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Washington, DC 20585  
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**Department of Energy**  
Washington, DC 20585

September 15, 2020

*Via Email*

Re: Freedom of Information Act Request HQ-2020-00622-F

This is a final response from the Department of Energy (DOE) Office of Inspector General (OIG) to your request for information under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. You requested a copy of each management advisory, management advisory memorandum, and management advisory report produced by the Department of Energy Office of Inspector General since January 1, 2017, and a printout of the listing of management advisories, management advisory memoranda, and management advisory reports issued by the Department of Energy OIG since January 1, 2010.

In your March 27, 2020, email response to Patricia Hance of the OIG, you agreed to waive all attachments.

The OIG has completed the search of its files and identified 348 documents responsive to your request. A review of these documents and a determination concerning their release has been made pursuant to the FOIA. Based on this review, the OIG determined that certain material should be withheld from these documents pursuant to subsections (b)(6) and (b)(7)(C) of the FOIA, referred to as Exemptions 6 and 7(C), respectively. Specifically, the OIG determined:

- Documents 1-261, 263-285, 287-295, 297-301, 303-311, 313-317, 319-348, are being released to you with certain material withheld pursuant to Exemptions 6 and 7(C).
- Document 262 is being referred to the National Nuclear Security Administration (NNSA) for a determination concerning its releasability. NNSA will respond to you directly regarding this document.
- Document 318 originated with the National Science Foundation (NSF) is being referred to NSF for a determination concerning its releasability. NSF will respond to you directly regarding this document.



- Documents 286, 302, and 312 have been referred to DOE's Office of Management and Administration (MA) for a determination concerning their releasability. MA will respond to you directly regarding these documents.
- Document 296 originated with the Carlsbad Field Office (CBFO) and has been forwarded to CBFO for a determination concerning its releasability. CBFO will respond to you directly regarding this document.

Exemption 6 protects from disclosure "personnel and medical and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. . . ." Exemption 7(C) provides that "records or information compiled for law enforcement purposes" may be withheld from disclosure, but only to the extent the production of such documents "could reasonably be expected to constitute an unwarranted invasion of personal privacy. . . ."

Names and information that would tend to disclose the identity of certain individuals have been withheld pursuant to Exemptions 6 and 7(C). Individuals involved in the OIG enforcement matters, which in this case include subjects, witnesses, sources of information, and other individuals, are entitled to privacy protections so that they will be free from harassment, intimidation and other personal intrusions.

In invoking Exemptions 6 and 7(C), we have determined that it is not in the public interest to release the withheld material. In this request, we have determined that the public interest in the identity of individuals who appear in these files does not outweigh these individuals' privacy interests. Those interests include being free from intrusions into their professional and private lives.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See U.S.C. § 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

To the extent permitted by law, the DOE, in accordance with Title 10, Code of Federal Regulations (C.F.R.), Section 1004.1, will make available records it is authorized to withhold pursuant to the FOIA unless it determines such disclosure is not in the public interest.

As required, all releasable information has been segregated from the material that is withheld and is provided to you. See 10 C.F.R. § 1004.7(b)(3).

This decision may be appealed within 90 calendar days from your receipt of this letter pursuant to 10 C.F.R. § 1004.8. Appeals should be addressed to the Director, Office of Hearings and Appeals, HG-1 /L'Enfant Plaza Building, U.S. Department of Energy, 1000 Independence

Avenue, SW, Washington, DC 20585-1615 or by email to [OHA.filings@hq.doe.gov](mailto:OHA.filings@hq.doe.gov), including the phrase "Freedom of Information Appeal" in the subject line.

Thereafter, judicial review will be available to you in the Federal district court either 1) in the district where you reside; 2) where you have your principal place of business;

3) where the Department's records are situated, or 4) in the District of Columbia.

If you have any questions about the processing of your request, you may contact our FOIA Public Liaison, Mr. Alexander Morris, by phone at (202) 586-5955 or by email at [Alexander.Morris@hq.doe.gov](mailto:Alexander.Morris@hq.doe.gov), to discuss any aspect of your request. Please know that you also have the right to seek dispute resolution services from the FOIA Public Liaison or the

Office of Government Information Services, <https://ogis.archives.gov>, via telephone at (202) 741-5770 // (877) 684-6448 (toll free), by fax: (202) 741-5769, or email [ogis@nara.gov](mailto:ogis@nara.gov).

Sincerely,

**Dustin R.  
Wright**

Digitally signed by Dustin  
R. Wright  
Date: 2020.09.15  
16:57:54 -04'00'

Dustin R. Wright  
Assistant Inspector General  
for Investigations  
Office of Inspector General

Enclosure

Investigative Reports to Management		
5/2/2020		
Number	Title	Date Closed
09-0026-I	(b)(6), (b)(7)(C) FC-FS T&A-TRAVEL FRAUD; NREL	07Jun2018
10-0006-I	MONTOYA, NICHOLAS; TGP; LANL	19Oct2015
10-0053-I	Cabrera Services; Per Diem Fraud; West Valley, NY	18Oct2019
10-0112-I	(b)(6), (b)(7)(C); (b)(6), (b)(7)(C); LOBBYING WITH FEDERAL FUNDING	16Feb2017
10-0122-I	PROCAP ET AL; WEATHERIZATION FALSE CLAIMS AND COI	01Aug2016
11-0020-I	(b)(6), (b)(7)(C) TGP - P-CARD; SNL-NM	10Nov2016
11-0024-I	OLHSA; Conflict of Interest WAP; Pontiac, MI	22Jul2015
11-0087-I	ADVANCED SCIENCE AND NOVEL TECHNOLOGY; SBIR FRAUD; DOE	19Dec2018
11-0091-I	(b)(6), (b)(7)(C); ACCEPTING GRATUITIES; FT. CHAFFEE, AR	03Mar2016
11-0107-I	(b)(6), (b)(7)(C); ARIZONA PUBLIC SERVICE CO; FALSE CLAIMS	18Aug2014
12-0002-I	(b)(6), (b)(7)(C); FC-FS T&A ABUSE; SNL-NM	15May2015
12-0009-I	(b)(6), (b)(7)(C) - OTHERS; T&A-TRAVEL FRAUD; NNSA OST	10Oct2018
12-0015-I	AA SOLAR; FS-FC TGP ARRA GRANT FUNDS; DANVILLE, IL	10Jan2020
12-0018-I	350 GREEN LLC; FC-FS ARRA GRANT; CHICAGO, IL	17Dec2019
12-0019-I	TROSMAN, GRIGORY; KICKBACKS - COI; DOE-HQ	30Jan2020
12-0047-I	Hendrickson, Michael, Contract Fraud, Idaho National Laboratory	26Aug2019
12-0083-I	TOPS; COI; ETPP	28Oct2019
12-0101-I	(b)(6), (b)(7)(C) THEFT OF GOVERNMENT FUNDS; MORGANTOWN, WV	14Oct2015
12-0106-I	(b)(6), (b)(7)(C); THEFT OF GOVERNMENT FUNDS; SRS	16Aug2017
12-0108-I	NEW AGE CONCEPTS; WAP FRAUD; NC	29Apr2015



13-0007-I	TECHNOLOGY INTEGRATION GROUP (TIG); FC-Theft of Public Money; SNL-NM	24Oct2019
13-0012-I	GRIEGO, SAM; TGP; SNL-NM	02Jun2017
13-0015-I	Baros-Mandragon; TGP - GSA FUEL CARDS; LANL	05Jun2017
13-0027-I	ARRA: SIGORA SOLAR; FALSE CLAIMS (EE)	29Dec2014
13-0036-I	CEPHAS INDUSTRIES; THEFT OF GOV PROPERTY; EE (ARRA)	21Nov2016
13-0040-I	(b)(6), (b)(7)(C) WAP FRAUD; EERE	08Sep2015
13-0056-I	(b)(6), (b)(7)(C) TGP COMPUTER PARTS; LIVERMORE LAB	15Sep2016
13-0093-I	WVES; FALSE CLAIMS ON CONTRACT CLOSEOUT WEST VALLEY	22Jul2015
13-0096-I	(b)(6), (b)(7)(C) CONFLICT OF INTEREST; NETL	30May2014
13-0100-I	BECHTEL NATIONAL, INC.; CIVIL FALSE CLAIMS; WTP HANFORD	01Aug2018
13-0103-I	WRPS; FALSE CLAIMS, EMERGENCY CALL IN OVERTIME	01Aug2018
13-0113-I	ARRA: CLEAN FUEL LLC; FALSE CLAIMS; FLORIDA	26Jul2019
13-0121-I	(b)(6), (b)(7)(C) COMPUTER FRAUD; SRS	18Jun2014
14-0001-I	(b)(6), (b)(7)(C) DRUG USE - DISTRIBUTION; LANL	03Mar2016
14-0014-I	SCOTT, MARTY; FC-FS T&A FRAUD; NREL	14Jul2017
14-0018-I	UNKNOWN SUBJECT; TGP - FUEL; SANDIA-CA	24Nov2014
14-0024-I	MEC; C&O Conservation; Housewarmers; Weatherization Irregularities; MD	19Feb2016
14-0062-I	Cahill, Cutchlow; Tax Fraud; Fermi	07Jul2016
14-0063-I	Acheson; Theft of Government Property; ORP	28Nov2016
14-0064-I	H2 Technologies Group; False Claims; Nevada	23Aug2018
14-0069-I	FISKER; BID RIGGING; LPO	01Aug2016
14-0079-I	(b)(6), (b)(7)(C) Theft of Government Property; Lawrence Livermore National Laboratory	21Nov2019
14-0087-I	(b)(6), (b)(7)(C) Theft of Government Property; Lawrence Berkeley National Laboratory	27Jan2020
14-0091-I	(b)(6), (b)(7)(C) Adult Pornography; HQ OCIO	14May2015
14-0092-I	Regents of the Univ of CA; Qui-Tam; LBNL	11Aug2015



14-0098-I	Aries Design Automation, LLC; False Statements; Chicago, IL	17Aug2017
14-0106-I	(b)(6), (b)(7)(C); Misuse of Position, Sexual Harassment; EERE	23Jul2015
14-0108-I	(b)(6), (b)(7)(C); FS/TGP; Sandia National Laboratories-NM	24Nov2015
14-0113-I	Y-12 Letter; Security; Oak Ridge, TN (UCNI)	06Jul2015
14-0115-I	(b)(6), (b)(7)(C); Theft of Government Property; Sandia	19Sep2016
14-0121-I	(b)(6), (b)(7)(C); (b)(6), (b)(7)(C); TGP/FS; Los Alamos National Laboratory	22Jul2015
14-0122-I	(b)(6), (b)(7)(C); False Claims; ORNL	14Feb2017
14-0123-I	(b)(6), (b)(7)(C) & Others; Misuse of Govt Property-FC/FS; SWPA	25Jul2016
15-0007-I	Christie, Trent; Theft of Government Property; Kansas City Plant	25Oct2018
15-0008-I	(b)(6), (b)(7)(C); Misuse of Government Resources; SRS, Aiken, SC	10Aug2018
15-0013-I	Community Action of Minneapolis; Misuse of Grant - ARRA; [REDACTED] MN	04Feb2019
15-0048-I	Multiple Subjects; Government Purchase Card Fraud/Public Corruption; WAPA	07Jun2019
15-0066-I	Mascote, Raul; Theft of Government Property; ANL	16May2018
15-0082-I	Unknown Subjects; Wire Fraud; INL	06Jul2018
15-0083-I	EYASU and Crown Technical Systems; Procurement Integrity Act Violation & Contractor Irregularities; WAPA	12Mar2018
15-0087-I	(b)(6), (b)(7)(C); Abuse of Power; EIA	19Oct2015
15-0102-I	(b)(6), (b)(7)(C); Federal Firearms Violation; Pantex Plant - Amarillo, TX	02Mar2016
15-0104-I	Palermo, Joesph; Importation of Controlled Substance; ANL	20Dec2018
15-0106-I	(b)(6), (b)(7)(C); Conflict of Interest; FERC	15Aug2019
15-0107-I	(b)(6), (b)(7)(C); Conflict of Interest; West Valley Demonstration Project NY	29Nov2016
15-0112-I	(b)(6), (b)(7)(C); Theft of Government Property; LBNL	08Aug2016
15-0128-I	(b)(6), (b)(7)(C); POSSESSION / DISTRIBUTION OF CHILD PORNOGRAPHY; NETL-ALBANY,OR	24May2017

(b)(6)

<b>16-0001-I</b>	(b)(6), (b)(7)(C); Theft of Govt Property; Los Alamos National Laboratory	02Apr2018
<b>16-0004-I</b>	(b)(6), (b)(7)(C); Employee Misconduct; IN	21Jul2016
<b>16-0011-I</b>	Justice; Theft of Government Property; Oak Ridge Associated Universities, Oak Ridge	19Sep2019
<b>16-0013-I</b>	(b)(6), (b)(7)(C); Time and Attendance Fraud; OSTI	16Dec2016
<b>16-0027-I</b>	Calloway; Theft of Government Property; Y-12; Oak Ridge, TN	18Jul2017
<b>16-0028-T</b>	(b)(6), (b)(7)(C); Employee Misconduct; IN	21Mar2016
<b>16-0029-T</b>	(b)(6), (b)(7)(C); Employee Misconduct; IN	21Mar2016
<b>16-0030-T</b>	(b)(6), (b)(7)(C); Employee Misconduct; IN	15Mar2016
<b>16-0033-I</b>	(b)(6), (b)(7)(C); Employee Misconduct; IN	02May2016
<b>16-0034-I</b>	(b)(6), (b)(7)(C); Tax Fraud; Oak Ridge, TN	25Feb2020
<b>16-0062-T</b>	Misuse of Government IT Equipment (b)(6), (b)(7)(C); Office of Nuclear Safety and Environmental Assessments)	01Feb2017
<b>16-0065-I</b>	(b)(6), (b)(7)(C); Employee Misconduct; FERC	20Aug2018
<b>16-0069-I</b>	Conley; Child Solicitation; Hanford	20Feb2018
<b>16-0077-I</b>	Proactive-Unknown; Inappropriate use of government issued computer; SEPA	15Jun2016
<b>16-0083-I</b>	Eltron Research & Development; Overcharges and False Claims; NETL; Pittsburgh, PA	10Jan2018
<b>16-0084-I</b>	(b)(6), (b)(7)(C); (b)(6), (b)(7)(C); Contract Fraud; LANL	19Dec2019
<b>16-0085-I</b>	(b)(6), (b)(7)(C); Government Property Irregularities; Office of International Affairs	29Aug2018
<b>16-0105-I</b>	Misuse of Government IT Equipment (b)(6), (b)(7)(C); Office of Nuclear Safety and Environmental Assessments)	23Nov2016
<b>16-0110-I</b>	(b)(6), (b)(7)(C); GPC Fraud/Public Corruption; WAPA	23Oct2018
<b>16-0113-I</b>	(b)(6), (b)(7)(C); Theft of Government Property; Los Alamos National Laboratory	09Feb2018
<b>16-0133-I</b>	(b)(6), (b)(7)(C); Unlawful Activity; SC	21Aug2018



<b>17-0001-W</b>	(b)(6), (b)(7)(C); Alleged Whistleblower Retaliation; Batelle, Richland, WA.	17Aug2018
<b>17-0002-W</b>	(b)(6), (b)(7)(C); Alleged Retaliation; STTR/SBIR	30Nov2018
<b>17-0004-T</b>	Unknown; Computer Fraud; 2016 DOE Cyber Conference	17Nov2016
<b>17-0006-I</b>	(b)(6), (b)(7)(C); Obstruction; ORO; Oak Ridge, TN	25Jun2019
<b>17-0027-T</b>	(b)(6), (b)(7)(C); Employee Misconduct; FERC	02Mar2017
<b>17-0031-I</b>	Unknown; Theft of Government Funds; PNNL	07Mar2018
<b>17-0037-I</b>	(b)(6), (b)(7)(C); Controlled Substance; Sandia National Laboratories	11Jul2017
<b>17-0041-I</b>	(b)(6), (b)(7)(C); Ethics Violations, Conflict of Interest and Time Card Irregularities; LPO	11Jul2019
<b>17-0061-I</b>	(b)(6), (b)(7)(C); Theft; Y-12; Oak Ridge, TN	24Jan2019
<b>17-0067-I</b>	(b)(6), (b)(7)(C); Theft of Government Property; LLNL	20Jun2019
<b>17-0074-I</b>	(b)(6), (b)(7)(C); Theft of Government Property; BPA	02Feb2018
<b>18-0008-I</b>	TNT Portable Toilet; Contract Fraud; UCOR / Y-12 / ORNL	07Nov2017
<b>18-0010-W</b>	Alleged Retaliation; Brookhaven Science Associates; Brookhaven National Laboratory	26Jul2019
<b>18-0014-W</b>	(b)(6), (b)(7)(C); and Consolidated Nuclear Security, LLC; Retaliation; Y-12 National Security Complex	03Jan2020
<b>18-0016-T</b>	Subject Unknown; Identity Theft; SRS, Aiken, SC	11Apr2018
<b>18-0029-T</b>	(b)(6), (b)(7)(C); Death Threats; Paducah KY	20Jun2018
<b>18-0031-I</b>	(b)(6), (b)(7)(C); Unauthorized Release of Sensitive Information; Washington, DC	01Aug2019
<b>18-0035-I</b>	(b)(6), (b)(7)(C); Counter Proliferation; Oak Ridge, TN	16Apr2018
<b>18-0056-I</b>	(b)(6), (b)(7)(C); Time and Attendance Fraud; Bechtel National; Hanford Waste Treatment Plant	16Jan2020
<b>18-0058-I</b>	(b)(6), (b)(7)(C); Unauthorized Use of Government Networks/Computers; Idaho National Laboratory	17Dec2018
<b>19-0025-I</b>	(b)(6), (b)(7)(C); False Statements; Power Marketing Administration Shared Service Center, Lakewood, CO	17Mar2020

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19-0061-T	(b)(5), (b)(7)(C)	Conflict of Interest; FERC	10Jul2019
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## U.S. Department of Energy

Office of Inspector General  
Office of Investigations

September 21, 2017

### MEMORANDUM FOR THE DIRECTOR, OFFICE OF POLICY, OFFICE OF ACQUISITION MANAGEMENT

FROM:

(b)(6), (b)(7)(C)

Central Field Office

SUBJECT: Bribery of Public Officials (OIG Case No. 12-0019-I)

This memorandum serves to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG), Office of Investigations and the Federal Bureau of Investigation.

In summary, the investigation substantiated that Mr. Grigory Trosman, a former Department employee assigned to the Office of Nuclear Energy (NE-6), solicited and was paid bribes to secure Department contracts for various companies and individuals. On June 7, 2017, in the U.S. District Court, District of Maryland, Mr. Trosman plead guilty to one count of violating Title 18 United State Code § 371 (Conspiracy) and one count of violating Title 18 United States Code § 201 (Bribery). On September 15, 2017, Mr. Trosman was sentenced to 18 months incarceration, 3 years probation and ordered to pay \$469,287 in restitution, a \$75,000 fine and a \$200 special assessment fee.

Based on these facts and other information that may be available to you, the OIG recommends the Office of Policy, Office of Acquisition Management pursue debarment action against Mr. Trosman.

For your convenience, Mr. Trosman's contact and identifying information is:

Address: (b)(6), (b)(7)(C)

Month/Year of Birth: (b)(6), (b)(7)(C)

Last 4 digits of Social Security Number: (b)(6), (b)(7)(C)

In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken or plan to take.

Please contact me or (b)(6), (b)(7)(C) at (505) 845-(b)(6), (b)(7)(C) should you have any questions regarding this matter.

OIG Case No. 12-0019-I

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~~This document is for OFFICIAL USE ONLY.~~ Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a)

Attachments:

1. Judgment Document
2. Plea Agreement

cc: Office of General Counsel, Department of Energy





## U.S. Department of Energy

Office of Inspector General  
Office of Investigations

May 26, 2016

MEMORANDUM FOR THE DEPUTY ASSOCIATE ADMINISTRATOR FOR ACQUISITION  
AND PROJECT MANAGEMENT, NATIONAL NUCLEAR  
SECURITY ADMINISTRATION and MANAGER, SANDIA  
FIELD OFFICE, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Central Field Office  
Region 4 Investigations

SUBJECT: Receiving Stolen Property (OIG Case No. 13-0012-I)

This memorandum serves to inform you of the results of an investigation by the U.S. Department of Energy, Office of Inspector General (OIG), Office of Investigations.

In summary, the OIG investigation substantiated that Sam Griego Junior (Griego), a former Sandia National Laboratories (Sandia) employee, received and retained \$43,382 worth of property which was stolen from Sandia in 2008. The equipment was subsequently utilized by Mr. Griego for his business, Old 85 Precision Metals. The stolen property was recovered by the OIG pursuant to a search warrant served at Mr. Griego's residence and business locations. Further, Mr. Griego returned additional stolen property to the OIG following the judicial action undertaken in this matter. On April 20, 2016, Mr. Griego pleaded guilty in the Second Judicial District Court, Bernalillo County, New Mexico, to two counts of violating New Mexico Code Section 30-16-11, Receiving Stolen Property.

This memorandum includes two recommendations for corrective action. Based on these facts and other information that may be available to you, the OIG recommends the: 1) Office of Acquisition and Project Management determine if suspension and debarment action is warranted against the following individual and company; and, 2) Sandia Field Office Manager determine if the information included in this report warrants notification to appropriate security clearance personnel:

1. Sam Griego Junior

Date of Birth: (b)(6) (b)(7)(C)

Social Security Number: (b)(6) (b)(7)(C)

Address: (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

2. Old 85 Precision Metals  
Address: 1612 Isleta Boulevard Southwest  
Albuquerque, NM 87105  
DUNS# 831994624  
NM Business ID# 4652665

In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken or plan to take.

Please contact me at (505) 845- (b)(6) (b)(7)(F) or (b)(6) (b)(7)(C) at (505) 845- (b)(6) (b)(7)(F) should you have any questions regarding this matter.

Attachments





**U.S. Department of Energy**  
Office of Inspector General  
Office of Investigations

November 3, 2017

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY, OFFICE OF  
INTERNATIONAL AFFAIRS

FROM:

(b)(6) (b)(7)(C)

National Capital Fraud Office

SUBJECT:

Investigation of Theft of Government Property and Time and Attendance  
Fraud by Mr. (b)(6) (b)(7)(C) (OIG Case No. 16-0085-I)

This report serves to advise you of information obtained during the course of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG) regarding allegations of Time and Attendance Irregularities and Theft of Government Equipment involving Mr. (b)(6) (b)(7)(C) GS-13, (b)(6) (b)(7)(C) Office of Resource Management, Office of International Affairs (IA-10).

In summary, the investigation determined that over the period of five years; from November 20, 2012 to February 1, 2017, Mr. (b)(6) was absent from his place of duty for 1,047 hours cumulatively.

A review of Mr. (b)(6) (b) Automated Time and Attendance and Production System (ATAAPS) record revealed despite his submittal of nine-hour work days when scheduled to be at the Department's Forrestal building, his Homeland Security Presidential Directive (HSPD)-12 entry and exit badging activity showed he was away from the building for various periods of time.

During an interview, Mr. (b)(6) (b)(7)(C) admitted he was absent from his place of duty, and instead, either walked to various locations to conduct activity not related to his official duties, or drove his (b)(6) (b)(7)(C)

This investigation is currently ongoing.

The enclosed report makes four recommendations for corrective action. Should you have any questions regarding this matter, please contact me at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C).



Department of Energy  
Washington, DC 20585

November 30, 2018

MEMORANDUM FOR THE DIRECTOR, LOAN PROGRAMS OFFICE

FROM:

(b)(6) (b)(7)(C)

EASTERN FIELD OPERATIONS

SUBJECT:

Ethics Violations/Conflict of Interest Investigation  
(OIG Case No. 17-0041-I)

This report serves to advise you of the results of an investigation conducted by the U.S. Department of Energy, Office of Inspector General (OIG). This investigation involved allegations that (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) a Department employee in the Senior Executive Service serving as (b)(6) (b)(7)(C) Loan Programs Office, conducted outside employment activities while on duty at the Department utilizing Government resources.

During our investigation, the OIG determined that (b)(6) (b)(7)(C) accessed items related to her (b)(6) (b)(7)(C), on her Department issued computer equipment.

This report makes one recommendation for your consideration. Should you have any questions regarding this matter, please contact me at (865) 576- (b)(6) or (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6).

Attachments

Cc: Office of General Counsel  
Chief Financial Officer  
Deputy Chief Financial Officer





## Department of Energy

Washington, DC 20585

March 1, 2017

### MEMORANDUM FOR THE DEPUTY ASSOCIATE ADMINISTRATOR FOR ACQUISITION AND PROJECT MANAGEMENT, NATIONAL NUCLEAR SECURITY ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Eastern Field Office

SUBJECT:

Investigation of False Claims (OIG Case No. 16-0027-I)

This memorandum and attached supporting documentation serve to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG) and the Tennessee Valley Authority (TVA) OIG. On June 14, 2016, Jim L. Calloway, an employee of both the Department's National Nuclear Security Administration (NNSA) and TVA contractors, pleaded guilty to an Information charging him with submitting false and fraudulent claims for the payment of money in violation of Title 18, United States Code, Section 287.

During the investigation, the OIG determined Mr. Calloway did make and present to NNSA and TVA claims for requests for reimbursement of temporary living allowances and relocation expenses "knowing that the claims were false, fictitious, and fraudulent." Specifically, Mr. Calloway claimed he maintained a permanent residence in Houston, Texas from June 2011 to December 2015 when, in fact, he permanently moved his residence to Tennessee prior to submitting those claims. On January 19, 2017, Mr. Calloway was sentenced to 3 years' probation, 150 hours of community service, a \$100 special assessment fee, and restitution of \$116,493.70 of which \$7,383.68 were NNSA funds.

Based on these facts and other information that may be available to you, the OIG recommends that your office determine if suspension and/or debarment action is warranted against the following individual:

Mr. Jim L. Calloway

(b)(6) (b)(7)(C)

Date of Birth (b)(6) (b)(7)(C)

Social Security Number: (b)(6) (b)(7)(C)

In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken or plan to take.

Please contact me at (865) 576-[REDACTED] should you have questions regarding this matter.

Attachments:

- 1) Information
- 2) Plea Agreement
- 3) Sentencing

cc: Office of General Counsel

Mr. [REDACTED], NNSA Production Office



~~OFFICIAL USE ONLY~~



**Department of Energy**  
Washington, DC 20585

September 24, 2019

MEMORANDUM FOR THE UNDER SECRETARY FOR SCIENCE, S4

FROM:

(b)(6) (b)(7)(C)

Eastern Field Operations

SUBJECT:

Investigative Closure Memorandum (OIG Case Number 19-0047-1)

The purpose of this memorandum is to report the results of an Office of Inspector General (OIG) investigation into allegations of criminal or administrative wrongdoing.

ALLEGATION

On April 26, 2019, the OIG initiated an investigation to resolve an allegation that former (b)(6) (b)(7)(C), Ms. (b)(6) (b)(7)(C) was involved in a personal conflict of interest with (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) CH2MHill, West Valley, the current prime contractor at the Department of Energy's West Valley Demonstration Project. During the course of the investigation, additional allegations were developed, specifically that Ms. (b)(6) (b)(7)(C) (1) stayed at the home of a Department contractor while on a Department business trip to Las Vegas; (2) used her position to place individuals on Source Selection Boards whom she could easily influence; (3) inappropriately attempted to hire former business acquaintances to work for the Department on Interpersonal Agreements; (4) retaliated against an employee working in the Department's Environmental Management Consolidated Business Center in Cincinnati, Ohio by relocating the individual to a position in Washington, DC; and (5) provided a subordinate preferential treatment in exchange for rides to the office.

POTENTIAL STATUTORY OR REGULATORY VIOLATIONS

This investigation was initiated to determine if a violation of Title 18 U.S. Code, Part I, Chapter 11, Section 208, Acts Affecting a Personal Financial Interest, had occurred.

INVESTIGATIVE FINDINGS

The OIG conducted a thorough investigation of this matter, including numerous witness interviews and document reviews. On September 10, 2019, the OIG interviewed Ms. (b)(6) (b)(7)(C), and she denied all allegations of wrongdoing.

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~~OFFICIAL USE ONLY~~

Having completed all prudent investigative activities, the OIG did not substantiate the above-listed allegations against Ms. (b)(6) (b)(7)(C).

### CONCLUSION

This investigation is closed. The former Department employee listed above has been cleared of any wrongdoing related to the above-listed allegations based on currently available information. In the event that new allegations emerge related to this case or this former employee, the OIG may reopen this case or initiate a new investigation.

Should you have any questions, please do not hesitate to call me at (865) 576-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) at (412) 386-(b)(6) (b)(7)(C).

### Privacy Act and Freedom of Information Act Notice

This report, including any attachments and information contained therein, is the property of the OIG and is ~~for OFFICIAL USE ONLY~~. The original and any copies of the report must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior OIG written approval is strictly prohibited and may subject the disclosing party to liability.

Unauthorized persons may include, but are not limited to, individuals referenced in the report, contractors, and individuals outside the Department. Public disclosure is determined by the Freedom of Information Act (5 U.S.C. § 552) and the Privacy Act (5 U.S.C. § 552a).

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**U.S. Department of Energy**  
Office of Inspector General  
Office of Investigations

October 25, 2017

**MEMORANDUM FOR THE SITE MANAGER, PACIFIC NORTHWEST SITE OFFICE**

**FROM:**

**FoA**

(b)(6) (b)(7)(C)

Western Field Office

**SUBJECT:**

Investigation Concerning Spear-Phishing Scheme on Pacific Northwest National Laboratory Resulting in Fraudulent Payment (OIG Case No. 17-0031-I)

This report serves to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG). The investigation was predicated based on allegations that a fraudulent entity posing as Fowler General Construction, Inc. (Fowler Construction), a legitimate Pacific Northwest National Laboratory (PNNL) subcontractor, stole over \$530,000 through an electronic mail (e-mail) scheme.

The OIG conducted multiple interviews and reviewed various records during this investigation. A review of the e-mail correspondence between PNNL and the subjects of the investigation revealed that PNNL was defrauded through an unsophisticated spear-phishing scheme. The fraudsters used an e-mail address resembling that being used by Fowler Construction to contact PNNL in an effort to solicit information. Specifically, the conspirators e-mailed PNNL and requested an Automated Clearing House (ACH) form to change the bank routing information PNNL had on file for Fowler Construction. Subsequently, within three days of the initial spear-phishing attempt, a PNNL employee changed the legitimate bank account information for Fowler Construction with the fraudulent bank account information provided by the conspirators.

Between November 9 and November 28, 2016, the subjects of the investigation corresponded with PNNL via e-mail on four separate occasions. The initial communication was an e-mail sent from the conspirators to PNNL's (b)(6) (b)(7)(C) requesting a new ACH form. The second e-mail was sent to the PNNL Accounts Payable where the conspirators submitted the completed ACH form containing the fraudulent banking information. The third e-mail, sent on November 11, 2016, was to confirm with the (b)(6) (b)(7)(C) that all future payments would be submitted to the new bank account. On November 28, 2016, the conspirators sent a final e-mail to the (b)(6) (b)(7)(C) to follow-up and inquire about the invoice payment date. Following a response from the (b)(6) (b)(7)(C) no other contact was made between PNNL and the subjects of the investigation. Subsequently, on December 16, 2016, a payment was made by PNNL, via electronic funds transfer, to the subjects' fraudulent account in the amount of \$530,167.50.

OIG Case No. 17-0031-I

1

This document is for ~~OFFICIAL USE ONLY~~. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).



The OIG investigation determined through interviews with banking officials and other witnesses that the subjects of the investigation utilized fraudulent identifications in the form of driver's licenses and passports to open bank accounts at various financial institutions throughout the Dallas/Fort Worth, Texas area. Based on the use of these fictitious identifications, the actual identities of the subjects remain unknown.

During the course of the OIG investigation, the PNNL also performed its own internal review of the incident. Subsequently, in August 2017, PNNL issued a report titled, "Corrective Action Plan: Payment to a Fraudulent Subcontractor" (CAP). The CAP report revealed that PNNL did not address external fraud threats. As example, on November 9, 2016, the conspirators sent one spear-phishing e-mail to PNNL requesting a new "ACH/Direct Deposit" form, and approximately 5 hours later the conspirators received an e-mail from PNNL's Accounts Payable with the ACH template attached.

The CAP report stated, "PNNL verified the [ACH change] request using the procedures in place at the time and then processed the request..." The CAP report did not provide PNNL's procedures for processing ACH change requests at the time of the incident. The CAP report further stated, "The Accounts Payable (AP) (b)(6) (b)(7)(C) verified the information on the Automated Clearing House (ACH) request... the (b)(6) (b)(7)(C) unknowingly changed the bank account to the one that belonged to the fraudulent entity..." Neither the CAP report nor the OIG investigation revealed any verbal communications between the PNNL's Accounts Payable (b)(6) (b)(7)(C) and the point of contact on record for Fowler Construction to verify the legitimacy of the ACH change request. The lack of verification supports PNNL's CAP report finding that PNNL did not have the controls in place to identify, detect, and/or respond to external fraud threats.

The OIG investigation had been coordinated with the United States Attorney's Office for the Eastern District of Washington for possible criminal prosecution; however, the subjects involved in the scheme could not be identified or located. Subsequently, the OIG is providing this report to your office for consideration of administrative action.

Based on the facts contained in this report and other information which may be available to you, the OIG recommends that the Pacific Northwest Site Office Manager, or designated Contracting Officer, determine whether any and all costs associated with PNNL's payment to the fraudulent entity should be disallowed in accordance with the provisions set forth in the PNNL contract.

In accordance with Department Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this report. Should you have any questions regarding this matter, please contact me at 925-423-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) at 509-376-(b)(6) (b)(7)(C).

Attachments

1. Spear-Phishing E-mail Correspondence
2. PNNL Fact Finding Report
3. PNNL Corrective Action Plan



U.S. Department of Energy

Washington, DC 20585

February 9, 2018

MEMORANDUM FOR UNDERSECRETARY OF SCIENCE

FROM:

April G. Stephenson

Principal Deputy Inspector General

SUBJECT:

Conflicts of Interest and False Statements Investigation  
(OIG Case No. 12-0083-1)

This memorandum and attached supporting documentation serve to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG). The OIG received allegations that URS/CH2M Oak Ridge (UCOR), the prime contractor at the Department's East Tennessee Technology Park, had a conflict of interest with subcontractor Transportation, Operations, and Professional Services, Inc. (TOPS) because TOPS employed (b)(6) (b)(7)(C) (b)(6) (b)(7)(C).

The report includes three recommendations for corrective action. If you have any questions, please contact me at (202) 586- (b)(6) (b)(7)(C).

Attachments

cc: Office of General Counsel





Department of Energy  
Office of Inspector General  
Office of Investigations

June 28, 2019

MEMORANDUM FOR THE SECRETARY OF ENERGY

FROM:

Teri L. Donaldson *Deputy Assistant FOR*  
Inspector General

SUBJECT:

INFORMATION: Retaliation Complaint Pursuant To Title 41 United States Code Section 4712 (OIG Case No. 18-0010-W)

This report addresses a complaint filed by (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) with the Department of Energy's Office of Inspector General under Title 41 United States Code, Section 4712, "Enhancement of Contractor Protection from Reprisal for Disclosure of Certain Information." (b)(6) (b)(7)(C) asserted that his reporting of possible waste, fraud, abuse, or mismanagement, and possible violations of law, rule, or regulation related to his former employer, Brookhaven Science Associates (BSA) at Brookhaven National Laboratory (BNL), was a contributing factor in the termination of his employment as a (b)(6) (b)(7)(C) (contractor employee), effective (b)(6) (b)(7)(C).

In order to make a *prima facie* case, the complainant must establish by a preponderance of the evidence that he (1) made a protected disclosure that he reasonably believes is evidence of gross mismanagement, a gross waste of funds, an abuse of authority, a substantial and specific danger, or a violation of law, rule, or regulation, (2) establish that management was aware of the disclosure, and (3) establish that a retaliatory action was taken as a result of the protected disclosure. The complainant must prove each of these elements by at least circumstantial evidence, such as the proximity in time between the protected disclosure and the same action. Assuming that the complainant meets this burden, the burden of proof shifts to the employer, which must demonstrate, by clear and convincing evidence, that it would have taken the same personnel action absent the protected disclosure.

We did not find that (b)(6) (b)(7)(C) made a protected disclosure, either to (b)(6) (b)(7)(C) or BNL's Employee Concerns office, and we did not find that BSA had knowledge of or reasonably believed (b)(6) (b)(7)(C) made a protected disclosure when it terminated his employment on (b)(6) (b)(7)(C).

Based on evidence gathered during the course of the investigation, we determined (b)(6) (b)(7)(C) alleged disclosures did not reasonably constitute a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation. Further, we find that (b)(6) (b)(7)(C) alleged

disclosures to management were contradicted by BSA testimony and/or were an essential function of his job responsibilities when conducting a radiological survey. Consequently, we did not substantiate that his alleged disclosures were a contributing factor in his termination.

The evidence collected suggests that BSA would have terminated (b)(6) (b)(7)(C) regardless of any protected disclosures, as BSA provided testimonial and documentary evidence that demonstrated (b)(6) (b)(7)(C) submitted a false timecard and on two separate occasions sent inappropriate and/or threatening text messages to (b)(6) (b)(7)(C).

cc: Office of Hearings and Appeals  
Office of General Counsel  
(b)(6) (b)(7)(C)  
Brookhaven Science Associates





**Department of Energy**  
Office of Inspector General  
Office of Investigations

December 4, 2019

MEMORANDUM FOR THE SECRETARY OF ENERGY

FROM: Teri L. Donaldson  
Inspector General

SUBJECT: INFORMATION: Retaliation Complaint Pursuant To Title 41 United States Code Section 4712 (OIG Case No. 18-0014-W)

This report addresses a complaint filed by (b)(6) (b)(7)(C) (b)(6) (b)(7) with the Department of Energy's Office of Inspector General under Title 41 United States Code, Section 4712, "Enhancement of Contractor Protection from Reprisal for Disclosure of Certain Information." (b)(6) (b)(7) asserted that her reporting of possible waste, fraud, abuse, or mismanagement, and possible violations of law, rule, or regulation related to her former employer, Consolidated Nuclear Security (CNS) in Oak Ridge, TN, was a contributing factor in the termination of her employment as an (b)(6) (b)(7)(C) (contractor employee), effective (b)(6) (b)(7)(C).

In order to make a *prima facie* case, the complainant must establish by a preponderance of the evidence that (1) she made a protected disclosure that she reasonably believed was evidence of gross mismanagement, a gross waste of funds, an abuse of authority, a substantial and specific danger, or a violation of law, rule, or regulation; (2) management was aware of the disclosure; and (3) a retaliatory action was taken as a result of the protected disclosure. The complainant must prove each of these elements by at least circumstantial evidence. Assuming that the complainant meets this burden, the burden of proof would shift to the employer to demonstrate by clear and convincing evidence that it would have taken the same personnel action absent the protected disclosure.

We did not find that (b)(6) (b)(7) made a protected disclosure; therefore, we did not find that CNS had knowledge of or reasonably believed (b)(6) (b)(7) made a protected disclosure when it terminated her employment on (b)(6) (b)(7)(C).

Based on evidence gathered during the course of the investigation, we determined that (b)(6) (b)(7) alleged disclosures did not reasonably constitute a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation. Further, in our interviews with (b)(6) (b)(7) (b)(6) (b)(7)(C) we could not find anyone who could corroborate that she ever made a protected disclosure. We noted that there was no documentation to affirm her claim.



Consequently, we did not substantiate that her alleged disclosures were a contributing factor in her termination.

On the contrary, the evidence collected suggests that CNS would have terminated (b)(6) (b) regardless of any protected disclosures. CNS provided testimonial and documentary evidence that demonstrated (b)(6) (b) committed timecard fraud.

cc: Office of Hearings and Appeals  
Office of General Counsel  
(b)(6) (b)(7)(C)  
Consolidated Nuclear Security

U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6) (b)(7)(C)  
Office of Environment, Health,  
Safety and Security (AU-1)

Phone No.: 202-586-(b)(6)  
Email: (b)(6) (b)(7)(C)@hq.doe.gov

(b)(6) (b)(7)(C)  
Office of Technical Support  
and Asset Management (EM-1)

Phone No.: 702-295-(b)(6) (b)(7)(C)  
Email: (b)(6) (b)(7)(C)@em.doe.gov

DATE: 08 March 2019

OIG PREDICATION NUMBER: 19-0216-C

DOE SITE/FACILITY: Portsmouth Gaseous Diffusion Plant

OIG POINT OF CONTACT: (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), DOE OIG, Hotline Operations  
and Whistleblower Investigations, (202) 586-(b)(6) (b)(7)(C)

IDENTITY OF COMPLAINANT: Confidential

ISSUE/COMPLAINT DESCRIPTION: Complainant alleges numerous health and safety violations, some related to respirator equipment:

1. Respiratory equipment is being returned to Fluor BWX Portsmouth (FBP) by the cleaning contractor, Unitech, with contamination levels above acceptable limits. While FBP management has taken action and completed multiple assessments on the cleaning contractor, the company continues to receive contaminated equipment.
2. Mold has been discovered at the Respirator Facility and on Powered Air Purifying Respirator (PAPR) helmets as well as a white substance has been found in 90% of the PAPR breathing tubes between December 2018 and February 2019.

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OFFICE OF INSPECTOR GENERAL

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3. A potential criticality issue related to water on the floor from heavy rains in building X-326 at the Portsmouth Gaseous Diffusion Plant.
4. Employees have reported incidents of retaliation by FBP management for voicing safety concerns related to the respiratory protection equipment and the quality of the cleaning service. In fear of retaliation, employees are not creating problem reports as a part of the issues management system.

ES&H ANTICIPATED ACTION: (For ES&H use; No OIG entry required):

ES&H ACTION LEAD: (For ES&H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6) (b)(7)(C) Phone No.: (240) 474-(b)(6)  
Office of Environmental Management Email: (b)(6) (b)(7)(C)@em.doe.gov  
(EM-1)

DATE: May 2, 2019

OIG PREDICATION NUMBER: 19-0308-C

DOE SITE/FACILITY: K25 Site at East Tennessee Technology Park (ETTP), Oak Ridge, TN

OIG POINT OF CONTACT: (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) DOE OIG Hotline  
Operations and Whistleblower Investigations, (865) 576-(b)(6) (b)(7)(C)

IDENTITY OF COMPLAINANT: Confidential

ISSUE/COMPLAINT DESCRIPTION: Complainant alleges employees of contract company UCOR are dumping hazardous waste (rainwater) in areas not specified for the disposal of hazardous waste.

Complainant stated UCOR is aiding in the demolition of Building 1037, the old "Barrier Building" at K25 in the ETTP. Trucks used to carry contaminated detritus from the building had collected rainwater, this rainwater was considered contaminated due to the use of the truck and the truck having not been decontaminated prior to collecting rainwater. On April 12, 2019, this rainwater was dumped out of the truck prior to loading additional contaminated material and dumped near Building 1037; however, not in an area not approved to receive hazardous waste.

ES&H ANTICIPATED ACTION: (For ES&H use; No OIG entry required):

ES&H ACTION LEAD: (For ES&H use; No OIG entry required):

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6) (b)(7)(C)  
Office of Environment, Health,  
Safety and Security (AU-1)

Phone No.: 202-586-(b)(6) (b)(7)(C)  
Email: (b)(6) (b)(7)(C)@hq.doe.gov

(b)(6) (b)(7)(C)  
(b)(6) (b)(7)(C) (NA-MB-1.1)

Phone No.: 301-903-(b)(6) (b)(7)(C)  
Email: (b)(6) (b)(7)(C)@nnsa.doe.gov

DATE: 25 July 2019

OIG PREDICATION NUMBER: 19-0420-C

DOE SITE/FACILITY: Y-12 National Security Complex

OIG POINT OF CONTACT: (b)(6) (b)(7)(C) DOE OIG, Hotline Operations  
and Whistleblower Investigations, (202) 586-(b)(6) (b)(7)(C)

IDENTITY OF COMPLAINANT: Confidential

**ISSUE/COMPLAINT DESCRIPTION:** Complainant alleges Consolidated Nuclear Security (CNS), Y-12 National Security Complex, National Nuclear Security Administration (NNSA) failed to report to DOE an imminent health issue involving alleged worker contamination.

A CNS worker was allegedly contaminated with Legionella at the Y-12 National Security Complex, between June 2 and June 15, 2019. The Department was not made aware of the issue until July 2, 2019, when an OSHA Area Office contacted the Office of Enterprise Assessments, Office of Enforcement (EA-10). However, when EA-10 obtained an NNSA Production Office (NPO) "Night Note" dated June 27, 2019, it identified that CNS was already aware of the situation and that they (CNS) had been controlling for Legionella. The report also contained a CNS assertion that no sources of aerosolized water was present to

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OFFICE OF INSPECTOR GENERAL

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the worker two weeks prior to the illness; therefore, CNS was classifying the illness as non-occupational related since no source of aerosolized water mist was identified.

The worker's job duties include collecting liquid water samples from various locations potentially next to, or downwind, from cooling towers. It is alleged that the worker may have been exposed to Legionella via direct contact during the sampling process or through exposure to mist generated from cooling towers located throughout the Site.

ES&H ANTICIPATED ACTION: (For ES&H use; No OIG entry required):

ES&H ACTION LEAD: (For ES&H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6) (b)(7)(C) Phone No.: (240) 474-(b)(6) (b)(7)(C)  
Office of Environmental Management (EM-1) Email: (b)(6) (b)(7)(C)@em.doe.gov

TO: (b)(6) (b)(7)(C) Phone No.: (301) 903-(b)(6) (b)(7)(C)  
Office of Enterprise Assessments (EA-1) Email: (b)(6) (b)(7)(C)@hq.doe.gov

(b)(6) (b)(7)(C) Phone No.: (301) 903-(b)(6) (b)(7)(C)  
Office of Enterprise Assessments (EA-1) Email: (b)(6) (b)(7)(C)@hq.doe.gov

DATE: Sep 11, 2019

OIG PREDICATION NUMBER: 19-0472-C

DOE SITE/FACILITY: The Biology Complex at the Y-12 National Security Complex, Oak Ridge, TN

OIG POINT OF CONTACT: (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) DOE OIG Hotline Operations and Whistleblower Investigations, (865) 576-(b)(6) (b)(7)(C)

IDENTITY OF COMPLAINANT: Confidential

ISSUE/COMPLAINT DESCRIPTION: Complainant alleges employees of contract company Performance Abatement Services (PAS), performing duty at Building 9210, within the Biology Complex at the Y-12 National Security Complex, are abating asbestos in environmental conditions in violation of UCOR policy. PAS is a subcontract company to UCOR, and at times performs work under the name Performance Contracting (PCI).

Complainant alleged PAS, (b)(6) (b)(7)(C) refuses to allow employees to leave the work area under nearly any circumstance, to include an employee whose request was denied

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U.S. DEPARTMENT OF ENERGY  
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*ENVIRONMENTAL, SAFETY, & HEALTH  
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after their protective suit was comprised (ripped). On 22 Aug 2019, an employee in Building 9210 passed out, possibly due to heat stress, and was transported off-site via a Y12 ambulance. Normally, a "stand down" would have taken place; however, this did not occur, and employees were ordered back into the building. On that day, the temperature was estimated to have been in excess of 90 degrees, which is above UCOR's safe work limit. This was not the only day they had worked in excessive temperatures. Another employee also had a possible heat-related incident and had been transported off-site a few days prior to the 22 Aug 2019 event.

Additionally, at Building 9207, also at the Biology complex, UCOR crews under (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) are being forced to abate asbestos without using a protective "glove bag" to contain the asbestos, which increases the risk of airborne exposure. They are also conducting "dry" abatements (without water) "to meet management's standards."

ES&H ANTICIPATED ACTION: (For ES&H use; No OIG entry required):

ES&H ACTION LEAD: (For ES&H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

~~This Security Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disposition/adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need-to-know. As such, this notification form should not be disseminated outside DOE without the express authorization of the OIG.~~





Department of Energy  
Washington, DC 20585

October 10, 2019

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT:

Misuse of Government-Leased/Owned Motor Vehicles,  
NNSA/Sandia National Laboratories  
(OIG File No. 19-0042-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact the (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachments

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

January 9, 2019

MEMORANDUM FOR THE DIRECTOR, LOAN PROGRAMS OFFICE

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Managerial Irregularities; Loan Programs Office  
(OIG File No. 19-0126-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly, Loan Programs Office (LPO) management wasted government funds when it contracted Grant Thornton, an accounting firm that provides advisory, audit and tax services, to review work associated with LPO'S portfolio. According to the complainant, Grant Thornton's services were contracted to check the work of an employee within LPO.

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Please contact (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

April 12, 2019

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

FROM:

(b)(6) (b)(7)(C)

Investigations Section

SUBJECT: Management Inefficiency; EIA; HQ (OIG File No. 19-0268-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the Complaint Coordination Committee held on April 11, 2019, this matter is being referred to the Office of Audits and Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 13, 2019

**MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND INSPECTIONS**

(b)(6) (b)(7)(C)

**FROM:**

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

EXEC 2019-002662; Management Misconduct; Hanford Site  
(OIG File No. 19-0317-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the OIG Complaint Coordination Committee, this matter is being referred to the Office of Audits for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact the (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

June 24, 2019

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

FROM:





Hotline Operations and Whistleblower Investigations

SUBJECT: Counterintelligence; Reporting to the IG; Los Alamos (OIG File No. 19-0348-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the OIG Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

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Please feel free to contact me at (202) 586- or @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 23, 2019

**MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND INSPECTIONS**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Counterintelligence; Reporting to the IG; Headquarters IN  
(OIG File No. 19-0391-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the OIG Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

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Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doc.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 23, 2019

**MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND INSPECTIONS**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

(b)(6) (b)(7)(C)

; Request for Investigative Assistance; NNSA  
(OIG File No. 19-0490-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the September 19, 2019 Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 17, 2019

**MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND INSPECTIONS**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Wasteful Spending; Office of Cybersecurity  
(OIG File No. 20-0018-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the October 17, 2019 Complaint Coordination Committee, this matter is being referred to the Office of Audits' Technology Audits Group, for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 21, 2019

**MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Lack of Internal Controls & Management Irregularities  
Office of Cybersecurity, Energy Security and Emergency Response  
(OIG File No. 20-0057-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction of the November 21, 2019, Complaint Coordination Committee, this matter is being referred to the Office of Audits' Technology Audits Group, for review and appropriate action. Specifically, allegations 1 and 3 in this complaint are being referred to your office (allegations 2, 4, 5 and 6 are duplicative of allegations previously referred to your office under OIG File No. 20-0018-C.) A copy of the complaint form and supporting documentation are attached to this memorandum.

