Paid			1. Total Collectible Costs		
b. Review Fees Paid			g. Total Processing Costs		\$756 <sup>00</sup>
c. Copy Fees Paid			h. Total Charges		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Chargeable to all requesters after application of all waiver criteria.  
 \*Chargeable only to commercial requesters, plus single asterisk items.  
 \*Only initial review is chargeable to commercial requesters.

**Peterson, Shirley**

---

**From:** Judy Rose Archuleta [ajrose@lanl.gov]  
**Sent:** Wednesday, January 14, 2009 4:49 PM  
**To:** Wethington, Bonni  
**Cc:** Peterson, Shirley; dgerth@lanl.gov  
**Subject:** ~~CLOSE OUT~~ RESPONSE TO FOIA 07-187-P (Ref:IP/IRM-RMMSO:2009:7)

U.S. Department of Energy/NNSA  
Los Alamos Site Office  
Attn: Bonni Wethington, MS-A316  
Office of Legal Counsel  
3747 West Jemez Road  
Los Alamos, NM 87544

Dear Bonni:

**SUBJECT: FOIA 07-187-P**

This responds to FOIA 07-187-P, dated January 5, 2009, recommending the DOE NNSA Service Center FOIA Office transfer this request to Alexander (Chris) Morris, Freedom of Information & Privacy Acts Officer at DOE-HQ, for processing.

The Los Alamos Site Office (LASO) requested Los Alamos National Security, LLC (LANS) / Los Alamos National Laboratory (LANL) conduct a search for, and provide, copies of responsive records to the request from Alex Wellerstein. Specifically, the request from Mr. Wellerstein stated, "... **I request access to and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.**"

Based on the scope provided, I consulted with Roger Meade, Archivist/Historian [Affiliate] in the Laboratory Archives (IRM-RMMSO); Mr. Meade reviewed the scope and advised that to his knowledge there are no responsive records in the Archive's but recommended I refer the request to the Laboratory's Classification Office (SAFE-1); if SAFE-1 is unable to assist, he recommends you transfer the request to the Office of Classification at DOE-HQ for processing.

I consulted with Daniel Gerth, Group Leader in SAFE-1; Mr. Gerth also reviewed the scope of the request and advised the information requested does not exist at LANL; further, he advised that if the information still exists today, it would most likely exist at DOE-HQ. Given previous similar requests that we have recommended be transferred to DOE-HQ for processing, two resources to consult include:

Weston-Dawkes, Andrew P. (Andrew)  
Phone: 301-903-3526  
Fax: 301-903-6961  
Route Symbol: HS-90  
Building: GTN  
Location: GERMANTOWN MD  
Routing: HS-90  
Organization: Office of Classification

1/15/2009

Title: DIRECTOR, OFFICE OF CLASSIFICATION  
Internet Address: [ANDREW.WESTON-DAWKES@hq.doe.gov](mailto:ANDREW.WESTON-DAWKES@hq.doe.gov)  
Directory: DOE

+

Chalk, Edith A. (Edie)  
Phone: 301-903-1185  
Fax: 301-903-6133  
Route Symbol: HS-92  
Building: GTN  
Location: GERMANTOWN MD  
Routing: HS-92  
Organization: Office of Technical Guidance  
Title: SUPERVISORY GENERAL ENGINEER  
Internet Address: [edie.chalk@hq.doe.gov](mailto:edie.chalk@hq.doe.gov)  
Directory: DOE

If Mr. Weston-Dawkes and Ms. Chalk are unable to assist, perhaps they can refer the request to more appropriate resources.

Therefore, *based on the scope of this request*, as well as my communications with Mr. Meade and Mr. Gerth, we are not aware of any records in the Laboratory's possession responsive to this request. The decision to transfer the request to DOE-HQ for additional processing under the FOIA is left to your office and/or the NNSA Service Center FOIA Office.

Total charges incurred by LANL for processing this FOIA request are **\$156.60** (as detailed in the cost sheet faxed to you separately today).

We will consider this request closed unless you advise in writing otherwise.

Sincerely,

Judy Rose Archuleta  
LANS / LANL Information Practices Office

Attachment: a/s

Cy w/o Attachment:  
Shirley Peterson, DOE/NNSA FOIA Office, MS-A906  
IRM-RMMSO LOCATES, MS-A150  
IRM-RMMSO IP Outgoing File, MS-A150

Cy w/Attachment:  
IRM-RMMSO IP Work File, MS-A150

Los Alamos National Laboratory (LANL)  
Operated by LOS ALAMOS NATIONAL SECURITY, LLC (LANS) for DOE/NNSA  
Information Practices Office  
Attn: Judy Rose (JR) Archuleta  
IRM-RMMSO, MS-A150

1/15/2009

P.O. Box 1663  
Los Alamos, NM 87545  
Phone 505-667-4515/Fax 505-667-1392  
Email [ajrose@lanl.gov](mailto:ajrose@lanl.gov)

# memorandum

National Nuclear Security Administration  
Los Alamos Site Office  
Los Alamos, New Mexico 87544

DATE: August 2, 2007  
REPLY TO: OC-07-71:BMW  
ATTN OF:  
SUBJECT: Request for Records Responsive to Freedom of Information Act (FOIA) Request No. 07-187-P

TO: Henry Johnson, Group Leader, Information, Records & Media Services, IM-9,  
LANL, MS-A150

While most records produced and maintained by DOE M&O contractors are not "agency records" subject to disclosure under FOIA, DOE as a matter of policy has determined to make contractor records, which by the terms of its contract are the property of the Government, available to the public, in accordance with the conditions set forth in 10 CFR § 1004.3(e).

Accordingly, we are forwarding a copy of the captioned FOIA request. Please review the request and determine whether your organization possesses responsive records. If you have no responsive records subject to 10 CFR § 1004.3(e), advise me immediately. If you believe the request needs clarification before you begin your search, notify me at 667-4667 by **August 9, 2007**. Otherwise, provide this office the responsive documents by **August 23, 2007**, along with a short description of their sensitivity to public release.

If your record holder(s) locate responsive record(s), but you cannot provide the records to this office by the suspense date, notify me by e-mail or facsimile, giving the date you expect to provide the records along with an explanation for the delay.

**If any responsive record(s) contain classified information, please notify me immediately.** The appropriate FOIA Specialist at the National Nuclear Security Administration Service Center (NNSA SC) will inform the requester in writing that identified responsive record(s) are classified, and it may take up to two years to continue processing the request. The requester then must reply back to NNSA SC their desire for us to continue processing their request, which also acknowledges their understanding of the longer process.



Bonni M. Wethington, Paralegal  
Office of Counsel

Attachments

cc w/o attachments:  
Shirley Peterson, OPA/NNSA SC - AL



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



July 31, 2007

Mr. Alex Wellerstein  
Department of the History of Science  
Harvard University  
Science Center 371  
Cambridge, MA 02138

#### RECEIPT OF FREEDOM OF INFORMATION ACT REQUEST

Dear Mr. Wellerstein:

This letter acknowledges the receipt of your Freedom of Information Act (FOIA) request dated July 23, 2007 for access to, and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

It is the practice of the National Nuclear Security Administration Service Center, in accordance with the Departmental policy, to process FOIA requests in the approximate order of the date received by this office. A number of requests received prior to yours are pending, and your request will be processed in turn. We will make every effort to respond to your request as quickly as possible.

If you have questions concerning the processing of your request, please contact me at (505) 845-6393 and reference Control Number FOIA 07-187-P.

Sincerely,

Shirley Peterson  
Information Programs Specialist  
Office of Public Affairs





DOE F 5635.3  
(09-95)  
05-94 editions may be used

U.S. DEPARTMENT OF ENERGY  
**CLASSIFIED DOCUMENT RECEIPT**

OMB Control No.  
1910-1800  
OMB Burden Disclosure  
Statement on Reverse

<b>TO</b>  HS-90, ANDREW P. WESTON-DAWKES US DEPARTMNET OF ENERGY PO BOX A GERMANTOWN MD 20875-0963	<b>POSTAL NUMBER</b>
	<b>DATE MAILED</b>
	<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.

<b>FROM</b>  CHRISTINA H. HAMBLLEN NNSA SERVICE CENTER PO BOX 5400 ALBUQUERQUE NM 87185-5400
---

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Hamblen Memo to Weston-Dawkes		9/01/10	SRD		
Memoranda (5) from Harvey Lee		6/29/10	SRD		
COK-2010-012, 3/29/10		3/29/10	SRD		
WNP-35, 7/27/70		7/27/70	SRD		
WNP-36, 10/5/70		10/5/70	SRD		
WNP-45, 3/1/72		3/1/72	SRD		
LSO Comments (U)		Undated	U		
CONF-DOC-1		Undated	CONF		
CONF-DOC-2		Undated	CONF		
CONF-DOC-3		Undated	CONF		
CONF-DOC-4		Undated	CONF		
MORE CONTINUED ON ATTACHED PAGE					

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or  
name of addressee and  
signature of recipient.

Date

Received for addressee by

(to be used only by mail rooms)

Date

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



Printed with soy ink on recycled paper

Print Form

DOE F 5635.3  
(09-95)  
05-94 editions may be used

OMB Control No.  
1910-1800

**OMB BURDEN DISCLOSURE STATEMENT**

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Management Program Management Group, Records Management Team, HR-424 - GTN, Paperwork Reduction Project (1910-1800), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-1800), Washington, DC 20503.

**Continuation of DOE F 5635-3 Classified Document Receipt -- FOIA 07-187**

PHYS-78-4, 12/6/78  
BY-71-38, 6/14/84  
COVD-1180, 7/29/71  
COVD-1155, 7/1/71  
COPDE-71-4, 3/24/71  
COVD-1117, 4/28/71  
BY-71-2, 1/26/71  
CPL-72-500, 9/6/72  
COPD-72-140, 9/5/72  
COVD-1368, 8/23/72  
PHYS-82-5, 2/24/82  
X-82-56, 2/18/82  
COT-79-34, 11/1/79  
COPJ-75-231, 7/2/75  
COVD-1363, 8/9/72  
COVD-1358, 7/27/72  
BY-72-44, 6/30/72  
COVD-1287, 1/18/72  
COVD-936, 6/22/70  
COVD-931, 6/15/70  
OACOVD-111, 2/2/70  
CPL-69-23, 12/1/69  
COTMC-69-6, 6/19/85  
COVD-284, 6/13/68  
CLYC-82-65, 3/16/82  
CLYC-82-60, 3/1/82  
ADL-75-10, 3/26/75  
TX-185-75, 4/24/75  
COPJ-74/306, 8/29/74  
COPJ-72-424, 11/8/72  
COVD-1389, 10/20/72  
COVD-1388, 10/19/72  
COVD-1283, 12/27/71  
COVD-1264, 11/16/71  
COVD-1055, 12/4/70  
COVD-956, 7/6/70  
COVD-1280, 12/27/71  
COPD-72-1, 1/5/72  
U-DOC-1  
U-DOC-2  
U-DOC-3  
U-DOC-4  
U-DOC-5  
U-DOC-6  
U-DOC-7  
U-DOC-8  
U-DOC-9  
U-DOC-10  
U-DOC-11  
U-DOC-12  
U-DOC-13

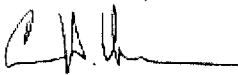
~~SECRET/RO~~



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



September 1, 2010

MEMORANDUM FOR: Andrew P. Weston-Dawkes, Director, Office of Classification, HS-90,  
Germantown, MD  
  
FROM: Christina H. Hamblen, Information Programs Specialist, Office of Public  
Affairs, NNSA Service Center  
SUBJECT: Review of 42 Records Responsive to Freedom of Information Act  
(FOIA) Request Identified by Control Number FOIA 07-187-P-H

Pursuant to 10 CFR, Section 1004.6(c), the attached 42 records are forwarded for your review to determine if they are properly classified and whether any portions are releasable. The records were provided by Lawrence Livermore National Laboratory as being responsive to the above-referenced FOIA request.

Also attached is a copy of the initial request, dated July 23, 2007 from Mr. Alex Wellerstein, and five transmittal correspondence from the Livermore Site Office (LSO). The reviewer of the classified information was Mr. Harvey Lee; his telephone number is (925) 423-8248. Classified portions of the records are bracketed in red and/or black erasable pencil. Five transmittal memoranda from LSO as well as classified notes, and reference guides WNP 35, WNP-36 and WNP-45 that were used during LSO's classification review are also attached.

In addition, the classifier determined that some documents have a different sensitivity than when they were originally classified. He has identified the documents along with his determinations in his memos that were addressed thru Carolyn Becknell.

Thirteen unclassified documents that were provided by LLNL are attached. These records were also responsive to the request and are provided for review along with the classified to ensure there is no problem with releasing the documents.

Please contact me by e-mail at [chamblen@doeal.gov](mailto:chamblen@doeal.gov), or by telephone at (505) 845-4765, if you have any questions. Please reference our control number: FOIA 07-187-P-H.

Attachments: See page 2

~~Documents transmitted herewith contain~~  
~~RESTRICTED DATA~~

When separated from enclosures handle  
this document as UNCLASSIFIED.

~~SECRET/RO~~

~~SECRET/RO~~

Andrew P. Weston-Dawkes

2

May 26, 2009

62 ATTACHMENTS:

1. FOIA Request from Alex Wellerstein
2. Memoranda (5) from Harvey Lee

NOTES & REFERENCES:

1. COK-2010-012, 3/29/10
2. WNP-35, 7/27/70
3. WNP-36, 10/5/70
4. WNP-45, 3/1/72
5. LSO Comments (U)

RESPONSIVE RECORDS FOR REVIEW:

PART 1

1. CONF-DOC-1
2. CONF-DOC-2
3. CONF-DOC-3
4. CONF-DOC-4

PART 2

1. PHYS-78-4, 12/6/78
2. BY-71-38, 6/14/84
3. COVD-1180, 7/29/71
4. COVD-1155, 7/1/71
5. COPDE-71-4, 3/24/71
6. COVD-1117, 4/28/71
7. BY-71-2, 1/26/71
8. CPL-72-500, 9/6/72
9. COPD-72-140, 9/5/72
10. COVD-1368, 8/23/72
11. PHYS-82-5, 2/24/82
12. X-82-56, 2/18/82
13. COT-79-34, 11/1/79
14. COPJ-75-231, 7/2/75
15. COVD-1363, 8/9/72
16. COVD-1358, 7/27/72
17. BY-72-44, 6/30/72
18. COVD-1287, 1/18/72
19. COVD-936, 6/22/70
20. COVD-931, 6/15/70
21. OACOVD-111, 2/2/70
22. CPL-69-23, 12/1/69
23. COTMC-69-6, 6/19/85
24. COVD-284, 6/13/68
25. CLYC-82-65, 3/16/82
26. CLYC-82-60, 3/1/82
27. ADL-75-10, 3/26/75
28. TX-185-75, 4/24/75

~~SECRET/RO~~

~~SECRET/ RD~~

Andrew P. Weston-Dawkes

3

May 26, 2009

29. COPJ-74/306, 8/29/74
30. COPJ-72-424, 11/8/72
31. COVD-1389, 10/20/72
32. COVD-1388, 10/19/72
33. COVD-1283, 12/27/71
34. COVD-1264, 11/16/71
35. COVD-1055, 12/4/70
36. COVD-956, 7/6/70
37. COVD-1280, 12/27/71
38. COPD-72-1, 1/5/72

PART 3

1. U-DOC-1
2. U-DOC-2
3. U-DOC-3
4. U-DOC-4
5. U-DOC-5
6. U-DOC-6
7. U-DOC-7
8. U-DOC-8
9. U-DOC-9
10. U-DOC-10

PART 4

1. U-DOC-11
2. U-DOC-12
3. U-DOC-13

~~SECRET/ RD~~

**NNSA SERVICE CENTER  
FREEDOM OF INFORMATION ACT (FOIA) REQUEST ROUTING SHEET**

**FOIA REQUEST NO. 07-187-P**

<input type="checkbox"/>	KCSO	Sherry Kinsey-Cannon/Jennifer Honeysucker	816-997-5321 / 816-997-7324
<input type="checkbox"/>	LASO	Bonni Wethington/Lisa Cummings	505-667-4667 / 505-665-9172
<input checked="" type="checkbox"/>	LSO	Debbie Harkness	925-422-2168
<input type="checkbox"/>	NSO	Darwin J. Morgan	702-295-1755
<input type="checkbox"/>	PSO	Brenda Finley/Darrell Riekenberg	806-477-3120 / 806-477-7129
<input type="checkbox"/>	SSO	Wanda Peigler/Tami Moore	505-845-5765 / 505-845-5264
<input type="checkbox"/>	YSO	Steven Wyatt/Terri L. Slack	865-576-9918 / 865-576-1722
<input type="checkbox"/>	OCC/SC	Evelyn Holley	505-845-5176
<input type="checkbox"/>	OCI/SC	Margaret A. Todd	505-845-4691
<input type="checkbox"/>	EEO/SC	Mae Harris	505-845-5517
<input type="checkbox"/>	OHCMS/SC	Janie Dennis	505-845-6271
<input type="checkbox"/>	OTS/SC	Gaeton Falance	505-845-5636
<input type="checkbox"/>	OFS/SC	Jan Sisneros	505-845-4447
<input type="checkbox"/>	OBS/SC	Maria Gurule	505-845-4385
<input type="checkbox"/>	OFFM/SC	Jennifer Martinez-Eubanks	505-845-4177
<input type="checkbox"/>	OST (NA-15)	Brenda Crain/Janice Willis	505-845-5928
<input type="checkbox"/>	OST (Health Unit)	Deborah Healy/Faye Wegner	505-845-6677
<input type="checkbox"/>	NA-10	Jeffrey H. Davis	202-586-3852
<input type="checkbox"/>	NA-20	Chuck Dougherty	202-586-0435
<input type="checkbox"/>	NA-30	Stephen Trautman	202-781-6136
<input type="checkbox"/>	NA-40	Carl Pavetto	202-586-9892
<input type="checkbox"/>	NA-50	Thad Konopnicki	202-586-8115
<input type="checkbox"/>	NA-60	James B. Lambert	202-586-3714
<input type="checkbox"/>	NA-70	John Adams/Irwin Binder/Vince Vecera	301-903-4104 / 301-903-4104 / 301-903-8863

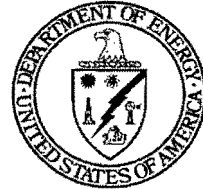
The subject FOIA request was received at the NNSA Service Center on

The NNSA Service Center's response is due to the requester in 20 working days on:

**Failure to respond to FOIA requests as required may lead to disciplinary action.**



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



April 7, 2009

MEMORANDUM FOR: Alice Williams, Manager, Livermore Site Office (Attention: Debbie Harkness)

FROM: Shirley L. Peterson, Information Programs Specialist, Office of Public Affairs, NNSA Service Center

SUBJECT: Freedom of Information Act Request Control Number 07-187-P

By federal law, the U.S. Department of Energy (DOE), National Nuclear Security Administration is obligated to answer Freedom of Information Act (FOIA) requests within twenty (20) working days of receipt. Listed below is a guide for your use in processing the attached FOIA request. Please use this memorandum as a checklist to ensure complete processing of the request. If you are aware of another organization that might have responsive record(s) that is not identified on the FOIA Request Routing Sheet, notify the FOIA Specialist immediately.

**Within seven (7) working days of receipt**, conduct a quick review of all parts of the request to determine if any of these issues apply to you:

- authorized fees are not enough to cover the processing or the requested fee waiver needs to be evaluated.
- clarification of the request or scope narrowing is needed.
- responsive records encompass classified records, Unclassified Controlled Nuclear Information, Export Control Information, or "work for others" information.

If you determine that these issues exist, call the FOIA Specialist as the requester may have to be notified.

**A valid FOIA request must:**

- sufficiently identify the specific records sought such that a person who is reasonably familiar with the records would know where to locate them with a reasonable amount of effort.
- agree to pay reasonable search and production costs, or provide sufficient information to justify a fee waiver.



Although determinations on fees are made by the Service Center, often the FOIA Specialist at the Service Center has no way of knowing the extent of the search required or the number of records that are involved. Therefore, early communication between the record holder office and the FOIA Specialist is crucial, so that when necessary, the requester can be consulted for clarification or the need for additional fees. These discussions may lead to a basis for stopping the 20-day clock.

Also, remember that the FOIA does not require the creation of records or the answering of questions to respond to a request.

**For Site Offices, with seven (7) working days**, if it is determined that responsive records that are the "property of the Government" as provided in the contract may be located at the contractor organization, forward the request to the contractor, and notify the FOIA Specialist immediately with the transmittal date. Our process requires that we notify the requester of this in writing and that their request has been forwarded to that contractor(s). This is just a notification to the requester; it does not stop the 20-day clock.

When searching for responsive record(s) in your organization, this search includes records maintained in any format, including electronic files, active files in your organization, and retired files in the Archives. If you know responsive record(s) are available through the following, state this in your response and provide as much bibliographical information as possible to be provided to the requester.

- Office of Science and Technical Information (OSTI).
- National Technical Information Service (NTIS).
- Public library.
- DOE Reading Room.

If the record(s) can be located in a DOE Reading Room and the requester is within 100 miles of that location, we are not required to provide the document(s); it is considered to be in the public domain. If responsive records (records that are the "property of the Government" under terms of the contract) exist at any contractor facility, request that the contractor provide the document(s) together with a short statement regarding sensitivity of public release. Advise the FOIA Specialist of the date of your transmittal to the contractor and provide a copy of the contractor cost sheet for the contractor's use, their return to you, and your return to the Specialist.

Within the timeframes specified below, provide one of the following responses to this office. Your final response must identify which agency record(s) or portion of agency record(s) respond to which part of the request ensuring that you have answered all parts of the request. If agency records have been destroyed, state the authority to destroy, date of destruction, and by whom.

**Within ten (10) working days:**

If your organization does not locate any responsive record(s) for the entire request, send a final response, by either hard copy, E-mail, or facsimile, stating your organization conducted a search for responsive record but could not locate any. Please state specifics about where you searched.

If possible, make a recommendation as to where you feel the document(s) could be located. Your final response should also include your completed Record of FOIA Processing Cost for Government Personnel.

**Within twelve (12) working days:**

If your organization locates responsive record(s) but you have determined you cannot provide the records to this office within seventeen (17) days, provide a response to this office by E-mail or facsimile providing the date you expect to provide the agency records with an explanation as to why you cannot meet the deadline.

**Within seventeen (17) working days:**

If your organization locates responsive record(s) that are determined to be totally releasable and you can provide them to this office with 17 working days, **your final response should contain:**

- a statement that the agency record(s) are totally releasable.
- two copies of each record(s)
- an index of the records.
- your completed Record of FOIA Processing Cost for Government Personnel.

If your organization locates responsive record(s) in its files and has determined that the record(s) contain sensitive information that may qualify for exemption under the FOIA, please identify the information that raises the concern in your response. Please provide **one copy highlighted in yellow**, citing the exemption(s) to be applied, and one clean copy.

If your contractor organization locates responsive record(s) that are the "property of the Government" pursuant to the contract but claims that the records qualify for exception from the agency policy under the terms of 10 CFR 1004.3(e) (2) because the records "contain information or technical data having commercial value...", you should contact the Service Center Office of Chief Counsel for further guidance.

If your organization locates responsive record(s) in its files and has determined that the record(s) contain sensitive information that may qualify for exemption under the FOIA, please identify the information that raises the concern in your response.

If the record(s) are of the type that can be neither confirmed nor denied for security or other reasons, please consult with the FOIA Specialist, your site counsel and/or the Service Center Office of Chief Counsel.

If responsive records in the possession of an M&O contractor were prepared in response to a "work for others" agreement between the contractor and another agency, do not obtain the records from the contractor and advise the FOIA Specialist of this fact immediately so that the request can be sent to the agency for which the work is being performed. Such records are not DOE/NNSA agency records.

In the event any documents located were originated by another agency and there are no copying restrictions, provide to this office for referral to that agency:

- name of the agency.
- two copies of the document(s).

However, if there are copying restrictions, provide:

- name of the agency.
- an index only of unclassified titles(s).

All agencies retain the right to review and release their own documents.

If any responsive record(s) contain classified information, Unclassified Controlled Information (UCNI) or Export Control Information (ECI), notify the FOIA Specialist immediately as special processing rules apply.

For Records Management purposes, this office does not maintain the official record copy of responsive record(s) to FOIA requests. The organization providing the responsive record(s) maintains the official record copy and should maintain FOIA files to include copies of all correspondence as well as responsive record(s) to each request in accordance with the Records Management program.

If you have any questions or need additional assistance, please contact me at (505) 845-6393. The FOIA staff stands ready to provide any assistance you may need to process this request in a timely manner.

Shirley L. Peterson  
Information Programs Specialist  
Office of Public Affairs

Four Attachments

1. FOIA Request
2. List of FOIA Exemptions
3. Record of FOIA Processing Cost for Government Personnel
4. Record of FOIA Processing Cost for Contractor Personnel

### **Freedom of Information Act (FOIA) Exemptions:**

The nine categories of records that are exempt from disclosure under FOIA are:

- (1) Documents classified by executive order – (national security information).
- (2) Internal personnel rules and procedures – (examples: internal manuals and standard operating procedures).
- (3) Documents specifically exempted by statute – (restricted data, formerly restricted data, and unclassified controlled nuclear information).
- (4) Confidential or proprietary business information submitted to the department of energy – (examples: portions of contracts or proposal).
- (5) Records which are inter- or intra- agency memorandums or letters – (this exemption safeguards the deliberative policy-making process. Draft documents are usually considered pre-decisional, deliberative process documents. However, final decisions must be released, along with the factual information. This exemption also includes attorney-client work products).
- (6) Records which would be a clearly unwarranted invasion of personal privacy – (examples: documents contained in personnel or medical files).
- (7) Records of information compiled for “for enforcement purposes” (this would not include background investigative reports or documents concerning security clearances) to the extent that disclosure would:
  - (a) interfere with the enforcement proceedings.
  - (b) deny an individual of a right to a fair or impartial adjudication.
  - (c) be an unwarranted invasion of personal privacy.
  - (d) disclosure the identity of a confidential source.
  - (e) reveal investigator techniques or procedures.
  - (f) endanger the life or physical safety of any individual.
- (8) Records that pertain to the regulation and supervision of financial institutions.
- (9) Maps and records containing geological and geophysical information concerning wells.

Rec'd  
7/30/07  
EDB

U.S. Department of Energy  
Freedom of Information Act Office  
Office of Public Affairs  
Attn: Carolyn Becknell, MS/A906  
Albuquerque Operations Office  
P.O. Box 5400  
Albuquerque, NM 87185-5400

**FOIA-07-187 P**

July 23, 2007

**FOIA REQUEST**  
**Fee waiver requested**

Dear FOI Officer:

Pursuant to the federal Freedom of Information Act, 5 U.S.C. § 552, I request access to and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

I would like to receive the information in electronic format if possible.


Please waive any applicable fees. Release of the information is in the public interest because it will contribute significantly to public understanding of government operations and activities. I am a Ph.D. candidate at Harvard University writing a dissertation on the history of nuclear weapons secrecy in the United States. As numerous sources have credited nuclear secrecy as holding the origins of the modern security state, information as to how this came into being will be of interest both for historians as well as policymakers in thinking about the activities and history of the United States government. These records will aid in this goal. My dissertation will eventually published in book and article form(s) for public and scholarly consumption. Additionally any difficult or unique papers received will be put into a public archive (such as the National Security Archive at George Washington University) to be maximally accessible to the public.

If my request is denied in whole or part, I ask that you justify all deletions by reference to specific exemptions of the act. I will also expect you to release all segregable portions of otherwise exempt material. I, of course, reserve the right to appeal your decision to withhold any information or to deny a waiver of fees.

As I am making this request as a scholar and this information is of timely value, I would appreciate your communicating with me by telephone, rather than by mail, if you have questions regarding this request.

I look forward to your reply within 20 business days, as the statute requires.  
Thank you for your assistance.

Sincerely,

  
Alex Wellerstein  
Dept. of the History of Science  
Harvard University  
Science Center 371  
Cambridge, MA 02138  
617-501-0360  
wellerst@fas.harvard.edu

# Record of Freedom of Information (FOI) Processing Cost for Government Personnel

1. REQUEST NUMBER FOIA 07-187-P		2. TYPE OF REQUEST (X one)		3. DATE COMPLETED (YY/MM/DD)	
		<input checked="" type="checkbox"/> a. INITIAL	<input type="checkbox"/> b. APPEAL		
4. CLERICAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Correspondence and Forms Preparation					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Machine Hours			X		=
b. Programmer/Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)		RATE (2)	COSTS (3)
a. Pages Reproduced			X	\$ .05	=
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Microfiche Reproduced			X		=
10. COMPUTER COPY		TOTAL HOURS (1)		(2)	COSTS (3)
a. Printout (8-1/2 x 11)			X	\$ .05	=
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Materials Reproduced			X		=
12. FOR FOI OFFICE USE ONLY					
a. Search Fees Paid			f. Total Collectable Costs		
b. Review Fees Paid			g. Total Processing Costs		
c. Copy Fees Paid			h. Total Charged		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Chargeable to all requesters after application of all waiver criteria.

\*\*Chargeable only to commercial requesters plus single-asterisk items.

+Only initial review is chargeable to commercial requesters.

# Record of Freedom of Information Act (FOIA) Processing Costs for Contractor Personnel

1. REQUEST NUMBER FOIA 07-187-P		2. TYPE OF REQUEST (X one) <input checked="" type="checkbox"/> a. INITIAL <input type="checkbox"/> b. APPEAL		3. DATE COMPLETED (YY/MM/DD)	
4. CLERICAL HOURS		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Search			X	\$15.00	=
b. Review/Excising+					
c. Correspondence and Forms Preparation					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Search			X	\$32.00	=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Search			X	\$45.00	=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Machine Hours			X	12.00	=
b. Programmer/Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)	RATE (2)		COSTS (3)
a. Pages Reproduced			X	.05	=
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)	ACTUAL COST (2)		COSTS (3)
a. Microfiche Reproduced			X		=
10. COMPUTER COPY		TOTAL HOURS (1)	RATE (2)		COSTS (3)
a. Printout (8-1/2 x 11)			X	.05	=
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)	ACTUAL COST (2)		COSTS (3)
a. Materials Reproduced			X		=
12. FOR FOIA/PA OFFICE USE ONLY					
a. Search Fees Paid			f. Total Collectable Costs		
b. Review Fees Paid			g. Total Processing Costs		
c. Copy Fees Paid			h. Total Charged		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Chargeable to all requesters after application of all waiver criteria.

+Only initial review is chargeable to commercial requesters.

\*\*Chargeable only to commercial requesters plus single-asterisk items.

## Peterson, Shirley

---

**From:** Peterson, Shirley  
**Sent:** Thursday, April 09, 2009 6:30 AM  
**To:** 'wellerst@fas.harvard.edu'  
**Subject:** FOIA 07-187P

Mr. Wellerstein:

We are still working on your subject FOIA request. However, I have been asked by the records if there is a possibility that you can narrow the scope by adjusting the time frame requested. This time frame is extremely broad. Also, could you please clarify what types of records you are seeking.

Thank you for your cooperation and patience as we seek to satisfy your request.

*Shirley Lamar Peterson*

Information Programs Specialist  
NNSA Service Center - Office of Public Affairs  
P. O. Box 5400  
Albuquerque, NM 87185  
(505) 845-6393



## Peterson, Shirley

---

**From:** Alex Wellerstein [wellerst@fas.harvard.edu]  
**Sent:** Thursday, April 09, 2009 8:13 AM  
**To:** Peterson, Shirley  
**Subject:** Re: FOIA 07-187P

Dear Ms. Peterson,

Thanks, that helps a lot!

What I am interested in particular efforts by specifically scientists to declassify ICF -- specifically letters or memos between the scientists and the Division of Classification or AEC headquarters.

One way to narrow this down would be to focus on a few of the declassification decisions listed on the Restricted Data Declassification Decisions v.8 (RDD-8, online at <http://www.fas.org/sgp/othergov/doe/rdd-8.pdf>) under heading "IX. Inertial Confinement Fusion." Since I have filed the FOIA I have separately received information about the 1964 and 1972 decisions, items A-D can be ignored. That leaves the following declassification decisions (as indicated by their reference number, e.g. 73-2 is second declassification decision of 1973, as defined by the RDD-8): 73-2; 74-3; 79-2; 83-4; 88-3; 90-1; 93-9; 98-9

I am not interested so much in the text of the declassification decisions themselves (which are available as part of RDD-8), but any correspondence related to the making of said decisions.

Does that narrow it down more specifically?

Thanks so much for getting in touch -- I really do appreciate it.

thanks,  
Alex

On Apr 9, 2009, at 9:53 AM, Peterson, Shirley wrote:

>  
>  
> -----Original Message-----  
> From: Alex Wellerstein [mailto:wellerst@fas.harvard.edu]  
> Sent: Thursday, April 09, 2009 7:36 AM  
> To: Peterson, Shirley  
> Subject: Re: FOIA 07-187P  
>  
> Dear Ms. Peterson,  
>  
> Can you remind me which request this is in particular? (I have filed a  
> few over time.) I'd be happy to clarify things but I don't recognize  
> the FOIA #s by themselves.  
>  
> thanks so much,  
> Alex  
>

> On Apr 9, 2009, at 8:30 AM, Peterson, Shirley wrote:

>

>> Mr. Wellerstein:

>>

>> We are still working on your subject FOIA request. However, I have  
>> been asked by the records if there is a possibility that you can  
>> narrow the scope by adjusting the time frame requested. This time  
>> frame is extremely broad. Also, could you please clarify what types  
>> of records you are seeking.

>>

>> Thank you for your cooperation and patience as we seek to satisfy  
>> your

>

>> request.

>>

>> Shirley Lamar Peterson

>>

>> Information Programs Specialist

>>

>> NNSA Service Center - Office of Public Affairs

>>

>> P. O. Box 5400

>>

>> Albuquerque, NM 87185

>>

>> (505) 845-6393

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> \_\_\_\_\_

> Alex Wellerstein

> (617) 501-0360

> [wellerst@fas.harvard.edu](mailto:wellerst@fas.harvard.edu)

> <http://www.people.fas.harvard.edu/~wellerst/>

>

>

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>

> <07-187P.pdf>

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Alex Wellerstein

(617) 501-0360

[wellerst@fas.harvard.edu](mailto:wellerst@fas.harvard.edu)

<http://www.people.fas.harvard.edu/~wellerst/>

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Wednesday, August 01, 2007 8:49 AM  
**To:** Wethington, Bonni  
**Subject:** FOIA Requests 07-185P & 07-187P  
**Attachments:** Wellerstein(07-185P)RR.pdf; Wellerstein(07-187P)RR.pdf

Attached are subject requests.

SHIRLEY L. PETERSON  
INFORMATION PROGRAM SPECIALIST  
DEPARTMENT OF ENERGY/NNSA SERVICE CENTER  
OFFICE OF PUBLIC AFFAIRS  
P. O. Box 5400  
ALBUQUERQUE, NEW MEXICO 87185-5400  
PHONE: (505) 845-6393 FAX: (505) 845-2695  
E-MAIL: [SPETERSON@DOEAL.GOV](mailto:SPETERSON@DOEAL.GOV)

*I shall pass this way but once, therefore, whatever good I might do,  
Let me do it now, for I will never pass this way again. (Unknown)  
You cannot do a kindness too soon, for you never know  
how soon it will be too late. (Ralph Waldo Emerson)*

## FOIA REQUEST ROUTING SHEET

**FOIA REQUEST NO. 07-187-P**

TO THE FOIA CONTACT(S) IN THE ORGANIZATION(S) IDENTIFIED BELOW BY A CHECK MARK

<input type="checkbox"/> KCSO	Sherry Kinsey-Cannon/Jennifer Honeysucker	816-997-3718
<input type="checkbox"/> LSO	Lauren Martinez/John Belluardo	925-423-4279
<input checked="" type="checkbox"/> LASO	Bonni Wethington/Lisa Cummings	505-665-4873
<input type="checkbox"/> NSO	Kevin Rohrer	702-295-0197
<input type="checkbox"/> PSO	Brenda Finley	806-477-3185
<input type="checkbox"/> SSO	Andrea Leal/Maggie Wood	505-845-4985
	Tami Moore for Public Affairs	505-284-7197
<input type="checkbox"/> YSO	Steven Wyatt	865-574-0492
<input type="checkbox"/> OST (NA-15)	Brenda Crain/Trini Rios	505-845-5928
<input type="checkbox"/> OFFM	Donna Vigil	505-845-5500
<input type="checkbox"/> OFS	Jeannie Lozoya	505-284-7074
<input type="checkbox"/> OTS	Edna White/Christine Hamblen	505-284-7532/845-4665
<input type="checkbox"/> OCC	Ron O'Dowd	505-845-6913
<input type="checkbox"/> ODNC	Bonni Montgomery/Margaret A. Todd	505-845-4955
<input type="checkbox"/> EEOD	Mae (Arthur) Harris/Suzanne Sandoval	505-845-4963
<input type="checkbox"/> ESHD	Donna Coucke/Krystal Hughes	505-845-4879
<input type="checkbox"/> EPD	Gaeton Falance/Chris Houston	505-845-6286
<input type="checkbox"/> TSD	Janine Ford/Gary Buckingham	505-284-7579/845-5477
<input type="checkbox"/> NSD	Elena Gutierrez/Paula Spence	505-845-5960
<input type="checkbox"/> CSD	Lue Castillo	505-845-5439
<input type="checkbox"/> ITD	Jane Clute	505-284-7292
<input type="checkbox"/> HRD	Janie Dennis	505-284-6357
<input type="checkbox"/> TDD	Shari Moya	505-845-7439
<input type="checkbox"/> OBS	Denise Regalado/Maria Gurule	505-845-4210
<input type="checkbox"/> MSSD	Fran Terry	505-845-4210
<input type="checkbox"/> MOSD	Bobbie Ashmore	505-845-4067
<input type="checkbox"/> PSD	Jan Sisneros/ Katheranne Esquibel-Mead	505-845-4970/845-6303
<input type="checkbox"/> BRMD	Kevin Goetz/Michelle Beg	505-845-4834
<input type="checkbox"/> FRPAD	Chad W. Glines/Karen Laney	505-284-7574/284-7573
<input type="checkbox"/> FSD	Betty Heaslip/Barbara J. Wheeler	505-284-7171/845-5794

THE SUBJECT FOIA REQUEST WAS ACCEPTED AT THE SERVICE CENTER ON 7/30/07.

THE SERVICE CENTER'S RESPONSE IS DUE TO THE REQUESTER IN 20 DAYS ON 8/28/07.

THE FOIA OBLIGATES ALL FEDERAL EMPLOYEES WHO HAVE CUSTODY OF AGENCY RECORDS TO CONDUCT REASONABLE SEARCHES FOR REQUESTED RECORDS AND TO MAKE REASONABLE EFFORTS TO PROMPTLY PRODUCE THEM.

THE FOIA REQUIRES DOE TO NOTIFY A REQUESTER WITHIN 20 DAYS OF ITS DETERMINATION WHETHER RESPONSIVE RECORDS EXIST AND WHETHER THEY WILL BE PROVIDED.

FAILURE TO RESPOND TO FOIA REQUESTS AS REQUIRED MAY LEAD TO DISCIPLINARY ACTION AGAINST FEDERAL EMPLOYEES.

July 31, 2007

OPA:slp

Freedom of Information Act Request No. 07-187-P

Donald Winchell, Manager, Los Alamos Site Office (Attention: Bonni Wethington)

By federal law, the U.S. Department of Energy (DOE) is obligated to answer Freedom of Information Act (FOIA) requests within twenty (20) working days of receipt. Listed below is a step-by-step process for your use in processing the attached FOIA request. Please use this memorandum as a checklist to ensure complete processing of the request. **If you are aware of another organization that might have responsive record(s) and they are not identified on the FOIA Request Routing Sheet, notify the FOIA Specialist immediately.**

**Within seven (7) working days of receipt, conduct a  cursory review  of all parts of the request** to determine responsiveness. **If any of these issues applies to you:** 1) authorized fees are not enough or the requested fee waiver needs to be evaluated; 2) clarification or scope narrowing is needed; or 3) responsive records encompass classified records, **call the FOIA Specialist immediately as the requester has to be notified. This stops the 20-day clock.**

**For Area Offices primarily, also within seven (7) working days,** if no responsive agency records could be located in the possession and control of the government and it has been determined that if they exist they may be located at the contractor, forward the request to the contractor and **notify the FOIA Specialist immediately with the transmittal date.** It is now our process that we notify the requester of this in writing and that their request has been forwarded to that contractor(s). This is just a notification to the requester; it does not stop the 20-day clock.

Once the issue(s) listed above have been resolved with the requester, the **20-day clock restarts.** When searching for responsive record(s) in your organization, this search includes records maintained in any format, including electronic files, active files in your organization, and retired files in the Archives. If you know responsive record(s) are available through either the Office of Science and Technical Information (OSTI), National Technical Information Service (NTIS), a public library, or the DOE Reading Room at UNM's Zimmerman Library, state this in your response and provide as much bibliographical information as possible to be provided to the requester. (If the record(s) can be located in the DOE Reading Room at the University of New Mexico's (UNM) Zimmerman Library and the requester is within 100 miles of that location, we are not required to provide the document(s); it is considered to be in the public domain.) If responsive records exist at any contractor facility, request that the contractor provide the document(s) together with a short statement regarding releasability. Advise the FOIA Specialist of the date of your transmittal to the contractor.

Within the time frames specified below, provide one of the following responses to this office. Your final response must identify which agency record(s) or portion of agency record(s) respond to which part of the request ensuring that you have answered all parts of the request. If agency records have been destroyed, state the authority to destroy, date of destruction, and by whom.

**Within ten (10) working days:**

If your organization **does not locate any responsive record(s) for the entire request,** send a final response, either by hard copy, E-mail, or facsimile, stating your organization conducted a search for responsive record(s) but could not locate any. Please also state specifics about where you searched. If possible, make a recommendation as to where you feel the document(s) could be located. Your final response should also include your completed Record of FOI Processing Cost for Government Personnel.

**Within twelve (12) working days:**

If your organization locates responsive record(s) but you have determined you cannot provide the records to this office **within seventeen (17) working days**, provide a response to this office by E-mail or facsimile providing the date you expect to provide the agency records with an explanation as to why.

**Within seventeen (17) working days:**

If your organization locates responsive record(s) that are determined to be **totally releasable** and you can provide them to this office **within 17 working days**, your final response should contain: 1) a statement that the agency record(s) are totally releasable, 2) two copies of each record(s), 3) an index of the records, and 4) your completed Record of FOI Processing Cost for Government Personnel.

If your organization locates responsive record(s) and have determined, after legal consultation, that the records contain **technical data/proprietary information having commercial value**, your final response should contain: (1) your cite of Exemption 4 of the FOIA; (2) the name, address, and telephone number of the point-of-contact at the company(s) who the information pertains to; (3) 2 unmarked copies of the responsive agency record(s), and 4) your completed Record of FOI Processing Cost for Government Personnel.

If your organization locates responsive record(s) and have determined, after legal consultation, that the record(s) contain **other exempt information** (refer to the attached list of FOIA exemptions), your final response should contain: 1) the FOIA exemption(s) you are citing (with justification as needed); 2) 1 unmarked and 1 marked copy (exempt material highlighted only in yellow) of the responsive agency record(s); and 3) your completed Record of FOI Processing Cost for Government Personnel. Please note that if you're citing Exemption 5, Deliberative Process Privilege, meant to safeguard DOE's decision making process, an attempt needs to be made to segregate factual information from deliberative material and state so in your final response. If after your review it has been determined that a viable document cannot be produced for the requester, please state this in your response. Also describe what harm it would cause to release the deliberative material.

If the record(s) are of the type that can be neither confirmed nor denied, recommend to this office that a **"glomar response"** should be cited and provide your rationale. This office then sends your response to our Office of Declassification (OD) in HQ to make the final decision.

In the event any documents located were originated by another agency and there are no copying restrictions, provide to this office for referral to that agency: 1) name of the agency; and 2) 2 copies of the document(s). However, if there are copying restrictions, provide: 1) name of the agency; and 2) an index only of unclassified title(s). All agencies retain the right to review and release their own documents.

**If any responsive record(s) contain classified information**, notify the FOIA Specialist immediately. It is now our process that we notify the requester in writing that classified record(s) have been identified as being responsive and to continue processing the request could take up to 2 years. The 20-day clock stops. The requester now has to reply back to this office their desire that they want us to finish processing their request which also acknowledges their understanding of the longer process. If you are notified by the FOIA Specialist that the requester wishes to continue the processing, **the 20-day clock has restarted**. Your final response should consist of the following:

1. The responsive record(s) **MUST** be reviewed by an Authorized Derivative Classifier (ADC) or Reviewing Official to verify its classification. The ADC must bracket **SECRET** and/or **CONFIDENTIAL** portions of the record(s) in RED pencil only on 2 copies. Send both of these copies with your final response. This

office will then send your response with 1 copy of the classified record(s) to OD in HQ as they are the Denying Official for classified material.

2. A Reviewing Official or ADC must bracket UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION (UCNI) in BLUE pencil only on 2 copies. Your final response and the UCNI record(s) will then be reviewed by our Classification and Declassification Staff as they are the Denying Official for UCNI material.
3. A Reviewing Official or ADC must bracket EXPORT CONTROL INFORMATION (ECI) in BROWN pencil only on 2 copies. Your final response and the ECI record(s) will then be reviewed by our HQ office for their approval.
4. All classification reviews should coincide with another review to determine if any non-classified information contained in the record(s) could be withheld pursuant to any other FOIA exemption; i.e., proprietary/business confidential, predecisional/deliberative, personal privacy, etc.
5. If record(s) were originated by another agency and there are no copying restrictions, provide 2 copies of the document(s) to this office. However, if there are copying restrictions, provide the name of the agency and an index of unclassified titles to this office.

For Records Management purposes, this office does not maintain the official record copy of responsive record(s) to FOIA requests. The organization providing the responsive record(s) maintains the official record copy and should maintain FOIA files to include copies of all correspondence as well as responsive record(s) to each request in accordance with the Records Management program.

If you have any questions or need additional assistance, please contact Shirley Peterson at (505) 845-6393. The FOIA staff stands ready to provide any assistance you may need to process this request in a timely manner.

Shirley L. Peterson  
Information Program Specialist  
Office of Public Affairs

### 3 Attachments

1. FOIA Request
2. List of FOIA Exemptions
3. Record of FOI Processing Cost for Government Personnel

Rec'd  
7/30/07  
EDB

U.S. Department of Energy  
Freedom of Information Act Office  
Office of Public Affairs  
Attn: Carolyn Becknell, MS/A906  
Albuquerque Operations Office  
P.O. Box 5400  
Albuquerque, NM 87185-5400

**FOIA-07-187 P**

July 23, 2007

**FOIA REQUEST**  
**Fee waiver requested**

Dear FOI Officer:

Pursuant to the federal Freedom of Information Act, 5 U.S.C. § 552, I request access to and copies of the records relating to internal efforts within either Los Alamos National Laboratory or Lawrence Livermore National Laboratory, or by scientists inside at said laboratories, to declassify the technology of inertial confinement fusion (specifically the predominant, laser-driven variety) in the 1960s through the 1990s.

I would like to receive the information in electronic format if possible.

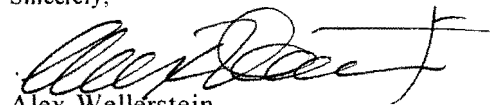
Please waive any applicable fees. Release of the information is in the public interest because it will contribute significantly to public understanding of government operations and activities. I am a Ph.D. candidate at Harvard University writing a dissertation on the history of nuclear weapons secrecy in the United States. As numerous sources have credited nuclear secrecy as holding the origins of the modern security state, information as to how this came into being will be of interest both for historians as well as policymakers in thinking about the activities and history of the United States government. These records will aid in this goal. My dissertation will eventually published in book and article form(s) for public and scholarly consumption. Additionally any difficult or unique papers received will be put into a public archive (such as the National Security Archive at George Washington University) to be maximally accessible to the public.

If my request is denied in whole or part, I ask that you justify all deletions by reference to specific exemptions of the act. I will also expect you to release all segregable portions of otherwise exempt material. I, of course, reserve the right to appeal your decision to withhold any information or to deny a waiver of fees.

As I am making this request as a scholar and this information is of timely value, I would appreciate your communicating with me by telephone, rather than by mail, if you have questions regarding this request.

I look forward to your reply within 20 business days, as the statute requires.  
Thank you for your assistance.

Sincerely,



Alex Wellerstein  
Dept. of the History of Science  
Harvard University  
Science Center 371  
Cambridge, MA 02138  
617-501-0360  
wellerst@fas.harvard.edu



**FREEDOM OF INFORMATION ACT (FOIA) EXEMPTIONS:**

THE FOLLOWING LISTS THE NINE CATEGORIES OF RECORDS WHICH ARE EXEMPT FROM DISCLOSURE UNDER THE FOIA:

EXPT. No's

- (1) DOCUMENTS CLASSIFIED BY EXECUTIVE ORDER--(NATIONAL SECURITY INFORMATION)
- (2) INTERNAL PERSONNEL RULES AND PROCEDURES--(EXAMPLES: INTERNAL MANUALS AND STANDARD OPERATING PROCEDURES)
- (3) DOCUMENTS SPECIFICALLY EXEMPTED BY STATUTE--(RESTRICTED DATA, FORMERLY RESTRICTED DATA, AND UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION)
- (4) CONFIDENTIAL OR PROPRIETARY BUSINESS INFORMATION SUBMITTED TO THE DEPARTMENT OF ENERGY--(EXAMPLES: PORTIONS OF CONTRACTS OR PROPOSAL)
- (5) RECORDS WHICH ARE INTER- OR INTRA- AGENCY MEMORANDUMS OR LETTERS--(THIS EXEMPTION SAFEGUARDS THE DELIBERATIVE POLICY-MAKING PROCESS. DRAFT DOCUMENTS ARE USUALLY CONSIDERED PREDECISIONAL, DELIBERATIVE PROCESS DOCUMENTS. HOWEVER, FINAL DECISIONS MUST BE RELEASED, ALONG WITH THE FACTUAL INFORMATION. THIS EXEMPTION ALSO INCLUDES ATTORNEY-CLIENT WORK PRODUCTS)
- (6) RECORDS WHICH WOULD BE A CLEARLY UNWARRANTED INVASION OR PERSONAL PRIVACY--(EXAMPLES: DOCUMENTS CONTAINED IN PERSONNEL OR MEDICAL FILES)
- (7) RECORDS OF INFORMATION COMPILED FOR "FOR ENFORCEMENT PURPOSES" (THIS WOULD NOT INCLUDE BACKGROUND INVESTIGATIVE REPORTS OR DOCUMENTS CONCERNING SECURITY CLEARANCES) TO THE EXTENT THAT DISCLOSURE WOULD:
  - (A) INTERFERE WITH THE ENFORCEMENT PROCEEDINGS;
  - (B) DENY AN INDIVIDUAL OF A RIGHT TO A FAIR OR IMPARTIAL ADJUDICATION;
  - (C) BE AN UNWARRANTED INVASION OF PERSONAL PRIVACY;
  - (D) DISCLOSURE THE IDENTITY OF A CONFIDENTIAL SOURCE;
  - (E) REVEAL INVESTIGATOR TECHNIQUES OR PROCEDURES;
  - (F) ENDANGER THE LIFE OR PHYSICAL SAFETY OF ANY INDIVIDUAL;
- (8) RECORDS WHICH PERTAIN TO THE REGULATION AND SUPERVISION OF FINANCIAL INSTITUTIONS.
- (9) MAPS AND RECORDS CONTAINING GEOLOGICAL AND GEOPHYSICAL INFORMATION CONCERNING WELLS.

# Record of Freedom of Information (FOI) Processing Cost for Government Personnel

1. REQUEST NUMBER 07-187-P		2. TYPE OF REQUEST (X one)		3. DATE COMPLETED (YY/MM/DD)	
		<input checked="" type="checkbox"/> a. INITIAL	<input type="checkbox"/> b. APPEAL		
4. CLERICAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search		1		X	=
b. Review/Excising+					
c. Correspondence and Forms Preparation		1/4			
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search				X	=
b. Review/Excising+		1/2			
c. Coordination/Approval/Denial		1/4			
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search				X	=
b. Review/Excising+					
c. Coordination/Approval/Denial		1/4			
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Machine Hours				X	=
b. Programmer/Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)		RATE (2)	COSTS (3)
a. Pages Reproduced		275		X .05	=
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Microfiche Reproduced				X	=
10. COMPUTER COPY		TOTAL HOURS (1)		(2)	COSTS (3)
a. Printout (8-1/2 x 11)				X .05	=
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Materials Reproduced				X	=
12. FOR FOI OFFICE USE ONLY					
a. Search Fees Paid			f. Total Collectable Costs		
b. Review Fees Paid			g. Total Processing Costs		
c. Copy Fees Paid			h. Total Charged		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No
<p>*Chargeable to all requesters after application of all waiver criteria.      +Only initial review is chargeable to commercial requesters.</p> <p>**Chargeable only to commercial requesters plus single-asterisk items.</p>					

## Instructions for Completing (FOI) Processing Cost Form

1. **REQUEST NUMBER** — First two digits will express Calendar Year followed by dash (-) and Component's request number, i.e., 87-001.
2. **TYPE OF REQUEST** — Mark the appropriate block to indicate initial request or appeal of a denial.
3. **DATE COMPLETE** — Enter date of action.
4. **CLERICAL HOURS** — For each applicable activity category, enter time expended to the nearest 15 minutes in the total hours column. The activity categories are:  
  
**Search** — Time spent in locating from the files the requested information.  
  
**Review Excising** — Time spent reviewing the document content and determining if the entire document must retain its classification or if segments could be excised thereby permitting the remainder of the document to be declassified. In reviews for other than classification, FOI exemptions 2 through 9 should be considered.  
  
**Correspondence and Forms Preparation** — Time spent in preparing the necessary correspondence and forms to answer the request.  
  
**Administrative Costs** — Self-explanatory.  
  
**Other Activity** — Time spent in activity other than above, such as, hand carrying documents to other locations, restoring files, etc.  
  
— Multiply the time in the total hours column of each category by the hourly rate and enter the cost figures for each category.
5. **PROFESSIONAL HOURS** — For each applicable activity category, enter time expended to the nearest 15 minutes in the total hours column. The activity categories are:  
  
**Search/Review/Excising, and Other Activity** — See explanation above.  
  
**Coordination/Approval/Denial** — Time spent coordinating the staff action with interested offices or agencies and obtaining the approval for the release or denial of the requested information.  
  
**Administrative Costs** — Self-explanatory.  
  
**Other Activity** — See above explanation.  
  
— Multiply the time in the total hours column of each category by the hourly rate and enter the cost figures for each category.
6. **EXECUTIVE HOURS** — For each applicable activity category, enter the time expended to the nearest 15 minutes in the total hours column. The activity categories are:  
  
**Search/Review/Excising** — See explanation above.  
  
**Administrative Costs** — Self-explanatory.  
  
**Other Activity** — See above explanation.  
  
— Multiply the time in the total hours column of each category by the hourly rate and enter the cost figures for each category.
7. **COMPUTER SEARCH** — Enter exact computer processing value in the total hours column. The salary scale (equating to Items 4 and/or 5) for the individual executing the search will be recorded as the computer search cost, and entered in the appropriate block. This rate includes machine cost.
8. **OFFICE COPY REPRODUCTION** — Enter the number of pages reproduced.  
  
— Multiply by the rate per copy and enter cost figures.
9. **MICROFICHE REPRODUCTION** — Enter the number of microfiche copies reproduced.  
  
— Multiply by the rate per copy and enter cost figures.
10. **COMPUTER COPY** — Enter the total number of printouts.  
  
— Multiply by the actual cost per printout and enter cost figures.
11. **AUDIOVISUAL MATERIALS** — Duplication cost is the actual cost of reproducing the material, including the wage of the person doing the work.
12. **FOR OIEA OFFICE USE ONLY**  
  
**Search Fees Paid** — Enter total search fees paid by the requester.  
  
**Review Fees Paid** — Enter total review fees paid by the requester.  
  
**Copy Fees Paid** — Enter the total of copy fees paid by the requester.  
  
**Total Paid** — Add search fees paid and copy fees paid. Enter total in the total paid block.  
  
**Date Paid** — Enter year, month, and day, i.e., 871024, the fee payment was received.  
  
**Total Collectable Costs** — Add the blocks in the cost column marked with an asterisk and enter total in the total collectable cost block. Apply the appropriate waiver for the category of requester prior to inserting the final figure.  
  
**Total Processing Costs** — Add all blocks in the cost column and enter total in the total processing cost block. The total processing cost in most cases will exceed the total collectable cost.  
  
**Total Charged** — Enter the total amount that the requester was charged, taking into account the fee waiver threshold and fee waiver policy.  
  
**Postage** — Enter amount of postage paid.  
  
**Fee Waiver/Reduced** — Indicate if the cost of processing the request was waived or reduced by placing an "X" in the "Yes" block or an "X" in the "No" block.

# Record of Freedom of Information (FOI) Processing Cost for Contractor Personnel

1. REQUEST NUMBER 07-187-P		2. TYPE OF REQUEST (X one)		3. DATE COMPLETED (YY/MM/DD)	
		<input checked="" type="checkbox"/> a. INITIAL	<input type="checkbox"/> b. APPEAL		
4. CLERICAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search				<div style="border: 1px solid black; padding: 10px; text-align: center;"> \$15.00 </div>	
b. Review/Excising+					
c. Correspondence and Forms Preparation			X		
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search				<div style="border: 1px solid black; padding: 10px; text-align: center;"> \$32.00 </div>	
b. Review/Excising+					
c. Coordination/Approval/Denial			X		
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search				<div style="border: 1px solid black; padding: 10px; text-align: center;"> \$45.00 </div>	
b. Review/Excising+					
c. Coordination/Approval/Denial			X		
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Machine Hours				<div style="border: 1px solid black; padding: 10px; text-align: center;"> 12.00 20.00 32.00 </div>	
b. Programmer/Operator Time					
(1) Clerical			X		
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)		RATE (2)	COSTS (3)
a. Pages Reproduced			X	.05	
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Microfiche Reproduced			X		
10. COMPUTER COPY		TOTAL HOURS (1)		RATE (2)	COSTS (3)
a. Printout (8-1/2 x 11)			X	.05	
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Materials Reproduced			X		
12. FOR FOI OFFICE USE ONLY					
a. Search Fees Paid			f. Total Collectable Costs		
b. Review Fees Paid			g. Total Processing Costs		
c. Copy Fees Paid			h. Total Charged		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Chargeable to all requesters after application of all waiver criteria.

+Only initial review is chargeable to commercial requesters.

\*\*Chargeable only to commercial requesters plus single-asterisk items.

## Instructions for Completing (FOI) Processing Cost Form

1. **REQUEST NUMBER** — First two digits will express Calendar Year followed by dash (-) and Component's request number, i.e., 87-001.

2. **TYPE OF REQUEST** — Mark the appropriate block to indicate initial request or appeal of a denial.

3. **DATE COMPLETE** — Enter date of action.

4. **CLERICAL HOURS** — For each applicable activity category, enter time expended to the nearest 15 minutes in the total hours column. The activity categories are:

**Search** — Time spent in locating from the files the requested information.

**Review Excising** — Time spent reviewing the document content and determining if the entire document must retain its classification or if segments could be excised thereby permitting the remainder of the document to be declassified. In reviews for other than classification, FOI exemptions 2 through 9 should be considered.

**Correspondence and Forms Preparation** — Time spent in preparing the necessary correspondence and forms to answer the request.

**Administrative Costs** — Self-explanatory.

**Other Activity** — Time spent in activity other than above, such as, hand carrying documents to other locations, restoring files, etc.

— Multiply the time in the total hours column of each category by the hourly rate and enter the cost figures for each category.

5. **PROFESSIONAL HOURS** — For each applicable activity category, enter time expended to the nearest 15 minutes in the total hours column. The activity categories are:

**Search/Review/Excising, and Other Activity** — See explanation above.

**Coordination/Approval/Denial** — Time spent coordinating the staff action with interested offices or agencies and obtaining the approval for the release or denial of the requested information.

**Administrative Costs** — Self-explanatory.

**Other Activity** — See above explanation.

— Multiply the time in the total hours column of each category by the hourly rate and enter the cost figures for each category.

6. **EXECUTIVE HOURS** — For each applicable activity category, enter the time expended to the nearest 15 minutes in the total hours column. The activity categories are:

**Search/Review/Excising** — See explanation above.

**Administrative Costs** — Self-explanatory.

**Other Activity** — See above explanation.

— Multiply the time in the total hours column of each category by the hourly rate and enter the cost figures for each category.

