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Description of document: List of Smithsonian Institution (SI) Office of Inspector General (OIG) Investigations Closed in CY 2020

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OFFICE OF THE INSPECTOR GENERAL



February 24, 2021

Via Email

This is our response to your request, dated December 29, 2020 and received on January 27, 2021, in which you asked for “a copy of the list of Smithsonian Institution Office of the Inspector General investigations CLOSED during Calendar Year 2020.”

The Smithsonian Institution and its OIG are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution’s mandate to increase and diffuse knowledge. See 20 U.S.C. §41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist

Accordingly, we are providing the attached 3 pages of responsive information.

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,

A handwritten signature in black ink, appearing to read "Epin H. Christensen".

Epin H. Christensen
Counsel to the Inspector General

Smithsonian OIG
Closed Cases in Calendar Year 2020

Case Number	Offense Category	Date Closed
C20OIG00011	Other	1/2/2020
C20OIG00006	Personnel Issue	1/2/2020
C20OIG00001	Abuse of Authority, Abuse/misuse SI Personnel, Abuse/misuse SI Property (e.g., computer. telephone, vehicle), Gross Waste of Funds, Violation of Standards of Conduct	1/2/2020
C19OIG00268	Other	1/2/2020
C20OIG00322	Contract/Procurement Fraud, Other Fraud	1/7/2020
C20OIG00035	Not Applicable	1/7/2020
P20OIG00020	Other	1/8/2020
C20OIG00037	Not Applicable	1/8/2020
C20OIG00036	Other	1/8/2020
C19OIG00320	Public Concern-Other, Violation of Standards of Conduct	1/8/2020
C20OIG00029	Time and Attendance Fraud	1/16/2020
C20OIG00030	Other	1/16/2020
C20OIG00031	Other	1/16/2020
C20OIG00033	Other	1/16/2020
C20OIG00034	Personnel Issue	1/16/2020
18-038	Migrated Case	2/10/2020
C20OIG00056	Not Applicable	2/11/2020
C20OIG00055	Gross Mismanagement	2/11/2020
C20OIG00052	Not Applicable	2/11/2020
C20OIG00017	Time and Attendance Fraud	2/11/2020
I19OIG00291	Abuse of Authority, Violation of Standards of Conduct	2/20/2020
16-081	Gross Mismanagement, Other	2/27/2020
17-071	Abuse/misuse SI Property (e.g., computer. telephone, vehicle), Time and Attendance Fraud	2/27/2020
I19OIG00274	Violation of Standards of Conduct	3/3/2020
C20OIG00063	Not Applicable	3/3/2020
18-048	Collections	3/3/2020
18-039	Time and Attendance Fraud	3/10/2020
C20OIG00062	Public Concern-Other	3/10/2020
C20OIG00061	Not Applicable	3/18/2020
C20OIG00060	Not Applicable	3/18/2020
C20OIG00053	Not Applicable	3/18/2020
C20OIG00051	Not Applicable	3/18/2020
C20OIG00050	Not Applicable	3/18/2020
C20OIG00040	Personnel Issue	3/18/2020
P20OIG00032	Contract/Procurement Fraud	3/27/2020
I18OIG00160	Other	3/27/2020
C20OIG00067	Public Concern-Safety/Health	3/27/2020
C20OIG00003	Abuse/misuse SI Property (e.g., computer. telephone, vehicle), Public Concern-Safety/Health, Violation of Standards of Conduct	3/27/2020
C20OIG00028	Other Fraud	3/27/2020
C20OIG00038	Public Concern-Other	3/27/2020
C20OIG00039	Public Concern-Other	3/27/2020
C20OIG00065	Not Applicable	3/30/2020
I19OIG00240	Contract/Procurement Fraud, Other Fraud	3/31/2020
P20OIG00058	Theft-Collections	3/31/2020
I20OIG00323	Other	3/31/2020
C20OIG00057	Abuse/misuse SI Personnel, Personnel Issue, Threats	3/31/2020
C20OIG00069	Not Applicable	3/31/2020
P19OIG00241	Other Fraud	4/7/2020