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Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachments

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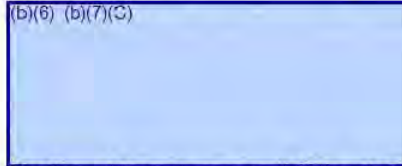


**Department of Energy**  
Washington, DC 20585

December 9, 2019  
(CORRECTED COPY)

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

FROM:



Hotline Operations and Whistleblower Investigations

SUBJECT:

Management Irregularities and Failure to Comply with DOE Order;  
Office of International Affairs (OIG File No. 20-0067-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction of the December 5, 2019, Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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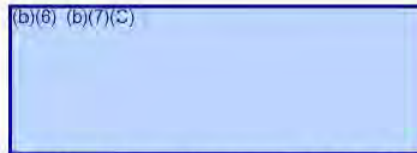


**Department of Energy**  
Washington, DC 20585

December 3, 2019

**MEMORANDUM FOR THE ASSISTANT INSPECTOR GENERAL FOR AUDITS**

**FROM:**



Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Honeywell FM&T; Product Design Irregularities; Kansas City  
National Security Campus (OIG File No. 20-0070-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the November 26, 2019 Pre-Complaint Coordination Committee, this matter is being referred to the Office of Audits for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 6, 2019

**MEMORANDUM FOR THE ASSISTANT INSPECTOR GENERAL FOR AUDITS AND INSPECTIONS**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

(b)(6) (b)(7)(C) Harassment and Hostile Workplace; CNS/Y12 Fire Department, Oak Ridge, TN (OIG File No. 20-0079-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction of the December 5, 2019, Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

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Please contact the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

February 4, 2019

MEMORANDUM FOR THE PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR  
NUCLEAR ENERGY

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Complaint Summary (OIG File No. 19-0104-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6) (b)(7)(C) is a Department employee and (b)(6) (b)(7)(C) at the Idaho Operations Office (ID), and alleges a hostile, abusive environment exists at ID, perpetrated (b)(6) (b)(7)(C); (b)(6) (b)(7)(C); (b)(6) (b)(7)(C); and (b)(6) (b)(7)(C). (b)(6) (b)(7)(C) has experienced challenges maintaining cooperation from and a professional relationship with (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) Battelle Energy Alliance, and has been repetitively criticized by (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) for being too assertive while attempting to maintain the best interest of the Department. (b)(6) (b)(7)(C) has pressured (b)(6) (b)(7)(C) to defy policy, not to report legal matters to Headquarters and has sought (b)(6) (b)(7)(C) be replaced when their opinions differ on legal theory. (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) routinely defer to (b)(6) (b)(7)(C) and have minimized (b)(6) (b)(7)(C) workload, and denied her additional details and assignments in theory to force her resignation. (b)(6) (b)(7)(C) has publicly questioned (b)(6) (b)(7)(C) professional competency and taken credit for her work, matters which (b)(6) (b)(7)(C) later apologized for; however, not before damaging (b)(6) (b)(7)(C) reputation.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

January 9, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Conflicting Orders; Naval Surface Warfare Center - Corona Division  
(OIG File No. 19-0108-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly, members of the United States Navy Command Operations Department instructed employees at the Seal Beach Detachment to enter Trident missile hardware data into the Navy Enterprise Resource Planning (ERP) system, despite the Navy Strategic Systems Program instructing them not to. According to the complainant, ERP is inherently vulnerable with little or no control that would limit access to information (quantity, geographic location, operational status and mission readiness) about nuclear weapons developed by the National Nuclear Security Administration.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or  
(b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

January 7, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Time Card Fraud; East Tennessee Technology Park; Oak Ridge, TN  
(OIG File No. 19-0114-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6) (b)(7)(C) a contract employee for UCOR at building K-1007 in the East Tennessee Technology Park, has been committing time card fraud by leaving work early on holidays and by not accounting for the early departure on her time card. (b)(6) (b)(7)(C) is the (b)(6) (b)(7)(C) and on holidays will allow herself and her team to leave early; however, count the entire day as having worked. This has happened on several occasions. (b)(6) (b)(7)(C) is also alleged to take up to 3-hours for lunch, where only 30-minutes is allowed. She also "cheats" on claimed overtime and allows select employees to do the same.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

January 11, 2019

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Time Card Irregularities and Theft; Brookhaven National Laboratory  
(OIG File No. 19-0121-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

A complainant alleged (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), and (b)(6) (b)(7)(C) Brookhaven National Laboratory employees, have been submitting fraudulent time cards with extra overtime. Specifically, the complainant referenced overtime during the NSLS II project from 2013-2017.

The complainant also alleged government funds were and are still being used for personal purposes and purchases. The complainant stated supervisors would order items, employees would take them home for personal use, and then supervisors would reorder. The complainant refused to identify the individuals engaging in the theft or the items stolen, in an effort to avoid personal implication.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

February 13, 2019

**MEMORANDUM FOR THE CHAIRMAN, FEDERAL ENERGY REGULATORY  
COMMISSION**

**FROM:** (b)(6) (b)(7)(C)

**SUBJECT:** Timecard Fraud, Outside Work While Officially on Duty, Misuse of Government Property, and Conflict of Interest; Washington DC)  
(OIG File No. 19-0144-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6) (b)(7)(C) is (b)(6) (b)(7)(C) within the Federal Energy Regulatory Commission's (FERC) Division of Accounting and Audits in Washington, DC. Allegedly (b)(6) (b)(7)(C) is:

1. Committing timecard fraud as (b)(6) (b)(7)(C) is unavailable during working hours, especially Wednesdays and Thursdays. (b)(6) (b)(7)(C) has not requested leave (annual and/or sick) on the days she could not be located. Some specific dates of concern include:

Month	Day						Year
November	7	9	20	21	26	29	2018
December	5	12	14	18	19	31	2018
January	2	7	11	16	17		2019

2. Performing outside work as a licensed real estate agent while officially on duty.
3. Misusing her government issued laptop and cellphone to perform work as a licensed real estate agent.
4. Acting as an agent on transactions that involve properties owned and/or rented by an energy company regulated by FERC.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

March 5, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6), (b)(7)(C)

SUBJECT: Waste of Funds; Y12; Oak Ridge, TN  
(OIG File No. 19-0151-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly, (b)(6), (b)(7)(C), a (b)(6), (b)(7)(C) at Y-12, implemented various control measures regarding crystalline silica that is a waste of funds. Specifically, (b)(6), (b)(7)(C) maintenance personnel to wear one-time use full-face respirators (valued at approximately \$200-\$300 each) to remove ceiling tiles that contain less than .01% silica.

Additionally, (b)(6), (b)(7)(C) controls regarding confined spaces that are a waste (i.e. direct/indirect labor costs associated with air sampling, analysis and data entry) and causes the process to be burdensome.

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Please contact (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) at (202) 586-(b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 7, 2019

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Precision Combustion Inc.; Voluntary Disclosure of Improper by  
Billing Requests; SBIR (OIG File No. 19-0168-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6) (b)(7)(C), (b)(6) (b)(7)(C) Precision Combustion, Inc. (PCI) voluntarily disclosed PCI's (b)(6) (b)(7)(C) improperly requested billings from the Department in advance of accrual expenses with respect to several Department grant awards (see attached memorandum). PCI has since terminated (b)(6) (b)(7)(C) and contends funds were not (b)(6), (b)(7)(C) charged to any Department grants and/or contracts. Further, PCI initiated a review and (b)(6) (b)(7)(C) will report findings to the Department if additional issues are discovered.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachment: Memorandum from Precision Combustion, Inc.

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**Department of Energy**  
Washington, DC 20585

February 19, 2019

MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

(b)(6) (b)(7)(C)

Inappropriate Use of Government Equipment; Colorado  
(OIG File No. 19-0169-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6) (b)(7)(C), a federal employee at Western Area Power Administration (WAPA) is using government equipment to run her home business. Her home business is (b)(6) (b)(7)(C) and she is a (b)(6) (b)(7)(C). She will log into her work computer so it appears she is working and then goes to another computer and conducts her home business activities. (b)(6) (b)(7)(C) administration has been informed; however, they let this continue. According to the complainant, management is aware of this matter; however, they have failed to take any corrective action.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 18, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:**

Hostile Work Environment; Fluor Federal Petroleum Operations  
(OIG File No. 19-0202-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Management and Operating (M&O) Contractor at the Strategic Petroleum Reserve has created a hostile work environment for Centerra subcontract employees and committed numerous instances of fraud, waste and abuse:

1. Abuse of Funds: While funding was supposedly cut and a reduction in force implied, several employees were given pay raises and promotions. Specifically, prior to April 2018, Fluor Federal Petroleum Operations (FFPO) was forced to complete a reduction in force of six employees at Centerra PMO due to budget impacts in security. However, after the employees were terminated, it was discovered the budget was not cut, and that DOE was given more funding for security. The (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) was given a nominal raise in pay. In addition, some of the FFPO security staff to include (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) were given raises and leadership positions. There is concern that (b)(6) (b)(7) is working from his home, and may be charging mileage and per diem even though he lives in the area. Furthermore, FFPO had not given funding to Centerra for Range upkeep and new equipment in the past, but as of assuming control of the Range, they have been spending more money.

2. Contract, Procurement and Grant Fraud: Historically, subcontract company Centerra ran the firing range; however, recently FFPO has assumed exclusive operational control. Threats and intimidating gestures have been made to Centerra employees regarding "who you work for now." The individual implicated in the intimidating conduct included (b)(6) (b)(7)(C), and was allegedly directed to Centerra Instructors. The complainant stated the

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new structure at the range creates a co-employment issue that does not align with the current structure at the NTC or any other DOE Range.

3. Environmental, Health and Safety Violations: Firing range personnel not being qualified for their operational positions, using targets that violate standard operating procedures and Department policy, driving personally owned vehicles, and using unapproved firearms on the firing range. Specifically, the complainant stated the (b)(6) (b)(7)(C) is not an NTC Certified Firearms Instructor, who has been conducting firearms training with designated marksmen. The complainant stated NTC visited the Range in October 2018, and stated that non-NTC (b)(6) (b)(7)(C) could not "call the line" per DOE Order, however, they continue to do so. In many cases, safety infractions occur on the shooting line. The complainant alleged they received incorrect information and instructions regarding course of fire and safety from the (b)(6) (b)(7)(C).

4. Product Substitution/Counterfeit Parts: Using unauthorized replacement parts in Department firearms, to include an unauthorized aftermarket recoil spring used in a Glock pistol. The complainant stated that due to (b)(6) (b)(7) inadequate armorer certification, he failed to perform an adequately review the firearms.

5. False statements and Claims: For reasons unknown to the complainant, FFPO arranged for nine armored vehicles (mostly military style "HUMVEES") to be excessed and replaced with new armored suburban valued at \$250,000 each. The complainant stated false claims, and statements are being used to justify "future purchase of high dollar items" and misallocate funds.

6. Theft and/or Abuse of Government Property: (b)(6) (b)(7)(C) were observed using government purchased ammunition in personally owned weapons while on the firing range. There were also suspicions that (b)(6) (b)(7) "skewed" daily ammunition totals to allow them to take away ammunition for their own personal use.

The complaint also referenced an inspection conducted by DOE-SPR (b)(6) (b)(7)(C) and (b)(6) (b)(7) at the range in April 2018 and October 2018. According to the complainant, during the April 2018 inspection, there were 15 findings and 13 observations. Subsequently, in the October 2018 inspection, there were concerns expressed by NTC Quintana documented in a report. Please provide a copy of the two site inspection reports.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 13, 2019

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Inappropriate Use of Funds (OIG File No. 19-0203-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A complainant alleged waste and inappropriate use of funds regarding the Tonopah Test Range (TTR). The complainant alleged that labor and materials used to set up the Local Area Multilateration System (LAMS) comes from the Operations and Maintenance (O&M) contract for TTR instead of having the installation money as part of the initial cost of system. Using O&M dollars to set up the LAMS is not part of the contract, which is for maintenance of current DOE facilities at TTR.

Specifically, the complainant stated the recent LAMS system was purchased from Advanced Navigation and Positioning Corp for approximately \$1.4 million, and was delivered and set up to ensure proper operation according to regulations. The company was also paid to travel for the setup. The complainant stated the LAMS was then disassembled and placed in storage for over one year because the National Environmental Protection Act (NEPA) authorization had not been requested. Since then, the complainant stated that though the NEPA authorization has not been received, TTR has, for the second time, paid Advanced Navigation and Positioning Corp to travel to set up LAMS "temporarily." However, the complainant believes the amount of concrete used gives the appearance that the project is more permanent.

The complainant also stated the labor and materials to setup the LAMS has come from the O&M Contract, in a manner they believe is waste and an inappropriate use of DOE money. The complaint stated this is not the first time O&M funds were used improperly, and that in the past O&M funds were used

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for the purchase of Gimbal Tracking Mounts, and as a result the \$20 million O&M five year contract was spent in less than four years. Currently, the complainant stated O&M operates under a seven year, \$45 million contract, and is only in its second year. They believe that by keeping monies separate for purchases and new construction, the allotted funds would be available until the completion of the contract.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc General Counsel

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Department of Energy  
Washington, DC 20585

March 18, 2019

MEMORANDUM FOR THE ASSOCIATE UNDERSECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY, AND SECURITY

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Unauthorized Release of OUO Material (OIG File No. 19-0207-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The complainant alleged a MOX Services employee user (b)(6) (b)(7)(C) "UserID: (b)(6) (b)(7)(C)" sent OUO material (not marked but determined to be OUO) to a non-government issued email address of "(b)(6) (b)(7)(C)@aol.com". The transmission outside of the accreditation boundary was encrypted however the recipient was not authorized to host OUO information.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

Cc: DOE Insider Threat Analysis and Reporting Center

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Department of Energy  
Washington, DC 20585

April 12, 2019

MEMORANDUM FOR THE OFFICE OF THE GENERAL COUNSEL

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Failure to Identify Income on Financial Disclosure Form; NNSA;  
Albuquerque NM (OIG File No. 19-0209-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Mr. (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), DOE, Albuquerque NM, failed to consistently identify his spouse's source of income and/or stock holdings on his Financial Disclosure Form for several year's filings. It was alleged that Mr. (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at various DOE facilities and may have failed to disclose that his spouse received a salary and/or had stock holdings from Honeywell Federal Manufacturing and Technologies, the managing contractor at the Kansas City National Campus where he has (b)(6) (b)(7)(C).

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for ~~OFFICIAL USE ONLY~~. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 13, 2019

MEMORANDUM FOR DIRECTOR, OFFICE OF ECONOMIC IMPACT AND DIVERSITY

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Office of Civil Rights; Violation of Guidance; HQ Forrestal  
(OIG File No. 19-0210-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The complainant alleged that during the last administration, The Equal Employment Opportunity Commission (EEOC) issued a memo (dated June 26, 2014) to former Secretary Ernest Moniz, stating that EEO functions are inherently governmental and should not be performed by contractors. The complainant is concerned the previous administration intentionally ignored EEOC's direction. The complainant alleged the Office of Civil Rights is using outside contracting companies to investigate EEO complaints rather than EEO's federal staff.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:@hq.doe.gov) should you have questions regarding this matter.

Attachment

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**Department of Energy**  
Washington, DC 20585

April 9, 2019

**MEMORANDUM FOR THE ASSOCIATE UNDERSECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY, AND SECURITY**

(b)(6) (b)(7)(C)

**FROM:**

**SUBJECT:** Timecard Irregularities and Management Concerns  
(OIG File No. 19-0232-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged the Office of Environment, Health, Safety, and Security (AU), (b)(6) (b)(7)(C), has several issues of abuse and fraud. The complainant alleged senior managers have made promises of job positions and promotions to employees without announcing them. Also, it is alleged AU contractors are taking leave and not being charged.

Specifically, the complainant stated that (b)(6) (b)(7)(C), an AU 1.2 employee, is retiring and (b)(6) (b)(7)(C), an AU employee, has told others that he has been offered (b)(6) (b)(7)(C) position despite the fact that the position has not been announced. The complainant stated that there are others interested in the position who have more qualifications. The complainant believes this practice is "unethical, an abuse of power, and against the law."

The complainant also stated contract employees have been requesting leave with their federal managers, but have not been notifying their contractor managers. As a result, the contract manager is not aware of the contract employee's request for leave and does not subtract leave from the employee, allowing contract employees to store numerous hours of leave. The complainant believes requests for leave should be required via email and should be sent to both the federal and contractor management for accountability.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

April 3, 2019

MEMORANDUM FOR GENERAL COUNSEL

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Alleged Ethics Violations; (b)(6) (b)(7)(C)  
(OIG File No. 19-0245-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly, (b)(6) (b)(7)(C) National Energy Technology Laboratory (NETL) is engaged in the following unethical behaviors:

1. (b)(6) (b)(7)(C) maintains a business relationship with (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) at West Virginia University.
2. (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) West Virginia University was a key subcontractor on NETL contracts with KeyLogic Systems, Inc and Leidos. According to the complainant, (b)(6) (b)(7)(C) spoke publicly about the awards and highlighted his role with West Virginia University.
3. (b)(6) (b)(7)(C) the Department's China Energy Research Center and the Appalachian Storage Hub.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 26, 2019

MEMORANDUM FOR THE CHIEF FINANCIAL OFFICER

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Improper Funding of Projects; Office of the Chief Financial Officer  
(OIG File No. 19-0266-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The complainant stated two projects at Savannah River were erroneously funded, this was known by the Office of the Chief Financial Officer (OCFO); however, the discrepancies were not appropriately investigated by the OCFO and action was not taken. The two projects were:

1. The A-Area Firewater Supply Project – This project upgrades the A-Area water supply system to meet code which, per Chapter 10 paragraphs 2.n. and 2.q. of the DOE Financial Management Handbook makes it a construction project that, by statute, should have been funded from an amount specifically appropriated by law for the work, instead of the maintenance and operations (M&O) funding being used.
2. SR Security System Replacement Project – This project upgrades the security systems at several site areas with the DOE Argus system which, per Chapter 10 paragraphs 2.n. and 2.q. of the DOE Financial Management Handbook makes it a construction project that, by statute, should have been funded from an amount specifically appropriated by law for the work, instead of the M&O funding that is being used. While the effort has been made a line item construction project, it has used M&O funding since FY 2015 and intends to continue illegally using M&O funding at least through FY 2020.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) or (b)(6) (b)(7)(C) @[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

cc: Office of Environmental Management

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Department of Energy  
Washington, DC 20585

April 19, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Criterion Systems; Procurement Fraud; NNSA  
(OIG File No. 19-0270-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Criterion Systems (Criterion) is competing for a \$100M solicitation (DE-SOL-0011276) for Cyber Security Support Services. The solicitation is for the NNSA Information Assurance Response Center Office supporting the office of the Chief Information Officer. Allegedly Criterion (who is also the incumbent) did not submit a proposal on time; however, the government sought to re-open bids for the purposes of allowing Criterion to get in, a clear violation of procurement integrity laws.

Criterion is also the protestor under a Government Accountability Office Bid Protest Docket for this same solicitation. The status is 'open,' no outcome had been decided and the due date is 22 Apr 2019. The underlying facts supporting the protest are unknown.

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**Department of Energy**  
Washington, DC 20585

April 19, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Contractual Irregularities; Office of Environmental Management; Los Alamos Field Office (OIG File No. 19-0271-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Los Alamos Field Office (NA-LA) is responsible for managing the Los Alamos National Laboratory (LANL) Management and Operating contract, which includes serving as landlord of the site. Environmental Management - Los Alamos Field Office (EM-LA) is responsible for clean-up of legacy waste at LANL and has subcontracted work with N3B. Recurring issues have occurred wherein EM-LA and N3B have failed to coordinate work efforts and receive proper authorization from NA-LA for certain activities. These situations create risk for NA-LA should mistakes or accidents caused by EM-LA/N3B occur. There have been several recent examples.

During recent clean-up of TA-21, items were removed from Building 257; however, not documented in the FIMS database, a database where NA-LA is responsible for maintaining accurate records. Building 257 had not been transferred to EM at the time of the work. KPMG previously audited NA-LA's FIMS records and identified a finding. Should KPMG have performed the same audit they would have identified the situation with Building 257 as a finding against NA-LA.

N3B has been installing trailers on NNSA property without NA-LA's authorization. They are now seeking to have water lines re-routed to serve the trailers. Neither the

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trailer installation nor water line work should occur without NA-LA approval.

Additionally, NA-LA personnel have been denied access to EM-LA/N3B work areas on LANL property, impeding NA-LA's ability to perform oversight duties.

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**Department of Energy**  
Washington, DC 20585

April 19, 2019

MEMORANDUM FOR ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Management Irregularity; WAPA (OIG File No. 19-0272-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6) (b)(7)(C), (b)(6) (b)(7) Electric Power Training Center, Western Area Power Administration (WAPA), is bullying employees; fails to adequately communicate with subordinates; and, is violating WAPA's core values. Specifically, (b)(6) (b) subjects employees to harassment, screaming, cursing and derogatory names and refuses to communicate effectively with subordinates which results in a failure to provide guidance and employees do not know their assigned tasks. (b)(6) (b)(7)(C) is aware of the behavior but has failed to address the issue which has resulted in a very abusive, violent and unprofessional workspace and it is only a matter of time before (b)(6) (b) physically assaults someone as his anger outbursts are getting more violent.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

cc: AU-1

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**Department of Energy**  
Washington, DC 20585

May 6, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Improper Time and Labor Charging; Kansas City Plant; MO) (OIG  
File No. 19-0281-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly (b)(6) (b)(7)(C), (b)(6) (b)(7) Advanced Manufacturing Engineering Department (AME), Honeywell (b)(6) (b)(7)(C) employees at the Kansas City National Security Campus (KCNSC) to improperly mischarge off site training to project codes without prior approval. Additionally, engineers within Department E15 are charging project codes when they are not on site at KCNSC.

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Please contact (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 16, 2019

MEMORANDUM FOR THE CHIEF INFORMATION OFFICER

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Phone number spoofing; NNSA; HQ  
(OIG File No. 19-0324-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

On 8 May 2019, the Hotline received a referral from the National Nuclear Security Administration (NNSA) who stated someone appeared to have been 'spoofing' NNSA HQ phone numbers. The complainant stated "several staff members (including the front office) have reported receiving phone calls claiming to be return calls to the number (indicated on caller ID)." The concern was there appeared to be an ability to spoof or mimic the federal employees phone number, so a caller would think the number originated from the Forrestal Building. The issue has been reported to the DOE Office of Chief Information Officer/EITS. The OCIO is now conducting an inquiry into the matter with Verizon and Cisco, vendors which serve the Department.

We also request that your office please provide the OIG a copy of the investigative report once the investigation has concluded.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

May 29, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

Inappropriate Contract Activity; Pacific Northwest National  
Laboratory (OIG File No. 19-0335-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

On May 17, 2019, an anonymous allegation was received regarding the Office of Defense Nuclear Nonproliferation Research and Development (DNN R&D), Pacific Northwest National Laboratory (PNNL). In summary, DNN R&D, PNNL is allowing a PNNL (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) to work from her home in West Richland, WA, 5 days a week. (b)(6) (b)(7)(C) contract with PNNL stipulates that she must work from Washington DC. (b)(6) (b)(7)(C) contract began in July 2018.

It is also being alleged that (b)(6) (b)(7)(C) business travel from Washington State to Washington DC is being funded by NNSA. (b)(6) (b)(7)(C) is currently in the process of being hired as a Federal employee since her contract ends sometime in 2019.

To date, (b)(6) (b)(7)(C) has not been to her DC office in months, but was seen at meetings in other states.

Additionally, the complainant stated that all documents pertaining to (b)(6) (b)(7)(C) employment may be obtained from (b)(6) (b)(7)(C).

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**From:** (b)(6) (b)(7)  
**To:** (b)(6) (b)(7)  
**Cc:** (b)(6) (b)(7)(C)  
**Subject:** Weatherization Concerns; Rogers County Oklahoma (19-0351-C)  
**Date:** Wednesday, June 12, 2019 2:34:00 PM  
**Attachments:** FW WINDOW PHOTO'S WEATHERIZATION ABUSE IN ROGERS COUNTY OKLAHOMA.pdf

---

Good afternoon (b)(6)

My name is (b)(6) (b)(7)(C) and we have spoken before about weatherization. We recently received a complaint and need some assistance and guidance in the following matter below:

On 31 May 2019, the Hotline received a complaint from (b)(6) (b)(7)(C), a private citizen, alleging the weatherization for her home windows were bad and needs replacement. The complainant alleged this has gone on for over eight years and no one is able to help her in replacing her windows.

Ms. (b)(6) (C) provided photos of the work that was done. She also provided emails of her communication with the local organization that is responsible for weatherization assistance in her area. Please see attached.

Complaint information:

(b)(6) (b)(7)(C)

Thank you.

(b)(6) (b)(7)(C)

Department of Energy / Office of Inspector General  
Office of Investigations  
Hotline Operations and Whistleblower Investigations Section  
Office: 202-287-(b)(6)  
Hotline: 800-541-1625

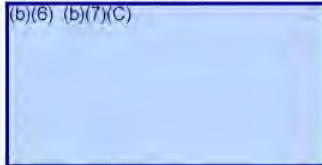


**Department of Energy**  
Washington, DC 20585

June 28, 2019

**MEMORANDUM FOR CYBERSECURITY, ENERGY SECURITY AND EMERGENCY  
RESPONSE**

**FROM:**



Hotline Operations and Whistleblower Investigations

**SUBJECT:**

NRECA; Information Sharing in the C4-RC3 Project; CR  
(OIG File No. 19-0360-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Office of Cybersecurity, Energy Security and Emergency Response oversees the Rural Cooperative Cybersecurity Capabilities Program with the National Rural Electric Cooperative Association (NRECA). Within project's Intellectual Property Provisions Cooperative Agreement and Statement of Project Objectives, it is clearly stated "the Government shall have unlimited rights" to data produced under the program; however, it has been alleged data rights will be "be held by NRECA, not shared or provided to the DOE despite funding by the DOE" and there were unspecified "issues" with the project.

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Please feel free to contact me at (202) 586- or  [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

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cc: Office of Management

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**Department of Energy**  
Washington, DC 20585

June 24, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

I3 Global; Misappropriated Funds; Hanford Site  
(OIG File No. 19-0363-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Department contract company I3 Global at the Hanford site has failed to pay its subcontracted companies for deliverables they were provided in support of Department contracts. I3 Global is subcontracted by prime contractor CH2M HILL Plateau Remediation Company. I3 Global has missed payments to various companies they subcontracted and has now filed bankruptcy.

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Please feel free to contact me at (202) 586-(b)(6)  
(b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 24, 2019

**MEMORANDUM FOR THE ASSOCIATE UNDERSECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY, AND SECURITY**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Security; Temporary Badging Issue; Headquarters  
(OIG File No. 19-0364-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

On-boarding employees at Headquarters-Forrestal are experiencing challenges with their temporary badges. Specifically, temporary badges are randomly expiring despite the date listed on the badge. As a result, security staff are requiring the new employees to obtain paper badges (daily) and require a coworker or affiliate to physically sign the on-boarding employee in at the front desk. This is not a matter native to only one program office's on-boarding employees and is alleged to cost the Department hundreds of man-hours per year in lost productivity.

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Department of Energy  
Washington, DC 20585

July 2, 2019

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

FROM:



Hotline Operations and Whistleblower Investigations

SUBJECT: Servomex Company, Inc.; Self Disclosure; Savannah River Nuclear  
Solutions (OIG File No. 19-0366-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Servomex Company Inc., a wholly owned subsidiary of Spectris, Inc. determined that it previously represented itself as a small business in the System for Award Management and obtained subcontracts under prime contracts with the Department that were designated for small businesses. However, Servomex was not a small business when the representations were made (see attached memorandum from Servomex).

Servomex is currently investigating the matter and plans to submit a final disclosure detailing the review process, any additional violations, and corrective actions upon completion of the investigation.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please feel free to contact me at (202) 586-(b)(6)  
(b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

cc: Director, Office of Management

Attachment: FAR Self-Disclosure Memorandum

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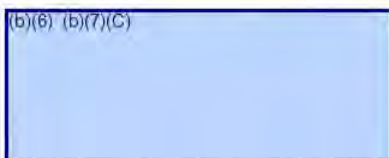


**Department of Energy**  
Washington, DC 20585

June 28, 2019

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**



Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Consolidated Nuclear Security; Reduction in Salary; Y-12  
(OIG File No. 19-0367-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly Consolidated Nuclear Security LLC (CNS) improperly retained one biweekly paycheck from their salaried-nonexempt employees in Oak Ridge, TN, and later used these funds, estimated at \$25-\$30M, to justify a Cost Savings Incentive Fee (CSIF). This alleged incident occurred in June 2017, when CNS went from a monthly to biweekly pay period.

Investigation by this office determined the Government Accountability Office, under Job Number 103256, is currently auditing NNSA/CNS's Cost Savings and their review will encompass from inception of the contract to present, reviewing both cost savings proposed and achieved.

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**From:** (b)(6) (b)(7)(C)  
**To:** (b)(6) (b)(7)(C)  
**Cc:** (b)(6) (b)(7)(C)  
**Subject:** Weatherization Concerns; Maravilla Foundation (19-0374-C)  
**Date:** Wednesday, June 19, 2019 2:46:00 PM

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Good afternoon (b)(6) (b)(7)(C).

We received another complaint regarding weatherization and need some assistance and guidance in the following matter below, specifically if DOE funds any money for the Maravilla Foundation:

On 12 June 2019, the Hotline received a complaint from (b)(6) (b)(7)(C) a private citizen, alleging contractors from the Maravilla Foundation in Los Angeles did some unauthorized repair (weatherization) to his rental apartment.

The complainant stated that nine months ago (September 2018) he conducted an inspection of his rental property (apartment building) and found that repair work was done in two of the units. He stated that someone replaced weather stripping on the doors, new carbon monoxide detectors, and fluorescent light bulbs. He further stated that someone left a flyer in the units for the Maravilla Foundation. He contacted them and was told that contract for the work had his signature on it. He told Maravilla that he did not sign any contract and that the signature was forged.

Complaint information:

(b)(6) (b)(7)(C)

Thank you.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

Department of Energy / Office of Inspector General  
Office of Investigations  
Hotline Operations and Whistleblower Investigations Section  
Office: 202-287-(b)(6)  
Hotline: 800-541-1625



Department of Energy  
Washington, DC 20585

July 2, 2019

MEMORANDUM FOR THE OFFICE OF ENTERPRISE ASSESSMENTS

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: Inflated Oil Statistics; EIA (OIG File No. 19-0379-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Hotline received a complaint alleging the U.S. Energy Information Administration (EIA) is publishing inflated weekly crude oil inventories over the last several months by including natural gas liquids (NGL) in the crude inventories. These statistics are provided weekly on EIA's public website (<https://www.eia.gov/naturalgas/weekly/>). The complainant specifically alleged:

*The EIA is publishing inflated weekly crude oil inventories over the last several months by including natural gas liquids (NGL-condensates) in the crude inventories. This can be seen by their NGL Production data which shows a slight decline since last August while NG production has grown 5.2% and crude oil 7.7%. This crude inflation has accelerated in the last 12 weeks as their inventories have climbed counter seasonally 40+ mb's. Most of this recent build (73%) has supposedly occurred in Petroleum Administration for Defense District (PADD 3 - Gulf region), where their local inventories have swelled 15% during this period. Yet, in spite of this huge build-up, the local price in PADD 3 (Houston) has seen its premium to West Texas Intermediate (WTI) increase 45% during this period.....indicating that the region is short of crude oil. This phenomena is due to the physical market distinguishing the inflated barrels (BBL's) as NGL's and not Crude Oil as the EIA's faulty count indicates. The problem is, the Futures market prices WTI on the EIA's reported inflated inventories and as a result has collapsed the price of WTI 20+%.*

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(b)(6), (b)(7)(C) The complainant added [redacted] who [redacted] at EIA, is quoted in a (b)(6), (b)(7)(C) Bloomberg article that the Adjustment Factor becomes inflated when offsetting this elevated crude "only accounts for 4% of U.S. crude demand."

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If you should have any questions regarding this matter, please contact me at (202) 586- [redacted] or [redacted] (b)(6) (b)(7)(C) @hq.doe.gov.

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**Department of Energy**  
Washington, DC 20585

June 28, 2019

MEMORANDUM FOR ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

FROM:

(b)(6), (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT:

Hostile Work Environment; Los Alamos Field Office  
(OIG File No. 19-0383-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Los Alamos Field Office, has created a hostile work environment and is insulting and abusive to contractor employees.

According to the information provided to the OIG Hotline [redacted] has become (b)(6), (b)(7)(C) belligerent during interactions with the contractor and this behavior has been witnessed by other Federal personnel. Although contractor management is aware of the situation, and have similar concerns, they are hesitant to approach DOE about the situation due to (b)(6), (b)(7)(C) [redacted] participation on the contract fee board.

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Please feel free to contact me at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: [REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

June 28, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: Retaliation; Sandia National Laboratory (OIG File No. 19-0384-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

On June 20, 2019, the Hotline received a phone call from (b)(6) (b)(7)(C) Geosciences Engineer, Sandia National Laboratories (SNL). (b)(6) (b) alleged that he is being investigated by the SNL Ethics Office after he assisted a whistleblower complainant, (b)(6) (b)(7)(C), with gathering documents for (b)(6) (b) complaint to the OIG.

According to (b)(6) (b) on June 18, 2019, he was contacted by (b)(6) (b)(7)(C) and (b)(6) (b) at SNL, regarding data and reports that (b)(6) (b) may have provided to (b)(6) (b)(7)(C) and others external to SNL.

(b)(6) (b) is concerned that he might be retaliated against in the future because he assisted (b)(6) (b) in obtaining documents.

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may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please feel free to contact me at (202) 586-(b)(6)  
(b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: [redacted]@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 3, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:**

False Claims and Abuse of Authority (OIG File No. 19-0060-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the original OIG complaint are as follows:

The complainant alleged Waste Isolation Pilot Plant (WIPP) (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) a "self-employed consultant to the DOE," files false claims and abuses his authority. Specifically, (b)(6) (b) requires subcontract administrators under his management to send subcontract required "Price Analysis Requests" to (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) who then forwards them to (b)(6) (b)(7). The complainant stated Mr. (b)(6) (b) then completes the analyses while at work and charges DOE for his normal 40-hour workweek and separately for the time spent completing the analyses. Further, Mr. (b)(6) (b) adjusted one post-negotiation contract after the Contracts Administrator denied the contractor a labor rate increase. The complainant alleged Mr. (b)(6) (b) is friends with the owner of the company receiving the contract.

The complainant stated that whenever an employee expresses concern over Mr. (b)(6) (b)(7) double billing, he pressures the employee on work performance in his department, causing 100% employee turnover.

The details of the enduring issues requiring more details are the following (please address each specific allegation):

1. Is (b)(6) (b)(7)(C) a (b)(6) (b)(7)(C) to the DOE?
2. Does (b)(6) (b) require subcontract administrators under his management to send subcontract required "Price Analysis Requests" to his (b)(6) (b)(7)(C)?

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3. Are the price analysis requests forwarded from (b)(6) (b)(7)(C) to (b)(6) (b)(7)(C)?
4. Does (b)(6) (b)(7)(C) complete the price analysis requests while at work?
5. Does (b)(6) (b)(7)(C) charge DOE for a normal 40-hour work week?
6. Does (b)(6) (b)(7)(C) bill DOE separately for Price analysis requests?
7. Has (b)(6) (b)(7)(C) adjusted one post-negotiation contract after the (b)(6), (b)(7)(C) denied the contractor a labor rate increase, due to a relationship with the owner of the company receiving the contract?
8. Does (b)(6) (b)(7)(C) pressure of employees who complain about him cause 100% employee turnover?
9. What is the turnover rate in (b)(6) (b)(7)(C) office?

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 3, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

False Claims and Abuse of Authority (OIG File No. 19-0060-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The complainant alleged Waste Isolation Pilot Plant (WIPP) (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), a "self-employed consultant to the DOE," files false claims and abuses his authority. Specifically, (b)(6) (b) requires subcontract administrators under his management to send subcontract required "Price Analysis Requests" to (b)(6) (b)(7)(C) who then forwards them to (b)(6) (b)(7). The complainant stated Mr. (b)(6) (b) then completes the analyses while at work and charges DOE for his normal 40-hour workweek and separately for the time spent completing the analyses. Further, Mr. (b)(6) (b) adjusted one post-negotiation contract after the Contracts Administrator denied the contractor a labor rate increase. The complainant alleged Mr. (b)(6) (b) is friends with the owner of the company receiving the contract.

The complainant stated that whenever an employee expresses concern over Mr. (b)(6) (b)(7) double billing, he pressures the employee on work performance in his department, causing 100% employee turnover.

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and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) or (b)(6) (b)(7)(C) s@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 3, 2019

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

False Statements; DFW Security; National Energy Technology Laboratory; Albany Research Center (OIG File No. 19-0116-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The OIG reviewed the Office of Fossil Energy's original response to OIG File 19-0116-C and determined the allegations within the complaint occurred in or around July 2018, which is subsequent to NETL's response to OIG File 18-0258-C dated June 20, 2018.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issues requiring additional details are the following (please address each specific allegation):

1. According to the complainant, (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) located at the National Energy Technology Laboratory's Albany Research Center, falsified SPO training records upon learning of an upcoming OIG audit.
2. Allegedly (b)(6) (b)(7)(C) signatures were photocopied from old training records and transposed to new records. Further, the complainant alleges DFW is aware of the issue.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [hq.doe.gov](http://hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

January 11, 2019

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

(b)(6) (b)(7)(C)

FROM:

SUBJECT: False Statements; DFW Security; National Energy Technology Laboratory; Albany Research Center (OIG File No. 19-0116-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

According to the complainant, (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) located at the National Energy Technology Laboratory's Albany Research Center, falsified (b)(6) training records upon learning of an upcoming OIG audit.

Allegedly, (b)(6) signatures were photocopied from old training records and transposed to new records. Further, the complainant alleges DFW is aware of the issue.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)s@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

January 18, 2019

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

(b)(6) (b)(7)(C) Conflict of Interest; HQ EM and Oak Ridge  
(OIG File No. 19-0117-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A conflict of interest allegedly exists between two Department employees. (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) (b)(6) (b)(7)(C), respectively) are Department employees and work for EM. (b)(6) (b)(7)(C) allegedly works in a supervisory capacity at Oak Ridge Environmental Management (OREM), in an area where (b)(6) (b)(7)(C) is the (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) has (b)(6) (b)(7)(C) for areas wherein (b)(6) (b)(7)(C) is responsible, specifically:

- (a) A Triennial assessment of the OREM technical qualification program, where (b)(6) (b)(7)(C) officially served as the (b)(6) (b)(7)(C).
- (b) Multiple Integrated Safety Management System contractor assessments.
- (c) (b)(6) (b)(7)(C) was also team member on a contractor Electrical Safety Assessment which (b)(6) (b)(7)(C) (b)(6) (b)(7)(C)

Additionally, please confirm the duty location and salary of (b)(6) (b)(7)(C) as conflicting information exists whether he assigned to Oak Ridge, TN, or Headquarters (FORS).

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)s@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

February 7, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Payroll Irregularities; DFW Security Protective Force; Albany, OR  
(OIG File No. 19-0128-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Payroll irregularities are occurring in Albany, OR, and affect employees of the DFW Security Protective Force (DFW). A paycheck scheduled for December 5, 2018 was late by three days, another paycheck paid on January 2, 2019 was completely inaccurate (some employees did not receive a check at all), causing DFW to have to draft additional checks for back pay, vacation and paid time off. This situation allegedly is not unique to Albany, employees at both Pittsburgh and West Virginia sites are also having pay problems, pay concerns attributed to human error committed by a "family member" hired after DFW terminated its HR staff.

In December 2018, DFW management informed their employees they could not seek assistance from HR, payroll or DFW management to address these matters, but to go through them. An email, sent by (b)(6) (b)(7)(C) on December 27, 2018, went on to say "Should anyone fail to follow this directive, progressive discipline will be implemented. If this is not clear, please contact me and I will be happy to explain it to you."

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and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

February 4, 2019

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Inappropriate Behavior in the Workplace (OIG File No. 19-0131-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged (b)(6) (b)(7)(C), an (b)(6) (b)(7)(C) (b)(6) (b)(7) for the National Nuclear Security Agency (NNSA), engages in inappropriate behavior in the workplace to include: falling asleep, viewing explicit and/or racial videos, posting racial comments on social media, sending text messages that include provocative pictures of women, receiving personal calls, and using offensive language.

Specifically, the complainant referenced the week of 16-19 December 2018, wherein (b)(6) (b)(7) engaged in the inappropriate behavior.

On 24 January 2019, the Hotline reached out to the Program Office for more information, as they indicated they had information about the complaint. The Program Office stated the complainant informed them (b)(6) (b)(7) is often logged into Facebook during business hours, but are unsure whether the identified posts were made during business hours. In addition, they stated the complainant told management about their concerns with (b)(6) (b)(7) and management did nothing.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 5, 2019

MEMORANDUM FOR THE CHAIRMAN, FEDERAL ENERGY REGULATORY  
COMMISSION

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Deficient 2018 Agency Financial Report; Federal Energy Regulatory  
Commission (OIG File No. 19-0174-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The 2018 Agency Financial Report (AFR) issued by the Federal Energy Regulatory Commission (FERC) failed to comply with 31 USC 3515 and mislabeled or omitted 15% of the agency's total self-funded obligations.

The complainant alleged FERC's financial report states at p. 5 ("Full Cost Recovery") that FERC recovered all of its costs through annual charges and filing fees under the Federal Power Act and Omnibus Reconciliation Act of 1986. According to the complainant, this statement is false and is not supported by FERC's own public statements. During FY 2018, FERC also recovered well over \$60 million under the Natural Gas Act. These apparently phantom charges and revenues were not identified in the Full Cost Recovery disclosure. Additionally, it is not clear whether the report's balance sheet includes the Natural Gas Act revenues. FERC also collected filing and other fees under the Interstate Commerce Act, the Public Utility Regulatory Policies Act of 1978, and the Natural Gas Policy Act. None of these were noted nor disclosed in the AFR's "Full Cost Recovery" text.

Further, FERC's AFR has organized all of FERC's receipts and expenditure by policy area. FERC does not, in the report, assign its revenues and expenses to each of its statutory authorizations. The report's failure to specify FERC's receipts under each of the Federal Power Act, Interstate Commerce Act, the Public Utility Regulatory Policies Act of 1978, and the Natural Gas Policy Act violate FERC's obligations under Office of Management and Budget (OMB) Circulars A-136 and A-11 Section 230. Therefore the

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AFR failed to comply with 31 USC 3515.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 22, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Health and Safety Violations, Portsmouth Gaseous Diffusion Plant  
(OIG File No. 19-0216-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The complainant alleged (1) respiratory equipment was returned to Fluor BWX Portsmouth (FBP) by the cleaning contractor, UniTech, with contamination levels above acceptable limits; (2) mold was discovered at the Respirator Facility and on Powered Air Purifying Respirator (PAPR) helmets as well as a white substance found in 90% of the PAPR breathing tubes; (3) there was a potential criticality issue related to water on the floor in building X-326 at the Portsmouth Gaseous Diffusion Plant; and, (4) retaliation by (b)(6) (b)(7)(C) for voicing safety concerns related to the respiratory protection equipment and the quality of the cleaning service. Also, in fear of retaliation, employees are not creating Problem Reports as a part of the issues management system.

On 08 March 2019, an Environmental, Safety & Health (ES&H) Notification to the Office of Environmental Management and the Office of Environment, Health, Safety and Security relaying these concerns. On 11 March 2019, the OIG received a preliminary response to the ES&H Notification.

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and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 1, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:**

Port Lions Unfair Bidding (OIG File No. 19-0224-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A complainant alleged collusion among the Port Lions (b)(6) (b)(7)(C) and two bidders for a Port Lions Community Energy Efficiency lighting project. Specifically, the complainant alleged that one bidder, Little Susitna Construction submitted a high bid of \$502,680 while another bidder, Wolverine Supply, submitted a low bid of \$161,300. The complainant believed the high bid was submitted to make the Wolverine Supply bid look good, which allegedly won the award.

The complainant believed there was collusion between the (b)(6) (b)(7)(C) and the two other bidders to ensure that the successful bidder won the project. He stated the extremely high bid by Little Susitna made Wolverine Supply's bid look good, except that another bidder, CoHo Electric, was the lowest bid (\$67,770). The complainant believes Wolverine was forced to lower their bid due to Coho's low bid. In addition, the complainant stated Wolverine Supply's bid was not itemized as was required making them non-compliant.

The complainant stated all proposals were provided to the (b)(6) (b)(7)(C) on 17 December 2018, the due date. The complainant stated that as of 4 January 2019 the winning bid, \$161,300 with Wolverine Supply, was under negotiation. However, once the winning proposal was revealed, it showed a cost of \$131,400, with an associated date of 17 December 2018. The complainant believes the proposal was changed during the negotiation after it was submitted and that this represents collusion.

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In addition to the response to the allegation, please also provide a list of all the grant award recipients and the contract values.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 4, 2019

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Complaint Summary (OIG File No. 19-0235-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Allegedly, (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), Bonneville Power Administration, initiated communications with a scammer through a phishing link he received within his @bpa.gov email account.

(b)(6) (b)(7)(C) sent over 1,000 messages using his government issued laptop and cell phone. Further, (b)(6) (b)(7)(C) provided personal and/or financial information to the scammer, to include money from his personal accounts.

(b)(6) (b)(7)(C) did not report the incident and, in fact, informed the scammer that BPA was interested in reviewing his laptop for a possible malware infection.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

Cc: Office of Environment, Health, Safety and Security

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Department of Energy  
Washington, DC 20585

May 6, 2019

MEMORANDUM FOR THE CHIEF HUMAN CAPITAL OFFICER

FROM:

(b)(6), (b)(7)(C)

SUBJECT:

(b)(6), (b)(7)(C), Personnel Concern (OIG File No. 19-0236-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)  
(7)(C)

(b)(6), (b)(7)(C)

, [redacted] of the Office of Small and Disadvantaged Business

Utilization, smells of alcohol on his arrival to work and after lunch. According to

the complainant, you can smell alcohol on [redacted] and other people in the office also smell the alcohol but are too afraid to speak up.

(b)(6), (b)  
(7)(C)

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Please contact (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) at (202) 586- (b)(6) or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 19, 2019

MEMORANDUM FOR ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION

FROM:

(b)(6), (b)(7)(C)

SUBJECT: Management Irregularity; WAPA (OIG File No. 19-0272-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Electric Power Training Center, Western Area Power Administration (WAPA), is bullying employees; fails to adequately communicate with subordinates; and, is violating WAPA's core values. Specifically, (b)(6), (b)(7)(C) subjects employees to harassment, screaming, cursing and derogatory names and refuses to communicate effectively with subordinates which results in a failure to provide guidance and employees do not know their assigned tasks. (b)(6), (b)(7)(C) is aware of the behavior but has failed to address the issue which has resulted in a very abusive, violent and unprofessional workspace and it is only a matter of time before (b)(6), (b)(7)(C) physically assaults someone as his anger outbursts are getting more violent.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

cc: AU-1

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Department of Energy  
Washington, DC 20585

May 16, 2019

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

FROM:

(b)(6) (b)(7)(C)

SUBJECT: Severance Payments; Paducah Gaseous Diffusion Plant  
(OIG File No. 19-0298-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

In October 2017, in conjunction with a prime contractor transition at Paducah Gaseous Diffusion Plant, employees were offered an optional severance package. This package, as presented to the employees, contained guidelines and restrictions related to re-employment and repayment of severance money should employees who opt to accept the severance package return to work prior to the specified timeframe. According to the information provided to the OIG Hotline, there has been a retroactive modification of the terms of the severance package which removed the restrictions on re-employment and repayment of severance money.

Our office is requesting the following information:

1. A copy of the official document and routing approval(s) for the severance package information reviewed and approved by the Department.
2. A copy of the communication issued to employees related to the voluntary separation; re-employment restriction and severance repayment.
3. Identify what action(s) led to the reversal of the original guidelines and restrictions related to re-employment and repayment of severance money.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 20, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Fraudulent Aluminum Testing (OIG File No. 19-0306-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

According to the Criminal Division, Department of Justice, on May 13, 2019, Hydro Extrusion Portland, Inc., formerly known as Sapa Profiles, Inc. ("SPI"), is expected to plead guilty to one count of mail fraud; and Hydro Extrusion USA, LLC, formerly known as Sapa Extrusions, LLC ("SEI"), is expected to enter into a 3-year Deferred Prosecution Agreement to resolve an April 2019 Information charging it with one count of mail fraud. The violations relate to a scheme to conceal the inconsistent quality of aluminum extrusions produced by SPI for its customers, including U.S. government prime contractors and subcontractors; and by falsifying thousands of failing tensile test results on those extrusions.

Please inform this office if EM has or have had any contracts with the aforementioned company (SPI and SEI) to procure aluminum.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 20, 2019

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Fraudulent Aluminum Testing (OIG File No. 19-0306-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

According to the Criminal Division, Department of Justice, on May 13, 2019, Hydro Extrusion Portland, Inc., formerly known as Sapa Profiles, Inc. ("SPI"), is expected to plead guilty to one count of mail fraud; and Hydro Extrusion USA, LLC, formerly known as Sapa Extrusions, LLC ("SEI"), is expected to enter into a 3 year Deferred Prosecution Agreement to resolve an April 2019 Information charging it with one count of mail fraud. The violations relate to a scheme to conceal the inconsistent quality of aluminum extrusions produced by SPI for its customers, including U.S. government prime contractors and subcontractors; and by falsifying thousands of failing tensile test results on those extrusions.

Please inform this office if NNSA has or have had any contracts with the aforementioned company (SPI and SEI) to procure aluminum.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 16, 2019

**MEMORANDUM FOR THE PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR  
NUCLEAR ENERGY**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** BWX Technologies; OSHA Safety Violation;  
(OIG File No. 19-0330-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

In October 2018, a BWX Technologies (BWXT) employee was involved in a safety incident while operating a crane at a Lynchburg, VA, facility. Following the incident, an OSHA investigation/review was conducted to determine if BWXT was in violation of a requirement to have alarms on the crane in question. The information provided to the OIG Hotline indicates that OSHA issued BWXT a safety violation and that the incident may still be under OSHA review.

Our office would like to receive a detailed report of the OSHA investigation/review of the incident and any resulting finding(s), recommendations or corrective actions.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 29, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

SUBJECT:

(b)(6) (b)(7)(C)

; Hostile Work Environment (OIG File No. 19-0334-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6) (b)(7)(C), a senior Naval Reactors employee (DOE SES) (1) regularly engages in unprofessional and unethical conduct; abuse of authority; and is creating a hostile and fear based work environment; and, (2) is responsible for wasteful destruction of millions of dollars of government owned property. Specifically,

(b)(6) (b)(7)(C) regularly yells, demeans, slams his fists on tables, throws furniture, uses profanity and publicly shames people (both government and contractor employees) even to the point of having them stand up in front of large groups to cry and confess their personal failings. When individuals have confronted him about his behavior, (b)(6) (b)(7)(C) has taken action to have them removed from their position, be demoted to lower classifications, and has had their bosses develop false performance appraisals to justify the removals. According to the complainant, (b)(6) (b)(7)(C) exercises terrorizing influence over subordinates and anyone he doesn't like by spreading rumors and false judgements against them and leads through intimidation and fear. (b)(6) (b)(7)(C) actions are creating a hostile and fear based work environment at Naval Reactors, Naval Shipyards, and at DOE prime contractor locations.

