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FOIA/Privacy Act Group, Legal Division
550 17th Street, NW
Washington, DC 20429-9990
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Federal Deposit Insurance Corporation

550 17th Street, NW, Washington, DC 20429-9990

Legal Division

February 5, 2021

In re: FDIC FOIA Log Number 21-0075

This is in response to your December 26, 2020 Freedom of Information Act (FOIA) request for:

A copy of the list of FDIC Office of Inspector General Investigations CLOSED during Calendar Year 2020.

Our records search is complete. The enclosed document, consisting of four pages, is being released to you in part.

The information withheld is exempt from disclosure under FOIA Exemptions 4 and 7, 5 U.S.C. 552(b)(4) and (b)(7)(E).

Exemption 4 requires the withholding of trade secrets, and confidential or privileged commercial or financial information that was submitted by a person. Exemption 7 requires the withholding of records or information compiled for law enforcement purposes to the extent that the production of such law enforcement records or information, which (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law

You may contact me at acolgrove@fdic.gov or our FOIA Public Liaison, FDIC Ombudsman M. Anthony Lowe at MLowe@FDIC.gov or by telephone at 312-382-6777, for any further assistance and to discuss any aspect of your request. You also may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, email at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

If you are not satisfied with the response to this request, you may administratively appeal by writing to the FDIC's General Counsel. Your appeal must be postmarked or electronically

transmitted within 90 days of the date of the response to your request. Your appeal should be addressed to the FOIA/PA Group, Legal Division, FDIC, 550 17th Street, N.W., Washington, D.C. 20429. Please refer to the log number and include any additional information that you would like the General Counsel to consider.

Sincerely,

Alisa Colgrove
Government Information Specialist
FOIA/Privacy Act Group

	Closed between 01-01-2020 and 12-31-2020				
#	Type	Case Number	Title	Status Subtype	Workteam
1	Investigation	A-2011-0003	(b) (4), GA; Bank Fraud	Investigation Closed	Atlanta
2	Investigation	A-2012-0026	Fraud by a Bank Executive at FDIC Supervised Financial Institution, (b) (4), GA	Investigation Closed	Atlanta
3	Investigation	A-2015-0004	Fraud by Bank Executive and Loan Debtor at FDIC Supervised Institution, (b) (4), GA	Investigation Closed	Atlanta
4	Investigation	A-2016-0020	Money Laundering/Bank Fraud by Board Member at FDIC-Supervised (b) (4)	Investigation Closed	Atlanta
5	Investigation	A-2016-0021	Customer at (b) (4): Money Laundering	Investigation Closed	Atlanta
6	Investigation	A-2017-0010	Suspicious activity by (b) (4) Bank Examiner	Investigation Closed	Atlanta
7	Investigation	A-2018-0005	PrimeSouth Bank, Tallassee, AL; Bank Fraud	Investigation Closed	Atlanta
8	Investigation	A-2019-0004	Bank Fraud by Significant Customer at (b) (4) (FDIC Insured)	Investigation Closed	Atlanta
9	Investigation	A-2019-0012	Fraud and Money Laundering, Bank Customer, (b) (4) (FDIC Insured), Fairfax, VA	Investigation Closed	New York
10	Investigation	C-2010-0069	Bank Fraud, Bank Officer, FDIC Supervised Institution, Country Bank, Aledo, IL	Investigation Closed	Chicago
11	Investigation	C-2013-0002	Bank and Securities fraud by bank customer; Horicon Bank; Horicon, WI	Investigation Closed	Chicago
12	Investigation	C-2014-0023	Bank Fraud, Bank Officers, FDIC Supervised Institution, (b) (4), Chicago, IL	Investigation Closed	Chicago
13	Investigation	C-2015-0008	Access Device Fraud, Bank Customer, (b) (4) (FDIC Insured), Birmingham, AL	Investigation Closed	Chicago
14	Investigation	C-2015-0016	Bank Fraud by Former Bank Executive, (b) (4), WI	Investigation Closed	Chicago
15	Investigation	C-2016-0007	Bank Fraud, Bank Officer, (b) (4) (FDIC Supervised), (b) (4) KY	Investigation Closed	Chicago
16	Investigation	C-2016-0016	Bank Insider Fraud, (b) (4) (FDIC Supervised) (Southern District of Illinois)	Investigation Closed	Chicago
17	Investigation	C-2017-0004	Embezzlement, Bank Employee, (b) (4) (FDIC Supervised), (b) (4), KY	Investigation Closed	Chicago
18	Investigation	C-2017-0019	Bank Fraud, Bank Customer, Town Square Bank (FDIC Insured), Ashland, KY	Investigation Closed	Chicago
19	Investigation	C-2018-0005	Suspicious International Wire Transfers, (b) (4) (FDIC Supervised)	Investigation Closed	Chicago
20	Investigation	C-2019-0002	(b) (4), Money Laundering, Wire Fraud (Northern District of Illinois)	Investigation Closed	Chicago
21	Investigation	C-2019-0003	Bank Fraud, Bank Officer, Whitaker Bank (FDIC Insured), Lexington, KY	Investigation Closed	Chicago