Smithsonian OIG
Closed Cases in Calendar Year 2020

Case Number	Offense Category	Date Closed
C20OIG00002	Abuse of Authority, Abuse/misuse SI Personnel, Personnel Issue	4/8/2020
P20OIG00014	Personnel Issue	4/13/2020
P19OIG00251	Abuse of Authority, Violation of Standards of Conduct, Contract/Procurement Fraud	4/13/2020
I19OIG00186	Theft-Collections	4/30/2020
C20OIG00073	Not Applicable	4/30/2020
C20OIG00072	Public Concern-Other	4/30/2020
C20OIG00059	Abuse/misuse SI Personnel, Other	5/4/2020
P20OIG00071	Violation of Standards of Conduct	5/7/2020
C20OIG00068	Public Concern-Other	5/7/2020
C20OIG00075	Not Applicable	5/18/2020
C20OIG00077	Public Concern-Other	5/18/2020
I19OIG00219	Personnel Issue	5/18/2020
P20OIG00054	Gross Mismanagement	5/18/2020
I19OIG00255	Abuse of Authority	5/19/2020
C20OIG00076	Not Applicable	5/19/2020
C20OIG00078	Not Applicable	5/22/2020
C20OIG00081	Not Applicable	5/22/2020
C20OIG00083	Not Applicable	5/27/2020
C20OIG00079	Not Applicable	5/28/2020
C20OIG00080	Other	6/2/2020
C20OIG00085	Not Applicable	6/2/2020
C20OIG00086	Not Applicable	6/22/2020
C20OIG00089	Not Applicable	6/24/2020
C20OIG00090	Not Applicable	6/24/2020
C20OIG00091	Not Applicable	7/8/2020
C20OIG00092	Not Applicable	7/21/2020
C20OIG00093	Not Applicable	7/21/2020
C20OIG00094	Not Applicable	7/21/2020
C20OIG00095	Violation of Standards of Conduct	7/21/2020
I19OIG00238	Violation of Standards of Conduct, Time and Attendance Fraud	7/21/2020
C20OIG00097	Not Applicable	7/22/2020
C20OIG00121	Not Applicable	7/28/2020
C20OIG00118	Other, Personnel Issue	7/28/2020
C20OIG00107	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00098	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00099	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00100	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00102	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00103	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00104	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00105	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00106	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00108	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00109	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00110	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00111	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00112	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00113	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00114	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00115	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00116	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00117	Public Concern-Other	7/30/2020

Smithsonian OIG
Closed Cases in Calendar Year 2020

Case Number	Offense Category	Date Closed
C20OIG00119	Public Concern-Other	7/30/2020
C20OIG00120	Public Concern-Other, Violation of Standards of Conduct	7/30/2020
C20OIG00122	Other	7/30/2020
C20OIG00096	Violation of Standards of Conduct	9/1/2020
C20OIG00123	Public Concern-Other	9/1/2020
C20OIG00124	Other	9/1/2020
C20OIG00125	Public Concern-Other	9/1/2020
C20OIG00126	Public Concern-Other	9/1/2020
C20OIG00134	Not Applicable	9/1/2020
C20OIG00132	Abuse of Authority, Contract/Procurement Fraud, Gross Mismanagement	9/2/2020
C20OIG00135	Not Applicable	9/2/2020
C20OIG00136	Not Applicable	9/2/2020
C20OIG00137	Not Applicable	9/2/2020
C20OIG00130	Other	9/14/2020
C20OIG00141	Not Applicable	9/14/2020
C20OIG00142	Not Applicable	9/14/2020
C20OIG00143	Not Applicable	9/14/2020
C20OIG00144	Public Concern-Other	9/14/2020
C20OIG00146	Not Applicable	9/14/2020
C20OIG00131	Not Applicable	9/17/2020
C20OIG00127	Abuse of Authority, Personnel Issue, Theft-Cash or Resources	9/29/2020
C20OIG00148	Public Concern-Other	9/29/2020
C20OIG00151	Not Applicable	9/29/2020
P19OIG00309	Other	9/29/2020
C20OIG00147	Not Applicable	9/30/2020
C20OIG00149	Abuse of Authority	9/30/2020
I20OIG00084	Personnel Issue, Violation of Standards of Conduct	9/30/2020
C20OIG00153	Other	9/30/2020
C20OIG00154	Not Applicable	9/30/2020
C18OIG00138	Other	10/1/2020
C21OIG00001	Other Fraud	10/19/2020
C21OIG00002	Not Applicable	10/19/2020
C21OIG00003	Not Applicable	10/19/2020
C21OIG00005	Not Applicable	10/19/2020
C21OIG00008	Not Applicable	10/19/2020
C21OIG00009	Not Applicable	10/19/2020
I19OIG00287	Time and Attendance Fraud, Violation of Standards of Conduct	10/19/2020
C21OIG00010	Public Concern-Other	10/30/2020
C21OIG00011	Public Concern-Other	10/30/2020
C21OIG00012	Not Applicable	10/30/2020
I20OIG00066	Violation of Standards of Conduct	10/30/2020
C21OIG00014	Not Applicable	11/18/2020
C21OIG00016	Threats	11/18/2020
C21OIG00017	Not Applicable	11/18/2020
C21OIG00018	Not Applicable	11/18/2020
C21OIG00019	Not Applicable	11/18/2020
C21OIG00020	Not Applicable	11/18/2020
C21OIG00021	Not Applicable	11/18/2020
C21OIG00022	Public Concern-Safety/Health	11/18/2020
P20OIG00064	Misuse of Funds	12/7/2020