Secondly, (b)(6) (b)(7)(C) regularly imposes costly actions on contractors above and beyond government requirements out of a persistent fear of anything making him look bad. This includes making contractors dispose of non-contaminated government owned property as if it were contaminated, thereby directing the

~~OFFICIAL USE ONLY~~

destruction of millions of dollars of government owned property. (b)(6) (b)(7)(C) does this through verbal threats to avoid a paper trail and forces contractors to document the decisions as their own. If the contractors object, he threatens to have them removed from their positions for poor leadership.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586 (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 1, 2019

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM:

(b)(6), (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: Inaccurate Position Description (OIG File No. 19-0340-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The Hotline received a complaint from (b)(6), (b)(7)(C), a (b)(6), (b)(7)(C) with the Office of Science, alleging her position description (PD) was altered and uploaded into eOPF while the Federal Labor Relations Authority (FLRA) was investigating her case.

The complainant, through the National Treasury Employees Union (Chapter 213), filed a petition with the FLRA requesting clarification of her bargaining unit status. FLRA posted a notice of the case that it is being investigated on March 25, 2019. (b)(6) stated that on the following day, she noticed there was new language inserted into her PD (via eOPF) that added labor management relations duties to the document. Additionally, the cover page of the new PD was from a previous approved PD dated January 23, 2015.

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and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please feel free to contact me at (202) 586-(b)(6)  
b7E or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: [REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

July 1, 2019

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT:

Software Testing and Contracting Irregularities  
(OIG File No. 19-0358-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The Hotline received a complaint alleging that in October 2018, as part of the Grid Modernization Initiative, Bonneville Power Administration (BPA) contracted a software developer to produce and deliver a new Outage Tracking System (OTS). According to the complainant, the OTS failed to address the needs of the end users and is effectively unusable; BPA incurred additional costs and time delays because there was no follow-on maintenance contract to address problems with the system; and normal software acceptance testing and contracting procedures were not followed.

According to the complainant, several things were discovered: 1) There was no subsequent maintenance contract in effect with the software vendor, such that when problems with the interface of OTS were noted, any additional work to change or repair OTS would be done under the contract. It also incurred further cost to BPA, costs that should have been covered with the initial contract. 2) Due to this project being one of many in the Grid Modernization meta-project, but one that was viewed as "low-hanging fruit", there was considerable pushback from management regarding ground-level complaints about OTS' functionality or usability. Support was pulled almost immediately for the use and updating of the previous outage tracking tool. Management seemed to

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completely ignore and/or discourage complaints or issues with the OTS program's lack of utility, and the possible risk to continuity of power to the northwest power grid.

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Please feel free to contact me at (202) 586-(b)(6)  
(b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

July 2, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: Human Reliability Program; Kesselring (OIG File No. 19-0377-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

On June 13, 2019, the Hotline received an anonymous complaint alleging Fluor placed national security at risk by not following the requirements of the Human Reliability Program. Specifically, it alleged over 30 security police officers (SPOs) at the Kesselring Site, were suspected of unauthorized access to documents ranging from PII to classified network.

Flour management became aware of the above breach around November 2017. However, Flour did not take action regarding the SPOs until after July of 2018. The Human Reliability Program (HRP) requires individuals to be immediately removed from HRP if a security concern is suspected.

The complainant alleged Flour placed National Security at risk for over eight months because Flour and PSEA were engaged in contract negotiations between November 2017 and April 2018. It was further alleged that Flour management waited for eight months until contract negotiations were complete to fire executive board members.

Shortly after the new contract was signed, and five months after Flour management became aware of the breach, Flour hired a new law firm to conduct an investigation.

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Please feel free to contact me at (202) 586-(b)(6)  
(b)(7)(f) or (b)(6) (b)(7)(G) [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

cc: Office of Environmental, Health, Safety & Security  
Office of Intelligence and Counterintelligence

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**Department of Energy**  
Washington, DC 20585

July 30, 2019

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6) (b)(7)(C)

**SUBJECT:** Conflict of Interest; Honeywell; Kansas City National  
Security Campus (OIG File No. 19-0398-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

According to an anonymous complainant, (b)(6) (b)(7)(C), the (b)(6) (b)(7)(C) at the Department's Kansas City National Security Campus (KCNSC), in conjunction with Honeywell's Federal Manufacturing & Technology (FM&T) (b)(6) (b)(7)(C) is placing Honeywell's corporate interests over the interests of the KCNSC to generate higher reimbursable fee opportunities for Honeywell.

(b)(6) (b) and (b)(6) (b)(7)(C) regularly report information regarding business opportunities and business intelligence and use their positions to stop and/or reject work that could impact Honeywell's profitability.

(b)(6) (b) is deliberately misrepresenting information to mislead and influence National Nuclear Security Administration (NNSA) business decisions regarding factory utilization.

Specifically, (b)(6) (b)(7)(C)

- Inappropriately misrepresented the overhead costs of Global Security (GS) business shutdown by \$34.8M by stating the cost would be transferred to the

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weapons programs. In actuality, the cost was covered by the additional work and created no additional charge.

- (b)(6) (b)(7)(C) a project gate review process that created major hurdles to implementation of any Strategic Partnership Projects/Strategic Intelligence Partnership Projects that could impact Honeywell growth regions or that are related to Honeywell products. The new process, in essence, rejects USG sponsor's national security requests for the KCNSC Department of Energy Field Intelligence Element that would negatively impact Honeywell's profits.
- Directed procurement commitment delays to push revenue into FY20, where Honeywell corporate would receive reimbursable fees.
- Terminated and/or shutdown FY19 projects with plans to restart them in FY20, which would allow Honeywell to receive a reimbursable fee.
- Allowed Honeywell's FM&T senior management team to rotate various staff members (legal) in and out of Honeywell's GS so they could obtain Top Secret/SCI clearances. The staff would then maintain a clearance which would allow them to function within the "corporate Honeywell umbrella," a benefit not otherwise gained by Honeywell corporate government sponsors.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

cc: General Counsel  
Office of Management

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**Department of Energy**  
Washington, DC 20585

August 5, 2019

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: Pay Entitlement Irregularities (OIG File No. 19-0423-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6) (b)(7)(C) a (b)(6) (b)(7)(C) from NNSA, alleged she did not receive the correct pay which was stated on her SF-50 after returning from temporary service (b)(6) (b)(7)(C) (b)(6) (b)(7)(C). When (b)(6) (b)(7)(C) departed from the Department for (b)(6) (b)(7)(C), her SF-50 documented her basic pay at \$168,200, including pay retention. (b)(6) (b)(7)(C) agreement to transfer to (b)(6) (b)(7)(C) stated she will receive all pay increases, including within grade step increases she would have received had she not been on assignment. Upon her return in (b)(6) (b)(7)(C), the new SF-50 associated with her reinstatement to NNSA indicated reduced pay of \$164,200.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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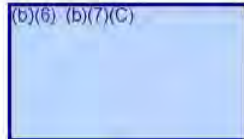


**Department of Energy**  
Washington, DC 20585

August 6, 2019

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM:



Hotline Operations and Whistleblower Investigations

SUBJECT:

Contract Irregularity; H&T Enterprises, Inc, Oak Ridge, TN  
(OIG File No. 19-0431-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

H&T Enterprises, Inc (H&T), is a veteran/minority owned small business in Oak Ridge, TN. H&T has had numerous contracts with the Department and recently concluded two supporting the Office of Science, they were DE-SC0008204 and DE-SC0008327 for reservation and facilities management support. Both contracts concluded in Nov 2017 and H&T later invoiced the Department for \$196,910.86 and \$207,086.37 (respectively), for a total of \$403,997.23. Despite fully cooperating with later audits, closeouts, and being told the costs reports were completed, to date H&T has yet to be paid.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 27, 2019

MEMORANDUM FOR THE CHIEF FINANCIAL OFFICER

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: West Valley Demonstration Project; Appropriation Irregularities; EM  
(OIG File No. 19-0454-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Under current agreement with the New York State Energy Research and Development Authority (NYSERDA), the West Valley Demonstration Project (WVDP) pays an annual liability of approximately \$1.5 million to the State of New York for the operation and maintenance costs of the State of New York's State-Licensed Disposal Area (SDA). This payment is made via an accounting cost share credit between the WVDP and NYSERDA. The complainant alleged the appropriation of WVDP funds for this purpose is a violation of Section 5(a) of the 1980 West Valley Demonstration Act.

The SDA is a disposal area consisting of approximately 2.4 million cubic feet of radioactive waste that accumulated from 1963 to 1975 when Nuclear Fuel Services (NFS), a private contractor, handled disposal operations at West Valley. Disposal operations at the SDA were terminated in 1975, and NYSERDA assumed operational control of the site in 1976. In 1980, the US Congress passed the West Valley Demonstration Project Act designating federal areas of responsibility, the NRC-Regulated Disposal Area (NDA), and state areas of responsibility, the SDA.

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Section 5(a) of the West Valley Demonstration Act, Public Law 96-368, states, "This Act shall not apply or be extended to any facility or property at the Center which is not used in conducting the project." As such, the high level waste (HLW) in the SDA pre-dates passage of the VWDP Act and pre-dates WVDP appropriation funding. The complainant concedes there is a federal reasonability or "liability" for a percentage of the HLW in the SDA. However, none of the HLW at that location can be attributed to the WVDP clean-up effort, and thus should be funded through another federal entity.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel

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**Department of Energy**  
Washington, DC 20585

September 20, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

UCOR; Environmental and Safety Concerns; Y-12 / Oak Ridge, TN  
(OIG File No. 19-0472-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Employees of contract company Performance Abatement Services (PAS), performing duties at Building 9210, within the Biology Complex at the Y-12 National Security Complex, are abating asbestos in environmental conditions in violation of UCOR policy. PAS is a subcontract company to UCOR and at times performs work under the name Performance Contracting (PCI).

(b)(6), (b)  
(7)(C)

PAS, (b)(6) (b)(7)(C) refuses to allow employees to leave the work area under nearly any circumstance, to include an employee whose request was denied after their protective suit was comprised (ripped). On 22 Aug 2019, an employee in Building 9210 passed out, possibly due to heat stress, and was transported off-site via a Y-12 ambulance. Normally, a "stand down" would have taken place; however, this did not occur, and employees were ordered back into the building. On that day, the temperature was estimated to have been in excess of 90 degrees, which is above UCOR's safe work limit. This was not the only day they had worked in excessive temperatures. Another employee also had a possible heat-related incident and had been transported off-site a few days prior to the 22 Aug 2019 event.

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Additionally, at Building 9207 and also the Biology complex, UCOR crews under foremen (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C) are being forced to abate asbestos without using a protective “glove bag” to contain the asbestos, which increases the risk of airborne exposure. They are also conducting “dry” abatements (without water) “to meet management's standards.”

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

September 27, 2019

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: Overtime Irregularities; ESF-12; BPA (OIG File No. 19-0497-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6) (b)(7)(C) (b)(6) (b)(7)(C) Bonneville Power Administration, has limited front-line workers and supervisors from being a part of the ESF-12 emergency responder unit. The complainant alleged (b)(6) (b)(7)(C) managers not to allow anyone that is not a GS worker to be a part of ESF-12. Additionally, (b)(6) (b)(7)(C) senior managers from allowing the GS work force to participate by criticizing their ability to respond due to "having jobs to do." Meanwhile, (b)(6) (b)(7)(C) (b)(6) (b)(7)(C), a GS-15 BPA employee who works under a separate "T" organization, is allowed to respond to ESF-12 on a regular basis and accrued \$91,000 in overtime during Fiscal Year 2018.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) [@hq.doe.gov](mailto:(b)(6) (b)(7)(C)@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 23, 2019

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM:

(b)(6), (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT:

Grant Fraud and Misuse of University Equipment  
(OIG File No. 20-0030-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

In 2011-2012, (b)(6), (b)(7)(C) a (b)(6), (b)(7)(C) at the University of Delaware, used grant money to purchase a High Vacuum Annealing System and a Sputtering Disposition System from Micro Magnetic Inc., a company allegedly owned by (b)(6), (b)(7)(C). (b)(6) has spent additional grant money ordering parts attempting repairs because neither piece of equipment arrived in proper working order. (b)(6) group is using sputtering systems in other labs because the equipment still does not work properly.

(b)(6), (b)(7)(C) (b)(6) also allegedly (b)(6) company called Spectrum Magnetics and has taken equipment, to include a MW signal generator, to (b)(6), (b)(7)(C) for more than a week at a time. (b)(6), (b)(7)(C) is also allowing two of his Spectrum employees to come to Sharp Lab to use equipment for work associated with (b)(6) company and unrelated to University work. Additionally, PhD students from the University are working at Spectrum when they are being paid by the University. (b)(6), (b)(7)(C)

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is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) s@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 2, 2019

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT: AOC; Contract Irregularities; Office of Petroleum Reserve (OIG File No. 20-0045-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Fossil Energy (FE) Office of Petroleum Reserve (OPR) has a service contract with AOC Petroleum Support Services (AOC), which may be involved in excessive billing. The complainant stated that on May 2018, after taking over as the (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), (b)(6) (b)(7)(C) was concerned about AOC's credibility and that OPR was forced by some of its managers to select this contractor when the contract was up for re-compete a couple years earlier.

The complainant stated (b)(6) (b)(7) also stressed that since OPR budgets appear to be getting less in years to come, they would have to look at ways to shave at least \$800,000 off this contract. As a result, a multi-sector workforce study was conducted in May 2018 to see how OPR could save cost. As a couple months went on, the complainant stated (b)(6) (b)(7) was no longer interested in the study, and determined in October 2018 after receiving the FY2019 appropriation, there was really no need to cut cost since the appropriation exceeded OPR's request. (b)(6) (b)(7) was interested in becoming OPR's (b)(6) (b)(7)(C), so he did not seem to want to step on his managers' toes, and allowed them to do what they wanted. According to the complainant, he turned a blind eye to the concerns about the contract even though many employees were complaining about how AOC and its (b)(6) (b)(7)(C) was seemingly defrauding OPR.

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In April 2019, (b)(6) (b)(7)(C) was selected as OPR's (b)(6) (b)(7)(C), so there was a renewed pushed from employees to complete the study and give it to the new (b)(6) (b)(7)(C) on the concerns of AOC. Also, the contract was now up for re-compete. There was also increased concerned from staff members who prepared the requisitions that they should not be adding new funding to the contract unless there was an invoice. According to the complainant, the study was completed in August 2019 before a decision was made on a new contract. During the course of research, the staff found what was believed to be excessive billing. AOC has a separate billable rate when they worked offsite, which is more than the onsite rate. (b)(6) (b)(7)(C) asked the (b)(6) (b)(7)(C), (b)(6) (b)(7)(C), for the definition of offsite and he defined it as "a contractor working out of their official office" and they were allowed to bill an increased rate because they were required to use their own equipment and office space. However, these employees were provided office space on site, so there was no need for them to work offsite. At least two employees, (b)(6) (b)(7)(C) and (b)(6) (b)(7)(C), were working from home, not out of AOC's official office space.

According to the complainant, (b)(6) (b) started working from home when her boyfriend was hurt so she could be there to take care of him, and (b)(6) (b) was working from home because this was a deal she made with a manager, (b)(6) (b)(7)(C). Even after (b)(6) (b) relocated to (b)(6) (b)(7)(C), she was allowed to work at home every day and bill OPR at the offsite rate. (b)(6) (b) was only used as a (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) for his private restaurant in Florida, until an employee, (b)(6) (b)(7)(C) reported this behavior to General Counsel. There was also accusations that (b)(6) (b)(7) was using government funding to travel to Florida for his restaurant. The complainant alleged OPR managers wrote AOC's bid for them. The study report was eventually presented to OPR management. (b)(6) (b)(7) pointed out that there were issues, but AOC would be selected again, and told staff to let what happened in the past stay in the past. The complainant stated AOC is the only bidder every time the contract was out for re-competing.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586- (b)(6) (b)(7)(C) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Office of Management

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**Department of Energy**  
Washington, DC 20585

December 13, 2019

MEMORANDUM FOR THE DIRECTOR, OFFICE OF MANAGEMENT

FROM:

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

SUBJECT:

(b)(6) (b)(7)(C)

Suspected Grant Fraud / Plagiarism  
(OIG File No. 20-0073-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6) (b)(7)(C)

of Frances Helen Elizabeth Public Academy, submitted a proposal for a Department of Energy grant (Solicitation No: DE-FOA-0001968) in the Office of Science and a review of the proposal revealed that (b)(6) had plagiarized a 2004 French report. According to the complainant, the text in the submitted proposal was nearly verbatim to the introduction of a paper published by a group from France in *Physical Review Letters* in 2004. (b)(6) (b)(7)(C) only changed the text in a few key places, for example, the words "We show..." in the published paper were changed to "We will show..." in the submitted proposal, and dropped "We elucidate..." from the published paper to simply starting the sentence with "The..." in the submitted proposal. Additionally, the \$1.8 million grant proposal was seriously deficient and did not contain a Data Management Plan; a curriculum vitae for the Principal Investigator (or others that would work on the project); and, the project narrative was insufficient to allow a credible review.

We are referring this matter to your office for review and consideration of suspension and debarment of the applicant(s) from submitting future grant requests.

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and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

Please contact (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) at (202) 586-(b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

cc: Office of General Counsel  
Office of Science

Attachments

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**Department of Energy**  
Washington, DC 20585

November 27, 2019

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

**FROM:**

(b)(6) (b)(7)(C)

Hotline Operations and Whistleblower Investigations

**SUBJECT:**

Hostile Work Environment; National Energy Technology Laboratory  
(OIG File No. 20-0055-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6) (b)(7)(C), (b)(6) (b)(7)(C), National Energy Technology Laboratory (NETL), has pressured employees into falsifying claims, used performance ratings as a bargaining tool, and created an environment of harassment and retaliation. Several employees have reported these issues only to have them ignored by NETL management because (b)(6) (b) is a "hard worker" and works closely with management. These issues have also been reported to the (b)(6) (b)(7)(C), with no result.

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Please contact (b)(6) (b)(7)(C) (b)(6) (b)(7)(C) at (202) 586-(b)(6) or (b)(6) (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

## MEMORANDUM

DATE August 22, 2017

REPLY TO

ATTN OF IG-301 (A17RL031)

SUBJECT Interim Observations During "Audit of the Workers Compensation Program at the Hanford Site"

TO Manager, Richland Operations Office

On May 3, 2017, the Office of Inspector General (OIG) initiated an audit of the Workers Compensation Program (Program) at the Hanford Site. The audit was in response to a March 8, 2017, request by U.S. Senators Maria Cantwell and Patty Murray for the OIG to assess the Program. The objective of our audit is to assess the effectiveness of the Richland Operations Office's (Richland) processes, procedures, and controls related to the Program at the Hanford Site. In performing our audit we identified potential concerns with the internal controls related to management and oversight of the contractor who serves as the Third Party Administrator (TPA) for the Program. Because Richland is in the process of selecting a new TPA contractor, we concluded it is important to bring these concerns to the attention of Richland even as our audit fieldwork continues. Although our audit is still underway, we suggest management consider taking action on these interim observations, which relate to the awarding of the next contract, rather than waiting for the completion of the audit.

### INTERIM OBSERVATIONS<sup>1</sup>

During the course of our audit, we observed several areas in Richland's execution of the contract and oversight of the TPA that could be strengthened. Specifically, we observed that: Certain terms in Richland's contract with Penser may not be clearly defined; Penser had not always established policies and procedures for processing claims; a limited number of audits and assessments had been performed on the contract; and, internal controls related to the review of invoices and letter of credit payments may be limited. Specifically:

- The contract with Penser included undefined contract terms that could potentially result in overpayments to Penser. Richland's contract with Penser established a fee structure for payment to the contractor based on a fixed unit rate per claim for the administration of three types of worker's compensation claims: Hearing Loss, Medical Only, and Indemnity. The fixed unit rates are different for each type of claim with Indemnity

<sup>1</sup> This Memorandum does not constitute "audit results" as defined by Generally Accepted Government Auditing Standards because the audit is still in process. Additionally, we may modify these observations as further information comes to our attention as we complete the remaining audit work.



claims having a rate nearly double that of Medical Only claims. However, the contract did not define the difference between the three types of claims. Neither Penser nor Richland could provide us with valid, contractual documentation to clarify how a claim should be categorized. Further, we have not identified policies or procedures for either Penser or Richland that describes how to categorize claims for payment purposes. Additionally, Richland officials did not validate whether claims were appropriately classified. Instead, they only determined that the correct rate was used. Although audit work in this area is ongoing, Richland should consider modifying its invoice review procedures to include verifying the correctness of claim classifications. Richland should also consider defining the three claim classifications in the current and future TPA contracts.

- Penser did not always have formal policies and procedures for managing the processing of workers' compensation claims. Instead, Penser stated that they relied upon the Washington State Department of Labor & Industries Self-Insurance Claims Adjudication Guidelines, administered by L&I, and its contract with Richland as well as the experience of its claims examiners. However, in reviewing the Adjudication Guidelines and the contract we noted that neither of these documents provide detailed guidance for determining whether to recommend allowance or denial of a claim to L&I, nor how to adjudicate an L&I claim on a day-to-day basis. Policies and procedures utilized by contractors are a key source of internal controls over the execution of the contract terms and conditions. Consequently, Richland may want to consider that the next TPA contract include terms and conditions that require a sound system of internal controls be maintained by the contractor including implementation of policies and procedures. Richland may also want to consider modifying the contract with Penser to do the same.
- Richland performed minimal audits and assessments of Penser. The performance of audits and assessments is considered to be an integral part of an oversight program. Instead, Richland relied upon audits and assessments performed by L&I rather than performing its own reviews of the contractor. However, since 2013, L&I has performed only two audits of the Hanford Site Worker's Compensation Program, both of which were limited in scope. For example, in its 2016 audit L&I reviewed a total of nine claims and only determined if the lost wage calculation were correct. Audits and assessments of both Penser and the next TPA contractor are important to ensure that the contractors comply with both Washington State requirements and the terms of the contract. Additionally, when deficiencies are identified, without follow-up reviews, Richland cannot ensure that corrective actions have been taken and are effective in correcting the deficiencies.
- We observed that Richland's internal controls associated with reviewing invoices and letter-of-credit payments focused on mathematical accuracy and use of the contract specified rates and contractor records were not included as part of Richland's review. Verification to contractor records of invoices and letter of credit payments is important in order to minimize the risk of errors, overpayments and fraud. By comparing individual invoice items and payments made by Penser through the letter of credit with claim file records Richland is more likely to determine such things as: Duplicate payments to



doctors, pharmacies and others; misclassified claims resulting in overpayments; and overpayments to providers or workers.

We consider these steps to be sound business practices to manage and oversee the workers compensation process. We are concerned that the current management and oversight practices may increase the risk that the process and resolution of worker compensation claims may not meet Washington State and contract requirements. Additionally, there is an increased risk of errors, double billings, and overpayments. However, it is too early in the audit to have identified occurrences of these potential adverse conditions. Additional conditions may be identified as we complete the remaining audit work.

#### PATH FORWARD

Since this audit is still ongoing, additional field work will be performed to reach an opinion on the effectiveness of Richland's processes, procedures, and controls related to the Program at the Hanford Site under Generally Accepted Government Auditing Standards. Accordingly, the internal control concerns identified in this memorandum do not represent the final position of the OIG, and are subject to change. At this time we would like to request a meeting with Richland officials to discuss our concerns in further detail. We would like to meet the week of August 28, 2017. Please contact (b)(6) (509-373-(b)(6)) at your earliest convenience.

(b)(6), (b)(7)(C)

(Acting) Deputy Assistant Inspector  
General, Audits and Inspections  
Office of Inspector General

cc: Acting Assistant Secretary for Environmental Management



**Department of Energy**  
Washington, DC 20585

September 12, 2018

**MEMORANDUM FOR THE MANAGER, Y-12 NATIONAL NUCLEAR SECURITY  
ADMINISTRATION PRODUCTION OFFICE**

**FROM:**

(b)(6), (b)(7)(C)

EASTERN FIELD OFFICE

**SUBJECT:**

Environmental, Safety, and Health Notification Form  
(OIG File No. 18-0008-I)

This memorandum serves to advise you of a potential Environmental, Safety, and Health (ES&H) issue reported to the U.S. Department of Energy's (Department) Office of Inspector General (OIG), Office of Investigations concerning a potentially hazardous vehicle being operated at the Department's Y-12 National Security Complex (Y-12). Upon our review, we determined the circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this information to your office.

Here are the relevant details from our investigation:

On August 30, 2018, the OIG interviewed [REDACTED] Oliver Springs Pumping Services, concerning his involvement in an on-going OIG investigation.

(b)(6), (b)(7)(C)

During the course of the interview, [REDACTED] alleged [REDACTED] TNT Portable Toilets was routinely committing an ES&H violation at Y-12.

(b)(6), (b)(7)(C)

Specifically, [REDACTED] stated every Monday and Wednesday a portable tanker truck owned by TNT Portable Toilets, [REDACTED] and [REDACTED]

(b)(6), (b)(7)(C)

[REDACTED] accesses Y-12 through Portal 33, and enters a Limited Access Area. He further advised the truck has the potential to explode. [REDACTED] said the truck leaks waste, so TNT Portable Toilets keeps the internal tank pump inside the truck running so the truck will appear not leak waste while being operated at Y-12. [REDACTED] explained if vehicles leak fluids of any kind while entering Y-12, the security force will not permit entry into the site. To circumvent the security inspection and to conceal the existence of the leak, [REDACTED]

(b)(6), (b)(7)(C)

[REDACTED] to turn the pump on while he is [REDACTED] the truck at Y-12. [REDACTED] explained this is an ES&H concern because of the leaky waste, and also because with the pump running inside the tank, the potential exists for the truck to create a vacuum and explode.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

As a result of this allegation, the OIG determined this is a potential ES&H violation and pertinent to Y-12's safe operation.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] on (865) 576-[REDACTED] or at

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@oigor.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy *Deputy Inspector General FOR*  
Deputy Inspector General for Investigations

**SUBJECT:** IT Summit Irregularities (OIG File No. 17-0403-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Several thousand dollars in travel and lodging expenses were wasted when OITS Staff arrived at a resort hotel in Oak Ridge, Tennessee on May 10, 2017 for an annual IT Summit that had been relocated to the Office of Science conference room in Germantown, MD.

(b)(6), (b)(7)(C)

In addition, On 22 Mar 2017, [REDACTED] violated the "standards of ethical conduct" by encouraging subordinates [REDACTED] [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] and [REDACTED] to use official on-duty time to attend an unauthorized golf outing during normal working hours. The complainant noted that the outing happens every year around the IT summit and that only select OITS employees were invited to attend. In addition, the complainant noted these employees also received special privileges in the use of telework, training, and other unknown areas.

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(b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C)

Please contact

[REDACTED]

[REDACTED]

at (202) 586-

[REDACTED]

or

(b)(6), (b)(7)(C)

[REDACTED]

@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

November 13, 2017

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR NUCLEAR  
ENERGY

FROM: John E. Dupuy *For*  
Deputy Inspector General for Investigations

SUBJECT: Contract Irregularities; Idaho Operations Office  
(OIG File No. 18-0062-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) Ms. [REDACTED] an [REDACTED] for Alleghany Science and (b)(6), (b)(7)(C)  
Technology Corporation at the Idaho Operations Office attended university courses that  
were later invoiced to the Department. Her hours attending the coursework were also  
(b)(6), (b)(7)(C) invoiced. Both the DOE [REDACTED] and the [REDACTED] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [REDACTED] expressed their concern of the invoices; however, the [REDACTED] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [REDACTED] the costs and demanded they be paid, even after  
being told payment was "neither appropriate nor legal." [REDACTED] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [REDACTED] Contract Management Division, altered the contract billing approval  
process, placing herself into the system as an [REDACTED] and [REDACTED] (b)(6), (b)(7)(C)  
even though she knew she was paying an unallowable and out of scope cost on the  
contract.

The contract, DE-NE0008515/DE-DT0012546, in the amount of \$95,121.82 was awarded in November 2016 to Alleghany Science and Technology Corporation.

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and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact

[REDACTED]

at (202) 586-

[REDACTED]

or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]

@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

January 22, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Ethics Violations (OIG File No. 18-0082-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) [redacted] a private citizen, alleged the [redacted] of Kennewick, WA, [redacted] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [redacted] misused his [redacted] position to secure "special privileges and exemptions for himself and others" from the Department. [redacted] submitted a complaint to City Attorney [redacted] and Benton County Washington Prosecutor [redacted] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [redacted] alleging code of ethics violations and prohibited acts. In addition, [redacted] also alleged (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [redacted] committed theft by conducting city business while working at the Hanford site  
(b)(6), (b)(7)(C) as a [redacted] for the Department's contractor, Mission Support Alliance (MSA).

(b)(6), (b)(7)(C) In a recent civil case involving [redacted] (Benton County Case No. 15-2-01914-4, [redacted] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [redacted] vs. Mission Support Alliance (MSA), [redacted] provided testimony in court (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) of potential wrongdoing. [redacted] testified that as an MSA employee, he would spend 16 to 20 hours of his in office regular work-week performing the duties of his [redacted] (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [redacted]

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~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact

 at (202) 586- or  
@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

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Department of Energy  
Washington, DC 20585

January 3, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Unauthorized Use of a Recording Device  
(OIG File No. 18-0106-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) On November 8, 2017, at approximately 4:00 pm, [redacted] the DFW Security (b)(6), (b)(7)(C) Protective Force, [redacted], utilized, without proper authorization, an audio (b)(6), (b)(7)(C) recording device next to a dispatch radio in Building 923 of the National Energy Technology Laboratory. [redacted] was informed by a co-worker that use of all recording devices at the laboratory required consent of Chief Counsel and that recording a conversation without the consent of all participants is illegal in the State of Pennsylvania. (b)(6), (b)(7)(C) Despite the verbal warning, [redacted] continue to record with the device for approximately nine minutes.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C) Please contact [redacted] at (202) 586-[redacted] or (b)(6), (b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~

cc: Office of the General Counsel

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

January 22, 2018

MEMORANDUM FOR THE CHAIRMAN, FEDERAL ENERGY REGULATORY  
COMMISSION

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Managerial Irregularities; FERC (OIG File No. 18-0107-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Allegedly the Federal Energy Regulatory Commission's Office of Enforcement is purposefully prolonging cases in litigation so the companies and/or individuals involved run out of money and are forced to drop their cases.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6) (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

January 22, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF MANAGEMENT

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Mismanagement and Theft of Government Property  
(OIG File No. 18-0119-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An unknown individual accessed the internal SIM card of a Government wireless device and accumulated a large amount of service charges fraudulently. Specifically, within the past two months, an unknown individual utilized the line of service to stream data on a non-GFE (Government Funded Equipment) issued Samsung S4 device, and has accumulated \$28,495.26 worth of data charges. On January 29, 2016, the Office of Intelligence and Counterintelligence (IN) excessed the device associated with the line 240-848-0363, and the device was later transferred to the Office of Management (MA). The device was retired by MA and transferred to UNICOR, an outside agency for destruction on February 18, 2016.

This violation was able to take place due to IN and MA failure to follow procedures and disconnect the line of service from the device prior to excessing and retiring the device.

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~~OFFICIAL USE ONLY~~

(b)(6), (b)(7)(C)

Please contact

[REDACTED]

at (202) 586-[REDACTED] or

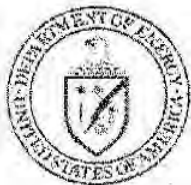
(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]

@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

January 17, 2018

ATTENTION: HOTLINE  
Office of Inspector General  
U.S. Department of Labor  
200 Constitution Ave, N.W.  
Room S-5506  
Washington, DC 20210

(b)(6) (b)(7)(C)

FROM:

SUBJECT: Alleged Workers' Compensation Fraud; Argonne National  
Laboratory; Office of Science

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the anonymous complaint are as follows:

(b)(6) (b)(7)(C)

[redacted] a Department of Energy employee at Argonne National Laboratory in Lemont, IL, was involved in a workplace injury in/or around [redacted] and is allegedly committing workers' compensation fraud as photos of her attending parties and vacationing can be observed on social media.

(b)(6) (b)(7)(C)

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(b)(6) (b)(7)(C)

Please contact [redacted] at (202) [redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

January 5, 2018

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Contractor Irregularities; Veolia; Office of River Protection  
(OIG File No. 18-0121-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Veolia, a new contract company supporting the Office of River Protection (ORP), is forcing incumbent ORP employees to sign an agreement prohibiting employees from pursuing judicial remedies in the event of harassment or misconduct. Employees have to agree to arbitration or lose their job.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6) (b)(7)(C) Please contact [redacted] at (202) 586-[redacted] or (b)(6) (b)(7)(C)  
(b)(6) (b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



**Department of Energy**  
Washington, DC 20585

January 31, 2018

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Alleged Violation of Safety Procedures; Argonne National Laboratory  
(OIG File No. 18-0127-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

On November 27, 2017 a uranium fire occurred in Building 350 at the Argonne National Laboratory. Allegedly, the precautions and procedures listed below were not followed, which further threatened the safety of individuals and caused the contamination of several firefighters:

1. Building 350 was not properly evacuated.
2. Responding firefighters did not wear the appropriate gear.
3. The Fire Department vault relocation team returned to the fire house without their gear and instrumentation because it was rendered contaminated; however, the team showered at the fire house instead of Building 350.
4. The fire engine and utility vehicles used to respond to the fire were not checked for contamination.
5. Debris from the fire was put into drums, which were to be relocated; however, a "Stop Work" order should have been initiated to allow trained experts to implement the appropriate procedures.

~~OFFICIAL USE ONLY~~

6. Testing was not conducted on personnel in contact with the residual gases of the fire.

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(b)(6) (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6) (b)(7)(C)

(b)(6) (b)(7)(C)

cc: Office of Environment, Health, Safety and Security

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

January 22, 2018

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Misuse of U.S. Government Resources and Misconduct; Headquarters;  
Office of Management (OIG File No. 18-0128-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

On January 5, 2018, a Department Senior Executive utilized a Headquarters staff driver to facilitate their transportation to an official engagement in the vicinity of Tysons Corner, VA. Drive time to the engagement from the Forrestal Building was approximately 25 minutes; no toll roads were utilized.

Although the driver was aware the engagement was to last over four hours, he opted not to return to Forrestal. Instead, the driver told the Senior Executive he went to a nearby theater and watched a movie until immediately prior to the Senior Executive's need for transportation back to Headquarters.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

~~OFFICIAL USE ONLY~~

(b)(6), (b)(7)(C)



@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

January 31, 2018

Hotline Director  
U.S. Department of the Interior  
1849 C Street, N.W.; Mail Stop 4428  
Washington, D.C. 20240

(b)(6), (b)(7)(C)

SUBJECT: [REDACTED]; Allegedly Submission of False and Misleading Report to Congress;  
ORNL (OIG File No. 18-0138-C)

Dear Hotline Director:

This letter serves to advise you of the attached complaint received by the U.S. Department of Energy's Office of Inspector General Hotline. Upon our review, we determined that the aspects of the complaint pertain to the U.S. Department of the Interior programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate being notified should your office confirm wrongdoing or misconduct in response to this letter or identify fraud involving Department of Energy programs, operations, or personnel.

~~This letter, including any enclosures and information contained therein, is for OFFICIAL USE ONLY. Appropriate safeguards should be provided and access should be limited accordingly. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

Sincerely,



Attachment

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

February 20, 2018

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Time Card Fraud; Portsmouth Gaseous Diffusion Plant  
(OIG File No. 18-0147-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Employees, both Federal and contractor, at the Portsmouth Gaseous Diffusion Plant are committing time card fraud. There is a lack of oversight and accountability of hours worked. Employees are not recording leave taken and conduct personal business during the duty day. These matters are known to management; however, not addressed and this is affecting morale.

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(b)(6)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

(b)(6)

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

February 2, 2018

Hotline Director  
Small Business Administration  
409 3rd Street, S.W.; 7th Floor,  
Washington, D.C. 20416

SUBJECT: SBIR Fraud; Advanced Powder Solutions Inc; Houston, TX (OIG File No. 18-0149-C)

Dear Hotline Director:

This letter serves to advise you of the attached complaint received by the U.S. Department of Energy's Office of Inspector General Hotline. Upon our review, we determined that the aspects of the complaint pertain to the Small Business Administration's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate being notified should your office confirm wrongdoing or misconduct in response to this letter or identify fraud involving Department of Energy programs, operations, or personnel.

This letter, including any enclosures and information contained therein, is for ~~OFFICIAL USE ONLY~~. Appropriate safeguards should be provided and access should be limited accordingly. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

Sincerely,



Office of Inspector General

Attachment

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Department of Energy  
Washington, DC 20585

February 9, 2018

The District of Columbia Bar  
1101 K Street NW  
Suite 200  
Washington, DC 20005

SUBJECT: *Ex Parte* Communications to the Federal Energy Regulatory Commission  
(DOE OIG File No. 18-0150-C)

This letter serves to advise you of a recent complaint reviewed by the U.S. Department of Energy's (Energy) Office of Inspector General (OIG) Hotline. Upon our review, we determined that the facts and circumstances of the complaint warrants a referral to your office for information purposes and for whatever action you deem appropriate.

The details of the complaint as reported to the Energy OIG are as follow:

(b)(6),(b)(7)(C) A recently disseminated news article alleged (b)(6),(b)(7)(C) attempted to  
(b)(6),(b)(7)(C) privately lobby (b)(6),(b)(7)(C), regarding a pending order  
(b)(6),(b)(7)(C) that would adversely affect a client (b)(6),(b)(7)(C) law firm is representing.  
(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) in the Washington, DC, office of (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) the Energy, Regulation, and Litigation practice group.

Per Federal regulation, in any contested on-the-record FERC proceeding, no person outside FERC is permitted to make, or knowingly cause to be made, any off-the-record communication to any decisional employee, which includes FERC commissioners.

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) reported that (b)(6),(b)(7)(C) called him on January 11, 2018, to express concern that FERC would shortly issue an order adverse to the interests of Monongahela Power Company, and stated he would prefer FERC set the issue for hearing rather than issue an adverse order. Monongahela Power and Alleghany Energy Supply had previously requested FERC authorization to permit Alleghany to transfer the Pleasants Power Station to Monongahela Power. (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) reported that he terminated the communication and did not respond to (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) statements as soon as he realized the communication concerned the merits of the contested proceeding. (b)(6),(b)(7)(C) then drafted a memo to memorialize the *ex parte* communication.

~~This letter, including any attachments and information contained therein, is for OFFICIAL USE ONLY. Appropriate safeguards should be provided and access should~~



~~be limited accordingly. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., and Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(8), (b)(7)(C) Please contact Deputy Assistant Inspector General for Investigations Dustin R. Wright on (202) 586- [redacted] or at [redacted]@hq.doe.gov should you have questions regarding this matter.

Sincerely,



Dustin R. Wright  
Assistant Inspector General  
for Investigations  
Office of Inspector General



Department of Energy  
Washington, DC 20585

February 12, 2018

MEMORANDUM FOR THE CHIEF HUMAN CAPITAL OFFICER

(b)(6), (b)(7)(C)

FROM:

*For*

Dustin R. Wright  
Assistant Inspector General for Investigations

SUBJECT:

Nepotism, Abuse of Authority, and Misuse of Position  
(OIG File No. 18-0155-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follow:

(b)(6), (b)(7)(C)

[redacted] within the National Nuclear Security Administration's (NNSA) HQ Office of Acquisition and Project Management (NA-APM) are engaged in a pattern of non-competitive hiring of unqualified friends and family for Series 1102 Contract

(b)(6), (b)(7)(C)

Specialists. [redacted] have [redacted] who are on-site support service contractors. NA-APM has converted two of these contractors [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] and [redacted] to federal employees under a non-competitive Direct-Hire

(b)(6), (b)(7)(C)

Authority and a third [redacted] has now been offered a position.

(b)(6), (b)(7)(C)

It is alleged these individuals had no acquisition experience or training prior to their selection as contract specialists. Internal objections to their hire and salaries were ignored, and staff subordinate to senior [redacted] and [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] were pressured to make the hires. It is also alleged a nepotism review was not

(b)(6), (b)(7)(C)

conducted prior to [redacted] hire, and she has been afforded special treatment such as admission into a prestigious leadership program soon after her hire and travel with [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] an SES member, despite NNSA staff objections.

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is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

February 21, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Retaliation and Hiring Irregularity; Savannah River Office  
(OIG File No. 18-0159-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) [redacted] a private citizen and former contract employee, alleges (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) [redacted] at the Savannah River Site (SRS) unlawfully interfered in the hiring decision of  
an SRS contractor company, Veteran Solutions, Inc.

(b)(6), (b)(7)(C) In April 2017, [redacted] accepted an offer of employment with Veteran Solutions Inc  
(VSI), a contract company supporting the Savannah River Site (SRS). On May 5, 2017,  
(b)(6), (b)(7)(C) VSI rescinded the offer, stating the "client" rejected his hiring. Later coordination  
(b)(6), (b)(7)(C) between [redacted] legal counsel and [redacted] at SRS revealed unknown  
(b)(6), (b)(7)(C) persons at the Department informed VSI management [redacted] resume "was not a  
(b)(6), (b)(7)(C) good fit for the position." [redacted] alleged this was due to the Department's involvement  
(b)(6), (b)(7)(C) in his [redacted] against [redacted] (b)(6), (b)(7)(C)

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the

~~OFFICIAL USE ONLY~~

Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

February 21, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

(b)(6),(b)(7)(C) SUBJECT: [REDACTED] and others; Time Card Fraud and Larceny; Brookhaven  
National Laboratory (OIG File No. 18-0167-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) [REDACTED] who operates the [REDACTED] and is [REDACTED] is committing (b)(6),(b)(7)(C)  
time card fraud. He also runs a privately owned business out of Building 902 and steals items to help with his business. His employees, who also work for him privately, condone his activity and engage in the same behavior, stealing department equipment and committing time card fraud. The employees' names are [REDACTED] (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) [REDACTED]. These men intimidate others so their behavior is not disclosed, and management is not addressing the situation.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6) Please contact [REDACTED] at (202) 586-[REDACTED] or (b)(6)  
(b)(6),(b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

March 7, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the typed name.

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Mismanagement and Preferential Treatment (OIG File No. 18-0172-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follow:

Allegation 1: Nuclear Waste Partnership, LLC (NWP), the management and operating contractor for the Waste Isolation Pilot Plant (WIPP) is fragmenting budgets and project work scopes in order to avoid DOE procurement review cycles. Doing this will allow NWP to achieve award fee for certain construction projects beginning by specific dates, despite NWP's poor planning and mismanagement of procurement activities.

Specifically, NWP is in charge of a multi-hundred-million dollar construction project that is scheduled to begin in Jul 2018. If construction work begins by July 10, 2018, NWP will receive \$550,000 in award fee. Site prep work such as site clearing and laying down work yards must be completed by May 31, 2018 (prior to construction), which the Environmental Management Consolidated Business Center (EMCBC) estimates will cost \$1.2M and refers to as "Enabling Works." As a result of poor planning, slipped schedules, and desire to earn award fee, NWP decided to split the Enabling Works contract into two separate awards – one with a start date of 05 Apr 2018 valued at \$520,000 and one with a start date of April 15, 2018 valued at \$700,000 – despite both awards requiring the same equipment and types of labor. NWP intentionally split the procurement to avoid the cumulative 60-day review cycle by the Carlsbad Field Office and EMCBC (individual procurements under \$1M are

~~OFFICIAL USE ONLY~~

not reviewed) and to restrict the competitive process. By reducing the procurement lead time, NWP will be able to meet its July 2018 goal and earn the award fee. NWP may also try to fragment the estimated \$1M Fire Loop project.

(b)(6),(b)(7)(C)

Allegation 2: [REDACTED] has a direct contract with NWP...that pays him in excess of \$700,000 per year. This figure includes travel costs and per diem, and can be verified in the "procurement IFMS system." However, [REDACTED] is unqualified to be the procurement manager for WIPP's Capital Projects, which is responsible for constructing, installing, and refurbishing buildings and performing site prep work at the WIPP site. [REDACTED] has

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

not performed any cost/price analyses and is not required to learn or use the procurement system despite being a procurement manager and the fact that other procurement managers use the system. It is alleged [REDACTED] unqualified status is covered up by NWP employees [REDACTED] and [REDACTED]. Further, the

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

complainant alleged [REDACTED] is abusive toward his staff, which has led to high turnover (7-10 people in the past 2.5 years), wasted mobilization costs (airfare, hotel, rental cars, etc.), and inefficiency. Allegedly, one employee quit after two years, two quit after two months, one quit after one week, one quit after two days, and a few did not accept job offers due to [REDACTED] reputation.

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

Allegation 3: NWP (and [REDACTED] in particular) has not provided proper oversight of Constructors, Inc. (Constructors), or Stellar Electrical, WIPP subcontractors. For the past two years, NWP employees who do not work in the NWP contracts/procurement groups gave Constructors "notices to proceed," which led to "undocumented price analysis, unreported quotes and proposals, and unsubstantiated invoices." For example, there is no justification for a \$136,000 per year line item on Constructors' task order #55, nor a price analysis nor documentation of how the price negotiation occurred.

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 24, 2018

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

(b)(6), (b)(7)(C)

**FROM:**

(b)(6), (b)(7)(C)

**SUBJECT:**

Mismanagement and Preferential Treatment at the Waste Isolation  
Pilot Plant (OIG File No. 18-0172-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Department Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issues are the following (please address each specific allegation):

(b)(6), (b)(7)(C)

1. Are the payments associated with the subcontract between Nuclear Waste Partnership, LLC (NWP), and [REDACTED], including those for travel and per diem, reasonable and allowable? Please also explain how you reach your conclusion.

(b)(6), (b)(7)(C)

2. The allegations of [REDACTED] abusiveness toward his staff were not addressed. Please respond to the original allegations and provide details on how this was reviewed and how your conclusion was reached.
3. For the subcontract between NWP and Constructors, Inc., does the \$136,000-per-year line item in Task Order #55 have the required price analysis, quote, and Notice to Proceed from the NWP contracts and procurement group?
4. Please explain how you determined that no NWP employees outside the contracts and procurement group exceeded their authority to give "notices to proceed" to Constructors, Inc.

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

February 26, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY  
AND RENEWABLE ENERGY

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Misuse of Position (OIG File No. 18-0187-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) A complainant alleges [REDACTED], the [REDACTED] at the (b)(6),(b)(7)(C)  
National Renewable Energy Laboratory (NREL) directed her [REDACTED] (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) [REDACTED] to perform personal services at [REDACTED] home. These (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) tasks included taking care of [REDACTED] dogs and mowing her lawn. It is alleged that  
(b)(6),(b)(7)(C) [REDACTED] was compensated with NREL bonuses for her services.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6),(b)(7)(C) Please contact [REDACTED] at (202) 586-[REDACTED] or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

February 23, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Hostile Work Environment; [REDACTED] (b)(6), (b)(7)(C)  
(OIG File No. 18-0190-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) [REDACTED] under the Integrated Planning and Reporting Division at the Nevada National Security Site has created a hostile work environment. Concerns expressed of [REDACTED] were his "severe (b)(6), (b)(7)(C) lack of leadership", inefficient and ineffective management style, favoritism and the appearance to "relish in sensationalizing every situation into a crisis".

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(b)(6), (b)(7)(C) Please contact [REDACTED] at (202) 586-[REDACTED] or (b)(6), (b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

March 9, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

(b)(6),(b)(7)(C) SUBJECT: [REDACTED]; Hiring Irregularity; ORNL (OIG File No. 18-0196-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) [REDACTED] the [REDACTED] is engaging in a hiring irregularity as he has implemented a policy forbidding the hire of post-doctoral researchers who have a prior affiliation with ORNL. Specifically, if an ORNL staff scientist was on the applicant's PhD committee, they did their PhD work at/or with ORNL, and if they were a post-doctoral researcher at ORNL; if all three criteria were met, they would not be considered for employment.

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(b)(6),(b)(7)(C) Please contact [REDACTED] at (202) 586-[REDACTED] or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 9, 2018

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY  
AND RENEWABLE ENERGY**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Misappropriation of US Government Property and Time /  
Malfeasance (OIG File No. 18-0199-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) [redacted] a contract employee and Human Resources (HR) manager at the  
National Renewable Energy Laboratory (NREL) uses NREL resources to promote her  
(b)(6), (b)(7)(C) [redacted]. The activity consists of [redacted] extensive use of NREL phones, (b)(6),(b)(7)(C)  
computers and printers in support of her business.

(b)(6),(b)(7)(C) [redacted] has been apprised of the activity; however, told employees  
not to worry about it and has refused to take action. Further, [redacted] has been observed (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) giving gifts to [redacted] and [redacted] reportedly receives regular, generous bonuses.

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 9, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Contractor Performance of Inherently Governmental Functions; Oak Ridge Institute for Science and Education (OIG File No. 18-0205-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Oak Ridge Institute for Science and Education (ORISE) participants at Bayne-Jones Army Community Hospital, Fort Polk, LA 71446, are violating aspects of the Postgraduate Research Participation Program. Although strictly forbidden, participants are performing work reserved for federal employees, work to include but not limited to supervising, maintaining signature authority, ordering items and possessing official job titles. Participants in the program are paid a monthly stipend of \$4,392.

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(b)(6),(b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 22, 2018

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

**FROM:**

Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:**

Accessibility Concerns / Americans with Disabilities Act; Bonneville  
Power Administration (OIG File No. 18-0209-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Select Bonneville Power Administration buildings do not have entrance and egresses in consideration of persons with limited mobility. These concerns exist at Building 4400 in Vancouver, WA, the Ross Complex, both One and Two Park Place and the Headquarters building in Portland, OR.

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(b)(6),(b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

cc: The Office of Economic Impact and Diversity  
The Chief Human Capital Officer

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Department of Energy  
Washington, DC 20585

April 5, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT:

Alleged Nepotism and Conflict of Interest; Brookhaven Site Office  
(OIG File No. 18-0213-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Hotline received an anonymous complaint alleging nepotism and a conflict of interest at the Brookhaven National Laboratory (BNL). Specifically, it is alleged

(b)(6), (b)(7)(C)

a contracted employee at BNL, was promoted to

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

because her husband,

(b)(6), (b)(7)(C)

is

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

at the Brookhaven Site Office. Further, it is alleged

(b)(6), (b)(7)(C)

and his

team are responsible for rating the performance of the office his wife,

(b)(6), (b)(7)(C)

works in.