7. **COMPUTER SEARCH** — Enter exact computer processing value in the total hours column. The salary scale (equating to Items 4 and/or 5) for the individual executing the search will be recorded as the computer search cost, and entered in the appropriate block. This rate includes machine cost.

8. **OFFICE COPY REPRODUCTION** — Enter the number of pages reproduced.

— Multiply by the rate per copy and enter cost figures.

9. **MICROFICHE REPRODUCTION** — Enter the number of microfiche copies reproduced.

— Multiply by the rate per copy and enter cost figures.

10. **COMPUTER COPY** — Enter the total number of printouts.

— Multiply by the actual cost per printout and enter cost figures.

11. **AUDIOVISUAL MATERIALS** — Duplication cost is the actual cost of reproducing the material, including the wage of the person doing the work.

12. **FOR OIEA OFFICE USE ONLY**

**Search Fees Paid** — Enter total search fees paid by the requester.

**Review Fees Paid** — Enter total review fees paid by the requester.

**Copy Fees Paid** — Enter the total of copy fees paid by the requester.

**Total Paid** — Add search fees paid and copy fees paid. Enter total in the total paid block.

**Date Paid** — Enter year, month, and day, i.e., 871024, the fee payment was received.

**Total Collectable Costs** — Add the blocks in the cost column marked with an asterisk and enter total in the total collectable cost block. Apply the appropriate waiver for the category of requester prior to inserting the final figure.

**Total Processing Costs** — Add all blocks in the cost column and enter total in the total processing cost block. The total processing cost in most cases will exceed the total collectable cost.

**Total Charged** — Enter the total amount that the requester was charged, taking into account the fee waiver threshold and fee waiver policy.

**Postage** — Enter amount of postage paid.

**Fee Waiver/Reduced** — Indicate if the cost of processing the request was waived or reduced by placing an "X" in the "Yes" block or an "X" in the "No" block.

07-281-P

JEFFREY T. RICHELSON

(b)(6)

March 22, 2005

MAR 22 2005 04  
SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
100 FREE PAGES

Abel Lopez  
Freedom of Information and Privacy Group  
Office of the Executive Secretariat  
US Department of Energy  
1000 Independence Avenue S.W., HR-73  
Washington, D.C. 20585

Dear Mr. Lopez:

This is a request under the Freedom of Information Act as amended (5 U.S.C. 522). I request copies of the after(action reports for the following NEST exercises)

Patriot Pledge Jan 97  
Patriot Finance Jun 97  
Ellipse Foxtrot Jun 97  
Bright Victory Mar 98  
Gauged Strength Jun 98

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I therefore request that I be provided with all nonexempt portions which are reasonably segregable I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification.

To permit an intelligent, informed decision as to whether or not to file an administrative appeal of any denial decision, I also request that you describe in detail the withheld records (or portions thereof) and explain the logical and factual basis for exemption claims. See Mead Data v. Department of the Air Force 566 F.2d 242, 251 (D.C. Cir. 1977).

I also request a fee waiver. The fee waiver provisions under section 552 (a) (4) (A) of the FOIA, as amended on April 25, 1987, rewrote section 552 (a) (4) (A) to create categories of requesters entitled to fee waivers. Under those provisions, I qualify for exemption from fees in the "news media" category. In a recent decision, the U.S. Court of Appeals for the D.C. circuit unanimously held that for FOIA fee waiver purposes "representatives of the news media" includes any individuals who regularly publish or disseminate information to the public. (See National Security Archive v. U.S. Department of Defense, No. 88-5217, D.C. Circuit, July 28, 1989, as well as Electronic Privacy Information Center v. U.S. Department of Defense No. 02-1233, United States District Court for the District of Columbia, January 16, 2003).

F 2005-00219

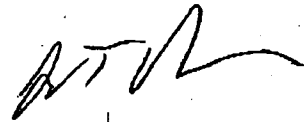
jmr

My articles and commentaries have appeared in *Scientific American*, *Air Force Magazine*, *Bulletin of the Atomic Scientists*, *Jane's Intelligence Review*, *International Journal of Intelligence and Counterintelligence*, *ISR Journal*, *Armed Forces Journal International*, *Defense Week*, *The National Interest*, *the Washington Post*, *the Los Angeles Times*, *the Boston Globe*, and *Newsday*.

My intended use of the material requested above, in light of my extensive publication record, clearly meets the criteria established by statute and case law for preferred fee status under the FOIA as a representative of the news media. As such a representative I am entitled to have search and review fees waived. And given the contribution of my work to enhancing public understanding of the government operations I request that you also waive reproduction fees.

I look forward to your response within the statutory time period. If you have any questions about this request or any other matters, please contact me at (b)(6) (phone), (b)(6) (b)(6) (fax), or (b)(6)

Sincerely,



Jeffrey T. Richelson

**Request Details Report****Request Information:**

Request #	: FOIA 07-281-P
Action Office	: NNSA
Request Type	: FOIA
Requester Category	: Other Agency
Reference	: -
Received Mode	: -
Requested Date	: 03/22/2005
Original Received Date	: 10/23/2007
Received Date	: 10/23/2007
Original Perfected Date	: 01/15/2009
Last Perfected Date	: 01/15/2009
Disposition Accepted Date	: -
Delivery Date	: -
Closed Date	: -
Original Target Date	: 11/20/2007
Target Date	: 11/20/2007
Estimated Delivery Date	: 11/20/2007
Total Days on Hold	: 0
Days Remaining	: -1373
Request Age	: 1392
Delivery Mode	: -
Multi-Track Type	: Complex
Priority	: Normal
Request Status	: Perfected
Final Disposition	: -
Discretionary Release Made	: No
Discretionary Comments	: -
Discretionary Codes	: -
Denial Authority	: -
Expedite Requested	: No
Expedite Status	: -
Expedite Start Date	: -
Expedite End Date	: -
Expedite Description	: -
Adjudicate Days (Expedite)	: -
Days of Extension	: -
Reason for Extension	: -
Approved Date for Extension	: -
Extension Status	: -

**Custom Fields:**

Locations Searched	
Select all locations searched.	: HS-90
Other Control #	
Other Control #	: F2005-00219
Transfer Details	
Sent From	: HQ
Retention Period	



Authorization

: N1-434-98-17

**Description of the Request :**

Requesting after action reports for the following NEST exercises: Patriot Pledge, January 1997; Patroit Finance, June 1997; Ellipse Foxtrot, June 1997; Bright Victory, March 1998; and Gauged Strength, June 1998.

**Sub-requests :**

Default

**Requester Information:**

Requester Name : Richelson, Jeffery  
Job Title : -  
Created Date : 12/22/2008  
Default Category : Other Agency  
Organization : -  
Work Phone 1 : -  
Work Phone 2 : -  
Mobile : -  
Fax : -  
E-Mail : -

**Address:**

Address 1 : 3201 Landover Street, #1404  
Address 2 : -  
City : Alexandria  
State : Virginia  
Country : United States  
Zip Code : 22305

**Billing Address:**

Address 1 : 3201 Landover Street, #1404  
Address 2 : -  
City : Alexandria  
State : Virginia  
Country : United States  
Zip Code : 22305

**Shipping Address:**

Address 1 : 3201 Landover Street, #1404  
Address 2 : -  
City : Alexandria  
State : Virginia  
Country : United States  
Zip Code : 22305

**Other Address:**

Name : -  
Organization : -  
Address 1 : -  
Address 2 : -  
City : -  
State : -  
Country : United States  
Zip Code : -

Phone : -  
Fax : -  
E-Mail : -

**Action History :**

Action	Comment	Action Taken By	Action Date/Time
Transfer Request	Case 'FOIA 07-281-P' transfer from 'OPA-SC' to 'NNSA' With comments: Fixing Action Offices.	Poe, Elizabeth	08/09/2011 02:44:50 PM
[SPECIALIST ACTION]:Note-New	From Front notes: 4/4/11 - Need to review classified docs that are in safe.	Poe, Elizabeth	03/14/2012 10:45:12 AM
[SPECIALIST ACTION]:Note-New	3/31/10 - TRANSFERRED TO BEN.	Poe, Elizabeth	03/31/2010 01:58:42 PM
[-]:Note-New	11/12/09 - To Givens for redacting.	Peterson, Shirley	11/18/2009 09:48:07 AM
[-]:Note-New	11/4/09 - Called Richard Givens. He is out of the office until 11/6/09. Left voice mail. Will hand deliver when he returns to office.	Peterson, Shirley	11/04/2009 08:04:40 AM
[SPECIALIST ACTION]:Note-New	10/28/09 - Rec'd SRD Documents from NA-40 provided to Shirley	Poe, Elizabeth	10/28/2009 02:31:20 PM
[-]:Note-New	9/30/09 - Email to Joseph Krol letting him know that I am the Specialist assigned to this case.	Peterson, Shirley	10/01/2009 12:35:05 PM
[SPECIALIST ACTION]:Note-New	9/30/09 - Information copy of response letter to Joseph Krol, from HS-90, provided to Shirley. Specialist should contact Mr. Krol about documents.	Poe, Elizabeth	09/30/2009 08:46:02 AM
[]:Note-New	Chris Morris' notes in Weekly Status Report: NA-42 sent documents to Classification on 2/21/07; Classification referred 3 documents to DOE and one to FBI on 12/6/07 (HS No 1097); Classification completed and the review of other documents (HS No. 1094) on 12/9/08, and returned to NA-42 on 12/20/08; on 2/12, FOIA Office and Classification contacted: DOE Charles Marye (703-696-4695) expects ECD from Joint Chiefs of Staff by 2/20; then will determine if other coordinate reviews are necessary; FBI: David Sabony (540-868-4286) records still under review; will determine ECD; also contacted Walter Chroback (NA-42) about other documents; he has not seen the package. Classification searching to see who in NNSA signed for the package in December; Joint Staff informed Classification that action will be expedited; pending with DOD and FBI	Peterson, Shirley	02/23/2009 06:55:04 AM
[]:Note-New	10/23/07 provided to Debbie for file folder.  10/16/08 according to Joan, HQ DOE, the status is--three documents referred to DOD and one to FBI on December 6, 2007  12/10/08 email from Joan saying in reference to FOIA 2005-000219----foia ACTION IS OPEN AT hs-93 AS TWO SEPARATE PACKAGES. Final coordinate responses received for 20070001094, other-agency brackets transferred, indirect response to requester (through NA-42) was signed and in placed in the dispatch queue yesterday; action was dispatched to NA-42 this morning for OUO, etc. review. Response to the requester will be by NA. Completion of 20070001097 is still awaiting coordinate review responses from the FBI and DOD	Peterson, Shirley	01/15/2009 10:09:31 AM
HQ NNSA - NA-40		Peterson, Shirley	02/23/2009 06:42:04 AM
-		Admin, Admin	02/05/2009 10:26:25 AM

Assigned By	Poe, Elizabeth	
Assigned Date	03/31/2010	
Assigned To	<u>User/Group</u> Jaramillo, Ben (Primary) <b>Admin</b> <b>1-FOIA Specialist</b> Poe, Elizabeth	<u>Action Office</u> NNSA NNSA NNSA NNSA
Comments	-	

Perfected By	: Peterson, Shirley
Original Perfected Date	: 01/15/2009
Last Perfected By	: Peterson, Shirley
Last Perfected Date	: 01/15/2009
Comments	: -

Request #	Request Type	Requester Name	Primary User	Received Date	Target Date	Request Status
No link requests found						

ID	Reason for Extension	Days	New Target Date	Approval/Denial Date	Completed Date	Extension Status	Extension Note	Approval/Denial Note	Completion Note
No Extensions found.									

File Cabinet Drawer	Folder Name	Disposition	Layer Name	No. of Pages	Date Added	Redaction Codes	Document Review Status
No folders have been added to this request.							

**FOIA Documents Details ( in Review Log ):**

File Cabinet Drawer	Folder Name	Disposition	Comments	No. of Pages	Date Added	Redaction Codes
No folders have been added to this request.						

**Page Details:**

# of pages attached to request folder	: 0
# of pages attached to request folder with partial redactions	: 0
# of pages attached to request folder with full redactions	: 0
# of pages attached to request folder without redactions	: 0
# of documents delivered	: 0
# of pages reviewed	: 0
# of pages delivered	: 0

**Partially Applied Redaction Code Details:**

Redaction Code	Description	No. of Pages Used
No Page with partial redactions was added to this request.		

**Fully Applied Redaction Code Details:**

Redaction Code	Description	No. of Pages Used
No Page with full redactions was added to this request		

**Manually - Partially Applied Redaction Code Details:**

Redaction Code	Description
No partially applied redaction code details found	

**Manually - Fully Applied Redaction Code Details:**

Redaction Code	Description
No fully applied redaction code details found	

**Manually Applied - Other Redaction Code Details:**

Redaction Code	Description
No other applied redaction code details found	

**Fee Details :**

Payment Status	: No Charges
Last Invoice Amount	: \$ 0.00
Last Invoice Number	: -
Last Invoice Date	: -
Pre-Payment Amount	: \$ 0.00
Total Invoiced	: \$ 0.00
Cost Estimated	: \$ 0.00
Cost Not Charged by the Agency	: \$ 0.00
Total Amount Paid	: \$ 0.00
Balance Due	: \$ 0.00
Amount Requester Willing to Pay	: \$ 15.00
Fee Waiver Requested	: No
Fee Waiver Status	: -
Fee Waiver Start Date	: -
Fee Waiver End Date	: -
Willing to Pay All Fees	: No

Adjudicate Days (Fee Waiver) : -

#### Fee Details Description:

Fee Items	Charge Type	Unit Rate (\$)	Quantity	Extended Value (\$)
No Fee Details have been Found for this Request.				

#### Work Hours :

Program Office	Created By	Rate	Hours	Total Cost	Comments
No Work Hours details found.					

#### Transfer Details:

Transfer To	Transfer By	Transfer Date	Comment
NNSA	Poe, Elizabeth	10/23/2007	Fixing Action Offices.

#### Correspondence Log :

Subject	User	Status	Mode	E-mail	Date
No Correspondence have been created for this Request					

#### Consultation Review Log :

Review ID	Location(s) Referred	Due date	Created Date	Imported Date	Disposition Accepted Date	Status
No consultation review log records found						

#### Requests For Documents:

ID	Location(s) Referred	Request Date	Due date	Status
No Request For Documents log details found				

C00003610



SECRET/RESTRICTED DATA

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 10 2007

MEMORANDUM FOR JAMES A. WENDT

DIRECTOR  
OFFICE OF DOCUMENT REVIEWS

THROUGH:

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00219

I have attached a copy of a FOIA request from Mr. Jeffrey T. Richelson, dated March 7, 2005. Only two of the documents requested have been located in our files. Both of these documents, Ellipse Foxtrot 1997 and Gauged Strength 1998, are classified. Two copies of each document are attached for classification review.

On March 22, 2005 Mr. Richelson resubmitted his request and added an additional five documents. Of these, only Bright Victory March 1998 has been located in our files. This document is also classified. Two copies of this document are also attached for classification review.

A copy of the memorandum from Richard Speidel, NA-66, indicating this expanded request is also attached. I do not have a copy of Mr. Richelson's FOIA request dated March 22, 2005.

Please review the attached documents and return them to this office with classified information bracketed and annotated with the correct exemption category and include an "Information for Requestor" attachment which provides reasons for withholding information along with the process for appealing the decision to withhold information.

This document review should be coordinated with the Department of Defense and the Federal Bureau of Investigation.

For your information Focal Point special handling markings have been removed from the document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office.

Attachments

This memo is unclassified without the attachments

SECRET/RESTRICTED DATA



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20020001097

SEN  
FOIA 07-282PRec'd 2/4/2010  
No. 2009000859DOE F 5635.3  
(07-90)  
(Formerly DP-126)  
All Other Editions are  
obsoleteU. S. DEPARTMENT OF ENERGY  
CLASSIFIED DOCUMENT RECEIPT

<b>TO</b>  CAROLYN BECKNELL NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER P.O. BOX 5400 ALBUQUERQUE, NM 87185-5400	<b>POSTAL NUMBER</b> RE 445 471 181 US
	<b>DATE MAILED</b> 6/25/09
	<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address 2. Describe document by subject, or title and originator. List secret and accountable Confidential enclosures. Indicate type of document e.g., Ltr., Rpt., or Dwg. 3. Show Classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.

**FROM**JOSEPH KROL  
NA-40 / FORRESTAL BUILDING  
U. S. DEPARTMENT OF ENERGY  
1000 INDEPENDENCE AVENUE, SW  
WASHINGTON, DC 20585  
FAX BACK NUMBER: (202) 586-1567

DESCRIPTION OF DOCUMENT (Subject or title and Originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not R/D, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
EXERCISE ELIGIBLE RECEIVER 2000 AFTER-ACTION REPORT (U)	NNSA.T.000019749	3/20/00	S/RD		24
Please sign and fax	NOTHING FOLLOWING this receipt back to the number	THIS LINE provided above	as soon as possible		
	282				

Contains b2 info.  
Awaiting guidance

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or name of  
addressee and signature of recipient \_\_\_\_\_ Date \_\_\_\_\_Received for addressee by James [Signature] Date 7/2/2009  
(to be used only by mail rooms)

DOE F 5635.3  
(09-95)  
05-94 editions may be used

U.S. DEPARTMENT OF ENERGY  
**CLASSIFIED DOCUMENT RECEIPT**

OMB Control No.  
1910-1800  
OMB Burden Disclosure  
Statement on Reverse

**TO:** National Nuclear Security Administration  
Albuquerque Complex  
P. O. Box 5400  
Attn: Ben Jaramillo, NA-GC-20  
Albuquerque, NM 87185-5400

**POSTAL NUMBER**

**DATE MAILED**

**FROM:** National Nuclear Security Administration SC  
Mail Room - Kathie Boissiere, NNSA, NA-713  
(CCID)  
P.O. Box 5400  
Albuquerque, NM 87185-5400

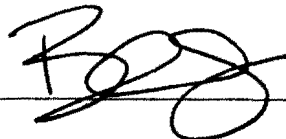
**INSTRUCTIONS**

1. Verify addressee's classified mailing address.
2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required.
3. Show classification and extra markings.
4. Forward original and duplicate to addressee.
5. Retain copy pending return of signed original by addressee.
6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages	
(U)JRX 2-98 Bright Victory After-Action Report (D00007070)	N/A	no date	SRD / <b>UNC</b>	1 + 1	76 = 38 ca.	x 2
(U) Exercise Gauged Strength after-action Report (D00007071)	N/A	09/17/1998	SRD / <b>UNC</b>	1 + 1	96 = 48 ca.	x 2
(U) Ellipse Foxtrot 97 Bold Endeavor after Report (D00007072)	N/A	09/02/1997	SNSI / <b>UNC</b>	1 + 1	108 = 54 ca.	x 2

*I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.*

Signature of addressee or  
name of addressee and  
signature of recipient.



Date 8/22/12

Received for addressee by \_\_\_\_\_

(to be used only by mail rooms)

Date \_\_\_\_\_

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09446906774**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST Author: WALT CHROBAK Originating Agency: NA-42	C00003610	02/10/2007	U
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00004245	03/22/2005	U
Document Type: Report JRX 2-98 BRIGHT VICTORY AFTER-ACTION REPORT Author: DOE	D00007070	03/01/1998	S RD
Document Type: Report DRAFT - EXERCISE GAUGED STRENGTH AFTER-ACTION REPORT Author: DOE	D00007071	09/17/1998	S RD
Document Type: Report ELLIPSE FOXTROT 97 BOLD ENDEAVOR AFTER-ACTION REPORT W/12 INCLUSIVE/ATTACHED SUBDOCUMENTS Author: DOE	D00007072	06/01/1997	S NSI
Document Type: Letter COORDINATE REVIEW RESPONSE FROM PAUL J. JACOBSMEYER, DOD-FOIA (ACTUALLY RECEIVED 08/10/2009) Author: PAUL JACOBSMEYER Originating Agency: DOD-FOIA	C00011979	07/13/2009	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (ACTUALLY RECEIVED 05/01/2009) Author: DAVID HARDY Originating Agency: FBI	C00010994	04/21/2009	U
Document Type: Letter ATTACHMENT 8 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - FOIA INFORMATION FOR REQUESTER	C00012377	09/23/2009	U
Document Type: Letter ATTACHMENT 9 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - OPENNET REQUIREMENTS	C00012378	09/23/2009	U

BEN J.

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09446906774**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST Author: WALT CHROBAK Originating Agency: NA-42	C00003610	02/10/2007	U
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00004245	03/22/2005	U
Document Type: Report JRX 2-98 BRIGHT VICTORY AFTER-ACTION REPORT Author: DOE	D00007070	03/01/1998	S RD
Document Type: Report DRAFT - EXERCISE GAUGED STRENGTH AFTER-ACTION REPORT Author: DOE	D00007071	09/17/1998	S RD
Document Type: Report ELLIPSE FOXTROT 97 BOLD ENDEAVOR AFTER-ACTION REPORT W/12 INCLUSIVE/ATTACHED SUBDOCUMENTS Author: DOE	D00007072	06/01/1997	S NSI
Document Type: Letter COORDINATE REVIEW RESPONSE FROM PAUL J. JACOBSMEYER, DOD-FOIA (ACTUALLY RECEIVED 08/10/2009) Author: PAUL JACOBSMEYER Originating Agency: DOD-FOIA	C00011979	07/13/2009	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (ACTUALLY RECEIVED 05/01/2009) Author: DAVID HARDY Originating Agency: FBI	C00010994	04/21/2009	U
Document Type: Letter ATTACHMENT 8 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - FOIA INFORMATION FOR REQUESTER	C00012377	09/23/2009	U
Document Type: Letter ATTACHMENT 9 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - OPENNET REQUIREMENTS	C00012378	09/23/2009	U



**SECRET**

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



June 23, 2009

MEMORANDUM FOR CAROLYN BECKNELL  
INFORMATION PROGRAMS SPECIALIST  
NATIONAL NUCLEAR SECURITY ADMINISTRATION  
ALBUQUERQUE SERVICE CENTER

THROUGH: DEBORAH A. WILBER *Deborah Wilber for DAW*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM: WALTER CHROBAK *Walter Chrobak*  
EMERGENCY RESPONSE SPECIALIST  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00269

The attached document (Eligible Receiver) has been reviewed by the Department of Energy, Department of Defense and the Federal Bureau of Investigation, and information to be withheld has been bracketed. The Office of Emergency Response has not identified any Official Use Only in the document that needs to be withheld.

Once the document is redacted and provided to the requestor, Mr. Jeffrey T. Richelson, this Freedom of Information Request (FOIA) action is completed. The other two documents request (Lost Beacon and Vigilant Lion) were both unclassified and were provided to the National Nuclear Security Administration, FOIA Office for delivery to Mr. Richelson on January 11, 2006.

If you have any questions on this action, please call me at 202-287-2345.

Attachment

Document(s)/Material Transmitted Herewith Contain(s)  
**National Security Information**

When separated from attachment(s),  
handle this document as  
**UNCLASSIFIED**

**SECRET**





**SECRET**

**Department of Energy**

Washington, DC 20585

June 12, 2009

MEMORANDUM FOR JOSEPH J. KROL

DIRECTOR

ASSISTANT ADMINISTRATOR FOR EMERGENCY RESPONSE

NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM:

KENNETH M. STEIN

ACTING DIRECTOR

OFFICE OF DOCUMENT REVIEWS

OFFICE OF CLASSIFICATION

SUBJECT:

Response to Review and/or Coordinate Review Request (20070001099-JLB)

Reference request for document review (F2005-00269) dated February 10, 2007, and April 14, 2005, at attachment(s) 1-2, respectively.

This is a:

XX

Complete Response

—

Partial Response

—

Final Partial Response

—

Coordinate Review Request

Findings/Instructions:

XX

We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 3 (see stamp(s)).

XX

Special considerations apply to this request. We previously sent the document at attachment 3 to the Department of Defense (DOD) and the Federal Bureau of Investigation, requesting each review for information within its purview, including Official Use Only (OUO) and other sensitive unclassified information. Their responses are provided at attachments 4-5, respectively. Please note that we have annotated in red pencil the DOD information to be withheld.

—

Note that we upgraded/downgraded the classification level of some material to Top Secret/Secret and/or Confidential.



- XX Note that we changed the category of some material to **National Security Information**.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 3 prior to release.
- XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory (Executive Order 12958, as amended) exemptions.
- \_\_\_ We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- \_\_\_ The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- \_\_\_ We declassified the document(s) at attachment(s) \_\_\_.
- \_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- XX We defer to your office regarding the determination of OUO information.
- \_\_\_ We request that you review the document(s) at attachment(s) \_\_\_ for information within your purview, including OUO and other sensitive Unclassified information.
- \_\_\_ We request you reply to us.
- XX We request that you forward the result(s) of the review(s) to Carolyn A. Becknell, National Nuclear Security Administration (NNSA) Service Center, for the NNSA Service Center's response to the requester, Mr. Jeffrey T. Richelson.
- XX Details and appeal procedures for the requester are at attachment 6.
- XX OpenNet Requirements are at attachment 7.
- XX The denial letter to the requester must be coordinated with the Office of the General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)

(See listing next page)

cc w/o attachment(s): Walter J. Chrobak, NA-42  
Alexander C. "Chris" Morris, MA-90  
Carolyn A. Becknell, NNSA Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09403006099**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00003619	04/14/2005	U
Document Type: Report DOE 01-00 - EXERCISE - ELIGIBLE RECEIVER 2000 AFTER-ACTION REPORT W/INCLUSIVE TAB A - DOE ASSET CAPABILITIES, TAB B - REFERENCE BOOK, & APPENDIX (4 SUBDOCUMENTS) Author: DOE	D00007081	03/20/2000	S NSI
Document Type: Letter COORDINATE REVIEW RESPONSE FROM WILL KAMMER, DOD-FOIA (RECEIVED 12/03/2008) Author: WILLIAM KAMMER Originating Agency: DOD-FOIA	C00009341	11/26/2008	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (RECEIVED 05/26/2009) Author: DAVID HARDY Originating Agency: FBI	C00011271	05/19/2009	U
Document Type: Letter ATTACHMENT 6 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-40 - FOIA INFORMATION FOR REQUESTER	C00011325	06/12/2009	U
Document Type: Letter ATTACHMENT 7 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-40 - OPENNET REQUIREMENTS	C00011326	06/12/2009	U



U.S. Department of Justice

000011271

Federal Bureau of Investigation

Washington, D.C. 20535

May 19, 2009

To: Mike Kolbay  
"HS-93"  
U.S. Department of Energy  
19901 Germantown Road  
Germantown, MD 20874

From: David M. Hardy  
Record/Information Dissemination Section  
Records Management Division

Subject: FOI/PA Request of Jeffrey Richelson  
FBI FOI/PA # 1105273- 000 Re: F2005-0269 /Nest Exercised-Patriot Pledge Jan '97

This is in response to your letter dated November 29, 2007 requesting the FBI to make a disclosure determination regarding the bracketed information contained in the attached 24 page document(s).

After reviewing the information, we recommend that all of the FBI information be disclosed.

Please call Debbie Lopez of my staff at (540) 868-4175 if you have any questions.

Enclosure





SECRET/RESTRICTED DATA

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 10 2007

MEMORANDUM FOR JAMES A. WENDT

DIRECTOR  
OFFICE OF DOCUMENT REVIEWS

THROUGH:

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00269

I have attached a copy of a FOIA request from Mr. Jeffrey T. Richelson, dated April 14, 2005. Only one of the documents requested has been located in our files. The document, Eligible Receiver is classified. Two copies of each document are attached for classification review.

Please review the attached documents and return them to this office with classified information bracketed and annotated with the correct exemption category and include an "Information for Requestor" attachment which provides reasons for withholding information along with the process for appealing the decision to withhold information.

This document review should be coordinated with the Department of Defense and the Federal Bureau of Investigation.

For your information Focal Point special handling markings have been removed from the document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office

**Attachments**

This memo is unclassified without the attachments

SECRET/RESTRICTED DATA



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200700011099



SECRET

C00009341

**DEPARTMENT OF DEFENSE  
OFFICE OF FREEDOM OF INFORMATION  
1155 DEFENSE PENTAGON  
WASHINGTON, DC 20301-1155**

26 NOV 2008

Ref: 08-F-0382  
20070001099-JLB

MEMORANDUM FOR U.S. DEPARTMENT OF ENERGY  
ATTN: JIM WENDT SP-53  
P.O. BOX A  
GERMANTOWN, MD 20875-0963

SUBJECT: Freedom of Information Act (FOIA) Consultation – Mr. Jeffrey T. Richelson

This is in response to your November 29, 2007, referral to this Office (copy attached) requesting that this Office review one document responsive to the FOIA request of Mr. Richelson (document attached). The documents were reviewed by the Office of the Secretary of Defense and the Joint Staff (OSD/JS). We concur with the Department of Energy recommended withholdings for these documents. It is also suggested that the National Security Council review the document.

Commander Mark S. Patrick, USN, Chief, Information Management Division, Joint Staff; Mr. Danny S. Wilmoth, Management and Program Analyst, Nuclear, Chemical, and Biological Defense Programs; and Mr. David Stein, Director, Strike Policy & Integration have determined that the additional information indicated by gray shading is also exempt from release because it is currently and properly classified in accordance with Executive Order 12958 as amended, in accordance with Sections 1.4(a), (e), (f), (g), and (h). Additionally some of the information in the documents is being denied pursuant to 5 USC § 552(b)(2) (High). Accordingly, this information is denied pursuant to 5 USC § 552 (b)(1) and (b)(2)(High).

Should the requestor appeal our denials, the appeal must be submitted to our Office within 60 days of the date of the final response letter. Our point of contact for this action is Mr. Charles Marye: (703) 696-4695; [chris.marye.ctr@whs.mil](mailto:chris.marye.ctr@whs.mil).

*Paul J. Jacobmeyer*  
for Will Kammer  
Chief

Attachments:  
As stated

SECRET

20070001099



SECRET/RO  
DEPARTMENT OF DEFENSE  
OFFICE OF FREEDOM OF INFORMATION  
1155 DEFENSE PENTAGON  
WASHINGTON, DC 20301-1155

C00011979

11 JUL 2009

Ref: 08-F-0422  
20070001097-ML

MEMORANDUM FOR U.S. DEPARTMENT OF ENERGY  
ATTN: JIM WENDT SP-53  
P.O. BOX A  
GERMANTOWN, MD 20875-0963

SUBJECT: Freedom of Information Act (FOIA) Consultation – Mr. Jeffrey T. Richelson

This is in response to your December 6, 2007, referral to this Office (copy attached) requesting that this Office review three documents responsive to the FOIA request of Mr. Richelson (document attached). The documents were reviewed by the Office of the Secretary of Defense and the Joint Staff (OSD/JS).

Commander Mark S. Patrick, USN, Chief, Information Management Division, Joint Staff has determined that the information indicated by red pencil brackets is exempt from release because it is currently and properly classified in accordance with Executive Order 12958 as amended, in accordance with Section 1.4(a). Additionally some of the information in the documents is being denied pursuant to 5 USC § 552(b)(2) (High) and 5 USC § 552(b)(2). Accordingly, this information is denied pursuant to 5 USC § 552 (b)(1), (b)(2)(High), and (b)(6). All redactions are exemption (b)(1) unless otherwise indicated.

Should the requestor appeal our denials, the appeal must be submitted to our Office within 60 days of the date of the final response letter. Our point of contact for this action is Mr. Charles Marye: (703) 696-4695; [chris.marye.ctr@whs.mil](mailto:chris.marye.ctr@whs.mil).

Paul J. Jacobsmeyer  
Chief

Attachments:  
As stated

F113951

20070001097

SECRET/RO

**SECRET**



**Department of Energy**  
**National Nuclear Security Administration**  
Washington, DC 20585  
October 15, 2009



**MEMORANDUM FOR SHIRLEY PETERSON**  
**INFORMATION PROGRAM SPECIALIST**  
**NATIONAL NUCLEAR SECURITY ADMINISTRATION**  
**ALBUQUERQUE SERVICE CENTER**

**FROM:**

  
**JOSEPH J. KROL**  
**ASSOCIATE ADMINISTRATOR FOR**  
**EMERGENCY OPERATIONS**

**SUBJECT:**

**Freedom of Information Act (FOIA) 07-281-P Request**

I believe the old Freedom of Information Act (FOIA) request F2005-00219 has been renumbered and now corresponds to FOIA 07-281-P.

In February 2007 a memorandum was sent to Richard Speidel indicating that only three of the five documents requested in FOIA F2005-00219 were located in the Office of Emergency Operations (NA-40) records. The three documents located (JRX 2-98 Bright Victory AAR, Draft Exercise Gauged Strength AAR, and Ellipse Foxtrot 97 Bold Endeavor AAR) were all classified and therefore sent to the Department of Energy's (DOE) Office of Document Review on February 10, 2007 for bracketing. The bracketed documents were sent back to NA-40 on September 23, 2009, arriving on September 30, 2009. The attached package shows that the three classified documents requested have been reviewed and bracketed by DOE, Department of Defense, and the Federal Bureau of Investigation.

The Office of Emergency Operations concurs with these reviews. Additionally, we have bracketed the identities of individuals who participated in these exercises as OOU information and indicated this by a DOE b (2) exemption. When bracketed information has been redacted the documents may be released to the requestor.

If you have any questions on this action, please contact Walt Chrobak of my staff at 202-287-2345.

Attachment

Document(s)/Material Transmitted Herewith Contain(s)  
**SECRET RESTRICTED DATA**

When Separated from attachment(s), handle this document as  
**UNCLASSIFIED**

**SECRET**



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**SECRET**

**Department of Energy**  
Washington, DC 20585

September 23, 2009

MEMORANDUM FOR JOSEPH J. KROL

DIRECTOR

ASSISTANT ADMINISTRATOR FOR EMERGENCY OPERATIONS  
NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM:

KENNETH M. STEIN

DIRECTOR

OFFICE OF DOCUMENT REVIEWS

OFFICE OF CLASSIFICATION

SUBJECT:

Response to Review and/or Coordinate Review Request  
(20070001097-ML)

Reference request for document review (F2005-00219) dated February 10, 2007, and March 22, 2005, at attachment(s) 1-2, respectively.

This is a:

XX

Complete Response

—

Partial Response

—

Final Partial Response

—

Coordinate Review Request

Findings/Instructions:

XX

We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 3-5 (see stamp(s)).

XX

Special considerations apply to this request. Per your request, we are returning the documents at attachments 3-5 to you. Please coordinate the response to the requester, as needed, with Carolyn A. Becknell, National Nuclear Security Administration (NNSA) Service Center. Also, note that we previously sent the three documents to the Department of Defense (DoD) and one of the three documents to the Federal Bureau of Investigation (FBI), requesting each review for information within its purview, including Official Use Only (OUO) and other sensitive unclassified information. Reference the DoD and FBI responses at attachments 6-7, respectively. Finally, please note that we have annotated in red pencil the DoD information to be withheld.

Document(s)/Material Transmitted Herewith Contain(s)  
Restricted Data

**SECRET**

When separated from attachment(s), handle this document as  
**UNCLASSIFIED**



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- Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential**.
- XX Note that we changed the category of some material to **Restricted Data**.
- The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 3-5 prior to release.
- XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory (Executive Order 12958, as amended) exemptions.
- We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- We declassified the document(s) at attachment(s) \_\_\_\_\_.
- Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- We defer to your office regarding the determination of \_\_\_\_\_.
- XX We request that you review the document(s) at attachment(s) 3-5 for information within your purview, including OUO and other sensitive Unclassified information.
- We request you reply to us.
- XX We request that you coordinate the response to the requester, Mr. Jeffrey Richelson, as needed, with Ms. Becknell, NNSA Service Center.
- XX Details and appeal procedures for the requester are at attachment 8.
- XX OpenNet Requirements are at attachment 9.

XX

You are also reminded that if you determine any information must be withheld as OOU, your denial letter to the requester must be coordinated with the Office of the General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)

(See listing next page)

cc w/o attachment(s): Walter J. Chrobak, NA-42  
Carolyn A. Becknell, NNSA Service Center





DOE F 5635.3 (07-90) (Formerly DP-126) All Other Editions are obsolete		<b>U. S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		No. 2009001135	
<b>TO</b>  SHIRLEY PETERSON NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER ATTN: SHIRLEY PETERSON P.O. BOX 5400 ALBUQUERQUE, NM 87185-5400			<b>POSTAL NUMBER</b> RE 445 566 383 45		
			<b>DATE MAILED</b> 10/19/2009		
<b>FROM</b>  JOSEPH KROL NA-40 / FORRESTAL BUILDING U.S. DEPARTMENT OF ENERGY 1000 INDEPENDENCE AVENUE, SW WASHINGTON, DC 20585 FAX BACK NUMBER: (202) 586-1567			<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address 2. Describe document by subject, or title and originator. List secret and accountable Confidential enclosures. Indicate type of document e.g., Ltr., Rpt., or Dwg. 3. Show Classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.		
<b>DESCRIPTION OF DOCUMENT</b> (Subject or title and Originator)	<b>IDENTIFICATION NUMBER</b>	<b>DATE OF DOCUMENT</b>	<b>CLASSIFICATION</b> (Indicate whether or not R/D, FRD or NSI)	<b>COPY and SERIES</b>	<b>NUMBER of PAGES</b>
JRX 2-98 (U)	NNSA.T.000019746	10/1/1996	S/NSI ? 300 26		38
ELLIPSE FOXTROT 97 BOLD ENDEAVOR AFTER-ACTION REPORT (U)	NNSA.T.000019751	9/2/1997	S/NSI		54
EXERCISE GAUGED STRENGTH (U)	NNSA.T.000019753	9/17/1998	S/RD		48
Please sign and fax	NOTHING FOLLOWING this receipt back to the number	THIS LINE provided above	as soon as possible		
<div style="text-align: right; margin-right: 50px;"> <span style="border: 1px solid black; border-radius: 50%; padding: 5px 10px;">4</span> </div>					
I have received the document					
Signature of addressee or name of addressee and signature of recipient					
Received for addressee by					

~~SECRET~~

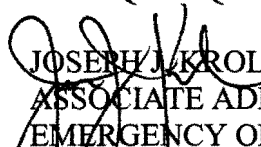


Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585  
October 15, 2009



MEMORANDUM FOR SHIRLEY PETERSON  
INFORMATION PROGRAM SPECIALIST  
NATIONAL NUCLEAR SECURITY ADMINISTRATION  
ALBUQUERQUE SERVICE CENTER

FROM:

  
JOSEPH J. KROL  
ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

SUBJECT:

Freedom of Information Act (FOIA) 07-281-P Request

I believe the old Freedom of Information Act (FOIA) request F2005-00219 has been renumbered and now corresponds to FOIA 07-281-P.

In February 2007 a memorandum was sent to Richard Speidel indicating that only three of the five documents requested in FOIA F2005-00219 were located in the Office of Emergency Operations (NA-40) records. The three documents located (JRX 2-98 Bright Victory AAR, Draft Exercise Gauged Strength AAR, and Ellipse Foxtrot 97 Bold Endeavor AAR) were all classified and therefore sent to the Department of Energy's (DOE) Office of Document Review on February 10, 2007 for bracketing. The bracketed documents were sent back to NA-40 on September 23, 2009, arriving on September 30, 2009. The attached package shows that the three classified documents requested have been reviewed and bracketed by DOE, Department of Defense, and the Federal Bureau of Investigation.

The Office of Emergency Operations concurs with these reviews. Additionally, we have bracketed the identities of individuals who participated in these exercises as OUO information and indicated this by a DOE b (2) exemption. When bracketed information has been redacted the documents may be released to the requestor.

If you have any questions on this action, please contact Walt Chrobak of my staff at 202-287-2345.

Attachment

~~Document(s) Material Transmitted Herewith Contains(s)~~

~~SECRET RESTRICTED DATA~~

When Separated from attachment(s), handle this document as

UNCLASSIFIED

~~SECRET~~



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~~SECRET~~

**Department of Energy**

Washington, DC 20585

September 23, 2009

MEMORANDUM FOR JOSEPH J. KROL

DIRECTOR

ASSISTANT ADMINISTRATOR FOR EMERGENCY OPERATIONS  
NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM:

KENNETH M. STEIN

DIRECTOR

OFFICE OF DOCUMENT REVIEWS

OFFICE OF CLASSIFICATION

SUBJECT:

Response to Review and/or Coordinate Review Request  
(20070001097-ML)

Reference request for document review (F2005-00219) dated February 10, 2007, and March 22, 2005, at attachment(s) 1-2, respectively.

This is a:

XX

Complete Response

—

Partial Response

—

Final Partial Response

—

Coordinate Review Request

Findings/Instructions:

XX

We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 3-5 (see stamp(s)).

XX

Special considerations apply to this request. Per your request, we are returning the documents at attachments 3-5 to you. Please coordinate the response to the requester, as needed, with Carolyn A. Becknell, National Nuclear Security Administration (NNSA) Service Center. Also, note that we previously sent the three documents to the Department of Defense (DoD) and one of the three documents to the Federal Bureau of Investigation (FBI), requesting each review for information within its purview, including Official Use Only (OUO) and other sensitive unclassified information. Reference the DoD and FBI responses at attachments 6-7, respectively. Finally, please note that we have annotated in red pencil the DoD information to be withheld.

~~Document(s) Material Transmitted to Requester(s)  
Restricted Data~~

~~SECRET~~

When separated from attachment(s), handle this document as

UNCLASSIFIED



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- Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential**.
- XX Note that we changed the category of some material to **Restricted Data**.
- The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 3-5 prior to release.
- XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory (Executive Order 12958, as amended) exemptions.
- We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- We declassified the document(s) at attachment(s) \_\_\_\_\_.
- Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- We defer to your office regarding the determination of \_\_\_\_\_.
- XX We request that you review the document(s) at attachment(s) 3-5 for information within your purview, including OUO and other sensitive Unclassified information.
- We request you reply to us.
- XX We request that you coordinate the response to the requester, Mr. Jeffrey Richelson, as needed, with Ms. Becknell, NNSA Service Center.
- XX Details and appeal procedures for the requester are at attachment 8.
- XX OpenNet Requirements are at attachment 9.

XX

You are also reminded that if you determine any information must be withheld as OOU, your denial letter to the requester must be coordinated with the Office of the General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)

(See listing next page)

cc w/o attachment(s): Walter J. Chrobak, NA-42

Carolyn A. Becknell, NNSA Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09446906774**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST Author: WALT CHROBAK Originating Agency: NA-42	C00003610 ✓	02/10/2007	U
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00004245 ✓	03/22/2005	U
Document Type: Report JRX 2-98 BRIGHT VICTORY AFTER-ACTION REPORT Author: DOE	D00007070 ✓	03/01/1998	S RD
Document Type: Report DRAFT - EXERCISE GAUGED STRENGTH AFTER-ACTION REPORT Author: DOE	D00007071 ✓	09/17/1998	S RD
Document Type: Report ELLIPSE FOXTROT 97 BOLD ENDEAVOR AFTER-ACTION REPORT W/12 INCLUSIVE/ATTACHED SUBDOCUMENTS Author: DOE	D00007072 ✓	06/01/1997	S NSI
Document Type: Letter COORDINATE REVIEW RESPONSE FROM PAUL J. JACOBSMEYER, DOD-FOIA (ACTUALLY RECEIVED 08/10/2009) Author: PAUL JACOBSMEYER Originating Agency: DOD-FOIA	C00011979 ✓	07/13/2009	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (ACTUALLY RECEIVED 05/01/2009) Author: DAVID HARDY Originating Agency: FBI	C00010994 ✓	04/21/2009	U
Document Type: Letter ATTACHMENT 8 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - FOIA INFORMATION FOR REQUESTER	C00012377 ✓	09/23/2009	U
Document Type: Letter ATTACHMENT 9 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - OPENNET REQUIREMENTS	C00012378 ✓	09/23/2009	U

C00003610

~~SECRET/RESTRICTED DATA~~



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 10 2007

MEMORANDUM FOR JAMES A. WENDT

DIRECTOR  
OFFICE OF DOCUMENT REVIEWS

THROUGH:

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *wjchrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

6-7284 144-42  
6-6-2007

SUBJECT: Freedom of Information Request #F2005-00219

I have attached a copy of a FOIA request from Mr. Jeffrey T. Richelson, dated March 7, 2005. Only two of the documents requested have been located in our files. Both of these documents, Ellipse Foxtrot 1997 and Gauged Strength 1998, are classified. Two copies of each document are attached for classification review.

On March 22, 2005 Mr. Richelson resubmitted his request and added an additional five documents. Of these, only Bright Victory March 1998 has been located in our files. This document is also classified. Two copies of this document are also attached for classification review.

A copy of the memorandum from Richard Speidel, NA-66, indicating this expanded request is also attached. I do not have a copy of Mr. Richelson's FOIA request dated March 22, 2005.

Please review the attached documents and return them to this office with classified information bracketed and annotated with the correct exemption category and include an "Information for Requestor" attachment which provides reasons for withholding information along with the process for appealing the decision to withhold information.

This document review should be coordinated with the Department of Defense and the Federal Bureau of Investigation.

For your information Focal Point special handling markings have been removed from the document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office.

Attachments

This memo is unclassified without the attachments

~~SECRET/RESTRICTED DATA~~



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C00004245

83/22/2005 09:02

(b)(6)

JEFFREY RICHELSON

PAGE 02

JEFFREY RICHELSON

(b)(6)

March 22, 2005

MAR 22 2005 04  
SCIENTIFIC EDUCATIONAL NEWS MEDIA  
100 FREE PAGES

Abel Lopez  
Freedom of Information and Privacy Group  
Office of the Executive Secretariat  
US Department of Energy  
1000 Independence Avenue S.W., HR-73  
Washington, D.C. 20585

Dear Mr. Lopez:

This is a request under the Freedom of Information Act as amended (5 U.S.C. 522). I request copies of the after-action reports for the following NEST exercises:

Patriot Pledge Jan 97  
Patriot Finances Jan 97  
Elites Fextret Jan 97  
Bright Victory Mar 98  
Gauged Strength Jan 98

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I therefore request that I be provided with all nonexempt portions which are reasonably segregable. I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification.

To permit an intelligent, informed decision as to whether or not to file an administrative appeal of any denial decision, I also request that you describe in detail the withheld records (or portions thereof) and explain the logical and factual basis for exemption claims. See Mead Data v. Department of the Air Force 566 F.2d 242, 251 (D.C. Cir. 1977).

I also request a fee waiver. The fee waiver provisions under section 552 (a) (4) (A) of the FOIA, as amended on April 25, 1987, rewrote section 552 (a) (4) (A) to create categories of requesters entitled to fee waivers. Under those provisions, I qualify for exemption from fees in the "news media" category. In a recent decision, the U.S. Court of Appeals for the D.C. circuit unanimously held that for FOIA fee waiver purposes "representatives of the news media" includes any individuals who regularly publish or disseminate information to the public. (See National Security Archive v. U.S. Department of Defense, No. 88-5217, D.C. Circuit, July 28, 1989, as well as Electronic Privacy Information Center v. U.S. Department of Defense, No. 02-1233, United States District Court for the District of Columbia, January 16, 2003).

F2005-00219

jhr

20070001097

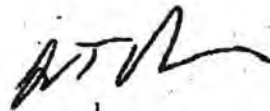


My articles and commentaries have appeared in *Scientific American*, *Air Force Magazine*, *Bulletin of the Atomic Scientists*, *Jane's Intelligence Review*, *International Journal of Intelligence and Counterintelligence*, *ISR Journal*, *Armed Forces Journal International*, *Defense Week*, *The National Interest*, *the Washington Post*, *the Los Angeles Times*, *the Boston Globe*, and *Newsday*.

My intended use of the material requested above, in light of my extensive publication record, clearly meets the criteria established by statute and case law for preferred free status under the FOIA as a representative of the news media. As such a representative I am entitled to have search and review fees waived. And given the contribution of my work to enhancing public understanding of the government operations I request that you also waive reproduction fees.

I look forward to your response within the statutory time period. If you have any questions about this request or any other matters, please contact me at (b)(6) (phone), (b)(6) (b)(6) (fax), or (b)(6)

Sincerely,



Jeffrey T. Richelson

# Record of Freedom of Information (FOI) Processing Cost for Government Personnel

1. REQUEST NUMBER FOIA [07-281P]		2. TYPE OF REQUEST (X one) x a. INITIAL      b. APPEAL		3. DATE COMPLETED (YY/MM/DD)	
4. CLERICAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Correspondence and Forms Preparation					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X	\$ 61.00 / hr	=
b. Review/Excising+		20.0			
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Machine Hours			X		=
b. Programmer/Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)		RATE (2)	COSTS (3)
a. Pages Reproduced			X	\$ .05	=
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Microfiche Reproduced			X		=
10. COMPUTER COPY		TOTAL HOURS (1)		(2)	COSTS (3)
a. Printout (8-1/2 x 11)			X	\$ .05	=
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Materials Reproduced			X		=
12. FOR FOI OFFICE USE ONLY					
a. Search Fees Paid				f. Total Collectable Costs	
b. Review Fees Paid				g. Total Processing Costs	
c. Copy Fees Paid				h. Total Charged	
d. Total Paid				i. Postage	
e. Date Paid (YY/MM/DD)				j. Fees Waived/Reduced (X one)	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

\*Chargeable to all requesters after application of all waiver criteria.  
 \*\*Chargeable only to commercial requesters plus single-asterisk items.

+Only initial review is chargeable to commercial requesters.



~~SECRET/RO~~  
DEPARTMENT OF DEFENSE  
OFFICE OF FREEDOM OF INFORMATION  
1155 DEFENSE PENTAGON  
WASHINGTON, DC 20301-1155

C00011979

13 JUL 2009

Ref: 08-F-0422  
20070001097-ML

MEMORANDUM FOR U.S. DEPARTMENT OF ENERGY  
ATTN: JIM WENDT SP-53  
P.O. BOX A  
GERMANTOWN, MD 20875-0963

SUBJECT: Freedom of Information Act (FOIA) Consultation – Mr. Jeffrey T. Richelson

This is in response to your December 6, 2007, referral to this Office (copy attached) requesting that this Office review three documents responsive to the FOIA request of Mr. Richelson (document attached). The documents were reviewed by the Office of the Secretary of Defense and the Joint Staff (OSD/JS).

Commander Mark S. Patrick, USN, Chief, Information Management Division, Joint Staff has determined that the information indicated by red pencil brackets is exempt from release because it is currently and properly classified in accordance with Executive Order 12958 as amended, in accordance with Section 1.4(a). Additionally some of the information in the documents is being denied pursuant to 5 USC § 552(b)(2) (High) and 5 USC § 552(b)(2). Accordingly, this information is denied pursuant to 5 USC § 552 (b)(1), (b)(2)(High), and (b)(6). All redactions are exemption (b)(1) unless otherwise indicated.

Should the requestor appeal our denials, the appeal must be submitted to our Office within 60 days of the date of the final response letter. Our point of contact for this action is Mr. Charles Marye: (703) 696-4695; [chris.marye.ctr@whs.mil](mailto:chris.marye.ctr@whs.mil).

*Paul J. Jacobsmeyer*

Paul J. Jacobsmeyer  
Chief

Attachments:  
As stated

F113951

20070001097

~~SECRET/RO~~



~~SECRET~~

FOIA 07-281P  
U.S. Department of Justice

000010994

Federal Bureau of Investigation  
Washington, D.C. 20535

Date: April 21, 2009

To: Abel Lopez  
Director, FOIA/PA Division, MA-74  
1000 Independence Avenue, S.W.  
Washington, D.C. 20585

From: David M. Hardy  
Record/Information Dissemination Section  
Records Management Division

Subject: FOI/PA Request of **Jeffrey Richelson**  
FBI FOI/PA # 1105882- 000 Re: **Nest Exercises-Lost Beacon July 1999**

This is in response to your letter dated **December 6, 2007** requesting the FBI to make a disclosure determination regarding the FBI information contained in the attached **48 page** document.

After reviewing the information, we recommend that:

- ☒ all of the information be disclosed.
- ☐ all of the information be withheld pursuant to subsection(s) of Title 5, United States Code, Section(s) 552/552a.
- ☐ part of the information, highlighted in yellow, should be withheld pursuant to subsection(s), of Title 5, United States Code, Section 552/552a. The remainder of the information may be disclosed.
- ☐ The requester may appeal any denials made by the FBI. In your response please advise the requester the appeal should be directed in writing to the Director, Office of Information and Policy, U.S. Department of Justice, 1425 New York Ave., NW, Suite 11050, Washington, D.C. 20530-0001, within sixty days from the date of your letter. The envelope and the letter should be clearly marked "Freedom of Information Appeal" or "Information Appeal" and the FBI's FOIPA number should be cited. Please call **Debbie Lopes** of my staff at (540) 868-4175 if you have any questions.

Enclosure

~~SECRET~~

UNCLASSIFIED UPON REMOVAL OF ENCLOSURES

20070001097

**Information for Requester**

Pursuant to Title 10, Code of Federal Regulations, section 1004.6 (10 C.F.R. 1004.6), the Office of Classification, Office of Health, Safety and Security, in the Department of Energy (DOE) has completed its review(s) of the document(s) responsive to your request. This/These document(s), located in the files of the National Nuclear Security Administration/DOE, contain(s) information properly classified Restricted Data (RD) and/or National Security Information and/or properly safeguarded as Unclassified Controlled Nuclear Information (UCNI); therefore, it is/they are provided to you with deletions.

Title 5, United States Code, section 552(b)(3) (5 U.S.C. 552(b)(3)) (Exemption 3), exempts from disclosure information "specifically exempted from disclosure by statute (other than section 552(b) of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld . . . ." The Atomic Energy Act (AEA) of 1954, as amended, 42 U.S.C. 2011 et seq., is an Exemption 3 statute. Sections 141-146 of this Act (42 U.S.C. 2161-2166) and/or section 148 of this Act (42 U.S.C. 2168) prohibit the disclosure of information concerning atomic energy defense programs that is classified as either RD or Formerly Restricted Data and/or determined to be UCNI pursuant to the AEA, as amended. The portions deleted from the subject document(s) pursuant to Exemption 3 contain information about weapon design that has been classified as RD and/or security measures for the physical protection of nuclear materials contained in production or utilization facilities that has been safeguarded as UCNI. Disclosure of the exempt data could jeopardize the common defense and the security of the nation and/or have a significant adverse effect on the health and safety of the public.

Title 5, United States Code, section 552(b)(1) (5 U.S.C. 552(b)(1)) (Exemption 1), provides that an agency may exempt from disclosure matters that are "(A) specifically authorized under

criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified pursuant to such Executive order . . . ." The portions deleted from the subject document(s) pursuant to Exemption 1 contain information about United States Government programs for safeguarding nuclear materials/facilities and are classified under section 1.4 (f) of Executive Order 12958 (E.O. 12958), as amended. It has been determined that release of the information could reasonably be expected to cause damage to the national security.

To the extent permitted by law, the DOE, pursuant to 10 C.F.R. 1004.1, will make available records it is authorized to withhold under the Freedom of Information Act (FOIA) whenever it determines that such disclosure is in the public interest. With respect to the information withheld from disclosure pursuant to Exemptions 3 and 1, the DOE has no further discretion under the FOIA or DOE regulations to release information currently and properly classified and/or safeguarded as UCNi pursuant to the AEA or E.O. 12958, as amended.

Pursuant to 10 C.F.R. 1004.6(d), Dr. Andrew P. Weston-Dawkes, Director, Office of Classification, Office of Health, Safety and Security, is the official responsible for the denial of the information determined by the DOE to be classified and/or safeguarded.

Commander Mark S. Patrick, USN, Chief, Information Management Division, Joint Staff, is the official responsible for the denial of the information determined by the Department of Defense to be classified and/or safeguarded.

Pursuant to 10 C.F.R. 1004.8, the denial of a FOIA request may be appealed, in writing, within 30 days after receipt of a letter denying any portion of the request, to the Director, Office of Hearings and Appeals, Department of Energy, 1000 Independence Avenue, SW., Washington, D.C. 20585. The written appeal, including envelope, must clearly indicate that a Freedom of Information appeal is being made, and the appeal must contain all other elements required by 10 C.F.R. 1004.8. Judicial review will thereafter be available to you in the District of Columbia or in the district where: (1) you reside, (2) you have your principal place of business, or (3) the Department's records are situated.

**OpenNet Requirements**

All documents declassified and publicly releasable on or after October 1, 1994, must be announced on the Department of Energy (DOE) OpenNet database. Electronic format is the preferred method for submitting declassified documents to OpenNet. Please provide an electronic copy of the document via email to [opennet@osti.gov](mailto:opennet@osti.gov). In the transmittal of the document, you may provide an electronic file (PDF) copy of the document or you may provide the URL or location for your site-maintained electronic copy of the document. Also, please indicate the Declassification Status (declassified or sanitized) and Declassification Date of the document.

Please contact Mr. Barry Steele at (865) 576-9372 on questions concerning submission of declassified documents to OpenNet. Additional information regarding OpenNet may be obtained from Mr. Richard J. Lyons at (301) 903-6936.

**Peterson, Shirley**

---

**From:** Chrobak, Walt [Walt.Chrobak@nnsa.doe.gov]  
**Sent:** Friday, October 02, 2009 6:45 AM  
**To:** Peterson, Shirley  
**Subject:** RE: Status of Freedom Information Requests (FOIA)

Shirley

Following memo is being typed. Package received from Ken Stein will be attached and mailed to you.

**SUBJECT:** Freedom of Information Request FOIA 07-281-P.

I believe old FOIA request F2005-0219 has been renumbered and now corresponds to FOIA 07-281-P.

In February 2007 a memorandum was sent to Richard Speidel indicating that only three of the five documents requested in FOIA F2005-0219 were located in NA-40 records. The three documents located (JRX 2-98 Bright Victory AAR, Draft Exercise Gauged Strength AAR, and Ellipse Foxtrot 97 Bold Endeavor AAR) were all classified and therefore sent to the DOE Office of Document Review on February 10, 2007 for bracketing. The bracketed documents were sent back to NA-40 on September 23, 2009, arriving on September 30, 2009. The attached package shows that the three classified documents requested have been reviewed and bracketed by DOE, DoD, and the FBI.

The Office of Emergency Operations concurs with these reviews. We have additionally bracketed the identities of individuals who participated in these exercises as OUO information and indicated this by a DOE b(2) exemption. When bracketed information has been redacted the documents may be released to the requestor.

If you have any questions on this action please call me at 202-287-2345.

Walt

---

**From:** Peterson, Shirley [mailto:speterson@doeal.gov]  
**Sent:** Thursday, October 01, 2009 3:46 PM  
**To:** Becknell, Carolyn  
**Cc:** Keiser, Terry; Boudi, Marcella; Chrobak, Walt  
**Subject:** RE: Status of Freedom Information Requests (FOIA)

**FOIA 07-281P** - Classified documents were transmitted by Ken Stein to Joseph Krol by memorandum dated 9/23/09. On 9/30/09, I emailed Joseph Krol informing him that I was the NNSA Service Center Specialist assigned to this case.

NA-40 needs to prepare their response to our office according to FOIA guidelines provided in transmittal memo.

10/5/2009



**FOIA 07-282P** - Classified documents were received in this office on 7/6/09 and are with our Classification Office for redacting. No further action by NA-40.

---

**From:** Becknell, Carolyn  
**Sent:** Thursday, October 01, 2009 1:30 PM  
**To:** Peterson, Shirley; Hamblen, Christina H.  
**Cc:** Keiser, Terry L (HQ); Boudi, Marcella I (HQ); Chrobak, Walter J (HQ)  
**Subject:** FW: Status of Freedom Information Requests (FOIA)

Please see below-----

Shirley, take a look at the response on your case below and let Terry Keiser, Walt, and Marci know what they need to do next.

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Thanks.

Carolyn

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**From:** Keiser, Terry [mailto:Terry.Keiser@nnsa.doe.gov]  
**Sent:** Thursday, October 01, 2009 1:23 PM  
**To:** Becknell, Carolyn  
**Subject:** FW: Status of Freedom Information Requests (FOIA)

Carolyn,

Walt Chrobak's office has been assigned 3 FOIA requests (please see his e-mail below for the latest status).

Marci Boudi is the new FOIA contact for NA-60. Marci was unsure whether a memo was required from Walt so I am forwarding his e-mail to you so the status can be updated in your system. I have been away from the FOIA world for almost 5 years and not sure of your office procedures anymore.

Thanks,  
Terry Keiser, NA-66  
301-903-3487

---

**From:** Chrobak, Walt  
**Sent:** Tuesday, September 29, 2009 1:44 PM  
**To:** Keiser, Terry  
**Subject:** RE: Status of Freedom Information Requests (FOIA)

UPDATE

I received a copy of FOIA 09-00202-H and it not appear that NA-40 would have any of the documents requested. I then sent a email to all NA-40 federal and contract employees asking that they search their records for the documents. I received negative replies.