22	Investigation	C-2019-0013	Bank Fraud/Nigerian Scam, (b) (4) (ND-Indiana)	Investigation Closed	Chicago
23	Investigation	C-2020-0002	Money Laundering, Bank Customer, SDNY	Investigation Closed	Chicago
24	Investigation	C-2020-0009	Bank Fraud, Bank Customer, (b) (4) OH	Investigation Closed	Chicago
25	Investigation	C-2020-0015	Bank/Mortgage Fraud by a Loan Customer	Investigation Closed	Chicago
26	Investigation	D-2013-0007	Fraud by Bank Employee and Customers at FDIC Insured Institution, (b) (4), CA	Investigation Closed	Dallas
27	Investigation	D-2014-0009	Fraud by Bank Customer at FDIC Supervised Institution, (b) (4), Houston, Texas	Investigation Closed	Dallas
28	Investigation	D-2016-0008	Embezzlement by Bank Employee at FDIC Insured Institution, (b) (4), Houston, TX	Investigation Closed	Dallas
29	Investigation	D-2017-0001	Fraud by Bank Officer at FDIC Insured Institution, (b) (4) AR	Investigation Closed	Dallas
30	Investigation	D-2017-0002	Embezzlement by Bank Officer at FDIC Supervised Institution, (b) (4), TX	Investigation Closed	Dallas
31	Investigation	D-2017-0011	Fraud by Bank Customer at FDIC Insured Institution, (b) (4) Little Rock, AR	Investigation Closed	Dallas
32	Investigation	D-2017-0013	Fraud by Bank Customer on FDIC as Receiver for First NBC Bank, New Orleans, LA	Investigation Closed	Dallas
33	Investigation	D-2018-0001	Mortgage Fraud Scheme at FDIC Supervised Institution, (b) (4), TX	Investigation Closed	Dallas
34	Investigation	D-2018-0007	Fraud by Bank Officer at FDIC Supervised Bank, (b) (4), OK	Investigation Closed	Dallas
35	Investigation	D-2018-0008	Fraud by Bank Officer and Loan Broker at FDIC Supervised Institution, (b) (4), Nashville, TN	Investigation Closed	Dallas
36	Investigation	D-2019-0007	Fraud by Mortgage Company President Receiving Funds FDIC Insured Bank, (b) (4), PA (FDIC Cert. (b) (4))	Investigation Closed	Dallas
37	Investigation	D-2020-0001	Bank Fraud, (b) (4)	Investigation Closed	Dallas
38	Investigation	D-2020-0005	Fraud by Bank Customer at FDIC Insured Institution, (b) (4) Lubbock, TX	Investigation Closed	Dallas
39	Investigation	D-2020-0007	PPP Loan Fraud, (b) (4) Tulsa, OK	Investigation Closed	Dallas
40	Investigation	H-2018-0003	Potential Employee Misconduct	Investigation Closed	Headquarters
41	Investigation	H-2018-0004	Potential Employee Misconduct	Investigation Closed	Headquarters
42	Investigation	H-2019-0003	West Virginia Bank Fraud		Headquarters
43	Investigation	K-2012-0010	International Bank Fraud Scheme at FDIC Insured Banks, (b) (4) (FDIC Cert. (b) (4)), Overland Park, KS	Investigation Closed	Kansas City