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(b)(6), (b)(7)(C)

Please contact

(b)(6), (b)(7)(C)

at (202) 586-

(b)(6), (b)(7)(C)

or [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

April 4, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6) (b)(7)(C),  
(b)(7)(C)

FROM:



SUBJECT:

PNNL Institutional Computing Division; Inappropriate Use of LDRD Funds (OIG File No. 18-0217-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Pacific Northwest National Laboratory (PNNL) uses Laboratory-Directed Research and Development (LDRD) funds to subsidize Institutional Computing Division (PIC) capabilities that directly compete with industry for identical or nearly identical services (e.g. Cloud and High Performance Computing). Additionally, funds are also diverted to pay for staff and resources external to LDRD projects; one example being a new Research Computing group not associated with LDRD initiatives. These facts are known and suppressed by [REDACTED] within PIC and [REDACTED]

(b)(6) (b)(7)(C),  
(b)(7)(C)

(b)(6) (b)(7)(C)

[REDACTED] to avoid being brought to the attention of PNNL leadership.

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(b)(6) (b)(7)(C),  
(b)(7)(C),  
(b)(6) (b)(7)(C),  
(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or [REDACTED]@hq.doe.gov should you have questions regarding this matter.

(b)(6) (b)(7)(C)

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Department of Energy  
Washington, DC 20585

March 16, 2018

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

(b)(6), (b)(7)(C)

FROM:

Hotline and Analysis Section

SUBJECT: Alleged Misuse of Position  
(OIG File No. 18-0221-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the March 15, 2018 Complaint Coordination Committee meeting, this matter is being referred to the Office of Audits and Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact me on (202) 586- or at @hq.oe.gov should you have questions regarding this matter.

Attachment

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

April 20, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Alleged Fraud and Misuse of Government Vehicles; Brookhaven National Laboratory (OIG File No. 18-0225-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Allegedly, employees utilizing vehicles leased by the Department of Energy for the Brookhaven National Laboratory were:

1. Incurring out of state gasoline related charges for vehicles in state,
2. Purchasing gasoline greater than the capacity of the vehicle's gasoline tank and,
3. Purchasing regular gasoline instead of E-85 gasoline for flex fuel vehicles.

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(b)(6) (b)(7)(C),  
(b)(7)(C)  
(b)(6) (b)(7)(C),  
(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or  
[REDACTED]@hq.doe.gov should you have questions regarding this matter.

(b)(6) (b)(7)(C)

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Department of Energy  
Washington, DC 20585

April 5, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR INTERNATIONAL  
AFFAIRS

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Waste of US Government Spending; Washington Energy Seminar  
(OIG File No. 18-0230-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Office of International Affairs (IA), specifically IA-42, has been delaying notification to the rest of the Department of the annual Washington Energy Seminar. The Seminar is co-hosted by the Department, and the normal entrance fee of \$725 is waived for up to seven registrants; however, IA-42 has delayed Department-wide notification of the event. Subsequently, the free (waived) slots have not been used or disseminated throughout the Department.

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted] (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

March 27, 2018

MEMORANDUM FOR THE ASSOCIATE UNDERSECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY

FROM: Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

SUBJECT: Hostile Work Environment and Abuse of Authority  
(OIG File No. 18-0231-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) [redacted] a [redacted] in Germantown, MD, created a hostile work environment, abused his authority, and threatened the employment and security clearances of contractors. (b)(6), (b)(7)(C) [redacted] repeatedly yelled and threatened security personnel over the past two months resulting in several of the employees departing from the organization.

(b)(6), (b)(7)(C) It is also alleged that [redacted] during a meeting with approximately 20 contractors, stated he was "there to help, and if the contractors did not accept his help, he would write them up, and eventually they would lose their security clearances and their jobs."

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(b)(6), (b)(7)(C) Please contact [redacted] at (202) 586-[redacted] or (b)(6), (b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

April 5, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF INTELLIGENCE AND  
COUNTERINTELLIGENCE

(b)(6), (b)(7)(C)

FROM: [REDACTED]

SUBJECT: Alleged Export Control Law Violation; ITER; ORNL (OIG File No. 18-0233-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[REDACTED], an employee of ITER in Saint-Paul-lès-Durance, France, received and later attempted to distribute U.S. Export Controlled data sheets. ITER is an international nuclear fusion research and engineering megaproject with a domestic branch (US ITER) managed by the Oak Ridge National Laboratory. [REDACTED] attempted to extract the data from the Export Controlled documents, then insert the data into a separate document not classified as "EXPORT CONTROL" to send to potential suppliers, skirting Export Control rules. (b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: The Administrator, National Nuclear Security Administration

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

April 5, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



(b)(6), (b)(7)(C)

SUBJECT:

[redacted] and [redacted] Hiring Irregularity; Mission Support Alliance; Richland (OIG File No. 18-0247-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[redacted] and [redacted], [redacted] for Mission Support Alliance (MSA), Richland, WA, misrepresented their credentials as electricians and are not qualified to be in their current positions. MSA management, internal employee concerns program, and the human resources offices have been notified; however, no action has been taken.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 15, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Los Alamos National Security, LLC; Waste of Funds and False  
Statements (OIG File No. 18-0255-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

In July 2017, the Bradbury Science Museum, Los Alamos, NM, spent \$24,000 to advertise for free public lectures supporting Frontiers in Science events on 24, 26 and 28 July 2017. The normal budget for the event was \$6,000, but the expense had apparently quadrupled for the July 2017 event.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

April 20, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Alleged Travel Irregularities and False Statements; Pacific Northwest National Laboratory (OIG File No. 18-0256-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

Allegedly, [REDACTED] the [REDACTED] at the Pacific Northwest National Laboratory is misrepresenting her medical condition to obtain first class accommodations while traveling aboard commercial transportation. According to the complaint, Campbell can be observed on a social media website competing as a bicyclist.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 31, 2018

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

(b)(6), (b)(7)(C)

**FROM:**

(b)(6), (b)(7)(C)

**SUBJECT:**

DFW Security Protective Force; Contract Fraud; National Energy  
Technology Laboratory (OIG File No. 18-0258-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issue are as follows:

As mentioned in your response to this office dated 20 June 2018, numerous complaints concerning the DFW Security Force contract have been reported to the OIG. These complaints concern a myriad of topics from contract fraud to payroll irregularities. In light of this and with respect to the current complaint, the OIG requests a response from NETL management, 1) elaborating on their "formal request to DFW for corrective action" and, 2) how they, specifically NETL management, plan to resolve the current concern and prevent potential recurrence.

~~This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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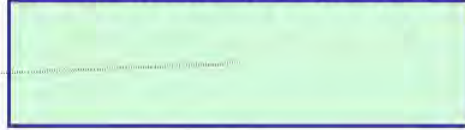
**Department of Energy**  
Washington, DC 20585

April 15, 2018

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:**

DFW Security Protective Force; Contract Fraud; National Energy  
Technology Laboratory (OIG File No. 18-0258-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Management of the DFW Security Protective Force, supporting operations at the National Energy Technology Laboratory, is requiring their employees to falsify training records or be threatened with termination. DFW Security Protective Force is being audited and during preparation for the audit it was disclosed Department mandated training to be conducted ten times a year had not been completed as required. Management has created false training records reflecting completion of training and is forcing employees to sign the records.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy

Washington, DC 20585

May 4, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR

ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT:

and Potential Post-Employment Ethics Violations;

Savannah River Site (OIG File No. 18-0259-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy’s Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office’s programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office’s receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

and are former Department employees who left Federal service in December 2017. Both were employed at the Savannah River Site (SRS) and have accepted employment with SRS contract company S&K Logistics Services (SKLS). They will be returning in less than one year after leaving Federal service. While a Federal employee, and was offered employment as an a position she is not qualified for. is a former Nuclear Materials Division employee and returning in an unspecified capacity.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Both and received employment counseling from SRS General Counsel’s office upon their departure from Federal service; however, it was unknown exactly what guidance they received nor how this may affect their contract employment.

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel

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Department of Energy  
Washington, DC 20585

April 24, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE ECONOMIC IMPACT AND  
DIVERSITY

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

EEO Program Irregularities and Hostile Work Environment; Oak Ridge Office (OIG File No. 18-0269-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

An anonymous complainant alleged [redacted] an [redacted] [redacted] at the Oak Ridge Office, is creating a hostile work environment by improperly addressing EEO complaints. Specifically, it is alleged, [redacted] did not thoroughly investigate allegations for validity and settled several complaints without informing and/or interviewing the individuals involved. Further, two Office of Science employees were allegedly discriminated and retaliated against for informing others about the inefficiency of [redacted].

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



cc: Office of Science

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

May 1, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM: [REDACTED]

SUBJECT: Pacific Northwest National Laboratory (PNNL); Contract Irregularities (OIG File No. 18-0277-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

TerraTracker, a privately owned company in Livermore, CA, holds a license from Lawrence Livermore National Laboratory (LLNL) to develop and maintain equipment that detects the presence of radioactive materials that could be in transit to or traveling throughout the United States. PNNL holds a contract with the California Highway Patrol (CHP); CHP conducts maintenance of the radiation detecting technology for which TerraTracker is the sole licensee. PNNL is violating both the license granted to TerraTracker by LLNL and federal laws prohibiting national laboratories from competing with private industry for gainful contractual agreements.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



**Department of Energy**  
Washington, DC 20585

June 1, 2018

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:** Mismanagement of Liability Resolution (OIG File No. 18-0281-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (DOE) Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follow:

The Alliance for Sustainable Energy, LLC (the Alliance) manages and operates the National Renewable Energy Laboratory (NREL); Battelle and the Midwest Research Institute (MRI) co-manage and -govern the Alliance. The Alliance leased space at MRI's Aurora, CO, SolarTAC site to conduct NREL activities.

It is alleged DOE paid MRI an unreasonable amount of money in order to abandon property at the leased space and to resolve the Alliance's liability to restore the leased space to its original condition. The Alliance prepared a document outlining the cost to restore the site to its original condition, which included one cost estimate for the Alliance to do the work and one estimate for a commercial entity to do the work; this document became the basis for DOE's agreement with MRI to assume restoration liability at a cost of \$571,131. However, the document's commercial cost estimate was too high and contained errors. For example, it contained duplicate costs for road base removal and costs to remove fencing that no longer existed; an inflated cost estimate for fence removal (\$110,660 estimate inflated to \$344,689 without justification); and an \$8,992 cost to remediate a shed that another agency had already acquired and removed. Although DOE officials pointed out these errors, the amount DOE paid MRI was not reduced.

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It is also alleged the inherent conflict of interest between the Alliance and MRI may have contributed to the \$571,131 cost not changing despite DOE officials pointing out the errors and undertaking efforts to reduce DOE's liability (such as exceeding the shed). MRI also took ownership of the abandoned property upon resolution of the Alliance's liability.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



**Department of Energy**  
Washington, DC 20585

May 1, 2018

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:** Bechtel National, Inc.; Non-Qualification of Safety Structures, Systems, and Components; Hanford WTP (OIG File No. 18-0282-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Bechtel National Inc has installed safety structures, systems and components (SSC) at the Waste Treatment and Immobilization Plant (WTP) on the Hanford Site that are not qualified for operational use. The SSCs were to ensure safe operation and remediation during an accident and include monitoring equipment, temperature control, ventilation and filtration components and containment structures. The SSCs were emplaced in the High Level Waste Facility; Low Activity Waste Facility, Balance of Facilities, Analytical Laboratory; and Pre-Treatment facilities. Approximately 25,000 items are of concern as documented in Root Cause Analysis 24590-WTP-RCA-ENS-14-0001.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~

cc: The Associate Undersecretary for Environment, Health, Safety and Security

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

May 21, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

(b)(6), (b)(7)(C)

FROM: [REDACTED]

SUBJECT: Procurement Irregularities and Conflict of Interest  
(OIG File No. 18-0301-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

Allegedly, [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] at the Strategic Petroleum Reserve (SPR), has a relationship with [REDACTED] Cavern Solutions Inc. (CSI), whom bid on a subcontract with M&O contractor, Fluor Federal Petroleum Operations (FFFO).

In an effort to influence the subcontract award:

(b)(6), (b)(7)(C)

1) [REDACTED] attempted to meet with FFFO staff to discuss the technical merit of the evaluation and gain knowledge of proprietary information that would benefit CSI.

(b)(6), (b)(7)(C)

2) [REDACTED] at SPR and [REDACTED] directed the Department's Small Business Analyst to re-review the small business plan associated with the subcontract award and its approval of the incumbent, whom is not designated a small business. According to the complainant, [REDACTED] is attempting to get the analyst to reconsider approving the plan since CSI is designated as a small business.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

~~Additionally, the following matter was presented to OIG and we are referring this matter to your office for informational purposes only. We would appreciate a written reply only if your office confirms wrongdoing or misconduct:~~

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The details of the complaint are as follows:

(b)(6), (b)(7)(C)

Allegedly, [REDACTED] is creating a hostile work environment as she was observed cursing and yelling at various employees.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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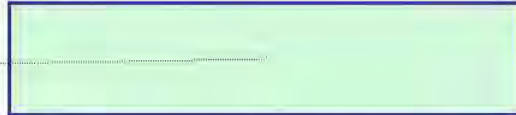
Department of Energy  
Washington, DC 20585

June 1, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Quality Assurance Concerns (OIG File No. 18-0302-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (DOE) Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follow:

In March 2018, the Office of River Protection (ORP) requested that Bechtel National, Inc. (BNI), contractor at the Waste Treatment and Immobilization Plant (WTP), investigate quality assurance issues with structural steel materials records, specifically required Mill Test Reports. BNI responded in April 2018 describing "continued actions, progress made, plans for future completion, audits of suppliers, training of suppliers, and open corrective actions," concluding "there is reasonable assurance that there is ample justification for continuation of work at WTP." BNI also suggested an extent of condition limited to items "for which two submittal paths existed for submitting the missing documentation."

The complainant expressed concern that the narrow extent of condition review could overlook problems and the complete set of records was not provided. The complainant is also concerned an unrecoverable quality issue meriting a "stop work condition" may exist.

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is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

cc: Office of the Associate Under Secretary for Environment, Health, Safety, and Security

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

May 29, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT:

[REDACTED] Time Card Fraud/Use of USG Equipment/Malfeasance;  
Argonne National Laboratory (ANL) Site Office (OIG File No. 18-0307-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[REDACTED] a [REDACTED] at the ANL Site Office, has been misusing government resources and not accurately reporting his time.

(b)(6), (b)(7)(C)

[REDACTED] has a personal business involving rental properties. He has been routinely observed using U.S. Government equipment to print and scan leases, as well as using his official email address and phone number on the lease agreements. Additionally, [REDACTED] does not appear to be working a 40-hour

(b)(6), (b)(7)(C)

work week. Other employees have noted [REDACTED] arrives later and leaves

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

earlier than they do. While, [REDACTED] has flex schedule and the ability to work from home, it was unknown whether he completed the remaining work there.

These matters have been brought to management's attention; however, to date they have not been addressed.

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and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

May 29, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Quality Assurance Irregularities; Hanford – Waste Treatment  
Immobilization Plant (OIG File No. 18-0312-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Allegedly, in 2017, an audit team assigned to perform 24590-WTP-IAR-QA-17-0003 and 24590-WTP-SV-QA-17-036 found conditions that should have resulted in a shut-down of some elements of construction specific to electrically energized equipment that were not correctly labeled and an operator who was not trained how to safely shut down the equipment. The conditions were evidence that the process to identify the status of systems and components was broken. Rather than shut down the process, the procedure that addressed work stoppage was quickly replaced with one that did not acknowledge the Quality Assurance (QA) work stoppage criteria.

Additionally, the final part of the audit required the team to evaluate Quality Level 1 post-installed concrete anchors. After performing this review, the audit team determined the:

1. installation procedure did not address the engineering specification
2. record of the inspection did not meet all that was needed to demonstrate that all acceptance criteria had been met
3. installation specification had acceptance criteria that was less conservative than the manufacturer's requirements

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4. Responsible Field Engineers who were performing the inspections, were not qualified as inspectors to the requirements of the QA program.

In early September 2017, a member of the audit team determined the most significant findings were being changed or dismissed. Approximately, four deficiency reports and two non-conformance reports (NCR) were issued as a result of the audit; however, the NCR regarding the quality of all Level 1 post installed concrete anchors being indeterminable was removed.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Office of Environment, Health, Safety and Security

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Department of Energy  
Washington, DC 20585

June 6, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT:

Hiring and Personnel Irregularities (OIG  
File No. 18-0324-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Office of Secure Transportation (OST) management engages in hiring and personnel irregularities and is promoting a toxic work environment. These matters have been reported; however, to date have not been acted upon. The allegations and persons involved include:

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employment to friends and close associates. These positions consisted of the [redacted] and [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Additionally, positions in [redacted] were either written for a specific candidate or filled with no notice or allowable interest for others.

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(b)(6), (b)(7)(C)

[redacted] reflects poor decision making

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

and leadership. In example, [redacted] declined evacuation of area where storm levels lead to catastrophic flooding, although the storm had claimed the lives of several people.

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[redacted] decision was eventually overruled, a decision which probably saved additional lives.

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[redacted] has had two separate DUI arrests; [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

however, continues to [redacted] also dismissed an allegation of an employee using a GSA vehicle for personal use.

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(b)(6), (b)(7)(C)

[REDACTED], (Previously [REDACTED]) [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] uses intimidation tactics to bully others, and at times this included threats of

(b)(6), (b)(7)(C)

physical harm. [REDACTED] uses these tactics during hiring and firing decisions and this is known to OST management.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 6, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM: [REDACTED]

SUBJECT: Falsification of Training Standards; Argonne National Laboratory  
(OIG File No. 18-0329-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Argonne National Laboratory firefighters are falsifying their National Fire Protection Association, Occupational Safety and Health Administration and Self-Contained Breathing Apparatus tests. Management is aware of the falsifications; however, protecting the employees involved.

(b)(6), (b)(7)(C)

Firefighters involved are [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] and [REDACTED] made up the patient vitals, times and recorded them on test result forms.

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 15, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Conflict of Interest and Contract Irregularities  
(OIG File No. 18-0338-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The National Nuclear Security Administration awarded National Technology and Engineering Solutions of Sandia (NTESS) the management and operating (M&O) contract for Sandia National Laboratory (SNL). Northrop Grumman and Universities Research Association were selected to support NTESS in the performance of the contract. According to an anonymous complainant, allegedly:

1. NTESS management is diverting funds to Northrop Grumman, University Research Alliance, Sandia Technical Partners and Longnecker under sole source contracts with no justification and no apparent work to be performed;
2. NTESS management is using SNL operating funds to pay Northrop Grumman, University Research Alliance, Sandia Technical Partners and Longnecker instead of the M&O contract award money, as subcontracts were placed with each for a total value of \$40 M. To cover the loss of the operating funds NTESS plans to cut staff and reallocate resources;
3. Honeywell is providing incentives for NTESS management to secure more funding for Honeywell;

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4. Honeywell is putting pressure on the SNL Infrastructure Operations Vice President to utilize Longnecker to review all of SNL work, which would give Longnecker a distinct competitive advantage over any future contract proposals as Longnecker would have direct knowledge of competitor pricing, resources and strategies;

(b)(6), (b)(7)(C)

5. [REDACTED] spent taxpayer funds to draft a petition to the Department which requested a larger portion of operation fees be paid to Honeywell

(b)(6), (b)(7)(C)

6. [REDACTED] purposely stalled and/or delayed contract negotiations with Northrop Gumman, University Research Alliance, Sandia Technical Partners and Longnecker which seemingly benefited Honeywell.

Please specifically address each allegation.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

July 2, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Waste of Government Funds (OIG File No. 18-0343-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

CH2M Hill Plateau Remediation Company (CHPRC) is wasting government funds by operating pump and treatment facility KR-4 to remove chromium from the groundwater when the levels of chromium are well below drinking water standards. For at least the past year, the pre-treatment chromium levels in the water CHPRC treats at the KR-4 facility have been well below the 20 parts per billion goal. CHPRC and the Richland Operations Office continue to operate the KR-4 facility so they can claim higher volumes of water being treated. They have no incentive to shut down the KR-4 facility because CHPRC is paid based on the number of gallons of groundwater it treats in the facility regardless of the chromium content.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] [@hq.doe.gov](mailto:[redacted]@hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

June 15, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Chromium Contamination; San Ildefonso Pueblo/LANL (OIG File No. 18-0344-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

A hexavalent chromium plume is threatening the water aquifers near lands belonging to the farming communities of San Ildefonso Pueblo, NM, and the Rio Grande River. This contamination is due to Los Alamos National Laboratories (LANLs) use of chromium in the 1950s and 1960s as a corrosion inhibitor in cooling tower systems, the water used in this process was later flushed into Sandia Canyon. While the full extent of the plume is known and monitored, to date no plan to clean the plume has been initiated.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] [@hq.doe.gov](mailto:at_hq.doe.gov) should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

June 29, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Regulatory and Personnel Irregularities; Brookhaven National Laboratory (OIG File No. 18-0348-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Management at Brookhaven National Laboratory (BNL) has fostered an environment where numerous violations have been allowed to occur. The most egregious matters are as follows:

1. Failure involving the Occurrence Reporting and Processing System (ORPS). The failures involved matters that were reportable per ORPS; however, were not recorded. These matters concerned security lapses with lockboxes and contamination events.
  - a. Specific events concern Hotboxes 6 and 4 on 12 January 2017 and 1 March 2017 (respectively) being improperly secured.
  - b. A wristwatch belonging to a foreign auditor ( ) was found with detectable radiation upon his arrival at BNL. ( ) had flown from the Netherlands, it was assumed he was contaminated at his home company and flew to the United States. Management officials ( ) and ( ) decided this was "no issue" and the event would not be documented.
2. Violations of the Price Anderson Amendments Act (PAAA) due to additional contamination and radiation work permit violations. A specific example would have been on 26 May 2017 when a new employee's shoe tested high for radioactive contamination. The event was never reported and later attempts to perform floor walkover surveys in Building 801, prior to the arrival of summer students, were denied.

(b)(6), (b)(7)(C)

~~OFFICIAL USE ONLY~~

3. A radioactive discharge (release) from Building 931 that was never reported. Specifically, on 26 February 2018, the high efficiency particulate air (HEPA) exhaust ducts were reading at more than x100 the normally expected dose rates. (b)(6), (b)(7)(C) [redacted] and [redacted] knew about the event but did not report it.
4. An ineffective As Low As Reasonably Achievable (ALARA) program. Most events were written so they did not fall into the ALARA category and there was a lack of later physical assessments of the events.
5. A lack of seasoned and qualified personnel. Most employees had only worked at BNL, subsequently they lacked a broad skill set only obtainable from working in various disciplines and locations.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

June 27, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Mandatory Disclosure - Security Clearance Irregularities; Portsmouth  
Gaseous Diffusion Plant (OIG File No. 18-0352-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Portsmouth Mission Alliance LLC (PMA) disclosed subcontractor Veolia Nuclear Solutions - Federal Services (Veolia) inappropriately processed and managed security clearances in performance of its Safeguards and Security scope of work, which may have resulted in violation of Federal law by Veolia or its employees.

PMA added clearances were transferred from the prior contract for individuals whom in no way were employed or served in a support role to PMA; clearances were held that should have been terminated; clearances were requested for unauthorized personnel or false statements were made in support of the clearances.

Since discovering the violations, PMA is pursuing termination of Veolia's Safeguards and Security scope of work, as well as proactively pursuing weekly briefings with Department Security and the Contracting Officers and fostering better communication with the Federal manager of the DOE Portsmouth Paducah Project Office.

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~~OFFICIAL USE ONLY~~



~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Office of Environment, Health, Safety and Security

~~OFFICIAL USE ONLY~~

(b)(6), (b)(7)(C) **From:** [REDACTED]  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** OIG Hotline Complaint - NC Weatherization (18-0356-C)  
**Date:** Monday, July 02, 2018 3:17:00 PM  
**Attachments:** NC Weatherization [REDACTED].pdf

---

(b)(6), (b)(7)(C) Good afternoon, [REDACTED]

(b)(6), (b)(7)(C) The OIG Hotline recently received a complaint from [REDACTED] a homeowner in Cherryville, NC, who alleged discrimination and irregularities within Blue Ridge Community Action, Inc. (BRCA). BRCA delivers weatherization services under North Carolina's Department of Environmental Quality (DEQ), which appears to receive DOE WAP funds.

(b)(6), (b)(7)(C) In May 2017, BRCA determined [REDACTED] income met the weatherization program requirements, and placed [REDACTED] on the waiting list. In Aug 2017, an HVAC assessment determined the house needed \$3,000 in repairs (ductwork). In Sep 2017, an electrical assessment determined the house needed over \$2,100 in repairs. In Dec 2017, BRCA completed a whole house assessment/weatherization audit and estimated the cost to weatherize at over \$4,900. In May 2018, BRCA sent [REDACTED] a deferral letter for weatherization services due to extensive health and safety repairs needed (over \$6,900).

(b)(6), (b)(7)(C) [REDACTED] believes her complaints to and about BRCA and its [REDACTED] led to her application's deferral. She has made various complaints to BRCA, the BRCA [REDACTED] and to DEQ. She believes the costs for services (HVAC, electrical, and weatherization) were artificially inflated in part because BRCA used out-of-town estimators, and claims [REDACTED] made sure the estimates were inflated in order to have a reason to deny services. The inflated estimates directly led to the deferral. [REDACTED] also believes she should be able to appeal the deferral (based on the appeal process for applicants whose income makes them ineligible), and claims [REDACTED] did not include with the deferral letter a list of housing programs that may be able to assist in addressing the health and safety repairs. (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) I've attached the approval letter, deferral letter, estimates, and what appears to be a BRCA log capturing contacts with [REDACTED]

(b)(6), (b)(7)(C) Please let me or [REDACTED] know if you need any additional information.

(b)(6), (b)(7)(C) Thank you in advance,  
[REDACTED]

(b)(6), (b)(7)(C) [REDACTED]  
DOE Office of Inspector General  
(b)(6), (b)(7)(C) 202-586-[REDACTED]



Department of Energy  
Washington, DC 20585

June 29, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Conflict of Interest (OIG File No. 18-0359-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

Former Department employee [redacted] violated employee standards of conduct after she negotiated employment with Argonne National Laboratory (ANL) prior to recusing herself from participating substantially in matters involving ANL. [redacted] first applied to a position with ANL in April 2018 while working as a [redacted] of a consortium that involved ANL. In June 2018, [redacted] submitted a recusal statement to the Department's Office of General Counsel (GC) after informing GC she accepted a position with ANL.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

cc: Office of Energy Efficiency & Renewable Energy

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

July 5, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Unallowable Cost Violations and Contract Irregularities  
(OIG File No. 18-0360-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Various unallowable cost violations and contract irregularities were revealed during the Emergency Management Issues Special Interest Group (EMISIG) Conference held 21-24 May 2018 in Albuquerque, NM:

Allegation 1 (Waste of Funds): Organizers held the conference at the Marriott hotel in Albuquerque, rather than at the NTC training facility, a Sandia National Laboratory facility, or one of several training facilities on Kirtland Air Force Base. In addition, the organizers purchased four or five new flat-screen televisions just for the conference.

(b)(6), (b)(7)(C)

Allegation 2 (Unallowable Costs): Conference participants were served food on all days of the conference. One organizer, [REDACTED] "openly told folks she knew they were not allowed to serve food but she decided to do so." [REDACTED] also mentioned she and the staff were staying at the Marriott hotel despite living in Albuquerque.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Allegation 3 (Contract Irregularities): The EMISIG contract requires employees to report to the office, but the employees actually work from home 80 percent of the time. [REDACTED] is allowed to work from home and homeschools her daughter.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

July 20, 2018

MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Inappropriate Payments to Utility Provider (OIG File No. 18-0362-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The Sioux Valley Electric Cooperative (SVEC) has overcharged the Western Area Power Administration (WAPA) Upper Great Plains (UGP) region approximately \$100,000 during 2012 through 2018 by applying a "standby service" rate tariff on emergency backup services needed at the Armour, Brookings, and White substations. WAPA does not meet the requirements that would allow SVEC to charge this rate tariff, as WAPA is not a co-generator, nor has WAPA entered into a contract to be charged this rate. SVEC officials admit they do not have a rate tariff that applies to WAPA, but UGP managers continue to allow SVEC to charge the rate tariff, contending that the monthly charge (approximately \$1,000 for the three substations) may be "worth it for goodwill with SVEC."

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~~OFFICIAL USE ONLY~~



(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~

(b)(6) (b)(7)(C)

**From:** (b)(6) (b)(7)(C)  
**Sent:** Tuesday, June 26, 2018 4:32 PM  
**To:** (b)(6), (b)(7)(C)  
**Subject:** RE: Community Action Partnership of Oklahoma City and Oklahoma/Canadian Counties?

(b)(6)

The complaint we received was from (b)(6) (b)(7)(C) phone: (b)(6) (b)(7)(C) (b)(6) (b)(7)(C)  
 (b)(6) (b)(7)(C) (b)(6) (b)(7)(C)

(b)(6) (b)(7)(C) said he was being discriminated against on an energy program as he would not hire and pay for a CPA to do his tax forms. He said his income was well below the poverty line and a CPA would not be required. Community Action Partnership of Oklahoma City and Oklahoma/Canadian Counties, Inc told him that DOE required an audited return.  
 --Can you help him?

Also, three questions from the IG: Is this a standard practice to ask for an audited return? Does it have to be reviewed by a CPA? Who does the reviewer have to be?

(b)(6) (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**Sent:** Monday, June 25, 2018 2:26 PM  
**To:** (b)(6), (b)(7)(C) <(b)(6), (b)(7)(C)>@hq.doe.gov>  
**Subject:** RE: Community Action Partnership of Oklahoma City and Oklahoma/Canadian Counties?

They are a subgrantee. This is what is listed and approved in the 2018 State Plan, under client eligibility:

See CAA Implementation Manual Requirement 301, Section II A

[http://okcommerce.gov/assets/files/grants/CAA\\_Contractor\\_Implementation\\_Manual.pdf](http://okcommerce.gov/assets/files/grants/CAA_Contractor_Implementation_Manual.pdf)

Oklahoma defines eligibility for WAP services at the 200% of poverty level, as defined in the annual WPN - Poverty Income Guidelines. Oklahoma Subgrantee Recipients are required to accumulate 12 months past income documentation to determine client eligibility. If an applicant is on a waiting list for over 12 months income verification will have to be updated annually. Therefore, no dwelling unit will be weatherized without documentation that the unit is an eligible dwelling unit (notarized self-certification of "no" income allowable).

Per annual WPN 18-3 - The Oklahoma Department of Commerce Definition of Income includes language from "income or cash receipts earned or received by the applicant before taxes during applicable tax years, but not the Income Exclusions listed in 18-3 Section C. Gross Income is to be used, not Net Income."

Not sure if that helps, but since I was in there, thought I would send along.

(b)(6), (b)(7)(C)

Weatherization Assistance Program  
1000 Independence Ave, SW, MS 5W  
Washington, DC 20585

(b)(6), (b)(7)(C) @ee.doe.gov

202.280 (b)(6), (b)(7)(C)

-----Original Message-----

From: (b)(6), (b)(7)(C)

Sent: Monday, June 25, 2018 2:17 PM

To: (b)(6), (b)(7)(C) <(b)(6), (b)(7)(C)@EE.Doe.Gov>

Subject: Community Action Partnership of Oklahoma City and Oklahoma/Canadian Counties?

Good afternoon (b)(6),

Question; is the Community Action Partnership of Oklahoma City and Oklahoma/Canadian Counties, Inc a grantee? I have a complainant who stated they are making him get an "audited return" prior to considering him for an "energy program"

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Office of Inspector General  
U.S. Department of Energy  
250 Laboratory Road  
Oak Ridge, TN 37831  
865-576 (b)(6),  
(b)(6), (b)(7)(C) @hq.doe.gov

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-----Original Message-----

From: (b)(6), (b)(7)(C)

Sent: Friday, June 15, 2018 8:59 AM

To: (b)(6), (b)(7)(C) <(b)(6), (b)(7)(C)@hq.doe.gov>

Cc: (b)(6), (b)(7)(C) <(b)(6), (b)(7)(C)@Hq.Doe.Gov>

Subject: RE: Blue Ridge Community Action?

North Carolina Department of Environmental Quality is the WAP Grantee in NC and Blue Ridge Community Action is the Subgrantee.

(b)(6), (b)(7)(C)

Weatherization Assistance Program  
1000 Independence Ave, SW, MS 5W  
Washington, DC 20585



(b)(6), (b)(7)(C)@ee.doe.gov  
202.280 (b)(6), (

-----Original Message-----

From: (b)(6), (b)(7)(C)  
Sent: Friday, June 15, 2018 8:33 AM  
To: (b)(6), (b)(7)(C) <(b)(6), (b)(7)(C)@EE.Doe.Gov>  
Cc: (b)(6), (b)(7)(C) <(b)(6), (b)(7)(C)@Hq.Doe.Gov>  
Subject: Blue Ridge Community Action?

Good morning (b)(6),

We have a complaint against Blue Ridge Community Action, the weatherization program provider in NC. Per their website they receive funding from the NC Dept of Environmental and Natural Resources. Not sure, but do we fund BRCA?

(b)(6), (b)(7)(C)  
Office of Inspector General  
U.S. Department of Energy  
250 Laboratory Road  
Oak Ridge, TN 37831  
865-576 (b)(6),  
(b)(6), (b)(7)(C)@hq.doe.gov

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Department of Energy  
Washington, DC 20585

July 9, 2018

MEMORANDUM FOR THE DIRECTOR, LOAN PROGRAMS OFFICE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Nuclear Development LLC; Alleged Loan Guarantee Application  
Misstatement; Loan Programs Office (OIG File No. 18-0365-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

In 2016, Nuclear Development, LLC acquired the Bellefonte Nuclear Power Plant from the Tennessee Valley Authority and subsequently sent a loan guarantee application to the Department's Loan Programs Office (LPO). Allegedly, the loan guarantee application Nuclear submitted to LPO contains misstatements.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

July 2, 2018

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

(b)(6), (b)(7)(C)

FROM:



Hotline and Analysis Section

SUBJECT:

EXEC 2018-003931; [redacted] and [redacted] Waste and Hostile Work  
Environment; Loan Programs Office (OIG File No. 18-0369-C)

(b)(6), (b)(7)(C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the June 28, 2018, Complaint Coordination Committee meeting, this matter is being referred to the Office of Audits and Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

~~This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

Please contact me on (202) 586-[redacted] or at [redacted]@hq.oe.gov should you have questions regarding this matter.

Attachment

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

July 6, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT: [REDACTED] Alleged Timecard Fraud; NNSA; Washington, DC  
(OIG File No. 18-0370-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(E)

[REDACTED], a [REDACTED] with M & O Policy & Oversight Branch at Headquarters (Forrestal) is committing timecard fraud. [REDACTED] is on an Alternative Work Schedule (9-hour days) and his hours are 8:00am - 5:30pm with every other Friday off. It is estimated; however, [REDACTED] comes in late 95% of the time and leaves early 30%-50% of the time. This behavior has been witnessed by numerous coworkers and has been occurring for approximately a year and a half. (b)(6), (b)(7)(C)

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

July 2, 2018

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

(b)(6), (b)(7)(C)

FROM:

Hotline and Analysis Section

SUBJECT: Alleged Misuse of Position  
(OIG File No. 18-0372-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the June 28, 2018, Complaint Coordination Committee meeting, this matter is being referred to the Office of Audits and Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

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(b)(6), (b)(7)(C)

Please contact me on (202) 586- or at @hq.doe.gov should you have questions regarding this matter.

Attachment

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

July 12, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

Conflict of Interest; Richland Operations Center  
(OIG File No. 18-0383-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

A conflict of interest exists within the Richland Operations Center between

[redacted] and her [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] Specifically, [redacted] is in a position to have input on her

(b)(6), (b)(7)(C)

[redacted] work assignments and performance appraisals. Further, it was alleged Human Resources at Richland does not have position descriptions and/or policies to address the issue.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] [@hq.doe.gov](mailto:[redacted]@hq.doe.gov) should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



cc: General Counsel  
The Chief Human Capital Officer

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

July 6, 2018

MEMORANDUM FOR THE GENERAL COUNSEL

(b)(6), (b)(7)(C)

FROM:



(b)(6), (b)(7)(C)

SUBJECT: [redacted] Hiring Irregularity and Hostile Work Environment; Office of NEPA Policy and Compliance (OIG File No. 18-0384-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] Office of NEPA Policy and Compliance has created a toxic work environment, causing half [redacted] to leave within the past year. [redacted] is "bribing" two remaining employees with GS15 positions if they remain, although there is not enough work at the GS15 level to justify either position. The two employees are NEPA [redacted] and [redacted]. The positions offered to them were recently posted on USAJOBS. On 22 June 2018, vacancies were announced for two non-supervisory GS15 [redacted]. The announcement closed on 29 June 2018 and was for internal candidates only.

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

~~OFFICIAL USE ONLY~~

cc: Chief Human Capital Officer

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

July 19, 2018

MEMORANDUM FOR THE CHAIRMAN, FEDERAL ENERGY REGULATORY  
COMMISSION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Pipeline Project Irregularities; Federal Energy Regulatory  
Commission (OIG File No. 18-0387-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Landowners affected by the Atlantic Sunrise Pipeline project, part of the greater Transcontinental (Transco) natural gas pipeline, are not being treated fairly by the Federal Energy Regulatory Commission (FERC). FERC has deprived the public from commenting on a route variation by not issuing notice of the change in docket number and FERC continues to rely on data that contains omissions of factual data.

Property owners in Conestoga and Martic Townships in Pennsylvania do not get the same treatment as those in other counties. This treatment concerns FERC's consideration of alternate routes presented by property owners, as well as their financial compensation subsequent forfeiture of land (easements) due to eminent domain.

Additionally, FERC deprived the public from commenting on a later route variation to the pipeline. This was due to the docket number (the docket number recorded a proposed route variance) being initially designated as CP15-138, then changed to CP17-212. The public was not notified of the change in number, subsequently they would not have been able to comment on the variation.

Further, FERC's analysis of a proposed route contradicts information provided by the Conestoga Petitioners. The Conestoga Alternate Route (CAR) submitted by the Petitioners was shorter and affected fewer streams, acreage and landowners than the current route through Conestoga and Martic townships.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 3, 2018

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

SUBJECT: Arison; Drug Use; BPA (OIG File No. 18-0398-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] is a [redacted] with BPA in Portland, OR. It has been alleged [redacted] uses cocaine, methamphetamines and arrives at work under the influence of the aforementioned narcotics. It was also alleged she abuses alcohol and had an alcohol related traffic violation, inquiry by the OIG confirmed [redacted] had an (b)(6), (b)(7)(C) April 2017 conviction for Negligent Driving - 1st Degree in Clark County, WA.

~~This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

cc: Office of Environment, Health, Safety and Security

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

August 9, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY  
AND RENEWABLE ENERGY

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

Discrimination at the Social Development Commission  
(OIG File No. 18-0403-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follow:

The Social Development Commission (SDC) is a community action agency that provides various services to the community including weatherization assistance in Milwaukee County, WI, using Department funds. [REDACTED] an [REDACTED] at SDC, allegedly refuses to perform pre-audits or audits for people of Puerto Rican descent. He refuses to inspect their homes for weatherization services and justifies this inaction by making blanket claims their houses are not ready for inspection. For example, on July 13, 2018, Jacobs told SDC's Residential Services coordinator he did not want to go to a client with the last name [REDACTED] because they were Puerto Rican.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Note: The complainant reported these concerns to SDC's Human Resources division, which stated the matter will be investigated further.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 14, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



(b)(6), (b)(7)(C)

SUBJECT: [redacted] Theft, Procurement Irregularities, and Misuse of Position;  
Oak Ridge National Laboratory (OIG File No. 18-0407-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[redacted] a contract employee at Oak Ridge National Laboratory (ORNL), TN, has been stealing items from ORNL for at least 10 years. Items stolen consist of tools, jackets and batteries. He uses these items to support his [redacted] business. [redacted]

(b)(6), (b)(7)

(b)(6), (b)(7)(C)

[redacted] and has also given items to his son ([redacted])

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] also an ORNL employee) and a nephew. It is believed [redacted] works in Nonreactor Nuclear Facilities and lives at [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 14, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Mismanagement of the Security Clearance Process within the  
Graduate Fellowship Program (OIG File No. 18-0408-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The National Nuclear Security Administration Graduate Fellowship Program (NGFP) is administered by the Pacific Northwest National Laboratory (PNNL) and offers graduate-level students a year-long assignment in nonproliferation, stockpile stewardship and infrastructure/oversight. NGFP requires students to obtain a security clearance.

1. According to the complainant, DOE O 470.4B states an interim clearance is only to be requested in rare situations and is an exception. All such requests must be provided to the clearance processing office and must include a detailed justification which explains why: (1) a serious delay of, or interference in, an operation or project essential to a Department program will occur unless the individual is granted access to classified information or special nuclear material (SNM) before completion of the normal security clearance process and (2) the services of a qualified person who is currently cleared to access the necessary classified information or SNM cannot be obtained. Allegedly:
  - a. PNNL Program Managers and Site Office Managers are inappropriately submitting interim clearance requests for all

~~OFFICIAL USE ONLY~~

NGFP students to avoid background investigation backlogs with the Office of Personnel Management.

(b)(6), (b)(7)(C)

b. PNNL management and [REDACTED]

(b)(6), (b)(7)(C)

[REDACTED] are inappropriately justifying interim clearance requests for NGFP students by stating the student's knowledge is essential to a Departmental program.

2. The Department is wasting funds as it is not necessary for NGFP students to maintain a clearance.
3. The Department is wasting funds (approximately \$6,000 per investigation) by requesting interim clearances that are completed a few months before a student completes their assignment or after the student completes their assignment.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 3, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Mismanagement of Funds and Ethics Violations. Richland Operations Office. (OIG File No. 18-0418-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[redacted] at the Richland Operations Office, has allegedly been mismanaging funds and committing ethics violations. For the

(b)(6), (b)(7)(C)

past two years, [redacted] has been modifying his official travel to incorporate a

(b)(6), (b)(7)(C)

stopover in [redacted]. He creates an authorization with unrestricted airfare, then changes to restricted fare to incorporate the stopover

(b)(6), (b)(7)(C)

once the authorization signed. [redacted] later submits a voucher, saying it is cheaper

(b)(6), (b)(7)(C)

to do it this way. [redacted] subordinates are aware of this activity and have

discussed the matter with him. Additionally, [redacted] worked with an "EO Officer"

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

to hire [redacted] as an intern.

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 21, 2018

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT: [redacted] and [redacted] Hiring Irregularity; BPA  
(OIG File No. 18-0426-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C)

[redacted] a former BPA employee and [redacted] (b)(6), (b)(7)(C)  
preselected [redacted] for a [redacted] position in April 2018. There were five (C)  
applicants for the position, to include [redacted] [redacted] had only been a [redacted] had (b)(6), (b)(7)(C)  
limited experience in the field and none as a [redacted] however, was selected over other (b)(6), (b)(7)(C)  
applicants with greater experience both in the field as a [redacted] It was alleged [redacted] (b)(6), (b)(7)(C)  
selected [redacted] for this position so he could later compete for a GS13 position that has  
yet to be announced.

(b)(6), (b)(7)(C)  
(C)

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 31, 2018

MEMORANDUM FOR THE DEPARTMENT OF DEFENSE OFFICE OF INSPECTOR  
GENERAL HOTLINE

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

Project 19; Misuse/Waste of Funds; Sandia National Laboratories  
(OIG File No. 18-0429-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate.

The details of the complaint are as follows:

According to the complainant, Sandia National Laboratory (SNL) violated Title 10 of the United States Code by providing "bridge funding" to the Department of Defense (DoD) to initiate a security upgrade project entitled "P-19." Allegedly, SNL inappropriately transferred approximately \$17 million to DoD prior to the project being funded with the expectation DoD would subsequently fund the project.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] [@hq.doe.gov](mailto:ohq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 31, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Project 19; Misuse/Waste of Funds; Sandia National Laboratories  
(OIG File No. 18-0429-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

According to the complainant, Sandia National Laboratory (SNL) violated Title 10 of the United States Code by providing "bridge funding" to the Department of Defense (DoD) to initiate a security upgrade project entitled "P-19." Allegedly, SNL inappropriately transferred approximately \$17 million to DoD prior to the project being funded with the expectation DoD would subsequently fund the project.


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(b)(6), (b)(7)(C)

Please contact Hotline Director  at (202) 586- or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

 should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 29, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C) FROM: [REDACTED]  
(b)(6), (b)(7)(C) [REDACTED]  
(b)(6), (b)(7)(E) SUBJECT: [REDACTED] and [REDACTED] Time Card Fraud and Malfeasance; Y-12  
National Security Complex (OIG File No. 18-0445-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) [REDACTED], a [REDACTED] in the Enriched Uranium Operation (EUO) Accountable  
(b)(6), (b)(7)(C) Group, and [REDACTED] [REDACTED], have  
committed time card fraud. Both work at the Y-12 National Security Complex.

(b)(6), (b)(7)(C) [REDACTED] takes time off work despite having used up all of her paid time off and sick  
(b)(6), (b)(7)(C) leave. [REDACTED] is aware of this; however, still approves her requests and knows she has not  
made up her lost time in the allotted time frame. This has been going on for at last three  
years and is known to other supervisors in the EUO Accountable Group, these supervisors  
(b)(6), (b)(7)(C) are [REDACTED] [REDACTED] and [REDACTED] (b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C) Please contact [REDACTED] at (202) 586-[REDACTED] or  
(b)(6), (b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter. (b)(6), (b)(7)(C)

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**Department of Energy**  
Washington, DC 20585

August 24, 2018

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:** Inappropriate Social Media Comments by Y-12 Contract Employee  
(OIG File No. 18-0448-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (DOE) Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

It is alleged [redacted] a contract employee at the Y-12 Nuclear Security Complex, made inappropriate comments on Facebook concerning Hillary Clinton, Russia, uranium, and DOE counterintelligence (see attachment).

This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

Attachment

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Department of Energy  
Washington, DC 20585

August 31, 2018

MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Vanderbilt University; Violation of the False Claims Act (OIG File No. 18-0449-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Vanderbilt University is committing violations of the False Claims Act through the use of antiquated computer systems, flawed accounting processes and improper cost allocation of grant funds. Specific allegations are as follows:

- a) Presenting False Statements - Errors in the accounting process due to disintegrated, thirty year old computer systems that led to improper payment by federal awarding agencies.
- b) Causing False Statements - Vanderbilt University unwillingness to update computer systems causing false claims despite having a multi-billion dollar endowment available as purchase capital (Core Finance and Human Resources systems were replaced by Oracle software in 2018; however, individual, department-level systems, considered "transactional" systems, remain unfixed).
- c) Conspiring to Cause False Statements - Supporting evidence of conspiracy with third-parties including Vanderbilt University Medical Center, who had recently settled \$6.5 million for false claims, using jobs, most likely funded by federal grants, as bribe to an individual bringing attention to false claims.

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d) Causing less moneys than contracted to be delivered to awardees - Improper cost allocation causing awardees to be charged expenditures that they were not actually responsible for.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 23, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Value Added Solutions, Inc.; Small Business Misrepresentation;  
Office of Environmental Management (OIG File No. 18-0450-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

According to an anonymous complainant, Value Added Solutions, Inc. (VAS), a technical staff augmentation contractor for the Department and its prime contractors, is misrepresenting itself as a small business thus creating a competitive advantage over other contractors. Currently, VAS is subcontracted by Mission Support Alliance to perform work at the Hanford Site.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

September 5, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY  
AND RENEWABLE ENERGY

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

Misallocation of Labor Hours at Los Angeles Cleantech Incubator  
(OIG File No. 18-0452-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Los Angeles Cleantech Incubator (LACI), an Office of Energy Efficiency and Renewable Energy (EERE) grant recipient, misallocates labor hours to the grants it receives from various entities. Specifically, on at least two occasions LACI's [redacted] (b)(6), (b)(7)(C) [redacted] have asked employees to restate prior months' timesheets in order to maximize billings to the grants. Multiple employees have reported this practice to LACI's [redacted] (b)(6), (b)(7)(C) [redacted] and [redacted] (b)(6), (b)(7)(C) [redacted], who took no action to stop, correct, or report to DOE the practice.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 31, 2018

MEMORANDUM FOR THE CHAIRMAN, FEDERAL ENERGY REGULATORY  
COMMISSION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT: [redacted] and [redacted]; Abuse of Authority and Timecard Fraud; Federal  
Energy Regulatory Commission (OIG File No. 18-0454-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted], Federal Energy Regulatory Commission (FERC) [redacted] (b)(6), (b)(7)(C)  
[redacted] has abused her authority by disregarding rules, micromanaging judges, hiring unnecessary judges, and being "anti-energy industry".

The complainant alleged:

(b)(6), (b)(7)(C)

1. [redacted] "plays favorites" with her employees and disregards HR guidelines, one example given was she threatened and forced a new law clerk to resign after only a few weeks on the job. The clerk was from another FERC office and well regarded; however, was not given a chance as he was not one of her friends.
2. Is "anti-energy industry" and routinely attempts to influence other judges to her views, she does this through micromanagement and attempts to control their hearings and decisions. She routinely questions other judges' actions, she had one "favored" employee "spy" on other employees and judges and she is known to keep notes of their activities.
3. Promotes forum and "judge shopping," and stretches ethical rules by maintaining relationships with other FERC offices, most notably the Administration Litigation Division. [redacted] inserts herself into other judges decisions, despite not appearing to understand complex legal practices and "makes things up at her whim". She also personally employs an undisclosed private expert to guide her through public

(b)(6), (b)(7)(C)

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hearings, a practice wherein she had been admonished in previous enforcement case.

4. Hires judges although having no work for them, office statics will verify this.

(b)(6), (b)(7)(C)

5. Additionally, [REDACTED] [REDACTED] has committed timecard fraud.

(b)(6), (b)(7)(C)

[REDACTED] arrives at work mid-day and leaves around 5:50 or 6:00 PM. This is known to

(b)(6), (b)(7)(C)

the FERC staff and allowed. [REDACTED] has mentioned he has a medical condition, despite having never produced supporting medical documentation.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 31, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



(b)(6), (b)(7)(C)

SUBJECT: [Redacted] Managerial Irregularities; SLAC Site Office (OIG File No. 18-0465-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Lawrence Berkeley National Laboratory (LBNL) [Redacted] is creating a hostile work environment by yelling at employees and misusing his position to compromise site policies and procedures. (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[Redacted] has been described as being "heavy handed, intimidating and will overstep" on others to achieve his goals. No one challenges [Redacted] demeanor due to his position. One employee was alleged to have quit after being repeatedly yelled at. (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Additionally, [Redacted] abuses his position for personal gratification. In one instance, he insisted the speed limit on LBNL be changed because it took him too long to get across the site, he later questioned the garbage collection time (4:00AM) because it wakes him up at night.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Further, [Redacted] has compromised security at LBNL by sacrificing security in favor of convenience, [Redacted] a "Site Security Plan" wherein instead of actually checking identification of persons entering the facility, instead the observance of parking "hang tags" would suffice, this was done in the interest of negating traffic versus site security and accountability.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 21, 2018

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF ECONOMIC IMPACT AND DIVERSITY**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:** Time Card Fraud (OIG File No. 18-0470-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[Redacted] a [Redacted] within the Office of Minority Education & Community Development was scheduled to attend offsite training provided by the Equal Employment Opportunity Commission (EEOC) on August 16-17, 2018.