A memo is being prepared which simply states that "A search of records maintained by the Office of Emergency Operations has not discovered any records responsive to the subject FOIA request."

10/5/2009

Is this email sufficient to close this action in NA-40 or do you still want a memo?

Walt Chrobak

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**From:** Chrobak, Walt  
**Sent:** Thursday, September 24, 2009 2:01 PM  
**To:** Keiser, Terry  
**Cc:** Carter, Lionel; Brawner, Cheryl  
**Subject:** Status of Freedom Information Requests (FOIA)

This email is a response to your emails "Status of Freedom Information Requests (FOIA) sent to Lionel Carter NA-40, September 24, 2009.

There is some confusion as we have no record of FOIA numbers 07-281-P, 07-282-P or 09-00202-H. It appears that new FOIA numbers have been assigned. As best we can determine FOIA 07-281-P corresponds to FOIA F2005-00219 and FOIA 07-282-P corresponds to FOIA F2005-00269.

Reference "Your office has three open actions" concerning FOIAs.

#### **FOIA 07-282-P**

Completed action was sent to Carolyn Becknell as indicated in NOTES. Documents were sent to DOE Office of Document Reviews and were returned with redactions on 6/22/09. Carolyn was contacted on 6/22/09 as shown in below email. **Action closed in NA-40.**

**From:** Chrobak, Walt [mailto:Walt.Chrobak@nnsa.doe.gov]  
**Sent:** Monday, June 22, 2009 8:08 AM  
**To:** Becknell, Carolyn  
**Subject:** FOIA F2005-00269

Carolyn

Today (22 June 2009) I received from the Office of Document Reviews a response to a request to review a FOIA request from Mr. Jeffrey Richelson.

Original request from Mr. Richelson was dated April 14 2005.

Three documents were requested by Mr. Richelson. Two were protected as OUO and were sent to the old NNSA FOIA Office (Speidel) on January 11, 2006 for release to the requestor.

The third document was originally marked SRD and was sent to the Office of Document Reviews for review. This is the document received today. It has been reviewed and bracketed by DoD and DOE and downgraded to SNSI. It was also reviewed by the FBI who had no comment.

Should I send the entire package to you for completion of this action?

Walt Chrobak

10/5/2009

202-287-2345

There is confusion as the FOIA Request ID we used was F2005-00269 (as shown in subject line of email, and this has somewhere been changed to FOIA 07-282-P.

**FOIA 07-281-P**

I assume that FOIA 07-281-P corresponds to our old FOIA F2005-0219. This FOIA requested five documents. Only three of these documents were found in NA-40 files and the FOIA Office was advised in February 2007 that these three documents were being sent to the DOE Office of Document Reviews. On September 23, 2009 I received an email from the DOE Office of Document Reviews indicating their review was complete and the documents were being returned to NA-42. Copy of email shown below. NA-42 has not yet received these documents but it is not unusual for classified mail to take weeks to arrive at Forrestal from Germantown. Once the documents have been received by NA-40 they will be forwarded to Carolyn Becknell **closing this action in NA-40.**

**From:** Stein, Ken  
**Sent:** Wednesday, September 23, 2009 12:51 PM  
**To:** Morris, Alexander  
**Cc:** Becknell, Carolyn; Chrobak, Walt; Vital, Joe; Kolbay, Michael  
**Subject:** FOIA F2005-00219

Chris

My office has completed the classification review. At the request of NA-42, and with the concurrence of NNSA SC (Carolyn Becknell), the package is being sent to NA-42 for response to the requester. NA-42 is to coordinate with NNSA SC.

Ken Stein

**FOIA 09-00202-H**

I have no record of having received this FOIA request which should have been received by email in May or June 2009. I do not know who was assigned this action in NA-40.

If you have any questions my phone number is 202-287-2345.

Walter Chrobak

10/5/2009

Recd 9-30-09  
FOIA 07-281-P

~~SECRET~~



**Department of Energy**  
Washington, DC 20585

September 23, 2009

MEMORANDUM FOR JOSEPH J. KROL  
DIRECTOR  
ASSISTANT ADMINISTRATOR FOR EMERGENCY OPERATIONS  
NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM: KENNETH M. STEIN   
DIRECTOR  
OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT: Response to Review and/or Coordinate Review Request  
(20070001097-ML)

Reference request for document review (F2005-00219) dated February 10, 2007, and March 22, 2005, at attachment(s) 1-2, respectively.

This is a:

- XX Complete Response  
— Partial Response  
— Final Partial Response  
— Coordinate Review Request

Findings/Instructions:

XX We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 3-5 (see stamp(s)).

XX Special considerations apply to this request. Per your request, we are returning the documents at attachments 3-5 to you. Please coordinate the response to the requester, as needed, with Carolyn A. Becknell, National Nuclear Security Administration (NNSA) Service Center. Also, note that we previously sent the three documents to the Department of Defense (DoD) and one of the three documents to the Federal Bureau of Investigation (FBI), requesting each review for information within its purview, including Official Use Only (OUO) and other sensitive unclassified information. Reference the DoD and FBI responses at attachments 6-7, respectively. Finally, please note that we have annotated in red pencil the DoD information to be withheld.

Document(s)/Material(s) Submitted Herewith Contain(s)  
Restricted Data

~~SECRET~~

When separated from attachment(s), handle this document as  
**UNCLASSIFIED**



Printed with soy ink on recycled paper

- Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential**.
- XX Note that we changed the category of some material to **Restricted Data**.
- The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 3-5 prior to release.
- XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory (Executive Order 12958, as amended) exemptions.
- We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- We declassified the document(s) at attachment(s) \_\_\_.
- Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- We defer to your office regarding the determination of \_\_\_\_\_.
- XX We request that you review the document(s) at attachment(s) 3-5 for information within your purview, including OUO and other sensitive Unclassified information.
- We request you reply to us.
- XX We request that you coordinate the response to the requester, Mr. Jeffrey Richelson, as needed, with Ms. Becknell, NNSA Service Center.
- XX Details and appeal procedures for the requester are at attachment 8.
- XX OpenNet Requirements are at attachment 9.

XX

You are also reminded that if you determine any information must be withheld as OOU, your denial letter to the requester must be coordinated with the Office of the General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)

(See listing next page)

cc w/o attachment(s): Walter J. Chrobak, NA-42

Carolyn A. Becknell, NNSA Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09446906774**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST Author: WALT CHROBAK Originating Agency: NA-42	C00003610	02/10/2007	U
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00004245	03/22/2005	U
Document Type: Report JRX 2-98 BRIGHT VICTORY AFTER-ACTION REPORT Author: DOE	D00007070	03/01/1998	S RD
Document Type: Report DRAFT - EXERCISE GAUGED STRENGTH AFTER-ACTION REPORT Author: DOE	D00007071	09/17/1998	S RD
Document Type: Report ELLIPSE FOXTROT 97 BOLD ENDEAVOR AFTER-ACTION REPORT W/12 INCLUSIVE/ATTACHED SUBDOCUMENTS Author: DOE	D00007072	06/01/1997	S NSI
Document Type: Letter COORDINATE REVIEW RESPONSE FROM PAUL J. JACOBSMEYER, DOD-FOIA (ACTUALLY RECEIVED 08/10/2009) Author: PAUL JACOBSMEYER Originating Agency: DOD-FOIA	C00011979	07/13/2009	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (ACTUALLY RECEIVED 05/01/2009) Author: DAVID HARDY Originating Agency: FBI	C00010994	04/21/2009	U
Document Type: Letter ATTACHMENT 8 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - FOIA INFORMATION FOR REQUESTER	C00012377	09/23/2009	U
Document Type: Letter ATTACHMENT 9 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-42 - OPENNET REQUIREMENTS	C00012378	09/23/2009	U



## CONCURRENCE SHEET

**Subject:**

Memo, Stein to Krol: Complete Response

**Originating Office:**

HS-93:MLKolbay(MLLeifer):ofm:09/17/09:3-1154

**Document Location:** P:\SRP Admin

Folder\Christy's Stuff\Leifer\1097.1e

**Distribution:**

so: Addressee w/attach(s)  
1cc: ~~As stated~~ 2cc: As stated  
1bcc: OC Files w/attach(s)  
1bcc: OC Files w/o attach(s)  
1bcc: HS-93 Admin Originator Reader w/o attach(s)  
1bcc: HS-93 Reader w/o attach(s)  
1bcc: Joe Vitalone, HS-93, w/o attach(s)  
1bcc: Kevin Hagerty, MA-90 w/o att(s) (F2005-00219)

**Document Number:**

CATS # 20070001097-ML

**Admin Review**

HS-93

N/A

/ / 09

**Concurrence**

MLKolbay, HS-93

9/21/09

MLKolbay, HS-93

9/22/09

KMStein, HS-93

9/23/09

Date action enters CATS:

2/21/2007

**Days in Queue****(Completion Date)**

4	PA Research/QC	2/25/2007
1	Approve Task (DOE)	2/26/2007
1	Assign First Review	2/27/2007
25	Complete 1st Review	3/24/2007
1	Assign Second Review	3/25/2007
25	Complete 2nd Review	4/19/2007
5	Complete SDR	4/24/2007
2	Typing	4/26/2007
1	AO Initial	4/27/2007
7	PA Final QC	5/4/2007
10	10 day cushion for difficult actions	5/14/2007
6	Feds	5/20/2007
2	CATS dispatch	5/22/2007

**Special Notes:**

CATS Number: 20070001097

Type of Action: FOIA

Date Received in CATS: 2/21/2007

Size of Package (pages): 140

PS Research/QC: 4/13/2007

SDR Review Complete: 9-17-09-DCannon

PS QC Complete: 9-21-09-dls

90

Official Due Date:

5/22/2007

CATS2 Related Correspondence #: C000

12375

12377-IFR

12378-222Net



**Information for Requester**

Pursuant to Title 10, Code of Federal Regulations, section 1004.6 (10 C.F.R. 1004.6), the Office of Classification, Office of Health, Safety and Security, in the Department of Energy (DOE) has completed its review(s) of the document(s) responsive to your request. This/These document(s), located in the files of the National Nuclear Security Administration/DOE, contain(s) information properly classified Restricted Data (RD) and/or National Security Information and/or properly safeguarded as Unclassified Controlled Nuclear Information (UCNI); therefore, it is/they are provided to you with deletions.

Title 5, United States Code, section 552(b)(3) (5 U.S.C. 552(b)(3)) (Exemption 3), exempts from disclosure information "specifically exempted from disclosure by statute (other than section 552(b) of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on the issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld . . . ." The Atomic Energy Act (AEA) of 1954, as amended, 42 U.S.C. 2011 et seq., is an Exemption 3 statute. Sections 141-146 of this Act (42 U.S.C. 2161-2166) and/or section 148 of this Act (42 U.S.C. 2168) prohibit the disclosure of information concerning atomic energy defense programs that is classified as either RD or Formerly Restricted Data and/or determined to be UCNI pursuant to the AEA, as amended. The portions deleted from the subject document(s) pursuant to Exemption 3 contain information about weapon design that has been classified as RD and/or security measures for the physical protection of nuclear materials contained in production or utilization facilities that has been safeguarded as UCNI. Disclosure of the exempt data could jeopardize the common defense and the security of the nation and/or have a significant adverse effect on the health and safety of the public.

Title 5, United States Code, section 552(b)(1) (5 U.S.C. 552(b)(1)) (Exemption 1), provides that an agency may exempt from disclosure matters that are "(A) specifically authorized under

criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified pursuant to such Executive order . . . ." The portions deleted from the subject document(s) pursuant to Exemption 1 contain information about United States Government programs for safeguarding nuclear materials/facilities and are classified under section 1.4 (f) of Executive Order 12958 (E.O. 12958), as amended. It has been determined that release of the information could reasonably be expected to cause damage to the national security.

To the extent permitted by law, the DOE, pursuant to 10 C.F.R. 1004.1, will make available records it is authorized to withhold under the Freedom of Information Act (FOIA) whenever it determines that such disclosure is in the public interest. With respect to the information withheld from disclosure pursuant to Exemptions 3 and 1, the DOE has no further discretion under the FOIA or DOE regulations to release information currently and properly classified and/or safeguarded as UCNI pursuant to the AEA or E.O. 12958, as amended.

Pursuant to 10 C.F.R. 1004.6(d), Dr. Andrew P. Weston-Dawkes, Director, Office of Classification, Office of Health, Safety and Security, is the official responsible for the denial of the information determined by the DOE to be classified and/or safeguarded.

Commander Mark S. Patrick, USN, Chief, Information Management Division, Joint Staff, is the official responsible for the denial of the information determined by the Department of Defense to be classified and/or safeguarded.

Pursuant to 10 C.F.R. 1004.8, the denial of a FOIA request may be appealed, in writing, within 30 days after receipt of a letter denying any portion of the request, to the Director, Office of Hearings and Appeals, Department of Energy, 1000 Independence Avenue, SW., Washington, D.C. 20585. The written appeal, including envelope, must clearly indicate that a Freedom of Information appeal is being made, and the appeal must contain all other elements required by 10 C.F.R. 1004.8. Judicial review will thereafter be available to you in the District of Columbia or in the district where: (1) you reside, (2) you have your principal place of business, or (3) the Department's records are situated.

**OpenNet Requirements**

All documents declassified and publicly releasable on or after October 1, 1994, must be announced on the Department of Energy (DOE) OpenNet database. Electronic format is the preferred method for submitting declassified documents to OpenNet. Please provide an electronic copy of the document via email to [opennet@osti.gov](mailto:opennet@osti.gov). In the transmittal of the document, you may provide an electronic file (PDF) copy of the document or you may provide the URL or location for your site-maintained electronic copy of the document. Also, please indicate the Declassification Status (declassified or sanitized) and Declassification Date of the document.

Please contact Mr. Barry Steele at (865) 576-9372 on questions concerning submission of declassified documents to OpenNet. Additional information regarding OpenNet may be obtained from Mr. Richard J. Lyons at (301) 903-6936.

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Wednesday, September 30, 2009 3:29 PM  
**To:** Krol, Joseph (HQ)  
**Cc:** Pavetto, Carl S (HQ); Becknell, Carolyn  
**Subject:** Response to Review and/or Coordinate Review Request (20070001097-ML)

Mr. Krol:

This is in reference to the memorandum sent to you from Kenneth M. Stein, subject as above, dated September 23, 2009.

I am the NNSA Service Center Specialist assigned to this case. **NNSA Service Center Control Number is 07-281P.** DOE HQ Control Number is F2005-00219.

If you have any questions or concerns, please feel free to contact me.

*Shirley Lamar Peterson*

Information Programs Specialist  
NNSA Service Center - Office of Public Affairs  
P. O. Box 5400  
Albuquerque, NM 87185  
(505) 845-6393

Life isn't about waiting for the storm to pass --  
it's about learning to dance in the rain!

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Thursday, October 01, 2009 1:46 PM  
**To:** Becknell, Carolyn  
**Cc:** Keiser, Terry L (HQ); Boudi, Marcella I (HQ); Chrobak, Walter J (HQ)  
**Subject:** RE: Status of Freedom Information Requests (FOIA)

**FOIA 07-281P** - Classified documents were transmitted by Ken Stein to Joseph Krol by memorandum dated 9/23/09 . On 9/30/09, I emailed Joseph Krol informing him that I was the NNSA Service Center Specialist assigned to this case.

NA-40 needs to prepare their response to our office according to FOIA guidelines provided in transmittal memo.

**FOIA 07-282P** - Classified documents were received in this office on 7/6/09 and are with our Classification Office for redacting. No further action by NA-40.

---

**From:** Becknell, Carolyn  
**Sent:** Thursday, October 01, 2009 1:30 PM  
**To:** Peterson, Shirley; Hamblen, Christina H.  
**Cc:** Keiser, Terry L (HQ); Boudi, Marcella I (HQ); Chrobak, Walter J (HQ)  
**Subject:** FW: Status of Freedom Information Requests (FOIA)

Please see below-----

Shirley, take a look at the response on your case below and let Terry Keiser, Walt, and Marci know what they need to do next.

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10/1/2009

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Terry Keiser, NA-66  
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UPDATE

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Reference "Your office has three open actions" concerning FOIAs.

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Walter Chrobak

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Today (22 June 2009) I received from the Office of Document Reviews a response to a request to review a FOIA request from Mr. Jeffrey Richelson.

Original request from Mr. Richelson was dated April 14 2005.

Three documents were requested by Mr. Richelson. Two were protected as OUO and were sent to the old NNSA FOIA Office (Speidel) on January 11, 2006 for release to the requestor.

The third document was originally marked SRD and was sent to the Office of Document Reviews for review. This is the document received today. It has been reviewed and bracketed by DoD and DOE and downgraded to SNSI. It was also reviewed by the FBI who had no comment.

Should I send the entire package to you for completion of this action?

Walt Chrobak

202-287-2345

There is confusion as the FOIA Request ID we used was F2005-00269 (as shown in subject line of email, and this has somewhere been changed to FOIA 07-282-P.

**FOIA 07-281-P**

I assume that FOIA 07-281-P corresponds to our old FOIA F2005-0219. This FOIA requested five documents. Only three of these documents were found in NA-40 files and the FOIA Office was advised in February 2007 that these three documents were being sent to the DOE Office of Document Reviews. On September 23, 2009 I received an email from the DOE Office of Document Reviews indicating their review was complete and the documents were being returned to NA-42. Copy of email shown below. NA-42 has not yet received these documents but it is not unusual for classified mail to take weeks to arrive at Forrestal from Germantown. Once the documents have been received by NA-40 they will be forwarded to Carolyn Becknell **closing this action in NA-40.**

**From:** Stein, Ken  
**Sent:** Wednesday, September 23, 2009 12:51 PM  
**To:** Morris, Alexander  
**Cc:** Becknell, Carolyn; Chrobak, Walt; Vital, Joe; Kolbay, Michael  
**Subject:** FOIA F2005-00219

Chris

My office has completed the classification review. At the request of NA-42, and with the concurrence of NNSA SC (Carolyn Becknell), the package is being sent to NA-42 for response to the requester. NA-42 is to coordinate with NNSA SC.

Ken Stein

**FOIA 09-00202-H**

I have no record of having received this FOIA request which should have been received by email in May or June 2009. I do not know who was assigned this action in NA-40.

If you have any questions my phone number is 202-287-2345.

Walter Chrobak

10/1/2009

DOE F 5635.3 (07-90) (Formerly DP-128) All Other Editions are obsolete		U. S. DEPARTMENT OF ENERGY CLASSIFIED DOCUMENT RECEIPT		No. 2008000211	
TO CAROLYN BECKNELL NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER P.O. BOX 6400 ALBUQUERQUE, NM 87185-5400			POSTAL NUMBER <b>RE 445 470 518 US</b> DATE MAILED 1/16/09		
FROM WALTER CHROBAK NA-42 / U.S. DEPARTMENT OF ENERGY P.O. BOX 23865 WASHINGTON, DC 20028-3885 <i>(202) 586-1567 - fax</i>			INSTRUCTIONS 1. Verify addressee's classified mailing address 2. Describe document by subject, or title and originator. List secret and accountable Confidential enclosures. Indicate type of document e.g., Ltr., Rpt., or Dwg. 3. Show Classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.		
DESCRIPTION OF DOCUMENT (Subject or title and Originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not R/D, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
ERRANT FOE (U)	NNSA.T.000019742	12/3/98	S/NSI		32
EXERCISE 3-98 DOE COMMAND POST EXERCISE (U)	NNSA.T.000019745	3/23/99	S/NSI		27
Please sign and fax NOTHING FOLLOWING THIS LINE this receipt back to the number provided above			as soon as possible		
I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.					
Signature of addressee or name of addressee and signature of recipient _____			Date _____		
Received for addressee by <i>[Signature]</i> (to be used only by mail rooms)			Date <b>1-26-09</b>		

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Wednesday, September 30, 2009 3:29 PM  
**To:** Krol, Joseph (HQ)  
**Cc:** Pavetto, Carl S (HQ); Becknell, Carolyn  
**Subject:** Response to Review and/or Coordinate Review Request (20070001097-ML)

Mr. Krol:

This is in reference to the memorandum sent to you from Kenneth M. Stein, subject as above, dated September 23, 2009.

I am the NNSA Service Center Specialist assigned to this case. NNSA Service Center Control Number is 07-281P. DOE HQ Control Number is F2005-00219.

If you have any questions or concerns, please feel free to contact me.

*Shirley Lamar Peterson*

Information Programs Specialist  
NNSA Service Center - Office of Public Affairs  
P. O. Box 5400  
Albuquerque, NM 87185  
(505) 845-6393

Life isn't about waiting for the storm to pass --  
it's about learning to dance in the rain!

9/30/2009

**Peterson, Shirley**

---

**From:** Becknell, Carolyn  
**Sent:** Thursday, February 26, 2009 8:44 AM  
**To:** Morris, Alexander (HQ); Kolbay, Michael L (HQ)  
**Cc:** Ogbazghi, Joan (HQ); Peterson, Shirley  
**Subject:** RE: FOIA-2005-000219 Jeffrey Richelson Classification #20070001094

This is what I understand.

Thanks.

Carolyn

---

**From:** Morris, Alexander [mailto:Alexander.Morris@hq.doe.gov]  
**Sent:** Thursday, February 26, 2009 8:20 AM  
**To:** Becknell, Carolyn; Kolbay, Michael L (HQ)  
**Cc:** Ogbazghi, Joan (HQ)  
**Subject:** RE: FOIA-2005-000219 Jeffrey Richelson Classification #20070001094

Mike and Carolyn,

Per our discussion just now, I want to make sure I represent the status correctly.

The package from Classification (20070001094) that was sent to Walt Chrobak (NA-42) has been located by the Service Center as of 2/25/2009. This package contains documents that response to three requests from Mr. Richelson (FOIA-2005-000219, FOIA-2005-000269, and FOIA-2006-000497). FOIA-2006-000497 requested a broader scope of documents (NEST activities from 1997 to 2001) some of which are duplicative of documents that had already been pulled and were under review in relation to FOIA-2005-000219 and 269.

Service Center will review these documents to complete action on FOIA-2006-000497, this response will indicate to the requester that some of the documents are responsive to the 219 and 269 request. 219 and 269 will remain open pending the completion of coordinate reviews by DOE and FBI of other documents responsive.

I hope that makes sense. Please provide your input.

chris

---

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Wednesday, February 25, 2009 4:01 PM  
**To:** Morris, Alexander  
**Subject:** RE: FOIA-2005-000219 Jeffrey Richelson Classification #20070001094

Thanks.

---

**From:** Morris, Alexander [mailto:Alexander.Morris@hq.doe.gov]  
**Sent:** Wednesday, February 25, 2009 2:00 PM  
**To:** Becknell, Carolyn

2/26/2009

**Cc:** Kolbay, Michael L (HQ)

**Subject:** RE: FOIA-2005-000219 Jeffrey Richelson Classification #20070001094

I just called Mike Kolbay in Classification, he is looking into this. I will contact you tomorrow.

---

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]

**Sent:** Wednesday, February 25, 2009 3:33 PM

**To:** Morris, Alexander

**Subject:** RE: FOIA-2005-000219 Jeffrey Richelson

Thanks.

Carolyn

---

**From:** Morris, Alexander [mailto:Alexander.Morris@hq.doe.gov]

**Sent:** Wednesday, February 25, 2009 1:27 PM

**To:** Becknell, Carolyn

**Subject:** FOIA-2005-000219 Jeffrey Richelson

Carolyn,

Per our discussion, attached is a copy of the signed receipt for the package that Mr. Walter Chrobak said was sent to you on 1-16-09 and signed for on 1-26-09.

Chris

<<Signed receipt for docs related to FOIA-2005-000219.pdf>>

**Peterson, Shirley**


---

**From:** Ogbazghi, Joan [Joan.Ogbazghi@hq.doe.gov]  
**Sent:** Thursday, February 12, 2009 8:59 AM  
**To:** Davis, Jeffrey (HQ); Becknell, Carolyn  
**Cc:** Peterson, Shirley  
**Subject:** RE: Status Check Please  
**Importance:** High

Hello Jeff and Carolyn,

Re:  
 FOIA-2000-00057 - This office telephoned the Embassies and is awaiting updates.

FOIA-2003-00568 - When was the document sent to Classification? If not, when do you expect to send it?

FOIA -2005-00219 - Sent to NA-42; this office has contacted and is awaiting update.

Thank you, Joan

---

**From:** Davis, Jeffrey  
**Sent:** Friday, February 06, 2009 11:47 AM  
**To:** Becknell, Carolyn  
**Cc:** Ogbazghi, Joan  
**Subject:** RE: Status Check Please

Please see below.

-----Original Message-----

From: Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
 Sent: Friday, February 06, 2009 11:22 AM  
 To: Davis, Jeffrey  
 Cc: Ogbazghi, Joan  
 Subject: Status Check Please

Hi Jeff!

HQ DOE is asking for a status check on a couple of requests at NA-10.

FOIA 2000-00057--have the British and French Embassies been contacted and what is the status please. They were contacted years ago and have never responded, nor do they have any incentive to respond. This will probably never be closed out if we are dependent on a response from them.

FOIA 2003-00568--a status of the review of the classified responsive records---where are we? We located another copy of the responsive document (there was only one NWAR) and are resubmitting for declassification

FOIA 2005-000219/20070001097----classified documents returned from HS-90---what is the status please? I have no record of what this is.

Thanks Jeff for checking on these. If you would let me know the status and also cc Joan Ogbazghi at HQ DOE.

2/12/2009

07-281  
07-282

**Peterson, Shirley**

---

**From:** Morris, Alexander [Alexander.Morris@hq.doe.gov]  
**Sent:** Wednesday, February 25, 2009 12:38 PM  
**To:** Ogbazghi, Joan (HQ)  
**Cc:** Kolbay, Michael L (HQ); Becknell, Carolyn; Peterson, Shirley  
**Subject:** FOIA-2005-000219/20070001097 and FOIA-2005-000269/20070001099

Joan,

I spoke with Mr. David Sabonya in the Public Information Office at the FBI today. He advised me that his classification office will try to have a final response to DOE on these two actions by March 6, 2009. I will contact him again next week to obtain the status.

Chris.

2/25/2009



07-281

**Becknell, Carolyn**

---

**From:** Morris, Alexander [Alexander.Morris@hq.doe.gov]  
**Sent:** Wednesday, February 25, 2009 1:27 PM  
**To:** Becknell, Carolyn  
**Subject:** FOIA-2005-000219 Jeffrey Richelson  
**Attachments:** Signed receipt for docs related to FOIA-2005-000219.pdf

Carolyn,

Per our discussion, attached is a copy of the signed receipt for the package that Mr. Walter Chrobak said was sent to you on 1-16-09 and signed for on 1-26-09.

Chris

<<Signed receipt for docs related to FOIA-2005-000219.pdf>>

2/25/2009



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



January 24, 2007

MEMORANDUM FOR RICHARD M. SPEIDEL  
DIRECTOR  
POLICY & INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH: *Chalk* JOSEPH J. KROL  
ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

FROM: DEBORAH A. WILBER *DAW*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2006-00497

The following documents responsive to the request from Mr. Jeffrey Richelson for "Any 1997-2001 Emergency Deployment Readiness Evaluations of the Nuclear Emergency Search Team" have been located in our files.

269 Eligible Receiver 2000 AAR; March 20, 2000 ✓  
219 JRX 2-98 Bright Victory AAR; March 17-31, 1998  
217 Gauged Strength AAR; June 18-26, 1998  
219 Bold Endeavor AAR; September 2, 1997  
497 Errant Foe AAR; December 3, 1998  
497 Exercise 3-99 CPX AAR; June 11, 1999  
Package Satyr; August 4-7, 1998 (*reclassified*) ✓

Mr. Richelson had previously requested that Eligible Receiver be released as part of FOIA #F2005-00269 and that Bright Victory, Gauged Strength, and Bold Endeavor be released as part of FOIA F2005-00219. These four documents are currently undergoing classification review by the Office of Document Reviews (HS-93). As a result of this prior request no further action will be taken to release these four documents.

Both Errant Foe and Exercise 3-99 CPX are both classified Secret and have been sent to the Office of Document Reviews for classification review. Once the classification reviews are complete, the appropriately redacted documents will be returned to NA-42 for release to the requestor. They will then be forwarded to your office.

Package Satyr is unclassified. A copy for Mr. Richelson is attached.

Attachment



## ACTIVITY LOG

DOE Control Number: F2005-00219 Requester's name: Jeffrey Richelson

IDRMS Control Number: \_\_\_\_\_

Request received: 3-22-05 20-day: 4-19-05

Locations where sent: \_\_\_\_\_

3-22-05: ME-74 received FOIA request.

3-23-05: ME-74 sent letter to requester stating the NNSA will be processing. ME-74 then forwarded FOIA request to NA-66.

4-6-05: NA-66 referred FOIA request to Joseph Krol, Associate Administrator for Emergency Operations, NA-40, for processing.

**NEXT ACTION: Check with Chris to be sure that this is still open (NA-60 could have responded directly to ME-74). If closed, get a copy of the final response and put in your file. If still open, check with NA-60 asking for status of their processing.**

*Provided additional copy of case to Cheryl 1/10/06*

*2/23/06 Sending another enquiry to NA-60 regarding this FOIA request. Better yet I'll check w/ Richard to find out who's handling this stuff now. James Cavanagh has moved to front office. Don't this this is his stuff any longer*

*Request referred back to Joe Kroll NA-40. 16/3/05*

## Jones, Linda

---

**From:** Brawner, Cheryl  
**Sent:** Wednesday, January 24, 2007 11:47 AM  
**To:** Jones, Linda  
**Cc:** Krol, Joseph; Chrobak, Walt; Carter, Lionel; Davis, Terrie  
**Subject:** Status of FOIA F2005-00219

Good Morning Linda,

I spoke with Walt Chrobak (Action Officer/202-586-7284) on Monday, January 22, 2007, regarding F2005-00219, and was told that NA-40 is still awaiting reviews by (SP/50)/GTN (documents being reviewed are classified). If you have any questions, I can be reached at 202-586-9892. (Please confirm receipt of message)

Thank you,  
Cheryl

-----Original Message-----

**From:** Jones, Linda  
**Sent:** Wednesday, January 24, 2007 10:17 AM  
**To:** Brawner, Cheryl  
**Subject:** RE: FOIA Request #F2007-00242 Jeffrey Richelson

Cheryl

I have this other FOIA request that is seriously over-due. I have sent Mr. Krol several e-mails but he has not responded. It is F2005-00219.

-----Original Message-----

**From:** Brawner, Cheryl  
**Sent:** Monday, January 22, 2007 4:22 PM  
**To:** Krol, Joseph  
**Cc:** Jones, Linda; Davis, Terrie; Carter, Lionel  
**Subject:** RE: FOIA Request #F2007-00242 Jeffrey Richelson

Good Evening Mr. Krol,

Per e-mail below, I spoke with Ms. Jones and informed her that NA-40 is aware the FOIA request is F2005-00163. (Action for NA-40 is closed).

v/r,  
Cheryl

-----Original Message-----

**From:** Jones, Linda  
**Sent:** Monday, January 22, 2007 3:49 PM  
**To:** Krol, Joseph  
**Cc:** Brawner, Cheryl  
**Subject:** FW: FOIA Request #F2007-00242 Jeffrey Richelson

Mr. Krol/Cheryl

Are you aware that the FOIA request I am referring to is F2005-00163. The IDRMS request number on the case is 2007-00242. I just want to make sure we're talking about the same FOIA case.

From: Jones, Linda  
Sent: Thursday, January 18, 2007 2:32 PM  
To: Krol, Joseph

-----Original Message-----

Subject: RE: FOIA Request #F2007-00242 Jeffrey Richelson

Thanks.

-----Original Message-----

From: Krol, Joseph  
Sent: Thursday, January 18, 2007 2:02 PM  
To: Jones, Linda  
Subject: Re: FOIA Request #F2007-00242 Jeffrey Richelson

Linda, Yes , we have nothing. Jk

----- Original Message -----

From: Jones, Linda  
To: Krol, Joseph  
Sent: Thu Jan 18 11:16:17 2007  
Subject: RE: FOIA Request #F2007-00242 Jeffrey Richelson

I'm sorry Joe, am I understanding you to say you do not have any responsive documents relating to the this FOIA request?

-----Original Message-----

From: Krol, Joseph  
Sent: Thursday, January 18, 2007 11:11 AM  
To: Jones, Linda  
Subject: Re: FOIA Request #F2007-00242 Jeffrey Richelson

Linda, Sorry we left you hanging but we did not respnd because we found nothing. In the future we will provide a negative response and will do so today in this case. Jk

----- Original Message -----

From: Jones, Linda  
To: Krol, Joseph  
Cc: Speidel, Dick  
Sent: Wed Jan 17 14:35:29 2007  
Subject: FOIA Request #F2007-00242 Jeffrey Richelson

Mr. Krol

According to notes in our file regarding this case file dated 3/25/05, you informed Mr. Speidel that "It is anticipated that this review will require a search of Archives at several locations. We will begin this review immediately - it we find any of these documents, we will advise you of their releasability". To date we have not received any responsive documents relating to this case.

Could you please send us any responsive documents relating to this case.

Thank You  
Linda Jones

# FREEDOM OF INFORMATION ACT REQUEST CERTIFICATION

DATE: March 23, 2005

TO: Dick Speidel, Director, Office of Business Operations, NA-66  
Attn: Terry Keiser, NA-66 ✓

FROM: *Jean* Joan Ogbazghi, Information Access Specialist, FOIA/Privacy Act Group, ME-74

REQUEST NO. F2005-00219/Jeffrey Richelson

The attached request has been referred to your office for action. Please complete this form and return it to me with any responsive documents and an electronic list of the documents (sample format attached). You may direct any questions to me on 586-3595.

Please check/complete where appropriate:

\_\_\_\_\_ The documents transmitted herewith contain no classified or unclassified information on technologies supporting nuclear weapons design and production, etc.

\_\_\_\_\_ The documents transmitted herewith were found to contain classified or unclassified information on technologies supporting nuclear weapons design and production, etc., and have been referred for review (memo attached).

\_\_\_\_\_ We have been unable to locate any documents responsive to this request.

\_\_\_\_\_ Pages (Number) of documents have been identified.

It is recommended that the documents transmitted herewith be:

\_\_\_\_\_ Released in their entirety. Documents have been reviewed and do not contain any information that is exempt from disclosure under a FOIA exemption.

\_\_\_\_\_ Partially withheld for the reasons stated in the attachment. Documents not identified as containing deletions in the attachment may be released in their entirety.

\_\_\_\_\_ Withheld in their entirety for the reasons stated in the attachment

Please provide the following information:

Search Time/Hourly Rate: \_\_\_\_\_

Review Time/Hourly Rate: \_\_\_\_\_

**I certify that a thorough search has been conducted and that all responsive material has been brought to the attention of the FOIA/Privacy Act Group.**

\_\_\_\_\_  
Signature  
Authorizing/Denying Official (Print Name)

\_\_\_\_\_  
Date  
Title

Attachments

## SAMPLE INDEX FORMAT

### List of Enclosures

1. Letter to Secretary of Energy Bill Richardson from Congressman Tom Bliley, Chairman, Committee on Commerce, and Congressman Joe Barton, Chairman, Subcommittee on Energy and Power, dated October 2, 2000, with one attachment. 5 pages. (F2000-00665)
  - a. Undated document with definitions of "records" and "relating," "relate," or "regarding." 1 page. (F2000-00665)
2. Letter to Congressman Tom Bliley, Chairman on Commerce, and Congressman Joe Barton, Chairman, Subcommittee on Energy and Power, from Melanie Kenderdine, Director, Office of Policy, dated October 17, 2000, with one enclosure. 2 pages. (F2000-00665)
  - a. Undated responses to the questions posed in letter dated October 2, 2000. 7 pages. (F2000-00665)
3. E-mail message to Ronald Minsk, Executive Office of the President, from Margot Anderson, DOE, dated September 22, 2000. Subject: Heating oil stocks for New England. 1 page. (F2000-00665)
4. Undated graph for Year 2000 activity entitled "Northeast Heating Oil Reserve." 1 page. (F2000-00665)
5. Undated document entitled "Delay of Deliveries of Royalty in Kind Oil." 1 page. (F2000-00665)
6. Document about SPR legal authorities entitled "Q&A for Press Office, Acquisition of Petroleum for the Strategic Petroleum Reserve," dated September 22, 2000. 1 page. (F2000-00665)
7. E-mail message to Melanie Kenderdine, et al., from John Shages, dated September 22, 2000, with two attachments. Subject: fact sheets. 1 page. (F2000-00665)
  - a. Excerpt from the Energy Policy and Conservation Act entitled "Authorization for Exchanges." 1 page. (F2000-00665)
  - b. Fact sheet on the exchange. 1 page. (F2000-00665)
8. Prepared Statement of Energy Secretary Bill Richardson about the exchange of oil from the Strategic Petroleum Reserve, dated September 22, 2000. 2 pages. (F2000-00665)
9. Undated Q&A about Secretary of Treasury Summer's position on the SPR. 1 page. (F2000-00665)
10. Saudi Arabia press release entitled "Saudi Ambassador reacts to the use of the SPR," dated September 23, 2000. 1 page. (F2000-00665)
11. Transcript entitled "Treasury's Summers Post G-7 Meeting Press Conference," from the U.S. Department of State Washington File, dated September 24, 2000. 8 pages. (F2000-00665)
12. DOE press release entitled "First SPR Exchange Crude Oil Shipment Underway; Northeast Heating Oil Reserve Now Full," dated October 14, 2000. 3 pages. (F2000-00665)
13. International Monetary and Financial Committee Communique entitled "IMF policy-making panel sees oil price dangers," from the U.S. Department of State Washington File, dated September 24, 2000. 7 pages. (F2000-00665)
14. Article entitled "The Next Act," Notes at the Margin, dated September 25, 2000. 4 pages. (F2000-00665)

**FAX TRANSMITTAL**

---

**Date:** March 22, 2005

**To:** Abel Lopez

**From:** Jeffrey Richelson

(b)(6)

**Re:** FOIA Request

**Add Pages:** 2

**MESSAGE:**

Disregard fee waiver request.

Will pay up to \$50 in duplication costs. If fees are projected to be more please contact.

  
Jeffrey T. Richelson



**Jones, Linda**

---

**To:** Krol, Joseph  
**Subject:** FOIA Request #2005-00219 (Richelson)

Mr. Krol

On April 6, 2005 you were sent FOIA request F2005-00219 for response. We have no record of that response and we are receiving inquiries from the requester and FOIA office. Could you please provide us with the requested information so that we can close this request.

Thank You  
Linda Jones



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 05 2007

MEMORANDUM FOR: Isiah Smith  
Office of General Counsel, GC-77

FROM: Richard M. Speidel, Director  
Policy & Internal Controls Management  
Freedom of Information/Privacy Act Officer  
NA-66

SUBJECT: Freedom of Information Act Request #F2005-00269  
(Jeffrey Richelson)

The attached file is a complete copy of the abovementioned FOIA request. I have inherited this case from at least two predecessors therefore I am assuming from my review that all necessary documents are included as required.

NNSA is attempting to close this FOIA case and would like for GC to review the responsive documents included in this case prior to our release with your recommendations.

If you have any questions regarding this case please contact Linda Jones on (202) 586-3633.



**FAX TRANSMITTAL**

---

**Date:** March 22, 2005

**To:** Abel Lopez

**From:** Jeffrey Richelson

(b)(6)

**Re:** FOIA Request

**Add Pages:** 2

**MESSAGE:**

Disregard fee waiver request.

Will pay up to \$50 in duplication costs. If fees are projected to be more please contact.

  
Jeffrey T. Richelson

**Becknell, Carolyn**

---

**From:** Morris, Alexander [Alexander.Morris@hq.doe.gov]  
**Sent:** Tuesday, October 23, 2007 10:16 AM  
**To:** Becknell, Carolyn  
**Subject:** Foia-2005-000219 request letter  
**Attachments:** DownloadServlet.pdf

10/23/2007

**Department of Energy**

Washington, DC 20585

March 23, 2005

Mr. Jeffrey Richelson

(b)(6)

Re: F2005-00219

Dear Mr. Richelson:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for after action reports for the following Nuclear Emergency Search Team (NEST) exercises:

Patriot Pledge, January 1997;  
Patriot Finance, June 1997;  
Ellipse Foxtrot, June 1997;  
Bright Victory, March 1998; and  
Gauged Strength, June 1998.

The request has been assigned to the National Nuclear Security Administration to conduct a search of its files for responsive documents. Upon completion of the search and the review of any documents located, you will be provided a response.

In correspondence transmitted with your letter, you agreed to pay up to \$50.00 for duplication fees associated with the processing of the request. You also asked to be notified if fees will exceed that amount.

We have reviewed the information that you submitted and determined that you have satisfied the criteria to be a "news media" requester for purposes of assessment of fees under the DOE regulation at Title 10, Code of Federal Regulations, Section 1004.9(b)(3). In this category, you will be provided the first 100 pages at no cost and charged only for duplication costs incurred. We will notify you if fees are expected to exceed the amount you have stipulated.

The above referenced number has been assigned to the request and you should refer to it in correspondence with the DOE about this matter. If you have questions about the processing of the request, you may contact Ms. Joan Ogbazghi of my staff on (202) 586-3595.

I appreciate the opportunity to assist you.

Sincerely,

A handwritten signature in black ink, appearing to read "Abel Lopez", is written over a horizontal line.

Abel Lopez, Director  
FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper

## FREEDOM OF INFORMATION ACT REQUEST CERTIFICATION

DATE: March 23, 2005

TO: Dick Speidel, Director, Office of Business Operations, NA-66  
Attn: Terry Keiser, NA-66

FROM: Joan Ogbazghi, Information Access Specialist, FOIA/Privacy Act Group, ME-74

REQUEST NO. F2005-00219/Jeffrey Richelson

The attached request has been referred to your office for action. Please complete this form and return it to me with any responsive documents and an electronic list of the documents (sample format attached). You may direct any questions to me on 586-3595.

Please check/complete where appropriate:

- ☐ The documents transmitted herewith contain no classified or unclassified information on technologies supporting nuclear weapons design and production, etc.
- ☐ The documents transmitted herewith were found to contain classified or unclassified information on technologies supporting nuclear weapons design and production, etc., and have been referred for review (memo attached).
- ☐ We have been unable to locate any documents responsive to this request.
- ☐ Pages (Number) of documents have been identified.

It is recommended that the documents transmitted herewith be:

- ☐ Released in their entirety. Documents have been reviewed and do not contain any information that is exempt from disclosure under a FOIA exemption.
- ☐ Partially withheld for the reasons stated in the attachment. Documents not identified as containing deletions in the attachment may be released in their entirety.
- ☐ Withheld in their entirety for the reasons stated in the attachment

Please provide the following information:

Search Time/Hourly Rate: \_\_\_\_\_

Review Time/Hourly Rate: \_\_\_\_\_

I certify that a thorough search has been conducted and that all responsive material has been brought to the attention of the FOIA/Privacy Act Group.

\_\_\_\_\_  
Signature  
Authorizing/Denying Official (Print Name)

\_\_\_\_\_  
Date  
Title

Attachments

**Becknell, Carolyn**

---

**From:** Morris, Alexander [Alexander.Morris@hq.doe.gov]  
**Sent:** Tuesday, October 23, 2007 10:16 AM  
**To:** Becknell, Carolyn  
**Subject:** Foia-2005-000219 interim letter  
**Attachments:** DownloadServlet.pdf

10/23/2007

**Becknell, Carolyn**

---

**From:** Morris, Alexander [Alexander.Morris@hq.doe.gov]  
**Sent:** Tuesday, October 23, 2007 10:17 AM  
**To:** Becknell, Carolyn  
**Subject:** Foia-2005-000219 Notes 1  
**Attachments:** DownloadServlet.pdf

10/23/2007





Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 8 2007

MEMORANDUM FOR RICHARD M. SPEIDEL  
POLICY AND INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH: *CS* CARL S. PAVETTO  
DEPUTY ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS  
  
DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE  
  
FROM: WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE  
  
SUBJECT: Freedom of Information Request #F2005-00219 and  
#F2005-00269

Only three of the documents requested in #F2005-20019 have been found in our files. All three are classified and contained Focal Point special procedure markings controlled by Department of Defense (DoD).

All three of the documents requested in #F2005-00269 have been located in our files. Two were protected as Official Use Only, have been reviewed internally, and were forwarded to your office for release to the requestor on January 11, 2006. The third document is classified and contained Focal Point special procedure markings controlled by DoD.

I have removed the Focal Point markings from the copies of the four classified document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office.

The four classified documents have been sent to the Office of Document Reviews, for classification review. Once the classification reviews are complete the appropriately redacted documents will be returned to the Office of Emergency Response for release to the requestor. They will then be forwarded to your office.

I have attached copies of the memoranda provided to the Office of Document Reviews, which identifies the four documents by name.

Attachments



Printed with soy ink on recycled paper

2007

## FOIA Tracking

Last Name First Name MI Org. Code: O  
 FOIA No: 07 281 Richelson Jeffrey T Status: I

## Requester Information

Previous Requesters: \_\_\_\_\_ Home: (b)(6)  
 Business Name: \_\_\_\_\_ Work: \_\_\_\_\_  
 Address 1: (b)(6) Cell: \_\_\_\_\_  
 Address 2: \_\_\_\_\_ E-Mail: \_\_\_\_\_  
 Address 3: \_\_\_\_\_ Fax: \_\_\_\_\_  
 City: (b)(6) State: (b)(6) Country: \_\_\_\_\_ Zip: (b)(6)

Assigned: PETERSON PA: ☐ Date Requested: 3/22/2005 Date Received: 10/23/2007

Receipt Of Request: H HQ No: F2005-00219 Type of Info Requested: OTH

Fee Waiver: *after* Number of Pages: \_\_\_\_\_

Lead Office: ☐  
 Expedite Processing: ☐

Description of Request: Requesting action reports for the following NEST exercises: Patriot Pledge, January 1997; Patriot Finance, June 1997; Ellipse Foxtrot, June 1997; Bright Victory, March 1998; and Gauged Strength, June 1998.

Applicable No(s): \_\_\_\_\_

Status: 10/23/07 provided to Debbie for file folder.

Facility:

Facility	Requested	Received
FOI-	10/23/2007	
File/Ack		
HQ NNSA		

Other Authority For Completion: \_\_\_\_\_

Totally Granted ☐  
 Partially Granted ☐

## Processing Track

Complex: ☒

## Other Authority for Completion

Withdrawn 1 ☐  
 Not Reasonably Described 2 ☐  
 Nonconforming FOIA Request 3 ☐  
 Document Not In Existence 4 ☐

Referred To Another Agency 5 ☐  
 Not Willing To Pay Fees 6 ☐  
 Other 7 ☐  
 Duplicate request 8 ☐

Unknown A ☐  
 Unknown F ☐  
 Unknown n ☐  
 Unknown O ☐  
 Unknown R ☐

FOIA No: 07 281      Last Name: Richelson      First Name: Jeffrey      MI: T      Org. Code: 0      Status: I

Old Exemption Code

Exemptions

1	2	3	4	5	6	7A	7B	7C	7D	8	9	Glomar
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Statutes

- ☐ 01-\$2161-2166: Atomic Energy Act; SUBCHAPTER XI - CONTROL OF INFORMATION
- ☐ 02-\$2168: UCNI: Atomic Energy Act: Dissemination of unclassified information
- ☐ 03-\$3262-3266: Title 22, Chapter 47 §3262-3266 Nuclear Non-Proliferation, Aid to Developing Countries
- ☐ 04-PIA: Procurement Integrity Act; 41 U.S.C. §423
- ☐ 05-PL 104-201: National Defense Authorization Act for Fiscal Year 1997
- ☐ 06-Other Statutes: Other Exemptions used for previous years

System of Records

OPM-1	1	5	33	35	38	43	83
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Denying  
Official:

First

MI

Last

Partial Or  
Total  
Denial:

Final Response Date:

Collectible:	Processing	Cost:	Fee	Charged:	Fee	Paid:	Date Paid:
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	

Additional  
Comments:

OHA Case No:

	Last Name	First Name	MI	Org. Code:	O
FOIA No:	07 281	Richelson	Jeffrey	T	Status: I

**Records Retention Information:**

Reason:

Year for Destruction:

Authorization Number:

Date Destroyed:

Comments:

Authorized By:

C00003610

~~SECRET/RESTRICTED DATA~~



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 10 2007

MEMORANDUM FOR JAMES A. WENDT

DIRECTOR  
OFFICE OF DOCUMENT REVIEWS

THROUGH:

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT:

Freedom of Information Request #F2005-00219

I have attached a copy of a FOIA request from Mr. Jeffrey T. Richelson, dated March 7, 2005. Only two of the documents requested have been located in our files. Both of these documents, Ellipse Foxtrot 1997 and Gauged Strength 1998, are classified. Two copies of each document are attached for classification review.

On March 22, 2005 Mr. Richelson resubmitted his request and added an additional five documents. Of these, only Bright Victory March 1998 has been located in our files. This document is also classified. Two copies of this document are also attached for classification review.

A copy of the memorandum from Richard Speidel, NA-66, indicating this expanded request is also attached. I do not have a copy of Mr. Richelson's FOIA request dated March 22, 2005.

Please review the attached documents and return them to this office with classified information bracketed and annotated with the correct exemption category and include an "Information for Requestor" attachment which provides reasons for withholding information along with the process for appealing the decision to withhold information.

This document review should be coordinated with the Department of Defense and the Federal Bureau of Investigation.

For your information Focal Point special handling markings have been removed from the document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office.

Attachments

This memo is unclassified without the attachments

~~SECRET/RESTRICTED DATA~~



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20020001097



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585

FEB 8 2007



MEMORANDUM FOR RICHARD M. SPEIDEL  
POLICY AND INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH: *CS* CARL S. PAVETTO  
DEPUTY ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM: WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00219 and  
#F2005-00269

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I have removed the Focal Point markings from the copies of the four classified document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office.

The four classified documents have been sent to the Office of Document Reviews, for classification review. Once the classification reviews are complete the appropriately redacted documents will be returned to the Office of Emergency Response for release to the requestor. They will then be forwarded to your office.

I have attached copies of the memoranda provided to the Office of Document Reviews, which identifies the four documents by name.

Attachments



Printed with soy ink on recycled paper



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 8 2007

MEMORANDUM FOR RICHARD M. SPEIDEL  
POLICY AND INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH:

*CS* CARL S. PAVETTO  
DEPUTY ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00219 and  
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Attachments



# FREEDOM OF INFORMATION ACT REQUEST CERTIFICATION

DATE: March 23, 2005

TO: Dick Speidel, Director, Office of Business Operations, NA-66 ✓  
Attn: Terry Keiser, NA-66

FROM: *Joan* Joan Ogbazghi, Information Access Specialist, FOIA/Privacy Act Group, ME-74

REQUEST NO. F2005-00219/Jeffrey Richelson

The attached request has been referred to your office for action. Please complete this form and return it to me with any responsive documents and an electronic list of the documents (sample format attached). You may direct any questions to me on 586-3595.

Please check/complete where appropriate:

- ☐ The documents transmitted herewith contain no classified or unclassified information on technologies supporting nuclear weapons design and production, etc.
- ☐ The documents transmitted herewith were found to contain classified or unclassified information on technologies supporting nuclear weapons design and production, etc., and have been referred for review (memo attached).
- ☐ We have been unable to locate any documents responsive to this request.
- ☐ Pages (Number) of documents have been identified.

It is recommended that the documents transmitted herewith be:

- ☐ Released in their entirety. Documents have been reviewed and do not contain any information that is exempt from disclosure under a FOIA exemption.
- ☐ Partially withheld for the reasons stated in the attachment. Documents not identified as containing deletions in the attachment may be released in their entirety.
- ☐ Withheld in their entirety for the reasons stated in the attachment

Please provide the following information:

Search Time/Hourly Rate: \_\_\_\_\_

Review Time/Hourly Rate: \_\_\_\_\_

I certify that a thorough search has been conducted and that all responsive material has been brought to the attention of the FOIA/Privacy Act Group.

\_\_\_\_\_  
Authorizing/Denying Official (Print Name)

Signature \_\_\_\_\_

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

Attachments



## SAMPLE INDEX FORMAT

### List of Enclosures

1. Letter to Secretary of Energy Bill Richardson from Congressman Tom Bliley, Chairman, Committee on Commerce, and Congressman Joe Barton, Chairman, Subcommittee on Energy and Power, dated October 2, 2000, with one attachment. 5 pages. (F2000-00665)
  - a. Undated document with definitions of "records" and "relating," "relate," or "regarding." 1 page. (F2000-00665)
2. Letter to Congressman Tom Bliley, Chairman on Commerce, and Congressman Joe Barton, Chairman, Subcommittee on Energy and Power, from Melanie Kenderdine, Director, Office of Policy, dated October 17, 2000, with one enclosure. 2 pages. (F2000-00665)
  - a. Undated responses to the questions posed in letter dated October 2, 2000. 7 pages. (F2000-00665)
3. E-mail message to Ronald Minsk, Executive Office of the President, from Margot Anderson, DOE, dated September 22, 2000. Subject: Heating oil stocks for New England. 1 page. (F2000-00665)
4. Undated graph for Year 2000 activity entitled "Northeast Heating Oil Reserve." 1 page. (F2000-00665)
5. Undated document entitled "Delay of Deliveries of Royalty in Kind Oil." 1 page. (F2000-00665)
6. Document about SPR legal authorities entitled "Q&A for Press Office, Acquisition of Petroleum for the Strategic Petroleum Reserve," dated September 22, 2000. 1 page. (F2000-00665)
7. E-mail message to Melanie Kenderdine, et al., from John Shages, dated September 22, 2000, with two attachments. Subject: fact sheets. 1 page. (F2000-00665)
  - a. Excerpt from the Energy Policy and Conservation Act entitled "Authorization for Exchanges." 1 page. (F2000-00665)
  - b. Fact sheet on the exchange. 1 page. (F2000-00665)
8. Prepared Statement of Energy Secretary Bill Richardson about the exchange of oil from the Strategic Petroleum Reserve, dated September 22, 2000. 2 pages. (F2000-00665)
9. Undated Q&A about Secretary of Treasury Summer's position on the SPR. 1 page. (F2000-00665)
10. Saudi Arabia press release entitled "Saudi Ambassador reacts to the use of the SPR," dated September 23, 2000. 1 page. (F2000-00665)
11. Transcript entitled "Treasury's Summers Post G-7 Meeting Press Conference," from the U.S. Department of State Washington File, dated September 24, 2000. 8 pages. (F2000-00665)
12. DOE press release entitled "First SPR Exchange Crude Oil Shipment Underway; Northeast Heating Oil Reserve Now Full," dated October 14, 2000. 3 pages. (F2000-00665)
13. International Monetary and Financial Committee Communique entitled "IMF policy-making panel sees oil price dangers," from the U.S. Department of State Washington File, dated September 24, 2000. 7 pages. (F2000-00665)
14. Article entitled "The Next Act," Notes at the Margin, dated September 25, 2000. 4 pages. (F2000-00665)

NA-66:tkeiser:3-3487:3/9/05:f2005-00163

Distribution:

so: Addressee

1bcc: NA-66

IDRMS#2005-09394

NA-66

R. Speidel

4/1/05



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



APR 06 2005

MEMORANDUM FOR Joseph J. Krol, Associate Administrator  
for Emergency Operations, NA-40

FROM: Richard M. Speidel, Director *RM Speidel* 4/6-05  
Policy & Internal Controls Management  
Freedom of Information/Privacy Act Officer, NA-66

SUBJECT: Freedom of Information Act Request #F2005-00219

The National Nuclear Security Administration (NNSA) has received a second FOIA request from Mr. Jeffrey T. Richelson asking for after action reports for five different NEST exercises. Mr. Richelson is requesting after action reports for : Patriot Pledge Jan 97, Patriot Finance June 97, Ellipse Foxtrot June 97, Bright Victory March 98 and Gauged Strength June 98.

Please review the request and let me know if your office has responsive documents. If responsive documents are found, please advise me of their releaseability.

If responsive documents are classified, you will need to send two copies of each document with a memo to James Wendt, Office of Classified and Controlled Information Review, SO-10.2 and request a classified document review. Make sure to include the FOIA number (F2004-00219) in the memo & send my office a copy so we can track the status of the request.

Attachment:  
FOIA Request fm  
J. Richelson dtd 3/22/05



**FAX TRANSMITTAL**

---

**Date:** March 22, 2005

**To:** Abel Lopez

**From:** Jeffrey Richelson

(b)(6)


**Re:** FOIA Request

**Add Pages:** 2

**MESSAGE:**

Disregard fee waiver request.

Will pay up to \$50 in duplication costs. If fees are projected to be more please contact.

  
Jeffrey T. Richelson

**Becknell, Carolyn**

**From:** Ogbazghi, Joan [Joan.Ogbazghi@hq.doe.gov]  
**Sent:** Wednesday, December 10, 2008 9:52 AM  
**To:** Kolbay, Michael L (HQ); Becknell, Carolyn  
**Cc:** Morris, Alexander (HQ)  
**Subject:** RE: Updates for 2000-2005 FOIA Requests Report

Thank you, Mike.

Carolyn, FYI--to follow up with NA-42. Thanks.

---

**From:** Kolbay, Michael  
**Sent:** Wednesday, December 10, 2008 11:40 AM  
**To:** Ogbazghi, Joan  
**Cc:** Stein, Ken; Vital, Joe; Morris, Alexander  
**Subject:** RE: Updates for 2000-2005 FOIA Requests Report

Hello Joan,

I've got an update for you on #18, your FOIA number FOIA-2005-000219, our action numbers 20070001094 & 20070001097.

FOIA action is open at HS-93 as two separate packages. Final coordinate responses received for 20070001094, other-agency brackets transferred, indirect response to requester (through NA-42) was signed and in placed in the dispatch queue yesterday; action was dispatched to NA-42 this morning for OUO, etc., review. Response to the requester will be by NA. Completion of 20070001097 is still awaiting coordinate review responses from the FBI & DoD.

Mike Kolbay

---

**From:** Ogbazghi, Joan  
**Sent:** Tuesday, December 09, 2008 2:47 PM  
**To:** Becknell, Carolyn; Tijani, Debbie; Vital, Joe  
**Cc:** Kolbay, Michael; Johnson, Deborah K.  
**Subject:** Updates for 2000-2005 FOIA Requests Report  
**Importance:** High

<< File: 2000-2005 FOIA Requests Weekly Report.xls >>

Hello All,

Please send updates for this week's report for all cases with your office for action or coordination. I would appreciate a response by noon tomorrow.

Thanks, Joan

12/10/2008

**NNSA Tracking #**  
**HQ Tracking #**

**FOIA 07-281-P**  
**F2005-00219**

**IDRMS Tracking #**

**Date of FOIA Request** 3/22/2005

**Date Received by Agency** 3/22/2005

**Requested Information**

Request copies of the after action reports for the following

NEST exercises:

Patriot Pledge Jan 97

Patriot Pledge Jun 97

Ellipse Foxtrot Jun 97

Bright Victory Mar 98

Gauged Strength Jun 98

**Requester** Jeffrey T. Richelson

**Address**

(b)(6)

**Phone**

**FOIA Staff Member Originally Assigned Request** Linda Jones

**Contact with Requester** 3/23/2005

**Office(s) Assigned Actions on Request** NA-66 Joseph Kroll  
202-586-0133

**Results of Analysis**

C7-281-P

JEFFREY T. RICHELSON

(b)(6)

March 22, 2005

MAR 22 2005 04  
SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
100 FREE PAGES

Abel Lopez  
Freedom of Information and Privacy Group  
Office of the Executive Secretariat  
US Department of Energy  
1000 Independence Avenue S.W., HR-73  
Washington, D.C. 20585

Dear Mr. Lopez:

This is a request under the Freedom of Information Act as amended (5 U.S.C. 522). I request copies of the after(action reports for the following NEST exercises)

Patriot Pledge Jan 97  
Patriot Finance Jun 97  
Ellipse Foxtrot Jun 97  
Bright Victory Mar 98  
Gauged Strength Jun 98

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I therefore request that I be provided with all nonexempt portions which are reasonably segregable I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification.

To permit an intelligent, informed decision as to whether or not to file an administrative appeal of any denial decision, I also request that you describe in detail the withheld records (or portions thereof) and explain the logical and factual basis for exemption claims. See Mead Data v. Department of the Air Force 566 F.2d 242, 251 (D.C. Cir. 1977).

