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Description of document:	List of National Science Foundation (NSF) Inspector General (OIG) investigations closed 2020
Requested date:	26-December-2020
Release date:	03-February-2021
Posted date:	15-March-2021
Source of document:	National Science Foundation Attn: FOIA Officer Office of Inspector General 2415 Eisenhower Avenue Alexandria, Virginia 22314 Fax: (703) 292-9159 Email: foiaoig@nsf.gov

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National Science Foundation • Office of the Inspector General
2415 Eisenhower Avenue, Alexandria, VA 22134

February 3, 2021

VIA E-MAIL

Re: FOIA Request No. F-21-08

This letter responds to your Freedom of Information Act (FOIA) request to the National Science Foundation (NSF), Office of Inspector General (OIG), dated December 26, 2020, for “[a] copy of the list of NSF Office of Inspector General investigations CLOSED during Calendar Year 2020.” Your request was received by this Office on December 28, 2020. I apologize for the delayed response.

You may be interested to know that the OIG proactively posts the type of information you have requested on its website: <https://www.nsf.gov/oig/case-closeout.jsp>. If you visit the website, you may search the database of closed cases, along with all publicly available case closeout memoranda. As of today, the OIG’s website provides publicly available information for cases closed through October 23, 2020. To obtain publicly available information about cases closed in the remaining part of 2020, please visit the OIG’s regularly-updated website in the future.

Although the information you requested is available on the OIG’s website, for ease of reference, I am enclosing a copy of the listed information you seek, as “captured” from the OIG’s website.

If you seek further assistance or wish to discuss any aspect of your request, please contact me, the OIG’s FOIA Public Liaison at foiaoig@nsf.gov. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at (202) 741-5770; toll free at 1 (877) 684-6448; or facsimile at (202) 741-5769.

If you are not satisfied with the OIG’s response to your request, you may administratively appeal by writing to the Counsel to the Inspector General, Ken Chason, Office of the Inspector General, National Science Foundation, 2415 Eisenhower Avenue, Alexandria, VA 22134; or foiaoig@nsf.gov. Alternatively, you may appeal directly to the General Counsel of the Foundation,

at the same address.¹ Your appeal must be postmarked or electronically transmitted within 90 days from the date of this response.

Sincerely,

A handwritten signature in black ink that reads "Jennifer Kendrick". The script is cursive and fluid, with the first letters of each word being capitalized and prominent.

Jennifer A. Kendrick
Assistant Counsel to the Inspector General
& OIG FOIA Public Liaison

¹ For appeals to NSF's General Counsel, note the requirements of 45 C.F.R. § 612.9(a): "You must make your appeal in writing and it must be received by the Office of the General Counsel within ten days of the receipt of the denial (weekends, legal holidays, and the date of receipt excluded) [*note, this 10-day time period has been superseded by recent legislation enacting a 90-day time period, referenced above*]. Clearly mark your appeal letter and the envelope 'Freedom of Information Act Appeal.' Your appeal letter must include a copy of your written request and the denial together with any written argument you wish to submit."



Search Results

3140 record(s) found. Click on a case number to open a PDF file of the case closeout memorandum.

If you have trouble accessing any of these documents, contact us at: oig@nsf.gov (<mailto:oig@nsf.gov>).

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Case Number

Close Date

Classification(s)

Action(s)

Redaction applied solely to block out information that is not responsive to this FOIA request. The underlying information is publicly available, but relates to cases closed prior to January 1, 2020.

Case Number	Close Date	Classification(s)	Action(s)
Redaction applied solely to block out information that is not responsive to this FOIA request. The underlying information is publicly available, but relates to cases closed prior to January 1, 2020.			
<u>I-18-0085-O (I-18-0085-O.pdf)</u>	01/08/20	Applicant/Grantee/PI False Certification Grant Fraud	
<u>I-18-0015-O (I-18-0015-O.pdf)</u>	01/13/20	Plagiarism (Verbatim)	QP
<u>I-16-0109-O (I-16-0109-O.pdf)</u>	01/23/20	Applicant/Grantee/PI False Certification	QP
<u>I-19-0061-O (I-19-0061-O.pdf)</u>	01/23/20	Plagiarism (Verbatim)	QP
<u>I-18-0075-O (I-18-0075-O.pdf)</u>	01/27/20	Data Tampering / Sabotage / Fabrication	
<u>I14020007 (I14020007.pdf)</u>	01/27/20	Grant Fraud	