According to the complainant:

(b)(6), (b)(7)(C)

- [Redacted] was not observed at the training location either day by an employee whom attended the same training,

<---I redacted, please let me know if you disagree

(b)(6), (b)(7)(C)

- [Redacted] name was not identified on the training sign-in sheet provided by EEOC for either day,

- When questioned about attending the training, [Redacted] stated EEOC did not provide certificates of completion for the training; however, an employee whom attended the same training on August 16-17, 2018 received a certificate from EEOC, and

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

- [Redacted] submitted 8 hours of regular work for August 16-17, 2018, thus she was not scheduled for leave (annual/sick).

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Additionally, an anonymous complainant alleged [REDACTED] leaves the office during duty hours to (b)(6), (b)(7)(C) work as a driver with Uber.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 24, 2018

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF MANAGEMENT**

(b)(6), (b)(7)(C)

**FROM:**

**SUBJECT:** Abuse and Conflict of Interest; Forrestal Building  
(OIG File No. 18-0476-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[redacted] a contract employee working in the Forrestal Building's cafeteria, is committing abuse by placing a "tip" jar adjacent to the grill. The complainant alleges this action represents a conflict of interest, as [redacted] will "give you a bigger sandwich and extra meat or whatever you want if you give him a tip", which is essentially stealing.

(b)(6), (b)(7)(C)

Note: "Tip" jars have also been observed at other Forrestal food concessionaires.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 14, 2018

**MEMORANDUM FOR THE PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR  
NUCLEAR ENERGY**

(b)(6), (b)(7)(C)

**FROM:**



(b)(6), (b)(7)(C)

**SUBJECT:** Exec 2018-005719; [redacted] False Representations; Office of Nuclear Energy (OIG File No. 18-0485-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[redacted] a DOE employee and [redacted] assigned to the office of Human Capital and Business Services (NE-21) in Germantown, MD, made false statements in her application for employment. She falsely claimed to have a Ph.D. and does not have the years' experience working in Human Resources reflected on her resume.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] [@hq.doe.gov](mailto:[redacted]@hq.doe.gov) should you have questions regarding this matter.

cc: The Chief Human Capital Officer

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Department of Energy  
Washington, DC 20585

October 3, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:




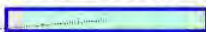
SUBJECT:

Funding and Hazardous Waste Irregularities; Los Alamos National Laboratory and Nevada National Security Site (OIG File No. 18-0492-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

In the summer of 2014, the Los Alamos National Laboratory (LANL)  (b)(6), (b)(7)(C)  construction of a roof structure over below-grade vaults associated with building TA-36-86. This work was an unauthorized project because it had not been engineered, planned, or budgeted; it also violated laboratory safety requirements because the carpenters were working over a 15-foot drop without fall protection. Work on the nearly complete project was stopped and management ordered the structure to be torn down; the project used nearly \$100,000 from the ES&H budget.

Vessels used in experiments at the Nevada National Security Site's U1a underground facility are not characterized for hazardous waste; rather, they are simply moved to an area of the tunnel and entombed in concrete. This practice is potentially non-compliant with the Resource Conservation and Recovery Act (RCRA) and may be illegal disposal of potential mixed hazardous waste; there may or may not be an agreement between the State of Nevada and the Nevada Field Office permitting the entombment. The practice is ongoing.

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may include, but are not limited to, individuals referenced in the memorandum, contractors and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 22, 2018

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:**

Various; Mismanagement and Abuse of Authority; Portsmouth  
(OIG File No. 18-0497-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Portsmouth Non-Destruction Analysis (NDA) technicians performing assays disregard data integrity, falsely justify overtime, and rush to meet management's goals. In addition, various managers abuse their power to retain employment and provide special privileges to their friends. These managers also have committed timecard fraud and security infractions without consequence. Specifics of these allegations are as follows:

1. Data integrity of the equipment and technicians. This was due to a new Systematic Approach (SA), which was implemented in the interest of conserving time and funding; however this is less reliable than using a High Purity Germanium detector. SA system components are antiquated and susceptible to both mechanical and human error. This was known to concern; however, there existed a "culture of disregard for data integrity...rooted from past practices from the current NDA Operations management team."

(b)(6), (b)(7)(C)

2. NDA [redacted] is a former "disgruntled" NDA [redacted] and (b)(6), (b)(7)(C) was involved in questionable quality control measures. The fact procedure violations continue under his current team is "no surprise."

(b)(6), (b)(7)(C)

3. Four NDA technicians were recently laid off, these four had significant cross training in multiple NDA system platforms and had security clearances. One retained, however, was a [redacted] who happened to be the

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

4. A former employee, [REDACTED], was hired as a [REDACTED] (b)(6), (b)(7)(C)  
She is a [REDACTED] for a NDA maintenance supervisor [REDACTED] and [REDACTED] (b)(6), (b)(7)(C)  
[REDACTED] This was investigated, but [REDACTED] was still awarded the position.  
Comment: The [REDACTED] are no longer contract employees.

(b)(6), (b)(7)(C)

5. [REDACTED] may have committed time card fraud, as she was logging work hours while working from her Blackberry mobile device. As a result, all NDA technicians are now required to email their arrival and departure times. [REDACTED] (b)(6), (b)(7)(C)  
[REDACTED] was not disciplined.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

6. NDA [REDACTED] was allowed to move from an NDA specialist position to an [REDACTED] position, a move denied to other NDA specialists. [REDACTED] afforded special privileges to select employees, privileges such as unlimited overtime, and other employees enjoy extra-curricular relationships with NDA supervisors.

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or [REDACTED]@hq.doe.gov should you have questions regarding this matter. (b)(6), (b)(7)(C)



Department of Energy  
Washington, DC 20585

September 22, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT: [redacted] and [redacted] Hiring Irregularities; Naval Nuclear Propulsion Program; NNSA (OIG File No. 18-0498-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint as provided to the Hotline are as follows:

(b)(6), (b)(7)(C)

[redacted] and [redacted] Department SES employees in the Naval Nuclear Propulsion Program (NNPP), and [redacted] Department of the Navy, now retired) committed hiring irregularities and possible preselection concerning the recent selection of [redacted] to be the [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] NNPP.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] is the [redacted]. [redacted] influenced the other of the two final candidates ([redacted] the [redacted]) to withdraw from competition for the position. With the assistance of [redacted] [redacted] took "actions to intimidate, harass and degrade [redacted] as a means to convince him to reconsider his plan to apply" for the position. At the time of the incidents, [redacted] was the [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] is the [redacted] and was the [redacted] whose job it was to "fairly evaluate all candidates, rate their qualifications according to the evaluation plan and recommend those highly-qualified to the reviewing and selecting officials." [redacted] was alleged to have selected AERB personnel favorable to [redacted] and not representative of "the broad spectrum of NR sections". Further, [redacted] failed to [redacted] once he was informed of their "egregious behavior with [redacted]"

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C) There were a total of six applicants for the position. AERB unanimously agreed  
(b)(6), (b)(7)(C) [redacted] and [redacted] were "highly qualified," with the remaining four "minimally  
(b)(6), (b)(7)(C) qualified". In a letter to [redacted], dated 15 Jun 2018,  
AERB stated both [redacted] and [redacted] "could effectively carry out the requirements  
of the position;" however, they could not unanimously agree on a selectee.

(b)(6), (b)(7)(E) [redacted] later selected [redacted]. It was alleged this selection reaffirmed a  
history of "favoritism" and "preselection" with regards to selection of senior officials.  
(b)(6), (b)(7)(C) Additionally, [redacted] "not providing any tangible explanation for the selection  
bolstered these perceptions."

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and individuals outside the Department of Energy. Public disclosure is determined by the  
Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C.,  
Section 552a).~~

(b)(6), (b)(7)(C) Please contact [redacted] at (202) 586-[redacted] or  
(b)(6), (b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

cc: The Chief Human Capital Officer

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Department of Energy  
Washington, DC 20585

September 24, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Environmental Concerns at the Oak Ridge Reservation  
(OIG File No. 18-0501-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Complainant alleges three incidents indicate the Oak Ridge National Laboratory (ORNL) and the East Tennessee Technology Park (ETTP) are not being remediated properly:

1. An incident at ORNL Building 2101 resulted in a leak spilling into the road. A survey of contamination near the road measured it at approximately 125,000 disintegrations per minute (dpm), but the road was not surveyed due to difficulties associated with closing the road.
2. A pipe at ETTP containing radioactive contamination was cut into and then covered with gravel and dirt rather than being cleaned up correctly. This pipe is near a road that will be released to the public.
3. A private wood-chipping company operates at the former ETTP 770 yard, where there is contamination measured at 50,000 counts per minute (cpm). The wood chips are transported to ORNL, where a steam plant burns them for energy.

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~~and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Office of the Associate Under Secretary for Environment, Health, Safety, and Security

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

September 22, 2018

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:** DeRoberts; Theft of Government Property; Bonneville Power  
Administration (OIG File No. 18-0505-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] a former BPA employee, stole additional items from BPA upon tendering his resignation in [REDACTED]. He filled his garage with BPA items including tires, Craftsman and Snap-On tools and a lathe and paid off his personal truck "on the government's dime." [REDACTED] was previously investigated by the OIG for stealing U.S. Government property and resigned in lieu of termination.

(b)(6), (b)(7)(C)

Former coworkers are concerned [REDACTED] may have "snapped" and fear he may have brought a gun to work prior to his resignation, others outside work share this fear.

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or [REDACTED]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

~~OFFICIAL USE ONLY~~



cc: Office of the Associate Undersecretary for Environment, Health, Safety and Security

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

October 3, 2018

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Misuse of Government Vehicles and Credit Card; Bonneville Power  
Administration (OIG File No. 18-0510-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

It is alleged [redacted], a Bonneville Power Administration [redacted]  
[redacted] in Portland, OR, uses government vehicles for personal use and uses a  
government credit card to purchase fuel for his personal vehicle.

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or  
[redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

October 12, 2018

Principle Deputy Inspector General  
Glenn A. Fine  
Department of Defense  
Office of Inspector General  
4800 Mark Center Drive  
Alexandria, VA 22350-1500

(b)(6), (b)(7)(C) SUBJECT: [REDACTED]; Misuse of Funds and Unauthorized Access to Sensitive Information (OIG File No. 18-0531-C)

Dear Mr. Fine:

This letter serves to advise you of a complaint received by the U.S. Department of Energy's (DOE) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to U.S. Department of Defense programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate notification should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving DOE programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) [REDACTED], an Associate Professor at the University of California, Los Angeles (UCLA) and former Department of Defense (DOD) grant recipient, allegedly used DOD funds to sponsor foreign national students from China, Iran and Spain to perform work without a security clearance. Further, [REDACTED] allows students to send (b)(6), (b)(7)(C) super computer entrance codes over Google Chat and Gmail.

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(b)(6), (b)(7)(C) Please contact [REDACTED] at (202) 586-[REDACTED] or (b)(6), (b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

October 12, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF INTELLIGENCE AND  
COUNTERINTELLIGENCE

(b)(6), (b)(7)(C)

FROM:



(b)(6), (b)(7)(C)

SUBJECT:

[Redacted] Misuse of Funds and Unauthorized Access to Sensitive  
Information (OIG File No. 18-0531-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[Redacted], an Associate Professor at the University of California, Los Angeles (UCLA) and former Department of Defense (DOD) grant recipient, allegedly used DOD funds to sponsor foreign national students from China, Iran and Spain to perform work without a security clearance. Further, [Redacted] allows students to send (b)(6), (b)(7)(C) super computer entrance codes over Google Chat and Gmail.

(b)(6), (b)(7)(C)

Currently, [Redacted] is the Primary Investigator on various Department of Energy grants associated with UCLA and the Office of Science.

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(b)(6), (b)(7)(C)

Please contact [Redacted] at (202) 586-[Redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[Redacted] @hq.doe.gov should you have questions regarding this matter.

cc: Office of Science

~~OFFICIAL USE ONLY~~



**Department of Energy**  
Washington, DC 20585

October 17, 2018

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF INTELLIGENCE AND  
COUNTERINTELLIGENCE**

(b)(6), (b)(7)(C)

**FROM:**



**SUBJECT:** Misuse of Government Issued Devices (OIG File No. 18-0534-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A complainant alleged a misuse of government issued cellular phones by a large quantity of Office of Intelligence and Counterintelligence (IN) personnel throughout the National Capital Region. Specifically, the complainant alleged that [REDACTED] allegedly advised employees to use their cell phones for both work and personal use. Furthermore, [REDACTED] purportedly informed IN employees they should cancel their personal service contracts, since the government had a contract for unlimited use and additional usage did not cause any additional costs for the government. As such, it is alleged that "a lot" of IN employees cancelled their private cellular phone accounts, to include certain senior executive service members.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

October 16, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF INTELLIGENCE AND  
COUNTERINTELLIGENCE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Misuse of Contractors for Inherently Governmental Functions  
(OIG File No. 18-0535-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

It is alleged the Office of Intelligence and Counterintelligence (IN) uses contractors at national laboratories nationwide to conduct counterintelligence investigations. There is no direct government oversight of these IN contractors, who have more loyalty to the laboratories that pay their salaries than to DOE. This practice allegedly violates federal rules including Federal Acquisition Regulation 7.503, which prohibits contractors from performing inherently governmental functions to include "the direction and control of intelligence and counter-intelligence operations."

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Chief Human Capital Officer  
Director, Office of Management

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**Department of Energy**  
Washington, DC 20585

October 12, 2018

MEMORANDUM FOR THE ASSOCIATE UNDERSECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Conflict of Interest; Statutory Reviews Program  
(OIG File No. 19-0001-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(E)

[Redacted] a [Redacted] in the Statutory Reviews Program and former employee of incumbent contractor GET-NSA, discussed non-federal employment opportunities with GET-NSA several months prior to disclosing the negotiations to the Contracting Officer's Representative and/or Department ethics officials.

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [Redacted] at (202) 586-[Redacted] or [Redacted]@hq.doe.gov should you have questions regarding this matter.\

(b)(6), (b)(7)(C)

cc: Office of the General Counsel

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Department of Energy  
Washington, DC 20585

October 12, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

Theft, Timecard Fraud, and Other Irregularities at Y-12 National Security Complex (OIG File No. 19-0002-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

It is alleged [redacted] and [redacted] Consolidated Nuclear Security [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] at the Y-12 National Security Complex (Y-12), have stolen government property from Y-12 to include tools, clothing, weed killer, trailer hitches, and a mini-

(b)(6), (b)(7)(C)

fridge. [redacted] and [redacted] also smoke inside the office. [redacted] has left work at 2:00pm

(b)(6), (b)(7)(C)

or 3:00pm while claiming to work until 5:30pm, and does not possess the "Hazmat and Tanker endorsements" required of every other driver.

(b)(6), (b)(7)(C)

[redacted] knows of these irregularities but has not stopped or corrected them.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

22 Oct 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)  
(C)

[REDACTED]

(b)(6), (b)(7)  
(C) FROM:

[REDACTED]

SUBJECT: Unauthorized Release of Confidential SBIR Information; Office of Science (OIG File No. 19-0003-C)

(b)(6), (b)(7)  
(C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[REDACTED] stated person(s) unknown released confidential information he provided to the Office of Science in 2013 in support of three proposals under Small Business Innovation Research Funding Opportunity Announcement (FOA) DE-FOA-0000969.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

At the time, [REDACTED] was employed by L-3 Communication (L-3, and had been with them for [REDACTED]; however, started his own small business [REDACTED] and submitted the proposals applications to the FOA while still employed by L-3.

(b)(6), (b)(7)  
(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] was later [REDACTED] after L-3 learned [REDACTED] had [REDACTED] and submitted the proposals applications to the FOA while still employed by L-3. According to [REDACTED] a proposal reviewer called L-3 to ask if he still worked there and must have provided L-3 with details of his submissions; these details he stated were a breach of a non-disclosure agreement within the FOA.

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

November 19, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

(b)(6), (b)(7)(C)

FROM: [REDACTED]

SUBJECT: Alleged Time Card Fraud; Bryan Mound Strategic Petroleum Reserve  
(OIG File No. 19-0011-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

Allegedly, [REDACTED] a General Engineer at the Bryan Mound Strategic Petroleum Reserve is committing time card fraud. [REDACTED] has been observed entering/departing the work site late/early, utilizing sick and/or annual leave to extend his weekend and is being dishonest about the time he's been called for duty by the National Guard.

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~



Department of Energy  
Washington, DC 20585

November 2, 2018

Federal Bureau of Investigation  
Phoenix Field Office  
21711 N. 7<sup>th</sup> Street  
Phoenix, AZ 85024

SUBJECT: Alleged Private Atomic Weapons Laboratory/Documents  
(DOE OIG File No. 19-0022-C)

To Whom It May Concern:

This letter serves to advise you of a recent complaint reviewed by the U.S. Department of Energy's Office of Inspector General Hotline. Upon our review, we determined that the facts and circumstances of the complaint pertain to the Federal Bureau of Investigation; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate being notified should your office identify fraud involving any Department of Energy programs, operations, or personnel in response to this letter.

The details of the complaint are as follow and a copy of the complainant's original letter is attached:

(b)(6), (b)(7)(C)

[REDACTED] a private citizen, alleged government impropriety in not managing unauthorized atomic weapons laboratories or documents that do not fall under the Department of Energy's National Nuclear Security Administration. [REDACTED] stated authored documents in this category over a 39-year period, and alleges the Government feels there is an advantage to allow "sabotage or espionage" of his private atomic weapons laboratory and papers that cannot be reviewed under Title 50 law.

(b)(6), (b)(7)(C)

~~This letter, including any attachments and information contained therein, is for OFFICIAL USE ONLY. Appropriate safeguards should be provided and access should be limited accordingly. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., and Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

~~OFFICIAL USE ONLY~~

(b)(6), (b)(7)(C)

Please contact

[REDACTED]

(b)(6), (b)(7)(E)

[REDACTED] at (202) 586-[REDACTED] or at [REDACTED]@hq.doe.gov should you have questions regarding this matter.

Sincerely,

(b)(6), (b)(7)(C)

[REDACTED]

Office of Inspector General

Attachment

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

October 31, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Time Card Fraud and Abuse/Waste of Funds (Payroll); Sandia  
National Laboratory; NNSA (OIG File No. 19-0026-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Employees at NNSA's Sandia Field Office, Sandia National Labs, Division 2000, Tonopah Test Range (Tonopah) are committing time card fraud and abuse of government funds.

Management allows 21 of 26 employees to work a 3-day schedule (13-14-13 hour days) which includes their driving 3.5 hours from NNSA's facility in Las Vegas to Tonopah (7 hours total, round-trip, per week). Originally, the employees were to work during the drive; however, for the past four years employees have slept and socialized - they do not conduct work activities. It was estimated this cost the U.S. Government \$500,000 per year.

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~~OFFICIAL USE ONLY~~

(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 3, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Alleged Conflict of Interest (OIG File No. 19-0040-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(E)

[REDACTED] is the [REDACTED] at the Los Alamos  
Environmental Management Field Office. Allegedly:

(b)(6), (b)(7)(C)

1. [REDACTED] is using his position as [REDACTED] to steer work to Waste Control Specialists (WCS), a radioactive waste disposal contractor. On several occasions, [REDACTED] insisted Los Alamos National Laboratory and various subcontractors utilize WCS for waste storage services.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

2. [REDACTED] is allowing WCS to overbill the Department by "rubber stamping" invoices to ensure WCS remains profitable. In or around October 2018, [REDACTED] inappropriately approved payments to WCS for the shipment and storage of five containers of waste at a higher rate (\$125,000/container instead of \$35,000).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

3. [REDACTED] relationship with individuals at WCS represents a conflict of interest as he has many close friends that work for WCS.

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is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

cc: Office of the General Counsel

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Department of Energy  
Washington, DC 20585

November 27, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Misuse of Government-Leased/Owned Motor Vehicles, NNSA/Sandia  
National Laboratories (OIG File No. 19-0042-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Allegedly, the National Nuclear Security Administration (NNSA) is incurring unnecessary expenses (approximately \$35,000 in towing, impound and repair fees) by allowing employees at the Nevada National Security Site (NNSS) to park Government-leased/owned vehicles at an unsecure Park & Ride Lot in Las Vegas, NV. Additionally, NNSA is allowing employees to use the vehicles for purposes other than official use.

As part of NNSA's response, please provide where the vehicles being utilized by NNSS are currently being parked overnight.

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

cc: Director, Office of Management

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Department of Energy  
Washington, DC 20585

December 7, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

FROM:

(b)(6), (b)(7)(C)

Special Agent-In-Charge

SUBJECT:

Carl's Services, Inc; Hiring Practices; Paducah Gaseous Diffusion Plant; (OIG File No. 19-0050-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Carl's Services Inc (Carl's), a subcontract company of Fluor Corporation at the Paducah Gaseous Diffusion Plant has engaged in questionable hiring practices. In August 2017, Carl's began layoffs of contract employees (Health Physics Technicians), in October 2017 more were laid off. A total of 13 contract employees were laid off. Although there were questions at the time concerning the rationale of who was laid off and why, more egregiously were concerns that beginning in April-June 2018 Carl's began hiring employees that were not among those previously laid off. Carl's does not appear to follow Federal Acquisition Regulation 52.222-17, in that positions were not offered to laid off employees prior to selection of those not previously employed by Carl's.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

November 13, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT: Hostile Work Environment; NA-20  
(OIG File No. 19-0052-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

NA-20 Federal employee [redacted] is perpetuating a hostile work environment. She works at the Portals building under [redacted] who is aware of her behavior; however, he tolerates it as the two of them are friends. There have been some employees leave the Department due to her conduct, such as [redacted] and others, such as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) [redacted] and [redacted] are not only witnesses but have been victims of her behavior.

(b)(6), (b)(7)(C)

Further, [redacted] has a staff of contract employees under her supervision, these employees are friends she hires and has them run personal errands for her.

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

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Department of Energy  
Washington, DC 20585

November 21, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Management Irregularities (OIG File No. 19-0053-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The hotline received an allegation that upper-level managers were not held accountable to the same severity as junior employees, and the latter were used as "scapegoats whenever the DOE gets wind of some sort of violation". The complainant stated that due to DOE auditors being led around by FNAL's ESH&Q personnel to findings committed by the lower-level workers, the systematic problems and their root causes are left unseen.

The complainant also alleges that the upper-level employees with severely overdue training receive "an excellent or outstanding rating" on their performance reviews, whereas lower-level employees do not. The complainant also said some of the managers, who were physicists by trade, were not capable of managing large groups of people. A list of training records was provided for 5 "upper-level management" employees with overdue training, and the records showed numerous incidents of late or uncompleted training. The

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(E)

(b)(6), (b)(7)(C)

individuals include [redacted] (17 overdue courses), [redacted] (16 overdue), [redacted] (18 overdue), [redacted] (21 overdue), and [redacted] (14 overdue).

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 11, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: EXEC-2018-007056; Alleged Racial Slurs; Paducah Gaseous  
Diffusion Plant; (OIG File No. 19-0056-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

According to the complainant, a Front Line Manager at the Paducah Gaseous Diffusion Plant told a mechanic "you must be n\*\*\*\*r rich" (verbiage edited) after the mechanic stated he did not need overtime. Additionally, the complainant alleges there is a lack of racial sensitivity amongst managerial staff at the Paducah site and insists an investigation be launched into similar complaints made by employees to Four Rivers Nuclear Partnership management.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted]@hq.doe.gov should you have questions regarding this matter.

cc: Office of Economic Impact and Diversity

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**Department of Energy**  
Washington, DC 20585

November 21, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT:

Security Irregularities; Security Walls; Fort Saint Vrain Generating  
Station (OIG File No. 19-0057-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

An investigation was conducted into allegations that two Security Police Officers (SPO) [redacted] and [redacted] secured 5 duty cell phones, taped them together and hid them in a drawer inside the primary alarm station. Due to these actions, the entire pro force was without their secondary means of communication for at least two a half hours, placing the entire site in a compromised state. Once the cell phones were discovered, they had been overheating and were nearing a combustible state. The incident was recorded; however, the site allegedly has no means of saving the video, and the electronic media may be mistakenly deleted. As a result of the internal investigation, findings and recommendations to terminate the SPOs were relayed to leadership, however, the impending termination was allegedly relayed to [redacted] who resigned prior to Security Walls management taking action, and are allowed to keep their clearances intact.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Specifically, the information about the investigation was provided to [redacted] [redacted] and [redacted], and the complainant is concerned that one of the individuals relayed the forthcoming termination to the SPO's. The complainant also alleged [redacted] had no plans to report to DOE that [redacted] and [redacted] resigned prior to termination, and that he disagrees that the duty cell phones are government property since Security Walls pays the bills.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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The complainant also listed several concerns of a hostile work environment, and discrimination. Specifically, they alleged the following concerns:

- (b)(6), (b)(7)(C) [redacted] has been issuing verbal “compensatory measures” regarding patrol coverage that cannot be backed up in writing,
- (b)(6), (b)(7)(E) [redacted] specifically [redacted], has ignored a report issued on the culture of the organization, and does not seek to improve any organizational culture issues.
- (b)(6), (b)(7)(C) [redacted] is allegedly attempting to realign the organization so that he can control the SPO group.

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(b)(6), (b)(7)(C) Please contact [redacted] at (202) 586-[redacted] or  
(b)(6), (b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

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**Department of Energy**  
Washington, DC 20585

November 19, 2018

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

(b)(6), (b)(7)(C)

**FROM:**

(b)(6), (b)(7)(C)

**SUBJECT:** [REDACTED] Misuse of Government Resources; Hanford  
(OIG File No. 19-0058-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] a Mission Support Alliance (MSA) contract employee and [REDACTED] (b)(6), (b)(7)(C)  
[REDACTED] uses Department time and resources to support his [REDACTED] (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] On her  
[REDACTED] Facebook page [REDACTED] (b)(6), (b)(7)(C)  
[REDACTED] is shown in his MSA uniform standing next to her. Additionally, on Friday, 21  
September, at 10:56AM, he authored a post on his Facebook page discussing her and her  
[REDACTED]

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or  
[REDACTED]@hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

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Department of Energy  
Washington, DC 20585

December 27, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Employee Misconduct/Contract Irregularities  
(OIG File No. 19-0062-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[REDACTED] is a contracted Security Officer employed by DFW Security Protective Force and currently assigned to the National Energy Technology Laboratory (NETL) in Pittsburgh, PA. In early 2015, [REDACTED] while performing rounds at NETL. According to [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

1. DFW is harassing [REDACTED] and creating a hostile work environment by creating a burdensome work schedule due to his [REDACTED]. For example, DFW often schedules [REDACTED] to work two shifts on the same day (an early morning and night shift). Additionally, DFW forces him to work 12 hour shifts when other employees only work eight hours, especially when other employees are in training.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

2. The Department has contracted DFW to perform security work in spite of DFW not having workers' compensation insurance.

3. DFW is being paid by the Department to perform specific duties by contract. However, [REDACTED] is being placed in a full-time duty position that he cannot physically perform, which puts Department employees and property at risk.

(b)(6), (b)(7)(C)

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~~and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 3, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR THE OFFICE OF  
ENVIRONMENTAL MANAGEMENT

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Management Irregularities (OIG File No. 19-0068-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

Hanford site [redacted] violated federal ethics guidelines due to his long-term, undisclosed relationship with a [redacted]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] This relationship "resulted in a significant number of nuclear safety and quality assurance findings being downgraded or blocked from being communicated to the contractor, in favor of cost and schedule priorities."

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Specifically, the complainant stated [redacted] was reassigned from the project after his relationship with the [redacted] was discovered. He stated the relationship is considered a "covered" relationship under federal ethics regulations, Section 2635.501-502. The complainant stated he does not know the specific identity of the individual, but believes she held a [redacted] position at Bechtel.

(b)(6), (b)(7)(C)

The complainant stated that issues related to the "Safety Evaluation Procedure" at the WTP project, was one such project that was being downgraded or blocked by management due to perceived schedule or cost impacts. In the case of one Safety Evaluation Procedure in late summer/early fall of 2017, the finding was that the safety evaluation process was not adequate to determine impacts of design changes on the safety basis documents for the facility, and the finding was downgraded from a priority 1 to a priority 3, which meant it did not require corrective action by the contractor. The complainant believes it is a "systematic problem".

Additionally, the complainant alleged the Direct Feed Low-Activity Waste (DFLAW)

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Documented Safety Analysis (DSA) approval was corrupted, as the federal staff and direct contractors who wrote the document were later the same staff members and supervisors

(b)(6), (b)(7)(C)

who [REDACTED] The reviewers were identified as [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED], [REDACTED], and [REDACTED]

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] and the complainant believes this represents a substantial conflict of interest. He also believed the approved version of the DSA is largely substandard and does not meet the reasonable assurance standard required by regulations due to the fact that it was rushed to completion (began in October 2017 and concluded in May 2018) to meet schedule commitments, and did not receive an adequate or objective review.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 3, 2018

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Misuse of Funds (OIG File No. 19-0071-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The complainant provided two allegations relating to the Building Technologies Office (BTO). First, that BTO Management has been moving funds appropriated to the Solid State Lighting (SSL) Program by congress into other programs. Second that BTO management has incorrectly identified a "conference" as a "peer review" in order to avoid complying with the OMB memorandum outlining policies for conferences.

**Allegation 1:** The complainant alleged congressional language provides approximately \$25M to SSL, and that approximately 20%-30% of funds appropriated to the SSL program are placed on other line item programs which are fully funded and are not in need of help. Such programs include Standards, Commercial Building Integration and Residential Building Integration. Specifically, he stated that seven research and development projects from the FY17 SSL Funding Opportunity Announcement were to receive awards, about \$8 million in July, and that when the Office of the Secretary asked about the remaining \$17M and its use the BTO office response was "fabricated and deceptive" as to the disposition of the funds. The complainant believes BTO is either not sure about the final disposition of their funding, or is purposely misleading the Secretary in order to deceive Congress.

~~OFFICIAL USE ONLY~~

The complainant provided emails he believes show the maneuvering by BTO. Specifically, one of the emails includes a table of the SSL Funding Breakout, which gives a false impression that all of the funding was managed by the SSL, but which in fact provides \$4.6M to other BTO programs.

The complainant stated that he believes approximately 10% of “reprogramming” of funds is okay to start new projects if necessary, however in this case, the funds are going to programs that are already appropriated, and 20%-30% of funds is too much.

**Allegation 2:** The complainant alleged the title for an event from April 30-May 3, 2018 was intentionally misleading in order to deceive Congress and avoid complying with OMB memorandum. The complainant stated the Memorandum was from 11 May 2012, and was provided to all DOE employees on 6 December 2012, and outlines policies and practices for conference stewardship, hosting, and attendance to all agencies. The memorandum was allegedly written to ensure federal funds are used appropriately for conference activities, and notes “Net DOE expenses of more than \$500,000 for a single conference are prohibited unless a secretarial waiver is first obtained” and that “all conference activities and approvals are to be reported through the Conference Management Database.”

The complainant stated the event, titled “The Building Technologies Office Peer Review,” was more of a rally for building energy-efficiency enthusiasts such as “non-profits, manufacturers, lobbyists, governmental energy related groups, and those receiving money of desiring to do so (national labs, research investigators, support contractors to BTO, and more).” The complainant questions whether the event was a peer review, as broad topic panels, invited speakers, and a poster session are not part of a peer review. Further, he stated that there was not much of a review of the ongoing research projects, and he doubts that any project corrective actions were taken, such as normally happens in a peer review.

The complainant estimates the four day event cost the government to be over \$1M which, if correctly titled, should have been approved by the Secretary. He also stated that the hotel where the event was held paid for lunches and no per-person fee was collected. He believes “free lunch” being provided to federal employees, government contractors, lobbyists and more, is not ethical, and questions whether employees were also receiving funds during the conference for meals and incidental expenses. He also questioned other potential costs such as travel to the event for presenters and lab personnel, labor time, audio visual, and conference planning and site execution.

We understand that with the updated Memorandum M-17-08 Amending OMB Memorandum M-12-12, the policies in M-12-12 were amended. However, M-17-08 requires that an appropriate official “approve estimated spending in excess of \$500,000 on a single conference specifically noting the expense is the most cost effective option to achieve a compelling purpose. The basis for any such approval must be documented in writing by the designated agency official.” Further

Agencies shall report on conference expenses on a dedicated place on their official website" by January 31 of the following year. As the deadline for the information has not been reached, we seek the information that should be posted such as the net conference expenses, the designated official rationale, and approval.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

December 3, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Health Concerns and possible Exposure (OIG File No. 19-0078-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

A former contract employee stated she worked in LANL TA-3-39 (SM-39), north wing ( ), and is now having health concerns to include chest infections, cysts, and a weakened immune system. The complainant stated she has been exposed to asbestos, beryllium, and radioactive hazards in her facility, in addition to issues with fluctuations in temperatures due to HVAC issues. The complainant stated that in April 2018, she attempted to get the current "IH" data for beryllium and uranium, and there was none since 2001. She stated Employee Safety and Health management stated they would perform beryllium and radiological sampling, but to her knowledge none was performed, despite confirmation that the "north wing" used to process uranium. In addition, the complainant alleged employees are not required to wear dosimeters, untrained employees are repurposing rooms, and HVAC filters are not changed routinely.

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~~OFFICIAL USE ONLY~~

(b)(6),(b)(7)(C)

Please contact

[REDACTED]

at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]

[@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

~~OFFICIAL USE ONLY~~





Department of Energy  
Washington, DC 20585

December 26, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

(b)(6), (b)(7)(C)

FROM:

SUBJECT: Compact Membrane Systems; Theft of Intellectual Property  
(OIG File No. 19-0082-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[REDACTED] allegedly stole intellectual property belonging to Novel Catalyst Technologies LLC (Novel) and provided it to Compact Membrane Systems (CMS). CMS later received awards from the Department due in part to Novel's stolen intellectual property.

(b)(6), (b)(7)(C)

Novel submitted an SBIR proposal in October 2015 (No. 0000220452) for 2016 SBIR Phase I and re-submitted same a year later (No. 0000227194). The proposals included Novel's M1 catalyst for oxidative dehydrogenation of ethane. This same catalyst was later attributed to [REDACTED] in the abstract of an award to CMS (Contract DE-SC0017086, Agency Tracking Number: 0000227505) for \$155,000. A later award to CMS for \$1M cited the same catalyst, only this time attributing it to "US-DOE".

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 26, 2018

MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Property Damages; Creswell, OR (OIG File No. 19-0097-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C)

[REDACTED] a private citizen, alleged misconduct by Bonneville Power when they damaged a tree on his property and refused his claim for damages.

(b)(6), (b)(7)(C)

Specifically, [REDACTED] stated he has a contract with Bonneville Power Administration. He stated that about three years ago, BPA started a project to rebuild one of the lines. In the process, one of the trees on his property was damaged outside of the perimeter of the road. He stated he gave the Goshen, OR office a call, and [REDACTED] was told that the tree was within 14 feet of the center of the road access, and therefore, BPA was within their rights. [REDACTED] stated he disagreed, and a team came out to discover that the tree was not within 14 feet, therefore he filed a claim with them.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

In the meantime, Nydam stated he discovered that another access road and bridge across his property was made to a BPA pole without his permission. He attempted to find information about the weight restrictions of the bridge and BPA did not provide the information. He filed a claim.

(b)(6), (b)(7)(C)

The claims were turned over to a Lawyer [REDACTED] and BPA allegedly refused to pay. [REDACTED] stated he filed a reconsideration for the tree claim and the road/bridge claim that requested a meeting with all affected individuals, to include his brother-in-law who owned a property adjacent to [REDACTED] and who was experiencing the same

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

issues. [REDACTED] stated a certified letter was sent to him by [REDACTED] in September,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

however [REDACTED] was out of town and once he returned, the letter had been returned,

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(b)(6), (b)(7)(C)

undeliverable. [REDACTED] stated he attempted to reach out to [REDACTED] but never received a return call. The affected properties are at the addresses below:

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]

Address)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]

(b)(6), (b)(7)(C)

[REDACTED] stated he wishes for BPA to reconsider his claims, and to contact him to discuss resolution.

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(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED]s@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 28, 2018

MEMORANDUM FOR THE ASSOCIATE UNDER SECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY

(b)(6), (b)(7)(C)

OFFICE OF THE GENERAL COUNSEL

FROM:



SUBJECT: Administrative Errors; Office of the General Counsel; HQ  
(OIG File No. 19-0099-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

In December 2017, the Department promulgated an amendment to 10 C.F.R. Part 851, Worker Safety and Health Program. The amendment was issued; however, without public notice and comment, as required by the Administrative Procedure Act (APA), nor was it published in the Federal Register for Notice and Comment, as required by law. Further, the amendment was not coordinated with impacted offices, as is standard practice. Failure to comply with the APA allegedly renders the amendment invalid and unenforceable, yet DOE contractors have been directed to implement the changes, at an estimated cost of over \$100 million.

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(b)(6),(b)(7)(C)

Please contact [REDACTED] at (202) 586-5520 or

(b)(6), (b)(7)(C)

[REDACTED]@[hq.doe.gov](mailto:hq.doe.gov) should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

December 13, 2018

MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND  
INSPECTIONS

(b)(6), (b)(7)(C)

FROM:



SUBJECT: Managerial Irregularities; Office of Intelligence and  
Counterintelligence; Forrestal; Washington, DC  
(OIG File No. 19-0100-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the December 13, 2018 OIG Complaint Coordination Committee, this matter is being referred to the Office of Inspections for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

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(b)(6), (b)(7)(C)

Please contact [redacted] at (202) 586-[redacted] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[redacted] should you have questions regarding this matter.

Attachment

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Department of Energy  
Washington, DC 20585

December 26, 2018

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:

(b)(6), (b)(7)(C)

SUBJECT: [REDACTED] Excessive Prohibitions on Employment; LANL/TRIAD  
(OIG File No. 19-0109-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

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(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] is a former contract employee at Los Alamos National Laboratory (LANL), employment was [REDACTED] for unsatisfactory performance. In June 2015, while at an Occupational Medicine facility, [REDACTED] approached [REDACTED] and discussed his termination. The facts surrounding their encounter are unknown to the OIG; however, the LANL [REDACTED] specifically [REDACTED] [REDACTED] cited this "interchange" in a July 2015 letter to [REDACTED] as justification to "indefinitely" prohibit [REDACTED] from entering Laboratory property, obtaining a laboratory badge or employment with any contractor requiring these credentials.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] efforts to appeal his physical prohibition to date have been unsuccessful and the means to do so are unclear; as was the determination in affect to "indefinitely" prohibit his employment. According to [REDACTED] he recently has been offered employment with a Department contractor; however, cannot accept the position due to the restrictions.

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is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).

(b)(6), (b)(7)(C)

Please contact [REDACTED] at (202) 586-[REDACTED] or

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

[REDACTED] [@hq.doe.gov](mailto:[REDACTED]@hq.doe.gov) should you have questions regarding this matter.

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**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**15-0007-I**

**June 1, 2018**

~~This report, including any attachments and information contained therein, is the property of the Office of Inspector General (OIG) and is for OFFICIAL USE ONLY. The original and any copies of the report must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior OIG written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the report, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~



**U.S. Department of Energy**  
Office of Inspector General

June 1, 2018

MEMORANDUM FOR THE MANAGER, KANSAS CITY FIELD OFFICE

(b)(6), (b)(7)(C)

FROM:



Central Field Office

SUBJECT: Theft of Government Property Investigation (OIG Case No. 15-0007-I)

This memorandum, and attached supporting documentation, serve to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG), Office of Investigations.

The OIG investigation determined between June 2010 and August 2014, Mr. Trent Christie (Christie), a former employee of Honeywell Federal Manufacturing & Technologies (Honeywell) working at the National Nuclear Security Administration's (NNSA) National Security Campus defrauded the Department by purchasing approximately \$50,480 of unnecessary supplies and equipment which he converted to his personal use and sold on the eBay website.

On December 15, 2016, Christie pleaded guilty to four counts of *Wire Fraud* in violation of Title 18, United States Code (U.S.C.) §1343 in U.S. District Court in the Western District of Missouri. Christie was sentenced on July 13, 2017 to 12 months and one day incarceration, three years of supervised release, ordered to pay a \$400 special assessment fee and \$50,480 in restitution. In addition, NNSA suspended and subsequently debarred Christie from any federal contracts or assistance agreements for a period of five years ending June 11, 2022.

(b)(6), (b)(7)(C)

This report includes five recommendations for corrective action. Please direct any questions concerning this report to me at (505) 845-

cc: Office of General Counsel  
Office of Chief Financial Officer

OIG Case No. 15-0007-I

i

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**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**15-0008-I**

**April 3, 2018**

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**U.S. Department of Energy**  
Office of Inspector General

April 3, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF ACQUISITION MANAGEMENT,  
CONTRACT AND FINANCIAL ASSISTANCE—POLICY  
DIVISION

(b)(6), (b)(7)(C)  
FROM:




Eastern Field Office


SUBJECT: Investigation of Wire Fraud (OIG Case No. 15-0008-I)

This memorandum and attached supporting documentation serve to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG). On May 31, 2016, Tony Fulmer, a former employee of the Department's management and operating contractor at the Savannah River Site, pled guilty to an indictment charging him with committing wire fraud, a violation of Title 18, United States Code, Section 1343.

We determined that beginning in 2006, Mr. Fulmer operated a Ponzi scheme for approximately eight years by recruiting individuals, to include Department contractor employees via Department e-mail, to invest money that Fulmer promised to use to purchase gold and silver. Instead of using the money as promised, Mr. Fulmer used the money to pay personal expenses and re-pay other investors in furtherance of the scheme. On March 14, 2018, Mr. Fulmer was sentenced to 13.5 months imprisonment in the custody of the Federal Bureau of Prisons, 3 years' probation, ordered to pay restitution in the amount of \$317,657, and a \$100 special assessment fee.


Based on these facts and other information that may be available to you, the OIG recommends that your office determine if suspension and debarment action is warranted against:

(b)(6), (b)(7)(C) Tony Monroe Fulmer  


DOB:   
SSN: 

(b)(6), (b)(7)(C)

In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken or plan to take.

(b)(6), (b)(7)(C) Please contact me at (865)-576- should you have questions regarding this matter.

OIG Case No. 15-0008-I

1

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Attachments:

- 1) Indictment
- 2) Plea Agreement
- 3) Sentencing

cc: Office of General Counsel  
Office of Chief Financial Officer  
Office of Deputy Chief Financial Officer





U.S. Department of Energy  
Office of Inspector General  
Office of Investigations

# Investigative Report to Management

15-0104-I

April 11, 2018

~~This report, including any attachments and information contained therein, is the property of the Office of Inspector General (OIG) and is for OFFICIAL USE ONLY. The original and any copies of the report must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior OIG written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the report, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~



U.S. Department of Energy  
Office of Inspector General  
Office of Investigations

April 11, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF ACQUISITION MANAGEMENT,  
CONTRACT AND FINANCIAL ASSISTANCE – POLICY  
DIVISION, U.S. DEPARTMENT OF ENERGY

(b)(6), (b)(7)(C) FROM:

Central Field Office

SUBJECT: Violation of the Controlled Substances Act Investigation (OIG Case No. 15-0104-I)

This memorandum and attached supporting documentation serve to inform you of the results of a joint investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG), Office of Investigations and the U.S. Department of Homeland Security.

The OIG investigation determined between approximately 2010 and 2015, Mr. Joseph T. Palermo, a former Argonne National Laboratory (ANL) contractor employee, imported bulk steroid powder from China, and subsequently manufactured the steroid powder into various anabolic steroids in a home drug laboratory. Mr. Palermo would then sell the anabolic steroids to his customers in violation of the Controlled Substance Act. Mr. Palermo engaged in this illegal activity while employed by ANL. Furthermore, Mr. Palermo brought firearms and steroids onto ANL property and utilized his ANL computer to track the status of steroid shipments.

On November 27, 2017, Mr. Palermo pleaded guilty to one count of *Possession with Intent to Distribute a Controlled Substance* in violation of Title 21, United States Code, Section 841(a)(1) in U.S. District Court for the Northern District of Illinois. On February 27, 2018, Mr. Palermo was sentenced to three years' probation, six months of home confinement, 400 hours of community service, a fine of \$1,000 and a \$100 assessment.

Based on these facts and other information that may be available to you, the OIG recommends that your office determine if suspension and/or debarment action is warranted against:

Joseph Thomas Palermo

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Month/Year of Birth:

(b)(6), (b)(7)(C)

Last 4 of SSN:

In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken

OIG Case No. 15-0104-I

1

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or plan to take.

(b)(6), (b)(7)(C) Please contact me at (505) 845- [REDACTED] or Special Agent [REDACTED] at (630) 252- [REDACTED] should you (b)(6), (b)(7)(C) have any questions regarding this matter.

Attachments:

1. Criminal Complaint
2. Plea Agreement
3. Judgment

cc: Office of General Counsel  
Office of Chief Financial Office





**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**17-0001-W**

**July 5, 2018**

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**U.S. Department of Energy**  
Office of Inspector General  
Office of Investigations

July 5, 2018

**MEMORANDUM FOR THE SECRETARY**

*April Stephenson*

**FROM:** April G. Stephenson  
Acting Inspector General

**SUBJECT:** INFORMATION: Retaliation Complaint pursuant to Title 41 United States Code Section 4712. (OIG Case No. 17-0001-W)

This report serves to inform you of an investigation conducted by the U.S. Department of Energy (DOE), Office of Inspector General (OIG), Office of Investigations. The investigation concerns allegations filed by [REDACTED] under Title 41 United States Code, Section 4712, "Enhancement of Contractor Protection from Reprisal for Disclosure of Certain Information."

[REDACTED] asserted that her reporting of possible waste, fraud, abuse, or mismanagement, and possible violations of law, rule, or regulation related to a Federal contract between the DOE and her employer, Battelle Memorial Institute (Battelle), operating at Pacific Northwest National Laboratory (PNNL), was a contributing factor in the reassignment of her employment. [REDACTED]

[REDACTED] was the [REDACTED] until her reassignment to the Environmental Molecular Sciences Laboratory Triennial Review team, effective [REDACTED]

In order for the complainant to prevail under Section 4712, she must establish by a preponderance of evidence that she made a protected disclosure that she reasonably believes is evidence of gross mismanagement, a gross waste of funds, an abuse of authority, a substantial and specific danger, or a violation of law, rule or regulation. The complainant must also demonstrate that the employer was aware of the protected disclosure and that the disclosure was a contributing factor in the personnel action which was taken. The employee may demonstrate that the disclosure was a contributing factor in the personnel action through circumstantial evidence, such as the proximity in time between the protected disclosure and the personnel action. Assuming that the complainant meets this burden, the burden of proof shifts to the employer, which must demonstrate, by clear and convincing evidence, that it would have taken the same personnel action in the absence of the protected disclosure.

[REDACTED] asserted that, in an email dated March 31, 2017, she disclosed information alleging that [REDACTED] was pressuring her and her team to [REDACTED] and was providing



(b)(6), (b)(7)(C) changes to improperly edit the wording of the [REDACTED]  
(b)(6), (b)(7)(C) [REDACTED] In response to her alleged disclosure,  
(b)(6), (b)(7)(C) [REDACTED] stated that management reassigned her.

The information gathered during the investigation suggests [REDACTED] had established by a (b)(6), (b)(7)(C)  
preponderance of the evidence that she made a protected disclosure to [REDACTED] on March 31, (b)(6), (b)(7)(C)  
2017 and that Battelle was aware of this disclosure when it reassigned her on [REDACTED]. Based (b)(6), (b)(7)(C)  
on the information gathered during the investigation, we conclude that [REDACTED] communicated to (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) her [REDACTED] her belief that an individual Battelle senior manager was attempting to intervene in  
the issues management process. The information gathered during the investigation demonstrates  
that the disclosure followed Battelle's dispute resolution procedures. In addition, circumstantial  
information gathered during the investigation, such as the close proximity in time between the  
disclosure and her reassignment, suggests her disclosure may have been a contributing factor in the  
personnel action by Battelle management.

Battelle management presented oral testimonial and documentary information in support of its  
(b)(6), (b)(7)(C) defense that it was justified in its reassignment of [REDACTED] to a different role within the Battelle  
organization with no loss of pay.

(b)(6), (b)(7)(C) [REDACTED] most recent performance appraisal, which occurred before the disclosure, indicates  
performance shortcomings, and specifically lists quotations from fellow employees identifying  
(b)(6), (b)(7)(C) weaknesses in [REDACTED] interactions with other groups. Email records support Battelle's  
(b)(6), (b)(7)(C) assertion that [REDACTED] was stressed and unhappy with her position, that she was interested in  
pursuing other opportunities, and had communicated her interest in other positions to her  
(b)(6), (b)(7)(C) [REDACTED] [REDACTED] also confirmed that, prior to any talk of reassignment, she requested some of  
(b)(6), (b)(7)(C) her duties be given to her [REDACTED]. In addition, [REDACTED] voluntarily relinquished her remaining  
(b)(6), (b)(7)(C) duties at Battelle to a [REDACTED] in favor of focusing her attention on the reassigned position. We  
(b)(6), (b)(7)(C) found that it was only after [REDACTED] was unable to receive a specific description of the duties of  
the reassigned position that she became concerned about retaliation. Battelle management asserted  
(b)(6), (b)(7)(C) that, despite the reassignment, [REDACTED] would still qualify for the same bonuses and receive the  
(b)(6), (b)(7)(C) same salary. Management also stated [REDACTED] was "capped" in her career ladder in her former  
(b)(6), (b)(7)(C) position as [REDACTED] and would not have been qualified to move higher within her  
Department. Instead, she would have had to move into a different position within a different  
Department to advance. Battelle management confirmed that the reassigned position was informal  
(b)(6), (b)(7)(C) and intended a replacement to the duties [REDACTED] desired to be transferred to her [REDACTED] and (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) stated that her [REDACTED] was continuing to work to create a more permanent position for her. In  
addition, a lack of retaliatory intent is also supported by several of Battelle's actions including (1)  
(b)(6), (b)(7)(C) the willingness to continue funding [REDACTED] salary while she conducted the reassigned position  
in addition to her remaining duties, and (2) the willingness to continue funding [REDACTED] until she (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) found another position once she relinquished the [REDACTED]  
(b)(6), (b)(7)(C) [REDACTED] duties.



Based on the available information gathered during the investigation, we find that Battelle has met its burden of establishing by clear and convincing evidence that it would have reassigned

(b)(6), (b)(7)(C) [REDACTED] even if she had not made a protected disclosure.