I also request a fee waiver. The fee waiver provisions under section 552 (a) (4) (A) of the FOIA, as amended on April 25, 1987, rewrote section 552 (a) (4) (A) to create categories of requesters entitled to fee waivers. Under those provisions, I qualify for exemption from fees in the "news media" category. In a recent decision, the U.S. Court of Appeals for the D.C. circuit unanimously held that for FOIA fee waiver purposes "representatives of the news media" includes any individuals who regularly publish or disseminate information to the public. (See National Security Archive v. U.S. Department of Defense, No. 88-5217, D.C. Circuit, July 28, 1989, as well as Electronic Privacy Information Center v. U.S. Department of Defense No. 02-1233, United States District Court for the District of Columbia, January 16, 2003).

F 2005-00219

JLR

My articles and commentaries have appeared in *Scientific American*, *Air Force Magazine*, *Bulletin of the Atomic Scientists*, *Jane's Intelligence Review*, *International Journal of Intelligence and Counterintelligence*, *ISR Journal*, *Armed Forces Journal International*, *Defense Week*, *The National Interest*, *the Washington Post*, *the Los Angeles Times*, *the Boston Globe*, and *Newsday*.

My intended use of the material requested above, in light of my extensive publication record, clearly meets the criteria established by statute and case law for preferred fee status under the FOIA as a representative of the news media. As such a representative I am entitled to have search and review fees waived. And given the contribution of my work to enhancing public understanding of the government operations I request that you also waive reproduction fees.

I look forward to your response within the statutory time period. If you have any questions about this request or any other matters, please contact me at (b)(6) (phone) (b)(6) (b)(6) (fax), or (b)(6)

Sincerely,



Jeffrey T. Richelson



JEFFREY T. RICHELSON

(b)(6)

APR 15 2005 01  
APR 14, 2005  
FEDERAL BUREAU OF INVESTIGATION  
U.S. DEPARTMENT OF JUSTICE

Abel Lopez  
Freedom of Information and Privacy Group  
Office of the Executive Secretariat  
US Department of Energy  
1000 Independence Avenue S.W., HR-73  
Washington, D.C. 20585

Dear Mr. Lopez:

This is a request under the Freedom of Information Act as amended (5 U.S.C. 522). I request a copies of the after-action reports for the following NEST exercises:

Lost Beacon July 99  
Vigilant Lion Sept 99  
Eligible Receiver Nov 99

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I therefore request that I be provided with all nonexempt portions which are reasonably segregable. I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification.

To permit an intelligent, informed decision as to whether or not to file an administrative appeal of any denial decision, I also request that you describe in detail the withheld records (or portions thereof) and explain the logical and factual basis for exemption claims. See Mead Data v. Department of the Air Force 566 F.2d 242, 251 (D.C. Cir. 1977).

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I easily meet this criteria as a result of my books and articles, which have appeared in a wide variety of publications. (A vita is available upon request). My books include *The Wizards of Langley: Inside the CIA's Directorate of Science and Technology* (Westview 2001); *America's Space Sentinels: DSP Satellites and National Security* (University Press of Kansas, 1999); *The U.S. Intelligence Community* (Westview, 4th ed. 1999); *A Century of Spies: Intelligence in the Twentieth Century* (Oxford, 1995); and *America's Secret Eyes in Space* (Harper & Row, 1990).

F2005-00269



My articles and commentaries have appeared in *Scientific American*, *Air Force Magazine*, *Bulletin of the Atomic Scientists*, *Jane's Intelligence Review*, *International Journal of Intelligence and Counterintelligence*, *ISR Journal*, *Armed Forces Journal International*, *Defense Week*, *The National Interest*, *the Washington Post*, *the Los Angeles Times*, *the Boston Globe*, and *Newsday*.

My intended use of the material requested above, in light of my extensive publication record, clearly meets the criteria established by statute and case law for preferred fee status under the FOIA as a representative of the news media. As such a representative I am entitled to have search and review fees waived. And given the contribution of my work to enhancing public understanding of the government operations I request that you also waive reproduction fees.

I look forward to your response within the statutory time period. If you have any questions about this request or any other matters, please contact me at (b)(6) (phone) (b)(6) (b)(6) fax), or (b)(6)

Sincerely,



Jeffrey T. Richelson

**Request Details Report****Request Information:**

Request #	: FOIA 07-282-P
Action Office	: NNSA
Request Type	: FOIA
Requester Category	: Other Agency
Reference	: -
Received Mode	: -
Requested Date	: 04/14/2005
Original Received Date	: 10/22/2007
Received Date	: 10/22/2007
Original Perfected Date	: 10/23/2007
Last Perfected Date	: 10/23/2007
Disposition Accepted Date	: -
Delivery Date	: -
Closed Date	: -
Original Target Date	: 11/19/2007
Target Date	: 11/19/2007
Estimated Delivery Date	: 11/19/2007
Total Days on Hold	: 0
Days Remaining	: -1374
Request Age	: 1393
Delivery Mode	: -
Multi-Track Type	: Complex
Priority	: Normal
Request Status	: Perfected
Final Disposition	: -
Discretionary Release Made	: No
Discretionary Comments	: -
Discretionary Codes	: -
Denial Authority	: -
Expedite Requested	: No
Expedite Status	: -
Expedite Start Date	: -
Expedite End Date	: -
Expedite Description	: -
Adjudicate Days (Expedite)	: -
Days of Extension	: -
Reason for Extension	: -
Approved Date for Extension	: -
Extension Status	: -

**Custom Fields:****Locations Searched**

Select all locations searched. : HS-90

**Other Control #**

Other Control # : F2005-00269

**Transfer Details**

Sent From : HQ

**Retention Period**

Authorization : N1-434-98-17

**Description of the Request :**

Requesting after action reports for the following NEST exercises: Lost Beacon July 99, Vigilant Lion Sept 99, Eligible Receiver Nov 99. The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I Request that I be provided with all nonexempt portions which are reasonably segregable I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification. RECORDS AT DECLASSIFICATION 8/29/07 PART TO DOD

**Sub-requests :**

Default

**Requester Information:**

Requester Name : Richelson, Jeffery  
Job Title : -  
Created Date : 12/22/2008  
Default Category : Other Agency  
Organization : -  
Work Phone 1 : -  
Work Phone 2 : -  
Mobile : -  
Fax : -  
E-Mail : -

**Address:**

Address 1 : (b)(6)  
Address 2 : -  
City : (b)(6)  
State : (b)(6)  
Country : United States  
Zip Code : (b)(6)

**Billing Address:**

Address 1 : (b)(6)  
Address 2 : -  
City : (b)(6)  
State : (b)(6)  
Country : United States  
Zip Code : (b)(6)

**Shipping Address:**

Address 1 : (b)(6)  
Address 2 : -  
City : (b)(6)  
State : (b)(6)  
Country : United States  
Zip Code : (b)(6)

**Other Address:**

Name : -  
Organization : -  
Address 1 : -  
Address 2 : -  
City : -  
State : -

Country : United States  
 Zip Code : -  
 Phone : -  
 Fax : -  
 E-Mail : -

**Action History :**

Action	Comment	Action Taken By	Action Date/Time
Transfer Request	Case 'FOIA 07-282-P' transfer from 'OPA-SC' to 'NNSA' With comments: Fixing Action Offices.	Poe, Elizabeth	08/09/2011 02:45:08 PM
[SPECIALIST ACTION]:Note-New	NOTES FROM FRONT PAGE: 4/4/11 - Need to review classified docs that are in safe. 5/3/11 - Document contains (b)(2) information. Awaiting guidance before going forward.	Poe, Elizabeth	05/12/2011 08:15:43 AM
[SPECIALIST ACTION]:Note-New	6/22/09 - Rec'd email from Walt Chrobak, along with memo, they will be sending the classified docs in the mail for review. 7/6/09 - Rec'd Classified, put in 2nd safe, 4th drawer, notified Shirley by email 7/21/09 - To Givens for redacting 2/4/10 - Rec'd classified docs from Classification Office, Richard Givens, Carolyn put in the safe. 3/9/10 - REASSIGNED TO BEN. 4/13/10 Classified docs in 2nd safe, 2nd drawer -- kl and ch.	Jaramillo, Ben	04/04/2011 10:41:20 AM
[-]:Note-New	4/13/10 Classified docs in 2nd safe, 2nd drawer -- kl and ch.	Admin, Admin	04/13/2010 09:41:45 AM
[SPECIALIST ACTION]:Note-New	3/9/10 - REASSIGNED TO BEN.	Poe, Elizabeth	03/09/2010 03:12:51 PM
[SPECIALIST ACTION]:Note-New	2/4/10 - Rec'd classified docs from Classification Office, Richard Givens, Carolyn put in the safe.	Poe, Elizabeth	02/04/2010 02:44:52 PM
[-]:Note-New	7/21/09 - To Givens for redacting	Peterson, Shirley	07/21/2009 10:53:49 AM
[SPECIALIST ACTION]:Note-New	7/6/09 - Rec'd Classified, put in 2nd safe, 4th drawer, notified Shirley by email	Poe, Elizabeth	07/06/2009 11:40:16 AM
[SPECIALIST ACTION]:Note-New	6/22/09 - Rec'd email from Walt Chrobak, along with memo, they will be sending the classified docs in the mail for review.	Poe, Elizabeth	06/23/2009 07:56:07 AM
[SPECIALIST ACTION]:Note-New	6/22/09 - Rec'd a copy of memo from Office of Classification, as well as an email from Walt Chrobak, he will be sending the classified docs to our office; provided to Shirley.	Poe, Elizabeth	06/22/2009 12:14:54 PM
[]:Note-New	Chris Morris' notes in Weekly Status Report: NA-42 sent document o Classification on 2/22/07; Calssification referred the document to DOE and FBI on 11/29/07; DOD review completed; Classification and FOIA contacted FBI on 2/10 and 2/12/09: David Sabony (540-868-4286) wil provide status; pending with FBI	Peterson, Shirley	02/23/2009 06:50:59 AM
[]:Note-New	10/16/08 according to Joan, HQ DOE, the status is--one document referred to DOD and FBI on November 29, 2007 1/15/09 - email to Ken Stein asking him if his office had the documents for this request.	Peterson, Shirley	01/26/2009 11:55:22 AM
[]:Note-New	10/23/07 provided to Debbie for file folder.  10/16/08 according to Joan, HQ DOE, the status is--three documents referred to DOD and one to FBI on December 6, 2007  12/10/08 email from Joan saying in reference to FOIA 2005-000219----foia ACTION IS OPEN AT hs-93 AS TWO SEPARATE PACKAGES. Final coordinate	Peterson, Shirley	01/06/2009 06:14:48

	responses received for 20070001094, other-agency brackets transferred, indirect response to requester (through NA-42) was signed and in placed in the dispatch queue yesterday; action was dispatched to NA-42 this morning for ODO, etc. review. Response to the requester will be by NA. Completion of 20070001097 is still awaiting coordinate review responses from the FBI and DOD		AM
-		Poe, Elizabeth	03/09/2010 03:12:58 PM
HQ NNSA - NA-40		Peterson, Shirley	02/23/2009 06:43:03 AM
-		Admin, Admin	01/30/2009 09:32:43 AM
HQ NNSA - NA-10		Peterson, Shirley	01/26/2009 12:34:09 PM
HQ - NNSA		Peterson, Shirley	01/26/2009 12:24:59 PM
PENDING - CASE PENDING ACTION		Peterson, Shirley	01/26/2009 12:01:27 PM
Perfected		Peterson, Shirley	10/23/2007 12:00:00 AM
Assigned		Poe, Elizabeth	08/23/2012 02:34:27 PM
Assigned		Poe, Elizabeth	03/09/2010 03:13:45 PM
Assigned		Poe, Elizabeth	02/04/2010 02:45:13 PM
Assigned		Peterson, Shirley	09/24/2009 11:43:57 AM
Assigned		Peterson, Shirley	07/21/2009 10:53:25 AM
Assigned		Peterson, Shirley	02/23/2009 06:44:11 AM
Assigned		Peterson, Shirley	01/26/2009 12:33:26 PM
Assigned		Peterson, Shirley	01/26/2009 12:00:37 PM
Assigned		Poe, Elizabeth	12/22/2008 11:06:25 AM
Assigned		Poe, Elizabeth	12/22/2008 11:06:02 AM
Received		Poe, Elizabeth	12/22/2008 11:06:02 AM

#### Assign:

Assigned By	: Poe, Elizabeth	
Assigned Date	: 03/09/2010	
Assigned To	: <u>User/Group</u>	<u>Action Office</u>
	Jaramillo, Ben (Primary)	NNSA
	<b>Admin</b>	NNSA
	Poe, Elizabeth	NNSA
	<b>1-FOIA Specialist</b>	NNSA
Comments	: -	

#### Perfect:

Perfected By	: Peterson, Shirley
Original Perfected Date	: 10/23/2007
Last Perfected By	: Peterson, Shirley
Last Perfected Date	: 10/23/2007
Comments	: -

#### Link Requests :

Request #	Request Type	Requester Name	Primary User	Received Date	Target Date	Request Status
No link requests found						

**Extension :**

ID	Reason for Extension	Days	New Target Date	Approval/Denial Date	Completed Date	Extension Status	Extension Note	Approval/Denial Note	Completion Note
No Extensions found.									

**FOIA Documents Details ( in Request Folder ):**

File Cabinet Drawer	Folder Name	Disposition	Layer Name	No. of Pages	Date Added	Redaction Codes	Document Review Status
No folders have been added to this request.							

**FOIA Documents Details ( in Review Log ):**

File Cabinet Drawer	Folder Name	Disposition	Comments	No. of Pages	Date Added	Redaction Codes
No folders have been added to this request.						

**Page Details:**

# of pages attached to request folder	: 0
# of pages attached to request folder with partial redactions	: 0
# of pages attached to request folder with full redactions	: 0
# of pages attached to request folder without redactions	: 0
# of documents delivered	: 0
# of pages reviewed	: 0
# of pages delivered	: 0

**Partially Applied Redaction Code Details:**

Redaction Code	Description	No. of Pages Used
No Page with partial redactions was added to this request.		

**Fully Applied Redaction Code Details:**

Redaction Code	Description	No. of Pages Used
No Page with full redactions was added to this request		

**Manually - Partially Applied Redaction Code Details:**

Redaction Code	Description
No partially applied redaction code details found	

**Manually - Fully Applied Redaction Code Details:**

Redaction Code	Description
No fully applied redaction code details found	

**Manually Applied - Other Redaction Code Details:**

Redaction Code	Description
No other applied redaction code details found	

**Fee Details :**

**Payment Status** : No Charges  
**Last Invoice Amount** : \$ 0.00  
**Last Invoice Number** : -  
**Last Invoice Date** : -  
**Pre-Payment Amount** : \$ 0.00  
**Total Invoiced** : \$ 0.00  
**Cost Estimated** : \$ 0.00  
**Cost Not Charged by the Agency** : \$ 0.00  
**Total Amount Paid** : \$ 0.00  
**Balance Due** : \$ 0.00  
**Amount Requester Willing to Pay** : \$ 15.00  
**Fee Waiver Requested** : No  
**Fee Waiver Status** : -  
**Fee Waiver Start Date** : -  
**Fee Waiver End Date** : -  
**Willing to Pay All Fees** : No  
**Adjudicate Days (Fee Waiver)** : -

**Fee Details Description:**

Fee Items	Charge Type	Unit Rate (\$)	Quantity	Extended Value (\$)
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No Fee Details have been Found for this Request.

**Work Hours :**

Program Office	Created By	Rate	Hours	Total Cost	Comments
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No Work Hours details found.

**Transfer Details:**

Transfer To	Transfer By	Transfer Date	Comment
NNSA	Poe, Elizabeth	10/22/2007	Fixing Action Offices.

**Correspondence Log :**

Subject	User	Status	Mode	E-mail	Date
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No Correspondence have been created for this Request

**Consultation Review Log :**

Review ID	Location(s) Referred	Due date	Created Date	Imported Date	Disposition Accepted Date	Status
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No consultation review log records found

**Requests For Documents:**

ID	Location(s) Referred	Request Date	Due date	Status
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No Request For Documents log details found





U.S. Department of Justice

000011271

Federal Bureau of Investigation

Washington, D.C. 20535

May 19, 2009

To: Mike Kolbay  
"HS-93"  
U.S. Department of Energy  
19901 Germantown Road  
Germantown, MD 20874

From: David M. Hardy  
Record/Information Dissemination Section  
Records Management Division

Subject: FOI/PA Request of Jeffrey Richelson  
FBI FOI/PA # 1105273- 000 Re: F2005-0269 /Nest Exercised-Patriot Pledge Jan '97

This is in response to your letter dated **November 29, 2007** requesting the FBI to make a disclosure determination regarding the bracketed information contained in the attached **24 page** document(s).

After reviewing the information, we recommend that all of the FBI information be disclosed.

Please call **Debbie Lopez** of my staff at (540) 868-4175 if you have any questions.

Enclosure





Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



January 19, 2006

MEMORANDUM FOR RICHARD M. SPEIDEL  
DIRECTOR  
POLICY AND INTERNAL CONTROLS MANAGMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH:  CARL S. PAVETTO  
DEPUTY ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

FROM:  DEBORAH A. WILBER  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00269  
Lost Beacon July 1999, After-Action Report

Three documents responsive to this FOIA request were located. This memorandum addresses only one of those documents. "Eligible Receiver November 99", is still under review. A recommendation to release the "Vigilant Lion-99" After-Action Report has already been submitted to your office.

The attached document "Lost Beacon-99 After-Action Report" has been reviewed and found to contain Official Use Only information to be withheld under Exemption 2, Circumvention of Statute. That information has been bracketed on page eight of the report. Though dated, it is believed that the bracketed information reveals internal procedures that could provide benefit to those attempting to violate the law and avoid detection.

Although Lost Beacon-99 was a Department of Energy sponsored exercise, it also involved the Federal Bureau of Investigation (FBI), and the Portland, Oregon Police Bureau, and Portland Office of Emergency Management. It is therefore recommended that the report be forwarded to these agencies for their review prior to release. The FBI is specifically mentioned in observations and recommendations on pages eight and nine of the report.

If you should have any additional questions, please contact Walt Chrobak at (202) 586-7284.

Attachment





Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585  
January 19, 2006



MEMORANDUM FOR RICHARD M. SPEIDEL

DIRECTOR  
POLICY AND INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH:

*Carl S. Pavetto*  
CARL S. PAVETTO  
DEPUTY ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

FROM:

*Deborah A. Wilber*  
DEBORAH A. WILBER  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

SUBJECT:

Freedom of Information Request #F2005-0269, Vigilant Lion  
September 1999, After-Action Report

Three documents responsive to this FOIA request were located. This memorandum addresses only one of those documents. The other two, "Lost Beacon, July 1999" and "Eligible Receiver, November 1999" are still under review.

The attached document "Vigilant Lion-99 After-Action Report" has been reviewed and found to contain no information that would significantly risk circumvention of agency regulations or statutes. From an NA-42 perspective it is recommended that the Official Use Only markings be removed and that the document be released to the requestor in its entirety.

However, since exercise Vigilant Lion-99 was developed and sponsored by the Pennsylvania Emergency Management Agency (PEMA) it is recommended that the report be forwarded to PEMA for their review prior to release. Since the Federal Bureau of Investigation (FBI) also participated in the exercise, and is specifically mentioned in observation number four on page six of the report, it is recommended that the report also be forwarded to the FBI for their review prior to release.

If you should have any additional questions, please contact Walt Chrobak at (202) 586-7284.



~~SECRET~~

Department of Energy  
Washington, DC 20585

Becknell  
NASA SERV CTR

June 12, 2009

MEMORANDUM FOR JOSEPH J. KROL

DIRECTOR

ASSISTANT ADMINISTRATOR FOR EMERGENCY RESPONSE  
NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM:

KENNETH M. STEIN  
ACTING DIRECTOR  
OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT:

Response to Review and/or Coordinate Review Request (20070001099-JLB)

Reference request for document review (F2005-00269) dated February 10, 2007, and April 14, 2005, at attachment(s) 1-2, respectively.

This is a:

- XX Complete Response  
— Partial Response  
— Final Partial Response  
— Coordinate Review Request

Findings/Instructions:

XX We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 3 (see stamp(s)).

XX Special considerations apply to this request. We previously sent the document at attachment 3 to the Department of Defense (DOD) and the Federal Bureau of Investigation, requesting each review for information within its purview, including Official Use Only (OUO) and other sensitive unclassified information. Their responses are provided at attachments 4-5, respectively. Please note that we have annotated in red pencil the DOD information to be withheld.

— Note that we upgraded/downgraded the classification level of some material to Top Secret/Secret and/or Confidential.

Document(s)/Material Transmitted Herewith Contain(s)

National Security Information



~~SECRET~~

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When separated from attachment(s), handle this document as

UNCLASSIFIED

- XX Note that we changed the category of some material to **National Security Information**.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 3 prior to release.
- XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory (Executive Order 12958, as amended) exemptions.
- \_\_\_ We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- \_\_\_ The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- \_\_\_ We declassified the document(s) at attachment(s) \_\_\_.
- \_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- XX We defer to your office regarding the determination of OUO information.
- \_\_\_ We request that you review the document(s) at attachment(s) \_\_\_ for information within your purview, including OUO and other sensitive Unclassified information.
- \_\_\_ We request you reply to us.
- XX We request that you forward the result(s) of the review(s) to Carolyn A. Becknell, National Nuclear Security Administration (NNSA) Service Center, for the NNSA Service Center's response to the requester, Mr. Jeffrey T. Richelson.
- XX Details and appeal procedures for the requester are at attachment 6.
- XX OpenNet Requirements are at attachment 7.
- XX The denial letter to the requester must be coordinated with the Office of the General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)

(See listing next page)

cc w/o attachment(s): Walter J. Chrobak, NA-42  
Alexander C. "Chris" Morris, MA-90  
Carolyn A. Becknell, NNSA Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09403006099**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00003619	04/14/2005	U
Document Type: Report DOE 01-00 - EXERCISE - ELIGIBLE RECEIVER 2000 AFTER-ACTION REPORT W/INCLUSIVE TAB A - DOE ASSET CAPABILITIES, TAB B - REFERENCE BOOK, & APPENDIX (4 SUBDOCUMENTS) Author: DOE	D00007081	03/20/2000	S NSI
Document Type: Letter COORDINATE REVIEW RESPONSE FROM WILL KAMMER, DOD-FOIA (RECEIVED 12/03/2008) Author: WILLIAM KAMMER Originating Agency: DOD-FOIA	C00009341	11/26/2008	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (RECEIVED 05/26/2009) Author: DAVID HARDY Originating Agency: FBI	C00011271	05/19/2009	U
Document Type: Letter ATTACHMENT 6 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-40 - FOIA INFORMATION FOR REQUESTER	C00011325	06/12/2009	U
Document Type: Letter ATTACHMENT 7 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-40 - OPENNET REQUIREMENTS	C00011326	06/12/2009	U

Ben  
FOIA 07-282PDOE F 5635.3  
(07-90)  
(Formerly DP-126)  
All Other Editions are  
obsoleteU. S. DEPARTMENT OF ENERGY  
CLASSIFIED DOCUMENT RECEIPT

No. 2009000859

Rec'd 2/4/2010


<b>TO</b>  CAROLYN BECKNELL NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER P.O. BOX 5400 ALBUQUERQUE, NM 87185-5400	<b>POSTAL NUMBER</b> RE 445 471 181 US
	<b>DATE MAILED</b> 6/25/09
	<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address 2. Describe document by subject, or title and originator. List secret and accountable Confidential enclosures. Indicate type of document e.g., Ltr., Rpt., or Dwg. 3. Show Classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.
<b>FROM</b>  JOSEPH KROL NA-40 / FORRESTAL BUILDING U.S. DEPARTMENT OF ENERGY 1000 INDEPENDENCE AVENUE, SW WASHINGTON, DC 20585 FAX BACK NUMBER: (202) 586-1567	

DESCRIPTION OF DOCUMENT (Subject or title and Originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not R/D, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
EXERCISE ELIGIBLE RECEIVER 2000 AFTER-ACTION REPORT (U)	NNSA.T.000019749	3/20/00	S/RD		24
Please sign and fax	NOTHING FOLLOWING this receipt back to the number	THIS LINE provided above	as soon as possible		

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or name of addressee and signature of recipient \_\_\_\_\_ Date \_\_\_\_\_

Received for addressee by

  
(to be used only by mail rooms)

Date 7/2/2009



00003618



~~SECRET/RESTRICTED DATA~~

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



FEB 10 2007

MEMORANDUM FOR JAMES A. WENDT

DIRECTOR  
OFFICE OF DOCUMENT REVIEWS

THROUGH:

DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *W. Chrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT:

Freedom of Information Request #F2005-00269

I have attached a copy of a FOIA request from Mr. Jeffrey T. Richelson, dated April 14, 2005. Only one of the documents requested has been located in our files. The document, Eligible Receiver is classified. Two copies of each document are attached for classification review.

Please review the attached documents and return them to this office with classified information bracketed and annotated with the correct exemption category and include an "Information for Requestor" attachment which provides reasons for withholding information along with the process for appealing the decision to withhold information.

This document review should be coordinated with the Department of Defense and the Federal Bureau of Investigation.

For your information Focal Point special handling markings have been removed from the document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office

Attachments

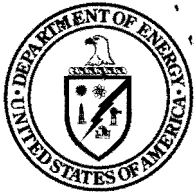
This memo is unclassified without the attachments

~~SECRET/RESTRICTED DATA~~



Printed with soy ink on recycled paper

20070001099



~~SECRET~~

Department of Energy

Washington, DC 20585

June 12, 2009

MEMORANDUM FOR JOSEPH J. KROL

DIRECTOR

ASSISTANT ADMINISTRATOR FOR EMERGENCY RESPONSE  
NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM:

KENNETH M. STEIN  
ACTING DIRECTOR

OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT:

Response to Review and/or Coordinate Review Request (20070001099-JLB)

Reference request for document review (F2005-00269) dated February 10, 2007, and April 14, 2005, at attachment(s) 1-2, respectively.

This is a:

- XX Complete Response  
\_\_\_ Partial Response  
\_\_\_ Final Partial Response  
\_\_\_ Coordinate Review Request

Findings/Instructions:

XX We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 3 (see stamp(s)).

XX **Special considerations apply to this request. We previously sent the document at attachment 3 to the Department of Defense (DOD) and the Federal Bureau of Investigation, requesting each review for information within its purview, including Official Use Only (OUO) and other sensitive unclassified information. Their responses are provided at attachments 4-5, respectively. Please note that we have annotated in red pencil the DOD information to be withheld.**

\_\_\_ Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential.**



~~SECRET~~

Printed with soy ink on recycled paper

- XX Note that we changed the category of some material to **National Security Information**.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- XX We bracketed in black the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) 3 prior to release.
- XX We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory (Executive Order 12958, as amended) exemptions.
- \_\_\_ We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- \_\_\_ The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- \_\_\_ We declassified the document(s) at attachment(s) \_\_\_\_.
- \_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- XX We defer to your office regarding the determination of OUO information.
- \_\_\_ We request that you review the document(s) at attachment(s) \_\_\_ for information within your purview, including OUO and other sensitive Unclassified information.
- \_\_\_ We request you reply to us.
- XX We request that you forward the result(s) of the review(s) to Carolyn A. Becknell, National Nuclear Security Administration (NNSA) Service Center, for the NNSA Service Center's response to the requester, Mr. Jeffrey T. Richelson.
- XX Details and appeal procedures for the requester are at attachment 6.
- XX OpenNet Requirements are at attachment 7.
- XX The denial letter to the requester must be coordinated with the Office of the General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)

(See listing next page)

cc w/o attachment(s): Walter J. Chrobak, NA-42  
Alexander C. "Chris" Morris, MA-90  
Carolyn A. Becknell, NNSA Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR09403006099**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter REQUESTERS REQUEST Author: JEFFREY RICHELSON Originating Agency: INDIVIDUAL & COMPANY	C00003619	04/14/2005	U ✓
Document Type: Report DOE 01-00 - EXERCISE - ELIGIBLE RECEIVER 2000 AFTER-ACTION REPORT W/INCLUSIVE TAB A - DOE ASSET CAPABILITIES, TAB B - REFERENCE BOOK, & APPENDIX (4 SUBDOCUMENTS) Author: DOE	D00007081	03/20/2000	S NSI ✓
Document Type: Letter COORDINATE REVIEW RESPONSE FROM WILL KAMMER, DOD-FOIA (RECEIVED 12/03/2008) Author: WILLIAM KAMMER Originating Agency: DOD-FOIA	C00009341	11/26/2008	U ✓
Document Type: Letter COORDINATE REVIEW RESPONSE FROM DAVID M. HARDY, FBI (RECEIVED 05/26/2009) Author: DAVID HARDY Originating Agency: FBI	C00011271	05/19/2009	U ✓
Document Type: Letter ATTACHMENT 6 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-40 - FOIA INFORMATION FOR REQUESTER	C00011325	06/12/2009	U
Document Type: Letter ATTACHMENT 7 TO INDIRECT COMPLETE RESPONSE TO JOSEPH J. KROL, NA-40 - OPENNET REQUIREMENTS	C00011326	06/12/2009	U

**OpenNet Requirements**

All documents declassified and publicly releasable on or after October 1, 1994, must be announced on the Department of Energy (DOE) OpenNet database. Electronic format is the preferred method for submitting declassified documents to OpenNet. Please provide an electronic copy of the document via email to [opennet@osti.gov](mailto:opennet@osti.gov). In the transmittal of the document, you may provide an electronic file (PDF) copy of the document or you may provide the URL or location for your site-maintained electronic copy of the document. Also, please indicate the Declassification Status (declassified or sanitized) and Declassification Date of the document.

Please contact Mr. Barry Steele at (865) 576-9372 on questions concerning submission of declassified documents to OpenNet. Additional information regarding OpenNet may be obtained from Mr. Richard J. Lyons at (301) 903-6936.



~~SECRET~~

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



June 23, 2009

MEMORANDUM FOR CAROLYN BECKNELL  
INFORMATION PROGRAMS SPECIALIST  
NATIONAL NUCLEAR SECURITY ADMINISTRATION  
ALBUQUERQUE SERVICE CENTER

THROUGH: DEBORAH A. WILBER *Deborah Wilber for DAW*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM: WALTER CHROBAK *Walter Chrobak*  
EMERGENCY RESPONSE SPECIALIST  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2005-00269

The attached document (Eligible Receiver) has been reviewed by the Department of Energy, Department of Defense and the Federal Bureau of Investigation, and information to be withheld has been bracketed. The Office of Emergency Response has not identified any Official Use Only in the document that needs to be withheld.

Once the document is redacted and provided to the requestor, Mr. Jeffrey T. Richelson, this Freedom of Information Request (FOIA) action is completed. The other two documents request (Lost Beacon and Vigilant Lion) were both unclassified and were provided to the National Nuclear Security Administration, FOIA Office for delivery to Mr. Richelson on January 11, 2006.

If you have any questions on this action, please call me at 202-287-2345.

Attachment

Document(s)/Material Transmitted Herewith Contain(s)  
**National Security Information**

When separated from attachment(s),  
handle this document as  
**UNCLASSIFIED**

~~SECRET~~





~~SECRET~~

DEPARTMENT OF DEFENSE  
OFFICE OF FREEDOM OF INFORMATION  
1155 DEFENSE PENTAGON  
WASHINGTON, DC 20301-1155

26 NOV 2008

Ref: 08-F-0382  
20070001099-JLB

MEMORANDUM FOR U.S. DEPARTMENT OF ENERGY  
ATTN: JIM WENDT SP-53  
P.O. BOX A  
GERMANTOWN, MD 20875-0963

SUBJECT: Freedom of Information Act (FOIA) Consultation – Mr. Jeffrey T. Richelson

This is in response to your November 29, 2007, referral to this Office (copy attached) requesting that this Office review one document responsive to the FOIA request of Mr. Richelson (document attached). The documents were reviewed by the Office of the Secretary of Defense and the Joint Staff (OSD/JS). We concur with the Department of Energy recommended withholdings for these documents. It is also suggested that the National Security Council review the document.

Commander Mark S. Patrick, USN, Chief, Information Management Division, Joint Staff; Mr. Danny S. Wilmoth, Management and Program Analyst, Nuclear, Chemical, and Biological Defense Programs; and Mr. David Stein, Director, Strike Policy & Integration have determined that the additional information indicated by gray shading is also exempt from release because it is currently and properly classified in accordance with Executive Order 12958 as amended, in accordance with Sections 1.4(a), (e), (f), (g), and (h). Additionally some of the information in the documents is being denied pursuant to 5 USC § 552(b)(2) (High). Accordingly, this information is denied pursuant to 5 USC § 552 (b)(1) and (b)(2)(High).

Should the requestor appeal our denials, the appeal must be submitted to our Office within 60 days of the date of the final response letter. Our point of contact for this action is Mr. Charles Marye: (703) 696-4695; [chris.marye.ctr@whs.mil](mailto:chris.marye.ctr@whs.mil).

*Paul J. Jacobsmeier*  
for Will Kammer  
Chief

Attachments:  
As stated

~~SECRET~~

20070001099





U.S. Department of Justice

000011271

Federal Bureau of Investigation

Washington, D.C. 20535

May 19, 2009

To: Mike Kolbay  
"HS-93"  
U.S. Department of Energy  
19901 Germantown Road  
Germantown, MD 20874

From: David M. Hardy  
Record/Information Dissemination Section  
Records Management Division

Subject: FOI/PA Request of Jeffrey Richelson  
FBI FOI/PA # 1105273- 000 Re: F2005-0269 /Nest Exercised-Patriot Pledge Jan '97

This is in response to your letter dated **November 29, 2007** requesting the FBI to make a disclosure determination regarding the bracketed information contained in the attached **24 page** document(s).

disclosed. After reviewing the information, we recommend that all of the FBI information be

Please call **Debbie Lopez** of my staff at **(540) 868-4175** if you have any questions.

Enclosure

## Jaramillo, Ben

---

**From:** Powers, Kristin [Kristin.Powers@nnsa.doe.gov]  
**Sent:** Wednesday, December 22, 2010 8:47 AM  
**To:** Jaramillo, Ben  
**Cc:** Boudi, Marcella I (HQ)  
**Subject:** FOIA #07-282-P Documents and Info  
**Attachments:** HQ-#240834-v1-Incoming\_#FOIA\_2005-00269\_dtd\_04\_20\_05.pdf; HQ-#246927-v1-NA-42\_Concurrence\_Page\_(2006-00658).pdf; HQ-#305175-v1-Signed\_Memo\_from\_Chorbak\_thru\_Wilber\_&\_Pavetto\_for\_Richard\_M\_Speidel\_dtd\_02\_09\_07.pdf; HQ-#242314-v1-Attachment\_(Vigilant\_Lion\_9-99).pdf; HQ-#246925-v1-Signed\_(Vigilant\_Lion)\_Memo\_from\_Deborah\_Wilber\_thru\_Carl\_Pavetto\_for\_Richard\_Speidel\_dtd\_1\_19\_06.pdf; HQ-#242100-v1-FOIA\_2005-0269\_(Lost\_Beacon\_NOTE).pdf; HQ-#242113-v1-Attachment\_(Lost\_Beacon-99\_After-Action\_Report).pdf; HQ-#242308-v1-Signed\_(Lost\_Beacon)\_Memo\_from\_Deborah\_Wilber\_thru\_Carl\_S\_Pavetto\_from\_Richard\_M\_Speidel\_dtd\_1\_19\_06.pdf; HQ-#302249-v2-FOIA\_Rquest\_#F2006-00497\_Jeffrey\_Richelison.DOC; HQ-#302788-v1-Ref\_F2005-00269\_(Jeffrey\_Richelison).DOC; HQ-#315209-v1-F2006-00497.pdf; HQ-#339910-v1-FOIA\_Update\_on\_Overdue\_Requests.pdf

Ben:

Marcy put me on the FOIA Request #07-282-P case. I hunted through IDRMS to find any relevant documents, and this is what I came up with.

First, the original request number was #2005-00269. In many of the documents, it is paired with an earlier request from the same guy, #2005-00219. They aren't a request for the same thing, but they were for similar information, so a lot of the documents and record searching seems to have dovetailed.

For the sake of keeping all this information straight, I'm going to refer to this case as 07-282-P. Marcy created an IDRMS folder, #2010-02910, and I will put all the documents I have in this folder.

07-282-P is a request for 3 documents: Lost Beacon (LB), Vigilant Lion (VL), and Eligible Receiver(ER). LB and VL were OUO and I was able to find copies of them on IDRMS.

VL is attached. The memo released with VL said that in the opinion of NA-40, the whole document could be released, but they recommended removing the "OUO" markings. The attached version appears to be the original, however, and still has all those markings. Furthermore, NA-40 recommended that PEMA and the FBI take a look at the documents before releasing them. I found no information about whether or not those two agencies were contacted.

LB is also attached. The LB document also appears to be a copy of the original. Several areas are marked for redactions, but a clean copy of the document with those areas removed was not locatable on IDRMS. The LB memo says that other than the noted redaction, the rest of the document was releasable by NA-40 standards. NA-40 again recommended that PEMA and the FBI look at the document, but there was no information about those agencies being contacted.

I could not find a copy of ER. It was listed as "Secret Restricted Data" and required a more thorough review. I could find no information about that review happening or the results of the review if it took place. Information about that is in the "LOST BEACON NOTE" document.

I've included several other documents that refer to this request and might help track down more information. However, as this is an NA-40 FOIA, I recommend giving them a call to see if they have a hard copy of the file or more details about the case.

Thanks! Please feel free to call me if you have any questions.

Kristin Powers  
Rolling Bay LLC,  
Contractor to the NNSA  
(202) 287-5765



**Department of Energy**  
Washington, DC 20585

**JAN 31 2007**

Jeffrey T. Richelson

(b)(6)

Ref: 2006-00497 (Jeffrey Richelson)

Dear Mr. Richelson

On August 4, 2006 NNSA received a FOIA request from you. In your request you were seeking "Any 1997-2001 Emergency Deployment Readiness Evaluations of Nuclear Emergency Search Team"

The following responsive documents have been located per your request:

- Eligible Receiver 2000 AAR; March 20, 2000
- JRX 2-98 Bright Victory AAR; March 17-31, 1998
- Gauged Strength AAR; June 18-26, 1998
- Bold Endeavor AAR; September 2, 1997
- Errant Foe AAR; December 3, 1998
- Exercise 3-99 CPX AAR; June 11, 1999
- Package Satyr; August 4-7, 1998

Mr. Richelson had previously requested that Eligible Receiver be released as part of FOIA #F2005-00269 and that Bright Victory, Gauged Strength, and Bold Endeavor be released as part of FOIA F2005-00219. These four documents are currently undergoing classification review by the Office of Document Reviews (HS-93). As a result of this prior request no further action will be taken to release these four documents.

Both Errant Foe and Exercise 3-99 CPX are both classified Secret and have been sent to the Office of Document Reviews for classification review. Once the classification reviews are complete, the appropriately redacted documents will be returned to NA-42 for release to the requestor. They will then be forward to your office.



Package Satyr is unclassified and we providing you a copy of that document.

NNSA hopes that this satisfies your FOIA request. If you have any questions regarding this request, please feel free to contact Richard Speidel on (202) 586-5009.

A handwritten signature in black ink, appearing to read "Rm Speidel", with a stylized flourish at the end.

Richard M. Speidel, Director  
Policy & Internal Controls Management  
Freedom of Information/Privacy Act Officer

cc: Abel Lopez, MA-74

## JEFFREY T. RICHELSON

(b)(6)

August 4, 2006

Abel Lopez  
Freedom of Information and Privacy Group  
Office of the Executive Secretariat  
US Department of Energy  
1000 Independence Avenue S.W., HR-73  
Washington, D.C. 20585

Dear Mr. Lopez:

This is a request under the Freedom of Information Act as amended (5 U.S.C. 522).  
I request copies of:

Any 1997-2001 Emergency Deployment Readiness Evaluations of the Nuclear  
Emergency Search Team.

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I therefore request that I be provided with all nonexempt portions which are reasonably segregable. I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification.

To permit an intelligent, informed decision as to whether or not to file an administrative appeal of any denial decision, I also request that you describe in detail the withheld records (or portions thereof) and explain the logical and factual basis for exemption claims. See Mead Data v. Department of the Air Force 566 F.2d 242, 251 (D.C. Cir. 1977).

I also request a fee waiver. The fee waiver provisions under section 552 (a) (4) (A) of the FOIA, as amended on April 25, 1987, rewrote section 552 (a) (4) (A) to create categories of requesters entitled to fee waivers. Under those provisions, I qualify for exemption from fees in the "news media" category. The U.S. Court of Appeals for the D.C. circuit has unanimously held that for FOIA fee waiver purposes "representatives of the news media" includes any individuals who regularly publish or disseminate information to the public. (See National Security Archive v. U.S. Department of Defense, No. 88-5217, D.C. Circuit, July 28, 1989, as well as Electronic Privacy Information Center v. U.S. Department of Defense No. 02-1233, United States District Court for the District of Columbia, January 16, 2003).

I easily meet this criteria as a result of my books and articles, which have appeared in a wide variety of publications. (A vita is available upon request). My books include *Spying of the Bomb: American Nuclear Intelligence from Nazi Germany to Iran and North Korea* (WW Norton, 2006); *The Wizards of Langley: Inside the CIA's Directorate of Science and Technology* (Westview, 2001); *America's Space Sentinels: DSP Satellites and National Security* (University Press of Kansas, 1999); *The U.S. Intelligence Community* (Westview, 4th ed. 1999); *A Century of Spies: Intelligence in the Twentieth Century* (Oxford, 1995); and *America's Secret Eyes in Space* (Harper & Row, 1990).

F2006-00497

CR

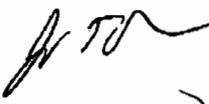
AUG 04 2006  
OFFICE OF THE EXECUTIVE SECRETARIAT  
U.S. DEPARTMENT OF ENERGY

My articles and commentaries have appeared in *Scientific American*, *Air Force Magazine*, *Bulletin of the Atomic Scientists*, *Jane's Intelligence Review*, *International Journal of Intelligence and Counterintelligence*, *ISR Journal*, *Armed Forces Journal International*, *Defense Week*, *The National Interest*, *the Washington Post*, *the Los Angeles Times*, *the Boston Globe*, and *Newsday*.

My intended use of the material requested above, in light of my extensive publication record, clearly meets the criteria established by statute and case law for preferred fee status under the FOIA as a representative of the news media. As such a representative I am entitled to have search and review fees waived. And given the contribution of my work to enhancing public understanding of the government operations I request that you also waive reproduction fees.

I look forward to your response within the statutory time period. If you have any questions about this request or any other matters, please contact me at (b)(6) (phone), (b)(6) (fax), or (b)(6)

Sincerely,

  
(Jeffrey T. Richelson)

**WITH REGARD TO THE LAST PARAGRAPH OF PAGE 1: I REQUEST ONLY THE WAIVERS ASSOCIATED WITH NEWS MEDIA STATUS AND NOT A WAIVER OF PHOTOCOPYING COSTS.**



**Department of Energy**  
Washington, DC 20585

April 15, 2005

Mr. Jeffrey Richelson

(b)(6)

Re: F2005-00269

Dear Mr. Richelson:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for after action reports for the following Nuclear Emergency Search Team (NEST) exercises:

Lost Beacon, July 1999  
Vigilant Lion, September 1999; and  
Eligible Receiver, November 1999.

The request has been assigned to the National Nuclear Security Administration (NNSA) to conduct a search of its files for responsive documents. Upon completion of the search and the review of any documents located, you will be provided a response.

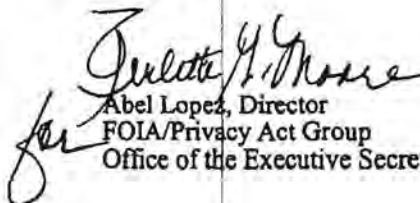
In correspondence transmitted with your letter, you agreed to pay up to \$50.00 for duplication fees associated with the processing of the request. You also asked to be notified if fees will exceed that amount.

We have reviewed the information that you submitted and determined that you have satisfied the criteria to be a "news media" requester for purposes of assessment of fees under the DOE regulation at Title 10, Code of Federal Regulations, Section 1004.9(b)(3). In this category, you will be provided the first 100 pages at no cost and charged only for duplication costs incurred. The NNSA will notify you if fees are expected to exceed the amount you have stipulated.

The above referenced number has been assigned to the request and you should refer to it in correspondence with the DOE about this matter. If you have questions about the processing of the request, you may contact Mr. Richard Speidel in the NNSA on (202) 586-5009.

I appreciate the opportunity to assist you.

Sincerely,

  
Abel Lopez, Director  
FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper.

CONCURRENCE

RTG. SYMBOL  
NA-42  
W. CHROBAK

INITIALS/SIG.  
Originator

DATE 1-12-06

RTG. SYMBOL  
NA-42  
COL EICHLER

INITIALS/SIG.  
17 JAN 06

DATE

RTG. SYMBOL  
NA-42  
D. FREMONT

INITIALS/SIG.  
1/17/06

DATE

RTG. SYMBOL  
NA-42  
D. WILBER

INITIALS/SIG.  
1/19/06

DATE

RTG. SYMBOL  
NA-40  
R. DUFFMAN

INITIALS/SIG.  
NA-40  
C. PAVETTO

DATE

RTG. SYMBOL

INITIALS/SIG.

DATE

RTG. SYMBOL

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DATE

RTG. SYMBOL

INITIALS/SIG.

DATE

Lost Begcom memo  
and  
Vigilant Lion memo

at  
1/17/06



---

## memorandum

**Date:** April 15, 2005

**Reply to:** ME-74

**Subject:** Freedom of Information Act Request F2005-00269 from Jeffrey Richelson

**To:** Dick Speidel, Director, Office of Business Operations, NA-66

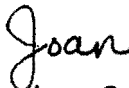
The attached Freedom of Information Act (FOIA) request is being sent to you for action as responsive records appear to be within your organization. If your organization does not have jurisdiction over the responsive records, please inform me to whom you are forwarding the request.

**PLEASE ENSURE THAT THE DOCUMENTS YOU LOCATE ARE SPECIFICALLY RESPONSIVE TO THIS REQUEST, PARTICULARLY IF THEY ARE CLASSIFIED.**

If other divisions, offices or field organizations also have relevant records, you are responsible for requesting their participation and for coordinating the response. It is important that an appropriate response be forwarded to the requester within 20 working days as failure to respond can be deemed a denial.

The attached "Reminder of Procedures for Handling FOIA Requests" should assist your staff. For your information, I also have attached a copy of correspondence to the requester from this office. If you have any questions, please contact me at (202) 586-3595.

Thank you for your cooperation and assistance.



Joan Ogbazghi  
Information Access Specialist  
FOIA and Privacy Act Group

Attachments

**FAX TRANSMITTAL**

---

**Date:** April 14, 2005

**To:** Abel Lopez

**From:** Jeffrey Richelson

(b)(6)

**Re:** FOIA Request

**Add Pages:** 2

**MESSAGE:**

Disregard fee waiver request.

Will pay up to \$50 in duplication costs. If fees are projected to be more please contact.

  
Jeffrey T. Richelson

FOIA 9 JAN 06

Mr. Richelson initially requested eight documents. A search of our files was only able to find the three listed.

- The after-action report for **Lost Beacon July 1999** is marked OUO, however, does not indicate an exemption category. This was a DOE sponsored exercise which involved the FBI field office in Portland, Oregon; the Portland Police Bureau; and Portland Bureau of Fire, Rescue and Emergency Services.

CG-RER-1, the classification guide used by NA-40, contained no OUO topics. I do not believe the after-action report contains any information that should be protected and recommend that it be released in entirety pending concurrence by the FBI and Portland Police and Fire and Rescue.

- The after-action report for **Vigilant Lion September 1999** is marked OUO, however, does not indicate an exemption category. This was a Weapon of Mass Destruction (WMD) terrorist exercise developed by the Pennsylvania Emergency Management Agency (PEMA) which requested that DOE RAP participate. The FBI and FEMA also participated in the exercise.

CG-RER-1, the classification guide used by NA-40, contained no OUO topics. I do not believe the after-action report contains any information that should be protected and recommend that it be released in entirety pending concurrence by PEMA and the FBI.

- The after-action report for **Eligible Receiver November 1999** is classified as Secret Restricted Data (SRD). It is also a SPECAT document and the Office of Classified and Controlled Information review does not currently have anyone read into the SPECAT program. Until this is accomplished the document cannot be forwarded to that office. This was a DoD sponsored exercise. Other agencies that participated include the NSC, FBI, FEMA and EPA.

I have asked Bob Jarrell, NA-42, to read three members of what was SO 10.2 into the program so review can be accomplished. The after-action report will then have to be sent to the other federal agencies mentioned for their classification review.

## **August 23, 2007 Report on the ten Oldest Pending NNSA FOIA Cases**

This report is a status of the ten **(10)** oldest Pending NNSA FOIA cases that have been sent to other agencies for response or to the Office of Declassification.

The DOE Freedom of Information Office is quite familiar with the process of FOIA actions once received in the Office of Declassification and other Federal Agencies.

It is important to remember that once FOIA requests are sent to either another agency **i.e.** Department of State, Department of Defense or DOD Office of Declassification. It is as if the request has fallen into a black hole. The only information that is obtainable after a case has been transferred to the Office of Declassification is **"they have it"**. Due to the back log of cases in that office of Declass the officers have no way of informing you as to how long it will take them to process any request. With regards to another agency the general consensus from most FOIA officers is that the case will quite possibly never be seen again.

It is impossible to find out who the cases have been assigned to and if you're fortunate enough to obtain a contact name and/number your calls or never returned or there is no record of that case ever being received. It is also imperative that Program Managers and there staff are aware of how important it is for them to respond to each FOIA request that fall under there purview in a timely manner.

Attached are two separate lists of overdue FOIA requests. The first is a list of the ten oldest overdue FOIA requests. These requests range in excess of 10 to 16 years past due. The status of nine of these requests remain unchanged with the exception of one which has been closed and is listed below:

**96-100**

**Requester: Mark Stevens**

**Date of Request: April 26, 1996**

**Processing Office: NNSA Service Center**

**FOIA Officer: Carolyn Becknell**

**Completed: 08/15/07**

The second list is an update of requested from FOIA Office Dated 8/13/08.

Note attachment

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Attached are two separate lists of overdue FOIA requests. The first is a list of the ten oldest overdue FOIA requests. These requests range in excess of 10 to 16 years past due. The status of nine of these requests remain unchanged with the exception of one which has been closed and is listed below:

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**Processing Office: NNSA Service Center**

**FOIA Officer: Carolyn Becknell**

**Completed: 08/15/07**

The second list is an update of requested from FOIA Office Dated 8/13/08.

Note attachment

08/01/07

Jim Solit

**Ten Oldest Case Open or Pending at NNSA  
or Pending Coordination at Other Agencies**

1. **91-337-C**

Requester: Damian Durrant  
Date of Request: August 1, 1991  
Where Office Processing: NNSA Service Center  
Estimated Completion Date: **With Other Agency**  
Status: In coordination review with DOD

who?

2. **1994-0K-61/03-084-C**

Requester: John Fleck  
Date of Request: November 16, 1993  
Where Office Processing: NNSA Service Center  
Estimated Completion Date: **60 Days**  
Status: In coordination review with the Office of Intelligence and Counterintelligence

3. **95-045-C**

Requester: Robert W. Dawson  
Date of Request: February 8, 1995  
Where Office Processing: NNSA Service Center  
Estimated Completion Date:  
Status: In coordination review with NNSA Headquarters

R.R.  
D.W.  
3/10/07

Where?  
do this right

4. **95-340-C**

Requester: Allan F. Beck  
Date of Request: December 19, 2007  
Where Office Processing: NNSA Service Center  
Estimated Completion Date: **With Other Agency**  
Status: In coordination review with Air Force

5. **96-100**

Requester: Mark A. Stevens  
Date of Request: April 26, 1996  
Where Office Processing: NNSA Service Center  
Estimated Completion Date:  
**6/4/07 Reviewed completed by Declassification and returned to  
NNSA Serv. Ctr.**  
Status:

08/01/07

6. 1996-OK-03/03-085-A

Requester: Tom Clements

Date of Request: January 15, 1996

Where Office Processing: NNSA Service Center

Estimated Completion Date: 60 Days

**06/05/07 Reviewed completed by Declassification sent to IN for review and further processing.**

Status: In coordination review with IN

7. 1996-OK-09/03-086-C

Requester: Tom Clements

Date of Request: January 12, 1996

Where Office Processing: NNSA Service Center

Estimated Completion Date: 60 Days

**06/05/07 Reviewed completed by Declassification sent to IN for review and further processing.**

Status: In coordination review with IN

8. 1996-OK-10/03-087-A

Requester: Tom Clements

Date of Request: January 12, 1996

Where Office Processing: NNSA Service Center

Estimated Completion Date: 60 Days

**06/05/07 Reviewed completed by Declassification sent to IN for review and further processing.**

Status: In coordination review with IN

9. 1996-OK-11/03-088-C

Requester: Tom Clements

Date of Request: January 12, 1996

Where Office Processing: NNSA Service Center

Estimated Completion Date: 60 Days

**06/05/07 Reviewed completed by Declassification sent to IN for review and further processing.**

Status: In coordination review with IN

10. 97-247

Requester: Jeffrey Moag

Date of Request: May 1, 1997

Where Office Processing: NNSA Service Center

Estimated Completion Date:

**06/26/07 Reviewed completed by Declassification sent to NNSA Serv Ctr.**

Status:



## Document Online Coordination System - Search Output Report

Library name: FOIALib

1 FOIA request from Jeffery T. Richelson

Control Number: F2002-00406

Subject: After-action reports for the following NEST exercises: Jagged Wind(1996); Package Satyr(1998); Errant For(1008); Jackal Cave(2001)

Action Office: NA

Analyst: Moore, Verlette

*Request closed 7/11/07. Copy forwarded to FOIA 7/11/07*

2 FOIA request from Hans Kristensen, NRDC

Control Number: F2003-00568

Subject: April 2002 Nuclear Weapons Acquisition Reports for the W76, W80 and W87 Life extension programs

Action Office: NA

Analyst: Wolf, Carol

*Forward to Paul Krump no response since 5/21/07*

3 FOIA request from David E. Hoffman/The Washington Post

Control Number: F2005-00033

Subject: Records about the origin of the Materials Protection Control & Accounting program

Action Office: NA

Analyst: Wolf, Carol

*Request closed 8/10/07. Copy sent to FOIA 8/10/07*

4 FOIA request from Jeffrey T. Richelson

Control Number: F2005-00219

Subject: Action Reports for the following NEST exercises: Patriot Pledge, January 1997; Patriot Finance, June 1997; Ellipse Foxtrot, June 1997; Bright Victory, March 1998; and Gauged Strength, June 1998

Action Office: NA

Analyst: Ogbazghi, Joan

*Remains in Declass*

5 FOIA request from Jeffrey T. Richelson

Control Number: F2005-00269

Subject: After-action reports for NEST exercises: Lost Beacon, July 1999; Vigilant Lion, September 1999; and Eligible Reciever, November 1999

Action Office: NA

Analyst: Ogbazghi, Joan

*Remain in Declass*

6 FOIA request from John Fleck, Albuquerque Journal

Control Number: F2005-00579

Subject: Documents about the Reliable Replacement Warhead Project Officers Group

Action Office: NA

Analyst: Jeter, Sheila

*Per J. Greening case referred to DAD for responsible documents*

7 FOIA request from Richard Stone; Science Magazine

Control Number: F2005-00681

Subject: Information about DOE's role in engaging former weapons scientists in Libya

Action Office: NA

Analyst: Washington, Brenda

*Forward to NA-20 11/28/05 no response to date*

8 FOIA request from Vincent F. Tonc; Kiewit Federal Group Inc.

Control Number: F2005-00774

Subject: Ten Year Site Plan

*Remains in Declass*

## Document Online Coordination System - Search Output Report

Library name: FOIALib

Action Office: NA  
Analyst: Ogbazghi, Joan

### 9 FOIA request from Jeffrey T. Richelson

Control Number: F2006-00002

Subject: Valid memoranda of agreement or understanding between the Nuclear Emergency Search/Support Team and the Central Intelligence Agency and the National Security Agency

Action Office: NA

Analyst: Washington, Brenda

*Referred to NA-40 2/06  
No response to date*

### 10 FOIA request from Hans M. Kristensen; Federation of American Scientists

Control Number: F2006-00028

Subject: State Department, DOD and DOE report submitted to Congress in 2004 on the possible impact of nuclear weapons research on US nonproliferation objectives

Action Office: NA

Analyst: Morris, Alexander

*?*

### 11 FOIA request from Margaret Burton

Control Number: F2006-00083

Subject: Policy-level guidance memoranda about practices of paying Russian subcontractors or scientists in cash or bank accounts outside of Russia in connection with the International Nuclear Safety

Action Office: NA

Analyst: Ogbazghi, Joan

### 12 FOIA request from Ken Karube; Jiji Press

Control Number: F2006-00102

Subject: GNEP documents that refer to Japan

Action Office: NA

Analyst: Washington, Brenda

*? No Response Program  
office still have questions regarding  
this request*

### 13 FOIA request from Christopher Law; Natural Resources News Service

Control Number: F2006-00158

Subject: Records about environmental cleanup at the Salton Sea Test Base

Action Office: NA

Analyst: Morris, Alexander

### 14 FOIA request from Elizabeth D. Steponkus; FSI

Control Number: F2006-00420

Subject: List of NNSA's top 35 Information Technology and non-IT contractors and their FY05 spending

Action Office: NA

Analyst: Morris, Alexander

*Request forwarded to NA-65, Linda Wilbanks 7/5/06*

### 15 FOIA request from Elizabeth Steponkus; FSI

Control Number: F2006-00421

Subject: Letter from the Chairman of the Senate Permanent Subcommittee on Investigations that concerns an Assessment of U.S. Efforts to Secure the Global Supply Chain

Action Office: NA

Analyst: Morris, Alexander

*Forward to Joan Burklin & Michelle for DiAgostina. 7/05/06 not update*

Document Online Coordination System - Search Output Report

Library name: FOIALib

16 FOIA request from Jeffrey T. Richelson

Control Number: F2006-00437

Subject: Memorandum signed subsequent to January 1, 1990 between the Nuclear Emergency Search/Support Team and the Airforce Technical Applications Center and the Joint Special Operations Command

Action Office: NA

Analyst: Morris, Alexander

*Sent to NA-20 7/6/06. 8/8/06 requested  
update no response. Received e-mail 8/15/07  
from NA-20. Not there.  
Forwarded request to NA-40 8/15/07.*

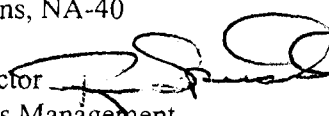


Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



APR 20 2005

MEMORANDUM FOR Joseph J. Krol, Associate Administrator  
for Emergency Operations, NA-40

FROM: Richard M. Speidel, Director  4/20.05  
Policy & Internal Controls Management  
Freedom of Information/Privacy Act Officer, NA-66

SUBJECT: Freedom of Information Act Request #F2005-00269

The National Nuclear Security Administration (NNSA) has received a third FOIA request from Mr. Jeffrey T. Richelson asking for after action reports for three NEST exercises. Mr. Richelson is requesting after action reports for: Lost Beacon July 99, Vigilant Lion Sept 99 and Eligible Receiver Nov 99.

Please review the request and let me know if your office has responsive documents. If responsive documents are found, please advise me of their releaseability.

If responsive documents are classified, you will need to send two copies of each document with a memo to James Wendt, Office of Classified and Controlled Information Review, SO-10.2 and request a classified document review. Make sure to include the FOIA number (F2005-00269) in the memo and send my office a copy so we can track the status of the request.

Attachment:  
FOIA Request fm  
J. Richelson dtd 4/14/05



NA-66:tkeiser:3-3487;4/20/05:f2005-00269

Distribution:

so: Addressee

1bcc: NA-66

IDRMS#2005-

NA-66

R. Speidel

4/19/05



**Department of Energy**  
Washington, DC 20585

August 10, 2006

Jeffrey Richelson

(b)(6)

Re: F2006-00497

Dear Mr. Richelson:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for copies of all Emergency Deployment Readiness Evaluations of the Nuclear Emergency Search Team from 1997 to 2001.

The request has been sent to the National Nuclear Security Administration at DOE Headquarters to conduct a search of its files for responsive documents. Upon completion of the search and the review of any documents located, a response will be provided to you.

Your letter stated that you were willing to pay duplication costs associated with processing the request. For purposes of assessment of fees, you have been categorized under the DOE regulation implementing the FOIA at 10 CFR 1004.9 (b)(3), as a "representative of the news media" requester. In this category, you are entitled to search and review time at no cost and 100 free pages.

If you have questions about the processing of the request, you may contact Mr. Richard Speidel in the National Nuclear Security Administration (NA-60), at Department of Energy, 1000 Independence Avenue, SW, Washington, DC 20585. He also can be reached on (202) 586-5009.