44	Investigation	K-2012-0015	Silver Lake Bank (FDIC Cert. 32173), Topeka, Kansas, an FDIC Supervised Bank, Alleged Bank Fraud by Bank Executive	Investigation Closed	Kansas City
45	Investigation	K-2014-0005	Excel Bank, Sedalia, MO (Failed Bank); Alleged Bank Fraud by Developer	Investigation Closed	Kansas City
46	Investigation	K-2016-0012	The University National Bank, et. al., Lawrence, KS, an OCC supervised institution, Alleged Sales Tax Fraud		Kansas City
47	Investigation	K-2017-0001	Banc-Serv Partners, LLC (Civil Case)	Investigation Closed	Kansas City
48	Investigation	K-2017-0004	Alleged Fraud Scheme by Bank Officer at FDIC Insured Institution , (b) (4) (FDIC Cert. (b) (4)), (b) (4) , MO	Investigation Closed	Kansas City
49	Investigation	K-2017-0010	Alleged Bank & Loan Fraud Scheme of FDIC Insured Institution, (b) (4) (FDIC Cert. (b) (4)), (b) (4) , MO		Kansas City
50	Investigation	K-2017-0011	Alleged Misapplication by Bank Official at Crown Bank (FDIC Supervised), Edina, MN;	Investigation Closed	Kansas City
51	Investigation	K-2018-0003	(b) (4) ; Alleged \$39 Million Fraud by (b) (4) Insiders & Others	Investigation Closed	Kansas City
52	Investigation	K-2018-0004	(b) (4) ; Alleged Loan Fraud	Investigation Closed	Kansas City
53	Investigation	K-2018-0005	Detloff Marketing and Asset Management, Inc., Et. Al. (Antitrust Case)	Investigation Closed	Kansas City
54	Investigation	K-2019-0001	Embezzlement and Bank Fraud, Former Bank Executive, (b) (4) (FDIC Supervised), (b) (4) , Iowa	Investigation Closed	Kansas City
55	Investigation	K-2020-0008	Alleged Insider Bank Fraud - (b) (4) (FDIC Cert No. (b) (4))	Investigation Closed	Kansas City
56	Investigation	N-2011-0009	Fraud by Bank Executives at a Failed FDIC Supervised Institution, NOVA Bank, Berwyn, PA	Investigation Closed	New York
57	Investigation	N-2015-0022	Foreign Currency Trading Fraud by Traders at Multiple FDIC Insured Institutions (DOJ Antitrust Division)	Investigation Closed	New York
58	Investigation	N-2015-0028	Potential BSA Violations at FDIC Supervised Institution, (b) (4) , DE	Investigation Closed	New York
59	Investigation	N-2017-0003	Loan Fraud Scheme by Company Executives, Overseas Private Investment Corporation ("OPIC"), Mount Laurel, New Jersey	Investigation Closed	New York
60	Investigation	N-2017-0004	Wire Fraud and Money Laundering by Executive at (b) (4) Involving Various FDIC Insured Institutions	Investigation Closed	New York
61	Investigation	N-2017-0005	Money Laundering and BSA Violations by Bank Employee at FDIC Insured Institution, Regions Bank, Birmingham, AL	Investigation Closed	New York
62	Investigation	N-2017-0009	Loan Fraud Scheme by Former Campaign Manager Involving FDIC Insured Institution, The Federal Savings Bank, Chicago, IL	Investigation Closed	New York
63	Investigation	N-2017-0010	Market Manipulation in Variable Interest Rate Municipal Bonds, Multiple Institutions, New York, NY	Investigation Closed	New York
64	Investigation	N-2017-0012	Bank Fraud and Identity Theft by Bank Employee, (b) (4) (FDIC Supervised), Jersey City, NJ	Investigation Closed	New York
65	Investigation	N-2018-0003	Asset Based Lending Fraud Involving FDIC Insured Institution, (b) (4)	Investigation Closed	New York
66	Investigation	N-2018-0011	Allegations regarding BSA/AML program deficiencies at (b) (4)	Investigation Closed	New York

67	Investigation	N-2018-0014	Cyber Financial Crimes Involving (b) (4), (b) (7)(E) and FDIC-Insured (b) (4)	Investigation Closed	New York
68	Investigation	N-2020-0001	SBA Loan Fraud, (b) (4), (b) (4) NJ	Investigation Closed	New York
69	Investigation	S-2013-0015	Fraud by Current Employee at (b) (4), Bellingham, WA	Investigation Closed	San Francisco
70	Investigation	S-2016-0004	Fraud by Bank Customers at FDIC Supervised Institution, (b) (4)	Investigation Closed	San Francisco
71	Investigation	S-2017-0005	Misappropriation by Bank Executive; (b) (4) (FDIC Supervised), (b) (4), MT (Victim);	Investigation Closed	San Francisco
72	Investigation	S-2018-0008	Embezzlement by Former Bank Employee at FDIC-Supervised Institution, Stockman Bank of Montana	Investigation Closed	San Francisco
73	Investigation	S-2018-0009	Fraud and Money Laundering Through FDIC-Insured Institution, (b) (4), Provo, UT	Investigation Closed	San Francisco
74	Investigation	S-2018-0011	Structuring and Money Laundering by Money Service Business; (b) (4) NJ (FDIC Insured)	Investigation Closed	San Francisco
75	Investigation	S-2018-0012	Financial Institution/Bank Insiders, Wells Fargo Bank, N.A. and Wells Fargo & Company	Investigation Closed	San Francisco
76	Investigation	X-2016-0004	Business Email Compromise involving company in Southern Florida	Investigation Closed	ECU
77	Investigation	X-2018-0001	Hotline complaint alleging contract fraud	Investigation Closed	ECU
78	Investigation	X-2020-0009	FDIC/NY State Gaming Commission Impersonation	Investigation Closed	ECU
79	Inquiry	A-2020-0016	PPP Loan Fraud/Bank Fraud by Significant Customer	Inquiry Closed	Atlanta