### **Procedural Requirements of Title 41 U.S.C. Section 4712**

(b)(6), (b)(7)(C) [REDACTED] Although we found that management met its burden of establishing that it would have reassigned [REDACTED] even if she had not made a protected disclosure, the following information is provided about the procedural requirements of Section 4712. The provisions of Section 4712 stipulate that, within 30 days after receiving this report, the Secretary shall determine whether there is sufficient basis to conclude that the contractor subjected the complainant to a prohibited reprisal and shall either issue an order denying relief or shall take one or more of the following actions: (1) order the contractor to take affirmative action to abate the reprisal; (2) order the contractor or grantee to reinstate the person to the position that the person held before the reprisal, together with compensatory damages (including back pay), employment benefits, and other terms and conditions of employment that would apply to the person in that position if the reprisal had not been taken; or (3) order the contractor pay the complainant for all costs and expenses, including attorneys' fees, that were reasonably incurred by the complainant in connection with bringing the complaint, as determined by the Secretary.

### **Additional Information Regarding Complaint**

(b)(6), (b)(7)(C) On April 24, 2018, we were informed that [REDACTED] filed a complaint regarding the same cause of action in the United States District Court for the Eastern District of Washington. At the time of (b)(6), (b)(7)(C) [REDACTED] filing, the Office of Inspector General had completed the investigation and was in the process of completing this report. Consequently, we proceeded with issuing the Investigative Report to Management.

Cc: Office of General Counsel

(b)(6), (b)(7)(C) [REDACTED]  
Battelle Memorial Institute



**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**17-0002-W**

**October 9, 2018**

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**U.S. Department of Energy**  
Office of Inspector General  
Office of Investigations

October 9, 2018

**MEMORANDUM FOR THE SECRETARY**

*April Stephenson*  
**FROM:** April G. Stephenson  
Acting Inspector General

**SUBJECT:** INFORMATION: Retaliation Complaint Pursuant To Title 41 United States Code Section 4712. (OIG Case No. 17-0002-W)

This report addresses a complaint filed by [REDACTED] (b)(6), (b)(7)(C) under Title 41 United States Code, Section 4712, "Enhancement of Contractor Protection from Reprisal for Disclosure of Certain Information." [REDACTED] (b)(6), (b)(7)(C) asserted that his reporting of possible waste, fraud, abuse, or mismanagement, and possible violations of law, rule, or regulation related to a Federal grant between the Department of Energy (DOE) Office of Science and his former employer, Z Softech Solutions, LLC (ZSS), was a contributing factor in the termination of his employment as the [REDACTED] (b)(6), (b)(7)(C) at ZSS, effective [REDACTED] (b)(6), (b)(7)(C).

In order for the complainant to prevail under Section 4712, he must establish by a preponderance of evidence that he made a protected disclosure that he reasonably believed was evidence of gross mismanagement, a gross waste of funds, an abuse of authority, a substantial and specific danger, or a violation of law, rule, or regulation. The complainant must also demonstrate that the employer was aware of the protected disclosure and that the disclosure was a contributing factor in a subsequent personnel action. The employee may demonstrate that the disclosure was a contributing factor in the personnel action through circumstantial evidence, such as the proximity in time between the protected disclosure and the personnel action. Assuming that the complainant meets this burden, the burden of proof shifts to the employer who must demonstrate, by clear and convincing evidence, that the same personnel action would have been taken absent the protected disclosure.

[REDACTED] (b)(6), (b)(7)(C) asserted that, on April 14, 2017, he reported concerns about ZSS grant fund usage and his pay to the DOE office overseeing the grant. On [REDACTED] (b)(6), (b)(7)(C) ZSS management terminated [REDACTED] (b)(6), (b)(7)(C). He claimed the [REDACTED] (b)(6), (b)(7)(C) termination was in retaliation for his April 14 protected disclosure.



The information gathered during the investigation suggests that [REDACTED] has established by a preponderance of the evidence that he made a protected disclosure to the DOE Department of Energy on April 14, 2017; however, the available evidence also indicates that ZSS was not aware of this disclosure when it terminated him on [REDACTED]. In fact, [REDACTED] stated that when he informed his [REDACTED] of his contact with DOE, he purposely misinformed her of the nature of the contact, further supporting our conclusion that ZSS management was not aware of the protected disclosure. The testimonial information gathered during the investigation suggests, despite the close proximity in time between his disclosure and the termination of his employment, [REDACTED] has not met his burden of proving that his protected disclosure was a contributing factor in his termination.

ZSS management provided oral testimony and documentary information in support of its defense that [REDACTED] termination was justified and not related to the April 14 protected disclosure.

The documentation we received indicates [REDACTED] failed to perform research for the grant. Specifically, [REDACTED] testimony stated that his work is mostly done in his head, and his claims that much of a scientist's work can be done just by thinking and while sleeping suggests a lack of tangible and documented research conducted by [REDACTED]. In addition, email documentation shows that, in one case, [REDACTED] provided research to his [REDACTED] of ZSS, which he previously conducted for his dissertation in 2009—research conducted years before the period of the employee agreement with ZSS. In another email, [REDACTED] requested [REDACTED] to provide research on a particular topic, and in his response, [REDACTED] stated that he reviewed her information but wanted to discuss a different topic. In each case, the lack of documentation provided by [REDACTED] to [REDACTED] supports [REDACTED] claim that no relevant research was conducted during [REDACTED] employment.

The documentation we received also supports concerns by [REDACTED] over the potential release of confidential information when [REDACTED] sought to engage Ph.D. students of a university external to ZSS in performing work with [REDACTED]. Though [REDACTED] initially agreed to the arrangement, [REDACTED] insistence that information should be freely shared indicated that he ignored her instructions to keep certain information confidential. [REDACTED] sharing of confidential information presented such a problem for [REDACTED] that she reached out directly to the university of the Ph.D. students to ensure confidential information would not be shared.

Based on the available evidence presented by ZSS, we find that ZSS has met its burden of proof in demonstrating by clear and convincing evidence that [REDACTED] would have been terminated regardless of his protected disclosure.

### **Procedural Requirements of Title 41 U.S.C. Section 4712**

The provisions of Section 4712 stipulate that, within 30 days after receiving this report, the Secretary shall determine whether there is sufficient basis to conclude that the contractor subjected the complainant to a prohibited reprisal and shall either issue an order denying relief or shall take one or more of the following actions: (1) order the contractor to take affirmative action

to abate the reprisal; (2) order the contractor or grantee to reinstate the person to the position that the person held before the reprisal, together with compensatory damages (including back pay), employment benefits, and other terms and conditions of employment that would apply to the person in that position if the reprisal had not been taken; or (3) order the contractor to pay the complainant for all costs and expenses, including attorneys' fees, that were reasonably incurred by the complainant in connection with bringing the complaint, as determined by the Secretary.

CC: Office of Hearings and Appeals

Office of General Counsel

(b)(6), (b)(7)(C)

Z Softech Solutions, LLC





**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**17-0061-I**

**April 20, 2018**

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**U.S. Department of Energy**  
Washington DC 20585

April 20, 2018

**MEMORANDUM FOR DIRECTOR, OFFICE OF ACQUISITION MANAGEMENT, NATIONAL  
NUCLEAR SECURITY ADMINISTRATION**

(b)(6), (b)(7)(C)

**FROM:**



Eastern Field Office

**SUBJECT:** Theft of Government Property Investigation  
(OIG Case No. 17-0061-I)

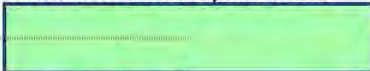
This memorandum and attached supporting documentation serve to inform you of the results of an investigation conducted by the U.S. Department of Energy (Department), Office of Inspector General (OIG) regarding a theft of approximately \$18K of tin metal from the Department's Y-12 National Security Complex (Y-12) in Oak Ridge, Tennessee. On April 20, 2017, OIG special agents conducted multiple interviews of Y-12 employees, and Matthew Cline confessed to taking the tin metal. As a result, Mr. Cline's employment was terminated on May 23, 2017.

As stated in the attached Writ of Criminal Capias; Order of Deferral; and Terms and Conditions of Diversion documents, on March 26, 2018, Mr. Cline pled guilty to violating Tennessee Code Annotated 39-14-103: Theft \$10,000 or more. He was sentenced to 4 years' probation, 50 hours of community service and a \$606 court fee.

Based on these facts and other information that may be available to you, the OIG recommends that your office determine if suspension and/or debarment action is warranted against the following individual:

**Matthew Christopher Cline**

(b)(6), (b)(7)(C)



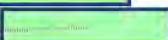
(b)(6), (b)(7)(C)

**Date of Birth:**




(b)(6), (b)(7)(C)

**Social Security Number:**



In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken or plan to take.

(b)(6), (b)(7)(C)

Please contact me at (865) 576- should you have questions regarding this matter.

OIG Case No. 17-0061-I

i

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Attachments:

- 1) Writ of Criminal Capias
- 2) Order of Deferral: Judicial Diversion (Sentencing)
- 3) Terms and Conditions of Diversion Probation

cc: Office of General Counsel  
Chief Financial Officer  
Deputy Chief Financial Officer



**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**18-0035-I**

**June 7, 2018**

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**U.S. Department of Energy**  
Office of Inspector General

June 7, 2018

**MEMORANDUM FOR THE DIRECTOR, OFFICE ACQUISITION MANAGEMENT,  
CONTRACT AND FINANCIAL ASSISTANCE – POLICY  
DIVISION**

(b)(6), (b)(7)(C)

**FROM:**



Eastern Field Office

**SUBJECT:** Convictions of Szuhsiung Ho and Ching Ning Guey  
(OIG Case No. 18-0035-I)

This memorandum and attached supporting documentation serve to inform you of the results of an investigation conducted by the Federal Bureau of Investigation (FBI) and the Tennessee Valley Authority (TVA) Office of Inspector General (OIG) of Szuhsiung Ho, a.k.a. Allen Ho; and Ching Ning Guey, regarding exports to the People's Republic of China (PRC) without special authorization from the Secretary of Energy, as required by Title 10, Code of Federal Regulations (CFR), Section 810.

As a matter of background, the Atomic Energy Act (AEA), Title 42, United States Code (USC), Section 2011 et seq., empowers the Secretary of Energy to authorize persons to directly or indirectly engage or participate in the development or production of special nuclear material outside the U.S. Pursuant to Title 42, USC, Section 2077, "[i]t shall be unlawful for any person to directly or indirectly engage or participate in the development or production of any special nuclear material outside the U.S. except (1) as specifically authorized under an agreement for cooperation made to pursuant to [the AEA], or (2) upon authorization by the Secretary of Energy after a determination that such activity will not be inimical to the interest of the U.S."

In order to implement the AEA, the Department of Energy, National Nuclear Security Administration promulgated Title 10, CFR, Section 810 – Assistance to Foreign Atomic Energy Activities. Under Title 10, CFR, Section 810.8, specific authorization from the Secretary of Energy is required before engaging directly or indirectly in the production of special nuclear material in the PRC. Section 2272 of the AEA makes it a crime to willfully violate, attempt to violate, or conspire to violate any provisions of Title 42, USC, Section 2077.

During an investigation conducted by the FBI and TVA-OIG, they determined Mr. Ho was born in the PRC and was a naturalized U.S. citizen. He was a nuclear engineer formerly employed by the China General Nuclear Power Company (CGNPC) as a Senior Advisor and the owner/president of Energy Technology International (ETI). Mr. Ho sought to profit, by his own actions and those of ETI, by assisting CGNPC in procuring U.S. based nuclear engineers to assist

OIG Case No. 18-0035-I

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CGNPC and its subsidiaries in designing and manufacturing certain components for nuclear reactors.

The FBI and TVA-OIG also determined Mr. Guey was born in Taiwan and was a naturalized U.S. citizen. He was a former Senior Manager for Probabilistic Risk Assessment at TVA. Mr Guey was introduced to Mr. Ho through the Chinese American Nuclear Technology Association (CANTA). In 2004, Mr. Ho learned through CANTA that Mr. Guey had a willingness to consult in China. Mr. Ho arranged for Mr. Guey to consult on the Daya Bay Nuclear Power Plant outage. Mr. Ho's consulting company, ETI, paid Mr. Guey for his services. Mr. Guey admitted to the FBI and TVA OIG he was frequently asked for documents that were proprietary or limited to restricted access. As a result, Mr. Guey willfully and knowingly engaged or participated in the development or production of special nuclear materials for the PRC.

On January 4, 2017, Mr. Ho pled guilty in the U.S. District Court in the Eastern District of Tennessee to violating Title 42, USC, Section 2077(b): Conspiracy to Unlawfully Engage and Participate in the Production and Development of Special Nuclear Material Outside the United States without the Intent to Injure the United States or to Secure an Advantage to a Foreign Nation. On August 31, 2017, Mr. Ho was sentenced to 24 months imprisonment then one year of supervised release, and ordered to pay a \$20,000 fine and \$100 assessment fee (Attachments 1 & 2).

On April 22, 2015, Mr. Guey pled guilty to violating Title 42, USC, Section 2077(b), as well as Section 2272(a) - Participation in the Development of Special Nuclear Material Outside of the U.S. On February 8, 2018, Mr. Guey was sentenced to three years' probation and ordered to pay a \$100 assessment fee (Attachments 3 & 4). *Please note that the document that contains Mr. Guey's sentencing details, the Judgment in a Criminal Case, contains a typographical error. The statute listed at the beginning of this paragraph is correct.*

This matter was coordinated with the FBI and TVA-OIG who support the Department of Energy's consideration of the matter for suspension and debarment due to the lack of Federal debarment authority by both agencies.

Based on these facts and other information that may be available to you, the OIG recommends that your office determine if suspension and debarment action is warranted against:

Mr. Szuhsiung Ho, a.k.a. Allen Ho  
Energy Technology International (ETI)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Date of Birth:

(b)(6), (b)(7)(C)

Last 4 of SSN:

*[Please note: all mail addressed to Mr. Ho prior to September 19, 2018 should be addressed as follows:*



*Mr. Szuhsiung Ho, REGISTER NUMBER 69967-019  
FCI Schuylkill  
Federal Correctional Institution  
P.O. Box 759  
Minersville, Pennsylvania 17954]*

(b)(6), (b)(7)(C)

Mr. Ching Ning Guey

[REDACTED]

(b)(6), (b)(7)(C)

Date of Birth:

[REDACTED]

(b)(6), (b)(7)(C)

Last 4 of SSN:

[REDACTED]

In accordance with Departmental Order 221.2A, the OIG requests a written response, within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken or plan to take.

(b)(6), (b)(7)(C)

Please contact me at (865) 576-[REDACTED] should you have any questions regarding this matter.

#### Attachments

cc: Office of General Counsel  
Chief Financial Officer  
Deputy Chief Financial Officer  
Federal Bureau of Investigation  
TVA-OIG





**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**18-0058-I**

**October 18, 2018**

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U.S. Department of Energy  
Washington, DC 20585

October 18, 2018

MEMORANDUM FOR MANAGER, NAVAL REACTORS LABORATORY FIELD OFFICE,  
IDAHO BRANCH OFFICE

(b)(6), (b)(7)(C)

FROM:

Cyber Investigation and Forensic Analysis (CIFA)

SUBJECT: Inappropriate Use of Government Computers / Networks (OIG Case No. 18-0058-I)

This report serves to inform you of the results of an investigation by the U.S. Department of Energy (DOE), Office of Inspector General (OIG), Office of Investigations (INV), regarding an allegation made by the DOE Office of Chief Information Office (OCIO) a current active-duty U.S. Navy commissioned officer and simultaneous DOE employee, [REDACTED] may have (b)(6), (b)(7)(C) engaged in the mining of cryptocurrency (or virtual currency) for personal benefit utilizing a DOE Common Operating Environment (DOECOE) desktop and network on July 31, 2018. The network incident was also reported under DOE Integrated Join Cybersecurity Coordination Center (iJC3) event number JC3-18-02897.

(b)(6), (b)(7)(C)

[REDACTED] is assigned to the government-owned/contractor-operated Naval Reactors Facility (NRF), located within the Idaho National Laboratory (INL), under the National Nuclear Security Administration (NNSA) Naval Reactors Program (NRP). The DOE-OIG-INV initially coordinated with Naval Criminal Investigative Service (NCIS) Special Agent [REDACTED] (b)(6), (b)(7)(C) following notification to CIFA ASAC [REDACTED] but she declined a NCIS investigation as it was deemed to be an administrative matter.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

The DOE-OIG-INV investigation revealed [REDACTED] was not engaged in the mining of cryptocurrency when utilizing the DOECOE desktop and network, but he was rather running a local cryptocurrency digital wallet software named "swap-qt.exe" downloaded and unzipped from the website [https://github.com/swapcoind/swapcoin/releases/download/1.0.1.1/Windows\\_x32.zip](https://github.com/swapcoind/swapcoin/releases/download/1.0.1.1/Windows_x32.zip). The unauthorized downloading and running of this software from an untrusted source could have introduced malicious code to the computer and / or network, but all the software-attempted network connections were blocked through a "deny" firewall rule. This inappropriate use of a government computer and network is not criminal in nature, but it is still contrary to the DOECOE End User Rules of Behavior and User Agreement for the NRLFO. Previous web browser queries for research regarding cryptocurrencies were also located on the DOECOE desktop and network

along with the use of an application named Discord for the same purpose. The use of Discord resulted in the local download of an image displaying a female character's breasts and genitalia, which was associated with an avatar for a Discord user account.

This report makes two recommendations for corrective action. Please contact me at (202) 586-

(b)(6), (b)(7)(C) [redacted] should you have any questions regarding this matter.

Enclosures





**U.S. Department of Energy  
Office of Inspector General  
Office of Investigations**

# **Investigative Report to Management**

**15-0082-I**

**February 9, 2018**

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## U.S. Department of Energy

Office of Inspector General  
Office of Investigations

February 9, 2018

MEMORANDUM FOR THE DIRECTOR, OFFICE OF ACQUISITION MANAGEMENT,  
U.S. DEPARTMENT OF ENERGY AND ASSOCIATE  
ADMINISTRATOR FOR ACQUISITION AND PROJECT  
MANAGEMENT, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

(b)(6), (b)(7)(C)

FROM:



Western Field Office

SUBJECT: Fraud Alert: False Government Purchase Order Scheme (OIG Case  
No. 15-0082-I)

This Investigative Report to Management serves to alert you of the results of an Office of Inspector General (OIG) investigation that identified a foreign criminal enterprise engaged in a false purchase order scheme targeting U.S. Department of Energy (Department) vendors and potential vendors. This report also recommends steps that may be taken to inform and protect Department contractors and vendors of the ongoing scam.

To date, the OIG investigation identified over \$3 million in false purchase orders with Department vendors and the OIG, FBI, and local law enforcement have recovered and returned over \$600,000 worth of merchandise to victim vendors. As part of the scheme, the criminal ring conspired to misrepresent themselves as Department contractor employees to vendors and submit false purchase orders to the vendors for products such as hard drives, computers, and toner cartridges. Both small and mid-sized businesses have been negatively impacted through the loss of valuable inventory.

(b)(6), (b)(7)(C)

This report includes one recommendation for corrective action. Please direct any questions concerning this report to [redacted] at (509) 376-[redacted] or me at (505) 845-[redacted]

(b)(6), (b)(7)(C)

Enclosures

OIG Case No. 15-0082-I

i

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Attachment

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~~OFFICIAL USE ONLY~~**Management Letter – Information Technology**

We considered both general and application controls associated with the Department's IT environment. Additionally, to support an overall Office of Inspector General review of network security, access, and other security controls, as required by the *Federal Information Security Modernization Act of 2014* (FISMA), we performed a review of FISMA policies and procedures at Department, field, and contractor levels and tested certain specified controls and procedures implemented for business-essential non-financial applications to determine whether the information security controls for those applications are in compliance with established FISMA guidance and standards. Other procedures were performed, as necessary, to accomplish evaluation objectives, including evaluation of potential mitigating controls.

General IT controls tests were performed at the following sites:

- 1) Argonne National Laboratory in Illinois;
- 2) Department Headquarters in Maryland and Washington, DC;
- 3) Idaho National Laboratory in Idaho;
- 4) Idaho Operations Office in Idaho;
- 5) Lawrence Berkeley National Laboratory in California;
- 6) Los Alamos National Laboratory in New Mexico;
- 7) National Nuclear Security Administration Albuquerque Complex in New Mexico;
- 8) Oak Ridge Office in Tennessee;
- 9) Oak Ridge National Laboratory in Tennessee;
- 10) Office of River Protection in Washington;
- 11) Pantex Plant in Texas;
- 12) Portsmouth/Paducah Project Office in Kentucky and Ohio;
- 13) Richland Operations Office in Washington;
- 14) Sandia National Laboratories in New Mexico;
- 15) Savannah River Operations Office in South Carolina;
- 16) Savannah River Nuclear Solutions in South Carolina; and
- 17) Y-12 National Security Complex in Tennessee.

Follow-up tests of prior year IT general and application controls, vulnerability, and FISMA findings were performed at the following sites:

- 1) Brookhaven National Laboratory in New York;
- 2) Chicago Field Office in Illinois;
- 3) Department Headquarters in Maryland and Washington, DC;
- 4) Fermi National Accelerator Laboratory in Illinois;
- 5) Lawrence Livermore National Laboratory in California;
- 6) National Energy Technology Laboratory in Pennsylvania and West Virginia;
- 7) Idaho National Laboratory in Idaho;
- 8) Pacific Northwest National Laboratory in Washington;
- 9) Pantex Plant in Texas; and
- 10) Sandia National Laboratories in New Mexico.

External and internal network vulnerability and penetration testing was performed at the following sites:

- 1) Lawrence Berkeley National Laboratory in California;
- 2) Los Alamos National Laboratory in New Mexico;
- 3) Oak Ridge National Laboratory in Tennessee; and
- 4) Office of the Chief Information Officer in Washington, DC.

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~~OFFICIAL USE ONLY~~**Management Letter – Information Technology**

Internal vulnerability and penetration tests or network/system configuration tests were performed at the following sites:

- 1) Argonne National Laboratory in Illinois;
- 2) Department Headquarters in Maryland and Washington, DC;
- 3) Idaho National Laboratory in Idaho;
- 4) Idaho Operations Office in Idaho;
- 5) National Nuclear Security Administration Albuquerque Complex in New Mexico;
- 6) Sandia National Laboratories in New Mexico;
- 7) Savannah River Operations Office in South Carolina;
- 8) Savannah River Nuclear Solutions in South Carolina; and
- 9) Y-12 National Security Complex in Tennessee.

Compliance tests of security controls and related FISMA policies and procedures for non-financial systems, along with FISMA policy and procedures compliance tests, were performed to support the Office of Inspector General's annual FISMA evaluation at the following sites:

- 1) Lawrence Berkeley National Laboratory in California;
- 2) Los Alamos National Laboratory in New Mexico;
- 3) Oak Ridge National Laboratory in Tennessee; and
- 4) Office of Energy Efficiency and Renewable Energy in Washington, DC.

Our audit procedures are designed primarily to enable us to form an opinion on the consolidated financial statements and closing package financial statements, and therefore may not bring to light all weaknesses in policies or procedures that may exist. We aim, however, to use our knowledge of the Department's organization gained during our work to make comments and suggestions that we hope will be useful to you.

We appreciate the courteous and professional assistance that Department personnel extended to us during our audit. We would be pleased to discuss these comments and recommendations with you at any time.

The Department's responses to the deficiencies identified in our audit are described in Exhibits A and B. The Department's responses were not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on the responses.

The purpose of this letter is solely to describe comments and recommendations intended to improve internal control or result in other operating efficiencies. Accordingly, this letter is not suitable for any other purpose.

Very truly yours,

**KPMG LLP**

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**Department of Energy**  
Washington, DC 20585

January 18, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Electrical Code Violations at the Nevada Test Site  
(OIG File No. 17-0127-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

After assisting a Special Review Team in November 2016, (b)(6), (b)(7)(C) identified approximately ten electrical code violations within the Device Assembly Facility at the Nevada Test Site. According to Mr. (b)(6), (b)(7)(C) he reported the violations to the Project Director and the Official Technical Committee but management excluded the violations from the final report.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

January 9, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Health and Safety Concerns; Dittmer Control Center  
(OIG File No. 17-0131-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A mechanical upgrade to the Heating Ventilation and Air Condition (HVAC) in the Dittmer Control Center in September 2015 has caused a residual noise ("whine") that has affected the comfort and safety of employees. While employees have reported the matter and the contractor has been apprised, the HVAC has not been repaired. Employees subject to the noise have suffered "health effects of ringing in ears." The noise is audible in the Dittmer Control Center, specifically on the second floor, southwest corner of the building and conference rooms.

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~~Section 552(a)~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

February 13, 2017

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

A handwritten signature in black ink, appearing to read "John E. Dupuy".

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Contract Irregularities (OIG File No. 17-0162-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

During a close out audit performed by LANL's Acquisition Services Management (ASM), it was discovered that former LANL contract holder SOC LLC may have charged \$40-50M in unallowable costs to include overtime, travel, meals, and an inconsistent application of rates applied and approved during the contract. LANL's Ethics and Audits (EA) is auditing the close out and as of January 18th, EA had a draft report prepared and awaiting additional documentation from ASM. EA has identified questioned costs of approximately \$400,000 to \$500,000 and documentation issues with the Time & Materials portion of the contract. Specifically, all the rates agreed upon were not added to the contract and questioned costs were identified primarily in Other Direct Costs billed.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

February 22, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement and Procurement Irregularities (OIG File No. 17-0189-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

NNSA is incorrectly categorizing equipment as either Real Property or Major Items of Equipment (MIE) causing a misappropriation of funds. Specifically, the Exascale Class Computer Cooling Equipment (Los Alamos cooling towers) were determined by Mr. (b)(6),(b)(7)(C) Real Property officer in MA, to be real property. However, the cooling towers are being treated by NNSA as a Major Item of Equipment (MIE) without funding specifically appropriated for the acquisition of Real Property cooling towers. As of February 8, 2017, the cooling towers are now classified properly however there are several issues when classifying and using the correct funds for property and equipment.

In addition, there was a Linac Coherent Light Source-II (LCLS-II) Audit (A15LV054-SLAC) performed by (b)(6),(b)(7)(C) in the past that reviewed the use of non-appropriated funding for construction work. The Audit was allegedly "killed" by senior management stating that the requirements of law were met. Had this audit been completed, the current actions noticed at Los Alamos would not have occurred.

The complainant mentioned violations of 41 U.S.C. 6303 and 18 U.S.C. 435 and stated that the department does not use funding as directed in Congressional appropriations;

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however, was unable to give specific examples beyond those cited above. Additionally, the complainant stated that Los Alamos uses the "GC Guidance on Minor Construction Thresholds" incorrectly, applying the funds to items that are not within its purview.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: The Chief Financial Officer

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**Department of Energy**  
Washington, DC 20585

February 24, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Weatherization Irregularities: Community and Economic Development  
Association of Cook County (OIG File No. 17-0190-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The OIG Hotline has received several allegations of mismanagement and shoddy work by Community and Economic Development Association of Cook County (CEDA). Since 2006, the Office of Inspector General has issued 7 referrals and conducted two investigations pertaining to alleged wrongdoing by CEDA. The subject matter of the complaints include allegations of shoddy work, improper disposal of material, and grant fraud.

Most recently, CEDA subcontractors, allegedly performed shoddy work, not in accordance with weatherization program standards. Grant recipient, (b)(6);(b)(7)(C) identified the following concerns with work performed by CEDA subcontractors:

- Failed to properly secure a newly installed refrigerator, and this eventually led to the unit tilting over and falling on the tenant
- A boiler was installed in the 220 unit, 18 story building by subcontractor, Regan Heating, and is only covered by a one year warranty

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- After replacing the light fixtures, holes were left exposed in the wall beneath the kitchen cabinets, and the contractor refused to repair them
- Recently installed air conditioners did not properly fit into the window sleeves, creating a potential safety hazard

The complainant stated that the contractors were very rude and unprofessional with the tenants. This type of treatment and quality of work left her dissatisfied with the contractors and the weatherization program.

(b)(6),(b)(7)(C) contact information is:

(b)(6),(b)(7)(C)

Please review and determine if any additional reviews should be conducted on CEDA and their processes.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

February 9, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Managerial Irregularities (OIG File No. 17-0191-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) Naval Reactors Laboratory Field Office (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) Contract Specialist are providing preferential treatment to subordinates in return for personal favors.

(b)(6), (b)(7)(C) (b)(6), (b)(7)(C) has used (b)(6), (b)(7)(C) a Human Resources Specialist, as a personal babysitter and notetaker in personal meetings, and in return gave employment promotions, high performance ratings and training opportunities in the organization's leadership program not afforded to others.

(b)(6), (b)(7)(C) (b)(6), (b)(7)(C) employed (b)(6), (b)(7)(C) as a babysitter. In return, Ms. (b)(6), (b)(7)(C) created a new position for (b)(6), (b)(7)(C) who was only an administrative assistant; however was promoted to a Contract Specialist. Allegedly individuals with college degrees were denied the position, but (b)(6), (b)(7)(C) was promoted instead.

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~~Section 552(a)~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 28, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Alleged Mismanagement; General Electric (OIG File No. 17-0201-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) a former employee of General Electric (GE) Inc. alleged mismanagement by GE in the procurement and research of a Pressurized Posimetric Feeder from Stamet, Inc. Specifically, (b)(6),(b)(7)(C) stated while employed at GE from 2012-2015, he was assigned to work on the Posimetric Pump Project, which was funded with a Department grant. According to the complainant, General Electric purchased from Stamet the Pressurized Posimetric Feeder, a technology used to inject dry pulverized coal into the reactor for coal gasification process, for approximately \$100 million.

(b)(6),(b)(7)(C) stated while evaluating this technology, he arrived at the conclusion that the project was a "very well elaborated technological scam." After more than 24 reports and abstracts prepared to GE, he determined that the feeder, as it was presented, violated the second thermodynamic law and momentum conservation equations, creating the conditions for detonation and explosion. (b)(6),(b)(7)(C) stated that Stamet took advantage that no one reviewed the theory behind the concept, and the consequences of violating the thermodynamic law. This allowed the concept, and the prototype that GE manufactured in small scale an entropy generation machine, the capability of producing the process they wanted in the short term.

(b)(6),(b)(7)(C) stated that he notified his managers, including the GE's (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) to no avail, until eventually he was separated from the company, and instructed not to disclose his findings. When contacting (b)(6),(b)(7)(C) stated that it was his intent to help GE to recover the funds (which also belong to the tax payers since they contributed to finance the purchase) from Stamet. However, he was

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unaware that GE truly did not desire to make a recovery, due to the embarrassment to admit they were not able to identify a scam, or to accept the responsibility that they misled the Department to fund a project that had no merits.

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Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.



**Department of Energy**  
Washington, DC 20585

March 1, 2017

**MEMORANDUM FOR THE ASSOCIATE UNDER SECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Restricted Access Irregularity (OIG File No. 17-0208-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

On February 15, 2017 at approximately 2:00 pm, the complainant was attending a meeting in Forrestal room 4A-107. The complainant witnessed an incident involving a nearby conference room's access doors (4A-106 and 4A-104.) Signs on door 4A-104 stated, "Historical Records Restricted Data Reviewers Course" and "Notice of Prohibited Items....cell phones, pagers, etc." Door 4A-106 only had a small piece of tape the size of a Band-Aid across the opening of the door and contained no signs or other type of prohibitive warnings. The complainant believes this conference room is used for a variety of purposes, not all of which require a notice of prohibited items.

Some individuals mistakenly thought their meeting was occurring in 4A-106, did not notice the small piece of tape across the door, and opened the door. When the door was opened, an individual inside stated, "You just broke a security seal, you were not authorized to open the door."

The complainant opined the method used to secure and signage on door 4A-106 was inadequate if there was truly a security concern.

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~~prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

(b)(6) (b)(7)(C) Please contact (b)(6) (b)(7)(C) at 202-586- or (b)(6) (b)(7)(C) @hq.doe.gov should you (b)(6) (b)(7)(C) have questions regarding this matter.

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## Department of Energy

Washington, DC 20585

March 22, 2017

### MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY ADMINISTRATION

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Grant Fund Irregularities (OIG File No. 17-0209-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Complainant alleged funds granted to New Mexico State University (NMSU) are not meeting the intent of the Department.

In 2006, the Department granted NMSU \$4,000,000 to fund the Waste-management Education and Research Consortium (WERC). Fiscal control of the earnings and expenditures was to be at the discretion of the WERC Executive Director. On 7 Jun 2016, an Amended Agreement was signed by the Executive Vice-President and Provost, which mentioned the "anticipation of a loss of federal operations funding resulting in the discontinuance of the formal WERC program," and redirected the funds to support "contaminants of concern and others as identified by the Dean of Engineering". The Dean of Engineering has also since dismissed the WERC Executive Director, laid off or reassigned the WERC staff and transferred the resources and programs of WERC to other departments.

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~~prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.



**Department of Energy**  
Washington, DC 20585

March 15, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Time Card Irregularities (OIG File No. 17-0211-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged (b)(6), (b)(7)(C) a Savannah River Site employee, has been submitting fraudulent time cards.

Specifically, the complainant alleged (b)(6), (b)(7)(C) has had late arrivals, long regular lunches, and early departures, failing to claim those absences on her time card. Additionally, they allege she spends excessive time on personal calls. The complainant alleges (b)(6), (b)(7)(C) has only worked for approximately 30 hours per week, despite claiming 40 hours on her time sheet, and that when (b)(6), (b)(7)(C) is notified that she must come in to work on time, she will adjust her behavior for a short period before returning to her normal routine.

The complainant alleges (b)(6), (b)(7)(C) performance has been deficient. They allege she refuses to participate in or do any additional work, and shows an inability to accurately make financial entries. Furthermore, the complainant stated (b)(6), (b)(7)(C) contributes to a hostile work environment by gossiping about her colleagues, and violating privacy by discussing performance ratings, bonuses, leave and personal information related to employees.

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In addition, the complainant alleges (b)(6), (b)(7)(C) has trained three new employees, and due to her frequent lapses in attendance, they aren't being trained properly and are treating fellow employees differently due to (b)(6), (b)(7)(C) influence. Moreover, the complainant believes (b)(6), (b)(7)(C) allegedly receives an unfair advantage for leadership opportunities by feeding gossip to (b)(6), (b)(7)(C) a contract employee, who then feeds the information to the CFO and/or

(b)(6), (b)(7)(C)

Finally, the complainant alleges (b)(6), (b)(7)(C) continuously threatens to file false complaints against coworkers. In exchange for not filing the complaints, (b)(6), (b)(7)(C) allegedly "looks for bribery or kickbacks" in the form of awards and quarterly step increases, though her work allegedly does not merit such awards.

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

(b)(6), (b)(7)(C)

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**Department of Energy**  
Washington, DC 20585

March 15, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Conflict of Interest and Ethics Violation (OIG File No. 17-0214-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged a Conflict of Interest and Ethics Violation at the Office of Environmental Management (EM). The complainant alleged the (b)(6), (b)(7)(C) EM Contracting Activity (HCA), (b)(6), (b)(7)(C) is married to Ms. (b)(6), (b)(7)(C) attorney assigned to the DOE Headquarters Office of the General Counsel (GC). In her capacity as a GC attorney, (b)(6), (b)(7)(C) is to provide independent legal advice and approval to DOE EM contracting personnel, which at times may fall under her husband's authority.

Specifically, the complainant alleged that due to (b)(6), (b)(7)(C) relationship, when legal opinions of (b)(6), (b)(7)(C) differ from the "sound business judgement" of the contract officer, even when there is no legal precedent or law to support her opinions, the contract officer becomes pressured to accept Mrs. (b)(6), (b)(7)(C) opinions by management and higher level leadership within EM based on the chain of command that flows from (b)(6), (b)(7)(C) through the organization. According to the complainant, the EM contract officers have sought to improve the source selection process utilized for major procurements in a way consistent with other agencies and departments within the government and consistent with

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established GAO case law. Absent such case law they have the authority to note the opinion of counsel and document their rationale for proceeding forward using sound business judgement consistent with the Federal Acquisition Regulation and delegated authority under the Contract Officers warrant. However, due to the spousal relationship, the Inherent Contract Officer and acquisition team are prevented from this latitude due to chain of command pressures. The complainant alleges the situation is perpetuated by the EMCBC (b)(6),(b)(7)(C) for the Office of Contracting, (b)(6),(b)(7)(C)

The complainant also noted the "2017 GAO High Risk List," and stated that there is an elevated fear of reprisal within the DOE EMCBC contracting organization; therefore contract officers and members of the acquisition team should be interviewed and allowed to provide input into this matter without fear of reprisal or undue influence. They allege the spousal relationship results in conflicts of interest, ethics violations, misuse of official position, and lack of impartiality in performing official duties and increases costs due to increased procurement lead times.

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(b)(6),(b)(7)(C) Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

cc: General Counsel

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**Department of Energy**  
Washington, DC 20585

March 17, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" field.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement; Savannah River Site (OIG File No. 17-0220-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged mismanagement by Centerra Group, LLC (Centerra), a contract company at the Savannah River Site (SRS), citing conflict of interest, coercion and safety concerns at the site.

Specifically, the complainant alleged a Centerra "site captain" currently participating in a Department Enterprise Assessment, is utilizing unauthorized means in preparation for an upcoming review. The complainant stated that 1) the company has required employees to go through intense training and other measures specifically designed solely for the purpose of passing the review; 2) management officials have reassigned personnel as a means of ensuring only the best personnel are evaluated during the review; and 3) Centerra has required a (b)(6), (b)(7)(C) to visit previously reviewed site locations to obtain information on the specifics items evaluated during the review.

The complainant alleges a conflict of interest exists at the site, in which company managers have created and maintained an improper personal relationship with Mr. (b)(6), (b)(7)(C) the (b)(6), (b)(7)(C) Office of Safeguards, Security and Emergency Services. The relationship has allegedly caused the Department's

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oversight to turn a “blind eye” to Centerra’s shortcomings and failed to protect the security interests at the site. Centerra has received very high bonus ratings despite serious performance failures in the past.

The complainant alleged several safety concerns at the site, such as 1) the use of gloves by protection force personnel that have the potential to contribute to accidental firearm discharges, and 2) the operation of vehicles in which exhaust fumes are detected inside the passenger cabin. The complainant stated there has been a steady effort to coerce employees not to report injuries at the site. Employees are expected to report to work even when sick or injured to prevent loss of safe hours worked. When an injury is reported at the site, Centerra attributes it as a false claim.

Finally, the complainant alleges Centerra has created a system to prevent the reporting of a security post closure. The company staffs the post the first two hours and last two hours of a twelve hours shift to avoid a mandatory report of closure, even though the post was closed for eight of the twelve hours.

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(b)(6), (b)(7)(C) Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6).(b)(7)(C) Phone No.: (202) 586- (b)(6).(b)(7)(C)  
Office of Environment, Health, Safety, Email: (b)(6).(b)(7)(C)@hq.doe.gov  
and Security (AU-1)

(b)(6).(b)(7)(C) Phone No.: (202) 586- (b)(6).(b)(7)(C)  
Office of Environment, Health, Safety, Email: (b)(6).(b)(7)(C)@hq.doe.gov  
and Security (AU-1)

DATE: 1 March 2017

OIG PREDICATION NUMBER: 17-0223-C

DOE SITE/FACILITY: Headquarters/Forrestal

OIG POINT OF CONTACT: (b)(6).(b)(7)(C) Investigative Analyst, DOE OIG Hotline,  
(b)(6).(b)(7)(C) (202) 586- (b)(6).(b)(7)(C)

IDENTITY OF COMPLAINANT: Sequoia Global Risk Management via the U.S. State  
Dept.

ISSUE/COMPLAINT DESCRIPTION: (b)(6).(b)(7)(C) Facebook account  
revealed strong anti-Trump sentiment. Recent comments included:

- “as for the current administration cabinet members: Rex Tillerson, Rick Perry, & Jeff Sessions, (just the tip of the treasonous iceberg) death is too good a punishment for the crimes you're currently committing,
- I, for one, encourage shooting these villains on sight. Starting with current and former ExxonMobil CEOs as well as anyone else who publicly denied climate change to enhance their profits, and
- they'll never face prosecution... but hopefully they face a lynch mob.”

~~This ESH Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disposition/adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need to know. As such, this notification form should not be disseminated outside DOE without the express authorization of the OIG.~~



U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

Subject Address:

(b)(6), (b)(7)(C)

Complainant contact information:

Sequoia Global Risk Management  
301-710-6338

Special Agent (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) Office: 571-345-

(b)(6), (b)(7)(C)

Cell: 571-

ES&amp;H ANTICIPATED ACTION: (For ES&amp;H use; No OIG entry required):

ES&amp;H ACTION LEAD: (For ES&amp;H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

~~This ES&H Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disposition/adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need-to-know. As such, this notification form should not be disseminated outside DOE without the express authorization of the OIG.~~



**Department of Energy**  
Washington, DC 20585

March 28, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Contract Irregularities (OIG File No. 17-0225-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Sandia National Laboratories (SNL) was/is using a contract type prohibited by FAR 16.102, cost-plus-percentage-of-cost, for some of its subcontracts. This contract type offers no incentive for the subcontractor to control costs because its profit/fee is based on a percentage of actual costs. The higher the actual costs, the higher the fee.

The complainant explained that after review of a May 2015 OIG Investigations Case Report related to a subcontract at SNL, language in the subject subcontract's pricing clause seemed similar to a cost-plus-percentage-of-cost agreement. The OIG audit team reviewed the subcontract pricing clause and other related documents in SNL's electronic purchasing system (Oracle - PO Query) with the complainant in an effort to get a better understanding of the concerns. The subcontract was for computers and peripherals and was a Just-in-Time (JIT) contract purchase agreement (CPA) utilizing a DOE/NNSA Supply Chain Management Center (SCMC) strategic sourcing agreement. The subcontract was coded as a firm fixed price agreement. The language in the pricing clause that was of concern stated that the prices would be established by applying the fixed markup factor to the Contractor's cost for the item (invoice price) less all price reductions. The OIG audit team and the complainant also reviewed a random sample of 3-4 active JIT subcontracts in SNL's electronic purchasing system. It was noted that similar language about applying a fixed

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markup percentage to a subcontractor's costs appeared in current JIT/SCMC agreements for computers and peripherals

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 17, 2017

**MEMORANDUM FOR THE CHIEF HUMAN CAPITAL OFFICER**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Prohibited Personnel Practices (17-0226-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a former employee of the Office of the Chief Financial Officer (CFO), alleged prohibited personnel practices within the program office. Specifically, Ms. (b)(6), (b)(7)(C) stated in October 2016, while assigned to the CFO program office, her rating officials failed to provide her an annual performance review in a timely manner, and this action was delayed until February 2017. According to (b)(6), (b)(7)(C) she received an overall rating of Exceeds Expectations, however while others within her division received their performance award bonuses in December 2016, she has yet to receive hers.

Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 14, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Misuse of Government Funds; SLAC National Accelerator  
(OIG File No. 17-0227-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged mismanagement and waste of Department funds by site managers at the SLAC National Accelerator Laboratory. Specifically, the complainant alleged that SLAC managers are paying approximately \$400,000 yearly in rental fees for use of the Stanford Research Computing Facility (SRCF). The purpose of the facility is to store equipment such as, servers and network equipment, however, there is no equipment currently being stored at the location.

The complaint also alleged that the Department has procured a large quantity of software licenses from the company PeopleSoft, Inc., despite the fact that the software is not currently being utilized by any laboratory personnel. The procurement was managed by the Office of the Chief Financial Officer and the Human Resource Department but failed to follow standard operating procedures. The complaint stated that the SLAC (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

are aware

of the issues.

Lastly, the complainant stated that the "CPO" procured a video monitor for personal use by stating it would be utilized in a site conference room.

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Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

March 28, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Alleged Time Card Fraud (OIG File No. 17-0230-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) is allegedly committing time card fraud and has, for the last year, been granted annual leave, sick leave, advanced leave, Family and Medical Leave Act (FMLA) leave, and Leave Without Pay (LWOP). The complainant notes that (b)(6),(b)(7)(C) has reported that she suffers from frequent (b)(6),(b)(7)(C) that are (b)(6),(b)(7)(C) uncontrollable; however, the complainant alleges (b)(6),(b)(7)(C) has also been on (b)(6),(b)(7)(C) several vacations during the leave periods, and it is unclear if she is on advanced annual leave or advanced sick leave. It is alleged that (b)(6),(b)(7)(C) does not return to (b)(6),(b)(7)(C) work after the vacation periods end due to her illness.

(b)(6),(b)(7)(C) The complainant alleges (b)(6),(b)(7)(C) was away from work for a total of 1,020 hours in 2016, a total of 135 hours of LWOP this leave year to date, plus an additional amount of over 24 hours of leave for the current pay period as of 3 March 2017. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) situation has been the subject of numerous meetings with Human Resources and General Counsel but the behavior has not changed. Additionally, (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) recently submitted an advanced leave request because of a non-refundable trip to Las Vegas scheduled in March 2017 and, though the initial request was denied, she then put in a leave request for LWOP-FMLA for the time which was approved though the complainant states that a vacation is not a condition that qualifies for FMLA. (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) also allegedly submitted a request for advanced leave in June for another non-refundable trip however the status of the request is unknown.

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(b)(6) (b)(7)(C) The complainant allegedly understands the need for employees with illnesses to require time off; however, [REDACTED] is a full time employee who is working at a part time level, and her absences put an undue burden on the other employees who have to pick up her workload. Moreover, when [REDACTED] is continuously not at work and is provided extensive generous leave, in addition to the knowledge that the employee is taking vacations while on the sick leave, an issue with employee morale begins to arise. Finally, (b)(6) (b)(7)(C) [REDACTED] has allegedly posted pictures of her vacations while on leave due to [REDACTED] however, once they were brought to management's attention they were taken down. (b)(6) (b)(7)(C)

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(b)(6) (b)(7)(C) Please contact Special Agent-in-Charge (b)(6) (b)(7)(C) at (202) 586-[REDACTED] or (b)(6) (b)(7)(C) [REDACTED]@hq.doe.gov should you have questions regarding this matter.

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## Department of Energy

Washington, DC 20585

March 20, 2017

### MEMORANDUM FOR THE COUNSEL TO THE INSPECTOR GENERAL

FROM:

(b)(6), (b)(7)(C)

National Capital Field Office  
Office of Investigations

SUBJECT:

EXEC-2017-001439; Mismanagement and Retaliation  
(OIG File No. 17-0236-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the March 16, 2017, Complaint Coordination Committee meeting, this matter is being referred to the Office of Counsel to the Inspector General for review and appropriate action. A copy of the complaint form is attached to this memorandum.

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(b)(6), (b)(7)(C) Please contact me on (202) 586- or at @hq.doe.gov should you have  
(b)(6), (b)(7)(C) questions regarding this matter.

Attachments

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**Department of Energy**  
Washington, DC 20585

March 31, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Contractor Irregularities (OIG File No. 17-0237-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant from the Y-12 National Security Complex made the following allegations regarding contracting company Consolidated Nuclear Security, LLC (CNS).

- (1) CNS is contractually required to maintain 18 firefighters; however, only 16 are currently employed.
- (2) CNS is violating Code of Federal Regulations Chapter 10§851, Worker Safety and Health Program, by providing inadequate firefighter training. Specifically it is alleged:
  - a. Firefighters are not receiving hands-on training, rather PowerPoint presentations and reading materials are being distributed,
  - b. Firefighters are not receiving training in "power fork," which is a requirement,
  - c. Firefighters are only receiving training in house fires, which is not a realistic scenario for Y-12 firefighters,
  - d. Firefighters are not given ample time to prepare for the testing of true skills due to the amount time spent performing maintenance, and
  - e. Certificates of completion are not being provided to staff to provide evidence that courses are being completed.

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- (3) CNS does not formally test the competencies of supervisors, thus promoting individuals that lack technical skills.
- (4) CNS acted unethically by updating paperwork and developing feedback just before an IG audit.
- (5) CNS paid employees to serve as instructors for in-house training in addition to compensation for their normal job duties, essentially double compensating them for the same hours.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 24, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Grant Irregularities Regarding Energy Sense Finance, LLC.  
(OIG File No. 17-0244-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Energy Sense Finance, LLC an energy valuation software development company, received two Small Business Innovation Research grants in 2014 and 2015 in the amounts of \$225,000 and \$1.5 million, respectively.

A complainant alleged Energy Sense Finance is not meeting the requirements of grants received from the Department of Energy (DOE). Specifically, the complainant alleged (b)(6), (b)(7)(C) is submitting false progress reports to DOE in order to reach milestones within the grant to ensure the company receives more grant money.

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~~determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 3, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Misuse of Government Funds (OIG File No. 17-0246-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Several Los Alamos National Laboratory (LANL) employees are involved in the theft of power tools, gloves and boots from LANL facilities. The complainant alleged Mr.

(b)(6), (b)(7)(C)

were either stealing items from area TA (Technical Area)-55 or using US Government funds to purchase the equipment for their own personal use.

(b)(6), (b)(7)(C) Additionally, (b)(6), (b)(7)(C) an alleged (b)(6), (b)(7)(C) of Rio Arriba Search and Rescue, was also using Department funds to fuel his personal vehicles.

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Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- (b)(6).(b)(7)(C) or (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 18, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Contractor Rating Irregularities at Los Alamos National Laboratory  
(OIG File No. 17-0250-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A complainant alleged that (b)(6), (b)(7)(C) altered comments and changed evaluation area ratings from Satisfactory to Very Good following a Contractor Performance Assessment Report of CTI and Associates, Inc.

According to Evaluation Rating Definitions within Federal Acquisition Regulation Subpart 42.15 –Contractor Performance Information, to justify a Very Good rating, (b)(6), (b)(7)(C) must identify a significant event and state how it was a benefit to the Government. This was not evident in the comments provided by (b)(6), (b)(7)(C).

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 3, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Safety Concerns (OIG File No. 17-0255-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Washington River Protective Solutions (WRPS) is downgrading Personal Protective Equipment (PPE) requirements at the Hanford Site's AP Tank Farm. WRPS based this decision on data collected by Industrial Hygienist Techs (IHTs) not trained to Department and WRPS standards. It is alleged IHT's are not trained individually but in groups, this violates both Department and WRPS guidelines and compromises the integrity of collected data. Lastly, the complainant alleged IHT performance evaluations do not conform with DOE Orders and WRPS procedures, particularly with regard to the completion of qualification cards.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.





**Department of Energy**  
Washington, DC 20585

April 14, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Alleged Timecard Fraud at the Fermi National Accelerator Lab (OIG File No. 17-0261-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged (b)(6), (b)(7)(C) a supervisor at the Fermi National Accelerator Laboratory, works from home two to three times per week, thus leaving his employees unsupervised. Additionally, it is alleged (b)(6), (b)(7)(C) does not account for sick leave on his time sheet and takes his children to school functions or Boy Scout camp outs while claiming he worked from home.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 14, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY  
AND RENEWABLE ENERGY**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Grant Irregularities (OIG File No. 17-0265-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The village of Iliamna, Alaska received a Department grant (DE-EE0001137) for a turbine to be built in the area, but there is no evidence of a turbine in the village and the complainant believes the money was squandered. Specifically, the village of Iliamna has a CO-OP that provides electricity to their village in addition to Newhalen and Nondalton via an intertie, and there is no visible turbine in Iliamna. The complainant is allegedly unable to glean any information from the village council (DUNS# 055035252) as it is a native-only entity.