I appreciate the opportunity to assist you with this matter. If you have any questions about this correspondence, you may contact Mr. Chris Morris of my staff on (202) 586-3159.

Sincerely,

Abel Lopez, Director  
FOIA and Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper

---

# Memorandum

**Date:** August 10, 2006

**Reply to**

**Attn of:** Chris Morris, ME-74

**Subject:** FOIA request of Jeffrey Richelson, F2006-00497

**To:** Richard Speidel, NA-60

The attached Freedom of Information Act (FOIA) request from Jeffrey Richelson is being sent to you for action as responsive records appear to be within your organization. If your organization does not have jurisdiction over the responsive records, please inform me to whom you are forwarding the request.

PLEASE ENSURE THAT THE DOCUMENTS YOU LOCATE ARE SPECIFICALLY RESPONSIVE TO THIS REQUEST, PARTICULARLY IF THEY ARE CLASSIFIED.

If other divisions, offices or field organizations also have relevant records, you are responsible for requesting their participation and for coordinating the response. It is important that an appropriate response be forwarded to the requester within 20 working days as failure to respond can be deemed a denial.

On the reverse side of this memorandum, a "Reminder of Procedures for Handling FOIA Requests" should assist your staff. If you have any questions, please contact me at (202) 586-5955.

Thank you for your cooperation and assistance.

SIGNED

Chris Morris  
FOIA and Privacy Act Division

Attachment: requester letter

## **REMINDER OF PROCEDURES FOR HANDLING FOIA REQUESTS**

It is essential that principal staff be aware of the possible consequences of failure to comply with the FOIA. If the court orders the production of records improperly withheld from the requester, it may assess "reasonable attorney fees and other litigating costs." The court may also find that the circumstances surrounding the withholding raises questions as to whether the Authorizing Official who denied the records did so "arbitrarily or capriciously" (including properly classifying them).

In the event of such a finding, the Office of Special Counsel shall promptly initiate proceedings to determine whether disciplinary action is warranted against the officer or employee who was primarily responsible for the withholding. The Department of Energy shall take the corrective actions that the Office of Special Counsel recommends. (See 5 USC 552 (a)(4)(F)).

The following are reminders of some of the procedures for handling requests but are not intended to be substituted for, or in any way to augment, the regulations detailed in 10 CFR 1004, "Freedom of Information."

1. You have the responsibility to acknowledge the request and to begin the 20 working-day response period. If the request is unclear or too broad, immediately call the requester to clarify or redefine the request.
2. If it appears that you cannot meet the 20 working-day requirement because of some unusual circumstances, consult the Office of General Counsel and, if appropriate, contact the requester to see if he will agree to an extension of time. The agreement must be confirmed in writing with a copy to the FOIA Officer. Failing an agreement, the Authorizing Official can take up to 10 additional days. The extension must be confirmed in writing with a copy to the FOIA Officer.
3. If you are denying records, in part or in whole, the Office of General Counsel must be consulted before a response is transmitted to the requester. **All "no records and denials" responses must include appeal rights.**
4. You must consult the Office of Classification concerning records containing classified information issued by DOE or received from another agency or foreign government.
5. You must consult with other federal agencies, foreign governments or private organizations before releasing information given by them as "privileged or confidential."
6. Unless the requester has agreed to pay search and duplicating charges in his request, the Authorizing Official will obtain estimated charges and notify the requester within the 20 day period. However, no charge will be made if the total cost is \$15.00 or less.
7. The FOIA Officer may, if he determines it is in the public interest, waive all or part of the charges.
8. Records will not be sent to a requester unless fees have been waived, or the requester has made full payment of any delinquent fees. (See 10 CFR 1004.9(b)(8)(ii)).
9. Checks and money orders covering charges shall be sent to the DOE, Cash Management Branch, P.O. Box 500, Germantown MD 20874-0500.
10. All correspondence, except letters providing the requested material, shall be sent certified mail, return receipt requested. A copy of all correspondence, including enclosures, shall be sent to the appropriate FOIA officer.
11. FOIA requests received directly by a Program Office shall immediately be sent to the appropriate FOIA Officer.
12. Notice of final closure shall be sent to the headquarters FOIA office immediately.

**(PLEASE PLACE THE FOIA CASE NUMBER ON ALL CORRESPONDENCE)**





Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



January 24, 2007

MEMORANDUM FOR RICHARD M. SPEIDEL  
DIRECTOR  
POLICY & INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH: *for* JOSEPH J. KROL  
ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

FROM: DEBORAH A. WILBER *D. Wilber*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

SUBJECT: Freedom of Information Request #F2006-00497

The following documents responsive to the request from Mr. Jeffrey Richelson for "Any 1997-2001 Emergency Deployment Readiness Evaluations of the Nuclear Emergency Search Team" have been located in our files.

Eligible Receiver 2000 AAR; March 20, 2000  
JRX 2-98 Bright Victory AAR; March 17-31, 1998  
Gauged Strength AAR; June 18-26, 1998  
Bold Endeavor AAR, September 2, 1997  
Errant Foe AAR; December 3, 1998  
Exercise 3-99 CPX AAR; June 11, 1999  
Package Satyr; August 4-7, 1998

Mr. Richelson had previously requested that Eligible Receiver be released as part of FOIA #F2005-00269 and that Bright Victory, Gauged Strength, and Bold Endeavor be released as part of FOIA F2005-00219. These four documents are currently undergoing classification review by the Office of Document Reviews (HS-93). As a result of this prior request no further action will be taken to release these four documents.

Both Errant Foe and Exercise 3-99 CPX are both classified Secret and have been sent to the Office of Document Reviews for classification review. Once the classification reviews are complete, the appropriately redacted documents will be returned to NA-42 for release to the requestor. They will then be forwarded to your office.

Package Satyr is unclassified. A copy for Mr. Richelson is attached.

Attachment



Jeffrey T. Richelson

(b)(6)

Ref: 2006-00497 (Jeffrey Richelson)

Dear Mr. Richelson

On August 4, 2006 NNSA received a FOIA request from you. In your request you were seeking "Any 1997-2001 Emergency Deployment Readiness Evaluations of Nuclear Emergency Search Team"

The following responsive documents have been located per your request:

- Eligible Receiver 2000 AAR; March 20, 2000
- JRX 2-98 Bright Victory AAR; March 17-31, 1998
- Gauged Strength AAR; June 18-26, 1998
- Bold Endeavor AAR; September 2, 1997
- Errant Foe AAR; December 3, 1998
- Exercise 3-99 CPX AAR; June 11, 1999
- Package Satyr; August 4-7, 1998

Mr. Richelson had previously requested that Eligible Receiver be released as part of FOIA #F2005-00269 and that Bright Victory, Gauged Strength, and Bold Endeavor be released as part of FOIA F2005-00219. These four documents are currently undergoing classification review by the Office of Document Reviews (HS-93). As a result of this prior request no further action will be taken to release these four documents.

Both Errant Foe and Exercise 3-99 CPX are both classified Secret and have been sent to the Office of Document Reviews for classification review. Once the classification reviews are complete, the appropriately redacted documents will be returned to NA-42 for release to the requestor. They will then be forward to your office.

Package Satyr is unclassified and we providing you a copy of that document.

NNSA hopes that this satisfies your FOIA request. If you have any questions regarding this request, please feel free to contact Richard Speidel on (202) 586-5009.

Richard M. Speidel, Director  
Policy & Internal Controls Management  
Freedom of Information/Privacy Act Officer

cc: Abel Lopez, MA-74

MEMORANDUM FOR: Isiah Smith  
Office of General Counsel, GC-77

FROM: Richard M. Speidel, Director  
Policy & Internal Controls Management  
Freedom of Information/Privacy Act Officer  
NA-66

SUBJECT: Freedom of Information Act Request #F2005-00269  
(Jeffrey Richelson)

The attached file is a complete copy of the abovementioned FOIA request. I have inherited this case from at least two predecessors therefore I am assuming from my review that all necessary documents are included as required.

NNSA is attempting to close this FOIA case and would like for GC to review the responsive documents included in this case prior to our release with your recommendations.

If you have any questions regarding this case please contact Linda Jones on (202) 586-3633.



Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



OL 8 2007

MEMORANDUM FOR RICHARD M. SPEIDEL  
POLICY AND INTERNAL CONTROLS MANAGEMENT  
FREEDOM OF INFORMATION/PRIVACY ACT OFFICER

THROUGH:

*CS* CARL S. PAVETTO  
DEPUTY ASSOCIATE ADMINISTRATOR FOR  
EMERGENCY OPERATIONS

DEBORAH A. WILBER *DAW*  
DIRECTOR  
OFFICE OF EMERGENCY RESPONSE

FROM:

WALTER J. CHROBAK *WJChrobak*  
CLASSIFICATION/SECURITY PROGRAM MANAGER  
OFFICE OF EMERGENCY RESPONSE

SUBJECT:

Freedom of Information Request #F2005-00219 and  
#F2005-00269

Only three of the documents requested in #F2005-20019 have been found in our files. All three are classified and contained Focal Point special procedure markings controlled by Department of Defense (DoD).

All three of the documents requested in #F2005-00269 have been located in our files. Two were protected as Official Use Only, have been reviewed internally, and were forwarded to your office for release to the requestor on January 11, 2006. The third document is classified and contained Focal Point special procedure markings controlled by DoD.

I have removed the Focal Point markings from the copies of the four classified document in accordance with the Joint Staff Focal Point Communications Procedures Manual (CJCSM 3213.02A) and with the approval of the Director, Joint Staff Security Office.

The four classified documents have been sent to the Office of Document Reviews, for classification review. Once the classification reviews are complete the appropriately redacted documents will be returned to the Office of Emergency Response for release to the requestor. They will then be forwarded to your office.

I have attached copies of the memoranda provided to the Office of Document Reviews, which identifies the four documents by name.

Attachments



Printed with 50% recycled paper

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Thursday, October 01, 2009 1:46 PM  
**To:** Becknell, Carolyn  
**Cc:** Keiser, Terry L (HQ); Boudi, Marcella I (HQ); Chrobak, Walter J (HQ)  
**Subject:** RE: Status of Freedom Information Requests (FOIA)

**FOIA 07-281P** - Classified documents were transmitted by Ken Stein to Joseph Krol by memorandum dated 9/23/09 . On 9/30/09, I emailed Joseph Krol informing him that I was the NNSA Service Center Specialist assigned to this case.

NA-40 needs to prepare their response to our office according to FOIA guidelines provided in transmittal memo.

**FOIA 07-282P** - Classified documents were received in this office on 7/6/09 and are with our Classification Office for redacting. No further action by NA-40.

---

**From:** Becknell, Carolyn  
**Sent:** Thursday, October 01, 2009 1:30 PM  
**To:** Peterson, Shirley; Hamblen, Christina H.  
**Cc:** Keiser, Terry L (HQ); Boudi, Marcella I (HQ); Chrobak, Walter J (HQ)  
**Subject:** FW: Status of Freedom Information Requests (FOIA)

Please see below-----

Shirley, take a look at the response on your case below and let Terry Keiser, Walt, and Marci know what they need to do next.

Chris, I believe this is the one we asked Walt to work with NA-4 and to submit his response and NA-4's response in his memo. Would you please let Terry, Walt, and Marci know what they need to do next .

Thanks.

Carolyn

---

**From:** Keiser, Terry [mailto:Terry.Keiser@nnsa.doe.gov]  
**Sent:** Thursday, October 01, 2009 1:23 PM  
**To:** Becknell, Carolyn  
**Subject:** FW: Status of Freedom Information Requests (FOIA)

Carolyn,

Walt Chrobak's office has been assigned 3 FOIA requests (please see his e-mail below for the latest status).

Marci Boudi is the new FOIA contact for NA-60. Marci was unsure whether a memo was required from Walt so I am forwarding his e-mail to you so the status can be updated in your system. I have been away from the FOIA world for almost 5 years and not sure of your office procedures anymore.

10/1/2009

Thanks,  
Terry Keiser, NA-66  
301-903-3487

---

**From:** Chrobak, Walt  
**Sent:** Tuesday, September 29, 2009 1:44 PM  
**To:** Keiser, Terry  
**Subject:** RE: Status of Freedom Information Requests (FOIA)

UPDATE

I received a copy of FOIA 09-00202-H and it not appear that NA-40 would have any of the documents requested. I then sent a email to all NA-40 federal and contract employees asking that they search their records for the documents. I received negative replies.

A memo is being prepared which simply states that "A search of records maintained by the Office of Emergency Operations has not discovered any records responsive to the subject FOIA request."

Is this email sufficient to close this action in NA-40 or do you still want a memo?

Walt Chrobak

---

**From:** Chrobak, Walt  
**Sent:** Thursday, September 24, 2009 2:01 PM  
**To:** Keiser, Terry  
**Cc:** Carter, Lionel; Brawner, Cheryl  
**Subject:** Status of Freedom Information Requests (FOIA)

This email is a response to your emails "Status of Freedom Information Requests (FOIA) sent to Lionel Carter NA-40, September 24, 2009.

There is some confusion as we have no record of FOIA numbers 07-281-P, 07-282-P or 09-00202-H. It appears that new FOIA numbers have been assigned. As best we can determine FOIA 07-281-P corresponds to FOIA F2005-00219 and FOIA 07-282-P corresponds to FOIA F2005-00269.

Reference "Your office has three open actions" concerning FOIAs.

**FOIA 07-282-P**

Completed action was sent to Carolyn Becknell as indicated in NOTES. Documents were sent to DOE Office of Document Reviews and were returned with redactions on 6/22/09. Carolyn was contacted on 6/22/09 as shown in below email. **Action closed in NA-40.**

**From:** Chrobak, Walt [mailto:Walt.Chrobak@nnsa.doe.gov]  
**Sent:** Monday, June 22, 2009 8:08 AM  
**To:** Becknell, Carolyn  
**Subject:** FOIA F2005-00269

Carolyn

10/1/2009

Today (22 June 2009) I received from the Office of Document Reviews a response to a request to review a FOIA request from Mr. Jeffrey Richelson.

Original request from Mr. Richelson was dated April 14 2005.

Three documents were requested by Mr. Richelson. Two were protected as OOU and were sent to the old NNSA FOIA Office (Speidel) on January 11, 2006 for release to the requestor.

The third document was originally marked SRD and was sent to the Office of Document Reviews for review. This is the document received today. It has been reviewed and bracketed by DoD and DOE and downgraded to SNSI. It was also reviewed by the FBI who had no comment.

Should I send the entire package to you for completion of this action?

Walt Chrobak  
202-287-2345

There is confusion as the FOIA Request ID we used was F2005-00269 (as shown in subject line of email, and this has somewhere been changed to FOIA 07-282-P.

**FOIA 07-281-P**

I assume that FOIA 07-281-P corresponds to our old FOIA F2005-0219. This FOIA requested five documents. Only three of these documents were found in NA-40 files and the FOIA Office was advised in February 2007 that these three documents were being sent to the DOE Office of Document Reviews. On September 23, 2009 I received an email from the DOE Office of Document Reviews indicating their review was complete and the documents were being returned to NA-42. Copy of email shown below. NA-42 has not yet received these documents but it is not unusual for classified mail to take weeks to arrive at Forrestal from Germantown. Once the documents have been received by NA-40 they will be forwarded to Carolyn Becknell **closing this action in NA-40.**

**From:** Stein, Ken  
**Sent:** Wednesday, September 23, 2009 12:51 PM  
**To:** Morris, Alexander  
**Cc:** Becknell, Carolyn; Chrobak, Walt; Vital, Joe; Kolbay, Michael  
**Subject:** FOIA F2005-00219

Chris

My office has completed the classification review. At the request of NA-42, and with the concurrence of NNSA SC (Carolyn Becknell), the package is being sent to NA-42 for response to the requester. NA-42 is to coordinate with NNSA SC.

Ken Stein

**FOIA 09-00202-H**

I have no record of having received this FOIA request which should have been received by email in May or June 2009. I do not know who was assigned this action in NA-40.

If you have any questions my phone number is 202-287-2345.

Walter Chrobak

10/1/2009



**Peterson, Shirley**

---

**To:** Stein, Kenneth M (HQ)  
**Subject:** FOIA REQUEST 07-282P  
**Attachments:** Richelson(07-282P)RR.pdf



Richelson(07-2  
282P)RR.pdf (86 K)

Please let our office know if you have the records for the subject request.

Thank you,  
Shirley Peterson  
Information Programs Specialist  
NNSA/SC - Office of Public Affairs  
(505) 845-6393

**Becknell, Carolyn**Recd 6/22/09  
FOIA 07-282-P

**From:** Chrobak, Walt [Walt.Chrobak@nnsa.doe.gov]  
**Sent:** Monday, June 22, 2009 8:08 AM  
**To:** Becknell, Carolyn  
**Subject:** FOIA F2005-00269

Carolyn

Today (22 June 2009) I received from the Office of Document Reviews a response to a request to review a FOIA request from Mr. Jeffrey Richelson.

Original request from Mr. Richelson was dated April 14 2005.

Three documents were requested by Mr. Richelson. Two were protected as OUO and were sent to the old NNSA FOIA Office (Speidel) on January 11, 2006 for release to the requestor.

The third document was originally marked SRD and was sent to the Office of Document Reviews for review. This is the document received today. It has been reviewed and bracketed by DoD and DOE and downgraded to SNSI. It was also reviewed by the FBI who had no comment.

Should I send the entire package to you for completion of this action?

Walt Chrobak  
202-287-2345

6/22/2009

## Peterson, Shirley

---

**From:** Peterson, Shirley  
**Sent:** Thursday, January 15, 2009 7:22 AM  
**To:** Stein, Kenneth M (HQ)  
**Subject:** FOIA REQUEST 07-282P

**Attachments:** Richelson(07-282P)RR.pdf



Richelson(07-2  
82P)RR.pdf (90 K)

Please let our office know if you have the records for the subject request.

Thank you,  
Shirley Peterson  
Information Programs Specialist  
NNSA/SC - Office of Public Affairs  
(505) 845-6393

# FOIA Tracking

Last Name: Richelson      First Name: Jeffery      MI: T      Org. Code: O  
 FOIA No: 07 282      Status: I

## Requester Information

Previous Requesters:

Home:

Business Name:

Work:

Address 1:

Cell:

Address 2:

E-Mail:

Address 3:

Fax:

City:  State:  Country:  Zip:

Assigned:  PA: ☐ Date Requested:  Date Received:

Receipt Of Request:  HQ No:

Type of Info Requested:

Fee Waiver:  Number of Pages:

Lead Office: ☐  
Expedite Processing: ☐

Description of Request: Requesting after action reports for the following NEST exercises: Lost Beacon July 99, Vigilant Lion Sept 99, Eligible Receiver Nov 99.

Applicable No(s):

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I Request that I be provided with all nonexempt portions which are reasonably segregable I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification. RECORDS AT DECLASSIFICATION 8/29/07 PART TO DOD

Status: 10/23/07 provided to Debbie for file folder.

10/26/07 received file folder from Debbie

Facility:

Facility	Requested	Received
FOI-	10/23/2007	10/26/2007
File/Ack		
HQ NNSA	10/26/2007	

Other Authority For Completion:

Totally Granted ☐  
Partially Granted ☐

## Processing Track

Complex: ☒

FOIA No: 07 282      Last Name: Richelson      First Name: Jeffery      MI: T      Org. Code: O      Status: I

Other Authority for Completion

Withdrawn 1 <input type="checkbox"/>	Referred To Another Agency 5 <input type="checkbox"/>	Unknown A <input type="checkbox"/>
Not Reasonably Described 2 <input type="checkbox"/>	Not Willing To Pay Fees 6 <input type="checkbox"/>	Unknown F <input type="checkbox"/>
Nonconforming FOIA Request 3 <input type="checkbox"/>	Other 7 <input type="checkbox"/>	Unknown n <input type="checkbox"/>
Document Not In Existence 4 <input type="checkbox"/>	Duplicate request 8 <input type="checkbox"/>	Unknown O <input type="checkbox"/>
		Unknown R <input type="checkbox"/>

Old Exemption Code

Exemptions

1	2	3	4	5	6	7A	7B	7C	7D	8	9	Glomar
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Statutes

- ☐ 01-§2161-2166: Atomic Energy Act; SUBCHAPTER XI - CONTROL OF INFORMATION
- ☐ 02-§2168: UCNI: Atomic Energy Act: Dissemination of unclassified information
- ☐ 03-§3262-3266: Title 22, Chapter 47 §3262-3266 Nuclear Non-Proliferation, Aid to Developing Countries
- ☐ 04-PIA: Procurement Integrity Act; 41 U.S.C. §423
- ☐ 05-PL 104-201: National Defense Authorization Act for Fiscal Year 1997
- ☐ 06-Other Statutes: Other Exemptions used for previous years

System of Records

OPM-1	1	5	33	35	38	43	83
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Denying  
Official:

First

MI

Last

Partial Or  
Total  
Denial:

Final Response Date:

Collectible:

\$0.00

Processing  
Cost:

\$0.00

Fee  
Charged:

\$0.00

Fee  
Paid:

\$0.00

Date Paid:

Additional  
Comments:

	Last Name	First Name	MI	Org. Code:	O
FOIA No: 07 282	Richelson	Jeffery	T	Status:	I

OHA Case No:

**Records Retention Information:**

Reason:

Year for Destruction:

Authorization Number:

Date Destroyed:

Comments:

Authorized By:

JEFFREY T. RICHELSON

(b)(6)

APR 15 2005 01  
APR 14, 2005  
FEDERAL BUREAU OF INVESTIGATION  
U.S. DEPARTMENT OF JUSTICE

Abel Lopez  
Freedom of Information and Privacy Group  
Office of the Executive Secretariat  
US Department of Energy  
1000 Independence Avenue S.W., HR-73  
Washington, D.C. 20585

Dear Mr. Lopez:

This is a request under the Freedom of Information Act as amended (5 U.S.C. 522). I request a copies of the after-action reports for the following NEST exercises:

Lost Beacon July 99  
Vigilant Lion Sept 99  
Eligible Receiver Nov 99

The FOIA provides that if only portions of a record are exempted from release, the remainder must be released. I therefore request that I be provided with all nonexempt portions which are reasonably segregable. I particularly request that it be kept in mind that there may exist reasonably segregable portions of classified paragraphs. I also request that any present classified material be considered for declassification.

To permit an intelligent, informed decision as to whether or not to file an administrative appeal of any denial decision, I also request that you describe in detail the withheld records (or portions thereof) and explain the logical and factual basis for exemption claims. See Mead Data v. Department of the Air Force 566 F.2d 242, 251 (D.C. Cir. 1977).

I also request a fee waiver. The fee waiver provisions under section 552 (a) (4) (A) of the FOIA, as amended on April 25, 1987, rewrote section 552 (a) (4) (A) to create categories of requesters entitled to fee waivers. Under those provisions, I qualify for exemption from fees in the "news media" category. In a recent decision, the U.S. Court of Appeals for the D.C. circuit unanimously held that for FOIA fee waiver purposes "representatives of the news media" includes any individuals who regularly publish or disseminate information to the public. (See National Security Archive v. U.S. Department of Defense, No. 88-5217, D.C. Circuit, July 28, 1989, as well as Electronic Privacy Information Center v. U.S. Department of Defense No. 02-1233, United States District Court for the District of Columbia, January 16, 2003).

I easily meet this criteria as a result of my books and articles, which have appeared in a wide variety of publications. (A vita is available upon request). My books include *The Wizards of Langley: Inside the CIA's Directorate of Science and Technology* (Westview 2001); *America's Space Sentinels: DSP Satellites and National Security* (University Press of Kansas, 1999); *The U.S. Intelligence Community* (Westview, 4th ed. 1999); *A Century of Spies: Intelligence in the Twentieth Century* (Oxford, 1995); and *America's Secret Eyes in Space* (Harper & Row, 1990).

F2005-00269

Jm

My articles and commentaries have appeared in *Scientific American*, *Air Force Magazine*, *Bulletin of the Atomic Scientists*, *Jane's Intelligence Review*, *International Journal of Intelligence and Counterintelligence*, *ISR Journal*, *Armed Forces Journal International*, *Defense Week*, *The National Interest*, *the Washington Post*, *the Los Angeles Times*, *the Boston Globe*, and *Newsday*.

My intended use of the material requested above, in light of my extensive publication record, clearly meets the criteria established by statute and case law for preferred fee status under the FOIA as a representative of the news media. As such a representative I am entitled to have search and review fees waived. And given the contribution of my work to enhancing public understanding of the government operations I request that you also waive reproduction fees.

I look forward to your response within the statutory time period. If you have any questions about this request or any other matters, please contact me at (b)(6) (phone), (b)(6) (b)(6) (fax), or (b)(6)

Sincerely,



Jeffrey T. Richelson



## The National Security Archive

The George Washington University  
Gelman Library, Suite 701  
2130 H Street, N.W.  
Washington, D.C. 20037

Phone: 202/994-7000  
Fax: 202/994-7005  
nsarchive@gwu.edu  
www.nsarchive.org

JUL 20 2007

Thursday, July 19, 2007

Carolyn Lawson  
FOIA/Privacy Act Group  
1000 Independence Avenue, S.W.  
Washington, DC 20585

SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
- 100 FREE PAGES

Re: Request under the FOIA, in reply refer to Archive# 20071383DOE011

Dear Ms. Lawson:

Pursuant to the Freedom of Information Act (FOIA), I hereby request the following:

*All documents including but not limited to briefing materials, emails, memos, and reports from January 1, 2002 through March 30, 2003 concerned with recommendations or planning for monitoring or securing (during conflict and post-conflict conditions) sites associated with Iraq's non-conventional weapons programs (biological, chemical, nuclear, missile), including but not limited to facilities and operations located at al-QaQaa, Tuwaitha, Nassr, Fallujah II, al-Furat, and Shumokh.*

If you regard any of these documents as potentially exempt from the FOIA's disclosure requirements, I request that you nonetheless exercise your discretion to disclose them. As the FOIA requires, please release all reasonably segregable non exempt portions of documents. To permit me to reach an intelligent and informed decision whether or not to file an administrative appeal of any denied material, please describe any withheld records (or portions thereof) and explain the basis for your exemption claims.

As a representative of the news media, the National Security Archive qualifies for "representative of the news media" status under 5 U.S.C. Sec. 552(a)(4)(A)(ii)(II) and, therefore, may not be charged search and review fees. (See *National Security Archive v. U.S. Department of Defense*, 880 F.2d 1381 (D.C. Cir. 1989), cert denied, 110 S.Ct. 1478 (1990)). This request is made as part of a scholarly and news research project that is intended for publication and is not for commercial use. For details on the Archive's research and extensive publication activities please see our website at [www.nsarchive.org](http://www.nsarchive.org).

To expedite the release of the requested documents, please disclose them on an interim basis as they become available to you, without waiting until all the documents have been processed. Please notify me before incurring any photocopying costs over \$100. If you have any questions regarding the identity of the records, their location, the scope of the request or any other matters, please call me at (202) 994-7000 or email me at [foiamail@gwu.edu](mailto:foiamail@gwu.edu). I look forward to receiving your response within the twenty day statutory time period.

Sincerely yours,

FOIA 2007-000598

*Joyce Battle*  
Joyce Battle

An independent non-governmental research institute and library located at the George Washington University, the Archive collects and publishes declassified documents obtained through the Freedom of Information Act. Publication royalties and tax deductible contributions through The National Security Archive Fund, Inc. underwrite the Archive's budget.

*Althor*

FOIA 07-304-C

## The National Security Archive

The George Washington University  
Gelman Library, Suite 701  
2130 H Street, N.W.  
Washington, D.C. 20037

Phone: 202/994-7000  
Fax: 202/994-7005  
nsarchive@gwu.edu  
www.nsarchive.org

Monday, July 23, 2007

Carolyn Lawson  
FOIA/Privacy Act Group  
1000 Independence Avenue, S.W.  
Washington, DC 20585

SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
+ 100 FREE PAGES

JUL 24 2007 02

Re: Request under the FOIA, in reply refer to Archive#

20071424DOE012

Dear Ms. Lawson:

Pursuant to the Freedom of Information Act (FOIA), I hereby request the following:

*All documents including but not limited to briefing materials, emails, memos, presentations, reports, and slides from April 1, 2003 to December 31, 2004 concerned with monitoring and/or securing sites associated with Iraq's conventional and non-conventional weapons programs (biological, chemical, nuclear, missile, explosives, small arms, munitions and ordnance), including but not limited to facilities at al-Qa'aa, Tuwaitha, al Naur, al-Badr, al Kindi, al Nida, and Salah al-Din. Please include in your review documents from the National Nuclear Security Administration (NNSA).*

If you regard any of these documents as potentially exempt from the FOIA's disclosure requirements, I request that you nonetheless exercise your discretion to disclose them. As the FOIA requires, please release all reasonably segregable non exempt portions of documents. To permit me to reach an intelligent and informed decision whether or not to file an administrative appeal of any denied material, please describe any withheld records (or portions thereof) and explain the basis for your exemption claims.

As a representative of the news media, the National Security Archive qualifies for "representative of the news media" status under 5 U.S.C. Sec. 552(a)(4)(A)(ii)(II) and, therefore, may not be charged search and review fees. (See *National Security Archive v. U.S. Department of Defense*, 880 F.2d 1381 (D.C. Cir. 1989), *cert denied*, 110 S.Ct. 1478 (1990)). This request is made as part of a scholarly and news research project that is intended for publication and is not for commercial use. For details on the Archive's research and extensive publication activities please see our website at [www.nsarchive.org](http://www.nsarchive.org).

To expedite the release of the requested documents, please disclose them on an interim basis as they become available to you, without waiting until all the documents have been processed. Please notify me before incurring any photocopying costs over \$100. If you have any questions regarding the identity of the records, their location, the scope of the request or any other matters, please call me at (202) 994-7000 or email me at [foiamail@gwu.edu](mailto:foiamail@gwu.edu). I look forward to receiving your response within the twenty day statutory time period.

Sincerely yours,

FOIA-2007-000582

*Joyce Battle*  
(JOYCE BATTLE)

An independent non-governmental research institute and library located at the George Washington University, the Archive collects and publishes declassified documents obtained through the Freedom of Information Act. Publication royalties and tax deductible contributions through The National Security Archive Fund, Inc. underwrite the Archive's Budget.

*al-the*

## **Lewandowski, Sandra (CONTR)**

---

**From:** Rothrock, Amy L.  
**Sent:** Wednesday, February 25, 2015 7:34 AM  
**To:** Lewandowski, Sandra (CONTR)  
**Subject:** RE: FOIA 07-303-K & 07-304-K

It's Larry Kelly, Manager

---

**From:** Lewandowski, Sandra (CONTR) [mailto:Sandra.Lewandowski@NNSA.Doe.Gov]  
**Sent:** Tuesday, February 24, 2015 11:41 AM  
**To:** Rothrock, Amy L.  
**Subject:** RE: FOIA 07-303-K & 07-304-K

Amy,

I am withholding the document in full that Larry reviewed. Can you please let me know who the denial authority is for ORO so that I can close this out?

Thank you

**Sandra Lewandowski**  
Project Manager/Senior FOIA Specialist  
NNSA/OGC  
Central Research, Inc  
Direct: (505) 845-6807  
Visit: <http://www.Central-Research.com>  
Email: [sandra.lewandowski@nnsa.doe.gov](mailto:sandra.lewandowski@nnsa.doe.gov)



**Central Research, Inc.**  
SDVOSB • SBA Certified 8(a)



Central Research, Inc. • 506 Enterprise Dr. • Ste 200 • Lowell, AR 72745  
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---

**From:** Rothrock, Amy  
**Sent:** Thursday, August 07, 2014 12:27 PM  
**To:** Lewandowski, Sandra (CONTR)  
**Subject:** FW: FOIA 07-303-K & 07-304-K

Here are Larry's memos for your file. He may need the documents again if you can't discern what was determined where so we will let you know.

**Amy L. Rothrock**

Government Information Specialist

U.S. Department of Energy

Oak Ridge Office

P.O. Box 2001

Oak Ridge, TN 37831

865-576-1215 phone

865-576-1556 fax

[rothrockal@oro.doe.gov](mailto:rothrockal@oro.doe.gov)

[FOIA@oro.doe.gov](mailto:FOIA@oro.doe.gov)

---

**From:** Sparks, Lawrence M

**Sent:** Thursday, August 07, 2014 11:27 AM

**To:** Rothrock, Amy L.

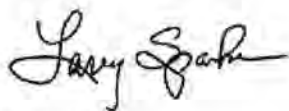
**Cc:** (b)(6)

**Subject:** RE: FOIA 07-303-K & 07-304-K

Amy,

Here are my responses from back then. I would need to find the document and look at it again to say for sure why I said it should be withheld. I'm not sure I know where the document is at this point. Given that it is the after action report for project Maximus, it probably gets into the execution of the project and those involved. I will have to dig through my repositories and see if I can find anything. I think I have the classification guidance which may dictate the "OUO" even if I can't find the document. This will take a few days unless I get lucky.

Larry



Larry Sparks

Federal Classification Officer

US DOE – Integrated Support Center - Oak Ridge Office

865-576-2659

865-231-1598 (pager)

[sparks1m@oro.doe.gov](mailto:sparks1m@oro.doe.gov)

---

**From:** Rothrock, Amy L.

**Sent:** Thursday, August 07, 2014 10:01 AM

**To:** Sparks, Lawrence M

**Subject:** FW: FOIA 07-303-K & 07-304-K

Larry, this was so long ago – 2011 I don't remember sending these through you and I don't have anything to go on. Do you have a record of what was done on these documents by your staff? The 07 numbers mean that the FOIA requests were received at NNSA in 2007.

I do remember the latest ones, which we said they should refer to Savannah River and not Oak Ridge. Fortunately I kept records on that.

Thanks!

**Amy L. Rothrock**

Government Information Specialist  
U.S. Department of Energy  
Oak Ridge Office  
P.O. Box 2001  
Oak Ridge, TN 37831  
865-576-1215 phone  
865-576-1556 fax  
[rothrockal@oro.doe.gov](mailto:rothrockal@oro.doe.gov)  
[FOIA@oro.doe.gov](mailto:FOIA@oro.doe.gov)

---

**From:** Lewandowski, Sandra (CONTR) [<mailto:Sandra.Lewandowski@NNSA.Doe.Gov>]  
**Sent:** Monday, July 28, 2014 3:45 PM  
**To:** Rothrock, Amy L.  
**Subject:** FOIA 07-303-K & 07-304-K

Amy,

I am working on the above cases trying to close them out. On May 26, 2011 Lawrence Sparks did a letter explaining the release of documents provided by this office. For document 1 he recommended exemption 5 and 7. I looked through the file and do not have a copy of the document marked in which what exemption applies where. Also, the letter does not contain a justification for the withholding of the information.

Would you be able to look back and get me this information?

Thank you

**Sandra Lewandowski**

Project Manager/Senior FOIA Specialist  
NNSA/OGC  
Central Research, Inc  
Direct: (505) 845-6807  
Visit: <http://www.Central-Research.com>  
Email: [sandra.lewandowski@nnsa.doe.gov](mailto:sandra.lewandowski@nnsa.doe.gov)



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Department of Energy  
Washington, DC 20585

Rec'd 8-1-11  
FOIA 07-303-P-K

July 22, 2011

Rec'd 8-1-11  
FOIA 07-304-C

MEMORANDUM FOR CAROLYN A. BECKNELL  
FREEDOM OF INFORMATION ACT OFFICER  
OFFICE OF PUBLIC AFFAIRS  
NATIONAL NUCLEAR SECURITY ADMINISTRATION  
SERVICE CENTER

FROM: ANTHONY J. "JOE" VITAL  
ACTION OFFICER  
STATUTORY REVIEWS PROGRAM  
OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT: Response to Review Request (20110005255-RS)

This responds to your request (FOIA 07-303-P(K) and 07-304-C) dated June 29, 2011.

This is a:

- Complete Response  
XX Partial Response  
— Final Partial Response

Findings/Instructions:

- XX We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 1-6 (see stamp(s)).
- XX **Special considerations apply to this request. Please note that we have forwarded the remaining five documents to the Department of State (DOS) and/or the Defense Threat Reduction Agency (DTRA) for their review. Upon receipt of the DOS and DTRA responses, we will forward the results to you.**
- Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential.**
- Note that we changed the category of some material to **Restricted Data/Formerly Restricted Data and/or National Security Information.**
- The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.

Document(s)/Material transmitted herewith  
contain(s) Official Use Only (OUO) information.  
When separated from attachment(s), this document  
does not contain OUO information.



Printed with soy ink on recycled paper

- \_\_\_ We bracketed in \_\_\_ the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) \_\_\_ prior to release.
- \_\_\_ We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory Review (Executive Order 13526) exemptions.
- \_\_\_ We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- \_\_\_ The document(s) at attachment(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.
- XX The document(s) at attachment(s) 1-5 is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- XX We declassified the document(s) at attachment(s) 6.
- \_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- XX We defer to your office regarding the determination of OUO information.
- \_\_\_ Details and appeal procedures for the requester are at attachment \_\_\_\_.
- XX OpenNet Requirements are at attachment 7.
- XX You are also reminded that if you determine any information must be withheld as OUO, your denial letter to the requester must be coordinated with the your General Counsel.

Please address any questions to me at (301) 903-9031.

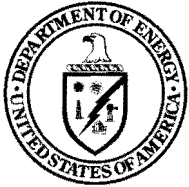
Attachment(s)  
(See listing next page)

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11690310608**

7/22/2011  
Ref: 20110005255 C00018878

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter FINAL AFTER ACTION REPORT FOR ... IN SUPPORT OF ... W/ATTACHED TABLE AND SUBJECT REPORT Author: RIEDY	D00024534 ✓	11/12/2004	U OUO
Document Type: Report DOE/EA-1496 - ENVIRONMENTAL ASSESSMENT - TRANSPORTATION OF NUCLEAR MATERIAL FROM A FOREIGN COUNTRY TO THE OAK RIDGE NATIONAL LABORATORY AND THE PORTSMOUTH GASEOUS DIFFUSION PLANT, APRIL 2004 Author: DOE	D00024535 ✓	04/01/2004	U
Document Type: Letter LETTER TO BARRY R. MILLER, ORNL, FROM BARBARA J. JACKSON, ORO Author: JACKSON	D00024536 ✓	05/14/2004	U
Document Type: Memo PROJECT MAXIMUS, REQUEST FOR INDEMNIFICATION UNDER PUBLIC LAW 85-804 - CONTRACT NO. DE-AC05-00OR22725, CONTRACT CLAUSE I.147 W/ATTACHED MEMORANDUM OF DECISION AND LETTER DATED 04/07/04 Author: BOYD	D00024537 ✓	04/29/2004	U
Document Type: Memo AUTHORIZATION OF NATIONAL SECURITY PROVISIONS Author: BROOKS	D00024538 ✓	05/20/2004	U
Document Type: Paper U. S. DEPARTMENT OF ENERGY NATIONAL NUCLEAR SECURITY ADMINISTRATION FINDING OF NO SIGNIFICANT IMPACT FOR THE ENVIRONMENTAL ASSESSMENT FOR THE TRANSPORTATION OF NUCLEAR MATERIAL FROM IRAQ TO THE OAK RIDGE NATIONAL LABORATORY - PROJECT MAXIMUS FONSI Author: BROOKS	D00024541 ✓	04/01/2004	U
Document Type: Letter ATTACHMENT 7 TO PARTIAL RESPONSE TO CAROLYN A. BECKNELL, NNSA SERVICE CENTER - OPENNET REQUIREMENTS	C00018885	07/22/2011	U





~~SECRET~~

**Department of Energy**

Washington, DC 20585

December 2, 2011

MEMORANDUM FOR CAROLYN A. BECKNELL  
FREEDOM OF INFORMATION ACT OFFICER  
OFFICE OF THE GENERAL COUNSEL  
NATIONAL NUCLEAR SECURITY ADMINISTRATION  
SERVICE CENTER

FROM: MICHAEL L. KOLBAY  
TEAM LEADER  
STATUTORY REVIEWS PROGRAM  
OFFICE OF DOCUMENT REVIEWS  
OFFICE OF CLASSIFICATION

SUBJECT: Response to Review Request (20110005255-RS)

This responds to your request (FOIA 07-303-P(K) and 07-304-C) dated June 29, 2011.

This is a:

- ☐ Complete Response
- ☐ Partial Response
- ☒ Final Partial Response

Findings/Instructions:

☒ We indicated the result(s) of our review(s) on the first page of the document(s) at attachment(s) 1-5 (see stamp(s)).

☒ **Special considerations apply to this request. Please note that we previously returned 6 of the 11 documents associated with this request to you via our response dated July 22, 2011. We had forwarded the remaining five documents to the Department of State (DOS) and/or the Defense Threat Reduction Agency (DTRA), requesting each review for information within its purview, including Official Use Only and other sensitive unclassified information. The DOS and the DTRA responses are provided at attachments 6-7, respectively.**

☐ Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential.**

☐ Note that we changed the category of some material to **Restricted Data/Formerly Restricted Data and/or National Security Information.**



- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are denied in its/their entirety.
- \_\_\_ We bracketed in \_\_\_ the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at attachment(s) \_\_\_ prior to release.
- \_\_\_ We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory Review (Executive Order 13526) exemptions.
- \_\_\_ We confirmed that the document(s) at attachment(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.
- XX The document(s) at attachment(s) 1-4 does not/do not/no longer contain(s) DOE classified information and/or UCNI. However, the DOS is denying in its entirety the document at attachment 1. Additionally, both the DOS and the DTRA have bracketed classified information, within their respective purviews, that must be deleted from the document at attachment 2 prior to release. Lastly, the DTRA has bracketed classified information within its purview that must be deleted from the documents at attachments 3-4 prior to release.
- \_\_\_ The document(s) at attachment(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.
- XX We declassified the document(s) at attachment(s) 5 since neither the DOS nor the DTRA identified any information to be withheld.
- \_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.
- XX We defer to your office regarding the determination of Official Use Only (OUO) information.
- XX Details and appeal procedures for the requester are at attachment 8.
- XX OpenNet Requirements are at attachment 9.
- XX You are also reminded that if you determine any information must be withheld as OUO, your denial letter to the requester must be coordinated with your General Counsel.

Please address any questions to Anthony J. "Joe" Vital at (301) 903-9031.

Attachment(s)  
(See listing next page)

# U.S. Department of Energy - List of Attachments

## Receipt Number: MR11725211047

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Telegram/TWX/Message/Cable UPDATED ADVANCE NOTIFICATION OF INTENDED TRANSFERS OF NUCLEAR MATERIAL ... Author: FRANT	D00024543 ✓	05/14/2004	S NSI OUO NOFORN
Document Type: Telegram/TWX/Message/Cable TUWAITHA BRIEFING FOR THE MINISTER OF SCIENCE AND TECHNOLOGY Author: BREMER	D00024539 ✓	06/21/2004	S NSI EXDIS
Document Type: Report DOE/EA-1496 - ENVIRONMENTAL ASSESSMENT - TRANSPORTATION OF NUCLEAR MATERIAL FROM ... TO THE OAK RIDGE NATIONAL LABORATORY AND THE PORTSMOUTH GASEOUS DIFFUSION PLANT, APRIL 2004 Author: DOE	D00024540 ✓	04/01/2004	S NSI
Document Type: Paper BACKGROUND PAPER: PROJECT MAXIMUS Author: DOE	D00024542 ✓	04/20/2004	S NSI
Document Type: Other VUGRAPHS - PROJECT MAXIMUS - JUNE 2004	D00024544 ✓	06/01/2004	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM ALEX GALOVICH, DOS-FOIA (ACTUALLY RECEIVED ON 11/25/2011) Author: ALEX GALOVICH Originating Agency: DOS-FOIA	C00019769	11/16/2011	U
Document Type: Letter COORDINATE REVIEW RESPONSE FROM JUANITA GAINES, DTRA-FT BELVOIR (UNDATED; RECEIVED ON 08/25/2011) Author: JUANITA GAINES Originating Agency: DTRA-FT BELVOIR	C00019245	12/02/2011	U
Document Type: Letter ATTACHMENT 8 TO FINAL PARTIAL RESPONSE TO CAROLYN A. BECKNELL, NNSA SERVICE CENTER - FOIA INFORMATION FOR REQUESTER	C00019781	12/02/2011	U
Document Type: Letter ATTACHMENT 9 TO FINAL PARTIAL RESPONSE TO CAROLYN A. BECKNELL, NNSA SERVICE CENTER - OPENNET REQUIREMENTS	C00019798	12/02/2011	U



C 0009769

United States Department of State

Washington, D.C. 20520

NOV 16 2011

~~SECRET~~

(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

Case No. 201107147

Requester: Battle, Joyce

TO: Mr. Ken Stein, Acting  
Document Declassification Division  
Department of Energy  
P.O. Box A  
Germantown, MD 20874-1290

FROM: *for* Alex Galovich, Co-Director, Acting  
Office of Information Programs and Services

SUBJECT: Reply to Request for Concurrence

REF: Michael Kolbay letter dated July 22, 2011  
(Case no. 20110005255-RS)

We have reviewed the three documents enclosed with the letter cited above and recommend as follows:

- The document D00024543/CR0004590 must be denied in full. The applicable exemptions are noted on the first page of the document. ← DIF b1, b5
- We defer to you and DOD as to the document numbered D00024544/CR0004592. ← No obj; Defer to DOD
- The document numbered D00024539/CR0004587 may be released in part. Recommended excisions are as indicated, and the exemptions are noted in the margins. We would note, however, that CPA operations in Iraq were under DOD, not State, jurisdiction. ← GIP - brack b1

~~SECRET~~

20110005255

~~SECRET~~

(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

- 2 -

With respect to the requested withholding of the first of these documents, you may cite me as the denial authority. Appeals should be directed, within 60 days, to the Chairman, Appeals Review Panel, c/o Appeals Officer, A/GIS/IPS/PP/LC, Room 8100, SA-2, Department of State, Washington D.C. 20522-8100. The appeal letter should refer to the case number shown above.

If you have any questions, please call the reviewer, Geoff Chapman, at 202-261-8482; or the case analyst, Iris Warren, at 202-261-8699.

Attachments:

Three documents

~~SECRET~~

(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

**Defense Threat Reduction Agency**

8725 John J. Kingman Road, MSC 6201  
Fort Belvoir, VA 22060-6201

MEMORANDUM FOR DEPARTMENT OF ENERGY  
1000 INDEPENDENCE AVENUE, SW  
WASHINGTON, DC 20585-1290

SUBJECT: Freedom of Information Act Request (FOIA), DTRA Case # 11-C-009, Ref  
case # 20110005255-RS

This is our final response to your Freedom of Information Act consultation dated  
July 22, 2010, for consultation review.

After review of the documents it was determined that the information bracketed in  
red should be withheld under b(1).

Should you need further assistance regarding this request, please contact the FOIA  
action officer, Naida I. Rhoades, at (703) 767-1791, or [efoia@dtra.mil](mailto:efoia@dtra.mil).

Sincerely,

Juanita Y. Gaines  
Acting Chief, Freedom of Information/  
Privacy Act Branch

7701 4579 9290 0068 2600

Enclosures

D24539/CR4586- brack b1  
D24540/CR4588- " b1  
D24542/CR4589- " b1  
D24544/CR4591- NO brack/NO obj

5255  
201100017345

**Information for Requester**

Pursuant to Title 10, Code of Federal Regulations, section 1004.6 (10 C.F.R. 1004.6), the Office of Classification, Office of Health, Safety and Security, in the Department of Energy (DOE) has completed its review(s) of the document(s) responsive to your request. Although one or more document(s), located in the files of the National Nuclear Security Administration/DOE, do/does not contain(s) DOE classified and/or safeguarded information, the Department of State (DOS) and/or the Defense Threat Reduction Agency (DTRA) has/have identified information properly classified National Security Information (NSI) and/or properly safeguarded as Official Use Only (OUO). Of these, one or more document(s) is/are being denied in its/their entirety. Those few portions of the document(s) that are not identified are so inextricably intertwined with the identified information that removal of the identified information would result in the release of no meaningful information. Therefore, the subject document(s) is/are being denied in its/their entirety. The remaining document(s) also contain information properly classified NSI; therefore, it is/they are provided to you with deletions.

Mr. Alex Galovich, Acting Co-Director, Office of Information Programs and Services, DOS, is the official responsible for the denial of the information determined by the DOS to be classified and/or safeguarded.

Ms. Juanita Y. Gaines, Acting Chief, Freedom of Information/Privacy Act Branch, DTRA, is the official responsible for the denial of the information determined by the DTRA to be classified.

Pursuant to 10 C.F.R. 1004.8, the denial of a FOIA request may be appealed, in writing, within 30 days after receipt of a letter denying any portion of the request, to the Director, Office of Hearings and Appeals, Department of Energy, 1000 Independence Avenue, SW., Washington, D.C. 20585. The written appeal, including envelope, must clearly indicate that a

Freedom of Information appeal is being made, and the appeal must contain all other elements required by 10 C.F.R. 1004.8. Judicial review will thereafter be available to you in the District of Columbia or in the district where: (1) you reside, (2) you have your principal place of business, or (3) the Department's records are situated.



**OpenNet Requirements**

All documents declassified and publicly releasable on or after October 1, 1994, must be announced on the Department of Energy (DOE) OpenNet database. Electronic format is the preferred method for submitting declassified documents to OpenNet. Please provide an electronic copy of the document via email to [opennet@osti.gov](mailto:opennet@osti.gov). In the transmittal of the document, you may provide an electronic file (PDF) copy of the document or you may provide the URL or location for your site-maintained electronic copy of the document. Also, please indicate the Declassification Status (declassified or sanitized) and Declassification Date of the document.

Please contact Mr. Barry Steele at (865) 576-9372 on questions concerning submission of declassified documents to OpenNet. Additional information regarding OpenNet may be obtained from Mr. Richard J. Lyons at (301) 903-6936.

**OpenNet Requirements**

All documents declassified and publicly releasable on or after October 1, 1994, must be announced on the Department of Energy (DOE) OpenNet database. Electronic format is the preferred method for submitting declassified documents to OpenNet. Please provide an electronic copy of the document via email to [opennet@osti.gov](mailto:opennet@osti.gov). In the transmittal of the document, you may provide an electronic file (PDF) copy of the document or you may provide the URL or location for your site-maintained electronic copy of the document. Also, please indicate the Declassification Status (declassified or sanitized) and Declassification Date of the document.

Please contact Mr. Barry Steele at (865) 576-9372 on questions concerning submission of declassified documents to OpenNet. Additional information regarding OpenNet may be obtained from Mr. Richard J. Lyons at (301) 903-6936.



~~SECRET~~

**Department of Energy**

Washington, DC 20585

Rec'd 8-1-11  
FOIA 07-303-P-K

Rec'd 8-1-11  
FOIA 07-304-C

July 22, 2011

Ms. Brenda Carter  
Freedom of Information Act/Privacy Act Officer  
Defense Threat Reduction Agency  
DIR-COSM-F (FOIA/PA)  
8725 John J. Kingman Road, MSC 6201  
Fort Belvoir, Virginia 22060-6201

SUBJECT: Response to Review and/or Coordinate Review Request (20110005255-RS)

Dear Ms. Carter:

Reference request for document review (FOIA 07-303-P(K) and 07-304-C) dated June 29, 2011, July 19, 2007, and July 23, 2007 at enclosure(s) 1-3, respectively.

This is a:

- ☐ Complete Response
- ☐ Partial Response
- ☐ Final Partial Response
- ☒ Coordinate Review Request

**Findings/Instructions:**

- ☒ We indicated the result(s) of our preliminary review(s) on the first page of the document(s) at enclosure(s) 4-7 (see stamp(s)).
- ☒ **Special considerations apply to this request. Please note that the documents at enclosures 4 and 7 are also being sent to the Department of State for its concurrent review.**
- ☐ Note that we upgraded/downgraded the classification level of some material to **Top Secret/Secret and/or Confidential.**
- ☐ Note that we changed the category of some material to **Restricted Data/Formerly Restricted Data and/or National Security Information.**
- ☐ The document(s) at enclosure(s) \_\_\_ is/are denied in its/their entirety.
- ☐ We bracketed in \_\_\_ the Department of Energy (DOE) classified information and/or Unclassified Controlled Nuclear Information (UCNI) that must be deleted from the document(s) at enclosure(s) \_\_\_ prior to release.

Document(s)/Material Transmitted Herewith Contain(s)

**National Security Information/  
EXDIS**

~~SECRET~~

When separated from enclosure(s), handle this document as:

**UNCLASSIFIED**



Printed with soy ink on recycled paper

\_\_\_ We indicated next to each bracket the appropriate Freedom of Information Act or Mandatory Review (Executive Order 13526) exemptions

\_\_\_ We confirmed that the document(s) at enclosure(s) \_\_\_ is/are properly classified \_\_\_\_\_, and we have marked it/them accordingly.

\_\_\_ The document(s) at enclosure(s) \_\_\_ does not/do not/no longer contain(s) DOE classified information and/or UCNI, and therefore, we have no objection to its/their declassification and/or release.

\_\_\_ The document(s) at enclosure(s) \_\_\_ is/are Unclassified and does not/do not contain UCNI; therefore, we have no objection to its/their release.

\_\_\_ We declassified the document(s) at enclosure(s) \_\_\_.

\_\_\_ Where applicable, we indicated the name(s) of other agency(ies) and/or office(s) that we recommend review the document(s) prior to its/their release.

\_\_\_ We defer to your agency regarding the determination of \_\_\_\_\_.

XX We request that you review the document(s) at enclosure(s) 4-7 for information within your purview, including Official Use Only and other sensitive Unclassified information.

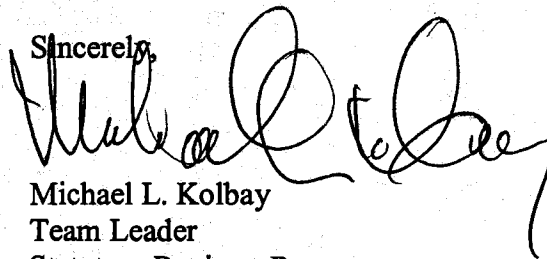
XX We request you reply to us.

\_\_\_ We request that you forward the result(s) of the review(s) to \_\_\_\_\_.

\_\_\_ Details and appeal procedures for the requester are at enclosure \_\_\_\_.

Please address any questions to Mr. Anthony J. "Joe" Vital at (301) 903-9031.

Sincerely,



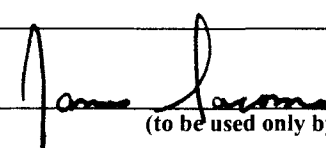
Michael L. Kolbay  
Team Leader  
Statutory Reviews Program  
Office of Document Reviews  
Office of Classification

Enclosure(s)  
(See listing next page)

cc w/o enclosure(s):  
Carolyn A. Becknell, NNSA Service Center

**U.S. Department of Energy - List of Attachments**  
**Receipt Number: MR11690410610**

Description (must be unclassified)	Reference Number	Date of Item	Classifi- cation
Document Type: Letter INITIATORS REQUEST W/ATTACHED DOCUMENT RECEIPT Author: CAROLYN BECKNELL Originating Agency: NNSA SERV CTR	C00018849	06/29/2011	U
Document Type: Letter REQUESTERS REQUEST Author: JOYCE BATTLE Originating Agency: NATL SEC ARCH	C00018856	07/19/2007	U
Document Type: Letter REQUESTERS REQUEST Author: JOYCE BATTLE Originating Agency: NATL SEC ARCH	C00018855	07/23/2007	U
Document Type: Telegram/TWX/Message/Cable TUWAITHA BRIEFING FOR THE MINISTER OF SCIENCE AND TECHNOLOGY Author: BREMER	CR0004586 D00024539	06/21/2004	S NSI EXDIS
Document Type: Report DOE/EA-1496 - ENVIRONMENTAL ASSESSMENT - TRANSPORTATION OF NUCLEAR MATERIAL FROM ... TO THE OAK RIDGE NATIONAL LABORATORY AND THE PORTSMOUTH GASEOUS DIFFUSION PLANT, APRIL 2004 Author: DOE	CR0004588 D00024540	04/01/2004	S NSI
Document Type: Paper BACKGROUND PAPER: PROJECT MAXIMUS	CR0004589 D00024542	04/20/2004	S NSI
Document Type: Other VUGRAPHS - PROJECT MAXIMUS - JUNE 2004	CR0004591 D00024544	06/01/2004	S NSI

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> Attn: Andrew Weston-Dawkes, HS-60 US Department of Energy P.O. Box A  Germantown, MD 20875-0963		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					
<b>Description of Document</b> (Subject or title and originator)	<b>Identification Number</b>	<b>Date of Document</b>	<b>Classification</b> (Indicate RD, FRD or NSI)	<b>Copy and Series</b>	<b>Number of Pages</b>
Memo from Becknell to Weston-Dawkes FOIA Request from Joyce Battle FOIA Request from Joyce Battle Memo from NA-24 Memo from Oak Ridge with attachment of Memo from Larry Spark Document 1--Ltr from Riedy to Gibby Document 2--Environmental Assessment Document 3--Ltr from Jackson to Miller Document 4--Memo from Boyd to Garman Document 5--Memo from Brooks to Boyd/Murphi Document 6--TWX Document 7--Environmental Assessment Document 8--Project Maximus FONSI Document 9--Project Maximus Document 10--TWX Document 11--Slides		6/29/2011 7/19/2007 7/23/2007 6/10/2010 5/27/2011 5/26/2011 11/12/2004 4/2004 5/14/2004 4/29/2004 5/20/2004 6/21/04 4/2004 4/2004 4/20/2004 5/5/2004 6/2004	UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS S/NSI S/NSI S/NSI SNSI SNSI NOFORN SNSI		
I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.					
Signature of addressee or name of addressee and signature of recipient. _____ Date _____					
Received for addressee by  _____ Date <u>6-29-2011</u> (to be used only by mail rooms)					

1. Return to Sender

2. Addressee's Copy

3. Pending Copy

FOIA 07-303-R(K)

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> Attn: Andrew Weston-Dawkes, HS-60 US Department of Energy P.O. Box A  Germantown, MD 20875-0963		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memo from Becknell to Weston-Dawkes		6/29/2011	UNCLASS		
FOIA Request from Joyce Battle		7/19/2007	UNCLASS		
FOIA Request from Joyce Battle		7/23/2007	UNCLASS		
Memo from NA-24		6/10/2010	UNCLASS		
Memo from Oak Ridge		5/27/2011	UNCLASS		
with attachment of Memo from Larry Spark		5/26/2011	UNCLASS		
Document 1--Ltr from Riedy to Gibby		11/12/2004	UNCLASS		
Document 2--Environmental Assessment		4/2004	UNCLASS		
Document 3--Ltr from Jackson to Miller		5/14/2004	UNCLASS		
Document 4--Memo from Boyd to Garman		4/29/2004	UNCLASS		
Document 5--Memo from Brooks to Boyd/Murphi		5/20/2004	UNCLASS		
Document 6--TWX		6/21/04	S/NSI		
Document 7--Environmental Assessment		4/2004	S/NSI		
Document 8--Project Maximus FONSI		4/2004	S/NSI		
Document 9--Project Maximus		4/20/2004	SNSI		
Document 10--TWX		5/5/2004	SNSI NOFORN		
Document 11--Slides		6/2004	SNSI		

*I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.*

Signature of addressee or  
name of addressee and  
signature of recipient. \_\_\_\_\_ Date \_\_\_\_\_

Received for addressee by \_\_\_\_\_ Date \_\_\_\_\_  
 (to be used only by mail rooms)

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185

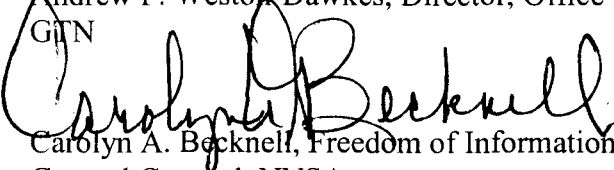


June 29, 2011

Memorandum For:

Andrew P. Weston-Dawkes, Director, Office of Classification, HS-60,  
GTN

From:

  
Carolyn A. Becknell, Freedom of Information Act Officer, Office of the  
General Counsel, NNSA

Subject:

Review of Records Responsive to Freedom of Information Act (FOIA)  
Request Identified By Control Number FOIA 07-303-P(K) and 07-304-C

Pursuant to 10 CFR, Section 1004.6(c), the attached 11 records are provided for your review to determine if they are properly classified and whether any portions are releasable. The records were provided by NA-24 as being responsive to the above-referenced FOIA requests. NA-24 recommended the Oak Ridge Office (ORO) also review the documents. I sent the records to ORO for a review.

Also attached is a copy of the initial requests, dated July 19, 2007 and July 23, 2007, from Joyce Battle and transmittal correspondence from NA-24, dated June 10, 2010, and review memorandum from Oak Ridge, dated May 27, 2011. If you have questions about the bracketed classified, please contact Mark Whitney through Drew Nickels at (202) 586-3558 and Larry Sparks at (865) 576-2659.