Case Number	Close Date	Classification(s)	Action(s)
I-16-0044-O (I-16-0044-O.pdf)	01/30/20	Falsification in Proposal/Progress Rpt	
I-20-0011-O (I-20-0011-O.pdf)	01/30/20	Human Subject Reg. Violations	QP
I-20-0014-O (I-20-0014-O.pdf)	01/30/20	Plagiarism (Verbatim)	QP
I-20-0021-O (I-20-0021-O.pdf)	01/30/20	Plagiarism (Verbatim)	QP
A15020011 (A15020011.pdf)	02/06/20	Admin. Financial Violations (PI/PS)	AA, MR
I-18-0083-O (I-18-0083-O.pdf)	02/06/20	Applicant/Grantee/PI False Certification	
I-19-0077-O (I-19-0077-O.pdf)	02/06/20	Applicant/Grantee/PI False Certification	
I-18-0006-O (I-18-0006-O.pdf)	02/13/20	Admin. Financial Violations (PI/PS) Data Tampering / Sabotage / Fabrication Plagiarism (Verbatim)	QP
I-19-0071-O (I-19-0071-O.pdf)	02/13/20	Retaliation	
I-20-0020-O (I-20-0020-O.pdf)	02/18/20	Data Tampering / Sabotage / Fabrication Peer Review violation	
I-18-0011-O (I-18-0011-O.pdf)	02/21/20	SBIR	AA, MR
I-17-0096-O (I-17-0096-O.pdf)	02/25/20	Grant Fraud SBIR	
I-19-0042-O (I-19-0042-O.pdf)	02/25/20	NSF Employee Misconduct	

Case Number	Close Date	Classification(s)	Action(s)
I-18-0106-O (I-18-0106-O.pdf)	02/26/20	Retaliation	
I-20-0007-O (I-20-0007-O.pdf)	03/25/20	Applicant/Grantee/PI False Certification	
I-16-0012-O (I-16-0012-O.pdf)	03/26/20	Grant Fraud	CC
I-16-0079-O (I-16-0079-O.pdf)	03/26/20	Applicant/Grantee/PI False Certification	MR
I-19-0083-O (I-19-0083-O.pdf)	03/26/20	Applicant/Grantee/PI False Certification	QP
I-19-0060-O (I-19-0060-O.pdf)	04/03/20	Admin. Financial Violations (PI/PS)	
I-20-0009-O (I-20-0009-O.pdf)	04/07/20	Applicant/Grantee/PI False Certification	
I-17-0086-O (I-17-0086-O.pdf)	04/09/20	Applicant/Grantee/PI False Certification	MR
I-18-0084-O (I-18-0084-O.pdf)	04/09/20	Applicant/Grantee/PI False Certification	QP
I-17-0050-O (I-17-0050-O.pdf)	04/10/20	NSF Employee Misconduct	
I-18-0027-O (I-18-0027-O.pdf)	04/13/20	Human Subject Reg. Violations	MR, QP
I-19-0037-O (I-19-0037-O.pdf)	04/16/20	Grant Fraud SBIR	
I-20-0026-O (I-20-0026-O.pdf)	04/20/20	Applicant/Grantee/PI False Certification	
I-20-0041-O (I-20-0041-O.pdf)	05/04/20	Retaliation	

Case Number	Close Date	Classification(s)	Action(s)
I-16-0108-O (I-16-0108-O.pdf)	05/08/20	Plagiarism (Verbatim)	AA, RM
I-20-0045-O (I-20-0045-O.pdf)	05/12/20	Retaliation	
I-20-0050-O (I-20-0050-O.pdf)	05/14/20	Data Sharing Intellectual Theft	QP

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WHERE DISCOVERIES BEGIN



Search Results

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(<mailto:oig@nsf.gov>).