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 12, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Weatherization Irregularities: Maine State Housing Authority  
(OIG File No. 17-0269-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a Community Action Program subcontractor, alleged the Maine State Housing Authority (MSHA) violated the Buy American clause of the American Recovery and Reinvestment Act of 2009 when it authorized the use of DC333, a water-based intumescent coating used to increase fire resistance ratings of wood and gypsum construction materials, which is made in Taiwan. It is also alleged that DC333 is an inferior product that failed to meet safety requirements.

(b)(6), (b)(7)(C) claims his adherence to the Buy American clause resulted in his being disadvantaged from competing for weatherization work funded by the Department since his competitors submitted bids that were approved by MSHA based on the utilization of the less expensive DC333, while he submitted bids based on the utilization of more expensive coatings manufactured in the United States.

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~~Under the Department of Energy Public Information Policy, this document is exempt from the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- (b)(6).(b)(7)(C) or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*TO: (b)(6),(b)(7)(C)  
(b)(6),(b)(7) Internal Control  
National Nuclear Security AdministrationPhone No.: (301) 903- (b)(6),(b)(7)(C)  
Email: (b)(6),(b)(7)(C)@nnsa.doe.gov (b)(6),(b)(7)(C)

DATE: 11 April 2017

OIG PREDICATION NUMBER: 17-0276-C

DOE SITE/FACILITY: Y-12 National Security Complex

OIG POINT OF CONTACT: (b)(6),(b)(7)(C) Investigative Analyst, DOE OIG Hotline,  
(202) 586- (b)(6),(b)(7)(C)

IDENTITY OF COMPLAINANT: Anonymous

ISSUE/COMPLAINT DESCRIPTION: The emergency exit doors at the Y-12 National Security Complex Assembly Bldg. 9204-2E are blocked by equipment which is a potential safety risk and will likely cause delays in emergency treatment if employees get injured.

ES&amp;H ANTICIPATED ACTION: (For ES&amp;H use; No OIG entry required):

ES&amp;H ACTION LEAD: (For ES&amp;H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

~~This ES&H Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disposition/adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need-to-know. As such, this notification form should not be disseminated outside DOE without the express authorization of the OIG.~~



**Department of Energy**  
Washington, DC 20585

May 3, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Safety Hazards (OIG File No. 17-0276-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The emergency exit doors in Building 9204-2E at the Y-12 National Security Complex Assembly are allegedly blocked by equipment, thus creating a potential safety risk and likely causing a delay of emergency treatment if an employee is seriously injured.

On April 11, 2017, the OIG issued an Environmental, Safety & Health Notification. Though the appropriate program office provided a preliminary update, please respond formally to the allegation and include any corrective actions taken.

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Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- (b)(6).(b)(7)(C) or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

May 1, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Management Irregularities (OIG File No. 17-0277-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Complainant alleged management has limited the scope of a pending radiation assessment of Ames National Laboratory in violation of Federal guidelines.

Complainant submitted a scope of work which allegedly met the inspection criteria as set forth in Department of Energy Guide 441.1-1C, Radiation Protection Programs Guide; the American National Standards Institute (ANSI) and Code of Federal Regulations. The initial scoping letter drew from these regulations and 2010 assessment; however, management later withdrew analyses of items including, but not limited to, Health Physics Instrumentation, Radiobioassay and Performing Multiple Dosimetry.

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~~Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.





**Department of Energy**  
Washington, DC 20585

May 1, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Time Card Irregularities (OIG File No. 17-0282-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

ProForce supervisors at the Y-12 National Security Complex brag about manipulating their own time and attendance cards and approving them internally with no oversight, resulting in annual incomes of up to \$200,000.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 3, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Contract Mismanagement (OIG File No. 17-0283-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged several Western Area Power Administration (WAPA) employees participated in suspicious activities regarding the procurement of vendors at WAPA.

Specifically, it is alleged:

(b)(6), (b)(7)(C) the following contracts which should be reviewed for violating Federal Acquisition Regulations regarding sole sourcing: DE-WA0001960, DE-WA0001981, DE-WA0002004, DE-WA0002107, DE-WA0002503, DE-WA0002784, and DE-WA0003217. Additionally, (b)(6), (b)(7)(C) a contract with Whitefish Energy Holdings (DE-WA0003452) whose contract value has doubled to approximately \$300,000 due to mismanagement and unusual conditions with construction contract modifications and extensions. Lastly, (b)(6), (b)(7)(C) a contract with Time Led Development for the installation of HVAC equipment at 11 substations, which are relatively small. The contract is for approximately \$500,000 but the complainant opined the cost should be much lower.

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(b)(6),(b)(7)(C) insists Centerline Mechanical, LLC, an industrial/commercial air conditioning and refrigeration company, is the only company capable of providing chiller/fan repair, and in July 2016 split purchases into several components on six procurements with Centerline; a red flag and potential fraud scheme.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 1, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

  
**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Time Card Irregularities (OIG File No. 17-0286-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) employee at the Strategic Petroleum Reserve, is allegedly submitting inaccurate time cards. (b)(6), (b)(7)(C) does not work full time, which could be proven by interviewing contractor personnel at the job site and comparing (b)(6), (b)(7)(C) claimed work time to his presence/absence from the site.

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

April 21, 2017

**MEMORANDUM FOR THE DEPUTY INSPECTOR GENERAL FOR AUDITS AND INSPECTIONS**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Procurement Practice Irregularities; NNSA (OIG File No. 17-0288-C)

This memorandum serves to transmit a complaint received by the U.S. Department of Energy's Office of Inspector General Hotline concerning the above captioned subject. Pursuant to the direction by the January 7, 2016 Complaint Coordination Committee, this matter is being referred to the Office of Audits for review and appropriate action. A copy of the complaint form and supporting documentation are attached to this memorandum.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachment

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**Department of Energy**  
Washington, DC 20585

May 5, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Misuse of Department Funds (OIG File No. 17-0299-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

National Technology and Engineering Solutions of Sandia (NTESS), who was recently awarded the management and operating (M&O) contract for Sandia National Laboratories (SNL), has failed to understand the complexity of SNL or its mission, wasted funds conducting the transition from the previous M&O contractor and has fraudulently misrepresented their capabilities to the Department.

NTESS' transition cost was reported at \$43,000,000 per day. Additionally, there were concerns of numerous director positions having to be reapplied for, creating unnecessary waste, disruption and a lack of continuity during the transition.

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 23, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Lack of Safeguards for Sensitive Information  
(OIG File No. 17-0300-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) ordered the Monte Carlo N-Particle Transport Code, a software package for simulating nuclear processes, from the Radiation Safety Information Computational Center (RSICC), operated by Oak Ridge National Laboratory. When the package arrived, (b)(6),(b)(7)(C) noted it had already been opened and he had no way to determine if export violations had occurred. He then refused to sign for the package and has been unable to have the product returned and replaced. (b)(6),(b)(7)(C) attempts to contact RSICC to remedy the matter have not been successful.

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Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- (b)(6).(b)(7)(C) or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 19, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Grant Fraud; Small Business Innovation Research (SBIR)  
(OIG File No. 17-0307-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

SBIR grant recipient (b)(6), (b)(7)(C) and his company "EverSealed Windows" received grant DE-FOA-0001619, and are defrauding the Department on a grant for energy efficient windows.

Specifically, the complainant alleged EverSealed has been incorrectly installing windows and claiming the windows are energy efficient. The complainant alleged (b)(6), (b)(7)(C) includes production costs that are \$10 per pane with 10 years of durability, though the complainant does not remember all of the details of the temperature differential. In addition, the complainant alleges EverSealed was soldering glass using a metal seal, a practice that does not work and will eventually cause a leak between the panels. Because of the errors in using metal to seal the windows, EverSeal has allegedly switched to a flexible gasket called a "perfect vacuum;" however, the complainant believes the perfect vacuum pressure will cause the panels to buckle and require a hermetic seal, and cause additional problems. He further alleges the soldered connection will not last years.

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~~Confidential and the Department of Energy. Public Release is Prohibited by the  
Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C.,  
Section 552a).~~

Please contact Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C)  
(b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachment(s)

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6),(b)(7)(C) Internal Control  
(b)(6),(b)(7)(C) National Nuclear Security Administration

Phone No.: (301) 903- (b)(6),(b)(7)(C)  
Email: (b)(6),(b)(7)(C)@nnsa.doe.gov (b)(6),(b)(7)(C)

DATE: 21 April 2017

OIG PREDICATION NUMBER: 17-0312-C

DOE SITE/FACILITY: Pantex Plant

OIG POINT OF CONTACT: (b)(6),(b)(7)(C) Investigative Analyst, DOE OIG Hotline,  
(202) 586- (b)(6),(b)(7)(C)

IDENTITY OF COMPLAINANT: Anonymous

ISSUE/COMPLAINT DESCRIPTION: On 21 Apr 2017, the Hotline received an anonymous complaint relating (b)(6),(b)(7)(C) a security guard at the Pantex Plant, was recently arrested\* and expressed suicidal ideations. The complainant alleged (b)(6),(b)(7)(C) was arrested on 1 Jan 2017 after assaulting (b)(6),(b)(7)(C) and the next day expressed an interest in suicide while in the possession of a firearm (b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) arrest was independently confirmed by the Hotline as having occurred on 1 Jan 2017, he was arrested by Randall County Law Enforcement, the statute was Assault Causes Bodily Injury Family Violence. No disposition was noted.

ES&H ANTICIPATED ACTION: (For ES&H use; No OIG entry required):

ES&H ACTION LEAD: (For ES&H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

~~This ES&H Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disposition/adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need-to-know. As such, this notification form should not be disseminated outside DOE without the express authorization of the OIG.~~



**Department of Energy**  
Washington, DC 20585

May 11, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Hiring Irregularities (OIG File No. 17-0331-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The emergency exit doors in Building 9204-2E at the Y-12 National Security Complex Assembly are allegedly blocked by equipment, thus creating a potential safety risk and likely causing a delay of emergency treatment if an employee is seriously injured.

On April 11, 2017, the OIG issued an Environmental, Safety & Health Notification. Though the appropriate program office provided a preliminary update, please respond formally to the allegation and include any corrective actions taken.

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~~1. This is a Confidential Matter (Title 5, U.S.C., Section 552) and is being  
kept (Title 5, U.S.C., Section 552.)~~

(b)(6),(b)(7)(C) Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 19, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Pilot Program Irregularities; Small Business Vouchers Pilot Program;  
NRGsim, Inc. (OIG File No. 17-0333-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

NRGsim, Inc, a Portland, OR, small business, under the Department's Small Business Voucher Pilot Program, partnered with the National Renewable Energy Laboratory to further research into "EnergyPlus", a Department simulation tool. Total funding by the Department was \$100,000 with a project term of 18 Aug 2016 - 18 Aug 2017.

According to the state of Oregon's Corporation Division website, NRGsim, Inc conducted an "administrative dissolution" on 5 May 2016, and should not have been eligible for funding under the program.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

May 19, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:**

Management Irregularities and Alleged Safety Hazards,  
Naval Reactors Program (OIG File No. 17-0338-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) managers with the Deputy Administrator for Naval Reactors, have forced Naval Reactors to amend their normally conservative nuclear reactor testing protocol as it concerns US Navy aircraft carriers, prioritizing schedule adherence over safety. (b)(6),(b)(7)(C) is responsible for operational aircraft carriers and (b)(6),(b)(7)(C) for carriers under test and development. Of concern were the tests and evaluations of the nuclear power plants for the USS Abraham Lincoln in 2017, the USS Gerald Ford in 2016 and USS Theodore Roosevelt in 2013.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 22, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

**Personnel Management Irregularities (OIG File No. 17-0349-C)**

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Bechtel/AECOM does not have a diversity plan in place as required by FAR 52.222-26 Equal Opportunity, and FAR 52.222-27 Affirmative Action Compliance Requirements for Construction. In addition, Bechtel/AECOM is in breach of DOE contract and nuclear safety rules requiring contractors conduct trainings for self-assessments as reflected in 10 C.F.R 830, Subpart A, NQA-1 and DOE Order 414. The complainant alleges the trainings are critical because they ensure inspections and assessments are being done properly.

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- [ ] or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 20, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** (b)(6), (b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Inappropriate Use of Indirect Funding (OIG File No. 17-0351-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The National Nuclear Security Administration may have inappropriately used, or planned to use, indirect funding to complete eight minor program-specific construction projects at Los Alamos National Laboratory and Sandia National Laboratories. Per a review of the project descriptions, the projects appear to be program-specific and not institutional in nature. See attachment for details.

Comment: Although the complaint may pertain to more than one program office, please ensure your response captures facts and circumstances as they involve your office.

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Please contact Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachment

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**Department of Energy**  
Washington, DC 20585

June 8, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement of Funds and Resources; Los Alamos National  
Laboratory; National Nuclear Security Administration  
(OIG File No. 17-0353-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Los Alamos National Laboratory (LANL) officials' paid travel expenses for a select group of "favored scientists" classified as working visitors within the LANL headcount. This was done for the sole purpose of providing a justification to maintain an active "Q" security clearance for the individuals. According to the complainant, this required the scientists to physically visit LANL, badge in onsite and sign onto a computer system.

The complainant provided the following as an example of the allegation: Officials paid for travel expenses (airfare and rental car) from Connecticut to LANL. The expenses included per diem and lodging at the nearby Buffalo Thunder Resort and Casino.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 15, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the printed name and title.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement of Funds (OIG File No. 17-0354-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Managers at Savannah River National Laboratory have been forcing employees to write research proposals on their own time, without monetary compensation, therefore avoiding overhead funding for proposal writing. The complainant states funding agencies within or outside DOE typically request a proposal be written by a particular scientist after some initial contacts. Proposals vary in size and length of time it takes to write them but average about 60 hours, and it typically takes three to four funded proposals per year to keep a particular scientist's laboratory operating. Scientists often need to write at least four proposals a year, which would calculate to roughly 240 hours of allegedly uncompensated time.

The complainant believes that since the contractor of the laboratory receives a large majority of the funding and overhead from a funded proposal, this makes the situation more grievous as scientists are forced to bring in overhead funding without being compensated for their time.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 22, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Contract Irregularities and Mismanagement: Radioactive Liquid  
Transuranic Waste Facility (OIG File No. 17-0357-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Los Alamos National Security (LANS) officials misrepresented the Radioactive Liquid Transuranic Waste Facility (RLTWF) in the Request for Proposal (RFP) as a project that was designed and ready to issue as a firm fixed price contract to the public. Subcontractor, Yearout Industrial, LLC (Yearout) accepted the contract based on negotiations and validation by LANS management that the expectations were represented and the project had no extraordinary requirements.

The RLTWF project was represented as 100% designed; however, since construction over the past two years, the Engineer of Record (AECOM) has described the RFP design documents as a conceptual design at best. Because the project was not 100% designed as represented, there was not a requirement for a constructability review by Los Alamos National Laboratory (LANL) or a Title 3 design review with the user. The final review and design is being performed through the submittal review process which is incorporating owner/user preference comments, as well as final design criteria to meet the codes/standards and operation needs required by LANS/LANL. This process has created many delays to the project and has placed the review, approval, and supply of process equipment as the primary critical path driver.

Due to this lack of complete design, unrealistic schedule, perceived level of rigor, and LANS/LANL mismanagement, Yearout, submitted a claim extending from the start of

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the project through December 4, 2016, for approximately \$7,000,000. Nevertheless, LANS has failed to act, discuss, or mediate on informal claims that have been made. Additionally, Yearout recently submitted an official claim, and LANS appears to be intentionally delaying a resolution until the completion of the project, which may take several years. The complainant stated that this inaction was viewed as an intentional effort to delay resolution until Yearout is forced out of business.

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Please contact Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: General Counsel



Department of Energy  
Washington, DC 20585

June 21, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM:

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

SUBJECT:

Contract Mismanagement and Safety Concerns  
(OIG File No. 17-0367-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The complainant alleged the DOE Office of Science would be wasting funds with proposed contract changes to the protective force contract at the Oak Ridge National Laboratory (ORNL). Specifically, the complainant stated that placing the protective force contract under the Managing and Operating (M&O) prime contractor would be a mistake because it would be the least effective and most expensive way to perform security functions. In addition, the complainant expressed concerns over the safety of protective force officers if the contract were split between two different companies, negatively impacting radio communications, training, and response tactics between the three sites in Oak Ridge.

Further review into the matter disclosed the decision to allow protective force services to fall under the M&O contractor came from (b)(6), (b)(7)(C) the Office of Science, (b)(6), (b)(7)(C) in association with other executive managers. They reviewed the cost comparison to the movement of the contracts and found that the increased costs were less than one million dollars. Furthermore a review of the October 2016 Institute for Defense Analyses (IDA) report titled "An Analysis of Alternative Operating Models for Department of Energy Category I Security Sites" identified potential disadvantages of embedding physical security functions within M&O contracts. No explanation was found regarding the reasons for the increase in cost nor were there justifications addressing the disadvantages noted in the IDA report.

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Please contact Special Agent-in-Charge (b)(6);(b)(7)(C) at (202) 586- or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 5, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Complaint Summary (OIG File No. 17-0374-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged Houston Offshore Engineering (HOE), a subcontractor sharing costs associated with the WindFloat Pacific (WFP) project, improperly billed Principle Power Inc. (PPI), a Department grant award recipient.

Specifically, HOE charged PPI for working on WFP design; however, it actually performed work on the WindFloat Atlantic (WFA) project design. WFA is an identical project located off the coast of Portugal and backed by a consortium of European Union energy and industrial partners.

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~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

June 7, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Wage Irregularities (OIG File No. 17-0376-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a Bonneville Power Administration (BPA) employee, alleges pay irregularities and Fair Labor Service Act (FLSA) violations in the computation of his bi-weekly salary. (b)(6), (b)(7)(C) whom is assigned to perform duties of 84 hour per pay period, alleged that he should be paid at a negotiated overtime rate for all hours worked that extends beyond the 80 hour pay period, not as straight time, which is documented within the Columbia Power Trades Council (CPTC) Collective Bargaining Agreement with BPA.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 7, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement and Waste of Funds (OIG File No. 17-0385-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

On 13 April 2017, Savannah River Site employees (federal and contract) were allowed to participate in an Easter Egg Hunt and luncheon during regular duty hours, creating a waste of department funds.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- of (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 19, 2017

**MEMORANDUM FOR THE CHIEF HUMAN CAPITAL OFFICER**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Alleged False Claims Associated with Medical Retirement  
Application (OIG File No. 17-0388-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) is attempting to (b)(6), (b)(7)(C) retire from the Department. The (b)(6), (b)(7)(C) complainant believes (b)(6), (b)(7)(C) does not require (b)(6), (b)(7)(C) retirement as he still goes (b)(6), (b)(7)(C) hiking, camping and golfing. The complainant alleges any (b)(6), (b)(7)(C) condition is likely due to (b)(6), (b)(7)(C) as a result of military service, however, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) received a (b)(6), (b)(7)(C) in November of 2016.

~~This memorandum, including any attachments and information contained therein, is the property of the Office of Inspector General and is for OFFICIAL USE ONLY. The original and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 19, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Alleged Misconduct (OIG File No. 17-0388-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) was arrested and incarcerated for (b)(6), (b)(7)(C) (b)(7)(A), (b)(7)(C) on May 25, 2015. In October 2015, he received a DUI. Since his incarceration in May 2015, (b)(6), (b)(7)(C) has been continuing to receive a paycheck from the Department though he has not been working.

The complainant further alleged (b)(6), (b)(7)(C) evaluations conducted for his career are "full of lies."

Additionally, after (b)(6), (b)(7)(C) became aware of correspondence between (b)(6), (b)(7)(C) and a male member of the Navy stationed in Hawaii, he allegedly used his official position to gain access to the individual's name, rank, and information and abused his authority by contacting the individual's commander on base to threaten his job.

Furthermore, (b)(6), (b)(7)(C) is attempting to (b)(6), (b)(7)(C) retire from the Department. The complainant believes (b)(6), (b)(7)(C) does not require ongoing (b)(6), (b)(7)(C) retirement as he still goes hiking, camping and golfing. The complainant alleges any (b)(6), (b)(7)(C) suffered by (b)(6), (b)(7)(C) is likely due to (b)(6), (b)(7)(C) as a result of military service, however, (b)(6), (b)(7)(C) received a (b)(6), (b)(7)(C) in November of 2016.

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Please contact Assistant Special Agent-in-Charge (b)(6);(b)(7)(C) at (202) 586-  or (b)(6);(b)(7)(C)  
(b)(6);(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 6, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Hanford Radiation Contamination (OIG File No. 17-0390-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A contracted employee alleges the Department of Energy has not sufficiently determined if radiation contamination from 309 Plutonium Recycle Test Reactor (PRT Reactor) at Hanford affected the health of workers in nearby Building 3790.

According to the complainant, a review of dosimeter records and radiological surveys conducted around the PRT Reactor suggests contamination was more extensive than previously reported.

As part of your response, please provide specific reports, studies and/or information prepared by, or on behalf of, the Office of Environmental Management pertaining to radiation contamination at Building 3790.

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~~and individuals outside the Department of Energy. Public disclosure is determined by the~~  
~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C.,~~  
~~Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C)  
(b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 21, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Managerial Irregularities; Joint Technical Operations Team  
(OIG File No. 17-0394-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complaint alleged mismanagement in the procurement of deployment equipment for emergency responders assigned to the Los Alamos National Laboratory's (LANL), Joint Technical Operations Team (JTOT).

Specifically, the complainant stated LANL employees who have volunteered to perform duties as an emergency responder are required to purchase \$3,000 worth of deployment gear. The equipment is purchased from the retail store, Recreational Equipment, Inc. (REI) utilizing a Government Purchase Card (GPC). REI offers a rewards program which gives active members a refund in the form of an annual dividend based on total purchases for the previous year. The refund is typically 10% back on eligible store purchases. Due to the requirement that gear be purchased utilizing one of the authorized purchasers' personal REI accounts, the individual potentially receives \$300 in dividends from REI for each employee who joins the JTOT during that year.

The anonymous complainant stated this activity has been ongoing for the past several years and may have resulted in thousands of dollars going to specific GPC card holders, in the form of a 10% annual dividend.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 24, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

**FROM:** (b)(6), (b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Alleged Hiring Irregularities (OIG File No. 17-0396-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged (b)(6), (b)(7)(C) a Bonneville Power Administration (BPA) Foreman, will not hire veterans and shows favoritism toward contractors when hiring. The complainant further alleged (b)(6), (b)(7)(C) hired (b)(6), (b)(7)(C) incorrectly.

(b)(6), (b)(7)(C)

The complainant alleged BPA was under a hiring freeze several years ago but recently received their hiring authority again. Since then, (b)(6), (b)(7)(C) has allegedly stated he doesn't like veterans because they aren't qualified and never do the job correctly. The complainant stated (b)(6), (b)(7)(C) influenced the interview committee and usually steered them to hire contractors over veterans. In addition, the complainant stated there was recently a vacancy announcement for six positions as an "ATO" equipment operator that was open for 15 days. The position was open several times, and was rewritten several times to ensure veterans would be unable to qualify. The complainant was unable to provide a vacancy number. In addition, the complainant alleged veterans at BPA aren't treated well.

(b)(6), (b)(7)(C)

Moreover, the complainant alleged (b)(6), (b)(7)(C) hired (b)(6), (b)(7)(C) incorrectly. The complainant alleged (b)(6), (b)(7)(C) was previously fired for being on the phone while operating equipment. However, he had since been hired again and had been working for approximately two years.

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~~and any copies of the memorandum must be appropriately controlled and maintained. Disclosure to unauthorized persons without prior Office of Inspector General written approval is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 27, 2017

**MEMORANDUM FOR THE ACTING CHAIRMAN, FEDERAL ENERGY REGULATORY COMMISSION**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Alleged Mismanagement (OIG File No. 17-0398-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a private citizen of Pennsylvania, alleged mismanagement by the Federal Energy Regulatory Commission (FERC). According to the complaint, FERC has provided misleading and fraudulent information to landowners in the process of acquiring private property for an easement to facilitate the installation of a natural gas transmission pipeline.

(b)(6), (b)(7)(C) Specifically, (b)(6), (b)(7)(C) referenced FERC docket CP15-138 for the Transcontinental Gas Pipeline LLC (Transco). (b)(6), (b)(7)(C) believed FERC was acting with "blatant disregard for the law by allowing landowners to be subject to fraud in the inducement" through negotiation tactics by the attorneys at Saul Ewing representing Transco. In addition, (b)(6), (b)(7)(C) believes Saul Ewing is aware that their solicitations to acquire easements are fraudulent but have refused to alter their tactics, and FERC is turning a blind eye.

(b)(6), (b)(7)(C) (b)(6), (b)(7)(C) previously reported the concerns under docket CP15-138. In addition, he provided various documents he believed support his complaints to include a cease and desist letter provided to (b)(6), (b)(7)(C) by members of "Stop the Pipeline," requesting (b)(6), (b)(7)(C) stop bullying landowners into waiving their property rights. In response to his claims, FERC allegedly stated they had no authority over the contracts, as they are governed by state law. (b)(6), (b)(7)(C) disagreed. In addition, (b)(6), (b)(7)(C) alleged the property owners were not

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entitled to attorney fees as part of the compensation under the Natural Gas Act (NGA) and must fend for themselves against (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) stated that on May 27, 2014, he received a letter from "Williams Company," the company that is installing the pipeline, outlining the pipeline's boundaries that would affect his property and the subsequent proposed payment that would be paid to (b)(6), (b)(7)(C) based upon the appraised value of the property. (b)(6), (b)(7)(C) stated that the boundaries used were incorrect and therefore the value was also incorrect, and that he had documented proof that some areas of the property were not surveyed by certified appraisers. In addition, (b)(6), (b)(7)(C) stated the manner in which the attorneys presented him and other landowners with their proposal was overly aggressive, and done in a manner he believed was "taking advantage of them."

(b)(6), (b)(7)(C) added that prior to filing his concerns under CP15-138, he spoke with someone at FERC. After informing them that Williams Company was the company treating the landowners unfairly, the FERC employee allegedly stated that Williams Company has a reputation for treating customers the way he had been treated and that Williams Company is governed by Pennsylvania State Law so FERC would have no jurisdiction to penalize them.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 27, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Contract Irregularities/Davis-Bacon Act violations  
(OIG File No. 17-0401-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Consolidated Nuclear Solutions (CNS) has not been following labor standards procedures. The complainant specifically mentioned two work projects falling under the scope of the Davis Bacon Act (DBA) that were not assigned to the International Brotherhood of Electrical Workers Local Union 270 (IBEW270), in violation of the DBA.

The complainant stated that on February 23, 2017 Labor Standards determinations for the "Y-12 Buried Line Replacement Project Quad 1/4" and the "Y-12 Buried Line Replacement Project Quad 2/3" for a specialized security system to be installed at the "Pidar Fence" were reviewed and ruled DBA covered work. However, since that date, IBEW270 members were not chosen to install the security sensor system. According to the complainant, the discrepancy is a result of CNS's determination that the work is qualified as "specialized work," and CNS's subsequent decision to remove the electrical scope from the Labor Standards Determination of the project leaving only the construction scope. IBEW270 argues they have installed the original systems and have maintained the systems for 30 plus years, therefore they would be able to continue, adding that even if additional training was required they would be open to completing it. CNS then determined the project would be reassigned as a Department of Energy Service contract.

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The complainant believes (b)(6), (b)(7)(C) CNS (b)(6), (b)(7)(C) of Safeguarding and Security, is trying to "cover her mistakes" because she signed a contract with the chosen contractor without following the DBA rules, and is now saying she cannot do anything about it. IBEW270 has provided the laws to her to back up their claims, but have seen no change.

The complainant stated the "Construction Labor Agreement" mandates that all defined construction work on DOE sites in Oak Ridge, TN be performed by the affiliated Unions of The Knoxville Building and Construction Trades Council (KBCTC). In addition, he stated that according to the DOE Acquisition Guide Chapter 22.1, III the DBA applies to installing a security system or intrusion detection system. He stated the attempt to remove sections of the previously ruled covered work appears as an attempt to circumvent the Construction Labor Agreement. Finally, he stated that the project is exempt from Federal Acquisition Regulation 22.1003-3, because it has been ruled construction type work.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586-  or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

July 19, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

**FROM:** (b)(6), (b)(7)(C)  
Acting Assistant Inspector General for Investigations

**SUBJECT:** Access to Private Property and SF50 Irregularities (OIG File No. 17-0404-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C), a former Department employee at the National Energy Technology Laboratory (NETL), alleges he has been trying without success to obtain his personal property from his office at NETL since his resignation in June 2016. Mr. (b)(6), (b)(7)(C) even went so far as to obtain a judicial order requiring NETL to return his property, which he claims has been ignored.

Additionally, (b)(6), (b)(7)(C) alleges his SF50 has been modified numerous times without his notification. He questions whether remarks contained therein follow Office of Personnel Management guidelines, specifically related to the nature of action section of the SF50.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6), (b)(7)(C)  
Office of Environment Management

Phone No.: (301) 903- (b)(6), (b)(7)(C)

DATE: 12 July 2017

OIG PREDICATION NUMBER: 17-0406-C

DOE SITE/FACILITY: Oak Ridge National Laboratory (East Tennessee Technology Park)

OIG POINT OF CONTACT: (b)(6), (b)(7)(C) Assistant Special Agent-in-Charge, 202-586-  
(b)(6), (b)(7)(C) @hq.doe.gov

IDENTITY OF COMPLAINANT (Disclosure limited by OIG policy): (b)(6), (b)(7)(C)

ISSUE/COMPLAINT DESCRIPTION (General synopsis with sufficient detail to enable ES&H to take appropriate action, including date and description of the reported "incident."):

For the past two years, ARS Aleut Services (AAS) employees have requested management to replace damaged radiological sources at the East Tennessee Technology Park. Recently, two of the sources were identified as cracked, and after informing management officials the sources continued to be utilized. According to (b)(6), (b)(7)(C) the sources have lost a large amount of disintegrations per minute (dpm) due to leaks, and are potentially exposing employees to radiation. Management has recalibrated the personnel contamination monitors and they are allegedly not currently operating at the proper capacity.

(b)(6), (b)(7)(C) stated that the lack of oversight is creating a safety concern for him, his family, and other individuals he interacts with daily, as he is concerned he is carrying radiation out of the facility on him.

ES&H ANTICIPATED ACTION (For ES&H use; No OIG entry required):

ES&H ACTION LEAD (For ES&H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

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**Department of Energy**  
Washington, DC 20585

July 19, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Alleged Management Irregularities and Safety Concerns (OIG File  
No. 17-0406-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) alleges ARS Aleut Services managers failed to replace damaged radiological sources at the East Tennessee Technology Park. Recently, two sources identified as being cracked, continued to be utilized by employees despite (b)(6), (b)(7)(C) informing his manager, (b)(6), (b)(7)(C) of the issue. The radiological sources have lost a large amount of disintegrations per minute due to leaks, and are potentially exposing employees to radiation. Additionally, management has recalibrated the personnel contamination monitors and (b)(6), (b)(7)(C) believes they are not currently operating at the proper capacity.

(b)(6), (b)(7)(C) stated that the lack of oversight is creating a safety concern for him, his family, and other individuals he interacts with daily, as he is concerned he is carrying radiation out of the facility on him. He also noted that he performs volunteer services at a local middle school, which was concerning since another individual who dedicated his time to the school was recently diagnosed with (b)(6), (b)(7)(C) along with (b)(6), (b)(7)(C).

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~~may include, but are not limited to, individuals referenced in the memorandum, contractors and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Office of Environment, Health, Safety and Security

~~OFFICIAL USE ONLY~~





**Department of Energy**  
Washington, DC 20585

July 27, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**THE ASSOCIATE UNDER SECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY**

**FROM:**

(b)(6), (b)(7)(C)

Acting Assistant Inspector General for Investigations

**SUBJECT:**

Mismanagement of a Government Vehicle; Marshall Islands  
(OIG File No. 17-0407-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Department vehicles on Kwajalein Atoll are being utilized for purposes other than official use. The complainant cited vehicles being utilized at all hours to transport unauthorized occupants for uses that include but are not limited to, laundry, personal transportation and other personal favors.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO: (b)(6), (b)(7)(C) [redacted]  
Office of Environmental, Health,  
Safety and Security

Phone No.: 202-586-[redacted] (b)(6), (b)(7)(C)  
Email: (b)(6), (b)(7)(C) [redacted]@hq.doe.gov

DATE: 25 August 2017

OIG PREDICATION NUMBER: 17-0411-C

DOE SITE/FACILITY: Southwestern Power Administration

OIG POINT OF CONTACT: (b)(6), (b)(7)(C) [redacted] Investigative Analyst, DOE OIG Hotline,  
(b)(6), (b)(7)(C) (202) 586-[redacted]

IDENTITY OF COMPLAINANT: Confidential

ISSUE/COMPLAINT DESCRIPTION: Complainant alleges an email was sent to the DOE (b)(6), (b)(7)(C) [redacted] alleging (b)(6), (b)(7)(C) [redacted] Southwestern Power Administration (SWPA), was threatening suicide by shotgun. Complainant stated they were discussing recent events with a SWPA senior executive, and the senior executive mentioned they were going to email the (b)(6), (b)(7)(C) [redacted] outlining issues and the "crazy things going on" at SWPA, and made mention of (b)(6), (b)(7)(C) [redacted] threat. The complainant did not have first-hand knowledge of the alleged suicide threat and does not know for certain that the senior executive actually sent the email to the (b)(6), (b)(7)(C) [redacted]

ES&H ANTICIPATED ACTION: (For ES&H use; No OIG entry required):

ES&H ACTION LEAD: (For ES&H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

~~This ES&H Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disciplinary adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need to know. As such, this notification form should not be disseminated outside DOE without the prior authorization of the OIG.~~



**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6).(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Mismanagement and Safety Concerns  
(OIG File No. 17-0418-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Organizations that supply items providing a safety function for nuclear facilities must maintain a Nuclear Quality Assurance (NQA-1) certificate. Allegedly, LANL's Supplier Quality Group placed several large distributors on its approved suppliers list as providers of level 1 safety related equipment; however, subsequent audits performed by LANL revealed the quality assurance programs of these distributors were inadequate. In addition, once alerted to the issue the complainant believed LANL management did not perform follow-up work to assess the extent of the problem and the distributors remained on the approved suppliers list.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Beryllium Exposure (OIG File No. 17-0420-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) a former Babcock & Wilcox contract employee, alleged he was  
(b)(6),(b)(7)(C) unwittingly exposed to Beryllium. (b)(6),(b)(7)(C) was employed by Babcock & Wilcox and  
(b)(6),(b)(7)(C) worked at the Hanford Site from 1997-1999. He stated he was diagnosed with (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) in June 2017.

(b)(6),(b)(7)(C) is receiving medical care from National Jewish Health in Denver, CO. (b)(6),(b)(7)(C)  
requested his diagnosis be reported to management and investigated in accordance with  
federal law, and his coworkers apprised of their possible exposure.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 26, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Beryllium Exposure (OIG File No. 17-0420-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issue are the following:

1. Please provide a response regarding the investigation into [redacted] alleged exposure. (b)(6);(b)(7)(C)
2. Please provide a response regarding the identification and notification to coworkers also possibly exposed to Beryllium.

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Please contact Acting Hotline Director (b)(6);(b)(7)(C) at (202) 586-[redacted] or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Timecard Irregularities; Thomas Jefferson National Accelerator Facility  
(OIG File No. 17-0421-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel

The details of the complaint are as follows:

(b)(6),(b)(7)(C) a contract employee at the Thomas Jefferson National Accelerator Facility, allegedly submitted inaccurate time cards. (b)(6),(b)(7)(C) is scheduled to work from 8 (b)(6),(b)(7)(C) am to 5 pm daily, which includes a one-hour lunch break. However, (b)(6),(b)(7)(C) constantly arrives (b)(6),(b)(7)(C) 10-30 minutes late and takes a lunch which extends beyond the one-hour allocation. It was (b)(6),(b)(7)(C) also noted that (b)(6),(b)(7)(C) regularly ends (b)(6),(b)(7)(C) workday approximately 30 minutes prior to the (b)(6),(b)(7)(C) scheduled time in order to change into (b)(6),(b)(7)(C) workout attire for the gym.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alcohol Related Misconduct (OIG File No. 17-0428-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) a Department employee, provided "drink tickets" to minors at an official Department event, Bioenergy Technologies Office Annual Conference, in Crystal City, Virginia. The event, held on July 11 and 12, 2017, was to recognize students who had participated in and won an Office of Energy Efficiency and Renewable Energy (EE) sponsored competition. During this event, (b)(6),(b)(7)(C) provided drink tickets to two minor aged high-school students who used the tickets to obtain and consume alcoholic beverages. The incident was witnessed by (b)(6),(b)(7)(C) former contractor, Batelle Energy Alliance, Bioenergy Specialist, (b)(6),(b)(7)(C), EE, Washington, DC.

OIG management also requests specific information on why alcohol was being served at this event that included high-school students.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE CHIEF INFORMATION OFFICER**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Violation of Information Technology Policy  
(OIG File No. 17-0432-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The Information Technology Department at the Office of River Protection (ORP) allegedly gave administrator rights to an ORP contractor (b)(6),(b)(7)(C) in violation of established policies. Additionally there are concerns that alert messages for suspicious activity were ignored and that filters designed to block access to high-risk websites were ineffective in blocking the contractor employee from reaching sites such as freepik.com and streaming videos from turner.com and cnn.com.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Mismanagement (OIG File No. 17-0433-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The Heating, Ventilation and Air Conditioning (HVAC) system at the Bonneville Power Administration (BPA) maintenance headquarters building in Pasco, Washington, is not performing at an acceptable level. The system's poor performance within the Leadership in Energy and Environmental Design (LEED) certified building does not allow the internal temperature of the building to be kept within reasonable temperature guidelines for continuous human occupancy. Employees and contractors often wear coats at their desk in the winter season, or telecommute from home during the summer due to unbearably high temperatures inside the building. Currently portable air conditioners are being utilized to maintain building temperature, which detract from the efficiency of the building, just as multiple space heaters do in winter.

BPA's Facilities Planning and Project team stated that they were no longer accepting comments on the HVAC, since they have determined "there is nothing wrong with the system." It is also noted, that this is a huge expense to BPA and its ratepayers who funded the construction of the building, since it would provide an inadequate return on investment.

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~~is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. This disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Per Diem Irregularities (OIG File No. 17-0437-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) a CH2M Hill employee at West Valley Demonstration Project, improperly collected long term temporary per diem reimbursements following [ ] transfer (b)(6),(b)(7)(C) from the Savannah River Site in April 2017. [ ] collected per diem payments in (b)(6),(b)(7)(C) violation of CH2M Hill policy, which states long term temporary per diem may only be collected by an employee if their permanent residence is vacant; yet [ ] is currently (b)(6),(b)(7)(C) renting [ ] home to tenants while [ ] is away on temporary duty.

(b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C)

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586-[ ] or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 5, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Personally Identifiable Information Violation (OIG File No. 17-0446-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a Department employee, alleged mismanagement by Bonneville Power Administration (BPA), Freedom of Information Act (FOIA) Officer, (b)(6), (b)(7)(C) stated that (b)(6), (b)(7)(C) inadvertently and in violation of the Privacy Act as outlined in Department Order 206.1, released (b)(6), (b)(7)(C) Personally Identifiable Information (PII) while processing FOIA request BPA-2017-00180.

(b)(6), (b)(7)(C) received a copy of the FOIA response from BPA Attorney-Advisor (b)(6), (b)(7)(C) and upon further review of the documents, he discovered additional PII had been released that was not previously disclosed to him.

(b)(6), (b)(7)(C) stated the following PII was released within the FOIA response:

- Date of Birth
- Employment history and Information- to include company names, addresses, dates of employment and duty descriptions
- Education – schools attended, degree course of study and dates of completion
- Human Resource Information System (HRIS) Identification

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(b)(6),(b)(7)(C) [redacted] met with (b)(6),(b)(7)(C) [redacted] and inquired about the release of his education and  
(b)(6),(b)(7)(C) employment history. [redacted] stated that (b)(6),(b)(7)(C) [redacted] response was dismissive, and  
she generally stated that the additional information was not protected under the FOIA  
(b)(6),(b)(7)(C) request; however, she would follow up. As of this date, there has been no further  
information provided. Additionally, [redacted] noted that (b)(6),(b)(7)(C) [redacted] failed to redact the  
requestor's name, residential and mailing address from the documents prior to forwarding  
him a copy of the FOIA response.

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and individuals outside the Department of Energy. Public disclosure is determined by the  
Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C.,  
Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) [redacted] at (202) 586-[redacted] or (b)(6),(b)(7)(C) [redacted]  
(b)(6),(b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Training Irregularities (OIG File No. 17-0447-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged management at Lawrence Livermore National Laboratory planned to waste federal funds by soliciting training from the Shingo Institute (Shingo).

Specifically, the complainant stated the outgoing Principal Associate Directorate (PAD) expended significant federal funds on Shingo over the past three years with no benefit and despite internal objections. The complainant stated the incoming Acting PAD (unidentified) stated her commitment to continue using Shingo without consulting affected parties, and was initiating the next round starting August 21, 2017. Finally, the complainant stated the longstanding Shingo consultant, (b)(6),(b)(7)(C), would be brought in on that date for a week of mandatory meetings with all Operations and Business managers.

This is the fourth complaint the Hotline has received regarding Shingo training. Each complaint suggests growing disapproval by employees about the requirement of Shingo training.

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~~may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. Public disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 11, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Complaint Summary (OIG File No. 17-0448-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) (b)(6),(b)(7)(C) Institutional Affairs (b)(6),(b)(7)(C) at the Waste Isolation Pilot Plant, is allegedly involved in an inappropriate relationship with a State of New Mexico employee who receives funds from a program (b)(6),(b)(7)(C) manages. Funding from (b)(6),(b)(7)(C) the program has been utilized to support their travel and a possible government vehicle for the State employee's personal use. Additionally, (b)(6),(b)(7)(C) uses his (b)(6),(b)(7)(C) influence to manipulate cooperative agreements between the Department and the State of New Mexico.

These allegations have been previously reported to the Carlsbad Field Office; however, the investigation reportedly lacked thoroughness and was not sufficiently pursued. If this matter was previously investigated, we request a copy of the final report of investigation.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 6, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Managerial Irregularities; Waste Isolation Pilot Plant (OIG  
File No. 17-0448-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issue are the following:

Please provide the investigative report completed by the Carlsbad Field Office (CBFO), which documents findings reflected in CBFO's October 19, 2017 memorandum. The report should contain their review of budget information, technical analyses, travel records, other documents and personal interviews conducted in support of the initial referral.

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Please contact Acting Hotline Director (b)(6);(b)(7)(C) at (202) 586- or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C) @hq.doc.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

August 25, 2017

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the printed name and title.

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Alleged Ethics Violation – Post Government Employment (OIG File No. 17-0450-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) secured a position with Fluor Federal Petroleum Operations (FFPO) months after retiring from a federal position within the Strategic Petroleum Reserve (SPR) Project Program Management Office (PMO) where he, amongst other things, managed an FFPO contract.

Specifically, the complainant stated (b)(6),(b)(7)(C) retired from his position as an (b)(6),(b)(7)(C) for Management and Administration at the PMO in New Orleans at the end of 2016. In his position (b)(6),(b)(7)(C) was in charge of contracts for sale of crude oil, all contracts for services and supplies to the SPR, the entire budget process for the SPR PMO and field sites, and the contract for the Maintenance and Operations Contractor FFPO. Now, within months of retiring, he works for FFPO, which is also located across the parking lot from SPR PMO.

The complainant stated they do not know whether there was any wrong doing but believed it was odd for someone in charge of contracts, budgeting and spending to then work for the contractor he managed immediately after retiring.

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Please contact Assistant Special Agent-in-Charge (b)(6);(b)(7)(C) at (202) 586- or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: General Counsel

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Department of Energy  
Washington, DC 20585

August 25, 2017

MEMORANDUM FOR THE GENERAL COUNSEL

FROM:

(b)(6), (b)(7)(C)

National Capital Field Office

SUBJECT:

Misuse of Department of Energy Logo (OIG File No. 17-0455-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

A Department contractor, Firehawk Products and Services, is currently using a Department seal, specifically that of Oak Ridge National Laboratory, on their company website (<https://www.firehawksv.com/index.html>) in violation of Federal Regulations.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 1, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Contract Irregularities (OIG File No. 17-0460-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

CH2M Hill Plateau Remediation Company (CHPRC) utilized Hanford government-owned systems to aid its efforts in competing for and executing other Department contracts. Specifically, (b)(6),(b)(7)(C) is being retained as an employee of CHPRC during the transition of a recently awarded Paducah Deactivation and Remediation (D&R) contract, in which he was designated as "key personnel." However, (b)(6),(b)(7)(C) is purportedly on a full cost recovery basis and is currently participating in the development of CH2M's proposals while performing duties as an employee of the CHPRC corporate entity. Furthermore, during the transition period of the Paducah contract, (b)(6),(b)(7)(C) should have been removed from CHPRC contract and placed on corporate rolls.

It was also alleged that the government-owned business systems (payroll, accounting, and banking) are being misused to further CH2M's competitive position. (b)(6),(b)(7)(C) is being "parked" on the CHPRC contract and benefits plan despite currently performing duties for another CH2M contract. This arrangement allows (b)(6),(b)(7)(C) to be retained by CH2M as a Subject Matter Expert for future contract proposals and procurement needs, and for CH2M to delay the set-up of similar systems (contract and benefits plans) for the new contract.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 25, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Alleged Mismanagement of Grant Funds (OIG File No. 17-0463-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Z SofTech Solutions (ZSS) was awarded a Department of Energy (DOE) Grant in the amount of \$225,000, Award Number DE SC001717121, to develop cyber tools for High Performance Cloud (HPC) environments and workloads under FOA number DE-FOA-0001618. The complainant was retained by ZSS on February 17, 2017 to assist with the grant proposal and to be the (b)(6).(b)(7)(C) for the grant. Soon after ZSS was awarded the grant, the complainant had concerns that the proposal was a sham because his supervisor, (b)(6).(b)(7)(C) was not interested in allowing him to perform the work for which he was hired.

Specifically, during his employment the complainant made requests for information required to perform analyses in his position as (b)(6).(b)(7)(C) was often reluctant to comply with the requests and in some cases refused to give him the information. The complainant stated (b)(6).(b)(7)(C) belittled him when he asked for information to complete his job, often encouraging him to make guesses and use conjecture. Furthermore, the complainant alleged (b)(6).(b)(7)(C) frequently asked the complainant to produce bogus reports, recommendations, and solutions for DOE. The complainant expressed concerns to (b)(6).(b)(7)(C) that (b)(6).(b)(7)(C)

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he would be unable to effectively perform his role without the proper requested information, and she allegedly stated they did not require a real solution but a generic report to provide to DOE.

The complainant had additional concerns [redacted] may have pulled funds (b)(6),(b)(7)(C) from the grant under the guise of paying his salary, which she then kept for herself. He stated that he never received his agreed upon salary and following his early termination, he was shorted at least 6 weeks' pay. The complainant believed [redacted] had no plans to fulfill the grant. In addition, since its award date on February 21, 2017, ZSS has had three separate PIs.

(b)(6),(b)(7)(C)

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586-[redacted] or (b)(6),(b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

October 23, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

SUBJECT: Alleged Mismanagement of Grant Funds (OIG File No. 17-0463-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issue are the following (Please address each specific allegation):

1. The grantee, Z SofTech Solutions, produced bogus, generic reports, recommendations, and solutions to the Department.
- (b)(6),(b)(7)(C) 2. (b)(6),(b)(7)(C) may have pulled funds from the grant under the guise of paying the complainant's salary, which she then kept for herself. The complainant never received his agreed upon salary and following his early termination, he was shorted at least 6 weeks' pay.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachment(s)

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Department of Energy  
Washington, DC 20585

Attachment to  
Document 301

August 25, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Alleged Mismanagement of Grant Funds (OIG File No. 17-0463-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Z SofTech Solutions (ZSS) was awarded a Department of Energy (DOE) Grant in the amount of \$225,000, Award Number DE SC001717121, to develop cyber tools for High Performance Cloud (HPC) environments and workloads under FOA number DE-FOA-0001618. The complainant was retained by ZSS on February 17, 2017 to assist with the grant proposal and to be the (b)(6),(b)(7)(C) for the grant. Soon after ZSS was awarded the grant, the complainant had concerns that the proposal was a sham because his supervisor, (b)(6),(b)(7)(C) was not interested in allowing him to perform the work for which he was hired.

Specifically, during his employment the complainant made requests for information required to perform analyses in his position as (b)(6),(b)(7)(C) was often reluctant to comply with the requests and in some cases refused to give him the information. The complainant stated (b)(6),(b)(7)(C) belittled him when he asked for information to complete his job, often encouraging him to make guesses and use conjecture. Furthermore, the complainant alleged (b)(6),(b)(7)(C) frequently asked the complainant to produce bogus reports, recommendations, and solutions for DOE. The complainant expressed concerns to (b)(6),(b)(7)(C) that

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he would be unable to effectively perform his role without the proper requested information, and she allegedly stated they did not require a real solution but a generic report to provide to DOE.

The complainant had additional concerns [redacted] may have pulled funds (b)(6).(b)(7)(C) from the grant under the guise of paying his salary, which she then kept for herself. He stated that he never received his agreed upon salary and following his early termination, he was shorted at least 6 weeks' pay. The complainant (b)(6).(b)(7)(C) believed [redacted] had no plans to fulfill the grant. In addition, since its award date on February 21, 2017, ZSS has had three separate PIs.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586-[redacted] or (b)(6).(b)(7)(C) [redacted]@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

August 25, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Misuse of Funds (OIG File No. 17-0466-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Hotline received an anonymous complaint alleging (b)(6).(b)(7)(C) a Rocky Mountain Region employee, circumvented the official travel approval process for the purpose of attending training at a more expensive market location in Washington DC.

(b)(6).(b)(7)(C) The complainant stated [redacted] traveled to Washington, DC, from July 26 to August 4 (no year provided) to attend training. The training is also offered locally in Denver, CO, in January 2018. A blanket travel authorization was allegedly used which did not require approval from an authorizing official. The complainant believed the blanket travel authorization was used to bypass controls and checks. In addition, the complainant stated the training was not entered into the CHRIS workflow system which would have required approvals from supervisors, representatives from human resources, and budget.

The complainant was unsure of the payment method for the training. They believed appropriated funds were used to attend the training and noted the location of the training was in one of the most expensive markets in the U.S

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and could have been attended locally at a significantly lower cost. No additional information was provided.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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Department of Energy  
Washington, DC 20585

September 12, 2017

MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" field.