If you have other questions, please contact me by telephone at (505) 845-4869 and refer to the FOIA request numbers indicated above.

15 Attachments





**X7 UNCLASSIFIED**

Department of Energy  
National Nuclear Security Administration  
Washington, DC 20585



**JUN 10 2007**

MEMORANDUM FOR CAROLYN BECKNELL  
INFORMATION PROGRAM SPECIALIST  
OFFICE OF PUBLIC AFFAIRS

FROM: MARK WHITNEY *[Signature]*  
ACTING ASSISTANT DEPUTY ADMINISTRATOR  
FOR NONPROLIFERATION AND INTERNATIONAL  
SECURITY

SUBJECT: FOIA REQUEST (07-303-P AND 07-304-C)

In response to Freedom of Information Act (FOIA) Request 07-303-P and 07-304-C, the Office of Nonproliferation and International Security (NA-24) has reviewed the request and found twelve documents. Some information in the documents is exempt from release, pending declassification and exemption reviews, under Freedom of Information Act Exemption 1 – Documents classified by Executive Order and Exemption 2 – Internal Personnel Rules and Procedures.

The requestor seeks the following:

1. "All documents including but not limited to briefing materials, emails, memos, and reports from January 1, 2002 through March 30, 2003 concerned with recommendations or planning for monitoring or securing (during conflict and post-conflict conditions) sites associated with Iraq's non-conventional weapons programs (biological, chemical, nuclear, missile), including but not limited to facilities and operations located at al-QaQaa, Tuwaitha, Nasr, Fallujah II, al-Furat, and Shumakh."
2. "All documents including but not limited to briefing materials, emails, memos, presentations, reports and slides from April 1, 2003 to December 31, 2004 concerned with monitoring and/or securing sites associated with Iraq's conventional and non-conventional weapons program (biological, chemical, nuclear, missile, explosives, small arms, munitions and ordnance), including but not limited to facilities at al-QaQaa, Tuwaitha, al Nasr, al-Badr, al Kindi, al Nida and Salah al-Din. Please include in your review documents from the National Nuclear Security Administration (NNSA)."

**X7 UNCLASSIFIED**



NA-24 has made the following determinations:

Document #1 "Final After Action Report for Project Maximus in Support of the Defense Threat Reduction Agency's IACRO" (13 pages)

This document may contain information that is partially exempt in accordance with Exemption 2 – Internal Personnel Rules and Procedures. This document must be referred to the Oak Ridge National Laboratory for exemptions review. In addition, the Defense Threat Reduction Agency must also conduct a review.

Document #2 "Unclassified Environmental Assessment" (12 pages)

This document must be referred to the Oak Ridge National Laboratory for review of Freedom of Information Act exemptions.

Document #3 "Letter from Barbara Jackson to Barry Miller regarding Indemnification" (1 page)

This document must be referred to the Oak Ridge National Laboratory for review of Freedom of Information Act exemptions.

Document #4 "Memo on Indemnification from Oak Ridge Operations Office to the Acting Under Secretary for Energy, Science, and Environment" (5 pages)

This document must be referred to the Oak Ridge Operations office for review of Freedom of Information Act exemptions.

Document #5 "Memo on Authorization of National Security Provisions from Linton Brooks to Gerald Boyd at the Oak Ridge Operations Office" (2 pages)

This document can be fully released.

Document #6 "Memo on Indemnification from Y-12 Site Office to Linton Brooks" (7 pages)

This document must be referred to the Oak Ridge Operations office for review of Freedom of Information Act exemptions.

Document #7 "1 Classified State Department Document" (3 pages)

This document is exempt in accordance with Exemption 1 – National Security Information. Therefore, this document must be referred to the Department of State for declassification review.

Document #8 "Classified Environmental Assessment" (12 pages)

This document is exempt in accordance with Exemption 1 – National Security Information. Therefore, this document must be referred to the Oak Ridge National Laboratory for declassification review.

Document #9 "Project Maximus FONSI" (4 pages)



This document is exempt in accordance with Exemption 1 – National Security Information. Therefore, this document must be referred to the Oak Ridge National Laboratory for declassification review.

Document #10 "Background Paper: Project Maximus" (1 page)

This document is exempt in accordance with Exemption 1 – National Security Information. Therefore, this document must be referred to the Department of Energy Office of Classification for declassification review. In addition the Defense Threat Reduction Agency must also conduct a declassification review.

Document #11 "2nd Classified State Department Document" (6 pages)

This document is exempt in accordance with Exemption 1 – National Security Information. Therefore, this document must be referred to the Department of State for declassification review.

Document #12 "Presentation: Project Maximus" (10 pages)

This document is exempt in accordance with Exemption 1 – National Security Information. Therefore, this document must be referred to the Department of Energy Office of Classification for declassification review. In addition the Defense Threat Reduction Agency must also conduct a declassification review.

Title 5, United States Code, section 552(b)(1) (5 U.S.C. 552(b)(1)) (Exemption I), provides that an agency may exempt from disclosure matters that are "(A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified pursuant to such Executive order." The portions deleted from the subject document pursuant to Exemption 1 contain information about United States Government programs for safeguarding nuclear materials/facilities and/or vulnerabilities or capabilities of systems, installations, projects or plans relating to the national security and are classified under section(s) 1.4 (f) and or (g) of Executive Order 12958 (E.O. 12958), as amended. It has been determined that release of the information could reasonably be expected to cause damage to the national security.

Exemption 2 of the FOIA exempts from mandatory disclosure records that are "related solely to the internal personnel rules and practices of an agency." The exemption encompasses two distinct categories of information:

- (a) Internal matters of a relatively trivial nature -- often referred to as "low 2" information; and
- (b) More substantial internal matters, the disclosure of which would risk circumvention of a legal requirement -- often referred to as "high 2" information.

If you have any questions or require further information, please contact Drew Nickels at (202) 586-3558.



**X/ UNCLASSIFIED**

**Attachments:**

**Original FOIA Request**

**Attachments with FOIA Exemptions**

**Record of FOIA Processing Cost for Government Personnel**

**Record of FOIA Processing Cost for Contractor Personnel**

**X/ UNCLASSIFIED**



**Department of Energy**

Oak Ridge Office  
P.O. Box 2001  
Oak Ridge, Tennessee 37831

May 27, 2011

**MEMORANDUM FOR CAROLYN BECKNELL**

**FREEDOM OF INFORMATION ACT OFFICER, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION SERVICE CENTER, OFFICE OF PUBLIC AFFAIRS, NA-SC,  
P.O. BOX 5400, ALBUQUERQUE, NM 87185**

**FROM: LARRY SPARKS, CLASSIFICATION OFFICER, OAK RIDGE OFFICE**

**SUBJECT: FREEDOM OF INFORMATION ACT (FOIA) REQUESTS 07-303-P(K) AND 07-304-C**

In a letter from you to Amy Rothrock, ORO FOIA Officer, of November 2, 2010, you requested that she review the documents responsive to the subject FOIA requests. Ms Rothrock forwarded the documents to me for review and possible declassification. On May 25, 2011, I provided my determinations on these documents to Ms Rothrock. She has subsequently requested that I forward my determinations to you.

Attached is my memorandum to Ms. Rothrock which details my determinations on each of the documents. The classified documents were determined to contain no ORO/DOF classified information but they all must be coordinated with other Agencies. Several documents are approved for release and one is recommended to stay Official Use Only. This is all detailed in the attached memo. All 11 documents are included with the attachment.

Please feel free to contact me at (865) 576-2659.

**Attachment:**

Memo dated May 26, 2011,  
from Larry Sparks for Amy Rothrock, ORO FOIA Officer

cc:  
rothrockal@oro.doe.gov

When separated from  
attachment, handle this  
document as UNCLASSIFIED.

DOE P 5635.3  
(09-95)  
05-94 editions may be used

U.S. DEPARTMENT OF ENERGY  
CLASSIFIED DOCUMENT RECEIPT

OMB Control No.  
1910-1800  
OMB Burden Disclosure  
Statement on Reverse

**TO:** U.S. Department of Energy  
Oak Ridge Office  
P.O. Box 2001  
Attn: Ms. Amy Rothrock  
Oak Ridge, TN 37831-8764

POSTAL NUMBER

RB 440 662 105 US

DATE MAILED

11-2-2010

**FROM:** National Nuclear Security Administration SC  
Mail Room - Carolyn Becknell  
P.O. Box 5400  
Albuquerque, NM 87185-5400

INSTRUCTIONS

1. Verify addressee's classified mailing address.
  2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required.
  3. Show classification and extra markings.
  4. Forward original and duplicate to addressee.
  5. Retain copy pending return of signed original by addressee.
- 2 Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memorandum Becknell to Rothrock		11/2/2010	Unclass		
FOIA Request from Battle		7/19/2007	Unclass		
FOIA Request from Battle		9/23/2007	Unclass		
Memorandum Whitney to Becknell		8/10/2010	Unclass		
Letter from A. W. Riedy to Ms. Lynn Gibby with attachments		11/12/2004	Unclass		
DOE/EA-1496 Environmental Assessment Transportation of Nuclear Material from Country to the Oak Ridge National Laboratory and the Portsmouth Gaseous Diffusion Plant		7/2004	Unclass		
Letter from Barbara Jackson to Barry Miller		5/14/2004	Unclass		
Memorandum from Harness through Orbach to Garrison		11/29/2004	Unclass		
Memorandum from Linton Brooks to Gerald Boyd and William Murphie		5/20/2004	Unclass		
Briefing for the Minister of Science & Technology		6/21/2004	S/NSI		
Environmental Assessment		11/2004	S/NSI		
Project Maximus Fonsi		9/25/2003	S/NSI		
Background Paper: Project Maximus		11/20/2004	S/NSI		
Updated Advance Notification of Intended Project Maximus Presentation		5/15/2004?	S/NSI (NoFo)		
		6/2004	S/NSI		

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or  
name of addressee and  
signature of recipient.

Jared  
11-8-10

Date

Received for addressee by

Debbie Ledbetter  
(to be used only by mail rooms)

3:05  
Dec

Date

11-8-10

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



## Department of Energy

Oak Ridge Office  
P.O. Box 2001  
Oak Ridge, Tennessee 37831

May 26, 2011

MEMORANDUM FOR AMY ROTHROCK

ORO FOIA OFFICER, CC-10

FROM:

LAWRENCE M. SPARKS

ORO CLASSIFICATION OFFICER, OS-203

SUBJECT:

FOIA REQUESTS 07-303-P AND 07-304-C

I have reviewed the 11 documents that were sent by Carolyn Becknell, FOIA Officer, NNSA, to you for review before release to the requester. The following are my determinations on the documents:

Document #1 "Final After Action Report for Project Maximus in Support of the Defense Threat Reduction Agency's IACRO" (13 Pages)

This document is Official Use Only. The marking on the first page references Exemption 2, Circumvention of Statute. I believe the document should be withheld under Exemption 5, Privileged Information, and Exemption 7, Law Enforcement.

Document #2 "Unclassified Environmental Assessment" (12 pages)

This document is unclassified and approved for public release.

Document #3 "Letter from Barbara Jackson to Barry Miller Regarding Indemnification" (1 page)

This document is unclassified and approved for public release.

Document #4 "Memo on Indemnification from Oak Ridge Operations Office to the Acting Under Secretary for Energy Science, and Environment" (5 pages)

While marked Official Use Only, this document no longer contains Official Use Only and may be publically released.

Document #5 "Memo on Authorization of National Security Provisions from Linton Brooks to Gerald Boyd at the Oak Ridge Operations Office" (2 pages)

This document is unclassified and approved for public release.

When separated from  
attachments, handle this  
document as UNCLASSIFIED.

[REDACTED]

Amy Rothrock

- 2 -

May 26, 2011

SUBJECT: FOIA REQUESTS 07-303-P AND 07-304-C

Document #6 was reviewed and released by Y-12.

Document #7 "Classified State Department Document" (3 pages)

This document does not contain any Oak Ridge Classified Information but must be referred to the State Department for declassification review.

Document #8 "Classified Environmental Assessment" (12 pages)

This document does not contain any Oak Ridge Classified Information but must be referred to the Defense Threat Reduction Agency (DTRA) for declassification review.

Document #9 "Project Maximus FONSI" (4 pages)

This document does not contain any Oak Ridge Classified Information but must be referred to NNSA for the second declassification review.

Document #10 "Background Paper: Project Maximus" (1 page)

This document does not contain any Oak Ridge Classified Information but must be referred to the Defense Threat Reduction Agency (DTRA) for declassification review.

Document #11 "2<sup>nd</sup> Classified State Department Document" (6 pages)

This document does not contain any Oak Ridge Classified Information but must be referred to the State Department for declassification review.

Document #12 "Presentation: Project Maximus" (10 pages)

This document does not contain any Oak Ridge Classified Information but must be referred to the State Department and DTRA for declassification review.

These determinations were coordinated with Dave Hamrin, Classification Officer, Oak Ridge National Laboratory. The classified documents that were determined to not contain any Oak Ridge classified information were stamped with the standard DOE declassification stamp with me as the first reviewer indicating no DOE classified information and what agencies need to review. The Office of Classification usually performs the second review after coordinating with the other agencies.

If you have any questions, please feel free to contact me.

Attachments:

Documents #1 - #12

cc w/o attachments  
hamrindr@ornl.gov

[REDACTED]



U.S. DEPARTMENT OF ENERGY  
**CLASSIFIED DOCUMENT RECEIPT**

Receipt #: MR11725211047  
Ref: 20110005255 C00019780  
Page 1 of 1

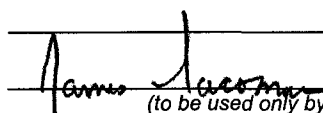
<b>TO</b> NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER P.O. BOX 5400 For: CAROLYN BECKNELL FOIA OFFICE ALBUQUERQUE, NM 87185-5400	<b>POSTAL NUMBER</b>  <i>122 771 187 400 US</i>
	<b>DATE MAILED</b> 12/02/2011
<b>FROM</b>  Zee Plummer HS-63, Germantown Building U.S. Department of Energy 1000 Independence Avenue, SW Washington, DC 20585-1290  PLEASE SIGN, DATE, AND FAX RECEIPT WITHIN 5 DAYS OR TRACER ACTION WILL FOLLOW	<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address.  2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required.  3. Show classification and extra markings.  4. Forward original and duplicate to addressee.  5. Retain copy pending return of signed original by addressee.
	Phone#: 301-903-9678 Fax#: 301-903-7068

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Document Type: Telegram/TWX/Message/Cable UPDATED ADVANCE NOTIFICATION OF INTENDED TRANSFERS OF NUCLEAR MATERIAL ... Author: FRANT	D00024543 ✓	05/14/2004	S NSI NOFORN		
Document Type: Telegram/TWX/Message/Cable TUWAITHA BRIEFING FOR THE MINISTER OF SCIENCE AND TECHNOLOGY Author: BREMER	D00024539 ✓	06/21/2004	S NSI EXDIS		
Document Type: Report DOE/EA-1496 - ENVIRONMENTAL ASSESSMENT - TRANSPORTATION OF NUCLEAR MATERIAL FROM ... TO THE OAK RIDGE NATIONAL LABORATORY AND THE PORTSMOUTH GASEOUS DIFFUSION PLANT, APRIL 2004 Author: DOE	D00024540 ✓	04/01/2004	S NSI		
Document Type: Paper BACKGROUND PAPER: PROJECT MAXIMUS Author: DOE	D00024542 ✓	04/20/2004	S NSI		
-----	-----NOTHING	FOLLOWS -----	-----	-----	-----

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or  
name of addressee and  
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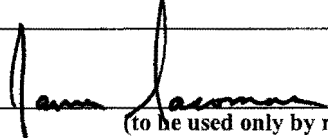
Date 12-12-2011

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> U.S. Department of Energy Oak Ridge Office P.O. Box 2001 Attn: Ms. Amy Rothrock Oak Ridge, TN 37831-8764		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memorandum Becknell to Rothrock		11/2/2010	Unclass		
FOIA Request from Battle		7/19/2007	Unclass		
FOIA Request from Battle		7/23/2007	Unclass		
Memorandum Whitney to Becknell		6/10/2010	Unclass		
Letter from A. W. Riedy to Ms. Lynn Gibby with attachments		11/12/2004	Unclass		
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Letter from Barbara Jackson to Barry Miller		5/14/2004	Unclass		
Memorandum from Harness through Orbach to Garman		4/29/2004	Unclass		
Memorandum from Linton Brooks to Gerald Boyd and William Murphie		5/20/2004	Unclass		
Briefing for the Minister of Science & Technology		6/21/2004	S/NSI		
Environmental Assessment		4/2004	S/NSI		
Project Maximus Fonsi		9/25/2003	S/NSI		
Background Paper: Project Maximus		4/20/2004	S/NSI		
Updated Advance Notification of Intended Project Maximus Presentation		5/5/2004?	S/NSI (NoFo)		
		6/2004	S/NSI		

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name of addressee and  
signature of recipient. \_\_\_\_\_ Date \_\_\_\_\_

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3. Pending Copy

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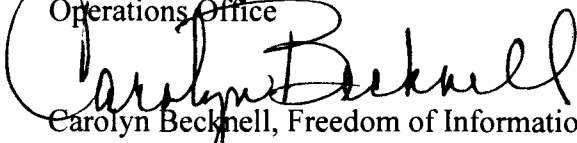


Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



*November 2, 2010*

MEMORANDUM FOR: Amy Rothrock, Freedom of Information Act Officer, Oak Ridge  
Operations Office

FROM:   
Carolyn Becknell, Freedom of Information Act Officer, National  
Nuclear Security Administration Service Center, Office of Public  
Affairs

SUBJECT: Documents for Review for Release Related to FOIA Control  
Number FOIA 07-303-P(K) and FOIA 07-304-C

The attached 11 documents are provided for your review for release. NA-24 at Headquarters located the documents in response to two Freedom of Information Act requests from Joyce Battle of the National Security Archive. Copies of the requests are attached.

NA-24 originally provided 12 documents. The Y-12 Site Office reviewed the 12<sup>th</sup> document and provided the release determination on it. NA-24 and Y-12 both recommended Oak Ridge review the 11 documents for release. Also attached is a copy of the memorandum from NA-24.

Please review the 11 documents and provide your release determination in writing to this office. Your assistance with this matter is greatly appreciated.

Attachments

## Becknell, Carolyn

---

**From:** Hawks, Scott A (HCC) [HAWKSSA1@yso.doe.gov]  
**Sent:** Wednesday, September 01, 2010 11:54 AM  
**To:** Becknell, Carolyn  
**Cc:** WYATT, STEVEN L; HOWELL, JERRY L (YSO)  
**Subject:** RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Thanks Carolyn.

Yes, since the contractor marked the attachment to our letter OUO, we are going to get their review. Personally, I don't think it will be OUO by today's standards.....but they deserve a chance to cast a vote.

Thanks!  
Scott

-----Original Message-----

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Wednesday, September 01, 2010 9:55 AM  
**To:** Hawks, Scott A (HCC); Wyatt, Steven (Q7S)  
**Subject:** RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Thanks Scott. I understand. Prepare your response as stated and send back to me. I will get the documents to ORO for their review. Are you having the contractor review the one document?

Carolyn

-----Original Message-----

**From:** Hawks, Scott A (HCC) [mailto:HAWKSSA1@yso.doe.gov]  
**Sent:** Wednesday, September 01, 2010 5:15 AM  
**To:** WYATT, STEVEN L; Becknell, Carolyn  
**Cc:** HOWELL, JERRY L (YSO)  
**Subject:** RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Perhaps I can save us further headache with arranging for the call by attempting to briefly state why we wanted to talk. The subject FOIA forwards 12 documents, 6 classified and 6 unclassified (some of which are OUO), for us to review and provide a Release Determination. We have reviewed these and determined that 11 of the documents do not involve Y-12 information equities. The majority require review by the DOE Oak Ridge Office (ORO) or Oak Ridge National Laboratory (ORNL) as their equities are involved. We feel the summary of the NA-24 determination included in your letter is comprehensive and with 2 exceptions captures the entities that should review the documents. The exceptions I mention are:

-- Document #3: ORO should review this document rather than ORNL.

-- Document #6: YSO should review this document rather than ORO.

I'm new to this activity so I wanted to make sure you were aware that YSO can't make a determination for ORO or ORNL.

We can complete our review of Document #6, which still requires further coordination with our contractor due an attachment to the document, and then reply with correspondence that says we looked at Document #6, here's our determination on it, and the other documents are outside our authority. I wanted to make sure this was what you are looking for rather than send

correspondence as described and cause further delay in processing if that's not what you are expecting to receive.

I hope this makes sense.... please feel free to call me to discuss further if you like. As indicated in this email chain, we all have full calendars so that may pose a challenge. However, I've arranged for my daughter's pickup today, so I should be available in my office from about 1pm Eastern until approximately 4:30pm Eastern if that works for you.

Thanks,  
Scott

-----Original Message-----

From: Wyatt, Steven (Q7S)  
Sent: Tuesday, August 31, 2010 2:43 PM  
To: Hawks, Scott A (HCC); 'Becknell, Carolyn'  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Carolyn, do you have another time slot? I don't think this will take long and I don't have to be on the call.

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

From: Hawks, Scott A (HCC)  
Sent: Tuesday, August 31, 2010 2:41 PM  
To: Wyatt, Steven (Q7S); 'Becknell, Carolyn'  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Sorry, I can't make that as I have to pick up my daughter from school. I depart at 3pm our time.

Scott

-----Original Message-----

From: Wyatt, Steven (Q7S)  
Sent: Tuesday, August 31, 2010 2:34 PM  
To: 'Becknell, Carolyn'  
Cc: Hawks, Scott A (HCC)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Can you do 4:30 p.m. our time?

Steven Wyatt  
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-----Original Message-----

From: Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
Sent: Tuesday, August 31, 2010 2:17 PM  
To: Wyatt, Steven (Q7S)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

3:30 your time---two hours difference

-----Original Message-----

From: Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
Sent: Tuesday, August 31, 2010 12:03 PM  
To: Becknell, Carolyn  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

I guess that would be 2 p.m. eastern?

Steven Wyatt  
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From: Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
Sent: Tuesday, August 31, 2010 1:51 PM  
To: Wyatt, Steven (Q7S)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Oh I'm sorry---I really meant Thursday, not tomorrow.

-----Original Message-----

From: Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
Sent: Tuesday, August 31, 2010 11:28 AM  
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Tomorrow is booked solid. How about Thursday?

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We have our VTC with HQ tomorrow at 12 our time. How about after that say--1:30 our time?

-----Original Message-----

From: Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
Sent: Tuesday, August 31, 2010 10:41 AM  
To: Becknell, Carolyn  
Cc: HAWKS, SCOTT A; HOWELL, JERRY L (YSO); Fleming, Michele (FM2)  
Subject: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Carolyn, we have some questions to ask you on the classification review of these FOIAs. When are you available? Scott and I are both tied up tomorrow, but generally available on Thursday. Thanks.

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## Becknell, Carolyn

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**Sent:** Wednesday, September 01, 2010 7:55 AM  
**To:** HAWKS, SCOTT A; WYATT, STEVEN L  
**Subject:** RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Thanks Scott. I understand. Prepare your response as stated and send back to me. I will get the documents to ORO for their review. Are you having the contractor review the one document?

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Scott

-----Original Message-----

**From:** Wyatt, Steven (Q7S)  
**Sent:** Tuesday, August 31, 2010 2:43 PM  
**To:** Hawks, Scott A (HCC); 'Becknell, Carolyn'  
**Subject:** RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Carolyn, do you have another time slot? I don't think this will take long and I don't have to be on the call.

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office

National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

From: Hawks, Scott A (HCC)  
Sent: Tuesday, August 31, 2010 2:41 PM  
To: Wyatt, Steven (Q7S); 'Becknell, Carolyn'  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Sorry, I can't make that as I have to pick up my daughter from school. I depart at 3pm our time.

Scott

-----Original Message-----

From: Wyatt, Steven (Q7S)  
Sent: Tuesday, August 31, 2010 2:34 PM  
To: 'Becknell, Carolyn'  
Cc: Hawks, Scott A (HCC)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Can you do 4:30 p.m. our time?

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

From: Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
Sent: Tuesday, August 31, 2010 2:17 PM  
To: Wyatt, Steven (Q7S)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

3:30 your time---two hours difference

-----Original Message-----

From: Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
Sent: Tuesday, August 31, 2010 12:03 PM  
To: Becknell, Carolyn  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

I guess that would be 2 p.m. eastern?

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918

Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

From: Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
Sent: Tuesday, August 31, 2010 1:51 PM  
To: Wyatt, Steven (Q7S)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Oh I'm sorry---I really meant Thursday, not tomorrow.

-----Original Message-----

From: Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
Sent: Tuesday, August 31, 2010 11:28 AM  
To: Becknell, Carolyn  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Tomorrow is booked solid. How about Thursday?

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

From: Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
Sent: Tuesday, August 31, 2010 1:22 PM  
To: Wyatt, Steven (Q7S)  
Subject: RE: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

We have our VTC with HQ tomorrow at 12 our time. How about after that say--1:30 our time?

-----Original Message-----

From: Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
Sent: Tuesday, August 31, 2010 10:41 AM  
To: Becknell, Carolyn  
Cc: HAWKS, SCOTT A; HOWELL, JERRY L (YSO); Fleming, Michele (FM2)  
Subject: Request for Conference call - FOIA 07-303-P & FOIA 08-304-C

Carolyn, we have some questions to ask you on the classification review of these FOIAs. When are you available? Scott and I are both tied up tomorrow, but generally available on Thursday. Thanks.

Steven Wyatt

Public Affairs Manager

Y-12 Site Office

National Nuclear Security Administration

Phone: (865) 576-9918

Blackberry: (865) 292-3989

Pager: (877) 821-7966



DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> National Nuclear Security Administration Y-12 National Security Complex Records Department, JCC 301 BCR ATTN: Scott Hawks, Classification Officer, Y-12 Site Office Oak Ridge, TN 37831-8169		<b>POSTAL NUMBER</b> <div style="font-size: 1.2em; font-family: cursive;">RB 440 661 351 US</div>			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400		<b>DATE MAILED</b> <div style="font-size: 1.2em; font-family: cursive;">8-5-2010</div>			
		<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. ⑥ Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours. <span style="float: right;">B</span>			

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memo Becknell to Hawks		8/3/2010	Unclass		
Battle FOIA Request (FOIA 07-303-P(K))		7/19/2007	Unclass		
Battle FOIA Request (FOIA 07-304-C)		7/23/2007	Unclass		
Memo Whitney to Becknell		6/10/2010	Unclass		
Letter Riedy to Gibby with attachments		11/12/2004	Unclass		
Environmental Assessment--DOE/EA-1496		4/2004	Unclass		
Letter Jackson to Miller		5/14/2004	Unclass		
Memo Boyd to Garman with 2 records		4/29/2004	Unclass		
Memo Brooks to Boyd		5/20/2004	Unclass		
Memo Brumley to Brooks 2 records		4/30/2004	Unclass		
Environmental Assessment (A)		4/2004	S/NSI		39
Please Fax TO: 505.845.5968 James Sacoman USDOE SC or Mail TO: PO Box 5400 NNSA Mailroom Bldg. 20390 Albuquerque, NM 87185					

*I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.*

Signature of addressee or name of addressee and signature of recipient. Paige Moore Date 8/16/2010

Received for addressee by \_\_\_\_\_ Date \_\_\_\_\_  
(to be used only by mail rooms)

1. Return to Sender

2. Addressee's Copy

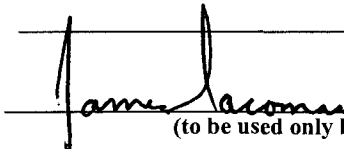
3. Pending Copy

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> National Nuclear Security Administration Y-12 National Security Complex Records Department, JCC 301 BCR ATTN: Scott Hawks, Classification Officer, Y-12 Site Office Oak Ridge, TN 37831-8169		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memo Becknell to Hawks		8/3/2010	Unclass		39
Battle FOIA Request (FOIA 07-303-P(K))		7/19/2007	Unclass		
Battle FOIA Request (FOIA 07-304-C)		7/23/2007	Unclass		
Memo Whitney to Becknell		6/10/2010	Unclass		
Letter Riedy to Gibby with attachments		11/12/2004	Unclass		
Environmental Assessment--DOE/EA-1496		4/2004	Unclass		
Letter Jackson to Miller		5/14/2004	Unclass		
Memo Boyd to Garman with 2 records		4/29/2004	Unclass		
Memo Brooks to Boyd		5/20/2004	Unclass		
Memo Brumley to Brooks 2 records		4/30/2004	Unclass		
Environmental Assessment		4/2004	S/NSI		

*I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.*

Signature of addressee or name of addressee and signature of recipient. \_\_\_\_\_ Date \_\_\_\_\_

Received for addressee by  \_\_\_\_\_ Date 8-4-2010

(to be used only by mail rooms)

1. Return to Sender

2. Addressee's Copy

3. Pending Copy

**Becknell, Carolyn**

---

**From:** Becknell, Carolyn  
**Sent:** Tuesday, August 03, 2010 2:17 PM  
**To:** WYATT, STEVEN L  
**Subject:** Review Action for Y-12 Site Office  
**Attachments:** memo to y-12--review action.pdf

Hi Steve!

Attached is a copy of my memo to Scott Hawks and copies of the two requests. The documents are on their way.

Many thanks.

Carolyn

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> National Nuclear Security Administration Y-12 National Security Complex Records Department, JCC 301 BCR ATTN: Scott Hawks, Classification Officer, Y-12 Site Office Oak Ridge, TN 37831-8169		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					
<b>Description of Document</b> (Subject or title and originator)	<b>Identification Number</b>	<b>Date of Document</b>	<b>Classification</b> (Indicate RD, FRD or NSI)	<b>Copy and Series</b>	<b>Number of Pages</b>
Memo Becknell to Hawks Battle FOIA Request (FOIA 07-303-P(K)) Battle FOIA Request (FOIA 07-304-C) Memo Whitney to Becknell Letter Riedy to Gibby with attachments Environmental Assessment--DOE/EA-1496 Letter Jackson to Miller Memo Boyd to Garman with 2 records Memo Brooks to Boyd Memo Brumley to Brooks 2 records Environmental Assessment		8/3/2010 7/19/2007 7/23/2007 6/10/2010 11/12/2004 4/2004 5/14/2004 4/29/2004 5/20/2004 4/30/2004 4/2004	Unclass Unclass Unclass Unclass Unclass Unclass Unclass Unclass Unclass Unclass S/NSI		39
<p><i>I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.</i></p> <p><i>Signature of addressee or name of addressee and signature of recipient.</i> _____ <i>Date</i> _____</p> <p><i>Received for addressee by</i> _____ <i>Date</i> _____</p> <p style="text-align: center;">(to be used only by mail rooms)</p>					

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



August 3, 2010

Memorandum For: Scott Hawks, Classification Officer, Y-12 Site Office

From: Carolyn A. Becknell, Freedom of Information Act Officer, Office of Public Affairs, NNSA Service Center

Subject: Review of Records Responsive to Freedom of Information Act (FOIA) Requests Identified By Control Numbers FOIA 07-303-P(K) and FOIA 07-304-C

Pursuant to 10 CFR, Section 1004.6(c), the attached records are provided for your review for release. The records were provided by NA-24, as being responsive to the above-referenced FOIA requests.

Also attached are copies of the initial requests, dated July 19, 2007 and July 23, 2007, from Joyce Battle, George Washington University, Gelman Library, and transmittal correspondence from NA-24, dated June 10, 2010.

Please provide your release determination back to this office in writing along with copies of the records. This office will send the records to HS-90 for the second and final review and for coordination with other agencies as necessary.

If you have questions, please contact me by telephone at (505) 845-4869 and refer to the FOIA request numbers indicated above.

Attachments

## Becknell, Carolyn

---

**From:** Wyatt, Steven (Q7S) [wyattsl@yso.doe.gov]  
**Sent:** Tuesday, August 03, 2010 12:38 PM  
**To:** Becknell, Carolyn  
**Subject:** RE: Classification Officer at Y-12

Scott Hawks is the person I should work those through. Are they related to Y-12? If so, send those to me through the usual process and I will track accordingly.

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Tuesday, August 03, 2010 12:01 PM  
**To:** Wyatt, Steven (Q7S)  
**Subject:** Classification Officer at Y-12

Hi Steve!

NA-24 has sent several documents we need to send to Y-12 for review for release. Who is the classification officer at Y-12 now?

Also, how do you want to handle this---the documents are responsive to FOIA 07-00303-P(K) and FOIA 07-00304-C. Do you want me to send these documents to the classification officer and let you know when this is done for tracking purposes or do you want me to do something else?

Many thanks.

Carolyn

## Becknell, Carolyn

---

**From:** Wyatt, Steven (Q7S) [wyattsl@yso.doe.gov]  
**Sent:** Tuesday, August 03, 2010 12:42 PM  
**To:** Becknell, Carolyn  
**Cc:** HAWKS, SCOTT A  
**Subject:** RE: Classification Officer at Y-12

No. For that reason they should go to Scott.

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Tuesday, August 03, 2010 2:40 PM  
**To:** Wyatt, Steven (Q7S)  
**Subject:** RE: Classification Officer at Y-12

Thank you. They are classified. They are related to Y-12. You still want them?

-----Original Message-----

**From:** Wyatt, Steven (Q7S) [mailto:wyattsl@yso.doe.gov]  
**Sent:** Tuesday, August 03, 2010 12:38 PM  
**To:** Becknell, Carolyn  
**Subject:** RE: Classification Officer at Y-12

Scott Hawks is the person I should work those through. Are they related to Y-12? If so, send those to me through the usual process and I will track accordingly.

Steven Wyatt  
Public Affairs Manager  
Y-12 Site Office  
National Nuclear Security Administration  
Phone: (865) 576-9918  
Blackberry: (865) 292-3989  
Pager: (877) 821-7966

-----Original Message-----

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Tuesday, August 03, 2010 12:01 PM  
**To:** Wyatt, Steven (Q7S)  
**Subject:** Classification Officer at Y-12

Hi Steve!

NA-24 has sent several documents we need to send to Y-12 for review for release. Who is the classification officer at Y-12 now?

Also, how do you want to handle this---the documents are responsive to FOIA 07-00303-P(K) and FOIA 07-00304-C. Do you want me to send these documents to the classification officer and let you know when this is done for tracking purposes or do you want me to do something else?

Many thanks.

Carolyn



FOIA 07-00303-P(K)

FOIA 07-00304-C

**Becknell, Carolyn**

---

**From:** Becknell, Carolyn  
**Sent:** Tuesday, August 03, 2010 10:01 AM  
**To:** WYATT, STEVEN L  
**Subject:** Classification Officer at Y-12

Hi Steve!

NA-24 has sent several documents we need to send to Y-12 for review for release. Who is the classification officer at Y-12 now?

Also, how do you want to handle this---the documents are responsive to FOIA 07-00303-P(K) and FOIA 07-00304-C. Do you want me to send these documents to the classification officer and let you know when this is done for tracking purposes or do you want me to do something else?

Many thanks.

Carolyn

<div>DOE F 5635.3 (07-90) (Formerly DP-126) All Other Editions are obsolete</div> <div>U. S. DEPARTMENT OF ENERGY CLASSIFIED DOCUMENT RECEIPT</div> <div>No. 2010000541</div>					
<b>TO</b>  CAROLYN BECKNELL NATIONAL NUCLEAR SECURITY ADMINISTRATION SERVICE CENTER P.O. BOX 5400 ALBUQUERQUE, NM 87185-5400			<b>POSTAL NUMBER</b>		
			<b>DATE MAILED</b> 7/28/2010		
			<b>INSTRUCTIONS</b>  1. Verify addressee's classified mailing address 2. Describe document by subject, or title and originator. List secret and accountable Confidential enclosures. Indicate type of document e.g., Ltr., Rpt., or Dwg. 3. Show Classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.		
<b>FROM</b>  DREW NICKELS / FORRESTAL BUILDING U.S. DEPARTMENT OF ENERGY 1000 INDEPENDENCE AVENUE, SW WASHINGTON, DC 20585 FAX BACK NUMBER: (202) 586-1567					
<b>DESCRIPTION OF DOCUMENT</b> (Subject or title and Originator)	<b>IDENTIFICATION NUMBER</b>	<b>DATE OF DOCUMENT</b>	<b>CLASSIFICATION</b> (Indicate whether or not R/D, FRD or NSI)	<b>COPY and SERIES</b>	<b>NUMBER of PAGES</b>
ENVIRONMENTAL ASSESSMENT (U)	NNSA.T.000056086	4/1/2004	S/NSI, NOFORN	1	39
Please sign and fax	NOTHING FOLLOWING this receipt back to the number	THIS LINE provided above	as soon as possible		
<i>I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.</i>					
Signature of addressee or name of addressee and signature of recipient			Date <u>8-7-10</u>		
Received for addressee by <u>James Lacombe</u> (to be used only by mail rooms)			Date <u>8-2-2010</u>		

FOIA 07-303-P (Karen)  
FOIA 07-304-C

**Becknell, Carolyn**

---

**From:** Rothrock, Amy L. [RothrockAL@oro.doe.gov]  
**Sent:** Friday, October 15, 2010 6:14 AM  
**To:** Becknell, Carolyn  
**Subject:** RE: Documents for Review by ORO and ORNL

Yes, please send them - our classification office is expecting them.

-----Original Message-----

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Thursday, October 14, 2010 5:49 PM  
**To:** Rothrock, Amy L.  
**Subject:** FW: Documents for Review by ORO and ORNL

Just want to check with you again about these. Didn't want to just send them to you---let me know.

Carolyn

-----Original Message-----

**From:** Becknell, Carolyn  
**Sent:** Thursday, October 07, 2010 4:24 PM  
**To:** 'Rothrock, Amy L.'  
**Subject:** Documents for Review by ORO and ORNL

Hi Amy

I have several classified documents located by our NA-24 office that are responsive to two 2007 requests. The documents have been reviewed by NA-24 and by Y-12 and both locations state the documents also need to be reviewed by ORO and ORNL. I would like to send these documents to you for that review.

I did not want to send them without letting you know.

Many thanks.

Carolyn

FOIA 07-304-C  
Becknell, Carolyn 07-303-K

---

**From:** Becknell, Carolyn  
**Sent:** Thursday, October 07, 2010 4:24 PM  
**To:** 'Rothrock, Amy L.'  
**Subject:** Documents for Review by ORO and ORNL

Hi Amy

I have several classified documents located by our NA-24 office that are responsive to two 2007 requests. The documents have been reviewed by NA-24 and by Y-12 and both locations state the documents also need to be reviewed by ORO and ORNL. I would like to send these documents to you for that review.

I did not want to send them without letting you know.

Many thanks.

Carolyn

FOIA 07-303-P  
FOIA 07-304-C

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>			OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO</b> Facility Code: 13 National Nuclear Security Administration Service Center ATTN: Mail Center, For: Carolyn Becknell Pennsylvania & "H" Streets Albuquerque, NM 87116				<b>POSTAL NUMBER</b> FedEx 8416 9728 1170		
<b>FROM</b> U.S. Department of Energy National Nuclear Security Administration Y-12 Site Office Attn: Jeff Ellis, Delta Research Associates, Inc. Post Office Box 2050 Oak Ridge, Tennessee 37831-8009				<b>DATE MAILED</b> 9/21/2010		
				<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee.		

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Briefing for the Minister of Science & Technology (U)	N/A	06/21/04	Secret-NSI	1	N/A
Environmental Assessment (U)	N/A	April 04	Secret-NSI	1	N/A
Project Maximus Fonsi (U)	N/A	9/25/03	Secret-NSI	1	N/A
Background Paper: Project Maximus (U)	N/A	4/20/04	Secret-NSI	1	N/A
Updated Advance Notification of Intended Transfers of Nuclear Material (U)	N/A	5/5/04?	Secret-NSI (NoForn)	1	N/A
Project Maximus Presentation (U)	N/A	6/04	Secret-NSI	1	N/A

*I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.*

Signature of addressee or name of addressee and signature of recipient. \_\_\_\_\_ Date \_\_\_\_\_

Received for addressee by James Macoman Date 9-22-2010  
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2. Addressee's Copy

3. Pending Copy



Printed with soy ink on recycled paper



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



August 3, 2010

Memorandum For:

Scott Hawks, Classification Officer, Y-12 Site Office

From:

*Carolyn A. Becknell*  
Carolyn A. Becknell, Freedom of Information Act Officer, Office of Public  
Affairs, NNSA Service Center

Subject:

Review of Records Responsive to Freedom of Information Act (FOIA)  
Requests Identified By Control Numbers FOIA 07-303-P(K) and FOIA 07-  
304-C

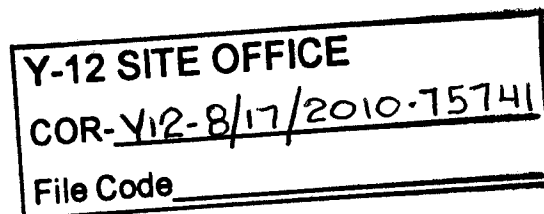
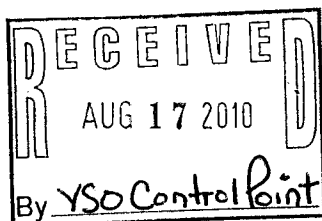
Pursuant to 10 CFR, Section 1004.6(c), the attached records are provided for your review for release. The records were provided by NA-24, as being responsive to the above-referenced FOIA requests.

Also attached are copies of the initial requests, dated July 19, 2007 and July 23, 2007, from Joyce Battle, George Washington University, Gelman Library, and transmittal correspondence from NA-24, dated June 10, 2010.

Please provide your release determination back to this office in writing along with copies of the records. This office will send the records to HS-90 for the second and final review and for coordination with other agencies as necessary.

If you have questions, please contact me by telephone at (505) 845-4869 and refer to the FOIA request numbers indicated above.

Attachments



~~SECRET~~

DOE F 1325.8  
(10/00)

United States Government

Department of Energy

National Nuclear Security Administration

COR-Y12-9/20/2010-26514

# memorandum

DATE: September 15, 2010

REPLY TO

ATTN OF: Y12-01:Wyatt

SUBJECT: **Y-12 SITE OFFICE RESPONSE TO FREEDOM OF INFORMATION ACT REQUEST  
NO. 07-303-P (K) and 07-304-C**

TO: Carolyn Becknell, Freedom of Information Act/Privacy Act Officer, National Nuclear Security  
Administration Service Center, Albuquerque

Attached is the Y-12 Site Office (YSO) response to your request for a classification review of documents associated with Freedom of Information Act Requests No. 07-303-P (K) and 07-304-C. Specific details of the YSO review of the 12 documents provided by NA-24 are included in the attached memo from Mr. Scott A. Hawks, YSO Interim Classification Officer.

I concur with the actions taken by the Y-12 Site Office in response to this request. Please contact me if you have any questions regarding this response. I can be reached at (865) 576-9918.



Steven L. Wyatt  
Public Affairs Manager  
Y-12 Site Office

Attachment:  
As Stated

cc :

T. Slack, Y12-01, YSO  
S. Hawks, Y12-20, YSO

~~Document Transmitted Herewith Contains~~  
~~SECRET NATIONAL SECURITY INFORMATION~~

When separated from attachment,  
handle as UNCLASSIFIED

~~SECRET~~

~~SECRET~~

DOE F 1325.8  
(10/00)

United States Government

Department of Energy

National Nuclear Security Administration

# memorandum

Y-12 SITE OFFICE

COR-Y12-9/14/2010-74329

File Code \_\_\_\_\_

DATE: **SEP 14 2010**

REPLY TO

ATTN OF: Y12-20:Howell

SUBJECT: **FREEDOM OF INFORMATION ACT (FOIA) REQUEST NOS. 07-303-P(K) AND 07-304-C**

TO: Steven L. Wyatt, FOIA Coordinator, Y-12 Site Office, Y12-01

References: (1) Memorandum, Becknell to Hawks, August 3, 2010, "Review of Records Responsive to Freedom of Information Act (FOIA) Requests Identified By Control Numbers FOIA 07-303-P(K) and 07-304-C"

(2) Memorandum, Whitney to Becknell, June 10, 2010, "FOIA Request (07-303-P and 07-304-C)"

In response to reference (1), FOIA Request Nos. 07-303-P(K) and 07-304-C, the 12 documents provided by NA-24 as being responsive have been reviewed for release with the following results. Document #6 "Memo on Indemnification from Y-12 Site Office to Linton Brooks," as so designated in the reference, is provided with no sensitive information identified. The 11 other documents do not involve Y-12 equities; the majority of the documents require review by the DOE Oak Ridge Office (ORO) or the Oak Ridge National Laboratory (ORNL) as their equities are involved. The summary provided by NA-24 in reference (2) is comprehensive and, with two exceptions, captures the entities that should review the documents. The exceptions are: (a) for document #3, ORO should review this document rather than ORNL, and (b) for document #6, the Y-12 Site Office (YSO) should review this document rather than ORO.

Document #6 actually consists of two documents: (a) the transmittal memorandum identified in the reference, and (b) its attachment from Babcock and Wilcox Technical Services Y-12, LLC (B&W Y-12), sent to YSO for transmission to Ambassador Brooks. The transmittal memorandum has been reviewed by the YSO Classification Analyst, Mr. Jerry L. Howell, who is a Derivative Classifier, Derivative Declassifier, and an UCNI Reviewing Official. Based on his review, the transmittal memorandum does not contain any classified, UCNI, Export Control, OUO, or any other sensitive information; the OUO markings on the document may be marked through or removed. The attachment was reviewed by the B&W Y-12 FOIA/Privacy Act, Classification, and Technical Information Offices and also does not contain any classified, UCNI, Export Control, OUO, or any other sensitive information; the OUO markings on the document have been marked through, and a record of the B&W Y-12 reviews is attached with the document.

~~Documents transmitted herewith~~

~~contain SECRET NATIONAL SECURITY INFORMATION~~

When separated from attachments,  
handle as **UNCLASSIFIED**

~~SECRET~~



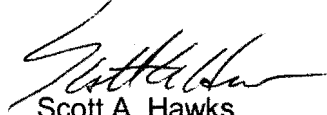
UNCLASSIFIED

Steven L. Wyatt

-2-

September 14, 2010

If you have any questions, please contact me at 241-7209 or Mr. Howell at 241-9457.



Scott A. Hawks  
Interim Classification Officer  
Y-12 Site Office

Attachments:  
As Stated

cc w/o attachments:

W. R. Wilburn, 301 BCR, MS 8014, B&W Y-12

T. L. Slack, Y12-01, YSO

J. L. Howell, Y12-20, YSO

D. R. St. Pierre, Y12-20, YSO

Y-12 SITE OFFICE

COR-Y12-9/14/2010-74329

File Code

UNCLASSIFIED

TO: NATIONAL NUCLEAR SECURITY ADMINISTRATION

SERVICE CENTER

ATTN: MAIL CENTER

P.O. BOX 5400

ALBUQUERQUE, NM 87185-5400

Carolyn Becknell NA-SC

505-845-4869

FROM: US DEPARTMENT OF ENERGY

OAK RIDGE OPERATIONS OFFICE

ATTN: CENTRAL LIBRARY

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OAK RIDGE, TN 37831-8764

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→ 865-241-6389

Postal Number: <u>Reg # RA 018 326 285 US</u>		Date: <u>5/31/11</u>					
Entry	Document No.	Original Copy Number, Series / Title / Author		Document Type	Document Date	Classification	Page Count
1	20110000142	1 of 1		REPORT	09-NOV-10	SNSI	38
CAROLYN BECKNELL						NSI	
CAROLYN BECKNELL/ NNSA ALBUQUERQUE							
FOIA REQUEST FROM BATTLE FOIA 07-303-P(K) AND FOIA 07-034-C(U)							

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**865-241-5983**

I have received the [ 1 ] document(s) listed on this form and assume responsibility for safeguarding in accordance with security regulations.

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Received for Addressee By: James Jackson Date: 6/10/11

U.S. DEPARTMENT OF ENERGY  
**CLASSIFIED DOCUMENT RECEIPT**

<b>TO</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P. O. Box 5400 Albuquerque, NM 87185-5400	<b>POSTAL NUMBER</b> Reg RA 018 326 285 US
	<b>DATE MAILED</b> 5/31/2011
	<b>INSTRUCTIONS</b> <ol style="list-style-type: none"><li>1. Verify addressee's classified mailing address.</li><li>2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required.</li><li>3. Show classification and extra markings.</li><li>4. Forward original and duplicate to addressee.</li><li>5. Retain copy pending return of signed original by addressee.</li></ol>
<b>FROM</b> U. S. Department of Energy Oak Ridge Operations Office Central Library - <i>Debbie Ledbetter</i> P. O. Box 2001 Oak Ridge, TN 37831-8764 <i>865-241-6389</i>	

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Memorandum for Becknell from Sparks		5/27/11	SNSI with attachments	1	1 ✓
Memorandum for Rothrock from Becknell		11/2/10	Unclass	1	1 ✓
FOIA Request from Battle		7/19/07	Unclass	1	1 ✓
FOIA Request from Battle		7/23/07	Unclass	1	1 ✓
Memorandum Whitney to Becknell		6/10/10	Unclass	1	4 ✓
Doc #1 - Letter from Riedy to Gibby with attachments		11/12/04	OUO	1	13 ✓
Doc #2 - DOE EA-1496		4/2004	Unclass	1	14 ✓
Doc #3 - Ltr from Jackson to Barry Miller		5/14/04	Unclass	1	1 ✓
Doc #4 - Memorandum to Garman from Harness		4/29/04	Unclass	1	5 ✓
Doc #5 - Memorandum from Brooks to Boyd		5/20/04	Unclass	1	2 ✓
Doc #7 - 20110000142	20110000142 Continuation of 20110000142	6/21/04	SNSI	1	3 ✓
Doc #8 - Environmental Assess (U)		4/2004	SNSI	1	14 ✓

I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.

Signature of addressee or  
name of addressee and  
signature of recipient.

Date \_\_\_\_\_

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*6-10-11 [Signature]*  
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Date *6-10-2011*

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attached

U.S. DEPARTMENT OF ENERGY  
**CLASSIFIED DOCUMENT RECEIPT**

<b>TO</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P. O. Box 5400 Albuquerque, NM 87185-5400	<b>POSTAL NUMBER</b> Reg RA 018 326 285 US
	<b>DATE MAILED</b> 5/31/2011
	<b>INSTRUCTIONS</b> <ol style="list-style-type: none"><li>1. Verify addressee's classified mailing address.</li><li>2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required.</li><li>3. Show classification and extra markings.</li><li>4. Forward original and duplicate to addressee.</li><li>5. Retain copy pending return of signed original by addressee.</li></ol>
<b>FROM</b> U. S. Department of Energy Oak Ridge Operations Office Central Library P. O. Box 2001 Oak Ridge, TN 37831-8764	

DESCRIPTION OF DOCUMENT (Subject or title and originator)	IDENTIFICATION NUMBER	DATE OF DOCUMENT	CLASSIFICATION (Indicate whether or not RD, FRD or NSI)	COPY and SERIES	NUMBER of PAGES
Doc #9 - Project Maximus FONSI (U) Continuations of 20110000142  Doc #10 - Background Paper (U) Continuation of  Doc #11 - Continuation of  Doc #10 12? - Project Maximus	Cont. 20110000142	9/25/03	SNSI	1	4 ✓
	Cont. 20110000142	4/20/04	SNSI	1	1 ✓
	Cont. 20110000142	6/2004	SNSI	1	6 ✓
	Cont. 20110000142	5/25/11	SNSI	1	10 ✓

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DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> U.S. Department of Energy Oak Ridge Office P.O. Box 2001 Attn: Ms. Amy Rothrock Oak Ridge, TN 37831-8764		<b>POSTAL NUMBER</b> <div style="font-family: cursive; font-size: 1.2em;">RB 440 662 105 715</div>			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400		<b>DATE MAILED</b> <div style="font-family: cursive; font-size: 1.2em;">11-2-2010</div>			
		<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memorandum Becknell to Rothrock		11/2/2010	Unclass		
FOIA Request from Battle		7/19/2007	Unclass		
FOIA Request from Battle		7/23/2007	Unclass		
Memorandum Whitney to Becknell		6/10/2010	Unclass		
Letter from A. W. Riedy to Ms. Lynn Gibby with attachments		11/12/2004	Unclass		
DOE/EA-1496 Environmental Assessment					
Transportation of Nuclear Material from Country to the Oak Ridge National Laboratory and the Portsmouth Gaseous Diffusion Plant		4/2004	Unclass		
Letter from Barbara Jackson to Barry Miller		5/14/2004	Unclass		
Memorandum from Harness through Orbach to Garman		4/29/2004	Unclass		
Memorandum from Linton Brooks to Gerald Boyd and William Murphie		5/20/2004	Unclass		
Briefing for the Minister of Science & Technology		6/21/2004	S/NSI		
Environmental Assessment		4/2004	S/NSI		
Project Maximus Fonsi		9/25/2003	S/NSI		
Background Paper: Project Maximus		4/20/2004	S/NSI		
Updated Advance Notification of Intended		5/5/2004?	S/NSI (NoFo)		
Project Maximus Presentation		6/2004	S/NSI		

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signature of recipient. \_\_\_\_\_

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fax'd by  
  
 Debbie

Date \_\_\_\_\_

Date \_\_\_\_\_

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Department of Energy

Oak Ridge Office  
P.O. Box 2001  
Oak Ridge, Tennessee 37831

May 27, 2011

MEMORANDUM FOR CAROLYN BECKNELL

FREEDOM OF INFORMATION ACT OFFICER, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION SERVICE CENTER, OFFICE OF PUBLIC AFFAIRS, NA-SC,  
P.O. BOX 5400, ALBUQUERQUE, NM 87185

A handwritten signature in cursive script, reading "Larry Sparks", is written over the typed name and title.

FROM: LARRY SPARKS, CLASSIFICATION OFFICER, OAK RIDGE OFFICE

SUBJECT: FREEDOM OF INFORMATION ACT (FOIA) REQUESTS 07-303-P(K) AND 07-304-C

In a letter from you to Amy Rothrock, ORO FOIA Officer, of November 2, 2010, you requested that she review the documents responsive to the subject FOIA requests. Ms Rothrock forwarded the documents to me for review and possible declassification. On May 25, 2011, I provided my determinations on these documents to Ms Rothrock. She has subsequently requested that I forward my determinations to you.

Attached is my memorandum to Ms. Rothrock which details my determinations on each of the documents. The classified documents were determined to contain no ORO/DOE classified information but they all must be coordinated with other Agencies. Several documents are approved for release and one is recommended to stay Official Use Only. This is all detailed in the attached memo. All 11 documents are included with the attachment.

Please feel free to contact me at (865) 576-2659.

Attachment:

Memo dated May 26, 2011,  
from Larry Sparks for Amy Rothrock, ORO FOIA Officer

cc:  
rothrockal@oro.doe.gov

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~~SECRET INFORMATION.~~

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attachment, handle this  
document as UNCLASSIFIED.

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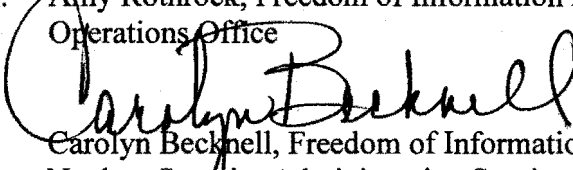


Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



*November 2, 2010*

MEMORANDUM FOR: Amy Rothrock, Freedom of Information Act Officer, Oak Ridge  
Operations Office

FROM:  Carolyn Becknell, Freedom of Information Act Officer, National  
Nuclear Security Administration Service Center, Office of Public  
Affairs

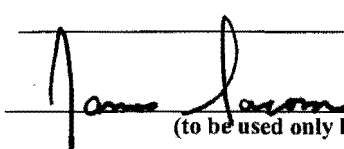
SUBJECT: Documents for Review for Release Related to FOIA Control  
Number FOIA 07-303-P(K) and FOIA 07-304-C

The attached 11 documents are provided for your review for release. NA-24 at Headquarters located the documents in response to two Freedom of Information Act requests from Joyce Battle of the National Security Archive. Copies of the requests are attached.

NA-24 originally provided 12 documents. The Y-12 Site Office reviewed the 12<sup>th</sup> document and provided the release determination on it. NA-24 and Y-12 both recommended Oak Ridge review the 11 documents for release. Also attached is a copy of the memorandum from NA-24.

Please review the 11 documents and provide your release determination in writing to this office. Your assistance with this matter is greatly appreciated.

Attachments

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> Attn: Andrew Weston-Dawkes, HS-60 US Department of Energy P.O. Box A  Germantown, MD 20875-0963		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					
<b>Description of Document</b> (Subject or title and originator)	<b>Identification Number</b>	<b>Date of Document</b>	<b>Classification</b> (Indicate RD, FRD or NSI)	<b>Copy and Series</b>	<b>Number of Pages</b>
Memo from Becknell to Weston-Dawkes FOIA Request from Joyce Battle FOIA Request from Joyce Battle Memo from NA-24 Memo from Oak Ridge with attachment of Memo from Larry Spark Document 1--Ltr from Riedy to Gibby Document 2--Environmental Assessment Document 3--Ltr from Jackson to Miller Document 4--Memo from Boyd to Garman Document 5--Memo from Brooks to Boyd/Murphi Document 6--TWX Document 7--Environmental Assessment Document 8--Project Maximus FONSI Document 9--Project Maximus Document 10--TWX Document 11--Slides		6/29/2011 7/19/2007 7/23/2007 6/10/2010 5/27/2011 5/26/2011 11/12/2004 4/2004 5/14/2004 4/29/2004 5/20/2004 6/21/04 4/2004 4/2004 4/20/2004 5/5/2004 6/2004	UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS UNCLASS S/NSI S/NSI S/NSI SNSI SNSI NOFORN SNSI		
I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.					
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Received for addressee by  _____ Date <u>6-29-2011</u> (to be used only by mail rooms)					

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FOIA 07-304-C

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> Attn: Andrew Weston-Dawkes, HS-60 US Department of Energy P.O. Box A  Germantown, MD 20875-0963		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400					

Description of Document (Subject or title and originator)	Identification Number	Date of Document	Classification (Indicate RD, FRD or NSI)	Copy and Series	Number of Pages
Memo from Becknell to Weston-Dawkes		6/29/2011	UNCLASS		
FOIA Request from Joyce Battle		7/19/2007	UNCLASS		
FOIA Request from Joyce Battle		7/23/2007	UNCLASS		
Memo from NA-24		6/10/2010	UNCLASS		
Memo from Oak Ridge		5/27/2011	UNCLASS		
with attachment of Memo from Larry Spark		5/26/2011	UNCLASS		
Document 1--Ltr from Riedy to Gibby		11/12/2004	UNCLASS		
Document 2--Environmental Assessment		4/2004	UNCLASS		
Document 3--Ltr from Jackson to Miller		5/14/2004	UNCLASS		
Document 4--Memo from Boyd to Garman		4/29/2004	UNCLASS		
Document 5--Memo from Brooks to Boyd/Murphi		5/20/2004	UNCLASS		
Document 6--TWX		6/21/04	S/NSI		
Document 7--Environmental Assessment		4/2004	S/NSI		
Document 8--Project Maximus FONSI		4/2004	S/NSI		
Document 9--Project Maximus		4/20/2004	SNSI		
Document 10--TWX		5/5/2004	SNSI NOFORN		
Document 11--Slides		6/2004	SNSI		

*I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.*

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**Becknell, Carolyn**

---

**From:** Nickels, Drew T [Drew.Nickels@nnsa.doe.gov]  
**Sent:** Monday, January 04, 2010 12:27 PM  
**To:** Becknell, Carolyn  
**Subject:** RE: Question on FOIA 07-304-C (IDRMS 07-03234): Iraq

Ah very good. Let me get back to my contact. I think he has a few ideas such as final reports available, which really would sum up all the thousands of documents.... i'll get back to you asap

---

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Monday, January 04, 2010 2:24 PM  
**To:** Nickels, Drew T  
**Subject:** FW: Question on FOIA 07-304-C (IDRMS 07-03234): Iraq

Also, it would be helpful to have a realistic picture of what is responsive to the request as written and then address the suggestions. This way I can hopefully get the requester to tell us what she is really looking for.

---

**From:** Becknell, Carolyn  
**Sent:** Monday, January 04, 2010 12:22 PM  
**To:** Nickels, Drew (HQ)  
**Subject:** RE: Question on FOIA 07-304-C (IDRMS 07-03234): Iraq

Yes. We can go back to the requester of course. It would be helpful to me to have suggestions for my contact with the requester. Your help is greatly appreciated.