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Case Number	Close Date	Classification(s)	Action(s)
I-16-0017-O (I-16-0017-O.pdf)	05/15/20	Falsification in Proposal/Progress Rpt	
I-16-0119-O (I-16-0119-O.pdf)	05/22/20	Applicant/Grantee/PI False Certification	CC
I-17-0095-O (I-17-0095-O.pdf)	05/29/20	Human Subject Reg. Violations	QP
I-18-0071-O (I-18-0071-O.pdf)	06/09/20	Grant Fraud	
I-20-0054-O (I-20-0054-O.pdf)	06/09/20	Plagiarism (Verbatim)	
I-18-0065-O (I-18-0065-O.pdf)	06/17/20	COI (NSF) Plagiarism (Verbatim)	
I-18-0074-O (I-18-0074-O.pdf)	06/23/20	Grant Fraud	

Case Number	Close Date	Classification(s)	Action(s)
I-20-0018-O (I-20-0018-O.pdf)	06/30/20	Grant Fraud	AA, QP
I-19-0075-O (I-19-0075-O.pdf)	07/08/20	Falsification in Proposal/Progress Rpt	QP
I-19-0044-O (I-19-0044-O.pdf)	07/14/20	Retaliation	
I-18-0034-O (I-18-0034-O.pdf)	07/21/20	Applicant/Grantee/PI False Certification	
I-20-0071-O (I-20-0071-O.pdf)	07/21/20	Plagiarism (Verbatim)	
I-19-0024-O (I-19-0024-O.pdf)	07/30/20	COI (NSF) NSF Employee Misconduct	
I-19-0028-O (I-19-0028-O.pdf)	08/03/20	Applicant/Grantee/PI False Certification	
I-18-0097-O (I-18-0097-O.pdf)	08/12/20	Plagiarism (Verbatim)	AA, RM
I-18-0008-O (I-18-0008-O.pdf)	08/24/20	Applicant/Grantee/PI False Certification	QP
I-20-0055-O (I-20-0055-O.pdf)	08/27/20	Falsification in Proposal/Progress Rpt	QP
I-18-0058-O (I-18-0058-O.pdf)	09/02/20	Applicant/Grantee/PI False Certification	QP
I-20-0083-O (I-20-0083-O.pdf)	09/09/20	Plagiarism (Verbatim)	QP
I15090051 (I15090051.pdf)	09/11/20	SBIR	AA, MR
I-19-0005-O (I-19-0005-O.pdf)	09/18/20	Plagiarism (Verbatim)	AA, RM

Case Number	Close Date	Classification(s)	Action(s)
I-20-0005-O (I-20-0005-O.pdf)	09/18/20	Applicant/Grantee/PI False Certification	QP
I-18-0010-O (I-18-0010-O.pdf)	09/22/20	Fabrication of Substance in Proposal Plagiarism (Verbatim)	AA, RM
I-18-0048-O (I-18-0048-O.pdf)	09/22/20	Falsification in Proposal/Progress Rpt	AA, RM
I-17-0041-O (I-17-0041-O.pdf)	09/28/20	Plagiarism (Verbatim)	AA, RM
I-19-0065-O (I-19-0065-O.pdf)	09/28/20	Falsification in Proposal/Progress Rpt	QP
I-18-0094-O (I-18-0094-O.pdf)	09/29/20	Plagiarism (Verbatim)	AA, RM
I-20-0033-O (I-20-0033-O.pdf)	09/29/20	Peer Review violation	AA
I-20-0057-O (I-20-0057-O.pdf)	09/29/20	Plagiarism (Verbatim)	QP
I-16-0039-O (I-16-0039-O.pdf)	09/30/20	Applicant/Grantee/PI False Certification Grant Fraud SBIR	AA, CC, MR
I-20-0060-O (I-20-0060-O.pdf)	10/01/20	Plagiarism (Verbatim)	QP
I-20-0061-O (I-20-0061-O.pdf)	10/01/20	Plagiarism (Verbatim)	QP
I-18-0069-O (I-18-0069-O.pdf)	10/02/20	Plagiarism (Verbatim)	AA, RM
I-20-0004-O (I-20-0004-O.pdf)	10/02/20	Grant Fraud	
I-18-0115-O (I-18-0115-O.pdf)	10/06/20	Applicant/Grantee/PI False Certification	AA

Case Number	Close Date	Classification(s)	Action(s)
I-20-0017-O (I-20-0017-O.pdf)	10/06/20	Recombinant DNA	MR, QP
I-17-0045-O (I-17-0045-O.pdf)	10/08/20	Plagiarism (Verbatim)	AA, QP, RM
I-18-0040-O (I-18-0040-O.pdf)	10/09/20	Applicant/Grantee/PI False Certification	
I-18-0042-O (I-18-0042-O.pdf)	10/13/20	Applicant/Grantee/PI False Certification	
I-20-0074-O (I-20-0074-O.pdf)	10/23/20	Retaliation	

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