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Grant Money Irregularities (OIG File No. 17-0472-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

An anonymous complainant alleged Delphi Electronics received DOE grant money and re-appropriated its use without authorization. Specifically, the complainant stated that test equipment was purchased with \$90 million in DOE grant money that was designated for use on GM and Allison Transmission Hybrid Electric Vehicle (HEV) Projects, and re-appropriated for use in Geely, Volvo, Daimler, and Audi projects. The complainant stated the equipment is no longer available for the programs for which it was purchased. No additional information has been provided.

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~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 12, 2017

**MEMORANDUM FOR THE CHIEF HUMAN CAPITAL OFFICER**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Federal Employee Health Benefit Irregularities; Argonne  
(OIG File No. 17-0473-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a Department employee at Argonne National Laboratory, was not allowed to add her fiancé to her Federal Employee Health Benefit insurance plan.

(b)(6), (b)(7)(C) attempted to enroll her fiancé within the pay period before the anticipated date of marriage, with the understanding the benefit would not become effective until the date of marriage. (b)(6), (b)(7)(C) cited policy by the Office of Personnel Management allowing the additional benefit; however, was informed by her Office of Human Resources she needed to produce a marriage certificate prior to enrollment.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 20, 2017

**MEMORANDUM FOR THE CHIEF INFORMATION OFFICER**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Violation of Federal Records Act (OIG File No. 17-0476-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) failed to preserve and pass on records of his work in the Office of Indian Energy (IE), in violation of the Federal Records Act. (b)(6), (b)(7)(C) left the Department under contentious conditions, and after his departure, there were no records or documents regarding anything he worked on during his nearly two years in IE. He worked as a (b)(6), (b)(7)(C) in IE from August 2015 to June 2017, and was the de-facto (b)(6), (b)(7)(C) failure to retain and pass on records of his activities within IE constituted a violation of the Federal Records Act.

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Please contact Assistant Special Agent-in-Charge (b)(6), (b)(7)(C) at (202) 586- or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 23, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Procurement Irregularities; Los Alamos National Laboratory  
(OIG File No. 17-0479-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

On July 28, 2017, Parson Government Inc., issued the National Nuclear Security Administration (NNSA) a contract proposal in which the Scope of Work (SOW) involved the computer analysis of an existing structure subjected to earthquake and ground motions. For this specific SOW, in which several private companies were qualified to provide the services, NNSA (b)(6), (b)(7)(C) prohibited the issuance of a competitive procurement request, stating the Federal Acquisition Regulations (FAR) prohibited this action when a Blanket Purchase Agreement already existed for the services.

The independent government cost estimate for this procurement was approximately \$1.8 million, and 8,800 man-hours, yet the proposal received from Parsons was for a total of \$4.4 million and 29,046 labor hours. The complainant believes the sole-source nature of the award for the proposal resulted in inflated costs solely for the purpose profiting the subcontractors.

Furthermore, the contract vehicle selected (GSA Contract No: GS-00F-0005R) appeared to be inappropriate because the contract was for construction management

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services, yet the contract in question was not a construction project. The proposal received from Parsons had approximately 15,000 man-hours allocated to Parson's Oversight/Quality Assurance/Management for a cost of \$1.8 million. The complainant believes this was excessive given the nature of the analysis conducted.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 12, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Management Irregularities (OIG File No. 17-0480-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged (b)(6),(b)(7)(C) an Oak Ridge National Laboratory (ORNL) employee, has been treating his employees inappropriately.

- (b)(6),(b)(7)(C) The complainant stated (b)(6),(b)(7)(C) is the director of Industrial Partnerships and Economic Development at ORNL, and alleges that (b)(6),(b)(7)(C) behavior in the last (b)(6),(b)(7)(C) month has "escalated from rude to reckless." Specifically, the complainant (b)(6),(b)(7)(C) stated (b)(6),(b)(7)(C) has gone too far in reprimanding his staff, especially in public.
- (b)(6),(b)(7)(C) In addition, (b)(6),(b)(7)(C) communicates with his staff at inappropriate hours, requiring them to be on call late into the evenings and requiring them to be responsive to his directions at early hours. Furthermore, the complainant (b)(6),(b)(7)(C) alleges (b)(6),(b)(7)(C) language has become derogatory, negatively affecting productivity in his departments.
- (b)(6),(b)(7)(C) The complainant stated (b)(6),(b)(7)(C) spreads himself so thin with his projects that core responsibilities are neglected. Moreover, the complainant stated (b)(6),(b)(7)(C) often takes credit (b)(6),(b)(7)(C) for work done for him by his staff as though he did it himself. The complainant believes (b)(6),(b)(7)(C) behavior negatively impacts his department.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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U.S. DEPARTMENT OF ENERGY  
OFFICE OF INSPECTOR GENERAL

*ENVIRONMENTAL, SAFETY, & HEALTH  
NOTIFICATION FORM  
(DOE ES&H ISSUES)*

TO:

(b)(6), (b)(7)(C)

Bonneville Power Administration

Phone No.: (503)-230-

(b)(6), (b)(7)(C)

Email: (b)(6), (b)(7)(C)@bpa.gov

DATE: 22 August 2017

OIG PREDICATION NUMBER: 17-0483-C

DOE SITE/FACILITY: Bonneville Power Administration

OIG POINT OF CONTACT: (b)(6), (b)(7)(C) Investigative Analyst, DOE OIG Hotline,

(b)(6), (b)(7)(C) (202) 586-

IDENTITY OF COMPLAINANT: Anonymous

ISSUE/COMPLAINT DESCRIPTION: Complainant alleges (b)(6), (b)(7)(C)

Maintenance Worker and Federal Employee of BPA in Snohomish, WA, unlawfully carries a .380 caliber pistol on the worksite. Complainant alleges  hides the weapon in his  (b)(6), (b)(7)(C) lunchbox and brags about never being arm's length away from his weapon.

ES&amp;H ANTICIPATED ACTION: (For ES&amp;H use; No OIG entry required):

ES&amp;H ACTION LEAD: (For ES&amp;H use; No OIG entry required):

RECEIPT VERIFICATION TO OIG: Yes \_\_\_\_\_ No \_\_\_\_\_

~~This ES&H Notification form is intended for use by OIG and ES&H employees only. Relevant portions of the information may be shared with OIG and/or ES&H staff to determine the appropriate disposition/adjudication. This form and its contents should be protected in accordance with the provisions set forth in the Privacy Act, Title 5, U.S.C., Section 552a. Appropriate safeguards should be provided for this information and should be limited to persons with a need-to-know. As such, this notification form should not be disseminated outside DOE without the express authorization of the OIG.~~



**Department of Energy**  
Washington, DC 20585

September 18, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the typed name.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Safety Concerns (OIG File No. 17-0489-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A complaint alleged two separate safety violations at Oak Ridge National Laboratory under the Isotek Contract. The first incident occurred on October 18, 2016, while the high-efficiency particulate air (HEPA) filters in building 3108 were being replaced. During the activity, the "Gantry Crane" was unloaded and one of the four crane legs rolled into a filter pit opening causing the gantry crane to tip over and strike two operations technicians. The crane was righted, and the Task Lead, Operations Supervisor, and Radiological Technician monitoring the task suspended work. Because both technicians indicated they were physically okay and the PPE was checked and assumed to be okay, the workers decided to continue working. The group did not notify the Occupational Safety and Health (OSH) Engineer or Facility Manager prior to finishing. After the filters were removed and replaced, the team left the work area, the proper notifications were made, and the two workers who were hit were sent to medical as a precautionary measure. Both returned to work with no restrictions. An incident review was conducted approximately one hour after the incident. This review established a timeline of events, and later an Apparent Casual Analysis (ACA) was requested. However, the ACA was issued as final without review for accuracy, acceptance of comments, and thoroughly addressing all concerns.

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The second incident occurred in late October 2016 while securing the operations area after completing a fissionable material handling. A swivel hoist ring supposedly rated for 1000 lbs. broke while attempting to lift a 218 lb. aluminum transition plate with a 10 ton bridge crane. The complainant stated there were no injuries, though the rigging that was attached to the swivel hoist ring fell "within inches" of the Operations Technician. Prior to the incident, the equipment had been visually inspected and the lift rings were torqued quarterly. In addition, the rigging equipment had been inspected and certified for the year in April 2016, and had been used multiple times per month without incident. An incident review was conducted later in the day consisting of the timeline of events, and later an ACA was requested. In this case, the ACA was issued as final with the option of review for accuracy, accepted comments which were incorporated, and focused on procedural failures.

In the first incident, the complainant believed the work should have been stopped based on the out of scope condition, to notify the facility manager and to determine why the gantry crane rolled into the filter pit. In the second incident, the complainant stated the work was suspended briefly but the underlying conditions were not evaluated. In addition, a new swivel hoist ring was installed but the rest of the equipment was not evaluated, and the OSH engineer was not included on the discussion between the Operations Supervisor and Facility manager to determine if the operations area should be secured.

The complainant believed the ACA on the Gantry Crane failure appeared to be written with an agenda to place the failure on the OSH Program. In addition, the Environmental Safety and Health manager was informed that they needed to focus on RADCON issues and would be replaced with a "real safety professional." The complainant stated other incidents have since occurred that should have resulted in an issued suspend/stop.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Enterprise Assessments

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**Department of Energy**  
Washington, DC 20585

October 24, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Safety Concerns (OIG File No. 17-0489-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issue are the following (Please address each specific allegation):

1. During the "Gantry Crane" incident, was the Occupational Safety and Health (OSH) Engineer or Facility Manager notified prior to finishing the assignment to replace the high-efficiency particulate air (HEPA) filters in building 3108? Is this a requirement?
2. Should the work involving the Gantry Crane mentioned above have been stopped to notify the facility manager and to determine why the gantry crane rolled into the filter pit?
3. Was the Apparent Casual Analysis (ACA) issued as final without review for accuracy, thoroughness, and acceptance of comments?
4. After the incident where a swivel hoist ring broke, were the underlying conditions that caused the breakage and potential impact to related equipment evaluated?
5. Was the OSH engineer included on the discussion between the supervisor and facility manager to determine if the operations area should be secured following the swivel hoist ring incident? Is this a requirement?

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586-   or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

Attachment(s)

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**Department of Energy**  
Washington, DC 20585

September 18, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Safety Concerns (OIG File No. 17-0489-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A complaint alleged two separate safety violations at Oak Ridge National Laboratory under the Isotek Contract. The first incident occurred on October 18, 2016, while the high-efficiency particulate air (HEPA) filters in building 3108 were being replaced. During the activity, the "Gantry Crane" was unloaded and one of the four crane legs rolled into a filter pit opening causing the gantry crane to tip over and strike two operations technicians. The crane was righted, and the Task Lead, Operations Supervisor, and Radiological Technician monitoring the task suspended work. Because both technicians indicated they were physically okay and the PPE was checked and assumed to be okay, the workers decided to continue working. The group did not notify the Occupational Safety and Health (OSH) Engineer or Facility Manager prior to finishing. After the filters were removed and replaced, the team left the work area, the proper notifications were made, and the two workers who were hit were sent to medical as a precautionary measure. Both returned to work with no restrictions. An incident review was conducted approximately one hour after the incident. This review established a timeline of events, and later an Apparent Casual Analysis (ACA) was requested. However, the ACA was issued as final without review for accuracy, acceptance of comments, and thoroughly addressing all concerns.

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The second incident occurred in late October 2016 while securing the operations area after completing a fissionable material handling. A swivel hoist ring supposedly rated for 1000 lbs. broke while attempting to lift a 218 lb. aluminum transition plate with a 10 ton bridge crane. The complainant stated there were no injuries, though the rigging that was attached to the swivel hoist ring fell "within inches" of the Operations Technician. Prior to the incident, the equipment had been visually inspected and the lift rings were torqued quarterly. In addition, the rigging equipment had been inspected and certified for the year in April 2016, and had been used multiple times per month without incident. An incident review was conducted later in the day consisting of the timeline of events, and later an ACA was requested. In this case, the ACA was issued as final with the option of review for accuracy, accepted comments which were incorporated, and focused on procedural failures.

In the first incident, the complainant believed the work should have been stopped based on the out of scope condition, to notify the facility manager and to determine why the gantry crane rolled into the filter pit. In the second incident, the complainant stated the work was suspended briefly but the underlying conditions were not evaluated. In addition, a new swivel hoist ring was installed but the rest of the equipment was not evaluated, and the OSH engineer was not included on the discussion between the Operations Supervisor and Facility manager to determine if the operations area should be secured.

The complainant believed the ACA on the Gantry Crane failure appeared to be written with an agenda to place the failure on the OSH Program. In addition, the Environmental Safety and Health manager was informed that they needed to focus on RADCON issues and would be replaced with a "real safety professional." The complainant stated other incidents have since occurred that should have resulted in an issued suspend/stop.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Enterprise Assessments

~~OFFICIAL USE ONLY~~



**Department of Energy**  
Washington, DC 20585

September 22, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Mismanagement (OIG File No. 17-0493-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Desert Southwestern Division (DSW) is fraudulently overcharging customers and/or misallocating revenue and expenses from several other power systems to the Intertie Power System (Intertie). In addition, revenue transfers are occurring from the Parker-Davis Power System (Parker-Davis) to Intertie, for the purpose of restricting the company (Intertie) rates from increasing. Because DSW is required to make a "balloon payment" to Treasury on behalf of Intertie within the next couple of years, DSW is concerned that if Intertie rates were to increase, they will lose customers on that system, which would place DSW and Western Area Power Administration (WAPA) in a "financial bind."

The complainant cited the following examples where Western utilized other power systems to subsidize Intertie. (See attached)

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

Attachment

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The complainant cited the following examples where Western utilized other power systems to subsidize Intertie:

- Arizona Power Service (APS) Black Start

DSW is overcharging Arizona Public Service Electric Company (APS) for Black Start services, as well as misallocating the Black Start revenue in order to increase revenue on Intertie. DSW and APS entered into a contract to utilize DSW's Power System to get its nuclear generator operating if it came "offline." In the past, APS paid DSW roughly \$400,000 per year for this service, yet in recent years the cost of APS's Black Start contract for Black Start has increased between approximately 200% and 300% without reason. It was discovered that DSW began charging APS for the Operation and Maintenance expenses for one-year periods, rather than just the two or three days that the power systems would be needed to get APS's nuclear site operational. Furthermore, it is alleged that a portion of the revenue from this annual contract is allocated to several other systems to include Intertie and Colorado River Storage Project (CRSP). Although the CRSP would be the primary source of power in an event where APS needed to do a Black Start, the majority of the revenue has been routed to Intertie. Boulder Canyon Power System is not receiving Regulation Revenue to keep expenses low for Intertie. Generators in the Boulder Canyon Power System regulate the amount of power flowing through the DSW Control Area and then charge DSW for this service (Regulation Revenue). Historically, this service has been paid by three power systems, to include Intertie. According to the complainant, in recent years Boulder Canyon has been receiving less Regulation Revenue, but performing the same responsibility of regulating the amount of power flowing through the DSW Control Area.

- Boulder Canyon Power System Regulation Revenue

Boulder Canyon Power system is not receiving appropriate regulation revenue for the purpose of reducing expenses for Intertie. Generators within the Boulder Canyon Power System are used to regulate the amount of power flowing through the DSW Control Area, and is later charged to DSW for this service (regulation revenue). Historically, this service has been paid by three power systems, to include Intertie. However, in recent years Boulder Canyon has been receiving less regulation revenue, but performing the same responsibility of regulating the amount of power flowing through the DSW Control Area. DSW managers were questioned why less regulation revenue was being given to Boulder Canyon despite continued regulation by the system. Their response was that Intertie's expenses were too high, and in order to keep rates from drastically going up, Boulder Canyon was "taking the hit financially."

- Revenue Transfers to Intertie Power System

There are several questionable revenue transfers from Parker-Davis to Intertie. Managers stated that due to outages and scheduled construction on the Parker-Davis Power System, power was rerouted to the Intertie power system to ensure that Parker-Davis customers received the needed power to meet their obligations. Because the Parker-Davis customers borrowed power from Intertie, DSW made revenue transfers from Parker-Davis to Intertie, resulting in the Parker-Davis account being negative.

- Labor Mischarging

The DSW accounting department corrected hundreds of charges for employees who mischarged services to the wrong power system. There are currently no controls in place to ensure labor is appropriately charged to projects, power systems, etc. For example, the labor charging for Transmission Infrastructure Program (TIP) projects and an annual \$100 million contract with the U.S. Navy is not being properly charged to cost objectives. DSW's Financial Management Department is not appropriately charging their time, and DSW's Procurement office is consistently charging to mission based work, which ultimately affects the power rates.





**Department of Energy**  
Washington, DC 20585

September 22, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement and Time Card Irregularities  
(OIG File No. 17-0497-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) a supervisor within the Weatherization and Intergovernmental Programs Office (WIP), committed time card fraud and inappropriately delegated inherently Federal tasks to contractors. (b)(6),(b)(7)(C) supervisor, (b)(6),(b)(7)(C) is aware of the infractions, yet failed to take action to remedy the situation. Specifically, the following is alleged:

Time and Attendance

(b)(6),(b)(7)(C) arrives to the workplace late and departs early (four days a week). He often teleworks and utilizes alternative work schedule (AWS) days on Fridays, yet he was overheard stating he does not have access to the Department's network from home. (b)(6),(b)(7)(C) claims he is out of the office "attending interagency meetings," however, information presumably gained during such meeting is never disclosed or discussed in weekly meetings with the management team.

Inherently Federal Activities Delegated to Contractors

(b)(6),(b)(7)(C) Over the past 16 months, (b)(6),(b)(7)(C) delegated inherently Federal tasks to contract employees. Specifically, one incident was noted in which (b)(6),(b)(7)(C) directed a contractor to supervise a Federal intern during the past summer. (b)(6),(b)(7)(C) directed a contractor to access a government system with (b)(6),(b)(7)(C) credentials, for the purpose of reviewing and selecting a resume of an intern as

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a summer hire. It has also been alleged that [redacted] allowed contractors on his team to send emails from his account on his behalf. (b)(6),(b)(7)(C)

(b)(6),(b)(7)(C) was made aware of these issues and stated that he did not have a problem with the activity because it was within the scope of work for the contractor.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586-[redacted] or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 12, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Fraud; Food Services, Nevada National Security Site; Madrid and  
Mykovich (OIG File No. 17-0500-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) Managers, Mercury Nevada Food Service Department, Nevada National Security Site, are inflating customer counts in an attempt to reflect greater business. (b)(6),(b)(7)(C) created a \$.01 cent bottom disguised as a meal bottom, which the cashiers will input to increase customer counts.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 11, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR NUCLEAR ENERGY**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Complaint Summary (OIG File No. 17-0504-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) at Idaho National Laboratory's Occupational Medicine Program, is allegedly directing physicians to misreport certain treatments to avoid recording work-related injuries and/or illnesses to the Occupational Safety and Health Administration (OSHA).

(b)(6), (b)(7)(C) Specifically, when physicians recommend "stretching" to a patient as a medical treatment, (b)(6), (b)(7)(C) allegedly instructs physicians to document the treatment as "walking," a treatment that does not require recording under OSHA recordkeeping requirements. Additionally, when physicians provide patients one (b)(6), (b)(7)(C) 800 mg dose of ibuprofen, (b)(6), (b)(7)(C) allegedly instructs physicians to provide two 400 mg doses, again to avoid reporting/recordkeeping requirements.

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Please contact Assistant Special Agent-in-Charge (b)(6)(b)(7)(C) at (202) 586- or (b)(6)(b)(7)(C) (b)(6)(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 27, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**THE ASSOCIATE UNDER SECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Harassment; NNSA; Colarusso (OIG File No. 17-0506-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

National Science Foundation (NSF) provided the attached documents memorializing activity related to (b)(6), (b)(7)(C) a Supervisory Physical Scientist with the (b)(6), (b)(7)(C) for Site Operations, Las Vegas, Nevada. The documents memorialize harassment of an NSF employee in person at an NSF facility and via NSF telephones. The harassment has been ongoing since 2015 and allegedly stems from (b)(6), (b)(7)(C) and the complainant having once dated the same individual.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachments

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**Department of Energy**  
Washington, DC 20585

September 22, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENERGY EFFICIENCY AND  
RENEWABLE ENERGY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Phishing; The BIRD Foundation (OIG File No. 17-0507-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) EERE, was the victim of a phishing scheme. The After Action  
(b)(6),(b)(7)(C) Report detailed the interactions between (b)(6),(b)(7)(C) and the phishing email address  
(b)(6),(b)(7)(C) @birrdf.com," that imitated the real email address of the (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) of the Bird Foundation, (b)(6),(b)(7)(C) @birdf.com."

We understand you are aware of the allegations and are conducting an internal review. Please provide a final report when it is available.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 29, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Employee Irregularities (OIG File No. 17-0512-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complaint alleged (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) have created an unprofessional climate and hostile work environment at Idaho National Laboratory.

(b)(6),(b)(7)(C) Specifically, the complainant stated (b)(6),(b)(7)(C) is acting as the Contract Officer (CO) on the "CPIF" contract, though he is not the official CO. Due to the complexity of the contract, "they" have had to appoint three additional COs. The complainant believes the three COs do not have the knowledge or experience of an experienced CO and allow (b)(6),(b)(7)(C) to act as the CO (b)(6),(b)(7)(C) instead. The complainant stated they believe Idaho National Laboratory is headed for litigation due to the inexperienced business office, and they would "hate to see this office go through it again" as it did with CH2M Hill Washington Idaho LLC.

(b)(6),(b)(7)(C) The complainant believes (b)(6),(b)(7)(C) and (b)(6),(b)(7)(C) "have it out for the contractor." In addition, the complainant stated employees are in fear of discussing their positions, and employees are often left out of important meetings to discuss changes in the organization. (b)(6),(b)(7)(C)

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: CFO

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**Department of Energy**  
Washington, DC 20585

September 29, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy   
Deputy Inspector General for Investigations

**SUBJECT:** Misuse of Federal Position, Violation of the HATCH Act (OIG File  
No. 17-0515-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

- (b)(6),(b)(7)(C) An anonymous complainant alleged [redacted] a Program Analyst for the (b)(6), (b)(7)(C) [redacted] for Business Administration, misrepresented his position as a federal employee in a Fortune Magazine article, and violated the Hatch Act.
- (b)(6),(b)(7)(C) The complainant stated [redacted] misrepresented his position in an article for Fortune Magazine about "Hyperloop One" (attached) by falsely stating he is a (b)(6),(b)(7)(C) [redacted] at the Department of Energy. In addition, the complainant (b)(6),(b)(7)(C) stated [redacted] is working on a letter to the President and planning to meet with the President about Infrastructure funding of the Hyperloop project. The complainant believes a DOE employee who has misrepresented his title should not be lobbying with the president, therefore he believes [redacted] behavior is a (b)(6),(b)(7)(C) violation of the Hatch act.

(b)(6),(b)(7)(C) Based upon information obtained from the Hyperloop website, it is known that (b)(6),(b)(7)(C) [redacted] is also the [redacted] of the Hyperloop Advanced Research Partnership (HARP) Board of Trustees.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

Attachment

cc: GC

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Department of Energy  
Washington, DC 20585

October 5, 2017

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

FROM: John E. Dupuy  
Deputy Inspector General for Investigations

SUBJECT: Complaint Summary (OIG File No. 17-0520-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Consolidated Nuclear Securities (CNS) and Booze Allen Hamilton (BAH) have fraudulently manipulated productivity reports, cost and benefit savings, and distorted staffing numbers within contract DE-NA0001942 in an attempt to manipulate cost savings reports. BAH profits are earned based on validated cost savings which has led to fraudulent measures in areas to include but not limited to:

- BAH basing savings on manipulated "would have" costs. Increases in employee benefits, additional paid time off, salary and medical costs are not reflected in the cost savings.
- Claimed procurement savings are the result of procurement buyers performing routine duty; however, "repackaged" as "cost savings".
- CNS has claimed staff reductions since January 2013; however, failed to report increases in paid overtime, which increased by 50% for FYs 2015 and 2016.

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Please contact Assistant Special Agent-in-Charge (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C) (b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 16, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Contract Irregularities; Consolidated Nuclear Securities  
(OIG File No. 17-0520-C)

This memorandum serves as a follow up to a Referral Response received from your office addressing a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the response received from your office warrants more information; therefore, we are sending this supplemental referral for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum regarding the actions you have taken or plan to take related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The original OIG referral and initial response to that referral are attached for reference.

The details of the enduring issue are the following:

The November 8, 2017, response we received from your office states the issues referred to you by the OIG "were adjudicated through the contract administration process." Please provide any supporting documentation for this adjudication and the findings of any reviews to date.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

September 27, 2017

MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Employee Irregularities and Conflict of Interest (OIG File No. 17-0521-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged nepotism, conflict of interest and abuse of power at Fermi National Accelerator Laboratory.

The complainant stated (b)(6),(b)(7)(C) at Fermi, and (b)(6),(b)(7)(C) at Fermi, are legally married to each other and living in the same household and are taking leadership positions in the Technical Division where one will be the direct supervisor of the other. The complainant stated that (b)(6),(b)(7)(C) has been using a different first name (b)(6),(b)(7)(C) to conceal his identity and the personal relationship.

The complainant believes the relationship is a severe conflict of interest and a violation of the lab's Code of Business Ethics and Conduct, FAR 52.203-13 Contractor Code of Business Ethics and Conduct, and the Fermi Research Alliance, LLC (FRA) Policy. In addition, the complainant believes senior management does not see this instance of nepotism as a problem because of close personal ties between (b)(6),(b)(7)(C) and the lab's (b)(6),(b)(7)(C) as well as the personal ties between (b)(6),(b)(7)(C) and the (b)(6),(b)(7)(C).

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The complainant also stated there are other instances of conflicts of interest, misuse of position, and abuse of power involving the relationship of [redacted] and (b)(6),(b)(7)(C) to (b)(6),(b)(7)(C) include acting as reviewers on each other's proposals. Specifically [redacted] is a (b)(6),(b)(7)(C) member of the Laboratory Directed Research and Development program (LDRD) selection committee, and (b)(6),(b)(7)(C) is the recipient of a FY17 \$1.4M LDRD grant (Project ID: FNAL-LDRD-2017-038) and a FY15 \$1.2M LDRD grant (Project ID: FNAL-LDRD-2015-029). The complainant believes it is suspicious that the same individual (b)(6),(b)(7)(C) has been selected ahead of hundreds of competitors twice in three consecutive years while (b)(6),(b)(7)(C) is a member of the selection committee. Furthermore, they stated the topic of the FY17 proposal does not align with the labs' scientific mission and intimate knowledge of its personnel.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586-[redacted] or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel


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**Department of Energy**  
Washington, DC 20585

October 12, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy   
Deputy Inspector General for Investigations

**SUBJECT:** Alleged Plagiarised Material; IEEE; UT Battelle  
(OIG File No. 17-0527-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) the (b)(6) (b)(7)(C) for Electrical Engineering and Computer Science at the University of Tennessee, Knoxville, TN, has published research funded by Department contracts that was plagiarized from uncited material and/or staff. The research was supported under Department contracts DE-AC05-96OR22464 and DE-AC05-00OR22725, management and operating contracts in support of Oak Ridge National Laboratory. (b)(6),(b)(7)(C) published research is as follows:

1. (b)(6),(b)(7)(C)
- 2.
- 3.



(b)(6),(b)(7)(C)

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 3, 2017

**MEMORANDUM FOR THE ASSOCIATE UNDERSECRETARY FOR ENVIRONMENT,  
HEALTH, SAFETY AND SECURITY**

A handwritten signature in black ink, appearing to read "John E. Dupuy", is positioned above the "FROM:" line.

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Contract Employee Irregularities (OIG File No. 17-0531-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

A complainant alleged irregularities with foreign national (FN) approval and documentation on projects at Advanced Research Projects Agency (ARPA-E) and the Office of Energy Efficiency and Renewable Energy (EERE). The complainant has observed a lack of compliance with DOE order 142.3A that defines the requirements and procedures for approving FN access to DOE programs, information and technology, and requires pertinent information such as identification and authorization be obtained and entered into the Foreign Access Central Tracking System database. The complainant stated Project Managers are responsible for obtaining identification documentation for FN's working on projects that fit either the role of key person, lead researcher, principal investigator, etc., and that would have access to project managers or access to DOE information for both on-site and off-site assignments. Specifically, a foreign national, (b)(6),(b)(7)(C) has worked on projects at ARPA-E and EERE, and the documentation was allegedly not requested for [redacted] at either office. (b)(6),(b)(7)(C)

The complainant stated they have encountered "push back" from additional unidentified organizations in the past when they request FN documentation for off-site appointments. The complainant believed other agencies, with the exception of the National Energy Technology Laboratory, are overlooking the order. They added that

the procedure is often followed for on-site meetings with FN's at DOE Facilities, however there is inconsistency with off-site assignments.

The complainant is concerned that since the Order is not always followed, organizations and offices may be placing DOE at risk.

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Please contact Assistant Special Agent-in-Charge (b)(6),(b)(7)(C) at (202) 586-  or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 20, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Managerial Irregularities; WAPA (OIG File No. 17-0534-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Senior management at the Western Area Power Administration (WAPA) is creating a hostile work environment and ignoring safety violations and theft by employees.

Approximately one year ago, an employee within WAPA's Transmission Dispatch section in Loveland, CO, neglected to obtain clearance, inform the proper authorities, or don appropriate safety gear prior to working on a 115,000 volt "hot" transmission line. While the incident was reported to management, the employee (b)(6), (b)(7)(C) was allegedly not disciplined as he "gets stuff done." (b)(6), (b)(7)(C) also received no discipline after stealing several heaters from the Cheyenne Service Center, which he returned after being confronted by management. While these concerns, as well as a toxic work environment have been reported to management; nothing has been done. One supervisor, (b)(6), (b)(7)(C) a Supervisory Electrical Engineer, was overheard stating "if I wanted to fire you I'll find something to fire you for," further contributing to the hostile environment within Transmission Dispatch.

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~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586-  or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

cc: Office of the Chief Human Capital Officer

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**Department of Energy**  
Washington, DC 20585

October 13, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Contractor Pay Irregularities (OIG File No. 17-0535-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complainant alleged (b)(6),(b)(7)(C) of ETE Consulting (ETE), a security escort subcontractor at the Y-12 National Security Complex, intentionally withheld employees' wages, to include overtime pay, in order to profit from low bid contracts. Specifically, it is alleged that ETE violated the McNamara-O'Hara Service Contract Act of 1965 (SCA) and the Contract Work Hours and Safety Standards Act (CWHSSA). CWHSSA required contractors and subcontractors to pay mechanics and laborers, which included watchmen and guards employed in the performance of covered contracts, one and one-half times their basic rate of pay for all hours worked over 40 in a workweek.

The complaint alleges the wrongdoing has taken place during the duration of several security escort contracts, dating prior to 2010 to present. It is alleged that ETE:

- Intentionally moved employees from one subcontract to another to avoid paying overtime. Managers informed employees that overtime pay is not required, due to time being allocated to different contracts.
- Failed to compensate employees for the initial onsite training. Some employees were required to take approximately 16 hours of onsite training without pay.
- Mismanaged the holiday and vacation pay benefits; they are not being accrued, and are being prorated to part-time employee in violation of SCA guidelines.
- Paid employees inconsistent wages for identical work; both at a higher or lower- than-normal rate of pay.

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- Failed to provide full pay rate for employees performing part time work. Splitting the number of hours between the base wage rate and the SCA fringe rate (i.e. employee worked 20 hours and was paid 10 hours of base pay and 10 hours of SCA fringe).

The anonymous complainant stated a formal complaint regarding the allegations were deemed appropriate since he/she has been aware of this situation for a long period of time, and has constantly heard complaints from multiple employees about the matter.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586-  or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Department of Labor

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Department of Energy  
Washington, DC 20585

October 23, 2017

MEMORANDUM FOR THE ADMINISTRATOR, WESTERN AREA POWER  
ADMINISTRATION

FROM:

John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

SUBJECT:

Complaint Summary (OIG File No. 18-0001-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) Contract Specialist, WAPA, allegedly behaves unethically. She is close friends with her subordinate, (b)(6), (b)(7)(C) Contract Specialist, WAPA, and hired (b)(6), (b)(7)(C) as an Administrative Assistant on a contract (MIRACORP, Inc.) which (b)(6), (b)(7)(C) is overseeing.

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Please contact Acting Hotline Director (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 20, 2017

**MEMORANDUM FOR THE DIRECTOR, LOAN PROGRAMS OFFICE**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** HydrogenXT; Loan Fraud (OIG File No. 18-0003-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

HydrogenXT, a privately held engineering company based out of Houston, TX, is allegedly preparing to submit a fraudulent application to Loan Programs in support of a \$185 million Title 17 Loan. The loan application is being prepared by Deloitte, and will contain false information, to include but not limited to forged bank statements and hiding evidence of embezzlement from the company (HydrogenXT).

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 23, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, BONNEVILLE POWER  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement and Timecard Irregularities  
(OIG File No. 18-0006-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6);(b)(7)(C) an Information Technology Specialist at Bonneville Power Administration (BPA) submitted inaccurate timesheets by failing to record absences within the office Sharepoint database. It is also alleged that (b)(6);(b)(7)(C)

- claims to telework from home, yet has no home computer to access the virtual network system, and quite often fails to take her provided laptop home.
- performs personal tasks while on company time (e.g., getting her passport for a vacation trip to Belize during work hours).
- commutes between the two BPA sites in Portland and Vancouver; however, no one can account for her whereabouts for hours during the duty day.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 6, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR ENVIRONMENTAL  
MANAGEMENT**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Alleged False Claims; Spectra Tech Inc. (OIG File No. 18-0010-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Spectra Tech Inc. (STI) was awarded a Department contract (DE-EM0003976-02) to manage the Nuclear Regulatory Commission Licensed Facilities. Services associated with the contract included providing physical security at the Fort Saint Vrain (FSV) Independent Spent Fuel Storage Installation (ISFF) in Colorado, which STI subcontracted to Security Walls, LLC.

According to Security Walls, STI allegedly misled the Department by accepting funds (approximately \$70,000) for additional physical security staff at FSV ISFF on January 1, 2017; though, Security Walls staff did not begin providing physical security until March 20, 2017.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

October 30, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy  
Deputy Inspector General for Investigations

**SUBJECT:** Timecard Fraud and Inappropriate Accounting Practices; Fermilab  
(OIG File No. 18-0011-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

Fermi National Accelerator Laboratory (Fermilab) (b)(6), (b)(7)(C) allegedly falsified her timecard and engaged in inappropriate accounting practices.

(b)(6), (b)(7)(C) Over a period of seven days between August 2015 and March 2016, (b)(6), (b)(7)(C) worked approximately 16 hours less than required for a salaried employee required to work an eight-hour workday. Additionally, (b)(6), (b)(7)(C) engaged in inappropriate accounting practices, to include but not limited to, improperly adjusting/altering other's recordkeeping entries, back-dating invoices, not processing invoices in a timely manner, and improperly adjusting/altering cash receipts to Fermilab under the Strategic Partnership Program.

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Please contact Acting Hotline Director (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 6, 2017

**MEMORANDUM FOR THE ASSISTANT SECRETARY FOR FOSSIL ENERGY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Contract Fraud and Security Irregularities; DFW Security Protective Force (OIG File No. 18-0021-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Boutchantharaj Corporation, dba DFW Security Protective Force, is not providing services to the Nuclear Energy Technology Laboratory in accordance with contract DE-FE0024337. There have been violations of the Performance Work Statement, Section 3.0, Required Hours and Posts, Part 3.1 and 3.2, when patrol posts requiring continual manning have been left vacant. While procedures were in place to account for unforeseen circumstances, these procedures were not followed and when concerns were voiced to management, employees were instructed to keep quiet and their employment threatened.

Additionally, within the first 18-months of the contract, there were incidents wherein employees were required to attend 4-hours of mandatory training, while also scheduled to work their normal security shifts. Management instructed employees to attend training, leaving their posts vacant; however, to submit a timecard with the 4-hours added, giving the appearance both training and their shift was completed.

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~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doc.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 6, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF SCIENCE**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Small Business Identification Disclosure (OIG File No. 18-0025-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Nor-Cal, a Department subcontractor that supplies vacuum products of all kinds for energy research, self-disclosed that it mistakenly maintained a small business certification within the U.S. Federal Contractor Registration system (System for Award Management Registration) which affected Federal contracts entered after January 1, 2011.

Specifically, Nor-Cal disclosed through its attorneys, Frost Brown Todd LLC, that it subcontracted with UT-Battelle and the Jefferson Science Alliance LLC in support of Federal prime contracts that were likely set-aside for small businesses.

Please identify and provide in a written response: (1) UT Battelle and Jefferson Science Alliance subcontracts associated with Nor-Cal and the Office of Science (SC) entered after January 1, 2011 and likely set-aside for small businesses and (2) specific details regarding SC's course of action regarding remediation.

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~~and individuals outside the Department of Energy. Public disclosure is determined by the  
Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C.,  
Section 552a).~~

Please contact Acting Hotline Director (b)(6).(b)(7)(C) at (202) 586- or (b)(6).(b)(7)(C)  
(b)(6).(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 13, 2017

**MEMORANDUM FOR THE DIRECTOR, LOAN PROGRAMS OFFICE**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Contract Irregularities and Mismanagement (OIG File No. 18-0034-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) Former contract employee (b)(6),(b)(7)(C) of Value Recovery Holding, LLC was allegedly not a US citizen at the time of his employment, in violation of the contract. Additionally, when (b)(6),(b)(7)(C) left in June 2017 for employment with PricewaterhouseCoopers he may have started the on-boarding process while also charging time to the Department.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 6, 2017

**MEMORANDUM FOR THE DIRECTOR, LOAN PROGRAM OFFICE**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Timecard Irregularities; Loan Program Office  
(OIG File No. 18-0036-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

An anonymous complaint alleged timecard irregularities on the part of (b)(6), (b)(7)(C) a manager within the Loan Program Office. Specifically, it is alleged that (b)(6), (b)(7)(C) who currently works an alternative work schedule (AWS), departs from the workplace on a daily occurrence at least 30 minutes prior to the end of his scheduled duty. The anonymous complainant also noted that (b)(6), (b)(7)(C) takes approximately one hour for breakfast and lunch daily, exceeding the authorized 30-minute lunch break.

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Please contact Acting Hotline Director (b)(6), (b)(7)(C) at (202) 586- (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 6, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF ECONOMIC IMPACT AND DIVERSITY**

**FROM:** John E. Dupuy (b)(6),(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Conflict of Interest and Time Card Irregularities  
(OIG File No. 18-0040-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

A confidential complainant alleges that (b)(6),(b)(7)(C) the (b)(6),(b)(7)(C) of the Office of Minority Education and Community Development committed time card fraud, and participated in a conflict of interest relationship with The Links Foundation, Inc (Links), a Department grant recipient. Specifically on October 10, 2017, during work hours, (b)(6),(b)(7)(C) participated in the selection process for Links, Director of Development Candidates, creating a conflict of interest. It is also noted that (b)(6),(b)(7)(C) leave record (b)(6),(b)(7)(C) does not reflect an approved absence for any time on October 10, 2017.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- (b)(6),(b)(7)(C) or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 13, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Security Concerns; Environmental Management Consolidated  
Business Center (OIG File No. 18-0042-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Environmental Management Consolidated Business Center, Boulder, CO, employees are allowing unauthorized, non-cleared personnel, access to the secure telecommunications vault-type room (VTR) in Building 55.

Specifically, contract employees of Synergy Solutions, Inc. are being directed by (b)(6), (b)(7)(C) and other Department managers to escort Nuclear Regulatory Commission (NRC) personnel into the VTR make secure telephone calls; however, there is no provision within the contract to support NRC. Additionally, while NRC personnel did sign into the room and were under escort, they lacked the specific Secret Restricted Data (SRD) Sigma 15 level Q-clearance for the VTR, where SRD Sigma 15 classified information is allowed at open storage.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

cc: Office of the Associate Undersecretary for Environment, Health, Safety and Security

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Department of Energy  
Washington, DC 20585

November 13, 2017

MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION

FROM: John E. Dupuy (b)(6), (b)(7)(C)  
Deputy Inspector General for Investigations

SUBJECT: Alleged Ethics Violations; National Security Technologies LLC;  
Nevada National Security Site (OIG File No. 18-0045-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) the National Security Technologies LLC (NSTec), Counter Terrorism Division National Outreach Director is using his position at NSTec to promote Bubble Technology Industries (BTI) products, a Canadian company where he is employed as a trainer. It is further alleged:

- (b)(6), (b)(7)(C) 1. (b)(6), (b)(7)(C) uses a BTI (foreign) owned vehicle, which he drives onto NSTec facilities.
2. While traveling for NSTec to conferences and conventions, (b)(6), (b)(7)(C) will (b)(6), (b)(7)(C) promote BTI and work both NSTec and BTI booths.
- (b)(6), (b)(7)(C) 3. (b)(6), (b)(7)(C) will engage in foreign travel for BTI without notifying NSTec.
- (b)(6), (b)(7)(C) Complainant added (b)(6), (b)(7)(C) BTI involvement was known to and supported by his management, notably NSTec Operations Managers (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) who (b)(6), (b)(7)(C) overlook the alleged violations.

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~~is strictly prohibited and may subject the disclosing party to liability. Unauthorized persons may include, but are not limited to, individuals referenced in the memorandum, contractors, and individuals outside the Department of Energy. This disclosure is determined by the Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Acting Hotline Director (b)(6);(b)(7)(C) at (202) 586- or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 13, 2017

**MEMORANDUM FOR THE ADMINISTRATOR, NATIONAL NUCLEAR SECURITY  
ADMINISTRATION**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Mismanagement of Funds; Lawrence Livermore National Laboratory  
(OIG File No. 18-0059-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Lawrence Livermore National Laboratory (LLNL) is mismanaging funds by over stratifying (rating) the Nuclear Hazard Category of Building 332, needlessly costing additional millions of dollars to be expended annually.

LLNL maintains a Nuclear Hazard Category 3 rating for Building 332 when a (lower) Nuclear Hazard Category 2 rating is appropriate. A Category 3 rating requires extensive safety controls and procedures, including additional full time employees for oversight, inspections, maintenance, procedures and training that are not needed based on the current and anticipated use of the building.

Los Alamos Nuclear Criticality Safety Guide LA-12808 identifies the minimum critical mass of Plutonium 239 with infinite water reflection as being 500 grams. By LLNL restriction, the maximum allowable amount of Plutonium 239 available to be stored in Building 332 is only 380 grams, making it impossible to have a situation where a criticality could occur. This would negate the higher rating and financial expenditure.

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Please contact Acting Hotline Director (b)(6).(b)(7)(C) at (202) 586-   or (b)(6).(b)(7)(C)  
(b)(6).(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 15, 2017

**MEMORANDUM FOR THE SOUTHWESTERN POWER ADMINISTRATION**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Improper Equipment Acquisition; Southwestern Power  
Administration (OIG File No. 18-0061-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

The Southwestern Power Administration (SWPA) disposes of surplus property through auctions. Allegedly (b)(6),(b)(7)(C) of Acquisitions and Procurement at SWPA, is bidding on certain surplus property after inappropriately obtaining the reserve price – the lowest price SWPA is willing to accept.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

November 21, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** John E. Dupuy (b)(6).(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Misappropriation of Funding/Conflict of Interest; Richland Operations Office (OIG File No. 18-0063-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

In March - April 2017, the Office of River Protection (ORP) provided the Richland Operations Office (Richland) approximately \$200,000 - \$300,000 to support the Central Plateau Operations Contract (CPOC). Richland used the funding to hire two direct support contractors via an Inter-Agency Work Agreement for a GSA MOBIS contractor. The funding was supervised in part by (b)(6).(b)(7)(C) who worked in the Procurement Support Division and was matrixed to the Richland Office of Hanford Acquisitions. The contract company utilized was Longenecker & Associates, Inc. (Longenecker), the GSA contract was GS-00F-258CA. In July 2017, the CPOC effort was concluding and in August - September 2017, ORP asked for the funding back. Richland; however, could not transfer the funding as it had been spent on "Richland scope". It is unclear whether funding went to support ORP/CPOC (as it was intended) or Richland.

Additionally, a conflict of interest exists between Longenecker and Richland acquisitions. This exists due to Longenecker's "deep ties to existing site contractor companies," Longenecker's partnership with companies as bidders, and (b)(6).(b)(7)(C) past ties to (b)(6).(b)(7)(C) Longenecker (he is a former Longenecker employee). Longenecker contractors are involved in preparing government cost estimates for various Richland contracts and ORP staff are working in the same area (building) as Richland. Subsequently, Longenecker employees have unique access to the contracting process.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586-  or (b)(6),(b)(7)(C)  
(b)(6),(b)(7)(C) [@hq.doe.gov](mailto: @hq.doe.gov) should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 15, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Mismanagement of the Intergovernmental Personnel Act  
(OIG File No. 18-0067-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

The Office of Environmental Management (EM) violated the Intergovernmental Personnel Act (IPA), 48 CFR Part 7, and DOE M 321.1-1 in the hiring of (b)(6),(b)(7)(C) as the Department's Office of River Protection (ORP) (b)(6),(b)(7)(C).

(b)(6),(b)(7)(C) Specifically, it is alleged that (b)(6),(b)(7)(C) is serving as the ORP (b)(6),(b)(7)(C) while continuing to function as a contractor with CH2M Hill Plateau Remediation Company (CHPRC), under an IPA assignment. (b)(6),(b)(7)(C) was ineligible to qualify for an IPA assignment, since he was not a Federal Government, State or local employee, and his employer CHPRC, did not qualify as a Federally Funded Research and Development Foundation as described in DOE M 321.1-1.

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Please contact Acting Hotline Director (b)(6);(b)(7)(C) at (202) 586- or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel  
Office of the Human Capital Officer

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**Department of Energy**  
Washington, DC 20585

November 24, 2017

**MEMORANDUM FOR THE CHIEF HUMAN CAPITAL OFFICER**

**FROM:** John E. Dupuy (b)(6);(b)(7)(C)  
Deputy Inspector General for Investigations

**SUBJECT:** Hiring Irregularities (OIG File No. 18-0077-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

Management from the Portfolio Management Division within the Loan Program Office (LPO) requested the Office of Human Capital (HC) terminate employee (b)(6);(b)(7)(C) on the basis of a failed suitability check associated with his initial background check. Allegedly, HC will not take action though the employee represents a risk to LPO and the Department.

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Please contact Acting Hotline Director (b)(6);(b)(7)(C) at (202) 586- or (b)(6);(b)(7)(C) (b)(6);(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 26, 2017

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Unauthorized Expenditures  
(OIG File No. 18-0080-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

(b)(6), (b)(7)(C) a Contracting Officer's Technical Representative (COTR), at the Salt Waste Processing Facility (SWPF) authorized an expenditure beyond the scope of her authority, in violation of the Department of Energy Acquisition Regulation.

(b)(6), (b)(7)(C) Parsons, Inc., a site contractor, sought reimbursement for specific work that had been authorized by (b)(6), (b)(7)(C). The reimbursement was related to expenditures for computer systems mechanisms at the facility in the amount of approximately \$800,000. Allegedly, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) the SRS Procurement (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) Contracting Activity for Environmental Management, authorized the action over the objection of Savannah River Site (SRS) General Counsel, who advised the action violated regulations.

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Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C) @hq.doe.gov should you have questions regarding this matter.

cc: Office of the General Counsel  
Office of Management

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**Department of Energy**  
Washington, DC 20585

January 22, 2018

**MEMORANDUM FOR THE ACTING ASSISTANT SECRETARY FOR  
ENVIRONMENTAL MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Ethics Violations (OIG File No. 18-0082-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's (Department) Office of Inspector General (OIG) Hotline. Our review determined that the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter to your office for information purposes and for whatever action you deem appropriate. We would appreciate a written reply should your office confirm wrongdoing or misconduct in response to this memorandum or identify fraud involving Department programs, operations, or personnel.

The details of the complaint are as follows:

(b)(6),(b)(7)(C) [redacted] a private citizen, alleged the former mayor of Kennewick, WA, [redacted] (b)(6),(b)(7)(C) [redacted] misused his mayoral position to secure "special privileges and exemptions for himself and others" from the Department. [redacted] submitted a complaint to City (b)(6),(b)(7)(C) [redacted] and Benton County Washington (b)(6),(b)(7)(C) [redacted] alleging code of ethics violations and prohibited acts. In addition, [redacted] also alleged (b)(6),(b)(7)(C) [redacted] committed theft by conducting city business while working at the Hanford site as a (b)(6),(b)(7)(C) [redacted] for the Department's contractor, Mission Support Alliance (MSA).

(b)(6),(b)(7)(C) [redacted] In a recent civil case involving [redacted] (Benton County Case No. 15-2-01914-4, Julie M. Atwood vs. Mission Support Alliance (MSA), [redacted] provided testimony in court (b)(6),(b)(7)(C) [redacted] of potential wrongdoing. [redacted] testified that as an MSA employee, he would spend 16 to 20 hours of his in office regular work-week performing the duties of his elected mayoral office.

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~~Freedom of Information Act (Title 5, U.S.C., Section 552) and the Privacy Act (Title 5, U.S.C., Section 552a).~~

Please contact Acting Hotline Director (b)(6),(b)(7)(C) at (202) 586- or (b)(6),(b)(7)(C) (b)(6),(b)(7)(C)@hq.doe.gov should you have questions regarding this matter.

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**Department of Energy**  
Washington, DC 20585

December 20, 2017

**MEMORANDUM FOR THE DIRECTOR, OFFICE OF MANAGEMENT**

**FROM:** Dustin R. Wright *Dustin Wright*  
Assistant Inspector General for Investigations

**SUBJECT:** Alleged Contract Irregularities (OIG File No. 18-0099-C)

This memorandum serves to advise you of a complaint received by the U.S. Department of Energy's Office of Inspector General (OIG) Hotline. Our review determined the facts and circumstances of the complaint pertain to your office's programs and operations; therefore, we are referring this matter for appropriate action. In accordance with Departmental Order 221.2A, the OIG requests a written response within 30 calendar days of your office's receipt of this memorandum, regarding the actions you have taken, or plan to take, related to this complaint. We will review your response, including any additional facts you develop, to determine if further OIG action is warranted.

The details of the complaint are as follows:

During the investigation of a whistleblower complaint, it was discovered that the contract clause assigning coverage under Title 41 U.S. Code 4712, "Enhancement of contractor protections from reprisal for disclosure of certain information," was not included in the contracts for Bechtel National Incorporated (Bechtel), contract DE-AC27-01RV14136, despite significant modifications since its enactment. In addition, the clause was not included in the Bechtel subcontract for the Waste Treatment Completion Company, subcontract 24590-CM-HC1-HCHC-00001, effective January 11, 2017. The contract officer stated "ORP attempted to modify the prime contract to include the revised language, but was not able to reach agreement with BNI."

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(b)(6) (b)(7)(C) Please contact Acting Hotline Director [redacted] at (202) 586 [redacted] or (b)(6) (b)(7)(C) [redacted]@hq.doc.gov should you have questions regarding this matter.

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