---

**From:** Nickels, Drew T [mailto:Drew.Nickels@nnsa.doe.gov]  
**Sent:** Monday, January 04, 2010 12:17 PM  
**To:** Becknell, Carolyn  
**Cc:** Mcfadden, Anna; Dougherty, Charles W (HQ)  
**Subject:** Question on FOIA 07-304-C (IDRMS 07-03234): Iraq

Greetings Ms. Becknell ,

I'm picking up this FOIA case for NA-24. Long story short, there are thousands of documents that are related to this request. I have contacted the subject matter expert at ORNL to begin discussions on this. Before I go any further, are there canned responses or procedures for dealing with requests that are too broad? We can suggest alternatives such as the final report if they wish?

thanks  
Drew

Drew Nickels  
Office of Nonproliferation and International Security  
National Nuclear Security Administration  
U.S. Department of Energy  
202-586-3558

1/4/2010



## Becknell, Carolyn

---

**From:** Becknell, Carolyn  
**Sent:** Monday, January 04, 2010 12:24 PM  
**To:** Nickels, Drew (HQ)  
**Subject:** FW: Question on FOIA 07-304-C (IDRMS 07-03234): Iraq

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thanks  
Drew

Drew Nickels  
Office of Nonproliferation and International Security  
National Nuclear Security Administration  
U.S. Department of Energy  
202-586-3558

## Becknell, Carolyn

---

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**To:** Becknell, Carolyn  
**Subject:** RE: Question on FOIA 07-304-C (IDRMS 07-03234): Iraq

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**To:** Becknell, Carolyn  
**Cc:** Mcfadden, Anna; Dougherty, Charles W (HQ)  
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thanks  
Drew

Drew Nickels  
Office of Nonproliferation and International Security  
National Nuclear Security Administration  
U.S. Department of Energy  
202-586-3558

## Becknell, Carolyn

---

**From:** Dougherty, Charles [Charles.Dougherty@nnsa.doe.gov]  
**Sent:** Friday, August 28, 2009 8:57 AM  
**To:** Becknell, Carolyn  
**Subject:** RE: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

Thank you.

---

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Friday, August 28, 2009 10:56 AM  
**To:** Dougherty, Charles  
**Subject:** RE: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

Hi Chuck!

Thanks for the e-mail. The requester for fee purposes would only be charged for duplication and the first 100 pages would be free. I see it that we need to pull responsive records to include any classified and have a subject matter expert (SME) review them for release. If the SME has concerns, contact HQ NNSA legal for advice. The classified will have to have the first review where the sensitive information is bracketed. Those documents with the bracketing and explanation as to the classification guides used explained in a memorandum to me. I will send the classified to HS-90 for the second and final review for release.

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Carolyn

---

**From:** Dougherty, Charles [mailto:Charles.Dougherty@nnsa.doe.gov]  
**Sent:** Friday, August 28, 2009 8:05 AM  
**To:** Becknell, Carolyn  
**Cc:** Mcfadden, Anna; Hodge, Gail W (HQ); Goorevich, Richard (HQ); Mendelsohn, Catherine R (HQ); Waleisky, Alex W (HQ)  
**Subject:** FW: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

Carolyn, Please see the e-mail directly below from Maegon Barlow. What I am asking you here is, is this how you want us to proceed. Declassification, and considerable more review is going to cost. Will the person who made this request be willing to pay. I do not have the original request, since I was not involved in this at that time. I believe from the IDRM records that Gail holds that the original request should be down in NA-24.

Anyway, let me know what you think.

Chuck

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**From:** Barlow, Maegon  
**Sent:** Friday, August 28, 2009 9:28 AM  
**To:** Mcfadden, Anna; Dougherty, Charles  
**Cc:** Mendelsohn, Kasia; Goorevich, Richard  
**Subject:** RE: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

8/28/2009

Anna,

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Thanks,  
Maegon

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**From:** Mcfadden, Anna  
**Sent:** Friday, August 28, 2009 8:56 AM  
**To:** Barlow, Maegon; Dougherty, Charles  
**Subject:** RE: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

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Thanks!

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Anna,

I am back in the office today.

M

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**From:** Mcfadden, Anna  
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**To:** Dougherty, Charles  
**Cc:** Barlow, Maegon  
**Subject:** FW: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

Thanks for your response, Maegon.

8/28/2009

Chuck, per Maegon's email below, would you like to set up a telecon or just wait until she returns next week to work this request?

Anna

---

**From:** Barlow, Maegon  
**Sent:** Wednesday, August 26, 2009 6:06 PM  
**To:** Mcfadden, Anna  
**Subject:** Re: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

Hi Anna,

I have lots of old emails and files on Maxiumus on SIPR. I would have to look again at the FOIA request to see what exactly they want. If I remember correctly it was quite broad. Documents would have to be declassified prior to release. I am in Vienna for work this week but will be back next week. Do you want to set up a telecon to discuss what is needed?

M

---

**From:** Mcfadden, Anna  
**To:** Barlow, Maegon  
**Sent:** Wed Aug 26 15:24:43 2009  
**Subject:** FW: FOIA Request FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582

Hi Maegon,

I will be working with Chuck Dougherty on NA-20 FOIA requests and wondered if you had any updated information regarding the chain of emails below. I am new to all of this, so please forgive me if I'm not fully aware of the details at this time.

Anna McFadden

---

**From:** Sellen, Joanna  
**Sent:** Wednesday, July 29, 2009 11:17 AM  
**To:** Hodge, Gail; Barlow, Maegon  
**Cc:** Goorevich, Richard; Dougherty, Charles; Zubarev, Jill  
**Subject:** RE: FOIA Requests

Gail,

Thank you for the explanation. I should have read the whole email string.

I have files that Maegon gave me from that time period that I can pull. I can not pull her email and SIPR. I will leave that for Maegon.

I believe that a subject matter expert needs to review the material to determine if they can be released, correct? That would not be me since I did not work on the actual program. Maybe we can talk about finding a SME at ORNL that was actually involved during that time period to review the documents for release. Please advise.

Jo Anna

8/28/2009



Jo Anna Sellen  
Office of International Regimes and Agreements  
202-586-2158 office  
301-221-9382 cell

---

**From:** Hodge, Gail  
**Sent:** Wednesday, July 29, 2009 10:55 AM  
**To:** Sellen, Joanna; Barlow, Maegon  
**Cc:** Goorevich, Richard; Dougherty, Charles  
**Subject:** RE: FOIA Requests

If you look at the first email on this string of emails it is what Steve sent to the FOIA office at that time. Unfortunately, they did not do anything at that time and now the new FOIA office will not accept the answer. Chuck Dougherty tried sending the new FOIA office Steve Black's email to close out the action. Carolyn Becknell, FOIA ALBQ, says that "If there are responsive records they will have to be pulled, reviewed, a release determination made, and provided."

If either of you would make sure there are no responsive records and send Chuck or I an email we can forward to Carolyn to close this action.

Thank you,

Gail  
6-3487

---

**From:** Sellen, Joanna  
**Sent:** Tuesday, July 28, 2009 4:52 PM  
**To:** Hodge, Gail; Barlow, Maegon  
**Cc:** Goorevich, Richard  
**Subject:** Re: FOIA Requests

Gail,  
A letter was sent in 2008. It was transmitted to Steve on SIPR in 2008.  
I suggest that be transmitted again to the FOIA office.

Jo Anna

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**From:** Hodge, Gail  
**To:** Sellen, Joanna; Barlow, Maegon  
**Cc:** Dougherty, Charles; Hodge, Gail  
**Sent:** Tue Jul 28 15:01:13 2009  
**Subject:** FW: FOIA Requests

Ladies,

Please see string of emails and attachment re a FOIA request. You both apparently worked with Steve Black in January of 2008 on this and he forwarded a response to Dick Speidel. Unfortunately, it never got closed and FOIA's are handled out of Albuquerque now. This action is still open.

See email below from Chuck Dougherty:

8/28/2009

**"This material needs to be pulled and sent to GC for a review regarding exemptions and sensitivity, e.g. do parts have to be redacted. "**

Please send response to Chuck Dougherty and myself so that we can forward to Albuquerque and have action closed.

Thank you,

Gail Hodge  
6-3487

---

**From:** Dougherty, Charles  
**Sent:** Wednesday, July 22, 2009 5:07 PM  
**To:** Hodge, Gail  
**Subject:** FW: FOIA Requests

*Gail, this is what I was going to send to a program when you found out from Steve where to send it. Could you forward this when you find out.*

**This material needs to be pulled and sent to GC for a review regarding exemptions and sensitivity, e.g. do parts have to be redacted.**

Chuck

**From:** Becknell, Carolyn [mailto:cbecknell@doeal.gov]  
**Sent:** Tuesday, July 21, 2009 4:33 PM  
**To:** Dougherty, Charles  
**Subject:** RE: FOIA Requests

I pulled the file. There is nothing in the file other than an activity log that shows this request was assigned to NA-20. Nothing like this is there. If there are responsive records they will have to be pulled, reviewed, a release determination made, and provided.

Thanks for all of your help.

Carolyn

---

**From:** Dougherty, Charles [mailto:Charles.Dougherty@nnsa.doe.gov]  
**Sent:** Tuesday, July 21, 2009 2:03 PM  
**To:** Becknell, Carolyn  
**Cc:** Hodge, Gail W (HQ); Waleisky, Alex W (HQ)  
**Subject:** FW: FOIA Requests

Carolyn, this predates when I was working FOIA's. As I understand it Speidel would receive recommendations and take action but not report back to us (NA-20). So..... I assume you have Dick's FOIA records. Could you go in and see if he, Dick Speidel responded to Steve's January 25, 2008, e-mail below.

Chuck

---

8/28/2009

**From:** Hodge, Gail  
**Sent:** Tuesday, July 21, 2009 10:13 AM  
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FOIA 07-304-C IDRMS #2007-03234 Was controlled as FOIA 2007-000582. Letter from Joyce Battle, dated 7/23/2007.

Please see email below from Steve Black to Dick Speidel on 1/25/2008, proposing a response.

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**From:** Black, Steven (HQ)  
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Dick,  
This was really directed to you, not Gail. Do you have any thoughts about this? Thanks. skb

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**From:** Black, Steven (HQ)  
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Gail / Dick,

We currently have two outstanding FOIA requests covered by IDRMS 2007-03234 (FOIA 2007-000582) and IDRMS 2007-03235 (FOIA 2007-000578). I propose that we send the following response for both.

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Any thoughts on this?

8/28/2009

**Becknell, Carolyn**

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8/28/2009

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Jo Anna Sellen

Office of International Regimes and Agreements

202-586-2158 office

301-221-9382 cell

8/28/2009

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6-3487

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8/28/2009



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8/28/2009

**Becknell, Carolyn**

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Any thoughts on this?

7/21/2009

## Becknell, Carolyn

---

**From:** Becknell, Carolyn  
**Sent:** Tuesday, January 20, 2009 12:47 PM  
**To:** Raber, Harriet J (HQ)  
**Subject:** Open FOIA Requests at NA-20

Hi Jessica!

I would like to follow up with you about open FOIA requests at NA-20. Would you check on the status please of the following and let me know.

F2006-000028

F2007-00582 - 07-304-C

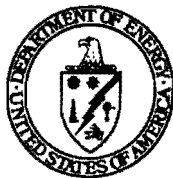
F2006-00639

F2006-00055

F2008-00062

I appreciate your help.

Carolyn Becknell



**Department of Energy**  
Washington, DC 20585

July 24, 2007

Ms. Joyce Battle  
The National Security Archive  
George Washington University  
Gelman Library, Suite 701  
2130 H Street, NW  
Washington, DC 20037

Re: FOIA-2007-000582

Dear Ms. Battle:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for all documents from April 1, 2003 to December 31, 2004 that pertain to monitoring and securing sites associated with Iraq's conventional and non-conventional weapons programs.

The request has been assigned to the National Nuclear Security Administration (NNSA) DOE Headquarters to conduct a search of its files for any documents responsive to this request. Upon completion of the search and the review of any documents found to be responsive, a response will be provided to you.

In your letter, you agreed to pay \$100.00 for duplication fees associated with the processing of the request. You asked to be notified in advance if fees are expected to exceed that amount.

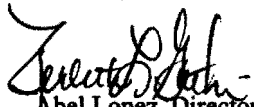
For purposes of assessment of any fees, you have been categorized under the DOE regulation at Title 10, Code of Federal Regulations, Section 1004.9(b)(3), as a "news media" requester. In this category, you will be charged duplication fees only and will be provided 100 pages at no cost.

If you have any questions about the processing of the request, please contact Ms. Linda Jones in the NNSA, Department of Energy, 1000 Independence Avenue, SW, Washington, DC 20585. She also may be reached at (202) 586-3633.

The above referenced number has been assigned to the request and you should refer to it in correspondence with the DOE about this matter.

I appreciate the opportunity to assist you with this matter. If you have any questions about this correspondence, please contact Ms. Althea T. Vanzego of my staff on (202) 586-4261.

Sincerely,

  
Abel Lopez, Director  
for FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper

# Memorandum

**Date:** July 24, 2007

**Reply to**  
**Attn of:** MA-74

**Subject:** FOIA request of Joyce Battle, FOIA-2007-000582

**To:** Linda Jones  
National Nuclear Security Administration, NA-66

The attached Freedom of Information Act (FOIA) request from Joyce Battle is being sent to you for action as responsive records appear to be within your organization. If your organization does not have jurisdiction over the responsive records, please inform me to whom you are forwarding the request.

PLEASE ENSURE THAT THE DOCUMENTS YOU LOCATE ARE SPECIFICALLY RESPONSIVE TO THIS REQUEST, PARTICULARLY IF THEY ARE CLASSIFIED.

If other divisions, offices or field organizations also have relevant records, you are responsible for requesting their participation and for coordinating the response. It is important that an appropriate response be forwarded to the requester within 20 working days as failure to respond can be deemed a denial.

On the reverse side of this memorandum, a "Reminder of Procedures for Handling FOIA Requests" should assist your staff. If you have any questions, please contact me at (202) 586-4261.

Thank you for your cooperation and assistance.

Althea T. Vanzego  
Information Access Specialist  
FOIA and Privacy Act Division  
Office of the Executive Secretariat

Attachment: requester letter

**Becknell, Carolyn**

---

**From:** Becknell, Carolyn  
**Sent:** Friday, September 19, 2008 1:07 PM  
**To:** 'Raber, Jessica'  
**Subject:** FOIA 07-304-C (FOIA 2007-000582)  
**Attachments:** FOIA 07-304-C (FOIA 2007-000582).pdf

Hi Jessica!

Here is another request I believe is open at NA-20 from the documentation we received from Linda Jones. Please check on this one and provide a status.

Many thanks.

Carolyn Becknell

9/19/2008

ACTIVITY LOG

DOE Control Number F2007-00582 Requester's Name: Joyce Battle

IDRMS Control Number 2007-03234

Request Received: 8/1/07 Twenty Days: \_\_\_\_\_

Locations where request sent: NA-20 for assignment and response.



# Memorandum

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**Attn of:** MA-74

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Information Access Specialist  
FOIA and Privacy Act Division  
Office of the Executive Secretariat

Attachment: requester letter

2007-03234

## REMINDER OF PROCEDURES FOR HANDLING FOIA REQUESTS

It is essential that principal staff be aware of the possible consequences of failure to comply with the FOIA. If the court orders the production of records improperly withheld from the requester, it may assess "reasonable attorney fees and other litigating costs." The court may also find that the circumstances surrounding the withholding raises questions as to whether the Authorizing Official who denied the records did so "arbitrarily or capriciously" (including properly classifying them).

In the event of such a finding, the Office of Special Counsel shall promptly initiate proceedings to determine whether disciplinary action is warranted against the officer or employee who was primarily responsible for the withholding. The Department of Energy shall take the corrective actions that the Office of Special Counsel recommends. (See 5 USC 552 (a)(4)(F)).

The following are reminders of some of the procedures for handling requests but are not intended to be substituted for, or in any way to augment, the regulations detailed in 10 CFR 1004, "Freedom of Information."

1. You have the responsibility to acknowledge the request and to begin the 20 working-day response period. If the request is unclear or too broad, immediately call the requester to clarify or redefine the request.
2. If it appears that you cannot meet the 20 working-day requirement because of some unusual circumstances, consult the Office of General Counsel and, if appropriate, contact the requester to see if he will agree to an extension of time. The agreement must be confirmed in writing with a copy to the FOIA Officer. Failing an agreement, the Authorizing Official can take up to 10 additional days. The extension must be confirmed in writing with a copy to the FOIA Officer.
3. If you are denying records, in part or in whole, the Office of General Counsel must be consulted before a response is transmitted to the requester. **All "no records and denials" responses must include appeal rights.**
4. You must consult the Office of Classification concerning records containing classified information issued by DOE or received from another agency or foreign government.
5. You must consult with other federal agencies, foreign governments or private organizations before releasing information given by them as "privileged or confidential."
6. Unless the requester has agreed to pay search and duplicating charges in his request, the Authorizing Official will obtain estimated charges and notify the requester within the 20 day period. However, no charge will be made if the total cost is \$15.00 or less.
7. The FOIA Officer may, if he determines it is in the public interest, waive all or part of the charges.
8. Records will not be sent to a requester unless fees have been waived, or the requester has made full payment of any delinquent fees. (See 10 CFR 1004.9(b)(8)(ii)).
9. Checks and money orders covering charges shall be sent to the DOE, Cash Management Branch, P.O. Box 500, Germantown MD 20874-0500.

10. All correspondence, except letters providing the requested material, shall be sent certified mail, return receipt requested. A copy of all correspondence, including enclosures, shall be sent to the appropriate FOIA officer.

11. FOIA requests received directly by a Program Office shall immediately be sent to the appropriate FOIA Officer.

12. Notice of final closure shall be sent to the headquarters FOIA office immediately.

**(PLEASE PLACE THE FOIA CASE NUMBER ON ALL CORRESPONDENCE)**



**Department of Energy**  
Washington, DC 20585

July 24, 2007

Ms. Joyce Battle  
The National Security Archive  
George Washington University  
Gelman Library, Suite 701  
2130 H Street, NW  
Washington, DC 20037

Re: FOIA-2007-000582

Dear Ms. Battle:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for all documents from April 1, 2003 to December 31, 2004 that pertain to monitoring and securing sites associated with Iraq's conventional and non-conventional weapons programs.

The request has been assigned to the National Nuclear Security Administration (NNSA) DOE Headquarters to conduct a search of its files for any documents responsive to this request. Upon completion of the search and the review of any documents found to be responsive, a response will be provided to you.

In your letter, you agreed to pay \$100.00 for duplication fees associated with the processing of the request. You asked to be notified in advance if fees are expected to exceed that amount.

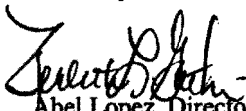
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Sincerely,

  
Abel Lopez, Director  
FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper

# FOIA Tracking

Last Name: Battle First Name: Joyce MI: Org. Code: O  
FOIA No: 07 304 Status: I

## Requester Information

Previous Requesters:

Home:

Business Name: The George Washington University

Work: 202-994-7000

Address 1: Gelman Library, Suite 701

Cell:

Address 2: 2130 H Street, N.W.

E-Mail:

Address 3:

Fax: 202-994-7005

City: Washington

State: DC

Country:

Zip: 20037

Assigned: BECKNELL 3

PA: ☐

Date Requested: 7/23/2007

Date Received: 10/24/2007

Receipt Of Request: H

HQ No: FOIA 2007-000582

Type of Info Requested: OTH

Fee Waiver:

Number of Pages:

Lead Office: ☐  
Expedite Processing: ☐

### Description of Request:

Requesting all documents including but not limited to briefing materials, emails, memos, presentations, reports, and slides from April 1, 2003 to December 31, 2004 concerned with monitoring and/ or securing sites associated with Iraq's conventional and non-conventional weapons programs biological, chemical, nuclear, missile, explosives, small arms, munitions and ordnance), including but not limited to facilities at al-QuWua, Tuwaitha, al-Badr, al Kindi, al Nida, and Salah al-Din.

### Applicable No(s):

NA-20 SENT?

Status: 10/24/07 provided to Debbie for file folder.

10/29/07 Received file folder from Debbie

### Facility:

Facility	Requested	Received
FOI-File/Ack	10/24/2007	10/29/2007
HQ NNSA	10/29/2007	

Other Authority For Completion:

Totally Granted ☐  
Partially Granted ☐

### Processing Track

Complex: ☒

FOIA No: 07 304      Last Name: Battle      First Name: Joyce      MI:      Org. Code: 0      Status: 1

Other Authority for Completion

Withdrawn 1 <input type="checkbox"/>	Referred To Another Agency 5 <input type="checkbox"/>	Unknown A <input type="checkbox"/>
Not Reasonably Described 2 <input type="checkbox"/>	Not Willing To Pay Fees 6 <input type="checkbox"/>	Unknown F <input type="checkbox"/>
Nonconforming FOIA Request 3 <input type="checkbox"/>	Other 7 <input type="checkbox"/>	Unknown n <input type="checkbox"/>
Document Not In Existence 4 <input type="checkbox"/>	Duplicate request 8 <input type="checkbox"/>	Unknown O <input type="checkbox"/>
		Unknown R <input type="checkbox"/>

Old Exemption Code

Exemptions

1	2	3	4	5	6	7A	7B	7C	7D	8	9	Glomar
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Statutes

- ☐ 01-§2161-2166: Atomic Energy Act; SUBCHAPTER XI - CONTROL OF INFORMATION
- ☐ 02-§2168: UCNI: Atomic Energy Act: Dissemination of unclassified information
- ☐ 03-§3262-3266: Title 22, Chapter 47 §3262-3266 Nuclear Non-Proliferation, Aid to Developing Countries
- ☐ 04-PIA: Procurement Integrity Act; 41 U.S.C. §423
- ☐ 05-PL 104-201: National Defense Authorization Act for Fiscal Year 1997
- ☐ 06-Other Statutes: Other Exemptions used for previous years

System of Records

OPM-1	1	5	33	35	38	43	83
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Denying  
Official:

First

MI

Last

Partial Or  
Total  
Denial:

Final Response Date:

Collectible:

\$0.00

Cost:

\$0.00

Fee  
Charged:

\$0.00

Fee  
Paid:

\$0.00

Date Paid:

Additional  
Comments:

	Last Name	First Name	MI	Org. Code:	O
FOIA No: 07 304	Battle	Joyce		Status:	I

OHA Case No:

**Records Retention Information:**

Reason:

Year for Destruction:

Authorization Number:

Date Destroyed:

Comments:

Authorized By:

ACTIVITY LOG

DOE Control Number F2007-00582 Requester's Name: Joyce Battle

IDRMS Control Number 2007-03234

Request Received: 8/1/07 Twenty Days: \_\_\_\_\_

Locations where request sent: NA-20 for assignment and  
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Information Access Specialist  
FOIA and Privacy Act Division  
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Attachment: requester letter

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Washington, DC 20585

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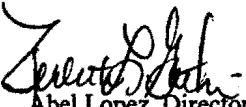
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Office of the Executive Secretariat



Printed with soy ink on recycled paper

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse		
<b>TO:</b> National Nuclear Security Administration Y-12 National Security Complex Records Department, JCC 301 BCR ATTN: Scott Hawks, Classification Officer, Y-12 Site Office Oak Ridge, TN 37831-8169		<b>POSTAL NUMBER</b> <div style="font-size: 1.2em; font-family: cursive;">RB 440 661 351 US</div>				
<b>FROM:</b> National Nuclear Security Administration SC Mail Room - Carolyn Becknell P.O. Box 5400 Albuquerque, NM 87185-5400		<b>DATE MAILED</b> <div style="font-size: 1.2em; font-family: cursive;">8-5-2010</div>				
		<b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. ⑥ Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.				
<b>Description of Document</b> (Subject or title and originator)		<b>Identification Number</b>	<b>Date of Document</b>	<b>Classification</b> (Indicate RD, FRD or NSI)	<b>Copy and Series</b>	<b>Number of Pages</b>
Memo Becknell to Hawks Battle FOIA Request (FOIA 07-303-P(K)) Battle FOIA Request (FOIA 07-304-C) Memo Whitney to Becknell Letter Riedy to Gibby with attachments Environmental Assessment--DOE/EA-1496 Letter Jackson to Miller Memo Boyd to Garman with 2 records Memo Brooks to Boyd Memo Brumley to Brooks 2 records Environmental Assessment ( 7A )			8/3/2010 7/19/2007 7/23/2007 6/10/2010 11/12/2004 4/2004 5/14/2004 4/29/2004 5/20/2004 4/30/2004 4/2004	Unclass Unclass Unclass Unclass Unclass Unclass Unclass Unclass Unclass Unclass S/NSI		39
Please Fax TO: 505.845.5968 James Sacoman USDOE SC or Mail TO: PO Box 5400 NNSA Mailroom Bldg. 20390 Albuquerque, NM 87185						
<i>I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.</i>						
<b>Signature of addressee or name of addressee and signature of recipient.</b> <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="flex-grow: 1;"> <div style="font-size: 1.2em; font-family: cursive; margin-bottom: 5px;">Paige Moore</div> <hr style="border: 0; border-top: 1px solid black;"/> </div> <div style="text-align: right;"> <b>Date</b> <u>8/16/2010</u> </div> </div>						
<b>Received for addressee by</b> _____ <b>Date</b> _____ <div style="text-align: center; font-size: 0.8em;">(to be used only by mail rooms)</div>						

1. Return to Sender

2. Addressee's Copy

3. Pending Copy

DOE F 5635.3 (09-95) 05-94 editions may be used		<b>U.S. DEPARTMENT OF ENERGY</b> <b>CLASSIFIED DOCUMENT RECEIPT</b>		OMB Control No. 1910-1800 OMB Burden Disclosure Statement on Reverse	
<b>TO:</b> National Nuclear Security Administration Y-12 National Security Complex Records Department, JCC 301 BCR ATTN: Scott Hawks, Classification Officer, Y-12 Site Office Oak Ridge, TN 37831-8169		<b>POSTAL NUMBER</b>  <b>DATE MAILED</b>  <b>INSTRUCTIONS</b> 1. Verify addressee's classified mailing address. 2. Describe document by subject or title and originator. See DOE 5635.1A for when this form is required. 3. Show classification and extra markings. 4. Forward original and duplicate to addressee. 5. Retain copy pending return of signed original by addressee. 6. Fax a copy of signed receipt to (505) 845-5968 or return signed receipt within 72 hours.			
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<p><i>I have received the document(s) listed above and assume responsibility for safeguarding in accordance with security regulations.</i></p> <p> <i>Signature of addressee or name of addressee and signature of recipient.</i> _____ <i>Date</i> _____         </p> <p> <i>Received for addressee by</i> _____ <i>Date</i> _____          (to be used only by mail rooms)       </p>					

1. Return to Sender

2. Addressee's Copy

3. Pending Copy



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



August 3, 2010

Memorandum For: Scott Hawks, Classification Officer, Y-12 Site Office

From: Carolyn A. Becknell, Freedom of Information Act Officer, Office of Public Affairs, NNSA Service Center

Subject: Review of Records Responsive to Freedom of Information Act (FOIA) Requests Identified By Control Numbers FOIA 07-303-P(K) and FOIA 07-304-C

Pursuant to 10 CFR, Section 1004.6(c), the attached records are provided for your review for release. The records were provided by NA-24, as being responsive to the above-referenced FOIA requests.

Also attached are copies of the initial requests, dated July 19, 2007 and July 23, 2007, from Joyce Battle, George Washington University, Gelman Library, and transmittal correspondence from NA-24, dated June 10, 2010.

Please provide your release determination back to this office in writing along with copies of the records. This office will send the records to HS-90 for the second and final review and for coordination with other agencies as necessary.

If you have questions, please contact me by telephone at (505) 845-4869 and refer to the FOIA request numbers indicated above.

Attachments

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Wednesday, January 06, 2010 10:28 AM  
**To:** 'Mcfadden, Anna'  
**Subject:** FW: FOIA 08-301-P  
**Attachments:** 07-301Preq.pdf; 07-303Preq.pdf; 08-301Preq.pdf

Hi Anna:

In my earlier message, I should have referred to 07-301P and 07-303P, not 08-301P and 08-303P. Sorry about the confusion.

**On 07-301P:**

There has been previous correspondence regarding this request, but all the correspondence dealt with only Item 1 of the request. The reason it remains open with NA-20 is that there are 3 remaining items to be addressed (Items 2,3,4). The action remains with your organization to search for the records requested.

The preferred way of closing these requests, is to address each of the items individually in your response to our office. Rather than sending partial emails, it would be best to save your final responses to our office until you have all of the records for all of the items requested. For example:

Item 1: per email from Gail Hodge on 12/8/08 - Gail Hodge indicates this item should be referred to the FOIA office. NA-20 has no responsive records.

Item 2: -----

Item 3: -----

Item 4:-----

The same process is true for 07-303P

Please reference Alex Waleisky's email me to dated 2/17/09 which tells me that the string of emails close out this request.

There is absolutely NO documentation in any of those emails that indicates this request should be closed. On 1/25/08 Steve Black sent an email to Gail Hodge with a cc to Speidel giving some "verbiage," then closing with "any thoughts on this?" Subsequent email traffic never gives me any indication of the results of any search for responsive records. If by chance, I have missed NA-20's final response email or memo, please let me know, and forward it to me.

Thanks for all your efforts. Together, we'll be able to peg away at these things until we can get them all resolved. I appreciate your efforts and Chuck's efforts.

Hope you are having a great new year.

Regards,  
Shirley

-----Original Message-----

From: Peterson, Shirley  
Sent: Tuesday, January 05, 2010 2:47 PM  
To: 'Mcfadden, Anna'  
Subject: RE: FOIA 08-301-P

Hi Anna:

1/6/2010



Sorry for the delay. Attached is the original request for 08-301P.

I'm also attaching both 08-301P and 08-303P. I'm showing both of them still open with NA-20.

If this is not correct, please let me know. Apparently, some of the previous email traffic was sent to Carolyn Becknell.

So, if we can work together on closing these three, that would be a good thing for both of us. My apologies if I have missed, or overlooked, a final response from your office on any of them.

Thanks so much,  
Shirley

-----Original Message-----

From: Mcfadden, Anna [<mailto:Anna.Mcfadden@nnsa.doe.gov>]

Sent: Tuesday, January 05, 2010 7:54 AM

To: Peterson, Shirley

Cc: Dougherty, Charles W (HQ)

Subject: FOIA 08-301-P

Hi Shirley,

I am updating my records, and we seem to have a conflict with FOIA 08-301-P. My notes say that it was referred to PI, but I wanted to double check on that. It appears that the Service Center may still have it on the NA-20 books. Do you have a copy of the original request?

Thanks!  
Anna McFadden  
202.287.5641

1/6/2010

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Thursday, October 29, 2009 2:37 PM  
**To:** Waleisky, Alex W (HQ)  
**Subject:** RE: Whatever happened with this request?

Hi Alex:

For the life of me, I see nothing in the emails below that would be justification for closing this request. Perhaps I missed some of the email traffic. If so, could you please forward me the emails referenced in Gail Hodge's email as being sent to Carolyn Becknell.

thanks,  
Shirley

---

**From:** Waleisky, Alex [mailto:Alex.Waleisky@nnsa.doe.gov]  
**Sent:** Tuesday, February 17, 2009 1:06 PM  
**To:** Peterson, Shirley  
**Cc:** Dougherty, Charles W (HQ); Hodge, Gail W (HQ)  
**Subject:** FW: Whatever happened with this request?

**Shirley,**

**Please see below the string of emails that closed out FOIA 07-303P.**

**Thanks**  
**Alex**

---

**From:** Hodge, Gail  
**Sent:** Tuesday, February 17, 2009 2:55 PM  
**To:** Waleisky, Alex  
**Subject:** FW: Whatever happened with this request?

Please see below the string of emails that closed out FOIA-07-303P. I sent these emails to Carolyn Becknell in Albuquerque in December 2008. You may want to forward to Shirley Peterson so she can close it out.

Thanks,

Gail

---

**From:** Hodge, Gail  
**Sent:** Monday, December 08, 2008 3:54 PM  
**To:** Becknell, Carolyn  
**Cc:** Hodge, Gail  
**Subject:** FW: Whatever happened with this request?

Carolyn,

10/29/2009

NNSA Tracking # FOIA 07-303P  
HQ Tracking # 2007-000578  
IDRMS TRacking # 2007-03235

Please see below per our discussion.

Gail  
202-586-3487

---

**From:** Speidel, Richard  
**Sent:** Wednesday, February 13, 2008 6:13 AM  
**To:** Black, Steven (HQ)  
**Cc:** Hodge, Gail; Zubarev, Jill; Hutchins, Michele; Dingle, Coretta  
**Subject:** RE: Whatever happened with this request?

Not yet. It is still on my desk. I haven't forgotten about them. Hopefully this week.

---

**From:** Black, Steven (HQ)  
**Sent:** Tuesday, February 12, 2008 5:58 AM  
**To:** Speidel, Richard  
**Cc:** Hodge, Gail; Zubarev, Jill; Hutchins, Michele; Dingle, Coretta  
**Subject:** RE: Whatever happened with this request?

Rechecking status. Did these requests get closed out yet?

---

**From:** Speidel, Richard  
**Sent:** Monday, February 04, 2008 2:50 PM  
**To:** Black, Steven (HQ); Hodge, Gail  
**Subject:** RE: Whatever happened with this request?

Not yet. They should be closed this week (or at least at GC for their review of closure letter.)

---

**From:** Black, Steven (HQ)  
**Sent:** Monday, February 04, 2008 2:39 PM  
**To:** Hodge, Gail; Speidel, Richard  
**Subject:** Whatever happened with this request?

Did we close these requests?

**From:** Black, Steven (HQ)  
**Sent:** Friday, January 25, 2008 2:23 PM  
**To:** Hodge, Gail  
**Cc:** Speidel, Richard  
**Subject:** FOIA Requests

Gail / Dick,

We currently have two outstanding FOIA requests covered by IDRMS 2007-03234 (FOIA 2007-000582) and IDRMS 2007-03235 (FOIA 2007-000578). I propose that we send the following response for both.

10/29/2009

• We have carefully and diligently reviewed all our holdings and we have determined that during the time frame delimited by these two FOIA requests (January 1, 2003 as the start date for one and December 31, 2004 as the end date for the other), NNSA was involved in a USG effort to remove nuclear and radiological materials from the Tuwaitha Nuclear Complex, named Operation Maximus. The removal effort included physical protection upgrades to the site both before and after the removal operation. These security upgrades were designed and performed by the Defense Threat Reduction Agency (DTRA), and were intended to protect personnel associated with the removal operation and to secure materials remaining at the site following the operation. Further details about the removal operation itself, the national security facilities involved in the operation, and the security upgrades performed at the site, continue to be sensitive and cannot be released to the public for national security reasons.

Any thoughts on this?

**Peterson, Shirley**

---

**From:** Peterson, Shirley  
**Sent:** Wednesday, February 18, 2009 1:02 PM  
**To:** Waleisky, Alex W (HQ)  
**Cc:** Dougherty, Charles W (HQ); Hodge, Gail W (HQ)  
**Subject:** RE: Whatever happened with this request?

Alex:

Unfortunately this string of emails does not close out FOIA 07-303P. You will need to provide some sort of documentation, i.e., close out letter, date of final response, were records provided, etc.

Thanks,  
Shirley

---

**From:** Waleisky, Alex [mailto:Alex.Waleisky@nnsa.doe.gov]  
**Sent:** Tuesday, February 17, 2009 1:06 PM  
**To:** Peterson, Shirley  
**Cc:** Dougherty, Charles W (HQ); Hodge, Gail W (HQ)  
**Subject:** FW: Whatever happened with this request?

**Shirley,**

**Please see below the string of emails that closed out FOIA 07-303P.**

**Thanks**  
**Alex**

---

**From:** Hodge, Gail  
**Sent:** Tuesday, February 17, 2009 2:55 PM  
**To:** Waleisky, Alex  
**Subject:** FW: Whatever happened with this request?

Please see below the string of emails that closed out FOIA-07-303P. I sent these emails to Carolyn Becknell in Albuquerque in December 2008. You may want to forward to Shirley Peterson so she can close it out.

Thanks,

Gail

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**From:** Hodge, Gail  
**Sent:** Monday, December 08, 2008 3:54 PM  
**To:** Becknell, Carolyn  
**Cc:** Hodge, Gail  
**Subject:** FW: Whatever happened with this request?

Carolyn,

2/18/2009

NNSA Tracking # FOIA 07-303P  
HQ Tracking # 2007-000578  
IDRMS TRacking # 2007-03235

Please see below per our discussion.

Gail  
202-586-3487

---

**From:** Speidel, Richard  
**Sent:** Wednesday, February 13, 2008 6:13 AM  
**To:** Black, Steven (HQ)  
**Cc:** Hodge, Gail; Zubarev, Jill; Hutchins, Michele; Dingle, Coretta  
**Subject:** RE: Whatever happened with this request?

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**Cc:** Speidel, Richard  
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Gail / Dick,

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2/18/2009

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Any thoughts on this?

**Peterson, Shirley**

---

**From:** Waleisky, Alex [Alex.Waleisky@nnsa.doe.gov]  
**Sent:** Tuesday, February 17, 2009 1:06 PM  
**To:** Peterson, Shirley  
**Cc:** Dougherty, Charles W (HQ); Hodge, Gail W (HQ)  
**Subject:** FW: Whatever happened with this request?

**Shirley,**

**Please see below the string of emails that closed out FOIA 07-303P.**

**Thanks**  
**Alex**

---

**From:** Hodge, Gail  
**Sent:** Tuesday, February 17, 2009 2:55 PM  
**To:** Waleisky, Alex  
**Subject:** FW: Whatever happened with this request?

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Thanks,

Gail

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**Cc:** Hodge, Gail  
**Subject:** FW: Whatever happened with this request?

Carolyn,

NNSA Tracking # FOIA 07-303P  
HQ Tracking # 2007-000578  
IDRMS TRacking # 2007-03235

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Gail  
202-586-3487

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2/17/2009



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*We have carefully and diligently reviewed all our holdings and we have determined that during the time frame delimited by these two FOIA requests (January 1, 2003 as the start date for one and December 31, 2004 as the end date for the other), NNSA was involved in a USG effort to remove nuclear and radiological materials from the Tuwaitha Nuclear Complex, named Operation Maximus. The removal effort included physical protection upgrades to the site both before and after the removal operation. These security upgrades were designed and performed by the Defense Threat Reduction Agency (DTRA), and were intended to protect personnel associated with the removal operation and to secure materials remaining at the site following the operation. Further details about the removal operation itself, the national security facilities involved in the operation, and the security upgrades performed at the site, continue to be sensitive and cannot be released to the public for national security reasons.*

Any thoughts on this?

2/17/2009

## Peterson, Shirley

---

**From:** Peterson, Shirley  
**Sent:** Thursday, February 12, 2009 1:19 PM  
**To:** Dougherty, Charles W (HQ); 'Waleisky, Alex'  
**Subject:** 3 FOIA ASSIGNMENTS FOR YOUR ACTION - NNSA Control Numbers 07-285; 07-301; 07-303

**Attachments:** Kristensen((07-285P)RR.pdf; Cadman(07-301P)RR.pdf; Battle(07-303P)RR.pdf



Kristensen((07- Cadman(07-30 Battle(07-303P  
35P)RR.pdf (14 KB)RR.pdf (132 KB)RR.pdf (150 KB).

**NNSA SERVICE CENTER  
FREEDOM OF INFORMATION ACT (FOIA) REQUEST ROUTING SHEET**

**FOIA REQUEST NO. 07-303-P**

<input type="checkbox"/>	KCSO	Sherry Kinsey-Cannon/Jennifer Honeysucker	816-997-5321 / 816-997-7324
<input type="checkbox"/>	LASO	Bonni Wethington/Lisa Cummings	505-667-4667 / 505-665-9172
<input type="checkbox"/>	LSO	Debbie Harkness	925-422-2168
<input type="checkbox"/>	NSO	Darwin J. Morgan	702-295-1755
<input type="checkbox"/>	PSO	Brenda Finley/Darrell Riekenberg	806-477-3120 / 806-477-7129
<input type="checkbox"/>	SSO	Wanda Peigler/Tami Moore	505-845-5765 / 505-845-5264
<input type="checkbox"/>	YSO	Steven Wyatt/Terri L. Slack	865-576-9918 / 865-576-1722
<input type="checkbox"/>	OCC/SC	Evelyn Holley	505-845-5176
<input type="checkbox"/>	OCI/SC	Margaret A. Todd	505-845-4691
<input type="checkbox"/>	EEO/SC	Mae Harris	505-845-5517
<input type="checkbox"/>	OHCMS/SC	Janie Dennis	505-845-6271
<input type="checkbox"/>	OTS/SC	Gaeton Falance	505-845-5636
<input type="checkbox"/>	OFS/SC	Jan Sisneros	505-845-4447
<input type="checkbox"/>	OBS/SC	Maria Gurule	505-845-4385
<input type="checkbox"/>	OFFM/SC	Jennifer Martinez-Eubanks	505-845-4177
<input type="checkbox"/>	OST (NA-15)	Brenda Crain/Trini Rios	505-845-5928
<input type="checkbox"/>	OST (Health Unit)	Deborah Healy/Faye Wegner	505-845-6677
<input type="checkbox"/>	NA-10	Jeffrey H. Davis	202-586-3852
<input checked="" type="checkbox"/>	NA-20	Chuck Dougherty	202-586-0435
<input type="checkbox"/>	NA-30	Stephen Trautman	202-781-6136
<input type="checkbox"/>	NA-40	Carl Pavetto	202-586-9892
<input type="checkbox"/>	NA-50	Thad Konopnicki	202-586-8115
<input type="checkbox"/>	NA-60	James B. Lambert	202-586-3714
<input type="checkbox"/>	NA-70	John Adams/Irwin Binder/Vince Vecera	301-903-4104 / 301-903-4104 / 301-903-8863

The subject FOIA request was received at the NNSA Service Center on

The NNSA Service Center's response is due to the requester in 20 working days on:

**Failure to respond to FOIA requests as required may lead to disciplinary action.**



Department of Energy  
National Nuclear Security Administration  
Service Center  
P. O. Box 5400  
Albuquerque, NM 87185



February 11, 2009

MEMORANDUM FOR: Deputy Administrator for Defense Nuclear Nonproliferation  
(Attention: Chuck Dougherty)

FROM: Shirley L. Peterson, Information Programs Specialist, Office of  
Public Affairs, NNSA Service Center

SUBJECT: Freedom of Information Act Request Control Number **07-303P**  
HQ FOIA control No. F2007-000578)

By federal law, the U.S. Department of Energy (DOE), National Nuclear Security Administration is obligated to answer Freedom of Information Act (FOIA) requests within twenty (20) working days of receipt. Listed below is a guide for your use in processing the attached FOIA request. Please use this memorandum as a checklist to ensure complete processing of the request. If you are aware of another organization that might have responsive record(s) that is not identified on the FOIA Request Routing Sheet, notify the FOIA Specialist immediately.

**Within seven (7) working days of receipt**, conduct a quick review of all parts of the request to determine if any of these issues apply to you:

- authorized fees are not enough to cover the processing or the requested fee waiver needs to be evaluated.
- clarification of the request or scope narrowing is needed.
- responsive records encompass classified records, Unclassified Controlled Nuclear Information, Export Control Information, or "work for others" information.

If you determine that these issues exist, call the FOIA Specialist as the requester may have to be notified.

**A valid FOIA request must:**

- sufficiently identify the specific records sought such that a person who is reasonably familiar with the records would know where to locate them with a reasonable amount of effort.
- agree to pay reasonable search and production costs, or provide sufficient information to justify a fee waiver.

Although determinations on fees are made by the Service Center, often the FOIA Specialist at the Service Center has no way of knowing the extent of the search required or the number of

records that are involved. Therefore, early communication between the record holder office and the FOIA Specialist is crucial, so that when necessary, the requester can be consulted for clarification or the need for additional fees. These discussions may lead to a basis for stopping the 20-day clock.

Also, remember that the FOIA does not require the creation of records or the answering of questions to respond to a request.

**For Site Offices, with seven (7) working days**, if it is determined that responsive records that are the "property of the Government" as provided in the contract may be located at the contractor organization, forward the request to the contractor, and notify the FOIA Specialist immediately with the transmittal date. Our process requires that we notify the requester of this in writing and that their request has been forwarded to that contractor(s). This is just a notification to the requester; it does not stop the 20-day clock.

When searching for responsive record(s) in your organization, this search includes records maintained in any format, including electronic files, active files in your organization, and retired files in the Archives. If you know responsive record(s) are available through the following, state this in your response and provide as much bibliographical information as possible to be provided to the requester.

- Office of Science and Technical Information (OSTI).
- National Technical Information Service (NTIS).
- Public library.
- DOE Reading Room.

If the record(s) can be located in a DOE Reading Room and the requester is within 100 miles of that location, we are not required to provide the document(s); it is considered to be in the public domain. If responsive records (records that are the "property of the Government" under terms of the contract) exist at any contractor facility, request that the contractor provide the document(s) together with a short statement regarding sensitivity of public release. Advise the FOIA Specialist of the date of your transmittal to the contractor and provide a copy of the contractor cost sheet for the contractor's use, their return to you, and your return to the Specialist.

Within the timeframes specified below, provide one of the following responses to this office. Your final response must identify which agency record(s) or portion of agency record(s) respond to which part of the request ensuring that you have answered all parts of the request. If agency records have been destroyed, state the authority to destroy, date of destruction, and by whom.

**Within ten (10) working days:**

If your organization does not locate any responsive record(s) for the entire request, send a final response, by either hard copy, E-mail, or facsimile, stating your organization conducted a search for responsive record but could not locate any. Please state specifics about where you searched. If possible, make a recommendation as to where you feel the document(s) could be located.

Your final response should also include your completed Record of FOIA Processing Cost for Government Personnel.

**Within twelve (12) working days:**

If your organization locates responsive record(s) but you have determined you cannot provide the records to this office within seventeen (17) days, provide a response to this office by E-mail or facsimile providing the date you expect to provide the agency records with an explanation as to why you cannot meet the deadline.

**Within seventeen (17) working days:**

If your organization locates responsive record(s) that are determined to be totally releasable and you can provide them to this office with 17 working days, **your final response should contain:**

- a statement that the agency record(s) are totally releasable.
- two copies of each record(s)
- an index of the records.
- your completed Record of FOIA Processing Cost for Government Personnel.

If your organization locates responsive record(s) in its files and has determined that the record(s) contain sensitive information that may qualify for exemption under the FOIA, please identify the information that raises the concern in your response. Please provide **one copy highlighted in yellow**, citing the exemption(s) to be applied, and one clean copy.

If your contractor organization locates responsive record(s) that are the "property of the Government" pursuant to the contract but claims that the records qualify for exception from the agency policy under the terms of 10 CFR 1004.3(e) (2) because the records "contain information or technical data having commercial value...", you should contact the Service Center Office of Chief Counsel for further guidance.

If your organization locates responsive record(s) in its files and has determined that the record(s) contain sensitive information that may qualify for exemption under the FOIA, please identify the information that raises the concern in your response.

If the record(s) are of the type that can be neither confirmed nor denied for security or other reasons, please consult with the FOIA Specialist, your site counsel and/or the Service Center Office of Chief Counsel.

If responsive records in the possession of an M&O contractor were prepared in response to a "work for others" agreement between the contractor and another agency, do not obtain the records from the contractor and advise the FOIA Specialist of this fact immediately so that the request can be sent to the agency for which the work is being performed. Such records are not DOE/NNSA agency records.

In the event any documents located were originated by another agency and there are no copying restrictions, provide to this office for referral to that agency:

- name of the agency.

- two copies of the document(s).

However, if there are copying restrictions, provide:

- name of the agency.
- an index only of unclassified titles(s).

All agencies retain the right to review and release their own documents.

If any responsive record(s) contain classified information, Unclassified Controlled Information (UCNI) or Export Control Information (ECI), notify the FOIA Specialist immediately as special processing rules apply.

For Records Management purposes, this office does not maintain the official record copy of responsive record(s) to FOIA requests. The organization providing the responsive record(s) maintains the official record copy and should maintain FOIA files to include copies of all correspondence as well as responsive record(s) to each request in accordance with the Records Management program.

If you have any questions or need additional assistance, please contact me at (505) 845-5310. The FOIA staff stands ready to provide any assistance you may need to process this request in a timely manner.

Four Attachments

1. FOIA Request
2. List of FOIA Exemptions
3. Record of FOIA Processing Cost for Government Personnel
4. Record of FOIA Processing Cost for Contractor Personnel

OCT 9 8 2007  
FOIA-07-303-P

JUL 20 2007

## The National Security Archive

The George Washington University  
Gelman Library, Suite 701  
2130 H Street, N.W.  
Washington, D.C. 20037

Phone: 202/994-7000  
Fax: 202/994-7005  
nsarchive@gwu.edu  
www.nsarchive.org

Thursday, July 19, 2007

Carolyn Lawson  
FOIA/Privacy Act Group  
1000 Independence Avenue, S.W.  
Washington, DC 20585

SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
- NO FEE PAPERS

Re: Request under the FOIA, in reply refer to Archive# 20071383DOE011

Dear Ms. Lawson:

Pursuant to the Freedom of Information Act (FOIA), I hereby request the following:

*All documents including but not limited to briefing materials, emails, memos, and reports from January 1, 2002 through March 30, 2003 concerned with recommendations or planning for monitoring or securing during conflict and post-conflict conditions/sites associated with Iraq's non-conventional weapons programs (biological, chemical, nuclear, missile), including but not limited to facilities and operations located at al-QaQaa, Tuwaitha, Nassr, Fallujah II, al-Furat, and Shumokh.*

If you regard any of these documents as potentially exempt from the FOIA's disclosure requirements, I request that you nonetheless exercise your discretion to disclose them. As the FOIA requires, please release all reasonably segregable non exempt portions of documents. To permit me to reach an intelligent and informed decision whether or not to file an administrative appeal of any denied material, please describe any withheld records (or portions thereof) and explain the basis for your exemption claims.

As a representative of the news media, the National Security Archive qualifies for "representative of the news media" status under 5 U.S.C. Sec. 552(a)(4)(A)(ii)(II) and, therefore, may not be charged search and review fees. (See *National Security Archive v. U.S. Department of Defense*, 880 F.2d 1381 (D.C. Cir. 1989), cert denied, 110 S.Ct. 1478 (1990)). This request is made as part of a scholarly and news research project that is intended for publication and is not for commercial use. For details on the Archive's research and extensive publication activities please see our website at [www.nsarchive.org](http://www.nsarchive.org).

To expedite the release of the requested documents, please disclose them on an interim basis as they become available to you, without waiting until all the documents have been processed. Please notify me before incurring any photocopying costs over \$100. If you have any questions regarding the identity of the records, their location, the scope of the request or any other matters, please call me at (202) 994-7000 or email me at [foiamail@gwu.edu](mailto:foiamail@gwu.edu). I look forward to receiving your response within the twenty day statutory time period.

Sincerely yours,

FOIA 2007-000518

Joyce Battle  
Joyce Battle

An independent non-governmental research institute and library located at the George Washington University, the Archive collects and publishes declassified documents obtained through the Freedom of Information Act. Publication royalties and tax deductible contributions through The National Security Archive Fund, Inc. underwrite the Archive's Budget.

Althaus



### **Freedom of Information Act (FOIA) Exemptions:**

The nine categories of records that are exempt from disclosure under FOIA are:

- (1) Documents classified by executive order – (national security information).
- (2) Internal personnel rules and procedures – (examples: internal manuals and standard operating procedures).
- (3) Documents specifically exempted by statute – (restricted data, formerly restricted data, and unclassified controlled nuclear information).
- (4) Confidential or proprietary business information submitted to the department of energy – (examples: portions of contracts or proposal).
- (5) Records which are inter- or intra- agency memorandums or letters – (this exemption safeguards the deliberative policy-making process. Draft documents are usually considered pre-decisional, deliberative process documents. However, final decisions must be released, along with the factual information. This exemption also includes attorney-client work products).
- (6) Records which would be a clearly unwarranted invasion of personal privacy – (examples: documents contained in personnel or medical files).
- (7) Records of information compiled for “for enforcement purposes” (this would not include background investigative reports or documents concerning security clearances) to the extent that disclosure would:
  - (a) interfere with the enforcement proceedings.
  - (b) deny an individual of a right to a fair or impartial adjudication.
  - (c) be an unwarranted invasion of personal privacy.
  - (d) disclosure the identity of a confidential source.
  - (e) reveal investigator techniques or procedures.
  - (f) endanger the life or physical safety of any individual.
- (8) Records that pertain to the regulation and supervision of financial institutions.
- (9) Maps and records containing geological and geophysical information concerning wells.

# Record of Freedom of Information (FOI) Processing Cost for Government Personnel

1. REQUEST NUMBER FOIA 07-303P		2. TYPE OF REQUEST (X one) x a. INITIAL      b. APPEAL		3. DATE COMPLETED (YY/MM/DD)	
4. CLERICAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Correspondence and Forms Preparation					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Search			X		=
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)		HOURLY RATE (2)	COSTS (3)
a. Machine Hours			X		=
b. Programmer/Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)		RATE (2)	COSTS (3)
a. Pages Reproduced			X	\$ .05	=
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Microfiche Reproduced			X		=
10. COMPUTER COPY		TOTAL HOURS (1)		(2)	COSTS (3)
a. Printout (8-1/2 x 11)			X	\$ .05	=
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)		ACTUAL COST (2)	COSTS (3)
a. Materials Reproduced			X		=
12. FOR FOI OFFICE USE ONLY					
a. Search Fees Paid			f. Total Collectable Costs		
b. Review Fees Paid			g. Total Processing Costs		
c. Copy Fees Paid			h. Total Charged		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Chargeable to all requesters after application of all waiver criteria.  
 \*\*Chargeable only to commercial requesters plus single-asterisk items.

+Only initial review is chargeable to commercial requesters.

# Record of Freedom of Information Act (FOIA) Processing Costs for Contractor Personnel

1. REQUEST NUMBER FOIA 07-303P		2. TYPE OF REQUEST (X one) <input checked="" type="checkbox"/> a. INITIAL <input type="checkbox"/> b. APPEAL		3. DATE COMPLETED (YY/MM/DD)	
4. CLERICAL HOURS		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Search			X \$15.00 =		
b. Review/Excising+					
c. Correspondence and Forms Preparation					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
5. PROFESSIONAL HOURS		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Search			X \$32.00 =		
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
6. EXECUTIVE HOURS		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Search			X \$45.00 =		
b. Review/Excising+					
c. Coordination/Approval/Denial					
d. Administrative Costs (16% of Search Costs)					
e. Other Activity					
7. COMPUTER SEARCH		TOTAL HOURS (1)	HOURLY RATE (2)		COSTS (3)
a. Machine Hours			X 12.00 =		
b. Programmer/Operator Time					
(1) Clerical					
(2) Administrative					
(3) Professional					
8. OFFICE COPY REPRODUCTION		TOTAL HOURS (1)	RATE (2)		COSTS (3)
a. Pages Reproduced			X .05 =		
9. MICROFICHE REPRODUCTION		TOTAL HOURS (1)	ACTUAL COST (2)		COSTS (3)
a. Microfiche Reproduced			X =		
10. COMPUTER COPY		TOTAL HOURS (1)	RATE (2)		COSTS (3)
a. Printout (8-1/2 x 11)			X .05 =		
b. Printout (All Other Sizes) (Actual Cost)					
11. AUDIOVISUAL MATERIALS		TOTAL HOURS (1)	ACTUAL COST (2)		COSTS (3)
a. Materials Reproduced			X =		
12. FOR FOIA/PA OFFICE USE ONLY					
a. Search Fees Paid			f. Total Collectable Costs		
b. Review Fees Paid			g. Total Processing Costs		
c. Copy Fees Paid			h. Total Charged		
d. Total Paid			i. Postage		
e. Date Paid (YY/MM/DD)			j. Fees Waived/Reduced (X one)		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*Chargeable to all requesters after application of all waiver criteria.

+Only initial review is chargeable to commercial requesters.

\*\*Chargeable only to commercial requesters plus single-asterisk items.

**Becknell, Carolyn**

---

**From:** Hodge, Gail [Gail.Hodge@nnsa.doe.gov]  
**Sent:** Monday, December 08, 2008 1:54 PM  
**To:** Becknell, Carolyn  
**Cc:** Hodge, Gail W (HQ)  
**Subject:** FW: Whatever happened with this request?

Carolyn,

NNSA Tracking # FOIA 07-303P  
HQ Tracking # 2007-000578  
IDRMS TRacking # 2007-03235

Please see below per our discussion.

Gail  
202-586-3487

---

**From:** Speidel, Richard  
**Sent:** Wednesday, February 13, 2008 6:13 AM  
**To:** Black, Steven (HQ)  
**Cc:** Hodge, Gail; Zubarev, Jill; Hutchins, Michele; Dingle, Coretta  
**Subject:** RE: Whatever happened with this request?

Not yet. It is still on my desk. I haven't forgotten about them. Hopefully this week.

---

**From:** Black, Steven (HQ)  
**Sent:** Tuesday, February 12, 2008 5:58 AM  
**To:** Speidel, Richard  
**Cc:** Hodge, Gail; Zubarev, Jill; Hutchins, Michele; Dingle, Coretta  
**Subject:** RE: Whatever happened with this request?

Rechecking status. Did these requests get closed out yet?

---

**From:** Speidel, Richard  
**Sent:** Monday, February 04, 2008 2:50 PM  
**To:** Black, Steven (HQ); Hodge, Gail  
**Subject:** RE: Whatever happened with this request?

Not yet. They should be closed this week (or at least at GC for their review of closure letter.)

---

**From:** Black, Steven (HQ)  
**Sent:** Monday, February 04, 2008 2:39 PM  
**To:** Hodge, Gail; Speidel, Richard  
**Subject:** Whatever happened with this request?

Did we close these requests?

12/8/2008

**From:** Black, Steven (HQ)  
**Sent:** Friday, January 25, 2008 2:23 PM  
**To:** Hodge, Gail  
**Cc:** Speidel, Richard  
**Subject:** FOIA Requests

Gail / Dick,

We currently have two outstanding FOIA requests covered by IDRMS 2007-03234 (FOIA 2007-000582) and IDRMS 2007-03235 (FOIA 2007-000578). I propose that we send the following response for both.

*We have carefully and diligently reviewed all our holdings and we have determined that during the time frame delimited by these two FOIA requests (January 1, 2003 as the start date for one and December 31, 2004 as the end date for the other), NNSA was involved in a USG effort to remove nuclear and radiological materials from the Tuwaitha Nuclear Complex, named Operation Maximus. The removal effort included physical protection upgrades to the site both before and after the removal operation. These security upgrades were designed and performed by the Defense Threat Reduction Agency (DTRA), and were intended to protect personnel associated with the removal operation and to secure materials remaining at the site following the operation. Further details about the removal operation itself, the national security facilities involved in the operation, and the security upgrades performed at the site, continue to be sensitive and cannot be released to the public for national security reasons.*

Any thoughts on this?

12/8/2008

# Message Confirmation Report

DEC-08-2008 10:38 AM MON

Fax Number :  
Name :

Name/Number : 12025860862  
Page : 3  
Start Time : DEC-08-2008 10:38AM MON  
Elapsed Time : 00'25"  
Mode : STD ECM  
Results : [O.K.]



## FAX COVER SHEET

US DEPARTMENT OF ENERGY  
National Nuclear Security Administration  
Service Center  
Office of Public Affaris  
P. O. Box 5400  
Albuquerque, NM 87185-5400

Date 12-08-08  
Number of pages including cover sheet \_\_\_\_\_

To: Gail Hodge

From: Elizabeth Donnelly

Phone 202-586-3487  
Fax Phone 202-586-0862  
Copy for \_\_\_\_\_

Phone 505-845-4519  
Fax Phone 505-845-4869

### REMARKS:

☒ Urgent ☐ For your review ☐ Reply ASAP ☐ Please comment

Re: ID RMS 2007-03235  
Gail:  
Please call Carolyn Becknell  
(505) 845-4869 regarding  
this FOIA. Thank You!



# FAX COVER SHEET

US DEPARTMENT OF ENERGY  
National Nuclear Security Administration  
Service Center  
Office of Public Affairs  
P. O. Box 5400  
Albuquerque, NM 87185-5400

Date 12-08-08  
Number of pages including cover sheet     

To: Gail Hodge

From: Elizabeth Donnelly

Phone 202-586-3487  
Fax Phone 202-586-0862  
Copy for     

Phone 505-845-4579  
Fax Phone 505-845-4869

## REMARKS:

☒ Urgent    ☐ For your review    ☐ Reply ASAP    ☐ Please comment

Gail: Re: ID RMS 2007-03235  
Please call Carolyn Becknell  
(505) 845-4869 regarding  
this FOIA. Thank You!

**NNSA Tracking #**                      **FOIA 07-303P**  
**HQ Tracking #**                        2007-000578

**IDRMS Tracking #**                      2007-03235

**Date of FOIA Request**                19-Jul-07

**Date Received by Agency**              19-Jul-08

**Requested Information**                All documents including but not limited to briefing materials, emails, memos, and reports from 01-01-02 through 03-30-03 concerned with the recommendations or planning for monitoring or securing (during conflict and post-conflict conditions) sites associated with Iraq's non-conventional weapons programs (biological, chemical, nuclear, missile) including but not limited to facilities and operations located at al QaQaa, Tuwaitha, Nassr, Fallujah II, al-Furat and Shumokh.

**Requester**                                Joyce Battle

**Address**                                    The National Security Archive  
  
The George Washington University  
Galman Library, Suite 701  
2130 H Street, N.W.  
Washington, D.C.                      20037  
**Phone**                                      202-994-7000

**FOIA Staff Member Originally Assigned Request**                      Linda Jones

**Contact with Requester**                      7/24/2007

**Office(s) Assigned Actions on Request**                      NA-20    Steve Black and Gail Hodge  
202-586-3487

**Search Results:**                                Talked to Gail Hodge. Told her that I would fax information. She will get back to Carolyn Beck  
(505) 845-4869



## The National Security Archive

The George Washington University  
Gelman Library, Suite 701  
2130 H Street, N.W.  
Washington, D.C. 20037

Phone: 202/994-7000  
Fax: 202/994-7005  
nsarchive@gwu.edu  
www.nsarchive.org

JUL 20 2007

Thursday, July 19, 2007

Carolyn Lawson  
FOIA/Privacy Act Group  
1000 Independence Avenue, S.W.  
Washington, DC 20585

SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
- NO FEE FEES

Re: Request under the FOIA, in reply refer to Archive# 20071383DOE011

Dear Ms. Lawson:

Pursuant to the Freedom of Information Act (FOIA), I hereby request the following:

*All documents including but not limited to briefing materials, emails, memos, and reports from January 1, 2002 through March 30, 2003 concerned with recommendations or planning for monitoring or securing during conflict and post-conflict conditions sites associated with Iraq's non-conventional weapons programs (biological, chemical, nuclear, missile), including but not limited to facilities and operations located at al-QaQaa, Tuwaitha, Nassr, Fallujah II, al-Furat, and Shumakh.*

If you regard any of these documents as potentially exempt from the FOIA's disclosure requirements, I request that you nonetheless exercise your discretion to disclose them. As the FOIA requires, please release all reasonably segregable non exempt portions of documents. To permit me to reach an intelligent and informed decision whether or not to file an administrative appeal of any denied material, please describe any withheld records (or portions thereof) and explain the basis for your exemption claims.

As a representative of the news media, the National Security Archive qualifies for "representative of the news media" status under 5 U.S.C. Sec. 552(a)(4)(A)(ii)(II) and, therefore, may not be charged search and review fees. (See *National Security Archive v. U.S. Department of Defense*, 880 F.2d 1381 (D.C. Cir. 1989), *cert denied*, 110 S.Ct. 1478 (1990)). This request is made as part of a scholarly and news research project that is intended for publication and is not for commercial use. For details on the Archive's research and extensive publication activities please see our website at [www.nsarchive.org](http://www.nsarchive.org).

To expedite the release of the requested documents, please disclose them on an interim basis as they become available to you, without waiting until all the documents have been processed. Please notify me before incurring any photocopying costs over \$100. If you have any questions regarding the identity of the records, their location, the scope of the request or any other matters, please call me at (202) 994-7000 or email me at [foiamail@gwu.edu](mailto:foiamail@gwu.edu). I look forward to receiving your response within the twenty day statutory time period.

Sincerely yours,

FOIA 2007-000578

*Joyce Battle*  
Joyce Battle

An independent non-governmental research institute and library located at the George Washington University, the Archive collects and publishes declassified documents obtained through the Freedom of Information Act. Publication royalties and tax deductible contributions through The National Security Archive Fund, Inc. underwrite the Archive's budget.

*Althaa*

ACTIVITY LOG

DOE Control Number 2007-000578 Requester's Name: Jayne Battle

IDRMS Control Number 2007-03235

Request Received: 08/01/2007 Twenty Days: \_\_\_\_\_

Locations where request sent: NA-20 for assignment  
and response

ACTIVITY LOG

DOE Control Number 2007-000578 Requester's Name: Joyce Battle

IDRMS Control Number 2007-03235

Request Received: 08/01/2007 Twenty Days: \_\_\_\_\_

Locations where request sent: NIA-20 for assignment  
and response

# Memorandum

**Date:** July 24, 2007

**Reply to**  
**Attn of:** MA-74

**Subject:** FOIA request of Joyce Battle, FOIA-2007-000578

**To:** Linda Jones  
National Nuclear Security Administration, NA-66

The attached Freedom of Information Act (FOIA) request from Joyce Battle is being sent to you for action as responsive records appear to be within your organization. If your organization does not have jurisdiction over the responsive records, please inform me to whom you are forwarding the request.

PLEASE ENSURE THAT THE DOCUMENTS YOU LOCATE ARE SPECIFICALLY RESPONSIVE TO THIS REQUEST, PARTICULARLY IF THEY ARE CLASSIFIED.

If other divisions, offices or field organizations also have relevant records, you are responsible for requesting their participation and for coordinating the response. It is important that an appropriate response be forwarded to the requester within 20 working days as failure to respond can be deemed a denial.

On the reverse side of this memorandum, a "Reminder of Procedures for Handling FOIA Requests" should assist your staff. If you have any questions, please contact me at (202) 586-4261.

Thank you for your cooperation and assistance.

*Althea T. Vanzego*  
Althea T. Vanzego  
Information Access Specialist  
FOIA and Privacy Act Division  
Office of the Executive Secretariat

Attachment: requester letter

2007-03235

## REMINDER OF PROCEDURES FOR HANDLING FOIA REQUESTS

It is essential that principal staff be aware of the possible consequences of failure to comply with the FOIA. If the court orders the production of records improperly withheld from the requester, it may assess "reasonable attorney fees and other litigating costs." The court may also find that the circumstances surrounding the withholding raises questions as to whether the Authorizing Official who denied the records did so "arbitrarily or capriciously" (including properly classifying them).

In the event of such a finding, the Office of Special Counsel shall promptly initiate proceedings to determine whether disciplinary action is warranted against the officer or employee who was primarily responsible for the withholding. The Department of Energy shall take the corrective actions that the Office of Special Counsel recommends. (See 5 USC 552 (a)(4)(F)).

The following are reminders of some of the procedures for handling requests but are not intended to be substituted for, or in any way to augment, the regulations detailed in 10 CFR 1004, "Freedom of Information."

1. You have the responsibility to acknowledge the request and to begin the 20 working-day response period. If the request is unclear or too broad, immediately call the requester to clarify or redefine the request.
2. If it appears that you cannot meet the 20 working-day requirement because of some unusual circumstances, consult the Office of General Counsel and, if appropriate, contact the requester to see if he will agree to an extension of time. The agreement must be confirmed in writing with a copy to the FOIA Officer. Failing an agreement, the Authorizing Official can take up to 10 additional days. The extension must be confirmed in writing with a copy to the FOIA Officer.
3. If you are denying records, in part or in whole, the Office of General Counsel must be consulted before a response is transmitted to the requester. **All "no records and denials" responses must include appeal rights.**
4. You must consult the Office of Classification concerning records containing classified information issued by DOE or received from another agency or foreign government.
5. You must consult with other federal agencies, foreign governments or private organizations before releasing information given by them as "privileged or confidential."
6. Unless the requester has agreed to pay search and duplicating charges in his request, the Authorizing Official will obtain estimated charges and notify the requester within the 20 day period. However, no charge will be made if the total cost is \$15.00 or less.
7. The FOIA Officer may, if he determines it is in the public interest, waive all or part of the charges.
8. Records will not be sent to a requester unless fees have been waived, or the requester has made full payment of any delinquent fees. (See 10 CFR 1004.9(b)(8)(ii)).
9. Checks and money orders covering charges shall be sent to the DOE, Cash Management Branch, P.O. Box 500, Germantown MD 20874-0500.
10. All correspondence, except letters providing the requested material, shall be sent certified mail, return receipt requested. A copy of all correspondence, including enclosures, shall be sent to the appropriate FOIA officer.
11. FOIA requests received directly by a Program Office shall immediately be sent to the appropriate FOIA Officer.

12. Notice of final closure shall be sent to the headquarters FOIA office immediately.

**(PLEASE PLACE THE FOIA CASE NUMBER ON ALL CORRESPONDENCE)**

## Memorandum

**Date:** July 24, 2007

**Reply to**  
**Attn of:** MA-74

**Subject:** FOIA request of Joyce Battle, FOIA-2007-000578

**To:** Linda Jones  
National Nuclear Security Administration, NA-66

The attached Freedom of Information Act (FOIA) request from Joyce Battle is being sent to you for action as responsive records appear to be within your organization. If your organization does not have jurisdiction over the responsive records, please inform me to whom you are forwarding the request.

PLEASE ENSURE THAT THE DOCUMENTS YOU LOCATE ARE SPECIFICALLY RESPONSIVE TO THIS REQUEST, PARTICULARLY IF THEY ARE CLASSIFIED.

If other divisions, offices or field organizations also have relevant records, you are responsible for requesting their participation and for coordinating the response. It is important that an appropriate response be forwarded to the requester within 20 working days as failure to respond can be deemed a denial.

On the reverse side of this memorandum, a "Reminder of Procedures for Handling FOIA Requests" should assist your staff. If you have any questions, please contact me at (202) 586-4261.

Thank you for your cooperation and assistance.

*Althea T. Vanzego*  
Althea T. Vanzego  
Information Access Specialist  
FOIA and Privacy Act Division  
Office of the Executive Secretariat

Attachment: requester letter

2007-03235

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3. If you are denying records, in part or in whole, the Office of General Counsel must be consulted before a response is transmitted to the requester. **All "no records and denials" responses must include appeal rights.**
4. You must consult the Office of Classification concerning records containing classified information issued by DOE or received from another agency or foreign government.
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# Memorandum

**Date:** July 24, 2007

**Reply to**  
**Attn of:** MA-74

**Subject:** FOIA request of Joyce Battle, FOIA-2007-000578

**To:** Linda Jones  
National Nuclear Security Administration, NA-66

The attached Freedom of Information Act (FOIA) request from Joyce Battle is being sent to you for action as responsive records appear to be within your organization. If your organization does not have jurisdiction over the responsive records, please inform me to whom you are forwarding the request.

PLEASE ENSURE THAT THE DOCUMENTS YOU LOCATE ARE SPECIFICALLY RESPONSIVE TO THIS REQUEST, PARTICULARLY IF THEY ARE CLASSIFIED.

If other divisions, offices or field organizations also have relevant records, you are responsible for requesting their participation and for coordinating the response. It is important that an appropriate response be forwarded to the requester within 20 working days as failure to respond can be deemed a denial.

On the reverse side of this memorandum, a "Reminder of Procedures for Handling FOIA Requests" should assist your staff. If you have any questions, please contact me at (202) 586-4261.

Thank you for your cooperation and assistance.

*Althea T. Vanzego*  
Althea T. Vanzego  
Information Access Specialist  
FOIA and Privacy Act Division  
Office of the Executive Secretariat

Attachment: requester letter



**Department of Energy**  
Washington, DC 20585

July 24, 2007

Ms. Joyce Battle  
The National Security Archive  
George Washington University  
Gelman Library, Suite 701  
2130 H Street, NW  
Washington, DC 20037

Re: FOIA-2007-000578

Dear Ms. Battle:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for all documents from January 1, 2002 to March 30, 2003 that pertain to recommending, planning for, monitoring and securing sites associated with Iraq's non-conventional weapons programs.

The request has been assigned to the National Nuclear Security Administration (NNSA) DOE Headquarters to conduct a search of its files for any documents responsive to this request. Upon completion of the search and the review of any documents found to be responsive, a response will be provided to you.

In your letter, you agreed to pay \$100.00 for duplication fees associated with the processing of the request. You asked to be notified in advance if fees are expected to exceed that amount.

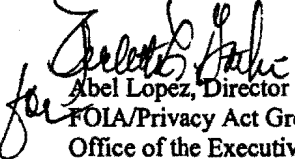
For purposes of assessment of any fees, you have been categorized under the DOE regulation at Title 10, Code of Federal Regulations, Section 1004.9(b)(3), as a "news media" requester. In this category, you will be charged duplication fees only and will be provided 100 pages at no cost.

If you have any questions about the processing of the request, please contact Ms. Linda Jones in the NNSA, Department of Energy, 1000 Independence Avenue, SW, Washington, DC 20585. She also may be reached at (202) 586-3633.

The above referenced number has been assigned to the request and you should refer to it in correspondence with the DOE about this matter.

I appreciate the opportunity to assist you with this matter. If you have any questions about this correspondence, please contact Ms. Althea T. Vanzego of my staff on (202) 586-4261.

Sincerely,

  
Abel Lopez, Director  
FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper



**Department of Energy**  
Washington, DC 20585

July 24, 2007

Ms. Joyce Battle  
The National Security Archive  
George Washington University  
Gelman Library, Suite 701  
2130 H Street, NW  
Washington, DC 20037

Re: FOIA-2007-000578

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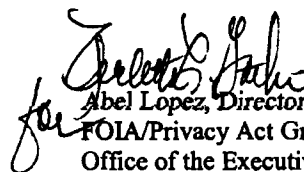
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Sincerely,

  
Abel Lopez, Director  
for FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper



**Department of Energy**  
Washington, DC 20585

July 20, 2007

Joyce Battle  
The National Security Archive  
Gelman Library, Suite 701  
2130 H Street, NW  
Washington, DC 20037

FOIA-2007-000578

Re: Documents from January 1, 2002 to March 30, 2003 about recommendations or planning for monitoring or securing site associated with Iraq's non-conventional weapons programs

Dear Ms. Battle:

Thank you for the request for information that you made to the Department of Energy under the Freedom of Information Act (FOIA), 5 U.S.C. 552. Your letter was received in this office today, and has been assigned a controlled number, FOIA-2007-000578. Since we receive several hundred requests a year, please use this number in any correspondence with the Department about your request.

We are reviewing your letter to determine if it addresses all of the criteria of a proper request under the FOIA and the Department's regulation that implements the FOIA at Title 10, Code of Federal Regulations, Part 1004. We will send you a subsequent letter informing you if we need additional information or stating where the request has been assigned to conduct a search for responsive documents.

I appreciate the opportunity to assist you with this matter. If you have any questions about this letter, please contact this office on (202) 586-6025.

Sincerely,

  
Abel Lopez, Director  
FOIA/Privacy Act Group  
Office of the Executive Secretariat



Printed with soy ink on recycled paper

Friday, August 10, 2007

FOIA/Privacy Act Division  
U.S. Department of Energy  
1000 Independence Avenue, SW  
Washington DC 20585

Dear madam/sir:

This is a request under the federal Freedom of Information Act, 5 USC 552, as amended. I request copies of the following:

1. Implementation Plan Fiscal Years 2005-2007 Directed Stockpile Work Stockpile Research and Development, January 2005 (or most recent update)
2. W76-1/Mk4A System Certification and Qualification Plan, LA-CP-05-0729, June 2005 (or most recent update).
3. RRW Certification Plan, March 2006 (or most recent).

Through this request, I am gathering information on a subject of current and ongoing interest to the public. As a co-author to the bi-monthly *Bulletin of the Atomic of the Atomic Scientists* and the *SIPRI Yearbook*, a Project Director with the Federation of American Scientists – a news media-type entity that collects information for analysis and distribution to the public for news and educational purposes on a non-commercial basis – and as a frequent consultant to the news media, I have both the experience and ability to analyze and disseminate the information.

I am willing to pay all reasonable fees for this request, but ask that you inform me if you expect the fees will exceed \$50. Due to my status described above, as reaffirmed by the Office of the Secretary of Defense in several appeal cases, I meet the statute's definition for a "representative of the news media" and therefore am only required to pay for the direct cost of duplication after the first 100 pages. Even so, the statute permits the waiver of fees beyond that where the information is obtained on a non-commercial basis and will contribute to the public's understanding of the operations of the government. I request therefore that any applicable fees be waived.

In the event the document requested is currently and properly classified, I ask that you release all segregable portions. As you know, an agency cannot rely simply on existing markings in a document for release determination, but must perform a specific review to determine if the information is currently and properly classified and which segregable portions can be released without causing significant damage to national security. I also ask that you identify all documents identified in the search for this request.

I appreciate very much your help in obtaining this information and look forward to hearing from you within 20 days, as the statute requires. If you have any questions regarding this request, please feel free to call me at (202) 454-4695, fax me at (202) 675-1010, or communicate via e-mail to [hkristensen@msn.com](mailto:hkristensen@msn.com). Thank you in advance for your assistance.

Sincerely,  
  
Hans M. Kristensen



United States Department of State

Washington, D.C. 20520

C 2787

~~SECRET~~  
(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

NOV 29 1980

Case No. 200403448

Segment ER4

Requester: Wampler, Robert

TO: Mr. Jeffrey A. Zarkin, SO/223  
Document Declassification Division  
Department of Energy  
P.O. Box A  
Germantown, MD 20874-1290

FROM: *for* Margaret P. Grafeld, Director  
Office of Information Programs and Services

SUBJECT: FOI/PA Referral for Direct Reply

In processing this request, we have located the attached documents which originated with your agency. Please review this material and reply directly to the requester.

We have no objection to declassification and/or release of document numbered R161B although we defer to your judgment as to whether it contains any sensitive material. We request that document numbered R161A be withheld in full, and we have noted the exemptions on the document.

For your information, we are also sending you document numbered R161 in this case. This is the Department of State cover memorandum for documents numbered R161A and R161B. We plan to deny that document in full.

Please send us a copy of your reply to the requester. Please refer to our case number in your copy to us and send it to A/RPS/IPS/PP/LC, Room 8100 SA-2, Department of State, Washington, D.C. 20522-8100.

~~SECRET~~  
(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

200403448



C 2787

United States Department of State  
Washington, D.C. 20520

~~SECRET~~  
(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

NOV 29 1980

Case No. 200403448  
Segment ER4  
Requester: Wampler, Robert

TO: Mr. Jeffrey A. Zarkin, SO/223  
Document Declassification Division  
Department of Energy  
P.O. Box A  
Germantown, MD 20874-1290

FROM: *for* Margaret P. Graefeld, Director  
Office of Information Programs and Services

SUBJECT: FOI/PA Referral for Direct Reply

In processing this request, we have located the attached documents which originated with your agency. Please review this material and reply directly to the requester.

We have no objection to declassification and/or release of document numbered R161B although we defer to your judgment as to whether it contains any sensitive material. We request that document numbered R161A be withheld in full, and we have noted the exemptions on the document.

For your information, we are also sending you document numbered R161 in this case. This is the Department of State cover memorandum for documents numbered R161A and R161B. We plan to deny that document in full.

Please send us a copy of your reply to the requester. Please refer to our case number in your copy to us and send it to A/RPS/IPS/PP/LC, Room 8100 SA-2, Department of State, Washington, D.C. 20522-8100.

~~SECRET~~  
(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

20040000549

~~SECRET~~

(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)

- 2 -

With respect to any withholdings made at our request, you may cite me as the denial authority. Appeals should be directed, within 60 days, to the Chairman, Appeals Review Panel, c/o Appeals Officer, A/RPS/IPS/PP/LC, Room 8100, SA-2, Department of State, Washington, D.C. 20522-8100. The appeal letter should refer to the case number shown above.

If you have any questions, please call (202) 261-8314.

Attachments:

- (x) Copy of request letter
- (x) Three documents

~~SECRET~~

(UNCLASSIFIED WHEN SEPARATED FROM ATTACHMENTS)



**The National Security Archive** *Rw*

The George Washington University  
Gelman Library, Suite 701  
2130 H Street, N.W.  
Washington, D.C. 20037

Rec'd  
1/11/08  
EOB

FOIA-08-031-P

*F200403448*  
*C 2758*  
Phone: 202/994-7000  
Fax: 202/994-7005  
nsarchive@gwu.edu  
www.nsarchive.org

*LWS*  
September 3, 2004

*JAN 19 2007 02*  
SCIENTIFIC EDUCATIONAL NEWS MEDIA  
100 FREE PAGES

Margaret P. Grafeld  
Director, Office of IRM Programs and Services, SA-2  
Department of State  
5th Floor  
Washington, D.C. 20522-6001

Re: Request under the FOIA, in reply refer to Archive #FOIA Sequence Number 20040998DOS367

Dear Ms. Grafeld:

Pursuant to the Freedom of Information Act (FOIA), I hereby request disclosure of the following documents for inspection and possible copying:

The documents found in the following files, as identified by accession number, box number and file name -

59-03-0037: Lot 02D290. 1960-2000 Administrative Subject Files Related to Nonproliferation.

Box 31 - [all PARM]

US-ROK Arms Control Nonproliferation and International Security Affairs Consultations, Seoul,  
Korea Nov 13-14, 1998  
Korea  
Korea  
North Korea (2 files)  
North Korea Interagency Document  
North Korea General  
North Korea Pluto Channel

*ER-4*

*Retired Lot 02D290*  
*Box 33*

Box 32 - [all PARM]

DPRK Aug, Sept and Dec 1998  
DPRK, Jan - Aug, Nov 1999

Box 33 - [all PARM]

DPRK, July and Oct 1998  
North Korea IAEA Docs  
North Korea - State Cables, Memos, E-mail  
North Korea DOE Docs  
North Korea IAEA Docs  
North Korea Intel Comm Products  
North Korea State Cables, Memos  
North Korea  
NODIS Pluto 1993-1994

*Ch*

An independent non-governmental research institute and library located at the George Washington University, the Archive collects and publishes declassified documents obtained through the Freedom of Information Act. Publication royalties and tax deductible contributions through The National Security Archive Fund, Inc. underwrite the Archive's budget.

*N/A*

*F2007-00035*

Box 34 - [all PARM]  
North Korea 1993 Jan-Apr; May-Dec  
North Korea Jan-May, June-Dec 1992

Box 35 - [all PARM]  
DPRK July Dec 1991  
North Korea 1991  
North Korea 1980  
North Korea Pre-1990  
Briefing Book - Kumchange-Ni (KCN-1) May 1999

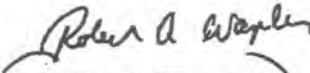
Box 36 - [all PARM]  
North Korea 1997  
North Korea 1996  
North Korea 1995  
NODIS Pluto Plus 1994-1995

If you regard any of these documents as potentially exempt from the FOIA's disclosure requirements, I request that you nonetheless exercise your discretion to disclose them. As the FOIA requires, please release all reasonably segregable nonexempt portions of documents. To permit me to reach an intelligent and informed decision whether or not to file an administrative appeal of any denied material, please describe any withheld records (or portions thereof) and explain the basis for your exemption claims.

As you know, the National Security Archive qualifies for waiver of search and review fees as a representative of the news media. This request is made as part of a scholarly and news research project and not for commercial use. For details on the Archive's research and publication activities, please see our Web site at the address above. Please notify me before incurring photocopying costs over \$100.

To expedite the release of the requested documents, please disclose them on an interim basis as they become available to you, without waiting until all the documents have been processed. If you have any questions regarding the identity of the records, their location, the scope of the request or any other matters, please call me at (202) 994-7000. I look forward to receiving your response within the twenty-day statutory time period.

Sincerely,

  
Robert A. Wampler  
Research Fellow

**Morris, Alexander**

**From:** BAKER, Norman (b)(6)  
**Sent:** Wednesday, December 03, 2008 11:21 AM  
**To:** FOIA-Central  
**Subject:** Fol Request

**Importance:** High

Freedom of Information Act Enquiries  
U.S. Department of Energy  
1000 Independence Avenue SW  
Washington DC 20585  
United States of America  
2nd December 2008

Dear Madam / Sir,  
Freedom of Information Act Request  
Y-12 Uranium Production Facility: Exchange of Information with United Kingdom

This is a non-commercial request under the US Freedom of Information Act (5 U.S.C. Section 552). I am writing to request information held by the Department of Energy's National Nuclear Security Administration about liaison between the Oak Ridge Y-12 National Security Complex and the Atomic Weapons Establishment (AWE) in the United Kingdom over the development of a uranium production facility at Y-12 and an enriched uranium facility at AWE.

I should be grateful if you would provide me with copies of any of the following documentation which is held by the National Nuclear Security Administration:

\* [Reports and briefings to management produced following visits by AWE staff to the Y-12 facility in connection with the proposed development of a new enriched uranium facility at AWE Aldermaston.]

\* Reports and briefings to management produced following visits by NNSA staff / Y-12 contract staff to the United Kingdom in connection with the development of a uranium production facility at Y-12.

\* Any correspondence, including emails and reports, relating to joint working; the work of the joint Y-12 - AWE review team; and the exchange of information in relation to these two projects.

\* All outputs from the work of the joint Y-12 - AWE review team working on these projects, including documents on shared common design elements and approaches to design and regulatory standards.

To assist you in locating this information, I believe it would have been generated over the period January 2005 - present, and would be held by the lead manager and team working on Y-12's uranium production facility.

I do not consider that any of these documents will be exempt from release under Freedom of Information legislation, but if you disagree with my view I should be grateful if you would advise me in detail of your grounds for claiming exemption. I would anticipate challenging any ruling that these documents are exempt from release, so please

*Shuck*

FOIA-2008-000758

DEC 03 2008  
"OTHER" 2 HOURS SEARCH FREE, 100 FREE PAGES

advise me of the relevant appeal procedures and the contact details of the individual to whom an appeal should be addressed if you wish to claim exemption.

I should remind you that while the Freedom of Information Act allows an agency to withhold specified categories of exempted information, it requires the agency to release any segregable portions that are left after exempt material is deleted. If you decide to release documents in part with certain sections withheld, please indicate clearly where material has been withheld and on what grounds. I should be grateful if you would do this by blacking out, rather than whitening out, the relevant sections.

I am happy to receive the information in electronic form if it is available in this format. If you wish to provide the requested documents in electronic format please send them to me by email or on a CD-ROM in Adobe pdf file format.

In order to help to determine my status in assessing fees, I am advising you that I am a member of the United Kingdom Parliament (an elected public representative roughly equivalent to a member of the US House of Representatives) seeking information for the purposes of research into and education on public policy, and not for a commercial use. Further details of my work and publications can be found on my own website at <http://www.normanbaker.org.uk> and on the independent website [www.theyworkforyou.com](http://www.theyworkforyou.com).

I request a waiver of all fees for this request. Disclosure of the requested information is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government and is not in my commercial interest. Both US and UK citizens have the right to know about the level of co-operation between their governments on nuclear weapons issues, and how these activities might affect them or pose risks for them. It is therefore in the public interest for the Department of Energy to release the information that I am requesting.

I understand that verdicts in legal cases relating to the Freedom of Information Act call for a liberal interpretation of the fee waiver standard when the information requested shows the manner in which the operations of a government agency affect the public. Please consider my request for a fee waiver in this context.

Information from the material I have requested which contributes to public understanding will be summarised and disseminated to the public in the format of research reports and news releases which will be included on my website and distributed to the media. It will also be used to inform debate and aid civil society organisations in contributing to regulatory approval processes for planned development at AWE in the UK.

Please do not hesitate to write to me if you have any questions about my request or if you need any additional information from me.

Thank you for your assistance in considering this request, and I look forward to hearing from you within ten working days, as required under the Freedom of Information Act.

Yours faithfully,

Norman Baker

Member of Parliament for Lewes

Norman Baker  
MP for Lewes

(b)(6)

69 JAN 07 2009

FOIA 09-00008-C

Becknell, Carolyn

**From:** (g)(q)  
**Sent:** Wednesday, December 31, 2008 11:56 AM  
**To:** (G) FOIA Services  
**Subject:** Freedom Of Information Act Request

(1)

Good Day,

This e-mail is a freedom of information request for the following documents originated at Lawrence Livermore Lab. The three requested documents (report numbers) are (1) UCRL-51085 (2) UCRL-14677 (3) UCRL-52997. Paper copies are requested for which the copying costs will be paid. Alternatively you can put these documents in Adobe Acrobat format on a CD for a PC, whichever is easier.

Please note that this FOIA request is a different one from a FOIA request previously submitted to you for two other different documents.

If you need any more info please e-mail back. Thanks

Regards,  
Mike Malik

(g)(q)

12/31/2008

December 16, 2008

2

Lawrence Livermore National Laboratory  
7000 East Avenue  
Livermore, CA 94550

Subject: Freedom of Information Request pursuant to 5USC 552

Dear Sir,

Enclosed freedom of information request was sent earlier. To date the requested material hasn't arrived.

The FOIA request is being reiterated in this letter. If the request is already being processed then this is redundant.

Sincerely.

*Mike A. Malik*

Mike Malik

(9)(9)

STAFF RELATIONS

2008 DEC 22 P 1:33

November 26, 2008

Lawrence Livermore National Laboratory  
7000 East Avenue  
Livermore, CA 94550

Subject : Freedom of Information Request

Dear Sir,

This letter is a freedom of information request for the following items.

Technical Reports UCRL-4725 , and UCRL-5280. Paper copies.

Please advise of any copying costs. Thanks

Sincerely,

Mike Malik

*Mike A. Malik*

(9)(q)



M. Malik

(9)(a)

**CERTIFIED MAIL**



7008 1300 0000 3634 2698



0000

94550

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00043554-07

Lawrence Livermore National Laboratory  
7000 East Avenue  
Livermore, CA 94550

94550+9698



**Debra Lynn Harkness, FOIA Requests**

---

To: Debra Lynn Harkness <harkness1@ltnl.gov>  
From: Kathryn Craft Rogers <CraftRogers1@ltnl.gov>  
Subject: FOIA Requests  
Cc: "Brenda Y. Terry" <terry8@ltnl.gov>  
Bcc:  
Attached:

Debbie,

I left a voice message for you regarding two FOIA requests that were sent to our office via registered mail. Based on the LSO process, I am asking Brenda Terry to forward these to your office for appropriate processing.

The December 16 request from Mike Malik makes reference to an earlier request, dated November 26, 2008. We do not have a record of this request, and cannot respond regarding the status. Perhaps this was send to your office (??).

The request, dated December 16 is from William Kaufman.

I will be out until after New Year. However, Brenda can contact me if needed.

Thanks,  
Kathryn

NNSA Service Center/OPA  
Attn: FOIA Office  
P.O. Box 5400  
Albuquerque, NM 87185-5400

up JAN 27 2009

January 12, 2009

Mr. James C. Oskins

(b)(6)

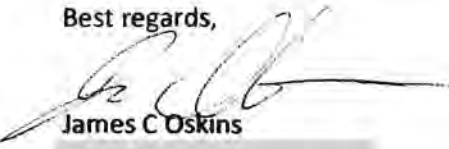
Dear Sir or Madam:

I am requesting, under the Freedom of Information Act (FOIA), Title 5 U.S.C. 552, copies of the following documents, in their entirety, be forwarded to me at the above address.

- Summary of Air Force Nuclear Accidents, Sandia Corporation Control Number RS 1544/11106, Dated February 6, 1968.
- Summary of Nuclear Accidents, Sandia Corporation, Sandia Control Number RS 1651/079, Dated March 2, 1971.
- Summary of Nuclear Accidents, Sandia Corporation, Sandia Control Number RS 3415/48065, Dated May 25, 1966.
- Any subsequent "Summaries of Nuclear Weapons Accidents" and/or "Broken Arrows" produced by Sandia Corporation and Sandia National Laboratories to the present day.

Please advise me if the research/copying costs associated with this request exceed \$50.00.

Best regards,

  
James C Oskins

(b)(6)

**Morris, Alexander**

From: FOIA-Central@hq.doe.gov  
Sent: Friday, February 06, 2009 4:38 PM  
To: FOIA-Central  
Subject: DOE Headquarters FOIA Request

Name: Danny Hosein

Organization: Friends Committee on National Legislation Education Fund

Address:

245 2nd St. NE  
Washington, DC 20002

Phone: 202-547-6000 x2560

FAX: 202-547-6019

Email: danny@fcnl.org

Record Description:

We are only seeking unclassified versions of each of these.

1. National Nuclear Security Administration report to Congress on retirement and dismantlement of nuclear warheads (Public Law 110-181, Sec. 3122).
2. Energy Department plans submitted to Congress in May 2008 on strengthening and expanding the International Radiological Threat Reduction program and the Materials Protection, Control, and Accounting program (Public Law 110-181, Sec. 3127 and Sec. 3128).
3. President's unclassified reports to Congress, "Comprehensive Nuclear Threat Reduction and Security Plan," a plan to ensure that nuclear weapons and weapons-usable material around the world are secure by 2012 (Public Law 110-161, Sec. 699M).
4. Energy Department report to Congress on the status of nuclear materials protection, control, and accounting programs in Russia and other countries (50 U.S. Code Sec. 2563).
5. President's report to Congress on a plan to secure nuclear weapons, material, and expertise in the former Soviet Union (22 U.S. Code Sec. 5952 note). The report is usually included as an appendix in the annual report to Congress on how the Cooperative Threat Reduction (Nunn-Lugar) Program can contribute to implementing the Strategic Offensive Reductions Treaty (SORT or Moscow Treaty) and to securing Russian nuclear weapons and material (Treaty Document 107-8, Sec. 2(1)).

Preferred Form or Format: Mail or Electronic

Type of Requester (if provided):

Affiliated with a private corporation and seeking information for the use in the company's business.

Fees and Fee Waivers:

I request a waiver or reduction of fees.

Waiver or Reduction of Fees Factors (if provided):

1. The subject of the request: Whether the subject of the requested records concerns "the operations or activities of the government."

Yes; We are a 501(c)(3) and track the activities of government agencies.

FOIA-2009-000078

*John*

OTHER RECORDS MAY BE AVAILABLE FREE OF CHARGE

FEB 09 2009 02

2. The informative value of the information to be disclosed: Whether the disclosure is "likely to contribute" to an understanding of government operations or activities.

Yes; these reports detail government activities on issues that we track.

3. The contribution to an understanding by the general public of the subject likely to result from disclosure, taking into account your ability and intent to disseminate the information to the public in a form that can further understanding of the subject matter.

Yes; We are a 501(c)(3) and serve the purpose of educating our constituents, the public, and legislators about these issues. We use this information for educational purposes.

4. The significance of the contribution to public understanding: Whether the disclosure is likely to contribute "significantly" to public understanding of government operations or activities.

Yes; This information is essential to understanding how the government is handling nonproliferation and the nuclear stockpile.

5. The existence and magnitude of a commercial interest: Whether the requester has a commercial interest that would be furthered by the requested disclosure, and, if so

No; We are a 501(c)(3) nonprofit, so there is no commercial interest. We will not sell this information. It is simply a public service used for education.

6. The primary interest in disclosure: Whether the magnitude of the identified commercial interest of the requester is sufficiently large, in comparison with the public interest in disclosure, that disclosure is "primarily in the commercial interest of the requester."

No; We are a 501(c)(3) nonprofit, so there is no commercial interest. We will not sell this information. It is simply a public service used for education.

Expedited Processing:

Specific Justification for Expedited Processing:



**Department of Energy**  
Washington, DC 20585

February 10, 2009

MEMORANDUM TO: CAROLYN BECKNELL  
FOIA OFFICER  
NNSA SERVICE CENTER

FROM: ALEXANDER C. MORRIS  
FOIA OFFICER  
OFFICE OF INFORMATION RESOURCES

A handwritten signature in black ink, appearing to read "AC Morris", is written over the printed name and title of the sender.

SUBJECT: FOIA REQUEST OF DANNY HOSEIN (FOIA-2009-000072)

The attached request submitted by Mr. Danny Hosein is transferred to your office for action. Mr. Hosein submitted the request under the Freedom of Information Act. Please conduct a search for responsive documents and inform him of the results of the search.

For your information, I also have attached a copy of our correspondence to Mr. Hosein. If you have any questions about this transmittal, please contact Ms. Joan Ogbazghi of my staff on (202) 586-3595.

Attachments





## Department of Energy

Washington, DC 20585

February 10, 2009

Mr. Danny Hosein  
Friends Committee on National  
Legislation Education Fund  
245 2<sup>nd</sup> Street, NE  
Washington, DC 20002

Re: FOIA-2009-000072

Dear Mr. Hosein:

This is in response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for the following reports to Congress

1. National Nuclear Security Administration (NNSA) report on retirement and dismantlement of nuclear warheads;
2. DOE plans in May 2008 on strengthening and expanding the International Radiological Threat Reduction program and the Materials Protection, Control, and Accounting program;
3. The President's plan to ensure security of nuclear weapons and weapons-usable material around the world by 2012;
4. DOE report on the status of nuclear materials protection, control, and accounting programs in Russia and other countries; and
5. The President's plan to secure nuclear weapons, material, and expertise in former Soviet Union.

Any documents that may exist at the DOE that are responsive to the request are under the jurisdiction of the National Nuclear Security Administration Service Center. I have sent the request to Ms. Carolyn Becknell, the FOIA Officer at that location, to conduct a search of the files and provide you a response about releasability of any responsive documents that are located. She also will provide you a determination about your request for a fee waiver or reduction.

If you have questions about the processing of the request, please contact Ms. Becknell, at the NNSA Service Center, at P.O. Box 5400, Albuquerque, NM 87185-5400. She also can be contacted on (505) 845-4869.

This is the final response that you will receive about your request from this office. You may contact Ms. Joan Ogbazghi in this office on (202) 586-3595 with any questions about this letter.

I appreciate the opportunity to assist you.

Sincerely,

Alexander C. Morris  
FOIA Officer  
Office of Information Resources



Recd 5-13-09 GP

Morris, Alexander

From: Jeter, Sheila  
Sent: Monday, May 11, 2009 9:16 AM  
To: Morris, Alexander  
Subject: FW: FOIA request

-----Original Message-----

From: Michael Ravnitzky (mailto:mikerav@verizon.net)  
Sent: Sunday, May 10, 2009 10:39 PM  
To: Jeter, Sheila  
Subject: FOIA request

1905 August Drive  
Silver Spring, MD 20902  
mikerav@verizon.net <mailto:mikerav@verizon.net>

May 8, 2009

NNSA Headquarters  
Sheila Jeter-White  
Department of Energy  
MA-90

Office of Information of Information Resources Forrestal Building 1000 Independence Avenue  
SW  
Washington, D.C. 20585  
sheila.jeter@hq.doe.gov <mailto:sheila.jeter@hq.doe.gov>

Dear Ms. Jeter-White:

Pursuant to the provisions of the Freedom of Information Act, I hereby request any documents concerning the testing, deployment, evaluation or dismantlement of the W-48 (also known as the W48) nuclear artillery shell

I limit my request to any documents dated 1967, 1968 or 1969. However, I would like documents on dismantlement from subsequent years as well.

I would like to have a search done of DOE HQ, the Livermore Site Office, the Pantex Site Office, and the Sandia Site Office.

For your reference, my primary interest is documents describing the testing of a W-48 shell in Southeast Asia (Vietnam or Laos) in the 1968 timeframe.

This is a noncommercial request by an individual for noncommercial research and study purposes. I agree to pay up to \$35 for costs if necessary.

Should you find responsive documents, I limit my request to only the first 10 pages of each responsive document.

Sincerely,

Michael Ravnitzky

FOIA-2009-000330

Sheila

MAY 11 2009 03  
OTHER 2 HOURS SEARCH FREE, 100 FREE PAGES





**Department of Energy**  
Washington, DC 20585

May 12, 2009

Michael Ravnitzky  
1905 August Drive  
Silver Spring, MD 20902

Re: FOIA-2009-000330

Dear Mr. Ravnitzky:

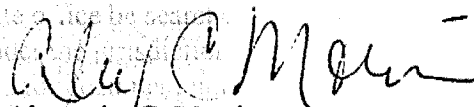
This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for a copy of the documents concerning the testing, deployment, evaluation or dismantlement of the W-48 (also known as the W48) nuclear artillery shell. You specifically limited the request to any documents dated 1967, 1968, 1969, however, you would like documents from subsequent years.

In your letter you request that the DOE Headquarters; the Livermore Site Office; the Pantex Site Office; and the Sandia Site office be searched for documents. The information you have requested is under the jurisdiction of the National Nuclear Security Administration (NNSA). All requests for document under the NNSA/Headquarters are also processed by the service center. For these reasons, the request has been sent to the FOIA Officer at the NNSA Service Center in Albuquerque for action and to provide you a response.

If you have any questions about the processing of the request by the NNSA Service Center in Albuquerque, you may contact Ms. Carolyn Becknell, FOIA Officer, Department of Energy, National Nuclear Security Administration Service Center, P.O. Box 5400, Albuquerque, NM 87185. She also can be reached on (505) 845-4869.

I appreciate the opportunity to assist you with this matter. If you have any questions concerning this correspondence, please contact Ms. Sheila Jeter of my staff at (202) 586-5061.

Sincerely,

  
Alexander C. Morris  
FOIA Officer  
Office of Information Resources



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**Becknell, Carolyn**

---

**From:** Jeter, Sheila [Sheila.Jeter@hq.doe.gov]  
**Sent:** Tuesday, May 12, 2009 2:21 PM  
**To:** Becknell, Carolyn  
**Subject:** FOIA-2009-000330 (Ravnitzky)  
**Attachments:** Ravnitzky to Becknell.pdf

Hi Carolyn, the attached FOIA request has been transferred to the NNSA for action. I appreciate your assistance in this matter.

<<Ravnitzky to Becknell.pdf>>

5/12/2009



**Department of Energy**  
Washington, DC 20585

May 12, 1990

MEMORANDUM TO:

CAROLYN BECNELL  
FOIA OFFICER  
NNSA SERVICE CENTER  
ALBUQUERQUE

FROM:

ALEXANDER C. MORRIS  
DIRECTOR  
HEADQUARTERS FOIA/PRIVACY ACT  
OFFICE

SUBJECT:

FOIA REQUEST OF MICHAEL RAVNITZKY

The attached Freedom of Information Act (FOIA) request submitted by Mr. Ravnitzky is transferred to your office for action. Mr. Ravnitzky submitted the request under the FOIA 5 U.S.C. 552.

We have informed me Ravnitzky that documents responsive to the request maybe located at the NNSA Service Center in Albuquerque. Please conduct a search for responsive documents and inform Mr. Ravnitzky of the results of your search.

If you have any questions about this transmittal, please contact Ms. Jeter of my staff on (202) 586-5061.



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Recd 5-19-09



## Department of Energy

Washington, DC 20585

May 14, 2009

MEMORANDUM TO: CAROLYN BECKNELL, FOIA OFFICER  
NNSA SERVICE CENTER

FROM: ALEXANDER C. MORRIS  
FOIA OFFICER  
OFFICE OF INFORMATION RESOURCES

A handwritten signature in black ink, appearing to read "A. Morris", is written over the printed name and title of the sender.

SUBJECT: FOIA REQUEST OF DANNY HOSEIN  
(FOIA-2009-000333)

The attached request submitted by Mr. Danny Hosein is transferred to your office for action. Mr. Hosein submitted the request under the Freedom of Information Act. Please conduct a search for responsive documents to items 1, 2 and 4-8 and inform him of the results of the search.

Item 3 was transferred to the SROO to conduct a search of their files and provide a response to the requestor.

For your information, I also attached a copy of our correspondence to Mr. Hosein. If you have any questions about this transmittal, please contact Ms. Vera Dunmore of my staff on (202) 586-4047.

Attachments



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**Morris, Alexander**

**From:** FOIA-Central@hq.doe.gov  
**Sent:** Monday, May 11, 2009 10:33 AM  
**To:** FOIA-Central  
**Subject:** DOE Headquarters FOIA Request

**Name:** (Danny Hosein)

**Organization:** FCNL Education Fund

**Address:**

245 2nd St. NE  
Washington, DC 20002

**Phone:** 2025476000

**FAX:**

**Email:** danny@fcnl.org

**Record Description:**

1. President Obama reports to Congress on a plan to secure nuclear weapons, material, and expertise in the former Soviet Union (22 U.S. Code Sec. 5952 note). The report is usually included as an appendix in the annual report to Congress on how the Cooperative Threat Reduction (Nunn-Lugar) Program can contribute to implementing the Strategic Offensive Reductions Treaty (SORT or Moscow Treaty) and to securing Russian nuclear weapons and material (Treaty Document 107-8, Sec. 2(1)). [Report date: Jan. 31]
2. President Obama reports to Congress on how the Cooperative Threat Reduction (Nunn-Lugar) program can contribute to implementing the Strategic Offensive Reductions Treaty (SORT or Moscow Treaty) and to securing Russian nuclear weapons and material (Treaty Document 107-8, Sec. 2(1)). [Report date: Feb. 15]
3. Energy Department reports to Congress on the mixed oxide (MOX) plant at the Savannah River Site, Aiken, SC (Public Law 107-314, Sec. 3182). [Report date: Feb. 15]
4. President Obama reports to Congress on Russian proliferation of weapons of mass destruction and ballistic missile items to Iran and to other countries of proliferation concern (22 U.S. Code Sec. 5952 note). [Report date: March 15]
5. Energy Department reports to Congress on its plutonium "pit" production plan (Senate Report 108-105, p. 110). [Report date: March 31]
6. Energy Department reports to Congress on a research and development plan to improve nuclear forensics capabilities (Public Law 110-417, Sec. 3114). [Report date: April 14]
7. President Obama reports to Congress on the implementation of the Strategic Offensive Reductions Treaty (SORT or Moscow Treaty) (Treaty Document 107-8, Sec. 2(2)). [Report date: April 15]
8. President releases an unclassified, executive summary of a Global Nuclear Security report to Congress on the security of nuclear weapons and nuclear weapon material outside the United States (Public Law 110-181, Sec. 3134 <[http://thomas.loc.gov/cgi-bin/cpquery/?&dbname=cpl110&sid=cpl102kIPg&refer=&r\\_n=hr477.110&item=&sel=TOC\\_2187061&](http://thomas.loc.gov/cgi-bin/cpquery/?&dbname=cpl110&sid=cpl102kIPg&refer=&r_n=hr477.110&item=&sel=TOC_2187061&)> ). [Report date: Sept. 1, 2008]

**Preferred Form or Format:**

**Type of Requester (if provided):**

**Affiliated with an educational or noncommercial scientific institution, and this request**

FOIA 2009-000333

MAY 11 2009 06

OTHER 2 HOURS SEARCH FEE, 100 FREE PAGES

Vera

is made for scholarly or scientific purpose and not for commercial use.

**Fees and Fee Waivers:**

I request a waiver or reduction of fees. ~~X~~

**Waiver or Reduction of Fees Factors (if provided):**

1. The subject of the request: Whether the subject of the requested records concerns "the operations or activities of the government."

These requests are all reports on government nonproliferation and nuclear weapons programs.

2. The informative value of the information to be disclosed: Whether the disclosure is "likely to contribute" to an understanding of government operations or activities.

There is little to no public information available on these programs absent these reports.

3. The contribution to an understanding by the general public of the subject likely to result from disclosure, taking into account your ability and intent to disseminate the information to the public in a form that can further understanding of the subject matter.

We educate the publish and congressional staff about nuclear nonproliferation issues. This information is critical to our ability to do that.

4. The significance of the contribution to public understanding: Whether the disclosure is likely to contribute "significantly" to public understanding of government operations or activities.

As I said, there is little to no public information on these programs. The reports will be a significant contribution because they are the only contribution to the body of knowledge.

5. The existence and magnitude of a commercial interest: Whether the requester has a commercial interest that would be furthered by the requested disclosure, and, if so

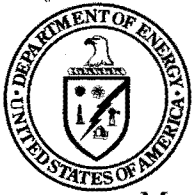
No. FCNL Education Fund is a 501(c)(3) public interest nonprofit organization with limited resources devoted to these issues.

6. The primary interest in disclosure: Whether the magnitude of the identified commercial interest of the requester is sufficiently large, in comparison with the public interest in disclosure, that disclosure is "primarily in the commercial interest of the requester."

The request is made solely in the interest of the public.

**Expedited Processing:**

**Specific Justification for Expedited Processing:**



## Department of Energy

Washington, DC 20585  
May 14, 2009

Mr. Danny Hosein  
FCNL Education Fund  
245 2<sup>nd</sup> Street NE  
Washington, DC 20002

Re: FOIA-2009-000333

Dear Mr. Hosein:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for copies of the following reports;

1. President Obama reports to Congress on a plan to secure nuclear weapons, materials and expertise in the former Soviet Union;
2. President Obama report to Congress on how the Cooperative Threat Reduction (Nunn-Lugar) Program can contribute to implementing the Strategic Offensive Reductions Treaty;
3. DOE report to Congress on the Mixed Oxide (MOX) Plant at the Savannah River Site;
4. President Obama report to Congress on Russian Proliferation of Weapons of Mass Destruction and ballistic Missile items to Iran and other countries of proliferation concern;
5. DOE report to Congress on its Plutonium "pit" Production Plan;
6. DOE report to Congress on a research and development plan to improve nuclear forensics capabilities;
7. President Obama reports to Congress on the implementation of the Strategic Offensive Reductions Treaty; and
8. President Obama executive summary of a Global Nuclear Security Report to Congress on the security of nuclear weapon material outside the United States.

Any documents that may exist at the DOE that are responsive to items 1, 2 and 4-8 of the request are under the jurisdiction of the National Nuclear Security Administration Service Center (NNSA). I have sent the request to Ms. Carolyn Becknell, the FOIA Officer at that location, to conduct a search of their files and provide you a response about the releasability of any responsive documents that are located.

If you have questions about the processing of the request, please contact Ms. Becknell at the NNSA Service Center at P.O. Box 5400, Albuquerque, NM 87185-5400. She also can be contacted on (505) 845-4869.

In your letter, you requested a waiver of fees associated with processing of the request. The NNSA will provide you a determination regarding your fee waiver request.



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Regarding item 3, any documents that may exist at the DOE that are responsive to the request are under the jurisdiction of the Savannah River Operations Office (SROO). Ms. Pauline Conner, the FOIA Officer at that location, to conduct a search of their files and provide you a response about the releasability of any responsive documents that are located.

If you have any questions about the processing of this item of the request, please may contact Ms. Pauline Conner at the SROO at P.O. Box A, Aiken, SC 29801. She also can be contacted on (803) 952-8134.

This is the final response that you will receive about your request from this office. You may contact Ms. Vera Dunmore of my staff on (202) 586-4047 with any questions about this letter.

I appreciate the opportunity to assist you.

Sincerely,

A handwritten signature in black ink, appearing to read "Alex Morris", with a long horizontal flourish extending to the right.

Alexander C. Morris  
FOIA Officer  
Office of Information Resources



Reid JUN 30 2009

**Morris, Alexander**

**From:** FOIA-Central@hq.doe.gov  
**Sent:** Friday, June 19, 2009 1:49 AM  
**To:** FOIA-Central  
**Subject:** DOE Headquarters FOIA Request

Name: (John Greenewald)

Organization: The Black Vault

Address:

(b)(6)

Phone: (b)(6)

FAX:

Email: (b)(6)

Record Description:

Dear Sir,

This is a non-commercial request made under the provisions of the Freedom of Information Act 5 U.S.C. S 552. Pursuant to the U. S. OPEN Records Act of 2007, my FOIA requester status as a "representative of the news media" -- a status entitling me to full waiver of your agency's records-processing fees incident to your fulfilling this request. For examples of my various publication credits in this regard, I refer you to my radio network, and my own personal radio show (syndicated on FM and AM stations) at <http://www.blackvaultradio.com>. My internet website <http://www.theblackvault.com> which holds a vast government document database, along with many freelance articles that I have written, which have also been published in magazines and websites, including OpEdNews.com, UFO Magazine, FATE Magazine, and others.

Additionally, I agree to pay fees up to ten dollars.

I respectfully request a Copy of the Annual Weapons Program Report for 2008.

Thank you so much for your time, and I am very much looking forward to your response. Please know that electronic delivery of the requested material or correspondence related to this case is preferred and accepted in lieu of paper copies via snail mail.

Sincerely,

John Greenewald, Jr.

(b)(6)

Preferred Form or Format:

Type of Requester (if provided):

A representative of the news media affiliated with and the request is made as part of news gathering and not for commercial use.

Type of Media (if provided):

Other: See above.

Fees and Fee Waivers:

FOIA-2009-000460

JUN 22 2009 01

SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
100 FREE PAGES

Jera

I agree to pay up to a specified amount for fees. Enter amount \$ 10

Waiver or Reduction of Fees Factors (if provided):

Expedited Processing:

Specific Justification for Expedited Processing:

**Morris, Alexander**

**From:** FOIA-Central@hq.doe.gov  
**Sent:** Friday, June 19, 2009 1:50 AM  
**To:** FOIA-Central  
**Subject:** DOE Headquarters FOIA Request

Name: John Greenewald

Organization: The Black Vault

Address:

(b)(6)

Phone: (b)(6)

FAX:

Email: (b)(6)

Record Description:

Dear Sir,

This is a non-commercial request made under the provisions of the Freedom of Information Act 5 U.S.C. S 552. Pursuant to the U. S. OPEN Records Act of 2007, my FOIA requester status as a "representative of the news media" -- a status entitling me to full waiver of your agency's records-processing fees incident to your fulfilling this request. For examples of my various publication credits in this regard, I refer you to my radio network, and my own personal radio show (syndicated on FM and AM stations) at <http://www.blackvaultradio.com>. My internet website <http://www.theblackvault.com> which holds a vast government document database, along with many freelance articles that I have written, which have also been published in magazines and websites, including OpEdNews.com, UFO Magazine, FATE Magazine, and others.

Additionally, I agree to pay fees up to ten dollars.

I respectfully request a copy of the Sandia Weapon Review - Special DNA Issue: Nuclear Weapon Characteristics Handbook. SAND90-1238.

Thank you so much for your time, and I am very much looking forward to your response. Please know that electronic delivery of the requested material or correspondence related to this case is preferred and accepted in lieu of paper copies via snail mail.

Sincerely,

John Greenewald, Jr.

(b)(6)

Preferred Form or Format:

Type of Requester (if provided):

A representative of the news media affiliated with and the request is made as part of news gathering and not for commercial use.

Type of Media (if provided):

Other: See above.

Fees and Fee Waivers:

JUN 22 2009 02  
SCIENTIFIC/EDUCATIONAL/NEWS MEDIA  
100 FREE PAGES

FOIA-2009-000461

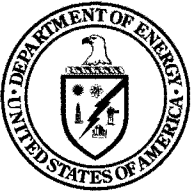
V. K.

I agree to pay up to a specified amount for fees. Enter amount \$ 10

Waiver or Reduction of Fees Factors (if provided):

Expedited Processing:

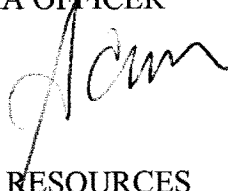
Specific Justification for Expedited Processing:



**Department of Energy**  
Washington, DC 20585

June 23, 2009

MEMORANDUM TO: CAROLYN BECKNELL, FOIA OFFICER  
NNSA SERVICE CENTER

FROM: ALEXANDER C. MORRIS   
FOIA OFFICER  
OFFICE OF INFORMATION RESOURCES

SUBJECT: FOIA REQUEST OF JOHN GREENEWALD  
(FOIA-2009-000460 AND FOIA-2009-000461)

The attached requests submitted by Mr. John Greenwald are transferred to your office for action. Mr. Greenwald submitted the request under the Freedom of Information Act. Please conduct a search for responsive documents and inform him of the results of the search.

For your information, I also attached a copy of our correspondence to Mr. Greenwald. If you have any questions about this transmittal, please contact Ms. Vera Dunmore of my staff on (202) 586-4047.

Attachments





**Department of Energy**  
Washington, DC 20585

June 23, 2009

Mr. John Greedewald  
The Black Vault

(b)(6)

Re: FOIA-2009-000460  
Re: FOIA-2009-000461

Dear Mr. Greenewald:

This is in further response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. 552. You asked for a copy of the Annual Weapons Program Report for 2008 and a copy of the Sandia Weapon Review-Special DNA Issue: Nuclear Weapon Characteristics Handbook.

Any documents that may exist at the DOE that are responsive to both of your requests are under the jurisdiction of the National Nuclear Security Administration (NNSA) Service Center. I have sent the requests to Ms. Carolyn Becknell, the FOIA Officer at that location, to conduct a search of the files and provide you a response about the releasability of any responsive documents that are located.

If you have questions about the processing of the request, please contact Ms. Becknell at the NNSA Service Center at P.O. Box 5400, Albuquerque, NM 87185-5400. She also can be contacted on (505) 845-4869.

This is the final response that you will receive about your request from this office. You may contact Ms. Vera Dunmore of my staff on (202) 586-4047 with any questions about this letter.

I appreciate the opportunity to assist you.

Sincerely,

Alexander C. Morris  
FOIA Officer  
Office of Information Resources



FOIA-08-344-K d DEC 17 2008

Exposing Corruption Exploring Solutions

## Project On Government Oversight



September 26, 2008

FOIA Officer  
U.S. Department of Energy  
1000 Independence Ave., SW  
Washington, DC 20585  
[FOIA-CENTRAL@hq.doe.gov](mailto:FOIA-CENTRAL@hq.doe.gov)  
phone: 202-586-5955

SEP 29 2008 02  
OTHER - 2 HOURS SEARCH FREE, 100 FREE PAGES

Re: Freedom of Information Act Request

To Whom It May Concern:

I am making this request under the Freedom Of Information Act ("FOIA"), 5 U.S.C. § 552. Please provide the following, incrementally, and as documents become available:

- 1) Results of the Office of Health, Safety, and Security (HSS) Office of Independent Oversight security inspection of Los Alamos National Laboratory and the Los Alamos Site Office that was completed and outbriefed in mid-September 2008 that may include: the inspection report, draft reports, power points, exit report and/or presentations, and any other documents relating to this inspection.

I request a waiver of all costs associated with fulfilling this submission pursuant to 5 U.S.C. § 552(a)(4)(A)(iii). Disclosure of the requested records will further the "public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government and is not primarily in the commercial interest" of the requester, the Project On Government Oversight (POGO).

Specifically, POGO intends to use the requested records in its ongoing research into U.S. nuclear weapons sites. There have been numerous media accounts and Inspector Generals' reports on cost overruns and security concerns within the U.S. nuclear complex. POGO seeks to find out what exactly has been done by the DOE to resolve these matters. POGO will publish these findings in a report. POGO provides a valuable public service by taking an independent look at how the government spends taxpayer dollars and what can be done to make the system more effective, efficient, accountable, and honest.

All POGO reports include government reports, documents, and other resources to allow the public better understand how the federal government operates. POGO has established a niche in informing the general public, either through its own publications and web site or through media outlets. The insights gained from this request will be available to the

10/1/2008 10:00 AM

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public so that they can see how the government is meeting its mission to provide essential services to the American public and thereby substantially increase the public's knowledge of the operations or activities of the government.

Founded in 1981, POGO is an independent nonprofit that investigates and exposes corruption in order to achieve a more accountable federal government. POGO disseminates information about its activities to thousands of concerned citizens, policymakers, and the media via email, direct mail, and its web site <http://www.pogo.org>, which receives 125,000 visitors (of which 32,000 are first time visitors) and 280,000 page views per month. The information provided by the agency will be used for the following activities: publication by email and on our website; publication in reports and newsletters issued by POGO; publication in the newsletters of affiliated nonprofit organizations; efforts to educate Congress, the Executive Branch, and other policymakers in Washington, DC; or investigational projects conducted in conjunction with the news media.

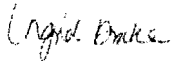
If this request is denied in full or in part, please cite the exemptions pursuant to 5 U.S.C. § 552(b) that justify the denial. If an exemption applies, however, please consider exercising the agency's discretionary release powers to disclose the records.

Additionally, please release all reasonably segregable portions of the records that do not meet an exemption. Any reasonably segregable portion of a record shall be provided to any person requesting such record after deletion of the portions which are exempt under this subsection as stated in 5 USC 552(b) under (9): "The amount of information deleted, ~~and the exemption under which the deletion is made~~, shall be indicated on the released portion of the record, unless including that indication would harm an interest protected by the exemption in this subsection under which the deletion is made. If technically feasible, the amount of the information deleted, ~~and the exemption under which the deletion is made~~, shall be indicated at the place in the record where such deletion is made."

I can receive these materials digitally and/or paper copies at the following addresses: [idrake@pogo.org](mailto:idrake@pogo.org), or by mail Ingrid Drake at the Project On Government Oversight, 666 11<sup>th</sup> St. NW, #900, Washington, DC 20001.

Thank you for cooperation in this matter.

Sincerely,



Ingrid Drake  
Investigator, Project On Government Oversight